

Theory introduction on LFV and LFU

Marco Ardu

Universidad de Valencia & IFIC

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15/09/2025

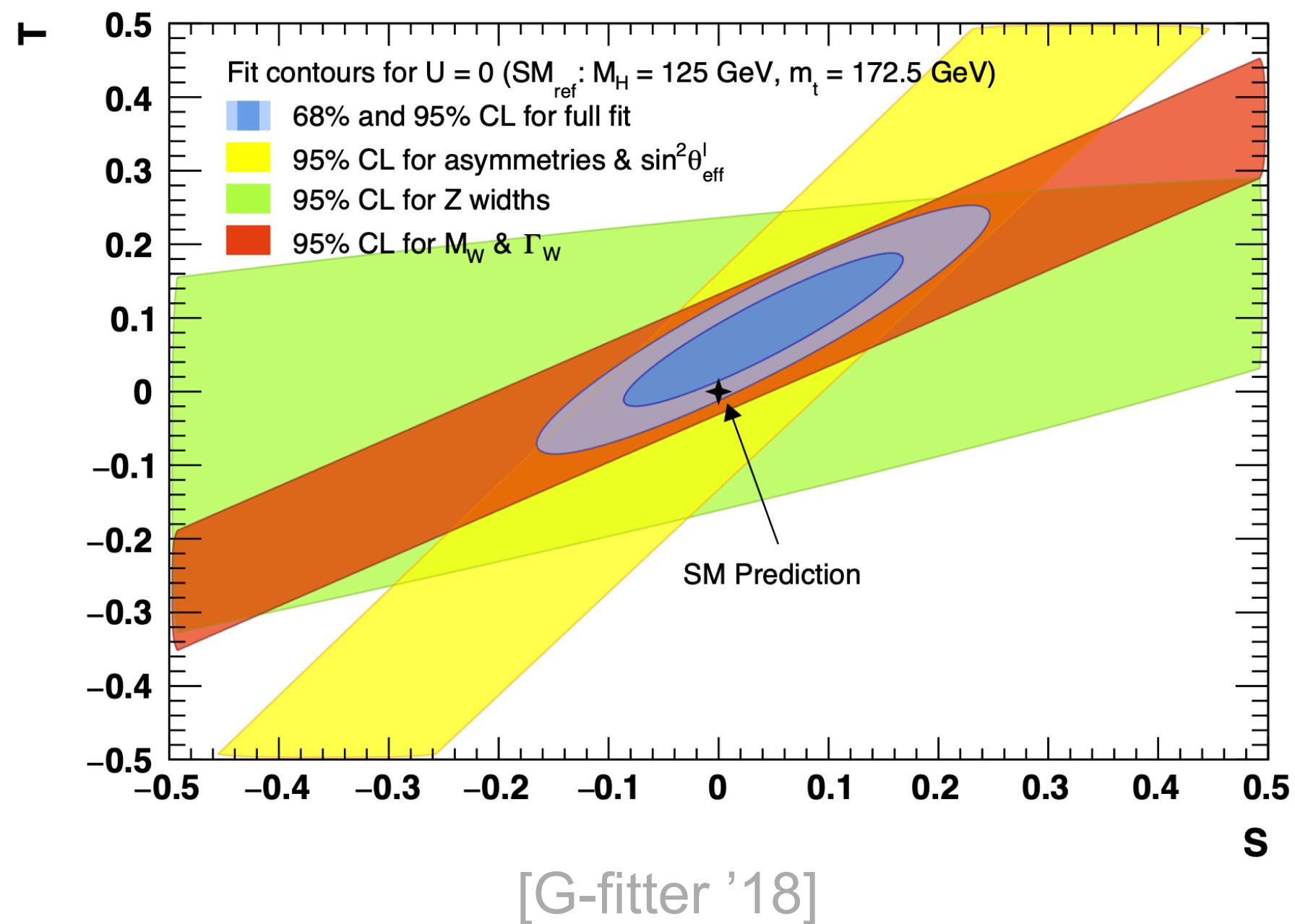


The Standard Model (SM)

$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{Gauge}} + \mathcal{L}_{\text{Yukawa}} + \mathcal{L}_{\text{Higgs}}$$

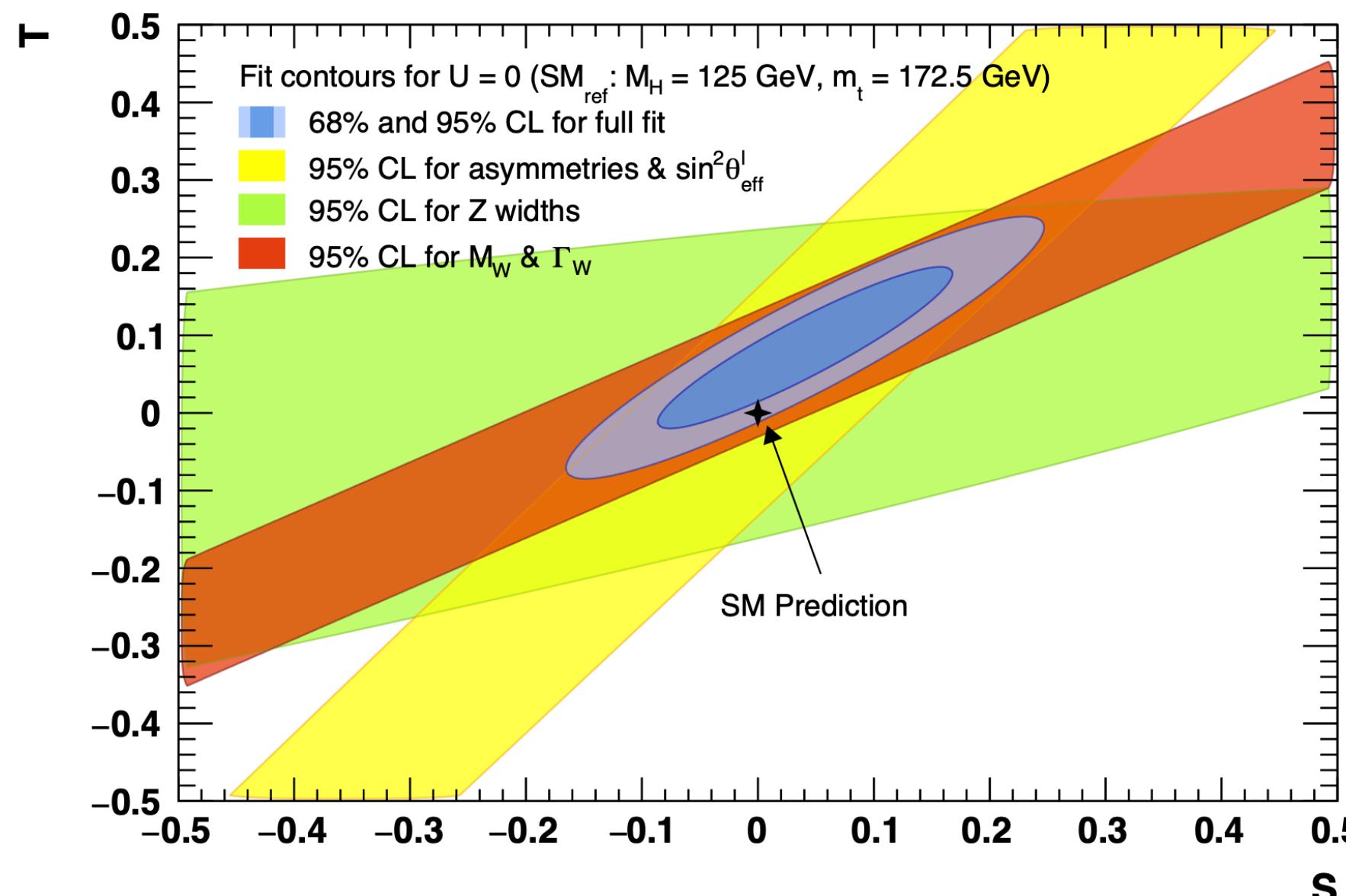
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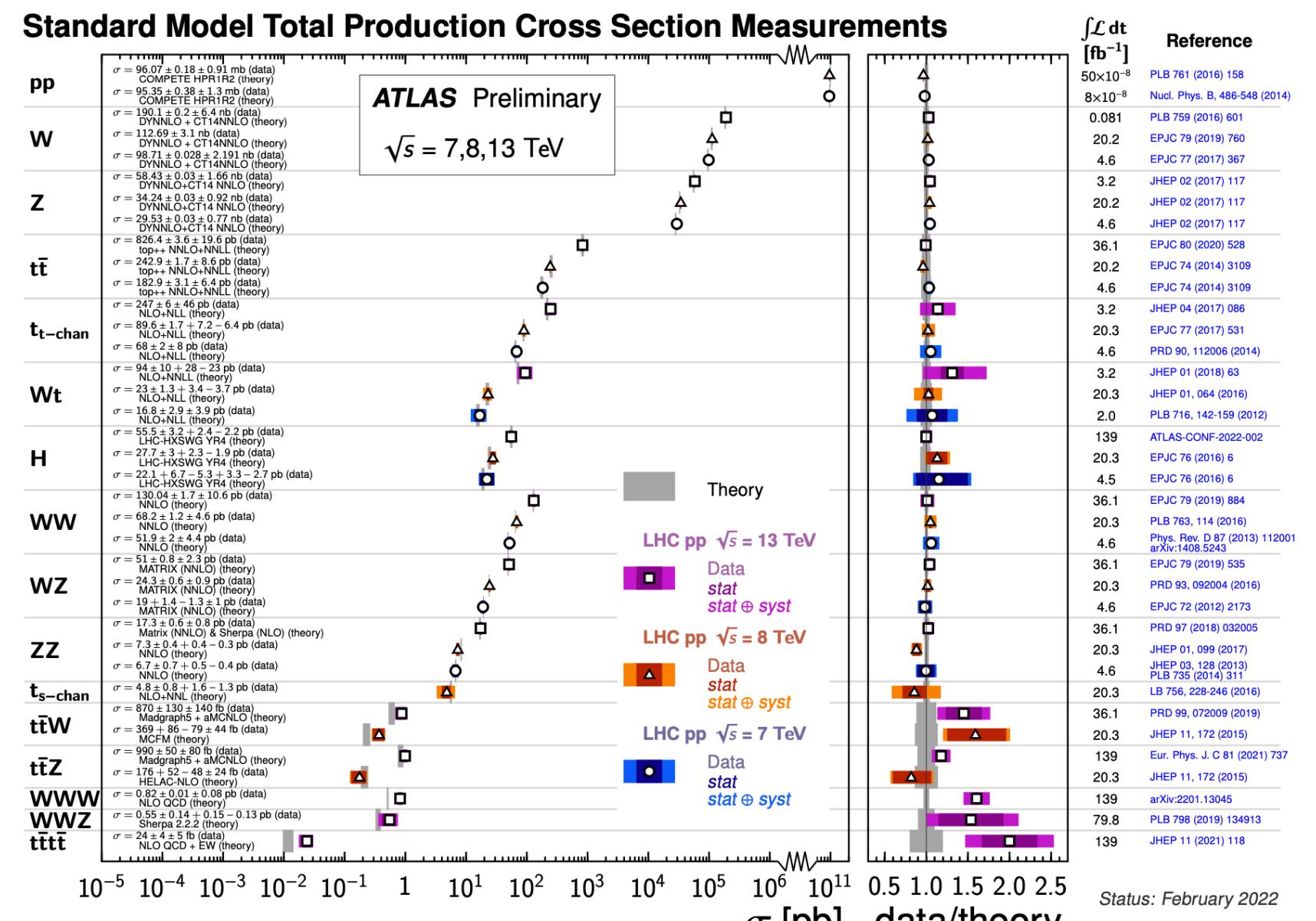


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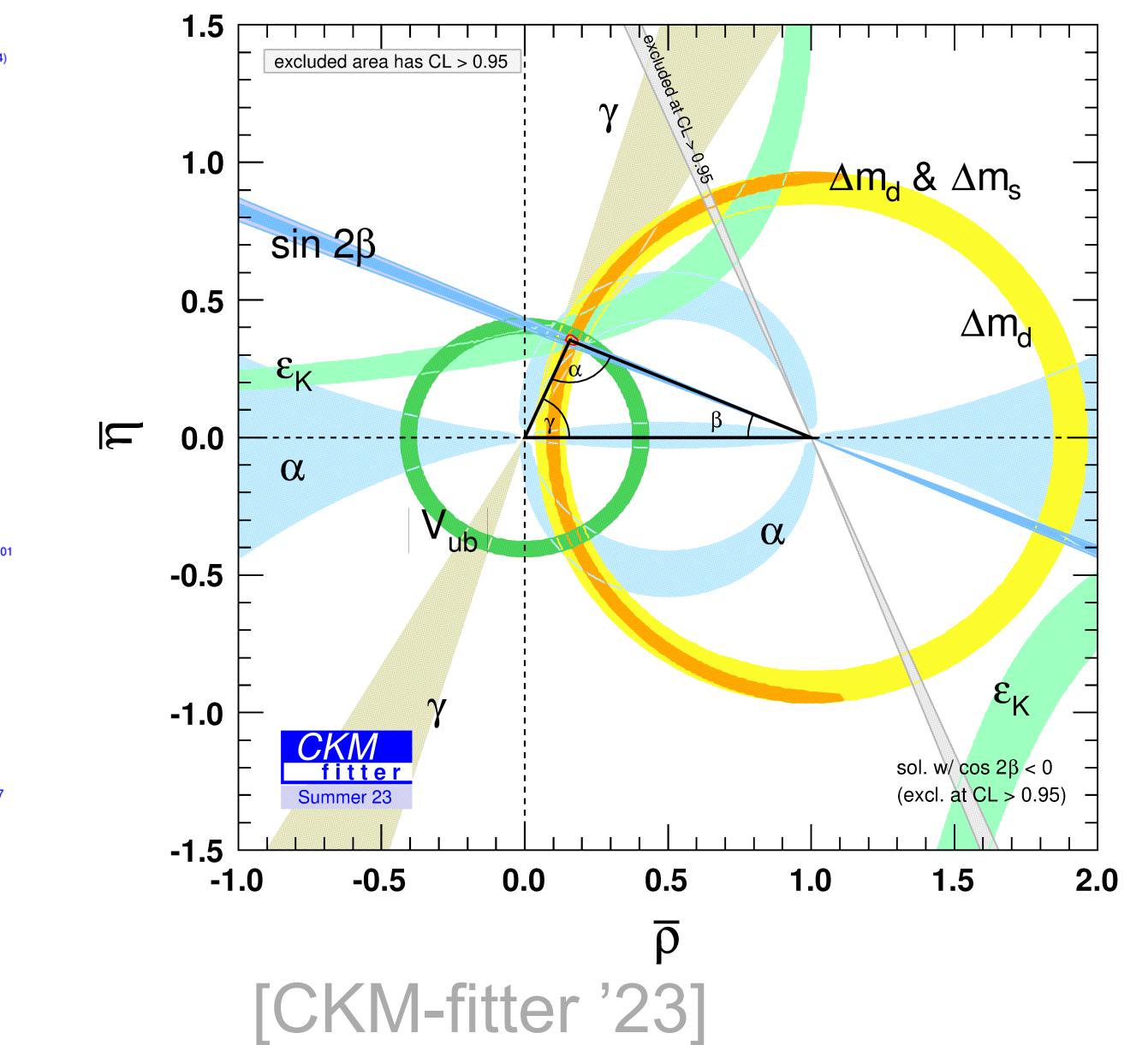
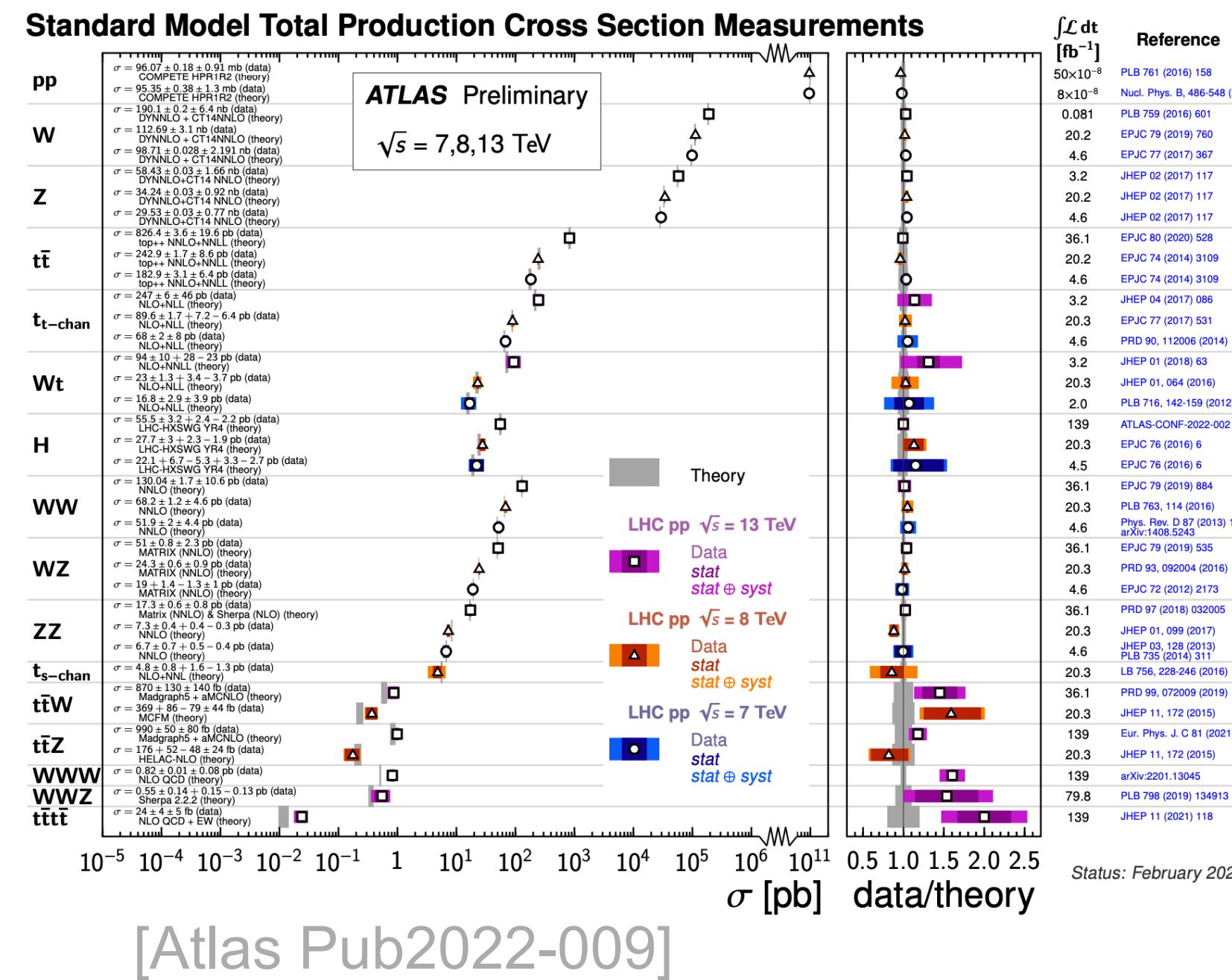
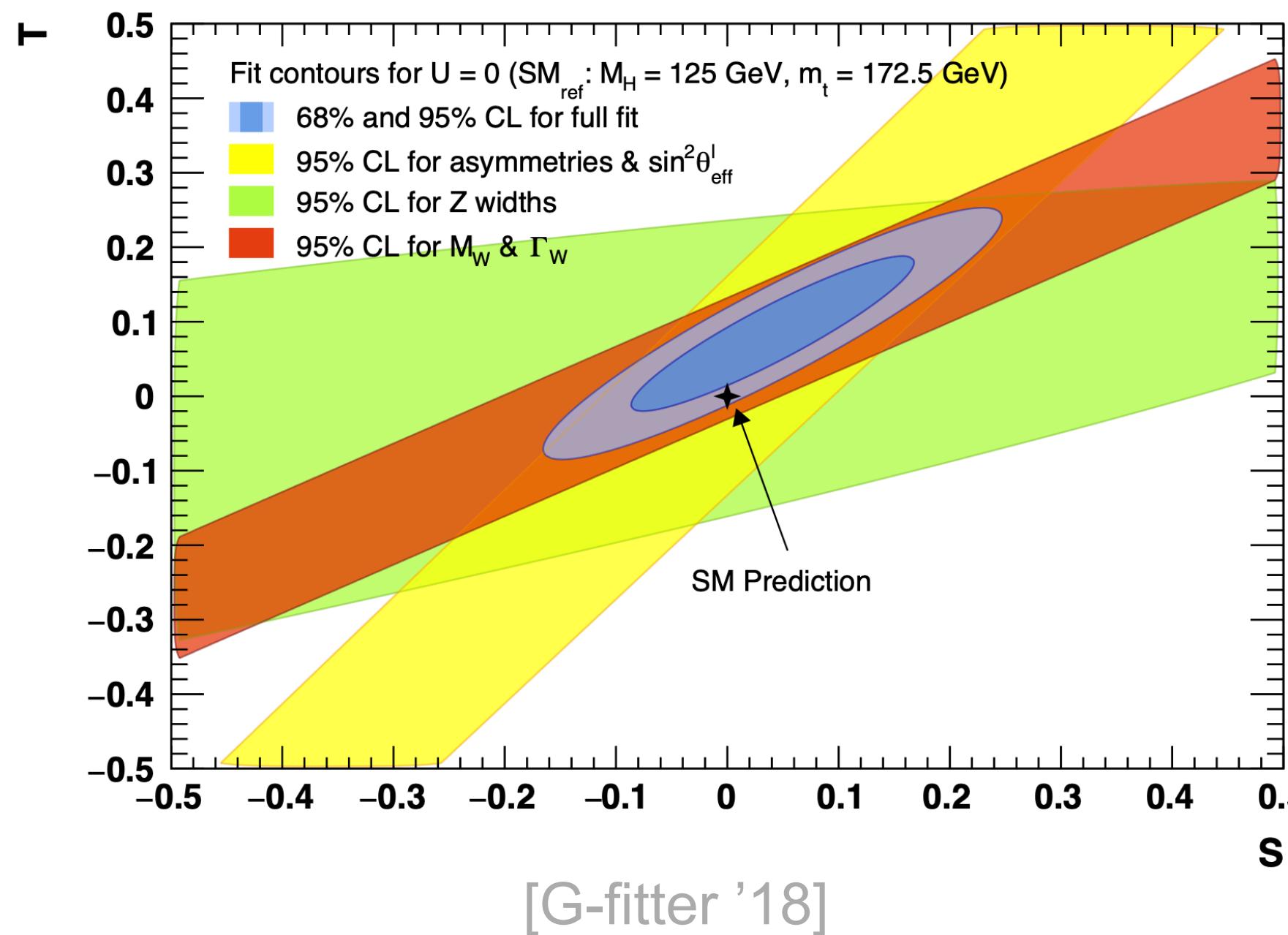
[G-fitter '18]



[Atlas Pub2022-009]

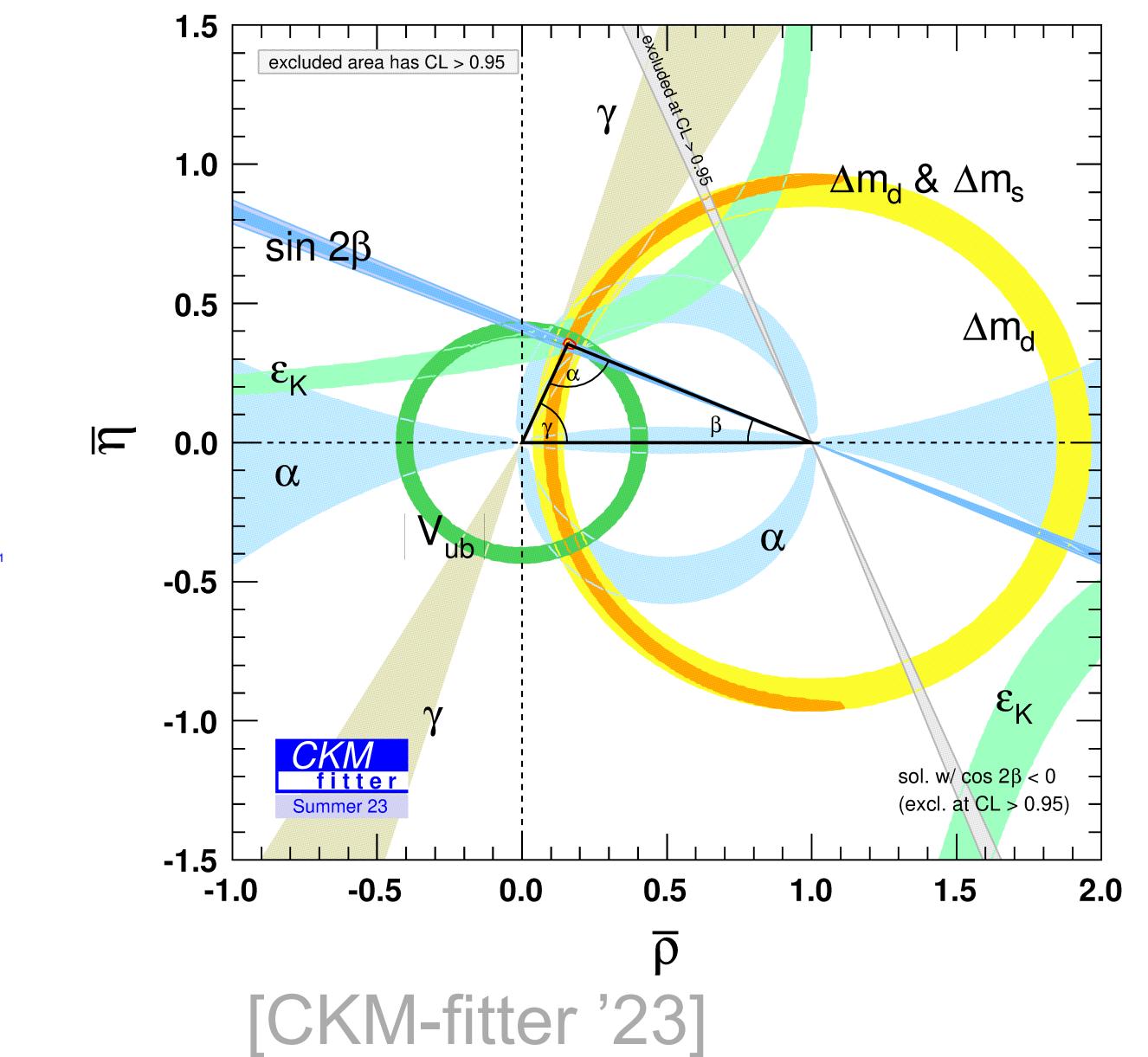
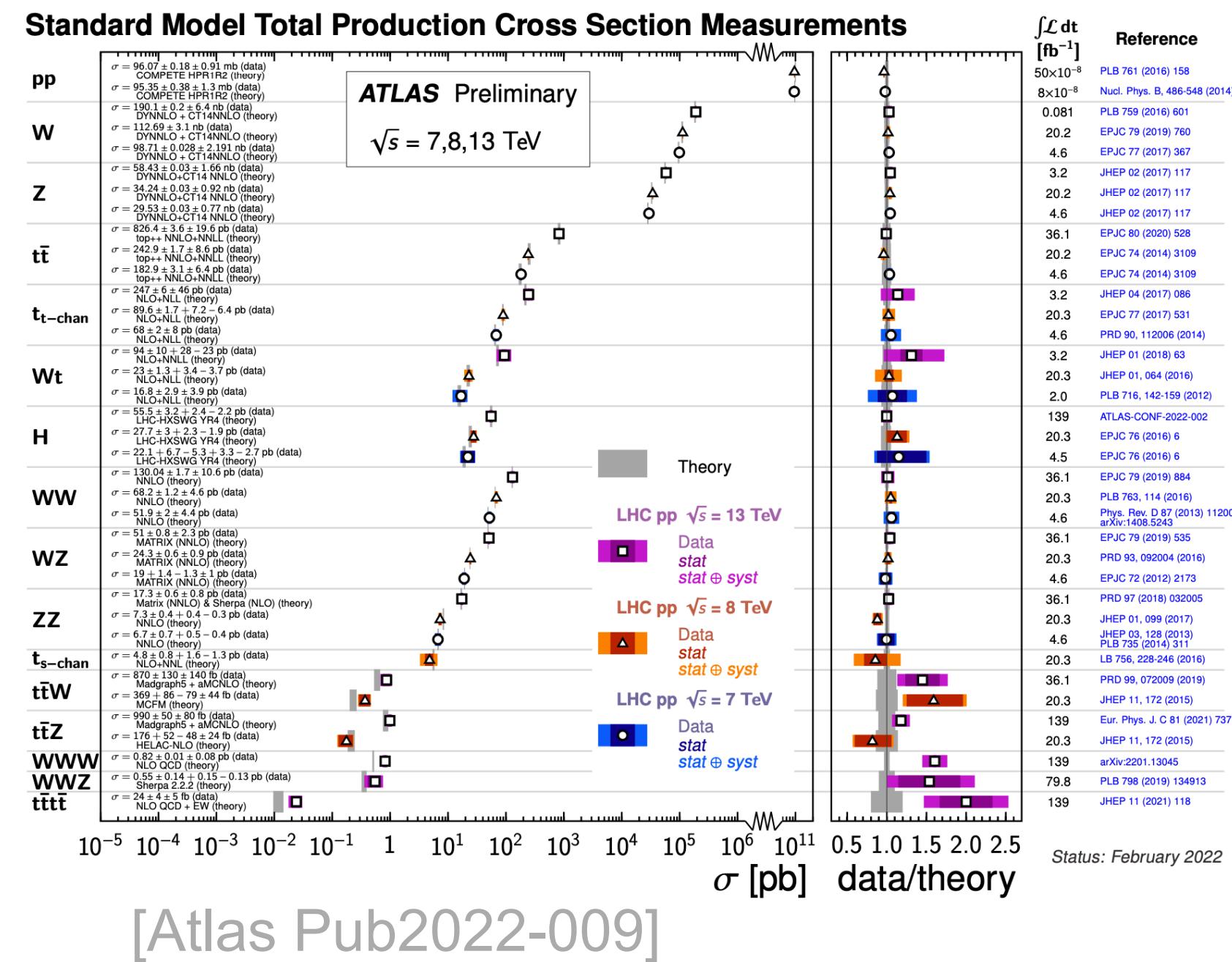
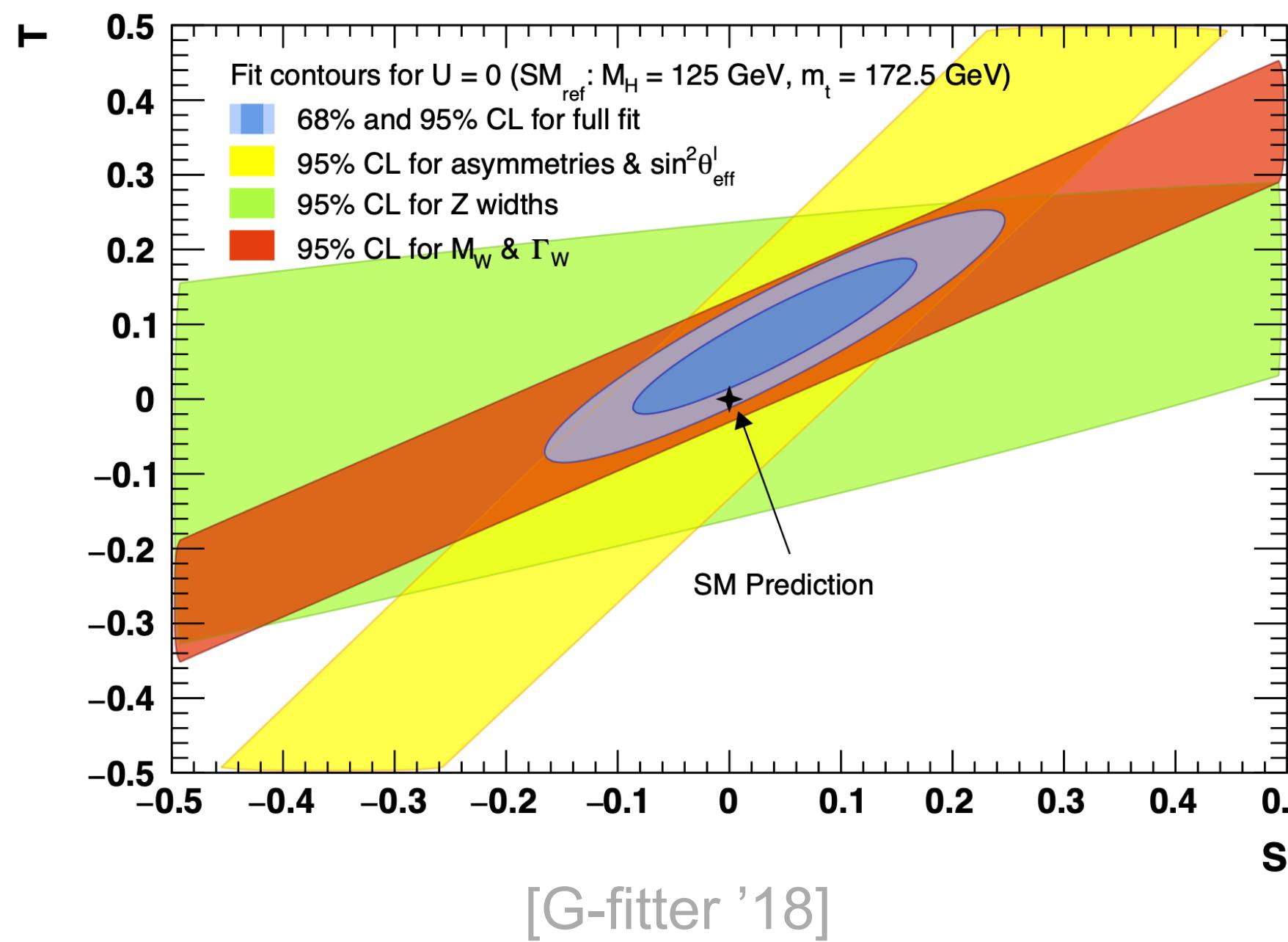
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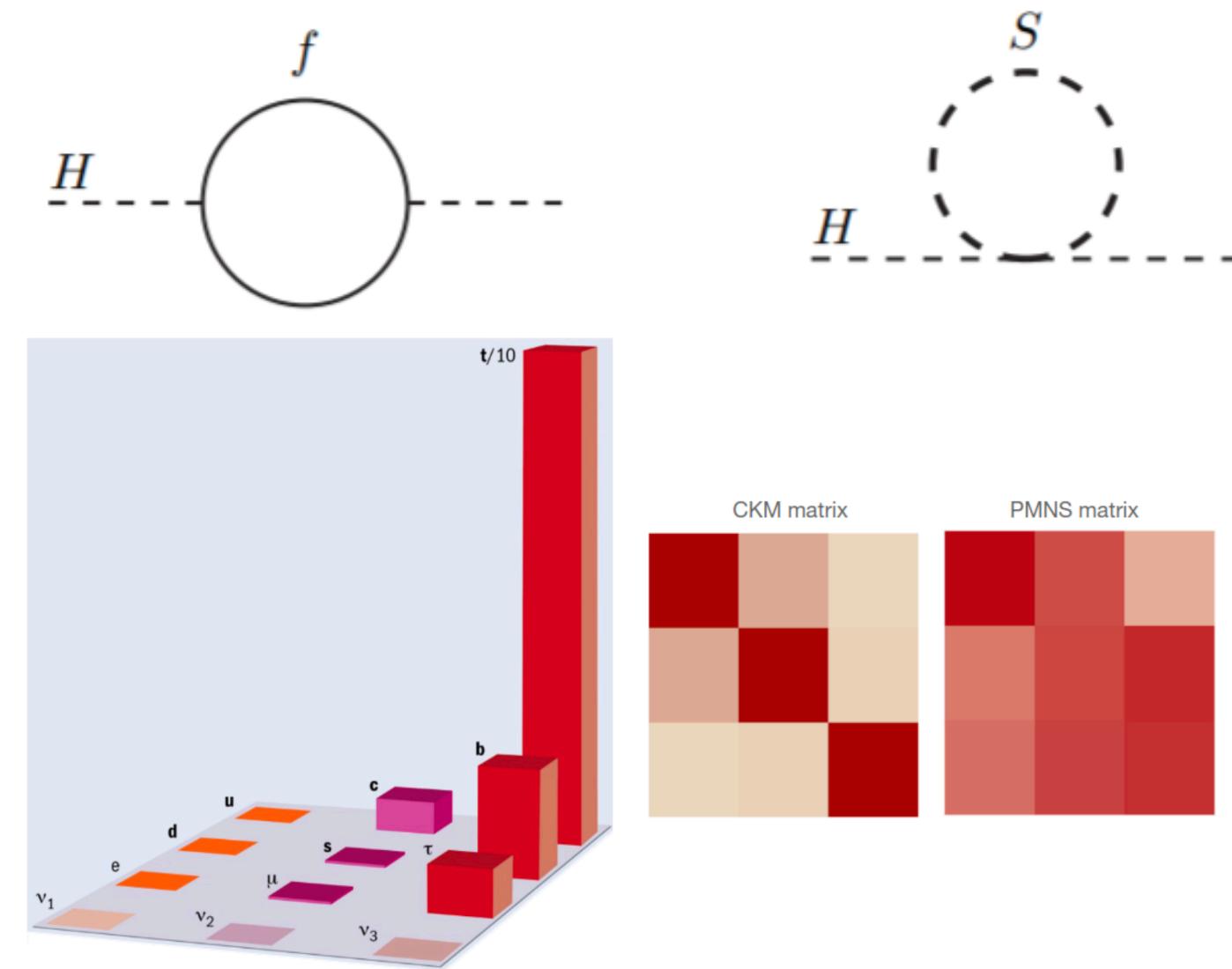
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- The Standard Model of Particle Physics is extremely successful in explaining a wide variety of phenomena
- No evidence of new states
- Yet we know that it cannot be the full story...**

The need for Beyond SM physics

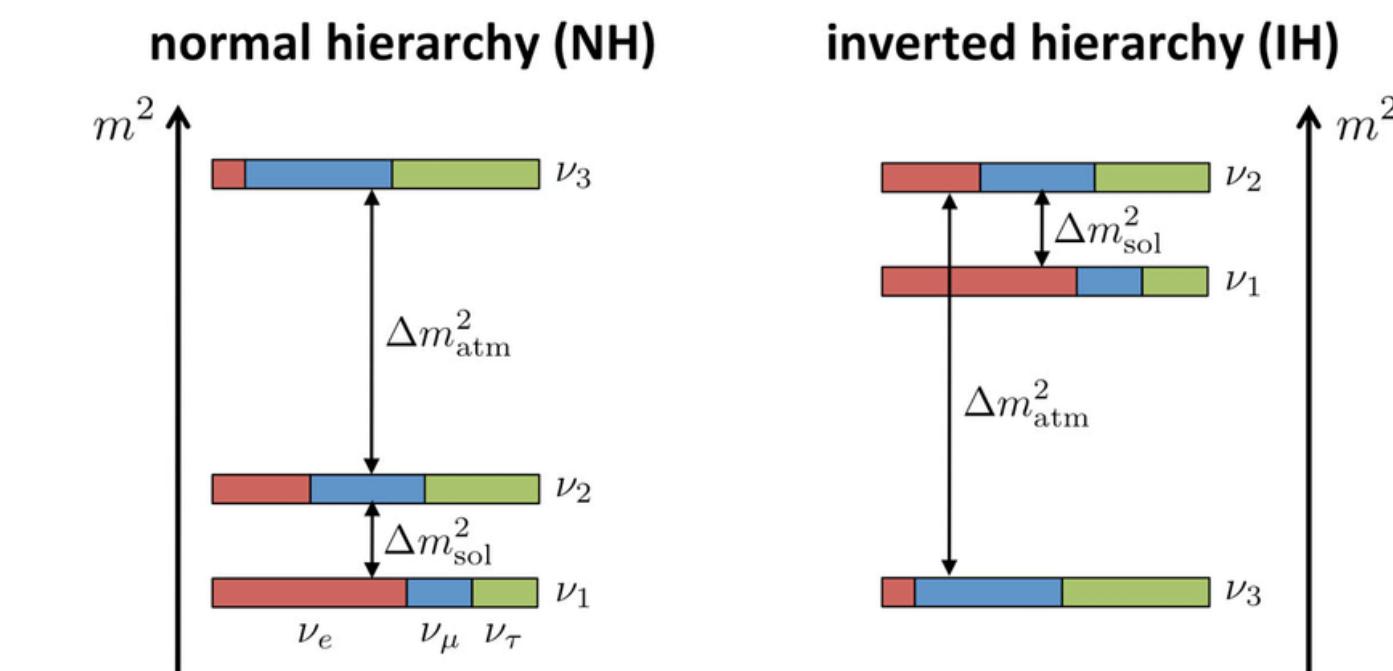
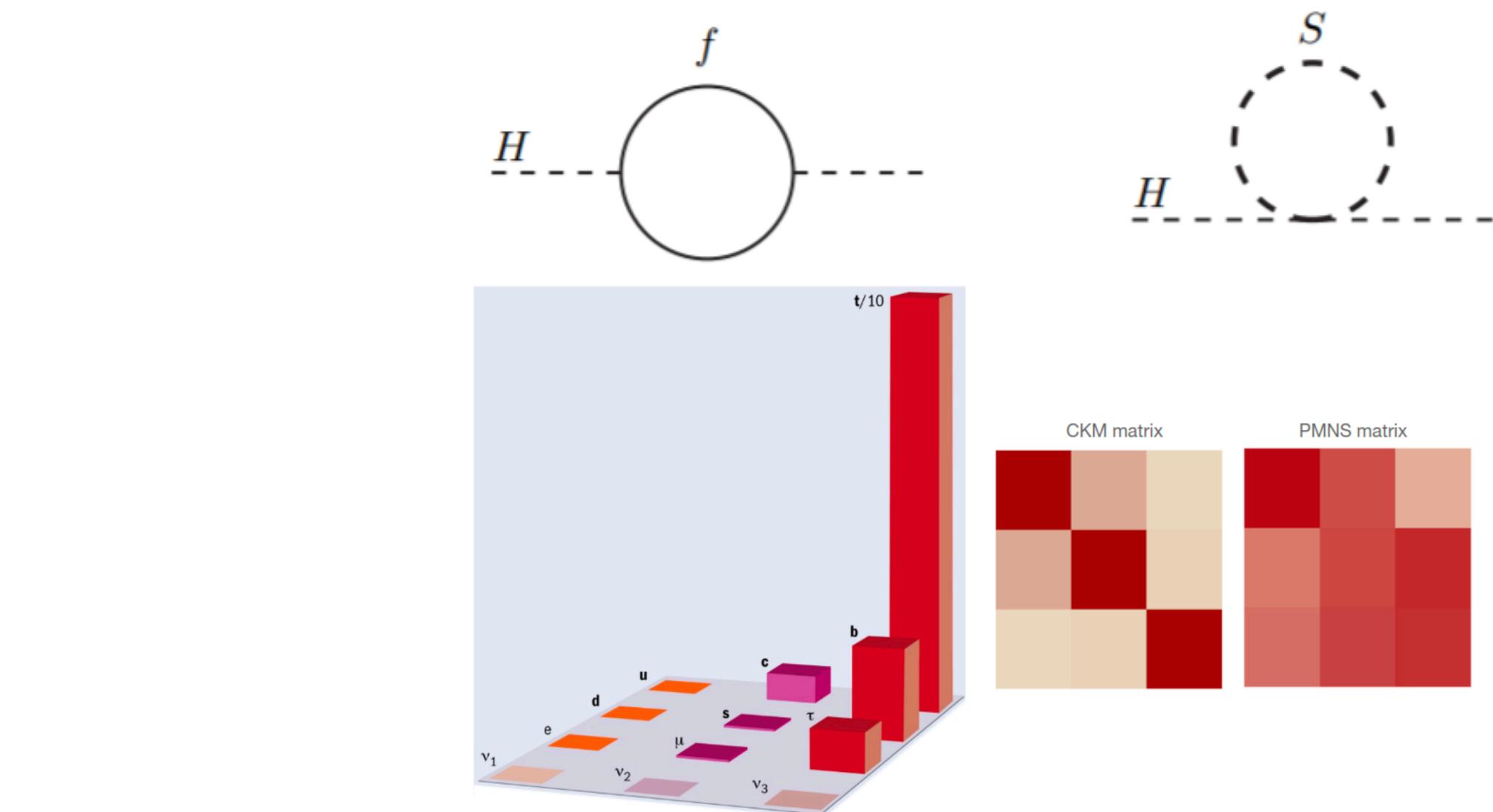
- Strong CP Problem
- Hierarchy Problem
- Flavour puzzle
- ...



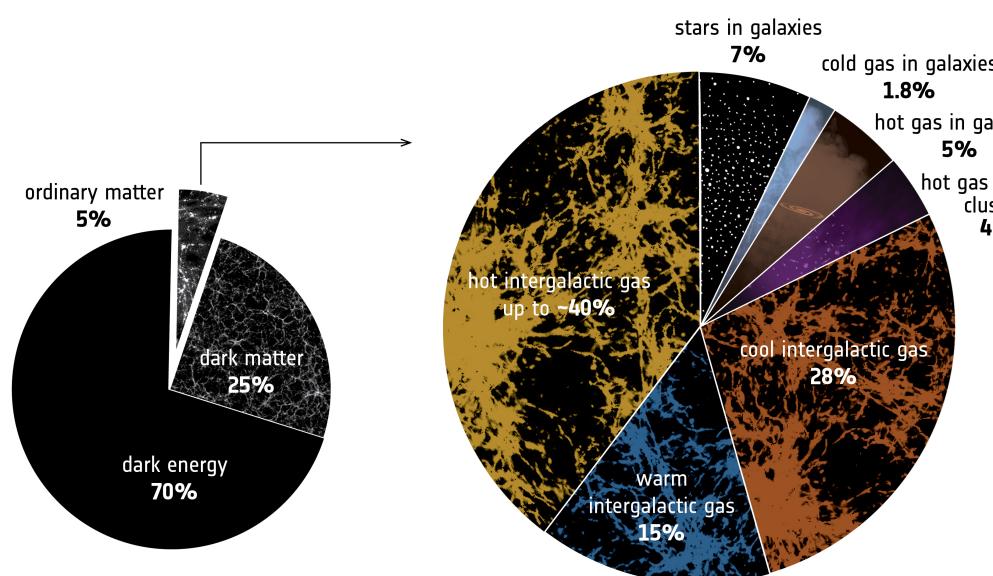
The need for Beyond SM physics

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- ...

- Neutrino masses
- Dark matter
- Baryon asymmetry of the Universe

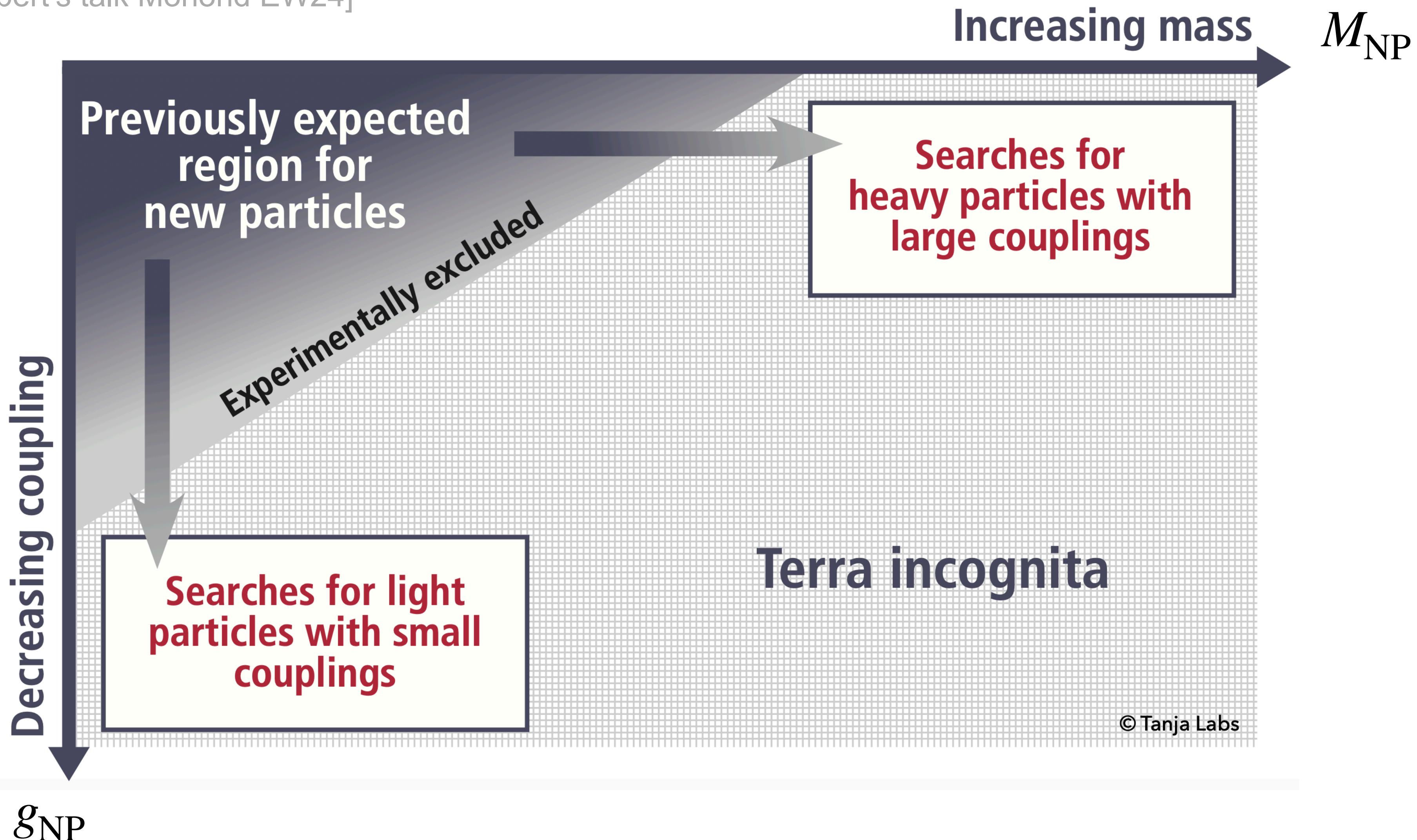


$$\frac{n_B - n_{\bar{B}}}{n_\gamma} \sim 10^{-10}$$



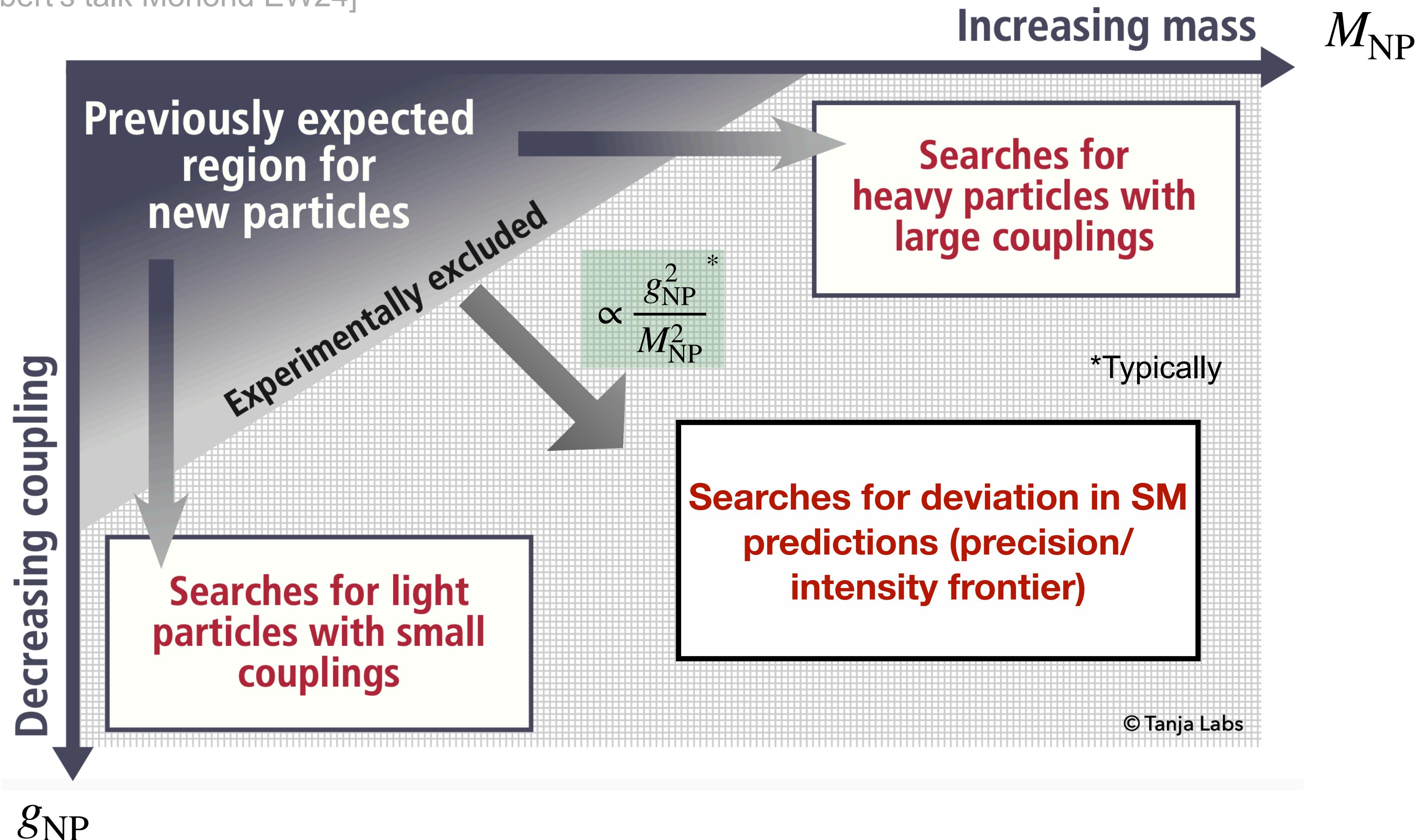
New Physics searches

[Neubert's talk Moriond EW24]



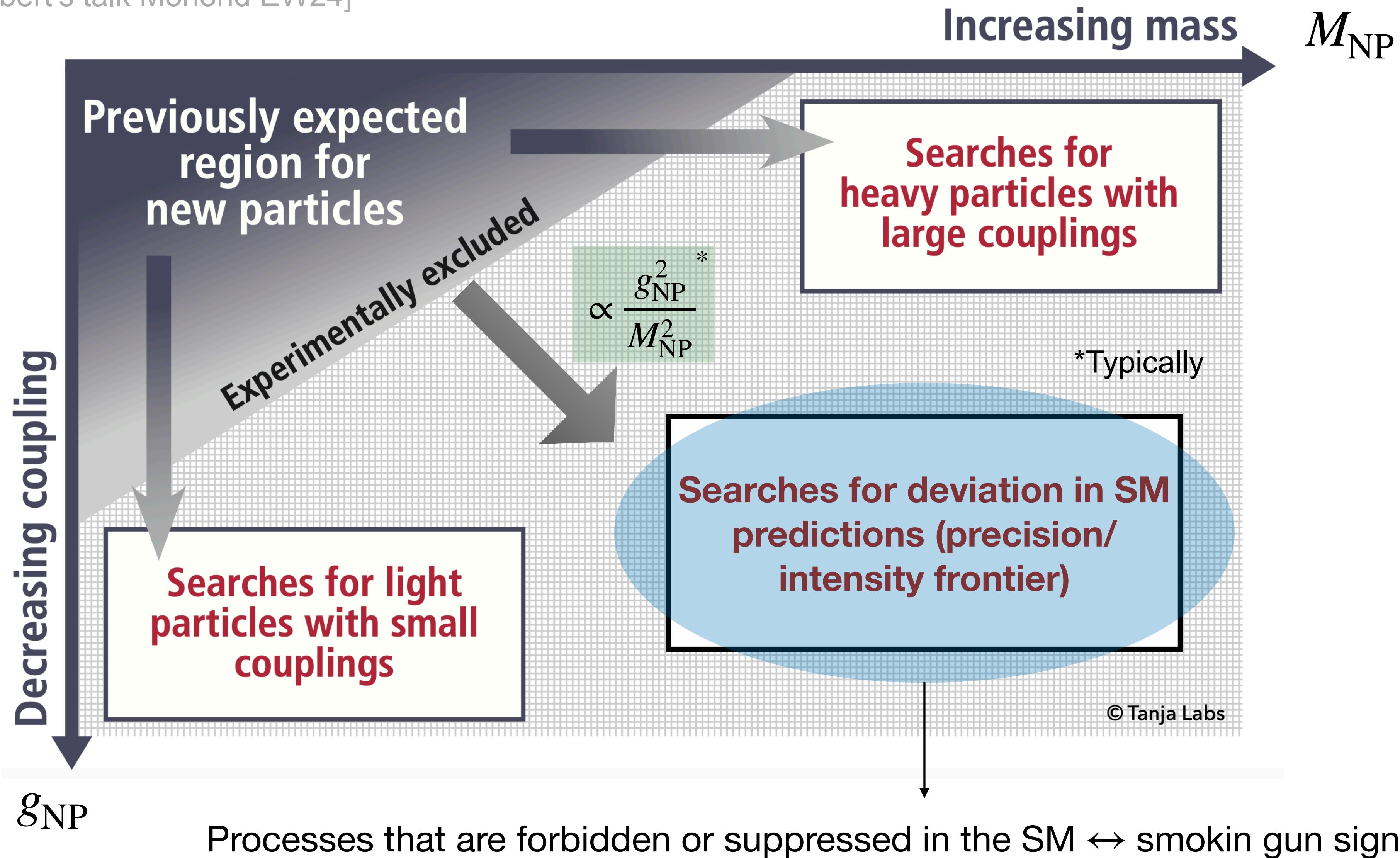
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Lepton symmetries in the SM

$$\ell_i = \begin{pmatrix} \nu_i \\ e_{iL} \end{pmatrix}, \quad e_i = e_{iR}$$

$$\mathcal{L}_{\text{gauge}} \supset i \sum_{i=1,2,3} (\bar{\ell}_i \not{D} \ell_i + \bar{e}_i \not{D} e_i)$$

$$U(3)_\ell \times U(3)_e$$

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but not completely

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Mass eigenstates
because $m_l \propto Y_l$

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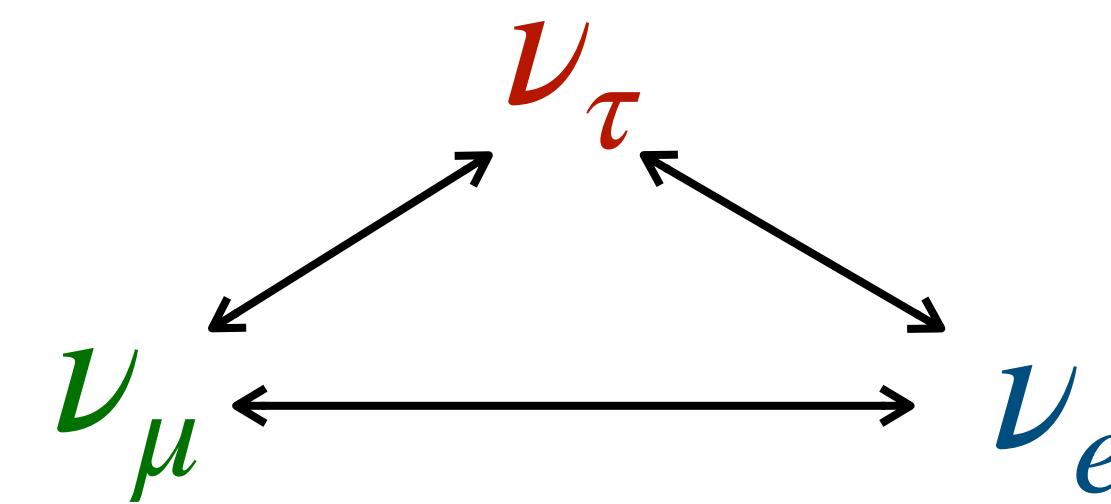
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Lepton Flavour Conservation and **Lepton Flavour Universality** (LFU) up to Yukawa/mass effects

Neutrino masses imply Lepton Flavour Violation

The Standard Model Lagrangian (without right-handed neutrinos) is accidentally invariant under a phase rotation of each **lepton flavor**

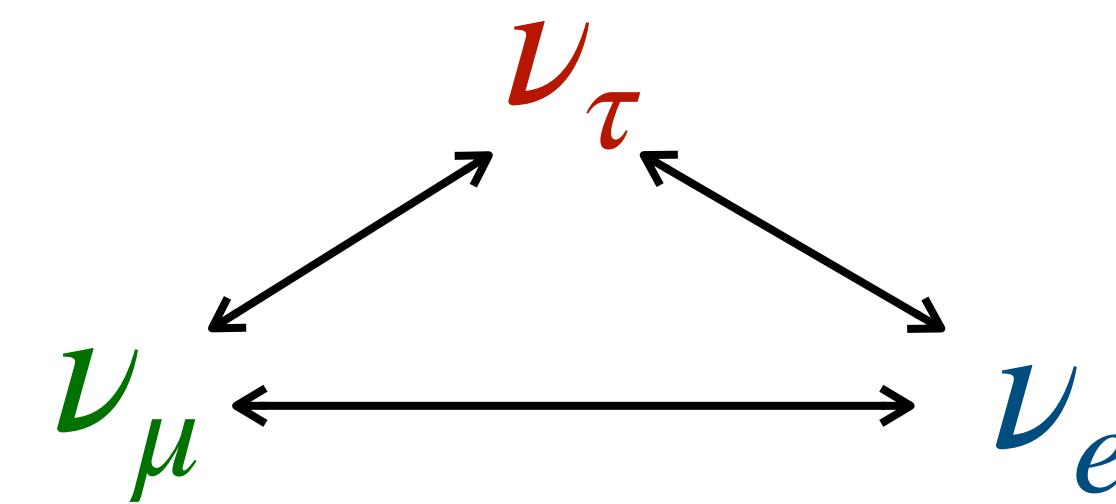
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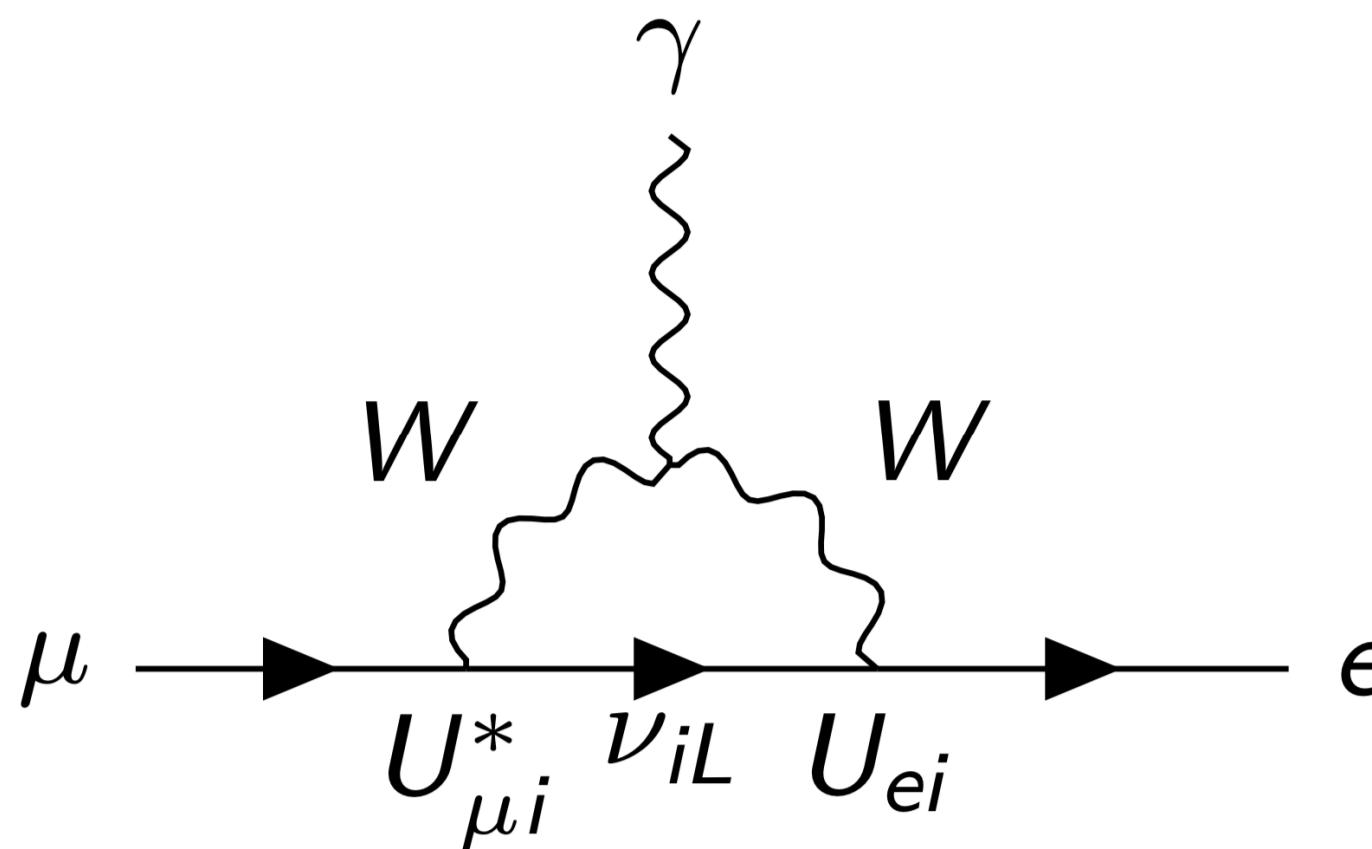


Since there is no symmetry that forbids it, **lepton flavour violation in the charged sector is inevitable**:

$$\mu^\pm \rightarrow e^\pm \gamma \quad \tau^\pm \rightarrow e^\pm e^+ e^- \quad h \rightarrow \tau^\pm \mu^\mp \dots$$

must happen, but at what rates?

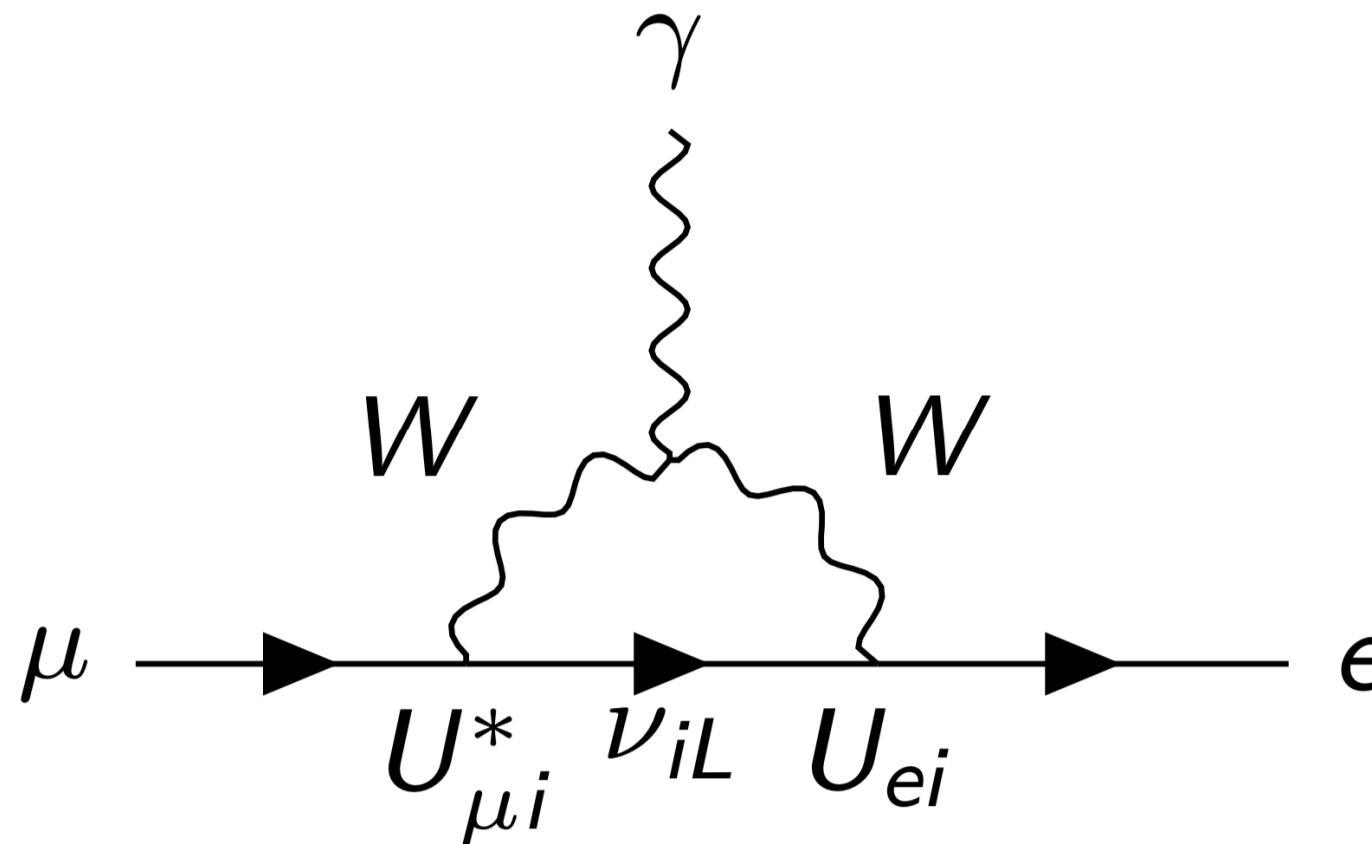
Charged Lepton Flavour Violation (cLFV)



- SM+ ν_R predicts small cLFV

$$Br(\mu \rightarrow e\gamma) \simeq G_F^2 (\Delta m_\nu^2)^2 \lesssim 10^{-50}$$

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- An observation of LFV would be a clear signature of new physics
- It could shed light on the mechanism behind neutrino masses
- Many models that address unresolved puzzles (independently from neutrino masses) predict potentially observable LFV signals

Outline

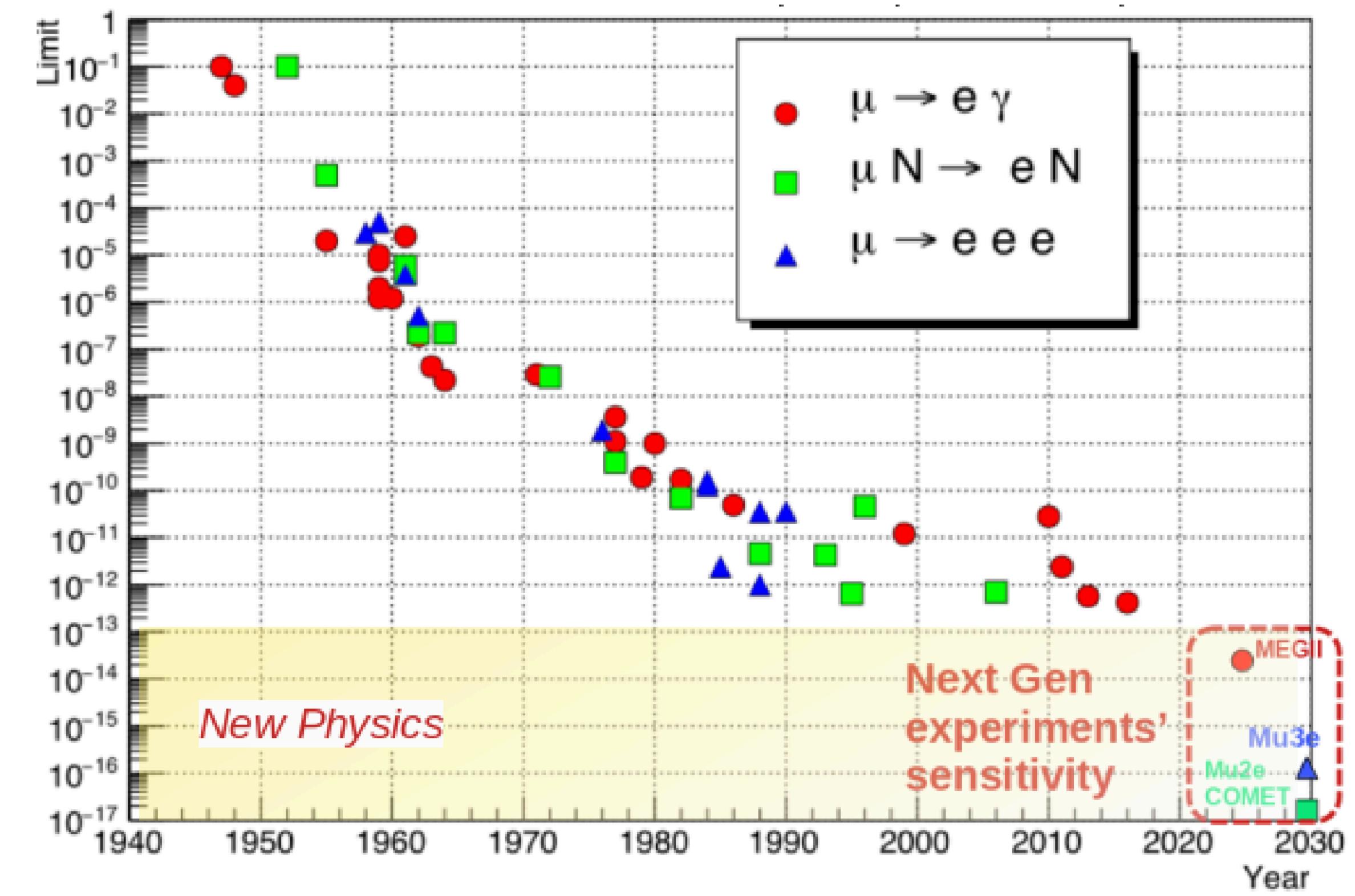
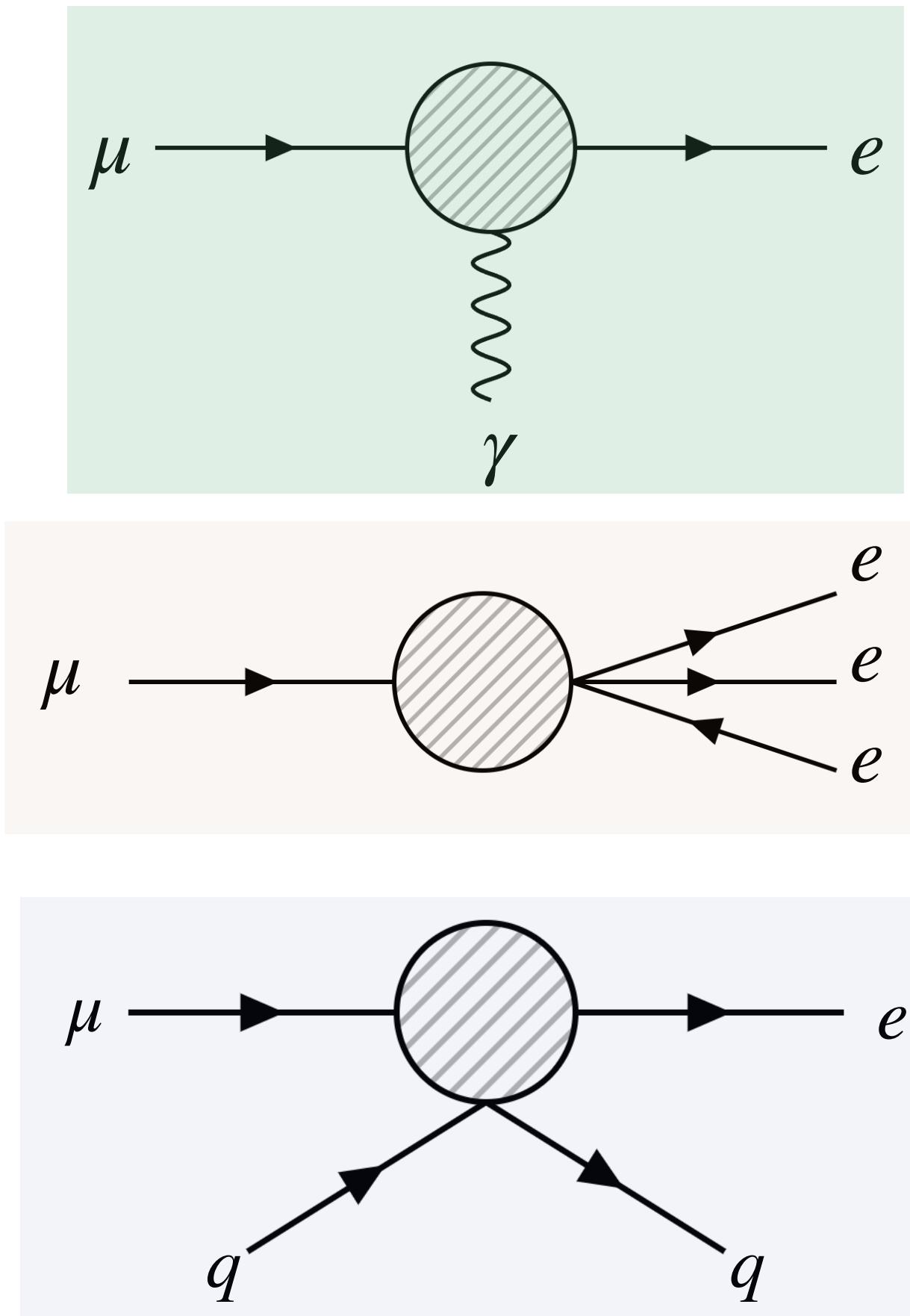
1. LFV with muons
2. LFV in tau decays
3. LFU tests with tau decays

Hopefully covered in other theory talks

- LFV and LFU violation in B and Kaon (semi)leptonic decays
- LFU violation in Pion decays
- $R(D)/R(D^*)$

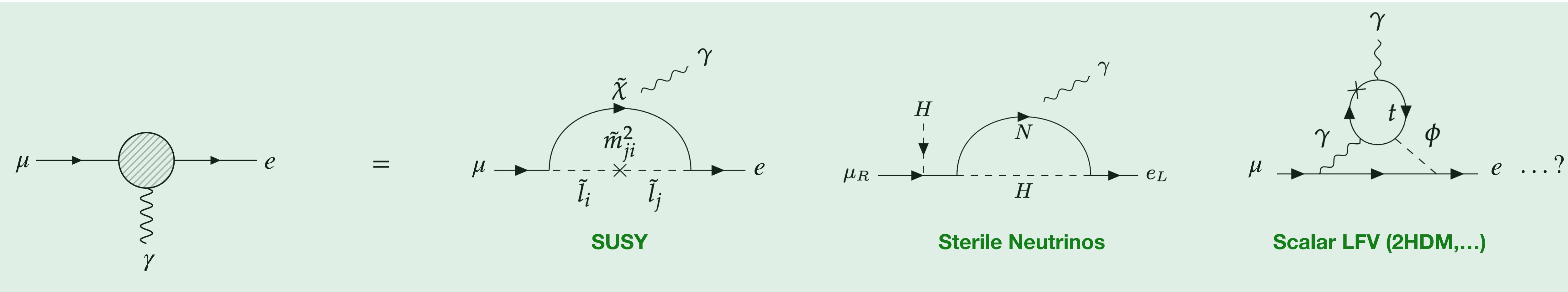
$$\mu \rightarrow e\gamma, \mu \rightarrow 3e, \mu A \rightarrow eA$$

- The possibility of extremely intense muon beams make these the **most sensitive probes of LFV**
- Experimental sensitivities are expected to be **improved by up to five orders of magnitude**



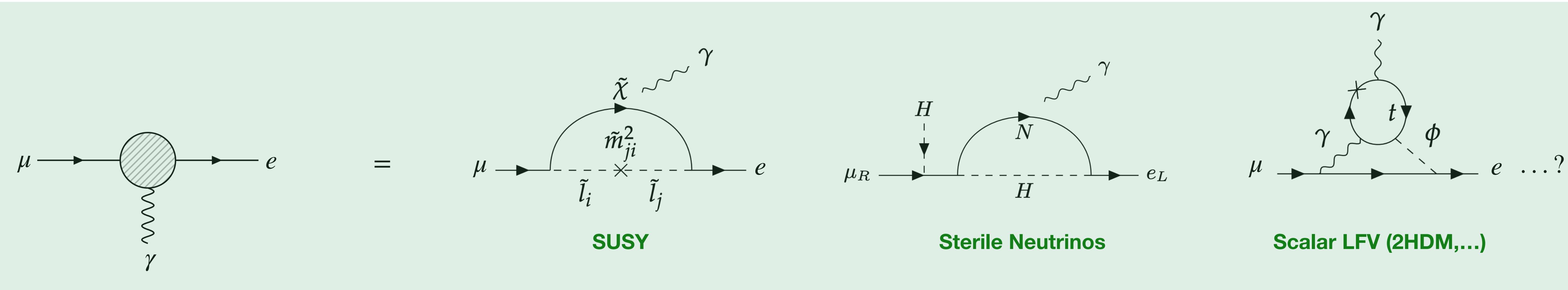
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- $\mu \rightarrow e + \gamma$ $Br(\mu \rightarrow e\gamma) < 3 \times 10^{-13}$ (MEG+MEGII) $\rightarrow Br(\mu \rightarrow e\gamma) \sim 6 \times 10^{-14}$ (MEGII)

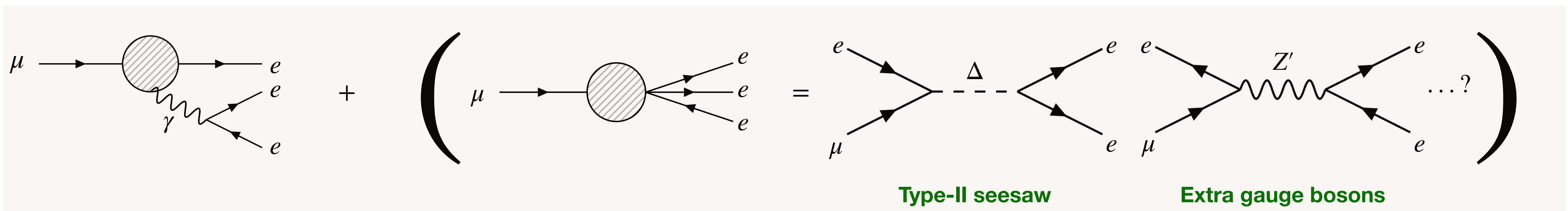


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- $\mu \rightarrow e + \bar{e} + e \quad Br(\mu \rightarrow e\bar{e}e) < 10^{-12} \text{ (SINDRUM)} \rightarrow Br(\mu \rightarrow e\bar{e}e) \sim 10^{-16} \text{ (Mu3e)}$



$\mu \rightarrow e$ conversion in nuclei

- The muon gets captured by the (Z, A) nucleus and tumbles down to the $1s$ state
- If there are LFV interactions with nucleons, an electron can be emitted without a neutrino (conversion)

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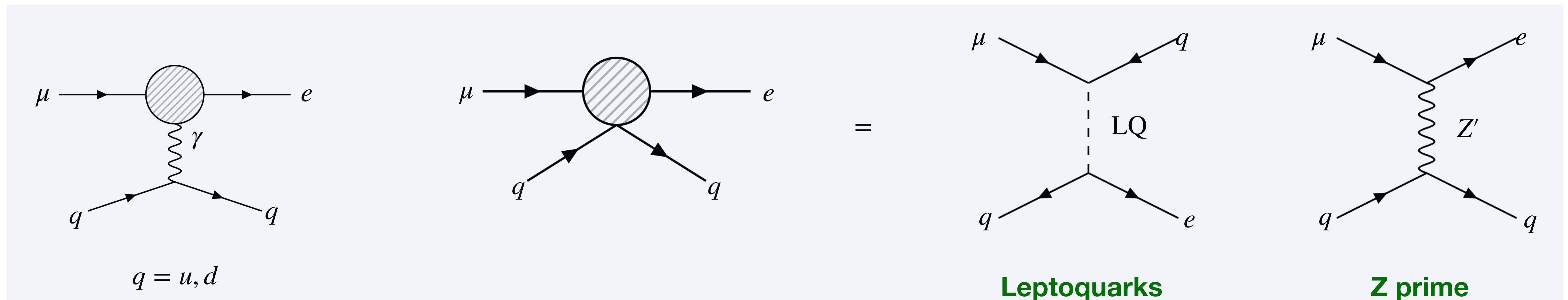
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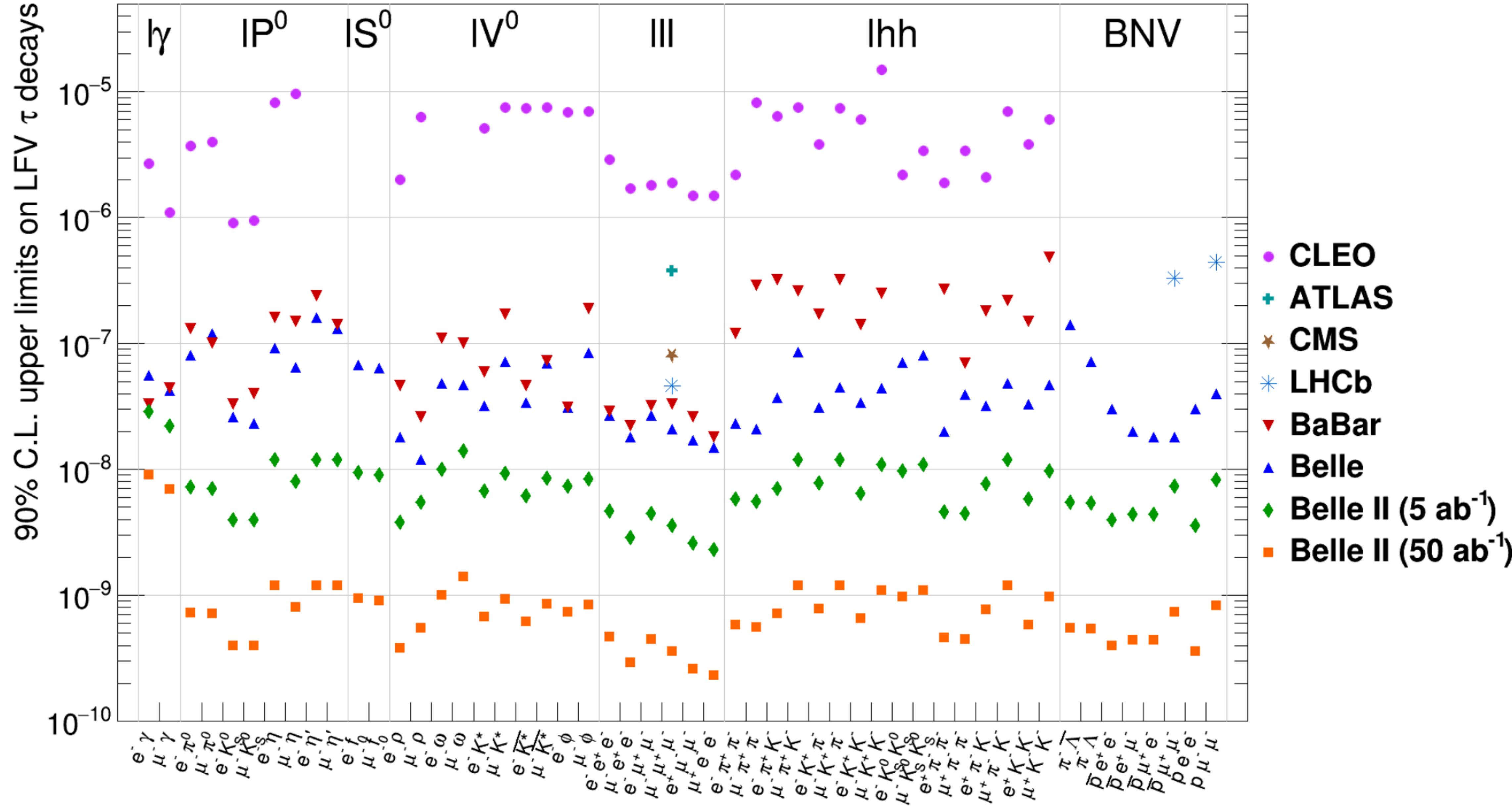
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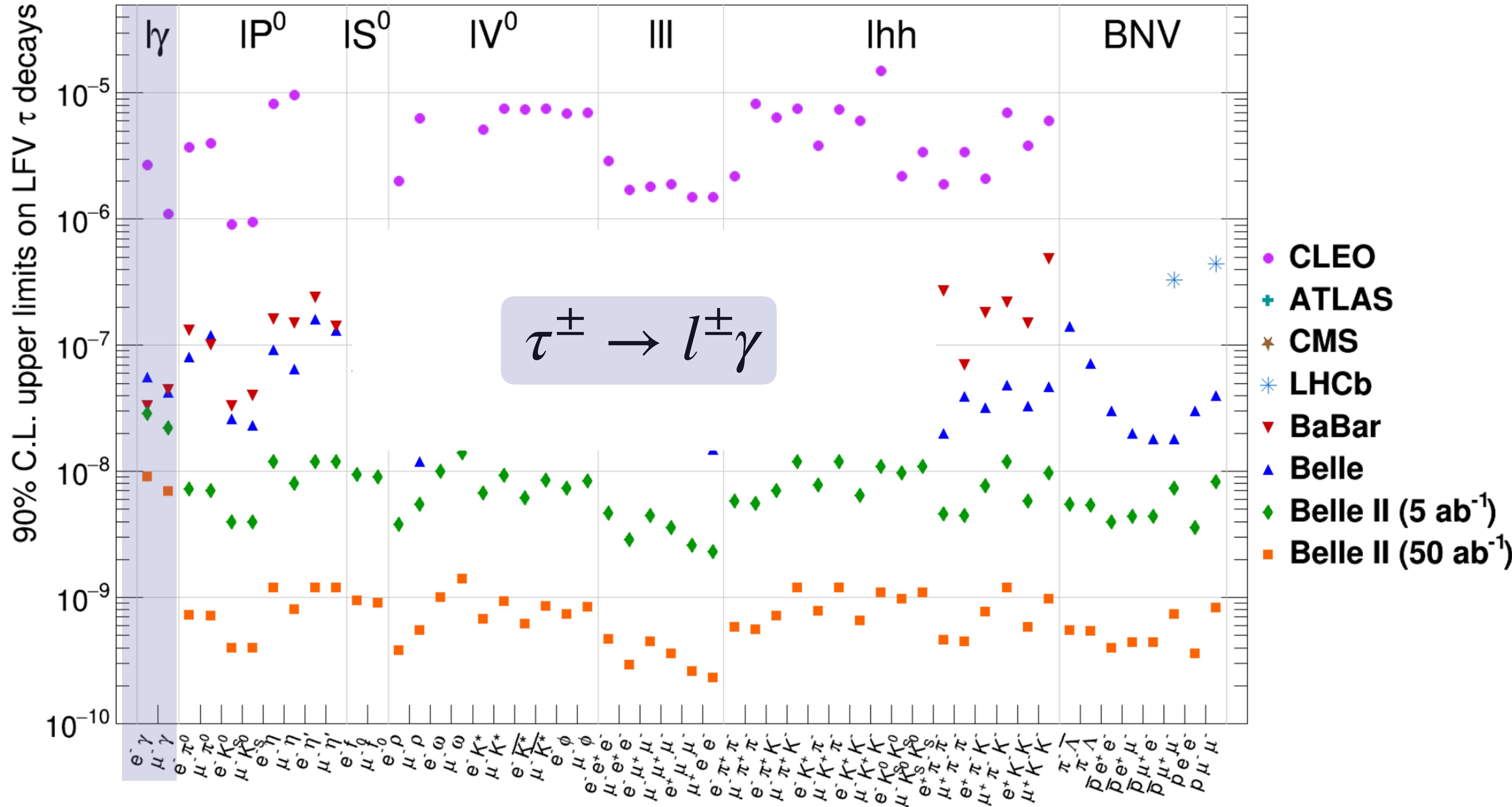
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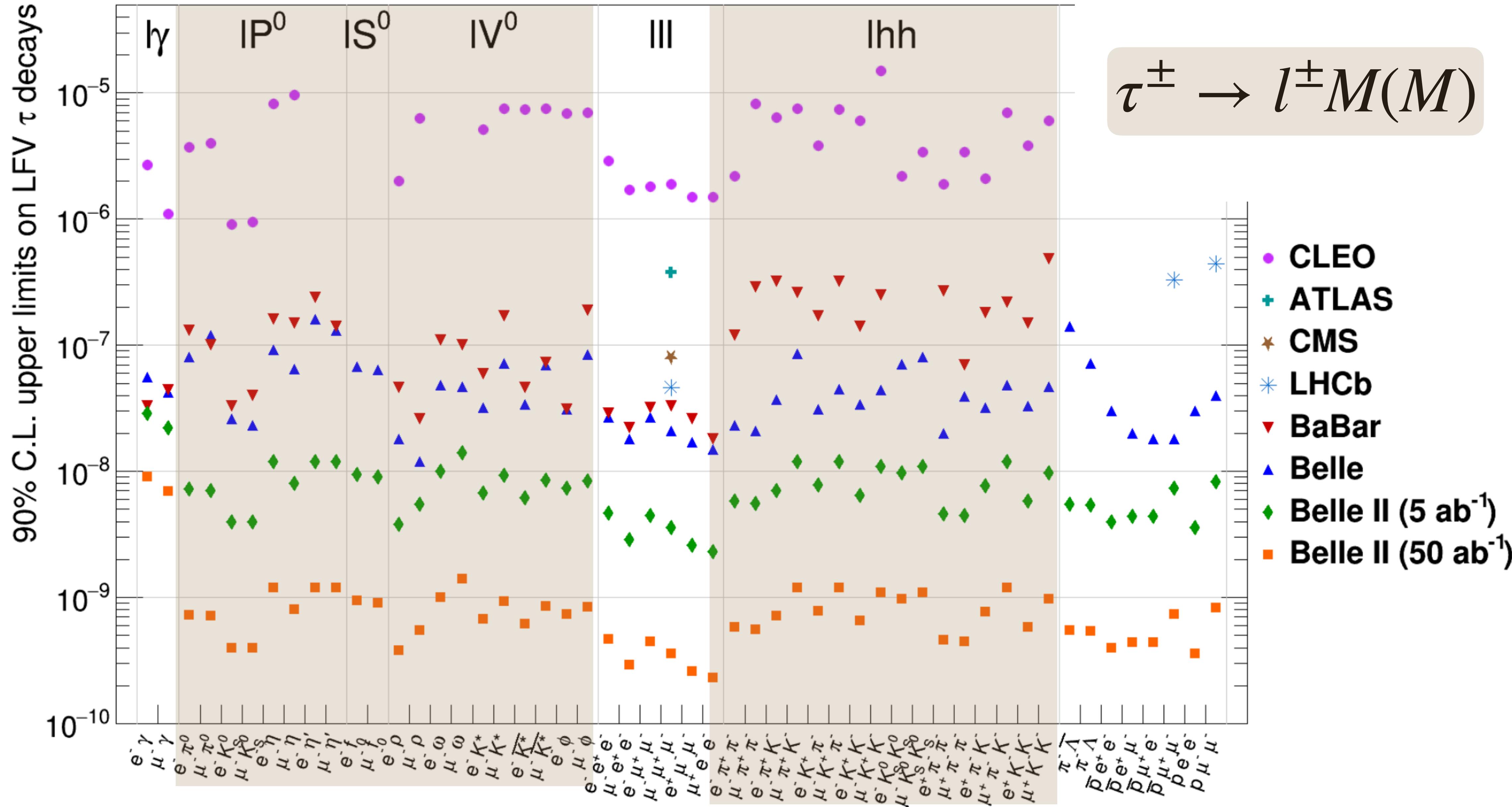
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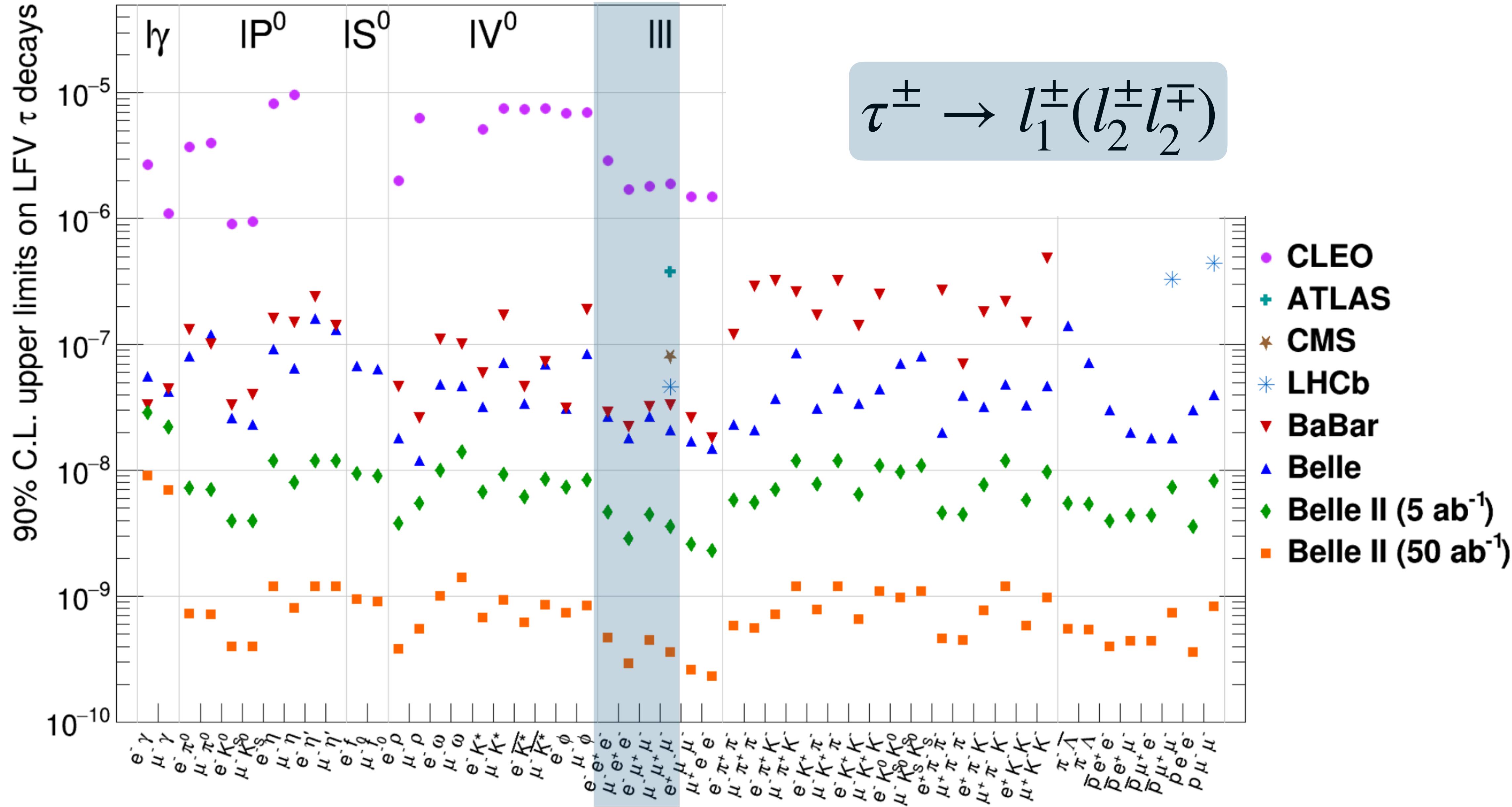
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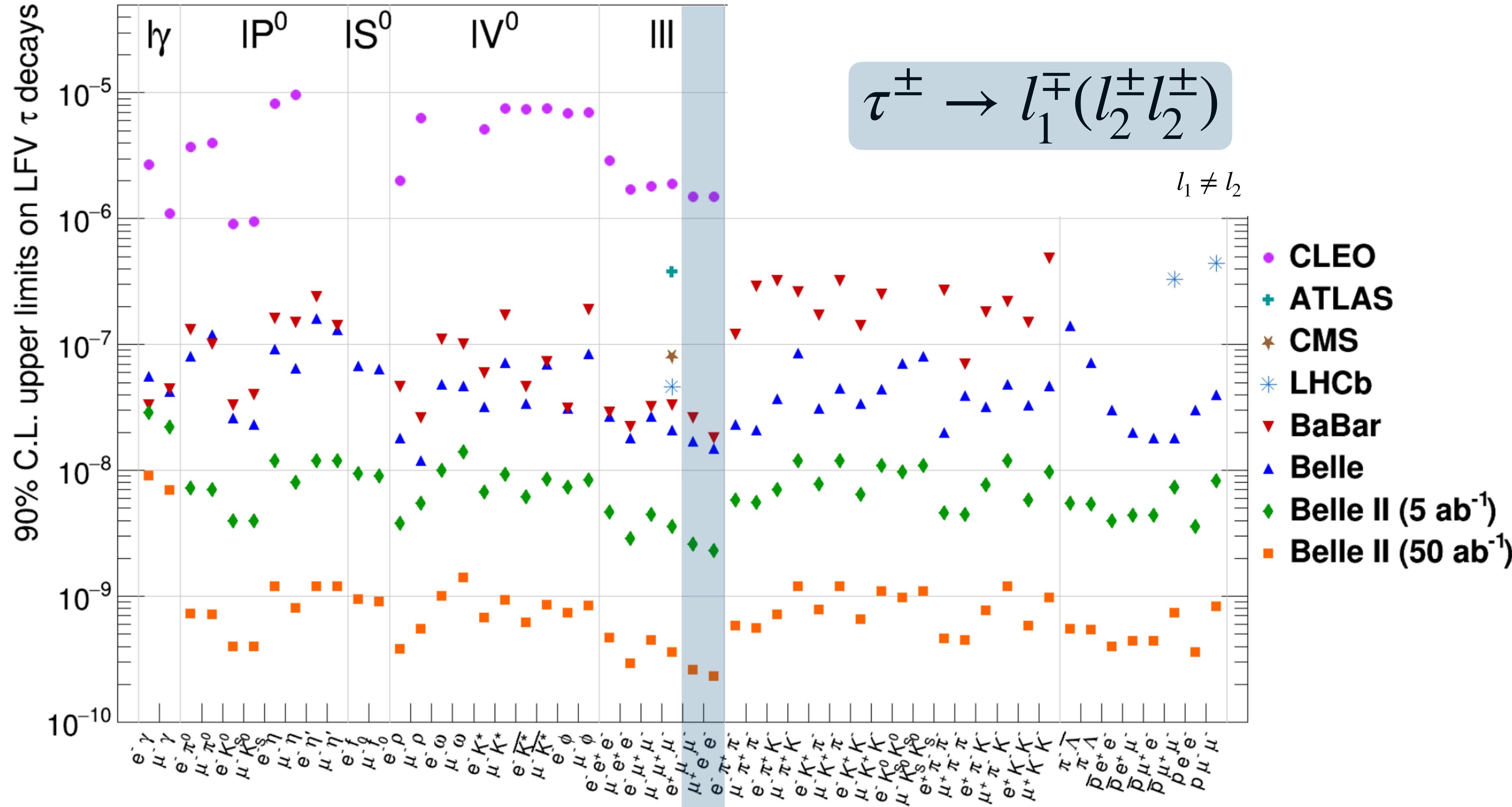
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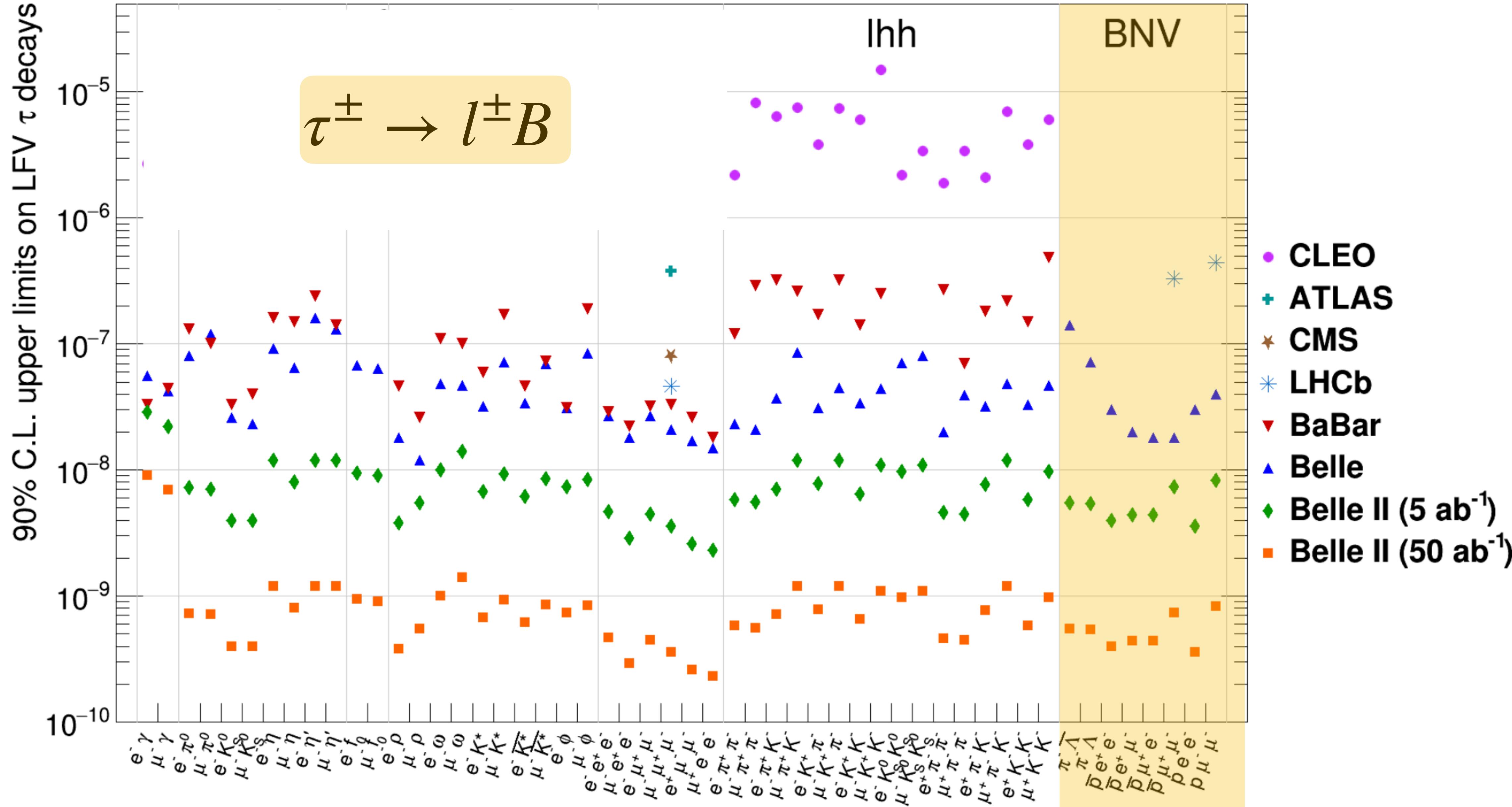
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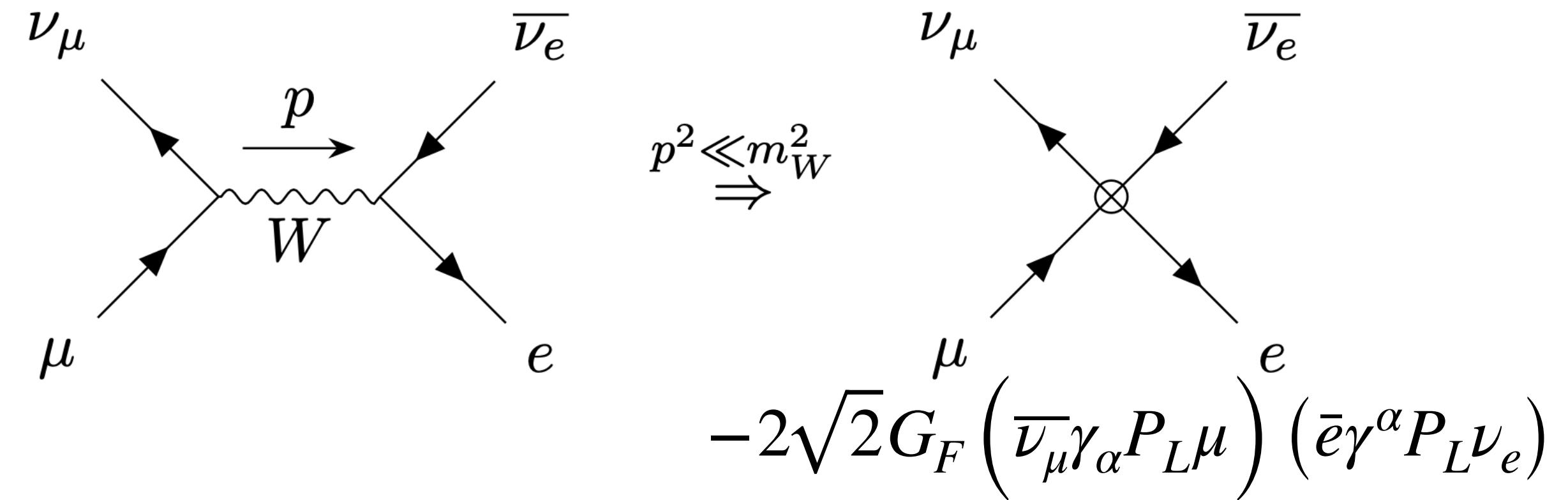
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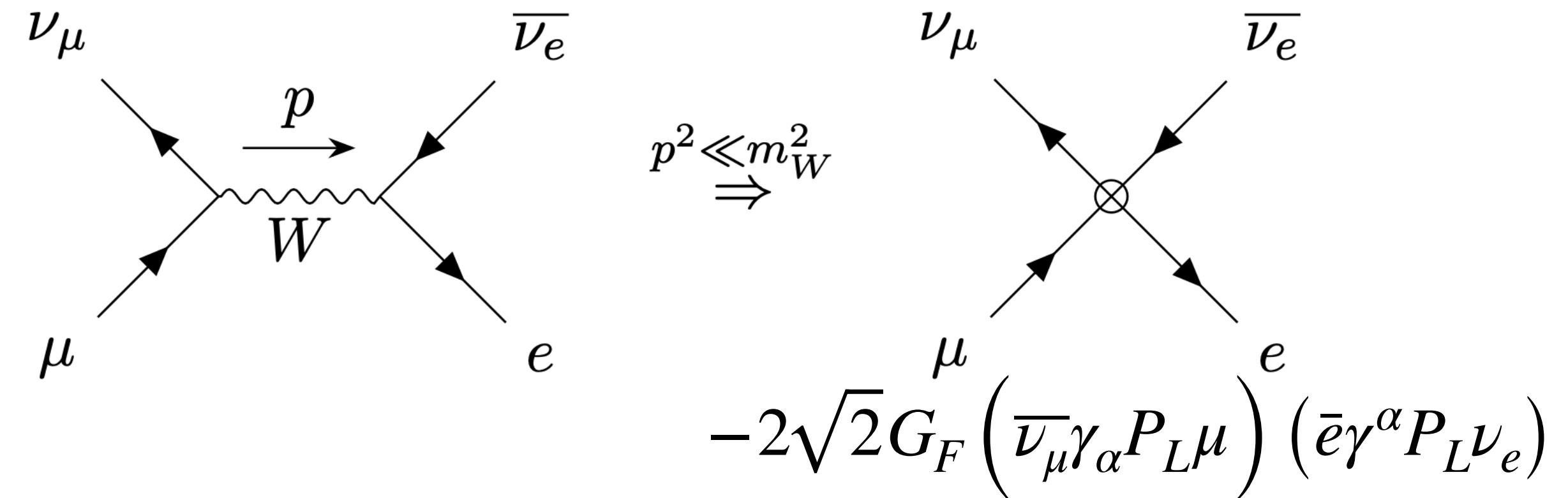
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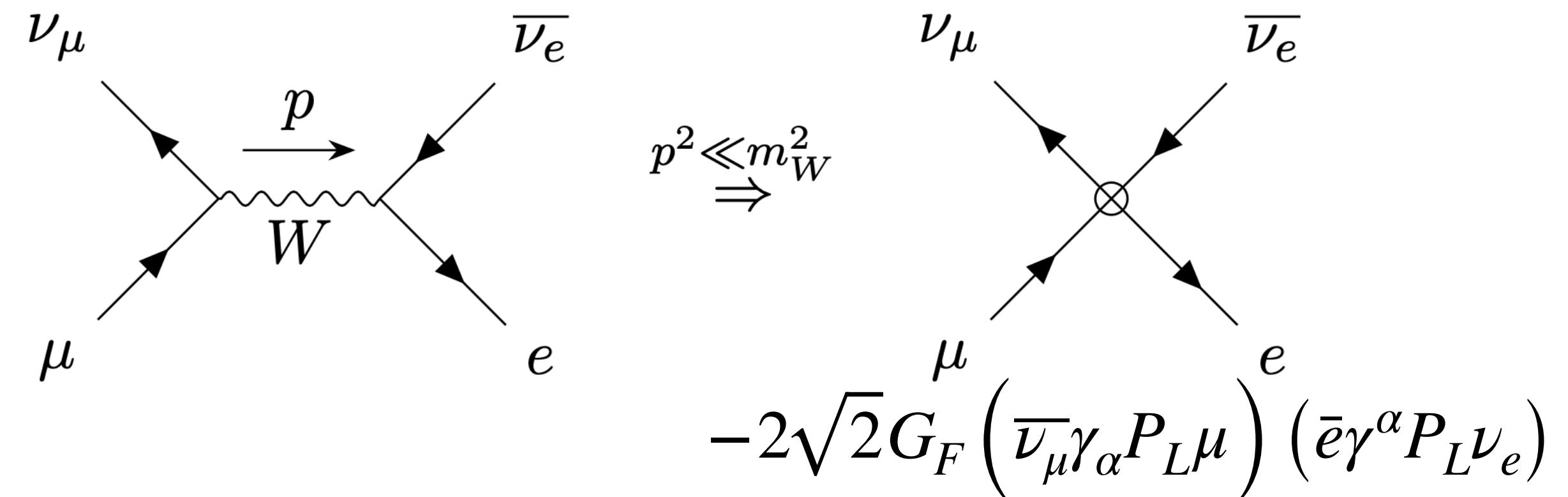


- **The Standard Model may be the low energy limit of another UV theory**

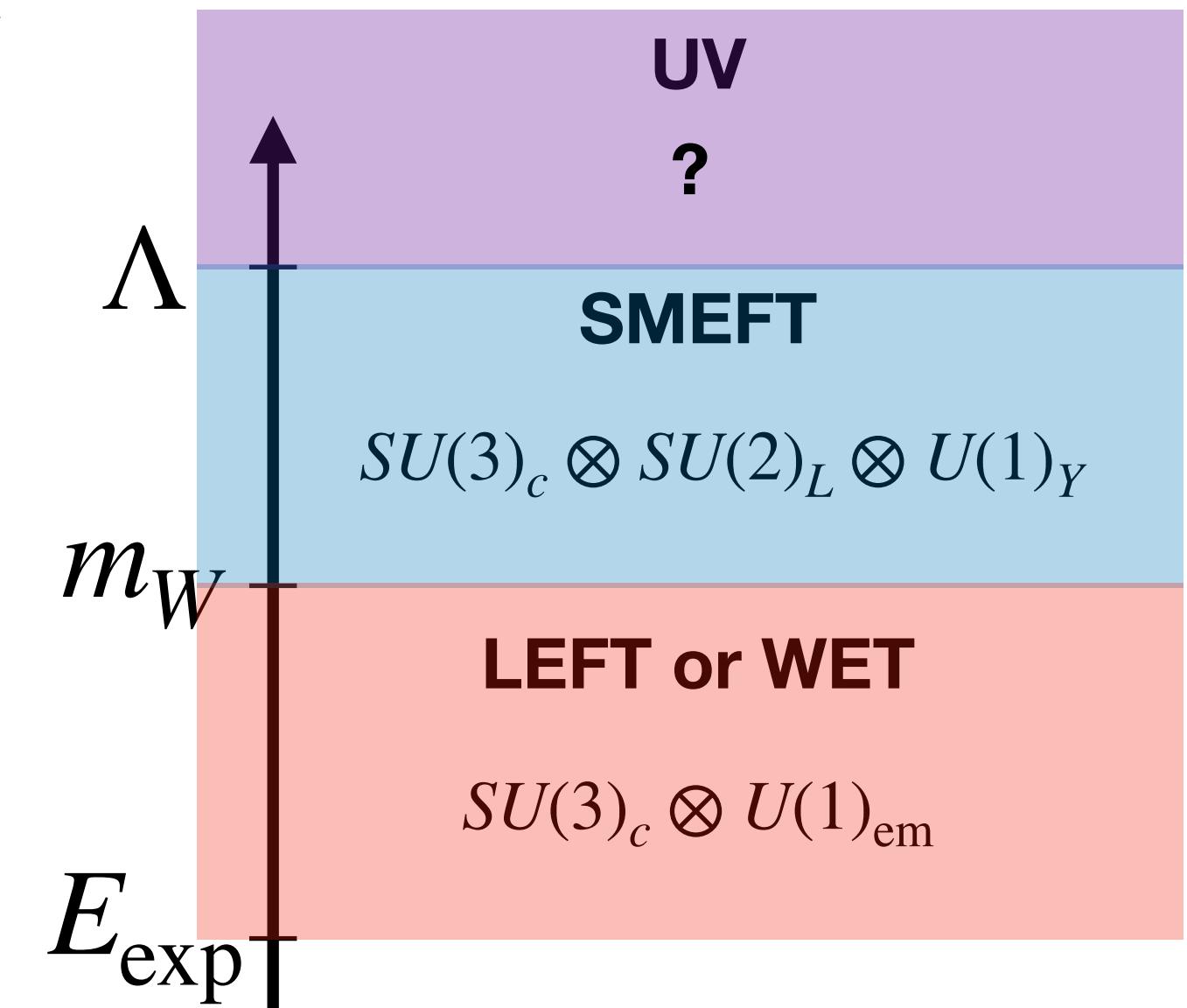
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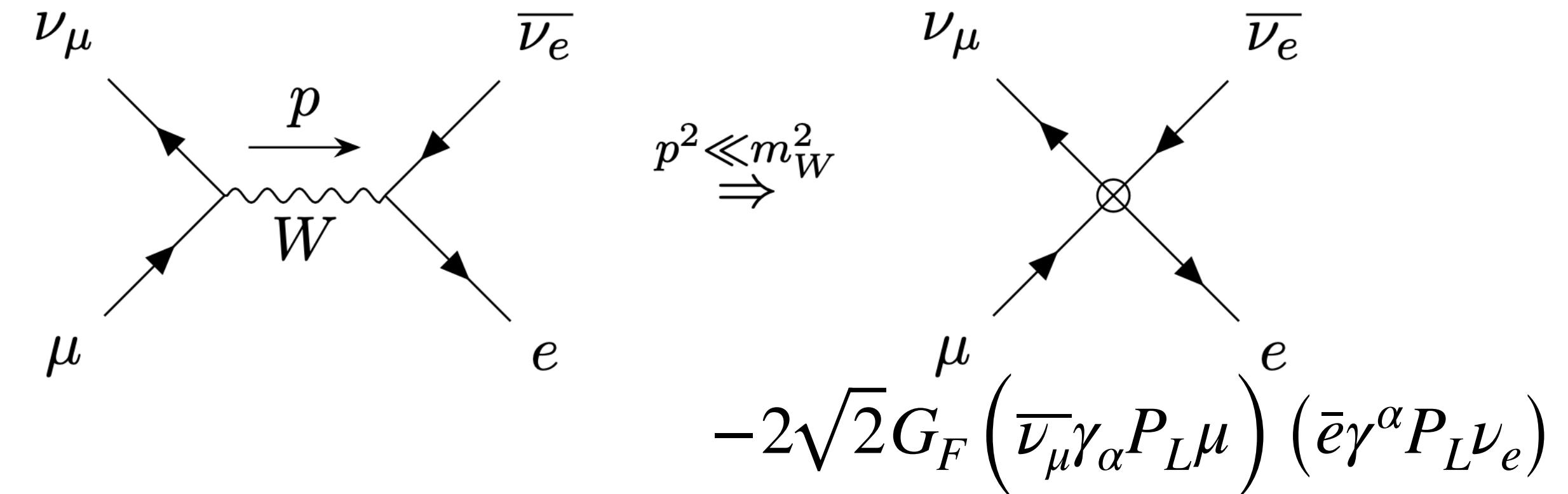
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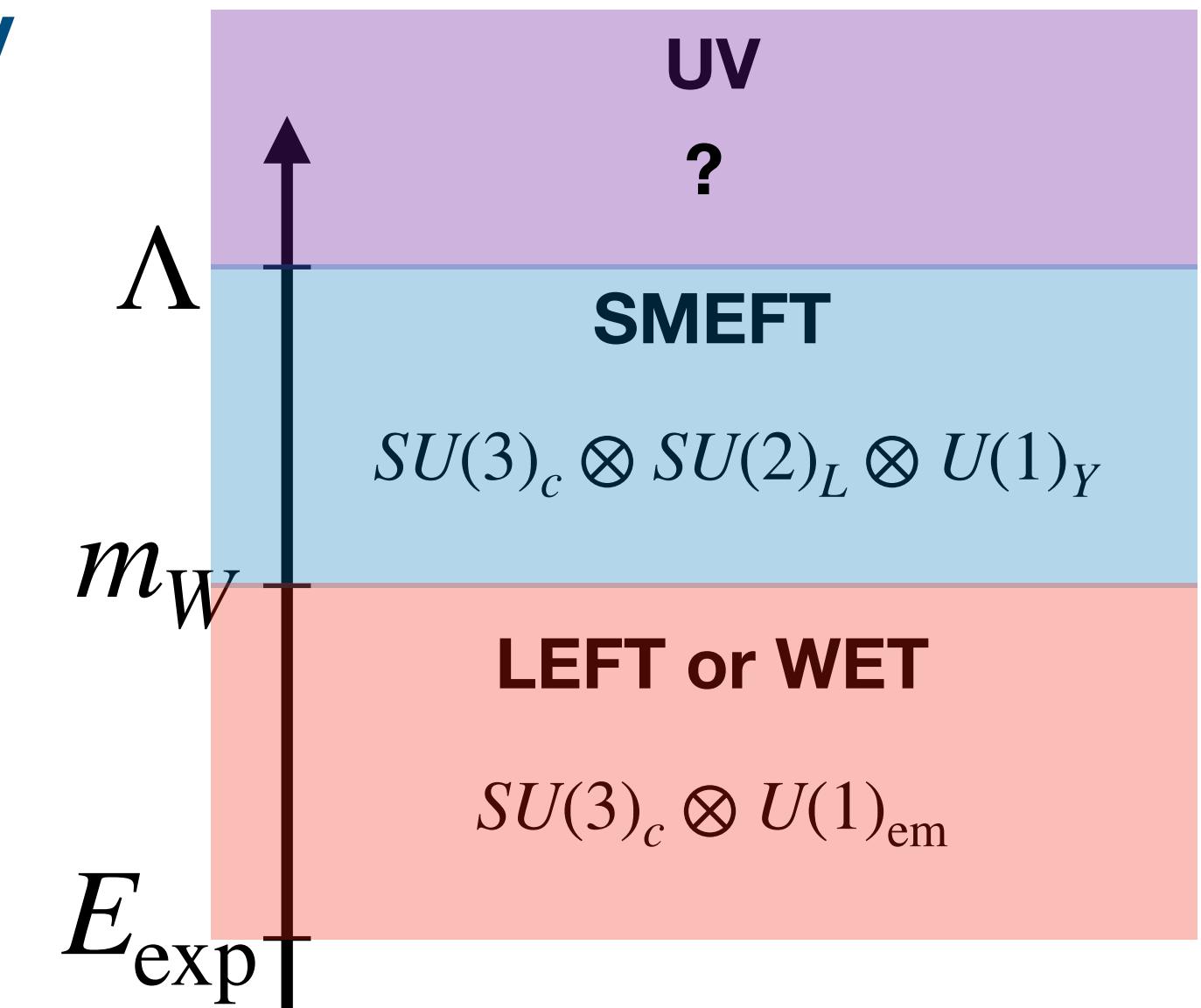


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C_n : Wilson Coefficients = short-distance heavy physics

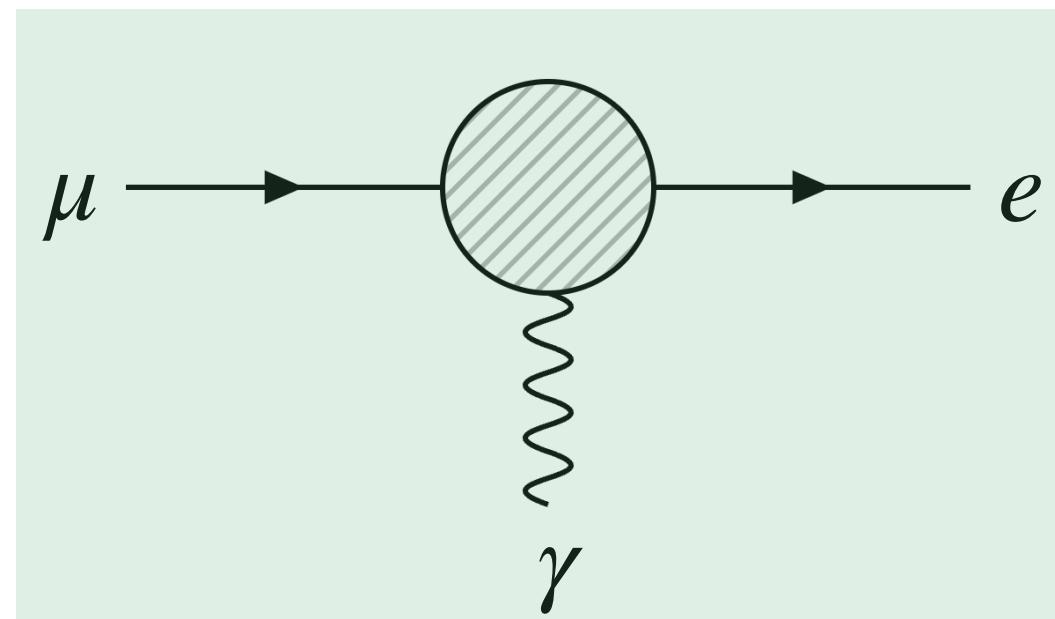
$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_{n>4} \frac{C_n \mathcal{O}_n}{\Lambda^{n-4}}$$

\mathcal{O}_n : Contact interactions among light fields



EFT and LFV observables

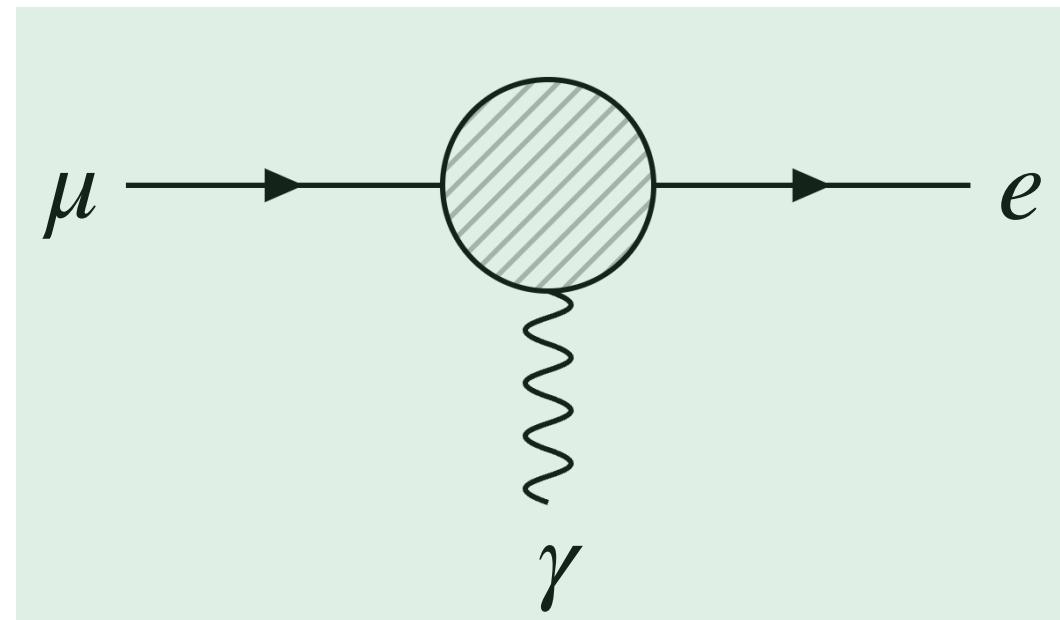
- Observables calculated in terms of the operator coefficients



$$\delta\mathcal{L}_{\mu \rightarrow e\gamma} = \frac{m_\mu}{\Lambda^2} (C_{D,R}^{e\mu} \bar{e} \sigma_{\alpha\beta} P_R \mu + C_{D,L}^{e\mu} \bar{e} \sigma_{\alpha\beta} P_L \mu) F^{\alpha\beta}$$

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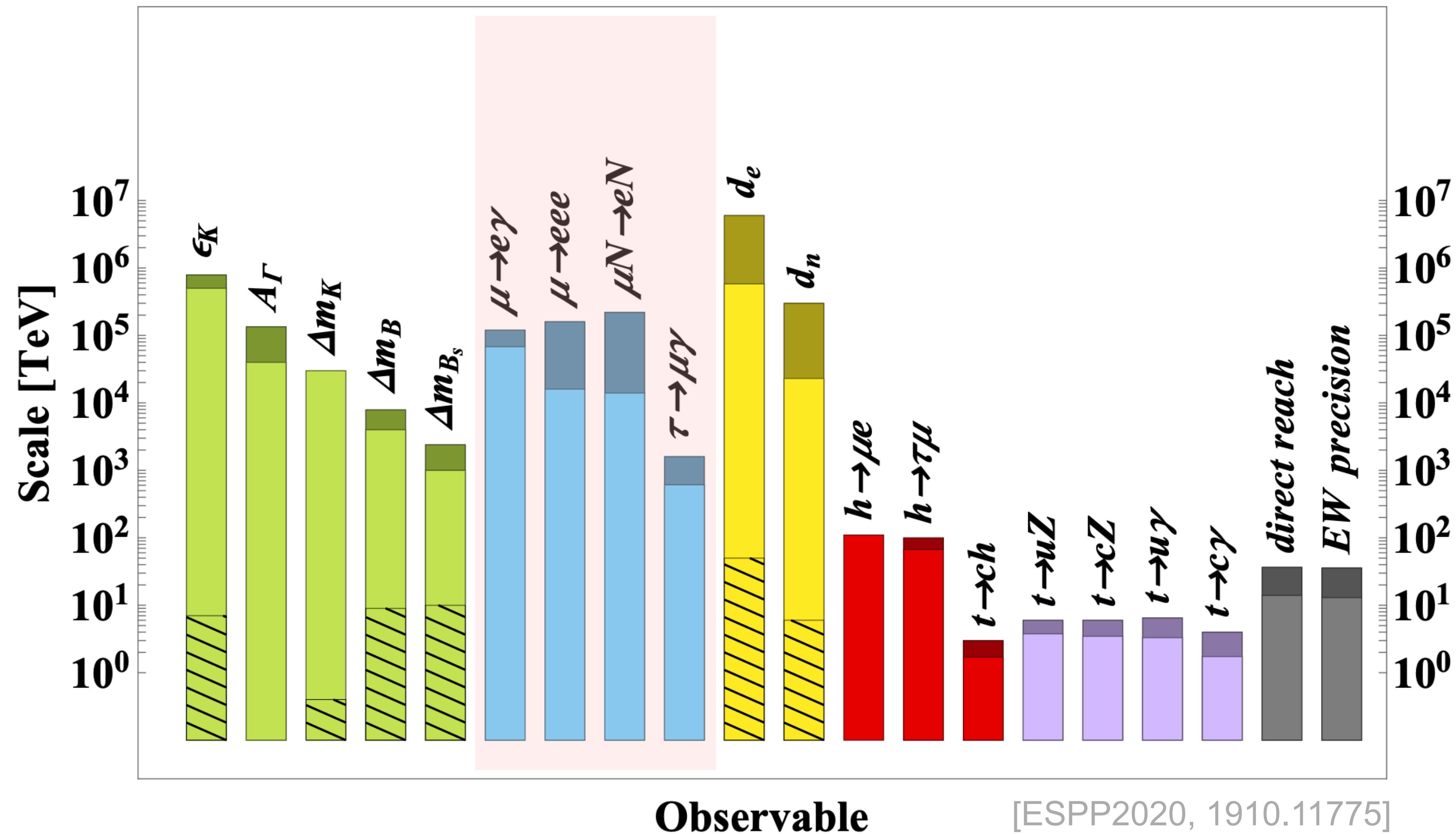
$$Br(\mu \rightarrow e\gamma) = 384\pi^2 \left(\frac{v}{\Lambda}\right)^4 (|C_{D,R}^{e\mu}|^2 + |C_{D,L}^{e\mu}|^2) < 1.5 \times 10^{-13} \rightarrow \left(\frac{v}{\Lambda}\right)^2 |C_{D,X}^{e\mu}| < 10^{-8}$$

$$v^2 = (2\sqrt{2}G_F)^{-1} \sim (174 \text{ GeV})^2$$

$$\Lambda \gtrsim 10^4 v \text{ (if } C_D \sim 1)$$

EFT and LFV observables

LFV observables sensitive to large New Physics scale



If NP is light

- Well motivated BSM scenarios predict **new states with light masses** (sub-electroweak)
- For instance, axions or ALPs are pseudo-Goldstones boson of spontaneously broken $U(1)$ s = naturally light
- ALPs arise in many extension of the SM (and can be DM candidates or mediator)
- Flavour violating couplings arise when the $U(1)$ are non-universal (e.g flaxion/axiflaviton) [Ema et al, 1612.05492]
[Calibbi et al, 1612.08040]

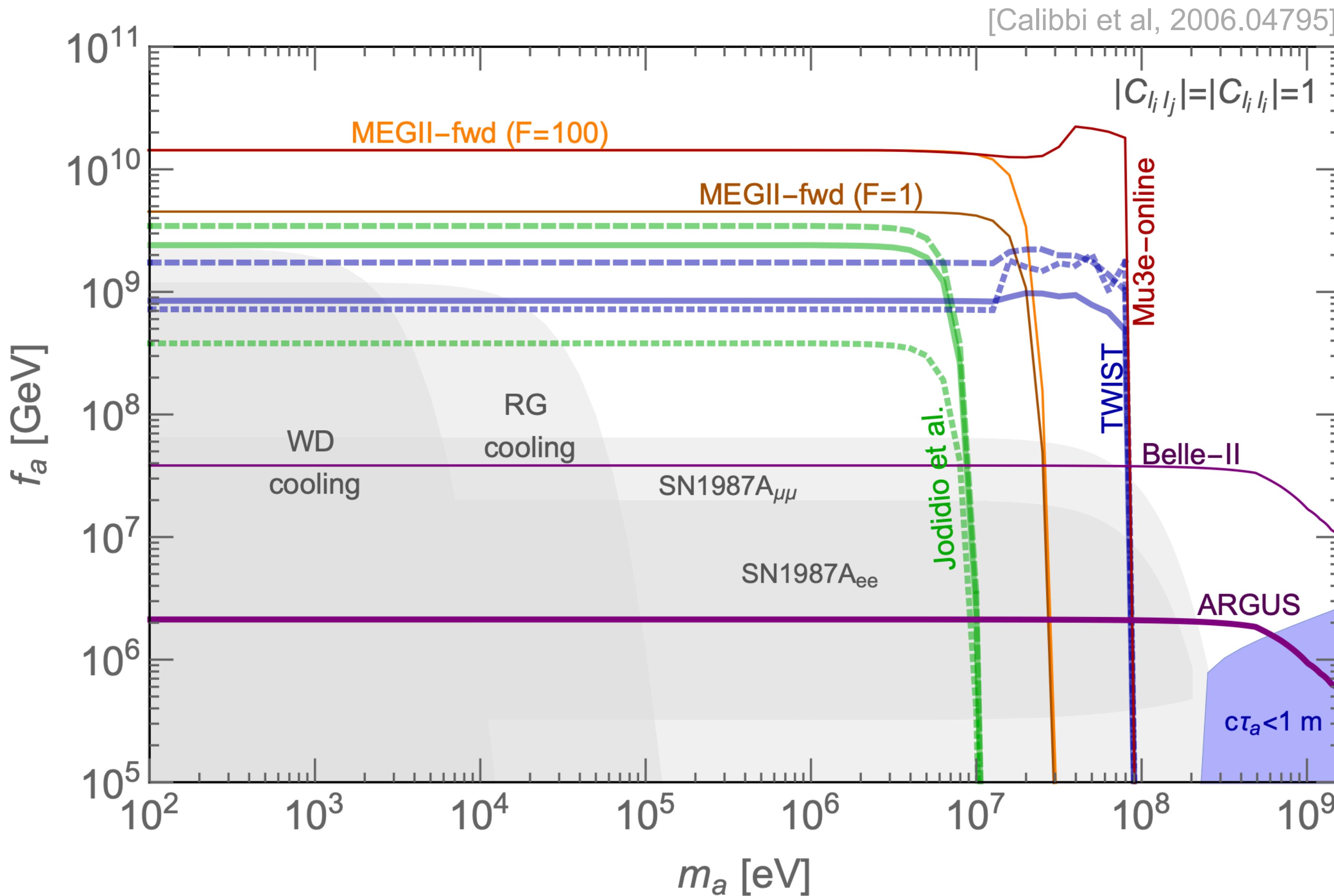
$$\mathcal{L}_{aff} = \frac{\partial_\mu a}{2f_a} \bar{f}_i \gamma^\mu \left(C_{f_i f_j}^V + C_{f_i f_j}^A \gamma_5 \right) f_j$$

- If produced on shell (and does not decay visibly inside the detectors) possible signatures are

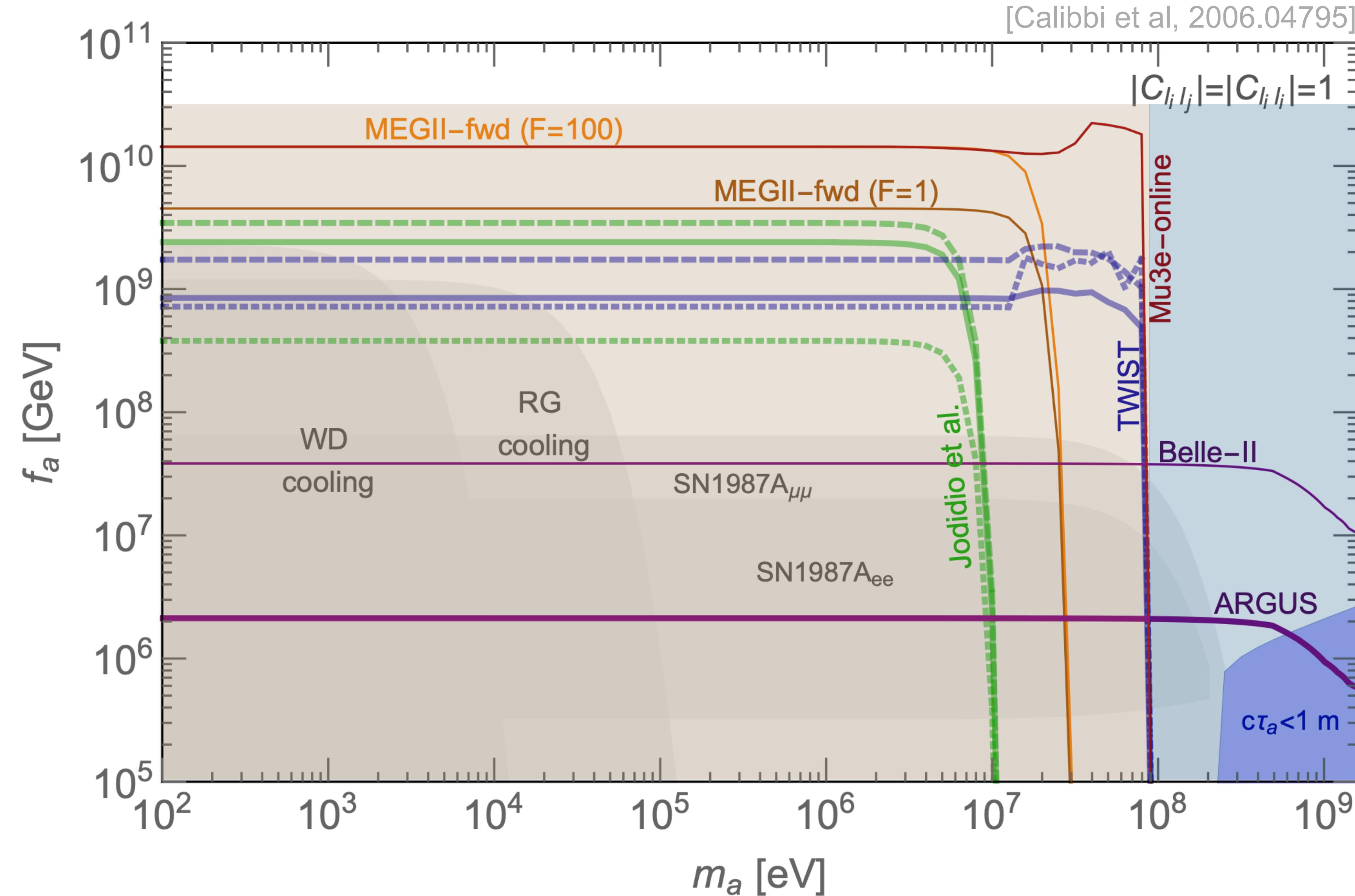
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LFV ALP Searches



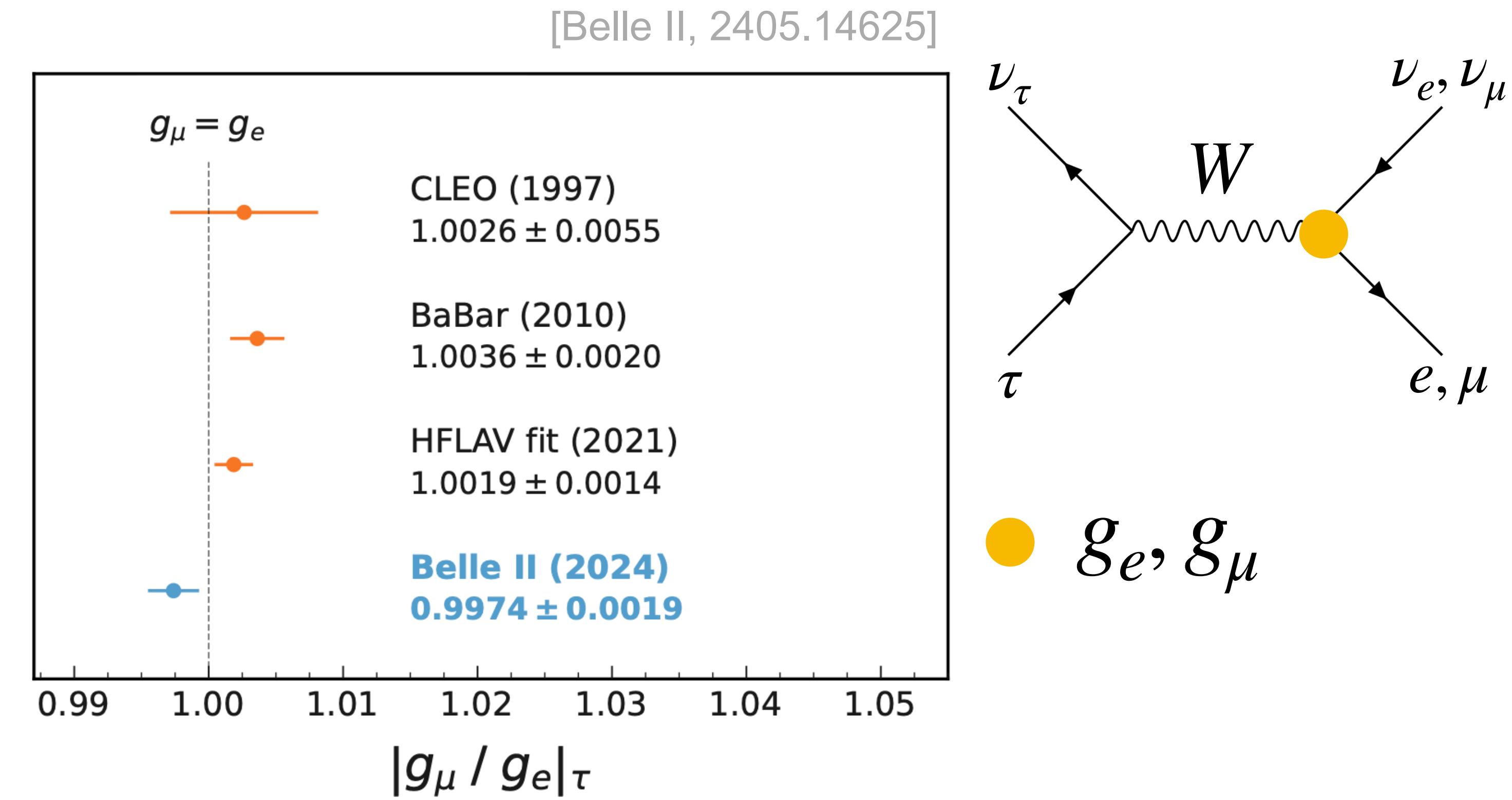
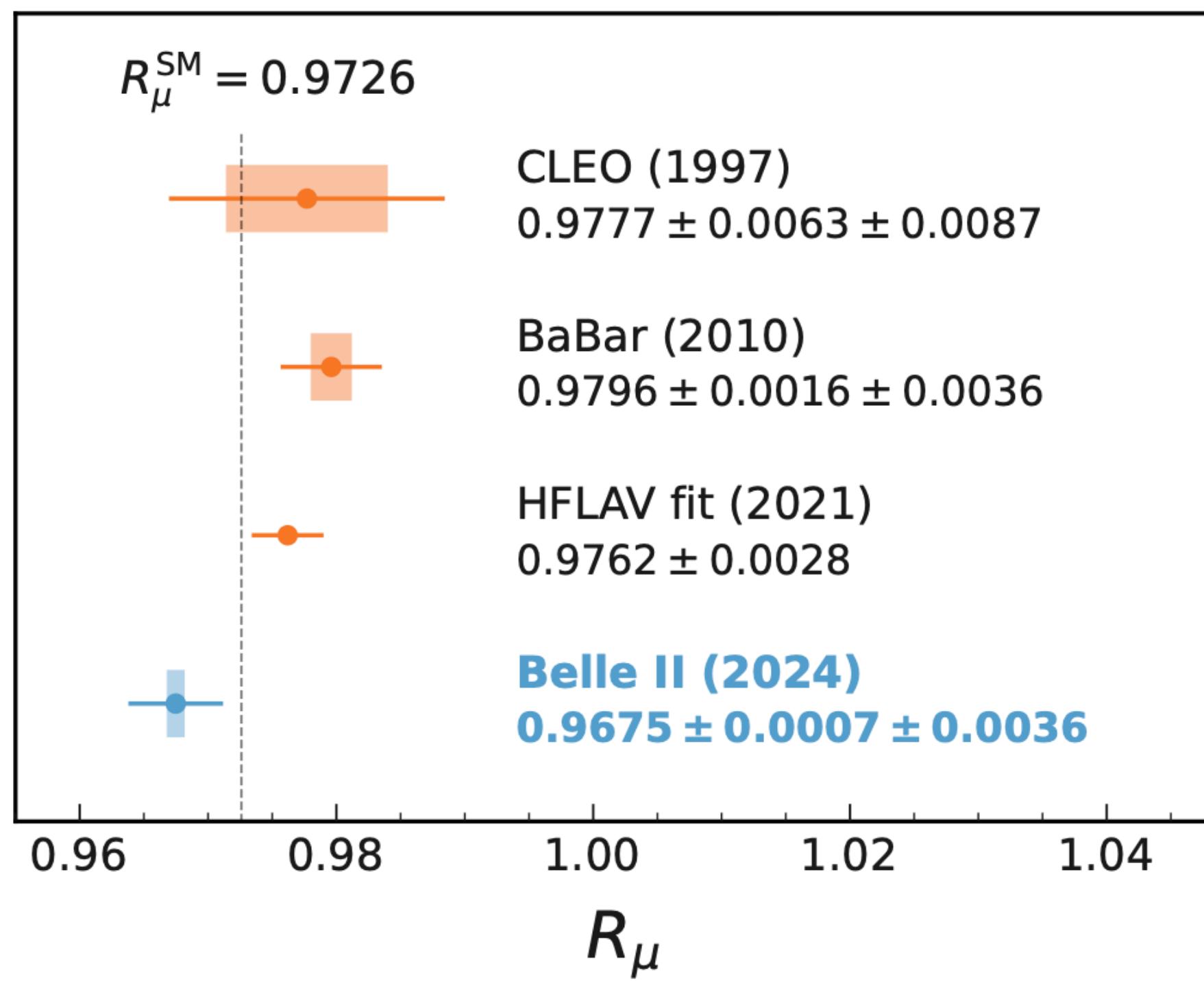
LFV ALP Searches



Lepton Flavour Universality in τ decays

- Test $\mu - e$ universality in tau decays
- Phase space and QED corrections are known with incredible precision

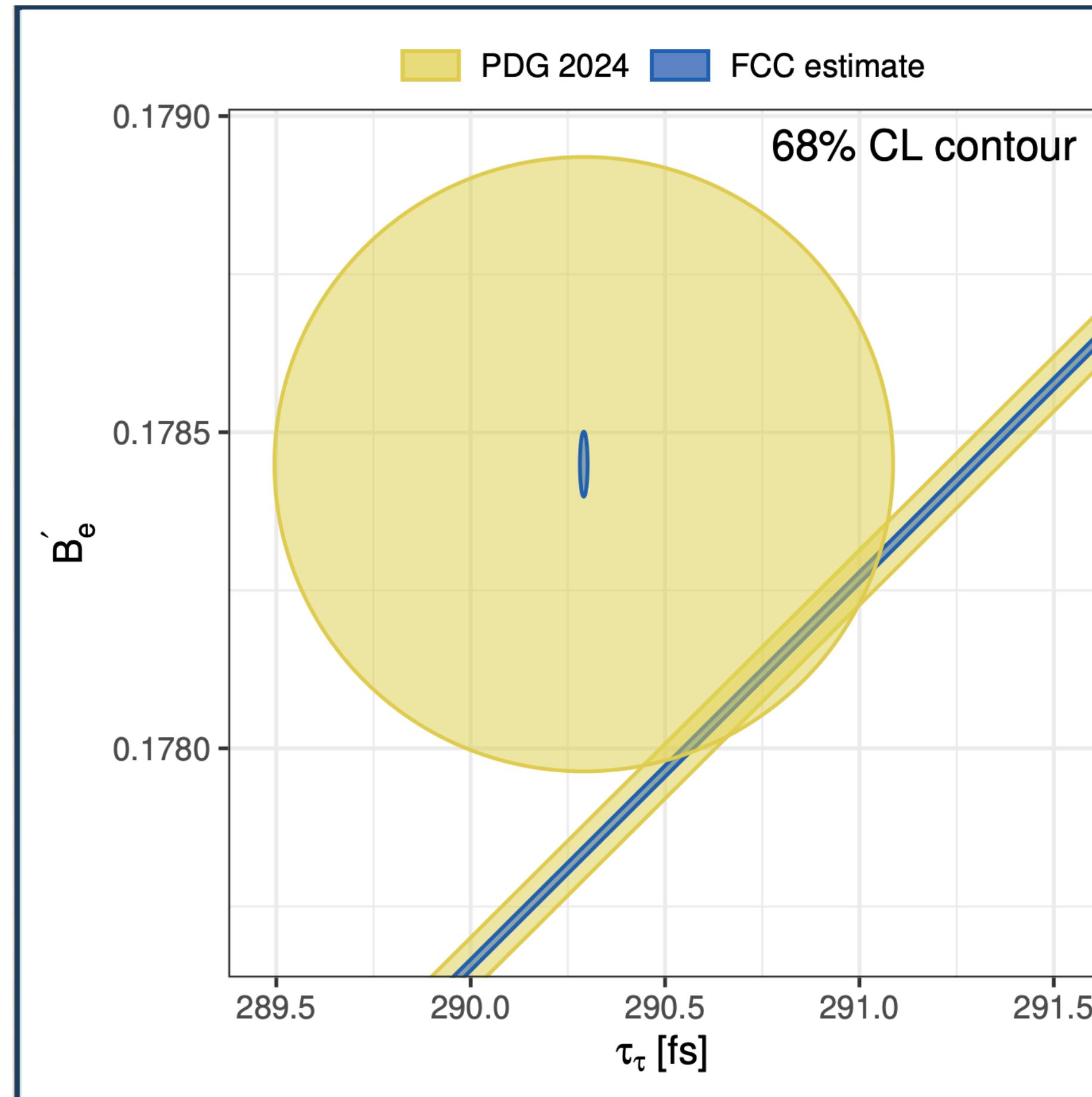
$$R_\mu = \frac{\text{BR}(\tau \rightarrow \mu \nu \bar{\nu})}{\text{BR}(\tau \rightarrow e \nu \bar{\nu})} = \frac{f(m_e^2/m_\tau^2)}{f(m_\mu^2/m_\tau^2)} \left(1 + \Delta_{\text{QED}} \right)$$



Lepton Flavour Universality in τ decays

- Can also test universality by normalizing to muon decays

[Lusiani @FCC Italy & France Workshop 2024]



$$B'_e = \text{Br}(\tau \rightarrow e\bar{\nu}\nu) \sim \text{Br}(\mu \rightarrow e\bar{\nu}\nu) \frac{m_\tau^5}{m_\mu^5} \frac{\tau_\tau}{\tau_\mu} \times \left(\frac{g_\tau}{g_\mu} \right)^2$$

- Consistent with universality

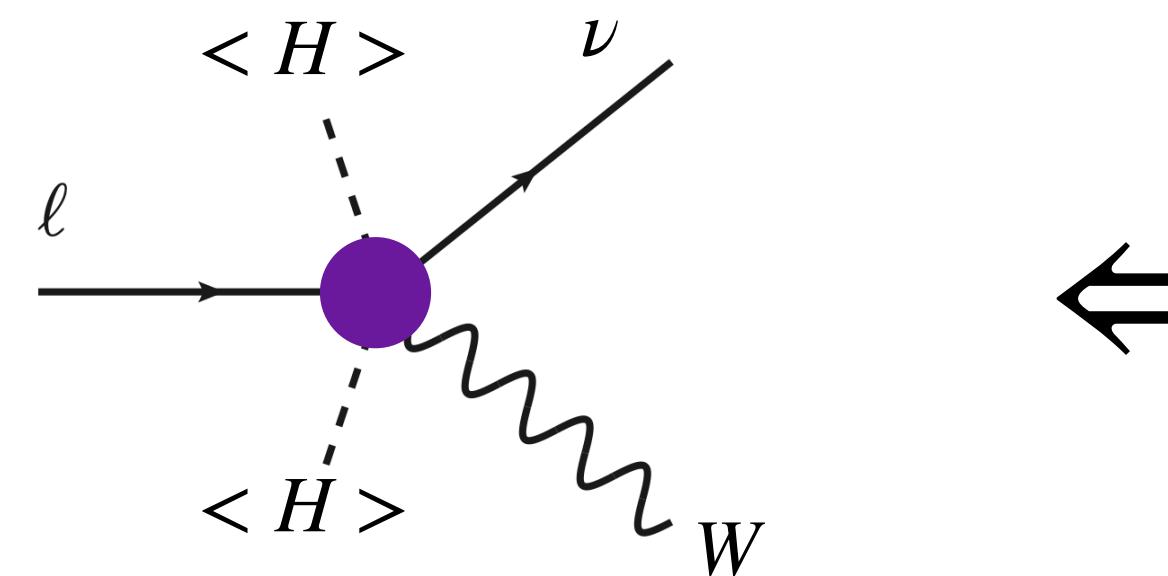
[HFLAV 2023]

$$(g_\tau/g_\mu) = 1.0017 \pm 0.0013$$

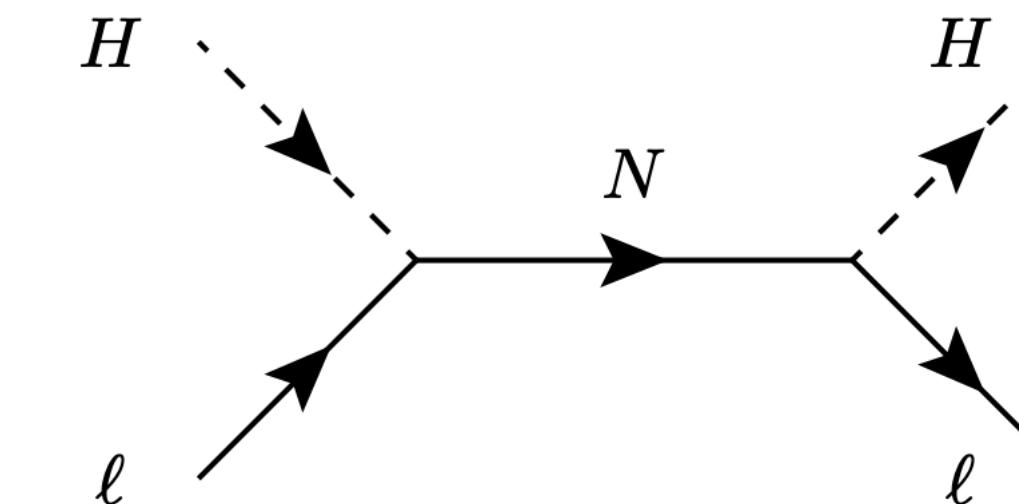
- FCC could significantly improve the precision on the tau mass, lifetime and decay branching ratios

LFU tests with τ -s and NP models

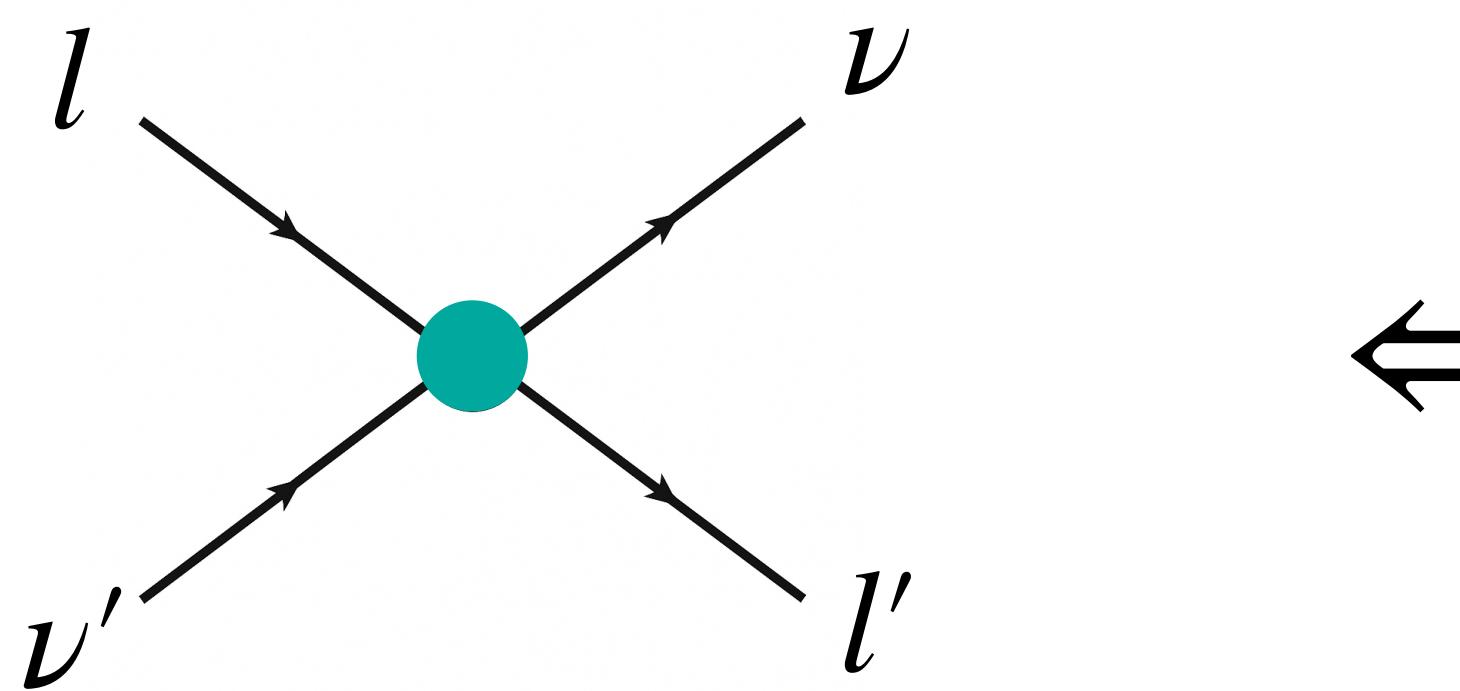
- They can probe modified W couplings



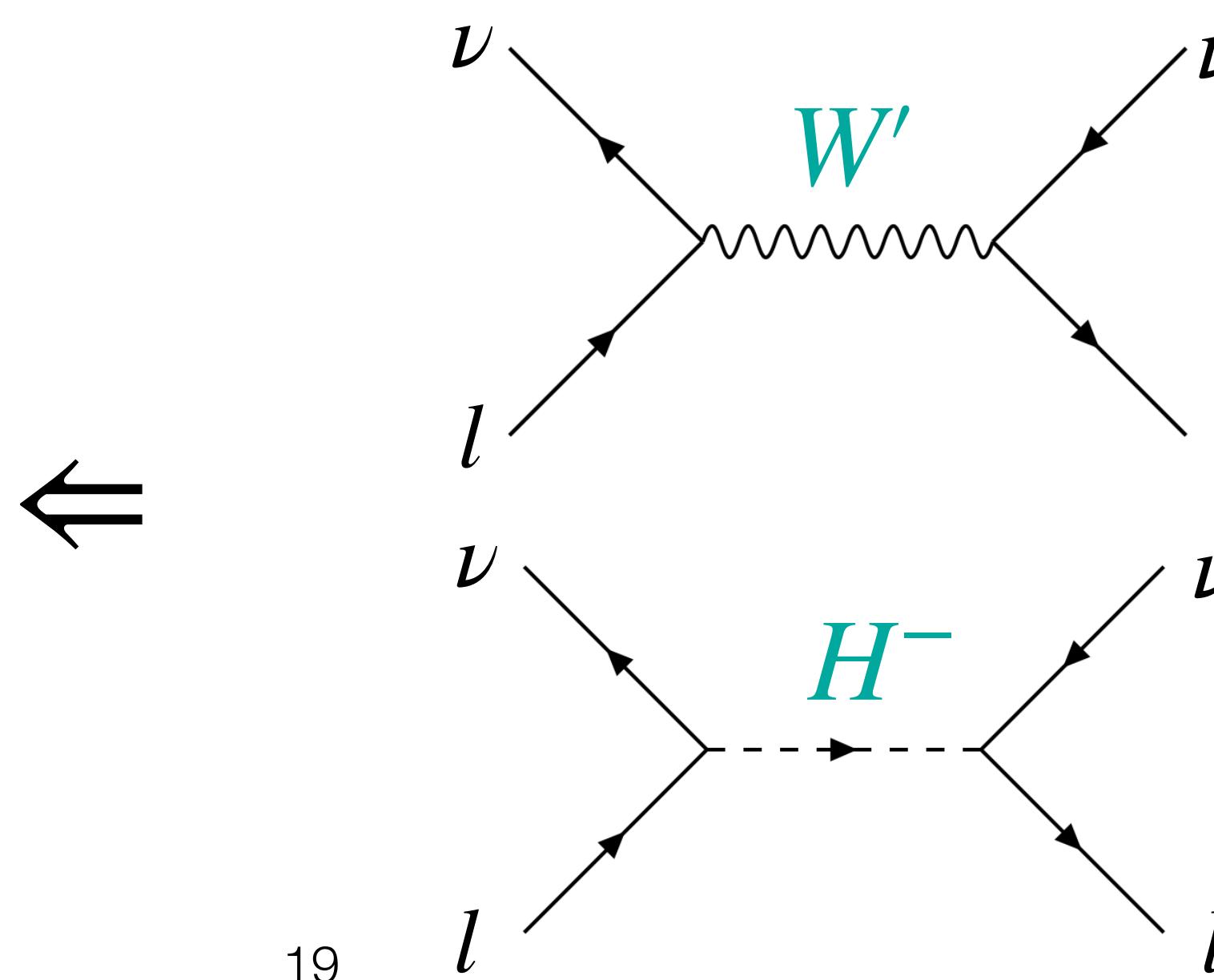
Ex: Type-I seesaw



- But can also be sensitive to new four fermion interactions



Ex: 331 or Left-Right Sym model



Ex: Two Higgs Doublet model

Conclusions

- **Lepton Flavour Violation** must exist, as established by neutrino oscillations.
- **Observation of LFV in the charged lepton sector** would be a clear, smoking-gun signature of new physics.
- **Muon LFV experiments** will improve sensitivities by several orders of magnitude in the coming years.
- **Tau LFV decays** are being probed at Belle II with enhanced sensitivity, and are expected in scenarios where NP couples preferentially to the third generation.
- **Lepton Flavour Universality tests** provide complementary probes of NP, and in combination with LFV searches can help discriminate among different models.

LFU Violation and LFV

$$U(3)_\ell \times U(3)_e \rightarrow U(1)_e \times U(1)_\mu \times U(1)_\tau$$

By the **LFU violating SM Yukawa**

- Any source of additional LFU violation that is misaligned with the Yukawa leads to LFV

$$U(1)_e \times U(1)_\mu \times U(1)_\tau \rightarrow \text{LFV}$$

- But NP may be:

- ▶ (approximately) aligned (Minimal Flavour Violation-like or $U(2)$ symmetric)

[Greljo, Isidori, Marzocca 1506.01705, ...]

- ▶ Or stem from gauge invariant extension that share the same accidental symmetry

Eg. Models with gauged $L_i - L_j$

[Alonso, Grinstein, Martin-Camalich 1505.05164]

[Altmannshofer, Davighi, Nardecchia 1909.02021]

- **LFU ≠ LFV**, but could be related. **Searches are highly complementary and can distinguish NP scenarios**

$\tau \rightarrow (e, \mu)$ LFV

$$\mathcal{L}_{\text{Yukawa}} \supset y_\alpha \bar{\ell}_\alpha H e_\alpha + \text{h.c}$$

But

$$y_e, y_\mu \ll y_\tau$$

SM Lagrangian respect an approximate $U(2)$ symmetry acting on the first two generation

If the **NP respect this symmetry** (couples dominantly to third generation), **may expect preferably τ LFV**

Flavour symmetries in connection to the Flavour Puzzle **may lead to residual symmetries that favor $\tau \rightarrow l$**

Ex:

Lepton Flavour Triality [Ma, 1006.3524]

$$\ell_\alpha \rightarrow \left(e^{i \frac{2\pi}{3}} \right)^{T_\alpha} \ell_\alpha$$

$$T_e = 1$$

$$T_\mu = 2$$

$$T_\tau = 3$$

$$\mu^- \rightarrow e^- \gamma$$

$T_\mu = 2 \quad T_e = 1$

$\Delta T \neq 0$

$$\tau^- \rightarrow \mu^+ e^- e^-$$

$3 \quad -2 \quad +1 \quad +1$

$\Delta T = 0 \pmod 3$

See also [Calibbi et al, 2505.24350]