#### On the symmetry breaking patterns in an SU(8) theory

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#### References

#### Recent papers:

- lpha "The global B-L symmetry in the flavor-unified  ${
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- $\beta$  "The Standard Model quark/lepton masses and the Cabibbo-Kobayashi-Maskawa mixing in an  $\mathfrak{su}(8)$  theory", JHEP 12 (2024) 137, 2402.10471, Ning Chen, Ying-nan Mao, Zhaolong Teng.
- $\gamma$  "The gauge coupling evolutions of an  $\mathfrak{su}(8)$  theory with the maximally symmetry breaking pattern", JHEP 10 (2024) 149, 2406.09970, Ning Chen, Zhanpeng Hou, Ying-nan Mao, Zhaolong Teng.
- $\rho$  "Further study of the maximally symmetry breaking patterns in an  $\mathfrak{su}(8)$  theory", Phys. Rev. D 111, 115034, Ning Chen, Zhiyuan Chen, Zhanpeng Hou, Ying-nan Mao, Zhaolong Teng, Bin Wang.
- $\delta$  "The unification in an  $\widehat{\mathfrak{su}}(8)_{k_U=1}$  affine Lie algebra", JHEP 12 (2024) 137, 2411.12979, Ning Chen, Zhanpeng Hou, Zhaolong Teng.

#### Historical reviews

ullet The chiral fermions in the  $\mathfrak{su}(5)$  are decomposed as

$$\overline{\mathbf{5_F}} = \underbrace{(\overline{\mathbf{3}}, \mathbf{1}, +\frac{1}{3})_{\mathbf{F}}}_{d_{R^c}} \oplus \underbrace{(\mathbf{1}, \overline{\mathbf{2}}, -\frac{1}{2})_{\mathbf{F}}}_{\ell_{L}} \text{ and}$$

$$\mathbf{10_F} = \underbrace{(\mathbf{3}, \mathbf{2}, +\frac{1}{6})_{\mathbf{F}}}_{q_{L}} \oplus \underbrace{(\overline{\mathbf{3}}, \mathbf{1}, -\frac{2}{3})_{\mathbf{F}}}_{u_{R^c}} \oplus \underbrace{(\mathbf{1}, \mathbf{1}, +1)_{\mathbf{F}}}_{e_{R^c}}.$$

- The SUSY  $\mathfrak{su}(5)$  theory contains Higgs fields of  $\mathbf{24_H} \oplus \mathbf{5_H} \oplus \overline{\mathbf{5_H}}$ , with the GUT symmetry breaking of  $\mathfrak{su}(5) \xrightarrow{\langle \mathbf{24_H} \rangle} \mathfrak{g}_{\mathrm{SM}}$ .
- Besides of the well-acknowledged challenges within the minimal GUTs, there
  are two longstanding problems within the SM that have never been solved
  with/without the susy extension, which are: (i) the SM flavor puzzle, (ii) the
  PQ quality problem of the QCD axion.

#### Georgi's counting of the SM generations

- To decompose the  $\mathfrak{su}(N)$  irreps into the  $\mathfrak{su}(5)$ , e.g.,  $\mathbf{N_F} = (N-5) \times \mathbf{1_F} \oplus \mathbf{5_F}$ , and other irreps are decomposed by tensor products, ['79, Georgi].
- All fermion irreps in Eq. (16) are decomposed into the  $\mathfrak{su}(5)$  irreps of  $(\mathbf{1_F}, \mathbf{5_F}, \mathbf{10_F}, \overline{\mathbf{10_F}}, \overline{\mathbf{5_F}})$ , and we denote their multiplicities as  $(\nu_{\mathbf{1_F}}, \nu_{\mathbf{5_F}}, \nu_{\mathbf{10_F}}, \nu_{\overline{\mathbf{10_F}}}, \nu_{\overline{\mathbf{5_F}}})$ .
- Their multiplicities should satisfy  $\nu_{\bf 5_F} + \nu_{\bf 10_F} = \nu_{\bf \overline{5_F}} + \nu_{\bf \overline{10_F}}$  from the anomaly-free condition.
- $\bullet$  The total SM fermion generations are determined by the net  $\overline{\bf 5_F}$  's or net  ${\bf 10_F}$  's

$$n_g = \nu_{\overline{\bf 5_F}} - \nu_{\overline{\bf 5_F}} = \nu_{\bf 10_F} - \nu_{\overline{\bf 10_F}}.$$
 (1)

 $\bullet$  The SM  $\overline{\bf 5_F}$  's are from the  $\overline{[N\,,1]}_{\bf F}$  , and the SM  $\bf 10_F$  's are from the  $[N\,,k\geq 2]_{\bf F}.$ 



#### Georgi's counting of the SM generations in GUTs

• The net  $\mathbf{10_F}$ 's from a particular  $\mathfrak{su}(N)$  irrep [2209.11446]

$$\nu_{\mathbf{10_F}}[N,k]_{\mathbf{F}} - \nu_{\overline{\mathbf{10_F}}}[N,k]_{\mathbf{F}} = \frac{(N-2k)(N-5)!}{(k-2)!(N-k-2)!}.$$
 (2)

- The usual rank-2 GG models can only give  $\nu_{\mathbf{10_F}}[N\,,2]_{\mathbf{F}} \nu_{\overline{\mathbf{10_F}}}[N\,,2]_{\mathbf{F}} = 1$ . This means one can only repeat the set of anomaly-free fermion irreps to form multiple generations in rank-2 GG models.
- Alternatively, to embed multiple generations non-trivially in the GUTs, one must consider at least the rank-3 GG models. The leading candidate group must be  $\mathfrak{su}(7)$ , ['79, Frampton], since the  $[6\,,3]_{\mathbf{F}}$  irrep of  $\mathfrak{su}(6)$  is self-conjugate.

#### The $\mathfrak{su}(8)$ theory

ullet The  $\mathfrak{su}(8)$  theory with rank-2 and rank-3 chiral IRAFFSs of

$$\{f_L\}_{\mathfrak{su}(8)}^{n_g=3} = \left[\overline{\mathbf{8_F}}^{\omega} \oplus \mathbf{28_F}\right] \bigoplus \left[\overline{\mathbf{8_F}}^{\dot{\omega}} \oplus \mathbf{56_F}\right], \ \dim_{\mathbf{F}} = 156,$$

$$\Omega = (\omega, \dot{\omega}), \ \omega = (3, \text{IV}, \text{V}, \text{VI}), \ \dot{\omega} = (\dot{1}, \dot{2}, \text{VII}, \text{VIII}, \dot{\text{IX}}).$$
(3)

Georgi's decompositions:

$$\overline{\mathbf{8_F}}^{\Omega} = 3 \times \mathbf{1_F}^{\Omega} \oplus \overline{\mathbf{5_F}}^{\Omega}, 
2\mathbf{8_F} = 3 \times \mathbf{1_F} \oplus 3 \times \mathbf{5_F} \oplus \mathbf{10_F}, 
5\mathbf{6_F} = \mathbf{1_F} \oplus 3 \times \mathbf{5_F} \oplus 3 \times \mathbf{10_F} \oplus \overline{\mathbf{10_F}}.$$
(4)

Six  $(\mathbf{5_F},\overline{\mathbf{5_F}})$  pairs, one  $(\mathbf{10_F},\overline{\mathbf{10_F}})$  pair from the  $\mathbf{56_F}$ , and  $3 \times \left[\overline{\mathbf{5_F}} \oplus \mathbf{10_F}\right]_{\mathrm{SM}}$ .

#### The $\mathfrak{su}(8)$ theory

• The global symmetries of the  $\mathfrak{su}(8)$  theory:

$$\widetilde{\mathcal{G}}_{\text{global}}\left[\mathfrak{su}(8)\right] = \left[\widetilde{\text{SU}}(4)_{\omega} \otimes \widetilde{\text{U}}(1)_{T_{2}} \otimes \widetilde{\text{U}}(1)_{\text{PQ}_{2}}\right]$$

$$\bigotimes \left[\widetilde{\text{SU}}(5)_{\dot{\omega}} \otimes \widetilde{\text{U}}(1)_{T_{3}} \otimes \widetilde{\text{U}}(1)_{\text{PQ}_{3}}\right],$$

$$\left[\mathfrak{su}(8)\right]^{2} \cdot \widetilde{\text{U}}(1)_{T_{2,3}} = 0, \quad \left[\mathfrak{su}(8)\right]^{2} \cdot \widetilde{\text{U}}(1)_{\text{PQ}_{2,3}} \neq 0. \tag{5}$$

The Higgs fields and the Yukawa couplings:

$$-\mathcal{L}_{Y} = Y_{\mathcal{B}} \overline{\mathbf{8}_{\mathbf{F}}}^{\omega} \mathbf{2} \mathbf{8}_{\mathbf{F}} \overline{\mathbf{8}_{\mathbf{H}}}_{,\omega} + Y_{\mathcal{T}} \mathbf{2} \mathbf{8}_{\mathbf{F}} \mathbf{2} \mathbf{8}_{\mathbf{F}} \mathbf{70}_{\mathbf{H}}$$

$$+ Y_{\mathcal{D}} \overline{\mathbf{8}_{\mathbf{F}}}^{\dot{\omega}} \mathbf{56}_{\mathbf{F}} \overline{\mathbf{28}_{\mathbf{H}}}_{,\dot{\omega}} + \frac{c_{4}}{M_{\mathrm{pl}}} \mathbf{56}_{\mathbf{F}} \mathbf{56}_{\mathbf{F}} \overline{\mathbf{28}_{\mathbf{H}}}_{,\dot{\omega}}^{\dagger} \mathbf{63}_{\mathbf{H}} + H.c..$$
 (6)

NB:  ${\bf 56_F 56_F 28_H}=0$  ['08, S. Barr], d=5 operator suppressed by  $1/M_{\rm pl}$  is possible, with  $M_{\rm pl}=(8\pi G_N)^{1/2}=2.4\times 10^{18}\,{\rm GeV}.$ 

• Gravity breaks global symmetries.

#### Global symmetries in the $\mathfrak{su}(8)$ theory

Fermions	$\overline{\mathbf{8_F}}^{\Omega=\omega,\dot{\omega}}$	$28_{ m F}$	$56_{ m F}$	
$\widetilde{\mathrm{U}}(1)_T$	-3t	+2t	+t	
$\widetilde{\mathrm{U}}(1)_{\mathrm{PQ}}$	p	$q_2$	$q_3$	
Higgs	$\overline{\mathbf{8_{H}}}_{,\omega}$	$\overline{f 28_{H}}_{,\dot{\omega}}$	$70_{ m H}$	$63_{\mathrm{H}}$
$\widetilde{\mathrm{U}}(1)_T$	+t	+2t	-4t	0
$\widetilde{\mathrm{U}}(1)_{\mathrm{PQ}}$	$-(p+q_2)$	$-(p+q_3)$	$-2q_2$	0

Table: The  $\widetilde{\mathrm{U}}(1)_T$  and the  $\widetilde{\mathrm{U}}(1)_{\mathrm{PQ}}$  charges,  $p:q_2\neq -3:+2$  and  $p:q_3\neq -3:+1.$ 

• One possible (maximally) symmetry breaking pattern ['74, L.F.Li] of  $\mathfrak{su}(8) \to \mathfrak{g}_{441} \to \mathfrak{g}_{341} \to \mathfrak{g}_{331} \to \mathfrak{g}_{\mathrm{SM}} \to \mathfrak{su}(3)_c \oplus \mathfrak{u}(1)_{\mathrm{EM}}$ .

#### Global symmetries in the $\mathfrak{su}(8)$ theory

ullet The global  $\widetilde{\mathrm{U}}(1)_T$  symmetries at different stages

$$\mathfrak{su}(8) \to \mathfrak{g}_{441} : \mathcal{T}' = \mathcal{T} - 4t\mathcal{X}_0,$$

$$\mathfrak{g}_{441} \to \mathfrak{g}_{341} : \mathcal{T}'' = \mathcal{T}' + 8t\mathcal{X}_1,$$

$$\mathfrak{g}_{341} \to \mathfrak{g}_{331} : \mathcal{T}''' = \mathcal{T}'', \quad \mathfrak{g}_{331} \to \mathfrak{g}_{SM} : \mathcal{B} - \mathcal{L} = \mathcal{T}'''.$$

$$(7)$$

Consistent relations of  $(\mathcal{B}-\mathcal{L})(q_L)=\frac{4}{3}t$ ,  $(\mathcal{B}-\mathcal{L})(\ell_L)=-4t$ , and etc.

Higgs	$\mathfrak{g}_{441}  o \mathfrak{g}_{341}$	$\mathfrak{g}_{341}  o \mathfrak{g}_{331}$	$\mathfrak{g}_{331}  o \mathfrak{g}_{\mathrm{SM}}$	$\mathfrak{g}_{\mathrm{SM}}  o$
				$\mathfrak{su}(3)_c \oplus \mathfrak{u}(1)_{\mathrm{EM}}$
$\overline{\mathbf{8_{H}}}_{,\omega}$	✓	✓	✓	<b>√</b>
$rac{\mathbf{8_{H}}_{,\omega}}{\mathbf{28_{H}}_{,\dot{\omega}}}$	×	✓	✓	✓
$70_{ m H}$	X	X	X	✓

#### The $\mathfrak{su}(8)$ Higgs fields

ullet Decompositions of  $\overline{f 8_H}_{,\omega}/\overline{f 28_H}_{,\dot\omega}$ 

$$\overline{\mathbf{8}_{\mathbf{H}}}_{,\omega} \supset (\overline{\mathbf{4}}, \mathbf{1}, +\frac{1}{4})_{\mathbf{H},\omega} \oplus (\mathbf{1}, \overline{\mathbf{4}}, -\frac{1}{4})_{\mathbf{H},\omega} \supset (\mathbf{1}, \overline{\mathbf{3}}, -\frac{1}{3})_{\mathbf{H},\omega},$$

$$\overline{\mathbf{2}_{\mathbf{8}_{\mathbf{H}}}}_{,\dot{\omega}} \supset (\mathbf{1}, \mathbf{6}, -\frac{1}{2})_{\mathbf{H},\dot{\omega}} \oplus (\mathbf{1}, \overline{\mathbf{4}}, -\frac{1}{4})_{\mathbf{H},\dot{\omega}}$$

$$\supset \left[ (\mathbf{1}, \overline{\mathbf{3}}, -\frac{1}{3})'_{\mathbf{H},\dot{\omega}} \oplus (\mathbf{1}, \mathbf{3}, -\frac{2}{3})_{\mathbf{H},\dot{\omega}} \right] \oplus (\mathbf{1}, \overline{\mathbf{3}}, -\frac{1}{3})_{\mathbf{H},\dot{\omega}}. \tag{8}$$

• The global  $\widetilde{\mathrm{U}}(1)_{B-L}$  according to Eq. (7)

$$70_{\mathbf{H}} \supset \underbrace{(\mathbf{4}, \overline{\mathbf{4}}, +\frac{1}{2})_{\mathbf{H}} \oplus (\overline{\mathbf{4}}, \mathbf{4}, -\frac{1}{2})_{\mathbf{H}}}_{\mathcal{B}-\mathcal{L}=0} \dots$$

$$\supset \underbrace{(\mathbf{1}, \overline{\mathbf{2}}, +\frac{1}{2})_{\mathbf{H}}^{"'}}_{\mathcal{B}-\mathcal{L}=-8t} \oplus \underbrace{(\mathbf{1}, \mathbf{2}, -\frac{1}{2})_{\mathbf{H}}^{"'}}_{\mathcal{B}-\mathcal{L}=-8t}. \tag{9}$$

Conjecture: the  $(1, \overline{2}, +\frac{1}{2})_{\mathbf{H}}^{""} \subset \mathbf{70_H}$  is the only SM Higgs doublet.

#### Top quark mass in the $\mathfrak{su}(8)$ theory

The natural top quark mass from the tree level

$$Y_{\mathcal{T}}\mathbf{28_{F}}\mathbf{28_{F}}\mathbf{70_{H}} \supset Y_{\mathcal{T}}(\mathbf{6}, \mathbf{1}, -\frac{1}{2})_{\mathbf{F}} \otimes (\mathbf{4}, \mathbf{4}, 0)_{\mathbf{F}} \otimes (\mathbf{4}, \overline{\mathbf{4}}, +\frac{1}{2})_{\mathbf{H}}$$

$$\supset ... \supset Y_{\mathcal{T}}(\overline{\mathbf{3}}, \mathbf{1}, -\frac{2}{3})_{\mathbf{F}} \otimes (\mathbf{3}, \mathbf{2}, +\frac{1}{6})_{\mathbf{F}} \otimes (\mathbf{1}, \overline{\mathbf{2}}, +\frac{1}{2})_{\mathbf{H}}^{"'}$$

$$\Rightarrow \frac{1}{\sqrt{2}}Y_{\mathcal{T}}t_{L}t_{R}^{c}v_{\mathrm{EW}}.$$
(10)

- With  $(\mathbf{3},\mathbf{2},+\frac{1}{6})_{\mathbf{F}}\equiv (t_L,b_L)^T$  and  $(\overline{\mathbf{3}},\mathbf{1},-\frac{2}{3})_{\mathbf{F}}\equiv t_R{}^c$  coming from the  ${\bf 28_F}$ , it is straightforward to infer that  $({\bf 1}\,,{\bf 1}\,,+1)_{\bf F} \equiv \tau_R{}^c$  also lives in the  $28_{\rm F}$ . The third-generational SM  $10_{\rm F}$  reside in the  $28_{\rm F}$ , while the first- and second-generational SM  $10_{\rm F}$ 's must reside in the  $56_{\rm F}$ .
- Top quark mass conjecture: a rank-2 chiral IRAFFS is necessary so that only the top quark obtains mass with the natural Yukawa coupling at the EW scale. The  $\mathfrak{su}(9)$  with  $9 \times \overline{9_F} \oplus 84_F$  is ruled out [2307.07921].

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# The $\mathfrak{su}(8)$ fermions

SU(8)	$\mathcal{G}_{441}$	$\mathcal{G}_{341}$	$\mathcal{G}_{331}$	$\mathcal{G}_{\mathrm{SM}}$
$8_F^{\Omega}$	$(\overline{\bf 4},{f 1},+{1\over4})^{\Omega}_{f F}$	$(\overline{\bf 3},{f 1},+{1\over3})^{\Omega}_{f F}$	$(\overline{\bf 3},{f 1},+{1\over3})^{\Omega}_{f F}$	$(\overline{\bf 3},{\bf 1},+\frac{1}{3})^{\Omega}_{\bf F}:{\cal D}^{\Omega^{c}}_{R}$
		$({\bf 1},{\bf 1},0)^{\Omega}_{\bf F}$	$({\bf 1},{\bf 1},0)^{\Omega}_{\bf F}$	$({\bf 1},{\bf 1},0)_{\bf F}^{\Omega}: \check{N}_{L}^{\Omega}$
	$(1,\overline{4},-rac{1}{4})^{\Omega}_{\mathbf{F}}$	$({f 1},{f ar 4},-{1\over 4})^\Omega_{f F}$	$({f 1},{f \overline 3},-{1\over 3})^\Omega_{f F}$	$(1, \overline{2}, -\frac{1}{2})_{\mathbf{F}}^{\Omega} : \mathcal{L}_{L}^{\Omega} = (\mathcal{E}_{L}^{\Omega}, -\mathcal{N}_{L}^{\Omega})^{T}$
				$({\bf 1},{\bf 1},0)_{\bf F}^{\Omega'}: \check{N}_L^{\Omega'}$
			$({f 1},{f 1},0)^{\Omega''}_{f F}$	$({\bf 1},{\bf 1},0)^{\Omega''}_{\bf F}:\tilde{\mathcal{N}}^{\Omega''}_{L}$

SU(8)	$G_{441}$	$G_{341}$	$\mathcal{G}_{331}$	$\mathcal{G}_{\mathrm{SM}}$
$28_{\mathrm{F}}$	$(6,1,-\frac{1}{2})_{\mathbf{F}}$	$(3,1,-\frac{1}{3})_{F}$	$(3,1,-\frac{1}{3})_{F}$	$(3, 1, -\frac{1}{3})_F : \mathfrak{D}_L$
		$(\overline{\bf 3},{\bf 1},-{\textstyle\frac{2}{3}})_{\bf F}$	$(\overline{\bf 3},{f 1},-{rac{2}{3}})_{f F}$	$(\overline{3}, 1, -\frac{2}{3})_F : t_R^c$
	$({f 1},{f 6},+{rac{1}{2}})_{f F}$	$({f 1},{f 6},+{1\over 2})_{f F}$	$({f 1},{f 3},+{1\over 3})_{f F}$	$(1, 2, +\frac{1}{2})_F : (e_R^c, n_R^c)^T$
				$(1, 1, 0)_F : \check{\mathfrak{n}}_R^c$
			$({f 1},{f \overline 3},+{rac{2}{3}})_{f F}$	$(1, \overline{2}, +\frac{1}{2})'_{\mathbf{F}} : (\mathfrak{n}'_{R}^{c}, -\mathfrak{e}'_{R}^{c})^{T}$
				$(1, 1, +1)_F : \tau_R^c$
	$({\bf 4},{\bf 4},0)_{\bf F}$	$(3,4,-\frac{1}{12})_{\mathbf{F}}$	$({\bf 3},{\bf 3},0)_{\bf F}$	$(3, 2, +\frac{1}{6})_{\mathbf{F}} : (t_L, b_L)^T$
				$(3, 1, -\frac{1}{3})'_{F} : \mathfrak{D}'_{L}$
			$(3,1,-\frac{1}{3})_{F}''$	$(3, 1, -\frac{1}{3})_{\mathbf{F}}'' : \mathfrak{D}_{L}''$
		$(1,4,+\frac{1}{4})_F$	$(1,3,+\frac{1}{3})_{\mathbf{F}}^{"}$	$(1, 2, +\frac{1}{2})_{F}^{"}: (\mathfrak{e}_{R}^{"c}, \mathfrak{n}_{R}^{"c})^{T}$
				$(1, 1, 0)'_{\mathbf{F}} : \tilde{\mathfrak{n}}_{R}^{\prime c}$
			$({f 1},{f 1},0)_{f F}^{\prime\prime}$	(1,1,0) <sub>F</sub> : ř <sub>R</sub> : ř <sub>R</sub>

#### The $\mathfrak{su}(8)$ fermions

SU(8)	$G_{441}$	$\mathcal{G}_{341}$	$\mathcal{G}_{331}$	$\mathcal{G}_{\mathrm{SM}}$
$56_{ m F}$	$({f 1},{f \overline{4}},+{3\over4})_{f F}$	$({f 1},{f \overline{4}},+{3\over4})_{f F}$	$(1, \overline{3}, +\frac{2}{3})'_{\mathbf{F}}$	$(1, \overline{2}, +\frac{1}{2})_{\mathbf{F}}^{\prime\prime\prime} : (\mathfrak{n}_{R}^{\prime\prime\prime c}, -\mathfrak{e}_{R}^{\prime\prime\prime c})^{T}$
				$(1, 1, +1)'_{\mathbf{F}} : \mu_R^c$
			$({f 1},{f 1},+1)_{f F}''$	(1,1,+1)" : E <sub>R</sub>
	$(\overline{4}, 1, -\frac{3}{4})_F$	$(\overline{\bf 3},{\bf 1},-{\textstyle{2\over3}})_{\bf F}'$	$(\overline{\bf 3},{\bf 1},-{\textstyle{2\over3}})_{\bf F}'$	$(\overline{3}, 1, -\frac{2}{3})'_{F} : u_{R}^{c}$
		$({f 1},{f 1},-1)_{f F}$	$({f 1},{f 1},-1)_{f F}$	$(1, 1, -1)_{\mathbf{F}} : \mathfrak{E}_{L}$
	$(4,6,+\frac{1}{4})_{\mathbf{F}}$	$(3,6,+\frac{1}{6})_{\mathbf{F}}$	$({\bf 3},{\bf 3},0)'_{\bf F}$	$(3, 2, +\frac{1}{6})'_{\mathbf{F}} : (c_L, s_L)^T$
				$(3,1,-\frac{1}{3})_{\mathbf{F}}^{\prime\prime\prime}: \mathfrak{D}_{L}^{\prime\prime\prime}$
			$({f 3},{f \overline 3},+{1\over 3})_{f F}$	$(3, \overline{2}, +\frac{1}{6})_{\mathbf{F}}'' : (\mathfrak{d}_L, -\mathfrak{u}_L)^T$
				$(3, 1, +\frac{2}{3})_F : \mathfrak{U}_L$
		$({f 1},{f 6},+{1\over 2})_{f F}'$	$({f 1},{f 3},+{1\over 3})_{f F}'$	$(1, 2, +\frac{1}{2})_{\mathbf{F}}^{''''} : (\mathfrak{e}_{R}^{''''^c}, \mathfrak{n}_{R}^{''''^c})^T$
				$(1, 1, 0)_{\mathbf{F}}^{m} : \check{\mathfrak{n}}_{R}^{mc}$
			$({f 1},{f \overline 3},+{2\over3})_{f F}''$	$(1, \overline{2}, +\frac{1}{2})_{\mathbf{F}}^{"""} : (\mathfrak{n}_{R}^{"""^c}, -\mathfrak{e}_{R}^{"""^c})^T$
				$(1, 1, +1)_{\mathbf{F}}^{""} : e_R^c$
	$(6,4,-\frac{1}{4})_{\mathbf{F}}$	$(3,4,-\frac{1}{12})_{\mathbf{F}}'$	$({\bf 3},{\bf 3},0)_{\bf F}''$	$(3, 2, +\frac{1}{6})_{\mathbf{F}}^{""} : (u_L, d_L)^T$
				$(3,1,-\frac{1}{3})_{\mathbf{F}}^{\prime\prime\prime\prime}:\mathfrak{D}_{L}^{\prime\prime\prime\prime}$
			$(3,1,-\frac{1}{3})_{\mathbf{F}}^{'''''}$	$(3,1,-\frac{1}{3})_{\mathbf{F}}^{\prime\prime\prime\prime\prime}:\mathfrak{D}_{L}^{\prime\prime\prime\prime\prime\prime}$
		$(\bar{3}, 4, -\frac{5}{12})_F$	$(\overline{\bf 3},{\bf 3},-{1\over 3})_{\bf F}$	$(\overline{3}, 2, -\frac{1}{6})_F : (\mathfrak{d}_R^c, \mathfrak{u}_R^c)^T$
				$(\overline{3}, 1, -\frac{2}{3})_{\mathbf{F}}'' : \mathfrak{U}_{R}^{c}$
			$(\overline{\bf 3},{\bf 1},-{\textstyle{2\over3}})_{\bf F}^{\prime\prime\prime}$	$(\overline{3}, 1, -\frac{2}{3})_{F}^{"'} : c_{R}^{c}$

• To justify the flavor identifications by mass hierarchies and the mixing pattern.

## Symmetry breaking pattern in the $\mathfrak{su}(8)$ theory

- The vectorlike fermions of six  $({\bf 5_F}, \overline{\bf 5_F})$ -pairs and one  $({\bf 10_F}, \overline{\bf 10_F})$ -pair become massive through Eq. (6) as follows
  - $0: \mathfrak{su}(8) \xrightarrow{\mathbf{63_H}} \mathfrak{g}_{441}$ , all fermions remain massless.
  - $1\,:\,\mathfrak{g}_{441}\xrightarrow{\overline{\bf 8_H}_{\,,\rm IV}}\mathfrak{g}_{341}\text{, one }({\bf 5_F}\,,\overline{\bf 5_F})\text{-pair}.$
  - $2\,:\,\mathfrak{g}_{341}\xrightarrow{\overline{\bf 8_{H}}_{,1},\overline{\bf 28_{H}}_{,1},\sqrt{iI}}\mathfrak{g}_{331}\text{, two }(\bf 5_{F}\,,\overline{\bf 5_{F}})\text{-pairs and one }(\bf 10_{F}\,,\overline{\bf 10_{F}})\text{-pair}.$
  - $3\,:\,\mathfrak{g}_{331}\xrightarrow{\overline{\mathbf{8_{H}}}_{,3,\mathrm{VI}},\overline{\mathbf{28_{H}}}_{,2,\mathrm{IIX},\mathrm{IX}}}\mathfrak{g}_{\mathrm{SM}}\text{, three }(\mathbf{5_{F}},\overline{\mathbf{5_{F}}})\text{-pairs}.$

Each can be precisely counted by anomaly-free conditions.

• 23 out of 27 left-handed sterile neutrinos remain massless by the 't Hooft anomaly matching of  $[\widetilde{\mathrm{U}}(1)_T]^3 = \ldots = [\widetilde{\mathrm{U}}(1)_{B-L}]^3$ .

#### Vectorlike fermions in the $\mathfrak{su}(8)$ theory

stages	$Q_e = -\frac{1}{3}$	$Q_e = +\frac{2}{3}$	$Q_e = -1$	$Q_e = 0$
$v_{441}$	D	-	$(\mathfrak{e}'',\mathfrak{n}'')$	$\{\check{\mathfrak{n}}',\check{\mathfrak{n}}''\}$
$\{\Omega\}$	IV		IV	$\{IV',IV''\}$
$v_{341}$	$\mathfrak{d}$ , $\{\mathfrak{D}''$ , $\mathfrak{D}'''''\}$	u,U	$\mathfrak{E}$ , $(\mathfrak{e}$ , $\mathfrak{n})$ , $(\mathfrak{e}''''$ , $\mathfrak{n}'''')$	$\{\check{\mathfrak{n}},\check{\mathfrak{n}}'''\}$
$\{\Omega\}$	$\{\mathrm{V},\mathrm{VII}\}$		$\{\mathrm{V},\mathrm{VII}\}$	$\{V', VII'\}$
$v_{331}$	$\{\mathfrak{D}',\mathfrak{D}''',\mathfrak{D}''''\}$	-	$(\mathfrak{e}',\mathfrak{n}'),(\mathfrak{e}''',\mathfrak{n}'''),(\mathfrak{e}'''',\mathfrak{n}'''')$	-
$\{\Omega\}$	$\{VI, I\dot{I}X, \dot{I}X\}$		$\{VI, I\dot{I}\dot{X}, \dot{I}\dot{X}\}$	

Table: The vectorlike fermions at different intermediate symmetry breaking scales in the  $\mathfrak{su}(8)$  theory.

- To generate other lighter SM fermion masses: the gravitational effects through d=5 operators, which break the global symmetries in Eq. (5) explicitly.
- The direct Yukawa couplings of  $\mathcal{O}_{\mathcal{F}}^{d=5}$ :

$$c_{4}\mathcal{O}_{\mathcal{F}}^{(4,1)} \equiv c_{4}\mathbf{56_{F}}\mathbf{56_{F}} \cdot \overline{\mathbf{28_{H}}}_{,\dot{\omega}} \cdot \mathbf{70_{H}} \Rightarrow c_{4}\dot{\zeta}_{2}(c_{L}u_{R}{}^{c} + \nu_{L}c_{R}{}^{e})v_{EW},$$

$$c_{5}\mathcal{O}_{\mathcal{F}}^{(5,1)} \equiv c_{5}\mathbf{28_{F}}\mathbf{56_{F}} \cdot \overline{\mathbf{8_{H}}}_{,\omega} \cdot \mathbf{70_{H}}$$

$$\Rightarrow c_{5}\left[\zeta_{1}(u_{L}t_{R}{}^{c} + t_{L}u_{R}{}^{c}) + \zeta_{2}(c_{L}t_{R}{}^{c} + t_{L}c_{R}{}^{c})\right]v_{EW}.$$
(11)

 $\bullet$  All  $(u\,,c\,,t)$  obtain hierarchical masses, while all  $(d^i\,,\ell^i)$  are massless.

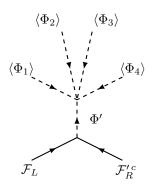


Figure: The indirect Yukawa couplings.

 $(d^i\,,\ell^i)$  obtain masses through the EWSB components in renormalizable Yukawa couplings of  $\overline{\bf 8_F}^\omega {\bf 28_F} \overline{\bf 8_H}_{,\omega}$  and  $\overline{\bf 8_F}^{\dot\omega} {\bf 56_F} \overline{\bf 28_H}_{,\dot\omega}$  with the d=5 Higgs mixing operators.

• There are two indirect Yukawa couplings with the irreducible Higgs mixing operators of  $\mathcal{O}_{\mathcal{H}}^{d=5}$ :

$$\mathcal{O}_{\mathscr{A}}^{d=5} \equiv \epsilon_{\omega_{1}\omega_{2}\omega_{3}\omega_{4}} \overline{\mathbf{8}_{\mathbf{H}}}_{,\omega_{1}}^{\dagger} \overline{\mathbf{8}_{\mathbf{H}}}_{,\omega_{2}}^{\dagger} \overline{\mathbf{8}_{\mathbf{H}}}_{,\omega_{3}}^{\dagger} \overline{\mathbf{8}_{\mathbf{H}}}_{,\omega_{4}}^{\dagger} \mathbf{70_{\mathbf{H}}}^{\dagger},$$

$$\mathcal{P}\mathcal{Q} = 2(2p + 3q_{2}) \neq 0,$$

$$\mathcal{O}_{\mathscr{B}}^{d=5} \supset (\overline{\mathbf{28}_{\mathbf{H}}}_{,i}^{\dagger} \overline{\mathbf{28}_{\mathbf{H}}}_{,VII}) \cdot \overline{\mathbf{28}_{\mathbf{H}}}_{,IIX}^{\dagger} \overline{\mathbf{28}_{\mathbf{H}}}_{,II}^{\dagger} \mathbf{70_{\mathbf{H}}}^{\dagger},$$

$$(\overline{\mathbf{28}_{\mathbf{H}}}_{,i}^{\dagger} \overline{\mathbf{28}_{\mathbf{H}}}_{,VII}) \cdot \overline{\mathbf{28}_{\mathbf{H}}}_{,IX}^{\dagger} \overline{\mathbf{28}_{\mathbf{H}}}_{,2}^{\dagger} \mathbf{70_{\mathbf{H}}}^{\dagger},$$

$$\mathcal{P}\mathcal{Q} = 2(p + q_{2} + q_{3}).$$

$$(12a)$$

- ullet Each operator of  $\mathcal{O}_{\mathcal{H}}^{d=5}$ 
  - 1 breaks the global symmetries explicitly;
  - 2 can not be further partitioned into subset of renormalizable operators, among which any of them can be allowed by both the gauge and the global symmetries. This relies on the VEV assignments in Eqs. (8) and (9).

ullet The  $(u\,,c\,,t)$  masses

$$\mathcal{M}_{u} = \frac{v_{\text{EW}}}{\sqrt{2}} \begin{pmatrix} 0 & 0 & c_{5}\zeta_{1}/\sqrt{2} \\ c_{4}\dot{\zeta}_{2}/\sqrt{2} & 0 & c_{5}\zeta_{2}/\sqrt{2} \\ c_{5}\zeta_{1}/\sqrt{2} & c_{5}\zeta_{2}/\sqrt{2} & Y_{\mathcal{T}} \end{pmatrix} . \tag{13}$$

• The (d, s, b) masses

$$\mathcal{M}_{d} \approx \frac{v_{\text{EW}}}{4} \begin{pmatrix} Y_{\mathcal{D}} d_{\mathscr{B}} \dot{\zeta}_{3}^{'} & Y_{\mathcal{D}} d_{\mathscr{B}} \Delta_{2}^{'} \dot{\zeta}_{3}^{'} & 0 \\ Y_{\mathcal{D}} d_{\mathscr{B}} \Delta_{1}^{'} \dot{\zeta}_{3} & Y_{\mathcal{D}} d_{\mathscr{B}} \zeta_{23}^{-2} \dot{\zeta}_{3} & 0 \\ 0 & 0 & Y_{\mathcal{B}} d_{\mathscr{A}} \zeta_{23}^{-1} \zeta_{1} \end{pmatrix}. \tag{14}$$

The charged lepton masses are  $\mathcal{M}_{\ell} = (\mathcal{M}_d)^T$ .

 $\bullet$  Natural fermion mass textures by following the gravity-induced d=5 operators.



## SM fermion masses in the $\mathfrak{su}(8)$ theory: benchmark

$=$ $\zeta_1$	$\zeta_2$	$\zeta_3$	$Y_{\mathcal{D}}$	$Y_{\mathcal{B}}$	$Y_{\mathcal{T}}$
$6.0 \times 10^{-2}$	$2.0\times10^{-3}$	$2.0 \times 10^{-5}$	0.5	0.5	0.8
$\lambda$	$c_4$	$c_5$	$d_{\mathscr{A}}$	$d_{\mathscr{B}}$	
0.22	0.2	1.0	0.01	0.01	
$\overline{m_u}$	$m_c$	$m_t$		$m_s = m_\mu$	$m_b = m_{\tau}$
$1.6 \times 10^{-3}$	0.6	139.2	$0.5 \times 10^{-3}$	$6.4 \times 10^{-2}$	1.5
$ V_{ud} $	$ V_{us} $	$ V_{ub} $			
0.98	0.22	$3.0 \times 10^{-3}$			
$ V_{cd} $	$ V_{cs} $	$ V_{cb} $			
0.22	0.98	$7.5 \times 10^{-2}$			
$ V_{td} $	$ V_{ts} $	$ V_{tb} $			
0.019	$7.5\times10^{-2}$	1			

Table: The parameters of the  $\mathfrak{su}(8)$  benchmark point and the predicted SM quark/lepton masses (in unit of  $\mathrm{GeV}$ ) as well as the CKM mixings.

## The RGE of the $\mathcal{N}=1$ $\widehat{\mathfrak{su}}(8)_{k_U=1}$ theory

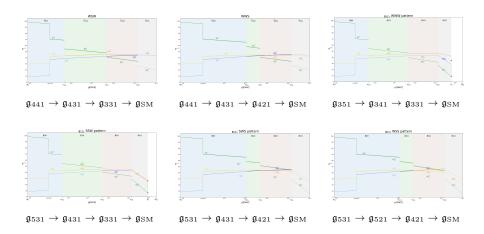


• In the  $\widehat{\mathfrak{su}}(8)_{k_U=1}$  theory [2411.12979], we find the conformal embedding of  $\widehat{\mathfrak{su}}(4)_{k_s=1} \oplus \widehat{\mathfrak{su}}(4)_{k_W=1} \oplus \widehat{\mathfrak{u}}(1)_{k_1=1/4}$ . The gauge coupling unification is achieved through the relation of

$$g_{4s}^2 = g_{4W}^2 = \frac{1}{4}g_{X_0}^2. {15}$$

Changchun, 2025.07.17

## The RGE of the $\mathcal{N}=1$ $\widehat{\mathfrak{su}}(8)_{k_U=1}$ theory



#### Summary

- We propose an su(8) theory to address the SM flavor puzzle. Different generations transform differently in the UV-complete theory and their repetitive structure only emerge in the IR, which lead to the flavor non-universality of SM quarks/leptons.
- The symmetry-breaking pattern of the  $\mathfrak{su}(8)$  theory is described, and all light SM fermion masses besides of the top quark are due to the inevitable gravitational effects that break the emergent global symmetries explicitly.
- Crucial assumptions: (i) the VEV assignments of three intermediate symmetry-breaking scales in Eq. (8) and (9), (ii) the SM flavor IDs in the  ${\bf 28_F}$  and  ${\bf 56_F}$ , and (iii) the d=5 operators of direct and indirect Yukawa couplings containing the SM Higgs doublet.
- Main results: all SM quark/lepton masses, as well as the CKM mixing pattern can be quantitatively recovered with  $\mathcal{O}(0.1) \mathcal{O}(1)$  direct Yukawa couplings and  $\mathcal{O}(0.01)$  Higgs mixing coefficients.

# Thanks!

#### **Appendix**

- The main conjecture ['79, Georgi, '80, Nanopolous]: no three repetitive generations in the UV (GUT), but they emerge in the IR (SM).
- This was first considered by ['79, Georgi] based on a unified Lie algebra of  $\mathfrak{su}(N)$ , with the anti-symmetric chiral fermions of

$$\{f_L\}_{SU(N)} = \sum_k n_k [N, k]_{\mathbf{F}}, n_k \in \mathbb{Z}.$$
 (16)

No exotic fermions in the spectrum with the  $[N\,,k]_{\mathbf{F}}.$ 

• The anomaly-free condition can be expressed in terms of a Diophantine equation

$$\sum_{k} n_k \operatorname{Anom}([N, k]_{\mathbf{F}}) = 0, \qquad (17)$$

Anom(
$$[N,k]_{\mathbf{F}}$$
) =  $\frac{(N-2k)(N-3)!}{(N-k-1)!(k-1)!}$ . (18)

#### **Appendix**

#### The VEV assignments

$$\mathfrak{g}_{441} \to \mathfrak{g}_{341} : \langle (\overline{\mathbf{4}}, \mathbf{1}, +\frac{1}{4})_{\mathbf{H}, \text{IV}} \rangle \equiv \frac{1}{\sqrt{2}} W_{\overline{\mathbf{4}}, \text{IV}}, \tag{19a}$$

$$\mathfrak{g}_{341} \to \mathfrak{g}_{331} : \langle (\mathbf{1}, \overline{\mathbf{4}}, -\frac{1}{4})_{\mathbf{H}, \text{V}} \rangle \equiv \frac{1}{\sqrt{2}} w_{\overline{\mathbf{4}}, \text{V}}, \tag{19b}$$

$$\langle (\mathbf{1}, \overline{\mathbf{4}}, -\frac{1}{4})_{\mathbf{H}, \mathbf{i}, \text{VII}} \rangle \equiv \frac{1}{\sqrt{2}} w_{\overline{\mathbf{4}}, \mathbf{i}, \text{VII}}, \tag{19b}$$

$$\mathfrak{g}_{331} \to \mathfrak{g}_{SM} : \langle (\mathbf{1}, \overline{\mathbf{3}}, -\frac{1}{3})_{\mathbf{H}, \mathbf{3}, \text{VI}, \text{I}\dot{\mathbf{X}}} \rangle \equiv \frac{1}{\sqrt{2}} V_{\overline{\mathbf{3}}, \mathbf{3}, \text{VI}, \text{I}\dot{\mathbf{X}}}$$

$$\langle (\mathbf{1}, \overline{\mathbf{3}}, -\frac{1}{3})'_{\mathbf{H}, \dot{\mathbf{2}}, \text{V}\dot{\mathbf{I}}\text{I}\boldsymbol{I}} \rangle \equiv \frac{1}{\sqrt{2}} V'_{\overline{\mathbf{3}}, \dot{\mathbf{2}}, \text{V}\dot{\mathbf{I}}\text{I}\boldsymbol{I}},$$

$$(19c)$$

EWSB : 
$$\langle (\mathbf{1}, \overline{\mathbf{2}}, +\frac{1}{2})_{\mathbf{H}}^{"'} \rangle \equiv \frac{1}{\sqrt{2}} v_{\text{EW}}.$$
 (19d)

The VEVs in black are the minimal set to integrate out the massive vectorlike fermions. The VEVs in red are necessary for the  $(d^i,\ell^i)$  masses.

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#### **Appendix**

- The global symmetries based on the chiral IRAFFSs are vital to: (i) determine one single SM Higgs doublet through the non-anomalous  $\widetilde{\mathrm{U}}(1)_{B-L}$  symmetry, (ii) count the massless left-handed sterile neutrinos precisely through the 't Hooft anomaly matching, (iii) organize the d=5 operators for the SM fermion mass (mixing) terms.
- All SM quark/leptons are flavor non-universal under the extended strong/weak symmetries, while the SM neutrinos  $\nu_L \in \overline{\mathbf{8_F}}^\Omega$  are flavor universal. Neutrino masses and mixings are to be determined.
- The degenerate  $m_{d^i}=m_{\ell^i}$  will be further probed based on the RGEs of  $\frac{dm_f(\mu)}{d\log\mu}\equiv\gamma_{m_f}m_f(\mu)$ ,  $\gamma_{m_f}(\alpha^\Upsilon)=\frac{\alpha^\Upsilon}{4\pi}\gamma_0(\mathcal{R}_f^\Upsilon)$ .