# Single-Inclusive Hadron Production in $e^+e^-$ Annihilation at N3LO in QCD

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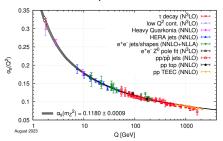


# QCD: Asymptotic Freedom and Color Confinement

## Evolution of $\alpha_s(Q)$

$$\frac{d\,\alpha_s}{d\ln\,Q} = -2\alpha_s\,\beta < 0$$

- High Q: perturbative QCD
- Low Q: non-perturbative QCD



#### Confinement

- Partons can not be observed as free particles
- They are confined within hadrons



#### Hadronization

- Hadronization: Partons → Hadrons?
- No first-principle understanding
- Hadronization model
  - Lund string model [Andersson, Gustafson, Ingelman, Sjöstrand, 83], implemented in Pythia
  - Cluster model [Webber, 00], implemented in Herwig
- Fragmentation functions  $D_i^h(z)$ 
  - ► Number density of *h* carrying momentum fraction *z* of parton *i*

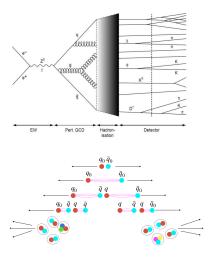


Figure from EPJC 85 (2025) 16

## Fragmentation functions

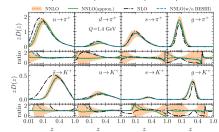
- Global fitting to determine FFs See the talk by XiaoMin Shen
  - lacktriangle Parameterize the FFs at an initial scale  $Q_0$
  - ▶ Evolve the FFs to *Q* according to DGLAP evolution equation

$$dD_i^h/d\ln Q = 2D_k^h \otimes P_{ki}^T$$

Match the data with theory predic. based on factorization formula

$$d\sigma^h = D_i^h \otimes d\hat{\sigma}_i$$

- Rapid progress recently, FFs at NNLO precision become available
  - ▶ NPC, NNFF, BDSSV, MAP, ...

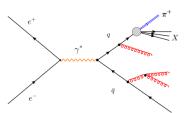


NPC, PRL 135, 041902 (2025)

### Definition of SIA

•  $e^+e^-$  annihilate to produce a single hadron

$$e^+ + e^- \rightarrow \gamma^*/Z \rightarrow h + X$$



- The cleanest process for studying FFs (No beam remnants)
- The differential cross section can be written as

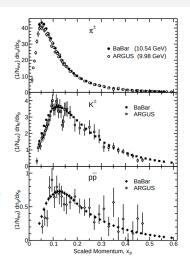
$$\frac{d^2\sigma^h}{dx\,d\cos\theta} = \frac{3}{8}(1+\cos^2\theta)\frac{d\sigma_T^h}{dx} + \frac{3}{4}\sin^2\theta\frac{d\sigma_L^h}{dx} + \frac{3}{4}\cos\theta\frac{d\sigma_A^h}{dx}$$

ullet Focus on  $\sigma_T, \sigma_L$ , which can be extracted from  $\gamma^*$ -mediated SIA

# Lots of experimental data

- Lots of SIA data was collected
  - ▶ BaBar, Belle, LEP, BESIII...
- Future collider will collect more data
  - ► CEPC, FCC-ee, ILC...
- Constraints to further improve the precision of FFs using SIA data
  - Precision of SIA
  - $\begin{tabular}{ll} \hline & Precision of $P^T_{ik}$, known at NNLO \\ [Chen, TZY, Zhu, Zhu, 20; Mitov, Moch, Vogt, Almasy, 06, 07, 11] \\ \hline \end{tabular}$
  - ► First step: SIA at N3LO

First N3LO result for hadron production



BaBar, PRD 88, 032011 (2013)

### QCD factorization for SIA

QCD factorization

$$\frac{d\sigma_k^h}{dx} = D_g^h \otimes \left(\frac{2C_{gq}}{Q_q^q}\right) \sum_{q=1}^{N_f} \sigma_{q\bar{q}}^{(0)} + \sum_{q=1}^{N_f} \left(D_q^h + D_{\bar{q}}^h\right) \otimes \left(\frac{C_{qq}^V + C_{q\bar{q}}^V}{Q_{q\bar{q}}^q}\right) \sigma_{q\bar{q}}^{(0)} + \sum_{q'=1}^{N_f} \left(D_{q'}^h + D_{\bar{q}'}^h\right) \otimes \left(\frac{C_{q'q} + C_{q'\bar{q}}}{Q_{q\bar{q}}^q}\right) \sum_{q=1}^{N_f} \sigma_{q\bar{q}}^{(0)} + \mathcal{O}(\Lambda_{QCD}/Q)$$

- ullet The formula is valid to all orders in  $lpha_s$  [Collins, Soper, Sterman, 04]
- Wilson Coefficients  $C_{ij}$  can be computed in pQCD
- ullet This talk: determine transverse and longitudinal  $C_{ij}$  to N3LO

### Theory motivations

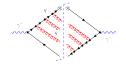
- SIA at NNLO was computed almost 30 years ago [Rijken, van Neerven, 96]
- Its cousin process DIS reached N3LO precision back in 2005 [Vermaseren, Vogt, Moch, 05]
  - Derived from forward scattering
- Resummation in the threshold limit for SIA has achieved to
  - ▶ N3LL [Cacciari, Catani, 01; Moch, Vogt, 09] and N4LL [Xu, Zhu, 24]
- Resummation in small-x limit for SIA has achieved to NNLL [Albino, Bolzoni, Kniehl, Kotikov, 11; Vogt, 11]
  - ▶ Closed form to all orders in  $a_s = \alpha_s/(4\pi)$  for LL

$$C_{gq}^{LL}(x) = \frac{8C_F a_s \log(x) {}_{1}F_{2}\left(\frac{5}{4}; \frac{3}{2}, 2; -8a_s C_A \log^{2}(x)\right)}{x}$$

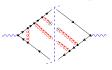
Providing more fixed-order data, is it possible to get closed form beyond LL?

### Standard way to compute SIA

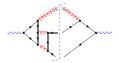
• Cut decompositions (+ VVV which is proportional to  $\delta(1-x)$ )



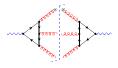
RRR (3 channels,  $3 \times 5 = 15$  pieces)



VRR (3 channels,  $3 \times 4 = 12$  pieces)



VVR (2 channels,  $2 \times 3 = 6$  pieces)



 $VV^*R$  (2 channels,  $2 \times 3 = 6$  pieces)

- Need to compute 39 pieces, cumbersome and easy to make mistakes
- Towards SIA at N3LO by the standard way
  - ▶ Master integrals for all pieces available [Magerya, Fekeshazy, 25]

#### Do we have better approach?

# Crossing relations between DIS and SIA

Partonic DIS at LO

Partonic SIA at LO

$$\begin{split} \gamma^*(q) + \mathsf{quark}(p) &\to \mathsf{quark}(k) \qquad \gamma^*(q) \to \mathsf{anti-quark}(p) + \mathsf{quark}(k) \\ x_B &= \frac{-q^2}{2p \cdot q} \qquad \qquad x = \frac{2p \cdot q}{q^2} \end{split}$$

- Analytic continuation (AC) rule:  $p \rightarrow -p, q \rightarrow q, x_B \rightarrow 1/x$
- Prescription for DIS

$$(p+q)^2 + i0^+ \to x_B = \text{Re}[x_B] - i0^+, x_B^* = \text{Re}[x_B] + i0^+$$

Modified AC rule

$$p \to -p, x_B \to 1/x$$

$$(1 - x_B)^{\epsilon} \to x^{-\epsilon} (1 - x)^{\epsilon} e^{i\pi\epsilon}$$

$$(1 - x_B^*)^{\epsilon} \to x^{-\epsilon} (1 - x)^{\epsilon} e^{-i\pi\epsilon}$$

## Breakdown of a direct analytic continuation

- The AC fail beyond two-loop order for cross-section quantities [Almasy, Mitov, Moch, Vogt, 06, 07, 11]
  - ▶ Information of  $(1-x_B)^{\epsilon}$ ,  $(1-x_B^*)^{\epsilon}$  is lost, rely on the AC of  $\ln(1-x_B)$ The critical part of the analytic continuation is that of powers of  $\ln(1-x)$ , which is given by

$$\frac{\ln(1-x) \stackrel{AC_{\kappa}}{\longrightarrow} \ln(1-x) - \ln x + \kappa i\pi}{\ln(1-x) - \ln x + \kappa i\pi} \text{ with } \kappa = 0 \text{ or } 1.$$
 (8)

For  $\kappa = 1$  the real part is taken in the end. It is not clear at all that beyond NLO Eq. (8) is applicable, in either form, to quantities such as physical evolution kernels instead of to (classes of) Feynman diagrams, see the discussions in Refs. [5](8)(16)).

▶ Try to derive  $P_{ij}^T$  by AC of DIS, unable to completely fix 3-loop  $P_{qg}^T$  In summary, these considerations are still not sufficient to definitely fix the right-hand-side of Eq. ([3]). As an estimate of the remaining uncertainty we suggest to use the offset

$$\delta P_{\rm qg}^{(2)T}(x) = \pm 2 \frac{\zeta_2}{\zeta_2} \beta_0 (C_A - C_F) (11 + 24 \ln x) P_{\rm qg}^{(0)T}(x) . \tag{38}$$

- The problem was solved in the context of EFT[Chen, TZY, Zhu, Zhu, 20]
  - ▶ Derive the complete 3-loop  $P_{ii}^T$  for the first time
- We further refine the approach and extend it to the full QCD

#### Threshold-limit structures

- $x_B \to 1/x$  crosses the branch cut at  $1 x_B$
- Analyse threshold-limit structures by expansion-by-region techniques
- Collinear mode:  $l \parallel p \longrightarrow (1 x_B)^{\epsilon}$
- Ultra-soft mode:  $l \sim 0 \longrightarrow e^{i\pi\epsilon}$

Cuts	Threshold-limit structures for DIS (omit overall $((p+q)^2)^{-3\epsilon}$ )
RRR	$B_0$
VRR	$B_s e^{i\pi\epsilon} + B_c (1-x_B)^\epsilon$
VVR	$B_{s,s}e^{2i\pi\epsilon} + B_{s,c}e^{i\pi\epsilon}(1-x_B)^{\epsilon} + B_{c,c}(1-x_B)^{2\epsilon}$
VV*R	$B_{s,s} + B_{c,s}e^{i\pi\epsilon}(1 - x_B^*)^{\epsilon} + B_{c,s}(1 - x_B)^{\epsilon}e^{-i\pi\epsilon} + B_{c,c}(1 - x_B)^{\epsilon}(1 - x_B^*)^{\epsilon}$

- Issues come from terms like  $E=B\,e^{i\pi\epsilon}(1-x_B)^\epsilon$  in VVR and VV\*R
  - Correct way:  $\mathcal{AC}(E) = B e^{2i\pi\epsilon} (1-x)^{\epsilon}$
  - Naive way:  $\mathcal{AC}(E+c.c.) = 2Be^{i\pi\epsilon}\cos{(\pi\epsilon)}(1-x)^{\epsilon}$
  - ▶ Diff:  $[\mathcal{AC}(E) + c.c.] \text{Re}\left[\mathcal{AC}(E+c.c)\right] = -2B(1-x)^{\epsilon} \sin^2(\pi\epsilon) \neq 0$

#### Master formula to determine SIA

- Issues come from VVR and VV\*R only instead of RRR and VRR
- Master formula to determine partonic SIA from partonic DIS

$$\begin{split} &d\sigma^{(3),\,\mathrm{SIA}} = \mathrm{Re} \Bigg[ \mathcal{AC} \Big( d\sigma^{(3),\,\mathrm{DIS}} \Big) \\ &- \mathcal{AC} \Big( d\sigma^{(3),\,\mathrm{DIS}}_{\mathrm{VVR}} + \mathrm{c.c.} \Big) - \mathcal{AC} \Big( d\sigma^{(3),\,\mathrm{DIS}}_{\mathrm{VV^*R}} \big|_{x_B^* \to x_B} \Big) \\ &+ \Big\{ \mathcal{AC} \Big( d\sigma^{(3),\,\mathrm{DIS}}_{\mathrm{VVR}} \Big) + \mathrm{c.c.} \Big\} + \mathcal{AC} \Big( d\sigma^{(3),\,\mathrm{DIS}}_{\mathrm{VV^*R}} \Big) \Bigg] \end{split}$$

- Each term is a bare partonic quantity
- ► The first term is a direct AC of the DIS result at N3LO [Vermaseren, Vogt, Moch, 05]. It contains the incorrect contributions from VVR and VV\*R
- ▶ The incorrect contributions are subtracted in the second line
- ▶ The contributions from the correct AC are restored in the third line
- Missing pieces: VVR and VV\*R, compute them directly

## VVR+VV\*R: Branches separation

- It's straightforward to compute  $\epsilon$ -expanded VVR and VV\*R
- To make AC work properly, crucial to separate branches  $(1-x_B)^{n\epsilon}$
- Can be achieved using (canonical) differential equations (DE)
   [Gehrmann, Remiddi, 99; Henn, 13]

$$d\vec{f}/dx = \epsilon A(x)\vec{f}$$

- Ansatz for master integrals  $\vec{f} = \sum_{n} \vec{f}_{n} (1-x)^{n\epsilon}$
- Substitute it into the canonical differential equations, we obtain

$$\frac{d\vec{f}_n}{dx} = \epsilon \left( A(x) + \frac{n}{1-x} \right) \vec{f}_n$$

• Determine  $\epsilon$ -expanded  $f_n$  by solving the above canonical DE

# Analytic results and checks

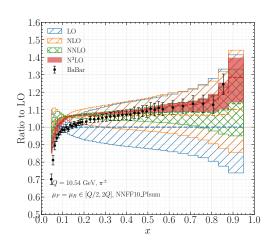
- $\delta(1-x)$  terms can not be determined by AC
- Determine it by sum rule:  $\int_0^1 dx \, x \, (d\sigma_T/dx + d\sigma_L/dx) = \sigma_{\text{total}}$
- Obtain analytic results for SIA Wilson coefficient at N3LO
- The results are expressed via HPLs
- Checks
  - $\delta(1-x)$  terms agree with those from threshold resummation [Moch, Vogt, 09; Xu, Zhu, 24]
  - ▶ Poles in  $\epsilon$  cancel when using three-loop  $P_{ij}^T$  [Chen, TZY, Zhu, Zhu, 20; Mitov, Moch, Vogt, Almasy, 06, 07, 11]
  - ► Integrating over x for VVR and VV\*R, agree with inclusive results by [Jakubcık, Marcoli, Stagnitto, 23] See the talk by Xuan Chen
  - ► Small-*x* limit agree with the expansion result from small-*x* resummation [Albino, Bolzoni, Kniehl, Kotikov, 11; Vogt, 11]

# Phenomenological results

Factorization

$$d\sigma^h = D_i^h \otimes C_{iq}$$

- $\bullet$  Data: BaBar data with  $\sqrt{s}=10.54$  GeV [BaBar, PRD 88, 032011 (2013)]
- FFs: NNFF1.0 [EPJC 77, 516 (2017)]
- Large corrections, reduce scale uncertainties
- Improve the description of BaBar data?



### Conclusion and Outlook

#### Conclusion

- The first N3LO result for hadron production
- Complexity is greatly reduced by AC between DIS and SIA
  - Bypass the complicated RRR and VRR contributions
  - Only need to directly compute much simpler VVR and VV\*R
- ullet 2× reduction of scale uncertainties compared to NNLO

#### Outlook

- Apply the AC formula to Higgs-mediated DIS for HSIA
- $\bullet$  Compute the asymmetric part  $\sigma_A^h$  mediated by the axial current
- Perform small-x resummation at N3LL and compare with data

# Thank you for your attention!