Advancing nuclear structure and scattering calculations using NLEFT



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GIBTU & KFUPM)

Frontiers in Nuclear Lattice EFT: From Ab Initio Nuclear Structure to Reactions Beihang University, Beijing Mar 1-3, 2025

Ab initio nuclear theory

Stellar nucleosynthesis

Center of Mass Energy (MeV) deBoer et al., Rev. Mod. Phys. 89, 035007

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(Formation of heavier elements in stars)

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Nuclear structure and nuclear reactions from the first princibles, without relying on any adjustable parameters.

Half life <mark>*Si</mark> 25i 25i 25i 25i 25i 25i 25i 25i 45i 45i 45i 2041 2241 3041 3141 3241 3341 3641 3741 3641 3741 3641 3741 4641 9Mg 20Mg 21Mg 22Mg 23Mg 2711g 2411g 100 daw 10 dave zula zolia zolia zolia zolia zolia zolia solia szlia solia sulia solia ante ante ante ante ante ante atte ante 1 hr 2786-1 min 29F 21R 22F 23F 24F 25F 26F 27F 25F 29F 19() 28() 21() 22() 23() 24() 25() 26() Avik's talk 144 171 144 191 244 211 224 231 15C 14C 17C 19C 19C 24C 24C 24C < Bing-nan's talk 13B 14B 18B 16B 17B 18B 19B ${}^{12}C(\alpha, \gamma){}^{16}O$ astrophysical S factor Helen's talk 12Be 13Be 14Be 8[i] 7[i] 10[i] 11[i Shuang's talk 7Bc 9Bc 9Bc 19Bc 6He E1 10 Young-Ho's talk 411 ۶H 10 source://atom.kaeri.kr/old/ton/nuchart1.html Zhengxue's talk 10 S factor (MeV b) 10 Ab-initio theory: describing nuclear systems di-10 (b) E2

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rectly from fundamental interactions.

Challenges:

- ightarrow Complex nature of nuclear forces.
- \rightarrow Scaling effectively to handle systems across

the entire nuclear chart

Ab initio nuclear theory: Towards neutron stars and hypernuclei



□ Nuclear systems involving quarks beyond up and down quarks.

Challenges: "Hyperon puzzle".



Outline

Introduction

- Nuclear forces from QCD
- Lattice effective field theory
- Wavefunction matching method
- Alpha-carbon scattering
- Neutron-alpha scattering
- Three-nucleon forces
- Nuclear thermodynamics
- Summary



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Chiral EFT for nucleons: nuclear forces

Chiral effective field theory organizes the nuclear interactions as an expansion in powers of momenta and other low energy scales such as the pion mass (Q/Λ_{χ}) .

The nuclear interactions as a series of increasing complexity:



Ordonez et al. '94; Friar & Coon '94; Kaiser et al. '97; Epelbaum et al. '98,'03,'05,'15; Kaiser '99-'01; Higa et al. '03; ...

Lattice formulation of chiral EFT

Lattice formulation of nuclear forces in the framework of chiral EFT:

- $\hfill\square$ a simpler decomposition into spin channels
- □ accurate phase shifts and binding energies.

$$\begin{split} V_{L,L'}^{S,l,J}(\mathbf{n}) &= \sum_{l_z,J_z} \sum_{S_z,L_z} \sum_{S'_z,L'_z} \left(\langle SS_z, LL_z | JJ_z \rangle \left[a(\mathbf{n}) \ \nabla^{2M} \ R^*_{L,L_z}(\nabla) \ a(\mathbf{n}) \right]_{S,S_z,l,l_z}^{S_{NL}} \right)^{\dagger} \\ &\times \langle SS'_z, L'L'_z | JJ_z \rangle \left[a(\mathbf{n}) \ \nabla^{2M} \ R^*_{L',L'_z}(\nabla) \ a(\mathbf{n}) \right]_{S,S'_z,l,l_z}^{S_{NL}} \end{split}$$

$$[a(\mathbf{n}) a(\mathbf{n}')]_{S,S_{z},l,l_{z}}^{S_{NL}} = \sum_{i,j,i',j'} a_{i,j}^{S_{NL}}(\mathbf{n}) M_{ii'}(S,S_{z}) M_{jj'}(l,l_{z}) a_{i,j}^{S_{NL}}(\mathbf{n}')$$

Li, SE, Epelbaum, Lee, Lu, Meißner Phys. Rev. C 98, 044002 (2018)

Chiral EFT for nucleons: NN scattering phase shifts



Chiral EFT for nucleons: NN scattering phase shifts



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Lattice Monte Carlo calculations: Euclidean time projection

Non-perturbative leading order calculations

A given initial state, $|\psi_I\rangle$, as a Slater determinant of free-particle standing waves on the lattice, is projected to evaluate a product of a string of transfer matrices \hat{M} .

$$\lim_{L_l\to\infty}\frac{\langle \psi_l| \quad \hat{M} \quad \hat{M} \quad \dots \quad H_{\rm LO} \quad \dots \quad \hat{M} \quad \hat{M} \quad |\psi_l\rangle}{\langle \psi_l| \quad \hat{M} \quad \hat{M} \quad \dots \quad \hat{M} \quad \hat{M} \quad |\psi_l\rangle} = E_{\rm LO}$$

In the limit of large Euclidean time the evolution operator the signal beyond the low-lying states is suppressed, and the ground state energy can be extracted.

Perturbative higher order calculations

 $ho = NLO, NNLO, \cdots$

The higher order corrections to the ground state energy can be computed as,

$$\lim_{L_l \to \infty} \frac{\langle \psi_l | \hat{M} \hat{M} \dots H_{ho} \dots \hat{M} \hat{M} | \psi_l \rangle}{\langle \psi_l | \hat{M} \hat{M} \dots \hat{M} | \psi_l \rangle} = \Delta E_{ho}$$

Chiral EFT for nucleons: NN scattering phase shifts

a = 1.97 fm and $p_{max} = \pi / a = 314$ MeV



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Chiral EFT for nucleons: NN scattering phase shifts

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Perturbative calculations



Е	E'
-2.010472457971	-2.445743725635
1.775231321023	1.721517536958
6.206769197086	6.118307106128
12.776191791947	12.667625238436
21.337188185570	21.213065578266

Perturbative energies			
q	$\langle \psi^{(0)} {\cal H}' \psi^{(q)} angle$		
0	-2.43080610		
1	-2.44610114		
2	-2.44574140		
3	-2.44575370		

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Perturbative calculations



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Wavefunction Matching

- $\exists H_{\chi}$: -severe sign oscillation, -derived from the underlying theory.
- \Box H_{soft} : -tolerable sign oscillation, -many-body observables with a fair agreement.

Can unitary transformation create a new chiral Hamiltonian which is (first order) perturbation theory friendly?

$$H'_{\chi} = U^{\dagger} H_{\chi} U$$



 \Box Let $|\psi_{\chi}^{0}\rangle$ be the normalized lowest eigenstate of H_{χ} .

 \Box Let $|\psi_{\text{soft}}^{0}\rangle$ be the normalized lowest eigenstate of H_{soft} .

$$U_{R',R} = \theta(r-R)\,\delta_{R',R} + \theta(R'-r)\,\theta(R-r)\,\left|\psi_{\chi}^{\perp}\right\rangle\left\langle\psi_{\text{soft}}^{\perp}\right|$$

SE et al. Nature 630, 8015, 59-63 (2024)

Wavefunction Matching

 \Box H_{soft} : -tolerable sign oscillation, -many-body observables with a fair agreement. \Box H_{χ} : -severe sign oscillation, -derived from the underlying theory.

Unitary transformation can create a new chiral Hamiltonian which is (first order) perturbative friendly

$$H'_{\chi} = U^{\dagger} H_{\chi} U \quad \rightarrow \quad H'_{\chi} = H_{\text{soft}} + \left| (H'_{\chi} - H_{\text{soft}}) \right|$$



Wavefunction Matching: Perturbative calculations



$E_{\rm hf}$	$E'_{\rm hf}$	q	$\langle \psi^{(0)}_{m{S}} m{H'} \psi^{(q)}_{m{S}} angle$				
-2.444693273	-2.444693273		<i>R</i> = 0.00	<i>R</i> = 1.32	R = 1.86	R = 2.28	$R = 3.22 \; \text{fm}$
1.769682286	1.769682286	0	-1.747230	-2.055674	-2.226685	-2.312220	-2.402507
6.282284485	6.282284485	1	-2.899573	-2.558509	-2.477194	-2.457550	-2.446214
13.008087181	13.008087181	2	-2.100368	-2.389579	-2.430212	-2.439585	-2.443339
21.786534446	21.786534446	3	-2.263765	-2.414809	-2.437676	-2.441072	-2.443233

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Ab initio nuclear theory: recent progress in NLEFT



Ab initio nuclear theory: recent progress in NLEFT

a = 1.32 fm and $p_{\text{max}} = \pi/a = 471$ MeV

Nuclei	B _{Q0} MeV	B_{Q^2} MeV	B _{Q4} MeV	Experiment
$E_{\chi,d}$	1.7928	2.1969	2.2102	2.2246
$\langle \psi^{0}_{ m soft} H_{\chi, d} \psi^{0}_{ m soft} \rangle$	0.4494	0.3445	0.6208	
$raket{\psi_{ ext{soft}}^{0} H_{\chi, ext{d}}^{\prime} \psi_{ ext{soft}}^{0}}$	1.6496	1.9772	2.0075	



SE et al. Nature 630, 8015, 59-63 (2024)

Chiral interactions at N3LO - 2NFs + 3NFs

Work	Constraints	Predictions	
NCSM, Barrett et al., Nogga et al.	BE of ³ H and ⁴ He	Spectrum of ⁶ Li and ⁷ Li	
NCSM, Navratil et al.	3 _{H,} 6 _{Li,} 10 _{B,} 12 _C	⁴ He, ⁶ Li, ^{10,11} B, ^{12,13} C	
NCSM, Maris et al., Roth et al.	BE of 3 H and 3 H β decay	Structures of $A = 7, 8$. ⁴ He, ⁶ Li, ¹² C and ¹⁶ O	
CC, Hagen et al.	BE of 3 H and 3 H $_{\beta}$ decay	EoS of nucleonic matter	
BMBPT, Tichai et al.	BE of 3 H and 3 H $_{\beta}$ decay	BE of ^{16–26} O, ^{36–60} Ca and ^{50–78} Ni	
IT-NCSM, Roth et al.	BE of 3 H and 4 He, and 3 H $_{\beta}$ decay	BE of ⁴ He, ¹⁶ O, ⁴⁰ Ca	
CC, Roth et al.	BE of 3 H and 4 He, and 3 H $_{\beta}$ decay	BE of ^{16,24} O, ^{40,48} Ca	
SCGF, Cipollone et al.	BE of 3 H and 4 He, and 3 H $_{\beta}$ decay	BE of ^{13,27} N, ^{14,28} O and ^{15,29} F	
AFDMC, Lynn <i>et al.</i>	BE of ³ H and n- ⁴ He P-wave phase shifts	EoS of nucleonic matter	
MBPT, Bogner <i>et al.</i> , Hebeler <i>et al.</i> , Drischler <i>et al.</i> , Wienholtz <i>et al.</i> , Si- monis <i>et al.</i>	BE ³ H and <i>R</i> _c of ⁴ He	symmetric and asymmetric NM, BE of $^{48-58}$ Ca, spectrum of <i>sd</i> -shell nuclei with 8 \leq <i>Z</i> , <i>N</i> \leq 20, BE and <i>R</i> _c of open- and closed-shell nuclei up to <i>A</i> = 78	
NCCI, Epelbaum <i>et al.</i> , Maris <i>et al.</i>	BE of ${}^{3}H$, <i>nd</i> spin-doublet scatter- ing length and the <i>pd</i> differential cross section	the spectrum of light nuclei with $A = 3-16$, elastic <i>nd</i> scatterin, and in the deuteron breakup reactions, properties of the $A = 3$, nuclei, and for spectra of p-shell nuclei up to $A = 16$, BE and R of the oxygen and calcium isotope chains	
CC, Carlsson <i>et al.</i> , Ekström <i>et al.</i> , Hagen <i>et al.</i>	BE of ³ H, ^{3,4} He, ¹⁴ Li and 16,22,24,25 _O	$R_{\rm C}$ and BE of nuclei up to 40 Ca, symmetric nuclear matter, neutron skin of 48 Ca, structure of 78 Ni	
NCSM, IM-SRC, IM-NCSM, Hüther et al.	BE of ³ H and ¹⁶ O	$\it R_{\rm C}$ and BE of $^{\rm 4}{\rm He},^{\rm 14-26}{\rm O},^{\rm 36-52}{\rm Ca}$ and $^{\rm 48-78}{\rm Ni},$ the spectrum of $^{\rm 7}{\rm Li},^{\rm 8}{\rm Be}$, $^{\rm 9}{\rm Be}$ and $^{\rm 10}{\rm B}$	
CC, Jiang et al.	properties of $A \le 4$	properties of nuclei from $A = 16 - 132$	

Ab initio nuclear theory: recent progress in NLEFT



Scattering on the lattice



Lüscher's finite volume method: Lüscher, Comm. Math. Phys. 105 (1986) 153; NPB 354 (1991) 531

Spherical wall method:

$$\mathbf{R}_{\ell}^{(\boldsymbol{p})}(\boldsymbol{r}) = \mathbf{N}_{\ell}(\boldsymbol{p}) \times \begin{cases} \cot \delta_{\ell}(\boldsymbol{p}) \, j_{\ell}(\boldsymbol{p} \, \boldsymbol{r}) - n_{\ell}(\boldsymbol{p} \, \boldsymbol{r}) \\ \cot \delta_{\ell}(\boldsymbol{p}) \, \mathbf{F}_{\ell}(\boldsymbol{p} \, \boldsymbol{r}) + \mathbf{G}_{\ell}(\boldsymbol{p} \, \boldsymbol{r}) \end{cases}$$

Nucl. Phys. A 424, 47-59 (1984), Eur. Phys. J. A 34, 185-196 (2007).



Scattering and reactions: Adiabatic projection method

The method constructs a low energy effective theory for the clusters

Use initial states parameterized by the relative spatial separation between clusters, and project them in Euclidean time.



$$|\psi_{I}^{R}
angle = \sum_{\vec{r}} |\vec{r} + \vec{R}
angle_{1} \otimes |\vec{r}
angle_{2}$$

 $|\psi^R_I
angle_ au=m{e}^{-H au}\;|\psi^R_I
angle$ dressed cluster state

The adiabatic projection in Euclidean time gives a systematically improvable description of the low-lying scattering cluster states.

In the limit of large Euclidean projection time the description becomes exact.

SE & Lee. PRC 90 064001 (2014).

SE, Lee, Rupak, Epelbaum, Krebs, Lähde, Luu, & Meißner. *Nature* 528, 111-114 (2015). SE, Lee, Meißner & Rupak *EPJA* 52, 6, 174 (2016).

Adiabatic projection method



Hamiltonian matrix

$$[H_{\tau}]_{R,R'}^{J,J_z} = \frac{J,J_z}{\tau} \langle \psi_I^R | H | \psi_I^{R'} \rangle_{\tau}^{J,J_z}$$

Norm matrix

$$[N_{\tau}]_{R,R'}^{J,J_z} = \begin{array}{c} J,J_z \\ \tau \end{array} \langle \psi_I^R | \psi_I^{R'} \rangle_{\tau}^{J,J_z}$$

$$[H_{\tau}^{a}]_{\vec{R},\vec{R}'}^{J,J_{z}} = \left[N_{\tau}^{-1/2} H_{\tau} N_{\tau}^{-1/2}\right]_{\vec{R}\,\vec{R}'}^{J,J_{z}}$$

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Ab initio nuclear theory: alpha-Carbon scattering



Ab initio nuclear theory: alpha-Carbon scattering



a = 1.32 fm and $p_{max} = \pi / a = 471$ MeV



Work in progress.

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a = 1.32 fm and $p_{max} = \pi / a = 471$ MeV





Kravvaris et al. PRC 102, 024616 (2020)

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Work in progress.



a = 1.32 fm and $p_{max} = \pi/a = 471$ MeV



	N3LO	Experiment	
⁹ Be, $\frac{3}{2}^{-}$	-57.6(3)	58.2	
⁹ Be, $\frac{1}{2}^+$	-58.2(1)	56.5	
⁹ Be, $\frac{1}{2}^{-}$	-56.4(1)	55.4	

Shen et al. arXiv:2411.14935 (2024)

Work in progress.



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 $V_{2,^{1}P_{1}}, V_{2,^{3}P_{0}}, V_{2,^{3}P_{1}}, V_{2,^{3}P_{2}}, V_{4,^{1}P_{1}}, V_{4,^{3}P_{0}}, V_{4,^{3}P_{1}}, V_{4,^{3}P_{2}}, V_{4,^{3}PF}$



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The likelihood for the our model to be maximized is

$$\mathcal{L}(\vec{\beta},\sigma^2) = \prod_{n \in \mathcal{S}_{trn}} \frac{1}{(2\pi\sigma_n^2)^{1/2}} \exp\left\{-\frac{1}{2\sigma_n^2} \left[z_n - z_{n,NP}^{theory} - \sum_{k \in \mathcal{F}_p} \beta_k \frac{\partial z_n^{theory}}{\partial \beta_k}\right]^2\right\},$$

Objective is to determine the optimal subsets S_{trn} and \mathcal{F}_{p} , as well as regression coefficients $\vec{\beta}$.

The acceptance probabilities for the new configurations S_{trn} and \mathcal{F}_{p} ,

$$\alpha_{\mathcal{S}} = \min\left(1, \frac{\mathcal{L}(\vec{\beta}', \sigma^2)q(\mathcal{S}_{trn}, \mathcal{F}_{p}|\mathcal{S}_{trn}, \mathcal{F}_{p})}{\mathcal{L}(\vec{\beta}, \sigma^2)q(\mathcal{S}_{trn}, \mathcal{F}_{p}|\mathcal{S}_{trn}, \mathcal{F}_{p})}\right), \quad \alpha_{\mathcal{F}_{p}} = \min\left(1, \frac{\mathcal{L}(\vec{\beta}', \sigma^2)q(\mathcal{S}_{trn}, \mathcal{F}_{p}|\mathcal{S}_{trn}, \mathcal{F}_{p})}{\mathcal{L}(\vec{\beta}, \sigma^2)q(\mathcal{S}_{trn}, \mathcal{F}_{p}|\mathcal{S}_{trn}, \mathcal{F}_{p})}\right)$$

Then, evaluate the Root Mean Square Deviation (RMSD) as follows,

$$\text{RMSD}(S) = \sqrt{\frac{1}{M_S} \sum_{i \in S} \left(\frac{z_i^{\text{theory}} - z_i^{\text{exp}}}{A_i}\right)^2}$$

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Ab initio nuclear theory: recent progress in NLEFT



SE et al. Nature 630, 8015, 59-63 (2024)

The Equation of State (EoS) of nuclear matter:

- Plays a fundamental role in understanding the structure and dynamics of neutron stars, the early universe, and heavy-ion collisions.
- Governs the behavior of nuclear matter under extreme conditions of density and temperature.

The challenge is to accurately capture **strong correlations** and **nuclear clustering** while overcoming sign problems and computational limitations, particularly at **finite temperature**.

Ab initio nuclear theory: nuclear clustering



SE et al. PRL 117, 132501 (2016)





Ab-initio nuclear thermodynamics using NLEFT

Pinhole Trace Algorithm (PTA): A novel approach enabling simulations of nuclear matter at nonzero temperature with a computational speed-up by orders of magnitude over grand canonical methods.



- First-principles calculations of nuclear thermodynamics using NLEFT.
- First-principles study of nuclear clustering in hot dilute nuclear matter.



Ren et al. PLB 850, 138463 (2024)

Ab initio nuclear theory: recent progress in NLEFT

Work in progress.



$$u = \frac{T}{2} \ln \left[A(A+1) \frac{\langle \mathcal{B}_{-1} \rangle_{\Omega}}{\langle \mathcal{B}_{1} \rangle_{\Omega}} \right]$$

$$\mathcal{B}_{1} = \sum_{c'} \langle \vec{c} \cup c' | M(s_{L_{t}}) \dots M(s_{1}) | \vec{c} \cup c' \rangle / P(\vec{s}, \vec{c})$$

$$\mathcal{B}_{-1} = \sum_{c_{i}} \langle \vec{c} \setminus c_{i} | M(s_{L_{t}}) \dots M(s_{1}) | \vec{c} \setminus c_{i} \rangle / P(\vec{s}, \vec{c})$$

$$\mathcal{B}_{\pm 1} = \frac{\mathcal{B}_{\pm 1}^{(0)}}{\mathcal{M}^{(0)}} + \frac{\mathcal{B}_{\pm 1}^{(1)}}{\mathcal{M}^{(0)}} - \frac{\mathcal{B}_{\pm 1}^{(0)} \mathcal{M}^{(1)}}{\mathcal{M}^{(0)} \mathcal{M}^{(0)}} + \mathcal{O}\left[(\mathcal{M}^{(1)})^{2} \right]$$



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Ab initio nuclear theory: recent progress in NLEFT



Work in progress.

Summary

- Nuclear forces in the framework of chiral effective field theory are well-established, and it is very important time for *ab initio* methods to make predictions in manynucleon system using these forces.
- The wave function matching method offers rapid convergence in perturbation theory for many-body nuclear systems. It enables accurate calculations of nuclear binding energies, neutron matter, symmetric nuclear matter, and charge radii, all in excellent agreement with experimental data.
- The collaboration is advancing nuclear theory by performing calculations for nuclear structure, scattering and reactions.
- Our recent calculations will be complemented by improvements to nuclear forces on the lattice, such as including the explicit incorporation of the two-pion exchange potentials and more.

