

UV-HEFT Matching

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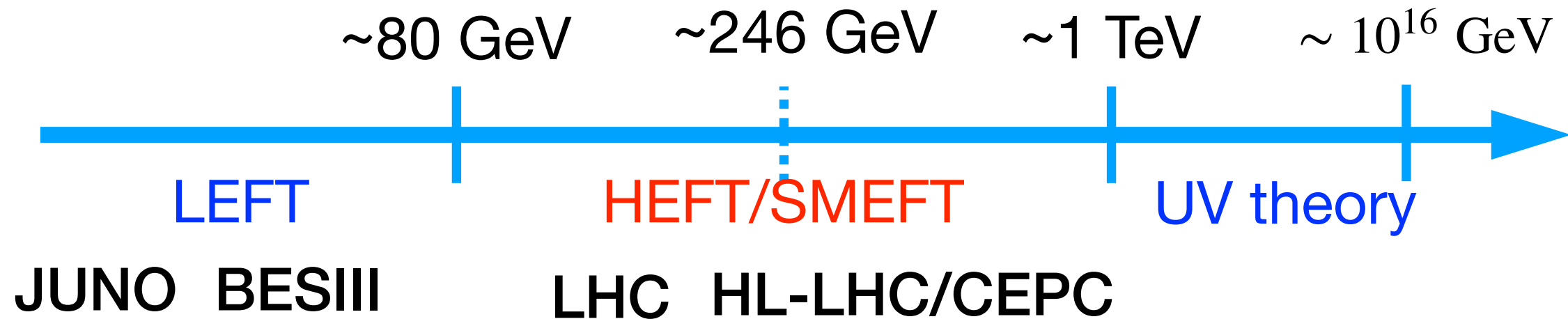
2412.00355(*JHEP* 06 (2025) 021), 2503.00707(*JHEP* 06
(2025) 249), 2602.14418(*PRD* 114 (2026) 1,015013),
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TeV物理和超出标准模型新物理分会场

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Effective Field Theory (EFT) for New Physics



- A systematic framework to describe the low-energy effects of new physics.
- Heavy degrees of freedom are integrated out via [matching](#).
- New-physics effects are encoded in higher-dimensional operators.
- Different energy scales are described by different EFTs, each probed by corresponding experiments.
- Enables model-independent searches for new physics.

SMEFT v.s. HEFT

- SMEFT, linear realization

● Decoupling

● Symmetric phase

$$H = \frac{1}{\sqrt{2}} \begin{pmatrix} G^+ \\ v + h + iG^0 \end{pmatrix}, \mathcal{L}_{\text{SMEFT}} \supset \frac{1}{2} D_\mu H^\dagger D^\mu H + \frac{m^2}{2} H^\dagger H - \lambda (H^\dagger H)^2 + \frac{C_H}{\Lambda^2} (H^\dagger H)^3 + \dots$$

- HEFT, nonlinear realization

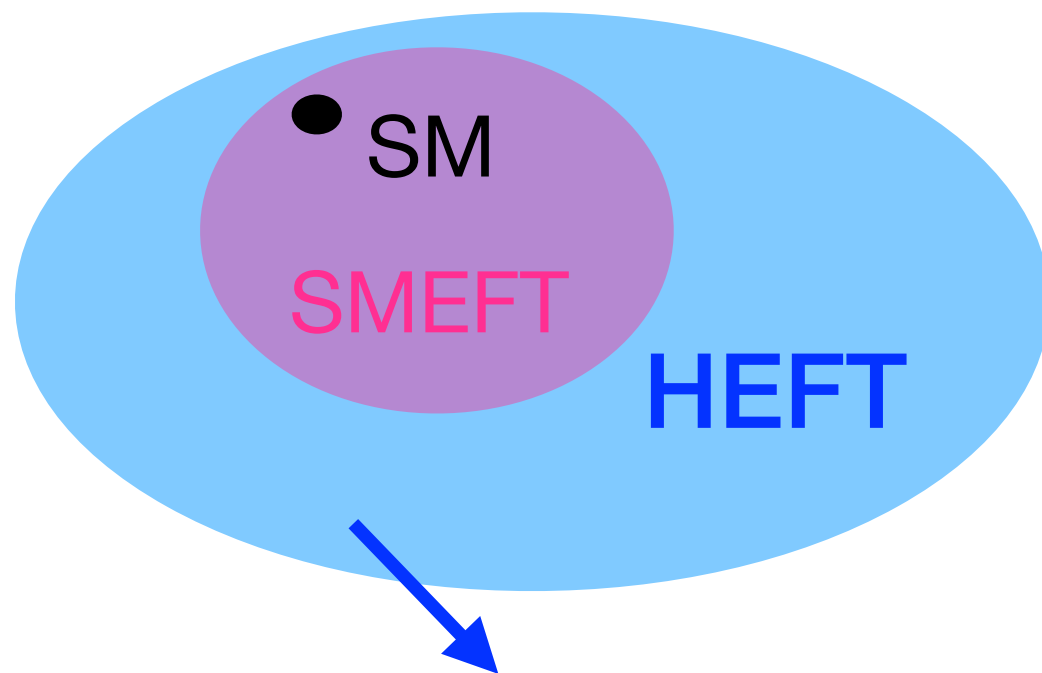
● Non-decoupling

● Broken phase

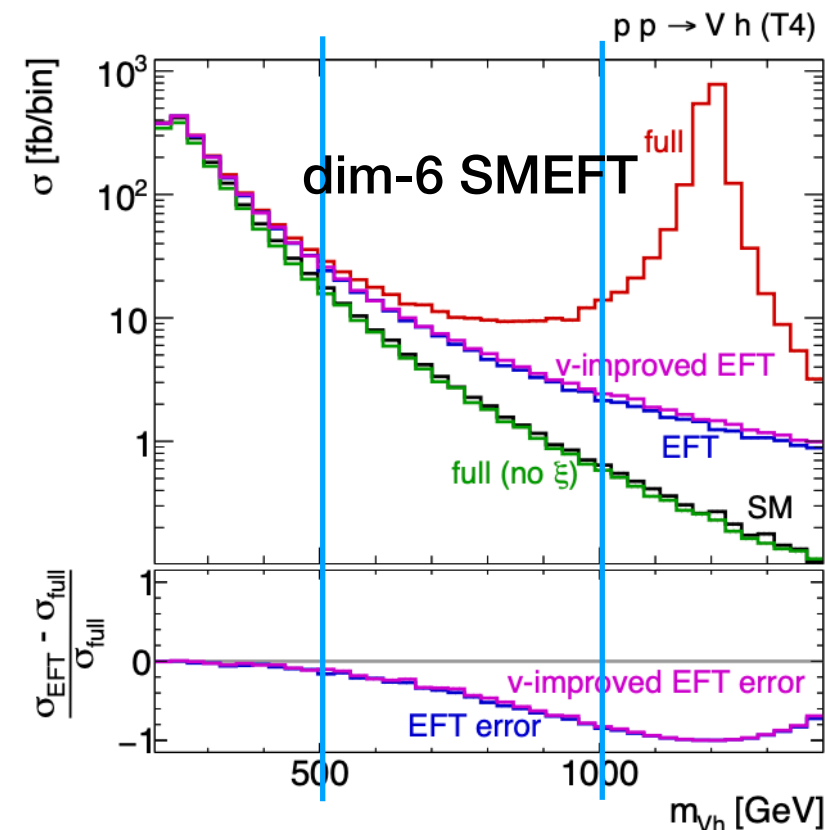
$$h, U \equiv \exp\left(\frac{i\pi_i \sigma_i}{v_{\text{EW}}}\right), \mathcal{L}_{\text{HEFT}}^{\text{LO}} \supset \frac{1}{2} D_\mu h D^\mu h - V(h) + \frac{v_{\text{EW}}^2}{4} F(h) \text{Tr}(D_\mu U^\dagger D^\mu U) + \dots$$

$$V(h) = \frac{1}{2} m_h^2 h^2 \left[1 + (1 + \Delta\kappa_3) \frac{h}{v_{\text{EW}}} + \dots \right], F(h) = 1 + 2(1 + \Delta a) \frac{h}{v_{\text{EW}}} + \dots$$

R. Alonso, E. Jenkins, A. Manohar [1511.00724, 1605.03602]



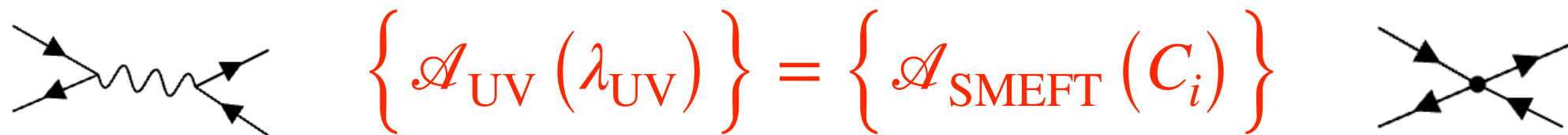
Non-decoupling



UV-EFT matching

$$\mathcal{L}_{\text{UV}} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{\text{BSM}}(\phi_{\text{SM}}, \Phi_{\text{BSM}}) \longleftrightarrow \mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i C_i \mathcal{O}_i(\phi_{\text{SM}})$$

- Diagrammatic approach (amplitude)

$$\left\{ \mathcal{A}_{\text{UV}}(\lambda_{\text{UV}}) \right\} = \left\{ \mathcal{A}_{\text{SMEFT}}(C_i) \right\}$$


The diagram shows two Feynman diagrams. On the left, a UV diagram with two incoming fermion lines (arrows pointing right) and two outgoing fermion lines (arrows pointing left), connected by a wavy line representing a photon or gluon. On the right, an SMEFT diagram with the same external lines, but connected by a four-point contact interaction represented by a central black dot.

- Need to know $\mathcal{O}_i$ in advance

- Functional method (action)

$$\Gamma_{\text{UV},1\text{LPI}}[\phi_{\text{SM}}] = \Gamma_{\text{EFT},1\text{PI}}[\phi_{\text{SM}}]$$

- Less intuitive

- Systematic operator generation

Fuentes-Martín, König, Pagès, Thomsen & Wilsch, *Matchete*, 2212.04510

- Automation-friendly

Brian Henning, Xiaochuan Lu, and Hitoshi Murayama, 1412.1837

Aleksandra Drozd, John Ellis, Jérémie Quevillon and Tevong You, 1512.03003

Timothy Cohen,¹ Xiaochuan Lu,¹ and Zhengkang Zhang, 2012.07851

Complication of UV-HEFT matching

in functional method

- While non-linear structure meets non-zero VEVs

$$\mathcal{L} \supset (D_\mu H)^\dagger (D^\mu H) + \langle D_\mu \Sigma^\dagger D^\mu \Sigma \rangle - V_S - V_Q, \quad \text{The Real Triplet Model}$$

$$V_S = Y_1^2 H^\dagger H + Z_1 (H^\dagger H)^2 + Y_2^2 \langle \Sigma^\dagger \Sigma \rangle + Z_2 \langle \Sigma^\dagger \Sigma \rangle^2 + Z_3 H^\dagger H \langle \Sigma^\dagger \Sigma \rangle + 2Y_3 H^\dagger \Sigma H,$$

$$H = \begin{pmatrix} G^+ \\ \frac{1}{\sqrt{2}}(v_H + h + iG^0) \end{pmatrix}, \Sigma = \frac{1}{2} \Sigma_i \sigma_i = \frac{1}{2} \begin{pmatrix} v_\Sigma + \Sigma^0 & \sqrt{2} \Sigma^+ \\ \sqrt{2} \Sigma^- & -v_\Sigma - \Sigma^0 \end{pmatrix}, i = 1, 2, 3$$

$$U \equiv \exp \left(\frac{i \pi_i \sigma_i}{v_{EW}} \right)$$

- The broken phase $\{Z_1, Z_2, Z_3, Y_1, Y_2, Y_3\}$
 $\{m_h, m_K, m_{\phi^\pm}, s_\gamma, v_H, v_\Sigma\}$

**Many effective parameters after symmetry breaking,
e.g. multiple scalar masses, mixing angles.**

$\Rightarrow$ Multiple power-counting schemes.

Is HEFT unique? [S. Dawson et al, 2311.16897]

Non-linear Representation

The Real Triplet Higgs Model (has an extra VEV v_Σ)

$$H = \begin{pmatrix} G^+ \\ \frac{1}{\sqrt{2}}(v_H + h + iG^0) \end{pmatrix}, \Sigma = \frac{1}{2}\Sigma_i\sigma_i = \frac{1}{2}\begin{pmatrix} v_\Sigma + \Sigma^0 & \sqrt{2}\Sigma^+ \\ \sqrt{2}\Sigma^- & -v_\Sigma - \Sigma^0 \end{pmatrix}, i = 1, 2, 3$$

7 d.o.f

→
$$H = U \frac{1}{\sqrt{2}} \begin{pmatrix} \chi^\pm \\ v_H + h^0 + i\chi^0 \end{pmatrix}, \quad \Sigma = U\Phi U^\dagger, \quad \Phi = \frac{1}{2}\phi_i\sigma_i = \frac{1}{2}\begin{pmatrix} v_\Sigma + \phi^0 & \sqrt{2}\phi^+ \\ \sqrt{2}\phi^- & -v_\Sigma - \phi^0 \end{pmatrix}$$

$$D_\mu H^\dagger D^\mu H \supset v_H \epsilon_{3jk} D_\mu \chi_j D^\mu \pi_k / (2v_{EW})$$

$$\langle D_\mu \Sigma^\dagger D^\mu \Sigma \rangle \supset -v_\Sigma \epsilon_{3jk} D_\mu \phi_j D^\mu \pi_k$$

→ $\chi^\pm = 2 \frac{v_\Sigma}{v_H} \phi^\pm, \chi^0 = 0$

U matrix is separated from heavy scalars.

H. Song, XW, 2412.00355(JHEP 06 (2025) 021)

Goldstone modes could also be excised in “Unitary basis”. (Cohen, Craig, Lu, and Sutherland 2021)

Similar ideas in the 2HDM .(P. Ciafaloni and D. Espriu, hep-ph/9612383; S. Dittmaier, et al, 2022)

Power Countings

$$\mathcal{P} = \{m_{\phi^\pm}^2, m_K^2, m_h, v_{\text{EW}}, s_\gamma, \xi\}, \quad \xi = v_\Sigma/v_H$$

$$\mathcal{P}_{Z_2} = \{m_{\phi^\pm}^2, m_K^2, m_h, v_{\text{EW}}, Z_2, \xi\}$$

$$\mathcal{P}_\xi = \{Z_1, Z_2, Z_3, Y_3, v_H, \xi\}$$

$$\mathcal{P}_{Y_2} = \{Z_1, Z_2, Z_3, Y_1, Y_2, Y_3\}.$$

Power Countings

$$\mathcal{P} = \{m_{\phi^\pm}^2, m_K^2, m_h, v_{\text{EW}}, s_\gamma, \xi\}, \quad \xi = v_\Sigma/v_H$$

primary HEFT: $\sim 1/M^2$ expansion

Non-decoupling

pHEFT: $m_{\phi^\pm}^2 \sim m_K^2 \sim \mathcal{O}(t^{-1})$, $m_h \sim v_{\text{EW}} \sim s_\gamma \sim \xi \sim \mathcal{O}(t^0)$,

dHEFT: $m_{\phi^\pm}^2 \sim m_K^2 \sim \mathcal{O}(t^{-1})$, $m_h \sim v_{\text{EW}} \sim \mathcal{O}(t^0)$, $s_\gamma \sim \xi \sim \mathcal{O}(t^1)$.

Decoupling

$$\mathcal{P}_{Z_2} = \{m_{\phi^\pm}^2, m_K^2, m_h, v_{\text{EW}}, Z_2, \xi\}$$

Z_2 -HEFT: $m_{\phi^\pm}^2 \sim m_K^2 \sim \mathcal{O}(t^{-1})$, $m_h \sim v_{\text{EW}} \sim Z_2 \sim \xi \sim \mathcal{O}(t^0)$.

$$\mathcal{P}_\xi = \{Z_1, Z_2, Z_3, Y_3, v_H, \xi\}$$

ξ -HEFT: $Z_1 \sim Z_2 \sim Z_3 \sim Y_3 \sim v_H \sim \mathcal{O}(t^0)$, $\xi \sim \mathcal{O}(t^1)$.

Decoupling

H. Song, XW, 2503.00707(JHEP 06 (2025) 249)

$$\mathcal{P}_{Y_2} = \{Z_1, Z_2, Z_3, Y_1, Y_2, Y_3\}.$$

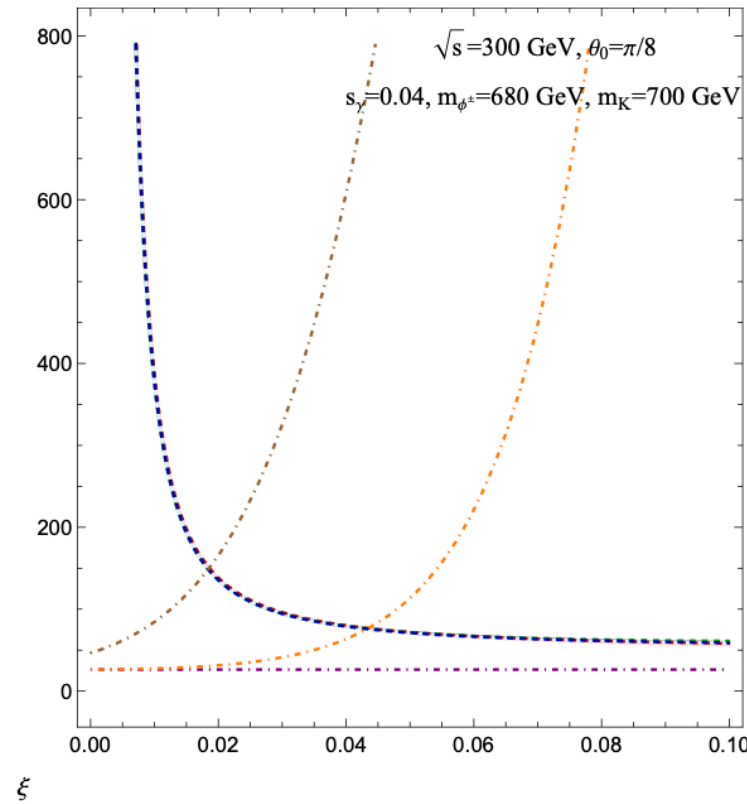
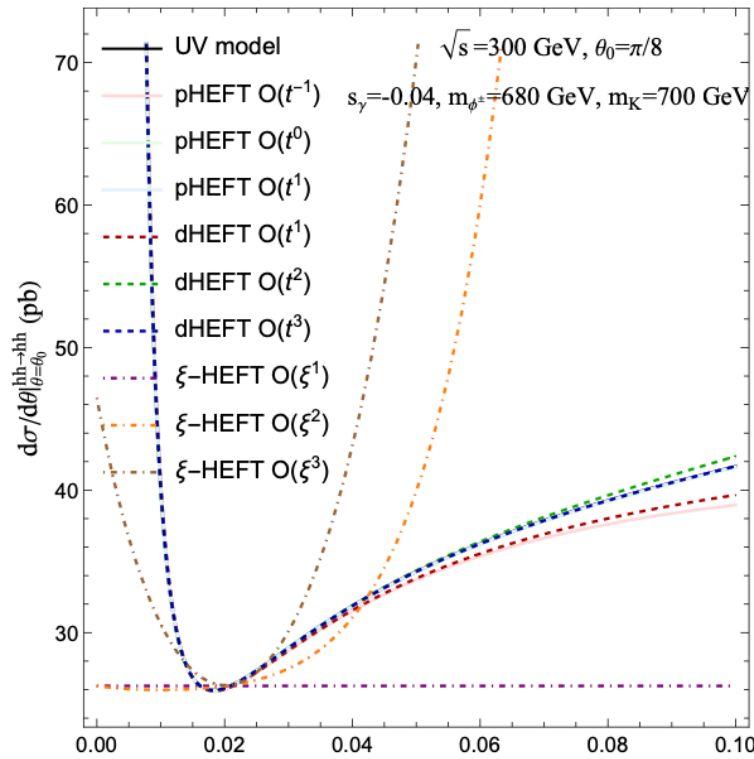
Y_2 -HEFT: $Z_1 \sim Z_2 \sim Z_3 \sim Y_1 \sim Y_3 \sim \mathcal{O}(t^0)$, $Y_2^2 \sim \mathcal{O}(t^{-1})$.

SMEFT-like

The primary HEFT (pHEFT)

$$\mathcal{L}_{\text{HEFT}}^p(t^{-1}) = \frac{v_H^2 [(4\xi^2 + 1)m_K^2(s_\gamma + \xi c_\gamma)^2 - \xi^2 m_{\phi^\pm}^2]}{8(4\xi^2 + 1)} - \frac{h^3 m_{\phi^\pm}^2 s_\gamma^2 (2\xi c_\gamma + s_\gamma)}{2\xi(4\xi^2 + 1)v_H} \\ - \frac{h^4}{8\xi^2(4\xi^2 + 1)^2 v_H^2 m_K^2} \left\{ m_{\phi^\pm}^2 s_\gamma^2 \left[(4\xi^2 + 1)m_K^2 \left(6(2\xi^2 - 1)c_\gamma^4 + 7c_\gamma^2 + 18\xi c_\gamma^3 s_\gamma - 4\xi c_\gamma s_\gamma - 1 \right) \right. \right. \\ \left. \left. + m_{\phi^\pm}^2 \left(9(1 - 4\xi^2)c_\gamma^4 + 3(8\xi^2 - 3)c_\gamma^2 - 36\xi c_\gamma^3 s_\gamma + 12\xi c_\gamma s_\gamma - 4\xi^2 \right) \right] \right\} + \mathcal{O}(h^5),$$

Non-decoupling effects are all kept.



pHEFT $\supset$ dHEFT $\supset$ ξ -HEFT

pHEFT $\supset$ Z_2 -HEFT

pHEFT $\supset$ Y_2 -HEFT $\sim$ SMEFT

- The pHEFT preserves the maximal information from the UV theory.
- pHEFT can reduce to other HEFTs, serving as a common framework.

Why pHEFT keep maximal UV's information?

$$\mathcal{L}_{\text{mass}} = \frac{1}{2} \begin{pmatrix} h^0 & \phi^0 \end{pmatrix} \begin{pmatrix} 2Z_1 v_H^2 & v_H (Z_3 v_\Sigma - Y_3) \\ v_H (Z_3 v_\Sigma - Y_3) & 2Z_2 v_\Sigma^2 + \frac{Y_3 v_H^2}{2v_\Sigma} \end{pmatrix} \begin{pmatrix} h^0 \\ \phi^0 \end{pmatrix}$$

Masses are eigenvalues.

$$Y_3 = \frac{2v_\Sigma}{v_H^2 + 4v_\Sigma^2} m_{\phi^\pm}^2$$

$$Z_1 = \frac{1}{2v_H^2} (c_\gamma^2 m_h^2 + s_\gamma^2 m_K^2)$$

$$Z_2 = \frac{1}{2v_\Sigma^2} \left(s_\gamma^2 m_h^2 + c_\gamma^2 m_K^2 - \frac{v_H^2}{v_H^2 + 4v_\Sigma^2} m_{\phi^\pm}^2 \right) \propto M^2$$

$$Z_3 = \frac{1}{v_H v_\Sigma} \left[s_\gamma c_\gamma (m_K^2 - m_h^2) + \frac{2v_H v_\Sigma}{v_H^2 + 4v_\Sigma^2} m_{\phi^\pm}^2 \right].$$

The **linear relation** between the UV Lagrangian's couplings and heavy masses, expansion of $1/M^2$ cause no extra truncations except for the propagator structure.

The HEFT of Type-II Seesaw Model

Ge, Song, XW, in preparation

Based on the nonlinear framework and the primary power counting scheme, we get HEFT up to NLO (p^4 operators).

$$\langle V_\mu V^\mu \rangle \langle V_\nu V^\nu \rangle \quad V_\mu = U D_\mu U^\dagger$$

$$\langle V_\mu V_\nu \rangle \langle V^\mu V^\nu \rangle$$

$$\langle V_\mu \sigma_3 \rangle \langle V^\mu \sigma_3 \rangle \langle V_\nu V^\nu \rangle$$

$$\langle V_\mu \sigma_3 \rangle \langle V_\nu \sigma_3 \rangle \langle V^\mu V^\nu \rangle$$

$$\langle V_\mu \sigma_3 \rangle \langle V^\mu \sigma_3 \rangle \langle V_\nu \sigma_3 \rangle \langle V^\nu \sigma_3 \rangle$$

- Sensitive to various EW processes, e.g. $WW \rightarrow WW$.
- Reproduce the results of [2504.02580] but retain higher-order terms.
- Complete basis of $\Delta L = 2$ lepton-number-violating operators.

$$h^3 \subset -\frac{1}{3!} V^{(3)}(0) - \frac{s^2 m_\eta^2 (2\xi c + s)}{2\xi(4\xi^2 + 1)v_H} + \frac{m_h^2 (s^3 - \xi c^3)}{2\xi v_H} - \frac{\lambda}{2} v_{\text{ew}} + \epsilon^2 v_{\text{ew}} \left[\frac{(1 - 2\kappa^2)\lambda}{2} - \frac{1 - \kappa + 2\kappa^2 - 6\kappa^3}{2\kappa r_\Delta^2} \right]$$

$$\subset -\frac{1}{4} F'(0) \langle V_\mu V^\mu \rangle \quad \frac{g^2 v_H}{2} (c - 2\xi s) \quad \frac{g^2 v_{\text{ew}}}{2} \times [1 - \epsilon^2 (1 - 4\kappa + 2\kappa^2)]$$

$$\subset -\frac{1}{4} F'(0) \langle V_\mu V^\mu \rangle \quad \frac{g^2 v_H}{4c_W^2} (c - 4\xi s) \quad \frac{g^2 v_{\text{ew}}}{4c_W^2} \times [1 - \epsilon^2 (1 - 8\kappa + 2\kappa^2)]$$

$$-\frac{1}{4} G'(0) \langle TV_\mu \rangle \langle TV^\mu \rangle$$

Yi Liao, Xiao-Dong Ma, Yoshiki Uchida, 2504.02580,

Class	Op. Name	Op. Structure	LEFT Matching	Matching Condition
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$\mathcal{O}(p^2)$

ψ^2	$\mathcal{O}_L$	$L_{Lp}^T C \hat{S} L_{Lr}$	$m_{\beta\beta}$	$m_{\beta\beta}^{pr} = -\mathcal{F}_L^{pr}(0)$
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$\mathcal{O}(p^3)$

$\psi^2 V$	$\mathcal{O}_{LV}$	$L_{Lp}^T C \hat{S} \gamma^\mu V_\mu U L_{Rr}$	$O_{VL}^{(6)}$	$C_{VL}^{(6)prst} \supset -2i V_{st} \mathcal{F}_{LV}^{rp*}(0)$
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$\mathcal{O}(p^4)$

$\psi^2 W$	$\mathcal{O}_{LX,W}$	$L_{Lp}^T C \hat{S} \sigma^{\mu\nu} W_{\mu\nu} L_{Lr}$	$O_{VL}^{(6)}, O_{VL}^{(7)}, O_{VL}^{(9)}$	$C_{VL}^{(6)prst} \supset -\frac{4}{g} V_{st} (M_e^\dagger)_{pu} \mathcal{F}_{LX,W}^{ru*}(0)$ $C_{VL}^{(7)prst} \supset -\frac{4v}{g} V_{st} \mathcal{F}_{LX,W}^{rp*}(0)$ $C_{1L}^{(9)prstuv} \supset -\frac{8v}{g} V_{st} V_{uv} [\mathcal{F}_{LX,W}^{rp*}(0) + \mathcal{F}_{LX,W}^{pr*}(0)]$
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- Match LNV HEFT operators onto LEFT for $0\nu\beta\beta$.

Summary and outlook

- Established the nonlinear framework for UV-HEFT matching.
- Developed the power-counting scheme of the primary HEFT.
- Completed the systematic tree-level matching of the Type-II seesaw model onto HEFT up to NLO precision, including the $\Delta L = 2$ LNV sector.

Next:

- UV-HEFT matching for singlet, 2HDM, and scalar extensions such as the 4-plet, 5-plet, 7-plet, up to NLO precision
- 1-loop matching.

Thanks for your attention!