

# 2nd JUNO Neutrino Summer School

## Neutrino oscillation phenomenology

Thomas Schwetz



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# Outline

## Lepton mixing

## Neutrino oscillation fundamentals

- Oscillations in vacuum
- QFT approach to neutrino oscillations
- Coherence requirements for oscillations
- Oscillations in matter
- Varying matter density and MSW

## Global data and 3-flavour oscillations

- Qualitative picture
- Global analysis
- $\delta_{\text{CP}}$  and mass ordering

## Summary and concluding comments

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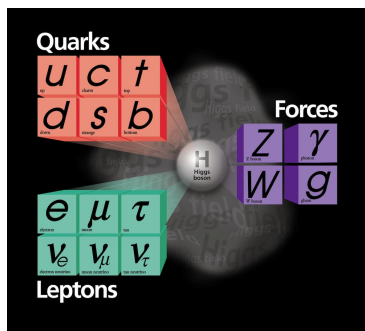
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# The Standard Model



Fermions in the Standard Model come in three generations (“Flavours”)

Neutrinos are the “partners” of the charged leptons

more precisely: they form a doublet under the  $SU(2)$  gauge symmetry

# Flavour neutrinos

A neutrino of flavour  $\alpha$  is **defined** by the charged current interaction with the corresponding charged lepton:

$$\mathcal{L}_{\text{CC}} = -\frac{g}{\sqrt{2}} W^\rho \sum_{\alpha=e,\mu,\tau} \bar{\nu}_{\alpha L} \gamma_\rho \ell_{\alpha L} + \text{h.c.}$$

for example

$$\pi^+ \rightarrow \mu^+ \nu_\mu$$

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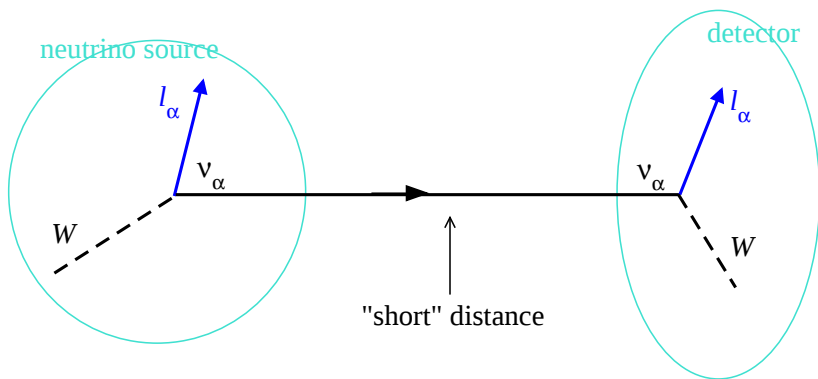
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# Flavour neutrinos



# Let's give mass to the neutrinos

Majorana mass term:

$$\mathcal{L}_M = -\frac{1}{2} \sum_{\alpha, \beta = e, \mu, \tau} \nu_{\alpha L}^T C^{-1} \mathcal{M}_{\alpha\beta} \nu_{\beta L} + \text{h.c.}$$

$\mathcal{M}$ : symmetric mass matrix

In the basis where the CC interaction is diagonal the mass matrix is in general not a diagonal matrix

any complex symmetric matrix  $\mathcal{M}$  can be diagonalised by a unitary matrix

$$U_\nu^T \mathcal{M} U_\nu = m, \quad m : \text{diagonal}, m_i \geq 0$$

# Lepton mixing

$$\begin{aligned}
 \mathcal{L}_{\text{CC}} &= -\frac{g}{\sqrt{2}} W^\rho \sum_{\alpha=e,\mu,\tau} \sum_{i=1}^3 \bar{\nu}_{iL} U_{\alpha i}^* \gamma_\rho \ell_{\alpha L} + \text{h.c.} \\
 \mathcal{L}_{\text{M}} &= -\frac{1}{2} \sum_{i=1}^3 \nu_{iL}^T C^{-1} \nu_{iL} m_i^\nu - \sum_{\alpha=e,\mu,\tau} \bar{\ell}_{\alpha R} \ell_{\alpha L} m_\alpha^\ell + \text{h.c.}
 \end{aligned}$$

**Pontecorvo-Maki-Nakagawa-Sakata lepton mixing matrix:**

$$(U_{\alpha i}) \equiv U_{\text{PMNS}}$$

# Lepton mixing

- ▶ Flavour neutrinos  $\nu_\alpha$  are superpositions of massive neutrinos  $\nu_i$ :

$$\nu_\alpha = \sum_{i=1}^3 U_{\alpha i} \nu_i \quad (\alpha = e, \mu, \tau)$$

- ▶ mismatch between mass and interaction basis
- ▶ Example for two neutrinos:

$$\begin{aligned} \nu_e &= \cos \theta \nu_1 + \sin \theta \nu_2 \\ \nu_\mu &= -\sin \theta \nu_1 + \cos \theta \nu_2 \end{aligned}$$

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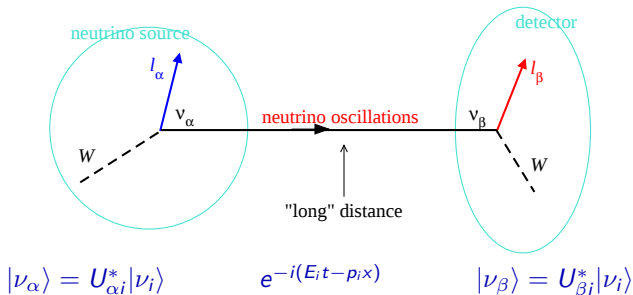
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# Neutrino oscillations



oscillation amplitude:

$$\begin{aligned}
 \mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta} &= \langle \nu_\beta | \text{propagation} | \nu_\alpha \rangle \\
 &= \sum_{i,j} U_{\beta j} \langle \nu_j | e^{-i(E_i t - p_i x)} | \nu_i \rangle U_{\alpha i}^* = \sum_i U_{\beta i} U_{\alpha i}^* e^{-i(E_i t - p_i x)}
 \end{aligned}$$

# Neutrino oscillations in vacuum

oscillation amplitude:

$$\mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta} = \sum_i U_{\beta i} U_{\alpha i}^* e^{-i(E_i t - p_i x)} \quad \rightarrow \quad P_{\nu_\alpha \rightarrow \nu_\beta} = \left| \mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta} \right|^2$$

need to calculate phase differences:

$$\phi_{ji} = (E_j - E_i)t - (p_j - p_i)x \quad \text{with} \quad E_i^2 = p_i^2 + m_i^2$$

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after some hand waving:

$$\phi_{ji} \approx \frac{\Delta m_{ji}^2 L}{2E} \quad \text{with} \quad \Delta m_{ji}^2 \equiv m_j^2 - m_i^2$$

# A hand-waving derivation for two flavours

oscillation phase:

$$\phi = (E_2 - E_1)t - (p_2 - p_1)x \quad \text{with} \quad E_i^2 = p_i^2 + m_i^2$$

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define:  $\Delta E = E_2 - E_1$ ,  $\Delta E^2 = E_2^2 - E_1^2$ ,  $\bar{E} = (E_1 + E_2)/2$

then:  $\Delta E^2 = 2\bar{E}\Delta E$  (similar for  $p$  and  $m$ )

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$$\begin{aligned} \phi &= \Delta E t - \frac{\Delta p^2}{2\bar{p}} x = \Delta E t - \frac{\Delta E^2 - \Delta m^2}{2\bar{p}} x \\ &= \Delta E t - \frac{2\bar{E}}{2\bar{p}} \Delta E x + \frac{\Delta m^2}{2\bar{p}} x \end{aligned}$$

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use “average velocity” of the neutrino  $v = \bar{p}/\bar{E}$  and  $x \approx vt$ :

$$\phi \approx \frac{\Delta m^2}{2\bar{p}}x \approx \frac{\Delta m^2}{2\bar{E}}x$$

## Effective Schrödinger equation

The evolution of the flavour state can be described by an effective Schrödinger equation:

$$i \frac{d}{dt} \begin{pmatrix} a_e \\ a_\mu \\ a_\tau \end{pmatrix} = H_{\text{vac}} \begin{pmatrix} a_e \\ a_\mu \\ a_\tau \end{pmatrix}$$

where

$$H_{\text{vac}}^\nu = U \text{diag} \left( 0, \frac{\Delta m_{21}^2}{2E_\nu}, \frac{\Delta m_{31}^2}{2E_\nu} \right) U^\dagger$$

$$H_{\text{vac}}^{\bar{\nu}} = U^* \text{diag} \left( 0, \frac{\Delta m_{21}^2}{2E_\nu}, \frac{\Delta m_{31}^2}{2E_\nu} \right) U^T$$

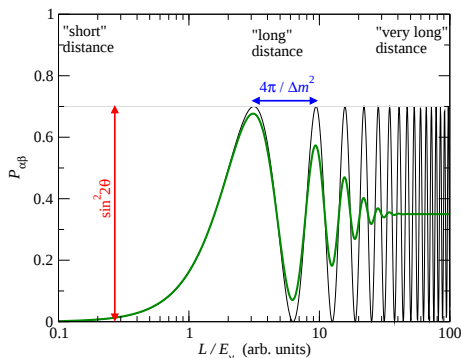
equivalent to  $\mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta} = \sum_i U_{\beta i} U_{\alpha i}^* e^{-i(E_i t - p_i x)}$  and  $\phi_{ji} \approx \frac{\Delta m_{ji}^2 L}{2E}$

## 2-neutrino oscillations

Two-flavour limit:

$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}, \quad P = \sin^2 2\theta \sin^2 \frac{\Delta m^2 L}{4E_\nu}$$

oscillations are sensitive to mass differences (not absolute masses)



$$\frac{\Delta m^2 L}{4E_\nu} = 1.27 \frac{\Delta m^2 [\text{eV}^2] L [\text{km}]}{E_\nu [\text{GeV}]}$$

# Appearance vs. disappearance

- ▶ appearance experiments:

$$P_{\nu_\alpha \rightarrow \nu_\beta}, \quad \alpha \neq \beta$$

“appearance” of a neutrino of a new flavour  $\beta \neq \alpha$  in a beam of  $\nu_\alpha$

- ▶ disappearance experiments:

$$P_{\nu_\alpha \rightarrow \nu_\alpha}$$

measure the “survival” probability of a neutrino of given flavour

# Neutrinos oscillate!

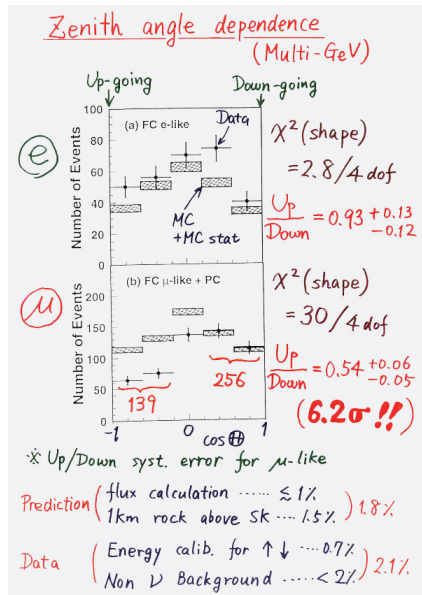
1998: SuperKamiokande  
atmospheric neutrinos

- ▶ zenith-angle dependent deficit of multi-GeV  $\mu$ -like events
- ▶ consistent with  $\nu_\mu \rightarrow \nu_\tau$  oscillations with

$$\Delta m^2 \simeq 2.5 \times 10^{-3} \text{ eV}^2$$

$$\sin^2 2\theta \simeq 1$$

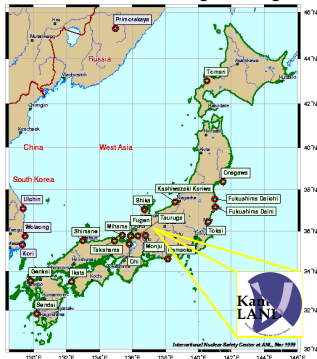
Nobel prize 2015  
Takaaki Kajita



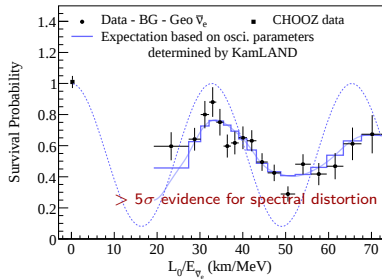
# Neutrinos oscillate!

$$P_{\text{survival}} \approx 1 - \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2}{4} \frac{L}{E_\nu} \right)$$

KamLAND  $\bar{\nu}_e \rightarrow \bar{\nu}_e$



$$\langle L \rangle \sim 180 \text{ km}$$

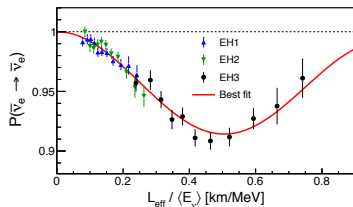


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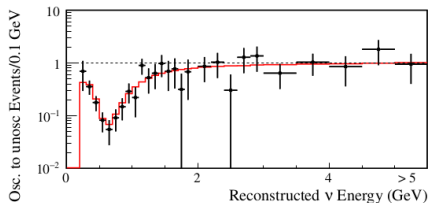
DayaBay, 2015

$\bar{\nu}_e \rightarrow \bar{\nu}_e$ ,  $\langle L \rangle \sim 2$  km



T2K, 2015

$\nu_\mu \rightarrow \nu_\mu$ ,  $\langle L \rangle \sim 295$  km



the naive approach to calculate the oscillation probability is problematic at least for the following reasons:

- ▶ production and detection regions are localised in space  $\rightarrow$   
inconsistent with plane wave ansatz for neutrino propagation  $\propto e^{-i(E_it - p_ix)}$
- ▶ plane waves correspond to states with exact energy/momentum  $\rightarrow$   
neutrino mass states are distinguishable particles  $\rightarrow$   
why is the sum in the amplitude coherent (inside modulus)?

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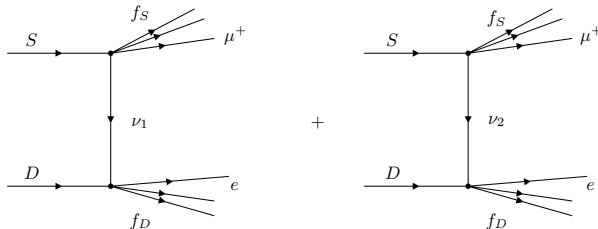
Two approaches:

- ▶ assume wave-packets for neutrinos
- ▶ QFT approach, neutrino as internal line, wave-packets for external particles

relation of the two approaches e.g., Akhmedov, Kopp, JHEP (2010) [1001.4815]

# QFT approach to neutrino oscillations

joint process of neutrino production and detection



early papers:

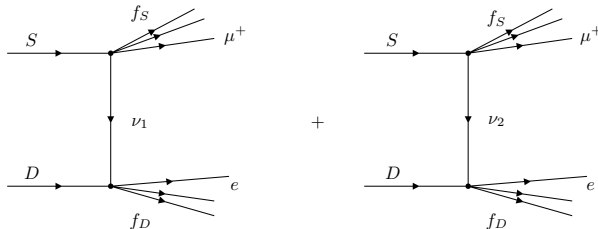
Rich,1993; Giunti,Kim, Lee, Lee,1993; Grimus,Stockinger,1996; Kiers,Weiss,1998

review paper:

M. Beuthe, Oscillations of Neutrinos and Mesons in Quantum Field Theory, Phys. Rept. 375 (2003) 105 [hep-ph/0109119]

# QFT approach to neutrino oscillations

joint process of neutrino production and detection



- ▶ neutrino corresponds to internal line, unobservable
- ▶ “standard” Feynman rules to calculate amplitude  $\mathcal{A}$  of the whole process
- ▶ take into account that production and detection vertices are macroscopically separated in space and time
- ▶ coherence properties determined by localization (or momentum spread) of external particles

## QFT approach Dobrev, Melnikov, TS, 2504.10600

Initial states at source and detector ( $X = S, D$ ):  
superpositions of momentum eigenstates:

$$|X\rangle = \int [d\vec{k}_X] \phi_X(\vec{k}_X) |\vec{k}_X\rangle, \quad [d\vec{k}_X] = \frac{d^3\vec{k}_X}{(2\pi)^{3/2} \sqrt{2E_X}}$$

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S-matrix element for the full process  $i \rightarrow f$  (final state moment  $P_S, P_D$  fixed)

$$S_{if} = \int [d\vec{k}_S][d\vec{k}_D] \phi_S(\vec{k}_S) \phi_D(\vec{k}_D) (2\pi)^4 \delta^{(4)}(k_S + k_D - P_S - P_D) \mathcal{M}_{if}$$

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and differential transition probability  $i \rightarrow f$ :

$$dW_{if} = |S_{if}|^2 d\nu_f$$

with density of final states  $d\nu_f = d\Phi_S d\Phi_D$

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$$dW_{if} = \int [d\vec{k}_S][d\vec{k}'_S][d\vec{k}_D][d\vec{k}'_D] d\Phi_S d\Phi_D \phi_S(\vec{k}_S) \phi_D(\vec{k}_D) \phi_S^*(\vec{k}'_S) \phi_D^*(\vec{k}'_D) \times \\ (2\pi)^8 \delta^{(4)}(k_S + k_D - P_S - P_D) \delta^{(4)}(k'_S + k'_D - P_S - P_D) \mathcal{M}_{if} \mathcal{M}_{if}'^*$$

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neutrino momenta:  $q = k_S - P_S = P_D - k_D$ ,  $q' = k'_S - P_S = P_D - k'_D$

momenta differences:  $\kappa = q - q'$ ,  $\kappa_X = k_X - k'_X$ , ( $X = S, D$ )

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introduce source and detector “times”  $t_S, t_D$ :

$$(2\pi)^2 \delta(\kappa_S^0 - \kappa^0) \delta(\kappa_D^0 + \kappa^0) = \int dt_S e^{-i(\kappa_S^0 - \kappa^0)t_S} \int dt_D e^{-i(\kappa_D^0 + \kappa^0)t_D}$$

## Crucial step 1

statistical averaging over quantum states described by  $\phi_S$  and  $\phi_D$  gives time-dependent momentum-space density matrices

$$\langle \phi_X(\vec{k}_X) \phi_X^*(\vec{k}'_X) e^{-i\kappa_X^0 t_X} \rangle = \rho_X(\vec{k}_X, \vec{k}'_X, t_X)$$

related to the Wigner function by

$$\rho_X(\vec{k}_X, \vec{k}'_X, t_X) = \int d^3\vec{r}_X n_X(\vec{r}_X, \vec{l}_X, t_X) e^{-i\vec{k}_X \vec{r}_X} \quad (X = S, D)$$

the classical limit of a Wigner function is the phase-space distribution of particles

$$n_X(\vec{r}_X, \vec{l}_X, t_X)$$

with position  $\vec{r}$ , momentum  $\vec{l}$ , at the time  $t$ .

Dobrev, Melnikov, TS, 2504.10600; Ginzburg, Kotkin, Polityko, Serbo, Sov. J. Nucl. Phys. 55 (1992) 1847; Sov. J. Nucl. Phys. 55 (1992) 1855; Kotkin, Serbo, Schiller, Int. J. Mod. Phys. A 7 (1992) 4707

## Crucial step 2

amplitude factorizes:  $\mathcal{M}_{if} \approx -\sin \theta \cos \theta M_S \sum_{a=1,2} \frac{(-1)^a}{q^2 - m_a^2 + i\epsilon} M_D$

$$\mathcal{M}_{if} \mathcal{M}_{if}'^* = \sin^2 \theta \cos^2 \theta |M_S|^2 |M_D|^2 \sum_{a,b=1,2} \frac{(-1)^a (-1)^b}{(q^2 - m_a^2 + i\epsilon)(q'^2 - m_b^2 - i\epsilon)}$$

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$M_S, M_D$  smooth functions of neutrino energy (neglect neutrino masses)

$$\begin{aligned} I &= \int \frac{dQ^2}{2\pi} \frac{d^4\kappa}{(2\pi)^4} e^{-i\kappa x} \sum_{a,b=1,2} \frac{(-1)^a (-1)^b}{(Q^2 + Q\kappa - m_a^2 + i\epsilon)(Q^2 - Q\kappa - m_b^2 - i\epsilon)} \\ &= \dots = \frac{2}{|\vec{Q}|} \delta(t_D - t_S - r_{||}) \delta^{(2)}(\vec{r}_{\perp}) \Theta(r_{||}) \sin^2 \left( \frac{\Delta m^2 L}{4|\vec{Q}|} \right) \end{aligned}$$

where  $\vec{r} = \vec{r}_D - \vec{r}_S$ ,  $L \equiv r_{||} = \vec{r} \cdot \vec{e}_{\nu}$ ,  $\vec{r}_{\perp} \cdot \vec{e}_{\nu} = 0$ ,  $|\vec{Q}| \approx E_{\nu}$

# Result for transition probability

$$dW_{if} = \int d^3\vec{p}_\nu \int dt_S d^3\vec{l}_S d^3\vec{r}_S n_S(\vec{r}_S, \vec{l}_S, t_S) \frac{d\Gamma_S}{d^3\vec{p}_\nu} \\ \times \int dr d^3\vec{l}_D n_D(\vec{r}_S + r\vec{e}_\nu, \vec{l}_D, t_S + r) d\sigma_{D\nu} P_{\text{osc}}(r)$$

$$P_{\text{osc}}(r) = \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E_\nu} \right)$$

convolution of standard oscillation probability with neutrino flux, detection cross section, and phase-space densities at source and detector

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convolution of standard oscillation probability with neutrino flux, detection cross section, and phase-space densities at source and detector

coherence properties encoded in phase-space densities  $n_S$  and  $n_D$

## Coherence properties

- ▶ assume isotropic Gaussian phase-space densities for  $X = S, D$ :

$$n_X(\vec{r}_X, \vec{l}_X, t_X) \propto \exp \left[ -\frac{1}{2} \left( \frac{\vec{r}_X - \vec{L}_X}{\delta_X} \right)^2 - \frac{1}{2} \left( \frac{\vec{l}_X - \vec{l}_X}{\sigma_X} \right)^2 - \frac{1}{2} \left( \frac{t_X - T_X}{\tau_X} \right)^2 \right]$$

- ▶ mean locations  $\vec{L}_{S,D}$ , mean momenta  $\vec{l}_{S,D}$ , and mean times  $T_{S,D}$
- ▶ Quantum mechanical uncertainty relation:  $\delta_X \sigma_X > 1/2$
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$$P_{\text{osc}} = \exp \left[ -\frac{(\vec{L}_D - \vec{L}_S)_\perp^2}{2\delta^2} \right] \exp \left[ -\frac{(L - T)^2}{2\tau^2} \right] \frac{\sin^2 2\theta}{2} \left[ 1 - \xi_{\text{loc}} \xi_{\text{en}} \cos \left( \frac{\Delta m^2 L}{2E_\nu} \right) \right]$$

where

$$\tau^2 \equiv \tau_D^2 + \tau_S^2, \quad \delta^2 \equiv \delta_D^2 + \delta_S^2, \quad L \equiv (\vec{L}_D - \vec{L}_S) \cdot \vec{e}_\nu, \quad T \equiv T_D - T_S$$

# Localization decoherence

$$\xi_{\text{loc}} = \exp \left[ -2 \left( \pi \frac{\delta}{L_{\text{osc}}} \right)^2 \right] \quad \text{with} \quad L_{\text{osc}} = 2\pi \frac{2E_\nu}{\Delta m^2}$$

production and detection regions have to be localised much better than the oscillation length:  $\delta \ll L_{\text{osc}}$  (note  $\delta^2 = \delta_S^2 + \delta_D^2$ )

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# Energy decoherence

$$\xi_{\text{en}} = \exp \left[ -\frac{1}{2} \left( \frac{\Delta m^2 L \sigma}{2E_\nu^2} \right)^2 \right] = \exp \left[ -2\pi^2 \left( \frac{L}{L_{\text{osc}}} \frac{\sigma}{E_\nu} \right)^2 \right]$$

- ▶ for experiments at the oscillation maximum ( $L \approx L_{\text{osc}}$ ) the neutrino energy needs to be well defined:  $\sigma \ll E_\nu$
- ▶ this term can be interpreted as decoherence due to neutrino wave packet separation, identifying  $v_j \approx 1 - m_j^2/(2E_\nu^2)$

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# Why are oscillations possible?

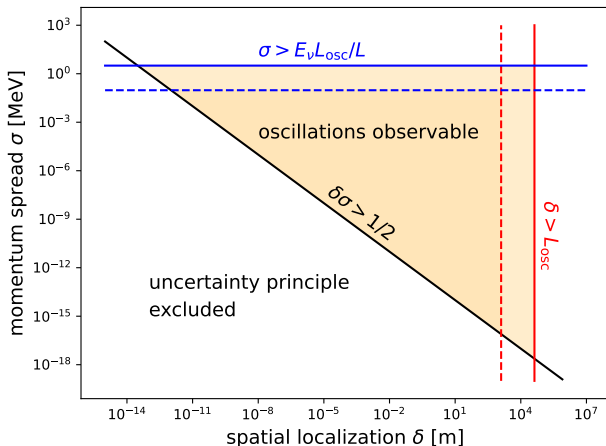
$\xi_{\text{loc}}$  and  $\xi_{\text{en}}$  have opposite dependence on spreads:

- uncertainties have to be  
 large enough that mass states can interfere:  $\sigma_{\text{loc}} \gg \Delta m^2/E_\nu$   
 small enough that interference is not damped:  $\sigma \ll E_\nu L_{\text{osc}}/L$   
 but QM uncertainty implies:  $\sigma_{\text{loc}} = 1/(2\delta) < \sigma$
- there are many orders of magnitude available to fulfill these requirements, because

$$\Delta m^2/E_\nu^2 \ll 1 \quad \text{or} \quad E_\nu L_{\text{osc}} \gg 1$$

# Why are oscillations possible?

Ex.: JUNO:  $E_\nu = 4 \text{ MeV}$ ,  $L = 53 \text{ km}$ , solid(dash)  $\Delta m^2 = 7.5 \cdot 10^{-5} (2.5 \cdot 10^{-3}) \text{ eV}^2$



Dobrev, Melnikov, TS, 2504.10600

# Classical averaging

consider averaging of the event rates  $R(L, E_\nu)$ :

$$\int dL' R(L', E_\nu) \frac{1}{\sqrt{2\pi}\delta_{\text{clas}}} \exp\left[-\frac{(L' - L)^2}{2\delta_{\text{clas}}^2}\right] \\ \int dE'_\nu R(L, E'_\nu) \frac{1}{\sqrt{2\pi}\sigma_{\text{clas}}} \exp\left[-\frac{(E'_\nu - E_\nu)^2}{2\sigma_{\text{clas}}^2}\right]$$

same decoherence factors  $\xi_{\text{loc}}$  and  $\xi_{\text{en}}$  with (in the Gaussian case)

$$\delta^2 \rightarrow \delta^2 + \delta_{\text{clas}}^2, \quad \sigma^2 \rightarrow \sigma^2 + \sigma_{\text{clas}}^2$$

# Classical averaging

- ▶ quantum mechanical and classical decoherence have the same effect and are indistinguishable phenomenologically  
Kiers, Nussinov, Weiss, 1996; Stodolsky, 1998; Ohlsson, 2001
- ▶ classical averaging due to experimental reasons: size of production region, finite detector resolutions (in space and energy),...
- ▶ fundamental averaging effects due to experimental configuration and physics principles: phase space integrals of unobserved particles, Doppler broadening,...

# Classical averaging

In the approach of [Dobrev, Melnikov, TS, 2504.10600](#), the QM uncertainties of the initial state particles manifest themselves as classical convolution of the probability with Wigner distributions:

$$\begin{aligned} dW_{if} = & \int d^3\vec{p}_\nu \int dt_S d^3\vec{l}_S d^3\vec{r}_S n_S(\vec{r}_S, \vec{l}_S, t_S) \frac{d\Gamma_S}{d^3\vec{p}_\nu} \\ & \times \int dr d^3\vec{l}_D n_D(\vec{r}_S + r\vec{e}_\nu, \vec{l}_D, t_S + r) d\sigma_{D\nu} P_{\text{osc}}(r) \end{aligned}$$

conditions of observability of oscillations imply that coherence assumptions of the derivation are satisfied

# Decoherence parameters - numerical example

estimates for reactor oscillation experiments

Krueger, TS, 2303.15524, Akhmedov, Smirnov, 2208.03736

$$\begin{aligned}
 -\ln \xi_{\text{loc}} &= \frac{1}{2} \left( \frac{\Delta m^2}{4E_\nu \sigma_{\text{loc}}} \right)^2 \approx 1.3 \times 10^{-19} \left( \frac{\Delta m^2}{1 \text{ eV}^2} \right)^2 \left( \frac{1 \text{ MeV}}{E_\nu} \right)^2 \left( \frac{500 \text{ eV}}{\sigma_{\text{loc}}} \right)^2 \\
 -\ln \xi_{\text{en}} &= 2\pi^2 \left( \frac{L}{L_{\text{osc}}} \frac{\sigma_{\text{en}}}{E_\nu} \right)^2 \approx 4.9 \times 10^{-12} \left( \frac{L}{L_{\text{osc}}} \right)^2 \left( \frac{1 \text{ MeV}}{E_\nu} \right)^2 \left( \frac{\sigma_{\text{en}}}{0.5 \text{ eV}} \right)^2
 \end{aligned}$$

⇒ QM decoherence (incl. localization and “wave packet separation”) is irrelevant for all practical purposes

decoherence effects completely dominated by classical averaging (e.g., typical energy resolution in reactor expts:  $\sigma_{\text{clas}} \simeq 0.1 \text{ MeV}$ )

# Summary oscillations in vacuum

- ▶ “simple QM” derivation of oscillation probability is based on *wrong* assumptions but gives the *correct* result
- ▶ well founded derivation and understanding of (coherence) conditions for the observability of oscillations can be obtained by a **QFT or wave packet treatment**
- ▶ fundamental QM decoherence effects are *unobservable* for all practical purposes, coherence is dominated by **classical averaging** effects (or would require rather exotic BSM physics)

# The matter effect

When neutrinos pass through matter the SM interactions with the particles in the background induce an effective potential for the neutrinos

Effective 4-point interaction Hamiltonian

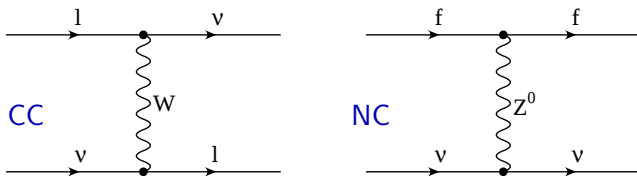
$$H_{\text{int}}^{\nu_\alpha} = \frac{G_F}{\sqrt{2}} \bar{\nu}_\alpha \gamma_\mu (1 - \gamma_5) \nu_\alpha \underbrace{\sum_f \bar{f} \gamma^\mu (g_V^{\alpha,f} - g_A^{\alpha,f} \gamma_5) f}_{J_{\text{mat}}^\mu}$$

coherent forward scattering amplitude leads to an “index of refraction”  
 $\rightarrow$  proportional to  $G_F$ ! (not  $G_F^2$ )

L. Wolfenstein, Phys. Rev. D **17**, 2369 (1978); *ibid.* D **20**, 2634 (1979)

# Effective matter potential

$$V_{\text{mat}} = \sqrt{2}G_F \text{diag}(\textcolor{red}{N}_e - N_n/2, -N_n/2, -N_n/2)$$



- ▶ only  $\nu_e$  feel CC (there are no  $\mu, \tau$  in normal matter)
- ▶ NC is the same for all flavours  $\Rightarrow$  potential proportional to identity has no effect on the evolution
- ▶ NC has no effect for 3-flavour active neutrinos, but is important in the presence of sterile neutrinos

# Effective Schrödinger equation in matter

$$i \frac{d}{dt} \begin{pmatrix} a_e \\ a_\mu \\ a_\tau \end{pmatrix} = H \begin{pmatrix} a_e \\ a_\mu \\ a_\tau \end{pmatrix}$$

where

$$H = \underbrace{U \text{diag} \left( 0, \frac{\Delta m_{21}^2}{2E_\nu}, \frac{\Delta m_{31}^2}{2E_\nu} \right) U^\dagger}_{\text{vacuum}}$$

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$N_e(x)$ : electron density along the neutrino path

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for non-constant matter:  $H(t) \rightarrow$  time-dependent Schrödinger eq.

**“MSW resonance”** Mikheev, Smirnov, Sov. J. Nucl. Phys. 42, 913 (1985)

# Matter effect in QFT

- ▶ transition probability for neutrinos in matter can be derived also within the QFT approach to neutrino oscillations  
e.g., Cardall, Chung, [hep-ph/9904291](#); Akhmedov, Wilhelm, [1205.6231](#)
- ▶ consider the effect of background matter on the neutrino propagator appearing in the QFT Feynman diagram
- ▶ neutrino propagator has to be derived from a solution of the Dirac equation with matter-induced potential

# Neutrino oscillations in constant matter

diagonalize the Hamiltonian in matter:

$$\begin{aligned}
 H_{\text{mat}}^{\nu} &= U \text{diag} \left( 0, \frac{\Delta m_{21}^2}{2E_{\nu}}, \frac{\Delta m_{31}^2}{2E_{\nu}} \right) U^{\dagger} + \text{diag}(\sqrt{2}G_F N_e, 0, 0) \\
 &= U_m \text{diag}(\lambda_1, \lambda_2, \lambda_3) U_m^{\dagger}
 \end{aligned}$$

Same expression for oscillation probability, but replace “vacuum” parameters by “matter” parameters

## 2-neutrino oscillations in constant matter

Two-flavour case:

$$P_{\text{mat}} = \sin^2 2\theta_{\text{mat}} \sin^2 \frac{\Delta m_{\text{mat}}^2 L}{4E}$$

with

$$\sin^2 2\theta_{\text{mat}} = \frac{\sin^2 2\theta}{\sin^2 2\theta + (\cos 2\theta - A)^2}$$

$$\Delta m_{\text{mat}}^2 = \Delta m^2 \sqrt{\sin^2 2\theta + (\cos 2\theta - A)^2}$$

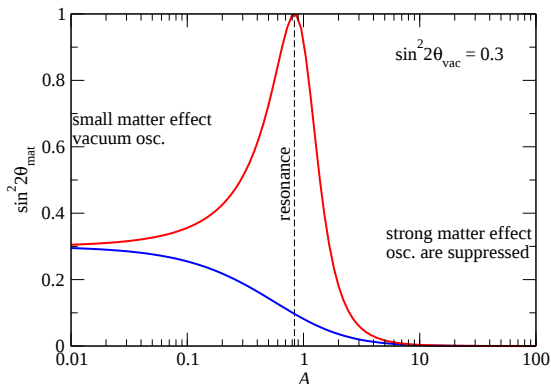
$$A \equiv \frac{2EV}{\Delta m^2}$$

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resonance for  $\cos 2\theta = A$ : “MSW resonance”

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# resonance and mass ordering

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- ▶ the appearance of the resonance depends on the relative signs of  $V, \Delta m^2, \cos 2\theta = \cos^2 \theta - \sin^2 \theta$
- ▶ for neutrinos  $V > 0$ , for antineutrinos  $V < 0$
- ▶ e.g., if the resonance happens for neutrinos we must have

$$\Delta m^2 \cos 2\theta = (m_2^2 - m_1^2)(\cos^2 \theta - \sin^2 \theta) > 0$$

possible solution:  $m_2^2 > m_1^2$  and  $\theta < 45^\circ$

- ▶ in two flavours, there is a degeneracy between labeling the mass states and the allowed range of the mixing angle
- more involved parameter dependencies in three flavours

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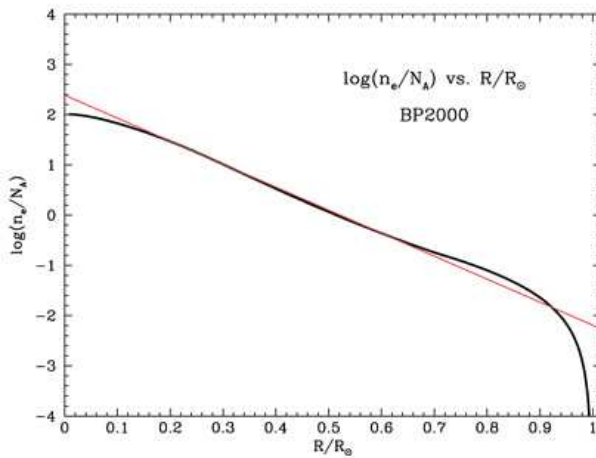
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# Varying matter density: example solar neutrinos

The electron density in the sun:

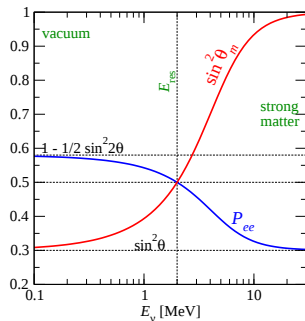


# Adiabatic conversion of solar neutrinos

- ▶ matter density changes slowly on the scale of the oscillation length  $\Rightarrow$  adiabatic propagation of neutrino mass states in matter
- ▶ oscillations between sun and earth averaged out:

$$P_{ee} = \sum_i |U_{ei}^m|^2 |U_{ei}|^2$$

$$\rightarrow \cos^2 \theta_m \cos^2 \theta + \sin^2 \theta_m \sin^2 \theta$$

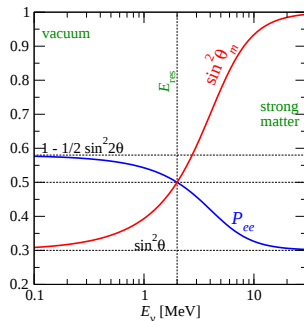


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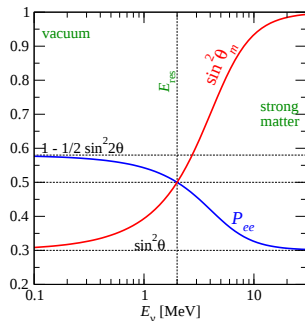
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additional correction from propagation through earth matter  $\rightarrow$  **day-night effect**

# Solar neutrinos and the Sudbury Neutrino Observatory

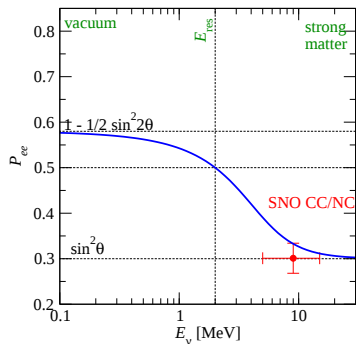
2002: SNO: CC to NC ratio of solar neutrino flux

CC:  $\nu_e + d \rightarrow p + p + e^-$

NC:  $\nu_x + d \rightarrow p + n + \nu_x$

- ▶ evidence for  $\nu_e \rightarrow \nu_\mu, \nu_\tau$  conversion
- ▶ **MSW effect** inside the sun  
adiabatic conversion through resonance

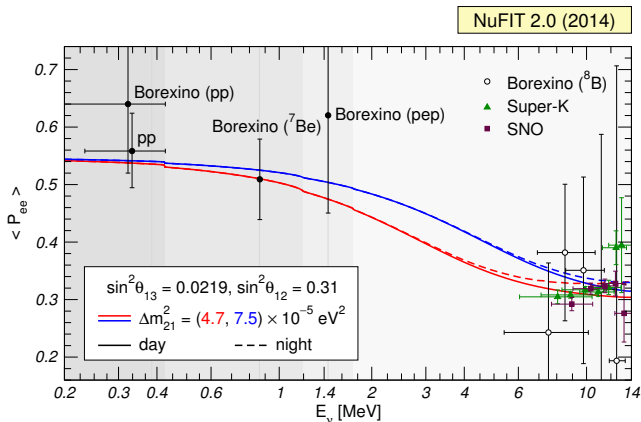
Nobel prize 2015  
Art McDonald



$$P_{ee} = \frac{\phi_e}{\phi_e + \phi_\mu + \phi_\tau} = \frac{\phi_{CC}}{\phi_{NC}}$$

# Evidence for LMA-MSW

solar neutrino experiments [Homestake](#), [SAGE+GNO](#), [Super-K](#), [SNO](#), [Borexino](#)



- ▶  $\sin^2 \theta < 0.5$  is strong evidence for MSW conversion
- ▶ for energies above resonance:  $P_{ee} \approx \sin^2 \theta \rightarrow$  best determination of  $\theta_{12}$

## “Solar” mass ordering

- ▶ for the mass-squared difference relevant for solar neutrinos, we adopt the convention that  $\Delta m^2 > 0$ , i.e.,  $m_2 > m_1$
- ▶ observations show that in the sun the resonance happens for *neutrinos*
- ▶  $\Rightarrow \cos 2\theta > 0$ :  $\theta \sim 33^\circ < 45^\circ$
- ▶ physical statement:  
there is one mass state which is dominantly electron-neutrino like, and this mass state has to be the lighter one of the “solar pair”

$$\nu_e = \cos \theta \nu_1 + \sin \theta \nu_2$$

$$\nu_{\mu,\tau} = -\sin \theta \nu_1 + \cos \theta \nu_2$$

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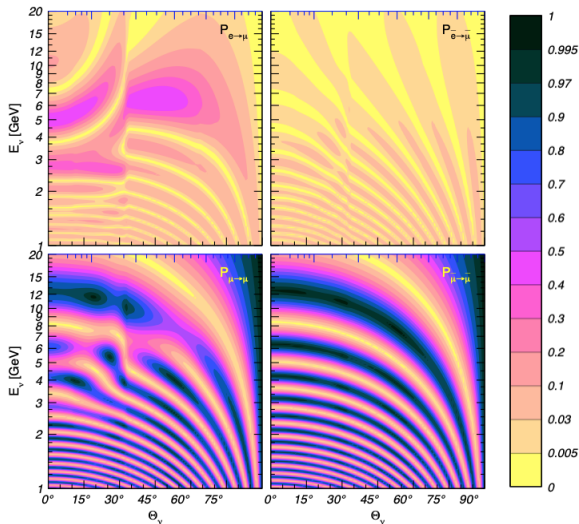
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## Matter effects in the $\nu_\mu, \nu_\tau$ sector

- ▶ in the **two-flavour approximation** there is no matter effect in  $\nu_\mu \rightarrow \nu_\mu$  and  $\nu_\mu \rightarrow \nu_\tau$  transitions
- ▶ the  $\nu_\mu$ -disappearance channel in long-baseline experiments is described by vacuum oscillations to good approximation  
e.g., Denton, Parke, 2401.10326
- ▶ typically matter effects in these channels are suppressed by three-flavour effects (in particular  $\theta_{13}$ ), however, there can be **resonance enhancement in atmospheric neutrinos**

# Matter effects in atmospheric neutrinos



Akhmedov, Maltoni, Smirnov, hep-ph/0612285

# Final comments on oscillation fundamentals

## Neutrino oscillations in vacuum and in matter

- ▶ are sensitive only to neutrino mass-squared *differences* (interference phenomenon)
- ▶ no sensitivity to absolute neutrino mass  
→ beta-decay, neutrinoless double-beta decay, cosmology
- ▶ cannot distinguish between Dirac and Majorana neutrino mass (lepton number is conserved in oscillations) → neutrinoless double-beta decay

# Outline

## Lepton mixing

## Neutrino oscillation fundamentals

- Oscillations in vacuum

- QFT approach to neutrino oscillations

- Coherence requirements for oscillations

- Oscillations in matter

- Varying matter density and MSW

## Global data and 3-flavour oscillations

- Qualitative picture

- Global analysis

- $\delta_{\text{CP}}$  and mass ordering

## Summary and concluding comments

# 3-flavour neutrino parameters

- ▶ 3 masses:  $\Delta m_{21}^2$ ,  $\Delta m_{31}^2$ ,  $m_0$
- ▶ 3 mixing angles:  $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$
- ▶ 3 phases: 1 Dirac ( $\delta$ ), 2 Majorana ( $\alpha_1, \alpha_2$ )

neutrino oscillations

absolute mass observables

lepton-number violation (neutrinoless double-beta decay)

# 3-flavour oscillation parameters

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & e^{-i\delta}s_{13} \\ 0 & 1 & 0 \\ -e^{i\delta}s_{13} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

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$\Delta m_{31}^2$                        $\Delta m_{21}^2$   
 atm+LBL(dis)                      react+LBL(app)                      solar+KamLAND

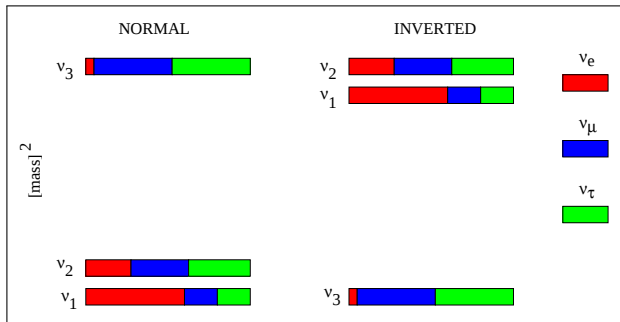
3-flavour effects are suppressed:  $\Delta m_{21}^2 \ll \Delta m_{31}^2$  and  $\theta_{13} \ll 1$  ( $U_{e3} = s_{13}e^{-i\delta}$ )

⇒ dominant oscillations are well described by effective two-flavour oscillations

⇒ present data is already sensitive to sub-leading effects

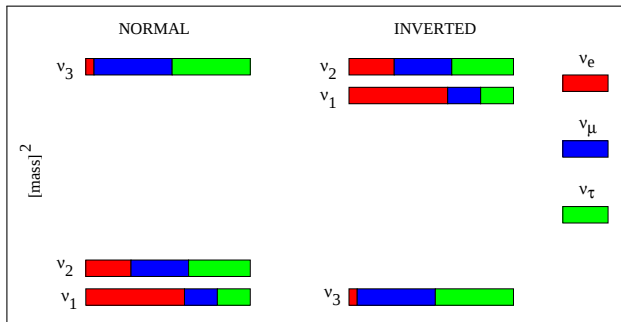
⇒ CP-violation is suppressed by  $\theta_{13}$  and  $\Delta m_{21}^2/\Delta m_{31}^2$

# What we know – masses



- ▶ The two mass-squared differences are separated roughly by a factor 30:  
 $\Delta m_{21}^2 \approx 7 \times 10^{-5} \text{eV}^2$ ,  $|\Delta m_{31}^2| \approx |\Delta m_{32}^2| \approx 2.4 \times 10^{-3} \text{eV}^2$
- ▶ at least two neutrinos are massive

# Physical interpretation of mixing angles



$$\begin{aligned} \sin \theta_{13} &= |U_{e3}| & (\nu_e \text{ component in } \nu_3) &= (\nu_3 \text{ component in } \nu_e) \\ \tan \theta_{12} &= \frac{|U_{e2}|}{|U_{e1}|} & \text{ratio of } \nu_2 \text{ and } \nu_1 \text{ component in } \nu_e \\ \tan \theta_{23} &= \frac{|U_{\mu 3}|}{|U_{\tau 3}|} & \text{ratio of } \nu_\mu \text{ and } \nu_\tau \text{ component in } \nu_3 \end{aligned}$$

# What we know – mixing

- ▶ approx. equal mixing of  $\nu_\mu$  and  $\nu_\tau$  in all mass states:  
 $\theta_{23} \approx 45^\circ$  (with significant uncertainty)
- ▶ there is one mass state (“ $\nu_1$ ”) which is dominantly  $\nu_e$  ( $\theta_{12} \approx 30^\circ$ ), and it is the lighter of the two states of the doublet with the small splitting (MSW in sun)
- ▶ there is a small  $\nu_e$  component in the mass state  $\nu_3$ :  $\theta_{13} \approx 9^\circ$   
we *do not know* whether this mass state is the heaviest (normal ordering) or the lightest (inverted ordering)

# Complementarity of global oscillation data

| param               | experiment                            | comment                                                           |
|---------------------|---------------------------------------|-------------------------------------------------------------------|
| $\theta_{12}$       | SNO, SuperK, (KamLAND)                | resonant matter effect in the Sun                                 |
| $\theta_{23}$       | SuperK, T2K, NOvA                     | $\nu_\mu$ disappearance<br>atmospheric (accelerator) neutrinos    |
| $\theta_{13}$       | DayaBay, RENO, D-Chooz<br>(T2K, NOvA) | $\bar{\nu}_e$ disappearance<br>reactor experiments @ $\sim 1$ km  |
| $\Delta m_{21}^2$   | KamLAND, (SNO, SuperK)                | $\bar{\nu}_e$ disappearance<br>reactor @ $\sim 180$ km (spectrum) |
| $ \Delta m_{31}^2 $ | MINOS, T2K, NOvA, DayaBay             | $\nu_\mu$ and $\bar{\nu}_e$ disapp (spectrum)                     |
| $\delta$            | T2K, NOvA + DayaBay                   | combination of $(\nu_\mu \rightarrow \nu_e) + \bar{\nu}_e$ disapp |

- ▶ global data fits nicely with the 3 neutrinos from the SM
- ▶ a few “anomalies”  $> 3\sigma$ : LSND, MiniBooNE, Gallium anomaly

# Global 3-flavour fit

- ▶ NuFit collaboration: [www.nu-fit.org](http://www.nu-fit.org)  
with M.C. Gonzalez-Garcia, M. Maltoni, et al.
- ▶ latest paper: NuFit 6.0 (as of Sept 2024)  
Esteban, Gonzalez-Garcia, Maltoni, Martinez-Soler, Pinheiro, Schwetz,  
JHEP(2024), 2410.05380
- ▶ provides updated global fit results  
tables & figures,  $\chi^2$  data for download

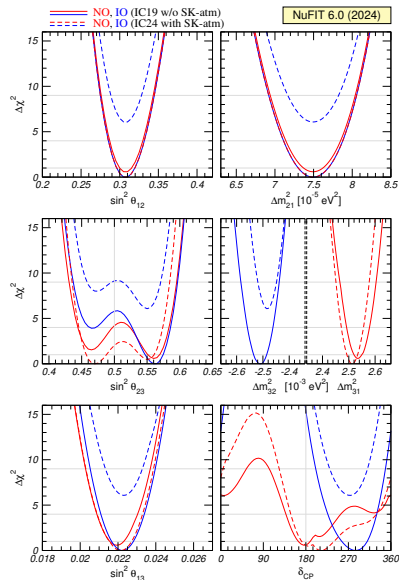
# Global 3-flavour fit

- robust determination  
(relat. precision at  $3\sigma$ ):

$$\theta_{12} (13\%) \quad , \quad \theta_{13} (8\%)$$

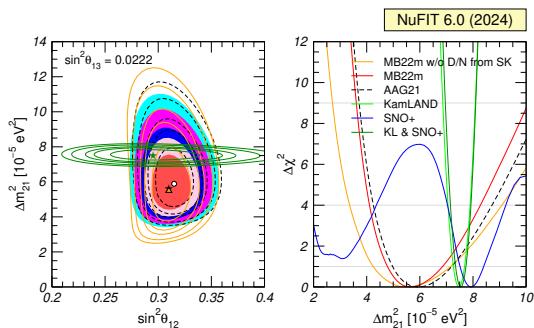
$$\Delta m_{21}^2 (15\%) \quad , \quad |\Delta m_{3\ell}^2| (5.1\%)$$

- broad allowed range for  $\theta_{23}$  (20%),  
non-significant indications for  
non-maximality/octant
- ambiguity in **sign of  $\Delta m_{3\ell}^2$**   $\rightarrow$   
**mass ordering**
- values of  $\delta_{\text{CP}} \simeq 90^\circ$  disfavoured  
preference for  $\delta_{\text{CP}} \simeq 270^\circ$  for IO

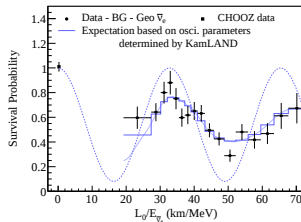


# "Solar" sector: $\theta_{12}, \Delta m_{21}^2$

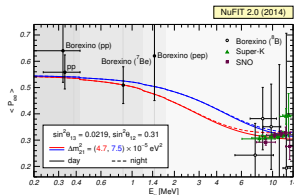
synergy between solar neutrinos and  
KamLAND reactor neutrinos



## KamLAND



## solar neutrinos

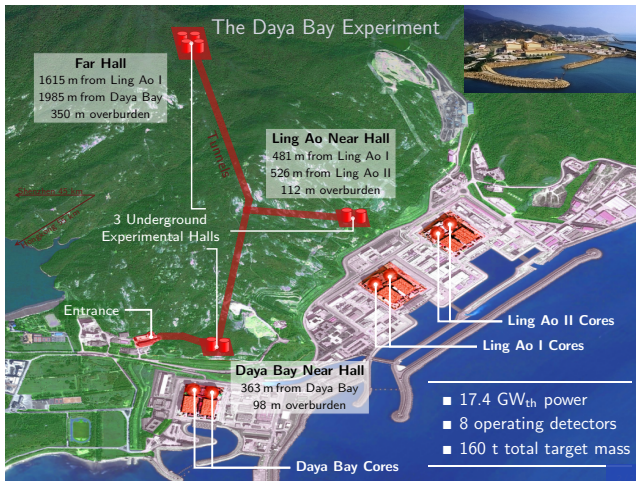


“Atmospheric” sector:  $\theta_{23}, \theta_{13}, \delta_{\text{CP}}, \Delta m_{31}^2$

- ▶ atmospheric neutrino experiments (SuperK, IceCube, ORCA)
- ▶ accelerator experiments (T2K, NOvA)
- ▶ reactor experiments (DayaBay, RENO)

# Daya Bay reactor experiment

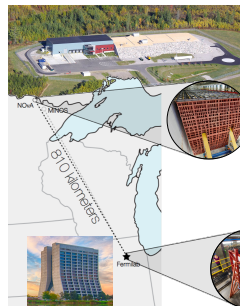
►  $\bar{\nu}_e \rightarrow \bar{\nu}_e$  disappearance



# T2K and NOvA accelerator experiments

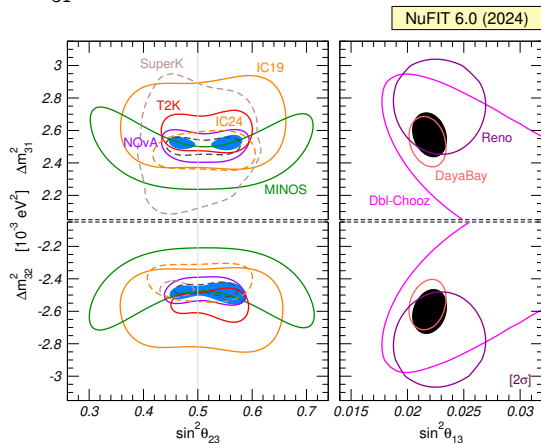
- ▶  $\nu_\mu \rightarrow \nu_\mu$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$  disappearance
- ▶  $\nu_\mu \rightarrow \nu_e$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  appearance

## The T2K Experiment

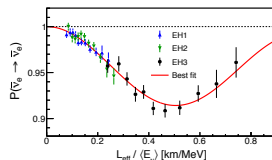


# “Atmospheric” sector: $\theta_{23}, \theta_{13}, \Delta m_{31}^2$

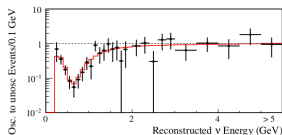
interplay of disappearance data at the  $\Delta m_{31}^2$ -scale



DayaBay, 2015  
 $\bar{\nu}_e \rightarrow \bar{\nu}_e$ ,  $\langle L \rangle \sim 2$  km



T2K, 2015  
 $\nu_\mu \rightarrow \nu_\mu$ ,  $\langle L \rangle \sim 295$  km



# Complementarity between beam and reactor experiments

- $\nu_\mu \rightarrow \nu_e$  appearance probability (T2K, NOvA):

$$P_{\mu e} \approx \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{\sin^2(1-A)\Delta}{(1-A)^2} + \sin 2\theta_{13} \hat{\alpha} \sin 2\theta_{23} \frac{\sin(1-A)\Delta}{1-A} \frac{\sin A\Delta}{A} \cos(\Delta + \delta_{\text{CP}})$$

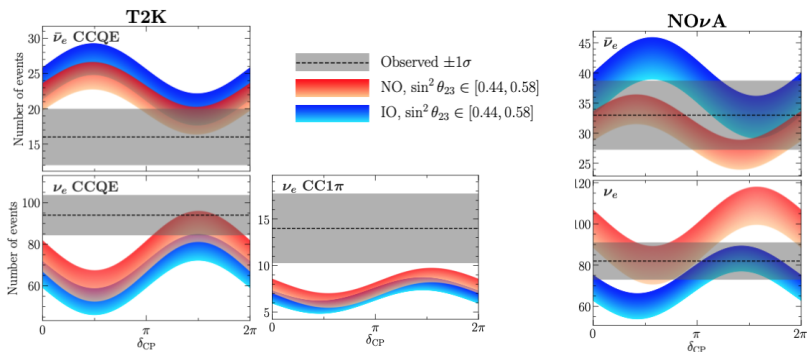
with  $\Delta \equiv \frac{\Delta m_{31}^2 L}{4E_\nu}$ ,  $\hat{\alpha} \equiv \frac{\Delta m_{21}^2}{\Delta m_{31}^2} \sin 2\theta_{12}$ ,  $A \equiv \frac{2E_\nu V}{\Delta m_{31}^2}$

- $\nu_e$  survival probability (reactor experiments, e.g. Daya Bay)

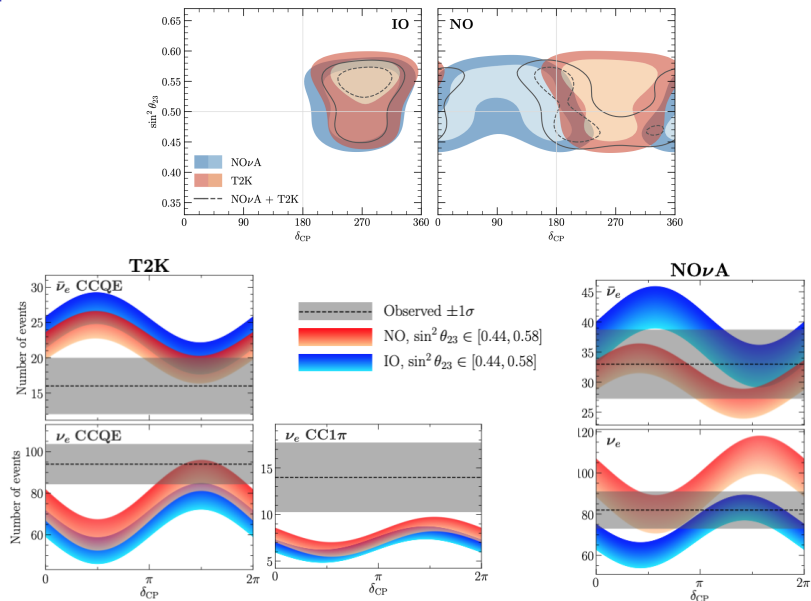
$$P_{ee} \approx 1 - \sin^2 2\theta_{13} \sin^2 \Delta$$

# Appearance results from T2K and NOvA

$$P_{\mu e} \approx \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{\sin^2(1-A)\Delta}{(1-A)^2} + \sin 2\theta_{13} \hat{\alpha} \sin 2\theta_{23} \frac{\sin(1-A)\Delta}{1-A} \frac{\sin A\Delta}{A} \cos(\Delta + \delta_{\text{CP}})$$



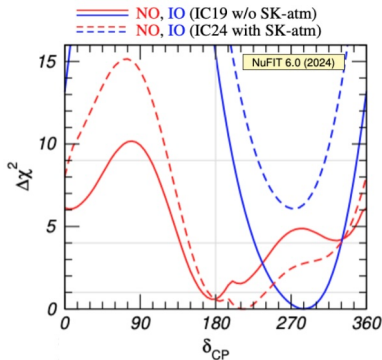
# Appearance results from T2K and NOvA



# Status of $\delta_{\text{CP}}$

some indications on the allowed range of  $\delta_{\text{CP}}$  due to the interplay of reactor (Daya Bay) and accelerator (T2K, NOvA) neutrino experiments

- ▶ values of  $\delta_{\text{CP}} \simeq 90^\circ$  disfavoured
- ▶ **normal ordering:**  
CP conservation ( $\delta_{\text{CP}} \simeq 180^\circ$ ) at  $1\sigma$
- ▶ **inverted ordering:**  
preference for  $\delta_{\text{CP}} \simeq 270^\circ$  (maximal CPV)  
CP conservation disfavoured at  $> 3.6\sigma$



# CP violation in neutrino oscillations

Leptonic CP violation will manifest itself in a difference of the vacuum oscillation probabilities for neutrinos and anti-neutrinos

Cabibbo, 1977; Bilenky, Hosek, Petcov, 1980, Barger, Whisnant, Phillips, 1980

$$P_{\nu_\alpha \rightarrow \nu_\beta} - P_{\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta} = -16 J_{\alpha\beta} \sin \frac{\Delta m_{21}^2 L}{4E_\nu} \sin \frac{\Delta m_{32}^2 L}{4E_\nu} \sin \frac{\Delta m_{31}^2 L}{4E_\nu},$$

where

$$J_{\alpha\beta} = \text{Im}(U_{\alpha 1} U_{\alpha 2}^* U_{\beta 1}^* U_{\beta 2}) = \pm J,$$

with  $+$ ( $-$ ) for (anti-)cyclic permutation of the indices  $e, \mu, \tau$ .

$J$ : leptonic analogue to the Jarlskog-invariant in the quark sector

Jarlskog, 1985

# CP violation

Jarlskog-invariant:

$$J = |\text{Im}(U_{\alpha 1} U_{\alpha 2}^* U_{\beta 1}^* U_{\beta 2})| = s_{12} c_{12} s_{23} c_{23} s_{13} c_{13}^2 \sin \delta \equiv J^{\text{max}} \sin \delta$$

neutrino oscillation data:

$$J^{\text{max}} = 0.0333 \pm 0.0007 (\pm 0.0017) \quad 1\sigma (3\sigma) \quad \text{nu-fit 6.0}$$

in the quark sector:

$$J_{\text{CKM}} = (3.12 \pm 0.13) \times 10^{-5} \quad \text{PDG}$$

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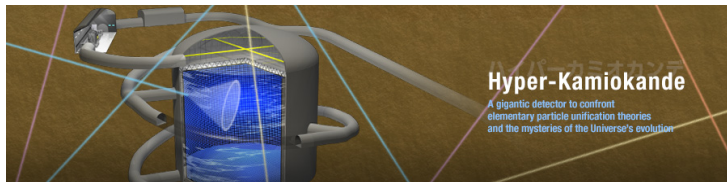
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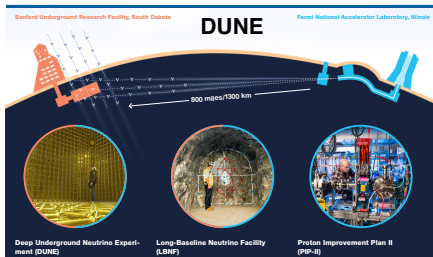
in the quark sector:

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**T2K:** J-PARC  $\rightarrow$  HyperK (285 km, WC detector)



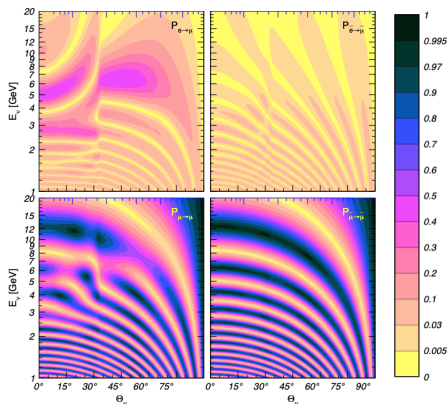
**DUNE:** Fermilab  $\rightarrow$  Homestake  
(1300 km, LAr detectors)



oscillation science goals:  
determine mass ordering  
and CP phase

# Determining the mass ordering - 1

- ▶ Looking for the matter effect in transitions involving  $\Delta m_{31}^2$ 
  - ▶ long-baseline accelerator experiments **NOvA**, **DUNE**
  - ▶ atmospheric neutrino experiments **IceCube**, **ORCA**, **HyperK**



Akhmedov, Maltoni, Smirnov, hep-ph/0612285

# Determining the mass ordering - 2

- Interference effect of oscillations with  $\Delta m_{31}^2$  and  $\Delta m_{21}^2$

Petcov, Piai, hep-ph/0112074

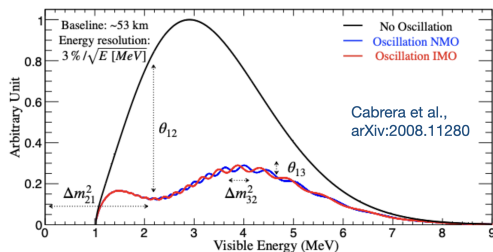
- reactor experiment at 60 km **JUNO**

$$P_{ee}^{\text{reac}} = 1 - c_{13}^4 \sin^2 2\theta_{12} \sin^2 \phi_{\text{sol}} - \frac{1}{2} \sin^2 2\theta_{13} [1 - \cos \phi_{\text{sol}} \cos 2\phi_{\text{atm}} + \cos 2\theta_{12} \sin \phi_{\text{sol}} \sin 2\phi_{\text{atm}}]$$

with

$$\phi_{\text{sol}} = \frac{(m_2^2 - m_1^2)L}{4E}$$

$$\phi_{\text{atm}} = \frac{[m_3^2 - (m_1^2 + m_2^2)/2]L}{4E}$$



## Determining the mass ordering - 3

- Determination of  $|\Delta m_{31}^2|$  from  $\nu_e$  and  $\nu_\mu$  disappearance  
Nunokawa, Parke, Zukanovich Funchal, hep-ph/0503283

$$P_{\alpha\alpha} \approx 1 - \sin^2 2\theta_{\alpha\alpha} \sin^2 \frac{\Delta m_{\alpha\alpha}^2 L}{4E_\nu}, \quad \alpha = \mu, e$$

with

$$\sin^2 \theta_{\mu\mu} = \cos^2 \theta_{13} \sin^2 \theta_{23}$$

$$\Delta m_{\mu\mu}^2 = \sin^2 \theta_{12} \Delta m_{31}^2 + \cos^2 \theta_{12} \Delta m_{32}^2 + \cos \delta_{\text{CP}} \sin \theta_{13} \sin 2\theta_{12} \tan \theta_{23} \Delta m_{21}^2$$

$$\theta_{ee} = \theta_{13}$$

$$\Delta m_{ee}^2 = \cos^2 \theta_{12} \Delta m_{31}^2 + \sin^2 \theta_{12} \Delta m_{32}^2$$

$$|\Delta m_{\mu\mu}^2| - |\Delta m_{ee}^2| = \mp \Delta m_{21}^2 [\cos 2\theta_{12} - \cos \delta_{\text{CP}} \sin \theta_{13} \sin 2\theta_{12} \tan \theta_{23}]$$

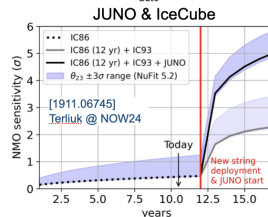
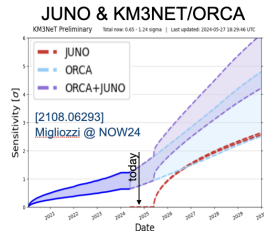
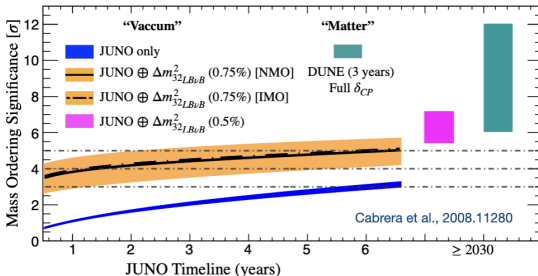
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- Determination of  $|\Delta m_{31}^2|$  from  $\nu_e$  and  $\nu_\mu$  disappearance

Nunokawa, Parke, Zukanovich Funchal, hep-ph/0503283

- starts being relevant in current data
- will become more important with JUNO

## JUNO & long-baseline experiments



Blennow, Schwetz, 1306.3988

# Outline

## Lepton mixing

## Neutrino oscillation fundamentals

- Oscillations in vacuum

- QFT approach to neutrino oscillations

- Coherence requirements for oscillations

- Oscillations in matter

- Varying matter density and MSW

## Global data and 3-flavour oscillations

- Qualitative picture

- Global analysis

- $\delta_{\text{CP}}$  and mass ordering

## Summary and concluding comments

## Concluding comments

- ▶ global data on neutrino oscillations is (mostly) consistent with 3-flavour oscillations
- ▶ at least two neutrinos are massive
- ▶ typical mass scales

$$\sqrt{\Delta m_{21}^2} \sim 0.0086 \text{ eV}$$

$$\sqrt{\Delta m_{31}^2} \sim 0.05 \text{ eV}$$

are much smaller than all other fermion masses

- ▶ all three mixing angles are measured with reasonable precision
- ▶ lepton mixing is VERY different from quark mixing

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# The SM flavour puzzle

Lepton mixing:

$$\theta_{12} \approx 33^\circ$$

$$\theta_{23} \approx 45^\circ$$

$$\theta_{13} \approx 9^\circ$$

$$U_{PMNS} = \frac{1}{\sqrt{3}} \begin{pmatrix} \mathcal{O}(1) & \mathcal{O}(1) & \epsilon \\ \mathcal{O}(1) & \mathcal{O}(1) & \mathcal{O}(1) \\ \mathcal{O}(1) & \mathcal{O}(1) & \mathcal{O}(1) \end{pmatrix}$$

Quark mixing:

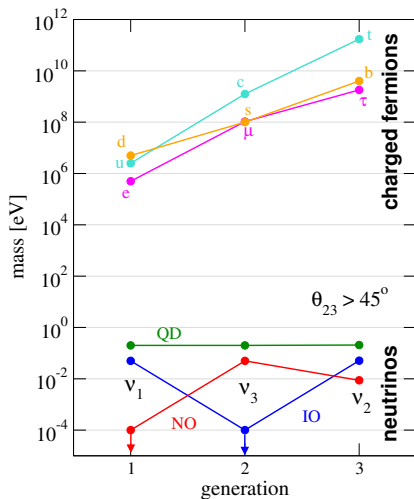
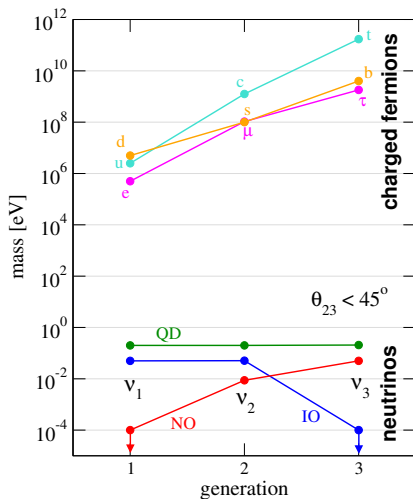
$$\theta_{12} \approx 13^\circ$$

$$\theta_{23} \approx 2^\circ$$

$$\theta_{13} \approx 0.2^\circ$$

$$U_{CKM} = \begin{pmatrix} 1 & \epsilon & \epsilon \\ \epsilon & 1 & \epsilon \\ \epsilon & \epsilon & 1 \end{pmatrix}$$

# The SM flavour puzzle



# Concluding comments

open questions for oscillation experiments:

- ▶ identify neutrino mass ordering
- ▶ establish leptonic CP violation
- ▶ precision measurements (e.g.,  $\theta_{23} \approx 45^\circ$ ?)
- ▶ over-constrain 3-flavour oscillations (search for non-standard properties, sterile neutrinos, exotic neutrino interactions,...)

questions which cannot be addressed by oscillations:

- ▶ absolute neutrino mass scale
- ▶ Dirac or Majorana nature

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