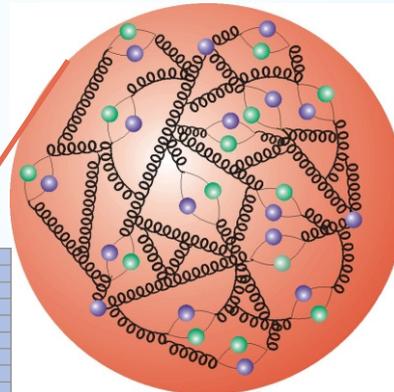
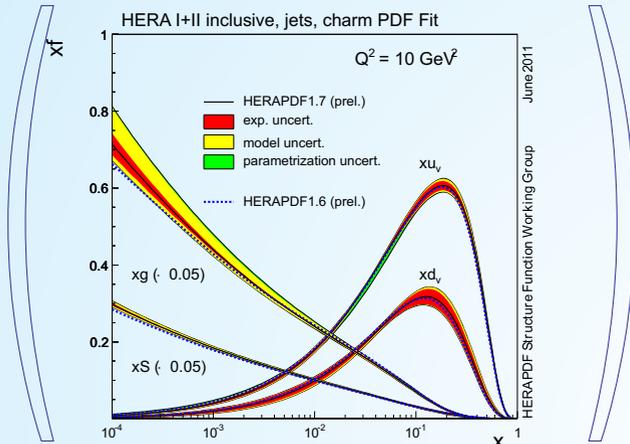
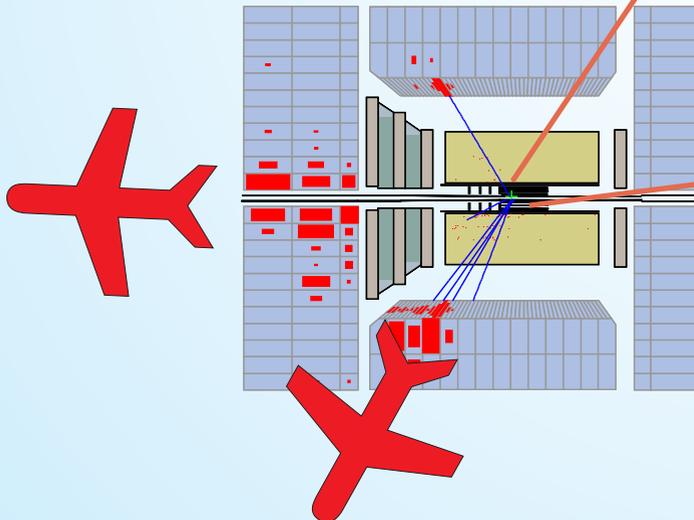


# Proton Structure Functions and

# Tests of QCD at HERA

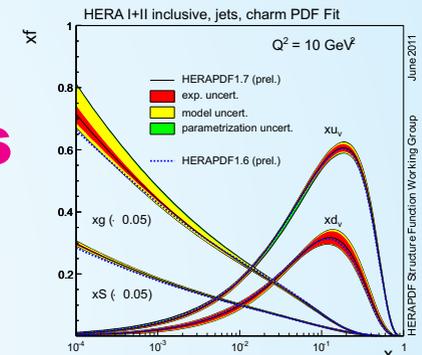
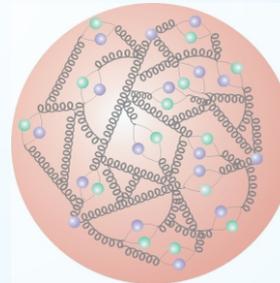


**Beijing, 4.9.2013**  
**I.Abt, MPI München**



# Content

- **Protonic Facts and Elastic Scattering**
- **Cross Sections and Structure Functions**
  - **Measurements**
  - **Parton Distribution Functions**
- **QCD**
  - **Jets and  $\alpha_s$**
- **Proton Structure ?**
  - **Photon Structure ?**
- **Proton Size and Shape**
- **Summary & Outlook**



# Before I start

## Multiple Apologies

**I really know very little about the proton:**

- **mass = 1GeV =  $1.67 \cdot 10^{-27}$  kg**
- **3 valence quarks**
- **charge = +1**
- **spin = 1/2**
- **radius  $\approx$  1 fm; shape?**
- **lifetime » age of the universe**
- **afflicted by QCD**

I have no real explanation for any of this!



And I am sorry, if I should disturb you doing your Email or reading your favorite newspaper.



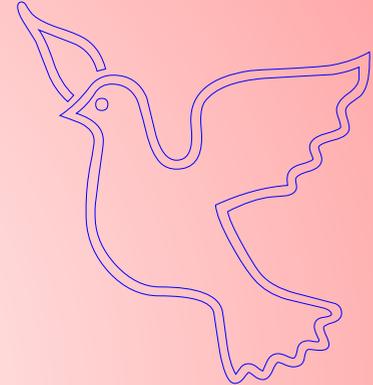
# **DISCLAIMER**

**I will not try to be complete  
on any subject.**

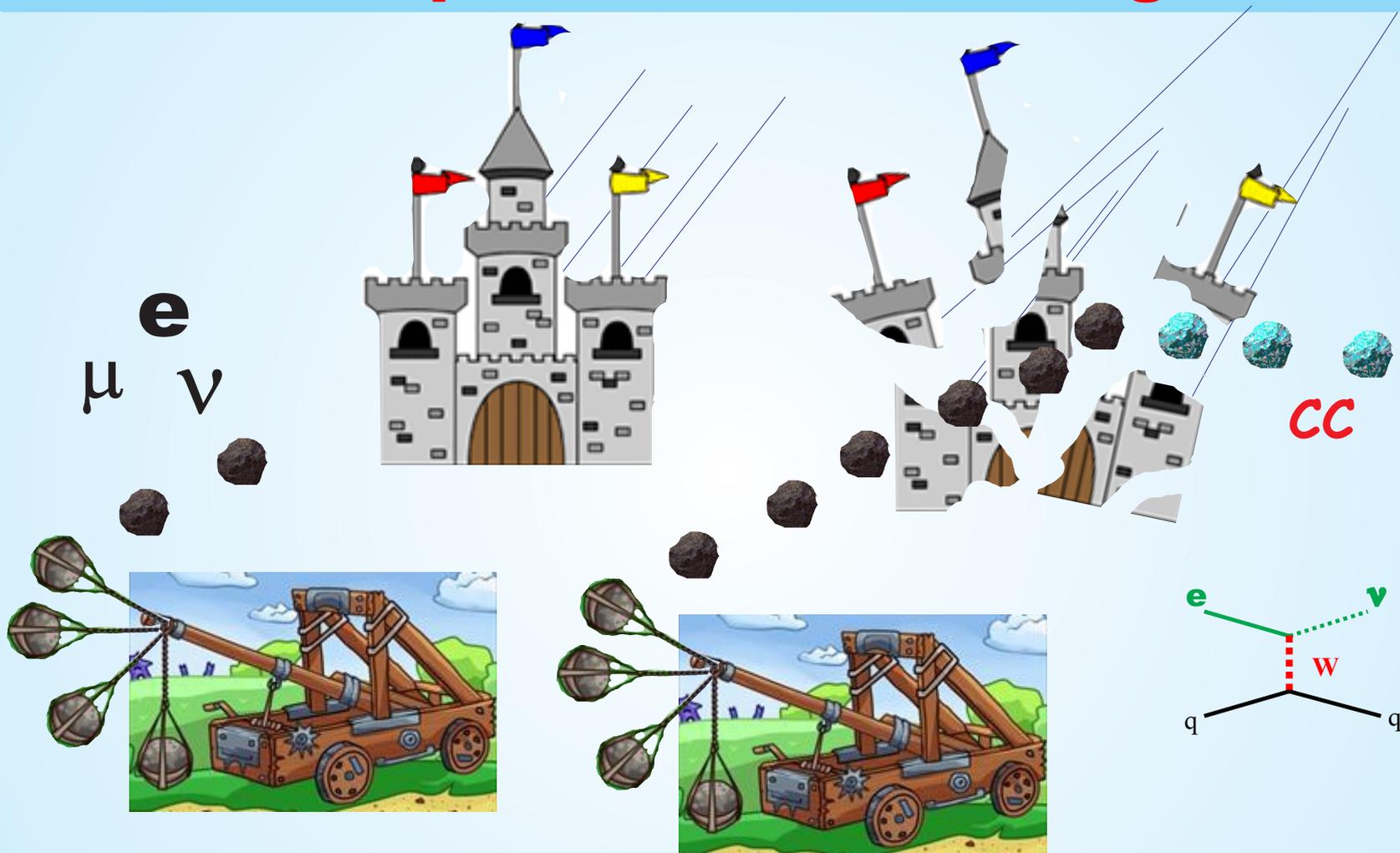
**I have selected what I saw fit  
to make my point.**

**Any opinion is mine and only mine and is  
in no way supported by either  
ZEUS or H1 or probably anybody else.**

**Nevertheless I am proud to represent  
H1 and ZEUS.**



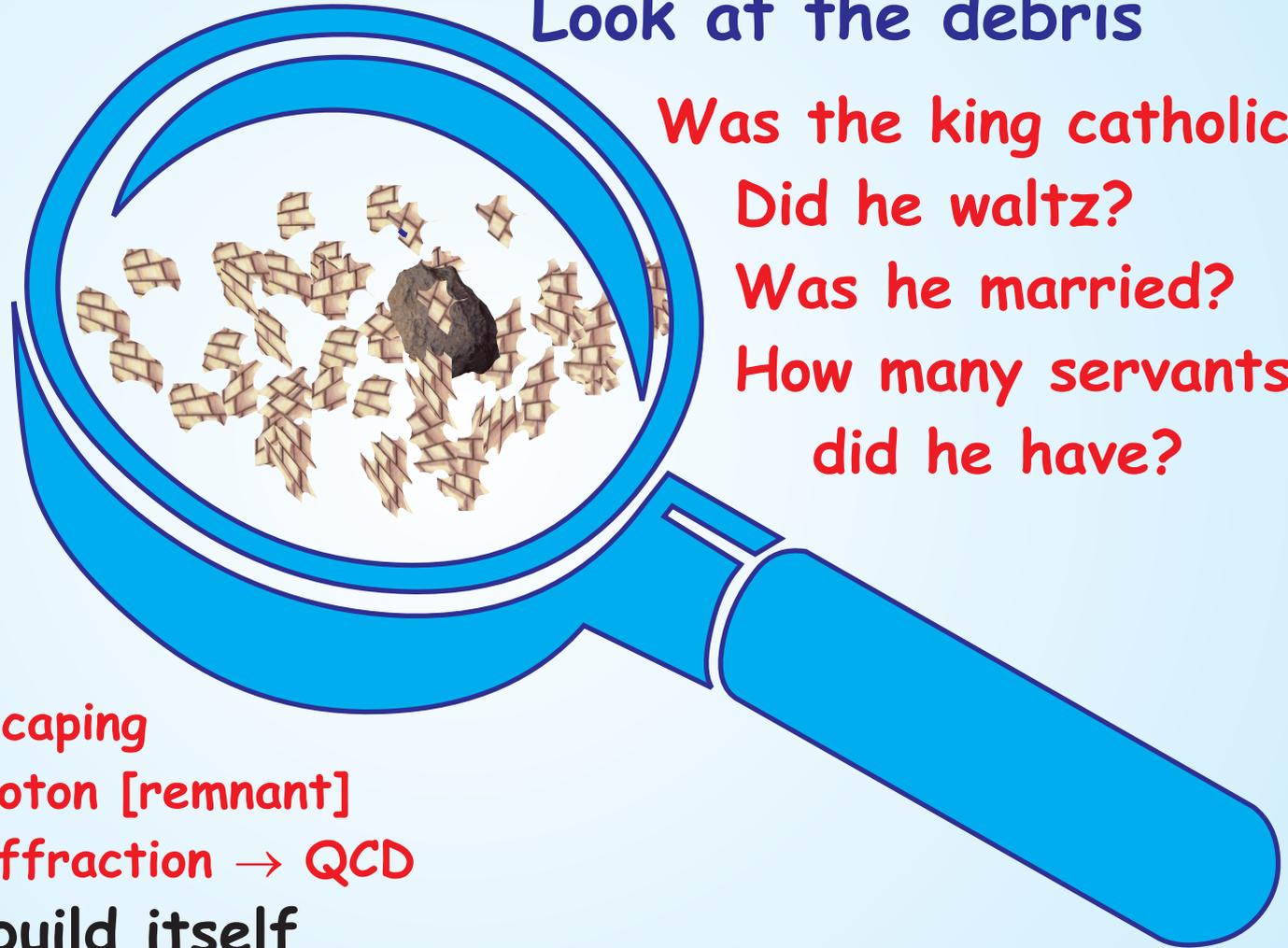
# Deep Inelastic Scattering



# Deep Inelastic Scattering

Look at the debris

Was the king catholic?  
Did he waltz?  
Was he married?  
How many servants  
did he have?



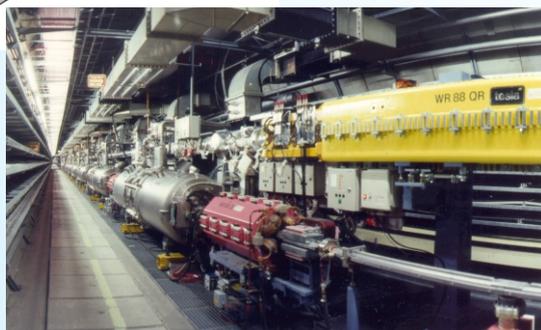
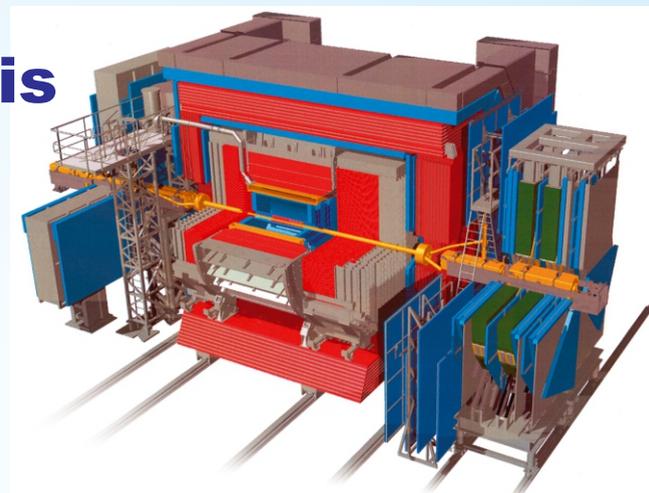
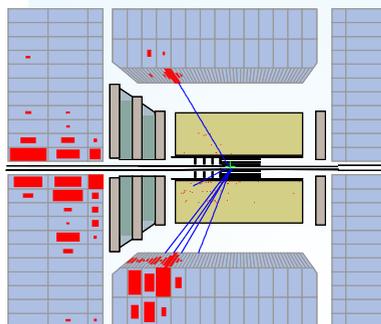
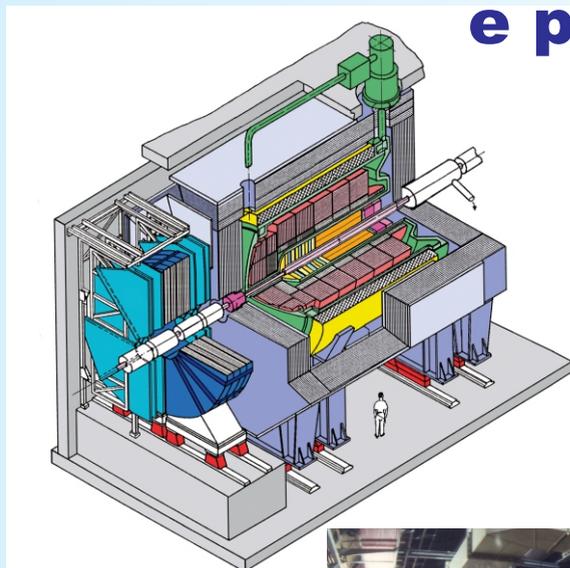
Escaping  
proton [remnant]  
Diffraction  $\rightarrow$  QCD

This can rebuild itself

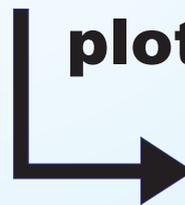
# The Microscope

That is what we measure!

$e p \rightarrow e (\nu) \text{ debris}$



We sort events,  
classify, count,  
plot and interpret.



kinematic  
variables

# Kinematics

**Virtuality**  $Q^2 = -(k - k')^2$

**Spatial resolution of probe**

$$\lambda \sim 1/\sqrt{Q^2}$$

**Bjorken scaling variable:**

$$x = Q^2 / 2pq$$

**Momentum fraction of struck parton**

**Inelasticity:**  $y = pk / pq$

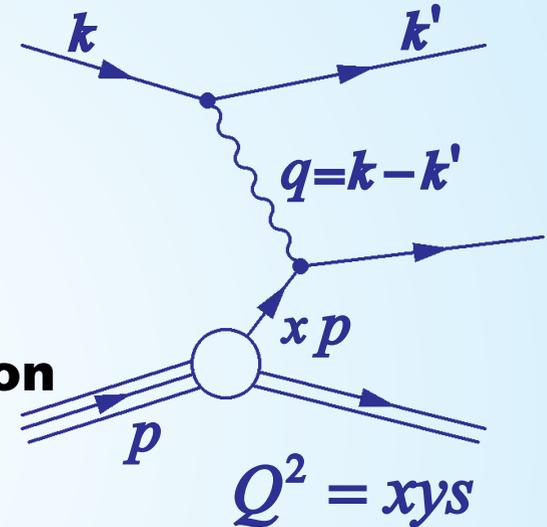
**Energy transfer to proton (in p rest frame)**

## Reconstruction

$$y_e = 1 - \frac{E'_e(1 - \cos \theta_e)}{2E_e}$$

$$Q_e^2 = \frac{E'_e{}^2 \sin^2 \theta_e}{1 - y_e}$$

$$x_e = \frac{Q_e^2}{4E_p E_e y_e}$$



# Factorisation

**Decompose cross section:**

$$\sigma(ep \rightarrow e + H + X) = \sum_{j,j'=q,\bar{q},g} f_{j/p}(x, Q) \otimes \hat{\sigma}_{jj'}(x, Q, z) \otimes F_{H/j'}(z, Q)$$

**parton  
distribution  
functions**

**PDF**

**partonic  
cross section**

**hadronisation**

**NC**  $V^* = \gamma^*, Z^*$

Born  $V^* q \rightarrow q$

boson-gluon-fusion  $V^* g \rightarrow q\bar{q}$

QCD-Compton-scattering  $V^* q \rightarrow qg$

**CC**  $W^*$

$V^* q \rightarrow q'$

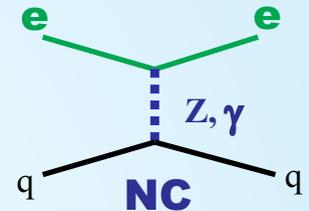
lowest-order QCD

# Structure Functions

$e^\pm p$

tree level

$$\sigma_{r,NC}^\pm = \frac{d^2\sigma_{NC}^{e^\pm p}}{dx dQ^2} \cdot \frac{Q^4 x}{2\pi\alpha^2 Y_+} = \tilde{F}_2 \mp \frac{Y_-}{Y_+} x\tilde{F}_3 - \frac{y^2}{Y_+} \tilde{F}_L$$



$$\begin{aligned} \tilde{F}_2 &= F_2 - \kappa_Z v_e \cdot F_2^{\gamma Z} + \kappa_Z^2 (v_e^2 + a_e^2) \cdot F_2^Z & Y_\pm &= 1 \pm (1-y)^2 \\ \tilde{F}_L &= F_L - \kappa_Z v_e \cdot F_L^{\gamma Z} + \kappa_Z^2 (v_e^2 + a_e^2) \cdot F_L^Z & v_e & \text{vector} \\ x\tilde{F}_3 &= \kappa_Z a_e \cdot xF_3^{\gamma Z} - \kappa_Z^2 \cdot 2v_e a_e \cdot xF_3^Z & a_e & \text{axial-vector} \end{aligned}$$

$eZ$  weak couplings

$$\kappa_Z(Q^2) = Q^2 / [(Q^2 + M_Z^2)(4 \sin^2 \theta_W \cos^2 \theta_W)] \quad (2)$$

QPM  $\tilde{F}_L = 0$

$$(F_2, F_2^{\gamma Z}, F_2^Z) = [(e_u^2, 2e_u v_u, v_u^2 + a_u^2)(xU + x\bar{U}) + (e_d^2, 2e_d v_d, v_d^2 + a_d^2)(xD + x\bar{D})]$$

$$(xF_3^{\gamma Z}, xF_3^Z) = 2[(e_u a_u, v_u a_u)(xU - x\bar{U}) + (e_d a_d, v_d a_d)(xD - x\bar{D})]$$

$$xU = xu + xc \quad x\bar{U} = x\bar{u} + x\bar{c} \quad xD = xd + xs \quad x\bar{D} = x\bar{d} + x\bar{s}$$

sea quarks = anti-quarks  
valence quark distributions

$$xu_v = xU - x\bar{U} \quad xd_v = xD - x\bar{D}$$



# Structure Functions

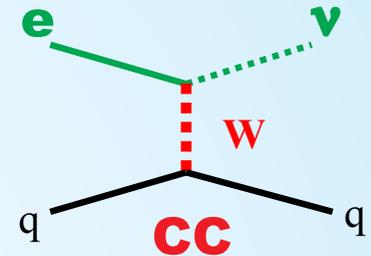
$e^\pm p$

tree level

$$\sigma_{r,CC}^\pm = \frac{Y_+}{2} W_2^\pm \mp \frac{Y_-}{2} xW_3^\pm - \frac{y^2}{2} W_L^\pm$$

QPM  $W_L^\pm = 0$

CC is unfortunately a bit more difficult.



$$W_2^+ = x\bar{U} + xD$$

$$xW_3^+ = xD - x\bar{U}$$

$$W_2^- = xU + x\bar{D}$$

$$xW_3^- = xU - x$$

$$\sigma_{r,CC}^+ = x\bar{U} + (1-y)^2 xD$$

$$\sigma_{r,CC}^- = xU + (1-y)^2 x\bar{D}$$

**NC** and **CC** yield **valence and sea quark distribution.**

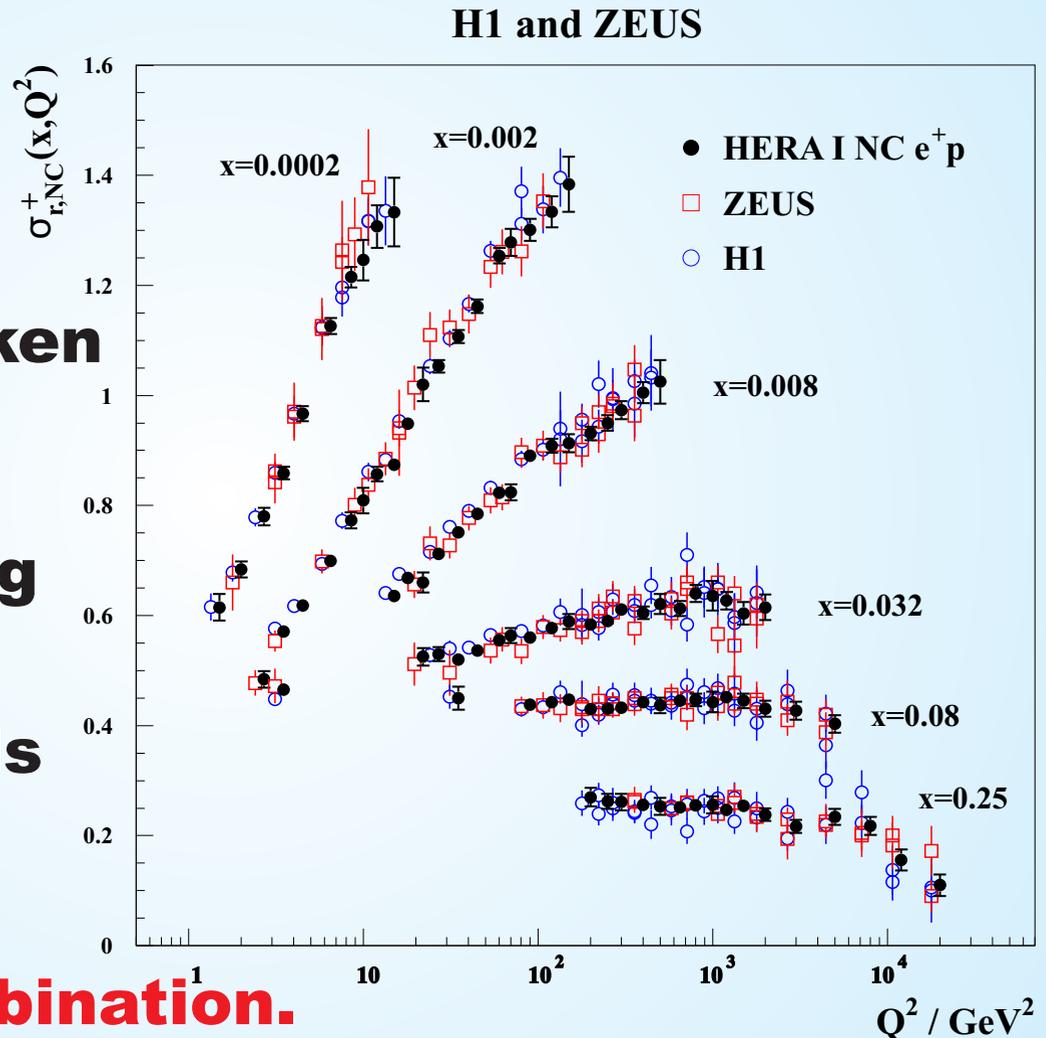
**QCD analysis [DGLAP] yields gluon distribution.**

# Advent of Precision

**2010:  
H1 and ZEUS  
publish combined  
results on data taken  
1993 to 2000.**

**10 years of fighting  
to understand  
detectors, methods  
and systematics.**

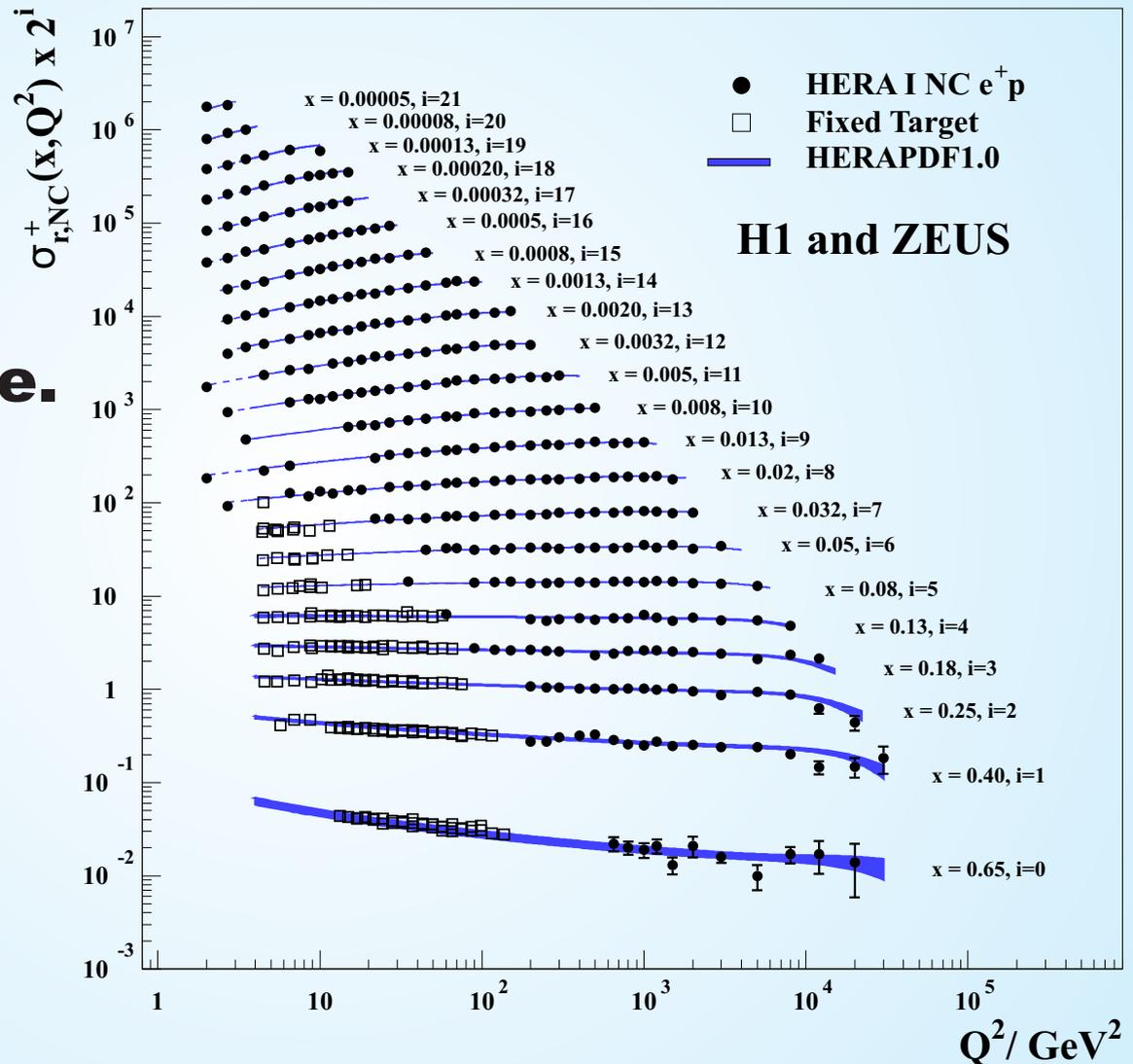
**The power of combination.**



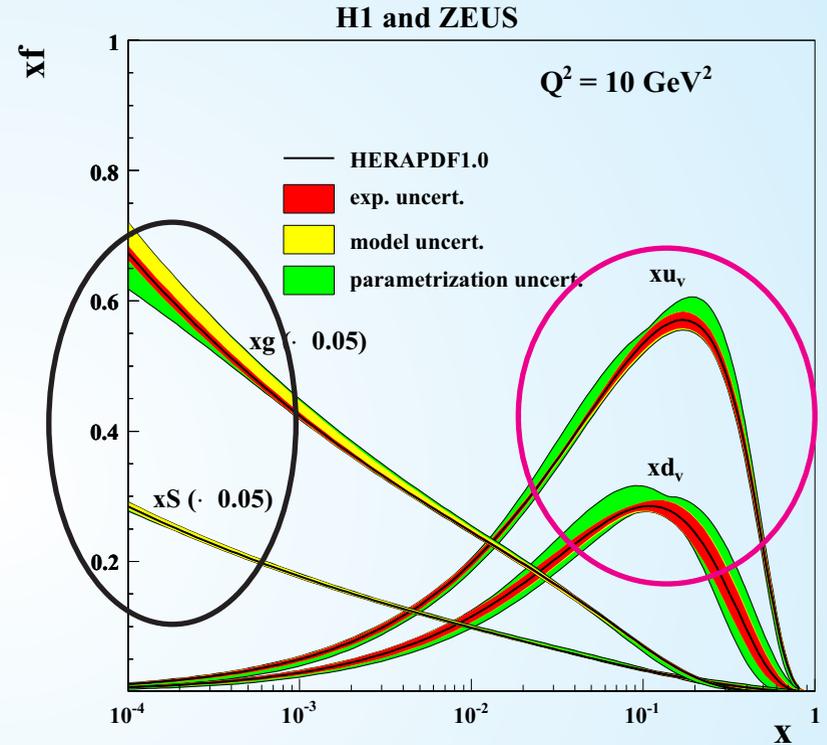
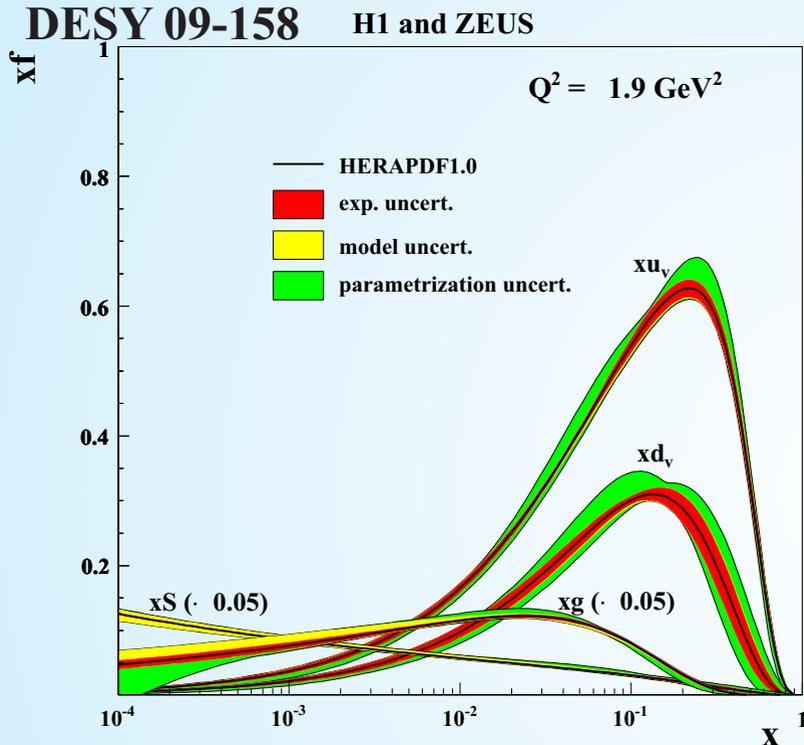
# Reduced Cross Section

Cross section data over a very large kinematic range.

HERA data were used as only input to fit HERAPDF 1.0



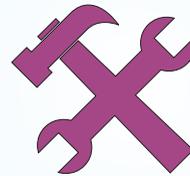
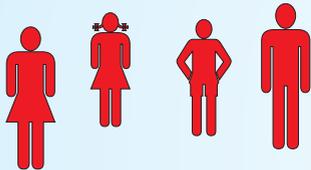
# HERAPDF1.0



**The proton pdfs reveal the valence quarks plus glue and sea evolving with  $Q^2$ . Inclusive DIS data alone can do this.**

# The PDF Community

CTEQ JR  
HERA MSTW  
NNPDF ABKM



Schemes

Parametrisations

systematic  
uncertainties

[HERA]fitter

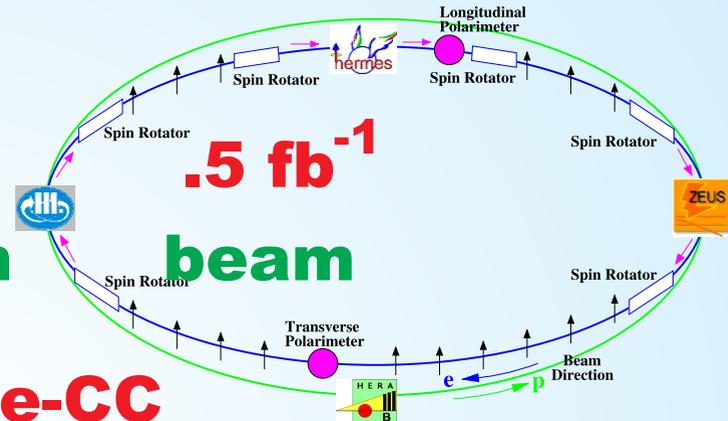
DATA → PDF → Prediction

Consistency between fit and “→” for prediction is essential.

# High Statistics Data

**HERA II 2003-2007**

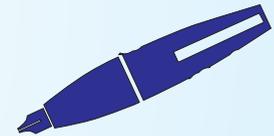
**ZEUS and H1 both got to  $\approx$   
polarised electron/positron**



**e+ NC e-NC e+CC e-CC**



**Everything high  $Q^2$   
is published !**



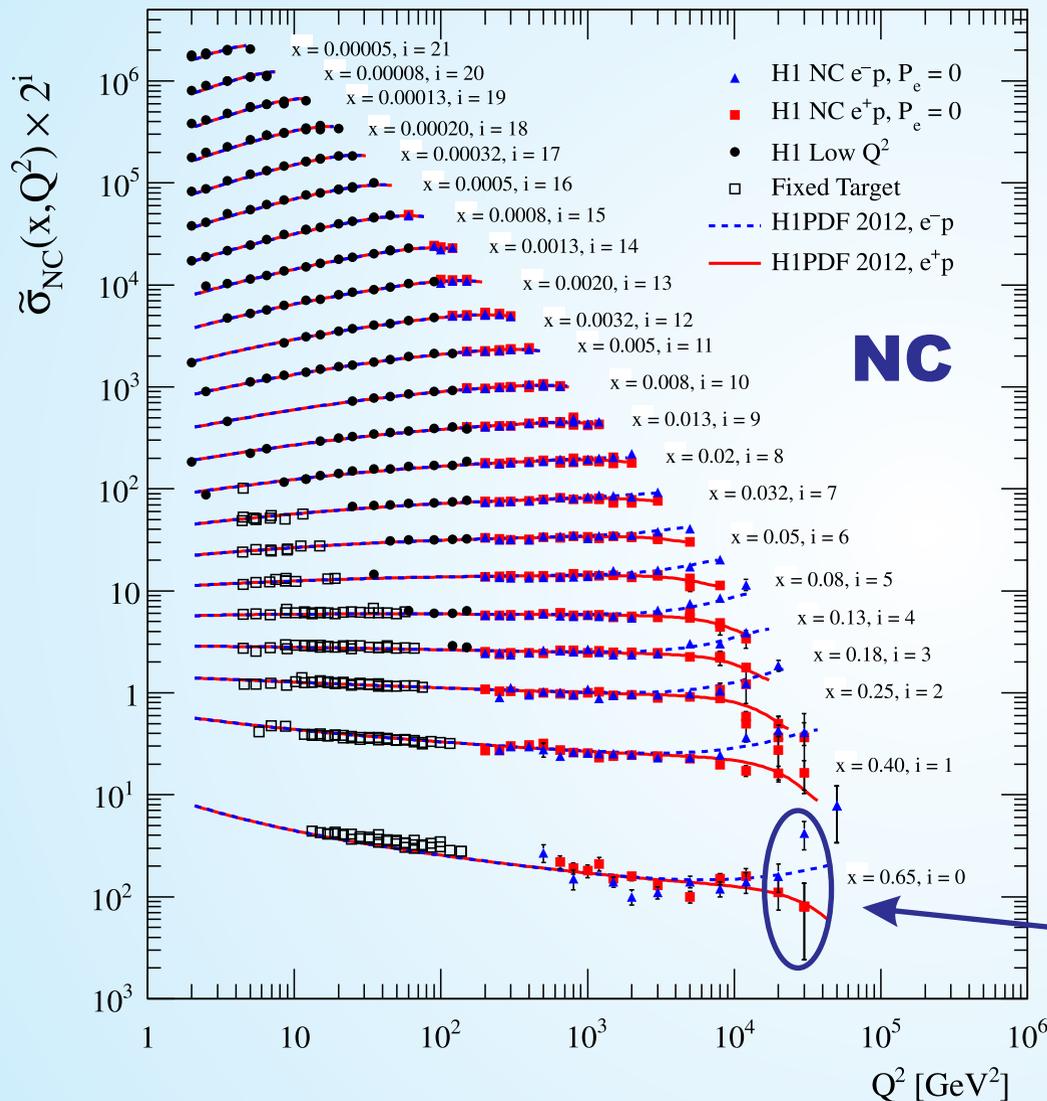
**In addition:**

**F<sub>2c</sub> F<sub>2b</sub> FL**

**F<sub>2diff</sub> jets**

**QCD**

# High Statistics Data



H1 Collaboration

HERA I + II

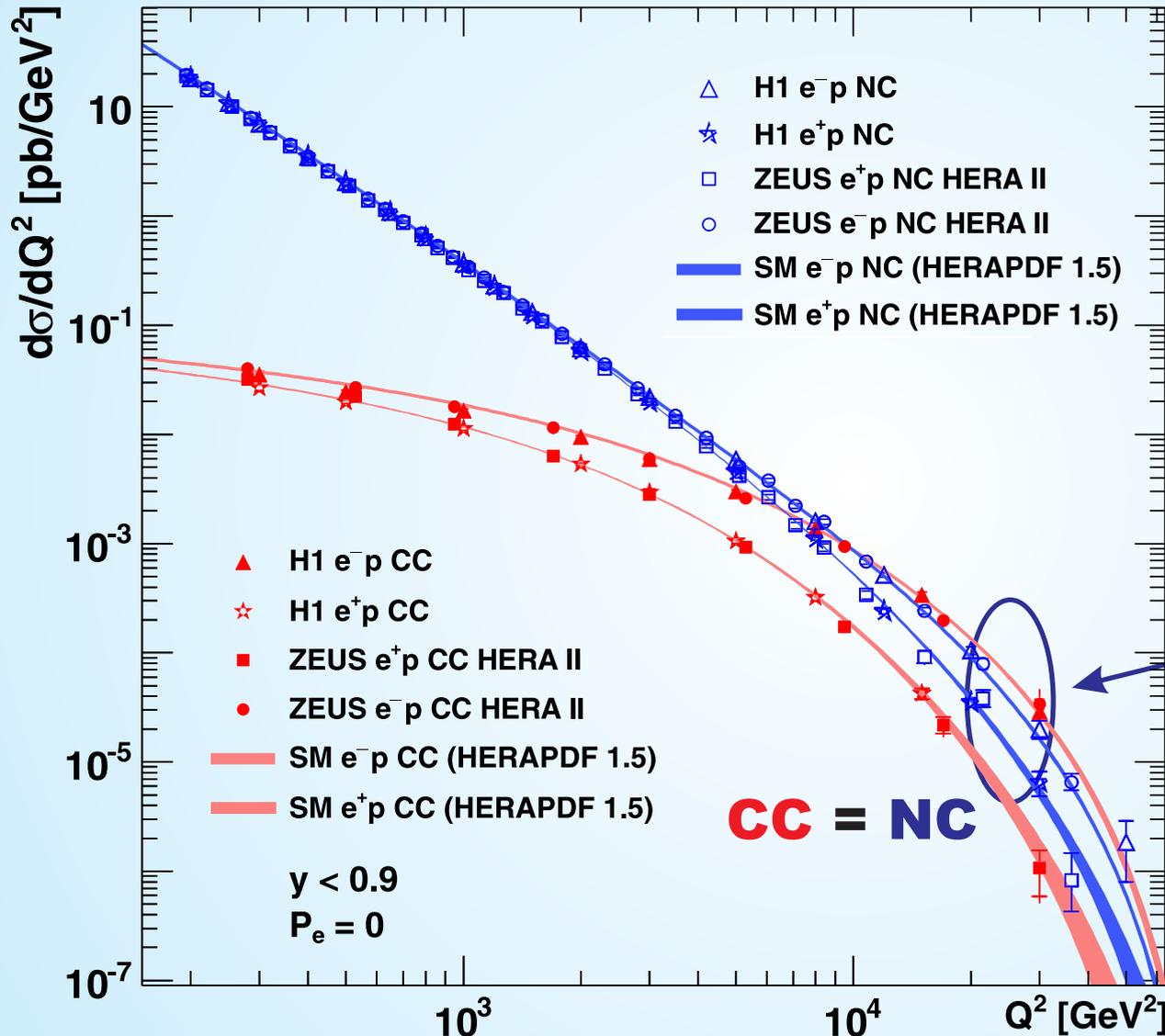
**Each experiment now has the precision of the HERA I combination.**

**Combining them is exciting!**

**difference between positron and electron data**

DESY 12-107

# High Statistics Data



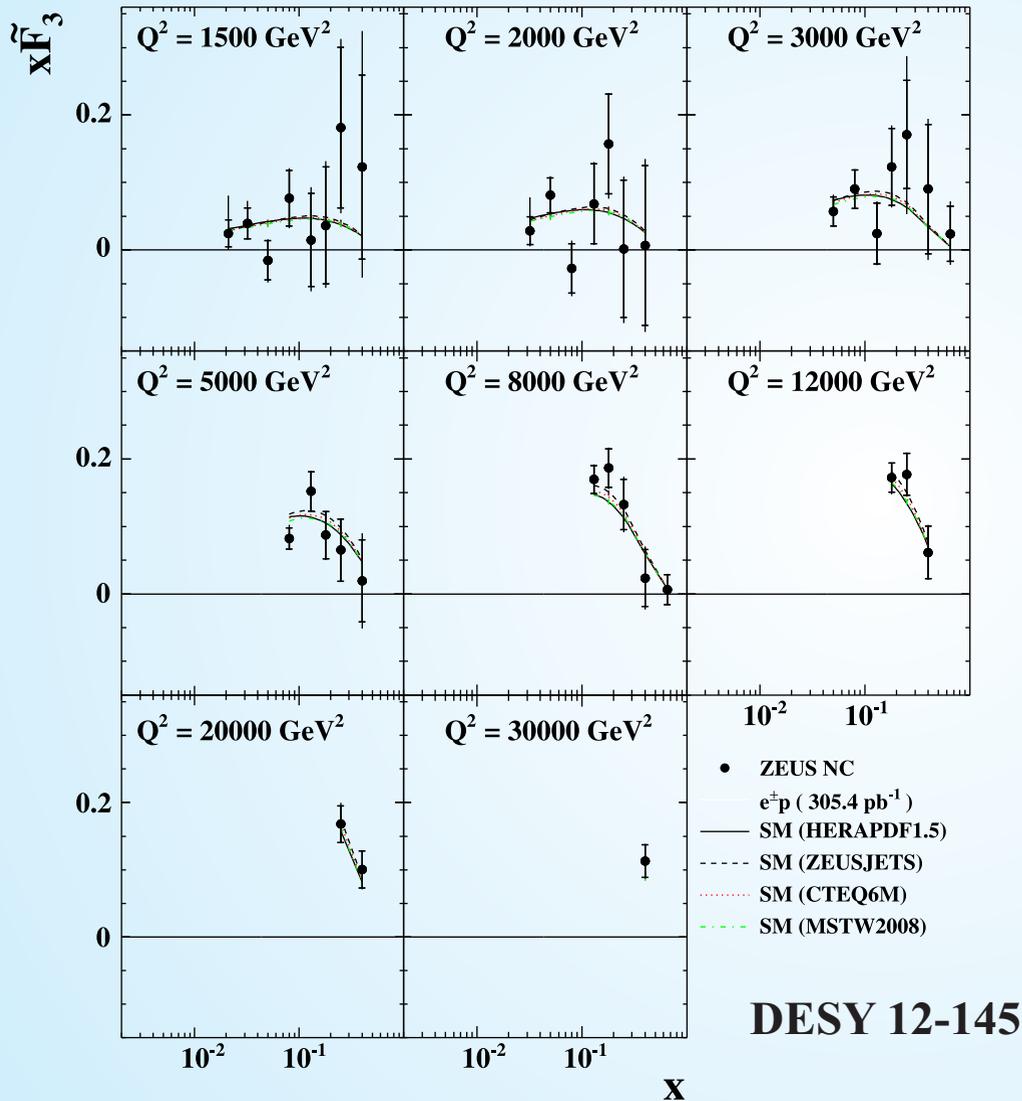
high  $Q^2$

NC CC

all published,  
combination  
ongoing !

$x F_3$   
difference  
between  
positron  
and electron  
data

# Valence Revealed

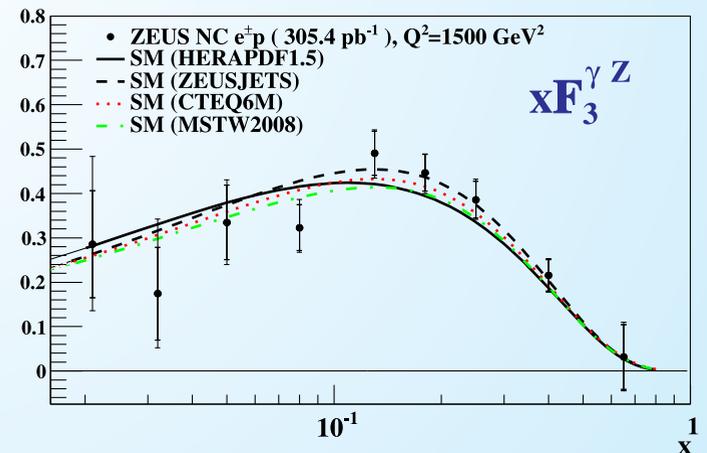


ZEUS

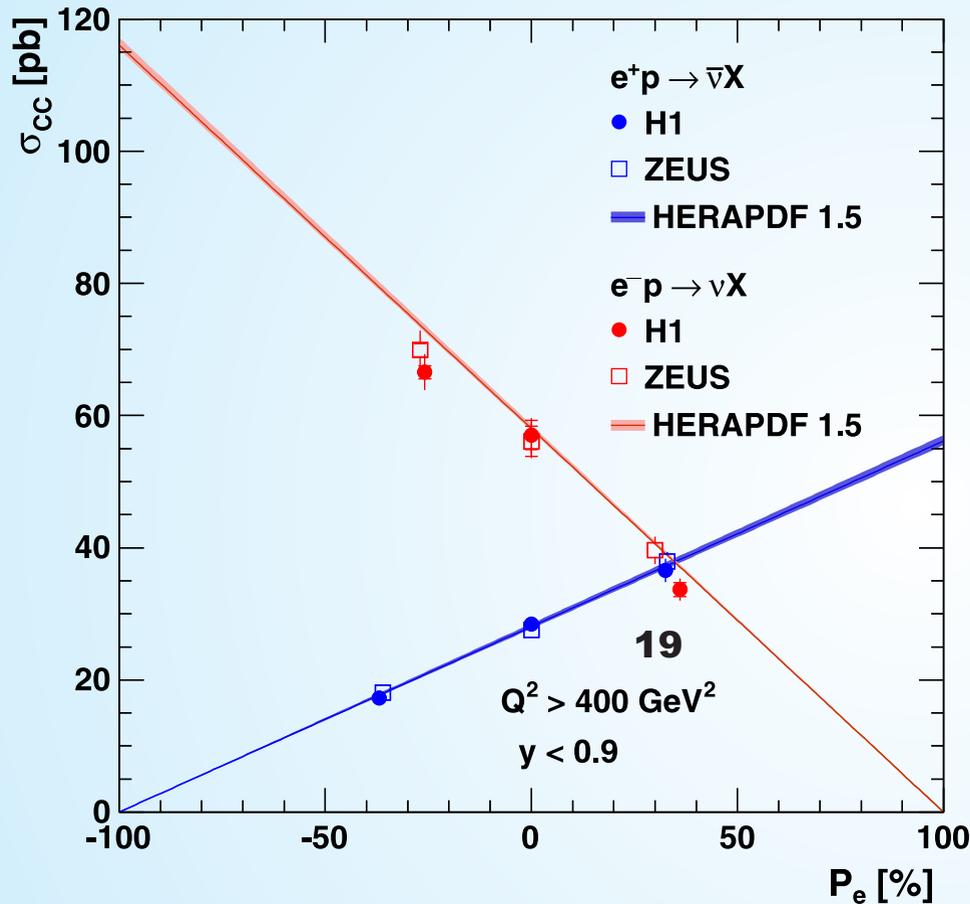
NC

positron and  
electron data

$$x\tilde{F}_3 = \frac{Y_+}{2Y_-} (\sigma_{r,\text{NC}}^- - \sigma_{r,\text{NC}}^+)$$



# Electroweak Effects

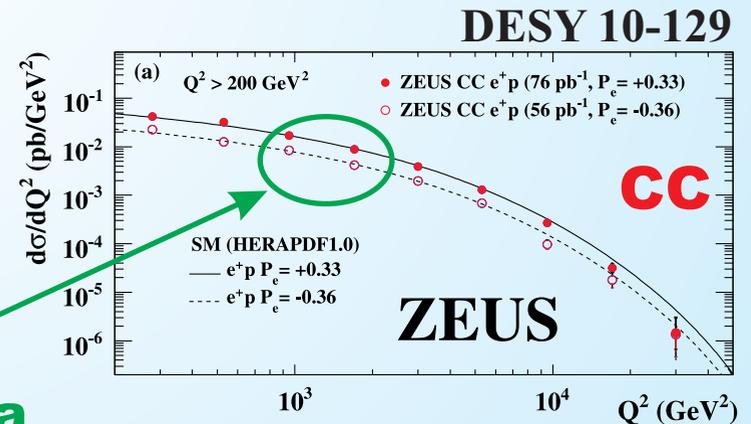


polarised  
positron data

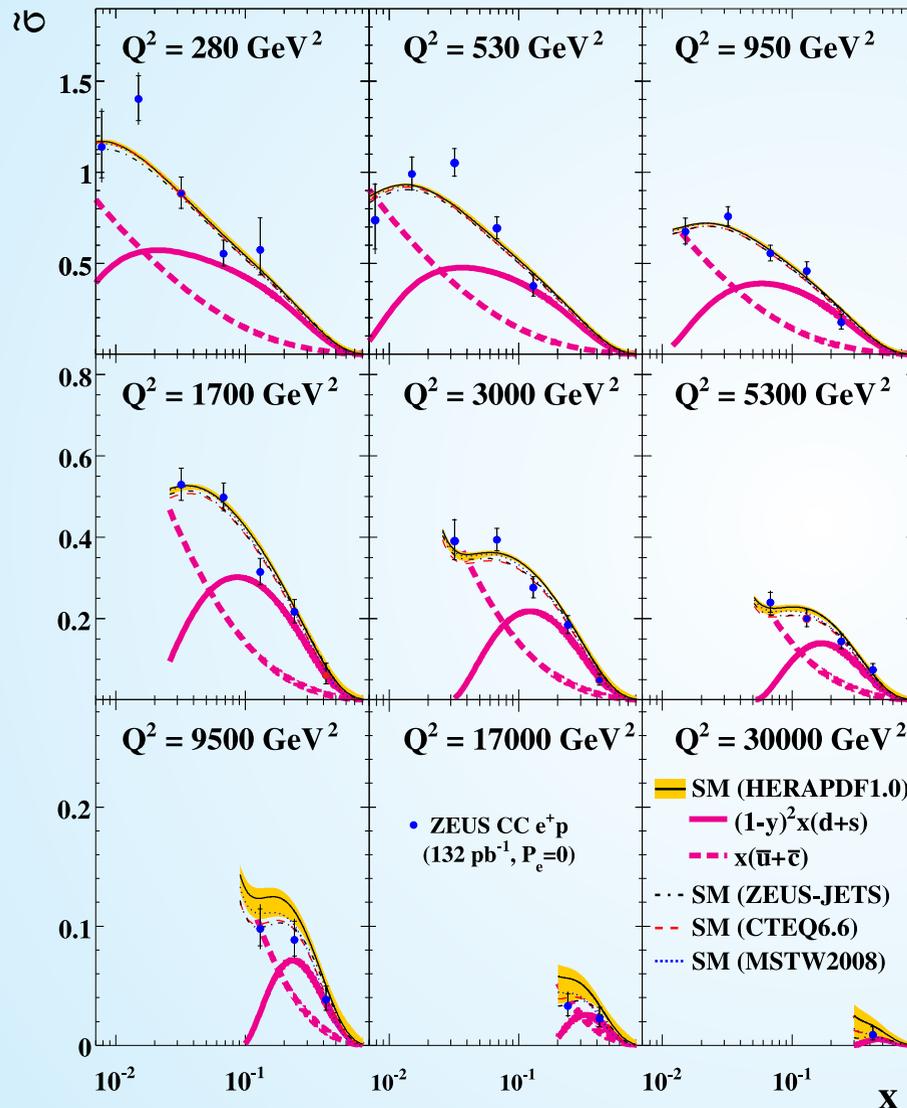
## H1 and ZEUS

HERA Charged Current  $e^\pm p$  Scattering

Electroweak analysis  
is ongoing



# The Charmed Sea



**ZEUS**

**DESY-10-129**

**CC**

**positron data**

$$\sigma_{r,CC}^+ = x\bar{U} + (1-y)^2 xD$$

$$xD = xd + xs$$

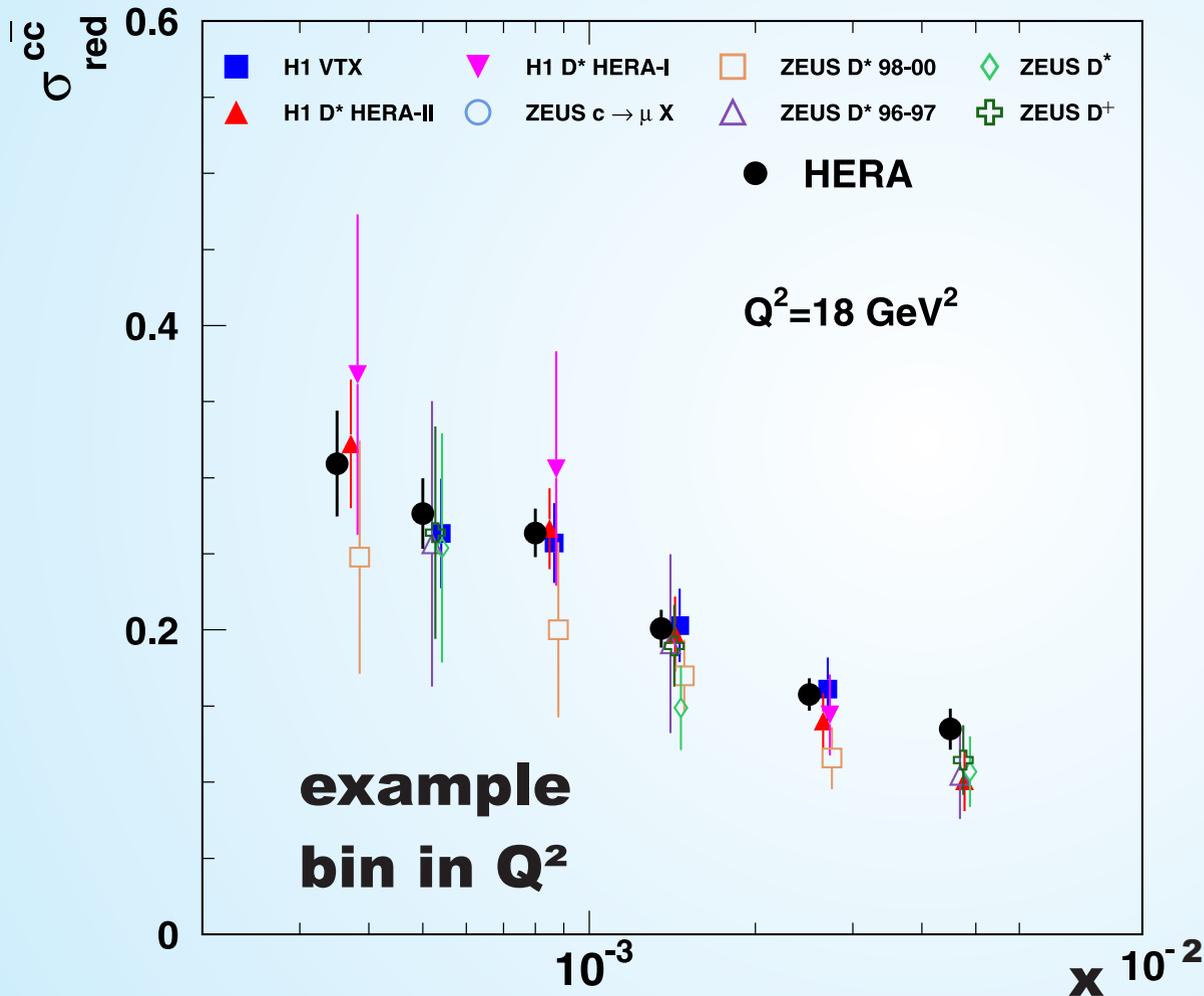
$$x\bar{U} = x\bar{u} + \underbrace{x\bar{c}}$$

**a hint of  
charm**



# Charm Structure Function

## H1 and ZEUS



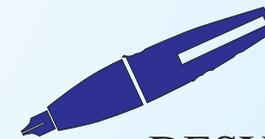
$$xU = xu + xc$$

a handle  
on charm



**NC :**

produce all  
kinds of D mesons  
[tagged somehow]

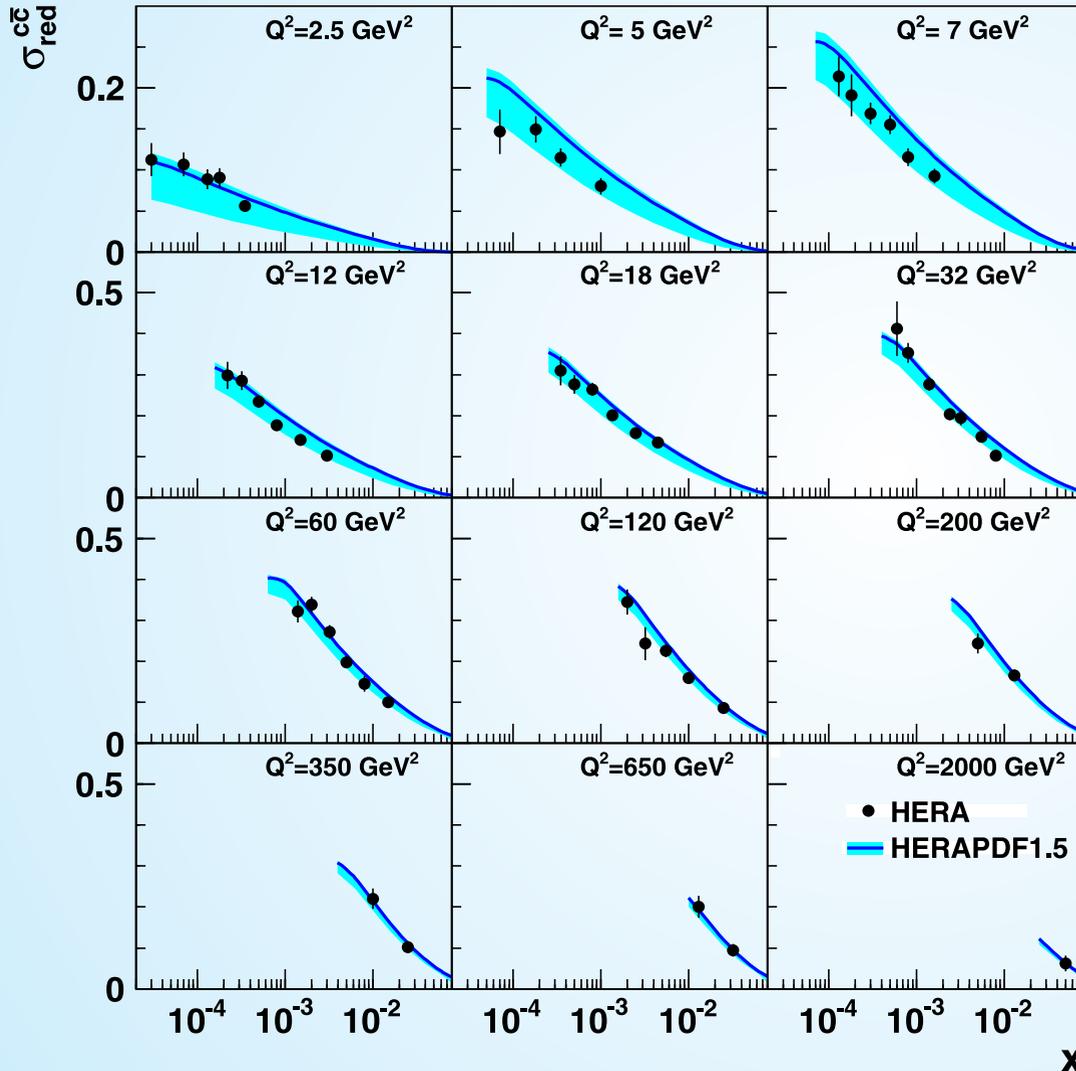


DESY 12-172

Some more data  
have been published  
for future combination.

# Charm Structure Function

H1 and ZEUS



**HERAPDF1.5**

**NLO prediction**

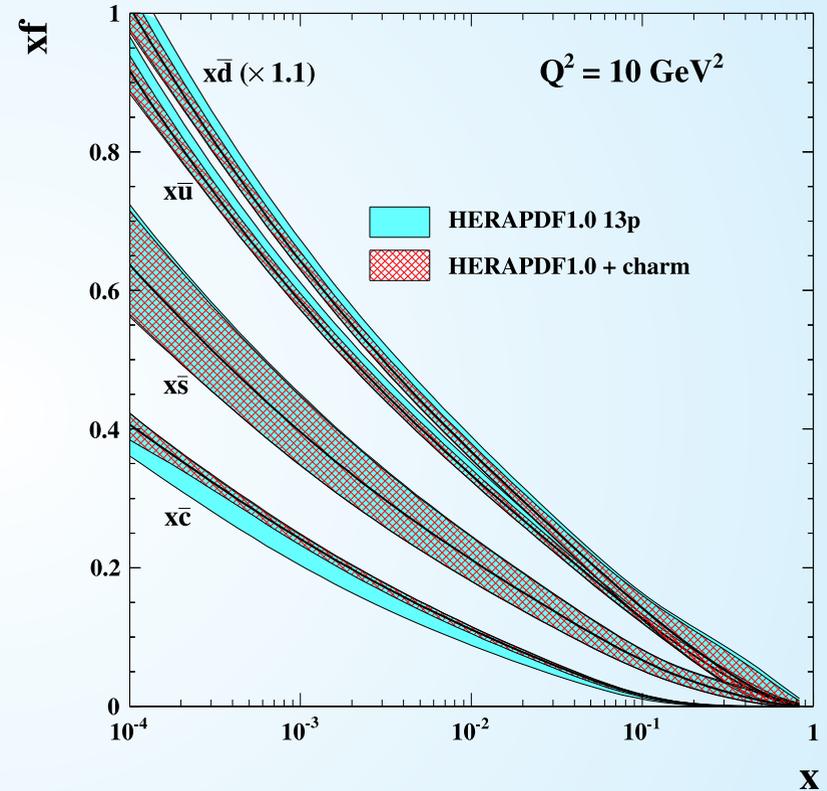
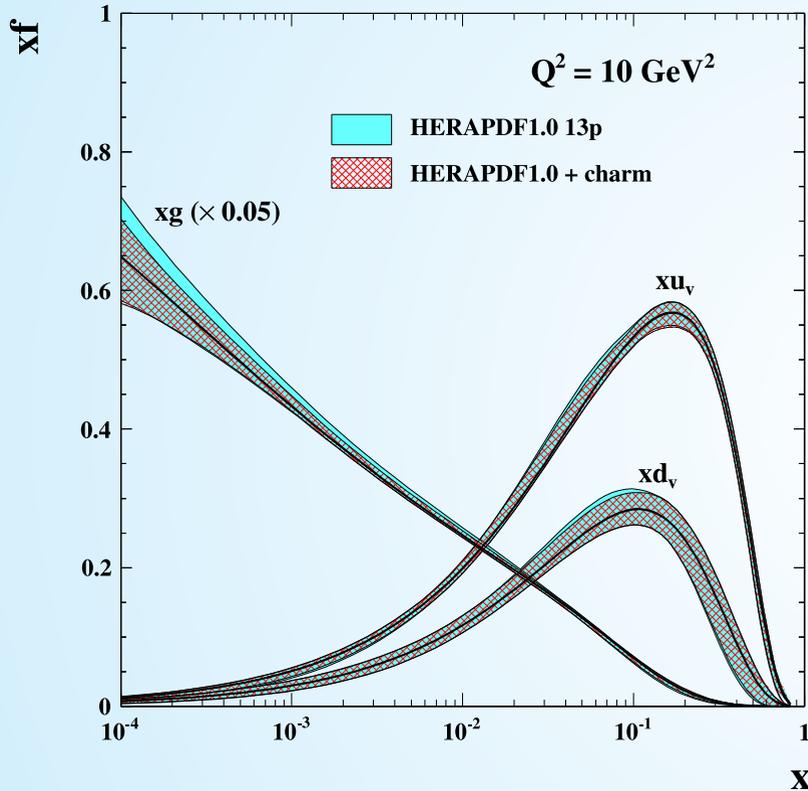
**RT standard**

**$M_c = 1.4 \text{ GeV}$**

**Uncertainty  
dominated by  
uncertainty  
on  $M_c$ .**

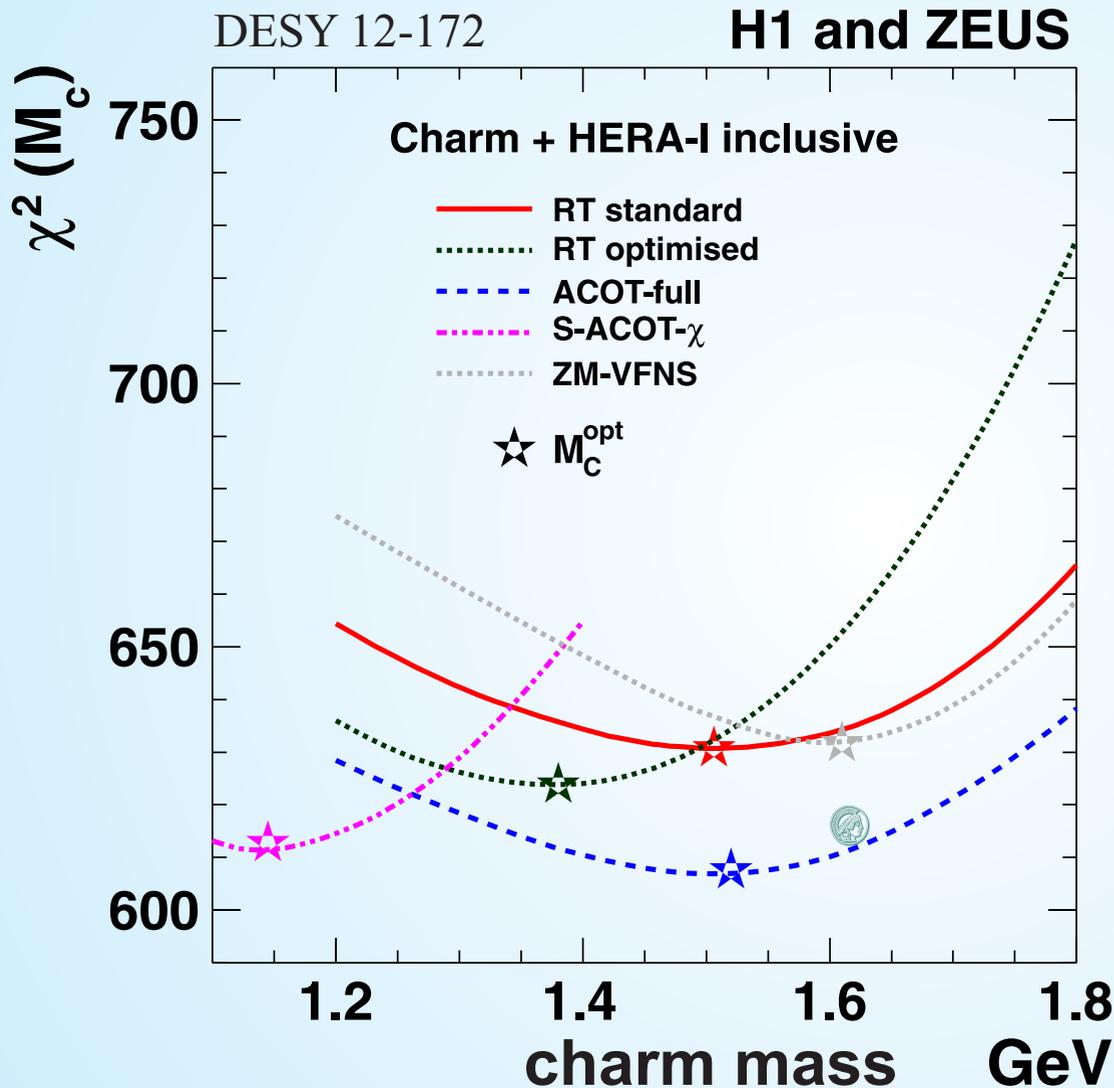
# HERAPDF with CHARM

## H1 and ZEUS



**The charm data are sensitive to glue and sea  
and to the charm mass....**

# Charm Mass



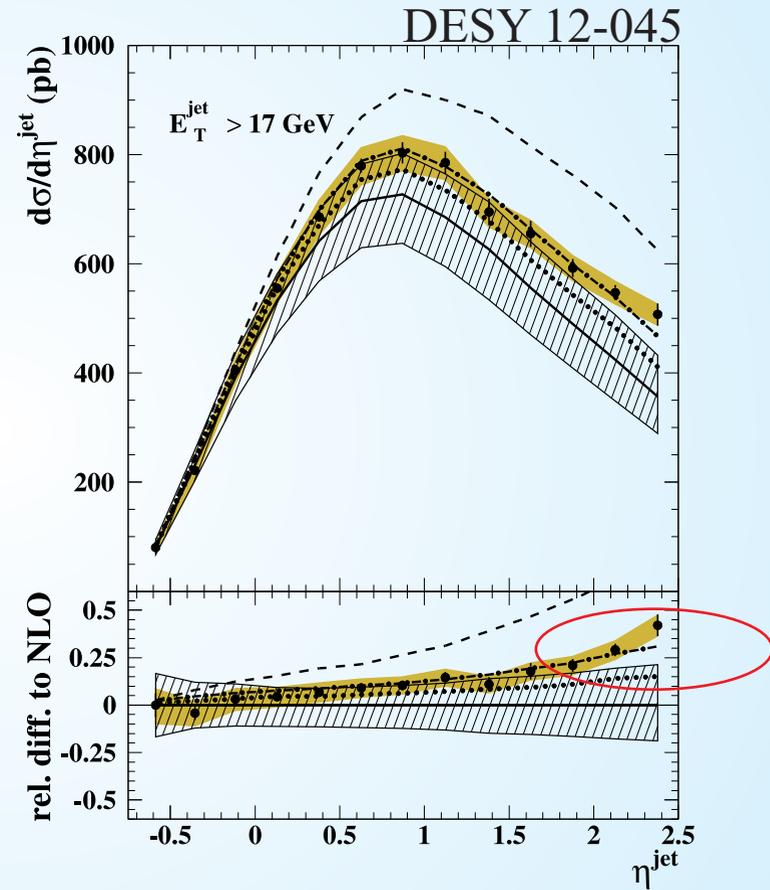
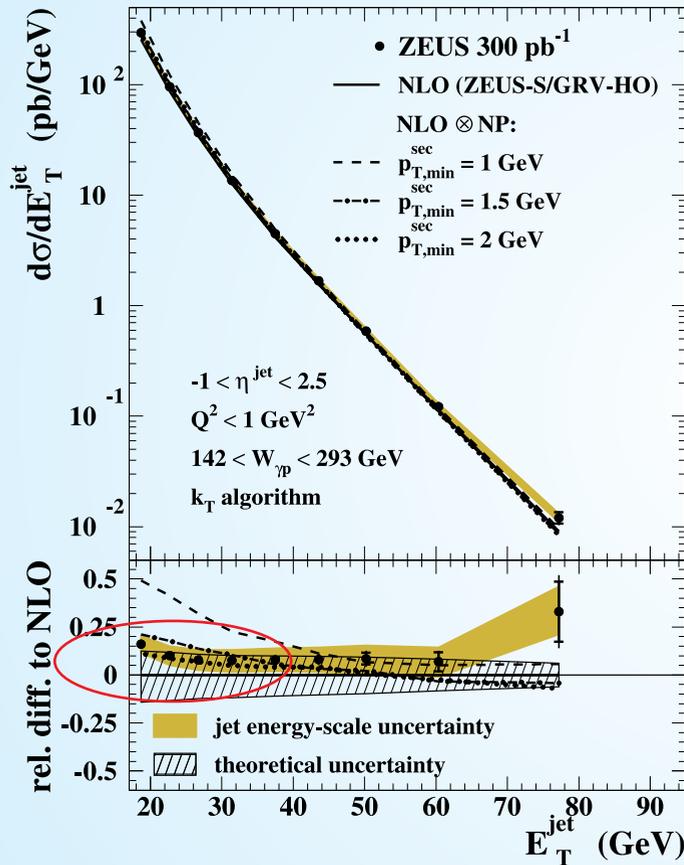
**Scan for the charm mass**

**The charm mass is a very splendid thing, it is a parameter that depends on the framework you are working in.**

**All part of the QCD fit.**

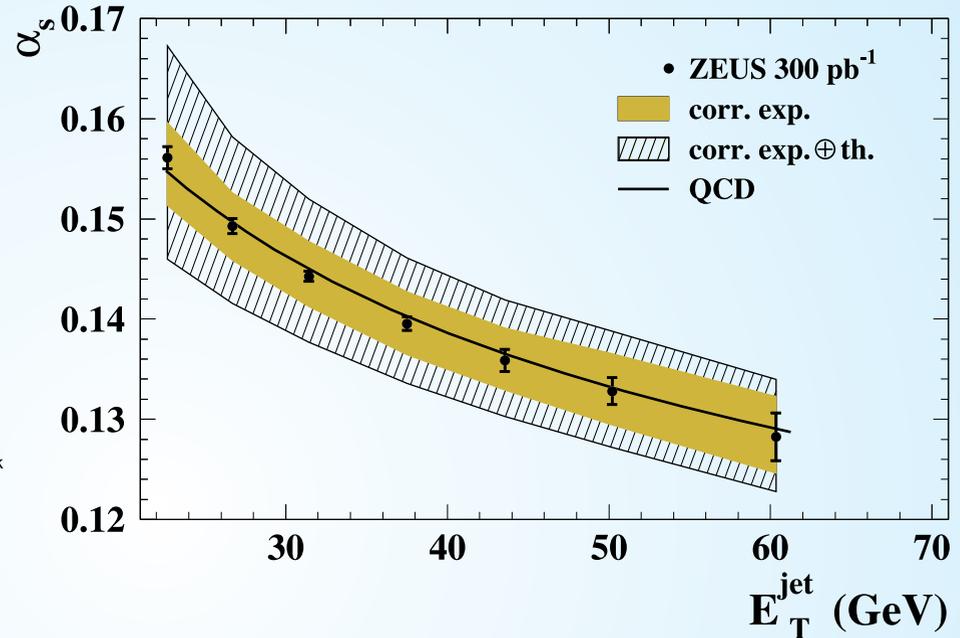
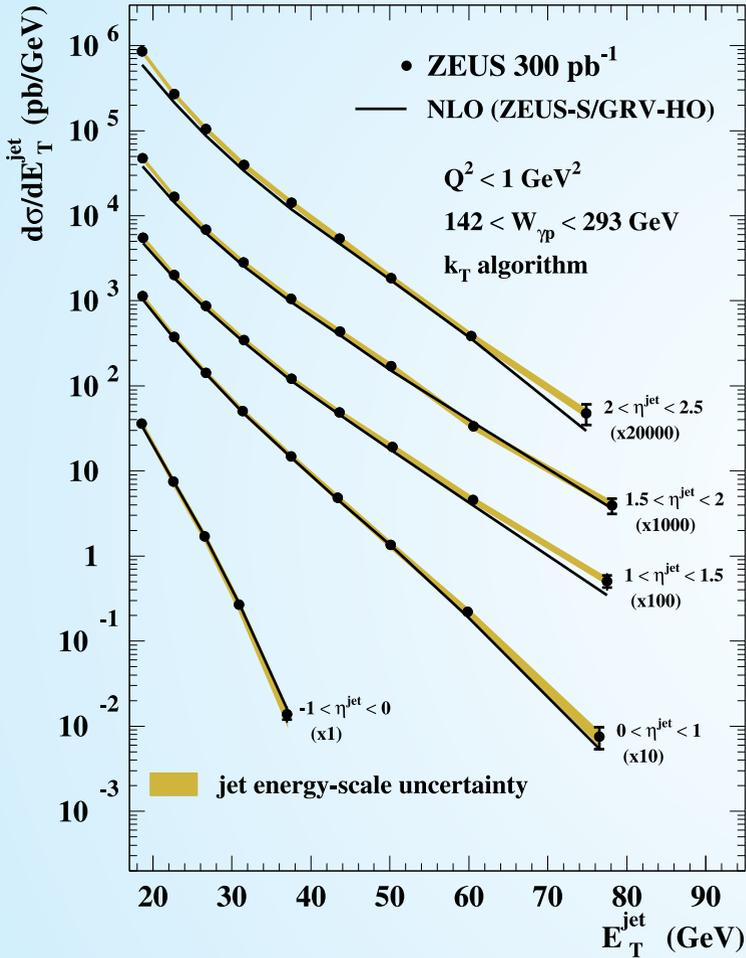
# Jets are also QCD objects

## ZEUS: inclusive in photoproduction



a hint of non perturbativeness

# Jets and $\alpha_s$

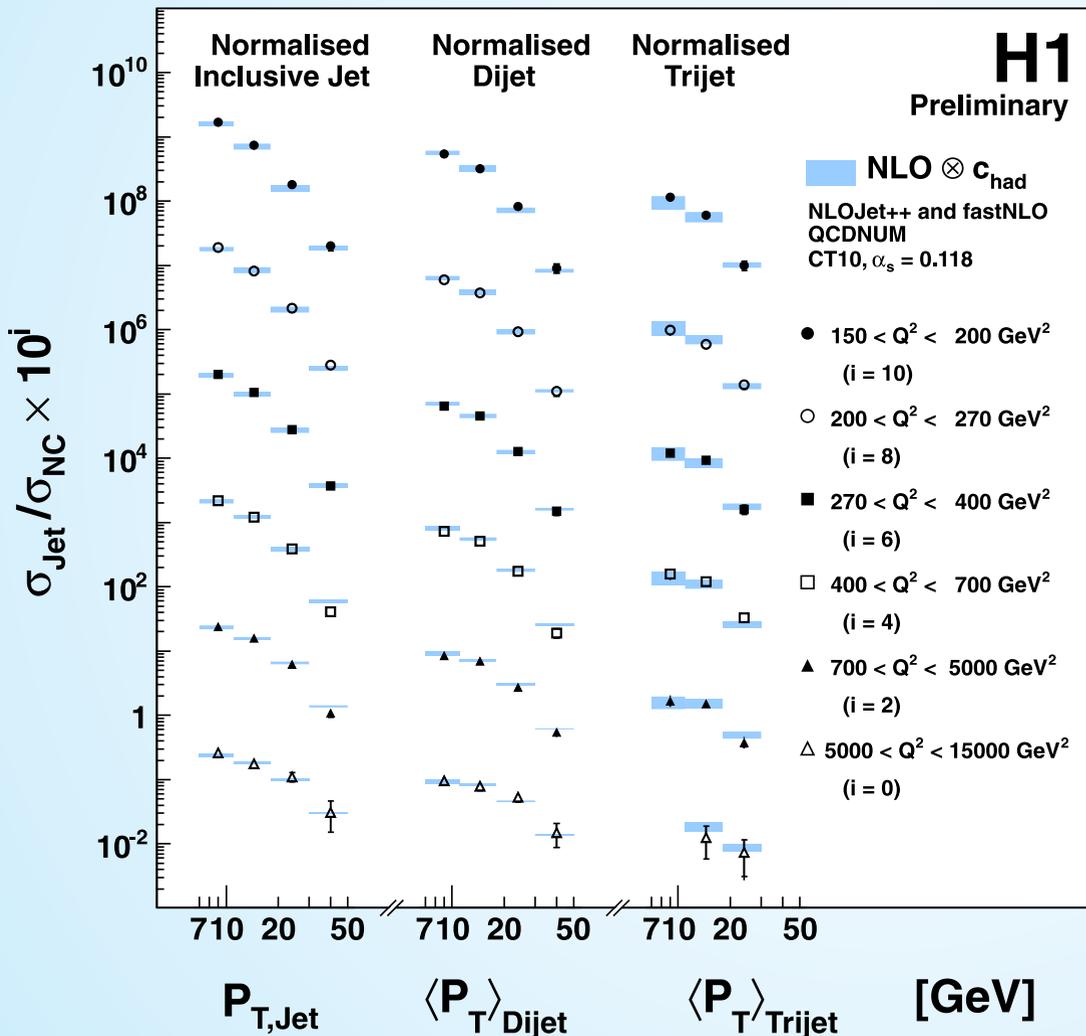


$$\alpha_s(M_Z)_{k_T} = 0.1206 \begin{matrix} +0.0023 \\ -0.0022 \end{matrix} \text{ (exp.)} \begin{matrix} +0.0042 \\ -0.0035 \end{matrix} \text{ (th.)}$$

**The determination of  $\alpha_s$  is limited by theory.**

# Jets and $\alpha_s$

## H1: inclusive, dijet and trijet in DIS



**Simultaneous  
fit to all  
normalised  
cross sections.**

$$\alpha_s(M_Z) =$$

$$0.1163 \pm 0.0011 \text{ (exp.)}$$

$$\pm 0.0008 \text{ (had)}$$

$$+ 0.0044 \text{ (th.)} \pm 0.0014 \text{ (PDF)}$$

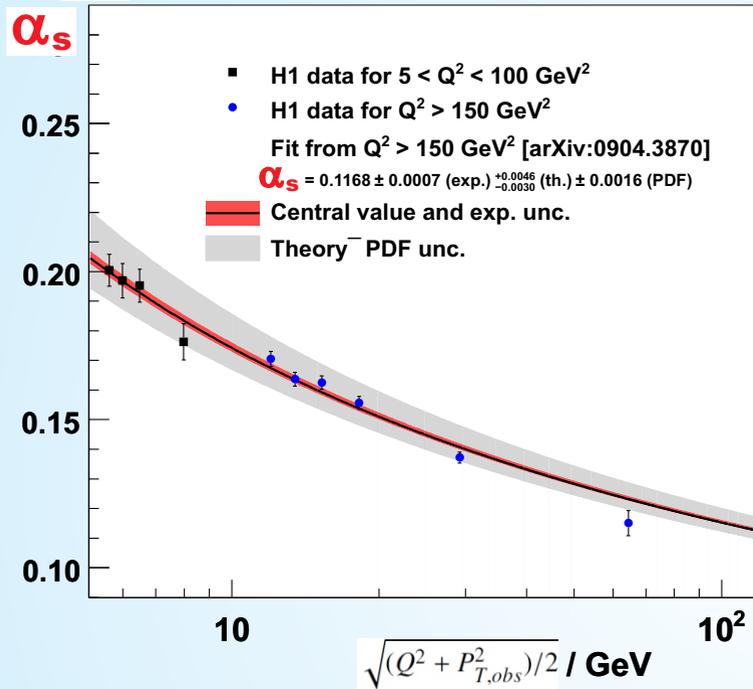
$$- 0.0035$$

H1-prel-12-031



# Jets and $\alpha_s$

$\alpha_s$  from Jet Cross Sections in DIS

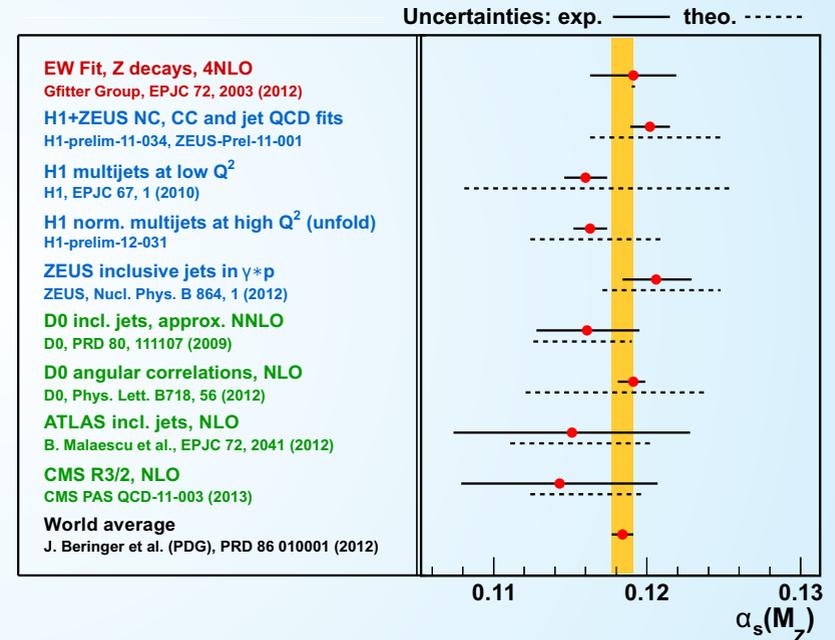


Fit from  $Q^2 > 150 \text{ GeV}^2$  [arXiv:0904.3870]

$$\alpha_s = 0.1168 \pm 0.0007 \text{ (exp.)}$$

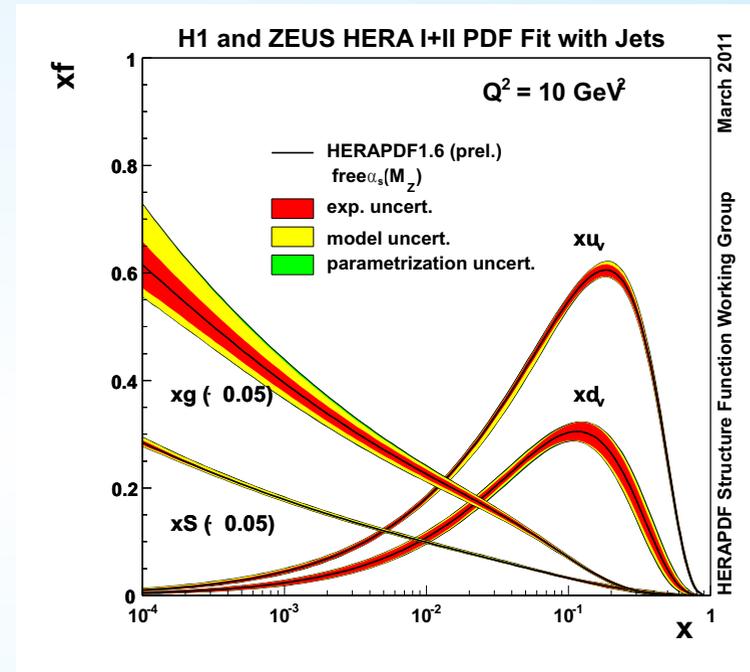
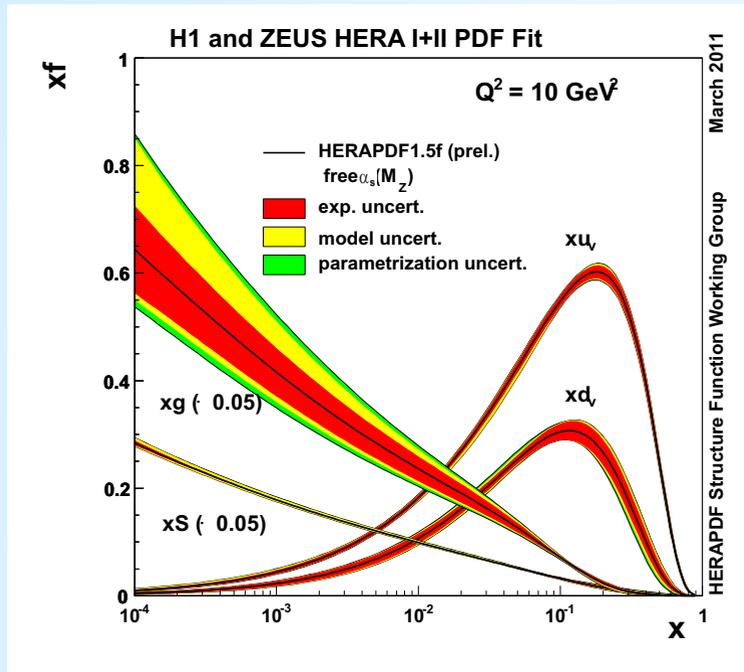
$$^{+0.0046}_{-0.0030} \text{ (th.)} \pm 0.0016 \text{ (PDF)}$$

$\alpha_s$  is measured at  
**HERA** and elsewhere



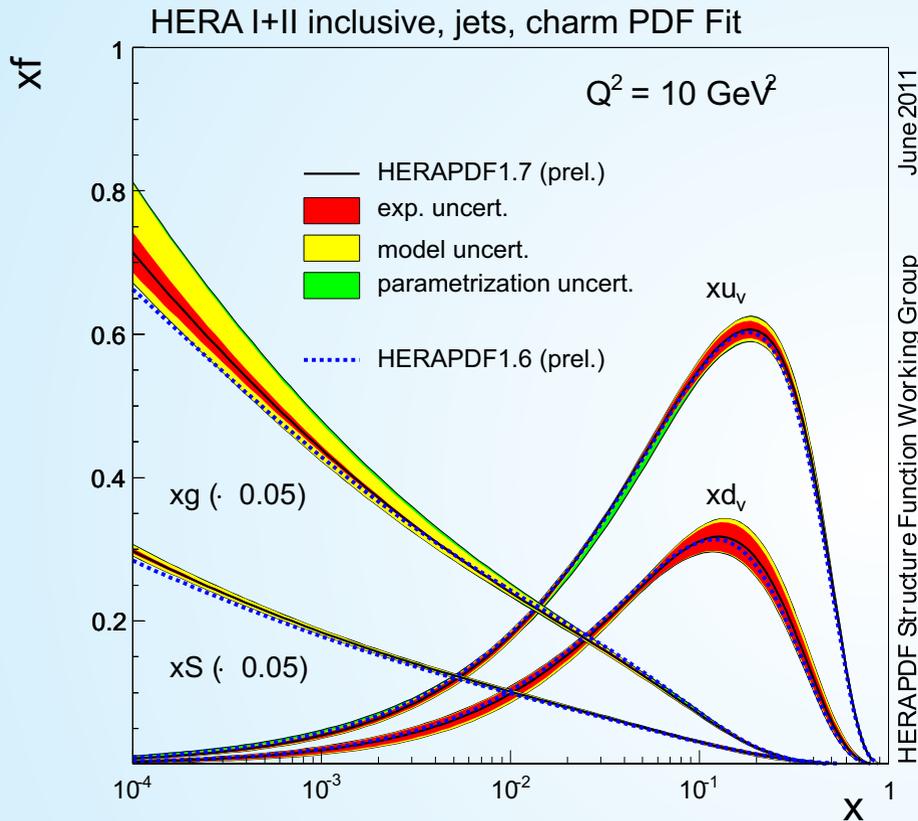
**Everybody agrees  
within uncertainties.**

# HERAPDFs with JETS



**Jets reduce the uncertainty on the glue.**

# HERAPDFs with JETS and CHARM



**HERAPDF 1.7**  
is a member based  
on inclusive, low  $E_p$ ,  
jets and charm data.

**steeper low-x gluon  
than ever before:**

**HERAPDF is  
a large family!**

H1prel-11-143  
ZEUS-prel-11-010

# HERAPDF Family

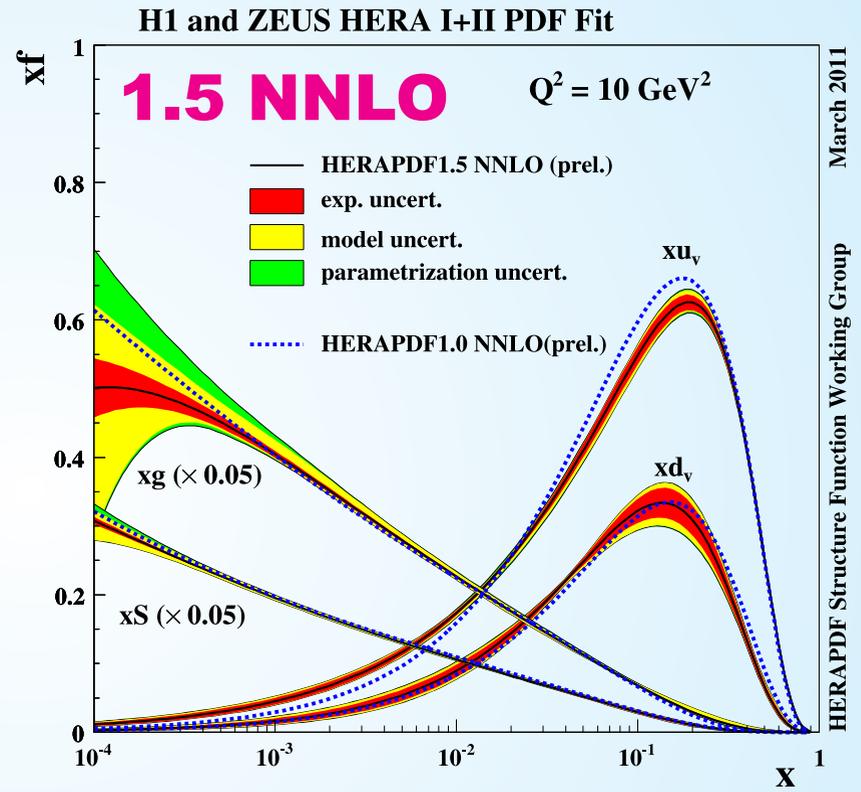
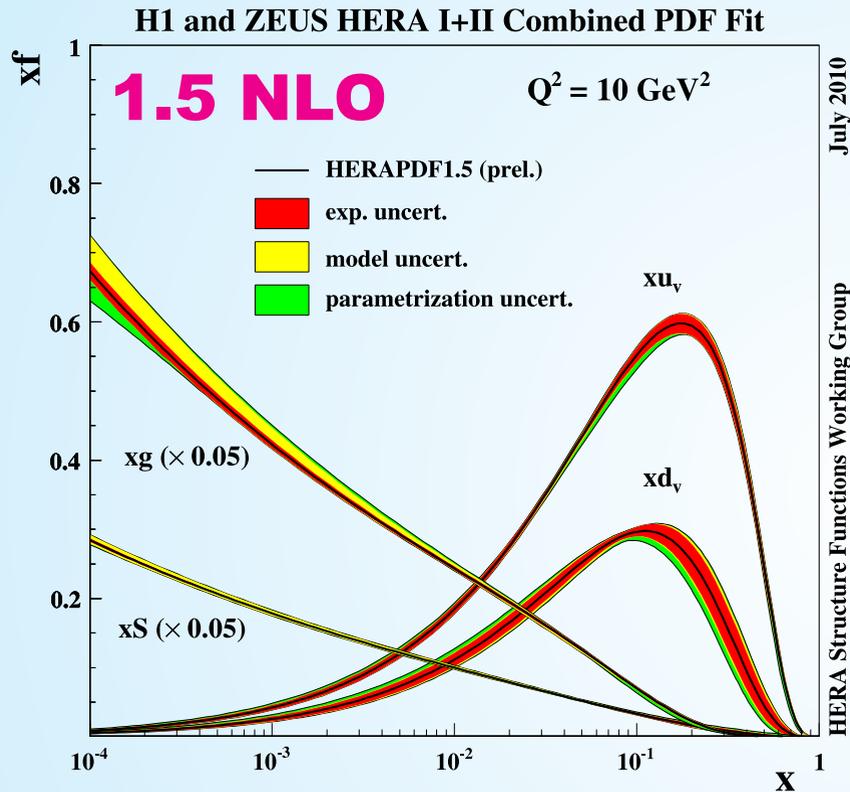
recommended  
version →

NAME	NC and CC DIS	NC, lower E(p_beam)	Jets	Charm	Docu	Grids	Data comparison	Date
HERAPDF1.7 NLO	<a href="#">HERAI + partial HERAI</a>	<a href="#">H1+ZEUS</a>	H1 ZEUS(1) and	<a href="#">H1+ZEUS</a>	<a href="#">Figures</a>	N.A.		June 2011
HERAPDF1.6 NLO	<a href="#">HERAI + partial HERAI</a>	---	H1 ZEUS(1) and	---	<a href="#">Writeup</a> and <a href="#">figures</a>	N.A.		March 2011
<b>HERAPDF 1.5 NNLO</b>	<a href="#">HERAI + partial HERAI</a>	---	---	---	<a href="#">Figures</a>	<a href="#">LHAPDF beta 5.8.6</a>		March 2011
<b>HERAPDF 1.5 NLO</b>	<a href="#">HERAI + partial HERAI</a>	---	---	---	<a href="#">Figures</a>	<a href="#">LHAPDF beta 5.8.6</a>		July 2010
Charm mass scan	<a href="#">HERAI</a>	---	---	<a href="#">H1+ZEUS</a>	<a href="#">Writeup</a> and <a href="#">figures</a>	---		August 2010
HERAPDF1.0 NNLO	<a href="#">HERAI</a>	---	---	---	ICHEP2010 <a href="#">writeup</a> and <a href="#">figures</a>	<a href="#">Docu for LHAPDF</a>		April 2010
	<a href="#">HERAI</a>	<a href="#">H1+ZEUS</a>	---	---	<a href="#">Writeup</a> and <a href="#">figures</a>	N.A.		April 2010
	<a href="#">HERAI</a>	---	---	<a href="#">H1+ZEUS</a>	DIS2010 <a href="#">writeup</a> and <a href="#">figures</a>	N.A.		April 2010
HERAPDF1.0 NLO PUBLISHED	<a href="#">HERAI</a>	---	---	---	<a href="#">Paper HERAPDF1.0 page</a>	<a href="#">LHAPDF</a>	<a href="#">Benchmarking HERAPDF1.0</a>	Nov. 2009

[https://www.desy.de/h1zeus/combined\\_results/herapdf/table/](https://www.desy.de/h1zeus/combined_results/herapdf/table/)

**HERAPDF 2.0 is being worked on, stay tuned.**

# HERAPDF Family

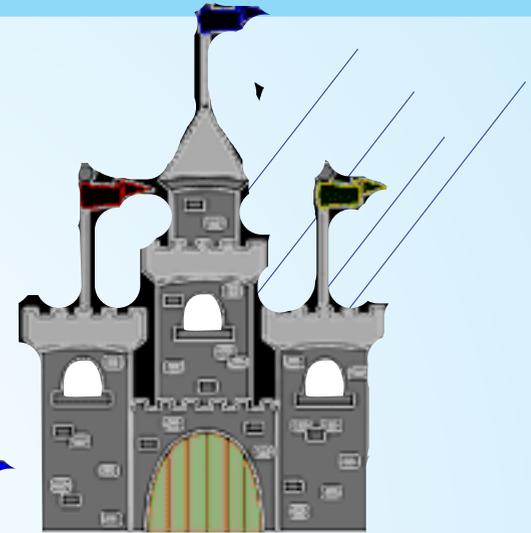
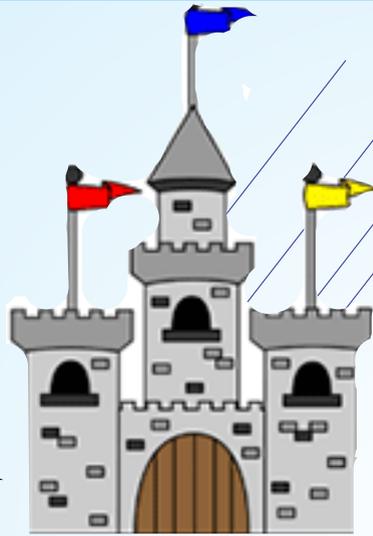


**HERAPDF 1.5 NLO and 1.5 NNLO are the family members recommended for general use.**

**Who needs PDFs anyhow?**

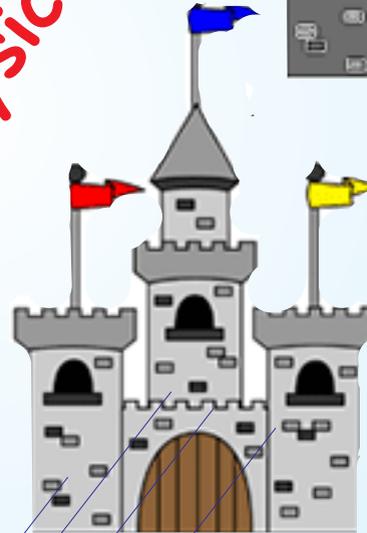
# Castle Castle Interactions

proton  
proton  
collisions



Collider physics

antiproton  
proton  
collisions



# Beautiful Destruction

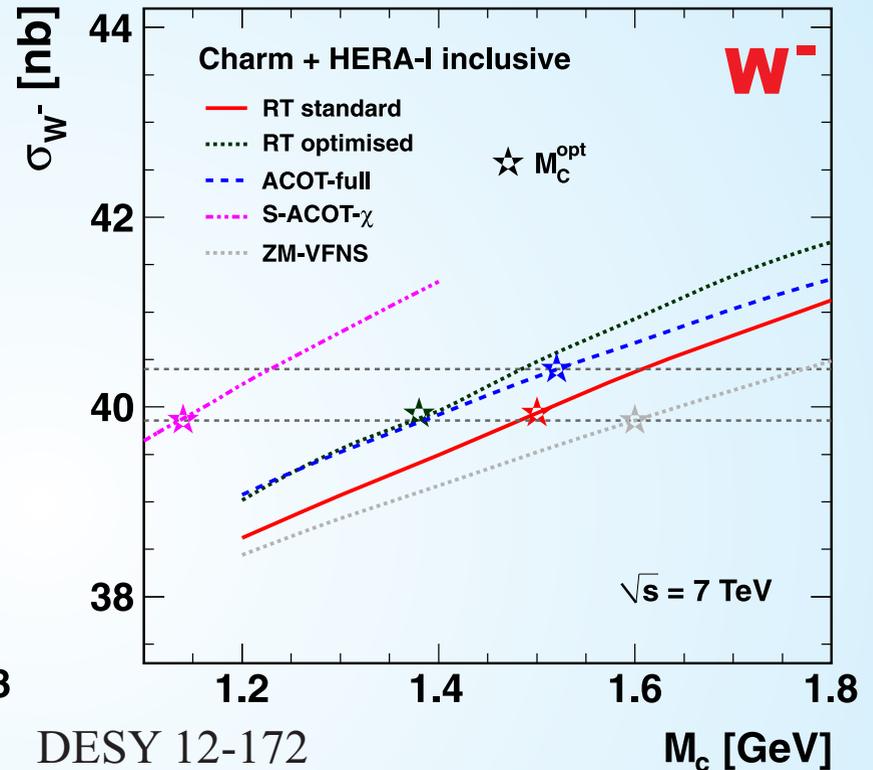
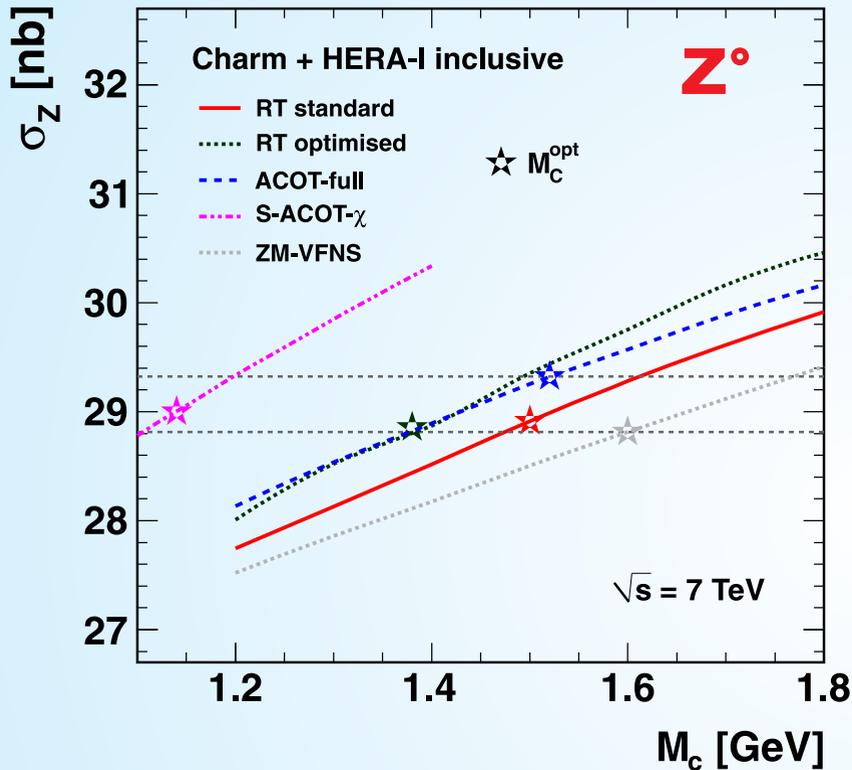


**not easy  
to understand  
without input**

**No reliable PDFs**

**⇒ no reliable searches**

# Cross-Section Predictions

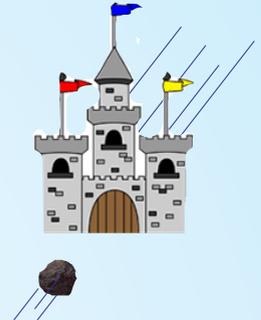


With the proper treatment of the parameter charm mass, different schemes give the same predictions for the cross sections, even if different charm masses are used.

# Longitudinal Structure Function

**FL is a high  $y$  phenomenon:**

$$\sigma_r(x, Q^2) = F_2(x, Q^2) - \frac{y^2}{1 + (1 - y)^2} F_L(x, Q^2)$$



**Need cross section for varying  $y$  at fixed  $x$  and  $Q^2$**

$$Q^2 = xys \Rightarrow \text{need to change } s$$

$$E_p = 920 \text{ GeV} \quad E_p = 575 \text{ GeV} \quad E_p = 460 \text{ GeV}$$

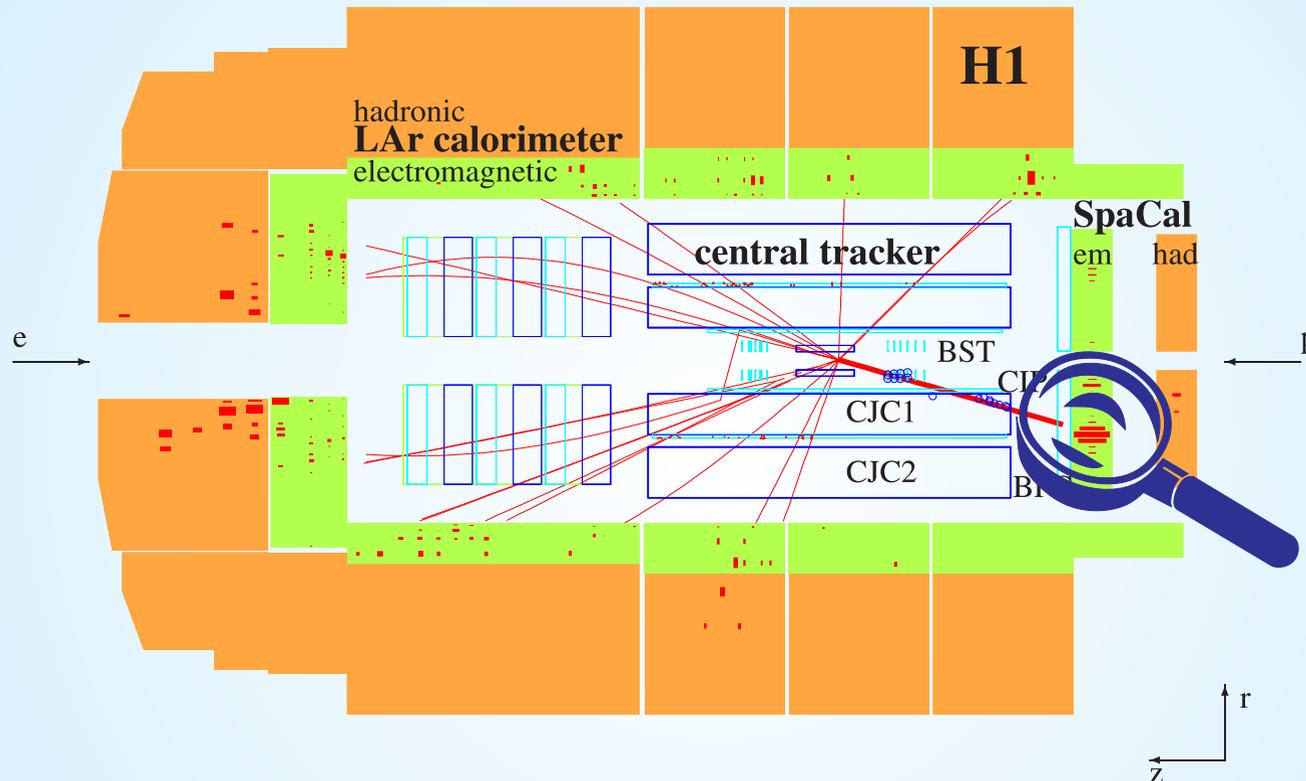
**Low  $Q^2$  and high  $y \Rightarrow$  low energy of scattered electron**



This is a challenge!

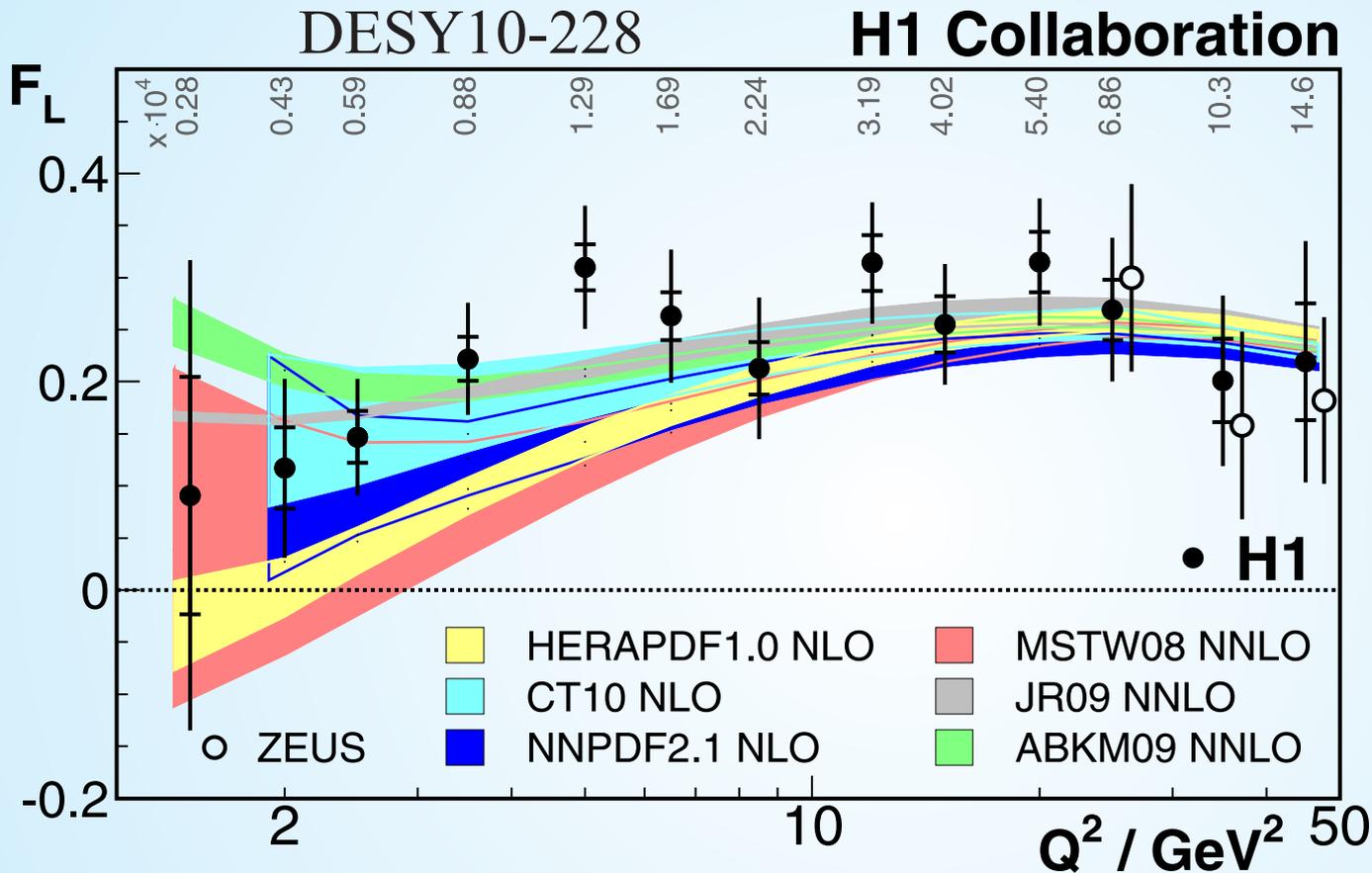
**Direct access to glue!**

# Longitudinal Structure Function



**Events down to electron energies of 3.4 GeV  
for ZEUS 6.0 GeV**

# Longitudinal Structure Function



down to  
 $x \approx 0.00003$

and wide  
range of  $Q^2$

Fixed Target  
could only  
access  
high  $x$  region,  
where  $F_L$  is  
small.

Direct measurement does not contradict PDF  
predictions.

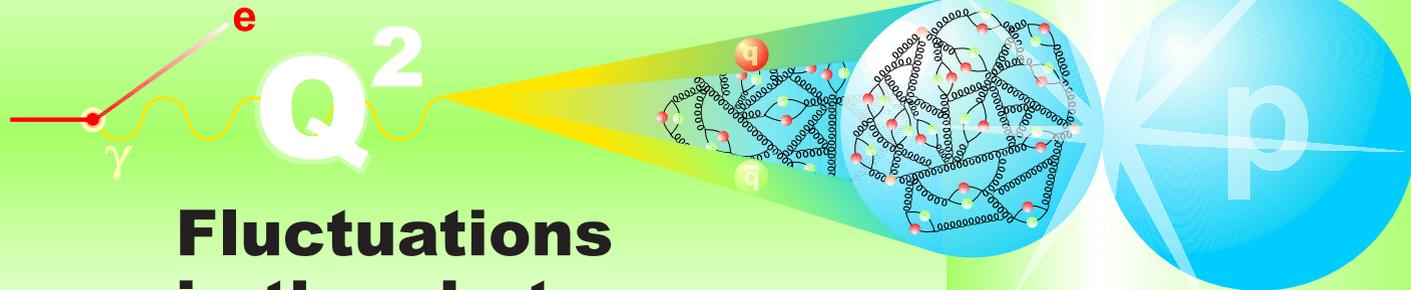
But there is something else.

# Low $x$ Partons in the Proton ?

**Heisenberg is strictly against it !**

**That  $x$  is a fraction of the proton momentum is only an interpretation.**

DESY: B.Liebaug



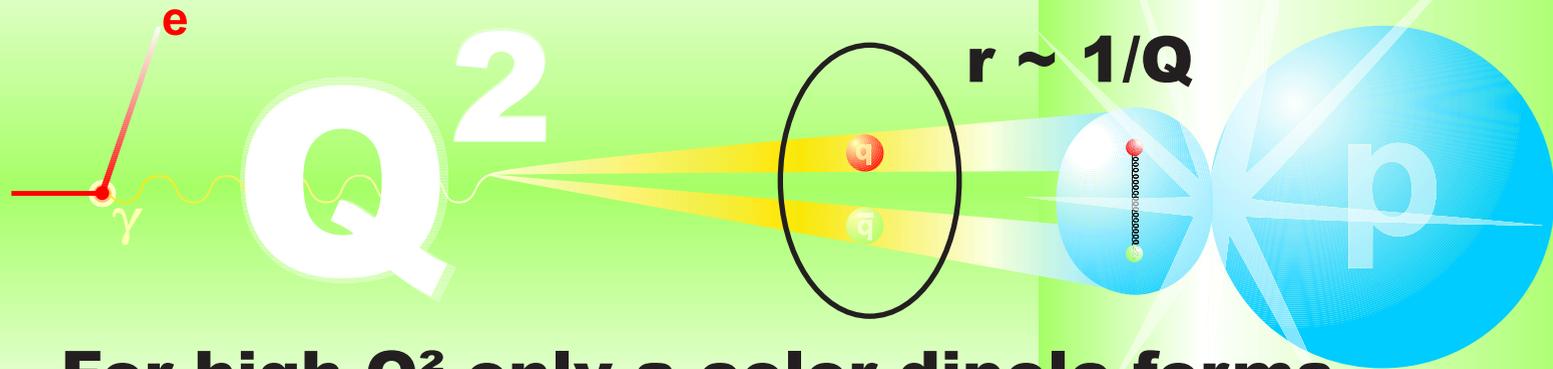
**Fluctuations  
in the photon can grow.  
For low  $Q^2$  they live long and prosper.**

**Its a parton distribution, but not necessarily  
in the proton. Has the parametrisation a meaning?**

# Color Dipole Model

**Coherence length:  $l$  [fm]  $\approx 0.1/x$**

DESY: B.Liebaug

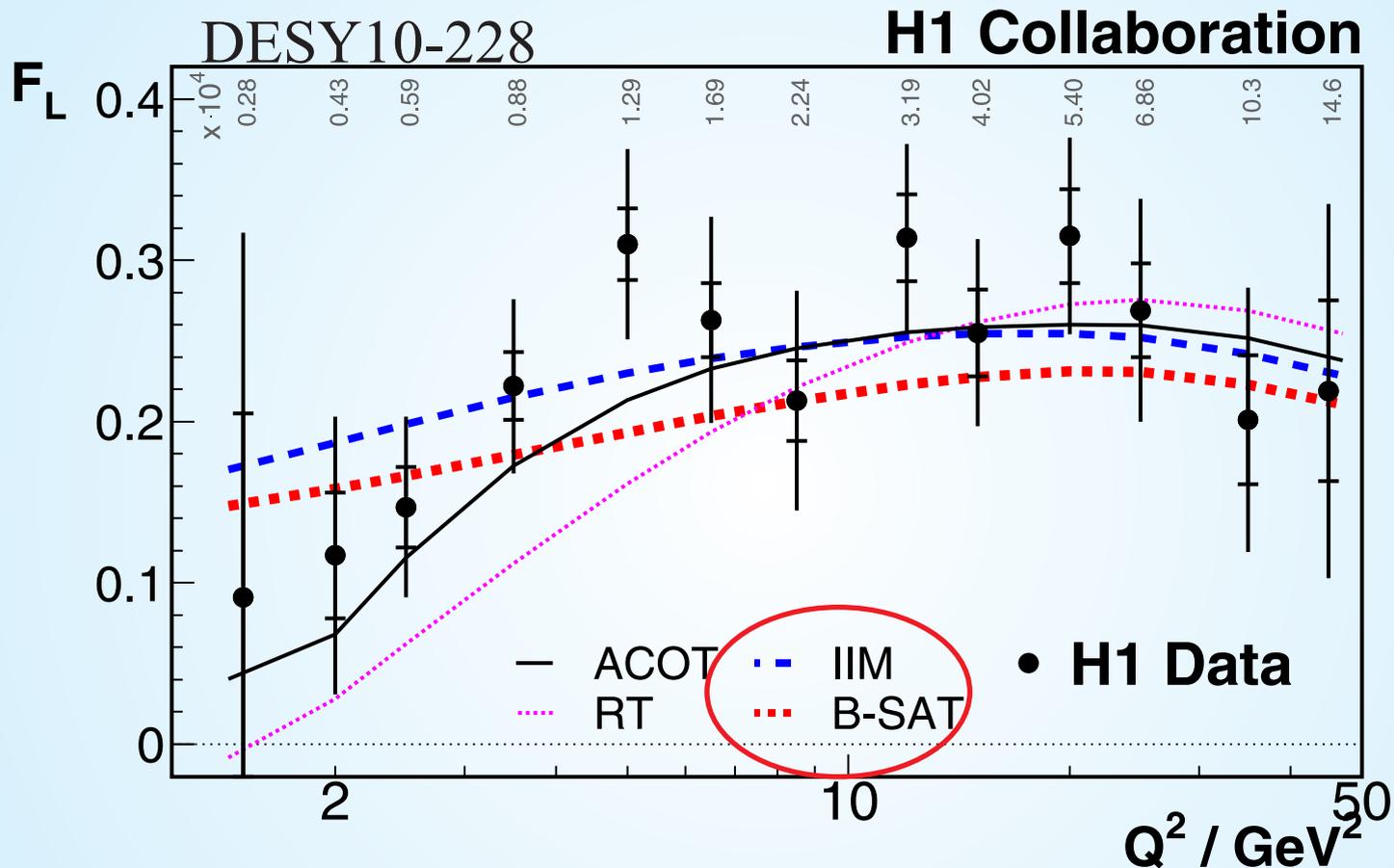


**For high  $Q^2$  only a color dipole forms.  
No time for more.**

**The fluctuation might forget where it came from.**

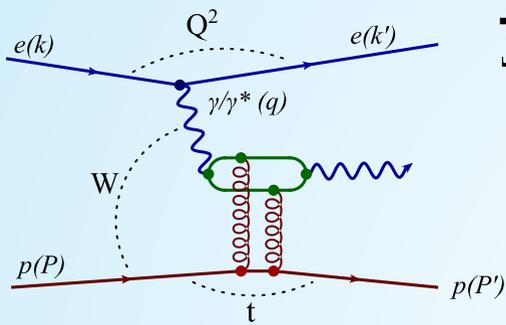
**Do I get the same PDFs for neutrino - nucleon or nucleon nucleon scattering? Is factorisation holding?**

# Longitudinal Structure Function

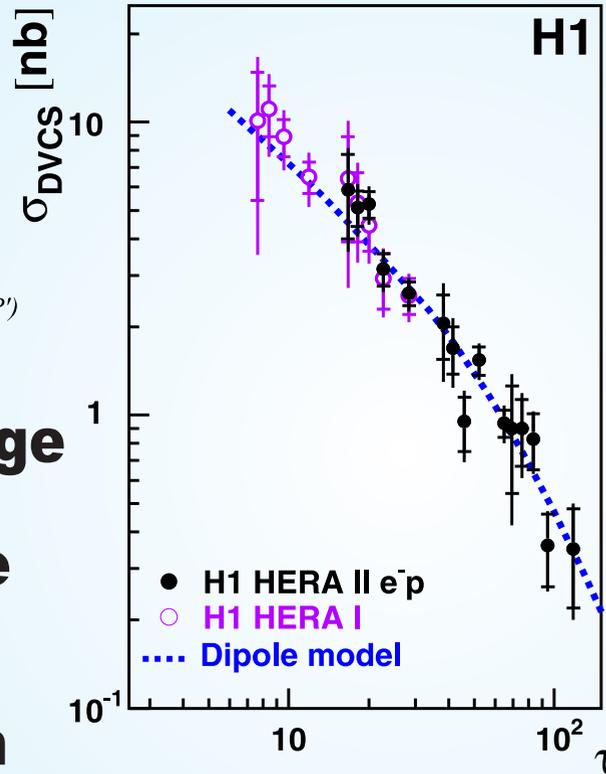


**Color dipole models also describe the data.**

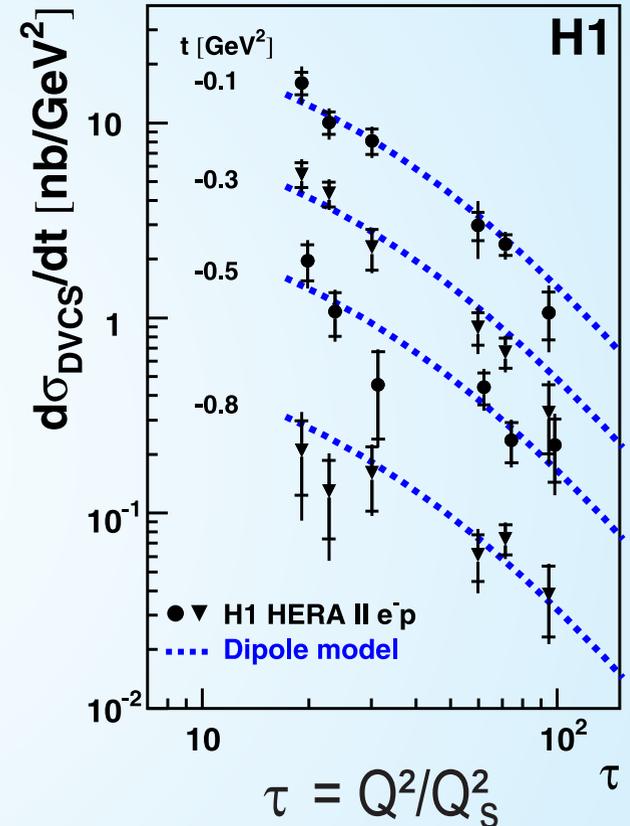
# Deeply Virtual Compton Scattering



**2 gluon exchange**  
**t-dependence**  
**of the**  
**cross section**  
**is also of**  
**interest.**



DESY07-142



**The dipole model does well.**

# Deeply Virtual Compton Scattering

Generalised parton distribution functions  
are used for two gluons.

Interpretation in longitudinal momentum space  
and transverse position space

$$d\sigma/dt \sim \exp(-b|t|)$$

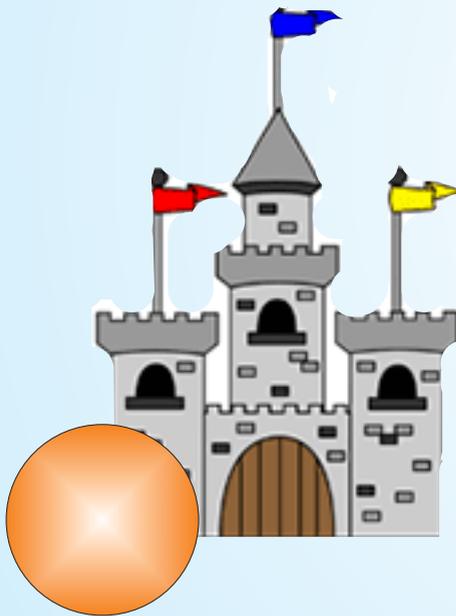
$$b = 5.45 \pm 0.19 \pm 0.34 / \text{GeV}^2$$

DESY07-142

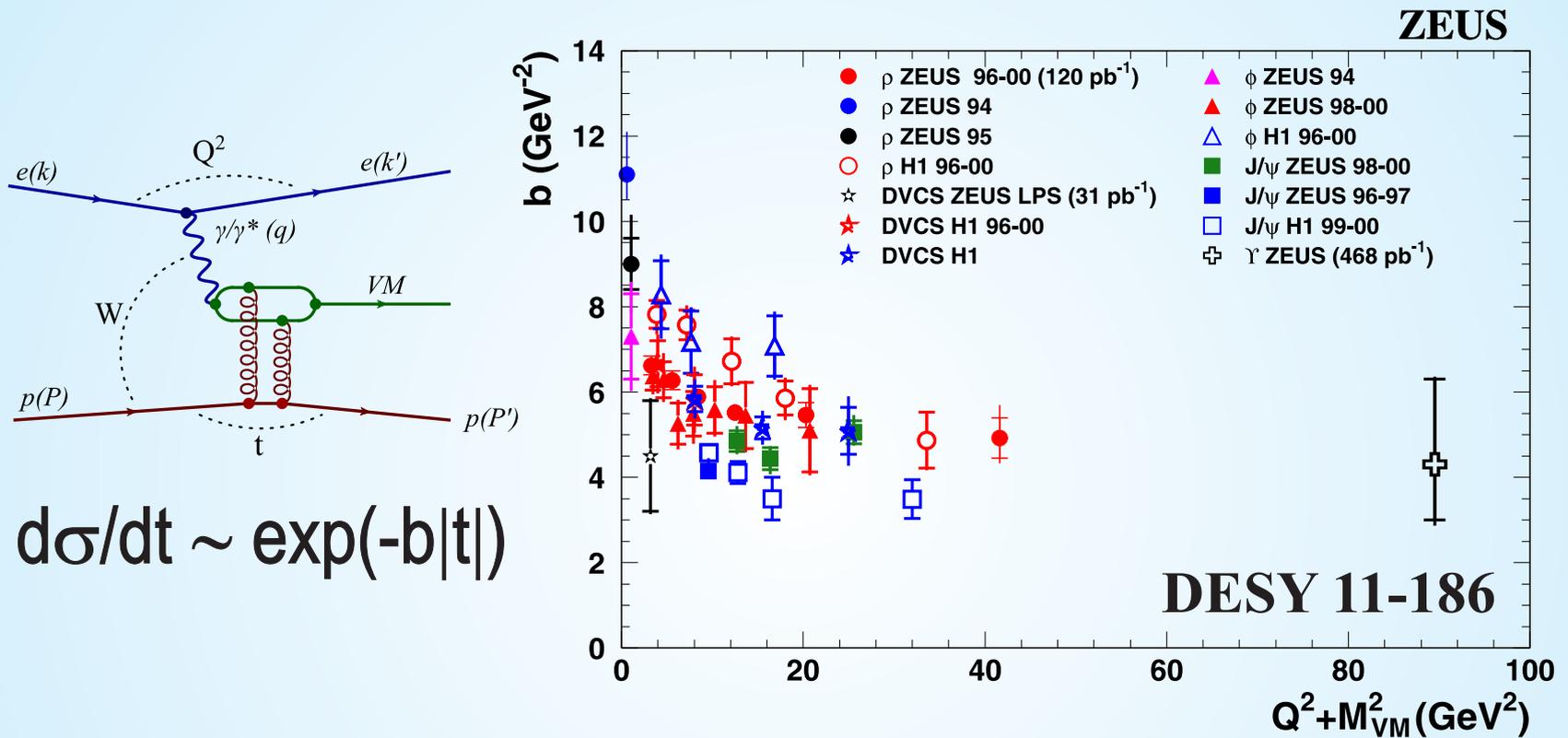
average impact parameter

$$0.65 \pm 0.02 \text{ fm} \quad x=0.0012$$

transverse expansion of partons  
-- in the proton?



# t-Slopes for Vector Meson Production



**Should be analysed with respect to proton size.**

# Proton Size and Dynamics

**rms charge radius**

**electron:**  $0.8786 \pm 0.0069$  fm

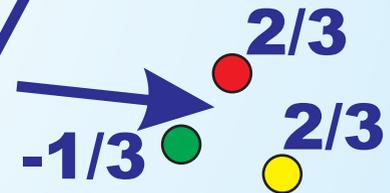
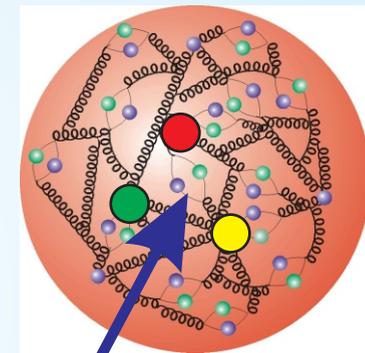
**muon:**  $0.84184 \pm 0.00067$  fm

**rms glue/sea radius**

**DVCS :**  $0.65 \pm 0.02$  fm

**What a misleading picture....**

**dipole moment:**  $< 0.54 \cdot 10^{-23}$  ecm



**Can we measure a dynamic system while averaging over time. Heisenberg again....**

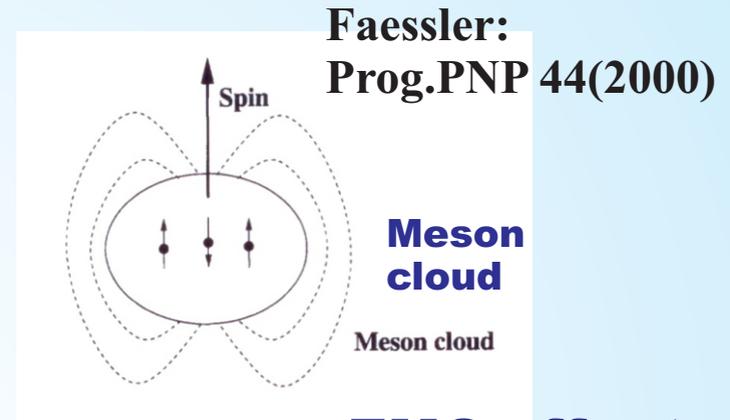
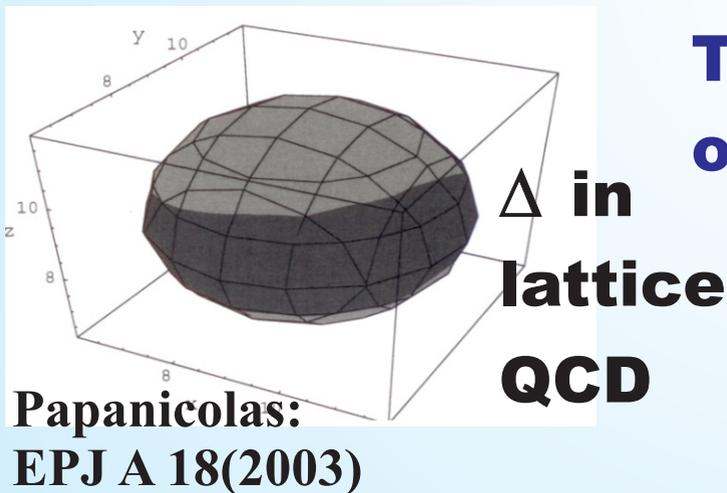
# Proton Shape

**magnetic moment**

$$\mu_p/\mu_N = 2.792847356 \pm 0.000000023$$

$p \rightarrow \Delta$  excitations

[also used for GZK cutoff]



**EMC effect**

**There has to be some cloud,  
otherwise they cannot interact.**

**Can we see the  
proton behind the  
strong field?**

# Summary

**The proton still holds a lot of secrets.**

**Proton PDFs are getting measured with high precision, HERA was a success.**

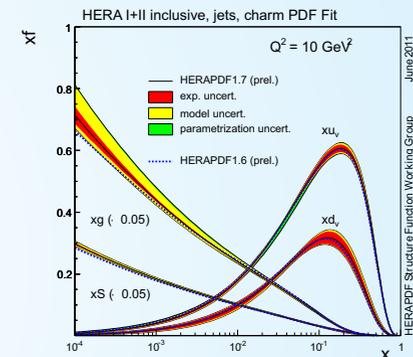
**This allows for effective predictions and thus searches.**

**The interpretation of proton PDFs is not trivial.**

**There is more to the proton than PDFs.**

**There are many questions about size, shape and the spatial distribution of quarks and glue.**

**There is more than perturbative QCD, even in the proton.**



# Outlook

**The combination of H1 and ZEUS data is ongoing.**

**HERAPDF 2.0 is the next step.**

**The high precision data also test pQCD.**

**Eventually theory will improve and we will better understand non perturbative QCD.**

**We open the door and look inside.**

