



Open heavy-flavour and quarkonia physics with ALICE

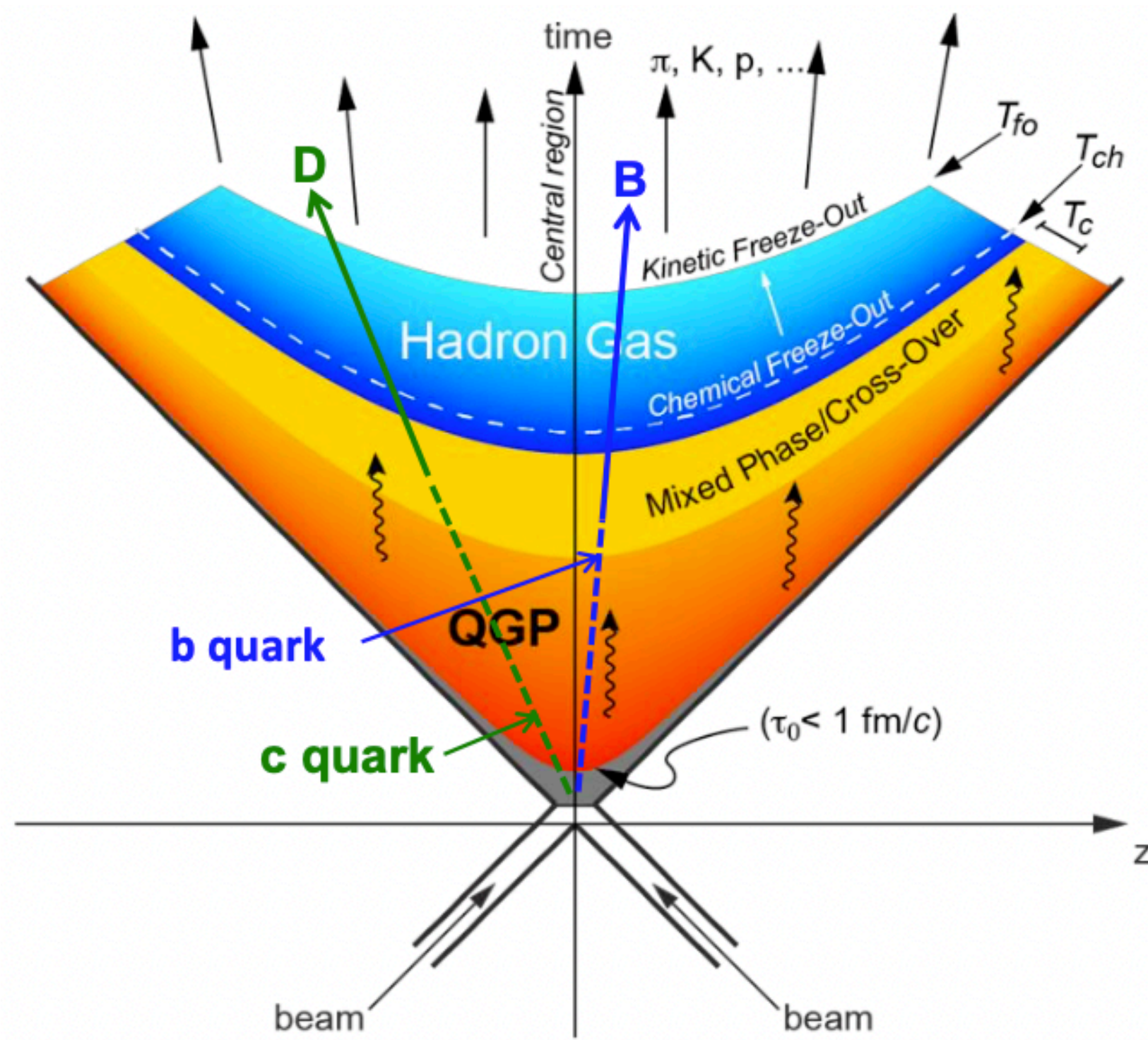
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Institute of Modern Physics, Fudan University, China

10th China LHC Physics Conference, Qingdao, Shandong, China

November 16, 2024

Why open heavy-flavour (HF)



▶ Charm:
 $m_c \approx 1.3 \text{ GeV}/c^2$



▶ Beauty:
 $m_b \approx 4.2 \text{ GeV}/c^2$

- ▶ $m_Q \gg \Lambda_{\text{QCD}}$
- ▶ Enable the evaluation of their production cross sections within pQCD
- ▶ $m_Q \gg T_{\text{QGP}}$
- ▶ Produced mainly in initial hard scatterings (high Q^2) at early stage of heavy-ion collisions
- ▶ $\tau_{\text{prob}} \approx \frac{1}{2m_q} \approx 0.1_{q=c}(0.03)_{q=b} \text{ fm}/c < \tau_{\text{QGP}} (\approx 0.3 - 1.5 \text{ fm}/c)$
- ▶ Experience the full evolution of the QGP

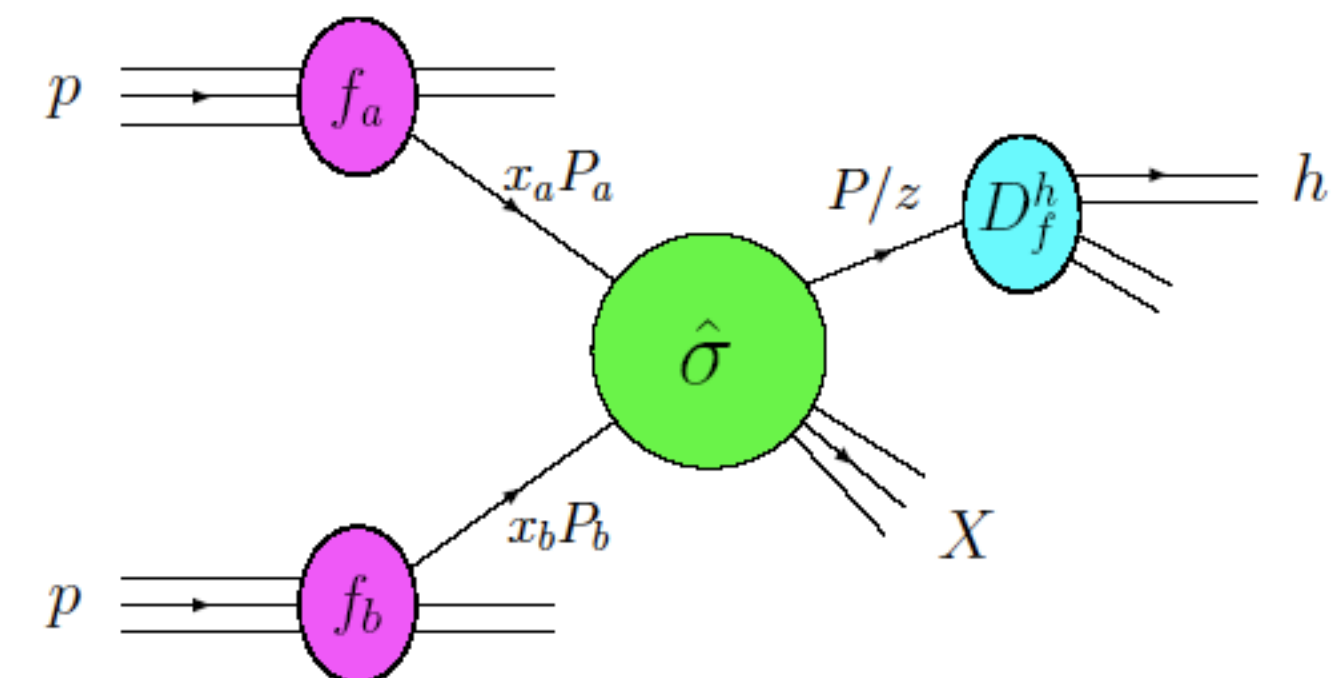
▶ Hadroproduction described by factorisation approach:

$$\frac{d\sigma^D}{dp_T^D}(p_T; \mu_F; \mu_R) = \text{PDF}(x_a, \mu_F) \text{PDF}(x_b, \mu_F) \otimes \frac{d\sigma^c}{dp_T^c}(x_a, x_b, \mu_R, \mu_F) \otimes D_{c \rightarrow D}(z = p_D/p_c, \mu_F)$$

parton distribution function (PDF)
(non-perturbative)

partonic cross section
(perturbative)

hadronisation by fragmentation
(non-perturbative)

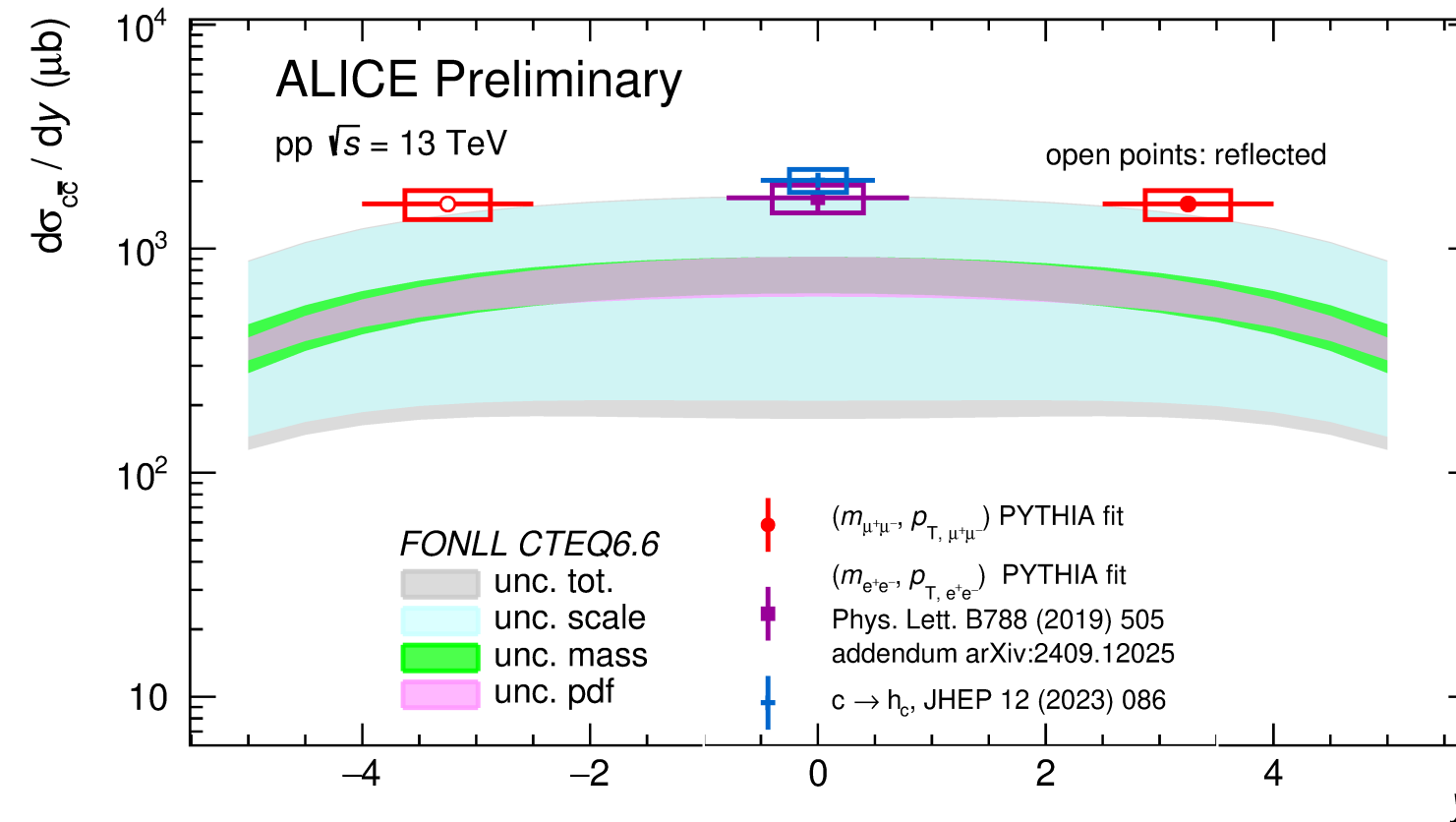
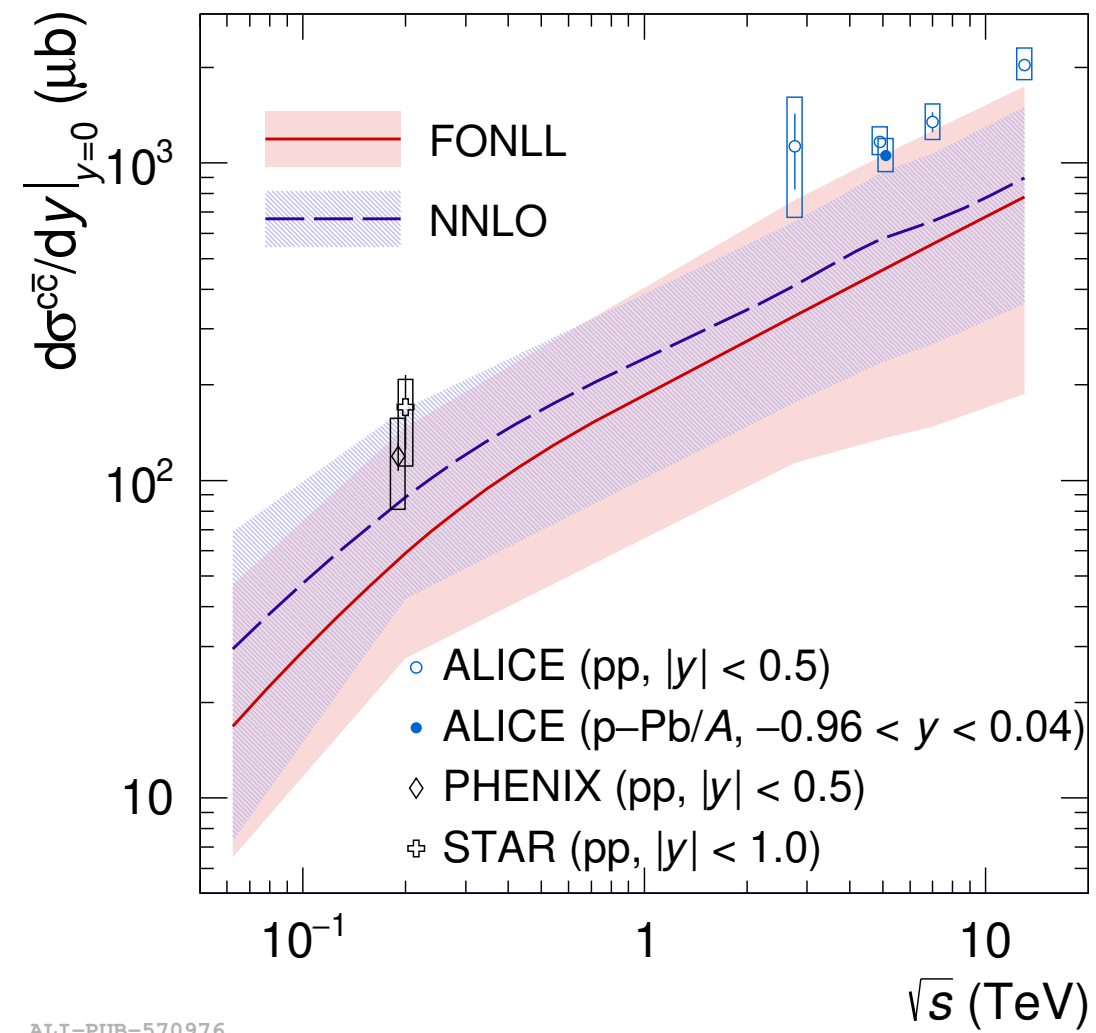


Fragmentation functions assumed to be universal

HF production in small system

arXiv:2405.14571 (accepted by EPJC)

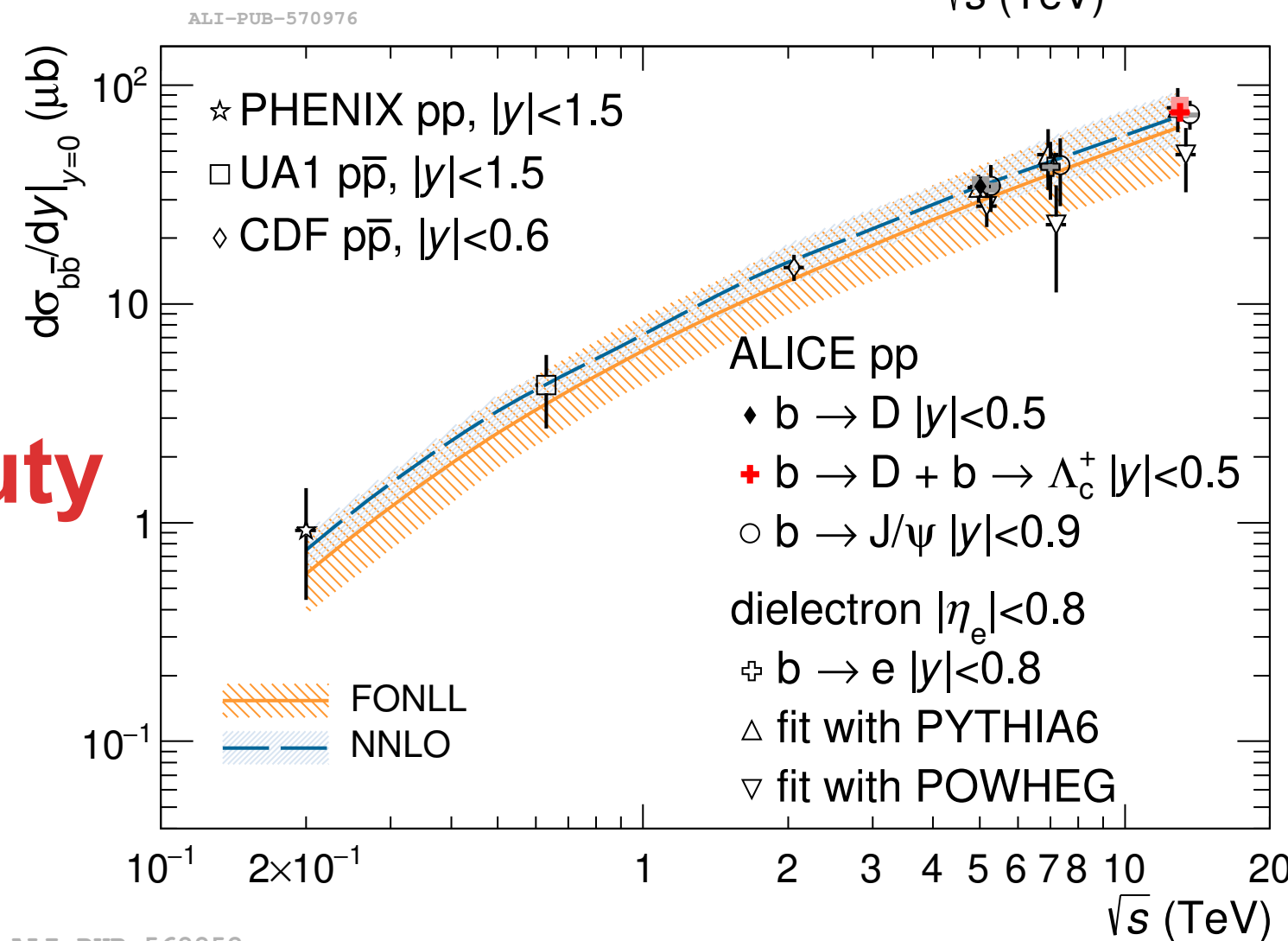
Charm



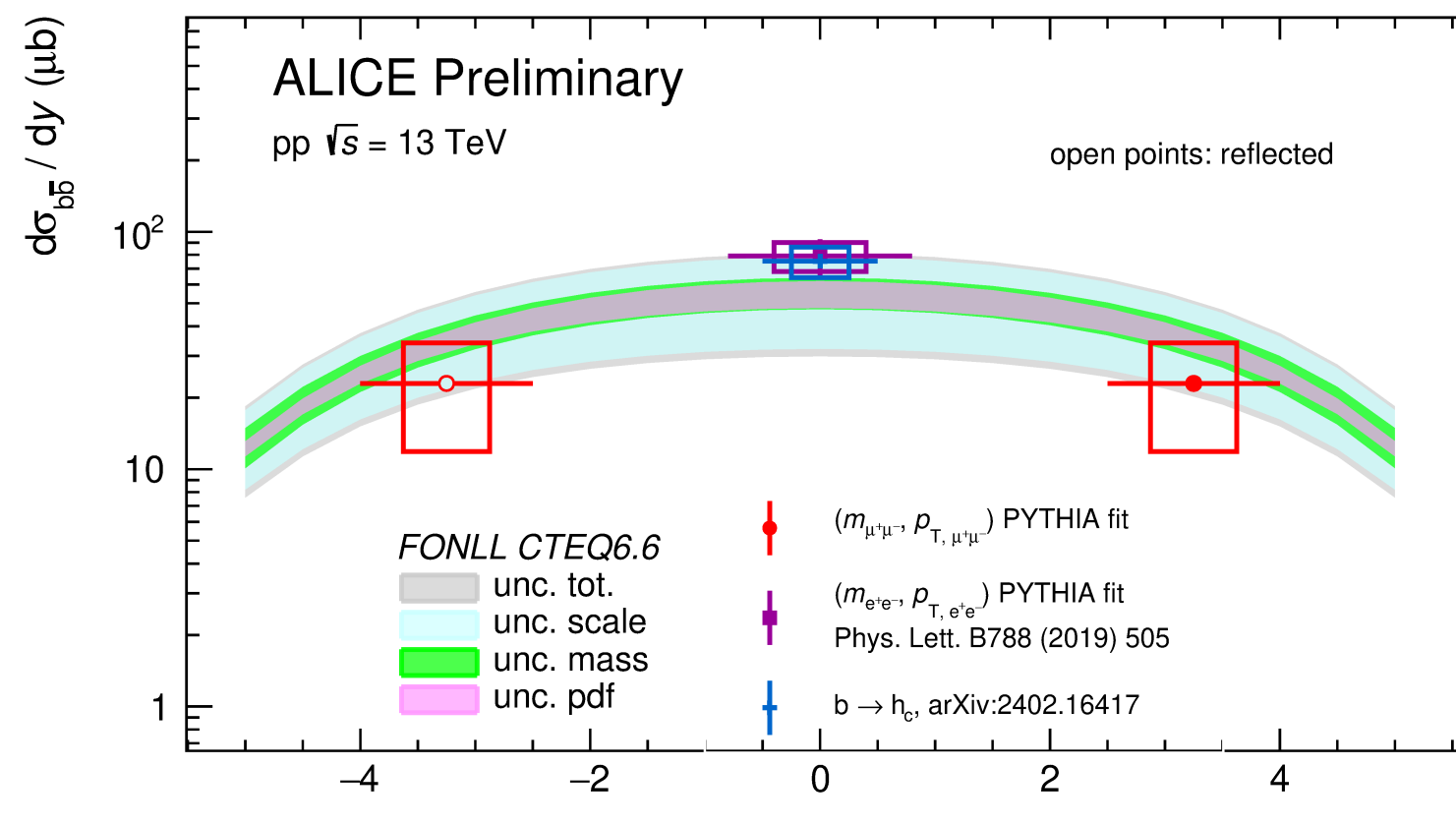
ALI-PREL-581604

- ▶ $\sigma(c\bar{c})$ and $\sigma(b\bar{b})$ at the **upper bound** of state-of-the-art pQCD calculations
- ▶ Constrain recombination contribution to quarkonia

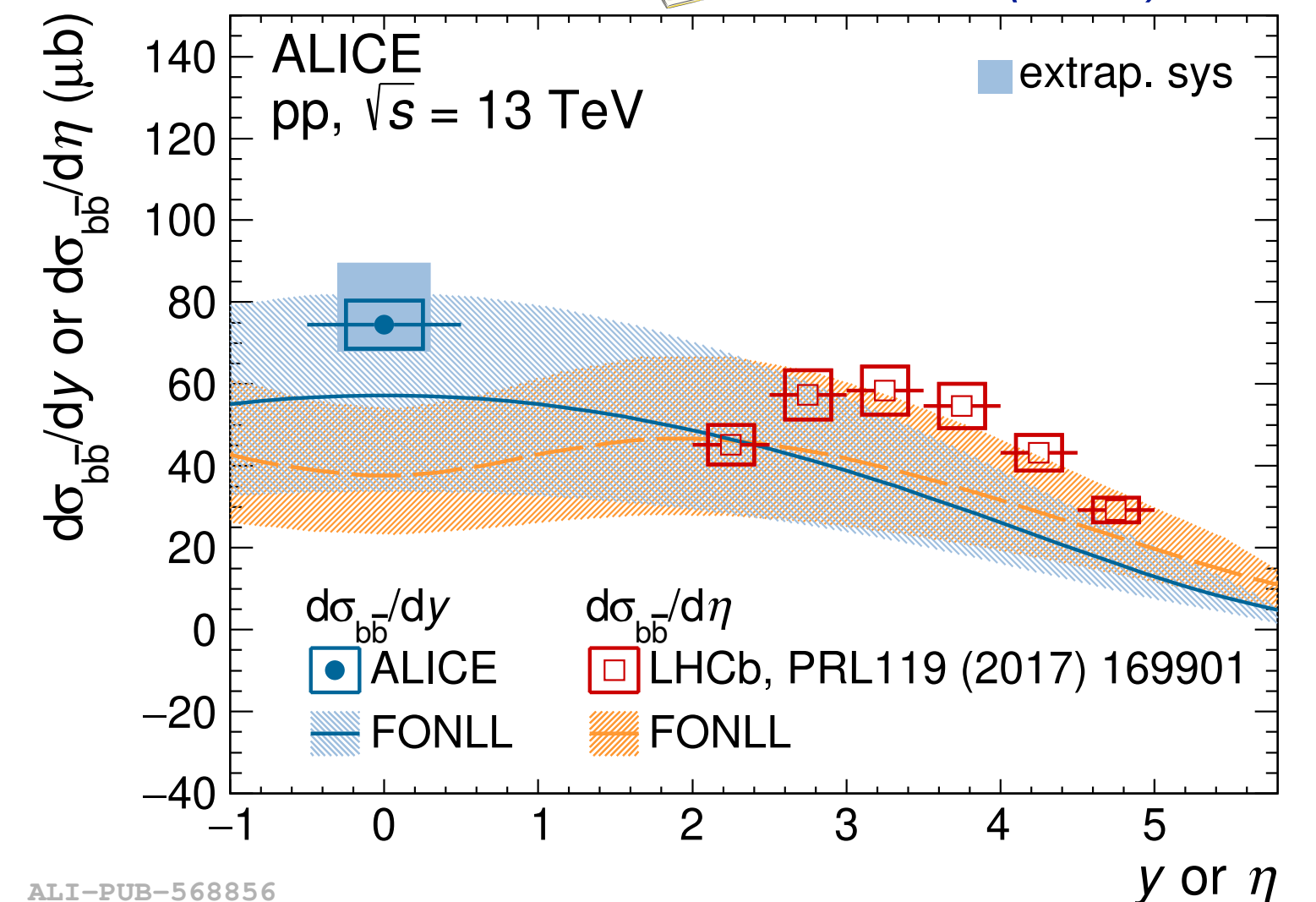
Beauty



ALI-PUB-568852



ALI-PREL-581599

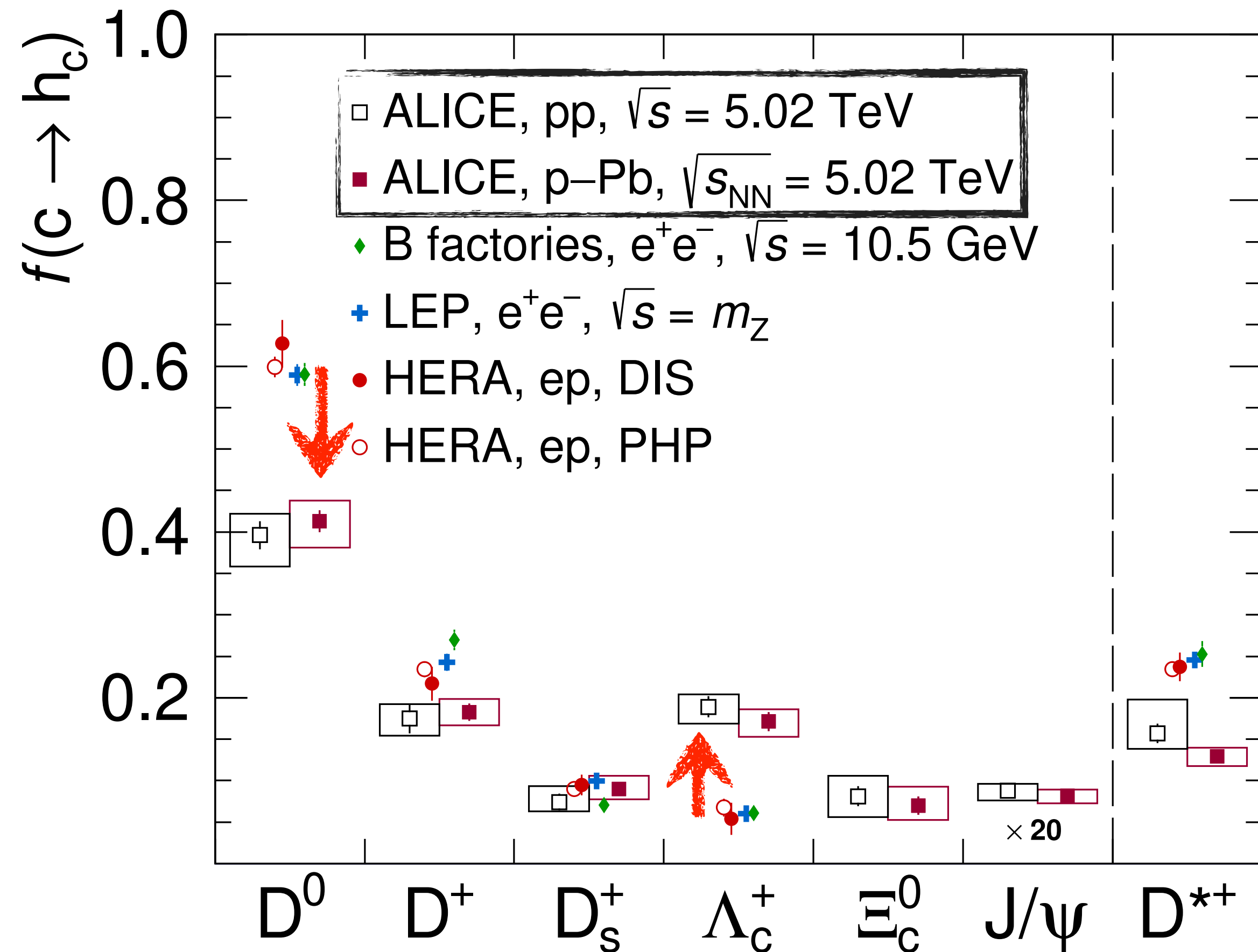


ALI-PUB-568856

JHEP 10 (2024) 110



Charm fragmentation fractions in small system



arXiv:2405.14571 (accepted by EPJC)

► Charm fragmentation fractions (FF)

$$f(c \rightarrow H_c) = \sigma(H_c) / \sigma(c) = \sigma(H_c) / \sum_{\text{w.d.}} \sigma(H_c)$$

(w.d.: weakly decaying)

► Inputs used in a standard factorisation approach

Fragmentation fractions universality is challenged

ALI-PUB-570972

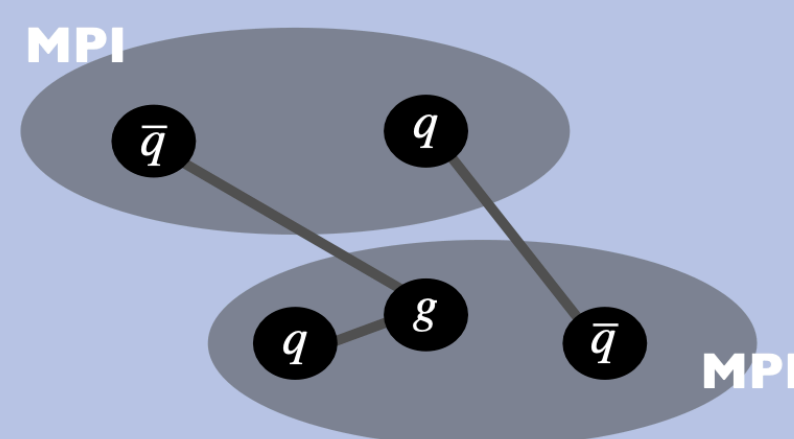
► Consistent with **system size**: pp and p-Pb collisions

► Significant **enhancement** for **charm baryons** in pp and p-Pb w.r.t. e^+e^- and e^-p collisions

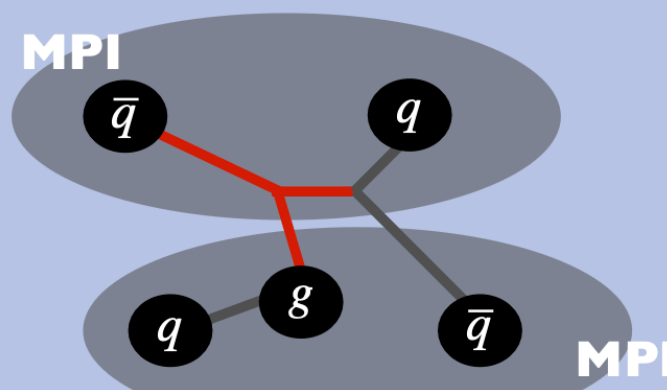
Modeling hadronization

PYTHIA 8

Hadronization via **fragmentation**, color reconnection between partons from different multiparton interactions



Monash tune
(tuned to e^+e^- measurements)
Eur.Phys.J. C 74 (2014) 3024



Mode 2
the **junction** topology leads to an increase of baryon production
JHEP 08 (2015) 003

SHM + RQM

- Complexity of hadronization process replaced by **statistical weights** governed by hadron mass
- Feed-down from largely **augmented set of charm baryon states** beyond the ones currently listed in the PDG, as predicted by Relativistic Quark Model

Phys.Lett.B 795 (2019) 117-121

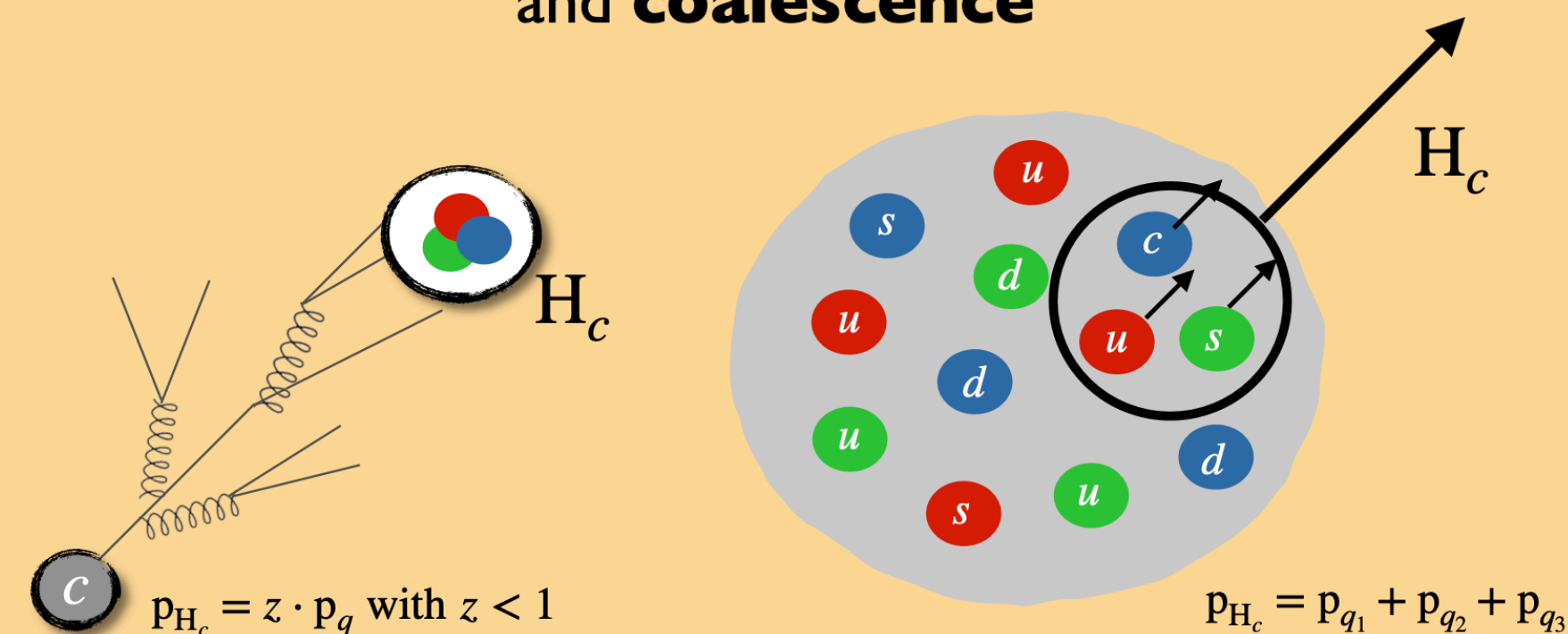
Phys.Rev.D. 84 (2011) 014025

EPOS4HQ fragmentation + coalescence + resonance + UrQMD

CATANIA

Phys.Lett.B 821 (2021) 136622

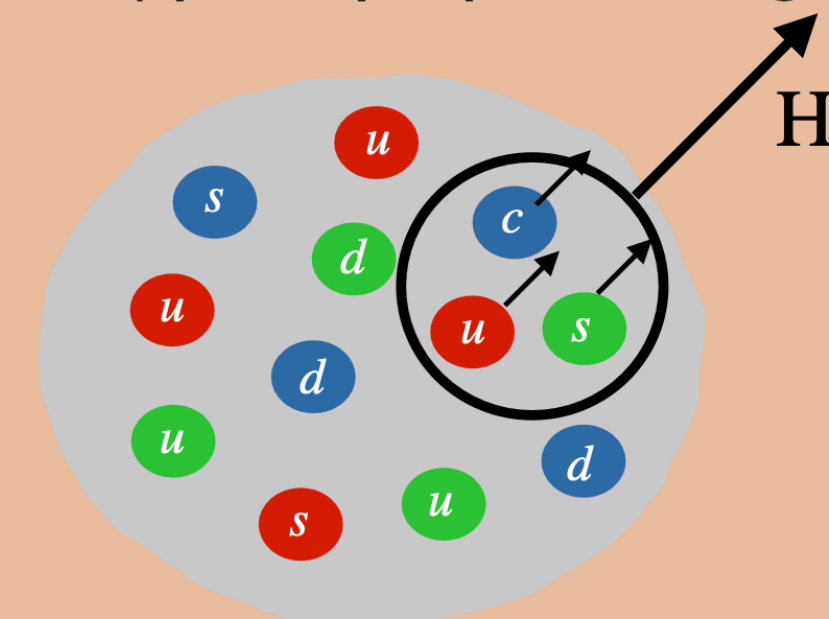
Hadronization via both **fragmentation** and **coalescence**



QCM

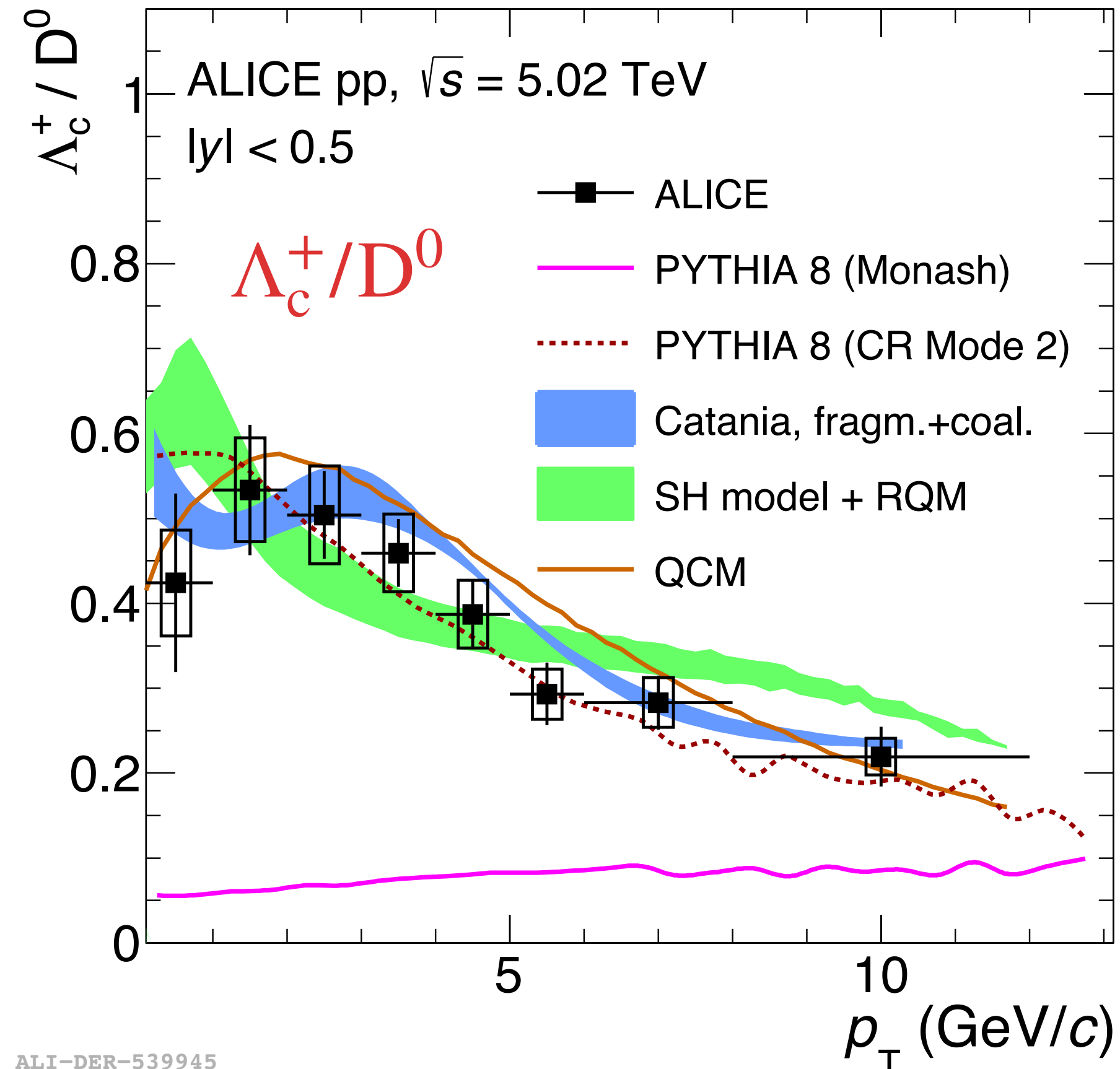
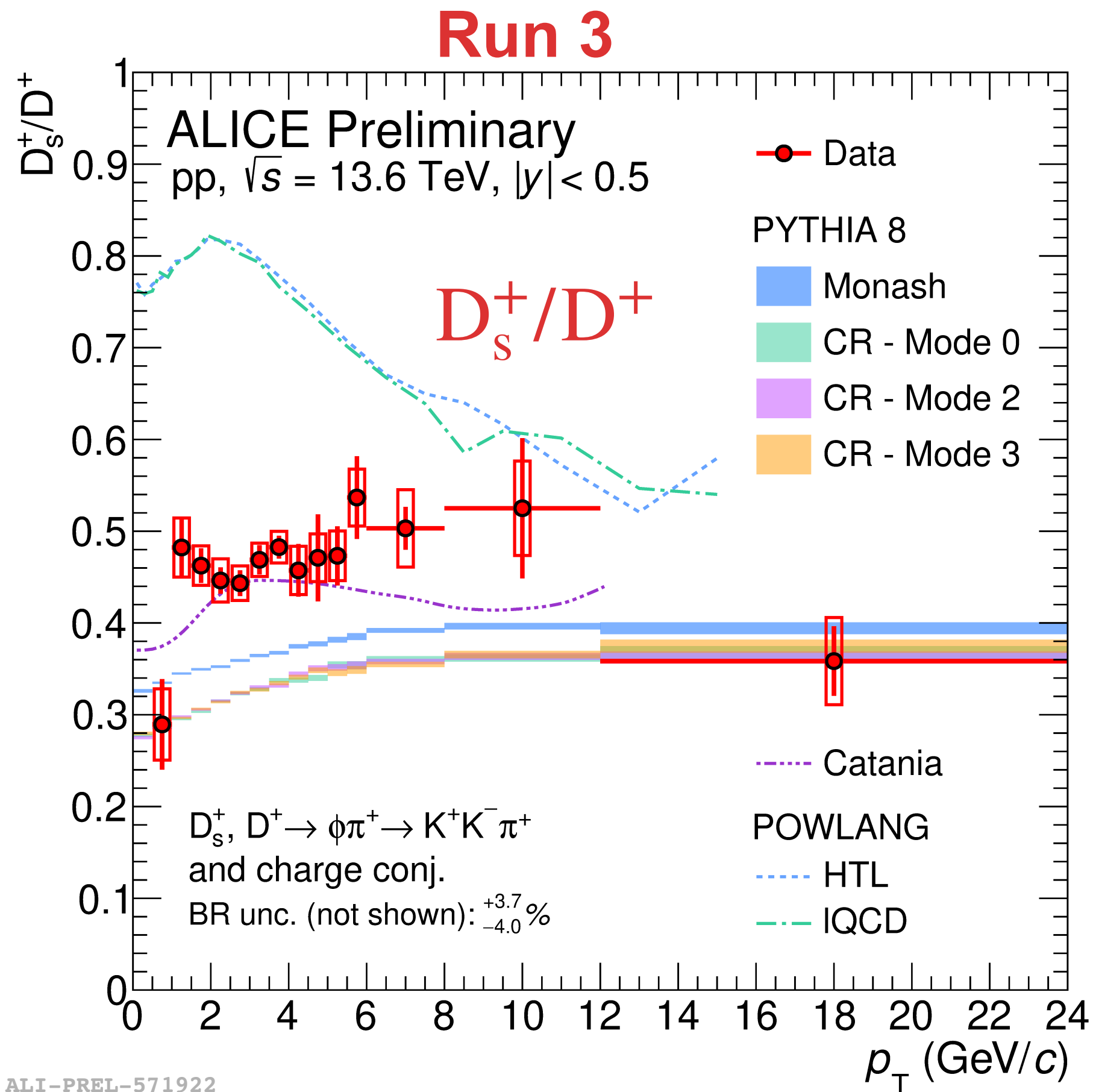
Eur.Phys.J.C 78 (2018) 344

Quark (re-)Combination Mechanism
equal-velocity combination of charm quark and light quarks (spatial properties neglected)



Hadronisation: HF particle ratios in small system

Phys.Rev.C 107 (2023) 064901

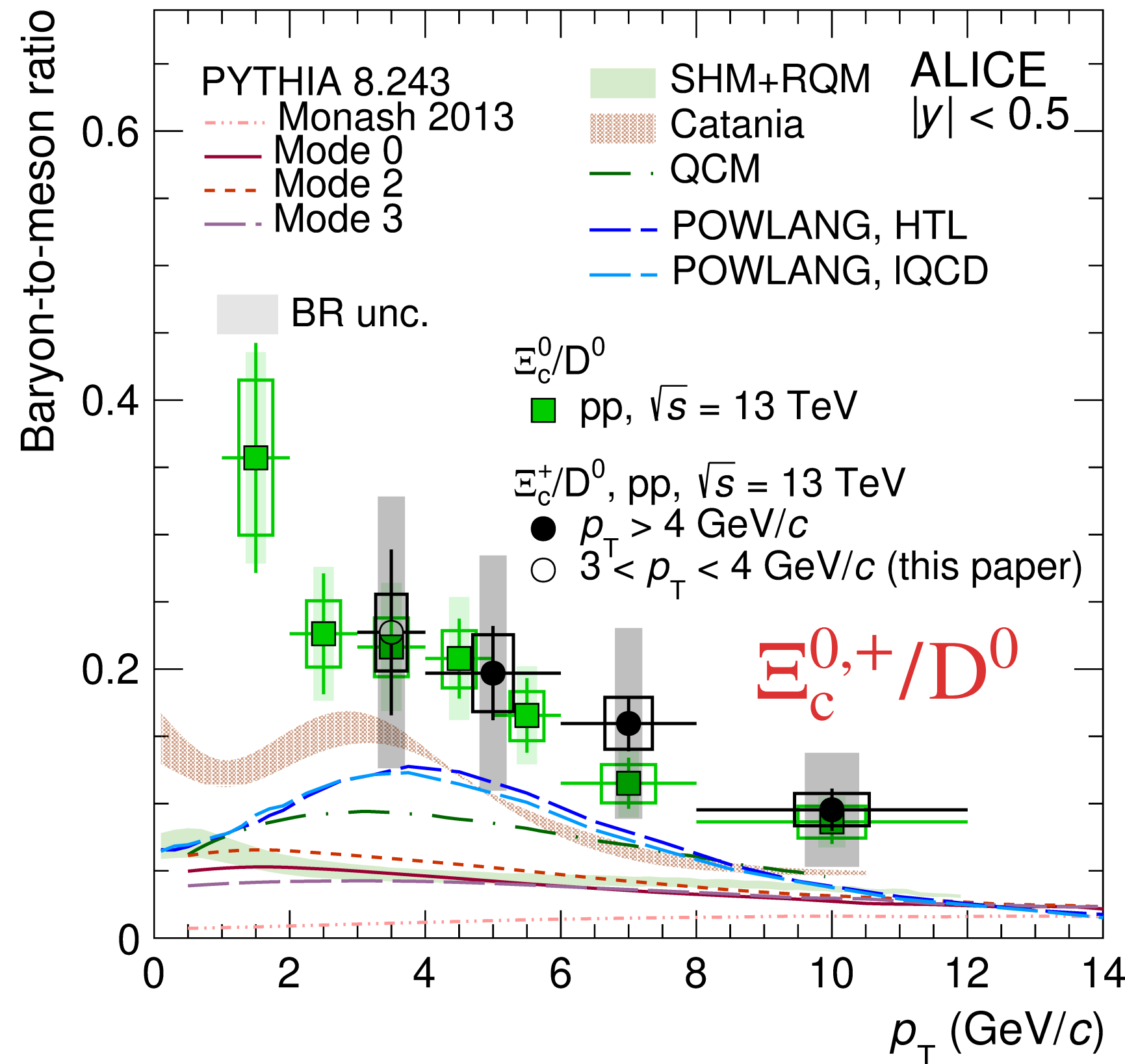


- ▶ Catania works better
 - ▶ Coalescence in pp collisions
 - ▶ Assume a thermalised QGP-like system

- 📖 [PYTHIA 8 Monash: Eur.Phys.J.C 74 \(2014\) 3024](#)
- 📖 [PYTHIA 8 CR Mode: JHEP 08 \(2015\) 003](#)
- 📖 [Catania: Phys.Lett.B 821 \(2021\) 136622](#)
- 📖 [SHM: Phys.Lett.B 795 \(2019\) 117-121](#)
- 📖 [RQM: Phys.Rev.D 84 \(2011\) 014025](#)
- 📖 [QCM: Eur.Phys.J.C 78 \(2018\) 344](#)

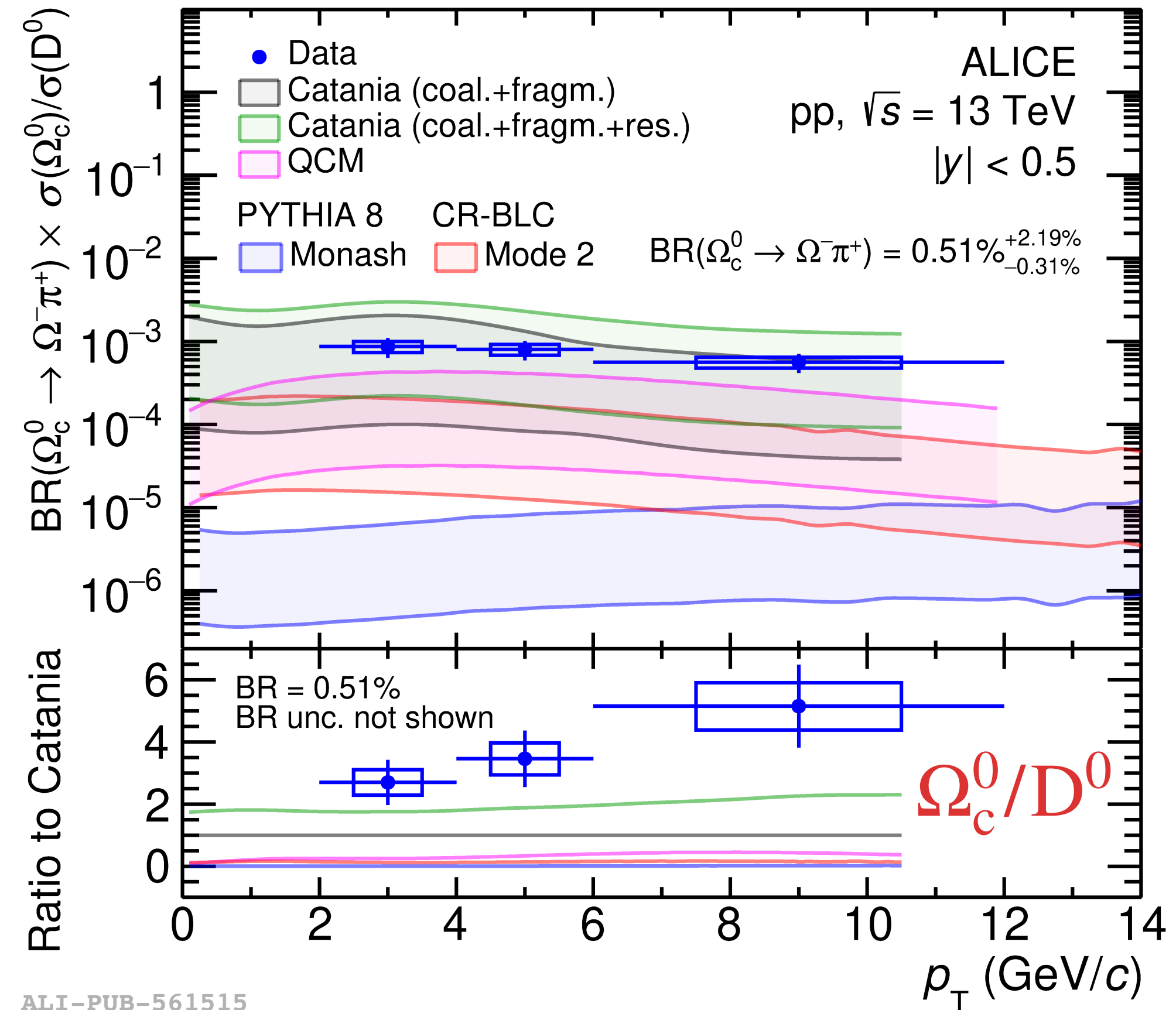
Hadronisation: HF particle ratios in small system

JHEP 12 (2023) 086



ALI-PUB-567881

PLB 846 (2023) 137625

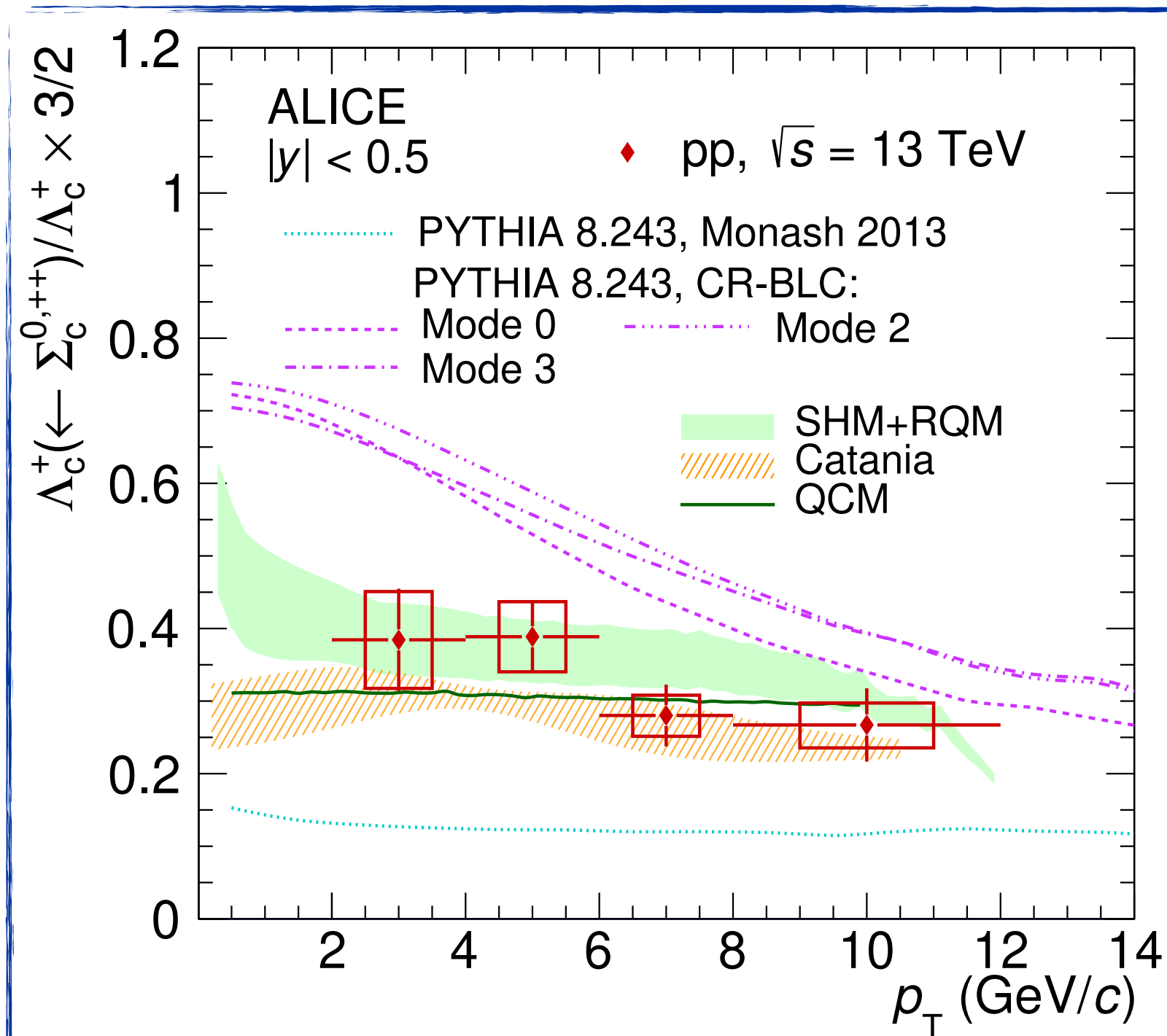


ALI-PUB-561515

- ▶ Models cannot describe $\Xi_c^{0,+}/D^0$ and Ω_c^0/D^0
- ▶ The role of strangeness in HF hadronisation might be a challenge to theory

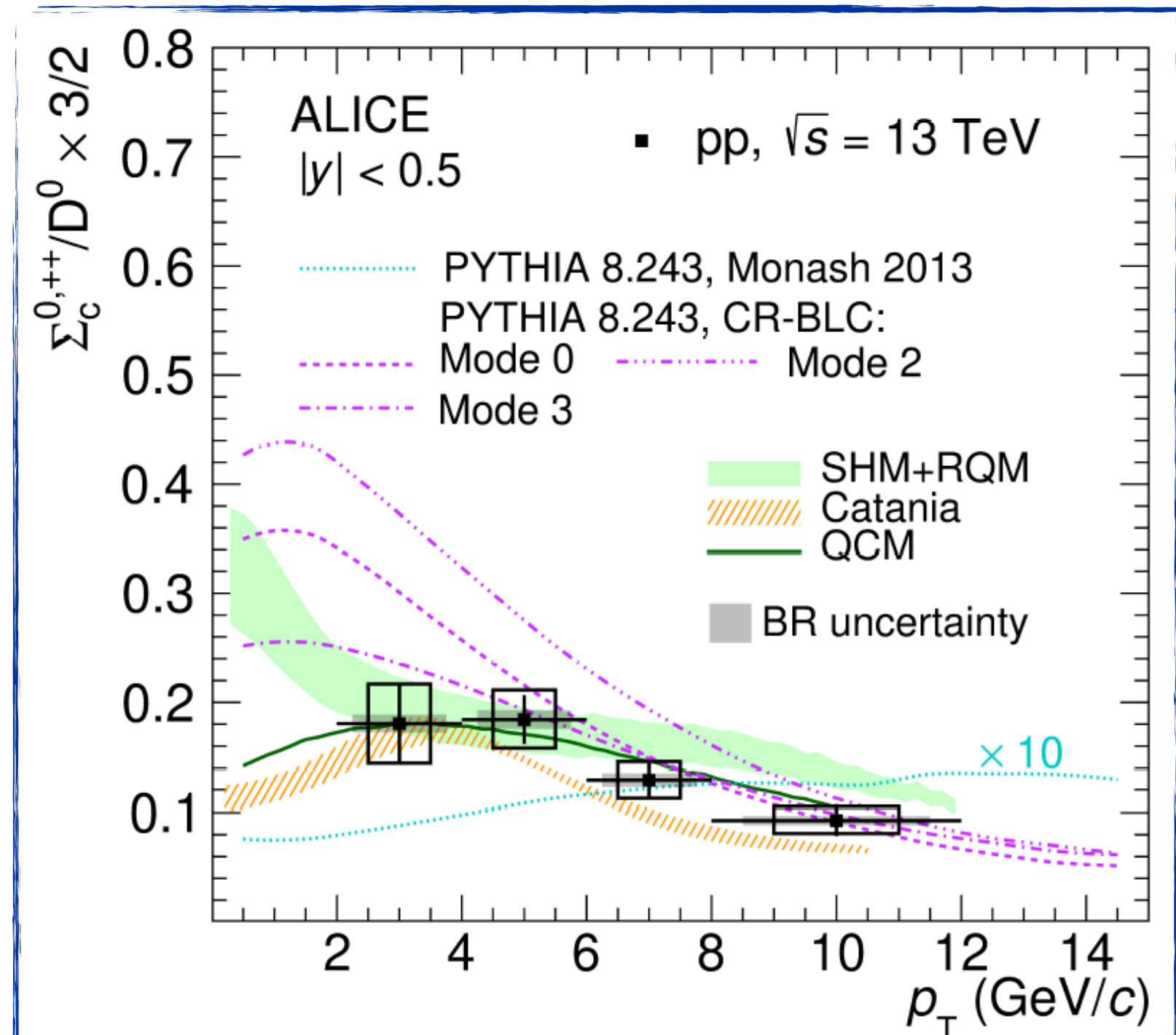
- ▶ PYTHIA 8 Monash: *Eur.Phys.J.C* 74 (2014) 3024
- ▶ PYTHIA 8 CR Mode: *JHEP* 08 (2015) 003
- ▶ Catania: *Phys.Lett.B* 821 (2021) 136622
- ▶ SHM: *Phys.Lett.B* 795 (2019) 117-121
- ▶ RQM: *Phys.Rev.D* 84 (2011) 014025
- ▶ QCM: *Eur.Phys.J.C* 78 (2018) 344

Hadronisation: higher mass particles decay



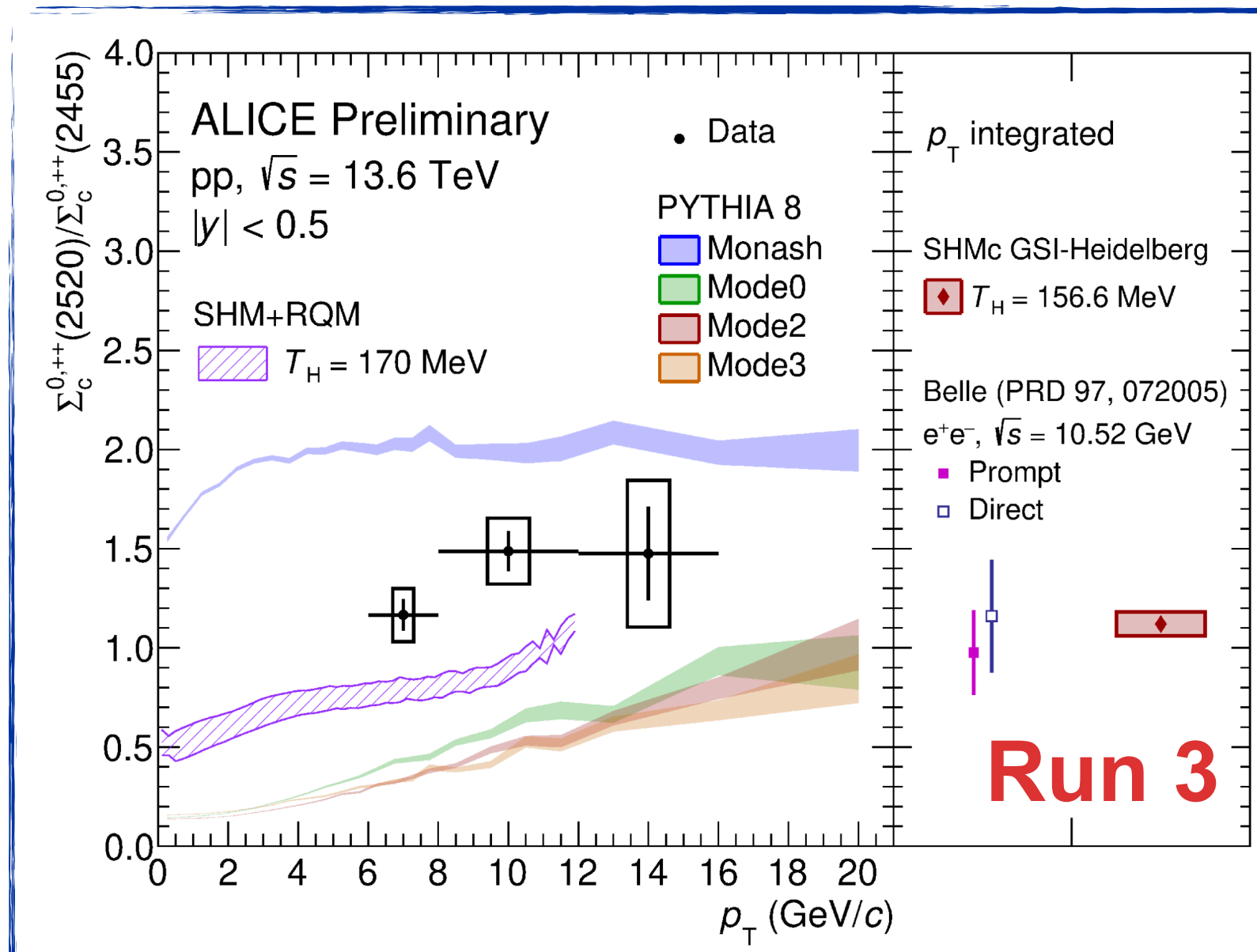
ALI-DER-493906

- ▶ ~40% Λ_c^+ from $\Sigma_c^{0,+,++}$ decays contribution, only partially explain Λ_c^+ / D^0 enhancement



ALI-DER-493901

- ▶ Described by PYTHIA 8 CR, Catania, QCM and SHM+RQM

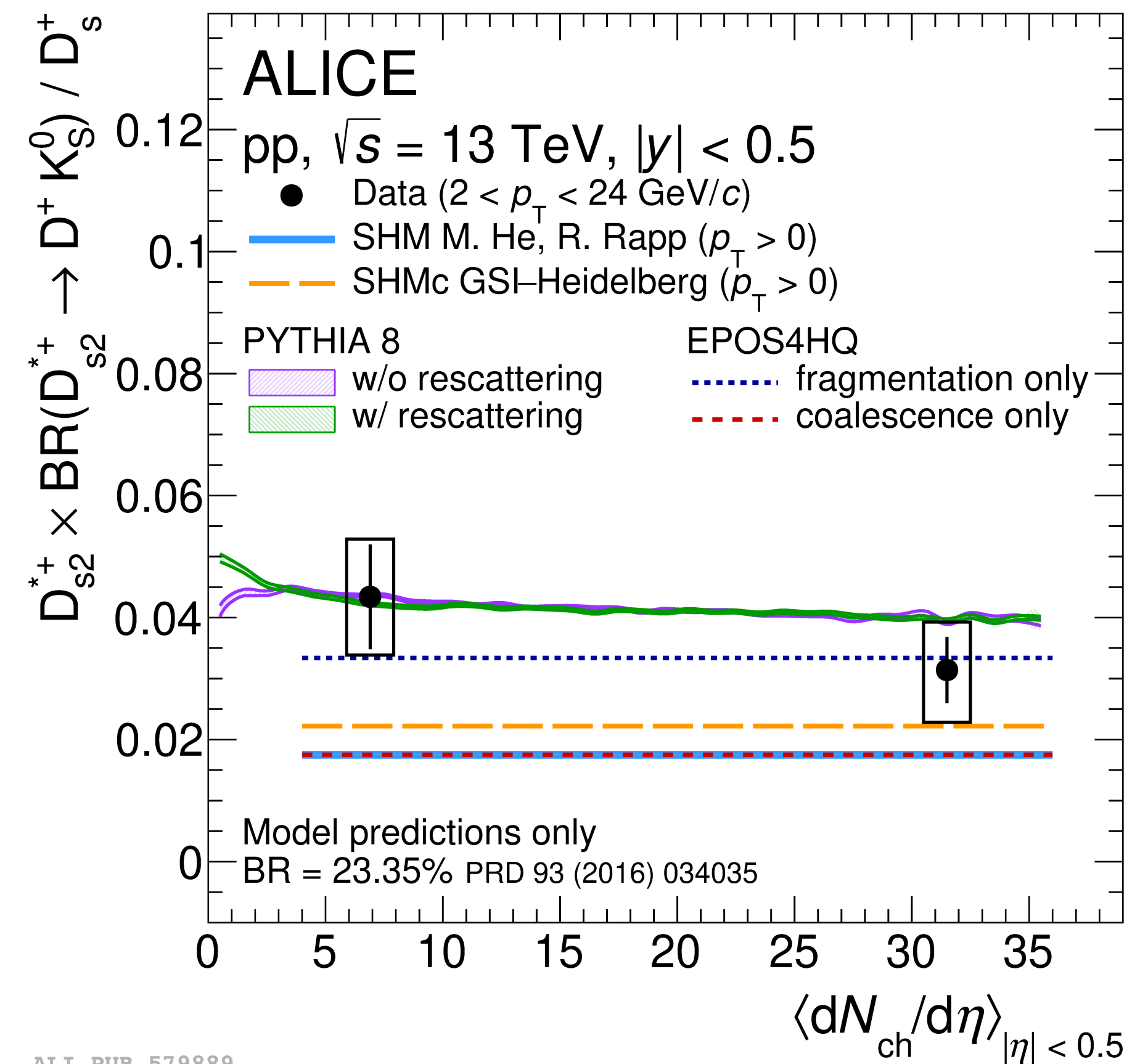
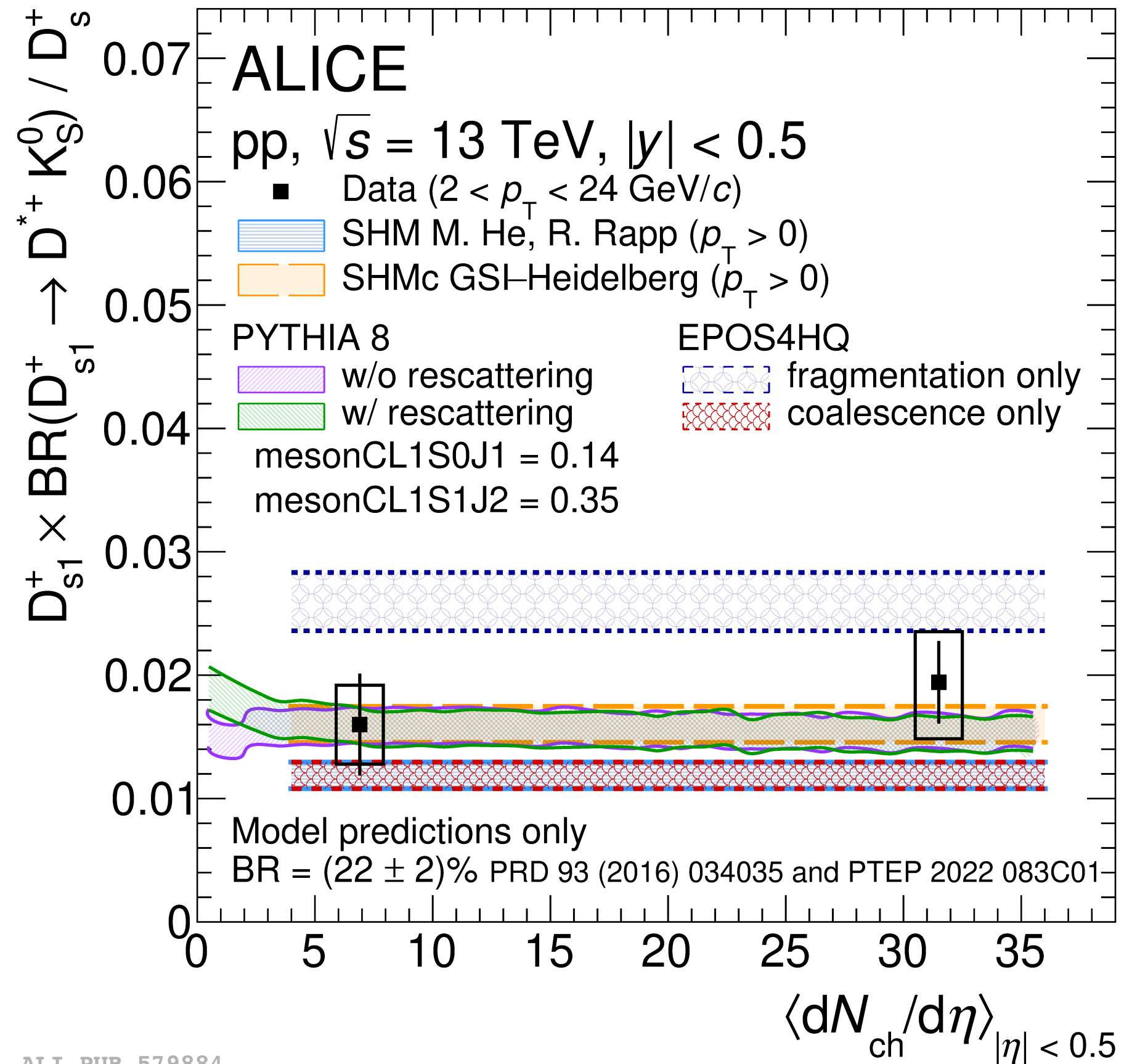


ALI-PREL-574270

- ▶ Ratios between two $\Sigma_c^{0,++}$ states consistent with p_T integrated result from e^+e^- collisions
- ▶ Overestimated by PYTHIA 8 Monash, underestimated by CR and SHM+RQM

Hadronisation: resonances decay

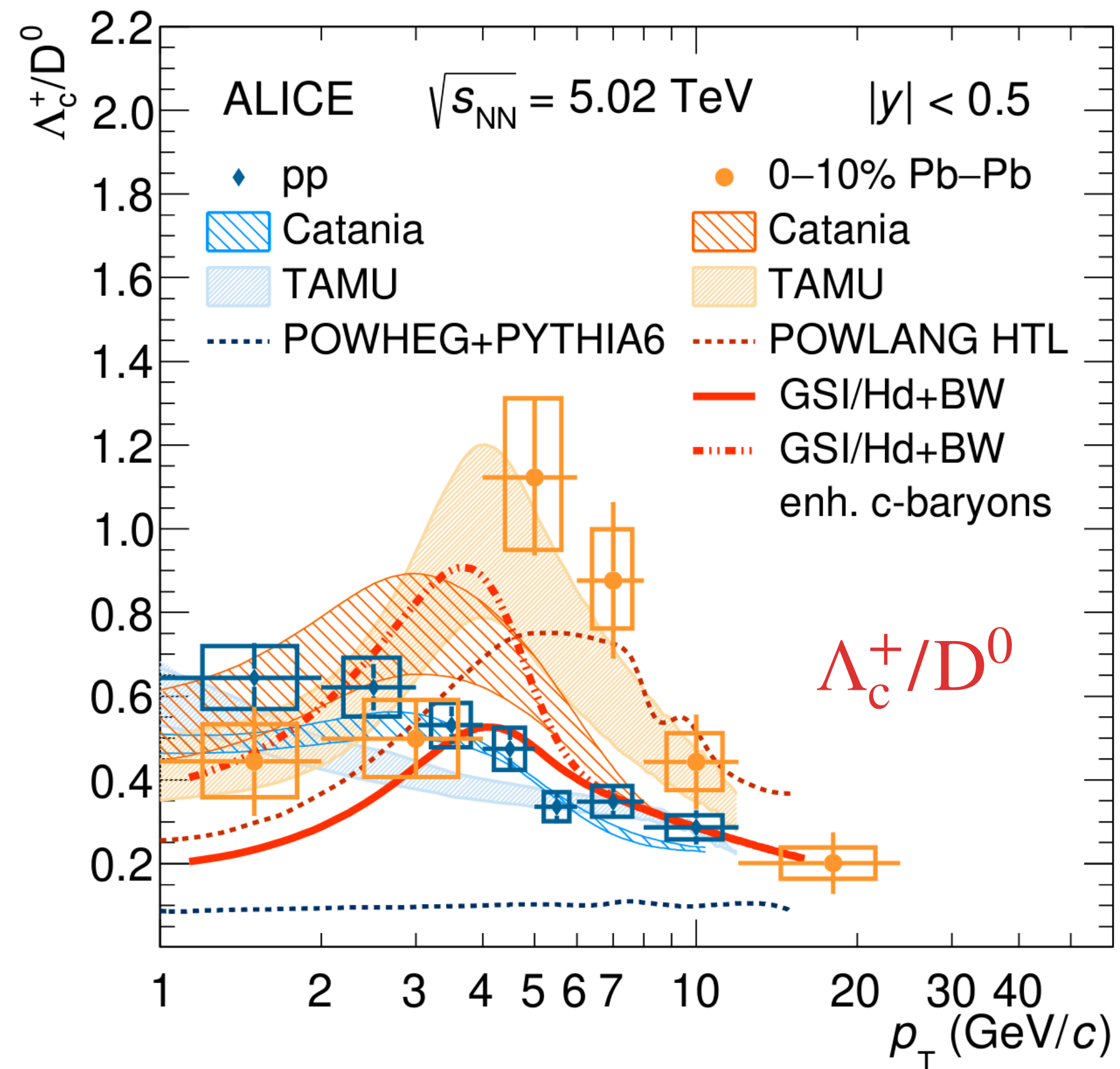
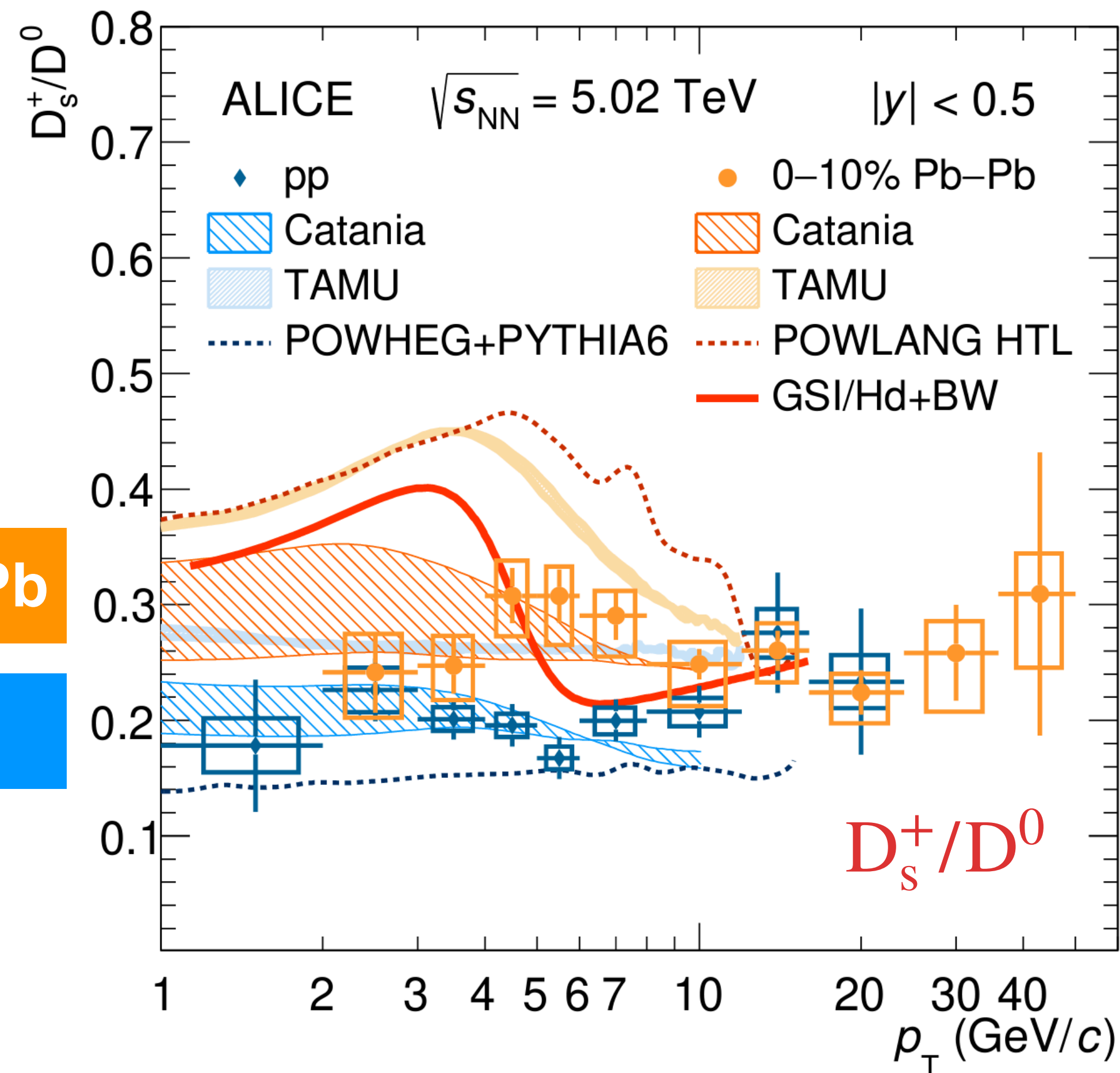
arXiv:2409.11938



- ▶ D_{s1}^+ / D_s^+ and D_{s2}^{*+} / D_s^+ ratios flat vs. charged-particle multiplicity, as ground-state D-meson ratios
- ▶ Multiplicity trend described by SHM, SHMc, EPOS4HQ models and by PYTHIA 8 calculations

Hadronisation: large system

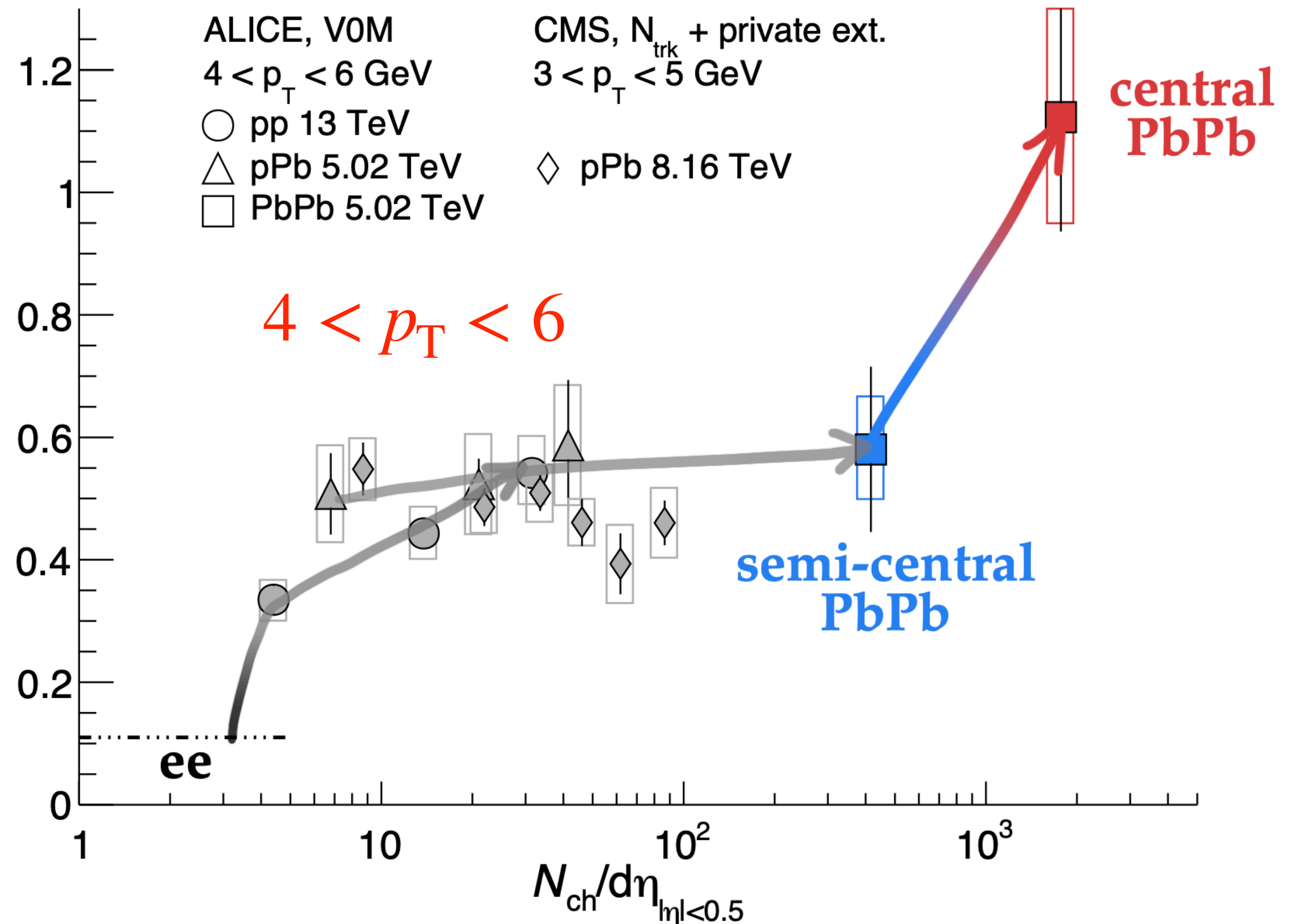
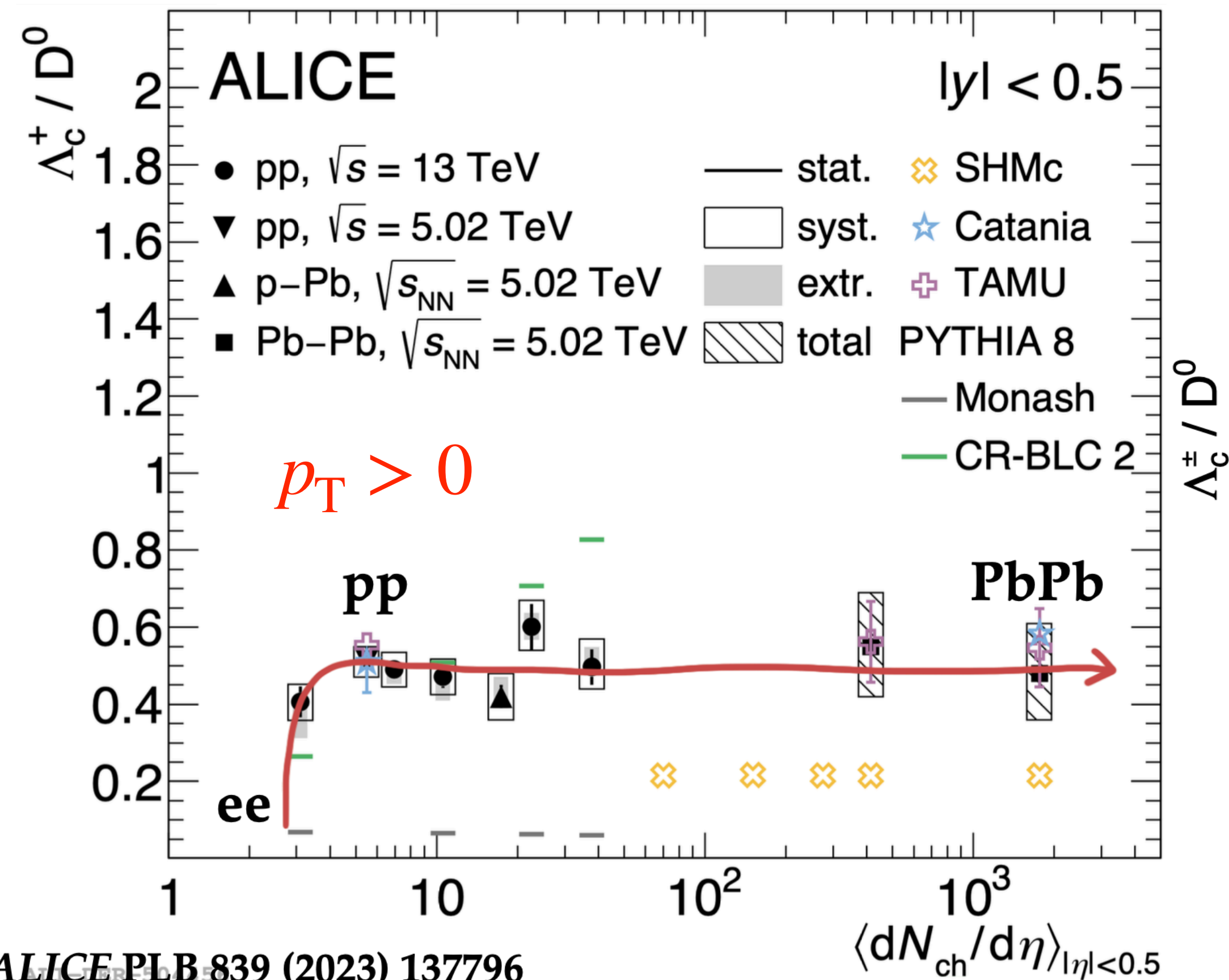
Eur.Phys.J.C 84 (2024) 813



Ξ_c^0/D^0 in preparation, larger enhancement expected

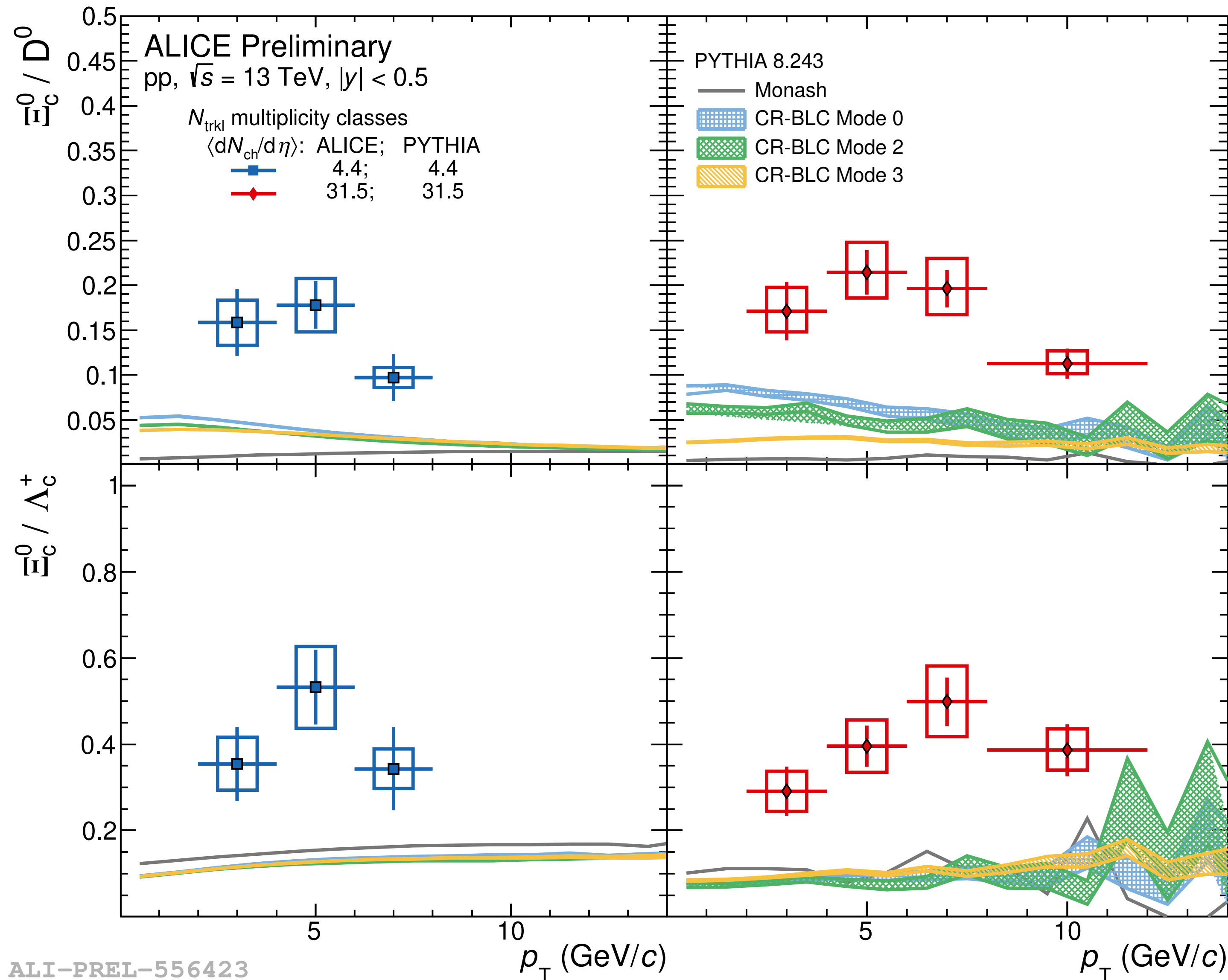
- ▶ D_s^+/D^0 and Λ_c^+/D^0 ratios enhanced at intermediate p_T in Pb-Pb w.r.t pp collisions
- ▶ Described by models based on coalescence and radial flow mechanisms

Hadronisation: system scan (by multiplicity)



- ▶ No modification of overall production
- ▶ Difference between collision systems is due to momentum redistribution

Hadronisation: system scan (by multiplicity)



- ▶ No significant multiplicity dependence for Ξ_c^0/D^0 and Ξ_c^0/Λ_c^+ within large uncertainties
- ▶ PYTHIA 8 CR largely underestimates the measurements

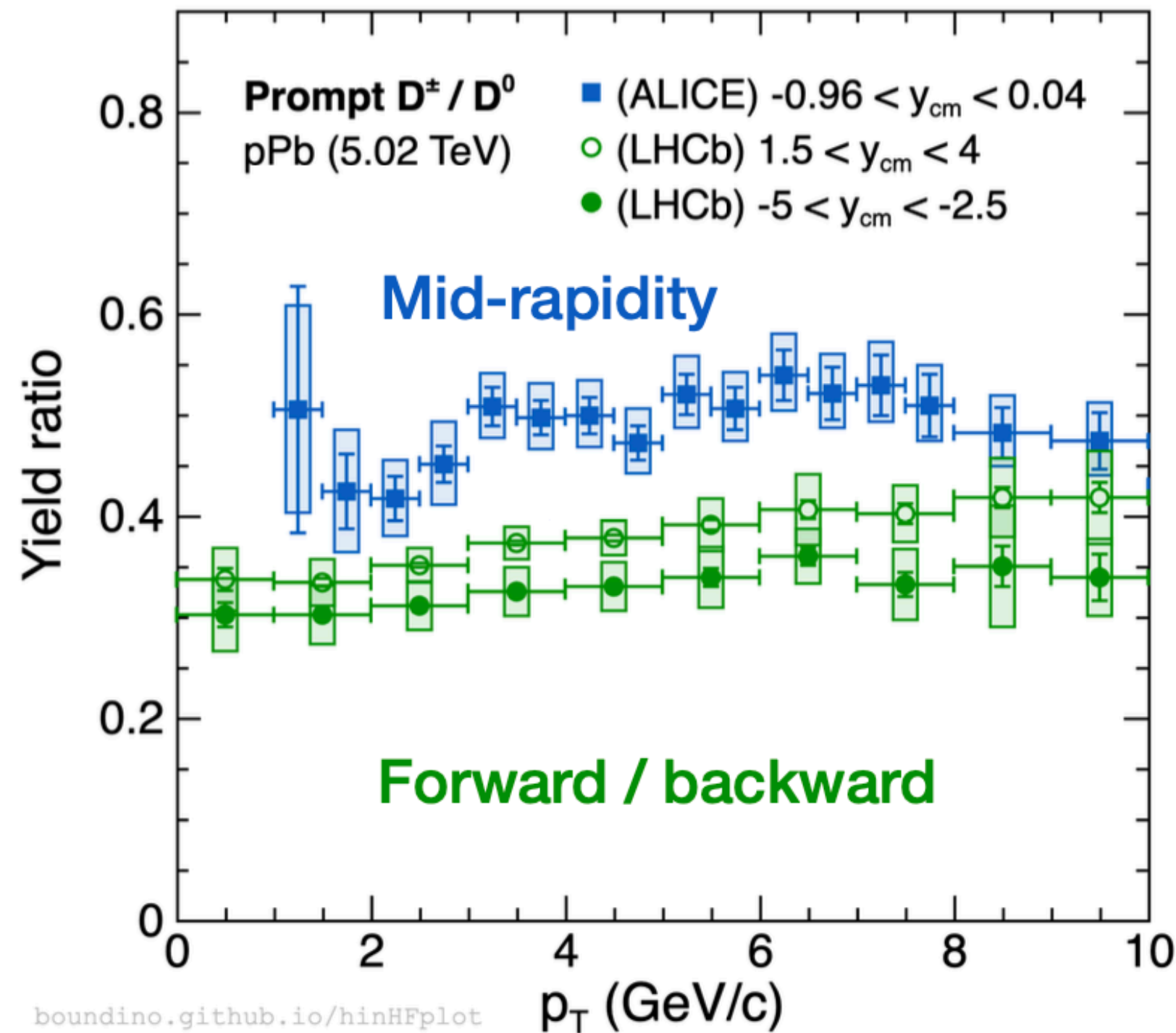
Tao Fang's talk
 on Friday at 15:50
 Parallel 3

ALI-PREL-556423

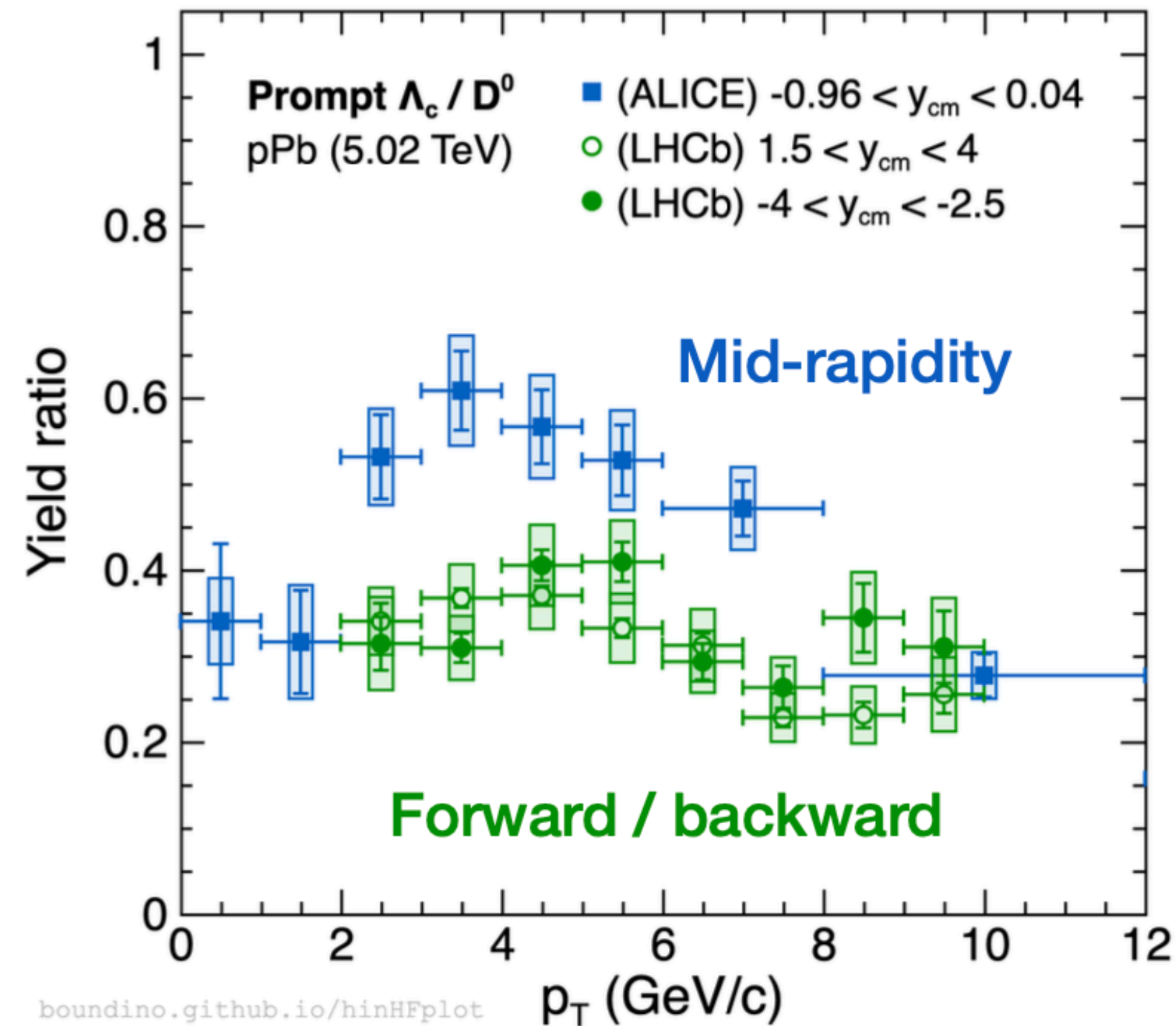


Hadronisation: rapidity dependence (more challenges)

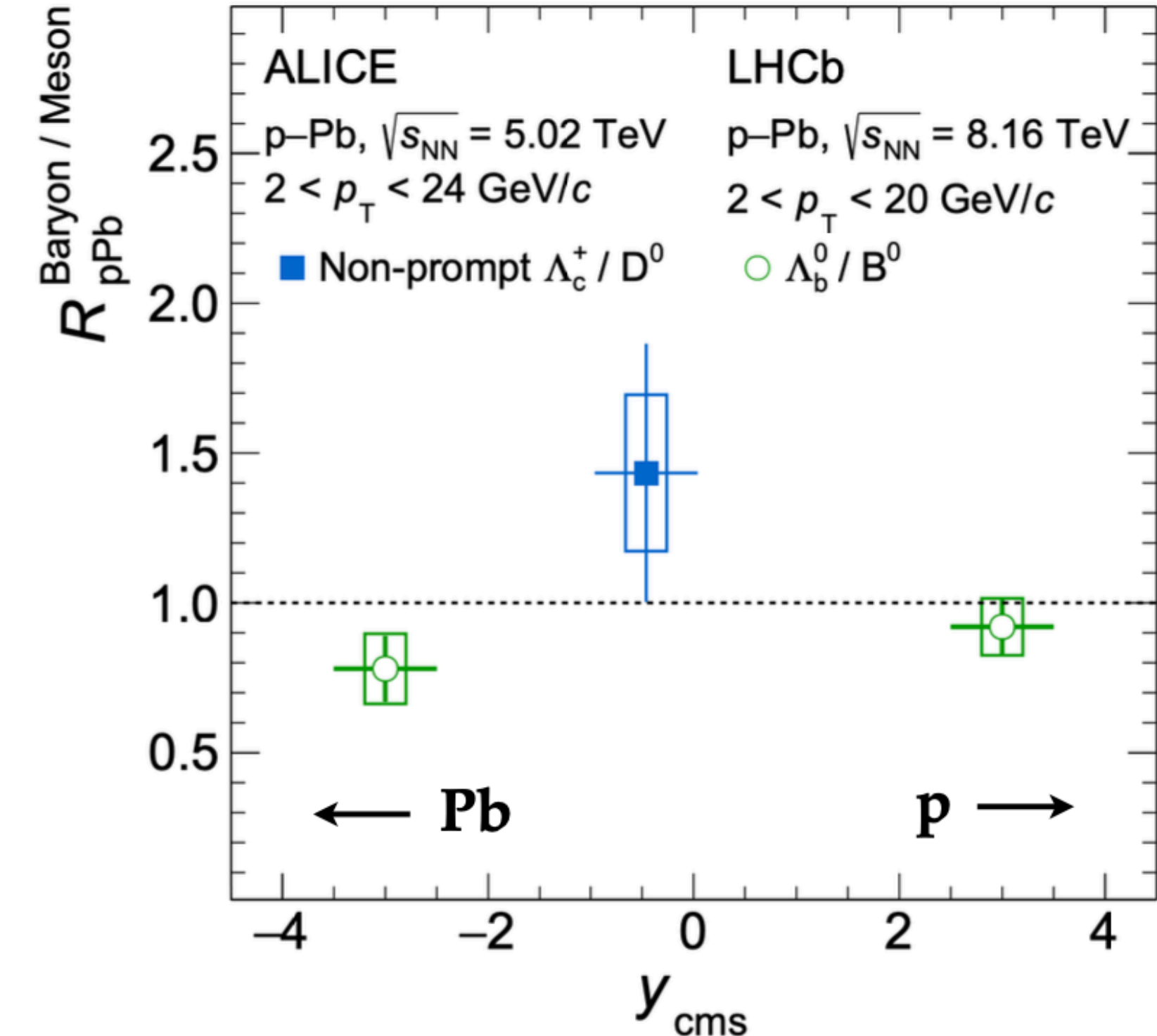
$D^+ (c\bar{d}) / D^0 (c\bar{u})$



$\Lambda_c (cud) / D^0 (c\bar{u})$

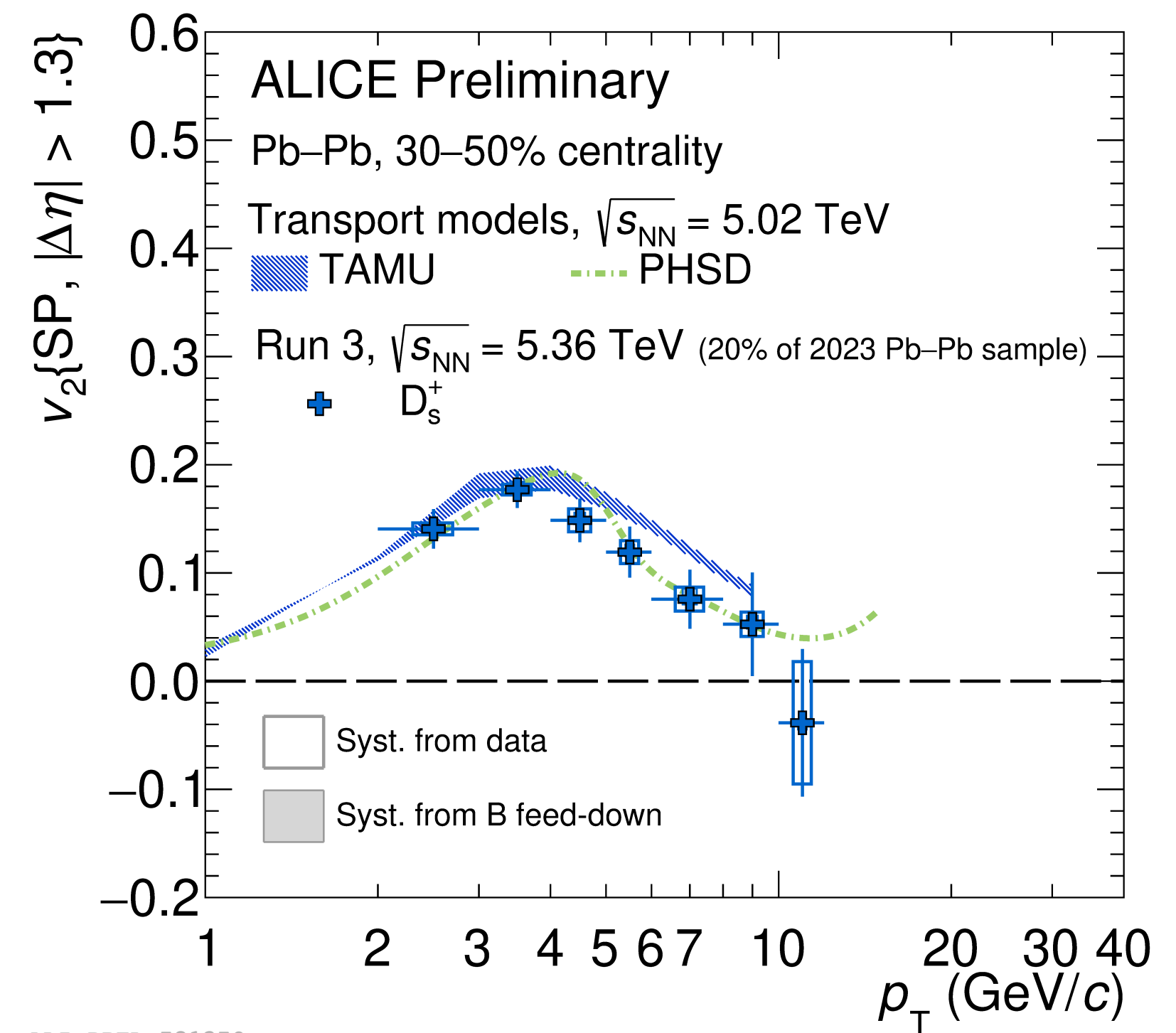
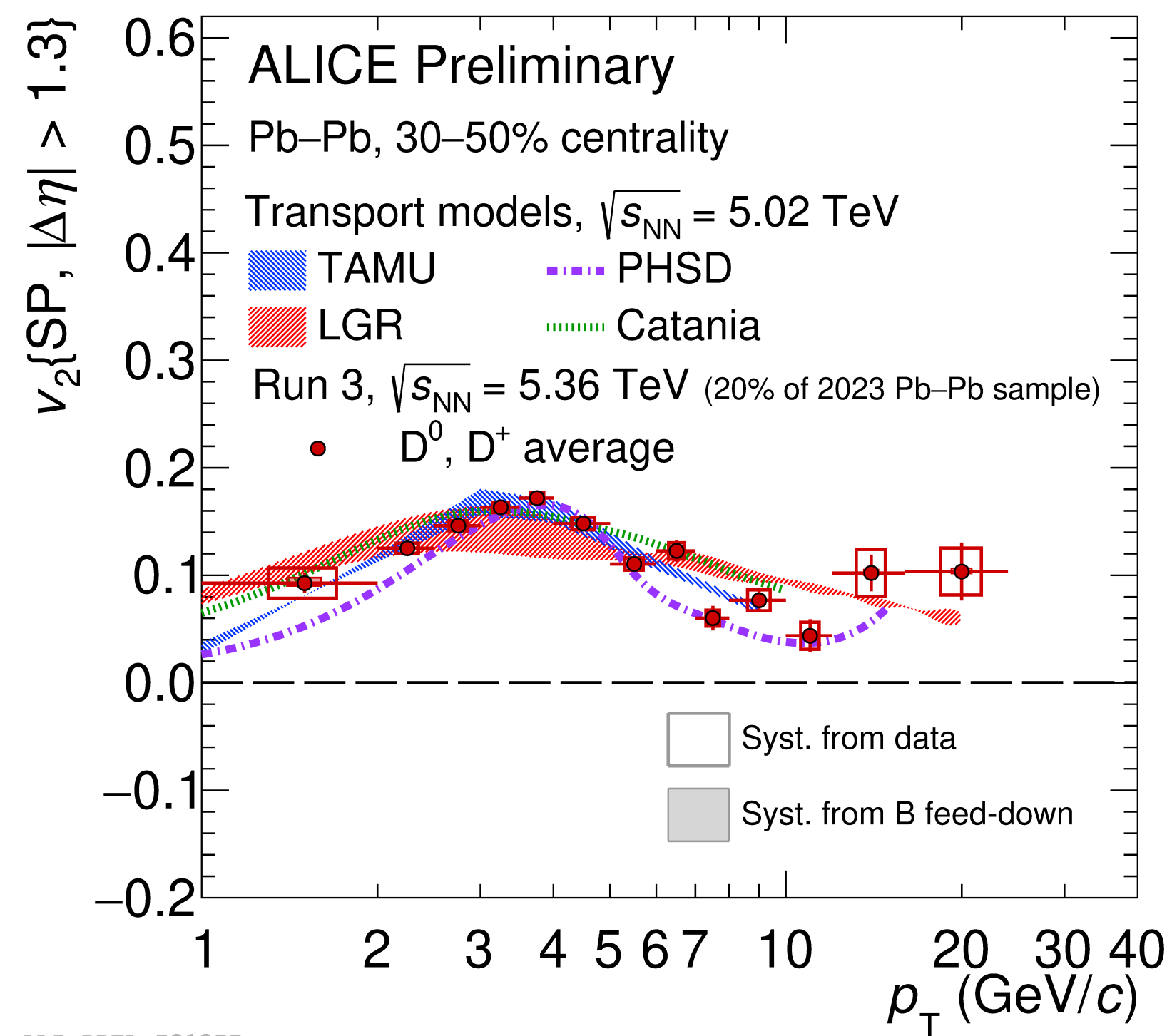
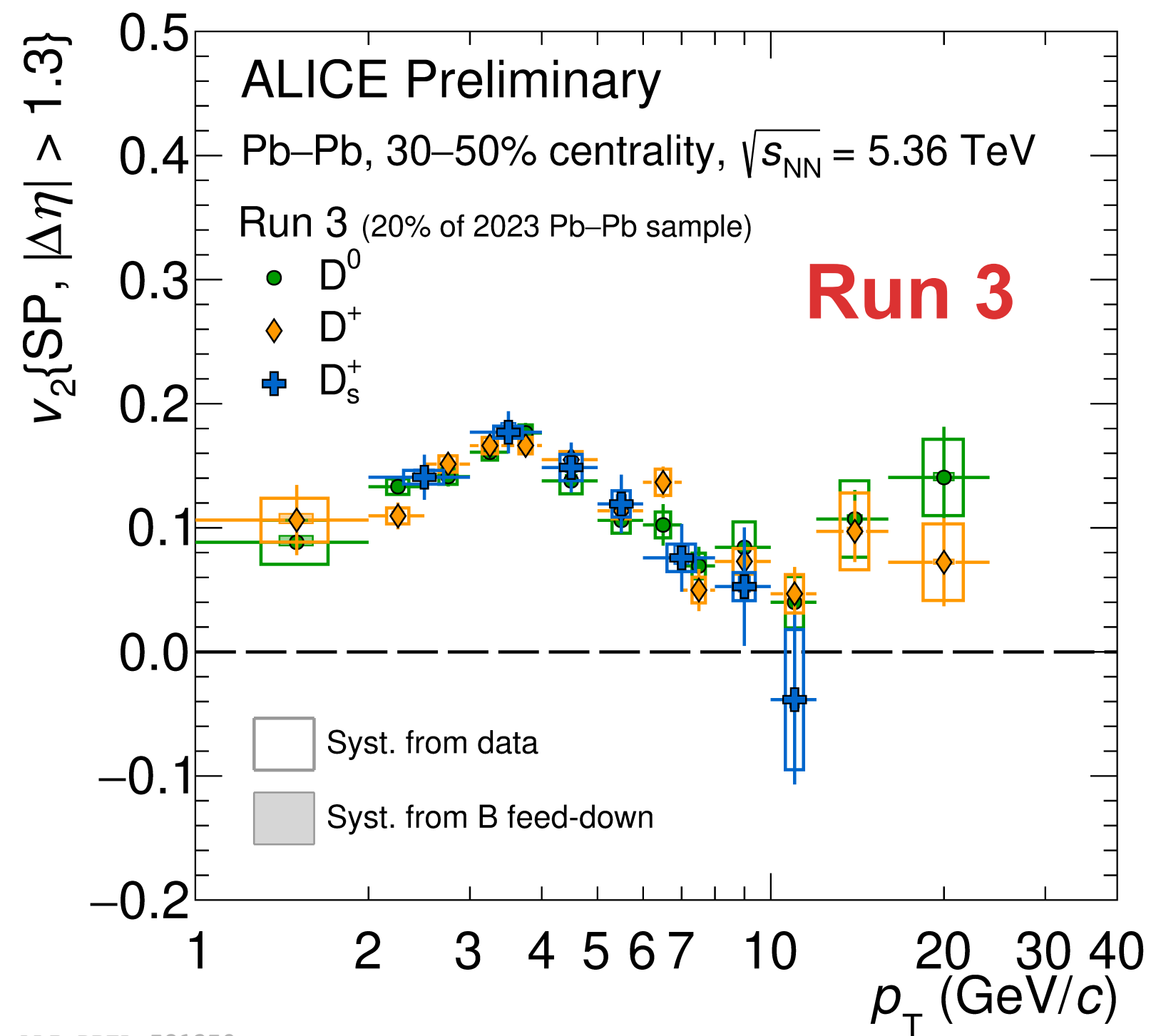


$\Lambda_b (bud) / B^0 (b\bar{d})$ double ratio



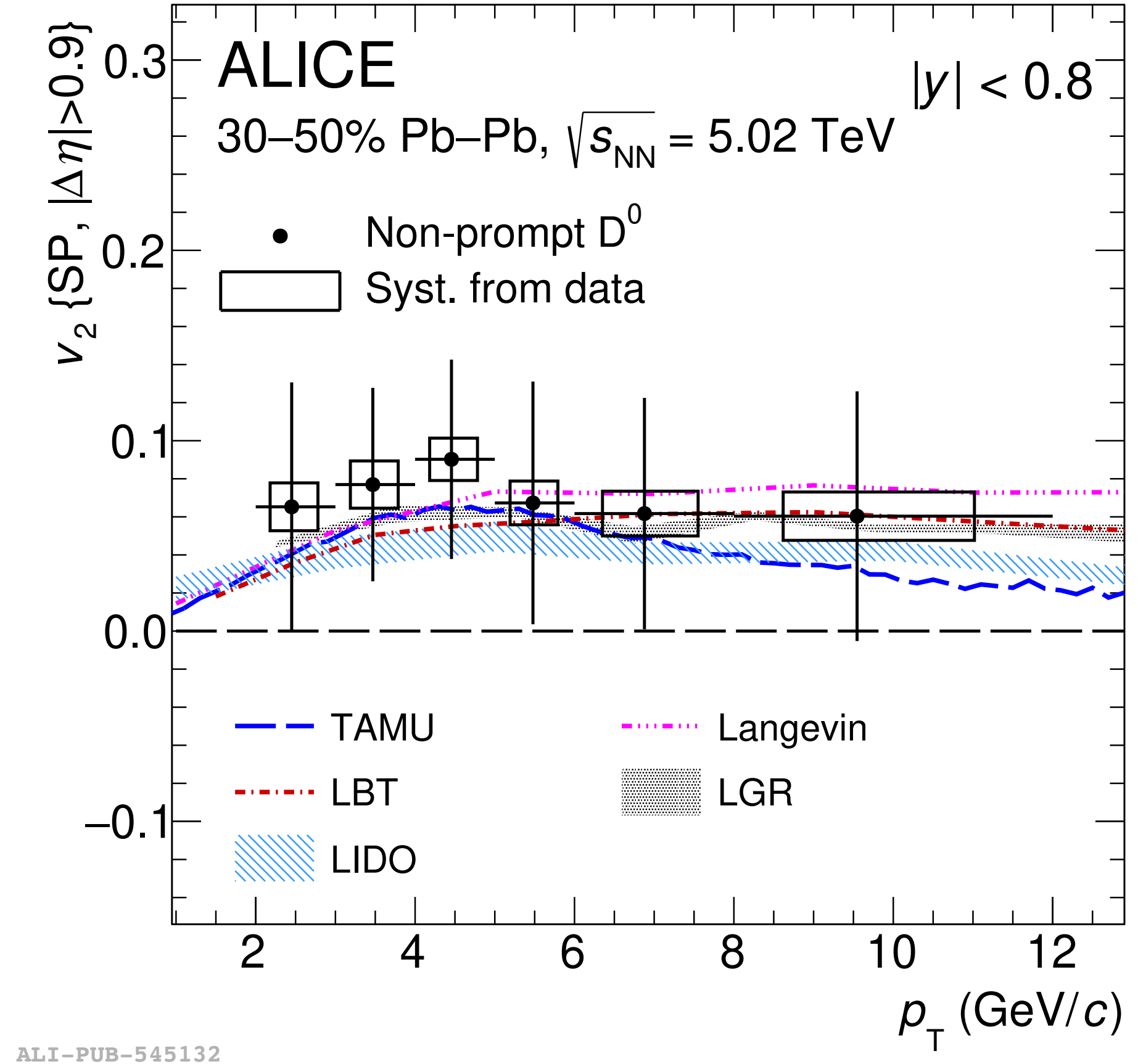
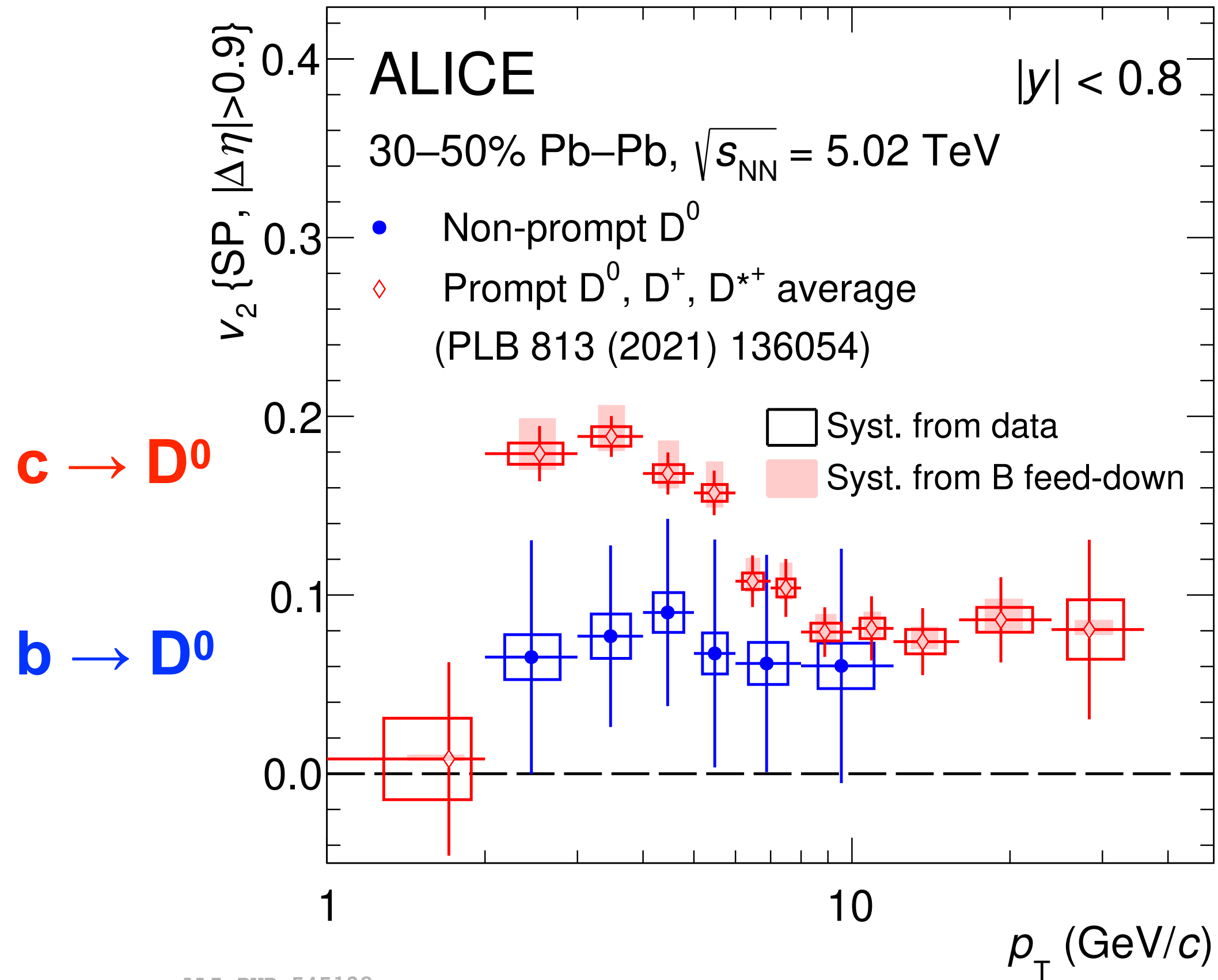
- ▶ Rapidity dependence in both meson and baryon, in both charm and beauty sectors
 - ▶ Models do not expect rapidity dependence

Collectivity: strange and non-strange D-mesons elliptic flow



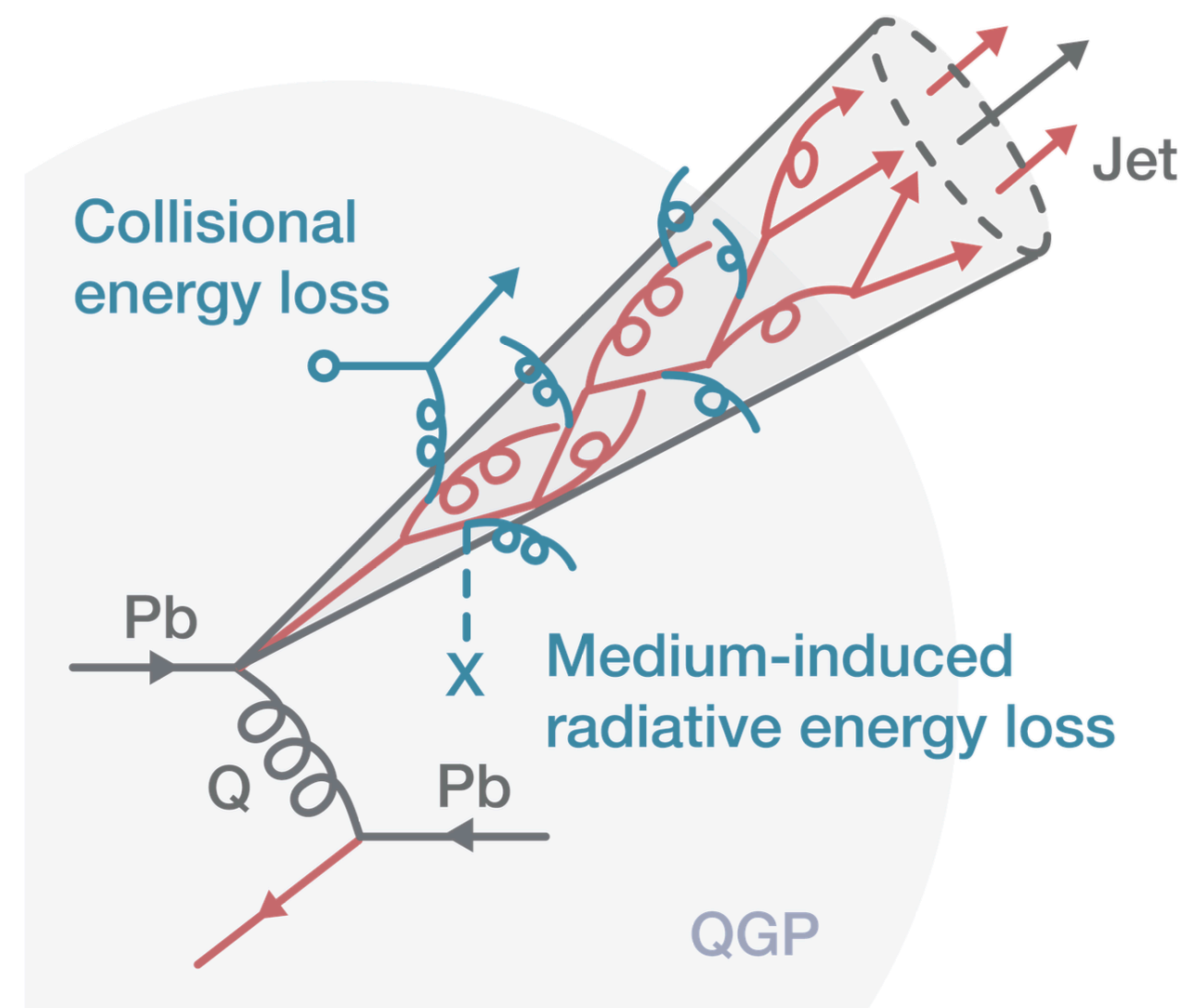
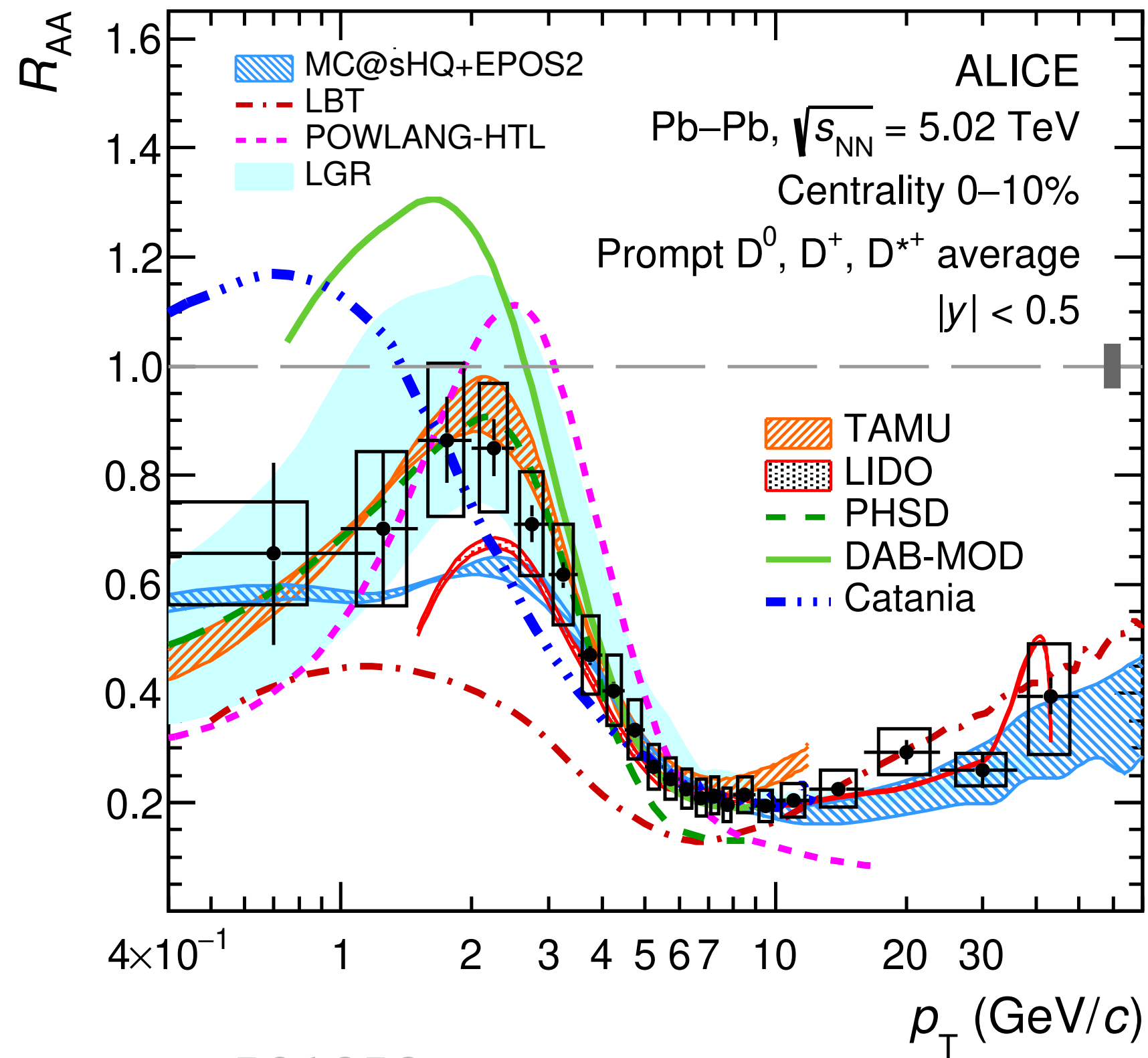
- ▶ About x4 larger statistics more than Run 2, x5 more statistics will come soon
- ▶ No significant difference between strange and non-strange D mesons
- ▶ Strange D-meson elliptic flow reproduced by transport models

Collectivity: non-prompt D^0 elliptic flow



- ▶ Non-zero open beauty flow signal → possible partial thermalisation of beauty quark
- ▶ Described by models including collisional energy loss and hadronisation by coalescence

Energy loss: $D^0 R_{AA}$



Energy loss of hard parton in QGP in pQCD picture

$$R_{AA}(p_T) = \frac{dN_{AA}/dp_T}{\langle T_{AA} \rangle \times d\sigma_{pp}/dp_T}$$

- ▶ Prompt D^0 suppression in wide kinematics
- ▶ Charm lose energy in QGP by collisions at low p_T and radiations at high p_T

- ▶ R_{AA} variable:
 - ▶ Advantage: BR unc. cancelled
 - ▶ Disadvantage: pp reference not well understood (QGP-like system in pp?)

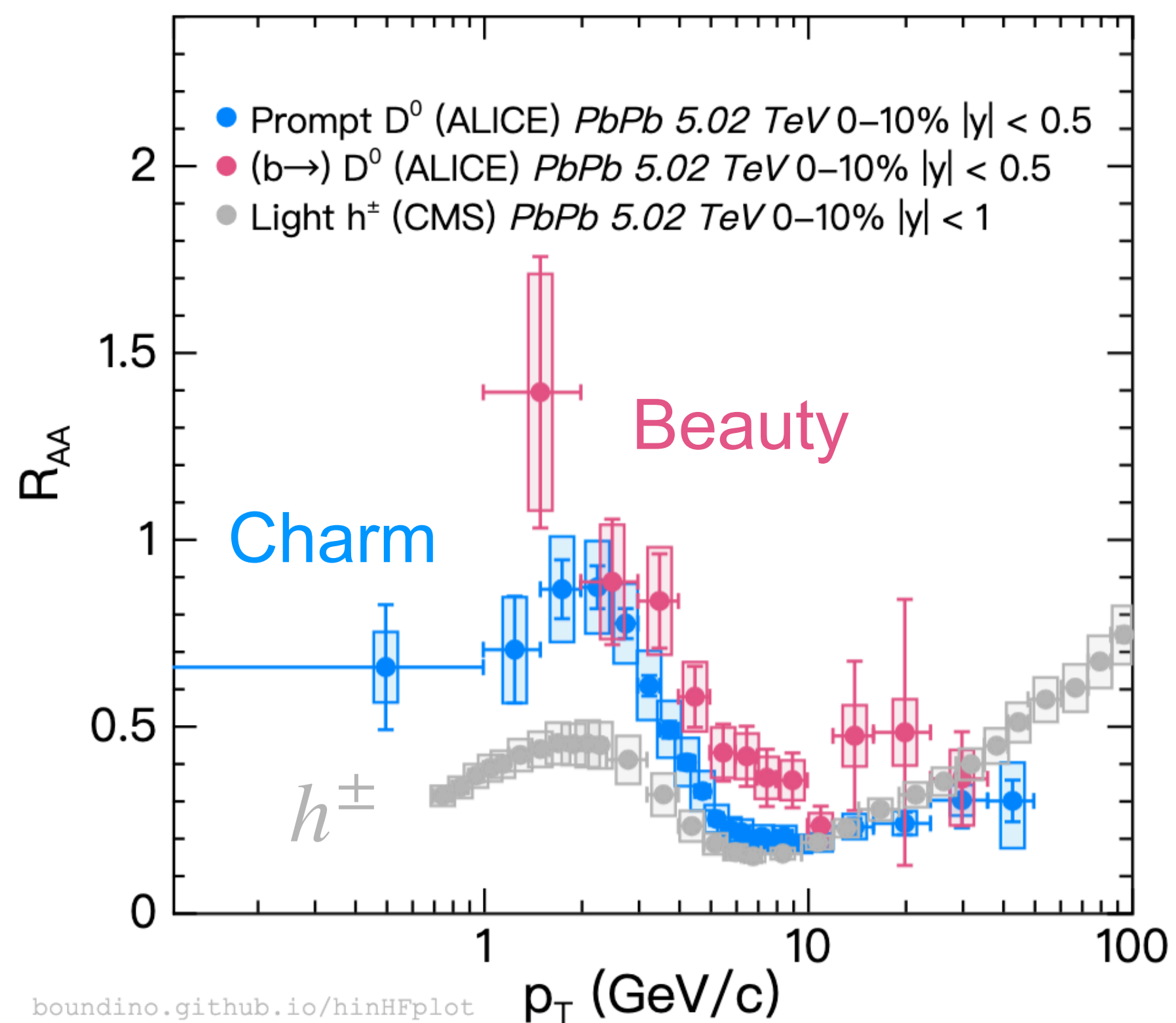
ALI-PUB-501952

collective flow, hadronisation, nuclear PDF

collisional E loss

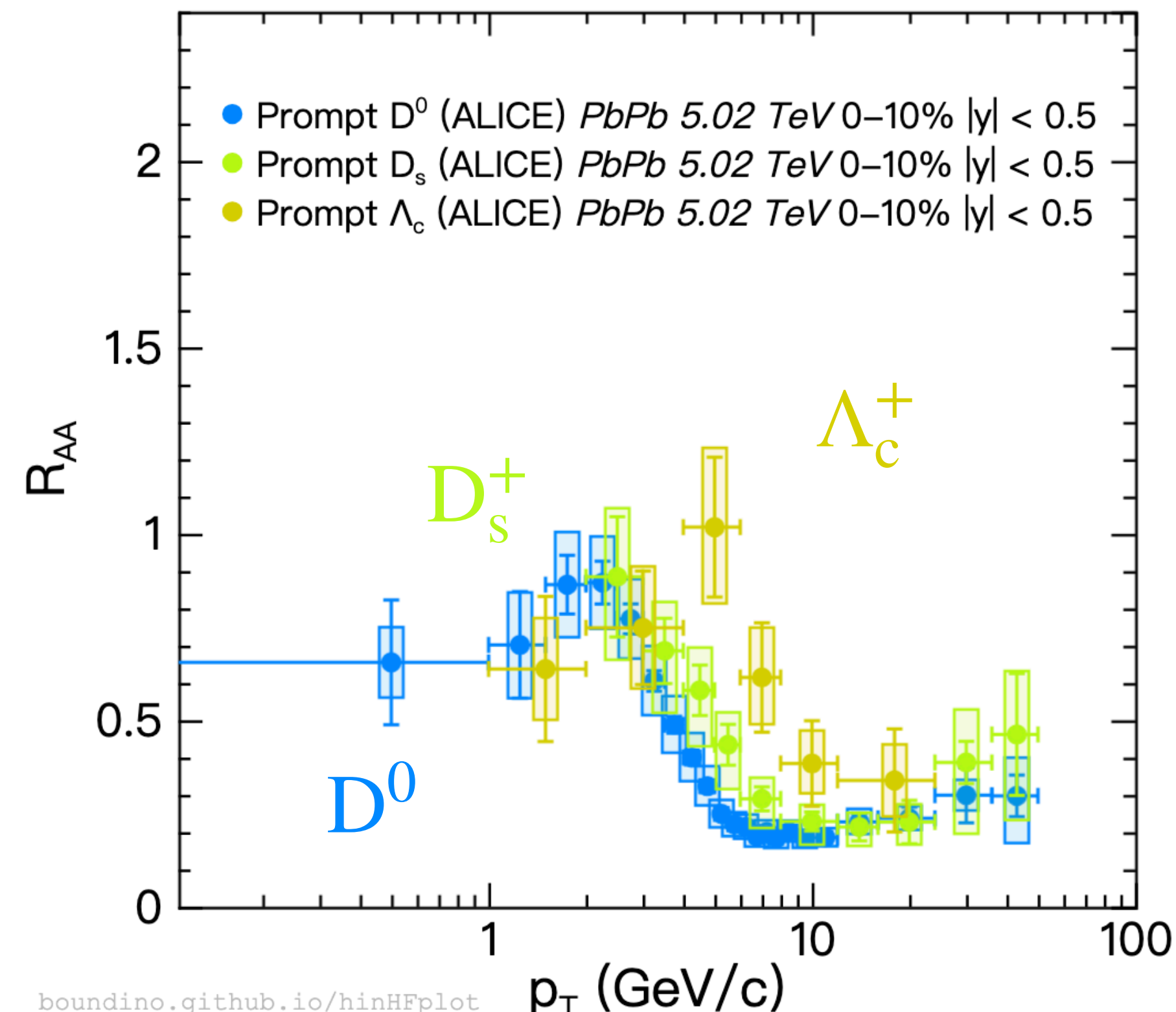
radiative E loss

Energy loss: mass dependence



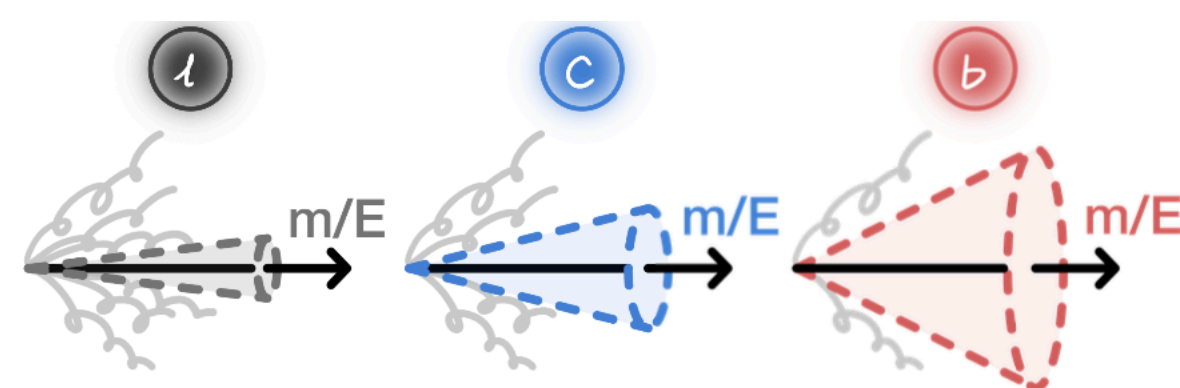
[boundino.github.io/hinHFplot](https://github.com/boundino/hinHFplot)

→ JHEP 01 (2022) 174 → JHEP 12 (2022) 126
 → JHEP 04 (2017) 039



[boundino.github.io/hinHFplot](https://github.com/boundino/hinHFplot)

→ JHEP 01 (2022) 174 → PLB 827 (2022) 136986
 → PLB 839 (2023) 137796



Larger energy loss → Smaller energy loss

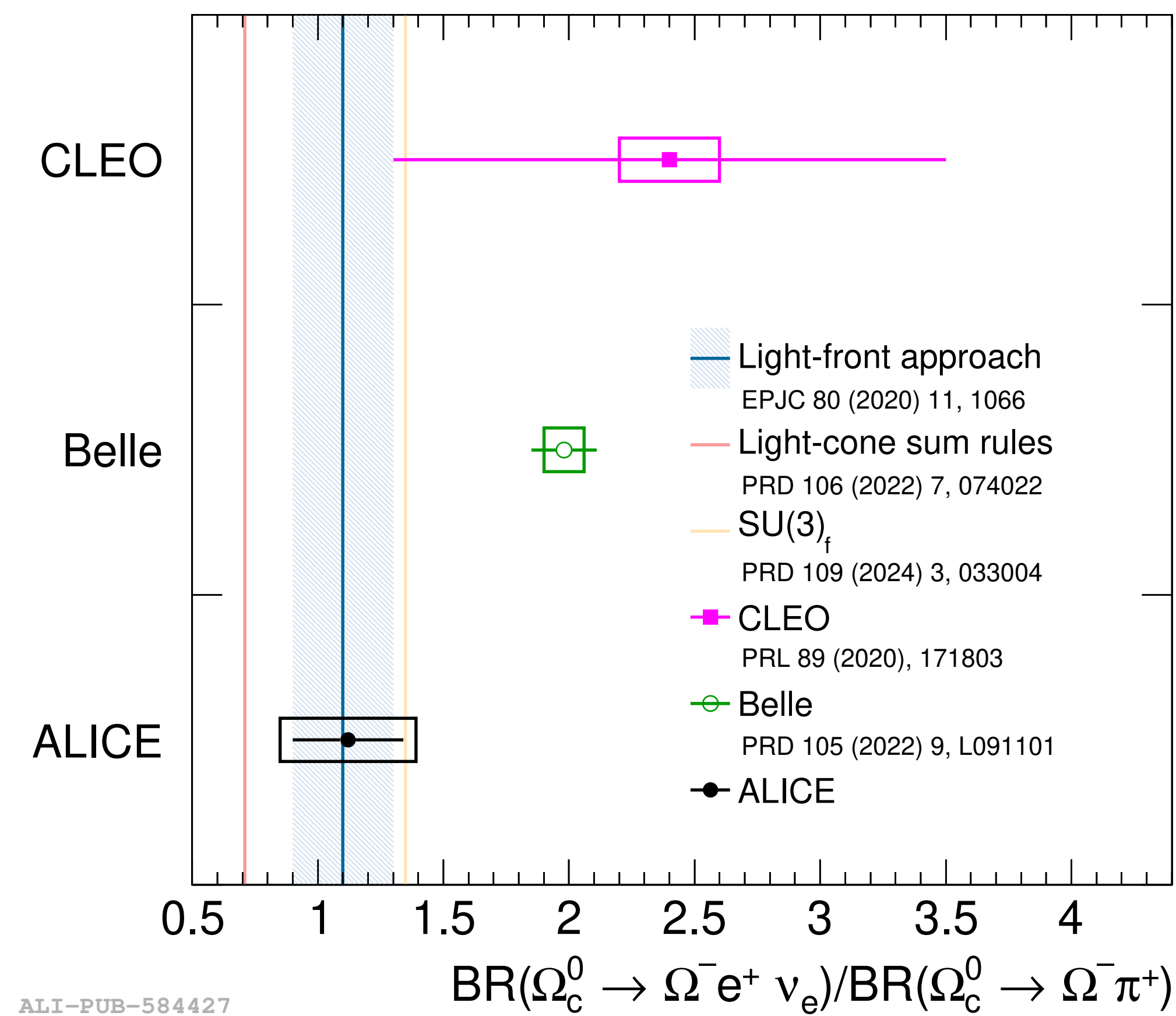
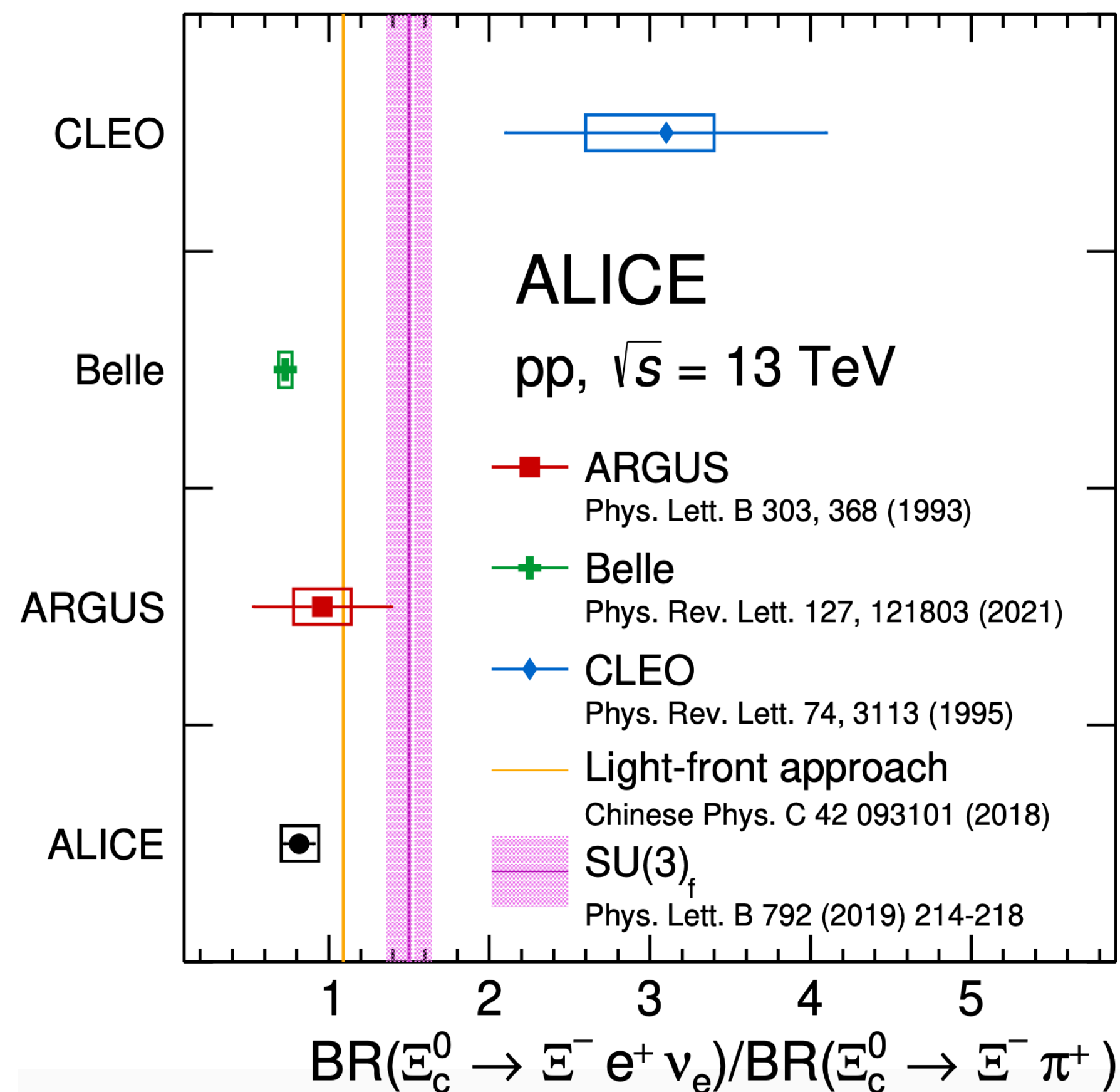
Dead cone effect

Radiation suppressed inside $\theta < m/E$

In central collisions at $4 < p_T < 8$ GeV/c

► A hint of hierarchy $R_{AA}(D) < R_{AA}(D_s^+) < R_{AA}(\Lambda_c^+)$

Branching-fraction ratio: Ξ_c^0 and Ω_c^0



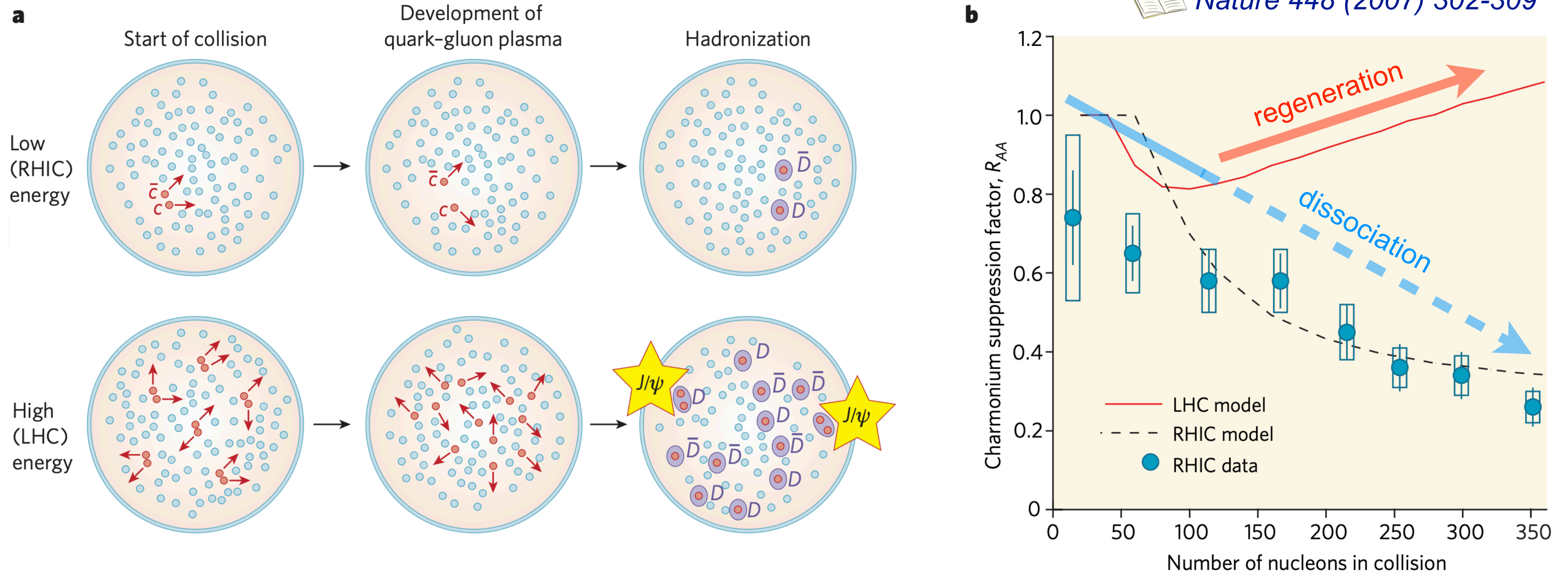
- ▶ Consistent with Belle result in 0.54σ
- ▶ Models overestimate ALICE and Belle results

- ▶ 2.3σ lower than Belle result
- ▶ Consistent with theory calculations

Quarkonia


Quarkonia as probes of QGP

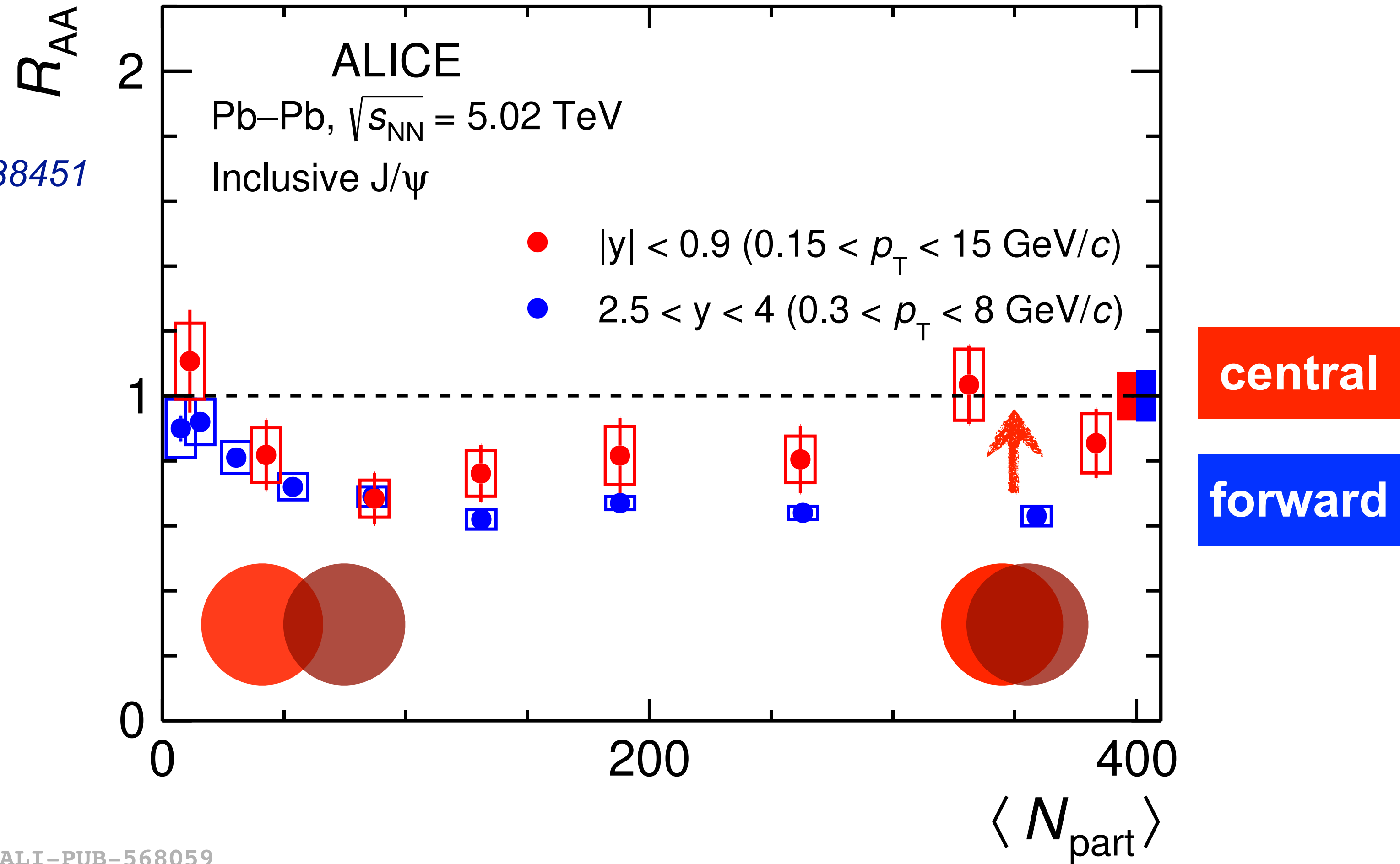
Nature 448 (2007) 302-309



- ▶ **Suppression** of the direct charmonium due to **colour screening** and **dynamic dissociation**
- ▶ **(Re)generation enhanced** charmonium production close to transition at **LHC energies**
- ▶ $\psi(2S)$ -to- J/ψ ratio in Pb–Pb collisions has strong discriminating power between regeneration scenarios

Inclusive J/ψ production vs. centrality

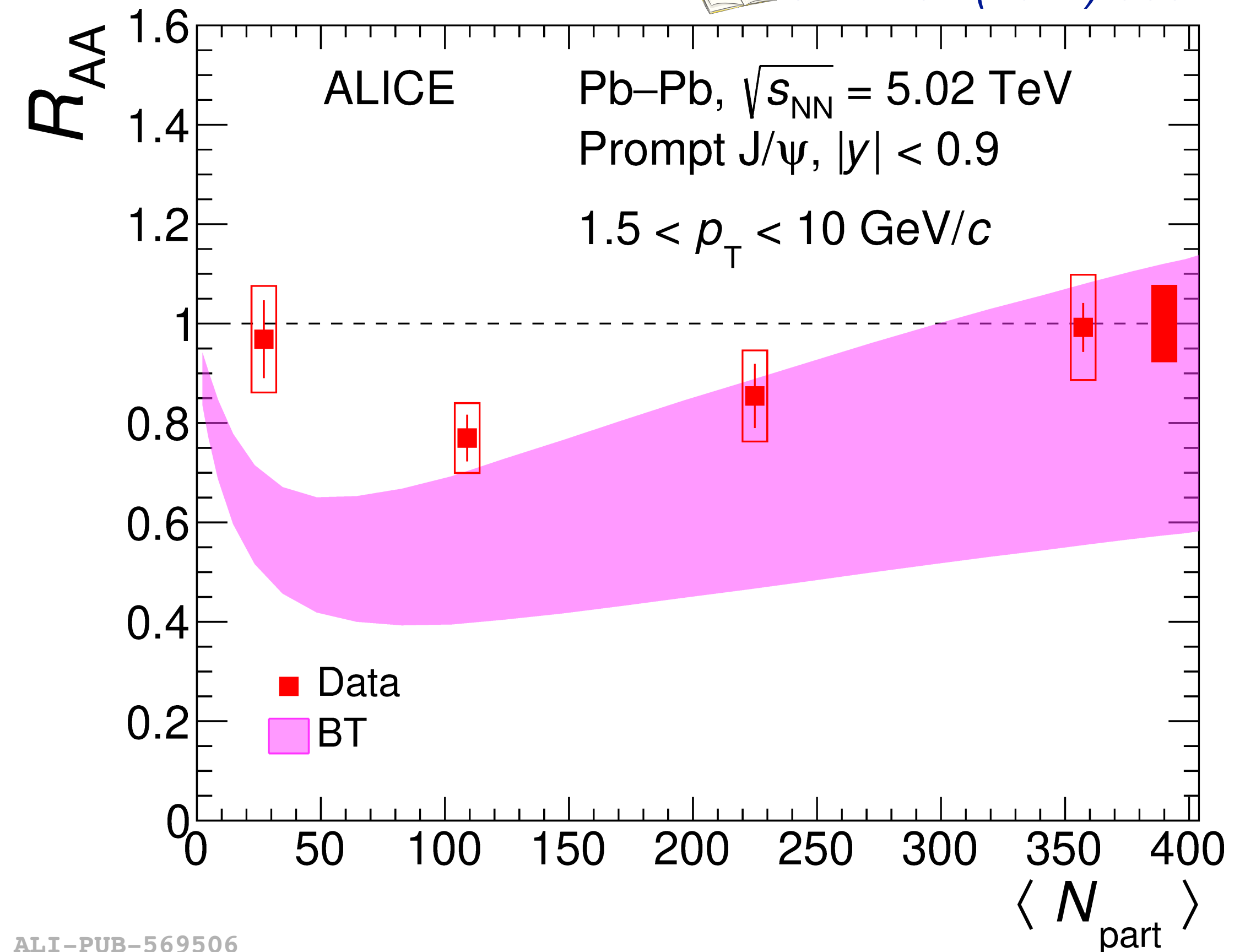
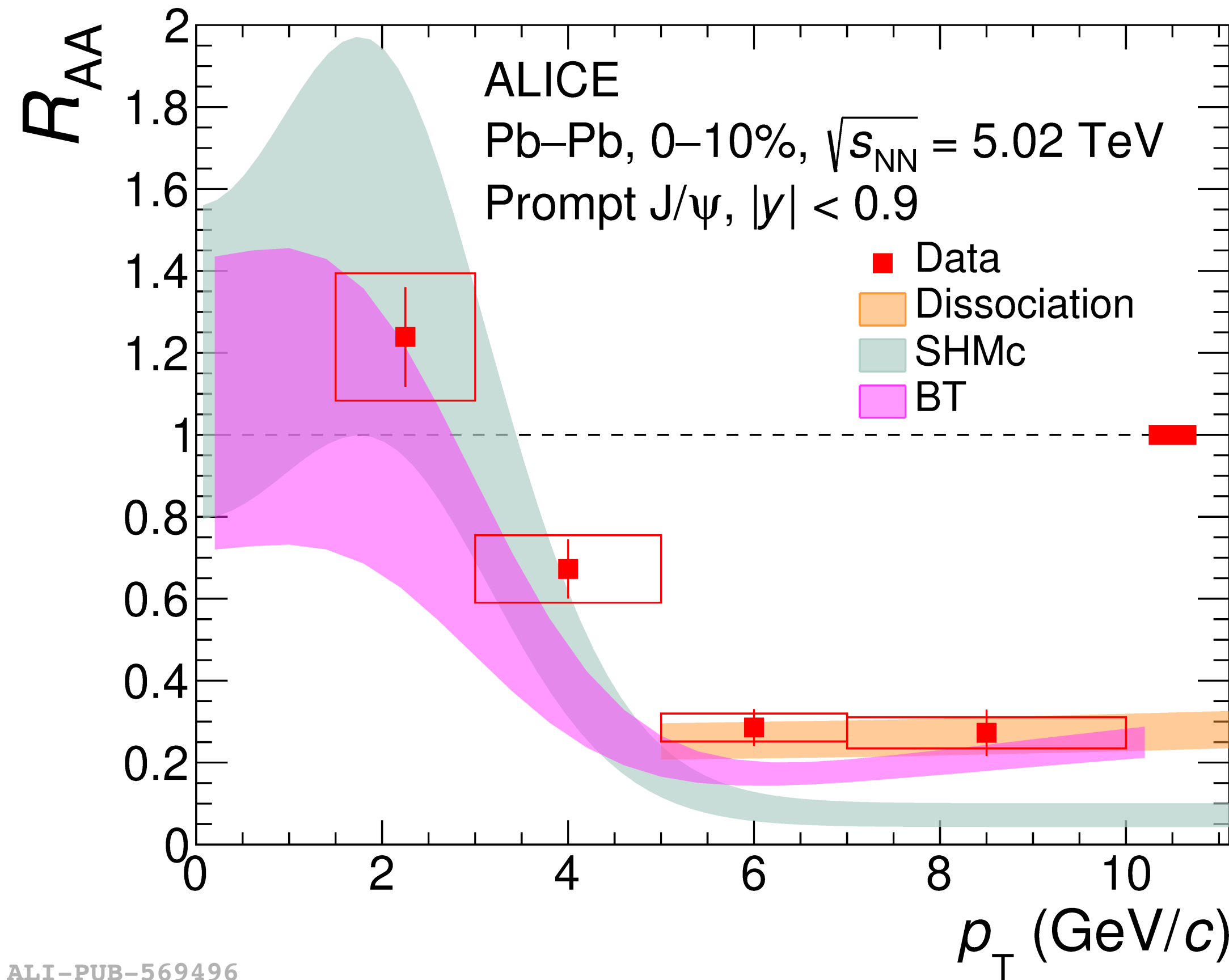
 *PLB 849 (2024) 138451*



- ▶ Evidence for J/ψ (re)generation in central collisions, with larger contribution at midrapidity compared to forward rapidity

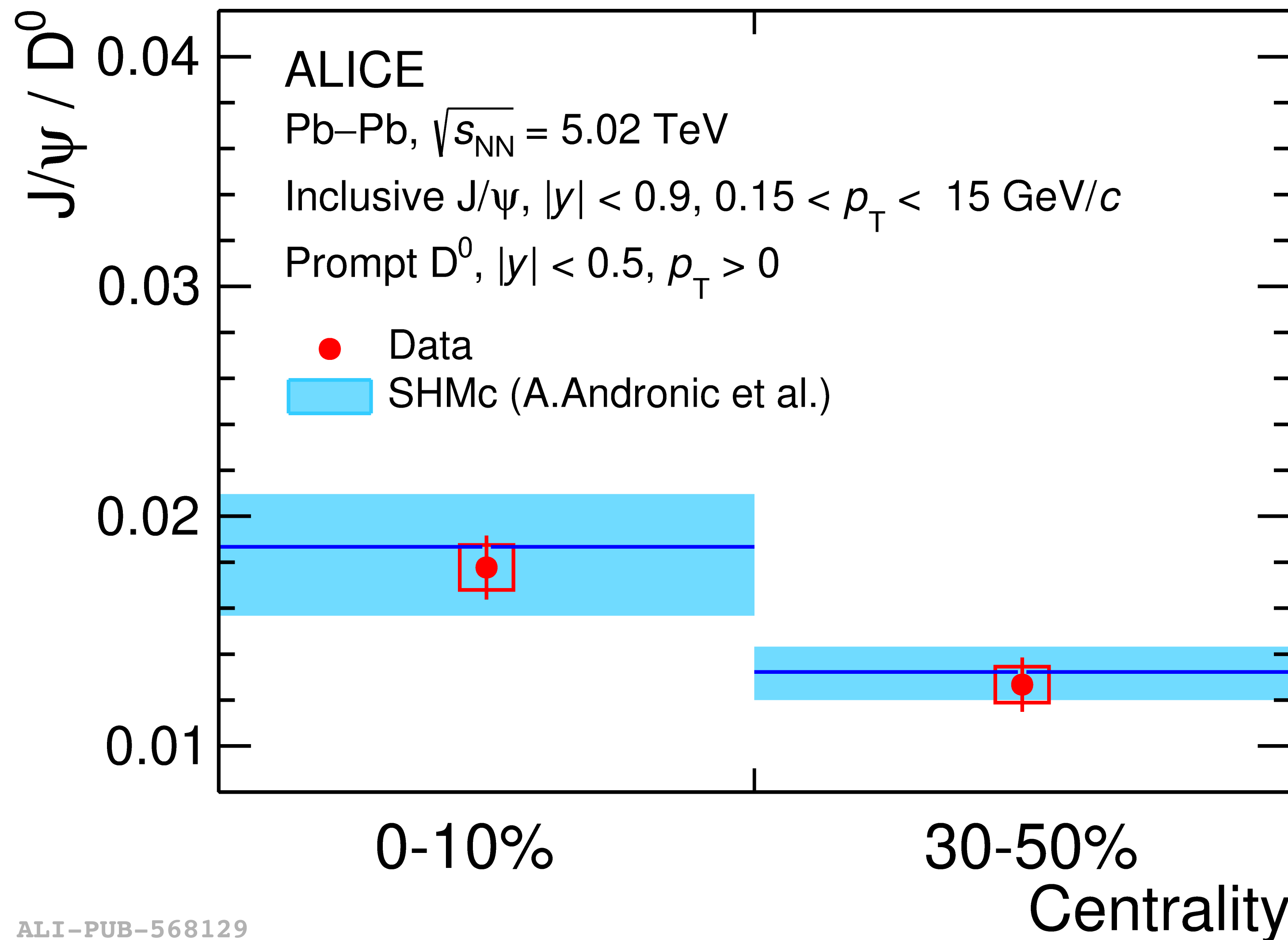
Prompt J/ψ production in Pb–Pb collisions

JHEP 02 (2024) 066



- ▶ SHMc and transport microscopic calculations that include a contribution from regeneration are compatible with the measured prompt J/ψ R_{AA} at low p_T
- ▶ BT model exhibits a similar trend to the data from peripheral to central collisions

Hadronisation: J/ ψ -to- D^0 ratio in Pb–Pb collisions



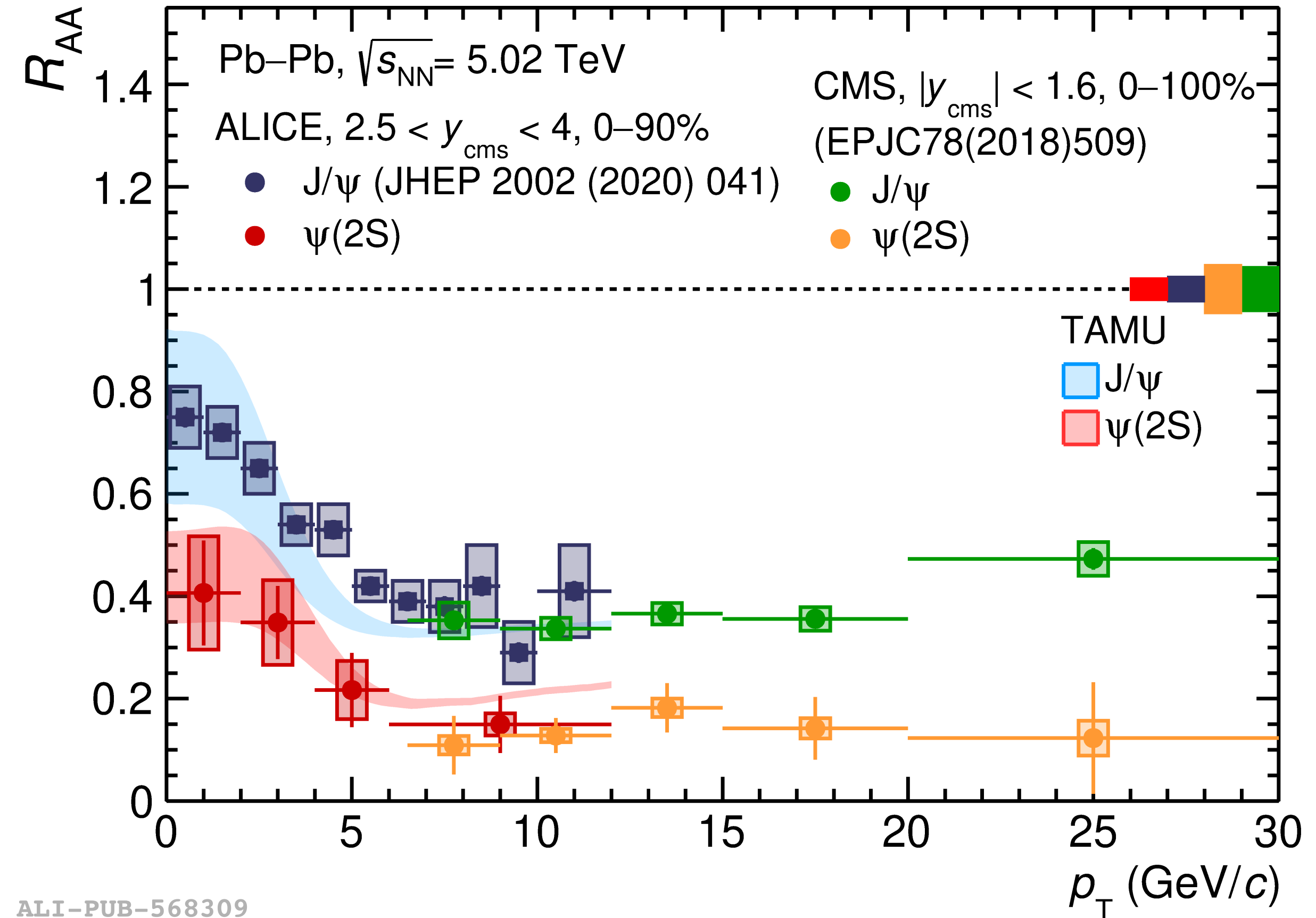
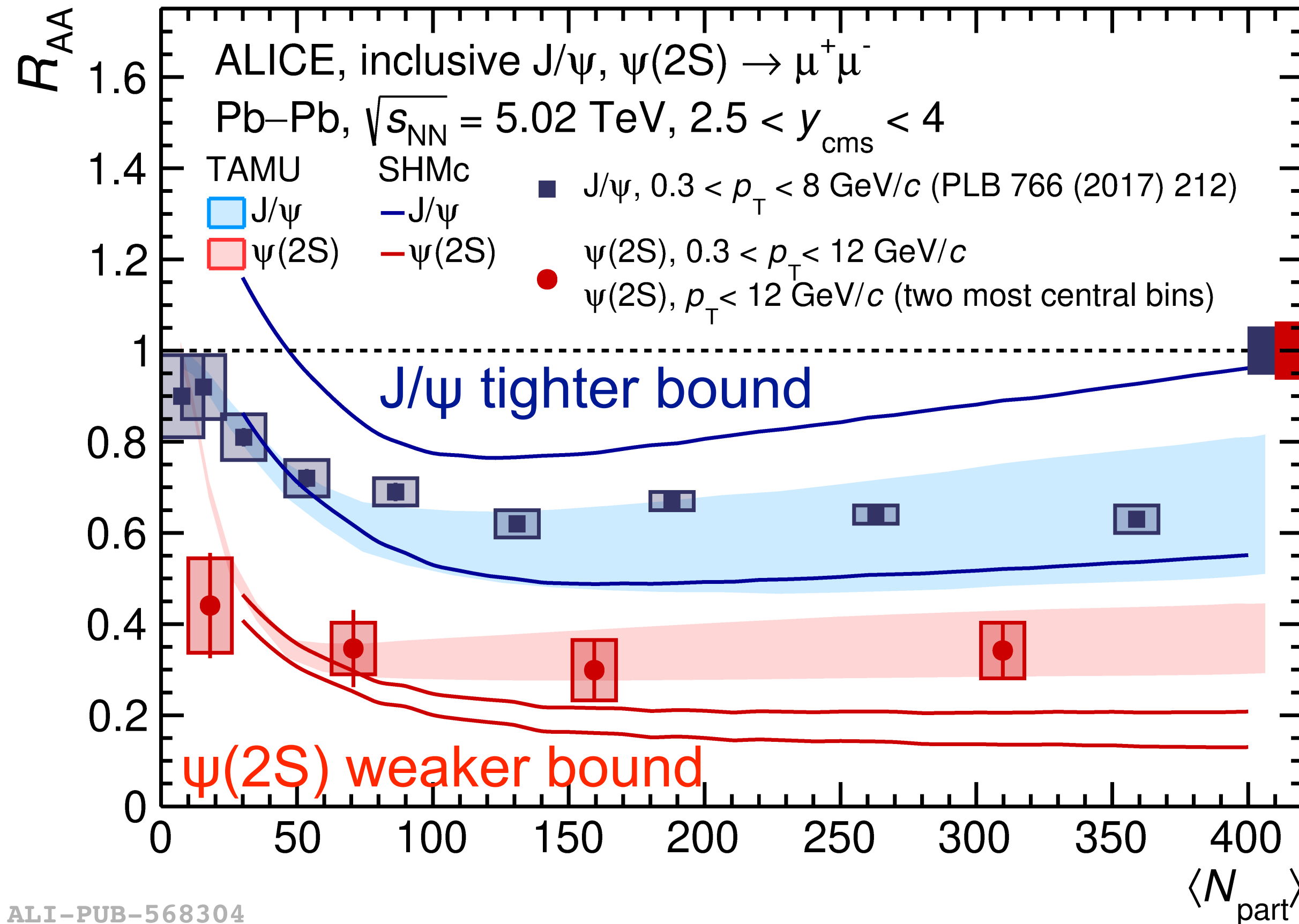
📖 *PLB 849 (2024) 138451*

- ▶ Sensitive to hadronisation for open and hidden charm hadrons
- ▶ The centrality-dependent trend of the J/ ψ to D^0 can be explained by the increase of charm fugacity towards most central collisions according to SHMc prediction

ALI-PUB-568129

$\psi(2S)$ production: sequential melting

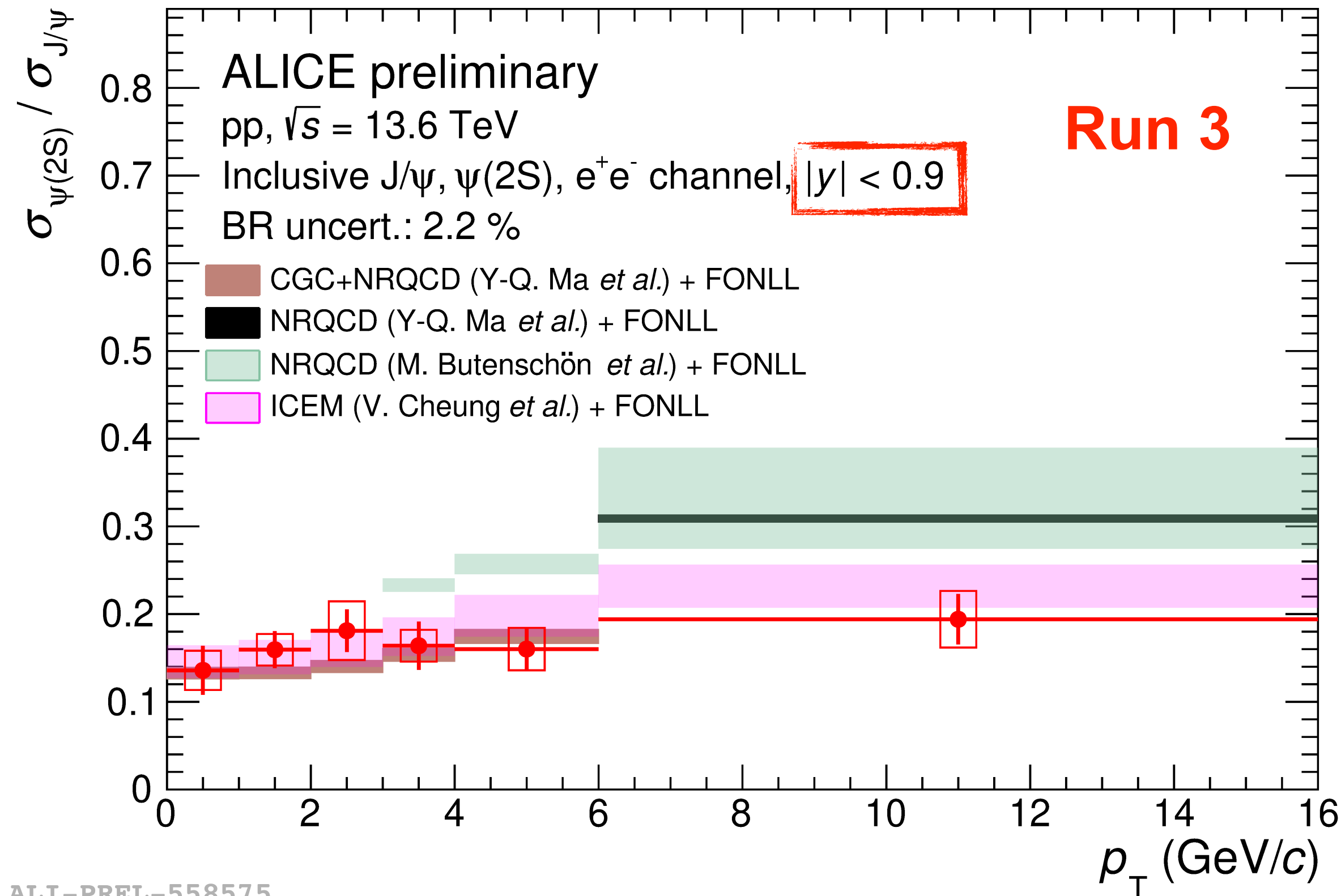
PRL 132 (2024) 042301



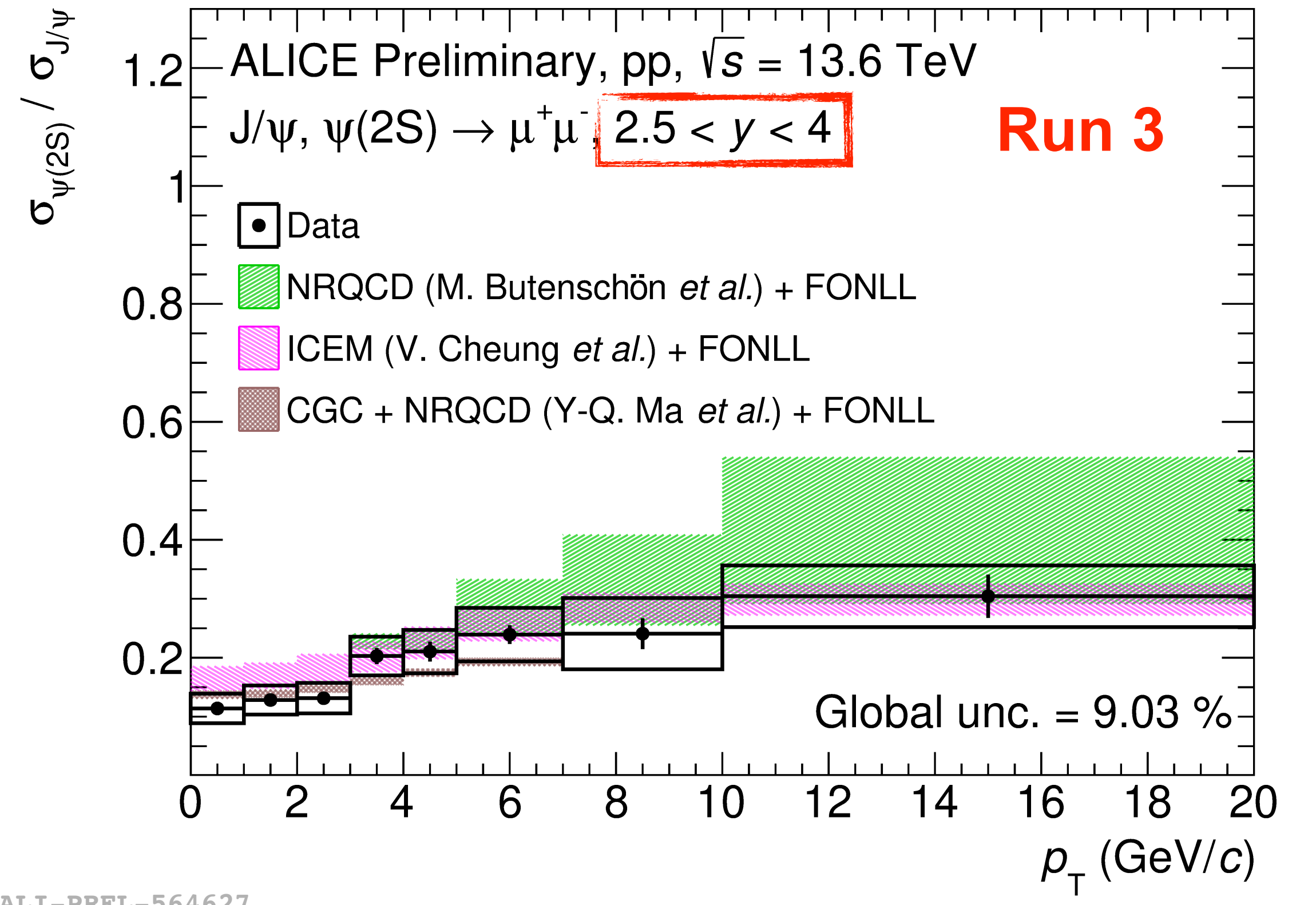
- ▶ A large suppression of $\psi(2S)$ w.r.t. J/ψ is observed
- ▶ $\psi(2S)$ R_{AA} increases at low p_T , which is a hint of $\psi(2S)$ regeneration
- ▶ TAMU describes data better than SHMc in central collisions

Yiping Wang's talk
 on Saturday at 15:50
 Parallel 3

$\psi(2S)$ -to- J/ψ ratio in pp collisions



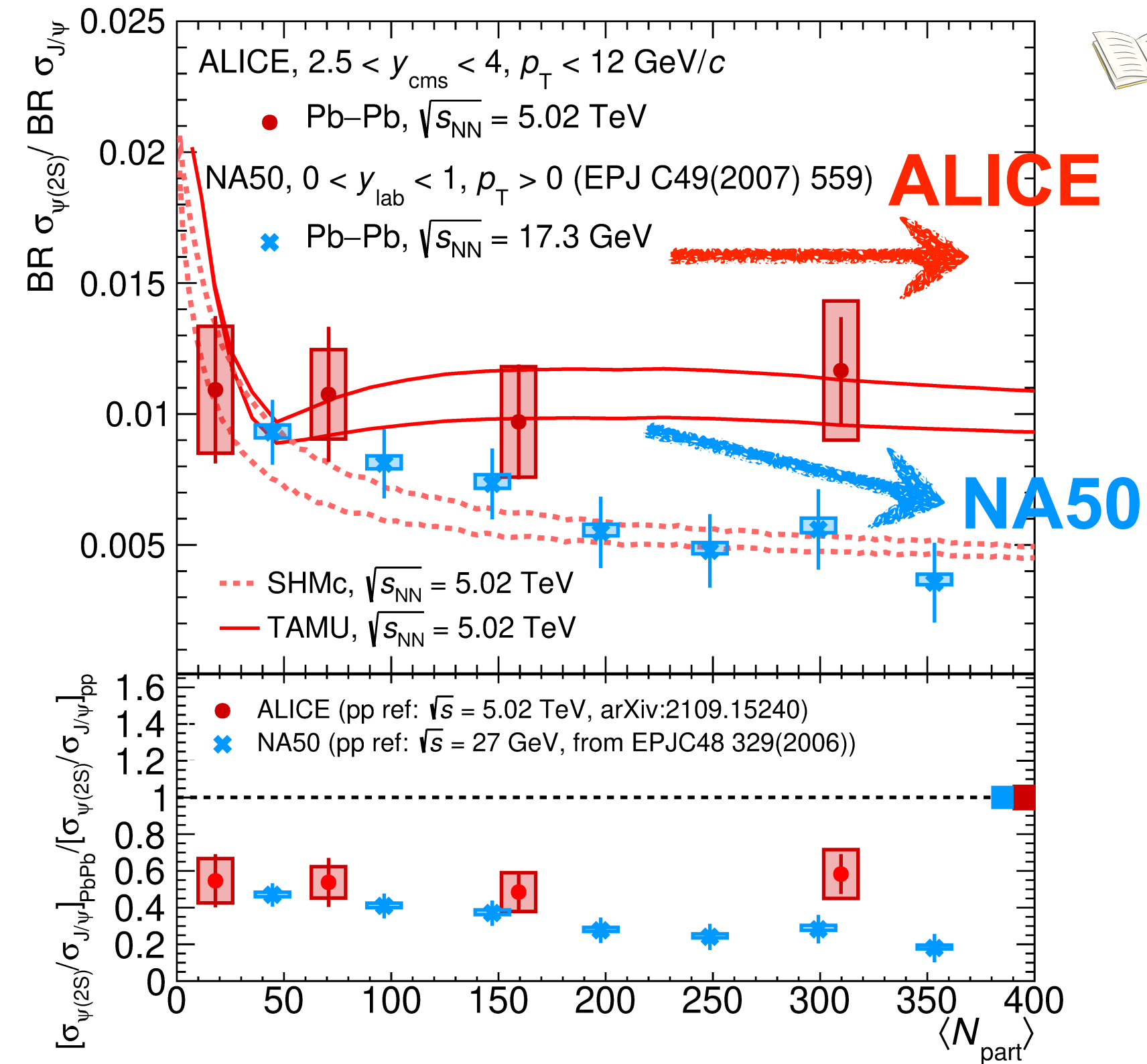
ALI-PREL-558575



ALI-PREL-564627

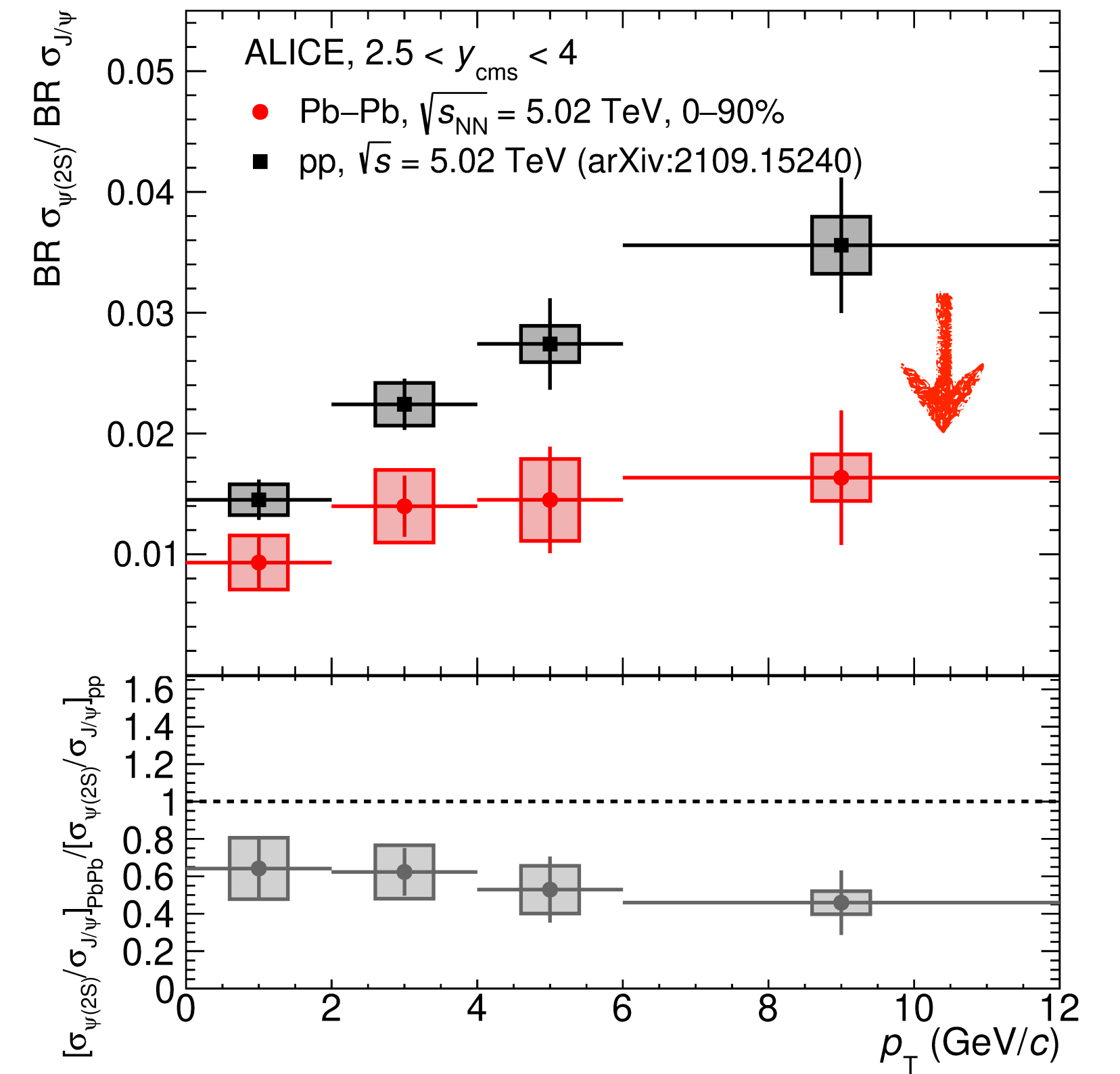
- ▶ The CGC+NRQCD and ICEM can describe the data at low p_T
 - ▶ NRQCD: non-relativistic QCD approach, long-distance matrix elements (LDME) fitted to experimental data
 - ▶ CGC+NRQCD: color glass condensate effective theory coupled to leading order NRQCD calculations
 - ▶ ICEM: using the kt-factorisation approach to improve color evaporation model (CEM)

$\psi(2S)$ -to- J/ψ ratio in Pb–Pb collisions



PRL 132 (2024) 042301

Yiping Wang's talk
on Friday at 18:10
Parallel 3




ALI-PUB-568299

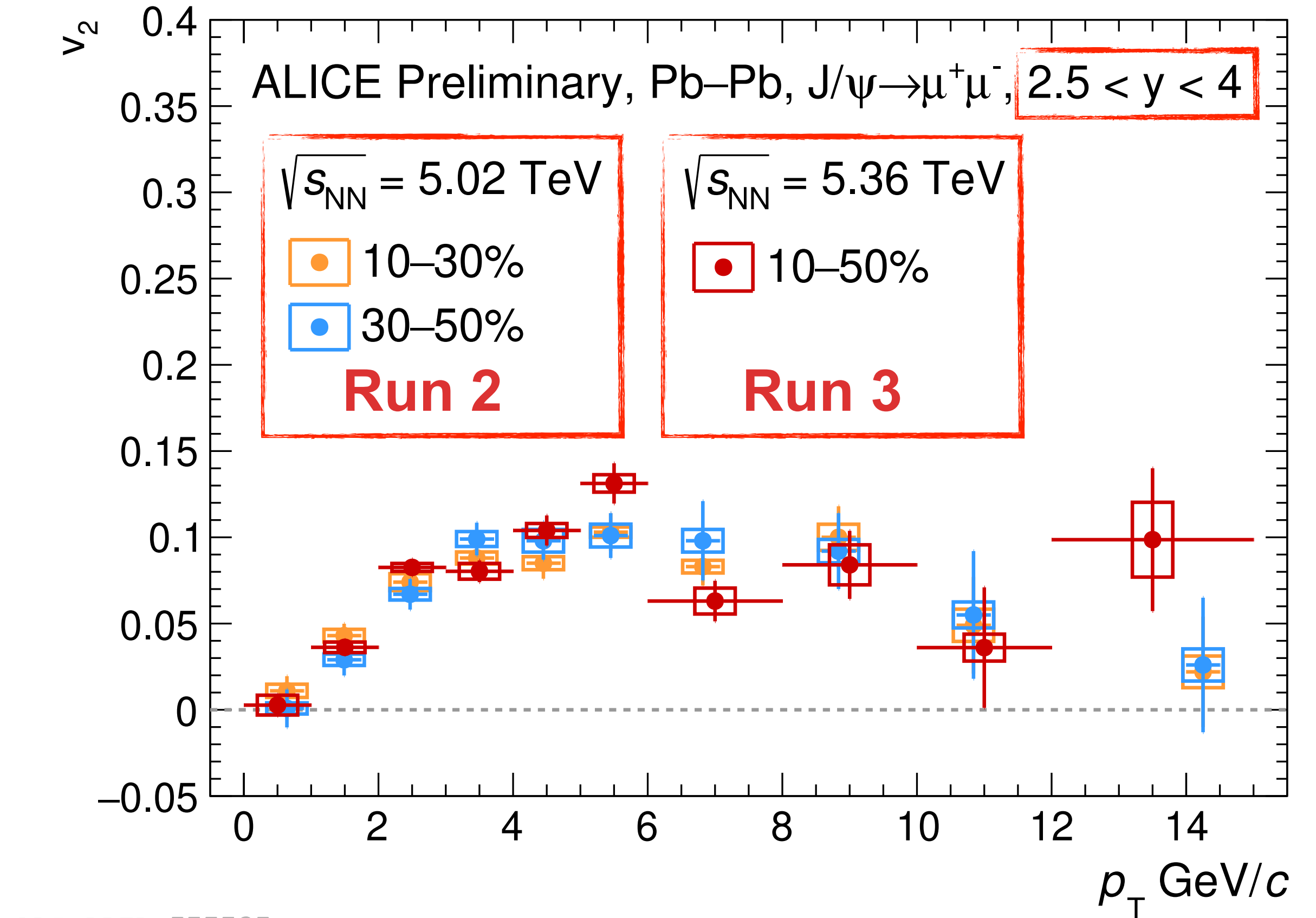
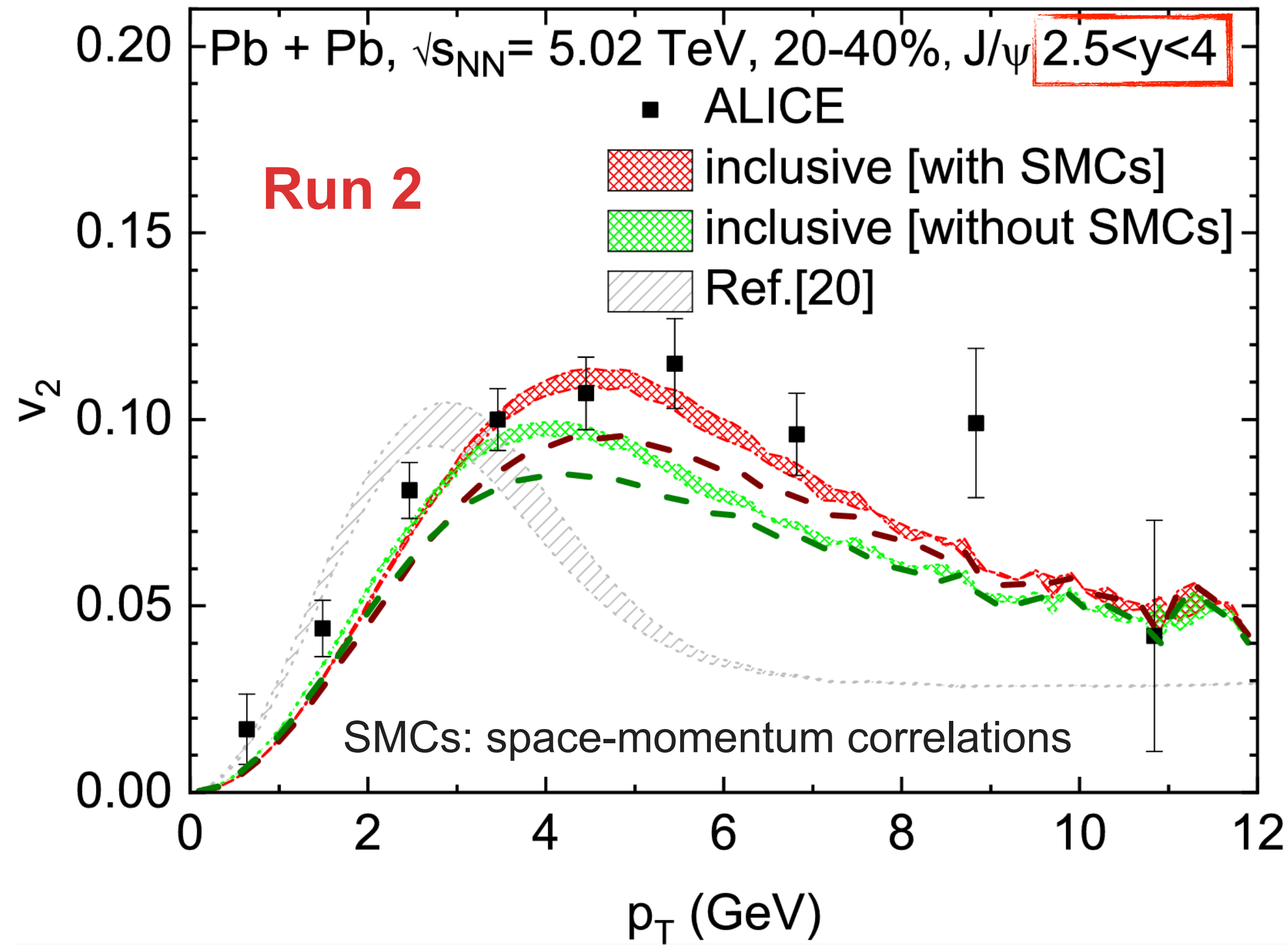
ALI-PUB-568354

- ▶ Flat centrality dependence at the LHC
- ▶ Stronger centrality dependence at lower energy
- ▶ TAMU describes data slightly better than SHMc in central collisions

- ▶ Increase for both pp and Pb–Pb
- ▶ Pb–Pb tends to show a slower rise
- ▶ Double ratio decrease, indicating possible increase of relative suppression of $\psi(2S)$

Collectivity: J/ ψ elliptic flow in Run 3

 PRL 128 (2022) 162301



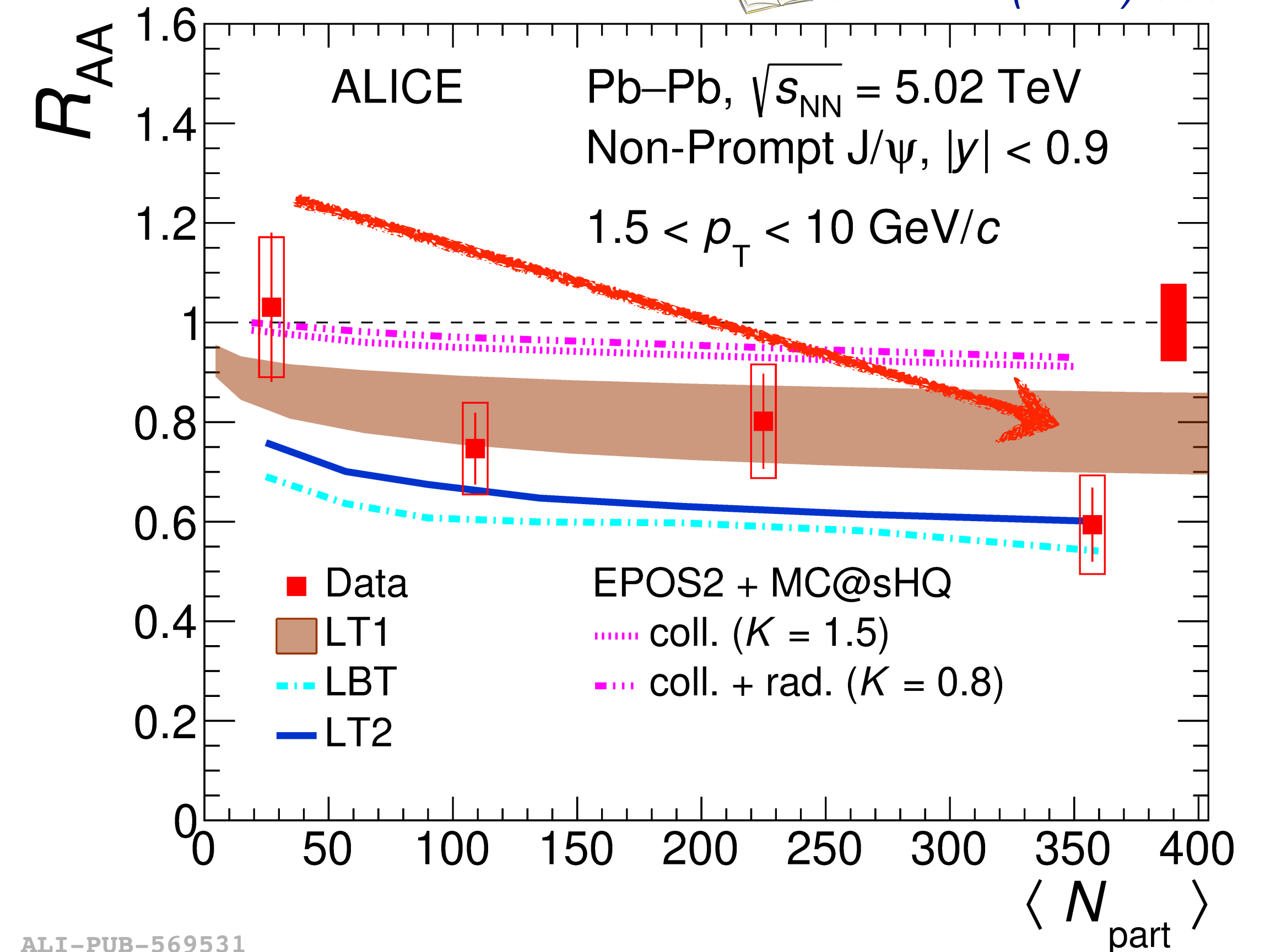
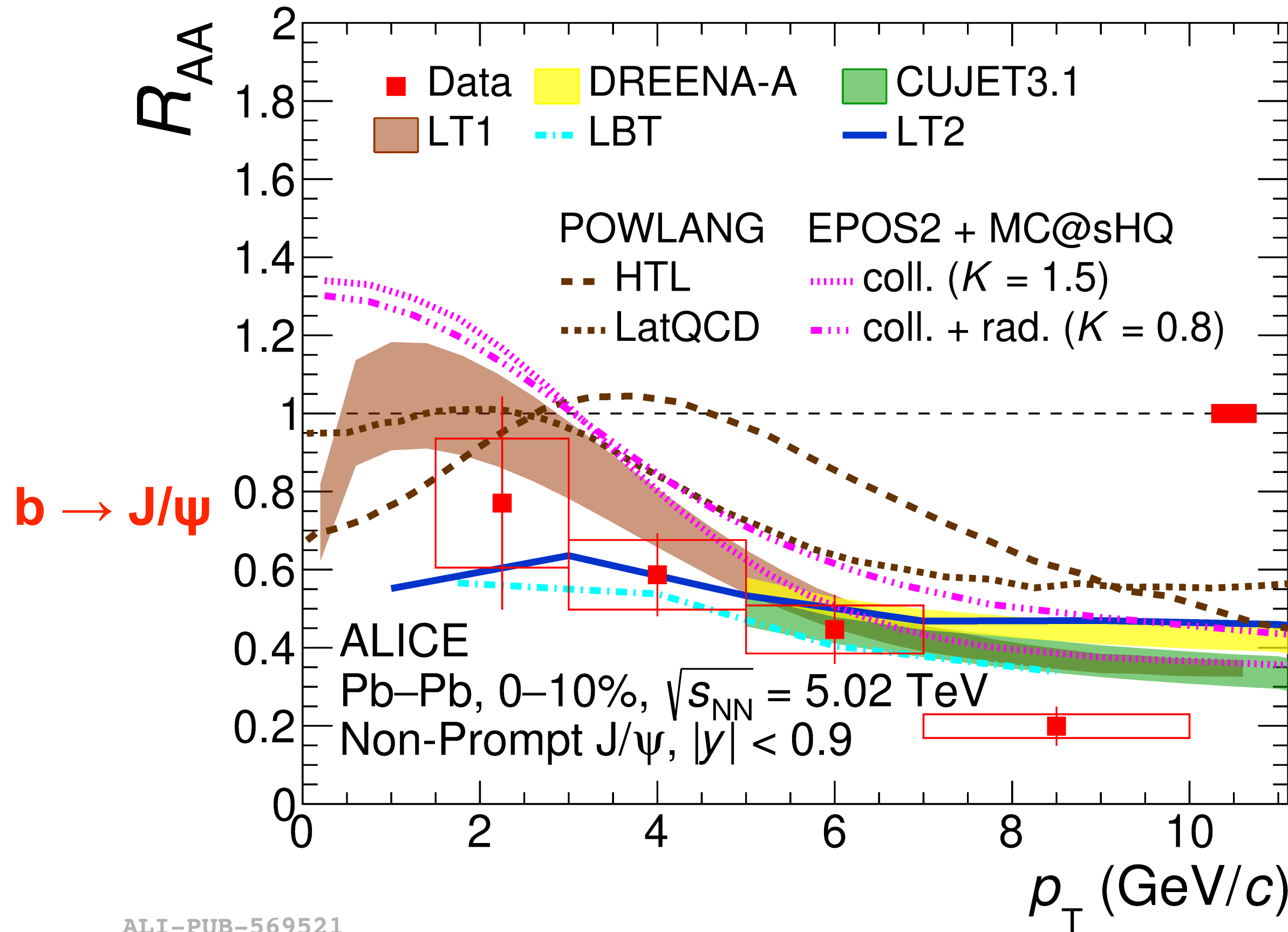
ALI-PREL-577735

- ▶ Run 3 is consistent with Run 2, with statistical precision improved at low p_T
- ▶ A significant J/ ψ v_2 is observed, consistent with charm quark thermalisation

Liuyao Zhang's talk
on Saturday at 14:35
Parallel 3

Non-prompt J/ψ production in Pb–Pb collisions

JHEP 02 (2024) 066



- ▶ Described within uncertainties by models implementing collisional and radiative energy loss
 - ▶ POWLANG including only collisional contributions overestimate R_{AA} at intermediate and high p_T
- ▶ R_{AA} integrated over p_T : hint at a decreasing trend towards more central collisions

Summary

Open heavy-flavour

- ▶ Assumption of **universal** parton-to-hadron fragmentation fractions **not valid** at LHC energies
- ▶ HF **hadronisation** mechanisms in small collision systems at LHC **need further investigations**
 - ▶ Resonance decay? Coalescence? Radial flow?
- ▶ Heavy quarks are **thermalised** and have **mass-dependent energy loss** in large collisions systems

Quarkonia

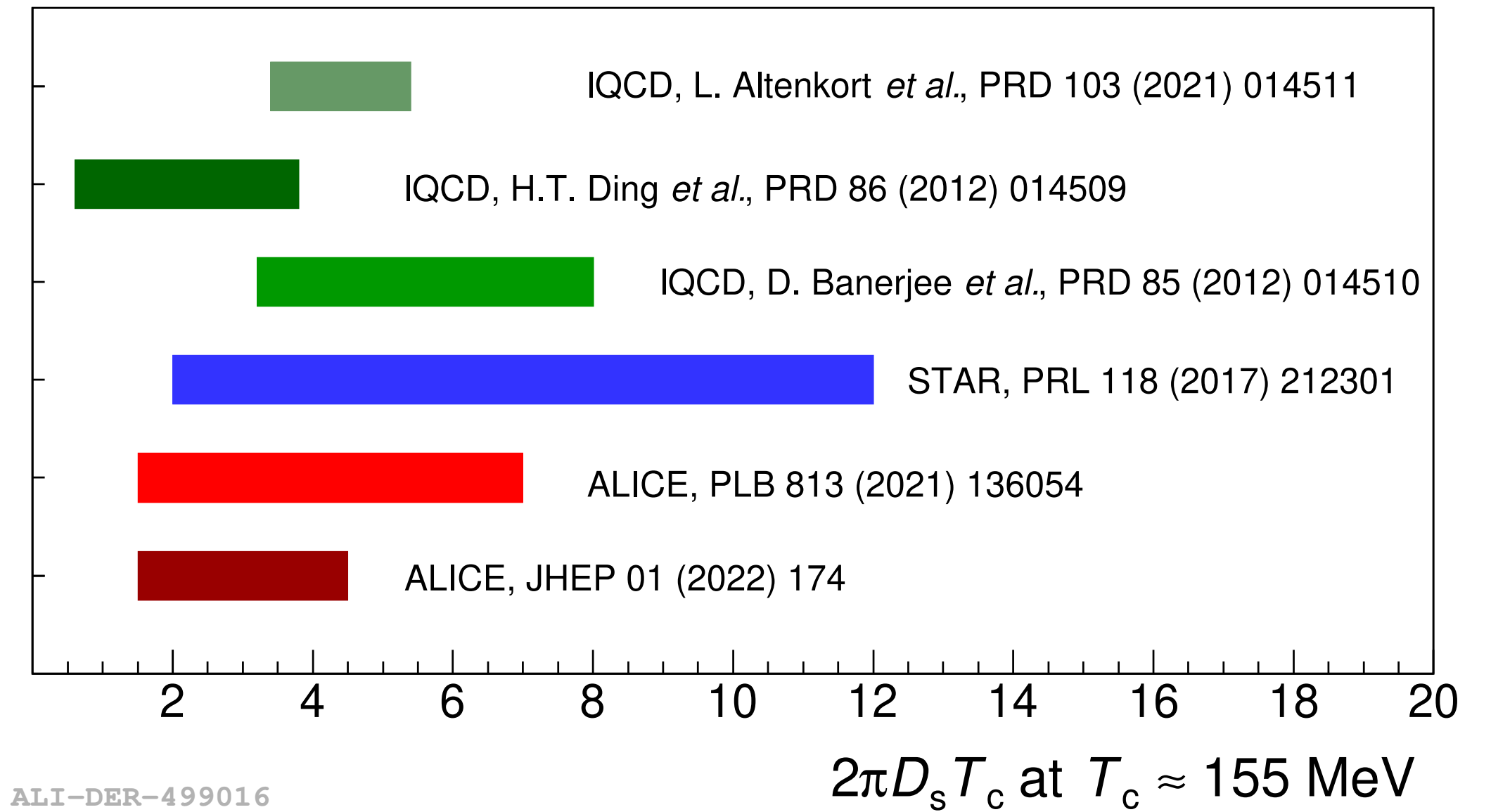
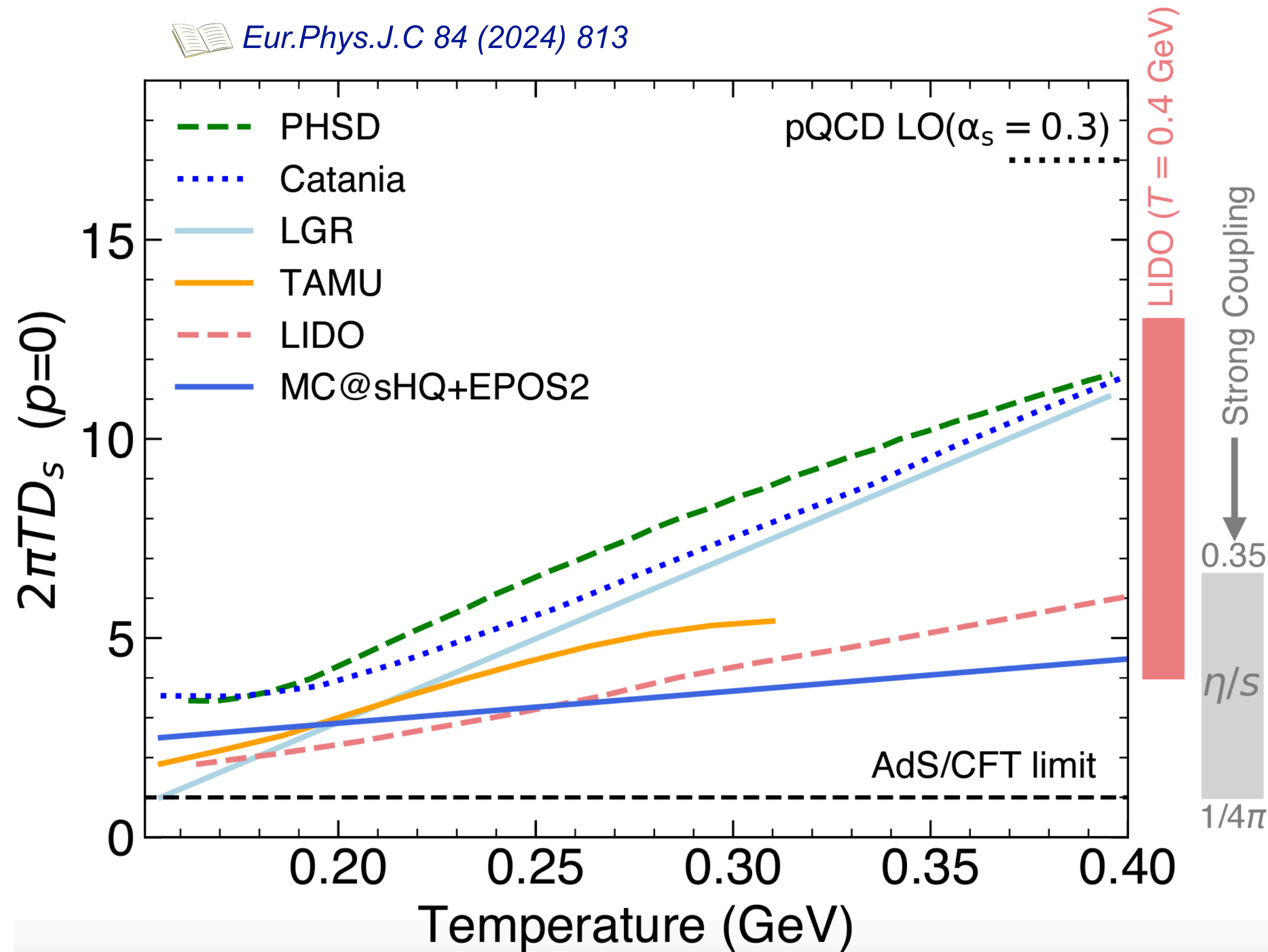
- ▶ Dominant contribution from **(re)generation** in central collisions and low p_T for inclusive and prompt J/ ψ
- ▶ **Larger suppression** of $\psi(2S)$ w.r.t. J/ ψ is observed
- ▶ **Significant J/ ψ v_2** is observed, consistent with charm quark thermalisation

Backup

Charm spatial diffusion coefficient D_s

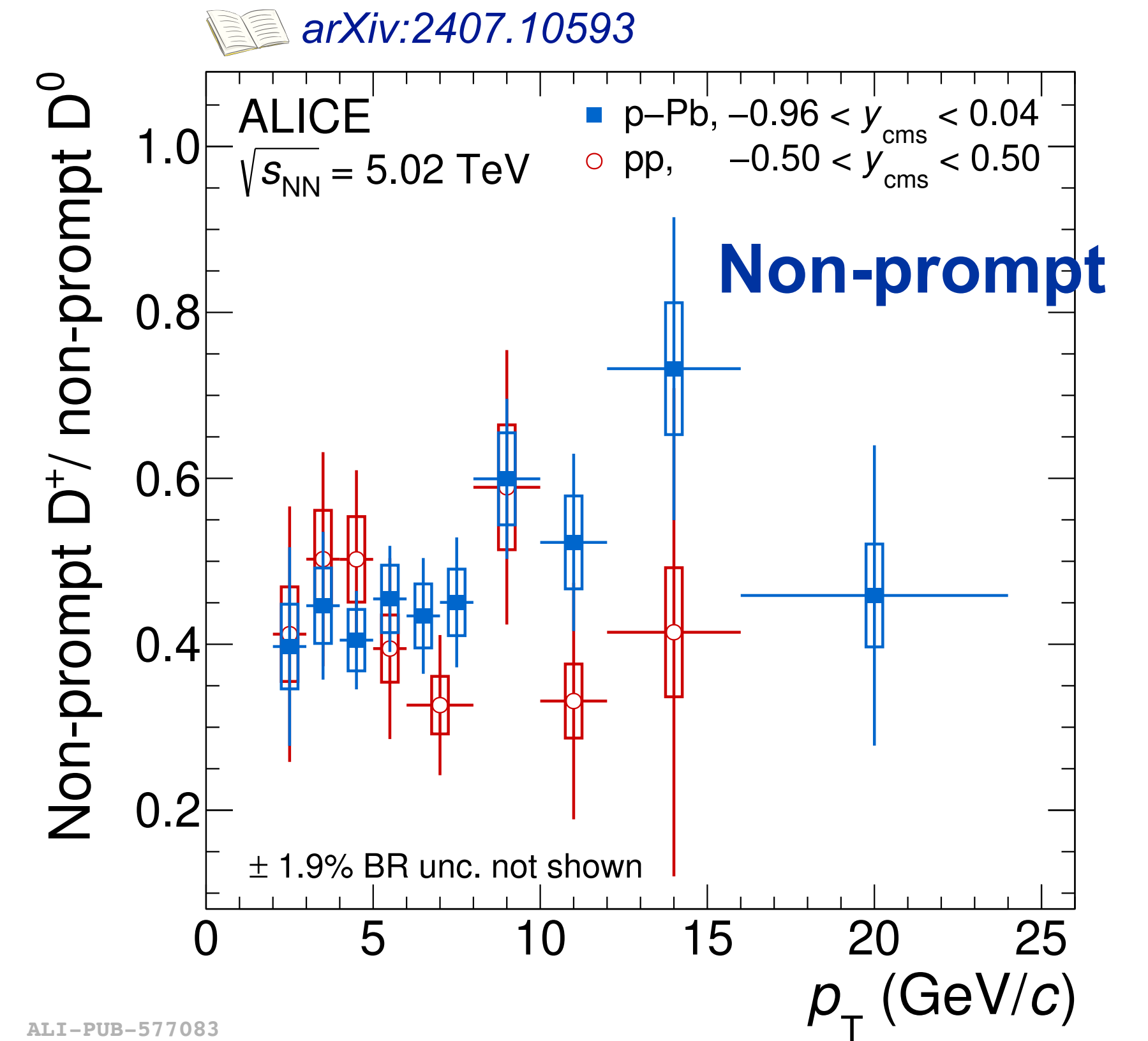
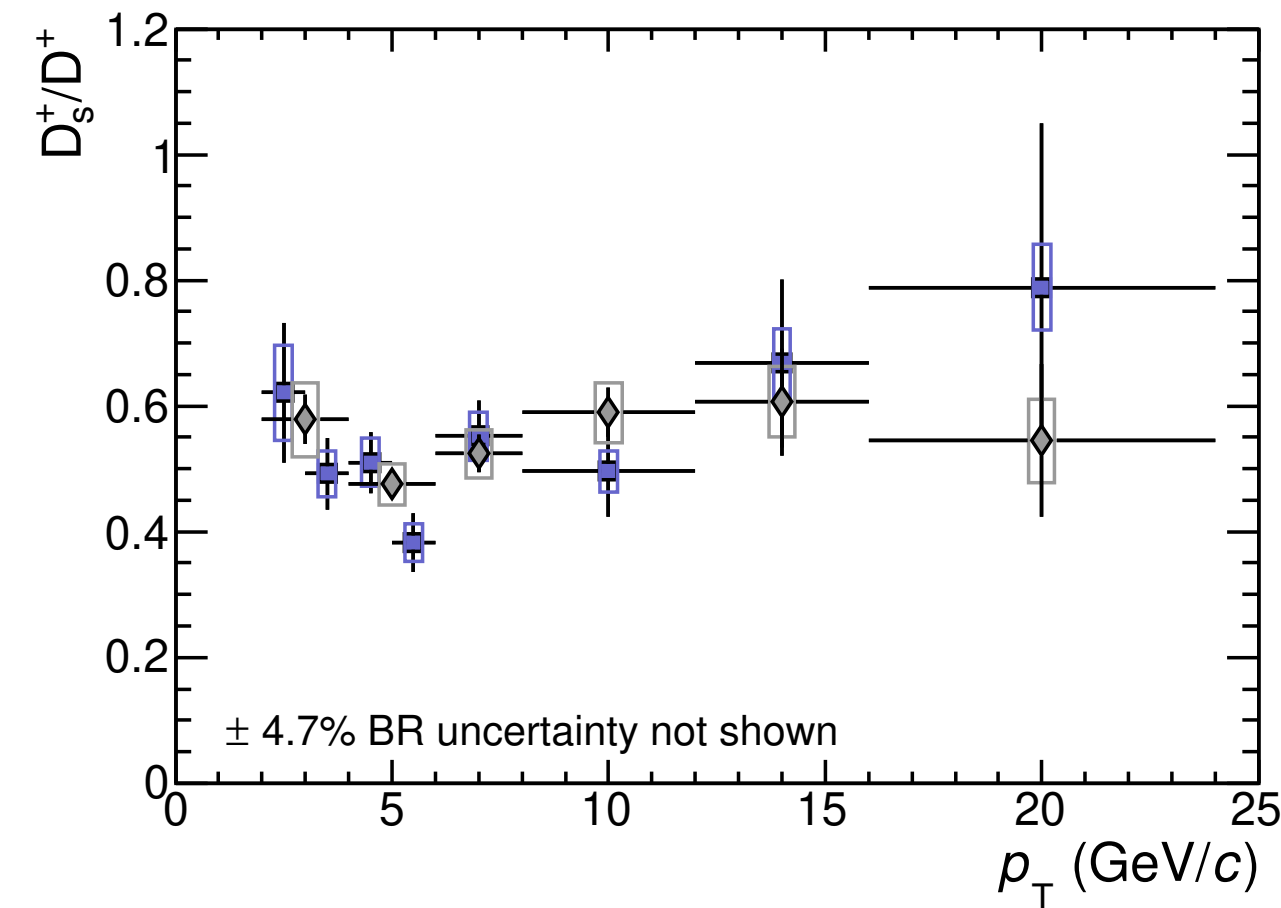
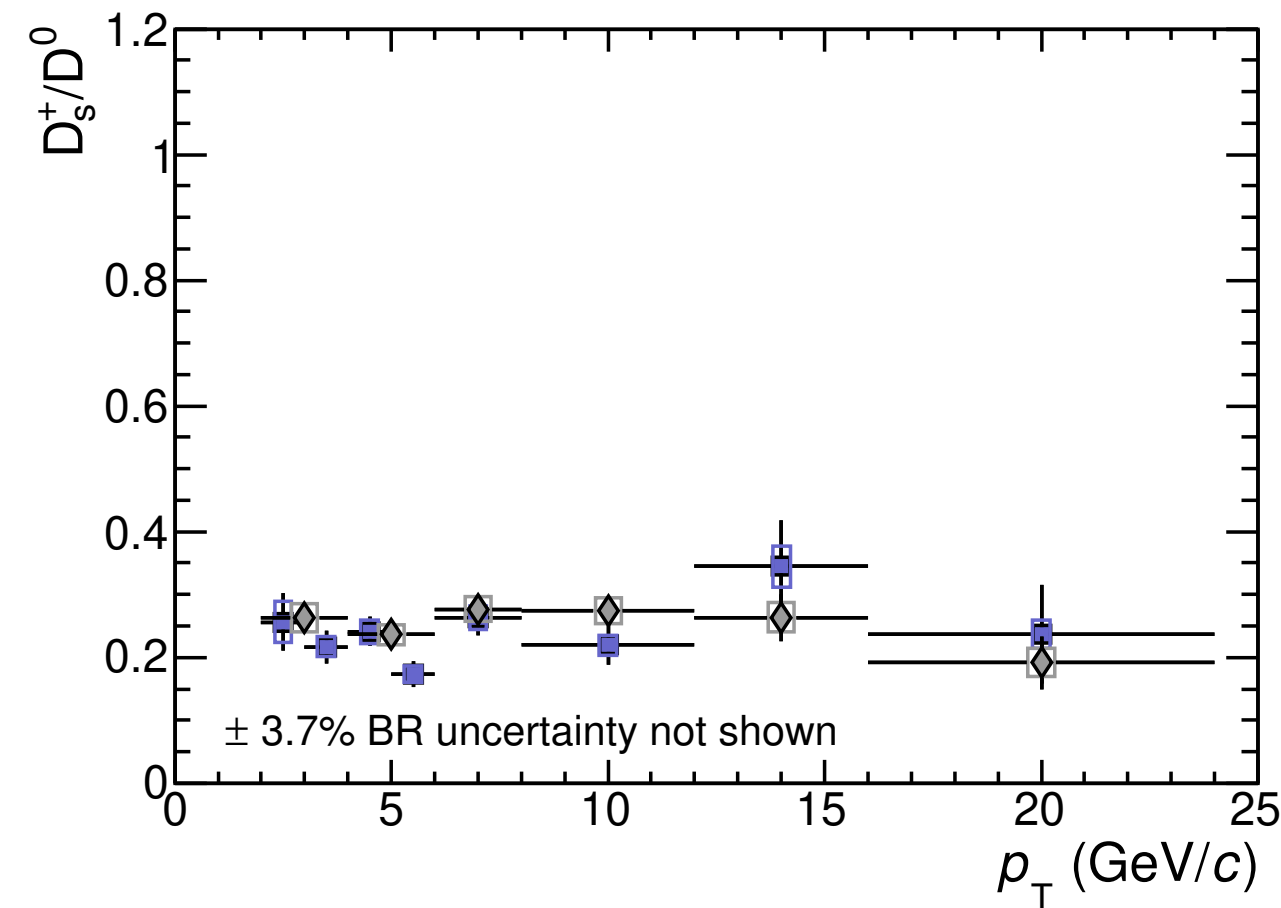
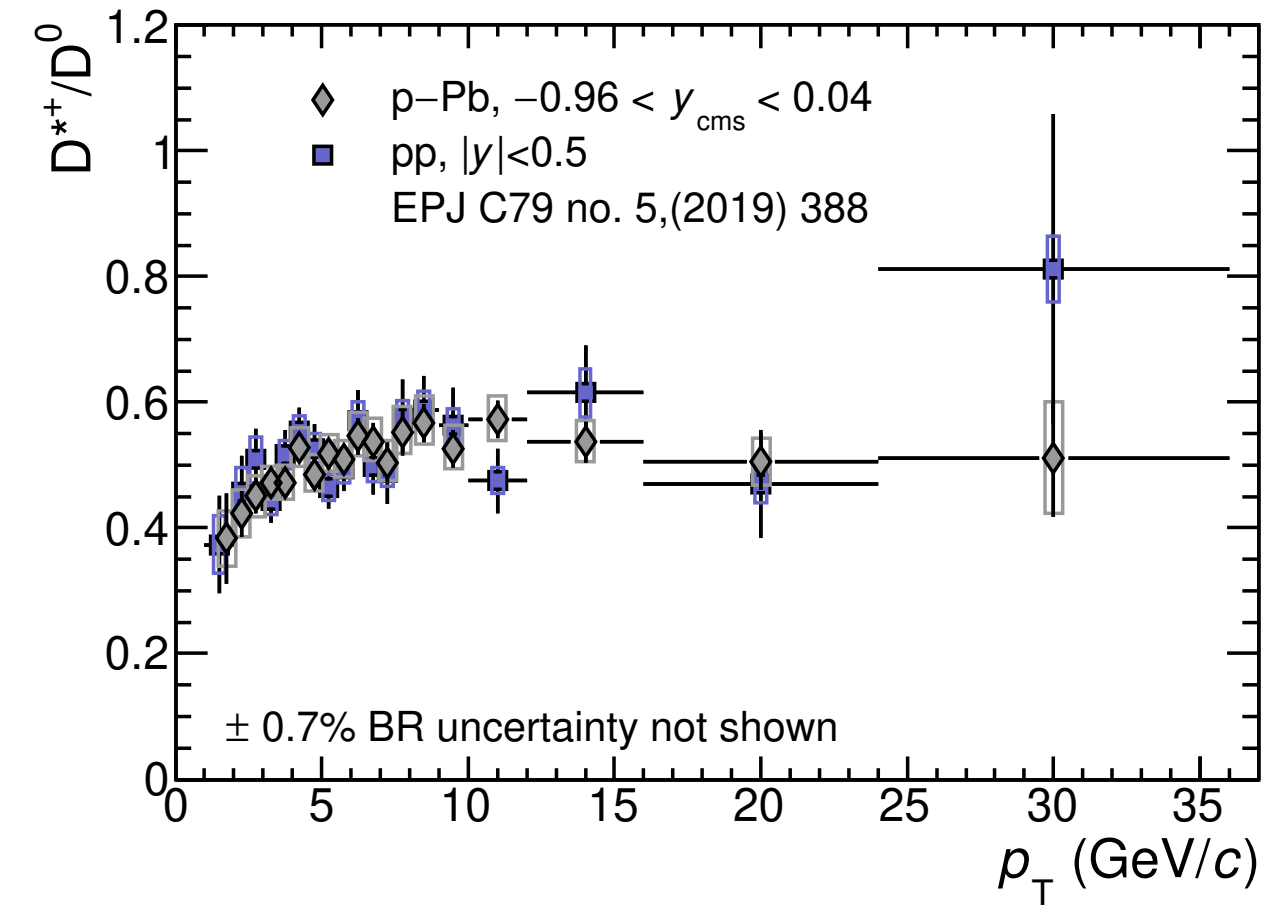
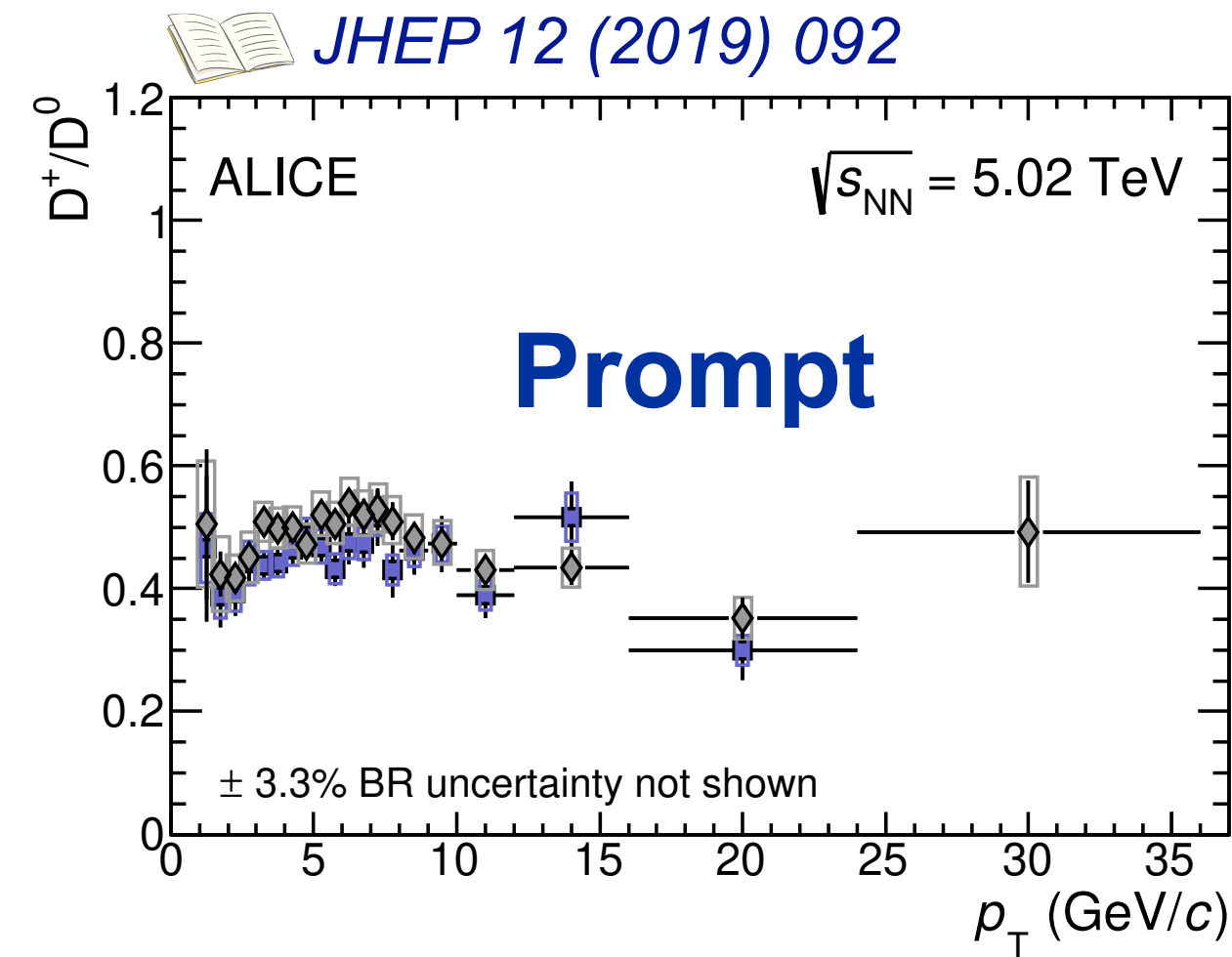
JHEP 01 (2022) 174

Eur.Phys.J.C 84 (2024) 813



- ▶ Constraint by R_{AA} and flow of D mesons
 - ▶ $1.5 < 2\pi D_s T_c < 4.5$ at $T_{pc} = 155$ MeV
 - ▶ $D_s \propto$ relaxation time
 - ▶ $\tau_{relax} = (3 - 9) \text{ fm}/c \lesssim \tau_{QGP}$
- ▶ Charm readily participates in the collective motion of the QGP after production

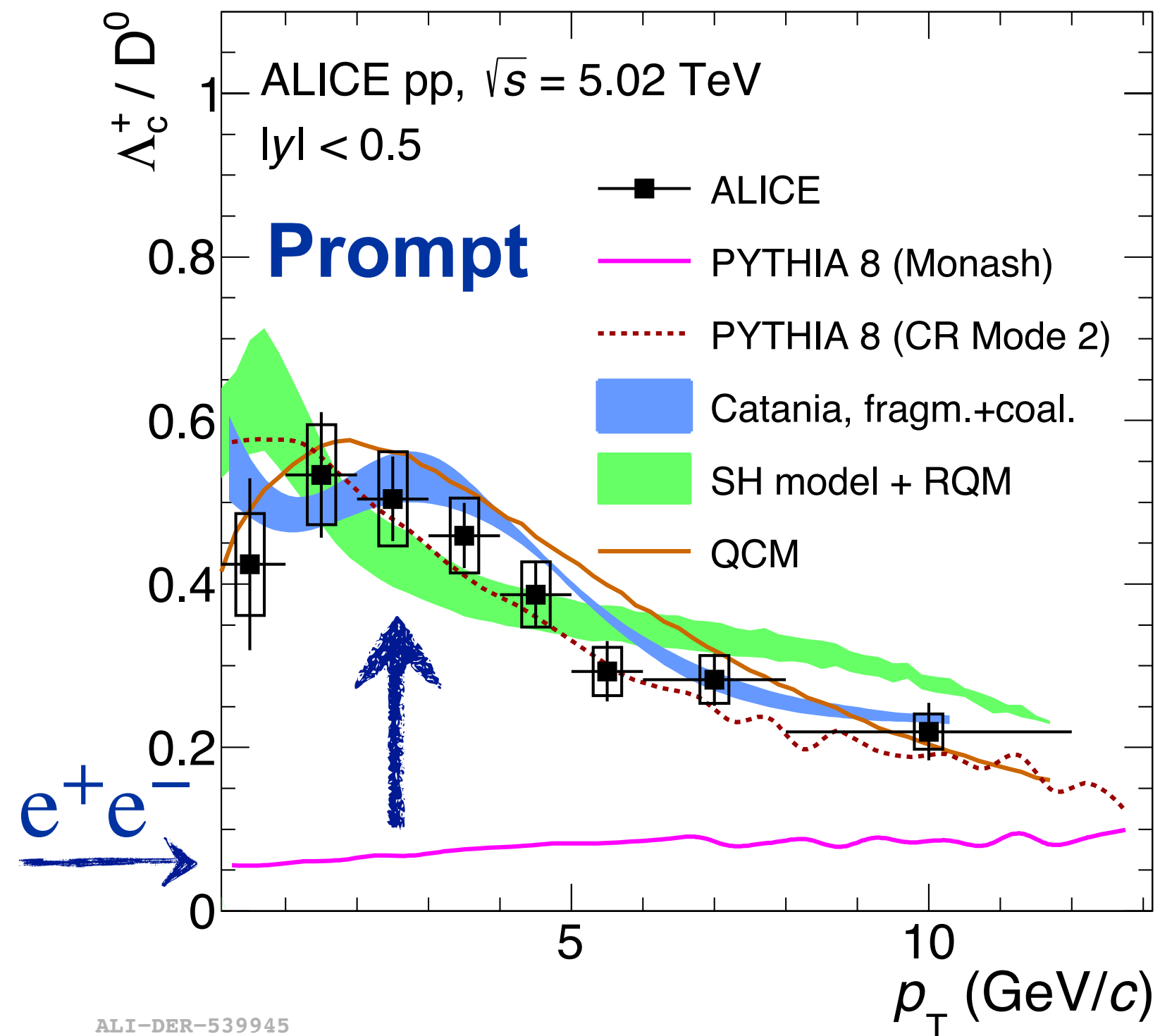
D-meson production in p–Pb collisions



- ▶ (Prompt D^+ or D_s^+) / (prompt D^0) in p–Pb is compatible with pp results
- ▶ (Non-prompt D^+) / (non-prompt D^0) in p–Pb is compatible with pp results

$\Lambda_c^+(udc)$ in pp collisions

Phys.Rev.C 107 (2023) 064901



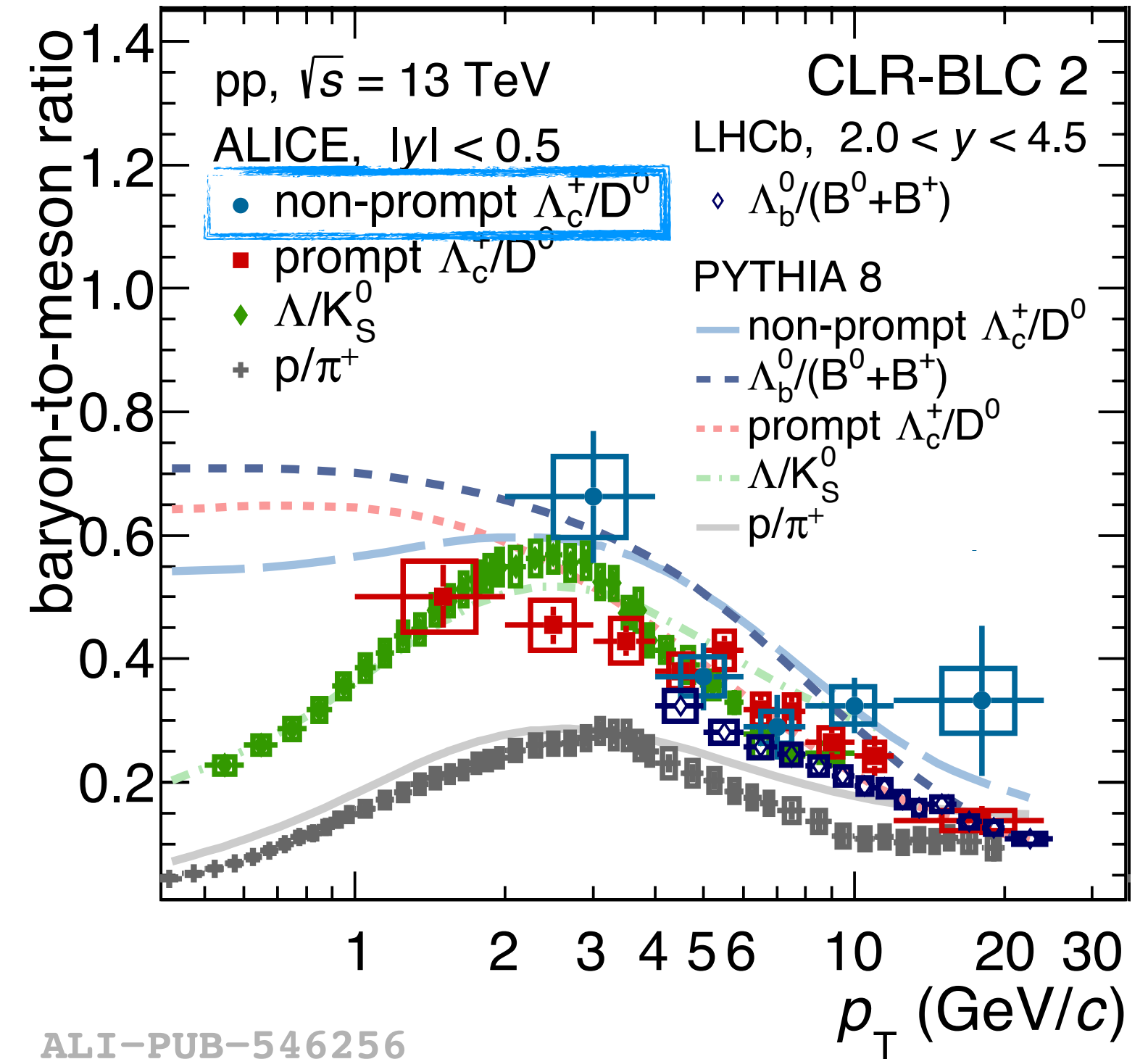
- [PYTHIA 8 Monash:](#)
Eur.Phys.J.C 74 (2014) 3024
- [PYTHIA 8 CR Mode:](#)
JHEP 08 (2015) 003
- [Catania:](#)
Phys.Lett.B 821 (2021) 136622
- [SHM:](#)
Phys.Lett.B 795 (2019) 117-121
- [RQM:](#)
Phys.Rev.D 84 (2011) 014025
- [QCM:](#)
Eur.Phys.J.C 78 (2018) 344

ALI-DER-539945

Prompt Λ_c^+/D^0 in pp collisions

- ▶ First measurement down to $p_T = 0$
- ▶ Well **described** by model calculations, except PYTHIA 8 Monash based on FFs from e^+e^- collisions

Phys.Rev.D 108 (2023) 112003



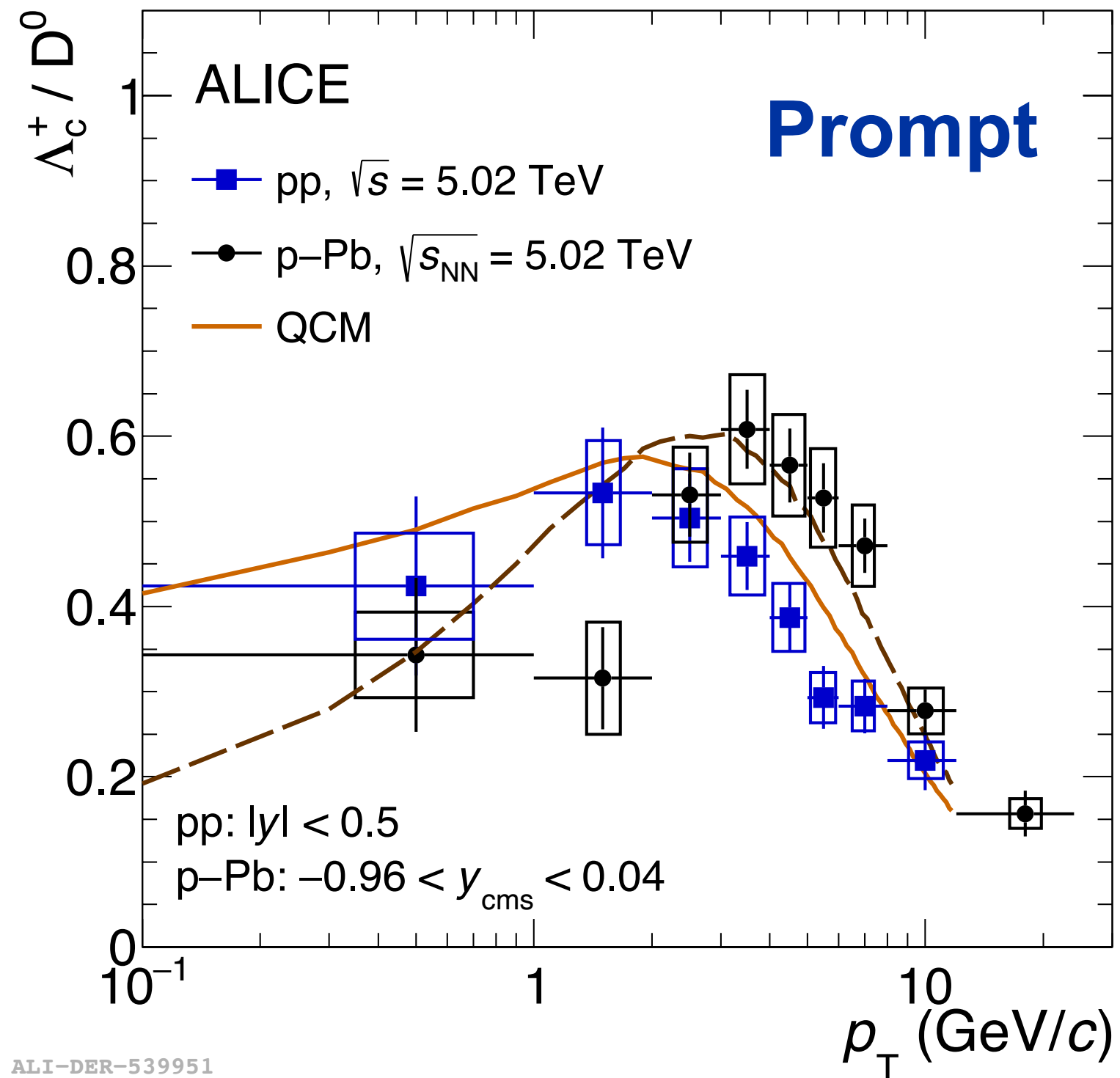
ALI-PUB-546256

Non-prompt Λ_c^+/D^0 in pp collisions

- ▶ First measurement of **non-prompt** Λ_c^+/D^0
- ▶ **Beauty**, **charm**, and **strange** hadrons show a similar p_T trend

$\Lambda_c^+(udc)$ in p–Pb collisions

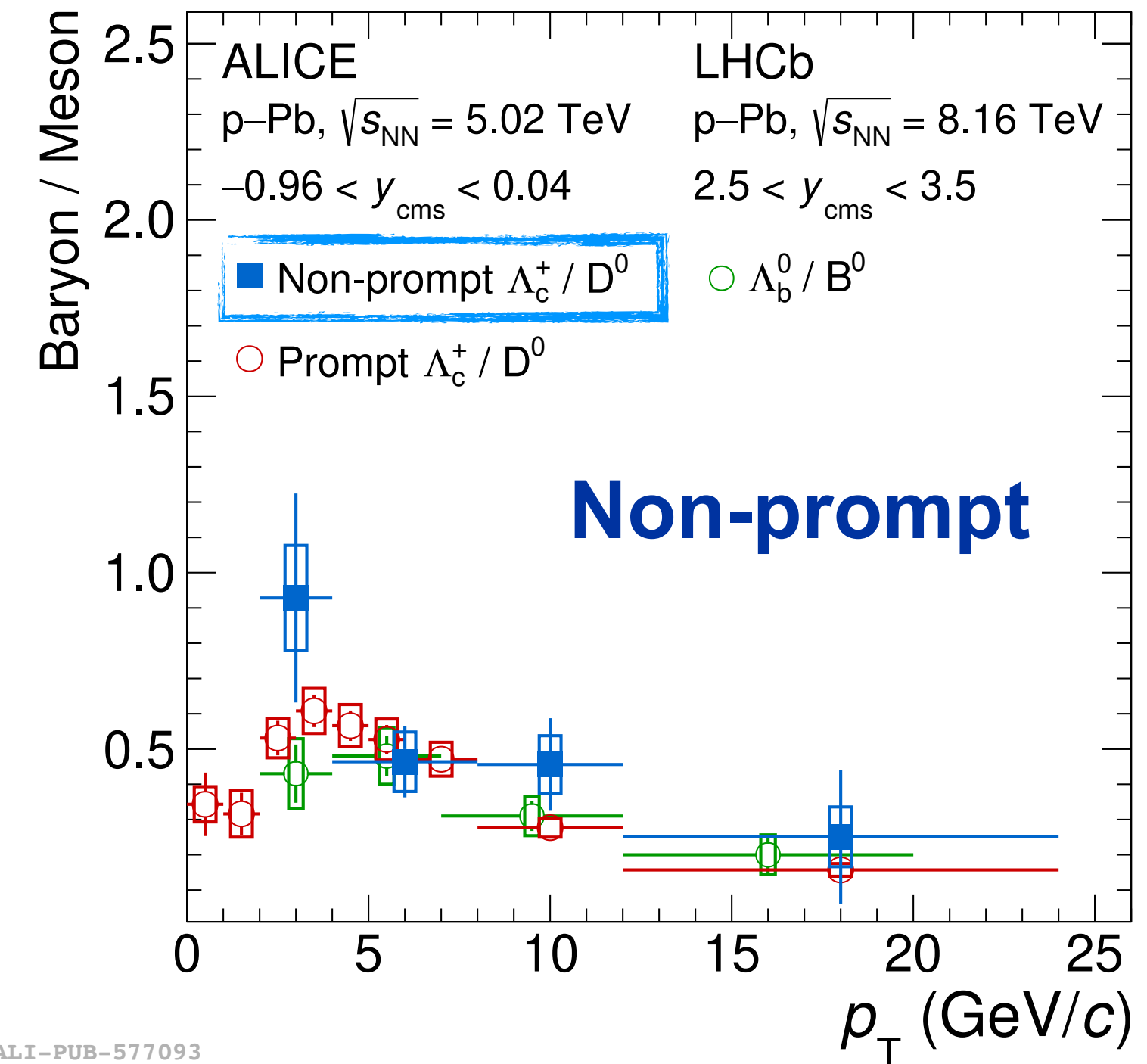
Phys.Rev.C 107 (2023) 064901



Prompt Λ_c^+ / D^0 in p–Pb collisions

- ▶ First measurement down to $p_T = 0$
- ▶ **Shift of peak** towards higher p_T could be due to quark recombination or collective effects (e.g. radial flow)
- ▶ Well **described** by quark (re)combination model (QCM)

arXiv:2407.10593



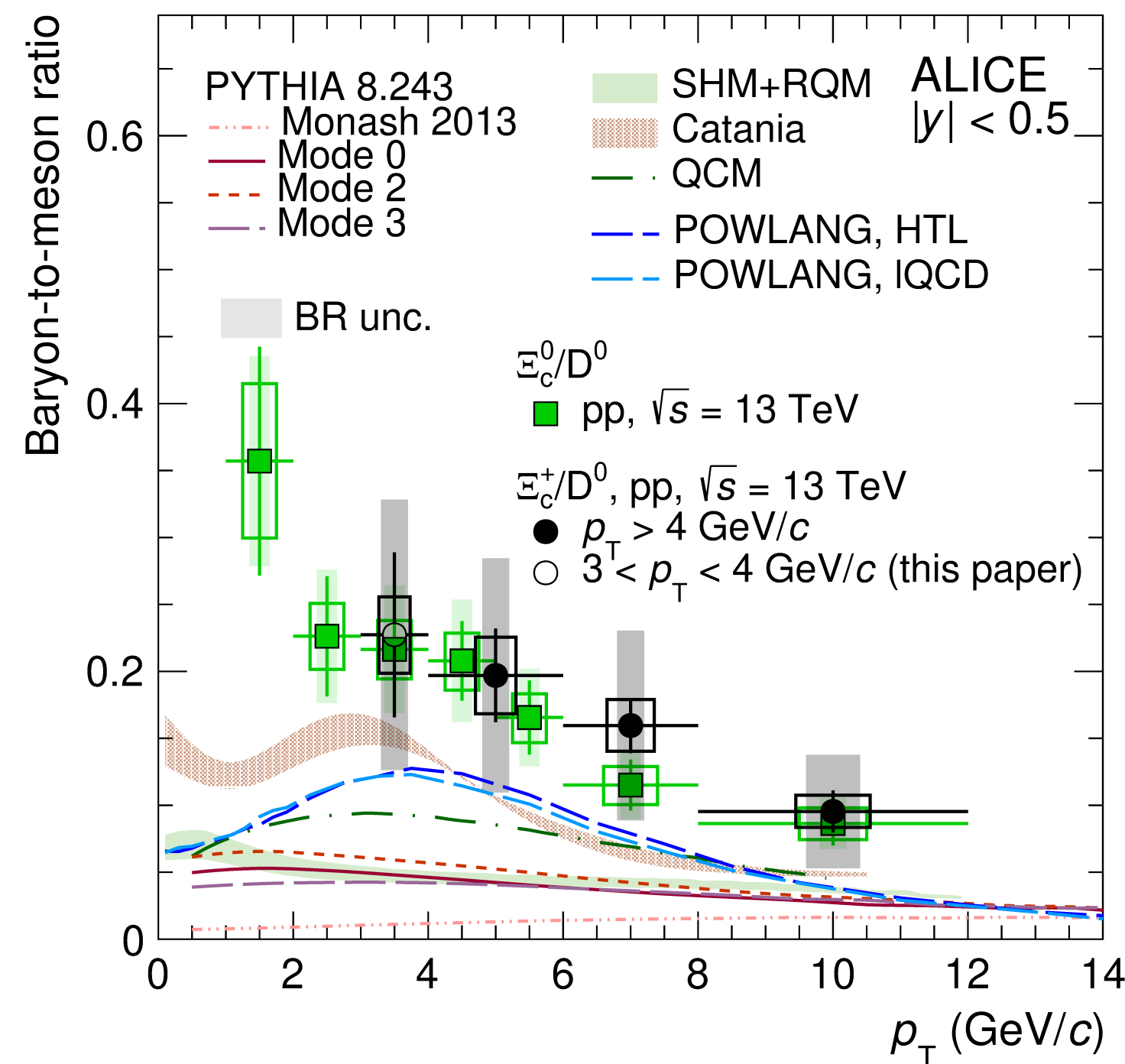
Non-prompt Λ_c^+ / D^0 in p–Pb collisions

- ▶ **Similarity** between prompt and non-prompt Λ_c^+ / D^0 within uncertainties

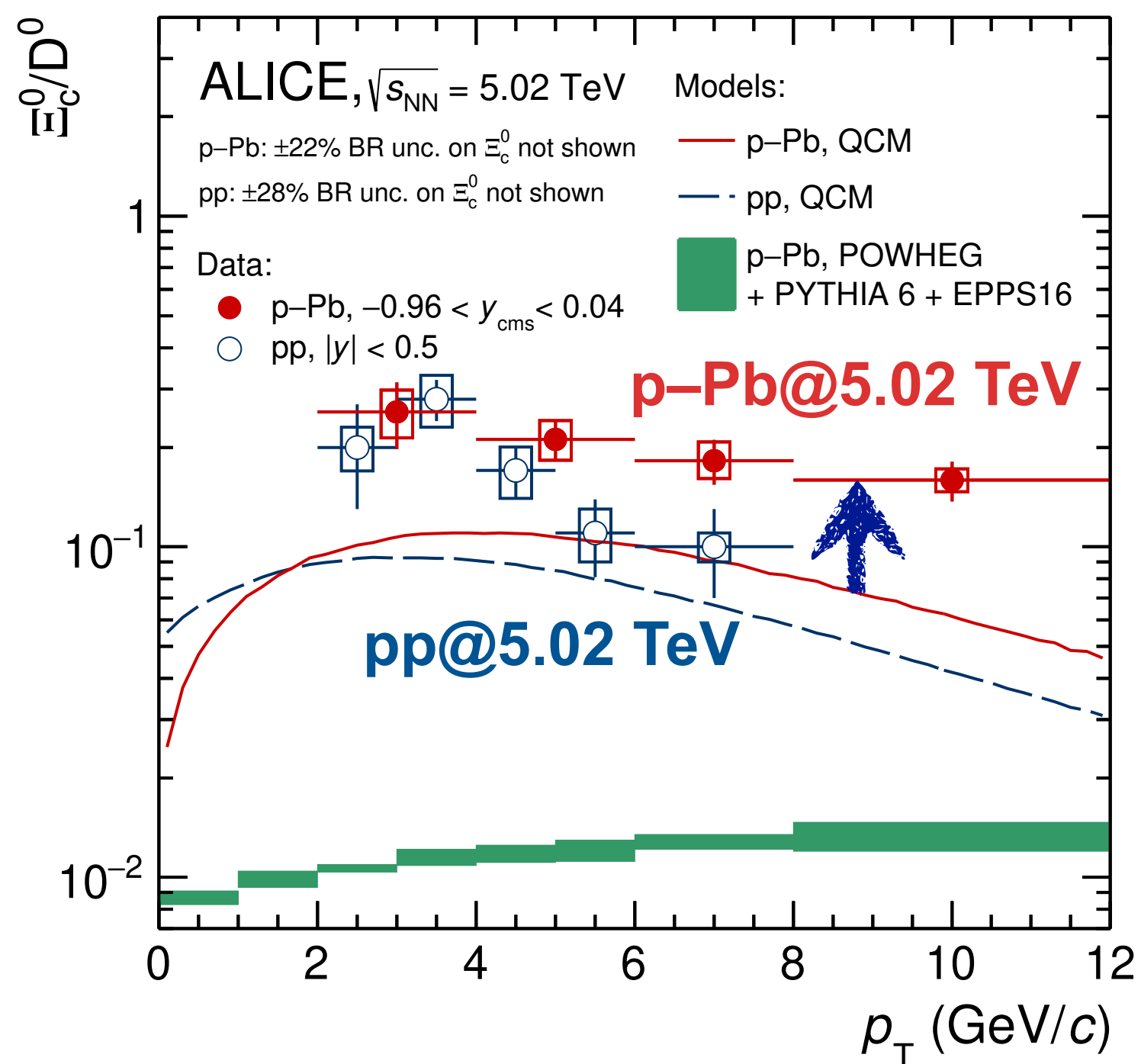
$\Xi_c^0(\text{dsc})$ and $\Xi_c^+(\text{usc})$ in pp and p-Pb collisions

JHEP 12 (2023) 086

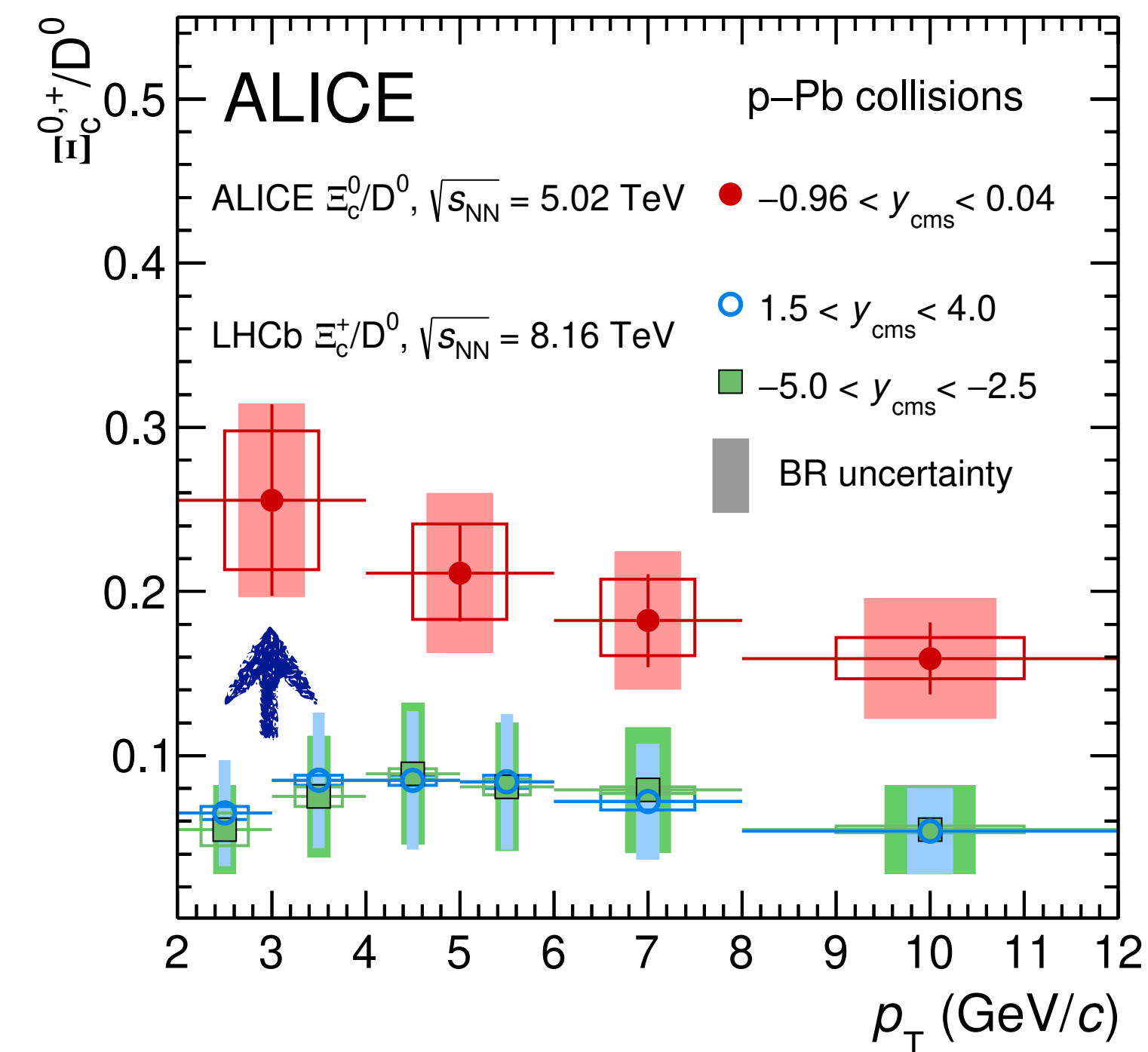
arXiv:2405.14538



ALI-PUB-567881



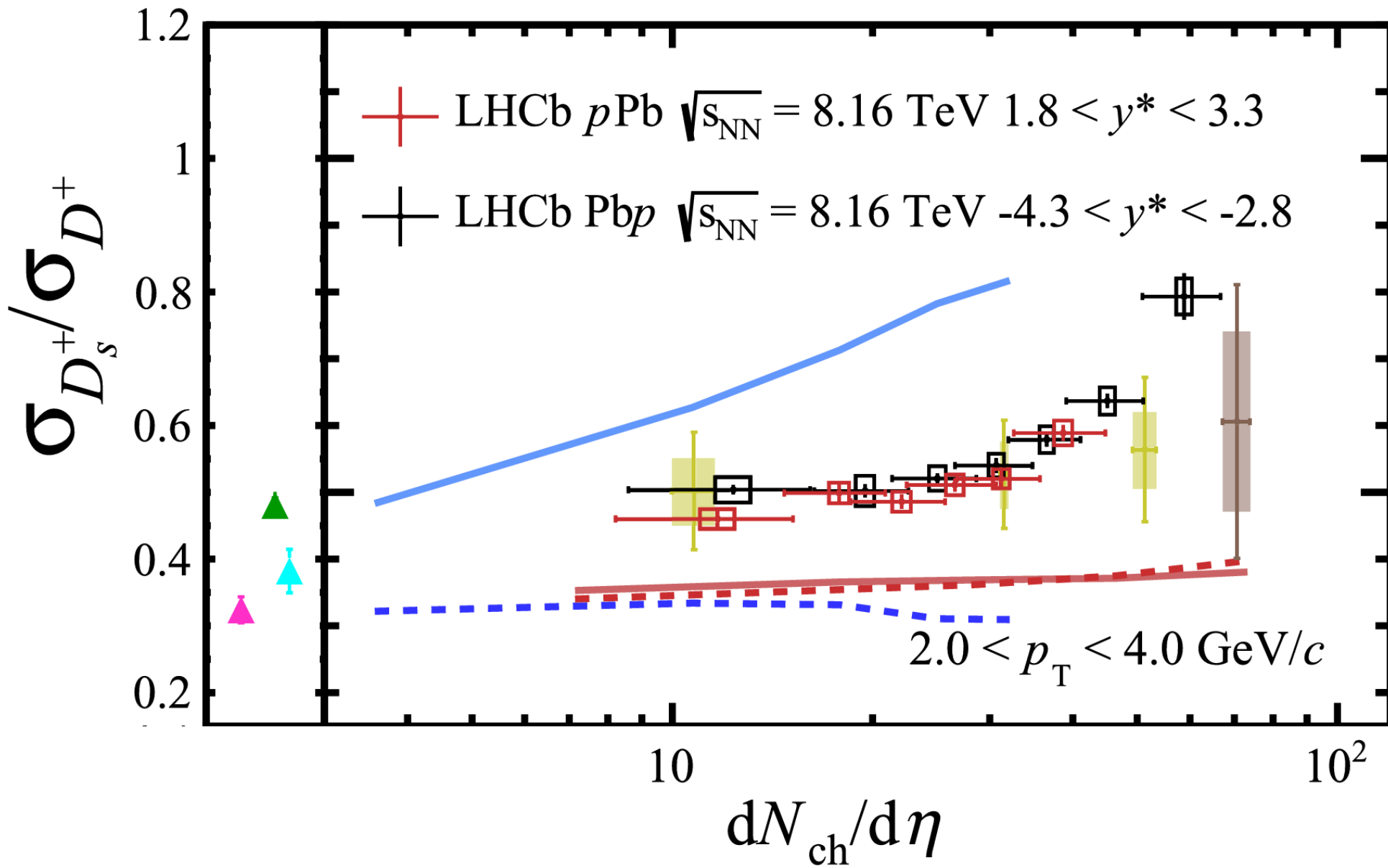
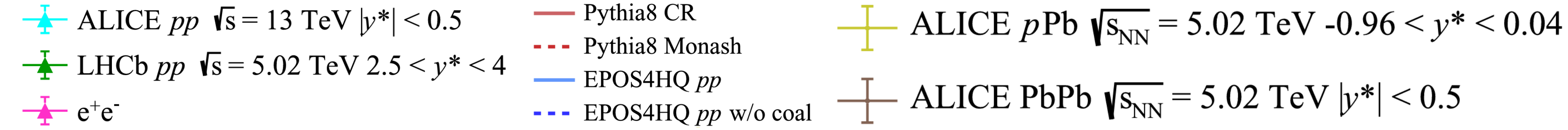
ALI-PUB-571011



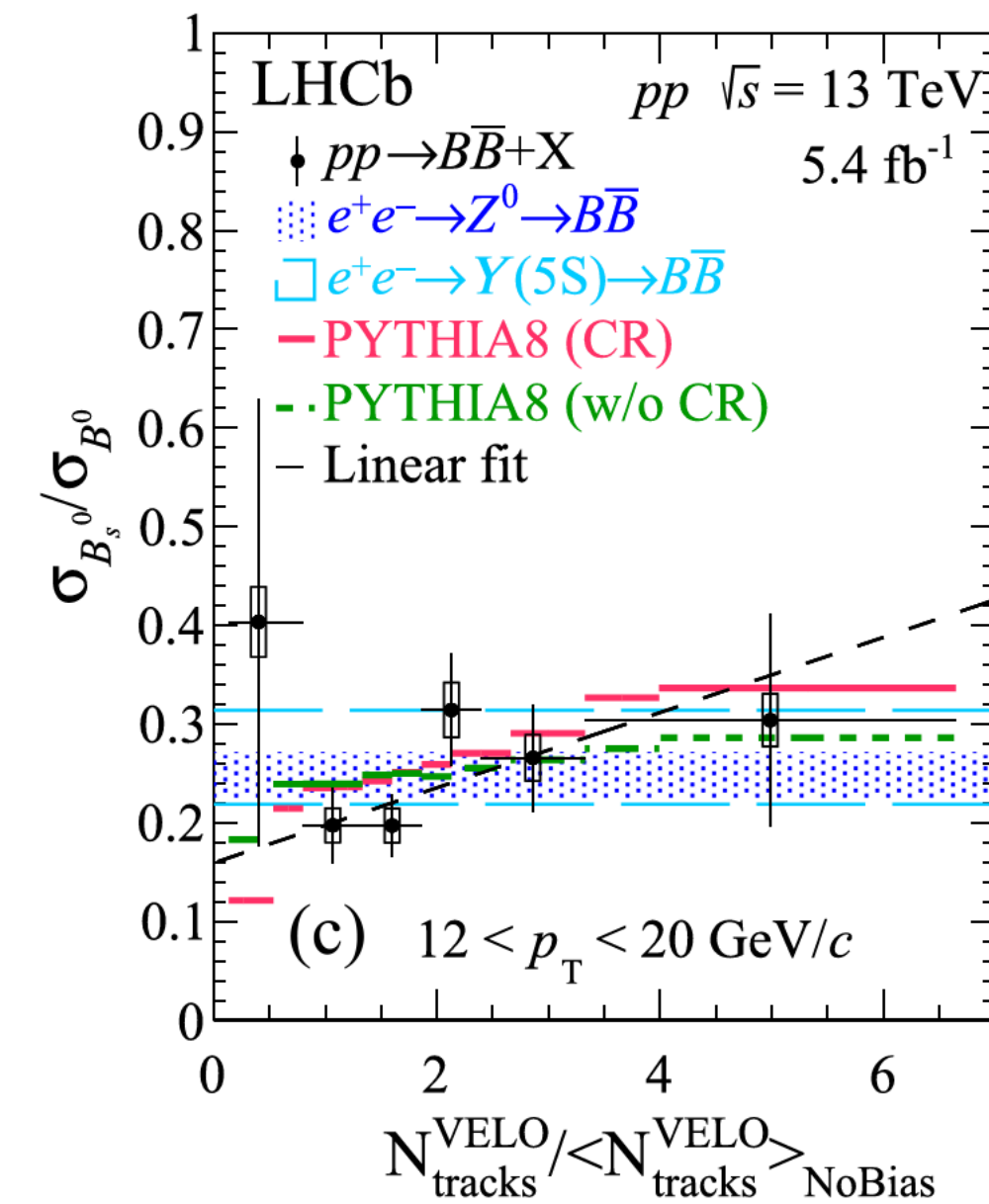
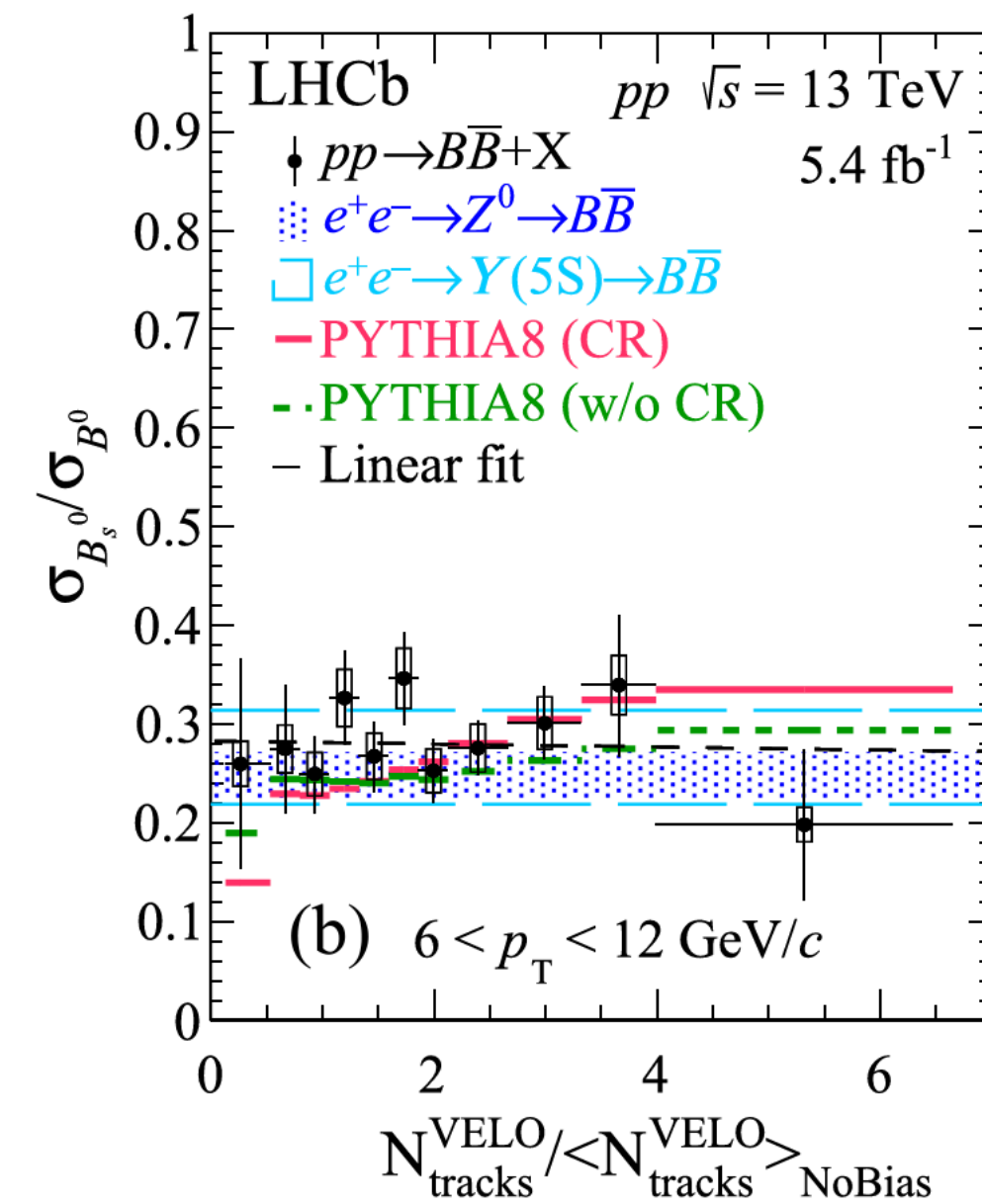
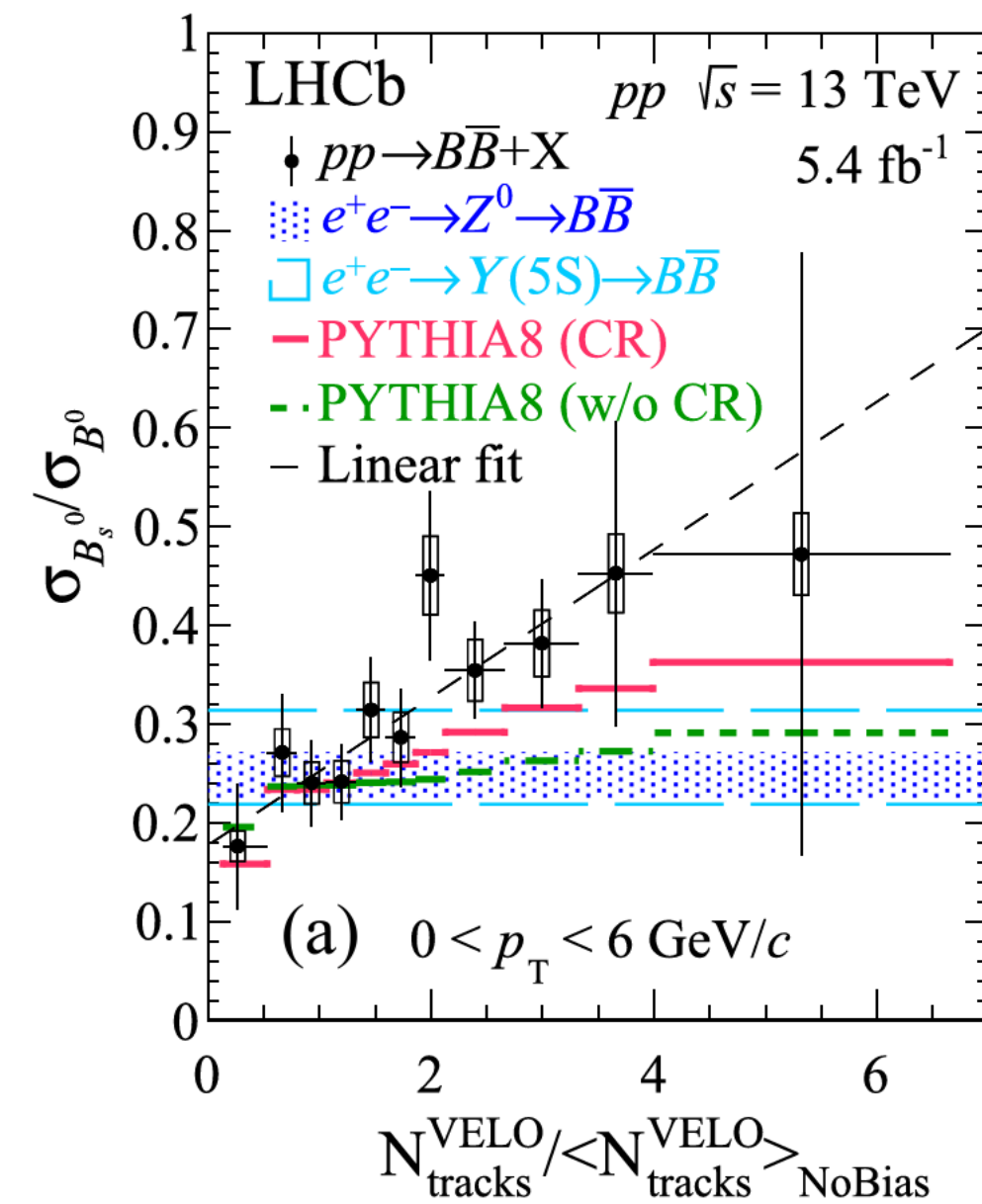
ALI-PUB-571019

- ▶ **Hint of enhancement** at high p_T in p-Pb w.r.t. pp collisions
- ▶ **Underestimated** by QCM for both pp and p-Pb collisions
- ▶ LHCb results systematically less than ALICE measurements -> rapidity dependence?

M-to-M event multiplicity dependence (LHCb)



Phys. Rev. Lett. 131 (2023) 061901

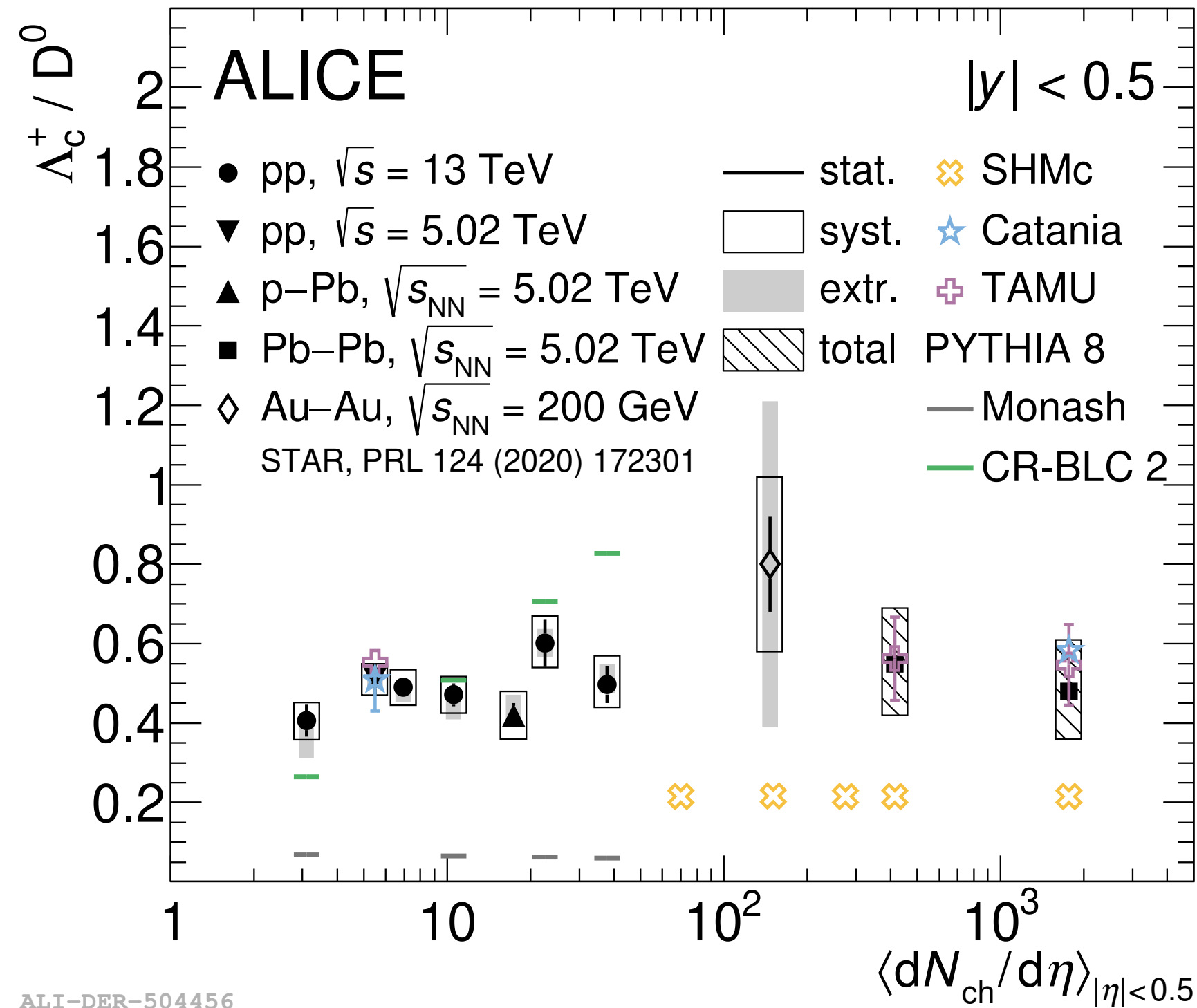


Phys. Rev. D 110 (2024) L031105

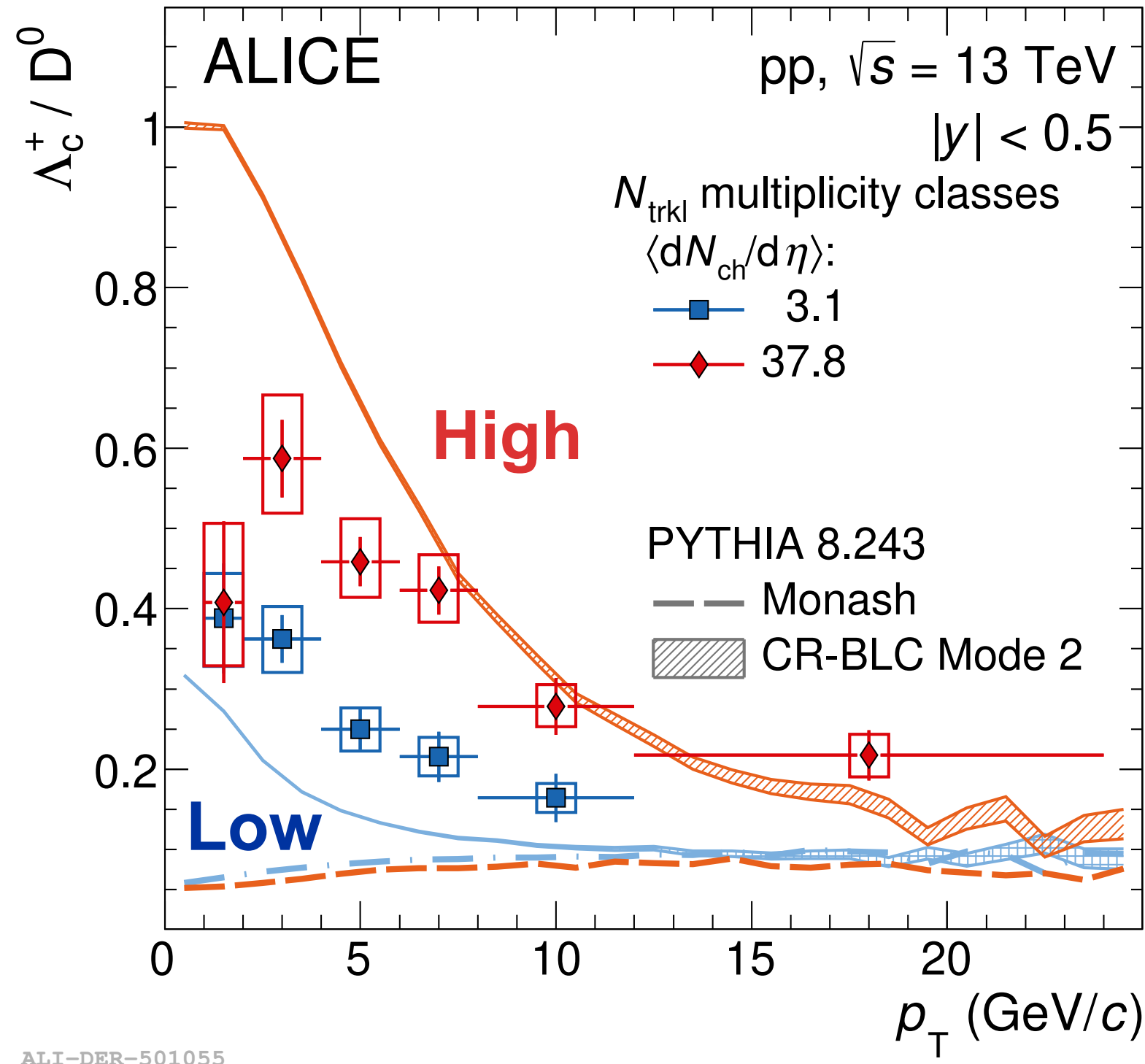
- ▶ Observed clear indications of strangeness enhancement in both **charm** and **beauty** sectors
- ▶ Final state effects such as coalescence are important at low p_T and high multiplicity

B-to-M event multiplicity dependence (ALICE)

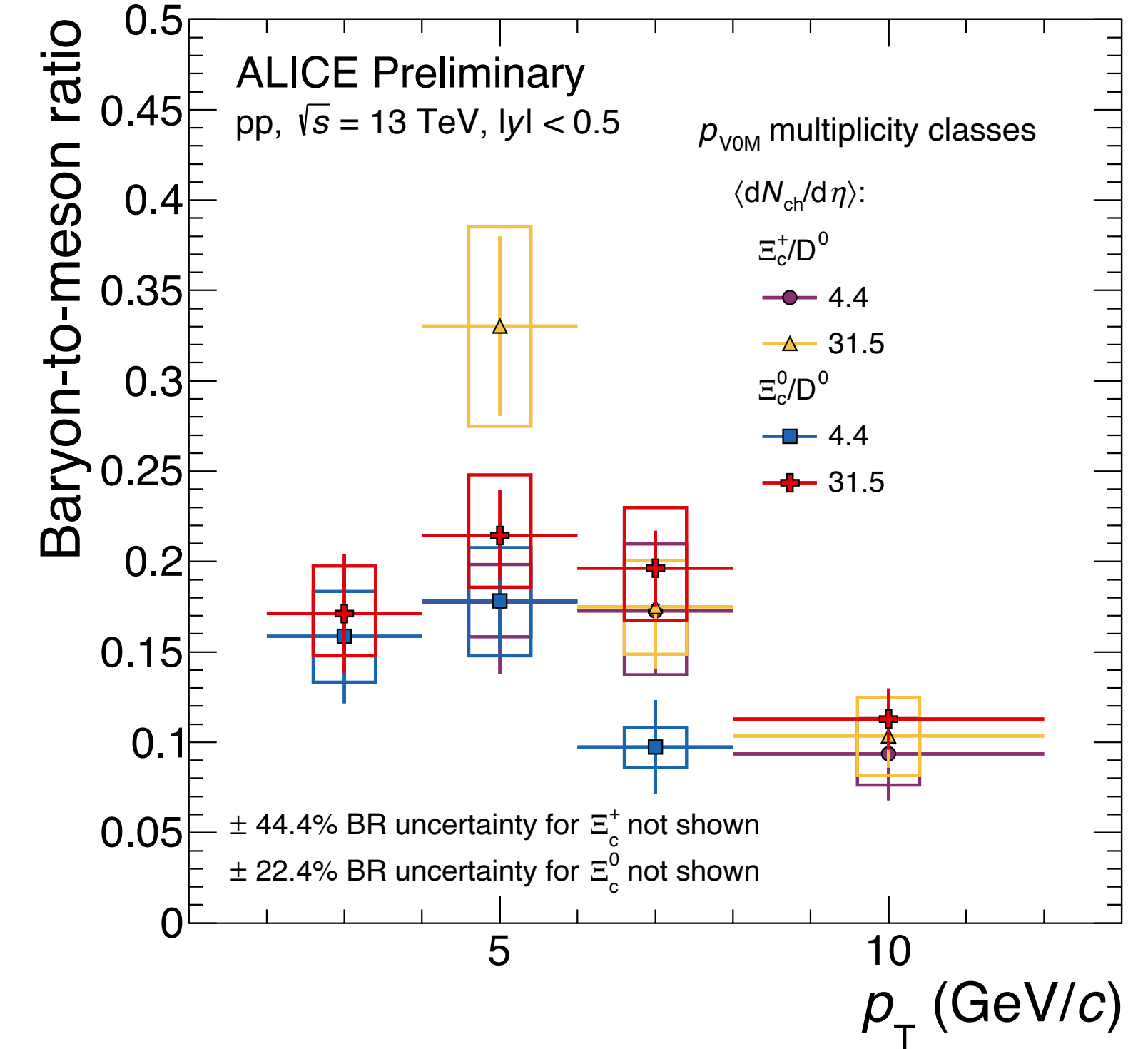
Phys.Lett.B 829 (2022) 137065



ALI-DER-504456



ALI-DER-501055



ALI-PREL-548915

p_T -integrated Λ_c^+/D^0 vs. multiplicity

- ▶ No modification of overall production, difference between collision systems is due to momentum redistribution

Λ_c^+/D^0 vs. p_T in different multiplicity

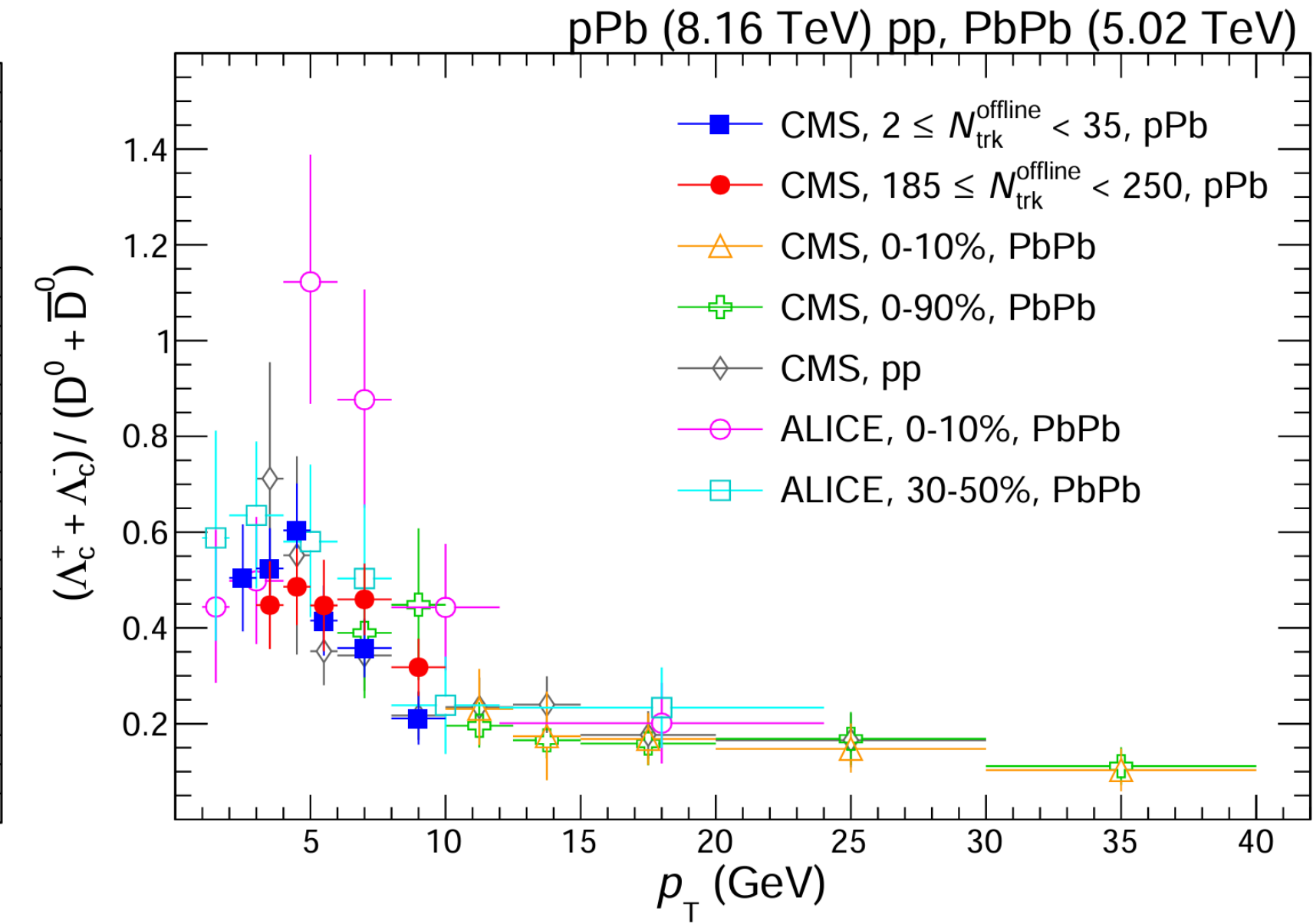
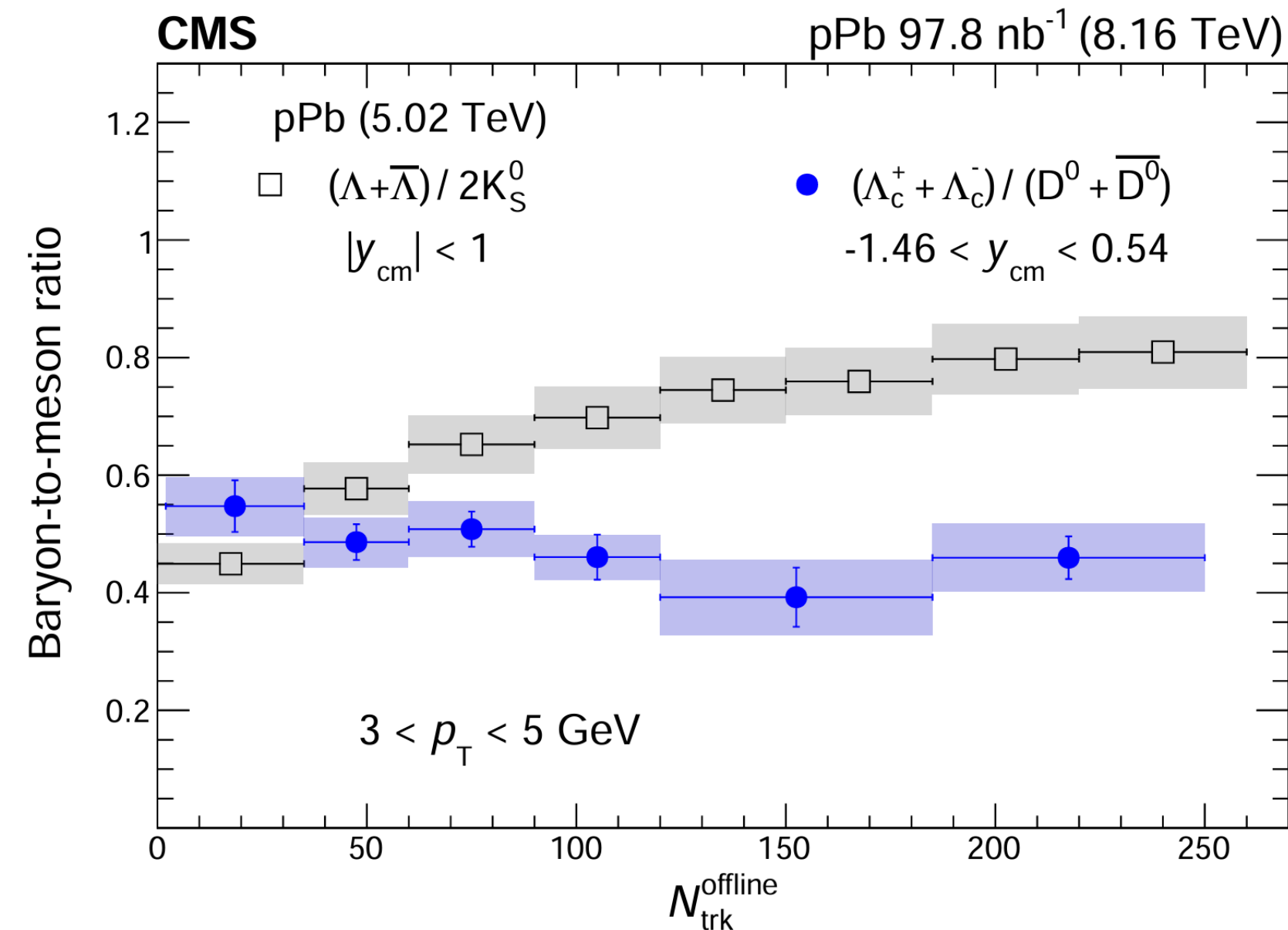
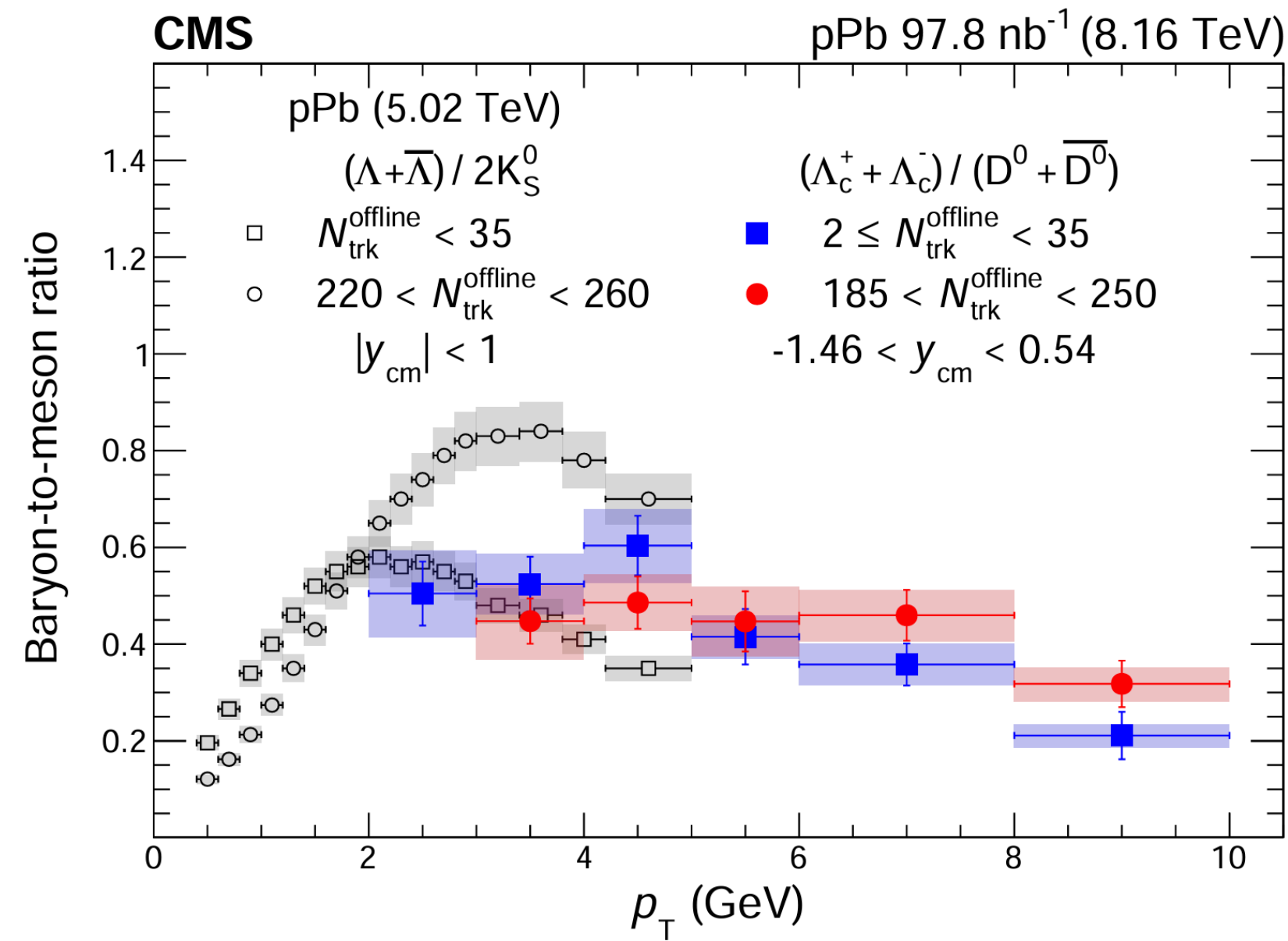
- ▶ Multiplicity-dependent enhancement with 5.3σ from lowest to highest multiplicity

$\Xi_c^{0,+}/D^0$ vs. p_T in different multiplicity

- ▶ No significant multiplicity dependence as a function of p_T within uncertainties

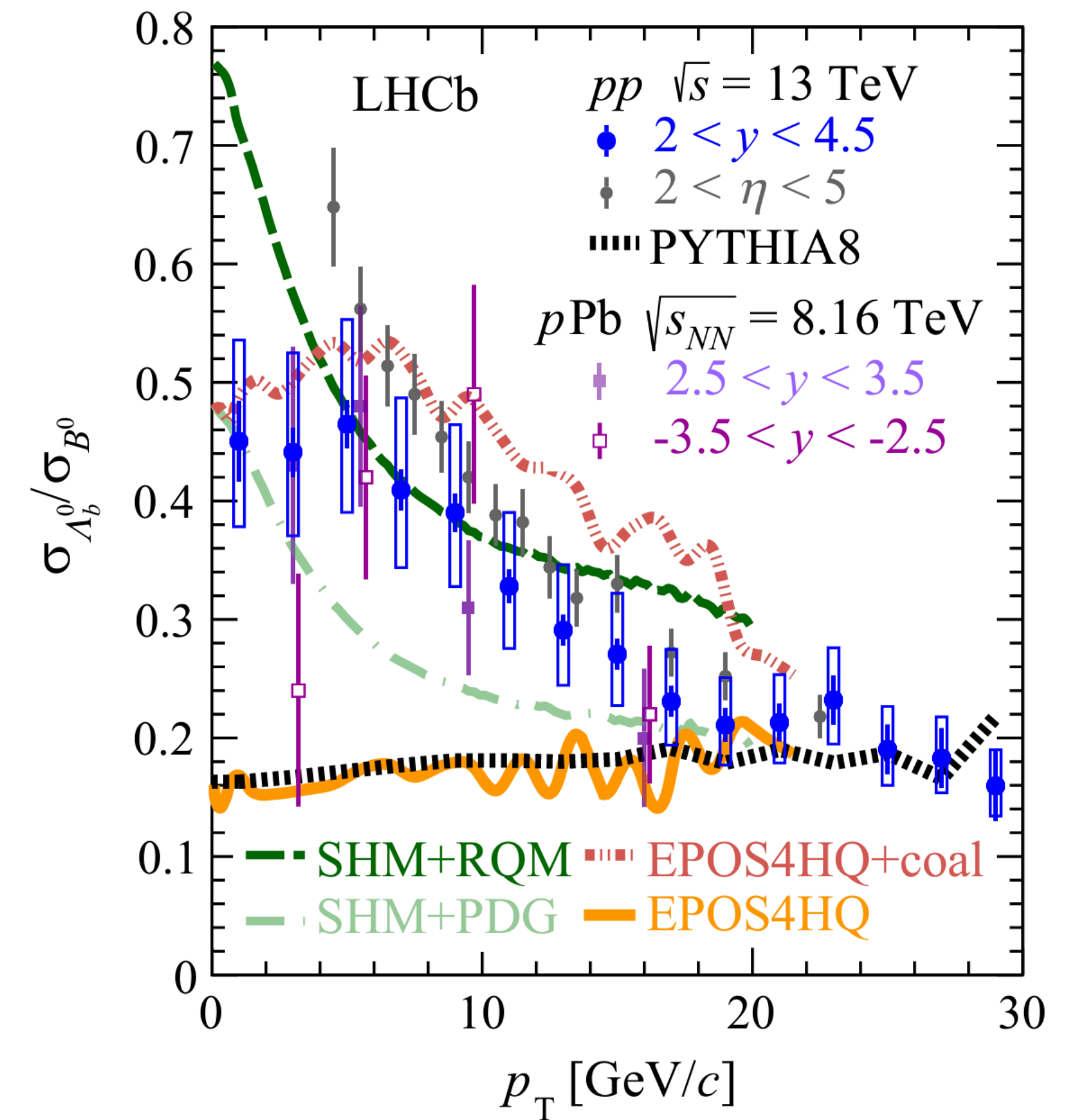
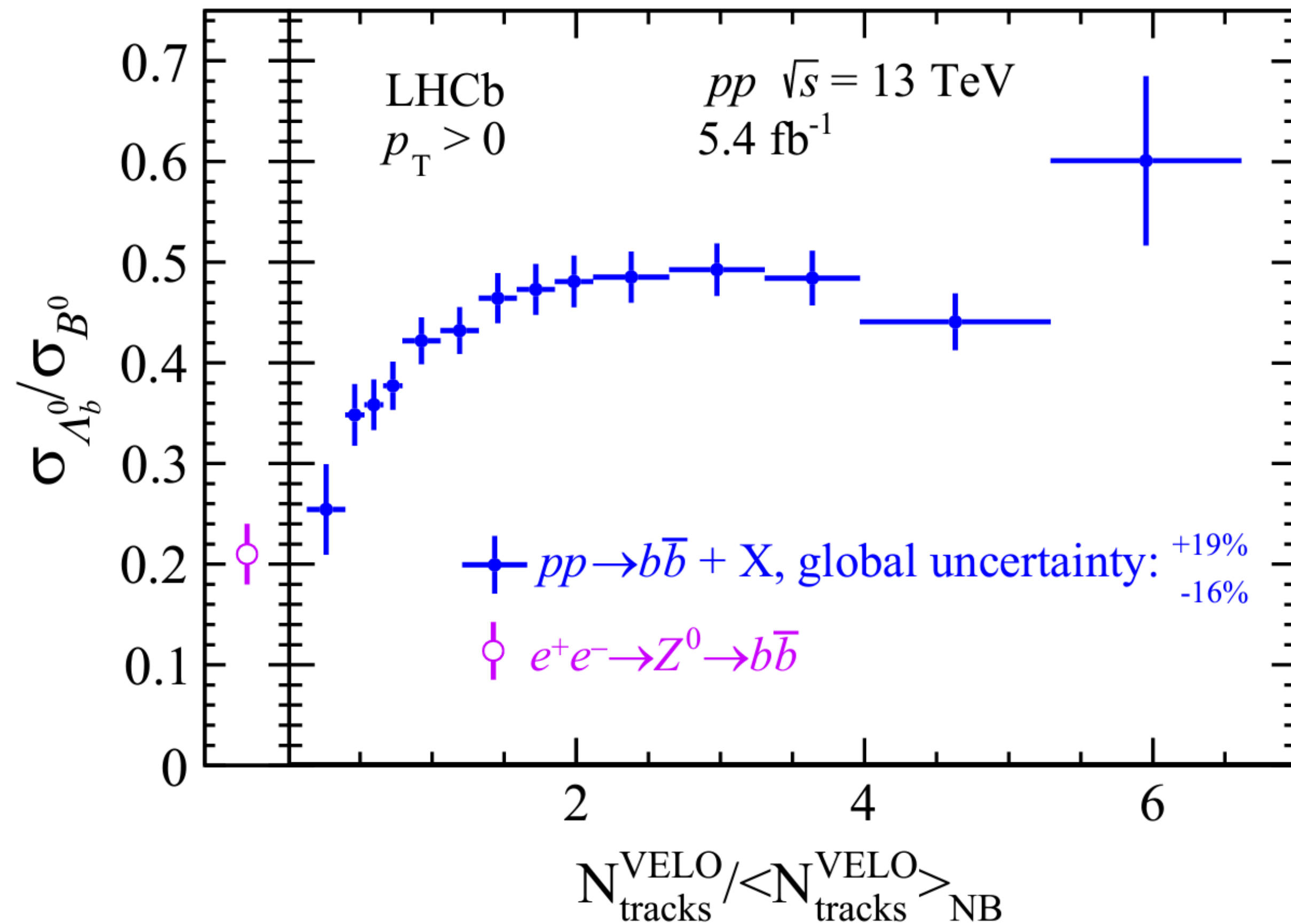
B-to-M event multiplicity dependence (CMS)

 arXiv:2407.13615



B-to-M event multiplicity dependence (LHCb)

 Phys.Rev.Lett. 132 (2024) 081901



Charm-hadron reconstruction

Hadronic decays

- ▶ $D^0(\bar{u}c) \rightarrow K^- \pi^+$, BR $\approx 3.95\%$
- ▶ $D^+(\bar{d}c) \rightarrow K^- \pi^+ \pi^+$, BR $\approx 9.38\%$
- ▶ $D^{*+}(\bar{d}c) \rightarrow D^0 \pi^+$, BR $\approx 67.7\%$
- ▶ $D_s^+(\bar{s}c) \rightarrow \phi \pi^+ \rightarrow K^+ K^- \pi^+$, BR $\approx 2.22\%$
- ▶ $D_{s1}^+(\bar{s}c) \rightarrow D^{*+} K_s^0$, BR unknown
- ▶ $D_{s2}^{*+}(\bar{s}c) \rightarrow D^+ K_s^0$, BR unknown
- ▶ $\Lambda_c^+(udc) \rightarrow p K^- \pi^+$, BR $\approx 6.28\%$
- ▶ $\Lambda_c^+(udc) \rightarrow p K_s^0$, BR $\approx 1.59\%$
- ▶ $\Sigma_c^0(ddc) \rightarrow \Lambda_c^+ \pi^-$, BR $\approx 100\%$
- ▶ $\Sigma_c^{++}(uuc) \rightarrow \Lambda_c^+ \pi^+$, BR $\approx 100\%$
- ▶ $\Xi_c^+(usc) \rightarrow \Xi^- \pi^+ \pi^+$, BR $\approx 2.9\%$
- ▶ $\Xi_c^0(dsc) \rightarrow \Xi^- \pi^+$, BR $\approx 1.43\%$
- ▶ $\Omega_c^0(ssc) \rightarrow \Omega^- \pi^+$, BR unknown

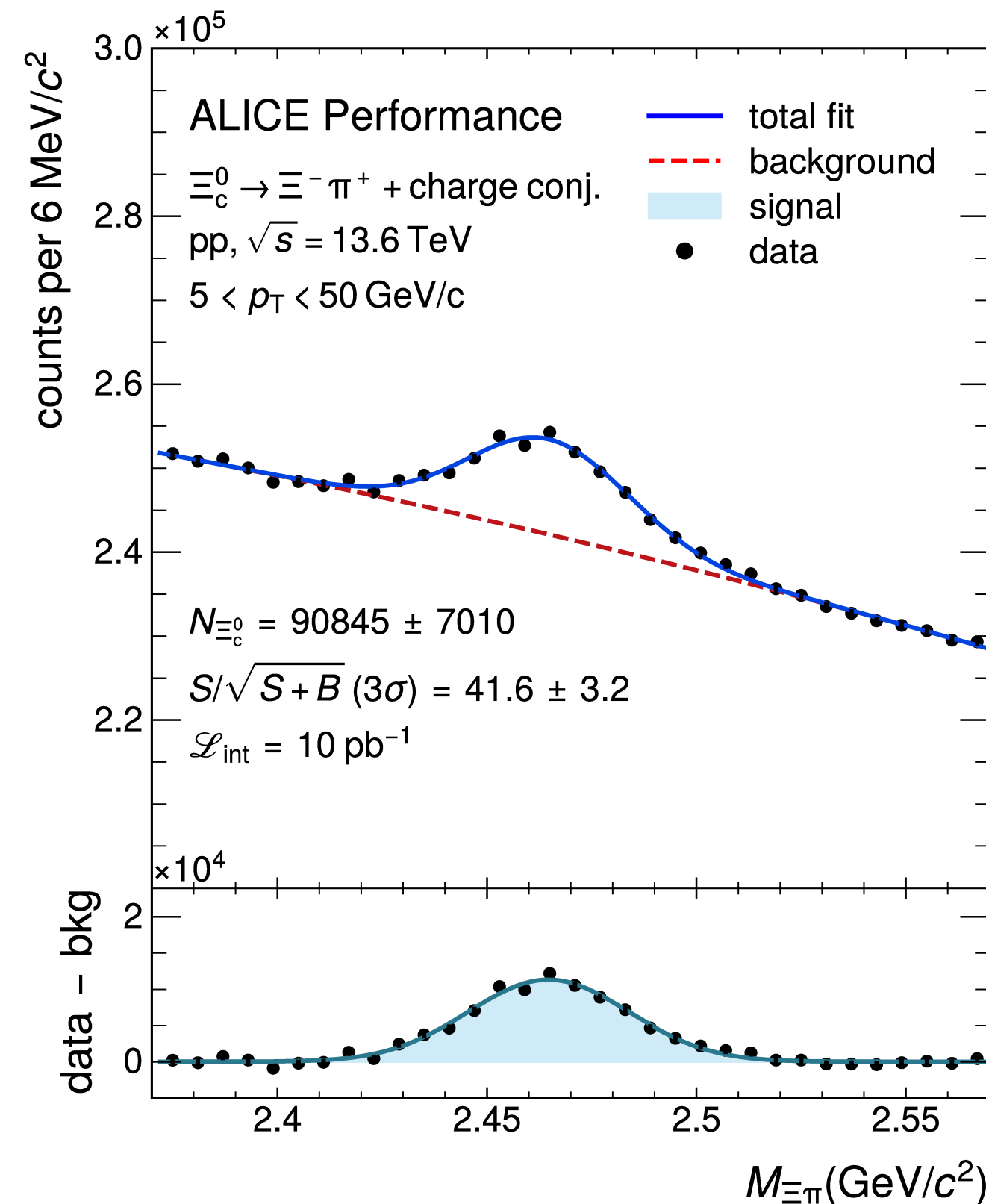
Semileptonic decays

- ▶ $\Lambda_c^+(udc) \rightarrow \Lambda e^+ \nu_e$, BR $\approx 3.6\%$
- ▶ $\Xi_c^0(dsc) \rightarrow \Xi^- e^+ \nu_e$, BR $\approx 1.04\%$
- ▶ $\Omega_c^0(ssc) \rightarrow \Omega^- e^+ \nu_e$, BR unknown

Charge conjugates are included

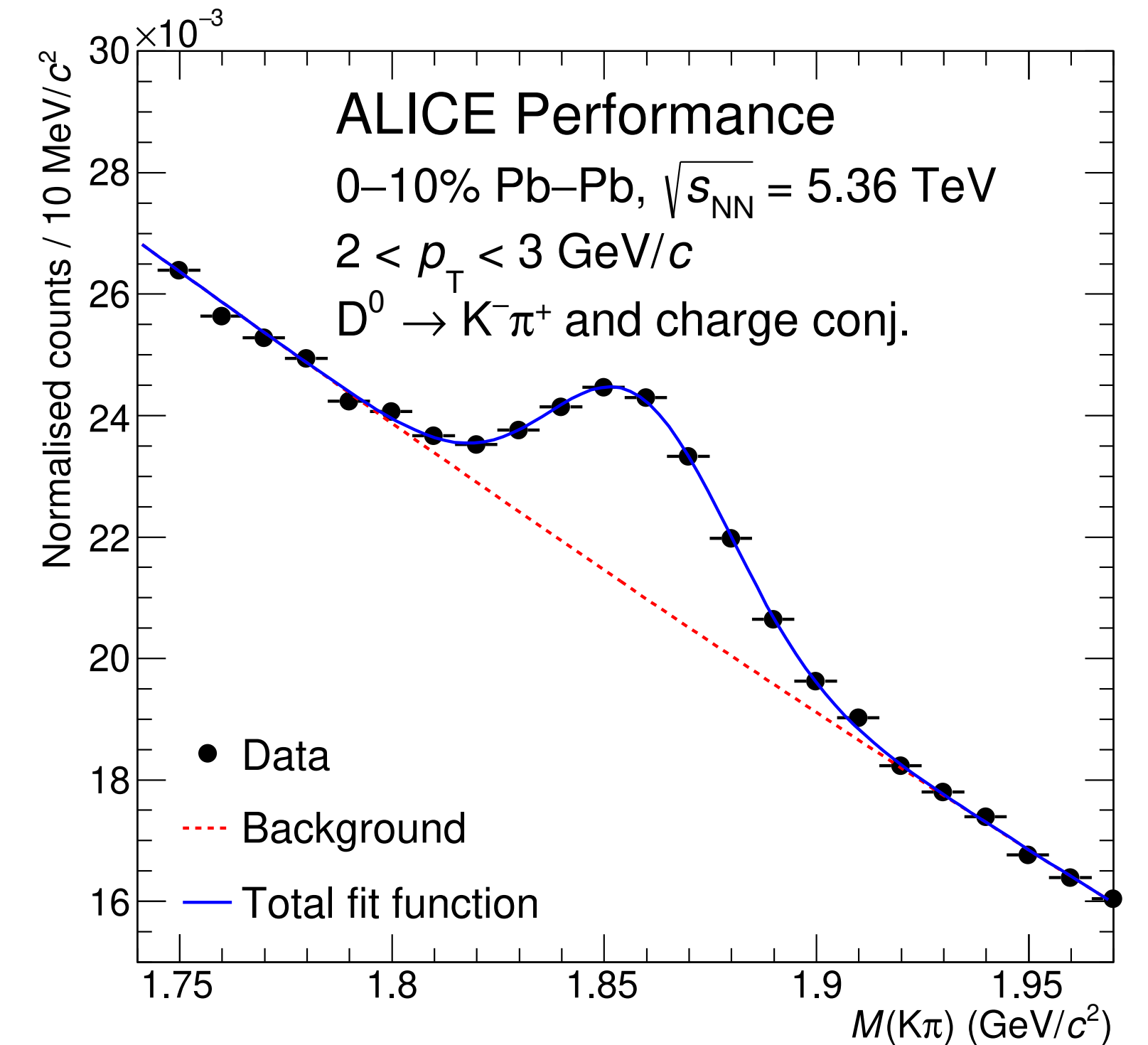
Prompt

- ▶ $c \rightarrow$ charm hadrons (D^0, Λ_c^+, \dots)



Non-Prompt

- ▶ $b \rightarrow c \rightarrow$ charm hadrons (D^0, Λ_c^+, \dots)



ALI-PERF-568645

ALI-PERF-578571

ALICE detector for Run 1 and Run 2

▶ Inner Tracking System (ITS)

▶ $|\eta| < 0.9$

▶ Tracking, vertexing, multiplicity

▶ V0

▶ V0-A: $2.8 < \eta < 5.1$

▶ V0-C: $-3.7 < \eta < -1.7$

▶ Triggering, luminosity, multiplicity

▶ Time Projection Chamber (TPC)

▶ $|\eta| < 0.9$

▶ Tracking, PID

▶ Time-Of-Flight (TOF)

▶ $|\eta| < 0.9$

▶ Tracking, PID

System	Year(s)	$\sqrt{s_{NN}}$	L_{int}
pp	2017	5.02 TeV	$\sim 20 \text{ nb}^{-1}$
	2016 – 2018	13 TeV	$\sim 32 \text{ nb}^{-1}$
p–Pb	2016	5.02 TeV	$\sim 287 \mu\text{b}^{-1}$
Pb–Pb (0-10%)	2018	5.02 TeV	$\sim 131 \mu\text{b}^{-1}$
Pb–Pb (30-50%)	2018	5.02 TeV	$\sim 56 \mu\text{b}^{-1}$

