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Baryons 000000000 Conclusions and Outlook

B 反常和重夸克物理 B Anomalies and Heavy Quark Physics

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粒子物理与原子核物理研究所



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2 B Anomalies

- **3** SM predictions mesons
- 4 SM predictions baryons
- **5** Conclusions and Outlook

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References				

Details: Bernlochner, ZL, Papucci, Robinson, 1703.05330 [PRD], 1708.07134 [PRD] Bernlochner, ZL, Robinson, Sutcliffe, arXiv:1808.09464 [PRL]; 1812.07593 [PRD] Bernlochner, Duell ZL, Papucci, Robinson, 2002.00020, & more...

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What is flavor physics?					

• Interactions that distinguish the 3 generations

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What is flavor physics?					

- Interactions that distinguish the 3 generations
 - SM: neither strong nor EM, only couplings of W^{\pm} (diagonalizing Higgs couplings)

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		What is flave	or physics?	

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 - (only 6 others)

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- Quark mixing:
 - $(u, c, t)W^{\pm}(d, s, b)$ couplings—4 param's, $\eta \neq 0 \rightarrow \mathsf{CP}$ violation

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- Quark mixing:
 - $(u, c, t)W^{\pm}(d, s, b)$ couplings—4 param's, $\eta \neq 0 \rightarrow CP$ violation
 - Cabibbo-Kobayashi-Maskawa (CKM) matrix (unitary)

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

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The only source of quark flavor change in the SM

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- The only source of quark flavor change in the SM
- Many testable relations, sensitive to possible deviations from the SM



• Unitarity: $V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$ $(\rho, \eta) plane$, compare data



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- Unitarity: $V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$ $(\rho, \eta) plane$, compare data
- SM dominates CP viol. \Rightarrow KM Nobel



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- The implications of the consistency are often overstated



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- Much larger allowed region if the SM is not assumed





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- Tree-level (mainly $V_{ub} \& \gamma$) vs. loop-dominated measurements



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- The implications of the consistency are often overstated
- Much larger allowed region if the SM is not assumed
- Tree-level (mainly V_{ub} & γ) vs. loop-dominated measurements
- In loop (FCNC) processes $NP/SM \sim 20\%$ is still allowed (mixing, $B \rightarrow X\ell^+\ell^-, X\gamma, etc.$)



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• Theoretical assumptions about new physics did not work as expected before LHC

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- Sensitive to new physics at high scales, beyond LHC reach

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Sensitive to new physics at high scales, beyond LHC reach
 Establishing any of the flavor anomalies ⇒ upper bound on NP scale

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- Experiment: expect big improvements (LHC & Belle II), many new measurements

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- Sensitive to new physics at high scales, beyond LHC reach
 Establishing any of the flavor anomalies ⇒ upper bound on NP scale
- Experiment: expect big improvements (LHC & Belle II), many new measurements
- Theory: progress and new directions both in SM calculations and model building

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Intriguing tensions with SM					

• Lepton non-universality - would be clear evidence for NP

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Intriguing tensions with SM					

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Intriguing tensions with SM					

• Lepton non-universality - would be clear evidence for NP

 $(B \to X \tau \bar{\nu})/(B \to X(e,\mu) \tau \bar{\nu})$

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Intriguing tensions with SM					

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- Lepton non-universality would be clear evidence for NP
- Theoretically cleanest: 1 2 both relate to lepton non-universality

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- Lepton non-universality would be clear evidence for NP
- Theoretically cleanest: **1 2** both relate to lepton non-universality Can fit **1 3 3** simultaneously: $C_{9,\mu}^{\text{NP}}/C_{9,\mu}^{(\text{SM})} \sim -0.2$, $C_{9,\mu} = (\bar{s}\gamma_{\alpha}P_{L}b)$

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- Focus on $R(D^*)$, because theory can be improved, independent of current data

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- Lepton non-universality would be clear evidence for NP
- Theoretically cleanest: (1) 2 both relate to lepton non-universality Can fit (1) 3) 4) simultaneously: $C_{9,\mu}^{NP}/C_{9,\mu}^{(SM)} \sim -0.2$, $C_{9,\mu} = (\bar{s}\gamma_{\alpha}P_{L}b)$
- Focus on $R(D^*)$, because theory can be improved, independent of current data
- What are smallest deviations from SM, which can be unambiguously established?
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• Theorists' fits quote $3-5\sigma$ (sometimes including P_5' and/or $B_s o \phi \mu^+ \mu^-$)

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$\label{eq:previous World Average.}$ Tension with SM at the level of 3.34 $\sigma.$

R(D) = 0.357 ± 0.029

 $R(D^*) = 0.284 \pm 0.012$

0.2

HFLAV SM Prediction

 $R(D) = 0.298 \pm 0.004$

0.2 0.25 0.3 0.35 0.4 0.45 0.5 0.55

 $R(D^*) = 0.254 \pm 0.005$

New World Average. Tension with SM at the level of 3.17σ .

0.4

 $R(D) = 0.344 \pm 0.026$

 $R(D^*) = 0.285 \pm 0.012$

0.5

R(D)

0 = -0.39

 $P(\gamma^2) = 29\%$

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R(D)

0.2

HFLAV SM Prediction

0.2

 $R(D) = 0.298 \pm 0.004$

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0.3





$\label{eq:previous World Average.}$ Tension with SM at the level of $3.34~\sigma.$

New World Average. Tension with SM at the level of 3.17σ .

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LHCb^a 0.281 ± 0.018 ± 0.024

Belle II, had.tag 0.267 ± 0.040 ± 0.031

LHCb^c 0,402 ± 0,081 ± 0,085

PRD 95 (2017) 115008 0.257 ± 0.003

JHEP 1712 (2017) 060 0.257 ± 0.005

PRL 123 (2019) 9,091801 0.253 ± 0.005

PLB 795 (2019) 386 0.254 ± 0.007

EPJC 80 (2020) 2,74 0.247 ± 0.006

EPJC 82(2022) 12,1141 0.265 ± 0.013

EPJC 82(2022) 12,1083 0.275 ± 0.008

arXiv:2304.03137[hep-lat]

arXiv:2304.03137[hep-lat] 0.252 ± 0.022

Average 0.285 ± 0.012

SM average 0.254 ± 0.005

LHCb^b, (hadronic tau) 0.257 ± 0.012 ± 0.018

 $0.307 \pm 0.037 \pm 0.016$

LHCb 0.441 ± 0.060 ± 0.066

LHCb^c $0.249 \pm 0.043 \pm 0.047$

Average

 0.344 ± 0.026 SM average

 0.298 ± 0.004

 0.299 ± 0.003 THEP 1712 (2017) 060

 0.299 ± 0.004

 0.296 ± 0.008 FNAL/MILC (2015)

 0.299 ± 0.011

 0.300 ± 0.008

PRD 94 (2016) 094008 0.299 ± 0.003

PRD 95 (2017) 115008

EPIC 80 (2020) 2 74 0.297 ± 0.003

PRD 105 (2022) 034503

0.2



 $R(D^*)$

HFLAV

Moriond 2024

0.4

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R(D)

HFLAV

Moriond 2024

0.4



• Separate R(D) and $R(D^*)$ measurements — all central values above SM:



• Not decisive yet, consistent with both an emerging signal or fluctuations

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	Reasons (not) to take the	e tension serious	sly

• Measurements with au leptons are difficult

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- Measurements with au leptons are difficult
- Need a large tree-level contribution, SM suppression only by $m_{ au}$

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- Results from BaBar, Belle, LHCb are consistent

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- Results from BaBar, Belle, LHCb are consistent
- Often when measurements disagreed in the past, averages were still meaningful
- Enhancement is also seen in similar ratio in $B_c \rightarrow J/\psi \ell \bar{\nu}$

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	Reasons (not	t) to take the	e tension serio	busly

- Measurements with au leptons are difficult
- Need a large tree-level contribution, SM suppression only by $m_{ au}$

- Strong constraints on concrete models from flavor physics
- Results from BaBar, Belle, LHCb are consistent
- Often when measurements disagreed in the past, averages were still meaningful
- Enhancement is also seen in similar ratio in $B_c \rightarrow J/\psi \ell \bar{\nu}$
- If Nature were as most theorist imagined, then the LHC should have discovered new physics already

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	Some key q	uestions —r	low and in the	future

• Can it be a theory issue? - not at the current level

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- Which calculations can be made most robust?

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- Which calculations can be made most robust?
- What else can we learn from studying these anomalies?

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- $Q \overline{Q}$: positronium-type bound state, perturbative in the $m_Q \gg \Lambda_{QCD}$ limit
- Q q

 q
 i wave function of the light degrees of freedom
 ("brown muck") insensitive to spin and flavor of Q
 (A B meson is a lot more complicated than just a bq
 pair)

In the $m_Q \gg \Lambda_{\rm QCD}$ limit, the heavy quark acts as a static color source with fixed four-velocity v^{μ} [Isgur & Wise] SU(2n) heavy quark spin-flavor symmetry at fixed v^{μ} [Georgi]



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- Similar to atomic physics: $(m_e \ll m_N)$
 - 1. Flavor symmetry \sim isotopes have similar chemistry [Ψ_e independent of m_N]
 - 2. Spin symmetry ~ hyperfine levels almost degenerate $[\vec{s}_e \vec{s}_N \text{ interaction} \rightarrow 0]$



- In the $m_{b,c} \gg \Lambda_{\rm QCD}$ limit, configuration of brown muck only depends on the fourvelocity of the heavy quark, but not on its mass and spin
- On a time scale $\ll \Lambda_{\rm QCD}^{-1}$ weak current changes $b \rightarrow c$

i.e.: $\vec{p_b} \rightarrow \vec{p_c}$ and possibly $\vec{s_Q}$ flips

In $m_{b,c} \gg \Lambda_{\rm QCD}$ limit, brown muck only feels $v_b \rightarrow v_c$

Form factors independent of Dirac structure of weak current \Rightarrow all form factors related to a single function of $w = v \cdot v'$, the Isgur-Wise function, $\xi(w)$

Contains all nonperturbative low-energy hadronic physics



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- $\xi(1) = 1$, because at "zero recoil" configuration of brown muck not changed at all
- Same holds for $\Lambda_b \to \Lambda_c \ell \bar{\nu}$, different Isgur-Wise fn, $\xi \to \zeta$ [also satisfies $\zeta(1) = 1$]

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		$B ightarrow D^{(*)} \ell ar{ u}$	and HQET	

• "Idea": fit 4 functions with 4 observables...

• Lorentz invariance: 6 functions of q^2 , only 4 measurable with e, μ final states

$$\begin{split} \langle D | \, \bar{c} \gamma^{\mu} b \, | \overline{B} \rangle &= f_{+}(q^{2})(p_{B} + p_{D})^{\mu} + \left[f_{0}(q^{2}) - f_{+}(q^{2}) \right] \frac{m_{B}^{2} - m_{D}^{2}}{q^{2}} \, q^{\mu} \\ \langle D^{*} | \, \bar{c} \gamma^{\mu} b \, | \overline{B} \rangle &= -ig(q^{2}) \, \epsilon^{\mu\nu\rho\sigma} \, \varepsilon_{\nu}^{*} \, (p_{B} + p_{D^{*}})_{\rho} \, q_{\sigma} \\ \langle D^{*} | \, \bar{c} \gamma^{\mu} \gamma^{5} b \, | \overline{B} \rangle &= \varepsilon^{*\mu} f(q^{2}) + a_{+}(q^{2}) \, (\varepsilon^{*} \cdot p_{B}) \, (p_{B} + p_{D^{*}})^{\mu} + a_{-}(q^{2}) \, (\varepsilon^{*} \cdot p_{B}) \, q^{\mu} \end{split}$$

The a_- and f_0-f_+ form factors $\propto q^\mu=p^\mu_B-p^\mu_{D^{(*)}}$ do not contribute for $m_l=0$

- HQET: 1 Isgur-Wise function (heavy quark limit) + 3 at $O(\Lambda_{QCD}/m_{c,b}) + \dots$
- Constrain all 4 functions from $B \to D^{(*)} l\bar{\nu} \Rightarrow \mathcal{O}(\Lambda^2_{\text{QCD}}/m^2_{c,b}, \alpha^2_s)$ uncertainties (Bernlochner, ZL, Papucci, Robinson, 1703.05330)
- Observables: $B \to D l \bar{\nu}$: $d\Gamma/dw$ (Only Belle published fully corrected distributions) $B \to D^* l \bar{\nu}$: $d\Gamma/dw$ and $R_{1,2}(w)$ form factor ratios

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 Available for the first time in 2017
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• Belle published the unfolded $B \rightarrow D^* l \bar{\nu}$ distributions [1702.01521]



- Can perform different fits to data
- Need input on the fitted shape: BGL: Boyd, Grinstein, Lebed, '95–97 CLN: Caprini, Lellouch, Neubert, '97



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	Rob	ust prediction	ns for $R(D^{(*)})$	

• Small variations: heavy quark symmetry & phase space leave little wiggle room

Reference (Scenario)	R(D)	$R(D^*)$	Correlation
Data [HFLAV]	0.407 ± 0.046	0.306 ± 0.015	-20%
Lattice [FLAG]	0.300 ± 0.008	—	_
Fajfer et al. '12	_	0.252 ± 0.003	_
Bernlochner <i>et al.</i> '17 ($L_{w\geq 1}$)	0.298 ± 0.003	0.261 ± 0.004	19%
Bernlochner <i>et al.</i> '17 ($L_{w\geq 1}$ +SR)	0.299 ± 0.003	0.257 ± 0.003	44%
Bigi, Gambino '16	0.299 ± 0.003	—	—
Bigi, Gambino, Schacht '17	_	0.260 ± 0.008	—
Jaiswal, Nandi, Patra '17 (case-3)	0.302 ± 0.003	0.262 ± 0.006	14%
Jaiswal, Nandi, Patra '17 (case-2)	0.302 ± 0.003	0.257 ± 0.005	13%

• HFLAV SM expectation neglects correlations present in any theoretical framework (Light-cone QCD SR & HQET QCD SR inputs are model dependent)

• None of these are "ultimate" results — can be improved in coming years

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		Intro to Λ_b	$\rightarrow \Lambda_c \ell \bar{\nu}$	

- Ground state baryons are simpler than mesons: brown muck in (iso)spin-0 state
- SM: 6 form factors, functions of w = v · v' = (m²_{Λb} + m²_{Λc} q²)/(2m_{Λb}m_{Λc}) ⟨Λ_c(p', s')|ēγ_νb|Λ_b(p, s)⟩ = ū_c(v', s') [f₁γ_μ + f₂v_μ + f₃v'_μ]u_b(v, s) ⟨Λ_c(p', s')|ēγ_νγ₅b|Λ_b(p, s)⟩ = ū_c(v', s') [g₁γ_μ + g₂v_μ + g₃v'_μ]γ₅ u_b(v, s)
 Heavy quark limit: f₁ = g₁ = ζ(w) Isgur-Wise fn, and f_{2,3} = g_{2,3} = 0 [ζ(1) = 1]
 Include α_s, ε_{b,c}, α_sε_{b,c}, ε²_c: m_{Λb,c} = m_{b,c} + Λ̄_Λ + ..., ε_{b,c} = Λ̄_Λ/(2m_{b,c}) (Λ̄_Λ ~ 0.8 GeV larger than Λ̄ for mesons, enters via eq. of motion ⇒ expect worse expansion?)

$$f_1 = \zeta(w) \left\{ 1 + \frac{\alpha_s}{\pi} C_{V_1} + \varepsilon_c + \varepsilon_b + \frac{\alpha_s}{\pi} \Big[C_{V_1} + 2(w-1)C_{V_1}' \Big] (\varepsilon_c + \varepsilon_b) + \frac{\hat{b}_1 - \hat{b}_2}{4m_c^2} + \dots \right\}$$

• No $\mathcal{O}(\Lambda_{\text{QCD}}/m_{b,c})$ subleading Isgur-Wise function, only 2 at $\mathcal{O}(\Lambda_{\text{QCD}}^2/m_c^2)$

[Falk & Neubert, hep-ph/9209269]

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• HQET is more constraining than in meson decays! $B \rightarrow D^{(*)} \ell \bar{\nu}$: 6 Isgur-Wise fn-s at $\mathcal{O}(\Lambda^2_{\text{OCD}}/m_c^2)$ [Can constrain w/ LCSR: Bordone, Jung, van Dyk, 1908.09398]
Introduction B Anomalies Mesons Baryons Conclusions and Outlook 0000000 Fits and form factor definitions

• Standard HQET form factor definitions: $\{f_1, g_1\} = \zeta(w) \left[1 + \mathcal{O}(\alpha_s, \varepsilon_{c,b})\right]$ $\{f_{2,3}, g_{2,3}\} = \zeta(w) \left[0 + \mathcal{O}(\alpha_s, \varepsilon_{c,b})\right]$

Form factor basis in LQCD calculation: $\{f_{0,+,\perp}, g_{0,+,\perp}\} = \zeta(w) \left[1 + \mathcal{O}(\alpha_s, \varepsilon_{c,b})\right]$

LQCD results published as fits to 11 or 17 BCL parameters, including correlations All 6 form factors computed in LQCD \sim lsgur-Wise fn \Rightarrow despite good precision, limited constraints on subleading terms and their *w* dependence [Detmold, Lehner, Meinel, 1503.01421]

• Only 4 parameters (and m_b^{1S}): { $\zeta', \zeta'', \hat{b}_1, \hat{b}_2$ } $\zeta(w) = 1 + (w-1)\zeta' + \frac{1}{2}(w-1)^2\zeta'' + \dots \qquad b_{1,2}(w) = \zeta(w)(\hat{b}_{1,2} + \dots)$

(Expanding in w - 1 or in conformal parameter, z, makes negligible difference)

• Current LHCb and LQCD data do not yet allow constraining ζ''' and/or $\hat{b}'_{1,2}$

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• Fit 6 form factors w/ 4 parameters: $\zeta'(1), \zeta''(1), \hat{b}_1, \hat{b}_2$ [LQCD: Detmold, Lehner, Meinel, 1503.01421]



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	Fit to lattic	e QCD form	factors and LH	Cb(2)

• Obtain: $R(\Lambda_c) = 0.324 \pm 0.004$

A factor of \sim 3 more precise than LQCD prediction — data constrains combinations of form factors relevant for predicting $R(\Lambda_c)$



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• $f_1(q^2)/g_1(q^2) = \mathcal{O}(1)$, whereas $\left\{ f_{2,3}(q^2)/f_1(q^2), \ g_{2,3}(q^2)/g_1(q^2) \right\} = \mathcal{O}(\alpha_s, \varepsilon_{c,b})$



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More to measure					

• What is the maximal information that the $\Lambda_b \to \Lambda_c \mu \bar{\nu}$ decay can give us?

 $\Lambda_c \to p K \pi$ complicated, $\Lambda_c \to \Lambda \pi (\to p \pi \pi)$ looses lots of statistics

• If Λ_c decay distributions are integrated over, but θ is measured (angle between the \vec{p}_{μ} and \vec{p}_{Λ_c} in $\mu\bar{\nu}$ rest frame), then maximal info one can get:

$$\frac{\mathrm{d}^2\Gamma(\Lambda_b \to \Lambda_c \mu \bar{\nu})}{\mathrm{d}w \,\mathrm{d}\cos\theta} = \frac{3}{8} \Big[(1 + \cos^2\theta) \,H_T(w) + 2\cos\theta \,H_A(w) + 2(1 - \cos^2\theta) \,H_L(w) \Big]$$
(forward-backward asym.)

Measuring the 3 terms would give more information than just $d\Gamma(\Lambda_b \to \Lambda_c \mu \bar{\nu})/dq^2$

• Long term: including Λ_c decay distributions would give even more information

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Conclusions and Outlook					

- $\Lambda_b \to \Lambda_c \ell \bar{\nu}$: HQET more predictive than in meson decays, Λ^2_{QCD}/m_c^2 terms essential
- $B \rightarrow D^* \ell \bar{\nu}$: Need (much) more data to know how anomalies (and $|V_{cb}|$) settle
- Forced both theory and experiment to rethink, discard some prejudices
- Measurements and SM predictions will both improve a lot in future



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Thanks!

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