

CP violation and Baryon asymmetry

从重味CP破坏到两类seesaw轻子生成机制

韩成成

中山大学

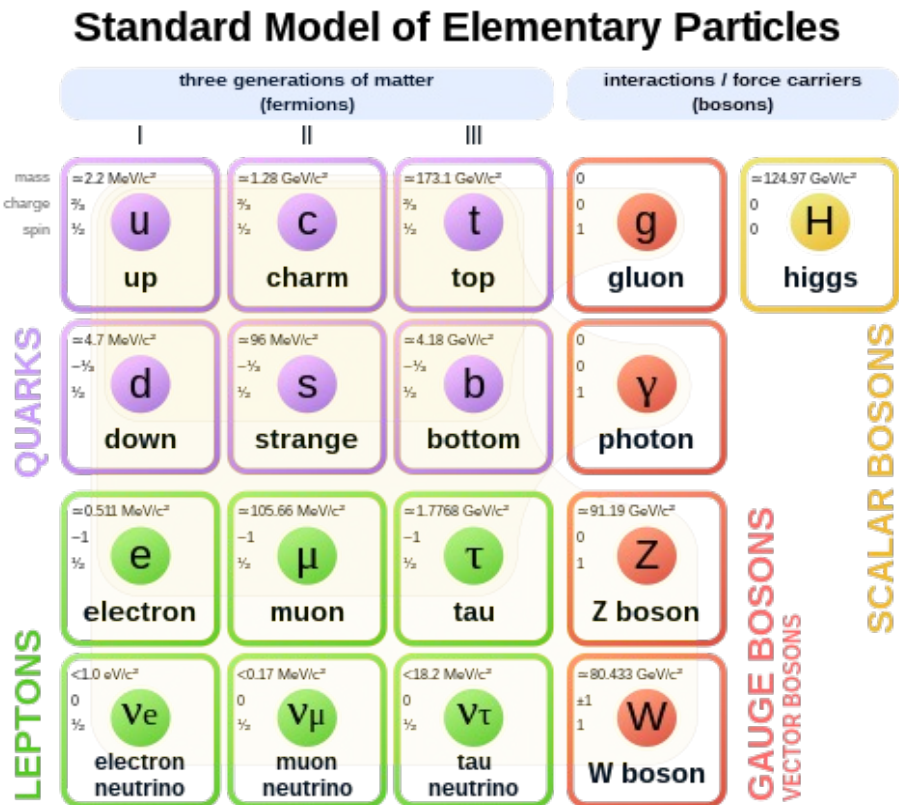
第八届全国重味物理与量子色动力学研讨会

重庆

2026.4.26

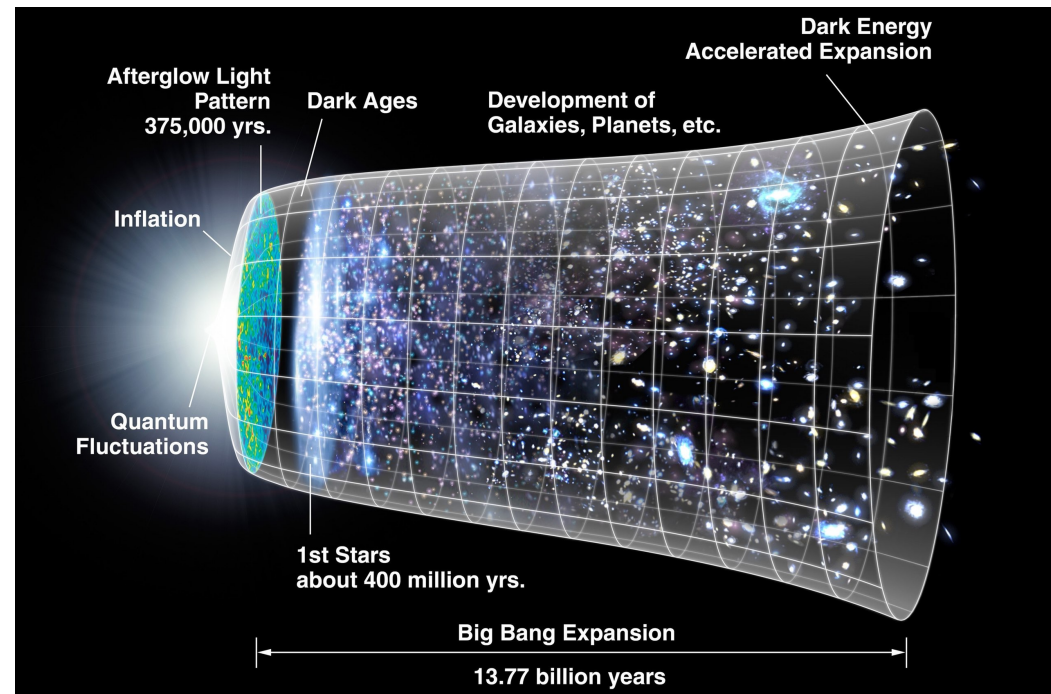
研究背景

粒子物理标准模型/宇宙学标准模型



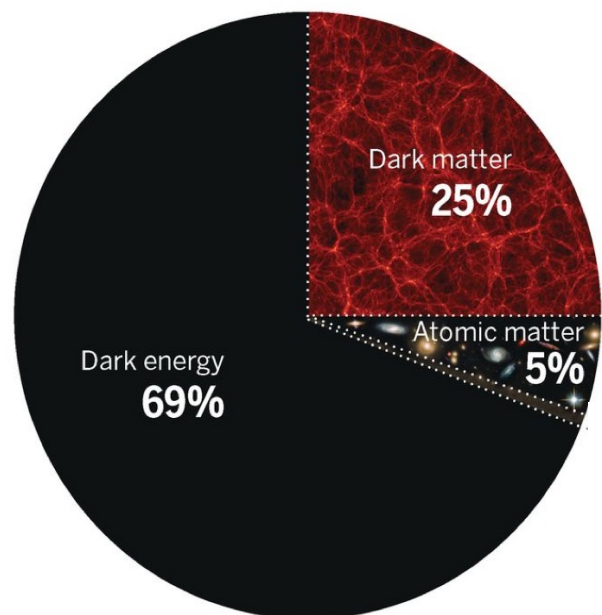
物质的基本组成及其相互作用

Λ CDM+Inflation



物质的起源与演化

What is the Universe made of?



- 暗能量是什么？与粒子物理标准模型有何关联？
- 暗物质是什么？它是不是一种基本粒子？
- 为什么可见物质都是重子，反重子去哪了？
(重子不对称性 or 正反物质不对称性)

粒子物理和宇宙学面临的共同问题！

如何产生重子不对称性?

如果宇宙创生初期就有这个不对称性, 这个不对称性会在暴胀时期抹平掉(宇宙膨胀了至少 e^{60})

如何从正反物质对称的宇宙演化到正反物质不对称的宇宙?

Sakharov 三条件

标准模型

- 重子数破坏过程
- C 和 CP 破坏
- 脱离热平衡

✓

✓

✗

- 无法提供脱离热平衡条件(QCD相变和电弱相变均为 cross over)
- 即使有强一阶相变, 夸克部分提供CP破坏太小, 不足以解释现在的观测

新的CP破坏源+脱离热平衡条件!

本报告涉及的重子产生机制与检验

- 重味CP破坏与B-mesogenesis
- Type-I seesaw: 高标度轻子生成机制的宇宙学检验
- Type-II seesaw: 低标度可检验的轻子生成机制

低能窗口

高标度途径

低标度途径



重味CP破坏与B-mesogenesis

Heavy-flavor probes of baryogenesis

CP violation in baryon decay

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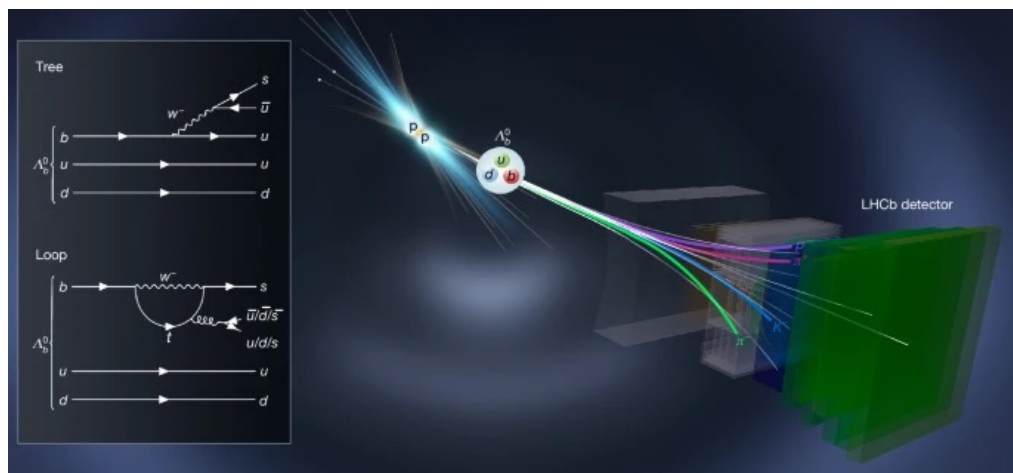
Article | [Open access](#) | Published: 16 July 2025

Observation of charge–parity symmetry breaking in baryon decays

[LHCb Collaboration](#)

[Nature](#) 643, 1223–1228 (2025) | [Cite this article](#)

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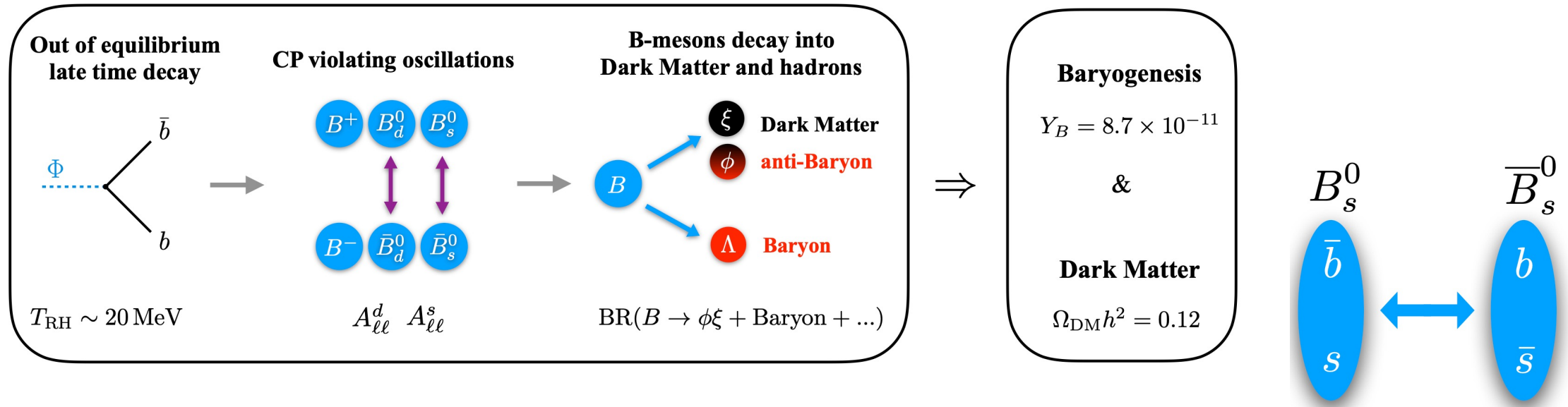
$$\mathcal{A}_{\text{CP}} \equiv \frac{\Gamma(\Lambda_b^0 \rightarrow pK^- \pi^+ \pi^-) - \Gamma(\bar{\Lambda}_b^0 \rightarrow \bar{p}K^+ \pi^- \pi^+)}{\Gamma(\Lambda_b^0 \rightarrow pK^- \pi^+ \pi^-) + \Gamma(\bar{\Lambda}_b^0 \rightarrow \bar{p}K^+ \pi^- \pi^+)}$$

$$\mathcal{A}_{\text{CP}} = (2.45 \pm 0.46 \pm 0.10)\%$$

- CP破坏不只存在于介子系统，也可出现在重子衰变
- 它验证了Sakharov条件中的CP破坏要素
- 结果与CKM一致，加强了标准模型CP破坏不足以解释重子不对称的结论

B-mesogenesis

G. Elor, M. Escudero, A. E. Nelson, Phys. Rev. D 99, 035031 (2019)



- A long-lived particle decays and produce B-mesons and anti-mesons out of equilibrium
- CP violation from $B^0 - \bar{B}^0$ oscillations generates a matter-antimatter asymmetry
- B meson (5.3 GeV) decays into baryon + anti-baryon(dark matter)+ dark matter
- Yields net excess of baryons in the visible sector and excess anti-baryons in the dark sector
- Baryon number in the whole universe is conserved

B-mesogenesis

The baryon asymmetry is related to the B meson decay rate into baryon+invi and B meson oscillation CP violation A_{SL}

$$Y_B \simeq 8.7 \times 10^{-11} \frac{\text{Br}(B \rightarrow \psi + \mathcal{B} + \mathcal{M})}{10^{-2}} \sum_q \alpha_q \frac{A_{\text{SL}}^q}{10^{-4}}$$

$$A_{\text{SL}}^q = \text{Im} \left(\frac{\Gamma_{12}^q}{M_{12}^q} \right) = \frac{\Gamma(\bar{B}_q^u \rightarrow B_q^0 \rightarrow f) - \Gamma(B_q^0 \rightarrow \bar{B}_q^u \rightarrow \bar{f})}{\Gamma(\bar{B}_q^0 \rightarrow B_q^0 \rightarrow f) + \Gamma(B_q^0 \rightarrow \bar{B}_q^0 \rightarrow \bar{f})}$$

SM prediction:

$$A_{\text{SL}}^d|_{\text{SM}} = (-4.7 \pm 0.4) \times 10^{-4}$$

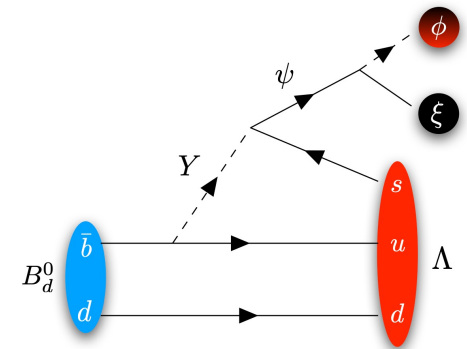
$$A_{\text{SL}}^s|_{\text{SM}} = (2.1 \pm 0.2) \times 10^{-5}$$

EXP:

$$A_{\text{SL}}^d = (-2.1 \pm 1.7) \times 10^{-3}$$

$$A_{\text{SL}}^s = (-0.6 \pm 2.8) \times 10^{-3}$$

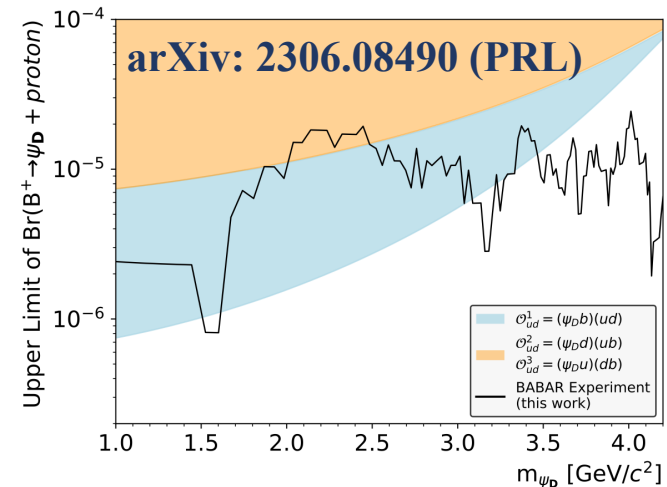
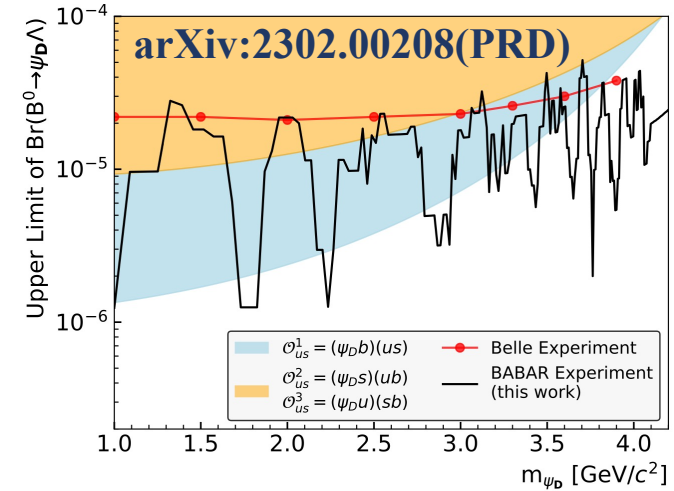
- SM CKM is enough to provide the CP violation, but
- B meson decay into baryon+invisible (BaBar, Belle, LHCb)
- Measurement of the CP violation of B meson is important



B-mesogenesis

Collider Signals of Baryogenesis and Dark Matter from B Mesons: A Roadmap to Discovery, G. Alonso-Álvarez, G. Elor, M. Escudero, Phys. Rev. D 104, 035028 (2021)

Operator and Decay	Initial State	Final State	ΔM (MeV)
$\mathcal{O}_{ud} = \psi b u d$ $\bar{b} \rightarrow \psi u d$	B_d	$\psi + n (udd)$	4340.1
	B_s	$\psi + \Lambda (uds)$	4251.2
	B^+	$\psi + p (duu)$	4341.0
	Λ_b	$\bar{\psi} + \pi^0$	5484.5
$\mathcal{O}_{us} = \psi b u s$ $\bar{b} \rightarrow \psi u s$	B_d	$\psi + \Lambda (usd)$	4164.0
	B_s	$\psi + \Xi^0 (uss)$	4025.0
	B^+	$\psi + \Sigma^+ (uus)$	4090.0
	Λ_b	$\bar{\psi} + K^0$	5121.9
$\mathcal{O}_{cd} = \psi b c d$ $\bar{b} \rightarrow \psi c d$	B_d	$\psi + \Lambda_c + \pi^- (cdd)$	2853.6
	B_s	$\psi + \Xi_c^0 (c ds)$	2895.0
	B^+	$\psi + \Lambda_c^+ (dcu)$	2992.9
	Λ_b	$\bar{\psi} + \bar{D}^0$	3754.7
$\mathcal{O}_{cs} = \psi b c s$ $\bar{b} \rightarrow \psi c s$	B_d	$\psi + \Xi_c^0 (csd)$	2807.8
	B_s	$\psi + \Omega_c (css)$	2671.7
	B^+	$\psi + \Xi_c^+ (csu)$	2810.4
	Λ_b	$\bar{\psi} + D^- + K^+$	3256.2



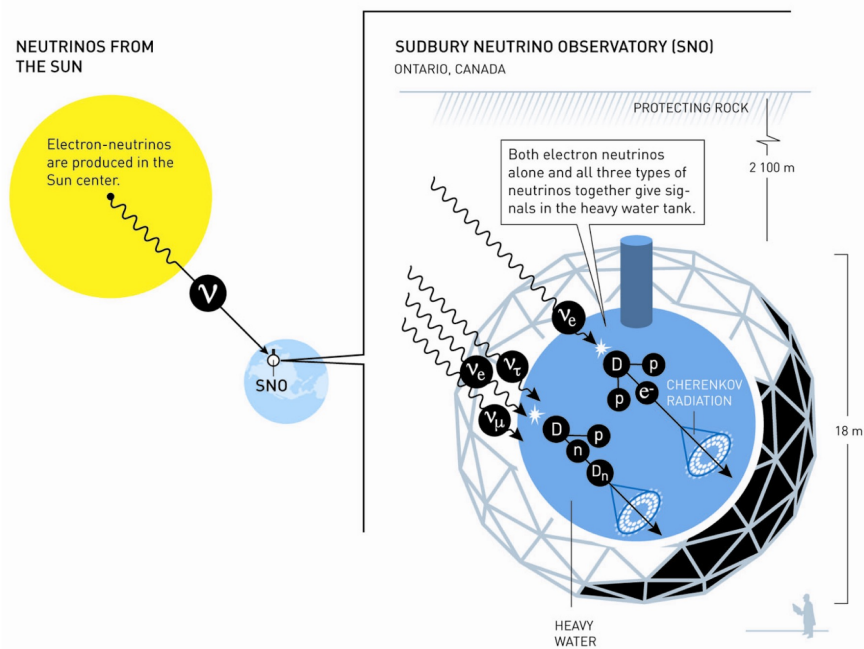


Type-I seesaw: 高标度轻子生成机制的宇宙学检验

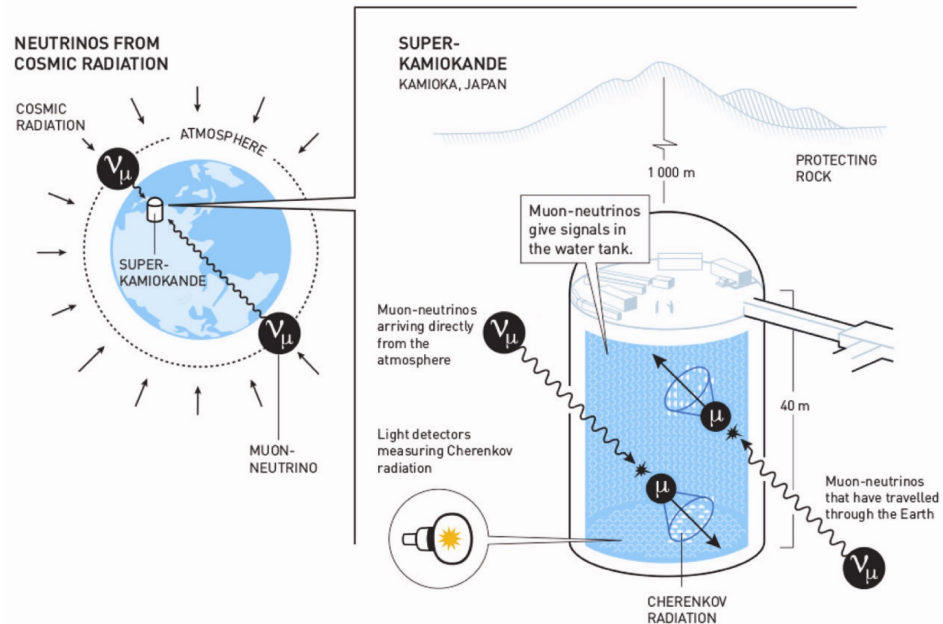
High-scale seesaw and cosmological signatures

中微子质量

太阳中微子之谜

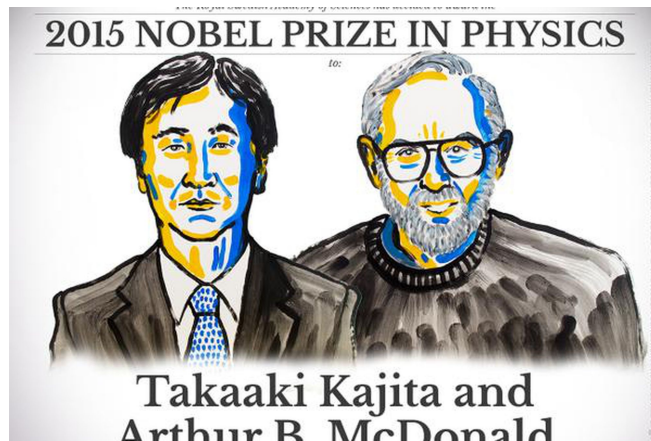


大气中微子反常



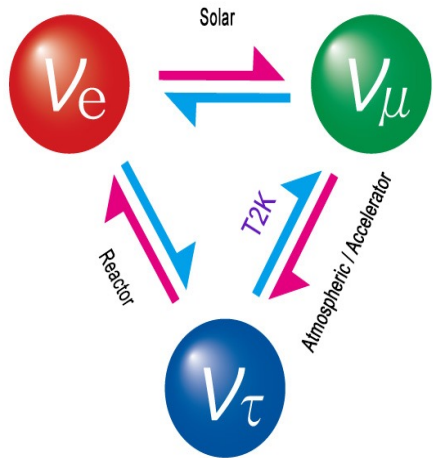
三代中微子之间会互相振荡转化

中微子存在质量! (~ 0.05 eV)



中微子质量

Kobayashi and Maskawa(2008 Nobel prize) 机制告诉我们，如果中微子有质量，轻子部分可能有CP破坏



$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

I. Esteban, M.C. Gonzalez-Garcia, M. Maltoni, T. Schwetz, A. Zhou, JHEP 09 (2020) 178

NO

$$\begin{aligned} \theta_{12} &= 33.44^{\circ+0.77^{\circ}}_{-0.74^{\circ}} \\ \theta_{23} &= 49.2^{\circ+0.9^{\circ}}_{-1.2^{\circ}} \\ \theta_{13} &= 8.57^{\circ+0.12^{\circ}}_{-0.12^{\circ}} \\ \delta_{CP} &= 197^{\circ+27^{\circ}}_{-24^{\circ}} \end{aligned}$$

IO

$$\begin{aligned} \theta_{12} &= 33.45^{\circ+0.78^{\circ}}_{-0.75^{\circ}} \\ \theta_{23} &= 49.3^{\circ+0.9^{\circ}}_{-1.1^{\circ}} \\ \theta_{13} &= 8.60^{\circ+0.12^{\circ}}_{-0.12^{\circ}} \\ \delta_{CP} &= 282^{\circ+26^{\circ}}_{-30^{\circ}} \end{aligned}$$

轻子部分提供了新的CP破坏源 (T2K实验暗示中微子部分可能存在CP破坏)，正反物质不对称性可能从轻子部分开始，再由sphaleron过程传递给重子——**轻子生成机制** (leptogenesis)

Seesaw mechanism

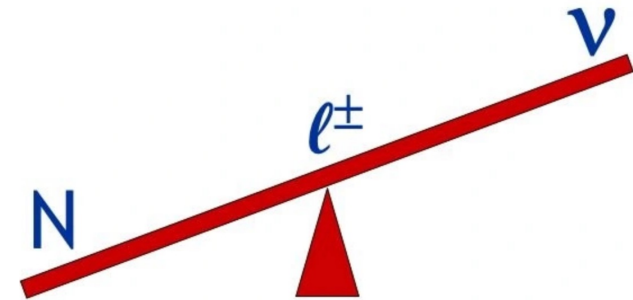
Origin of neutrino masses: seesaw mechanism

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + y_\nu \tilde{H} \bar{L} N_R - \frac{1}{2} M_R \bar{N}_R^c N_R + h.c.$$

$$M = \begin{pmatrix} 0 & m_D \\ m_D^T & M_R \end{pmatrix}$$

$$m_\nu \sim \frac{m_D^2}{M_R} = \frac{y_\nu^2 \langle h \rangle^2}{2M_R}$$

P. Minkowski ; T. Yanagida; S. L. Glashow;
M. Gell-Mann, P. Ramond and R. Slansky



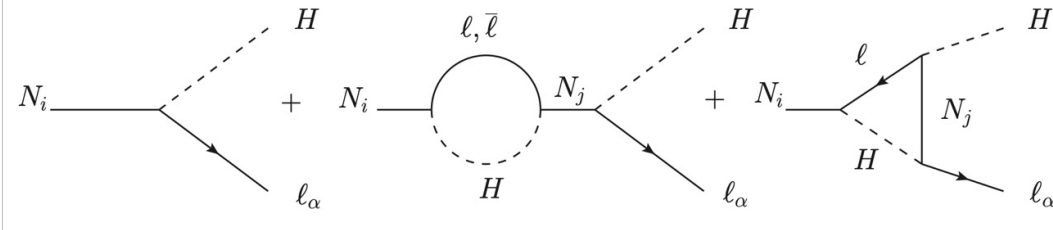
- Natural prediction of small neutrino masses
- Explain the baryon asymmetry of the universe: leptogenesis

Baryogenesis Without Grand Unification, Fukugita and Yanagida, 1986'

Leptogenesis

Baryogenesis Without Grand Unification, Fukugita and Yanagida, 1986'

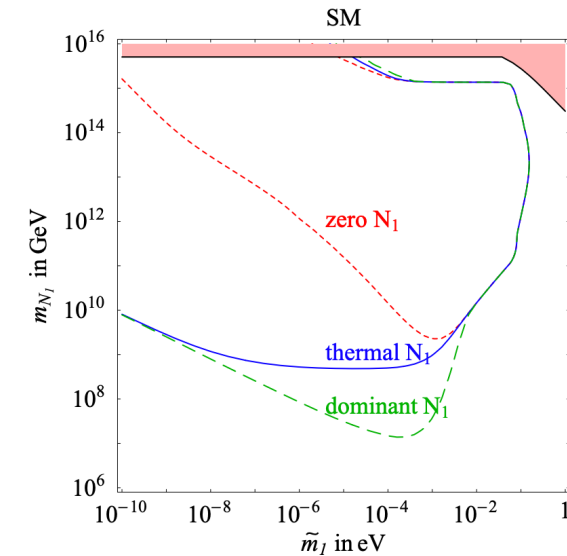
$$\mathcal{L}_I = \mathcal{L}_{SM} + i\overline{N_{R_i}} \not{\partial} N_{R_i} - \left(\frac{1}{2} M_i \overline{N_{R_i}^c} N_{R_i} + \epsilon_{ab} Y_{\alpha i} \overline{N_{R_i}} \ell_\alpha^a H^b + h.c. \right)$$



$$\epsilon_{i\alpha} = \frac{\gamma(N_i \rightarrow \ell_\alpha H) - \gamma(N_i \rightarrow \bar{\ell}_\alpha H^*)}{\sum_\alpha \gamma(N_i \rightarrow \ell_\alpha H) + \gamma(N_i \rightarrow \bar{\ell}_\alpha H^*)}$$

$$n_B = \frac{28}{79} (\mathcal{B} - \mathcal{L})_i$$

G.F. Giudice, et al,
Nucl.Phys.B 685 (2004) 89-149



Mass of the right-handed neutrino should be heavier than 10^9 GeV

Seesaw mechanism

$$m_\nu \sim \frac{m_D^2}{M_R} = \frac{y_\nu^2 \langle h \rangle^2}{2M_R}$$

If the Yukawa coupling is $O(1)$ (as predicted by the GUT), the seesaw scale M_R should be around 10^{13-14} GeV, which is much beyond the reach of particle experiments.

How to test such high scale seesaw?

Two cosmological signatures in this talk

- Local-type non-Gaussianity from Higgs-modulated reheating
- Cosmological-collider signal from RH-neutrino loops

Testing high scale seesaw

CH, H. He, L. Song, J. You, arXiv: 2412.21045(PRDL), 2412.16033(PRD)

Minimal model incorporates inflation and seesaw

$$\Delta\mathcal{L} = \sqrt{-g} \left[-\frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi) + \bar{N}_R i \not{\partial} N_R + \frac{1}{\Lambda} \partial_\mu \phi \bar{N}_R \gamma^\mu \gamma^5 N_R \right. \\ \left. + \left(-\frac{1}{2} M \bar{N}_R^c N_R - y_\nu \bar{L}_L \tilde{H} N_R + \text{H.c.} \right) \right]$$

Consequence of the seesaw mechanism

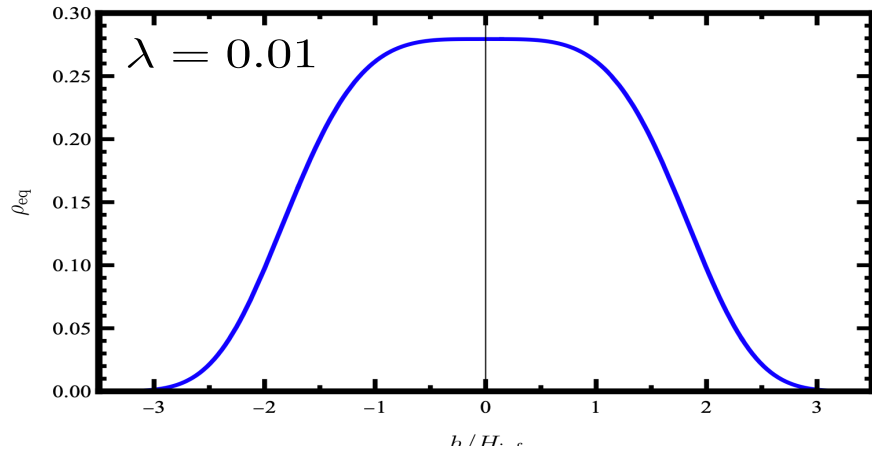
$$\mathcal{L} \supset \frac{1}{2} \bar{\psi}_L \mathbf{M}_\nu \psi_R + \text{h.c.}, \quad \mathbf{M}_\nu = \begin{pmatrix} 0 & \frac{y_\nu h}{\sqrt{2}} \\ \frac{y_\nu h}{\sqrt{2}} & M \end{pmatrix}$$

$$m_\nu \simeq -\frac{y_\nu^2 h^2}{2M}, \quad M_N \simeq M + \frac{y_\nu^2 h^2}{2M} \quad \Gamma \simeq \frac{m_\phi M^2}{4\pi\Lambda^2} \left[1 + \frac{1}{4} \left(\frac{y_\nu h}{M} \right)^2 \right]$$

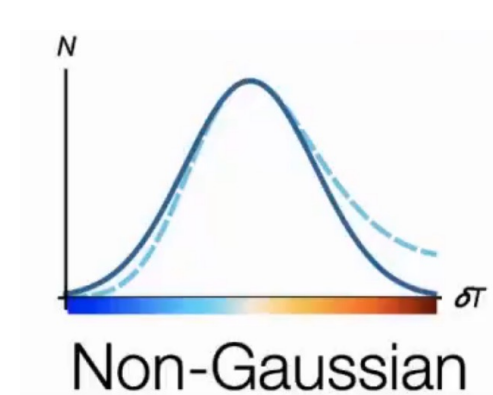
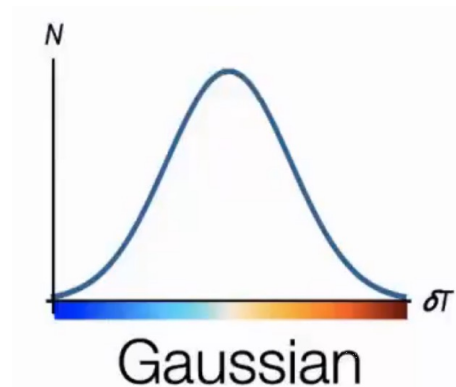
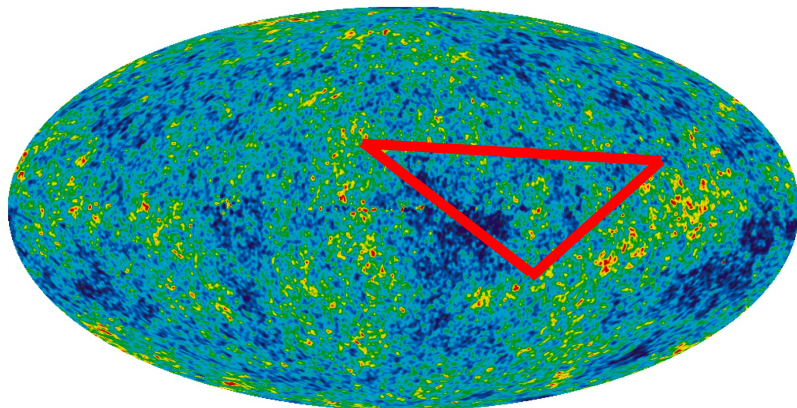
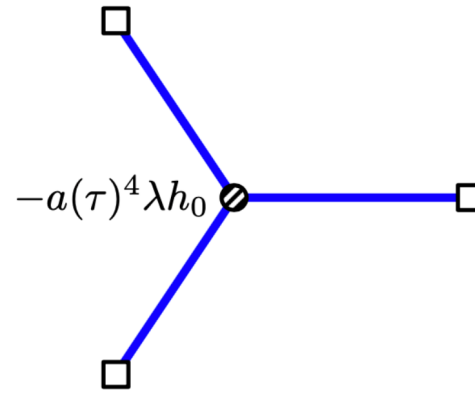
Testing high scale seesaw

CH, H. He, L. Song, J. You, arXiv: 2412.21045(PRDL), 2412.16033(PRD)

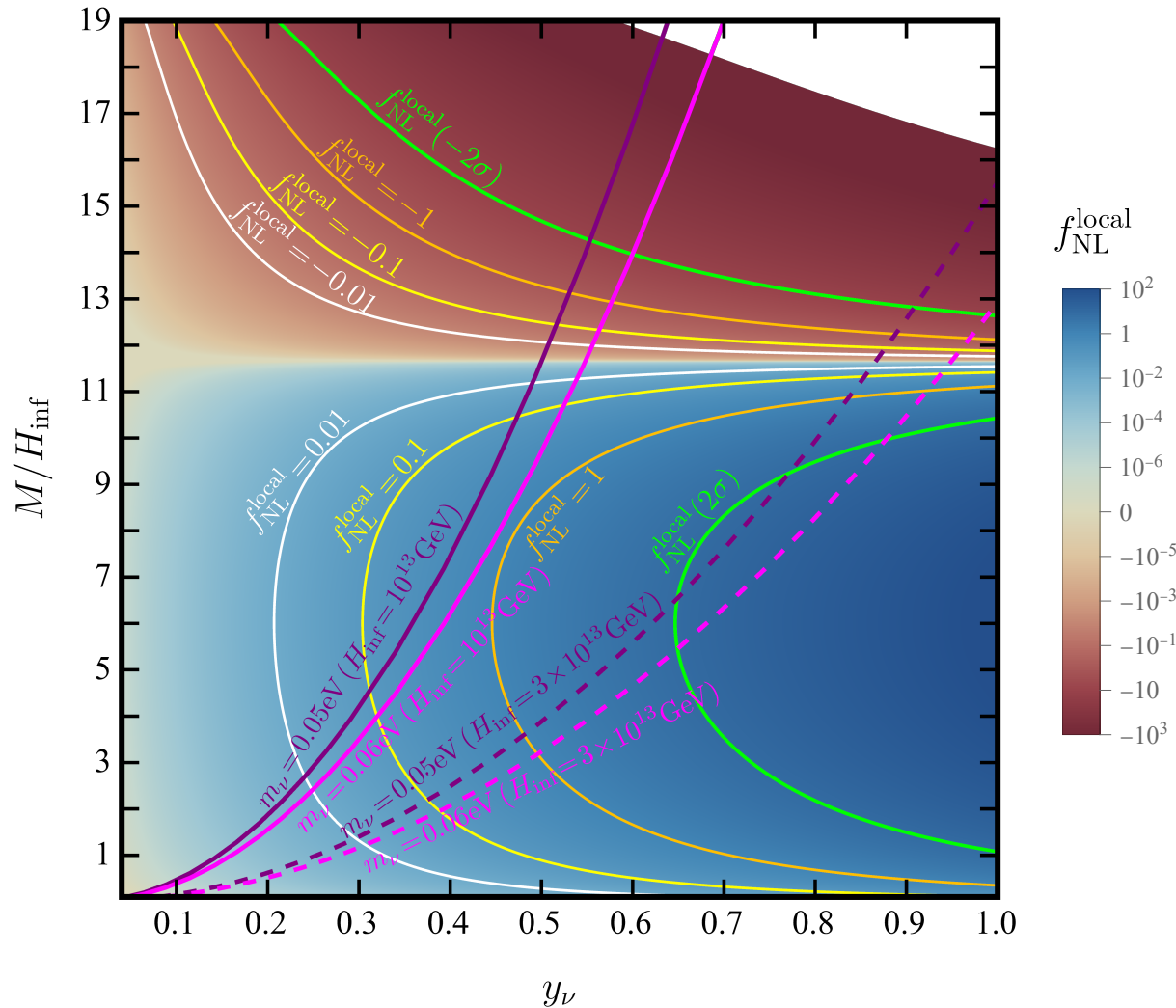
Due to the Higgs self-coupling



$$\rho_{\text{eq}}(h) = \frac{2\lambda^{1/4}}{\Gamma(1/4)} \left(\frac{2\pi^2}{3}\right)^{1/4} \exp\left(\frac{-2\pi^2\lambda h^4}{3H_{\text{inf}}^4}\right)$$



Testing high scale seesaw

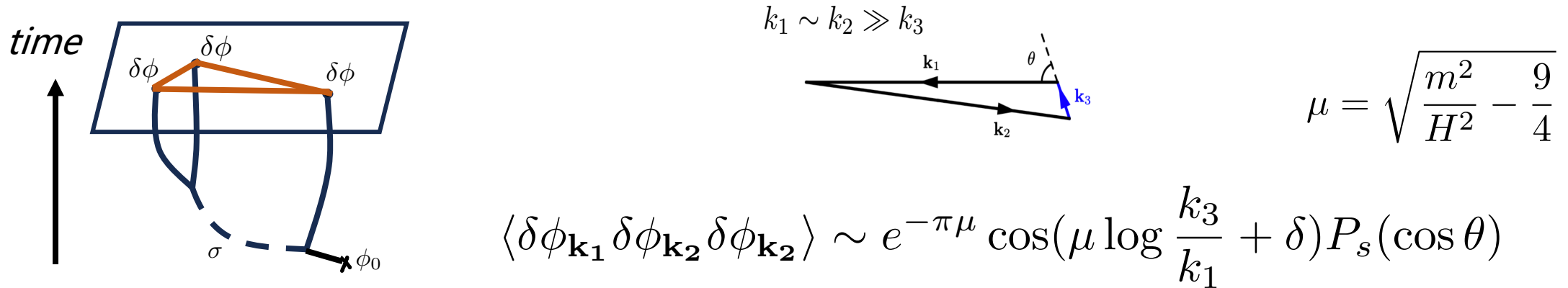


- Colored curves indicating future searches
- Parameter space with Yukawa $O(1)$ could be probed by future observations
- Interplaying with neutrino experiments (JUNO, DUNE for neutrino ordering)

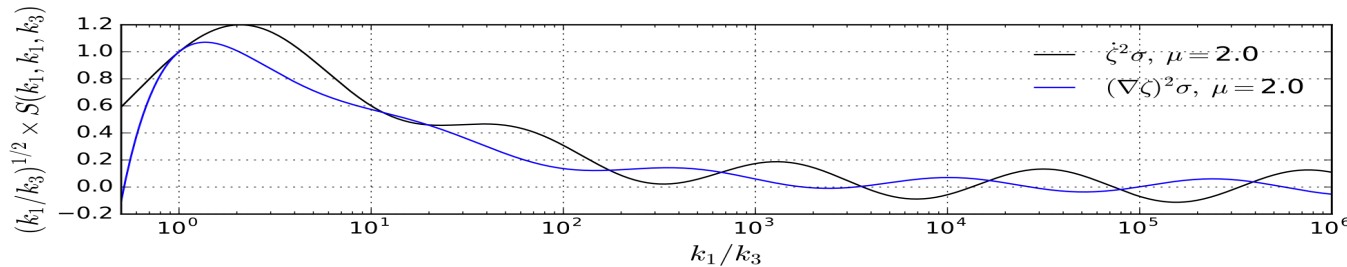
Cosmological collider signals

X. Chen and Y. Wang, JCAP 04 (2010)027
 N. Arkani-Hamed and J. Maldacena, arXiv:1503.08043

- Inflation can act as a high-energy collider sensitive to particles with mass $\sim H$
- Their mass and spin are encoded in non-analytic features of the squeezed bispectrum
- Particles with $m \sim H$ produce oscillatory signals, whereas heavier states are increasingly suppressed



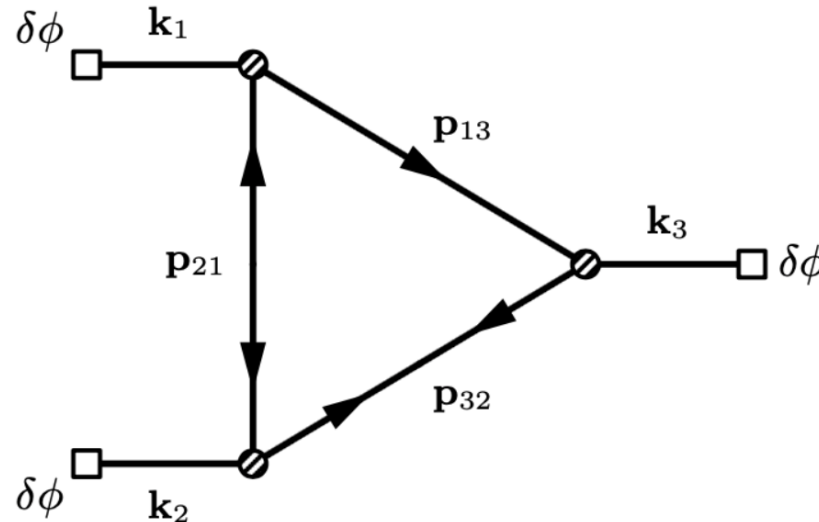
P. Daniel Meerburg, M. Münchmeyer, J. B. Muñoz, X. Chen, JCAP 03 (2017) 050



$$\langle \zeta^3 \rangle \equiv (2\pi)^3 \delta_D(\mathbf{k}_{123}) \frac{A^2}{(k_1 k_2 k_3)^2} S(k_1, k_2, k_3)$$

$$P_\zeta(k) = A/k^3 \quad \zeta = \frac{H}{\dot{\phi}} \delta\phi$$

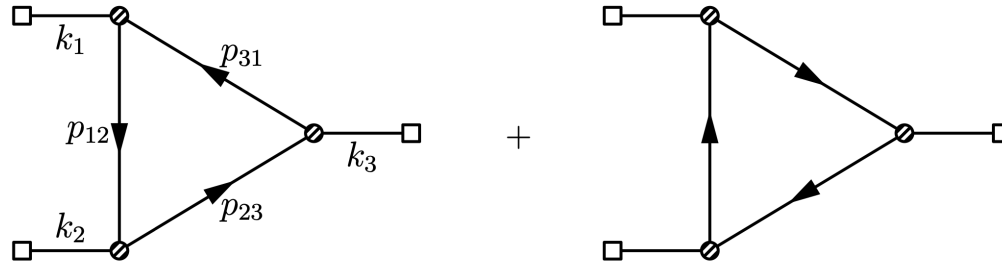
Prediction of cosmological collider signal: help to identify the mass of the right-handed neutrino



Fermion-Loop Calculation Is Challenging

- The fermion mode functions in de Sitter space are nontrivial
- The loop-momentum integration must be handled carefully to isolate the nonlocal signal
- A controlled calculation therefore requires more than a simple benchmark estimate

What Earlier Work Assumed

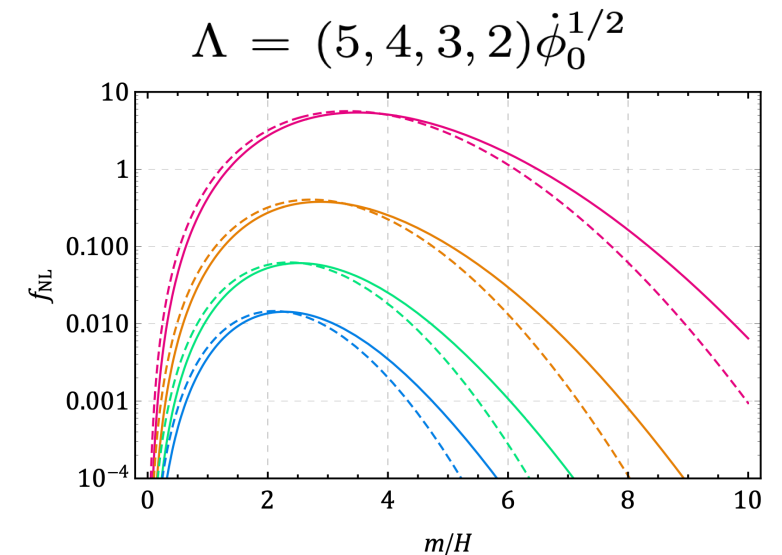


X. Chen, Y. Wang, and Z.-Z. Xianyu, JHEP 09 (2018) 022
 A. Hook, J. Huang, and D. Racco, JHEP 01 (2020) 105

The cosmological collider signal only emerges in specific soft limits, which can be factorized.

$$\lim_{k_s \rightarrow 0} \left(\text{Triangle Diagram} \right) = \mathcal{T}_d^{(L)} \times B_d \times \mathcal{T}_d^{(R)}$$

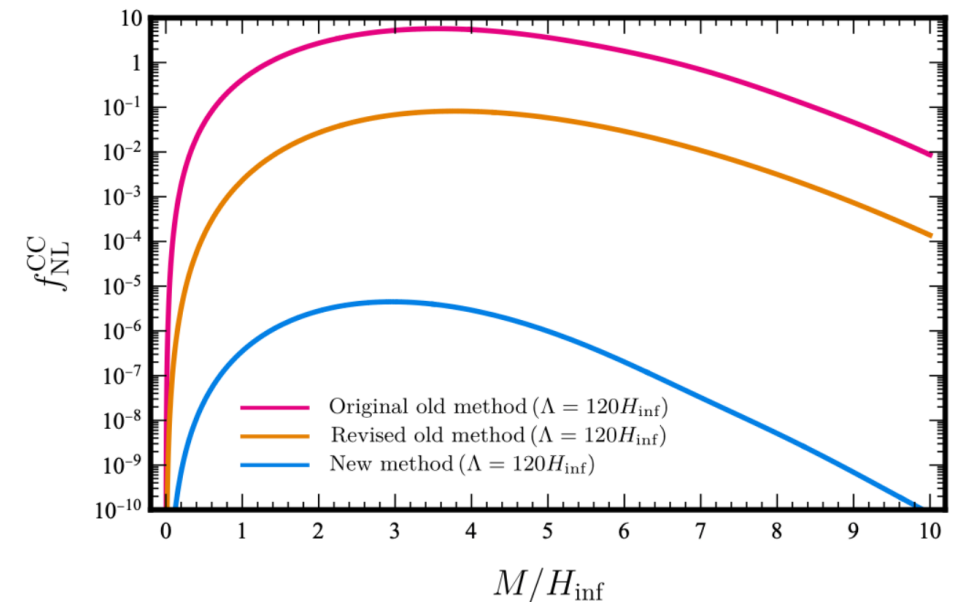
- The TL was estimated with a saddle-point approximation
- The bubble subdiagram was approximated using a typical loop momentum k_s instead of the full integration
- Under those assumptions, the loop signal looked potentially observable.



Our Controlled Reanalysis

CH, H. He, L. Song, J. You, arXiv: 2604.XXXXXX

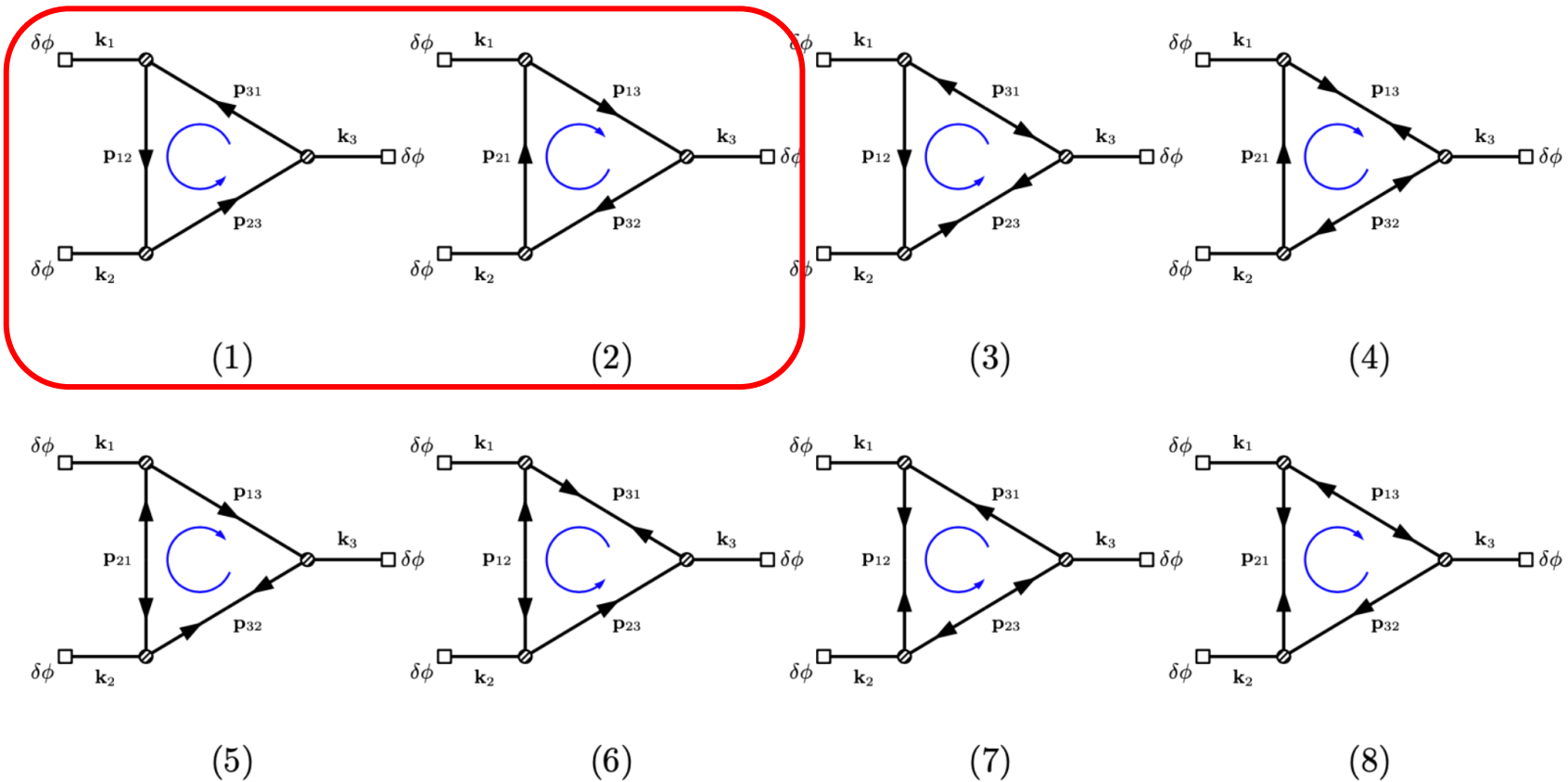
- We compute TL analytically rather than using a saddle-point approximation
- We evaluate the bubble contribution through the full loop-momentum integration
- With these improvements, the old benchmark is reduced by roughly six orders of magnitude



If one corrects only the old benchmark contribution, the cc signal appears hopelessly small

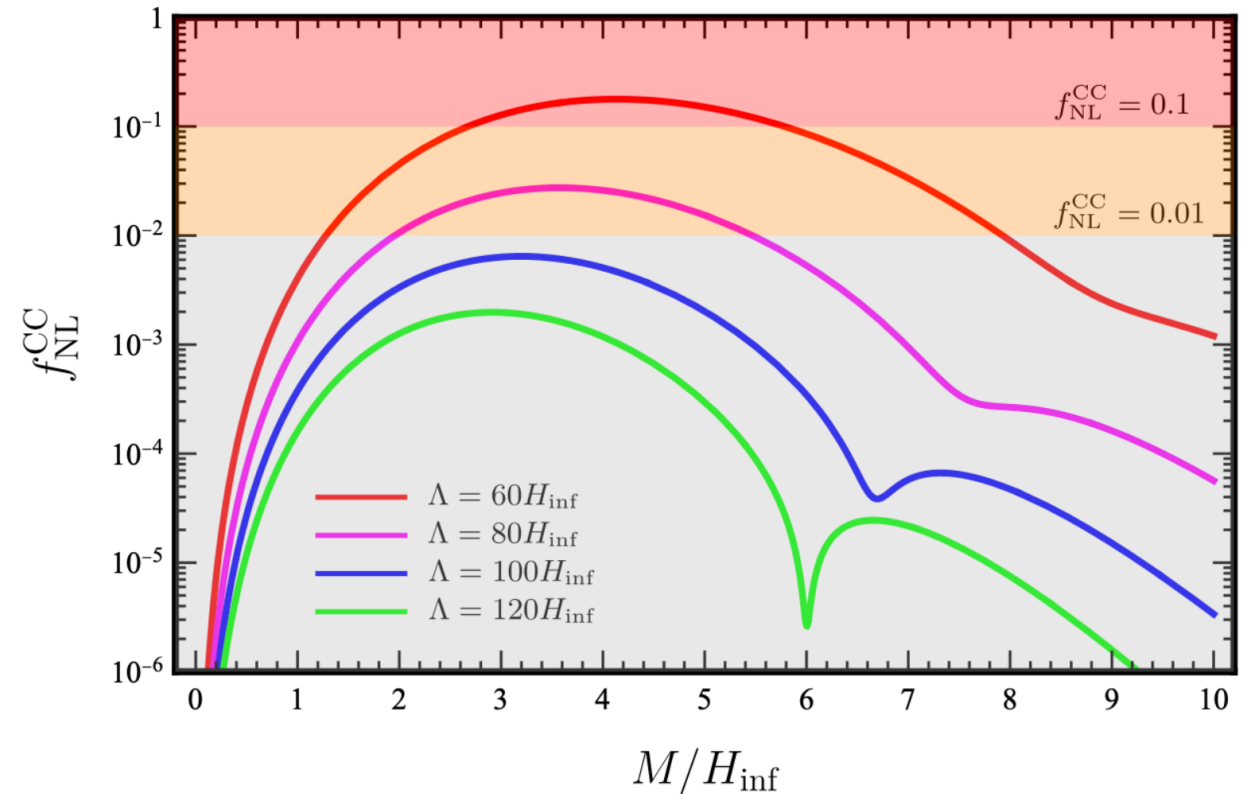
Missing Diagrams Matter

The old literature evaluated only a subset of diagrams



Result of Cosmological-Collider Signal

- New diagrams can dominate over the old benchmark contribution
- In part of parameter space, the total RH-neutrino loop signal reaches an observable level





Type-II seesaw: 低标度可检验的轻子生成机制

Triplet scalar dynamics and testable leptogenesis

第二类跷跷板机制

$$H(2, 1/2), \Delta(3, 1), L(2, -1/2) \quad H = \begin{pmatrix} h^+ \\ h \end{pmatrix}, \quad \Delta = \begin{pmatrix} \Delta^+/\sqrt{2} & \Delta^{++} \\ \Delta^0 & -\Delta^+/\sqrt{2} \end{pmatrix}$$

$$\mathcal{L}_{Yukawa} = \mathcal{L}_{Yukawa}^{\text{SM}} - \frac{1}{2} y_{ij} \bar{L}_i^c \Delta L_j + h.c.$$



$$\frac{1}{2} y_{ij} \Delta^0 \bar{\nu}^c \nu + h.c.$$

EW precision measurement

$$\mathcal{O}(1) \text{ GeV} > |\langle \Delta^0 \rangle| \gtrsim 0.05 \text{ eV}$$

required by neutrino masses

第二类跷跷板机制与轻子生成机制

Leptogenesis in Type II seesaw ?

VOLUME 80, NUMBER 26

PHYSICAL REVIEW LETTERS

29 JUNE 1998

500+ citations

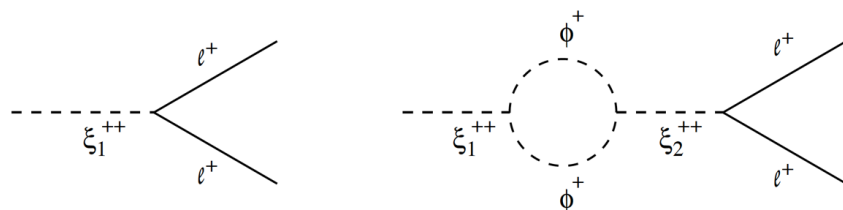
Neutrino Masses and Leptogenesis with Heavy Higgs Triplets

Ernest Ma

Department of Physics, University of California, Riverside, California 92521

Utpal Sarkar

Physical Research Laboratory, Ahmedabad 380 009, India



$$\delta_i = 2 \left[B(\psi_i^- \rightarrow ll) - B(\psi_i^+ \rightarrow l^c l^c) \right]$$

$$\delta_i = \frac{\text{Im} \left[\mu_1 \mu_2^* \sum_{k,l} y_{1kl} y_{2kl}^* \right]}{8\pi^2 (M_1^2 - M_2^2)} \left[\frac{M_i}{\Gamma_i} \right]$$

希格斯三重态质量需要超过 10^{10} GeV

一个希格斯三重态无法传递CP破坏, 单纯第二类跷跷板机制不能实现(热)轻子生成机制

第二类轻子生成机制

Type II seesaw leptogenesis

希格斯三重态是标量粒子，在宇宙早期拥有大的真空期望值(可以提供暴胀)，满足脱离热平衡条件，从而实现轻子生成机制(通过AD机制)

PHYSICAL REVIEW LETTERS **128**, 141801 (2022)

Affleck-Dine Leptogenesis from Higgs Inflation

Neil D. Barrie^{1,*}, Chengcheng Han^{2,†} and Hitoshi Murayama^{3,4,5,‡}

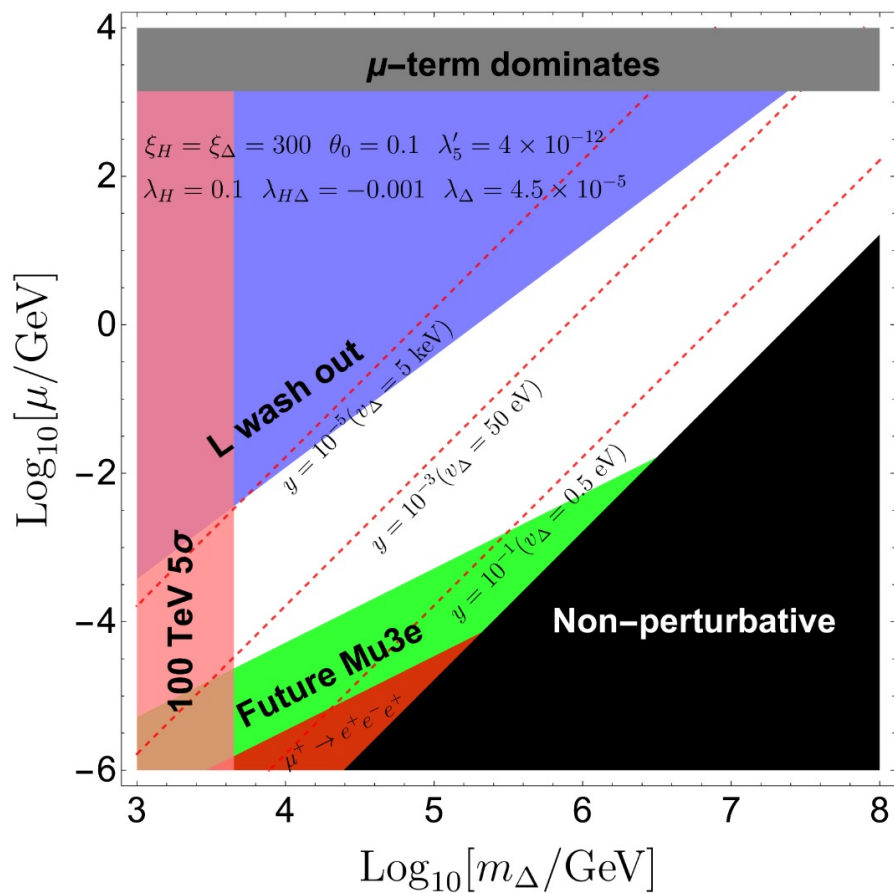
We find that the triplet Higgs of the type-II seesaw mechanism can simultaneously generate the neutrino masses and observed baryon asymmetry while playing a role in inflation. We survey the allowed parameter space and determine that this is possible for triplet masses as low as a TeV, with a preference for a small

Type II Seesaw leptogenesis



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第二类轻子生成机制



- 希格斯三重态质量可以轻至 TeV，可以在对撞机直接寻找
- 与轻子有相当的耦合，轻子味破坏过程对其进行检验
- 中微子为Majorana粒子: 无中微子双beta衰变

总结

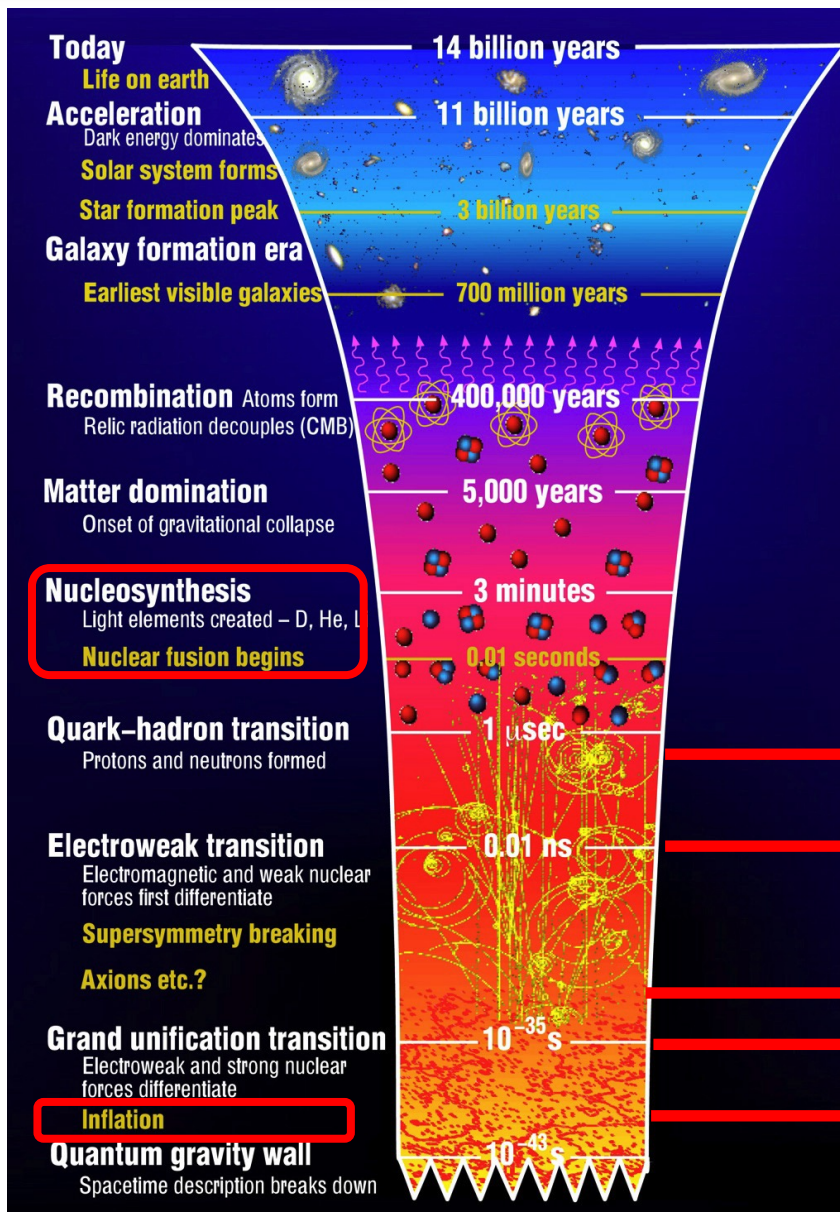
- **B-mesogenesis: B稀有衰变给出重味检验途径**
- **Type-I seesaw: local non-Gaussianity 和cosmological collider信号检验**
- **Type-II seesaw: 低标度可检验的轻子生成机制**

THANK YOU

重子不对称性何时产生？

不能晚于原初核合成，否则元素丰度不一致

不能早于暴胀，因为宇宙在很短时间内膨胀了 e^{60} 倍，任何早期的不对称性都变的极小



B-mesogenesis

EW Baryogenesis

Leptogenesis

GUT Baryogenesis

Baryogenesis from inflation

第二类跷跷板机制

$$\begin{aligned}
 V(H, \Delta) = & -m_H^2 H^\dagger H + \lambda_H (H^\dagger H)^2 + m_\Delta^2 \text{Tr}(\Delta^\dagger \Delta) + \lambda_1 (H^\dagger H) \text{Tr}(\Delta^\dagger \Delta) \\
 & + \lambda_2 (\text{Tr}(\Delta^\dagger \Delta))^2 + \lambda_3 \text{Tr}(\Delta^\dagger \Delta)^2 + \lambda_4 H^\dagger \Delta \Delta^\dagger H \\
 & + [\mu (H^T i\sigma^2 \Delta^\dagger H) + h.c.] + \dots
 \end{aligned}$$

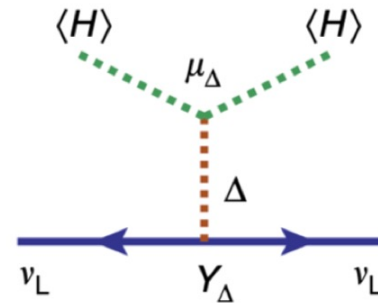
U(1)_L breaking term

$$\langle \Delta^0 \rangle \simeq \frac{\mu v_{\text{EW}}^2}{2m_\Delta^2}$$

EW precision measurement

$$\mathcal{O}(1) \text{ GeV} > |\langle \Delta^0 \rangle| \gtrsim 0.05 \text{ eV}$$

required by neutrino masses



$$M_\nu = \langle H \rangle^2 Y_\Delta \mu_\Delta / M_\Delta^2$$

B-mesogenesis

The baryon asymmetry is related to the B meson decay rate into baryon+invi and B meson oscillation CP violation A_{SL}^q

$$Y_B \simeq 8.7 \times 10^{-11} \frac{\text{Br}(B \rightarrow \psi + \mathcal{B} + \mathcal{M})}{10^{-2}} \sum_q \alpha_q \frac{A_{\text{SL}}^q}{10^{-4}}$$

$$A_{\text{SL}}^q = \text{Im} \left(\frac{\Gamma_{12}^q}{M_{12}^q} \right) = \frac{\Gamma(\bar{B}_q^u \rightarrow B_q^0 \rightarrow f) - \Gamma(B_q^0 \rightarrow \bar{B}_q^u \rightarrow \bar{f})}{\Gamma(\bar{B}_q^0 \rightarrow B_q^0 \rightarrow f) + \Gamma(B_q^0 \rightarrow \bar{B}_q^0 \rightarrow \bar{f})}$$

SM prediction:

$$A_{\text{SL}}^d|_{\text{SM}} = (-4.7 \pm 0.4) \times 10^{-4}$$

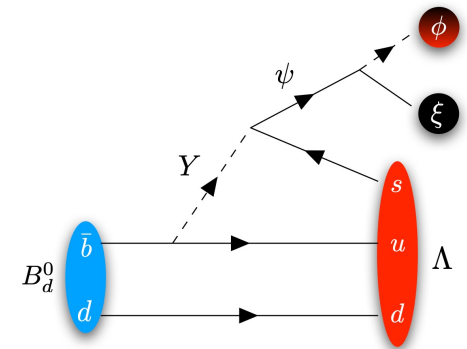
$$A_{\text{SL}}^s|_{\text{SM}} = (2.1 \pm 0.2) \times 10^{-5}$$

EXP:

$$A_{\text{SL}}^d = (-2.1 \pm 1.7) \times 10^{-3}$$

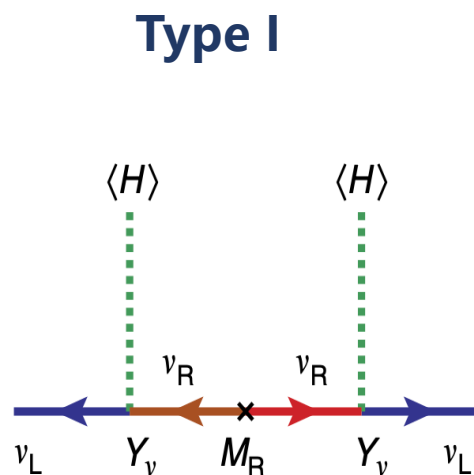
$$A_{\text{SL}}^s = (-0.6 \pm 2.8) \times 10^{-3}$$

- SM CKM is enough to provide the CP violation, but
- B meson decay into baryon+invisible (BaBar, Belle, LHCb)
- Measurement of the CP violation of B meson is important



跷跷板机制

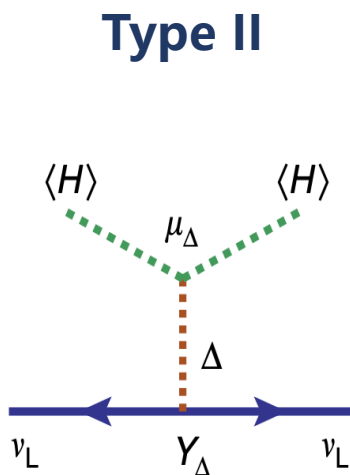
为了解释中微子质量，必然引入新的粒子



$$M_\nu = -\langle H \rangle^2 Y_\nu M_R^{-1} Y_\nu^T$$

SM+3 singlets fermions

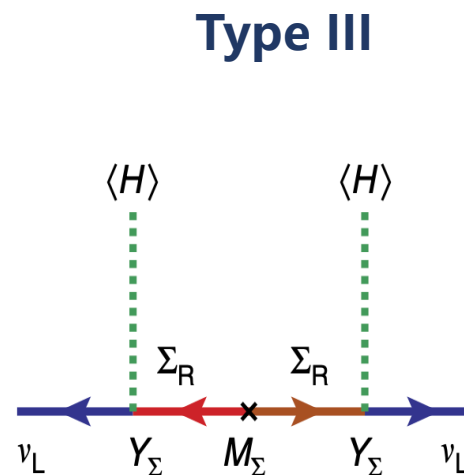
Minkowski, Gell-Mann,
Glashow, Yanagida



$$M_\nu = \langle H \rangle^2 Y_\Delta \mu_\Delta / M_\Delta^2$$

SM+1 triplet Higgs

Magg, Wetterich



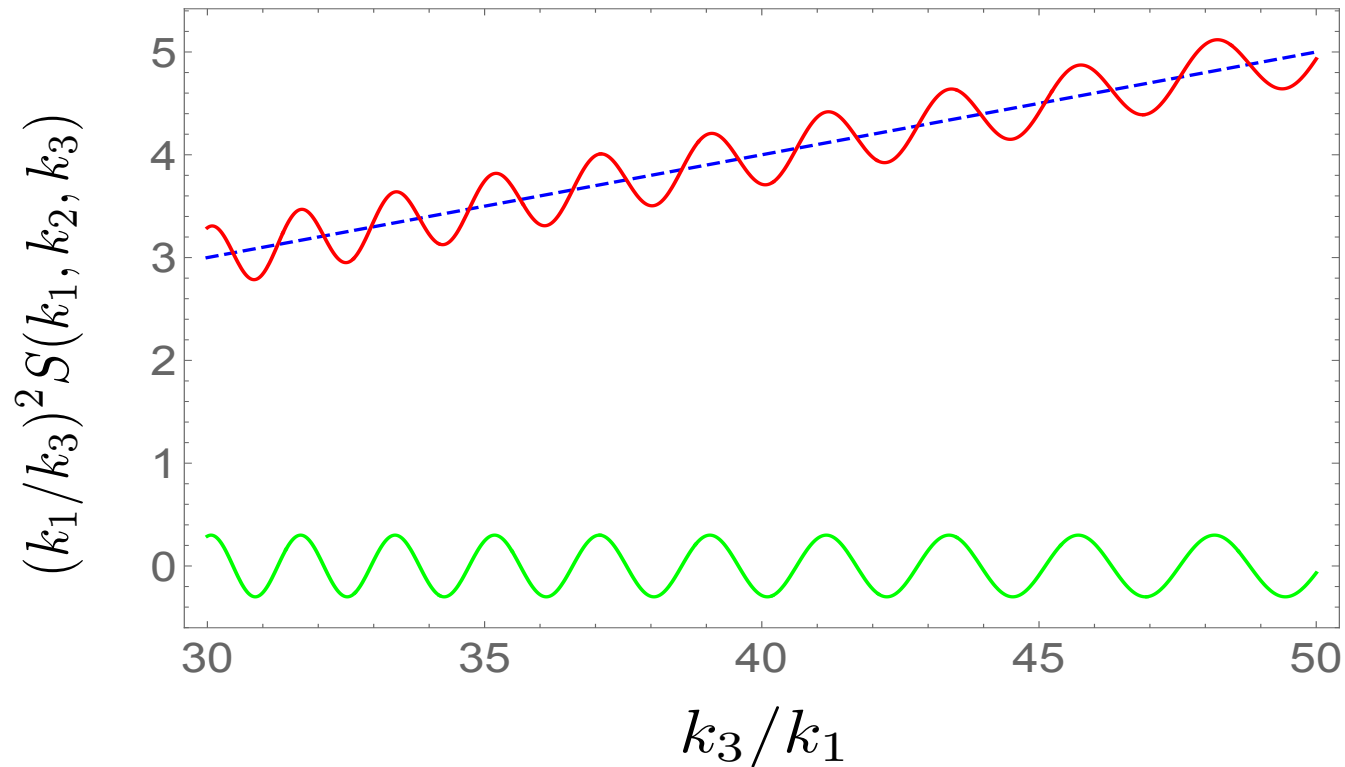
$$M_\nu = -\langle H \rangle^2 Y_\Sigma M_\Sigma^{-1} Y_\Sigma^T$$

SM+3 triplet fermions

Foot, Lew, He, Joshi

引入的新粒子可以在宇宙早期会热退耦——脱离热平衡条件

Complementarity of the Two Signatures



- **Local-type non-Gaussianity probes reheating dynamics induced by the seesaw sector**
- **The cosmological-collider signal probes the heavy RH-neutrino mass more directly**
- **Together, they provide complementary cosmological tests of the seesaw mechanism**