Gravitational form factors in holographic QCD

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Gravitational form factors

- Big puzzles of the strong force within hadrons
 - Origin of confinement
 - Origin of >99% nucleon mass
 - Origin of the nucleon spin









Gross and Klempt (eds.), 50 Years of quantum chromodynamics

 Hadronic energy-momentum tensor encodes the energy, spin and stress distributions widthin hadrons

$$\langle p', s' | T^{\mu\nu}(0) | p, s \rangle = \frac{1}{M} \overline{u}_{s'}(p') \Big[P^{\mu} P^{\nu} {\color{blue}A(q^2)} + \frac{1}{2} i P^{\{\mu} \sigma^{\nu\}\rho} q_{\rho} {\color{blue}J(q^2)} + \frac{1}{4} (q^{\mu} q^{\nu} - g^{\mu\nu} q^2) {\color{blue}D(q^2)} \Big] u_s(p)$$









$$\langle \Psi | T^{\alpha\beta}(x) | \Psi \rangle = \langle \mathcal{E} \mathcal{U}^\alpha \mathcal{U}^\beta - \mathcal{P} \Delta^{\alpha\beta} + \tfrac{1}{2} \partial_\rho \big(\mathcal{U}^{\{\alpha} \mathcal{S}^{\beta\}\rho} \big) + \Pi^{\alpha\beta} - g^{\alpha\beta} \Lambda \rangle_\Psi$$

where, $\langle \mathcal{O}(x) \rangle_{\Psi} = \int \mathrm{d}^3 z \, \overline{\Psi}(z) \mathcal{O}(x-z) \Psi(z)$ is the convolution with the hadronic wavepacket $\Psi(x)$.

Gravitational form factors are F.T. of physical densities

energy density:
$$\mathcal{E}(x) = M \int \frac{\mathrm{d}^3q}{(2\pi)^3} e^{iq\cdot x} \Big\{ \Big(1 - \frac{q^2}{4M^2}\Big) A(q^2) \ + \frac{q^2}{4M^2} \Big[2J(q^2) - D(q^2) \Big] \Big\},$$
 spin density:
$$\mathcal{S}^{\alpha\beta}(x) = \int \frac{\mathrm{d}^3q}{(2\pi)^3} e^{iq\cdot x} \Big\{ i\sigma^{\alpha\beta} \sqrt{1 - \frac{q^2}{4M^2}} - \frac{U^{[\alpha}q^{\beta]}}{2M} \Big\} J(q^2),$$
 pressure:
$$\mathcal{P}(x) = \frac{1}{6M} \int \frac{\mathrm{d}^3q}{(2\pi)^3} e^{iq\cdot x} q^2 D(q^2),$$
 shear:
$$\Pi^{\alpha\beta}(x) = \frac{1}{4M} \int \frac{\mathrm{d}^3q}{(2\pi)^3} e^{iq\cdot x} \Big(q^{\alpha}q^{\beta} - \frac{q^2}{3} \Delta^{\alpha\beta} \Big) D(q^2) \,,$$
 cosmological constant:
$$\Lambda = -M^2 \int \frac{\mathrm{d}^3q}{(2\pi)^3} e^{iq\cdot x} \bar{c}(q^2)$$

■ Factorization of the dependence on hadron momentum: $\vec{P}=0$ - Sachs distribution, $P_z \to \infty$ - light-front distribution



Mechanical properties of hadrons

■ Mechanical equilibrium → mechanical stability

[Polyakov:20 | 8zvc]

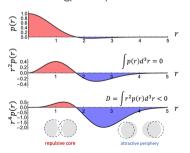
von Laue condition:
$$\int \mathrm{d}^3 r \, \mathcal{P}(r) = 0, \quad \rightarrow \quad D = \int \mathrm{d}^3 r \, r^2 \mathcal{P}(r) \stackrel{???}{<} 0$$

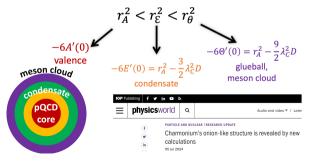
 \blacksquare Trace anomaly: $\Theta \equiv T^{\mu}_{~\mu} = \frac{\beta(g_s)}{2g_s} G^{\mu\nu a} G^a_{\mu\nu} + O(m_q)$

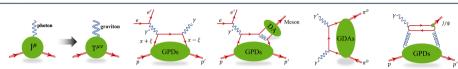
$$\langle p', s' | T_{\mu}^{\mu} | p, s \rangle = \bar{u}_{s'}(p') \Big\{ A(Q^2) + \frac{Q^2}{4M^2} \big[A(Q^2) - 2J(Q^2) + 3 \frac{D(Q^2)}{Q^2} \big] \Big\}$$

Analogy to superconductor, and dark energy

[Teryaev:2016edw, Ji:2021mtz, Liu:2023cse]







■ Ii's sum rules: one graviton \approx two photons \rightarrow generalized parton distributions (GPDs)

$$\int_{-1}^{1} \mathrm{d}x \, x H^{q,g}(x,\xi,t) = A^{q,g}(t) + \xi^2 D^{q,g}(t)$$

i:1996nm, Polyakov:2002yz]

■ Deeply virtual Compton scattering & deeply vector meson production

[Burkert:2018bqq, Burkert:2021ith]

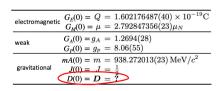
Di-photon pair production

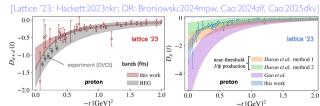
[Kumano:2017lhr]

Near threshold vector meson production

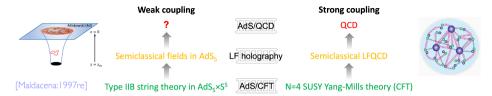
[Kharzeev:202 | qkd, Duran:2022xag]

■ Large uncertainties from both the theory and experiments → Electron-Ion Colliders









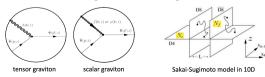
- AdS/QCD: semiclassical field theory in 5D anti-de Sitter space w./ input from QCD
 - Introduce a dilaton field $\Phi(z)$ to break the conformal symmetry in IR; hard-wall, soft-wall models
 - Good agreement with OCD within 10%-15% level

[Erlich:2005gh, Karch:2006pv]

■ GFFs in AdS/QCD: graviton-hadron scattering in 5D [Abidin:2008hn, Abidin:2008ku, Abidin:2009hr]

- lacktriangle Problem: GFF $D(Q^2)$ inaccessible, since graviton only couples to the traceless part of $T^{\mu\nu}$
- Solutions beyond AdS/QCD: scalar graviton, Sakai-Sugimoto model

[Mamo:2019mka, Fujita:2022jus]



Light front holography

[Review: Brodsky:2014yha]















$$x^{\pm} = t \pm z/c$$

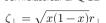
$$H_{\rm QCD}|\psi\rangle=M^2|\psi\rangle$$

$$\Big[- \nabla_{\zeta\perp}^2 + \frac{V_{q\bar{q}}^{\rm eff}(\vec{\zeta}_\perp)}{q\bar{q}} \Big] \varphi_h(\vec{\zeta}_\perp) = M_h^2 \varphi_h(\vec{\zeta}_\perp)$$

where
$$ec{\zeta}_{\perp} = \sqrt{x(1-x)} ec{r}_{\perp}$$







LF amplitude

confining potential $V_{a\bar{a}}^{\text{eff}}$

$$L^2-(J-2)^2$$

form factors

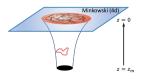
semiclassical field theory in AdS₅

fifth coordinate z, string amplitude

dilation field Φ

$$(\mu R)^2$$

form factors



Yand Li (USTC), GFF in AdS/QCD

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If you can't solve a problem in three different ways, you don't really understand it.

- R. P. Feynman

Pion charge form factor F_{π} in holographic QCD

■ Drell-Yan-West formula in LFQCD:

[Brodsky:2007hb]

$$F_\pi(Q^2) = \int \zeta_\perp \mathrm{d}\zeta_\perp \left| arphi_\pi(\zeta_\perp) \right|^2 \zeta_\perp Q K_1(\zeta_\perp Q) + ext{higher Fock sector contributions}$$

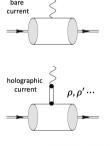
Electromagnetic coupling in AdS₅:

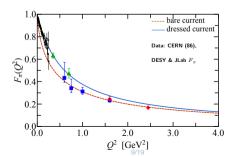
[Grigoryan:2007wn, Abidin:2009hr]

$$S_{\rm int} = e_5 \int {\rm d}^5 x \, \sqrt{g} g^{NM} \Phi^*(x) i \overleftrightarrow{\nabla}_N \Phi(x) A_M(x) \quad \Rightarrow \quad F_\pi(Q^2) = \int z {\rm d}z \big| \varphi_\pi(z) \big|^2 {\pmb V}({\pmb Q}^2, {\pmb z})$$

where, $V(Q^2,z)$ is the bulk-to-boundary propagator of the 5D EM field $A_N(x)$. In soft-wall model,

$$V(Q^2,z) = \Gamma\big(1+\frac{Q^2}{4\kappa^2}\big)U\big(\frac{Q^2}{4\kappa^2},0;\kappa^2z^2\big) \stackrel{Q^2\to\infty}{=} zQK_1(zQ)\Big[1+O(Q^{-1})\Big]$$





Parameters: soft-wall:
$$\kappa=\frac{1}{2}m_{\rho}=0.388$$
 GeV; hard-wall: $\Lambda_{\rm QCD}=z_m^{-1}=0.322$ GeV

Soft-wall: r_C = 0.62 fm Hard-wall: r_C = 0.57 fm Experiment: r_C = 0.67 fm

Pion gravitational form factor A_{π} in holographic QCD

■ Brodsky-Hwang-Ma-Schmidt formula in LFQCD:

[Brodsky:2008pf]

$$A_\pi(Q^2) = \int \zeta_\perp \mathrm{d}\zeta_\perp \left| \varphi_\pi(\zeta_\perp) \right|^2 \frac{1}{2} \zeta_\perp^2 Q^2 K_2(\zeta_\perp Q) + \text{higher Fock sector contributions}$$

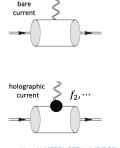
lacktriangledown Gravitational coupling in AdS5: $g_{NM} o g_{NM} + \delta g_{NM}$

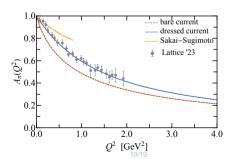
[Abidin:2008hn, Katz:2005ir]

$$A_{\pi}(Q^2) = \int z \mathrm{d}z \big|\varphi_{\pi}(z)\big|^2 \underline{H(Q^2, z)}$$

where, $H(Q^2,z)$ is the bulk-to-boundary propagator of the 5D gravitational field. In soft-wall model,

$$H(Q^2,z) = \Gamma\big(2 + \frac{Q^2}{8\kappa^2}\big)U\big(\frac{Q^2}{8\kappa^2}, -1; 2\kappa^2 z^2\big) \stackrel{Q^2 \to \infty}{=} \frac{1}{2}z^2Q^2K_2(zQ)\Big[1 + O(Q^{-1})\Big]$$





Parameters: $\begin{aligned} & \text{Parameters:} \\ & \text{soft-wall:} \ \kappa = \frac{1}{2} m_{\rho} = 0.388 \ \text{GeV;} \\ & \text{hard-wall:} \ \Lambda_{\text{QCD}} = z_m^{-1} = 0.322 \ \text{GeV} \end{aligned}$ $\begin{aligned} & \text{Soft-wall:} \ r_A = 0.39 \ \text{fm} \\ & \text{Hard-wall:} \ r_A = 0.32 \ \text{fm} \\ & \text{Lattice '23:} \ r_A = 0.4 \ \text{I (I) fm} \\ & \text{[Hackett:2023nkr]} \end{aligned}$

$\mathsf{GFF}\ D$ in LFQCD

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Reviews

Forces inside hadrons: Pressure, surface tension, mechanical radius, and all that

Maxim V. Polyakov and Peter Schweitzer 🖂

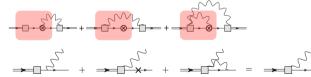
https://doi.org/10.1142/S0217751X18300259 | Cited by: 212 (Source: Crossref)

 \hat{T}_{++} of the EMT. Being related to the stress tensor \hat{T}_{ij} the form factor D(t) naturally "mixes" good and bad light-front components and is described in terms of transitions between different Fock state components in overlap representation. As a quantity intrinsically nondiagonal in a Fock space, it is difficult to study the D-term in approaches based on light-front wave functions. This is due to the rela-





■ In an explicit model calculation (scalar model in 3+1D), we showed that all non-diagonal contributions add up to a diagonal contribution [Cao:2023o





■ How could this miracle happen?

Light-front wave function representation of *D*-term

In light-front dynamics, operators can be exactly localized in the transverse direction and then form factors are expressed as F.T. of one-body densities,

■ Localizing the charge: Drell-Yan-West formula

[Drell:1969km, West:1970av]

$$Q = \int \mathrm{d}^3x \, J^+ \quad \rightarrow \quad J^+_{\mathrm{LF}}(r_\perp) = \sum_i e_i \delta^2(r_\perp - r_{i\perp}) \quad \rightarrow \quad \mathcal{F}(r_\perp) = \Big\langle \sum_i e_i \delta^2(r_\perp - r_{i\perp}) \Big\rangle$$

■ Localizing the momentum: Brodsky-Hwang-Ma-Schmidt formula

[Brodsky:2008pf]

$$P^{+,\perp} = \int \mathrm{d}^3x \, T^{++,\perp} \quad \rightarrow \quad \mathcal{A}(r_\perp) = \left\langle \sum_i x_i \delta^2(r_\perp - r_{i\perp}) \right\rangle$$

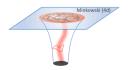
■ Localizing the light-front Hamiltonian: Cao-Li-Vary formula

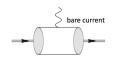
[Cao:2023ohj, Xu:2024hfx]

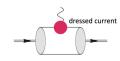
$$P^- = \int \mathrm{d}^3x \, T^{+-} \quad \rightarrow \quad \mathcal{T}^{+-}(r_\perp) = \Big\langle \sum_i \Big\{ \frac{-\frac{1}{4} \overrightarrow{\nabla}_{i\perp}^2 + m_i^2 + \frac{1}{4} \overrightarrow{\nabla}_\perp^2}{x_i} + V_i \Big\} \delta^2(r_\perp - r_{i\perp}) \Big\rangle$$

where, $V=M^2-\sum_i \frac{-\nabla_{i_\perp}^2+m_i^2}{x_i}$ is the potential energy, $\langle O \rangle \equiv \sum_n \int \left[\mathrm{d}x_i \mathrm{d}^2 r_{i\perp}\right]_n \widetilde{\psi}_n^*(\{x_i,\vec{r}_{i\perp}\}) O_n \widetilde{\psi}_n(\{x_i,\vec{r}_{i\perp}\}).$

- \blacksquare Large Q^2 scaling consistent with pQCD prediction $D_\pi(Q^2)\sim 1/Q^2$
- [Tong:2021ctu, Tong:2022zax]
- The dressed scalar current $S(Q^2,z)=\Gamma(2+\frac{Q^2}{4\kappa^2})U(\frac{Q^2}{4\kappa^2},-1,\kappa^2z^2)$ is the bulk-to-boundary propagator of a scalar field ${\rm tr} G^2$ ($\Delta=4$), i.e. scalar glueball [Colangelo:2007pt, Forkel:2007ru, Chen:2015zhh]

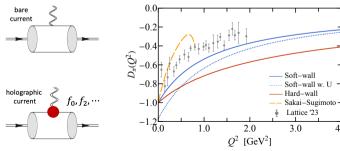






$$D_{\pi}(Q^2) = \int z \mathrm{d}z \big|\varphi_{\pi}(z)\big|^2 \Big\{ -\frac{1}{2} H(Q^2,z) - \frac{1}{2} S(Q^2,z) - \frac{2U(z)}{Q^2} \Big[\underline{V(Q^2,z) - H(Q^2,z)} \Big] \Big\}$$

- In hard-wall model, the *U*-term vanishes and $D_{\pi}^{\text{HW}}(0) = -1$, consistent with χ PT
- In soft-wall model, the U-term leads to a small deviation from -1: $D_{\pi}^{\rm SW}(0) = -1.17$ due to the imperfect $\chi{\rm SB}$, and should be neglected
- \blacksquare Glueball dominance: scalar glueball $D_\pi^S(0)=-0.5$, tensor meson $D_\pi^T(0)=-0.5$



Parameters: soft-wall: $\kappa=\frac{1}{2}m_{\rho}=0.388$ GeV; hard-wall: $\Lambda_{\rm QCD}=z_m^{-1}=0.322$ GeV Soft-wall: $r_D=0.43$ fm Hard-wall: $r_D=0.32$ fm Lattice '23: $r_D=0.61$ (7) fm [Hackett:2023nkr; $(M_{\pi}=170~{\rm MeV})$]

4.0

Nucleon gravitational form factor A_N

■ Brodsky-Hwang-Ma-Schmidt formula:

[Brodsky:2008pf]

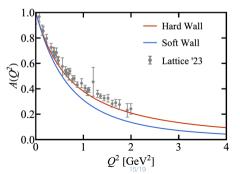
$$A_N(Q^2) = \int \zeta_\perp \mathrm{d}\zeta_\perp \frac{1}{2} \Big\{ \big| \varphi_+(\zeta_\perp) \big|^2 + \big| \varphi_-(\zeta_\perp) \big|^2 \Big\} \frac{1}{2} \zeta_\perp^2 Q^2 K_2(\zeta_\perp Q) + \text{higher Fock sector contributions}$$

lacktriangledown Gravitational coupling in AdS5: $g_{NM} o g_{NM} + \delta g_{NM}$

[Abidin:2008hn]

$$A_{N}(Q^{2}) = \int z \mathrm{d}z \frac{1}{2} \left\{ \left| \varphi_{+}(z) \right|^{2} + \left| \varphi_{-}(z) \right|^{2} \right\} \! \frac{H(Q^{2},z)}{L(Q^{2},z)}$$

here, recall $H(Q^2,z)$ is the bulk-to-boundary propagator of the 5D gravitational field.



Parameters (simultaneously fixed to the proton mass $M_p=0.98$ GeV and rho mass $m_\rho=0.70$ GeV): soft-wall: $\kappa=0.35$ GeV; hard-wall: $\Lambda_{\rm QCD}=z_m^{-1}=0.245$ GeV; soft-wall: $r_A=0.562$ fm; hard-wall: $r_A=0.562$ fm Lattice: $r_A=0.51(1)$ fm [Broniowski:2025ctl, Cao:2025dky]

Nucleon gravitational form factor B_N

 \blacksquare B(0) = 0 regardless of the choice of φ_+ , consistent with the Equivalence Principle

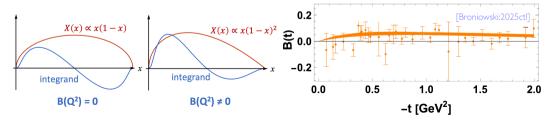
[Teryaev:1999su]

- In AdS₅, $B(Q^2) = 0$ for all Q^2 due to the absence of the tensor coupling to a bulk Dirac fermion
- In LFQCD, Brodsky-Hwang-Ma-Schmidt formula:

[Brodsky:2008pf]

$$\frac{q^1+iq^2}{2M_N}B(Q^2) = \int \mathrm{d}x \int \mathrm{d}^2\zeta_\perp \varphi_-^*(\zeta_\perp) \varphi_+(\zeta_\perp) \left\{ x e^{-i\sqrt{\frac{1-x}{x}}} \vec{q}_\perp \cdot \vec{\zeta}_\perp + (1-x) e^{+i\sqrt{\frac{x}{1-x}}} \vec{q}_\perp \cdot \vec{\zeta}_\perp \right\} + \cdots$$

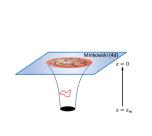
- $\blacksquare \ B(Q^2)=0$ also for all $Q^2\neq 0$ due to a symmetry of $\varphi_\pm(\zeta_\perp)$ under swapping $x\leftrightarrow 1-x$
- ⇒ For a general longitudinal profile $\psi(x,r_{\perp})=X(x)\varphi(\zeta_{\perp})$, $B(Q^2)$ is non-vanishing, but $B(Q^2)$ is still suppressed for longitudinal S-waves

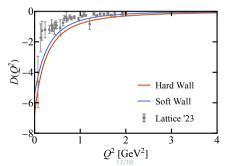


Nucleon gravitational form factor D_N

$$\begin{split} D_{N}(Q^{2}) &= \int z \mathrm{d}z \Big[\big| \varphi_{+}(z) \big|^{2} + \big| \varphi_{-}(z) \big|^{2} \Big] \Big\{ -\frac{1}{4} S(Q^{2},z) + \frac{4M^{2}}{Q^{2}} \big[V(Q^{2},z) - H(Q^{2},z) \big] \Big\} \\ &- \int \mathrm{d}^{2}z \Big[U_{+}(z) \big| \varphi_{+}(z) \big|^{2} + U_{-}(z) \big| \varphi_{-}(z) \big|^{2} \Big] \frac{2}{Q^{2}} \Big\{ V(Q^{2},z) - H(Q^{2},z) \Big\} \end{split}$$

- \blacksquare Large Q^2 scaling $D_N(Q^2) \sim 1/Q^4$ in contrast to the pQCD prediction
- Predictions to the D-term: $D_N^{\rm SW}(0)=-5.4,$ $D_N^{\rm HW}(0)=-6.7,$ compared to the MIT Lattice result D=-3.87(97)



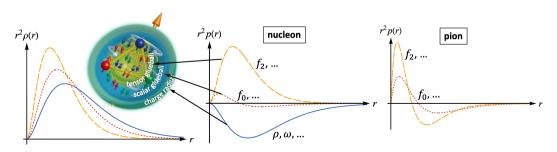


Parameters (simultaneously fixed to the proton mass $M_p=0.98$ GeV and rho mass $m_{
ho}=0.70$ GeV): soft-wall: $\kappa=0.35$ GeV; hard-wall: $\Lambda_{\rm QCD}=z_m^{-1}=0.245$ GeV;

soft-wall: r_D = 0.882 fm; hard-wall: r_D = 0.953 fm

Physical contributions at low Q^2

- lacktriangle Vector current, e.g. ho, ω , is attractive and long-ranged
- \blacksquare Tensor current, e.g. f_2 , is repulsive and short-ranged
- lacksquare Scalar current, e.g. f_0 , is in mechanical equilibrium, and mid-ranged
- For the pion, both the scalar glueball and tensor glueball are self-balanced
- Large-Q² scaling is related to the parton distributions through Brodsky-Farrar parton counting rule [Liu:2019vsn, deTeramond:2021lxc]



Summary

- The gravitational form factors emerge as a vital observable to unravel the internal structure of hadrons
- Holographic QCD provides a unique non-perturbative access to these observables with convincing physical pictures
- By exploiting the remarkable correspondence between light-front QCD and AdS/QCD, we computed the GFFs of the pion and the nucleon, and compared the results with recent Lattice simulations

Thank you!

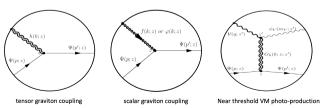


GFFs in AdS/QCD in 5D

- lacktriangle Access to the tensor GFF $A(Q^2)$: graviton-hadron scattering in AdS₅ [Abidin:2008hn, Abidin:2008ku, Abidin:2009hr]
- lacktriangleright NO access to GFF $D(Q^2)$, since graviton only couples to the traceless part of $T^{\mu\nu}$
- Adding the scalar gravitation allows the access to GFF $D(q^2)$; however, GFF $D(q^2)$ vanishes at large N_c due to the degeneracy between the scalar and tensor glueballs [Mamo:2019mka, Mamo:2021krl, Mamo:2022eui]

$$D_N(q^2) = \frac{4 M_N^2}{3 q^2} \Big[A(q^2) - \Theta(q^2) \Big]$$

- \blacksquare Fit scalar and tensor glueballs separately and obtained $A_N(0)=0.43$ and $D_N(0)=-1.275$
- GFFs interpreted as the gluon contributions, more relevant for the near-threshold VM production



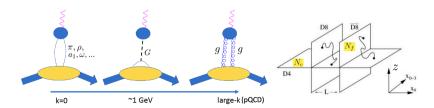
GFFs in top-down holographic QCD

Sakai-Sugimoto (SS) model in 10D

[Fujita:2022jus, Fujii:2024rqd]

- lacktriangle Key observation: the 4D part of the traceless $T^{\mu
 u}$ becomes traceful
- \blacksquare Obtained $D_\pi(0)=-1$ consistent with $\chi {\rm EFT}$
- lacksquare Large q^2 scaling different from pQCD prediction
- $lacksquare D_N(0) = -0.140(22)$ resulting from cancellation between U(1) and SU(2) fields
- New extraction using non-perturbative soliton solution gives $D_N(0)=-2.05$

[Sugimoto:2025btn]



Holographic light-front QCD

■ The *D*-term involves non-minimal coupling terms in gravitational EFT,

[Donoghue:1994dn]

$$S_D = -\frac{D}{4} \int \mathrm{d}^d x \sqrt{-g} R \phi^2$$

- Need constraints from both the QCD side and the gravity side
- Light-front holography: correspondence between semi-classical LFQCD and AdS/QCD in 5D
 - HLFOCD allows us to impose constraints from both the OCD and the gravity sides
 - Further insights: super-conformal algebra, Veneziano amplitudes, parton counting rules and GPD sum rules

