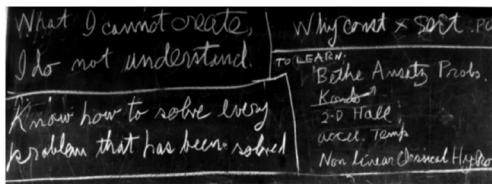


香港中文大學(深圳)  
The Chinese University of Hong Kong, Shenzhen

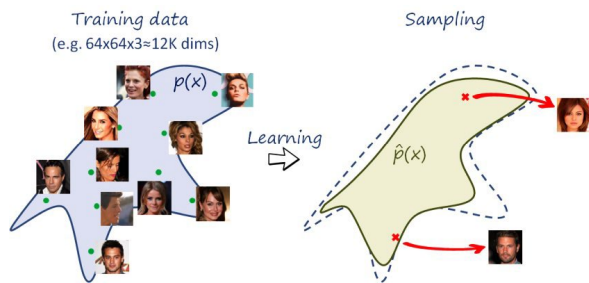
# Generative Models meets Physics

Kai Zhou (CUHK - Shenzhen)

极端核物质前沿研讨会, 宜昌, 中国



“What I can not create, I do not understand”



Want to **model** the observed data's underlying but unknown **distribution**, to further :

- Understand/Inference the data (inherent structure, properties, features...)
- Sample according to the distribution

Suppose observation dataset :

$$\mathbf{X} = \{x^{(1)}, x^{(2)}, \dots, x^{(N)}\} \stackrel{i.i.d}{\sim} p_{data}(x)$$

We use parametric model to approach the data distribution :

$$p_{\theta}(x) \rightarrow p_{data}(x)$$

Often use NN to parametrize transformation  $\log p_{\theta}(\mathbf{x}) = \log p_{\theta}(f_{\theta}(\mathbf{z}_0))$

- Maximize Likelihood Estimation : (given training samples)

$$\theta^* = \arg \max_{\theta} \log p_{\theta}(\mathbf{X}) = \arg \max_{\theta} \frac{1}{N} \sum_{i=1}^N \log p_{\theta}(x^{(i)})$$

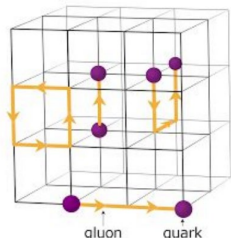
Reverse KL Divergence : Sample many  $\mathbf{z}_0 \sim p_0(\mathbf{z}_0)$   
(given unnormalized target distribution, e.g., Action)

$$\theta^* = \arg \min_{\theta} \frac{1}{N} \sum_{i=1}^N [\log p_{\theta}(f_{\theta}(\mathbf{z}_0)) - \log \tilde{p}_{target}(f_{\theta}(\mathbf{z}_0))]$$

# Why GenAI matters for – high-dim distribution problems

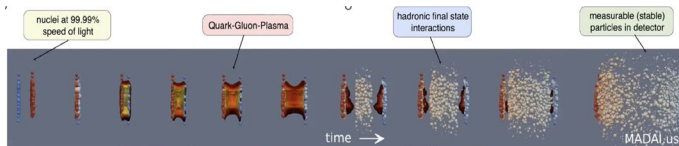
## Lattice QFT

critical slowing down  
topological freezing



## HIC Simulation

Multi-stage EbE slow  
Fluctuations/correlations



## Inverse Inference

Recover physics from noisy data  
Calibration and uncertainty



lattice sampler  
 $p(\phi) \propto e^{-S[\phi]}$

HIC surrogate  
 $p(\text{event} \mid c)$

posterior engine  
 $p(\theta \mid y)$

Bottlenecks differ, but all revolve around high-dimensional probability measures.

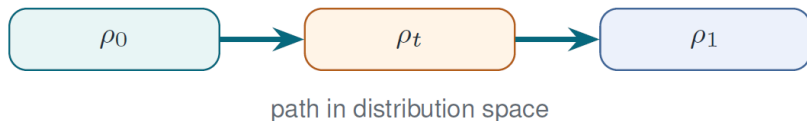
## 1. Generation = sampling

$$x_0 \sim \rho_0 \xrightarrow{\text{learned dynamics}} x_1 \sim \rho_1.$$

- ▶ data-driven:  $\rho_1 = p_{\text{data}}(x | y)$ ;
- ▶ action-driven:  $\rho_1 = Z^{-1} e^{-S(x)}$ ;
- ▶ inference-driven:  $\rho_1 = p(\theta | y)$ .

## 2. A probability path is chosen

$\{\rho_t\}_{t \in [0,1]}$ ,  $\rho_0$  simple,  $\rho_1$  physical target.



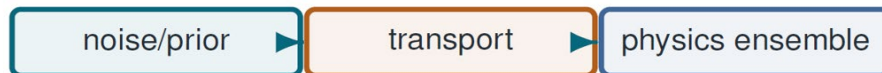
## 3. Different GenAI models realize the path differently

flow / FM:  $dx_t = v_t(x_t)dt,$   
 $\partial_t \rho_t = -\nabla \cdot (\rho_t v_t),$

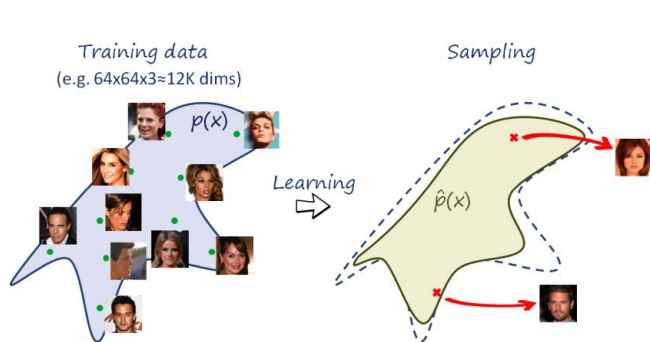
diffusion:  $dx_t = b_t(x_t)dt + g_t dW_t,$   
 $\partial_t \rho_t = -\nabla \cdot (b_t \rho_t) + \frac{1}{2} \nabla^2 (g_t^2 \rho_t).$

### Physical reading

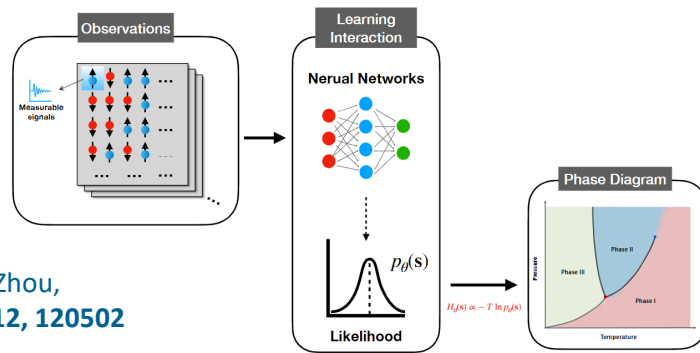
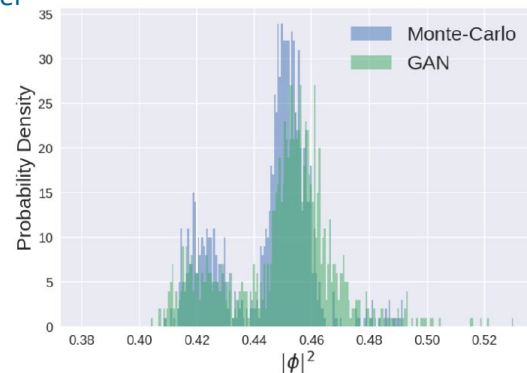
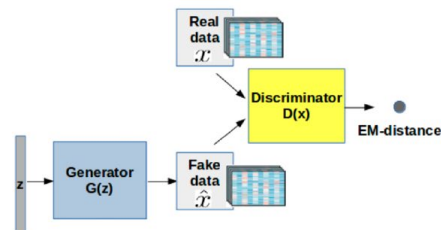
- ▶ **state** = fields, particles, detector responses, or inferred parameters;
- ▶ **probability path** = evolution of uncertainty from prior/noise to physics ensemble;
- ▶ **dynamics** = transport that should respect action, symmetry, conservation laws, and response;
- ▶ **output** = an ensemble/posterior with calibrated observables, not a single plausible picture.



# Data-driven lattice GenAI – early proof of principle from ensembles

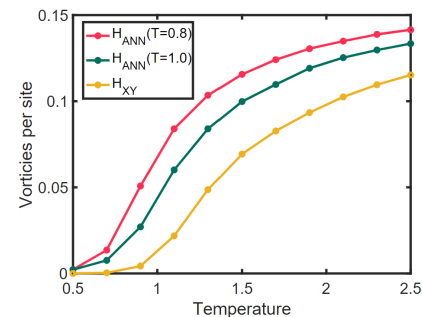
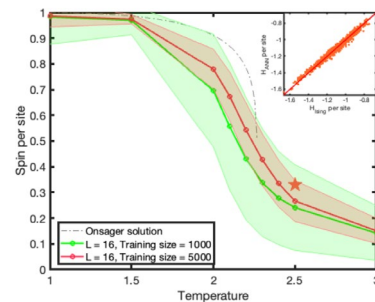


K. Zhou, G. Endrődi, L.-G. Pang, and H. Stöcker  
**PRD 100, 011501 (2019)**



L. Wang, L. He, Y. Jiang, K. Zhou,  
**Chin.Phys.Lett. 39 (2022) 12, 120502**

T. Xu, L. Wang, L. He, K. Zhou, Y. Jiang,  
**Chin.Phys.C 48 (2024) 10, 103101, arXiv:2007.01037**





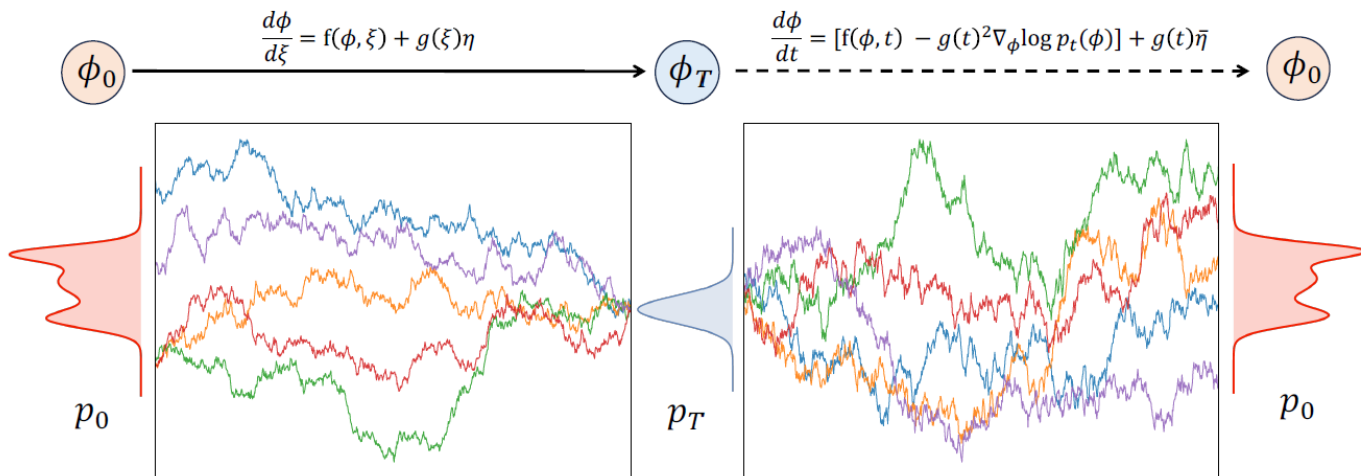
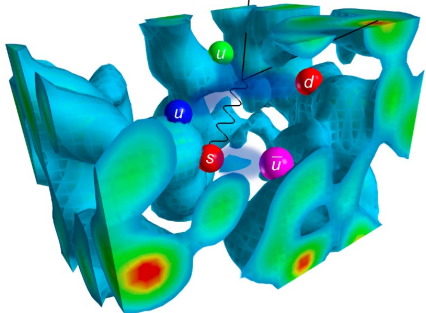
“A heavy quark move inside quark-gluon plasma”



# Diffusion Model on lattice QFT configurations

$$p(\phi) = e^{-S(\phi)} / Z$$

$$\langle O \rangle \approx \frac{1}{N} \sum_i O_i$$



L. Wang, G. Arts, K. Zhou, JHEP 05 (2024) 060

L. Wang, G. Arts, K. Zhou, arXiv:2311.03578 (NeurIPS 2023 workshop “ML&Physical Sciences”)

G. A, D. E. H, L. W, K. Z, arXiv:2410:21212 (NeurIPS 2024 workshop “ML&Physical Sciences”) → **“Best Physics for AI Paper” Award**

Q. Zhu, G. Aarts, W. Wang, K. Zhou, L. Wang, arXiv:2410.19602 (NeurIPS 2024 workshop “ML&Physical Sciences”)

# Diffusion Model as Stochastic Quantization

- Forward diffusion SDE  $\frac{d\phi}{d\xi} = f(\phi, \xi) + g(\xi)\eta(\xi)$

$$\langle \eta(\xi)\eta(\xi') \rangle = 2\alpha\delta(\xi - \xi')$$

- Backward diffusion SDE

$$\frac{d\phi}{dt} = [f(\phi, t) - g^2(t)\nabla_{\phi} \log p_t(\phi)] + g(t)\bar{\eta}(t) \quad t \equiv T - \xi$$

- Score matching Training

$$\mathcal{L}_{\theta} = \sum_{i=1}^N \sigma_i^2 \mathbb{E}_{p_0(\phi_0)} \mathbb{E}_{p_i(\phi_i|\phi_0)} \left[ \|s_{\theta}(\phi_i, \xi) - \nabla_{\phi_i} \log p_i(\phi_i|\phi_0)\|_2^2 \right]$$

- **DM generation SDE and Stochastic Quantization**

$$\nabla_{\phi} S_{DM} \equiv -\nabla_{\phi} \log q_{\tau}(\phi)$$

$$\frac{\partial \phi(x, \tau)}{\partial \tau} = g^2(\tau) \nabla_{\phi} \log P(\phi; \tau) + g(\tau)\eta(x, \tau)$$

$$\frac{\partial p_{\tau}(\phi)}{\partial \tau} = \int d^n x \left\{ g_{\tau}^2 \frac{\delta}{\delta \phi} \left( \bar{\alpha} \frac{\delta}{\delta \phi} + \nabla_{\phi} S_{DM} \right) \right\} p_{\tau}(\phi).$$

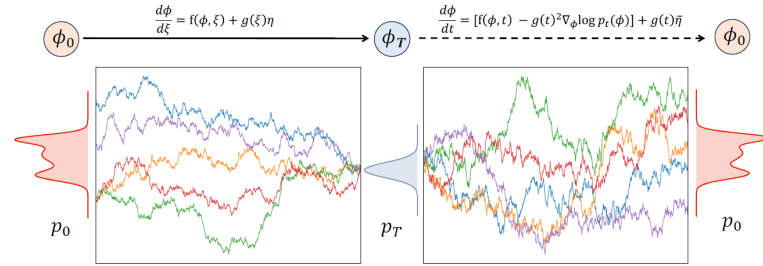
$$p_{eq}(\phi) \propto e^{-S_{DM}/\bar{\alpha}}$$

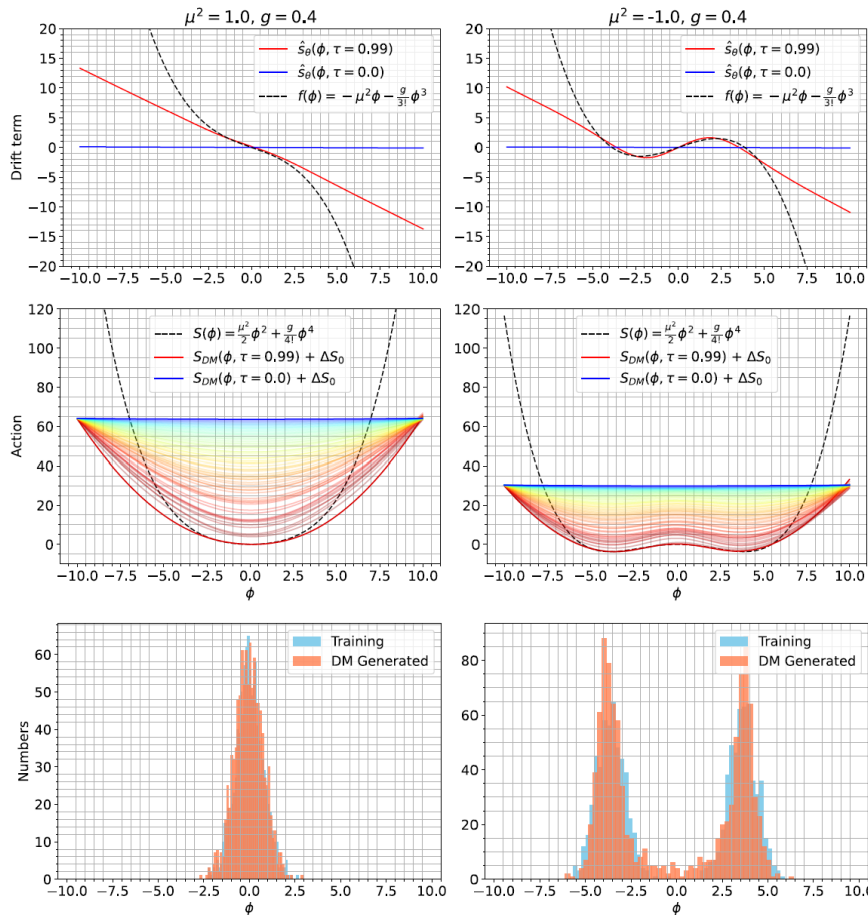
$$\frac{\partial \phi(x, \tau)}{\partial \tau} = -\nabla_{\phi} S(\phi) + \sqrt{2}\eta(x, \tau)$$

$$\frac{\partial P[\phi, \tau]}{\partial \tau} = \alpha \int d^n x \left\{ \frac{\delta}{\delta \phi} \left( \frac{\delta}{\delta \phi} + \frac{\delta S_E}{\delta \phi} \right) \right\} P[\phi, \tau]$$

- A flow of **effective action** will be learned in DMs

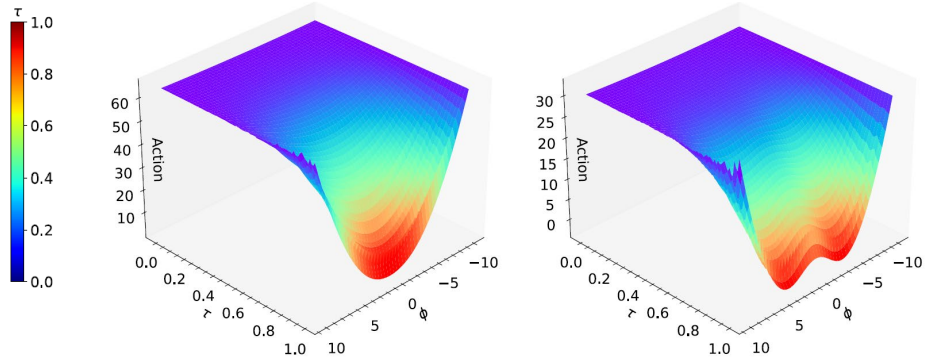
sampling from DM is  $\Leftrightarrow$  optimizing a stochastic trajectory to approach the “**equilibrium state**”





## Flow of the effective action

$$S(\phi) = \frac{\mu^2}{2}\phi^2 + \frac{g}{4!}\phi^4, \quad f(\phi) = -\frac{\partial S(\phi)}{\partial \phi} = -\mu^2\phi - \frac{g}{3!}\phi^3$$



$$S_{DM}(\phi, \tau) = \int \hat{S}_\theta(\tilde{\phi}, \tau) d\tilde{\phi}$$

- Forward diffusion kernel: **gaussian smoothing**

$$p_{\xi}(\phi_{\xi}|\phi_0) = \mathcal{N}\left(\phi_{\xi}; \phi_0, \frac{1}{2 \log \sigma}(\sigma^{2\xi} - 1)\mathbf{I}\right)$$

$$\phi_{\tau}(\mathbf{x}) = \phi_0(\mathbf{x}) + \sqrt{\frac{\sigma^{2\tau} - 1}{2 \log \sigma}} \epsilon(\mathbf{x}) \text{ with } \epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$$

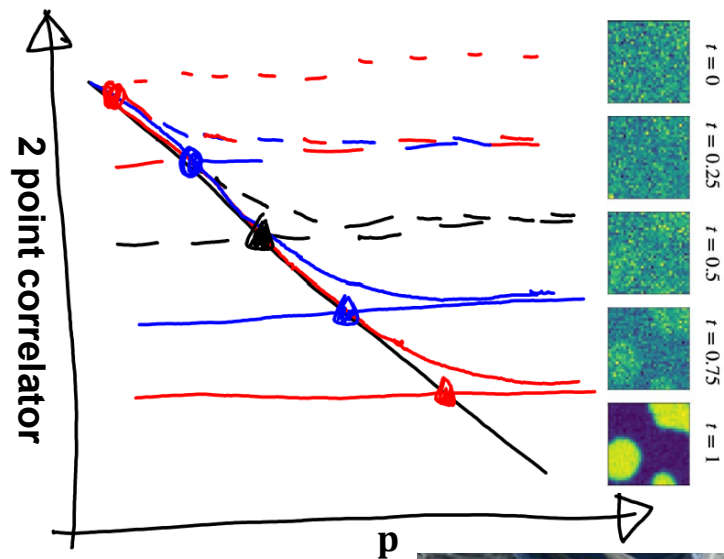
- In Fourier space:

$$\phi_{\tau}(p) = \phi_0(p) + \sqrt{\frac{\sigma^{2\tau} - 1}{2 \log \sigma}} \epsilon(p).$$

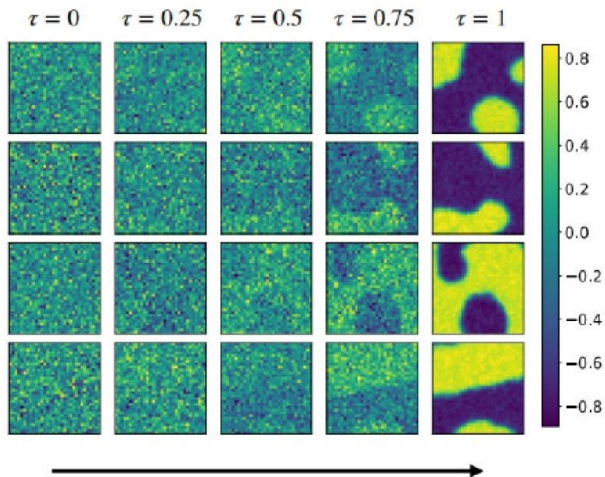
- ! the above evolution will perturb (smear) higher momentum modes first,  
With decreasing cut scale because of the gradually increasing noise level !



In **FRG**, the high frequency (short-distance) degrees of freedom is progressively integrated out !

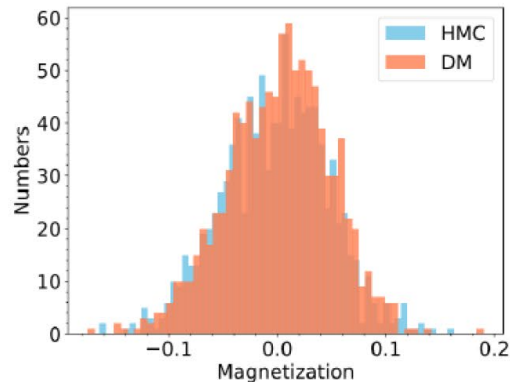


## Broken phase :



numerous “bulk” patterns emerge

## symmetric phase :



| data-set       | $\langle M \rangle$ | $\chi_2$            | $U_L$                |
|----------------|---------------------|---------------------|----------------------|
| Training (HMC) | $0.0012 \pm 0.0007$ | $2.5160 \pm 0.0457$ | $0.1042 \pm 0.0367$  |
| Testing (HMC)  | $0.0018 \pm 0.0015$ | $2.4463 \pm 0.1099$ | $-0.0198 \pm 0.1035$ |
| Generated (DM) | $0.0017 \pm 0.0015$ | $2.4227 \pm 0.1035$ | $0.0484 \pm 0.0959$  |

- ▶ In the broken phase, the generated fields develop the expected bulk structures; in the symmetric phase, integrated observables agree with HMC in this benchmark setup.

# How correlations are destroyed and rebuilt in DM ?

- forward process:  $\dot{x}(t) = K(x(t), t) + g(t)\eta(t)$   $0 \leq t \leq T$ .
- linear (or zero) drift:  $K(x(t), t) = -\frac{1}{2}k(t)x(t)$  noise profile  $g(t) = \sigma^{t/T}$
- initial data from target ensemble  $x_0 \sim P_0(x_0)$
- solution:  $x(t) = x_0 f(t, 0) + \int_0^t ds f(t, s)g(s)\eta(s)$
- with  $f(t, s) = e^{-\frac{1}{2} \int_s^t ds' k(s')}$
- moments  $\mu_n(t) = \mathbb{E}[x^n(t)]$  and cumulants or connected  $n$ -point functions
- second moment/cumulant:

$$\kappa_2(t) = \mu_2(t) = \mu_2(0)f^2(t, 0) + \Xi(t)$$

$$\Xi(t) = \int_0^t ds \int_0^t ds' f(t, s)f(t, s')g(s)g(s')\mathbb{E}_\eta[\eta(s)\eta(s')] = \int_0^t ds f^2(t, s)g^2(s)$$

- ▶ In a Gaussian theory, all connected cumulants with  $n > 2$  vanish.
- ▶ In an interacting theory, nonzero  $\kappa_4, \kappa_6, \dots$  are direct fingerprints of non-Gaussian dynamics.
- ▶ So the real question is not only whether the model reproduces  $\kappa_2$ , but whether it reconstructs the connected hierarchy.

## Why this matters for diffusion

- ▶ forward noising quickly hides non-Gaussian structure behind added variance
- ▶ the learned score must therefore carry that information implicitly
- ▶ this is a much more physical diagnostic than image-like quality metrics

$$W[J] = \log Z[J], \quad \kappa_n = \left. \frac{\delta^n W[J]}{\delta J^n} \right|_{J=0}$$

- proof to all orders: generating functionals  $Z[J] = \mathbb{E}[e^{J(t)x(t)}]$   $W[J] = \log Z[J]$

- average over both noise and target distribution

$$Z_\eta[J] = \mathbb{E}_\eta[e^{J(t)x(t)}] = \frac{\int D\eta e^{-\frac{1}{2} \int_0^t ds \eta^2(s) + J(t)[x_0 f(t,0) + \int_0^t ds f(t,s)g(s)\eta(s)]}}{\int D\eta e^{-\frac{1}{2} \int_0^t ds \eta^2(s)}}$$

- noise average:  $Z_\eta[J] = e^{J(t)x_0 f(t,0) + \frac{1}{2} J^2(t)\Xi(t)}$

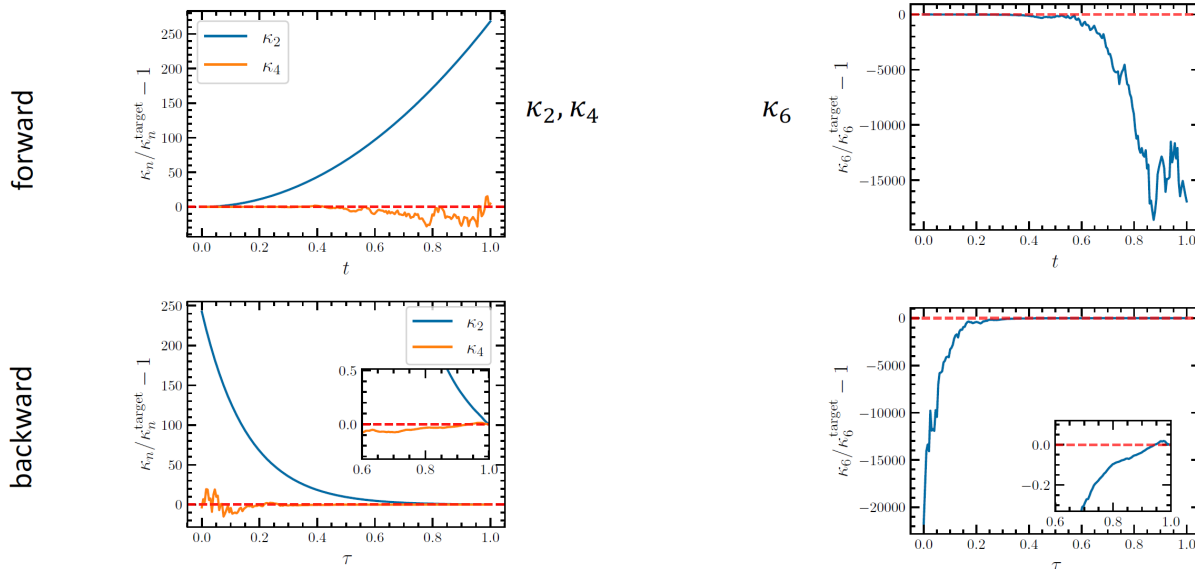
- total average:  $Z[J] = \mathbb{E}[e^{J(t)x(t)}] = e^{\frac{1}{2} J^2(t)\Xi(t)} \int dx_0 P_0(x_0) e^{J(t)x_0 f(t,0)}$

- cumulants:  $W[J] = \log Z[J] = \frac{1}{2} J^2(t)\Xi(t) + \log \int dx_0 P_0(x_0) e^{J(t)x_0 f(t,0)}$

- 2<sup>nd</sup> cumulant:  $\kappa_2(t) = \left. \frac{d^2 W[J]}{dJ(t)^2} \right|_{J=0} = \Xi(t) + \mathbb{E}_{P_0}[x_0^2] f^2(t,0)$  ✓

- higher-order cumulants:  $\kappa_{n>2}(t) = \left. \frac{d^n W[J]}{dJ(t)^n} \right|_{J=0} = \frac{d^n}{dJ(t)^n} \log \mathbb{E}_{P_0}[e^{J(t)x_0 f(t,0)}] \Big|_{J=0} = \kappa_n(0) f^n(t,0)$  ✓

# 2d scalar field theory in variance expanding scheme



|                  | $\kappa_2$ | $\kappa_4$  | $\kappa_6$  | $\kappa_8$  |
|------------------|------------|-------------|-------------|-------------|
| HMC (normalised) | 0.39597(4) | -0.29453(6) | 0.90108(28) | -5.8689(25) |
| Diffusion model  | 0.39598(4) | -0.29454(7) | 0.90113(32) | -5.8694(28) |

$\phi^4: 32^2, \kappa = 0.4, \lambda = 0.022, 10^5$  configurations

# Can it learn the real effective distribution behind Complex Langevin?

- ▶ With a sign problem, the original Boltzmann weight is complex and importance sampling fails.
- ▶ Complex Langevin can sample a real effective distribution  $P(x, y)$  in the complexified space.
- ▶ Diffusion or energy-based models can then learn *that* effective distribution from CL trajectories.

$$\langle O(z) \rangle = \int dx dy P(x, y) O(x + iy)$$

This does not solve the sign problem, but it gives a new handle on what CL actually samples.

- Quartic model with a complex mass  $S = \frac{1}{2}\sigma_0 x^2 + \frac{1}{4}\lambda x^4, \quad \sigma_0 = A + iB.$
- Exact results for partition function  $Z = \int dx e^{-S(x)} = \sqrt{\frac{4\xi}{\sigma_0}} e^{\xi} K_{-\frac{1}{4}}(\xi) \quad \xi = \sigma_0^2/(8\lambda)$
- Sampling from CL process yields empirical **histogram 2d-distribution**, with no analytical expression

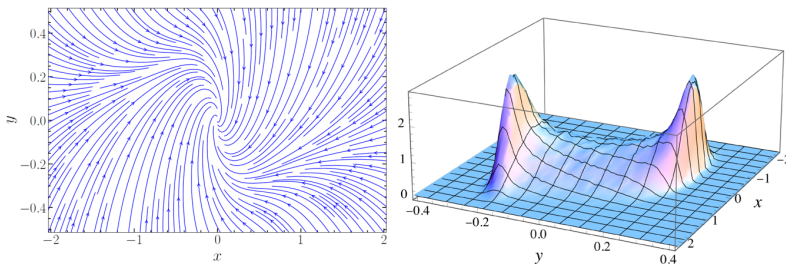


Figure 1: Complex-valued quartic model with parameters  $\sigma_0 = 1 + i$  and  $\lambda = 1$ : CL drift in the complex plane (left) and histogram  $P(x, y)$  obtained by sampling the CL process (right)

- EBM learned the Energy directly, the score (from gradient of energy) is conservative, with direct distribution

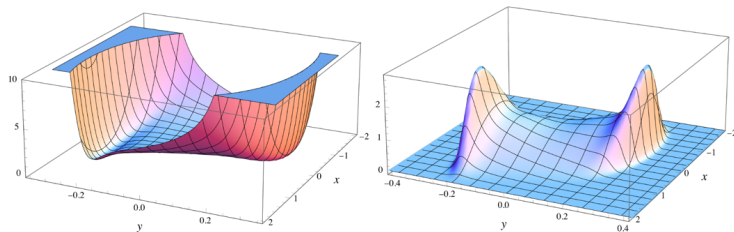


Figure 4: Quartic model: Energy  $E_\theta(\mathbf{x})$  learned in the energy-based diffusion model (left) and the corresponding distribution  $p_\theta(\mathbf{x}) \sim \exp[-E_\theta(\mathbf{x})]$  (right).

first time that a parametrization of distribution from a CL process is obtained in non-trivial case wo histogram way

G. Aarts, D. H, L. W and K. Zhou, **JHEP12(2025)160**

- Reverse KL divergence

$$D_{\text{KL}}(q_{\theta} \parallel p) = \sum_{\mathbf{s}} q_{\theta}(\mathbf{s}) \ln \left( \frac{q_{\theta}(\mathbf{s})}{p(\mathbf{s})} \right) = \beta(F_q - F)$$

$$F_q = \frac{1}{\beta} \sum_{\mathbf{s}} q_{\theta}(\mathbf{s}) [\beta E(\mathbf{s}) + \ln q_{\theta}(\mathbf{s})]$$

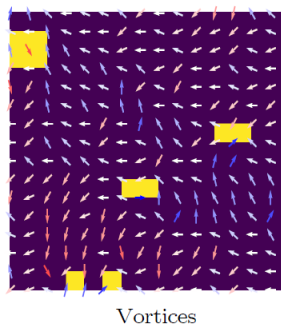
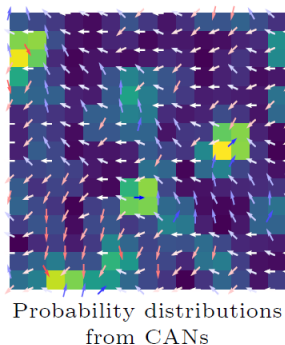
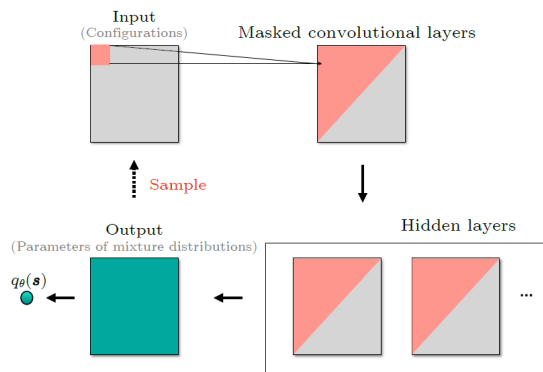
$$p(\mathbf{s}) = \frac{e^{-\beta E(\mathbf{s})}}{Z}$$

- Autoregressive  $q_{\theta}(\mathbf{s}) = \prod_{i=1}^N q_{\theta}(s_i | s_1, \dots, s_{i-1})$

D. Wu, Lei Wang and P. Zhang, [PRL122,080602\(2019\)](#)

- Continuous Autoregressive for XY model

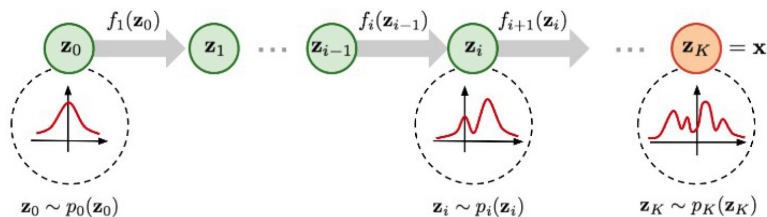
L. Wang, Y. Jiang, L. He, K. Zhou, [CPL 39, 120502 \(2022\)](#)



# Flow based generative model given unnormalized distribution

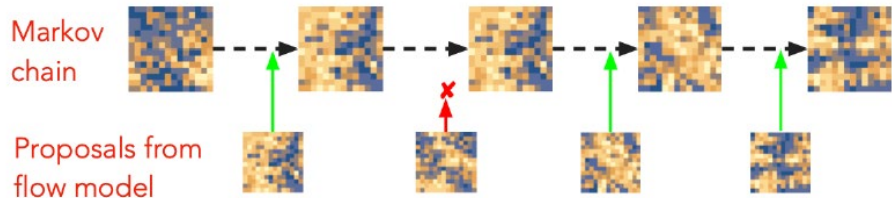
A series (**Flow**) of invertible/bijective transformations for  $p(\mathbf{z})$

compose several invertible transformations to form the flow :



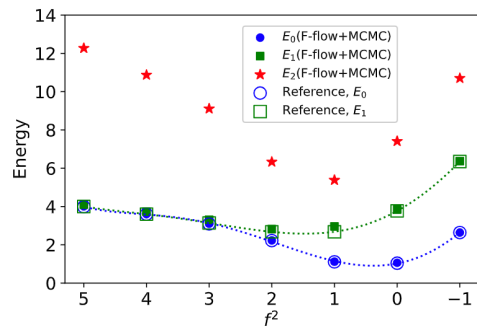
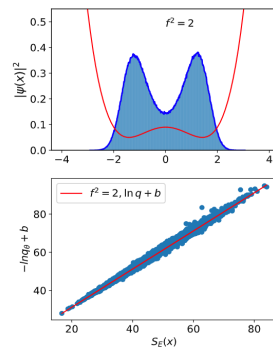
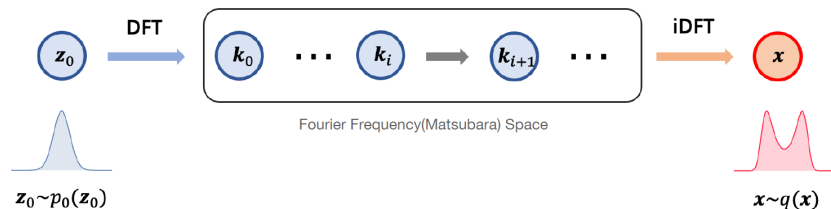
$$p_i(\mathbf{z}_i) = p_{i-1}(f_i^{-1}(\mathbf{z}_i)) |\det J_{f_i^{-1}}| = p_{i-1}(\mathbf{z}_{i-1}) |\det J_{f_i}|^{-1}$$

$$\rightarrow \log p(\mathbf{x}) = \log p_0(f^{-1}(\mathbf{x})) + \sum_{i=1}^K \log |\det J_{f_i^{-1}}| = \log p_0(\mathbf{z}_0) - \sum_{i=1}^K \log |\det J_{f_i}|$$



Albergo +, 1904.12072; Boyda +, 2008.05456; Favoni +, 2012.12901; Abbott +, 2208.03832; Abbott +, 2211.07541; Abbott +, 2305.02402; Bulgarelli+ 2412.00200 (SU(3)); Abbott +, arXiv:2502.00263  
K.C, G. K., S. R., D. R., P. S., **Nature Reviews Physics** 5, 526-535 (2023)

## Fourier Flow Model



S.Chen, O. Savchuk, S. Zheng, B. Chen, H. Stoecker, L. Wang, K. Zhou, **PRD107, 056001(2023)**

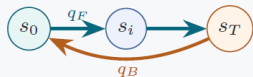
## Target and path

Known physics target, unknown normalizer:

$$\widehat{p}(\phi) = e^{-S(\phi)}, \quad p = \widehat{p}/Z.$$

SPS learns a stochastic trajectory

$$\tau : s_0 \sim q_0 \longrightarrow s_T \equiv \phi.$$



## Trajectory balance

Instead of fitting a local score, match whole path measures:

$$D_{\text{KL}} = \mathbb{E}_{q_F} \left[ \log \frac{q_F(\tau)}{q_B(\tau)} \right].$$

The desired endpoint condition is

$$\frac{q_0 \prod_i q_F}{\prod_i q_B} \propto \widehat{p}(s_T).$$

global transport no MCMC labels

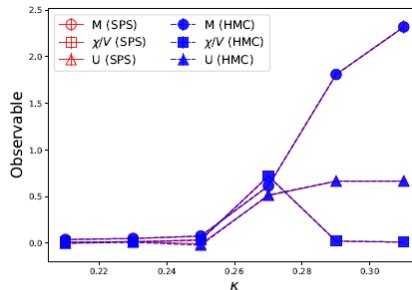
## Physics leverage

- ▶ Dataless: only pointwise evaluations of  $S(\phi)$  are required.
- ▶ Global: long-horizon credit assignment helps multimodal or symmetry-related sectors.
- ▶ Symmetry injection: random physical symmetry moves can be inserted along trajectories.
- ▶ Validation: compare  $|M|$ ,  $\chi$ , Binder cumulant and  $G(r)$  with HMC.

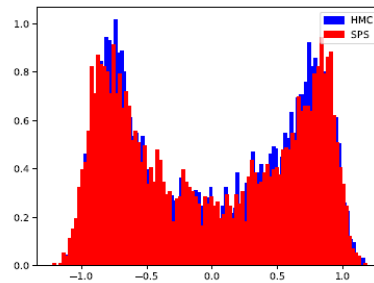
## Forward stochastic path

$$s_{i+1} = s_i + \sigma_i^2 K_{\theta, F}(s_i, t_i) \Delta t + \sigma_i \xi_i \sqrt{\Delta t}.$$

A backward path uses another learned drift  $K_{\theta, B}$  on the same trajectory space.



(b)  $L = 32$



(b)  $L = 32$

## Forward/backward path measures

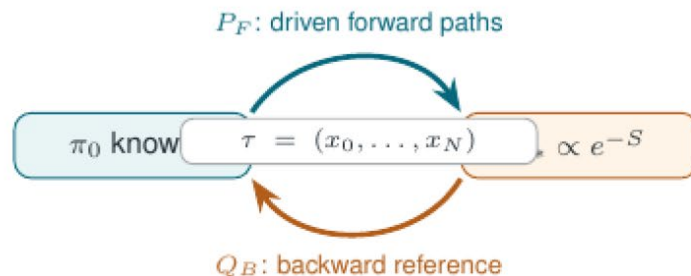
$$P_F(\tau) = \pi_0(x_0) \prod_{k=0}^{N-1} q_{F,k}(x_{k+1} | x_k)$$

$$\tilde{Q}_B(\tau) = e^{-S(x_N)} \prod_{k=0}^{N-1} q_{B,k}(x_k | x_{k+1}), \quad Q_B = \tilde{Q}_B / Z.$$

## Work and entropy production

$$W(\tau) = \log \frac{P_F(\tau)}{\tilde{Q}_B(\tau)}, \quad \Sigma(\tau) = \log \frac{P_F(\tau)}{Q_B(\tau)} = W(\tau) + \log Z.$$

**$W$  is the nonequilibrium work-like functional:** a dimensionless accumulated log-ratio along a driven path, analogous to  $\beta W_{\text{phys}}$  in stochastic thermodynamics.



## Change-of-measure identities

$$\langle e^{-\Sigma} \rangle_{P_F} = 1, \quad \langle e^{-W} \rangle_{P_F} = Z,$$

$$\langle \Sigma \rangle_{P_F} = D_{\text{KL}}(P_F \| Q_B) \geq 0.$$

**SPS / trajectory balance:** suppress fluctuations of  $W(\tau)$  so that forward and backward path measures become more reversible.

The nonequilibrium view gives diagnostics: work, entropy production, path-space KL, and reversibility.

Forward noising :  $\boxed{\frac{d\phi}{dt} = g(t) \eta(t)}$       $\phi(t=0) \sim \frac{1}{Z} e^{-S[\phi]}$   
 $= P_*(\phi)$

$$\Rightarrow P_t(\phi) = \int P_*(\phi_0) \mathcal{N}(\phi; \phi_0, g(t)) d\phi_0$$

F-P equation :  $\frac{\partial P_t(\phi)}{\partial t} = \frac{1}{Z} \frac{\partial^2}{\partial \phi^2} (g^2(t) P_t(\phi))$

$$\Rightarrow \partial_t \log P_t(\phi) = \frac{\partial_t P_t(\phi)}{P_t} = \frac{1}{Z} g^2(t) \frac{\partial_{\phi\phi}^2 P_t(\phi)}{P_t}$$

$$= \frac{1}{Z} g^2(t) (\partial_{\phi\phi}^2 \log P_t(\phi) + (\partial_{\phi} \log P_t(\phi))^2)$$

$$= \frac{1}{Z} g^2(t) (\partial_{\phi} S_0(\phi, t) + S_0(\phi, t)^2)$$

Take derivative w.r.t.  $\phi \Rightarrow$

$$\frac{\partial S_0(\phi, t)}{\partial t} = \frac{1}{2} g^2(t) \left( \frac{\partial^2 S_0(\phi, t)}{\partial \phi^2} + 2 S_0(\phi, t) \frac{\partial S_0(\phi, t)}{\partial \phi} \right)$$

Backward denoising (Generation) process:

$$\begin{aligned} \frac{d\phi}{dt} &= -\frac{1}{2} g^2(t) S_0(\phi, t), \quad \phi(t=\tau) \sim \mathcal{N}(0, g(t)) \\ &= v_0(\phi, t) \end{aligned}$$

## General SDE ladder

假设各向同性扩散:  $a_t = \alpha(t)I$

1 SDE + Fokker-Planck

$$dX_t = b_t(X_t) dt + \sigma_t(X_t) dW_t, \quad a_t = \sigma_t \sigma_t^\top$$

$$\partial_t \rho_t = -\nabla \cdot (b_t \rho_t) + \frac{1}{2} \partial_i \partial_j (a_{ij,t} \rho_t)$$



2 log transform:  $\rho_t = \exp(-S_t)$

$$\partial_t S_t = \nabla \cdot b_t - b_t \cdot \nabla S_t + \frac{\alpha(t)}{2} (\Delta S_t - \|\nabla S_t\|^2)$$

标量 action 比直接向量场少掉旋转 / gauge-like 冗余。



3 score 与 probability-flow velocity

$$s_t = \nabla \log \rho_t = -\nabla S_t, \quad v_t = b_t - \frac{\alpha(t)}{2} s_t$$

$$\partial_t s_t = \nabla \left[ -\nabla \cdot b_t - b_t \cdot s_t + \frac{\alpha(t)}{2} (\nabla \cdot s_t + \|s_t\|^2) \right]$$

采样 ODE/SDE 只需 score; 物理求解更适合从  $S_t$  或势函数出发。

## VE DM case

$b_t=0, \alpha(t)=g(t)^2$

forward heat semigroup

$$dX_t = g(t) dW_t, \quad \tau = \int_0^t g(r)^2 dr = \sigma(t)^2$$

$$\partial_t \rho_t = \frac{1}{2} \Delta \rho_t$$

effective action PDE = HJB / KPZ

$$\partial_\tau S_\tau = \frac{1}{2} (\Delta S_\tau - \|\nabla S_\tau\|^2)$$

Cole-Hopf:  $\rho = e^{-S}$  让热方程变成非线性 action flow。

score / Burgers / sampling velocity

$$s_\tau = -\nabla S_\tau, \quad v_\tau = -\frac{1}{2} s_\tau = \frac{1}{2} \nabla S_\tau$$

$$u_\tau := \nabla S_\tau \Rightarrow \partial_\tau u_\tau + (u_\tau \cdot \nabla) u_\tau = \frac{1}{2} \Delta u_\tau$$

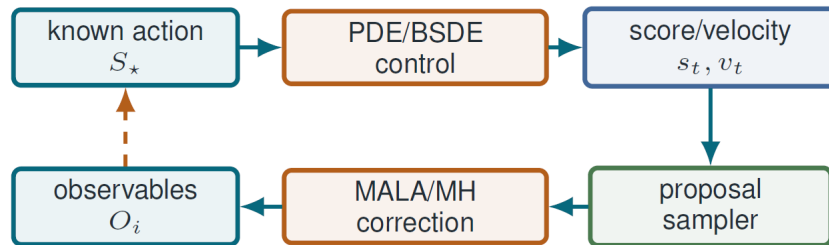
Burgers 形式说明 score 场不是任意向量场, 而是梯度流。

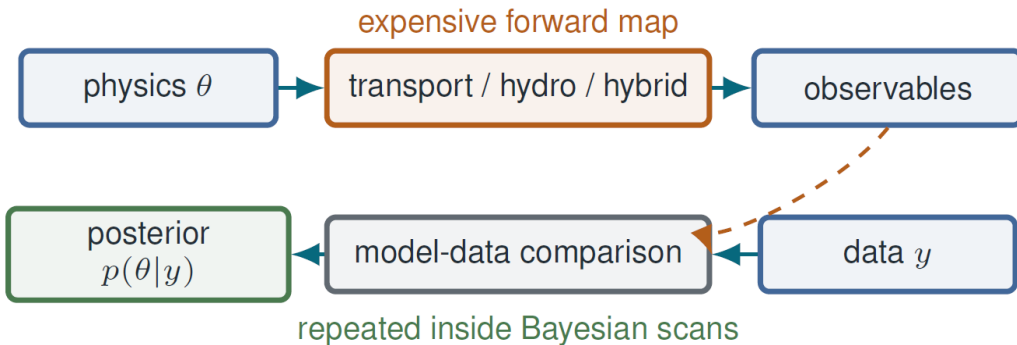
Outcome: path  $\rightarrow$  PDE type  $\rightarrow$  feasible solver

| 模型                 | path 动力学   | scalar action $S_t$  | score PDE   | velocity PDE  |
|--------------------|--|--|---|---|
| VE                 | $dX = g(t)dW$  | $\partial_\tau S = \frac{1}{2}(\Delta S - \ \nabla S\ ^2)$                                     | $\partial_\tau s = \frac{1}{2}\nabla(\nabla \cdot s + \ s\ ^2)$                   | $v = -\frac{1}{2}s, \partial_\tau v + 2(v \cdot \nabla)v = \frac{1}{2}\Delta v$                     |
| DDPM/VP            | $dX = -\frac{1}{2}\beta X dt + \sqrt{\beta}dW$           | $\partial_t S = -\kappa d + \kappa x \cdot \nabla S + \kappa \Delta S - \kappa \ \nabla S\ ^2$ | $\partial_t s = \kappa[s + (x \cdot \nabla)s + \nabla(\nabla \cdot s + \ s\ ^2)]$ | $v = -\kappa(x + s)$  |
| Flow matching      | $X_t = (1 - t)X_0 + tX_1$                                | $\partial_t S = \nabla \cdot v - v \cdot \nabla S$   | $\partial_t s = -\nabla(\nabla \cdot v + v \cdot s)$                              | $\partial_t v + (v \cdot \nabla)v = -\rho^{-1}(\rho \Sigma)$  |
| Schrödinger bridge | $dX = \varepsilon \nabla \eta dt + \sqrt{\varepsilon}dW$ | $\partial_t S = \Delta \psi - \nabla S \cdot \nabla \psi$                                      | $\partial_t s = -\nabla(\Delta \psi + \nabla \psi \cdot s)$                       | $\partial_t v + (v \cdot \nabla)v = -\frac{\varepsilon^2}{2}\nabla(\Delta \sqrt{\rho}/\sqrt{\rho})$ |

## From functional PDE to tractable control

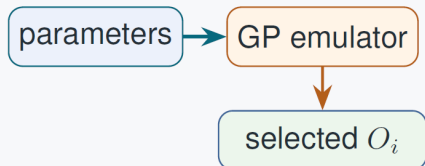
- ▶ solve layerwise and center unknown normalizers;
- ▶ fit scalar potentials or local operator coefficients, not arbitrary high-dimensional vector fields;
- ▶ sample collocation points from the current typical set;
- ▶ use Hutchinson/JVP estimators for traces and Burgers terms;
- ▶ close with MALA/MH and physical observables.





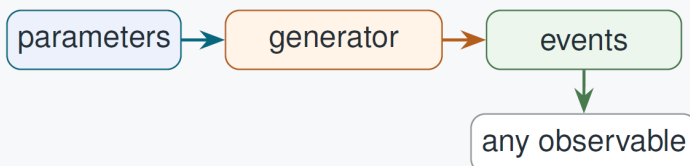
- ▶ Infer EoS, initial conditions, transport coefficients, and phase structure from observables.
- ▶ Forward map: transport, hydrodynamics, hybrid evolution, detector response.
- ▶ Bottleneck: repeated simulator calls inside likelihood or posterior loops.

## Observable emulator



- ▶ Efficient for a small observable vector.
- ▶ Harder to scale when many differential observables, correlations, and cumulants are needed.

## Event-level generator

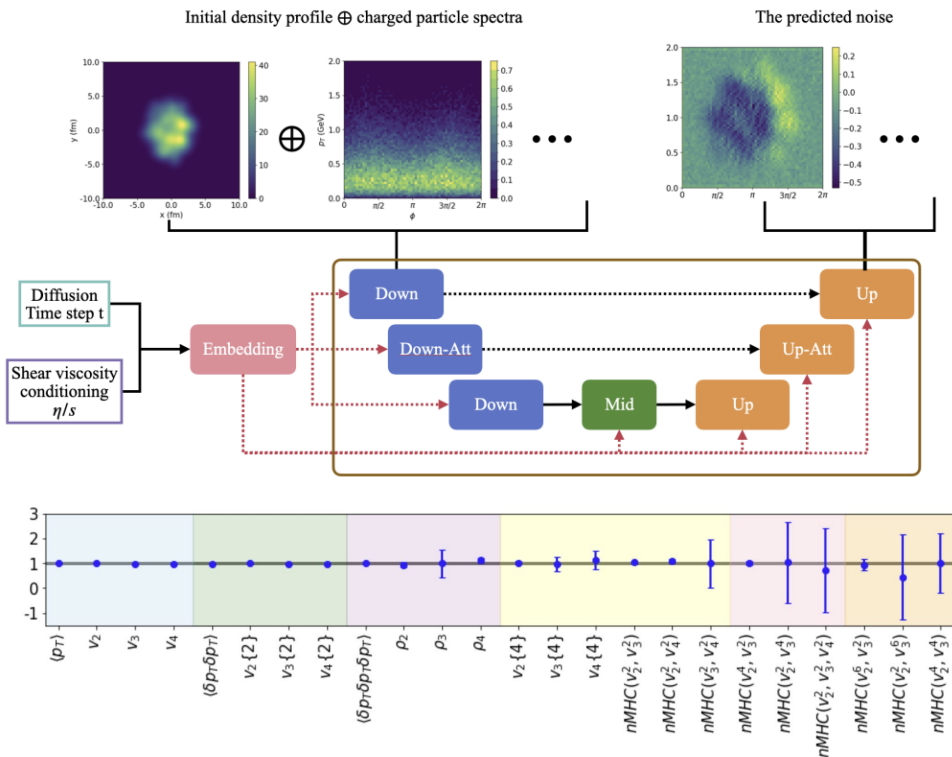


- ▶ Samples complete events; observables are computed afterwards.
- ▶ Better aligned with event-by-event Bayesian inference and multi-observable systematics.

## An end-to-end generative diffusion model for heavy-ion collisions

*Phys.Rev.C*112 (2025) 5, L051903

Jing-An Sun,<sup>1,2</sup> Li Yan,<sup>1,3</sup> Charles Gale,<sup>2</sup> and Sangyong Jeon<sup>2</sup>



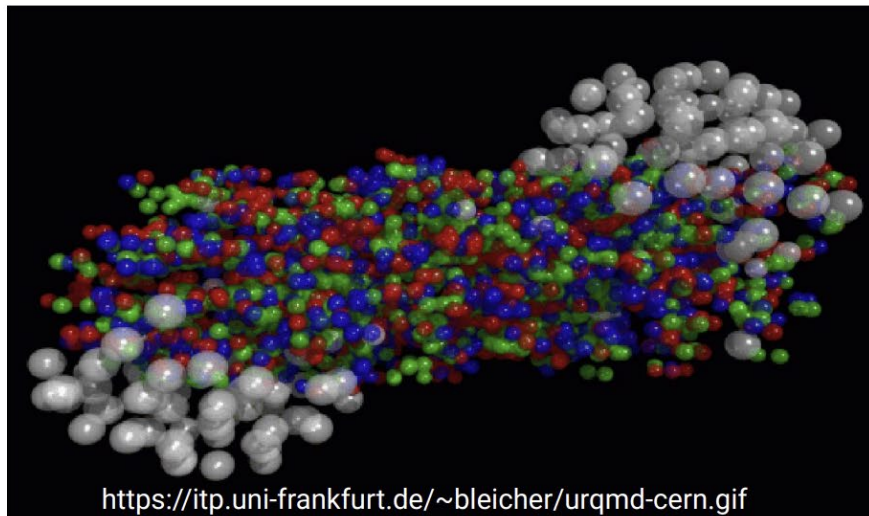
We carried out (2+1)D minimum bias simulations of Pb-Pb collisions at 5.02 TeV, choosing the shear viscosity  $\eta/s$  to be one of three distinct values: 0.0, 0.1, and 0.2. For each value of  $\eta/s$ , we generate 12,000 pairs of initial entropy density profiles and final particle spectra, corresponding to 12,000 simulated events, as the training dataset. 70% of the total events are used for training and the rest are used for validation.

Considering that the spectra  $\mathcal{S}_0$  depend on the initial entropy density profiles  $\mathbf{I}$  and the shear viscosity  $\eta/s$ , we train a conditional reverse diffusion process  $p(\mathcal{S}_0|\mathbf{I}, \eta/s)$  without modifying the forward process.

one single central collision event in just  $10^{-1}$  seconds on a GeForce GTX 4090 GPU.

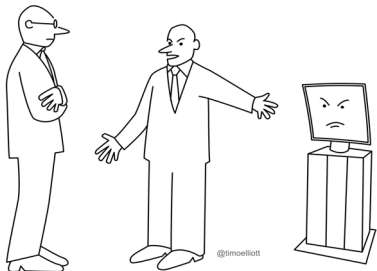
ble precision, as the traditional numerical simulation of hydrodynamics for one central event typically takes approximately 120 minutes ( $10^4$  seconds) on a single CPU.

- Event-by-event collision output
- Microscopic non-equilibrium description
- hadrons on classical trajectories
  - stochastic binary scatterings
  - color string formation
  - resonance excitation and decays
- interactions based on scattering cross sections
- default setup effective EoS: Hadron Resonance Gas
- Non-trivial interactions can be added through QMD approach



Can we emulate UrQMD with DL?

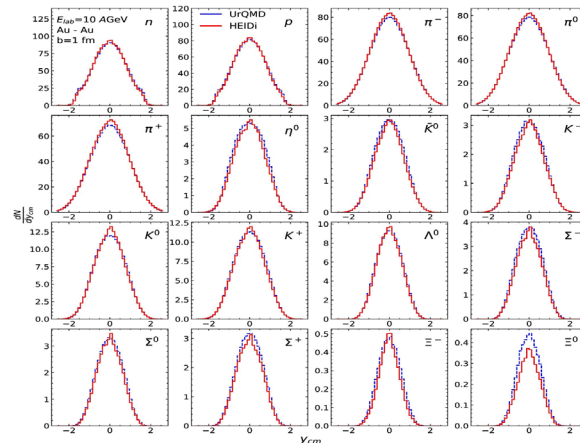
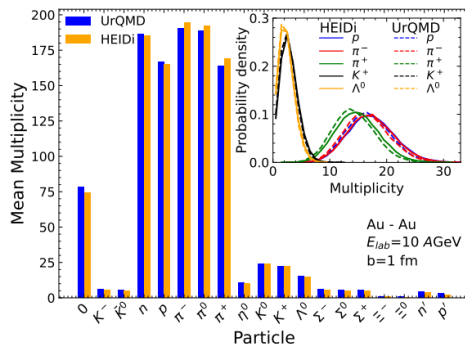
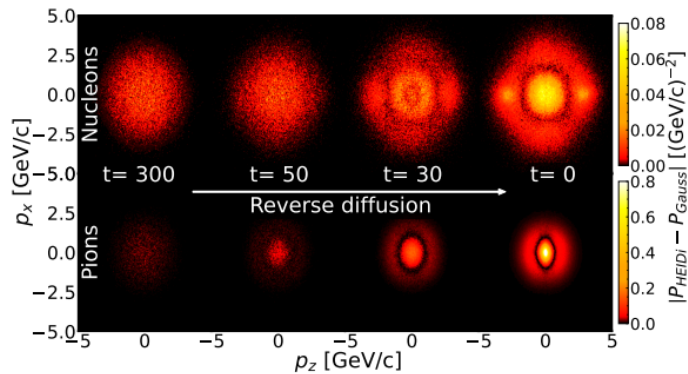
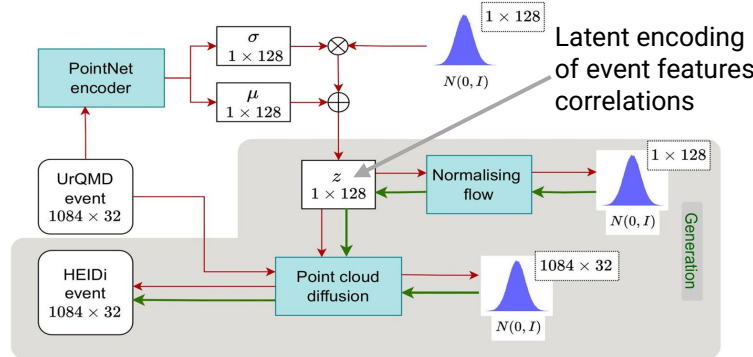
# Point Cloud Diffusion Model for HICs – AI clone of simulation



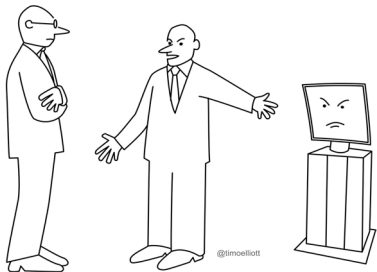
His decisions aren't any better than yours  
— but they're WAY faster...

- 18k UrQMD simulation events for central Au-Au@10 AGeV collisions
- HEIDI:**  
Heavy-ion Events through Intelligent Diffusion

PointNet encoder + Normalizing flow decoder + Pointcloud diffusion →



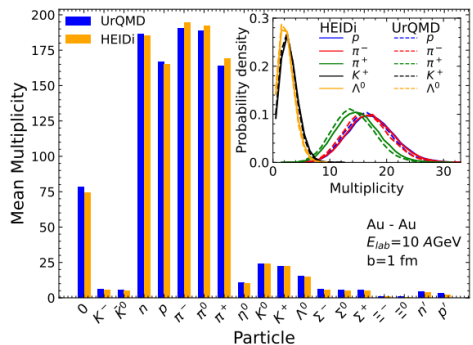
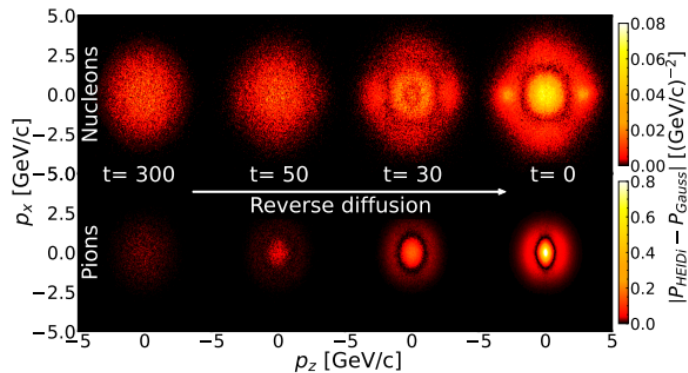
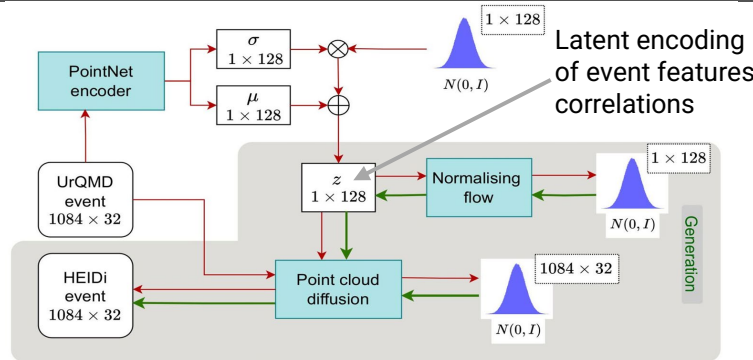
# Point Cloud Diffusion Model for HICs – AI clone of simulation



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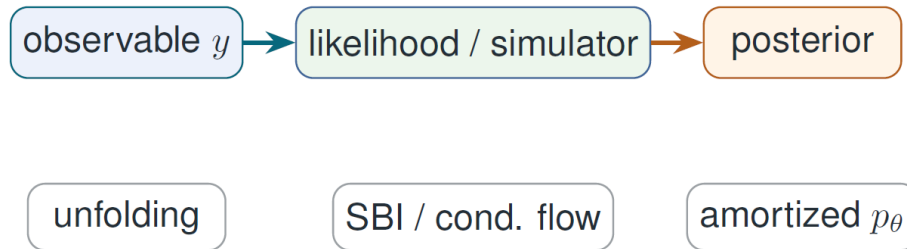
PointNet encoder + Normalizing flow decoder + Pointcloud diffusion →



- **Running time of** UrQMD simulation cascade : ~ 3 sec/event;  
with potential : ~ 3 min/event;  
hybrid : ~ 1 hour/event
- HEIDI on A100: ~ 30 ms/event
- Speedup **2 ~ 5 orders** of magnitude

$$p(\theta | y) \propto p(y | \theta) p(\theta)$$

- ▶ The physics signal may be hidden, smeared, or only indirectly observed.
- ▶ GenAI enters as learned unfolding, conditional density estimation, or simulation-based inference.
- ▶ The desired output is a **distribution over explanations**, not only a point estimate.

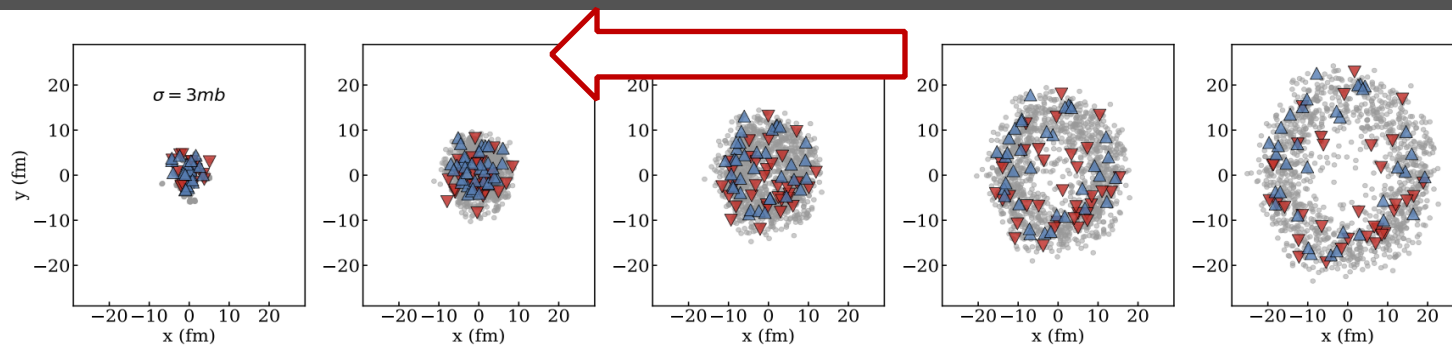


## Physics interpretation

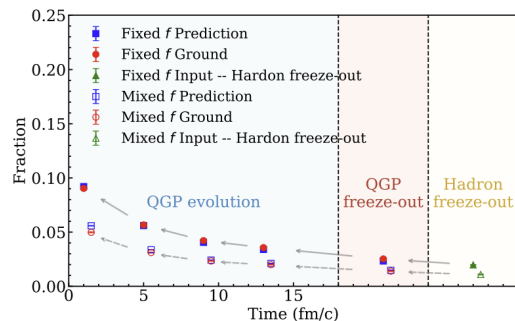
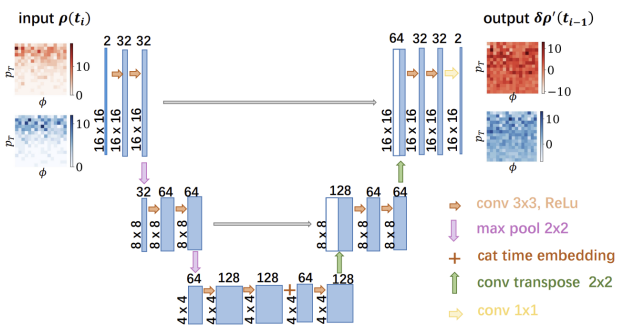
The model should not merely predict a hidden variable; it should quantify which physical explanations remain plausible.

**Inverse problems turn GenAI from a generator into an uncertainty-aware inference tool.**

# Unfold CME in HICs with time-embedded UNet



$$f = \frac{N_{\uparrow(\downarrow)}^{\pm} - N_{\downarrow(\uparrow)}^{\pm}}{N_{\uparrow(\downarrow)}^{\pm} + N_{\downarrow(\uparrow)}^{\pm}}$$



- Reconstruct CME-related charge separation from late-stage momentum-space information.
- The signal is blurred by partonic interactions, hadronization, and hadronic rescattering.
- CME unfolding is therefore a **dynamical inverse problem**: approximate the time-reversed evolution step by step.

## Interpretation

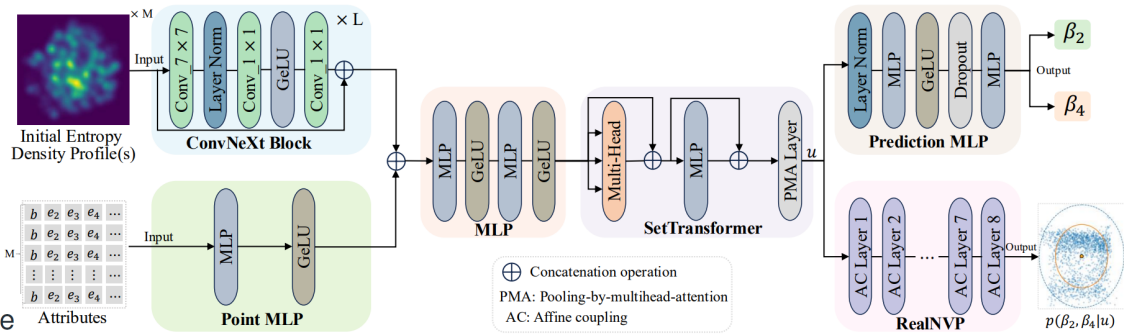
The model is not predicting one summary statistic. It is approximating a time-reversed transport map for the CME signal itself.

$$\delta\rho(t_{i-1}) = \rho(t_{i-1}) - \rho(t_i)$$

$$\rho'(t_{i-1}) = \delta\rho'(t_{i-1}) + \rho(t_i)$$

# Inference on nuclear structure in HICs

- ▶ initial entropy-density or nucleon-configuration features are encoded from event bags;
- ▶ conditional flow/SBI returns a calibrated posterior over deformation parameters;
- ▶ the GenAI component represents non-Gaussian uncertainty, not only regression.



- ▶ Single events are too noisy: participant fluctuations obscure deformation information.

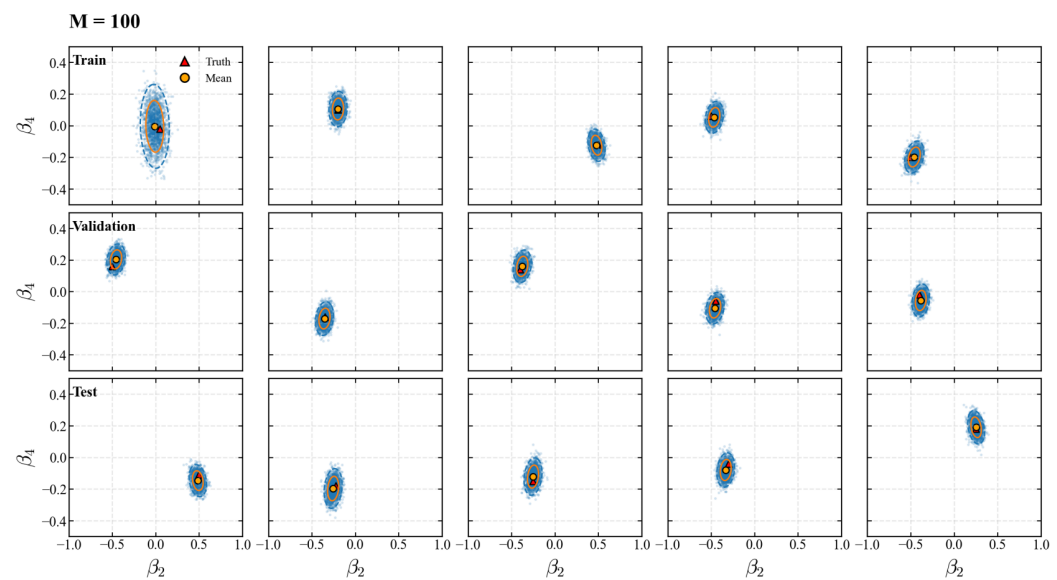
- ▶ Grouping many events with the same deformation parameters strongly improves identifiability.

- ▶ Conditional normalizing flows provide

$$p_{\theta}(\beta_2, \beta_4 \mid \text{event bag})$$

instead of a single estimate.

- ▶ GenAI acts as a many-event likelihood-to-posterior map.



## Lattice QFT

### sampler and PDE testbed

$$\pi[\phi] \propto e^{-S[\phi]}$$

transport must preserve action, symmetries, cumulants, topology, and path-space diagnostics

## HIC simulation

### conditional surrogate

$$p(\text{event} \mid c)$$

fast generators must preserve event structure relevant for Bayesian calibration and observables

## Inverse problems

### posterior engine

$$p(\theta \mid y)$$

models should infer hidden variables, uncertainties, and earlier dynamical stages

**probability paths + action structure + physical validation**

## Unifying statement

GenAI is useful here when it becomes a controlled transport tool: a sampler, a surrogate, or a posterior map whose errors are judged by physics.

# Thanks !

# AI FOR SCIENCE

Initial Target JIF 10  
Long Term Target JIF 20



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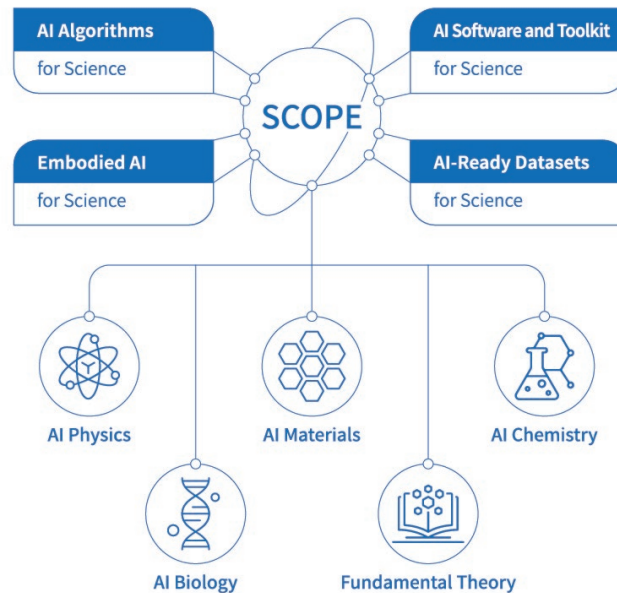
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