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# Probing Unitarity Violation of Lepton Flavor Mixing Matrix @ JUNO & TAO

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*Based on: JH & Shun Zhou, [2511.15525](#)  
[Phys. Lett. B 873 \(2026\) 140160](#)*

The 5th Workshop on JUNO-related Theory and Phenomenology  
Specified Workshop on First Physical Achievement in JUNO, Hangzhou, 2026/01/27-30

# Neutrino Masses and Mixing

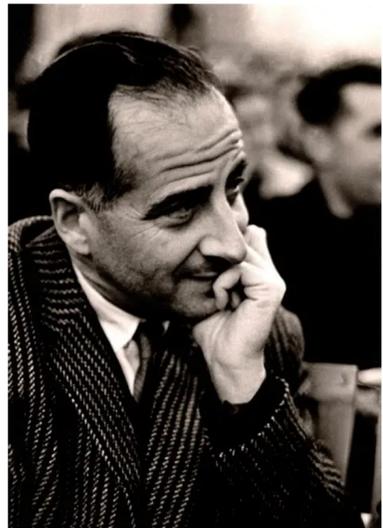
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} V_{e1} & V_{e2} & V_{e3} \\ V_{\mu1} & V_{\mu2} & V_{\mu3} \\ V_{\tau1} & V_{\tau2} & V_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

flavor states PMNS matrix mass states

$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4 \sum_{i < j}^3 \text{Re} \left( V_{\alpha i} V_{\beta j} V_{\alpha j}^* V_{\beta i}^* \right) \sin^2 \frac{\Delta m_{ji}^2 L}{4E} + 2 \sum_{i < j}^3 \text{Im} \left( V_{\alpha i} V_{\beta j} V_{\alpha j}^* V_{\beta i}^* \right) \sin \frac{\Delta m_{ji}^2 L}{2E}$$

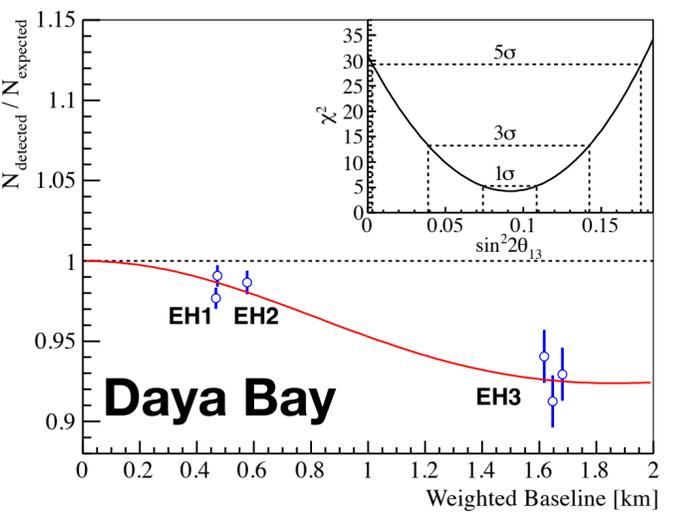
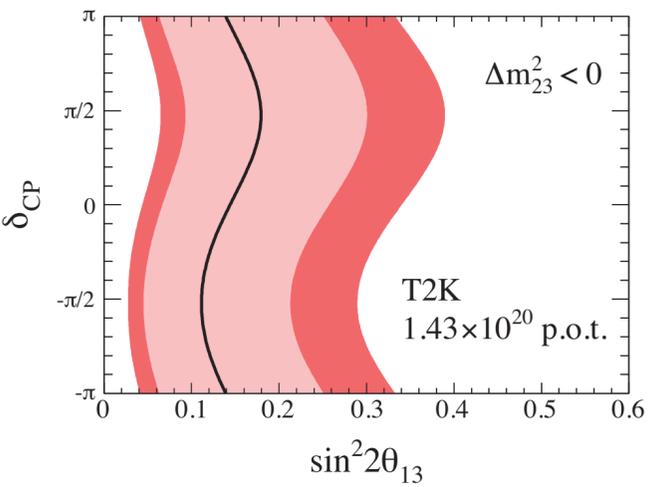
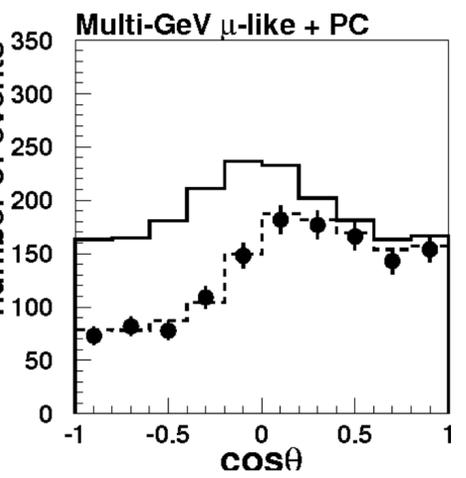
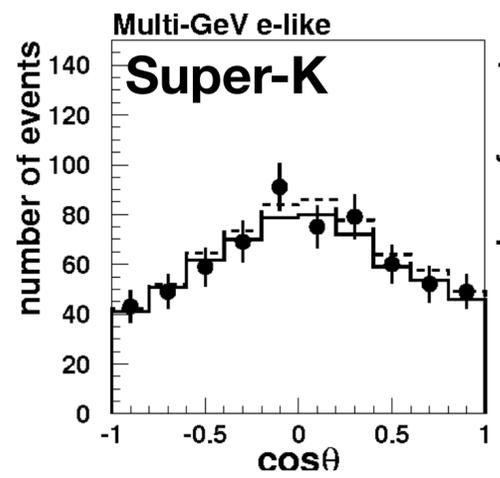
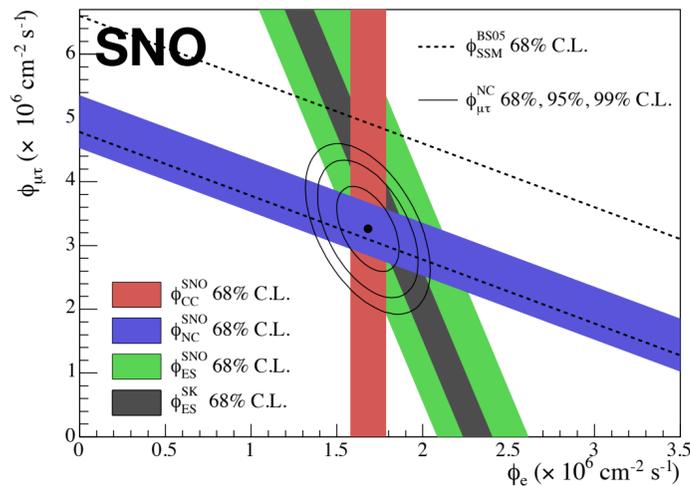
oscillation probability

- ✓ Massive neutrinos
- ✓ Leptonic flavor mixing
- ↓
- ⊙ Neutrino mass models
- ⊙ Flavor mixing patterns



$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{CP}} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta_{CP}} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta_{CP}} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta_{CP}} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta_{CP}} & c_{13}c_{23} \end{pmatrix} \cdot P_\nu$$

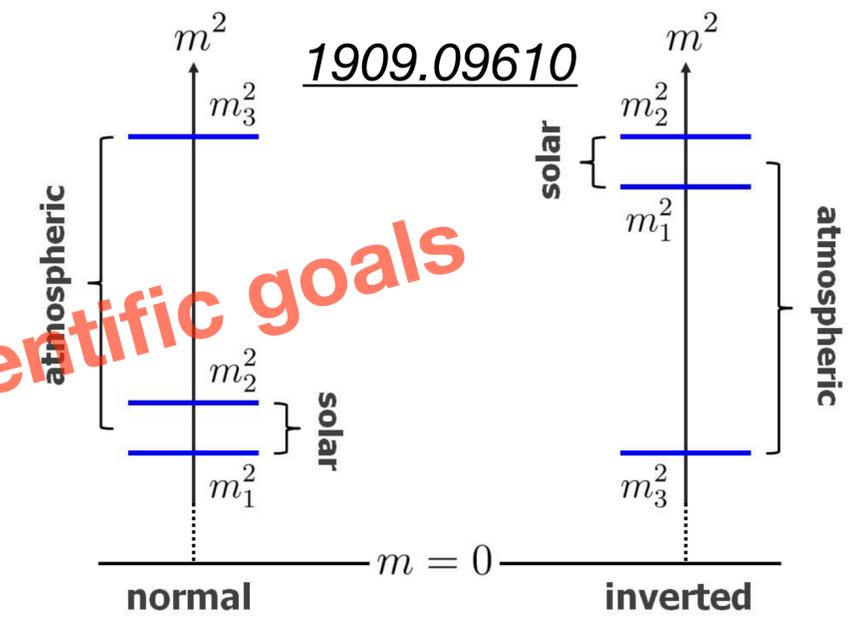
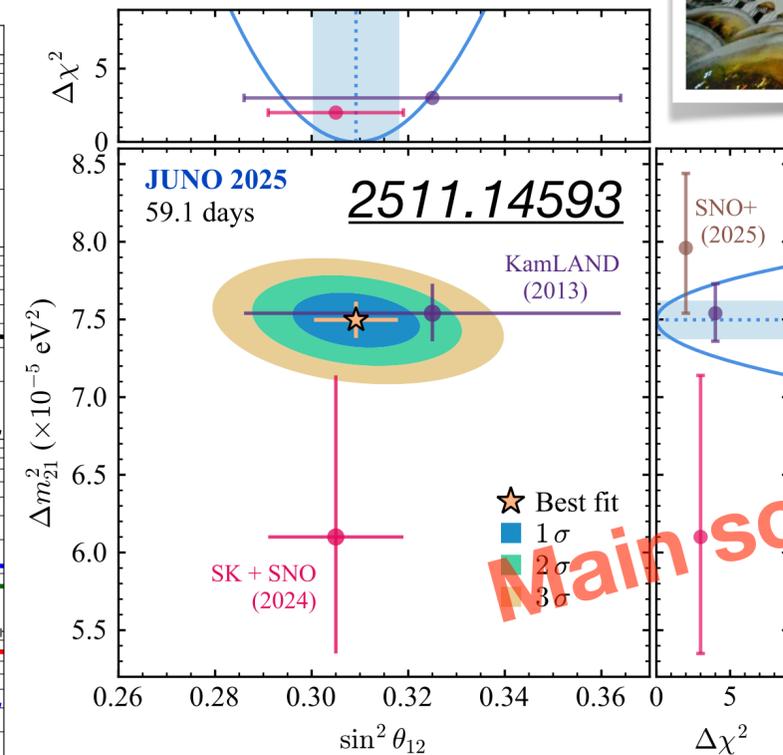
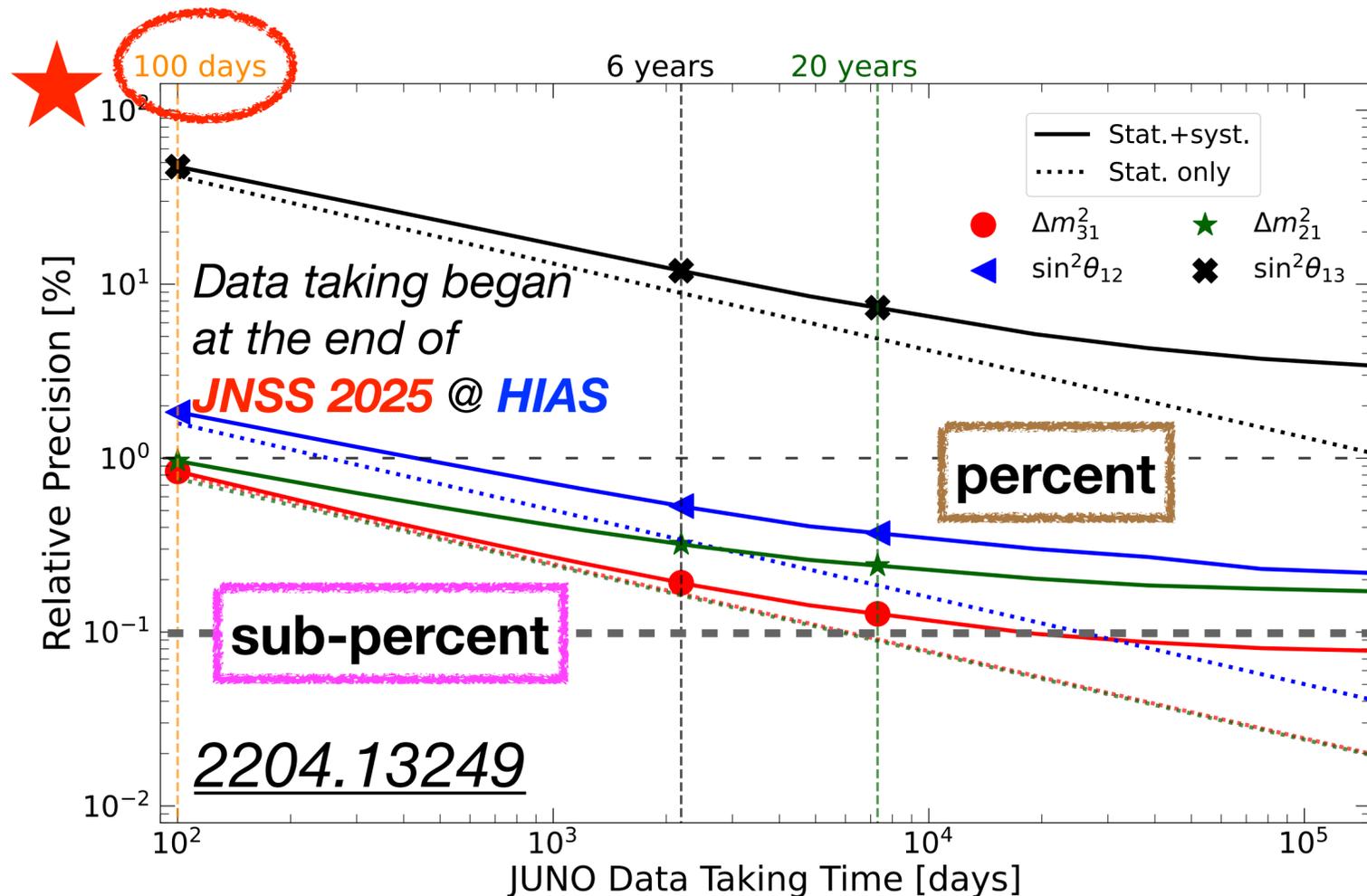
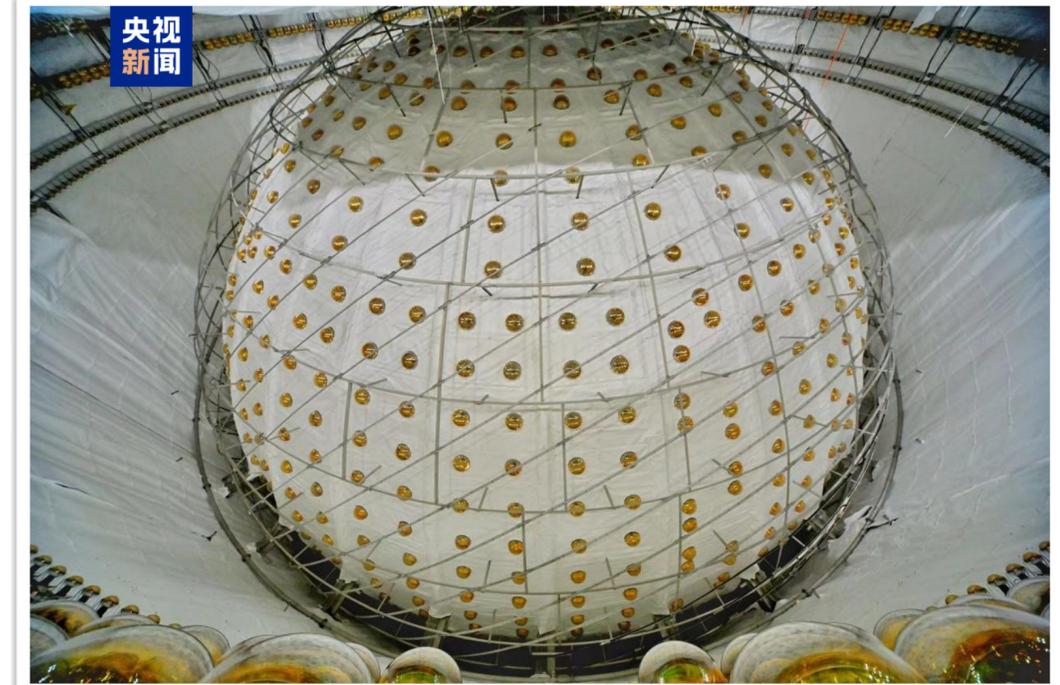
- **Three mixing angles** & **One** (Dirac) **CP-violating phase**
- **Two** independent **mass-squared differences**



# Precise Measurements @ JUNO

## Global fit by **Bari Group** 2511.21650

Global analysis [Ref.]	Parameter	Best fit	1 $\sigma$ range	2 $\sigma$ range	3 $\sigma$ range	"1 $\sigma$ " (%)
All data 2024	$\delta m^2 / 10^{-5} \text{ eV}^2$	7.37	7.21 – 7.52	7.06 – 7.71	6.93 – 7.93	2.3
[3]	$\sin^2 \theta_{12} / 10^{-1}$	3.03	2.91 – 3.17	2.77 – 3.31	2.64 – 3.45	4.5
w/ SNO+ 2025	$\delta m^2 / 10^{-5} \text{ eV}^2$	7.44	7.30 – 7.61	7.17 – 7.80	7.04 – 7.99	2.1
[This work]	$\sin^2 \theta_{12} / 10^{-1}$	3.06	2.93 – 3.19	2.80 – 3.33	2.67 – 3.47	4.4
w/ SNO+ & JUNO 2025	$\delta m^2 / 10^{-5} \text{ eV}^2$	7.48	7.39 – 7.58	7.30 – 7.68	7.21 – 7.78	1.3
[This work]	$\sin^2 \theta_{12} / 10^{-1}$	3.085	3.010 – 3.156	2.939 – 3.230	2.866 – 3.303	2.4



- Test new physics with the **precise measurements** from **JUNO**: **non-unitary flavor mixing**

## \* Neutrino mass in SMEFT

Weinberg, *Phys. Rev. Lett.* 43 (1979) 1566-1570

### Baryon- and Lepton-Nonconserving Processes

Steven Weinberg

Lyman Laboratory of Physics, Harvard University, Cambridge, Massachusetts 02138, and  
Harvard-Smithsonian Center for Astrophysics, Cambridge, Massachusetts 02138

(Received 13 August 1979)

A number of properties of possible baryon- and lepton-nonconserving processes are shown to follow under very general assumptions. Attention is drawn to the importance of measuring  $\mu^+$  polarizations and  $\bar{\nu}_e/e^+$  ratios in nucleon decay as a means of discriminating among specific models.

The sort of analysis used here in treating baryon nonconservation can also be applied to lepton nonconservation. A great difference is that there is a possible lepton-nonconserving term in the effective Lagrangian with dimensionality  $d = 5$ :

$$f_{abmn} \bar{l}_{iaL}^c l_{jbL} \varphi_k^{(m)} \varphi_l^{(n)} \epsilon_{ik} \epsilon_{jl} + f'_{abmn} \bar{l}_{iaL}^c l_{jbL} \varphi_k^{(m)} \varphi_l^{(n)} \epsilon_{ij} \epsilon_{kl}, \quad (20)$$

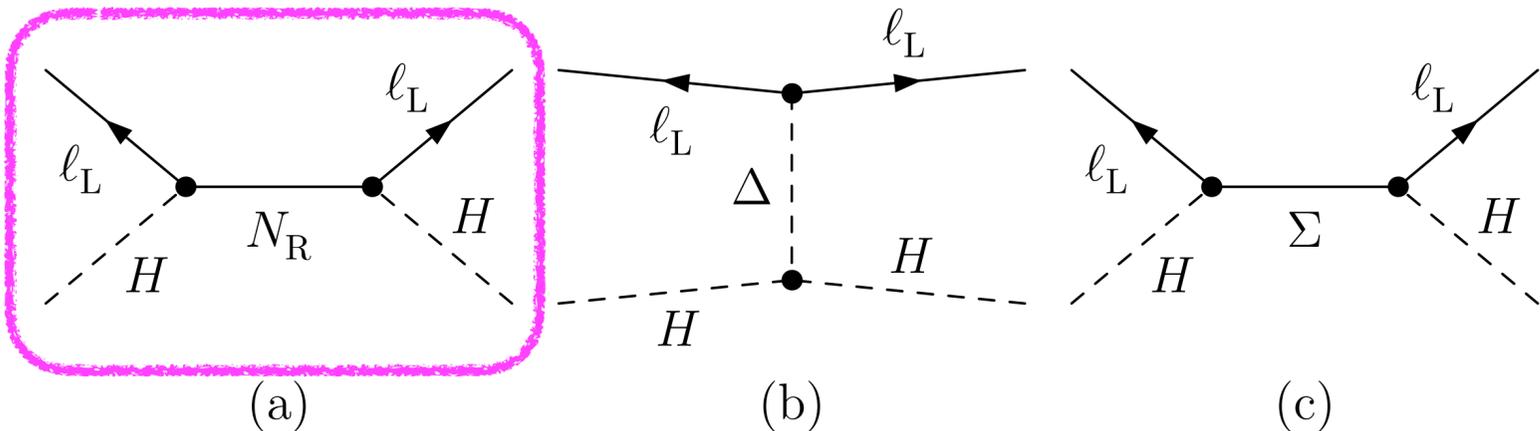
where  $\varphi^{(m)}$  are one or more scalar doublets. We expect  $f$  and  $f'$  to be roughly of order  $1/M$ ; one-loop graphs would give values of order  $\alpha^2/M$ .<sup>13</sup>



Steven Weinberg (Dec 1979) @ CERN  
"The rise and fall of baryon number"

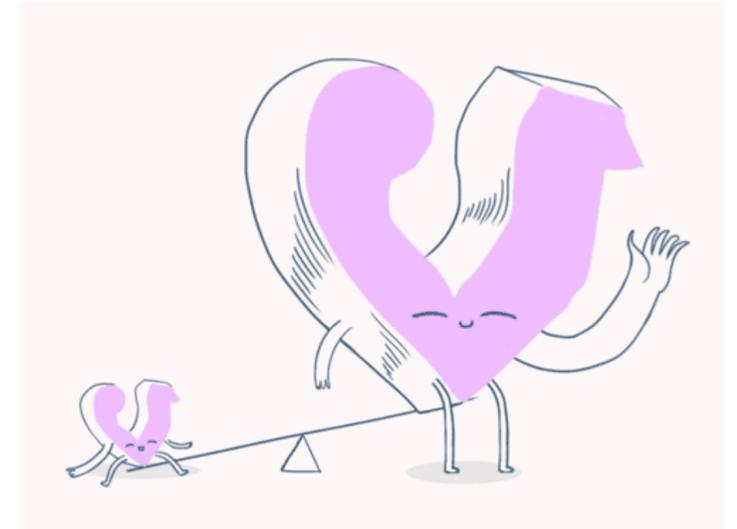
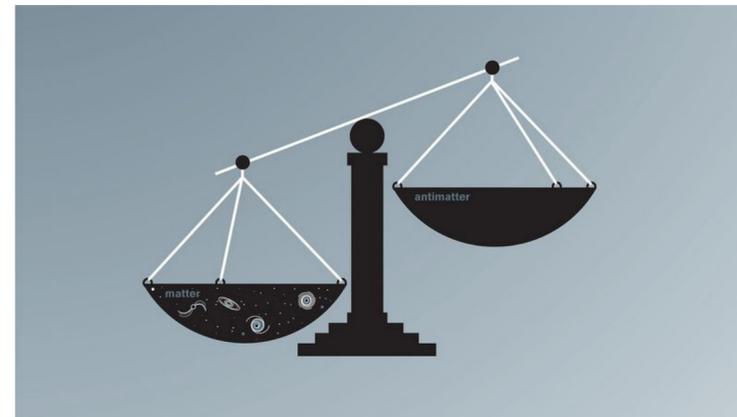
## \* New heavy degrees of freedom

tree-level realization



$$m_\nu^I = -\frac{1}{2} y_\nu \frac{v^2}{m_R} y_\nu^T \quad m_\nu^{II} = \lambda_\Delta y_\Delta \frac{v^2}{M_\Delta} \quad m_\nu^{III} = -\frac{1}{2} y_\Sigma \frac{v^2}{m_\Sigma} y_\Sigma^T$$

- Seesaw mechanism (+ leptogenesis):  
Solving **neutrino mass** & **BAU** at the same time!



**Heavy fermions** will cause the derivation from the **unitary** leptonic flavor mixing.



# Type-I Seesaw Model

❖ From type-I seesaw *model*

**Dirac + Majorana mass terms**

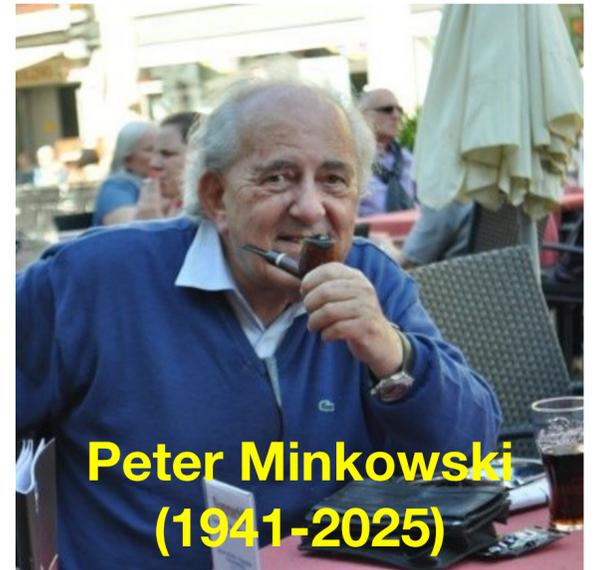
$$\mathcal{L}_{\text{mass}} = -\frac{1}{2} \begin{pmatrix} \nu_L & N_R^c \end{pmatrix} \begin{pmatrix} \mathbf{0} & m_D \\ m_D^T & m_R \end{pmatrix} \begin{pmatrix} \nu_L^c \\ N_R \end{pmatrix} + \text{h.c.}$$

**PMNS matrix**

diagonalization  $\rightarrow$

$$\begin{pmatrix} N & R \\ S & U \end{pmatrix}^\dagger \begin{pmatrix} \mathbf{0} & m_D \\ m_D^T & m_R \end{pmatrix} \begin{pmatrix} N & R \\ S & U \end{pmatrix}^* = \begin{pmatrix} \widehat{m} & \mathbf{0} \\ \mathbf{0} & \widehat{M} \end{pmatrix}$$

← light neutrino masses  
← heavy neutrino masses



The  $6 \times 6$  matrix is unitary, while the  $3 \times 3$  matrix  $N$  is **not** !

• Euler parametrization of  $6 \times 6$  matrix

$$\mathcal{U} = \begin{pmatrix} N & R \\ S & U \end{pmatrix} = \begin{pmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & U_0 \end{pmatrix} \begin{pmatrix} A & R \\ D & B \end{pmatrix} \begin{pmatrix} V_0 & \mathbf{0} \\ \mathbf{0} & \mathbf{1} \end{pmatrix}$$

*heavy*     *heavy-light*     *light*

$$\begin{aligned} c_{ij} &\equiv \cos \theta_{ij} \\ \hat{s}_{ij} &\equiv e^{i\delta_{ij}} \sin \theta_{ij} \end{aligned}$$

$$\mathcal{O}_{24} = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & c_{24} & 0 & \hat{s}_{24}^* & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & -\hat{s}_{24} & 0 & c_{24} & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

$$= (\mathcal{O}_{56} \mathcal{O}_{46} \mathcal{O}_{45}) \times (\mathcal{O}_{36} \mathcal{O}_{26} \mathcal{O}_{16} \mathcal{O}_{35} \mathcal{O}_{25} \mathcal{O}_{15} \mathcal{O}_{34} \mathcal{O}_{24} \mathcal{O}_{14}) \times (\mathcal{O}_{23} \mathcal{O}_{13} \mathcal{O}_{12})$$

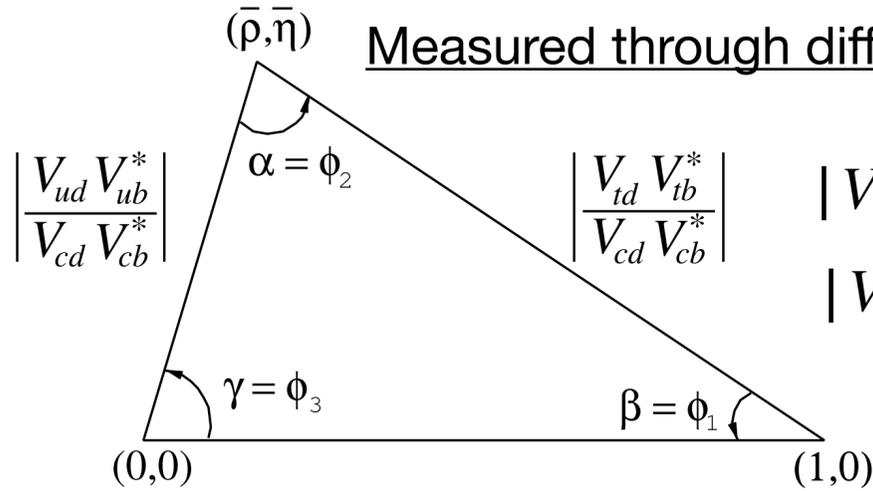


# How to Test the Non-unitarity?

**Unitarity triangle**  $\Delta_s : V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$

❖ Assume the **unitarity** of mixing matrix ( $V$ ) and measure oscillation parameters **in different experiments** precisely.

Measured through different channels



$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9984 \pm 0.0007 \quad (\text{1st row})$$

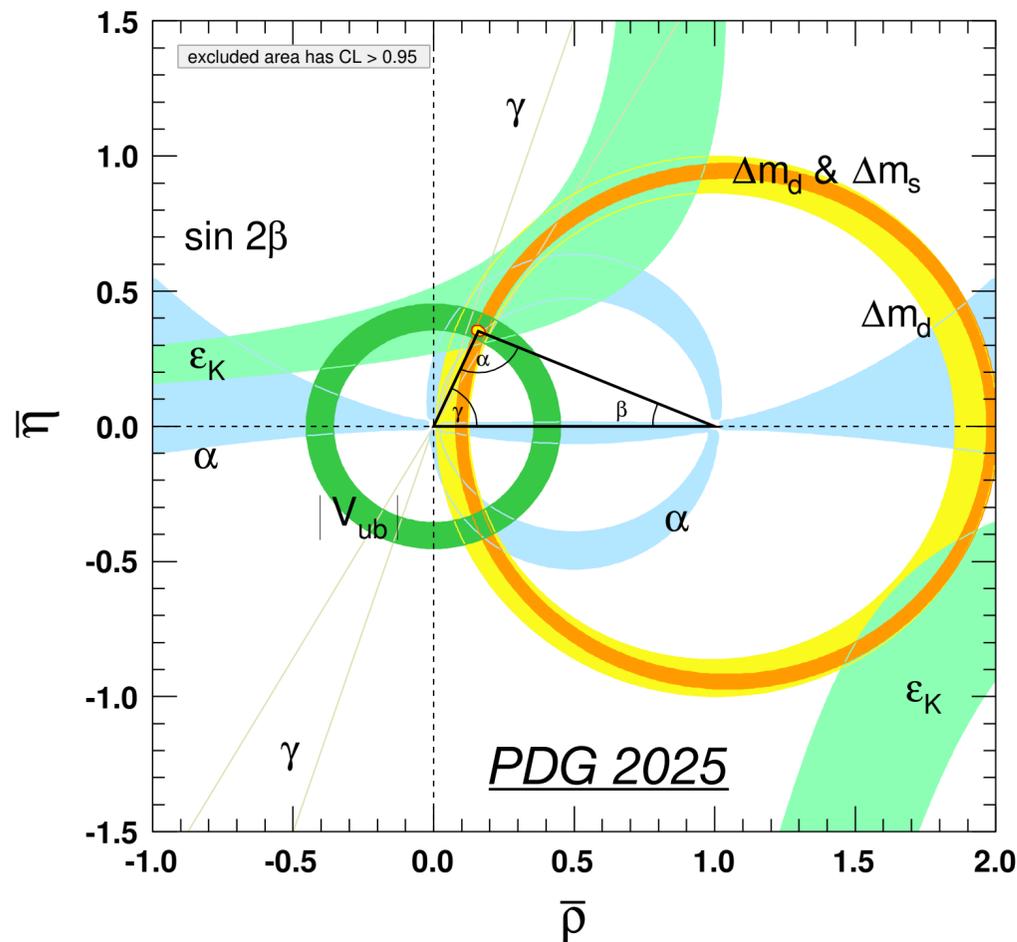
$$|V_{ud}|^2 + |V_{cd}|^2 + |V_{td}|^2 = 0.9971 \pm 0.0020 \quad (\text{1st column})$$

$$\alpha + \beta + \gamma = (172 \pm 5)^\circ$$

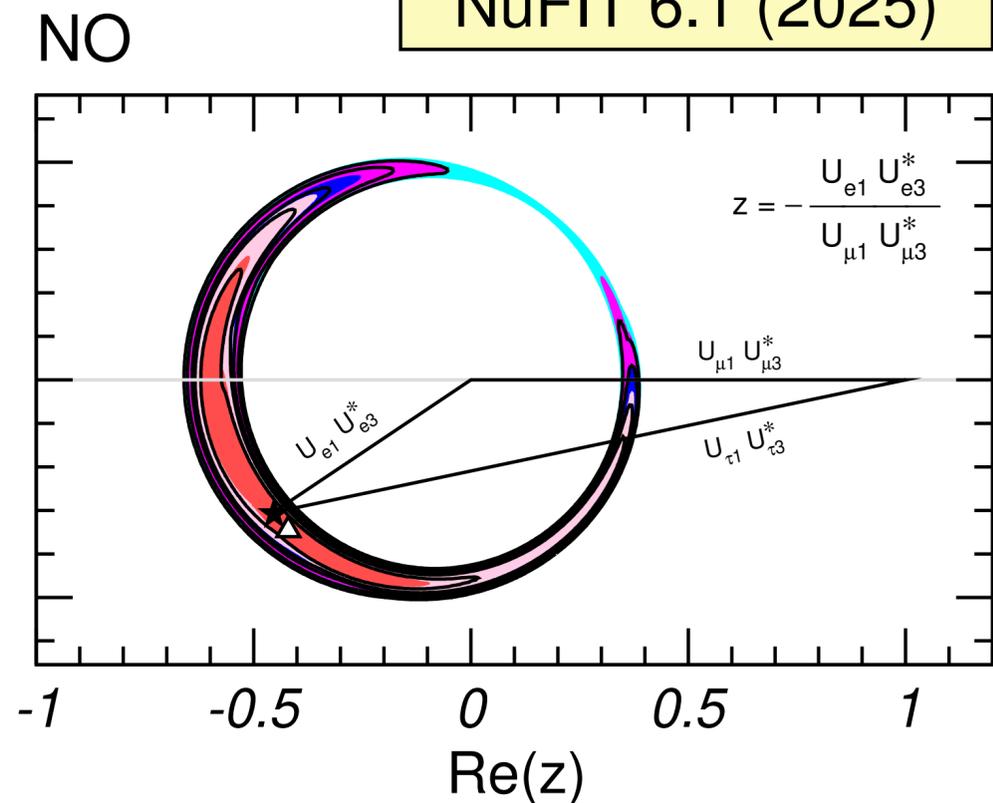
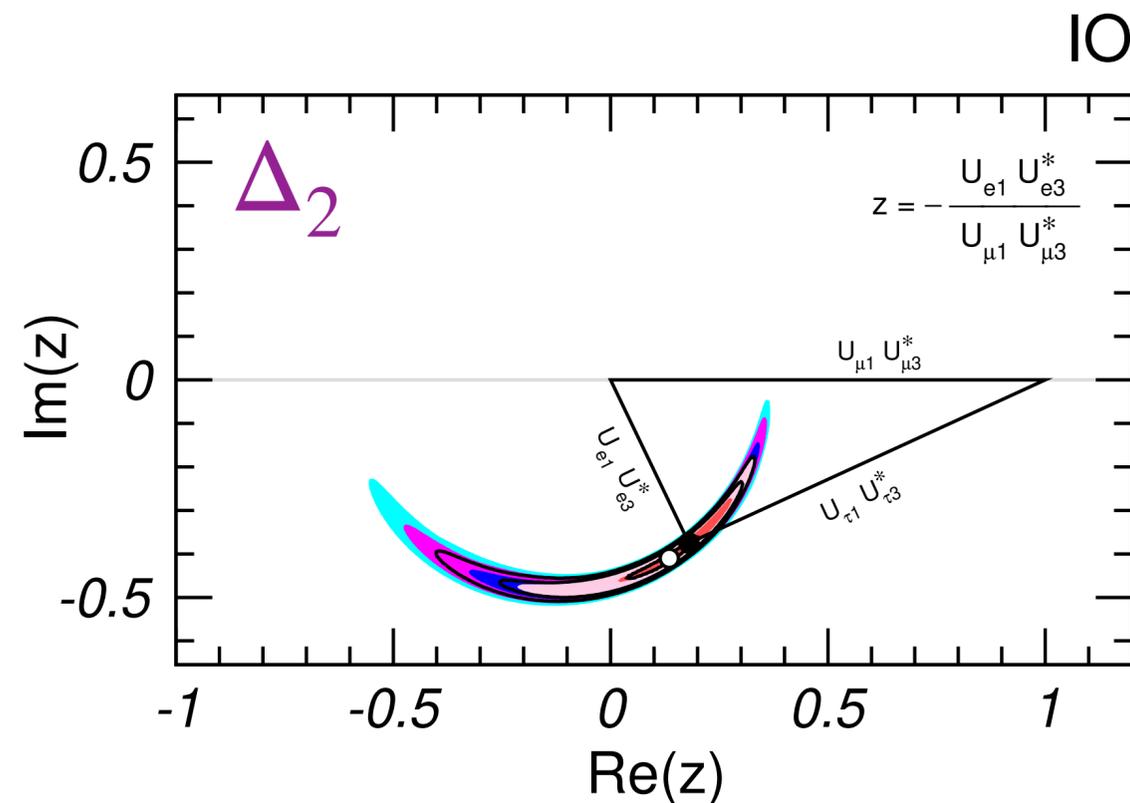
- Jarlskog invariant can be calculated from the moduli of CKM matrix elements:  
**Luo & Xing, 2309.07656**

$\theta_{12}$ : solar, long-baseline reactor;  $\theta_{13}$ : short-baseline reactor, accelerator

$\theta_{23}$ : atmospheric, accelerator;  $\delta_{CP}$ : accelerator



NuFIT 6.1 (2025)



# Procedure in the Unitary Case

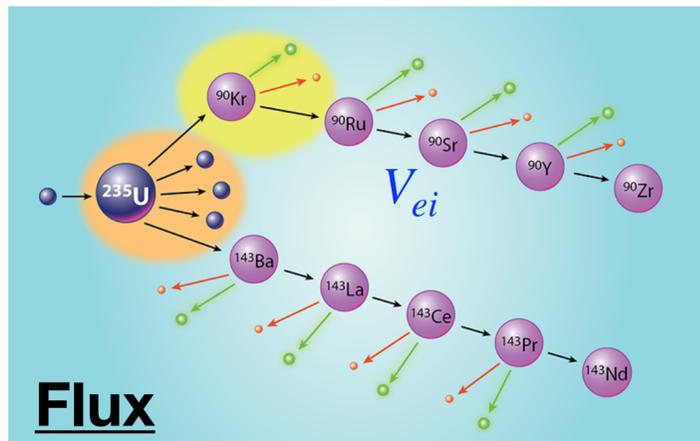
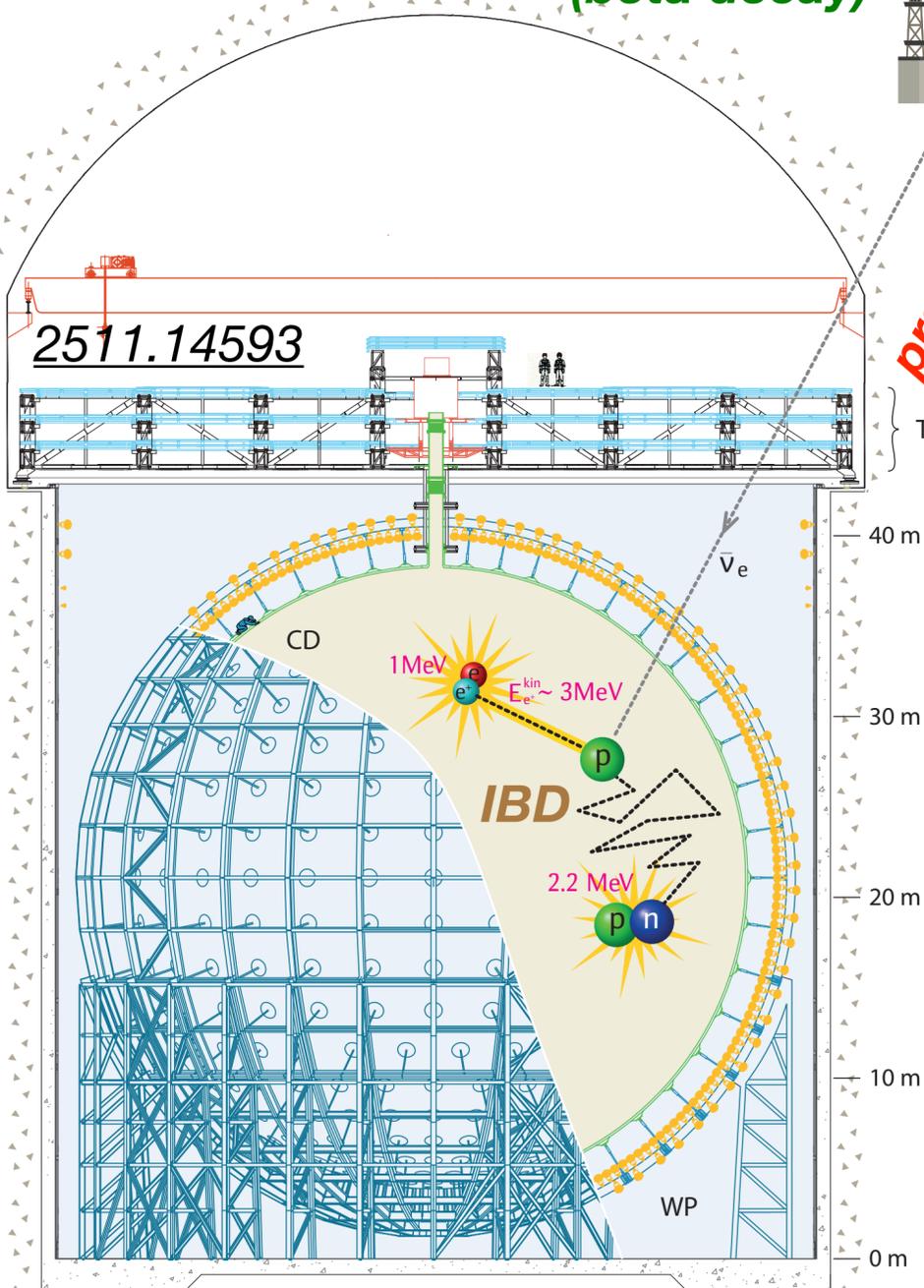
## ◆ How to test the unitarity?

✓ Adopt the complete theory with **non-unitary** flavor mixing and analyze the **production**, **propagation** and **detection** of neutrinos.

Nuclear fission  
(beta decay)



propagation  
(oscillation)



$$\mathcal{L}_{CC} = \frac{g}{\sqrt{2}} \bar{l}_{\alpha L} \gamma^\mu P_L V_{\alpha i} \nu_{iL} W_\mu^- + h.c.$$

*unitary*

• **Neutrino flavor states:**  $|\nu_\alpha\rangle = \sum_{i=1}^3 V_{\alpha i}^* |\nu_i\rangle$

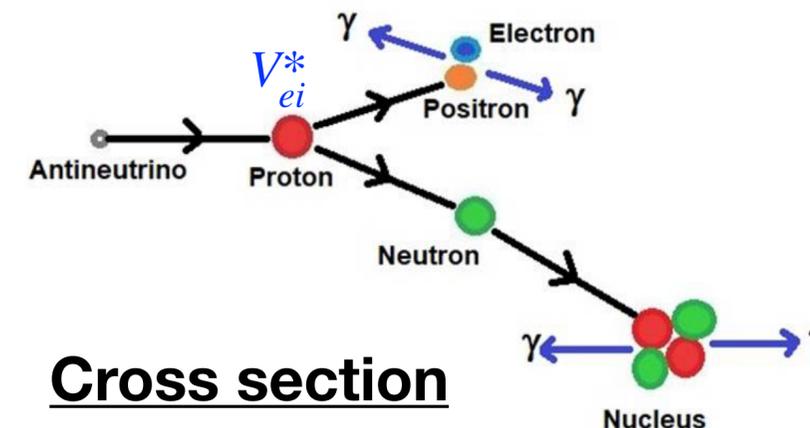
time evolution

• **Oscillation probabilities:**

$$P(\nu_\alpha \rightarrow \nu_\beta) \equiv \left| \langle \nu_\beta | \nu_\alpha(L) \rangle \right|^2 \longleftarrow |\nu_\alpha(L)\rangle = \sum_{i=1}^3 V_{\alpha i}^* e^{-iEL} |\nu_i(0)\rangle$$

• **Event rates:**

$$\frac{dN}{dE_{\text{obs}}} \propto N_{\text{target}} t \int dE_\nu \frac{d\Phi_\alpha}{dE_\nu} \times \frac{P_{\alpha\beta}(E, L)}{4\pi L^2} \times \sigma(E)$$



**Cross section**

**Q: What impact does non-unitarity have on those three parts?**

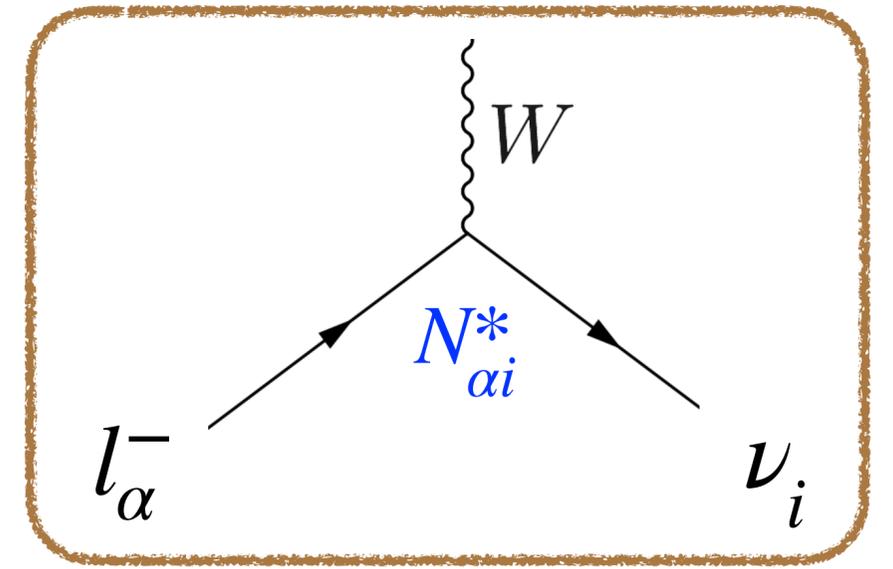
- Legend:
- CD - Central Detector
  - LS - Liquid Scintillator
  - WP - Water Pool
  - TT - Top Tracker
  - - Liquid Scintillator
  - - Water
  - - Air
  - - Rock

# Flavor States with Non-unitarity

• **Charged-current interaction:**  $\mathcal{L}_{CC} = \frac{g}{\sqrt{2}} \bar{l}_{\alpha L} \gamma^\mu P_L N_{\alpha i} \nu_{iL} W_\mu^- + \text{h.c.}$



• **Neutrino flavor states (non-unitary case):**  $|\nu_\alpha\rangle \equiv \sum_{i=1}^3 \frac{N_{\alpha i}^*}{\sqrt{(NN^\dagger)_{\alpha\alpha}}} |\nu_i\rangle$



◆ **Oscillation probabilities :**

$$P(\nu_\alpha \rightarrow \nu_\beta) \equiv \left| \langle \nu_\beta | \nu_\alpha(L) \rangle \right|^2 = \frac{1}{(NN^\dagger)_{\alpha\alpha} (NN^\dagger)_{\beta\beta}} \left[ \left| (NN^\dagger)_{\alpha\beta} \right|^2 - 4 \sum_{i<j} \text{Re } \mathcal{N}_{\alpha\beta}^{ij} \sin^2 F_{ji} + 2 \sum_{i<j} \text{Im } \mathcal{N}_{\alpha\beta}^{ij} \sin 2F_{ji} \right]$$

✓ Starting from the **definition** of **flavor states**, independent of the **production** and **detection** processes.

$$\mathcal{N}_{\alpha\beta}^{ij} \equiv N_{\alpha i} N_{\beta j} N_{\alpha j}^* N_{\beta i}^*, \quad F_{ji} \equiv \Delta m_{ji}^2 L / (4E)$$

✓ The mixing matrix  $N = T \cdot V$

$$T \equiv \mathbf{1} - \zeta = \mathbf{1} - \begin{pmatrix} \zeta_{ee} & 0 & 0 \\ \zeta_{\mu e} & \zeta_{\mu\mu} & 0 \\ \zeta_{\tau e} & \zeta_{\tau\mu} & \zeta_{\tau\tau} \end{pmatrix} \text{ real \& positive}$$

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{CP}} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta_{CP}} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta_{CP}} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta_{CP}} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta_{CP}} & c_{13}c_{23} \end{pmatrix}$$

• Case I:  $\bar{\nu}_e \rightarrow \bar{\nu}_e$  Disappearance channels

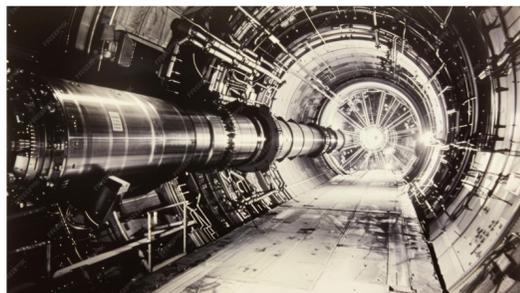


$$\begin{aligned} \bar{P}_{ee} = P_{ee} &= \frac{1}{(1 - \zeta_{ee})^4} \left[ (1 - \zeta_{ee})^4 - 4 (1 - \zeta_{ee})^4 \sum_{i < j} |V_{ei}|^2 |V_{ej}|^2 \sin^2 F_{ji} \right] \\ &= 1 - 4 \sum_{i < j} |V_{ei}|^2 |V_{ej}|^2 \sin^2 F_{ji} \end{aligned}$$

*Inensitive to the non-unitarity effects!*

- ▶ The mixing parameters directly correspond to those in the **unitary** case.
- ▶ It is necessary to consider the **production** and **detection** processes.

• Case II:  $\bar{\nu}_e \rightarrow \bar{\nu}_\mu$  Appearance channels



$$\begin{aligned} \bar{P}_{e\mu} &\approx -4 \sum_{i < j} \text{Re} \left[ V_{ei} V_{\mu j} V_{ej}^* V_{\mu i}^* - \left( \zeta_{\mu e} V_{ei} V_{\mu i}^* |V_{ej}|^2 + \zeta_{\mu e}^* V_{ej}^* V_{\mu j} |V_{ei}|^2 \right) \right] \sin^2 F_{ji} \\ &\quad - 2 \sum_{i < j} \text{Im} \left[ V_{ei} V_{\mu j} V_{ej}^* V_{\mu i}^* - \left( \zeta_{\mu e} V_{ei} V_{\mu i}^* |V_{ej}|^2 + \zeta_{\mu e}^* V_{ej}^* V_{\mu j} |V_{ei}|^2 \right) \right] \sin 2F_{ji} . \end{aligned}$$

$$N = T \cdot V \quad T \equiv \mathbf{1} - \zeta = \mathbf{1} - \begin{pmatrix} \zeta_{ee} & 0 & 0 \\ \zeta_{\mu e} & \zeta_{\mu\mu} & 0 \\ \zeta_{\tau e} & \zeta_{\tau\mu} & \zeta_{\tau\tau} \end{pmatrix}$$

$$P_{\alpha\beta} = \frac{1}{(NN^\dagger)_{\alpha\alpha} (NN^\dagger)_{\beta\beta}} \left[ \left| (NN^\dagger)_{\alpha\beta} \right|^2 - 4 \sum_{i<j} \text{Re} \left( N_{\alpha i} N_{\beta j} N_{\alpha j}^* N_{\beta i}^* \right) \sin^2 \frac{\Delta m_{ji}^2 L}{4E} + 2 \sum_{i<j} \text{Im} \left( N_{\alpha i} N_{\beta j} N_{\alpha j}^* N_{\beta i}^* \right) \sin \frac{\Delta m_{ji}^2 L}{2E} \right]$$

- **Disappearance channel**  $P_{\alpha\alpha}(L=0) = \frac{1}{(NN^\dagger)_{\alpha\alpha} (NN^\dagger)_{\alpha\alpha}} \left[ \left| (NN^\dagger)_{\alpha\alpha} \right|^2 \right] = 1$

**Zero-distance effect !**

- **Appearance channel**  $P_{e\mu}(L=0) = \frac{1}{(NN^\dagger)_{ee} (NN^\dagger)_{\mu\mu}} \left[ \left| (NN^\dagger)_{e\mu} \right|^2 \right] \approx |\zeta_{\mu e}|^2 \neq 0$

✓ **Near detectors** are useful for constraining  $\zeta$

$$N = T \cdot V \quad T \equiv \mathbf{1} - \zeta = \mathbf{1} - \begin{pmatrix} \zeta_{ee} & 0 & 0 \\ \zeta_{\mu e} & \zeta_{\mu\mu} & 0 \\ \zeta_{\tau e} & \zeta_{\tau\mu} & \zeta_{\tau\tau} \end{pmatrix} \quad P_{e\mu}(L=0) = \frac{1}{(NN^\dagger)_{ee} (NN^\dagger)_{\mu\mu}} \left[ \left| (NN^\dagger)_{e\mu} \right|^2 \right] \approx |\zeta_{\mu e}|^2 \neq 0$$

$$P_{\alpha\beta} = \frac{1}{(NN^\dagger)_{\alpha\alpha} (NN^\dagger)_{\beta\beta}} \left[ \left| (NN^\dagger)_{\alpha\beta} \right|^2 - 4 \sum_{i<j} \text{Re} \left( N_{\alpha i} N_{\beta j} N_{\alpha j}^* N_{\beta i}^* \right) \sin^2 \frac{\Delta m_{ji}^2 L}{4E} + 2 \sum_{i<j} \text{Im} \left( N_{\alpha i} N_{\beta j} N_{\alpha j}^* N_{\beta i}^* \right) \sin \frac{\Delta m_{ji}^2 L}{2E} \right]$$

◆ All the oscillation probabilities are reduced to those in the unitary case by replacing  $N \rightarrow V$  with  $VV^\dagger = \mathbf{1}$ .

♣ In the full type-I seesaw model, it is **FORBIDDEN** to take  $T \rightarrow \mathbf{1}$  or  $\zeta \rightarrow \mathbf{0}$

*Don't switch off the Yukawa couplings between  $N_R$  and  $\ell_L$ !*

$$A \simeq \mathbf{1} - \frac{1}{2} \begin{pmatrix} s_{14}^2 + s_{15}^2 + s_{16}^2 & 0 & 0 \\ 2\hat{s}_{14}\hat{s}_{24}^* + 2\hat{s}_{15}\hat{s}_{25}^* + 2\hat{s}_{16}\hat{s}_{26}^* & s_{24}^2 + s_{25}^2 + s_{26}^2 & 0 \\ 2\hat{s}_{14}\hat{s}_{34}^* + 2\hat{s}_{15}\hat{s}_{35}^* + 2\hat{s}_{16}\hat{s}_{36}^* & 2\hat{s}_{24}\hat{s}_{34}^* + 2\hat{s}_{25}\hat{s}_{35}^* + 2\hat{s}_{26}\hat{s}_{36}^* & s_{34}^2 + s_{35}^2 + s_{36}^2 \end{pmatrix} \simeq \mathbf{1} - \mathcal{O}(m_D^2/m_R^2)$$



# Muon Decay with Non-unitarity

$$\mathcal{L}_{\text{CC}} = \frac{g}{\sqrt{2}} \bar{l}_{\alpha L} \gamma^\mu P_L N_{\alpha i} \nu_{iL} W_\mu^- + \text{h.c.}$$

$$G_\mu^{\text{SM}} = \left[ g^2 / (4\sqrt{2}m_W^2) \right] (1 + \Delta r)$$

$$G_\mu^{\text{exp}} \approx 1.166 \times 10^{-5} \text{ GeV}^{-2}$$

• **Decay amplitude**

$$\mathcal{M} \left( \mu^- \rightarrow e^- + \nu_\mu + \bar{\nu}_e \right) \simeq -\frac{g^2}{2m_W^2} \left\langle e^- \nu_\mu \bar{\nu}_e \left| \left( \bar{\nu}_i \gamma_\lambda P_L N_{\mu i}^* \right) \left( \bar{e} \gamma^\lambda P_L N_{e j} \right) \right| \mu^- \right\rangle$$

$$\langle \nu_j | \nu_i \rangle = \delta_{ij}$$

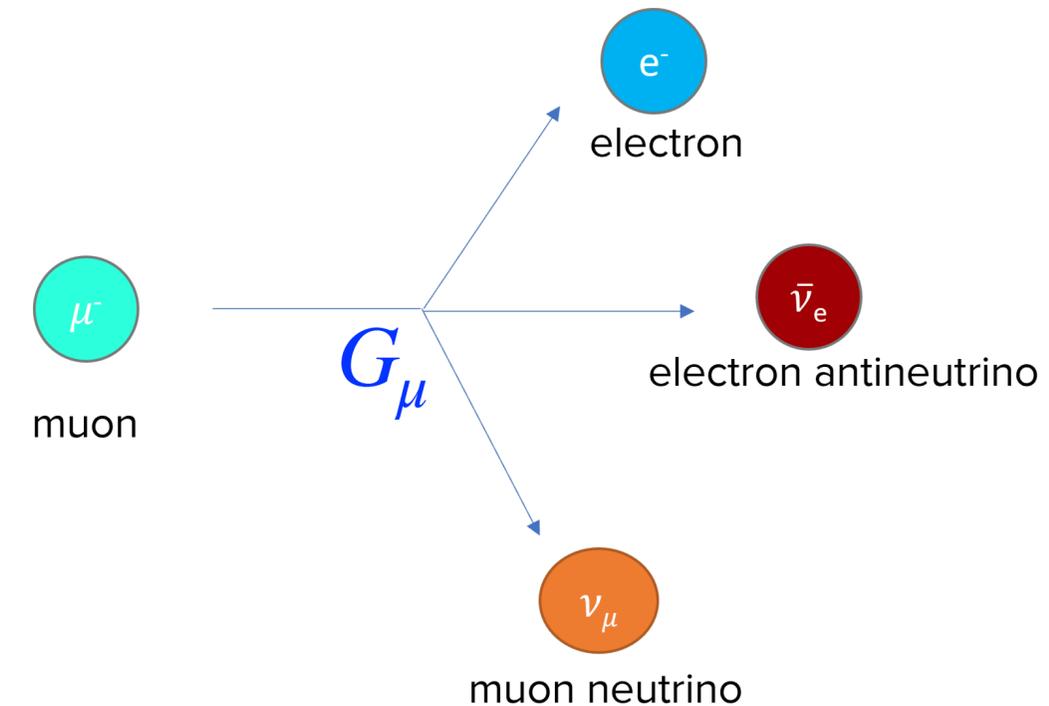
$$\langle \nu_\mu | = \sum_m \langle \nu_m | \frac{N_{\mu m}}{\sqrt{(NN^\dagger)_{\mu\mu}}}, \quad \langle \bar{\nu}_e | = \sum_n \langle \nu_n | \frac{N_{en}^*}{\sqrt{(NN^\dagger)_{ee}}}$$

$$\mathcal{M} \left( \mu^- \rightarrow e^- + \nu_\mu + \bar{\nu}_e \right) = \mathcal{M}_{\text{SM}} \left( \mu^- \rightarrow e^- + \nu_\mu + \bar{\nu}_e \right) \sqrt{(NN^\dagger)_{ee} (NN^\dagger)_{\mu\mu}}$$

extracted from **muon lifetime measurement**

• **Modified Fermi coupling constant**

$$G_\mu^{\text{exp}} = G_\mu^{\text{SM}} \sqrt{(NN^\dagger)_{ee} (NN^\dagger)_{\mu\mu}}$$



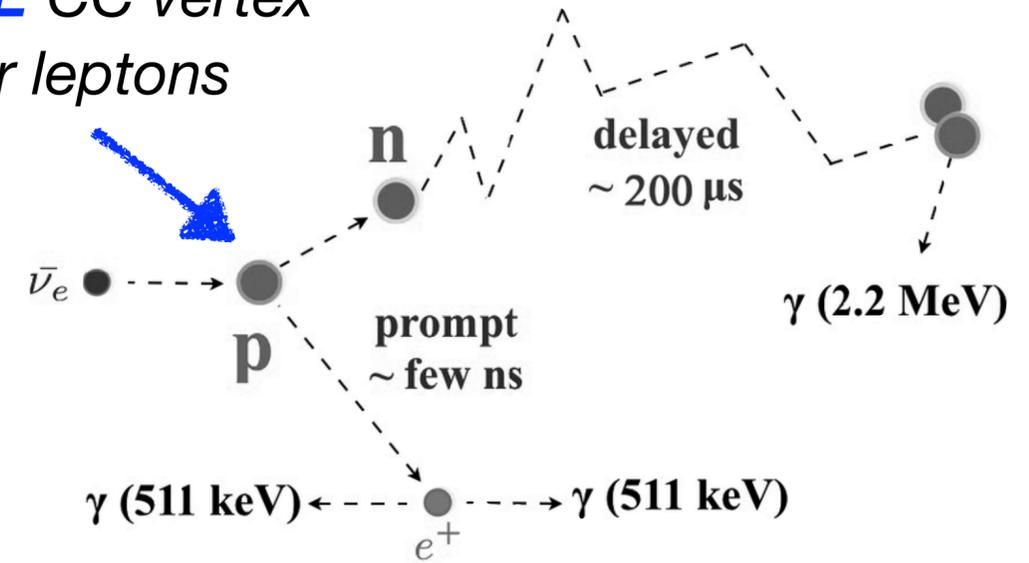
*Antusch et al., hep-ph/0607020*

$$\mathcal{L}_{\text{CC}} = \frac{g}{\sqrt{2}} \bar{l}_{\alpha L} \gamma^\mu P_L N_{\alpha i} \nu_{iL} W_\mu^- + \text{h.c.}$$

$$\sigma_{\text{IBD}} = \sigma_{\text{IBD}}^{\text{SM}} [G_\mu^{\text{SM}}] \cdot (NN^\dagger)_{ee} = \sigma_{\text{IBD}}^{\text{SM}} [G_\mu^{\text{exp}}] / (NN^\dagger)_{\mu\mu}$$

$$NN^\dagger = \begin{pmatrix} (1 - \zeta_{ee})^2 & -(1 - \zeta_{ee}) \zeta_{\mu e}^* & -(1 - \zeta_{ee}) \zeta_{\tau e}^* \\ -(1 - \zeta_{ee}) \zeta_{\mu e} & \boxed{(1 - \zeta_{\mu\mu})^2 + |\zeta_{\mu e}|^2} & -(1 - \zeta_{\mu\mu}) \zeta_{\tau\mu}^* + \zeta_{\mu e} \zeta_{\tau e}^* \\ -(1 - \zeta_{ee}) \zeta_{\tau e} & -(1 - \zeta_{\mu\mu}) \zeta_{\tau\mu} + \zeta_{\mu e}^* \zeta_{\tau e} & (1 - \zeta_{\tau\tau})^2 + |\zeta_{\tau e}|^2 + |\zeta_{\tau\mu}|^2 \end{pmatrix}$$

Only **ONE** CC vertex  
 $N_{ei}$  for leptons



$$G_\mu^{\text{exp}} = G_\mu^{\text{SM}} \sqrt{(NN^\dagger)_{ee} (NN^\dagger)_{\mu\mu}}$$

◆ An empirical formula for the **IBD cross section** in the SM

*Strumia & Vissani, astro-ph/0302055*

$$\sigma_{\text{IBD}}^{\text{SM}}(E) \approx 10^{-43} \text{ cm}^2 \left| \mathbf{p}_{e^+} \right| E_{e^+} E^{-0.07056 + 0.02018 \ln E - 0.001953 \ln^3 E}$$

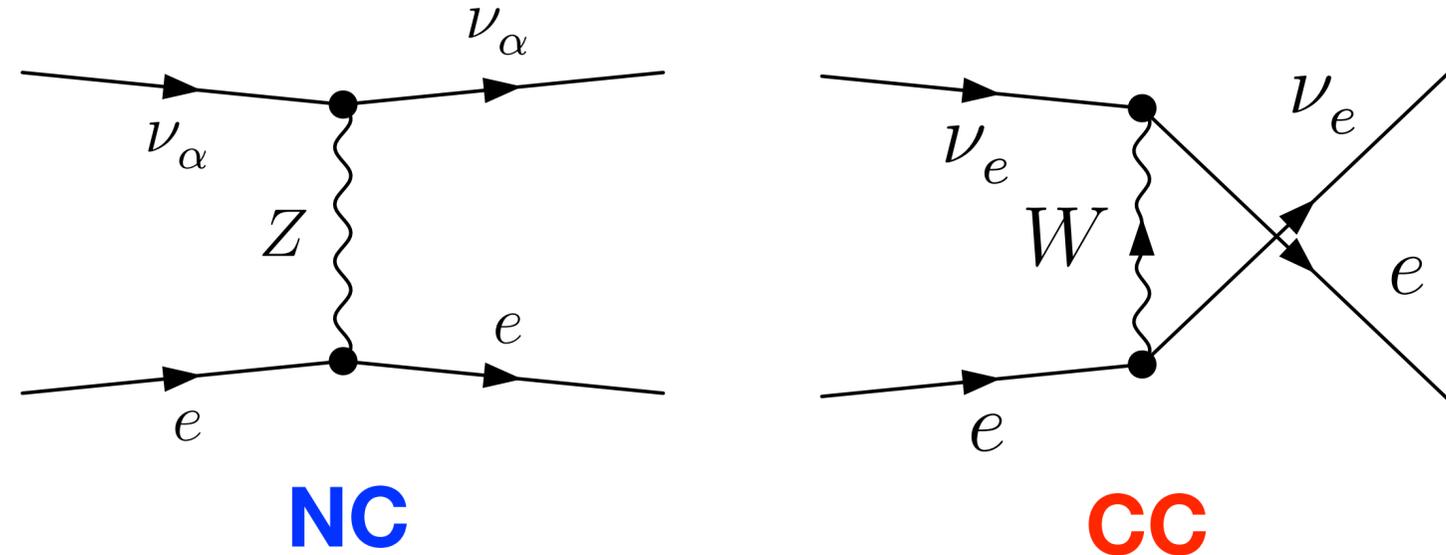
✓ Discussions on **radiative corrections**, see, e.g., **Tomalak's** talk and [2512.07956](#), [2512.07957](#)

# Cross Section: $\bar{\nu}-e$ Scattering (SM)

16

- In the **SM** with the **unitary** mixing matrix

*'t Hooft, PLB 1971*



$$\frac{d\sigma_e^{\text{SM}}}{dT_e} = \frac{(G_\mu^{\text{exp}})^2 m_e}{2\pi} \left\{ \frac{m_e T_e}{E^2} \left[ (c_A + 1)^2 - (c_V + 1)^2 \right] + \left( 1 - \frac{T_e}{E} \right)^2 (c_A + c_V + 2)^2 + (c_A - c_V)^2 \right\}$$

$$\frac{d\sigma_{\mu,\tau}^{\text{SM}}}{dT_e} = \frac{(G_\mu^{\text{exp}})^2 m_e}{2\pi} \left\{ \frac{m_e T_e}{E^2} (c_A^2 - c_V^2) + \left( 1 - \frac{T_e}{E} \right)^2 (c_A + c_V)^2 + (c_A - c_V)^2 \right\}$$

with  $c_V = -1/2 + 2 \sin^2 \theta_W$  and  $c_A = -1/2$

✓ **One-loop corrections:**

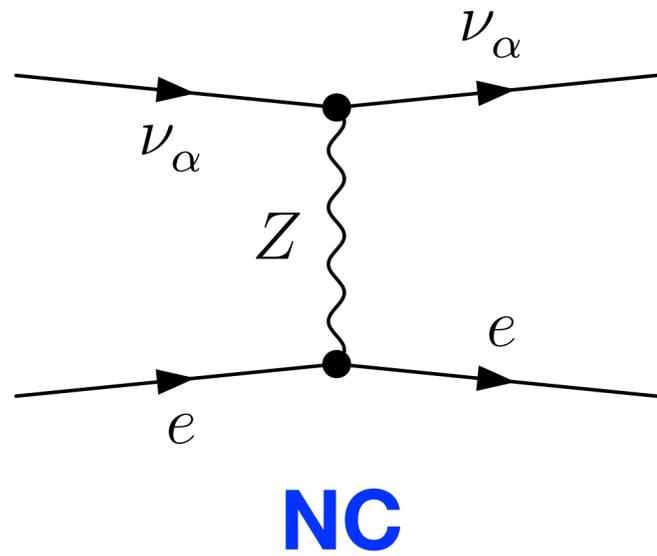
*Sarantakos, Sirlin & Marciano, NPB 1983*

*Marciano & Parsa, hep-ph/0403168*

*Tomalak & Hill, 1907.03379; JH & Zhou, 2412.17047*

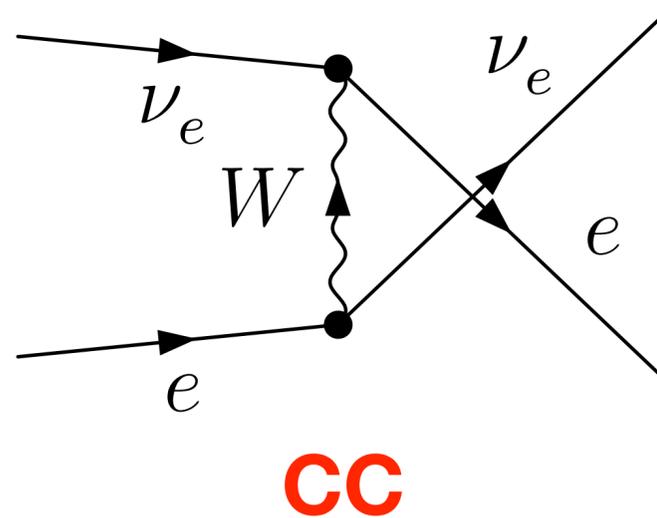
# $\bar{\nu}$ - $e$ Scattering with Non-unitarity

$$\mathcal{L}_{\text{CC}} = \frac{g}{\sqrt{2}} \bar{l}_{\alpha L} \gamma^\mu P_L N_{\alpha i} \nu_{iL} W_\mu^- + \text{h.c.}, \quad \mathcal{L}_{\text{NC}} = \frac{g}{2 \cos \theta_w} \bar{\nu}_{iL} \gamma^\mu P_L (N^\dagger N)_{ij} \nu_{jL} Z_\mu$$



$$\mathcal{M}_{\text{NC}}(\bar{\nu}_\mu + e^- \rightarrow \bar{\nu}_\mu + e^-) = \frac{(NN^\dagger NN^\dagger)_{\mu\mu}}{(NN^\dagger)_{\mu\mu}} \mathcal{M}_{\text{NC}}^{\text{SM}}(\bar{\nu} + e^- \rightarrow \bar{\nu} + e^-) \quad \text{Leading order contribution!}$$

$$\mathcal{M}_{\text{CC}}(\bar{\nu}_\mu + e^- \rightarrow \bar{\nu}_\mu + e^-) = \frac{|(NN^\dagger)_{\mu e}|^2}{(NN^\dagger)_{\mu\mu}} \mathcal{M}_{\text{CC}}^{\text{SM}}(\bar{\nu} + e^- \rightarrow \bar{\nu} + e^-) \quad \text{Next-to-leading order contribution!}$$



$$\mathcal{M}_{\text{NC}}(\bar{\nu}_e + e^- \rightarrow \bar{\nu}_e + e^-) = \frac{(NN^\dagger NN^\dagger)_{ee}}{(NN^\dagger)_{ee}} \mathcal{M}_{\text{NC}}^{\text{SM}}(\bar{\nu} + e^- \rightarrow \bar{\nu} + e^-)$$

$$\mathcal{M}_{\text{CC}}(\bar{\nu}_e + e^- \rightarrow \bar{\nu}_e + e^-) = (NN^\dagger)_{ee} \mathcal{M}_{\text{CC}}^{\text{SM}}(\bar{\nu} + e^- \rightarrow \bar{\nu} + e^-)$$

Both are leading order contribution!

$$\frac{d\sigma_\beta}{dT_e} = \frac{\left(G_\mu^{\text{exp}}\right)^2 m_e}{2\pi (NN^\dagger)_{ee} (NN^\dagger)_{\mu\mu}} \left\{ \frac{m_e T_e}{E^2} \left[ \left(\tilde{c}_A^\beta + \tilde{c}_C^\beta\right)^2 - \left(\tilde{c}_V^\beta + \tilde{c}_C^\beta\right)^2 \right] + \left(1 - \frac{T_e}{E}\right)^2 \left(\tilde{c}_A^\beta + \tilde{c}_V^\beta + 2\tilde{c}_C^\beta\right)^2 + \left(\tilde{c}_A^\beta - \tilde{c}_V^\beta\right)^2 \right\}$$

$$\frac{d\sigma_\beta}{dT_e} \approx \frac{d\sigma_\beta^{\text{SM}}}{dT_e} \times \begin{cases} 1 - 2 \left(\zeta_{ee} - \zeta_{\mu\mu}\right) & \beta = e \\ 1 + 2 \left(\zeta_{ee} - \zeta_{\mu\mu}\right) & \beta = \mu , \\ 1 + 2 \left(\zeta_{ee} + \zeta_{\mu\mu} - 2\zeta_{\tau\tau}\right) & \beta = \tau \end{cases}$$

Only depends on **diagonal** elements !

# Reactor Antineutrino Flux

✓ **Six** antineutrinos produced in each fission with **200 MeV** energy

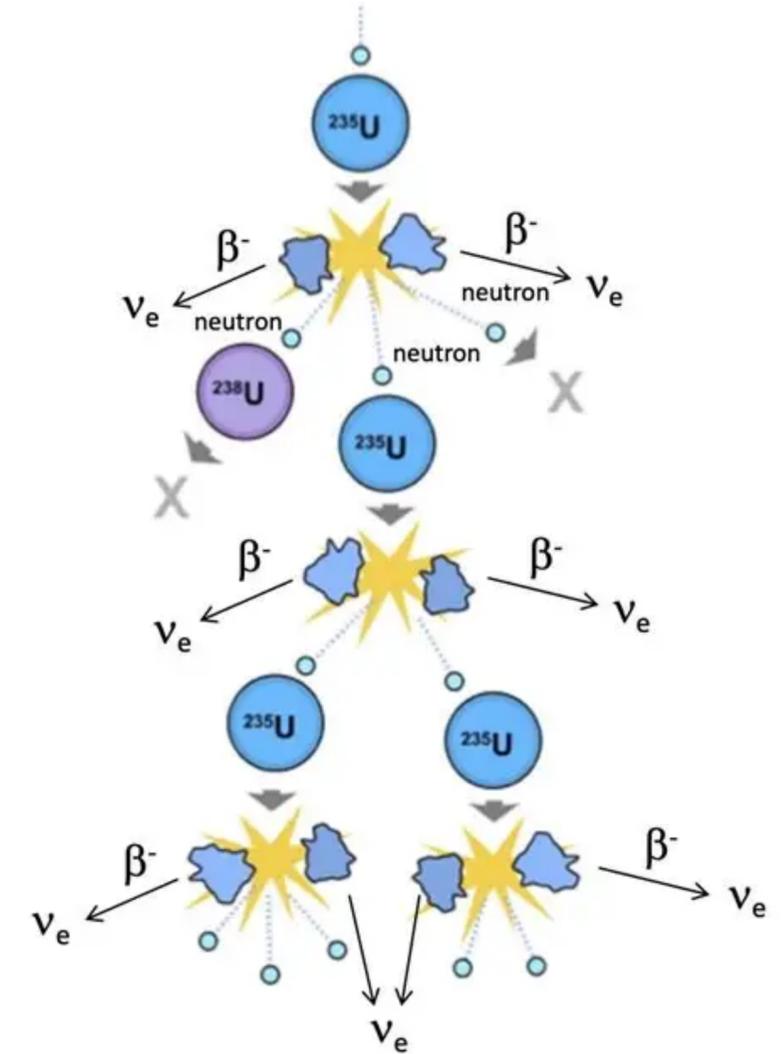
Thermal power (measurement)



Antineutrino flux



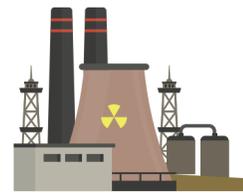
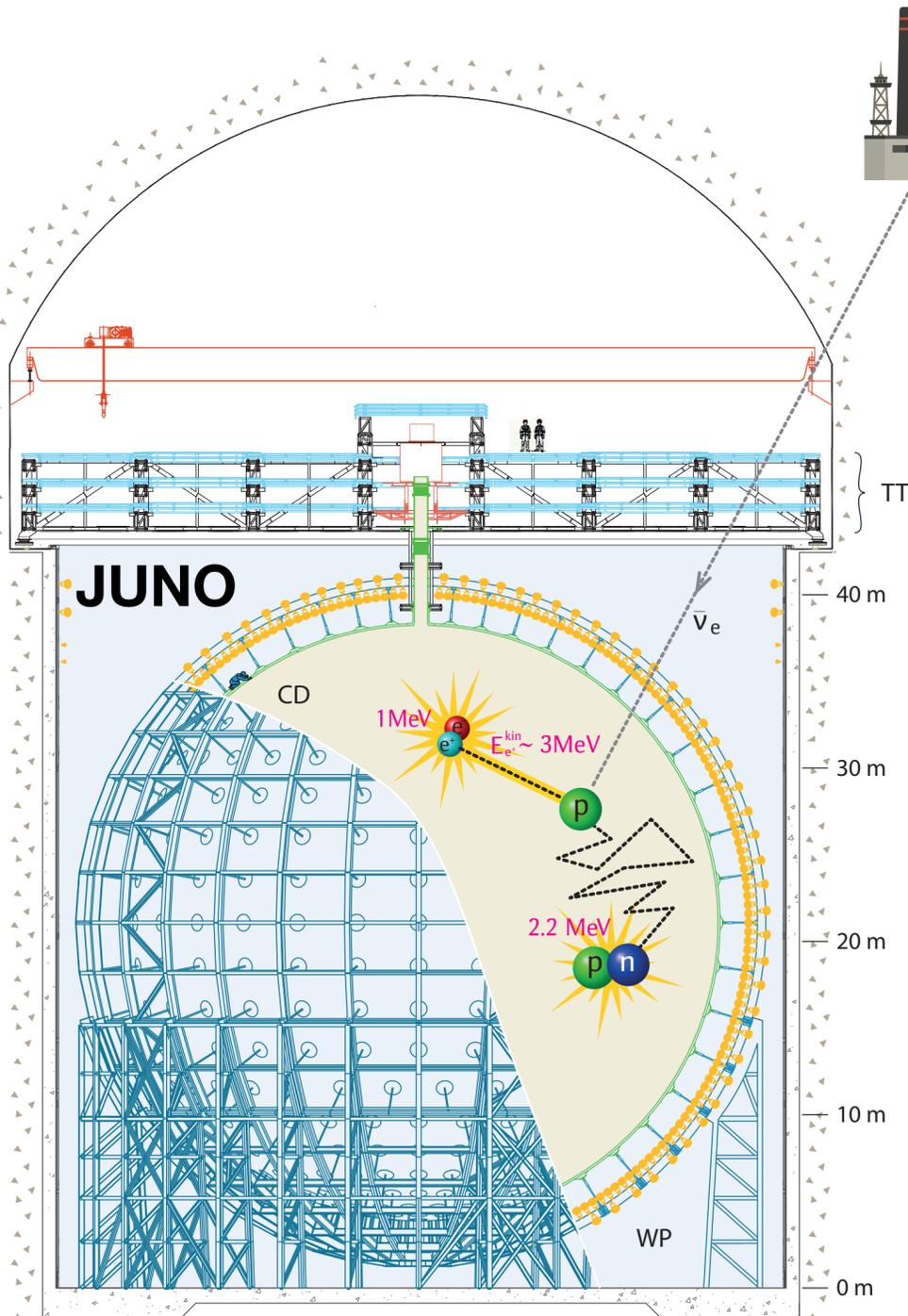
Four main isotopes:  
 $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$  and  $^{241}\text{Pu}$



The antineutrino flux does not need any correction !

Q: What impact does non-unitarity have on those three parts?

- The antineutrino flux does not need any correction!



✓ Near detector

$$P_{\alpha\alpha}(L=0) = \frac{1}{(NN^\dagger)_{\alpha\alpha} (NN^\dagger)_{\alpha\alpha}} \left[ \left| (NN^\dagger)_{\alpha\alpha} \right|^2 \right] = 1$$

$$\sigma_{\text{IBD}} = \sigma_{\text{IBD}}^{\text{SM}} [G_\mu^{\text{exp}}] / (NN^\dagger)_{\mu\mu}$$

✓ Far detector  $\bar{P}_{ee} = 1 - 4 \sum_{i<j} |V_{ei}|^2 |V_{ej}|^2 \sin^2 F_{ji}$

$$\bar{P}_{e\mu} \approx -4 \sum_{i<j} \text{Re} \left[ V_{ei} V_{\mu j} V_{ej}^* V_{\mu i}^* - \left( \zeta_{\mu e} V_{ei} V_{\mu i}^* |V_{ej}|^2 + \zeta_{\mu e}^* V_{ej}^* V_{\mu j} |V_{ei}|^2 \right) \right] \sin^2 F_{ji}$$

$$-2 \sum_{i<j} \text{Im} \left[ V_{ei} V_{\mu j} V_{ej}^* V_{\mu i}^* - \left( \zeta_{\mu e} V_{ei} V_{\mu i}^* |V_{ej}|^2 + \zeta_{\mu e}^* V_{ej}^* V_{\mu j} |V_{ei}|^2 \right) \right] \sin 2F_{ji}$$

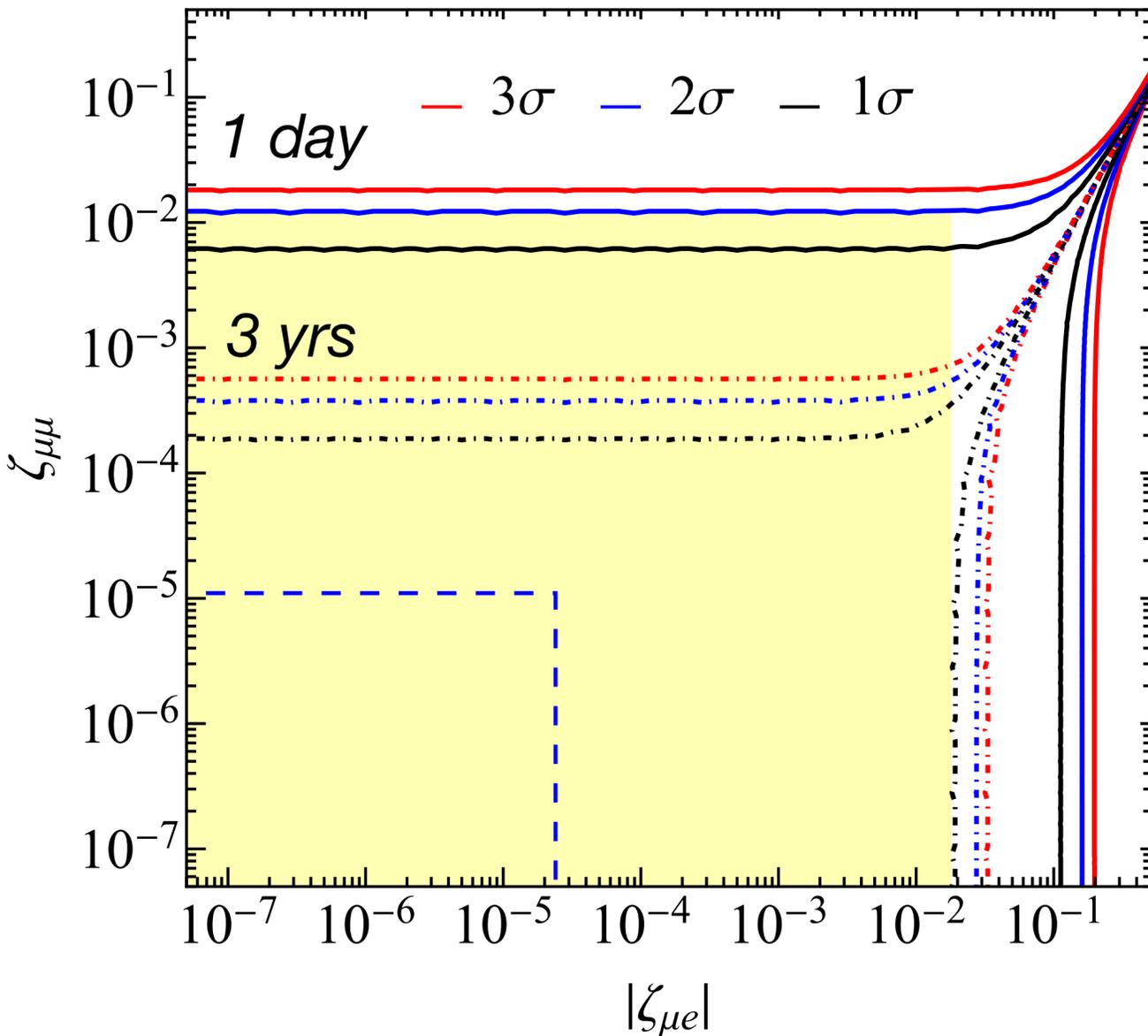
Appearance channel  
(ν-e scattering)

$$\frac{d\sigma_\beta}{dT_e} \approx \frac{d\sigma_\beta^{\text{SM}}}{dT_e} \times \frac{\left[ (NN^\dagger)_{\beta\beta} \right]^2}{(NN^\dagger)_{ee} (NN^\dagger)_{\mu\mu}}$$

- Legend:  
 CD - Central Detector  
 LS - Liquid Scintillator  
 WP - Water Pool  
 TT - Top Tracker  
 [Yellow] - Liquid Scintillator  
 [Blue] - Water  
 [White] - Air  
 [Grey] - Rock

$$\frac{dN_{\text{TAO}}}{dE_{\text{obs}}} = N_p t \sum_i \int_{E_{\text{thr}}}^{\infty} dE \frac{d\Phi_{\bar{\nu}_e}^i}{dE} \frac{\bar{P}_{ee}(E, L)}{4\pi L_i^2} \sigma_{\text{IBD}}(E) \mathcal{G}(E_{\text{obs}}; E_{\text{vis}}, \delta_E^{\text{TAO}})$$

*response function*



- **Construct  $\chi^2$  function**

$$\chi_{\text{TAO}}^2 = \sum_i \frac{\left(N_{\text{TAO}}^{i,\text{th}} - N_{\text{TAO}}^{i,\text{exp}}\right)^2}{N_{\text{TAO}}^{i,\text{exp}}}$$

6300 events per day

$$\left|\zeta_{\mu e}\right|^2 + \left(1 - \zeta_{\mu\mu}\right)^2 = \frac{1}{1 \pm \sqrt{\chi_{\text{TAO}}^2 / (N_{\text{TAO}} t)}} \simeq 1 \pm 0.0126 \sqrt{\chi_{\text{TAO}}^2 / t}$$

$$\frac{dN_{\text{JUNO}}^\beta}{dE_{\text{obs}}} = \frac{N_e t}{4\pi L_{\text{JUNO}}^2} \sum_i \int_0^\infty dT_e \mathcal{G}(E_{\text{obs}}; T_e, \delta_E^{\text{JUNO}}) \int_{E_{\text{min}}}^\infty dE \frac{d\Phi_{\bar{\nu}_e}^i}{dE} \bar{P}_{e\beta}(E, L_{\text{JUNO}}) \frac{d\sigma_\beta}{dT_e}$$

✓ **60 IBD events** and **10  $\bar{\nu}_e$ - $e$  scattering events** per day

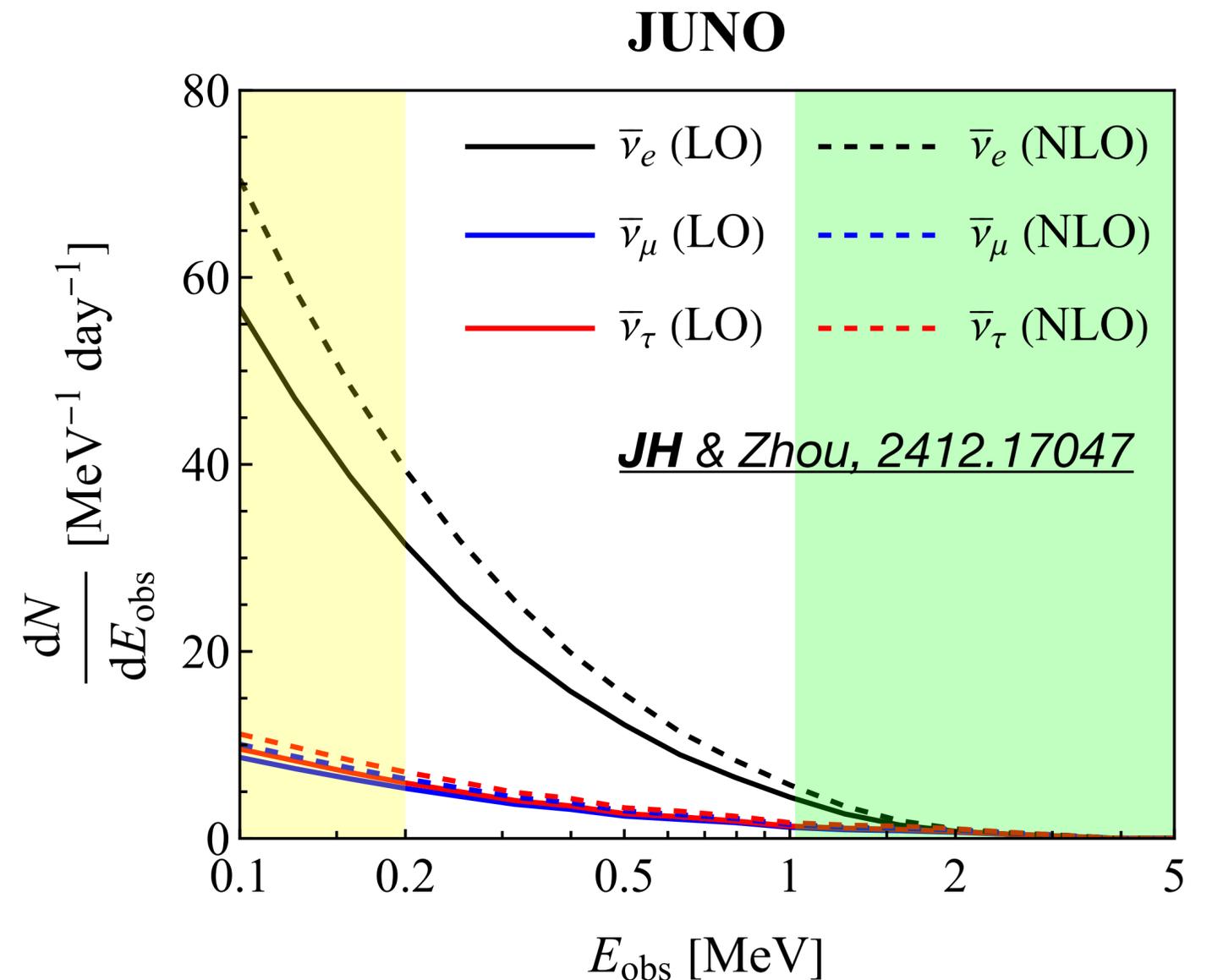
✓ **2  $\bar{\nu}_{\mu,\tau}$ - $e$  scattering events** per day

❖ **Retain all diagonal elements**  $\{\zeta_{ee}, \zeta_{\mu\mu}, \zeta_{\tau\tau}\}$

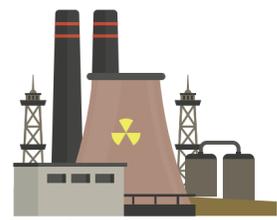
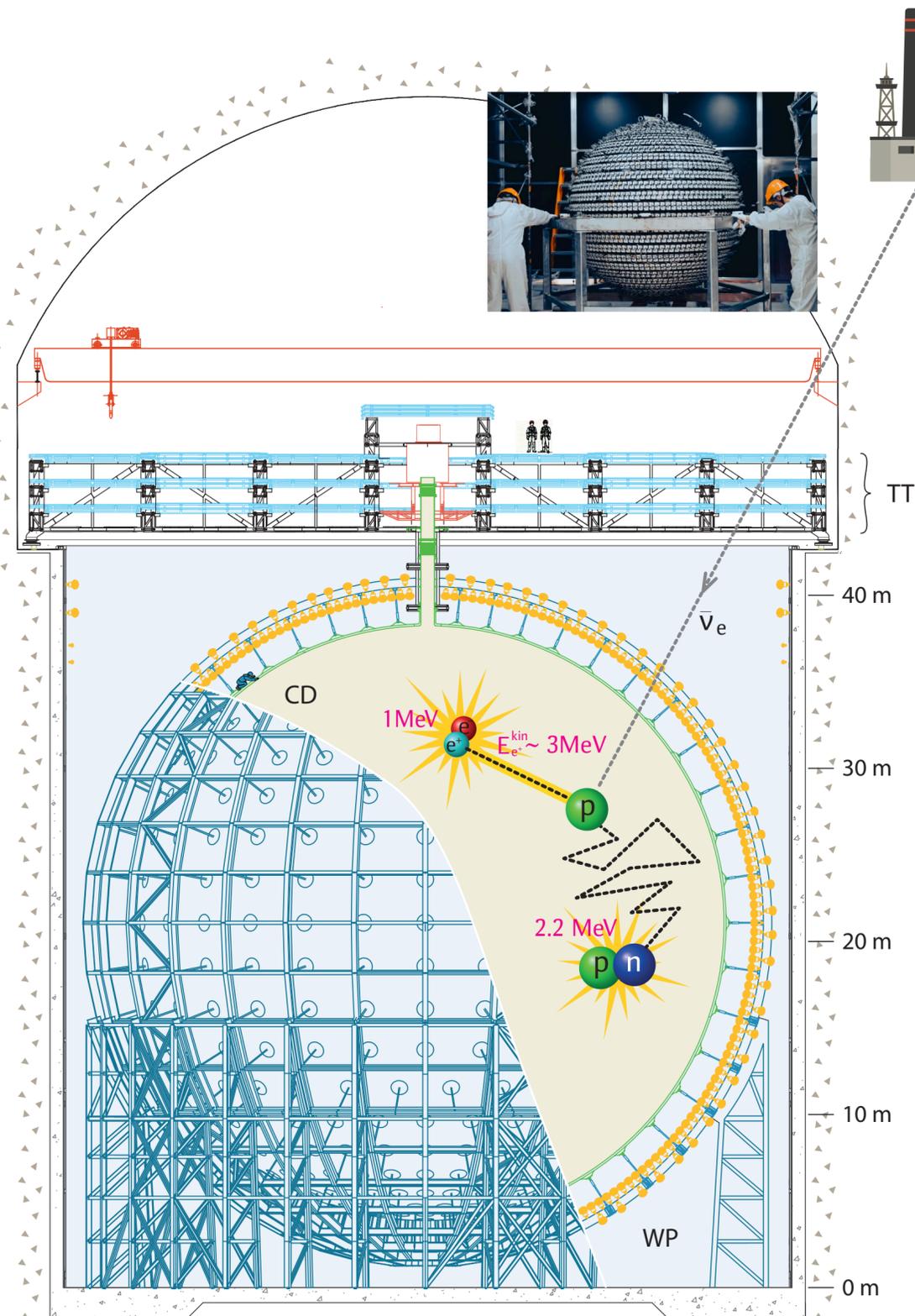
$$\frac{dN_{\text{JUNO}}^\mu}{dE_{\text{obs}}} \simeq \left[ 1 + 2(\zeta_{ee} - \zeta_{\mu\mu}) \right] \frac{dN_{\text{JUNO}}^{\mu, \text{SM}}}{dE_{\text{obs}}}$$

$$\frac{dN_{\text{JUNO}}^\tau}{dE_{\text{obs}}} \simeq \left[ 1 + 2(\zeta_{ee} + \zeta_{\mu\mu} - 2\zeta_{\tau\tau}) \right] \frac{dN_{\text{JUNO}}^{\tau, \text{SM}}}{dE_{\text{obs}}}$$

➔  $\left| \zeta_{ee} - \zeta_{\tau\tau} \right| \lesssim 2.3 \times 10^{-2} \text{ (} 3\sigma, 3\text{yrs)}$



# Precise Measurements & Constraints 23



With both *near* and *far* detector:

$$\chi^2 = \sum_i \frac{(N_i^{\text{FD}} - \omega_i N_i^{\text{ND}})^2}{N_i^{\text{FD}}}$$

• **weight factor :**

$$\omega_i \equiv \frac{\bar{P}_{ee}^{i,\text{FD}}(E_i, L_{\text{FD}})}{\bar{P}_{ee}^{i,\text{ND}}(E_i, L_{\text{ND}})}$$

- Legend:
- CD – Central Detector
  - LS – Liquid Scintillator
  - WP – Water Pool
  - TT – Top Tracker
  - – Liquid Scintillator
  - – Water
  - – Air
  - – Rock



◆ **Independent** of the non-unitarity effects,

✓ **Precise measurement** of the mixing angles

♣ Analyze event rates at the far (near) detector **individually** to constrain non-unitarity effects



- We investigate the non-unitarity effects of lepton flavor mixing matrix in JUNO and TAO. We separate the **production**, the **oscillation** and the **detection** into three independent parts (assuming neutrino masses are much smaller than the characteristic energy scales).
  - ✓ Derive the oscillation probabilities in the **lower-triangular** parametrization.
  - ✓ There is **NO** need to modify the reactor antineutrino flux from the theoretical aspect.
  - ✓ We calculate the cross sections for the IBD and the  $\nu$ - $e$  scattering with **NC** interactions.
- TAO and JUNO both have capabilities of **individually** limiting the non-unitarity parameters!
- One can combine the unitarity tests in the **neutrino oscillation** experiments, **precision EW and flavor observables** together, to derive much stronger constraints. Theoretical calculations of observables beyond the LO are necessary (in the **complete neutrino mass model**).



**Thanks for your attention!**

# ***Backup Slides***

# $\bar{\nu}$ - $e$ Scattering Cross Sections

$$\frac{d\sigma_\beta}{dT_e} = \frac{\left(G_\mu^{\text{exp}}\right)^2 m_e}{2\pi (NN^\dagger)_{ee} (NN^\dagger)_{\mu\mu}} \left\{ \frac{m_e T_e}{E^2} \left[ \left(\tilde{c}_A^\beta + \tilde{c}_C^\beta\right)^2 - \left(\tilde{c}_V^\beta + \tilde{c}_C^\beta\right)^2 \right] + \left(1 - \frac{T_e}{E}\right)^2 \left(\tilde{c}_A^\beta + \tilde{c}_V^\beta + 2\tilde{c}_C^\beta\right)^2 + \left(\tilde{c}_A^\beta - \tilde{c}_V^\beta\right)^2 \right\}$$

$$\tilde{c}_{V,A}^\beta \equiv \frac{(NN^\dagger NN^\dagger)_{\beta\beta}}{(NN^\dagger)_{\beta\beta}} \times c_{V,A}, \quad \tilde{c}_C^\beta \equiv \frac{\left| (NN^\dagger)_{\beta e} \right|^2}{(NN^\dagger)_{\beta\beta}} \xrightarrow{\text{unitary case}} \tilde{c}_{V,A}^\beta = c_{V,A}, \quad \tilde{c}_C^\beta = \delta_{\beta e}$$

$$\frac{d\sigma_\beta}{dT_e} \approx \frac{d\sigma_\beta^{\text{SM}}}{dT_e} \times \frac{\left[ (NN^\dagger)_{\beta\beta} \right]^2}{(NN^\dagger)_{ee} (NN^\dagger)_{\mu\mu}}$$

$$\frac{d\sigma_\beta}{dT_e} \approx \frac{d\sigma_\beta^{\text{SM}}}{dT_e} \times \begin{cases} 1 - 2(\zeta_{ee} - \zeta_{\mu\mu}) & \beta = e \\ 1 + 2(\zeta_{ee} - \zeta_{\mu\mu}) & \beta = \mu, \\ 1 + 2(\zeta_{ee} + \zeta_{\mu\mu} - 2\zeta_{\tau\tau}) & \beta = \tau \end{cases}$$

$$NN^\dagger = \begin{pmatrix} (1 - \zeta_{ee})^2 & -(1 - \zeta_{ee}) \zeta_{\mu e}^* & -(1 - \zeta_{ee}) \zeta_{\tau e}^* \\ -(1 - \zeta_{ee}) \zeta_{\mu e} & (1 - \zeta_{\mu\mu})^2 + |\zeta_{\mu e}|^2 & -(1 - \zeta_{\mu\mu}) \zeta_{\tau\mu}^* + \zeta_{\mu e} \zeta_{\tau e}^* \\ -(1 - \zeta_{ee}) \zeta_{\tau e} & -(1 - \zeta_{\mu\mu}) \zeta_{\tau\mu} + \zeta_{\mu e}^* \zeta_{\tau e} & (1 - \zeta_{\tau\tau})^2 + |\zeta_{\tau e}|^2 + |\zeta_{\tau\mu}|^2 \end{pmatrix}$$

Only depends on **diagonal** elements !

- The NC interaction could not **distinguish** among three flavors of neutrinos.

NC cross section defined in the mass eigenstate

$$\sigma_i^{\text{NC}} = \sigma_{\text{NC}}^{\text{SM}} \sum_j \left| (N^\dagger N)_{ij} \right|^2$$

## ✓ Oscillation probabilities

\*Antusch et al., hep-ph/0607020  $\hat{P}_{\nu_\alpha \nu_i}(E, L) \equiv |N_{\alpha i}|^2 \longrightarrow \hat{P}_{\nu_\alpha \text{NC}}(E, L) \equiv \sum_i \hat{P}_{\nu_\alpha \nu_i}(E, L)$

Neutrinos propagate as mass eigenstates, are already decoherent when they reach the detector without oscillations, and individually participate in the NC process.

\*Dutta & Roy, 1901.11298; Blennow et al., 2502.19480  $\hat{P}_{\nu_\alpha \rightarrow \nu_{\text{NC}}}(E, L) = \sum_j \left| \sum_i N_{\alpha i}^* e^{-iE_i L} (N^\dagger N)_{ij} \right|^2$

Neutrinos propagate as mass eigenstates and undergo NC interactions in a coherent manner, and the total probability is obtained through the summation over the final mass eigenstates (at the cross section level).