

1-1 correspondence reconstruction at electron-positron Higgs factories

—— application of ML in HEP

<https://inspirehep.net/literature/2847034>

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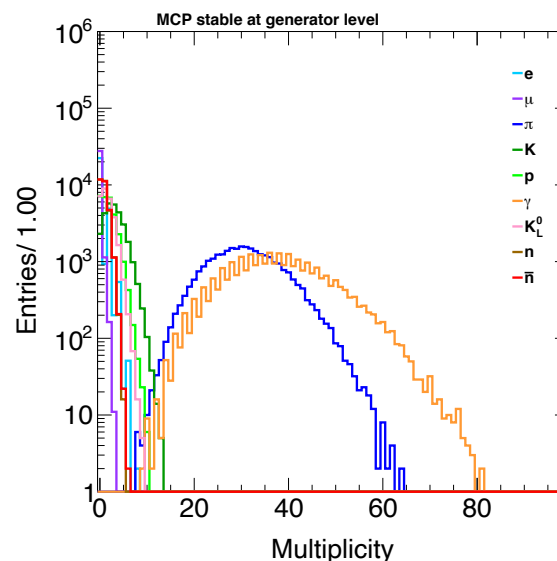
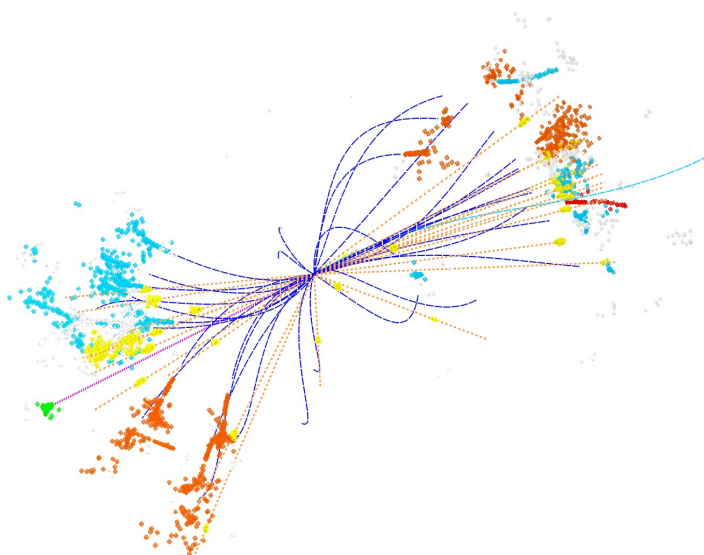
Particle reconstruction at e^+e^- Higgs factory

➤ Reconstruction in collider experiments

- Interpret **detector hits** into observables (type, E, P, vertex...) of original **particles**.
- Ultimate goal: **1-1 correspondence** between **truth & reconstructed** particles.
- Difficulty of achieving this goal highly depends on collision environment.

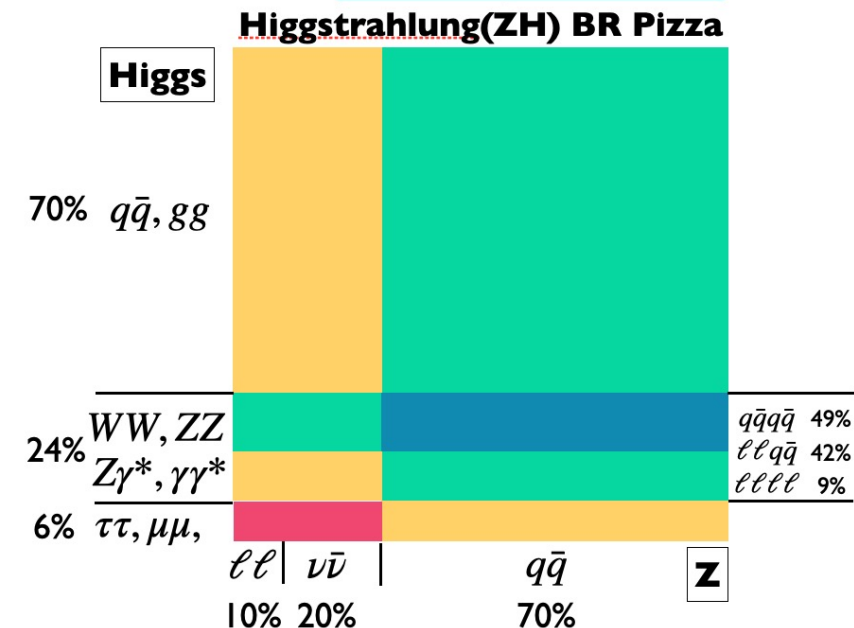
➤ e^+e^- Higgs factory (e.g. CEPC 240 GeV)

- ~97% with **jet** final states (ZH production)
- particle multiplicity up to ~100 (di-jet)



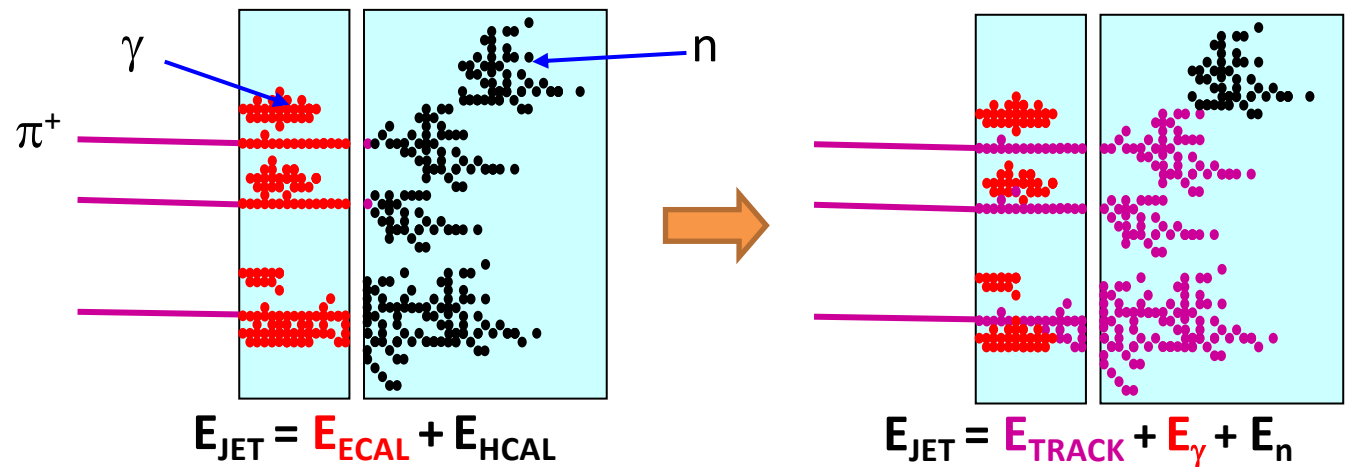
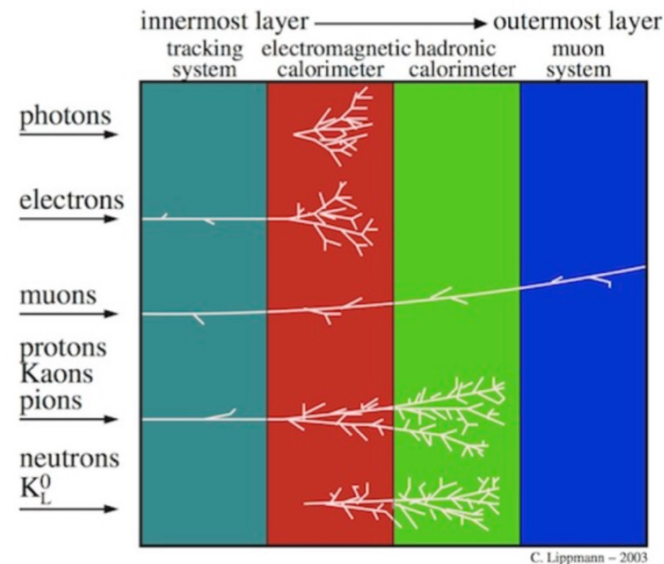
~ 97%
with Jets!

#jets	Probability
0	2.44%
2	29.73%
4	59.58%
6	8.23%



Particle Flow reconstruction

- **Basic idea**
 - efficiently separate and identify different types of particles
 - measure their energy/momentum with the optimal sub-detector
- **Significantly improve jet energy resolution**
 - $E_{\text{Jet}} = E_{\text{Track}}(65\%) + E_{\gamma}(25\%) + E_{h^0}(10\%)$
 - Tracker momentum resolution ($\sim 0.1\%$) $\ll$ Calorimeter energy resolution ($\sim 60\%/ \sqrt{E}$)



Particle Flow reconstruction

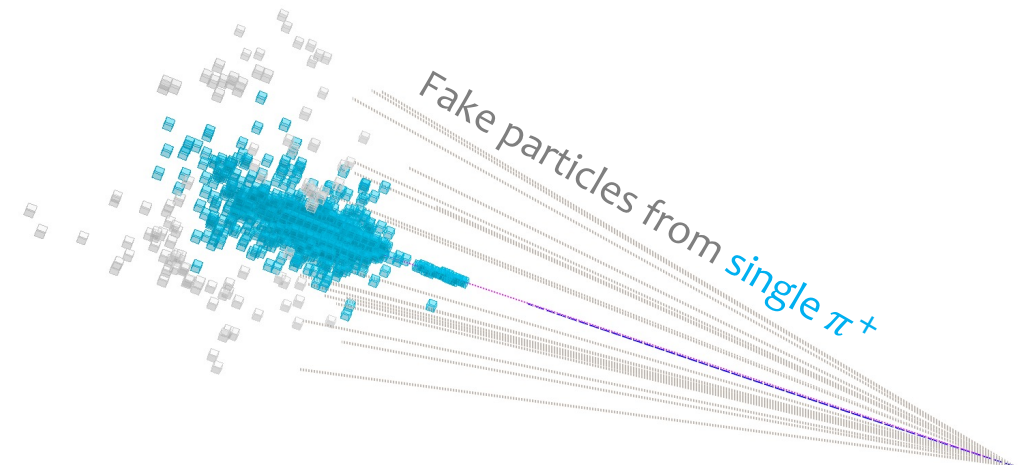
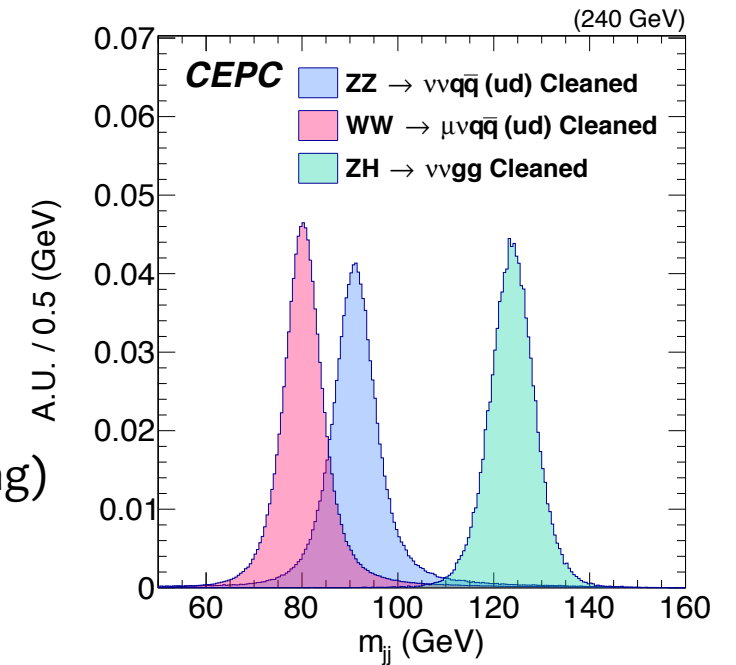
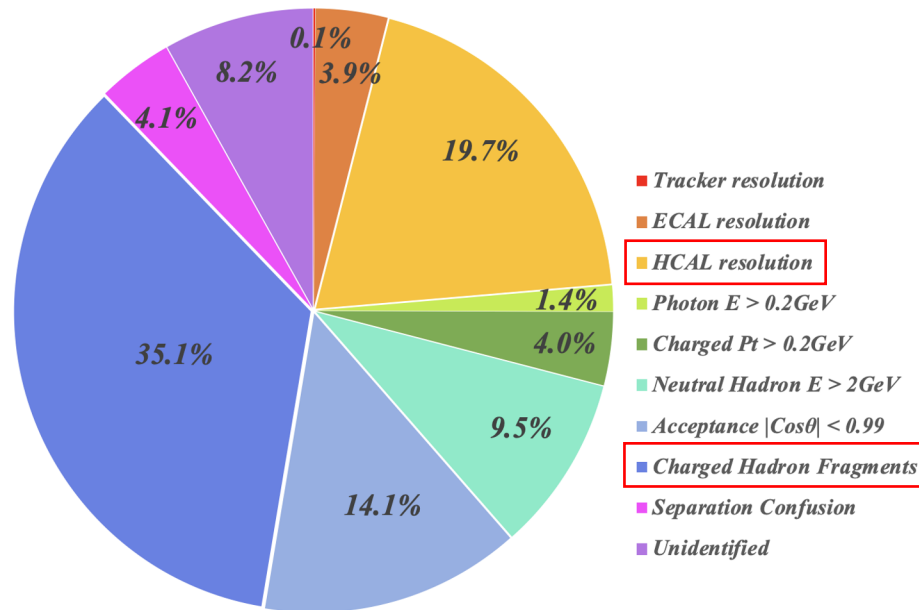
➤ Performance at CEPC CDR phase

➤ Quantified by Boson Mass Resolution (BMR)

- di-jet event (at 240 GeV)
- Baseline PFA: Arbor [arXiv: [1403.4784](https://arxiv.org/abs/1403.4784)]
- Baseline BMR: ~3.7%

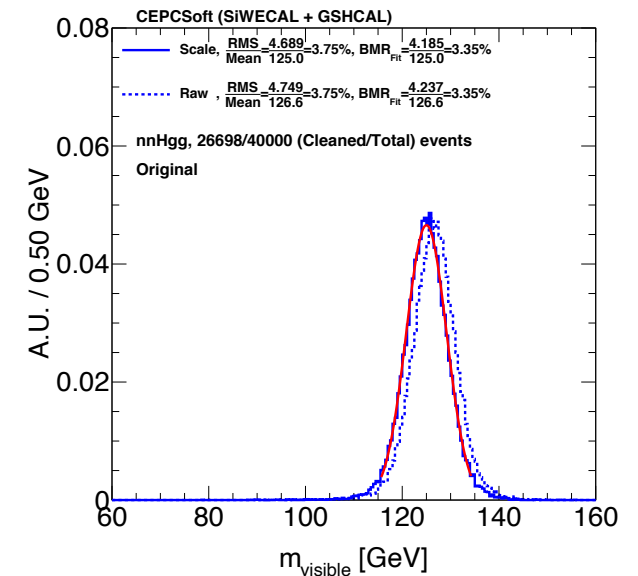
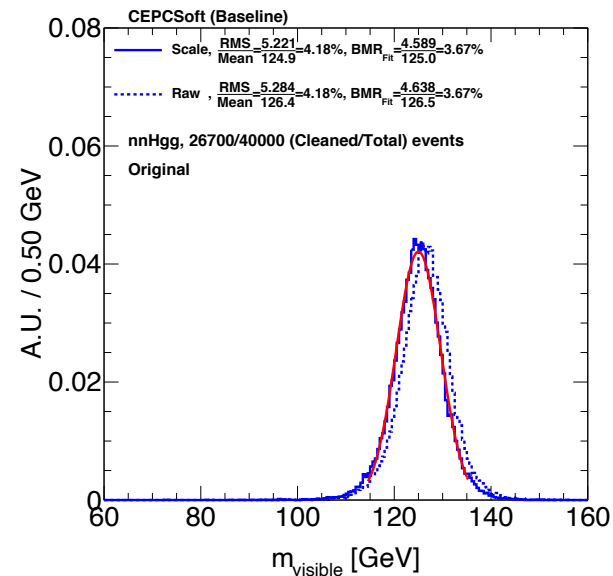
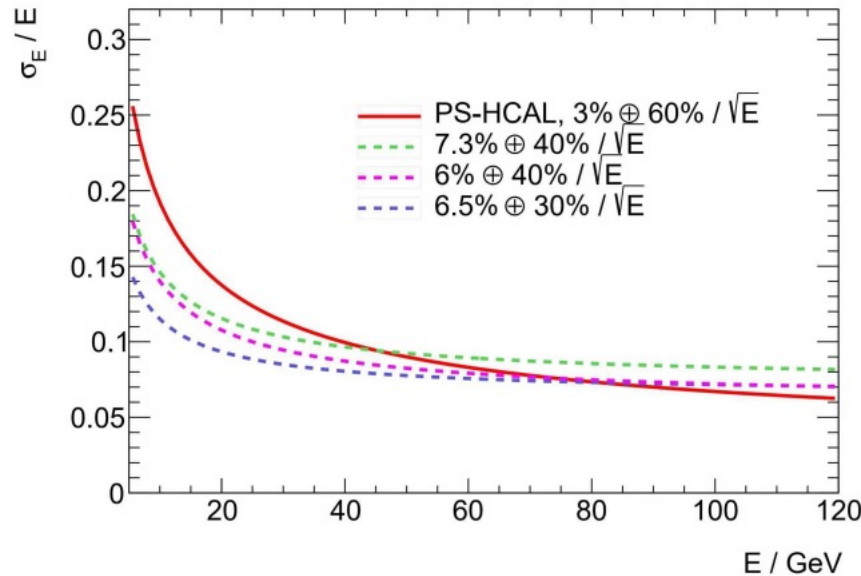
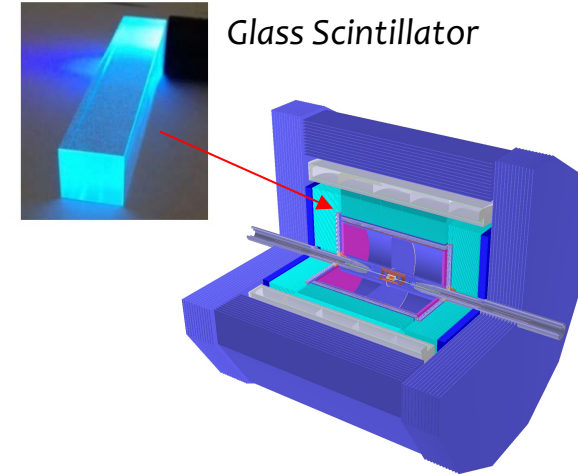
➤ Bottleneck (BMR decomposition pie-chart)

- 1st: Fake particles (fragments) from $h^\pm$ (energy double counting)
- 2nd: HCAL resolution



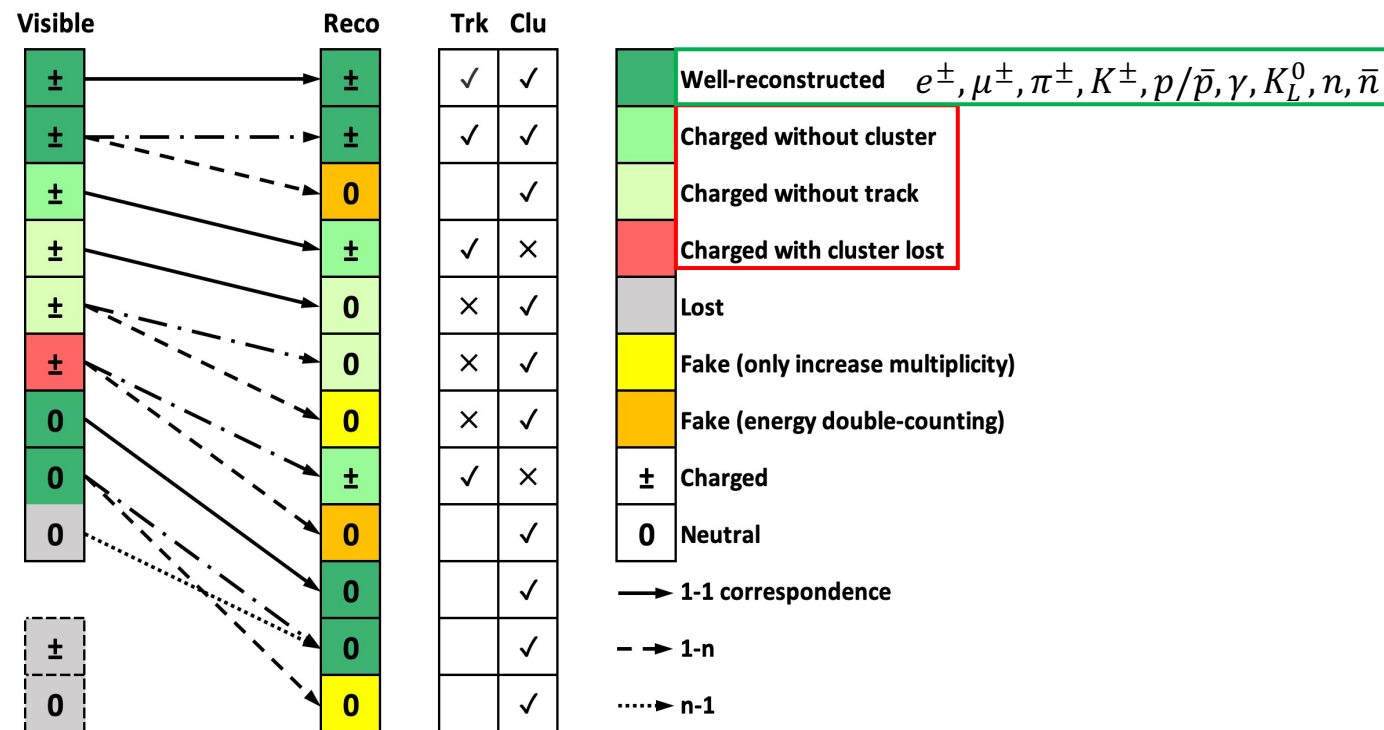
Improve hadronic resolution with Glass-HCAL

- **Glass Scintillator HCAL (GSHCAL)** [[NIMA 1059 \(2024\) 168944](#)]
 - Glass advantages
 - higher density → higher sampling fraction
 - doping with neutron-sensitive elements (Gd) : improve hadronic response
 - low cost
 - Significantly improvement in
 - Hadronic energy resolution: traditional 60%/√E → **30~40%/√E**
 - BMR: baseline 3.7% → **3.3%**



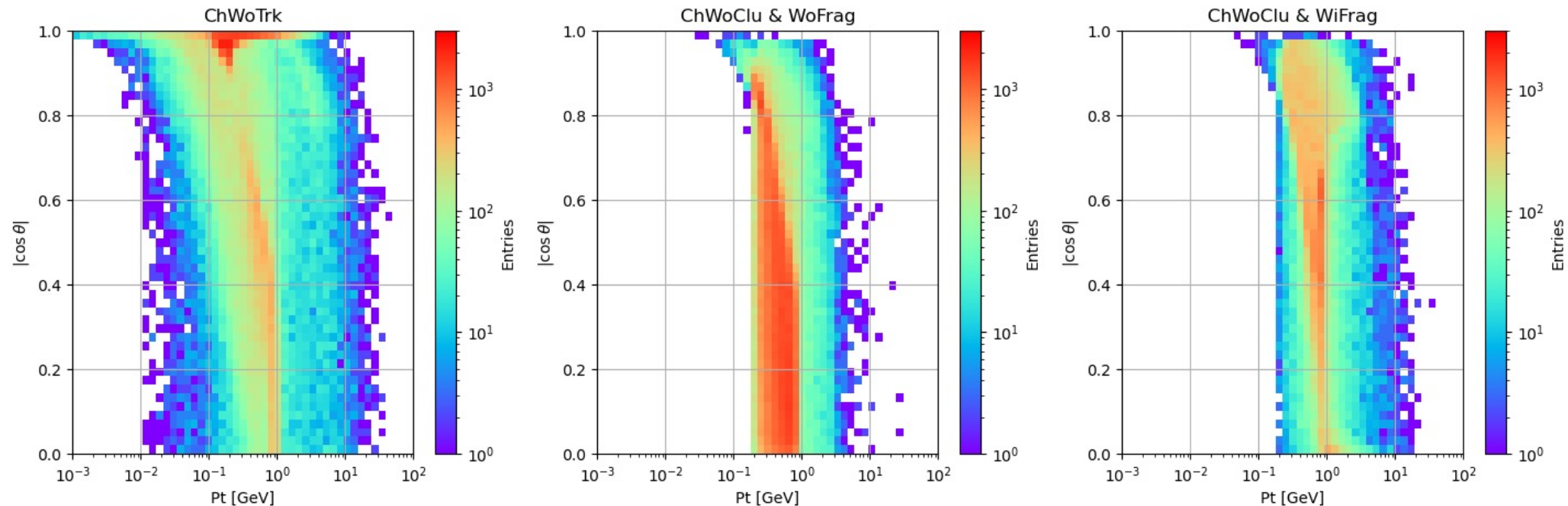
Particle mapping & classification of reconstructed particles

- **Build mapping between truth visible & reconstructed particles**
 - **Truth links** between truth particles and track/cluster hits that compose reco. particles
- **15 categories of reconstructed particles**
 - 1-1 correspondence preserved 10 categories: $e^{\pm}, \mu^{\pm}, \pi^{\pm}, K^{\pm}, p/\bar{p}, \gamma, K_L^0, n, \bar{n}, others$
 - Confusions: fake particles, charged w/o track, etc

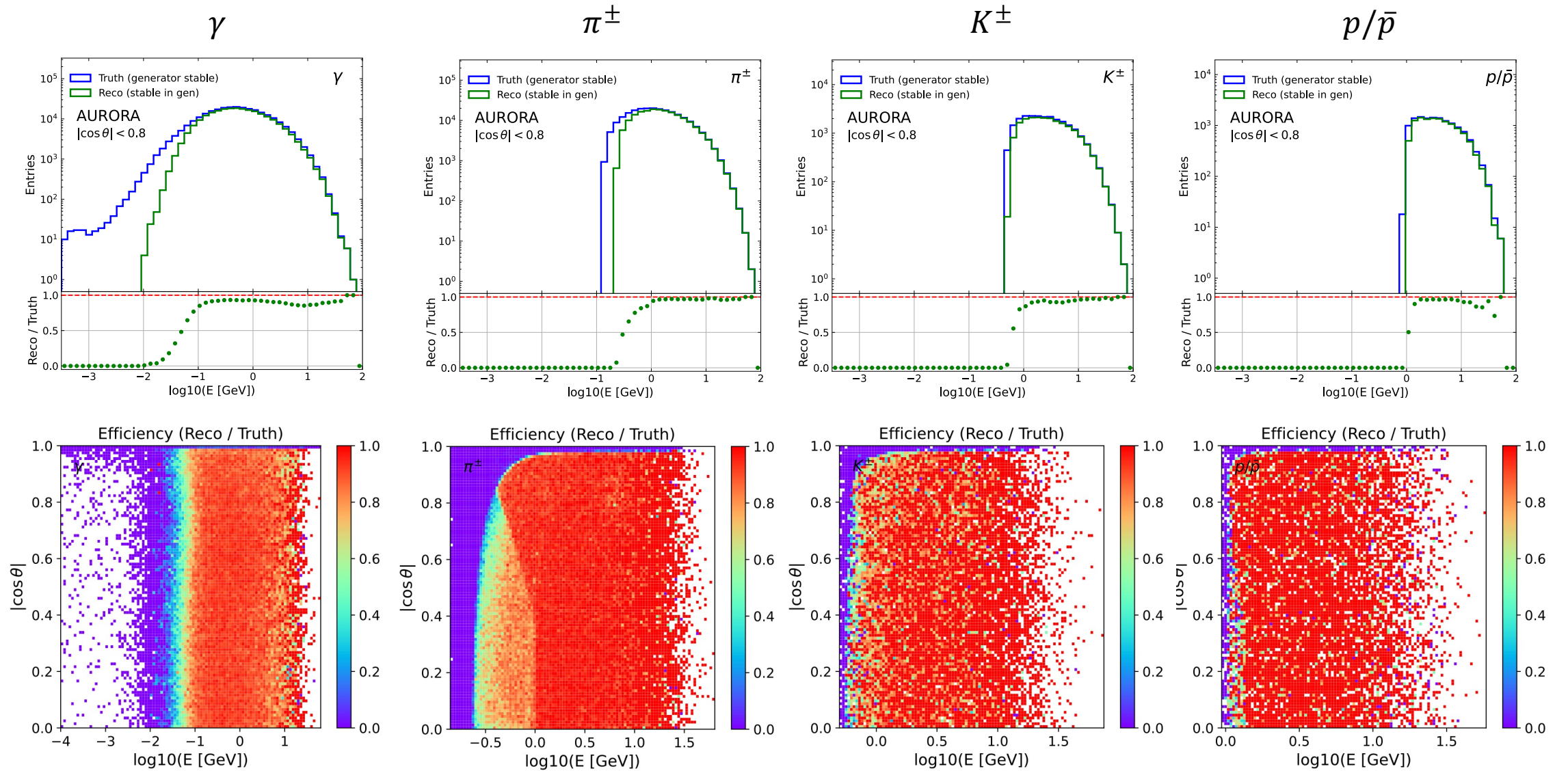


Diagnosis: charged particle w/o track or cluster

- **Charged particle w/o track or cluster**
 - Almost in forward and low Pt regions



Diagnosis: particle reconstruction efficiency



Particle identification using machine learning

- 1-1 correspondence problem → supervised multiclass classification task
- ML model: Particle Transformer (ParT) [arXiv: [2202.03772](https://arxiv.org/abs/2202.03772)]
 - Inputs: ~55 particle features
 - Reconstructed particles: E, P, (θ , φ)
 - Track: #hits, P, endpoint, dE/dx
 - Cluster: #hits, E, shape variables, time spectrum...
 - Outputs
 - Likelihood (score) of each particle type
 - ~ 2.2M parameters
- **Sample**
 - Total 10^6 full simulation $\nu\nu H, H \rightarrow gg$ (240 GeV)
 - Training : Validation : Test = 6:2:2

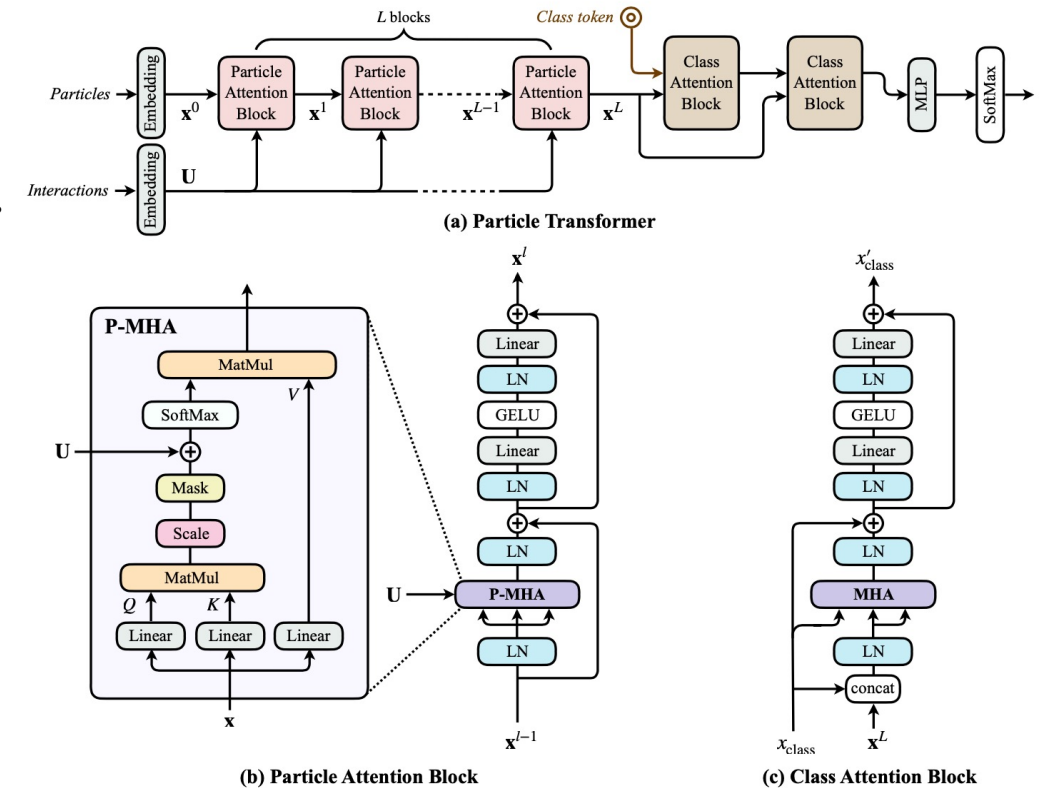


Figure 3. The architecture of (a) Particle Transformer (b) Particle Attention Block (c) Class Attention Block.

Input feature variables

➤ Input features at particle, track, cluster level

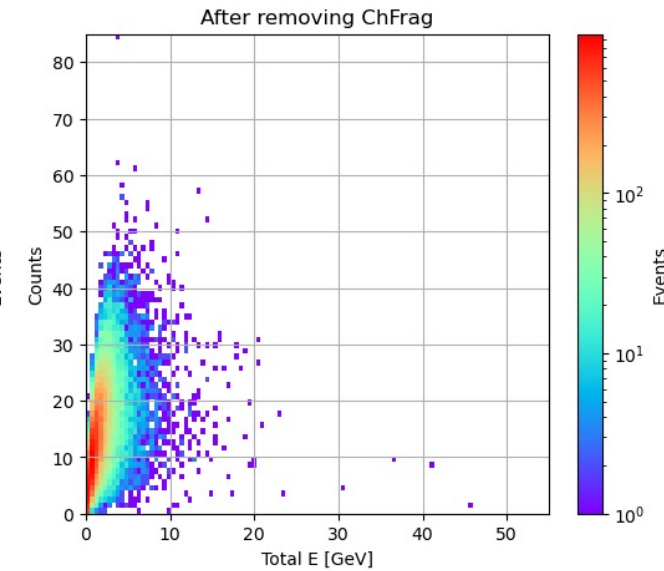
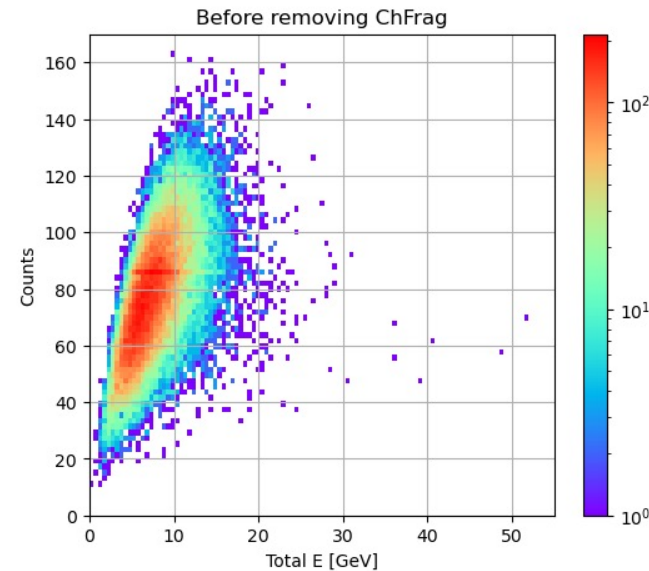
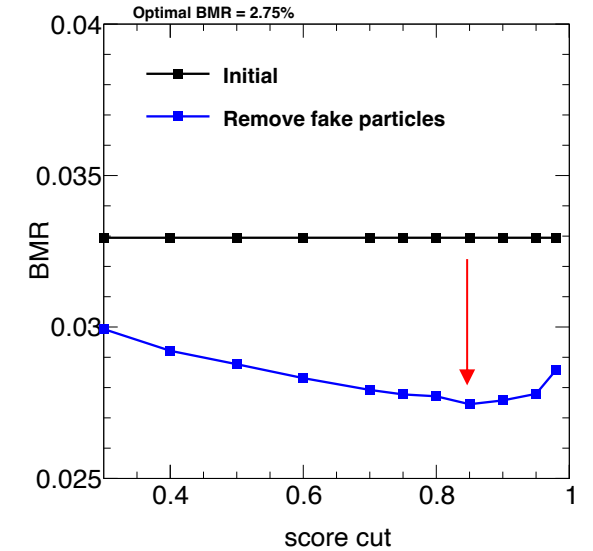
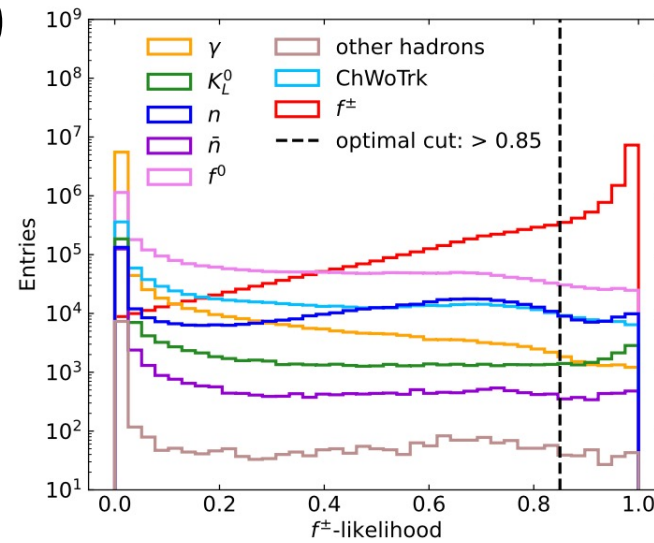
Table A.2: Input variables of ParT.

Object level	Observable variables
Reconstructed particle	4-momentum (E, p_x, p_y, p_z) Direction (θ, ϕ) Number of tracks and clusters
Track	Number of hits Endpoint position 3-momentum ($ \vec{p} , p_x, p_y, p_z, p_T$) dE/dx (mean of 5–85% truncation and quartiles)
Cluster	Number of hits Energy Position of shower starting point Position of center of gravity Fractal dimension [56] Second moment (M_2) Distance between ECAL inner surface and shower starting point Distance between ECAL inner surface and center of gravity Distance between ECAL inner surface and the innermost hit Distance between ECAL inner surface and the outermost hit Maximum distance between cluster hits and the track helix (for charged particles) Maximum distance between cluster hits to the axis from the innermost hit to the center of gravity Average distance between cluster hits to the axis from the innermost hit to the center of gravity Hit time spectrum (the fastest time and quintiles)
Closest charged cluster	Minimum distance between cluster hits of each other Number of hits Energy Ratio of E_{cluster} to p_{track}

5D calorimeter

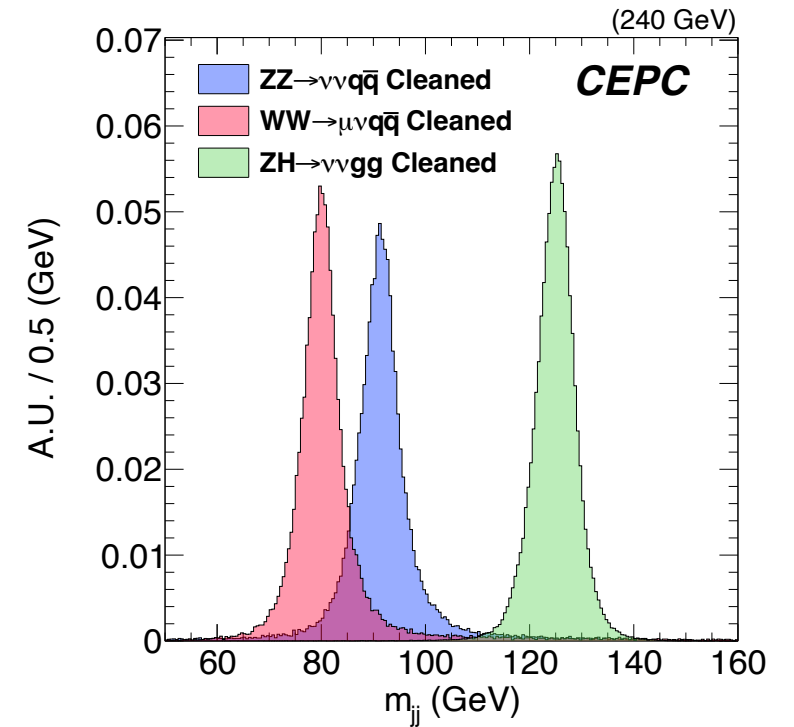
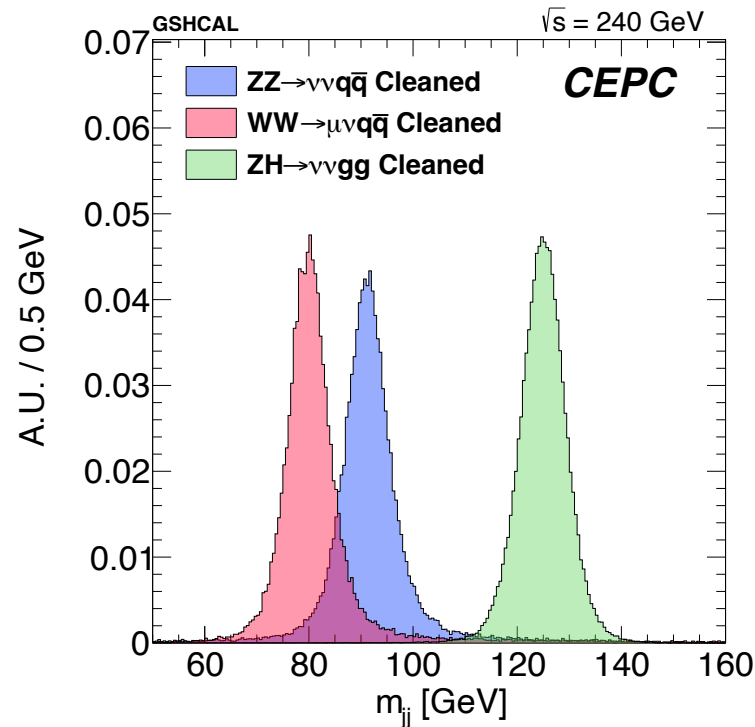
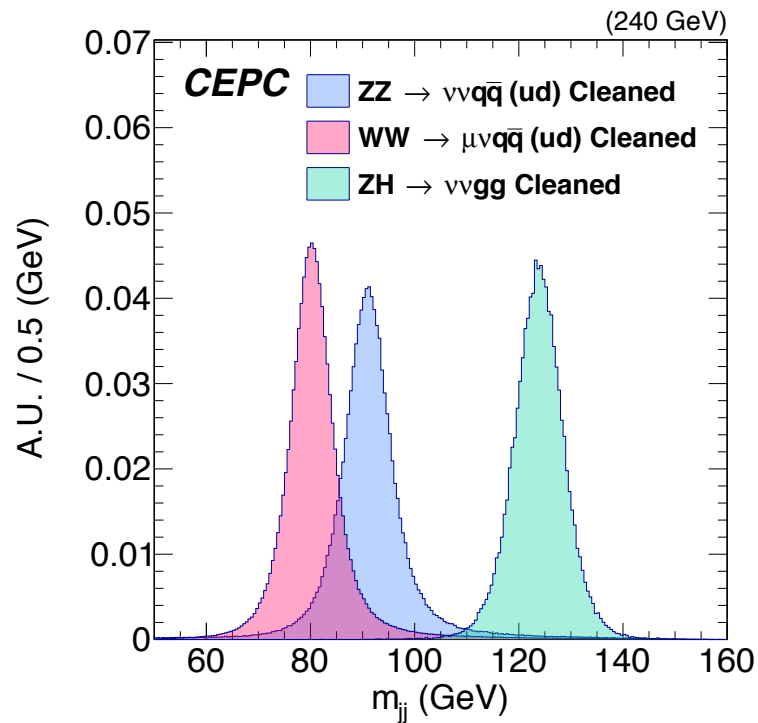
Fake particle identification and BMR

- **Optimal working point (wrt BMR)**
 - score > 0.85
 - efficiency ~77%, purity ~97.5%
- **Fake particle suppression**
 - average number: 75 → 10
 - double-counted energy: 6 → 0.6 GeV



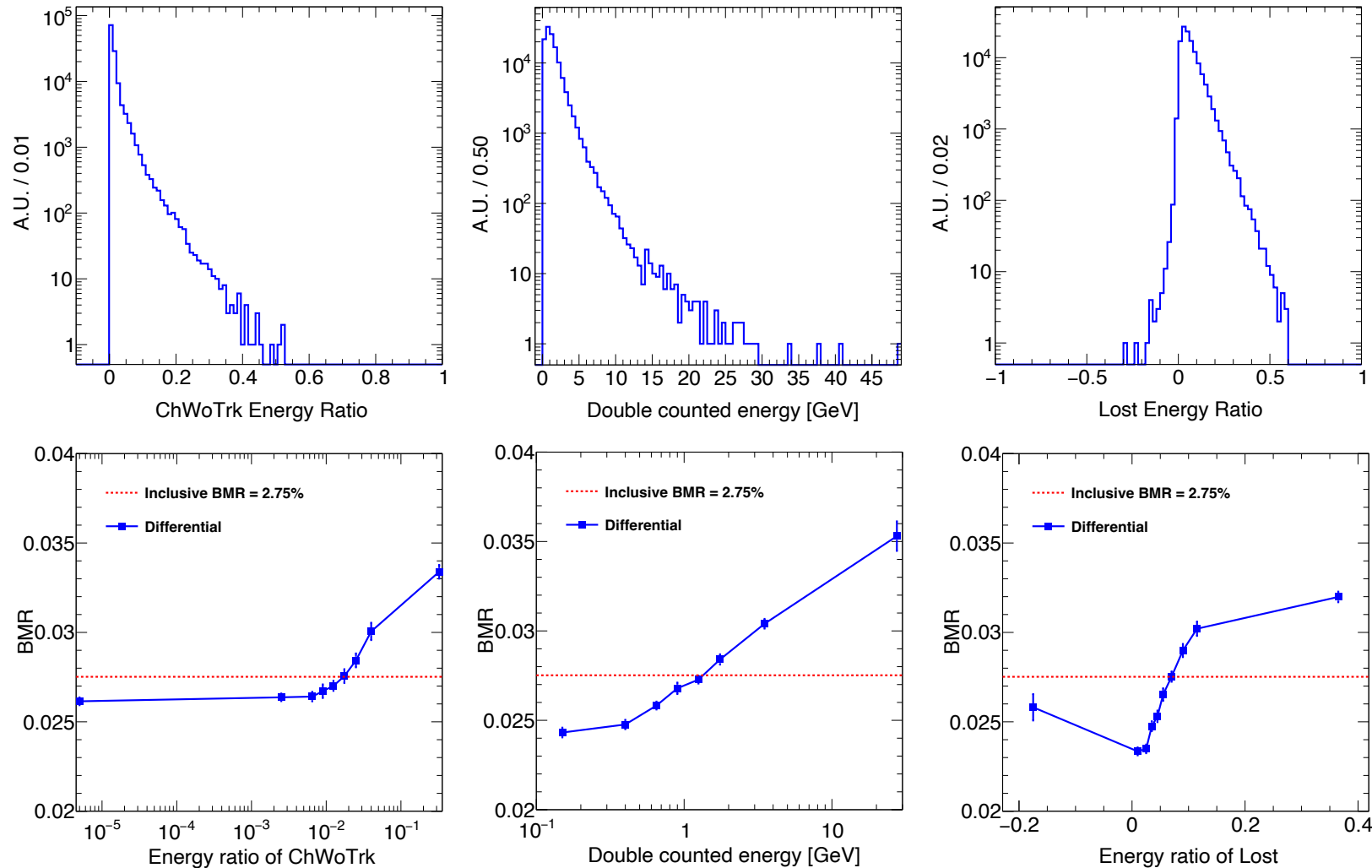
Fake particle identification and BMR

- **BMR improvement (CDR results only)**
 - CDR Baseline $\sim 3.7\%$
 - Glass-HCAL $\sim 3.3\%$ (relative 10%)
 - Glass-HCAL + fake particle suppression (relative 15%) $\sim 2.75\%$



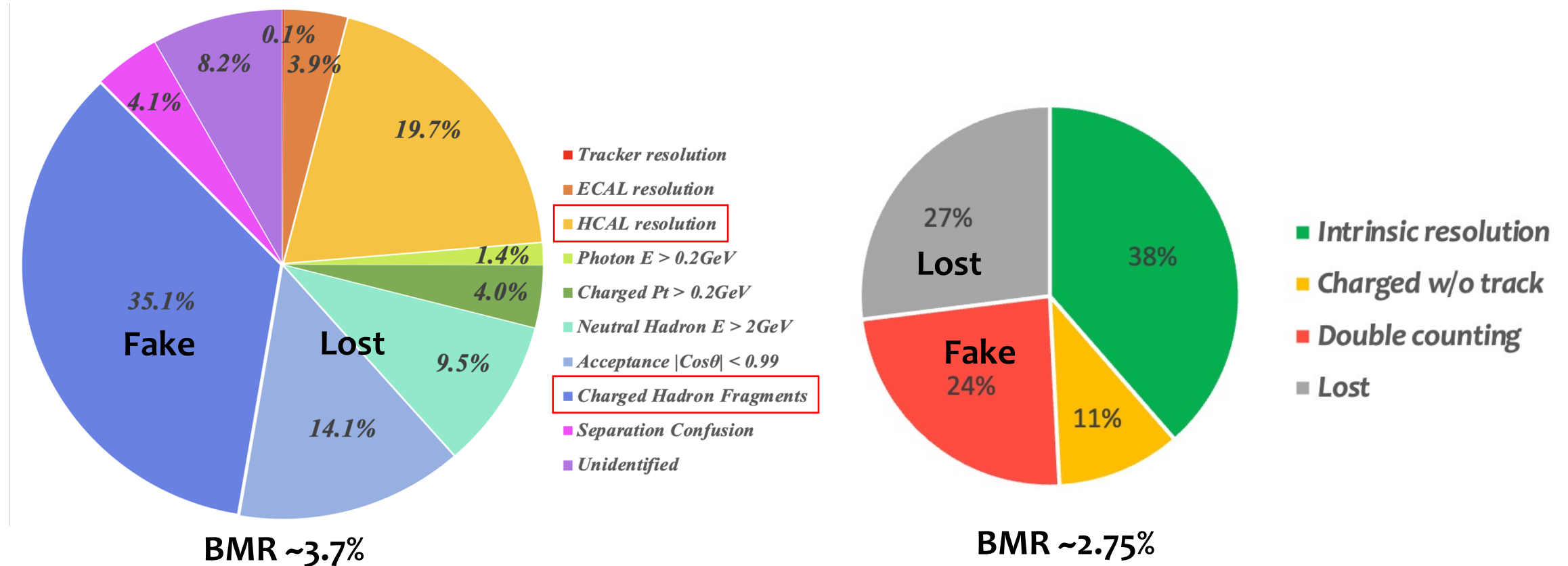
BMR decomposition

➤ Differential BMR vs ChWoTrk, fake, lost particles



BMR decomposition

- **BMR 3.7% → 2.75%**
- **Bottleneck shift**
 - Fake particle → Intrinsic resolution & Lost particle



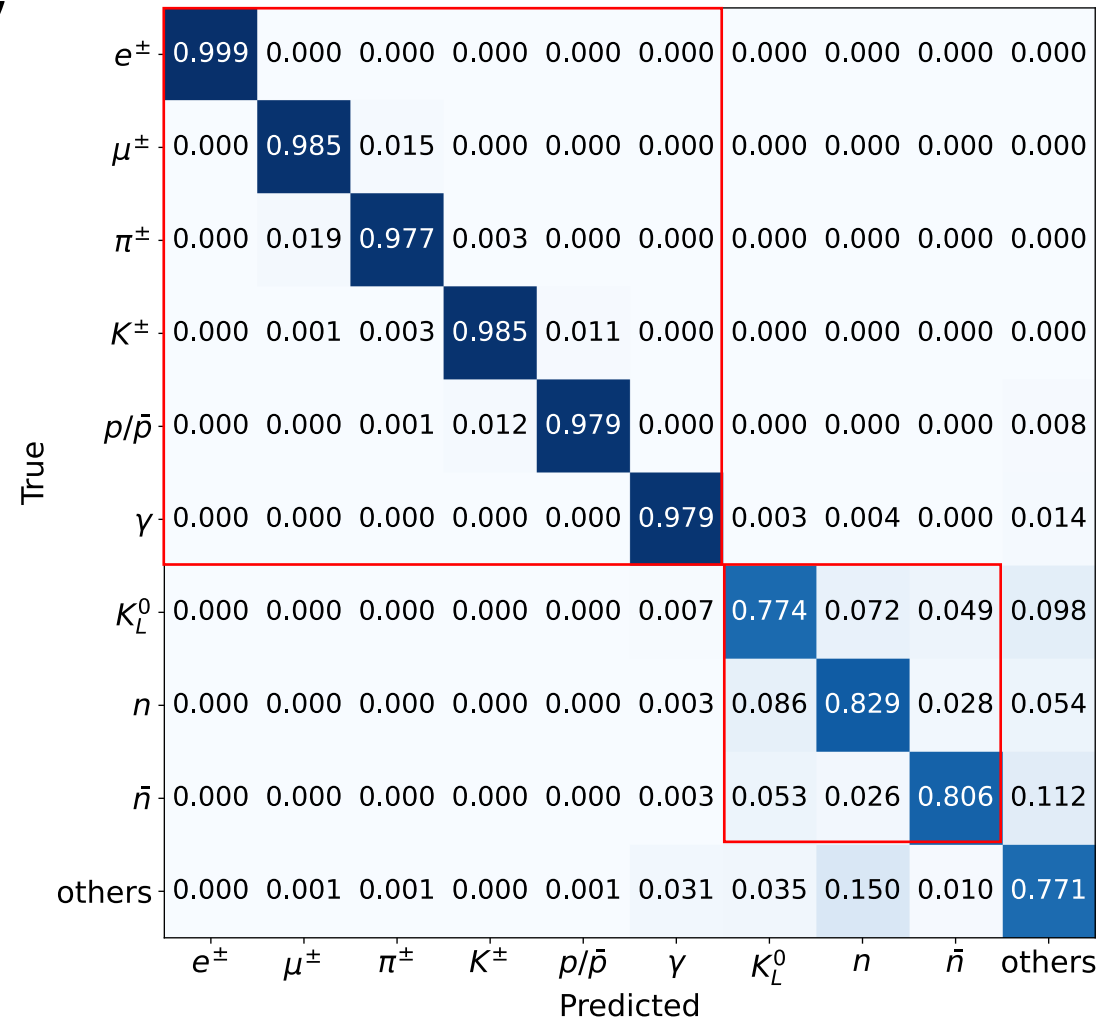
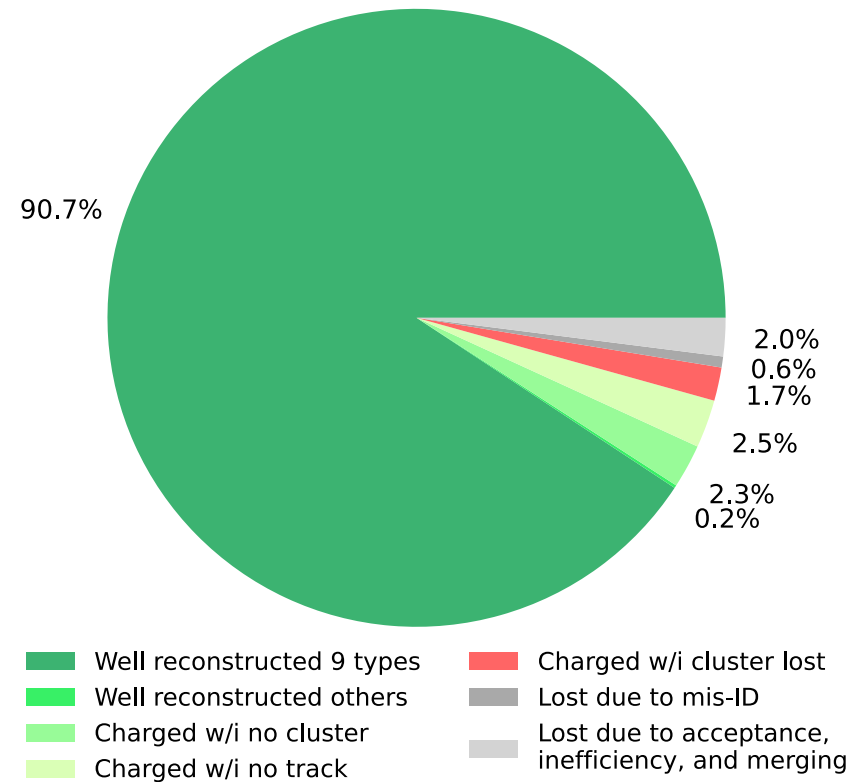
Simultaneous identification of particles

➤ Energy fraction of 1-1 correspondence types ~90%

➤ Simultaneous identification efficiency

➤ M6x6 ($e^\pm, \mu^\pm, \pi^\pm, K^\pm, p/\bar{p}, \gamma$): >97%

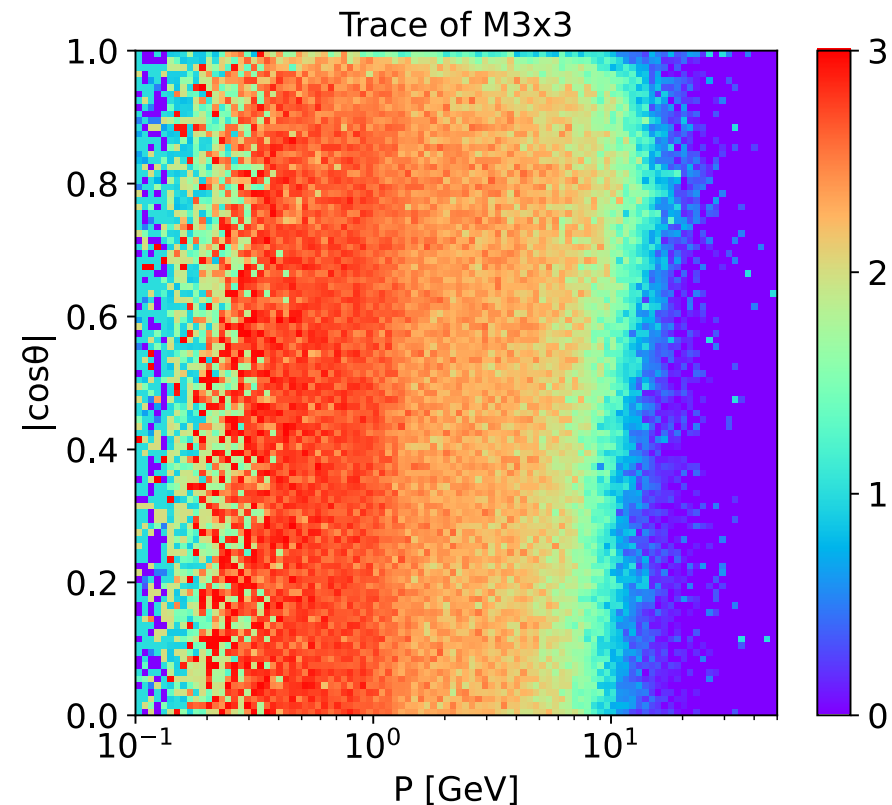
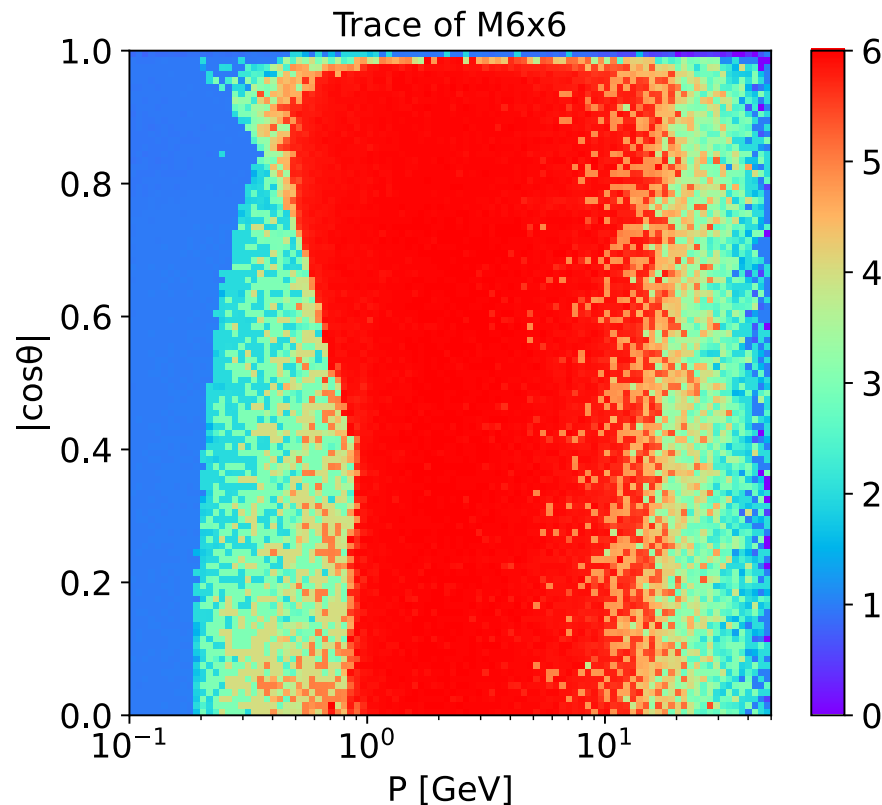
➤ M3x3 ($K_L^0, n, \bar{n}$): >75~80%



Simultaneous identification of particles

➤ Differential efficiency

- M6x6 ($e^\pm, \mu^\pm, \pi^\pm, K^\pm, p/\bar{p}, \gamma$): high eff. in wide range 1~10/20 GeV
- M3x3 ($K_L^0, n, \bar{n}$): high eff. in low energy range ~ 1 GeV



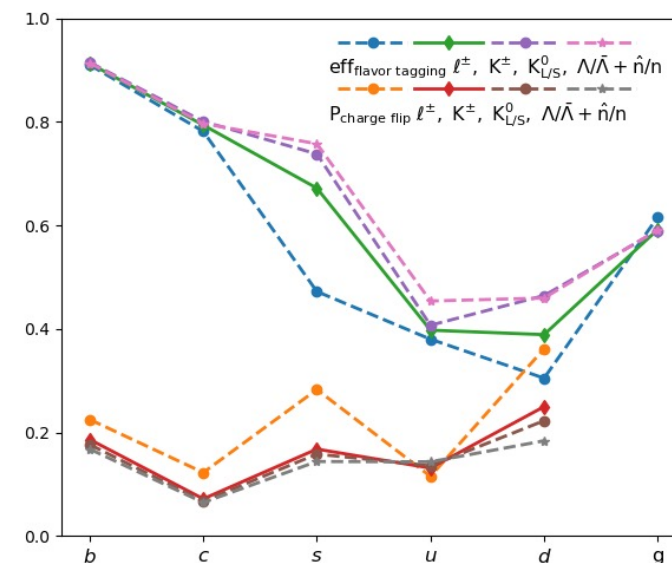
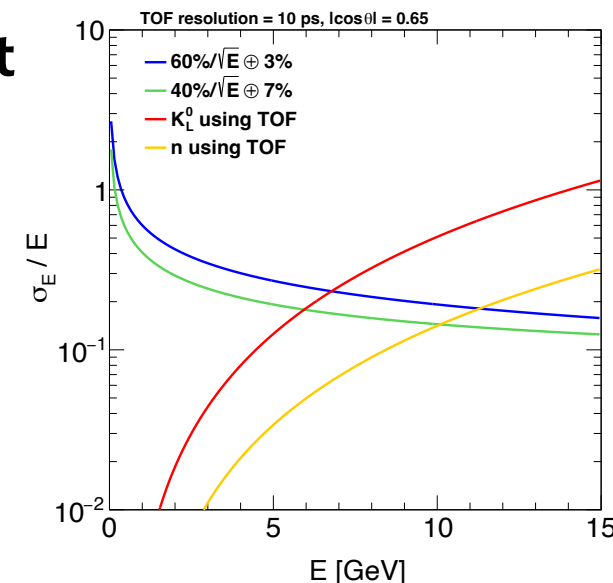
Prospects

➤ TOF enhanced neutral hadron energy measurement

- efficient separation of K_L^0 & $n/\bar{n}$
 - TOF + PID (mass) $\rightarrow$ E
- much more precise low-energy measurements

➤ Jet origin ID [[PRL 132. 221802](#)]

- identification jets from 5 quarks + 5 anti-quarks + gluon
- PID impacts significantly
 - especially improvement in light-quarks from $K^\pm, K_{L/S}^0, n, \Lambda$



Future 3-stage particle mapping

➤ Lost decomposition

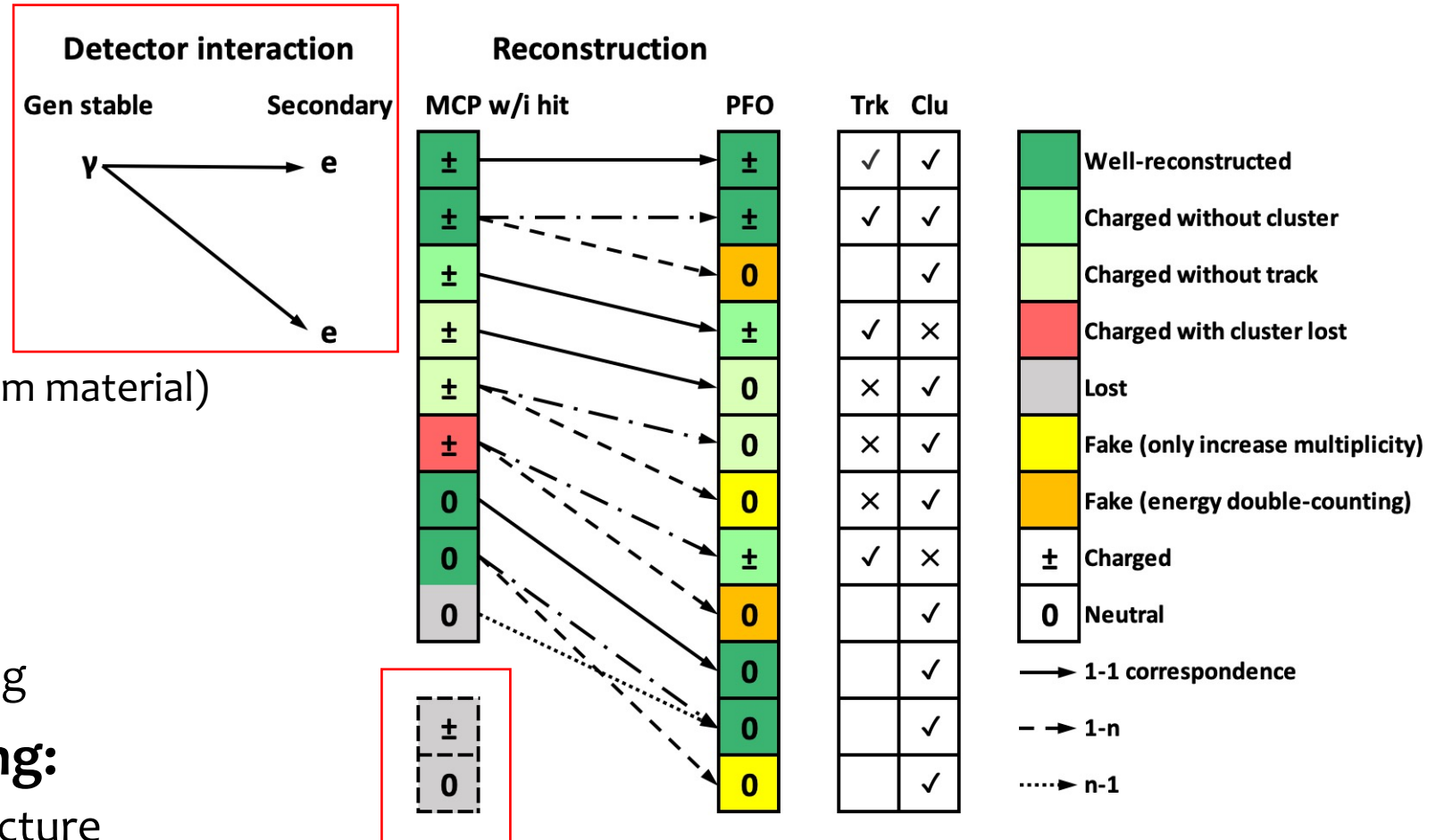
- Acceptance
- Particle merging

➤ Secondary particles

- e.g.
 - pre-interaction (upstream material)
 - photon conversion
 - back-scattering
 - nuclear interaction
 - ...
- To avoid E double-counting

➤ 3-stage particle mapping:

- More complete physics picture



Summary

- **1-1 correspondence reconstruction**
 - = confusion-free PFA + efficient PID
 - unified in a same ML model
- **Performance improvement via ML**
 - PFA confusion suppression: improve BMR by ~15% (3.3% → 2.75%)
 - PID: Extend traditional separated one to universal & simultaneous one of 9 categories
 - $M_{6 \times 6} (e^{\pm}, \mu^{\pm}, \pi^{\pm}, K^{\pm}, p/\bar{p}, \gamma)$: inclusive eff. > 97%
 - $M_{3 \times 3} (K_L^0, n, \bar{n})$: inclusive eff. > 75~80%
- **Prospects**
 - Extended PID, especially on neutral hadrons
 - TOF enhanced neutral hadron energy measurement
 - Further enhancement on jet origin ID
 - Improved 1-1 correspondence
 - More reliable and high-fidelity inputs for holistic event analysis
 - Make fast simulation modeling simpler and more accurate, facilitate pheno-studies

Summary

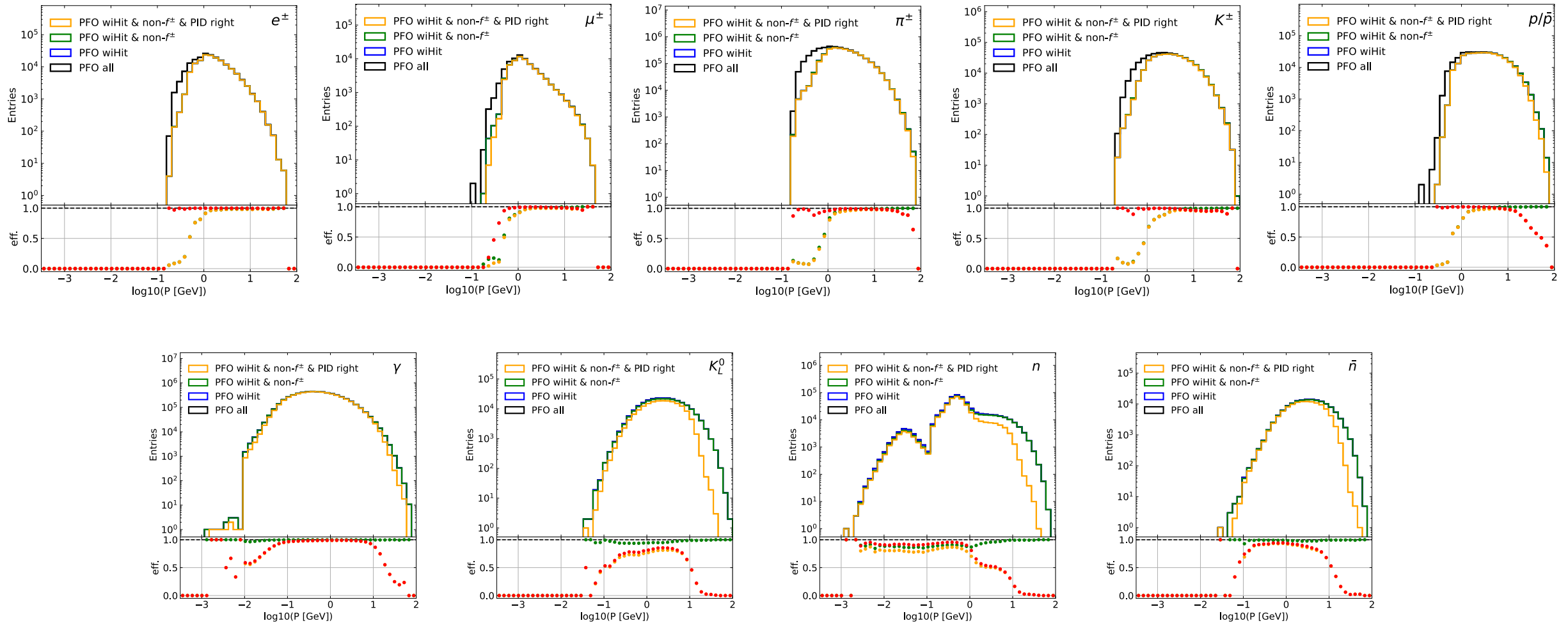
- **Key technique: particle mapping (truth link)**
 - Decomposition of PFA performance
 - Performance quantification of jet event (with high multiplicity)
 - enable diagnosis on individual particles
 - Beyond BMR: multi-dimensional metrics
 - Future 3-stage particle mapping, to further address
 - lost decomposition
 - secondary particles
- **Apply to different detector concepts, PFAs, collision environments**
 - ee Higgs factory (in progress)
 - CEPC ref-TDR
 - ILC ILD
 - from simulation to real data, e.g. BESIII, LHC...

Welcome collaborations!

Thank you for your attention!

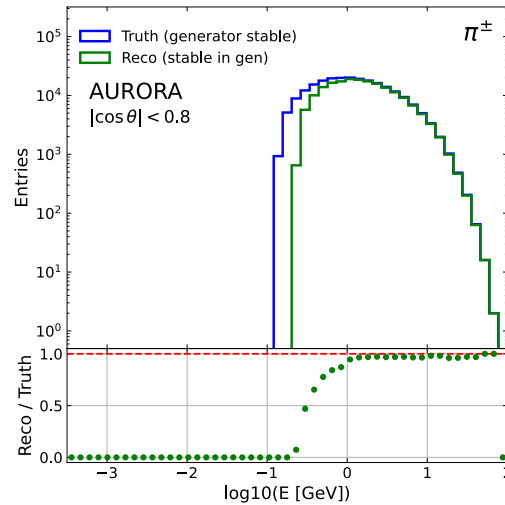
ParT PID efficiency

ParT PID eff: red dot = yellow / green

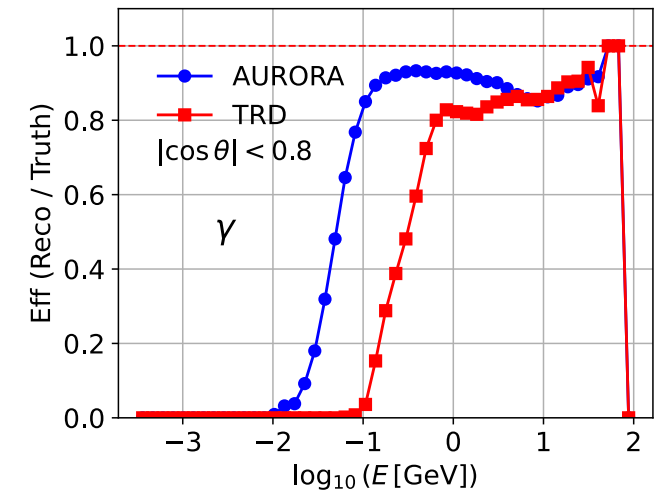
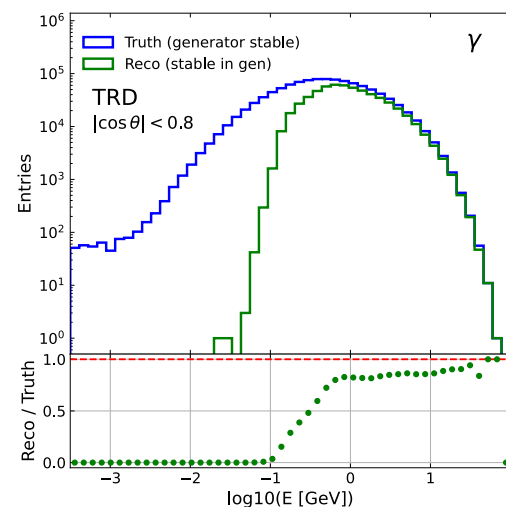
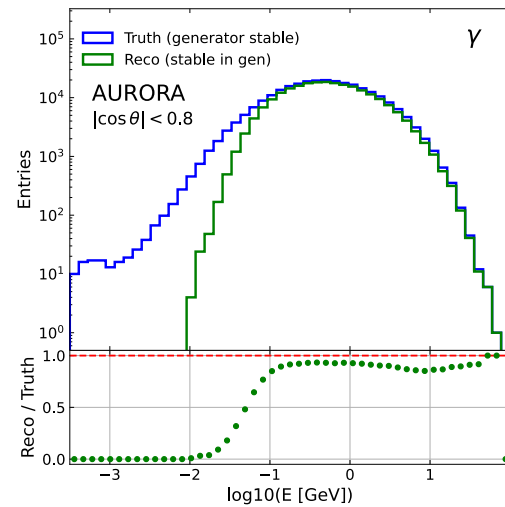
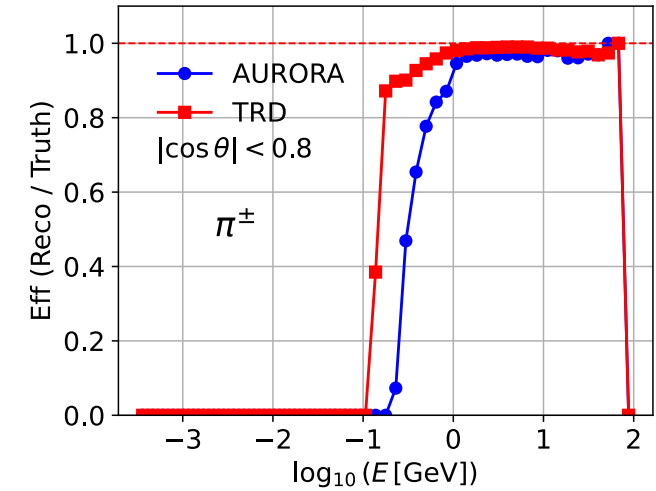
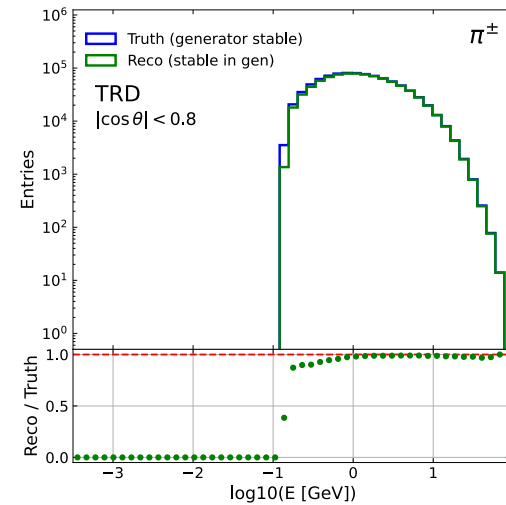


Particle reconstruction efficiency

CDR

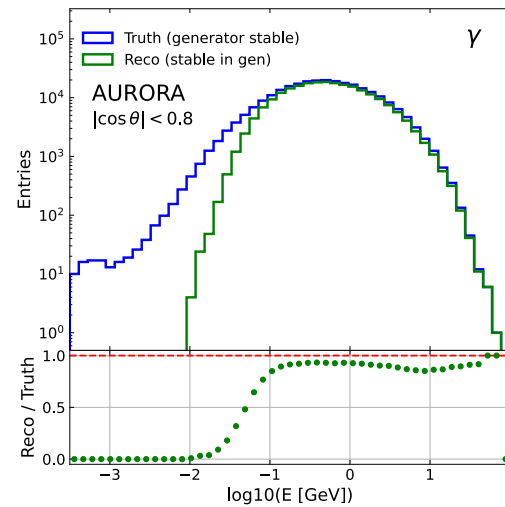
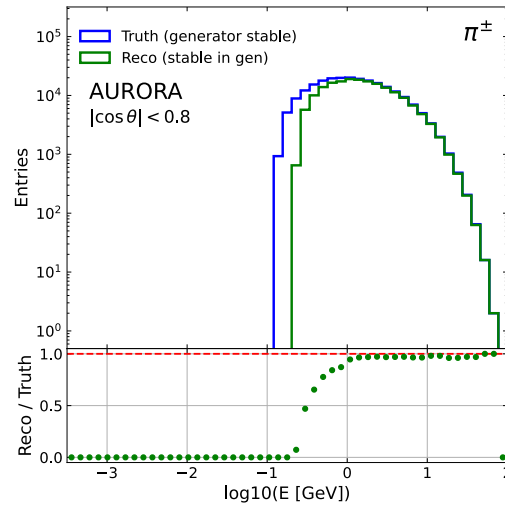


TDR

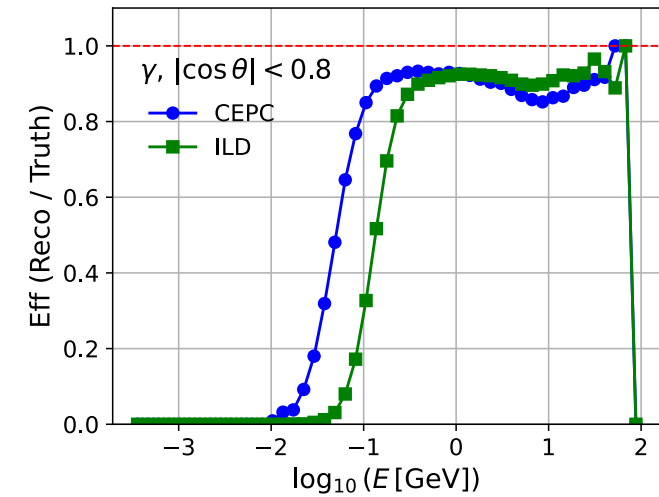
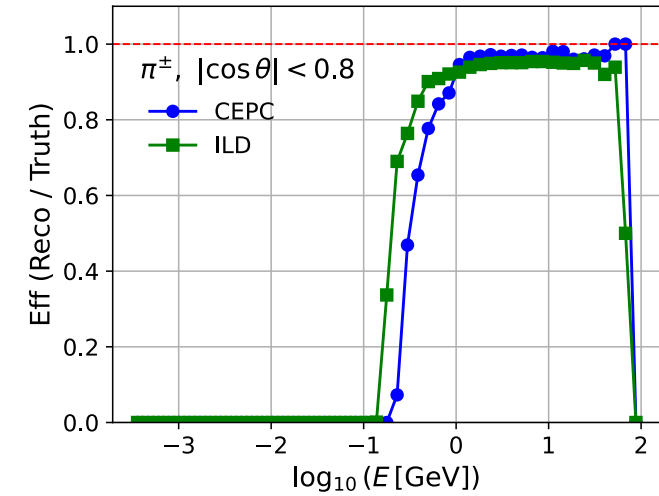
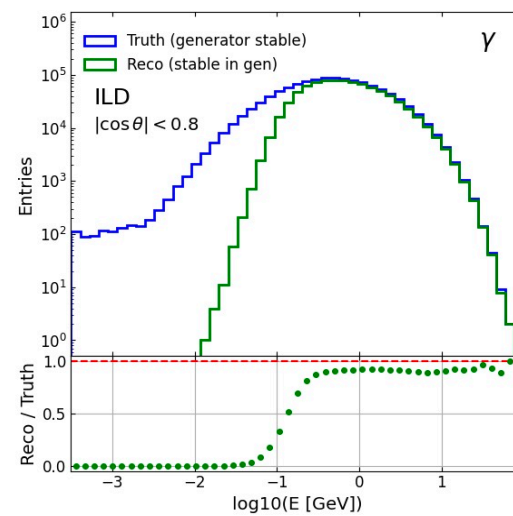
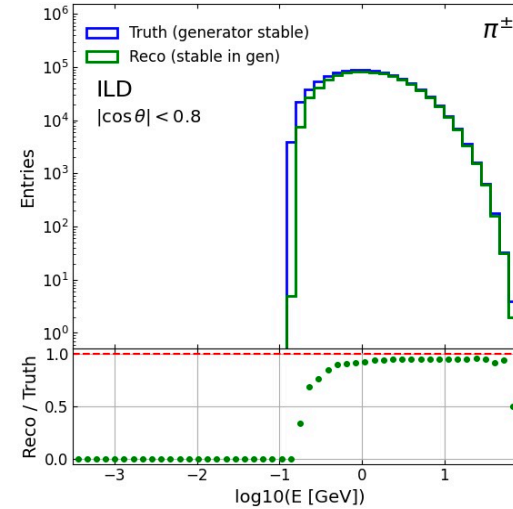


Particle reconstruction efficiency

CDR

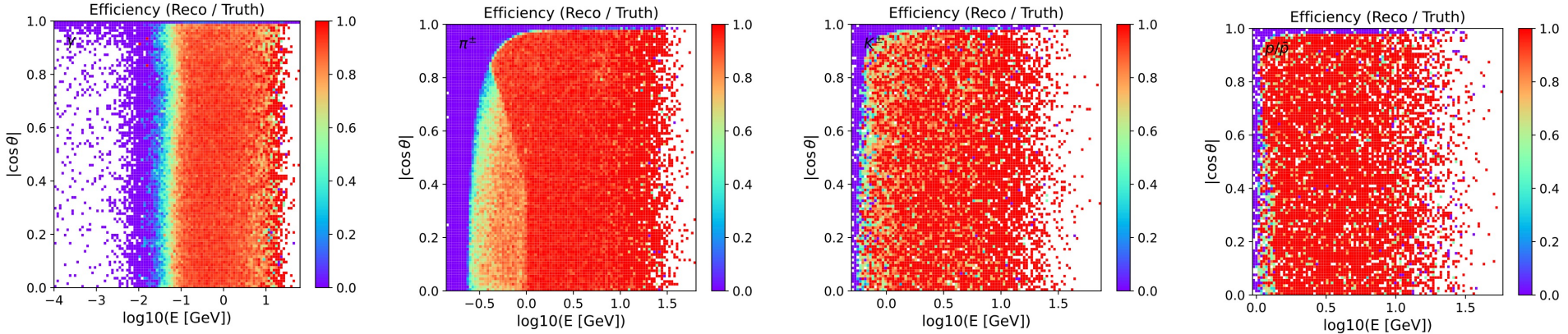


ILD

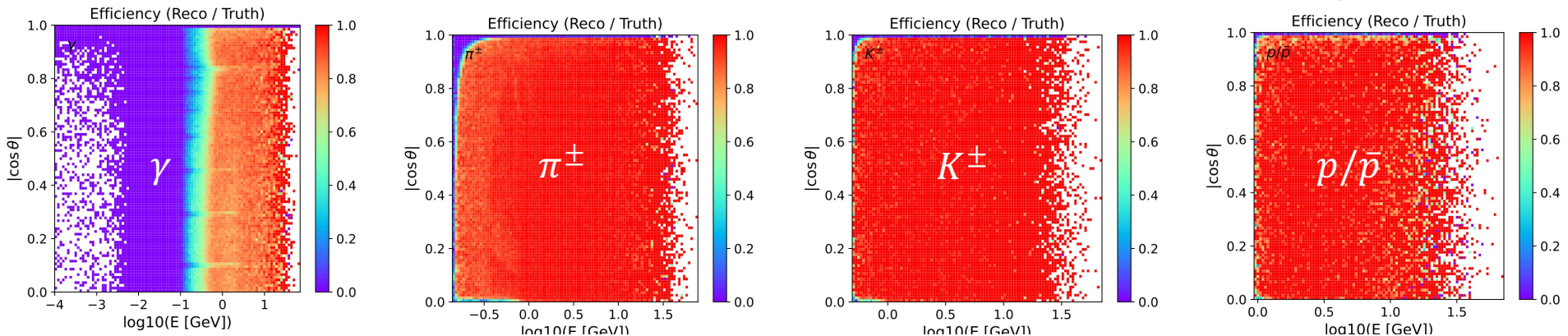


Particle reconstruction efficiency

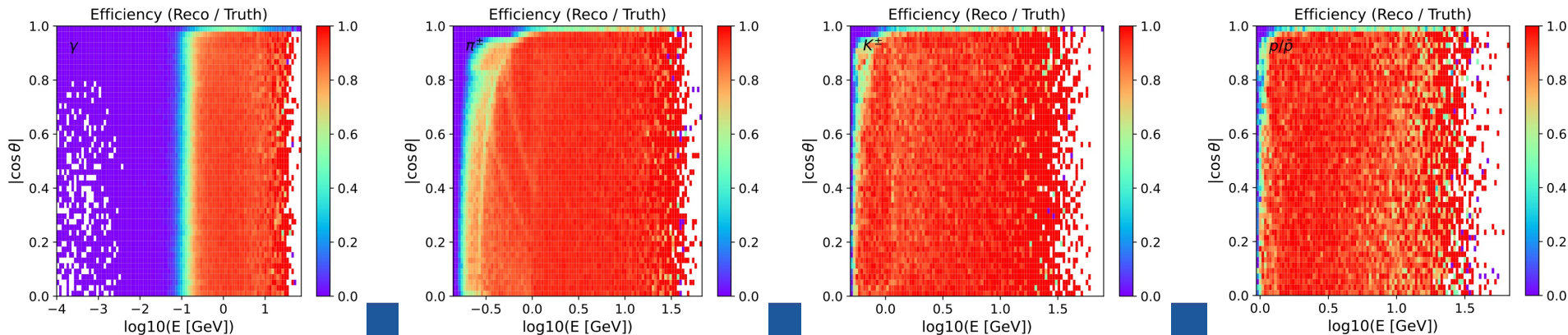
CDR



TDR

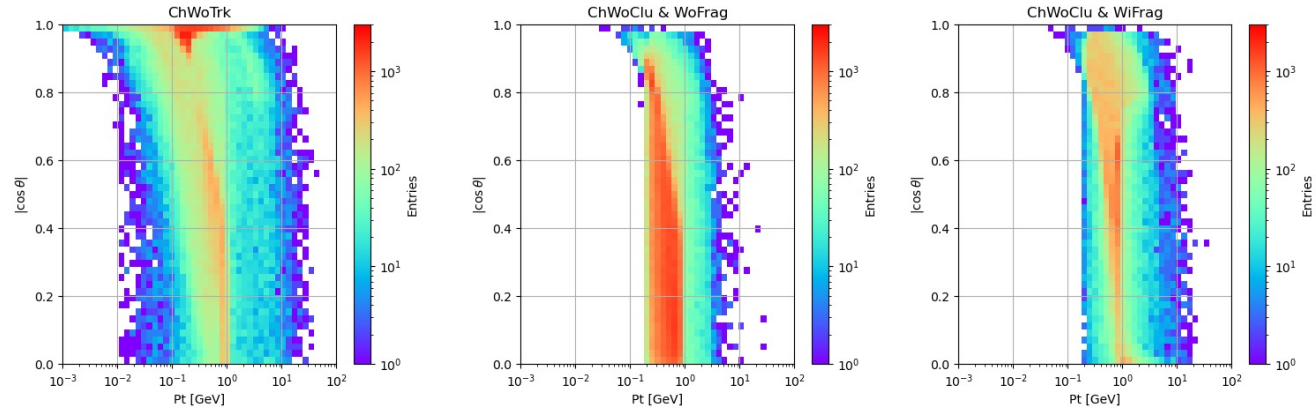


ILD

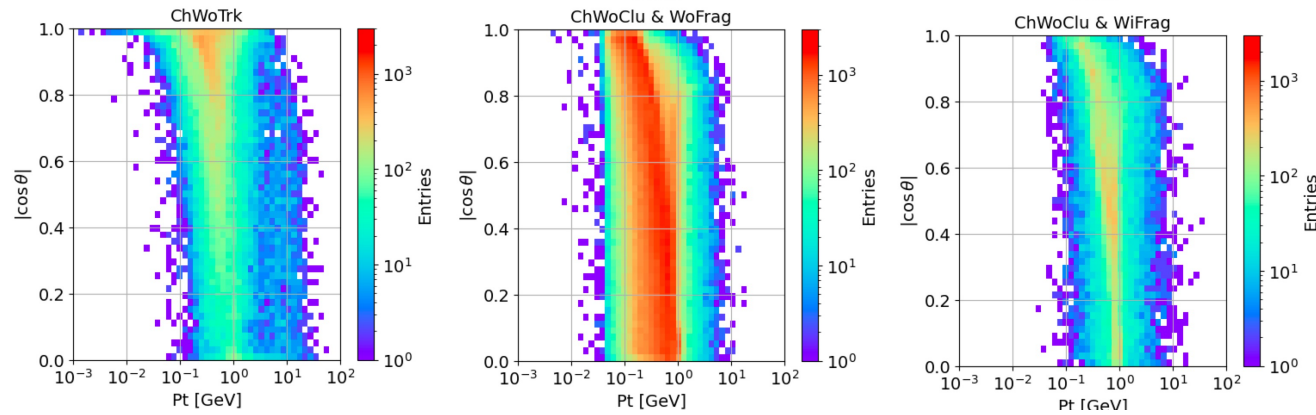


Charged particle without track/cluster

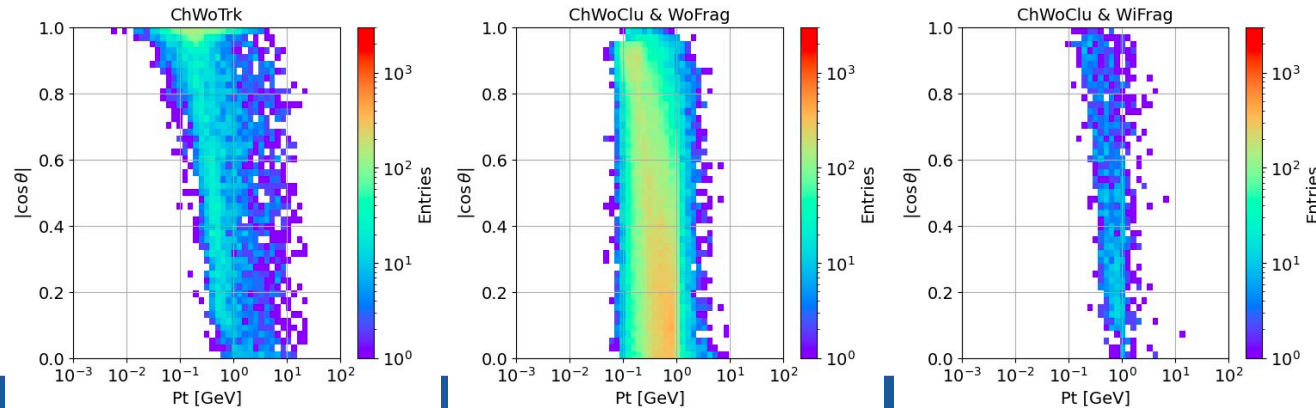
CDR



TDR



ILD

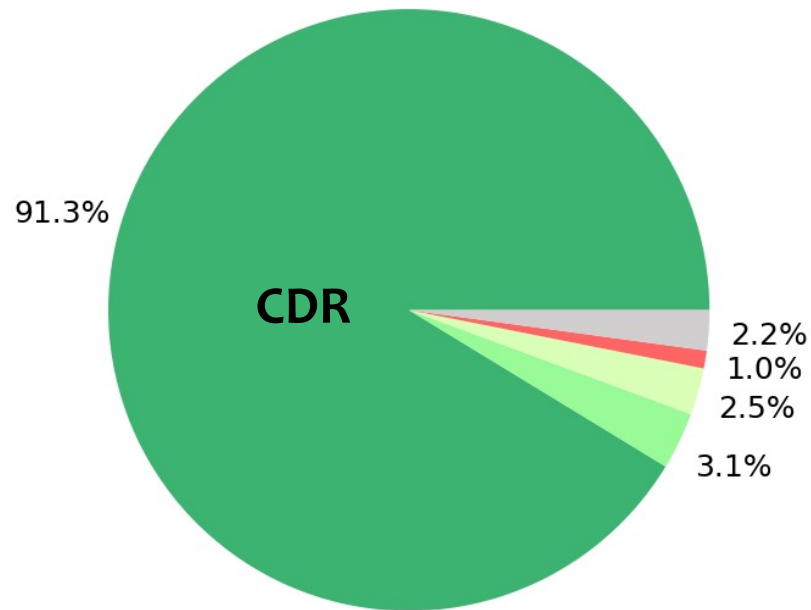


Energy fraction

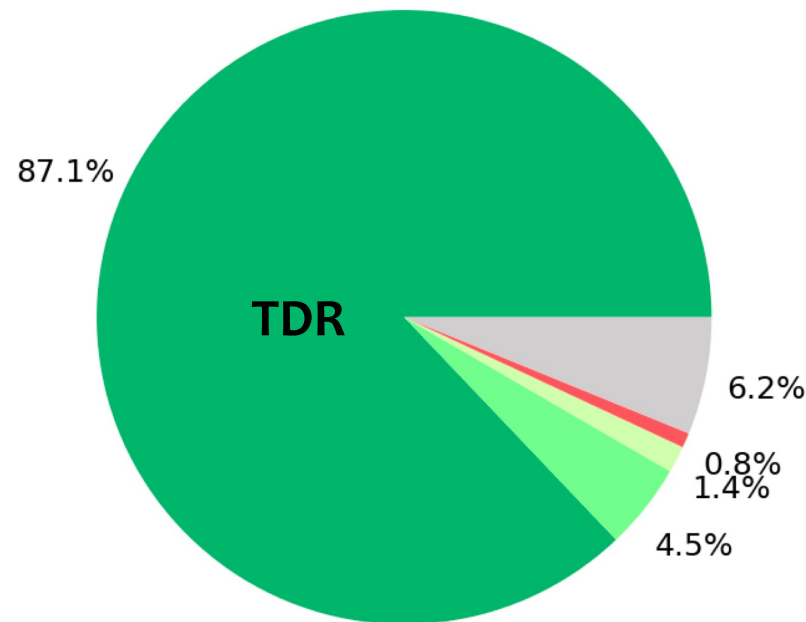
➤ Increased fractions in TDR & ILD

➤ Charged w/o cluster

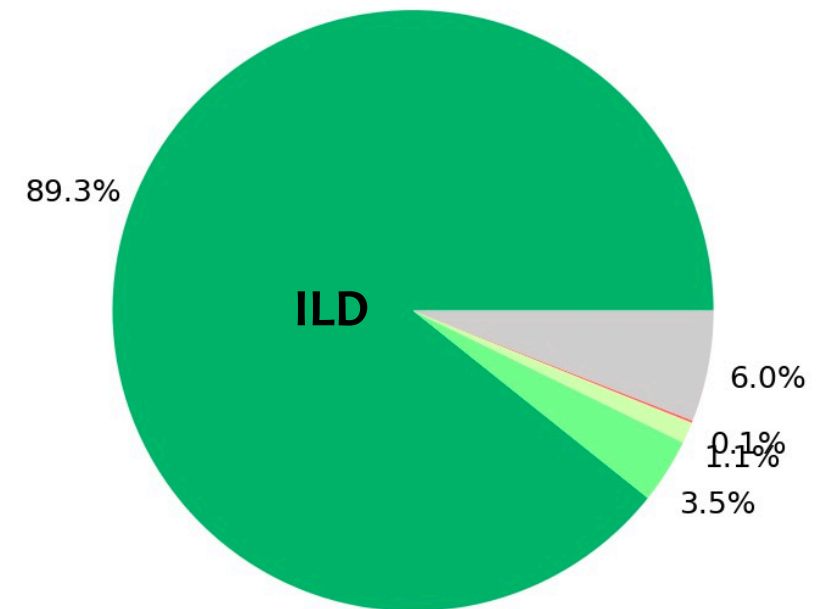
➤ Lost (mainly from particle merging?)



Well-reconstructed
Charged w/i no cluster
Charged w/i no track
Charged w/i cluster lost
Lost due to acceptance, merging, and mis-ID



Well-reconstructed
Charged w/i no cluster
Charged w/i no track
Charged w/i cluster lost
Lost due to acceptance, merging, and mis-ID

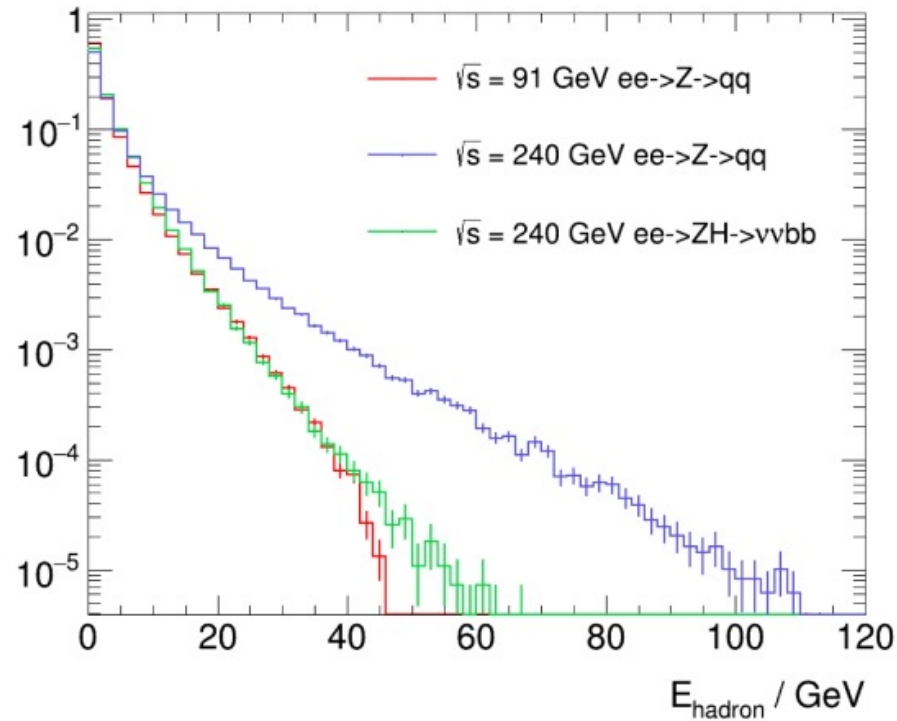


Well-reconstructed
Charged w/i no cluster
Charged w/i no track
Charged w/i cluster lost
Lost due to acceptance, merging, and mis-ID

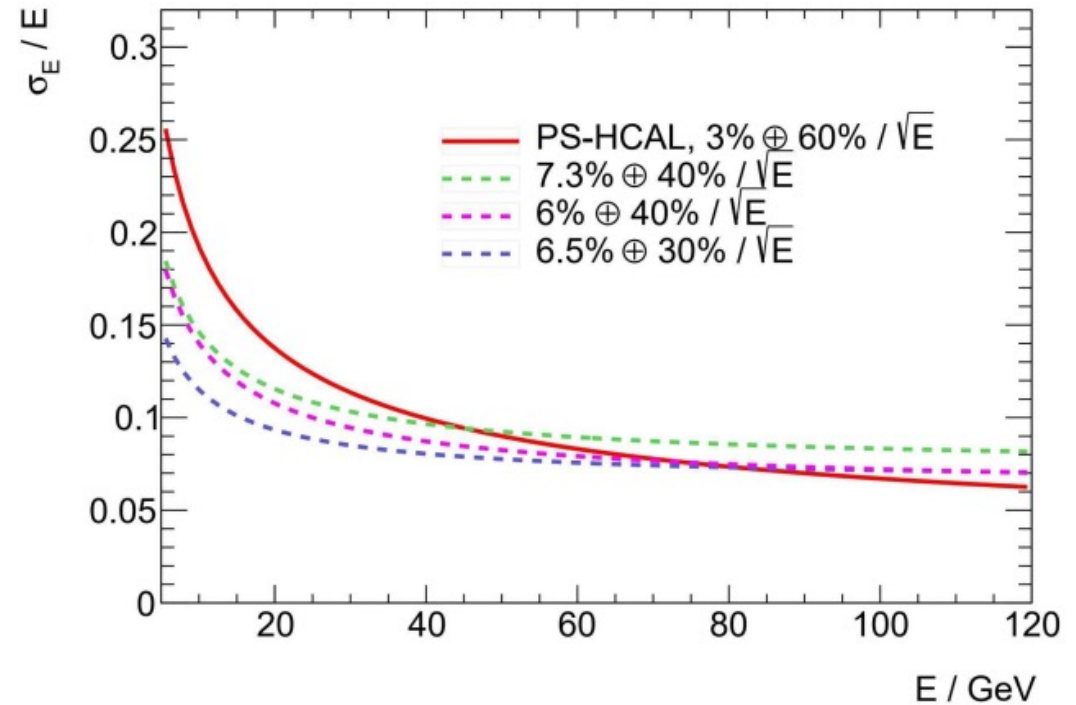
Detector geometry

Parameters	SiWECAL + SDHCAL (Baseline)	SiWECAL + GSHCAL	CSECAL + GSHCAL
ECAL Material	Si + W	Si + W	BGO (Homogeneous)
ECAL Transverse cell size	$1 \times 1 \text{ cm}^2$	$1 \times 1 \text{ cm}^2$	$1 \times 1 \text{ cm}^2$
ECAL Number of layers	30	30	27
ECAL Total thickness	$24 X_0$	$24 X_0$	$24 X_0$
ECAL Thickness/layer	Si 0.5 mm (30 layers)	Si 0.5 mm (30 layers)	10 mm
	W 2.1 mm (20 layers)	W 2.1 mm (20 layers)	
	W 4.2 mm (10 layers)	W 4.2 mm (10 layers)	
HCAL Material	GRPC	Glass + Steel	Glass + Steel
HCAL Transverse cell size	$1 \times 1 \text{ cm}^2$	$2 \times 2 \text{ cm}^2$	$2 \times 2 \text{ cm}^2$
HCAL Number of layers	40	48	48
HCAL Total thickness	5λ	6λ	6λ
HCAL Thickness/layer	0.125 λ	0.125 λ	0.125 λ
	3 mm GRPC +		
	3 mm Electronics + 20 mm Steel		
HCAL Glass density	-	6 g/cm ³	6 g/cm ³

Glass-HCAL



➤ $E_{\text{hadron}} < \sim 100 \text{ GeV}$, typically $< 60 \text{ GeV}$



➤ Energy resolution of GS-HCAL is better than that of PS-HCAL for $E < 80 \text{ GeV}$