

Physics Observables for Small x

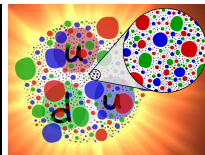
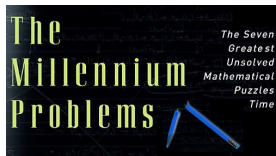
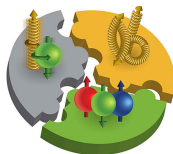
Bo-Wen Xiao

The Chinese University of Hong Kong, Shenzhen

July 6-9, 2026



Emergent Phenomena in QCD

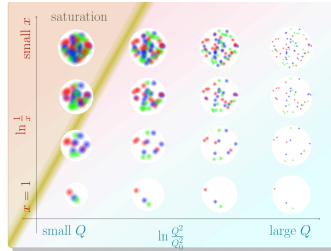
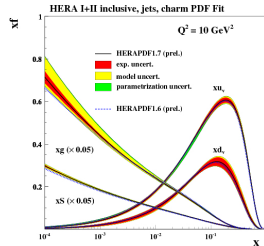


- How does the spin of proton arise from quarks and gluons? (**Spin puzzle**)
- What are the **emergent properties** of **dense gluon** system?
- How does proton mass arise? **Mass gap**: **million dollar** question.
- How does gluon bind quarks and gluons inside proton?
- We need the **3D information** of the quark and gluon inside the proton!



Saturation Physics (Color Glass Condensate)

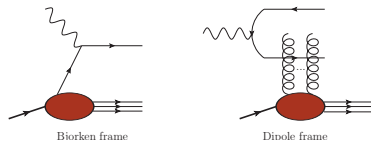
Describe **Emergent Phenomenon** of the ultra-dense QCD cold matter.



- Gluon density grows rapidly as x gets small. BFKL evolution!
- Resummation of the $\alpha_s \ln \frac{1}{x} \Rightarrow$ **BFKL equation**.
- Many gluons with fixed size packed in a confined hadron, gluons **overlap and recombine** $\Rightarrow$ **Non-linear QCD dynamics (BK/JIMWLK)** $\Rightarrow$ **ultra-dense gluonic matter**
- Saturation = **Multiple Scattering** (MV model) + **Small- x (high energy) evolution**



Dual Descriptions of Deep Inelastic Scattering



Bjorken frame

$$F_2(x, Q^2) = \sum_q e_q^2 x [f_q(x, Q^2) + f_{\bar{q}}(x, Q^2)] .$$

Dipole frame [A. Mueller, 01; Parton Saturation-An Overview]

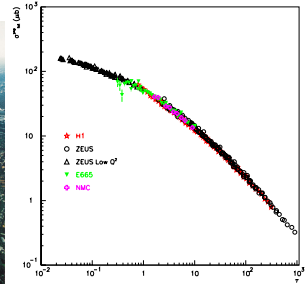
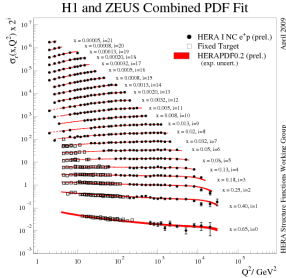
$$F_2(x, Q^2) = \sum_f e_f^2 \frac{Q^2}{4\pi^2 \alpha_{\text{em}}} S_{\perp} \int_0^1 dz \int d^2 r_{\perp} |\psi(z, r_{\perp}, Q)|^2 [1 - S^{(2)}(Q_s r_{\perp})]$$

- **Bjorken**: partonic picture is manifest. Saturation shows up as limit of number density.
- **Dipole**: the partonic picture is no longer manifest. Saturation appears as the unitarity limit for scattering. Convenient to resum the multiple gluon interactions.



Geometrical Scaling in DIS

[Golec-Biernat, Stasto, Kwiecinski; 01, Munier, Peschanski, 03]

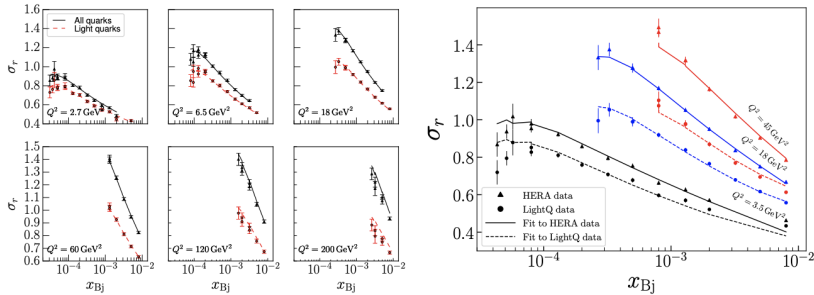


- Define $Q_s^2(x) = (x_0/x)^\lambda \text{GeV}^2$ with $x_0 = 3.04 \times 10^{-3}$ and $\lambda = 0.288$. All low- x data with $x \leq 0.01$ and $Q^2 \leq 450 \text{GeV}^2$ is function of a single variable $\tau = Q^2/Q_s^2$.



NLO CGC meets HERA data

[Beuf, Hänninen, T. Lappi, and H. Mäntysaari, 20]



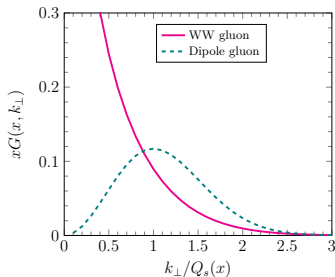
- Dipole-amplitude fits to HERA inclusive data using the full NLO impact factor combined with an improved BK evolution. Robust predictions for future EIC data.
- The needs for extension to heavy quark case at NLO. [Beuf, Lappi, Paatelainen, 22]



A Tale of Two Gluon Distributions¹

In small- x physics, there are two gluon distributions:[Kharzeev, Kovchegov, Tuchin; 03]

- I. **Weizsäcker Williams** gluon distribution([Kovchegov, Mueller, 98] and **MV model**)
- II. **Color Dipole** gluon distributions: (known for many years)



- In MV model, these two gluon distributions are different.
- Same perturbative tail when $k_{\perp} \gg Q_s$.
“A Tale of Two Gluon Distributions” $\Rightarrow$
“A **Tail** of Two Gluon Distributions” [B. Zajc]
- Integrated gluon numbers are the same ($AxG_N(x)$)
indicating number conservation, thus no saturation.
- Which gluon distribution to use in a given process?
- Why are there exactly two gluon distributions?
Generalized Universality

¹From Y. Kovchegov and C. Dickens.



A Tale of Two Gluon Distributions

In terms of operators (TMD def. [Bomhof, Mulders and Pijlman, 06]), two **gauge invariant** gluon definitions: [Dominguez, Marquet, Xiao and Yuan, 11]

I. **Weizsäcker Williams** gluon distribution: **conventional gluon distributions**

$$xG_{\text{WW}}(x, k_{\perp}) = 2 \int \frac{d\xi^- d\xi_{\perp}}{(2\pi)^3 P^+} e^{ixP^+ \xi^- - ik_{\perp} \cdot \xi_{\perp}} \text{Tr} \langle P | F^{+i}(\xi^-, \xi_{\perp}) \mathcal{U}^{[+]\dagger} F^{+i}(0) \mathcal{U}^{[+]} | P \rangle.$$

II. **Color Dipole** gluon distributions:

$$xG_{\text{DP}}(x, k_{\perp}) = 2 \int \frac{d\xi^- d\xi_{\perp}}{(2\pi)^3 P^+} e^{ixP^+ \xi^- - ik_{\perp} \cdot \xi_{\perp}} \text{Tr} \langle P | F^{+i}(\xi^-, \xi_{\perp}) \mathcal{U}^{[-]\dagger} F^{+i}(0) \mathcal{U}^{[+]} | P \rangle.$$

■ **Modified Universality** for Gluon Distributions: $\times \Rightarrow$ Do Not Appear. $\checkmark \Rightarrow$ Appear.

	Inclusive	Single Inc	DIS dijet	γ +jet	dijet in pA
xG_{WW}	$\times$	$\times$	$\checkmark$	$\times$	$\checkmark$
xG_{DP}	$\checkmark$	$\checkmark$	$\times$	$\checkmark$	$\checkmark$

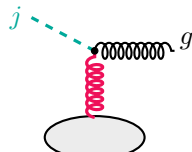
■ From CGC perspective, **Complementary physics missions** in pA and eA collisions.



How to Probe Gluons in the Color Glass Condensate?

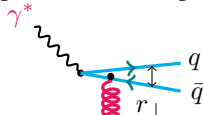
Gedanken probe
color-singlet current

$$j = -\frac{1}{4}F^{\mu\nu}F_{\mu\nu}$$



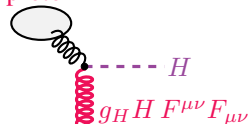
CGC target
current strikes
a gluon out

DIS realization
virtual photon $\Rightarrow$ a compact dipole



CGC target
keep $q\bar{q}$
close together

Higgs-like probe
 H similar to F^2
proton

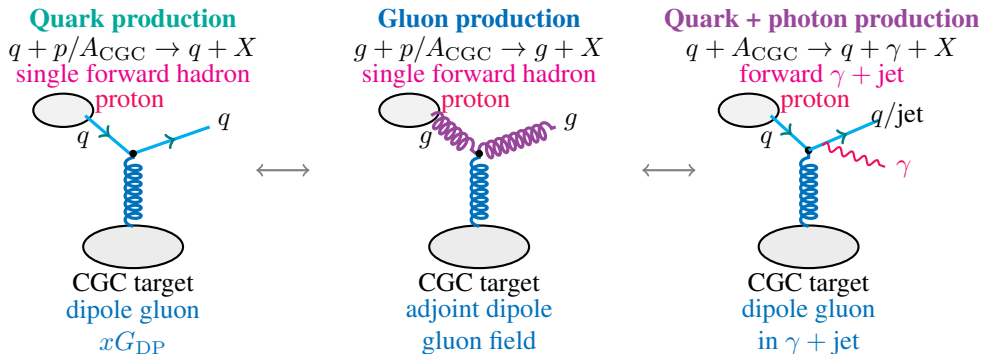


CGC target
Higgs gets k_T
from gluons

- A scalar current $j \sim F^{\mu\nu}F_{\mu\nu}$ is an idealized direct probe of the gluon field.
- In DIS, γ^* first splits into a compact $q\bar{q}$ dipole as a probe to CGC gluon field.
- Higgs production is analogous due to the effective Higgs-gluon coupling $H F^{\mu\nu}F_{\mu\nu}$.



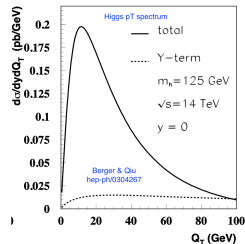
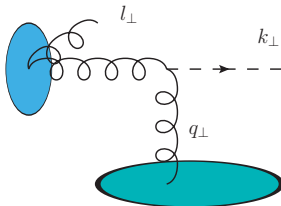
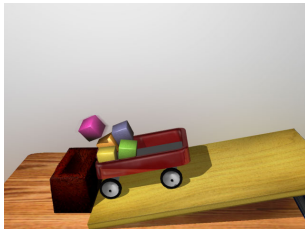
How to Probe Gluons in the Color Glass Condensate?



- Forward Probes (q/g) of the Dipole Gluon Distribution
- The dense target is described by dipole Wilson correlators; probes **dipole gluon**.
- Either prompt photon or photon + jet correlation. [Jalilian-Marian, Gelis, 02; Jalilian-Marian, Rezaeian, 12; Stasto, Xiao, Zaslavsky, 12]



Sudakov effects (parton showers in MC generators) 101



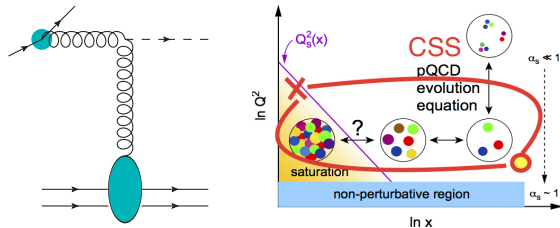
- When an energetic gluon is annihilated to create the **color neutral** and **heavy** Higgs particle, **all other gluons in its wave function due to inertia are forced to be produced**.
- Sudakov factor $S \equiv e^{-\mathcal{S}_{\text{sud}}} \simeq 1 - \mathcal{S}_{\text{sud}}$ is the probability of less than $k_{\perp}$.
- Use prob conservation $S + T = 1$ with T the prob of radiation $l_{\perp} > k_{\perp}$

$$T = \frac{\alpha_s N_c}{\pi} \int_{k_{\perp}^2}^{M^2} \frac{dl_{\perp}^2}{l_{\perp}^2} \int_{l_{\perp}^2/M^2}^1 \frac{d\xi}{\xi} = \frac{\alpha_s N_c}{2\pi} \ln^2 \frac{M^2}{k_{\perp}^2} \simeq \mathcal{S}_{\text{sud}}$$



Sudakov resummation in cold nuclear medium

[A. Mueller, BX and F. Yuan, 13] Multiple scales problem. $k_{\perp}^2 \ll Q^2 \sim M^2 \ll s$.



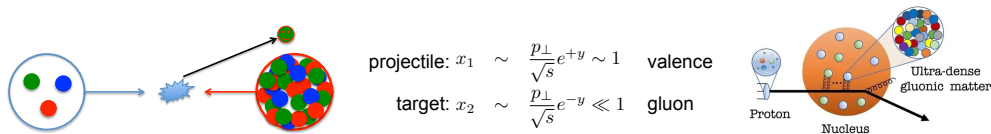
- The CSS equation resums **Sudakov logarithms** $\left[\frac{\alpha_s C_R}{2\pi} \ln^2 \frac{Q^2}{k_{\perp}^2} \right]^n + \dots$ with $Q^2 \gg k_{\perp}^2$
- Small- x evolution resums $\left[\frac{\alpha_s N_c}{2\pi} \ln \frac{1}{x} \right]^n$, with $x = \frac{Q^2}{s} \ll 1$
- Joint resummation of **Small- x** $\left[\frac{\alpha_s N_c}{2\pi} \ln \frac{1}{x} \right]^n$ and **Sudakov factor** $\left[\frac{\alpha_s C_R}{2\pi} \ln^2 \frac{Q^2}{k_{\perp}^2} \right]^n$ logs.



Forward hadron production in pA collisions

[Dumitru, Jalilian-Marian, 02] Dilute-dense factorization at forward rapidity

$$\frac{d\sigma_{\text{LO}}^{pA \rightarrow hX}}{d^2p_{\perp} dy_h} = \int_{\tau}^1 \frac{dz}{z^2} \left[x_1 q_f(x_1, \mu) \mathcal{F}_{x_2}(k_{\perp}) D_{h/q}(z, \mu) + x_1 g(x_1, \mu) \tilde{\mathcal{F}}_{x_2}(k_{\perp}) D_{h/g}(z, \mu) \right].$$

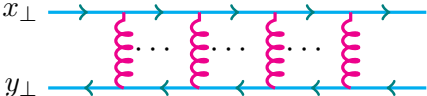


- Use proton (deuteron) to probe the dense gluon in the heavy nuclei target.
- Proton: Collinear PDFs and FFs (**Strongly depends on μ^2**).; Nucleus: Small- x gluon!
- Full NLO + Resummation CGC: [Chirilli, BX and Yuan, 12; Stasto, Xiao, Zaslavsky, 14; Shi, Wang, Wei, Xiao, 22]



Wilson Lines in Color Glass Condensate Formalism

The Wilson loop (**color singlet dipole**) in McLerran-Venugopalan (MV) model

$$\frac{1}{N_c} \langle \text{Tr} U(x_\perp) U^\dagger(y_\perp) \rangle = e^{-\frac{Q_s^2(x_\perp - y_\perp)^2}{4}}$$


- Dipole amplitude $S^{(2)}$ produces quark k_T spectrum $\frac{dN}{d^2k_\perp}$ via Fourier transform

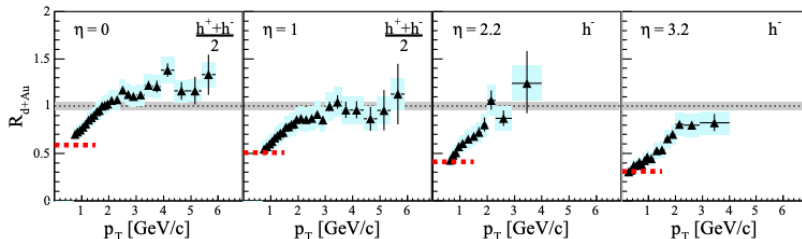
$$\mathcal{F}(k_\perp) = \int \frac{d^2x_\perp d^2y_\perp}{(2\pi)^2} e^{-ik_\perp \cdot (x_\perp - y_\perp)} \frac{1}{N_c} \langle \text{Tr} U(x_\perp) U^\dagger(y_\perp) \rangle \propto \frac{1}{\pi Q_s^2} e^{-k_\perp^2 / Q_s^2}.$$

- Higher point correlators such as quadrupole, etc.



d+Au collisions at RHIC

$$R_{d+Au} = \frac{1}{\langle N_{\text{coll}} \rangle} \frac{d^2 N_{d+Au} / d^2 p_T d\eta}{d^2 N_{pp} / d^2 p_T d\eta}$$



BRAHMS

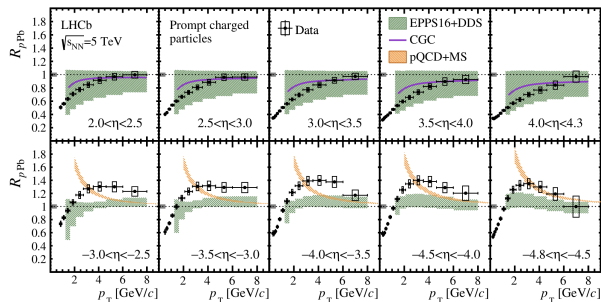
- Cronin effect at middle rapidity ($\downarrow$ in low p_T and $\uparrow$ at high p_T)
- Rapidity evolution of the nuclear modification factors R_{d+Au}
- Promising evidence for gluon saturation effects



New LHCb Results

[R. Aaet al. (LHCb Collaboration), Phys. Rev. Lett. 128 (2022) 142004]

$$R_{pPb} = \frac{1}{\langle N_{\text{coll}} \rangle} \frac{d^2 N_{p+Pb} / d^2 p_T d\eta}{d^2 N_{pp} / d^2 p_T d\eta}$$



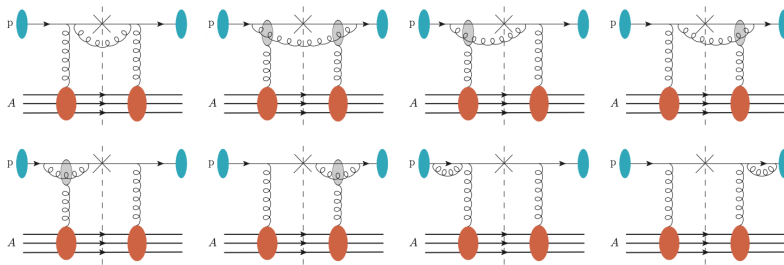
- Forward rapidity:
Nuclear effects
Small- x suppression
- Backward rapidity:
Cronin enhancement

- Rapidity evolution of the nuclear modification factors R_{pPb} similar to RHIC



NLO diagrams in the $q \rightarrow q$ channel

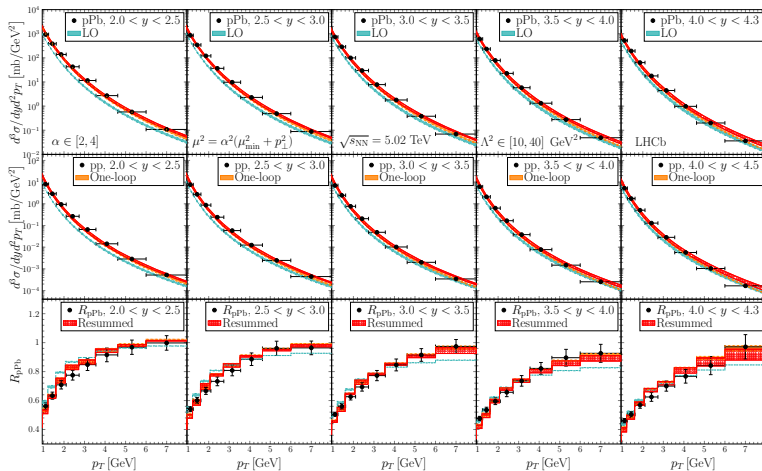
[Chirilli, BX and Yuan, 12; Shi, Wang, Wei, Xiao, 21]



- Take into account real (top) and virtual (bottom) diagrams together!
- Non-linear multiple interactions inside the grey blobs!
- Integrate over gluon phase space $\Rightarrow$ Rapidity and Collinear Divergences!.



Comparison with the new LHCb data

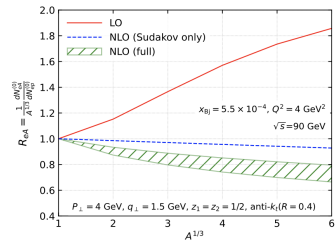
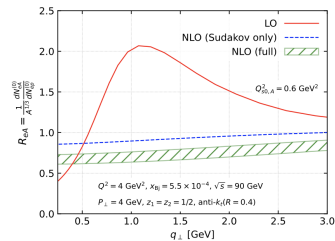
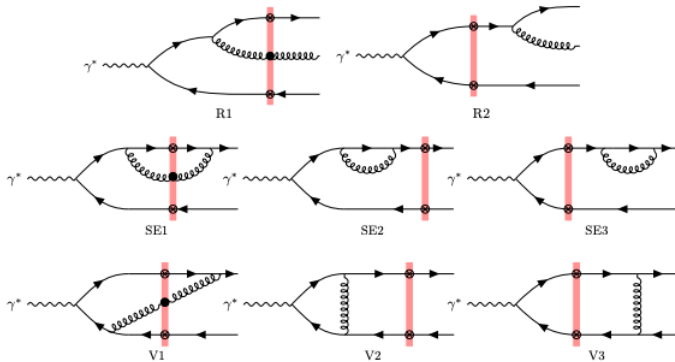


- LHCb data:
2108.13115
- LO and NLO
Predictions from
CGC. [Shi, Wang,
Wei, Xiao, 21]
- Consistent rapidity
evolution of the
suppression R_{pPb} .



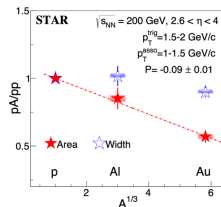
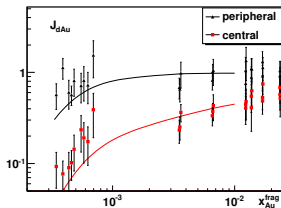
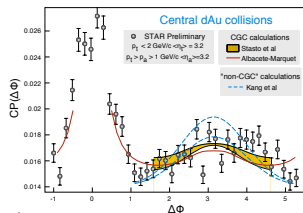
Reliable NLO DIS dijets

[Caucal, Salazar, Schenke, Stebel and Venugopalan, 2304.03304;
2308.00022]; [Tael, Altinoluk, Beuf and Marquet, 2204.11650]



Dihadron correlations in dAu collisions

$$C(\Delta\phi) = \frac{\int_{|p_{1\perp}|, |p_{2\perp}|} \frac{d\sigma^{pA \rightarrow h_1 h_2}}{dy_1 dy_2 d^2p_{1\perp} d^2p_{2\perp}}}{\int_{|p_{1\perp}|} \frac{d\sigma^{pA \rightarrow h_1}}{dy_1 d^2p_{1\perp}}} \quad J_{dA} = \frac{1}{\langle N_{\text{coll}} \rangle} \frac{\sigma_{dA}^{\text{pair}} / \sigma_{dA}}{\sigma_{pp}^{\text{pair}} / \sigma_{pp}}$$



Comparing to STAR and PHENIX data

- CGC calculation [Marquet, 09; Marquet, Albacete, 10; Stasto, BX, Yuan, 11]
- Di-hadron correlations in pp and pA collisions at STAR. ([Xiaoxuan Chu])

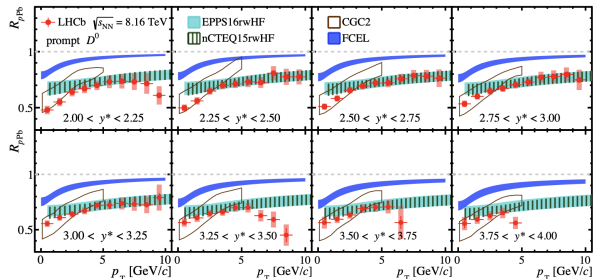
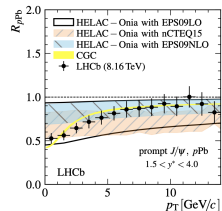
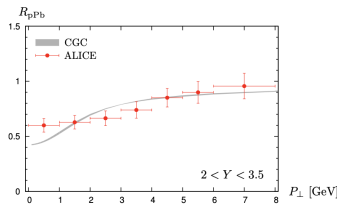
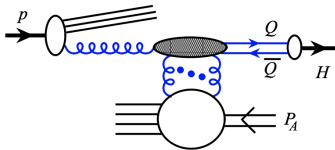


Forward Heavy Meson Results from LHC

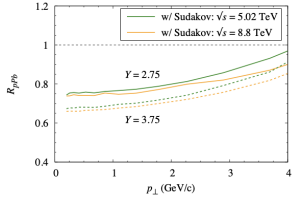
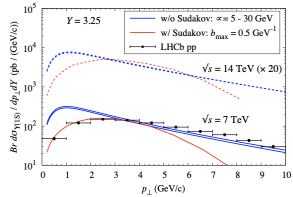
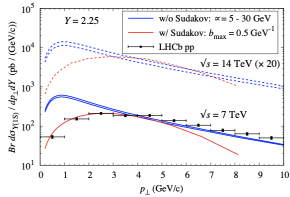
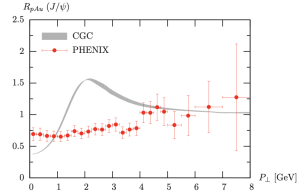
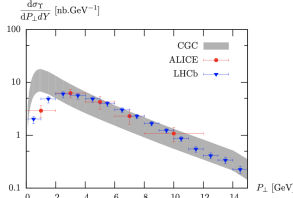
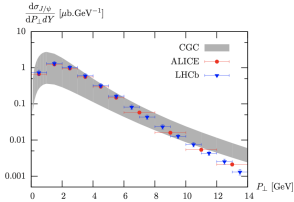
- [LHCb Collaboration, 1706.07122; 2205.03936]

Forward rapidity: Small- x suppression; Rapidity evolution similar to RHIC;

- [Qiu, Sun, Xiao, Yuan, 13; Ducloue, Lappi, and Mansysaari, 16]



Comparing J/Ψ and Υ spectrum

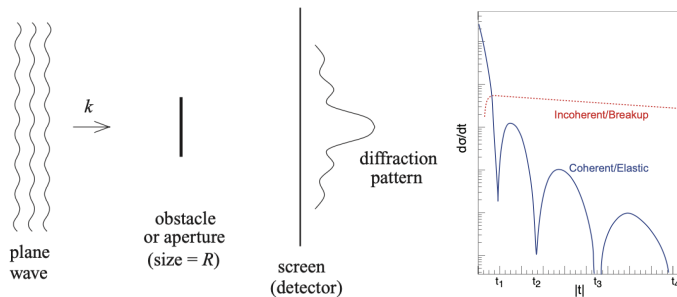


- [Ducloue, Lappi, and Mansysaari, 15] Υ has higher p_T than J/Ψ . Need Sudakov!
- [Watanabe, Xiao, 15] Sudakov explains spectra and R_{pA} . Similar to NLO dijet.



An analogy to Fraunhofer Diffraction in Optics

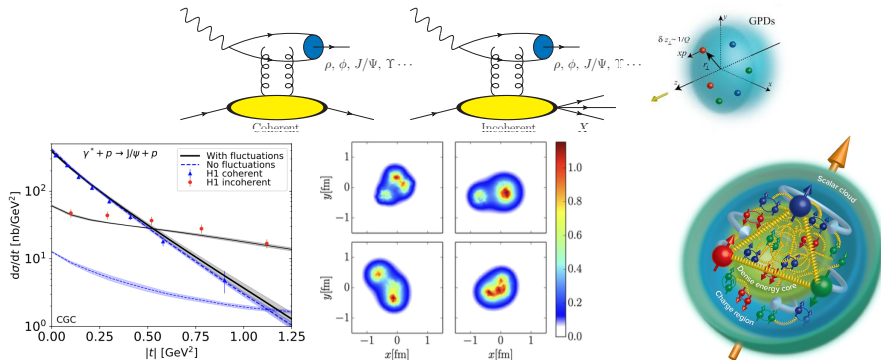
[QCD at high energy, Kovchegov and Levin, 12]



- Treat the hadron target in DIS as a black disk. [Joseph von Fraunhofer, 1821]
- Similar pattern in **optics** ($\theta_i^{\min} \sim 1/(kR)$) and high energy **QCD** $t_i \sim \frac{1}{R^2}$.
- Two difference: 1. σ sensitive to **gluon** distribution; 2. **Breakup** of the target.
- Use diffractive scattering to study gluon spatial distribution.



Diffractive vector meson production



■ Inco DVMP $\Rightarrow$ fluctuation. [Mantysaari, Schenke, 16; Mantysaari, Roy, Salazar, Schenke, 20]

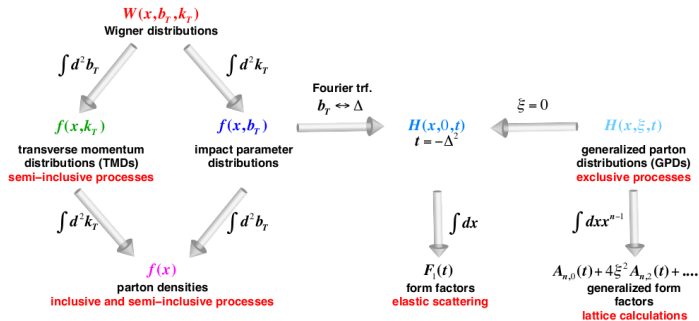
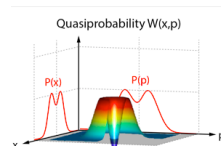
■ B. Duran, *et al.*, [► Nature 615 \(2023\) no.7954, 813-816.](#) A mass radius notably smaller than charge radius?

■ Probing gluon mass radius and GFF [Caldwell and Kowalski, 10; Hagiwara, Tong, Xiao, 24]



3D Tomography of Proton

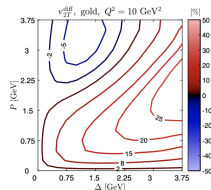
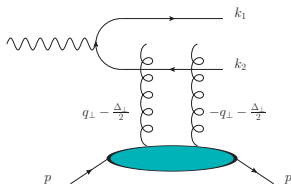
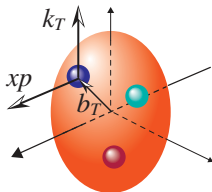
Wigner distributions [Belitsky, Ji, Yuan, 04]
ingeniously encode all quantum information
of how partons are distributed inside hadrons.



Can we measure Wigner distributions?

- Can we measure Wigner distribution/GTMD? **Yes, we can!**
- Diffractive back-to-back dijets in ep/eA collisions. [Hatta, Xiao, Yuan, 16] [▶ Link](#)
- Further predictions of asymmetries due to correlations.

$$xW_g^T(x, \vec{q}_\perp; \vec{b}_\perp) = x\mathcal{W}_g^T \quad \text{Symmetric part} \\ + 2\cos(2\phi)x\mathcal{W}_g^\epsilon + \dots \quad \text{Anisotropies}$$



- Study of the elliptic anisotropy. [Mäntysaari, Mueller, Salazar and Schenke, 20] [▶ Link](#)

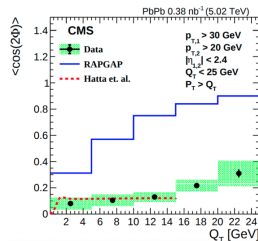
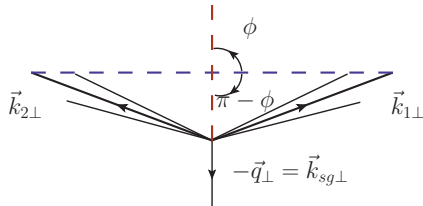


CMS: Dijet photoproduction in UPC (PbPb)

[Hatta, Xiao, Yuan, Zhou, 21] [▶ Link](#)

[CMS, arXiv:2205.00045] [▶ Link](#)

$$\gamma + \text{Pb} \rightarrow \text{Jet} + \text{Jet} + \text{Pb}$$



- 1 Large asymmetries between $q_{\perp} = \vec{k}_{1\perp} + \vec{k}_{2\perp}$ and difference $\vec{k}_{1\perp} - \vec{k}_{2\perp}$ observed!
- 2 Asymmetries due to **FS gluon radiations** [Hatta, Xiao, Yuan, Zhou, 21] [▶ Link](#)

$$g^2 \int \frac{d^3 k_g}{(2\pi)^3 2E_{k_g}} \delta^{(2)}(q_{\perp} + k_{g\perp}) C_F \frac{2k_1 \cdot k_2}{k_1 \cdot k_g k_2 \cdot k_g} = \frac{C_F \alpha_s}{\pi^2 q_{\perp}^2} [c_0^{\text{diff}} + 2 \cos(2\phi) c_2^{\text{diff}} + \dots].$$



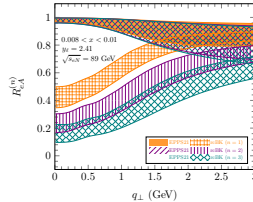
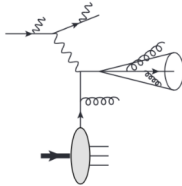
Harmonics of Parton Saturation in Lepton-Jet Correlations at EIC

[Tong, Xiao, Zhang, 23] [▶ Link](#) Use Fourier Harmonics to probe saturation

$$\frac{d^5\sigma(\ell P \rightarrow \ell' J)}{dy_\ell d^2P_\perp d^2q_\perp} = \sigma_0 \int \frac{b_\perp db_\perp}{2\pi} \sum_q e_q^2 x f_q(x, b_\perp) e^{-\text{SudP}}$$

$$\left[J_0(q_\perp b_\perp) + \sum_{n=1}^{\infty} 2 \cos(n\phi) \alpha_s \frac{C_F c_n(R)}{n\pi} J_n(q_\perp b_\perp) \right],$$

$$R_{eA}^{(n)} = \frac{\langle \cos n\phi \rangle_{eA}}{\langle \cos n\phi \rangle_{ep}}$$

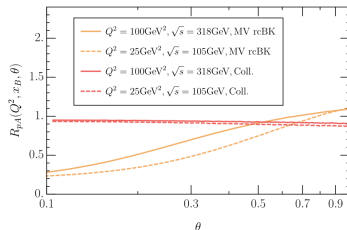
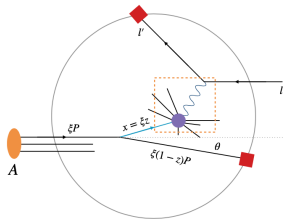


- Significant modification of the asymmetries $\Rightarrow$ **compelling evidence for saturation.**
- Potential decisive evidence for saturation. (Nuclear PDF effects cancelled out)



Nucleon Energy Correlator as an Inclusive Probe of Saturation

[H.-Y. Liu, X. Liu, Pan, Yuan, Zhu, 23] [▶ Link](#) Measure forward energy flow in DIS

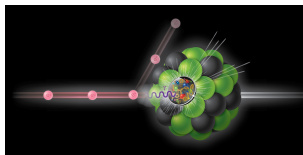
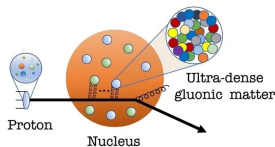


- Weighted DIS observable: $\Sigma(Q^2, x_B, \theta) = \sum_i \int d\sigma \frac{E_i}{E_A} \delta(\theta^2 - \theta_i^2)$.
- Collinear expectation: $\theta^2 \Sigma \simeq \text{flat}$. VS CGC expectation: suppressed for $Q\theta \lesssim Q_s$.
- The turning point gives $Q_s \sim Q\theta_{\text{turn}}$, with stronger suppression for nuclei.

DIS-like inclusive observable sensitive to intrinsic $q_\perp \sim Q_s$.



Summary: From Hints to Precision Tests of Saturation



- Low- x QCD probes an emergent state of dense gluons:
Gluon growth $\Rightarrow$ Recombination $\Rightarrow$ Saturation.
- Level I: evidence consistent with saturation
 - HERA geometric scaling and small- x DIS fits;
 - RHIC/LHC forward suppression and rapidity evolution of R_{pA} ;
 - Diffractive vector meson measurements.
- Level II: more discriminating tests at EICs
 - Measure saturation broadening/decorrelation in dijets, lepton-jets, and NEEC;
 - New quantum observables such as Entanglement, etc.
- Goal: establish nonlinear small- x QCD through quantitative, multi-observable tests.

