

Gravitational particle production

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- **cosmological gravitational particle production:**
 - *always there*
 - *important for very weakly coupled particles*
 - *non-thermal dark matter*

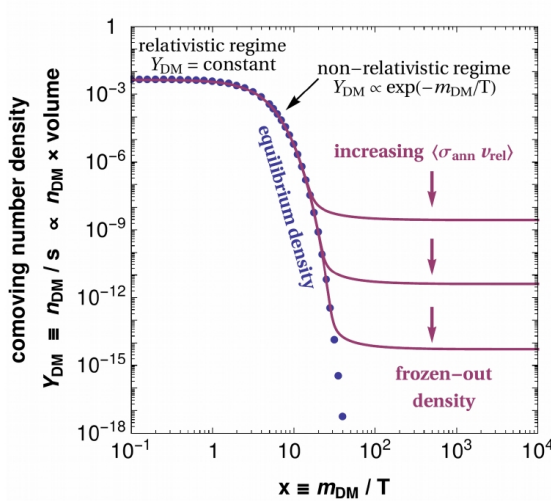
- **production of $s = 0, \frac{1}{2}, 1$ states**
 - *qualitatively different features*

- **quantum gravity effects**
 - *as important as classical gravity*

Dark Matter Models

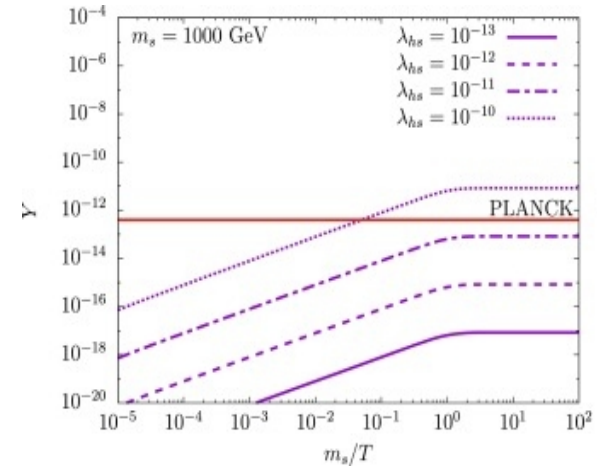
thermal

non-thermal



No memory

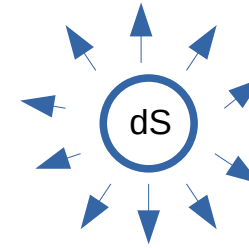
(“attractor solution”)



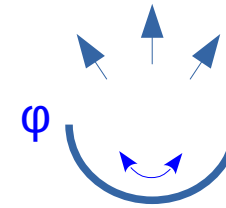
Memory

Particle production in the Early Universe:

- during inflation



- via inflaton oscillations



- inflaton decay



- thermal emission (freeze-in)



Stable (very) weakly coupled particles accumulate!

Particle production via classical gravity

Expanding Friedmann Universe : $g_{\mu\nu} = a^2(\eta) \text{diag}(1, -1, -1, -1)$
(conformal time η : $dt = a d\eta$)

Free scalar field : $\mathcal{L} = \frac{1}{2} g^{\mu\nu} \partial_\mu \Phi \partial_\nu \Phi - V(\Phi)$

$$V(\Phi) = \frac{1}{2} m^2 \Phi^2$$

Rescaled scalar : $\phi = a(\eta) \Phi$

Mode expansion and EOM :

$$\phi(\eta, \mathbf{x}) = \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \left[a_{\mathbf{k}} \chi_k(\eta) e^{i\mathbf{k} \cdot \mathbf{x}} + a_{\mathbf{k}}^\dagger \chi_k^*(\eta) e^{-i\mathbf{k} \cdot \mathbf{x}} \right]$$

$$\chi_k'' + \omega_k^2 \chi_k = 0 \quad , \quad \omega_k^2(\eta) = k^2 + a^2(\eta) m^2 + \left(\frac{1}{6} - \xi \right) a^2(\eta) R(\eta)$$

particle production!

To define a particle, need adiabatically flat space-time and Fock space vacuum

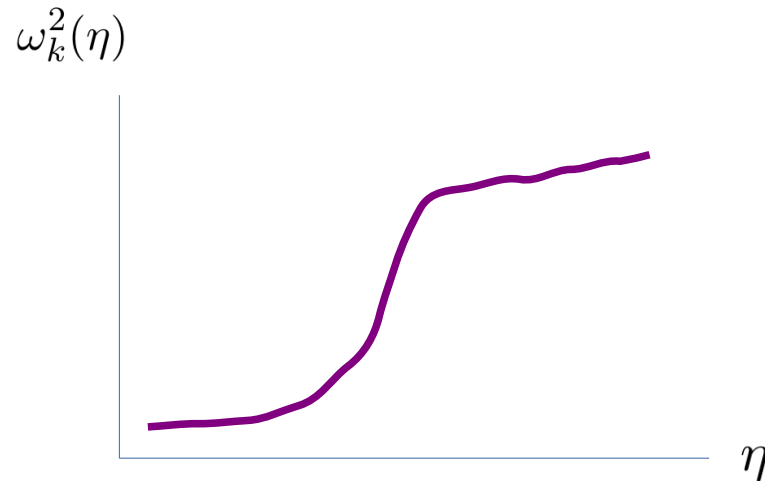
$$\chi_k'' + \omega_k^2 \chi_k = 0$$

$$\eta \rightarrow -\infty : \quad$$

$$\omega_k^2(\eta) \rightarrow k^2$$

$$\eta \rightarrow +\infty : \quad$$

$$\omega_k^2(\eta) \rightarrow a^2(\eta) m^2$$



Asymptotic solutions :
 (“Minkowski-like”)

$$\chi_k^{\text{in}}(\eta \rightarrow -\infty) \rightarrow \frac{e^{-i \int^{\eta} d\eta' \omega_k(\eta')}}{\sqrt{2\omega_k(\eta)}},$$

$$\chi_k^{\text{out}}(\eta \rightarrow +\infty) \rightarrow \frac{e^{-i \int^{\eta} d\eta' \omega_k(\eta')}}{\sqrt{2\omega_k(\eta)}}.$$

Bunch-Davies vacuum :
 (no particles initially)

$$a_{\mathbf{k}}^{\text{in}} |0^{\text{in}}\rangle = 0$$

Keeping the field intact, can choose different expansion modes,

$$\phi(\eta, \mathbf{x}) = \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \left[a_{\mathbf{k}} \chi_k(\eta) e^{i\mathbf{k} \cdot \mathbf{x}} + a_{\mathbf{k}}^\dagger \chi_k^*(\eta) e^{-i\mathbf{k} \cdot \mathbf{x}} \right]$$

Bogolyubov transform :

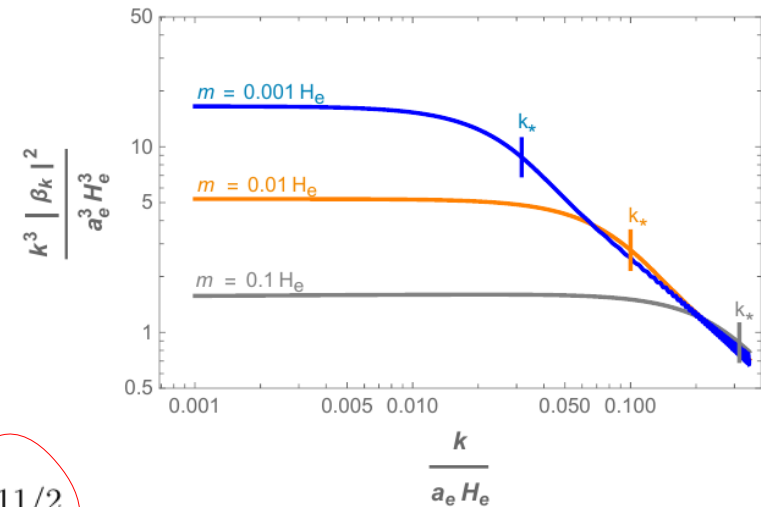
$$\left\{ \begin{array}{l} \chi_k^{\text{in}}(\eta) = \alpha_k \chi_k^{\text{out}}(\eta) + \beta_k \chi_k^{\text{out}*}(\eta) \\ a_{\mathbf{k}}^{\text{in}} = \alpha_k^* a_{\mathbf{k}}^{\text{out}} - \beta_k^* a_{-\mathbf{k}}^{\text{out}\dagger} \end{array} \right. \quad \rightarrow \quad a_{\mathbf{k}}^{\text{out}} |0^{\text{in}}\rangle \neq 0$$

Particle number at late times:

Feiteira, OL' 25

$$\langle 0^{\text{in}} | N^{\text{out}} | 0^{\text{in}} \rangle = V \int \frac{d^3 \mathbf{k}}{(2\pi)^3} |\beta_k|^2$$

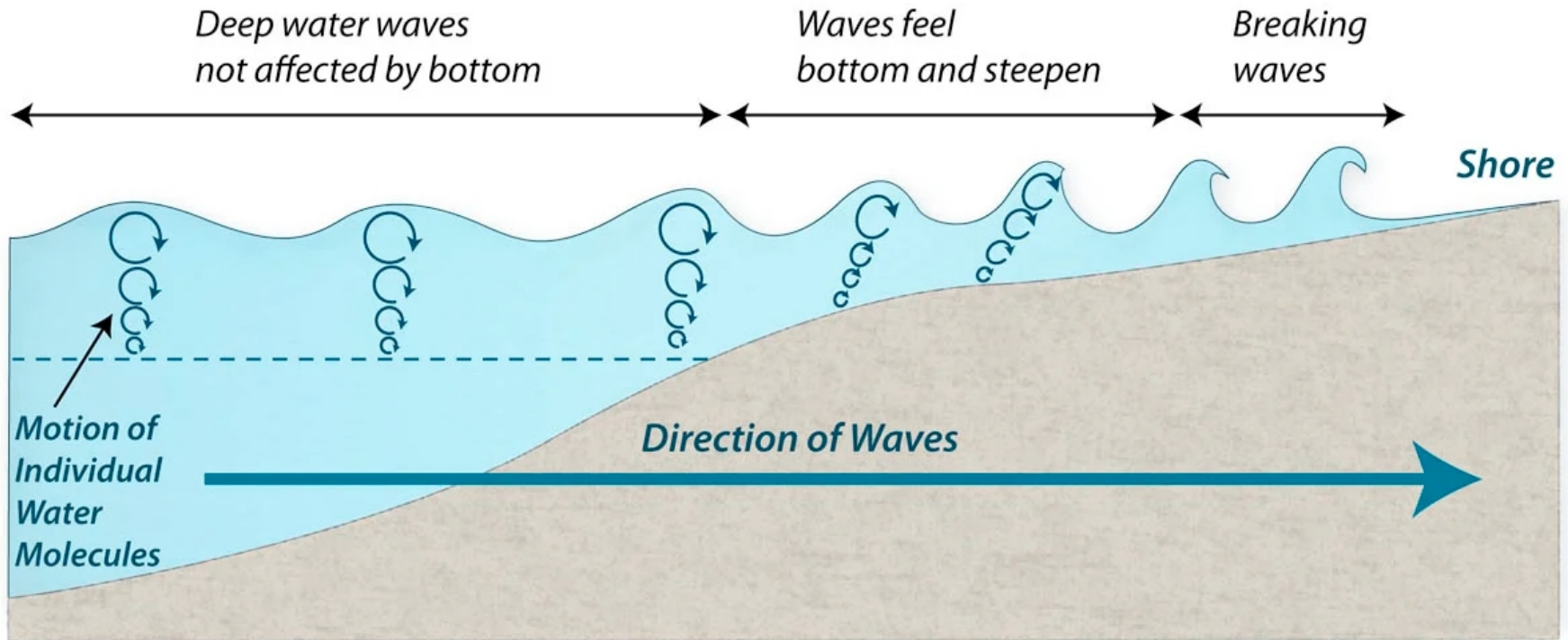
$$\beta_k = i(\chi_k^{\text{out}\prime} \chi_k^{\text{in}} - \chi_k^{\text{out}} \chi_k^{\text{in}\prime})$$



Total:
$$a^3 n = \frac{3\kappa^2 a_e^3}{4\pi^2} \frac{H_e^{11/2}}{m^{5/2}}$$
 huge!

Inflationary particle production:

fluctuations → *particles*



Starobinsky ~ Bogolyubov

$\bar{\Phi}$ \longrightarrow particles when $H \sim m$



**particle
abundance**

$$Y = \frac{n}{s_{\text{SM}}} \quad , \quad s_{\text{SM}} = \frac{2\pi^2 g_*}{45} T^3$$

**radiation
domination:**

$$Y \simeq 0.07 \times \frac{\bar{\Phi}^2}{m^{1/2} M_{\text{Pl}}^{3/2}}$$



$$m \ll eV$$

**matter
domination:**

$$Y \simeq 0.07 \times \frac{1}{\Delta} \frac{H_e^{1/2} \bar{\Phi}^2}{m M_{\text{Pl}}^{3/2}}$$

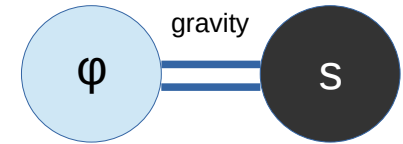


$$T_R \lesssim \text{GeV}$$

$$\Delta \equiv \sqrt{\frac{H_e}{H_R}} \simeq \frac{T_{\text{inst}}}{T_R} \gg 1$$



Quantum gravity induces all gauge invariant operators (with unknown coefficients)



Effective field theory regime *after inflation* $\varphi \lesssim M_{\text{Pl}}$ 

Lowest order inflaton (φ) – dark relic (s) couplings:

C_i = unknown Wilson coefficients ;
omit dim-5 by φ -parity

$$\Delta\mathcal{L}_6 = \frac{C_1}{M_{\text{Pl}}^2} (\partial_\mu\phi)^2 s^2 + \frac{C_2}{M_{\text{Pl}}^2} (\phi\partial_\mu\phi)(s\partial^\mu s) + \frac{C_3}{M_{\text{Pl}}^2} (\partial_\mu s)^2 \phi^2 - \frac{C_4}{M_{\text{Pl}}^2} \phi^4 s^2 - \frac{C_5}{M_{\text{Pl}}^2} \phi^2 s^4$$

Oscillating inflaton background



efficient particle production

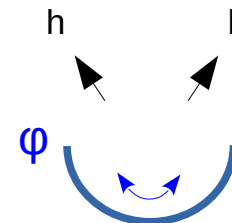


Example: perturbative calculation (no collective effects)

Take

$$\Delta V = \frac{1}{4} \lambda_{\phi h} \phi^2 h^2$$

$$\phi^2(t) = \sum_{n=-\infty}^{\infty} \zeta_n e^{-in\omega t}$$



Transition amplitude $|0\rangle \rightarrow |p, q\rangle$

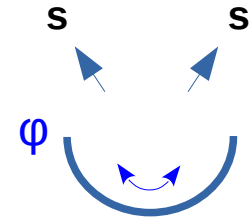
$$-i \int_{-\infty}^{\infty} dt \langle f | V(t) | i \rangle = -i \frac{\lambda_{\phi h}}{2} (2\pi)^4 \delta(\mathbf{p} + \mathbf{q}) \sum_{n=1}^{\infty} \zeta_n \delta(E_p + E_q - n\omega)$$

Reaction rate per unit volume

$$\Gamma = \sum_{n=1}^{\infty} \Gamma_n = \sum_{n=1}^{\infty} \frac{1}{2} \int |\mathcal{M}_n|^2 d\Pi_n = \frac{\lambda_{\phi h}^2}{64\pi} \sum_{n=1}^{\infty} |\zeta_n|^2 \sqrt{1 - \left(\frac{2m_h}{n\omega}\right)^2} \theta(n\omega - 2m_h)$$

Same applies to gravity-induced operators

$$\frac{\phi^4 s^2}{M_{\text{Pl}}^2}, \quad \frac{\phi^6 s^2}{M_{\text{Pl}}^4}, \quad \frac{\phi^8 s^2}{M_{\text{Pl}}^6}, \quad \dots$$



Take $\frac{C_4}{M_{\text{Pl}}^2} \phi^4 s^2$:

- compute s -production rate Γ
- solve the Boltzmann equation
- apply bound on dark relic abundance

$$\dot{n} + 3Hn = 2\Gamma$$

$$Y \leq 4.4 \times 10^{-10} \frac{\text{GeV}}{m_s}$$



$$|C_4| < 10^{-3} \Delta_{\text{NR}}^{1/2} \frac{H_{\text{end}}^{5/4} M_{\text{Pl}}^{11/4}}{\phi_0^4} \sqrt{\frac{\text{GeV}}{m_s}}$$

$$\Delta_{\text{NR}} \equiv \left(\frac{H_{\text{end}}}{H_{\text{reh}}} \right)^{1/2}$$

dilution factor

Typical bound strength :

$$(\phi_0 \sim M_{\text{Pl}}, H_{\text{end}} \sim 10^{14} \text{ GeV}, \Delta_{\text{NR}} \sim 1, m_s \gtrsim 1 \text{ GeV})$$

$$|C_4| < 10^{-8} \quad ??$$

Fermions

Lowest order operator = dim-5:

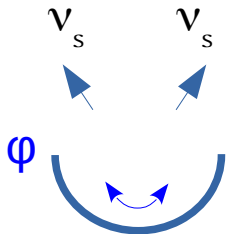
$$\frac{\mathcal{C}}{M_{\text{Pl}}} \phi^2 \bar{\Psi} \Psi$$

Bound from the dark relic abundance:

$$\mathcal{C} \lesssim 10^{-4} \Delta_{\text{NR}}^{1/2} \frac{M_{\text{Pl}}^{3/4} H_e^{1/4}}{\phi_0} \sqrt{\frac{\text{GeV}}{M}}$$

Typical bound:

$$\mathcal{C} \leq 10^{-5}$$



*produces viable **COLD keV sterile neutrino DM** ($\mathcal{C} \sim 0.1$)*

Quark-lepton production by classical gravity:

By conformal transformation:

Parker '71
Chung, Everett, Yoo, Zhou '11

$$(i\gamma^\mu \partial_\mu - a(\eta)M) \Psi = 0 \quad \rightarrow \quad Y \propto \left(\frac{M}{M_{\text{Pl}}} \right)^{3/2}$$

Seems tiny for standard fermion masses...

But the Higgs field is **large**:

Starobinsky, Yokoyama '94

$$\langle h^2 \rangle \rightarrow 0.1 \frac{H^2}{\sqrt{\lambda_h}}$$

Feiteira, Koutroulis, OL, Pokorski '25

$$Y^{\text{SM}} \sim 10^{-3} \times \frac{Y_f^{7/2}}{\lambda_h} \left(\frac{H_e}{M_{\text{Pl}}} \right)^{3/2}$$

up to 10^{20} above
naive estimate

Non-reheating SM production

Bottom line: gravitationally produced relics are (over) abundant

How to get rid of them?

inflaton energy density $\sim a^{-3}$

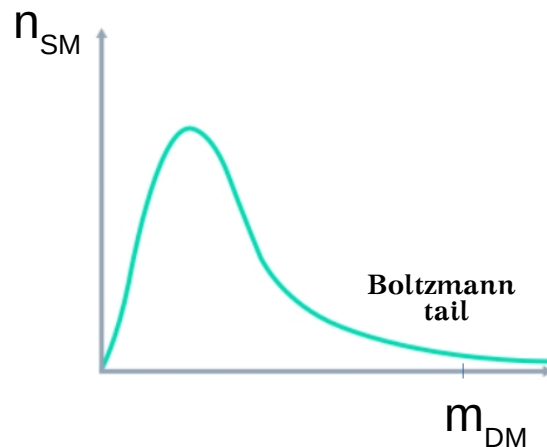
rel. relic energy density $\sim a^{-4}$



low T_R

What if $T_R < m_{DM}$?

Cosme, Costa, OL '23



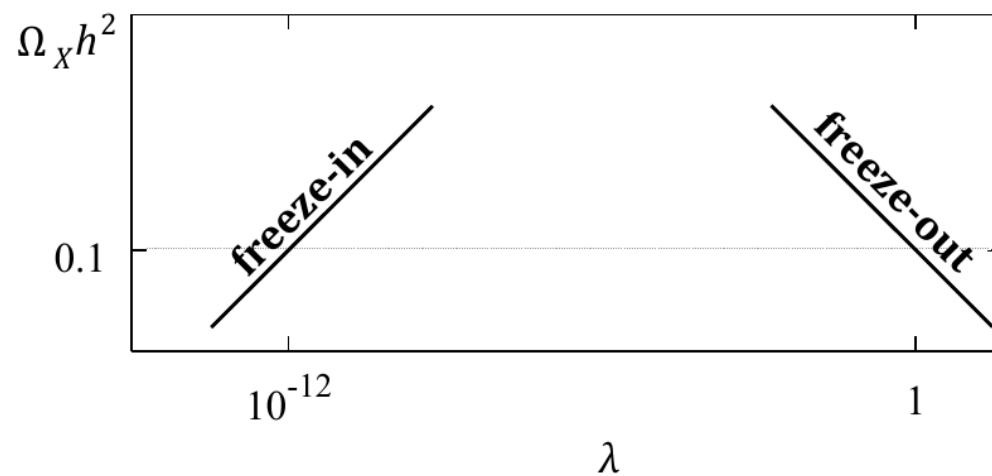
off the
tail



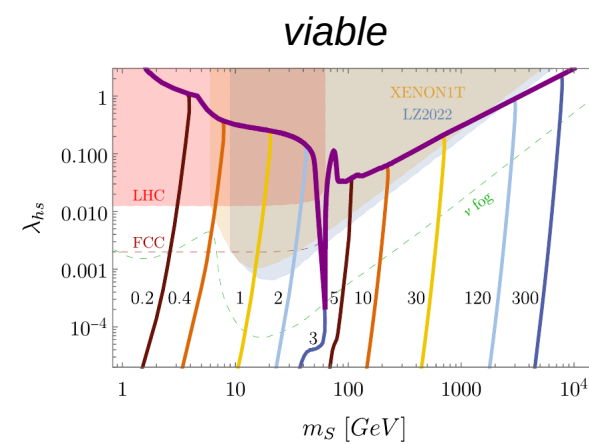
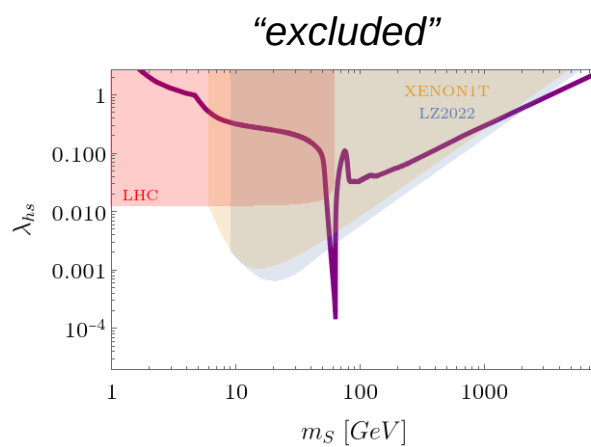
SM *DM*
SM *DM*

A diagram consisting of two intersecting lines forming an 'X' shape. The top-left and bottom-right ends are labeled *SM*, and the top-right and bottom-left ends are labeled *DM*.

generic models



freeze-in at stronger coupling



On spin-1 particle production: some subtleties

A massive vector with non-minimal couplings to gravity:

$$S = \int d^4x \sqrt{|g|} \left(-\frac{1}{4} F^{\mu\nu} F_{\mu\nu} + \frac{1}{2} m_A^2 g^{\mu\nu} A_\mu A_\nu - \frac{1}{2} \xi_1 R g^{\mu\nu} A_\mu A_\nu - \frac{1}{2} \xi_2 R^{\mu\nu} A_\mu A_\nu \right)$$

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Editors' Suggestion

Runaway Gravitational Production of Dark Photons

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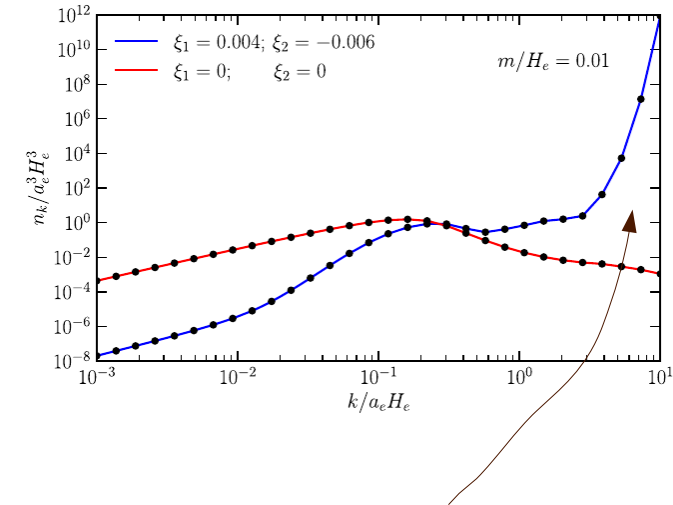
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We demonstrate that gravitational particle production of a massive, Abelian, vector (Proca) field during inflation in the presence of nonminimal coupling to gravity may suffer from an instability which leads to runaway production of high-momentum modes. This is untenable unless there is some mechanism to regulate the runaway. We discuss the parameter space of the particle mass and nonminimal couplings where such a runaway occurs and possible ways to tame the runaway. We find that there is no obvious way to resolve the runaway in a UV completion or with kinetic mixing to the standard model.



runaway production of
high-momentum modes

... however, this is an effective theory (UV-incomplete):

$$A A \rightarrow \textit{graviton graviton} \text{ scattering : } |\mathcal{A}| \propto |\xi_{1,2}| \frac{E^4}{m_A^2 M_{\text{Pl}}^2}$$

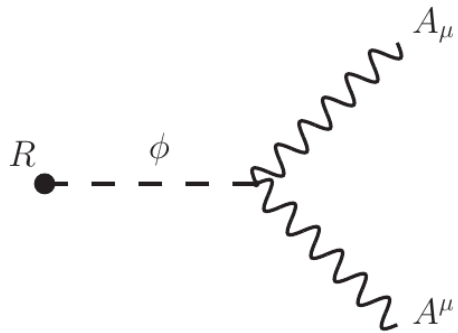


$$p_{\text{max}} \lesssim \frac{\sqrt{m_A M_{\text{Pl}}}}{|\xi_{1,2}|^{1/4}}$$

consistent with gauge invariance !

To study the high-energy behavior, **need a UV completion**

Generate effective ξ couplings by integrating out heavy spin-0 and spin-2 states :



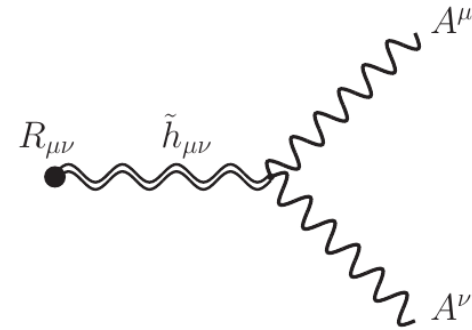
$$\mathcal{L}_{\text{sc}} = \overline{D_\mu \Phi} D^\mu \Phi - \frac{1}{2} \xi R |\Phi|^2 - V(\Phi)$$



$$\mathcal{L}_{\xi_1} = -\frac{1}{2} \xi \frac{m_A^2}{m_s^2} R A_\mu A^\mu$$

$$p \ll p_{\text{max}} \sim \sqrt{\frac{\xi}{\xi_1}} m_A, \quad |\xi R|/2 \ll m_s^2$$

$$|\xi_1| \ll \frac{1}{6} \frac{m_A^2}{H^2}$$



$$S = \int d^5 x \frac{1}{\kappa^2} \sqrt{|\hat{g}|} \hat{R} + \int d^5 x \sqrt{|\hat{g}|} \mathcal{L}_{\text{mat}} \delta(x_5)$$

$$S_{\text{loc}} = \epsilon \int d^5 x \frac{1}{\kappa^2} \sqrt{|\hat{g}|} R(\hat{g}_{\mu\nu}) \delta(x_5)$$



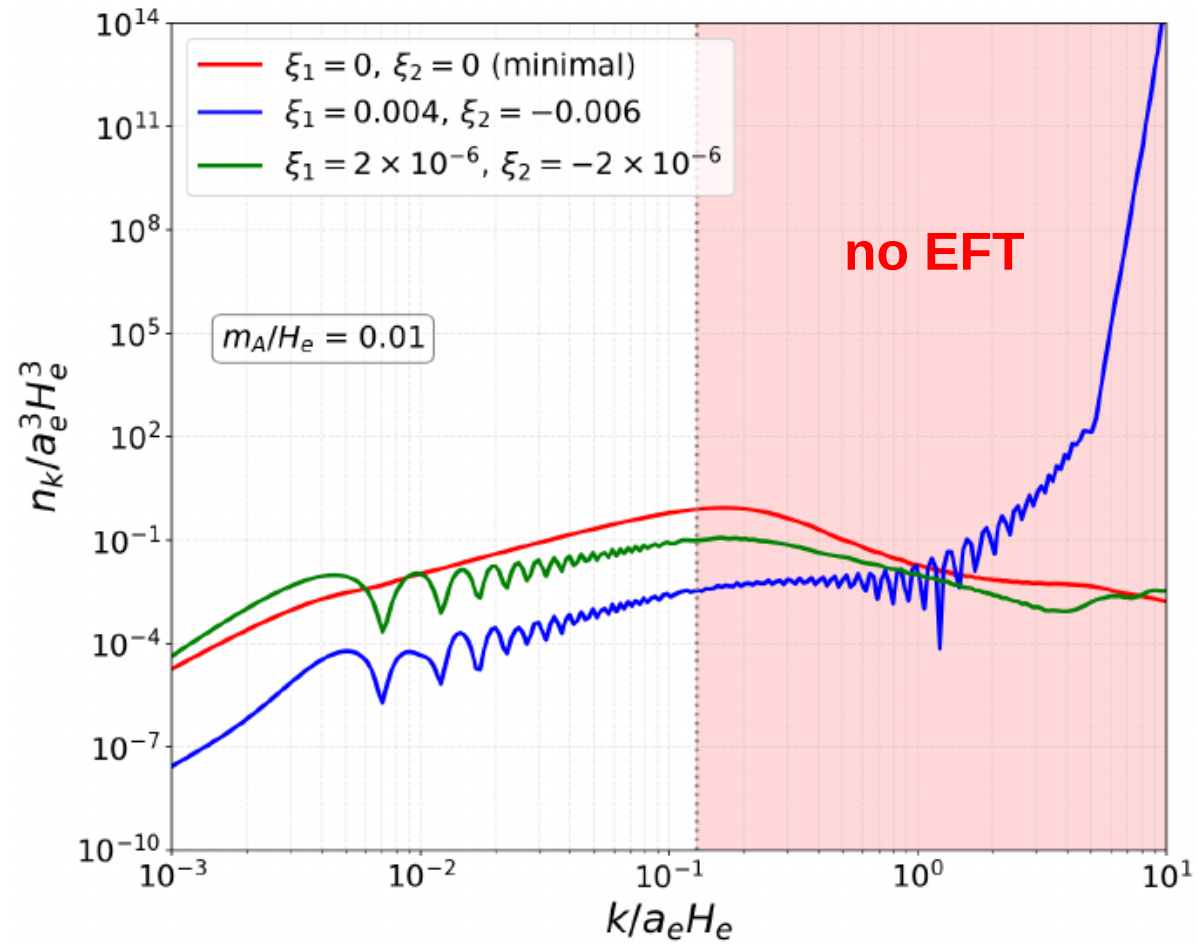
$$\mathcal{L}_{\xi_2} \simeq -\epsilon \frac{m_A^2}{m_1^2} R_{\mu\nu} A^\mu A^\nu$$

$$p_{\text{max}} \lesssim \frac{m_A}{\sqrt{|\xi_2|}}$$

$$|\xi_{1,2}| \ll \frac{m_A^2}{H^2}$$

Result:

no ghosts , no runaway production in UV complete models



CONCLUSION

- *gravity is efficient in particle production*
- *dark relics are (over)produced during/after inflation*
- *traditional freeze-in models problematic*
- *EFT constraints*