



# The semi-leptonic decays of charmed hadrons at BESIII

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HQL 2025, Beijing, China

September 18, 2025



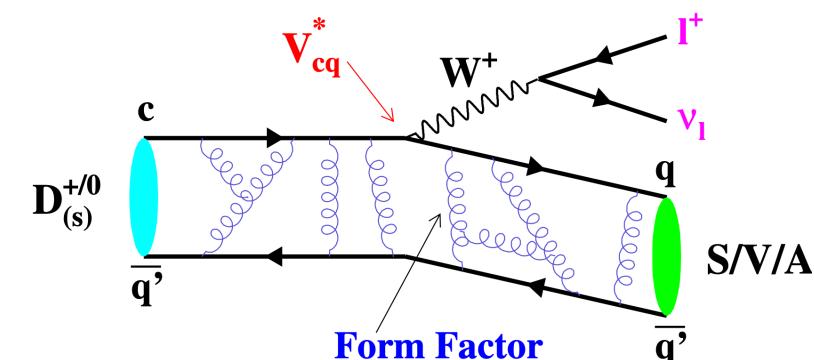
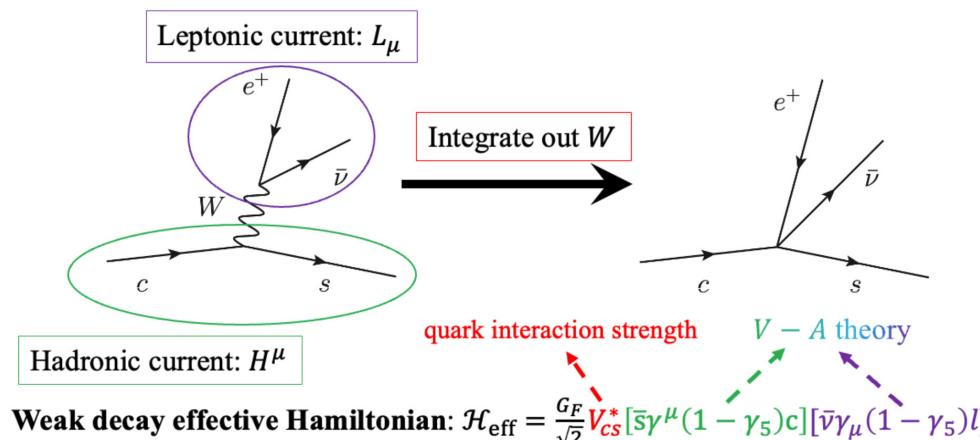
# Introduction (I)

## ● Semi-leptonic decays of charmed hadron provide ideal probes to study **weak & strong** interactions

- Weak interaction determines quark flavor change
- Strong interaction isolated in initial-final hadron transition

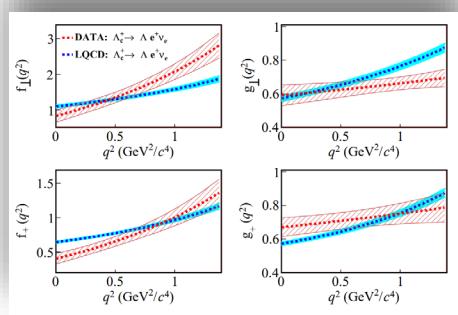
## ● Formalism in a nutshell

- Helicity amplitude as a product of leptonic current  $L_\mu$  and hadronic current  $H^\mu$ 
  - $L_\mu$  is well understood,  $H^\mu$  is hard to calculate due to non-perturbative QCD effect
- $H^\mu$  can be parameterized by **form factors (FFs)**
  - Functions of momentum transfer  $q^2$

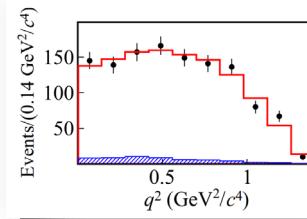
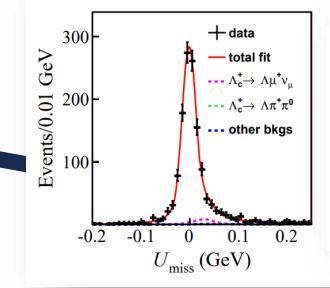


# Introduction (II)

## Test of SM from various aspects



**Physics observables**  
BF, differential decay width, etc.

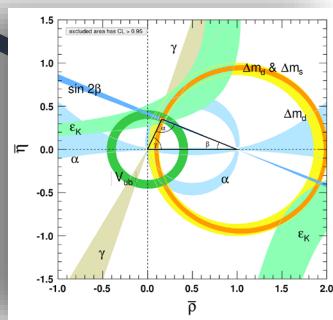


## Form factors

Calculated by LQCD, HQET, quark models, sum rules, SU(3)...

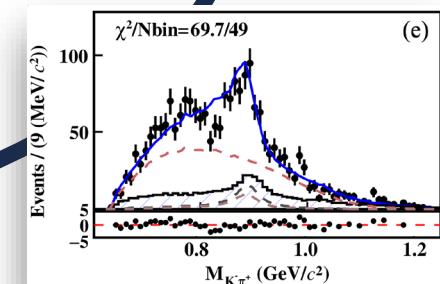
## Formalism

$$\int \frac{d\Gamma}{dq^2} dq^2 = \frac{\mathcal{B}(\Lambda_c^+ \rightarrow h e^+ \nu_e)}{\tau_{\Lambda_c^+}},$$
$$\frac{d\Gamma}{dq^2} = \frac{G_F^2 |V_{cq}|^2}{192\pi^3 M_{\Lambda_c}^2} \times P q^2 \times \left[ \left| H_{\frac{1}{2}1} \right|^2 + \left| H_{-\frac{1}{2}-1} \right|^2 + \left| H_{\frac{1}{2}0} \right|^2 + \left| H_{-\frac{1}{2}0} \right|^2 \right]$$



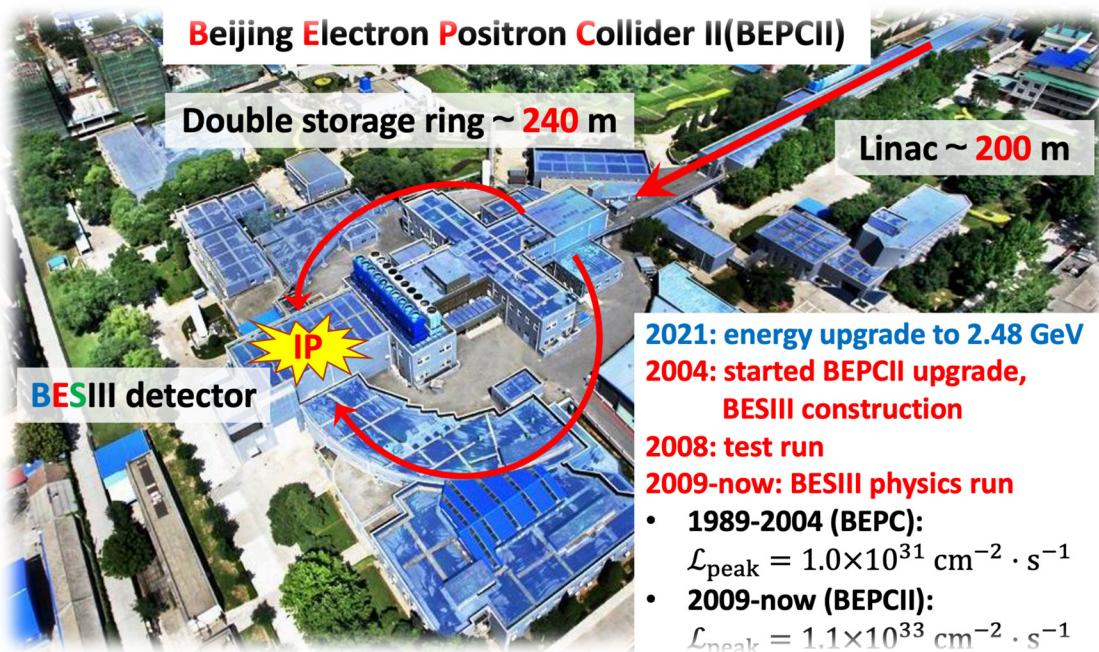
**CKM unitarity**  
Access to  $|V_{cs}|$  &  $|V_{cd}|$ , test LFU & CPV

**Light hadron spectrum**  
In multi-body hadronic final states

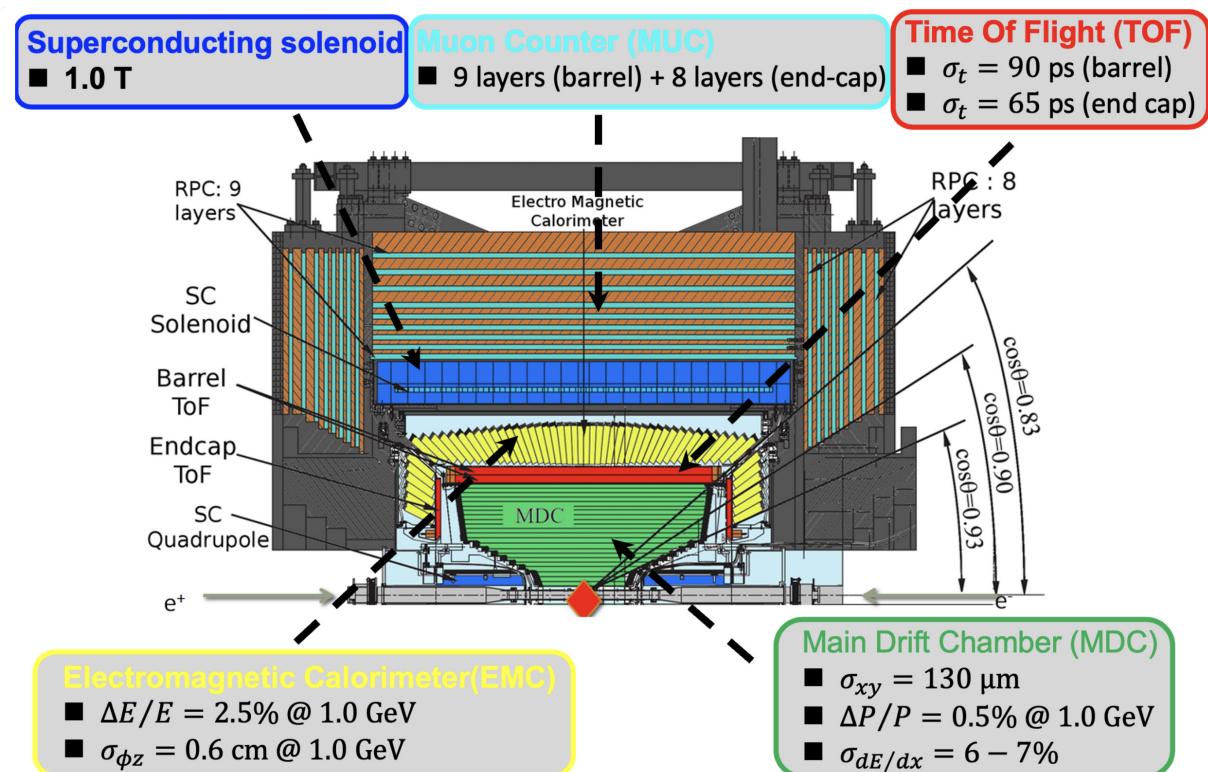


# BESIII experiment

## Beijing Electron-Positron Collider II (BEPCII)



## Beijing Spectrometer III (BESIII)



# Data samples

●  **$D^{0/+}$  study:**  $20.3 \text{ fb}^{-1}$  collected at  $\sqrt{s} = 3.773 \text{ GeV}$

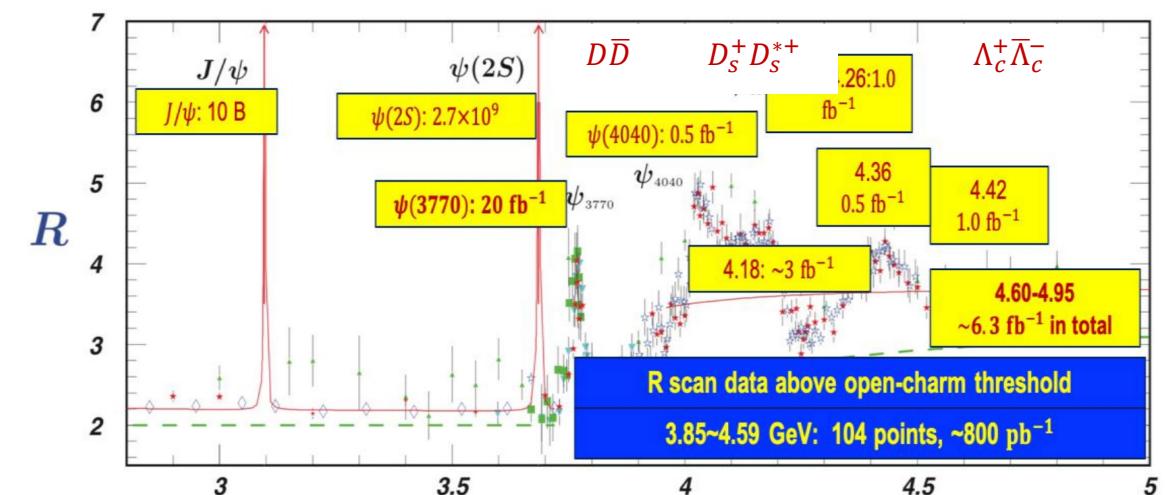
- Produced via  $\psi(3770) \rightarrow D\bar{D}$
- Single-tag yields **16.9M  $D^0$**  & **11.0M  $D^+$**  events

●  **$D_s^+$  study:**  $7.33 \text{ fb}^{-1}$  collected at  $\sqrt{s} = 4.13 - 4.23 \text{ GeV}$

- Mainly produced via  $e^+e^- \rightarrow D_s^{*+}D_s^-$  due to larger cross-section
- Can be also accessed from  $e^+e^- \rightarrow D_s^{*+}D_s^{*-}$
- Single-tag yields **0.8M  $D_s^+$**  events

●  **$\Lambda_c^+$  study:**  $4.5 \text{ fb}^{-1}$  collected at  $\sqrt{s} = 4.6 - 4.7 \text{ GeV}$

- Produced via  $e^+e^- \rightarrow \Lambda_c^+\bar{\Lambda}_c^-$
- Single-tag yields **0.1M  $\Lambda_c^+$**  events



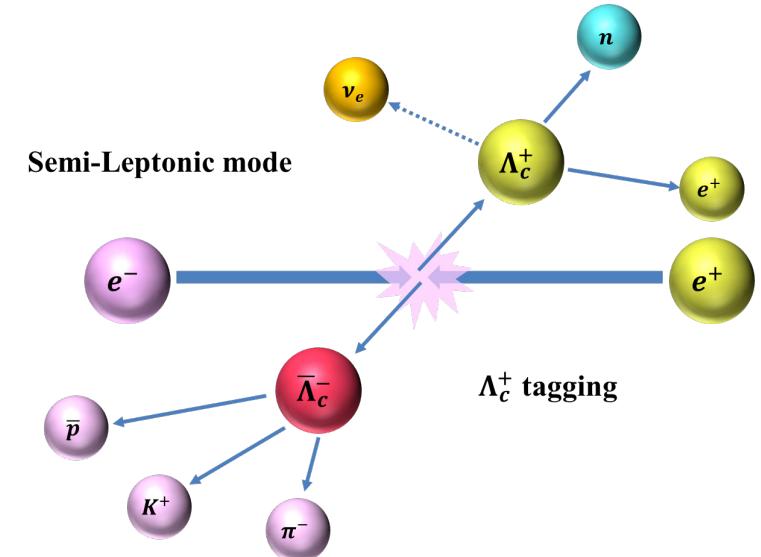
# Unique abilities (I)

## ● Near-threshold pair production

- Without accompanying hadrons
- Clean backgrounds and well constrained kinematics

## ● Double-tag method

- Reconstruct  $h \rightarrow$  signal &  $\bar{h} \rightarrow$  hadronic tag modes sequentially
- Allow to:
  - Measure absolute BF
  - Suppress hadronic background
  - Recoil missing neutrino
  - Cancel systematics



$$\mathcal{B}_{\text{sig}} = \frac{\sum_{i,j} N_{\text{DT}}^{i,j}}{\sum_{i,j} \left( \frac{N_{\text{ST}}^{i,j}}{\epsilon_{\text{ST}}^{i,j}} \cdot \epsilon_{\text{DT}}^{i,j} \right)} = \frac{N_{\text{DT}}}{\sum_{i,j} \left( \frac{N_{\text{ST}}^{i,j}}{\epsilon_{\text{ST}}^{i,j}} \cdot \epsilon_{\text{DT}}^{i,j} \right)} = \frac{N_{\text{DT}}}{N_{\text{ST}} \cdot \epsilon^{\text{sig}}},$$

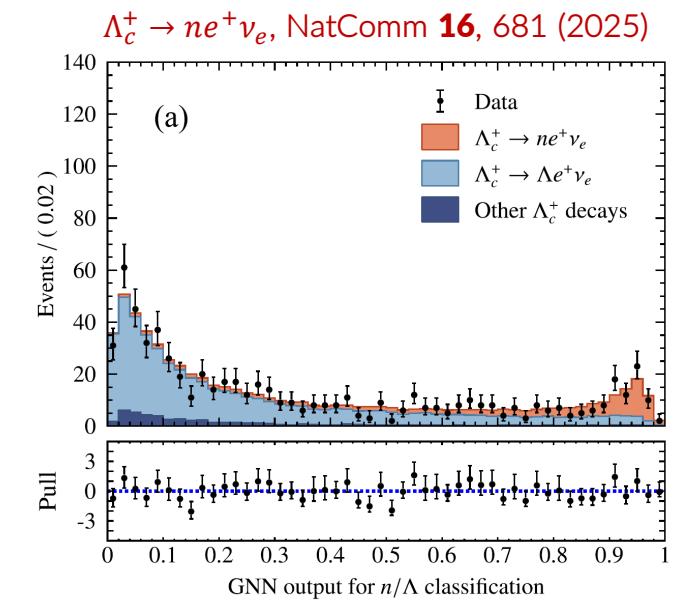
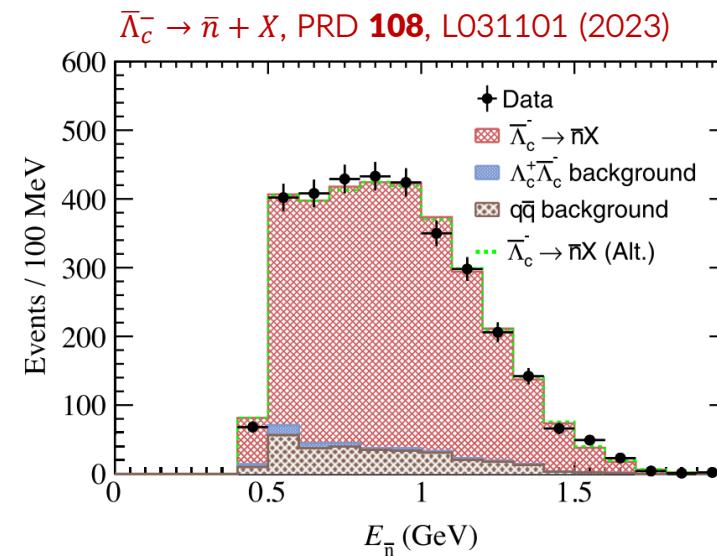
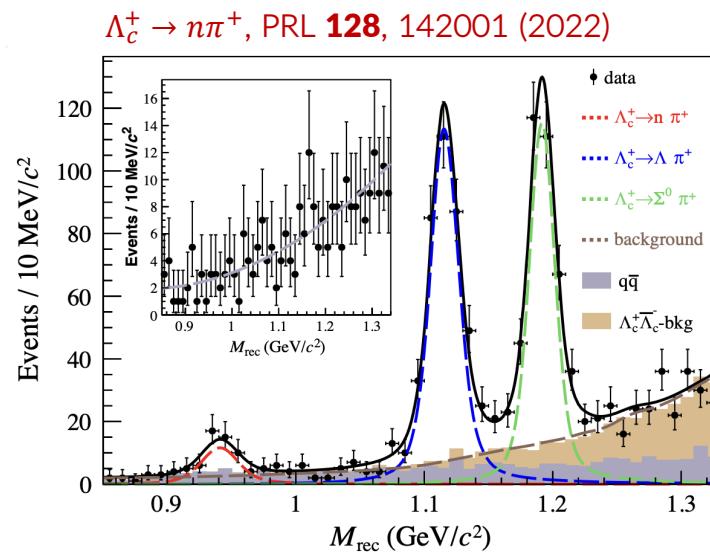
$$N_{\text{ST}}^{i,j} = 2N_{\Lambda_c^+ \bar{\Lambda}_c^-}^j \mathcal{B}_{\text{tag}}^i \epsilon_{\text{ST}}^{i,j}, \quad \epsilon^{\text{sig}} = \sum_{i,j} \left( \frac{N_{\text{ST}}^{i,j}}{\epsilon_{\text{ST}}^{i,j}} \cdot \epsilon_{\text{DT}}^{i,j} \right) / \sum_{i,j} N_{\text{ST}}^{i,j},$$

$$N_{\text{DT}}^{i,j} = 2N_{\Lambda_c^+ \bar{\Lambda}_c^-}^j \mathcal{B}_{\text{tag}}^i \mathcal{B}_{\text{sig}} \epsilon_{\text{DT}}^{i,j}, \quad N_{\text{ST}} = \sum_{i,j} N_{\text{ST}}^{i,j}$$

# Unique abilities (II)

## Neutral particle detection

- BESIII has high acceptance & performance EMC
- Reconstruction for  $\gamma$  &  $\pi^0$  is effective and precise
- Reconstruction for  $n$  &  $K_L^0$  is challenging yet possible
  - Deep learning methods are being explored



# Recent physics results

## ● Charmed meson → scalar decays

- $D^+ \rightarrow f_0(500)l^+\nu_l$  PRD **110**, 092008 (2024)
- $D^0 \rightarrow a_0(980)e^+\nu_e$  PRD **111**, L091501 (2025)
- $D_s^+ \rightarrow f_0(980)e^+\nu_e$  PRL **132**, 141901 (2024)

## ● Charmed meson → vector decays

- $D^+ \rightarrow \bar{K}^0\pi^0e^+\nu_e$  JHEP **10**, 199 (2024)
- $D^+ \rightarrow \bar{K}^0\pi^0\mu^+\nu_\mu$  arXiv: 2506.05761
- $D^0 \rightarrow K^-\pi^0\mu^+\nu_\mu$  PRL **134**, 011803 (2025)
- $D^0 \rightarrow \bar{K}^0\pi^-e^+\nu_e$  JHEP **03**, 197 (2025)
- $D^0 \rightarrow \bar{K}^0\pi^-\mu^+\nu_\mu$  arXiv: 2504.10867
- $D^0 \rightarrow \pi^0\pi^-e^+\nu_e$  PRD **110**, 112018 (2024)
- $D_s^+ \rightarrow \phi e^+\nu_e$  PRD **110**, 072017 (2024)
- $D_s^+ \rightarrow \phi\mu^+\nu_\mu$  JHEP **12**, 072 (2023)

## ● Charmed meson → axion vector decays

- $D \rightarrow b_1(1235)e^+\nu_e$  arXiv: 2407.20551
- $D \rightarrow K_1(1270)e^+\nu_e$  PRL **135**, 091801 (2025)
- $D \rightarrow K_1(1270)\mu^+\nu_\mu$  PRD **111**, L071101 (2025)

## ● Charmed baryon decays

- $\Lambda_c^+ \rightarrow \Lambda e^+\nu_e$  PRL **129**, 231803 (2022)
- $\Lambda_c^+ \rightarrow \Lambda\mu^+\nu_\mu$  PRD **108**, L031105 (2023)
- $\Lambda_c^+ \rightarrow pK^-e^+\nu_e$  PRD **106**, 112010 (2022)
- $\Lambda_c^+ \rightarrow n e^+\nu_e$  NatComm **16**, 681 (2025)
- $\Lambda_c^+ \rightarrow X e^+\nu_e$  PRD **107**, 052005 (2023)

\* For charmed meson → pseudo scalar decays,  
please refer to [Chao Chen's talk](#) on Sep 16



# Charmed meson → scalar decays

$$D \rightarrow Sl\nu$$

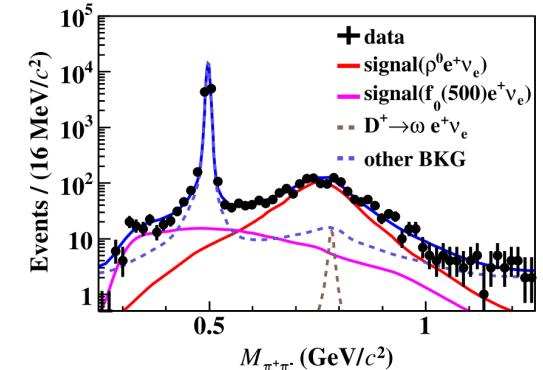
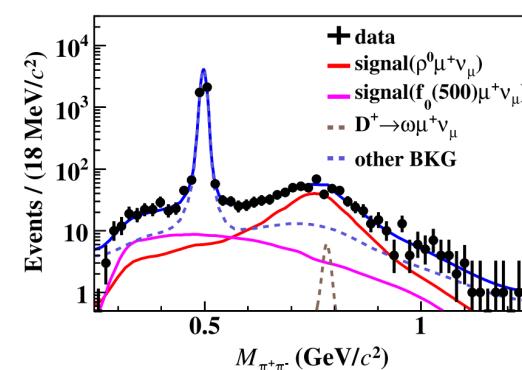
### First BF measurement

- $\mathcal{B}(D^+ \rightarrow f_0(500)\mu^+\nu_\mu) \times \mathcal{B}(f_0(500) \rightarrow \pi^+\pi^-) = (0.72 \pm 0.13 \pm 0.08) \times 10^{-3}$
- $\mathcal{B}(D^+ \rightarrow \rho^0\mu^+\nu_\mu) = (1.64 \pm 0.13 \pm 0.10) \times 10^{-3}$

2.93  $\text{fb}^{-1}$  data  
0.4k ( $e$ ) / 0.2k ( $\mu$ ) signal

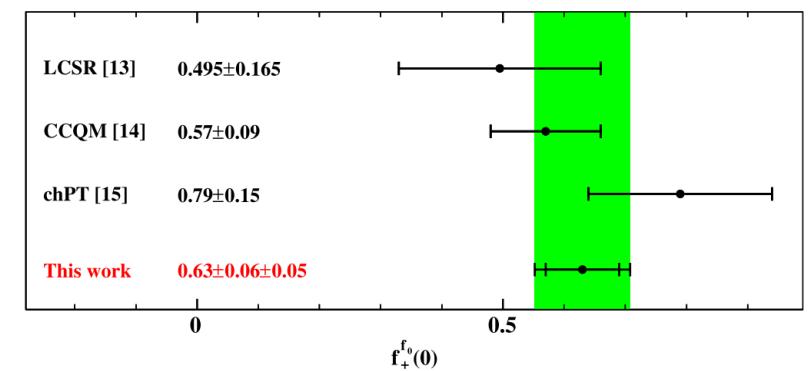
### LFU test

- $\frac{\mathcal{B}(D^+ \rightarrow f_0(500)\mu^+\nu_\mu)}{\mathcal{B}(D^+ \rightarrow f_0(500)e^+\nu_e)} = 1.14 \pm 0.26$
- $\frac{\mathcal{B}(D^+ \rightarrow \rho^0\mu^+\nu_\mu)}{\mathcal{B}(D^+ \rightarrow \rho^0e^+\nu_e)} = 0.88 \pm 0.10$



### First extraction of FF

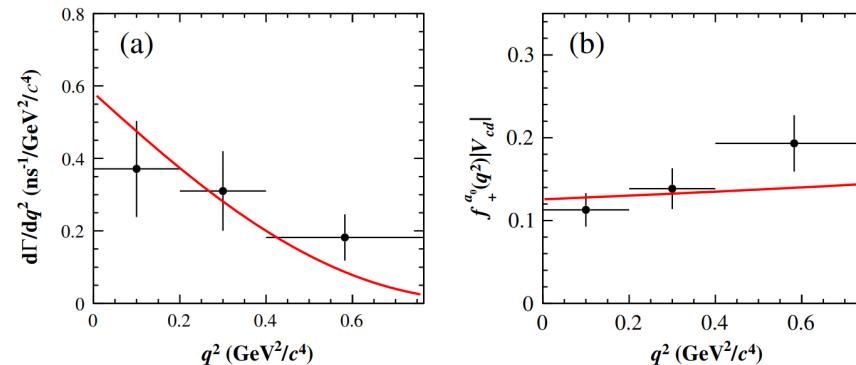
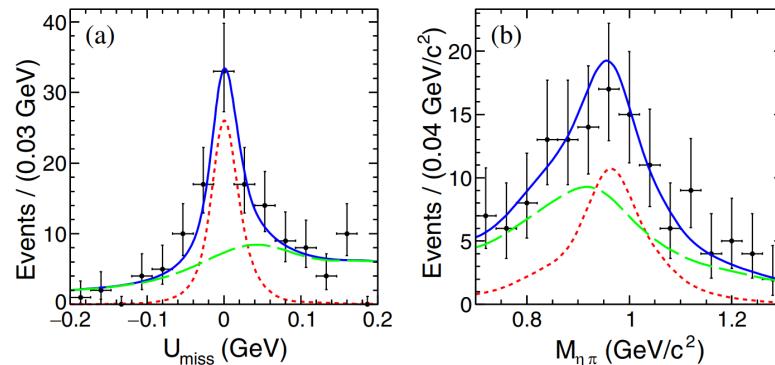
- $f_+^{f_0}(0) = 0.63 \pm 0.06 \pm 0.05$
- $r_1 = -5.8 \pm 2.4 \pm 0.5$  under  $z$ -series expansion



## ● Updated BF measurement

- $\mathcal{B}(D^0 \rightarrow a_0(980)^- e^+ \nu_e) \times \mathcal{B}(a_0(980)^- \rightarrow \eta\pi^-) = (0.86 \pm 0.17 \pm 0.05) \times 10^{-4}$
- $a_0(980)^-$  parameterized with Flatte,  $\eta\pi^-$  NR found to be negligible
- 1.2 times more precise than previous measurement

7.93  $\text{fb}^{-1}$  data  
 ~50 signal



## ● First extraction of FF

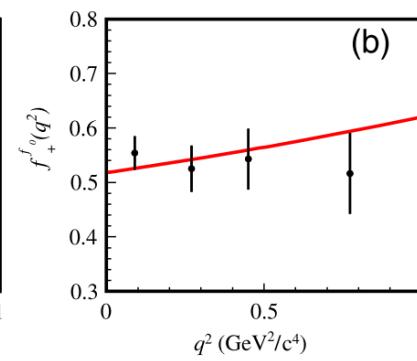
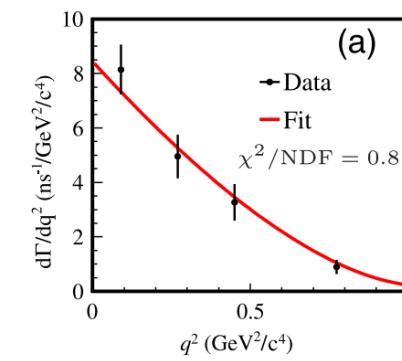
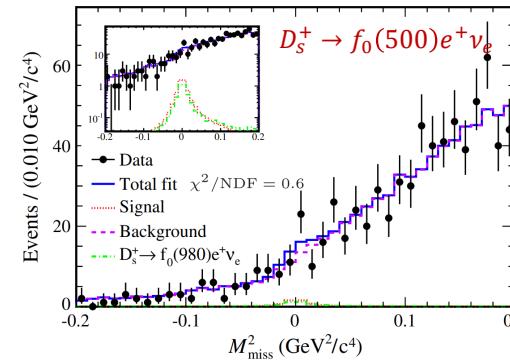
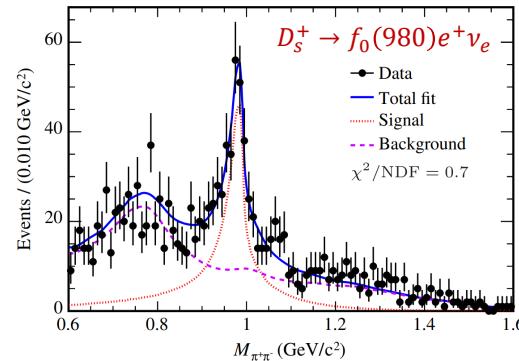
- $f_+^{a_0}(0) = 0.559 \pm 0.056 \pm 0.013$
- Favor four-quark picture of  $a_0(980)$  over two-quark scenarios

Theory or experiment	$f_+^{a_0}(0)$	$\mathcal{B}(D^0 \rightarrow a_0(980)^- e^+ \nu_e, a_0(980)^- \rightarrow \eta\pi^-) (\times 10^{-4})$
CCQM [25]	$0.55^{+0.02}_{-0.02}$	$1.43 \pm 0.13^{\text{a}}$
Ads/QCD [26]	$0.72 \pm 0.09$	$2.08 \pm 0.26^{\text{a}}$
LCSR 2017 [27]	$1.75^{+0.26}_{-0.27}$	$3.48^{+1.17^{\text{a}}}_{-1.04}$
LCSR 2021 [28]	$0.85^{+0.10}_{-0.11}$	$1.15$
LCSR 2023 [29]	$1.058^{+0.068}_{-0.035}$	$1.330^{+0.216}_{-0.134}$
SU(3) flavor symmetry [20]	$0.46 \pm 0.06$	...
BESIII 2018 [24]	...	$1.33^{+0.33}_{-0.29^{\text{stat}}} \pm 0.09^{\text{syst}}$
This work	$0.559 \pm 0.056_{\text{stat}} \pm 0.013_{\text{syst}}$	$0.86 \pm 0.17_{\text{stat}} \pm 0.05_{\text{syst}}$

<sup>a</sup>The narrow width approximation is further applied to estimate the  $\mathcal{B}$  for uncomputed cases (denoted by the superscript \*).

## ● Updated BF measurement

- $\mathcal{B}(D_s^+ \rightarrow f_0(980)e^+\nu_e) \times \mathcal{B}(f_0(980) \rightarrow \pi^+\pi^-) = (1.72 \pm 0.13 \pm 0.10) \times 10^{-3}$ 
  - 2.6 times more precise than previous measurement
  - Extract mixing angle  $\phi = (19.7 \pm 12.8)^\circ$
- $\mathcal{B}(D_s^+ \rightarrow f_0(500)e^+\nu_e) \times \mathcal{B}(f_0(500) \rightarrow \pi^+\pi^-) < 3.3 \times 10^{-4}$  @ 90% C. L.
- Favor the tetraquark composition of  $f_0(980)$  &  $f_0(500)$



## ● First extraction of FF

- $f_+(^{f_0}(0)) = 0.518 \pm 0.018 \pm 0.036$
- Theoretical predictions suffer from large  $\phi$  uncertainty

	This work	CLFD [6]	DR [6]	QCDSR [7]	QCDSR [8]	LCSR [9]	LFQM [11]	CCQM [12]
$f_+(^{f_0}(0))$	$0.518 \pm 0.018_{\text{stat}} \pm 0.036_{\text{syst}}$	0.45	0.46	$0.50 \pm 0.13$	$0.48 \pm 0.23$	$0.30 \pm 0.03$	$0.24 \pm 0.05$	$0.36 \pm 0.02$
Difference ( $\sigma$ )	...	1.7	1.4	0.1	0.2	4.3	4.3	2.8
$\phi$ in theory	...	$(32 \pm 4.8)^\circ$	$(41.3 \pm 5.5)^\circ$	$35^\circ$	$(8_{-8}^{+21})^\circ$	...	$(56 \pm 7)^\circ$	$31^\circ$



# Charmed meson → vector decays

$$D \rightarrow V l \nu$$

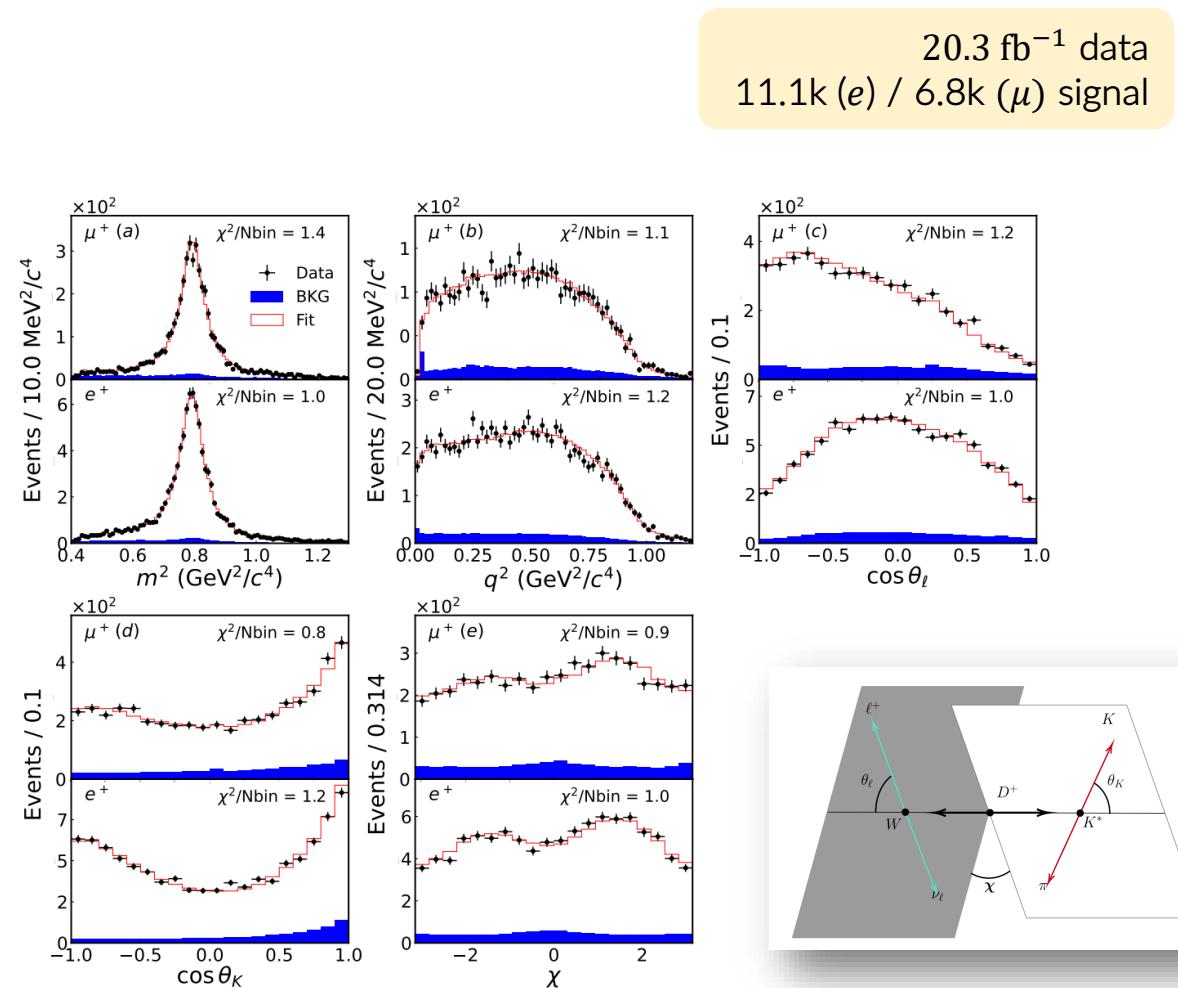
## BF measurements

- $\mathcal{B}(D^+ \rightarrow K_S^0 \pi^0 e^+ \nu_e) = (0.943 \pm 0.012 \pm 0.010)\%$
- $\mathcal{B}(D^+ \rightarrow K_S^0 \pi^0 \mu^+ \nu_\mu) = (0.896 \pm 0.017 \pm 0.008)\%$
- $\mathcal{B}(D^+ \rightarrow \bar{K}^*(892)^0 e^+ \nu_e) = (5.29 \pm 0.07 \pm 0.06)\%$
- $\mathcal{B}(D^+ \rightarrow \bar{K}^*(892)^0 \mu^+ \nu_\mu) = (4.99 \pm 0.10 \pm 0.05)\%$

## Study of $K\pi$ amplitude structure & $D^+ \rightarrow \bar{K}^*$ FF

- Calculated via 5-D fits from full angular analysis

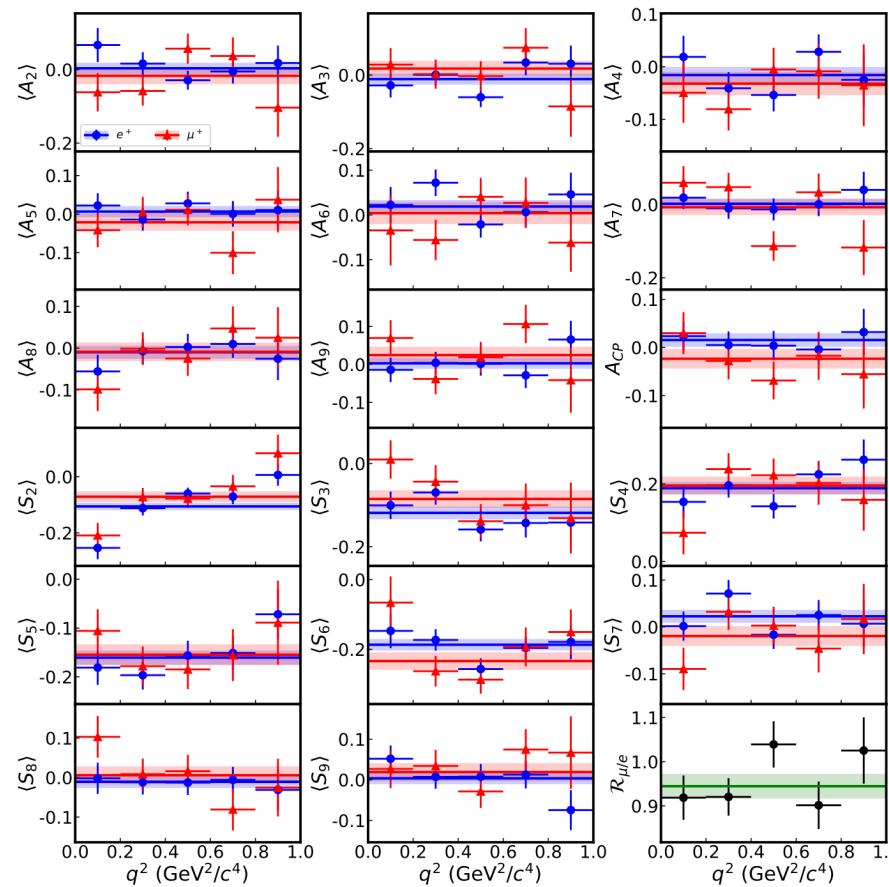
Variable	$D^+ \rightarrow K_S^0 \pi^0 e^+ \nu_e$	$D^+ \rightarrow K_S^0 \pi^0 \mu^+ \nu_\mu$
$m_{\bar{K}^*0}$ (MeV/c <sup>2</sup> )	$894.76 \pm 0.29$	
$\Gamma_{\bar{K}^*0}$ (MeV/c <sup>2</sup> )	$45.24 \pm 0.62 \pm 0.45$	
$r_V$	$1.42 \pm 0.03 \pm 0.02$	
$r_2$	$0.75 \pm 0.03 \pm 0.01$	
$a_{S,BG}^{1/2}$ (GeV/c) <sup>-1</sup>	$2.32 \pm 0.11 \pm 0.25$	$1.47 \pm 0.25 \pm 0.22$
$r_S$ (GeV) <sup>-1</sup>	$-8.44 \pm 0.13 \pm 0.37$	$-9.59 \pm 0.46 \pm 0.58$
$f_{S\text{-wave}}$	$6.39 \pm 0.17 \pm 0.14$	$7.10 \pm 0.68 \pm 0.41$
$f_{\bar{K}^*0}$	$93.50 \pm 0.18 \pm 0.28$	$92.81 \pm 0.67 \pm 0.47$



### CPV, LFU & angular observables

- Measured in different  $q^2$  regions of  $K^*(892)$
- All consistent with SM predictions

20.3  $\text{fb}^{-1}$  data  
11.1k ( $e$ ) / 6.8k ( $\mu$ ) signal



## BF measurements

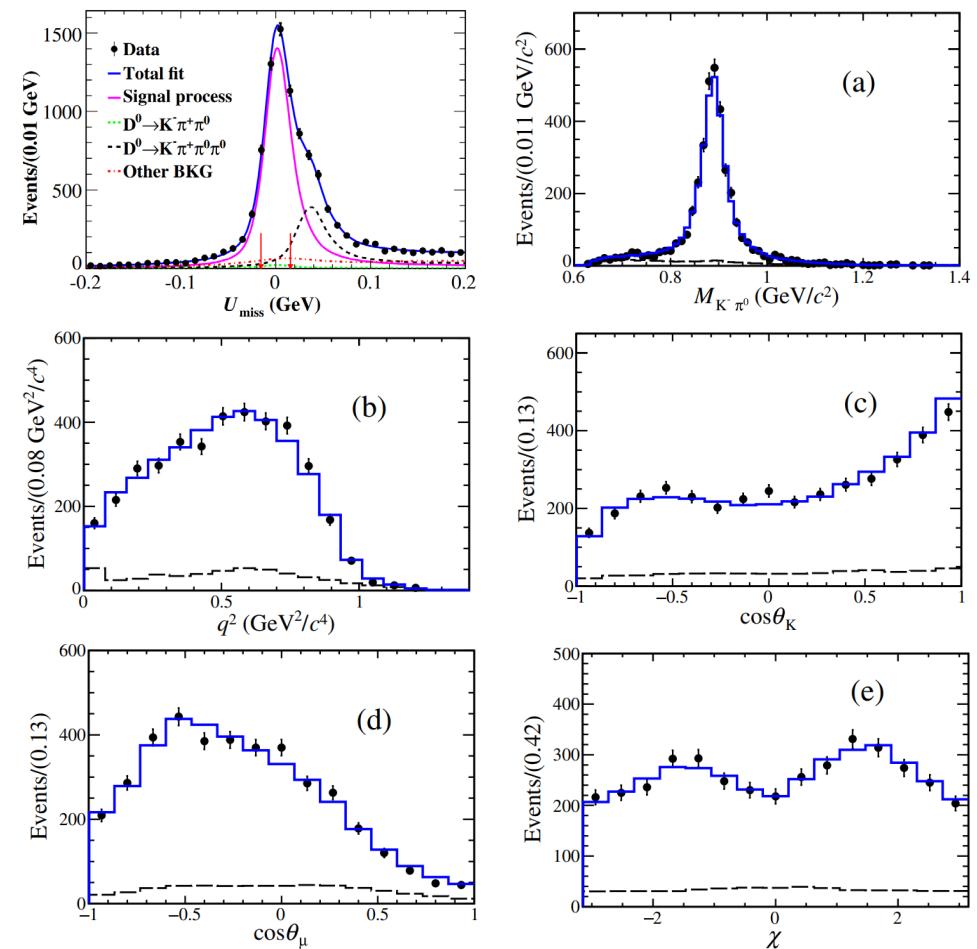
- $\mathcal{B}(D^0 \rightarrow K^*(892)^- \mu^+ \nu_\mu) = (2.073 \pm 0.039 \pm 0.032)\%$
- $\mathcal{B}(D^0 \rightarrow (K^- \pi^0)_{S\text{-wave}} \mu^+ \nu_\mu) = (4.223 \pm 0.268 \pm 0.222) \times 10^{-4}$

7.93 fb<sup>-1</sup> data  
6.4k signal

## Study of $K\pi$ amplitude structure & $D^0 \rightarrow \bar{K}^*$ FF

- Calculated via 5-D fits from full angular analysis

Theory	$\mathcal{B}$ (%)	$r_V$	$r_2$
LCSR [7,16]	$2.01^{+0.09}_{-0.08}$	1.39	0.60
$\chi$ UA [17]	1.98	...	...
CCQM [6]	2.80	$1.22 \pm 0.24$	$0.92 \pm 0.18$
CQM [8,18]	3.09	1.56	0.74
LFQM [9]	...	1.36	0.83
HM $_{\chi}$ T [10]	...	1.60	0.50
Experiments	$\mathcal{B}$ (%)	$r_V$	$r_2$
BESIII [39]	...	$1.46 \pm 0.07 \pm 0.02$	$0.67 \pm 0.06 \pm 0.01$
FOCUS [11]	$1.89 \pm 0.24$	$1.71 \pm 0.68 \pm 0.34$	$0.91 \pm 0.37 \pm 0.10$
This Letter	$2.073 \pm 0.039 \pm 0.032$	$1.37 \pm 0.09 \pm 0.03$	$0.76 \pm 0.06 \pm 0.02$

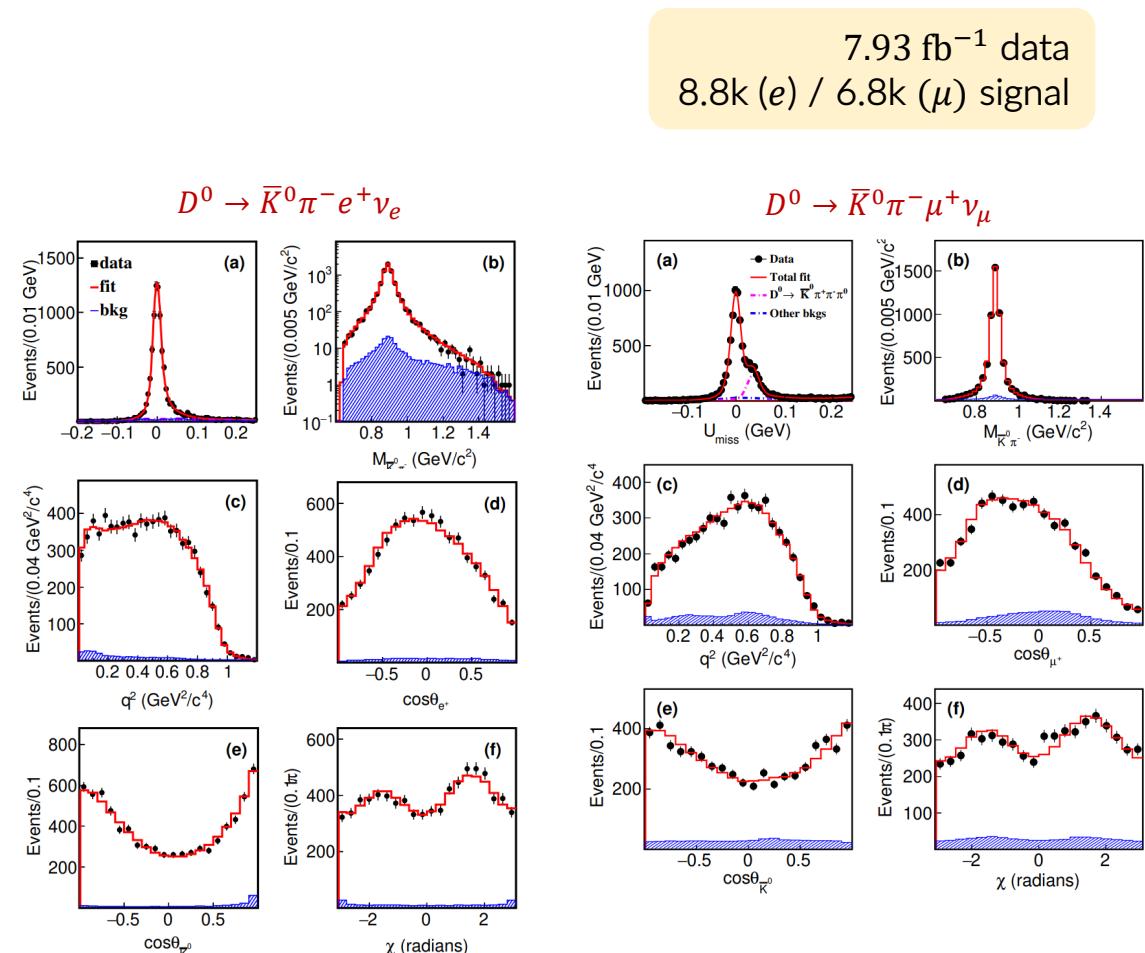
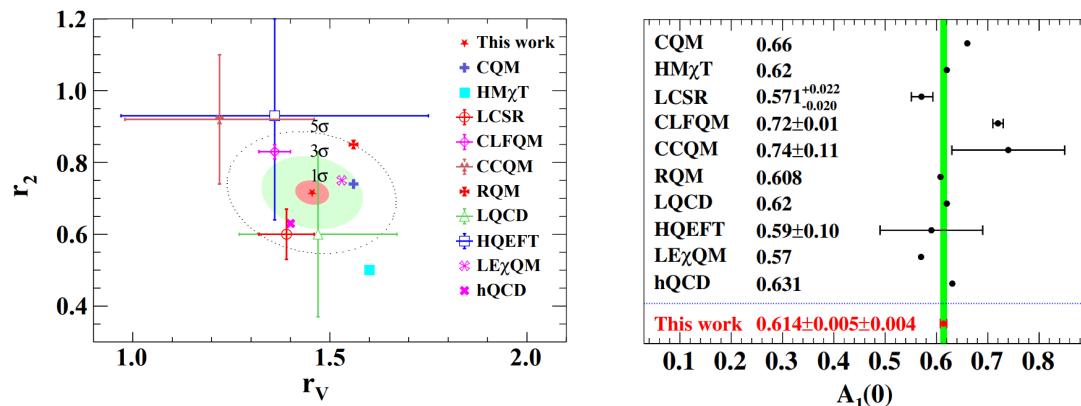


## BF measurements

- $\mathcal{B}(D^0 \rightarrow \bar{K}^0 \pi^0 e^+ \nu_e) = (1.444 \pm 0.022 \pm 0.024)\%$
- $\mathcal{B}(D^0 \rightarrow \bar{K}^0 \pi^0 \mu^+ \nu_\mu) = (1.373 \pm 0.020 \pm 0.023)\%$
- $\mathcal{B}(D^0 \rightarrow \bar{K}^*(892)^- e^+ \nu_e) = (2.039 \pm 0.032 \pm 0.034)\%$
- $\mathcal{B}(D^0 \rightarrow \bar{K}^*(892)^- \mu^+ \nu_\mu) = (1.948 \pm 0.033 \pm 0.036)\%$

## Study of $K\pi$ amplitude structure & $D^0 \rightarrow \bar{K}^*$ FF

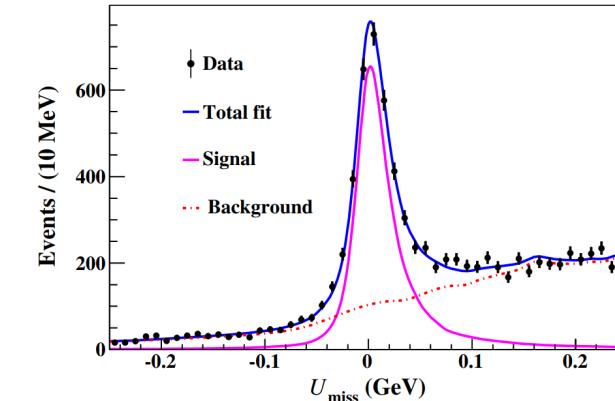
- $r_V = 1.456 \pm 0.040 \pm 0.016$
- $r_2 = 0.715 \pm 0.031 \pm 0.014$
- $A_1(0) = 0.614 \pm 0.005 \pm 0.004$



### ● Updated BF measurement

- $\mathcal{B}(D^0 \rightarrow \rho(770)^- e^+ \nu_e) = (1.439 \pm 0.033 \pm 0.027) \times 10^{-3}$
- 1.6 times more precise than previous measurement

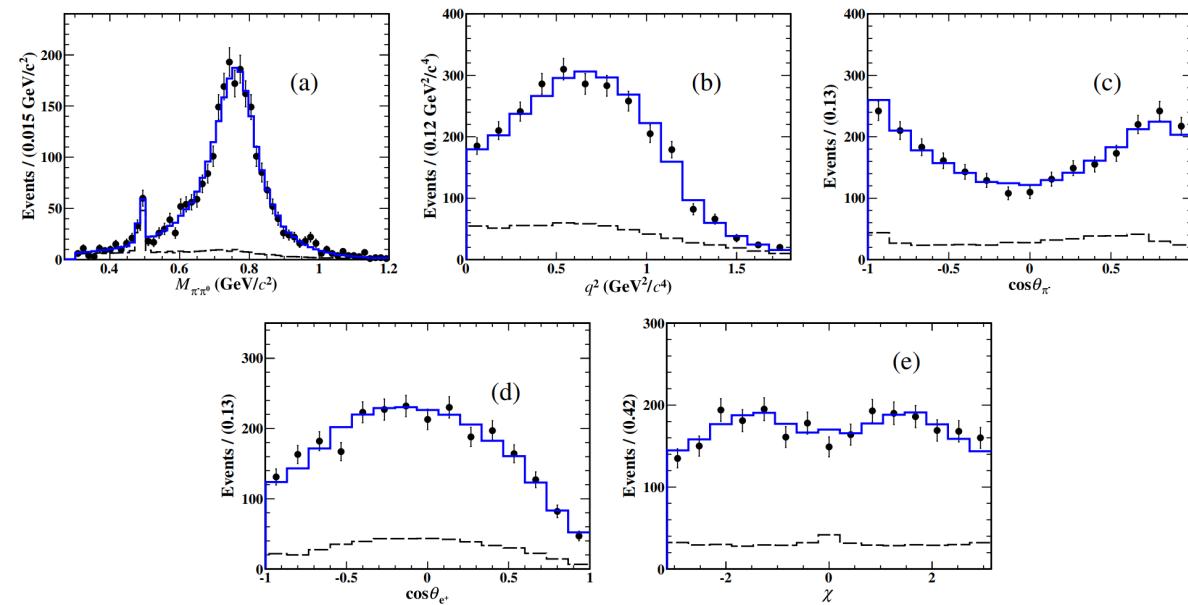
7.93  $\text{fb}^{-1}$  data  
3.3k signal



### ● Extraction of FF

- $r_V = 1.548 \pm 0.079 \pm 0.041$
- $r_2 = 0.823 \pm 0.056 \pm 0.026$

Experiments	$r_V$	$r_2$
This analysis	$1.548 \pm 0.079 \pm 0.041$	$0.823 \pm 0.056 \pm 0.026$
BESIII [39]	$1.695 \pm 0.083 \pm 0.051$	$0.845 \pm 0.056 \pm 0.039$
CLEO [40]	$1.48 \pm 0.15 \pm 0.05$	$0.83 \pm 0.11 \pm 0.04$



## BF measurements

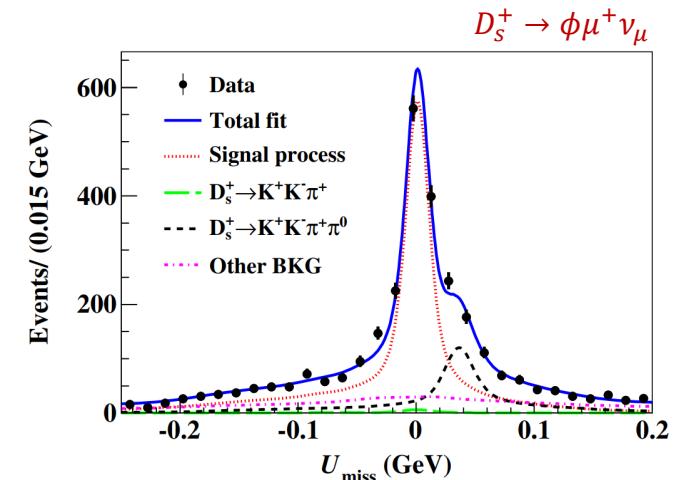
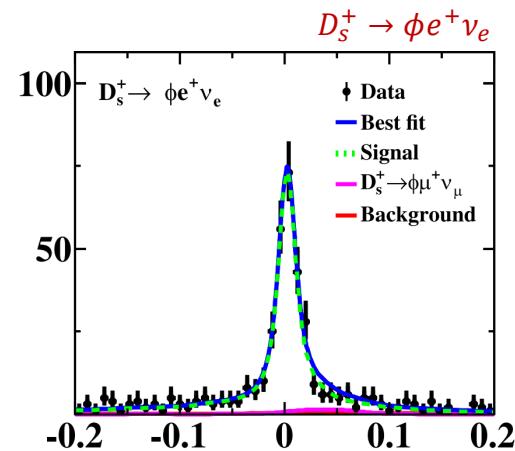
- $\mathcal{B}(D_s^+ \rightarrow \phi e^+ \nu_e) = (2.21 \pm 0.16 \pm 0.10)\%$
- $\mathcal{B}(D_s^+ \rightarrow \phi \mu^+ \nu_\mu) = (2.25 \pm 0.09 \pm 0.07)\%$
- $\mathcal{B}(D_s^+ \rightarrow f_0(980) e^+ \nu_e) \times \mathcal{B}(f_0(980) \rightarrow K^+ K^-) < 5.45 \times 10^{-4}$  @ 90% C. L.

10.64  $\text{fb}^{-1}$  ( $e$ ) / 7.33  $\text{fb}^{-1}$  ( $\mu$ ) data  
 0.4k ( $e$ ) / 1.7k ( $\mu$ ) signal

## Extraction of FF (from muonic mode)

- $r_V = 1.68 \pm 0.17 \pm 0.02$
- $r_2 = 0.71 \pm 0.14 \pm 0.02$

Experiments	$r_V$	$r_2$
PDG [45]	$1.80 \pm 0.08$	$0.84 \pm 0.11$
This analysis	$1.58 \pm 0.17 \pm 0.02$	$0.71 \pm 0.14 \pm 0.02$
BABAR [26]	$1.807 \pm 0.046 \pm 0.065$	$0.816 \pm 0.036 \pm 0.030$
FOCUS [59]	$1.549 \pm 0.250 \pm 0.148$	$0.713 \pm 0.202 \pm 0.284$
Theory	$r_V$	$r_2$
CCQM [5]	$1.34 \pm 0.27$	$0.99 \pm 0.20$
CQM [6]	1.72	0.73
LFQM [7]	1.42	0.86
LQCD [3]	$1.72 \pm 0.21$	$0.74 \pm 0.12$
HM $\chi$ T [8]	1.80	0.52





# Charmed meson → axion vector decays

$$D \rightarrow Al\nu$$

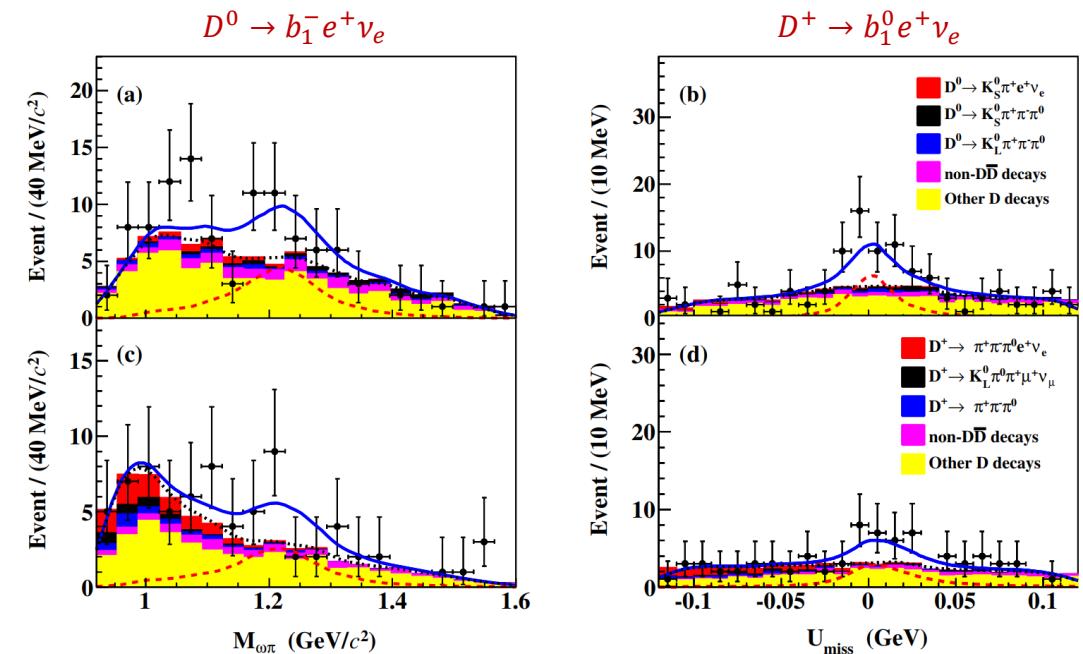
## First observation & evidence

- $\mathcal{B}(D^0 \rightarrow b_1^- e^+ \nu_e) \times \mathcal{B}(b_1^- \rightarrow \omega\pi^-) = (0.72 \pm 0.18^{+0.06}_{-0.08}) \times 10^{-4}$  with  $5.2\sigma$
- $\mathcal{B}(D^+ \rightarrow b_1^0 e^+ \nu_e) \times \mathcal{B}(b_1^0 \rightarrow \omega\pi^0) = (1.16 \pm 0.44 \pm 0.16) \times 10^{-4}$  with  $3.1\sigma$
- Reinforce the assumption that  $\omega\pi$  final state dominates  $b_1$  decay

7.93 fb<sup>-1</sup> data  
~36 ( $D^0$ ) / ~18 ( $D^+$ ) signal

## Ratio of particle decay width

- $\frac{\Gamma(D^0 \rightarrow b_1^- e^+ \nu_e)}{2\Gamma(D^+ \rightarrow b_1^0 e^+ \nu_e)} = 0.78 \pm 0.19^{+0.04}_{-0.05}$
- Consistent with isospin conservation



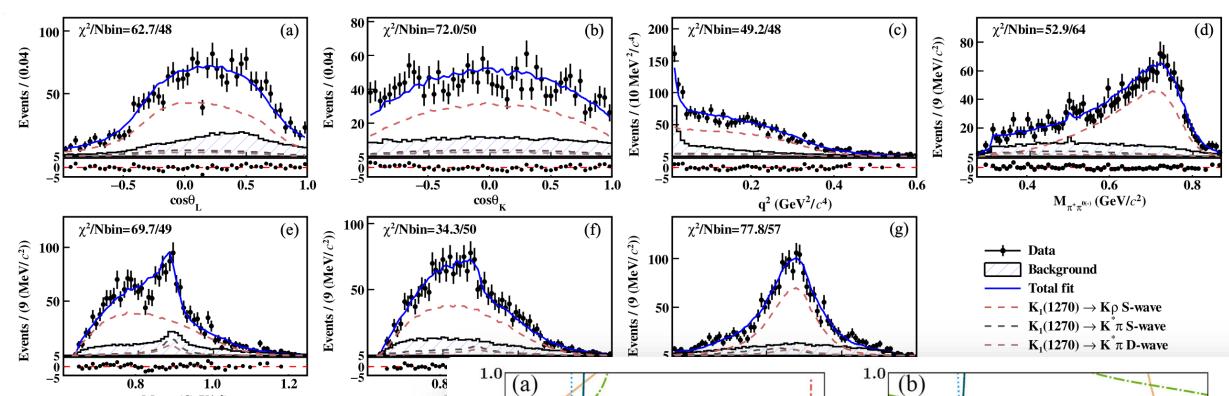
### ● Updated BF measurements

- $\mathcal{B}(D^+ \rightarrow \bar{K}_1(1270)^0 e^+ \nu_e) = (2.27 \pm 0.11 \pm 0.07 \pm 0.07) \times 10^{-3}$
- $\mathcal{B}(D^0 \rightarrow K_1(1270)^- e^+ \nu_e) = (1.02 \pm 0.06 \pm 0.06 \pm 0.03) \times 10^{-3}$
- $\frac{\mathcal{B}(K_1(1270) \rightarrow K^* \pi)}{\mathcal{B}(K_1(1270) \rightarrow K \rho)} = (20.3 \pm 2.1 \pm 8.7)\%$

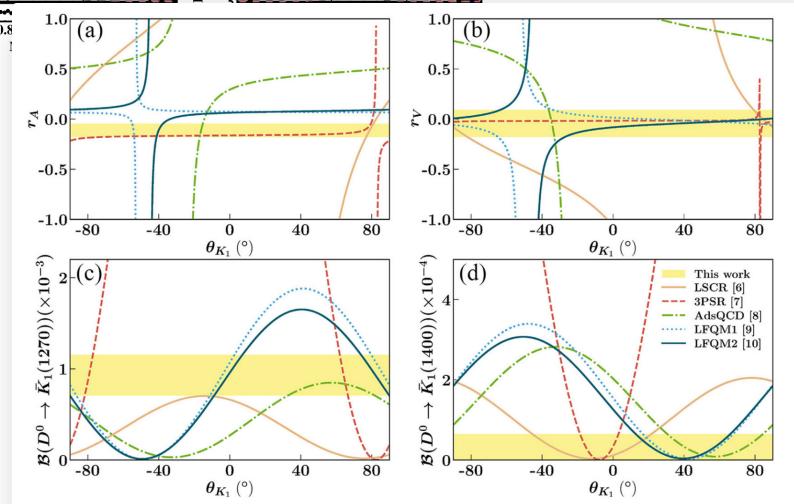
20.3 fb<sup>-1</sup> data  
1.3k (*D*<sup>+</sup>) / 0.7k (*D*<sup>0</sup>) signal

### ● Study of *K*<sub>1</sub> structure & *D* → *K*<sub>1</sub> FF

- Based on amplitudes analysis
- $r_A = (-11.2 \pm 1.0 \pm 0.9) \times 10^{-2}$
- $r_V = (-4.3 \pm 1.0 \pm 2.5) \times 10^{-2}$
- Up-down asymmetry  $\mathcal{A}'_{ud} = 0.01 \pm 0.11$
- *K*<sub>1</sub> longitudinal polarization  $F_L = 0.50 \pm 0.04$



Comparison with theoretical predictions  
in function of mixing angle  $\theta_{K_1}$



● First BF measurements

- $\mathcal{B}(D^+ \rightarrow \bar{K}_1(1270)^0 \mu^+ \nu_\mu) = (2.36 \pm 0.20^{+0.18}_{-0.27} \pm 0.48) \times 10^{-3}$  with  $12.5\sigma$
- $\mathcal{B}(D^0 \rightarrow K_1(1270)^- \mu^+ \nu_\mu) = (0.78 \pm 0.11^{+0.05}_{-0.09} \pm 0.15) \times 10^{-3}$  with  $6.0\sigma$

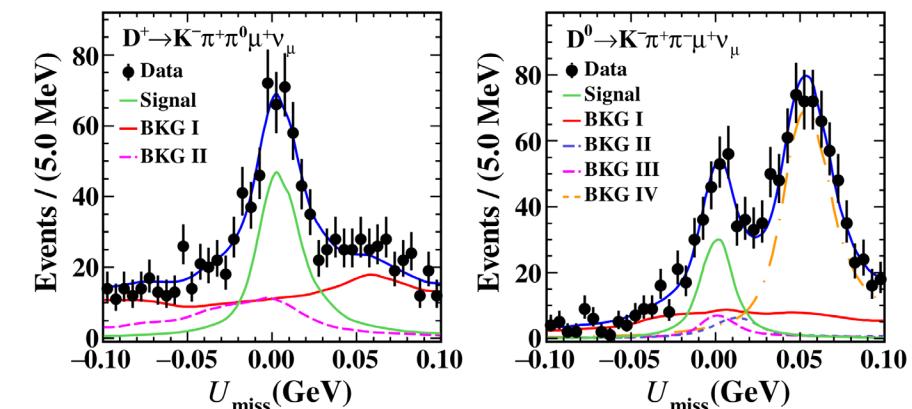
7.93 fb<sup>-1</sup> data  
0.4k (*D*<sup>+</sup>) / 0.2k (*D*<sup>0</sup>) signal

● LFU test

- $\mathcal{R}_{\mu/e}^{D^+} = 1.03 \pm 0.14^{+0.11}_{-0.15}$
- $\mathcal{R}_{\mu/e}^{D^0} = 0.74 \pm 0.13^{+0.08}_{-0.13}$

● Isospin test

- $\frac{\Gamma(D^+ \rightarrow \bar{K}_1(1270)^0 \mu^+ \nu_\mu)}{\Gamma(D^0 \rightarrow K_1(1270)^- \mu^+ \nu_\mu)} = 1.22 \pm 0.10^{+0.06}_{-0.09}$



Decay	$\theta_{K1}$	CLFQM [10]					LFQM [12]			LCSR [12]			This work
		3PSR [9]	LCSR [11]	LCSR [11]	LCSR [11]	CLFQM [12]	LFQM [12]	LCSR [12]					
$D^+ \rightarrow \bar{K}_1^0 \mu^+ \nu_\mu$	Predicted	$2.60 \pm 0.30$	$2.70 \pm 0.25$	$18.59 \pm 0.31$	$16.86 \pm 0.27$	$19.73 \pm 0.32$	$5.9^{+0.47}_{-0.11}$	$3.7^{+0.00}_{-0.41}$	$1.4^{+0.15}_{-0.27}$	$2.36 \pm 0.20^{+0.18}_{-0.27} \pm 0.48$			
	Deviation ( $\sigma$ )	0.4	0.6	27.3	25.2	29.0	6.8	2.6	1.8				
$D^0 \rightarrow K_1^- \mu^+ \nu_\mu$	Predicted	...	$1.03 \pm 0.1$	$8.09 \pm 0.15$	$6.78 \pm 0.12$	$8.92 \pm 0.16$	$2.3^{+0.19}_{-0.44}$	$1.5^{+0.00}_{-0.16}$	$0.54^{+0.06}_{-0.11}$	$0.78 \pm 0.11^{+0.05}_{-0.09} \pm 0.15$			
	Deviation ( $\sigma$ )	...	1.1	28.6	25.1	31.1	5.4	2.8	1.1				



# Charmed baryon decays

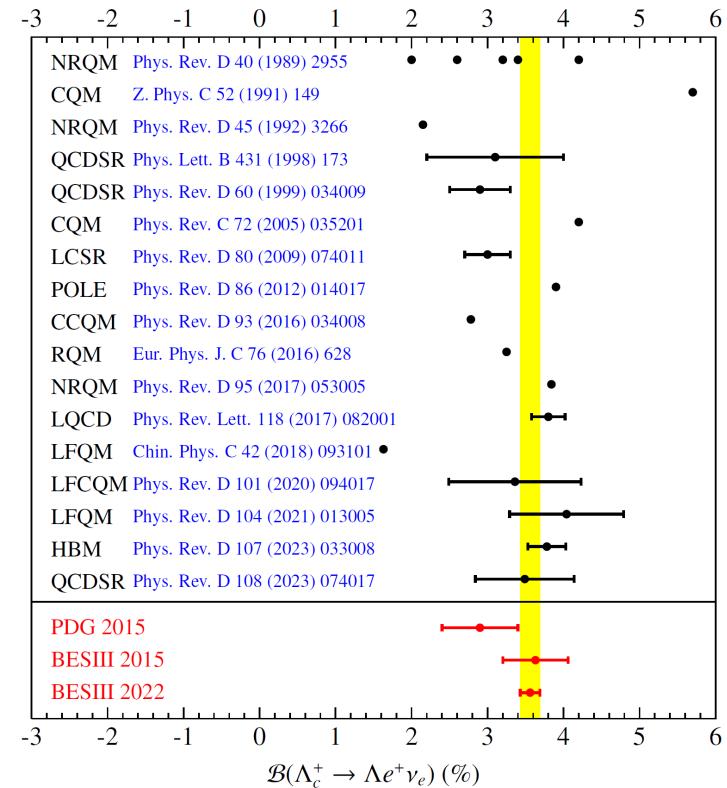
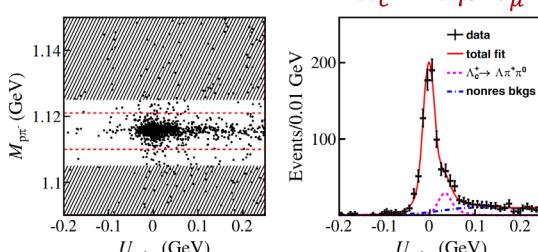
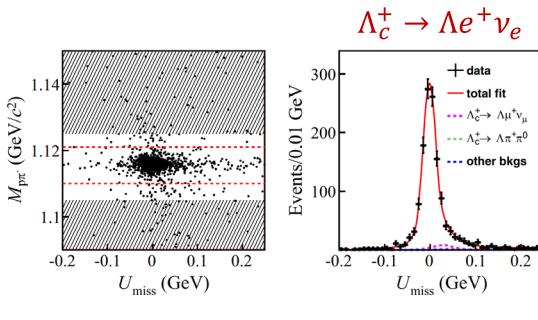
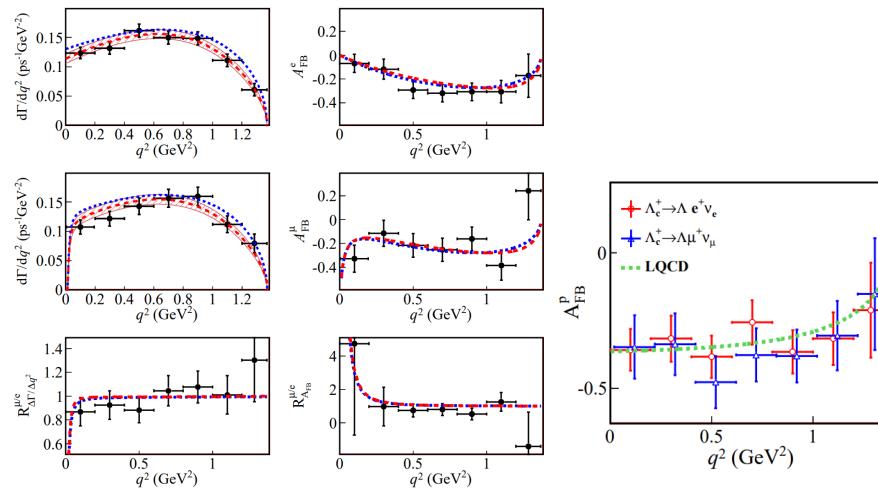
$$\Lambda_c^+ \rightarrow Bl^+\nu_l$$

## ● Precise measurement on BFs

- $\mathcal{B}(\Lambda_c^+ \rightarrow \Lambda e^+ \nu_e) = (3.56 \pm 0.11_{\text{stat.}} \pm 0.07_{\text{syst.}})\%$
- $\mathcal{B}(\Lambda_c^+ \rightarrow \Lambda \mu^+ \nu_\mu) = (3.48 \pm 0.14_{\text{stat.}} \pm 0.10_{\text{syst.}})\%$

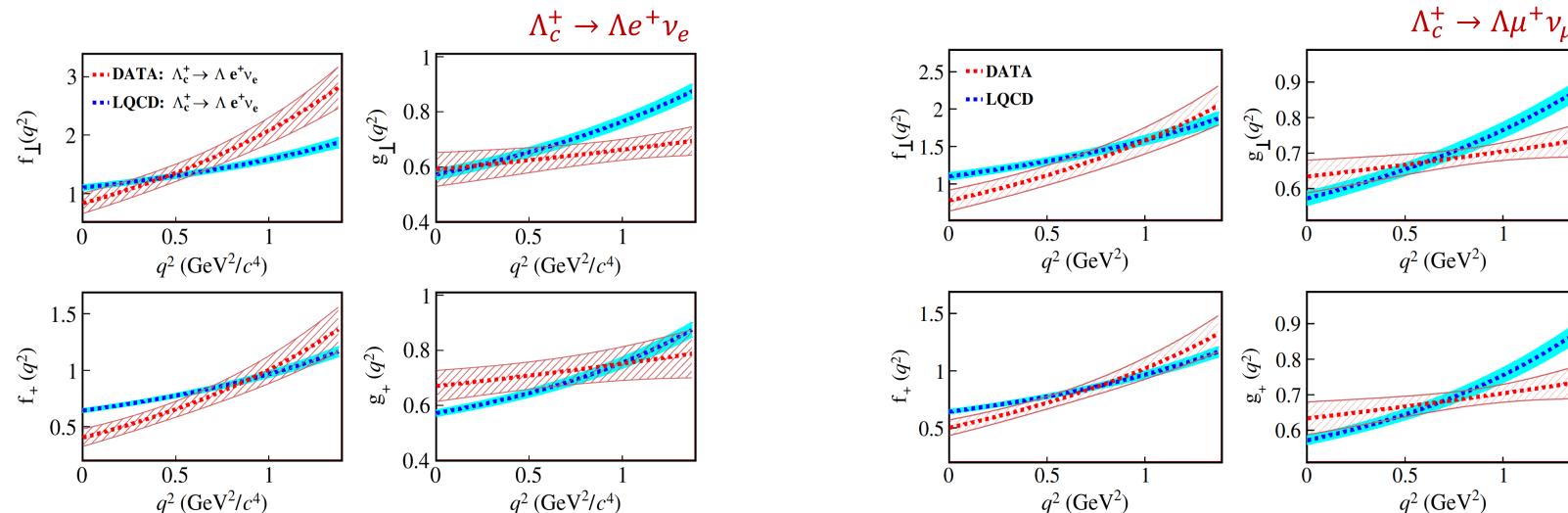
## ● LFU tests

- In ratio of integrated BFs
- In differential decay rates in  $q^2$  binning
- In forward-backward asymmetries



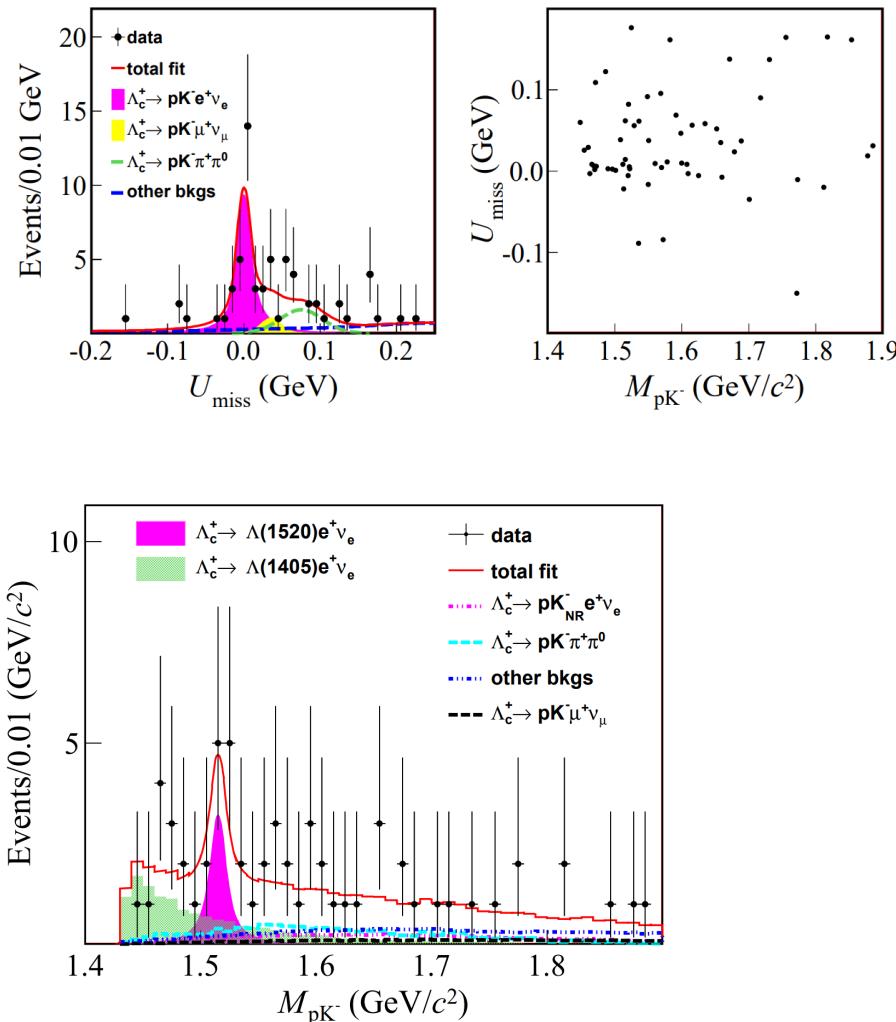
## Extraction of FFs

- Show different kinematic behaviors compared to LQCD predictions



## Extraction of CKM matrix element $|V_{cs}|$

- Combine measured BFs of  $\Lambda_c^+ \rightarrow \Lambda e^+ \nu_e$  &  $\Lambda \mu^+ \nu_\mu$
- Take FFs from LQCD and  $\tau_{\Lambda_c}$  from PDG as input
- Yield  $|V_{cs}| = 0.937 \pm 0.014_B \pm 0.024_{\text{LQCD}} \pm 0.007_{\tau_{\Lambda_c}}$ 
  - Consistent with  $D \rightarrow Kl^+\nu_l$  measurement within  $1.2\sigma$



### ● The second observed $\Lambda_c^+$ semi-leptonic decay

- $\mathcal{B}(\Lambda_c^+ \rightarrow pK^- e^+ \nu_e) = (0.88 \pm 0.17 \pm 0.07) \times 10^{-3}$  with  $8.2\sigma$

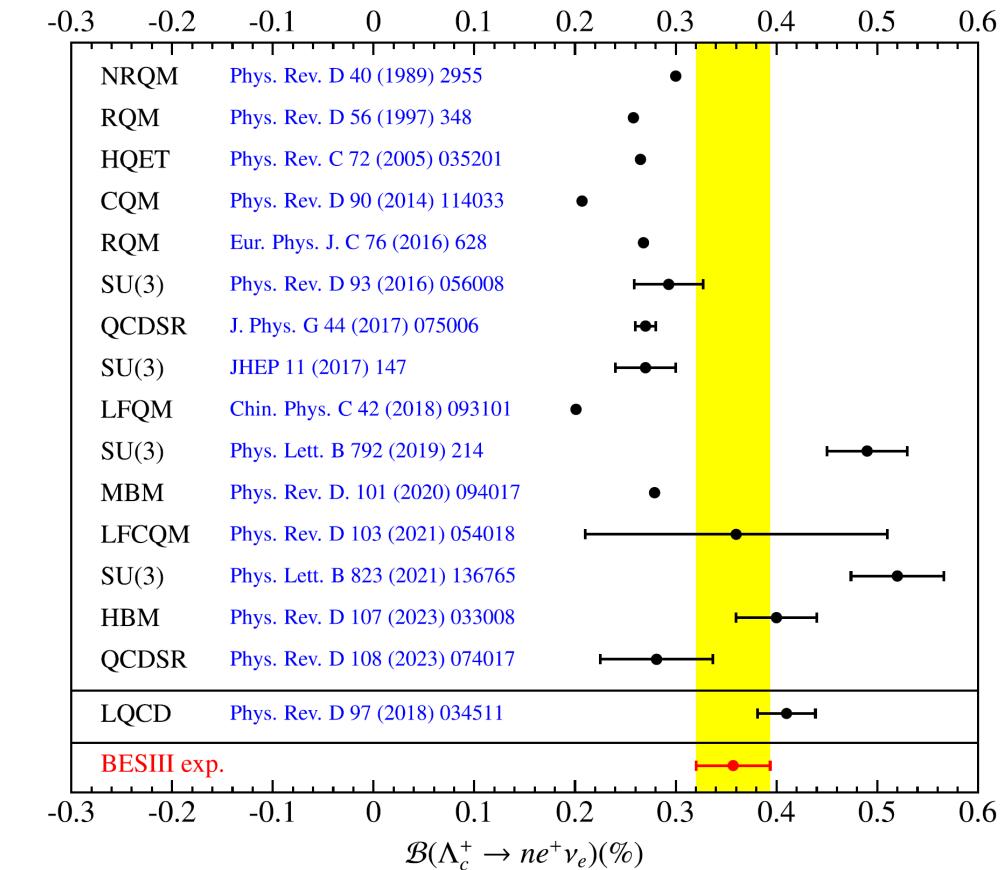
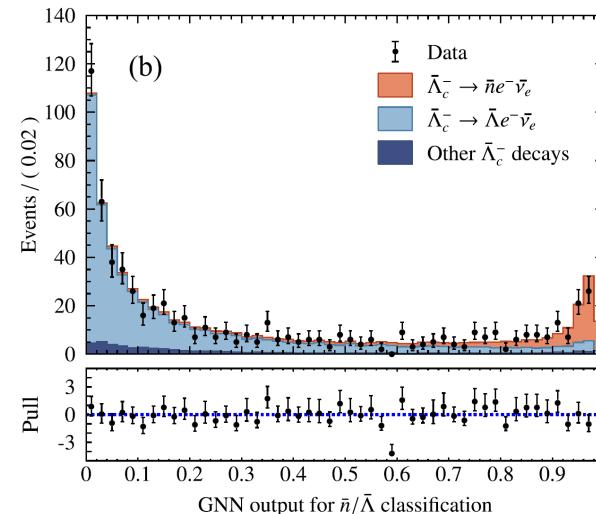
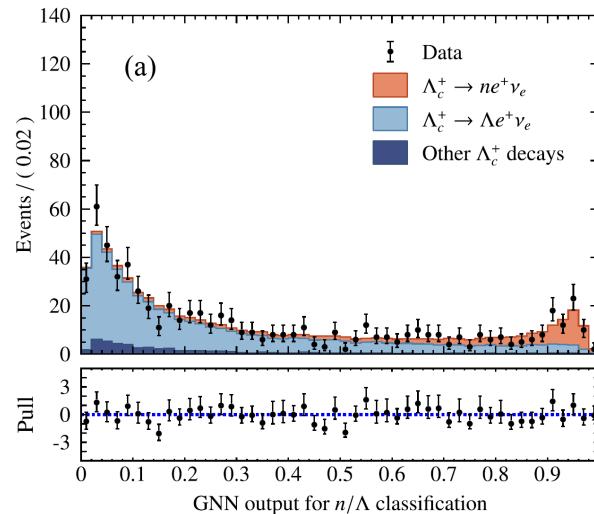
### ● Evidences found for $\Lambda_c^+ \rightarrow \Lambda^* e^+ \nu_e$

- $\mathcal{B}(\Lambda_c^+ \rightarrow \Lambda(1520)e^+ \nu_e) = (1.02 \pm 0.52 \pm 0.11) \times 10^{-3}$  with  $3.3\sigma$
- $\mathcal{B}(\Lambda_c^+ \rightarrow \Lambda(1405)[\rightarrow pK^-]e^+ \nu_e) = (0.42 \pm 0.19 \pm 0.04) \times 10^{-3}$  with  $3.2\sigma$

Elusive nature,  
Potential molecular state or pentaquark candidate

- Comparison with quark models and LQCD

	$\mathcal{B}(\Lambda_c^+ \rightarrow \Lambda(1520)e^+ \nu_e)$	$\mathcal{B}(\Lambda_c^+ \rightarrow \Lambda(1405)e^+ \nu_e)$
Constituent quark model [8]	1.01	3.04
Molecular state [9]	...	0.02
Nonrelativistic quark model [10]	0.60	2.43
Lattice QCD [12,13]	$0.512 \pm 0.082$	...
Measurement	$1.02 \pm 0.52 \pm 0.11$	$\frac{0.42 \pm 0.19 \pm 0.04}{\mathcal{B}(\Lambda(1405) \rightarrow pK^-)}$



- First observation of Cabibbo-suppressed  $c \rightarrow d$  transition in  $\Lambda_c^+$  SL decays

- $\mathcal{B} = (0.357 \pm 0.334_{\text{stat.}} \pm 0.014_{\text{syst.}})\%$  ( $> 10\sigma$ )

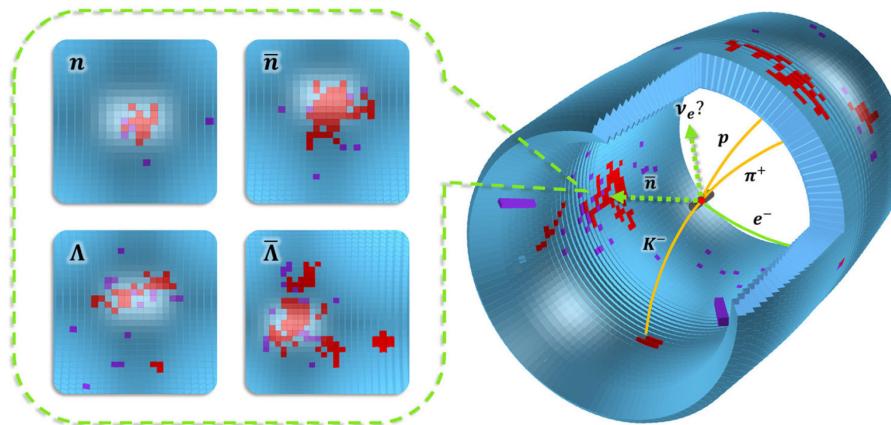
- First determination of  $|V_{cd}|$  from charmed baryon decays

- Take form factors from LQCD as input
- $|V_{cd}| = 0.208 \pm 0.011_{\text{exp.}} \pm 0.007_{\text{LQCD}} \pm 0.011_{\tau_{\Lambda_c^+}}$

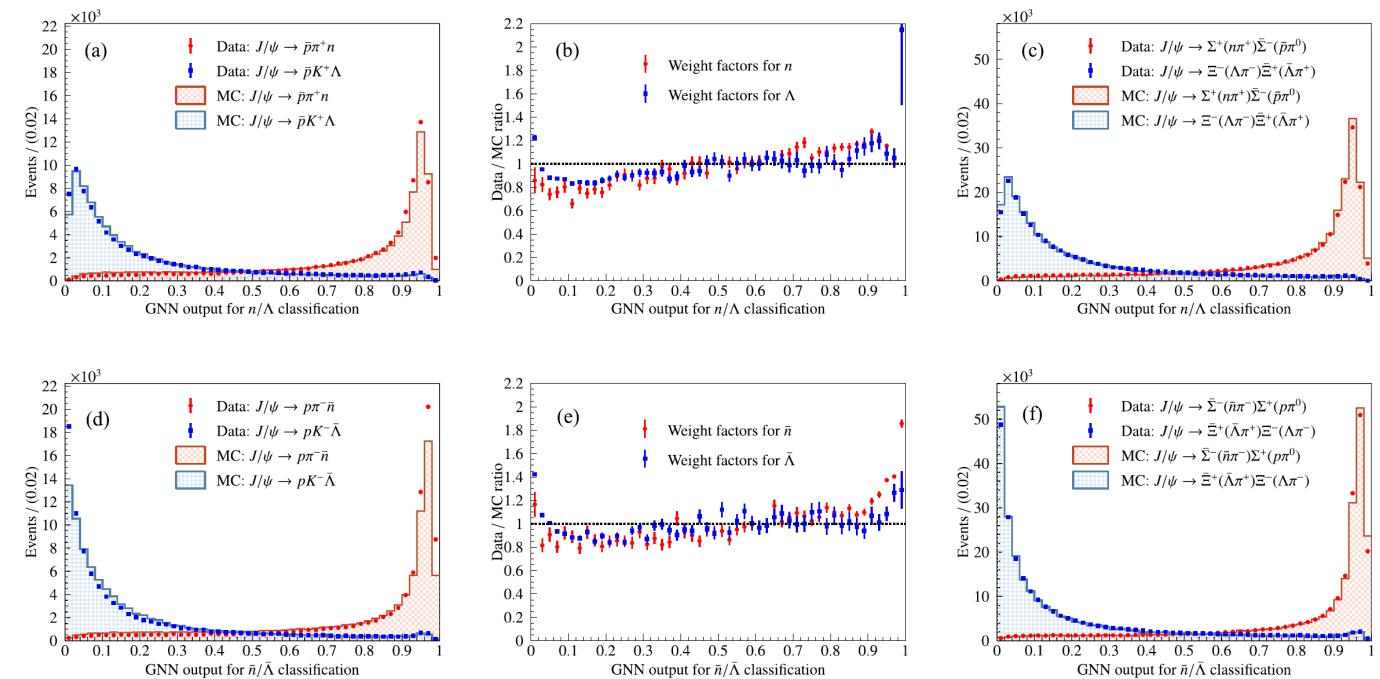
- Examination for various theoretical models

● A novel **deep learning method** is developed to separate signal from dominant background  $\Lambda_c^+ \rightarrow \Lambda(n\pi^0)e^+\nu_e$ .

- Use **Graph Neural Network** to classify  $n/\Lambda$  energy deposition patterns on EMC
- Establish a data-driven pipeline for GNN training, calibration, validation and systematic uncertainty quantification.
  - Extensively utilize control samples from 10 billion  $J/\psi$  events collected at BESIII.



Extendable to more BESIII studies involving neutral hadrons, especially those who can't be reconstructed by recoil masses.



- Improved measurement of inclusive  $\Lambda_c^+$  semi-leptonic decays

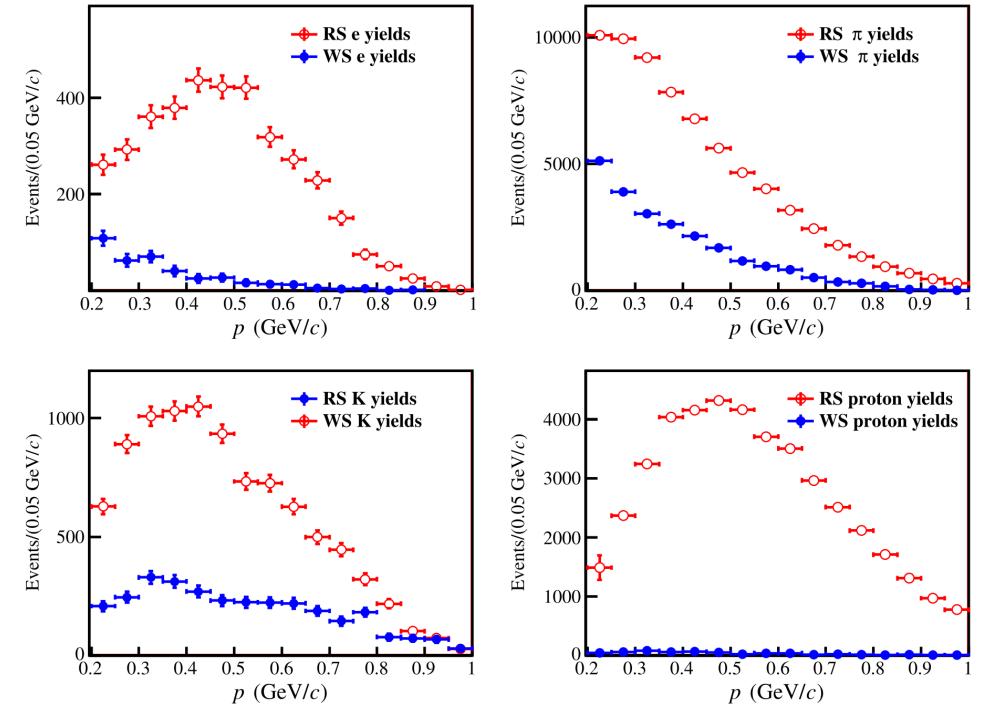
- $\mathcal{B}(\Lambda_c^+ \rightarrow X e^+ \nu_e) = (4.06 \pm 0.10_{\text{stat.}} \pm 0.09_{\text{syst.}})\%$

- Ratio between charmed meson/baryon decay widths

- $\frac{\Gamma(\Lambda_c^+ \rightarrow X e^+ \nu_e)}{\Gamma(D \rightarrow X e^+ \nu_e)} = 1.28 \pm 0.05$

- Use unfolding method to calibrate particle misidentification

$$\begin{bmatrix} N_e^{\text{obs}} \\ N_\pi^{\text{obs}} \\ N_K^{\text{obs}} \\ N_p^{\text{obs}} \end{bmatrix} = \begin{bmatrix} P_{e \rightarrow e} & P_{\pi \rightarrow e} & P_{K \rightarrow e} & P_{p \rightarrow e} \\ P_{e \rightarrow \pi} & P_{\pi \rightarrow \pi} & P_{K \rightarrow \pi} & P_{p \rightarrow \pi} \\ P_{e \rightarrow K} & P_{\pi \rightarrow K} & P_{K \rightarrow K} & P_{p \rightarrow K} \\ P_{e \rightarrow p} & P_{\pi \rightarrow p} & P_{K \rightarrow p} & P_{p \rightarrow p} \end{bmatrix} \begin{bmatrix} N_e^{\text{true}} \\ N_\pi^{\text{true}} \\ N_K^{\text{true}} \\ N_p^{\text{true}} \end{bmatrix}$$



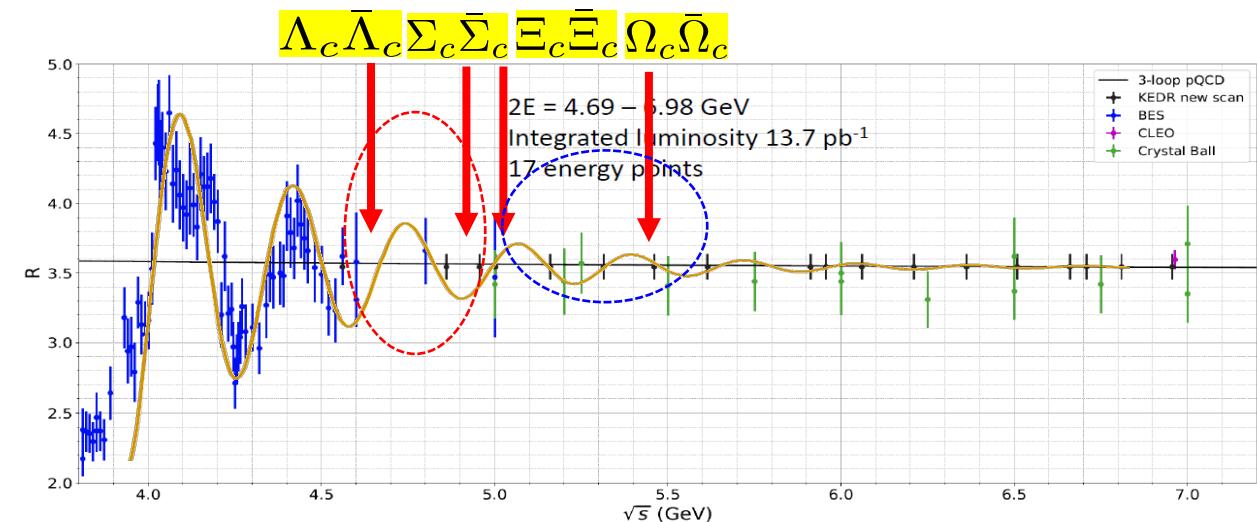
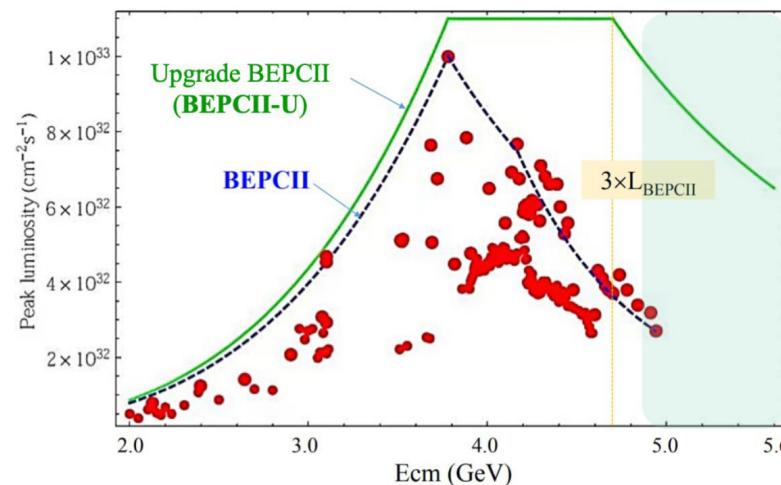
→ Constraint on unobserved  $\Lambda_c^+$  semi-leptonic decays

- Combine inclusive & exclusive measurements, assume all uncertainties are uncorrelated:
- $$\mathcal{B}(\Lambda_c^+ \rightarrow X e^+ \nu_e)_{X \neq \Lambda, n, pK^-} = (0.55 \pm 1.53_{\text{stat.}} \pm 1.15_{\text{syst.}}) \times 10^{-3}$$
- The majority of experimental gap has been filled.

# Prospect

## ● BEPCII(-U) & BESIII just finished a major machine upgrade.

- Triple the luminosity @ 4.7 GeV → more  $\Lambda_c^+$  data
- Extend c.m. energy up to 5.6 GeV → pair production for  $\Sigma_c, \Xi_c, \Omega_c$
- Replace inner MDC with CGEM detector



## ● According to latest time schedule:

- New  $\Lambda_c^+$  data taking will start in 2026
- Above-5-GeV data taking will start in 2028

# Summary

- **Semi-leptonic decays** of charmed hadrons provide good opportunities to study their inner dynamics and test SM.
- **BESIII made significant experimental contributions in recent years**, including
  - Precise measurements of CKM matrix elements  $|V_{cs}|$  &  $|V_{cd}|$  (see [Chao Chen's talk](#) on Sep 16)
  - First / improved measurements on various decay BFs and FFs
  - Accesses to light hadron spectrum via multi-body hadronic final states
- **Deep learning methods** can bring impressive and reliable physics results in these investigations.
- **BEPCII(-U) & BESIII machine upgrade** will provide more opportunities for charm hadron physics.

**Thanks for your attention!**