# Tau LFV/LFU measurements



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On behalf of the Belle II collaboration
17th International Conference on Heavy Quarks and Leptons
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### **Outline**

Belle II

- Motivation
- Experimental Method
- Testing Lepton Flavor Universality (LFU) in  $\tau$  decays
- Searching for Lepton Flavor Violation (LFV) in τ decays
- Outlook and conclusion









- $\tau$  pairs produced by  $e^+e^-$  collisions provide a unique laboratory
  - → Test the Standard Model (SM) through precision measurements
  - → Search for non-SM physics
- High-precision measurements of SM properties: Study of hadronization, light LFU, determination of mass and lifetime
  - → Mostly limited by systematics
  - → Requires understanding of detector performance and background modeling to control systematic uncertainties
- World-leading sensitivities for direct searches, target rare or forbidden processes ( $\tau \to \mu\mu\mu$ ,  $\tau \to \ell V^0$ , etc.)
  - → Statistically limited
  - → Larger data sets, new techniques to increase signal efficiency and reduce backgrounds, new detectors





## Working at $B(\tau)$ - factories

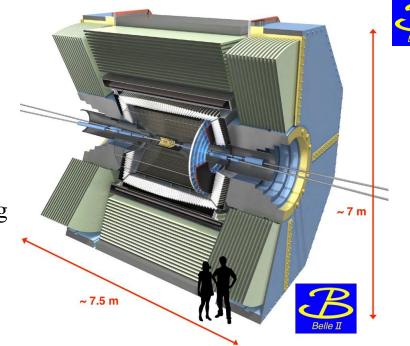
- Clean environment at  $e^+e^-$  colliders
- Ongoing experiment: Belle II
  - $\rightarrow$  At  $\sqrt{s} = 10.58$  GeV:  $\sigma(b\bar{b}) \approx \sigma(\tau\tau) \approx 1$  nb  $\rightarrow$  B &  $\tau$ -factory
  - $\rightarrow$  Known initial states + efficient reconstruction of neutrals ( $\pi^0$  and  $\eta$ ), recoiling system, and missing energy
  - → Specific low-multiplicity triggers (not available at Belle)

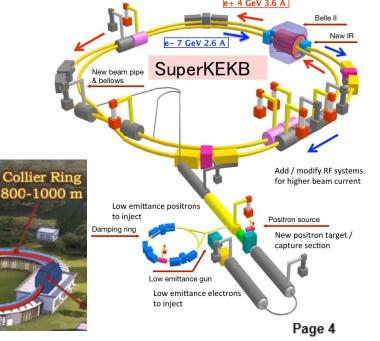
#### **Current datasets:**

- BaBar (1999-2008):  $0.5 \text{ ab}^{-1}$
- Belle (1999-2010):  $1 \text{ ab}^{-1}$
- Belle II: Run1 (2019-2022) + Run2 (2024-present)  $0.6 \text{ ab}^{-1}$

#### **Future dataset:**

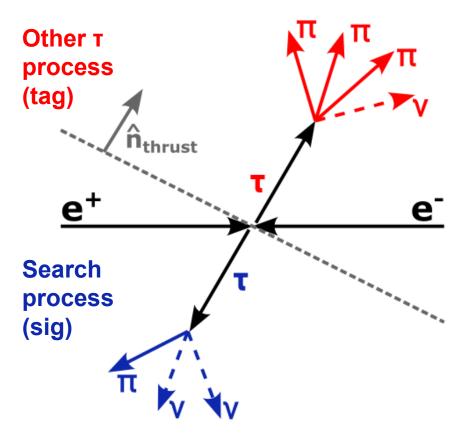
- Belle II:
  - $30 \times \text{KEKB peak luminosity} \rightarrow 6 \times 10^{35} \text{cm}^{-2} \text{s}^{-1}$  $50 \times \text{BELLE integrated luminosity} \rightarrow 50 \text{ ab}^{-1}$
  - $\rightarrow$  4.6 × 10<sup>10</sup>  $\tau$  pairs
- Future super  $\tau$ -charm factory (STCF)
  - 1 ab<sup>-1</sup>/per year at 4-5 GeV (3.5 × 10<sup>9</sup>  $\tau$  pairs pairs)







- $\tau$  pairs in  $e^+e^- \to \tau\tau$  events are produced back-to-back in center-of-mass system
- Separation into two hemispheres defined by the plane perpendicular to the thrust axis  $\hat{n}_{thrust}$



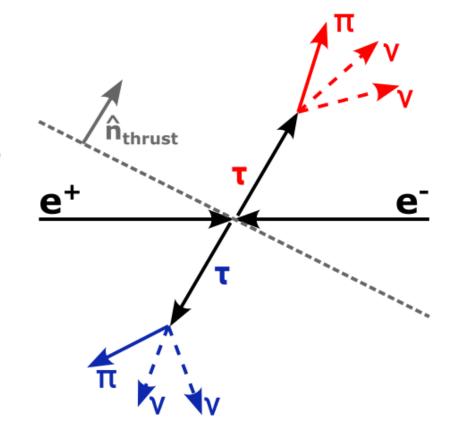
**Use different topologies:** 

$$(1x3)$$
 vs  $(1x1)$ 

Define best topologies for each analysis, e.g., to suppress background

$$T = \max_{\hat{n}_{thrust}} \left( \frac{\sum_{i} |p_{i} \cdot \hat{n}_{thrust}|}{\sum_{i} |p_{i}|} \right)$$

 $\hat{n}_{thrust}$ , the best approximation of the  $\tau$  flight direction

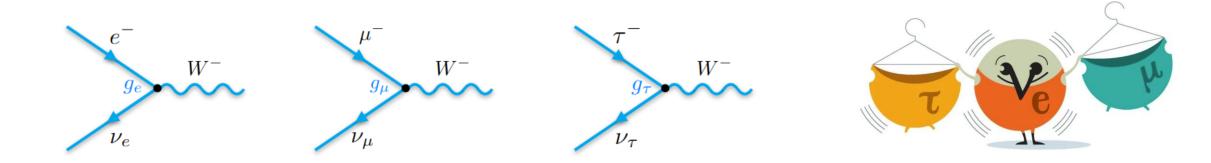






## **Lepton Flavor Universality**

The W boson of the SM couples equally to all charged leptons (LFU):  $g_e = g_{\mu} = g_{\tau}$ 



• Experimentally often tested via branching ratio ratios to cancel systematic uncertainties e.g.:

$$R_{\mu} = \frac{\mathcal{B} \left(\tau \to \mu^{-} \bar{\nu}_{\mu} \nu_{\tau}\right)}{\mathcal{B} \left(\tau \to e^{-} \bar{\nu}_{e} \nu_{\tau}\right)} \Rightarrow \left|\frac{g_{\mu}}{g_{e}}\right|_{\tau} = \sqrt{R_{\mu} \frac{f\left(m_{e}^{2}/m_{\tau}^{2}\right)}{f\left(m_{\mu}^{2}/m_{\tau}^{2}\right)}}$$

$$f(x) = 1 - 8x + 8x^3 - x^4 - 12x^2 \ln x$$
 (phase space correction)

• A deviation from 1 would directly hint to physics beyond the SM



## τ properties at B factories

# Belle II

#### LFU test with lepton – properties

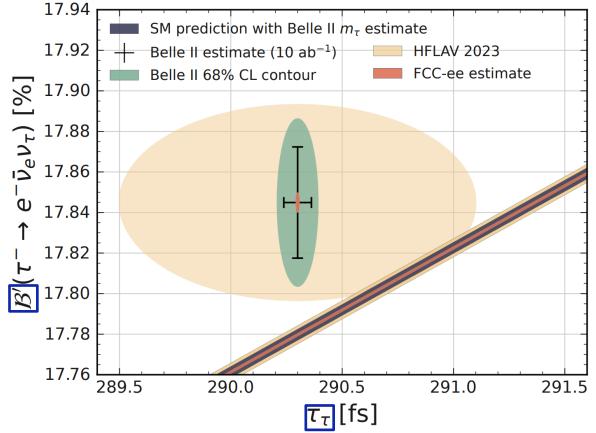
 $\tau$  mass (MeV/c<sup>2</sup>):

Experiment	Value	Stat.	Syst.	Reference
Belle II	1777.09	± 0.08	± 0.11	PRD 108, 032006 (2023)
BES3	1776.91	± 0.12	± 0.12	PRD 90, 012001 (2014)
KEDR	1776.81	± 0.18	± 0.15	PPN 54, 185 (2023)
Belle	1776.61	± 0.13	$\pm~0.35$	PRL 99, 011801 (2007)
BaBar	1776.68	± 0.12	± 0.41	PRD 80, 092005 (2009)

#### $\tau$ lifetime (fs):

Experiment	Value	Stat.	Syst.	Reference
Belle	290.17	$\pm~0.53$	$\pm~0.33$	PRL 112, 031801 (2014)
BaBar	289.40	± 0.91	$\pm~0.90$	Nucl. Phys. B 144, 105 (2005)

#### https://agenda.infn.it/event/44943/contributions/266592/attachments/137404/206425/TAU\_ESPP\_Venice.pdf



#### BR τ lepton:

- Currently,  $\tau$  lepton list contains 252 entries, 148 experimentally measured, 94 with upper limits
- Current PDG table is based on a fit using 170 measurements
- Last measurement of  $\tau \to \ell$  by ALEPH (complex)  $\to$  No direct measurements at B-factories

$$\mathbf{\mathcal{B}_{\tau e}} \propto \mathcal{B}_{\mu e} \frac{\mathbf{\tau_{\tau}}}{\tau_{e}} \frac{m_{\tau}^{5}}{m_{\mu}^{5}}$$

Belle II is working on updated τ-lepton inputs!

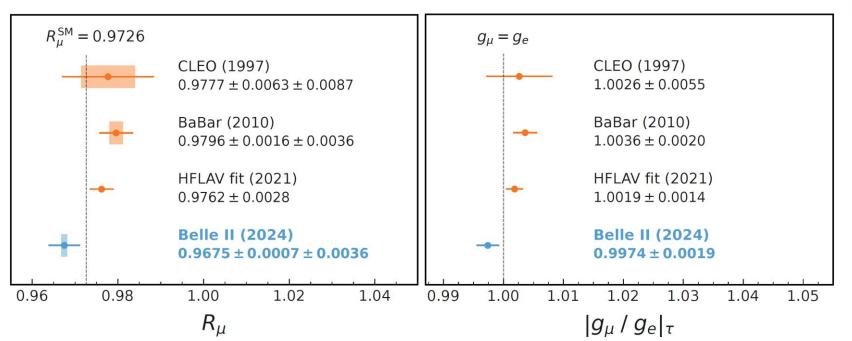


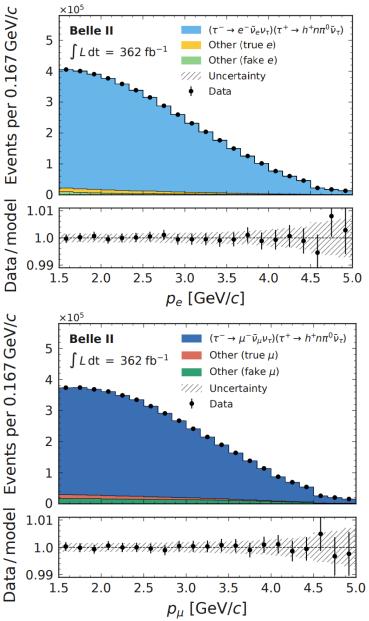
Belle II:  $\mathcal{B}(\tau \to \mu)/\mathcal{B}(\tau \to e) = 0.9675 \pm 0.0007 \text{ (stat)} \pm 0.0036 \text{ (syst)}$ ; JHEP 08, 205 (2024)



#### Test of LFU at Belle II [JHEP 08, 205 (2024)]

- Data sample:  $362 \text{ fb}^{-1} \text{ with } 3 \times 10^8 \tau \text{ pairs}$
- Both leptonic decay modes studied as signal processes
- Use 1-prong tag with one or two  $\pi^0$
- Neural network classifier used to improve signal purity
  - → Signal purity of 96% (electron) and 92% (muon) samples
- Binned likelihood fit of momentum distribution used to extract  $R_{\mu}$





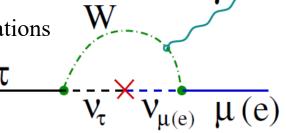


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### **Lepton Flavor Violation**

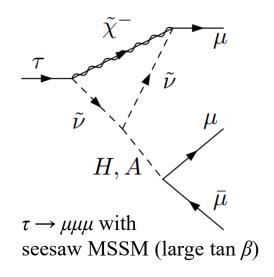
- SM allows LFV in charged lepton decays via weak charged currents and neutrino oscillations
  - $\rightarrow$  But immeasurably small:  $\mathcal{B} \approx 10^{-54} 10^{-49}$
  - → Observation of LFV decays would be a direct evidence of non-SM physics!

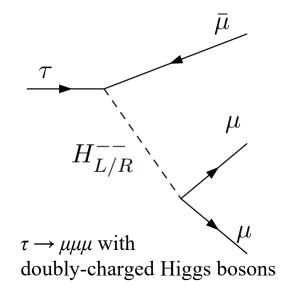


- Hints of LFV and deviation from SM predications in rare B decays (B anomalies in  $b \to c/v$ ,  $\tau$  vs. light leptons)
- Various new physics models predict LFV at observable rates

Physics Model	BR $( au  ightarrow \mu\mu\mu$ )
SM	10-55
SM + Seesaw	10-10
SUSY + Higgs	10-8
SUSY + SO(10)	10-10
Non-universal $Z'$	10-8

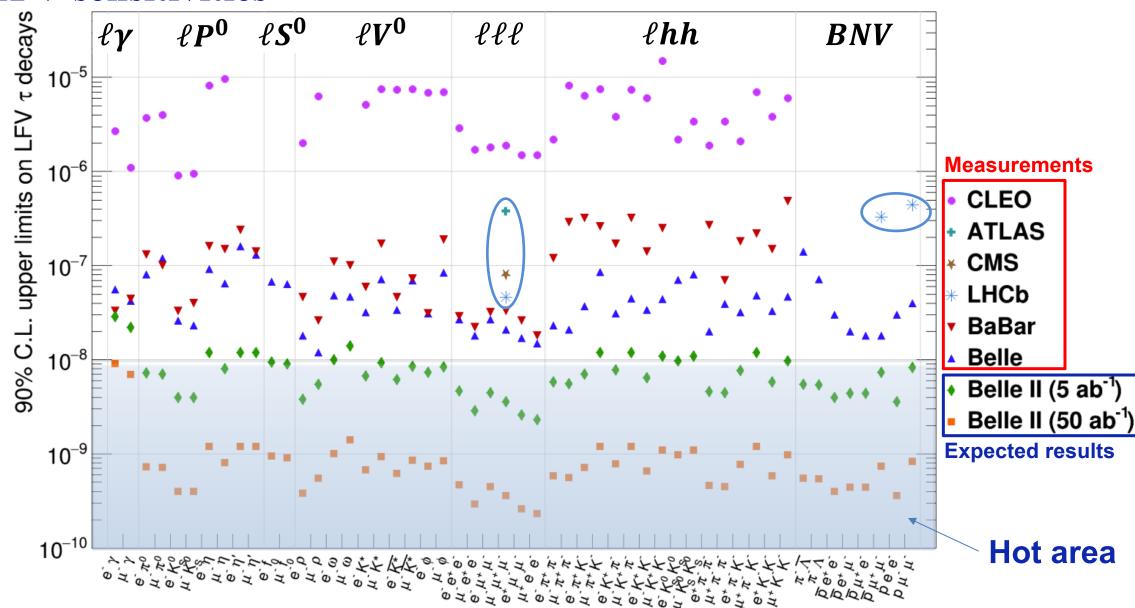
PRD 77, 073010







#### LFV sensitivities



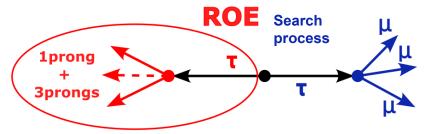


[arXiv.220314919]

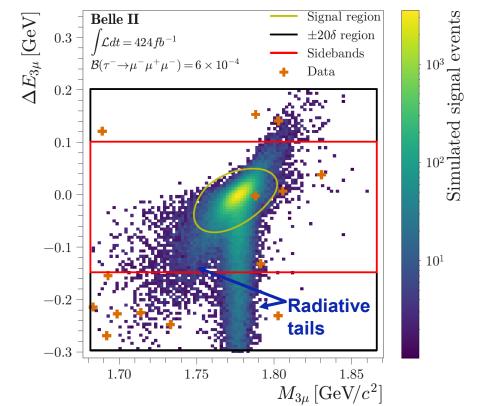


### Search for $\tau \rightarrow \mu \mu \mu$ at Belle II [JHEP 09, 062 (2024)]

- Motivated by new Z', charged Higgs models
- Reconstruct signal in an inclusive, untagged approach  $\rightarrow$  new at Belle II



- Reject  $\ell^+\ell^-(\gamma)$  and  $\ell^+\ell^-\ell^+\ell^-$  processes using data-driven selections + Boosted Decision Tree (**BDT**) classifier to suppress  $q\bar{q}$  background (signal and Rest Of Event (**ROE**) properties)  $\rightarrow$  Signal efficiency  $\varepsilon_{\text{sig}} > 20\%$  (~3× Belle)
- Extract signal by Poisson counting in an elliptical signal region (SR) in the  $\Delta E_{3\mu} = E_{3\mu} \sqrt{s}/2$  and  $M_{3\mu}$  plane



$$\mathcal{B}(\tau^- \to \mu^- \mu^+ \mu^-) = \frac{N_{\text{obs}} - N_{\text{exp}}}{\mathcal{L} \times 2\sigma_{\tau\tau} \times \varepsilon_{3\mu}} = \left(2.1^{+5.1}_{-2.4} \pm 0.4\right) \times 10^{-9}$$

Experiment	Luminosity [fb <sup>-1</sup> ]	$\begin{bmatrix} \mathcal{B}_{90\text{CL}}^{UL}(\tau \to \mu \mu \mu) \\ \left[ 10^{-8} \right] \end{bmatrix}$	Reference
Belle	782	2.1	Phys. Lett. B 687,139 (2010)
CMS	131	2.9	Phys. Lett. B 853,138633 (2024)
LHCb	3	4.6	JHEP 02,121 (2015)
Belle II	424	1.9	JHEP 09, 062 (2024)

World's best result





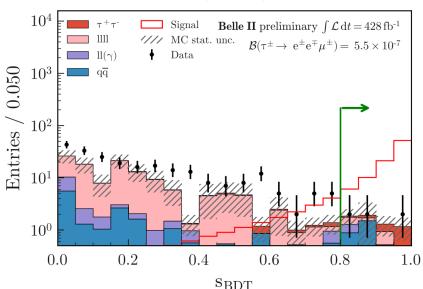
## Extending the search to $\tau \to e^{\pm} \ell^{\mp} \ell'^{-}$ at Belle II [arXiv:2507.18236 (JHEP)]

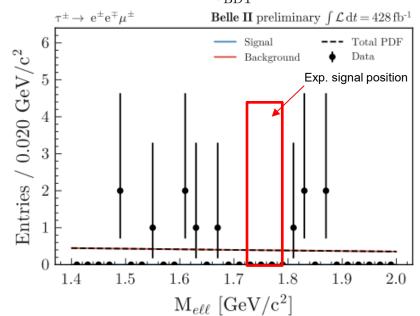
- Inclusive tagging applied  $\rightarrow$  5 modes differentiated via lepton ID selectors
- Higher contamination from  $\ell^+\ell^-(\gamma)$  and  $\ell^+\ell^-\ell^+\ell^-$  processes (known to be mismodeled in simulation)
  - → Use data-driven BDT classifier
  - → Background samples selected away from the SR; rely on signal kinematics from simulation

$$\rightarrow \varepsilon_{sig} \approx 15 - 24\%$$

- Improve sensitivity by extracting the signal from unbinned maximum-likelihood fits to  $M_{ell}$  distributions  $\rightarrow$  Use sidebands to extrapolate expected background yields
- No significant excess in 428 fb<sup>-1</sup> observed  $\rightarrow$  upper limits computed with CLs approach are between 1.3 2.5  $\times$  10<sup>-8</sup>

	$N_{ m exp}$	$N_{ m obs}$	$C_{ m bg}$	$\mathcal{B}$ (10 <sup>-8</sup> )	$\mathcal{B}_{\rm exp}^{UL} \ (10^{-8})$	$\mathcal{B}_{\rm obs}^{UL} \ (10^{-8})$
$e^-e^+e^-$	$6.1^{+4.3}_{-2.9}$	5	$0.52^{+2.64}_{-2.60}$	0	2.7	2.5★
$e^-e^+\mu^-$	$12.1_{-4.3}^{+5.7}$	12	$-0.40^{+1.67}_{-1.68}$	0	2.1	1.6★
$e^-\mu^+e^-$	$10.5^{+5.3}_{-4.3}$	17	$-2.90^{+1.48}_{-1.54}$		1.7	1.6
$\mu^-\mu^+e^-$	$20.7^{+6.6}_{-5.5}$	18	$-2.50^{+1.45}_{-1.52}$	$0.48^{+0.90}_{-0.48}$	1.6	2.4★
$\mu^- e^+ \mu^-$	$7.5^{+4.5}_{-3.2}$	9	$-0.34^{+1.93}_{-1.94}$	0	1.4	1.3★





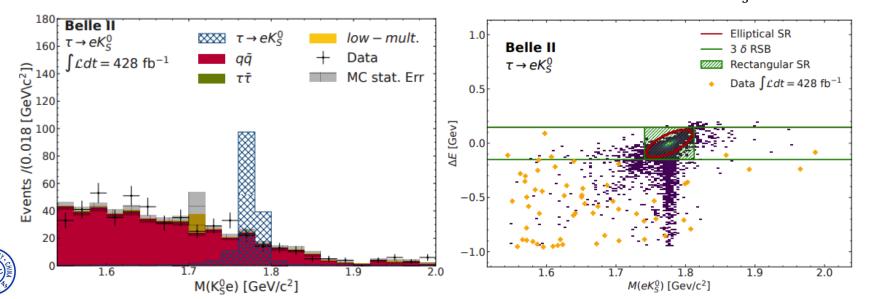


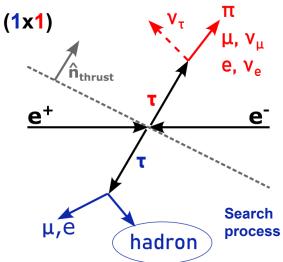
★ World's best results



## Search for $\tau \to \ell K_S^0$ ( $\ell = e, \mu$ ) at Belle + Belle II [JHEP 08, 092 (2025)]

- Constrains new physics models with leptoquark operators
- First LFV search using the combined data set Belle + Belle II  $\rightarrow$  1408 fb<sup>-1</sup>
- 1-prong tag approach; use lepton ID to distinguish signal channels and tag sides
- $K_S^0$  candidate reconstructed from two  $\pi$ s
- Data-driven selection against  $\ell^+\ell^-(\gamma)$  and  $\ell^+\ell^-\ell^+\ell^-$  processes + BDT to suppress  $q\bar{q}$  background (input features from tag-side, event and signal  $K_S^0$  properties)  $\to \varepsilon_{\rm sig} > 10\%$
- Signal yield extracted by Poisson counting in an elliptical SR in  $\Delta E_{\ell K_s^0}$  and  $M_{\ell K_s^0}$  plane
- Expected background extrapolated into SR from exponential fits to  $M_{\ell K_s^0}$  sideband





No significant event found
 → Set 90% CL world's best upper limits:

$$\mathcal{B}^{UL}(\tau \to e(\mu)K_S^0) < 0.8(1.2) \times 10^{-8}$$

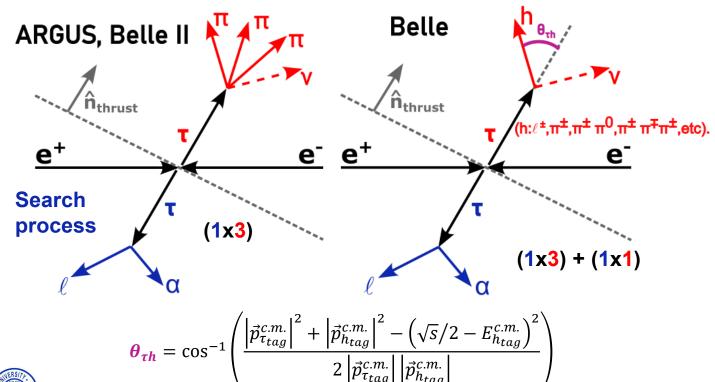
- 3.2 (1.9) times more stringent than Belle with 671 fb<sup>-1</sup>
- Better performance in the electron channel due to superior particle ID

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### Invisible scalar boson in τ-decays at Belle [arXiv:2503.22195v3 (2025)]

- τ decays to new long-lived bosons (e.g. ALPs) predicted in many models
- Search for the process:  $e^+e^- \to \tau_{sig}(\to \ell\alpha) \tau_{tag}(\to n\pi v)$ , with  $\ell = e, \mu$
- Approximate  $\tau_{sig}$  pseudo-rest frame (ARGUS method) as  $E_{sig} \approx \sqrt{s}/2$  and  $\vec{p}_{sig} \approx -\vec{p}_{tag}/|\vec{p}_{tag}|$
- Two-body decay: Search a bump in the lepton momentum spectrum over irreducible background from  $\tau_{SM} \to \ell \nu \nu$



New at Belle (800 fb<sup>-1</sup>): Adding 1-prong tag  $\rightarrow \epsilon_{sig}$  ranges in [0.3-1.5]%

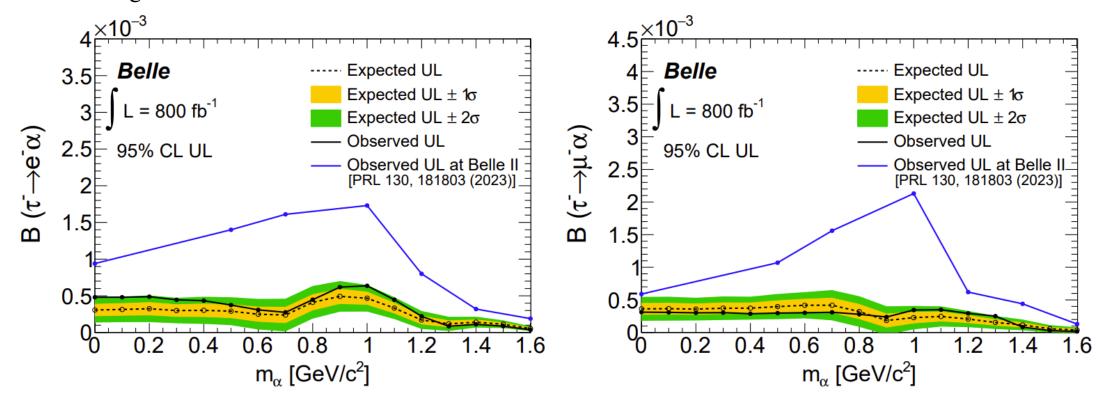
Improve estimate of  $\tau_{sig}$  direction by reconstructing opening angle between  $\tau_{sig}$  and the hadronic system





### Invisible scalar boson in τ-decays at Belle [arXiv:2503.22195v3 (2025)]

- No significant excess found in 736 × 10<sup>6</sup>  $\tau$  pairs  $\rightarrow$  set 95% CL upper limits on  $\mathcal{B}(\tau_{sig} \rightarrow \ell \alpha)$
- Between 0.4–6.4 (0.2–3.5)  $\times$  10<sup>-4</sup> for electron (muon) channels  $\rightarrow$  Most stringent limits to date

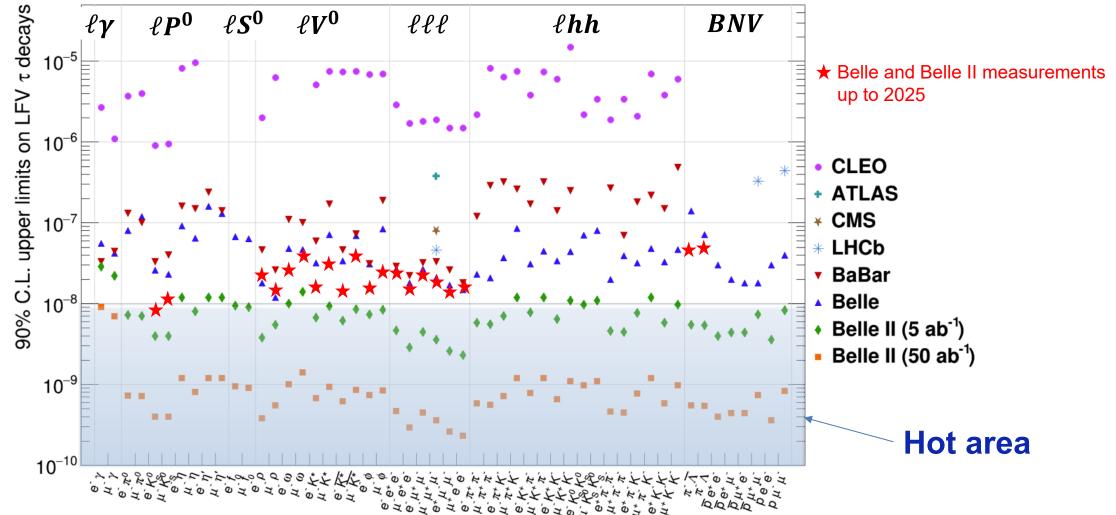


- Update of Belle II analysis [PhysRevLett.130.18180] in internal review!
- Novel technique used based on [PhysRevD.102.115001] → Stay tuned





- Many other publication from Belle and Belle II up to 2025, with several ongoing analysis
- In many decays, we are reaching the "hot area"!





[arXiv.220314919] Page 16



- Studies of LFU and LFV in  $\tau$  decays are an exciting field dominated by  $e^+e^-$  colliders
- Ongoing LFV studies at Belle II → Run 2 has started
  - → New data will allow improvements in almost all LFV channels
- Ongoing work at Belle II to improve precision measurements of  $\tau$  properties
  - → Input for LFU test
- Direct LFU tests ongoing at Belle II with the latest result in JHEP 08, 205 (2024)
  - $\rightarrow$  World's best result for  $|g_{\mu}/g_e|_{\tau}$
- New strategies to boost signal efficiency while controlling the background applied to  $\tau \to \mu\mu\mu$  and  $\tau \to e^{\pm}\ell^{\mp}\ell'^{-}$
- Statistics can be increased by combining Belle and Belle II datasets
  - $\rightarrow$  First combined analysis for  $\tau \rightarrow \ell K_S^0$
- Plans to enhance analysis performance by exploiting improved particle identification and multivariate techniques

#### → Expected world's best sensitivities!





# Backup

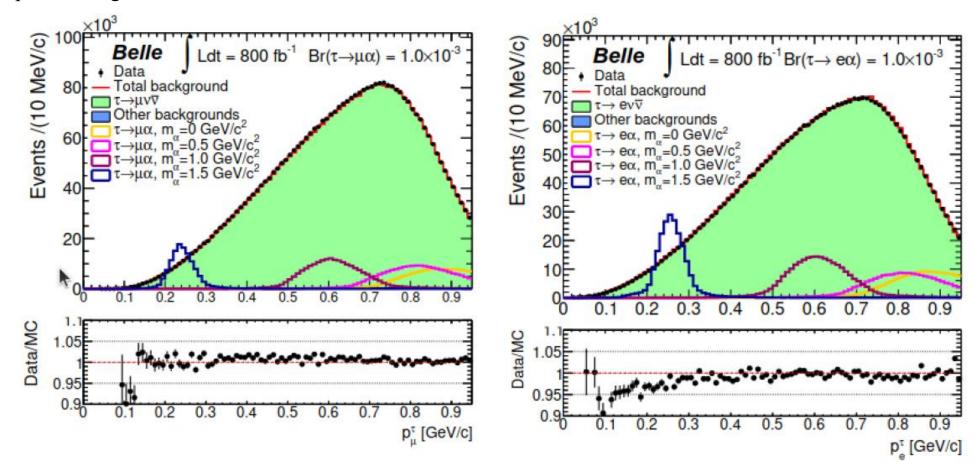




### Invisible scalar boson in τ-decays at Belle [arXiv:2503.22195v3]

- Require  $\tau_{sig}$  aligned with the hadronic system ( $|\theta_{\tau h}| < 4$ ) improves the signal lepton momentum resolutions  $\rightarrow$  Better sensitivity
- Selections are independent of  $\alpha$  mass:  $\varepsilon_{sig}$  ranges in [0.3-1.5]%
- Signal and background yields extracted from binned maximum-likelihood fits to the signal lepton momenta

  → Shape modeling from simulation

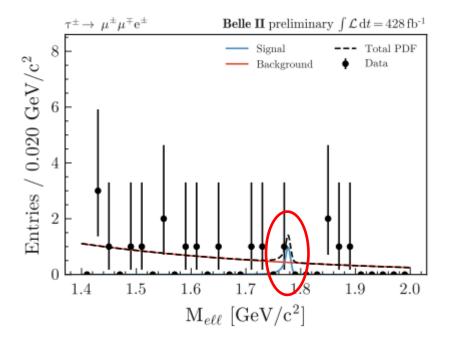






## Extending the search to $\tau \rightarrow e^{\pm} \ell \ell$ at Belle II [arXiv:2507.18236 (JHEP)]

- On data event exactly at signal position
- Probably unlucky statistical fluctuation of a background event



	$N_{ m exp}$	$N_{ m obs}$	$C_{ m bg}$	$\mathcal{B}$ (10 <sup>-8</sup> )	$\mathcal{B}_{\rm exp}^{UL}~(10^{-8})$	$\mathcal{B}_{ m obs}^{UL} \ (10^{-8})$
$e^{-}e^{+}e^{-}$	$6.1^{+4.3}_{-2.9}$	5	$0.52^{+2.64}_{-2.60}$	0	2.7	2.5
$e^-e^+\mu^-$	$12.1^{+0.7}_{-4.3}$	12	$-0.40^{+1.67}_{-1.68}$	0	2.1	1.6
$e^-\mu^+e^-$	$10.5^{+5.3}_{-4.3}$	17	$-2.90^{+1.48}_{-1.54}$	0	1.7	1.6
$\mu^-\mu^+e^-$	$20.7^{+6.6}_{-5.5}$	18	$-2.50^{+1.45}_{-1.52}$	$0.48^{+0.90}_{-0.48}$	1.6	2.4
$\mu^-e^+\mu^-$	$7.5^{+4.5}_{-3.2}$		$-0.34^{+1.93}_{-1.94}$	0	1.4	1.3

