



Charm-baryon hadronization mechanism and its multiplicity dependence with ALICE

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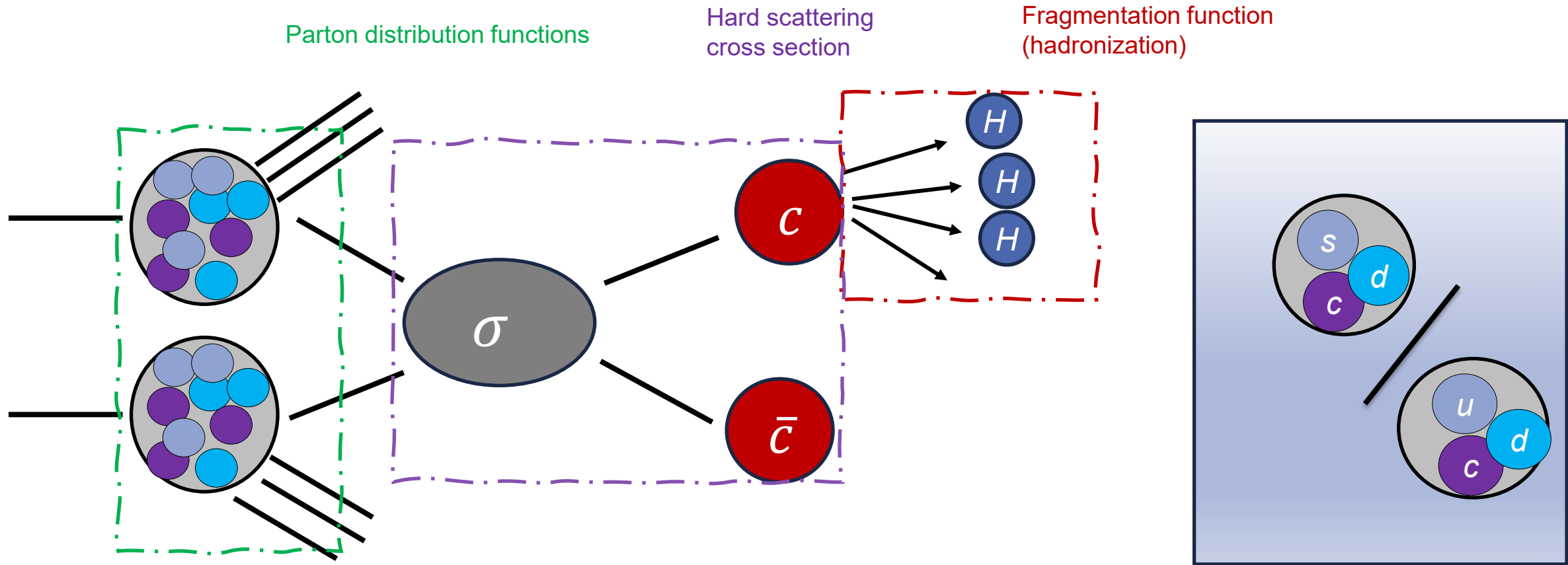
CLHCP 2025

Motivation

The production heavy-flavor hadrons in collisions is calculated through a convolution of three components.

$$\sigma_{AB \rightarrow H} = \text{PDF}(x_a, Q^2) \text{PDF}(x_b, Q^2) \otimes \sigma_{ab \rightarrow q\bar{q}}(x_a, x_b, Q^2) \otimes D_{q \rightarrow H}(z = p_H/p_q, Q^2)$$

[LINK](#)



The ratio of different hadron is an effective tool to probe charm hadronization

Motivation

The production heavy-flavor hadrons in collisions is calculated through a convolution of three components.

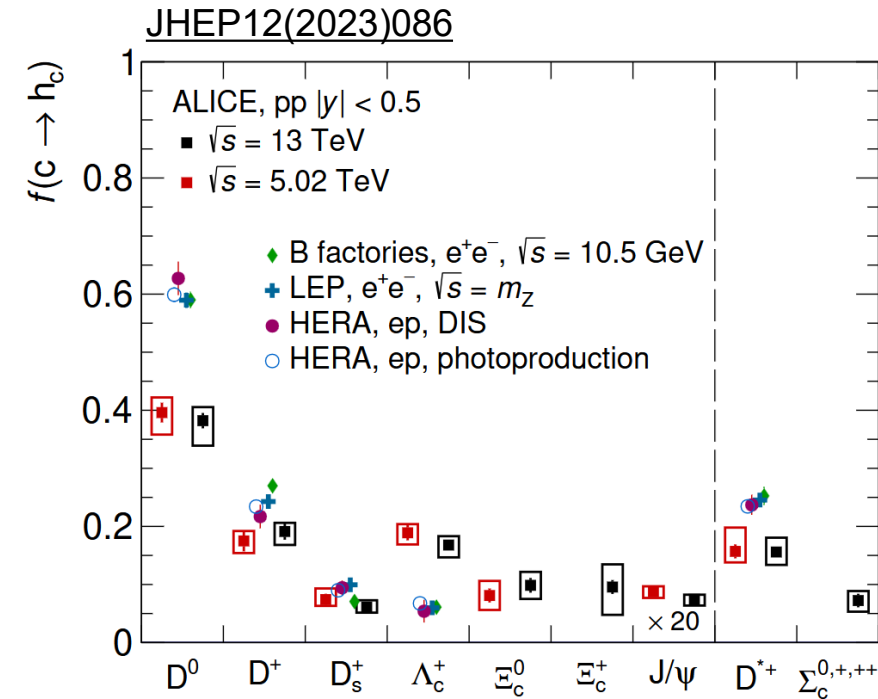
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[LINK](#)

Parton distribution functions

Hard scattering
cross section

Fragmentation function
(hadronization)



Fragmentation Functions

- Derived from electron-positron (e^+e^-) or electron-proton (ep) collisions
- Considered **universal** across collision systems

Findings from the ALICE Experiment

- Significantly larger fraction of charm quarks hadronized into baryons compared to leptonic collisions.
- Baryon-to-Meson Ratio
 - Λ_c^+/D^0 significant enhancement in proton- proton (pp) collisions compared to low multiplicity e^+e^- and ep collisions.
 - p_T -differential Λ_c^+/D^0 ratio shows a multiplicity enhancement.
- Indication that hadronization process depends on collision system

Charm-Quark fragmentation fractions into diff charm hadrons

ALICE experiment in Run 2

Time Of Flight(TOF):

PID (particle Identification) via time-of-flight measurement
 $|\eta| < 0.9$

Time Projection Chamber(TPC):

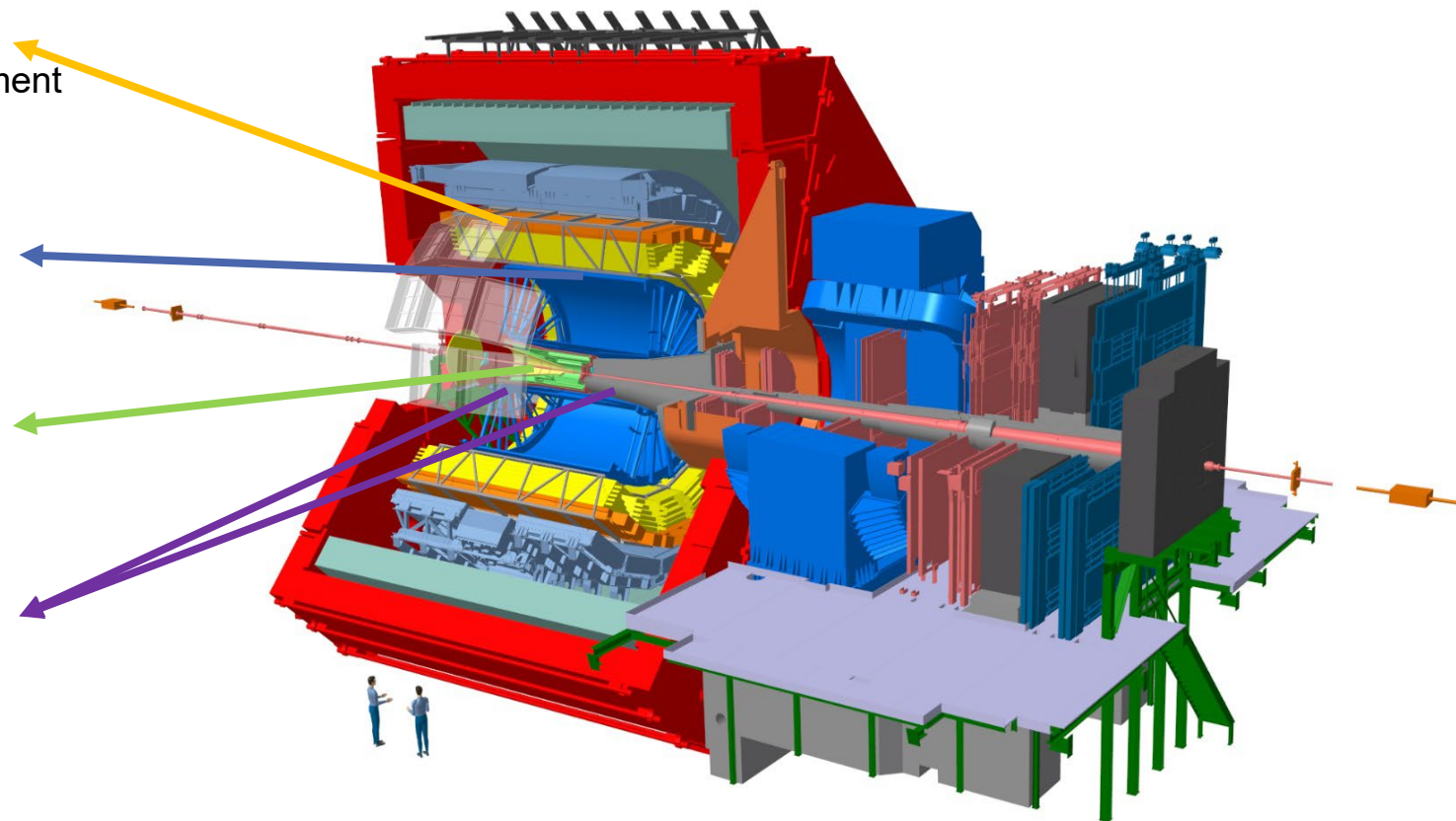
tracking, PID via dE/dx
 $|\eta| < 0.9$

Inner Tracking System(ITS):

tracking, trigger, vertexing
 $|\eta| < 0.9$

V0:

trigger, multiplicity estimator
 $2.8 < |\eta| < 5.1$ (V0A)
 $-3.7 < |\eta| < -1.7$ (V0C)



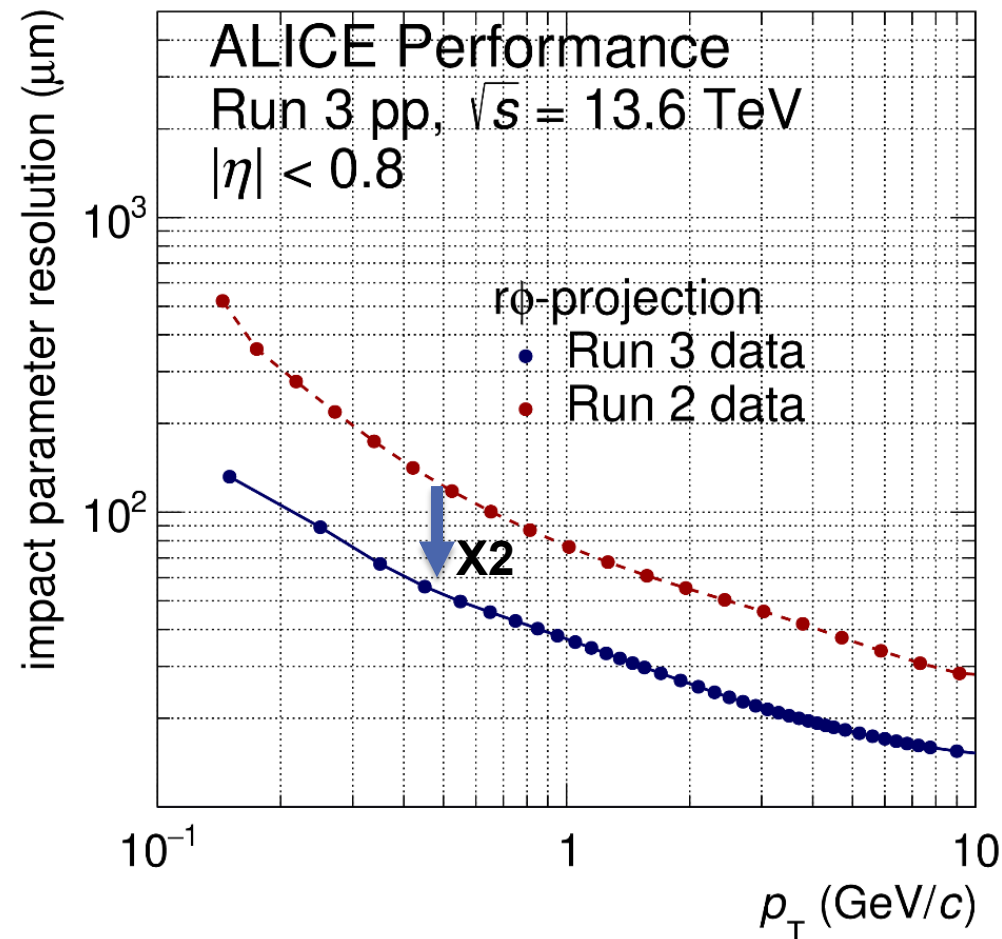
The major upgrades during Run 3

ITS: New ALPIDE pixel sensors used, significantly **improving resolution**.

TPC: Gas Electron Multiplier (GEM) technology used, increases the TPC readout rate ($\times 50$ -100 times in Pb-Pb collisions, $\times 500$ -1000 times in pp collisions) allows collect **larger data sample**

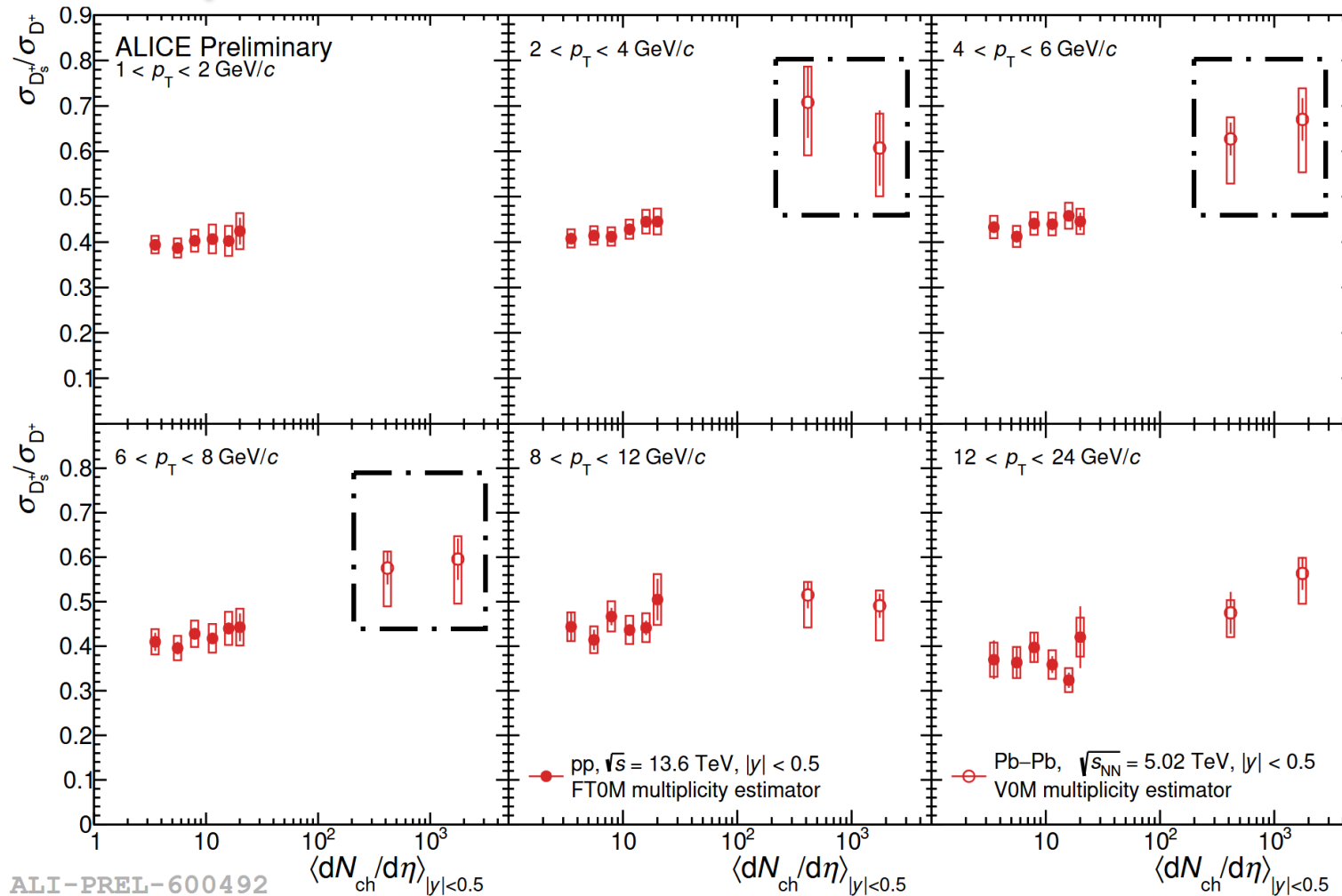
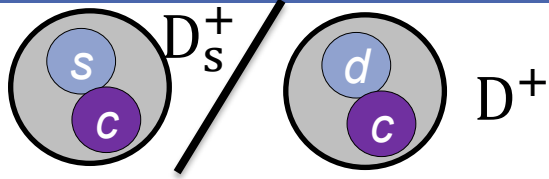
FIT: New Fast Interaction Trigger

MFT: Muon Forward Tracker $2.5 < \eta < 3.6$



ALI-PERF-558822

D_s^+/D^+ ratio vs multiplicity



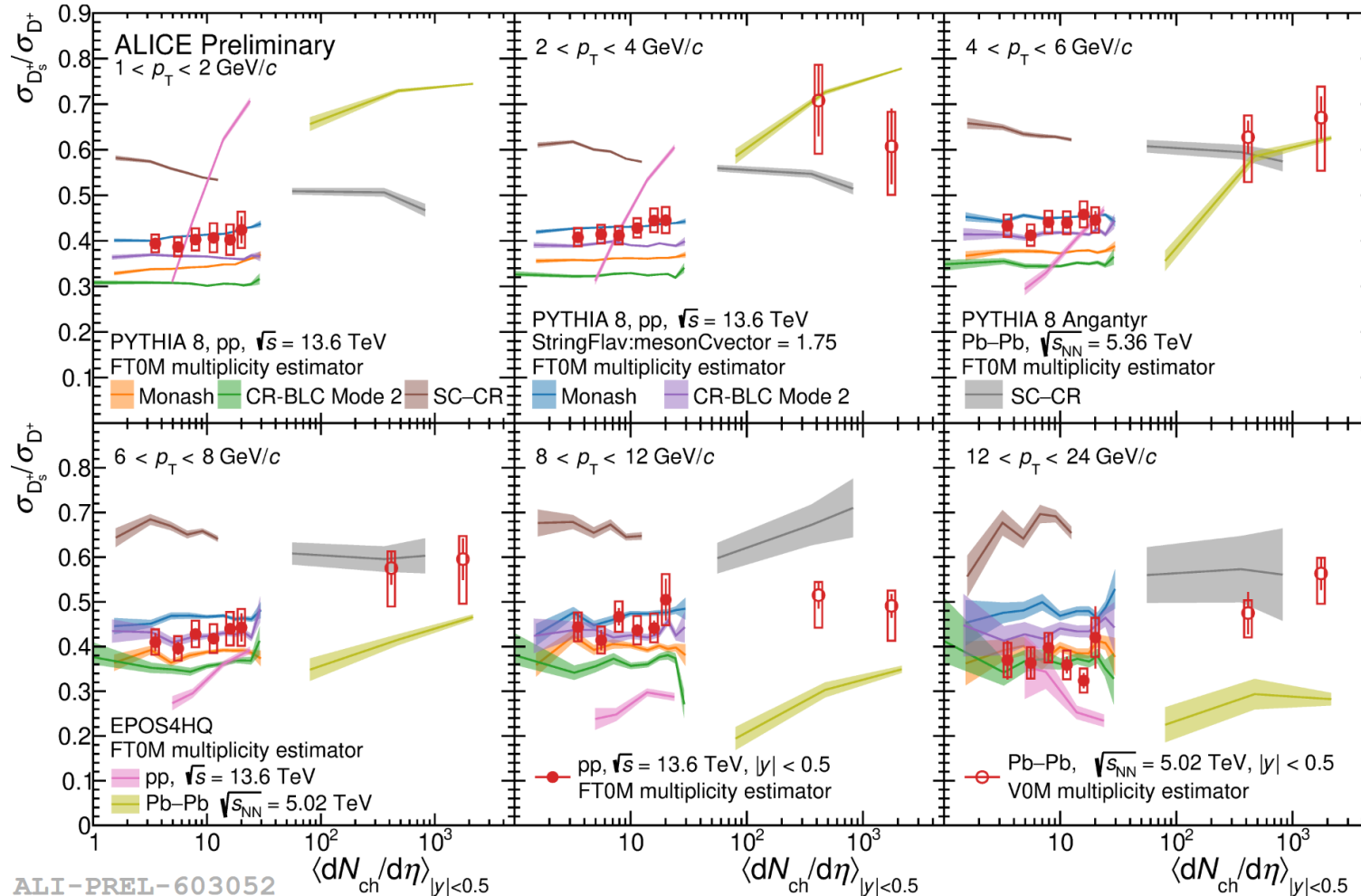
pp collisions:

- No multiplicity dependence observed in the D_s^+/D^+ ratios in pp collisions.

Pb-Pb collisions:

- Higher D_s^+/D^+ ratio at low/intermediate Pb-Pb collisions compared to pp collisions.
 - charm quarks recombination with strange quarks in the medium.

D_s^+ / D^+ production vs multiplicity



pp collisions:

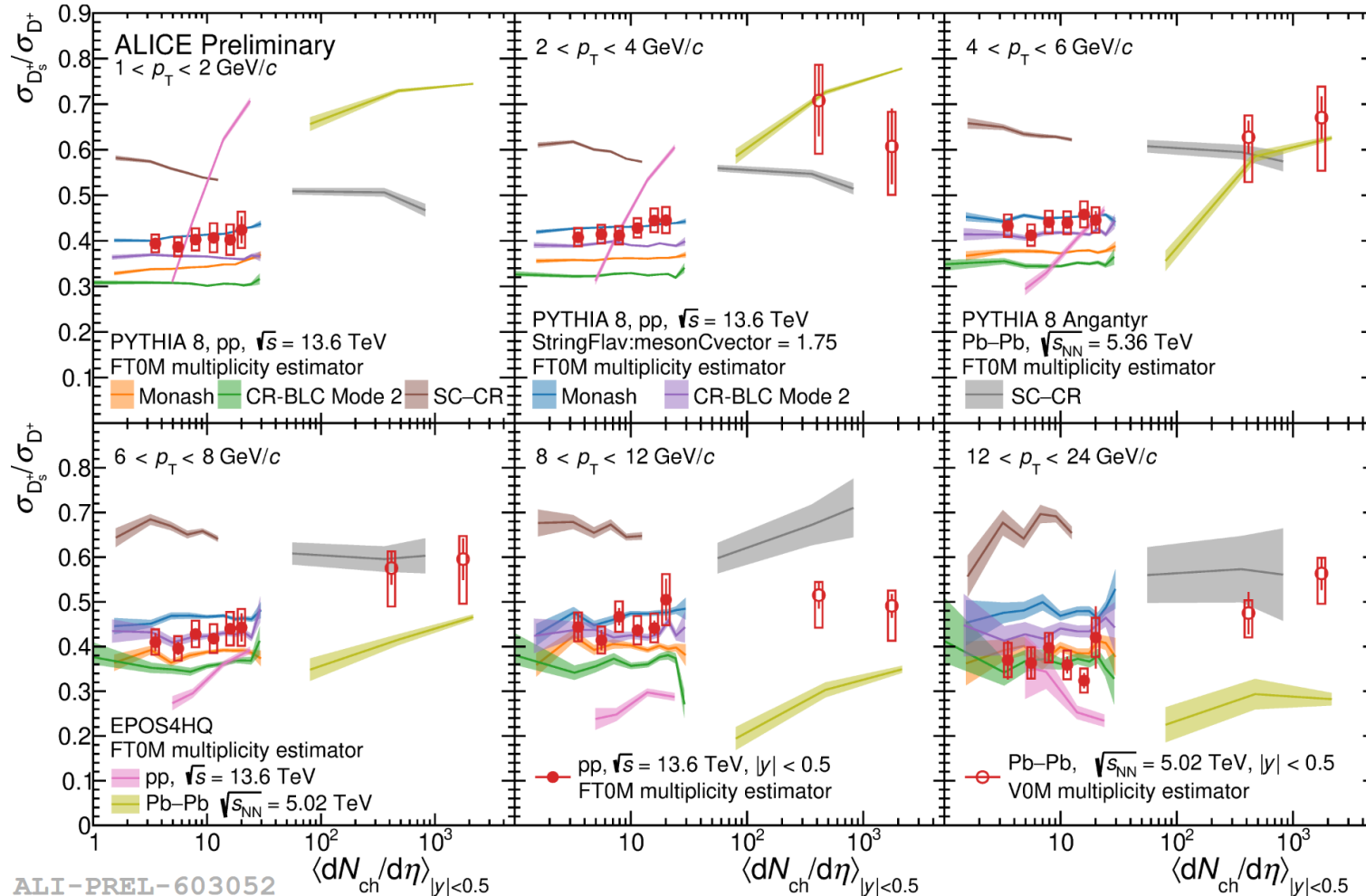
- D_s^+ / D^+ ratios compatible with PYTHIA Monash and CR-BLC.

PYTHIA 8

Based on the Lund string fragmentation model

- **Monash Tune:** Tuned to e^+e^- measurements
- **CR Mode:** Junction topology beyond the leading colour approximation

D_s^+ / D^+ production vs multiplicity



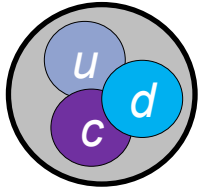
Pb-Pb collisions

- PYTHIA Angantyr and EPOS4HQ qualitatively reproduce the Pb-Pb measurements at low/intermediate but fail to describe the pp measurements.

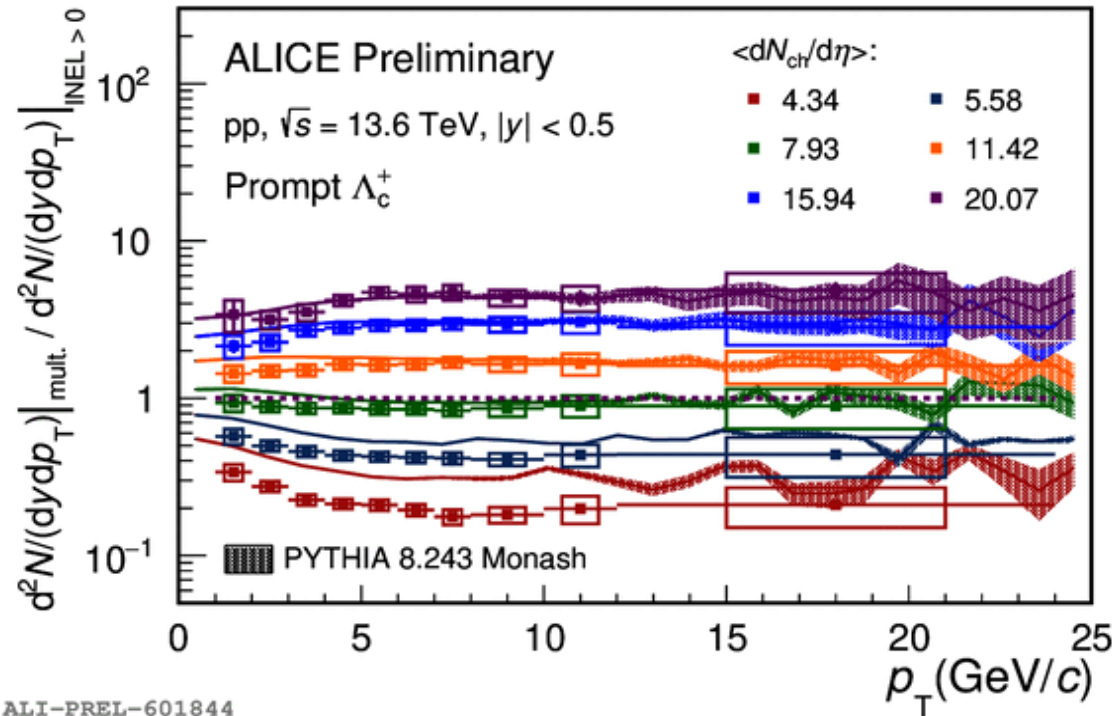
Models

- PYTHIA 8 Angantyr: extended from a successful pA framework, that simulates non-collective particle production.
- EPOS4HQ: fragmentation + coalescence + resonance + UrQMD

Charm baryon production vs multiplicity



Λ_c^+



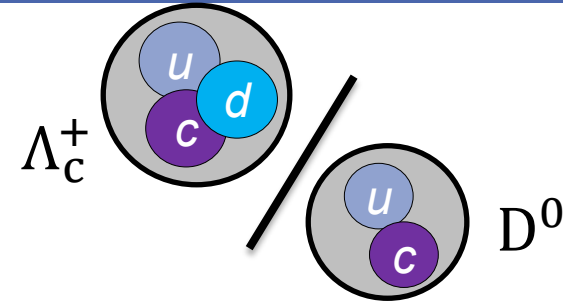
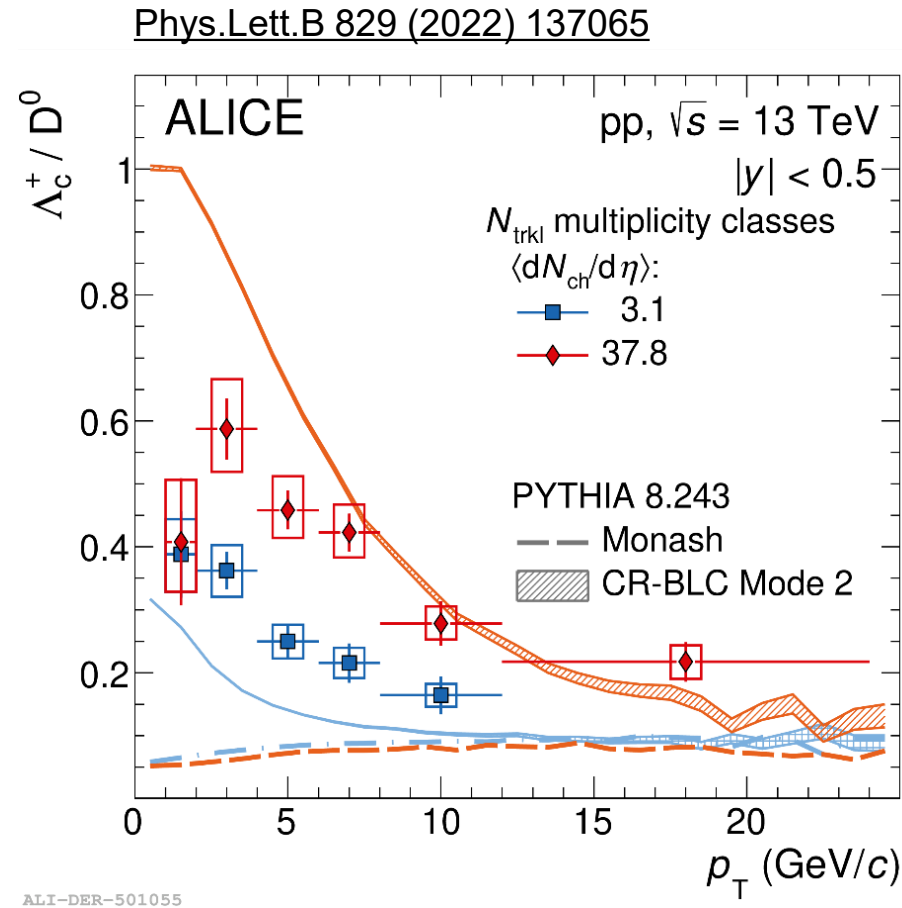
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Different charged-particle multiplicity classes / multiplicity-integrated class

Multiplicity-Dependent of Λ_c^+

- p_T -differential yields of Λ_c^+ increase from the lowest to the highest multiplicity class.
- Ratios to INEL>0 increase (decrease) with increasing p_T for the highest (lowest) multiplicity class
 - Hardening of the p_T spectra with the increasing multiplicity.
- Data qualitatively described by PYTHIA8 Monash.

Charm baryon-to-meson vs multiplicity

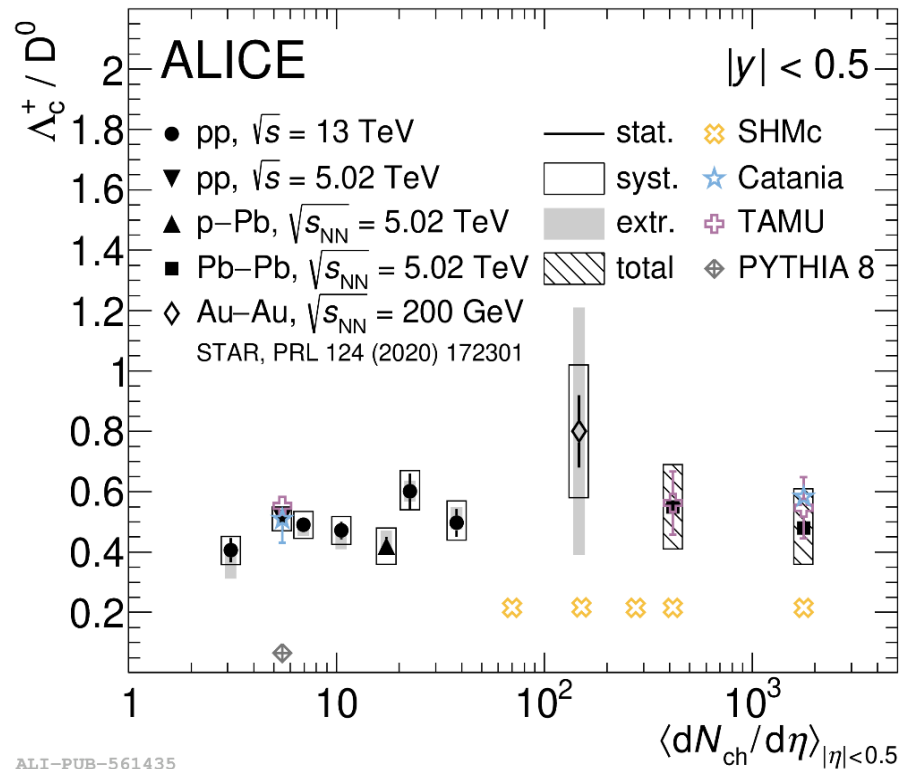


Multiplicity-Dependent of Λ_c^+ / D^0

- Significant (5.3σ) enhancement from the lowest to the highest multiplicity class.
- In pp collision at lowest multiplicity Λ_c^+ / D^0 ratio is enhanced compared to (e^+e^-) collisions.
- PYTHIA CR-BLC captures the trend of the Λ_c^+ / D^0 ratio, qualitatively describing the increasing ratios with multiplicity.

Charm baryon-to-meson vs multiplicity

Phys.Lett.B 839 (2023) 137796

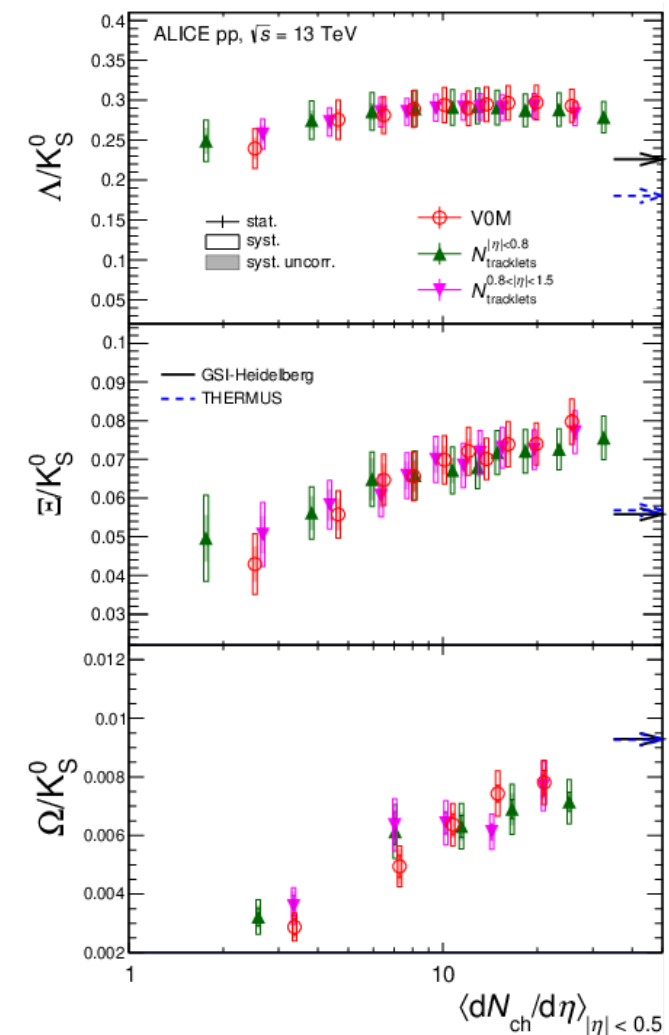


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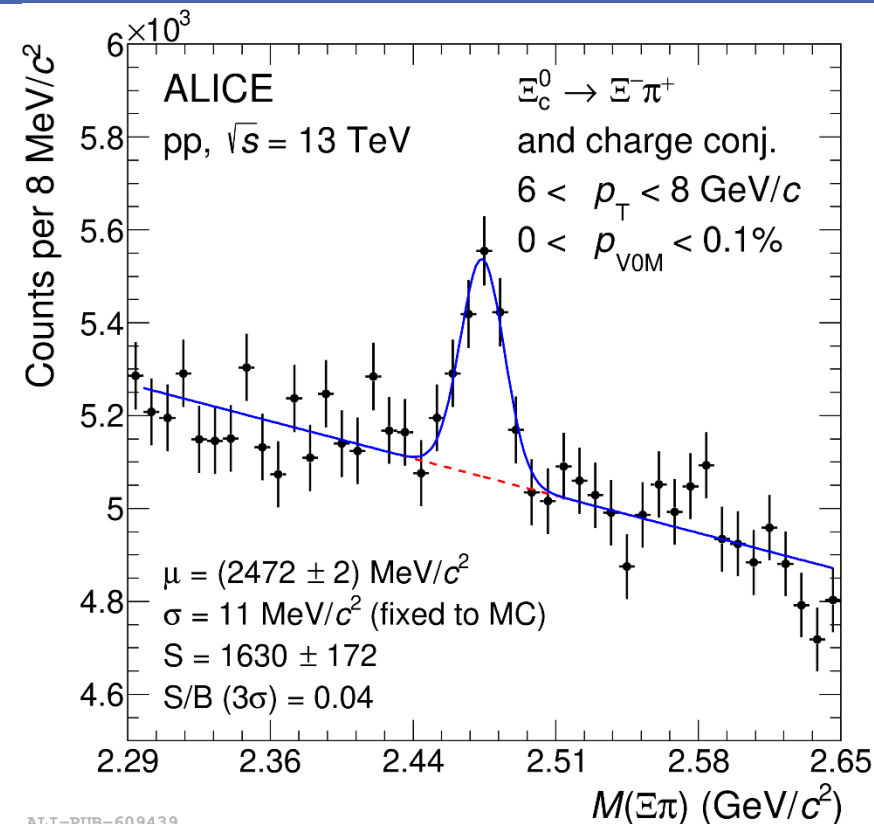
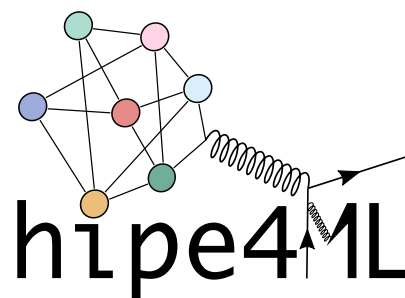
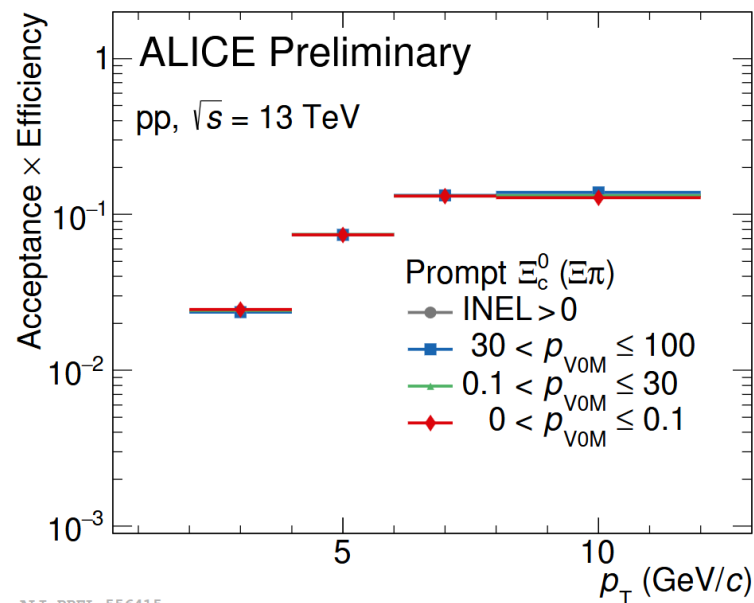
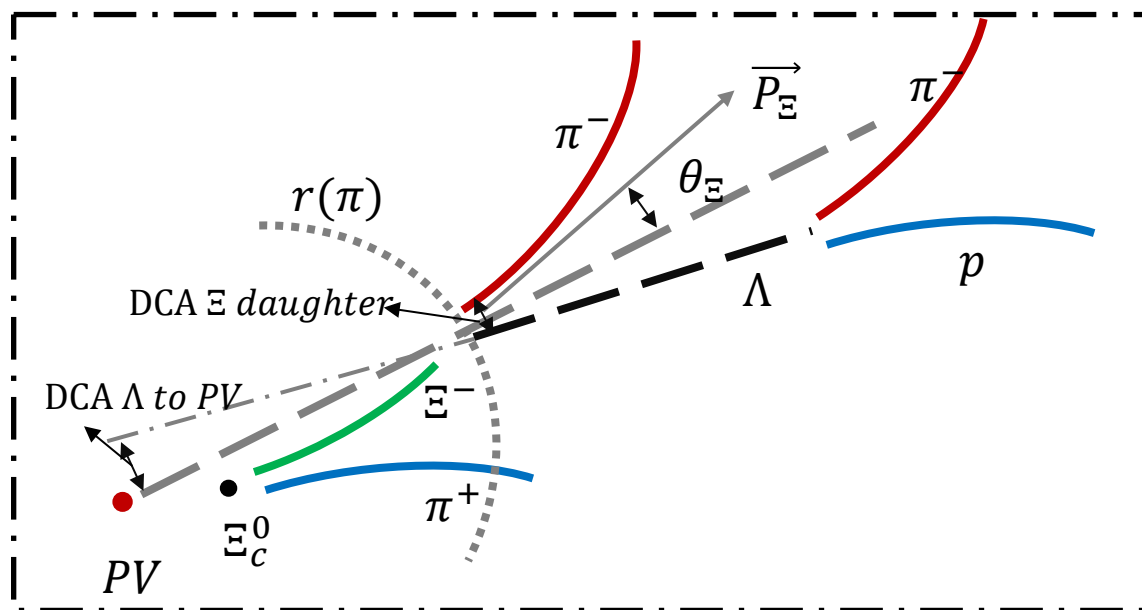
p_T -integrated yields of Λ_c^+ / D^0 ratio in different collision system

- No significant multiplicity dependence observed within the current uncertainties, flat trend reproduced by models
- Similar to Λ_c^+ / K_S^0 with weak multiplicity dependence. Interestingly, a clear multiplicity dependence in the multi-strange baryon-to-meson yield ratios Ξ / K_S^0 and the Ω / K_S^0 .

Eur.Phys.J.C 80 (2020) 2,167



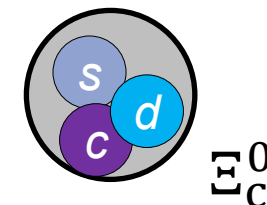
Ξ_c^0 reconstruction



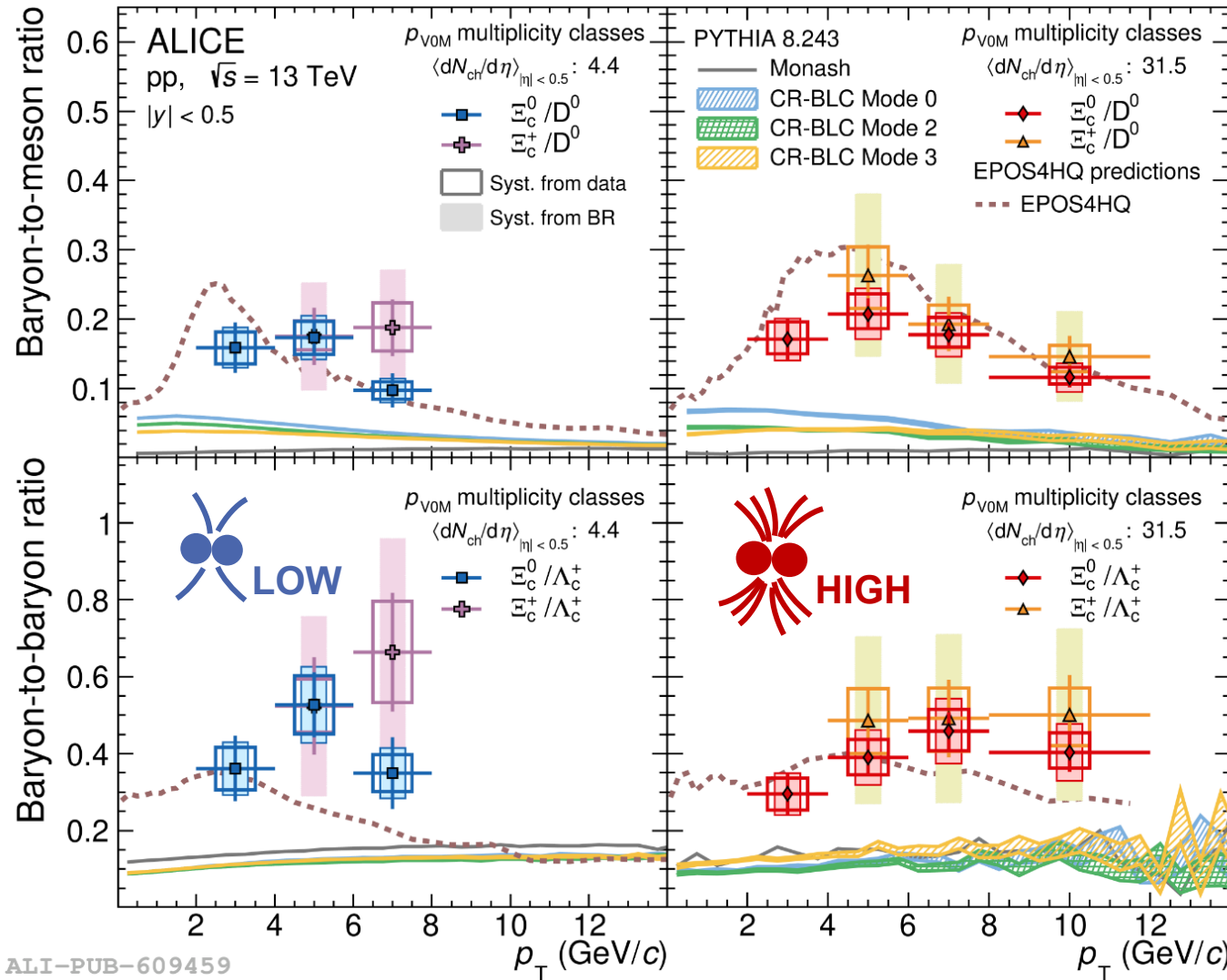
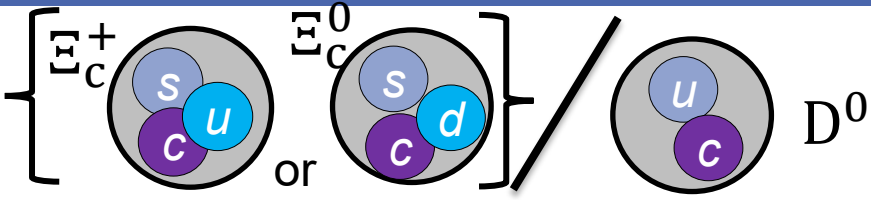
ALI-PUB-609439

Analysis strategy

- ML:** Reduce background
- Raw yield:** Fit inv. mass distribution
- Efficiency correction:** MC simulation
- Feed-down subtraction**
- Result:** Corrected yields

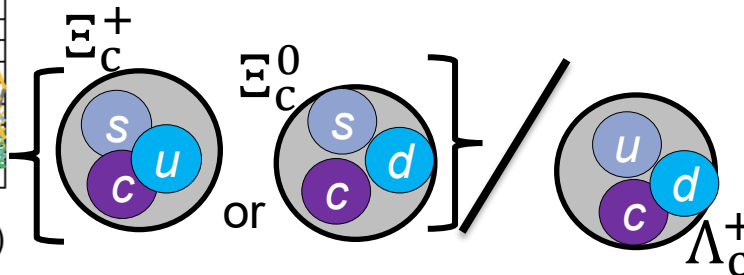


Baryon-to-meson and Baryon-to-baryon vs multiplicity



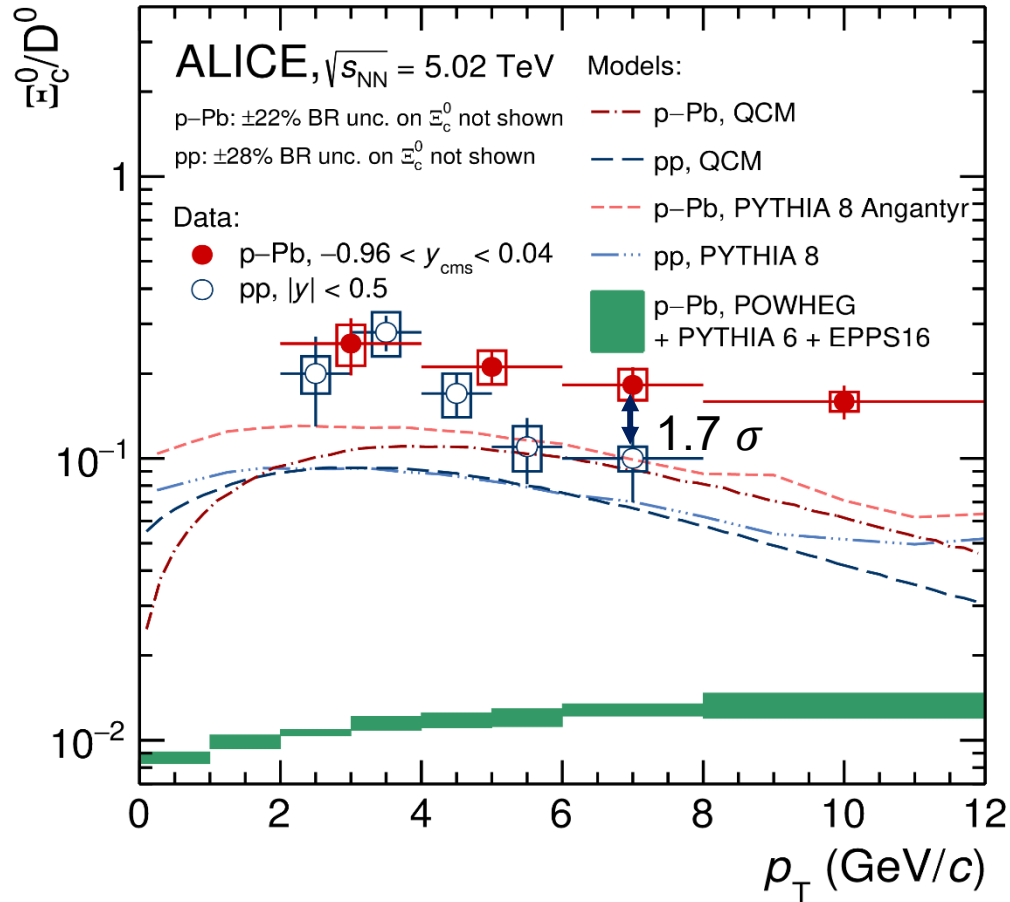
Multiplicity-Dependent of $\Xi_c^{0,+}/D^0$ and $\Xi_c^{0,+}/\Lambda_c^+$ in pp collisions

- No significant multiplicity dependence observed due to the limited precision
- The **EPOS4HQ** prediction describes the $\Xi_c^{0,+}/D^0$ ratio as a function of p_T and multiplicity, while the PYTHIA 8.2 **Monash** tune and **CR-BLC** modes underestimated of the measurement.



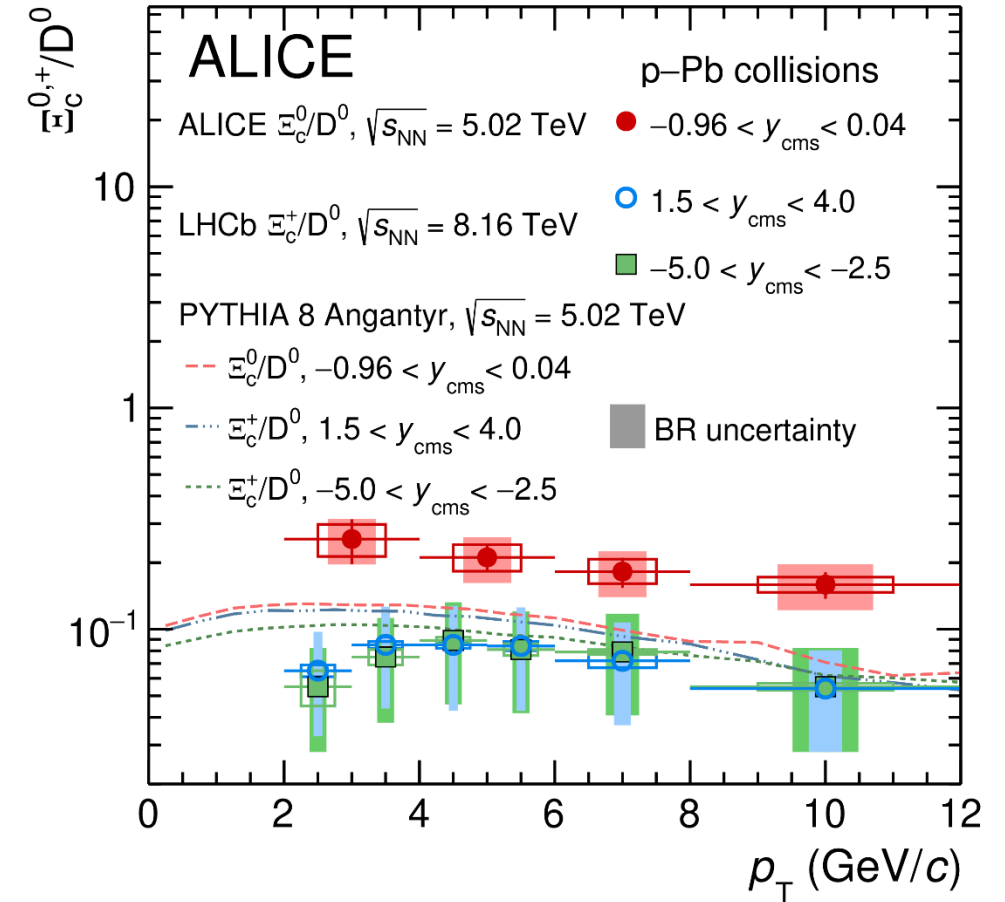
$\Xi_c^{0,+}/D^0$ in p-Pb collisions

Eur. Phys. J. C 85 (2025) 86



ALI-PUB-589692

- Hint of enhanced Ξ_c^0/D^0 ratio in p-Pb collisions with pp collisions
- QCM underestimates Ξ_c^0/D^0 ratio, PYTHIA 8 Angantyr improves the description



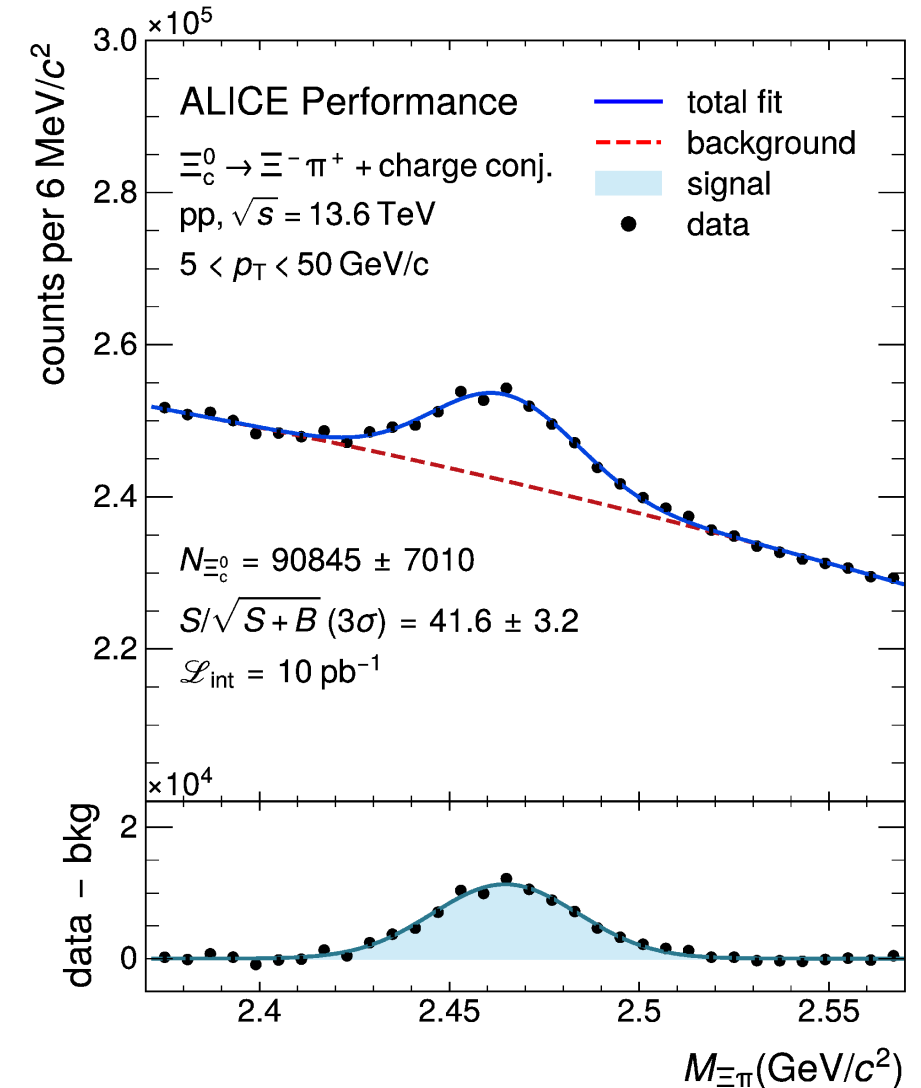
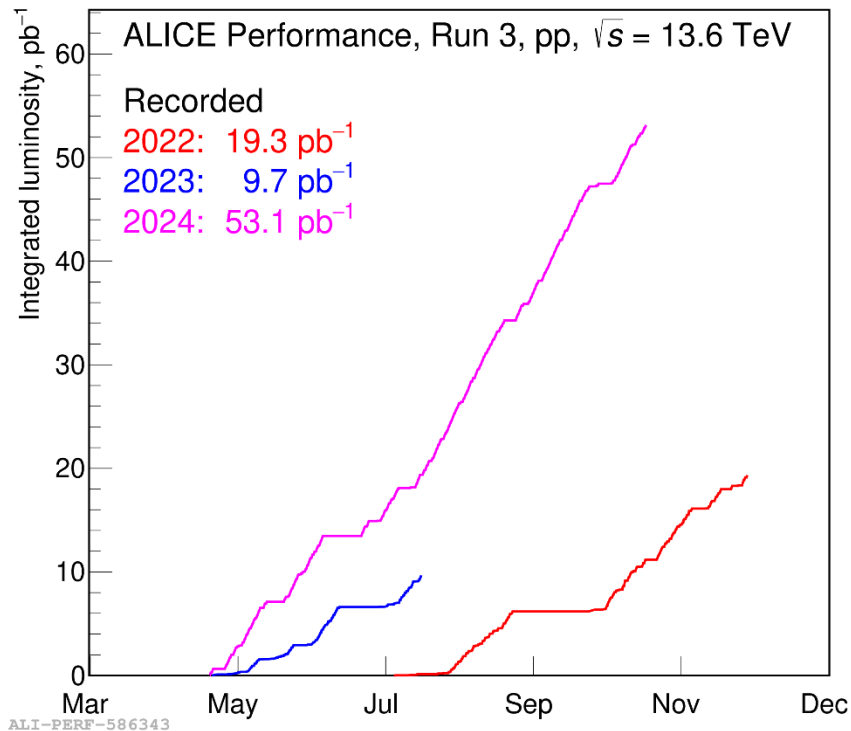
ALI-PUB-589702

- Yield ratio at midrapidity larger than the forward and backward rapidities in full p_T range (difference from 1.5σ to 2.0σ)

Outlook

Run3 improvement

- **Statistical significance:** exploiting the large data sample of pp collisions at 13.6TeV being collected (Run 2 MB sample was 36 nb-1, high-multiplicity one to about 6 pb-1)
- **Precision:** Systematic reduced
- **Multiplicity:** Studies from lower-multiplicity to higher-multiplicity reg.

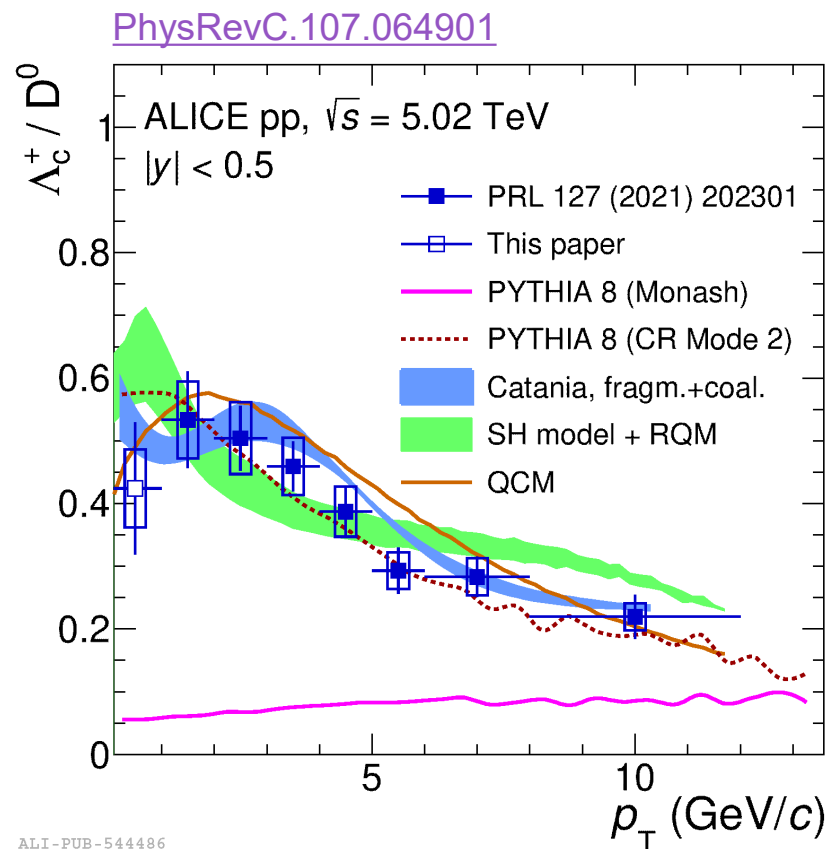


Summary

- Charm hadronization may not be a universal process across different collision systems.
- No multiplicity dependence is observed in the $\mathbf{D}_s^+/\mathbf{D}^+$ ratio in pp collisions, but the ratio is enhanced in Pb-Pb collisions.
- The p_T -differential $\Lambda_c^+/\mathbf{D}^0$ ratio shows a multiplicity enhancement, but integrated yields do not have significant multiplicity dependence.
- No significant multiplicity dependence is observed in either $\Xi_c^{0,+}/\mathbf{D}^0$ or Ξ_c^0/Λ_c^+ within uncertainties.
- More charm-baryon measurements ongoing in Run 3

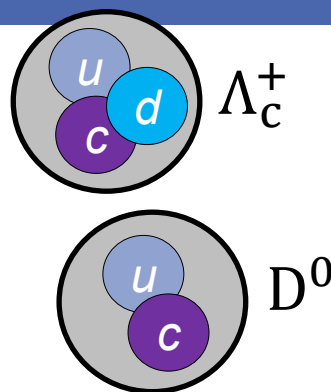
Back up

Measurements in pp collisions



ALI-PUB-544486

Baryon-to-Meson Ratio: The baryon-to-meson ratio shows a clear dependence on p_T , with enhanced at low and intermediate p_T . Models such as **PYTHIA 8 Mode 2**, **Catania**, **QCM**, and **SHM+RQM** successfully describe the observed data



Monash tuned
to e^+e^-

PYTHIA 8

Based on the Lund string fragmentation model

- **Monash Tune:** Tuned to e^+e^- measurements
- **CR Mode 2:** Junction topology beyond the leading colour approximation
- **Angantr:** extended from a successful pA framework, that simulates non-collective particle production.

CATANIA

fragmentation + coalescence

SHM + RQM

- **Statistical Hadronization Model (SHM):** All quarks reach thermal equilibrium and undergo hadronization to form the final hadronic states. heavy quarks hadronization follows a statistical distribution.
- **Relativistic Quark Model (RQM):** Used to predict unobserved excited charm states (especially baryons) that feed down to ground-state hadrons.

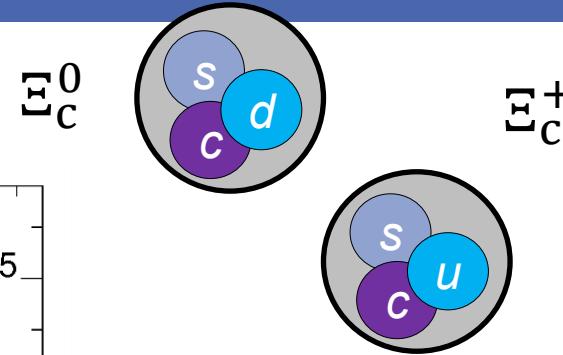
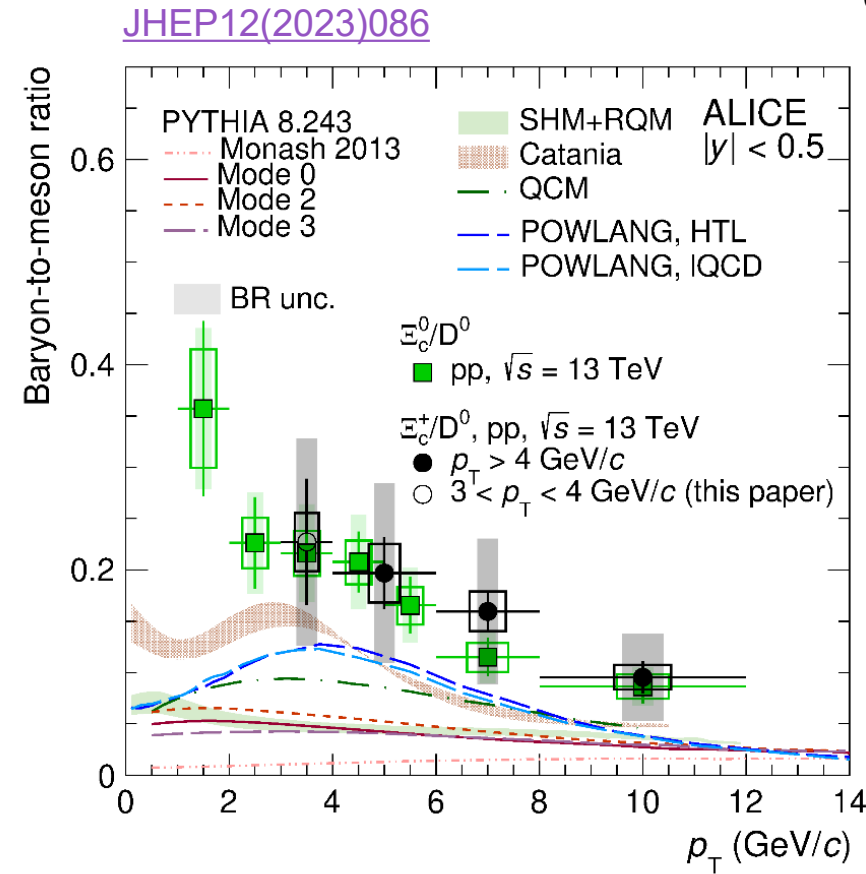
QCM

Pure coalescence, equal-velocity combination of charm quark and light quarks

EPOS4HQ

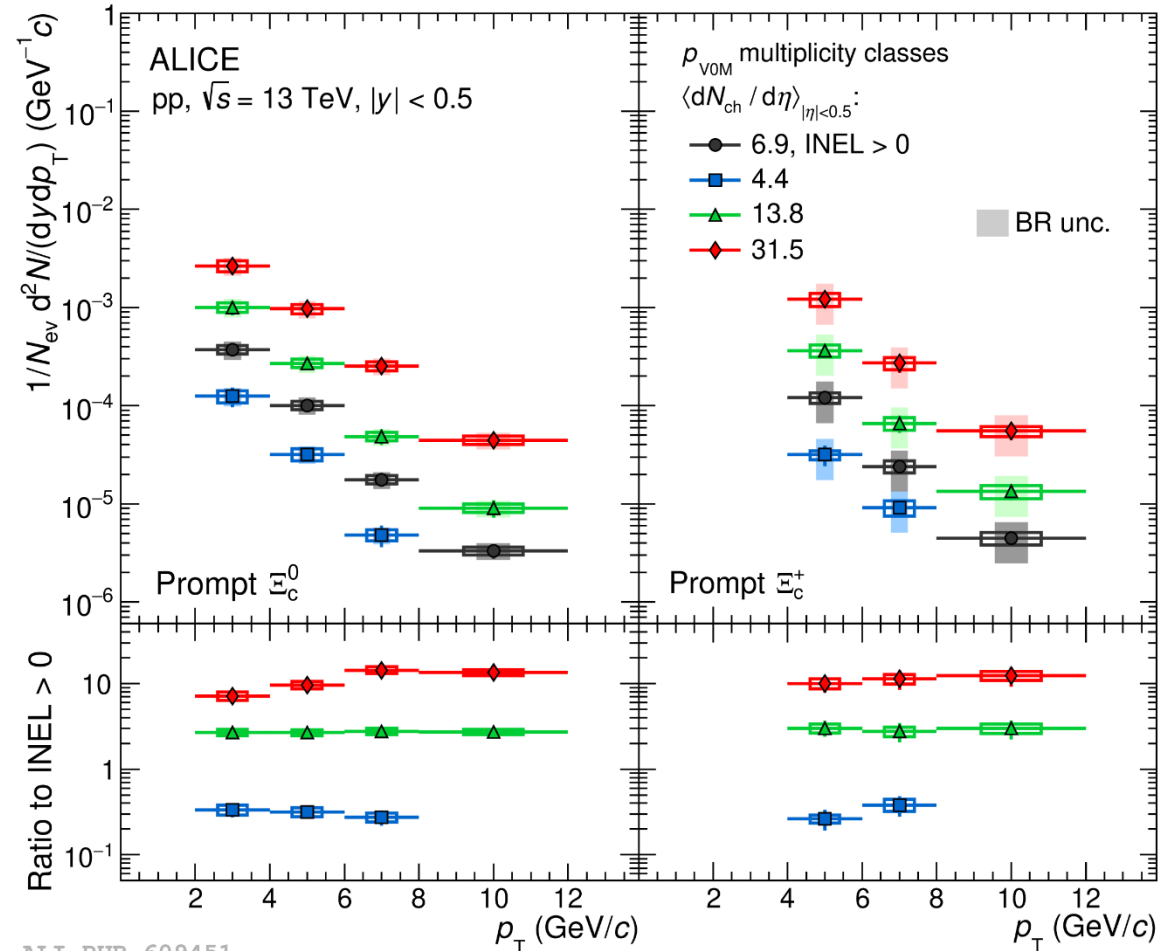
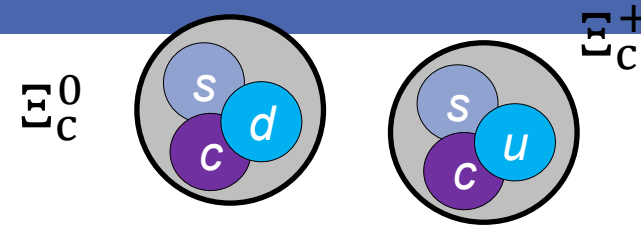
fragmentation + coalescence + resonance + UrQMD

Measurements in pp collisions

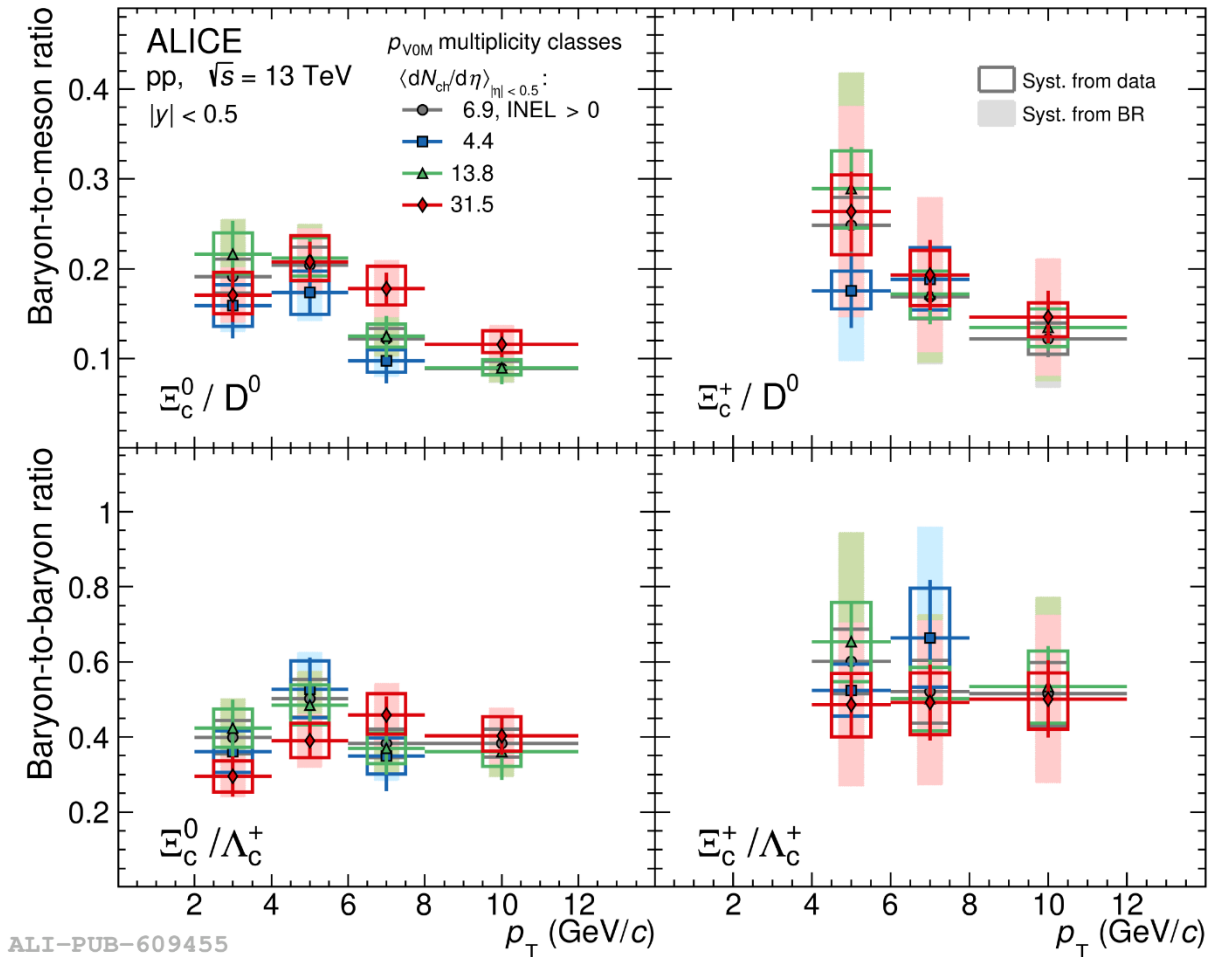


Strange-Charm Baryons: At low and intermediate p_T show a clear enhancement, most models still underestimate the ratio, despite the relatively large uncertainties in data

Measurements in pp collisions



ALI-PUB-609451



ALI-PUB-609455

Measurements in p-Pb collisions

