

BESIII实验上 CKM矩阵元测量

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海南三亞

味物理百期特别活动

Outline

- BESIII dataset

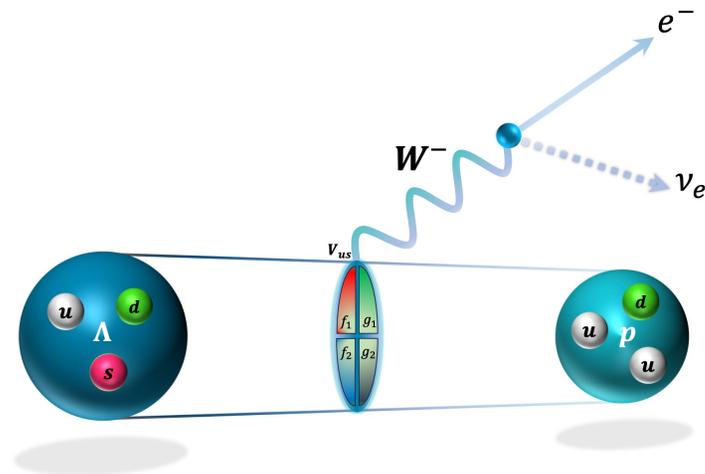
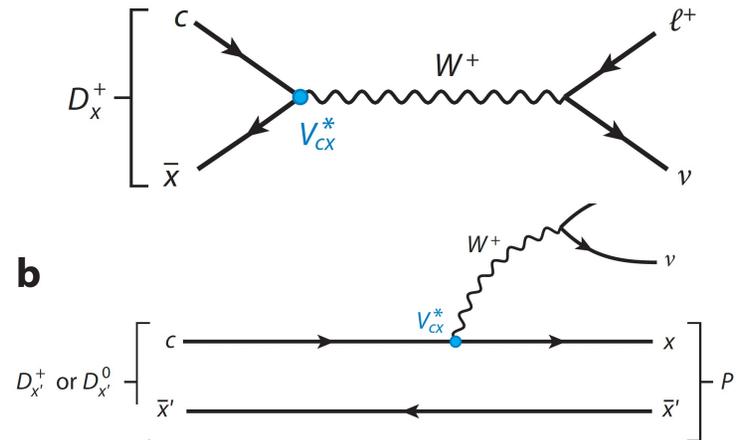
- $|V_{cd}|$ and $|V_{cs}|$

- pure leptonic charm decays

- semi-leptonic charm decays

- $|V_{us}|$

- Summary



Outline

- **BESIII dataset**

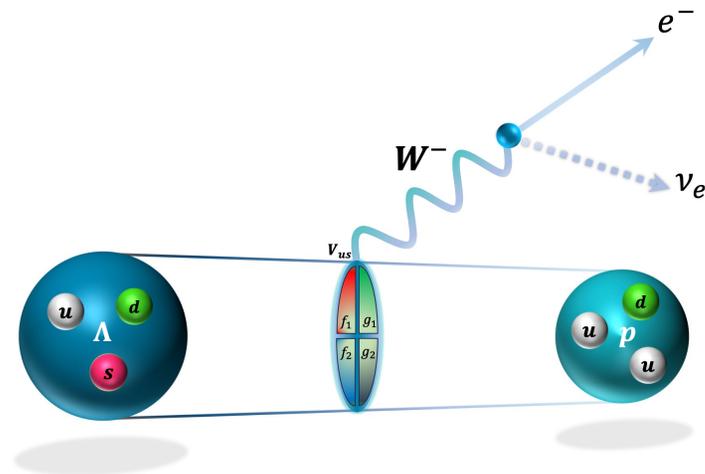
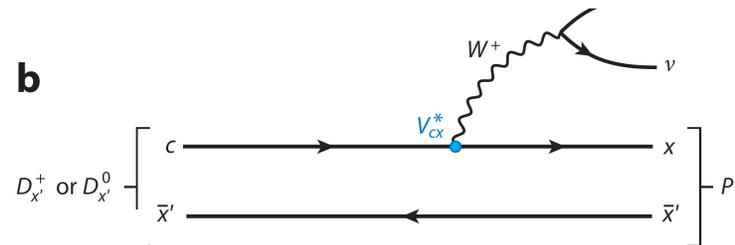
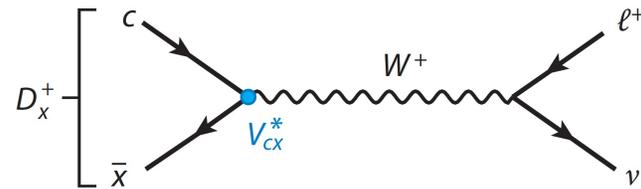
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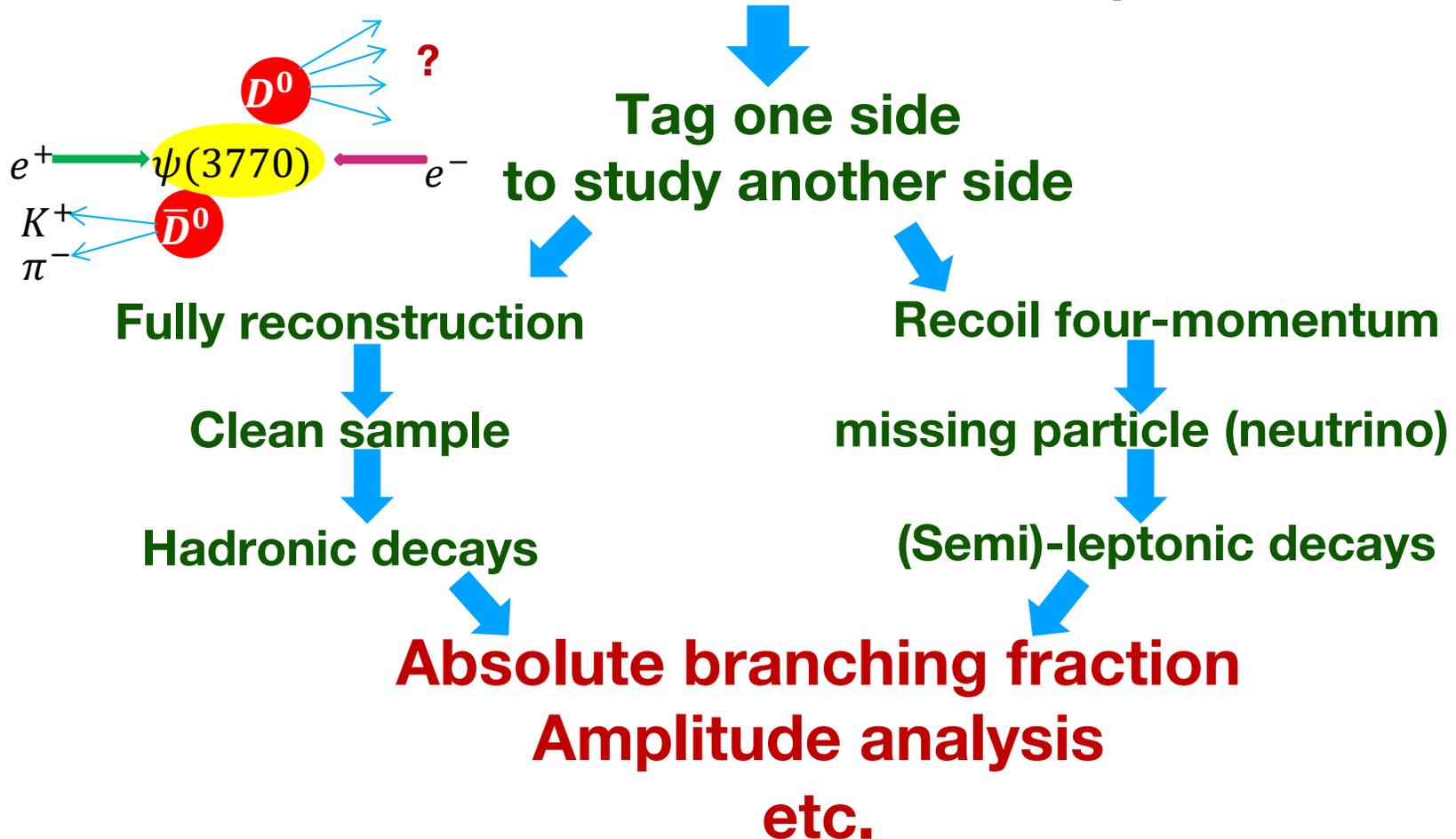
- Summary



BESIII Data near Threshold

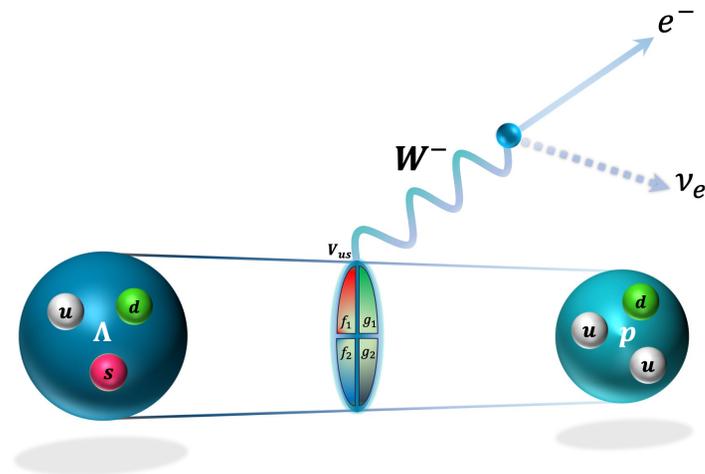
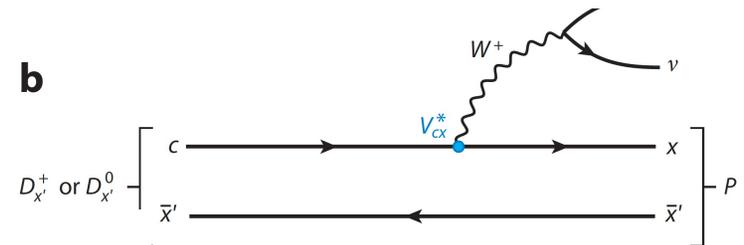
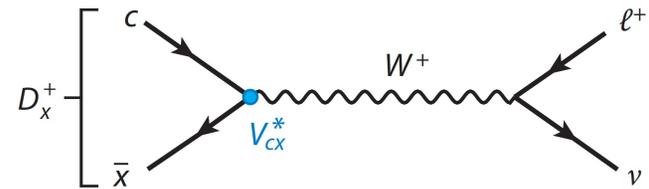
- 20.3 fb⁻¹ at E_{cm} 3.773 GeV: $e^+e^- \rightarrow \psi(3770) \rightarrow D\bar{D}$
- 7.33 fb⁻¹ at E_{cm} 4.128 - 4.226 GeV: $e^+e^- \rightarrow D_s D_s^*$

Production of charm hadron pair



Outline

- BESIII dataset
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 - semi-leptonic charm decays
- $|V_{us}|$
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Motivation

- **Charm Decays: The Best Probe of $|V_{cd}|$ and $|V_{cs}|$**

Pure & semi-leptonic channels provide the most precise measurement.

$$V_{\text{CKM}}^{\text{PDG2024}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 0.97367 \pm 0.00032 & 0.22431 \pm 0.00085 & 0.00382 \pm 0.00020 \\ 0.221 \pm 0.004 & 0.975 \pm 0.006 & 0.0411 \pm 0.0012 \\ 0.0086 \pm 0.0002 & 0.0415 \pm 0.0009 & 1.010 \pm 0.027 \end{pmatrix}$$

- Verifying the unitarity of the CKM matrix to test the SM.

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9984 \pm 0.0007 \quad \sim 0.07\%$$

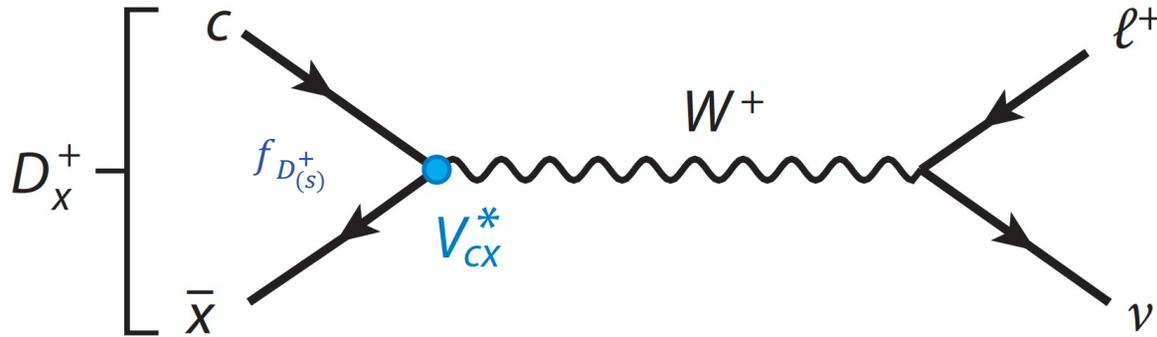
$$|V_{cd}|^2 + |V_{cs}|^2 + |V_{cb}|^2 = 1.001 \pm 0.012 \quad \sim 1\%$$

Precision of the second row is dominated by that of $|V_{cd}|$ and $|V_{cs}|$

Precise measurement of $|V_{cd}|$ and $|V_{cs}|$ is crucial

Motivation

Leptonic decays



$$\Gamma(D_{(s)}^+ \rightarrow l^+ \nu) = \frac{G_F^2 f_{D_{(s)}^+}^2}{8\pi} |V_{cd(s)}|^2 m_l^2 m_{D_{(s)}^+} \left(1 - \frac{m_l^2}{m_{D_{(s)}^+}^2}\right)^2$$

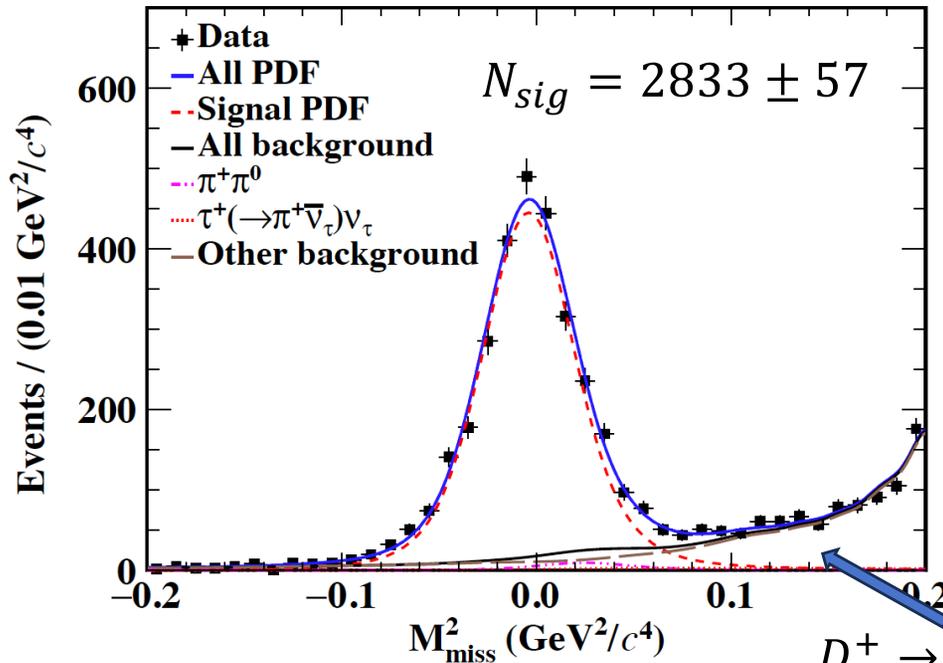
- $|V_{cd(s)}|$ measurement \Rightarrow Test CKM matrix unitarity
 - Decay constant measurements \Rightarrow Calibrate LQCD calculations
 - Branching Fractions $\mathcal{B}_{\mu/\tau} \Rightarrow$

	$e^+ \nu_e$	$\mu^+ \nu_\mu$	$\tau^+ \nu_\tau$
D^+	10^{-5}	1	2.67
D_s^+	10^{-5}	1	9.75
- Test lepton flavor universality (LFU)

$D^+ \rightarrow \mu^+ \nu_\mu$

20.3 fb⁻¹@ $E_{cm}=3.773$ GeV

PRL 135, 061081 (2025)



$D^+ \rightarrow \gamma \mu^+ \nu_\mu$ background is simulated in the fit

- $\Gamma_{D^+ \rightarrow l^+ \nu_l} = \Gamma_{D^+ \rightarrow l^+ \nu_l}^0 [1 + \frac{\alpha}{\pi} C_p] \Rightarrow$ Radiative correction term
- 1 Short-distance electroweak correction increases BF by 1.8% [PRD98,074512, NPB196,83]
- 2 Long-distance electroweak correction [inner bremsstrahlung and virtual photon] reduce BF by 2.5% with 0.6% uncertainty of unknown electromagnetic correction [PRD98,074512]

$$\mathcal{B}(D^+ \rightarrow \mu^+ \nu_\mu) = (4.034 \pm 0.080 \pm 0.040) \times 10^{-4}$$

Precision is improved by 2.4x

$$f_{D^+} |V_{cd}| = (48.02 \pm 0.48 \pm 0.24 \pm 0.12_{\text{input}} \pm 0.15_{\text{EM}}) \text{ MeV}$$

$$f_{D^+} = (213.5 \pm 2.1 \pm 1.1 \pm 0.8 \pm 0.7) \text{ MeV } (\sim 1.2\%) \text{ Most precise}$$

$$|V_{cd}| = (0.2265 \pm 0.0023 \pm 0.0011 \pm 0.0009 \pm 0.0007)$$

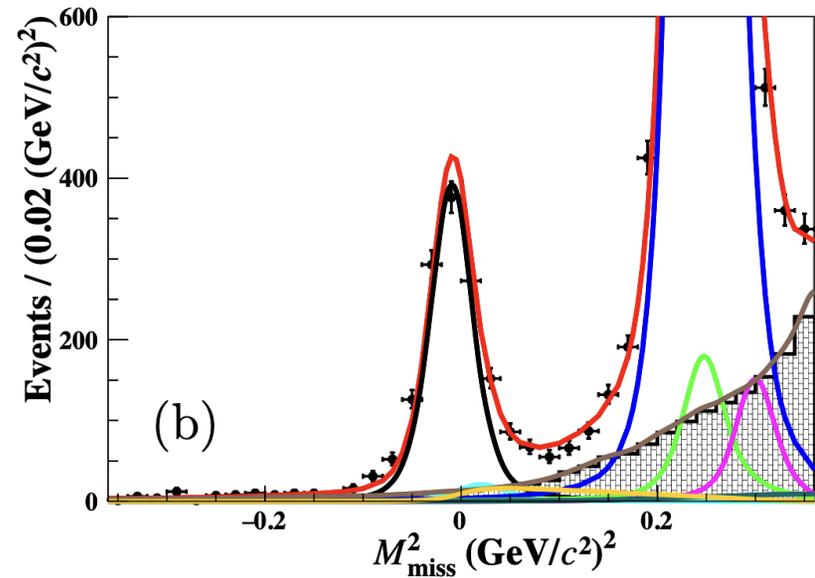
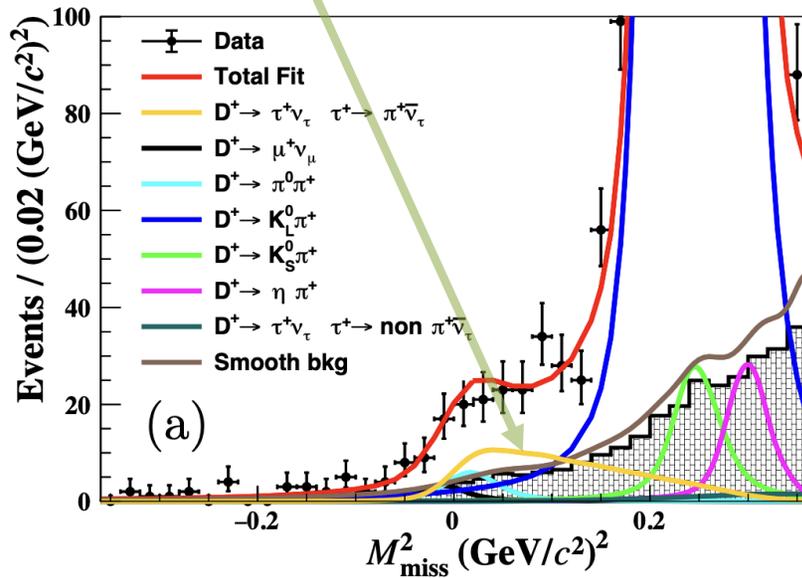
$D^+ \rightarrow \tau^+ \nu_\tau$ via $\tau^+ \rightarrow \pi^+ \nu_\tau$

$D^+ \rightarrow \tau^+ \nu_\tau$

7.93 fb⁻¹@ $E_{cm}=3.773$ GeV

$N_{sig} = 283 \pm 32$

JHEP01(2025)089



$$\mathcal{B}(D^+ \rightarrow \tau^+ \nu_\tau) = (9.9 \pm 1.1 \pm 0.5) \times 10^{-4}$$

$$f_{D^+} |V_{cd}| = (45.9 \pm 2.5 \pm 1.2 \pm 0.1_{\text{input}}) \text{ MeV}$$

$$f_{D^+} = (204 \pm 11 \pm 5 \pm 1) \text{ MeV} (\sim 5.9\%)$$

$$|V_{cd}| = 0.216 \pm 0.012 \pm 0.006 \pm 0.001$$

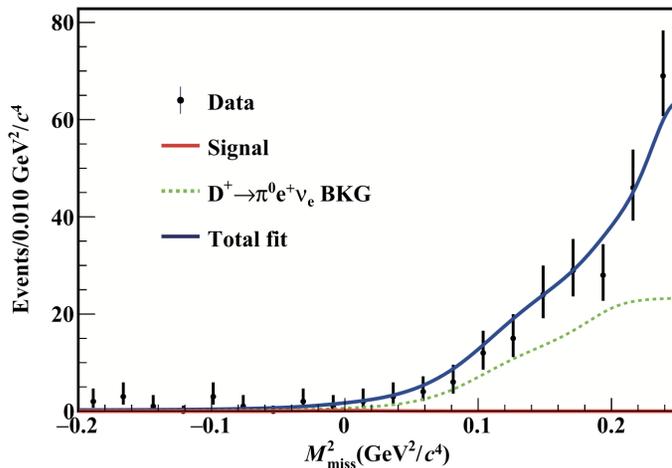
$$\text{LFU: } \frac{\Gamma_\tau}{\Gamma_\mu} = 2.45 \pm 0.31 \text{ consistent with SM } 2.66 \pm 0.01$$

To be updated using the 20 fb⁻¹ full dataset

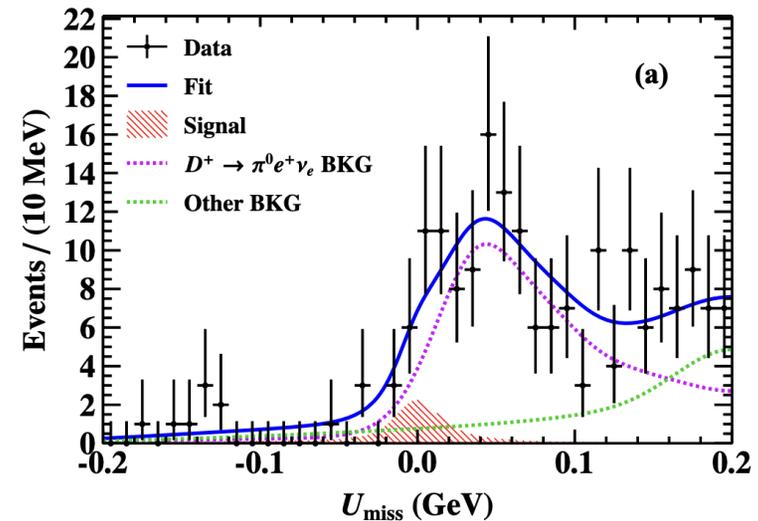
$D^+ \rightarrow e^+ \nu_e$ and $D^+ \rightarrow \gamma e^+ \nu_e$

20.3 fb⁻¹ @ $E_{cm} = 3.773$ GeV

CPC 49, 063001 (2025)



CPC 49, 083001 (2025)



$D^+ \rightarrow e^+ \nu_e$ is helicity suppressed. $D^+ \rightarrow \gamma e^+ \nu_e$ can reduce this suppression.

Theo. Prediction: $\mathcal{B}(D^+ \rightarrow e^+ \nu_e) < 10^{-8}$; $\mathcal{B}(D^+ \rightarrow \gamma e^+ \nu_e) = 10^{-5} \sim 10^{-3}$

$\mathcal{B}(D^+ \rightarrow e^+ \nu_e) < 9.7 \times 10^{-8}$ @90% C.L.

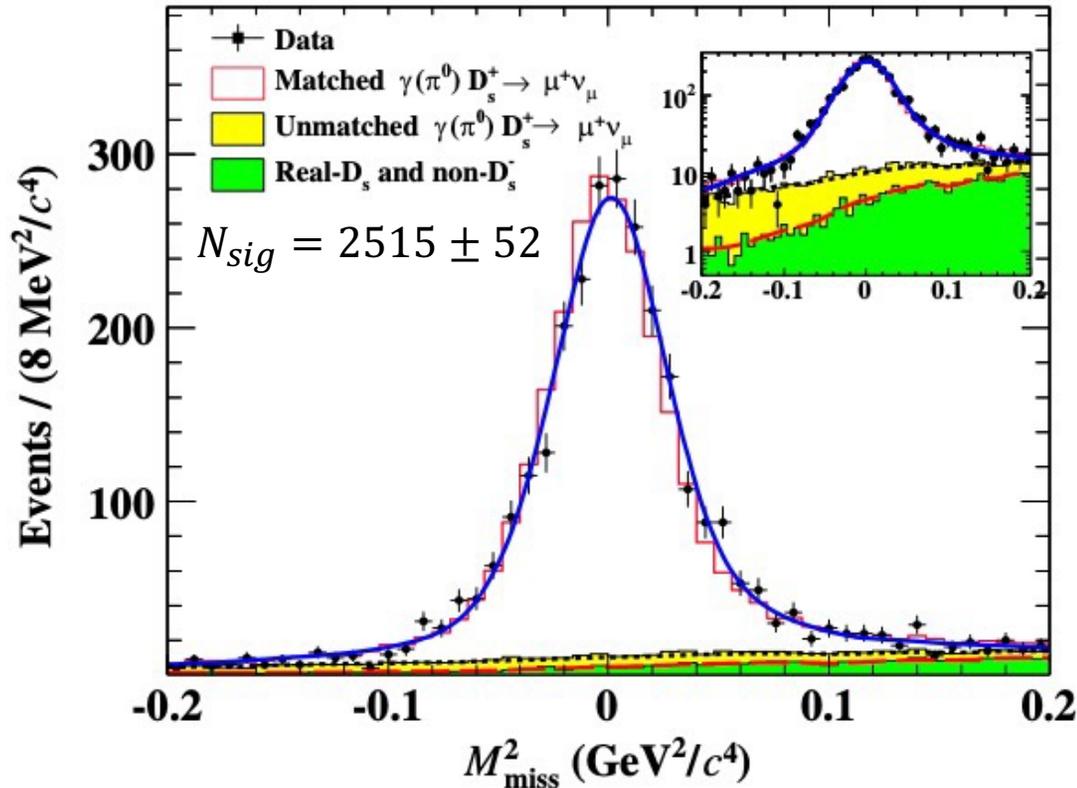
$\mathcal{B}(D^+ \rightarrow \gamma e^+ \nu_e) = 1.2 \times 10^{-5}$ @90% C.L.

Help to estimate background in $D^+ \rightarrow \mu^+ \nu_\mu$

$D_s^+ \rightarrow \mu^+ \nu_\mu$

7.33fb⁻¹@ $E_{cm}=4.128-4.226$ GeV

PRD 108, 112001 (2023)



$$\mathcal{B}(D_s^+ \rightarrow \mu^+ \nu_\mu) = (5.294 \pm 0.108 \pm 0.085) \times 10^{-3}$$

$$f_{D_s^+} |V_{cs}| = (241.8 \pm 2.5 \pm 2.2) \text{ MeV}$$

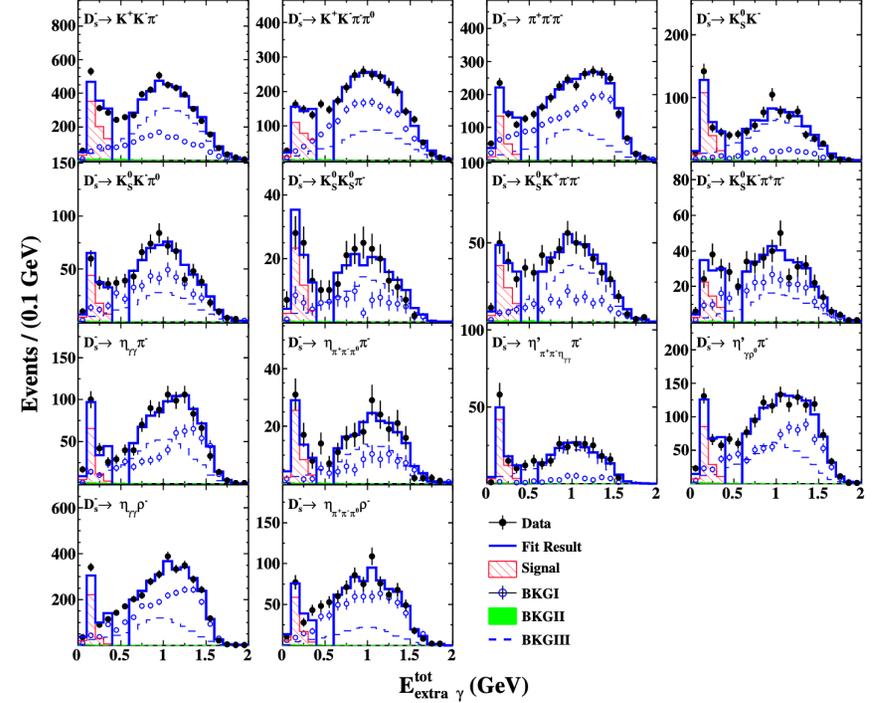
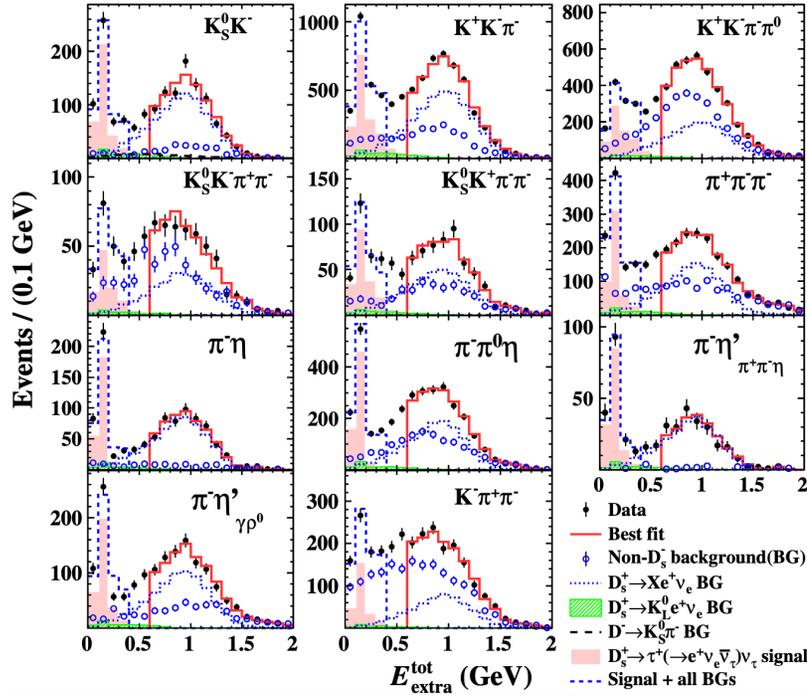
$$f_{D_s^+} = (248.4 \pm 2.5 \pm 2.2) \text{ MeV} (\sim 1.4\%)$$

$$|V_{cs}| = 0.968 \pm 0.010 \pm 0.009 (\sim 1.4\%)$$

$$D_S^+ \rightarrow \tau^+ \nu_\tau$$

$$\tau^+ \rightarrow e^+ \nu \nu \quad \text{PRL 127, 171801 (2021)}$$

$$\tau^+ \rightarrow \mu^+ \nu \nu \quad \text{JHEP 09(2023)124}$$



$$B(D_S^+ \rightarrow \tau^+ \nu_\tau) = (5.27 \pm 0.10 \pm 0.12)\%$$

$$B(D_S^+ \rightarrow \tau^+ \nu) = (5.37 \pm 0.17 \pm 0.15)\%$$

$$f_{D_S^+} |V_{CS}| = (244.4 \pm 2.3 \pm 2.9) \text{ MeV}$$

$$f_{D_S^+} |V_{CS}| = (246.2 \pm 3.7 \pm 2.5) \text{ MeV}$$

$$f_{D_S^+} = (251.1 \pm 2.4 \pm 3.0) \text{ MeV} (\sim 1.5\%)$$

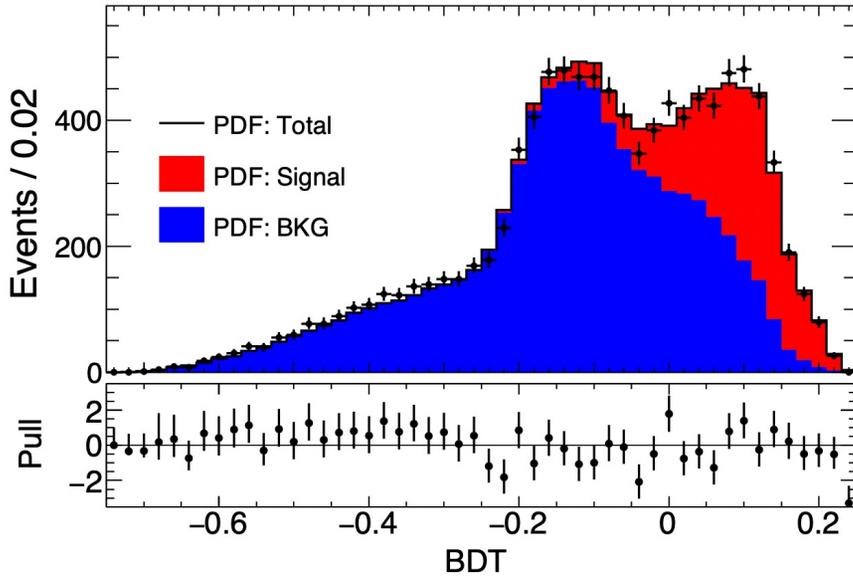
$$f_{D_S^+} = (253.4 \pm 4.0 \pm 3.7) \text{ MeV} (\sim 2.2\%)$$

$$|V_{CS}| = 0.978 \pm 0.009 \pm 0.012 (\sim 1.5\%)$$

$$|V_{CS}| = 0.987 \pm 0.016 \pm 0.014 (\sim 2.2\%)$$

$D_S^+ \rightarrow \tau^+ \nu_\tau$

$\tau^+ \rightarrow \pi^+ \nu$ PRD 108, 092014 (2023)



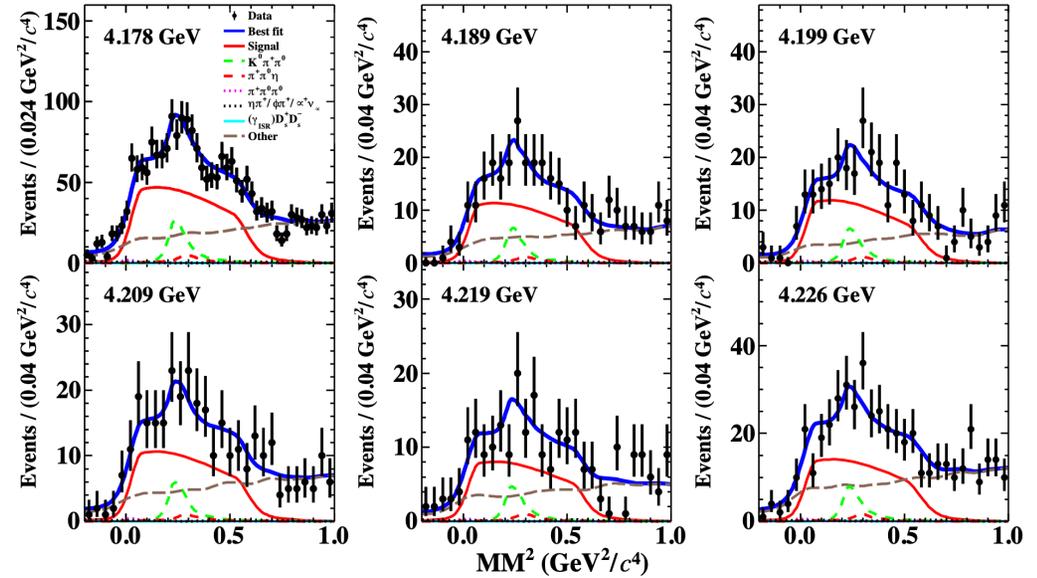
$$\mathcal{B}(D_S^+ \rightarrow \tau^+ \nu_\tau) = (5.44 \pm 0.17 \pm 0.13)\%$$

$$f_{D_S^+} |V_{cs}| = (248.3 \pm 3.9 \pm 3.1 \pm 1.0) \text{ MeV}$$

$$f_{D_S^+} = (255.0 \pm 4.0 \pm 3.4) \text{ MeV} (\sim 2.1\%)$$

$$|V_{cs}| = 0.993 \pm 0.015 \pm 0.013 (\sim 2.0\%)$$

$\tau^+ \rightarrow \pi^+ \pi^0 \nu$ PRD 104, 032001 (2021)



$$\mathcal{B}(D_S^+ \rightarrow \tau^+ \nu_\tau) = (5.29 \pm 0.25 \pm 0.20)\%$$

$$f_{D_S^+} |V_{cs}| = (244.8 \pm 5.8 \pm 4.8) \text{ MeV}$$

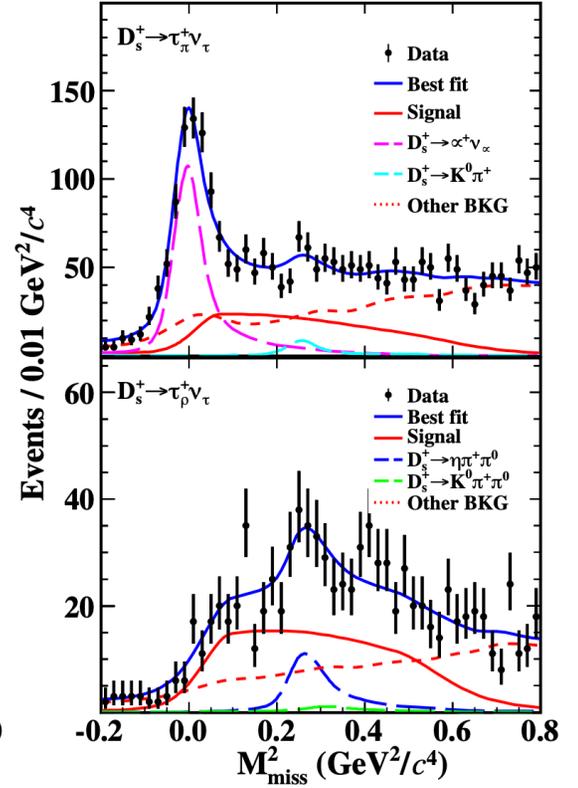
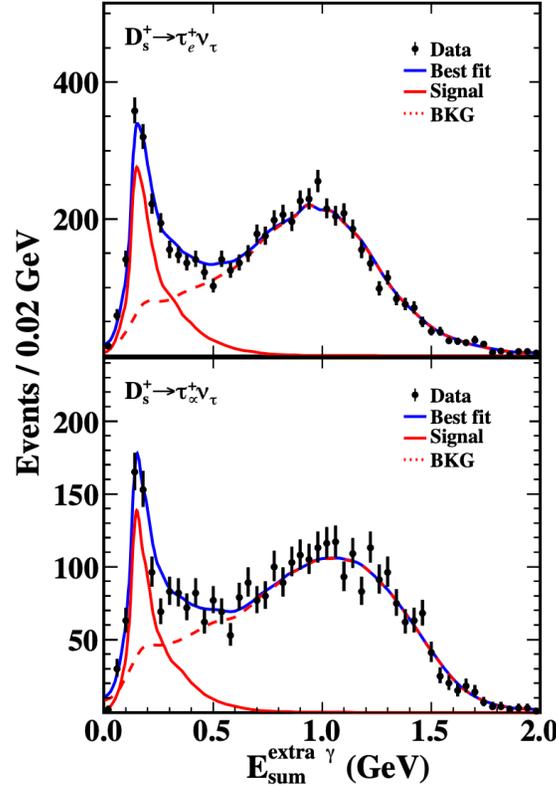
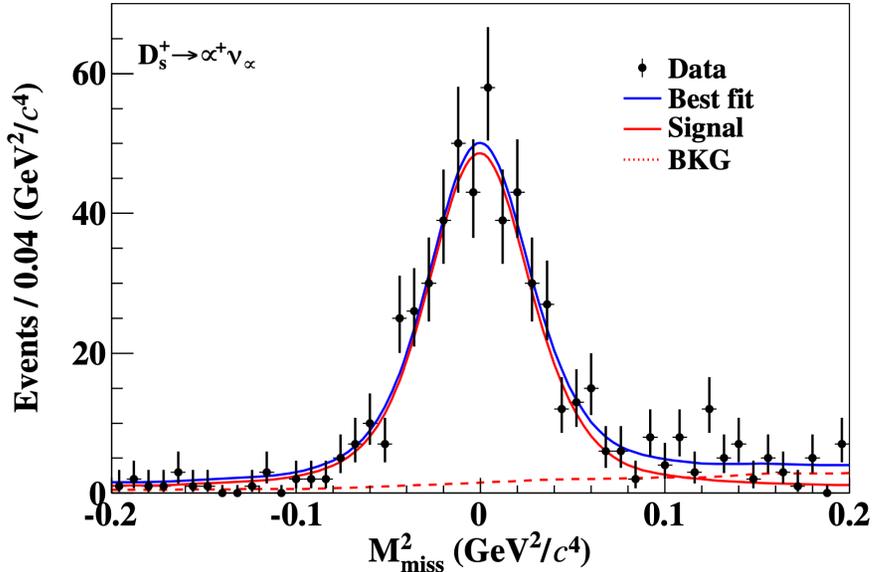
$$f_{D_S^+} = (251.6 \pm 5.9 \pm 4.9) \text{ MeV} (\sim 3.0\%)$$

$$|V_{cs}| = 0.980 \pm 0.023 \pm 0.019 (\sim 3.0\%)$$

$D_s^+ \rightarrow l^+ \nu_l$ via $e^+ e^- \rightarrow D_s^{*+} D_s^{*-}$

PRD 110, 052002 (2024)

10.64 fb⁻¹ @ 4.237-4.699 GeV



$$\mathcal{B}(D_s^+ \rightarrow \mu^+ \nu_\mu) = (0.547 \pm 0.026 \pm 0.016)\%$$

$$\mathcal{B}(D_s^+ \rightarrow \tau^+ \nu_\tau) = (5.60 \pm 0.16 \pm 0.20)\%$$

$$f_{D_s^+} |V_{cs}| = (246.5 \pm 5.9 \pm 3.6 \pm 0.5) \text{ MeV}$$

$$f_{D_s^+} |V_{cs}| = (252.7 \pm 3.6 \pm 4.5 \pm 0.6) \text{ MeV}$$

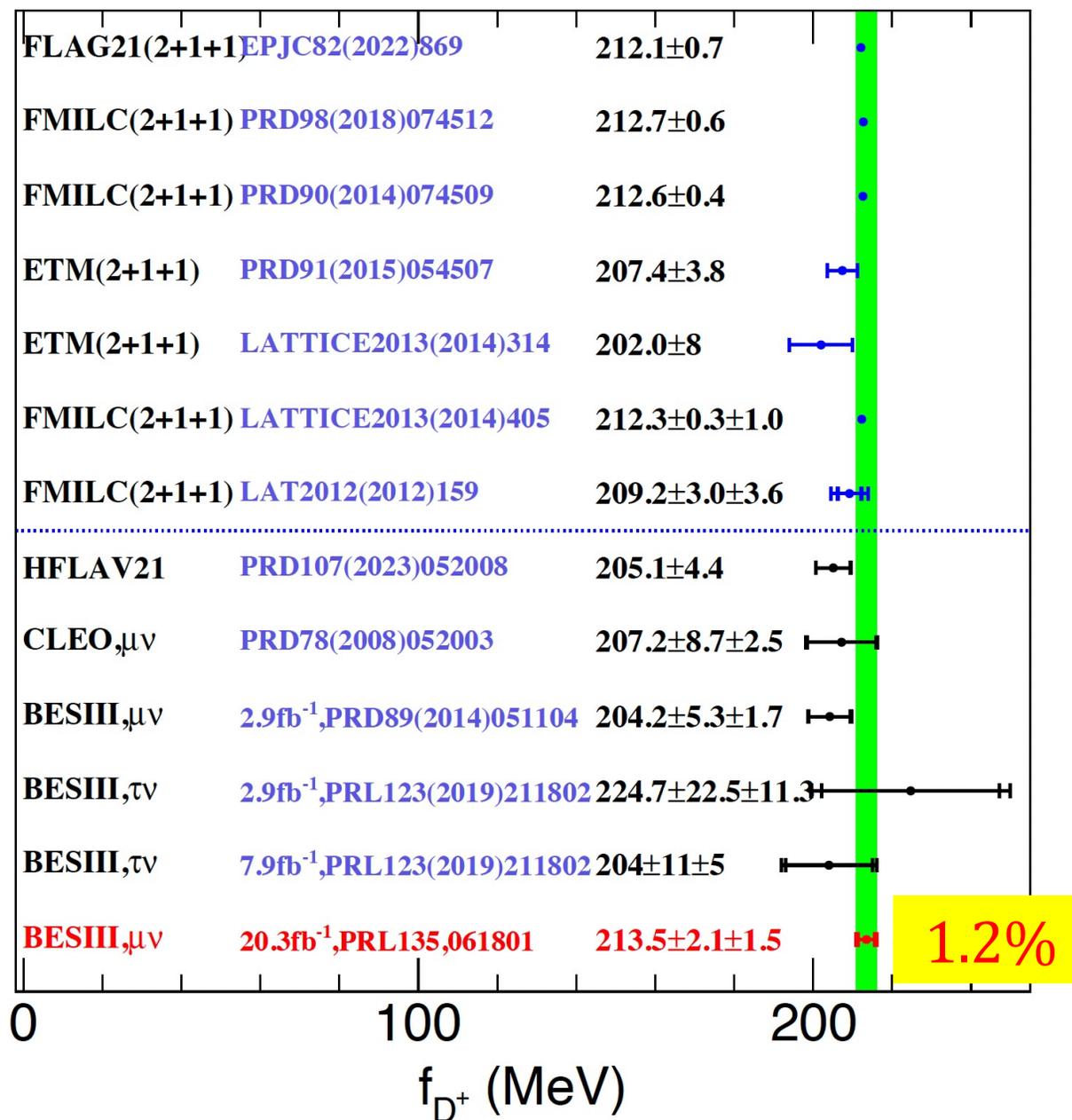
$$f_{D_s^+} = (253.2 \pm 6.0 \pm 3.7 \pm 0.6) \text{ MeV}$$

$$f_{D_s^+} = (259.6 \pm 3.7 \pm 4.6 \pm 0.6) \text{ MeV}$$

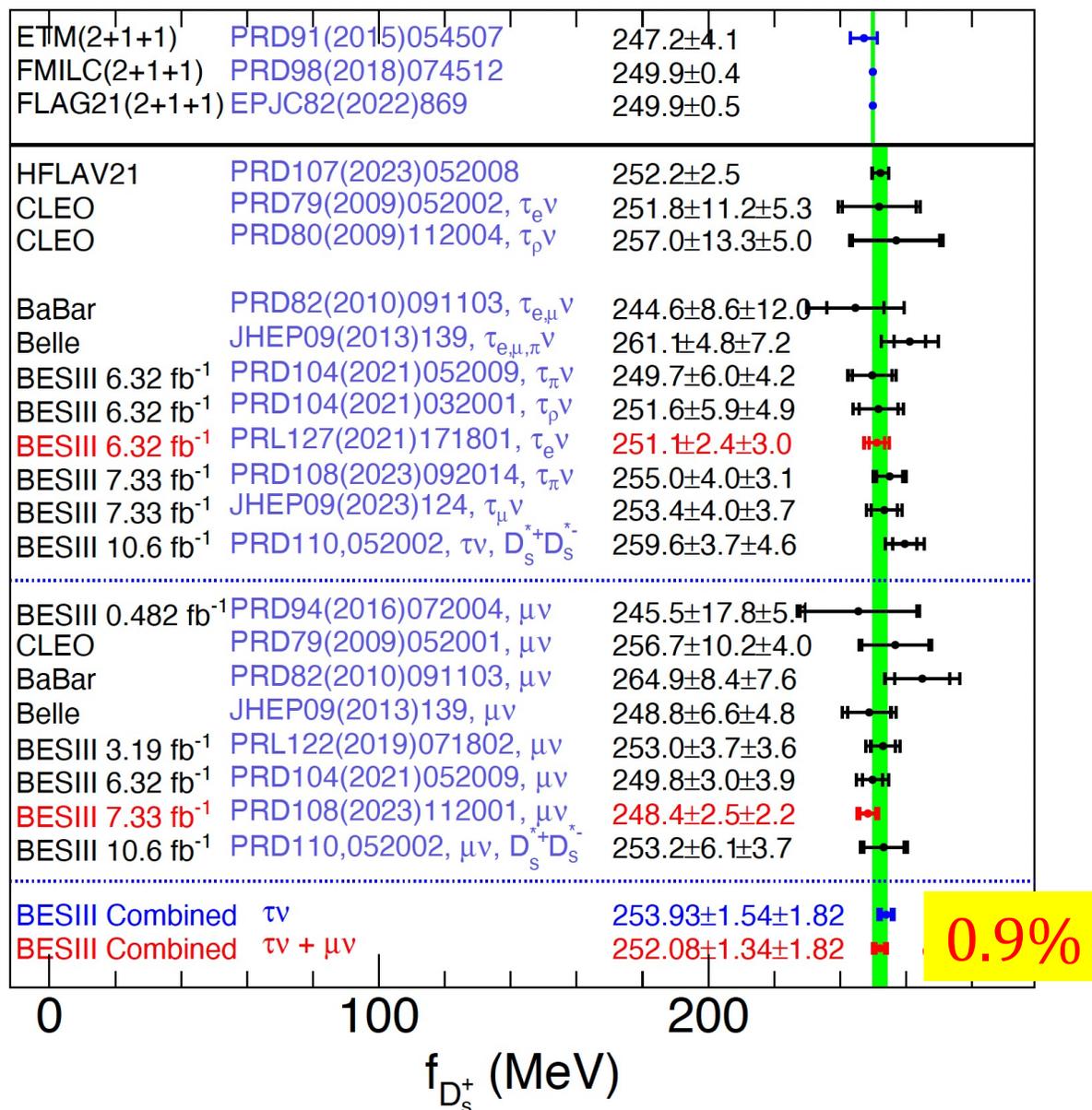
$$|V_{cs}| = 0.986 \pm 0.023 \pm 0.014 \pm 0.003$$

$$|V_{cs}| = 1.011 \pm 0.014 \pm 0.018 \pm 0.003$$

Comparison of f_{D^+}

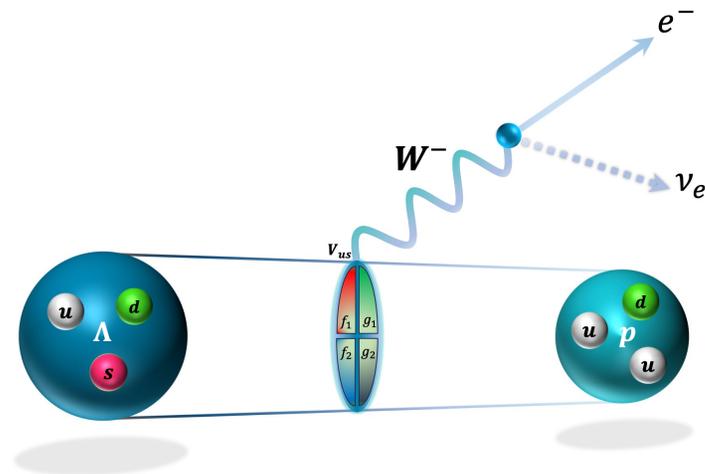
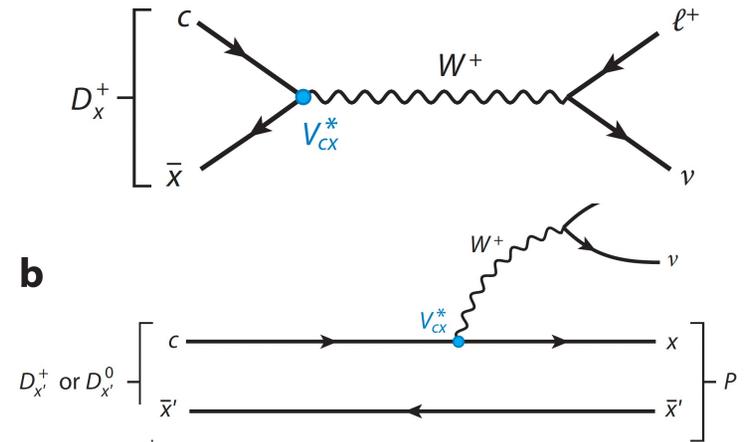


Comparison of $f_{D_s^+}$



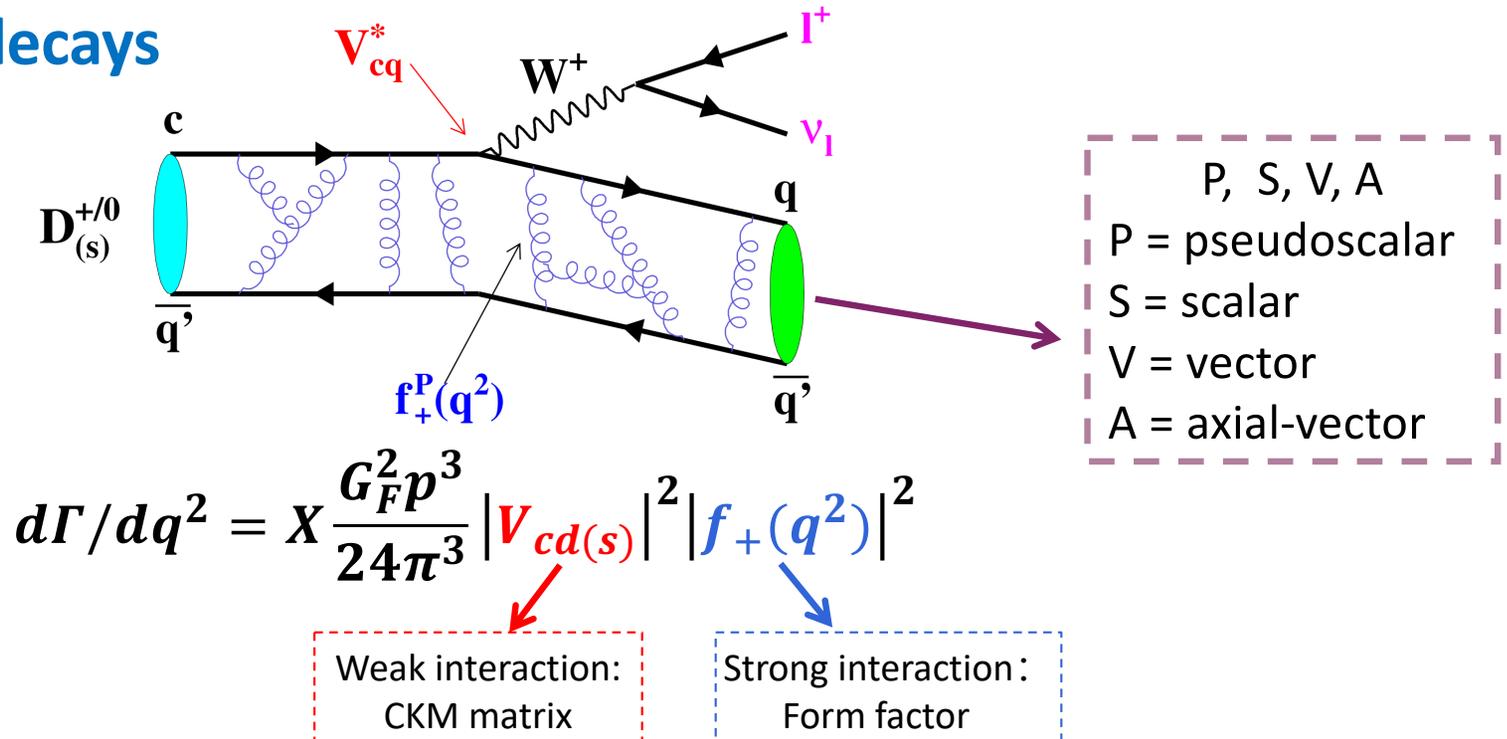
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Motivation

Semileptonic decays



- $|V_{cd(s)}|$ measurement \Rightarrow Test CKM matrix unitarity
- Form factor $f_+(0)$ measurements \Rightarrow Calibrate LQCD calculations
- Branching Fractions $\mathcal{B}_{\mu/e}$ \Rightarrow Test lepton flavor universality (LFU)
- Rare decays \Rightarrow Search for new physics effects beyond the SM

$D \rightarrow P l^+ \nu_l$

$$\Gamma(D \rightarrow P l^+ \nu_l) / dq^2 \propto |V_{cd(s)}|^2 |f_+(q^2)|^2$$

$P: K, \pi, \eta, \eta'$

$$q^2 = (E_{\ell^+} + E_{\nu_\ell})^2 - |\vec{p}_{\ell^+} + \vec{p}_{\nu_\ell}|^2$$

- Fit the measured partial decay width in different q^2 bin
- Taking the correlations among q^2 bins into account
- FF parameterized in different form

✓ Single pole model

$$f_+(q^2) = \frac{f_+(0)}{1 - \frac{q^2}{M_{pole}^2}}$$

✓ Modified pole model

$$f_+(q^2) = \frac{f_+(0)}{(1 - \frac{q^2}{M_{pole}^2})(1 - \alpha \frac{q^2}{M_{pole}^2})}$$

✓ ISGW2 model

$$f_+(q^2) = f_+(q_{max}^2) (1 + \frac{r^2}{12} (q_{max}^2 - q^2))^{-2}$$

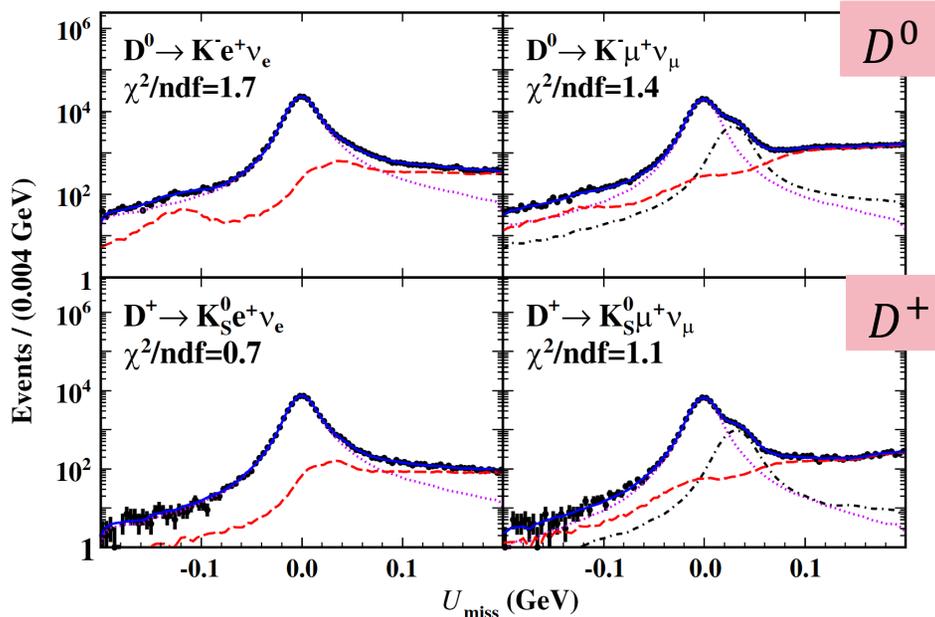
✓ Series expansion mode

$$f_+(t) = \frac{1}{P(t)\Phi(t, t_0)} a_0(t_0) (1 + \sum_{k=1}^{\infty} r_k(t_0) [z(t, t_0)]^k)$$

$D \rightarrow \bar{K} \ell^+ \nu_\ell (\ell = e, \mu)$

7.9fb⁻¹@E_{cm}=3.773 GeV

PRD 110, 112006 (2024)



- BF measurement:

$$B(D^0 \rightarrow K^- e^+ \nu_e) = (3.521 \pm 0.009 \pm 0.016)\%$$

$$B(D^0 \rightarrow K^- \mu^+ \nu_\mu) = (3.419 \pm 0.011 \pm 0.016)\%$$

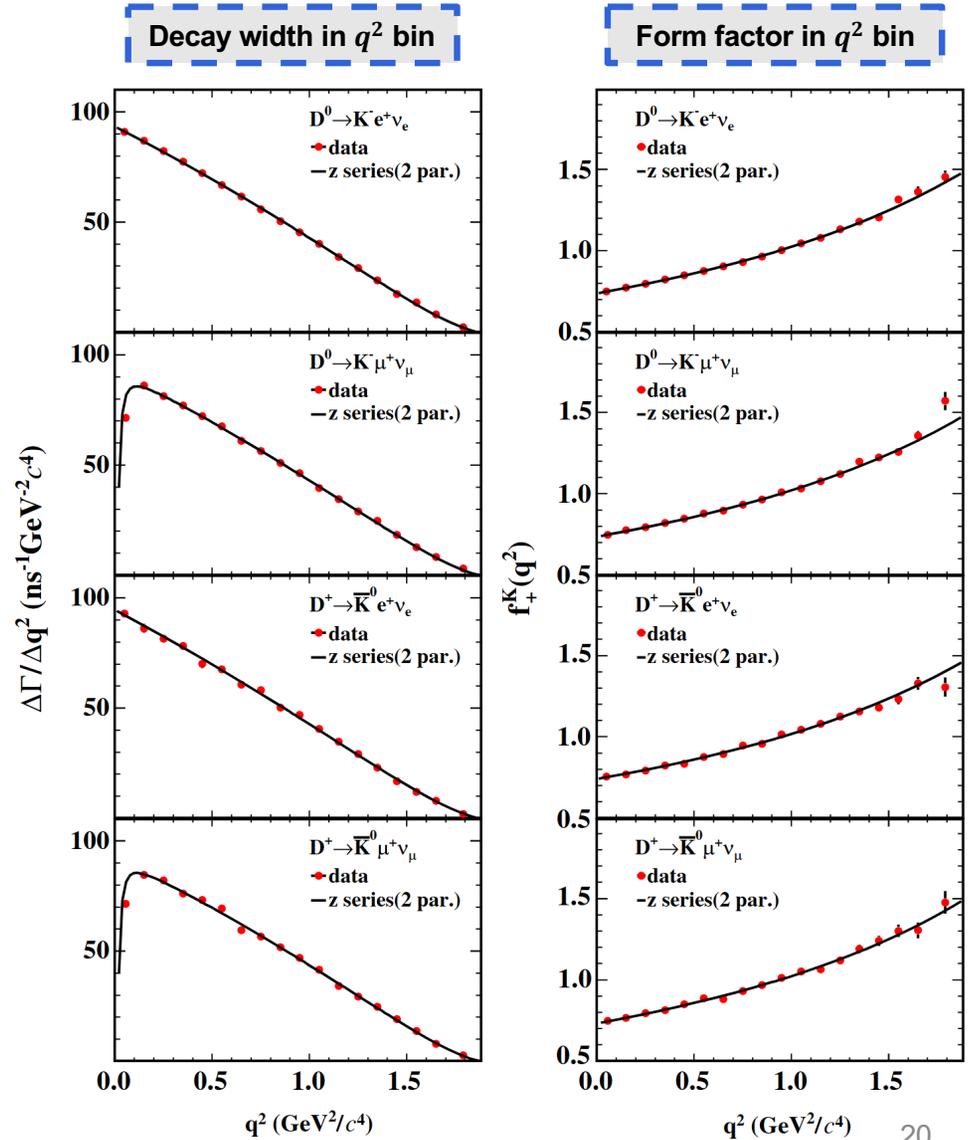
$$B(D^+ \rightarrow \bar{K}^0 e^+ \nu_e) = (8.864 \pm 0.039 \pm 0.082)\%$$

$$B(D^+ \rightarrow \bar{K}^0 \mu^+ \nu_\mu) = (8.665 \pm 0.046 \pm 0.084)\%$$

- LFU test (SM: 0.975 ± 0.001):

$$\mathcal{R}_{\mu/e}^{D^0 \rightarrow K^-} = 0.971 \pm 0.004 \pm 0.006 (\sim 0.7\%)$$

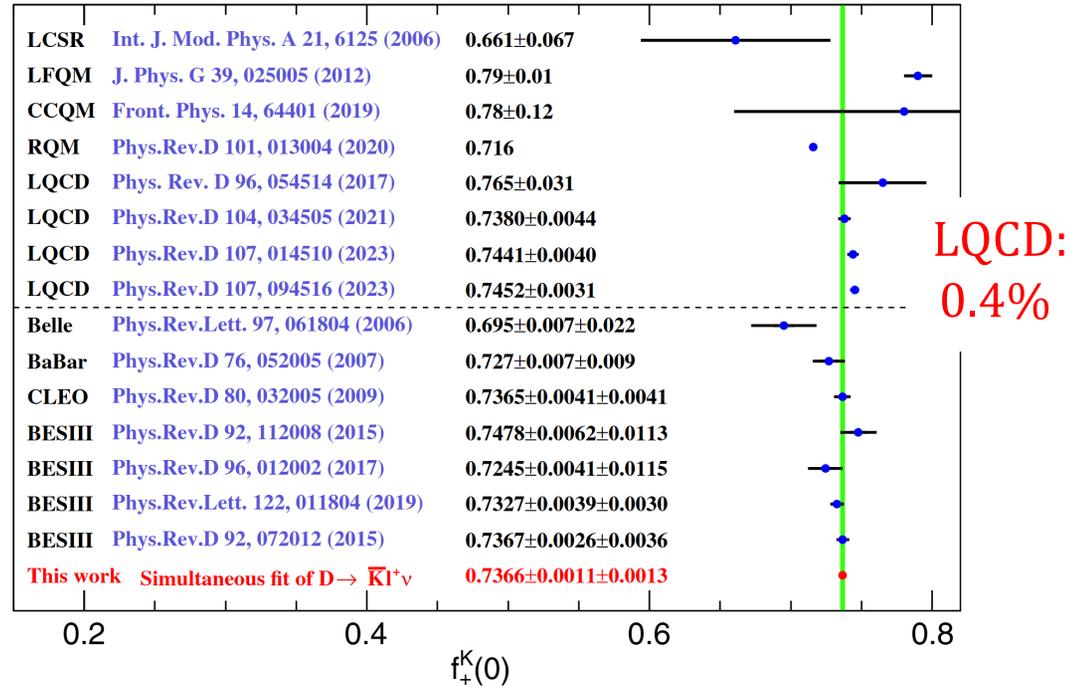
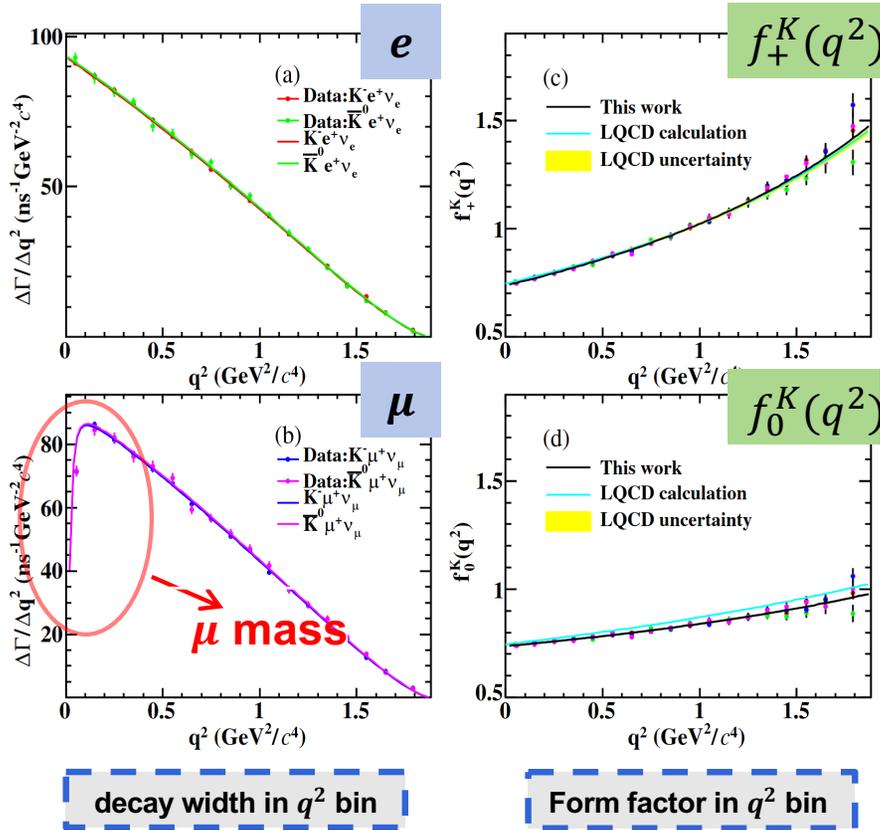
$$\mathcal{R}_{\mu/e}^{D^+ \rightarrow \bar{K}^0} = 0.978 \pm 0.007 \pm 0.013 (\sim 1.5\%)$$



$D \rightarrow \bar{K} \ell^+ \nu_\ell (\ell = e, \mu)$

Simultaneous fit

PRD 110, 112006 (2024)



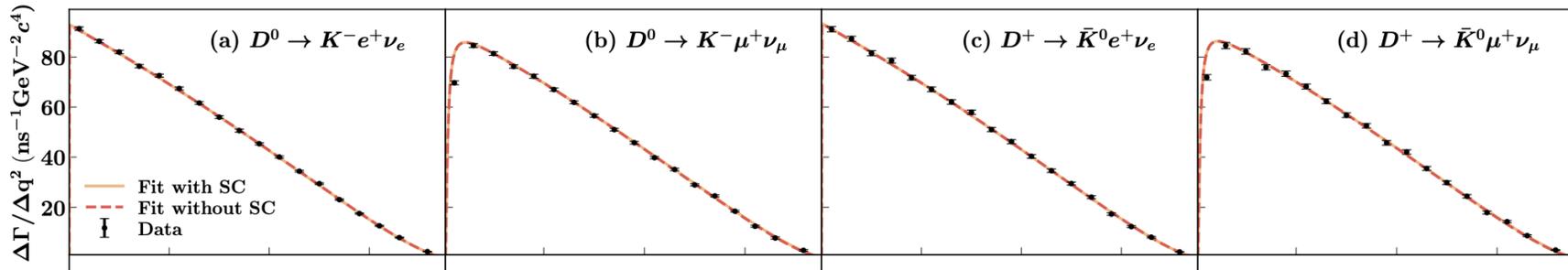
➤ $f_+^K(0) = 0.7366 \pm 0.0011 \pm 0.0013$ ($\sim 0.24\%$) improved by > a factor of 2

➤ $|V_{CS}| = (0.9623 \pm 0.0015 \pm 0.0017 \pm 0.0040_{\text{LQCD}})$ ($\sim 0.48\%$)

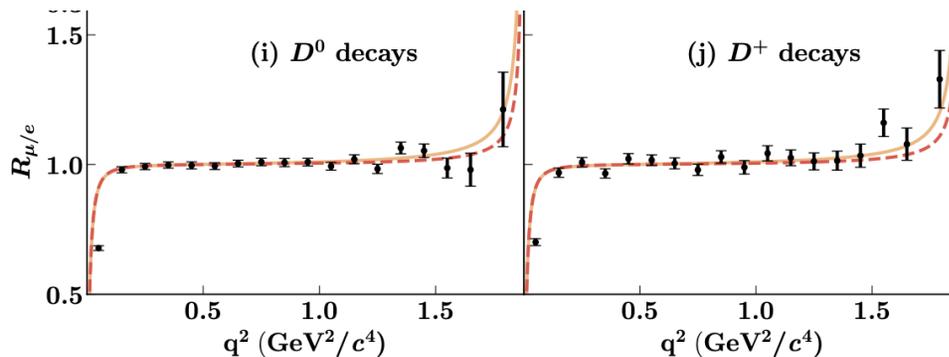
➤ Main uncertainty of $|V_{CS}|$ from the LQCD calculations in the input $f_+^K(0)$ ($\sim 0.42\%$) ($\sim 2\%$ before)

$D \rightarrow \bar{K} \ell^+ \nu_\ell (\ell = e, \mu)$

arXiv:2601.21185



decay width in q^2 bin



LFU test in q^2 bin

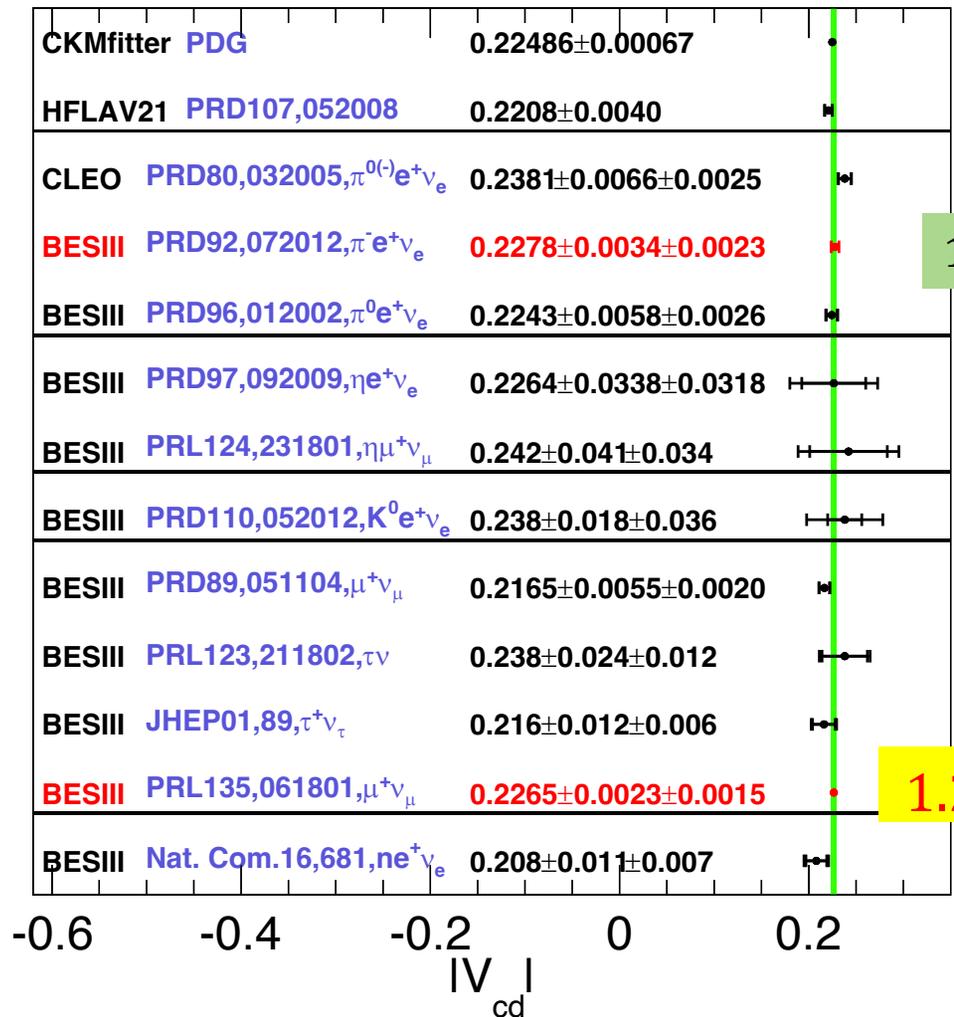
➤ Simultaneous fit to four $D \rightarrow \bar{K} \ell^+ \nu_\ell$ channels with 20.3 fb^{-1} data.

➤ $f_+^K(0) = 0.7355 \pm 0.0007 \pm 0.0014$ ($\sim 0.21\%$)

most precise

➤ $|V_{cs}| = (0.9608 \pm 0.0009 \pm 0.0019 \pm 0.0040_{\text{LQCD}})$ ($\sim 0.47\%$)

Comparison of $|V_{cd}|$



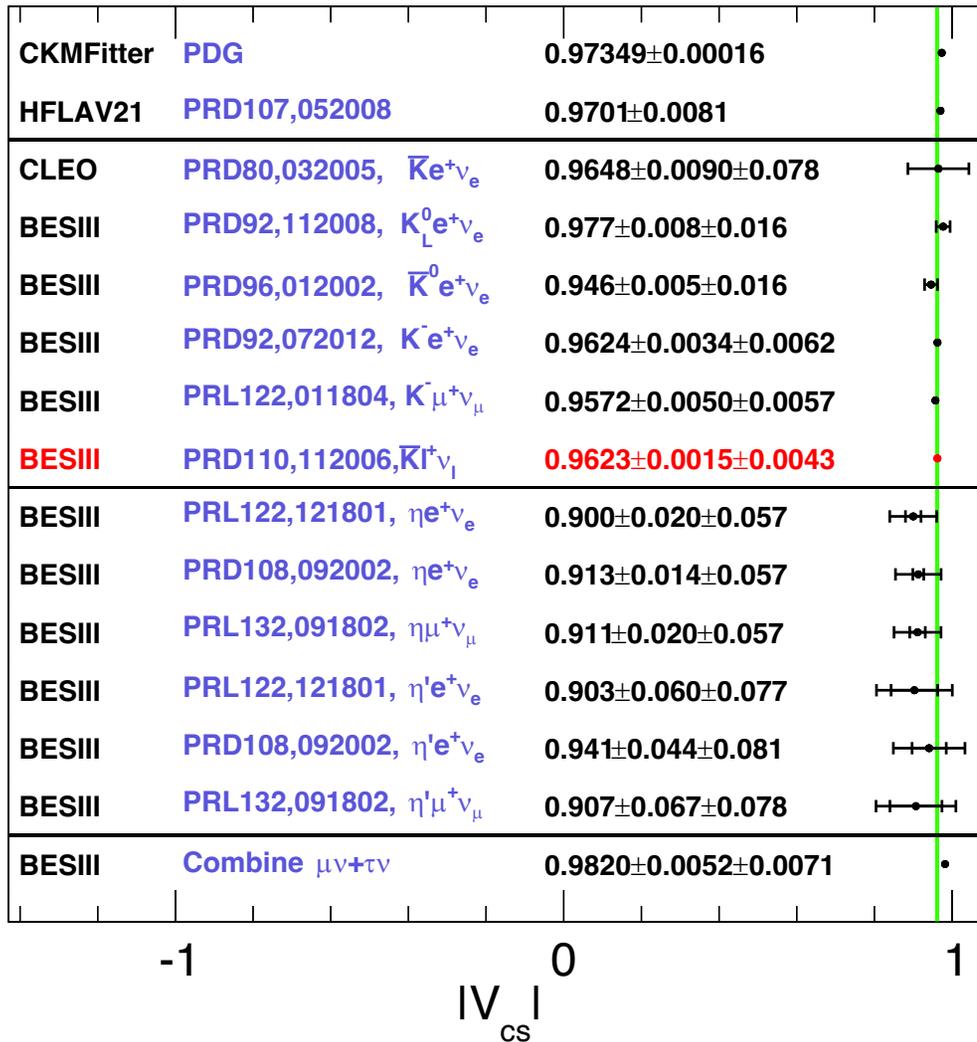
1.6%

The semi-leptonic decays have potential to yield better precision (Stat. Uncertainty < 0.5%) depending on the uncertainty from LQCD.

1.2%

The current best precision is from pure-leptonic decays

Comparison of $|V_{cs}|$



$\bar{K}l^+v_\ell$ simultaneous fit (stat sys \sim 0.2%)
 Main systematic uncertainty from the
 LQCD input $f_+^K(0)$

0.5%

1.0%

The best precision for
 pure-leptonic decays is 1%

$D \rightarrow K^*(892)l^+\nu_l (\ell = e, \mu)$

- BF measurement:

$$\mathcal{B}(D^0 \rightarrow \bar{K}^0 \pi^- \mu^+ \nu_\mu) = (1.373 \pm 0.020 \pm 0.023)\%$$

$$\mathcal{B}(D^0 \rightarrow \bar{K}^0 \pi^- e^+ \nu_e) = (1.444 \pm 0.022 \pm 0.024)\%$$

$$\mathcal{B}(D^+ \rightarrow K_S^0 \pi^0 e^+ \nu_e) = (0.943 \pm 0.012 \pm 0.010)\%$$

$$\mathcal{B}(D^+ \rightarrow K_S^0 \pi^0 \mu^+ \nu_\mu) = (0.896 \pm 0.017 \pm 0.008)\%$$

7.93fb⁻¹@E_{cm}=3.773 GeV

PRL 134, 011803 (2025)

JHEP 03 (2025) 197

JHEP 10 (2024) 199

PRL 135, 171801 (2025)

- Using the Partial wave analysis method

$$\mathcal{B}(D^0 \rightarrow K^*(892)^- \mu^+ \nu_\mu) = (2.062 \pm 0.039 \pm 0.032)\%$$

$$\mathcal{B}(D^0 \rightarrow K^*(892)^- e^+ \nu_e) = (2.039 \pm 0.032 \pm 0.034)\%$$

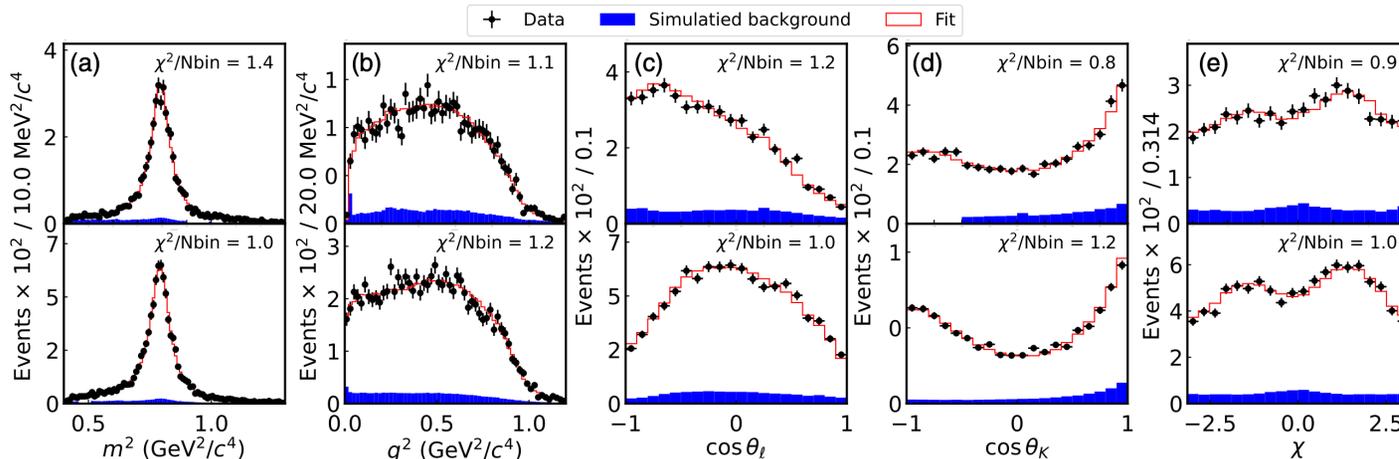
$$\mathcal{B}(D^+ \rightarrow K^*(892)^0 e^+ \nu_e) = (5.29 \pm 0.07 \pm 0.06)\%$$

$$\mathcal{B}(D^+ \rightarrow K^*(892)^0 \mu^+ \nu_\mu) = (5.00 \pm 0.10 \pm 0.06)\%$$

- FF of $D \rightarrow K^*(892)$

$$r_V = \frac{V(0)}{A_1(0)} = 1.42 \pm 0.03 \pm 0.02 \text{ (3\% - 4\%)}$$

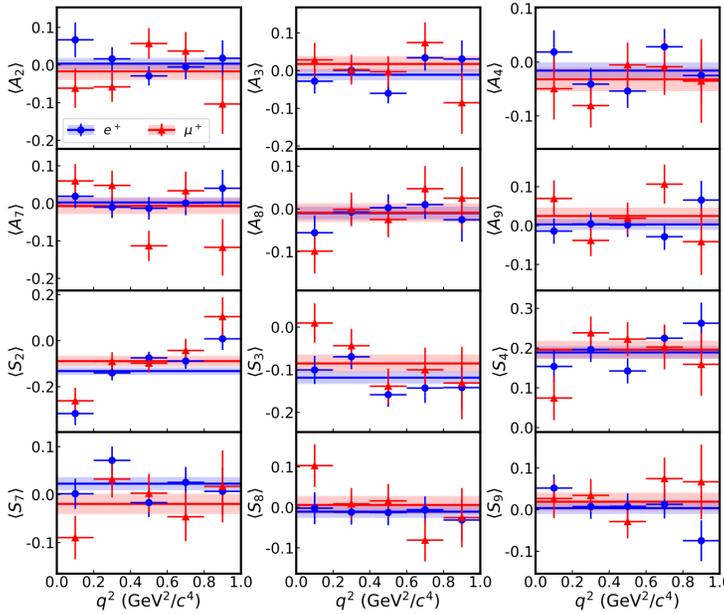
$$r_2 = \frac{A_2(0)}{A_1(0)} = 0.75 \pm 0.03 \pm 0.01 \text{ (5\% - 6\%)}$$



$D \rightarrow K^*(892)l^+\nu_l (\ell = e, \mu)$

7.93fb⁻¹@ $E_{cm}=3.773$ GeV

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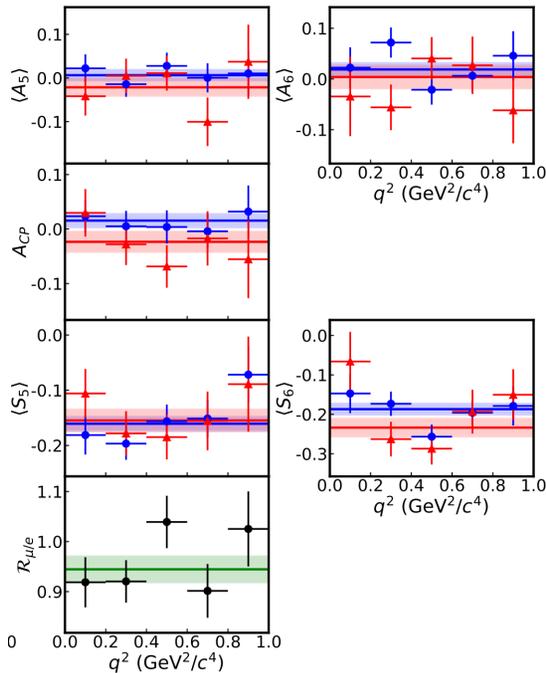
- LFU test:

$$R_{\mu/e} = 0.94 \pm 0.02 \pm 0.01$$

- Isospin test:

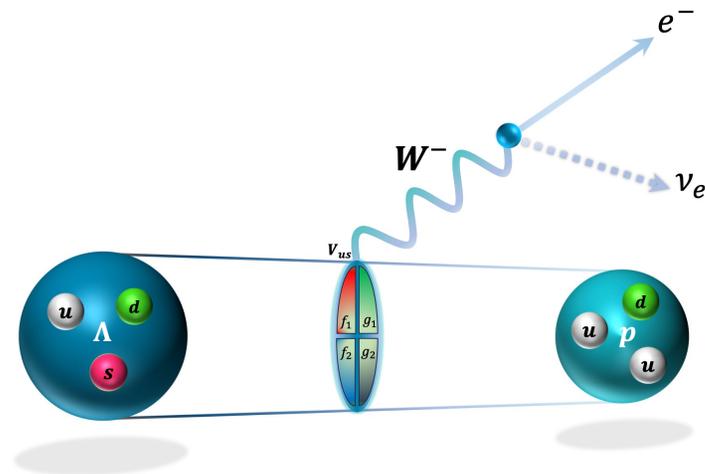
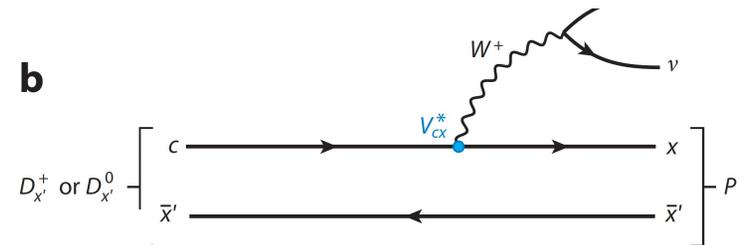
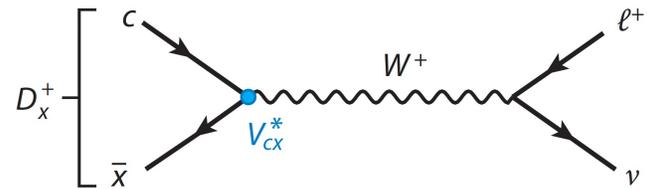
$$R_{K^-\pi^+/K_S^0\pi^0} = 4.02 \pm 0.06 \pm 0.10$$

- First measurement of full set of CP asymmetries and averaged angular observables with A_{CP}
- All measured null-test observables A_{CP} , $\langle S_{7-9} \rangle$ and $\langle A_{2-9} \rangle$ agree with the SM predictions.

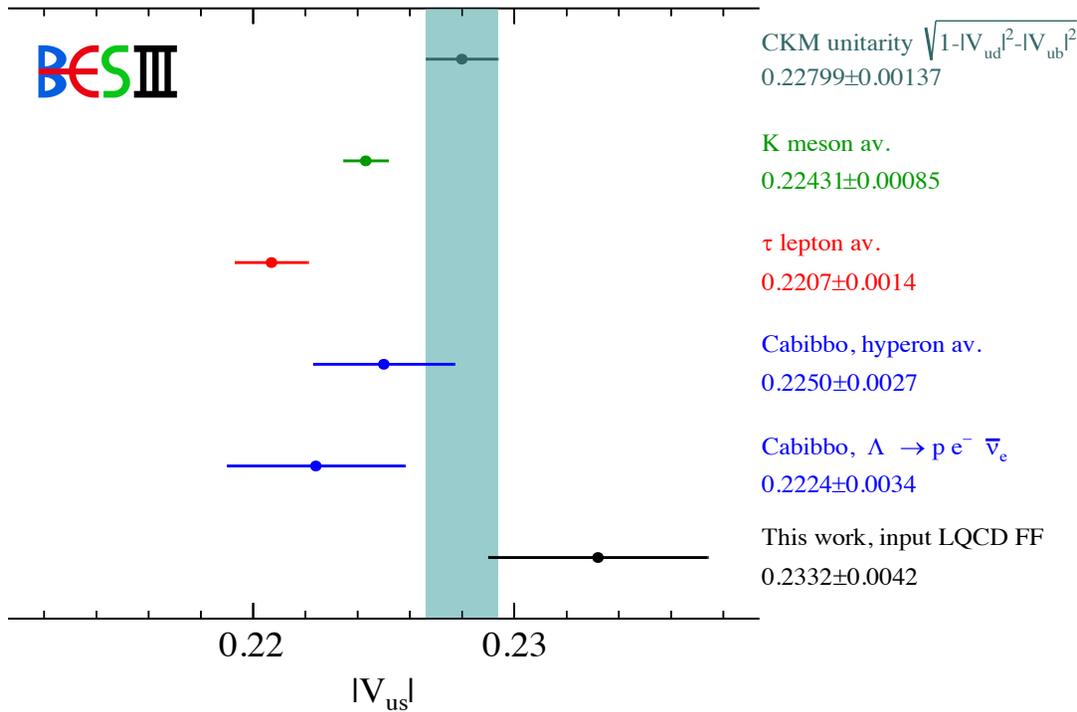


Outline

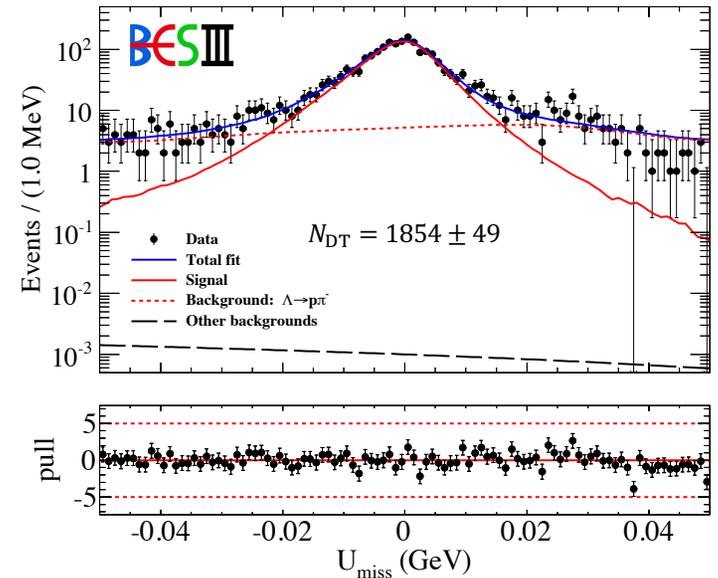
- BESIII dataset
- $|V_{cd}|$ and $|V_{cs}|$
 - pure leptonic charm decays
 - semi-leptonic charm decays
- $|V_{us}|$
- Summary



$\Lambda \rightarrow pe^- \bar{\nu}_e$



arXiv:2509.09266, submit to Nature



First absolute BF measurement

$$\mathcal{B}(\Lambda \rightarrow pe^- \bar{\nu}_e) = (8.16 \pm 0.22 \pm 0.15) \times 10^{-4}$$

Determination of $|V_{us}|$

➤ Assume SU(3) is conserved, $f_1 = \sqrt{3/2}$ [PRL92(2004)251803]

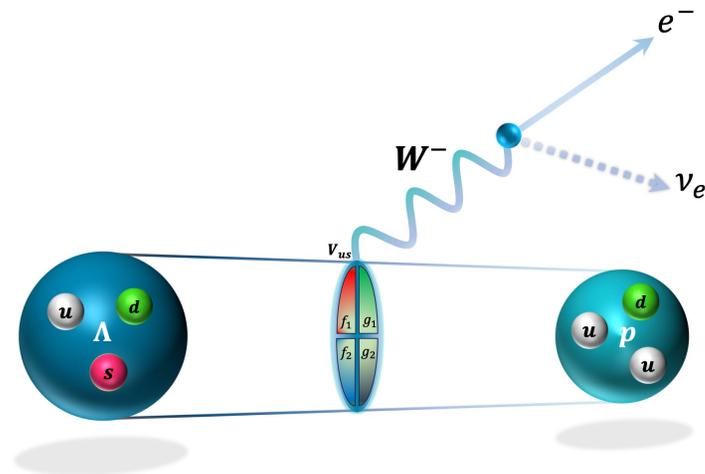
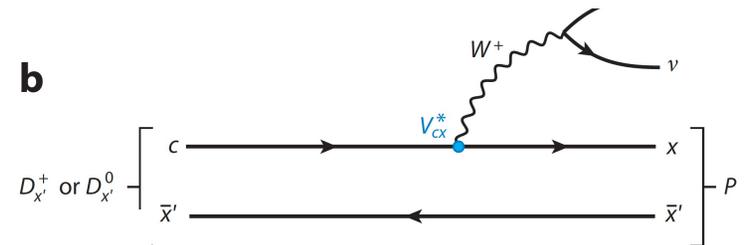
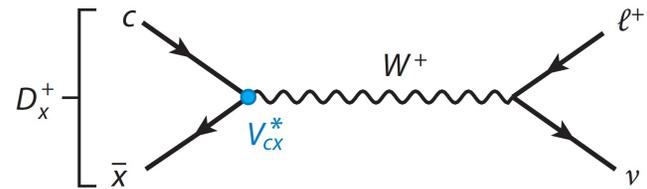
$$|V_{us}|_{SU(3)} = 0.2199 \pm 0.0036_{\text{BESIII BF}} \pm 0.0087_{\text{BESIII FF}} \pm 0.0004_{\tau_\Lambda} \pm 0.0005_{\text{RC}}$$

➤ Using LQCD FF prediction [arXiv:2507.09970]

$$|V_{us}|_{\text{LQCD}} = 0.2332 \pm 0.0039_{\text{BESIII BF}} \pm 0.0004_{\tau_\Lambda} \pm 0.0006_{\text{RC}} \pm 0.0014_{\text{LQCD}}$$

Outline

- BESIII dataset
- $|V_{cd}|$ and $|V_{cs}|$
 - pure leptonic charm decays
 - semi-leptonic charm decays
- $|V_{us}|$
- **Summary**



Summary & Outlook

- Pure and semi-leptonic charm decays are idea for measuring $|V_{cd(s)}|$, decay constants and form factors of charmed mesons.
- Uncertainty from radiative correction becomes crucial for Pure leptonic decays.
- Current most precise measurement
 - $|V_{cs}| \Rightarrow 0.5\%$ from $D \rightarrow \bar{K} \ell^+ \nu_\ell$ (dominate uncertainty: LQCD form factor)
 - $|V_{cd}| \Rightarrow 1.2\%$ from $D^+ \rightarrow \mu^+ \nu_\mu$. ($D \rightarrow \pi e^+ \nu_e$ will yield the most precise $|V_{cd}|$)
- $D \rightarrow V \ell^+ \nu_\ell$ could also contribute to the measurement of $|V_{cs}|$.
- Systematic uncertainty dominates now. How to reduce systematic uncertainty will be the key to improve precision in the future experiments.
- First determination of $|V_{us}|$ using threshold data.

Thanks for the attention