

IS THERE A UV FREE E_6 GUT?

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Babu, BB, Susič, in progress

Introduction

We heard many talks on $SU(5)$ and $SO(10)$.

There is just one group with complex representations and so chiral fields on top of $SU(N)$ and $SO(2N)$ - it is

$$E_6$$

Not so known: rank 6, contains the SM

Few facts about E_6 :

- fundamental representation: 27^i
- upper and lower indices $i = 1, \dots, 27$
- anti-fundamental representation: $\overline{27}_i$
- adjoint: 78_j^i (with some constraints)
- invariant tensor d_{ijk} or d^{ijk} symmetric
- invariants by summing upper and lower indices ($27^i \overline{27}_i$ or $78_j^i 78_j^i$)

Last year in this conference: E_6 with large representations (27, 351', 650)

- motivation 1: dark matter candidate (inert doublet in 27_H)
- motivation 2: good Yukawa structure (10 in 27_H complex)
- realistic model

Babu, BB, Susič, 2403.20278

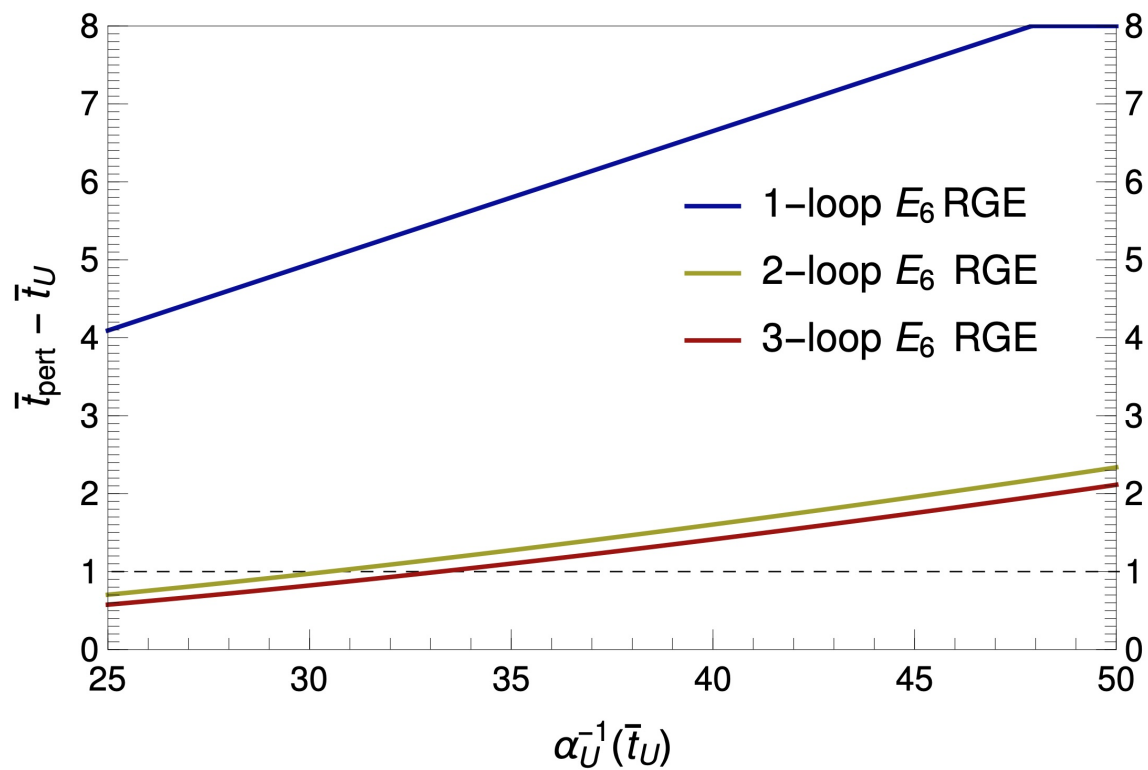
But large representations \rightarrow possible problems

Perturbativity

$$\frac{d}{dt}\alpha^{-1} = -\frac{1}{2\pi} \left(a + b \left(\frac{\alpha}{4\pi} \right) + c \left(\frac{\alpha}{4\pi} \right)^2 + \dots \right)$$

$$a = 16 \quad , \quad b = 11956 \quad , \quad c = 560730$$

a anomalously small \rightarrow 2-loop important, higher loops less



typically $\alpha_U^{-1} \approx 40$

→ Landau pole at 1 to 2 orders of magnitude above GUT

- perturbative unitarity: $Re(a_0) \leq 0.5$

largest-magnitude eigenvalue for the partial-wave coefficient

$$(a_0)^{max} = \frac{\alpha_U}{2\sqrt{78}} \sqrt{\frac{3\pi^2}{4} 27C(27)^2 + \sum_R \zeta(R) dim(R) C(R)^2}$$

$C(R)$... Casimir of R

$\zeta(R) = 1/2$ (real) or 1 (complex) irrep R

In our case $(a_0)^{max} = 0.69$ but with the approximation of massless particles

$\rightarrow \approx 1$ order of magnitude above M_{GUT} the theory is non-unitary

The reason is large representations of $351'$ and 650

Is it possible to live without them?

Lowest E_6 irreducible representations below dimension 1000:

27 , 78 , 351 , $351'$, 650

So we should survive with 27 , $\overline{27}$, 78

Fermions:

$$27_F = \underbrace{16}_{\text{chiral}} + \underbrace{10}_{\text{vectorlike}} + 1$$

Natural to have 3 generations of 27_F

The only possible Yukawa

$$\sum_{ij} Y_{ij} 27_{Fi} 27_{Fj} 27_H + h.c. \quad (d_{abc} 27^a 27^b 27^c)$$

gives no mixing (one Yukawa only)

Two 27_H allow mixing but still

$$M_D = M_E$$

Down quarks and charged lepton masses equal (like multiple 10 Higgses only in SO(10))

We need an extra vectorlike fermionic pair $27_F + \overline{27}_F$, with extra Yukawa

$$\sum_i \eta_i 27_{Fi} \overline{27}_F 78_H$$

Since 78_H has different Clebsches for down quarks and charged leptons $\rightarrow M_D \neq M_E$ (once we break Pati-Salam)

Fermionic sector: $4 \times 27_F + \overline{27}_F$

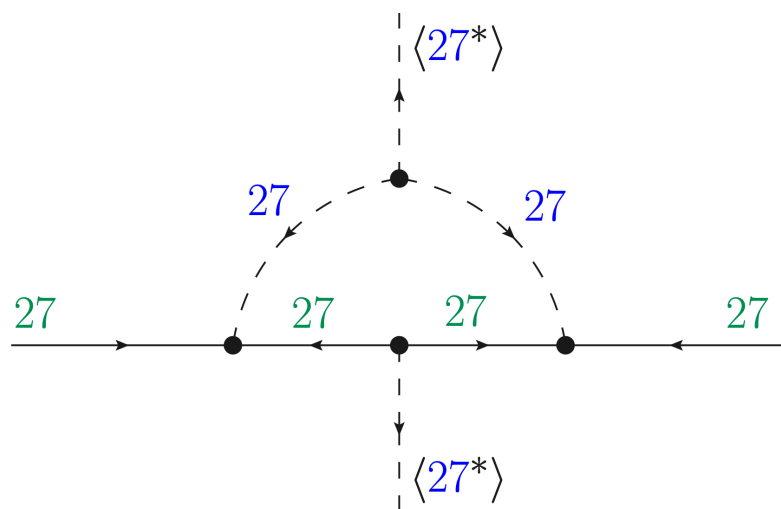
This should be enough for the charged fermion sector

In fact in SO(10):

$$126_H + 4 \times 16_F + \overline{16}_F$$

Babu, BB, Saad, 1605.05116

Neutrinos get mass only at loop level



To be done

Asymptotic freedom

We thus have

$$\begin{array}{rcl}
 1 \times 78 & \dots & \text{vector} \\
 3 + 2N_F \times 27 & \dots & \text{fermions} \\
 1 \times 78 + N_H \times 27 & \dots & \text{Higgses}
 \end{array}$$

The E_6 gauge beta function at 1-loop:

$$b_1 = \frac{11}{3} \times 12 - \frac{2}{3}(3 + 2N_F)3 - \frac{1}{6}(12 + 2N_H)3$$

we will take $N_F = 1$ and $N_H = 1$ so $b_1 = 31$

So $b_1 > 0$ and at least in principle could be UV free.

But we must include also Yukawa and Higgs couplings:

$$\begin{aligned} \mathcal{L}_{Yukawa} &= \frac{1}{2} \sum_{i,j=1}^4 Y_{ij} 27_F^i 27_F^j 27_H + \sum_{i=1}^4 \eta_i 27_F^j 78_H \overline{27_F} \\ &+ \frac{1}{2} \bar{y} \overline{27_F} \overline{27_F} 27_H^* + h.c. \end{aligned}$$

→ $\mathbf{Y}, \boldsymbol{\eta}, \bar{y}$

$$\begin{aligned} \mathcal{L}_{Higgs} &= \lambda (78_H^2)^2 + \lambda'_1 (27_H 27_H^*)^2 + \lambda'_2 27_H 27_H 27_H^* 27_H^* \\ &+ \lambda''_1 (27_H 27_H^*) 78_H^2 + \lambda''_2 27_H^* 78_H^2 27_H \end{aligned}$$

→ $\lambda, \lambda'_1, \lambda'_2, \lambda''_1, \lambda''_2$

Higgs:

$$16\pi^2 \frac{d}{dt} \lambda = 27g^4 - 144g^2\lambda + 172\lambda^2 + 27\lambda_1''^2 + 2\lambda_1''\lambda_2'' + \frac{1}{12}\lambda_2''^2 \\ + 4\lambda(\boldsymbol{\eta}^\dagger\boldsymbol{\eta}) - \frac{1}{6}(\boldsymbol{\eta}^\dagger\boldsymbol{\eta})^2,$$

$$16\pi^2 \frac{d}{dt} \lambda_1' = \frac{44}{3}g^4 - 104g^2\lambda_1' + 124\lambda_1'^2 + 160\lambda_1'\lambda_2' + 160\lambda_2'^2 + 39\lambda_1''^2 \\ + \frac{26}{9}\lambda_1''\lambda_2'' + \frac{11}{81}\lambda_2''^2 + 20\lambda_1' \text{Tr}(\mathbf{Y}^\dagger\mathbf{Y}) - 10 \text{Tr}(\mathbf{Y}^\dagger\mathbf{Y}\mathbf{Y}^\dagger\mathbf{Y}) \\ + 80\lambda_1' |\bar{y}|^2 - 160 |\bar{y}|^4$$

$$16\pi^2 \frac{d}{dt} \lambda_2' = 2g^4 - 104g^2\lambda_2' + 24\lambda_1'\lambda_2' - 24\lambda_2'^2 + \frac{1}{54}\lambda_2''^2 \\ + 20\lambda_2' \text{Tr}(\mathbf{Y}^\dagger\mathbf{Y}) + 4 \text{Tr}(\mathbf{Y}^\dagger\mathbf{Y}\mathbf{Y}^\dagger\mathbf{Y}) + 80\lambda_2' |\bar{y}|^2 + 64 |\bar{y}|^4$$

$$16\pi^2 \frac{d}{dt} \lambda_1'' = 12g^4 - 124g^2\lambda_1'' + 160\lambda\lambda_1'' + \frac{52}{9}\lambda\lambda_2'' + 112\lambda_1'\lambda_1'' + 4\lambda_1'\lambda_2'' \\ + 80\lambda_2'\lambda_1'' + \frac{8}{3}\lambda_2'\lambda_2'' + 4\lambda_1''^2 + \frac{1}{9}\lambda_2''^2 \\ + 10\lambda_1'' \text{Tr}(\mathbf{Y}^\dagger\mathbf{Y}) + 2\lambda_1''(\boldsymbol{\eta}^\dagger\boldsymbol{\eta}) + 40\lambda_1'' |\bar{y}|^2$$

$$16\pi^2 \frac{d}{dt} \lambda_2'' = 108g^4 - 124g^2\lambda_2'' + 4\lambda\lambda_2'' + 4\lambda_1'\lambda_2'' + 8\lambda_2'\lambda_2'' + 8\lambda_1''\lambda_2'' + \frac{41}{9}\lambda_2''^2 \\ + 10\lambda_2'' \text{Tr}(\mathbf{Y}^\dagger\mathbf{Y}) + 2\lambda_2''(\boldsymbol{\eta}^\dagger\boldsymbol{\eta}) + 40\lambda_2'' |\bar{y}|^2$$

Yukawa:

$$16\pi^2 \frac{d}{dt} \mathbf{Y} = 5(\mathbf{Y}\mathbf{Y}^\dagger \mathbf{Y} + \mathbf{Y}\mathbf{Y}^\dagger \mathbf{Y}) + \frac{13}{3} (\boldsymbol{\eta}\boldsymbol{\eta}^\dagger \mathbf{Y} + \mathbf{Y}\boldsymbol{\eta}^* \boldsymbol{\eta}^T) \\ - \frac{26}{3} (\boldsymbol{\eta}\boldsymbol{\eta}^T) \bar{y}^* + 5 \text{Tr}(\mathbf{Y}^\dagger \mathbf{Y}) \mathbf{Y} + 5|\bar{y}|^2 \mathbf{Y} - 52g^2 \mathbf{Y}$$

$$16\pi^2 \frac{d}{dt} \bar{y} = (15 \bar{y}^* \bar{y} + \frac{26}{3} \boldsymbol{\eta}^\dagger \boldsymbol{\eta}) \bar{y} - \frac{26}{3} (\boldsymbol{\eta}^T \mathbf{Y}^* \boldsymbol{\eta}) + 5 \text{Tr}(\mathbf{Y}^\dagger \mathbf{Y}) \bar{y} - 52 g^2 \bar{y}$$

$$16\pi^2 \frac{d}{dt} \boldsymbol{\eta} = 5 (\mathbf{Y}\mathbf{Y}^\dagger) \boldsymbol{\eta} + 5 |\bar{y}|^2 \boldsymbol{\eta} + 20(\boldsymbol{\eta}^\dagger \boldsymbol{\eta}) \boldsymbol{\eta} - 10\bar{y} \mathbf{Y} \boldsymbol{\eta}^* - 52 g^2 \boldsymbol{\eta}$$

Gauge:

$$16\pi^2 \frac{d}{dt} g = -31g^3$$

For large $t = \log \mu$ can we find an approximate free solution?

Ansatz:

$$\mathbf{Y} = \text{diag}(0, 0, Y_3, Y_4) \quad , \quad \eta = (0, 0, 0, \eta_4)$$

$$(g^2, |Y_3|^2 |Y_4|^2, |\bar{y}|^2, |\eta_4|^2) = \frac{16\pi^2}{t} (\alpha, \alpha_3, \alpha_4, \bar{\alpha}, \alpha_\eta)$$

Procedure: solve first for gauge and Yukawa $(\alpha, \alpha_3, \alpha_4, \bar{\alpha}, \alpha_\eta)$

$$\text{solution 1} \quad : \quad (\alpha_3, \alpha_4, \bar{\alpha}, \alpha_\eta) = x \left(\frac{1}{5}, \frac{1}{5}, \frac{1}{5}, \frac{1}{4} \right)$$

$$\text{solution 2} \quad : \quad (\alpha_3, \alpha_4, \bar{\alpha}, \alpha_\eta) = x \left(0, \frac{1}{4}, \frac{1}{4}, \frac{1}{4} \right)$$

$$\text{solution 3} \quad : \quad (\alpha_3, \alpha_4, \bar{\alpha}, \alpha_\eta) = x \left(0, \frac{15 + 2\sqrt{14}}{116}, \frac{15 - 2\sqrt{14}}{116}, \frac{15}{116} \right)$$

$$\text{solution 4} \quad : \quad (\alpha_3, \alpha_4, \bar{\alpha}, \alpha_\eta) = x \left(0, \frac{15 - 2\sqrt{14}}{116}, \frac{15 + 2\sqrt{14}}{116}, \frac{15}{116} \right)$$

$$x = \frac{1}{10} (104\alpha - 1) = \frac{21}{310}$$

Plugging each of these into eqs. for Higgs with ansatz

$$(\lambda, \lambda'_1, \lambda'_2, \lambda''_1, \lambda''_2) \propto \frac{1}{t}$$

we find NO solution

First conclusion:

There seem to be NO UV free solution.

However we could have still a **perturbative** behaviour **till Planck scale**, from the practical point of view it would be the same.

From now on we would like to check this second **possibility**

Symmetry breaking

Take one

$78_H = \Phi$ and one $27_H = \Psi$

The renormalisable potential is

$$V(\Phi, \Psi) = V_{78}(\Phi) + V_{27}(\Psi) + V_{mix}(\Phi, \Psi)$$

$$V_{78}(\Phi) = -\frac{1}{2} M_{78}^2 \text{Tr } \Phi^2 + \lambda (\text{Tr } \Phi^2)^2,$$

$$V_{27}(\Psi) = -M_{27}^2 \Psi_i^* \Psi^i + m_{27} \Psi^i \Psi^j \Psi^k d_{ijk} \\ + \lambda'_1 (\Psi_i^* \Psi^i)^2 + \lambda'_2 d_{ijm} d^{klm} \Psi^i \Psi^j \Psi_k^* \Psi_l^* + h.c.,$$

$$V_{mix}(\Phi, \Psi) = m'' \Psi_i^* \Phi^i_j \Psi^j + \lambda''_1 (\Psi_i^* \Psi^i) (\text{Tr } \Phi^2) + \lambda''_2 \Psi_i^* \Phi^i_j \Phi^j_k \Psi^k.$$

$SU(3)_C \times SU(3)_L \times SU(3)_R$ decomposition of irrep 27 of E_6 :

$$\underbrace{\begin{pmatrix} u_1 & d_1 & d'_1 \\ u_2 & d_2 & d'_2 \\ u_3 & d_3 & d'_3 \end{pmatrix}}_{(\mathbf{3}, \mathbf{3}, \mathbf{1})}, \quad \underbrace{\begin{pmatrix} \nu'^c & e' & e \\ e'^c & \nu' & \nu \\ e^c & \nu^c & n \end{pmatrix}}_{(\mathbf{1}, \bar{\mathbf{3}}, \mathbf{3})}, \quad \underbrace{\begin{pmatrix} u_1^c & u_2^c & u_3^c \\ d_1^c & d_2^c & d_3^c \\ d_1'^c & d_2'^c & d_3'^c \end{pmatrix}}_{(\bar{\mathbf{3}}, \mathbf{1}, \bar{\mathbf{3}})}$$

- 15 SM fermions of one generation
- 2 right-handed neutrinos per generation - possible nonzero vevs

$$\langle \nu^c \rangle, \quad \langle n \rangle = V_2$$

- vector-like heavy lepton doublet and down-type quark pairs

We will simplify the analyses by breaking only to LR:

$$SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_{B_L} \quad (\rightarrow \langle \nu^c \rangle = 0)$$

Vevs which preserves LR:

From 27: V_2

From 78: a_3, b_3

Equation of motion:

$$M_{27}^2 = 2|V_2|^2 \lambda_1' + \frac{1}{9}(a_3^2(9\lambda_1'' - \lambda_2'') + b_3^2(9\lambda_1'' - \lambda_2'') + 2a_3b_3\lambda_2'')$$

$$M_{78}^2 = 4a_3^2\lambda_1 + 4b_3^2\lambda_1 + 2|V_2|^2\lambda_1''$$

$$m'' = \frac{2}{3}(a_3 - b_3)\lambda_2''$$

Higgs masses

Mass square at tree level in $SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_{B-L}$ must be all positive (minimum) \rightarrow

constraints on the model parameters

Surprise: some masses are exactly zero, no matter what the input parameters

This follows from more symmetry of the potential

$$V(78) = -(78)^2 + ((78)^2)^2$$

The only quartic invariant is the quadratic squared:

$$(78)^2 = \sum_{i=1}^{78} \psi_i^2$$
$$((78)^2)^2 = \left(\sum_{i=1}^{78} \psi_i^2 \right)^2$$

This means that the real symmetry of the potential is

$$SO(78)$$

Of course the gauge and Yukawa sector have only E_6 symmetry

Also some terms in the potential have less symmetry but they do not contribute to the potential

So we have **pseudo-Goldstone bosons**

If 78 alone:

$$E_6 \xrightarrow{\langle 78 \rangle} SO(10) \times U(1)$$

$78 - (45 + 1) = 32$ ($= 16 + \overline{16}$) would-be Goldstones

But in the potential

$$SO(78) \xrightarrow{\langle 78 \rangle} SO(77)$$

$$\frac{78 \times 77}{2} - \frac{77 \times 76}{2} = 77 \text{ massless scalars}$$

So we have

$77 - 32 = 45$ pseudo Goldstones (the 45-dimensional irrep of $SO(10)$)

Our system is $78 + 27$

$\langle 27 \rangle$ breaks more: if we break to LR we remain with

$$(8, 1, 1, 0) + (1, 3, 1, 0) + (1, 1, 3, 0)$$

massless LR irreps

These scalars will get mass at 1-loop. The whole issue is:

Are these 1-loop masses square positive or negative?

This issue is well known in $SO(10)$ with 45-dimensional Higgs. Here also if you break to SM there remain tree order massless $(8, 1, 0) + (1, 3, 0)$. Only 1-loop give a nonzero contribution

An even more elementary example is $SU(3)$ with octet ϕ and a Z_2 symmetry $\phi \rightarrow -\phi$:

$$V(\phi) = -\frac{m^2}{2} \text{Tr}(\phi^2) + \frac{\lambda}{4} (\text{Tr}(\phi^2))^2$$

In fact

$$\text{Tr}(\phi^4) \propto (\text{Tr}(\phi^2))^2$$

Not enough invariants: 3×3 traceless matrices have only $\text{Tr}(\phi^2)$ and $\text{Tr}(\phi^3)$

Back to our E_6 case

Are the 1-loop masses square of LR $(8, 1, 1, 0)$, $(1, 3, 1, 0)$ (due to LR same as $(1, 1, 3, 0)$) positive?

The contributions are typically complicated, so we start with simplest cases:

- gauge contribution only: always one mass positive, one negative

$$m_8^2 > 0 \quad , \quad m_3^2 < 0 \quad \text{or} \quad m_8^2 < 0 \quad , \quad m_3^2 > 0$$

- gauge contribution+ Yukawa contribution: both masses square can be positive, providing a large enough Yukawa contribution. Since $\alpha(M_{GUT}) \approx 1/40$ one finds that

$$|\eta| \gtrsim 2$$

Is this otherwise acceptable?

RGEs

We assume that in the above RGEs most of the couplings are negligible, except one \bar{y} , a top Yukawa in the 3rd family (not matching with \bar{y}) and η of the fourth family (we are mostly interested in the latter):

$$\mathbf{Y} = \text{diag}(0, 0, y, 0)$$

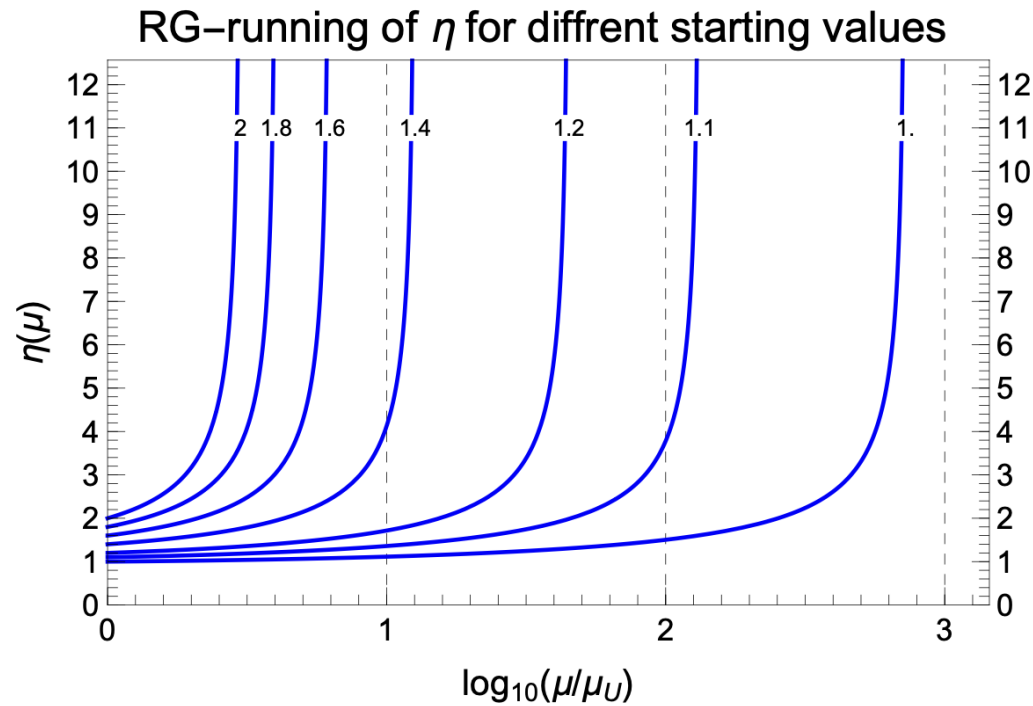
$$\boldsymbol{\eta} = (0, 0, 0, \eta)$$

We assume all quantities are real. The RGEs simplify to

$$16\pi^2 \frac{d}{dt} y = (15y^2 - 52g^2) y$$

$$16\pi^2 \frac{d}{dt} \bar{y} = (15\bar{y}^2 + \frac{26}{3}\eta^2 - 52g^2) \bar{y}$$

$$16\pi^2 \frac{d}{dt} \eta = (5\bar{y}^2 + 20\eta^2 - 52g^2) \eta$$



We see that with $\eta(M_{GUT}) = 2$ the Landau pole is approx half order of magnitude above the GUT scale!

To arrive safely to the Planck scale one would need $\eta(M_{GUT}) \approx 1$.

Gauge and Yukawa not enough \rightarrow Higgs contributions need to be included!

Higgs contribution to PGB mass

This can be in principle got from Coleman-Weinberg

$$m_{PGB}^2 = \frac{\partial^2 V_{CW}(\phi)}{\partial \phi_{PGB}^2}$$

with

$$V_{CW} = \frac{1}{64\pi^2} \sum_i c_i m_i^4(\phi) \log \left(\frac{m_i^2(\phi)}{\mu^2} \right)$$

$$c_{spin\ 0} = 1 \quad , \quad c_{spin\ 1/2} = -2 \quad , \quad c_{spin\ 1} = 3$$

In fact we used this one for the contributions due to gauge and Yukawa

There are various issues with the Higgs contributions:

- presence of pseudo-Goldstones and would-be Goldstones lead to apparent $\log(0)$ terms
- the matrices involved are rather large and usually not diagonalisable analytically

For this reason it seems more appropriate to use directly Feynman diagrams (Weinberg). Work in progress

Conclusions

- Large representations of E_6 lead soon to the Landau pole
- It seems that there are no asymptotically free E_6 GUTs
- There is a possible candidate for a perturbative E_6 till the GUT scale ($4 \times 27_F + \overline{27}_F + N_H \times 27_H + 78_H$)
- to be still checked:
 1. Higgs contribution to the pseudo-Goldstone masses at 1-loop (should relax the large value of η)
 2. complete symmetry breaking (scales, LR \rightarrow SM)
 3. SM fermion masses and mixings (tree level charged fermions, 1-loop neutrinos)