



Study of charm baryon production and hadronization with ALICE

Ran Tu

Fudan University

30/10/2025

CLHCP 2025

Charm quarks hadronisation

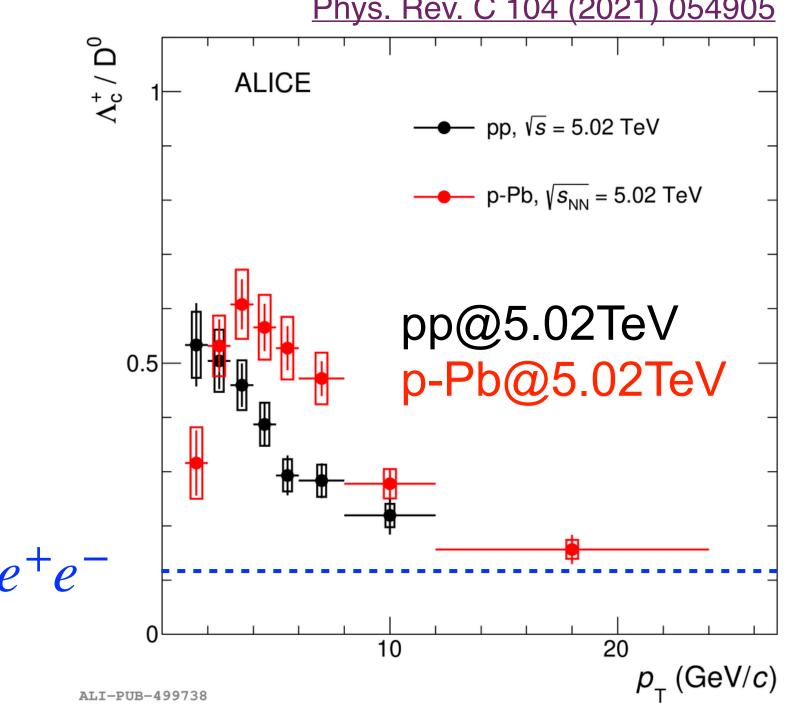
hadronisation described by factorisation approach, which works well for charm and beauty mesons:

 $\frac{\mathrm{d}\sigma^{\mathrm{D}}}{\mathrm{d}p_{\mathrm{T}}^{\mathrm{D}}}(p_{\mathrm{T}};\mu_{\mathrm{F}};\mu_{\mathrm{R}}) = PDF(x_{\mathrm{a}},\mu_{\mathrm{F}})PDF(x_{\mathrm{b}},\mu_{\mathrm{F}}) \otimes \frac{\mathrm{d}\sigma^{\mathrm{c}}}{\mathrm{d}p_{\mathrm{T}}^{\mathrm{c}}}(x_{\mathrm{a}},x_{\mathrm{b}},\mu_{\mathrm{R}},\mu_{\mathrm{F}}) \otimes D_{\mathrm{c}\to\mathrm{D}}(z=p_{\mathrm{D}}/p_{\mathrm{c}},\mu_{\mathrm{F}})$ Parton distribution function(PDF)
(non-perturbative)

Phys. Rev. C 104 (2021) 054905

Phys. Rev. C 104 (2021) 054905

Phys. Rev. C 104 (2021) 054905

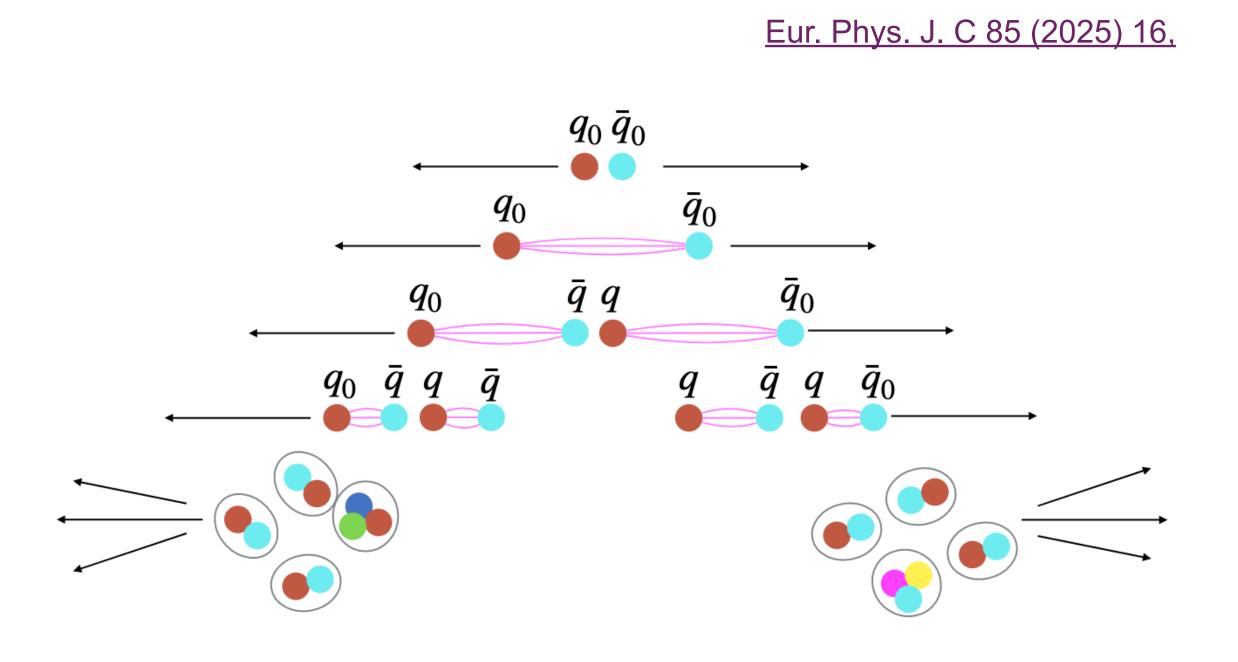


- ► Ratios of particle species → ratios of fragmentation fractions, sensitive to HF quark hadronisation
- Hadronisation mechanisms vary in different collision systems

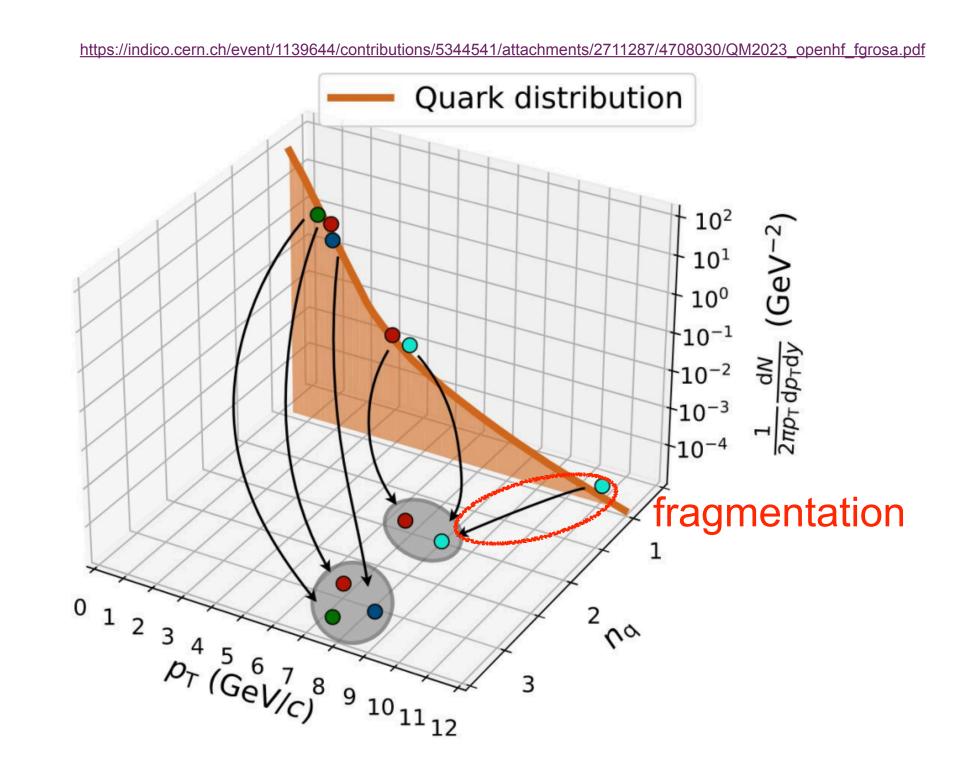


Hadronisation mechanism

The hadronisation process is typically described via two different mechanisms:







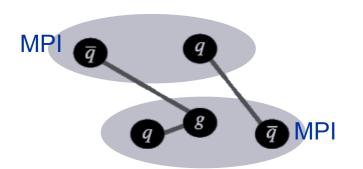
(ii)Recombination/coalescence



Modeling hadronization

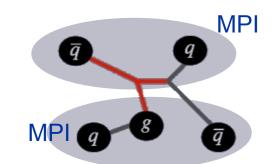
PYTHIA 8

Hadronization via fragmentation, color
 reconnection between partons from different multiparton interaction.



Monash

(tuned to e^+e^- measurements) Eur. Phys.J. C 74 (2014) 3024



Mode 2

the **junction** topology leads to an increase of baryon production JHEP 08 (2015) 003

POWLANG

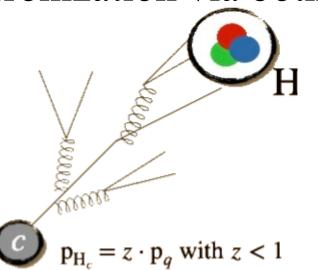
Phys. Rev. D 109 (2024)

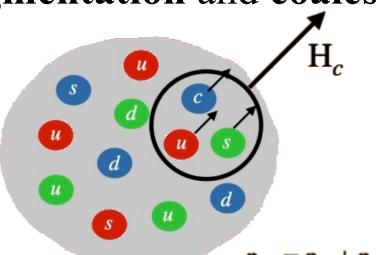
▶ Based on the idea of quark **recombination**, implementation of **PYTHIA** generated charm quarks within a **hydrodynamical** background.

CATANIA

Phys.Lett.B 821 (2021) 136622

▶ Hadronization via both **fragmentation** and **coalescence**.



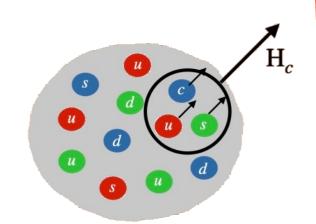


 $p_{H_c} = p_{q_1} + p_{q_2} + p_{q_3}$

QCM

Quark (re-) Combination
 Mechanism equal-velocity
 combination of charm quark and light quarks (spatial properties neglected).

Eur.Phys.J.C 78 (2018) 344



SHM+RQM

Phys.Lett.B 795 (2019) 117-121 Phys.Rev.D.84 (2011) 014025

Statistical Hadronization Model + Relativistic Quark Model

- Complexity of hadronization process replaced by **statistical weights** governed by hadron mass.
- ▶ Feed-down from largely augmented set of charm baryon stated beyond the ones currently listed in the PDG, as predicted by Relativistic Quark Model.



Comparison of hadronization models

	Fragmentation	Recombination		
PYTHIA 8				
POWLANG				
QCM				
CATANIA				



Charm baryons

	$\Lambda_{\rm c}^{+}({\rm udc})$	$\Sigma_{\rm c}^0({ m ddc})$	$\Sigma_{\rm c}^{++}({ m uuc})$	$\Xi_{\rm c}^+({\rm usc})$	$\Xi_{\rm c}^0({ m dsc})$	$\Omega_{\rm c}^0({ m ssc})$
Strangeness		0				2
Mass(MeV/c ²)	2286.46	2453.75	2453.97	2467.94	2470.90	2695.20
Lifetime (µm)	60.7			136.6	45.8	80



Charm baryon reconstruction

Analysis strategy

- **▶** Hadronic decay channel:

 - $\Sigma_c^{0,+} \to \pi^{-,+} \Lambda_c^+$
 - $E_c^0 \to \pi^+ \Xi^- \to \pi^+ (\pi^- \Lambda) \to \pi^+ (\pi^- (p\pi^-))$

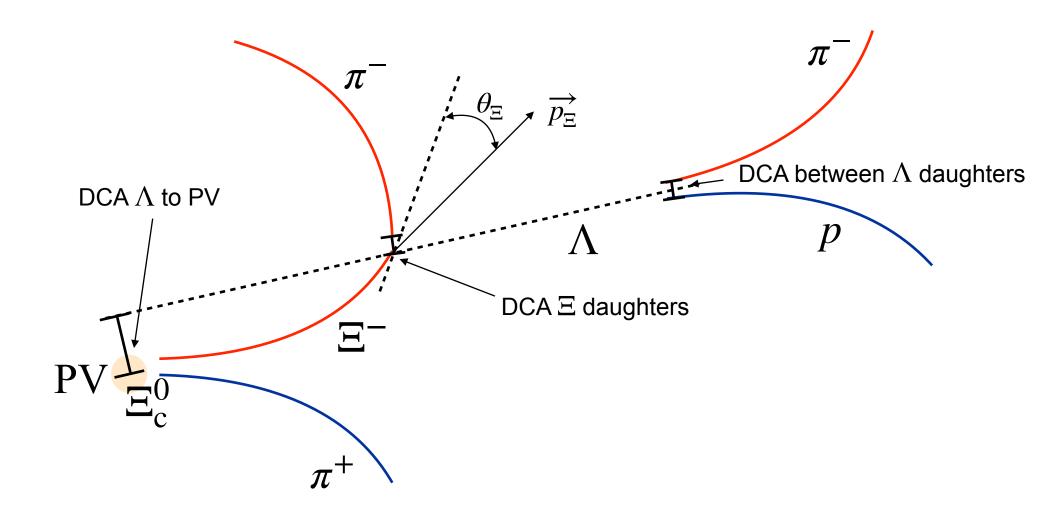


• Analysis strategy for
$$\Xi_c^0$$
:

- Select π^{\pm} and Ξ^{\mp} (pre-selections)
- Make pairs of $\pi^+\Xi^-$ and $\pi^-\Xi^+$ and fit the invariant mass to extract signal
- Correct yield by Acc. x Eff.

Acc. × Eff. =
$$\frac{N_{\text{MC,rec}}^{\Xi_{c}^{0}}|_{|y|<0.8} \text{(with preselection and BDT cut)}}{N_{\text{MC,gen}}^{\Xi_{c}^{0}}|_{|y|<0.8}}$$

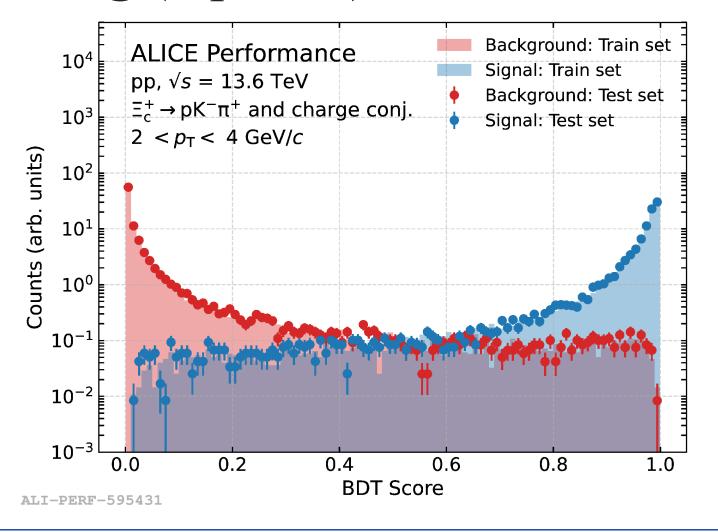
▶ Feed-down subtraction

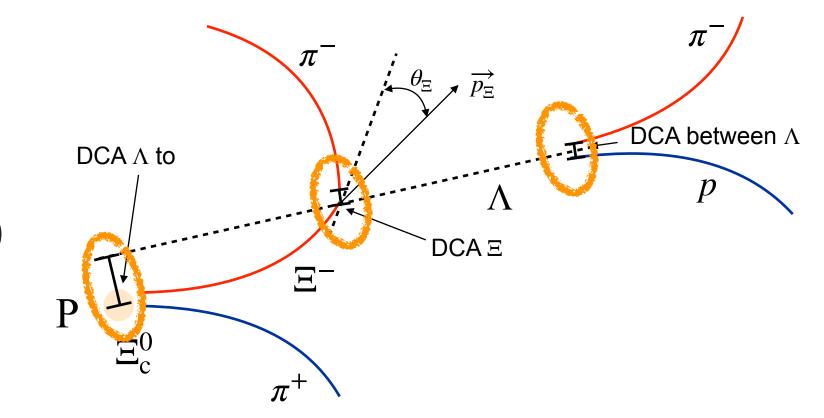


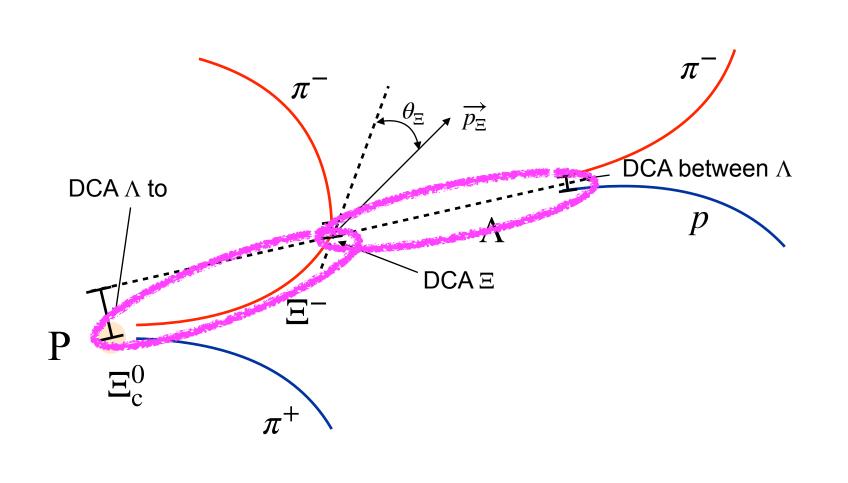
The sketch of $\Xi_c^0 \to \pi^+ \Xi^-$

Reconstruction strategy for short-lived particles

- The Kalman-Filter vertexing algorithm was used for the reconstruction
 - ✓ Consideration of the particle full error matrix:
 - ✓ Use of powerful decay information:
 - ✓ Mass constraint (Adjust the momentum of daughter particles)
 - ✓ Vertex constraints (eg. χ^2_{geo} , χ^2_{topo} , $l/\Delta l$)
- ▶ Machine learning (hipe4ml) used





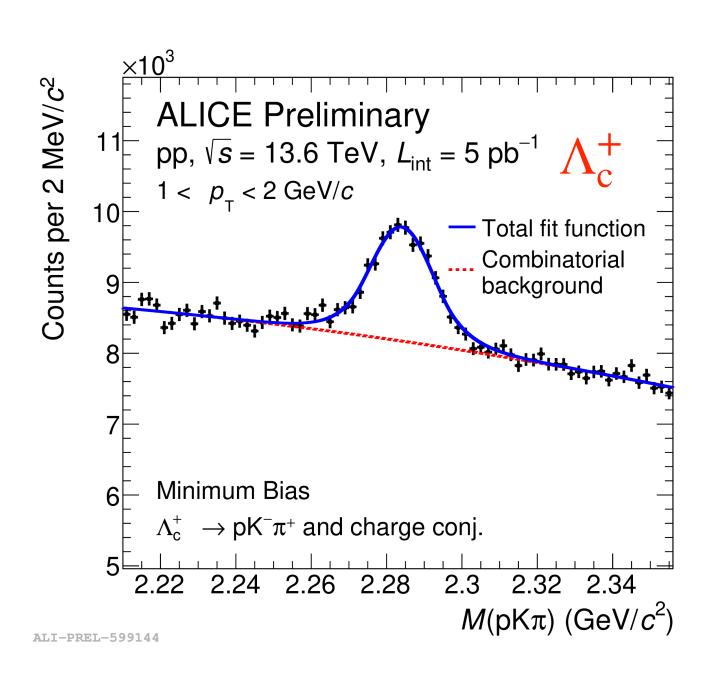


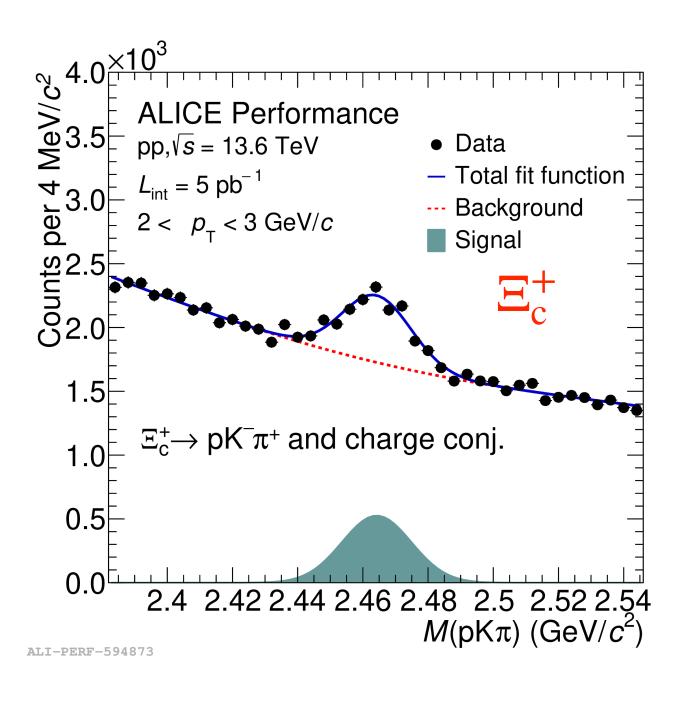


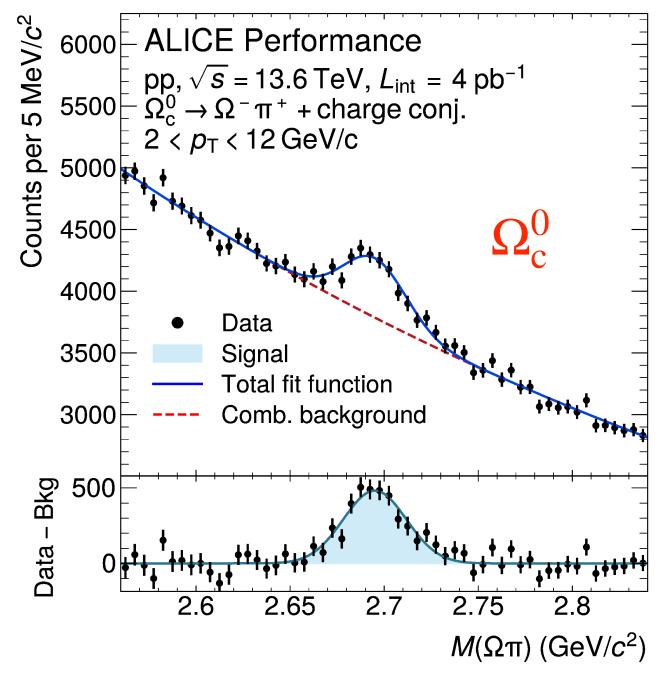
Charm baryon signal extracted in Run 3

Run2:
$$\mathcal{L}_{int}(MB pp, 13 TeV) = 32 nb^{-1}$$
 Run3: $\mathcal{L}_{int}(MB pp, 13.6 TeV) = 6 pb^{-1}$

150x larger

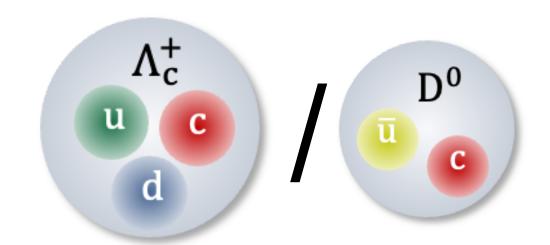


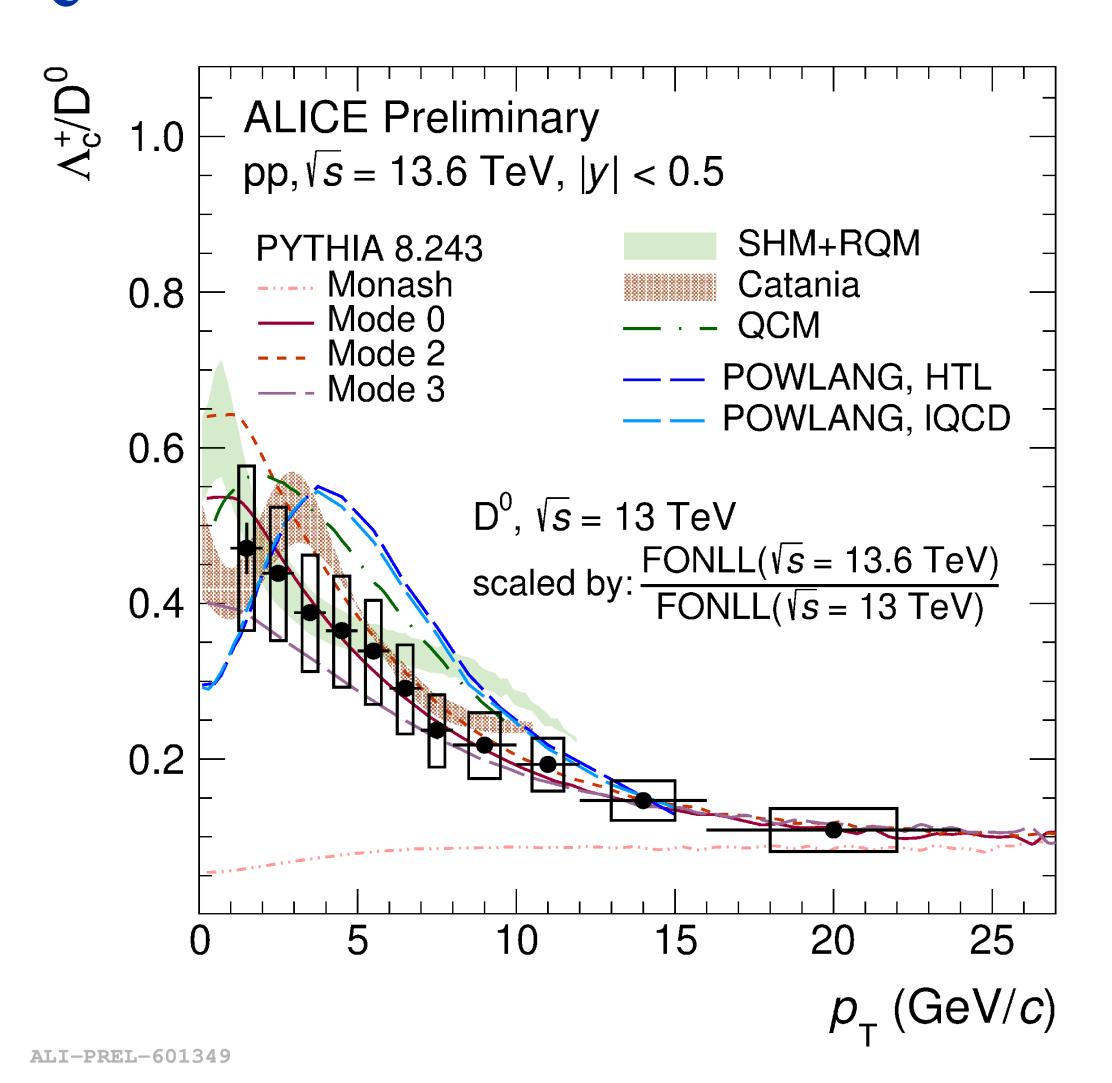




ALI-PERF-597476

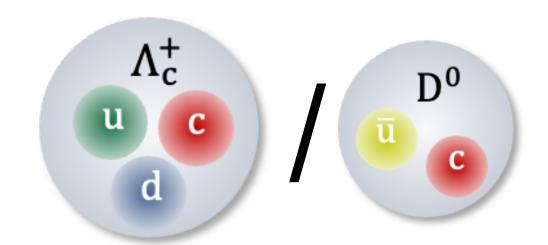


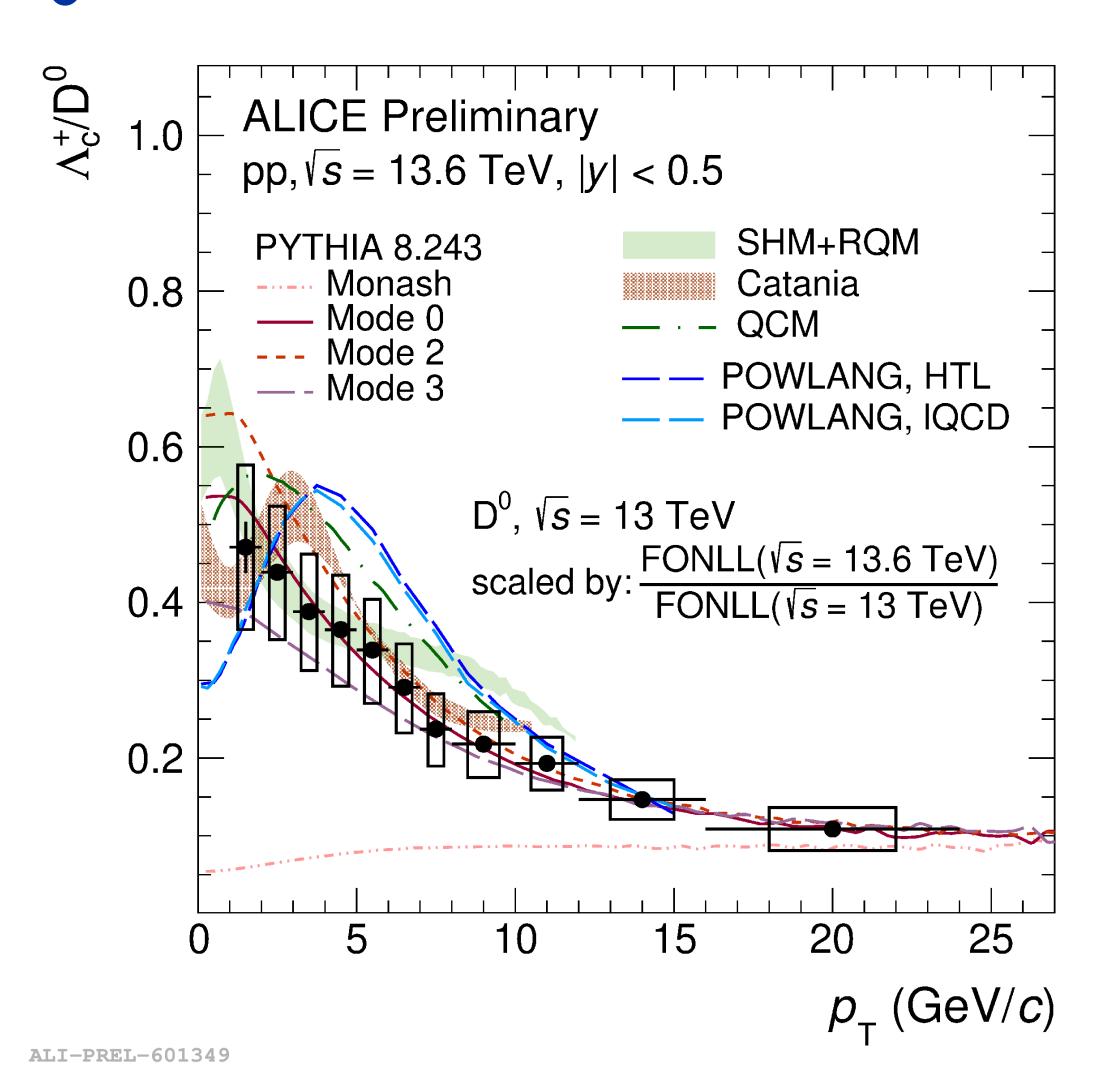




- Decrease of the Λ_c^+/D^0 ratio with increasing pT
 - Hadronisation of baryon and meson differs
- Monash underestimate the data
- Different hadronization mechanisms enhance baryon production and describe data



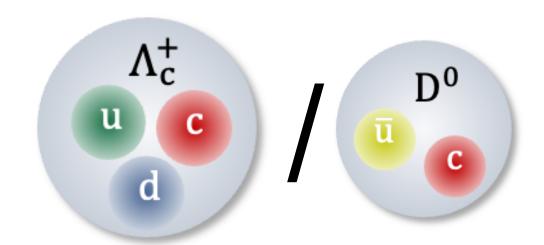


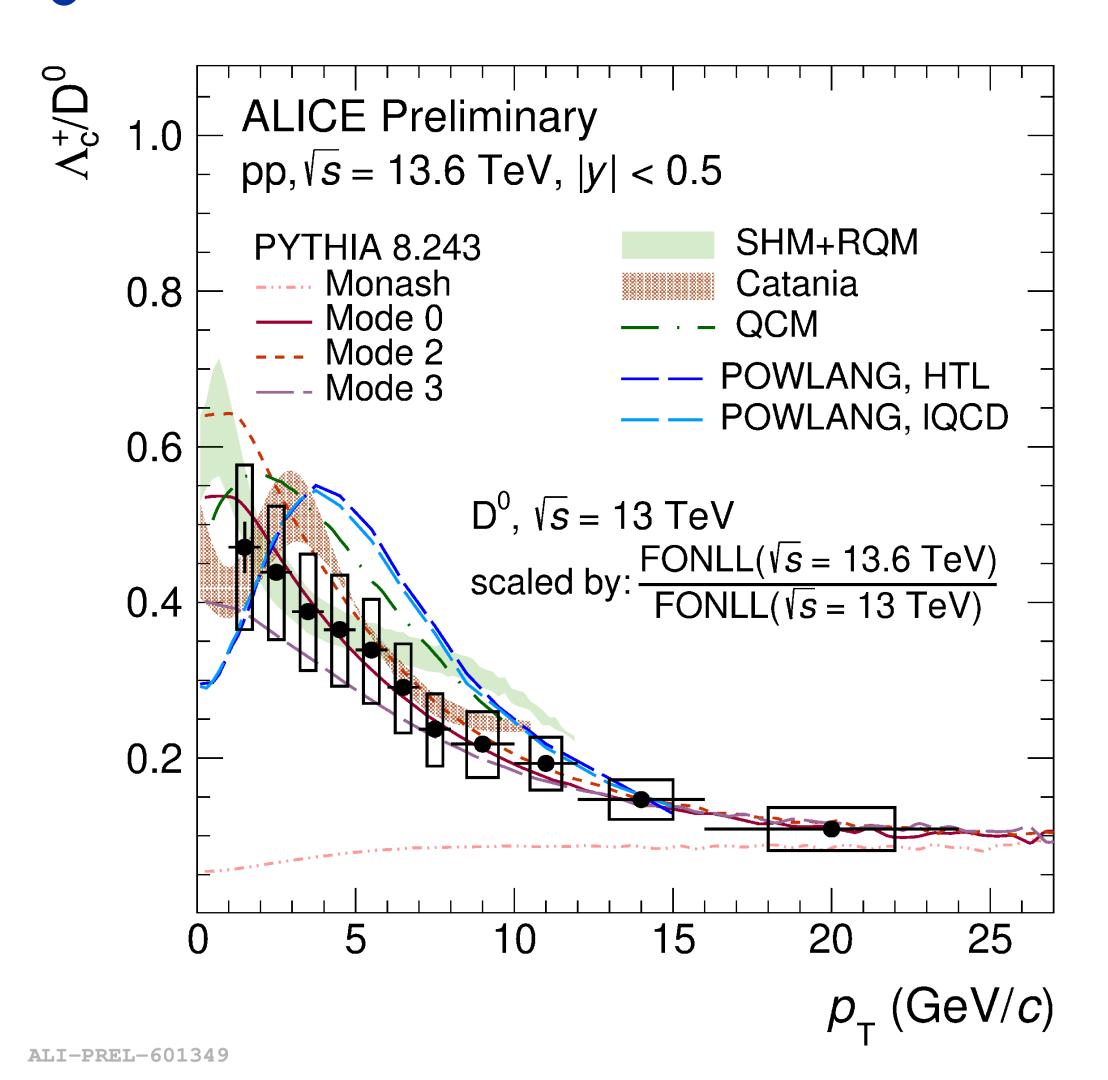


- Decrease of the Λ_c^+/D^0 ratio with increasing pT
 - Hadronisation of baryon and meson differs
- Monash underestimate the data
- Different hadronization mechanisms enhance baryon production and describe data
 - PYTHIA 8 CR-BLC

Color reconnection beyond leading color within the Lund string fragmentation model



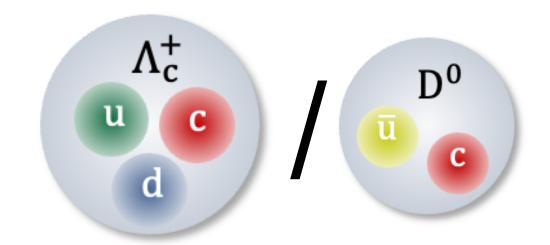


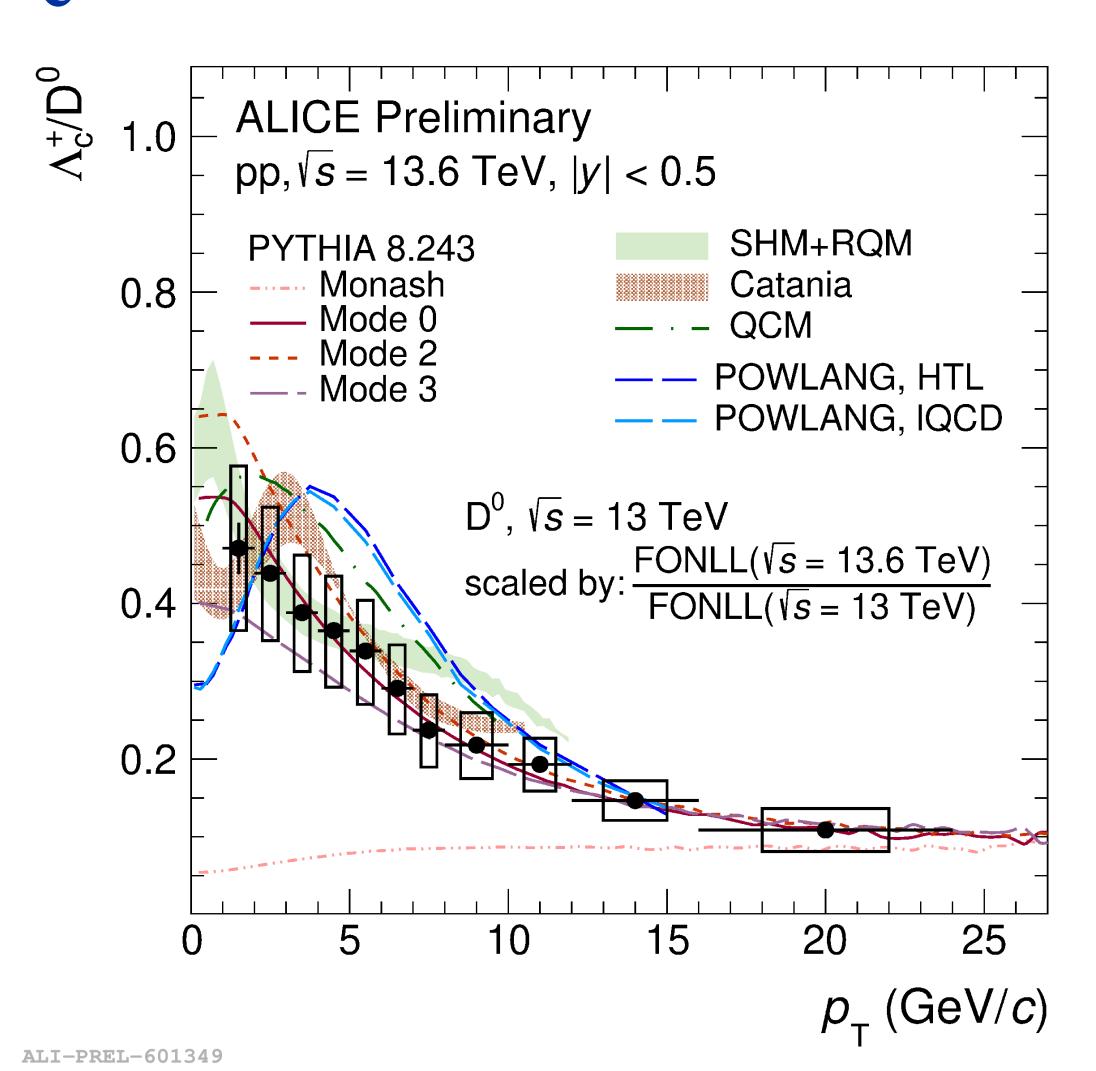


- Decrease of the Λ_c^+/D^0 ratio with increasing pT
 - Hadronisation of baryon and meson differs
- Monash underestimate the data
- Different hadronization mechanisms enhance baryon production and describe data
 - PYTHIA 8 CR-BLC
 - Statistical Hadronization model + Relativistic
 Quark model

Increased feed-down from additional baryon states predicted by RQM





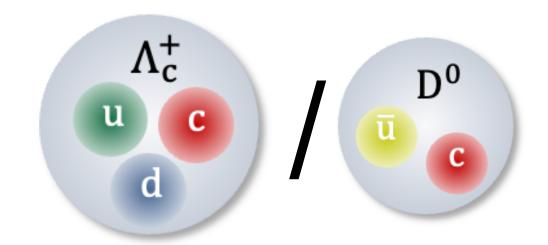


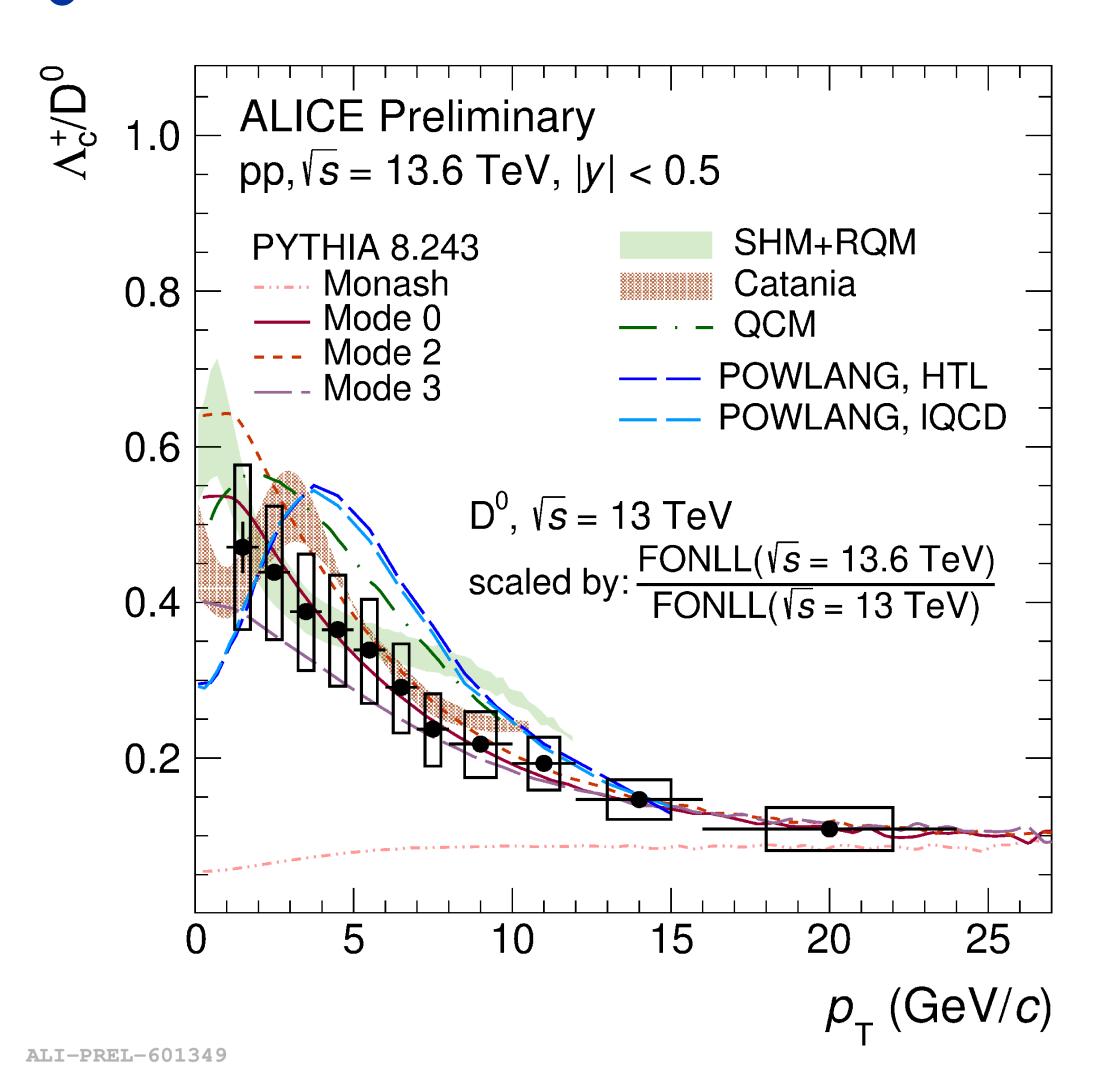
- Decrease of the Λ_c^+/D^0 ratio with increasing pT
 - Hadronisation of baryon and meson differs
- Monash underestimate the data
- Different hadronization mechanisms enhance baryon production and describe data
 - PYTHIA 8 CR-BLC
 - Statistical Hadronization model + Relativistic Quark model
 - Catania

Assumes an expanding medium formation in small collision systems, hadronization via **coalescence** and fragmentation



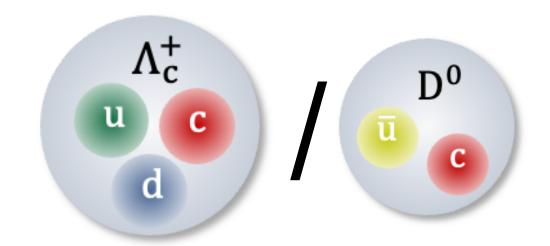


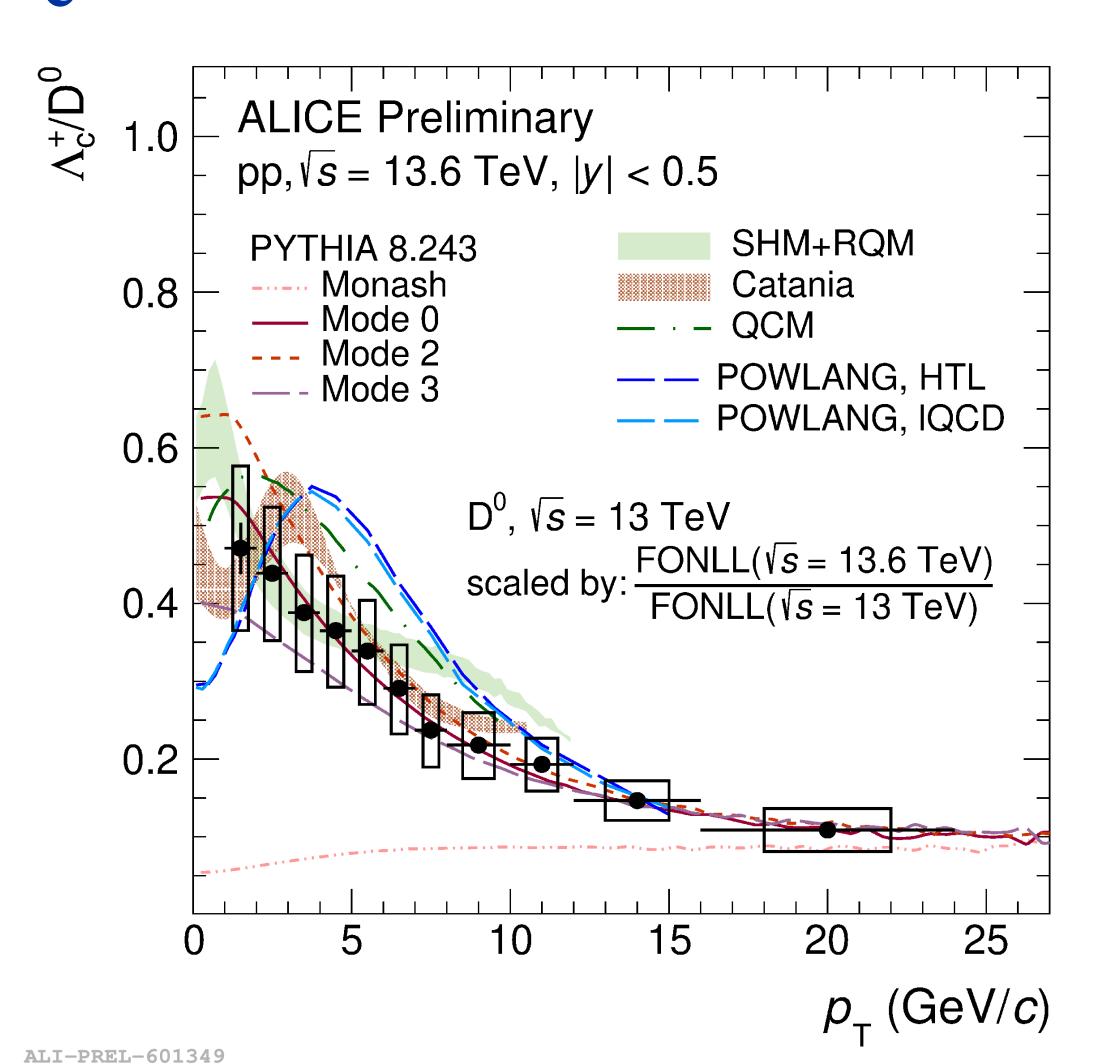




- Decrease of the Λ_c^+/D^0 ratio with increasing pT
 - Hadronisation of baryon and meson differs
- Monash underestimate the data
- Different hadronization mechanisms enhance baryon production and describe data
 - PYTHIA 8 CR-BLC
 - Statistical Hadronization model + Relativistic
 Quark model
 - Catania
 - Quark (re)Combination model
 Charm quark is combined with a co-moving light antiquark
 or two quarks.







- Decrease of the Λ_c^+/D^0 ratio with increasing pT
 - Hadronisation of baryon and meson differs
- Monash underestimate the data
- Different hadronization mechanisms enhance baryon production and describe data
 - PYTHIA 8 CR-BLC
 - Statistical Hadronization model + Relativistic
 Quark model
 - Catania
 - Quark (re)Combination model
 - POWLANG

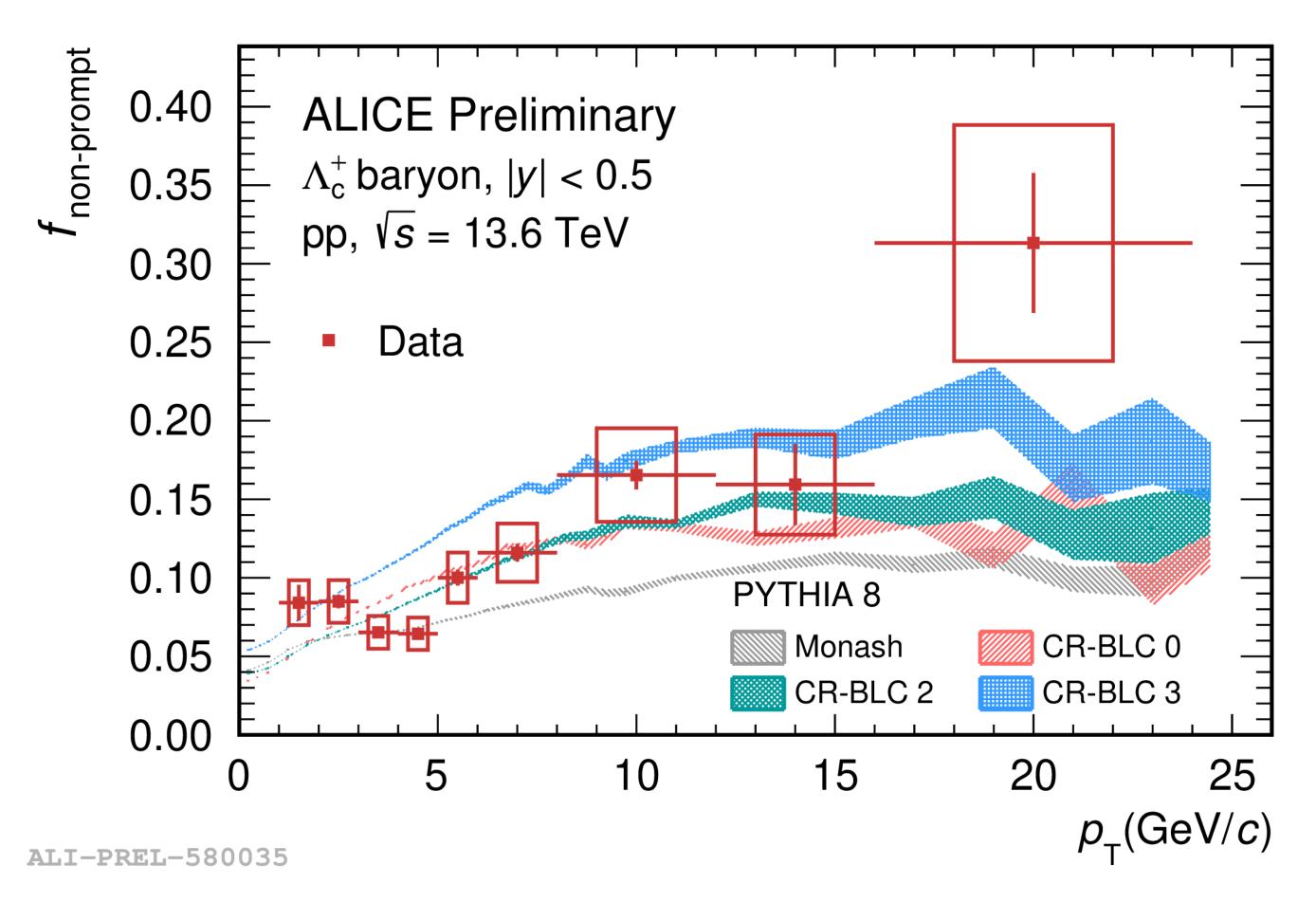
Assumed Expanding fireball in pp collisions.

Hadronisation via recombination with light quarks

Charm-baryon formation enhanced thanks to diquark excitations.



Λ_c^+ non-prompt fraction

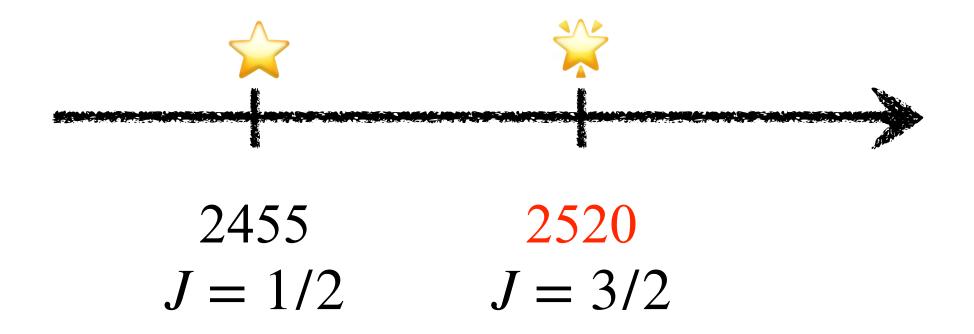


PYTHIA8 Monash underestimates the Λ_c^+ non-prompt fraction. A better agreement with the data is achieved with PYTHIA with CR-BLC tunes

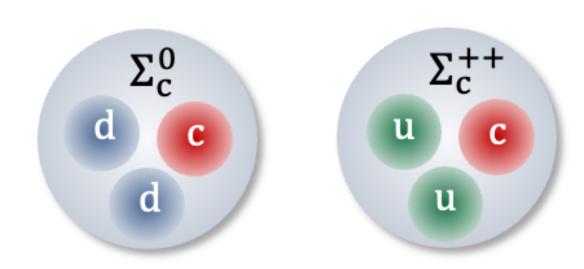


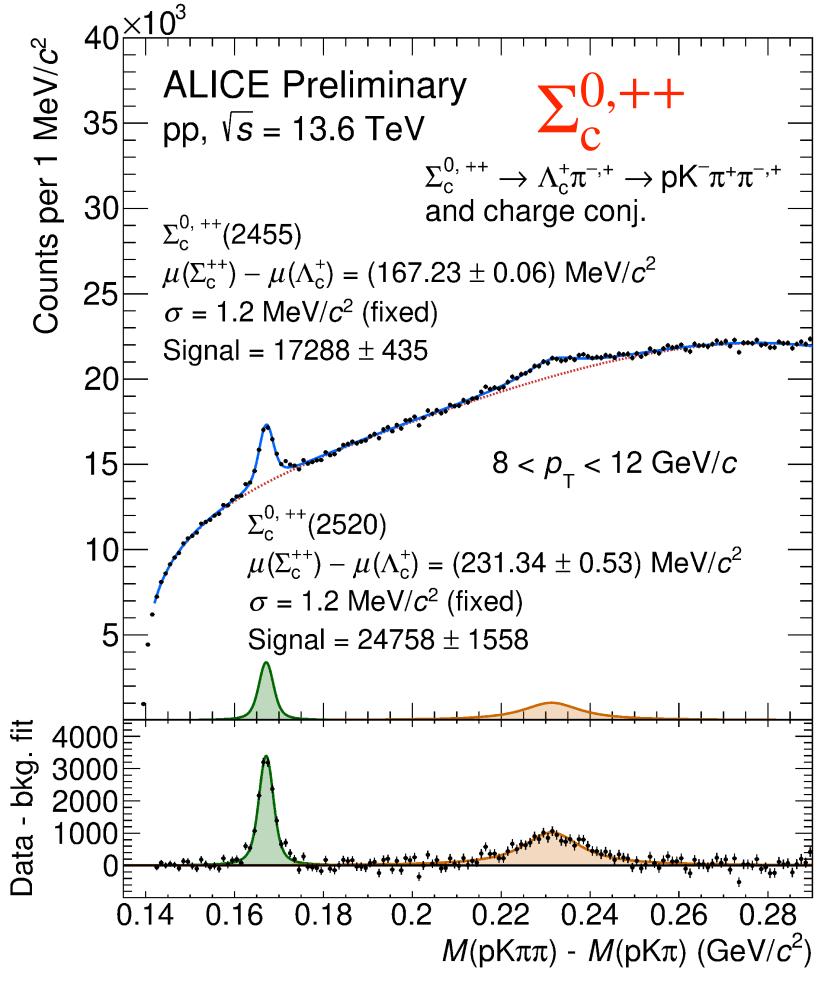
$\Sigma_c^{0,++}(2520)$ in Run 3

First production measurement of $\Sigma_c^{0,++}(2520)$ at the LHC



$$\Sigma_c^{0,++}$$
 states

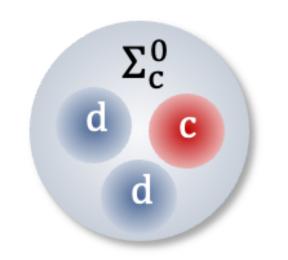


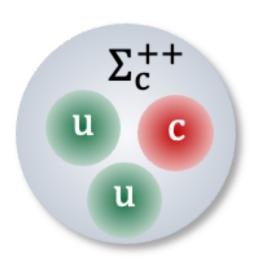


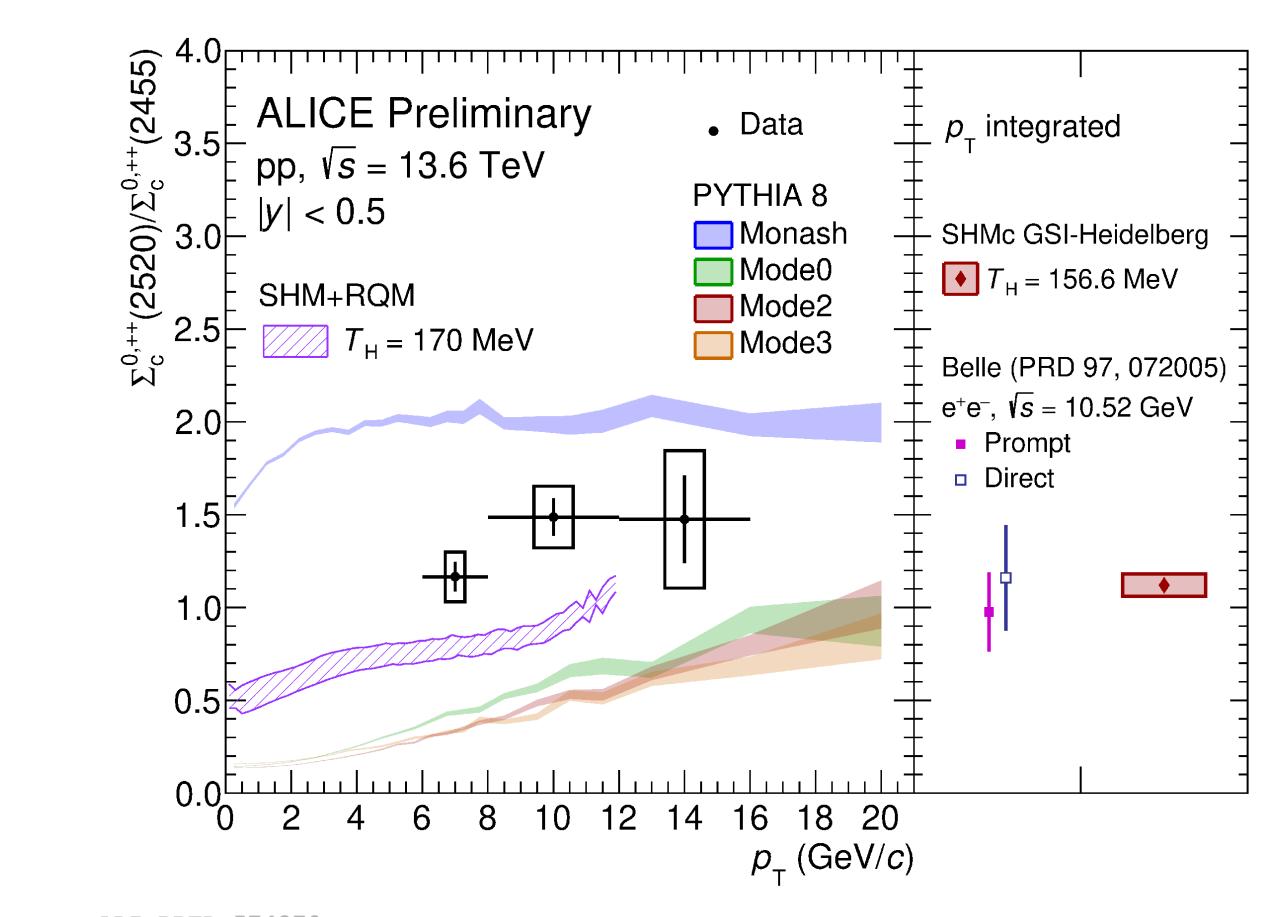
ALI-PREL-571534



$\Sigma_{\rm c}^{0,++}(2520)/\Sigma_{\rm c}^{0,++}(2455)$







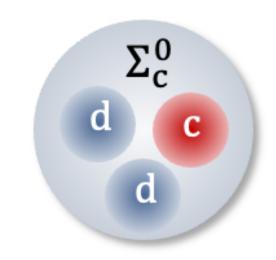
- Ratio of cross sections in $6 < p_T < 16 \text{ GeV/c}$ **consistent** with integrated p_T results from e^+e^- collisions within the uncertainties
 - \circ SHMc GSI+Heidelberg predictions compatible with measured data (different $p_{\rm T}$ range)
- Comparison with Models as a function of p_T • PYTHIA 8 Monash overestimates
 - Out of the Door of the Charles of th

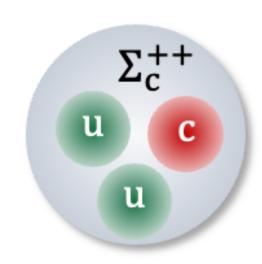
SHM+RQM

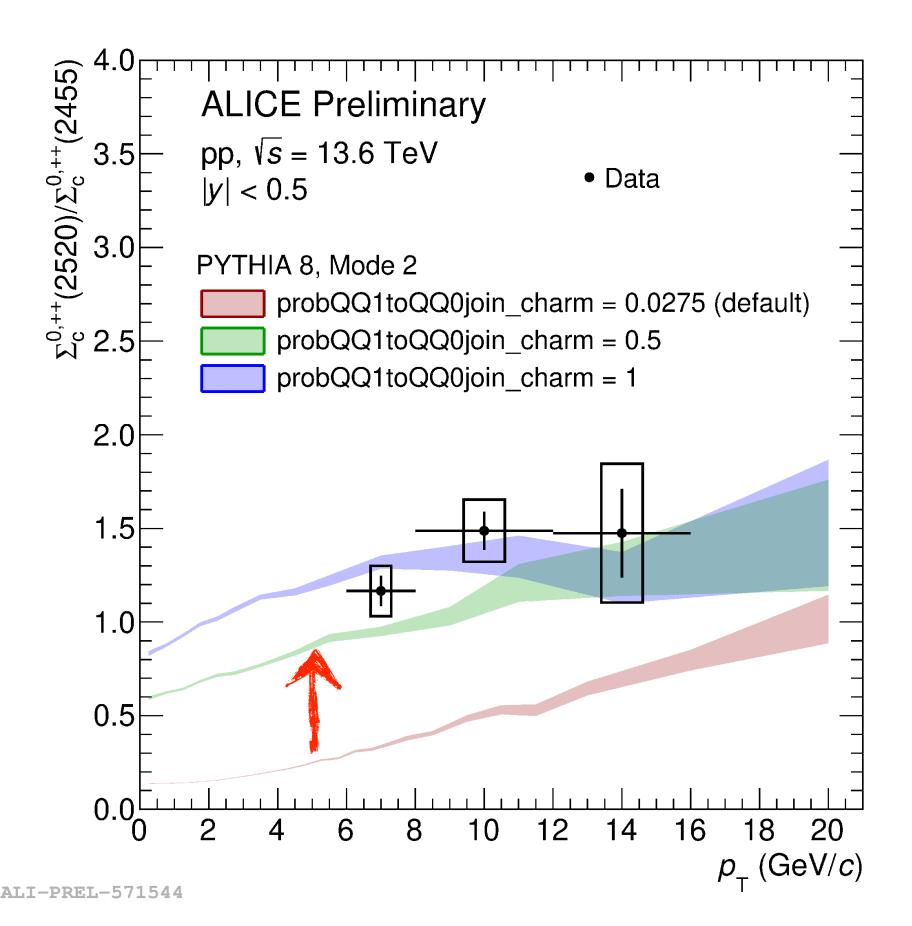
ALI-PREL-574270



Setting constraints on PYTHIA







- PYTHIA 8 Mode 2 with tuned parameters agrees with data
- probQQ1toQQ0join_charm
- Probability of forming in junction diquark (cq) with spin 1 over spin 0

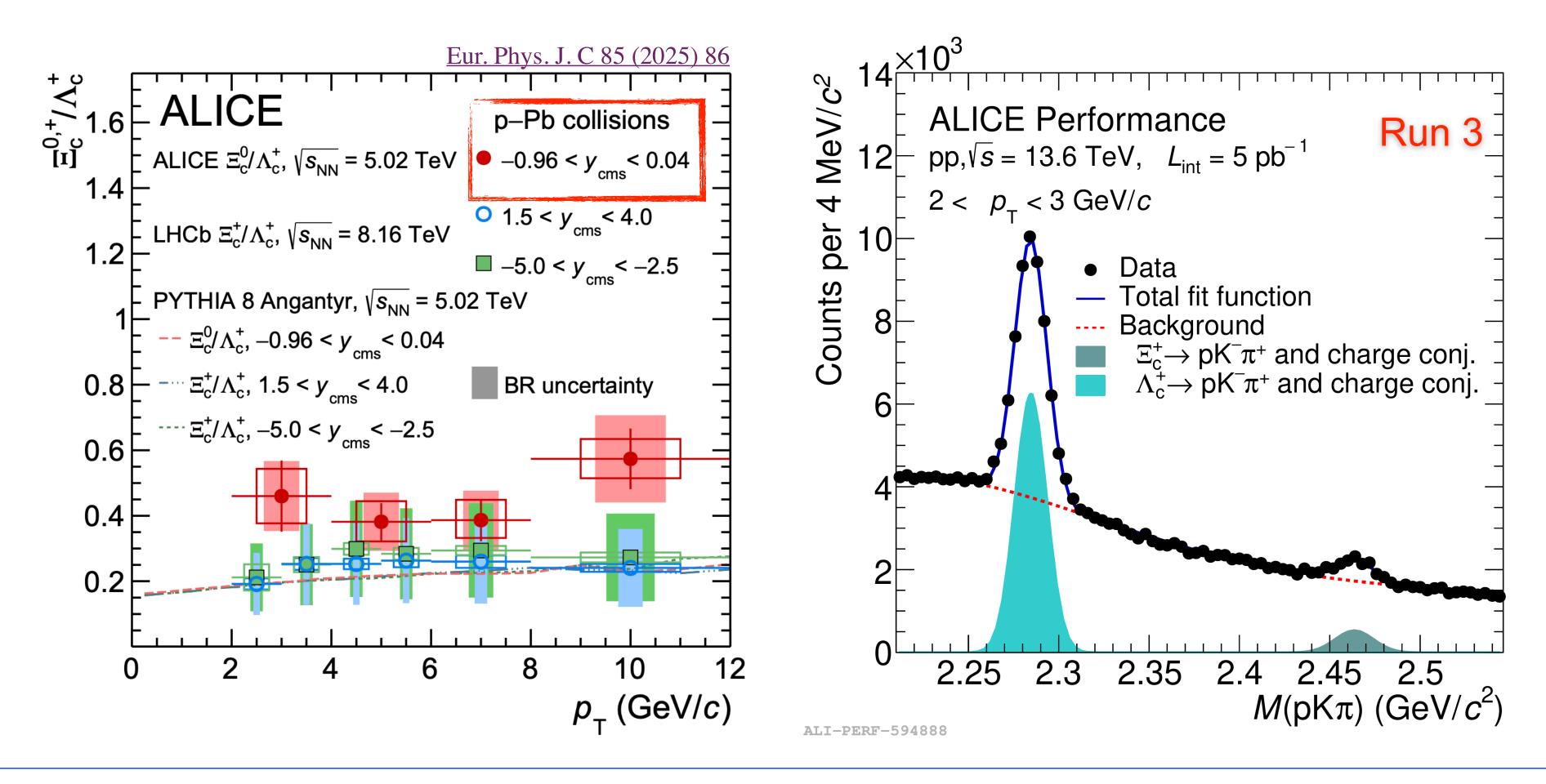
$$P_{\rm cp}({\rm spin}=1)$$

•
$$P_{\rm cp}({\rm spin}=0)$$

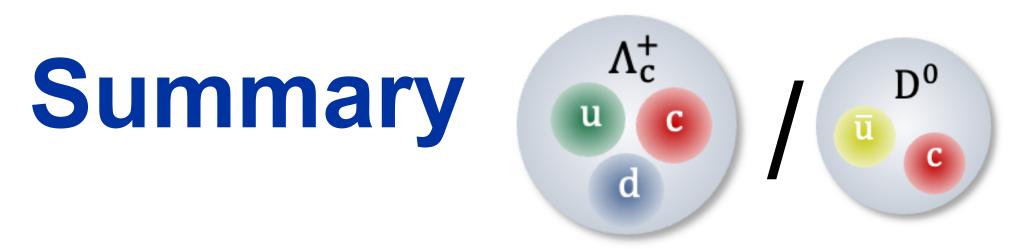
- First measurement of the relative production of the baryon resonance $\Sigma_c^{0,++}(2520)$ at the LHC
- Add further inputs to constrain hadronization

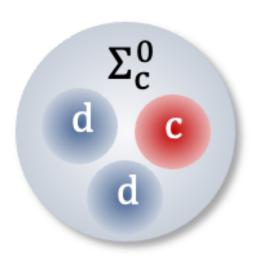
$\Xi_c^+ \to p K^- \pi^+ \text{in pp in Run 3}$

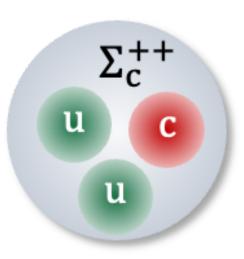
- Same decay channel of $\Lambda_c^+ \to p K^- \pi^+$ gives advantages of unc. cancellation for Ξ_c^+/Λ_c^+
- Outlook: possibility of precise rapidity dependence of Ξ_c^+/Λ_c^+ ratio in pp collisions











$pp, \sqrt{s} = 13.6 \text{ TeV}$	$\Lambda_{\rm c}^+/{ m D}^0$	Λ_{c}^{+} non-prompt fraction	$\Sigma_{\rm c}^{0,++}(2520)/\Sigma_{\rm c}^{0,++}(2455)$
Monash	X		
CR Mode 2			(parameter tuned)
POWLANG			
QCM			
CATANIA			
SHM+RQM			

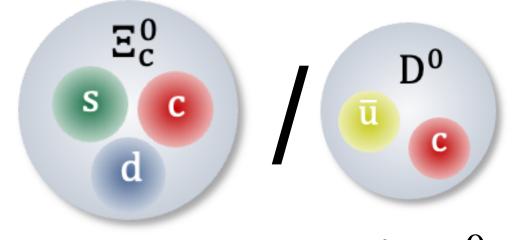
Thanks for your attention

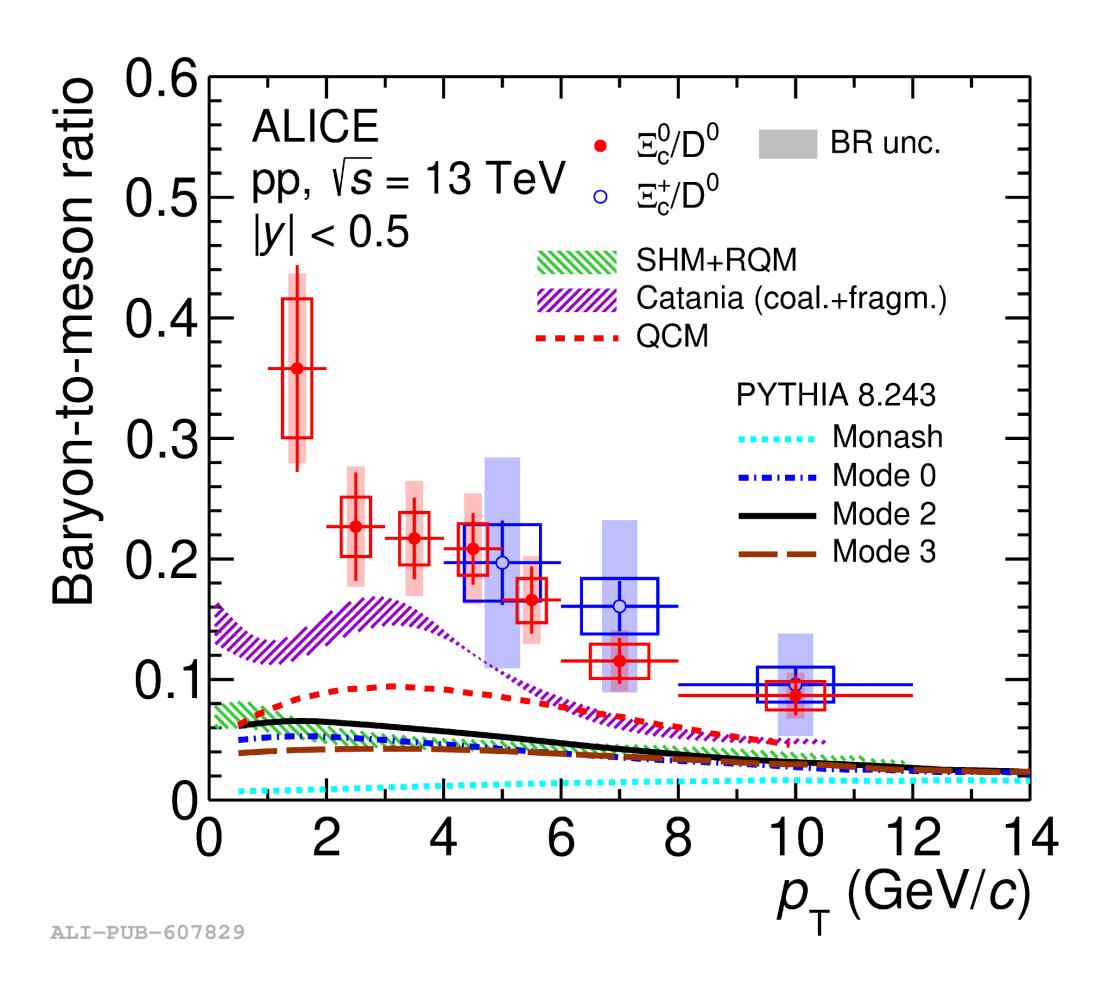


Back up



$\Xi_c^{0,+}/D^0$ in pp collisions

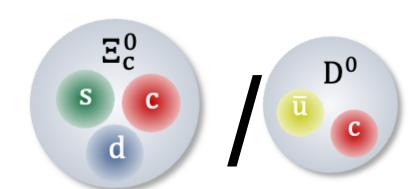


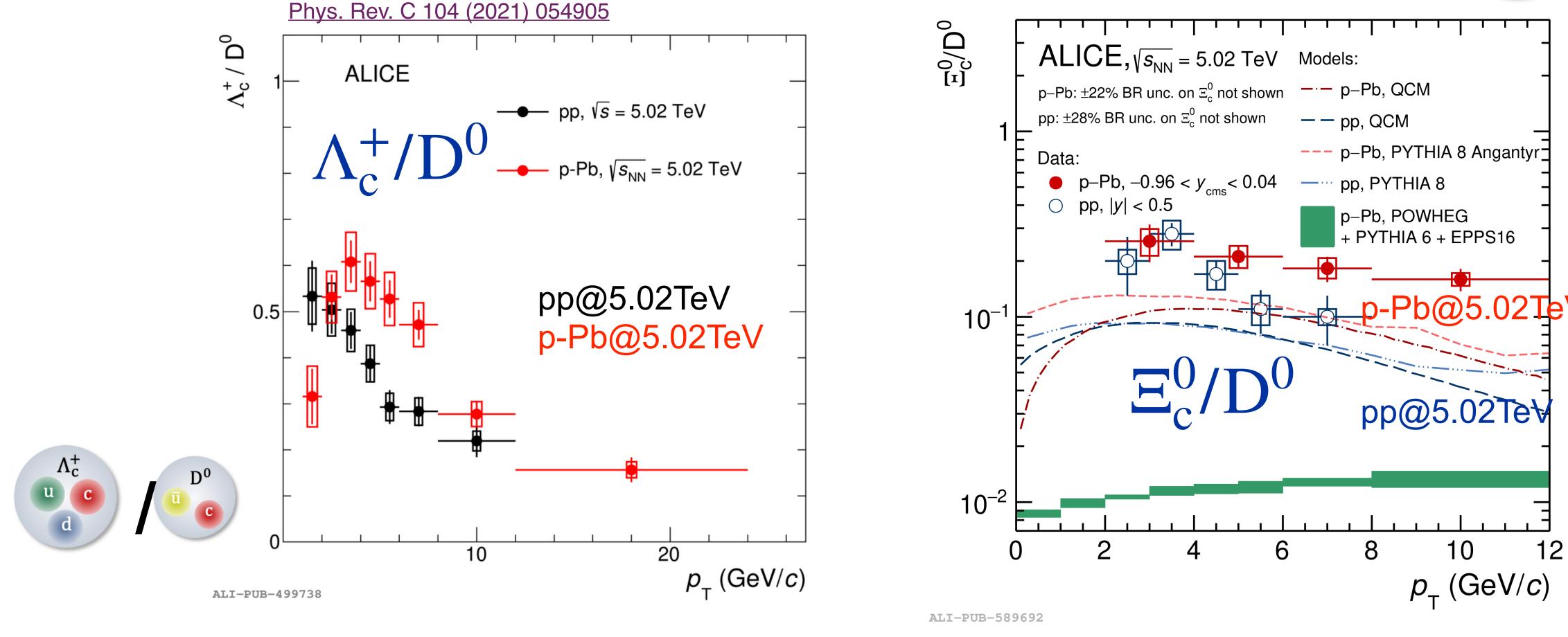


- $\Xi_{\rm c}^0/{\rm D}^0\,p_{\rm T}$ dependence is similar to the $\Lambda_{\rm c}^+/{\rm D}^0$ ratio
- Significantly underestimated by PYTHIA.
 - CR modes give a similar pT dependence
- SHM+RQM can describe the Λ_c^+/D^0 ratio but underpredict Ξ_c^0/D^0
- Coalescence included models can't describe the data
- QCM is tuned to reproduce the Λ_c^+/D^0 ratio in pp
- Catania is closer to the measurement



Λ_c^+/D^0 , Ξ_c^0/D^0 in pp and p-Pb collisions

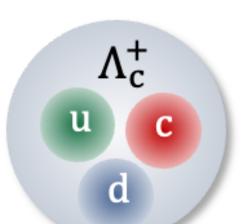


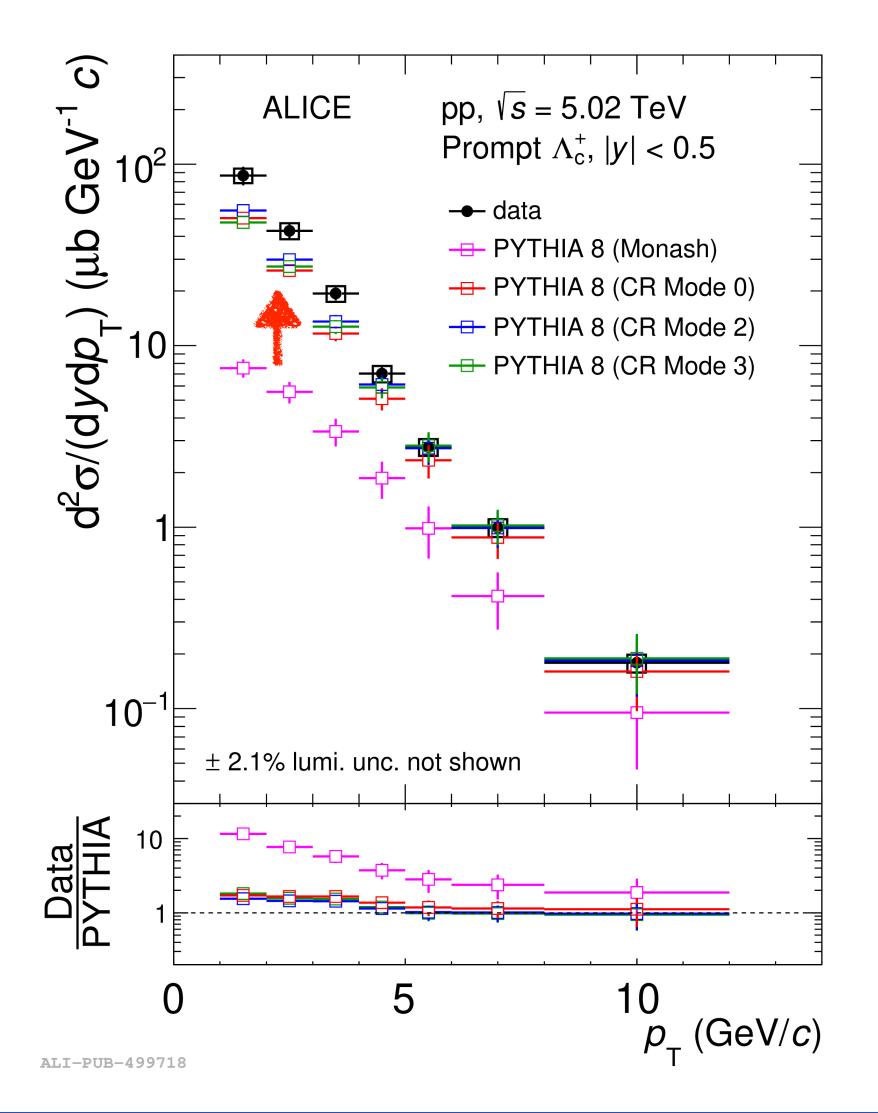


- Enhancement at high p_T in p-Pb w.r.t. pp collisions
- Underestimated by PYTHIA and QCM for both pp and p—Pb collisions



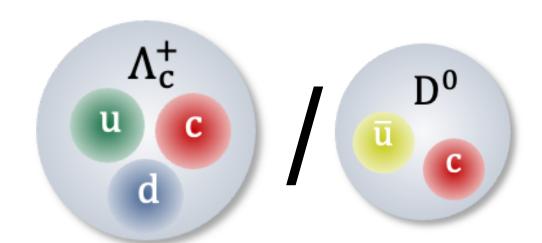
Λ_c^+ production in pp collisions in Run 2

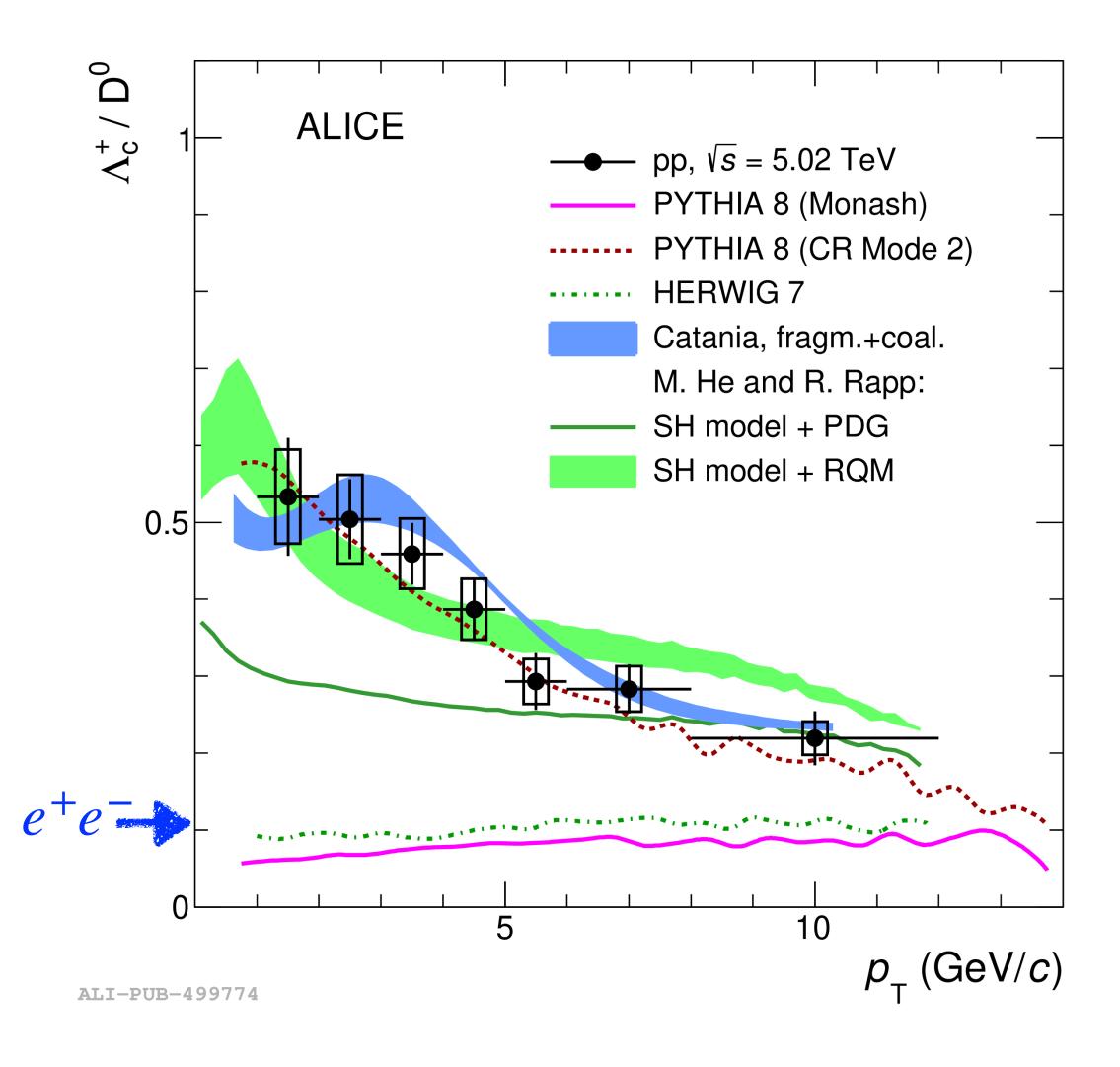




- All PYTHIA 8 tunes underestimate the measured $p_{\rm T}$ differential prompt $\Lambda_{\rm c}^+$ cross section.
- Monash tune significantly underestimates the data
 - The discrepancy becomes smaller at high $p_{\rm T}$
- All three CR modes enhance the Λ_c^+ production and yield a similar magnitude and shape



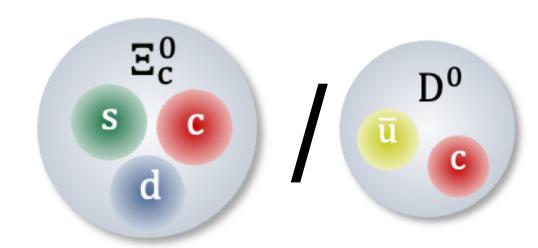


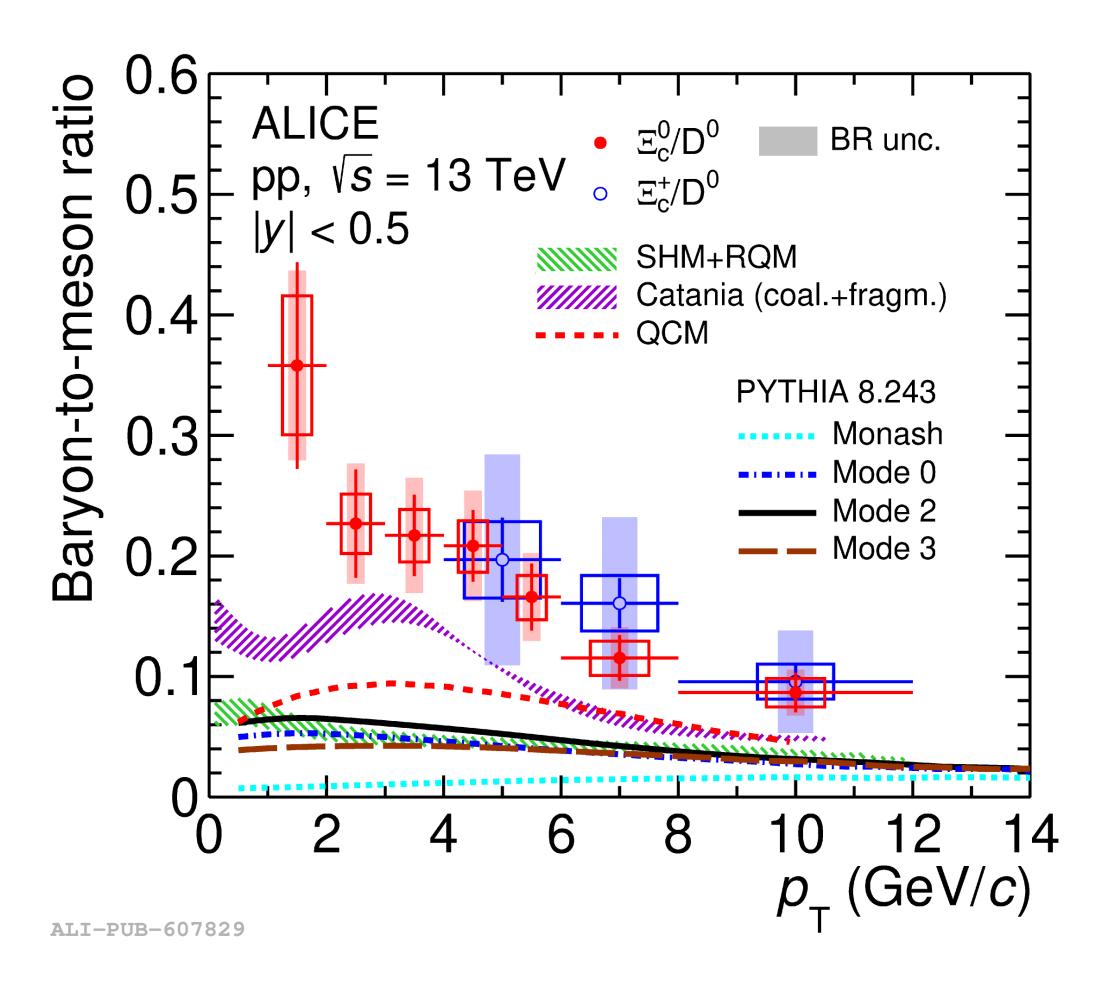


- Decrease of the Λ_c^+/D^0 ratio with increasing pT
 - Hadronisation of baryon and meson differs
- Monash and HERWIG tuned on e^+e^- collisions underestimate the data
- Mode 2 is consistent with the measured Λ_c^+/D^0 ratio
- SHM+PDG underpredicts the data
- SHM+RQM and Catania describe both the magnitude and the $p_{\rm T}$ shape



$\Xi_c^{0,+}/D^0$ in pp collisions in Run 2

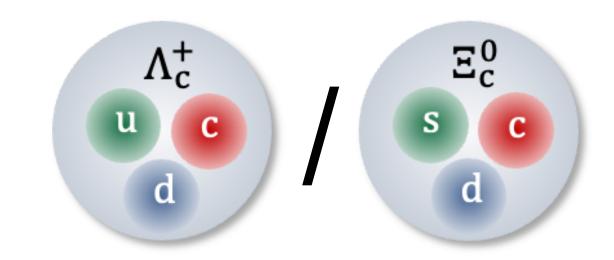


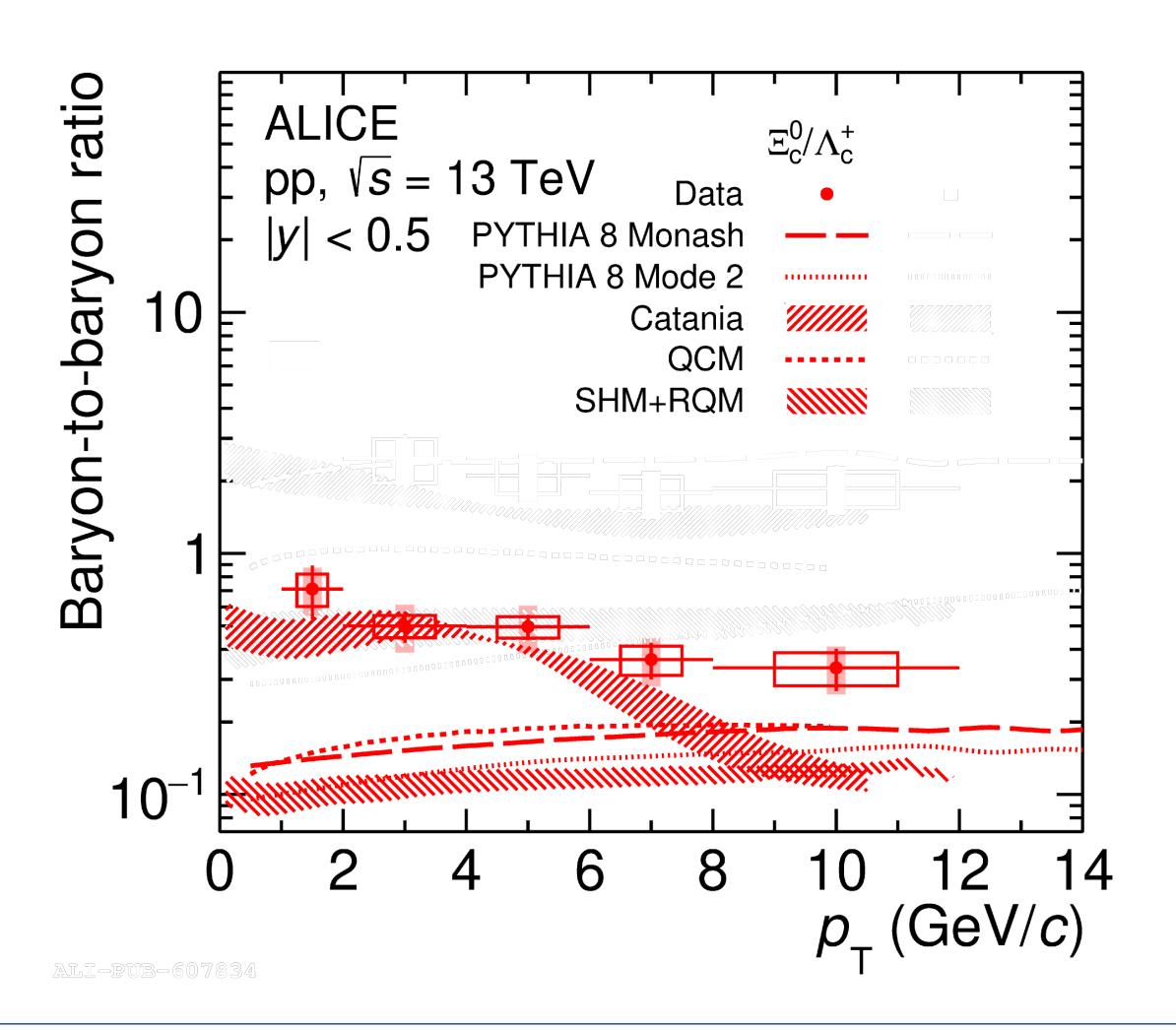


- $\Xi_{\rm c}^0/{\rm D}^0\,p_{\rm T}$ dependence is similar to the $\Lambda_{\rm c}^+/{\rm D}^0$ ratio
- Significantly underestimated by PYTHIA.
 - CR modes give a similar pT dependence
- SHM+RQM can describe the Λ_c^+/D^0 ratio but underpredict Ξ_c^0/D^0
- Coalescence included models can't describe the data
- QCM is tuned to reproduce the Λ_c^+/D^0 ratio in pp
- Catania is closer to the measurement



Ξ_c^0/Λ_c^+ in pp collisions in Run 2

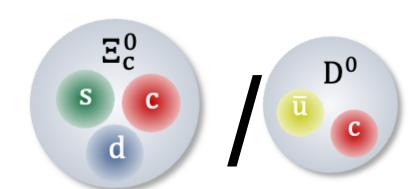


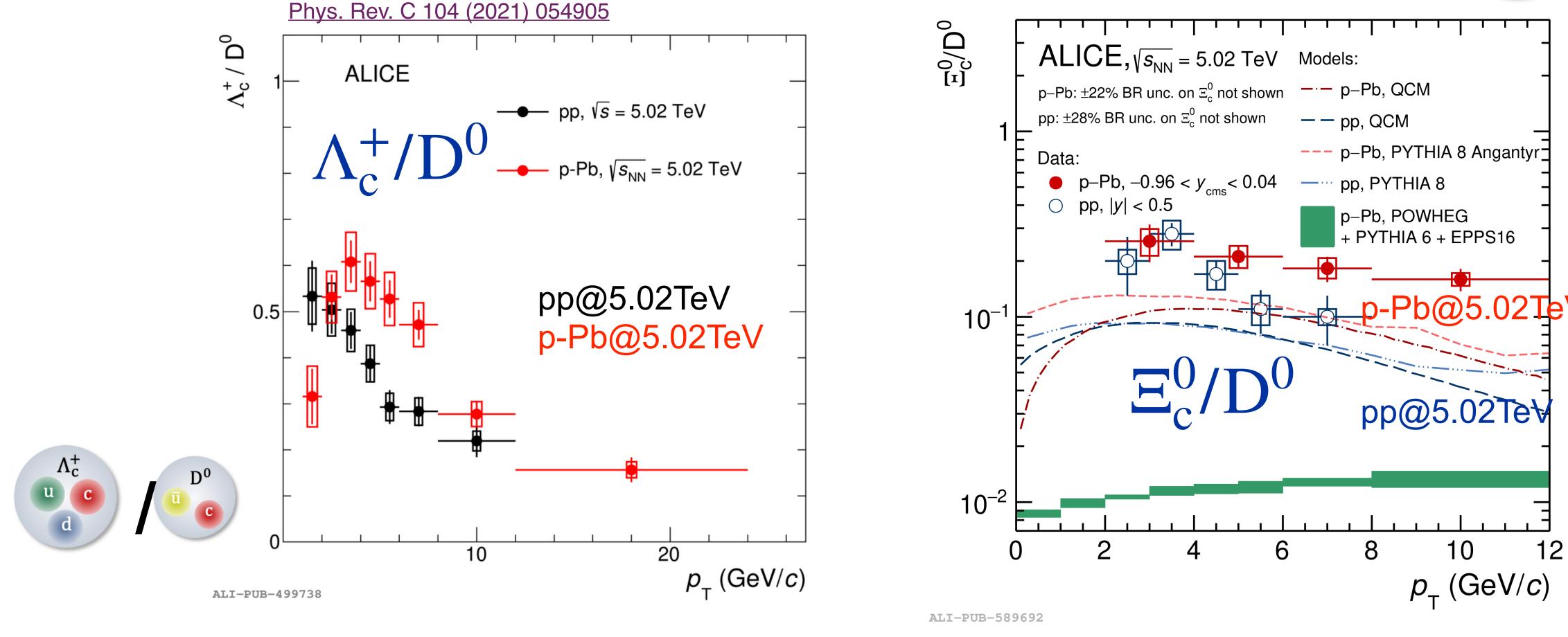


- The Ξ_c^0/Λ_c^+ ratio is approximately 0.5
- No significant pT dependence.
- All the models can't describe the measured ratio.



Λ_c^+/D^0 , Ξ_c^0/D^0 in pp and p-Pb collisions





- Enhancement at high p_T in p-Pb w.r.t. pp collisions
- Underestimated by PYTHIA and QCM for both pp and p—Pb collisions

