



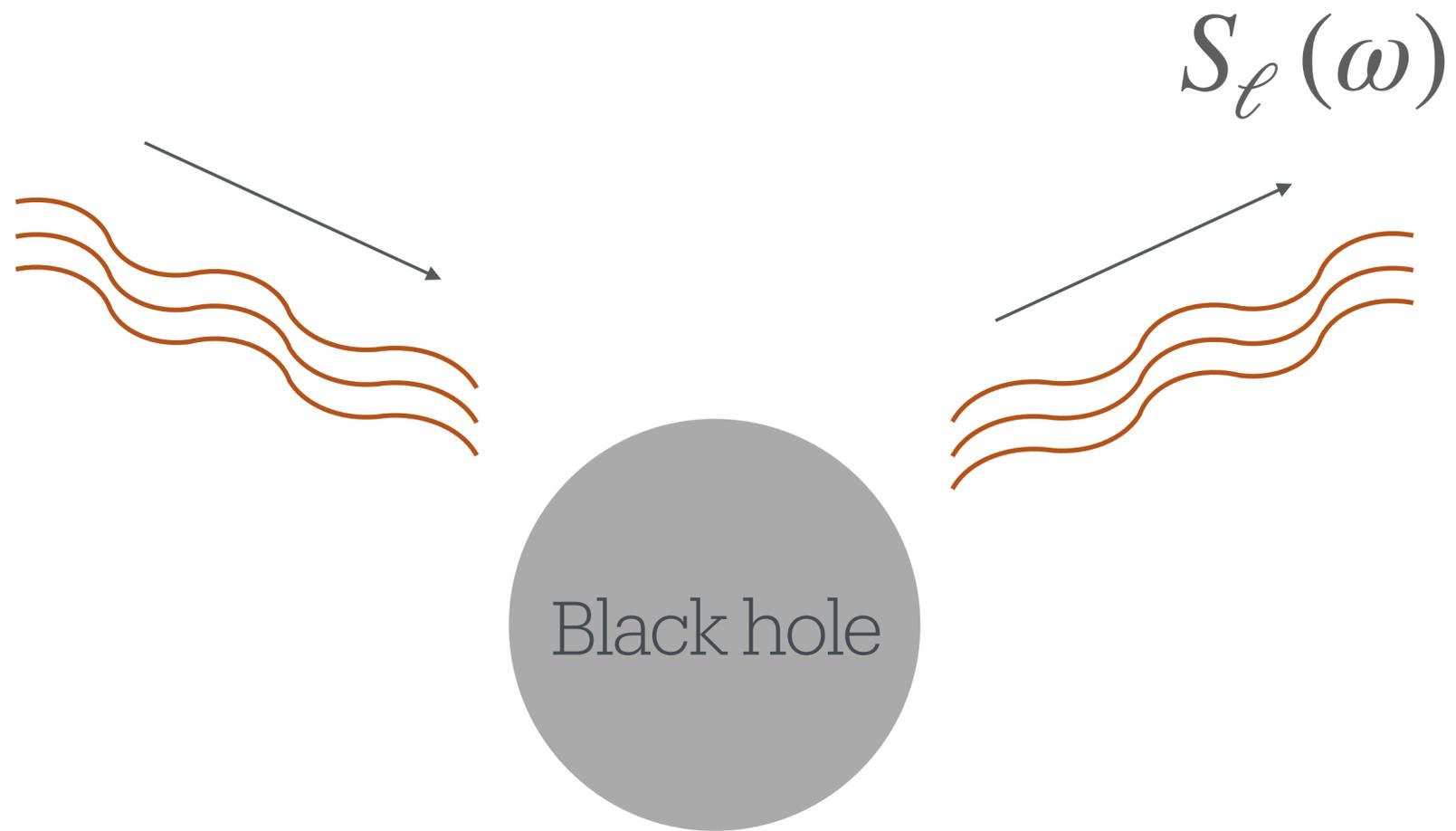
UCLA Mani L. Bhaumik Institute
for Theoretical Physics

Analyticity of the Black Hole S-matrix

New Frontiers of Quantum Fields and Gravity

[2511.11794](#) [M. Correia, T. Gopalka, GI, A. Wolz]

Introduction



What is the analytic structure of $S_\ell(\omega)$?

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Theoretical

- Poles/Branch cuts capture the response of the black hole
- S-matrix in an open system/
background breaking translations

Causality/Unitarity?

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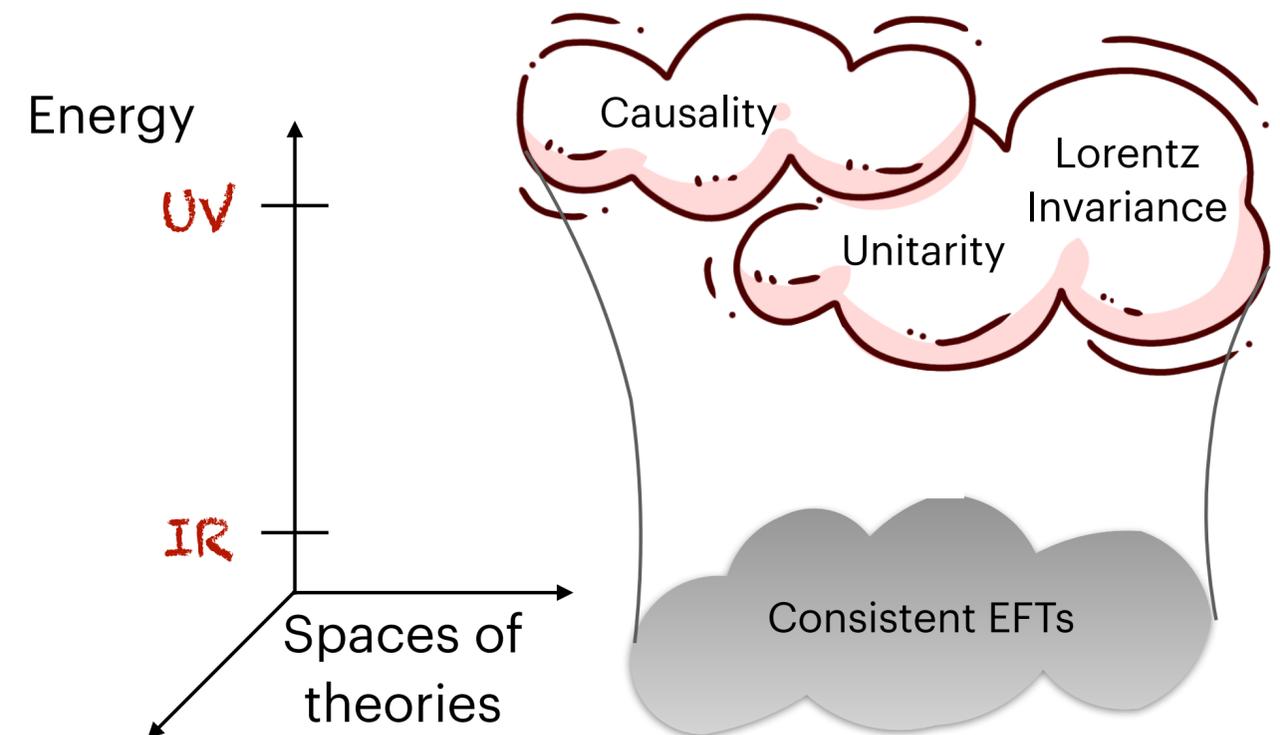
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Applications

- Positivity bounds on Love numbers



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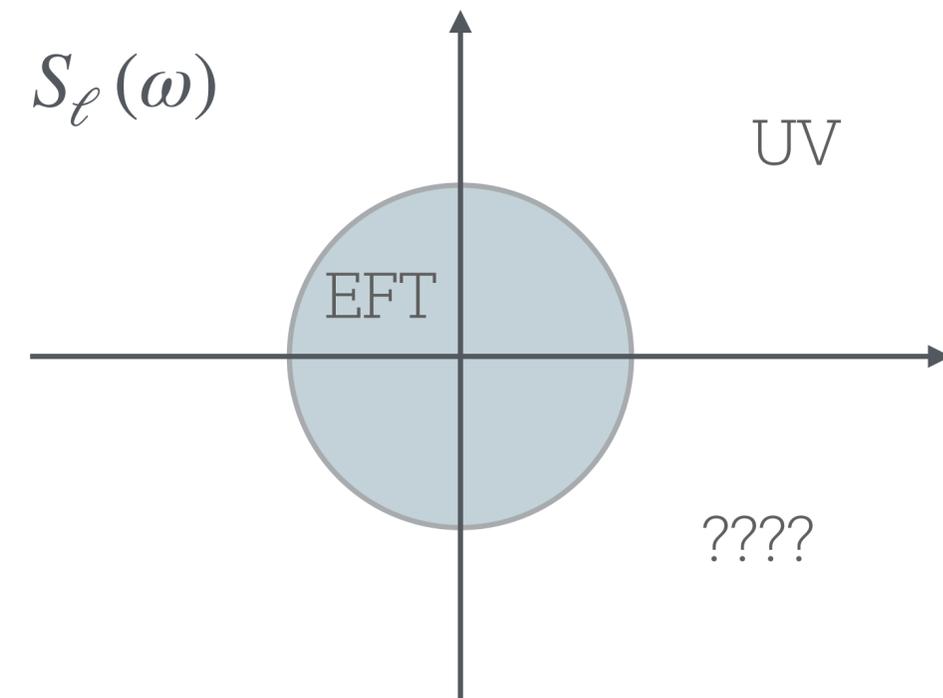
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Setup

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$$\text{Regge-Wheeler: } \partial_{\mu} \left(\sqrt{-g} g^{\mu\nu} \partial_{\nu} \phi \right) = 0$$

$$\text{Tortoise coordinates: } x = r + R_s \log \left(\frac{r}{R_s} - 1 \right)$$

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$x \rightarrow -\infty$

$x \rightarrow +\infty$



Horizon

Spatial infinity

$$\phi_L \sim e^{-i\omega x}$$



$$\phi_R \sim e^{i\omega x}$$



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1+1d problem

$$\phi_R \sim e^{i\omega x}$$



Relation S-matrix and retarded Green's function

$\phi_L(\omega, x \rightarrow -\infty) = e^{-i\omega x}$ $\phi_R(\omega, x \rightarrow -\infty) = e^{i\omega x}$

Relation S-matrix and retarded Green's function

S-matrix

(or reflection coefficient)

$$\phi_L(\omega, x \rightarrow +\infty) = A_{\text{in}}(\omega) e^{-i\omega x} + A_{\text{out}}(\omega) e^{i\omega x}$$

$$S_\ell(\omega) = -\frac{A_{\text{out}}(\omega)}{A_{\text{in}}(\omega)}$$



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Retarded Green's function

$$\left[\frac{d^2}{dx^2} + \omega^2 - V(x) \right] G_R(\omega, x) = \delta(x - x')$$

$$G_R(\omega, x, x') = \frac{1}{2i\omega A_{\text{in}}} \left[\phi_L(x) \phi_R(x') \theta(x' - x) + \phi_L(x') \phi_R(x) \theta(x - x') \right]$$



$\phi_L(\omega, x \rightarrow -\infty) = e^{-i\omega x}$

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$$G_R(\omega, x, x') \xrightarrow{x, x' \rightarrow \infty} -\frac{1}{2i\omega} \left[e^{i\omega(x-x')} - S_\ell(\omega) e^{i\omega(x+x')} \right]$$

Approach

1. Determine regions of analyticity for scattering on generic potentials
2. Study the solutions in known limits

Analyticity for generic potentials

In Quantum Mechanics: J.R. Taylor (1983)
R.G. Newton (2013)



We generalize to

1. Long range interactions
2. Dissipation/Open systems

Warmup: Volterra equations for the wavefunction

Integral solutions

$$\phi_L(\omega, x) = e^{-i\omega x} + \frac{1}{2i\omega} \int_{-\infty}^x \left[e^{i\omega(x-x')} - e^{i\omega(x'-x)} \right] V(x') \phi_L(\omega, x') dx'$$

$$\phi_R(\omega, x) = e^{i\omega x} + \frac{1}{2i\omega} \int_x^{\infty} \left[e^{i\omega(x-x')} - e^{i\omega(x'-x)} \right] V(x') \phi_R(\omega, x') dx'$$

- Right BCs
- Solutions of wave equation

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$$\phi_L(\omega, x) = e^{-i\omega x} \chi_L(\omega, x)$$

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Warmup: Volterra equations for the wavefunction

Integral solutions

$$\chi_L(\omega, x) = 1 + \int_{-\infty}^x G_0(\omega, x - x') V(x') \chi_L(\omega, x') dx'$$

$$\chi_R(\omega, x) = 1 + \int_x^{\infty} G_0(\omega, x - x') V(x') \chi_R(\omega, x') dx'$$

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$$G_0(\omega, x - x') = \frac{e^{2i\omega(x-x')} - 1}{2i\omega}$$

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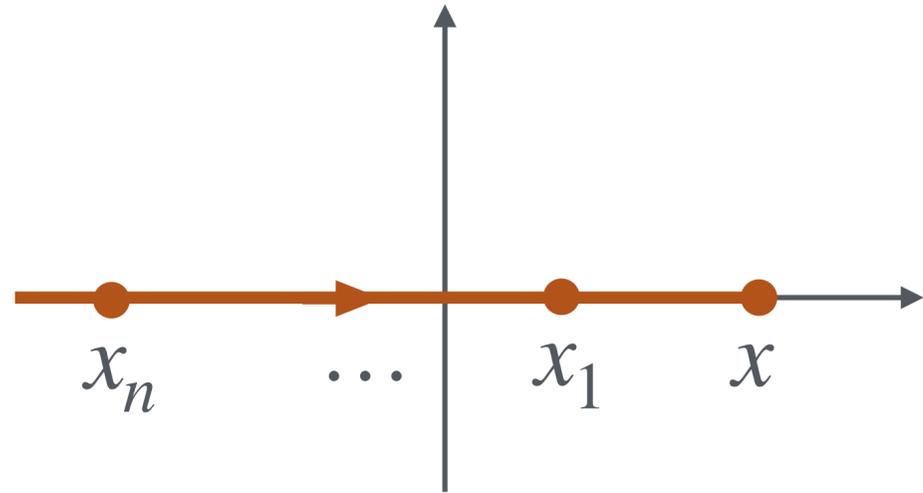
$$\phi_R(\omega, x) = e^{i\omega x} \chi_R(\omega, x)$$

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Solve the Volterra equations iteratively

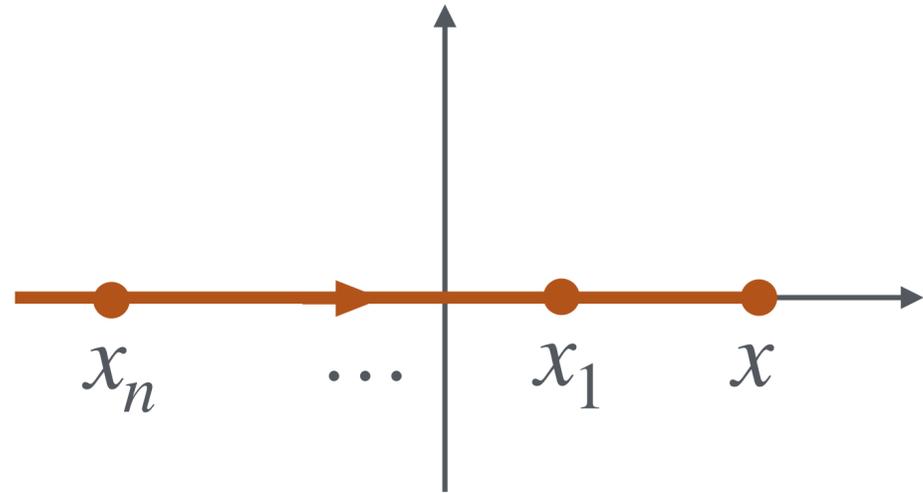
$$\chi_L(\omega, x) = \sum_n \chi_L^{(n)}(\omega, x)$$

Warmup: Volterra equations for the wavefunction



$$\chi_L^{(n)}(\omega, x) = \int_{x > x_1 > \dots > x_n > -\infty} G_0(\omega, x - x_1) \cdots G_0(\omega, x_{n-1} - x_n) V(x_1) \cdots V(x_n) dx_1 \cdots dx_n$$

Warmup: Volterra equations for the wavefunction

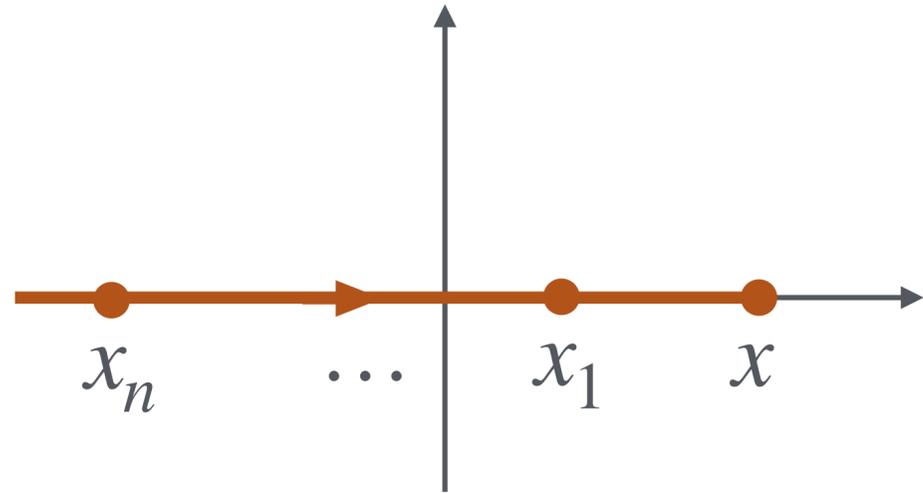


$$|\chi_L^{(n)}(\omega, x)| \leq \int |G_0(\omega, x - x_1)| \cdots |G_0(\omega, x_{n-1} - x_n)| |V(x_1)| \cdots |V(x_n)| dx_1 \cdots dx_n$$

$$x > x_1 > \dots > x_n > -\infty$$

$$|G_0(\omega, x - x')| = \left| \frac{e^{\overbrace{2i\omega(x-x')}^{< 0}} - 1}{2i\omega} \right|$$

Warmup: Volterra equations for the wavefunction



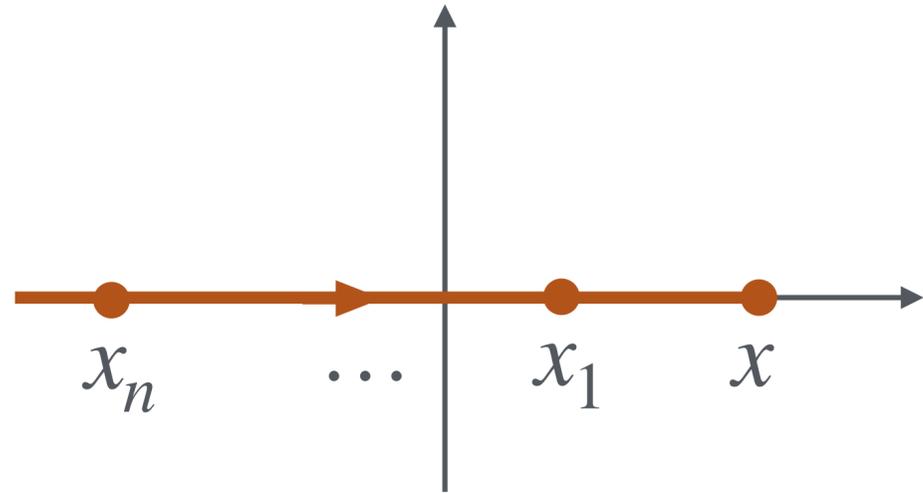
$$|\chi_L^{(n)}(\omega, x)| \leq \int |G_0(\omega, x - x_1)| \cdots |G_0(\omega, x_{n-1} - x_n)| |V(x_1)| \cdots |V(x_n)| dx_1 \cdots dx_n$$

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$$|G_0(\omega, x - x')| = \left| \frac{e^{\overbrace{2i\omega(x-x')}^{< 0}} - 1}{2i\omega} \right| < \frac{1}{|\omega|}$$

$$\text{Im } \omega > 0$$

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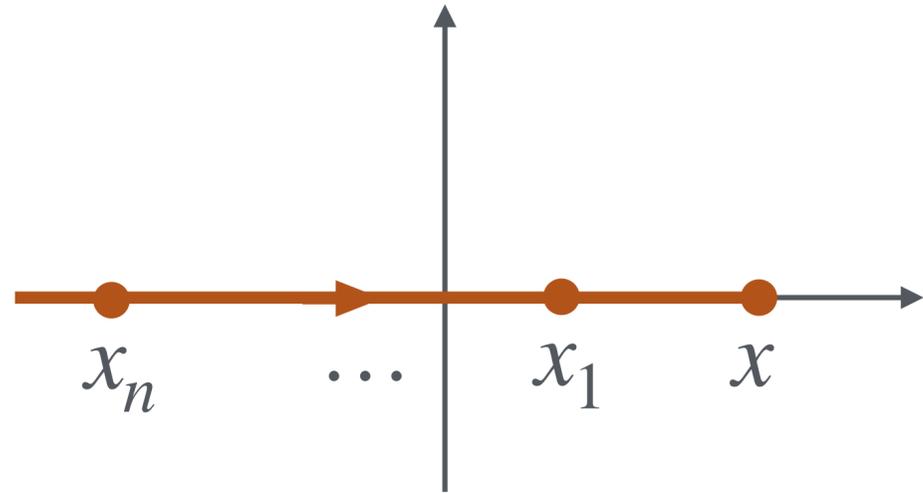
$$x > x_1 > \dots > x_n > -\infty$$

$$\leq \frac{1}{|\omega|^n} \int |V(x_1)| \cdots |V(x_n)| dx_1 \cdots dx_n$$

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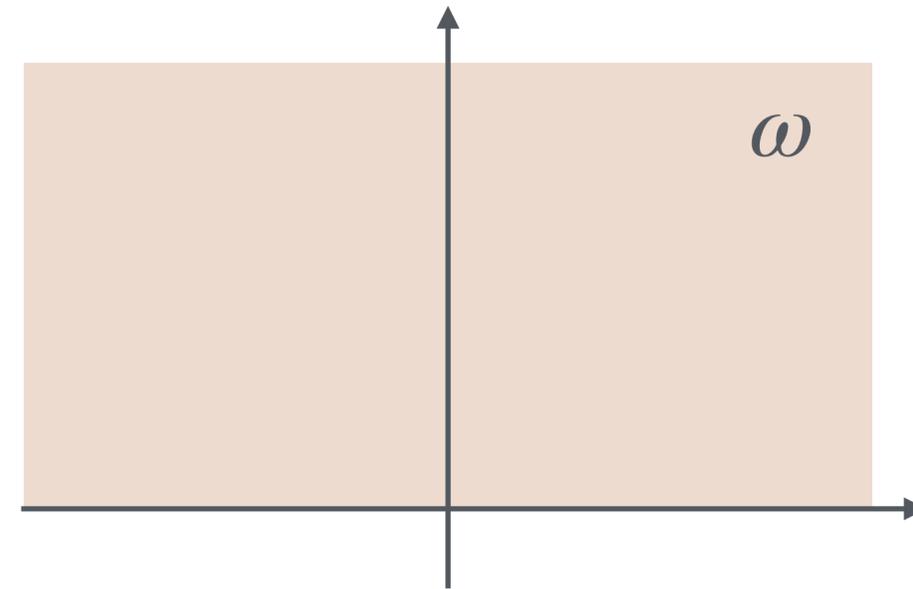
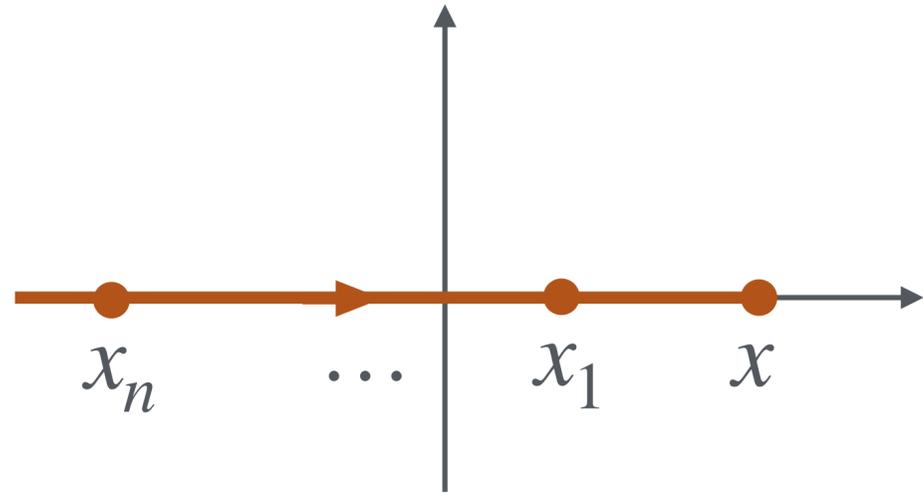
$$x > x_1 > \dots > x_n > -\infty$$

$$\leq \frac{1}{|\omega|^n} \int |V(x_1)| \cdots |V(x_n)| dx_1 \cdots dx_n = \frac{1}{n!} \left[\frac{1}{|\omega|} \int_{-\infty}^x |V(x_1)| dx_1 \right]^n$$

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Warmup: Volterra equations for the wavefunction



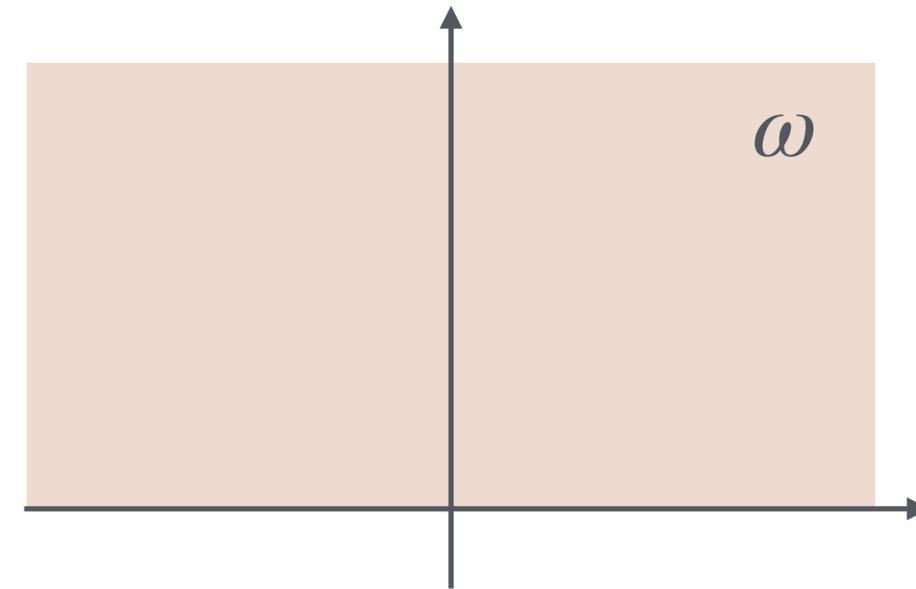
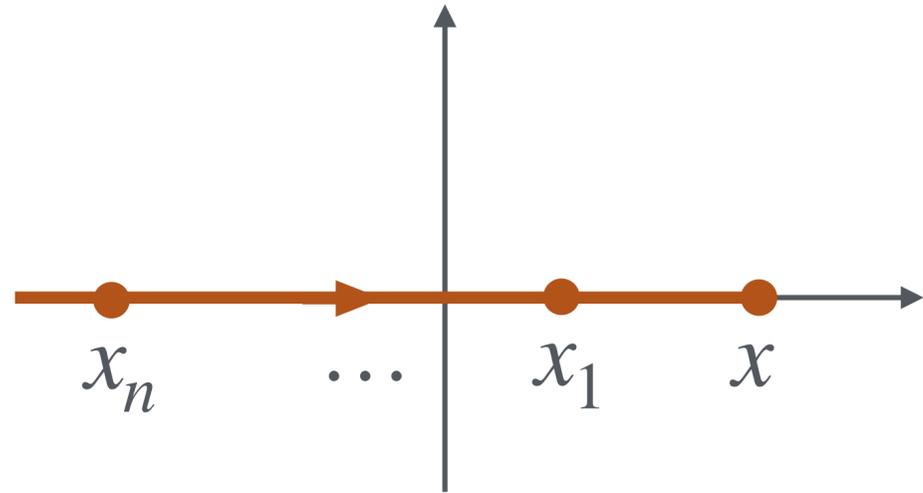
uniform convergence

$$|\chi_L(\omega, x)| = \left| \sum_n \chi_L^{(n)}(\omega, x) \right| \leq e^{\frac{1}{|\omega|} \int_{-\infty}^x |V(x')| dx'}$$



$\chi_L(\omega, x)$ is analytic in the UHP

Warmup: Volterra equations for the wavefunction



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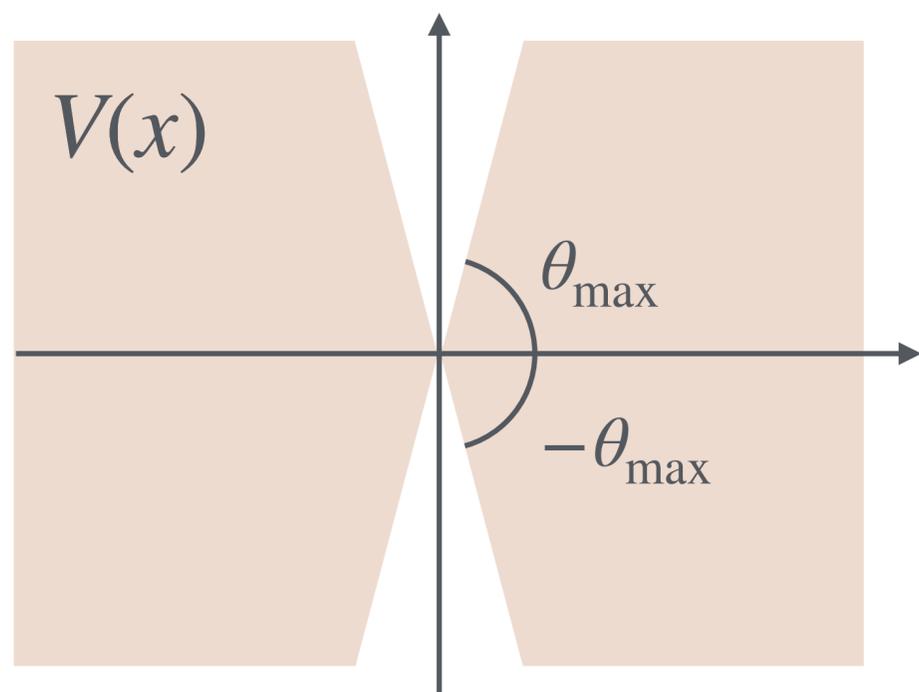
$\chi_L(\omega, x)$ is analytic in the UHP

$G_R(\omega, x)$ is analytic in the UHP

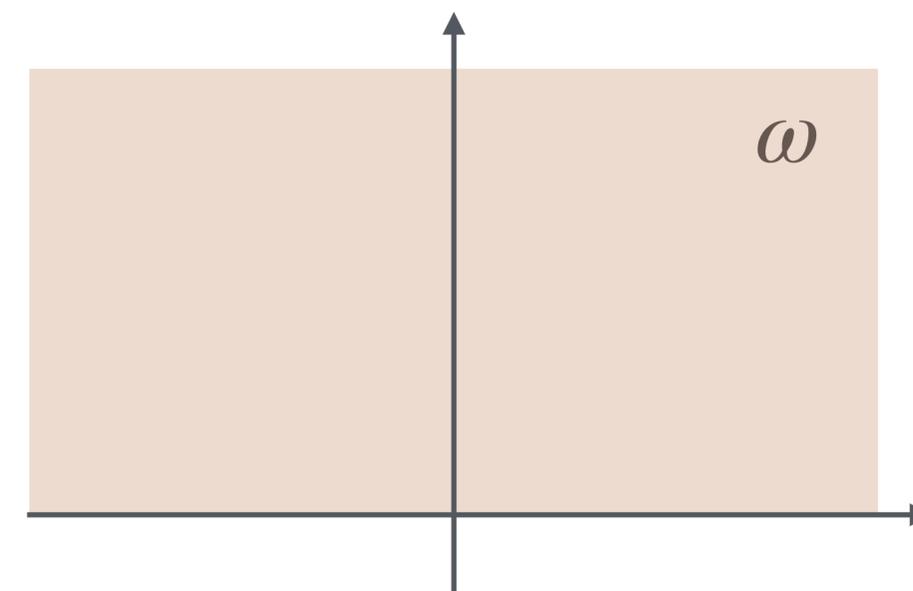
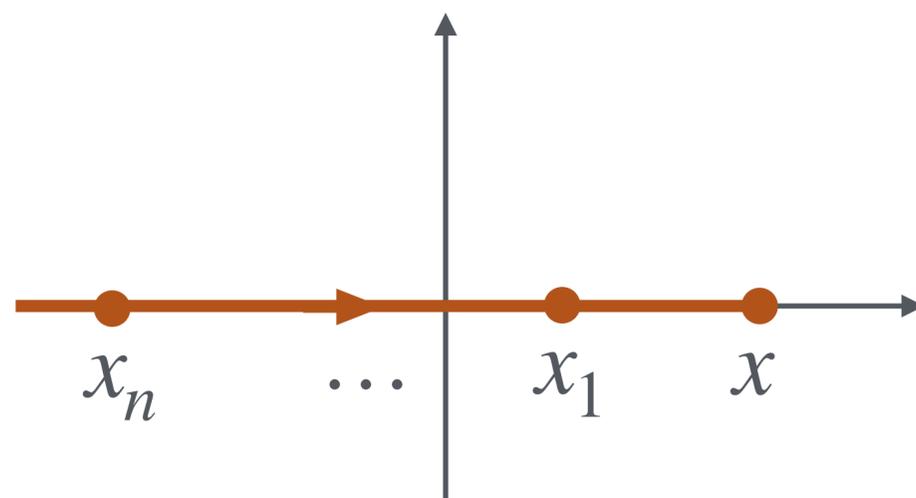
Simplest incarnation of causality

$$G_R(t - t', x) = 0, \quad t - t' < 0$$

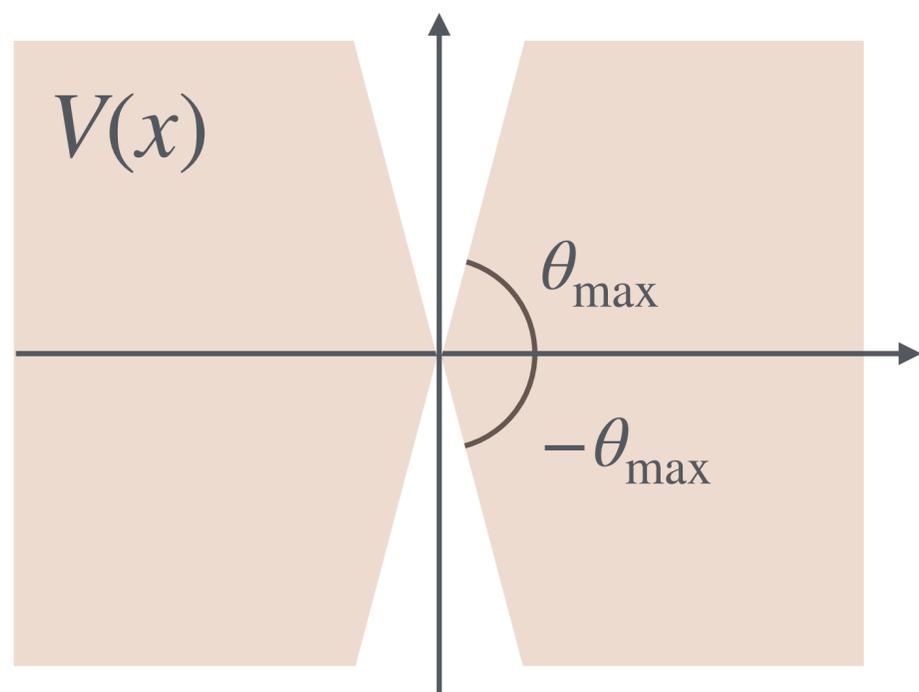
More analyticity from the potential



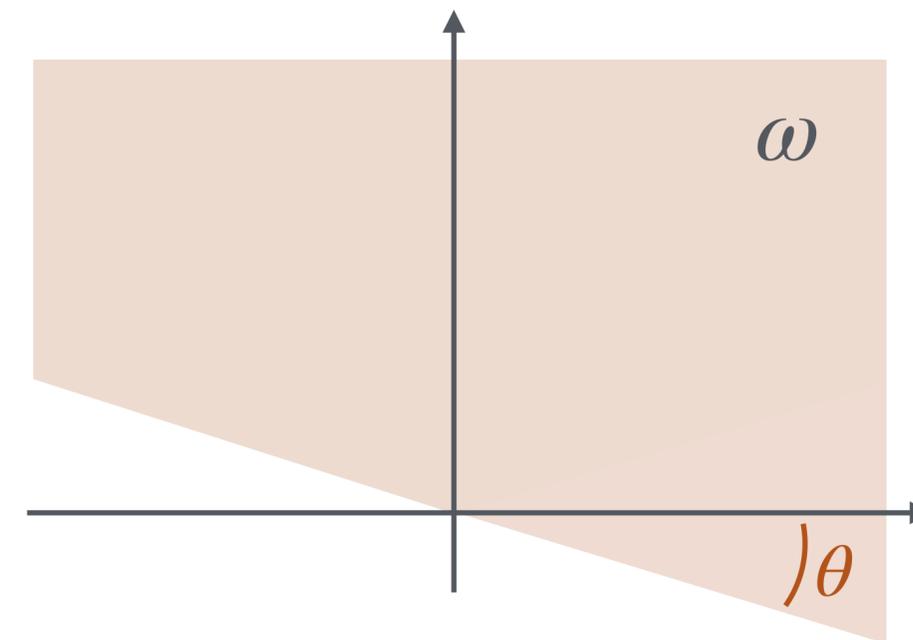
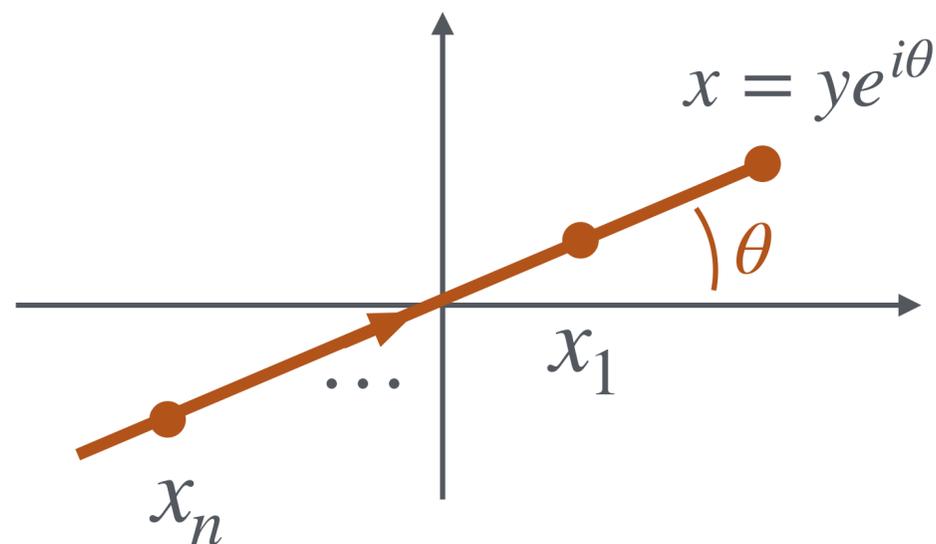
$$V(x \rightarrow \infty) \lesssim \frac{1}{|x|}$$



More analyticity from the potential



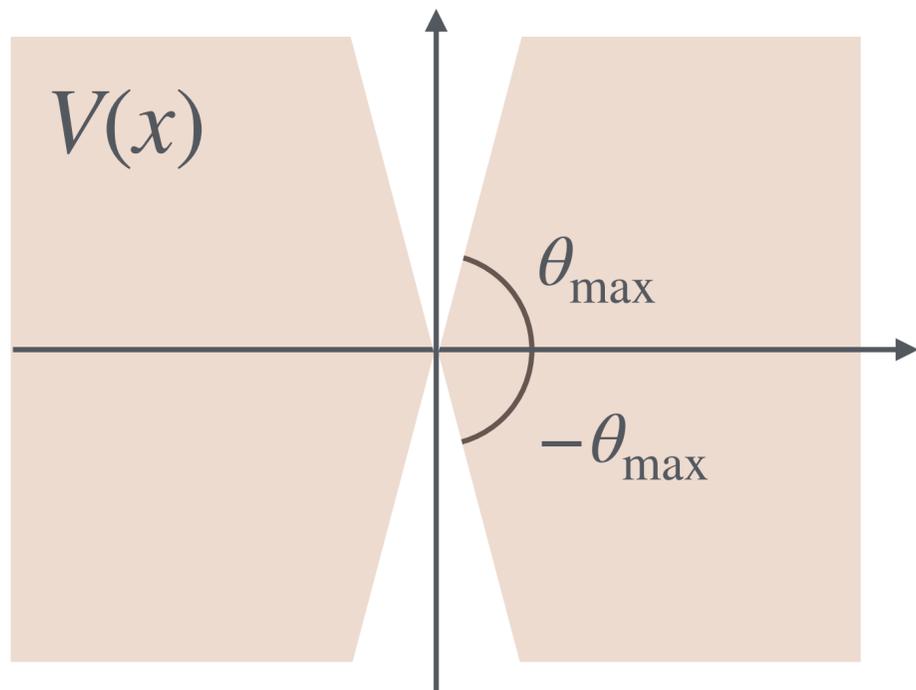
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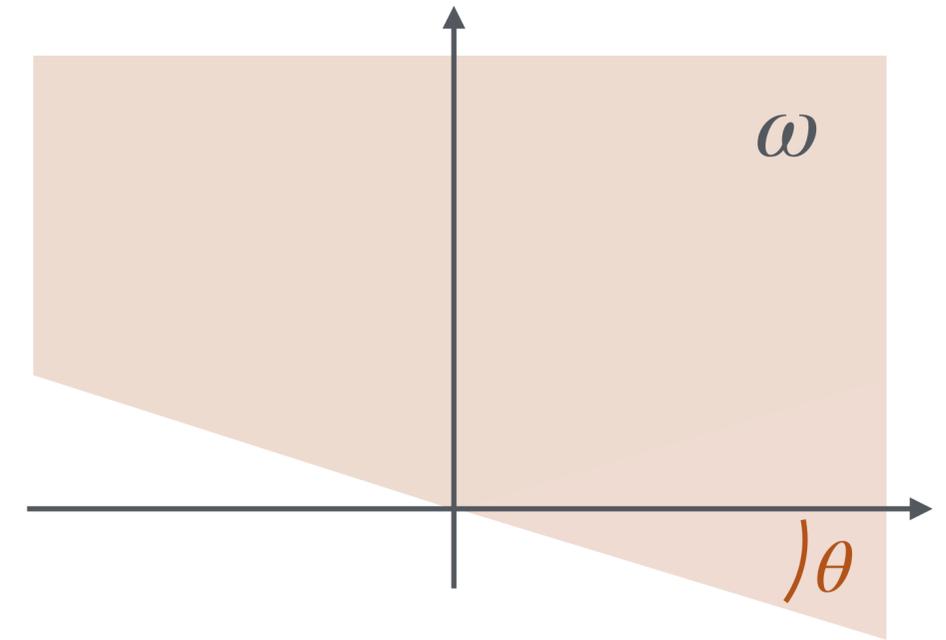
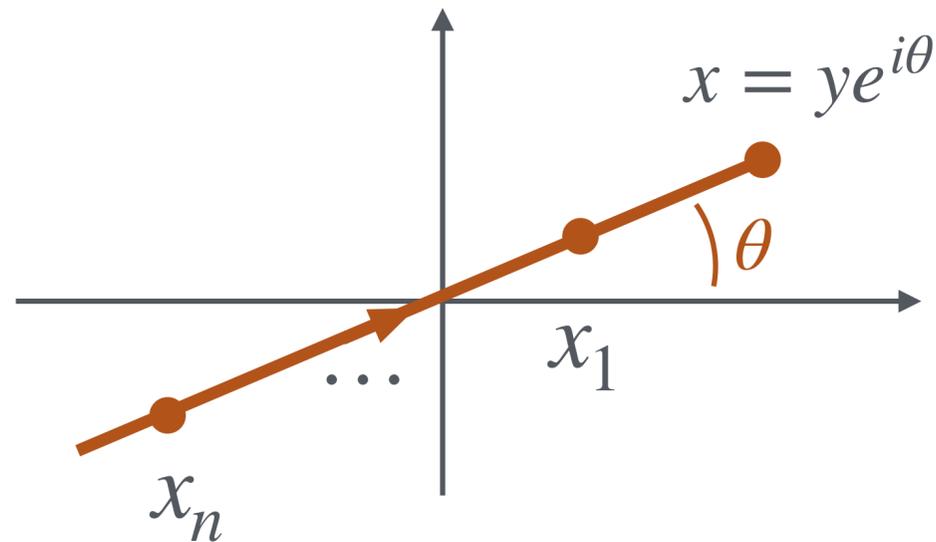
$$|\chi_L(\omega, ye^{i\theta})| = \left| \sum_n \chi_L^{(n)}(\omega, ye^{i\theta}) \right| \leq e^{\frac{1}{|\omega|} \int_{-\infty}^y |V(e^{i\theta} y')| dy'}$$

$$\text{Im } e^{i\theta} \omega > 0$$

More analyticity from the potential

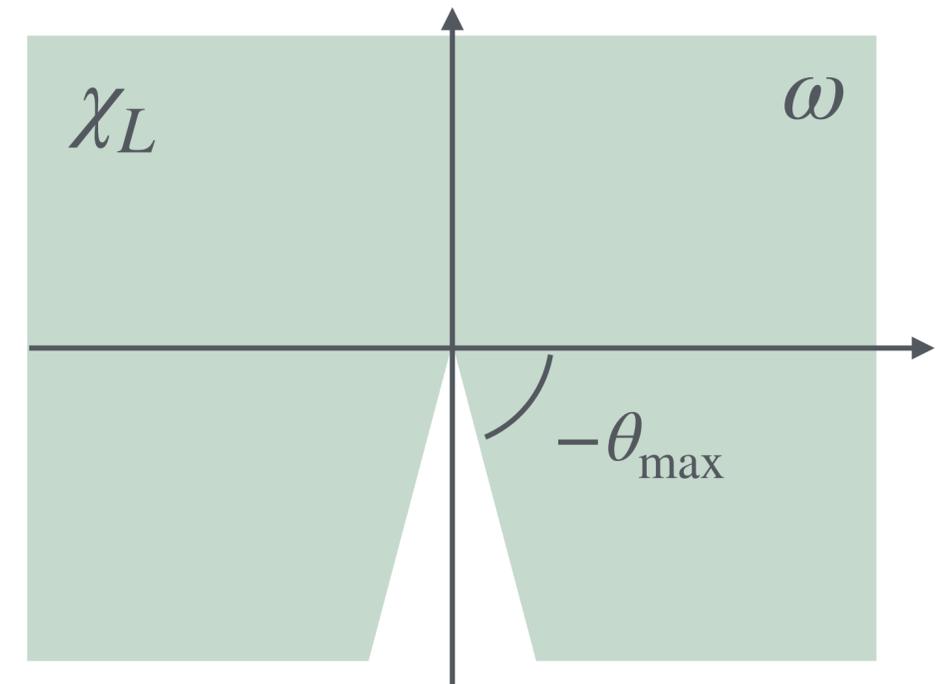


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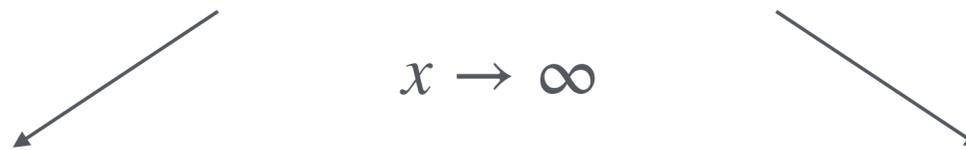
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Analyticity of connection coefficients

$$\phi_L(\omega, x) = e^{-i\omega x} + \frac{1}{2i\omega} \int_{-\infty}^x [e^{i\omega(x-x')} - e^{i\omega(x'-x)}] V(x') \phi_L(\omega, x') dx'$$

$x \rightarrow \infty$



$$A_{\text{in}}(\omega) = 1 - \frac{1}{2i\omega} \int_{-\infty}^{\infty} V(x') \chi_L(\omega, x') dx'$$

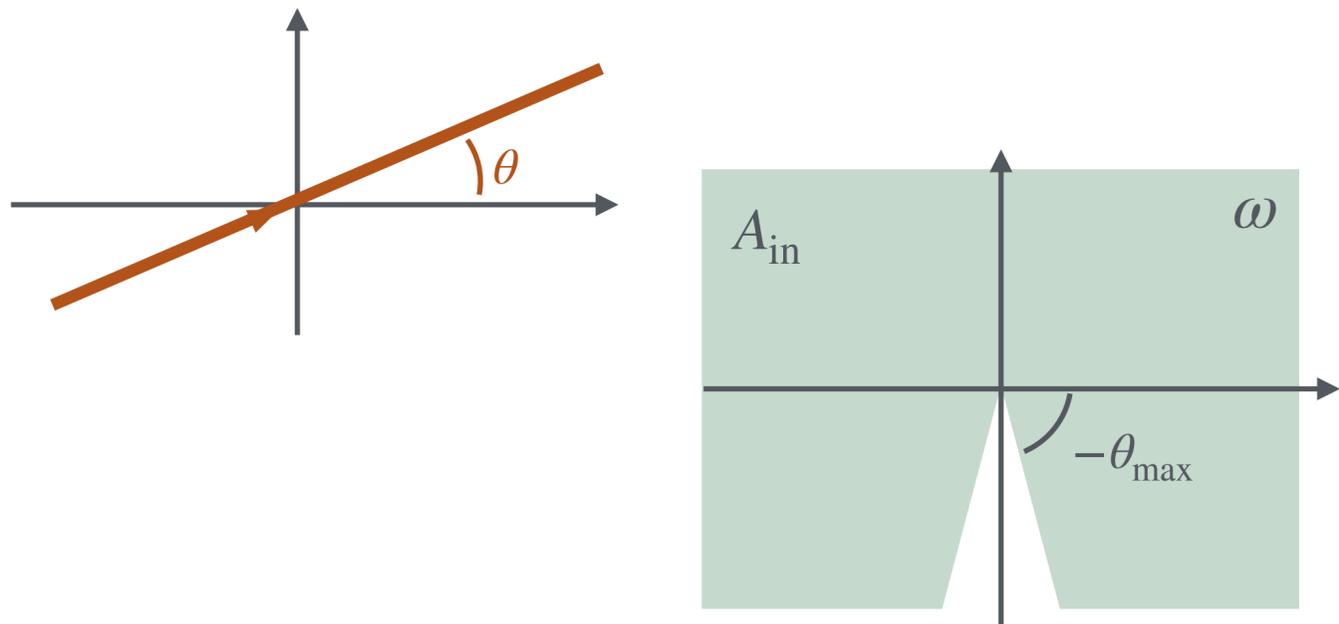
$$A_{\text{out}}(\omega) = \frac{1}{2i\omega} \int_{-\infty}^{\infty} e^{-2i\omega x'} V(x') \chi_L(\omega, x') dx'$$

Analyticity of connection coefficients

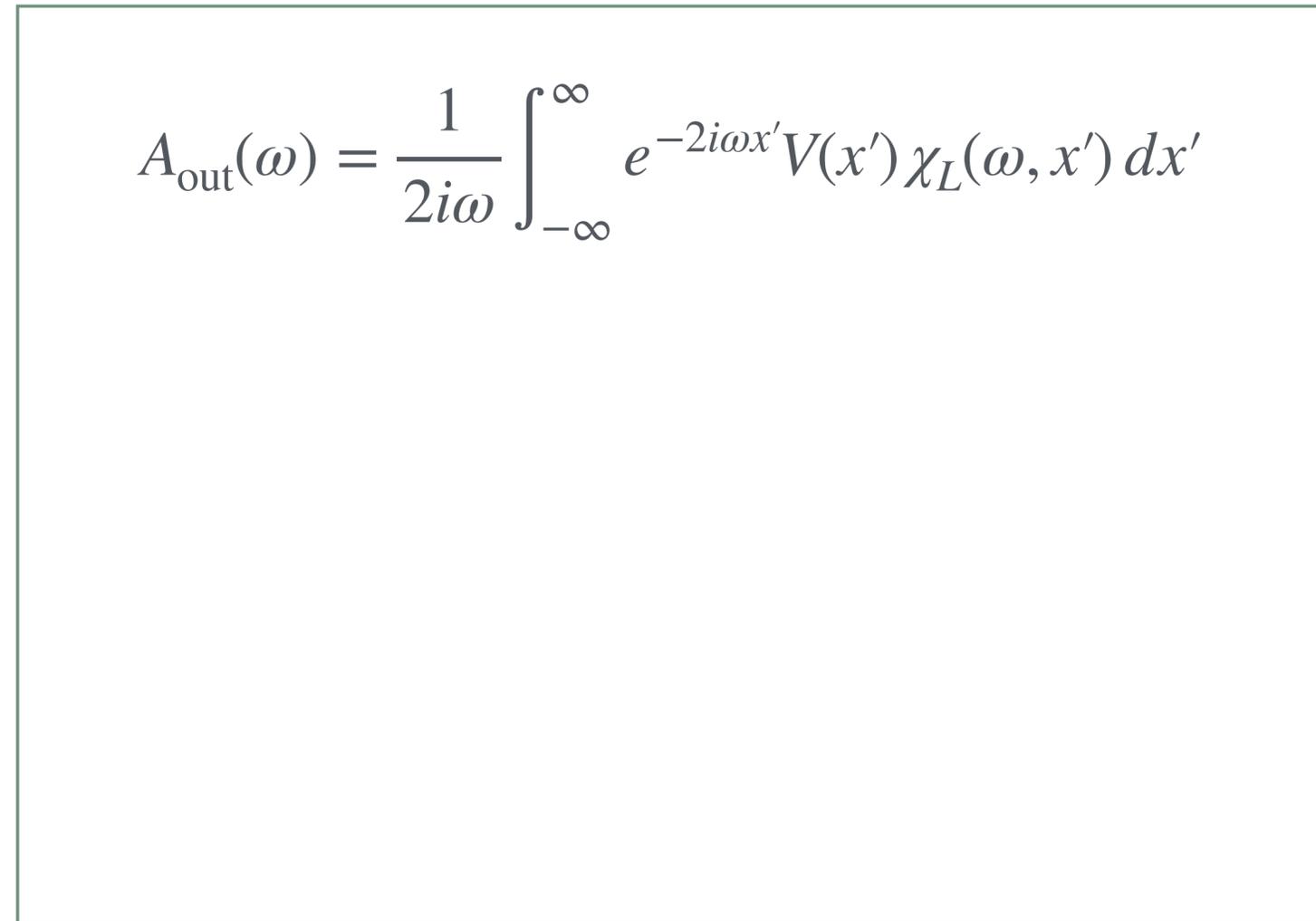
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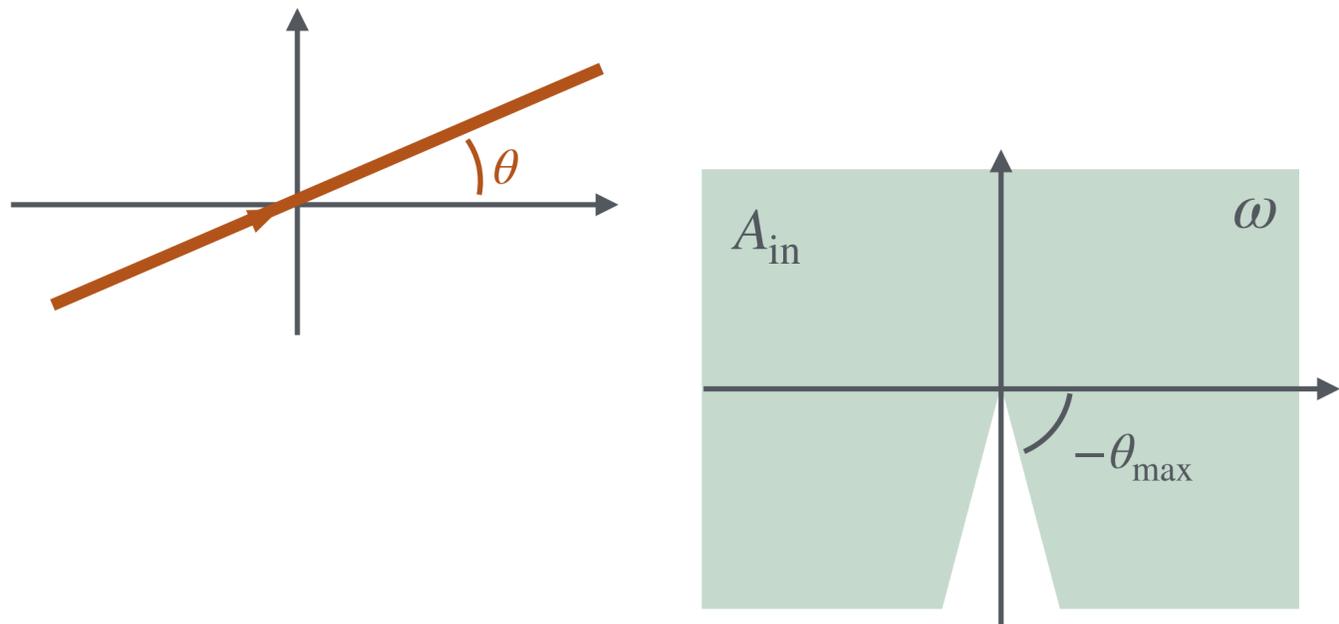


Analyticity of connection coefficients

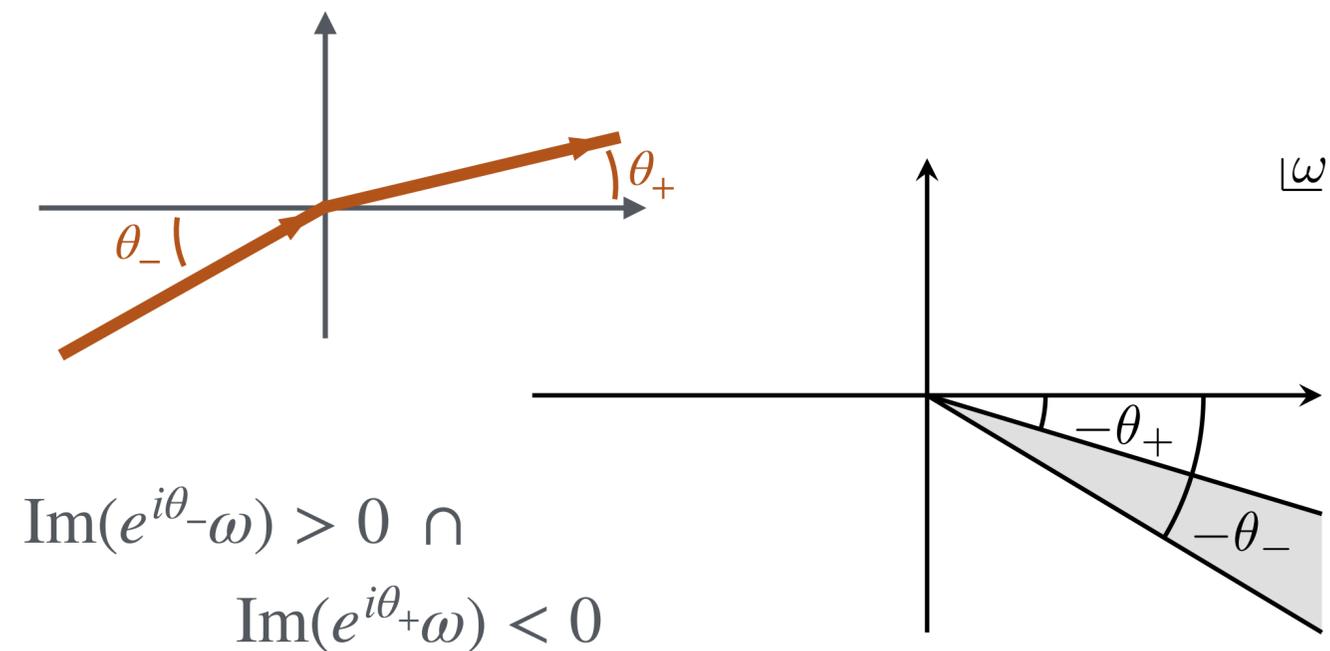
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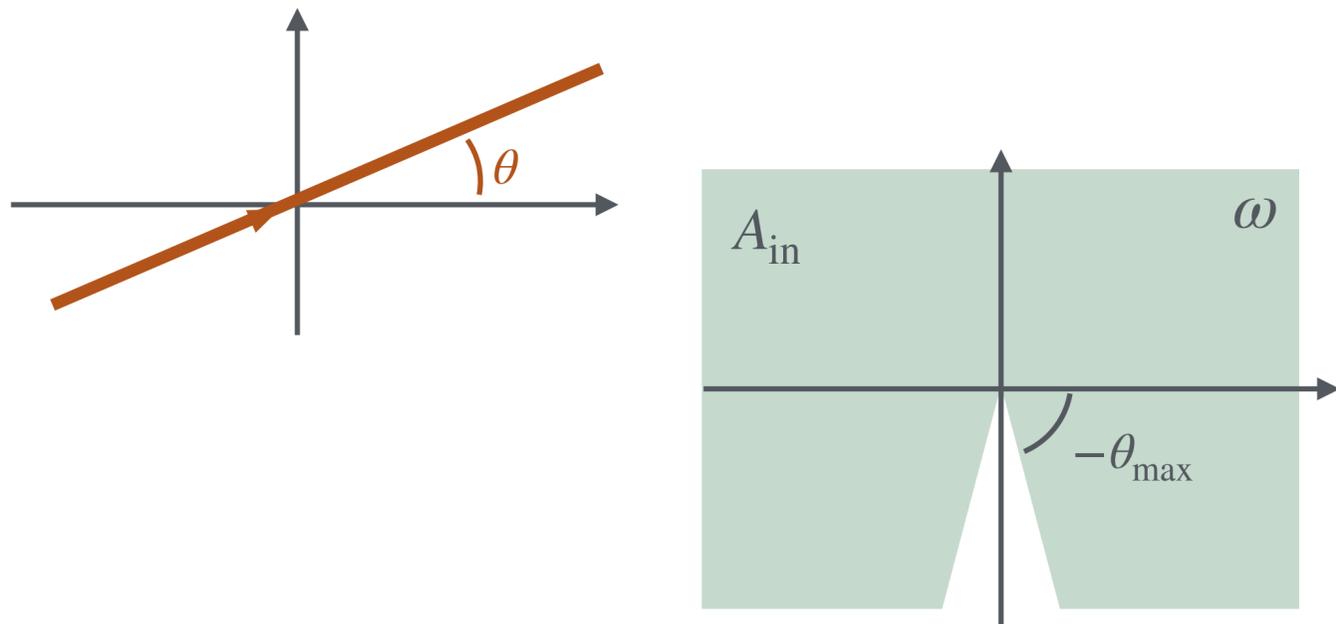


Analyticity of connection coefficients

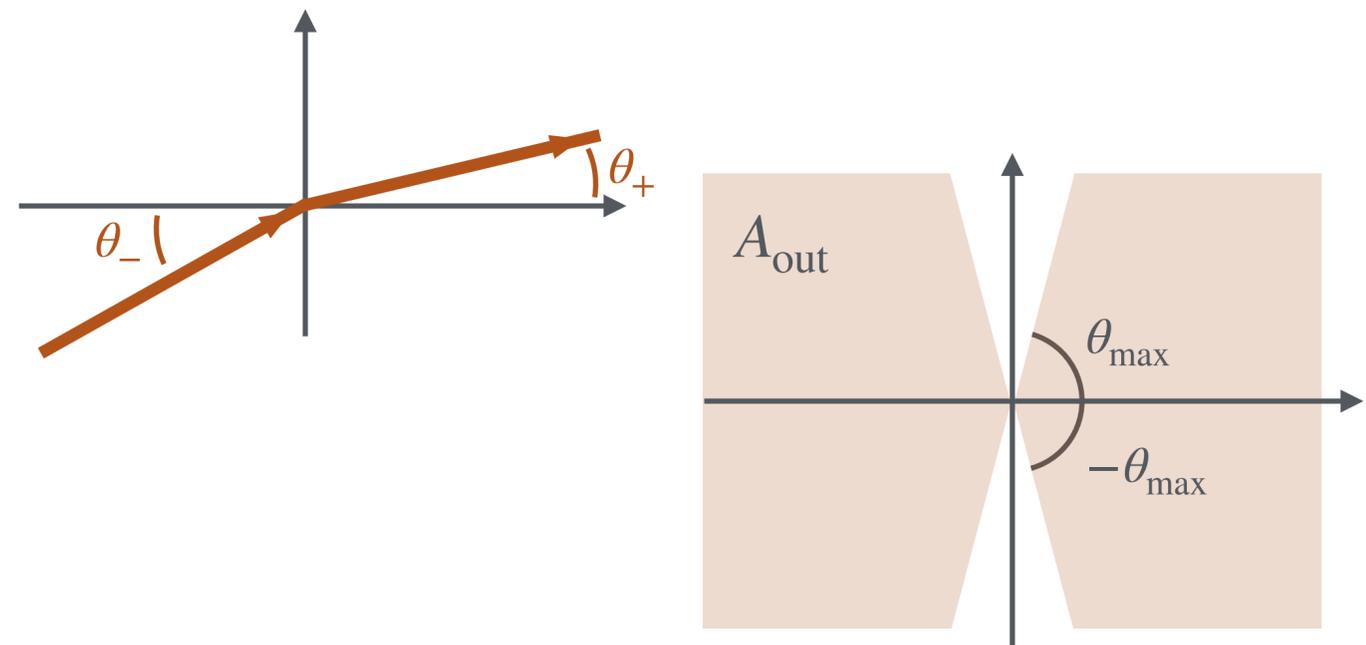
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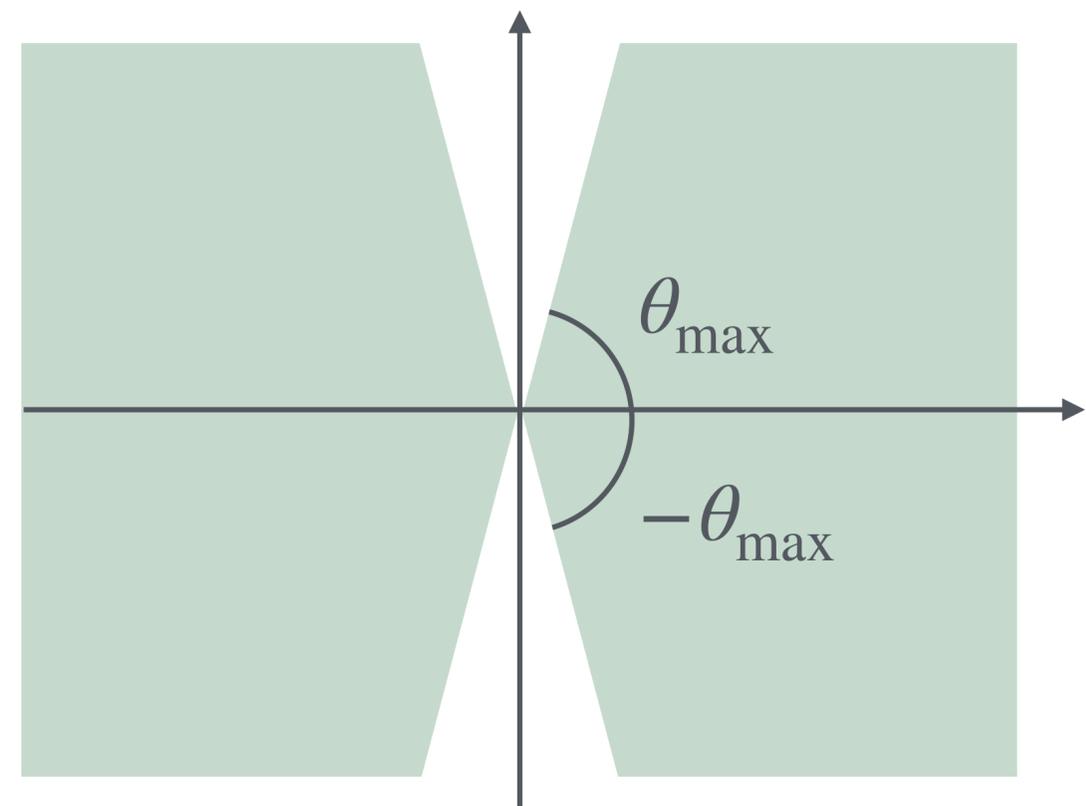
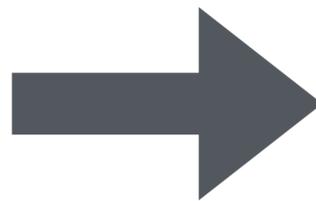
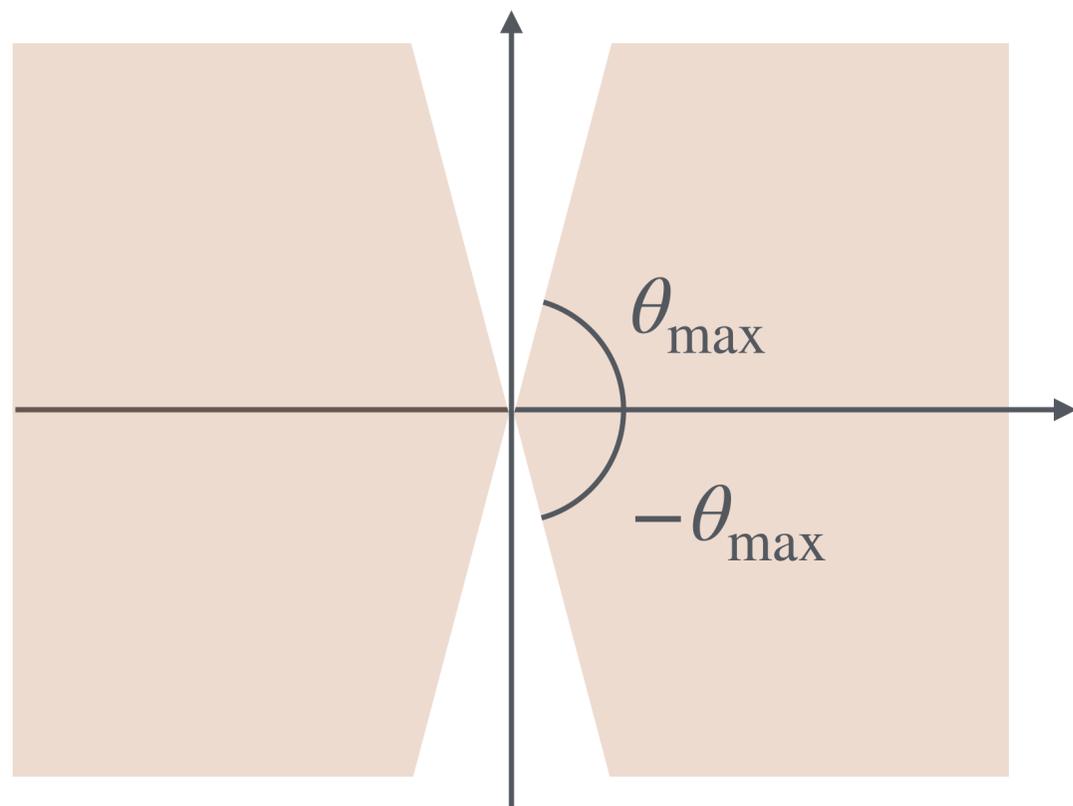
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Analyticity of the S-matrix

$$V(x \rightarrow \infty) \lesssim \frac{1}{|x|}$$

$$S_\ell(\omega) = -\frac{A_{\text{out}}(\omega)}{A_{\text{in}}(\omega)}$$

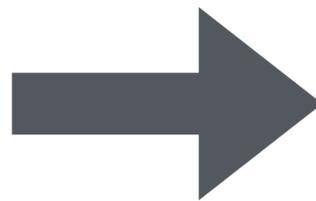
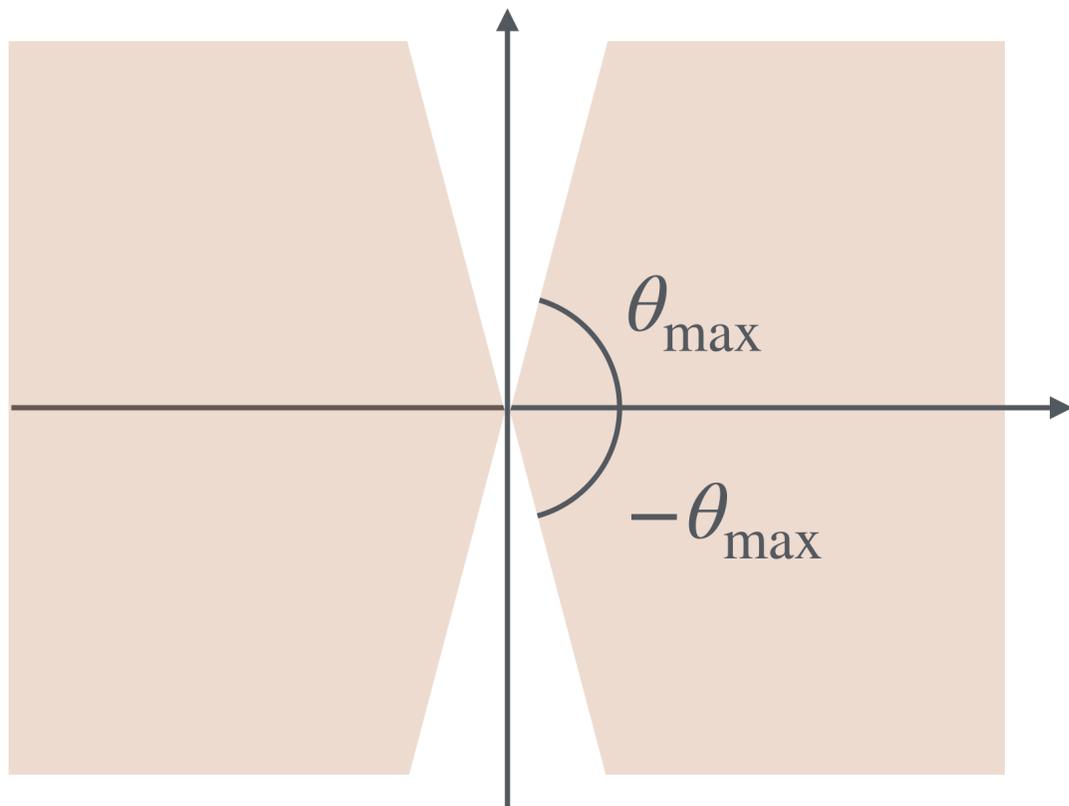


Meromorphic

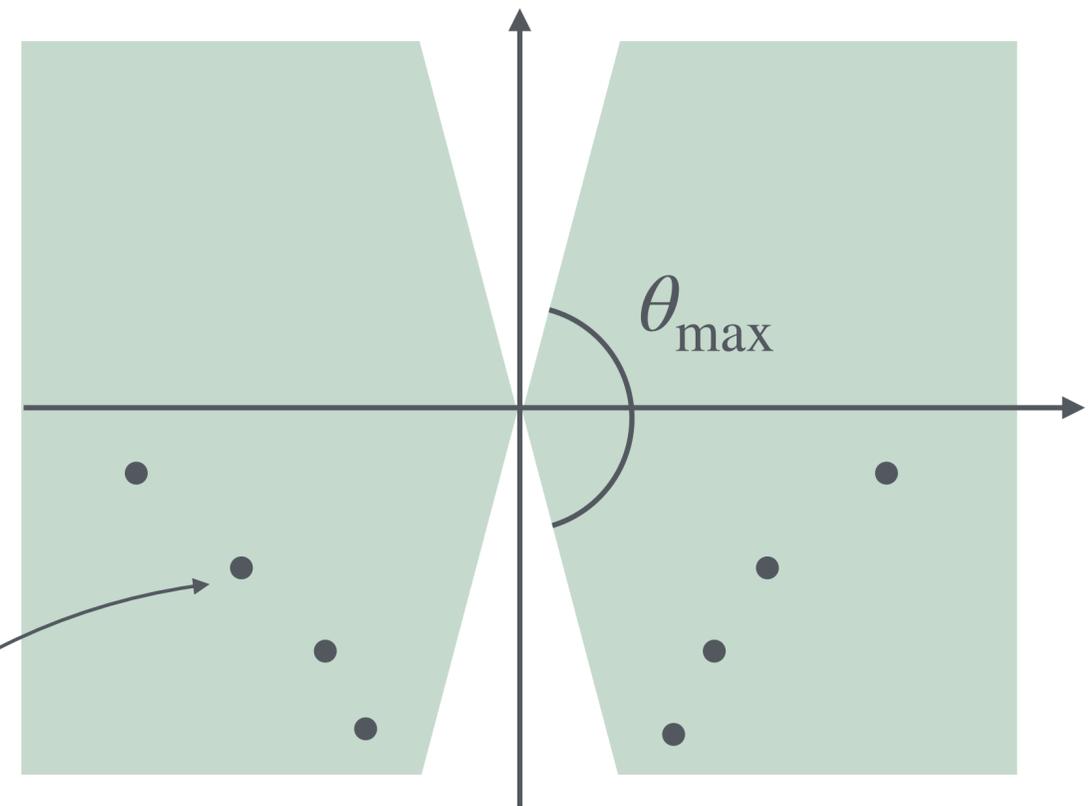
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Quasi-normal
modes (QNMs)



Meromorphic $A_{\text{in}} = 0$

Analyticity of the Black Hole S-matrix

The Schwarzschild potential

Regge-Wheeler: $\partial_\mu \left(\sqrt{-g} g^{\mu\nu} \partial_\nu \phi \right) = 0$

Tortoise coordinates: $x = r + R_s \log \left(\frac{r}{R_s} - 1 \right)$



$$\left[\frac{d^2}{dx^2} + \omega^2 - V(x) \right] \phi(\omega, x) = 0$$

Angular momentum

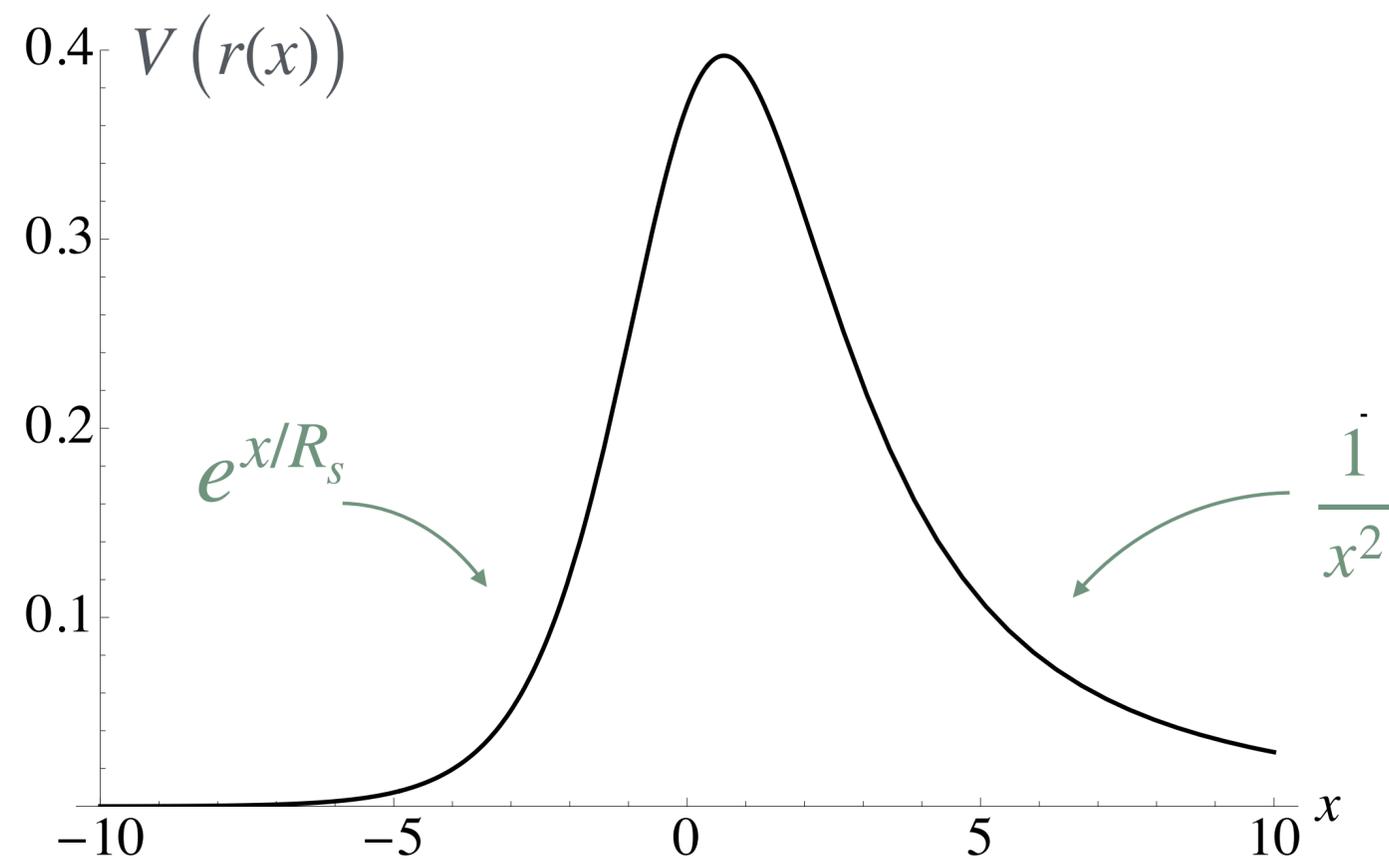
$$V(r(x)) = \left(1 - \frac{R_s}{r} \right) \left(\frac{\ell(\ell + 1)}{r^2} + \frac{R_s}{r^3} (1 - s^2) \right)$$

Spin of the
scattered wave

The Schwarzschild potential

$$V(r(x)) = \left(1 - \frac{R_s}{r}\right) \left(\frac{\ell(\ell + 1)}{r^2} + \frac{R_s}{r^3}(1 - s^2)\right)$$

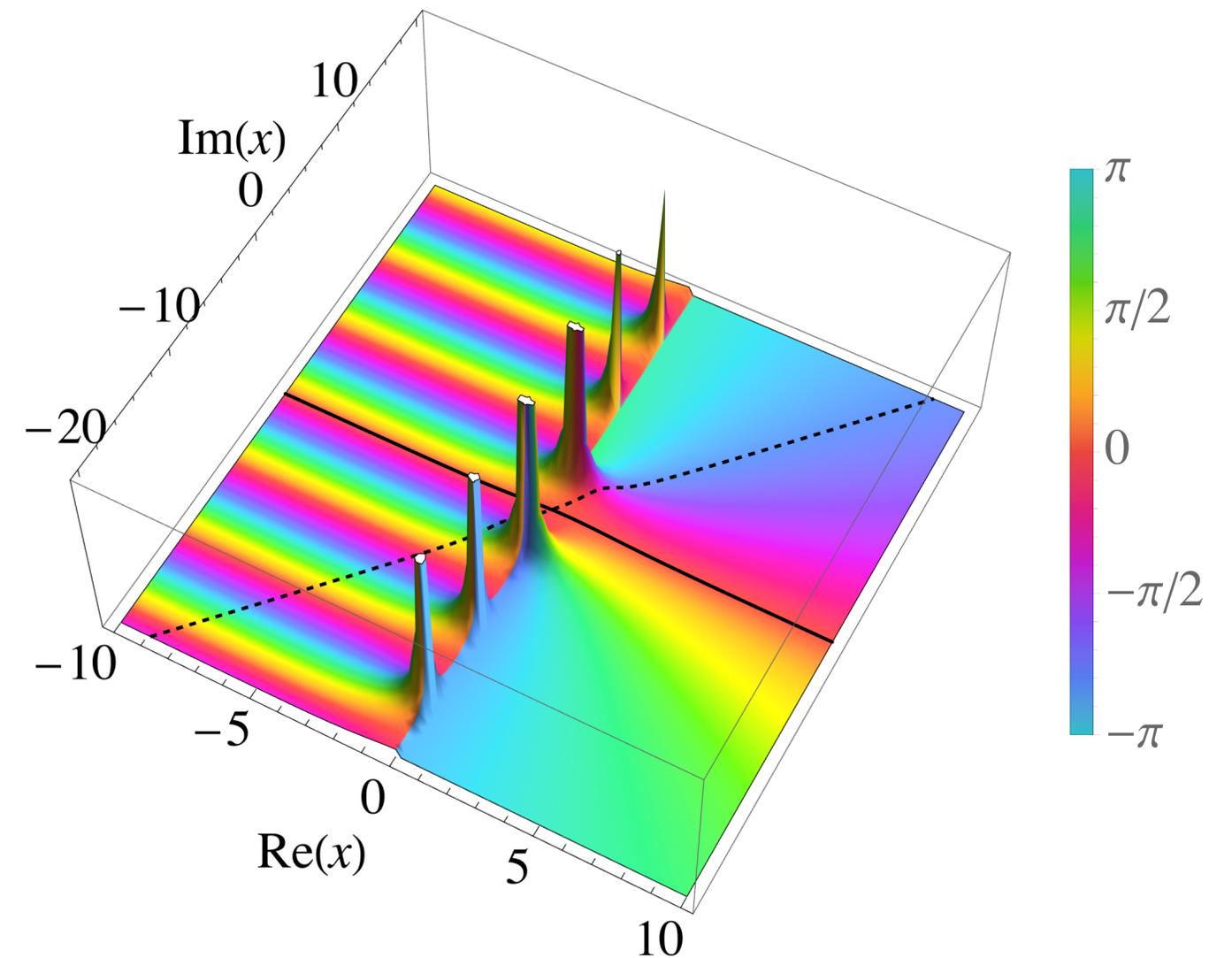
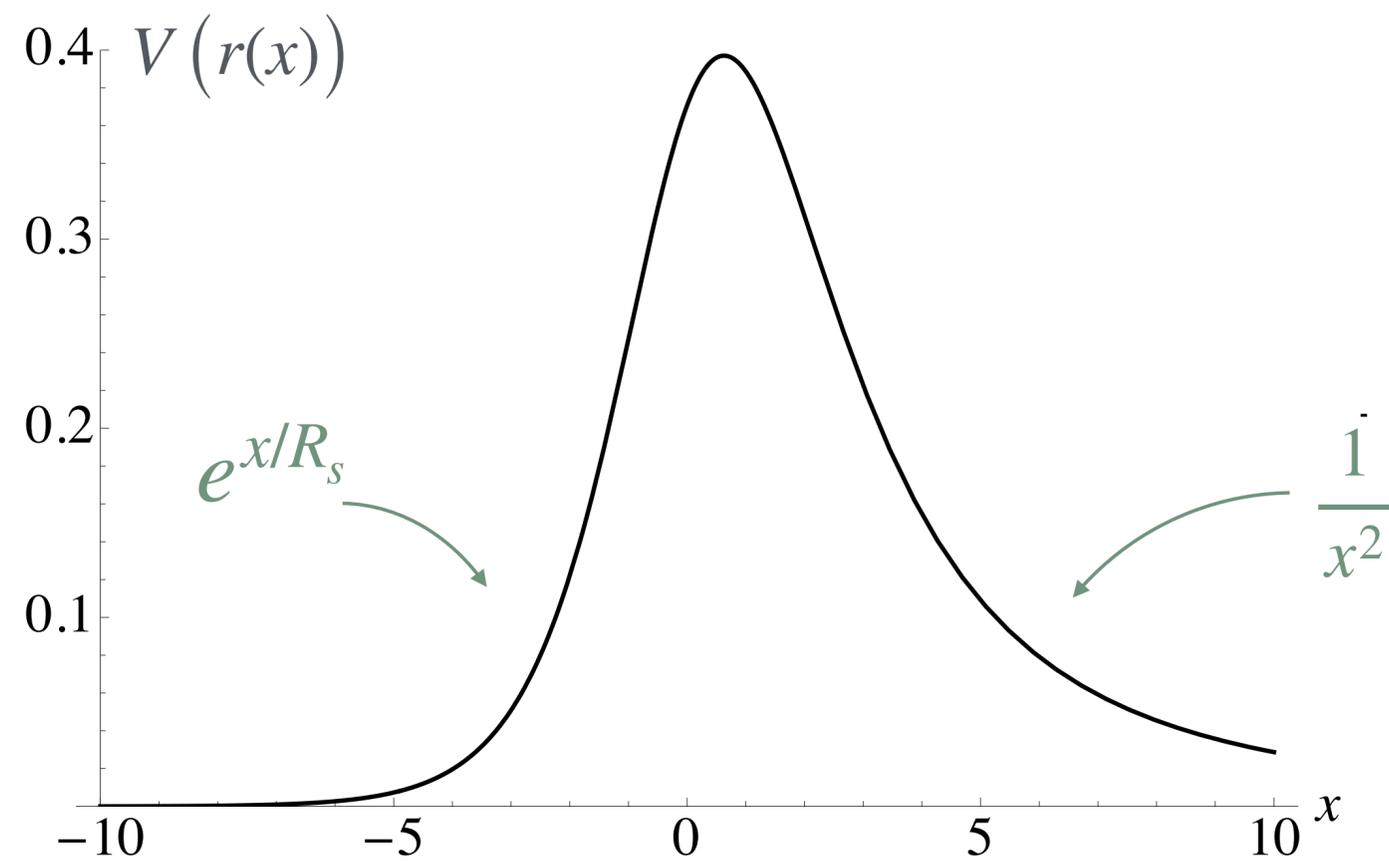
$$x = r + R_s \log\left(\frac{r}{R_s} - 1\right)$$



The Schwarzschild potential

$$V(r(x)) = \left(1 - \frac{R_s}{r}\right) \left(\frac{\ell(\ell+1)}{r^2} + \frac{R_s}{r^3}(1 - s^2)\right)$$

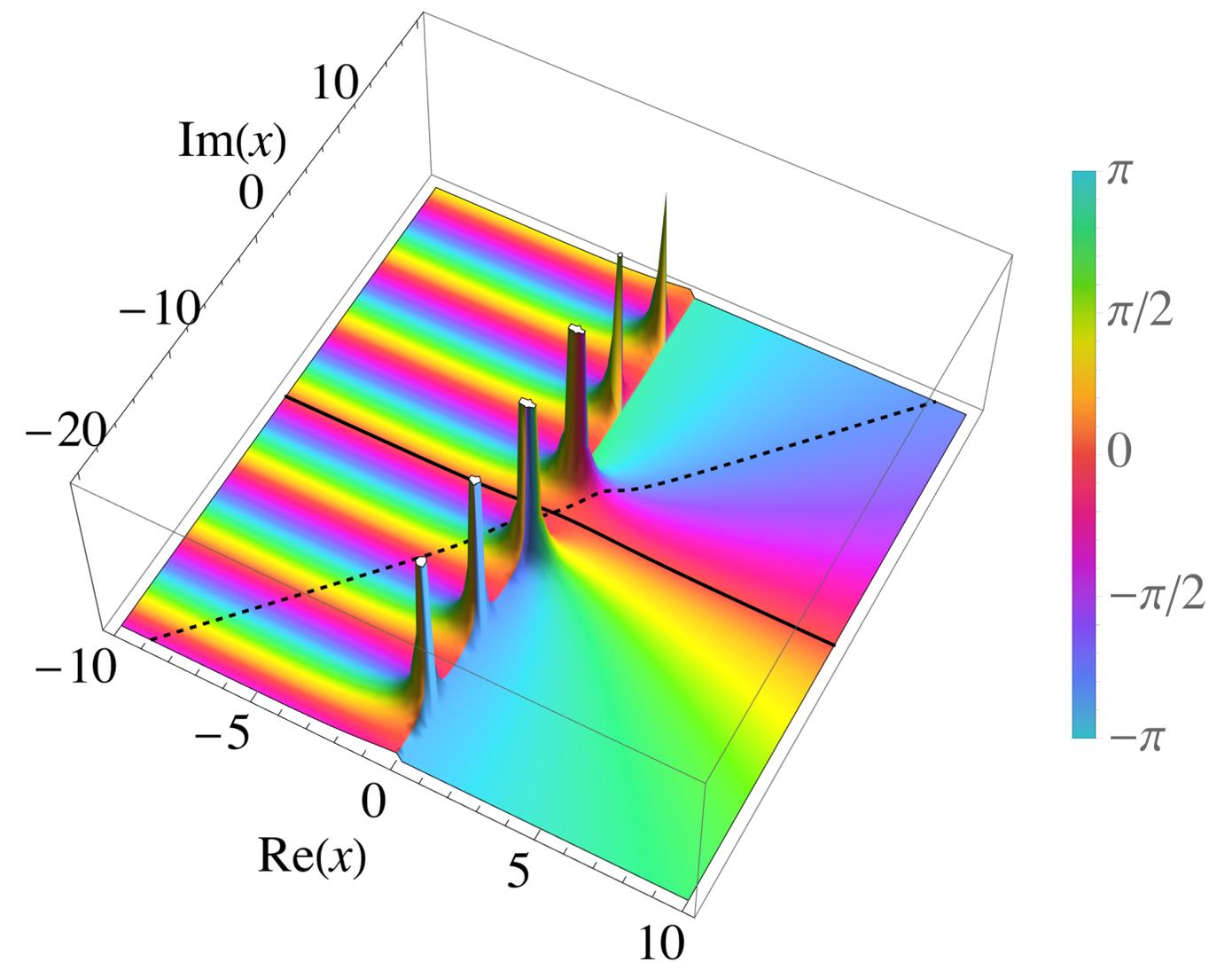
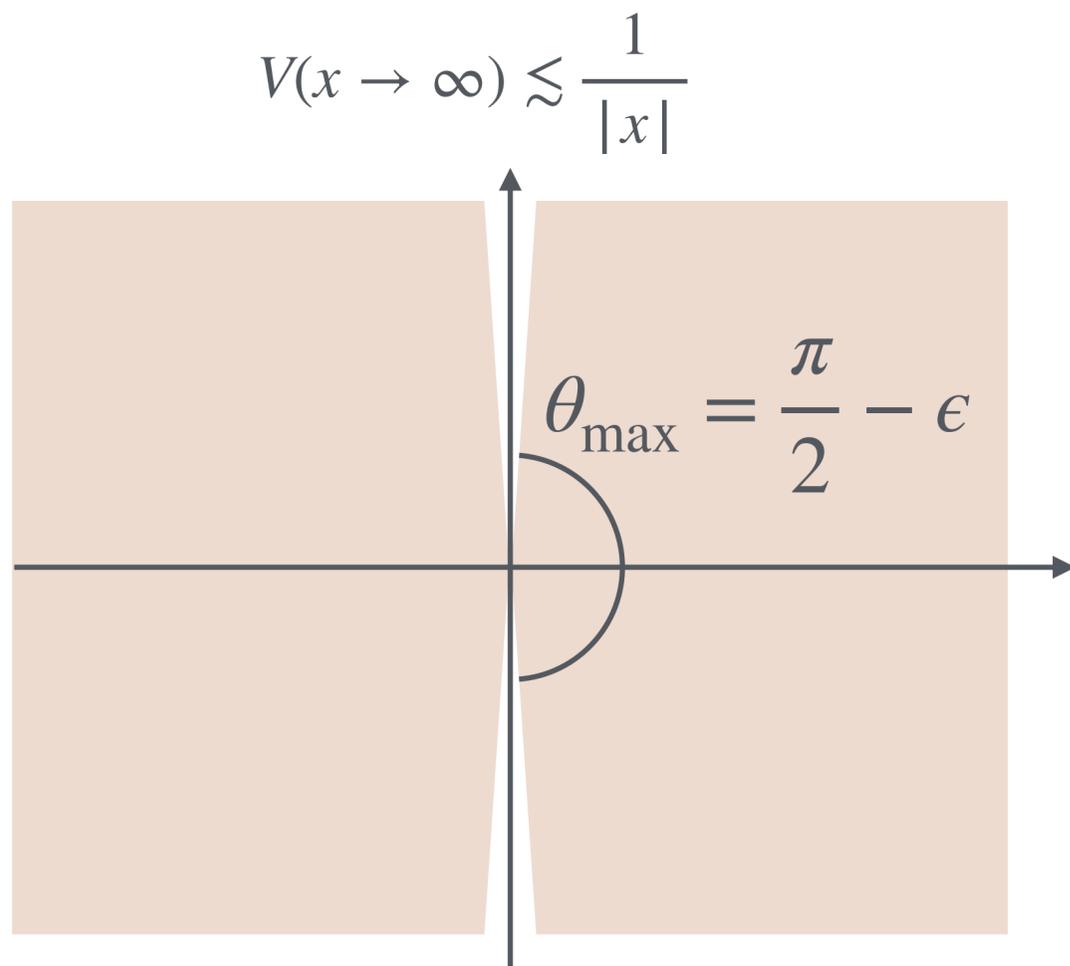
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The Schwarzschild potential

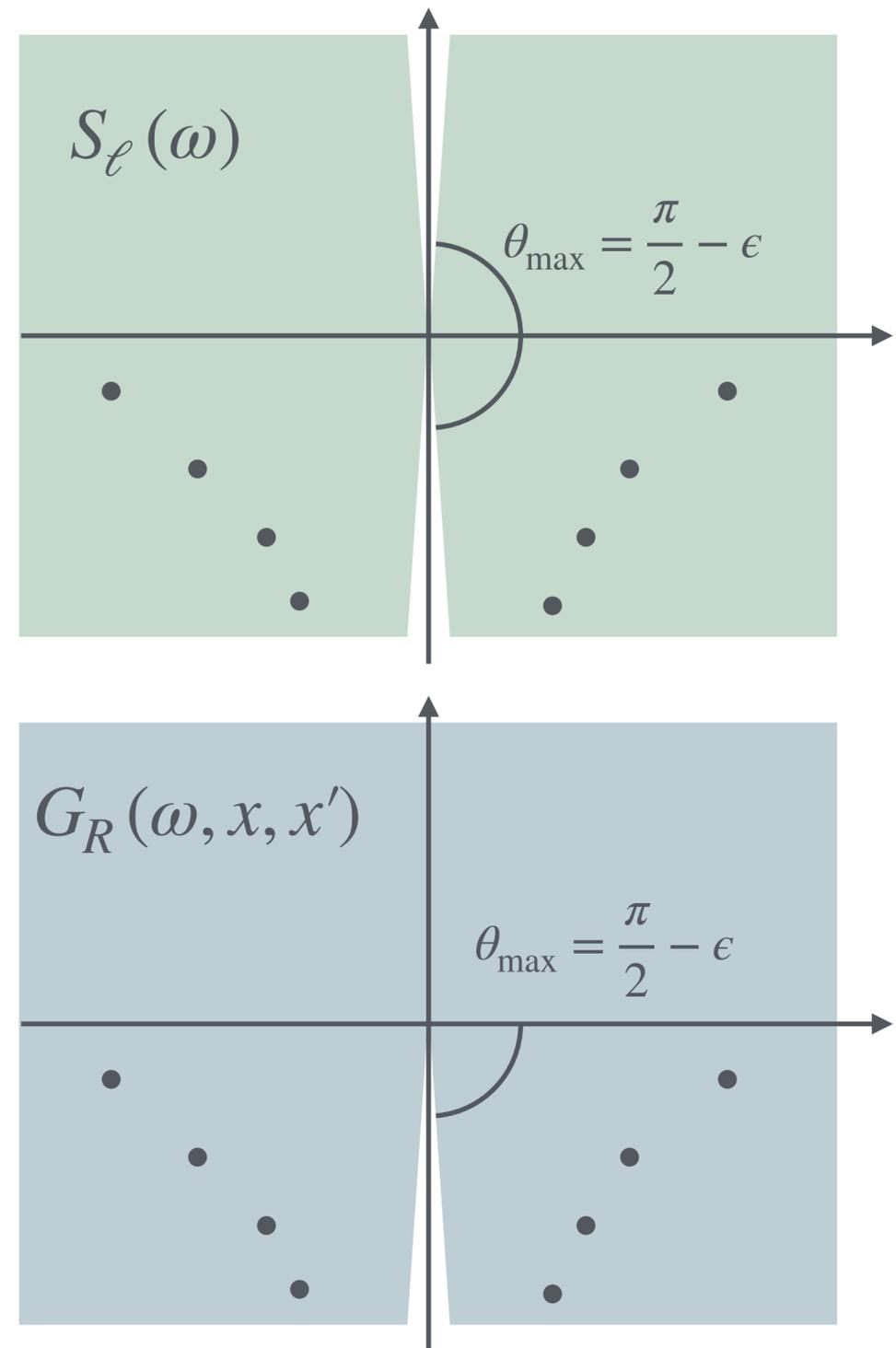
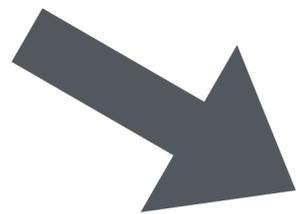
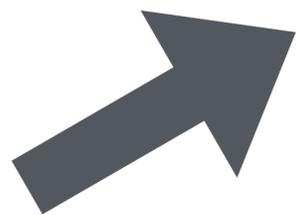
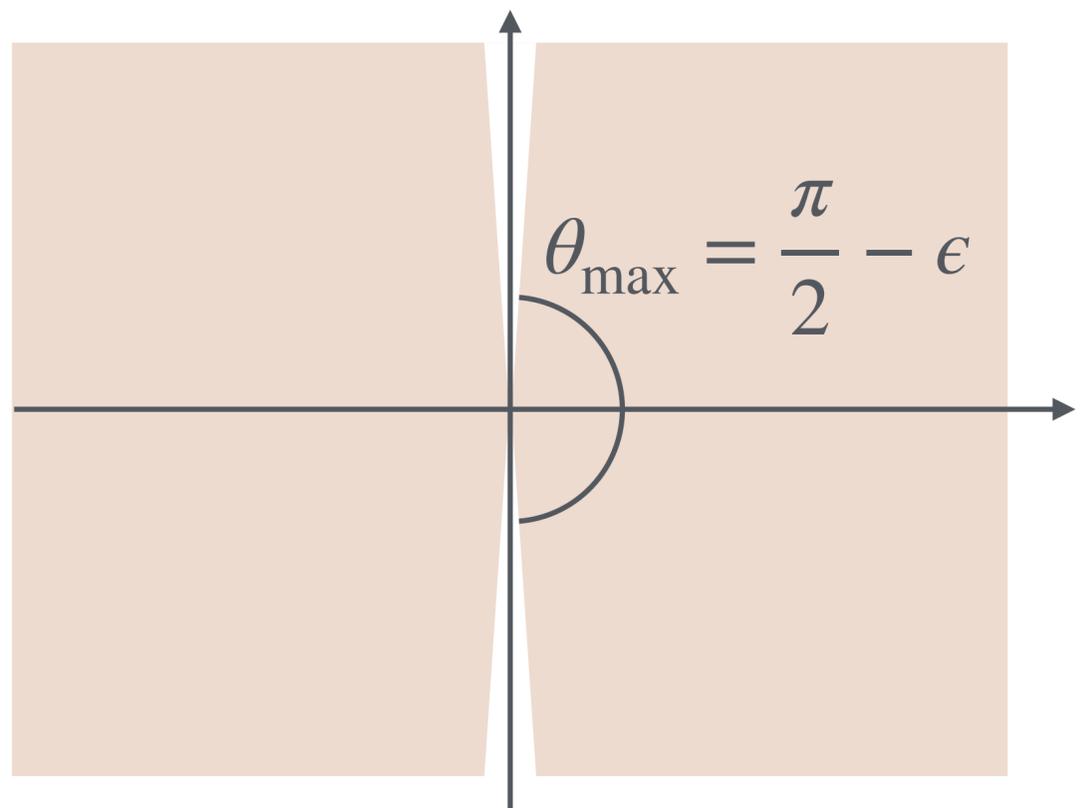
$$V(r(x)) = \left(1 - \frac{R_s}{r}\right) \left(\frac{\ell(\ell + 1)}{r^2} + \frac{R_s}{r^3}(1 - s^2)\right)$$

$$x = r + R_s \log\left(\frac{r}{R_s} - 1\right)$$



Proven analyticity of the Black Hole amplitude

$$V(x \rightarrow \infty) \lesssim \frac{1}{|x|}$$

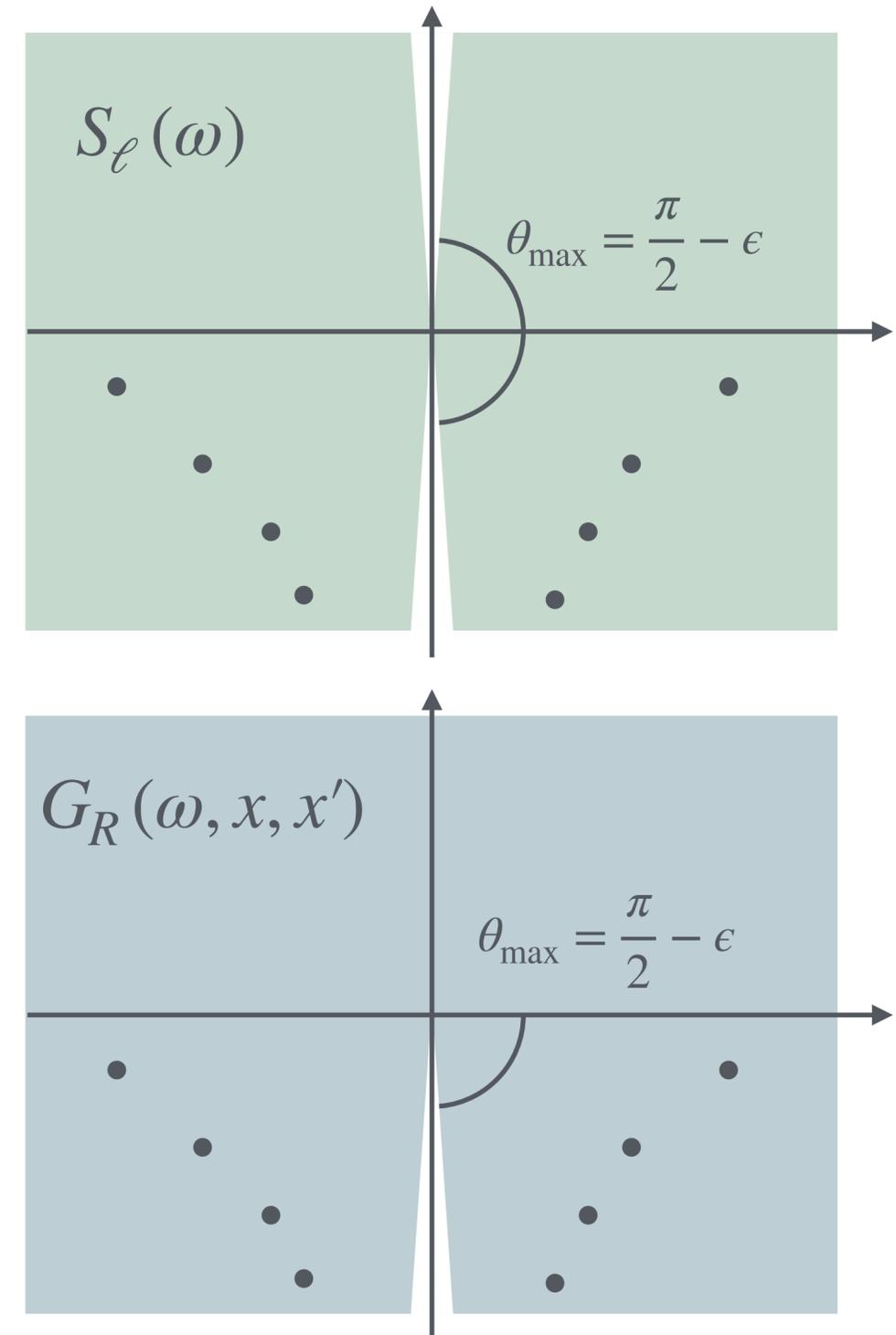


Proven analyticity of the Black Hole amplitude

- Explore solvable regimes
 - Small frequency expansion
 - High frequency limit
 - Newtonian potential

- Consistency with

$$G_R(\omega, x, x') \xrightarrow{x, x' \rightarrow \infty} -\frac{1}{2i\omega} \left[e^{i\omega(x-x')} - S_\ell(\omega) e^{i\omega(x+x')} \right]$$



Explicit solutions

Small frequency expansion

$$S_\ell = e^{2i\delta_\ell}$$

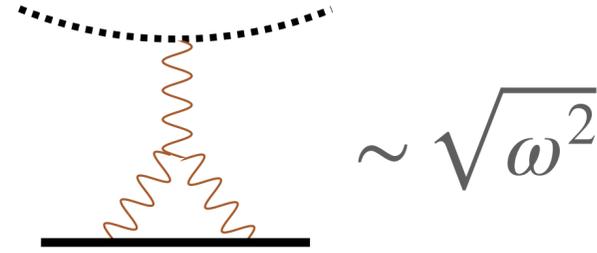
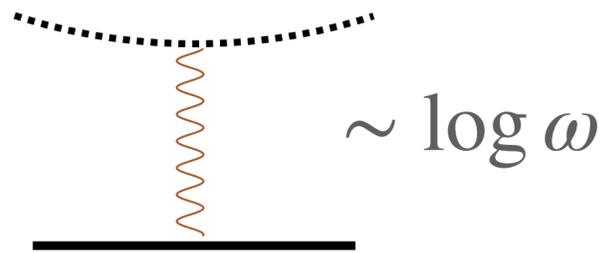
$$\ell = 0$$

$$V \sim \frac{GM}{r}$$

$$\delta_0(\omega) = R_s \omega \left(\frac{1}{2} \log(4R_s^2 \omega^2) + \gamma_E - \frac{1}{2} \right) + R_s^2 \omega \left(\frac{11\pi}{12} \sqrt{\omega^2} + i\omega \right)$$

[MST, 1976]

[Dodelson,Grassi,Iossa,Lichtig,Zhiboedov, 2022]



Small frequency expansion

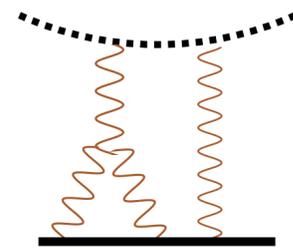
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[Dodelson,Grassi,Iossa,Lichtig,Zhiboedov, 2022]

$$\begin{aligned} \delta_0(\omega) = & R_s \omega \left(\frac{1}{2} \log(4R_s^2 \omega^2) + \gamma_E - \frac{1}{2} \right) + R_s^2 \omega \left(\frac{11\pi}{12} \sqrt{\omega^2} + i\omega \right) \\ & + R_s^3 \omega^2 \left[\left(\frac{1}{2} - \frac{1}{2} \log(4R_s^2 \omega^2) + \frac{11}{6} \zeta_2 - \frac{1}{3} \zeta_3 - \gamma_E \right) \omega + i\pi \sqrt{\omega^2} \right] \end{aligned}$$



UV divergence running

Small frequency expansion

$$S_\ell = e^{2i\delta_\ell}$$

$\ell = 0$

[MST, 1976]

[Dodelson,Grassi,Iossa,Lichtig,Zhiboedov, 2022]

$$\delta_0(\omega) = R_s \omega \left(\frac{1}{2} \log(4R_s^2 \omega^2) + \gamma_E - \frac{1}{2} \right) + R_s^2 \omega \left(\frac{11\pi}{12} \sqrt{\omega^2} + i\omega \right)$$

Fixed by:

$$+ R_s^3 \omega^2 \left[\left(\frac{1}{2} - \frac{1}{2} \log(4R_s^2 \omega^2) + \frac{11}{6} \zeta_2 - \frac{1}{3} \zeta_3 - \gamma_E \right) \omega + i\pi \sqrt{\omega^2} \right]$$

- Unitarity

$$|S_\ell(\omega)| \leq 1$$

- Crossing

$$S_\ell^*(\omega) = S_\ell(-\omega^*)$$

$$+ R_s^4 \omega^3 \left[\left(-\frac{\pi}{2} \left[\log(4R_s^2 \omega^2) + 2\gamma_E \right] + \frac{2299\pi}{2160} \right) \sqrt{\omega^2} \right]$$

$$+ \left(\frac{11}{6} \left[\log(4R_s^2 \omega^2) + 2\gamma_E \right] + 4\zeta_2 + \frac{227}{36} \right) i\omega \right]$$

Small frequency expansion

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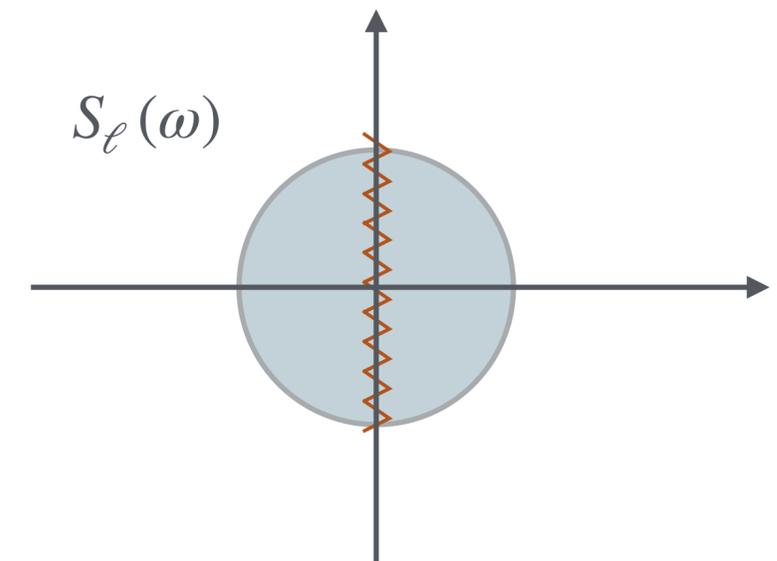
Fixed by:

- Unitarity

$$|S_\ell(\omega)| \leq 1$$

- Crossing

$$S_\ell^*(\omega) = S_\ell(-\omega^*)$$



Large frequency limit of Regge-Wheeler

$$|GM\omega| \gg 1$$

gr-qc/9607064 [N. Andersson]

$$\phi_L = \left(\frac{r}{2GM}\right)^{1/2} \left(\frac{r}{2GM} - 1\right)^{-2i\omega GM} M(1/2 - 4i\omega GM, 1 - 4i\omega GM, -2i\omega(r - 2GM)) e^{i\omega(r - 4GM)}$$

$$\phi_R = (-4iGM\omega)^{1/2 - 4iGM\omega} \left(\frac{r}{2M}\right)^{1/2} \left(\frac{r}{2GM} - 1\right)^{-4iGM\omega} U(1/2 - 4iGM\omega, 1 - 4iGM\omega, -2i\omega(r - 2GM)) e^{i\omega x}$$

Confluent Hypergeometric functions

$$G_R(\omega, x, x') \xrightarrow{x, x' \rightarrow \infty} S_\ell(\omega) = -\frac{2^{8iGM\omega} e^{-4iGM\omega} \sqrt{-iGM\omega} (iGM\omega)^{-1/2 + 4iGM\omega} \Gamma(1/2 - 4iGM\omega)}{\sqrt{\pi}}$$

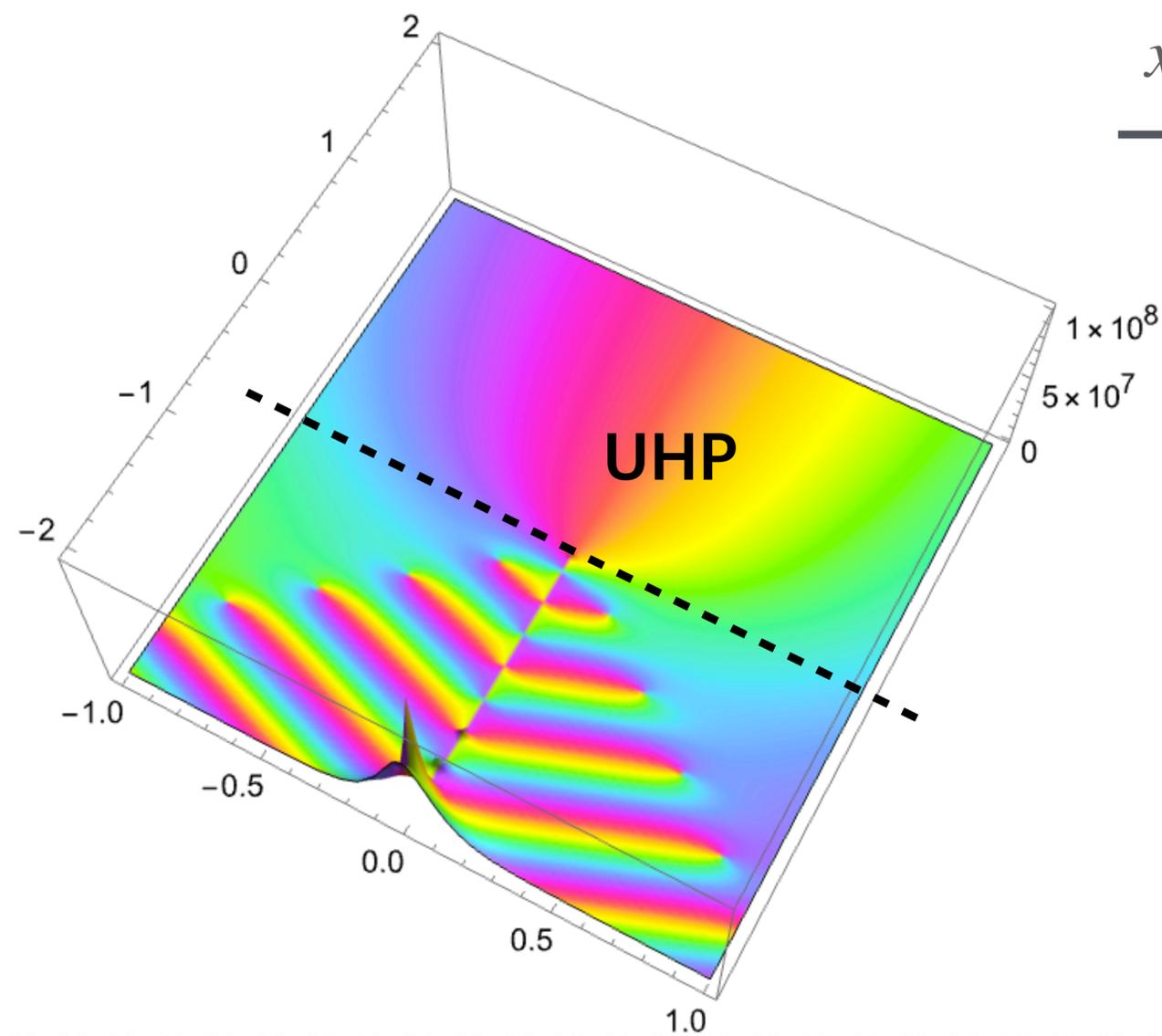
Large frequency limit of Regge-Wheeler

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gr-qc/9607064 [N. Andersson]

Retarded Green's function

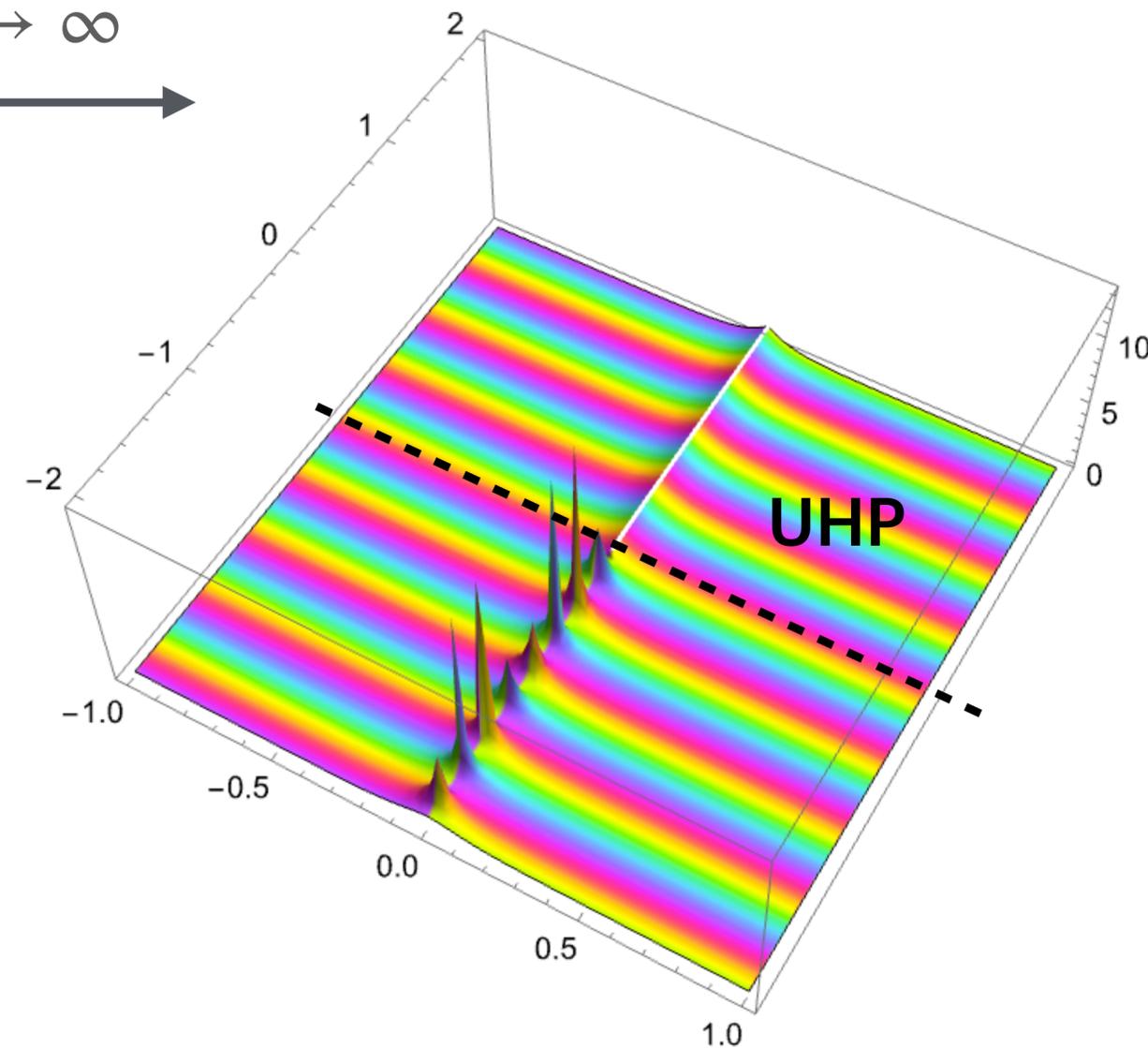
$$G_R(\omega, x, x')$$



$$x, x' \rightarrow \infty$$

S-matrix

$$S_\ell(\omega)$$



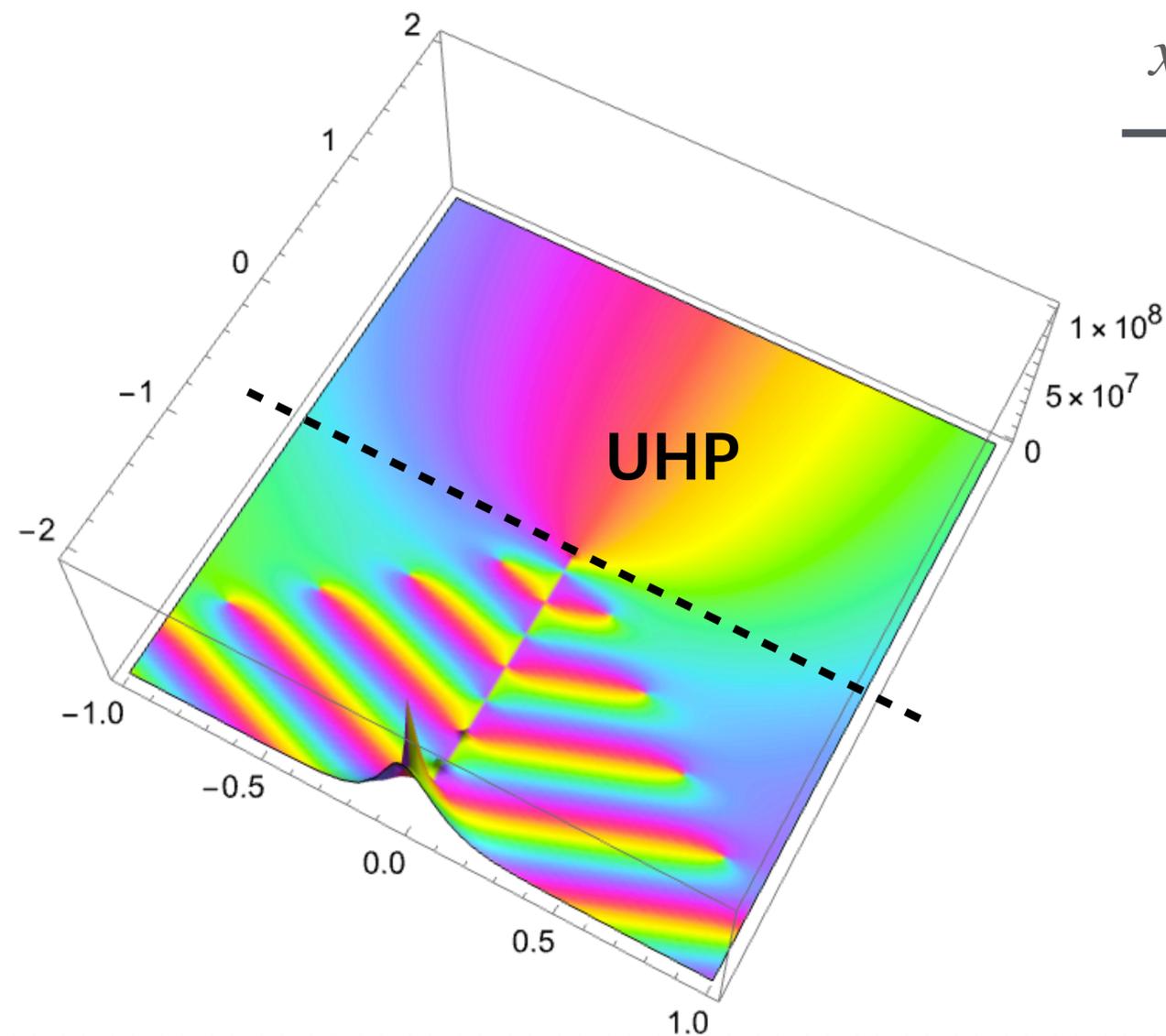
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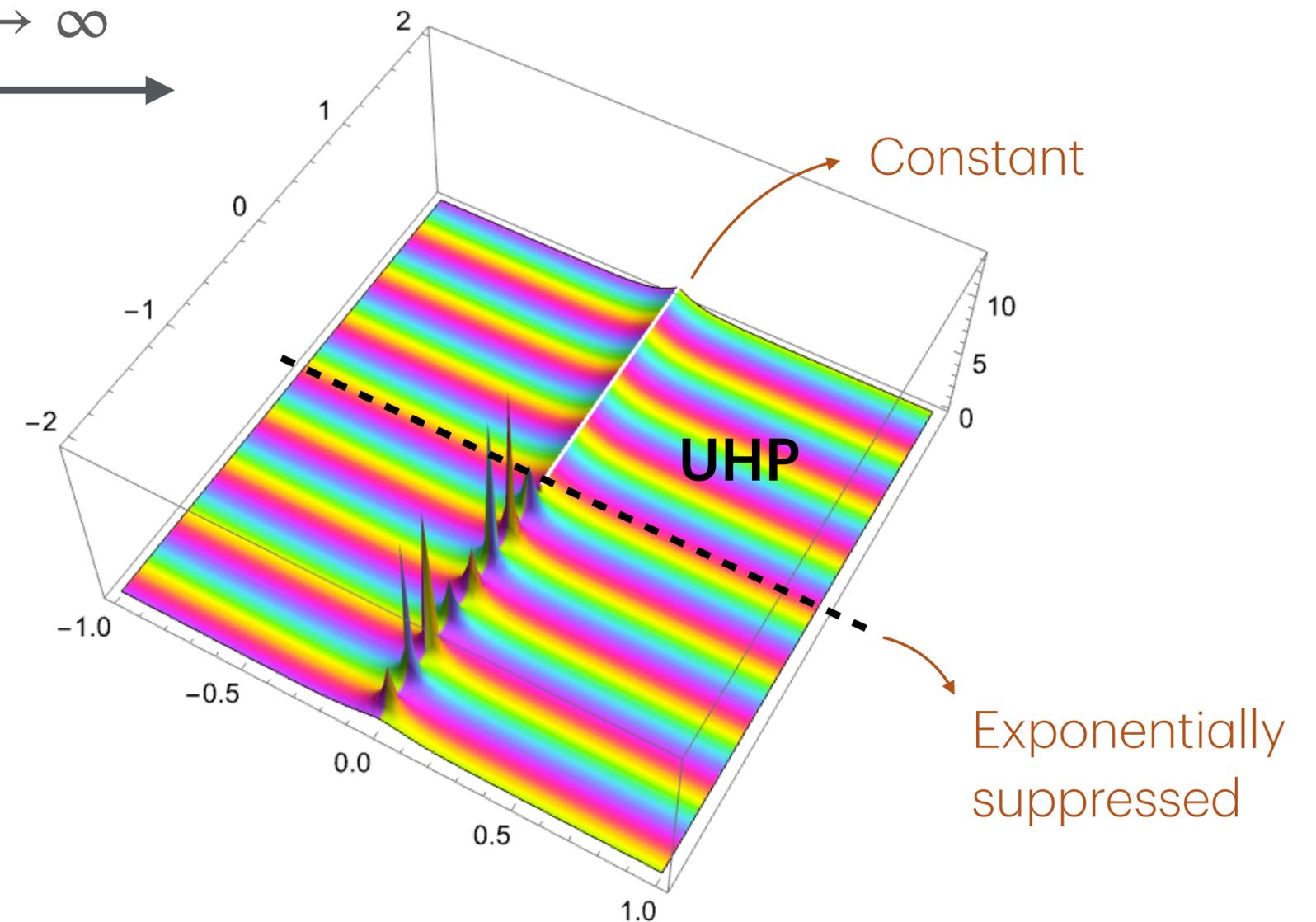
$$G_R(\omega, x, x')$$



$$x, x' \rightarrow \infty$$

S-matrix

$$S_\ell(\omega)$$



Newtonian potential

$$V \sim \frac{4GM\omega^2}{r}$$

$$\phi_L \sim \left(\frac{r}{GM}\right)^{\ell+1} e^{i\omega r} M(\ell + 1 - 2iGM\omega, 2\ell + 2, -2i\omega r)$$

$$\phi_R \sim \left(\frac{r}{GM}\right)^{\ell+1} e^{i\omega r} U(\ell + 1 - 2iGM\omega, 2\ell + 2, -2i\omega r)$$

Confluent Hypergeometric functions

$$x > x'$$

$$G_R(x, x', \omega) = -\frac{1}{2i\omega A_{\text{in}}} \phi_L(x, \omega) \phi_R(x', \omega)$$

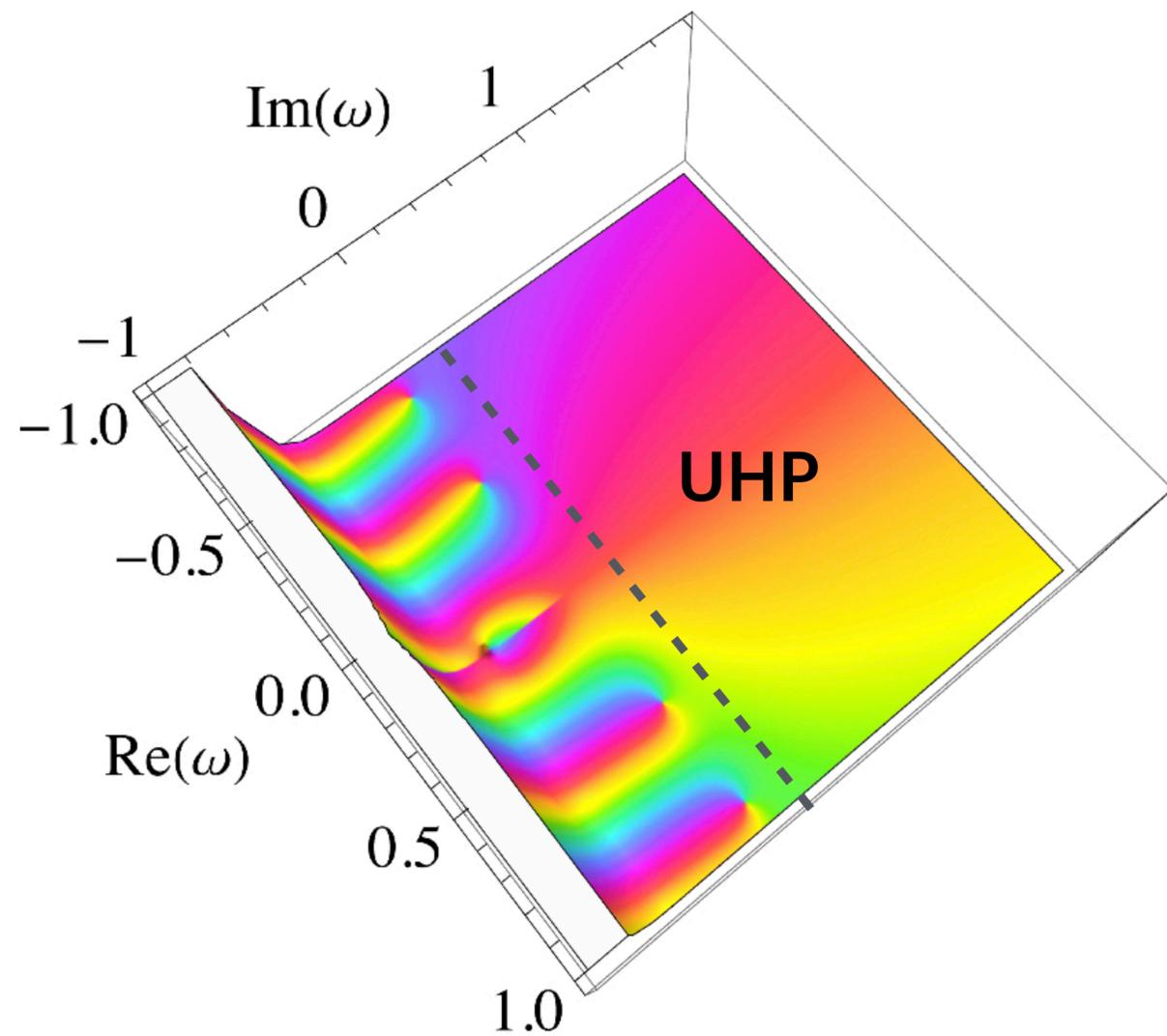
$$G_R(\omega, x, x') \xrightarrow{x, x' \rightarrow \infty} S_\ell(\omega) = \frac{[(2GM\omega)^2]^{2iGM\omega} \Gamma(1 + \ell - 2iGM\omega)}{\Gamma(1 + \ell + 2iGM\omega)}$$

Newtonian potential

$$V \sim \frac{4GM\omega^2}{r}$$

Retarded Green's function

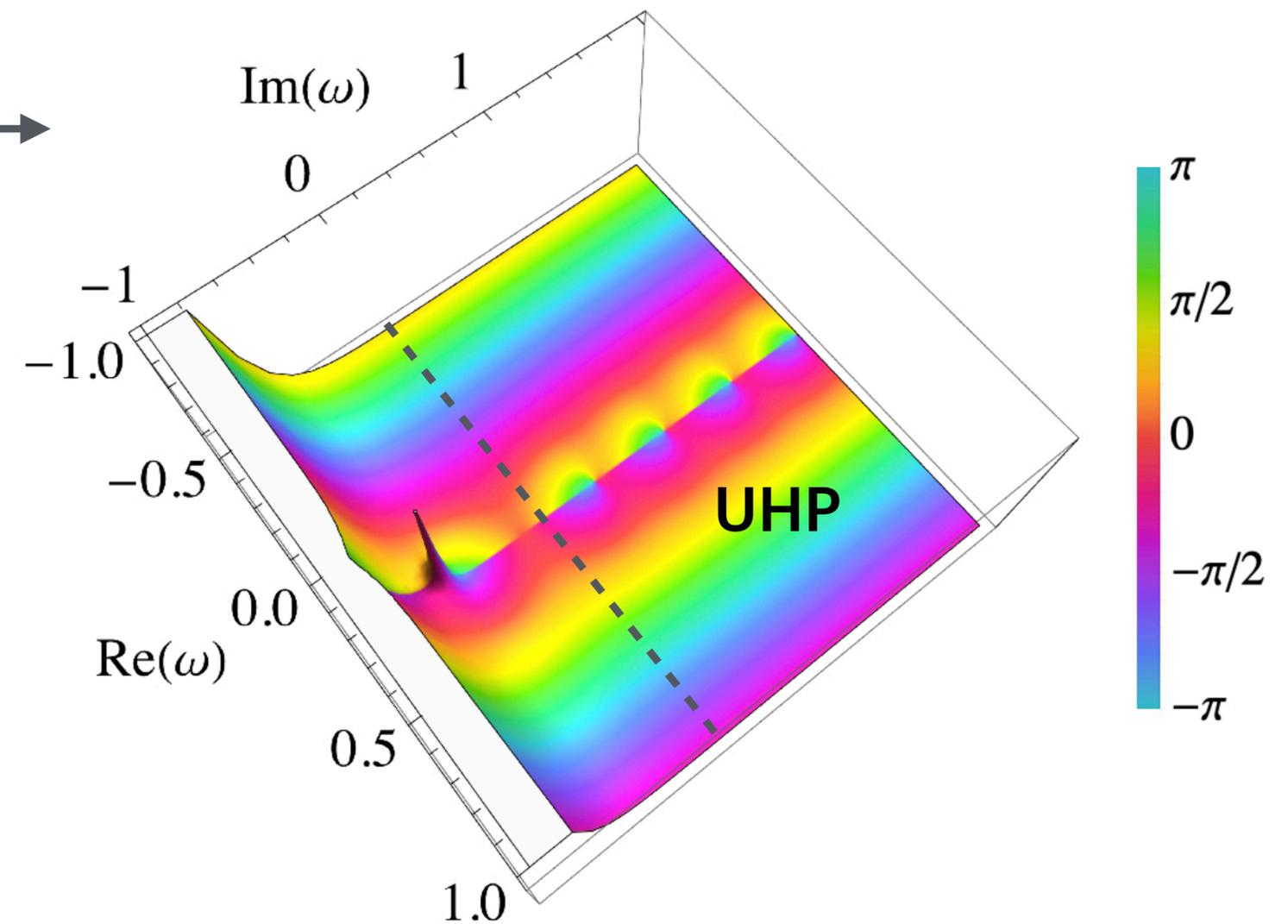
$$G_R(\omega, x, x')$$



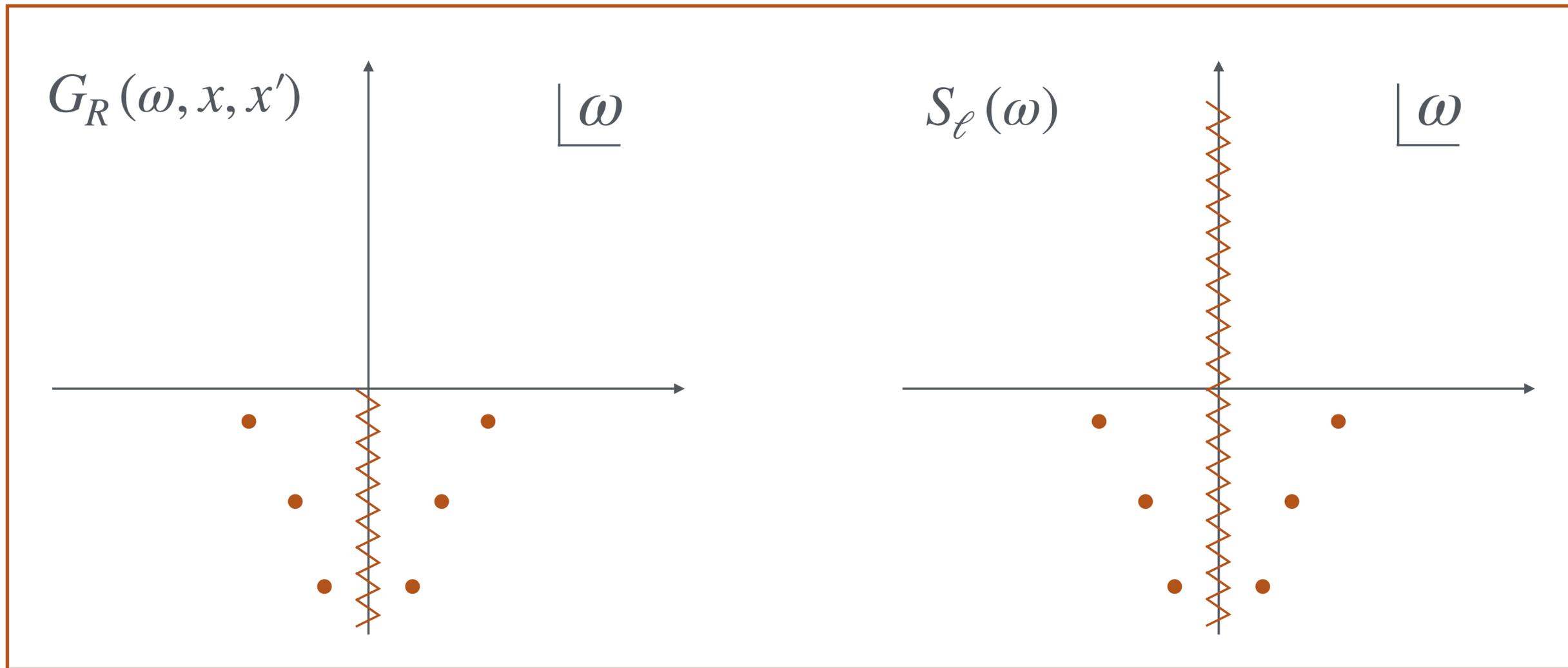
$x, x' \rightarrow \infty$

S-matrix

$$S_\ell(\omega)$$



So what is happening?

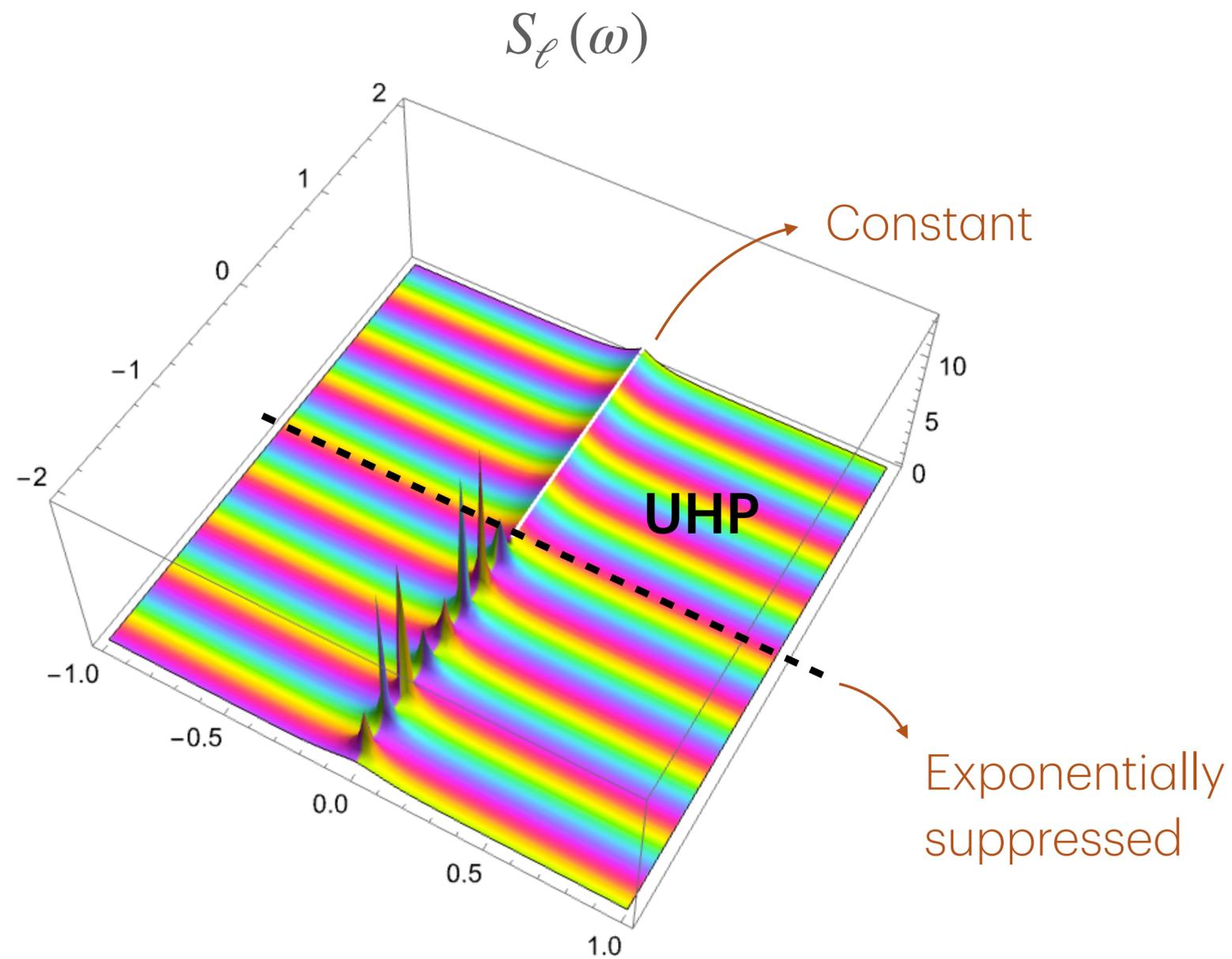


Stokes phenomena

$$G_R(\omega, x, x') \xrightarrow{x, x' \rightarrow \infty} -\frac{1}{2i\omega} \left[e^{i\omega(x-x')} - S_\ell(\omega) e^{i\omega(x+x')} \right]$$

IR effects

S-matrix at large frequencies in tortoise coordinates



S-matrix with hard IR cutoff

$$S_\ell^{\text{hard}}(\omega) = S_\ell(\omega) \left(\frac{R_{\text{IR}}}{R_s} \right)^{2iR_s\omega}$$

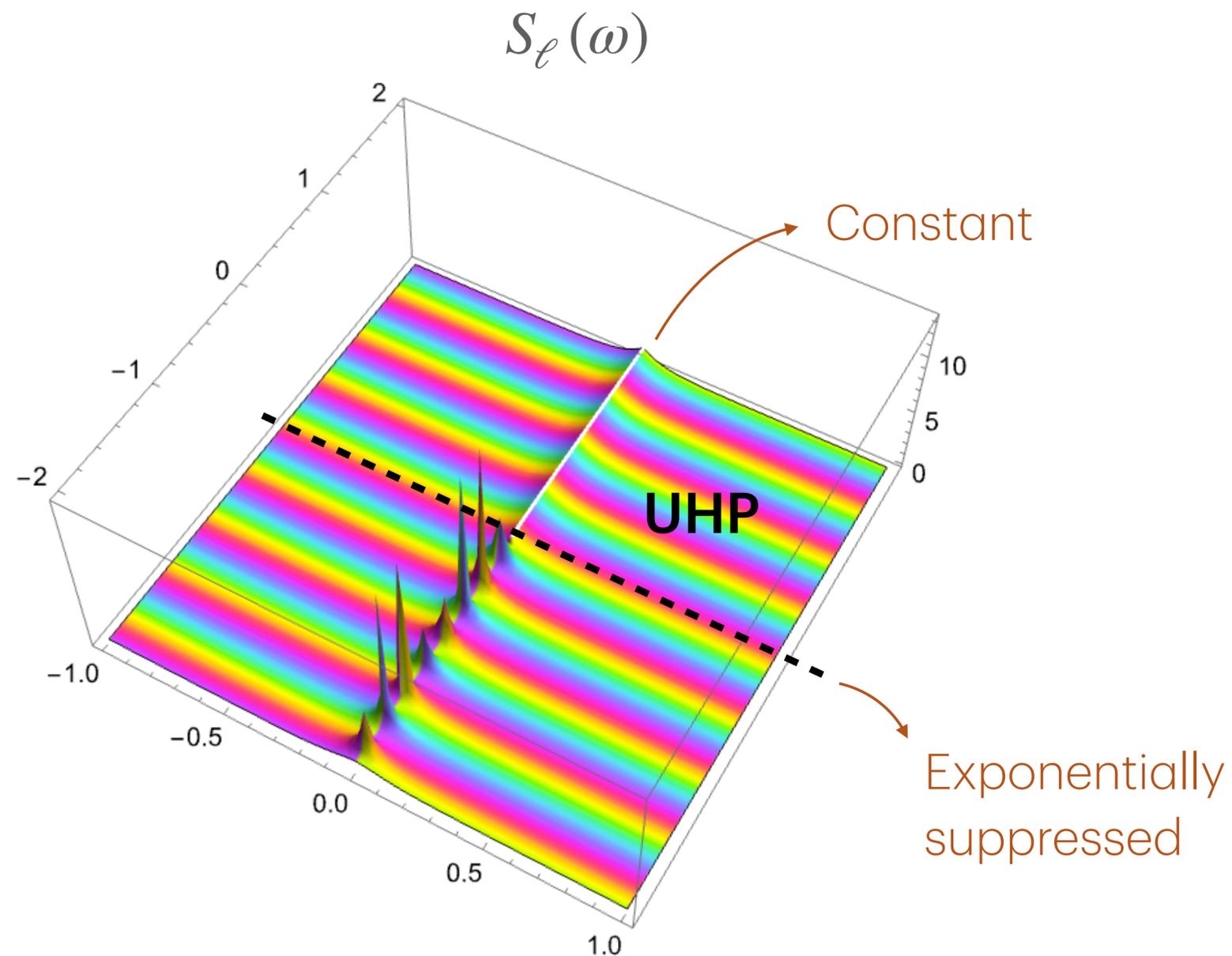
$R_{\text{IR}} < R_s$: Exponential growth in the complex plane



Polynomial boundedness

IR effects

S-matrix at large frequencies in tortoise coordinates



S-matrix with hard IR cutoff

$$S_\ell^{\text{hard}}(\omega) = S_\ell(\omega) \left(\frac{R_{\text{IR}}}{R_s} \right)^{2iR_s\omega}$$

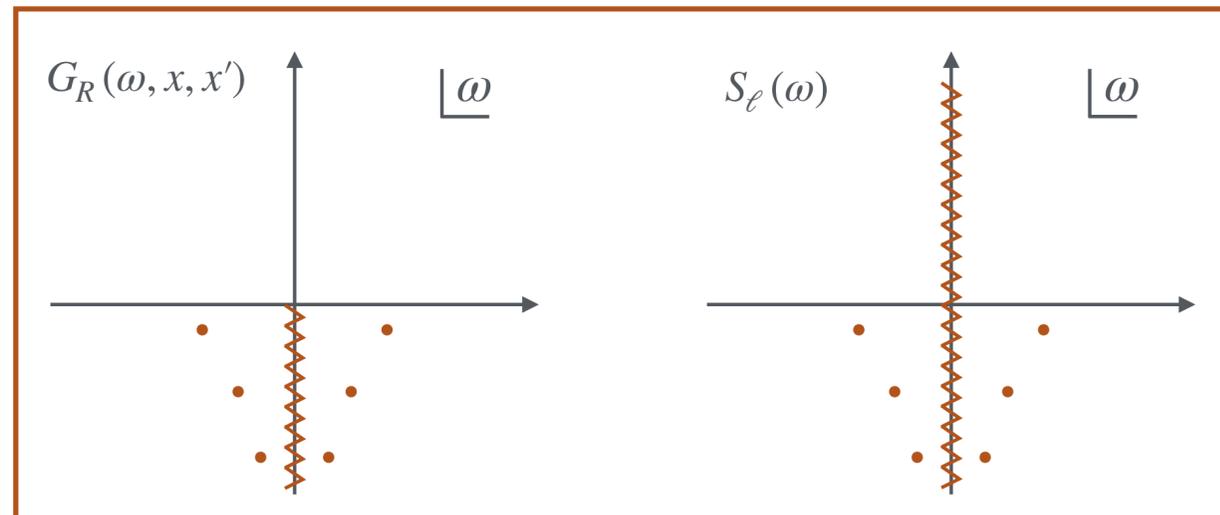
Polynomial boundedness implies a lower bound on the IR cutoff!

$$R_{\text{IR}} \geq R_s$$

➔ Francesco's talk

Conclusion

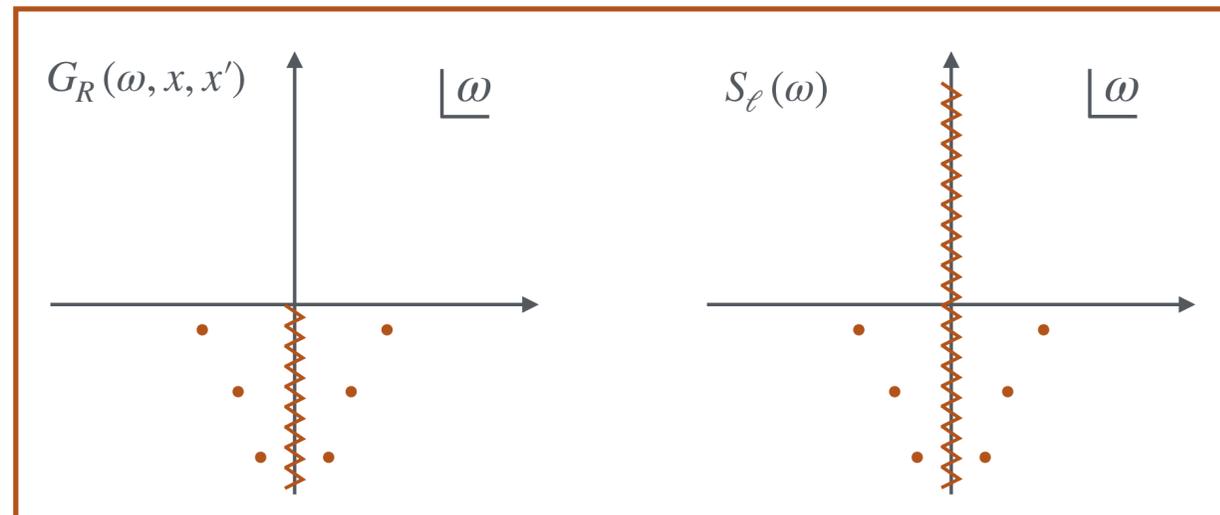
Established the analytic structure
of classical wave scattering on a black
hole background



- General proof of analyticity
- Verification through exact solutions of Regge-Wheeler equations in different regimes

Conclusion

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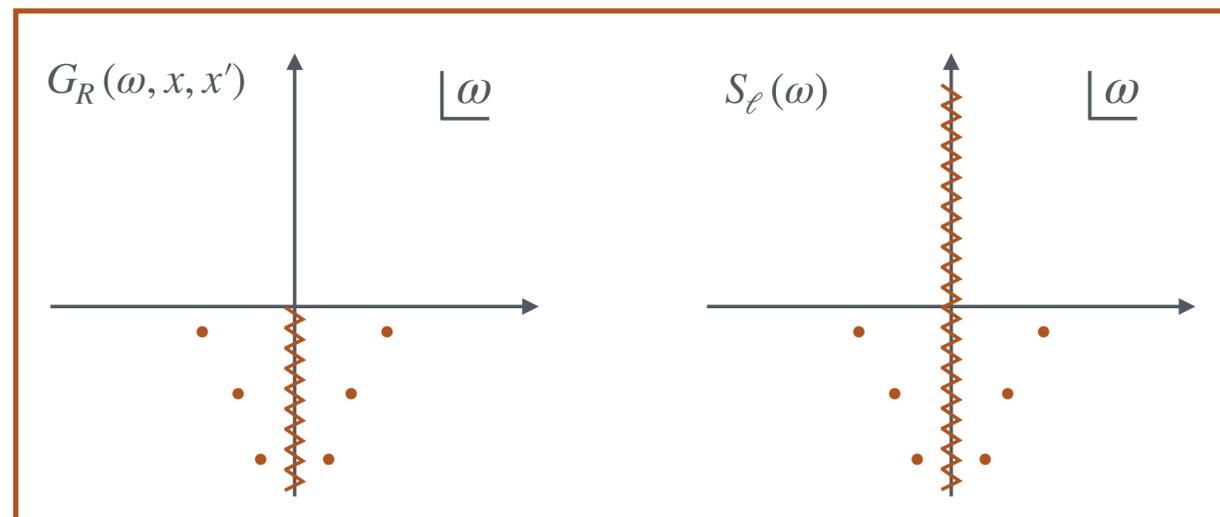
Future directions

- Analyticity of the S-matrix on spinning black holes



Conclusion

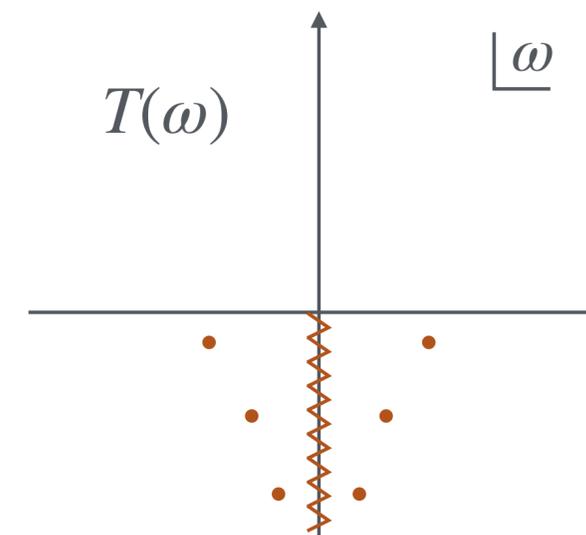
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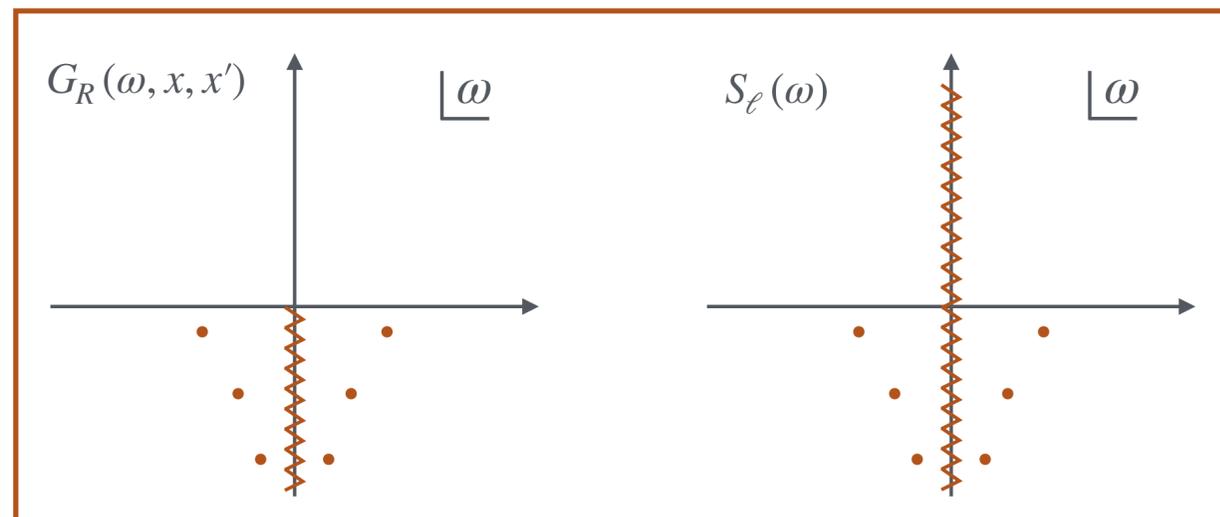
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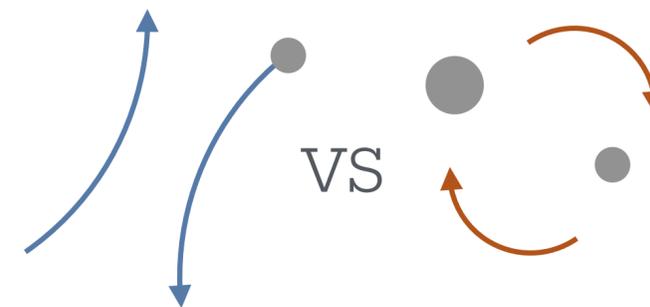
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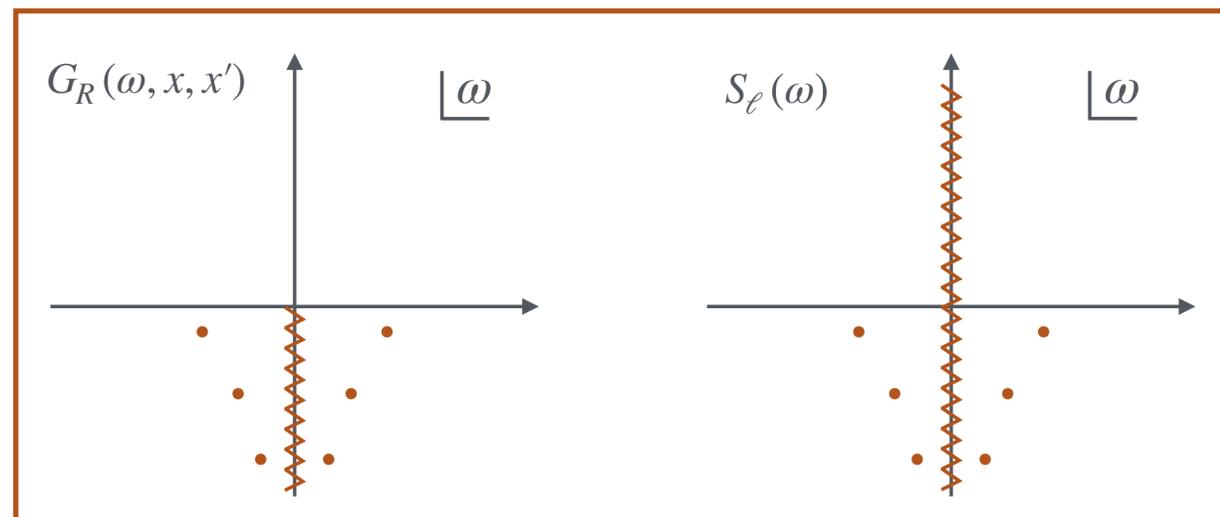
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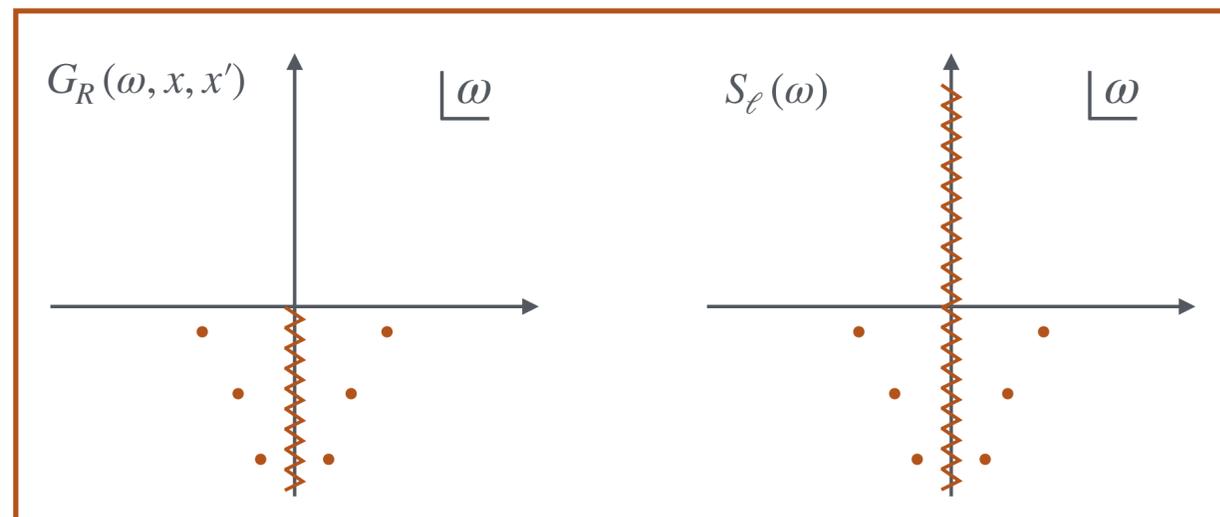
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Conclusion

Established the analytic structure of classical wave scattering on a black hole background



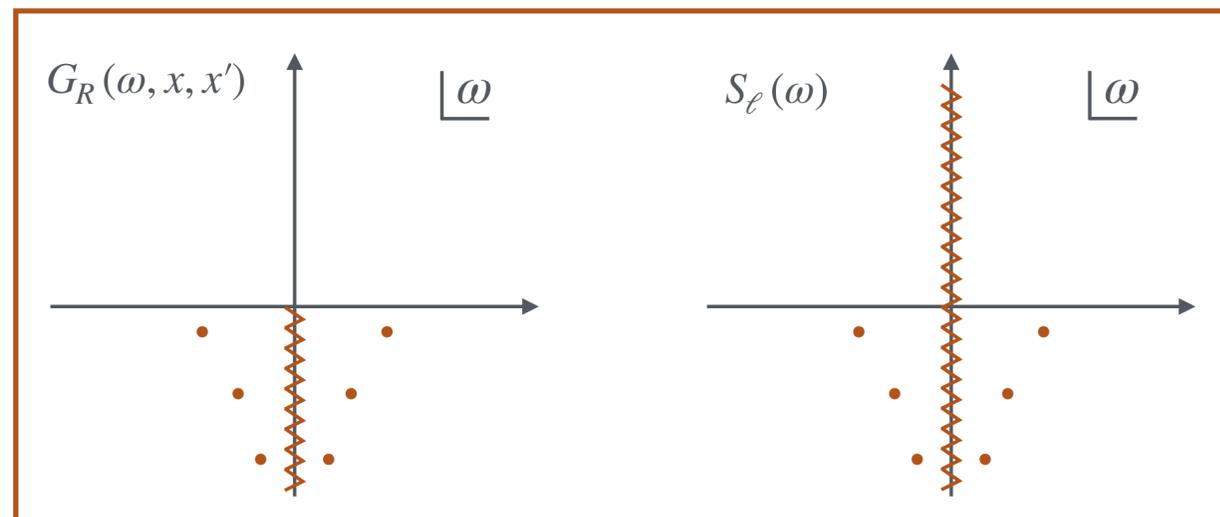
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- Stokes phenomena in QFT?
Alternative path to prove analyticity in QFT?

Conclusion

Established the analytic structure of classical wave scattering on a black hole background



- General proof of analyticity
- Verification through exact solutions of Regge-Wheeler equations in different regimes

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- Analyticity of the S-matrix on spinning black holes
- Incorporating this structure on a bootstrap approach to bound Love numbers
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- Extension to AdS and non-flat backgrounds
- Stokes phenomena in QFT?
Alternative path to prove analyticity in QFT?
- Lower bounds on IR cutoffs in QFT?