

Small Instantons and the Post-Inflationary QCD Axion in a Special Product GUT

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Strong CP Problem & Axion Solution

 $\mathcal{L}_{\theta} = \theta \frac{g_s^2}{32\pi^2} G^a_{\mu\nu} \tilde{G}^{a\mu\nu}$



- QCD Lagrangian allows the interaction which explicitly violates P and CP symmetry: The physical strong CP Phase: The upper bound on neutron EDM requires
 - $\bar{\theta} = \theta + 2\Sigma(\alpha_i^u + \alpha_i^d) = \theta \operatorname{Arg} \det(\mathcal{M}^u \mathcal{M}^d) \leq 10^{-10} \ \blacksquare$







Strong CP Problem & Axion Solution

 $\mathcal{L}_{\theta} = \theta \frac{g_s^2}{32\pi^2} G^a_{\mu\nu} \tilde{G}^{a\mu\nu}$

boson.

The strong CP phase is promoted to a <u>dynamical field</u> $\theta_a(x)$

$$\mathcal{L} \supset \left(\bar{\theta} + N_{\rm DW} \frac{a(x)}{f_{\rm PQ}} \right) \frac{g_s^2}{32\pi^2} G^a_{\mu\nu} \tilde{G}^{a\mu\nu}$$
$$\bar{\theta}_a(x)$$



- QCD Lagrangian allows the interaction which explicitly violates P and CP symmetry: The physical strong CP Phase: The upper bound on neutron EDM requires
 - $\bar{\theta} = \theta + 2\Sigma(\alpha_i^u + \alpha_i^d) = \theta \operatorname{Arg} \det(\mathcal{M}^u \mathcal{M}^d) \leq 10^{-10}$
- The axion solution introduces an additional chiral U(1) symmetry, known as $U(1)_{PO}$, which is spontaneously broken and the axion is the corresponding pseudo-Nambu-Goldstone Peccei and Quinn (1977)
 - After QCD confinement, the strong dynamics generates the axion potential and <u>dynamically cancel the phase</u>.

$$V(a) = m_a^2 f_a^2 \left(1 - \cos\left(\frac{a}{f_a}\right) \right) \xrightarrow{T \ll \Lambda_{\rm QCD}} T \gg \Lambda_{\rm QCD}$$









Axion is a good dark matter candidate but suffers from cosmological threats: $\star U(1)_{PQ}$ breaking **before** inflation Isocurvature Problem $\star U(1)_{PQ}$ breaking after inflation Domain Wall Problem









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After SSB, cosmic strings are produced by Kibble mechanism









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 \star U(1)_{PQ} breaking **before** inflation

★U(1)_{PQ} breaking after inflation



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After confinement, axion gets the potential with $N_{\rm DW}$ minima





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String-domain wall system dominates the universe if $N_{\rm DW} > 1$



Masahiro Kawasaki slide





<u>U(1)_{PQ} symmetry</u> (Bias term).



\star Axion DM abundance is changed.

However

- **★ Ad hoc introduction** of the bias term is unsatisfactory.
- potential to solve the strong CP problem.



A potential solution to the domain wall problem is to introduce a small explicit breaking of the

Bias term lifts the vacuum degeneracy !



* The minimum of the extra potential contribution needs to be aligned with that of the QCD





Small Instanton

Instanton: localized object in Euclidean spacetime, satisfying Euclidean EOM with non-trivial topology and minimizing the Euclidean action

SU(2) BPST instanton solution with Q = 1: A

 $\left. rac{g^2}{32\pi^2} \int d^4x\, F^{a\mu
u} \widetilde{F}^a_{\mu
u}
ight|_{\mathrm{inst}} = Q \quad (Q\in\mathbb{Z})$

A hidden gauge sector beyond QCD

However, a naive embedding into SU(5) GUT does not work because the resulting small instanton effects **do not** lift the vacuum degeneracy.



$$\left. \mathbf{A}_{\mu}^{a}(x) \right|_{1-\mathrm{inst.}} = 2\eta_{a\mu\nu} \frac{(x-x_{0})_{\nu}}{(x-x_{0})^{2} + \rho^{2}}$$

Position Instanton size



GUT is a natural candidate.

Small instanton effects A possible origin of the bias term !







Special Product GUT Our GUT model : $\supset SU(5)_2$: Special embedding

- All SM matter fields are charged under $SU(5)_1$.
- A vector-like pair of PQ-charged fermions transform as (anti-)fundamental reps. under SU(10), so that $N_{\rm DW} = 1$.
- After GUT breaking, # of vector-like pairs of PQ-charged quarks is larger than one, due to special embedding. **Domain wall problem ??**
- The apparent vacuum degeneracy is lifted by small instanton effects on the axion potential that operates as <u>a PQ-violating bias term</u>.



$SU(10) \times SU(5)_1 \rightarrow SU(5)_V \supset SU(3)_C \times SU(2)_L \times U(1)_Y$ **Diagonal subgroup**





Special Embedding

the **fund** rep. of SU(2N) but behaves as the **r** rep. of the SU(N) subgroup :

$$\begin{aligned} \mathcal{D}_{\mu}\psi &= \partial_{\mu}\psi - ig_{\mathrm{UV}}A^{m}_{\mathrm{UV},\mu}(T^{m}_{\mathrm{UV}})\psi \\ & \supset \partial_{\mu}\psi - ig_{\mathrm{IR}}A^{a}_{\mathrm{IR},\mu}(T^{a}_{\mathrm{IR}})\psi \end{aligned} \qquad \text{with} \end{aligned}$$



We focus on a gauge symmetry breaking : $SU(2N) \rightarrow SU(N)$. Consider a Weyl fermion that transforms as

 $T^m_{
m UV}~(m=1,...,(2N)^2-1)$: SU(2N) generators (fund rep.) $T^a_{
m IR}~(a=1,...,N^2-1)~:$ SU(N) generators (fr rep.)









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$$\supset \partial_{\mu}\psi - ig_{\mathrm{IR}}A^{a}_{\mathrm{IR},\mu}(T^{a}_{\mathrm{IR}})\psi$$
with
$$\mathcal{D}_{\mu}\psi - ig_{\mathrm{IR}}A^{a}_{\mathrm{IR},\mu}(T^{a}_{\mathrm{IR}})\psi$$

$$\text{Special Subalgebras}_{\mathbf{r}\neq\mathbf{fund}}$$

$$\mathbf{T}^{a}_{\mathrm{IR}} = \underbrace{\mathcal{O}^{am}}_{\mathrm{UV}}T^{m}_{\mathrm{UV}} \\ \underbrace{\mathcal{O}}_{\mathrm{Coefficients}}$$

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 $T_{\rm IR}^a (a = 1, ..., N^2 - 1) : SU(N)$ generators (**r** rep.)

$$\mathcal{O}^{am}\mathcal{O}^{bn}\delta_{mn} = c\delta^{ab}$$



Special embedding corresponds to c > 1.









Special Embedding

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 with
Special Subalgebras
 $\mathbf{r} \neq \mathbf{fund}$

$$T^{a}_{\mathrm{IR}} = \mathcal{O}^{am}T^{m}_{\mathrm{UV}}$$

$$\mathbf{Coefficients}$$

$$\mathbf{T}^{a}_{\mathrm{IR}} = \mathcal{O}^{am}T^{m}_{\mathrm{UV}}$$

A part of SU(2N) gauge field is expressed in terms of SU(N) gauge field :

$$A^{l}_{\mathrm{UV},\mu} = \frac{g_{\mathrm{IR}}}{g_{\mathrm{UV}}} A^{a}_{\mathrm{IR},\mu} (\mathcal{O})^{al} + \cdots \quad \text{Canonic}$$

In our model, $\mathbf{r} = \mathbf{10}$ rep. of $SU(5) \subset SU(10)$ leading to $\mathbf{c} = \mathbf{3}$.



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$$\mathcal{O}^{am}\mathcal{O}^{bn}\delta_{mn} = c\delta^{ab}$$



cally normalized kinetic term $g_{\rm IR} = g_{\rm UV}$

Theta term : $\int \frac{g_{\rm UV}^2}{8\pi^2} \operatorname{tr}(F_{\rm UV} \wedge F_{\rm UV}) = \int \frac{cg_{\rm IR}^2}{8\pi^2} \operatorname{tr}(F_{\rm IR} \wedge F_{\rm IR}) \frac{1}{7}$











Special Product GUT

To achieve $SU(10) \times SU(5)_1 \rightarrow SU(5)_V$ $\Phi_a^{ij}: (\mathbf{10}, \ \overline{\mathbf{10}}) \quad a (= 1 - 10) : SU(10) \text{ index} \quad i, j (= 1 - 5) : SU(5) \text{ indices}$

VEV of Φ is described by the embedding of the **10** rep. of SU(5)1 into the anti-fundamental rep. of SU(10) :

GUT Sector

Field	Spin	SU(10)	$SU(5)_1$	$U(1)_{\mathrm{PQ}}$	$U(1)_{\eta}$
Φ	0	10	$\overline{10}$	0	0
$24_{H}^{(1)}$	0	1	24	0	0
Field	Spin	SU(10)	$SU(5)_1$	$U(1)_{\mathrm{PQ}}$	$U(1)_{\eta}$
$10_{f}^{(1)}(f=1-3)$	1/2	1	10	0	0
$\bar{5}_{f}^{(1)}(f=1-3)$	1/2	1	$ar{5}$	0	0
$oldsymbol{5}_{H}^{(1)}$	0	1	5	0	0



we introduce a Higgs field :

$\langle \Phi \rangle = v \mathbf{1}_{10 \times 10}$

Axion Sector

Field	Spin	SU(10)	$SU(5)_1$	$U(1)_{\mathrm{PQ}}$	$U(1)_{\eta}$
ψ	1/2	10	1	+1	-1
$ar{\psi}$	1/2	$\overline{10}$	1	0	+1
Φ_{PQ}	0	1	1	-1	0

The PQ mechanism is implemented by a PQ breaking field and a vector-like pair of PQ-charged fermions (KSVZ fermions) that transform as (anti-)fundamental reps. under <u>SU(10)</u>.











Axion Potential



Axion potential seems to correspond to the case with NDW = 3.



$$\int \frac{\theta_{10}g_{10}^2}{8\pi^2} \operatorname{tr}(F_{10} \wedge F_{10}) + \frac{\theta_5 g_5^2}{8\pi^2} \operatorname{tr}(F_5 \wedge F_5)$$

$$\frac{\theta_c g^2}{8\pi^2} \mathrm{tr}(F \wedge \underline{F}) \quad SU(5)_V \text{ gauge field}$$

$$\frac{1}{g_5^2} \qquad \theta_c = 3\theta_{10} + \theta_5$$

<u>A vector-like pair of SU(2)</u> doublet KSVZ (anti-)quarks and <u>a pair of SU(2) singlet (anti-)quarks</u> appear after GUT breaking.

Pion mass and decay constant

 $\bar{\theta}_c \ll 10^{-2}$

due to the SCPV

Physical phase







Axion Potential

Small instanton effects

 \star One flavor of KSVZ fermions in the (anti-)fundamental reps. of SU(10)

 \star Four flavors of Weyl fermions in the 99 rep. of SI

We assume an approximate chiral symmetry :

$$\Psi_{99} \to \Psi_{99} e^{i\beta}, \quad \Psi_{75}^{(1)} \to \Psi_{75}^{(1)} e^{-i\beta}$$



Mass terms are suppressed by a small param
$$\langle \ll 1 \rangle$$

$$\mathcal{L} \sim \kappa^2 M(\Psi_{99})^a_b (\Psi_{99})^b_a + \kappa^{\dagger 2} M(\Psi_{75}^{(1)})^{kl}_{ij} (\Psi_{75}^{(1)})^{ij}_k$$
GUT scale $M \sim I$

- Suppress the instanton effect
- 24 multiplet within 99 acquires a mass of $\mathcal{O}(\kappa^2 M)$



The instanton effects can be captured by a local fermion operator.

U(10)	Field	Spin	SU(10)	$SU(5)_1$	$U(1)_{\mathrm{PQ}}$	
	$\Psi_{99,f'} \ (f'=1-4)$	1/2	99	1	0	
	$\Psi_{75,f'}^{(1)} \ (f' = 1 - 4)$	1/2	1	75	0	

Under $SU(5) \subset SU(10)$ **99** = **75** \oplus **24**

eter All components except the SU(2) triplet and SU(3)c octet acquire masses near the Planck scale.

One-loop RGE

Gauge coupling unification in non-SUSY model $M_{\rm Pl}$













Axion Potential

Small instanton effects

Instanton NDA

Csaki, D'Agnolo, Kuflik, Ruhdorfer (2024)

$$\begin{split} V_{\text{bias}} &\approx C_{10} \left(\frac{2\pi}{\alpha_{\text{UV}}(M)} \right)^{2 \times 10} \left(\Phi_{\text{PQ}} + \Phi_{\text{PQ}}^* \right) \\ &\times \int \frac{d\rho}{\rho^5} \left(\Lambda_{SU(10)} \rho \right)^{b_0} \underline{e^{-2\pi^2 \rho^2 M^2}} y_{\text{PQ}} (\kappa^2 M \rho)^{10N_F} \rho \\ &\approx (\kappa^2)^{10N_F} C_{10} \left(\frac{2\pi}{\alpha_{\text{UV}}(M)} \right)^{2 \times 10} \underline{e^{-2\pi^2}} \\ &\times \frac{\Phi_{\text{PQ}}}{M} M^4 \underline{e^{-2\pi/\alpha_{\text{UV}}(M)}} + c.c. \end{split}$$

Suppression originating from SU(10) breaking

Each flavor of Ψ_{99} has 2T(Adj) = 20 legs closed by 10 mass vertices.





 C_{10} : SU(10) instanton density

 y_{PQ} : Yukawa coupling of Φ_{PO} and KSVZ fermions

Axion potential from small instanton effects provides a bias term !







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Axion Dark Matter

PQ symmetry is spontaneously broken after reheating.

We focus on the scenario where the axion field starts to oscillate due to the axion mass originated from the bias term (before QCD phase transition) :

Temperature when the oscillation starts :

$$m_a(T_1) \approx m_{\text{bias}} = 3H(T_1) \qquad T_1 > 0.98 \,\text{GeV} \left(\frac{v_{\text{PQ}}/3}{10^{12} \,\text{GeV}}\right)^{-0.19} \equiv \underline{T_{1,\text{QCD}}}$$

$$H(T_1)^2 \approx \frac{\pi^2}{90M_{\text{Pl}}^2} g_* T_1^4 \qquad \text{Temperature when the axion would start to oscillate with}$$



$$m_{\rm bias}^2 \equiv \frac{\partial^2 V_{\rm bias}/\partial \theta_a^2}{v_{\rm PQ}^2}$$

non-perturbative QCD effects if there was no bias term

Domain walls decay in a similar way as the standard $N_{DW} = 1$ case.



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Axion Dark Matter

Axion abundance :

$$\Omega_a h^2 \approx 2 \times 10^{-12} \, \frac{v_{\rm PQ}}{T_1}$$

A large bias term shifts the axion potential minimum :

$$\Delta \bar{\theta} \equiv \frac{m_{\rm bias}^2 v_{\rm PQ}^2}{m_a^2 F_a^2} \bar{\theta}_c$$

Neutron EDM $\rightarrow \Delta \bar{\theta} \lesssim 10^{-10}$

A regime explored by ongoing and future experiments !





Correct axion DM abundance

• $v_{\rm PQ} \gtrsim 6 \times 10^{10} \, {\rm GeV}$





Summary

- We have presented a special product GU QCD axion.
- We have achieved <u>a domain-wall-free UV c</u> <u>larger than one</u>.
- Small instanton effects on the axion pote the decay of domain walls.
- The model gives a prediction for <u>a dark magnet</u>
 <u>1 case</u>.
- Future directions:





• We have presented a special product GUT model equipped with a viable post-inflationary

• We have achieved a domain-wall-free UV completion for an IR model where Now appears

Small instanton effects on the axion potential operate as <u>a PQ-violating bias term</u> and allow

• The model gives a prediction for <u>a dark matter axion window different from the ordinary N_{DW} =</u>

Extra-dimensional version



SW

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Thanks







Special Subalgebra

Simple Lie algebras possess not only regular subalgebras but also **special** subalgebras.

Regular subalgebras : systematically obtained by removing nodes from Dynkin diagrams.

Special subalgebras:

do not follow this scheme !

To identify the SM gauge group as such a special subgroup of a UV gauge group is essential to obtain small instanton effects resolving the vacuum degeneracy of the axion potential.









Spontaneous CP Violation

The minimum of the axion potential generated by small instanton effects needs to be aligned with that of non-perturbative QCD effects.

Spontaneous CP violation

We introduce complex scalar fields

Field	Spin	SU(10)	SU(5
$\eta_{\alpha}(a=1,2)$	0	1	1

To reproduce the CKM phase, η couple to the mixing term between the KSVZ fermion sector and the SM sector :

$$\mathcal{L} \sim \Phi_{PQ} \psi \bar{\psi} + \sum_{f=1-3, \alpha=1,2} a^u_{lpha f} + y^u_{ff'} \mathbf{10}^{(1)}_f \mathbf{10}^{(1)}_{f'} \mathbf{5}_H + y^d_{ff'} \mathbf{10}^d_{f'}$$





s with
$$\arg(\langle \eta_{lpha} \rangle) = \mathcal{O}(1)$$



Forbid dangerous terms at the classical level.

 $_{e}\eta_{lpha}ar{\psi}^{a}(\Phi)^{ij}_{a}\mathbf{10}^{(1)}_{ij,f}$

 $\mathbf{0}_{f}^{(1)} \mathbf{\overline{5}}_{f'}^{(1)} \mathbf{5}_{H}^{\dagger}$ All coefficients are real.



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Spontaneous CP Violation

The setup is similar to the **Nelson-Barr mechanism**.

Up-type quark mass matrix :

$$\mathcal{L} \sim (\underbrace{q_{uf}}_{\uparrow} \underbrace{U}_{\uparrow} \underbrace{Q_u}_{\downarrow}) \mathcal{M}_u \begin{pmatrix} \overline{u}_{f'} \\ \overline{U} \\ \overline{Q}_u \end{pmatrix}$$

$$\mathbf{10}_{f}^{(1)} \overline{\psi} \quad \psi$$

O(1) CKM phase is properly generated when $(a^u \langle \eta \rangle)_f \gtrsim v_{PQ}$

SU(3)c vanish at the tree-level.

Radiative corrections can still generate nonzero corrections.



$$\mathcal{M}_u = \begin{pmatrix} (m_u)_{ff'} & 0 & A^* \\ A^{\dagger} & v_{\mathrm{PQ}} & 0 \\ 0 & 0 & v_{\mathrm{PQ}} \end{pmatrix}$$

$$^{*} = \sum_{\alpha} a^{u}_{\alpha f} \eta_{\alpha} \quad (m_{u})_{ff'} \equiv y^{u}_{ff'} v_{\rm SM}$$

Since determinant is real, the physical θ -parameters of SU(10), SU(5)1 or



