



北京航空航天大学
BEIHANG UNIVERSITY

Baryogenesis and leptogenesis

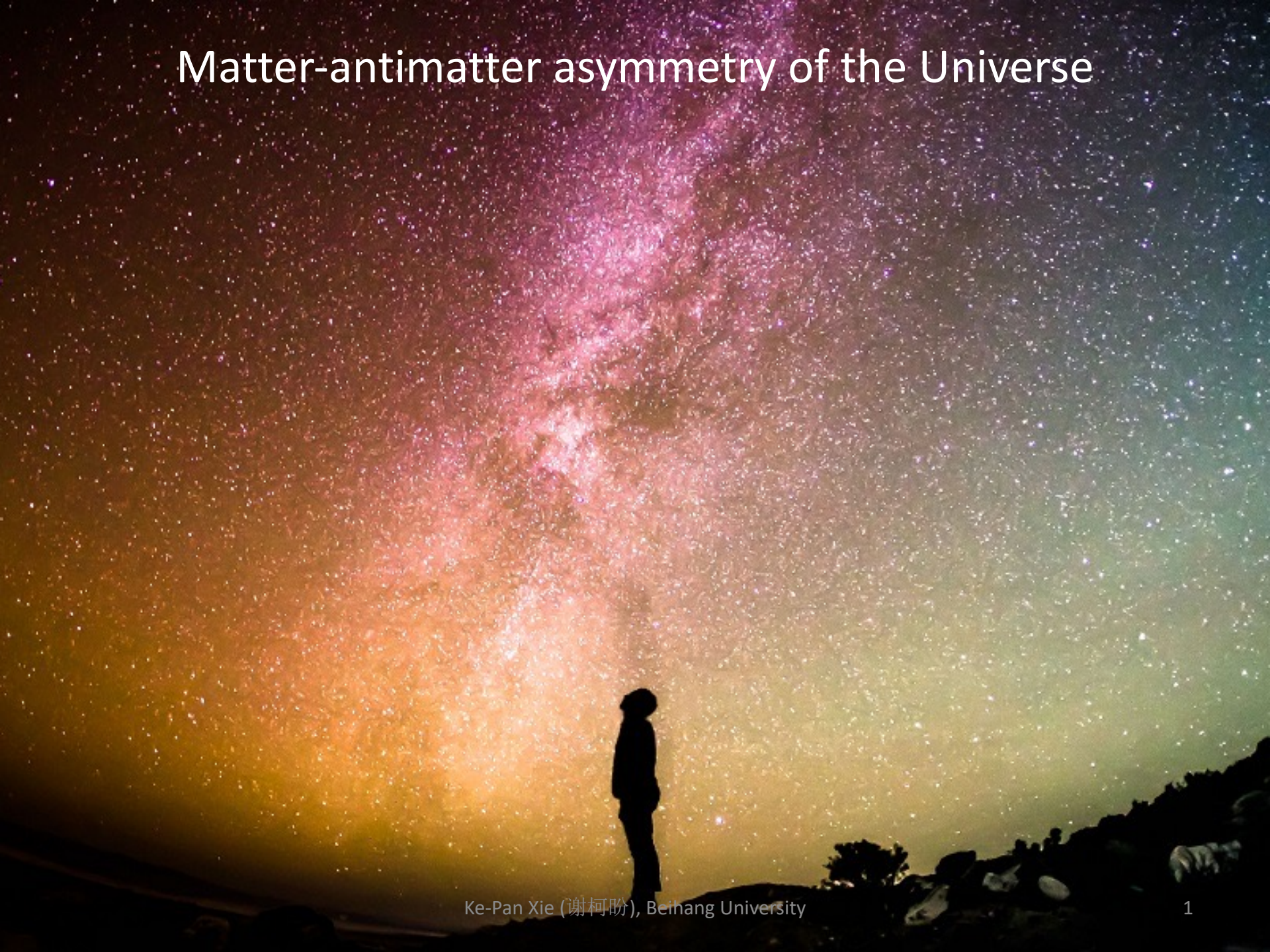
重子数生成与轻子数生成

Ke-Pan Xie (谢柯盼)

Beihang University

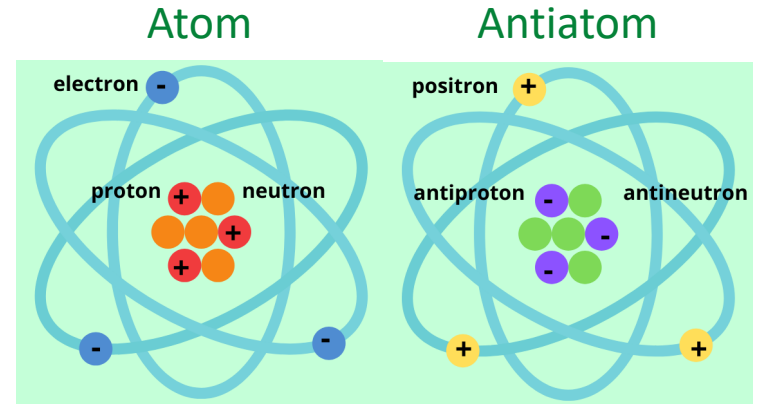
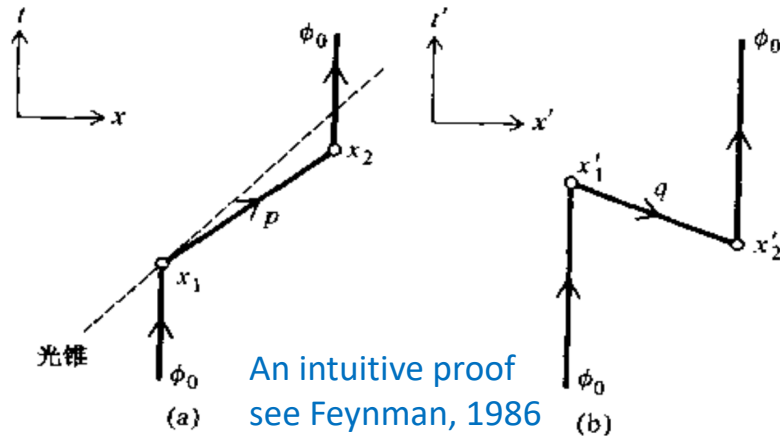
2025.8.18, the 2nd JUNO summer school, Hangzhou

Matter-antimatter asymmetry of the Universe



The antimatter

Consequence of the relativistic quantum field theory

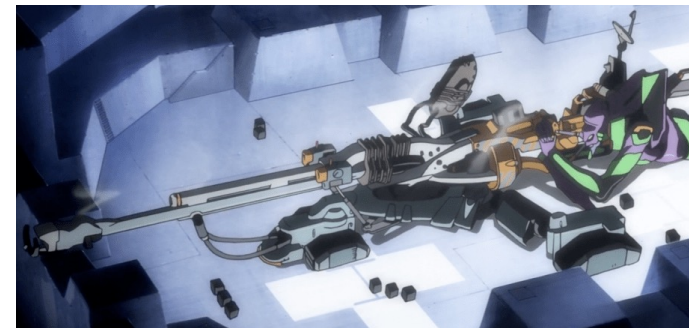
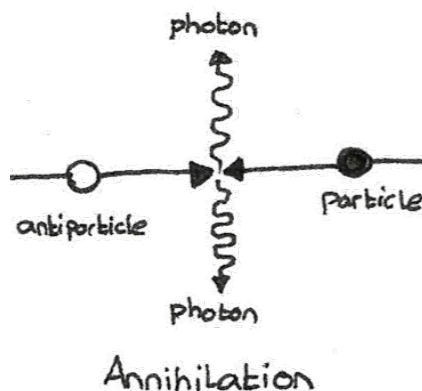


Discovery: positron (1932), antiproton (1955), antineutron (1956), antihydrogen (1990s)

Feature 1

Particle		Antiparticle
Mass	=	Mass
Spin	=	Spin
Lifetime	=	Lifetime
Charge	=	-Charge

Feature 2

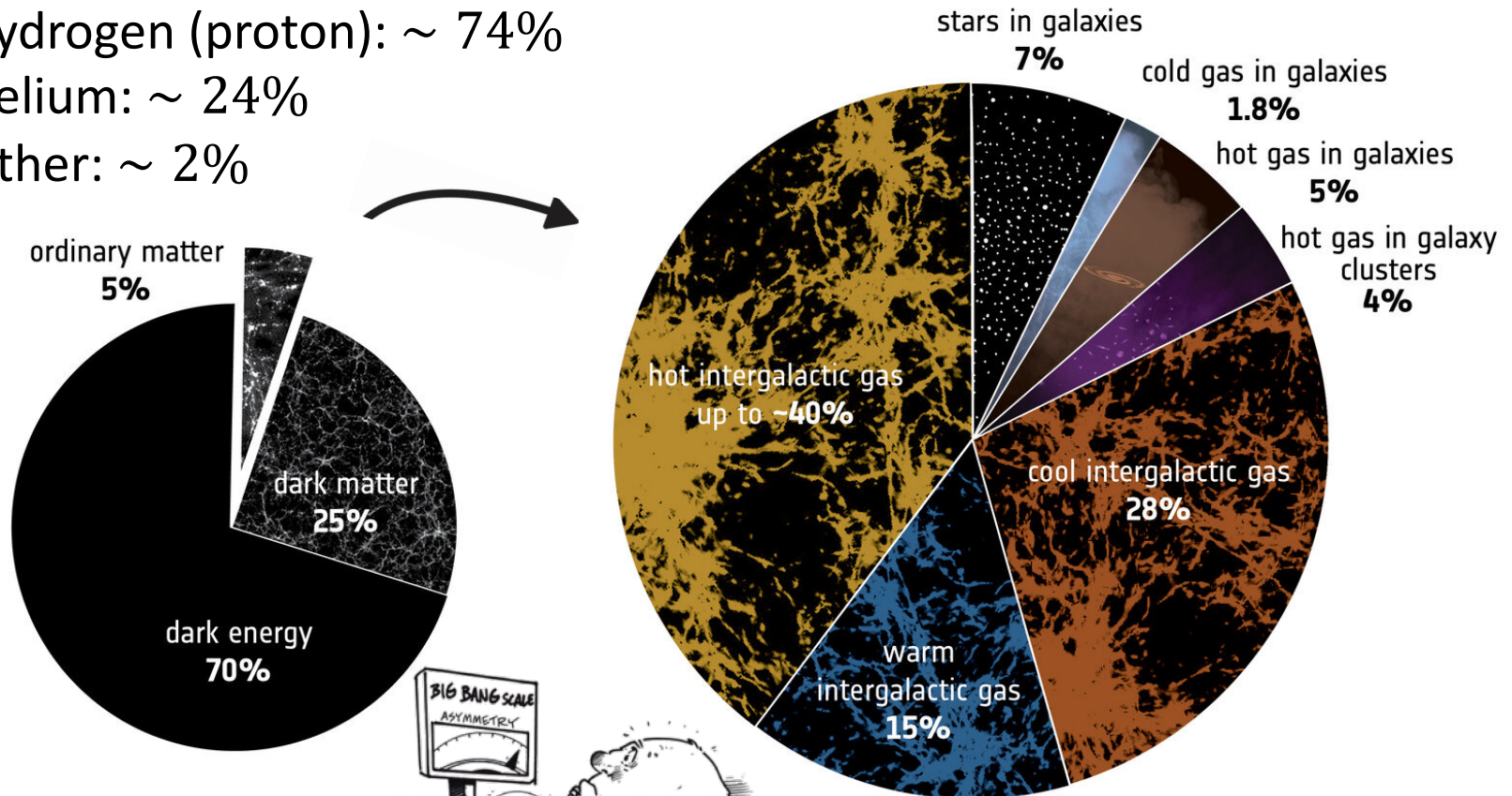


"Positron cannon"

The mystery

Mass fractions in the **visible matter**

- Hydrogen (proton): $\sim 74\%$
- Helium: $\sim 24\%$
- Other: $\sim 2\%$



No **antimatter**
at cosmological scale!

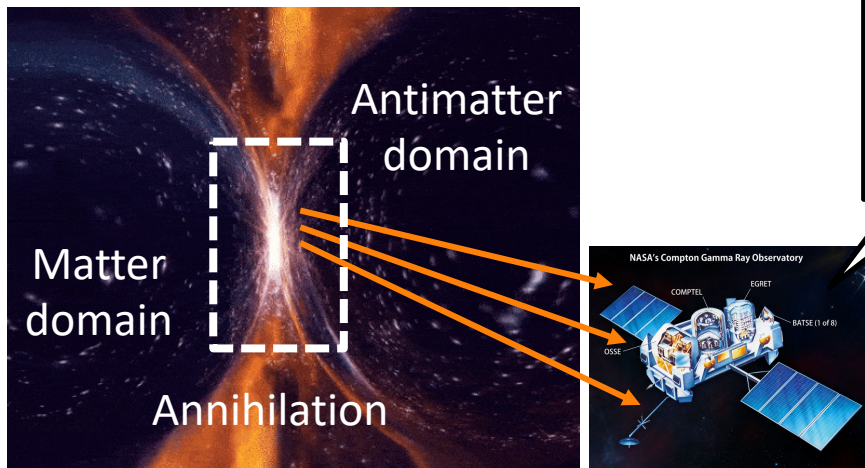


Where is the

antimatter?

How do we know it?

Evidence 1: gamma-ray (non-)detection [A. G. Cohen et al, Astrophys. J. 495, 539 \(1998\)](#)



Null results

Evidence 2: big bang nucleosynthesis

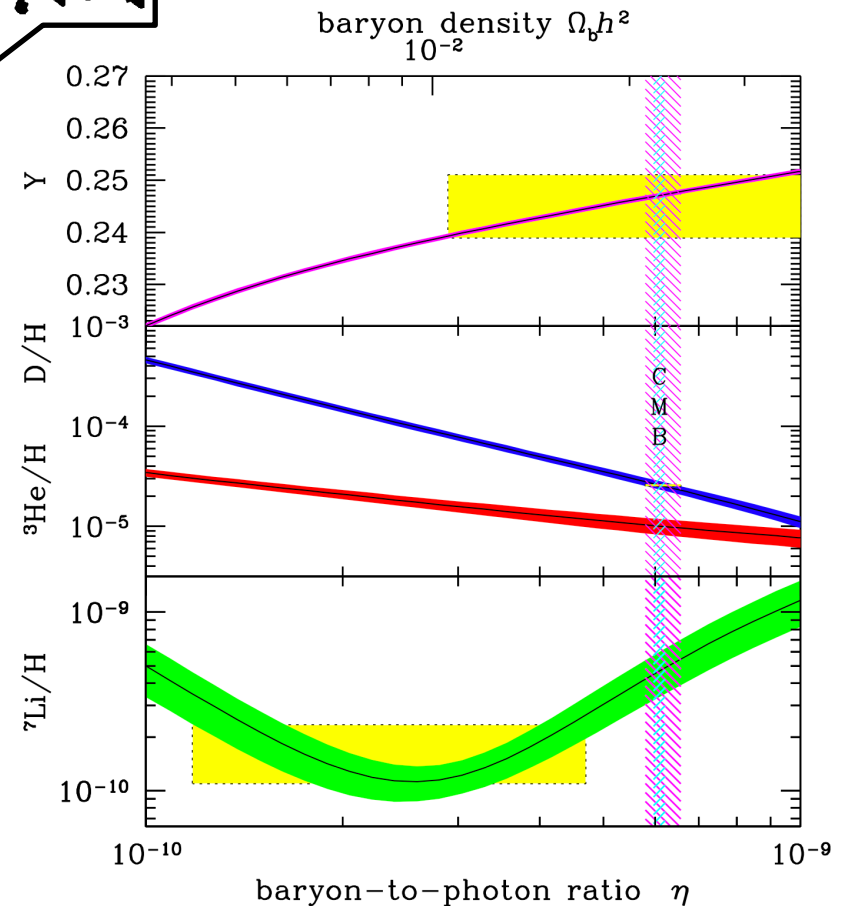
[Yeh et al, J. Cosmol. Astropart. Phys. 2022, 10, 046 \(2022\)](#)

Evidence 3: Cosmic Microwave Background (CMB)

[Planck Collaboration, Astron. Astrophys. 641, A6 \(2020\)](#)

$$Y_B = \frac{n_B - n_{\bar{B}}}{S} \approx \frac{n_B}{S} \approx 0.9 \times 10^{-10}$$

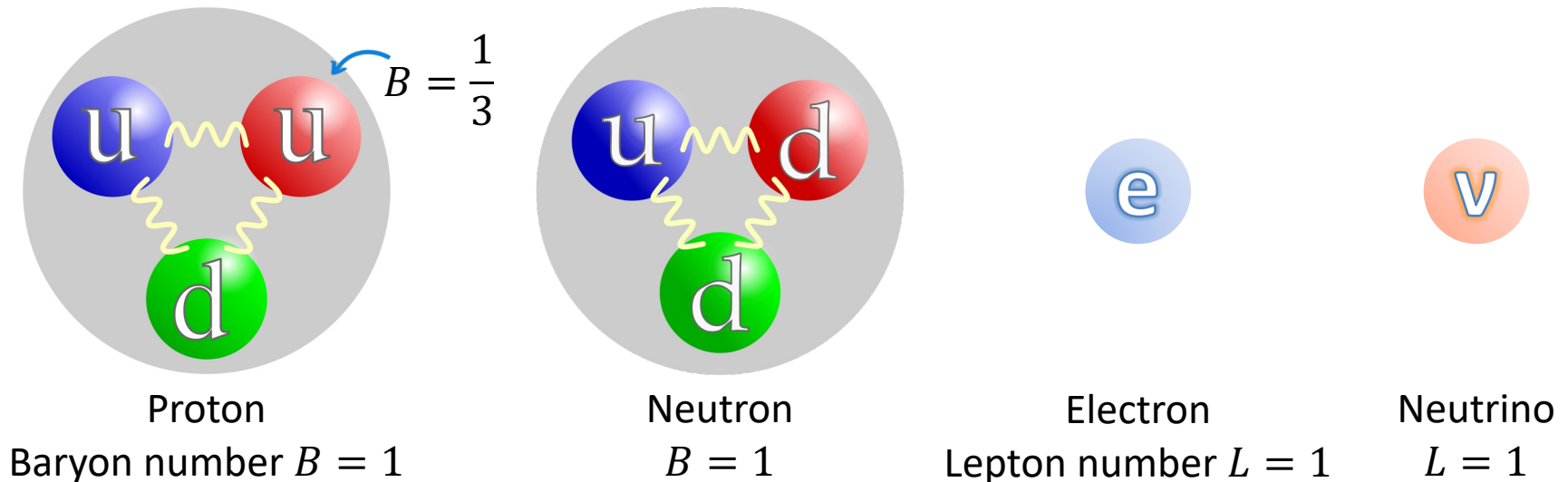
Baryon number density
Entropy density



Matter asymmetry? Baryon asymmetry!

Matter-antimatter asymmetry refers to **baryon-antibaryon asymmetry**

- Baryon: composite, contains an odd number of quarks
- Lepton: elementary fermion, doesn't undergo strong interaction



$$m_p \approx m_n \approx 1836 \cdot m_e; \text{ and } m_\nu \approx 0$$

- Matter is dominated by the **baryonic matter** $\Rightarrow$ measured by CMB acoustic peaks
- Participated in BBN $\Rightarrow$ measured by abundance of the elements
- $Y_B \approx 10^{-10}$; however, the value of Y_L is still an open question

Asymmetric, but not too asymmetric

Given $Y_B = n_B/s \approx 0.9 \times 10^{-10}$ or $\eta_B = n_B/n_\gamma \approx 6 \times 10^{-10}$ --

The early
Universe



Now

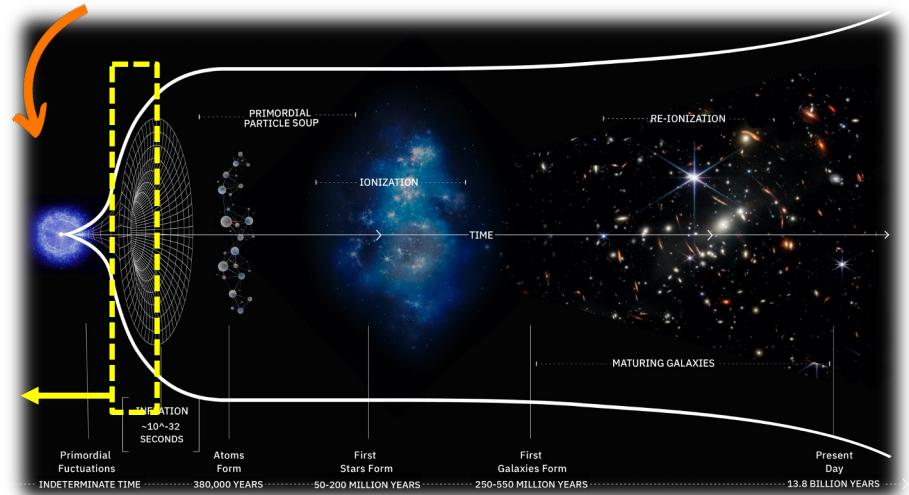
Mystery or not?

An issue of **Initial condition**? An **asymmetric** Universe at the beginning?



No!

Reheating after inflation:
Entropy produced exponentially



The initial $Y_{B0} \rightarrow e^{-3N} Y_{B0}$, with $N \gtrsim 58 \Rightarrow$ a suppression of 10^{-76}

After inflationary reheating, Y_B must be ≈ 0

The observed baryon asymmetry must be generated **after reheating** and **before BBN**

- Called **baryogenesis** (重子数生成)
- The Standard Model of particle physics fails to realize it

A mystery about the origin of our matter world, a clear evidence for new physics beyond the Standard Model

How to realize baryogenesis?

The so-called Sakharov conditions [Sakharov, Pisma Zh.Eksp.Teor.Fiz. 5 \(1967\) 32-35](#)

First clearly stated in [Ignatiev et al, Phys. Lett. B 76 \(1978\) 436-438](#) (see [Cline, 1807.08749](#))



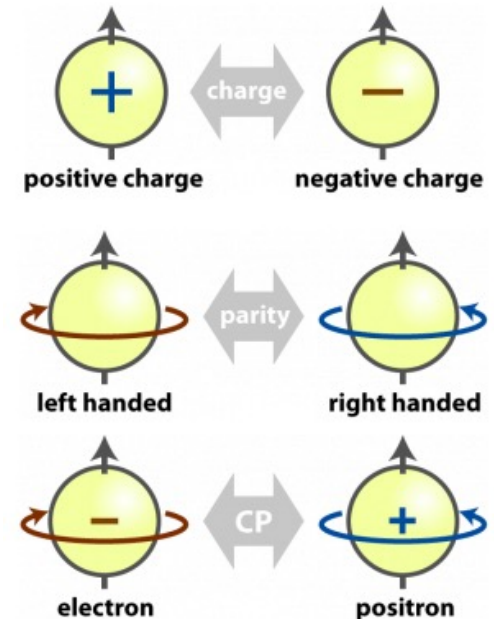
Baryon number violation

- Otherwise $B \equiv 0$



C & CP violation, otherwise:

- C means $n_B \equiv n_{\bar{B}}$
- CP means $n_{B_L} \equiv n_{\bar{B}_R}$ and $n_{B_R} \equiv n_{\bar{B}_L}$



Departure from equilibrium

- Otherwise, active B -violating processes erase any B -asymmetry

SM v.s. Sakharov conditions (1)

Baryon number violation: ✓

B is conserved at the classical level: $\partial_\mu J_B^\mu = 0$ with

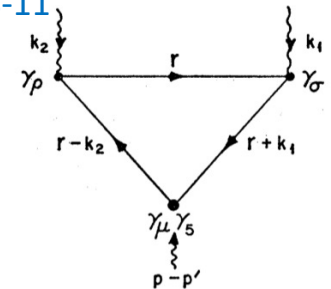
$$J_B^\mu = \frac{1}{3} (\bar{u}\gamma^\mu u + \bar{d}\gamma^\mu d + \bar{c}\gamma^\mu c + \bar{s}\gamma^\mu s + \bar{t}\gamma^\mu t + \bar{b}\gamma^\mu b)$$

$$\chi_i \gamma_{ij} \chi_j \phi + h.c.$$

Quantum anomaly via the instanton 't Hooft, Phys.Rev.Lett. 37 (1976) 8-11

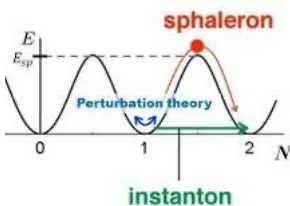
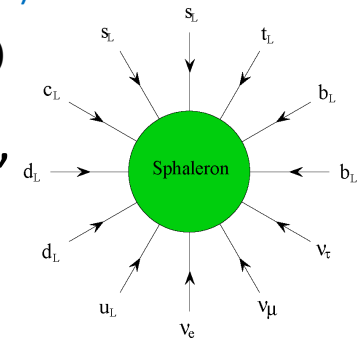
$$\partial_\mu J_B^\mu = -\frac{3}{32\pi^2} (g_W^2 W_{\mu\nu}^a \tilde{W}^{a\mu\nu} - g_Y^2 B_{\mu\nu} \tilde{B}^{\mu\nu})$$

However, reaction rate $\propto e^{-16\pi^2/g_W^2} \sim 10^{-160}$, **negligible**



EW sphaleron at finite temperature Kuzmin *et al*, Phys.Lett.B 155 (1985) 36

- $\mathcal{O}_{\text{eff}} = \prod_i [\bar{q}_L^{c,i} q_L^i] [\bar{\ell}_L^{c,i} \ell_L^i]$, reaction rate $\propto e^{-m_W/(\alpha_W T)}$
- When $T \gtrsim 140 \text{ GeV}$, the EW symmetry is restored, $\langle h \rangle = 0$ thus $m_W = 0$: **active sphaleron**!



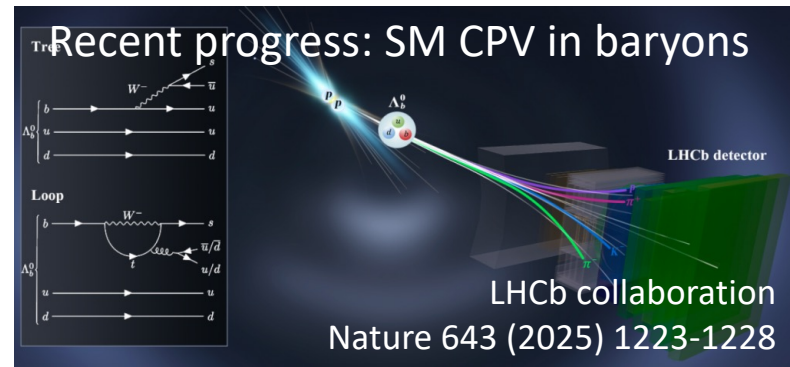
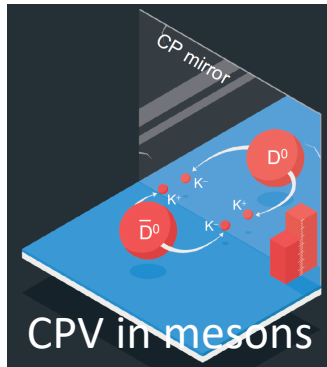
Both effects:

Nonperturbative, **violating $B + L$** , but conserving **$B - L$**

SM v.s. Sakharov conditions (2)

C & CP violation: $\times$

- C & P maximally violated via EW chiral gauge group $SU(2)_L \times U(1)_Y$
- CP slightly violated via $-y_u^{ij} \bar{q}_L^i \tilde{H} u_R^j - y_d^{ij} \bar{q}_L^i H d_R^j$, only **1 CP phase** in the CKM matrix. **NOT sufficient**



Departure from equilibrium: $\times$

Decay of heavy particle(s)

The SM couplings are not weak,
typically $\Gamma \gg H$ at $T = m$

The decay processes are *too fast*

First-order phase transition(s)

Vacuum jumps, bubble nucleation
However, the SM EW symmetry
breaking is a *smooth* crossover

In summary $\checkmark \times \times$, the SM cannot satisfy the Sakharov conditions!

Baryogenesis in new physics

Four well-known and extensively-studied **paradigms** of baryogenesis:

1. Out-of-equilibrium decay scenarios
2. The Affleck-Dine mechanism
3. Electroweak baryogenesis
4. Spontaneous baryogenesis



- They contribute the most original, classic, and inspiring ideas and frameworks for baryogenesis
- Most of them can be related to neutrino physics

Out-of-equilibrium decay scenarios



Neutrino mass and leptogenesis

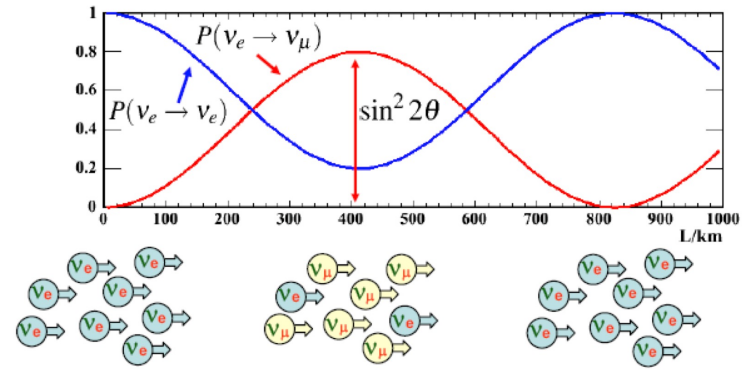
SM neutrino ν_L : left-handed, massless

However, oscillation experiments:

- At least two generations of massive neutrinos, $m_\nu \sim 0.1$ eV

One popular explanation:

The (type-I) seesaw mechanism [Minkowski, Phys.Lett.B 67 \(1977\) 421-428](#)



Right-handed neutrino

SM Higgs doublet

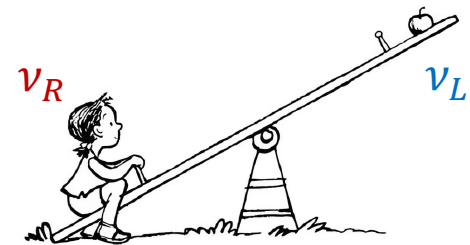
$$\mathcal{L} \supset \frac{1}{2} M \bar{\nu}_R^c \nu_R - (y_D \bar{\ell}_L \tilde{H} \nu_R + \text{h.c.})$$

SM lepton doublet

$$M_\nu = \begin{bmatrix} 0 & y_D v / \sqrt{2} \\ y_D v / \sqrt{2} & M \end{bmatrix}$$

$$m_\nu \sim \frac{y_D^2 v^2}{2M} \sim 0.1 \text{ eV} \times \left(\frac{y_D}{0.5} \right)^2 \left(\frac{10^{14} \text{ GeV}}{M} \right)$$

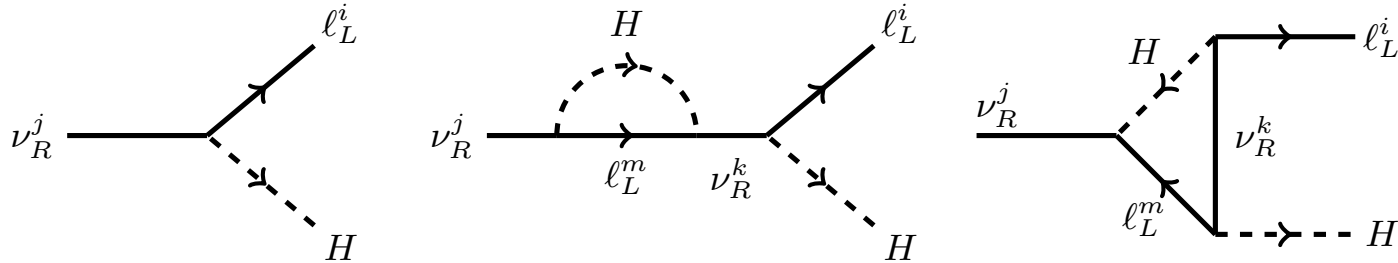
- Majorana mass M violates L
 - y_D contains extra CP phases
 - $\nu_R \rightarrow \ell H, \bar{\ell} H^*$ out of eq.
- } Net lepton number generated
→ **baryon number** via **EW sphaleron**



Called **leptogenesis** (轻子数生成) [Fukugita & Yanagida, Phys.Lett.B 174 \(1986\) 45-47](#)

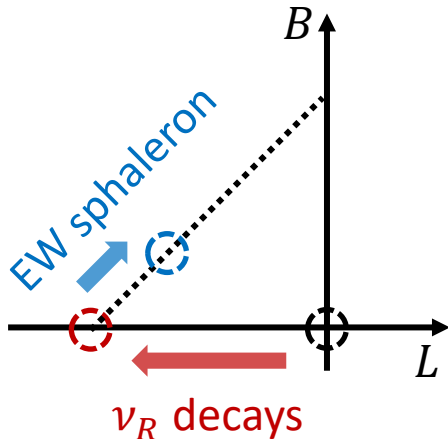
Decay of the right-handed neutrino

CP -violating decay of ν_R Covi et al, Phys.Lett.B 384 (1996) 169-174



$$\epsilon_j = \frac{\sum_i \Gamma(\nu_R^j \rightarrow \ell_L^i H) - \Gamma(\nu_R^j \rightarrow \bar{\ell}_L^i H^*)}{\sum_i \Gamma(\nu_R^j \rightarrow \ell_L^i H) + \Gamma(\nu_R^j \rightarrow \bar{\ell}_L^i H^*)} \propto \text{Im} \left[(y_D y_D^\dagger)^2 \right]$$

6 CP phases for 3 generations of leptons, leading to $\epsilon_j \neq 0$



EW sphaleron works:

$$Y_B = \frac{28}{79} Y_{B-L}$$

Not erasing Y_B because $M \bar{\nu}_R^c \nu_R$ **violates $B - L$**

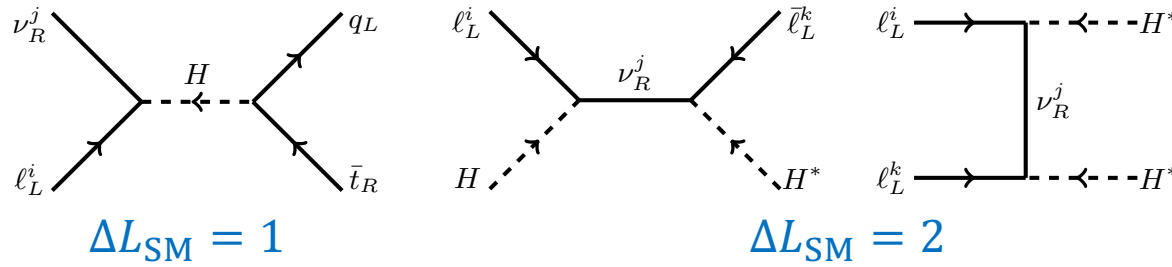
Naively, $Y_B \approx Y_{\text{ideal}} = (n_{\nu_R}/s) \cdot \epsilon_j \approx \epsilon_j/g_{*s}$

However, usually $Y_B/Y_{\text{ideal}} \lesssim 0.01$

Due to the washout effects in the thermal bath

Story in the thermal bath

Annihilation processes



Evolution: typically described a set of **Boltzmann equations**

- $z = M/T$; when time evolves, $T \searrow$ and $z \nearrow$
- Consider the **yield** $Y = n/s$

$$\frac{dY_{\nu_R}}{dz} = -(\overset{\text{Scattering}}{D} + S)(Y_{\nu_R} - Y_{\nu_R}^{\text{eq}})$$

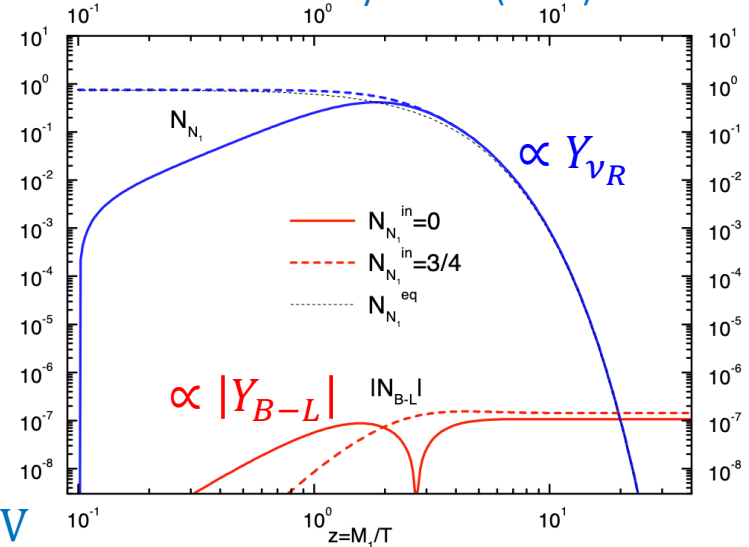
$$\frac{dY_{B-L}}{dz} = \underset{\text{Decay}}{\epsilon \cdot D}(Y_{\nu_R} - Y_{\nu_R}^{\text{eq}}) - \underset{\text{Washout}}{W}Y_{B-L}$$

Initial condition: $Y_{B-L}(z_i) = 0$

Goal: $Y_{B-L}(M/T_{\text{sph}}) \sim 10^{-10}$

↪ Sphaleron decouple, $\approx 132 \text{ GeV}$

Buchmuller *et al*,
Nucl.Phys.B 643 (2002) 367-390



Variations of leptogenesis

Vanilla leptogenesis:

- Hierarchy $M_3 \gg M_2 \gg M_1$, lightest ν_R^1 dominates Y_{B-L}
- Davidson-Ibarra bound [Davidson & Ibarra, Phys.Lett.B 535 \(2002\) 25-32](#)

$$|\epsilon_1| \lesssim 10^{-7} \left(\frac{m_\nu}{0.1 \text{ eV}} \right) \left(\frac{M_1}{10^9 \text{ GeV}} \right)$$

- Typically needs $M_1 > 10^9 \text{ GeV}$ for the observed Y_B

Resonant leptogenesis: near-degeneracy, $|M_1 - M_2| \approx \Gamma_1$

- ϵ_1 can reach $\mathcal{O}(1)$ for $M_1 \sim \text{TeV}$

[Pilaftsis et al, Nucl.Phys.B 692 \(2004\) 303-345 \[original\]](#); [Chauhan et al, Nucl.Phys.B 986 \(2023\) 116058 \[review\]](#)

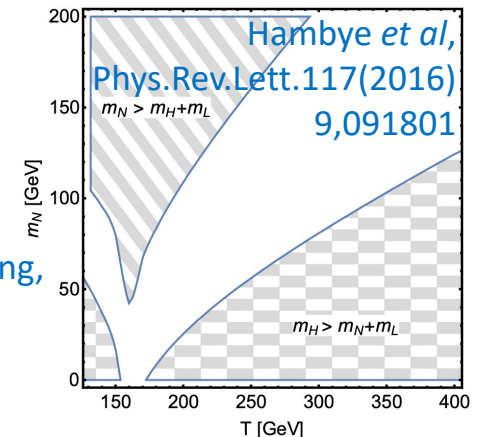
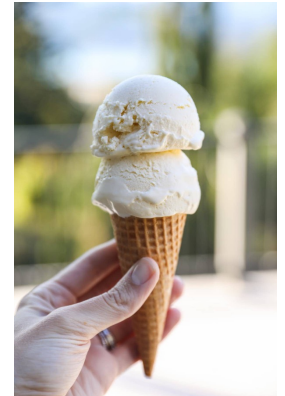
Higgs decay leptogenesis: thermal mass, $H \rightarrow \bar{\ell} \nu_R$

- M_1 can be as low as 2 GeV

Other neutrino-mass mechanisms

- Type-II seesaw [Ma et al, Phys. Rev. Lett. 80, 5716 \(1998\)](#); [Gu, Zhang, and Zhou, Phys. Rev. D 74, 076002 \(2006\)](#); etc
- Inverse seesaw [Aoki et al, PTEP 2015 \(2015\) 11, 113B03](#);
- Loop-induced ν -mass [Hugle et al, Phys.Rev.D 98 \(2018\) 2, 023020](#)

Reviews [Pilaftsis, J.Phys.Conf.Ser. 171 \(2009\) 012017](#); [Xing & Zhao, Rept.Prog.Phys. 84 \(2021\) 6, 066201](#)

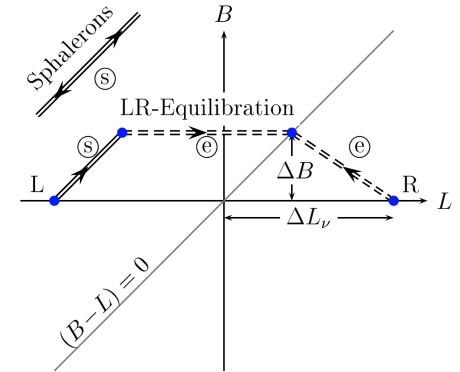


Some remarks on leptogenesis

Q: Are Majorana neutrinos necessary?

A: No. If left- and right-handed fermions do not equilibrate, Dirac neutrinos can also do leptogenesis. Because EW sphaleron only affects $(B - L)_L$

Dick *et al*, Phys.Rev.Lett. 84 (2000) 4039-4042; Murayama *et al*, Phys.Rev.Lett. 89 (2002) 271601



Q: How does leptogenesis link to the neutrino experimental data?

A: 3 CP phases available at low-energy

1 Dirac δ_{CP} (via oscillation), 2 Majorana α_{21}, α_{31} (via e.g. $0\nu\beta\beta$). **Current data imply a large $\delta_{CP} \sim 3\pi/2$**

However, a realistic model typically contains more phases (e.g., the vanilla leptogenesis has 6)

Very challenging to realize leptogenesis via only δ_{CP}

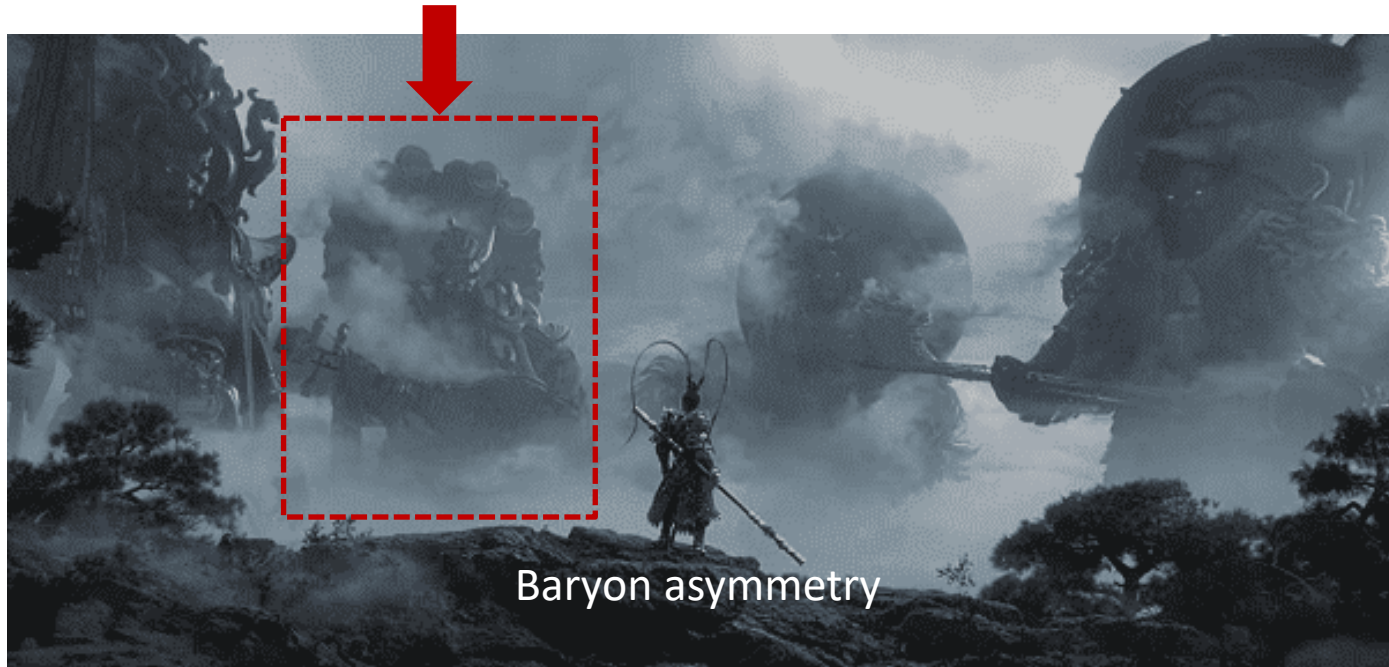
Branco *et al*, Rev.Mod.Phys. 84 (2012) 515-565 [review]; For some trials, see Dolan *et al*, 1802.08373; Li *et al*, 2105.01317; Shao *et al*, 2504.19697; etc



Q: Is there a lepton asymmetry in our Universe?

A: Hint of $Y_L \sim 10^{-3}$, but still an open question Kawasaki *et al*, JCAP 08 (2022) 08, 041; Burns *et al*, Phys.Rev.Lett. 130 (2023) 13, 131001; Domcke *et al*, Phys.Rev.Lett. 130 (2023) 26, 261803; etc

The Affleck-Dine mechanism



Scalar field evolution

Supersymmetry partners: same quantum number, different spins

quark $q \leftrightarrow$ **squark** $\tilde{q}$ (scalar); $\ell \leftrightarrow$ **slepton** $\tilde{\ell}$ (scalar)

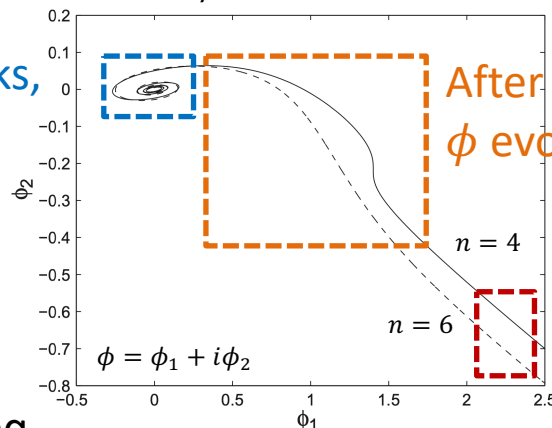
Affleck & Dine proposed: [Nucl. Phys. B 249 \(1985\) 361–380](#)

- An appropriate *mixture* of $\tilde{q}$ and/or $\tilde{\ell}$ has a flat direction, $V(\phi) = 0$
- A nonzero potential is given by [Dine et al, Nucl.Phys.B 458 \(1996\) 291-326](#)

$$V(\phi) = \underbrace{(m_\phi^2 + cH^2)}_{\substack{\text{SUSY soft-breaking} \\ m_\phi \sim \text{TeV}}} |\phi|^2 + \left[\underbrace{\frac{(Am_{3/2} + aH)\lambda\phi^n}{nM_*^{n-3}}}_{\substack{\text{SUSY soft-breaking} \\ m_{3/2} \sim \text{TeV}}} + \text{h. c.} \right] + \underbrace{\frac{|\lambda|^2 |\phi|^{2n-2}}{M_*^{2n-6}}}_{\substack{\text{High-dim operator} \\ M_* \sim 10^{16} \text{ GeV}}}$$

Mediated by gravity, **requires** $c < 0$

Eventually, only m_ϕ works,
oscillating, B conserved



After inflation, $H \sim 1/t$,
 ϕ evolves, B generated

$$\ddot{\phi} + 3H\dot{\phi} + V' = 0$$

Large $\langle \phi \rangle$ during inflation,
 $H \sim 10^{13} \text{ GeV}$

[Allahverdi et al, New J.Phys. 14 \(2012\) 125013](#)

Reminder

1. The A -term breaks B
2. Nonzero $\langle \phi \rangle$ violates CP
3. Condensate of ϕ out of eq.

Variations of the AD mechanism

The AD mechanism represents a paradigm of realizing baryogenesis via *coherent field evolution*, not limited to SUSY sfermions

Axiogenesis [Co et al, Phys.Rev.Lett. 124 \(2020\) 11, 111602](#)

- Large $U(1)_{PQ}$ charge from *saxion* field oscillation $\rightarrow$ SM quark chiral asymmetry (QCD sphaleron) $\rightarrow$ Baryon asymmetry (EW sphaleron)

Nambu-Goldstone (NG) baryogenesis [Harigaya, JHEP 08 \(2019\) 085](#)

- Flat direction realized by NG boson, without SUSY

Type-II seesaw AD mechanism [Barrie, Han, Murayama Phys.Rev.Lett.128\(2022\)14,141801](#)

- A triplet BSM Higgs Δ ,

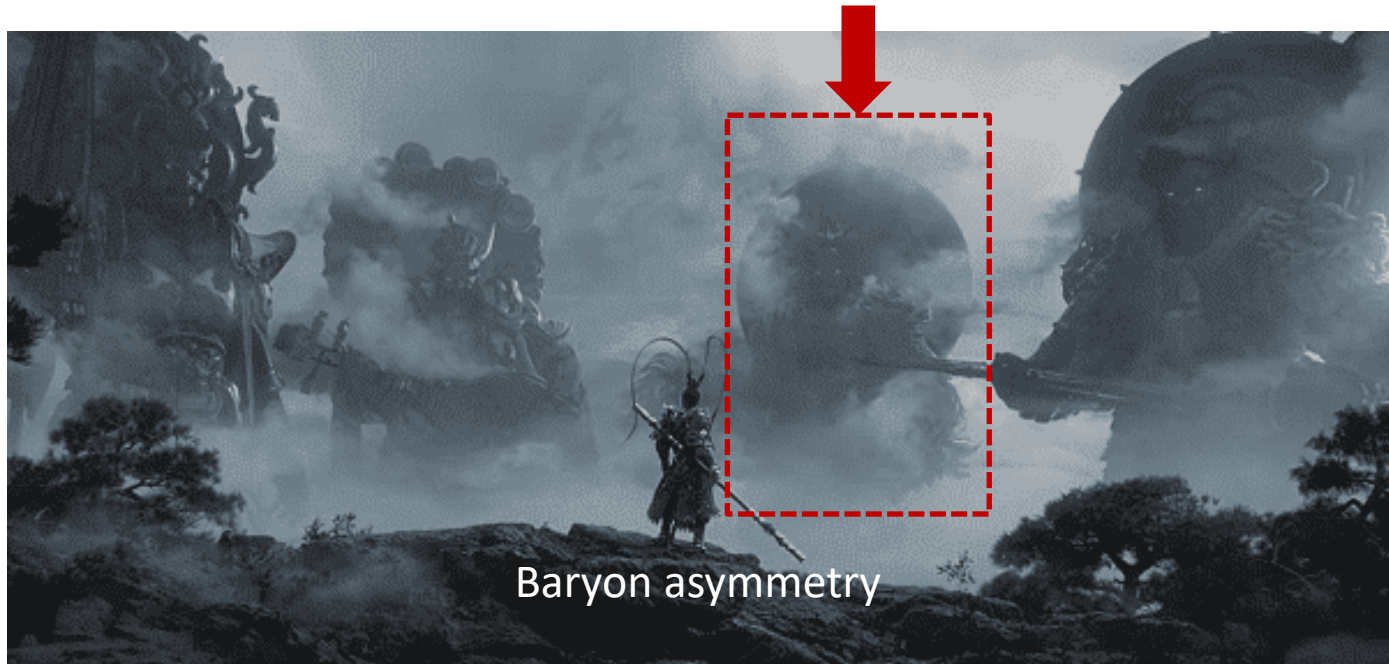
$$\mathcal{L} \supset -\mu H^\dagger \Delta \tilde{H} - \frac{1}{2} y_{ij} \bar{L}_i^c \Delta L_j + \text{h.c.}$$

$\curvearrowright m_\nu \approx y \frac{\mu v^2}{2m_\Delta}$

- AD mechanism from Δ evolution, generating L , then converted to B via EW sphaleron
- Higgs inflation

Solving three problems in a model

Electroweak baryogenesis



Baryon asymmetry

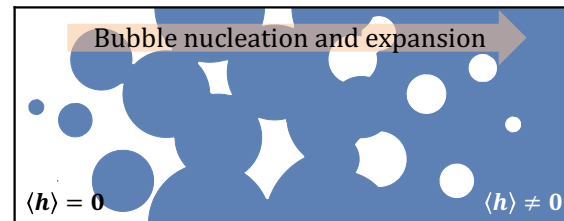
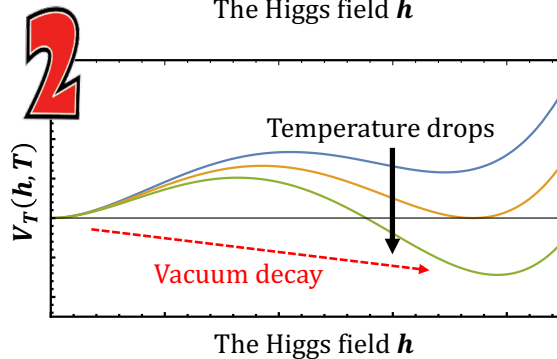
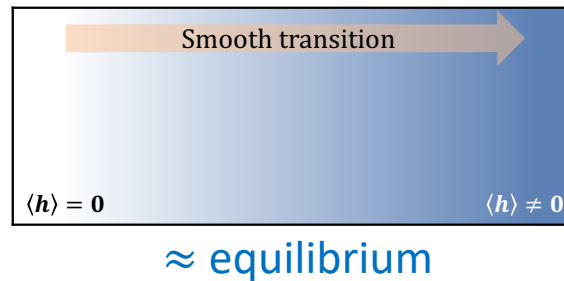
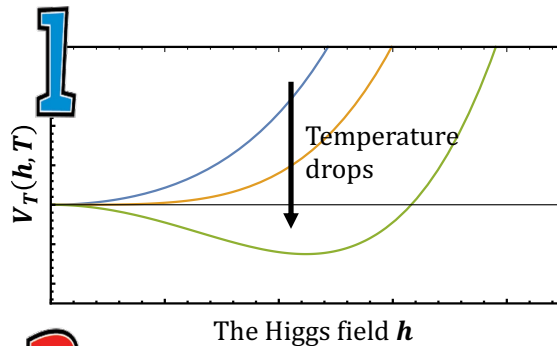
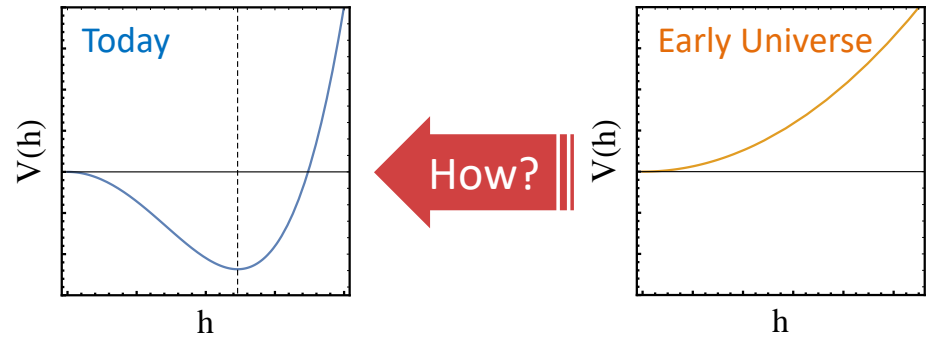
Electroweak phase transition

Today: $\langle h \rangle = 246 \text{ GeV}$

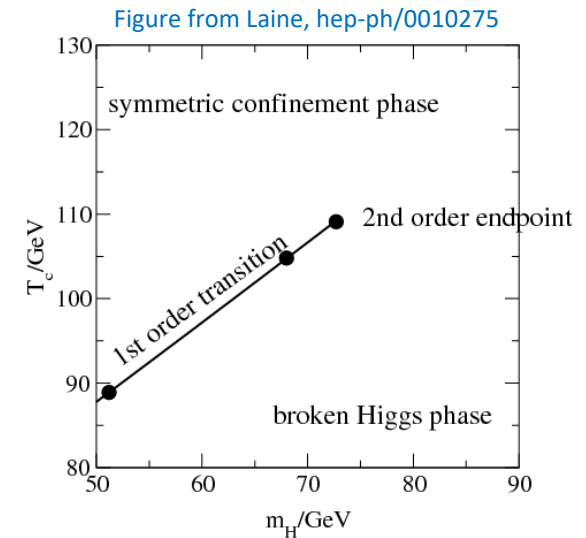
- $SU(2)_L \times U(1)_Y \rightarrow U(1)_{\text{EM}}$
- SM particles massive

Early Universe: $\langle h \rangle = 0$

- $SU(2)_L \times U(1)_Y$ preserved
- SM particles massless



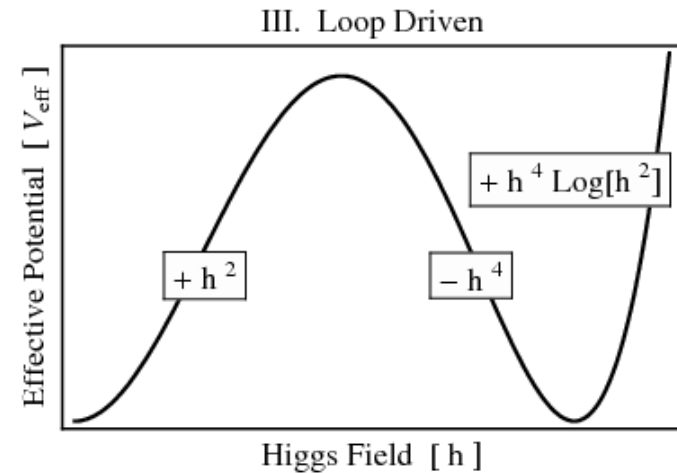
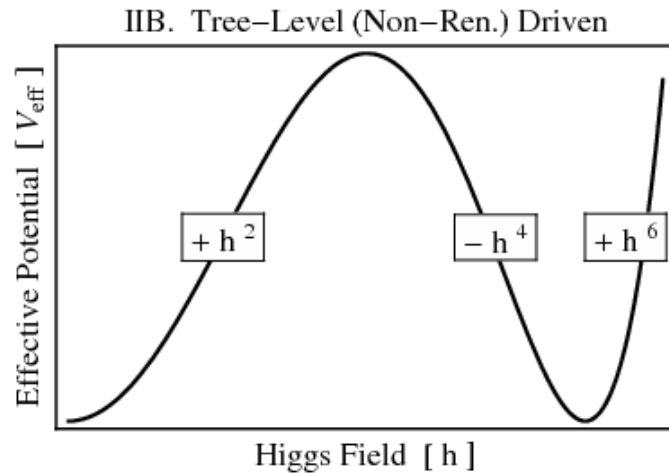
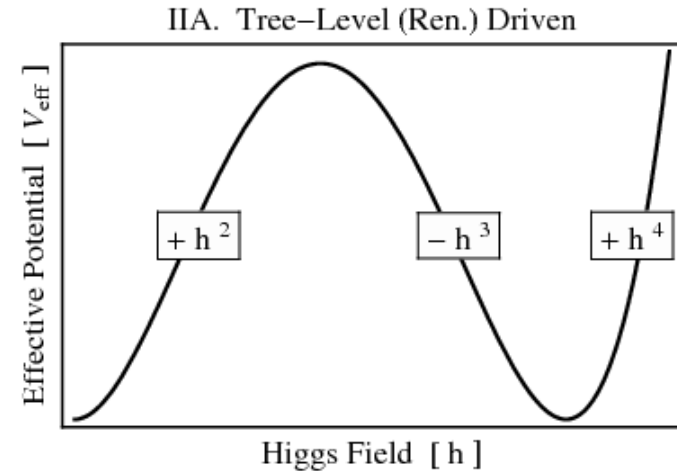
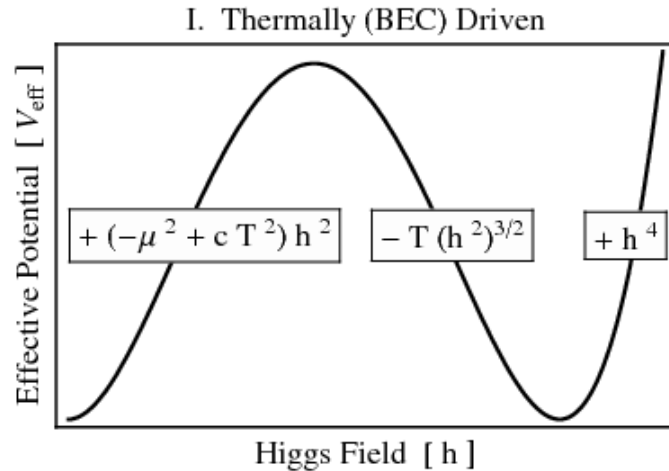
out of equilibrium



Needs new physics

Realizing a first-order EWPT

Four main scenarios [Chung, Long, Wang, Phys.Rev.D 87 \(2013\) 2, 023509](#)

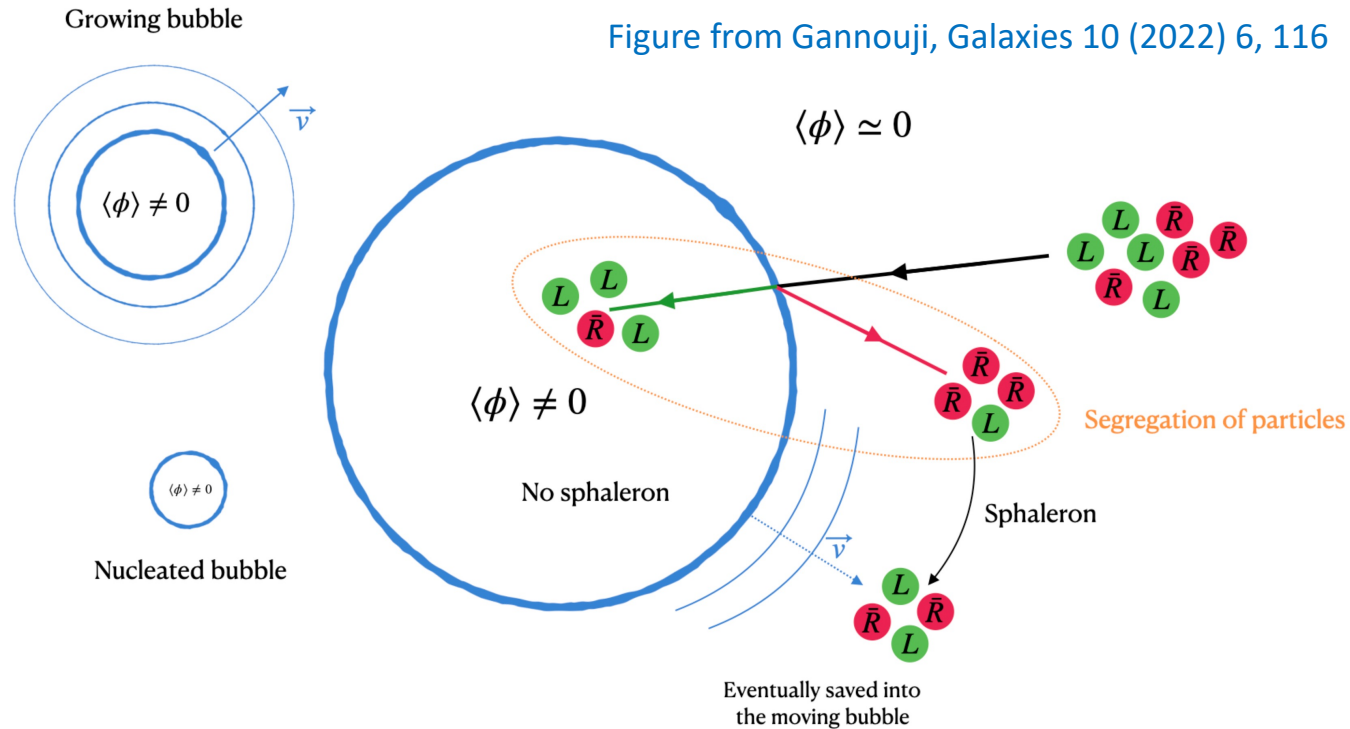


Also see the reviews [Mazumdar *et al*, Rept.Prog.Phys. 82 \(2019\) 7, 076901](#); [LISA collaboration, JCAP 03 \(2020\) 024](#); [Athron, Wu, *et al*, Prog.Part.Nucl.Phys. 135 \(2024\) 104094](#); etc

Electroweak baryogenesis

Started from the late 1980s, still active today [Reviews: Trodden, Rev.Mod.Phys. 71 \(1999\) 1463-1500; Morrissey & Ramsey-Musolf, New J.Phys. 14 \(2012\) 125003; van de Vis et al, 2508.09989](#)

Taking the *popular nonlocal* EW baryogenesis as an example



- On the wall: CP -violating scattering causing a **chiral asymmetry** Y_{LR}
- Outside the bubble: $Y_{LR} \rightarrow Y_B$ via EW sphaleron
- Inside the bubble: $\langle \phi \rangle / T > 1$, EW sphaleron **decouples**, Y_B frozen

Choosing a fermion to transport

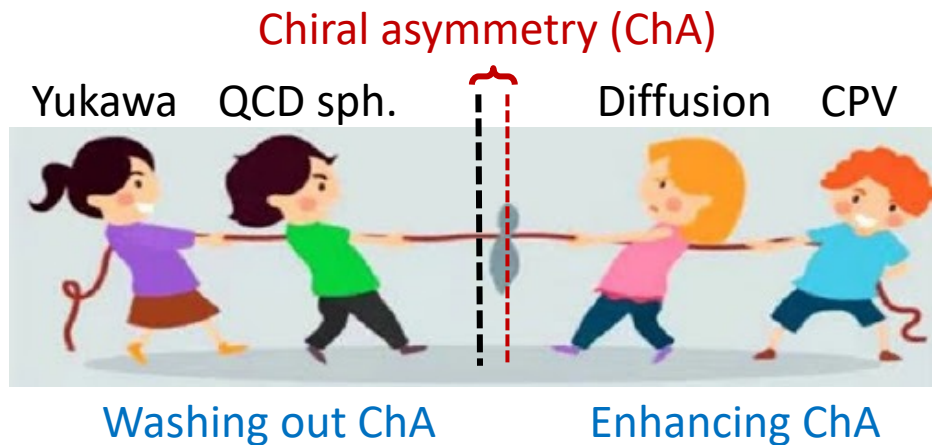
Quantitatively, described by a set of **Boltzmann equations**

fermion scattering $\xrightarrow{\text{CPV}}$ chiral asymmetry $\xrightarrow{\text{Sph.}}$ baryon asymmetry

- Which fermion do we need?

Naïvely, the **top quark**: sizeable interaction with h , large CP phase [Joyce et al, Phys.Rev.Lett. 75 \(1995\) 1695-1698; Fromme et al, JHEP 03 \(2007\) 049; etc](#)

However, also suffers from large washout



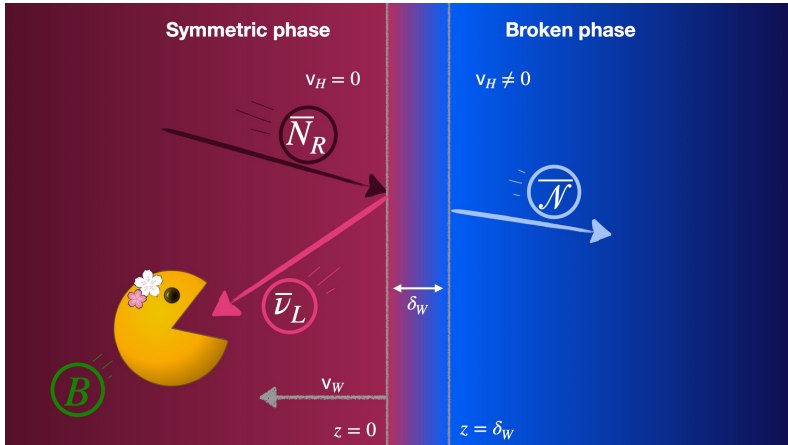
The past decade: **lepton** transport

- Small CP phase, but easy to diffuse, and experience small washout

[τ -mediated] [Guo et al, Phys.Rev.D96\(2017\)115034; Vries et al, JHEP04\(2019\)024; KPX, JHEP02\(2021\)090; etc](#)
[μ -mediated] [Fuchs et al, Phys. Rev. Lett. 124 \(2020\) 181801](#)

Relation to neutrinos

EW baryogenesis can also be related to **neutrino physics**

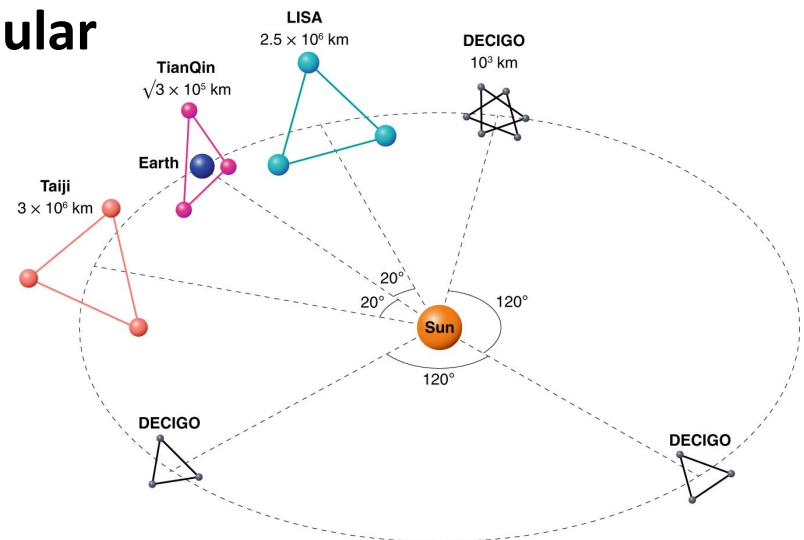


- Based on low-scale neutrino mass generation models, i.e. inverse or linear seesaw
- Transport of the neutrinos

Fernández-Martínez *et al*, JHEP 10 (2020) 063

EW baryogenesis is currently **very popular**

- Naturally at TeV scale, closely related to Higgs physics
- EW phase transition **gravitational waves** detectable at near-future interferometers



Spontaneous baryogenesis



Baryon asymmetry

The third Sakharov condition

The complete statement --

- Departure from equilibrium **or** ***CPT* violation**, because

$$\begin{aligned}\langle B \rangle_{\text{eq}} &= \text{tr}[B e^{-H/T}] = \text{tr}[(CPT)B e^{-H/T} (CPT)^{-1}] \\ &= \text{tr}[(CPT)B (CPT)^{-1} e^{-H/T}] = -\text{tr}[B e^{-H/T}] = -\langle B \rangle_{\text{eq}} = 0\end{aligned}$$

This *lecture* assumes *CPT* invariance **except this and the next page**

C: charge conjugation
P: spatial parity
T: time reversal

***CPT* theorem:** Lüders, Kong.Dan.Vid.Sel.Mat.Fys.Med. 28N5 (1954) 5, 1-17

} A local quantum field theory with Lorentz invariance and a Hermitian Hamiltonian must have *CPT* symmetry

If *CPT* is preserved:

- Particle and antiparticle have the same mass, and hence the same equilibrium distribution → no baryon asymmetry

If *CPT* is broken:

- Lorentz invariance is also violated [Greenberg, Phys.Rev.Lett. 89 \(2002\) 231602](#)
- **Baryon asymmetry may be generated in equilibrium**

Spontaneous baryogenesis

CPT violation in the early Universe [Cohen and Kaplan, Phys.Lett.B 199 \(1987\) 251-258](#)

$$\mathcal{L}_{\text{int}} = \frac{1}{\Lambda} \partial_\mu \phi \cdot J_B^\mu \quad \leftarrow \text{Baryon current}$$

After inflation, ϕ almost spatially homogeneous, $\mathcal{L}_I \rightarrow \dot{\phi} J_B^0 / \Lambda$

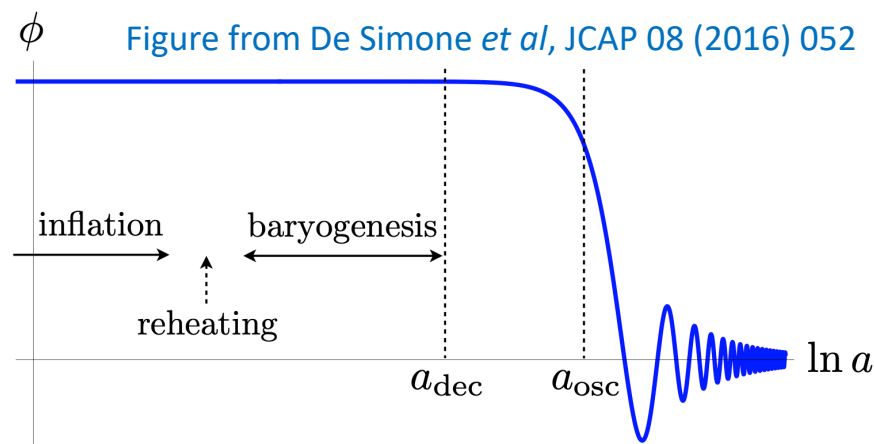
Let $\dot{\phi} / \Lambda = \mu$, considering $J_B^0 = n_B - n_{\bar{B}}$,

$$\mathcal{L}_{\text{int}} \rightarrow \mu \cdot (n_B - n_{\bar{B}})$$

Chemical potential, yielding baryon number $B \approx \mu T^2$ **in thermal bath**

More details:

- ϕ slow rolls, and B freeze-in
- $\mathcal{L}_{\text{int}}$ drops out of equilibrium before the ending of slow-roll
- Then B is frozen
- ϕ decays via $\mathcal{L}_{\text{int}}$
- ϕ can't be the inflaton, as it should continue rolling after reheating



More discussions see [Arbuzova et al, Phys.Rev.D 94 \(2016\) 12, 123501](#)

More mechanisms

Due to limitation of time & knowledge, I'm not able to cover all topics
I will focus on some **leptogenesis** mechanisms



Generally speaking: all mechanisms transferring L to B can be called leptogenesis (not necessarily related to particle decay).

Leptogenesis via oscillation

The **ARS** mechanism [Akhmedov et al, Phys.Rev.Lett.81\(1998\)1359-1362](#)

- $M \lesssim 246 \text{ GeV}$, ν_R below the electroweak scale
- **Three ν_R 's oscillate**
- Majorana mass small, $L_{SM} + L_1 + L_2 + L_3 \approx 0$, no lepton number is generated overall
- Yukawa hierarchy: ν_R^1 & ν_R^2 in equilibrium, but ν_R^3 NOT in equilibrium
- $L_1 + L_2$ is communicated to L_{SM} then B via EW sphaleron
- A net Y_B is generated
- ν_R^3 equilibrates at a low temperature, after sphaleron decouples, no impact on Y_B

A recent review [Drewes et al, Int.J.Mod.Phys.A 33 \(2018\) 05n06, 1842002](#)

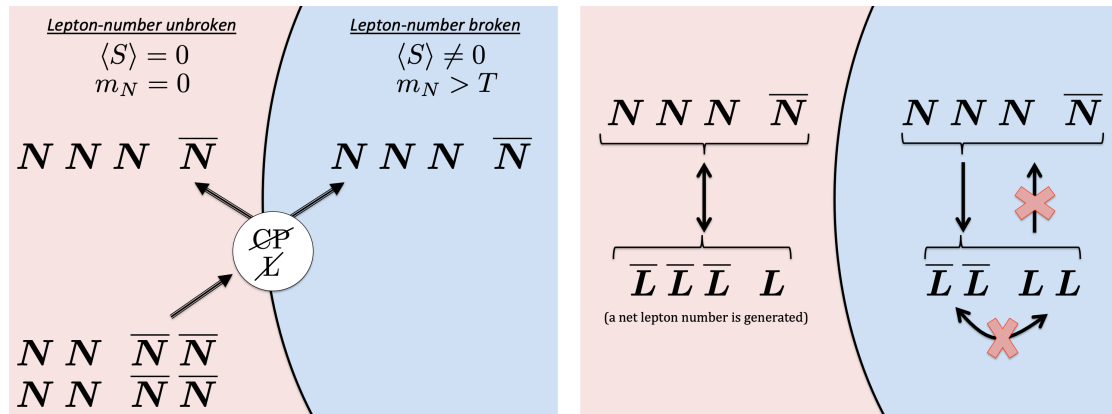


Leptogenesis via FOPTs (1)

Similar to EW baryogenesis, but first-order phase transitions (FOPTs) occur in **other scalar fields** instead of the Higgs

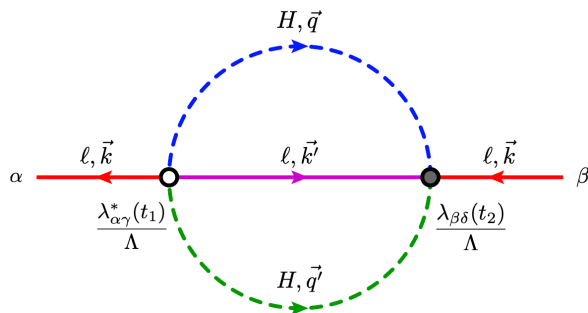
Scenario 1: Lepton-number-violating FOPT, neutrino transport

Long, Tesi, and Wang, JHEP 10 (2017) 095



Scenario 2: CP -violating FOPT, Weinberg operator

Pascoli, Turner, and Zhou, Phys.Lett.B 780 (2018) 313-318



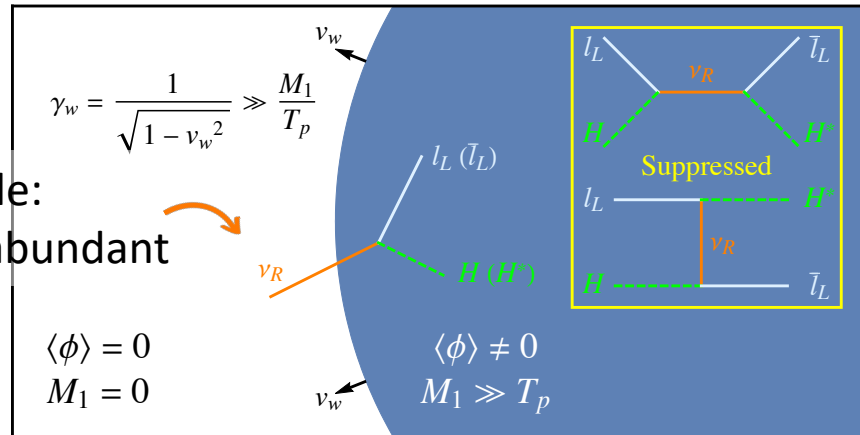
$$\mathcal{L}_W = \frac{\lambda_{\alpha\beta}}{\Lambda} \ell_{\alpha L} H C \ell_{\beta L} H + \text{h.c.},$$

Leptogenesis via FOPTs (2)

More “violent” scenarios based on supercooled FOPTs

Scenario 1 [Huang and KPX, JHEP 09 \(2022\) 052](#); also [Baldes et al, Phys. Rev. D 104 \(2021\) 115029](#); [Chun et al, JHEP 09 \(2023\) 164](#)

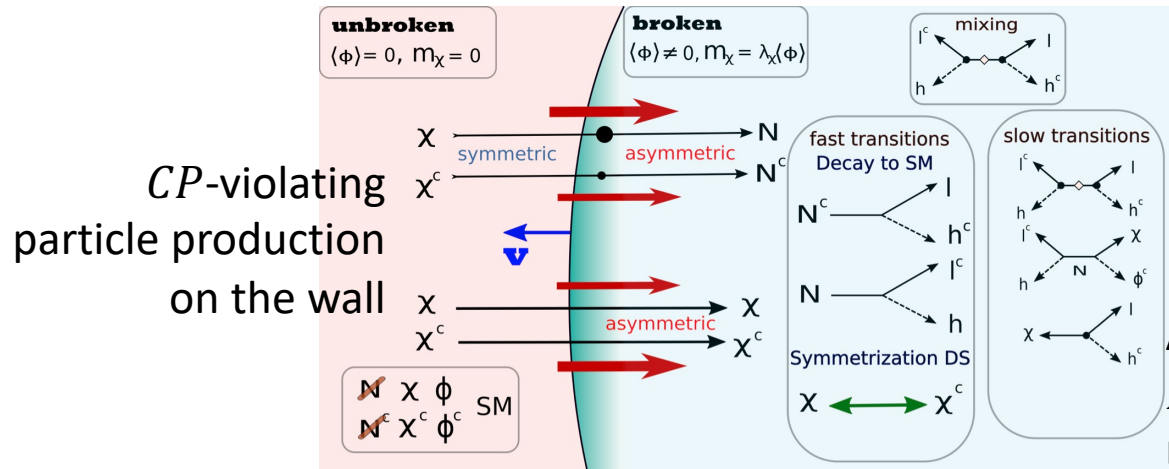
Outside the bubble:
 ν_R massless and abundant



After penetration:
 ν_R superheavy

and immediately decays, no thermal washout

Scenario 2 [Azatov et al, JHEP 10 \(2021\) 043](#)



After production:
 N decays immediately,
 no thermal washout

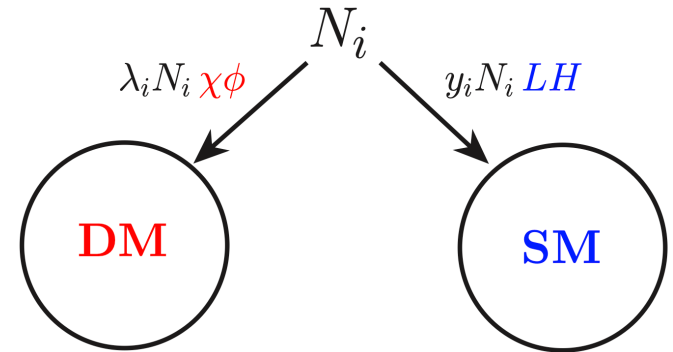
Leptogenesis & dark matter

The asymmetric dark matter paradigm [Zurek, Phys.Rept. 537 \(2014\) 91-121 \[review\]](#)

Scenario 1 [Falkowski et al, JHEP 05 \(2011\) 106](#)

Elementary particle dark matter

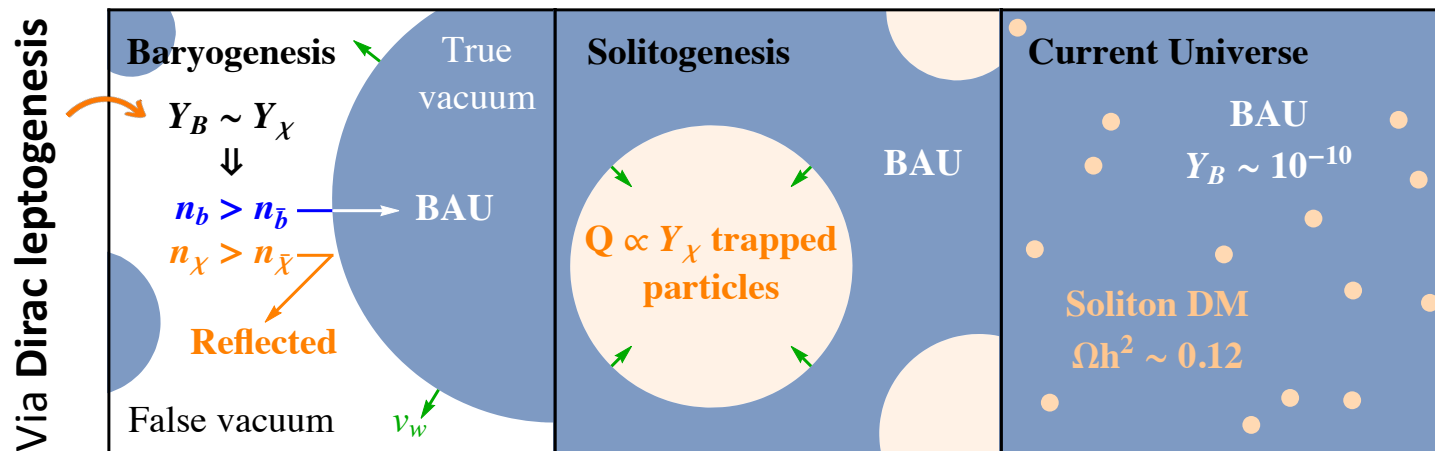
- Right-handed neutrino decay generates $B - L$ asymmetry and dark matter



Scenario 2 [Kanemura, Li, and KPX, 2504.08304](#)

Macroscopic dark matter: nontopological solitons

- Needs the assistance of the *cosmic bubbles*



Conclusion & outlook

What we do know:

- There is a baryonic matter asymmetry in the Universe
- It was generated between reheating and big bang nucleosynthesis
- Needs physics beyond the Standard Model

What we don't know:

- **Generation scale.** Grand unification (10^{16} GeV), vanilla leptogenesis (10^{11} GeV), electroweak (10^2 GeV), or even sub-GeV scale?
- **Baryogenesis mechanism.** Particle decay, coherent scalar evolution, fermion transport, or even *CPT* violation?
- **Testability.** Neutrino oscillation, electric dipole moment, collider experiments, or gravitational waves?

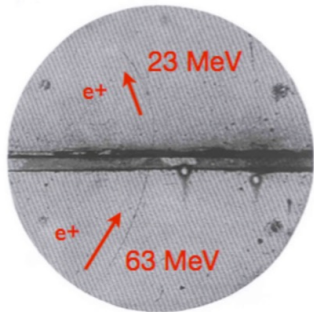
Still a long way to understand the mystery of matter and antimatter!

Thank you!

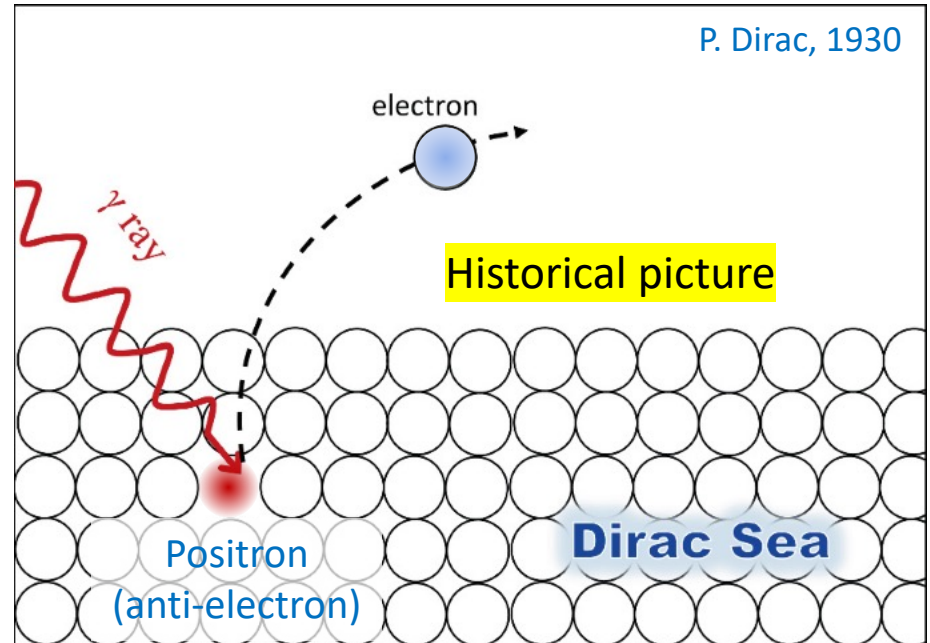
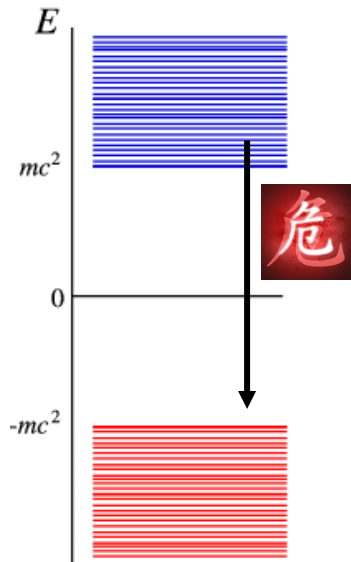
Backup: the positron

Origin: **negative energy** solution of the Dirac **wave equation**

- $(i\gamma^\mu \partial_\mu - m)\psi = 0$
- $H\psi = E\psi$



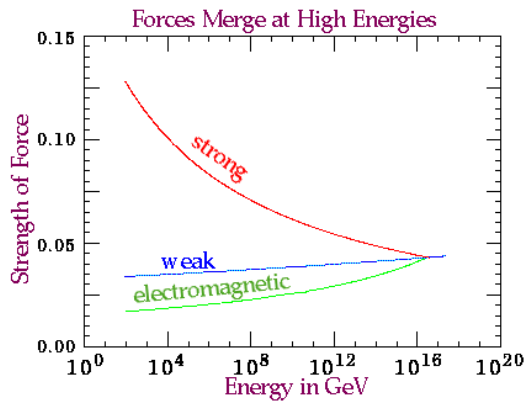
C. Anderson, 1932



Modern explanation: the Dirac **field equation**

- $\psi(x) \sim \sum_{\vec{p}, r} c_r(\vec{p}) u_r(\vec{p}) e^{-ipx} + d_r^\dagger(\vec{p}) v_r(\vec{p}) e^{-ipx}$
- Single-particle state: **electron** $c^\dagger|0\rangle$ or **positron** $d^\dagger|0\rangle$, both with **positive energy**
- *No infinite electron sea anymore!*

Backup: GUT baryogenesis



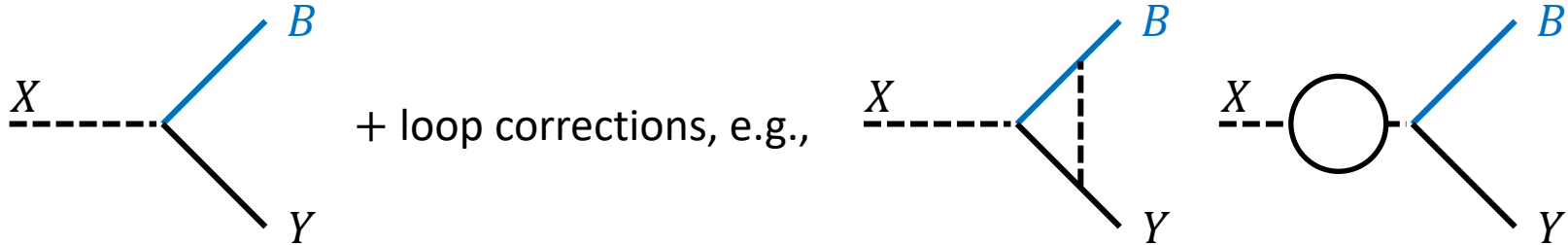
The grand unified theories (GUTs): unify strong, weak, and electromagnetic interactions
Starting from [Georgi & Glashow, Phys.Rev.Lett. 32 \(1974\) 438-441](#), still very active today
Candidates: $SU(5)$, $SU(8)$, $SO(10)$, E_6 , ...

- ✓ Well-motivated theories
- Quarks & leptons in the same representation, allowing for ***B*-violating** interactions
- More interactions, containing extra ***CP*-violating** phases
- Heavy Higgs or gauge bosons: **out-of-equilibrium** decay via $X \rightarrow qq, q\bar{\ell}$
- ✓ Baryon asymmetry generated



Backup: asymmetric decay

Let X be a heavy particle with decay channel $X \rightarrow BY$



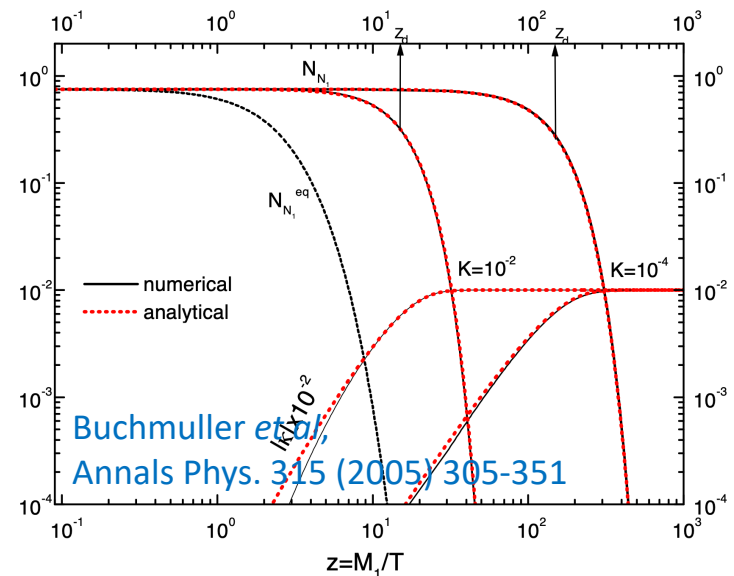
CP -violating couplings in the loops, causing *partial width* difference

$$\epsilon = \frac{\Gamma(X \rightarrow BY) - \Gamma(\bar{X} \rightarrow \bar{B}\bar{Y})}{\Gamma_X + \Gamma_{\bar{X}}} > 0$$

*Total width $\Gamma_X \equiv \Gamma_{\bar{X}}$ (CPT invariance)

A typical thermal history:

1. When $T \gg m_X$, X in equilibrium, with $n_X \sim T^3$
2. At $T \sim m_X$, $\Gamma_X \ll H$, not decaying, $n_X \gg n_X^{\text{eq}} \sim e^{-m_X/T}$
3. When $T \lesssim m_X$, out-of-equilibrium decay: baryogenesis

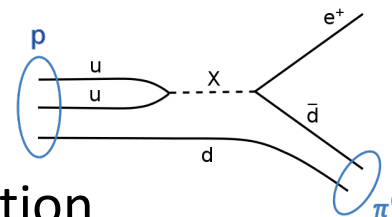


Backup: difficulties in GUT baryogenesis

Difficulty: $\Lambda_{\text{GUT}} \sim 10^{16}$ GeV, too high to directly test

Possible solutions:

- Proton decay (e.g. $p \rightarrow e^+ \pi^0$): baryon number violation
- Electric dipole moment of elementary particles: CP violation



Difficulty: inflation theory requires $T_{\text{rh}} \lesssim 10^{14}$ GeV, X particles might never be in equilibrium!

Possible solution:

- X 's are exponentially produced from **preheating** during the inflaton coherent oscillation [Riotto et al, Ann.Rev.Nucl.Part.Sci. 49 \(1999\) 35-75; hep-ph/9807454](#)

Difficulty: $B - L$ is conserved in many GUTs, and the EW sphaleron will erase any $B + L$ asymmetry before EW phase transition

Possible solutions:

- Adding $B - L$ -violating operator [Fukugita & Yanagida, Phys.Rev.Lett. 89 \(2002\) 131602](#)
- Using chiral asymmetry [Kamada, Phys.Rev.D 97 \(2018\) 10, 103506](#)

