



中国科学院大学  
University of Chinese Academy of Sciences

# Lepton flavour universality tests using semileptonic B hadron decays at LHCb

Bo Fang (方勃)  
中国科学院大学

第四届LHCb前沿物理研讨会  
27-31 July 2024, 山东烟台

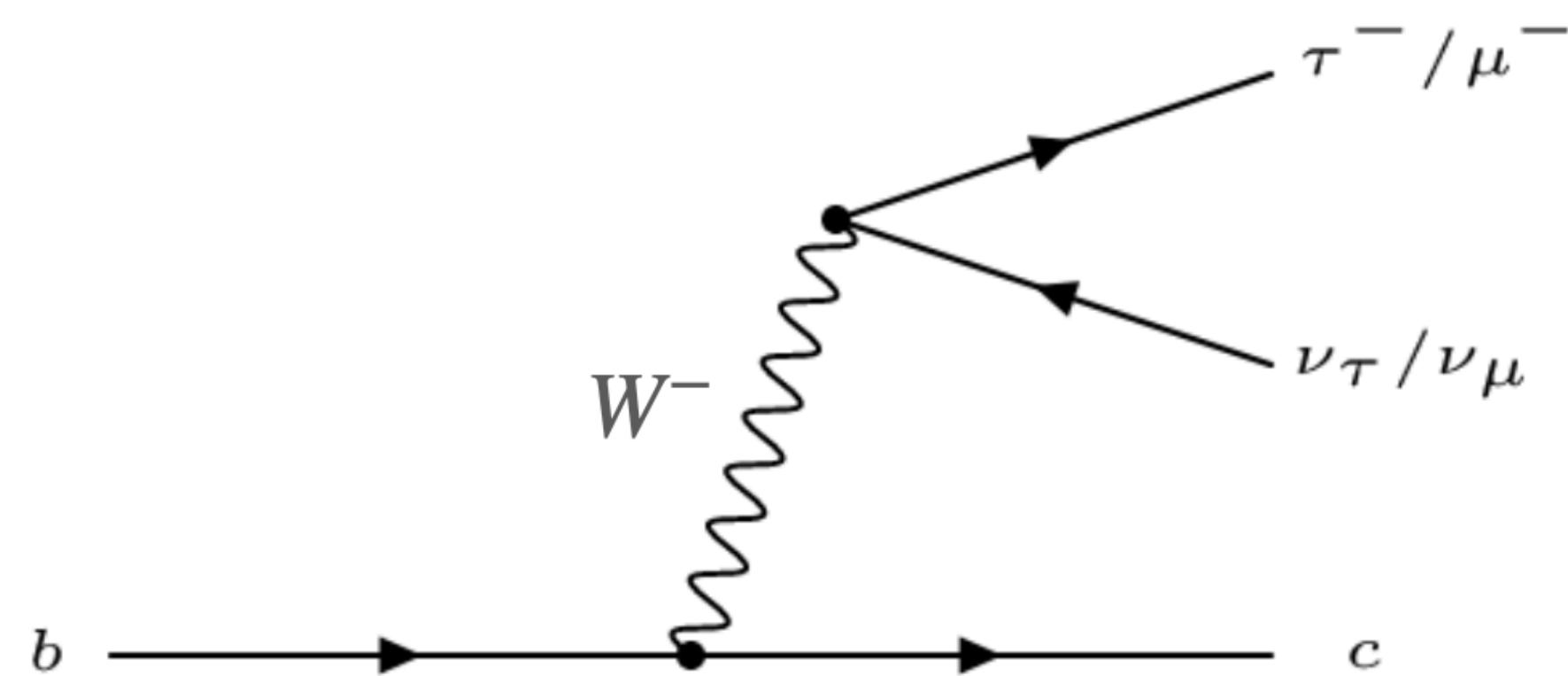
# Outline

See also talks by Pelican Li, Liang Sun, Xingbo Yuan and Xinqiang Li

- New physics, LFUV and semitauonic B hadron decays
- The LHCb detector
- Representative results from LHCb:
  - Measurement of  $R(D)$ & $R(D^*)$  using muonic  $\tau$  decays,  $3 \text{ fb}^{-1}$  (Run1)/  $2 \text{ fb}^{-1}$  (part. Run2)  
[\[PRL 131\(2023\)111802\]](#)[\[arXiv:2406.03387\]](#)
  - Measurement of  $R(D^*)$  using hadronic  $\tau$  decays,  $3 \text{ fb}^{-1}$  (Run1)/  $2 \text{ fb}^{-1}$  (part. Run2)  
[\[PRL 120,171802\]](#),  
[\[PRD 97,072013\]](#)  
[\[PRD 108\(2023\)012018\]](#) (Erratum [\[PRD 109\(2024\)119902\(E\)\]](#))
- Prospects and summary

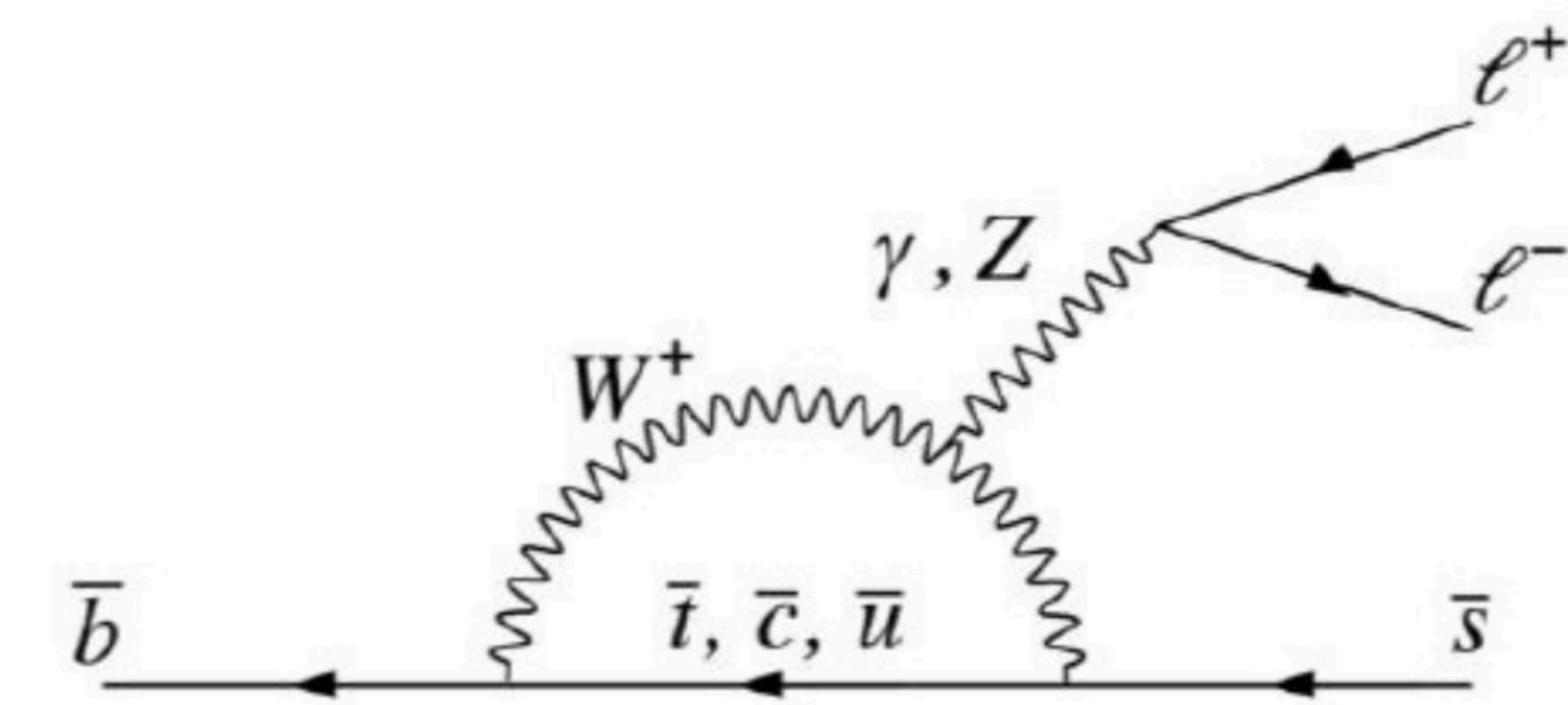
# Lepton flavour universality (LFU)

- An accidental lepton ( $l=e, \mu, \tau$ ) flavour symmetry
- Broken in the Standard Model (SM) only by  $M_{l-}$
- New physics (NP) particles coupling to  $\tau$  can lead to LFU **violation** (LFUV)



Semileptonic decays:

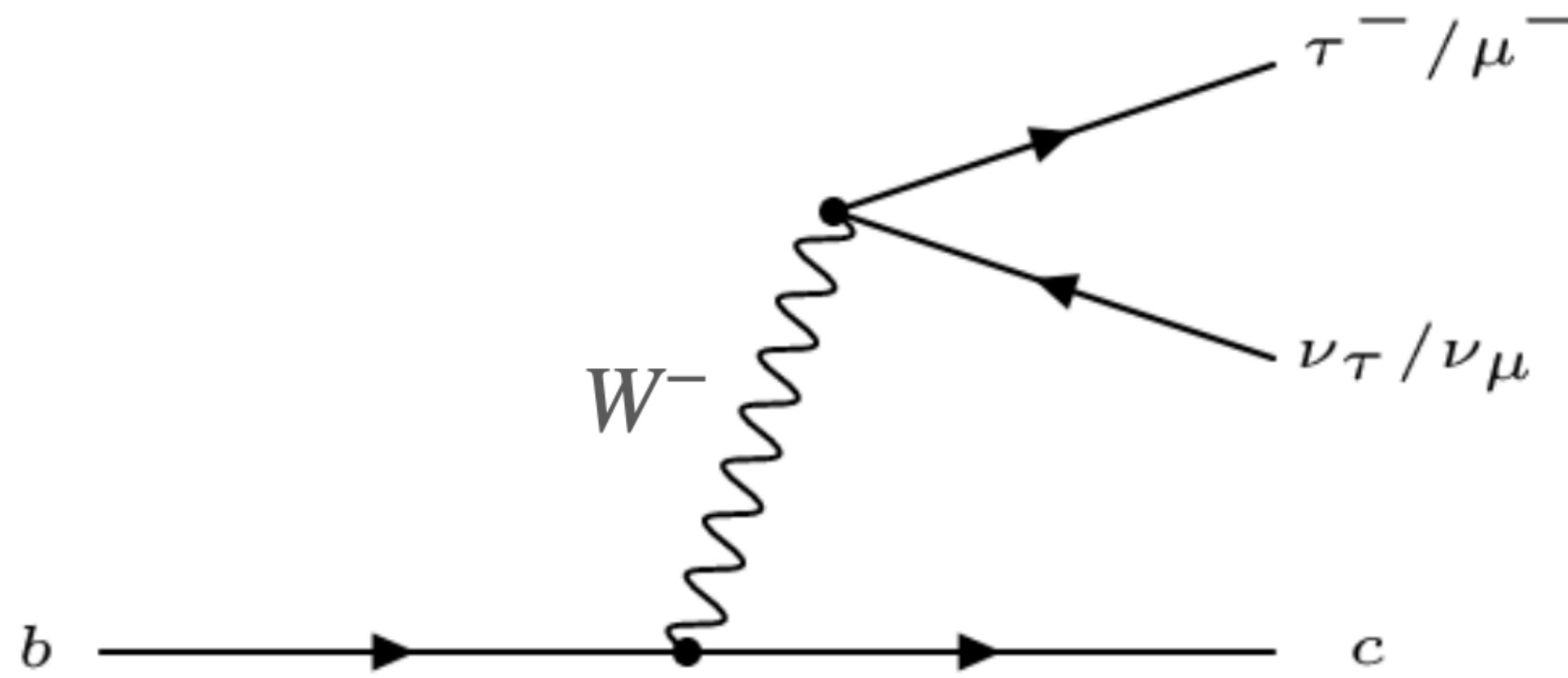
$$R(D), R(D^*), R(D_S), R(\Lambda_c), R(J/\psi) \dots$$



Flavour changing neutral current (FCNC):

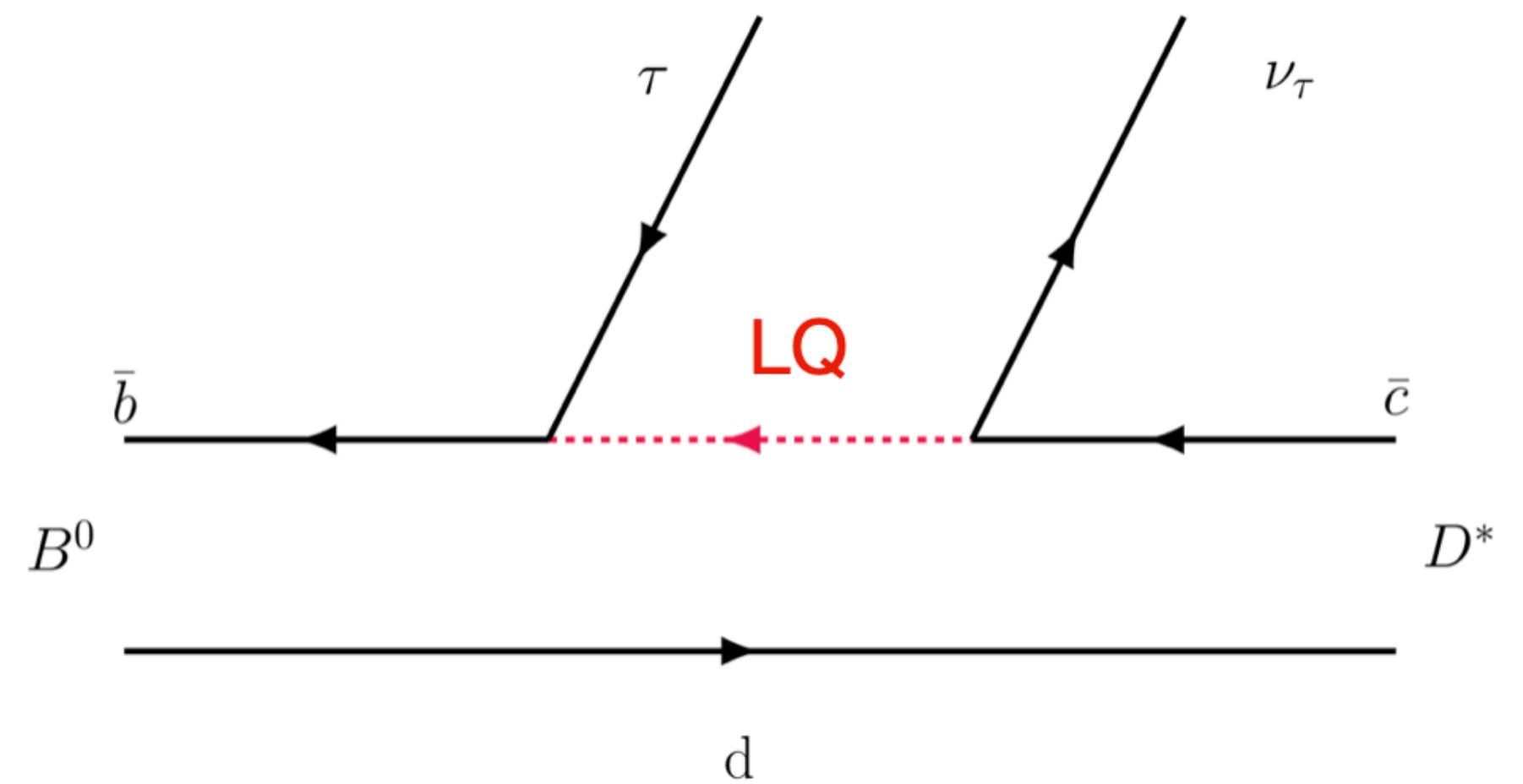
$$R(K), R(K^*), R(\phi), R(pK) \dots$$

# Semitauonic B decays as tests of LFUV



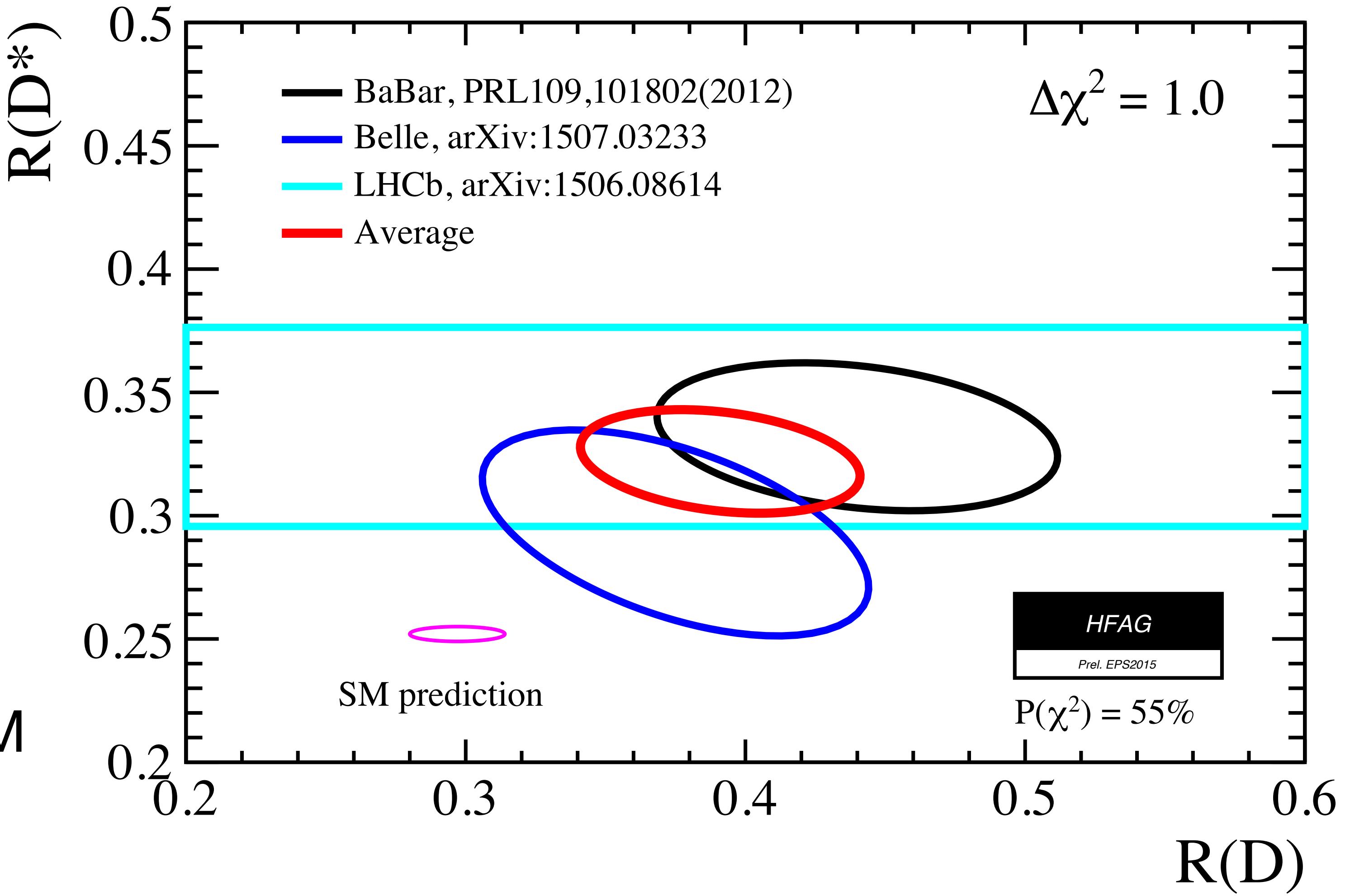
New physics candidates can influence the  $R$  value  
e.g. possible contribution from **leptoquark**  
[PRL 116(2016)081801]

$$b \rightarrow cl\nu \text{ transitions: } R(X_c) = \frac{Br(X_b \rightarrow X_c \tau \nu_\tau)}{Br(X_b \rightarrow X_c \mu \nu_\mu)}$$



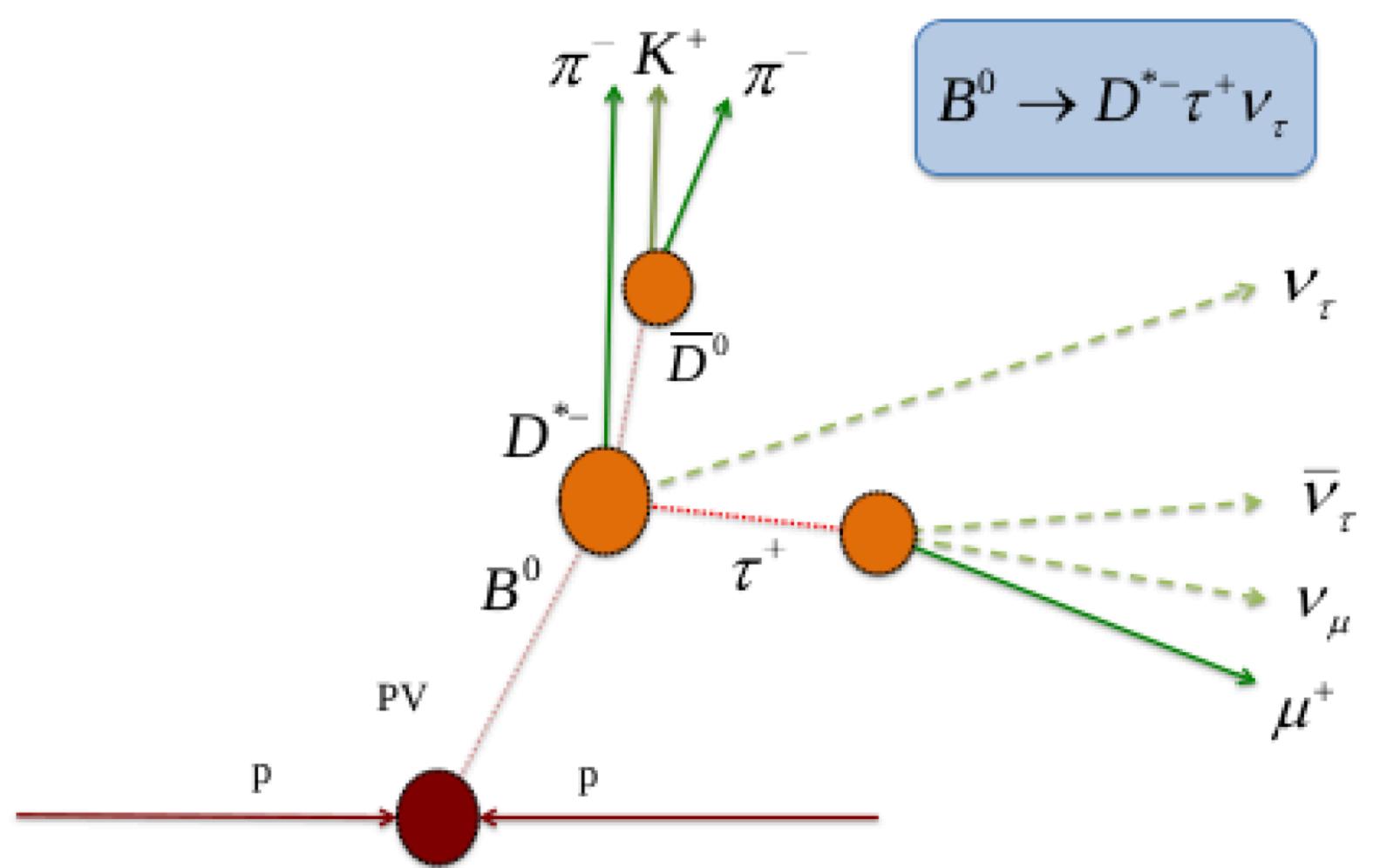
# Origin of the experimental test of LFU

- First result released by BaBar in 2012,  $3.4\sigma$  away from SM.
- Belle and LHCb both join the game from 2015:
  - $R(D) \sim 1.7\sigma$  from SM
  - $R(D^*) \sim 3.0\sigma$  from SM
  - $R(D) \& R(D^*) \sim 3.9\sigma$  from SM



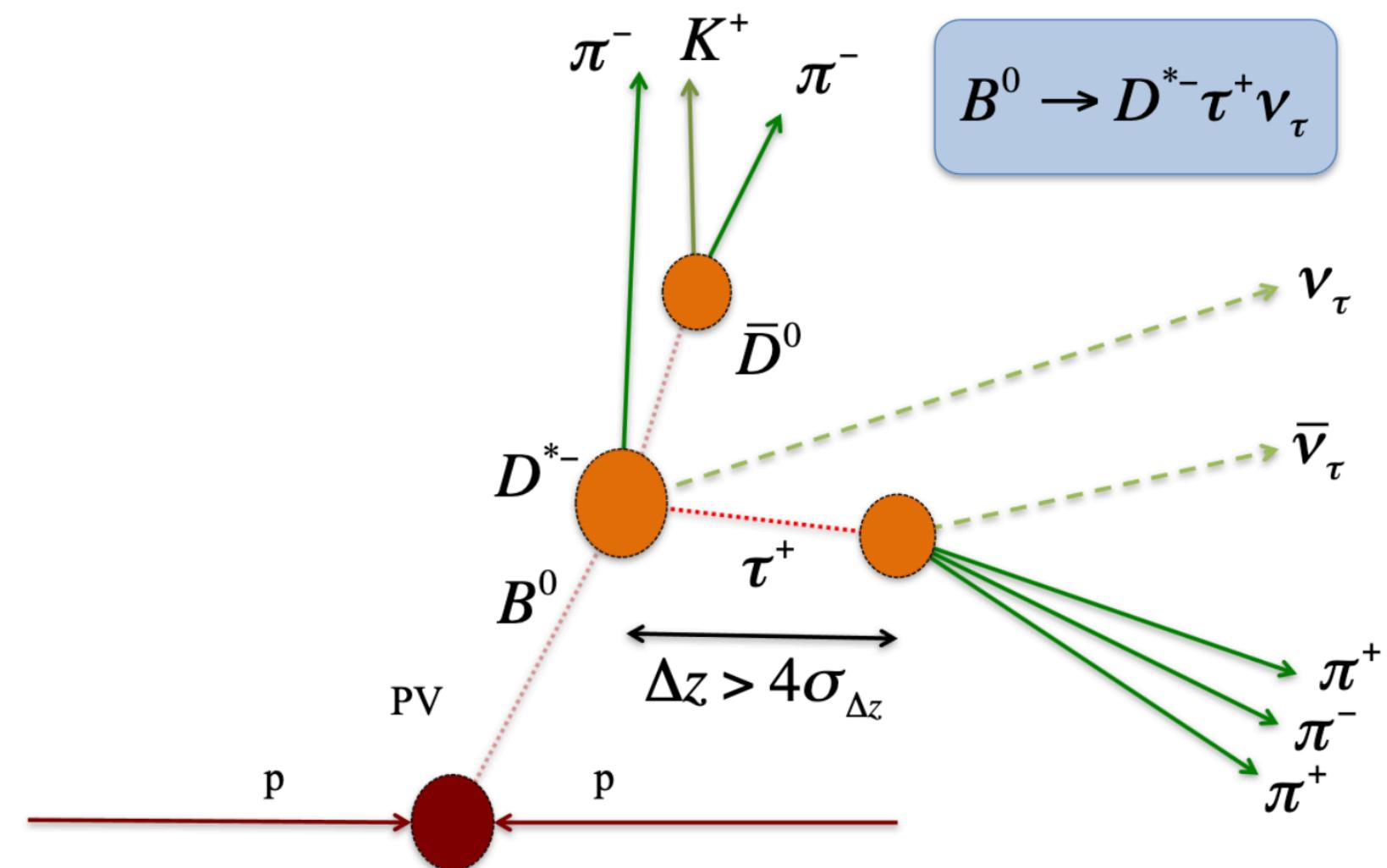
# $R(X_c)$ measurements at LHCb

## Muonic $\tau$ decays



- Direct measurement of  $R(X_c)$
- High statistics
- Backgrounds from  $D^+$  must be controlled well
- Sensitive to  $D^{**}\mu^-\bar{\nu}_\mu$

## Hadronic $\tau$ decays



- Detached  $\tau^+$  decay position to suppress dominant backgrounds
- High purity sample
- Specific dynamics of  $\tau^+ \rightarrow 3\pi^\pm(\pi^0)\bar{\nu}_\tau$
- $R(X_c)$  calculation requires external inputs
- Lower statistics

# LFU tests at LHCb

Muonic decays of  $\tau$

$$\mathcal{B}(\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau) = (17.39 \pm 0.04)\%$$

- $R(D^*)$ , Run1  $3\text{fb}^{-1}$  (2015)

[[PRL 115,111803](#)]

- $R(D)$  &  $R(D^*)$ , Run1  $3\text{fb}^{-1}$  (2023)

[[PRL 131,111802](#)]

- $R(D^+) & R(D^{*+})$ , part. Run2  $2\text{fb}^{-1}$  (2024)

[[arXiv:2406.03387](#)]

- $R(J/\psi)$ , Run1  $3\text{fb}^{-1}$  (2018)

[[PRL 120,121801](#)]

Hadronic decays of  $\tau$

$$\mathcal{B}(\tau^- \rightarrow \pi^- \pi^+ \pi^- (\pi^0) \nu_\tau) \sim 13.5\%$$

- $R(D^*)$ , Run1  $3\text{fb}^{-1}$  (2018)

[[PRL 120,171802](#), [PRD 97,072013](#)]

- $R(D^*)$ , part. Run2  $2\text{fb}^{-1}$  (2023)

[[PRD 108,012018](#)]

(Erratum [[PRD 109,119902](#)])

- $R(\Lambda_c)$ , Run1  $3\text{fb}^{-1}$  (2021)

[[PRL 128,191803](#)]

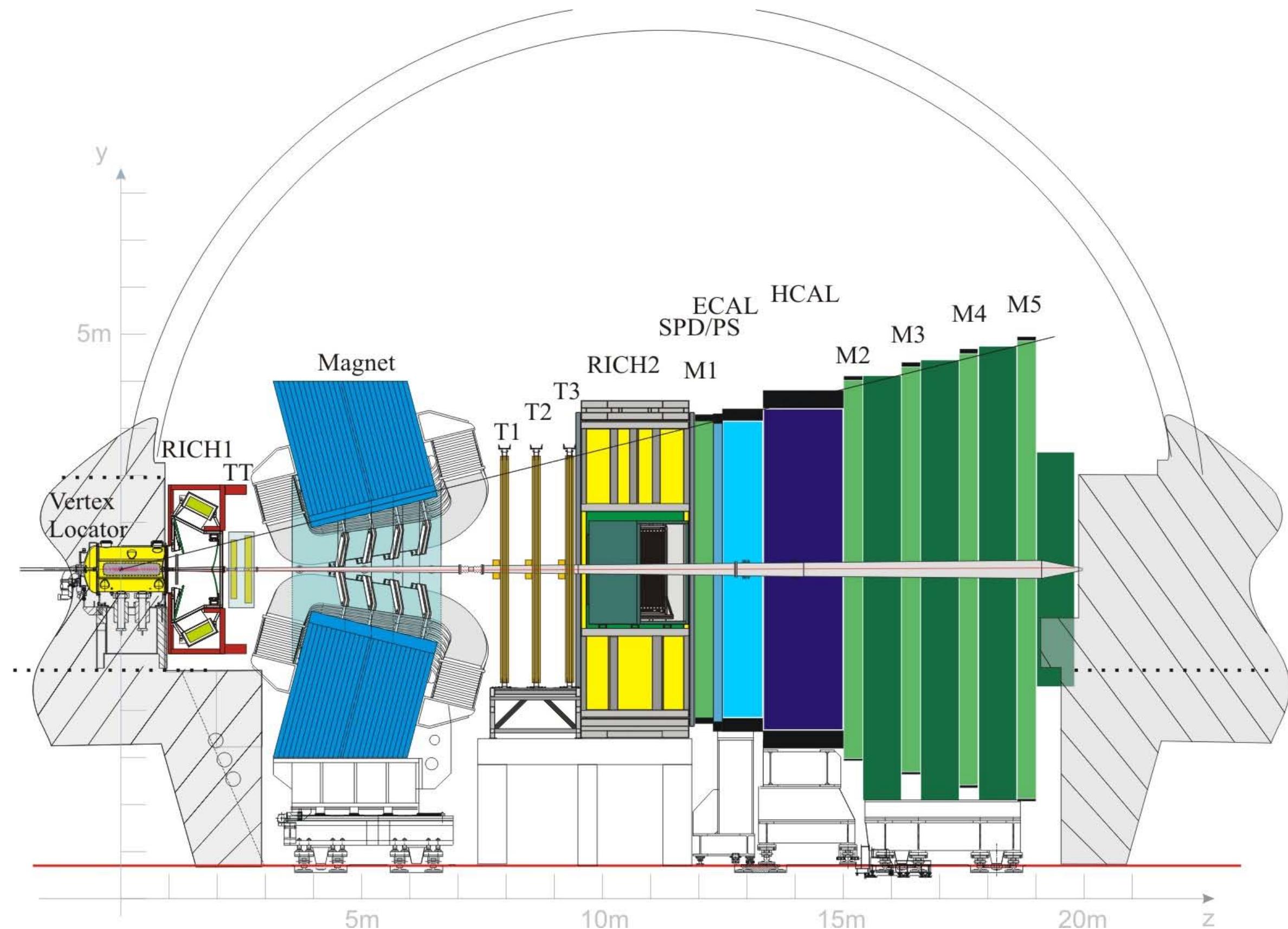
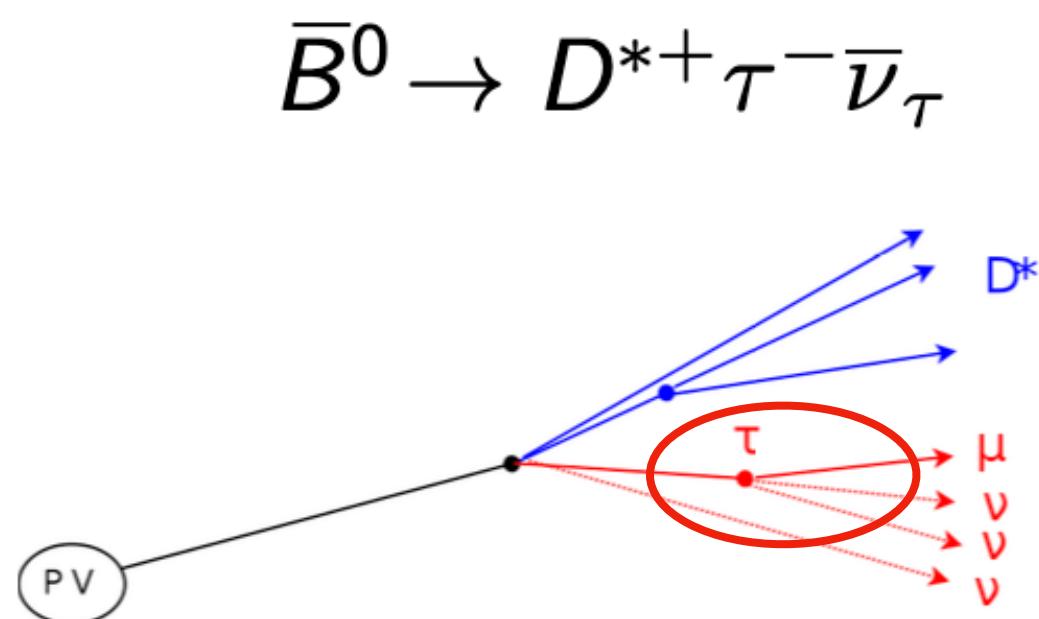
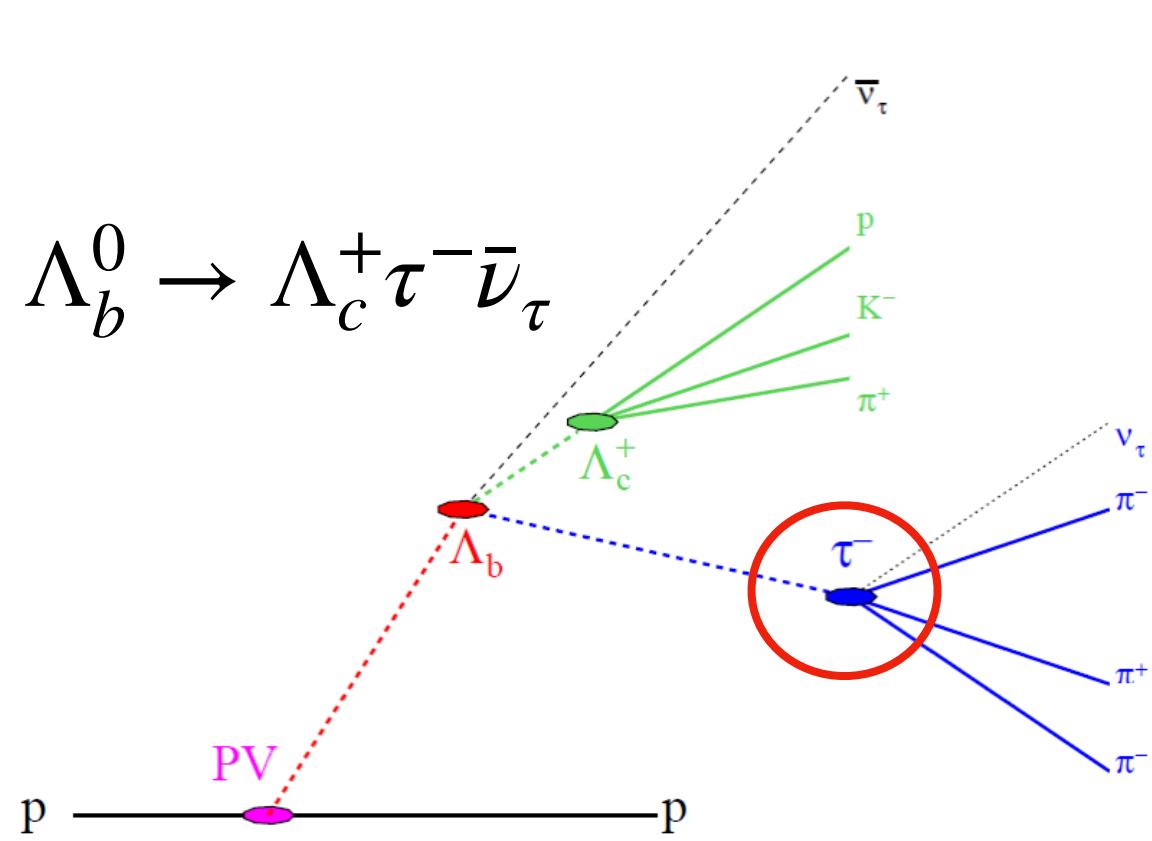
- $F_L^{D^*}$ , Run1+part. Run2  $5\text{fb}^{-1}$  (2023)

[[arXiv:2311.05224](#)]

# The LHCb detector

**A single-arm forward spectrometer, designed for the study of heavy flavour physics**

- Excellent vertex, IP and decay-time resolution
- Very good momentum resolution
- Good hadron and muon identification
- $2 < \eta < 5$  range (LHCb acceptance):  
 $\sim 3 \times 10^4/s b\bar{b}$  pairs@ 7 TeV  $\sim \times 2$  yield@ 13 TeV



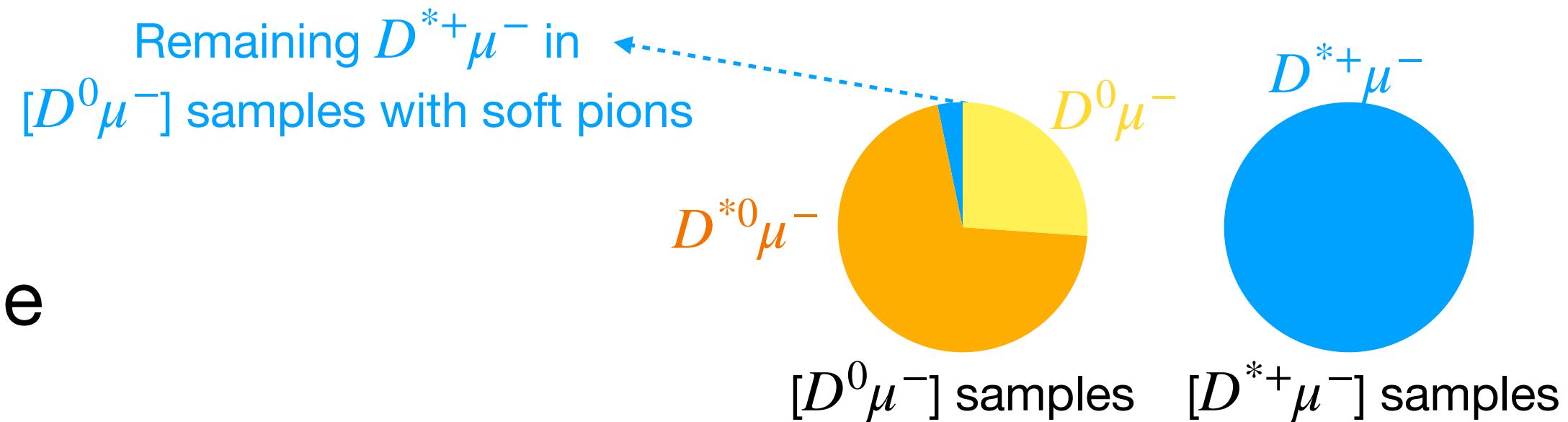
**Simultaneous measurement of  
 $R(D^*)$  and  $R(D)$  with muonic  $\tau$  decays**

**[PRL 131(2023)111802]**

More details see [CERN seminar by Greg](#)

## Comparison with previous muonic $R(D^*)$ [PRL 115(2015)111803] at LHCb

- Before: measure  $R(D^*)$  with Run1  $[D^{*+}\mu^-]$  data
- Now: simultaneously measure  $R(D)$  &  $R(D^*)$  with Run1  $[D^0\mu^-]$  and  $[D^{*+}\mu^-]$  data
  - $D^0 \rightarrow K^+\pi^-$ ,  $D^{*+} \rightarrow D^0\pi^+$
  - Veto  $D^{*+} \rightarrow D^0\pi^+$  in  $[D^0\mu^+]$  sample
  - Trigger on  $D^0$  - preserve acceptance for soft muons
  - New: custom muon ID classifier, flatter in kinematic acceptance [JINST 8 P12013]
    - Reduce misID background (dominant systematics in previous muonic  $R(D^*)$ )
  - **Higher statistics:**  $[D^0\mu^-]$  sample - 5x larger than  $[D^{*+}\mu^-]$



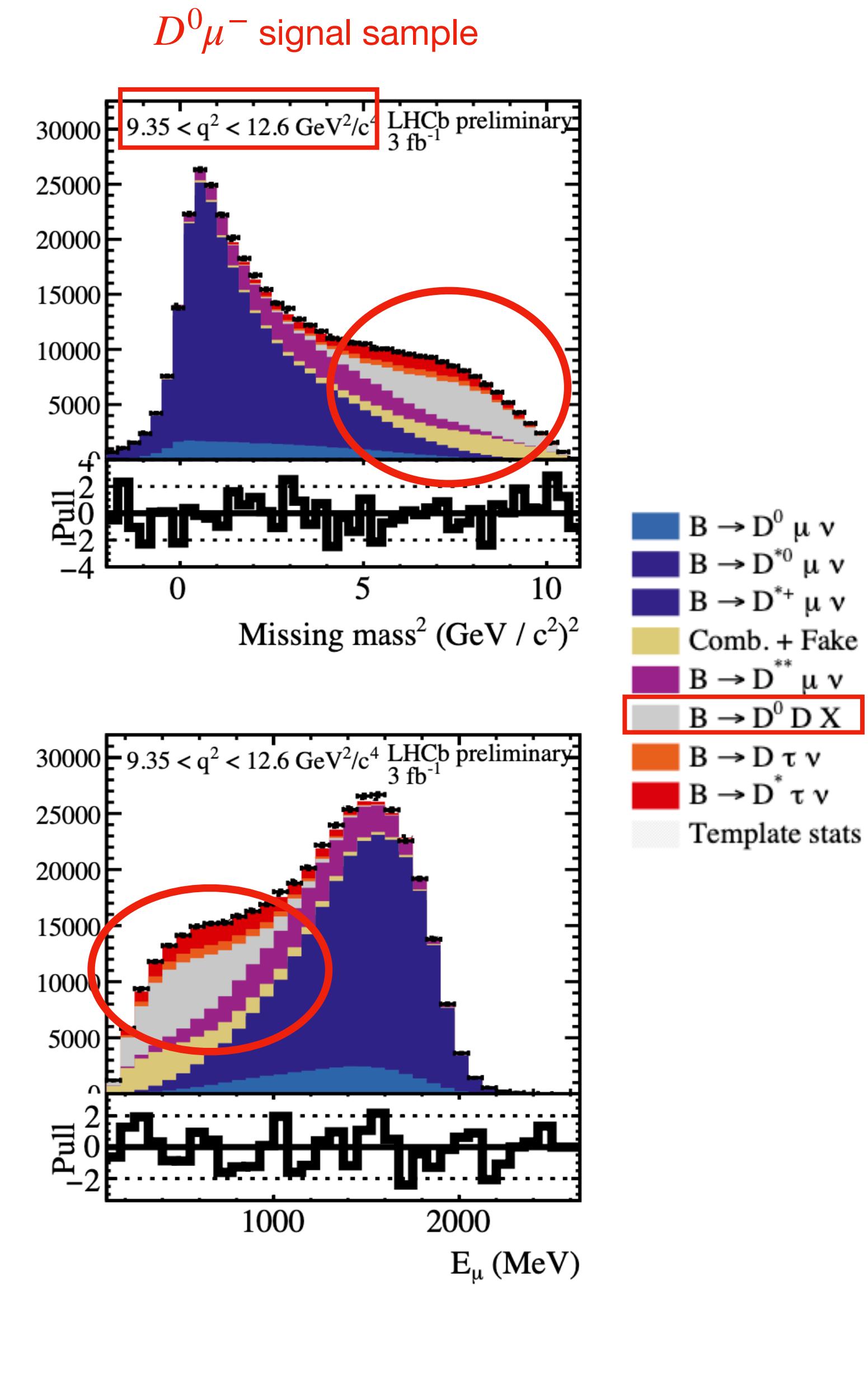
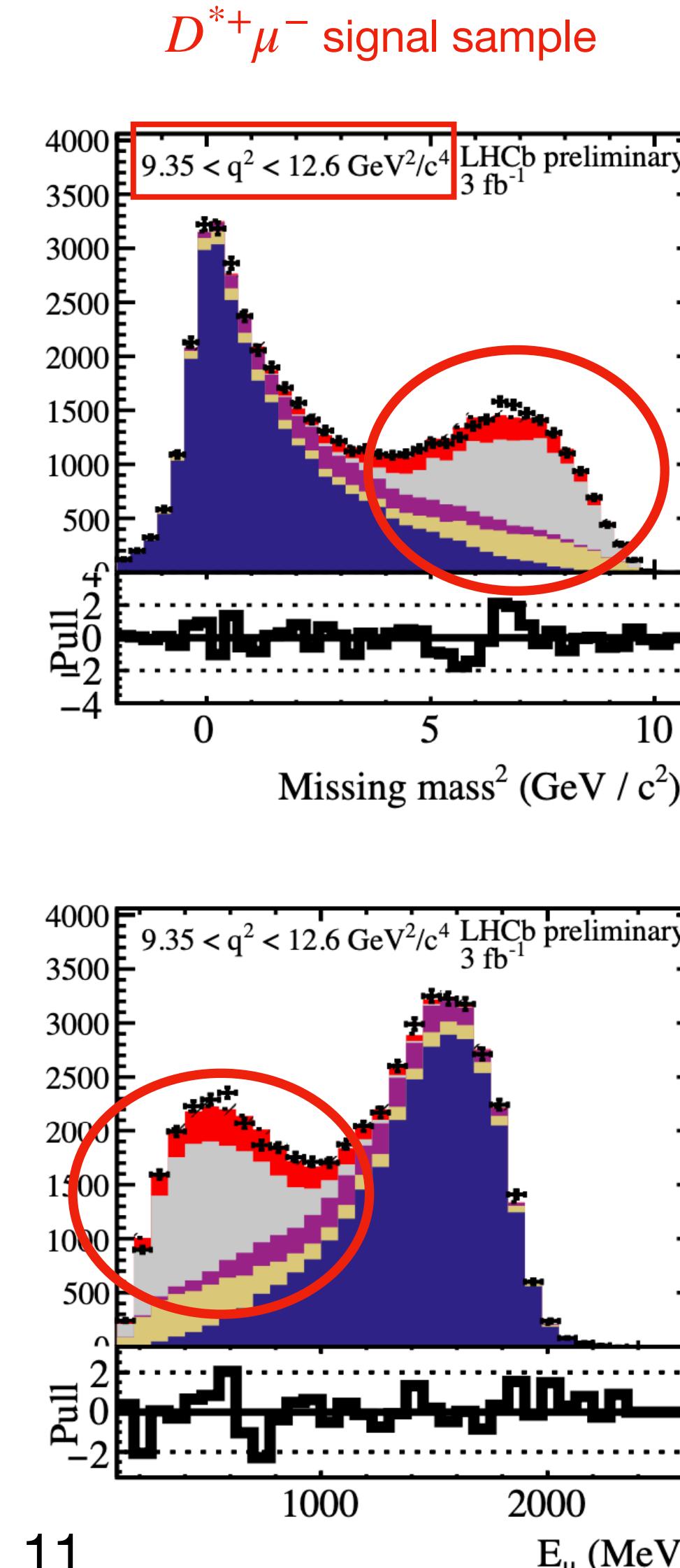
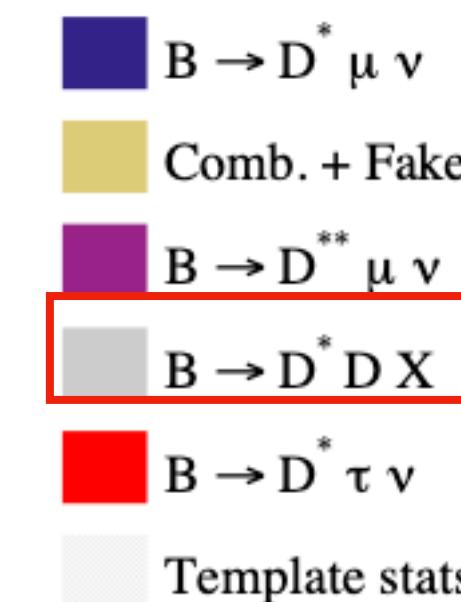
# Experimental challenge

[PRL 131(2023)111802]

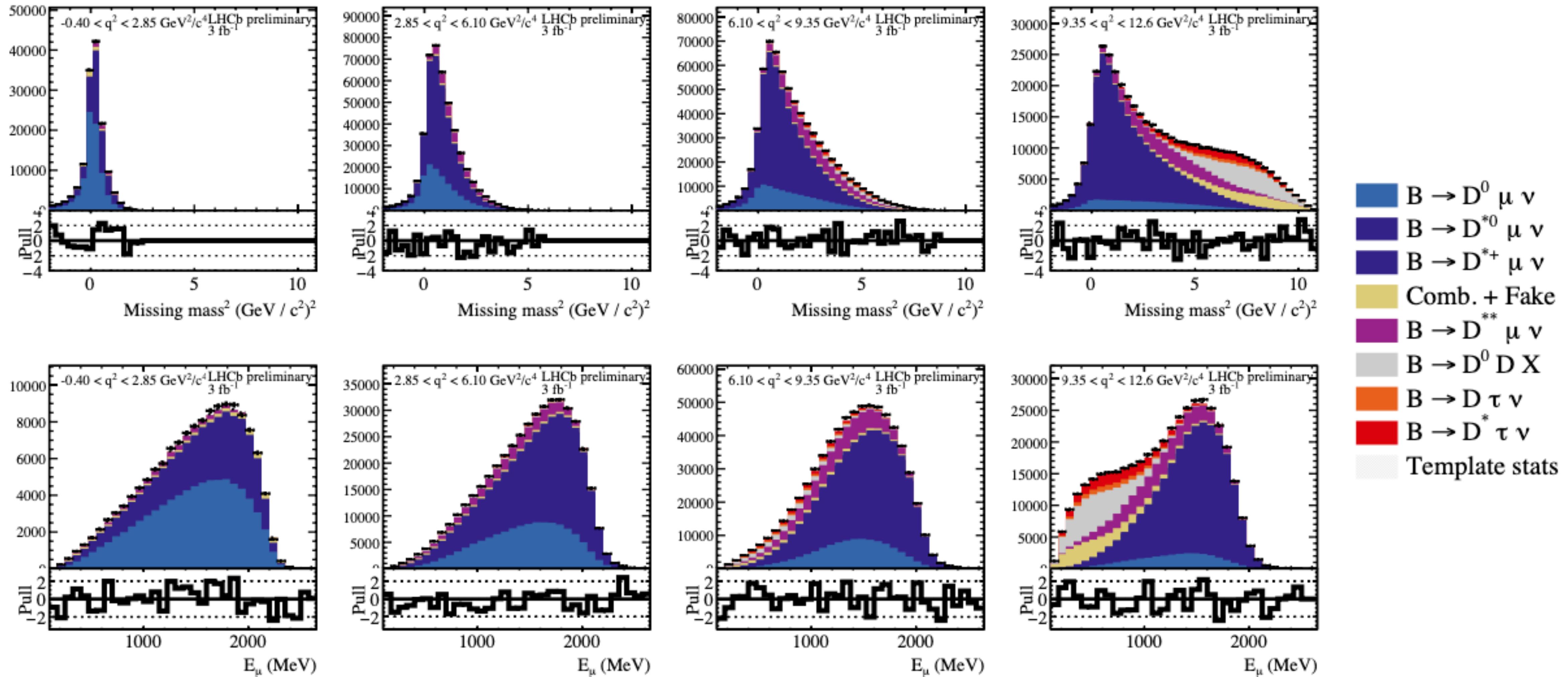
- Numerous background from different sources:
  - Partially reconstructed B decays
    - $B \rightarrow D^* \mu \nu, B \rightarrow D^{**} \mu \nu,$
    - $B \rightarrow D^{(*)} D^{(*)} (\rightarrow \mu X) X \dots$
  - Misidentified background
  - Combinatorial background

## 3D Fit

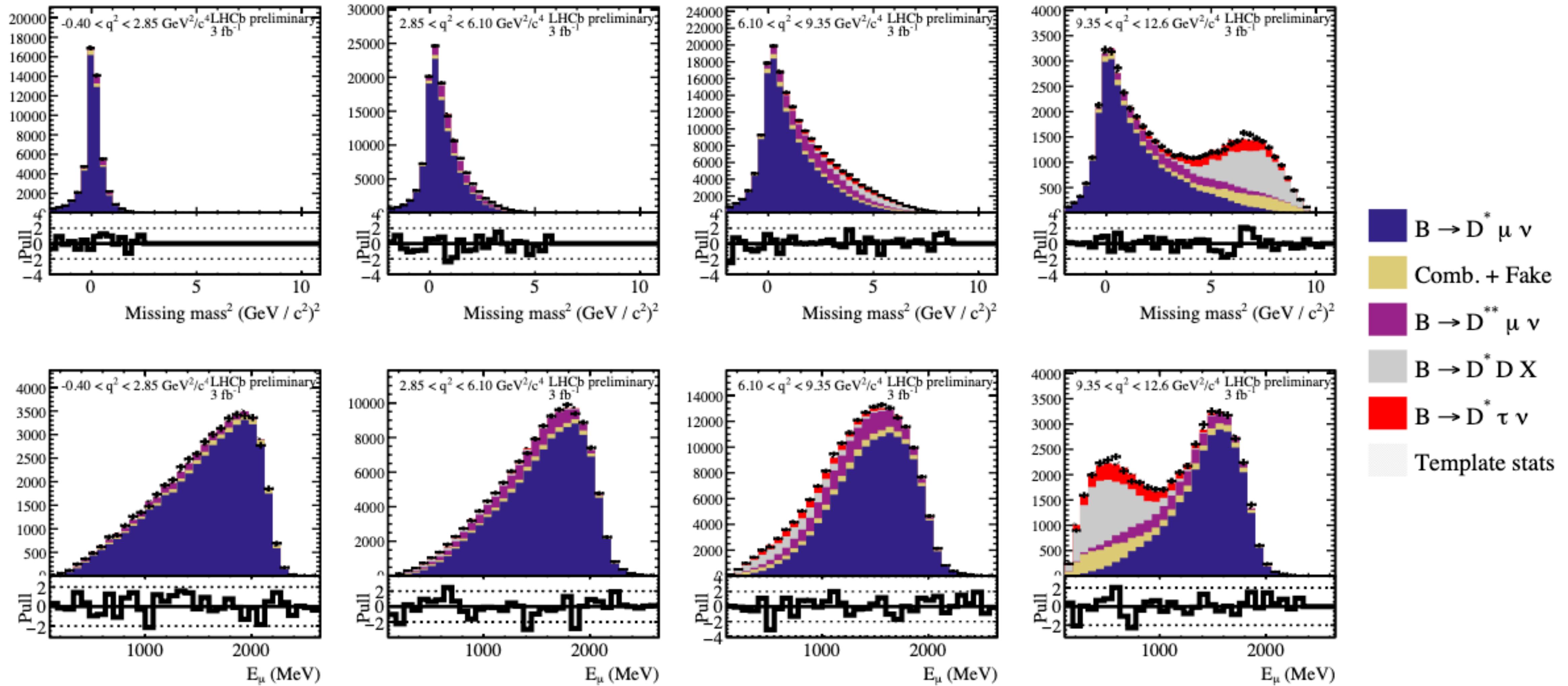
- $q^2 = (p_B - p_{D^{(*)}})^2$
- $m_{miss}^2 = (p_B - p_{D^{(*)}} - p_\mu)^2$
- Energy of  $\mu$ :  $E_\mu$
- 8 samples in total (for  $D^0$  &  $D^{*+}$ ):
  - Signal sample
  - Three isolated control samples (with extra  $\pi, \pi\pi$  or  $K$ )
  - Simultaneous fit of all 8 samples

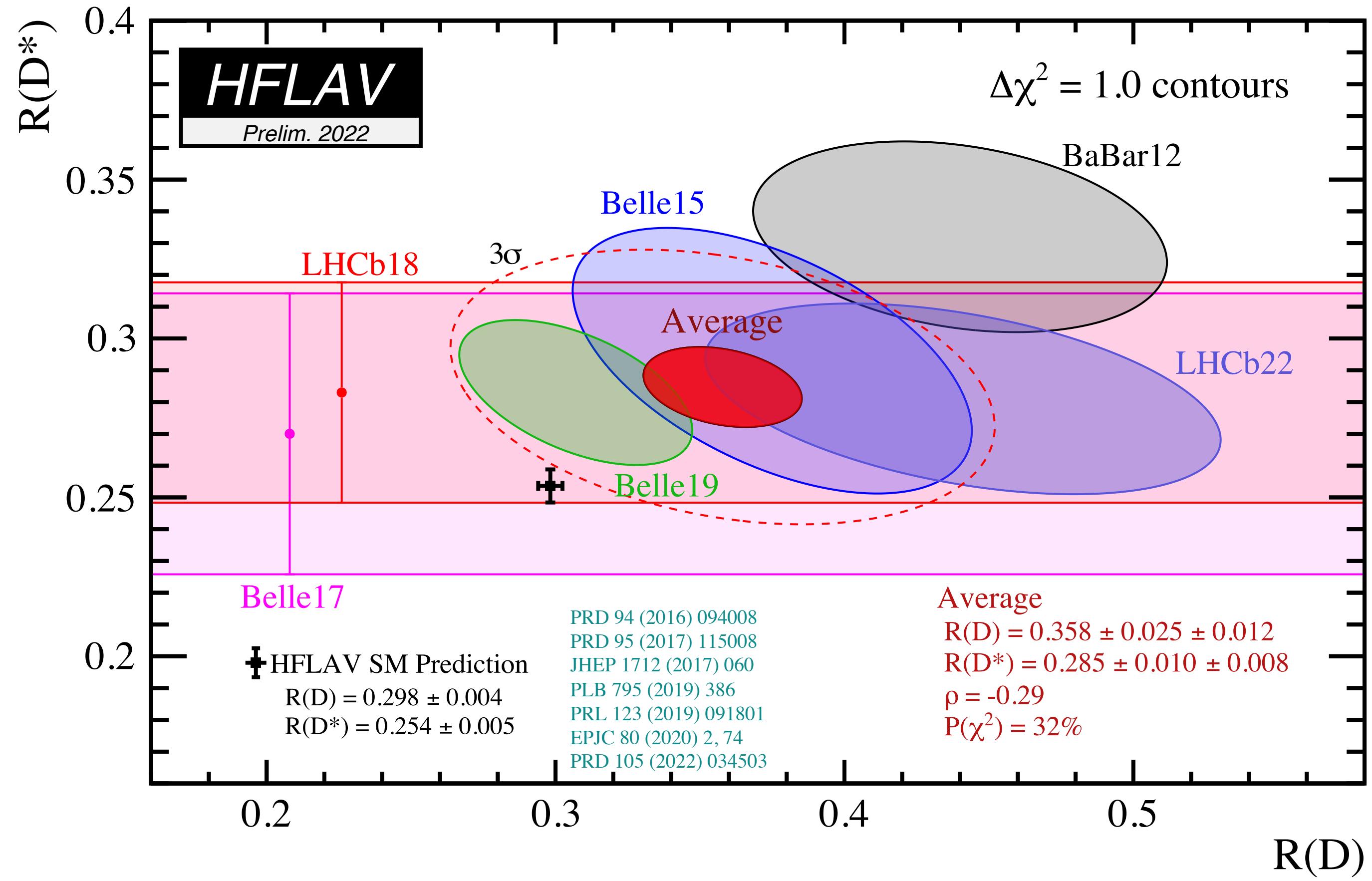


# $D^0\mu^-$ signal sample fit



# $D^* \mu^-$ signal sample fit





## Result of this measurement:

- $R(D^*) = 0.281 \pm 0.018(stat) \pm 0.024(syst)$
- $R(D) = 0.441 \pm 0.060(stat) \pm 0.066(syst)$
- Correlation  $\rho = -0.43$
- $1.9\sigma$  agreement with SM

## New preliminary average:

- Slightly lower  $R(D^*)$ , slightly higher  $R(D)$ , reduced correlation
- $3.3\sigma \rightarrow 3.2\sigma$  away from SM
- Global picture unchanged...

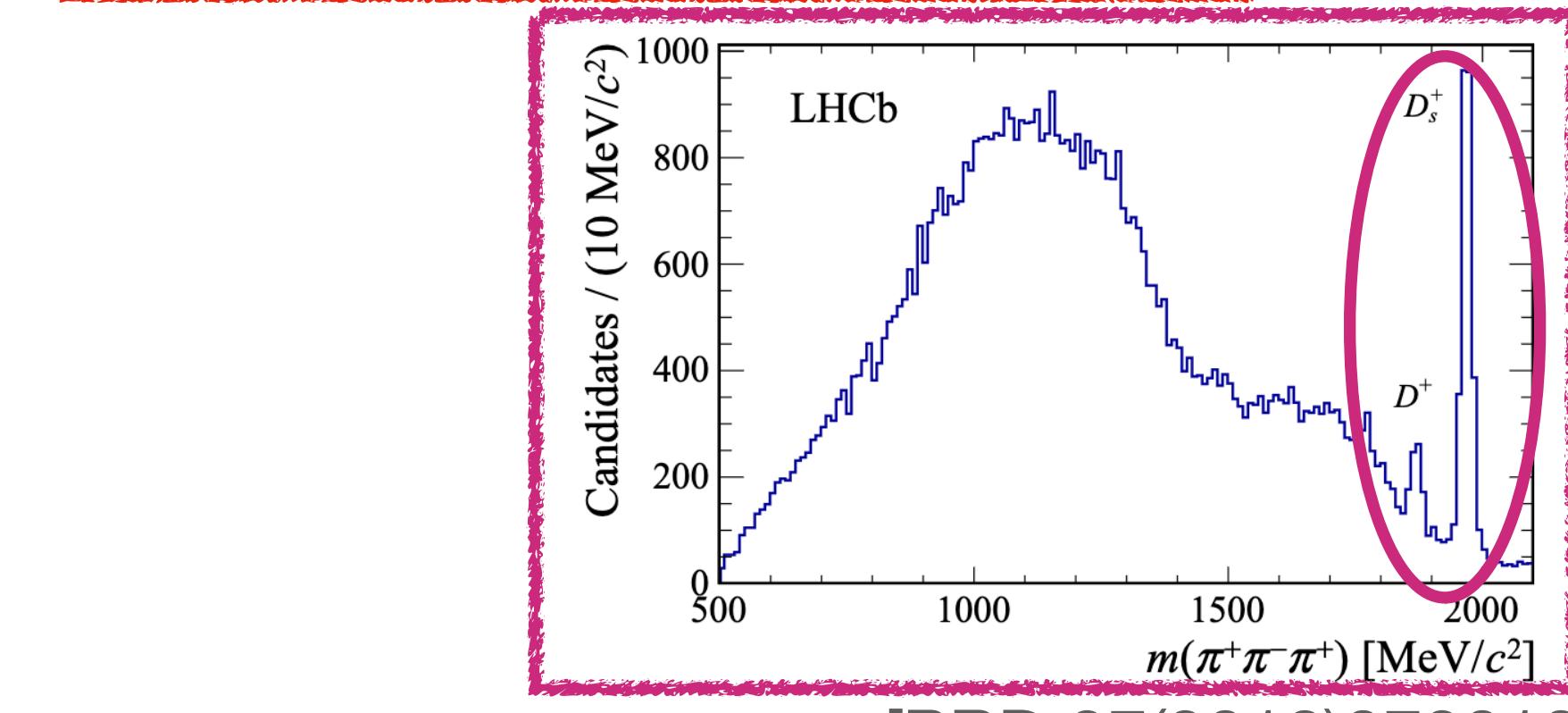
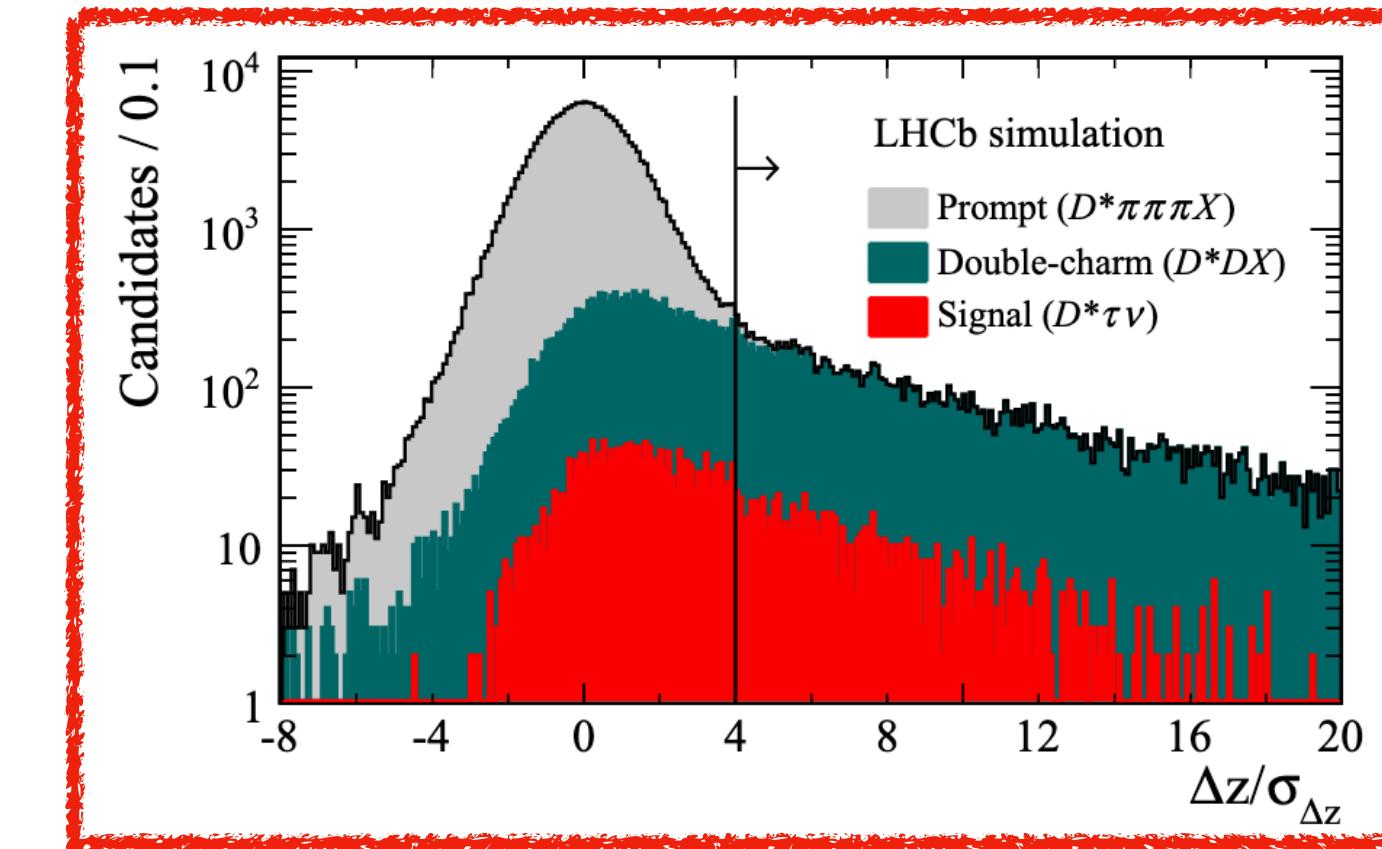
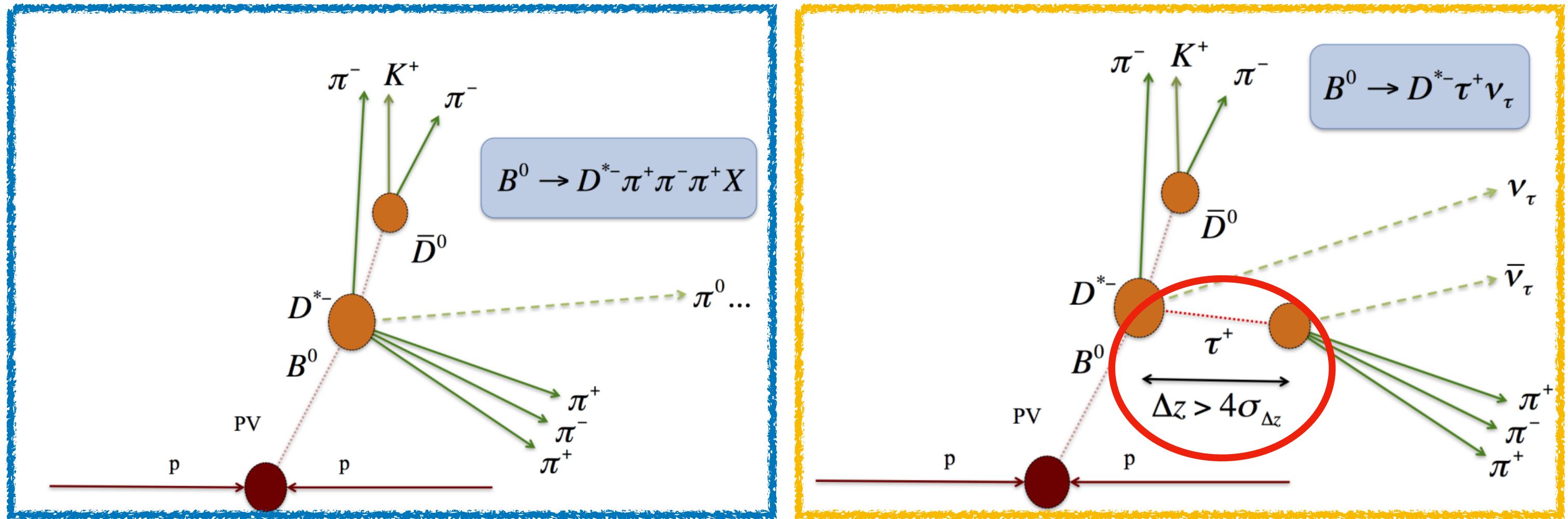
# **Measurement of $R(D^*)$ with hadronic $\tau$ decays**

**[PRD 108(2023)012018][PRD 109(2024)119902(E)]**

More details see [CERN seminar by Resmi](#)

# Measurement of $R(D^*)$ with hadronic $\tau$ decays

[PRD 108(2023)012018]  
 [PRD 109(2024)119902(E)]

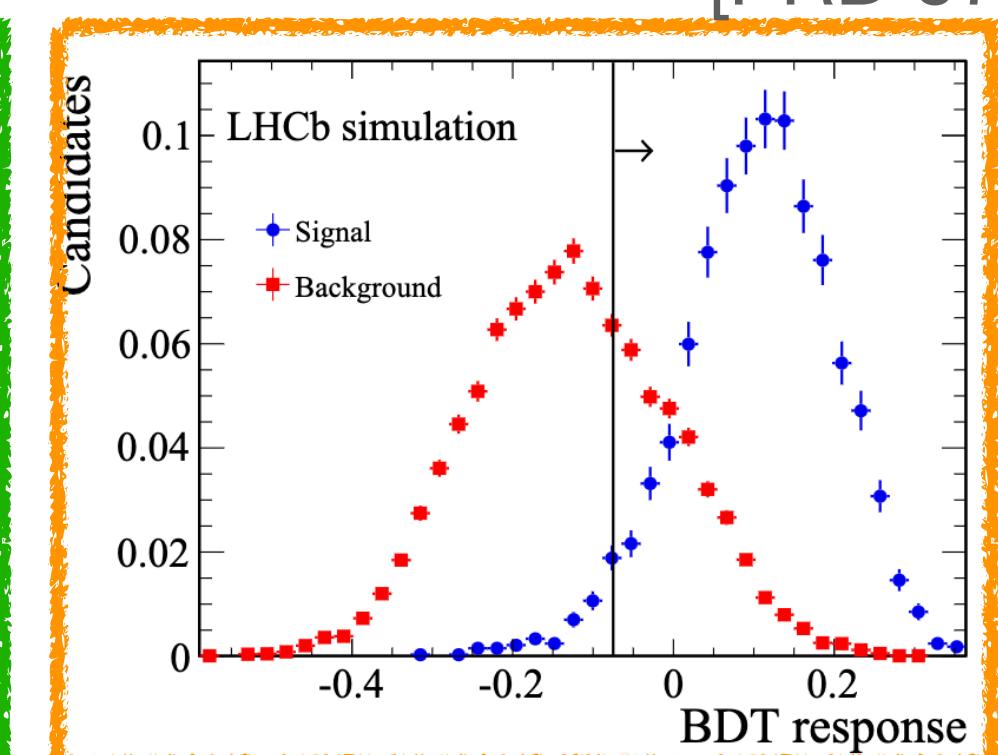
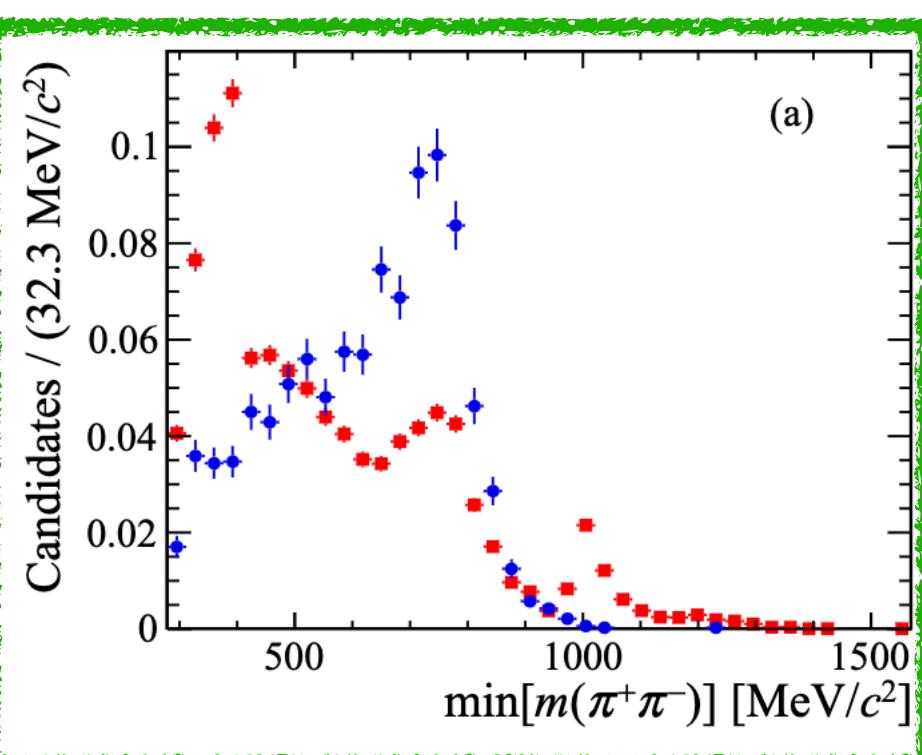


## Prompt backgrounds

- ~ 100 times larger than the signal
- Suppressed effectively with detached-vertex selection

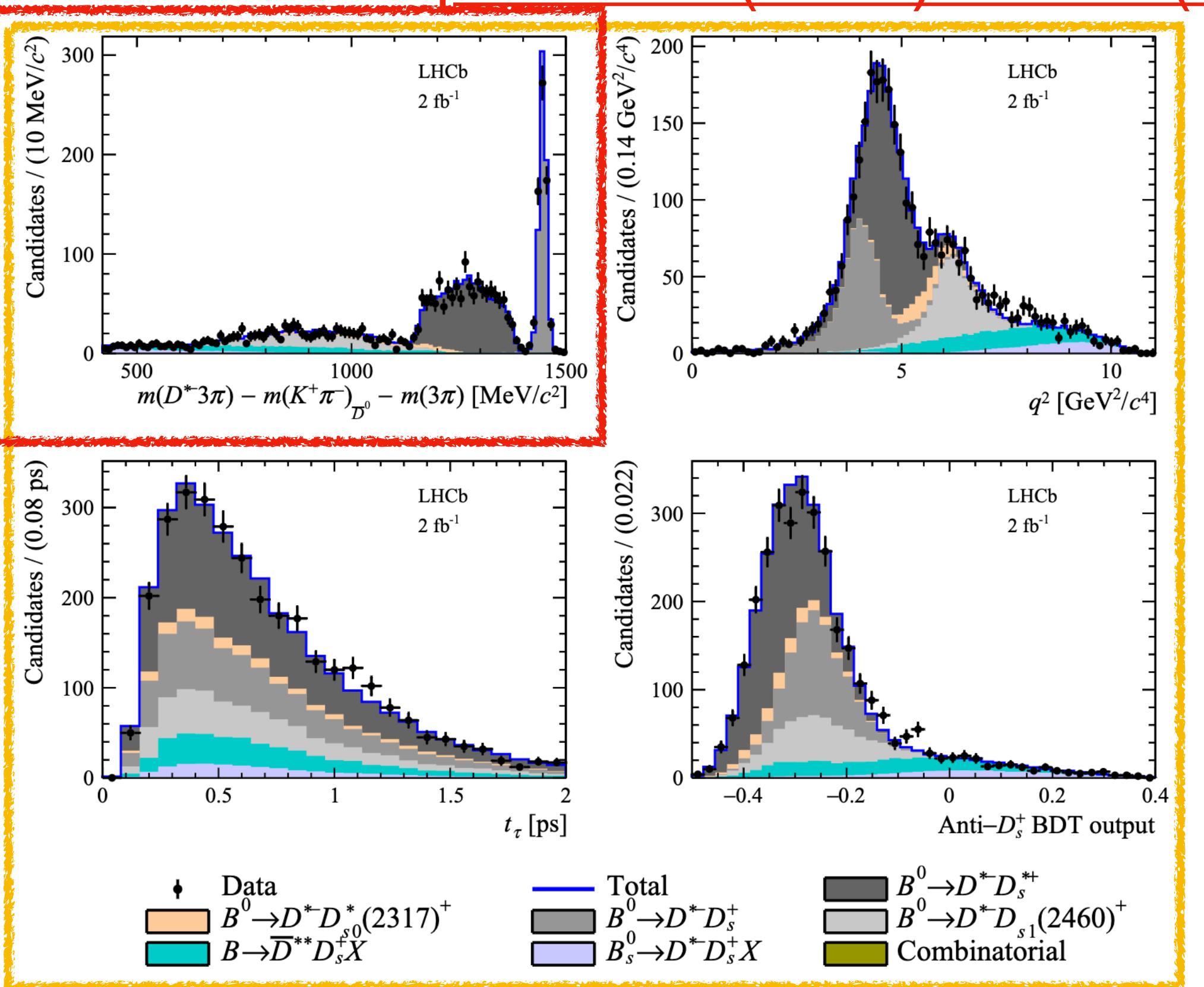
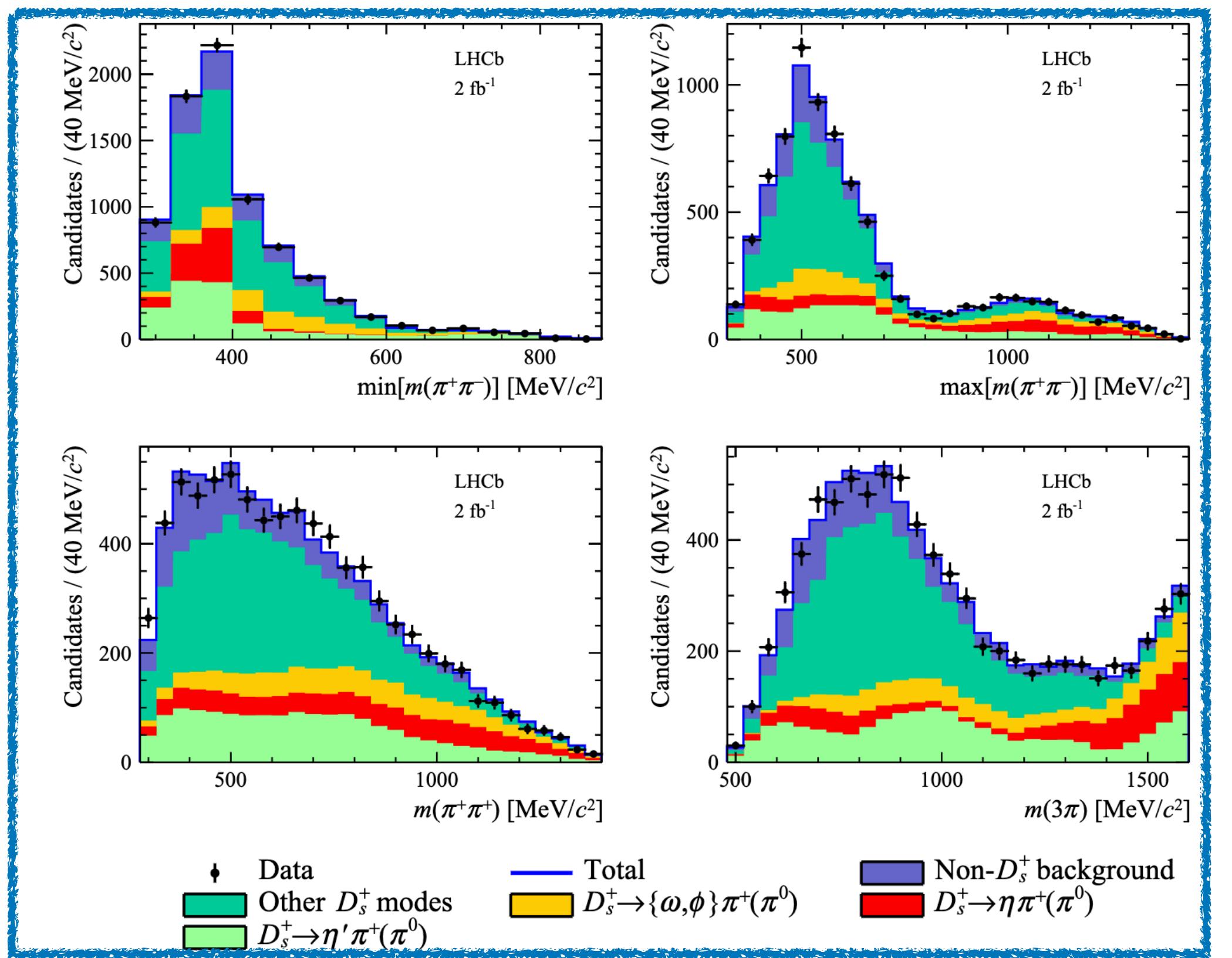
## Double-charm backgrounds (after detached-vertex selection)

- $B \rightarrow D^{*-}(D_s^+, D^+, D^0)X$  backgrounds
- $B \rightarrow D^{*-}D_s^+X$  the largest contributor
- A BDT classifier based on kinematics and resonant structure to separate signal from  $B \rightarrow D^{*-}D_s^+X$



# Measurement of $R(D^*)$ with hadronic $\tau$ decays

[PRD 108(2023)012018]  
 [PRD 109(2024)119902(E)]



## $D_s^+ \rightarrow \pi^+ \pi^- \pi^+ X$ control fit

- Data samples with low anti- $D_s^+$  BDT
- To constrain different components in  $D_s^+ \rightarrow \pi^+ \pi^- \pi^+ X$  decays

## Fitting production of $D_s^+$

- Data samples with  $|m(3\pi^\pm) - m(D_s^+)_{PDG}| < 20$  MeV
- To constrain different components in  $B_{(S)} \rightarrow D^{(*,**)} D_s^{(*,**)+} (X)$  decays

# Measurement of $R(D^*)$ with hadronic $\tau$ decays

[PRD 108(2023)012018]  
 [PRD 109(2024)119902(E)]

Dataset: 2015-2016  $2\text{fb}^{-1}$   $pp$  collision data

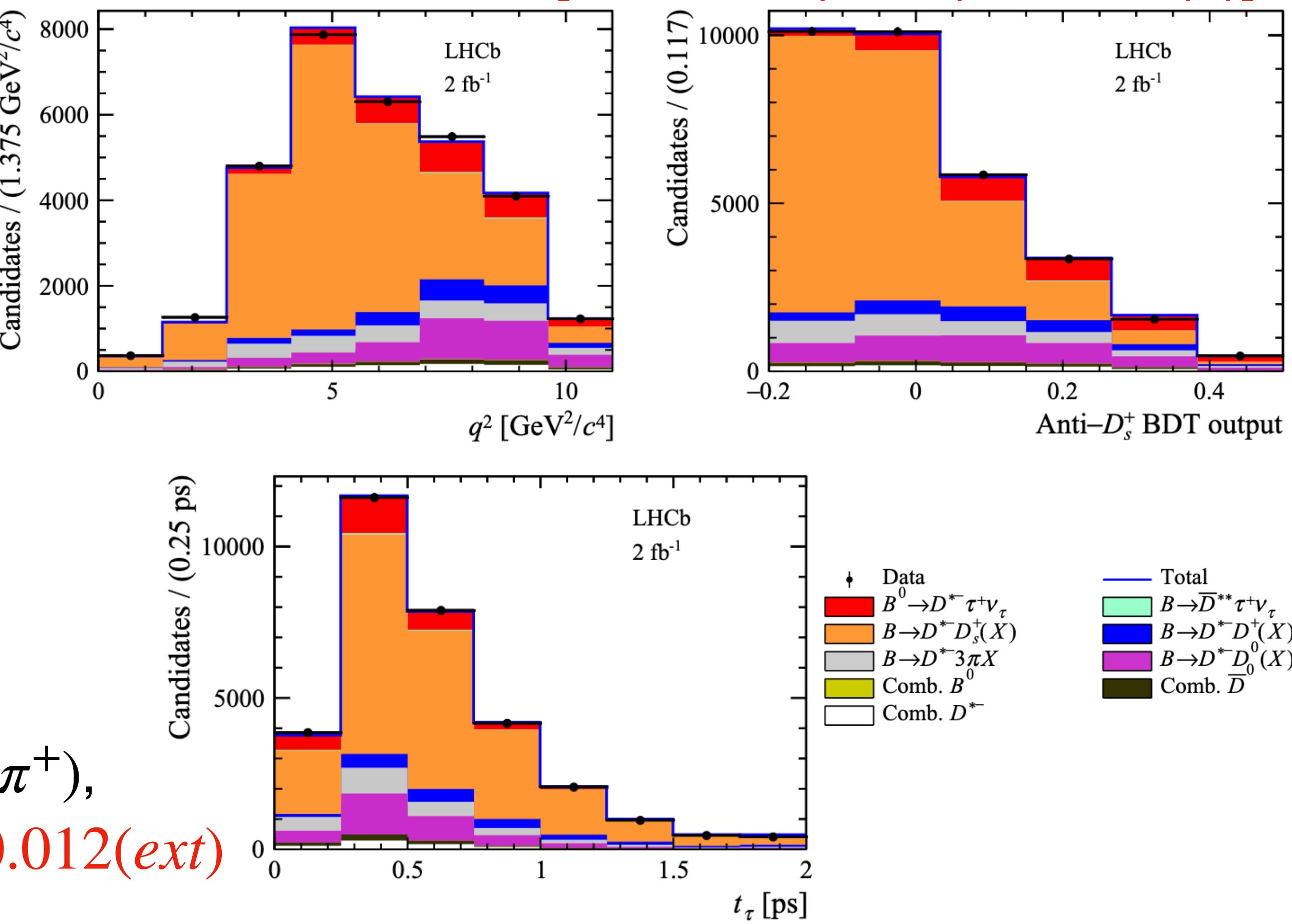
Signal yield measured w/ 3D template fit to

- $q^2 \equiv (p_{B^0} - P_{D^*})^2$
- $\tau$  lifetime
- anti- $D_s^+$  BDT output

$$\begin{aligned} K(D^*) &= \frac{Br(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{Br(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)} \\ &= 1.79 \pm 0.11(\text{stat}) \pm 0.11(\text{syst}) \end{aligned}$$

- Using  $Br(B^0 \rightarrow D^{*-} \mu^+ \nu_\mu)$  &  $Br(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)$ ,  
 $R(D^*) = 0.260 \pm 0.015(\text{stat}) \pm 0.016(\text{syst}) \pm 0.012(\text{ext})$   
 (SM prediction:  $0.254 \pm 0.005$ )

- Combined with Run1 result:  
 $R(D^*)_{2011-2016} = 0.267 \pm 0.012(\text{stat}) \pm 0.015(\text{syst}) \pm 0.013(\text{ext})$

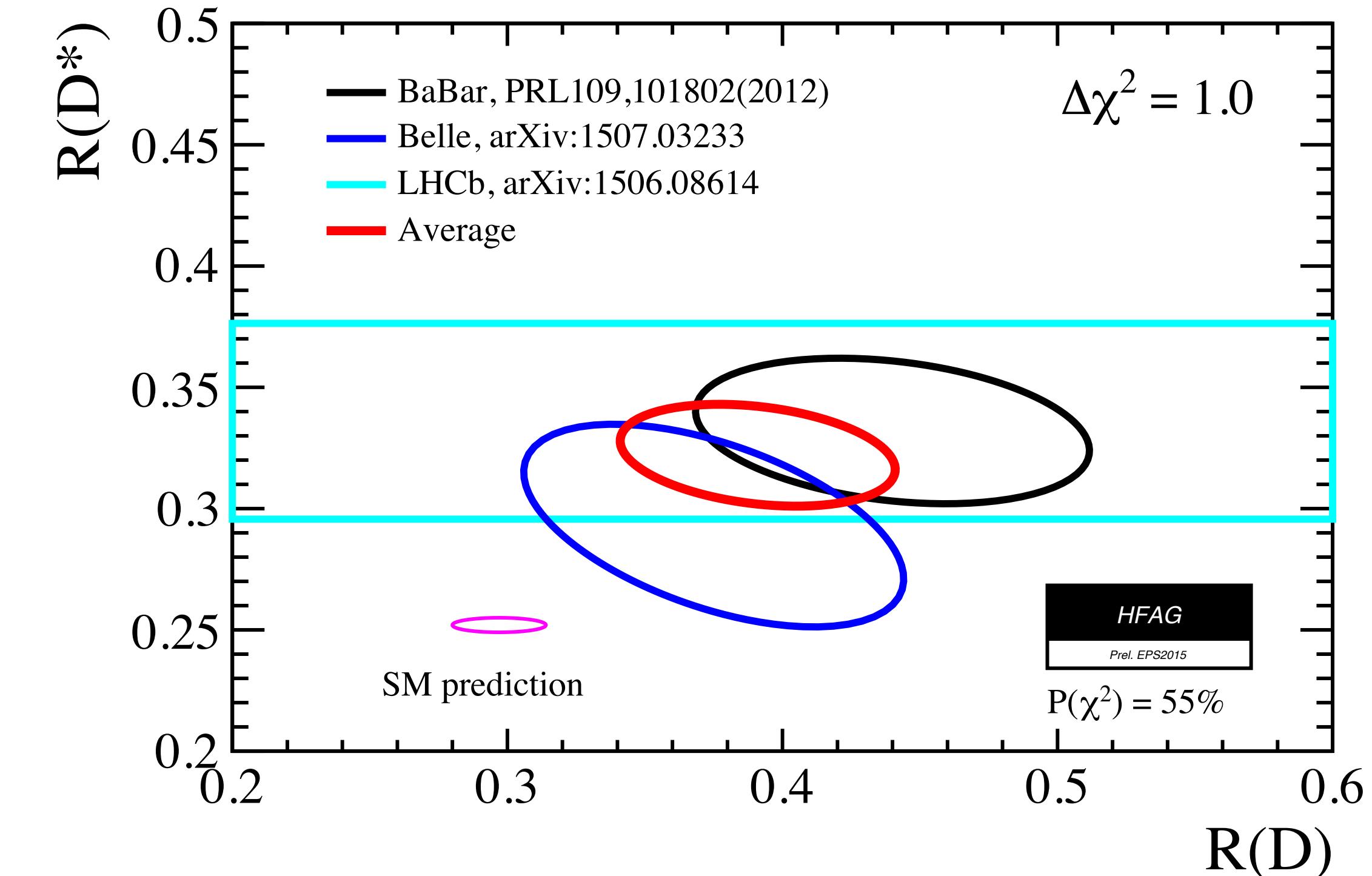
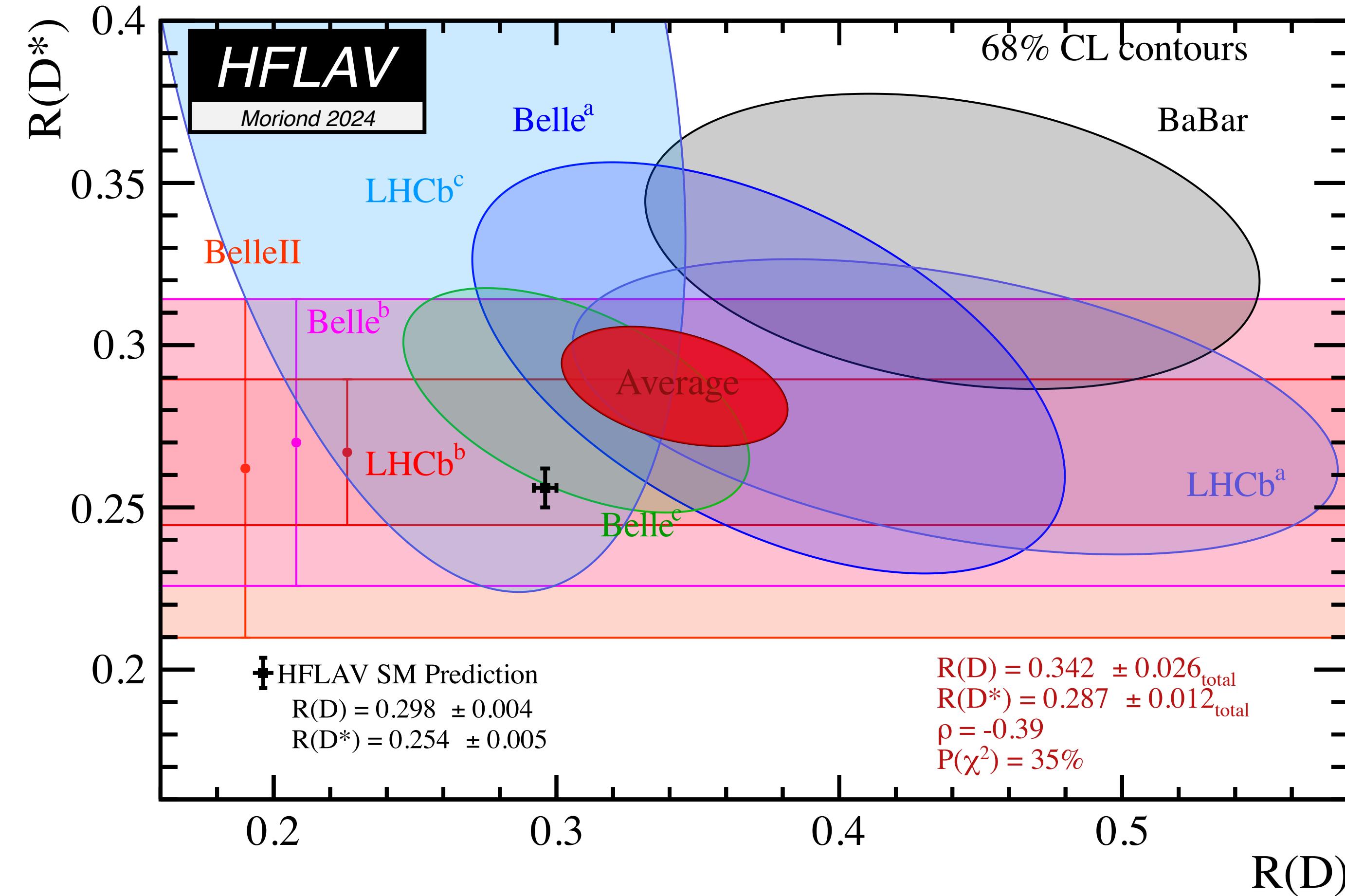


$$N(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau) = 2573 \pm 156$$

Run 1 yield:  $1296 \pm 86$

# Current $R(D) - R(D^*)$ scenario

[HFLAV2024]



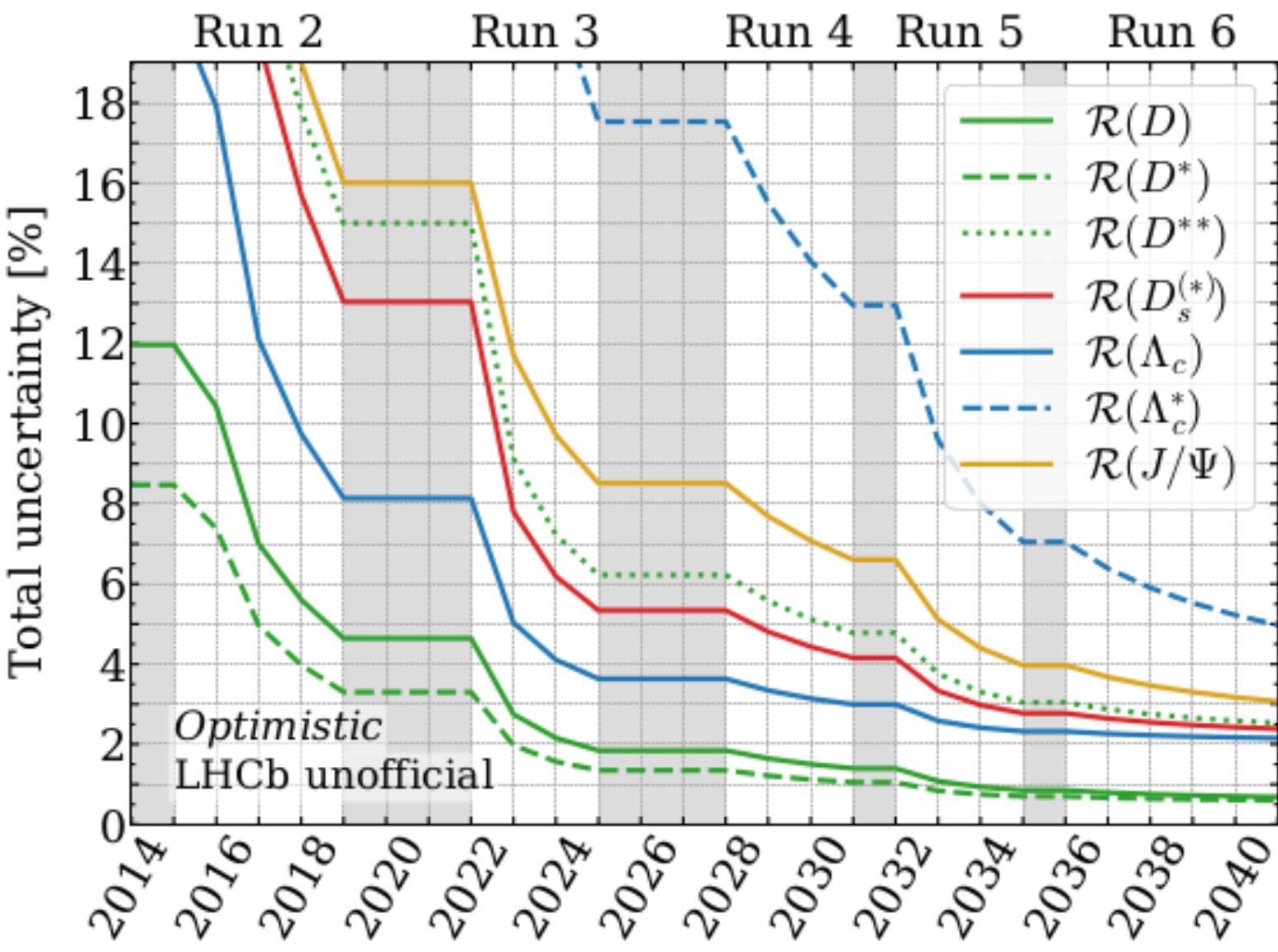
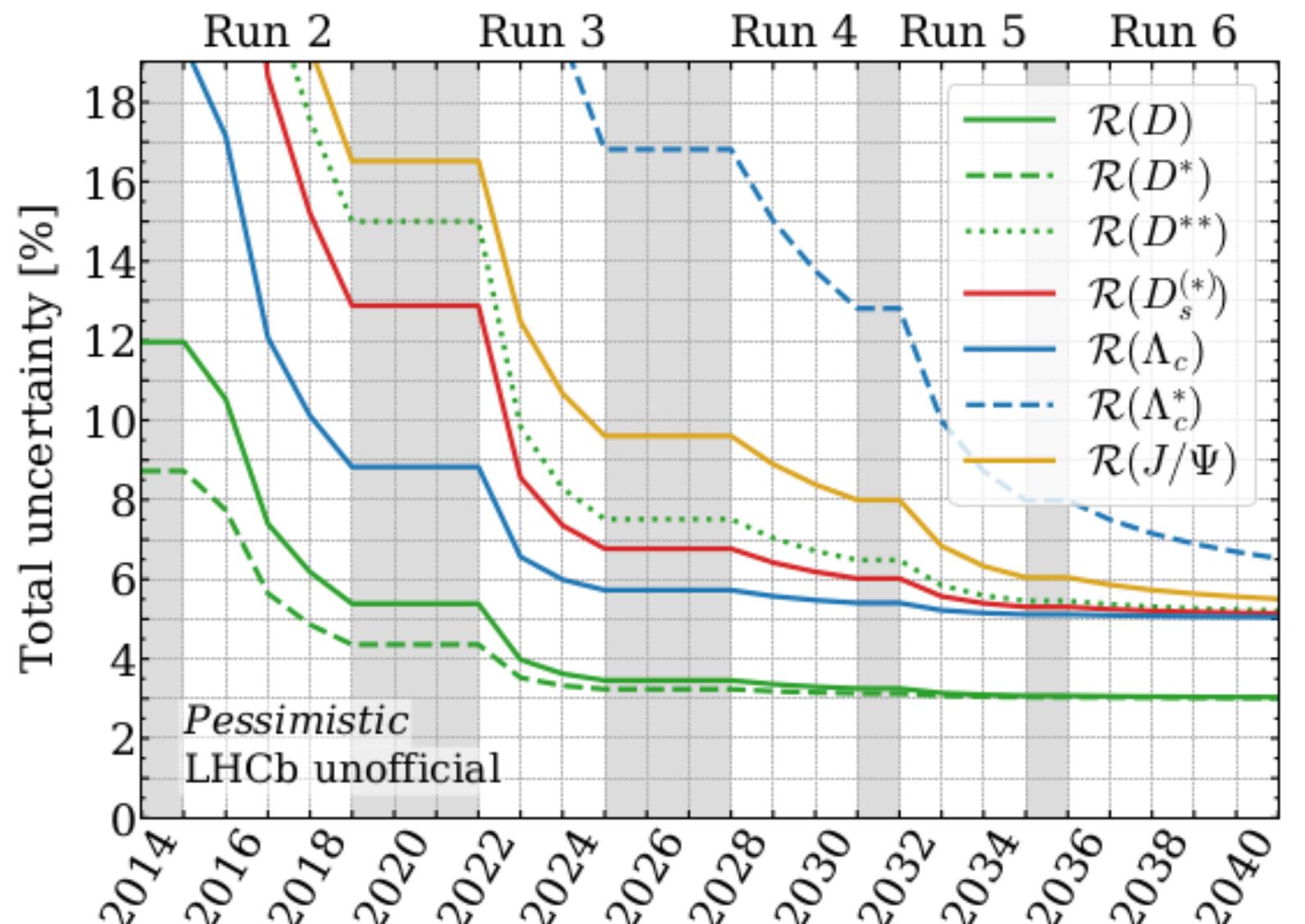
- Deviation of the WA of  $R(D^*)$  with SM  $\sim 2.5\sigma$
- Combined  $R(D) - R(D^*)$  deviates from SM  $\sim 3.3\sigma$

# Prospects for LFUV tests with semitauonic decays at LHCb

[Rev. Mod. Phys. 94, 015003]

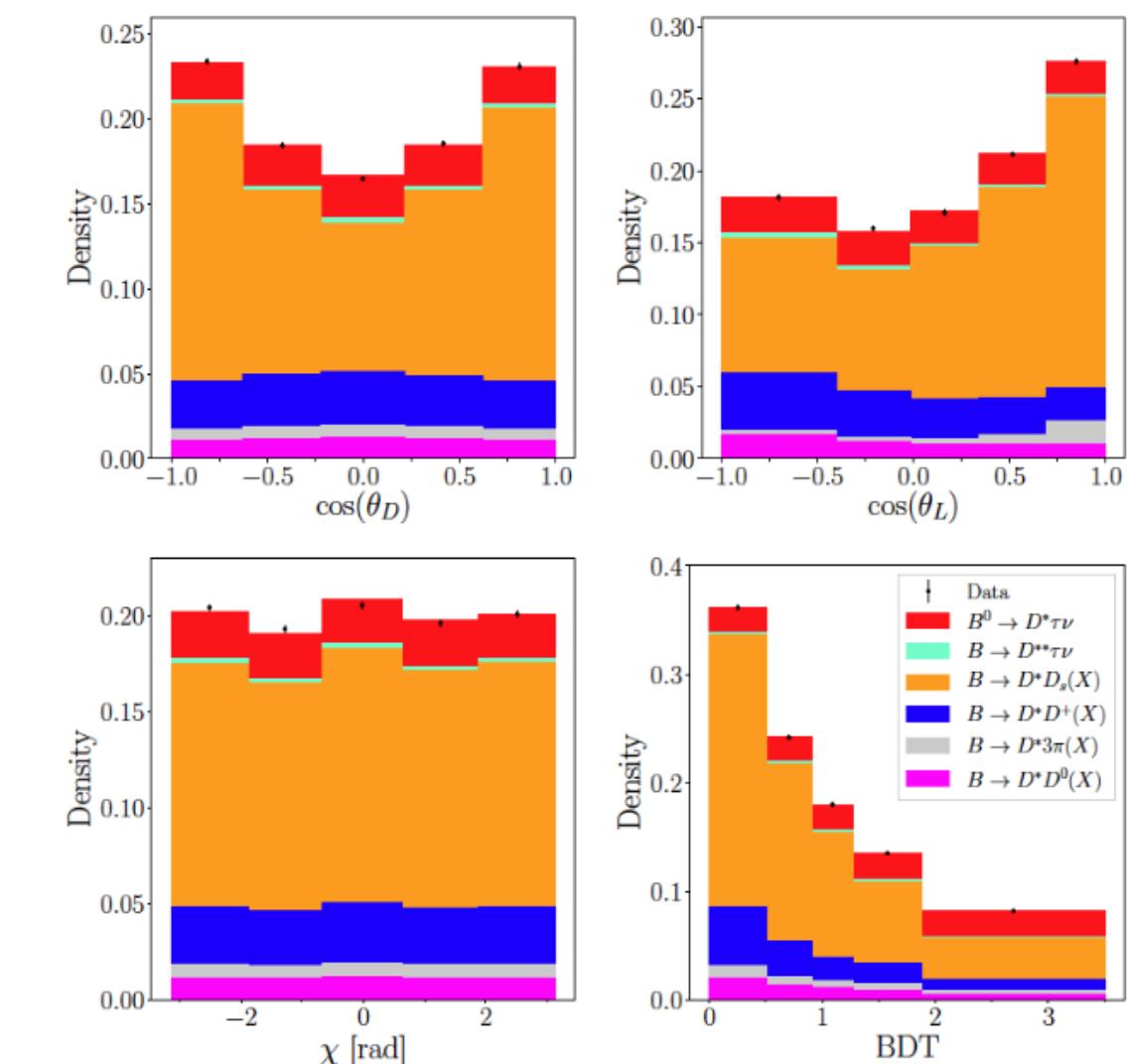
F. U. Bernlochner, M. F. Sevilla, D. J. Robinson, and G. Wormser

- More **statistics**
  - Analyses including Run2  $6 \text{ fb}^{-1}$  data samples ongoing
  - Data taking in Run3
- Control of **systematics**
  - New technologies (fast simulation, multivariate selection...)
  - Inputs from other experiments and theorists
  - ...
- Probe into **other observables**
  - $R(D_s^+)$ ,  $R(\Lambda_c^+)$ ,  $R(J/\psi)$  ...
  - Polarisation measurement
  - Angular analysis



[JHEP 11(2019)133]

D. Hill, M. John, W. Ke and A. Poluektov



Prospects of angular analysis  
of  $B^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau$  with full  
Run1+Run2 data sample  
from simulation

# Summary

- LHCb is the main player in the test of lepton flavour universality
  - Production of various B hadrons:  $B^0, B^+, B_s^0, \Lambda_b^0, B_c^+ \dots$
  - Large statistics, good performance of tracking and PID
- Complementary measurement to each other: providing different constraints
  - **Baryonic** and **mesonic** B decays: different spin, different form factor
  - **Hadronic** and **muonic**  $\tau$  decays: different background, different normalisation
- More results upcoming! Stay tuned!
- Manpower required! Welcome to join us!

*Thank you for listening!*

# **Backup**

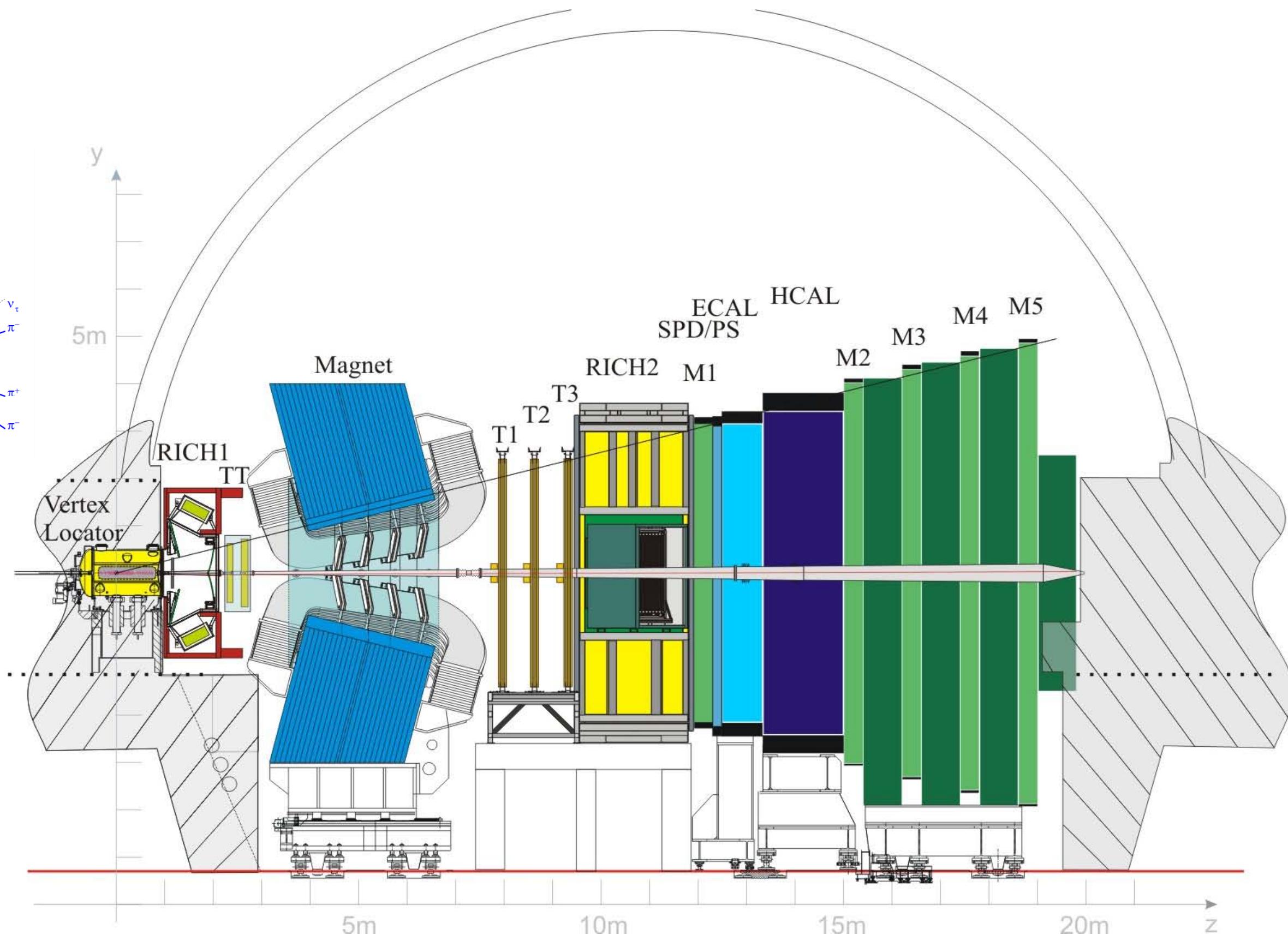
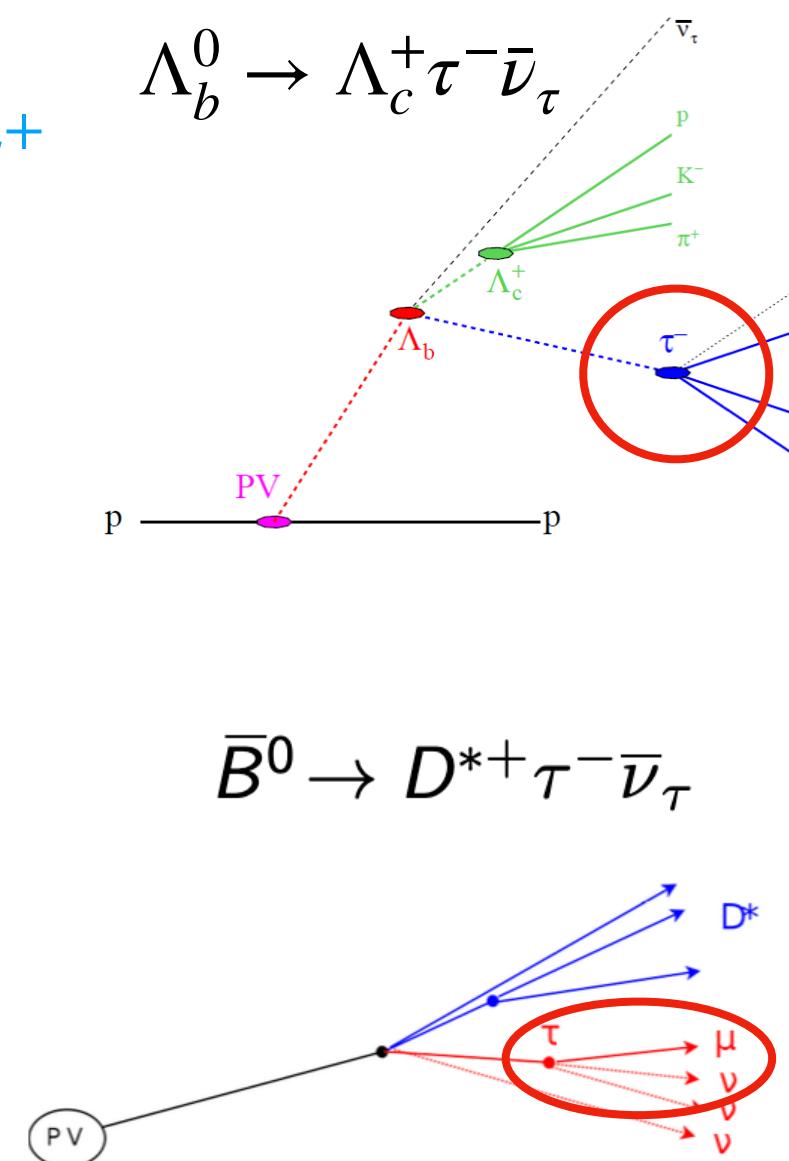
# The LHCb detector

[Int. J. Mod. Phys. A 30\(2015\)153002](#)

[JINST 3\(2008\)S08005](#)

**A single-arm forward spectrometer, designed for the study of heavy flavour physics**

- Excellent vertex, IP and decay-time resolution
  - $\sigma(\text{IP}) \approx 20 \mu\text{m}$  for high- $p_T$  tracks
  - $\sigma(\tau) \approx 45 \text{ fs}$  for  $B_s^0 \rightarrow J/\psi\phi$  and  $B_s^0 \rightarrow D_s^-\pi^+$
- Very good momentum resolution
  - $\delta p/p \approx 0.5\%-1\%$  for  $p \in (0,200) \text{ GeV}$
  - $\sigma(m_B) \approx 24 \text{ MeV}$  for two-body decays
- Hadron and muon identification
  - $\varepsilon_{K \rightarrow K} \approx 95\%$  for  $\varepsilon_{\pi \rightarrow K} \approx 5\%$  up to 100 GeV
  - $\varepsilon_{\mu \rightarrow \mu} \approx 97\%$  for  $\varepsilon_{\pi \rightarrow \mu} \approx 1\%-3\%$
- $2 < \eta < 5$  range (LHCb acceptance):  
 $\sim 3 \times 10^4/s b\bar{b}$  pairs@ 7 TeV  $\sim \times 2$  yield@ 13 TeV



# Why LFUV tests with $\Lambda_b^0$ decays are interesting?

- Different new physics(NP) couplings: could help to constrain NP models

## NP expectations for $R(\Lambda_c^+)$ in various models

A. Datta et al.. Journal of High Energy Physics 1708 (2017) 131

	$g_S$ only	$g_P$ only	$g_L$ only	$g_R$ only	$g_T$ only
	-0.4	0.3	-2.2	-0.044	0.4
$R(\Lambda_c)$	$0.290 \pm 0.009$	$0.342 \pm 0.010$	$0.479 \pm 0.014$	$0.344 \pm 0.011$	$0.475 \pm 0.037$
$R_{\Lambda_c}^{Ratio}$	$0.872 \pm 0.007$	$1.026 \pm 0.001$	1.44	$1.033 \pm 0.003$	$1.426 \pm 0.100$
	$-1.5 - 0.3i$	$0.4 - 0.4i$	$0.15 - 0.3i$	$0.08 - 0.67i$	$0.2 - 0.2i$
$R(\Lambda_c)$	$0.384 \pm 0.013$	$0.346 \pm 0.011$	$0.470 \pm 0.014$	$0.465 \pm 0.014$	$0.404 \pm 0.021$
$R_{\Lambda_c}^{Ratio}$	$1.154 \pm 0.008$	$1.040 \pm 0.002$	1.412	$1.397 \pm 0.005$	$1.213 \pm 0.050$

NP predictions with all present constraints from the meson sector

Coupling	$R(\Lambda_c)_{max}$	$R_{\Lambda_c,max}^{Ratio}$	coupling value	$R(\Lambda_c)_{min}$	$R_{\Lambda_c,min}^{Ratio}$	coupling value
$g_S$ only	0.405	1.217	0.363	0.314	0.942	-1.14
$g_P$ only	0.354	1.062	0.658	0.337	1.014	0.168
$g_L$ only	0.495	1.486	$0.094 + 0.538i$	0.340	1.022	$-0.070 + 0.395i$
$g_R$ only	0.525	1.576	$0.085 + 0.793i$	0.336	1.009	-0.012
$g_T$ only	0.526	1.581	0.428	0.338	1.015	-0.005

**First observation of  $\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau$  and  
measurement of  $R(\Lambda_c^+)$  with hadronic tau decays**

**[PRL 128(2022)191803]**

# Why LFUV tests with $\Lambda_b^0$ decays are interesting?

- The spin  $\frac{1}{2}$  baryonic channel is complementary to mesonic channels ( $R(D^*)\dots$ ).
- SM prediction:  
$$R(\Lambda_c^+)_{SM} = 0.324 \pm 0.004$$
 [PRD 99(2019)055008]
- But different new physics(NP) couplings: could help to constrain NP models
- Unique measurement to LHCb. Never searched for before!

NP predictions with all present constraints from the meson sector

Coupling	$R(\Lambda_c)_{max}$	$R_{\Lambda_c,max}^{Ratio}$	coupling value	$R(\Lambda_c)_{min}$	$R_{\Lambda_c,min}^{Ratio}$	coupling value
$g_S$ only	0.405	1.217	0.363	0.314	0.942	-1.14
$g_P$ only	0.354	1.062	0.658	0.337	1.014	0.168
$g_L$ only	0.495	1.486	$0.094 + 0.538i$	0.340	1.022	$-0.070 + 0.395i$
$g_R$ only	0.525	1.576	$0.085 + 0.793i$	0.336	1.009	-0.012
$g_T$ only	0.526	1.581	0.428	0.338	1.015	-0.005

$$R_{\Lambda_c}^{Ratio} = \frac{R(\Lambda_c)_{exp}}{R(\Lambda_c)_{SM}}$$

[JHEP 1708(2017)131]

# Searching for $\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau$ at LHCb...

# [PRL 128(2022)191803]

# Data samples: $3 \text{ fb}^{-1}$ $pp$ collision data @ 7,8 TeV from Run1

$\Lambda_c^+$  reconstructed by  $pK^-\pi^+$ , and  $\tau^-$  by  $\pi^-\pi^+\pi^-$

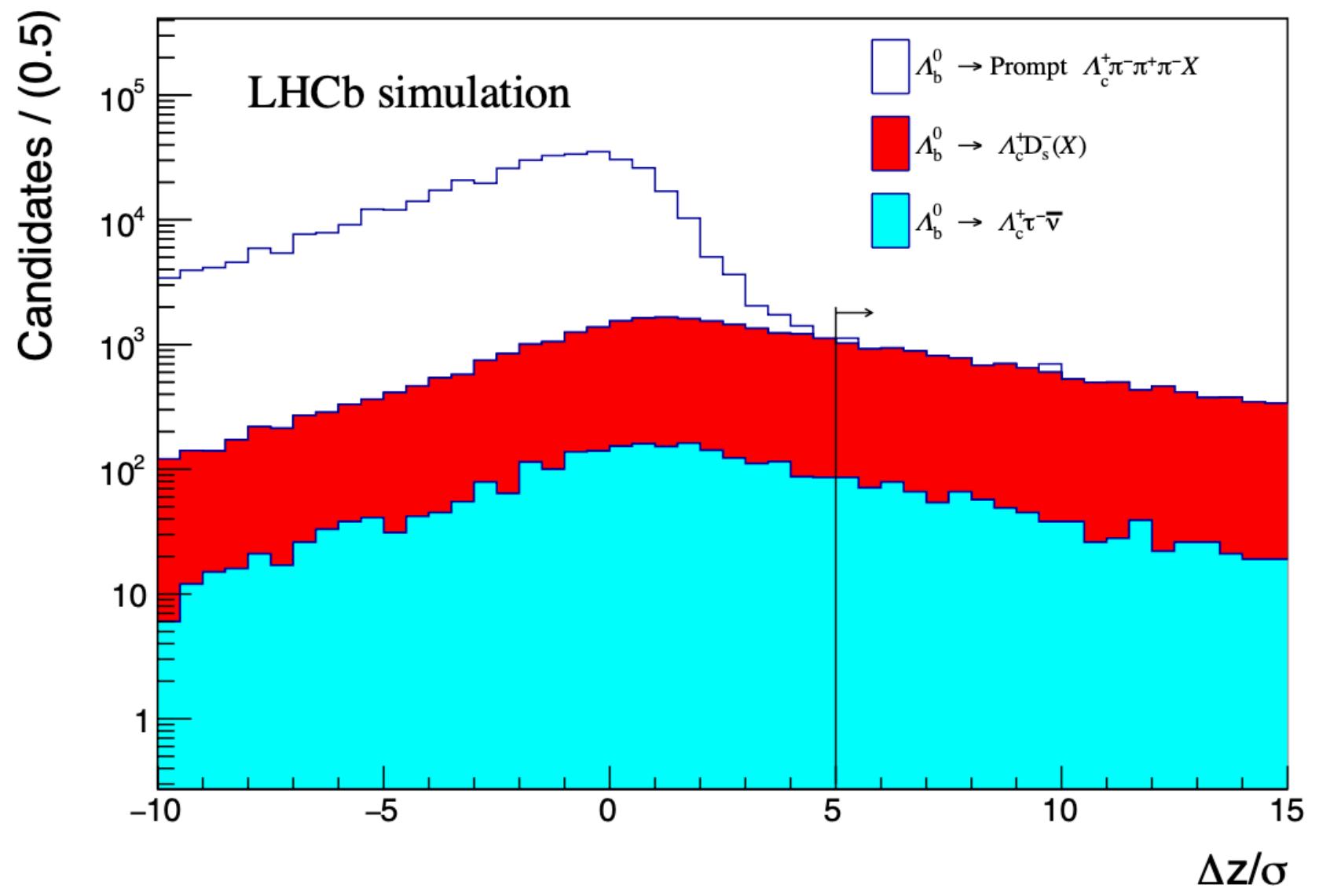
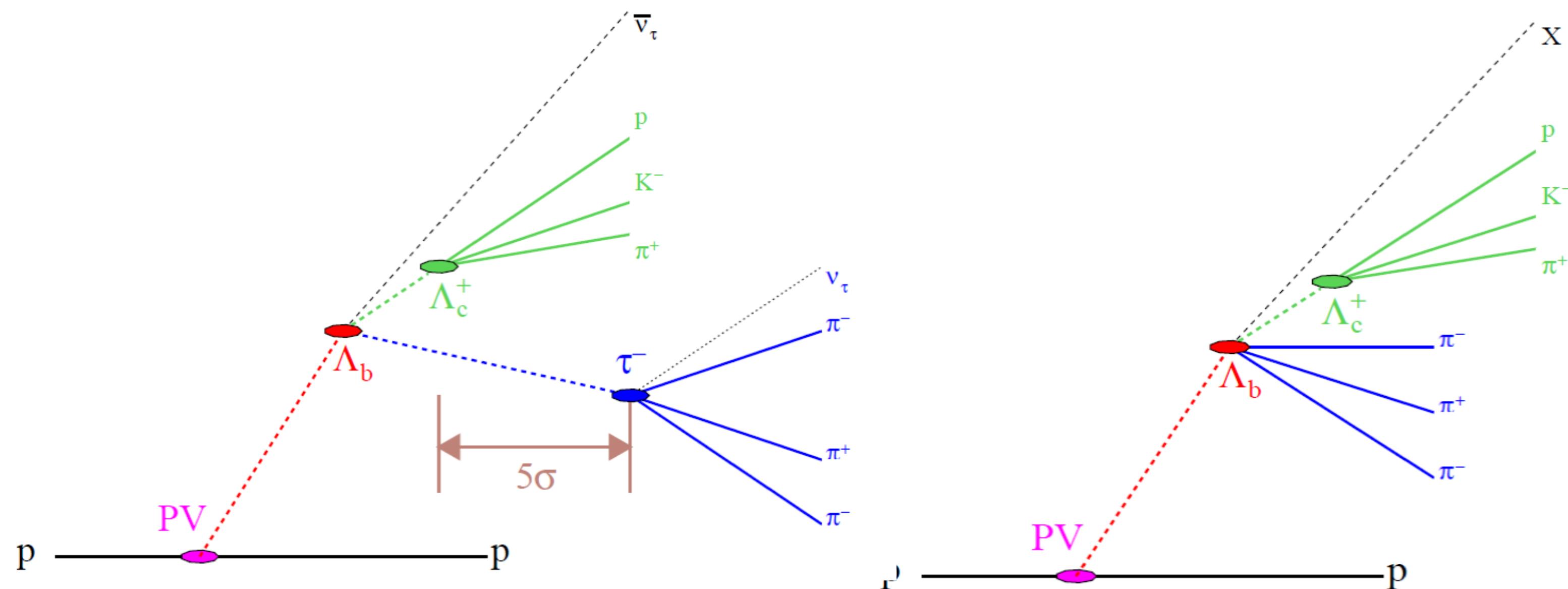
$$R(\Lambda_c^+) = \frac{Br(\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau)}{Br(\Lambda_b^0 \rightarrow \Lambda_c^+ \pi^- \pi^+ \pi^-)} \times \frac{Br(\Lambda_b^0 \rightarrow \Lambda_c^+ \pi^- \pi^+ \pi^-)}{Br(\Lambda_b^0 \rightarrow \Lambda_c^+ \mu^- \bar{\nu}_\mu)}$$

Measured  $K(\Lambda_c^+)$

External inputs

# Prompt rejection $\sim 5 \times 10^3$ level

$$\Delta z = z(3\pi) - z(\Lambda_c^+) > 5\sigma_{vtx}$$



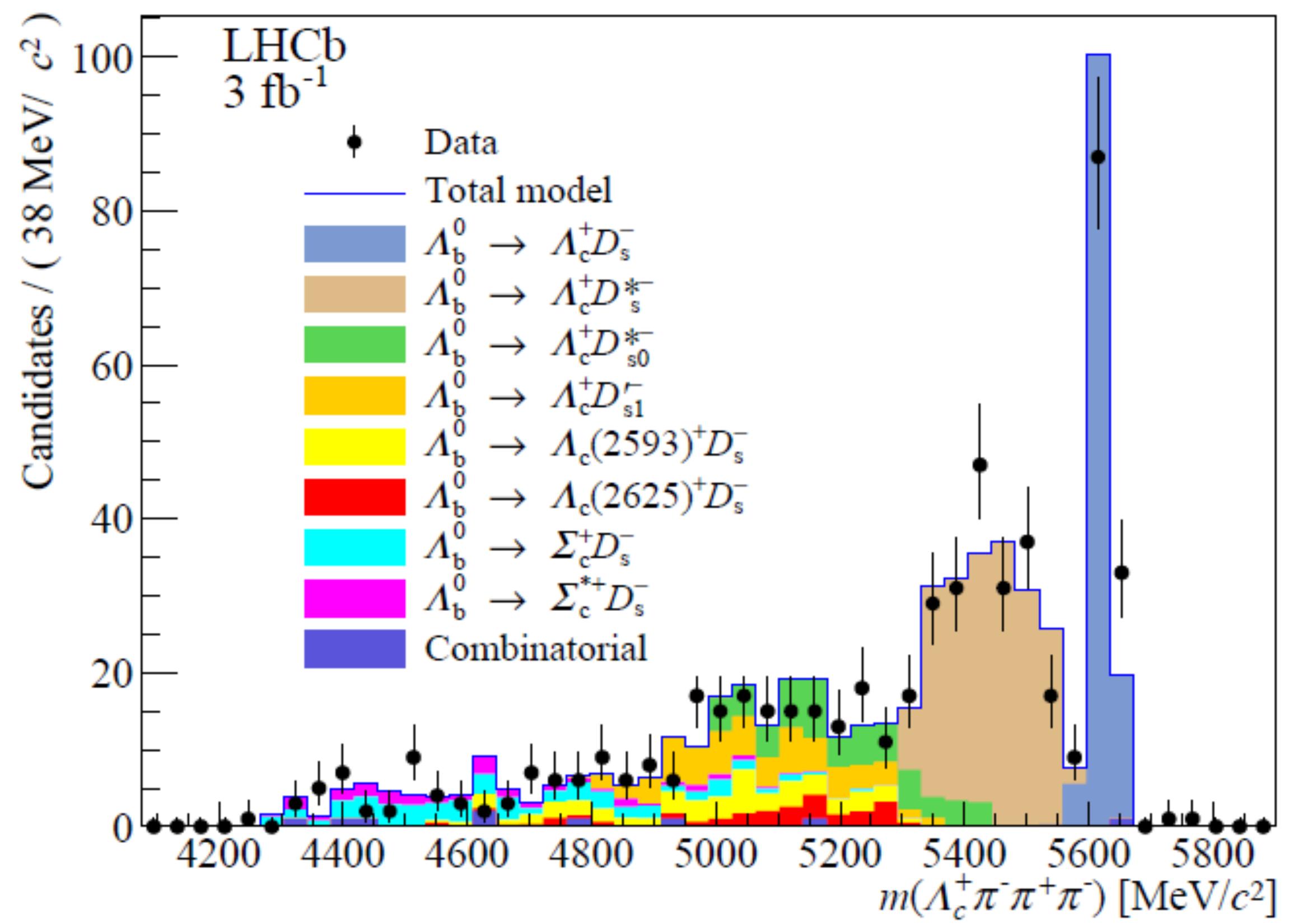
# Searching for $\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau$ at LHCb...

[PRL 128(2022)191803]

- Main backgrounds

- Prompt background from  $\Lambda_b^0 \rightarrow \Lambda_c^+ \pi^- \pi^+ \pi^- X$  decays
- Double charm background from  $\Lambda_b^0 \rightarrow \Lambda_c^+ \{D^-, D_s^0, D_s^-\} X$  decays
- Feed-down background from  $\Lambda_b^0 \rightarrow \Lambda_c^{*(*)} D_s^{*(*)-}$  decays
- Combinatorial background -> Controlled by data-based samples

Controlled by fitting  
 $\Lambda_c^+ \pi^- \pi^+ \pi^-$   
mass distribution

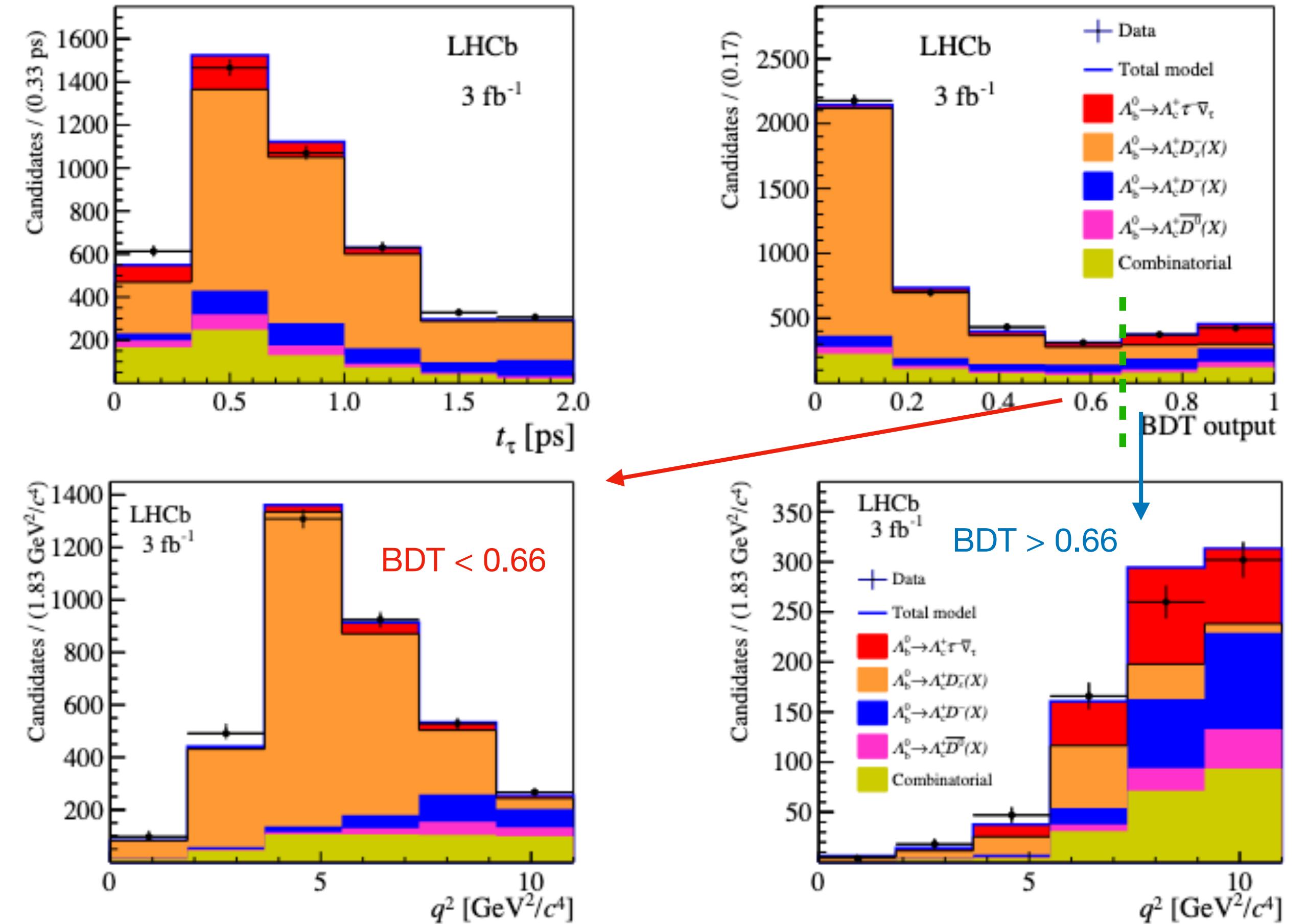


# Searching for $\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau$ at LHCb...

[PRL 128(2022)191803]

Extracting signal yield from 3D fit

- $q^2 (= (p_B - p_{D^{*+}})^2)$ ,  $t_\tau$  and anti- $D_s$  BDT output



- Signal significance:  $6.1\sigma$   
-> **first observation** of  $\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau$
- $$K(\Lambda_c^+) = \frac{Br(\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau)}{Br(\Lambda_b^0 \rightarrow \Lambda_c^+ \pi^- \pi^+ \pi^-)}$$
  
 $= 2.46 \pm 0.27(\text{stat}) \pm 0.40(\text{syst})$
- Using  $Br(\Lambda_b^0 \rightarrow \Lambda_c^+ \mu^- \bar{\nu}_\mu)$  &  $Br(\Lambda_b^0 \rightarrow \Lambda_c^+ \pi^- \pi^+ \pi^-)$ ,  
 $R(\Lambda_c^+) = 0.242 \pm 0.026(\text{stat}) \pm 0.040(\text{syst}) \pm 0.059(\text{ext})$   
(SM prediction:  $0.324 \pm 0.004$ )
- $\sim 1\sigma$  from SM
- Could exclude some NP parameter space

Table 1: Relative systematic uncertainties in  $\mathcal{K}(\Lambda_c^+)$ .

Source	$\delta\mathcal{K}(\Lambda_c^+)/\mathcal{K}(\Lambda_c^+)[\%]$
Simulated sample size	3.8
Fit bias	3.9
Signal modelling	2.0
$\Lambda_b^0 \rightarrow \Lambda_c^{*+} \tau^- \bar{\nu}_\tau$ feeddown	2.5
$D_s^- \rightarrow 3\pi Y$ decay model	2.5
$\Lambda_b^0 \rightarrow \Lambda_c^+ D_s^- X$ , $\Lambda_b^0 \rightarrow \Lambda_c^+ D^- X$ , $\Lambda_b^0 \rightarrow \Lambda_c^+ \bar{D}^0 X$ background	4.7
Combinatorial background	0.5
Particle identification and trigger corrections	1.5
Isolation BDT classifier and vertex selection requirements	4.5
$D_s^-$ , $D^-$ , $\bar{D}^0$ template shapes	13.0
Efficiency ratio	2.8
normalization channel efficiency (modelling of $\Lambda_b^0 \rightarrow \Lambda_c^+ 3\pi$ )	3.0
Total uncertainty	16.5

# Systematic uncertainties in muonic $R(D^*)$ measurement at LHCb

TABLE I. Systematic uncertainties in the extraction of  $\mathcal{R}(D^*)$ .

Model uncertainties	Absolute size ( $\times 10^{-2}$ )
Simulated sample size	2.0
Misidentified $\mu$ template shape	1.6
$\bar{B}^0 \rightarrow D^{*+}(\tau^-/\mu^-)\bar{\nu}$ form factors	0.6
$\bar{B} \rightarrow D^{*+}H_c(\rightarrow \mu\nu X')X$ shape corrections	0.5
$\mathcal{B}(\bar{B} \rightarrow D^{**}\tau^-\bar{\nu}_\tau)/\mathcal{B}(\bar{B} \rightarrow D^{**}\mu^-\bar{\nu}_\mu)$	0.5
$\bar{B} \rightarrow D^{**}(\rightarrow D^*\pi\pi)\mu\nu$ shape corrections	0.4
Corrections to simulation	0.4
Combinatorial background shape	0.3
$\bar{B} \rightarrow D^{**}(\rightarrow D^{*+}\pi)\mu^-\bar{\nu}_\mu$ form factors	0.3
$\bar{B} \rightarrow D^{*+}(D_s \rightarrow \tau\nu)X$ fraction	0.1
Total model uncertainty	<b>2.8</b>
Normalization uncertainties	Absolute size ( $\times 10^{-2}$ )
Simulated sample size	0.6
Hardware trigger efficiency	0.6
Particle identification efficiencies	0.3
Form factors	0.2
$\mathcal{B}(\tau^- \rightarrow \mu^-\bar{\nu}_\mu\nu_\tau)$	< 0.1
Total normalization uncertainty	<b>0.9</b>
Total systematic uncertainty	<b>3.0</b>

# Systematic uncertainties in $R(D)$ & $R(D^*)$ measurement

<b>Internal fit uncertainties</b>	$\sigma_{\mathcal{R}(D^*)} (\times 10^{-2})$	$\sigma_{\mathcal{R}(D)} (\times 10^{-2})$	Correlation
Statistical uncertainty	1.8	6.0	-0.49
Simulated sample size	1.5	4.5	
$B \rightarrow D^* DX$ template shape	0.8	3.2	
$B^0 \rightarrow D^{*+} \ell^- \bar{\nu}$ form-factors	0.7	2.1	
$\bar{B} \rightarrow D^{**} \mu^- \bar{\nu}_\mu$ form-factors	0.8	1.2	
$\mathcal{B} (B \rightarrow D^* D_s (\rightarrow \tau \nu) X)$	0.3	1.2	
MisID template	0.1	0.8	
$\mathcal{B} (\bar{B} \rightarrow D^{**} \tau^- \bar{\nu}_\tau)$	0.5	0.5	
Combinatorial	< 0.1	0.1	
Resolution	< 0.1	0.1	
<b>Additional Model Uncertainty</b>	$\sigma_{\mathcal{R}(D^*)} (\times 10^{-2})$	$\sigma_{\mathcal{R}(D)} (\times 10^{-2})$	
$B \rightarrow D^{(*)} DX$ model uncertainty	0.6	0.7	
$\bar{B} \rightarrow D_s^{**} \mu^- \bar{\nu}_\mu$ model uncertainty	0.6	2.4	
Data/simulation corrections	0.4	0.75	
Coulomb correction to $\mathcal{R}(D^{*+})/\mathcal{R}(D^{*0})$	0.2	0.3	
misID template unfolding	0.7	1.2	
Baryonic backgrounds	0.7	1.2	
<b>Normalization Uncertainties</b>	$\sigma_{\mathcal{R}(D^*)} (\times 10^{-2})$	$\sigma_{\mathcal{R}(D)} (\times 10^{-2})$	
Data/simulation corrections	$0.4 \times \mathcal{R}(D^*)$	$0.6 \times \mathcal{R}(D)$	
$\tau^- \rightarrow \mu^- \nu \bar{\nu}$ branching fraction	$0.2 \times \mathcal{R}(D^*)$	$0.2 \times \mathcal{R}(D)$	
<b>Total uncertainty</b>	3.0	8.9	-0.43

# Comparison between measuring $R(D^*)$ by using $\tau \rightarrow \mu\bar{\nu}\nu$ and $\tau \rightarrow 3\pi(\pi^0)\nu$

$\tau \rightarrow \mu\bar{\nu}\nu$

- Advantage
  - Direct normalisation from identical (visible) final state
- Disadvantage
  - Background from many different sources and hard to suppress

$\tau \rightarrow 3\pi(\pi^0)\nu$

- Advantages
  - Can reconstruct  $\tau$  vertex from three pion tracks, higher purity
  - Three pion dynamics help to distinguish signal from main physical background
  - No normal semileptonic background
- Disadvantage
  - Need external input to normalise