

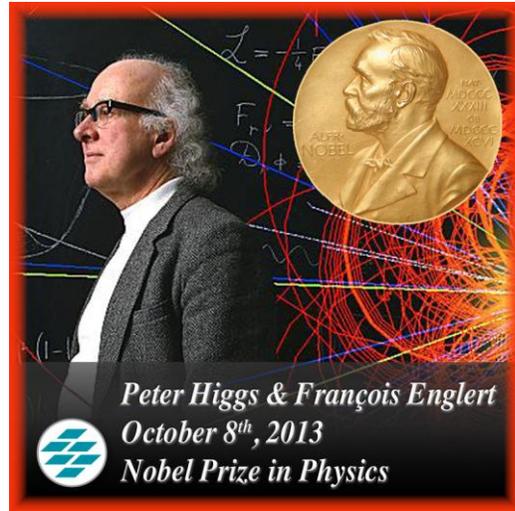
Prospects of AI application at BESIII

刘北江

BESIII实验物理研讨会 2026.2.6-9

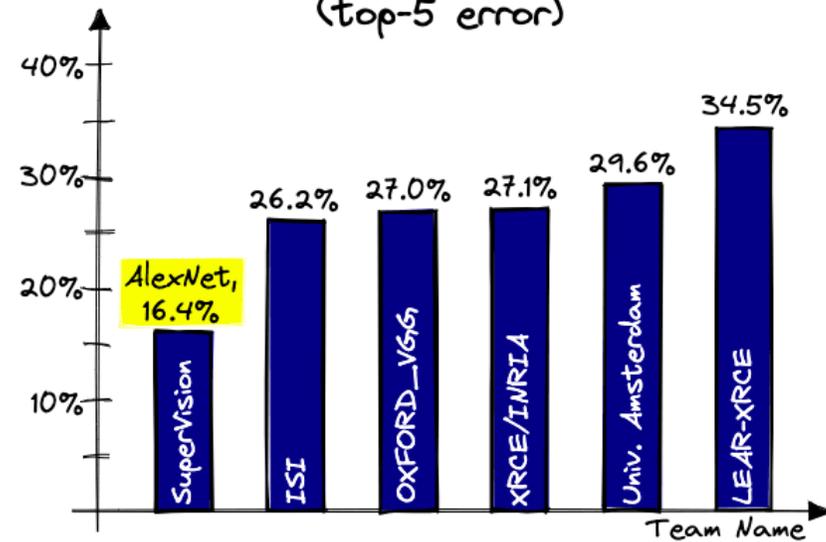
2012

The Higgs boson



AlexNet

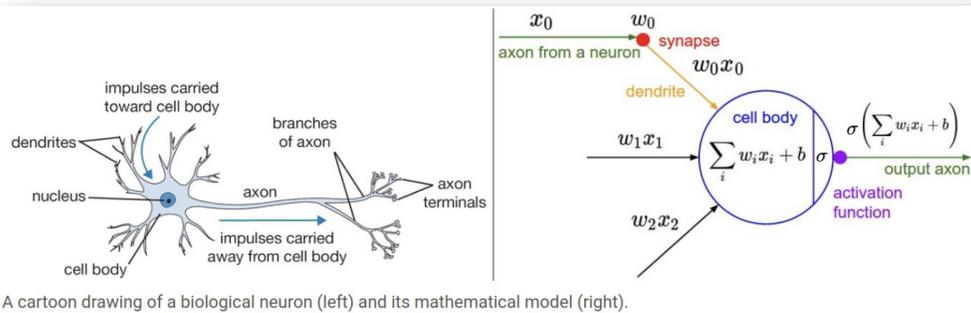
2012 ImageNet Challenge (top-5 error)



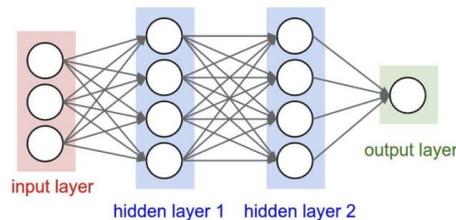
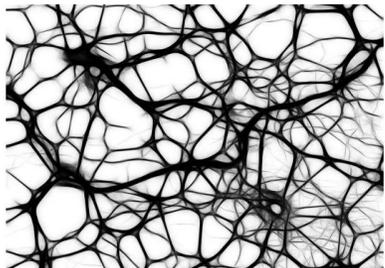
Machine Learning

Fundamental Belief: Universal Approximation Theorems

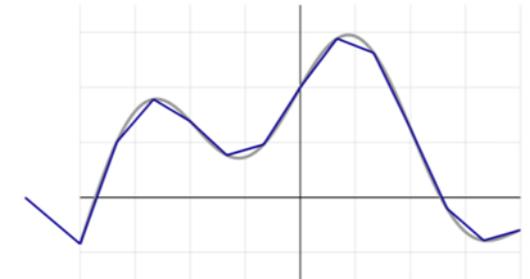
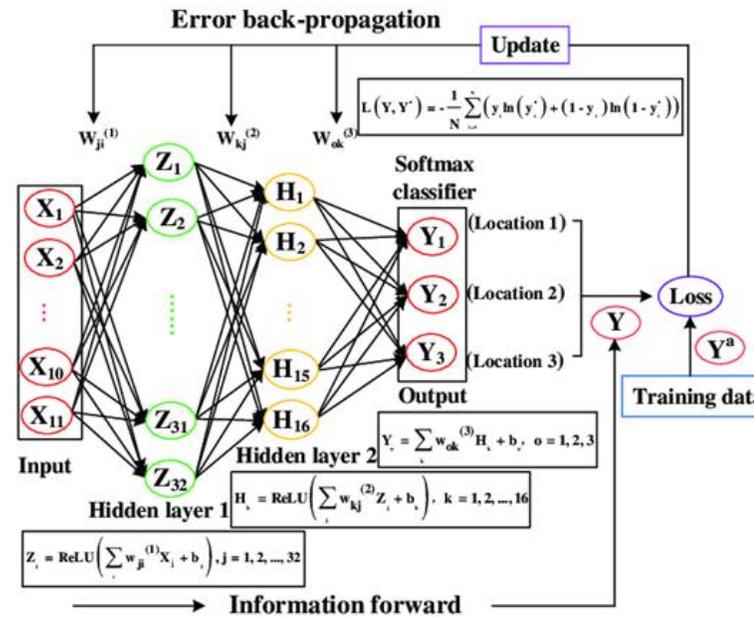
-- A neural network can effectively approximate a high-dim. function



biological neuron vs. artificial neuron



biological NN vs. artificial NN



- Differentiable programming
- Backward Propagation
- Gradient Descent Algorithm

Machine Learning

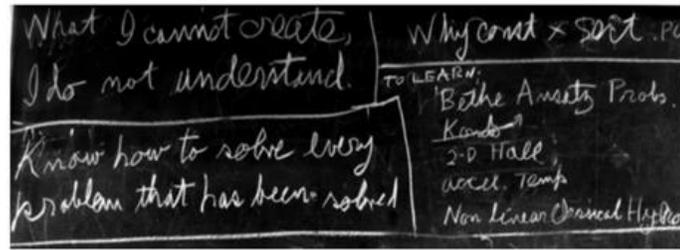
- Discriminative Learning : **prediction**

function fitting $y = f(x)$

conditional probability $p_{\theta}(y|x) \rightarrow p(y|x)$

- Generative Modelling : **understand**

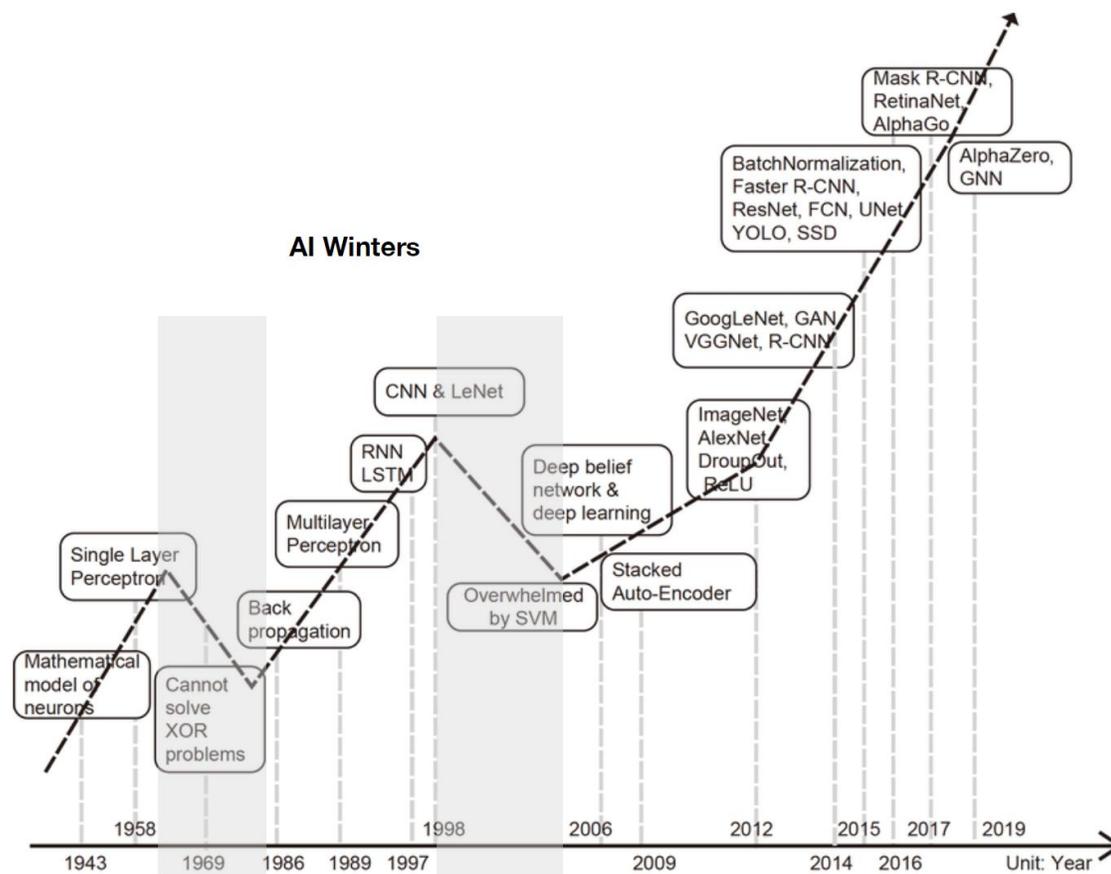
Joint probability distribution $p_{\theta}(x, y) \rightarrow p(x, y)$



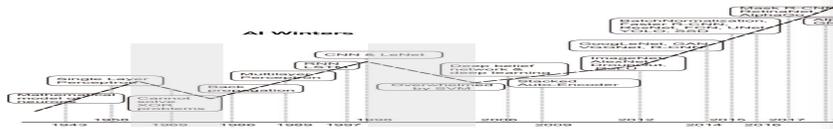
“What I can not create, I do not understand”



Machine Learning



Machine Learning



Major Large Language Models (LLMs)

ranked by capabilities, sized by billion parameters used for training

CLICK LEGEND ITEMS TO FILTER

anthropic chinese google meta microsoft mistral openAI other

Parameters (Bn) open access

search... show only: all

100 MMLU

89.8 = human expert

80

70+ IDEAL

60

40

20

pre-2022

2022

2023

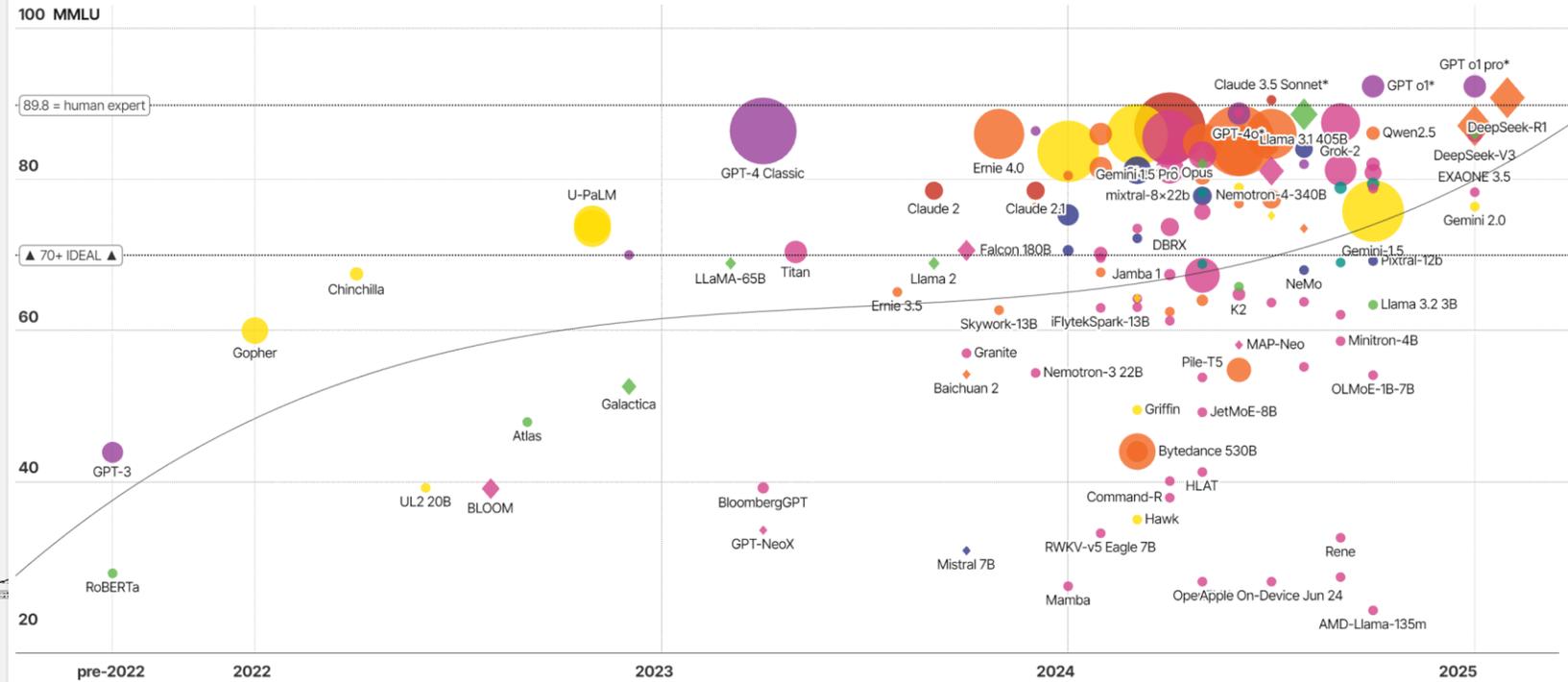
2024

2025

David McCandless, Tom Evans, Paul Barton
Informationisbeautiful // Jan 2024

MMLU = benchmark for measuring LLM capabilities
* = parameters undisclosed // source: LifeArchitect // data

MADE WITH VIZsweeT



Machine Learning and HEP, a long story

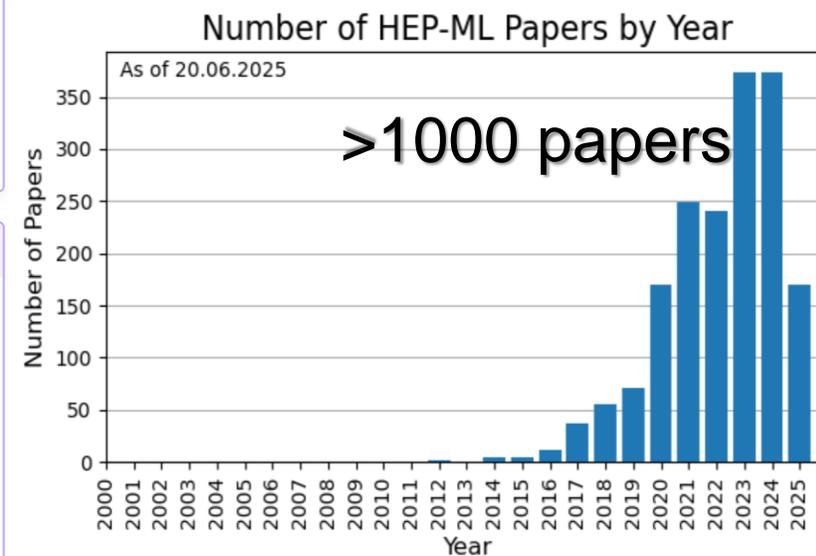
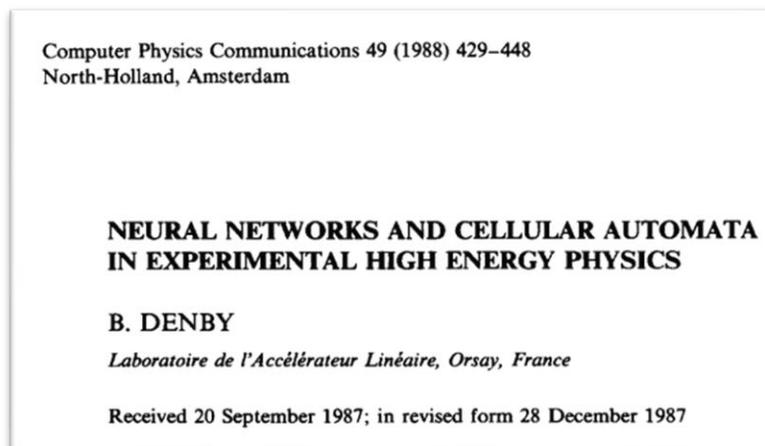
<https://iml-wg.github.io/HEPML-LivingReview/>

Modern reviews

- [Snowmass 2021 Computational Frontier CompF03 Topical Group Report: Machine Learning](#) (2022)
- [Artificial Intelligence and Machine Learning in Nuclear Physics \[DOI\]](#) (2021)
- [Machine Learning in the Search for New Fundamental Physics](#) (2021)
- [Modern Machine Learning and Particle Physics \[DOI\]](#) (2021)
- [Machine and Deep Learning Applications in Particle Physics \[DOI\]](#) (2019)
- [Machine learning and the physical sciences \[DOI\]](#) (2019)
- [Machine learning at the energy and intensity frontiers of particle physics](#) (2018)
- [Machine Learning in High Energy Physics Community White Paper \[DOI\]](#) (2018)
- [Deep Learning and its Application to LHC Physics \[DOI\]](#) (2018)
- [Jet Substructure at the Large Hadron Collider: A Review of Recent Advances in Theory and Machine Learning \[DOI\]](#) (2017)

Specialized reviews

- [Review of Machine Learning for Real-Time Analysis at the Large Hadron Collider experiments ALICE, ATLAS, CMS and LHCb](#) (2025)
- [Lecture Notes on Normalizing Flows for Lattice Quantum Field Theories](#) (2025)
- [What is AI, what is it not, how we use it in physics and how it impacts... you](#) (2025)
- [Strategic White Paper on AI Infrastructure for Particle, Nuclear, and Astroparticle Physics: Insights from JENA and EuCAIF](#) (2025)
- [Machine-learning approaches to accelerating lattice simulations \[DOI\]](#) (2025)
- [Run 3 performance and advances in heavy-flavor jet tagging in CMS \[DOI\]](#) (2024)
- [CaloChallenge 2022: A Community Challenge for Fast Calorimeter Simulation](#) (2024)
- [Exploring jets: substructure and flavour tagging in CMS and ATLAS \[DOI\]](#) (2024)
- [Novel machine learning applications at the LHC \[DOI\]](#) (2024)



Machine Learning and HEP, a long story

Tasks

Triggers
Particle Identification
Event selection
...

Jet tagging
Reconstruction
Simulation
...

Anomaly detection
Simulation-based inference
Foundation models

1990

2000

2010

2020

Architectures

(shallow) ANNs

BDTs

DNNs

Transformers

Machine Learning and HEP, a long story

Tasks

Tri
Pa
Ev
...

ML applications at BESIII



ICHEP2018 SEUL
XXXIX INTERNATIONAL CONFERENCE
ON *high Energy* PHYSICS

JULY 4 - 11, 2018
COEX, SEOUL



1990

Architec

(shallow) Ar

International Journal of Modern Physics A | Vol. 34, No. 35, 1930019 (2019) | Reviews

Machine and deep learning applications in particle physics

Dimitri Bourilkov

2.2.1. Intensity frontier

At the intensity frontier advanced detectors collect record amounts of luminosity at what would be considered “medium” energies by today’s standards. One example is the Beijing Electron Positron Collider (BEPCII) running at center of mass energies 2.0–4.6 GeV. The BESIII experiment has collected record size data samples in this τ -charm region. Advanced ML techniques have been applied for many tasks.²⁷ One

transformers

What's happening

Tasks

Tri
Pa
Ev
...

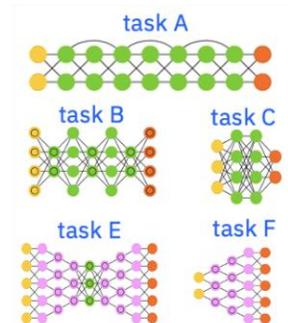
More data, larger models

1990

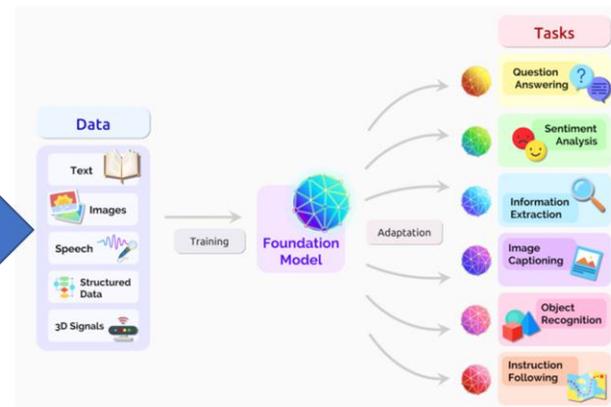
| |
|----------------|
| SVM |
| Decision Trees |
| ANN |
| RBF |
| NN |



Task-specific hand crafted feature representations



Task-specific learned feature representations



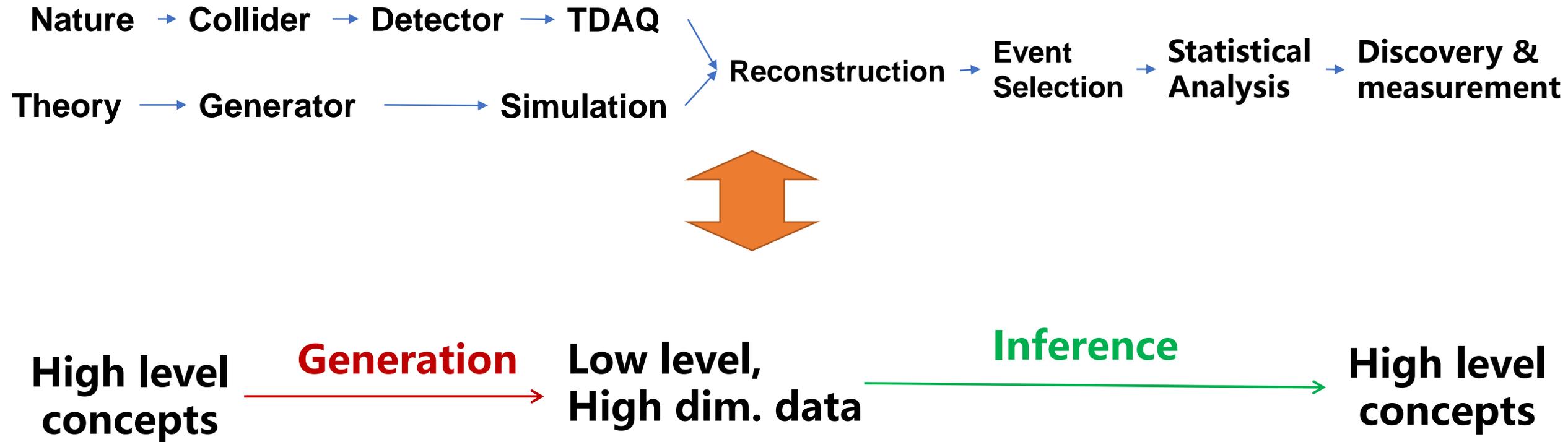
Generalizable & adaptable learned representations

Architect

(shallow) AI

Transformers

AI+HEP analysis: a huge inverse problem



A few examples @ BESIII

HEP:

Nature → Collider → Detector → TDAQ

Theory → Generator → Simulation

Reconstruction → Event Selection → Statistical Analysis → Discovery & measurement

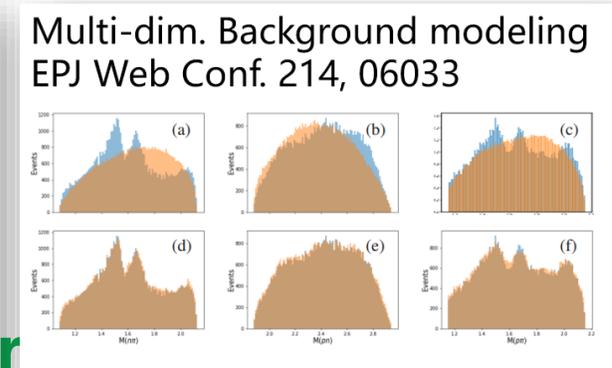
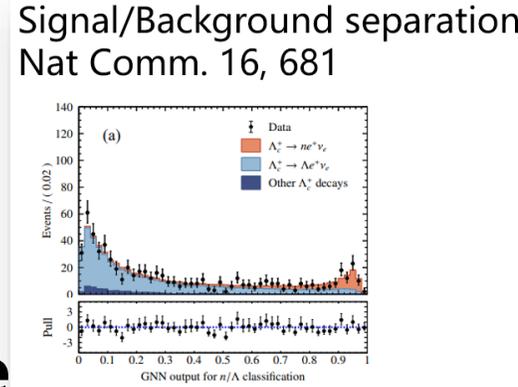
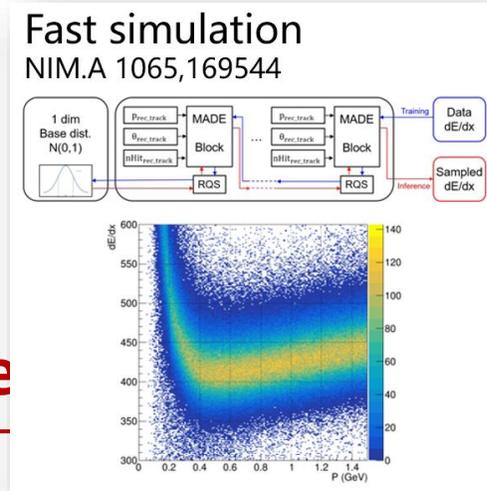
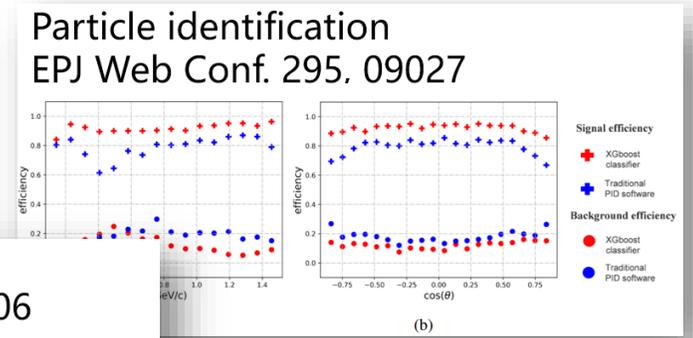
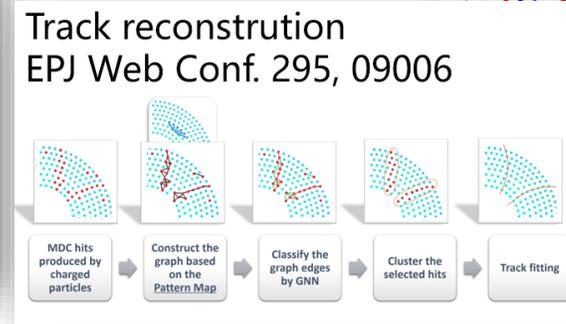
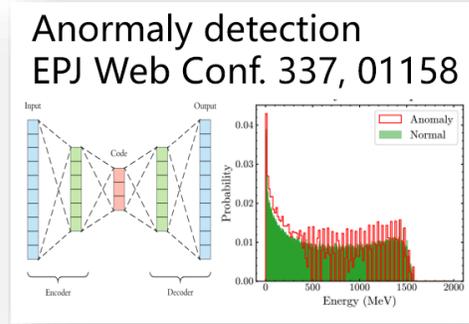
AI:

High level concepts

Generative

low level data

high level concepts

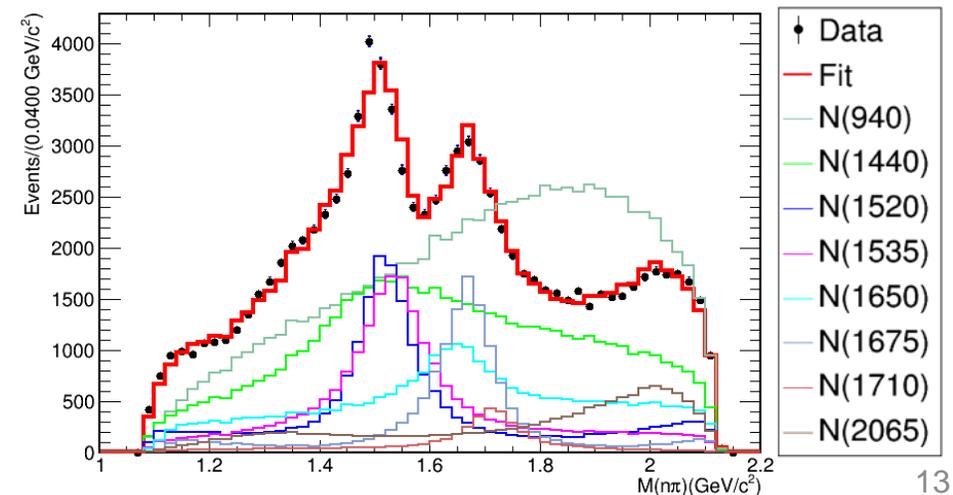
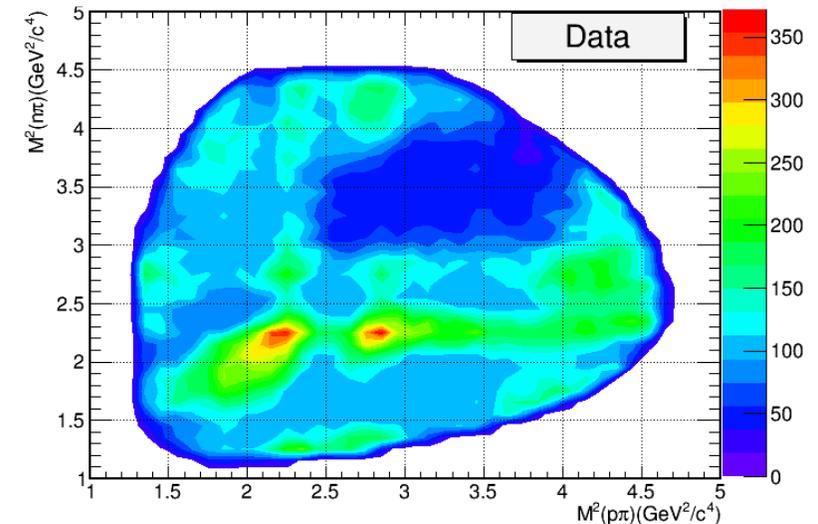


Disclaimer: a random selection of published BESIII works

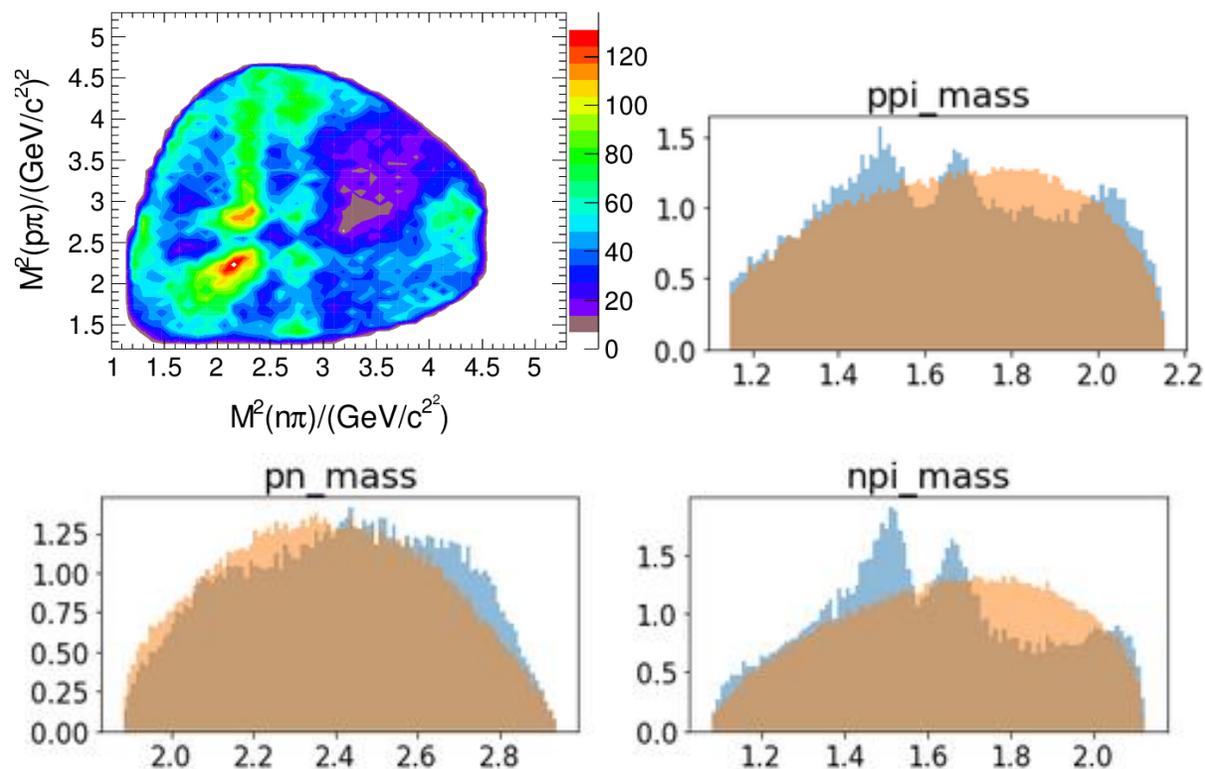
Example: Reweighting: to create data-like MC

- Rich structures in data of hadron spectroscopy cannot be described by generic MC
- Amplitude analysis is mandatory to extract the resonances
- Data-like MC is useful to calculate efficiency or to estimated backgrounds

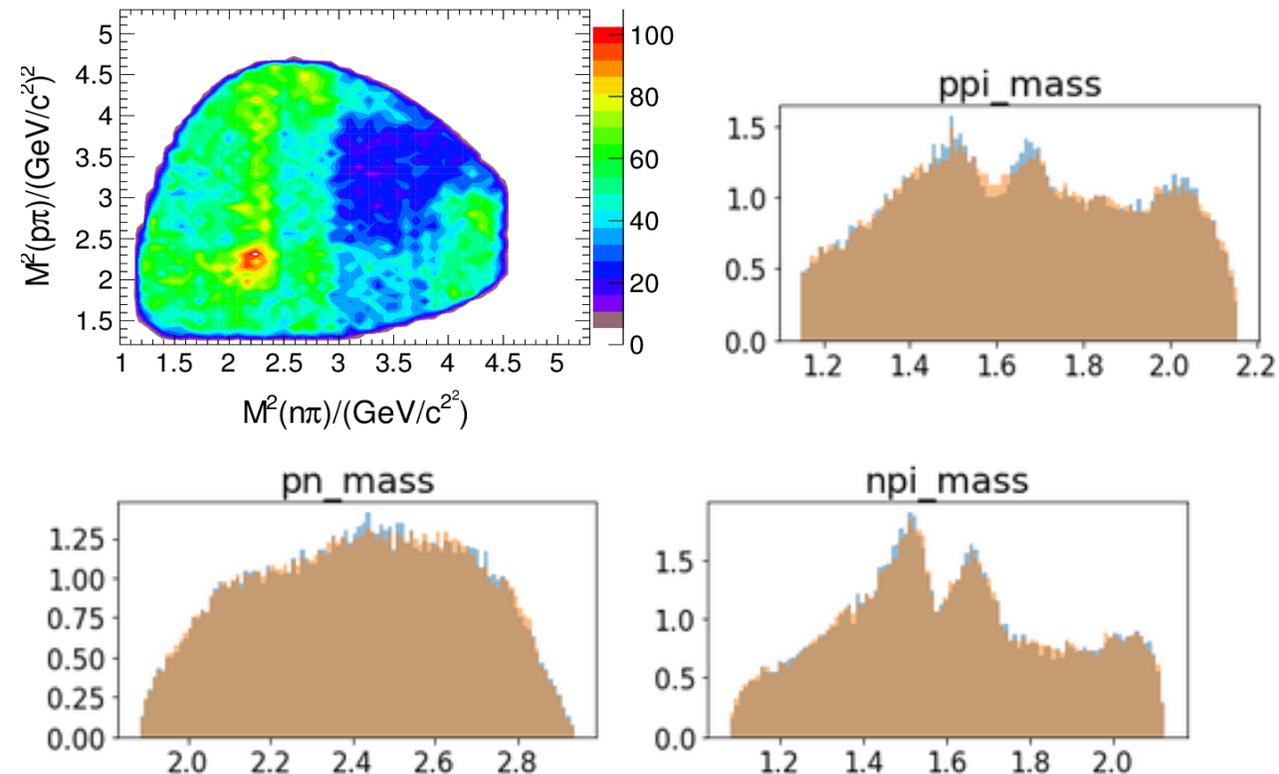
$$J/\psi \rightarrow \bar{p}n\pi^+$$



Original distribution



After reweighting



- A classifier to distinguish Data and MC provide probabilities $p_{RD}(x)$ and $p_{MC}(x)$ [1]

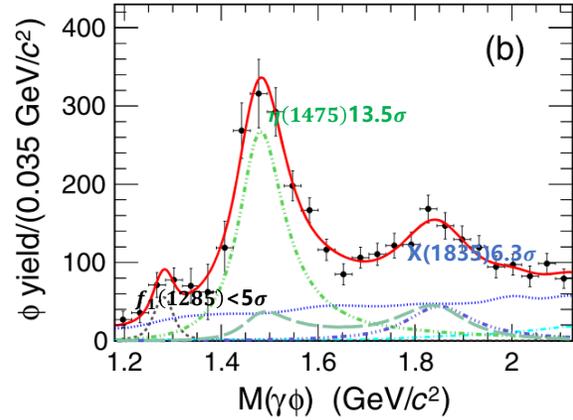
$$weight\ factor\ w(x) = \frac{f_{RD}(x)}{f_{MC}(x)} \sim \frac{p_{RD}(x)}{p_{MC}(x)}$$

- We utilize this approach with XGBoost algorithm

$J/\psi \rightarrow \gamma\gamma\phi$, a $s\bar{s}$ flavor filter

BESIII PhysRevD.111.052011(2025)

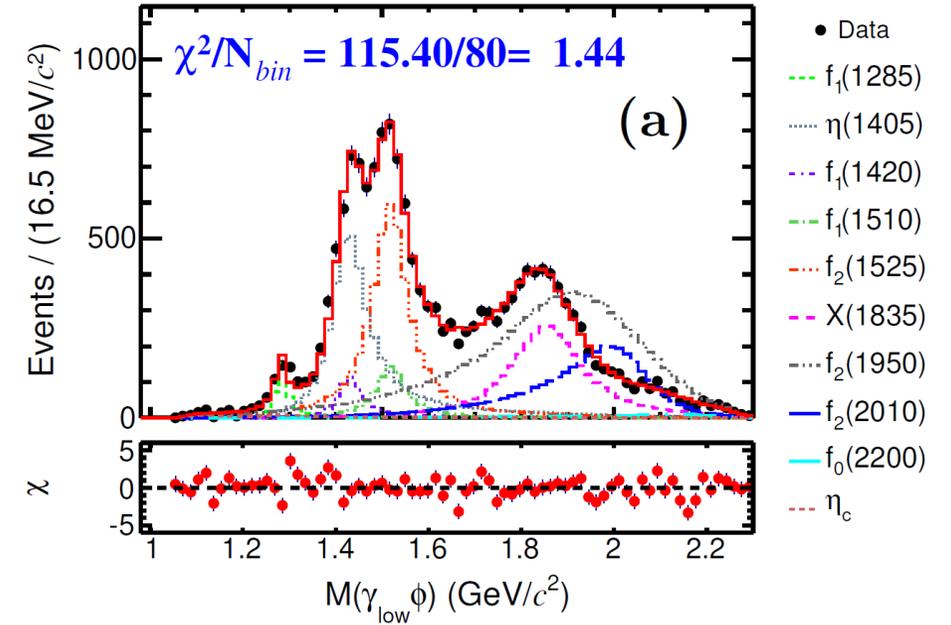
PR D97 051101 (2018)



Amplitude analysis with ML techniques for background subtraction



← Fit to mass spectrum



From the amplitude analysis,

- $\eta(1405)$ is observed, while $\eta(1475)$ can not be excluded
- $X(1835) \rightarrow \gamma\phi$ suggests its assignment of η' excitation
- $\eta_c \rightarrow \gamma\phi$ are observed. The very first radiative decay mode of η_c
- Observation of $f_2(1950)$ and $f_0(2200) \rightarrow \gamma\phi$ unfavored their glueball interpretations [PRD 108, 014023, Sci.China Phys.Mech.Astron. 67 (2024) 11, 111012]

More than proof-of-concept, but in production:

PRL 134 (2025) 201902, PRL 136 (2025) 161902,

PRD 103 (2021) 012009, arXiv:2510.25111, arXiv:2501.04451, etc

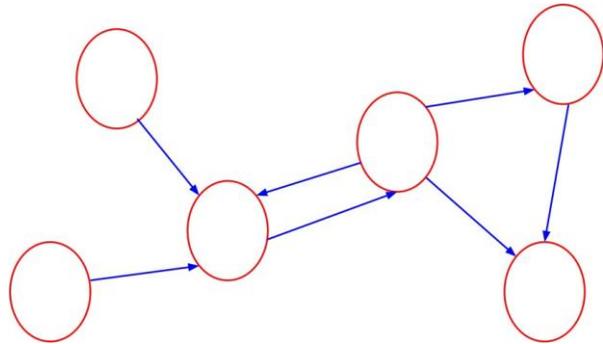
Example: Hunting for $\Lambda_c \rightarrow ne^+\nu$

[Nat. Comm. 16, 681]

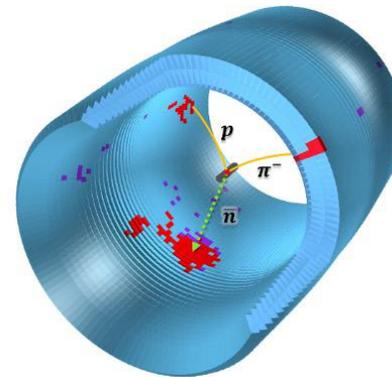
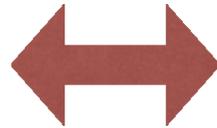
Slides courtesy to Prof. Xiaorui Lv

• Challenges:

- ✓ neutrino is missing in detection
- ✓ dominant backgrounds from $\Lambda_c^+ \rightarrow \Lambda(\rightarrow n\pi^0)e^+\nu$, with $\sim 10x$ yields than that of the pursuing signals
- ✓ elusive neutron detection due to neutral charge and contaminations from the photon showers (& noises) in electro-magnetic calorimeter (EMC)



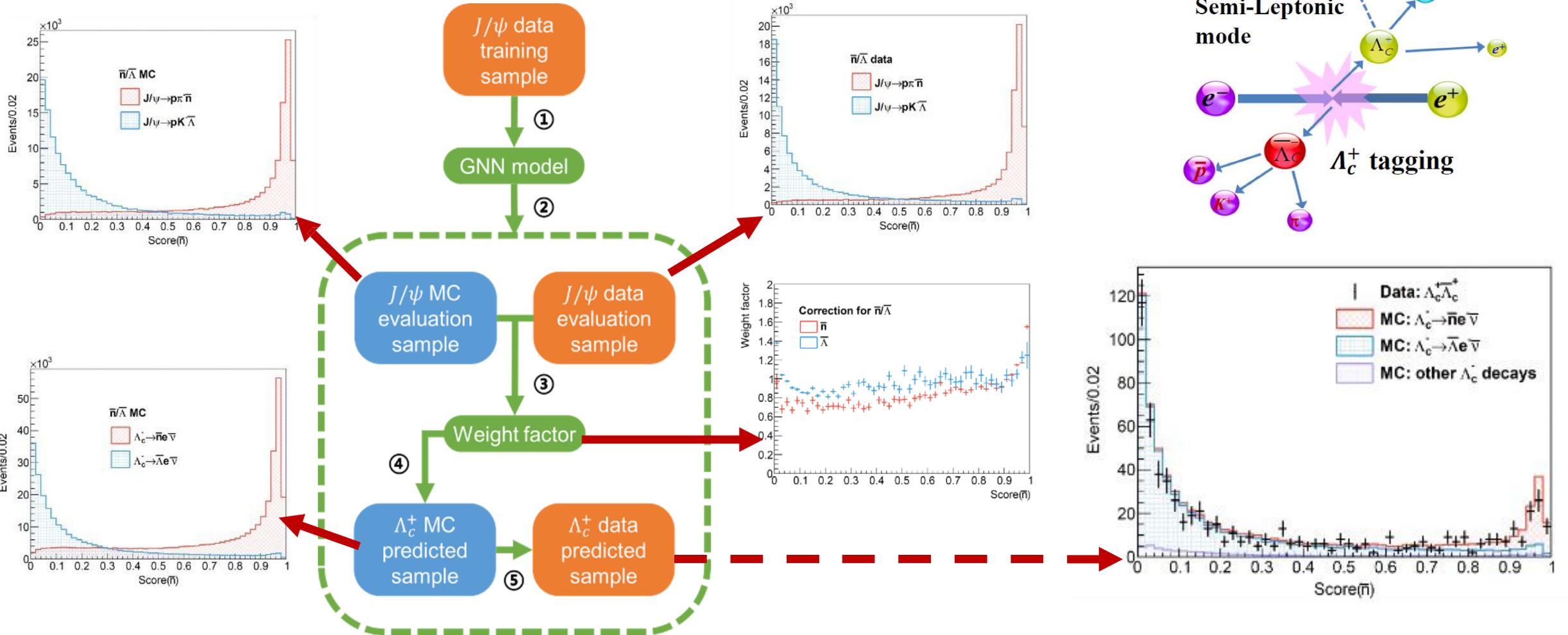
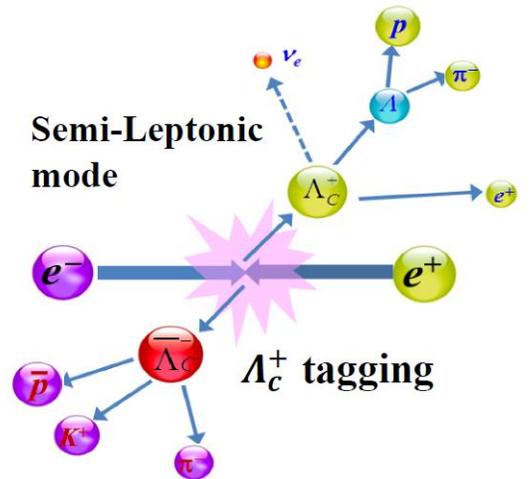
- Sharing of parameters across node and edge updates in the graph.
- Permutation invariance

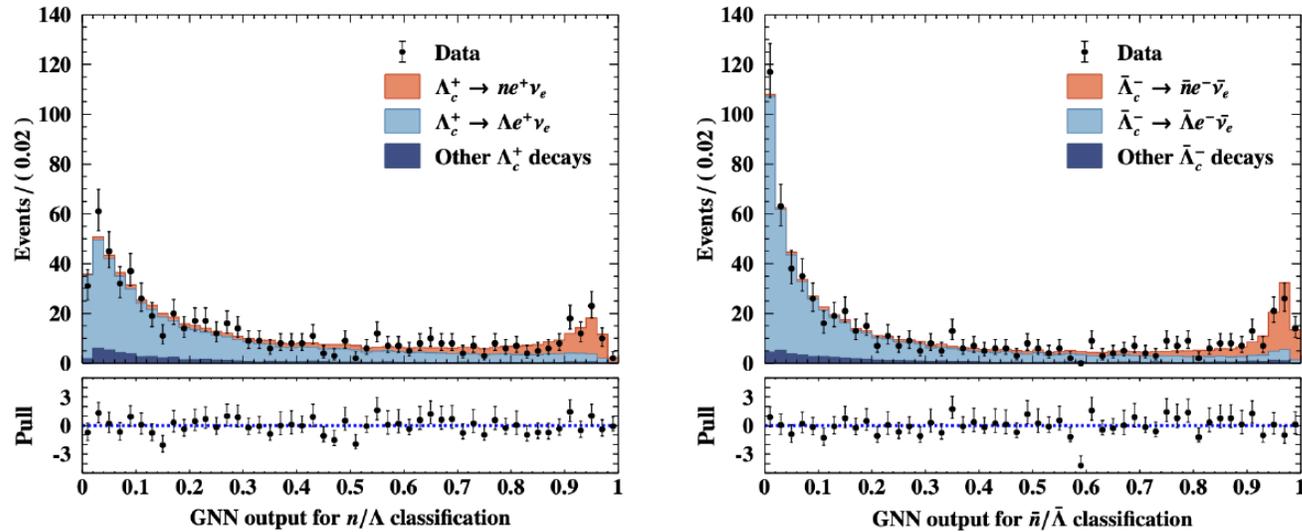


- Nearly unlimited labeled samples
- Structured data
- Clear training objectives

Machine-learning-driven observation of the elusive semi-leptonic decay of charmed baryon

[Nat. Comm. 16, 681]

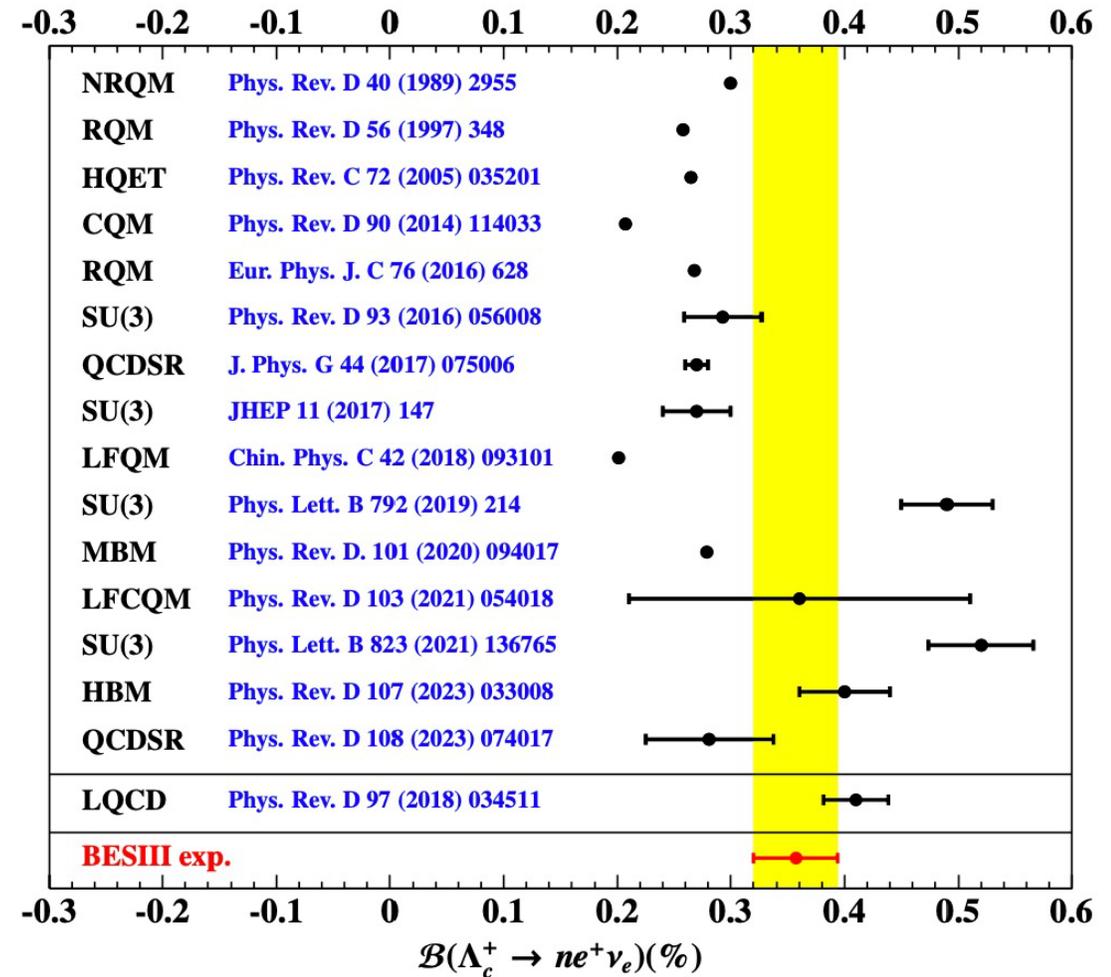




$$\mathcal{B}(\Lambda_c^+ \rightarrow ne^+\nu_e) = (0.357 \pm 0.034_{\text{stat.}} \pm 0.014_{\text{syst.}})\%: (>10 \sigma)$$

good control of systematics on GNN training

- **Model settings:** network weight initialization, batch processing sequence and dropout layer are randomly varied
- **Domain shift:** validation of independent control sample via $J/\psi \rightarrow \Sigma^+(n\pi^+)\bar{\Sigma}^-(\bar{p}\pi^0)$ and $J/\psi \rightarrow \Xi^-(\Lambda\pi^-)\bar{\Xi}^+(\bar{\Lambda}\pi^+)$

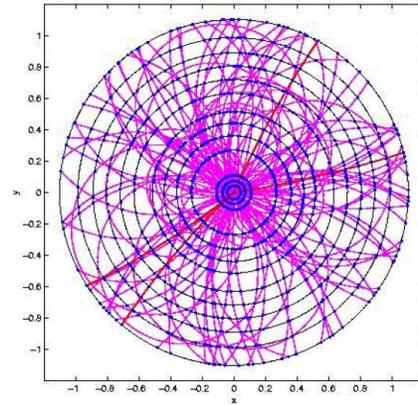
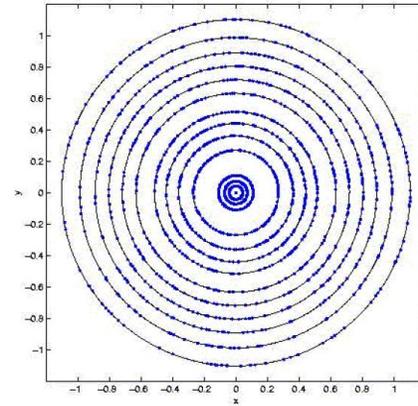


Where it begins



Tracks from bubble chambers and cloud chambers typically had to be inspected by eye. In this June 1984 image, Renee Jones, a bubble chamber scanner working at Fermilab, measures the details of the tracks, including length and curvature.

DAVID PARKER/SCIENCE SOURCE



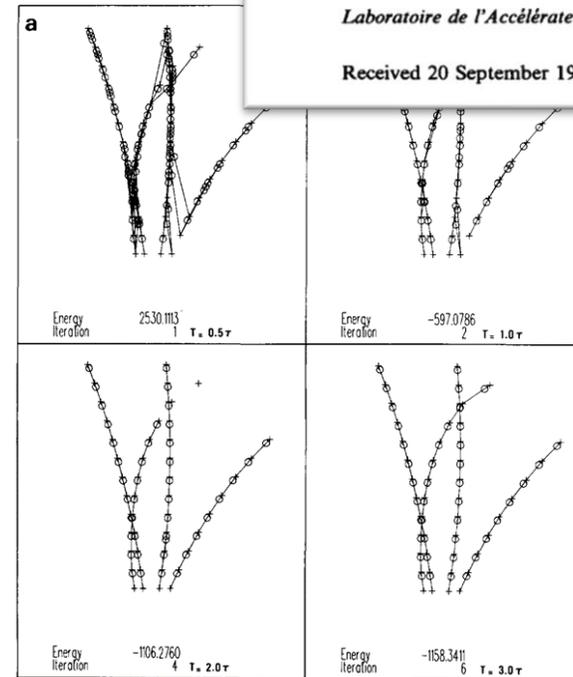
Computer Physics Communications 49 (1988) 429–448
North-Holland, Amsterdam

NEURAL NETWORKS AND CELLULAR AUTOMATA IN EXPERIMENTAL HIGH ENERGY PHYSICS

B. DENBY

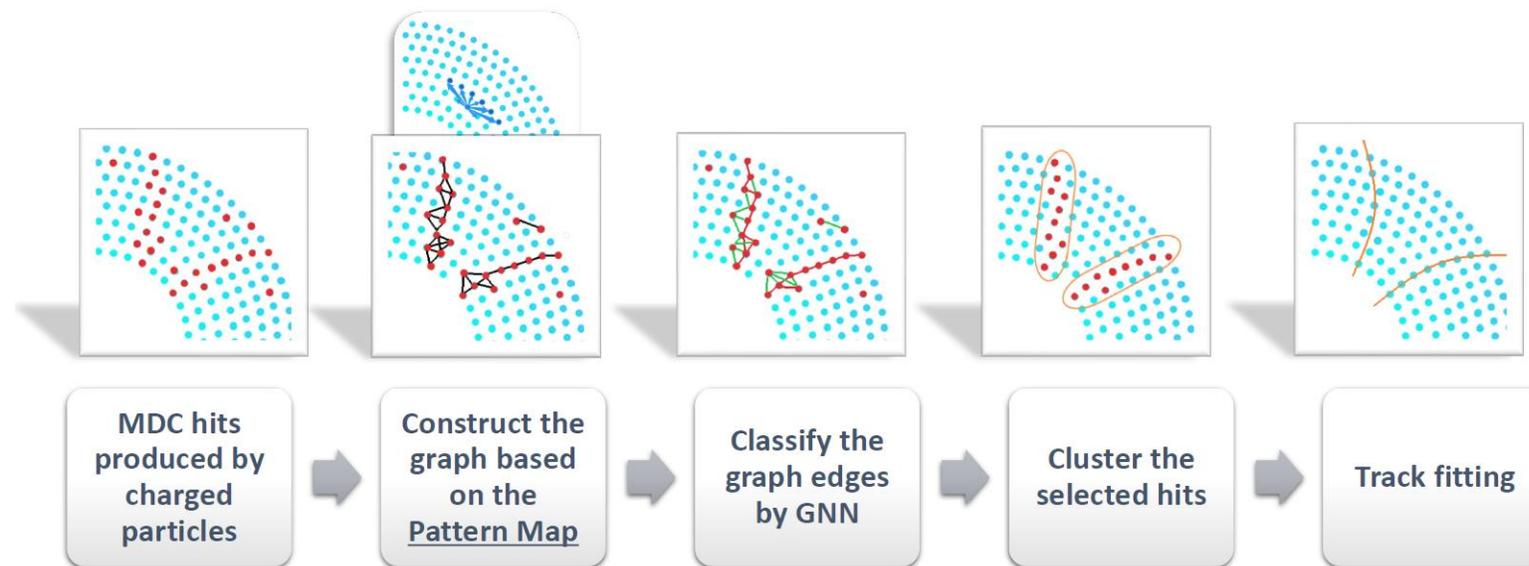
Laboratoire de l'Accélérateur Linéaire, Orsay, France

Received 20 September 1987; in revised form 28 December 1987



Example: MDC tracking with GNN

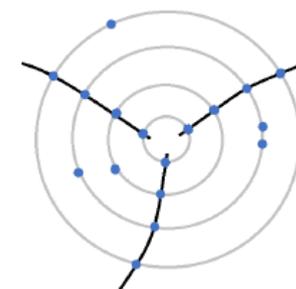
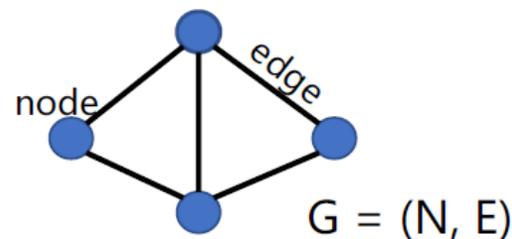
[EPJ Web Conf. 295, 09006]



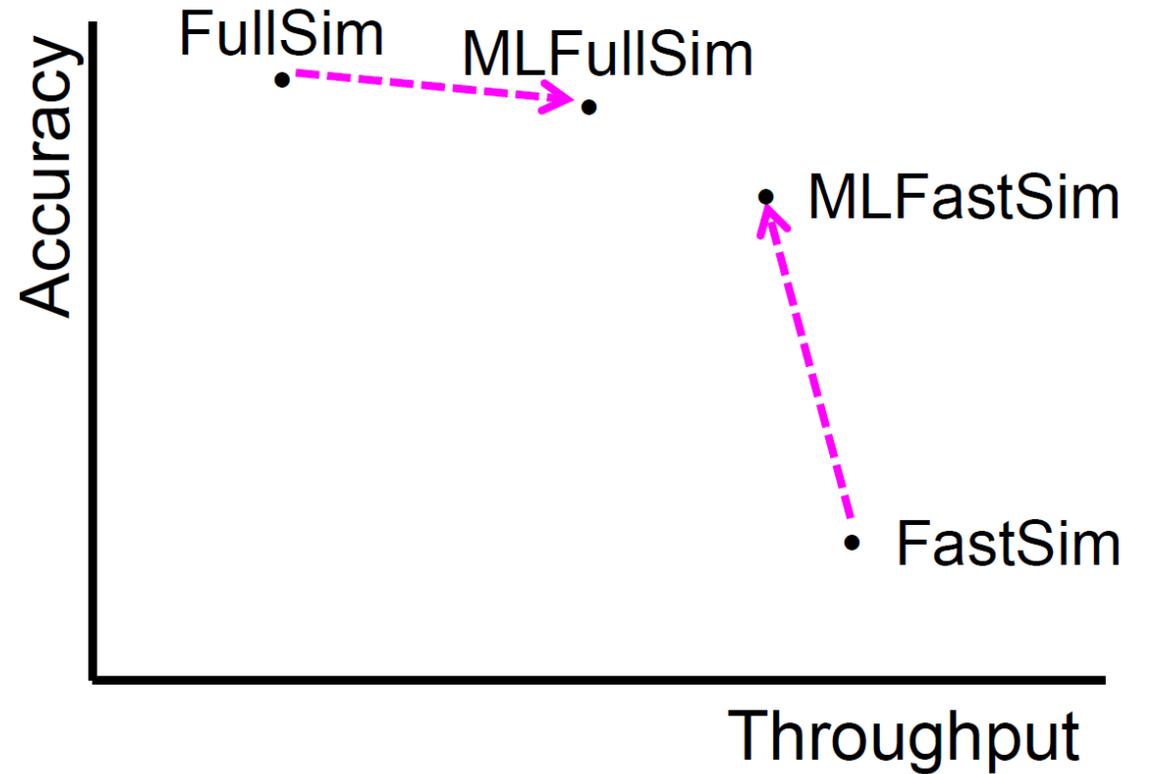
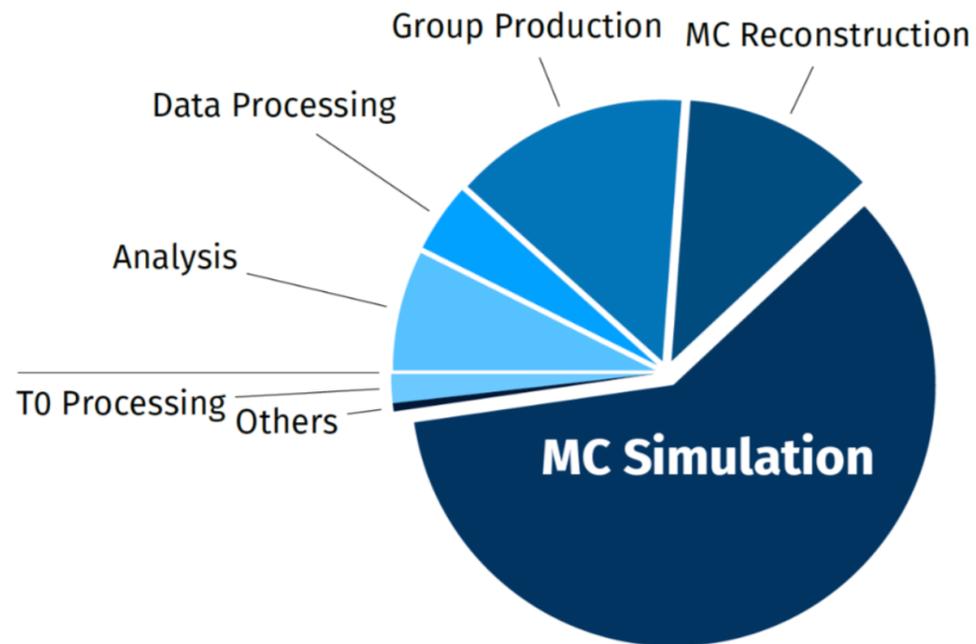
Graph: nodes, edges

Graph → Track

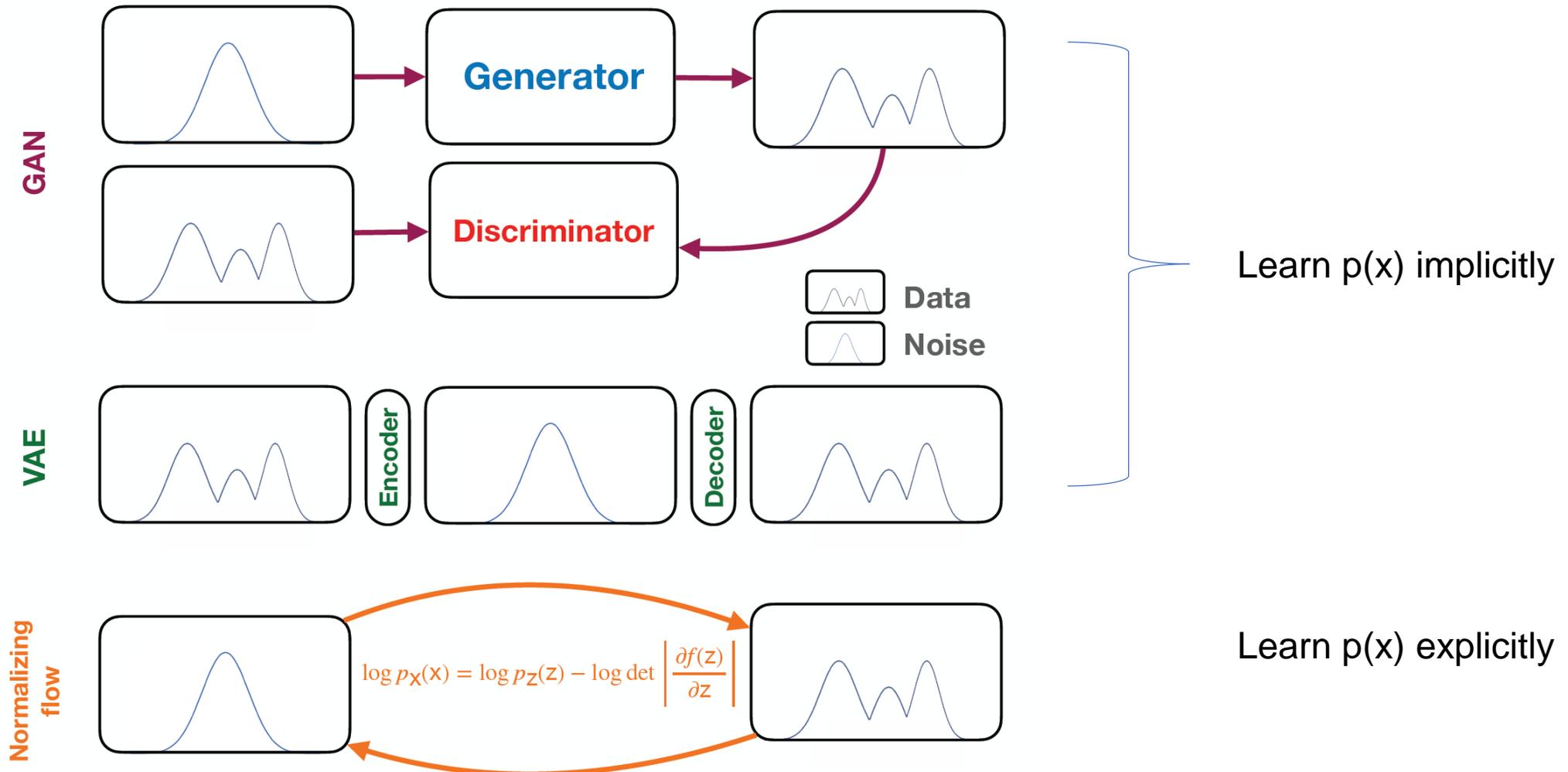
- Nodes → Hits
- edges → track segments



ML as Surrogate Models for simulation



Deep Generative Models



Example: A data-driven dE/dx simulation with normalizing flow

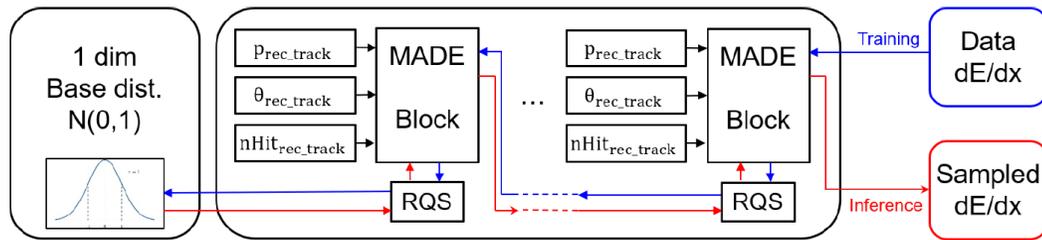


Figure 1. Schematic view of the Normalizing Flow model. The training process follows blue arrows, inference process (or simulation) follows red arrows.

[NIM.A 1065, 169544]

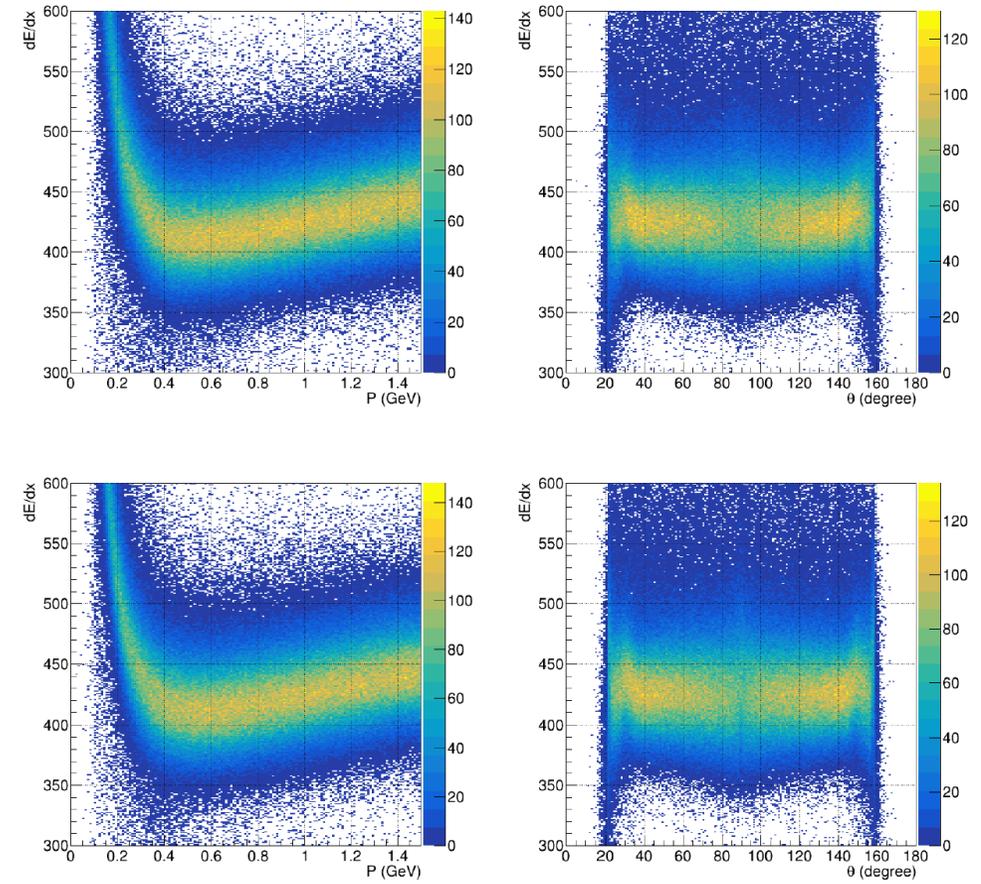


Figure 2. The dE/dx distribution of π^+ . The left (right) plots are dE/dx versus momentum (θ). The top (bottom) plots for simulated (data).

What's next

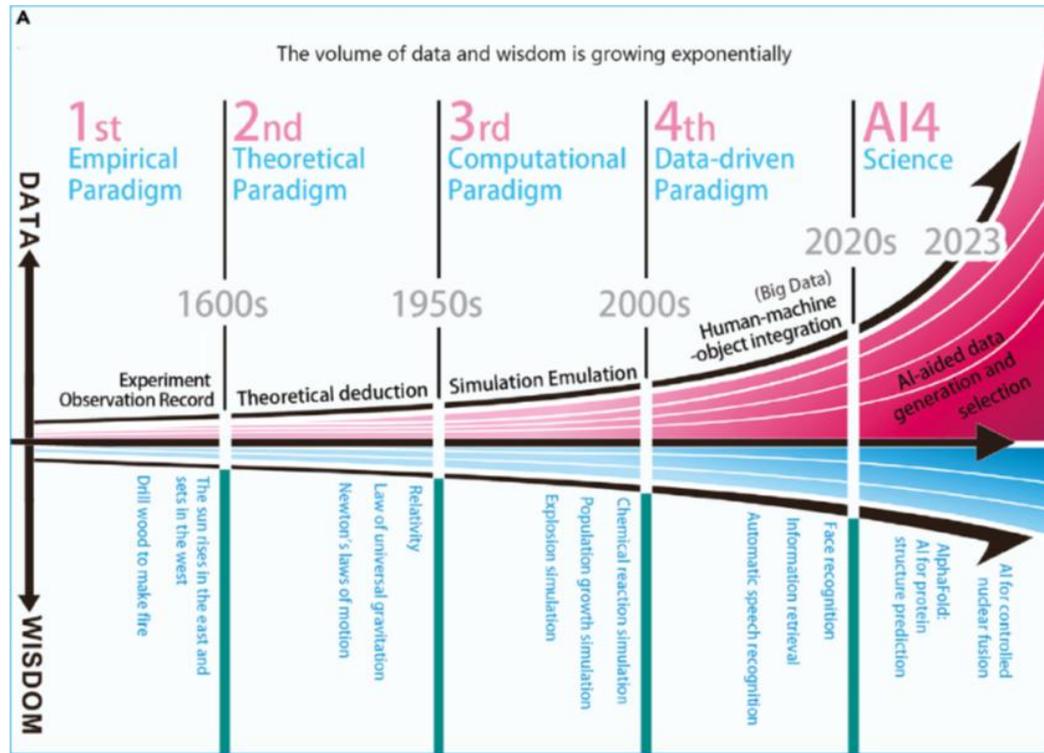
Tasks

Tri
Pa
Ev
...

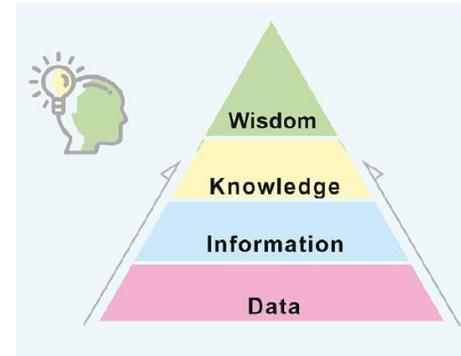
1990

Architec

(shallow) AI



The Innovation 4(6): 100525



High dim.,
Huge,
Structured,
HEP Data sets
→ ?



Transformers

Data with many forms and modalities

Sparse, Heterogeneous, Multi-scale measurements

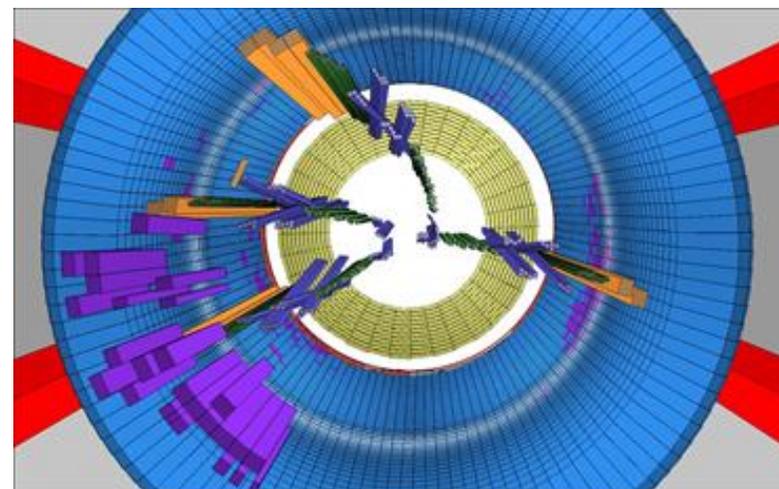
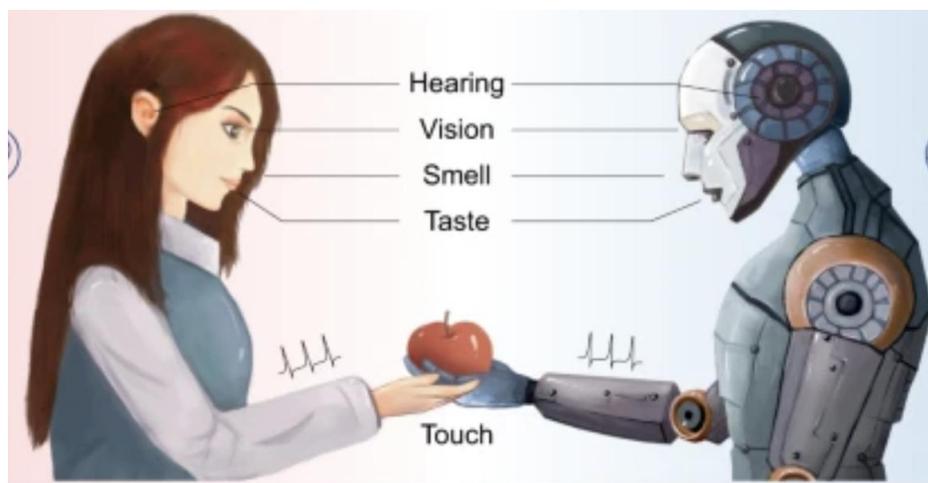
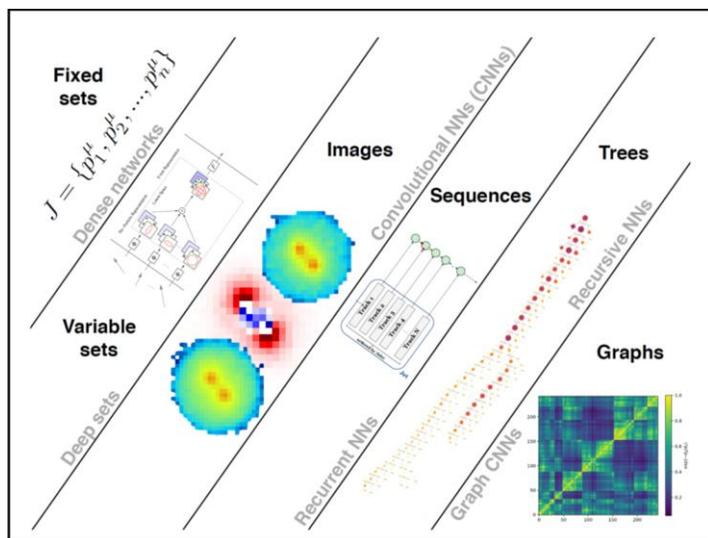


Figure from Nature Comm. 12, 1120 (2021)

Challenge of Representations

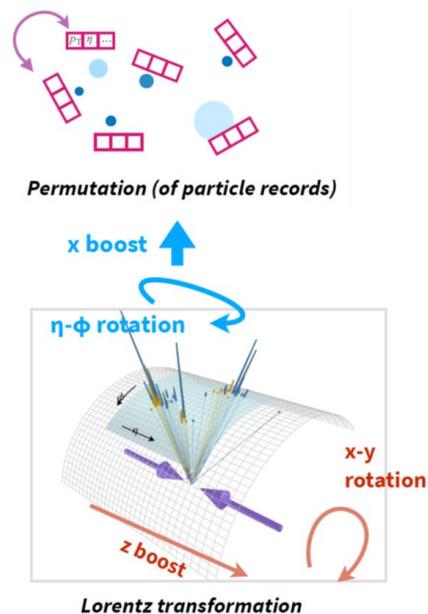
Rich streams of data to be combined in a uniform and distilled representation serving a large variety of downstream tasks
→ Foundation models

Industrial



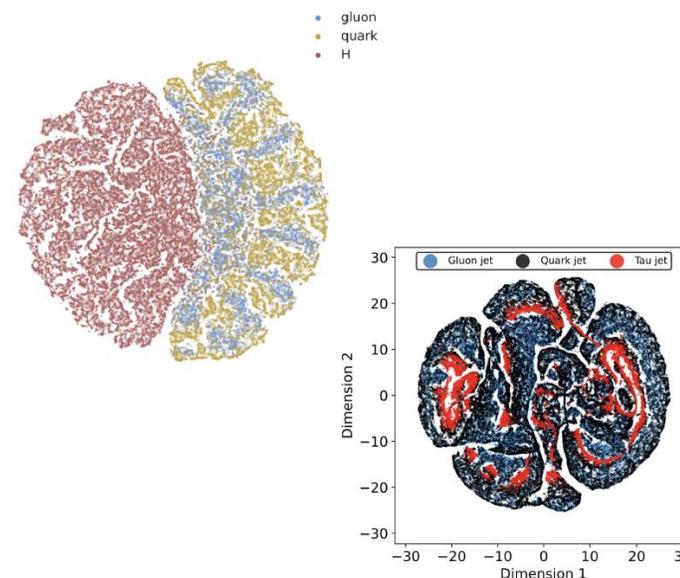
courtesy to B. Nachman

Physics informed



courtesy to C. Li

Self-supervised Learning



arXiv:2403.07066, 2503.11632

The Power of Scale

Should artificial intelligence be interpretable to humans?

[Matthew D. Schwartz](#) ✉

[Nature Reviews Physics](#) 4, 741–742 (2022) | [Cite this article](#)

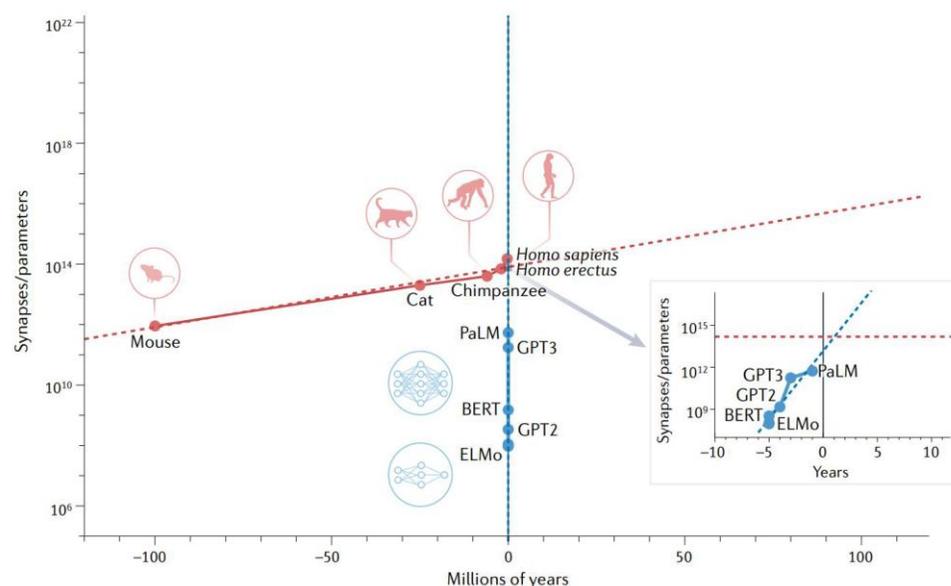


Fig. 1 | The evolution of biological and artificial intelligence takes place on dramatically different timescales. Any hope of interpreting and understanding AI will exponentially fade. Some example data points are highlighted in the evolution of biological (red) and artificial (blue) intelligence. The dashed lines represent the linear regression to these points. The acronyms in the figure are: Pathways Language Model (PaLM), Embeddings from Language Model (ELMo), Bidirectional Encoder Representations from Transformers (BERT), Generative Pre-trained Transformer (GPT).

With massive corpus data and large models, ChatGPT

- A success in “Predicting The Next Word”
- More over, a compression of world’s knowledge



With massive HEP data and large models, ???

What can we get from an effective compression?

Powered by LLM: From discriminative tools to generative agents

- Recap

Machine Learning

Fundamental Belief: Universal Approximation Theorems

-- A neural network can effectively approximate a high-dim. function

- AI models to approximate the patterns in data
- AI models to approximate the patterns of human behavior

Powered by LLM: From discriminative tools to generative agents

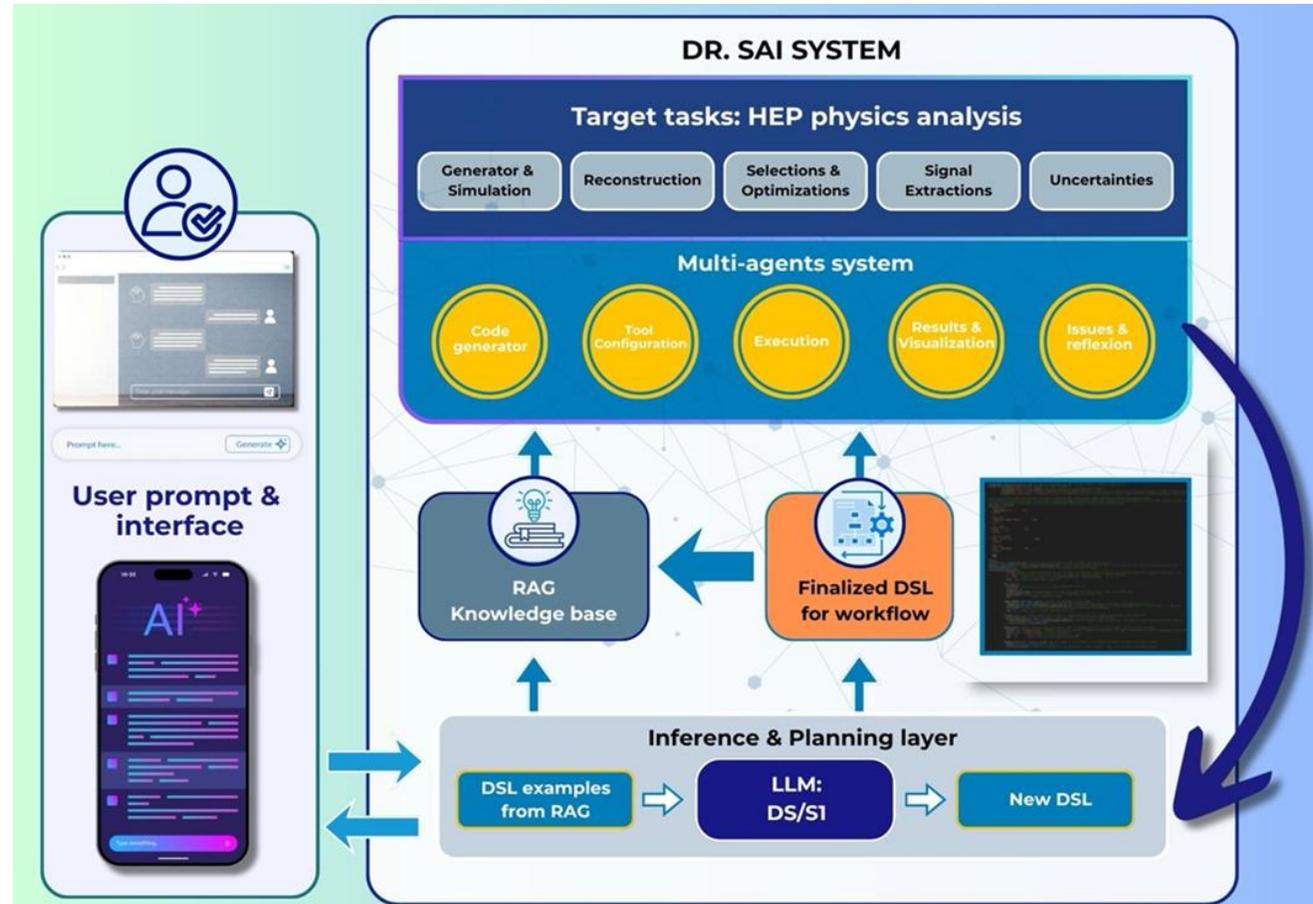
What are the 5 steps to AGI? From OpenAI

| | Name | Description |
|---------|---------------|---|
| Level 1 | Chatbots | AI with natural conversation language abilities |
| Level 2 | Reasoners | AI's with human-levels of problem solving across a broad range of topics |
| Level 3 | Agents | AI systems that can take actions independently or from human instruction |
| Level 4 | Innovators | AI that can aid in the invention of new ideas and contribute to human knowledge |
| Level 5 | Organizations | AI that is capable of doing all of the work of an organization independently |

- Enabling scientists to focus on domain science via automation
- Ultimately increasing efficiency and accelerating scientific discovery



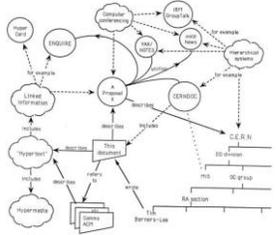
Dr.Sai: Advancing LLM agents for autonomous HEP data analysis



Integrated workflows to accelerate discovery

Outlook

WWW
(1989)



Google founded
(1999)

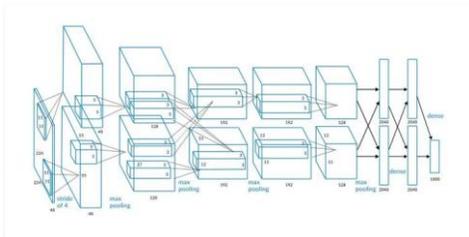


+10 years

+2 decades



Rising of DNN
(2012)



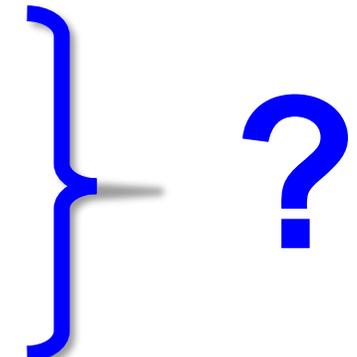
+10 years

LLM taking off
(2022)

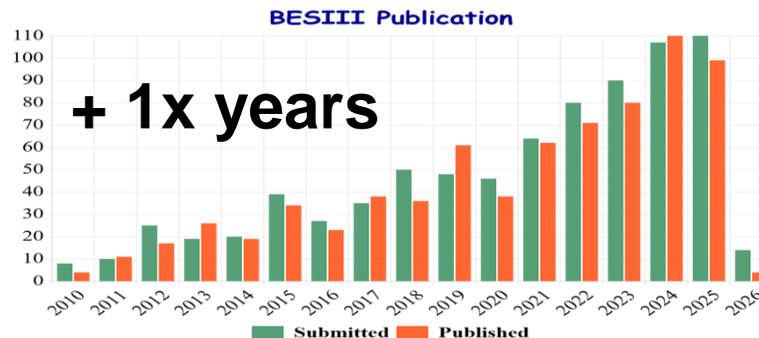


+2 decades

Now
★



BESIII
(2009)



+ 1x years

+2 decades