



中山大學 物理与天文学院  
SUN YAT-SEN UNIVERSITY SCHOOL OF PHYSICS AND ASTRONOMY

# Calculable neutrino Dirac mass matrix in the minimal left-right symmetric model

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based on Phys.Rev.D 110 (2024) 3, 035030  
[arXiv:2404.16740] with Ding-Yi Luo and Xiang Zhao

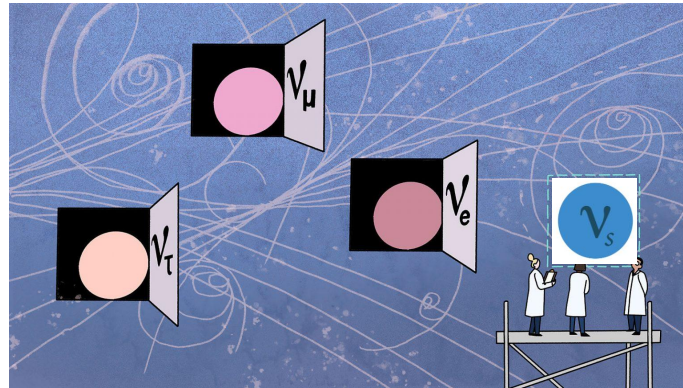
Lepton and Quark Flavour Structures

2024年10月18日，国科大杭州高等研究院

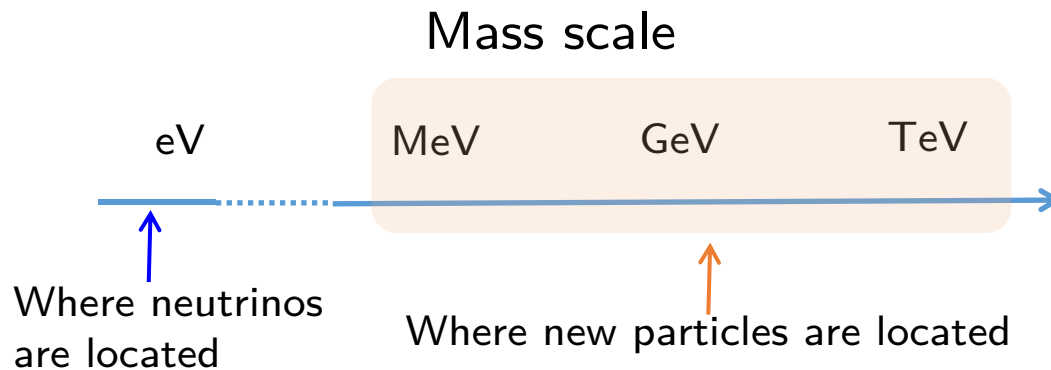
# New physics

The Standard Model is successful but incomplete:

- neutrino masses
- baryon asymmetry
- dark matter
- strong CP problem
- ....



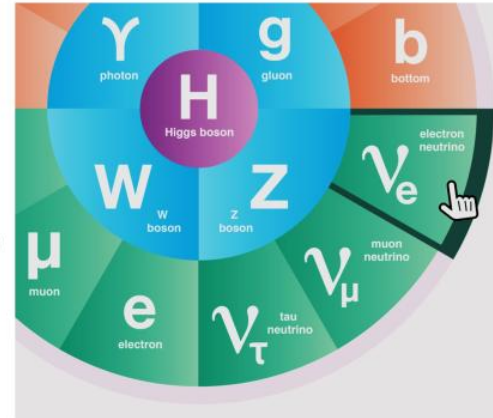
credit: FNAL "All Things Neutrino"



# Neutrino physics

Open questions:

- Normal or Inverted (sign of  $\Delta m_{31}^2$ ?)
- Leptonic CP Violation ( $\delta = ?$ )
- Octant of  $\theta_{23}$  ( $>$  or  $<$   $45^\circ$ ?)
- Absolute Neutrino Masses ( $m_{\text{lightest}} = 0$ ?)
- Majorana or Dirac Nature ( $\nu = \nu^c$ ?)
- Majorana CP-Violating Phases (how?)



- Extra Neutrino Species
- Exotic Neutrino Interactions
- Various LNV & LFV Processes
- Leptonic Unitarity Violation



- Origin of Neutrino Masses
- Flavor Structure (Symmetry?)
- Quark-Lepton Connection
- Relations to DM and/or BAU

credit: Shun Zhou

$\nu$  -New Physics connection

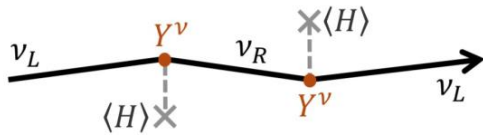
# Overview

- Brief introduction of minimal left-right symmetric model
- Generalized Casas-Ibarra parametrization of **neutrino Dirac mass matrix** in the cases of
  - charge conjugation: fully solved
  - **parity: partially solved**
- Our parametrization of right-handed neutrino mass and lepton mixing matrices
- Phenomenological implication for the strong CP parameter

# Neutrino masses

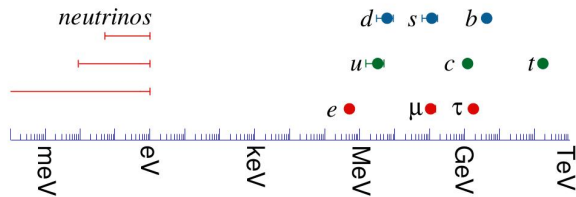
Two ways to generate neutrino masses

Dirac mass:



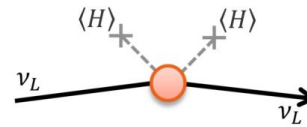
$$\mathcal{L}_D = - (Y^\nu \bar{L} H \nu_R + \text{h.c.})$$

Higgs mechanism



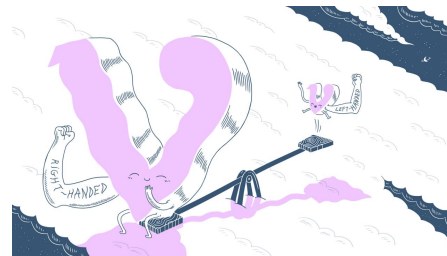
unnaturally small  $Y_\nu < 10^{-13}$

Majorana mass:



$$\mathcal{L}_M = \frac{C_5}{\Lambda} (\bar{L}^c \tilde{H}^*) (\tilde{H}^\dagger L) + \text{h.c.}$$

seesaw mechanism



heavy new physics

# Minimal left-right symmetric model

Gauge group:  $SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_{B-L}$

Doublets:  $q_L = \begin{pmatrix} u \\ d \end{pmatrix}_L$        $q_R = \begin{pmatrix} u \\ d \end{pmatrix}_R$

$\ell_L = \begin{pmatrix} \nu \\ e \end{pmatrix}_L$        $\ell_R = \begin{pmatrix} \nu \\ e \end{pmatrix}_R$

Bidoublet:  $\Phi = \begin{pmatrix} \phi_1^0 & \phi_2^+ \\ \phi_1^- & \phi_2^0 \end{pmatrix} \rightarrow \langle \Phi \rangle = \begin{pmatrix} v_1 & 0 \\ 0 & v_2 e^{i\alpha} \end{pmatrix}$        $\tan \beta \equiv \frac{v_2}{v_1}$

Triples:  $\Delta_{L,R} = \begin{pmatrix} \delta_{L,R}^+/\sqrt{2} & \delta_{L,R}^{++} \\ \delta_{L,R}^0 & -\delta_{L,R}^+/\sqrt{2} \end{pmatrix}$

$\rightarrow \langle \Delta_R \rangle = \begin{pmatrix} 0 & 0 \\ v_R & 0 \end{pmatrix}, \quad \langle \Delta_L \rangle = \begin{pmatrix} 0 & 0 \\ v_L e^{i\theta_L} & 0 \end{pmatrix}$

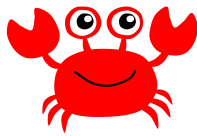
Mohapatra and Senjanovic, *Phys.Rev.Lett.* **44**  
(1980) 912, *Phys.Rev.D* **23** (1981) 165

# Minimal left-right symmetric model

- Leptonic Yukawa interactions

$$\begin{aligned}\mathcal{L}_{\text{lep}} = & -\bar{\ell}_L (Y_1 \Phi - Y_2 \sigma_2 \Phi^* \sigma_2) \ell_R \\ & - \frac{1}{2} (\ell_L^T C Y_L i \sigma_2 \Delta_L \ell_L + \ell_R^T C Y_R i \sigma_2 \Delta_R \ell_R) + \text{H.c.}\end{aligned}$$

under left-right symmetry:



$$\mathcal{P}: \quad \{\ell_L, \Phi, \Delta_L\} \leftrightarrow \{\ell_R, \Phi^\dagger, \Delta_R\}$$

$$\mathcal{C}: \quad \{\ell_L, \Phi, \Delta_L\} \leftrightarrow \{(\ell_R)^c, \Phi^T, \Delta_R^*\}$$

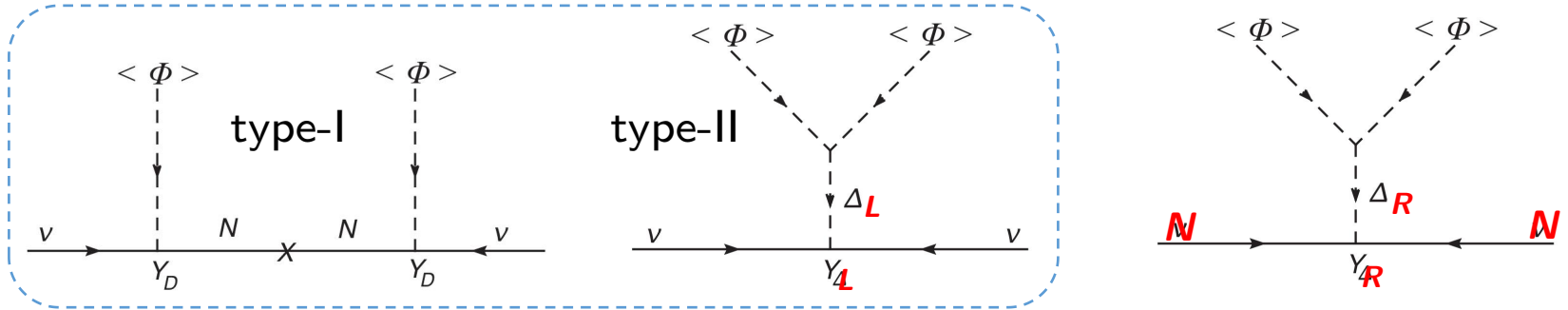
so that Yukawa matrices satisfy

$$\mathcal{P}: \quad Y_L = Y_R, \quad Y_{1,2} = Y_{1,2}^\dagger \quad (\text{Hermitian})$$

$$\mathcal{C}: \quad Y_L = Y_R^*, \quad Y_{1,2} = Y_{1,2}^T \quad (\text{symmetric})$$

# Minimal left-right symmetric model

- Type I+II seesaw:



In the flavor basis,

$$\mathcal{L}_\nu = -\frac{1}{2} \left( \bar{\nu}_L^c, \bar{N}_L^c \right) M_n \begin{pmatrix} \nu_L \\ N_L \end{pmatrix} + \text{H.c.} \quad N_L \equiv \nu_R^c$$

The full neutrino mass matrix

$$M_n \equiv \begin{pmatrix} M_L & M_D^T \\ M_D & M_N \end{pmatrix}$$

Type I+II seesaw:

$$M_\nu = M_L - M_D^T \frac{1}{M_N} M_D$$



# Charge conjugation

- Mass matrices satisfy

$$M_D = M_D^T, \quad M_L = \frac{v_L}{v_R} M_N \quad \theta_L = 0$$

- The neutrino Dirac mass matrix is

$$M_\nu = M_L - M_D^T \frac{1}{M_N} M_D \quad \longrightarrow \quad M_D = M_N \sqrt{\frac{v_L}{v_R} - \frac{1}{M_N}} M_\nu$$

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- In terms of physical masses

$$M_\nu = V_L^* m_\nu V_L^\dagger$$

$$M_N = V_R m_N V_R^T$$

The right-handed lepton mixing matrix  $V_R$  is unknown (input)



Generalized **Casas-Ibarra parametrization** of the neutrino Dirac mass matrix

J.A. Casas, A. Ibarra, hep-ph/0103065 (NPB)

# Charge conjugation

- For vanishing  $v_L$ , the neutrino Dirac mass matrix is

$$M_D = iV_L^* \sqrt{m_\nu} O \sqrt{m_N} V_R^T$$

The orthogonal matrix

$$O^T = \sqrt{m_N} \sqrt{m_N^{-1} V_R^\dagger V_L^* m_\nu V_L^\dagger V_R^* V_R^T V_L} \sqrt{m_\nu^{-1}} \quad OO^T = \mathbb{1}$$

If one assumes  $V_R = V_L^*$

$$M_D = iV_L^* m_N \sqrt{\frac{m_\nu}{m_N}} V_L^\dagger \quad O = \mathbb{1}$$

M. Nemevsek, G. Senjanovic, V. Tello,  
1211.2837 (PRL)

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M. Nemevsek, G. Senjanovic, V. Tello,  
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**NEWS**

The general case with non-zero  $v_L$  and generic  $V_R$  was recently solved

J. Kriewald, M. Nemevsek, F. Nesti,  
2403.07756

# Parity

- Mass matrices satisfy

$$M_D = M_D^\dagger, \quad M_L = \frac{v_L}{v_R} M_N^* \quad \theta_L = 0$$

- A *different* method is needed

G. Senjanovic, V. Tello, 1612.05503 (PRL); 1812.03790 (PRD)  
J. Kiers, K. Kiers, A. Szykman, T. Tarutina, 2212.14837 (PRD)

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$$\frac{1}{\sqrt{M_N}} M_\nu^* \frac{1}{\sqrt{M_N}} = \frac{v_L}{v_R} \mathbb{1} - \frac{1}{\sqrt{M_N}} M_D \frac{1}{M_N} M_D^T \frac{1}{\sqrt{M_N}}$$

so that

$$H H^T = \frac{v_L}{v_R} - \frac{1}{\sqrt{M_N}} M_\nu^* \frac{1}{\sqrt{M_N}}$$

symmetric

$$H \equiv \frac{1}{\sqrt{M_N}} M_D \frac{1}{\sqrt{M_N^*}}$$

Hermitian



# Parity

- Decompose the symmetric matrix  $HH^T$

$$HH^T = OsO^T \quad O \text{ is orthogonal and complex}$$

It was proved that

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*proof:*

$$\frac{1}{\sqrt{s}}O^T HH^T O \frac{1}{\sqrt{s}} = \mathbb{1}$$

$A \equiv \frac{1}{\sqrt{s}}O^T H$       $A^T A = \mathbb{1}$       $H = O\sqrt{s}A$       $E \equiv A(O^{-1})^\dagger$

then  $H = O\sqrt{s}EO^\dagger$

The Hermiticity of  $H$  gives

$$\sqrt{s}E = E\sqrt{s}^* \quad E^T = E^* = E^{-1}$$

# Parity

- The neutrino Dirac mass matrix is then

$$M_D = \sqrt{M_N} O \sqrt{s} E O^\dagger \sqrt{M_N^*}$$

Notice that  $O$ ,  $s$  and  $E$  all follow from the knowledge of  $M_\nu$  and  $M_N$

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- Since the matrix  $H$  is Hermitian, one must have

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- To preserve the Hermiticity of the neutrino Dirac mass matrix, the following condition must be satisfied

$$\text{Im Tr} \left[ \frac{v_L^*}{v_R} - \frac{1}{M_N} M_\nu^* \right]^n = 0 \quad \text{constraint on } M_N$$

# General parametrization of $M_N$

- We propose a general parametrization

**NEWS**

$$M_N = PM_\nu^{-1}P^T \quad V_R = \hat{P}V_L$$

$$\hat{P} \equiv PV_L\sqrt{m_N m_\nu}^{-1}V_L^\dagger$$

$\hat{P}$  is an arbitrary unitary matrix,  
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proof: (1)

$$\begin{aligned} & \left[ \frac{v_L}{v_R} - \frac{1}{M_N} M_\nu^* \right]^n \\ &= C_1 + C_2 \frac{1}{M_N} M_\nu^* + \dots + C_n \left( \frac{1}{M_N} M_\nu^* \right)^n \end{aligned}$$

Assume  $M_N = P M_\nu^{-1} Q$ , if  $Q = \pm P^*$

$$\begin{aligned} \text{Im Tr} \left[ \left( \frac{1}{M_N} M_\nu^* \right)^n \right] &= \pm \text{Im Tr} \left[ (P^{-1*} M_\nu P^{-1} M_\nu^*)^n \right] \\ &= \text{Im Tr} \left[ \left( \frac{1}{M_N} M_\nu^* \right)^{n*} \right] \end{aligned}$$

## General parametrization of $M_N$

*proof:* (2)

$$\begin{aligned}M_N &= PV_L m_\nu^{-1} V_L^T Q \\ &= V_R m_N V_R^T\end{aligned}$$

To find a possible form of  $V_R$ , we define

$$F = \sqrt{m_\nu} X \sqrt{m_N} \quad X \text{ is orthogonal}$$

so that

$$m_\nu = F m_N^{-1} F^T$$



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Thus

$$PV_L (F^T)^{-1} m_N F^{-1} V_L^T Q = V_R m_N V_R^T$$

It is satisfied if

$$V_R = PV_L (F^T)^{-1}, \quad V_R^T = F^{-1} V_L^T Q$$

## General parametrization of $M_N$

Combine (1) and (2), we have

$$Q = P^T = \pm P^* \quad V_R = PV_L \sqrt{m_N m_\nu}^{-1}$$

Thus we have

$$M_N = PM_\nu^{-1}P^T \quad V_R = \hat{P}V_L$$

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- For  $V_R = V_L$ ,

$$\hat{P} = \mathbb{1} \quad P = V_L \sqrt{m_N m_\nu} V_L^\dagger \quad \text{Hermitian}$$

- Type-II seesaw scenario  $M_N = v_R/v_L M_\nu^*$  can be obtained with

$$V_R = V_L, \quad m_N = v_R/v_L m_\nu$$

# Solution of strong CP problem

In the SM,

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4}G_{\mu\nu}G^{\mu\nu} + \theta\frac{g_s^2}{32\pi^2}G_{\mu\nu}\tilde{G}^{\mu\nu} + \bar{q}(i\gamma^\mu D_\mu - M_q)q$$

$$\bar{\theta} = \theta + \arg \det(M_u M_d) \quad \bar{\theta}_{\text{exp}} < 10^{-10} \quad \text{unnaturally small}$$

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Beyond the SM,

- Peccei-Quinn solution: promote  $\bar{\theta}$  to be a dynamic field (axion)

Peccei, Quinn, 1977; Weinberg 1978;  
Wilczek 1978

- Parity solution: forbid  $\theta$  at tree and one-loop levels in the **left-right symmetric model**

Mohapatra, Senjanovic, 1978  
Babu, Mohapatra, 1989, 1990

$$\bar{\theta} = \arg \det(M_u M_d)$$

# Solution of strong CP problem

In the left-right symmetric model,

Parity as the left-right symmetry:  $Y_q = Y_q^\dagger$  (quark sector)

Quark mass matrix  $M_q = Y_q \langle \Phi \rangle$  is generally **complex**

$$\langle \Phi \rangle = \begin{pmatrix} v_1 & 0 \\ 0 & v_2 e^{i\alpha} \end{pmatrix} \quad \text{spontaneous CP phase}$$

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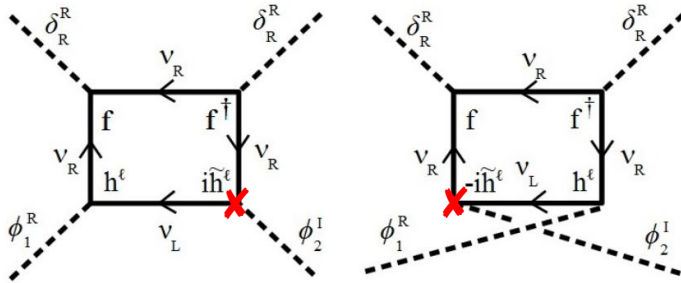
$$\bar{\theta} = \arg \det(M_u M_d) \simeq s_\alpha t_{2\beta} m_t / (2m_b) \quad \text{A. Maiezza and M. Nemevšek, 1407.3678 (PRD)}$$

The stringent limit on  $\bar{\theta}$  implies an extremely small CP phase  $\alpha$

Why is it so small?

# Sterile neutrinos and strong CP problem

- Strong and leptonic CP violation



Necessary conditions:

- sterile neutrinos in the loop
- leptonic CP violation

R. Kuchimanchi, 1408.6382 (PRD)

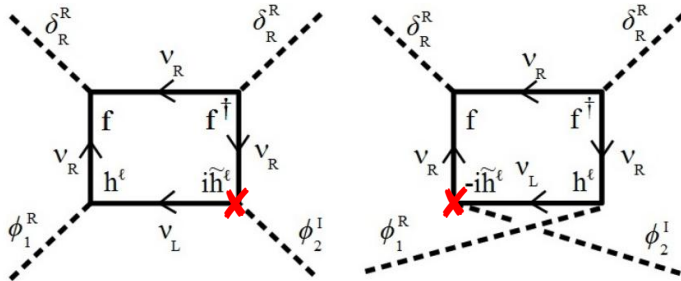
$$V \supset \left[ \alpha_2 \text{Tr} \left( \tilde{\Phi} \Phi^\dagger \right) + \text{h.c.} \right] \text{Tr} \left( \Delta_R \Delta_R^\dagger \right) + \alpha_3 \text{Tr} \left( \Phi^\dagger \Phi \Delta_R \Delta_R^\dagger \right).$$

complex scalar potential



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$$s_\alpha t_{2\beta} = -4 \frac{\text{Im} \alpha_2}{\alpha_3}$$

$$\bar{\theta} \simeq s_\alpha t_{2\beta} m_t / (2m_b)$$

complex scalar potential

complex vacuum

strong CP violation

spontaneous symmetry breaking



# Sterile neutrinos and strong CP problem

- In terms of mass parameters

One-loop strong CP parameter

$$\bar{\theta}_{\text{loop}} \simeq \frac{1}{16\pi^2} \frac{m_t}{m_b} \frac{1}{v_R^2 v^2} \text{Im Tr} (M_N^T M_N^* [M_D, M_\ell]) \ln \frac{M_{\text{Pl}}}{v_R}$$

The neutrino Dirac mass matrix

$$M_D = \sqrt{M_N} O \sqrt{s} E O^\dagger \sqrt{M_N^*}$$

$$V_R = \hat{P} V_L \quad M_N = P M_\nu^{-1} P^T \quad \hat{P} \equiv P V_L \sqrt{m_N m_\nu}^{-1} V_L^\dagger$$

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The neutrino Dirac mass matrix

$$M_D = \sqrt{M_N} O \sqrt{s} E O^\dagger \sqrt{M_N^*}$$

$$V_R = \hat{P} V_L \quad M_N = P M_\nu^{-1} P^T \quad \hat{P} \equiv P V_L \sqrt{m_N m_\nu}^{-1} V_L^\dagger$$

In the case of  $\hat{P} = \mathbf{1}$ , which was widely assumed in previous studies, we find

$$[M_D, M_\ell] = 0 \quad \longrightarrow \quad \bar{\theta}_{\text{loop}} = 0$$

# Sterile neutrinos and strong CP problem

- Upper bound on the **heaviest** sterile neutrino mass

One can estimate that

$$\bar{\theta}_{\text{loop}} \propto (m_{N \text{ max}})^{5/2}$$

G. Senjanovic, V. Tello,  
2004.04036 (IJMPA)

Non-trivial cases:

$$\hat{P}_1 = i \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \quad \hat{P}_2 = i \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

$$\hat{P}_3 = i \begin{pmatrix} \frac{1}{2} & \frac{1}{2} & -\frac{\sqrt{2}}{2} \\ \frac{1}{2} & \frac{1}{2} & \frac{\sqrt{2}}{2} \\ -\frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} & 0 \end{pmatrix}.$$

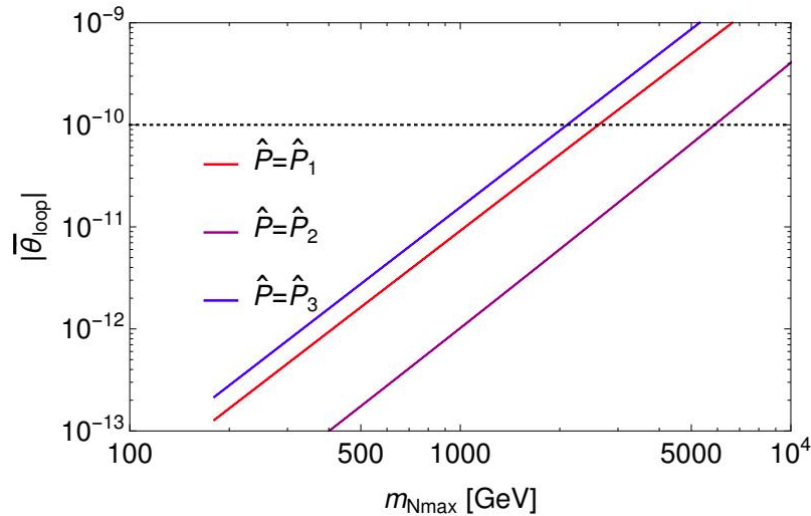
$$\hat{P} \equiv P V_L \sqrt{m_N m_\nu}^{-1} V_L^\dagger$$

The resulting forms of  $P$   
are all **anti-Hermitian**

GL, Ding-Yi Luo, Xiang Zhao,  
2404.16740 (PRD)

# Sterile neutrinos and strong CP problem

- TeV-scale sterile neutrino



GL, Ding-Yi Luo, Xiang Zhao,  
2404.16740 (PRD)

Type I+II seesaw scenario:

$$m_1 = 10^{-3} \text{ eV}, \quad v_L = 1 \text{ eV}, \quad v_R = 15 \text{ TeV}$$

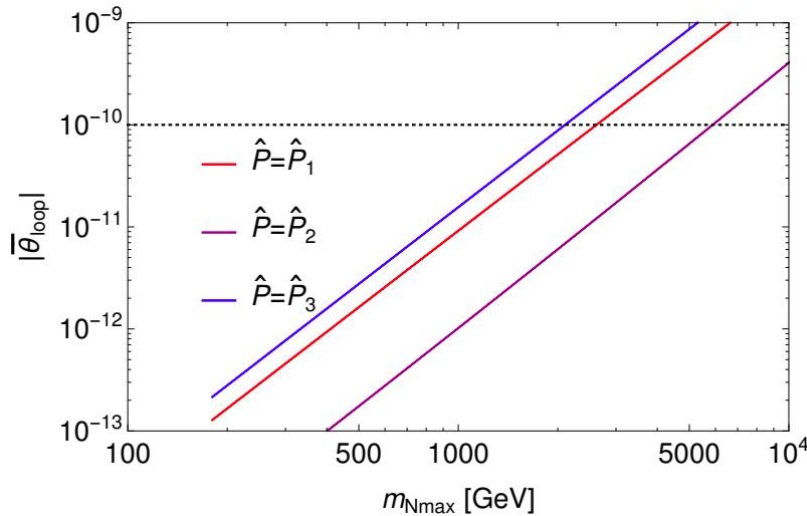
For  $\hat{P} = \hat{P}_1$ ,

$$m_N = \begin{pmatrix} 2.86 \text{ TeV} & 0 & 0 \\ 0 & 3.32 \text{ GeV} & 0 \\ 0 & 0 & 57.2 \text{ MeV} \end{pmatrix}$$

$$\Rightarrow \bar{\theta}_{\text{loop}} = -1.241335 \times 10^{-10}$$

# Sterile neutrinos and strong CP problem

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GL, Ding-Yi Luo, Xiang Zhao,  
2404.16740 (PRD)

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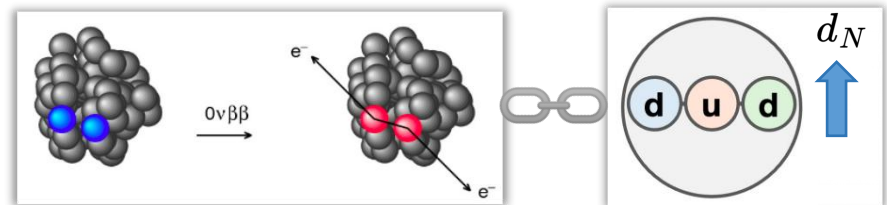
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$$\Rightarrow \bar{\theta}_{\text{loop}} = -1.241335 \times 10^{-10}$$

The sterile neutrino can have mass as light as  $\sim \text{MeV}$  or as heavy as  $\sim \text{TeV}$



# Summary

- Neutrinos serve as an intriguing window to new physics
- In the context of minimal left-right symmetric model, we have
  - proposed a general parametrization of the right-handed neutrino matrix in the case of parity
  - calculated the neutrino Dirac mass matrix in the generalized Casas-Ibarra parametrization
- We have applied it to calculate the one-loop strong parameter, arising from sterile neutrinos and leptonic CP violation

Thank you