

Gap generation in dice model: an avatar of magnetic catalysis

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(supported by National Research Foundation of Ukraine grant 2023.03/0097)

V.A. Miransky, 1987-2020, PhD supervisor (1993) , 51 joint papers

International Conference on Symmetry Breaking in QFT, Hefei, China

17.05.2026

V.A. Miransky – life devoted to physics

Elementary particles, gauge theories

1) Dynamical chiral symmetry breaking, strongly coupled QED

Dynamical symmetry breaking and particle mass generation in gauge field theories, P.I. Fomin, V.P. Gusynin, V.A. Miransky, Yu.A. Sitenko, *Riv. Nuovo Cim.* **6** (1983) 1

2) $\bar{t}t$ – condensate

Is the t quark responsible for the mass of W and Z bosons? V.A. Miransky, M. Tanabashi, K. Yamawaki, *Mod. Phys. Lett. A* **4** (1989) 1043

3) Magnetic catalysis

Catalysis of dynamical flavor symmetry breaking by a magnetic field, V.P. Gusynin, V.A. Miransky, I.A. Shovkovy, *Phys. Rev. Lett.* **73** (1994) 3499

4) Conformal phase transition, Miransky scaling

Conformal phase transition in gauge theories, V.A. Miransky, K. Yamawaki, *Phys. Rev. D* **55** (1997) 5051

5) Color superconductivity

Schwinger-Dyson approach to color superconductivity in dense QCD, D.K. Hong, V.A. Miransky, I.A. Shovkovy, L.C.R. Wijewardhana, *Phys. Rev. D* **61** (2000) 056001

6) Reduced QED

[Dynamical symmetry breaking on a brane in reduced QED](#), E.V. Gorbar, V.P. Gusynin, **V.A. Miransky**, *Phys. Rev. D* **64** (2002) 105028

7) Chiral asymmetry in gauge theories in a magnetic field

[Radiative corrections to chiral separation effect in QED](#), E.V. Gorbar, **V.A. Miransky**, I.A. Shovkovy, Xinyang Wang, *Phys. Rev. D* **88** (2013) 025025

Condensed matter

8) Gap generation in graphene

[Magnetic field driven metal insulator phase transition in planar systems](#), E.V. Gorbar, V.P. Gusynin, **V.A. Miransky**, I.A. Shovkovy, *Phys. Rev. B* **66** (2002) 045108

9) Chiral anomaly in Dirac and Weyl semimetals

[Chiral anomaly, dimensional reduction, and magnetoresistivity of Weyl and Dirac semimetals](#), E.V. Gorbar, **V.A. Miransky**, I.A. Shovkovy, *Phys. Rev. B* **89** (2014) 085126

10) Consistent chiral anomaly in magnetic and pseudomagnetic fields in Weyl semimetals

[Consistent chiral kinetic theory in Weyl materials: chiral magnetic plasmons](#), E.V. Gorbar, **V.A. Miransky**, I.A. Shovkovy, P.O. Sukhachov, *Phys. Rev. Lett.* **118** (2017) 127601

Monographs

- 1) **Models of strongly interacting elementary particles**, V.P. Shelest, **V.A. Miransky**, G.M. Zinovjev, Atomizdat, Vol.1, 1975, Vol. 2, 1976.
- 2) **Dynamical symmetry breaking in quantum field theories**, **V.A. Miransky**, World Scientific, 1994.
- 3) **Electronic properties of Dirac and Weyl semimetals**, E.V. Gorbar, **V.A. Miransky**, I.A. Shovkovy, P.O. Sukhachov, World Scientific, 2021.

Bound state formation in non-relativistic physics

Softer energy-momentum dispersion (larger n) favors bound state formation

$$\Psi(\vec{p}) = g \int \frac{d^3k}{(2\pi)^3} \frac{V(\vec{k} - \vec{p})}{a|\vec{k}|^n + \Delta} \Psi(\vec{k}), \quad E = -\Delta < 0$$

$\Delta \rightarrow 0$, $\int \frac{d^3k}{(2\pi)^3} \frac{1}{|\vec{k}|^2}$ converges in $IR \rightarrow$ critical coupling $g_{cr} > 0$

critical coupling is absent for softer dispersion $n \geq 3$, i.e., $g_{cr} = 0$

Magnetic catalysis of gap generation

(2+1)-dimensional NJL model, gap equation

$$m = 4iG \int \frac{d\omega d^2k}{(2\pi)^3} \frac{m}{\omega^2 - \vec{k}^2 - m^2 + i\epsilon}, \quad G_{cr} = \frac{4\pi^{3/2}}{\Lambda}$$

G. Jona-Lasinio, F.M. Marchetti, *On the pairing structure of the vacuum induced by a magnetic field in 2+1 – dimensional Dirac theory*, Phys. Lett. B 459 (1999) 208

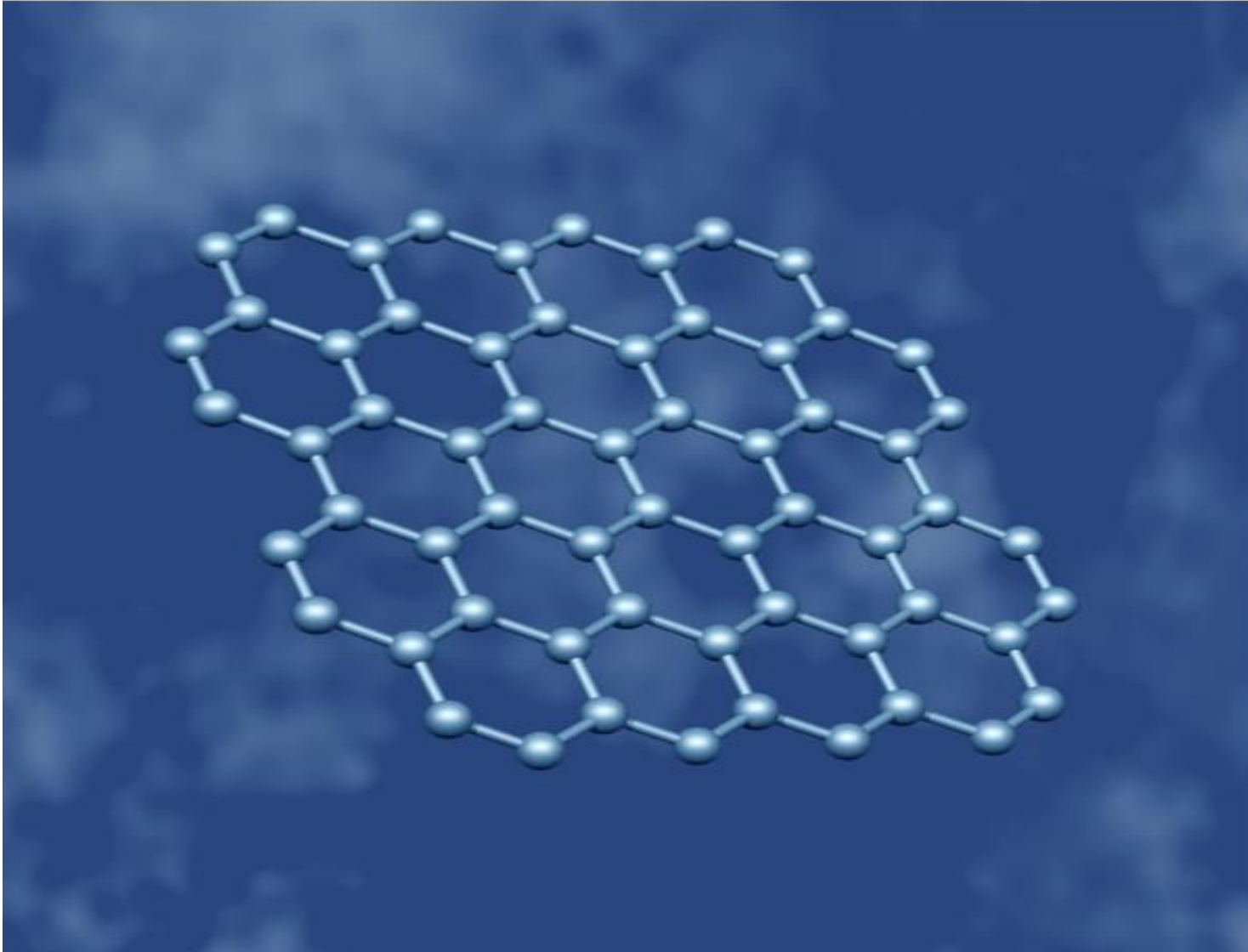
In a magnetic field, gap equation in LLL approximation

$$m = 4iG \int \frac{d\omega d^2k}{(2\pi)^3} \frac{m e^{-k^2/(eB)}}{\omega^2 - m^2 + i\epsilon}, \quad \text{solution } m = \frac{G|eB|}{2\pi} \text{ exists for any } G,$$

$G_{cr} = 0$ – magnetic catalysis

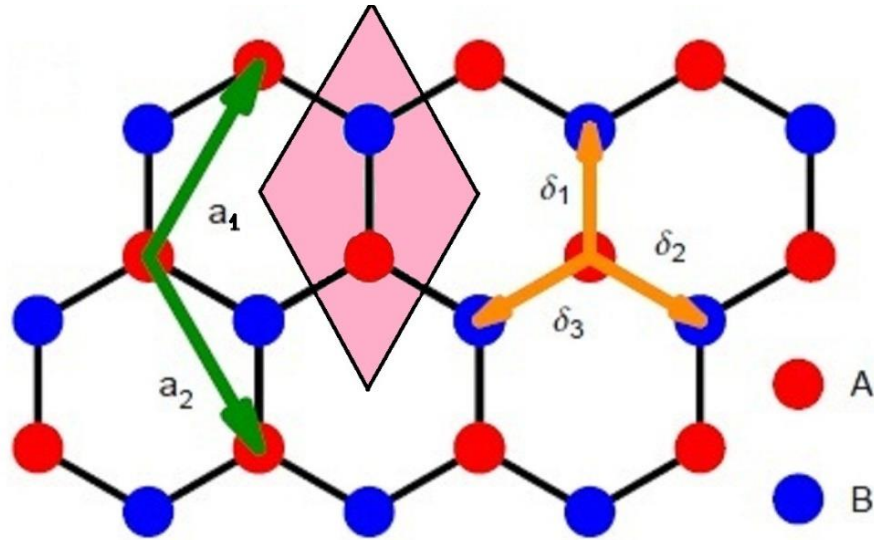
Key feature: **dispersionless** Landau levels (i.e., flat bands) $E_n = \sqrt{m^2 + 2|eB|n}$, $n=0,1,2,\dots$

Graphene – 2D Dirac material



Reduced QED framework:
Dirac fermions propagate **in a plane**, electromagnetic fields propagate in **(3+1) dimensions**

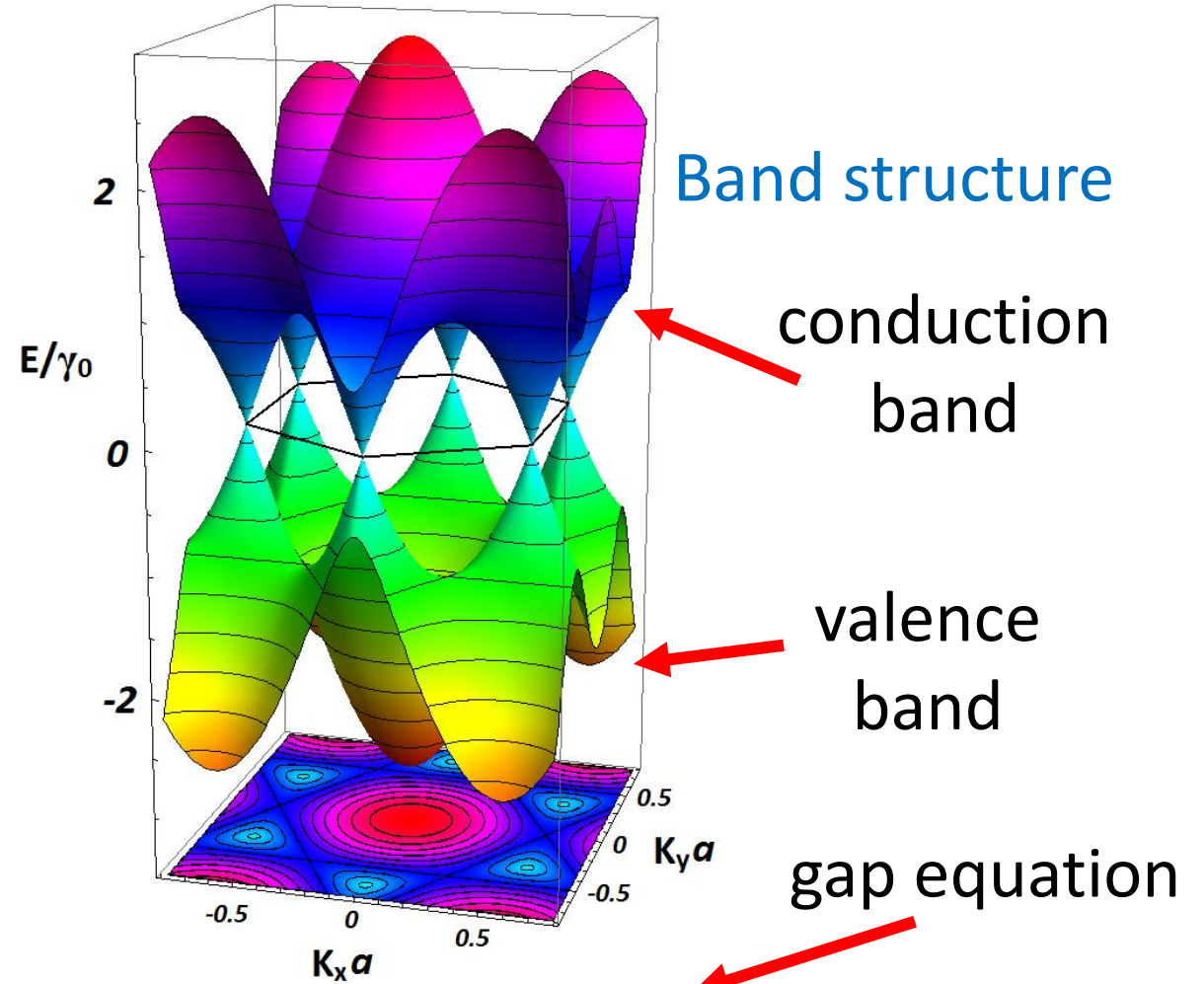
Hexagonal lattice, band structure, Dirac quasiparticles at low energy



\vec{a}_1, \vec{a}_2 – translation vectors
A, B – sublattices

$\hbar v_F(\hat{\sigma}\mathbf{k})\psi = E\psi$ – 2D Dirac fermions

G.W. Semenoff, *Condensed-matter simulation of a three-dimensional anomaly*, Phys. Rev. Lett. 53 (1984) 2449



$$\Delta = \frac{i\alpha_g}{2} \int \frac{d\omega d^2k}{(2\pi)^3} \frac{\Delta}{\omega^2 - v_F^2 k^2 - \Delta^2 + i\epsilon}, \quad \alpha_{g,cr} \text{ is not zero}$$

Flat band

quenched kinetic energy (constant energy dispersion)

$$H = K + V(r-r')$$

$$H = K + V(r-r')$$

K=const – flat band

Superconductivity,
strong correlations



$V(r-r')$ plays crucial role, bound state formation for arbitrary weak attraction

Kinetic energy $K > 0$

ordinary quasiparticles

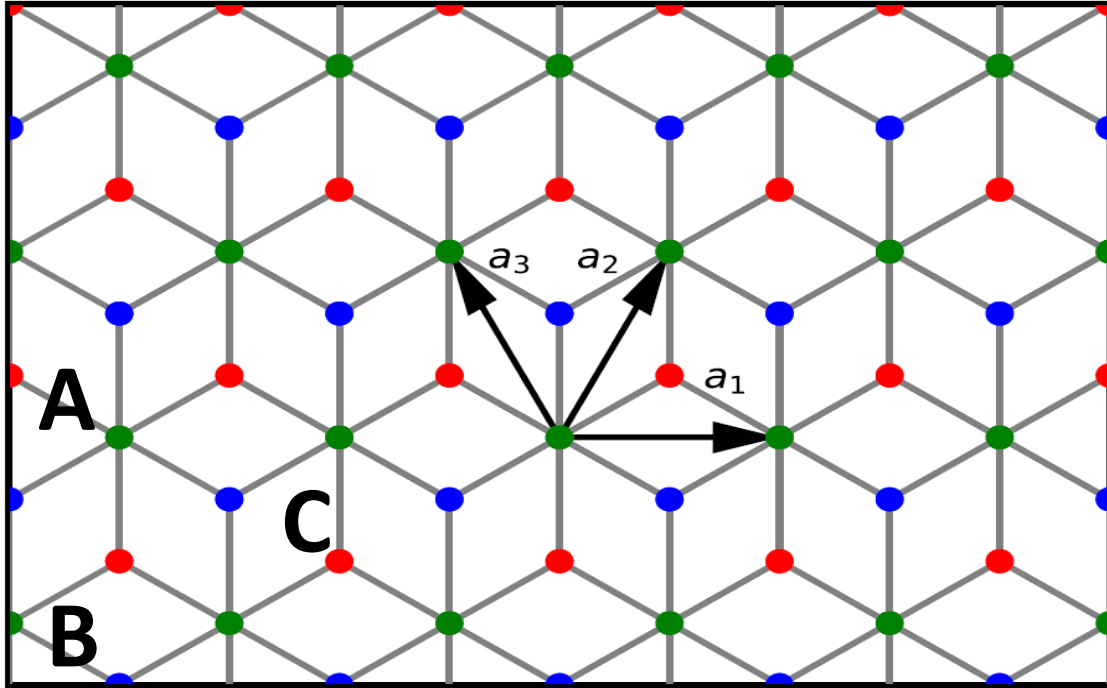
Zero critical coupling for gap generation in c.m. systems with flat band?

graphene in a magnetic field

$$\Delta \sim v_F \sqrt{|eB|/c}$$



Dice lattice



B. Sutherland, Phys. Rev. B **34**, 5208 (1986)

- 3 sublattices: **A**, **B**, **C**
- Hopping parameter t
- All distances are the same
 $|\bar{a}_1| = |\bar{a}_2| = |\bar{a}_3|$

$$\delta_1 = \frac{\mathbf{a}_1 + \mathbf{a}_2}{3}, \quad \delta_2 = \frac{\mathbf{a}_3 - \mathbf{a}_1}{3}, \quad \delta_3 = -\frac{\mathbf{a}_2 + \mathbf{a}_3}{3}$$

Equations of motion:

$$\varepsilon \Psi_A(\mathbf{r}) = -t_1 \sum_j \Psi_C(\mathbf{r} - \delta_j)$$

$$\varepsilon \Psi_C(\mathbf{r}) = -t_1 \sum_j \Psi_A(\mathbf{r} + \delta_j) - t_2 \sum_j \Psi_B(\mathbf{r} - \delta_j)$$

$$\varepsilon \Psi_B(\mathbf{r}) = -t_2 \sum_j \Psi_C(\mathbf{r} + \delta_j)$$

$$\Psi^T = (\Psi_A, \Psi_C, \Psi_B)$$

Energy spectrum

$$H\Psi = \varepsilon\Psi$$

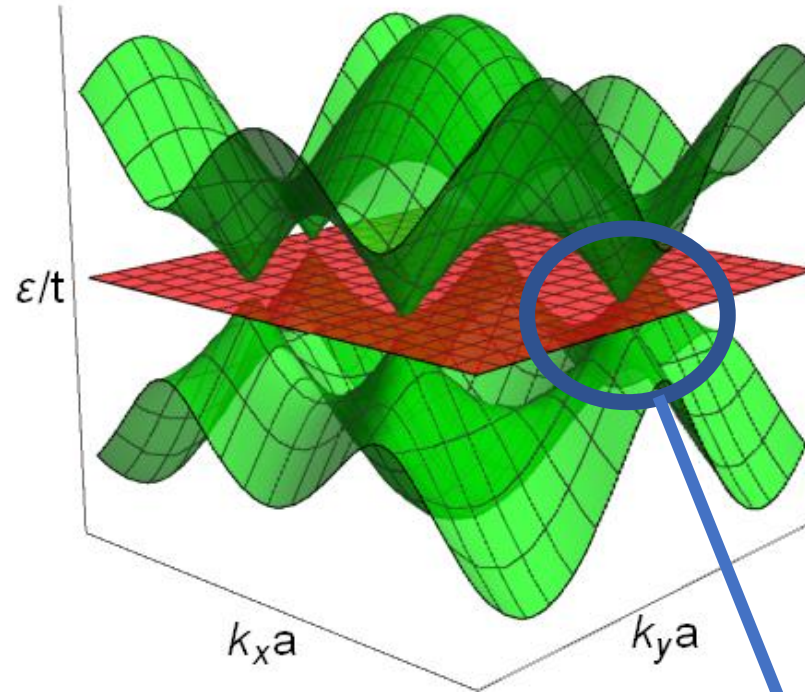
$$H = \begin{pmatrix} 0 & f_k \cos \Theta & 0 \\ f_k^* \cos \Theta & 0 & f_k \sin \Theta \\ 0 & f_k^* \sin \Theta & 0 \end{pmatrix}$$



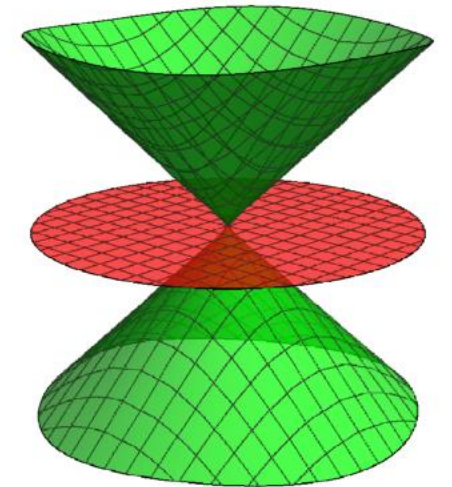
$$\varepsilon_\lambda(\mathbf{k}) = \lambda|f_k|, \quad \lambda = \pm 1, 0$$

- $\lambda = \pm 1$ – dispersive bands
- $\lambda = 0$ – **completely flat band**

$$f_k = -\sqrt{t_1^2 + t_2^2} (1 + e^{-ika_2} + e^{-ika_3})$$



Near \mathbf{K}, \mathbf{K}' points

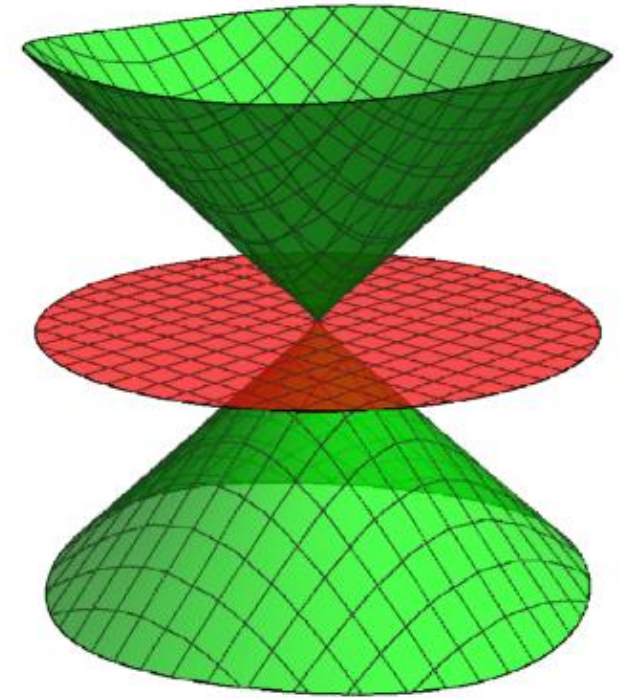


Low-energy effective Hamiltonian

- Linearization $\mathbf{k} = \mathbf{K}(\mathbf{K}') + \tilde{\mathbf{k}}$
- $f_{\mathbf{k}} = \hbar v_F (\pm k_x - i k_y)$ $v_F = 3ta/2\hbar$
- Effective low-energy Hamiltonian ($\Theta = \frac{\pi}{4}$)

$$H_K = \hbar v_F \begin{pmatrix} 0 & k_- \cos \Theta & 0 \\ k_+ \cos \Theta & 0 & k_- \sin \Theta \\ 0 & k_+ \sin \Theta & 0 \end{pmatrix}$$

$$k_{\pm} = k_x \pm i k_y$$

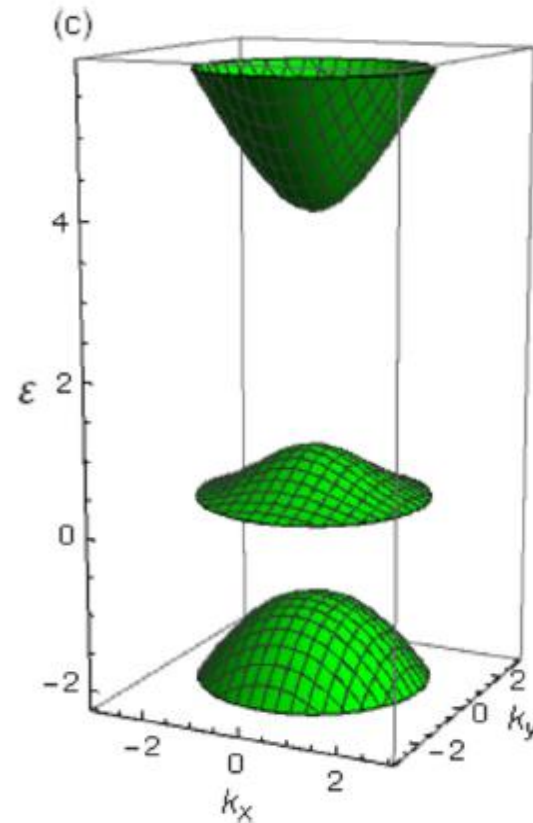
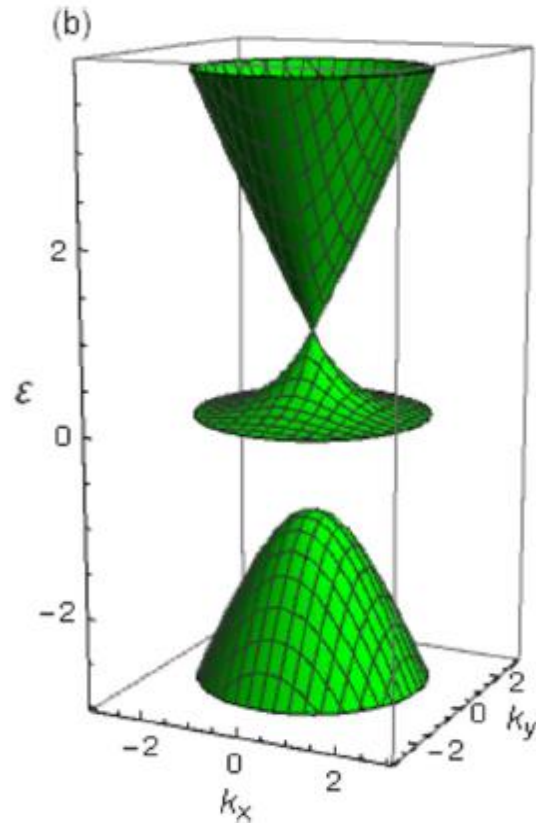
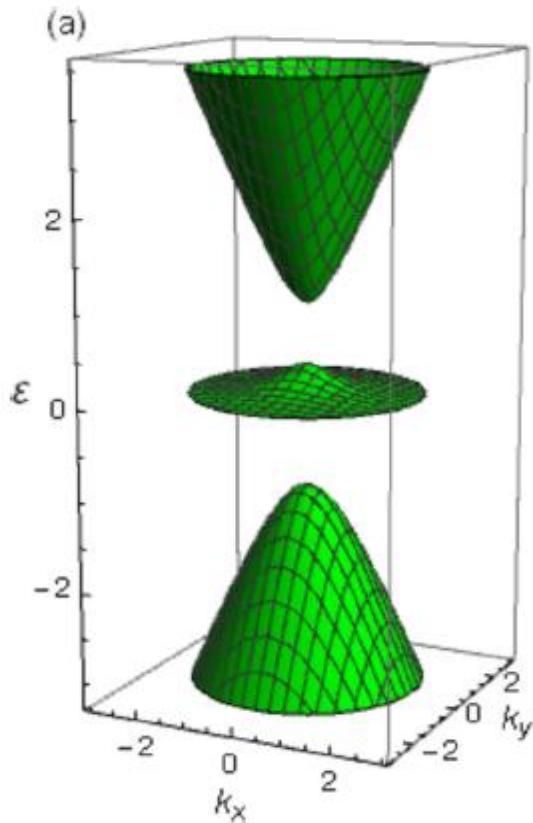


Energy dispersion:
 $E(\mathbf{k}) = \pm v_F$ – Dirac cones,
 $E(\mathbf{k}) = 0$ – flat band

Intravalley gap

$$\bullet H_g = \begin{pmatrix} m & 0 & 0 \\ 0 & m_2 & 0 \\ 0 & 0 & -m \end{pmatrix} - \text{intravalley gaps}$$

E.V.G., V.P. Gusynin, D.O. Oriekhov,
Gap generation and flat band catalysis in dice model with local interaction, Phys. Rev. B 103, 155155 (2021)



a) $m_2 = 0.35 m$

b) $m_2 = m$

c) $m_2 = 4 m$

critical local four-fermion **coupling**

$$U_{cr} = 8.9 \frac{v_F^2}{\Lambda}$$

Intervalley gap

Inverse Green function $G^{-1}(\omega, \mathbf{k}) = \begin{pmatrix} \omega - H_K & F \\ F^+ & \omega + H_{K'} \end{pmatrix}$

Intervalley gap $F = \text{diag}(\Delta, \Delta_2, \Delta)$

Gap equation in flat band approximation (the same form as in a magnetic field)

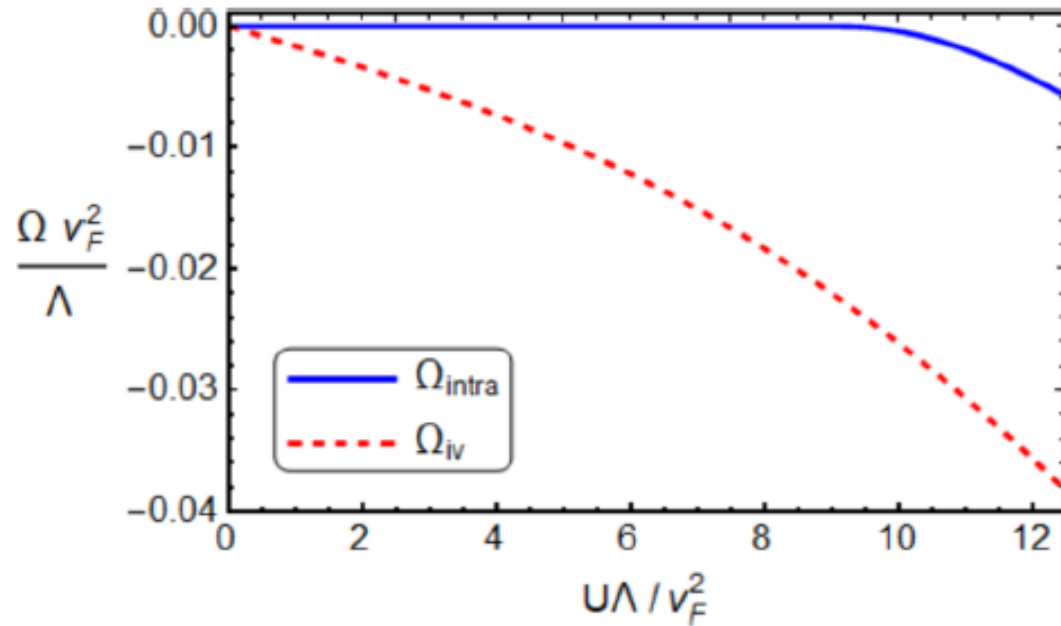
$$\Delta = \frac{iU}{\pi v_F^2} \int \frac{d\omega d^2k}{(2\pi)^3} \frac{\Delta}{\omega^2 - \Delta^2 + i\epsilon'}, \quad \Delta_2 = 0$$



$$\Delta = \frac{U\Lambda^2}{8\pi^2 v_F^2}$$

$U_{cr} = 0$ – zero critical coupling as in magnetic catalysis

Free energy density



Solution with intervalley gap always has lower energy

Dimensionless free energy density as a function of dimensionless coupling constant for intravalley Ω_{intra} and intervalley Ω_{iv} gaps

Conclusion and Outlook

- In the absence of a magnetic field, flat band systems provide a platform which realizes the main characteristics of magnetic catalysis
- Condensed matter systems with flat bands like magic angle twisted bilayer graphene form one of the most natural classes of physical systems with the strong interaction dominance and rich phase diagram

Thank you for attention!