

# Flavor physics at Belle and Belle II

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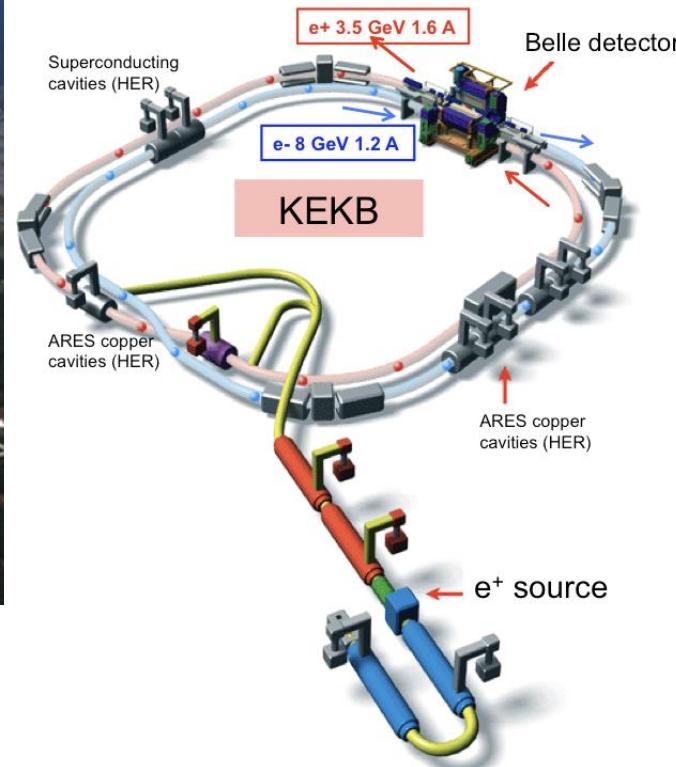
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第四届LHCb前沿物理研讨会，2024年7月27-31日

# KEKB and Belle



$$\sqrt{s} \sim 10.6 \text{ GeV}$$

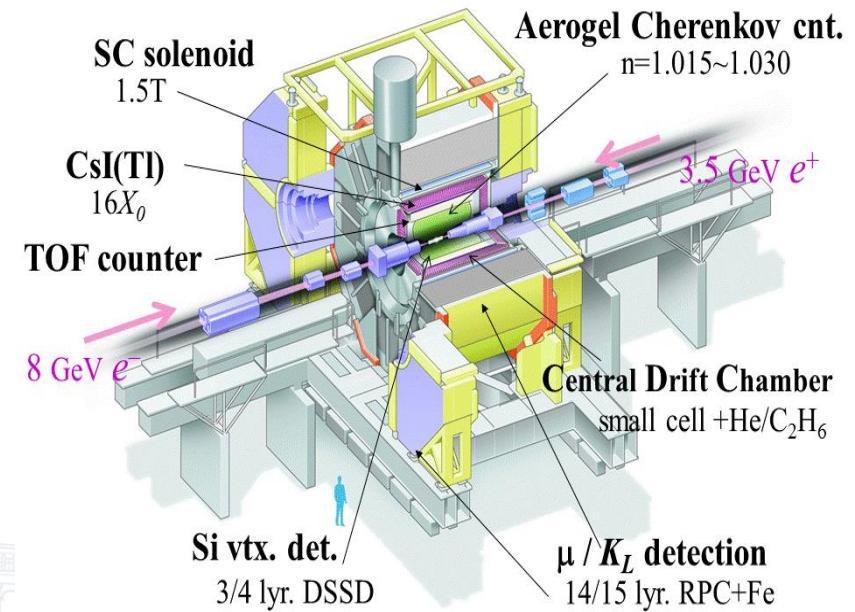


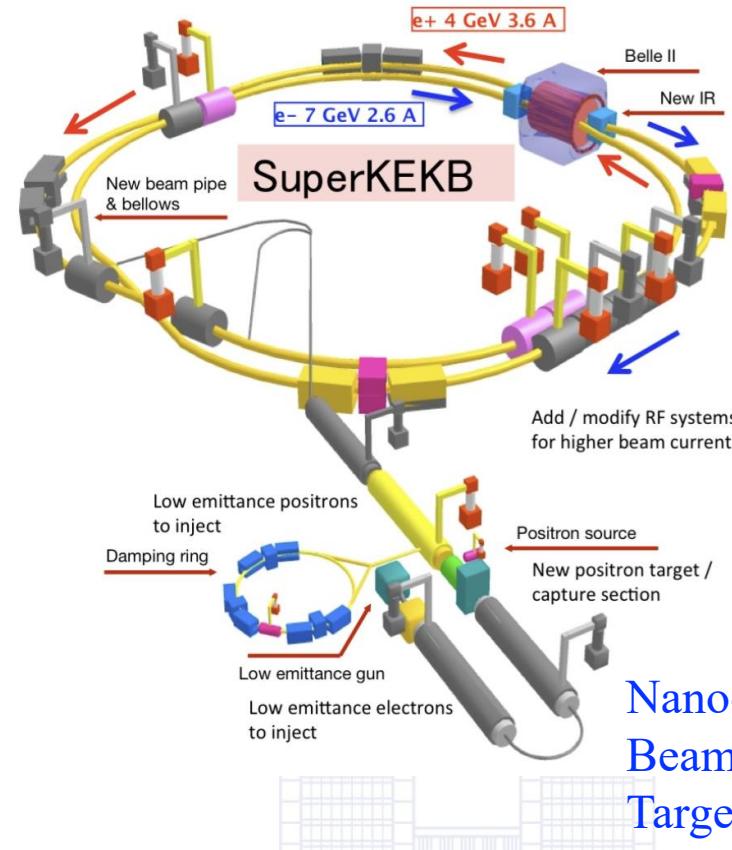
Peak luminosity:  $2.11 \times 10^{34} \text{ cm}^{-1} \text{ s}^{-1}$

Integrated luminosity (~980  $\text{fb}^{-1}$  in total):

$\Upsilon(5S)$ :  $121 \text{ fb}^{-1}$ ,  $\Upsilon(4S)$ :  $711 \text{ fb}^{-1}$ ,  $\Upsilon(3S)$ :  $3 \text{ fb}^{-1}$ ,  
 $\Upsilon(2S)$ :  $25 \text{ fb}^{-1}$ ,  $\Upsilon(1S)$ :  $6 \text{ fb}^{-1}$ , continuum:  $90 \text{ fb}^{-1}$

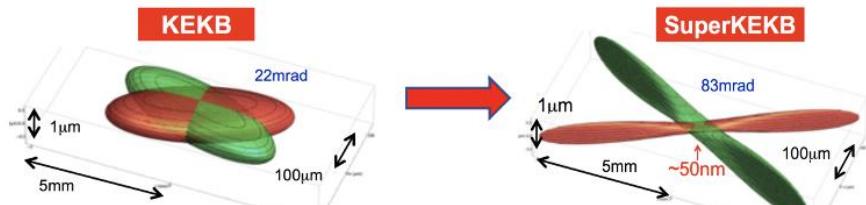
## Belle Detector





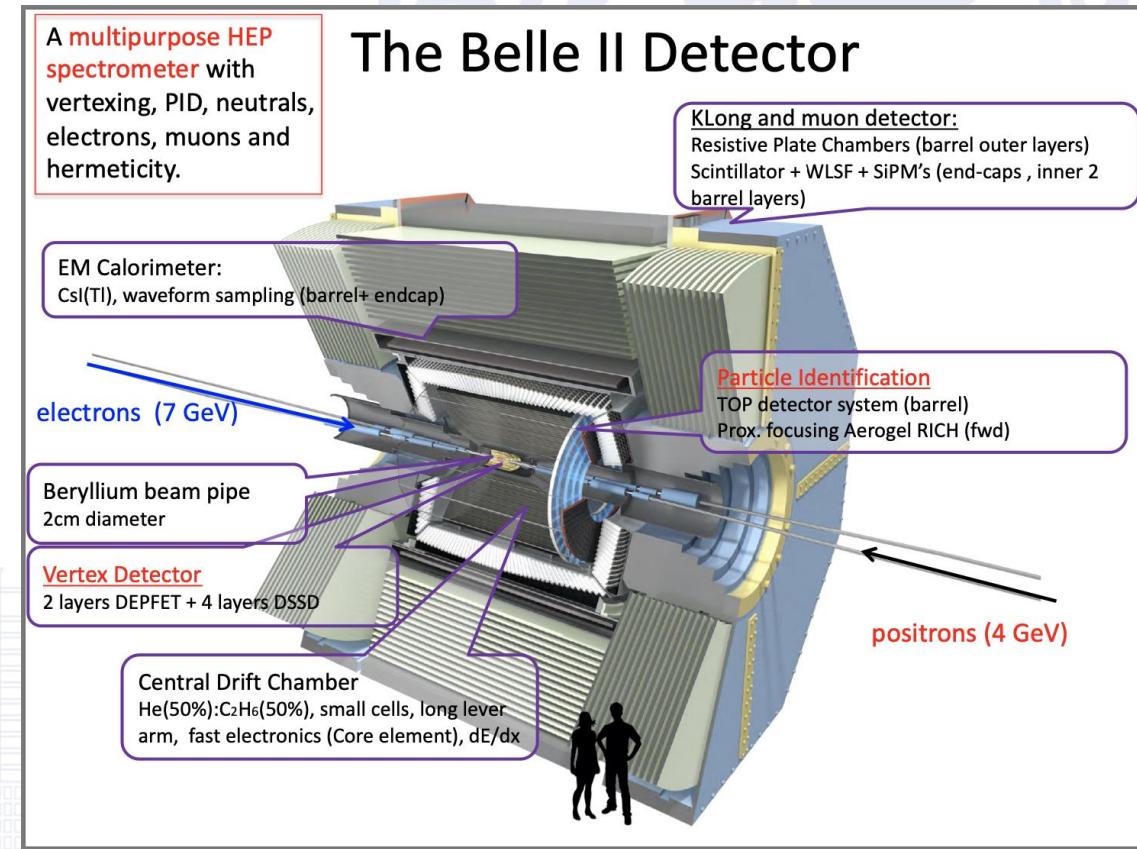
$$\sqrt{s} \sim 10.58 \text{ GeV}$$

Nano-beam design:  
Beam squeezing:  $\times 20$  smaller  
Target luminosity: KEKB  $\times 40$

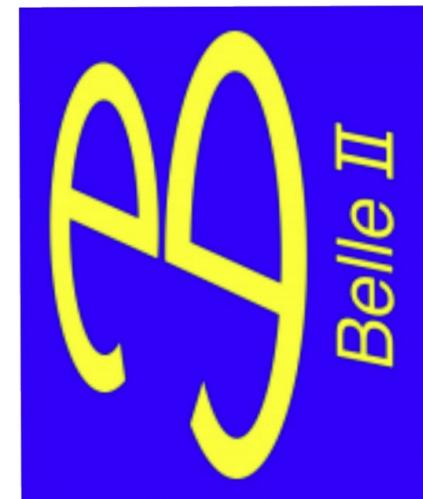


# SuperKEKB and Belle II

- Achieved peak luminosity:  $4.7 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$
- Integrated luminosity: 427/fb [arXiv:2407.00965]

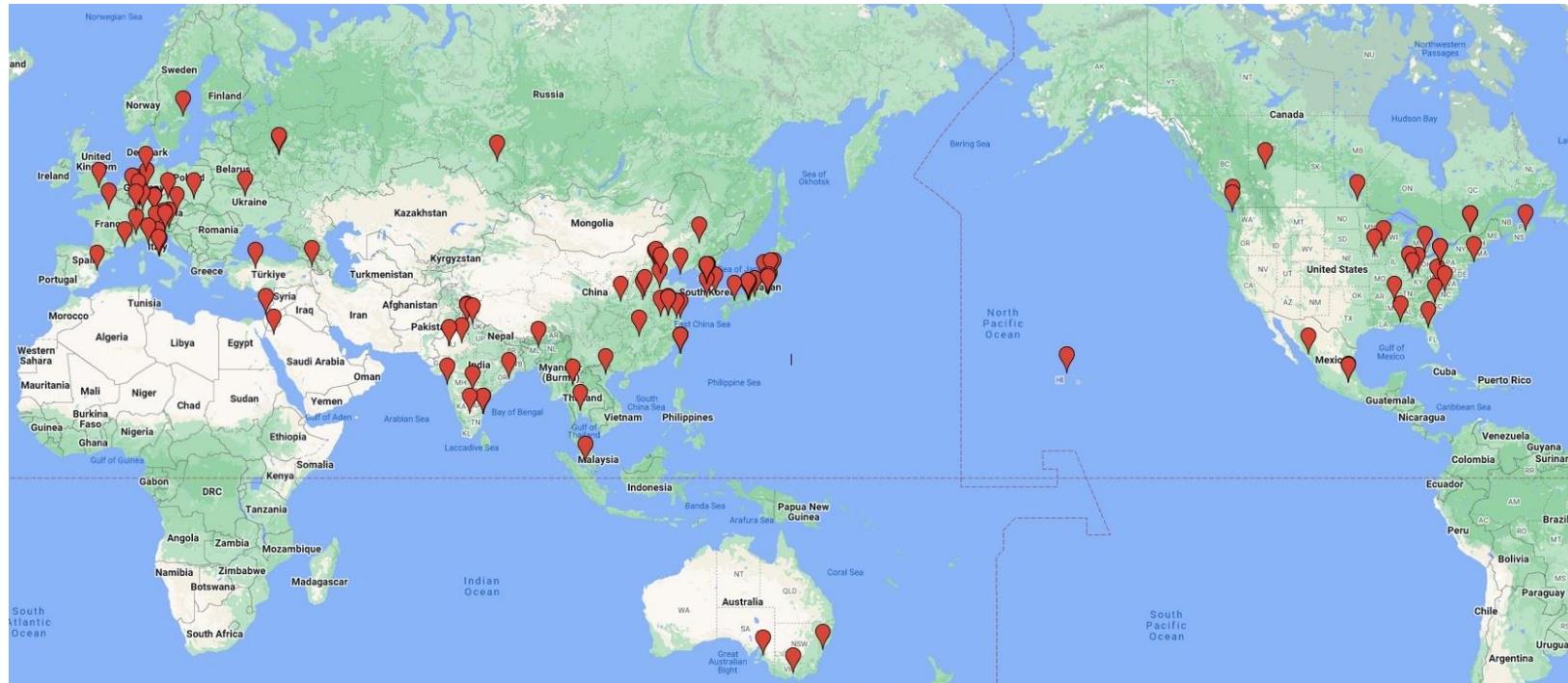


# logo designed by undergraduate student ...



asymmetric  $e^+ e^-$  collider  
producing B mesons

# International Belle II collaboration

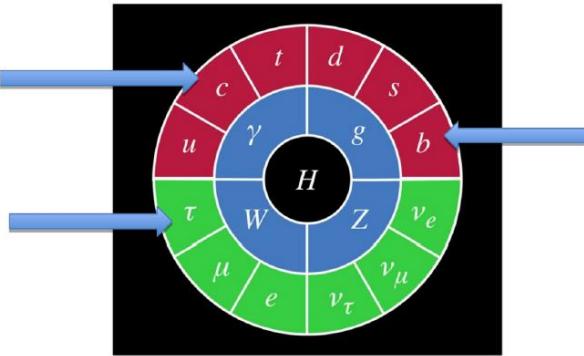


CHINA

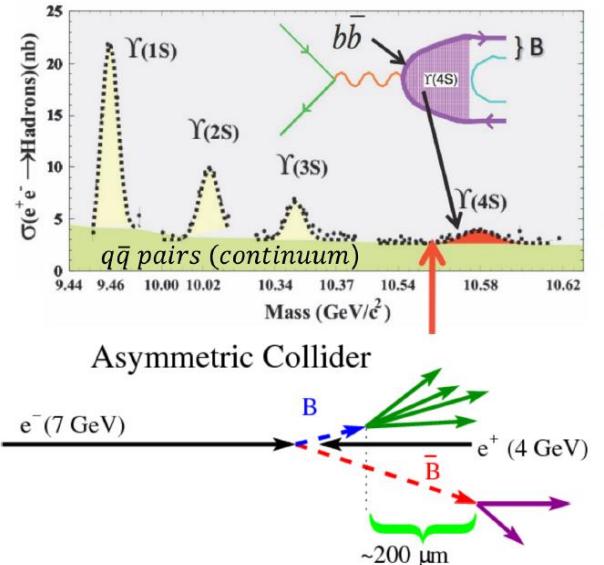
- Beihang: Beihang Univ.(BUAA)
- Fudan: Fudan Univ.
- HNU: Henan Normal University
- HUNNU: Hunan Normal University
- IHEP-China: Institute of High Energy Physics(IHEP)
- JLU: Jilin University
- LNU: LiaoNing Normal University(LNNU)
- NNU: Nanjing Normal University
- Nankai: Nankai University
- SEU: Southeast University
- Shandong: Shandong University
- Soochow: Soochow University
- USTC: Univ. of Science and Technology of China(USTC)
- XJTU: Xi'an Jiaotong University
- ZZU: Zhengzhou University

Belle II now has grown to ~1100 researchers (~600 authors) from 28 countries/regions

## Productions in Belle II

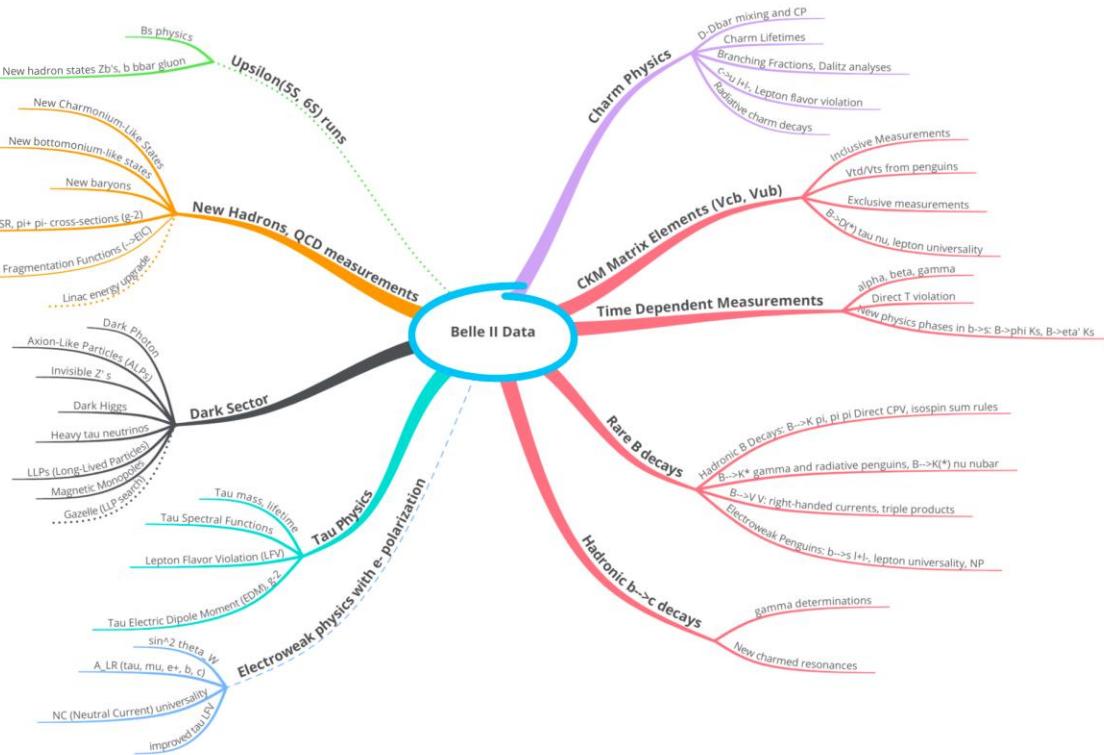


Physics process	Cross section [nb]
$\Upsilon(4S)$	$1.110 \pm 0.008$
$u\bar{u}(\gamma)$	1.61
$d\bar{d}(\gamma)$	0.40
$s\bar{s}(\gamma)$	0.38
$c\bar{c}(\gamma)$	1.30



- B-factory:  $10^9$  pairs/ $\text{ab}^{-1}$ ;  $B\bar{B}$
- $\tau^+\tau^-$ ,  $c\bar{c}$ :  $10^9$  pairs/ $\text{ab}^{-1}$ .
- Expected Belle II data sample:  $50 - 70 \text{ ab}^{-1}$ .
- Meanwhile, Belle II is considering the upgrade:  $\mathcal{L} \times 5$

## Belle II Physics



Wealth of new physics possibilities in different domains of HEP (weak, strong, electroweak interactions). Many opportunities for *initiatives by young scientists*.

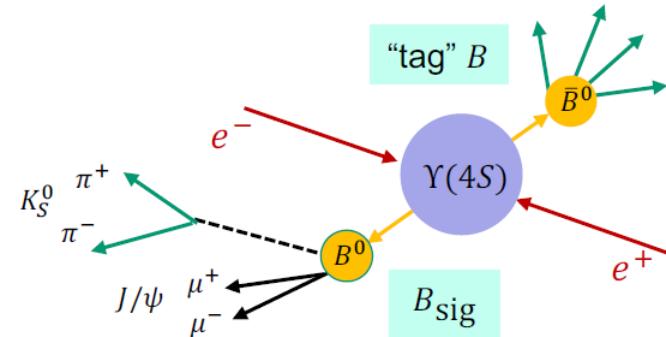
# Keywords

**(Partial) definition:** an  $e^+e^- B$  factory operates at the **intensity frontier** to collect samples of  $B$  mesons for **precision measurements** and searches for **rare/forbidden decays**, i.e., **indirect searches** for beyond-the-standard-model (BSM) physics with **high luminosity**

**An important note:** program is mostly **complementary** to that of LHCb and other hadron experiments

# B Flavor tagging at Belle II

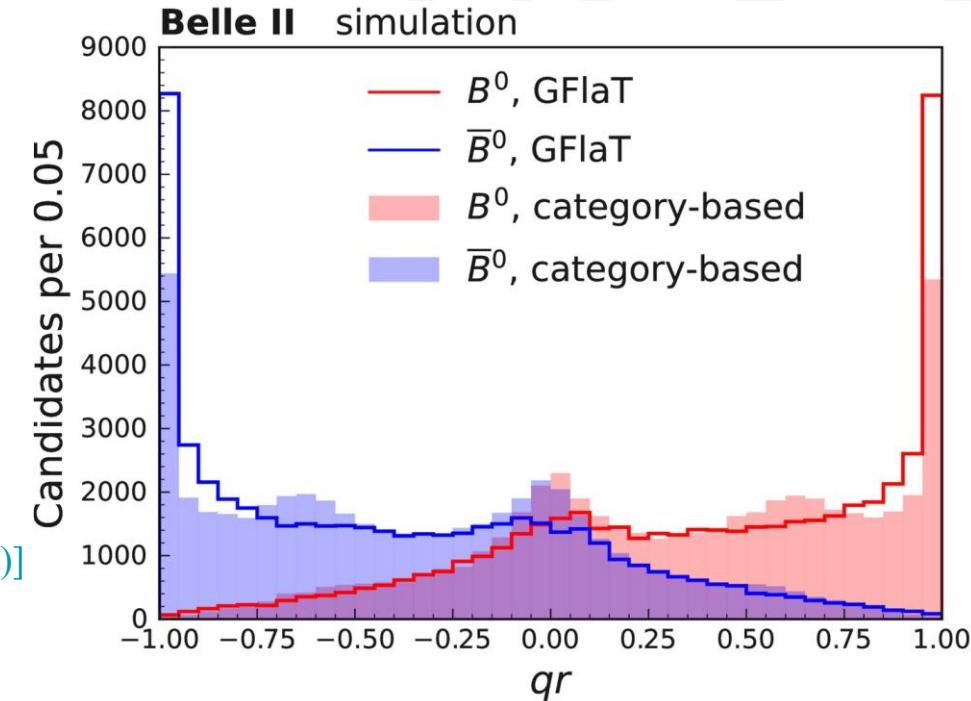
- B flavor tagging: Identify the flavor of the other B



- Belle II initial B tagging algorithm:
  - ✓ Category-based (CB): physics object as Boosted decision tree (BDT) input [[Eur. Phys. J 82, 283 \(2022\)](#)]
  - ✓ Similar to Belle & BaBar experiments
- Newly developed B tagging algorithm: GFlaT
  - ✓ Graph neural network (GNN)
  - ✓ 25 variables for each track as GNN input
  - ✓ 18% improvement in performance

$$\epsilon_{\text{tag}}(\text{CB}) = (31.7 \pm 0.5 \pm 0.4) \%$$

$$\epsilon_{\text{tag}}(\text{GFlaT}) = (37.4 \pm 0.4 \pm 0.3) \%$$



[arXiv:2402.17260](#)

Accepted by PRD

## CKM matrix: $|V_{cb}|$ & $|V_{ub}|$

- Important to constrain CKM unitarity triangle & test SM
- Determinations via **inclusive** or **exclusive** semileptonic B decays
- Long-standing “**Vxb-puzzle**”: discrepancy btw. inclusive and exclusive determinations

### Exclusive

$B \rightarrow \pi \ell \nu, B \rightarrow \rho \ell \nu, B \rightarrow D^{(*)} \ell \nu, \Lambda_b \rightarrow p \ell \nu$ , etc.

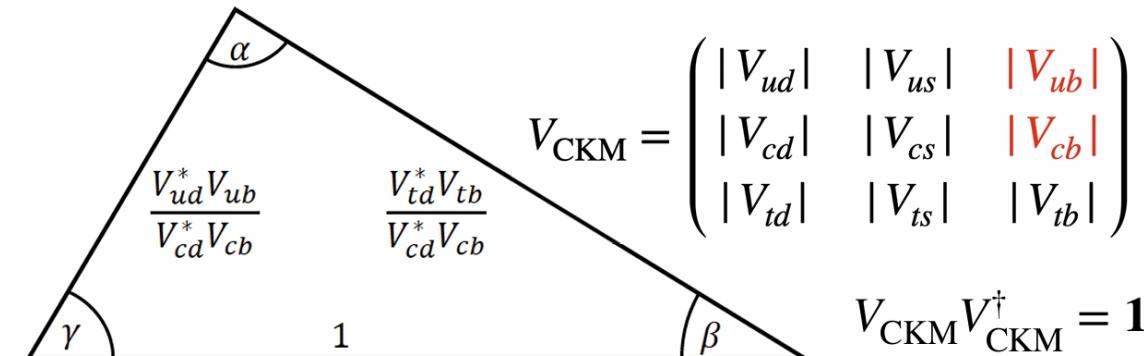
$$\mathcal{B} \propto |V_{xb}|^2 f^2 \quad \text{Form factor } f \text{ (LCSR, LQCD)}$$

### Inclusive

$B \rightarrow X_u \ell \nu, B \rightarrow X_c \ell \nu$

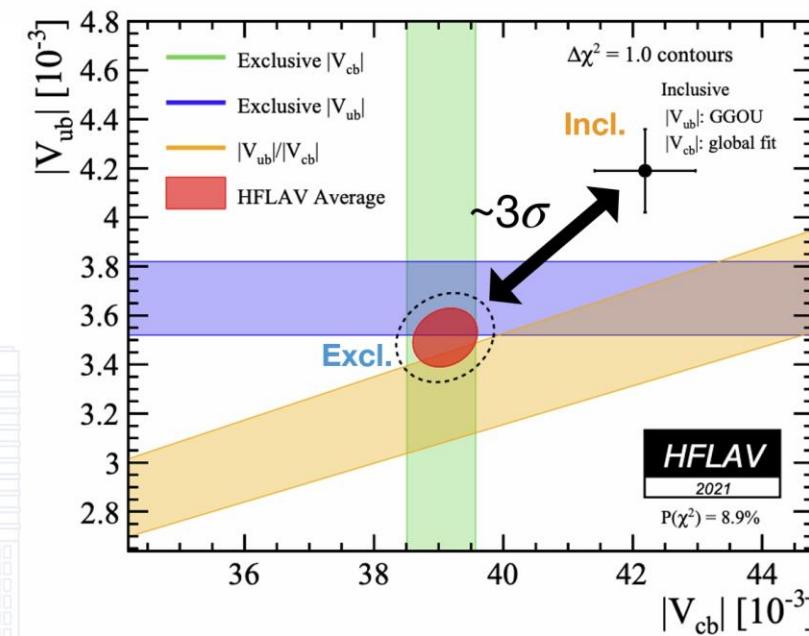
$$\mathcal{B} \propto |V_{xb}|^2 \left[ 1 + \frac{c_5(\mu) \langle O_5 \rangle(\mu)}{m_h^2} + \frac{c_6(\mu) \langle O_6 \rangle(\mu)}{m_h^3} + O(m_b^4) \right] \quad |V_{xb}| = \sqrt{\frac{\Delta \mathcal{B}}{\tau_B \cdot \Delta \Gamma}}$$

+ Shape Function / Fermi Motion (OPE)

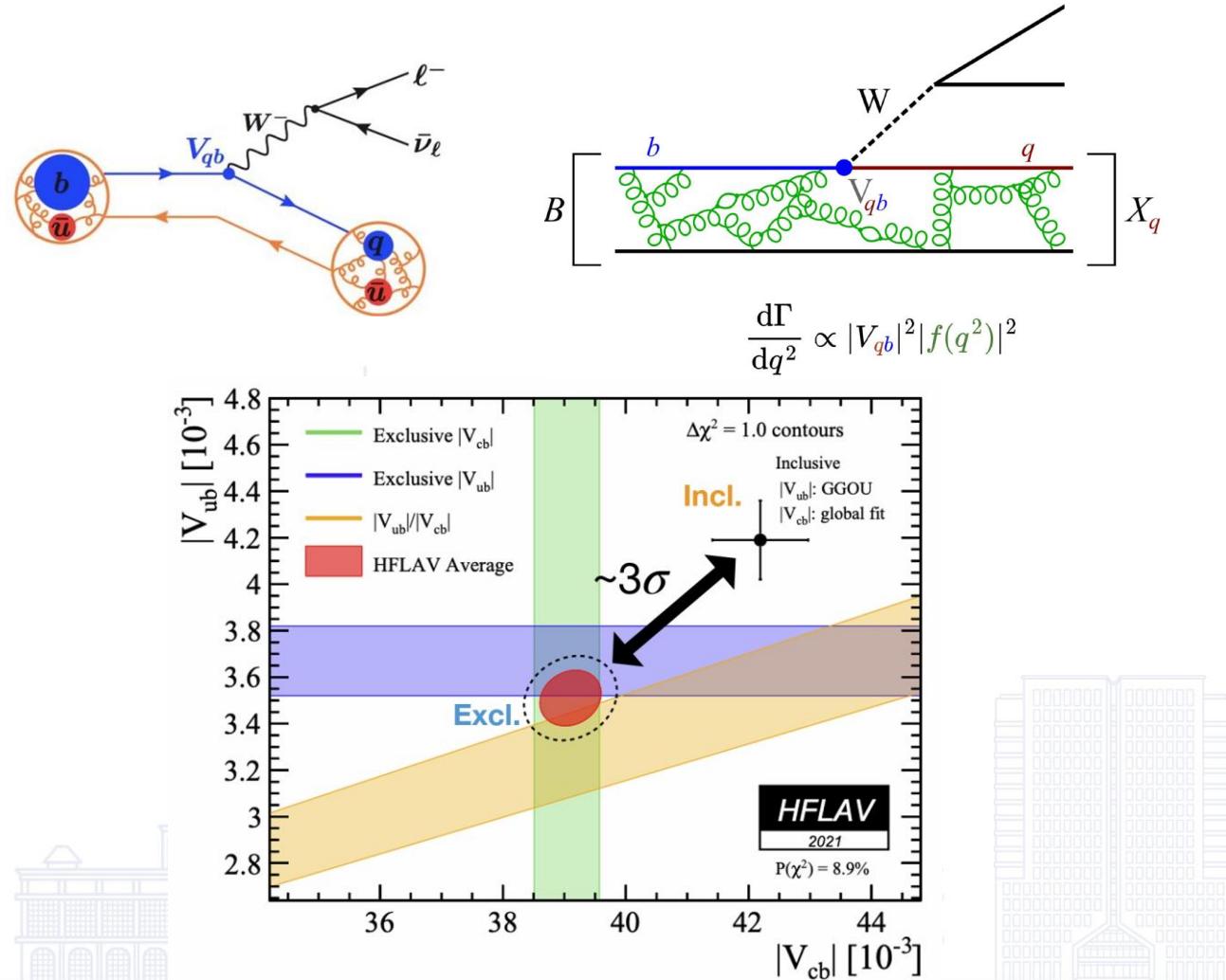


$$V_{\text{CKM}} = \begin{pmatrix} |V_{ud}| & |V_{us}| & |V_{ub}| \\ |V_{cd}| & |V_{cs}| & |V_{cb}| \\ |V_{td}| & |V_{ts}| & |V_{tb}| \end{pmatrix}$$

$$V_{\text{CKM}} V_{\text{CKM}}^\dagger = 1$$



## Semileptonic $B$ decay: $V_{cb}$



New exclusive measurements from Babar with  $B \rightarrow D/\ell\nu$  and Belle with  $B \rightarrow D^*/\ell\nu$  using **full differential information** for the first time

- $V_{cb} = 41.1 \pm 1.2 \times 10^{-3}$

[Babar arXiv:2311.15071]

- $V_{cb} = 41.0 \pm 0.7 \times 10^{-3}$

[Belle arXiv:2310.20286, to appear in PRL]

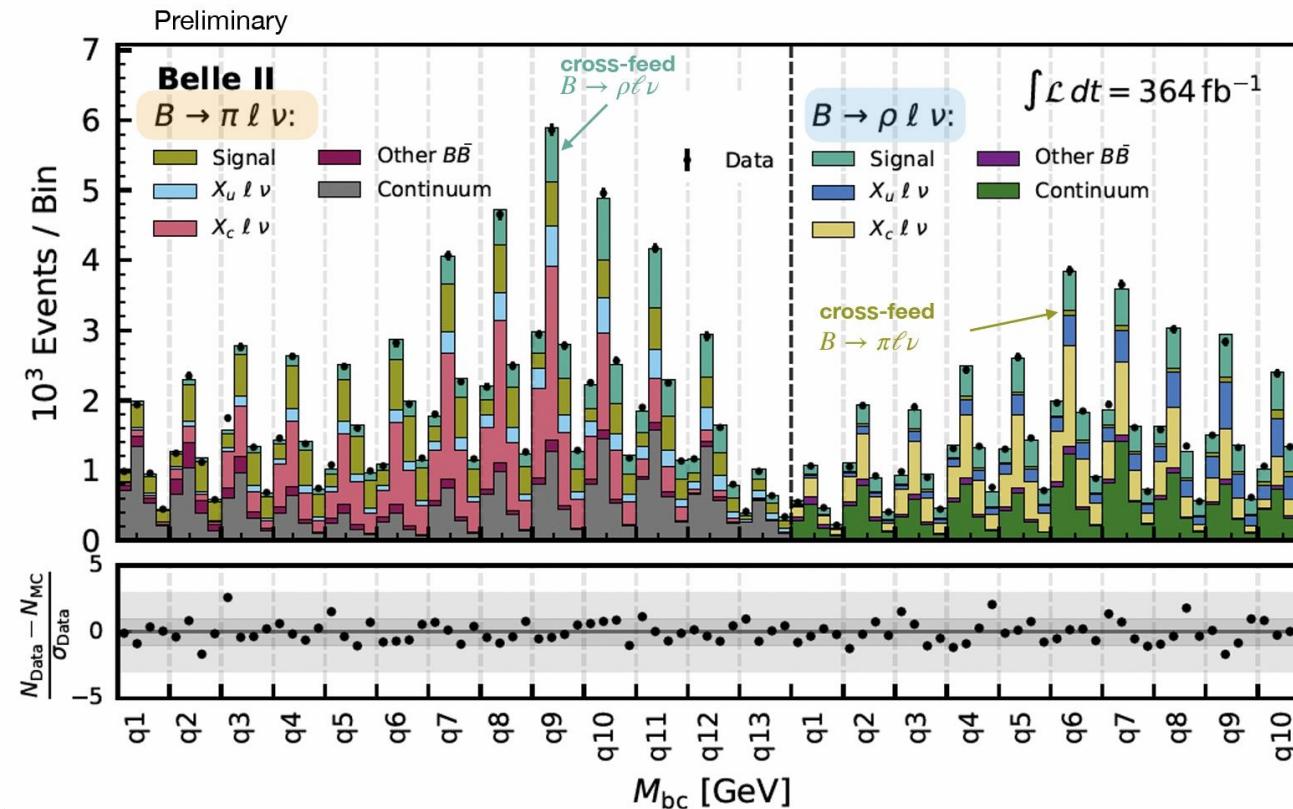
Compatible with inclusive – perhaps we are **on the right path to resolve these tensions?**

# Simultaneous measurements of $B^0 \rightarrow \pi^- \ell^+ \nu$ and $B^+ \rightarrow \rho^0 \ell^+ \nu$

- Full **Run1** data of  $364 \text{ fb}^{-1}$  with untagged analysis strategy
- Novel method to simultaneously extract signals in 2D grid of beam-constrained mass  $M_{bc}$  and energy difference  $\Delta E$  **for each bin of  $q^2$** : **13** bins for  $\pi$  mode, **10** bins for  $\rho$  mode

Preliminary  
**NEW!!**

[arXiv:2407.17403](https://arxiv.org/abs/2407.17403)



- Cross-feed signals are linked in two modes
- Dominant backgrounds are from  $B \rightarrow X_c \ell \nu$  decays and continuum ( $e^+ e^- \rightarrow q\bar{q}$ )

# Simultaneous measurements of $B^0 \rightarrow \pi^- \ell^+ \nu_\ell$ and $B^+ \rightarrow \rho^0 \ell^+ \nu_\ell$

- Partial branching fractions in each  $q^2$  bin obtained with fitted yields and efficiency corrections
- Total BR is a sum of partial bins

$$\mathcal{B}(B^0 \rightarrow \pi^- \ell^+ \nu_\ell) = (1.516 \pm 0.042 \pm 0.059) \times 10^{-4}$$

$$\mathcal{B}(B^+ \rightarrow \rho^0 \ell^+ \nu_\ell) = (1.625 \pm 0.079 \pm 0.180) \times 10^{-4}$$

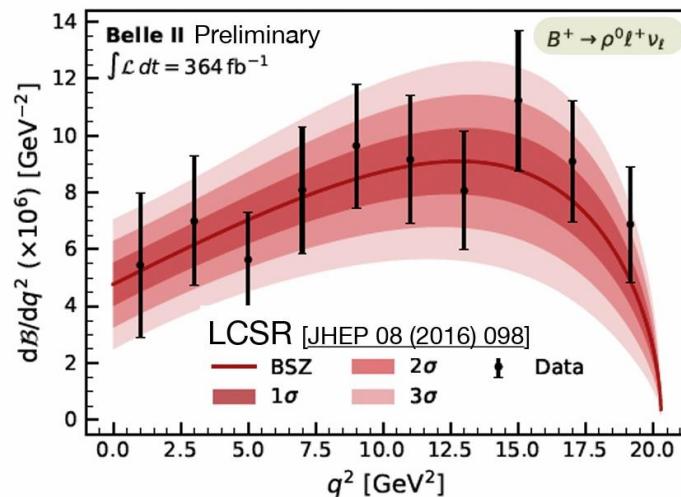
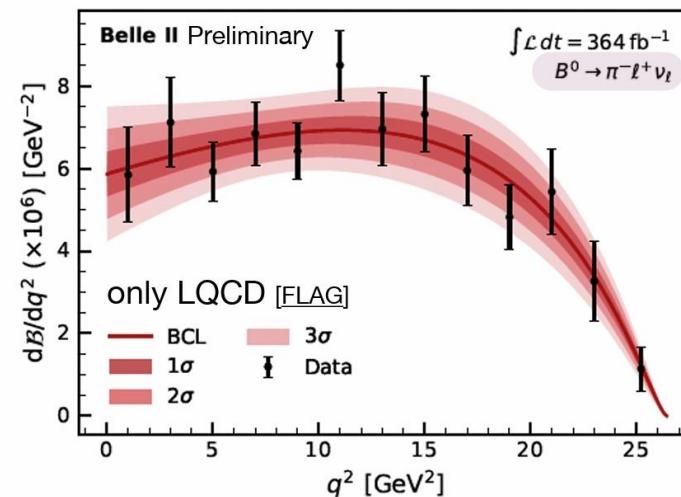
stat syst

**Consistent with world averages**

Compatible precision as Belle/BaBar

[arXiv:2407.17403](https://arxiv.org/abs/2407.17403)

- Extracted  $|V_{ub}|$  with lattice QCD and/or light-cone sum rules (LCSR) constraints of form factors



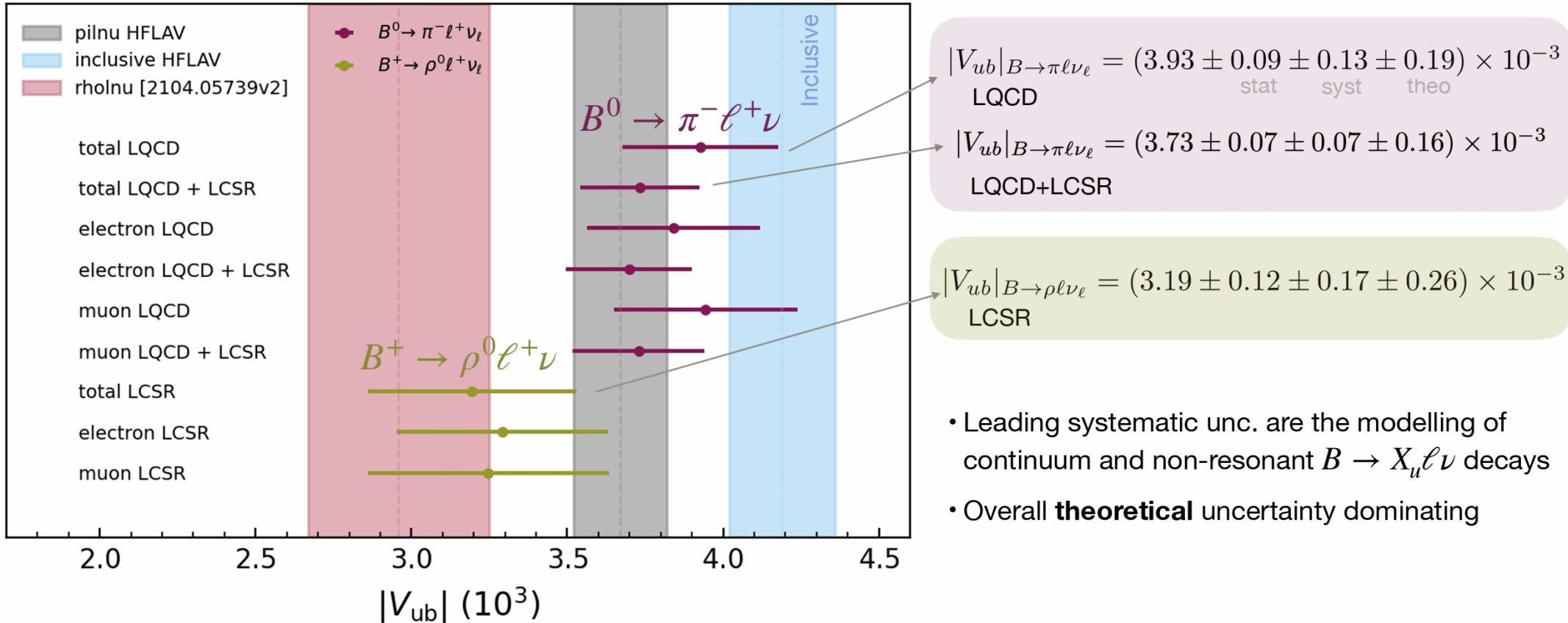
# Simultaneous measurements of $B^0 \rightarrow \pi^- \ell^+ \nu$ and $B^+ \rightarrow \rho^0 \ell^+ \nu$

- Further split into  $e$  and  $\mu$  modes to provide cross check
- Additional stability tests done by removing higher/lower  $q^2$  bins

Preliminary  
**NEW!!**

[arXiv:2407.17403](https://arxiv.org/abs/2407.17403)

Preliminary



## Lepton-Flavor universality

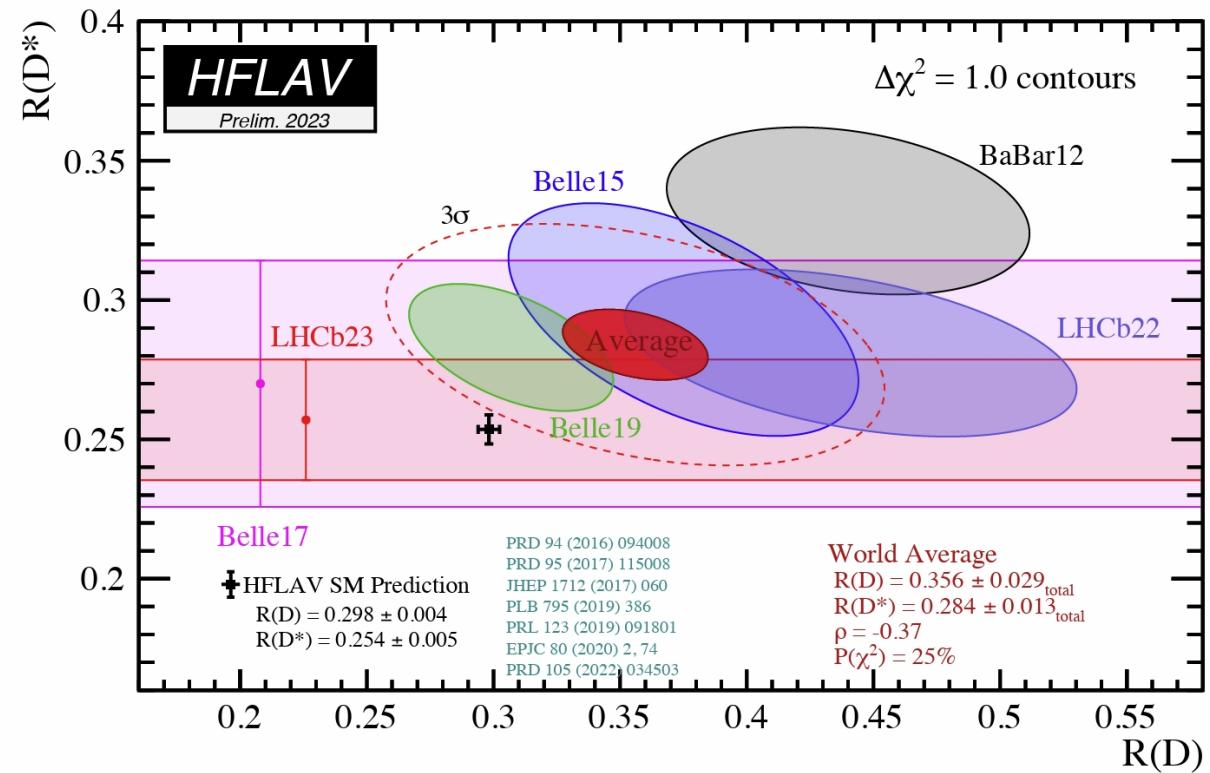
- In SM, the W boson couples equally to  $\tau, \mu, e \Rightarrow$  **Lepton-Flavor Universality (LFU)**
- Semileptonic B decays are sensitive to new physics beyond SM
- Ratio measurements** provide stringent LFU tests: branching fractions, angular asymmetry, etc.
  - Normalization ( $|V_{cb}|$ ) cancels
  - Part of theoretical, experimental uncertainties cancels

$$R(H_{\tau/\ell}) = \frac{\mathcal{B}(B \rightarrow H\tau\nu)}{\mathcal{B}(B \rightarrow H\ell\nu)}$$

$$H = D, D^*, X, \pi, \text{etc.} \quad \ell = e, \mu$$

final state can involve different hadrons

**Tension of  $R(D^{(*)})$  with SM  $\sim 3\sigma$**



# R( $D^*$ ) using hadronic B tagging at Belle II

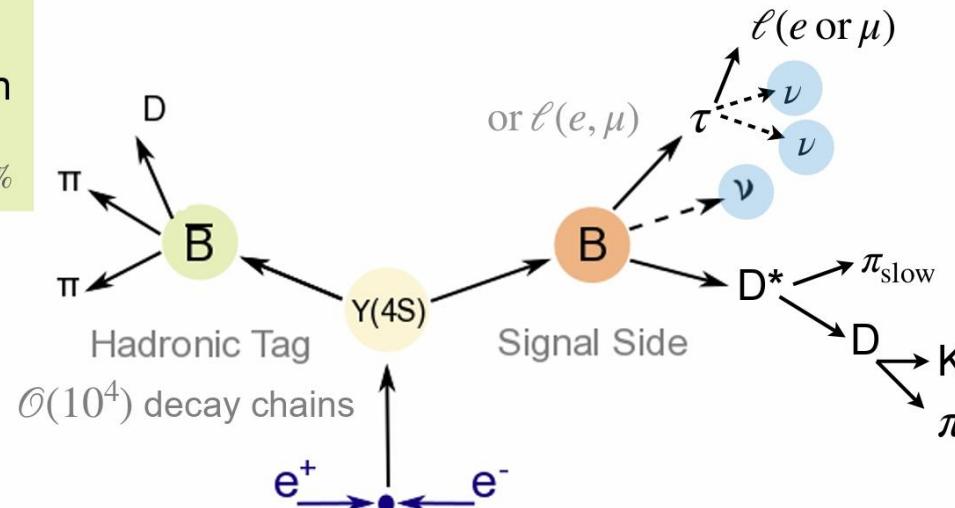
- Use  $189 \text{ fb}^{-1}$  dataset with hadronic tagging strategy
- Signal decays:  $B \rightarrow D^*(\tau, \ell)\nu$ ,  $D^{*+} \rightarrow D^0\pi^+$ ,  $D^+\pi^-$  and  $D^{*0} \rightarrow D^0\pi^0$ , and leptonic  $\tau$  decays
- Data-driven validation of modelling in sideband regions
- Extract R( $D^*$ ) using 2D fit on  $M_{\text{miss}}^2$  and residual energy in the calorimeter  $E_{\text{ECL}}$

arXiv:2401.02840  
Preliminary

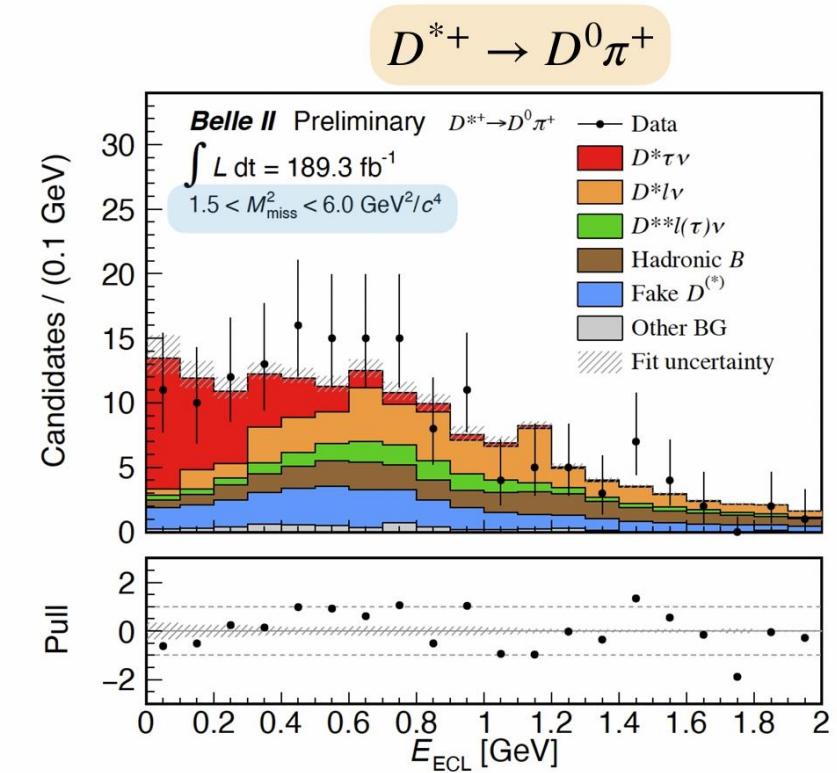


**Reconstruct  $B_{\text{tag}}$**   
**Full Event Interpretation**  
*Comput. Softw. Big Sci.* 3 (2019) 1, 6  
 $\epsilon(B^+) \approx 0.35\%$     $\epsilon(B^0) \approx 0.27\%$

In the rest of event (ROE), require no remaining tracks/ $\pi^0$ .



$$M_{\text{miss}}^2 = (E_{\text{beam}}^* - E_{D^*}^* - E_\ell^*)^2 - (-\vec{p}_{B_{\text{tag}}}^* - \vec{p}_{D^*}^* - \vec{p}_\ell^*)^2$$



# R( $D^*$ ) using hadronic B tagging at Belle II

arXiv:2401.02840  
Preliminary

$$R(D^*) = 0.262 \begin{array}{l} +0.041 \\ -0.039 \end{array} (\text{stat}) \begin{array}{l} +0.035 \\ -0.032 \end{array} (\text{syst})$$

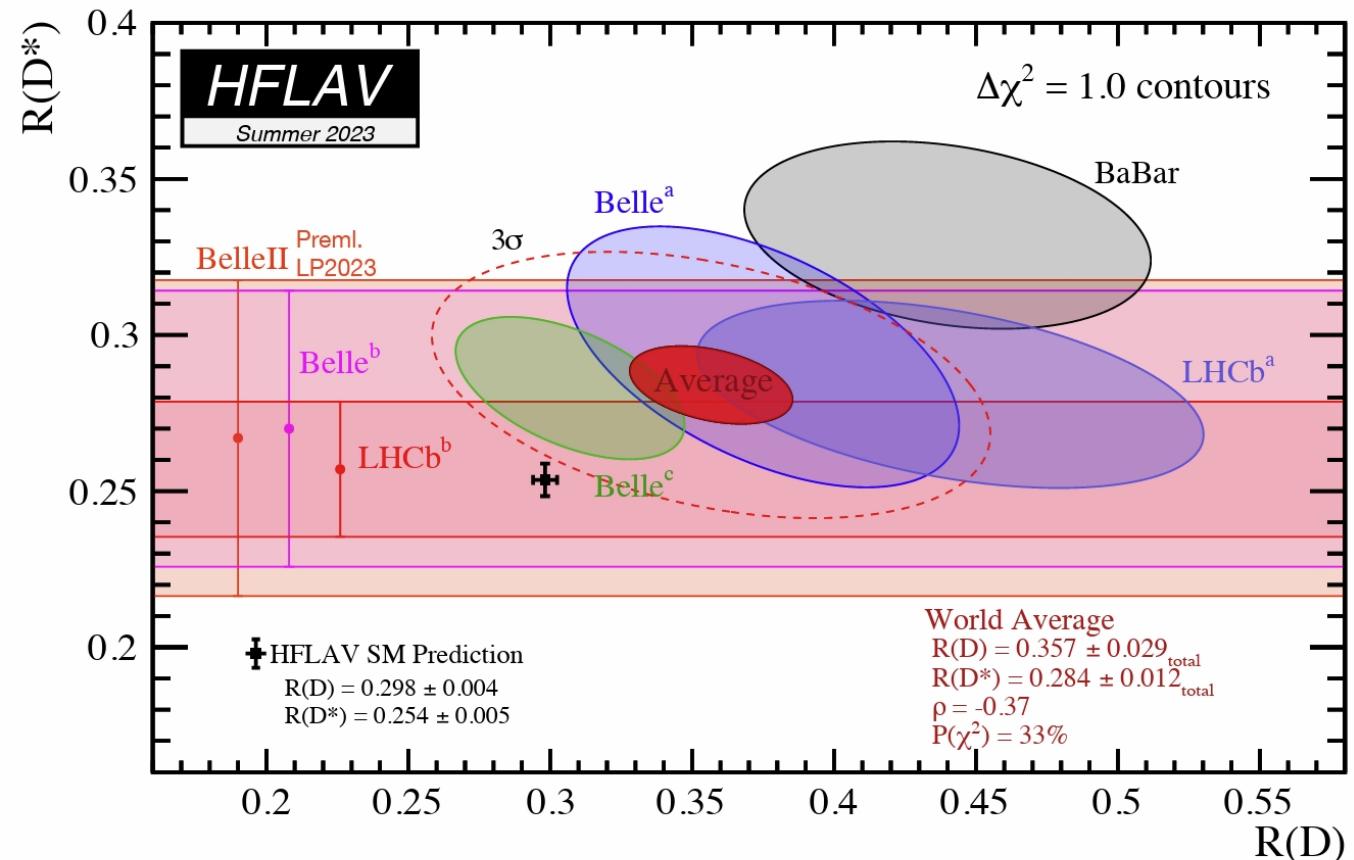
comparable stat.  
precision as Belle

dominant by PDF  
shapes, MC sample  
size

consistent with SM predictions [HFLAV 23]

- Previous version presented in Lepton Photon 2023
- Minor updates applied

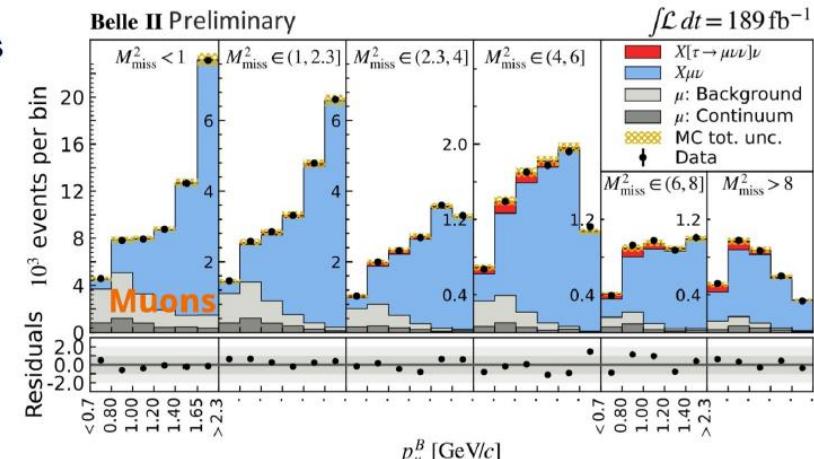
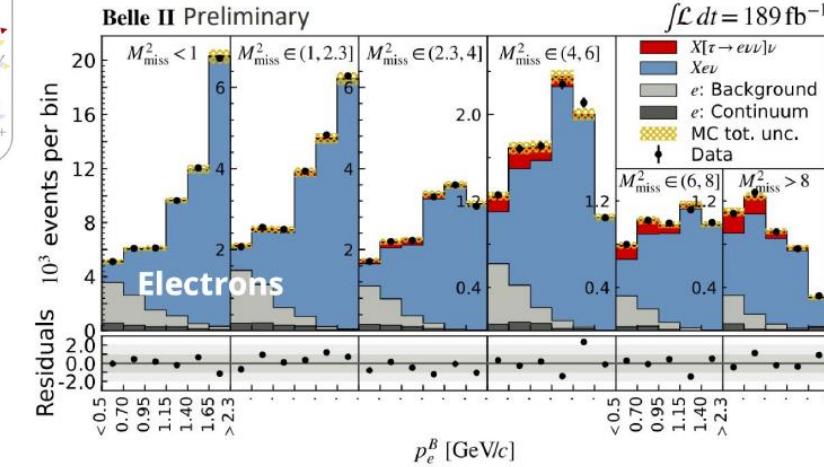
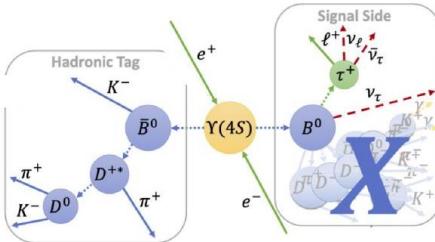
Update to full Run1 dataset  
and include R( $D$ ) is ongoing



## Measurement of $R(X)$

- Inclusive ratio  $R(X) = \mathcal{B}(B \rightarrow X\tau\nu)/\mathcal{B}(B \rightarrow X\ell\nu)$  with  $\tau$  leptonic decays
- Hadronic-tagging method with  $189 \text{ fb}^{-1}$   
Hadronic tag pioneered by BaBar [PRL 92, 071802]; MVA version at Belle II [Comput. Softw. Big Sci. 3 (2019) 1, 6]
- Use missing-mass squared and  $B$  candidate momentum to extract signal
- Result agrees with SM prediction:  $R(X)_{\text{SM}} = 0.223 \pm 0.005$ 
  - 2D binned maximum likelihood fit to extract the signal and normalisation yields for the electron and muon modes simultaneously
  - In bins of  $p_l^B$  and  $M_{\text{missing}}^2$ 
    - e channel:  $R(X_{\tau/e}) = 0.232 \pm 0.020(\text{stat}) \pm 0.037(\text{syst})$
    - $\mu$  channel:  $R(X_{\tau/\mu}) = 0.222 \pm 0.027(\text{stat}) \pm 0.050(\text{syst})$

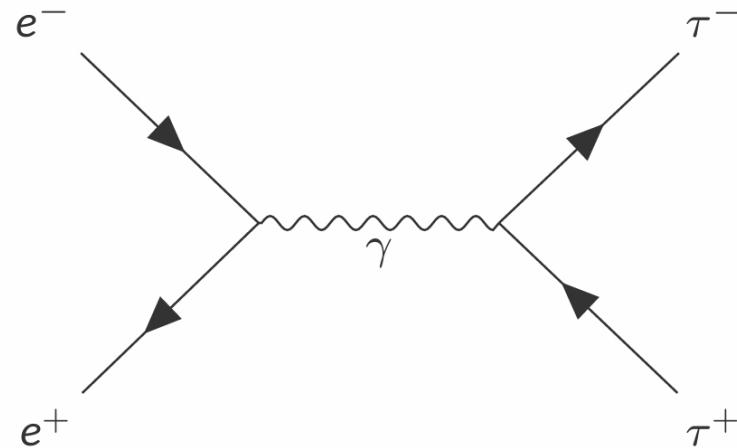
$$R(X_{\tau/l}) = 0.228 \pm 0.016(\text{stat}) \pm 0.036(\text{syst})$$



# Lepton Flavour Universality measurement in $\tau$ decays

SuperKEKB as a  $\tau$  factory:

- $e^+e^-$  collider produce  $\tau$  leptons pairs at high rate



$$\sigma(e^+e^- \rightarrow \tau^+\tau^-) = 0.92 \text{ nb}$$

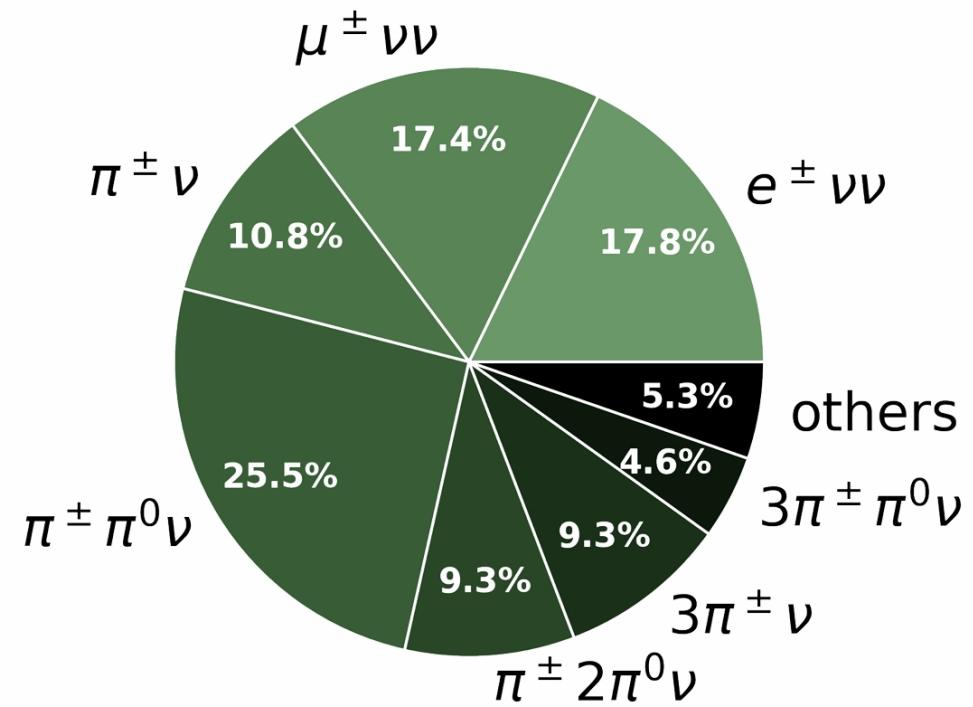
$$\sigma(e^+e^- \rightarrow B\bar{B}) = 1.05 \text{ nb}$$

- cross section equivalent to  $B\bar{B}$  process

$\tau$  decays:

arXiv:2405.14625

- Massive enough to decay into **lighter lepton & hadrons**
- Mostly **one or three charged particles** in final states
- Challenging reconstruction with neutrinos in the final state



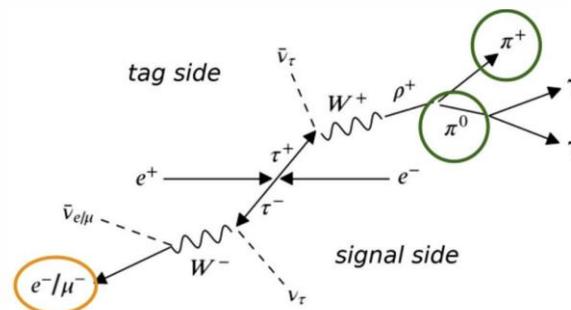
# Lepton Flavour Universality measurement in $\tau$ decays

arXiv:2405.14625

- Measurement of coupling of light leptons to EW gauge bosons:

$$\left(\frac{g_\mu}{g_e}\right)_\tau = \sqrt{\frac{\mathcal{B}(\tau^- \rightarrow \mu^-\bar{\nu}_\mu\nu_\tau)}{\mathcal{B}(\tau^- \rightarrow e^-\bar{\nu}_e\nu_\tau)}} \frac{f(m_e^2/m_\tau^2)}{f(m_\mu^2/m_\tau^2)} \stackrel{SM}{=} 1$$

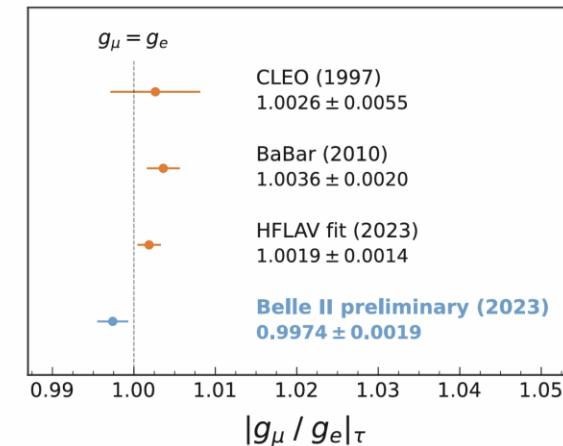
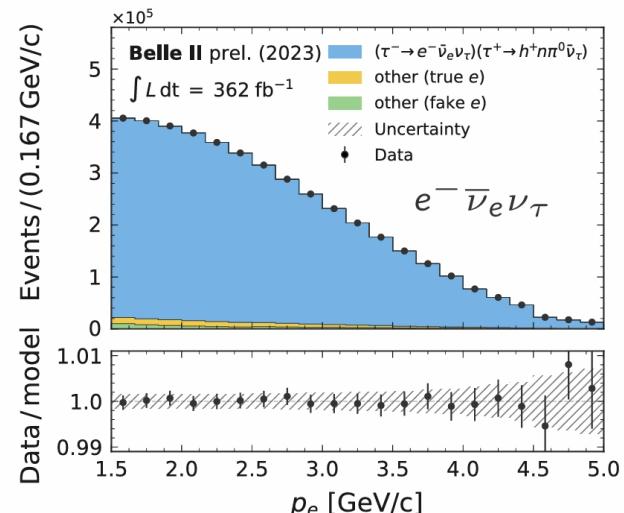
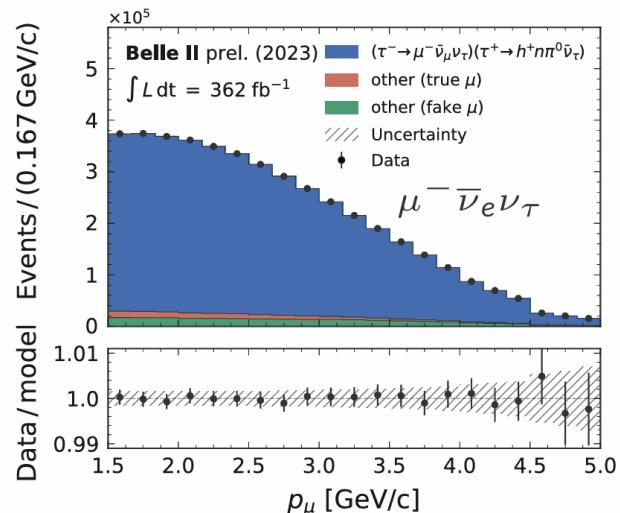
$$R_\mu = \frac{\mathcal{B}(\tau^- \rightarrow \mu^-\bar{\nu}_\mu\nu_\tau)}{\mathcal{B}(\tau^- \rightarrow e^-\bar{\nu}_e\nu_\tau)} \stackrel{SM}{=} 0.9726$$



- Event selection is performed with rectangular cuts and neural network
- **94% purity with 9.6% signal efficiency for the combined sample**
- Mains systematics coming from PID (0.32%) and trigger (0.1%)
- Most precise  $e/\mu$  universality from  $\tau^-$  decays in a single measurement with  $362 \text{ fb}^{-1}$

$$R_\mu = 0.9675 \pm 0.0007(\text{stat}) \pm 0.0036(\text{sys})$$

Extract  $R_\mu$  by fitting the lepton momentum [1.5, 5] GeV/c



# Lepton Flavour Violation (LFV) searches in $\tau$ decays

The lepton flavour is accidentally conserved in the SM

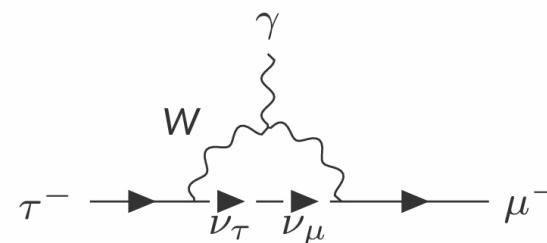
Lepton flavour violation is only allowed by:

- Neutrino oscillations  $\mathcal{O}(10^{-55})$

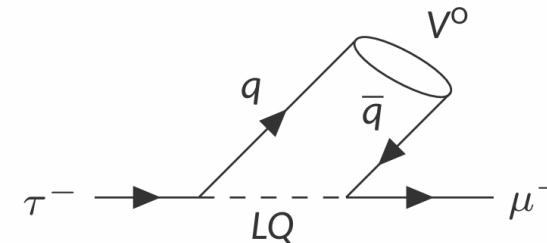
far beyond current experimental sensitivities

- New Physics models  $\mathcal{O}(10^{-8})$

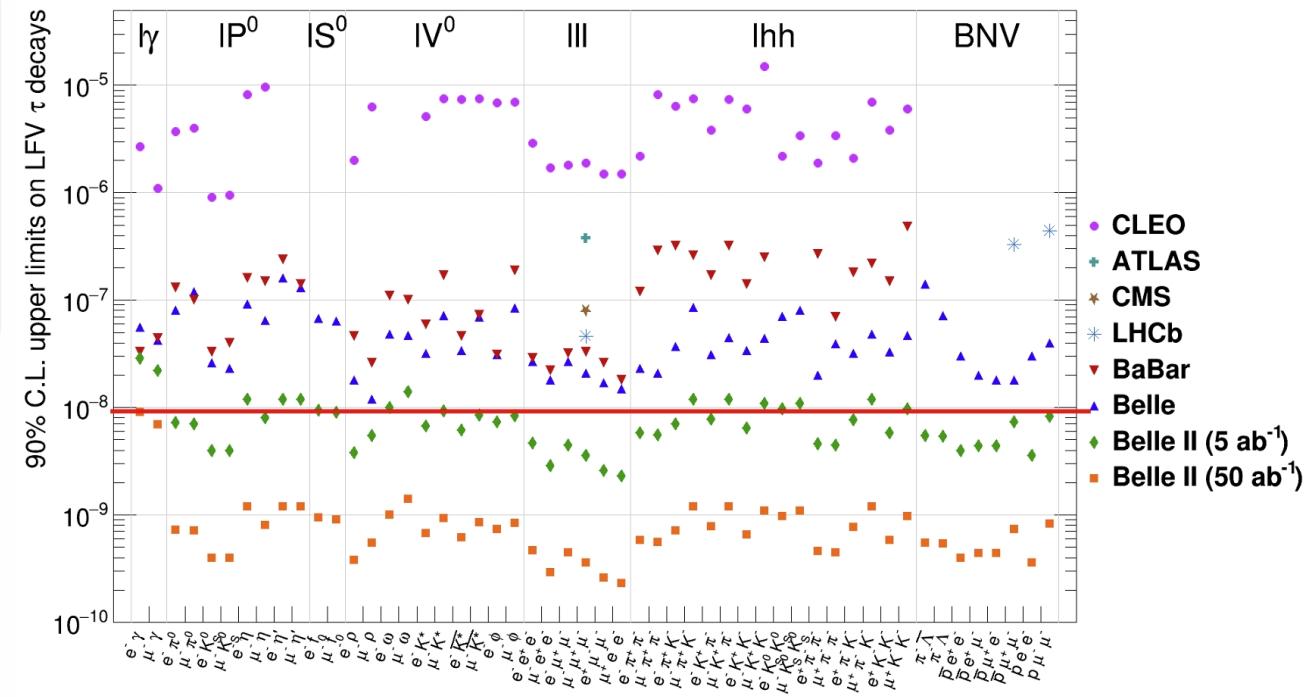
e.g. Leptoquarks for  $\tau^- \rightarrow \ell^- V^0$  deals with  $R(K^{*0})$  anomalies



(a)  $\tau^- \rightarrow \mu^- \gamma$  via Standard Model with neutrino oscillation



(b)  $\tau^- \rightarrow \ell^- V^0$  via leptoquark interaction



Progress of Theoretical and Experimental Physics. 2019 (2019) p. 123C01; arXiv:2203.14919

Observation of such decays will be a clear signature of New Physics

## Direct CPV in $B^0 \rightarrow \pi^0\pi^0$

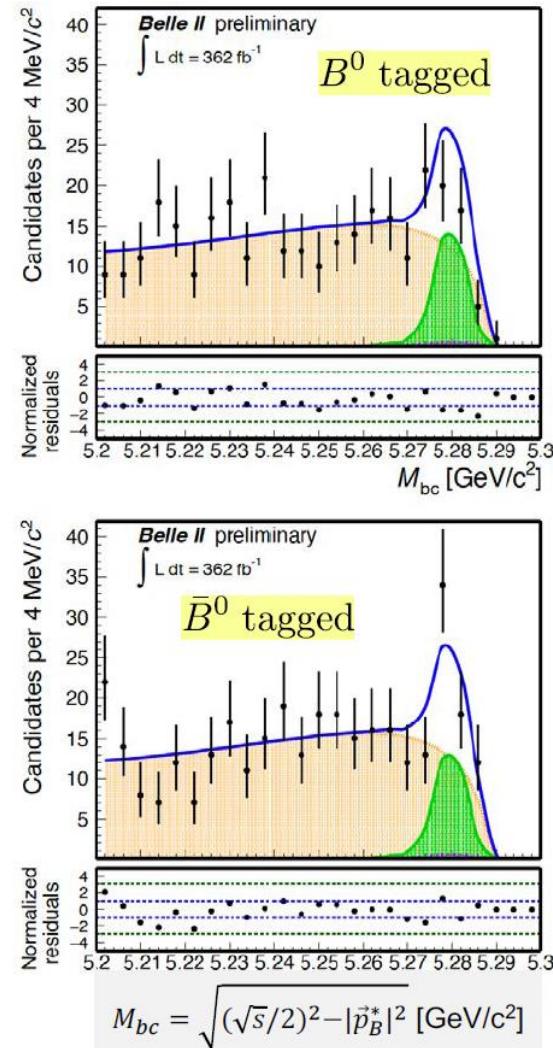
- ☛ Update Belle II measurement of  $\mathcal{B}$  and  $A_{CP}$  with  $189 \text{ fb}^{-1}$
- ☛ Improved analysis techniques
  - ✓ Better selections, GFlaT, reduction of systematic uncertainties
  - ✓ BDT photon selector, continuum suppression trained using off-resonance data
  - ✓ 4-D fit:  $M_{bc}$ ,  $\Delta E$ , continuum suppression BDT output, wrong B-tag probability

$$\mathcal{B} = (1.26 \pm 0.20 \pm 0.11) \times 10^{-6}$$

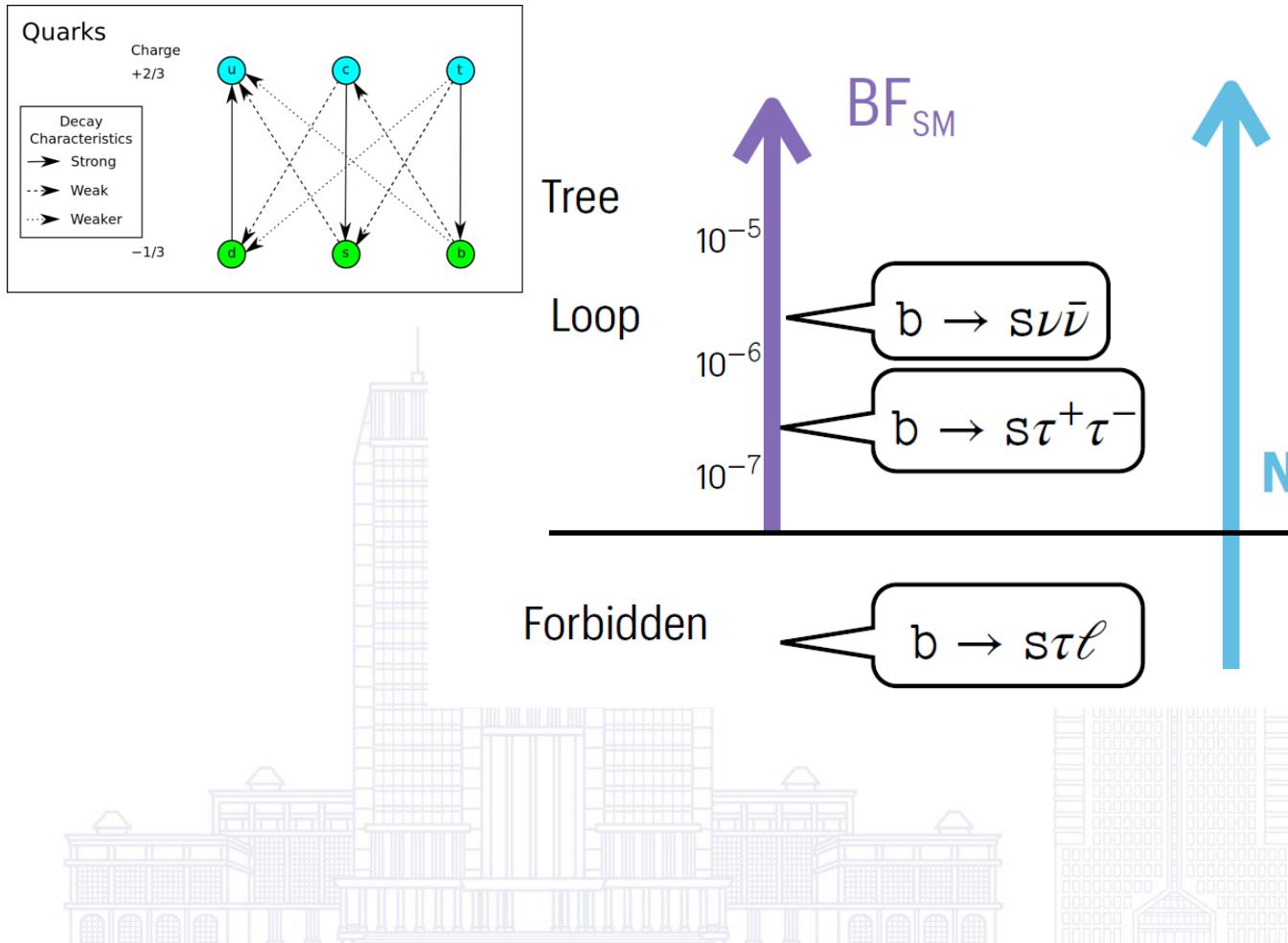
$$A_{CP} = 0.06 \pm 0.30 \pm 0.06$$

To be submitted to PRD

- ☛ Compatible Direct CP precision with world average
  - ✓ Belle ( $499 \text{ fb}^{-1}$ ) & BaBar ( $436 \text{ fb}^{-1}$ )
  - ✓ Very challenging measurement at LHCb



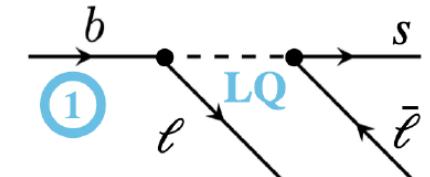
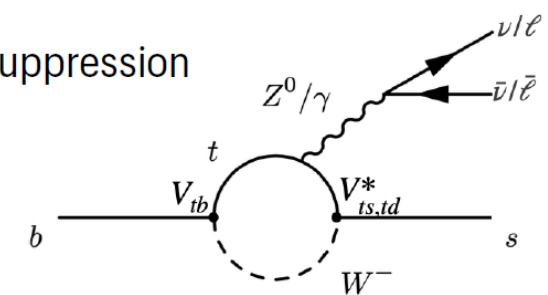
## Electroweak Penguin and LFV @ Belle (II) experiment



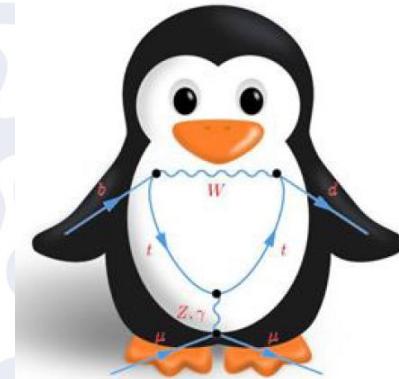
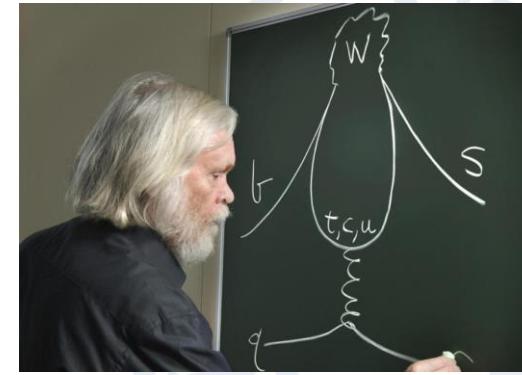
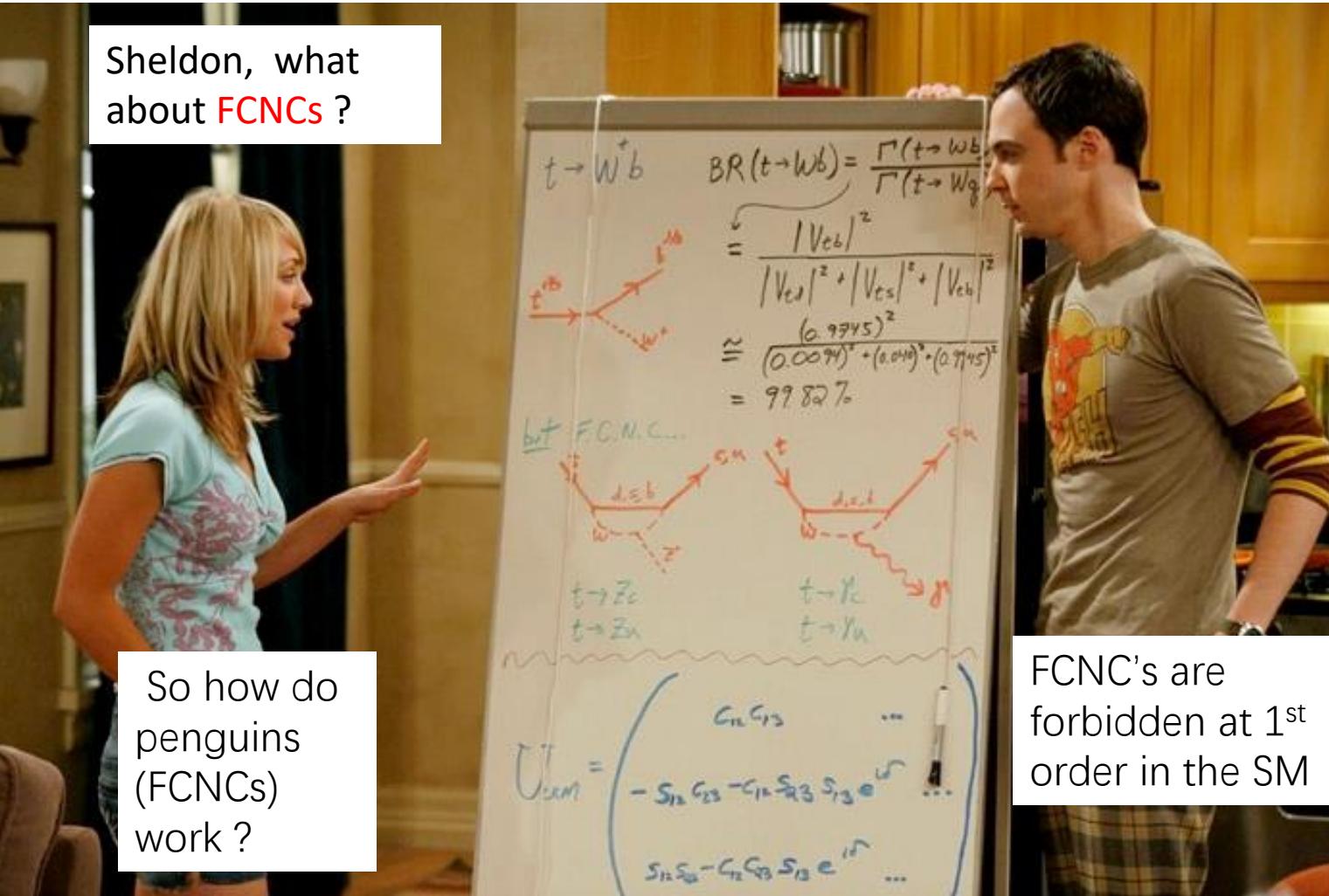
Flavor changing neutral currents FCNC  $b \rightarrow s$   
occur at **loop level** in the **SM**

Low BF's due to CKM and GIM suppression

Look for enhancements in FCNC and  
LFV due to **NP** contributions  
**Third generation coupling**



## Big Bang Theory Episode (FCNCs)



John Ellis, the CERN theorist who coined the name “**Penguin**” (a type of FCNC).

Examine the following  $b \rightarrow s \gamma$  decay modes in the Belle II Phase 3 dataset.

$$B^0 \rightarrow K^{*0} g \rightarrow K^+ \rho^- g$$

$$B^+ \rightarrow K^{*+} g \rightarrow K^+ \rho^0 g$$

$$B^+ \rightarrow K^{*+} g \rightarrow K_S^0 \rho^+ g$$

## Radiative penguin: $B \rightarrow \gamma K^*$

- Flavour changing neutral current decays sensitive to new physics
- CP ( $A_{CP}$ ) and isospin ( $\Delta_{+0}$ ) asymmetries are theoretically clean thanks to form factor cancellations
- Latest Belle measurement found evidence of isospin asymmetry at  $3.1\sigma$  [Phys. Rev. Lett. 119, 191802 (2017)]

$$A_{CP} = \frac{\Gamma(\bar{B} \rightarrow \bar{K}^* \gamma) - \Gamma(B \rightarrow K^* \gamma)}{\Gamma(\bar{B} \rightarrow \bar{K}^* \gamma) + \Gamma(B \rightarrow K^* \gamma)}$$

$$\Delta_{+0} = \frac{\Gamma(B^0 \rightarrow K^{*0} \gamma) - \Gamma(B^+ \rightarrow K^{*+} \gamma)}{\Gamma(B^0 \rightarrow K^{*0} \gamma) + \Gamma(B^+ \rightarrow K^{*+} \gamma)}$$

### Goal

Using the  $362 \text{ fb}^{-1}$  Belle II run 1 dataset

- Measure  $\mathcal{B}(B^{\pm,0} \rightarrow K^{*\pm,0} \gamma)$  with  $K^* \rightarrow K^+ \pi^-$ ,  $K_s^0 \pi^0$ ,  $K^+ \pi^0$  and  $K_s^0 \pi^+$
- Measure  $\Delta_{+0}$  and  $A_{CP}$  for all modes except  $B^0 \rightarrow K^{*0} (\rightarrow K_s^0 \pi^0) \gamma$

## Radiative penguin: $B \rightarrow \gamma K^*$ preliminary

- Consistent with World average and SM
- Similar sensitivity as Belle despite smaller sample (thanks mainly to improved  $\Delta E$  resolution,  $K_s^0$  efficiency and continuum suppression)
- Asymmetries statistically limited

$$\mathcal{B}[B^0 \rightarrow K^{*0} \gamma] = (4.16 \pm 0.10 \pm 0.11) \times 10^{-5},$$

$$\mathcal{B}[B^+ \rightarrow K^{*+} \gamma] = (4.04 \pm 0.13 \pm 0.13) \times 10^{-5},$$

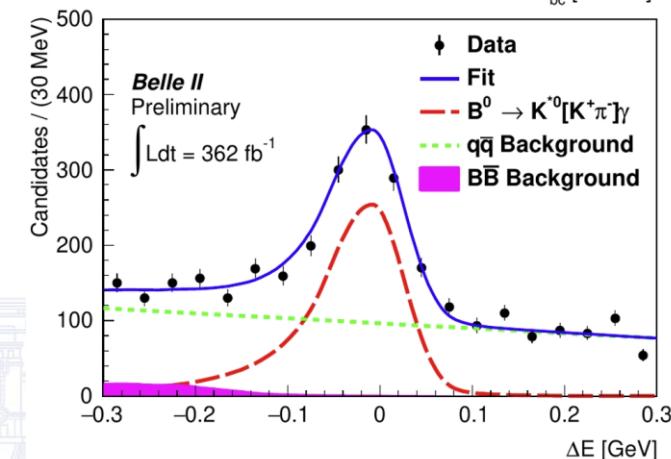
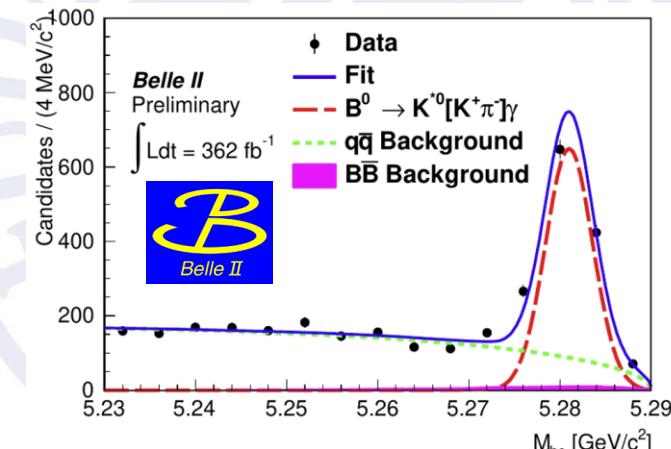
$$\mathcal{A}_{CP}[B^0 \rightarrow K^{*0} \gamma] = (-3.2 \pm 2.4 \pm 0.4)\%,$$

$$\mathcal{A}_{CP}[B^+ \rightarrow K^{*+} \gamma] = (-1.0 \pm 3.0 \pm 0.6)\%,$$

$$\Delta \mathcal{A}_{CP} = (2.2 \pm 3.8 \pm 0.7)\%, \text{ and}$$

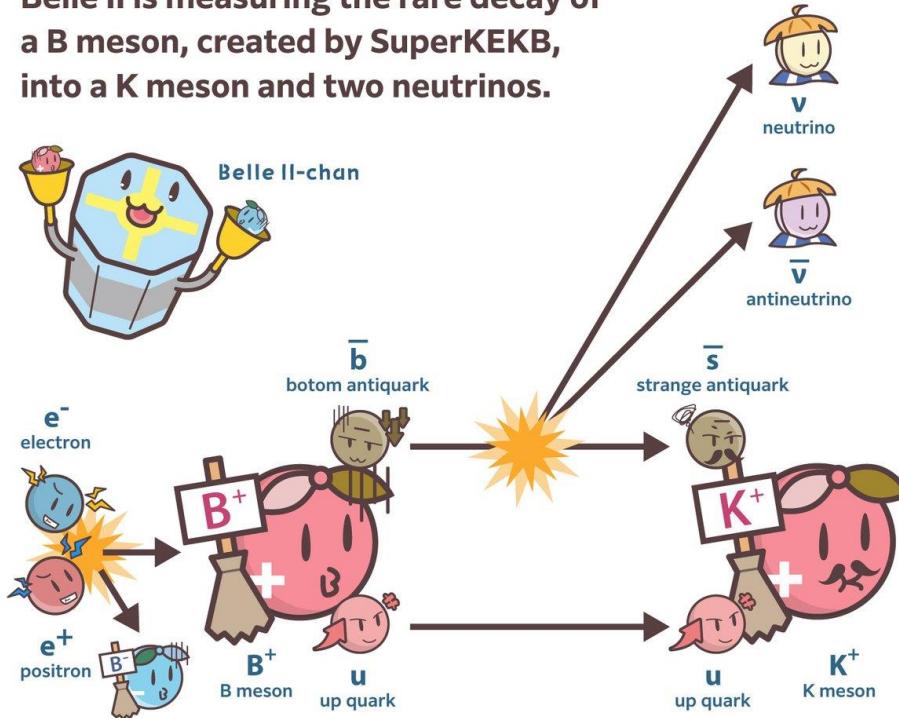
$$\Delta_{0+} = (5.1 \pm 2.0 \pm 1.5)\%,$$

2D  $M_{bc}$ - $\Delta E$  fit to extract  
Simultaneously yields of  $B$  and anti- $B$   
for self-tagged modes for  $A_{CP}$  and  $B$



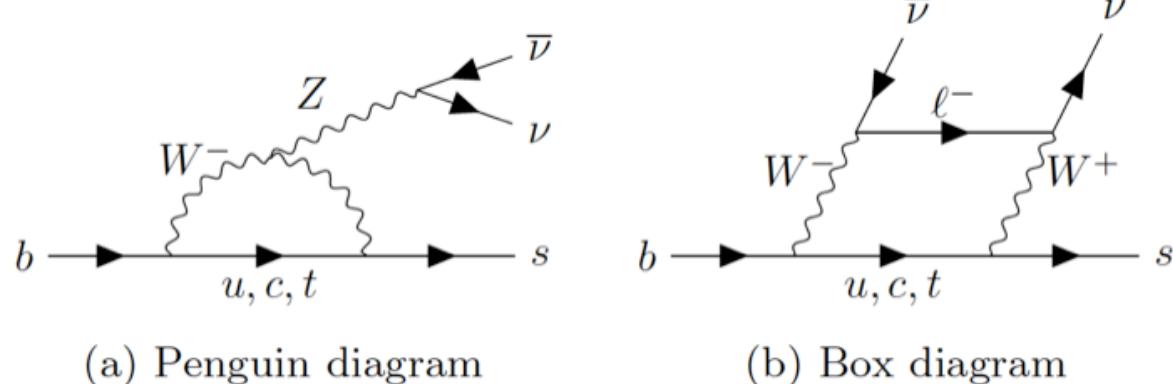
## $B \rightarrow K\nu\bar{\nu}$ : BSM without hadronic uncertainties

Belle II is measuring the rare decay of a B meson, created by SuperKEKB, into a K meson and two neutrinos.

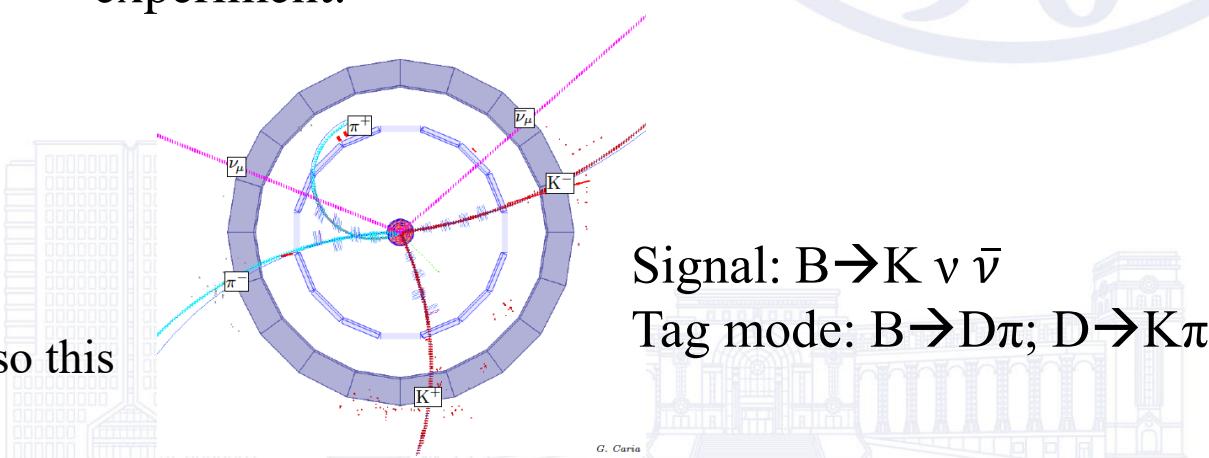


The high-precision calculability of the probability of this decay makes it easy to validate the Standard Model.

A b quark has charge -1/3, an s quark has charge -1/3 so this decay is a flavor changing neutral current (FCNC).



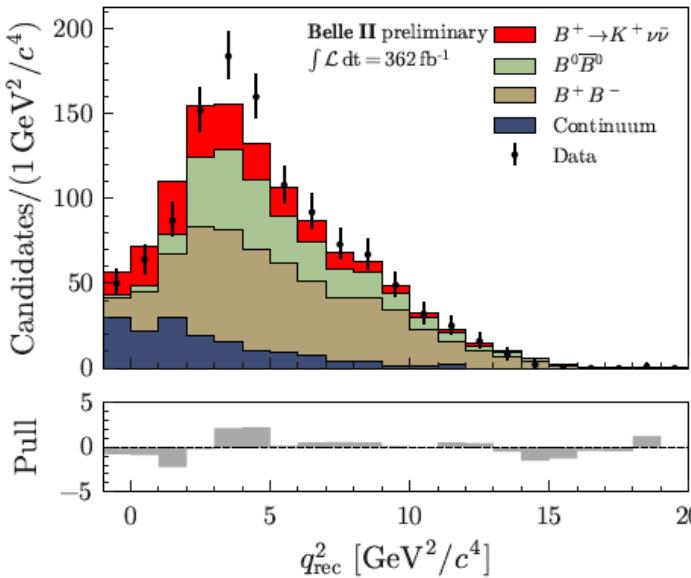
The  $B \rightarrow K^{(*)} \nu \bar{\nu}$  missing energy modes are accessible to Belle II (and Belle), but might be difficult at a hadron experiment.



Signal:  $B \rightarrow K \nu \bar{\nu}$   
Tag mode:  $B \rightarrow D\pi; D \rightarrow K\pi$

# a $3.5\sigma$ excess or “evidence” signal: $B \rightarrow K \nu \bar{\nu}$

PRD 109, 112006 (2024)



Distributions for the signal-enhanced region in the ITA (Inclusive tagged analysis)

Fits in bins of BDT2 and  $q^2$

- **Signal candidate:**

- an identified charged kaon that gives the minimal mass of the neutrino pair  $q_{\text{rec}}^2$  (computed as  $K^+$  recoil)

**Event (pre-selection):**

- $4 \leq N_{\text{track}} \leq 10$
- $E_{\text{total}} > 4 \text{ GeV}$
- $17^\circ < \vartheta_{\text{miss}} < 160^\circ$

**BDT<sub>1</sub> (first filter):**

- 12 event-shape based kinematic variables

**BDT<sub>2</sub> (final selection):**

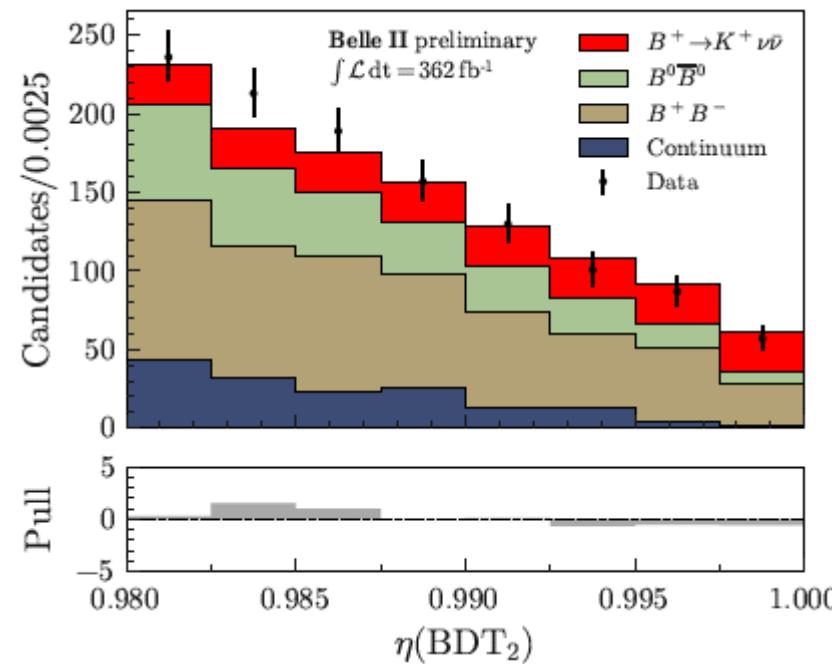
- 35 input variables: using signal, event, and their correlations

New Technique from Belle II with inclusive ROE (Rest of the Event) tagging. (X 10-20  $\varepsilon$  compared to FEI, but large bkgs).

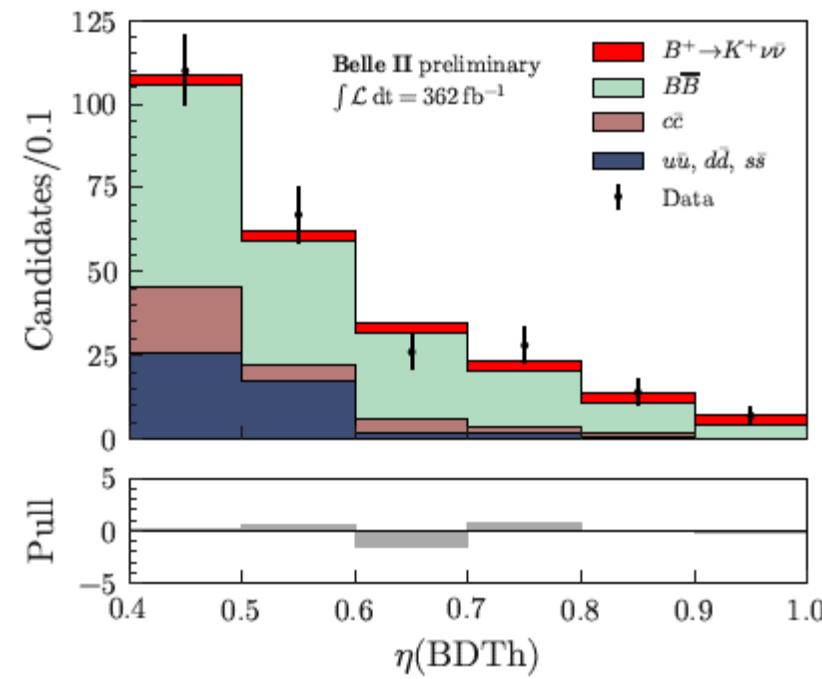
Now add on some ML/AI (boosted decision trees or BDTs) to help us tame the large backgrounds.

# a $3.5\sigma$ excess or “evidence” signal: $B \rightarrow K \nu \bar{\nu}$

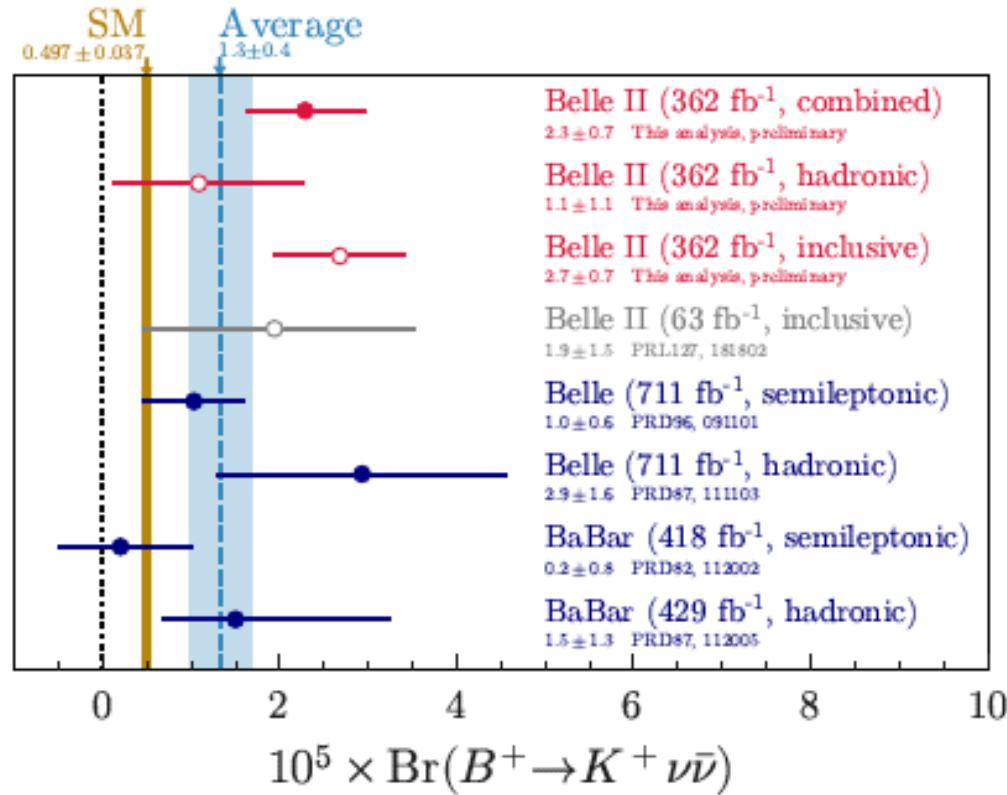
Inclusive tagged analysis



Consistency check with  
 the lower sensitivity FEI  
 hadronic tag.



## Combination and comparison with other measurements



$$\text{PRD } 109, 112006 (2024)$$

$$B(B^+ \rightarrow K^+ \nu \bar{\nu}) = (2.3 \pm 0.5(\text{stat})^{+0.5}_{-0.4} (\text{syst})) \times 10^{-5}$$

Significance of signal excess is 3.5 standard deviations. The signal is  $2.7\sigma$  above the SM expectation.

*Maybe third generation couplings  $b \rightarrow s \tau^+ \tau^-$  are enhanced*

**Program:** In the future, Belle II should be able to measure  $B \rightarrow K$  nu nubar,  $K^*$  nu nubar,  $q^2$  spectra and  $K^*$  polarization.

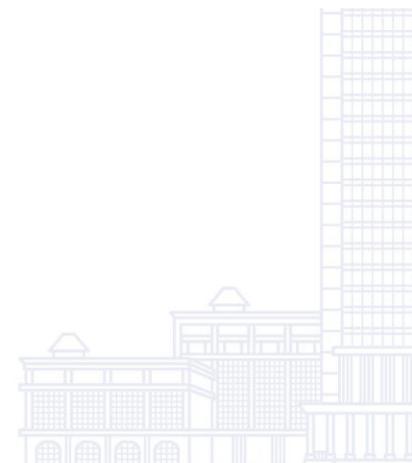
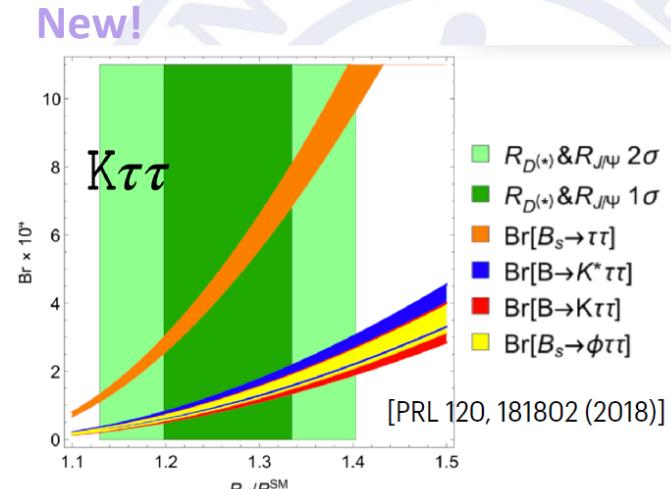
# Search for $B^0 \rightarrow K^{*0} \tau^+ \tau^-$

- FCNC processes are suppressed in SM at tree level.
- NP models that accommodate the  $b \rightarrow c\tau\ell$  anomalies predict an enhancement of several orders of magnitude with  $\tau\tau$  pair in the final state.
- NP couplings are those involving the **third-fermion generation**.

$$BF_{SM} = (0.98 \pm 0.10) \times 10^{-7} \quad [PRD 53, 4964 (1996)]$$

**Belle** ( $711 \text{ fb}^{-1}$ )  $\mathcal{B}^{\text{UL}}(B^0 \rightarrow K^{*0} \tau^+ \tau^-) < 3.1 \times 10^{-3}$  [[PRD 108 L011102 \(2023\)](#)]

**BaBar** ( $428 \text{ fb}^{-1}$ )  $\mathcal{B}^{\text{UL}}(B^+ \rightarrow K^+ \tau^+ \tau^-) < 2.3 \times 10^{-3}$  [[PRL 118 032012 \(2017\)](#)]

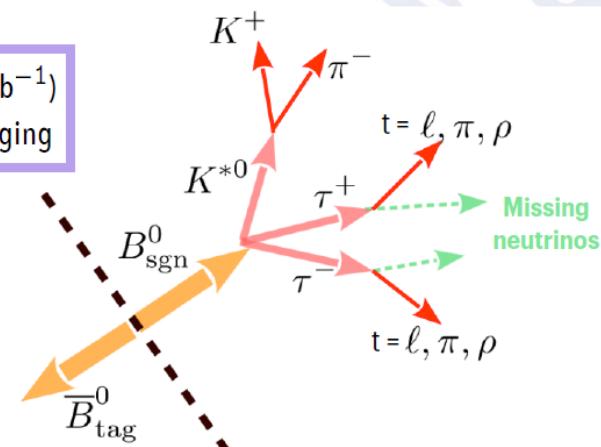


## Challenges

- Low BF
- No signal peaking kinematic observable
- Large backgrounds+more than 3 prompt track
- Up to **4 neutrinos** originating from  $\tau$
- $K^{*0}$  has **low momentum** due to the phase space

Similar as  $B^+ \rightarrow K^+ \nu \bar{\nu}$

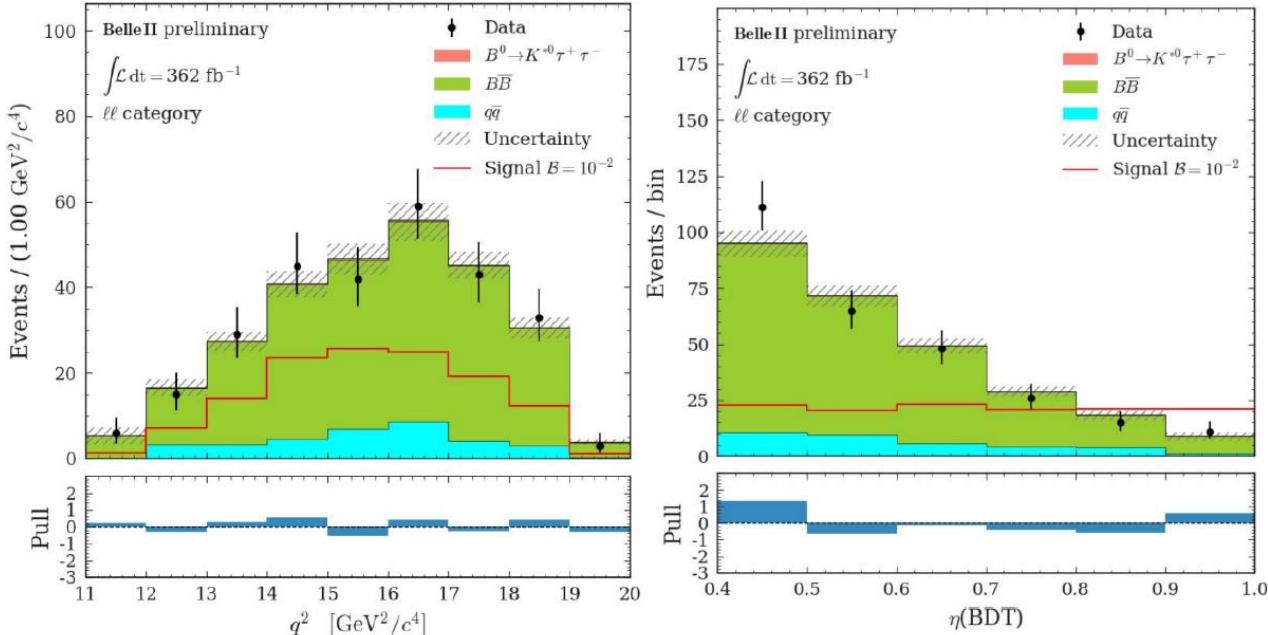
**Belle II** ( $362 \text{ fb}^{-1}$ )  
hadronic B-tagging



# Search for $B^0 \rightarrow K^{*0} \tau^+ \tau^-$

- Combinations of sub-track from  $\tau$  lead to 4 categories:  $\ell\ell, \ell\pi, \pi\pi, \rho X$
- $\ell\ell$  has the best sensitivity
- BDT** is trained using missing energy, extra cluster energy in EM calorimeter,  $M(K^{*0}\tau)$ ,  $q^2$ , etc.
- BDT output  $\eta(\text{BDT})$  is used to extract the signal yield with simultaneous fit to 4 categories

$\ell\ell$  as an example



$$\mathcal{B}^{\text{UL}} = 1.8 \times 10^{-3} \text{ at 90\% confidence level}$$

**Twice better with only half sample wrt Belle!**  
Better tagging + more categories + BDT classifier...

The most stringent limit on the  $B^0 \rightarrow K^{*0} \tau^+ \tau^-$  decay and in general on  $b \rightarrow s \tau\tau$  transition!

# Search for $B^0 \rightarrow K_s^0 \tau^\pm \ell^\mp$ at Belle and Belle II

- The BSM extensions predict that the decay rates for LFV  $b \rightarrow s\tau\ell$  decays are close to current experimental sensitivity
- Third-generation couplings +  $\tau$  lepton mass → sensitivity to new

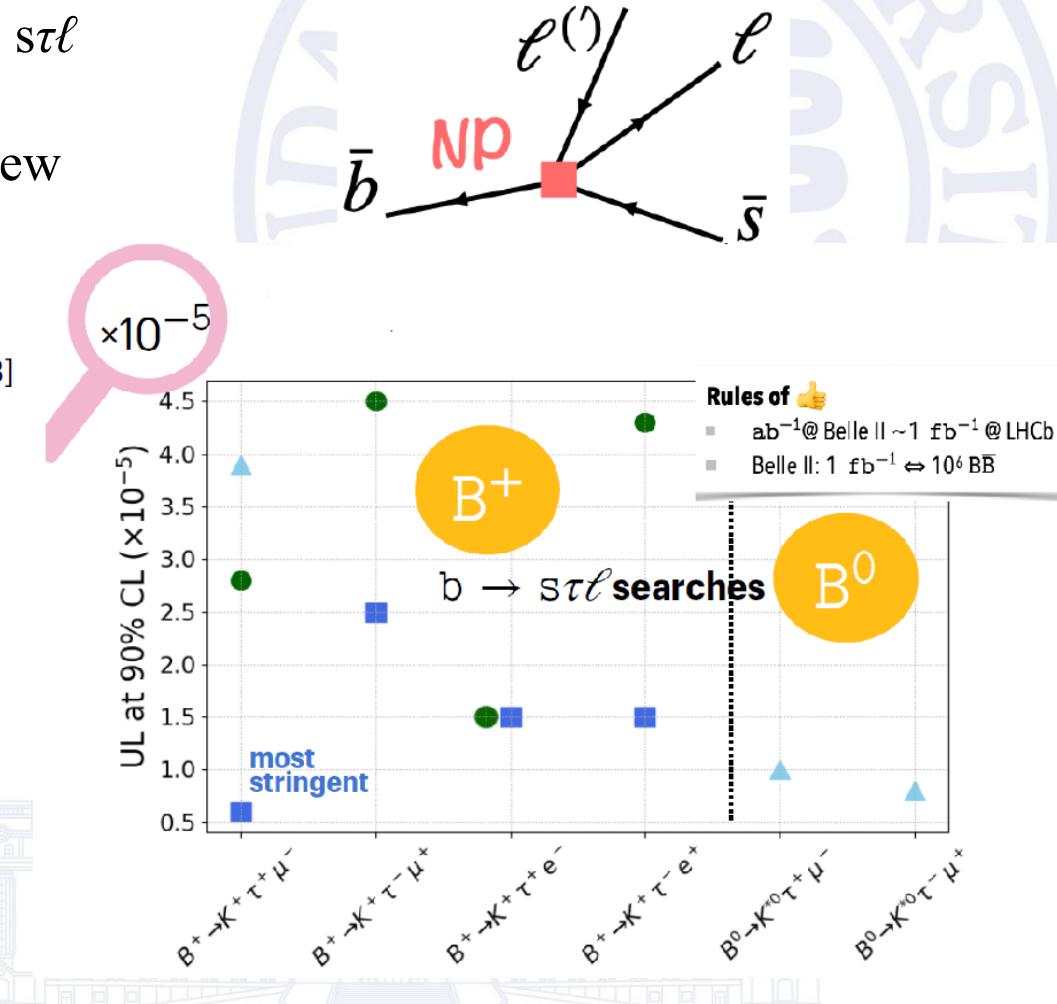
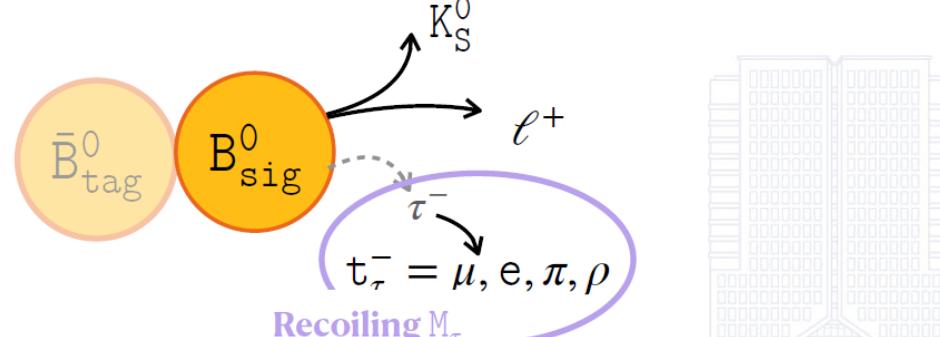
● **BaBar** ( $428 \text{ fb}^{-1}$ )  $B^+ \rightarrow K^+ \tau^\pm \ell^\mp$  [PRD86, 012004, 2012]

■ **Belle** ( $711 \text{ fb}^{-1}$ )  $B^+ \rightarrow K^+ \tau^\pm \ell^\mp$  [PRL130, 261802, 2023]

▲ **LHCb** ( $9 \text{ fb}^{-1}$ )  $B^+ \rightarrow K^+ \tau^+ \mu^-$ ,  $B^0 \rightarrow K^{*0} \tau^\pm \mu^\mp$  [JHEP06,129,2020] [JHEP06,143,2023]

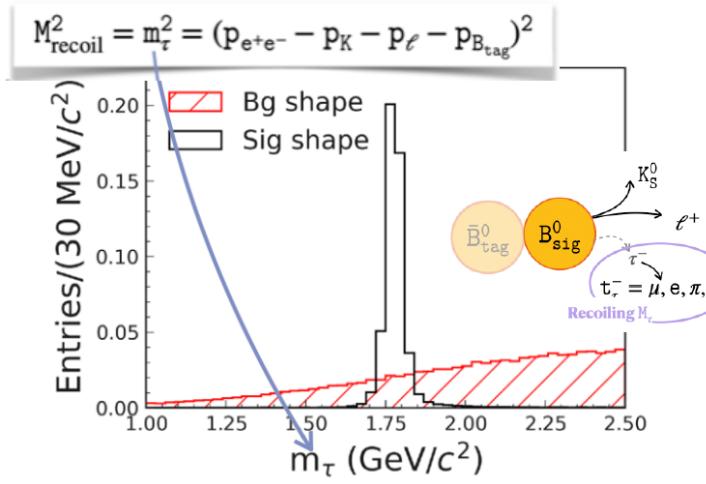
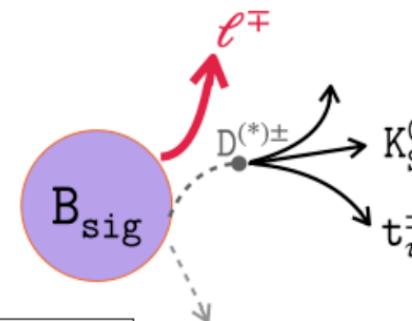
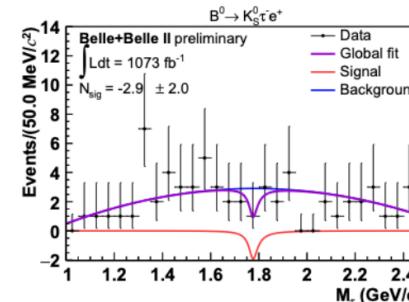
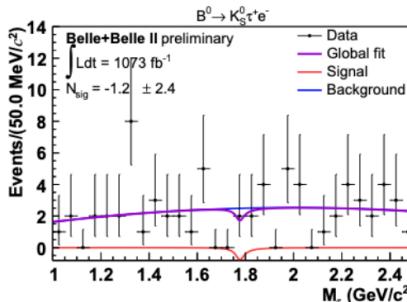
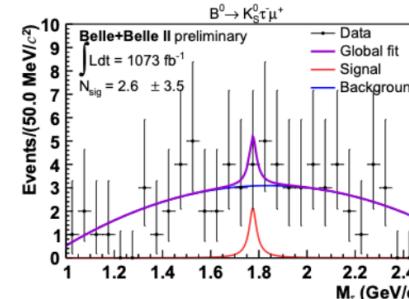
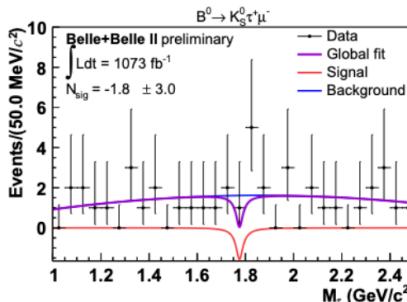
Today: first search in  $B^0 \rightarrow K_s^0 \tau^\pm \ell^\mp$

BELLE+Belle II ( $711+362 \text{ fb}^{-1}$ ) + hadronic B-tagging



# Search for $B^0 \rightarrow K_S^0 \tau^\pm \ell^\mp$ at Belle and Belle II

- Has **neutrinos only from one  $\tau$**   $\Leftrightarrow$  can compute recoiling mass of  $\tau$   
(unlike  $B^+ \rightarrow K^+ \nu \bar{\nu}$ ,  $B^0 \rightarrow K^{*0} \tau^+ \tau^-$  etc)
- $K_S^0$  purity is larger than 98%
- Reject dominant bkg: **B semi-leptonic decay**
- BDT for remaining bkg suppression



$$\mathcal{B}(B^0 \rightarrow K_S^0 \tau^+ \mu^-) < 1.1 \times 10^{-5}$$

$$\mathcal{B}(B^0 \rightarrow K_S^0 \tau^- \mu^+) < 3.6 \times 10^{-5}$$

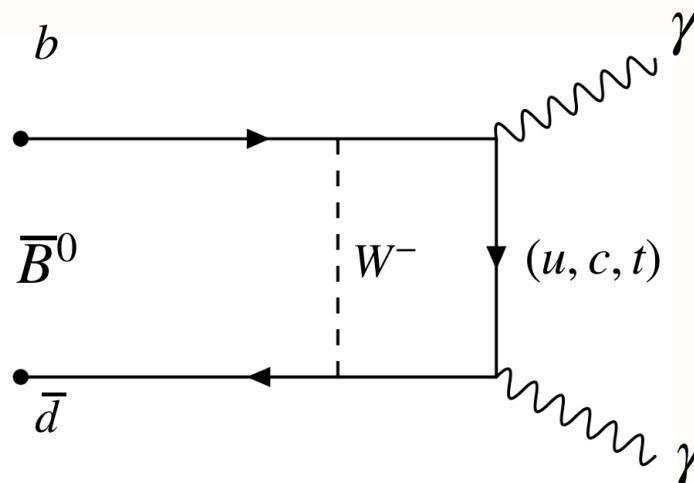
$$\mathcal{B}(B^0 \rightarrow K_S^0 \tau^+ e^-) < 1.5 \times 10^{-5}$$

$$\mathcal{B}(B^0 \rightarrow K_S^0 \tau^- e^+) < 0.8 \times 10^{-5}$$

The results are among the most stringent limit

## Study of the rare decay $B^0 \rightarrow \gamma\gamma$ decay at Belle and Belle II

- This mode is sensitive to new physics that could enhance branching fraction due to the possible contribution of **non-SM heavy particles**.



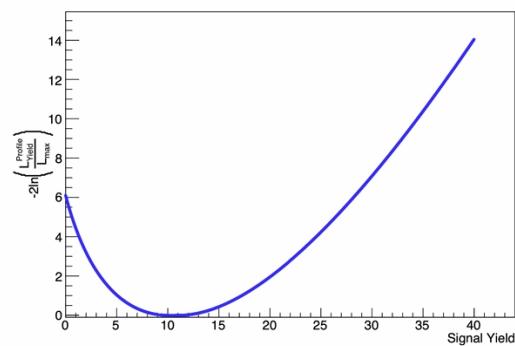
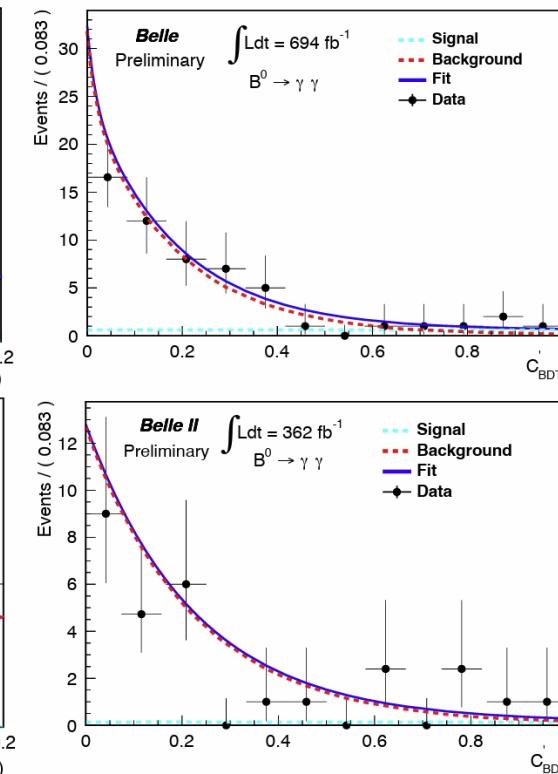
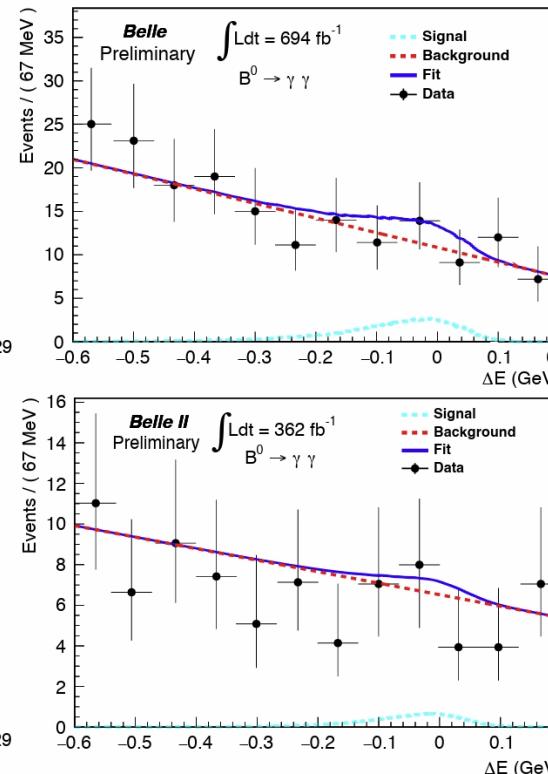
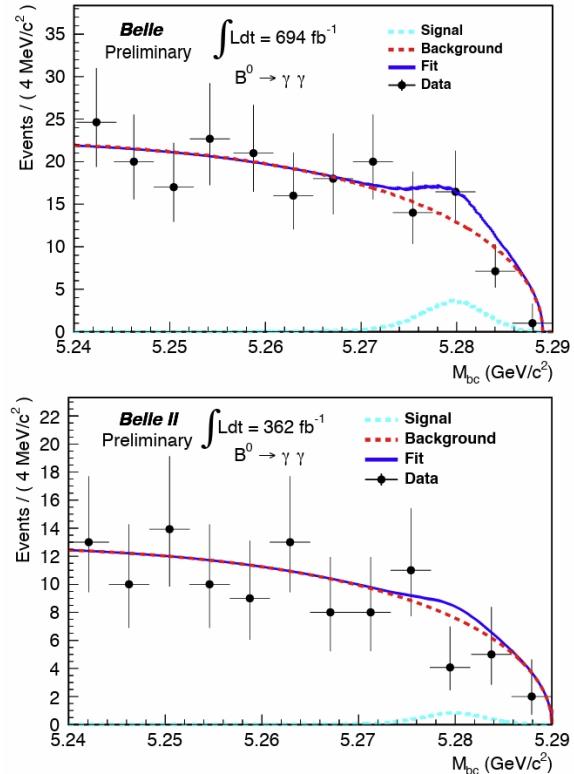
Previous searches	Measurement at 90 % CL	
L3 collaboration ( $\int \mathcal{L} dt = 73 \text{ pb}^{-1}$ )	$< 3.9 \times 10^{-5}$	<a href="#">Phys. Lett. B363 137</a>
Belle collaboration ( $\int \mathcal{L} dt = 104 \text{ fb}^{-1}$ )	$< 6.2 \times 10^{-7}$	<a href="#">Phys. Rev. D.73.051107</a>
BABAR collaboration ( $\int \mathcal{L} dt = 426 \text{ fb}^{-1}$ )	$< 3.2 \times 10^{-7}$	<a href="#">Phys. Rev. D.83.032006</a>

Theoretically, the BF of this decay mode is expected to be  $1.4_{-0.8}^{+1.4} \times 10^{-8}$  <sup>1</sup>.

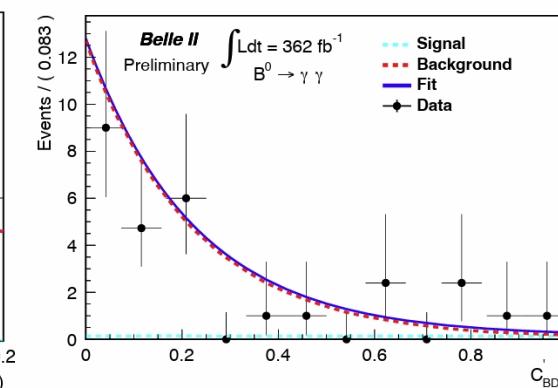
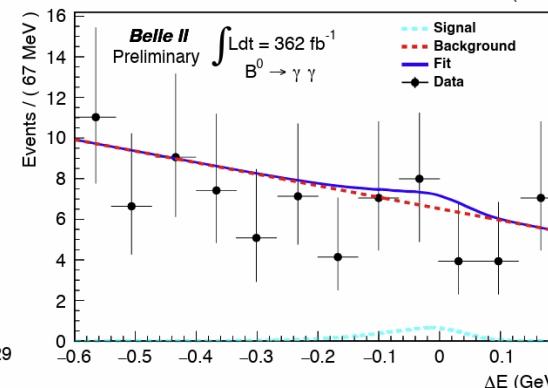
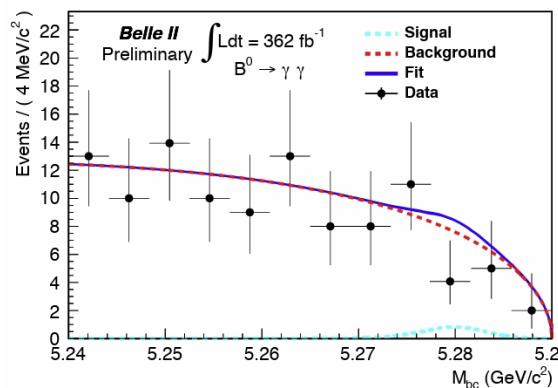
1 Yue-Long Shen et al. (2020), Journal of High Energy Physics, 169 (2020)

- We perform the first Belle and Belle II measurement using a data set of  $694 \text{ fb}^{-1}$  from Belle and the dataset of Belle II ( $\approx 362 \text{ fb}^{-1}$ ) from the RunI period.

# Study of the rare decay $B^0 \rightarrow \gamma\gamma$ decay at Belle and Belle II



PRDL (accepted)  
[arXiv:2405.19734](https://arxiv.org/abs/2405.19734)



**Signal Significance**  

$$\left( \sqrt{-2(\ln \mathcal{L}_0 / \mathcal{L}_{max})} \right) = 2.5 \sigma$$

- Simultaneous 3D unbinned ML fitting on  $M_{bc}$ ,  $\Delta E$  and  $C'_\text{BDT}$  using Belle and Belle II data sets.

Signal Yield =  $11^{+6.5}_{-5.5}$        $2.5\sigma$  significance wrt the background only hypothesis

Approaching SM sensitivity

# Study of the rare decay $B^0 \rightarrow \gamma\gamma$ decay at Belle and Belle II

- No signal evidence -> set UL at 90% CL
- $\mathcal{B}(B^0 \rightarrow \gamma\gamma) < 6.4 \times 10^{-8}$  at 90% CL.
- Improvement by a factor of five over the previous UL set by the Babar experiment with  $426 \text{ fb}^{-1}$  ( $< 3.2 \times 10^{-7}$  at 90% CL).

## Improvements

Increased Statistics (Belle+Belle II)

Improved analysis techniques.

Better Signal Efficiency

Improved Background reduction

$$\mathcal{B}(B^0 \rightarrow \gamma\gamma) = (3.7^{+2.2}_{-1.8}(\text{stat}) \pm 0.7(\text{sys})) \times 10^{-8}$$

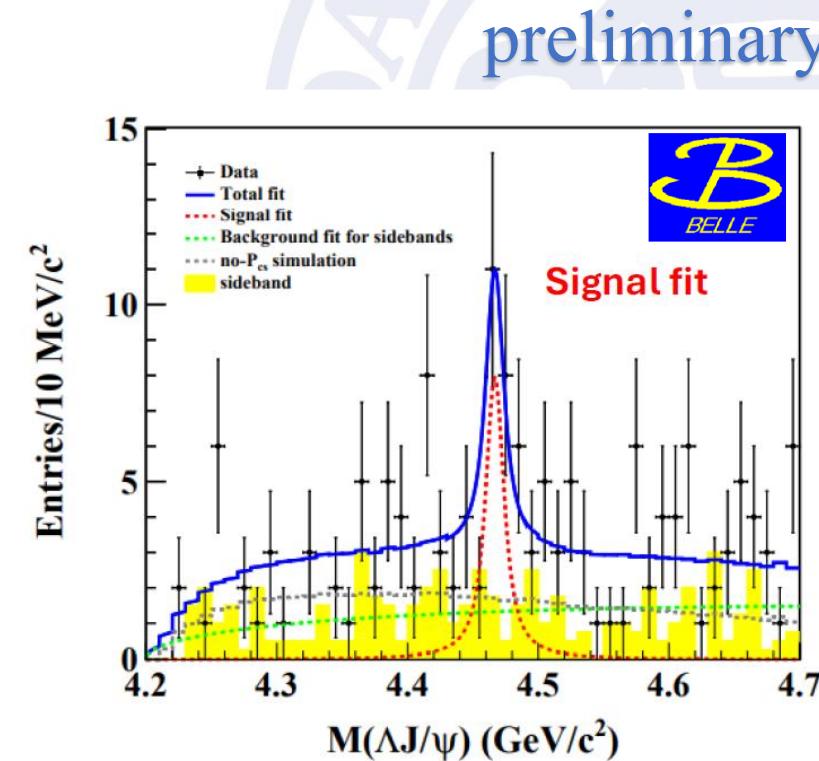


Upper limit on Branching fraction:  $< 6.4 \times 10^{-8}$  at 90% CL

**World Best UL** (Previous world best  $< 3.2 \times 10^{-7}$ ) [BaBar, PRD.83.032006]

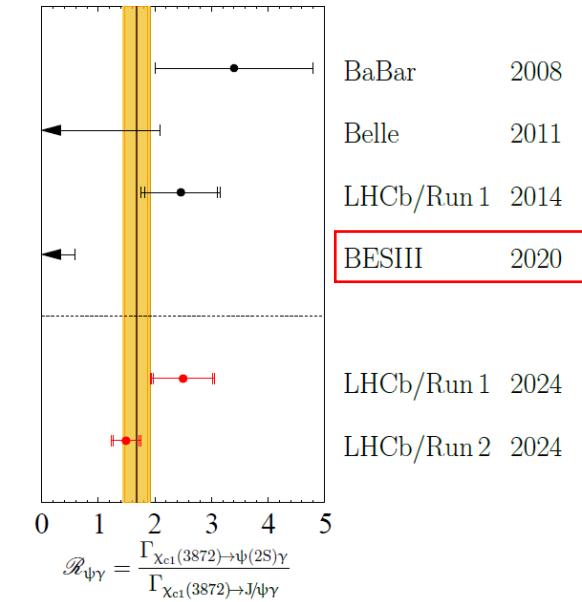
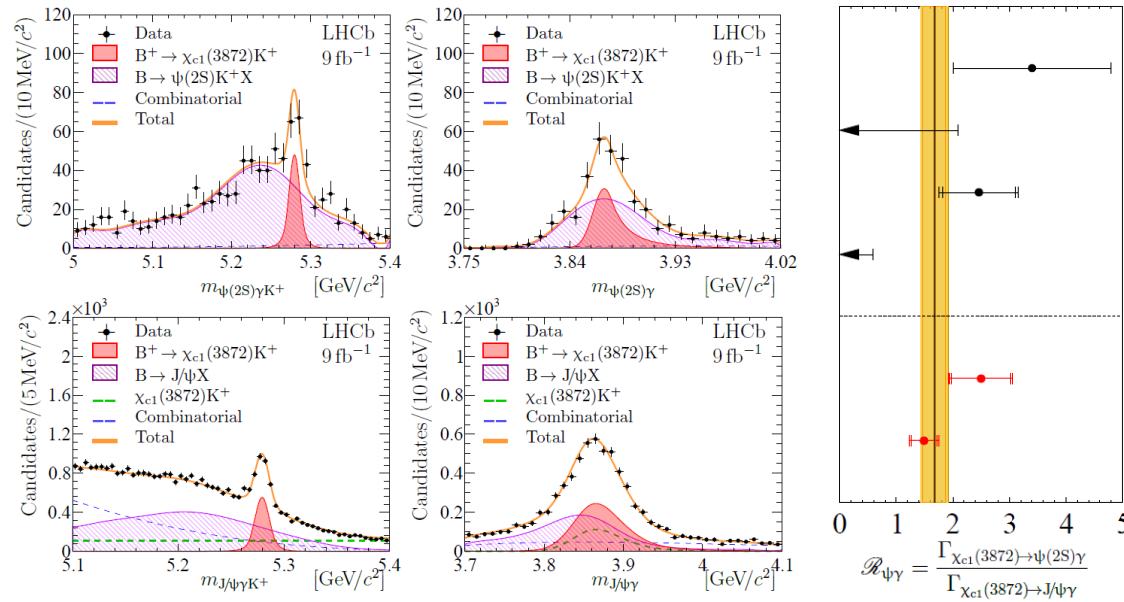
## Evidence of $P_{cs}(4459)$ at Belle

- OZI suppressed decays of  $\Upsilon(1S)$  and  $\Upsilon(2S)$  rich in gluons
  - enhanced baryon production
  - pentaquarks?
- Select inclusive  $\Upsilon(1S,2S) \rightarrow J/\psi \Lambda + X$  decays, then search  $m(J/\psi \Lambda)$  for pentaquark signal
  - **Background from sideband and off resonance**
- Use LHCb mass and width for their observation in  $\Xi_b$  decay (Sci. Bulletin **66**, 1278 (2021))
  - **3.3 standard deviation significance observation**
  - free mass and width 4 standard deviation local significance



# X(3872) radiative decays at LHCb

- Radiative decays of X(3872) into the  $\psi(2S)\gamma$  and  $J/\psi\gamma$  provides an alternative way to probe its nature
- The ratio of the partial radiative decay widths into  $\psi(2S)\gamma$  and  $J/\psi\gamma$  vary widely depending on the different hypothesis for X(3872)
- large values of this ratio ( $>=1$ ) are expected for a conventional charmonium  $\chi_{c1}(2P)$  state; smaller values for pure DD\*-molecular hypothesis ( $R_{\psi\gamma} \ll 1$ )
- the mixture of a predominantly DD\*-molecular state and a compact component cover a wide range of  $R_{\psi\gamma}$



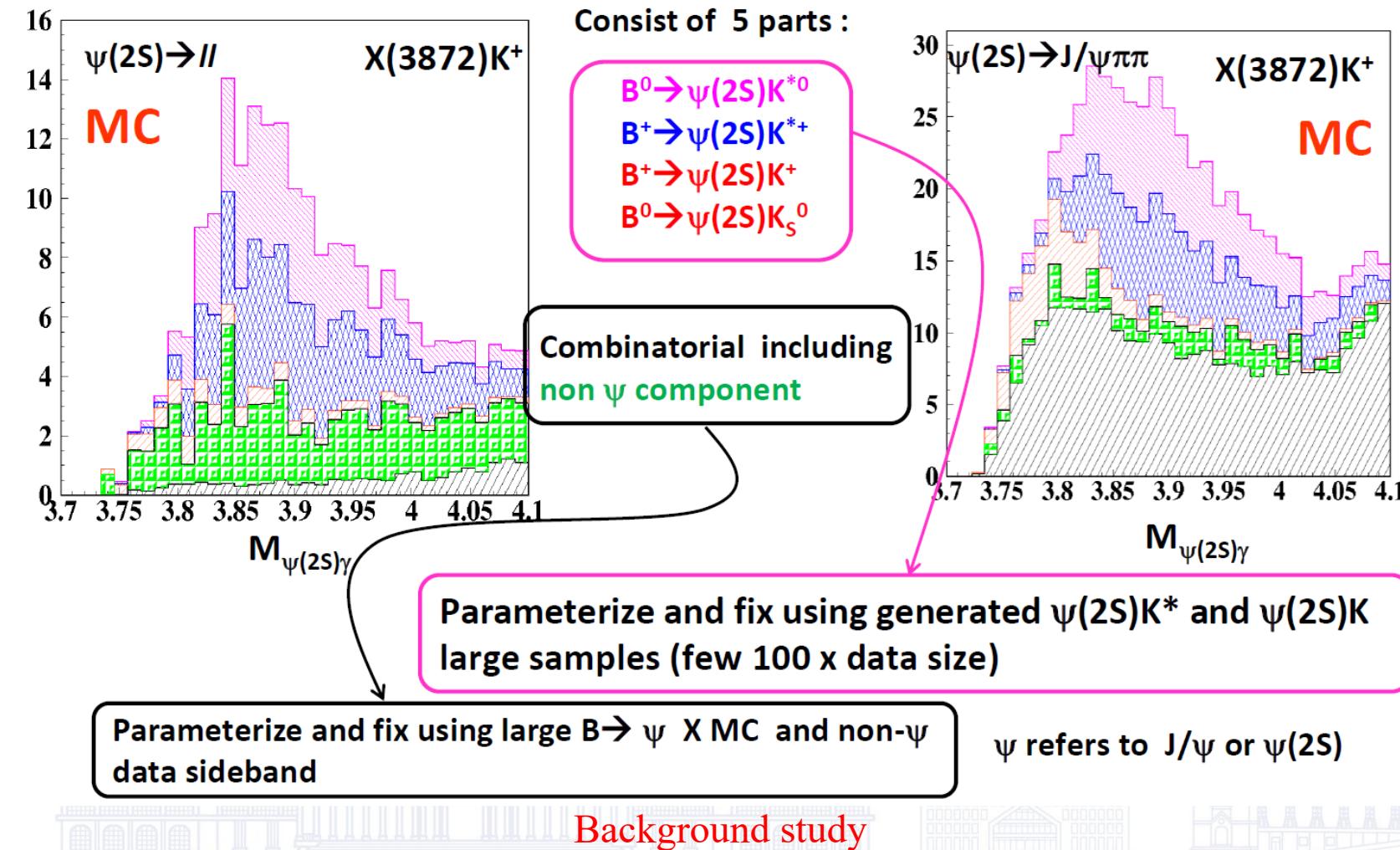
The significance of the  $X(3872) \rightarrow \psi(2S)\gamma$  signal is  $4.8\sigma$  and  $6.0\sigma$  for the Run 1 and Run 2

$$\begin{aligned} \mathcal{R}_{\psi\gamma}^{\text{Run 1}} &= 2.50 \pm 0.52^{+0.20}_{-0.23} \pm 0.06, \\ \mathcal{R}_{\psi\gamma}^{\text{Run 2}} &= 1.49 \pm 0.23^{+0.13}_{-0.12} \pm 0.03, \end{aligned}$$

$$\mathcal{R}_{\psi\gamma} = 1.67 \pm 0.21 \pm 0.12 \pm 0.04.$$

A strong argument in favour of a compact component in the X(3872) structure

# X(3872) radiative decays at Belle II



Current available data set

- Belle :  $711 \text{ fb}^{-1}$
- Belle II :  $363 \text{ fb}^{-1}$

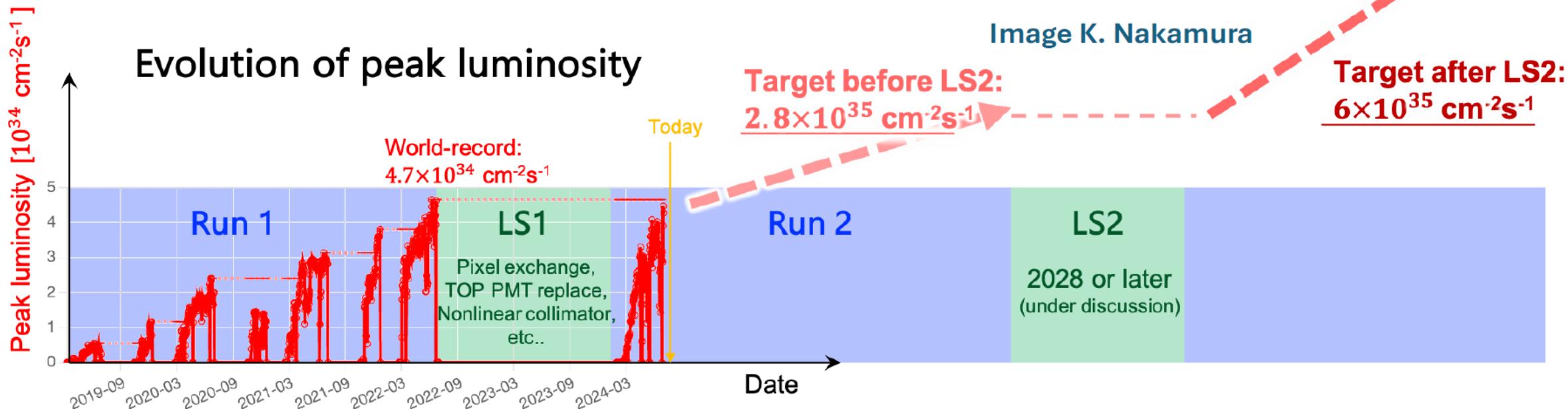
Belle II reconstruction efficiency is 15-20% more than Belle II.

o Thanks to the better tracking and reconstruction algorithm.

Rough estimate suggest :

- ~50 events for  $B^+ \rightarrow X(3872) K^+$ ,  $X(3872) \rightarrow J/\psi \gamma$
- ~ 24-34 events for  $B^+ \rightarrow X(3872) K^+$ ,  $X(3872) \rightarrow \psi(2S) \gamma$  (using recent LHCb result)

# SuperKEKB/Belle II status and plans



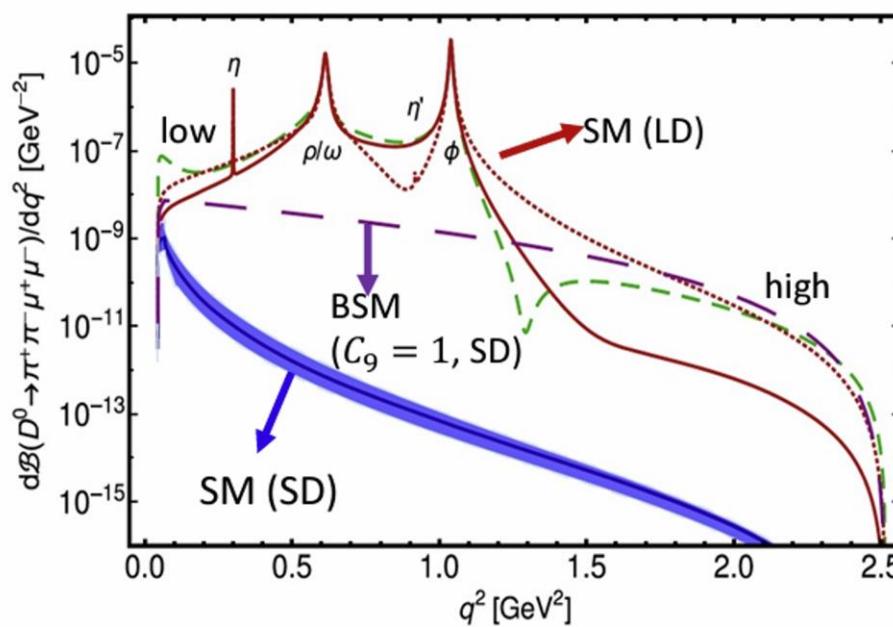
- Run 2 is long – end 2028 or later
  - Steady accumulation at  $\sim 2 \times 10^{35} \text{ cm}^{-2}\text{s}^{-1}$  for several  $\text{ab}^{-1}$  – 2<sup>nd</sup> generation
  - After Run 2 – upgrade proposal for reach design luminosity and tens of  $\text{ab}^{-1}$

# Summary

- Belle II started operation in 2019, and the luminosity has achieved  $0.5 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$ .
- After 18 months of LS1, SuperKEKB is resuming for the second data taking in Jan. 2024, with a goal of  $(1 - 2) \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$ .
- Belle II is getting more and more productive in publications, based on the excellent performance of the Belle II detector.
- R&D works for Belle II upgrade are on the way, and the CDR is under review by BPAC, going to be released soon.

## Search of $D^0 \rightarrow hh'e^+e^-$ at Belle

- FCNC  $c \rightarrow u\ell\ell$  are suppressed processed in the SM, interesting place to look for NP
  - SM long-distance contributions dominate, especially near resonances
  - BSM contributions maybe visible at high  $q^2$ , far from resonances

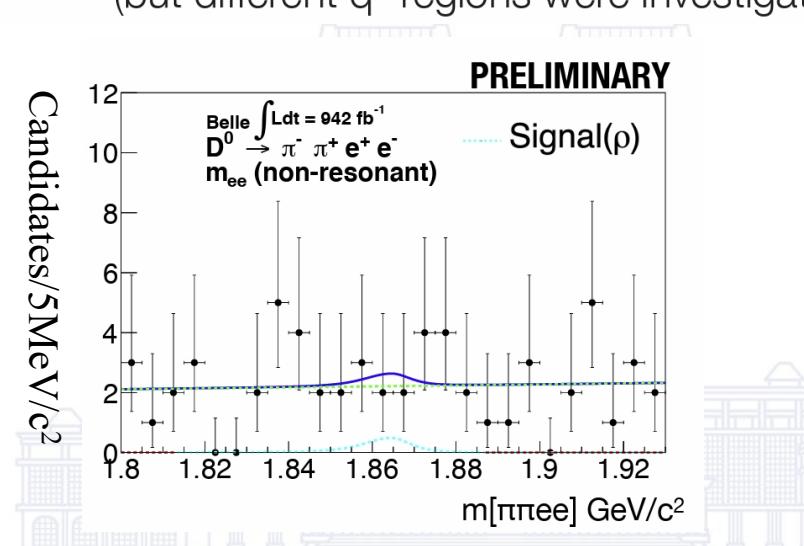
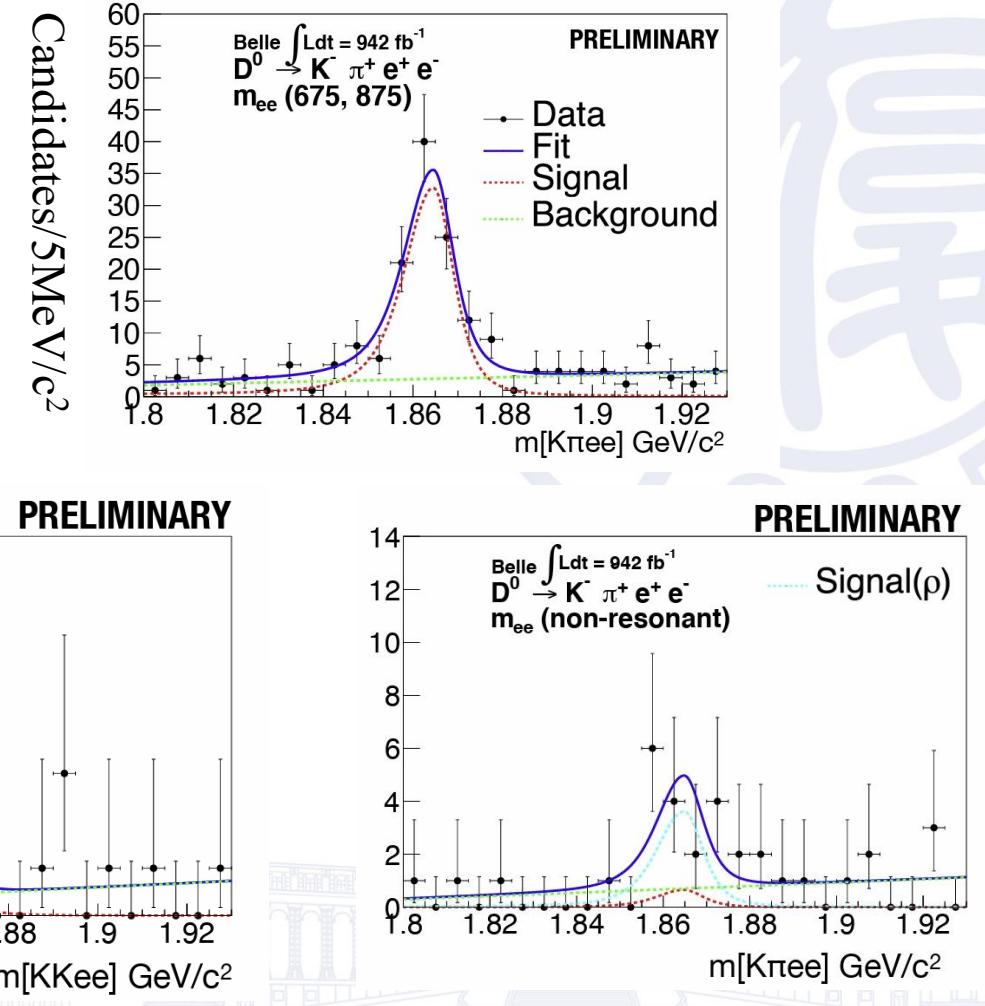


Experiment	$K^-K^+e^+e^-$	$\pi^-\pi^+e^+e^-$	$K^-\pi^+e^+e^-$
Babar (2019)			$40.0 \pm 5.0 \pm 2.3 (\rho^0/\omega)$ stat syst
BESIII (2019)	< 110	< 70	< 410
	$K^-K^+\mu^+\mu^-$	$\pi^-\pi^+\mu^+\mu^-$	$K^-\pi^+\mu^+\mu^-$
LHCb (2016-2017)	$1.54 \pm 0.27 \pm 0.19$	$9.64 \pm 0.48 \pm 1.10$	$4.17 \pm 0.12 \pm 0.40 (\rho^0/\omega)$

BESIII PRD97(2019):072015, BABAR PRL122(2019):081802  
LHCb PRL 119(2017):181805

## $D^0 \rightarrow hh'e^+e^-$ results at Belle

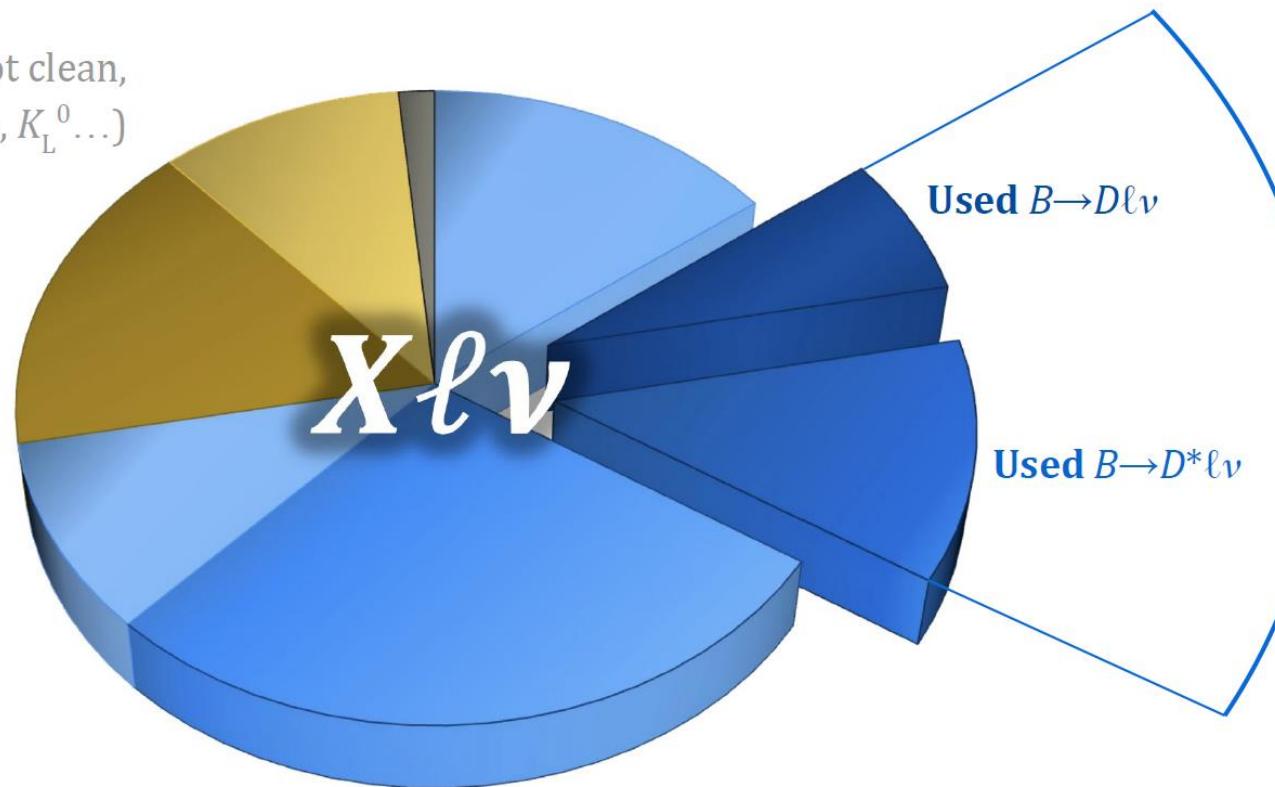
- signal observed in  $D^0 \rightarrow K\pi e^+e^-$ , in the  $\rho/\omega$  region
  - measured  $BR = (39.6 \pm 4.5 \pm 2.9) \times 10^{-7}$  [11.8 $\sigma$ ]
  - compatible with *BABAR* and with SM expectations
- no signal observed in the other regions & channels
  - upper limits set at 90% CL [2-8]  $10^{-7}$  (best to date)
  - significantly improved limits wrt *BESIII* and *BABAR* (but different  $q^2$  regions were investigated)



## Belle II: R(X)

### Composition of $B \rightarrow X \ell \nu$ events

(**not well-known**, not clean,  
missing  $\nu$ ,  $K_L^0 \dots$ )



So then: how can we use “**not well-known**” as the signal?



## Data-driven corrections

The ***invariant mass of the X system*** controls the **physics** we know the least about

$$M_X^2 = \left( \frac{\vec{E}_X}{\vec{p}_X} \right)^2$$

Control variable

$$M_{\text{miss}}^2 = \left[ \left( \frac{E_{\text{CMS}}}{\vec{p}_{\text{CMS}}} \right) - \left( \frac{E_{\text{CMS}}/2}{-\vec{p}_{B_{\text{tag}}}} \right) - \left( \frac{E_\ell}{\vec{p}_\ell} \right) - \left( \frac{\vec{E}_X}{\vec{p}_X} \right) \right]^2$$

Extraction variable

$$q^2 = \left[ \left( \frac{E_{\text{CMS}}/2}{-\vec{p}_{B_{\text{tag}}}} \right) - \left( \frac{\vec{E}_X}{\vec{p}_X} \right) \right]^2$$

Independent test variable

Using  $M_X$  to reweight the signal **fixes\*** the observed mismodeling

