



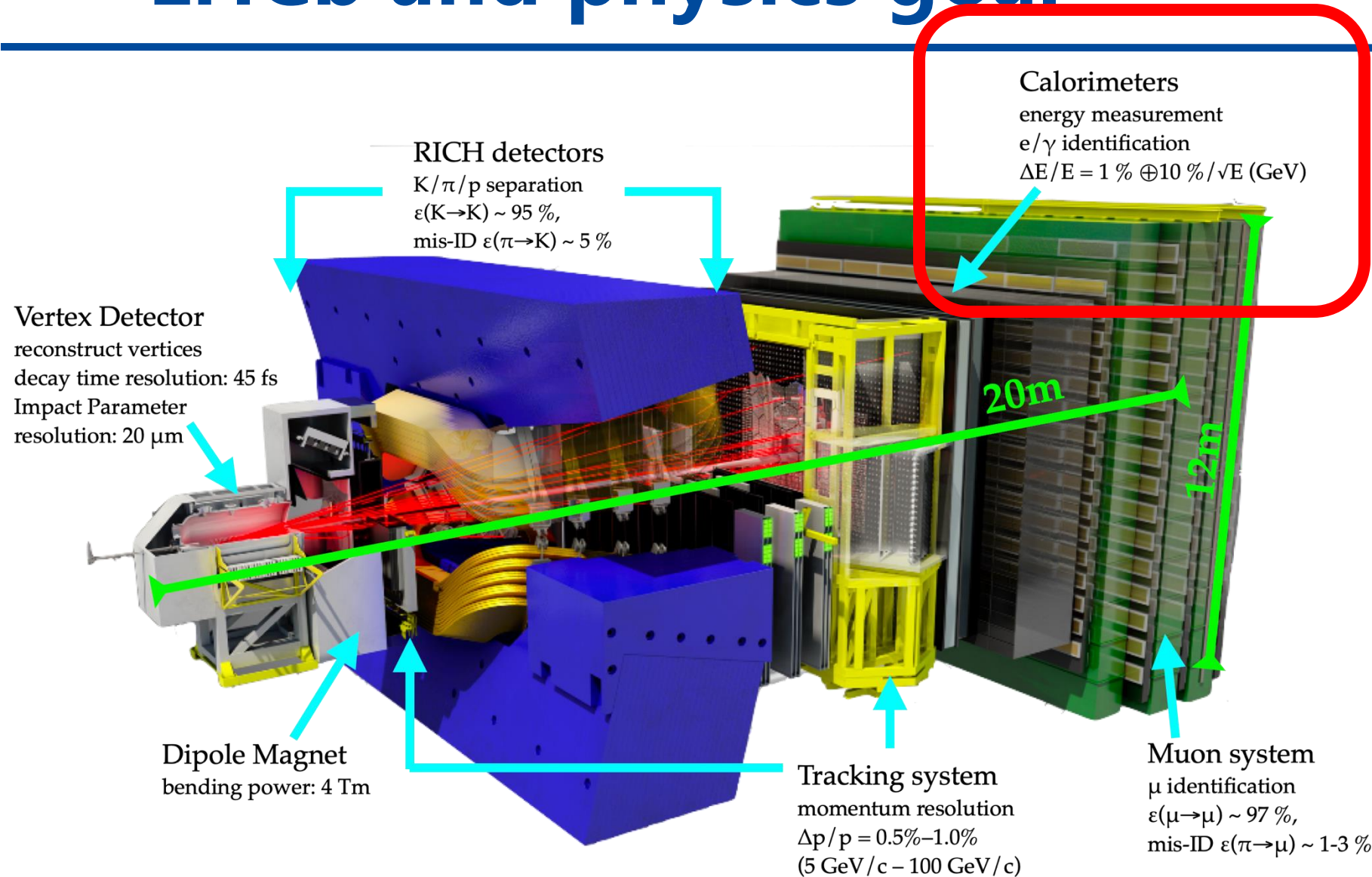
LHCb ECAL Upgrade II

Hengne Li

South China Normal University

on behalf of the LHCb ECAL upgrade II working group

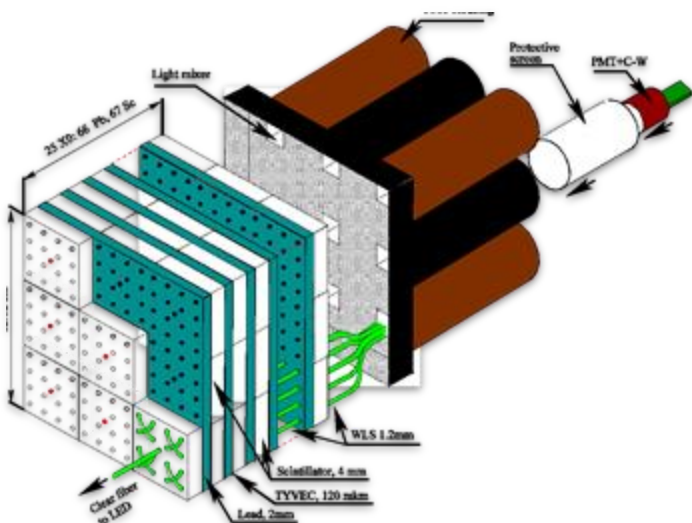
LHCb and physics goal



- LHCb is the only dedicated detector (at LHC) fully instrumented in forward region with unique kinematic coverage
 $2 < \eta < 5$
- Designed for heavy flavor and CPV measurements, also good for hadron spectroscopy, rare decays, EW/Higgs/Top, and Heavy Ion physics.

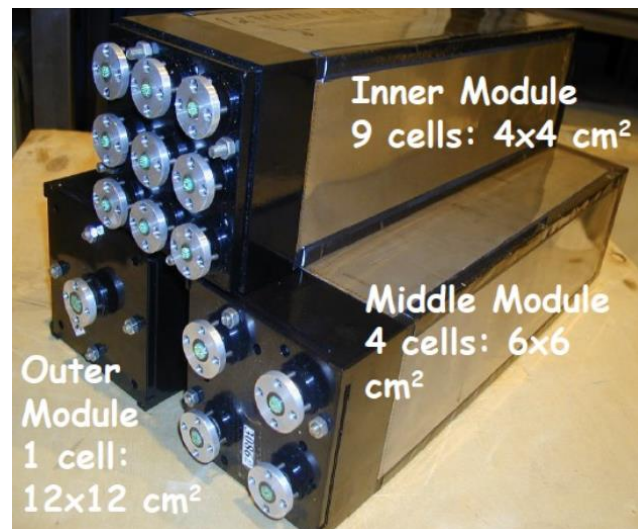
The Current LHCb ECAL

- ECAL is essential to all measurements involving neutrals and electrons
- Optimized for π_0 and γ identification in the few GeV to 100 GeV region at $2 \times 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$

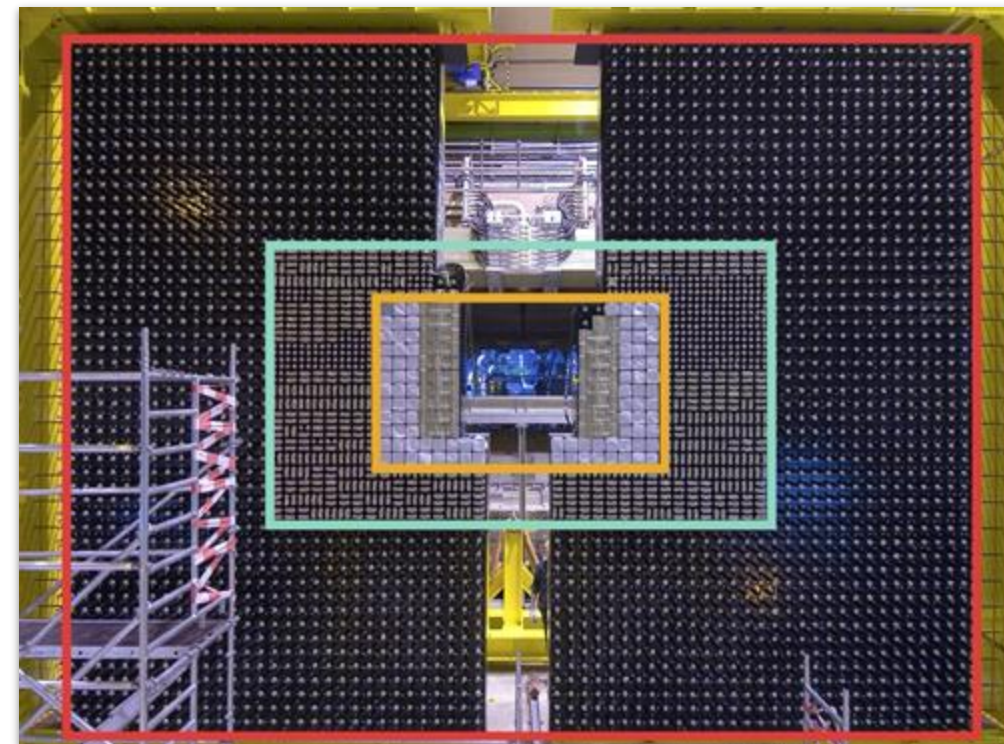


LHCB-TDR-023, LHCB-TDR-024, LHCB-TDR-026

- Shashlik technology used
- Scintillator: Polystyrene - p-terphenyl - POPOP
- WLS fibres: Kuraray Y-11



- Radiation hard up to 40 kGy
- Energy resolution:
 $\sigma(E)/E$
 $\approx 10\%/\sqrt{E(\text{GeV})} \oplus 1\%$



View from the back

- Large array of $\approx 50 \text{ m}^2$ with 3312 modules and 6016 channels

Motivation to upgrade

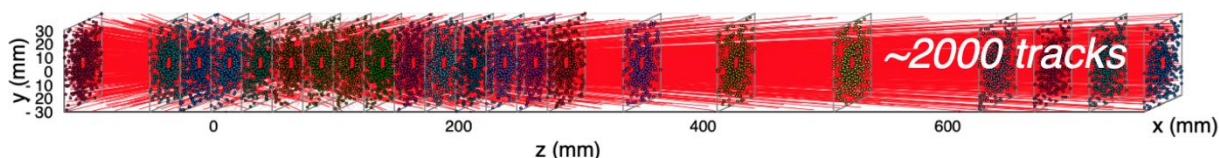
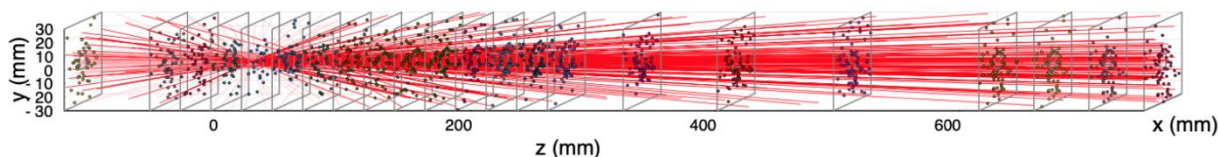
LHCB-TDR-023, LHCB-TDR-024, LHCB-TDR-026

- To fully use the opportunities provided by the HL-LHC for heavy flavor physics

Run 3		LS3				Run 4				LS4		Run 5					
2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041

- Upgrade II to be installed at LS4: $1 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
Original design: $2 \times 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$
Run 3: $2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$

Vertex Locator (VELO)



Run 3: pile-up ~6

Upgrade II: pile-up ~28

High pile-up
Radiation hardness

...

New ECAL technology R&D needed

Motivation to upgrade

LHCB-TDR-023, LHCB-TDR-024, LHCB-TDR-026

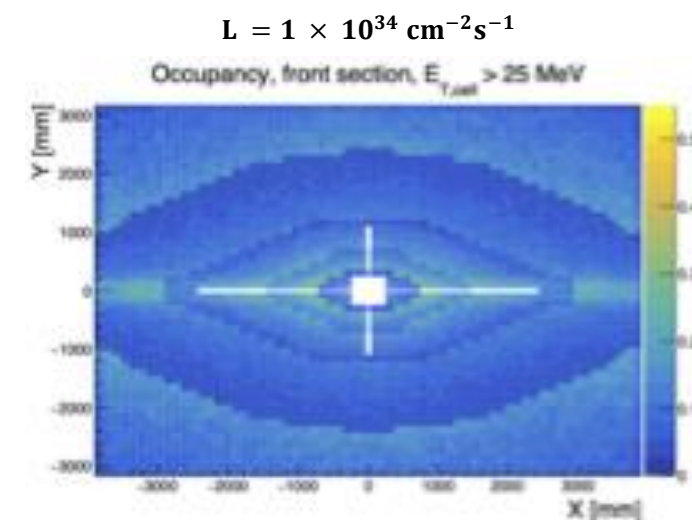
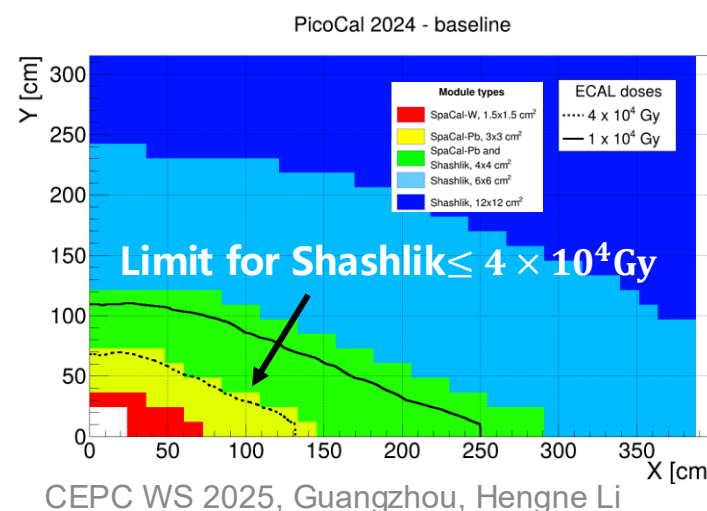
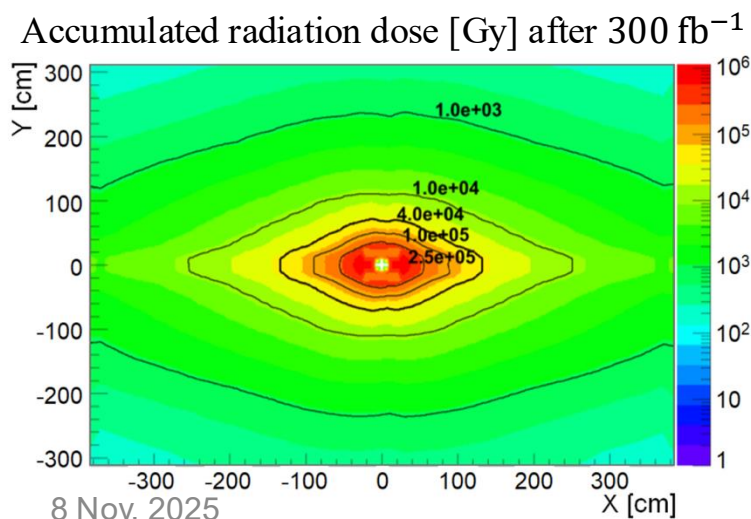
Requirements for the Upgrade II:

- Radiation doses up to **1 MGy** and $\leq 6 \times 10^{15}$ 1 MeV neq/cm² in the centre for 300 fb⁻¹
 - New technologies required for the center

- Pile-up mitigation crucial
 - Timing $\mathcal{O}(10 \text{ ps})$ precision
 - Increased granularity
 - longitudinal segmentation

Scintillators R&D needed

- Keep current energy resolution of $\sigma(E)/E \approx 10\%/\sqrt{E} \oplus 1\%$



Technologies for ECAL Upgrade II

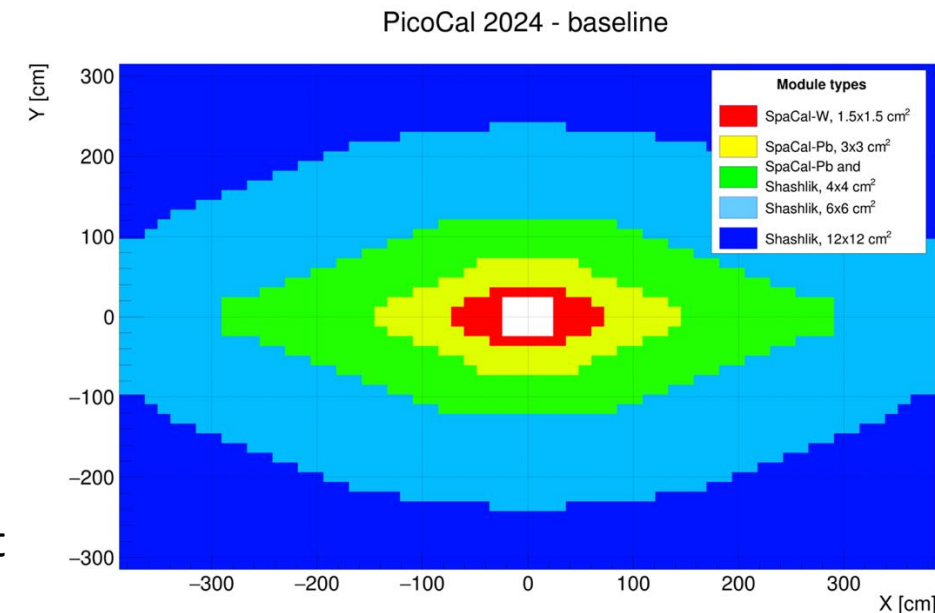
LHCB-TDR-023, LHCB-TDR-024, LHCB-TDR-026

SPACAL technology for inner region.

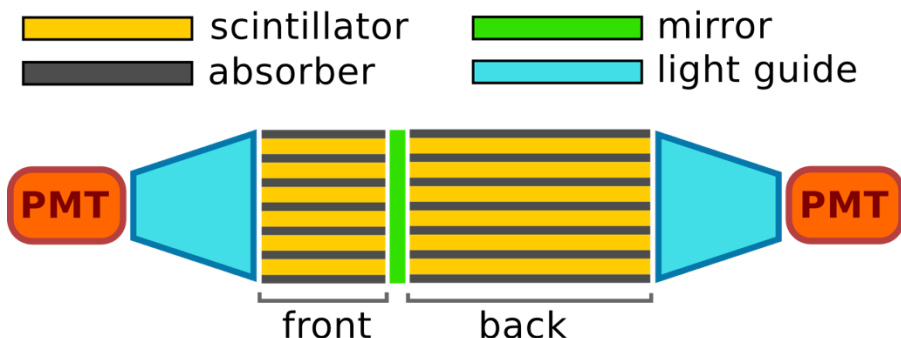
- $1.5 \times 1.5 \text{ cm}^2$ cell - W absorber and crystal fibres
 - Development of radiation-hard crystal fibres
 - Polystyrene fibres for Run 4, then replaced by crystals
- $3 \times 3, 4 \times 4 \text{ cm}^2$ cell - Pb absorber and plastic fibres:
 - Need radiation-tolerant plastic fibres

Shashlik technology for outer region

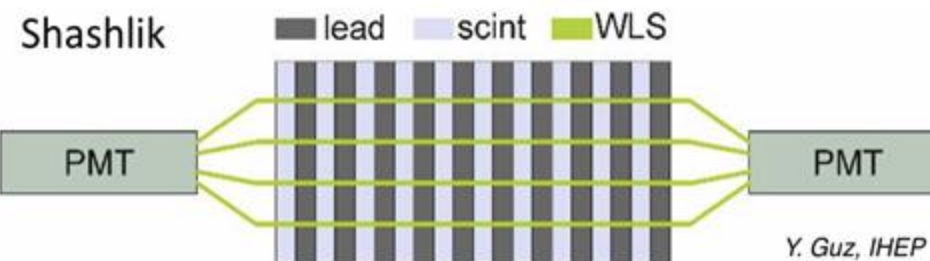
- $4 \times 4, 6 \times 6, 12 \times 12 \text{ cm}^2$ cell
 - Timing improved with faster WLS fibres and double-sided readout



Side view



Beam direction

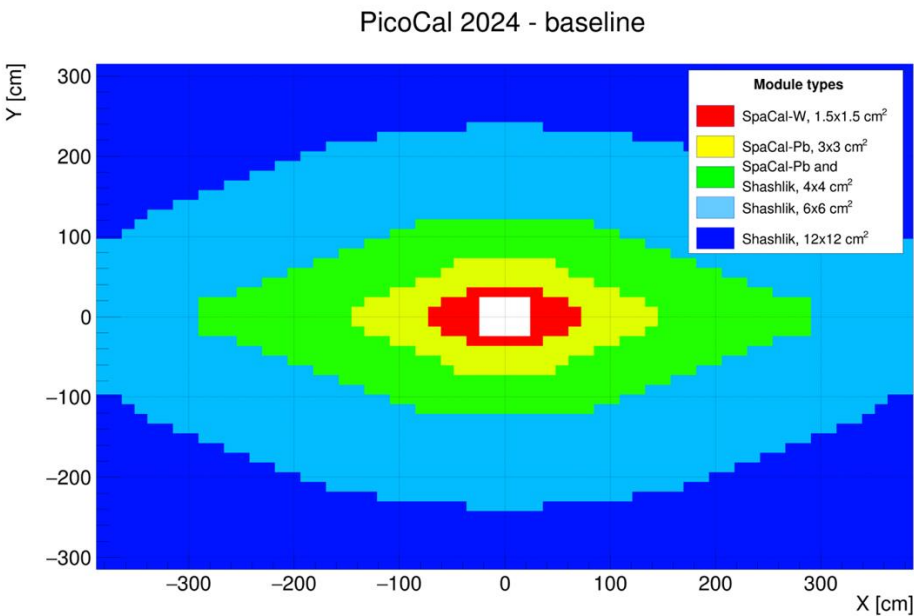


Beam direction

ECAL configuration to be installed during LS3

LHCB-TDR-023, LHCB-TDR-024, LHCB-TDR-026

- **176 new SpaCal modules in the inner region**
→ This region covers about 35% of photons and neutral pions from B-hadron decays over the ECAL acceptance
- **The existing modules will be rearranged in rhombic areas (32 Shashlik modules with $4 \times 4 \text{ cm}^2$ cell size will be replaced)**



Cell size:	Modules:	Number of cells:
$2 \times 2 \text{ cm}^2$	16 new SpaCal-W modules with plastic fibres	576
$2 \times 2 \text{ cm}^2$	16 new SpaCal-W modules with plastic fibres - special shape	480
$3 \times 3 \text{ cm}^2$	104 new SpaCal-Pb modules with plastic fibres	1664
$3 \times 3 \text{ cm}^2$	40 new SpaCal-Pb modules with plastic fibres - special shape	480
$4 \times 4 \text{ cm}^2$	176 existing Shashlik modules	1584
$6 \times 6 \text{ cm}^2$	448 existing Shashlik modules	1792
$12 \times 12 \text{ cm}^2$	2512 existing Shashlik modules	2512

The Upgrade Strategy

LHCB-TDR-023, LHCB-TDR-024, LHCB-TDR-026

Run 3			LS3				Run 4				LS4		Run 5					
2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	

Run 3 in 2022-Q2/2026:

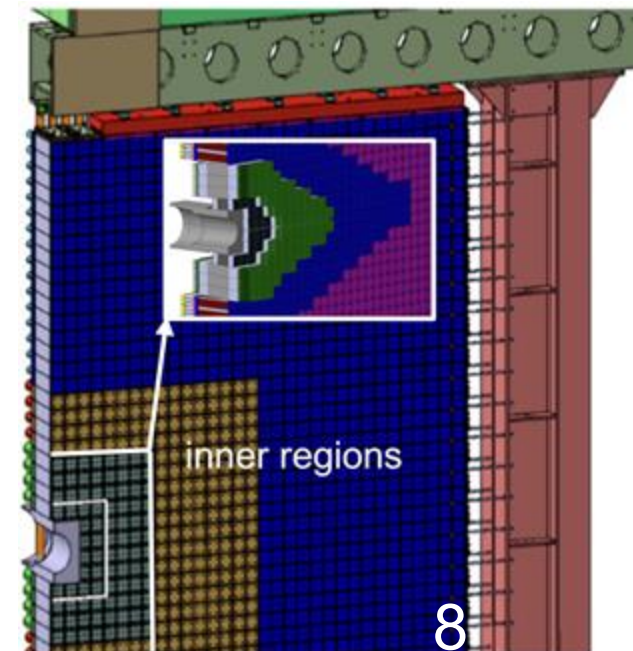
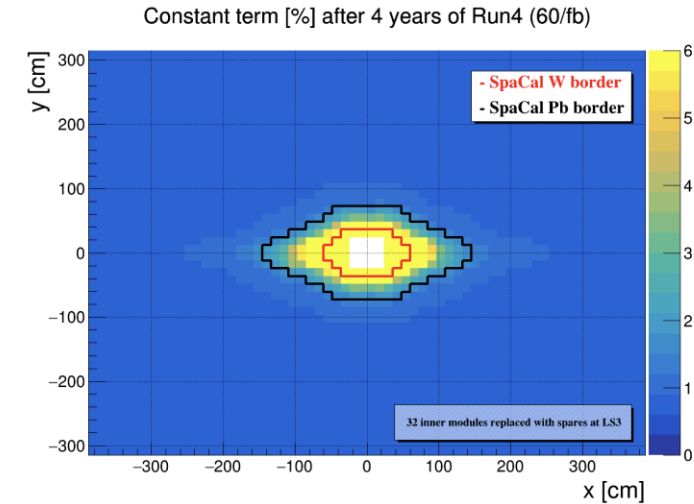
- Run with unmodified ECAL Shashlik modules at $L = 2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ (new 40 MHz readout)

LS3 consolidation in Q3/2026-2029:

- Introduce **single-section rad. tolerant SPACAL** (2×2 and $3 \times 3 \text{ cm}^2$ cells) in inner regions and **rebuild ECAL in rhombic shape** to improve performance at $L = 2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$
 - 32 SPACAL-W & 144 SPACAL - Pb modules with plastic fibres **compliant with Upgrade II** conditions

LS4 Upgrade II in 2034-2035 (PicoCal):

- Introduce **double-section rad. hard SPACAL** (1.5×1.5 , 3×3 & $4 \times 4 \text{ cm}^2$ cells) and improve timing of Shashlik modules for a luminosity of up to $L = 1 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
 - Innermost SPACAL-W modules equipped with **crystal fibres**
 - Include **timing** information and double-sided readout for pile-up mitigation



SpaCal - W Absorber - Polystyrene Fibres

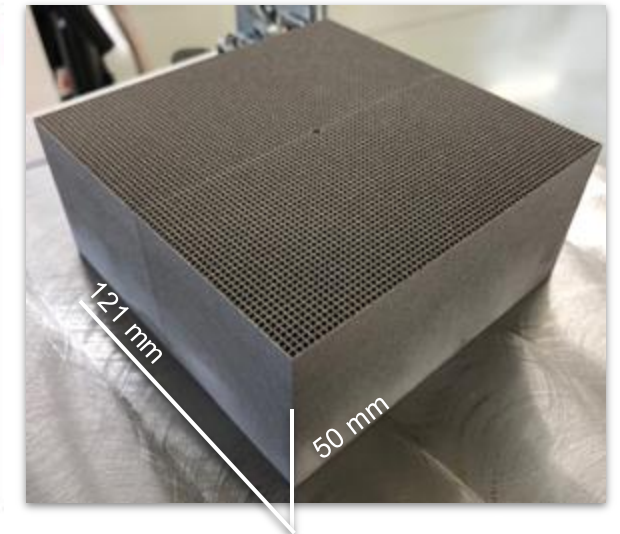
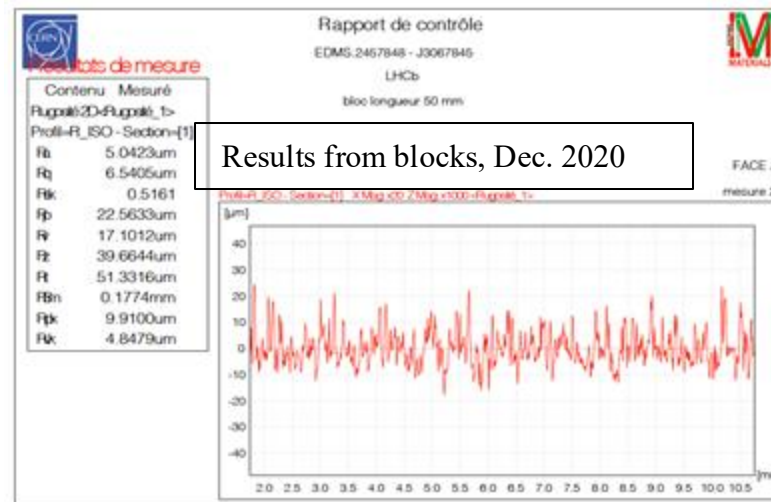
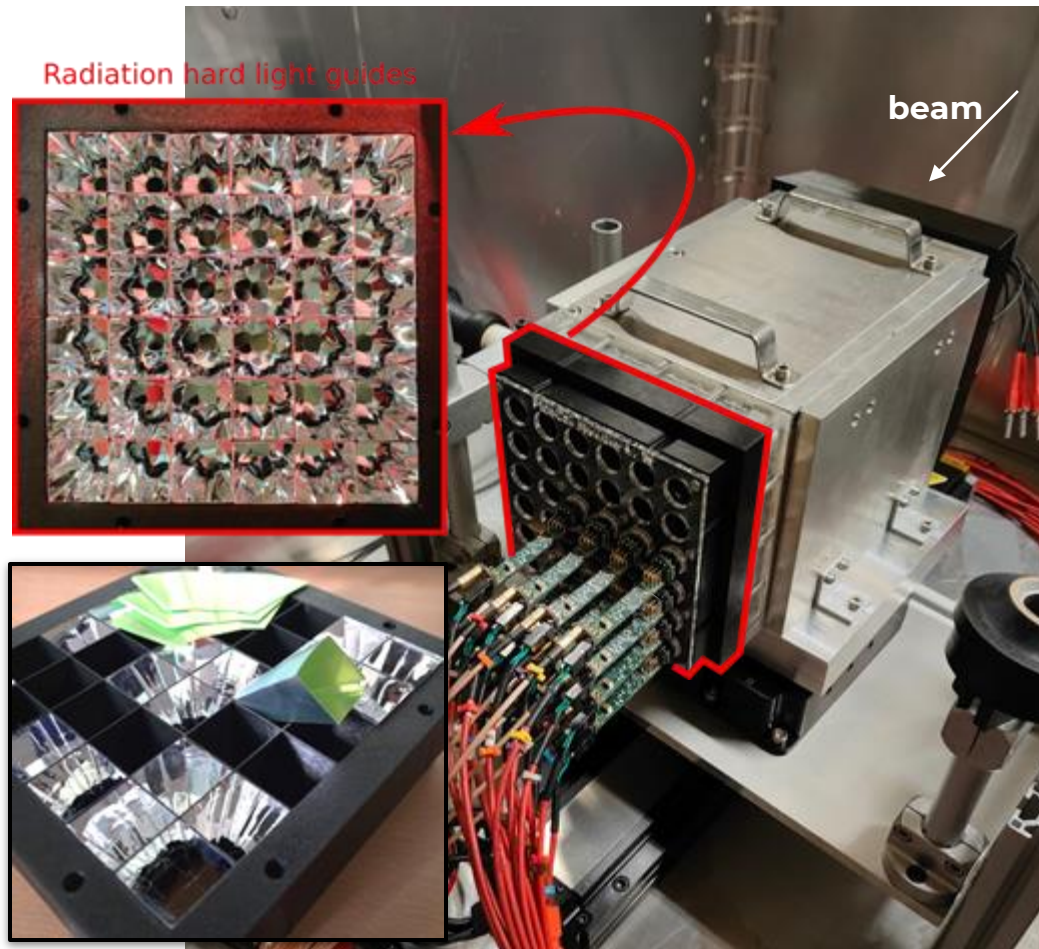
- Full size $121 \times 121 \text{ mm}^2$ *Module 0* assembled at CERN in 2023:
- Passive materials:

- 3D-printed W absorber
 - $3 \times 50 \text{ mm} + 1 \times 40 \text{ mm}$ long blocks
 - R&D performed with EOS, Germany
- Very good mean roughness $R_a = 5 \mu\text{m}$ achieved
 - Smooth surface mandatory not to damage fibres
- Radiation-hard “hollow light guides” made of 3M ESR

- Active materials:

- Single-cladded Kuraray SCSF-78 square fibres $1 \times 1 \text{ mm}^2$

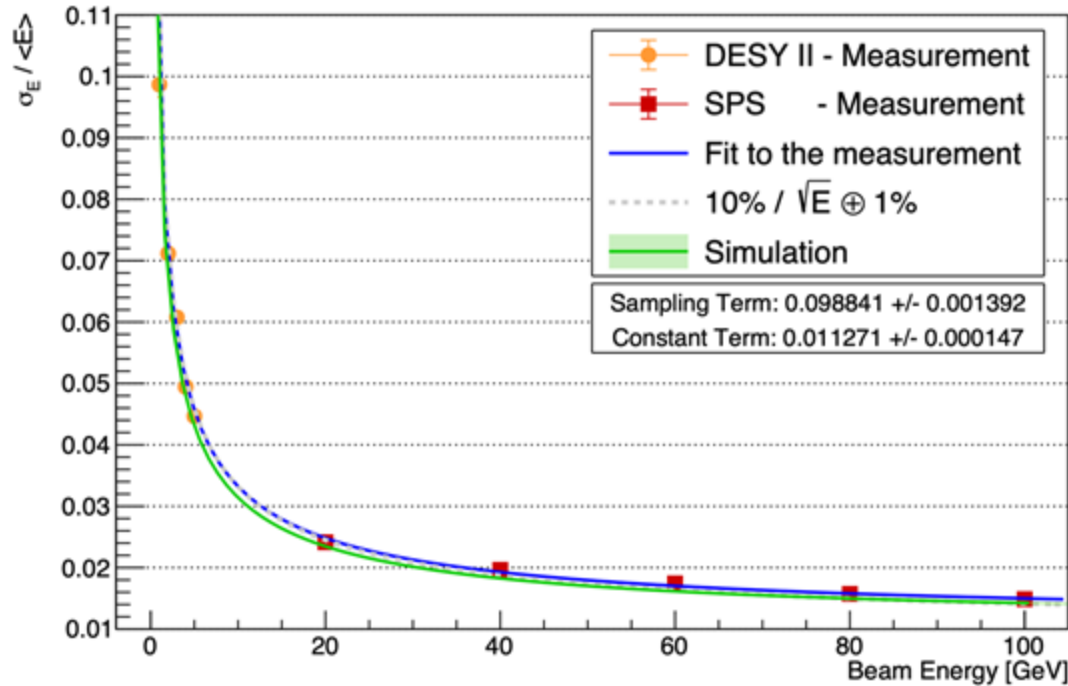
LS3 Enhancement



SpaCal - W Absorber - Polystyrene Fibres

LHCB-TDR-024 NIM A 1079, 170608 (2025)

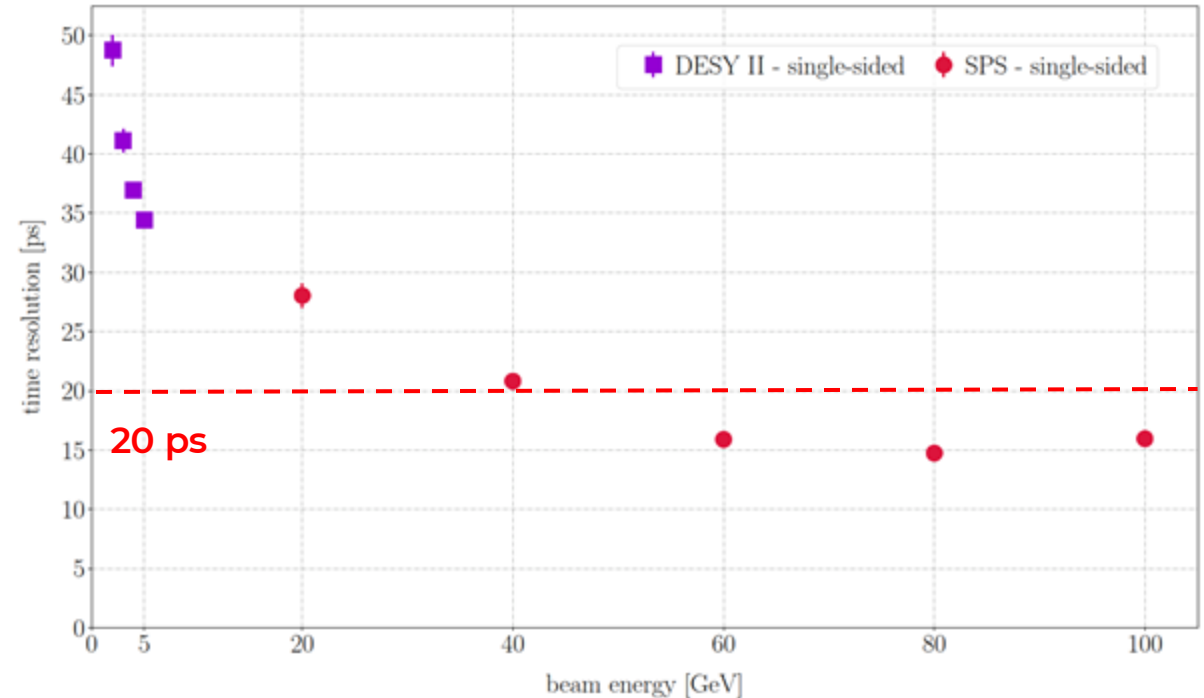
Energy resolution



➤ Energy resolution at $3^\circ+3^\circ$:

- Noise contribution subtracted
- R14755U-100 PMT
- Symmetric LGs: square to octagon
- Sampling term: $9.9 \pm 0.1 \%$
- Constant term: $1.13 \pm 0.01 \%$
- Very good agreement with simulation

Time resolution

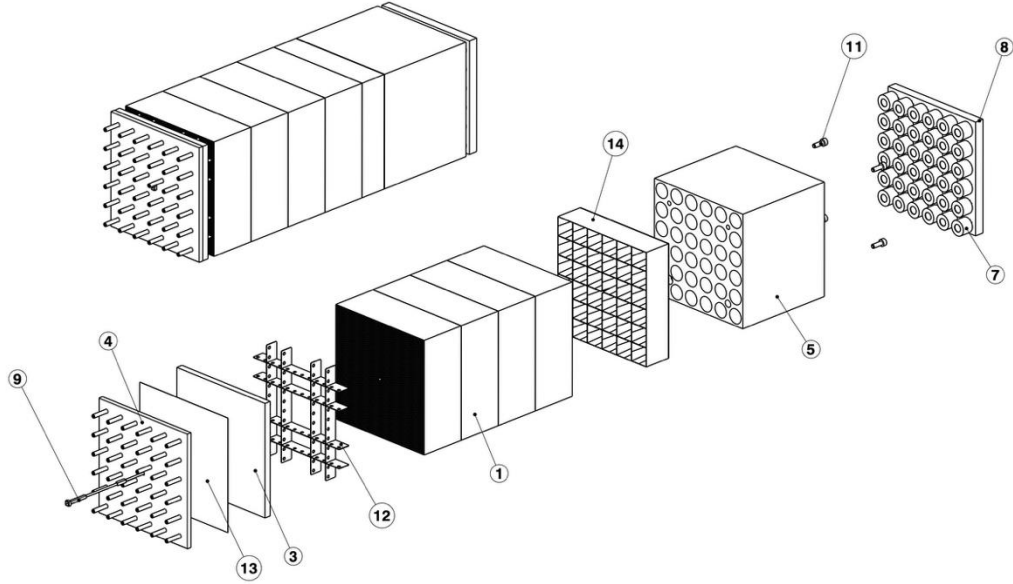


➤ Time resolution at $3^\circ+3^\circ$:

- Multi-Anode(R7600U-M4) PMT with 4 channels
- Asymmetric LGs: square to square
- Single-sided readout
- Time resolution above 40 GeV: better than 20 ps

Performance in line with targets

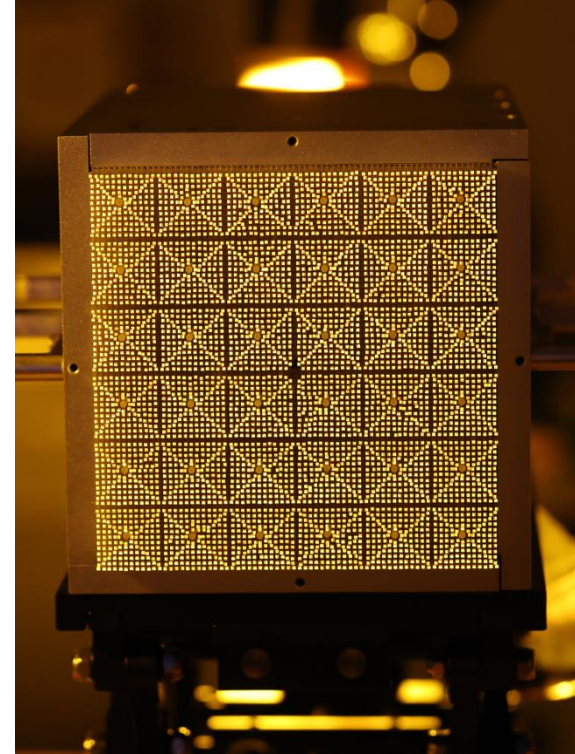
Ongoing R&D: Assembly for LS3



Test beam in May and Sept. 2025 at CERN SPS:

- First test of full Run 4 chain with new prototypes:
 - W absorbers
 - 3HF green plastic fibres (square fibres $1 \times 1 \text{ mm}^2$)
 - Optics assembly with bundlers and long “hollow” light guides
 - R9880U PMTs

Test plan with new prototype in Nov. 2025 at DESY and CERN with final assembly strategy

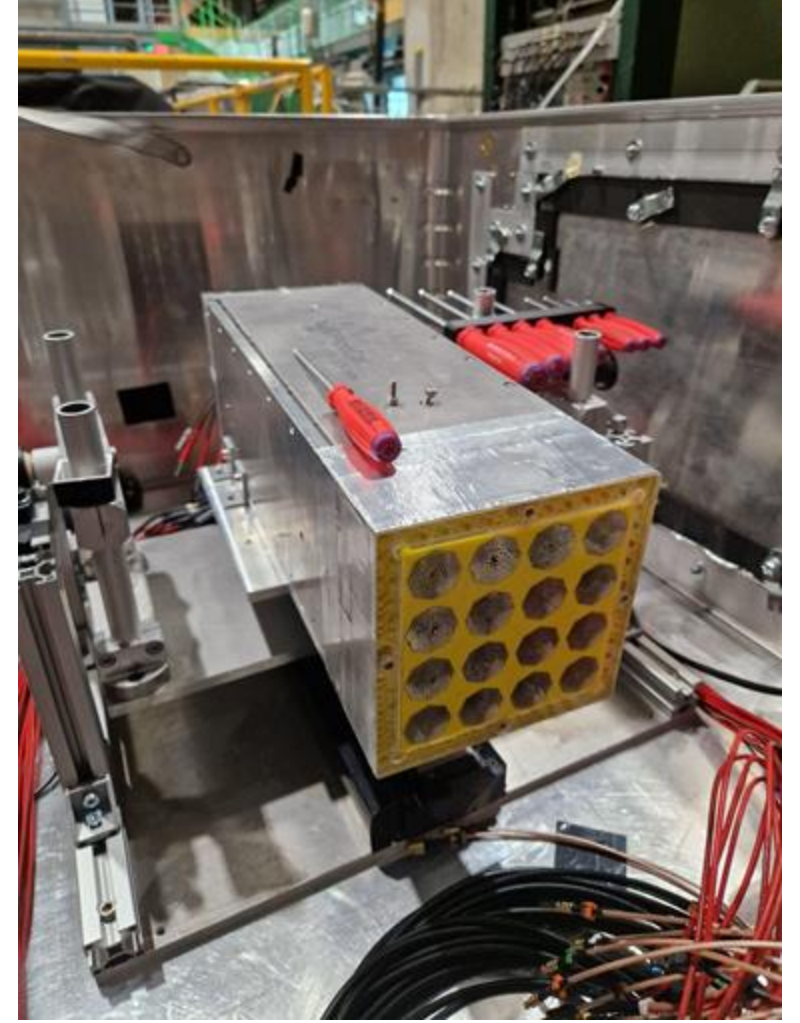
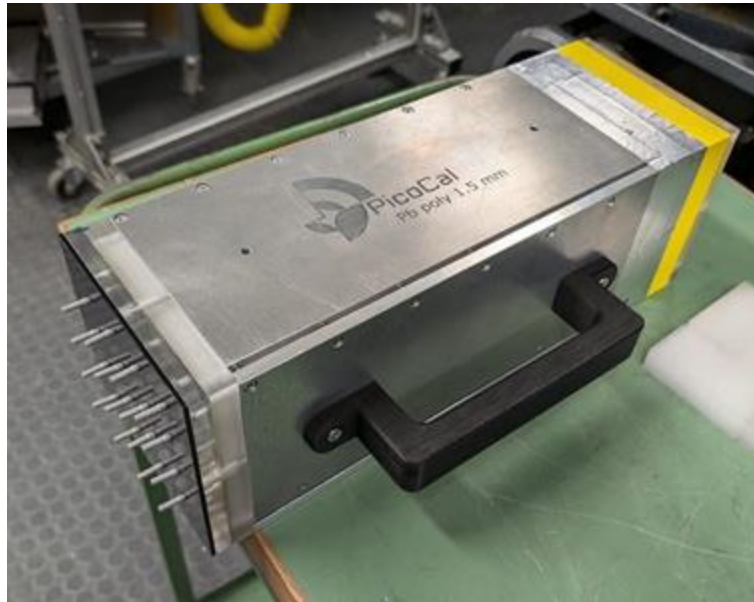
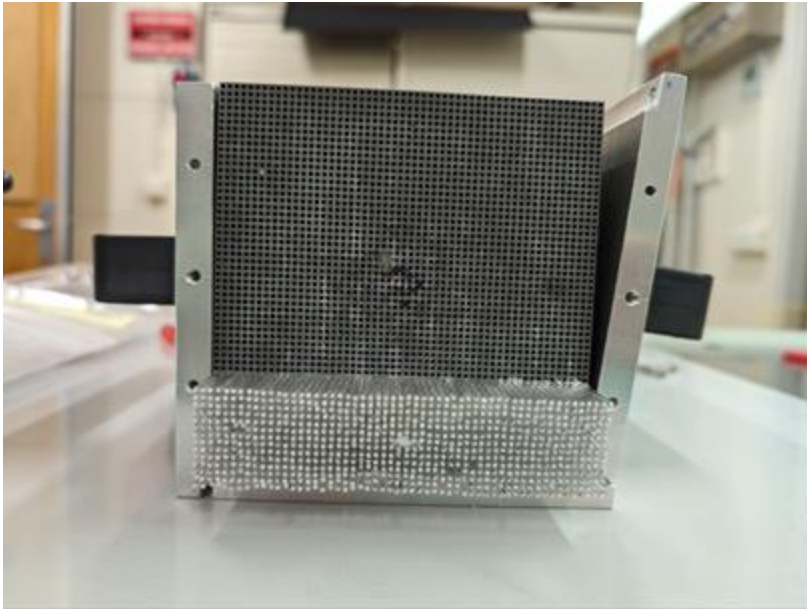


- Cable clipping circuits
- 10 meter signal cables
- Read-out with Run 3 & 4 front-end boards electronics

SpaCal - Pb Absorber - Polystyrene Fibres

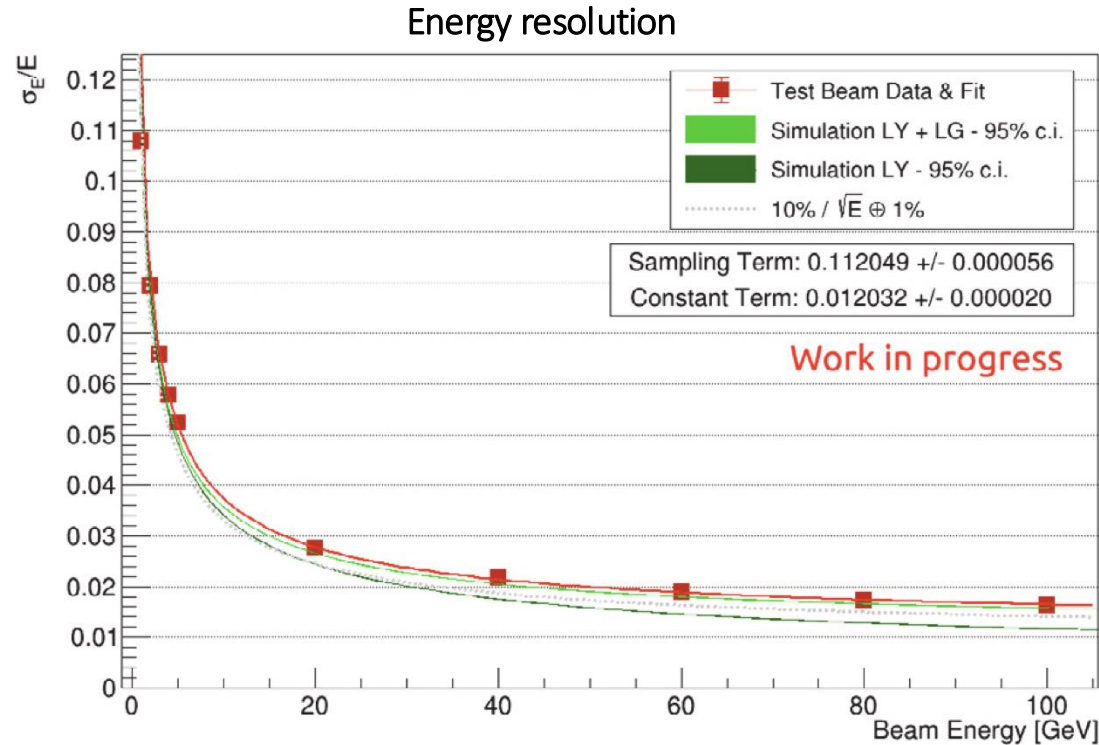
➤ Module 0 prototype assembled in June 2024

- Pb casting technology for absorber production
- Kuraray 3HF green fibres Ø 1.5 mm



SpaCal - Pb Absorber - Polystyrene Fibres

NIM A 1079, 170608 (2025)



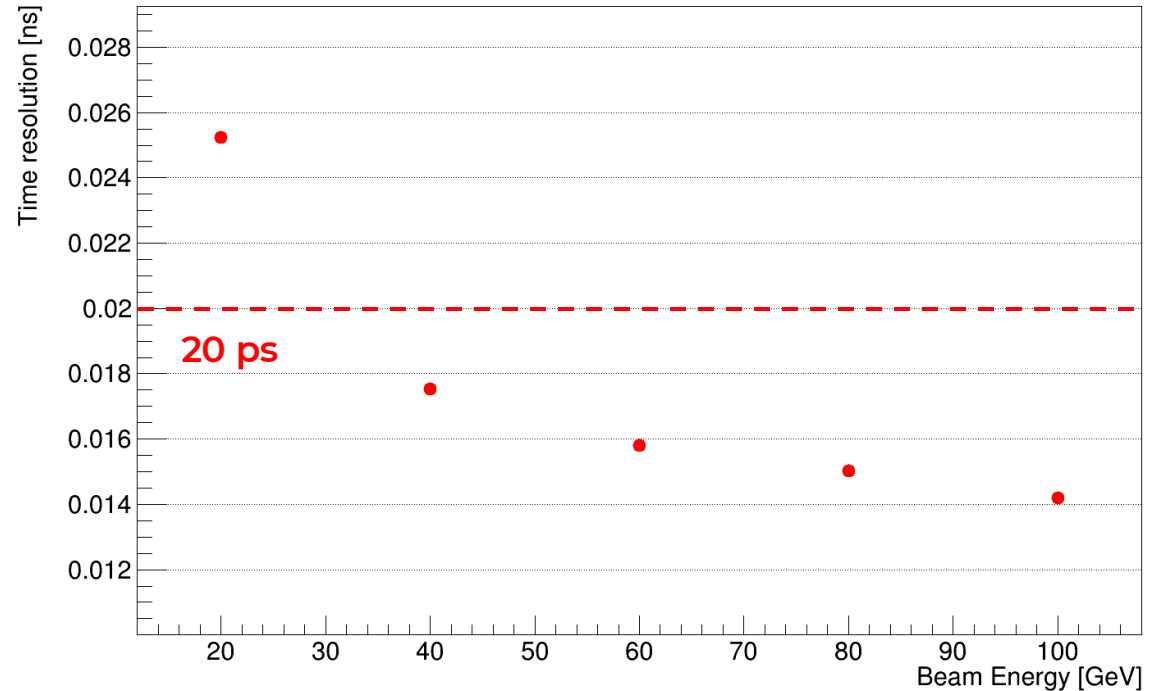
➤ Energy resolution at $3^\circ+3^\circ$:

- R11187 PMT
- Symmetric LGs
- Single-sided readout
- Sampling term: $11.2 \pm 0.1 \%$
- Constant term: $1.20 \pm 0.01 \%$
- Very good agreement with simulation

➤ Time resolution at $3^\circ+3^\circ$:

- Multi-Anode(R7600U-20) PMT with 4 channels
- Asymmetric LGs
- Double-sided readout
- Time resolution above 20 GeV: better than 20 ps

Performance in line with targets



Ongoing R&D: Plastic Scintillator

- **3HF-based green fibres will be used for LS3 enhancement:**
 - Better radiation tolerance than SCSF-78 matches requirements
 - However, longer decay time would affect time resolution



- **Required for LS4 (Upgrade II):**
 - Radiation hardness up to 100-200 kGy (hadrons)
 - Fast timing performance
 - Cost effectiveness

- **R&D ongoing on alternative materials:**
 - Hosts other than polystyrene
 - Red and Green emitters

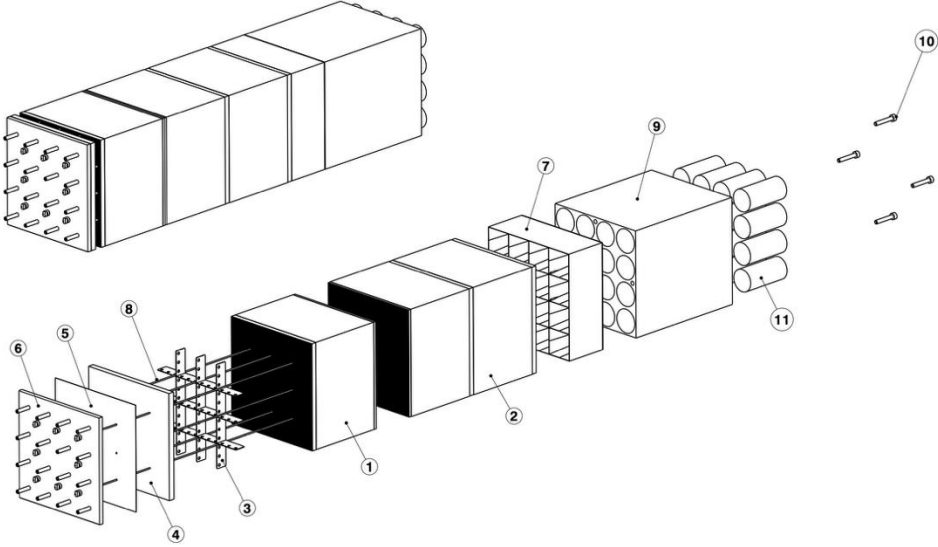


Formulations¹⁾

Kuraray Datasheet

Description	Color	Emission Spectra	Peak[nm]	Decay Time [ns]	Att.Leng. ²⁾ [m]
SCSF-78	blue	See the following figure	450	2.8	>4.0
SCSF-81	blue		437	2.4	>3.5
SCSF-3HF(1500)	green		530	7	>4.5

Ongoing R&D: Assembly for LS3

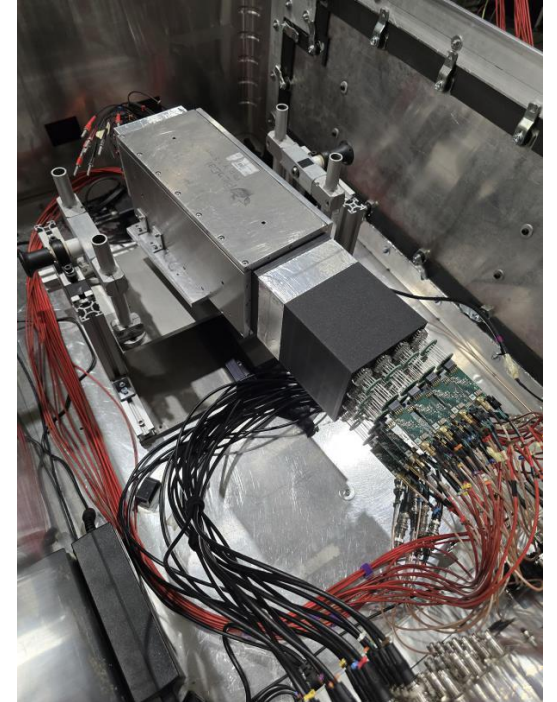
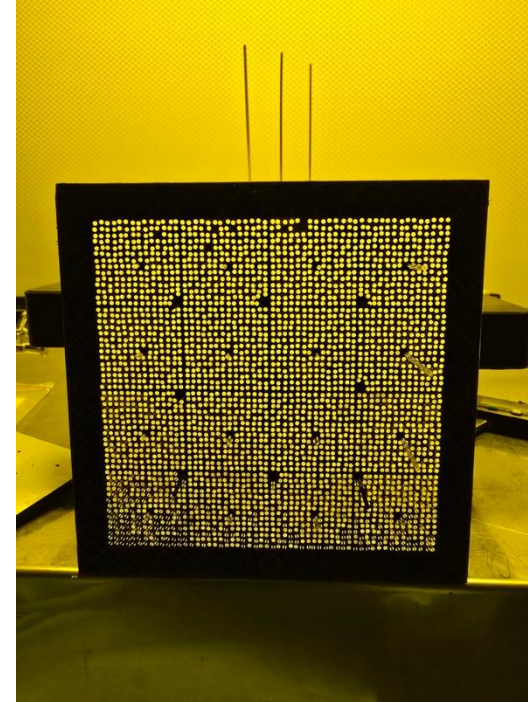


Test beam in May and Sept. 2025 at CERN SPS:

➤ First test of full Run 4 chain with new prototypes:

- Pb absorbers
- 3HF green plastic fibres (round fibres $\varnothing=1.5$ mm)
- Optics assembly with bundlers and long “hollow” light guides
- R9800 PMTs

Test plan with new prototype in Nov. 2025 at DESY and CERN with final assembly strategy



- Cable clipping circuits
- 10 meter signal cables
- Read-out with Run 3 & 4 front-end boards electronics

SpaCal - W Absorber - Crystal Fibres

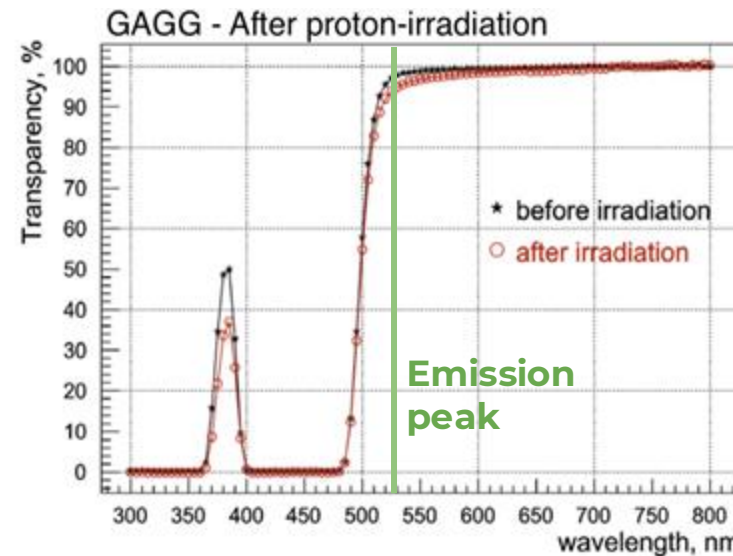
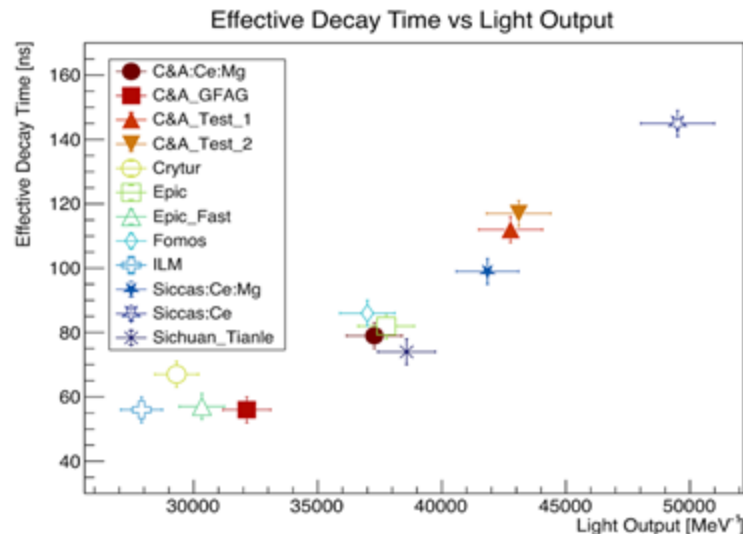
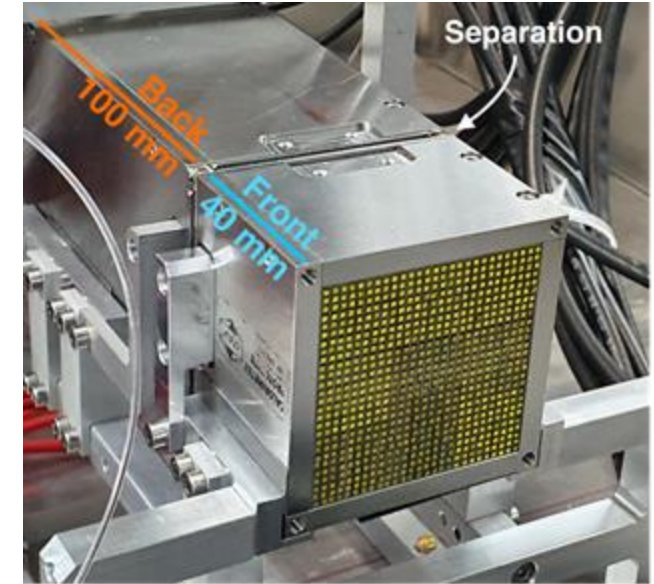
NIM A 1000, 165231 (2021)

NIM A 816 (2016) 176

SPACAL prototype with **W absorber** and **garnet crystals**

➤ Module details:

- Absorber in pure tungsten 19 g/cm³
- 9 cells of $1.5 \times 1.5 \text{ cm}^2$ ($R_M \sim 1.5 \text{ cm}$)
- 4 + 10 cm long ($7 + 18 X_0$)
- Reflective mirror between sections
- Squared garnet crystal fibres ($1 \times 1 \text{ mm}^2$ cross section)

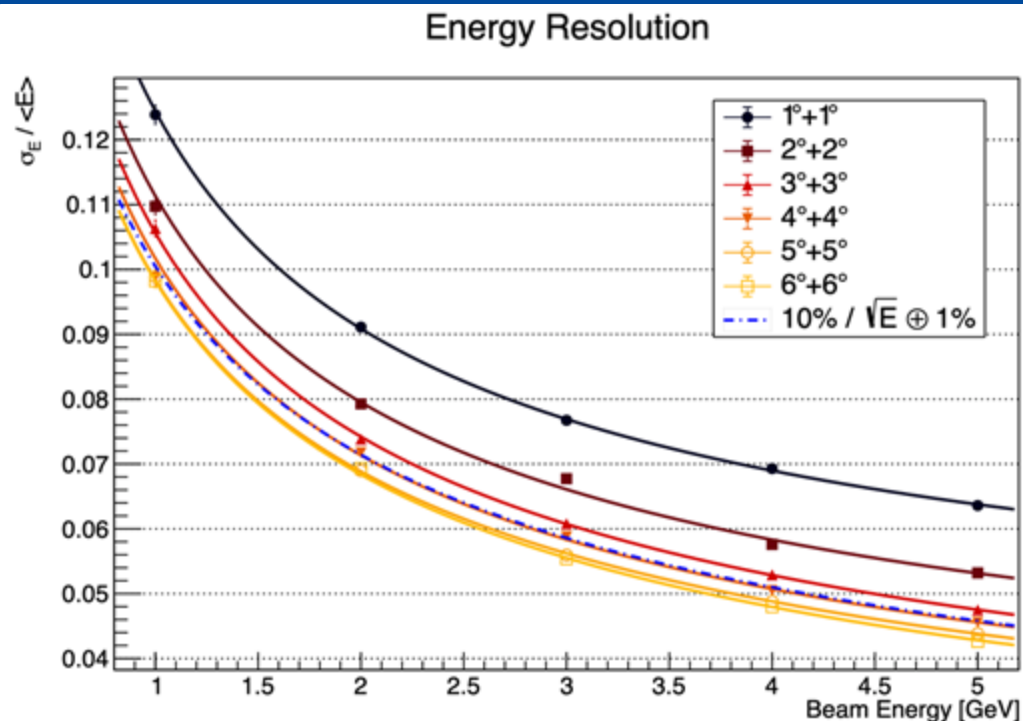


GAGG as scintillating material

- High light output and relatively fast decay time ($\sim 50 \text{ ns}$)
 - Tunable scintillation properties
- Radiation hardness tested up to 1 MGy

SpaCal - W Absorber - Crystal Fibres

NIM A 1045, 167629 (2022)

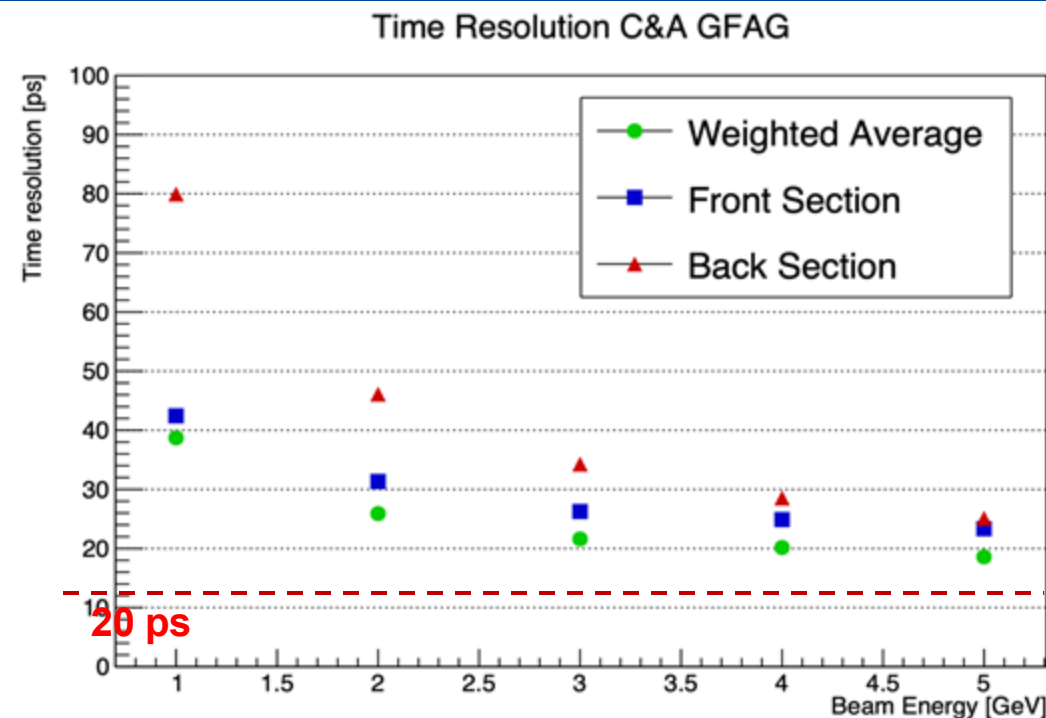


- Resolution improves increasing the incidence angle

- **Energy resolution at 3°+3°:**

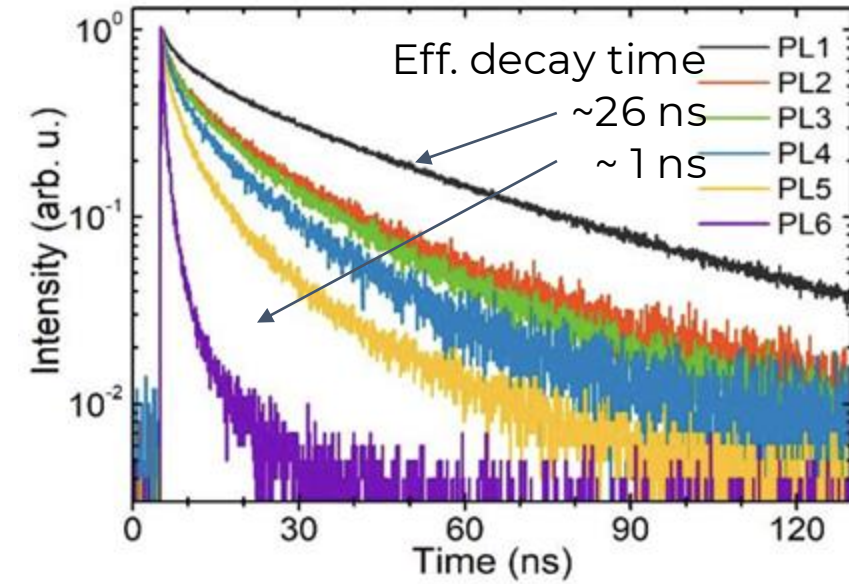
- Sampling term: $10.2 \pm 0.1 \%$
- Constant term: $1 - 2 \%$

Performance in line with targets

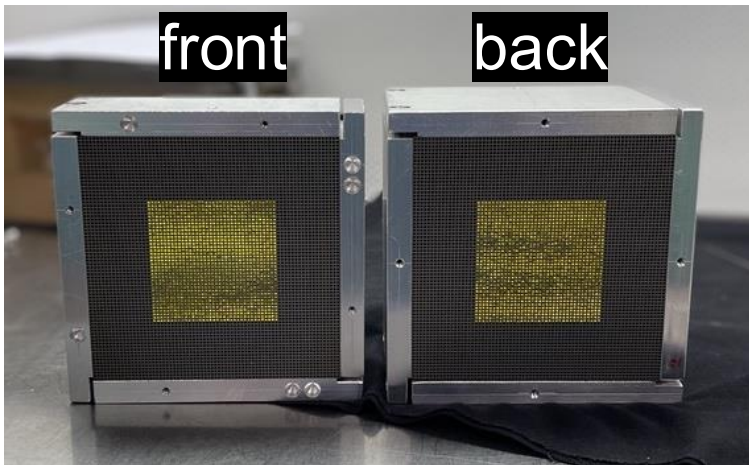


- Time stamps obtained using CFD algorithm
- **Time resolution C&A GAGG at 3°+3°:**
 - Measurement in direct contact with MCD(R7600U-20) PMTs for ultimate performance
 - Double-sided readout
 - $18.5 \pm 0.2 \text{ ps @ 5 GeV}$

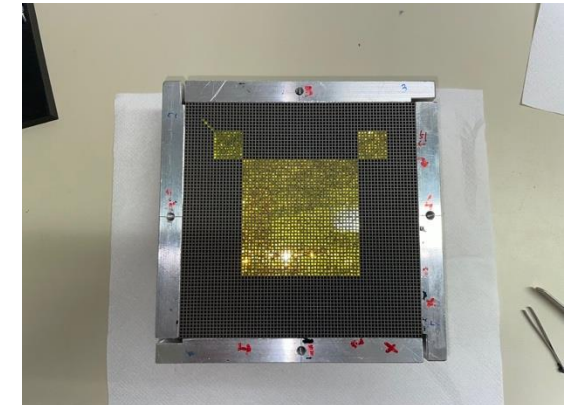
Ongoing R&D: Accelerating Scintillation



Material Advances, 2022, 3, 6842

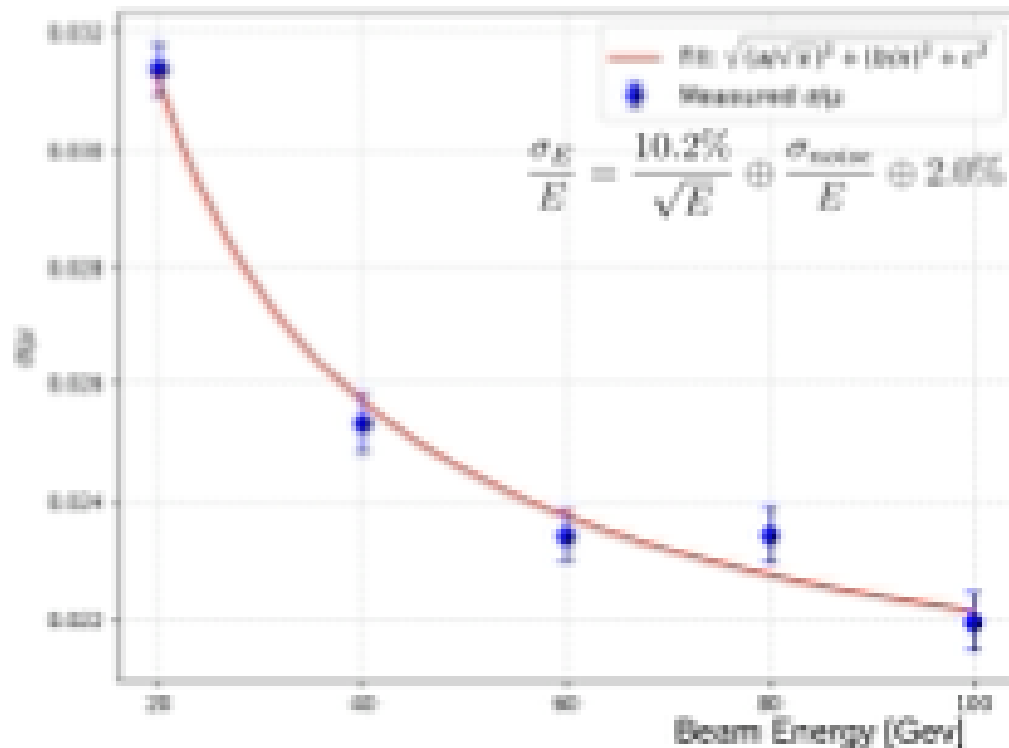


- **The issue:** current commercial GAGG has scintillation decay time > 40 ns
 - Mitigate spill-over effect on time resolution
- **Novel GAGG compositions developed to quench scintillation**
 - Light yield reduced
 - Decay time accelerated
 - Time resolution kept competitive
- **R&D to produce large-size and homogeneous Czochralski ingots**
- **Collaboration with:**
 - SiPAT, China
 - FZU and Crytur, Czech Republic
 - European project TWISMA including CERN, ILM & UCB, and ISMA
- **The Second prototype in June 2024**
 - SiPAT GAGG with decay time ~ 20 ns
 - 3D-printed absorber with LaserAdd, China
- Two more cells filled in 2025 with new GAGG fibers with decay time ~ 10 ns
 - One with GAGG from FZU/Crytur
 - One with GAGG from SiPAT



SpaCal - W Absorber - Crystal Fibres

Energy resolution

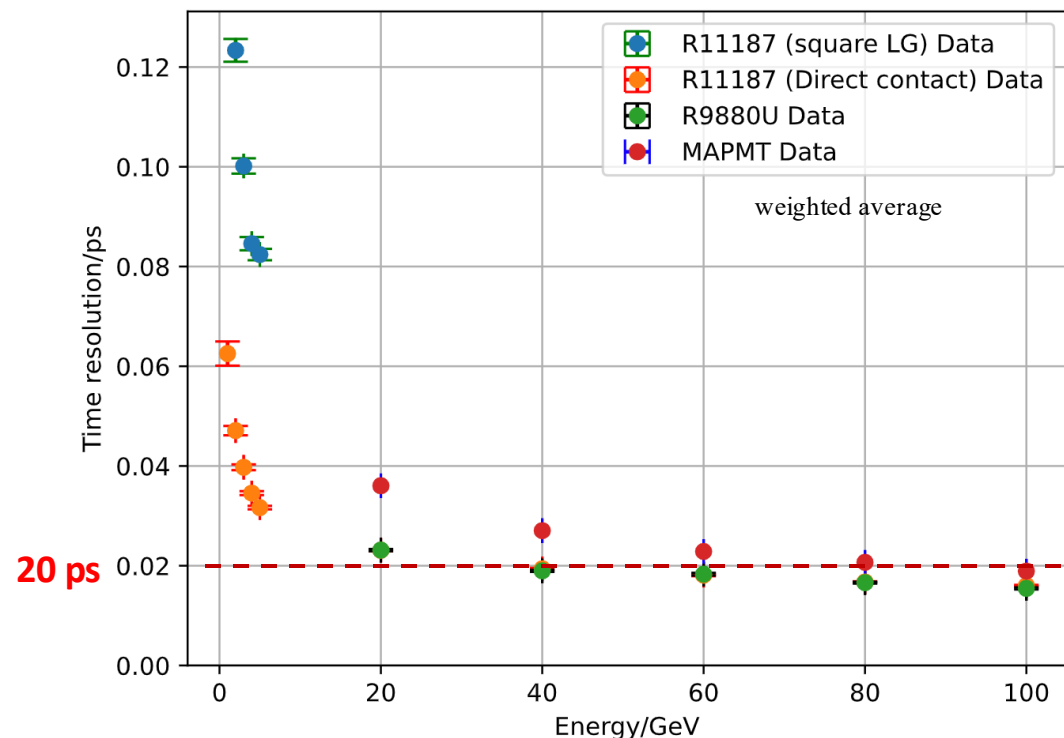


➤ Energy resolution at 3°+3°:

- Sampling term: $10.6 \pm 0.2 \%$
- Constant term: $\sim 2 \%$

**First measurements performed with non-optimal configuration
degradation of energy and time resolution expected**

Time resolution



➤ Time resolution SIPAT GAGG at 3°+3°:

- R11187 (Direct contact) and R9880U have similar performance ($< 20 \text{ ps}$ when $> 20 \text{ GeV}$)
- MAPMT and R11187 (square LG and only front part) much worse in time resolution

➤ Current LHCb Shashlik modules have good time properties

➤ Improvements:

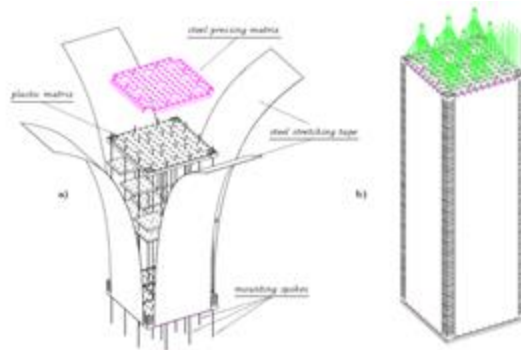
— Replacing WLS fibres (Kuraray)

- Y-11 (7 ns decay time) ← Current LHCb
- YS-2 (3 ns decay time)
- YS-4 (1.1 ns decay time)

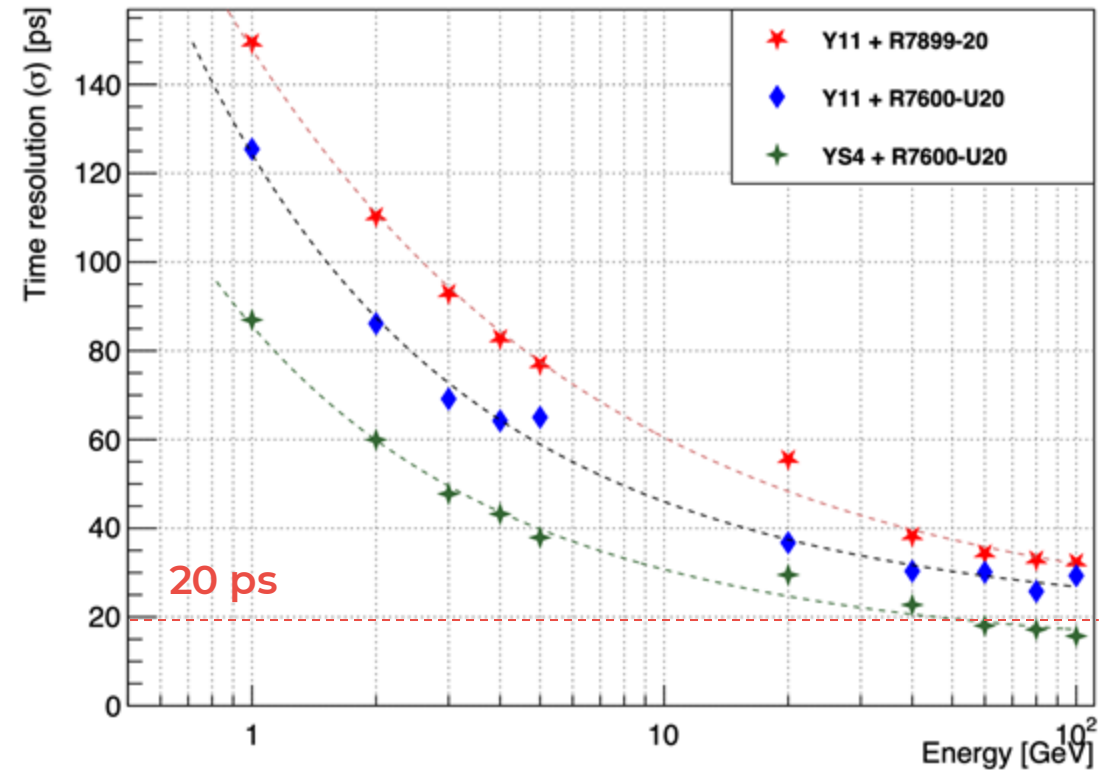
— Double-sided readout

➤ Time resolution at $3^\circ+3^\circ$:

- Current(R7899-20) and faster(R7600-20) PMT
- Time resolution above 40 GeV: better than 20 ps (single-sided readout)



Time resolution - Single-sided readout



Summary and conclusion

The LHCb ECAL needs to be enhanced and upgraded during the LHC LS3 and LS4

- The innermost 176 modules need to be replaced in **LS3** due to radiation damage
 - **SpaCal** with Tungsten/Lead absorber and plastic fibres meets the requirements
- The **Upgrade II in LS4** introduces **picosecond-level timing** and more demanding **radiation hardness requirements**
 - Better than 20 ps achieved with Shashlik and SpaCal at high energy
- **Comprehensive R&D ongoing (also interesting for other future projects)**
 - Test beam measurements with prototypes
 - Detailed Monte Carlo simulations
 - Study of novel absorber production techniques
 - Study of suitable LGs, bundlers, PMTs and development of readout electronics
 - Investigation of new radiation-hard and fast scintillators

Thanks for your attention!



Back up

Updated CERN accelerator schedule

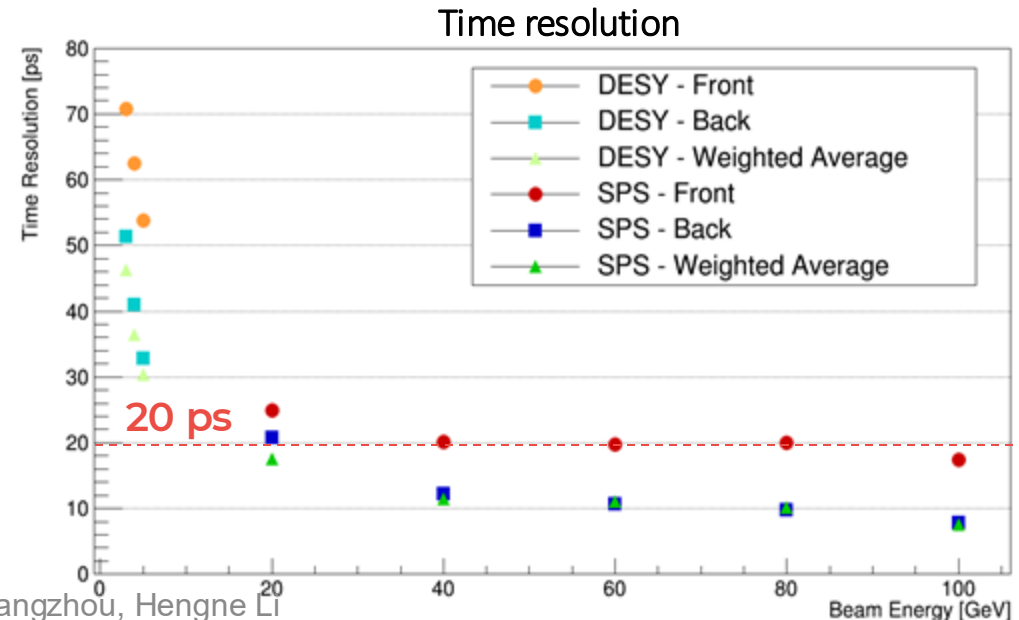
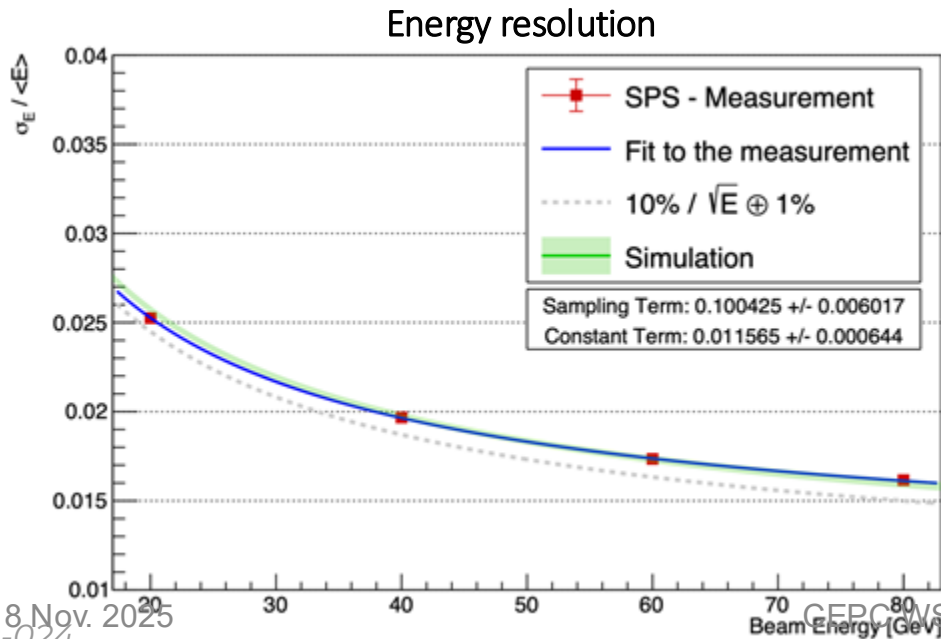
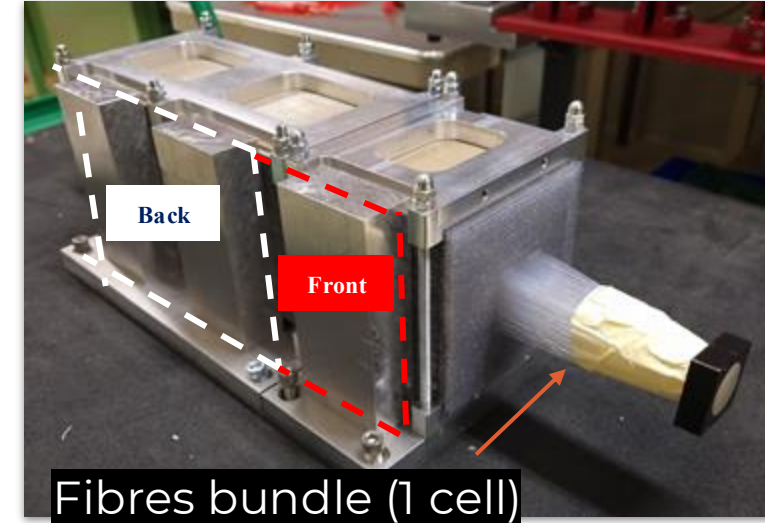
Long Term Schedule for CERN Accelerator complex



- Run 3 extended till end of June 2026
- LHC restart for Run 4 in 2030
- LHC LS4 moved by one year to 2034-35
- LS5 becomes EYTES
- Also impact on SPS test beams!

SpaCal - Pb Absorber - Polystyrene Fibres

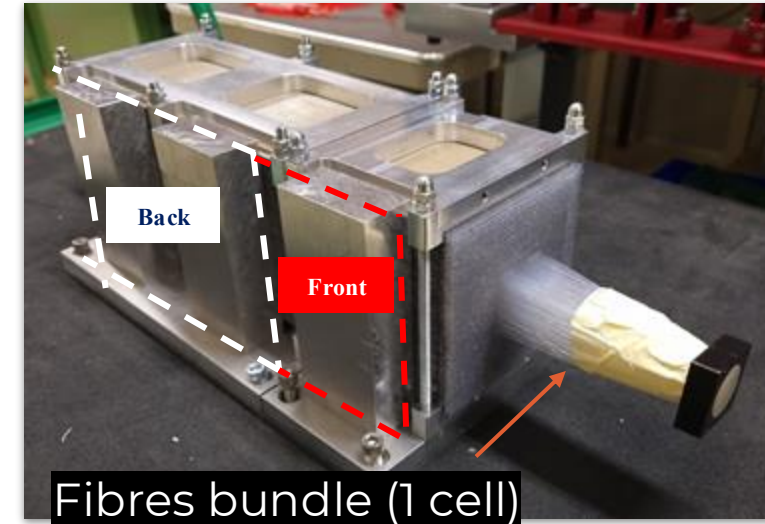
- **Pb absorber** and **polystyrene fibres**:
 - 8 + 21 cm long (7 + 18 X_0)
 - Reflective mirror between sections
 - Kuraray SCSF-78 round fibres $\varnothing = 1.0$ mm



SpaCal - Pb Absorber - Polystyrene Fibres

- **Pb absorber and polystyrene fibres:**
 - 8 + 21 cm long (7 + 18 X_0)
 - Reflective mirror between sections
 - Kuraray SCSF-78 round fibres $\varnothing = 1.0$ mm

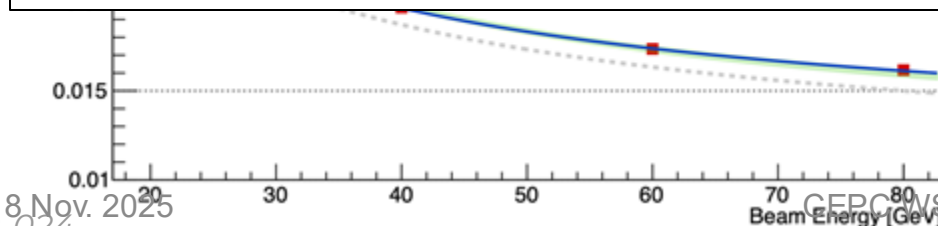
Performance in line with targets



Energy resolution

➤ Energy resolution at $3^\circ+3^\circ$:

- Noise contribution subtracted
- Sampling term: $10.0 \pm 0.6 \%$
- Constant term: $1.16 \pm 0.06 \%$
- **Very good agreement with simulation**



Time resolution

➤ Time resolution at $3^\circ+3^\circ$:

- Measurement in direct contact with fast MCD(R11187) PMTs
- Double-sided readout
- Time resolution above 20 GeV: **better than 20 ps**

