

# Alpha-alpha scattering using Adiabatic Projection Method

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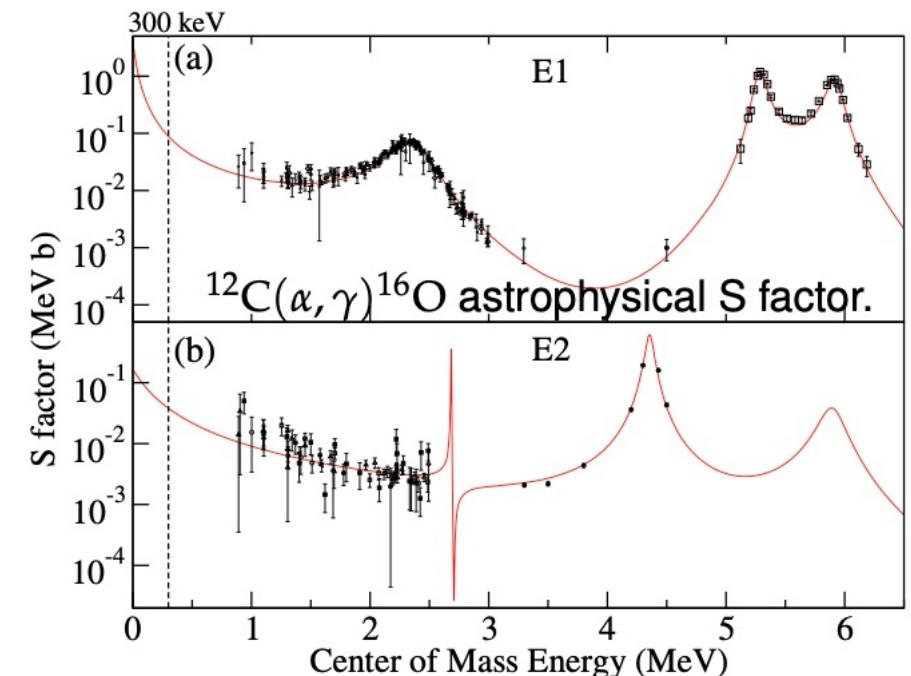
NLEFT Collaboration

# Outline

- Introduction and Adiabatic Projection Method
- New calculation - Trimmed sampling
- Results
- Summary

# Alpha-alpha scattering

- One of the most fundamental reactions in nuclear astrophysics.
- Alpha scattering, triple-alpha reaction, alpha capture play major role in stellar nucleosynthesis.
- Direct experimental results at 300 keV is impossible due to Coulomb barrier.
- For accurate reaction rate calculation, we need cross section within energy range 0.15 – 3.4 MeV.
- Study scattering by adiabatic projection method



# Adiabatic Projection Method

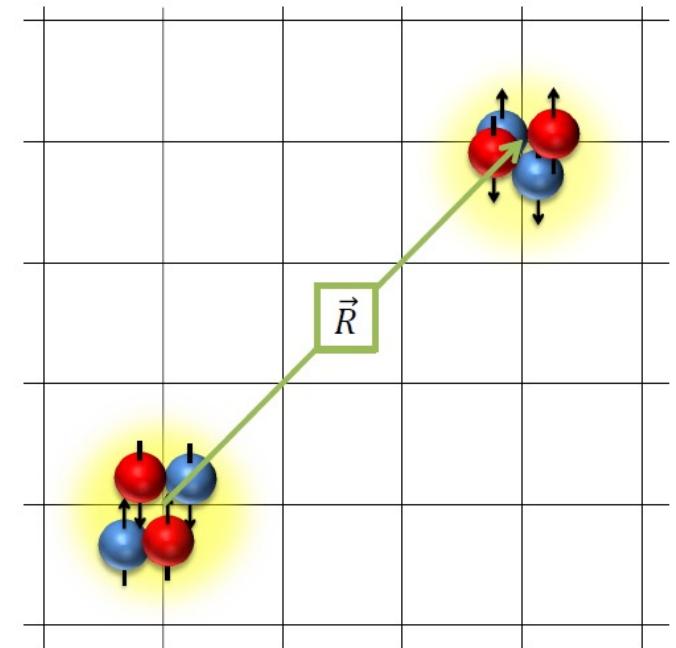
- Initial cluster states with relative separation  $\vec{R}$

$$|\vec{R}\rangle = \sum_{\vec{r}} |\vec{r} + \vec{R}\rangle \otimes |\vec{r}\rangle$$

- Time evolved ‘dressed cluster states’

$$|\vec{R}\rangle_\tau = \exp(-H\tau)|\vec{R}\rangle$$

- $H$  is full A-body interacting microscopic Hamiltonian
- $\tau = L_t a_t$
- $\lim_{\tau \rightarrow \infty} \{|\vec{R}\rangle_\tau\}$  spans low-energy two-cluster subspace



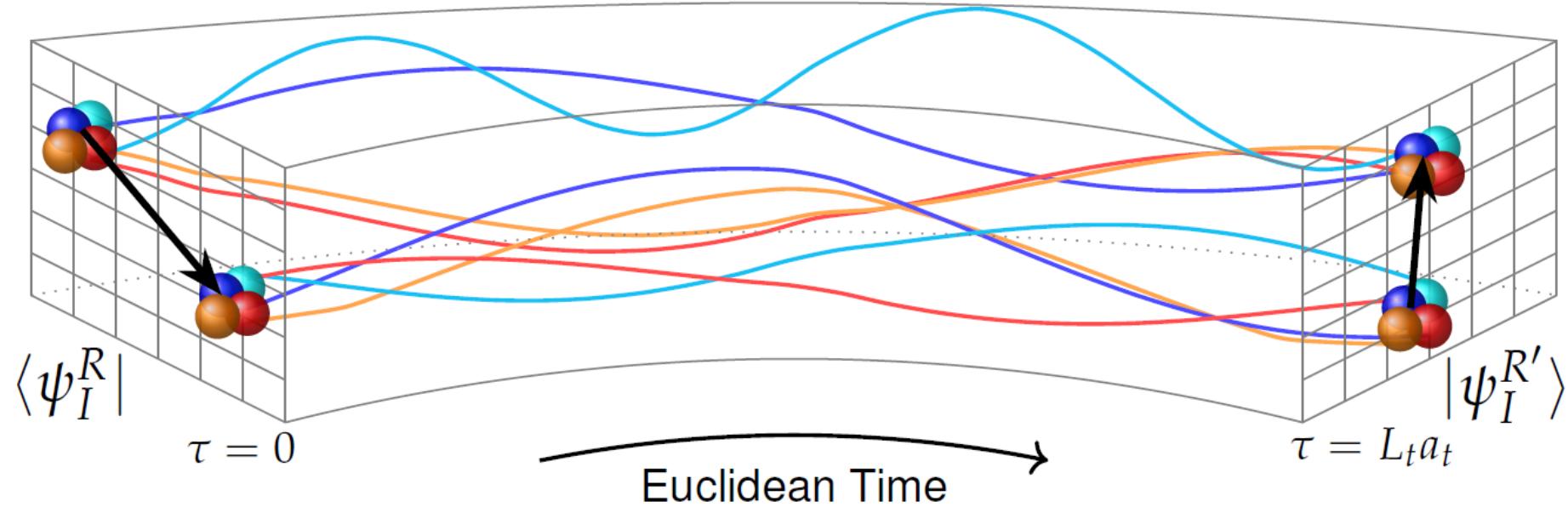
[1] M. Pine, D. Lee, G. Rupak, *Eur. Phys. J. A* 49, 151 (2013)

[2] S. Elhatisari, D. Lee, *Phys. Rev. C* 90, 064001

[3] S. Elhatisari, D. Lee, G. Rupak, E. Epelbaum, H. Krebs, T. Lähde, T. Luu, & U-G. Meißner. *Nature* 528, 111-114 (2015).

[4] S. Elhatisari, D. Lee, U-G. Meißner, G. Rupak, *Eur. Phys. J. A* 52, 174 (2016)

[5] S. Elhatisari, T. Lähde, D. Lee, U-G. Meißner, T. Vonk, *J. High Energ. Phys.* 2022



- Projected Hamiltonian

$$[H_\tau]_{\vec{R}, \vec{R}'} = {}_\tau \langle \vec{R} | H | \vec{R}' \rangle_\tau$$

Norm

$$[N_\tau]_{\vec{R}, \vec{R}'} = {}_\tau \langle \vec{R} | \vec{R}' \rangle_\tau$$

- Adiabatic Hamiltonian

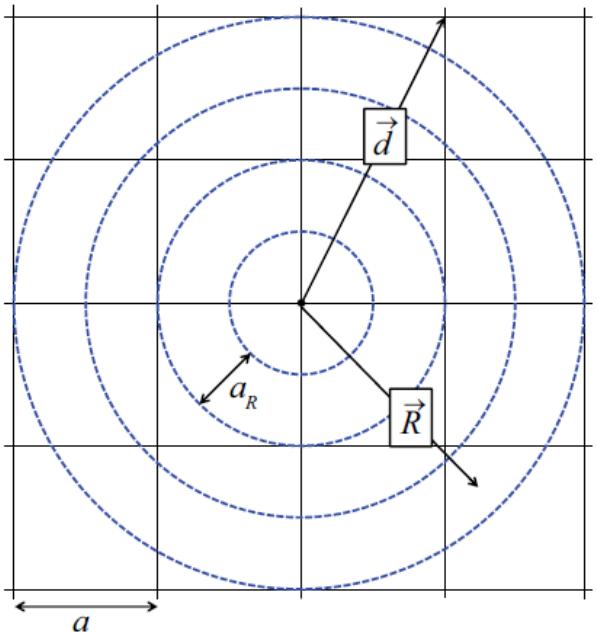
$$[H_\tau^a]_{\vec{R}, \vec{R}'} = \left[ N_\tau^{-1/2} H_\tau N_\tau^{-1/2} \right]_{\vec{R}, \vec{R}'}$$

# Adiabatic Hamiltonian

- 10x10 matrix → 100 elements to be computed → multi-channel calculations.
- Lowest eigenvalue → direct one-channel calculation (after infinite Euclidean time propagation).
- $E_{8Be}(Lt = 20, N3LO) = -57.34 \pm 0.05$   
 $E_{APM}(Lt = 20, N3LO) = -59.34 \pm 0.74$
- Information of the clusters at different distances → can extract phase shifts.
- Adiabatic projection method is much better than Lüscher's method [1]

[1] Lüscher, Comm. Math. Phys. 105 (1986) 153; NPB 354 (1991) 531

# Radial binning



$L$	$[M_{L_t}^a]_{\vec{d}, \vec{d}'}$	$[M_{L_t}^a]_{d, d'}^{0,0}$	$\frac{[M_{L_t}^a]_{R, R'}^{0,0}}{a_R = 0.125 \text{ l.u.} \quad a_R = 0.250 \text{ l.u.}}$
10	$10^3 \times 10^3$	$22 \times 22$	$21 \times 21 \quad 14 \times 14$
20	$20^3 \times 20^3$	$85 \times 85$	$58 \times 58 \quad 34 \times 34$
30	$30^3 \times 30^3$	$189 \times 189$	$97 \times 97 \quad 54 \times 54$
40	$40^3 \times 40^3$	$335 \times 335$	$137 \times 137 \quad 74 \times 74$
50	$50^3 \times 50^3$	$522 \times 522$	$177 \times 177 \quad 94 \times 94$
60	$60^3 \times 60^3$	$752 \times 752$	$217 \times 217 \quad 114 \times 114$

- Project initial states on spherical harmonics

$$|\vec{d}\rangle = \sum_{\vec{n}} |\vec{n} + \vec{d}\rangle_1 \otimes |\vec{n}\rangle_2$$



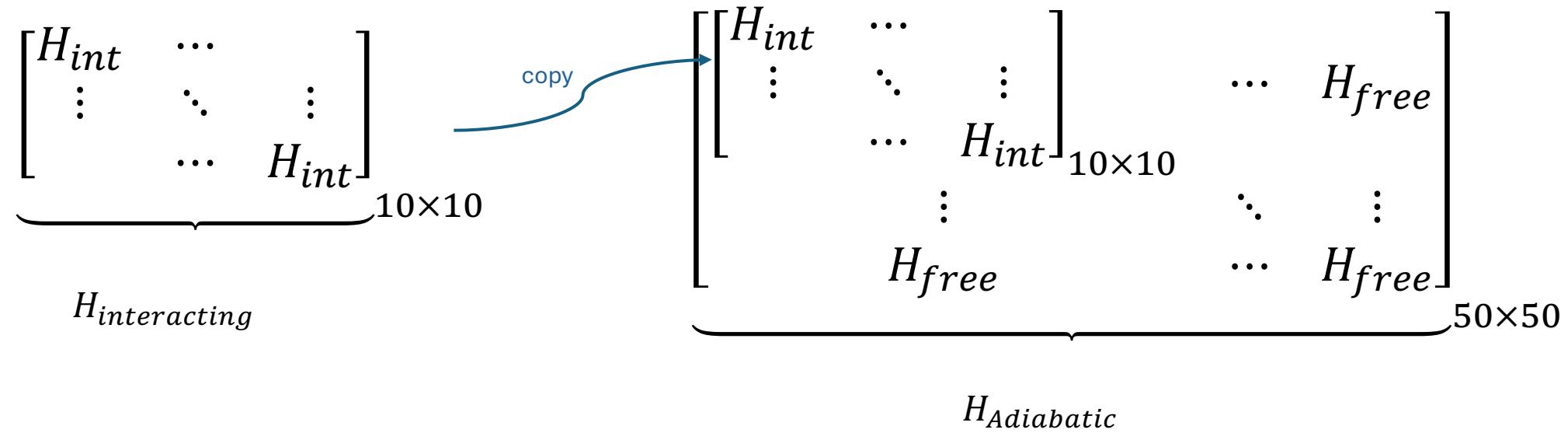
$$|d\rangle^{\ell, \ell_z} = \sum_{\vec{d}'} Y_{\ell, \ell_z}(\hat{d}') \delta_{d, |\vec{d}'|} |\vec{d}'\rangle$$

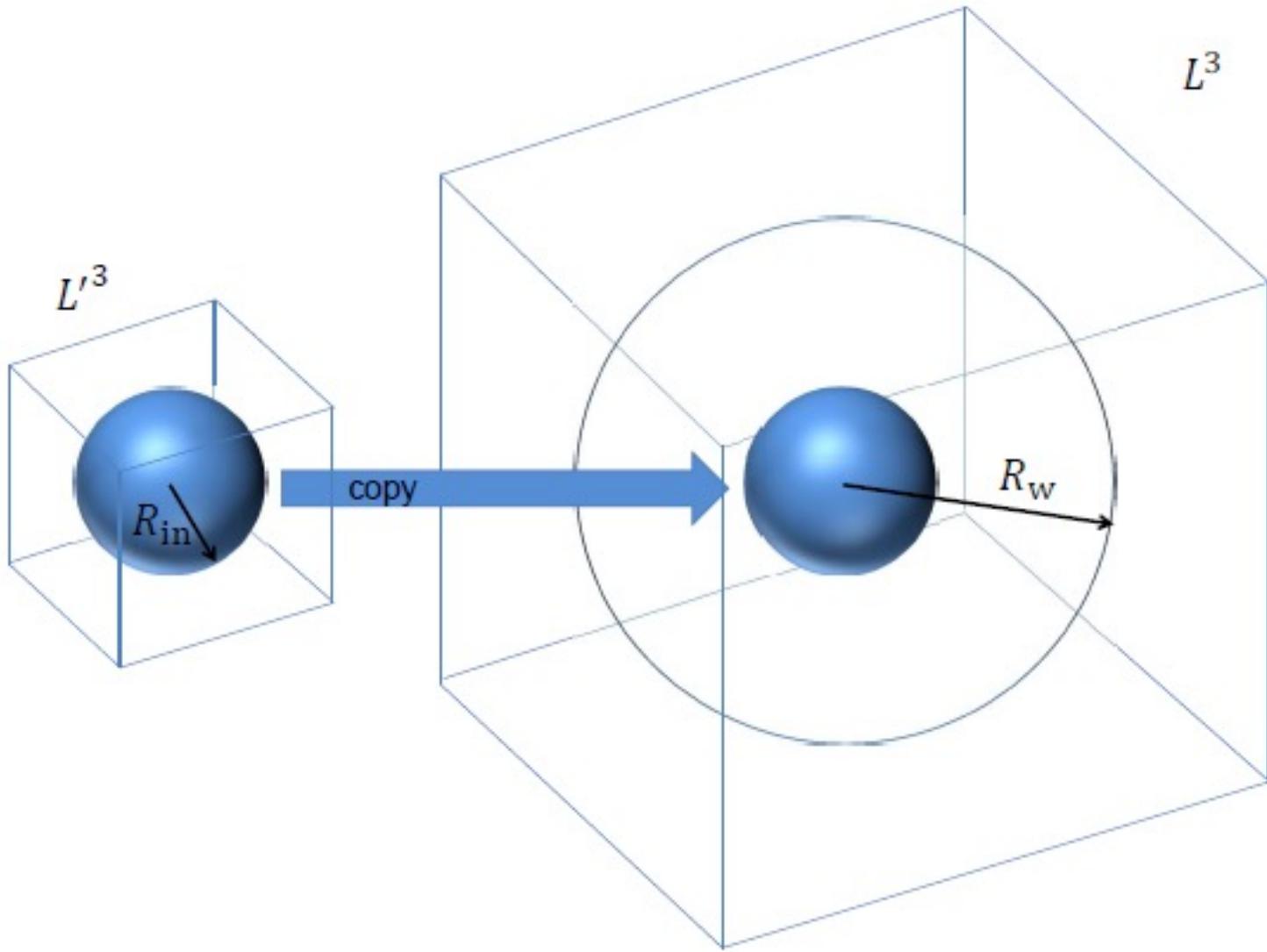
and bin the points together

$$|R\rangle^{\ell, \ell_z} = \sum_{|d-R| < a_R/2} |d\rangle^{\ell, \ell_z}$$

# Constructing large box Adiabatic Hamiltonian

- Low energy phase shifts → large lattice box size → full interacting calculation too expensive
- Two separate calculations – 1. Full interacting microscopic Hamiltonian in small box, 2. Non-interacting Hamiltonian in large box





# Phase shift calculation

- Find eigenvector of Adiabatic Hamiltonian and look at asymptotic region

$$R_\ell^{(p)}(r) = N_\ell(p) \times \begin{cases} \cot \delta_\ell(p) j_\ell(pr) - n_\ell(pr), \\ \quad \text{for any finite-range potential,} \\ \cot \delta_\ell(p) F_\ell(pr) + G_\ell(pr), \\ \quad \text{for charged clusters,} \end{cases}$$

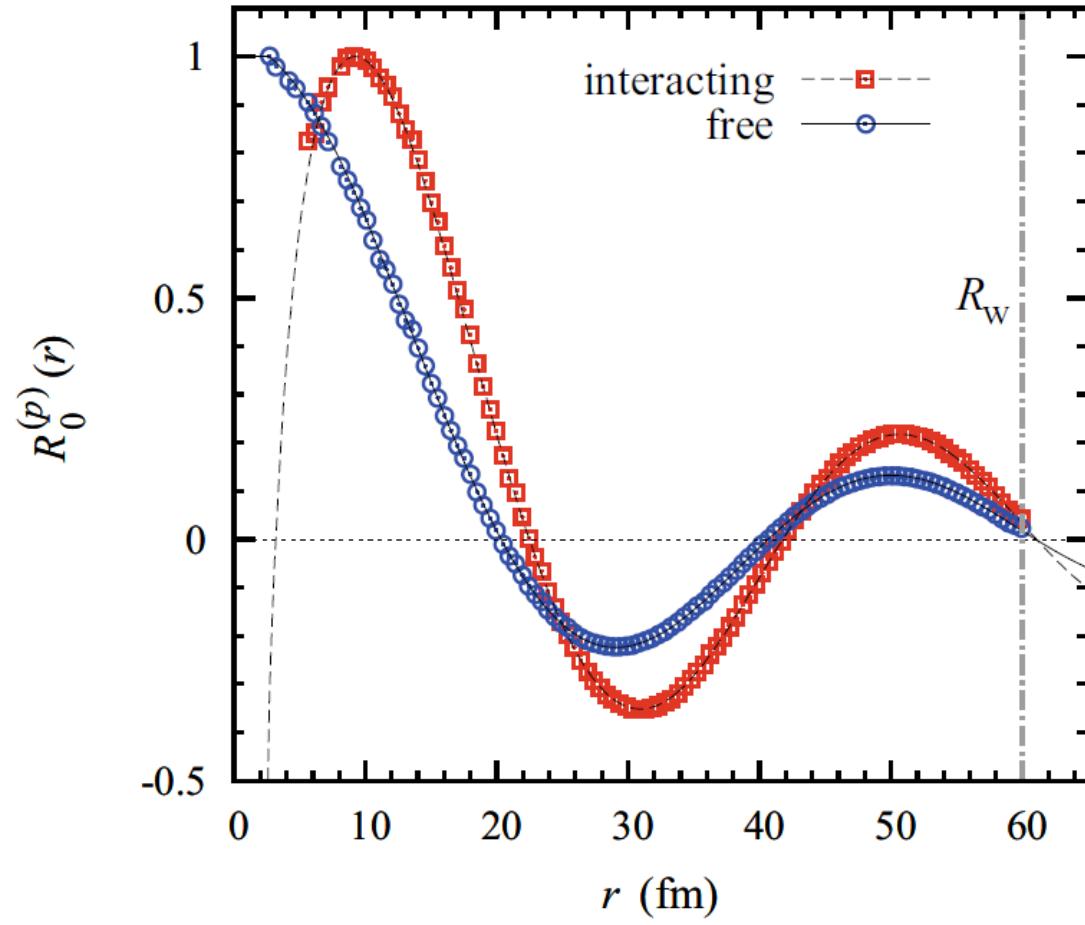
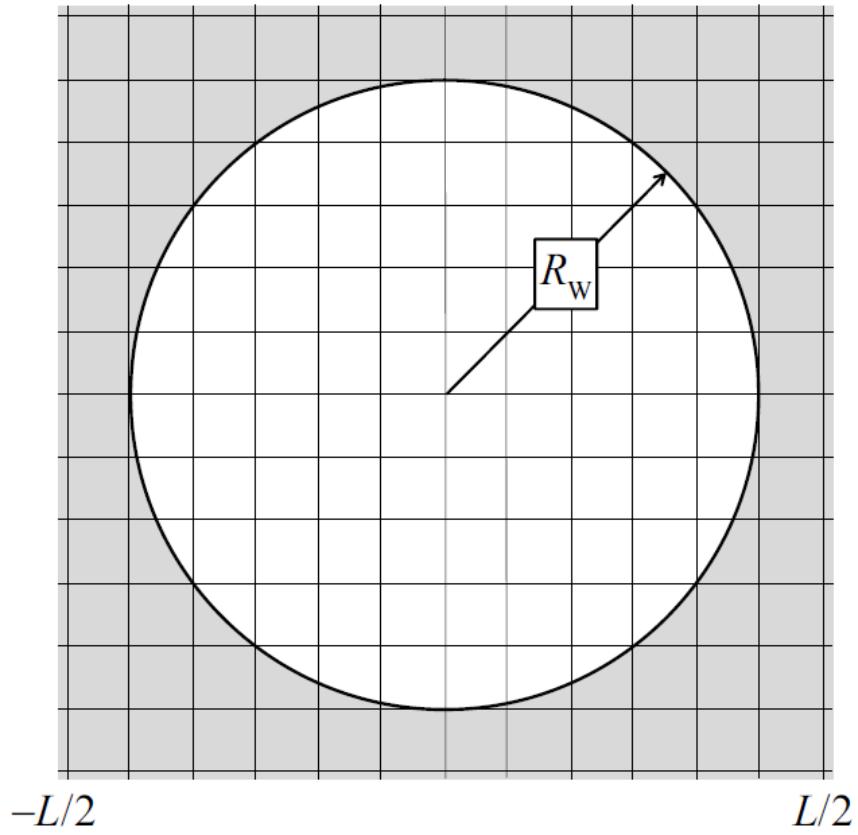
- Impose spherical hard wall and calculate phase shifts

$$\delta_\ell(p) = \begin{cases} \tan^{-1} \left[ \frac{j_\ell(p R'_w)}{n_\ell(p R'_w)} \right], \\ \quad \text{for any finite-range potential,} \\ -\tan^{-1} \left[ \frac{F_\ell(p R'_w)}{G_\ell(p R'_w)} \right], \\ \quad \text{for charged clusters.} \end{cases}$$

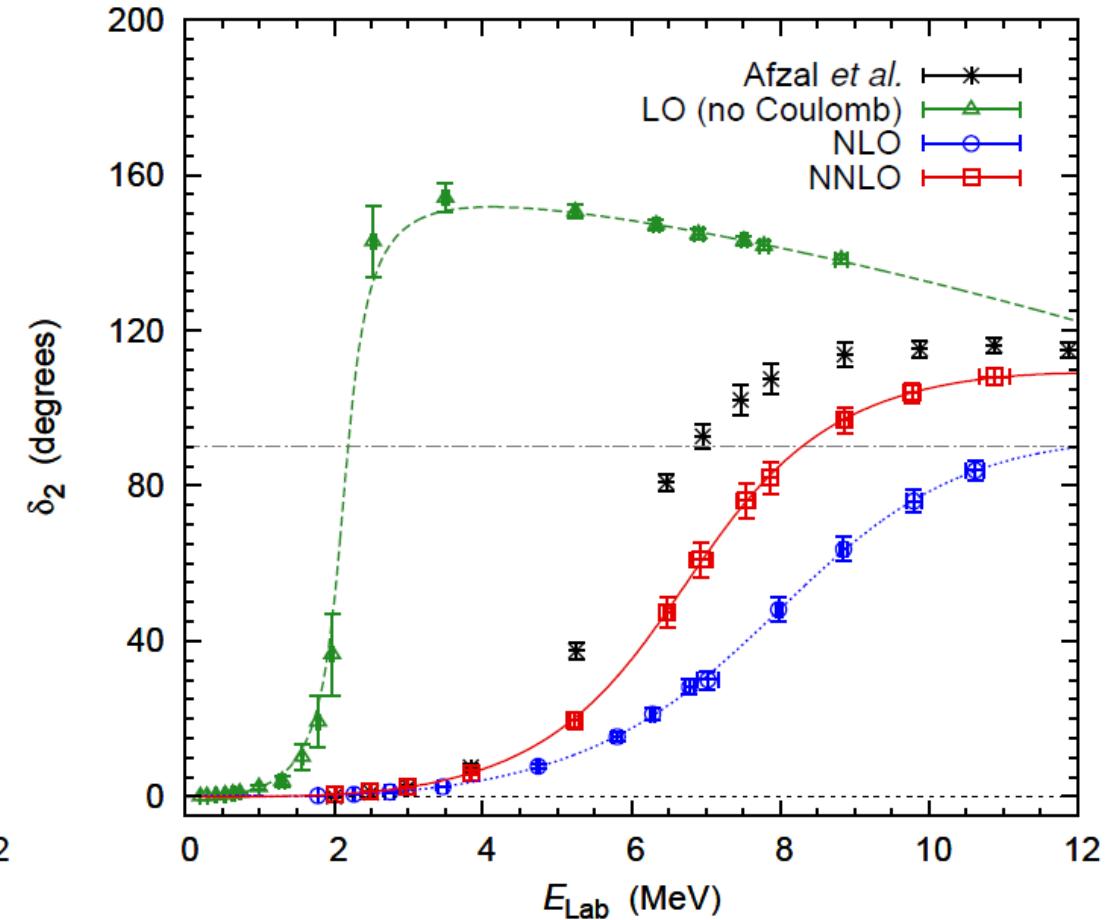
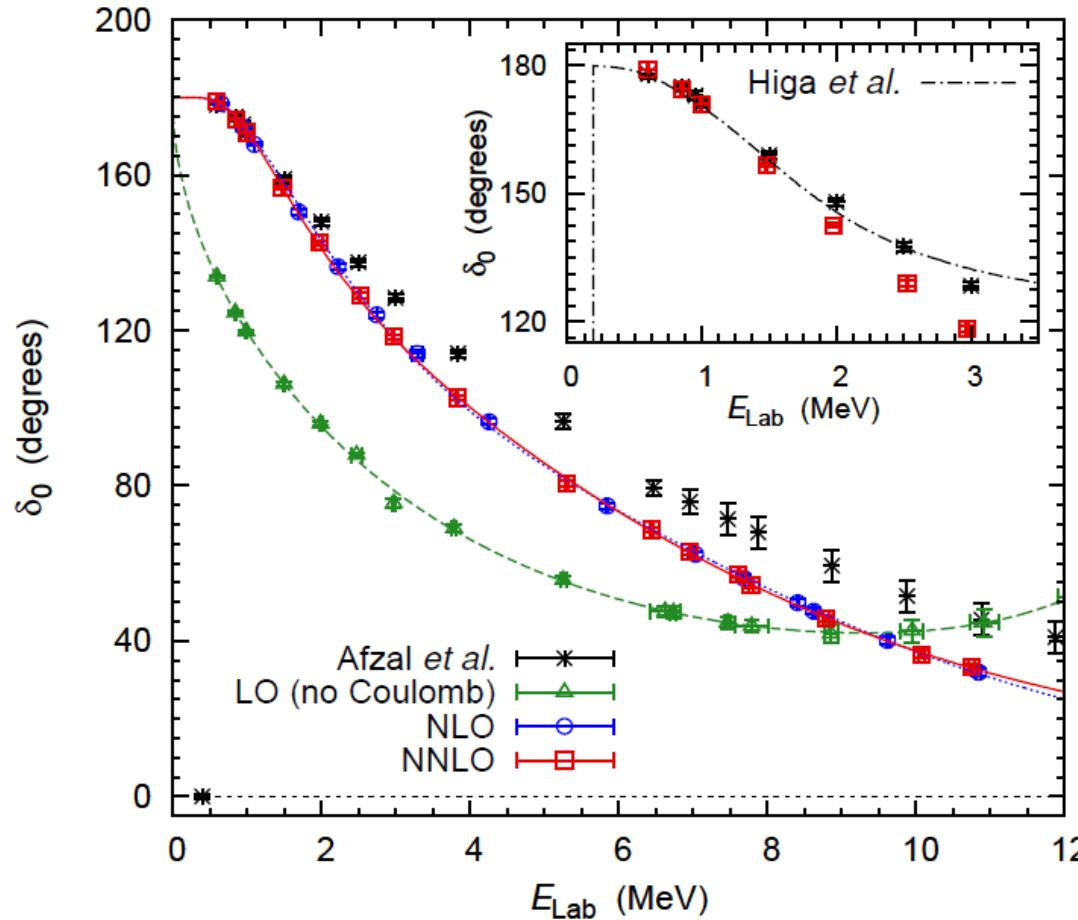
[1] J. Carlson, V.R. Pandharipande, R.B. Wiringa, Nucl. Phys. A 424, 47 (1984)

[2] B. Borasoy, E. Epelbaum, H. Krebs, D. Lee, U.-G. Meißner, Eur. Phys. J. A 34, 185 (2007)

# Spherical wall method



# Previous alpha-alpha results

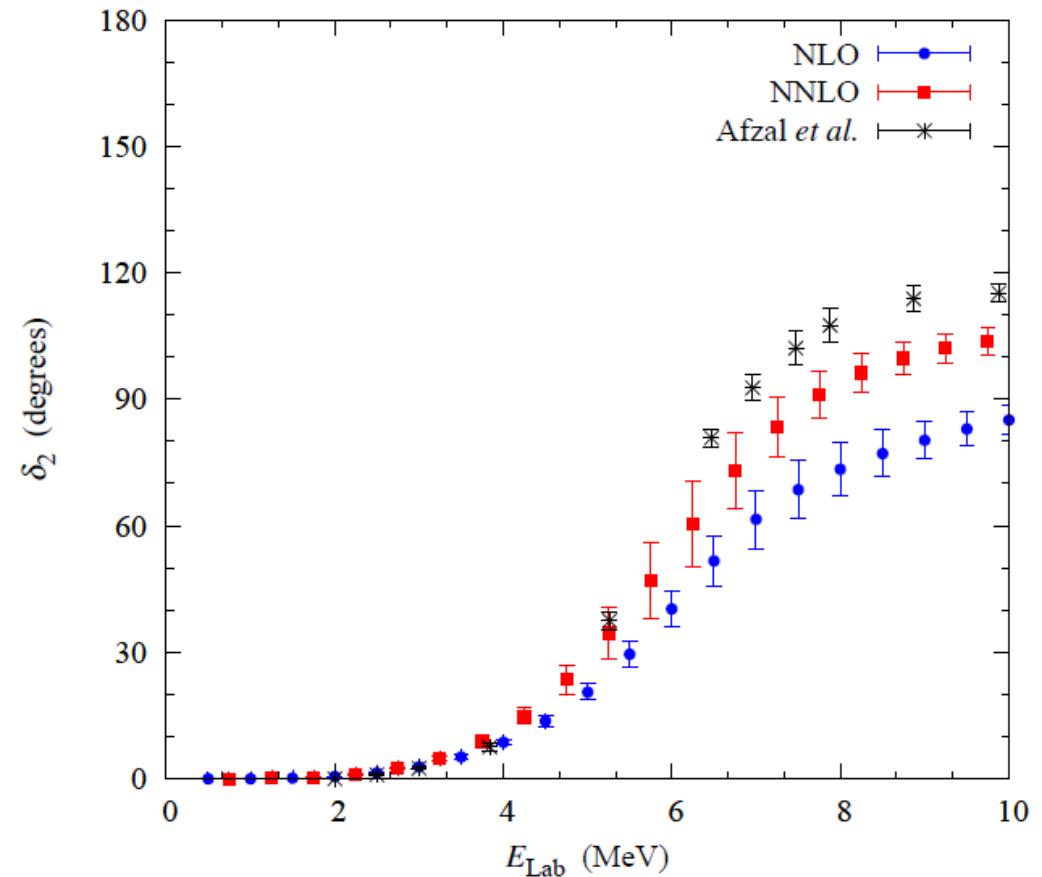
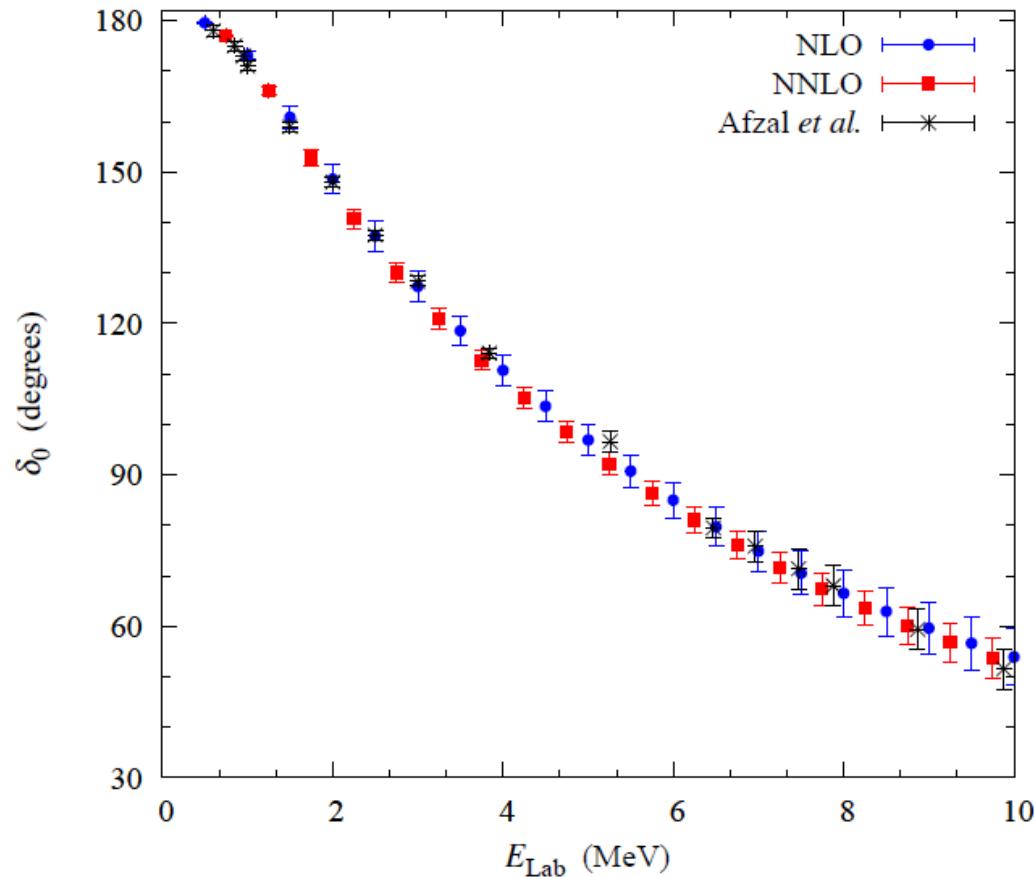


[1] Afzal, Ahmad, Ali, Rev. Mod. Phys. 41, 247, (1969)

[2] Higa, Hammer, van Kolck, Nucl.Phys. A809, 171 (2008), 0802.3426

[3] S. Elhatisari, D. Lee, G. Rupak, E. Epelbaum, H. Krebs, T. Lähde, T. Luu, & U-G. Meißner. Nature 528, 111-114 (2015).

# More recent alpha-alpha results



# This work vs previous work

- Smaller lattice spacing –  $1.97 \text{ fm}$  vs  $1.32 \text{ fm}$
- Smaller temporal spacing –  $1.32 \text{ fm}$  vs  $0.2 \text{ fm}$
- Improved interaction – NNLO vs N3LO
- Wavefunction matching

## ***Challenges***

- Expensive calculation
- Noise sensitive

# Noise sensitive

$$E_{8Be}(Lt = 20, N3LO) = -57.34 \pm 0.05$$

$$E_{APM}(Lt = 20, N3LO) = -69.21 (+10.70 - 33.42)$$

More statistics 

$$E_{APM}(Lt = 20, N3LO) = -59.34 \pm 0.74$$

$$\begin{pmatrix} H_{11} & \cdots & H_{1n} \\ \vdots & \ddots & \vdots \\ H_{n1} & \cdots & H_{nn} \end{pmatrix} \longrightarrow \text{Noisy matrices}$$

$H_{Adiabatic}$

Larger Lt requires even more statistics

# Sampling

$$\begin{pmatrix} H_{11} + \delta H_{11} & \cdots & H_{1n} + \delta H_{1n} \\ \vdots & \ddots & \vdots \\ H_{n1} + \delta H_{n1} & \cdots & H_{nn} + \delta H_{nn} \end{pmatrix}$$



Step 1:

$$H'$$

$$\begin{pmatrix} N_{11} + \delta N_{11} & \cdots & N_{1n} + \delta N_{1n} \\ \vdots & \ddots & \vdots \\ N_{n1} + \delta N_{n1} & \cdots & N_{nn} + \delta N_{nn} \end{pmatrix}$$



$$N'$$

Step 2: Solve generalized eigenvalue problem  $H' \lambda' = EN' \lambda'$

Step 3: Repeat process  $n$  times to get a set of  $n$  eigenvalues  $\longrightarrow E \pm \delta E$

# Trimmed Sampling part 1

$$\begin{pmatrix} H_{11} + \delta H_{11} & \cdots & H_{1n} + \delta H_{1n} \\ \vdots & \ddots & \vdots \\ H_{n1} + \delta H_{n1} & \cdots & H_{nn} + \delta H_{nn} \end{pmatrix}$$



Step 1:

$$H'$$

$$\begin{pmatrix} N_{11} + \delta N_{11} & \cdots & N_{1n} + \delta N_{1n} \\ \vdots & \ddots & \vdots \\ N_{n1} + \delta N_{n1} & \cdots & N_{nn} + \delta N_{nn} \end{pmatrix}$$



$$N'$$

Step 2: Check if norm matrix is positive definite. If not reject this sample and go to step 1

Step 3: Solve generalized eigenvalue problem  $H' \lambda' = EN' \lambda'$

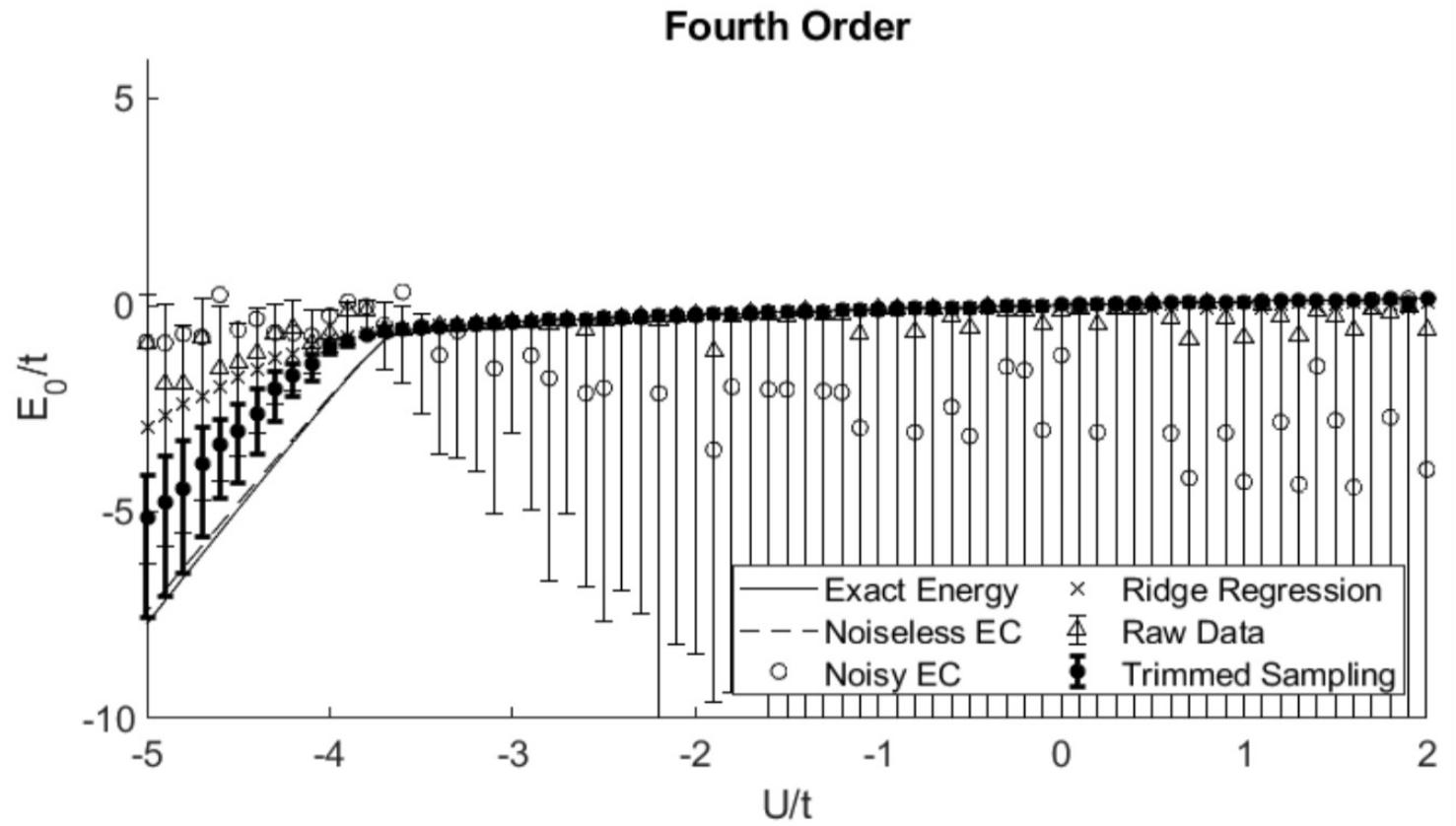
Step 4: Repeat process  $n$  times to get a set of  $n$  eigenvalues  $\longrightarrow E \pm \delta E$

# Trimmed sampling part 2

- Optional step: After sampling and solving for generalized eigenvalue  $E_n$ , check convergence of  $E_n$  as function of  $n$ , where  $n$  is dimension of  $H_{n \times n}$  [ $H_{n \times n} \psi = E_n N_{n \times n} \psi$ ]
- $C_n = \frac{E_n - E_{n-1}}{E_{n-1} - E_{n-2}}$   $C_{max} = \text{Max}\{C_n\}$
- Assign weight to this sample  $f = \exp(-C_{max}/C_{parameter})$
- From final weighted distribution get  $E \pm \delta E$
- Penalize cases where eigenvalues are converging very slowly.
- Helps with stability of generalized eigenvalue solver.

# Trimmed sampling example

- Bose-Hubbard model with 4 bosons on  $4 \times 4 \times 4$  lattice.
- Hamiltonian with hopping term proportional to  $t$ , a contact interaction proportional to  $U$ , and chemical potential  $\mu = 6t$ .
- Eigenvector continuation (EC) also solves a generalized eigenvalue problem.



# Results (Preliminary)

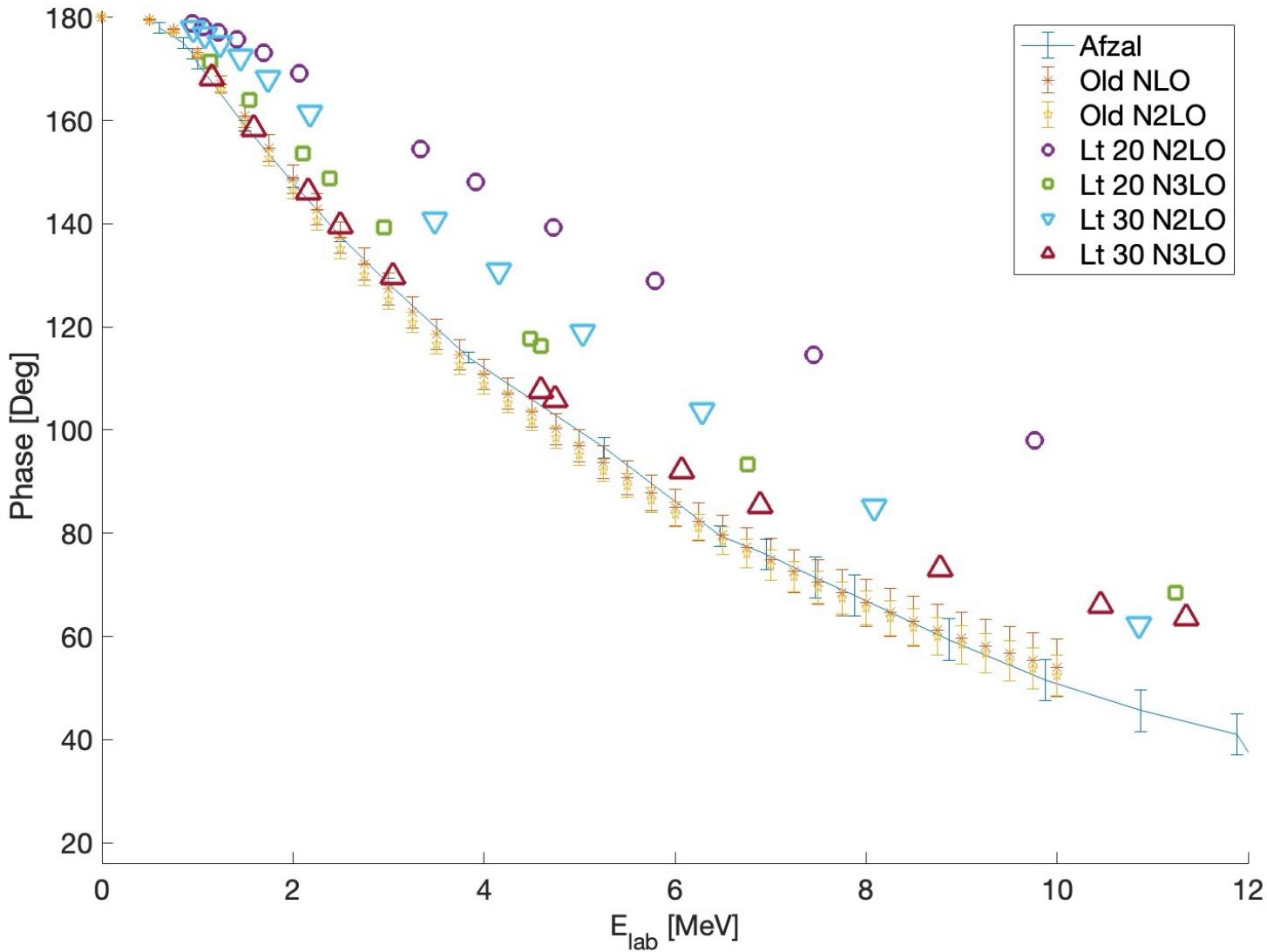
$E_{APM}$  ( $Lt = 20$ , MeV)

	N2LO	N2LO with TS	N3LO	N3LO with TS
$E_{Direct}$	$-43.80 \pm 0.03$		$-57.34 \pm 0.05$	
$E_{APM}$	$-49.63 (+5.02 -22.01)$	$-44.46 (+0.16 -1.68)$	$-69.21 (+10.70 - 33.42)$	$-58.48 (+0.21 - 1.29)$
$E_{APM}$ (8x statistics)	$-44.60 \pm 0.04$	$-44.60 \pm 0.04$	$-59.34 (+0.32 - 0.74)$	$-59.84 (+0.32 - 0.62)$

$E_{APM}$  ( $Lt = 30$ , MeV)

	N2LO	N2LO with TS	N3LO	N3LO with TS
$E_{Direct}$	$-45.03 \pm 0.04$		$-57.45 \pm 0.05$	
$E_{APM}$	$-45.97 (+0.14 -6.81)$	$-45.90 (+0.09 -0.21)$	$-60.63 (+1.27 -19.46)$	$-59.45 (+0.16 -0.77)$

# Phase shifts

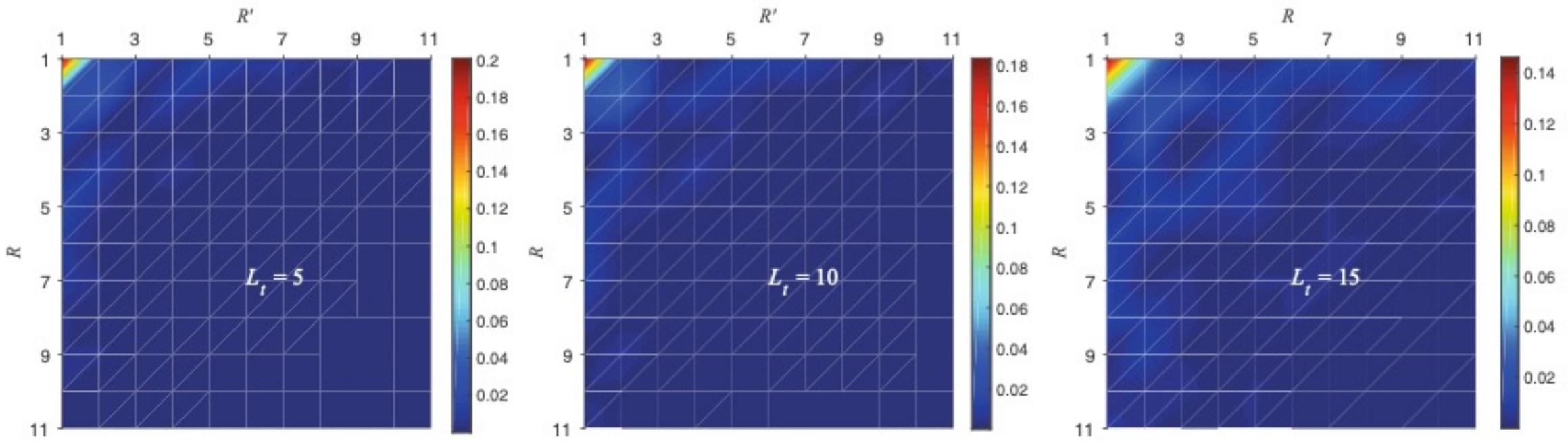


# Summary and Outlook

- Adiabatic Projection Method is an Ab initio method for studying scattering problems. It reduces the A-body problem to two cluster system.
- Better phase shifts than Lüscher's formalism
- Computationally expensive because of noise sensitivity, but trimmed sampling promises to boost computations significantly.
- Alpha-alpha s-wave scattering, and then p and d-waves.

Thank you

# Supplemental Materials



**Fig. 3.** The absolute difference between  $[M_{L_t}^a]_{R,R'}^{\ell,\ell_z}$  and  $[M^{\text{eff}}]_{R,R'}^{\ell,\ell_z}$  for the fermion-dimer system with the angular quantum numbers  $\ell = 0$  and  $\ell_z = 0$  and for the Euclidean time steps  $L_t = 5, 10$ , and  $15$ , respectively. The absolute difference matrices are in lattice units. For  $L_t = 15$  the magnitude of the absolute difference is equal to 18% of the corresponding value of the trivial radial adiabatic transfer matrix for the lattice site where the absolute difference is maximum. The physical distances for indices  $R, R' = 1, 3, 5, 7, 9$ , and  $11$  are  $2.22, 3.20, 4.68, 6.16, 7.14$ , and  $8.62$  fm, respectively.

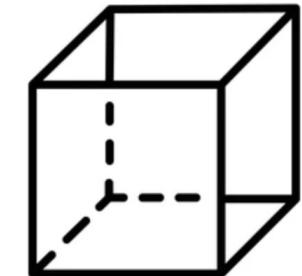
# Lüscher formalism

- Lüscher formula [1] gives phase shifts from which we can determine  $E_0$  and  $\Gamma$ .

$$\det \begin{bmatrix} \cos \delta & \sin \delta \\ -\sin \delta & \cos \delta \end{bmatrix} F^{(FV)} = 0$$

$$\overline{F}_{l_1 m_1; l_2 m_2}^{(FV)} = \frac{(-)^{m_2}}{\tilde{q} \pi^{3/2}} \sqrt{(2l_1 + 1)(2l_2 + 1)} \sum_{\bar{l}=|l_1-l_2|}^{|l_1+l_2|} \sum_{\bar{m}=-\bar{l}}^{\bar{l}} \frac{\sqrt{2\bar{l}+1}}{\tilde{q}^{\bar{l}}} \begin{pmatrix} l_1 & \bar{l} & l_2 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} l_1 & \bar{l} & l_2 \\ -m_1 & -\bar{m} & m_2 \end{pmatrix} \mathcal{Z}_{\bar{l}, \bar{m}}(1; \tilde{q}^2)$$

$$\mathcal{Z}_{l,m}(s; \tilde{q}^2) = \sum_{\mathbf{n}} \frac{|\mathbf{n}|^l Y_{lm}(\Omega_{\mathbf{n}})}{[|\mathbf{n}|^2 - \tilde{q}^2]^s}$$

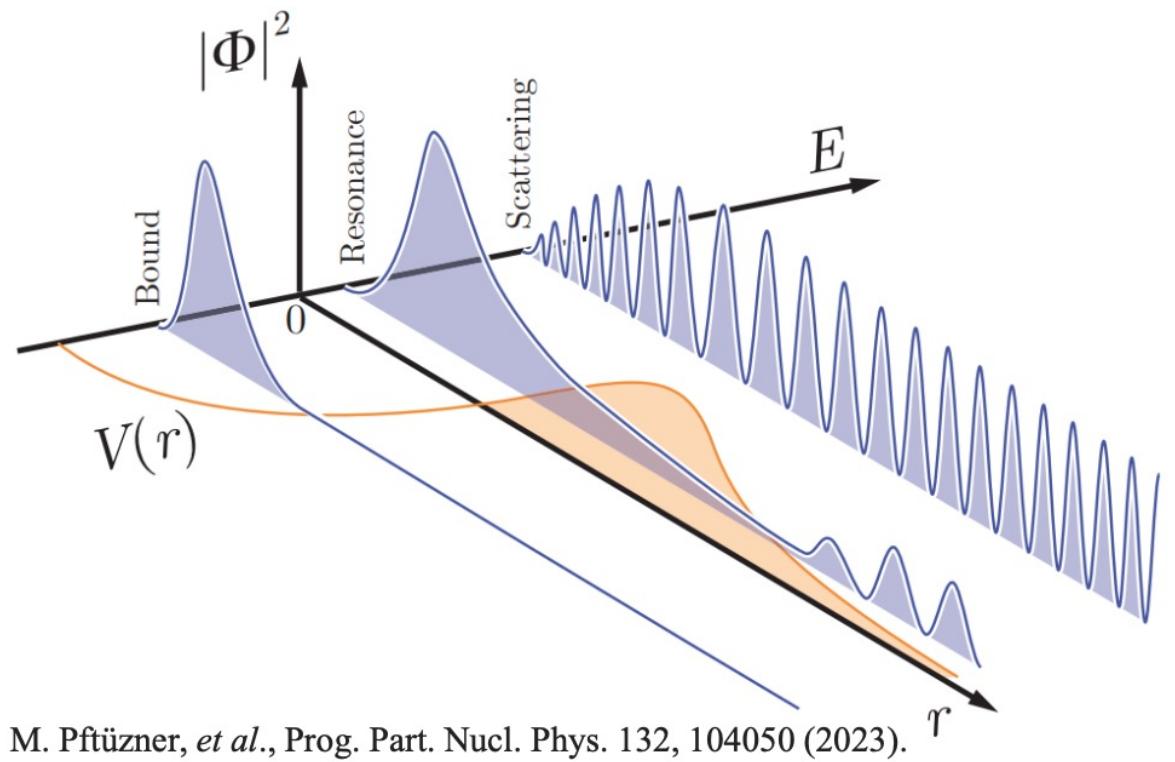


- Highly sensitive to noise.
- Additional difficulties with higher partial wave and coupled channel phase shifts.

# Resonance in scattering problems

- Quasi-bound, finite lifetime.
- Poles of the S-matrix in the complex plane ( $E_0 - i\Gamma/2$ ).
- A peak in cross section in experiments.
- Common resonance behavior  
- Breit-Wigner distribution.

$$E \propto \frac{\Gamma}{(E-E_0)^2 + \Gamma^2}$$



M. Pftüzner, *et al.*, Prog. Part. Nucl. Phys. 132, 104050 (2023).

# Survival amplitude

- Survival amplitude of a state

$$f(t) = \langle \psi | e^{-iHt} | \psi \rangle$$

$$|\psi\rangle = \int dE a(E)|E\rangle \quad \xrightarrow{\hspace{1cm}} \quad f(t) = \int dE |a(E)|^2 e^{-iEt}$$

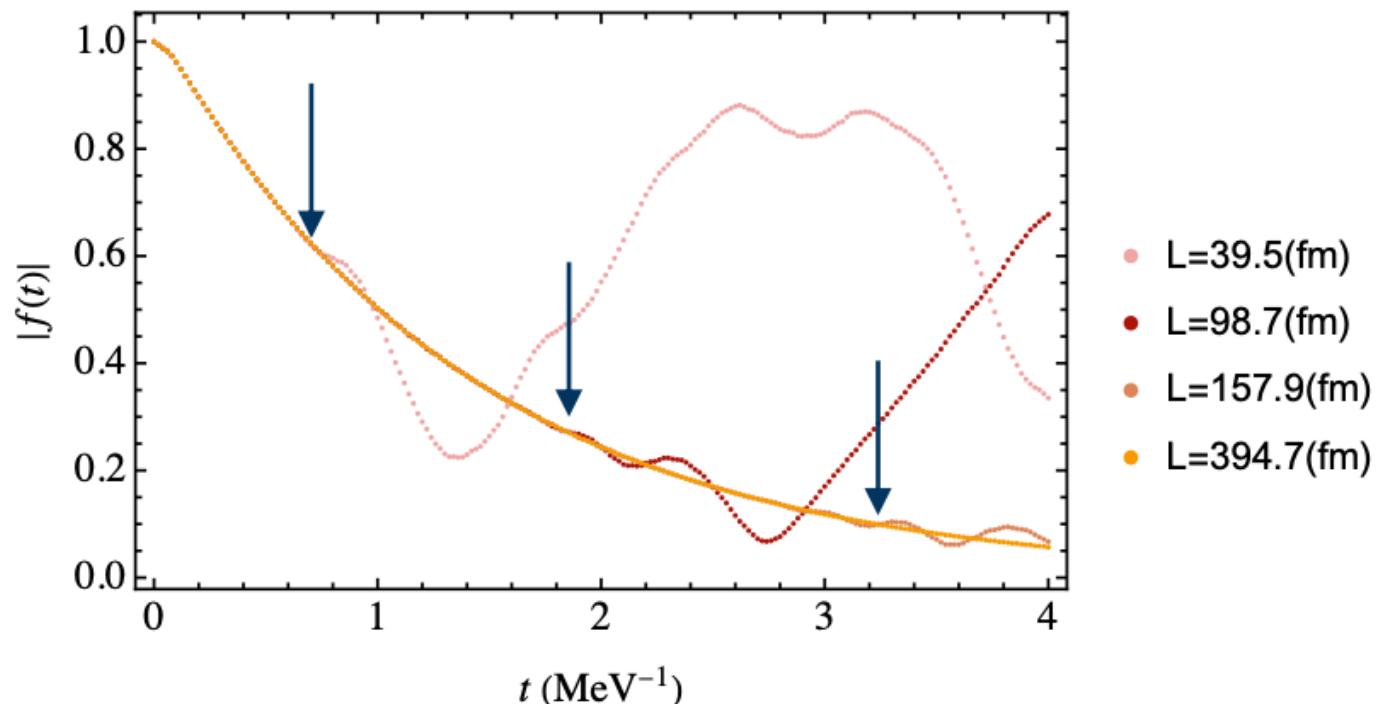
- For resonant state with Breit-Wigner parameterization

$$a(E) \propto \frac{1}{E - E_0 + i\Gamma/2} \quad \xrightarrow{\hspace{1cm}} \quad f(t) \propto e^{-iE_0 t - \Gamma t/2}$$

- Choose compact initial state with good overlap with the resonant state.

# Resonance from NLEFT

- Can only do Euclidian time evolution
  - $\exp(-H\tau)$  vs  $\exp(-iHt)$
- Computationally limited to small box sizes.
  - Finite volume effect
- Euclidian time evolution means eigenstates are decaying. The fit results depends on region where we fit.



# Wavefunction Matching

