

$D\bar{D}$ mixing, CPV in charm sector, D decays

Selected results from experiments

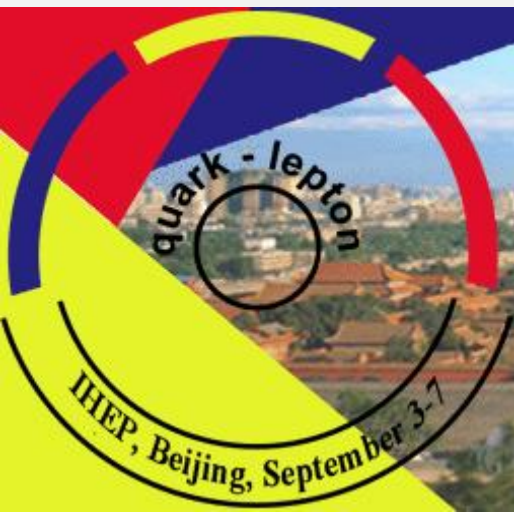
Sergey Barsuk, LAL Orsay

Outline : 文藝復興時期的魅力物理學

- ❑ $D\bar{D}$ mixing: γ_{CP} from lifetime difference, wrong sign $D^0 \rightarrow K\pi$
- ❑ CP violation: $D^+ \rightarrow K^- K^+ \pi^+$, $\phi \pi^+$, $D_{(s)}^+ \rightarrow K_S^0 h^+$, $D^0 \rightarrow \pi^- \pi^+$, $D^0 \rightarrow K^- K^+$, $D^0 \rightarrow 4h$
- ❑ Rare decays: D_s^+ leptonic decays, $D^0 \rightarrow K^+ \pi^- \pi^+ \pi^-$, $D^0 \rightarrow \mu^+ \mu^-$, $D_{(s)}^+ \rightarrow \pi^+ \mu^+ \mu^-$, $D_{(s)}^+ \rightarrow \pi^- \mu^+ \mu^+$, $D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$

Results from:  **BABAR**  **Belle II**  **CDF**  **LHCb** Also ongoing analyses in:  **DO**  **BES**

See also PIC 2012 reviews by E. Polycarpo and Y. Zheng



PIC 2013

IHEP, Beijing, September 3 - 7, 2013

Introduction : $D\bar{D}$ mixing and CPV in charm sector

- Both flavour mixing and CP violation well-established in K and B sectors.
- Charm is the **unique up-type system**, where these effects can occur.
- Mass eigenstates vs. flavour eigenstates : $|D_{1,2}\rangle = p |D^0\rangle \pm q |\bar{D}^0\rangle$
- In the limit of CP conservation $p = q$ (correction of $O(10^{-4})$ in the SM)

- Decay becomes modulated by mixing :
$$\frac{e^{-\Gamma t}}{4} \underbrace{\left(e^{\frac{\Delta\Gamma}{2}t} + e^{-\frac{\Delta\Gamma}{2}t} \right)}_{\cosh\left(\frac{\Delta\Gamma}{2}t\right)} \pm 2 \cos \Delta m t$$

Mixed : $\bar{D}^0 \rightarrow D^0$ or $D^0 \rightarrow \bar{D}^0$

Unmixed : $\bar{D}^0 \rightarrow \bar{D}^0$ or $D^0 \rightarrow D^0$

$$A_{\text{mix}}(t) \equiv \frac{N(\text{unmixed}) - N(\text{mixed})}{N(\text{unmixed}) + N(\text{mixed})}(t) = \frac{\cos(\Delta m t)}{\cosh(\Delta\Gamma t / 2)}$$

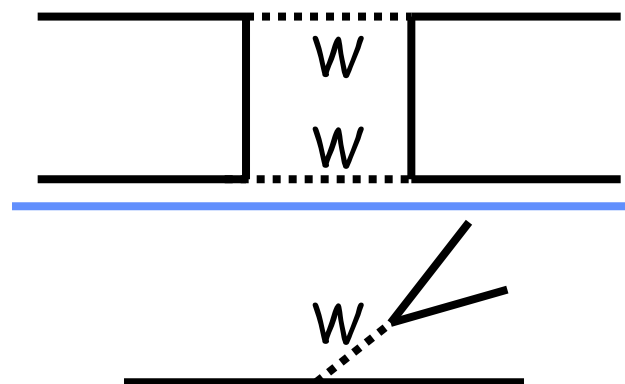
Mixing parameters: $x = \Delta m / \Gamma$; $y = \Delta\Gamma / 2\Gamma$

x : mixing frequency in units of lifetime

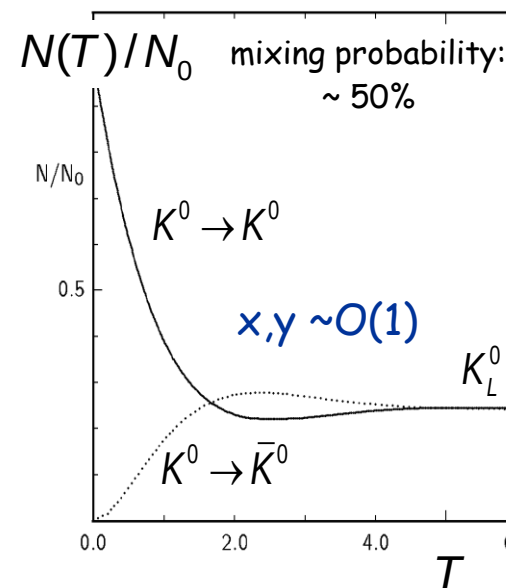
$x \gg 1$ rapid oscillation

$x \ll 1$ slow oscillation

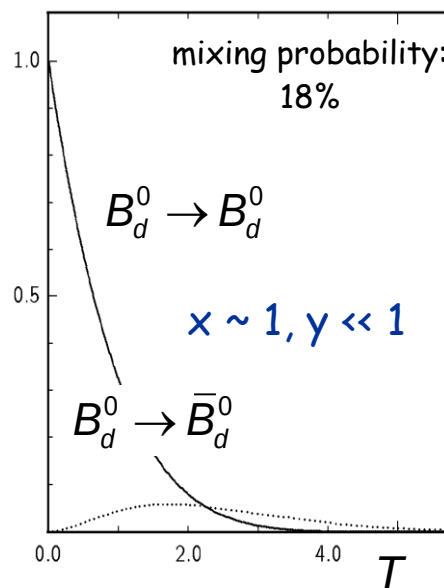
$x, y \sim$



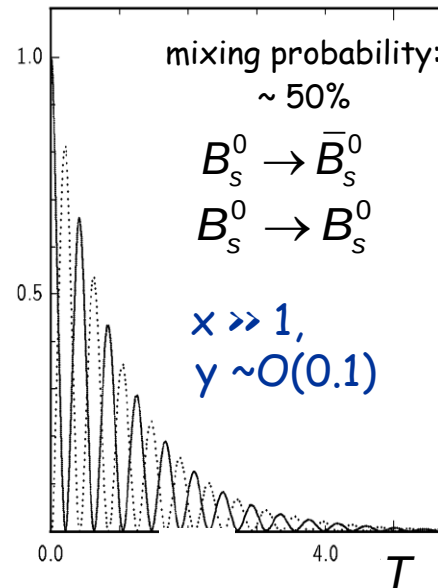
Introduction : $D\bar{D}$ mixing and CPV in charm sector



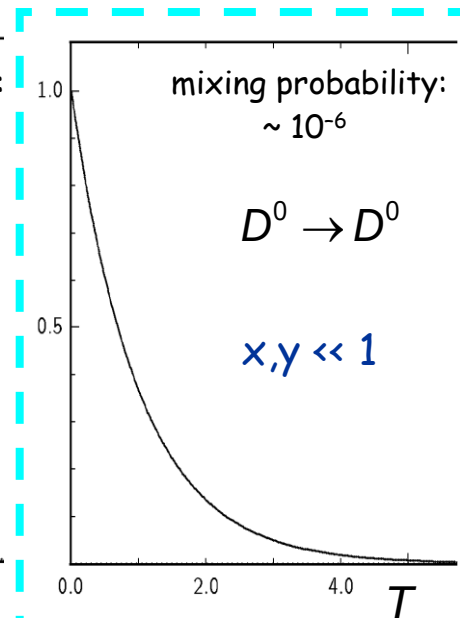
Discovered in 1950s,
textbook to illustrate
QM effects



Observed in 1987,
first hint of the heavy
top quark



Observed in 2006,
rapid oscillations
 $\rightarrow$ high decay time
resolution required

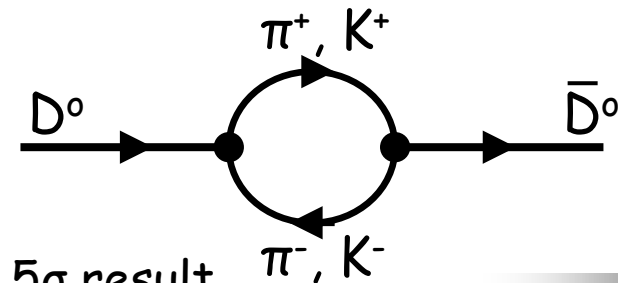


Observed in 2007,
tiny effect $\rightarrow$ large
samples and clean
detection/ID required

❑ Unlike $B_{(s)}$ -mesons, no strong heavy (t) quark contribution to the box diagram, **contribution from b-quark suppressed by λ^{10}** by the CKM matrix elements !

❑ Non-perturbative long-range effects more difficult to calculate, typically 10^{-3} with large uncertainty e.g. Falk, Grossman, Ligeti, Nir, Petrov PRD 69 114021

❑ $D\bar{D}$ mixing well-established, but until 2013 no single 5σ result.



Introduction : $D\bar{D}$ mixing and CPV in charm sector

Three types of CPV

- **Direct CPV** between tree and penguin diagram $\rightarrow$ CSD (charged and neutral)
expected to be up to few $\times O(10^{-3})$ in the SM

$$A_f = \langle f | H | D \rangle, \bar{A}_{\bar{f}} = \langle \bar{f} | H | \bar{D} \rangle, \quad | \bar{A}_{\bar{f}} / A_f | \neq 1$$

Study:

- asymmetries in 2-body SCS decays
- asymmetries across the phase space in multi-body decays

- **CPV in mixing** (neutral)

$$|p| \neq |q| \text{ or weak phase } \phi = \arg(q/p) \neq 0$$

expected to be $O(10^{-4})$ in the SM

- **CPV in interference** between the decay to final state f with and without mixing $\rightarrow$ common final state (neutral)

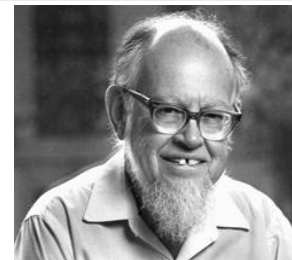
$$\arg(q/p \bar{A}_{\bar{f}}/A_f) \neq 0$$

SM: single CP-violating phase $\rightarrow$ strong predictive power for CP asymmetries !

Introduction : $D\bar{D}$ mixing and CPV in charm sector

The Cabibbo-Kobayashi-Maskawa

in Wolfenstein



matrix

parametrization

elements relevant for charm sector

CS D decays,
 $D\bar{D}$ -mixing

V_{ub} enters via loops

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{matrix} u \\ c \\ t \end{matrix} \begin{pmatrix} 1 - \lambda^2/2 - \lambda^4/8 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda + A^2\lambda^5(1/2 - \rho - i\eta) & 1 - \lambda^2/2 - \lambda^4(1 + 4A^2)/8 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) + A\lambda^5(\rho + i\eta)/2 & -A\lambda^2 + A\lambda^4(1/2 - \rho - i\eta) & 1 - A^2\lambda^4/2 \end{pmatrix} \begin{matrix} d \\ s \\ b \end{matrix} + O(\lambda^6)$$

η - CP-violating phase

SM: DCPV of $O(1\%)$ in charm decays could signal new physics !

Introduction : $D\bar{D}$ mixing and CPV in charm sector

Examples of exercises / homework from theory to experiment

Grossman, Nir, Perez, PRL 103 (2009) 071602

$$y/x = \frac{1 - |q/p|}{\tan \phi}$$

1. If CPV is small, $\sin \phi \ll 1$ (valid for D system):
If violated, New Physics contributes to direct CPV.

For review see e.g. Grossman, Kagan, Nir, PRD 75 (2007) 036008

2. In the absence of direct CPV from NP, the CP asymmetries in CSD into final CP eigenstates are universal.

In SM: $(a_{KK} - a_{\pi\pi})/(a_{KK} + a_{\pi\pi}) \sim 10^{-3} \rightarrow \text{Check}$

3. Separate **direct vs. indirect CPV** in CSD using time-integrated CP asymmetry measurements.

Assuming negligible new CPV in CAD and DCSD, time-integrated CP asymmetry in CAD to CP eigenstate $\rightarrow$ universal indirect CP asymmetry.

Subtract it from time-integrated CP asymmetry in CSD to CP eigenstate
 $\rightarrow$ **non-universal direct CP asymmetry**

4. Non-vanishing CP asymmetry in **charged D decays** $\rightarrow$ **direct CPV**.

Players in the charm sector

e^+e^- colliders

❑ B-factories : **BaBar**, **BELLE**, operating at $\Upsilon(4S)$ energies

❑ Secondary charm from B-decays, $\sigma(B\bar{B}) \sim 1.1 \text{ nb}$, $> 10^9$ $B\bar{B}$ pairs

❑ Continuum production, $\sigma(cc\bar{c}) \sim 1.3 \text{ nb}$, $> 10^9$ charm hadron pairs

❑ $D\bar{D}$ -factories, quantum correlations at $D\bar{D}$ threshold :

❑ **CLEO-c** → Data scan around charmonium production, $\psi(2S) \rightarrow D\bar{D}$,

❑ now **BES** → Clean data samples, beam energy constraint can be used

Simple signature for CP violation: $\psi(3770) \rightarrow D^0 \bar{D}^0 \rightarrow (CP\pm)(CP\pm)$
Also key ingredient for b-sector measurements

$\int L dt \sim 0.8 \text{ fb}^{-1}$ few $\times 10^7$ $\psi(2S)$ decays available at CLEO-c

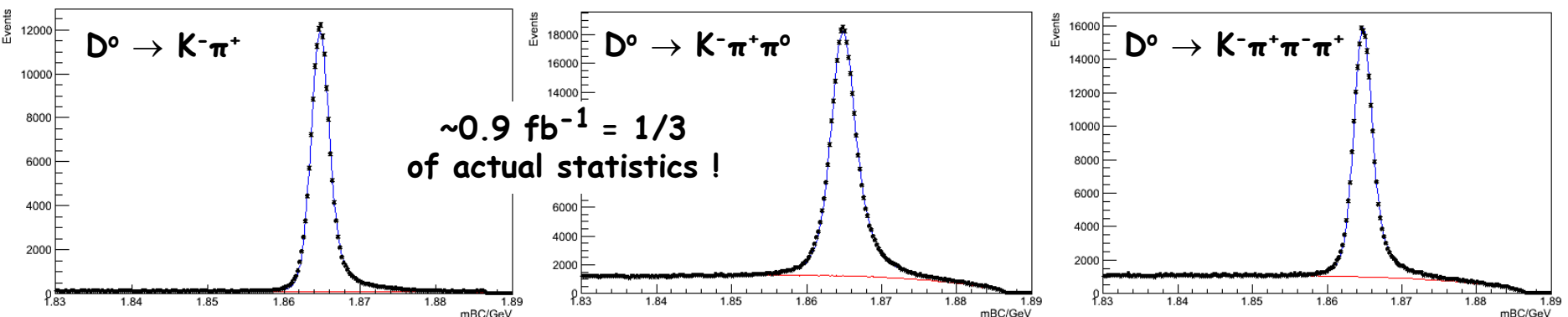
$\int L dt \sim 2.9 \text{ fb}^{-1}$, 21 M D^0 produced at BES III, sample 2010-2011

arXiv 1307.2022

BES

arXiv 1207.1171

BESIII Preliminary



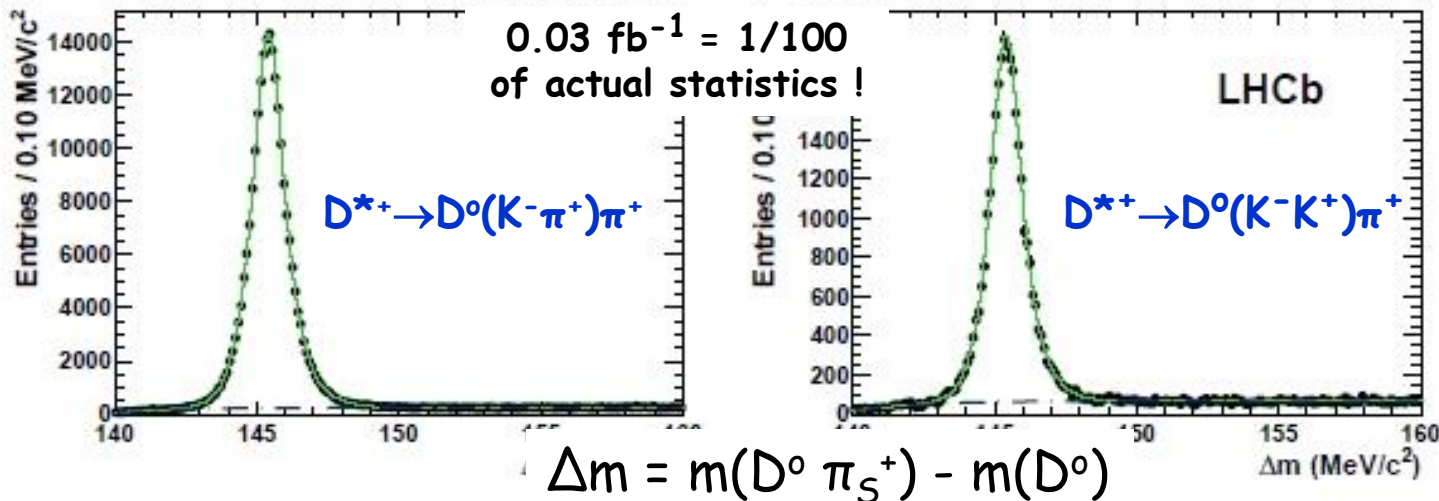
Players in the charm sector

Hadronic machines (challenging environment !): pp or p $\bar{p}$ colliders

□ TEVATRON : CDF, D0

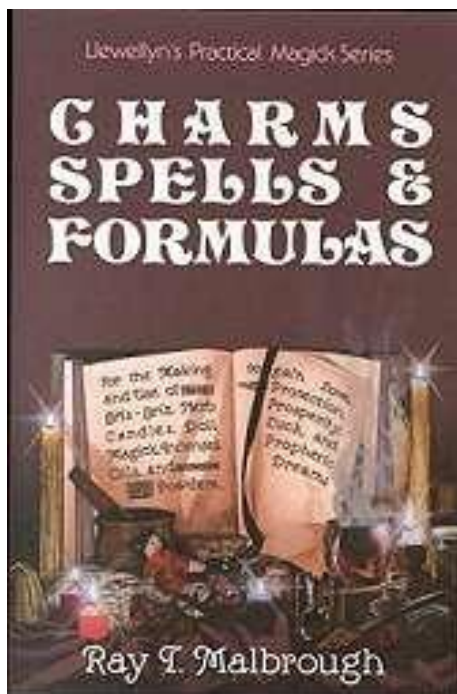
□ LHC : LHCb

- High cross-section CDF at small rapidities,
forward LHCb geometry, $\sigma(c\bar{c})_{\text{LHCb}, 7 \text{ TeV}} \sim 1400 \mu\text{b}$
Nucl.Phys.B 871(2013), 1
- ~350M reconstructed charm decays in $\int \mathcal{L} dt \sim 1 \text{ fb}^{-1}$ (2011),
trigger limited !
- High boost, D^0 flies ~3 mm before decaying
- IP resolution for $p_T > 2 \text{ GeV}/c$
CDF II (35 μm), LHCb (22 μm)
- Clean sample due to precise tracking, vertexing and powerful PID



JHEP 04 (2012) 129
2010 data, 0.03 fb^{-1}

$D^0 \bar{D}^0$ mixing



Mixing via D^0 lifetime difference

□ Measure $\gamma_{CP} = \frac{\Gamma^{CP=+} + \bar{\Gamma}^{CP=+}}{2\Gamma} - 1$

comparing lifetime of

$(\bar{D}^0 \rightarrow K^- K^+ \text{ and } \bar{D}^0 \rightarrow \pi^- \pi^+ (CP=+),$
and $D^0 \rightarrow K\pi$ (mixture of $CP=+$ and $CP=-$)

□ Assuming no CPV, measures directly γ , and not x', γ'



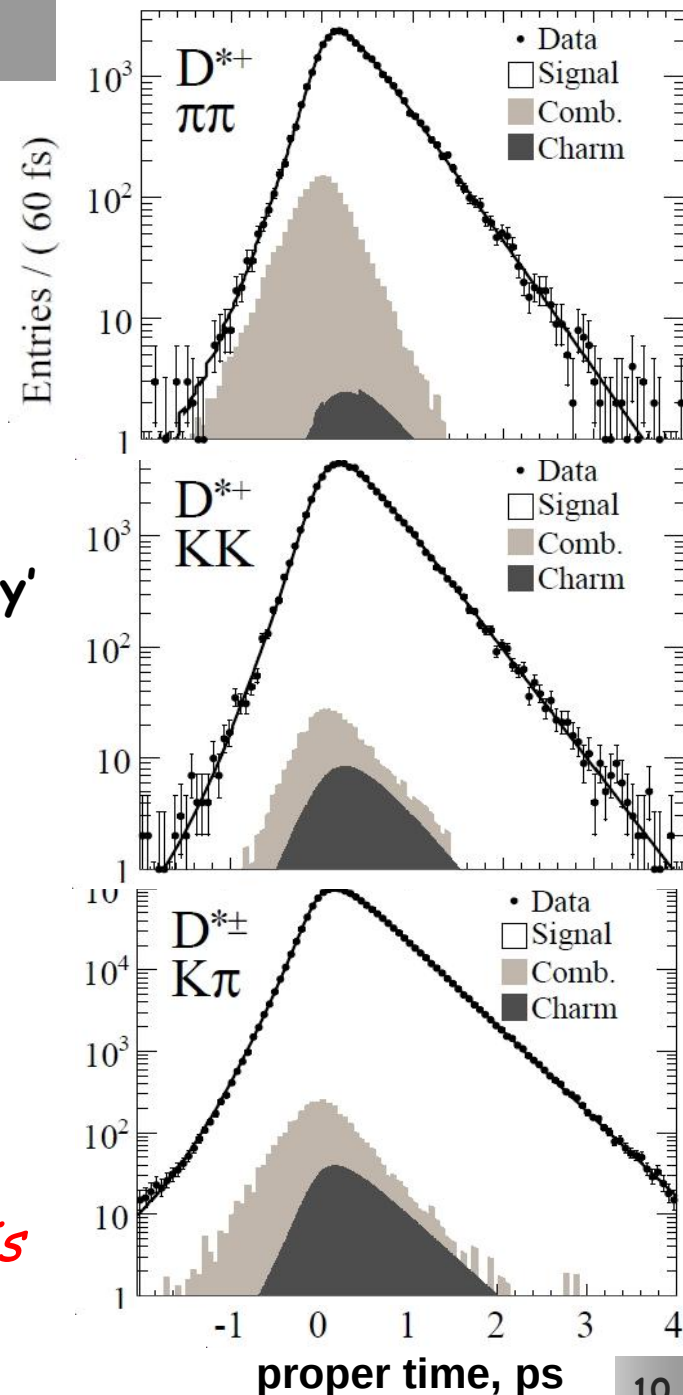
BABAR

PRD 87,012004 (2013)

$$\gamma_{CP} = (0.72 \pm 0.18 \pm 0.12)\%$$

□ Most precise single measurement to date.

*No mixing hypothesis
excluded at 3.3σ .*

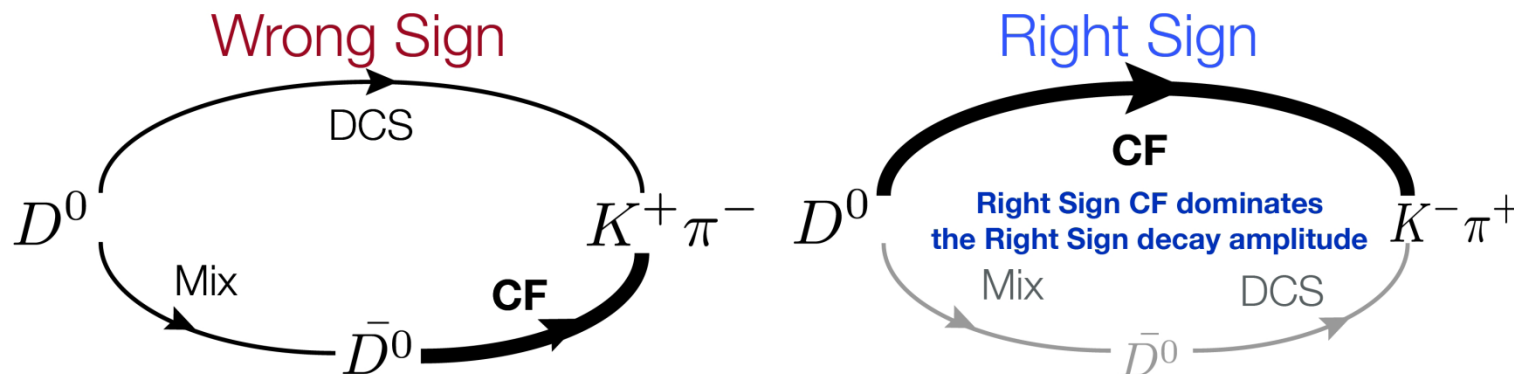


Wrong sign $D^0 \rightarrow K\pi$ decay rate

Time-dependent "wrong-sign" decay rates:

cocktail of **mixing** and **DCS** decay amplitudes

in about equal portions according to your taste.



Assuming $|x|, |y| \ll 1$ and CP conservation,

Wrong sign: $dN_{ws}/dt \approx e^{-\Gamma t} \times [\underbrace{(x'^2 + y'^2)/2 \cdot \Gamma^2 t^2 / 2}_{\text{mixing}} + \underbrace{D_{DCS}^2}_{\text{DCSD}} + \underbrace{D_{DCS} \cdot y' \cdot \Gamma t}_{\text{interference}}]$

Ratio: $N_{ws}/N_{rs}(t) \approx \underbrace{(x'^2 + y'^2)/2 \cdot \Gamma^2 t^2 / 2 + R_D + \sqrt{R_D} \cdot y' \cdot \Gamma t}_{\text{vanishes for SL decays}}$

$\frac{\bar{A}(K^- \pi^+)}{A(K^- \pi^+)} = \sqrt{R_D} e^{-i\delta}$, δ - strong phase between A and $\bar{A}$

$y' = y \cos \delta - x \sin \delta$

$x' = x \cos \delta + y \sin \delta$

$x^2 + y^2$
 x'^2, y'

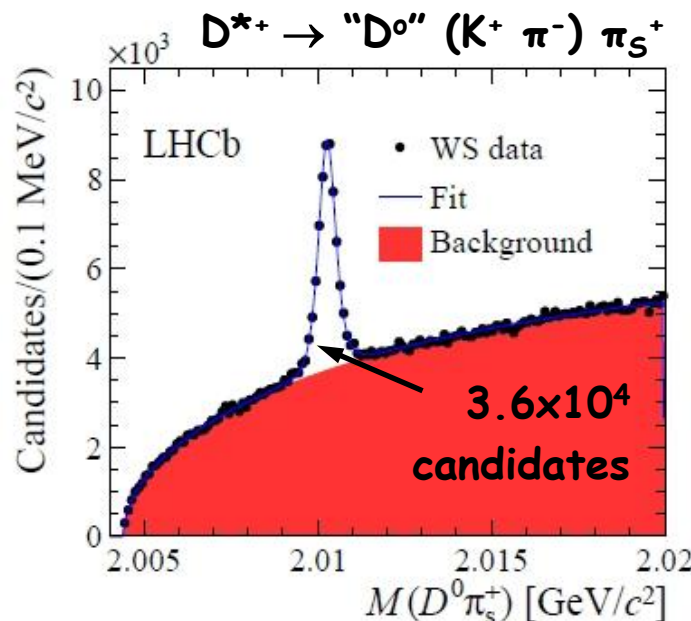
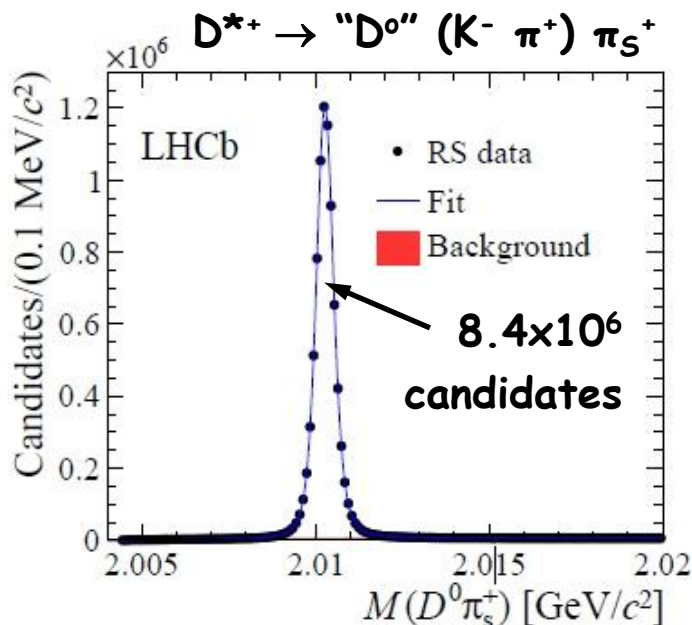
❑ Use prompt $D^{*+} \rightarrow D^0 \pi_S^+$

PRL 110 (2013) 101802

❑ Charge of soft pion tags the initial flavor of the D^0 .

2011 data, 1 fb^{-1}

❑ D^0 and π_S^+ required to form a vertex constrained to PV.



❑ Background of WS data dominated by real D^0 and a random pion.

❑ Contamination from $B \rightarrow D^0 X$ reduced by IP cuts on D^0 and π_S^+ .

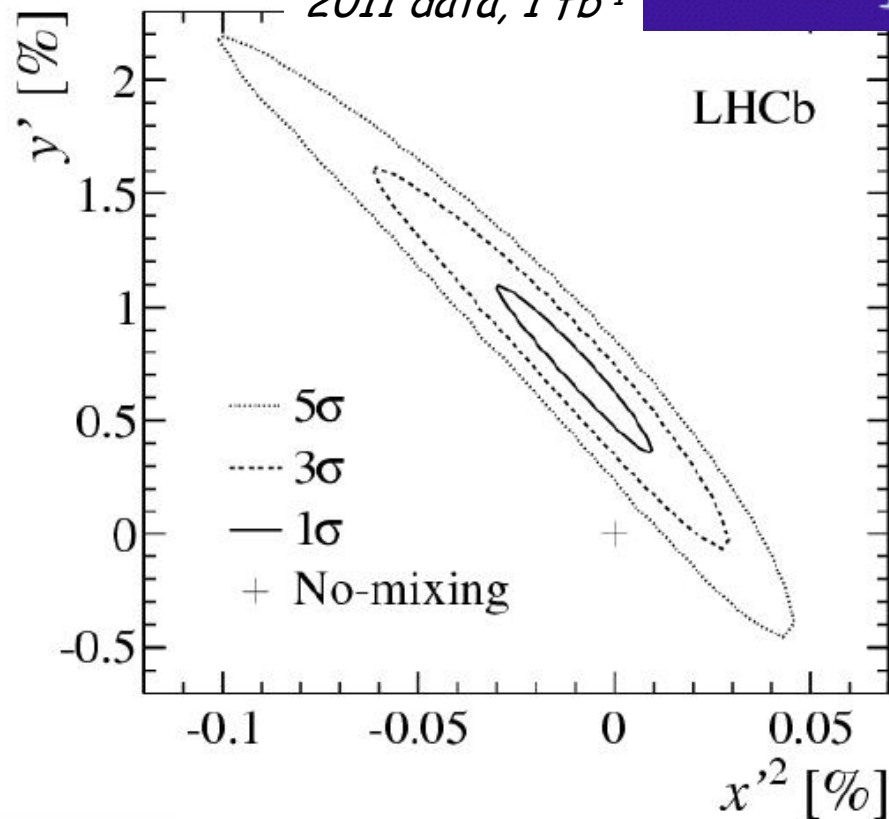
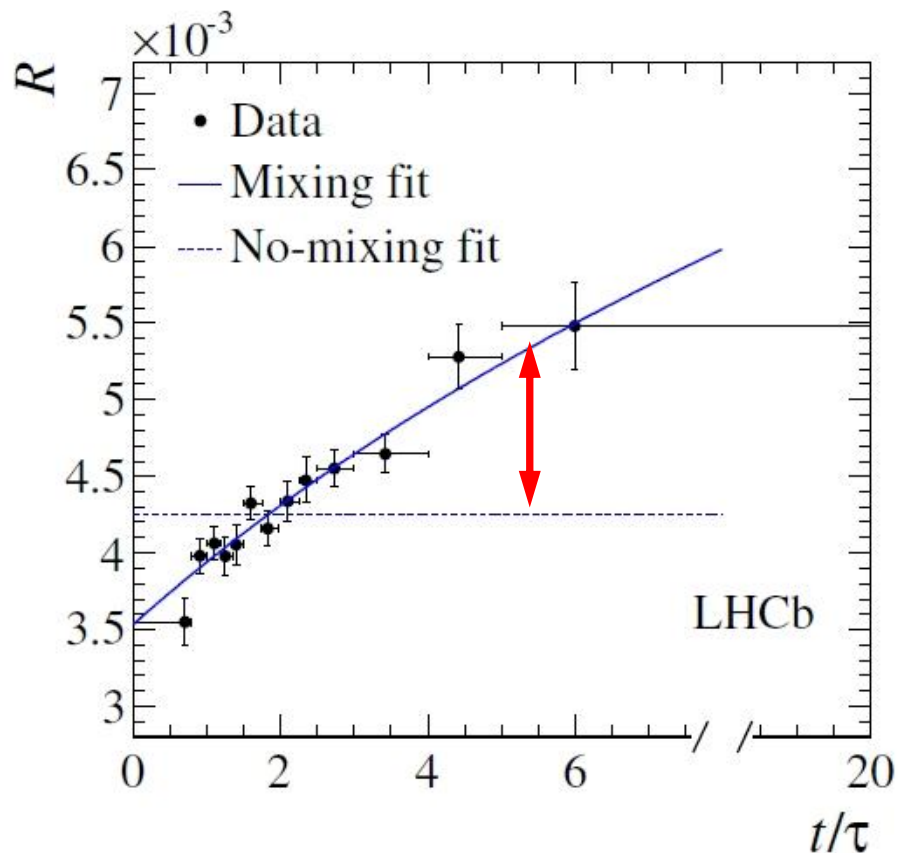
❑ RS and WS yields determined in D^0 decay time bins

❑ Doubly misidentified RS events contribution to WS signal : $(0.4 \pm 0.2)\%$

❑ Residual contamination from secondary D^0 from b-decays : $(2.7 \pm 0.2)\%$

PRL 110 (2013) 101802

2011 data, 1 fb^{-1}



Fit type	Parameter	Fit result (10^{-3})
Mixing	R_D	3.52 ± 0.15
	y'	7.2 ± 2.4
	x'^2	-0.09 ± 0.13
No mixing	R_D	4.25 ± 0.04

*No mixing hypothesis
excluded at $> 9\sigma$.*

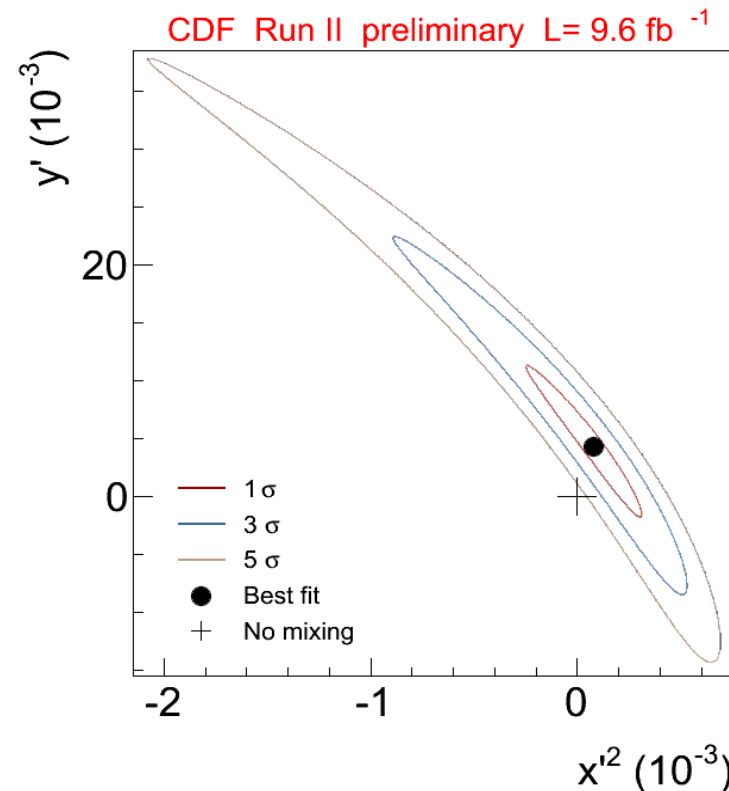
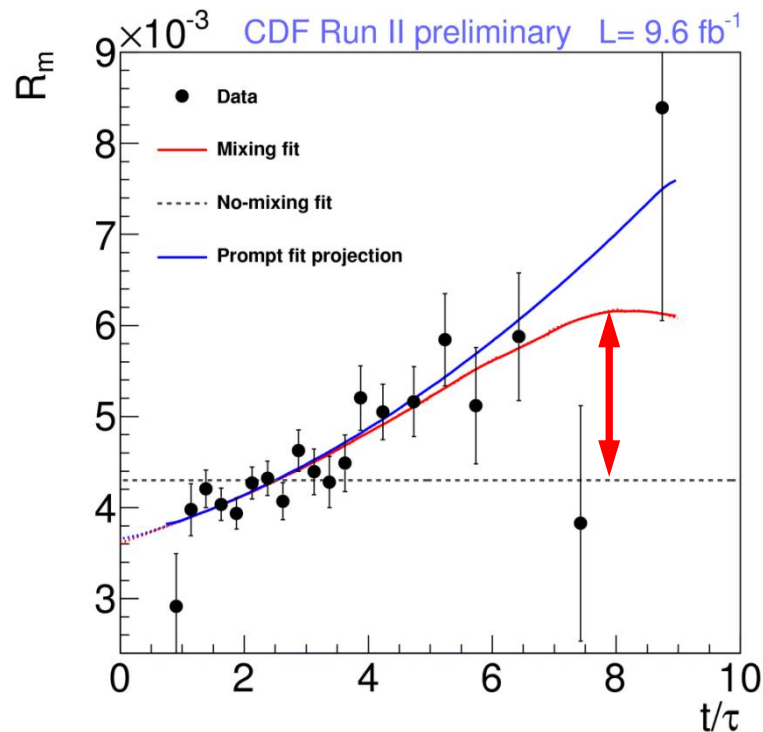
CDF: wrong sign $D^0 \rightarrow K\pi$ decay rate



CDF NOTE 10990 (2013)

9.6fb^{-1}

- ❑ Same technique
- ❑ Charge of soft pion tags the initial flavor of the D^0 .
- ❑ Wrong sign: $D^{*\pm} \rightarrow "D^0" (K^+ \pi^-) \pi_S^+$

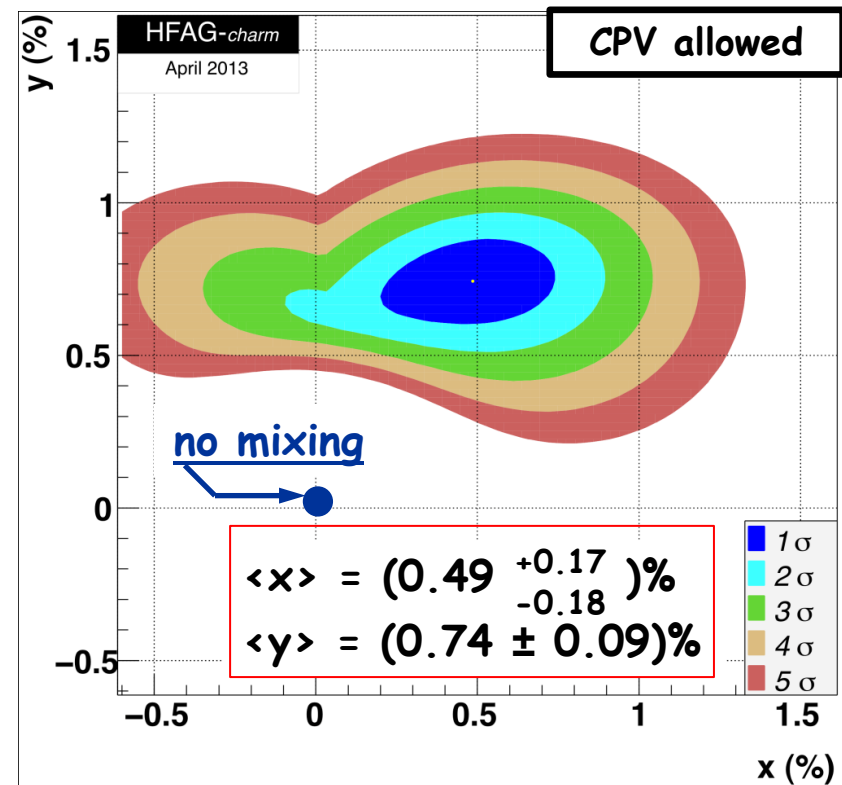
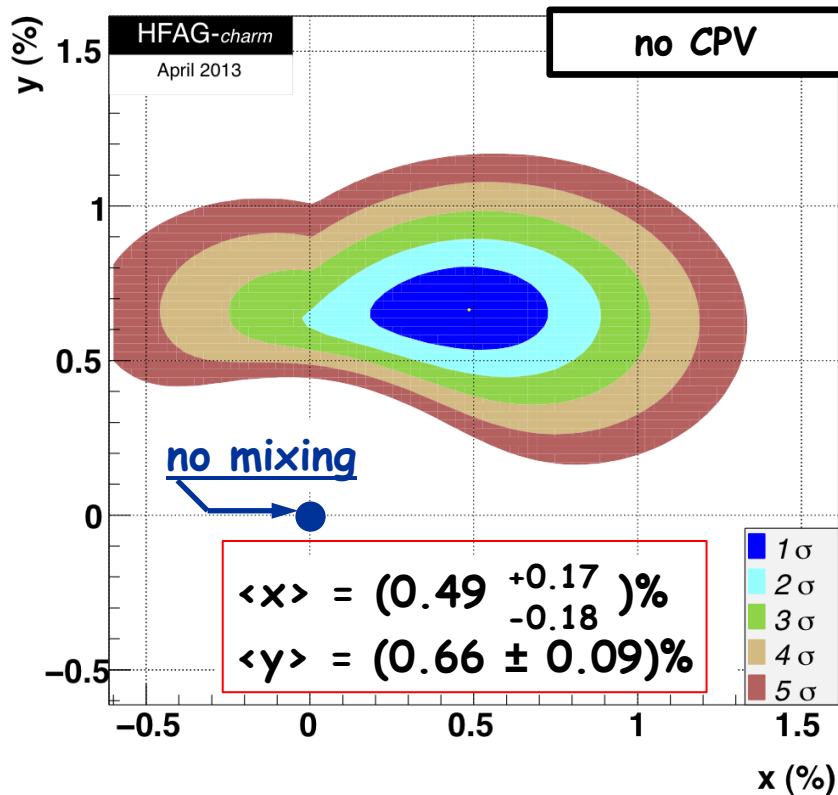


$R_D(10^{-3})$	$y'(10^{-3})$	$x'^2(10^{-3})$	σ no mixing
3.51 ± 0.35	4.3 ± 4.3	0.08 ± 0.18	6.1

No mixing hypothesis excluded at $> 6\sigma$.

$D^0\bar{D}^0$ mixing : HFAG averages

<http://www.slac.stanford.edu/xorg/hfag/charm>

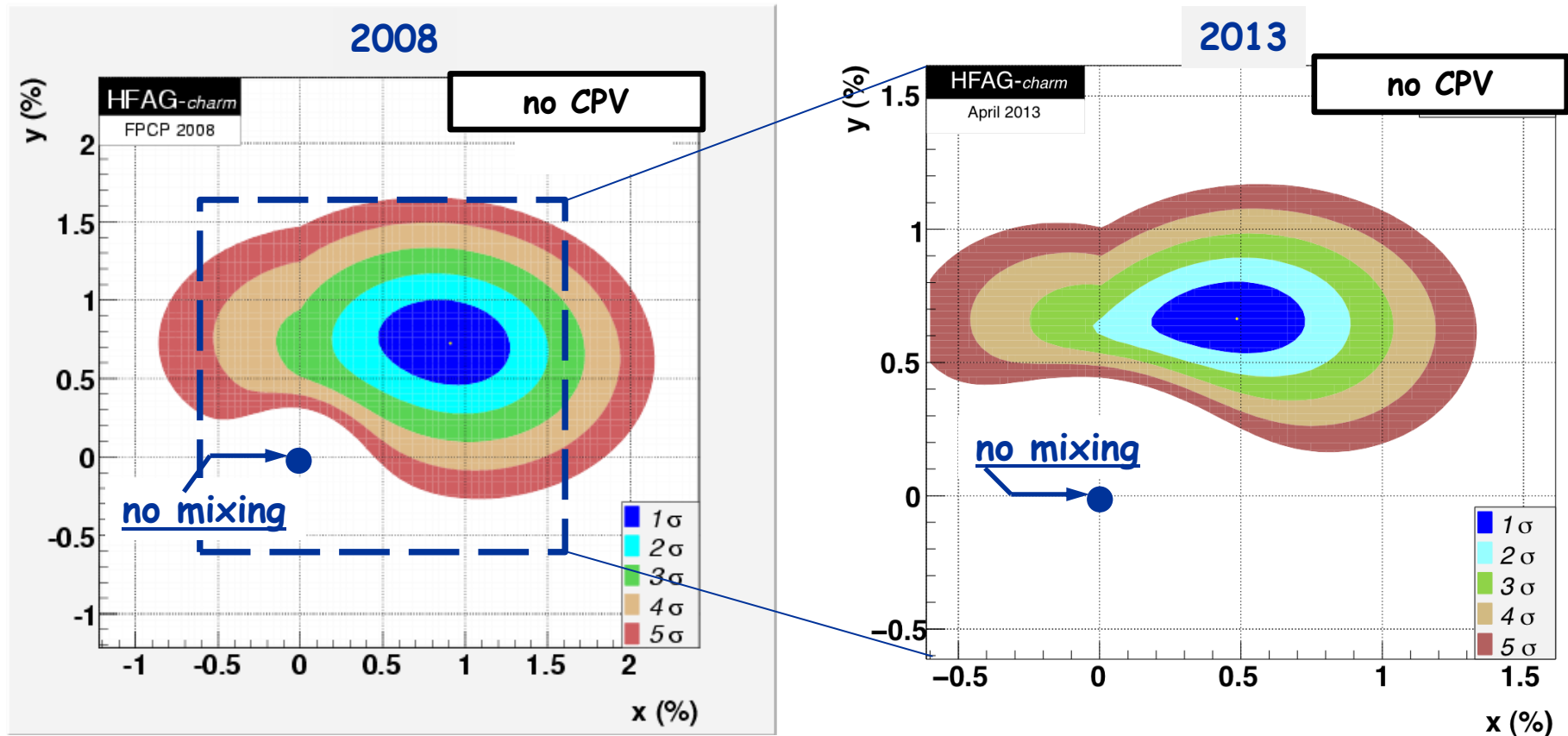


Wrong sign $D^0 \rightarrow K\pi$ latest results

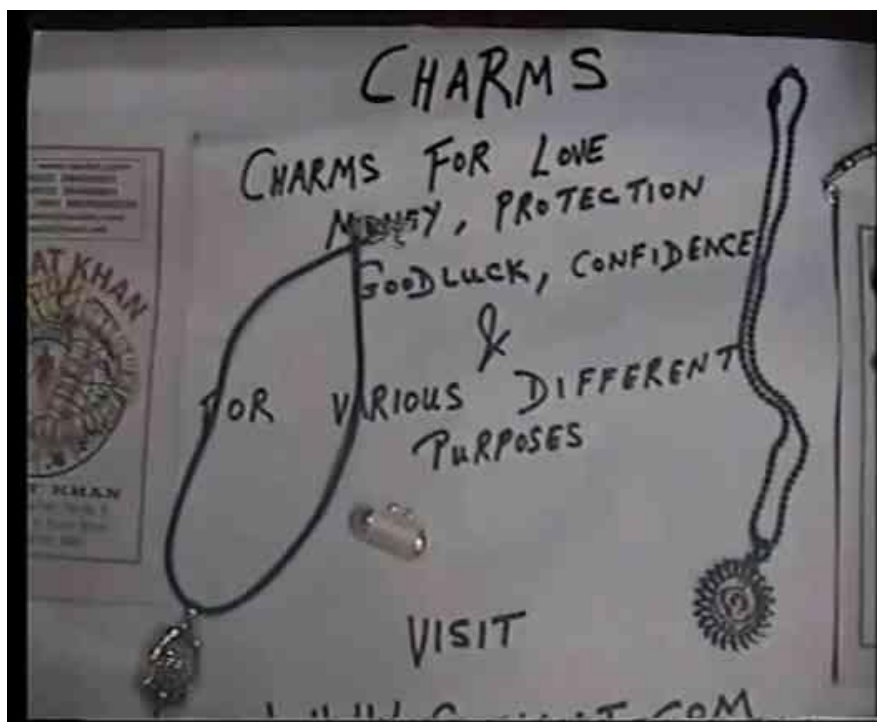
	$R_D (10^{-3})$	$y' (10^{-3})$	$x'^2 (10^{-3})$
LHCb	3.52 ± 0.15	7.2 ± 2.4	-0.09 ± 0.13
Belle	3.64 ± 0.17	$0.6^{+4.0}_{-3.9}$	$0.18^{+0.21}_{-0.23}$
BaBar	3.03 ± 0.19	9.7 ± 5.4	-0.22 ± 0.37
CDF	3.51 ± 0.35	4.3 ± 4.3	0.08 ± 0.18

$D^0\bar{D}^0$ mixing : HFAG average evolution over 5 years

<http://www.slac.stanford.edu/xorg/hfag/charm>



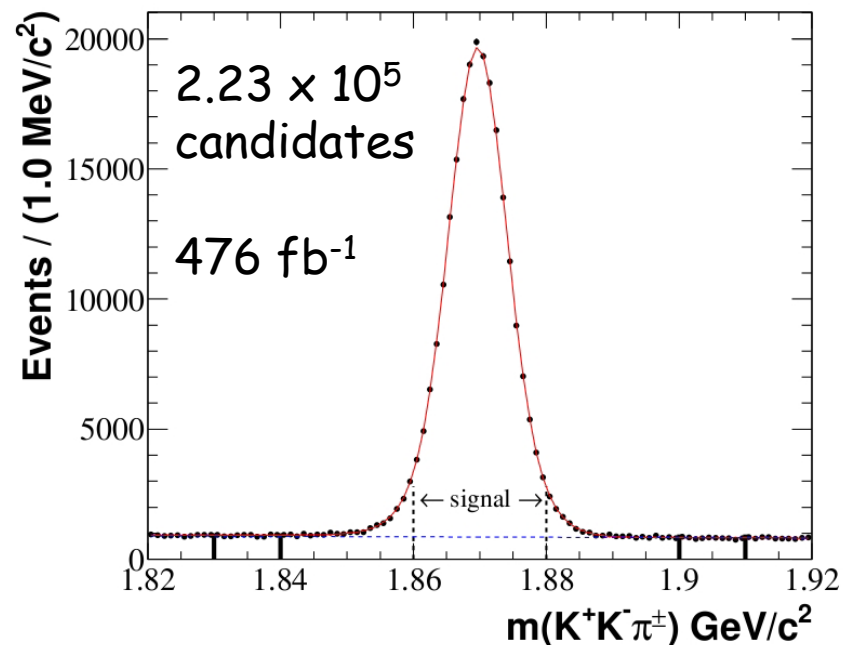
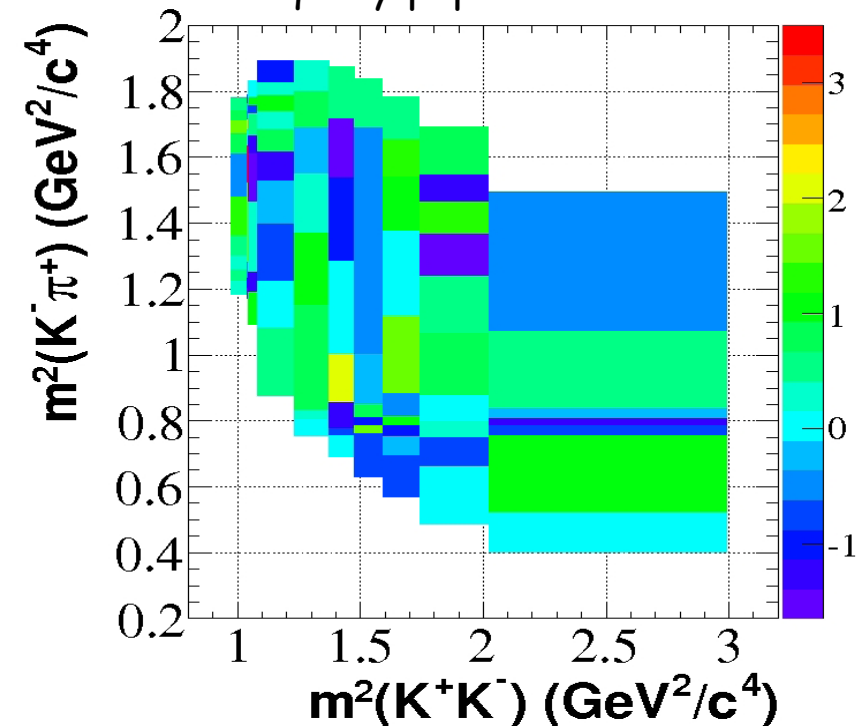
Search for CPV in charm sector



□ Asymmetry in total rate:

$$A_{CP} = \frac{\Gamma(D^0 \rightarrow f) - \Gamma(\bar{D}^0 \rightarrow \bar{f})}{\Gamma(D^0 \rightarrow f) + \Gamma(\bar{D}^0 \rightarrow \bar{f})}$$

Normalized residuals of the D Dalitz plots
in equally populated intervals



□ Model-independent search over the
Dalitz plot:

$p\text{-value} = 72\%$ for no CPV

□ Model-dependent search (simultaneous
fit to the D^+ and D^- Dalitz plots):

no indication of CPV in relative
phases and magnitudes

$$A_{CP} = (0.37 \pm 0.30 \pm 0.15)\%$$

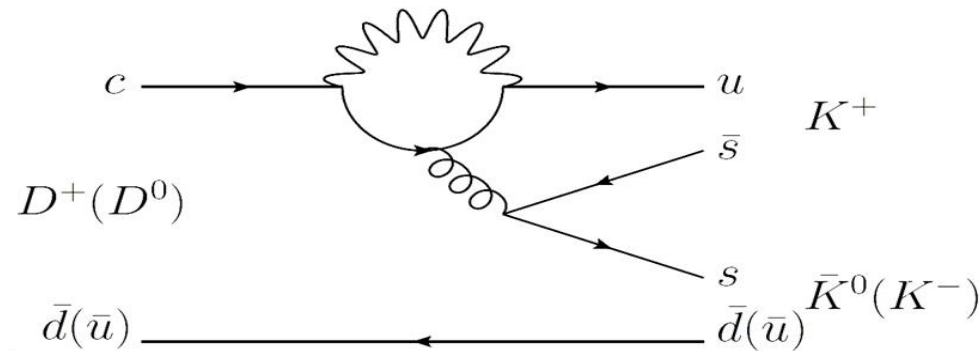
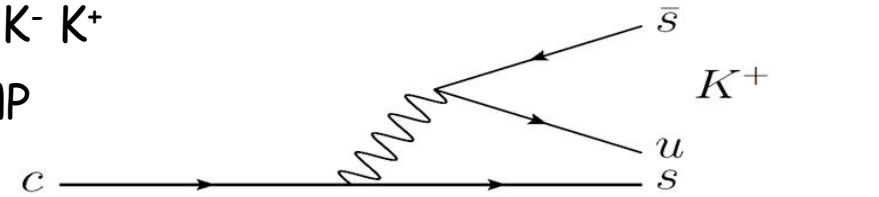
Search for CPV in $D_{(s)}^+ \rightarrow K_S^0 h^+$

□ Similar diagrams for $D^+ \rightarrow K_S^0 K^+$ and $D^0 \rightarrow K^- K^+$

□ Penguin contribution may be enhanced by NP

□ $D^+ \rightarrow K_S^0 \pi^+$: direct CPV allowed from interference between CF and DCS amplitudes, negligible in SM.

□ $D_s^+ \rightarrow K_S^0 \pi^+$: similar diagrams to $D^+ \rightarrow K_S^0 K^+$



□ The CP asymmetry in $D_{(s)}^+ \rightarrow K_S^0 h^+$ accounts also for the $K^0 \bar{K}^0$ mixing:

$$\begin{aligned}
 A_{CP}^{D_{(s)}^+ \rightarrow K_S^0 h^+} &= \frac{\Gamma(D_{(s)}^+ \rightarrow \bar{K}^0 h^+) \Gamma(\bar{K}^0 \rightarrow \pi^+ \pi^-) - \Gamma(D_{(s)}^- \rightarrow K^0 h^-) \Gamma(K^0 \rightarrow \pi^+ \pi^-)}{\Gamma(D_{(s)}^+ \rightarrow \bar{K}^0 h^+) \Gamma(\bar{K}^0 \rightarrow \pi^+ \pi^-) + \Gamma(D_{(s)}^- \rightarrow K^0 h^-) \Gamma(K^0 \rightarrow \pi^+ \pi^-)} \\
 &= \frac{A_{CP}^{D_{(s)}^+ \rightarrow \bar{K}^0 h^+} + A_{CP}^{\bar{K}^0}}{1 + A_{CP}^{D_{(s)}^+ \rightarrow \bar{K}^0 h^+} \times A_{CP}^{\bar{K}^0}} \approx A_{CP}^{D_{(s)}^+ \rightarrow \bar{K}^0 h^+} + A_{CP}^{\bar{K}^0}
 \end{aligned}$$

Search for CPV in $D_{(s)}^+ \rightarrow K_S^0 h^+$

□ A_{CP} obtained from the **asymmetry in signal yields** :

$$A_{\text{raw}}^{D_{(s)}^+ \rightarrow K_S^0 h^+} = \frac{N(D_{(s)}^+) - N(D_{(s)}^-)}{N(D_{(s)}^+) + N(D_{(s)}^-)} = A_{CP}^{D_{(s)}^+ \rightarrow K_S^0 h^+} + A_{FB}^{D_{(s)}^+} + A_{\text{Det}}^{K^+} + A_{\text{Det}}^{K^0}$$

□ **Detector-induced asymmetries** determined by a data-driven method :

Forward-backward asymmetry A_{FB} is an odd function of $\cos \theta_D^*$

$$A_{FB}^{D_{(s)}^+} = \frac{1}{2} [A_{\text{raw}}^{K_S^0 h^+} (+ \cos \theta_D^*) - A_{\text{raw}}^{K_S^0 h^+} (- \cos \theta_D^*)]$$

$A_{\text{Det}}^{K^+}$ determined from the CF (no CPV) $D^0 \rightarrow K^- \pi^+$ and $D_s^+ \rightarrow \phi \pi^+$ decays, assuming $A_{FB}^{D^0} = A_{FB}^{D_s^+}$:

$$A_{\text{raw}}^{D^0 \rightarrow K^- \pi^+} = A_{FB}^{D^0} + A_{\text{Det}}^{K^+} + A_{\text{Det}}^{\pi^+}$$

$$A_{\text{raw}}^{D_s^+ \rightarrow \phi \pi^+} = A_{FB}^{D_{(s)}^+} + A_{\text{Det}}^{\pi^+}$$

Detection asymmetry (BELLE) due to difference in interactions with matter for K^0 and $\bar{K}^0$ estimated numerically : $A_{\text{Det}}^{K^0} \approx 0.1\%$

Search for CPV in $D_{(s)}^+ \rightarrow K_S^0 h^+$



JHEP 02 (2013) 098
PRL 109 (2012) 021601

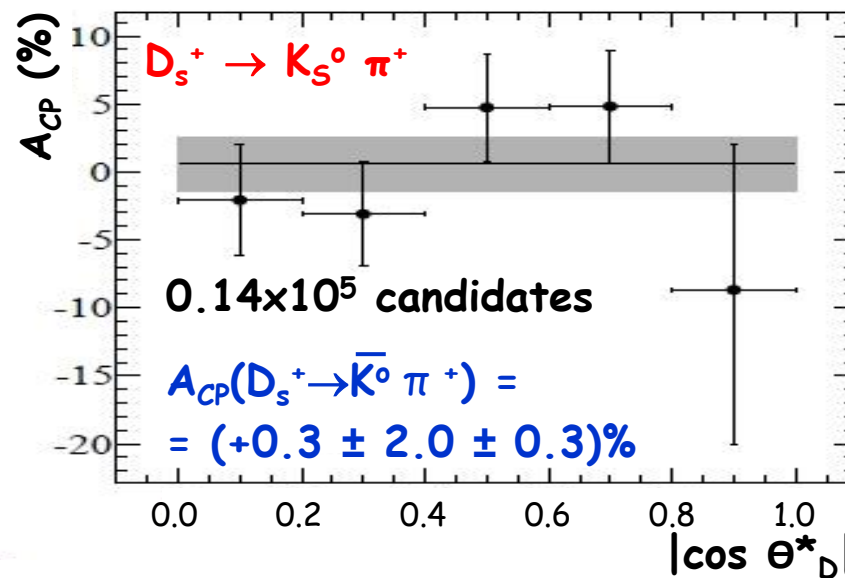
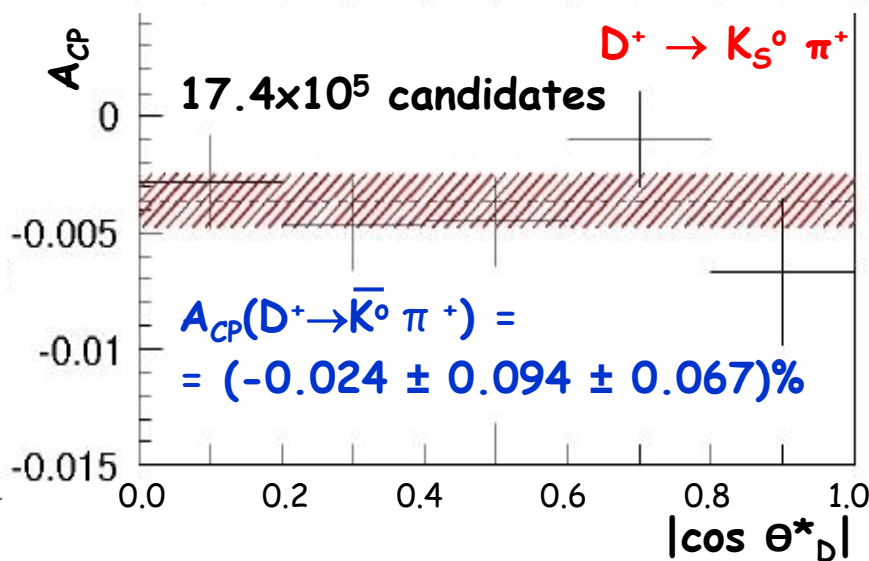
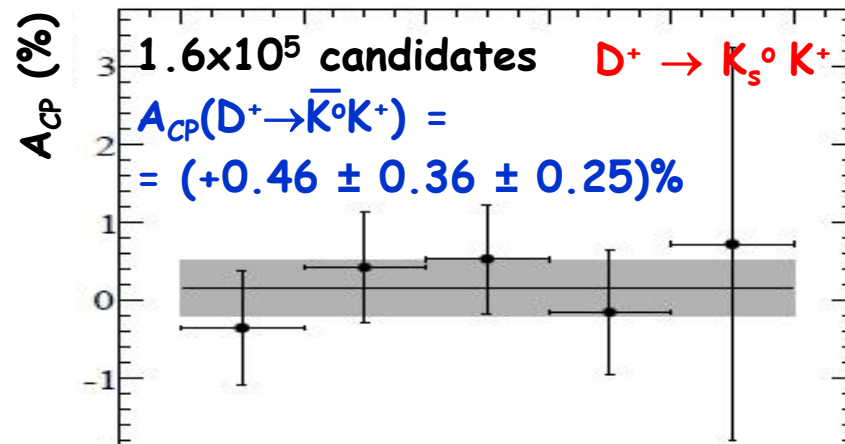
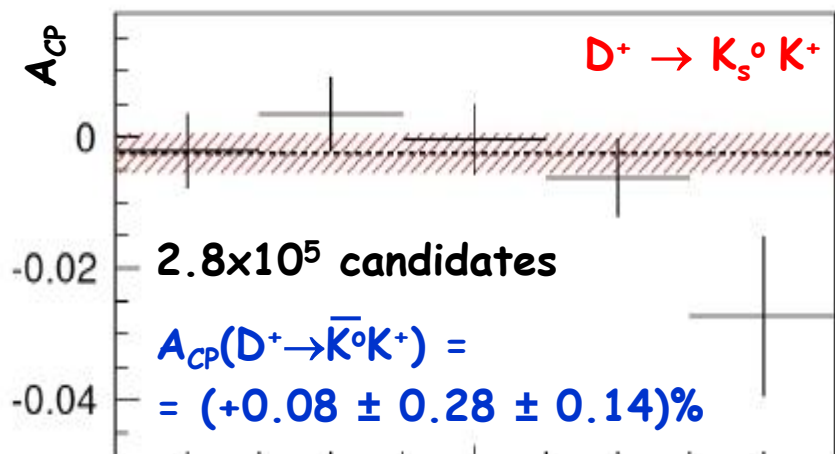
977 fb^{-1}



PRD 87 (2013) 052012

BABAR

469 fb^{-1}



□ CPV (charm only !) compatible with zero in all the three decay modes.

Search for direct CPV in $D^+ \rightarrow \phi \pi^+$ and $D_s^+ \rightarrow K_S^0 \pi^+$

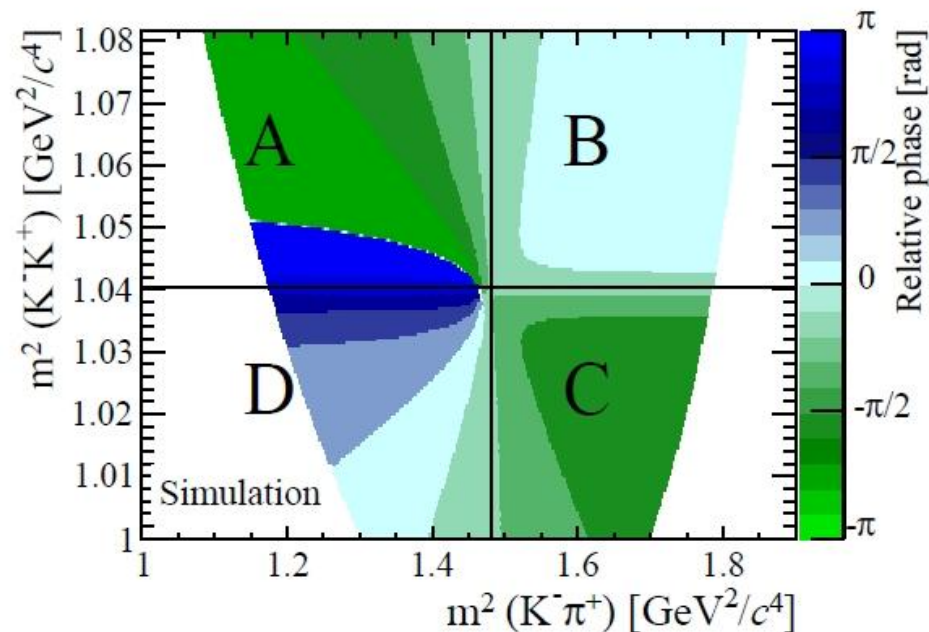
- Look for direct CPV in the ϕ region of the $D^+ \rightarrow K^- K^+ \pi^+$ Dalitz plot :

JHEP 1306 (2013) 112
 2011 data, 1 fb^{-1}

$$A_{CP}^{D^+ \rightarrow \phi \pi^+} = A_{\text{raw}}^{D^+ \rightarrow \phi \pi^+} - A_{\text{raw}}^{D^+ \rightarrow K_S \pi^+} + A_{CP}^{K^0 - \bar{K}^0}$$

- A complementary observable, sensitive to asymmetries that change sign (according to the strong phase variation along the ϕ band, $1.00 \text{ GeV}/c^2 < M(K^+ K^-) < 1.04 \text{ GeV}/c^2$)

$$A_{CP|S} = \frac{1}{2} [A_{\text{raw}}^A + A_{\text{raw}}^C - A_{\text{raw}}^B - A_{\text{raw}}^D]$$



- A concurrent measurement :

$$A_{CP}^{D_s^+ \rightarrow K_S^0 \pi^+} = A_{\text{raw}}^{D_s^+ \rightarrow K_S^0 \pi^+} - A_{\text{raw}}^{D_s^+ \rightarrow \phi \pi^+} + A_{CP}^{K^0 - \bar{K}^0}$$

correction for CPV in neutral kaon system, $(-0.028 \pm 0.028)\%$
 PLB 718 (2013) 902

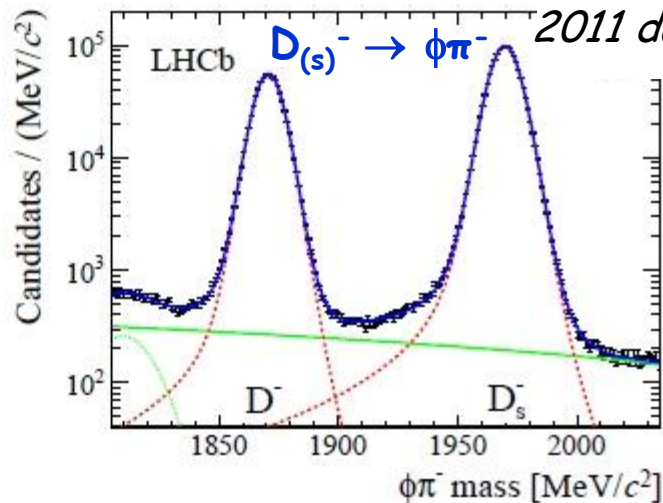
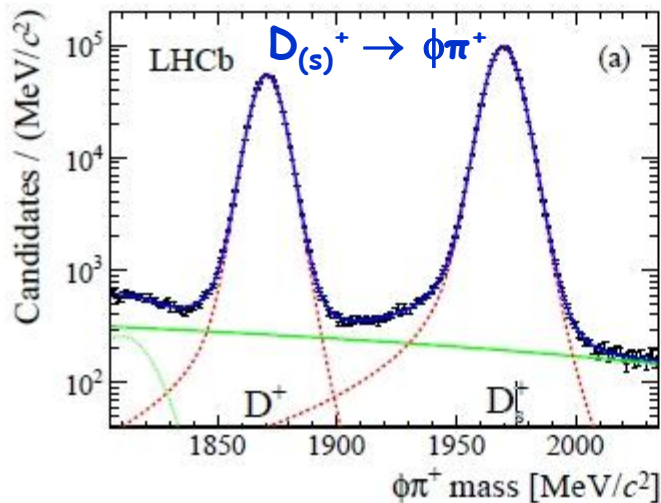
- Analysis in 12 bins of p_T, η

Search for direct CPV in $D^+ \rightarrow \phi \pi^+$ and $D_s^+ \rightarrow K_S^0 \pi^+$

JHEP 1306 (2013) 112



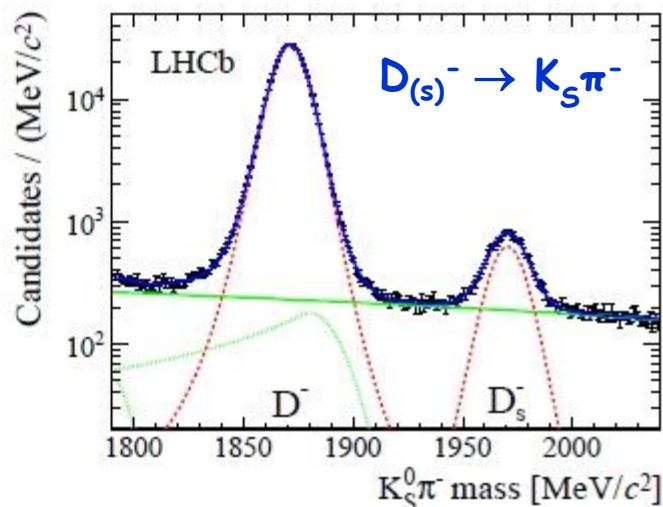
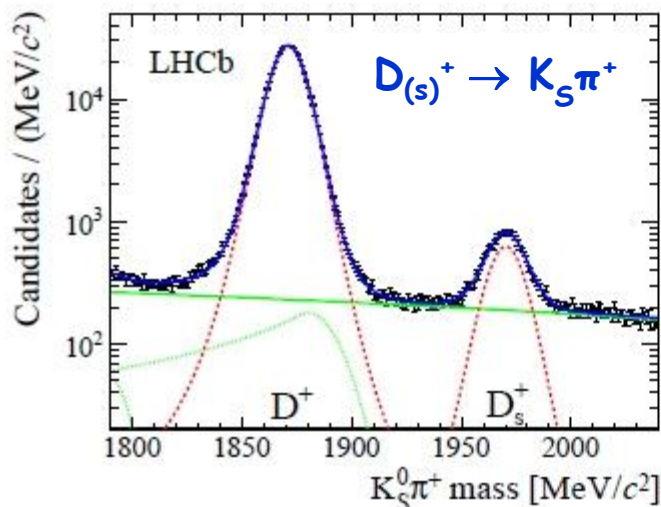
2011 data, 1 fb⁻¹



..... signal
— combinatorial background
..... background from $D_s^+ \rightarrow \phi \pi^+ \pi^0$

1.6×10^6 $D^+ \rightarrow \phi \pi^+$

3.0×10^6 $D_s^+ \rightarrow \phi \pi^+$



..... signal
— combinatorial background
..... background from $D_s^+ \rightarrow K_S^0 \pi^+ \pi^0$,
 $D_s^+ \rightarrow K_S^0 K^+$

1.1×10^6 $D^+ \rightarrow K_S \pi^+$

2.5×10^4 $D_s^+ \rightarrow K_S \pi^+$

$$A_{CP}(D^+ \rightarrow \phi \pi^+) = (-0.04 \pm 0.14 \pm 0.13)\%$$

$$A_{CP|S}(D^+ \rightarrow \phi \pi^+) = (-0.18 \pm 0.17 \pm 0.18)\%$$

$$A_{CP}(D_s^+ \rightarrow K_S^0 \pi^+) = (+0.61 \pm 0.83 \pm 0.13)\%$$

$\rightarrow$ No evidence for CPV

Search for time-integrated CPV in $D^0 \rightarrow K^- K^+$ and $D^0 \rightarrow \pi^- \pi^+$: D^* tag

- Time-dependent CP asymmetry for D^0 decays to a CP eigenstate f :

$$A_{CP}(f, t) = \frac{\Gamma(D^0 \rightarrow f) - \Gamma(\bar{D}^0 \rightarrow f)}{\Gamma(D^0 \rightarrow f) + \Gamma(\bar{D}^0 \rightarrow f)} \approx a_{CP}^{\text{dir}}(f) + t/\tau a_{CP}^{\text{ind}}$$

↑ universal to a good approximation

$$\Delta A_{CP} = A_{CP}(K^- K^+) - A_{CP}(\pi^- \pi^+) = a_{CP}^{\text{dir}}(K^- K^+) - a_{CP}^{\text{dir}}(\pi^- \pi^+) + \Delta\langle t \rangle / \tau a_{CP}^{\text{ind}}$$

↑ difference in average decay time

- Tag D^0 flavour using prompt $D^{*+} \rightarrow D^0 \pi_S^+$

$$A_{\text{raw}}(f) = \frac{N(D^{*+} \rightarrow D^0 \pi_S^+) - N(D^{*-} \rightarrow \bar{D}^0 \pi_S^-)}{N(D^{*+} \rightarrow D^0 \pi_S^+) + N(D^{*-} \rightarrow \bar{D}^0 \pi_S^-)}$$

- To first order $A_{\text{raw}}(f) = A_{CP}(f) + A_D(f) + A_D(\pi_S^+) + A_P(D^{*+})$

- $A_D(K^- K^+) = A_D(\pi^- \pi^+) = 0$, $A_D(\pi_S^+)$ and $A_P(D^{*+})$ independent of f

$$\rightarrow \Delta A_{CP} = A_{\text{raw}}(K^- K^+) - A_{\text{raw}}(\pi^- \pi^+)$$

Search for time-integrated CPV in $D^0 \rightarrow K^- K^+$ and $D^0 \rightarrow \pi^- \pi^+$: D^* tag

Status of measurements by the end of 2012

Exp.	Ref.	ΔA_{CP} (%)
LHCb	PRL 108 (2012) 111602	$-0.82 \pm 0.21 \pm 0.11$
CDF	PRL 109 (2012) 111801	$-0.62 \pm 0.21 \pm 0.10$
BELLE	arXiv:1212.1975 (prelim.)	$-0.87 \pm 0.41 \pm 0.06$
BaBar	PRL 100 (2008) 061803	$+0.24 \pm 0.62 \pm 0.26$

Agreement with no CP violation : $CL = 2.0 \times 10^{-5}$

□ BaBar, BELLE and CDF also quoted individual asymmetries for $D^0 \rightarrow K^- K^+$ and $D^0 \rightarrow \pi^- \pi^+$ channels with partially cancelled systematics in the ratio.

□ LHCb update 2013



LHCb-CONF-2013-003

2011 data, 1 fb^{-1}

2.2×10^6 $D^0 \rightarrow K^- K^+$

0.7×10^6 $D^0 \rightarrow \pi^- \pi^+$

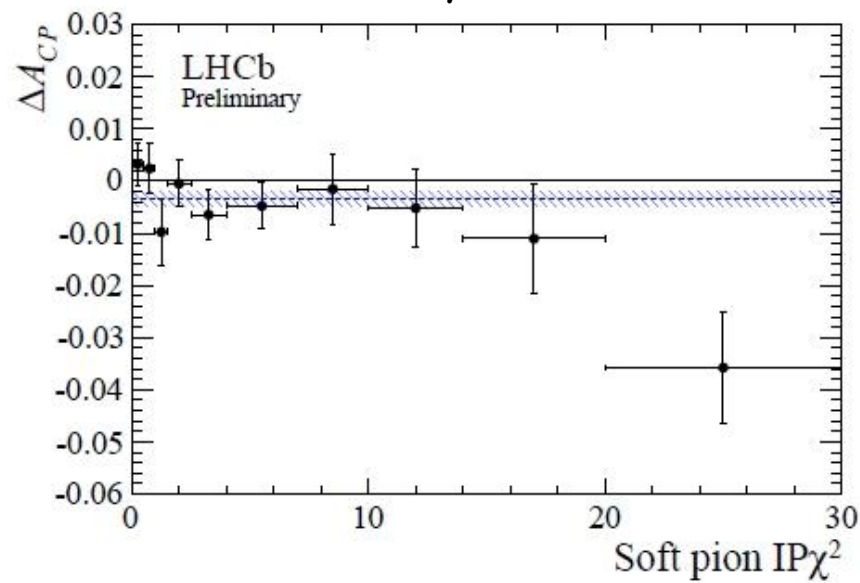
$$\Delta \langle t \rangle / \tau = (11.19 \pm 0.13 \pm 0.17)\%$$

ΔA_{CP} : tag D^0 via prompt D^{*+} , LHCb update 2013



- Fiducial cuts to exclude kinematic regions with large π_S^+ detection asymmetry. LHCb-CONF-2013-003
2011 data, 1 fb^{-1}
- IP cut to reduce contamination from D^0 originated from b-hadron decays.
- Constrain the D^{*+} vertex to match the PV improves ΔM resolution.
- Data divided into several disjoint samples (magnet polarity, hardware trigger).
- Signal yields extracted from a fit to $\Delta M = M(h^- h^+ \pi^+) - M(h^- h^+) - M(\pi^+)$
- Weighting to account for differences in kinematics of two final states, needed for proper cancellation of production and detection asymmetries

source	Uncertainty(%)
Fiducial cut	0.02
Peaking Background	0.04
Fit model	0.03
Multiple candidates	0.01
Weighting	0.01
Soft pion IP χ^2	0.08
Total	0.10



$$\Delta A_{CP} = (-0.34 \pm 0.15 \pm 0.10)\%$$

ΔA_{CP} : tag D^0 via semileptonic b-decays

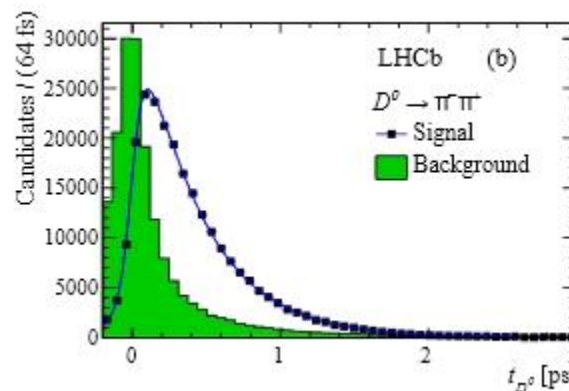
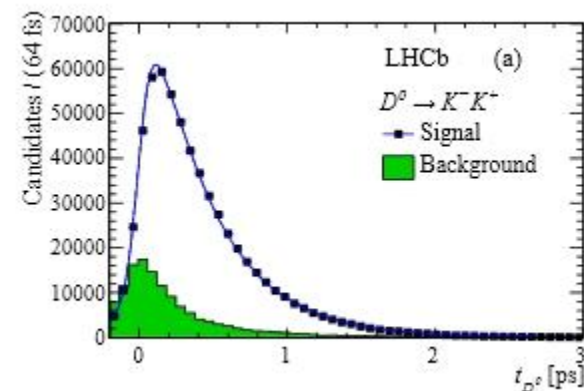
- ❑ Tag D^0 flavour using muon sign in $b \rightarrow D^0 \mu X$
- ❑ Statistically independent from the prompt D^* sample.
- ❑ Lower rate vs. higher trigger efficiency.
- ❑ Different trigger composition and systematics.

$$A_{\text{raw}} = \frac{\Gamma(D^0 \rightarrow f) \varepsilon(\mu^-) P(D^0) - \Gamma(\bar{D}^0 \rightarrow f) \varepsilon(\mu^+) P(\bar{D}^0)}{\Gamma(D^0 \rightarrow f) \varepsilon(\mu^-) P(D^0) + \Gamma(\bar{D}^0 \rightarrow f) \varepsilon(\mu^+) P(\bar{D}^0)} \approx A_{CP}^f + A_D^\mu + A_P^B$$

$$\Delta A_{CP} = A_{\text{raw}}(K^- K^+) - A_{\text{raw}}(\pi^- \pi^+) \approx A_{CP}(K^- K^+) - A_{CP}(\pi^- \pi^+)$$

PLB 723 (2013) 33

2011 data, 1 fb^{-1}



$$\Delta \langle t \rangle / \tau = (1.8 \pm 0.7)\%$$

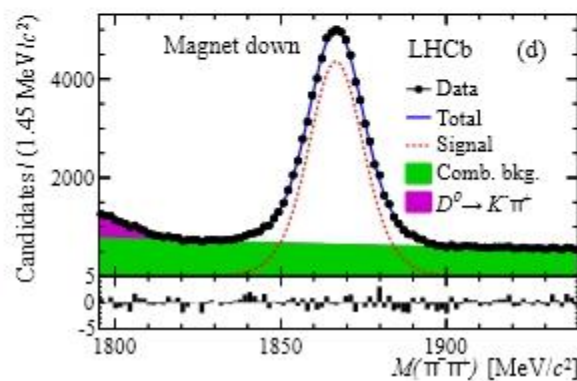
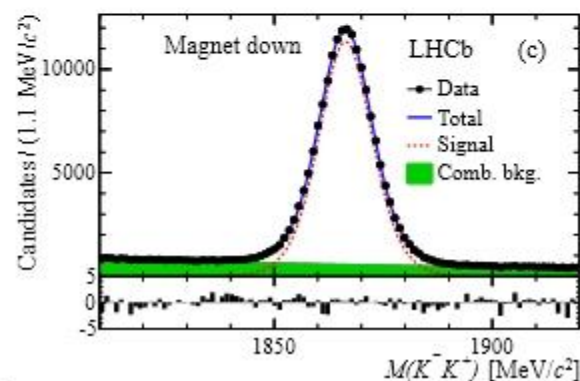
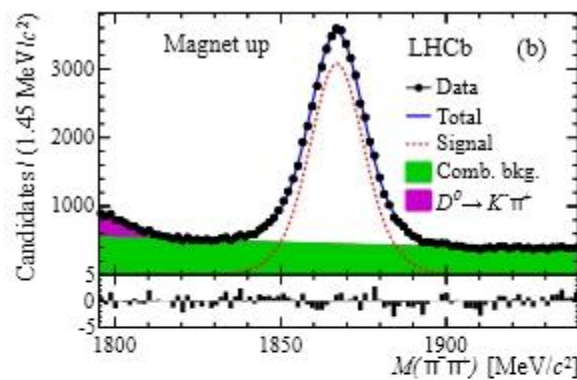
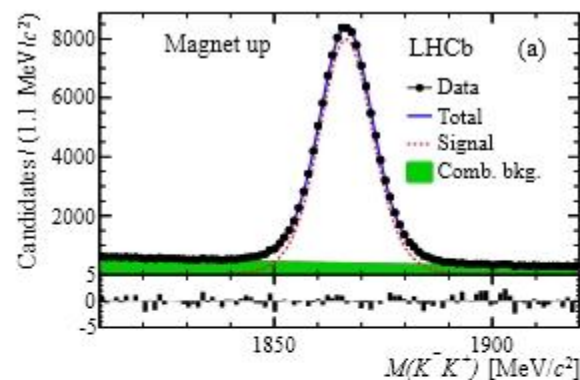
$$\rightarrow \Delta A_{CP} \approx \Delta a_{CP}^{\text{dir}}$$

ΔA_{CP} : tag D^0 via semileptonic b-decays

5.6×10^5 $D^0 \rightarrow K^- K^+$

2.2×10^5 $D^0 \rightarrow \pi^- \pi^+$ PLB 723 (2013) 33

2011 data, 1 fb^{-1}



□ Raw asymmetries from a simultaneous fit of four data subsets

□ Weighting to account for differences in kinematics of two final states, needed for proper cancellation of production and detection asymmetries

□ Flavor tagging validated on data

$b \rightarrow D^0 \mu X$

PLB 723 (2013) 33

$$\Delta A_{CP} = (+0.49 \pm 0.30 \pm 0.14)\%$$

Prompt D^*

LHCb-CONF-2013-003

$$\Delta A_{CP} = (-0.34 \pm 0.15 \pm 0.10)\%$$

$$\Delta A_{CP} = (-0.15 \pm 0.16)\%$$

No evidence for CPV

Multi-body D^0 decays : $D^0 \rightarrow K^- K^+ \pi^- \pi^+$, $D^0 \rightarrow \pi^- \pi^+ \pi^- \pi^+$

- Majority of D^0 non-CP eigenstates are resonances.
 - Dalitz-plot like techniques allow to sum up several resonances
 - Increased statistics
 - Extract information about strong phase
- Many resonances in $D^0 \rightarrow K^- K^+ \pi^- \pi^+$: $D^0 \rightarrow \phi \rho, K_1(1270)^\pm K^\mp, K^*(1410)^\pm K^\mp, \dots$
and $D^0 \rightarrow \pi^- \pi^+ \pi^- \pi^+$: $D^0 \rightarrow \rho \rho, a_1(1260)^+ K^-, \dots$
- Tree and penguin CS amplitudes
- Look for direct CPV in regions of 4-body phase space → 5 combinations of 2- and 3-body invariant mass squared
- Multi-dimensional analysis in future, $D^0 \rightarrow (1, 2, 3, 4) : s(1, 2), s(2, 3), s(3, 4), s(1, 2, 3), s(2, 3, 4)$
- Use prompt sample $D^{*+} \rightarrow D^0 \pi_S^+$
- No direct CPV assumed in $D^0 \rightarrow K^- \pi^+ \pi^- \pi^+$, use as control channel

arXiv:1308.3189 (2013)

2011 data, 1 fb^{-1}

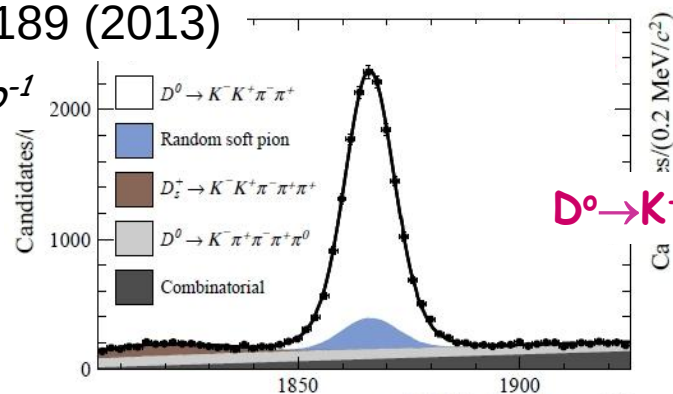
□ Use prompt sample

$$D^{*+} \rightarrow D^0 \pi_S^+$$

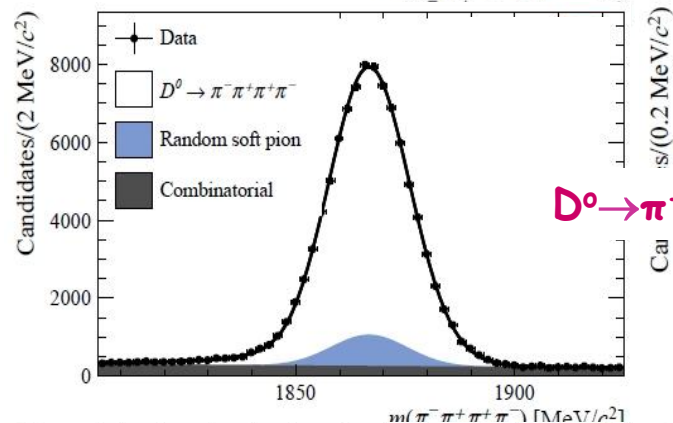
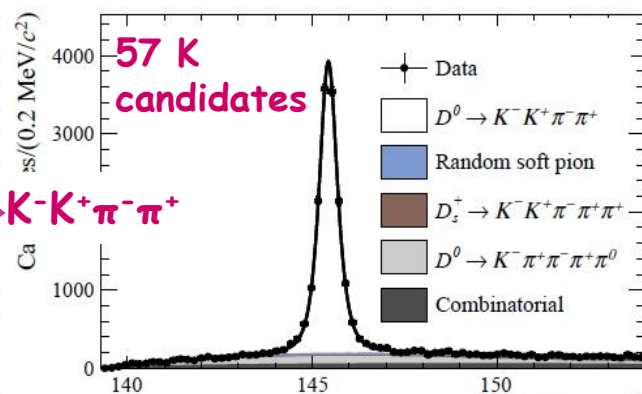
□ Tag D^0 flavour using π_S

□ Signal extraction via
sPlot technique

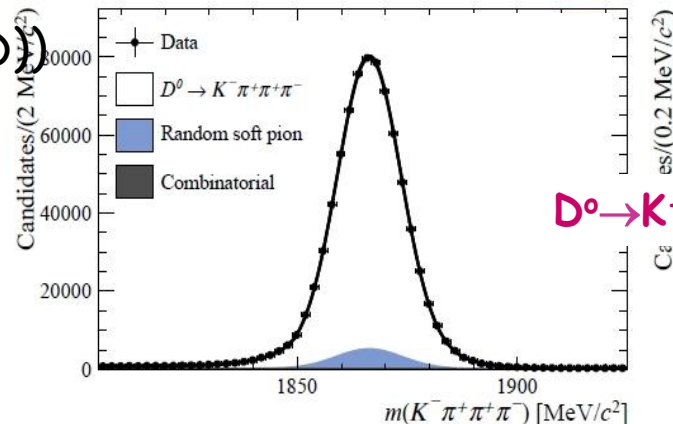
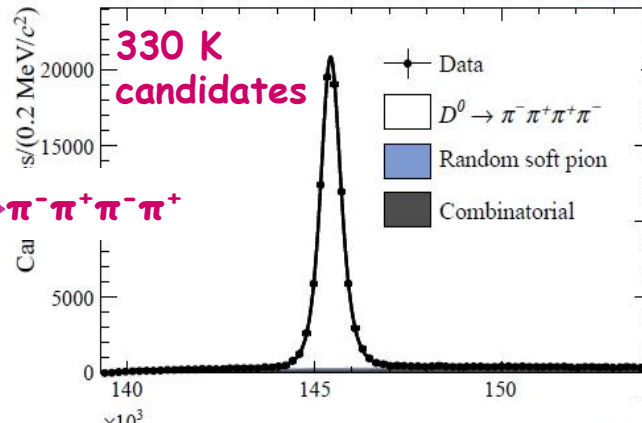
□ Fit $(m, \Delta m)$ plane
to extract sWeights,
($m(D)$, $\Delta m = m(D \pi_S^+) - m(D)$)



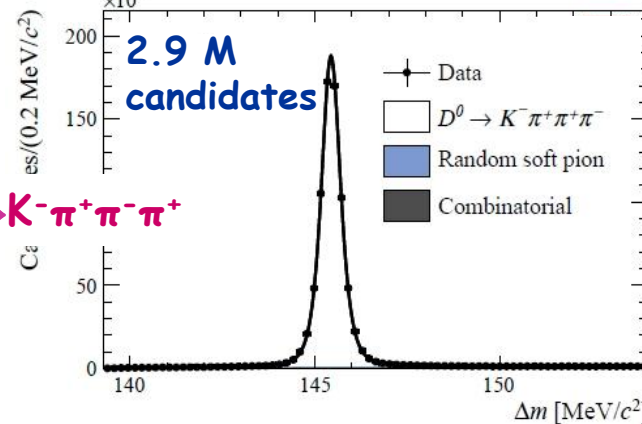
$D^0 \rightarrow K^- K^+ \pi^- \pi^+$



$D^0 \rightarrow \pi^- \pi^+ \pi^- \pi^+$



$D^0 \rightarrow K^- \pi^+ \pi^- \pi^+$



Multi-body D^0 decays : $D^0 \rightarrow K^- K^+ \pi^- \pi^+$, $D^0 \rightarrow \pi^- \pi^+ \pi^- \pi^+$

arXiv:1308.3189 (2013)

2011 data, 1 fb^{-1}

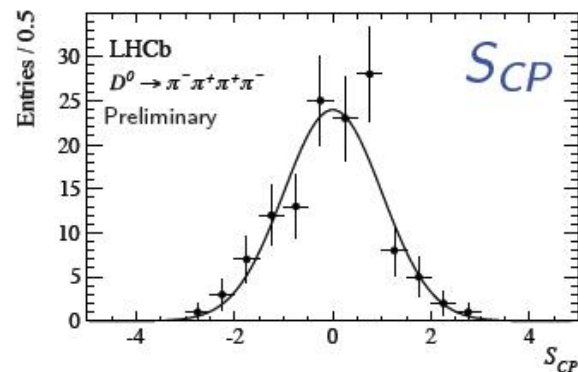


- Miranda method: significance in equally populated bins of 5D phase space :

$$s_{CP}^i = \frac{N_i(D^0) - \alpha N_i(\bar{D}^0)}{\sqrt{\alpha (\sigma_i^2(D^0) - \sigma_i^2(\bar{D}^0))}} , \quad \alpha = \frac{\sum_i N_i(D^0)}{\sum_i N_i(\bar{D}^0)}$$

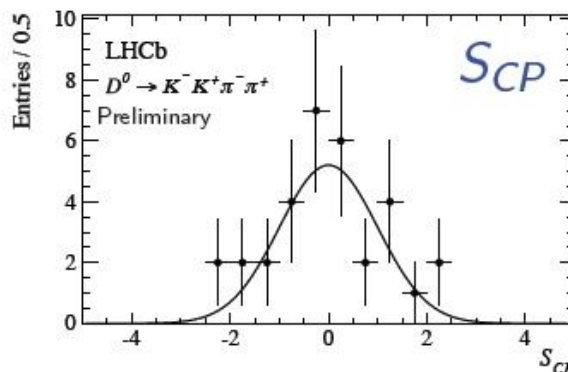
- No CPV = Gaussian distribution

$D^0 \rightarrow \pi^- \pi^+ \pi^- \pi^+$

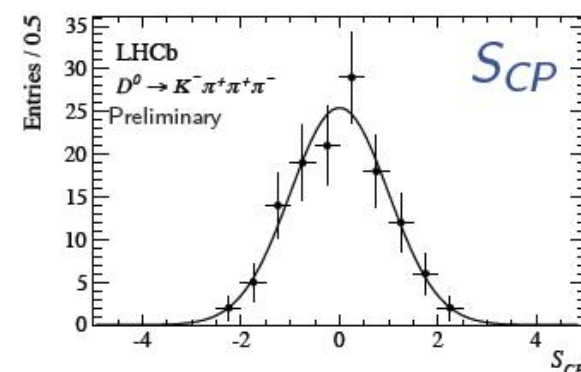


Consistent with no CPV

$D^0 \rightarrow K^- K^+ \pi^- \pi^+$



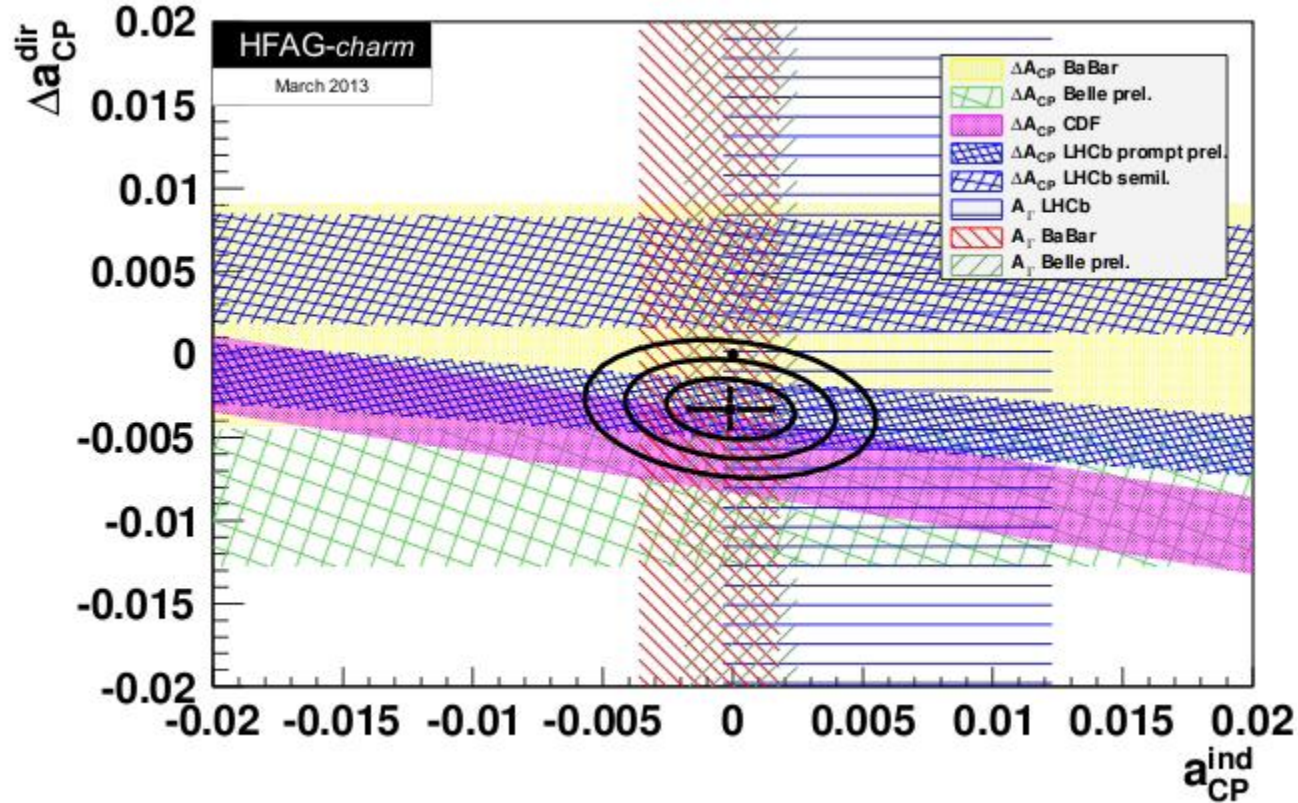
$D^0 \rightarrow K^- \pi^+ \pi^- \pi^+$



No evidence for local asymmetries

ΔA_{CP} : HFAG average

<http://www.slac.stanford.edu/xorg/hfag/charm>



Data consistent with no CPV at CL = 2.1%

$$\Delta a_{CP}^{dir} = (-0.329 \pm 0.121)\%, \quad a_{CP}^{ind} = (-0.010 \pm 0.162)\%$$

No evidence (yet) of CPV in D sector

Charm decays: selected results



D_s^+ leptonic decays

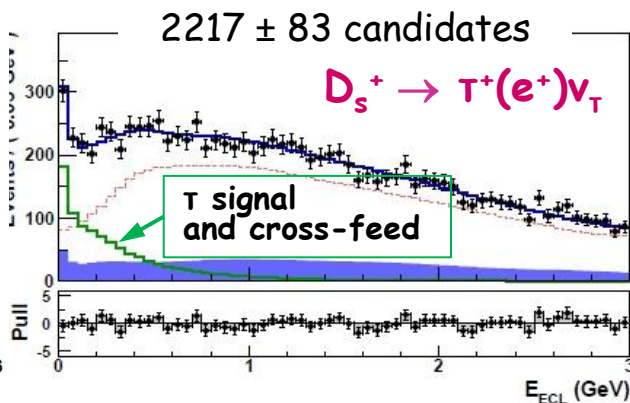
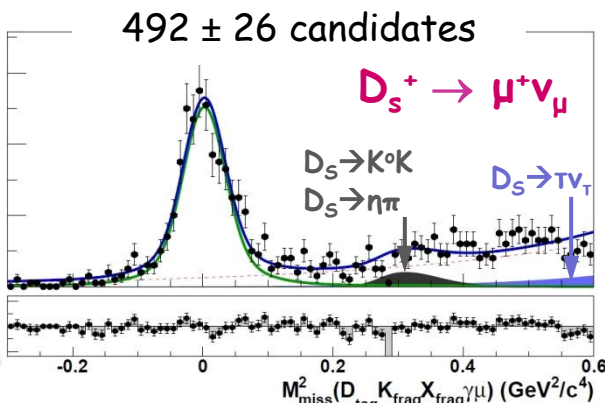
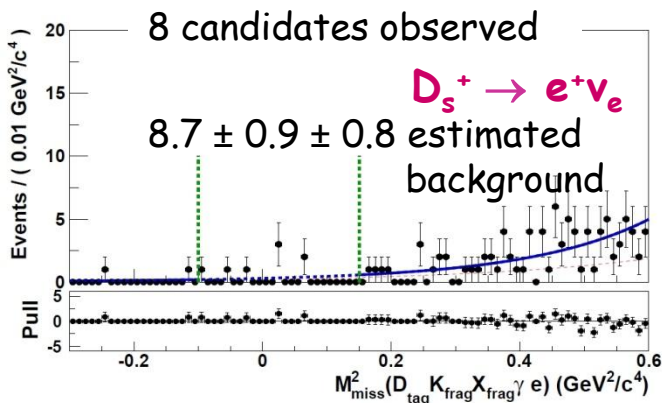


arXiv:1307.6240 (2013)

913 fb⁻¹

□ Inclusive counting of D_s^+

□ $e^+e^- \rightarrow c\bar{c} \rightarrow D_{\text{tag}} K_{\text{frag}} X_{\text{frag}} D_s^{*-}, D_s^{*-} \rightarrow D_s^- \gamma$



□ $BR(D_s^+ \rightarrow e^+ \nu_e) < 0.83 \times 10^{-4} @ 90\% CL$ (10^{-7} in SM)
 most stringent UL

□ $BR(D_s^+ \rightarrow \mu^+ \nu_e) = (5.31 \pm 0.28 \pm 0.20) 10^{-3}$
 $BR(D_s^+ \rightarrow \tau^+ \nu_e) = (5.70 \pm 0.21^{+0.31}_{-0.30}) 10^{-3}$

Lepton flavour universality:

$R_{\tau/\mu}^{D_s} = 10.73 \pm 0.69^{+0.56}_{-0.53}$ SM: $R_{\tau/\mu}^{D_s} = 9.762 \pm 0.031$

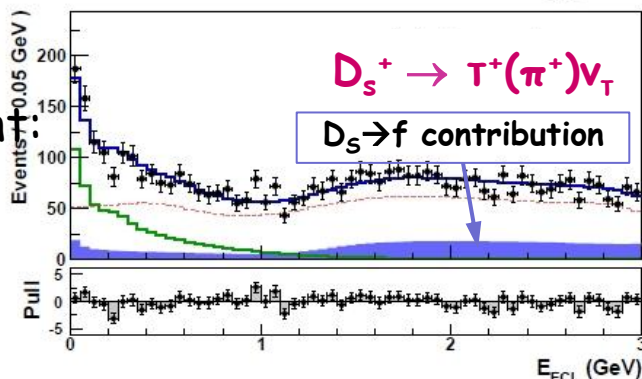
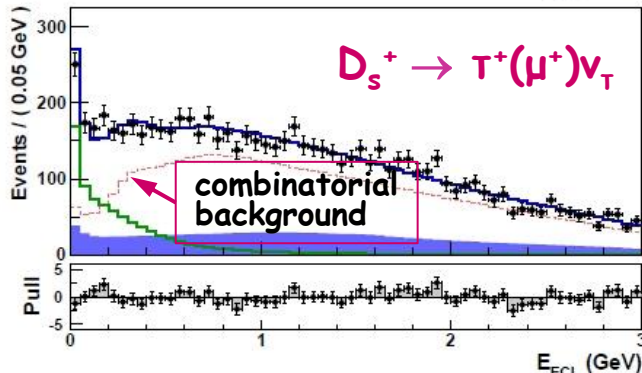
□ D_s^+ decay constant, single most precise measurement:

$f_{D_s^+} = (255.5 \pm 4.2_{\text{stat.}} \pm 4.8_{\text{syst.}} \pm 1.8_{D_s}) \text{ MeV}$

No more tension with unquenched LQCD :

$f_{D_s^+} = (248 \pm 2.5) \text{ MeV}$

PRD 82 (2010) 114504



Wrong sign $D^0 \rightarrow K^+\pi^-\pi^+\pi^-$ decay



arXiv:1307.5935 (2013)

791 fb⁻¹

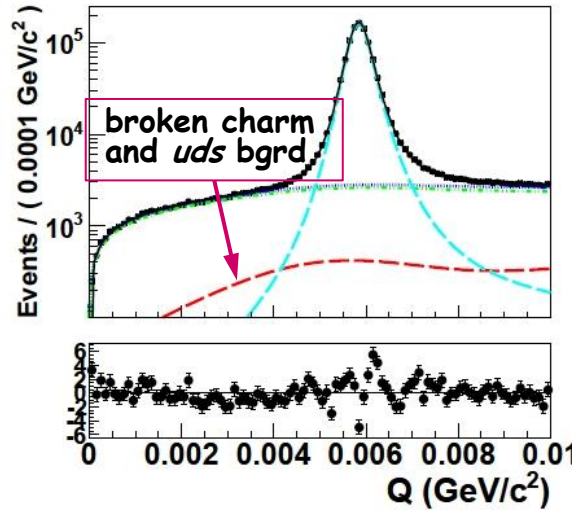
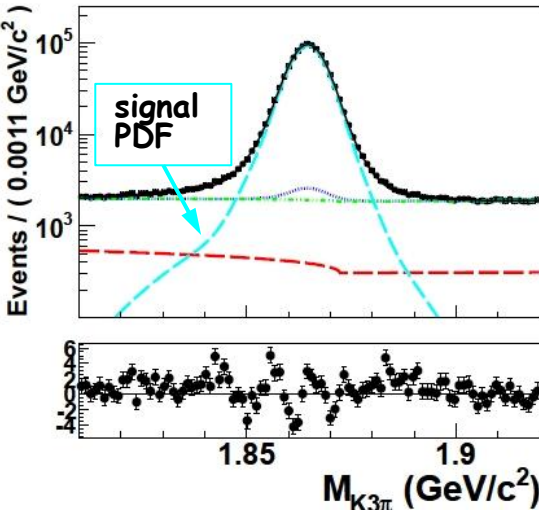
❑ Important for mixing studies

❑ $R_{ws} = \Gamma_{DCSD}/\Gamma_{CAD} = (x'^2 + y'^2)/2 + R_D + a \cdot y' \cdot \sqrt{R_D}$

Fit projections

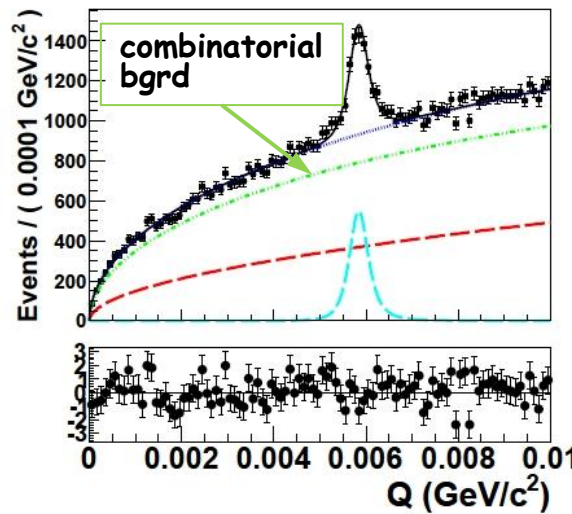
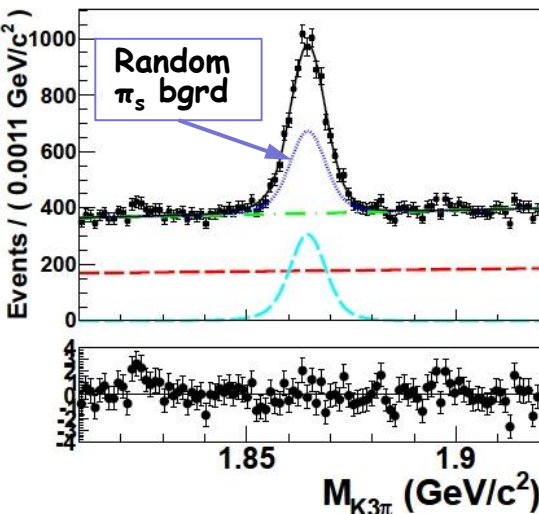
$D^0 \rightarrow K^-\pi^+\pi^-\pi^+$

$D^0 \rightarrow K^-\pi^+\pi^-\pi^+$



$D^0 \rightarrow K^+\pi^-\pi^+\pi^-$

$D^0 \rightarrow K^+\pi^-\pi^+\pi^-$



❑ Wrong-sign ratio, the most precise measurement to date:

$$R_{ws} = (0.324 \pm 0.008 \pm 0.007)\%$$

❑ By combining R_{ws} with (x,y) from HFAG and a and δ from CLEO PRD 80 (2009) 031105, DCSD to CAD ratio:

$$R_D = (0.327^{+0.019}_{-0.016})\%$$

❑ Using PDG value for CAD,

$$BR(D^0 \rightarrow K^+\pi^-\pi^+\pi^-) = (2.61 \pm 0.06^{+0.09}_{-0.08}) \times 10^{-4}$$

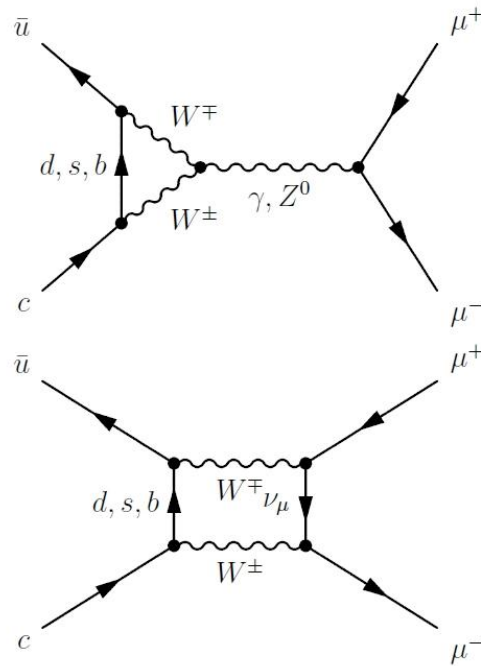
$$PDG: (2.61^{+0.21}_{-0.19}) \times 10^{-4}$$

Rare decay $D^0 \rightarrow \mu^+ \mu^-$

PLB 725 (2013) 16

2011 data, 0.9 fb^{-1}

□ SM prediction: $10^{-13} - 10^{-11}$



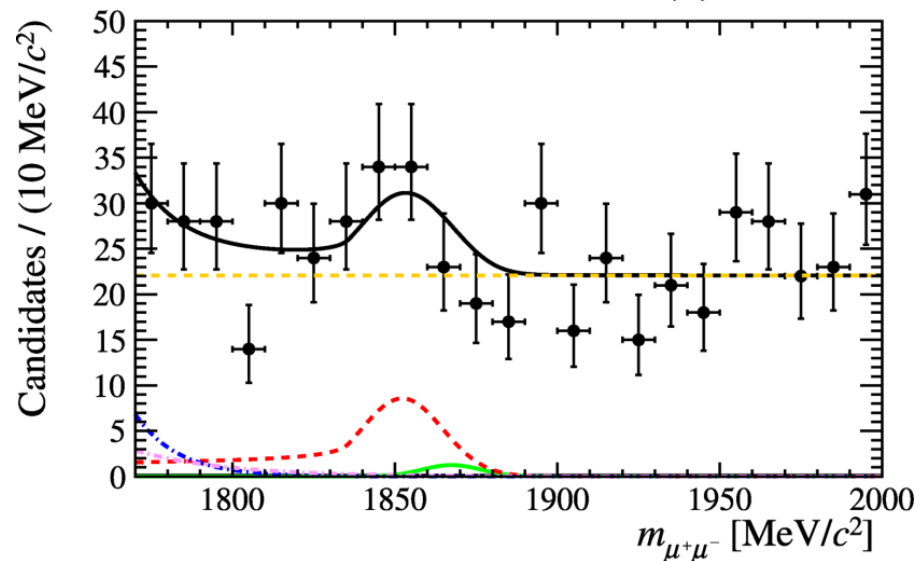
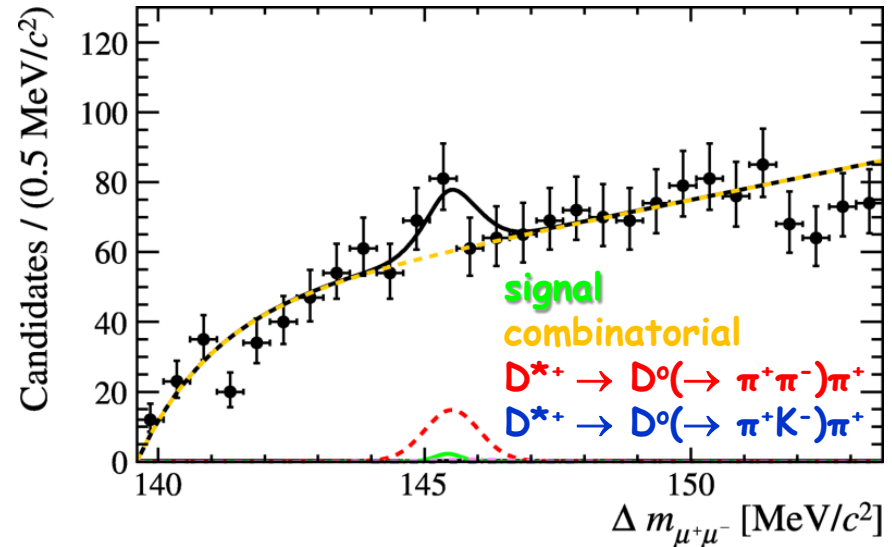
□ Use D^0 from $D^{*+} \rightarrow D^0 (\rightarrow \mu^+ \mu^-) \pi_S^+$

□ 2D fit

$m(\mu^+ \mu^-)$ vs. $\Delta m = m(\mu^+ \mu^- \pi_S^+) - m(\mu^+ \mu^-)$

□ Most stringent (20 times better than previous) UL :

$\text{BR}(D^0 \rightarrow \mu^+ \mu^-) < 7.6 \times 10^{-9} @ 95\% \text{ CL}$



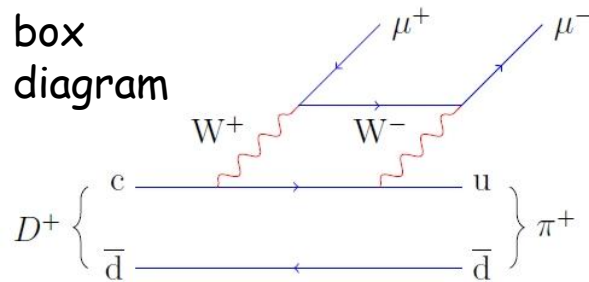
Rare decays $D_{(s)}^+ \rightarrow \pi^+ \mu^+ \mu^-$, $D_{(s)}^+ \rightarrow \pi^- \mu^+ \mu^-$



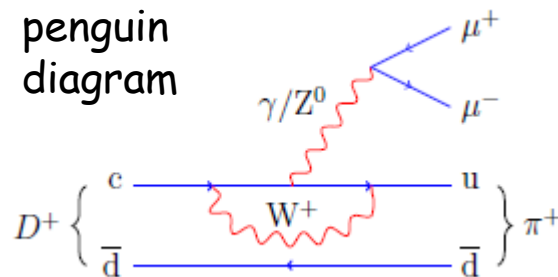
□ In SM, FCNC's BR could reach 10^{-9}

PLB 724 (2013) 203
2011 data, 1 fb^{-1}

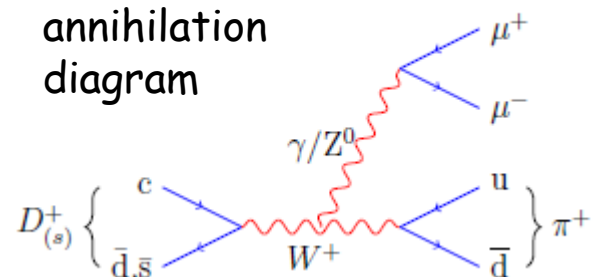
box
diagram



penguin
diagram



annihilation
diagram

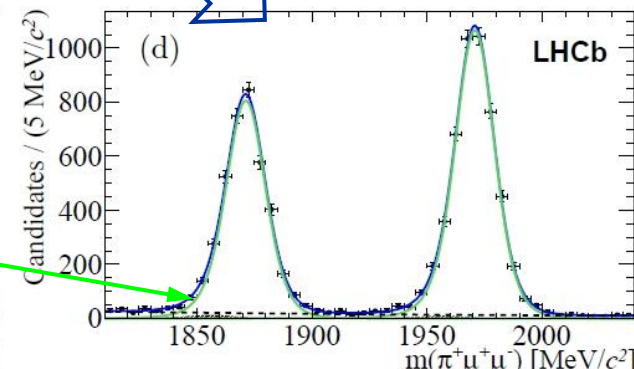
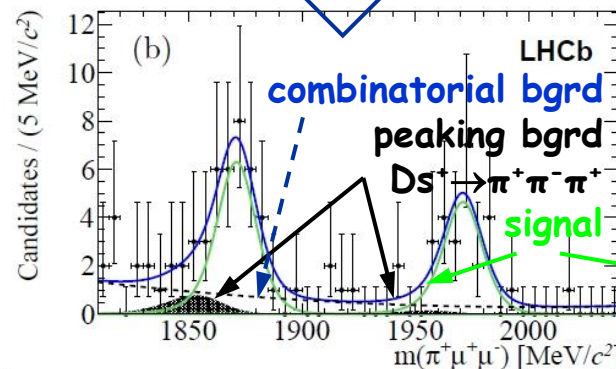
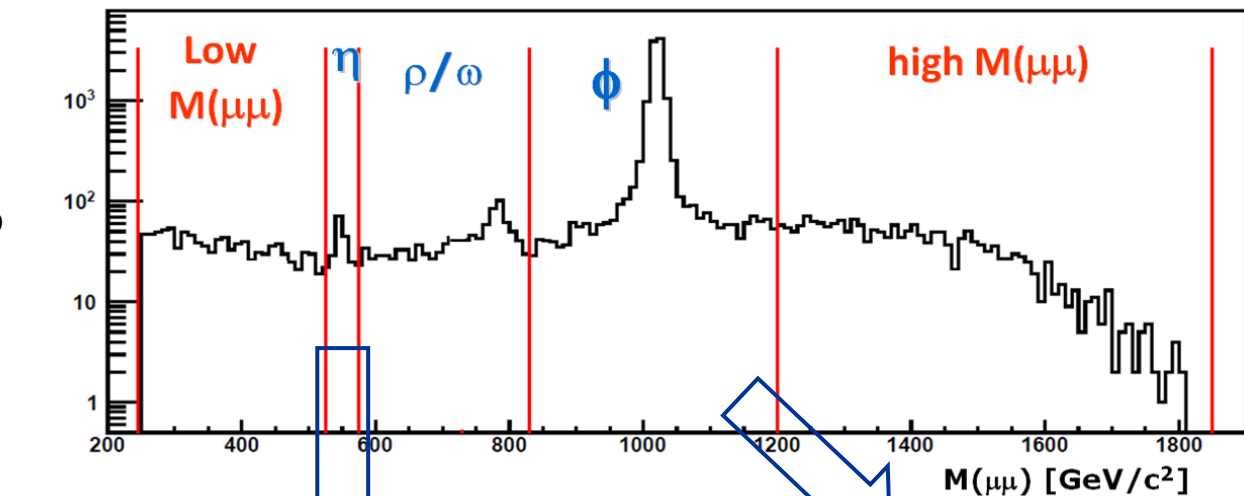


□ NP may increase BR
to $\sim 10^{-8}$

PRD 83 (2011) 114006

□ Probe NP in regions not
clouded by resonances

□ Check η , ρ/ω , ϕ regions
for positive signals, and
normalization



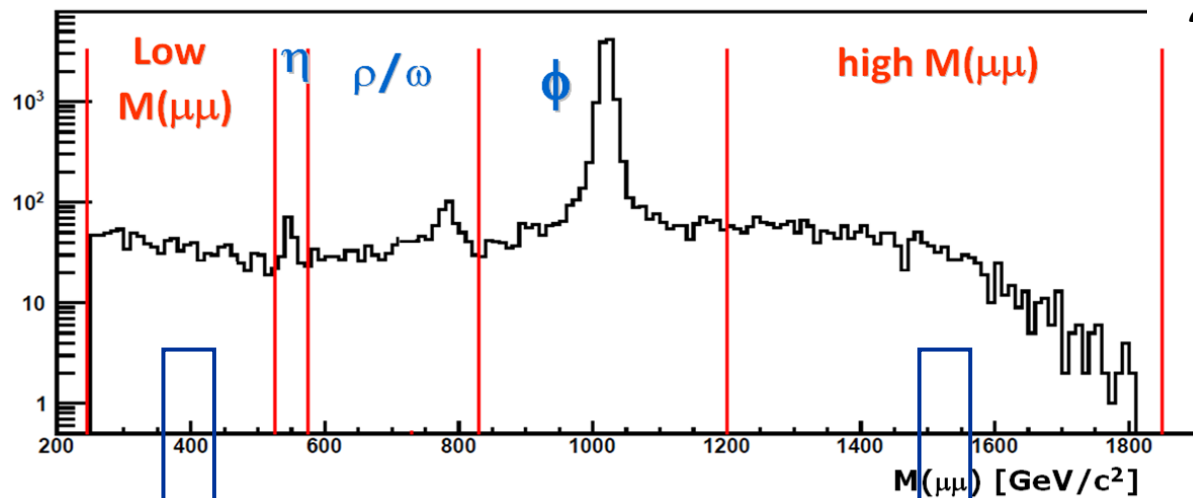
Rare decays $D_{(s)}^+ \rightarrow \pi^+ \mu^+ \mu^-$, $D_{(s)}^+ \rightarrow \pi^- \mu^+ \mu^-$



□ Probe NP in regions not clouded by resonances

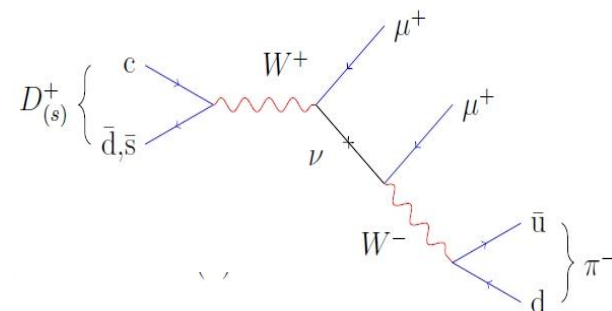
PLB 724 (2013) 203

2011 data, 1 fb^{-1}



□ Search for $D_{(s)}^+ \rightarrow \pi^- \mu^+ \mu^+$, same sign muons

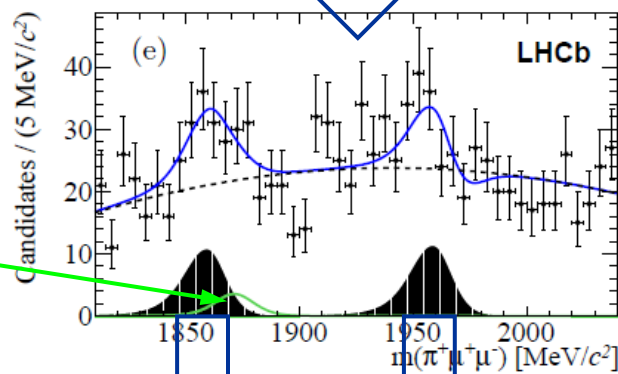
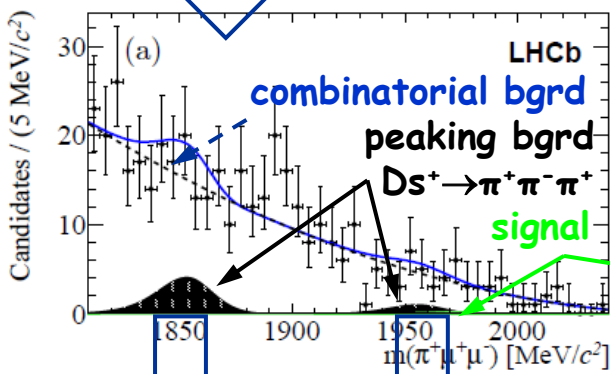
□ Lepton number violation via non-SM particle, e.g. Majorana neutrino



Upper limits, @ 90(95)% CL

$$\text{BR}(D^+ \rightarrow \pi^- \mu^+ \mu^+) < 2.2 \text{ (2.5)} \times 10^{-8}$$

$$\text{BR}(D_s^+ \rightarrow \pi^- \mu^+ \mu^+) < 12 \text{ (14)} \times 10^{-8}$$



Upper limits $\text{BR}(D_{(s)}^+ \rightarrow \pi^+ \mu^+ \mu^-)$, $\times 10^{-8}$ @ 90(95)% CL

2.0 (2.5) 6.9 (7.7)

2.6 (2.9) 16.0 (18.6)

Total: $\text{BR}(D^+ \rightarrow \pi^+ \mu^+ \mu^-) < 7.3 \text{ (8.3)} \times 10^{-8}$ @ 90(95)% CL
 $\text{BR}(D_s^+ \rightarrow \pi^+ \mu^+ \mu^-) < 41 \text{ (48)} \times 10^{-8}$ @ 90(95)% CL

□ New world best limits
 (x 50 compared to previous results)

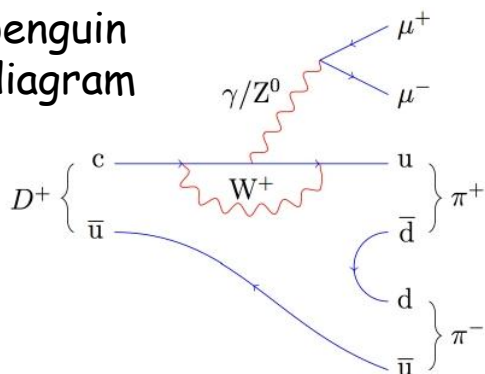
Rare decay $D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$

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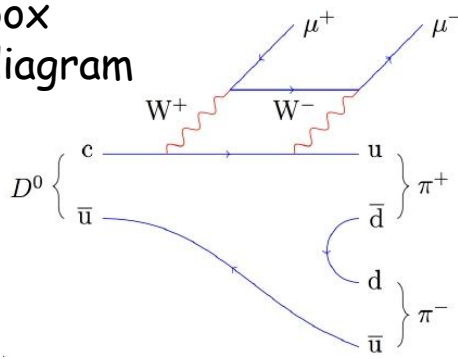
2011 data, 1 fb⁻¹

- In SM, FCNC's BR could reach 10⁻⁹

penguin
diagram



box
diagram



- Use D^0 from $D^{*+} \rightarrow D^0 (\rightarrow \pi^+ \pi^- \mu^+ \mu^-) \pi_S^+$

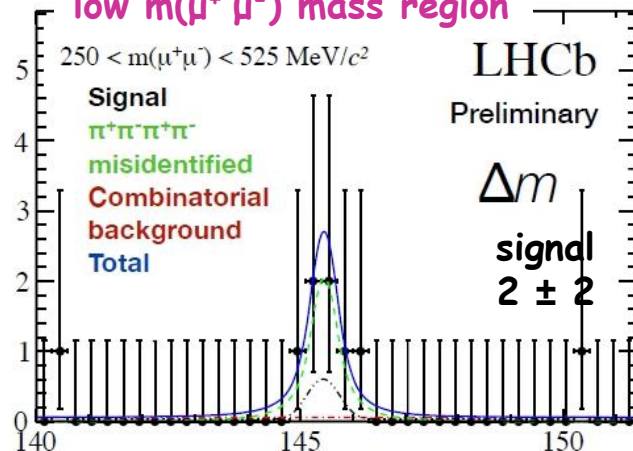
- 2D fit:

$m(\pi^+ \pi^- \mu^+ \mu^-)$ vs. $\Delta m = m(\pi^+ \pi^- \mu^+ \mu^- \pi_S^+) - m(\pi^+ \pi^- \mu^+ \mu^-)$
in each $m(\mu^+ \mu^-)$ bin

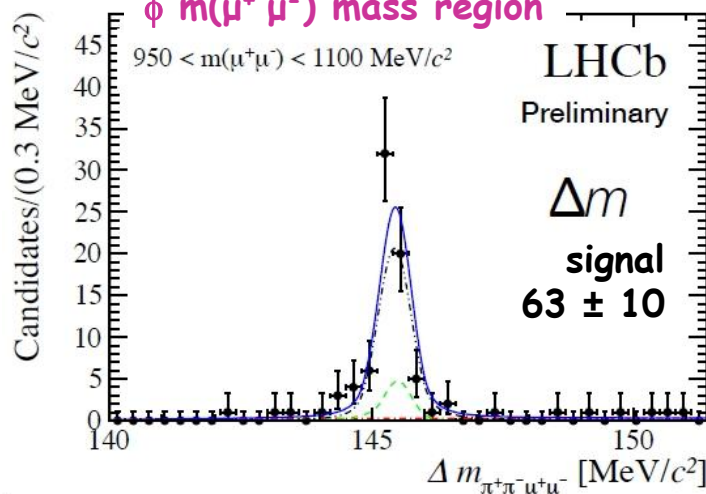
- $D^0 \rightarrow \pi^+ \pi^- (\mu^+ \mu^-)_\phi$
normalization
channel

Candidates/(0.3 MeV/c²)

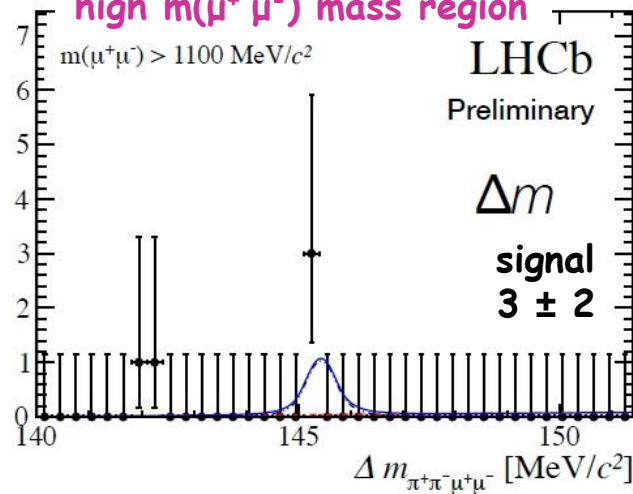
low $m(\mu^+ \mu^-)$ mass region



ϕ $m(\mu^+ \mu^-)$ mass region



high $m(\mu^+ \mu^-)$ mass region



- **$BR(D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-) < 7.4 \times 10^{-7} @ 90\% CL$** (x 70 improvement)

Summary

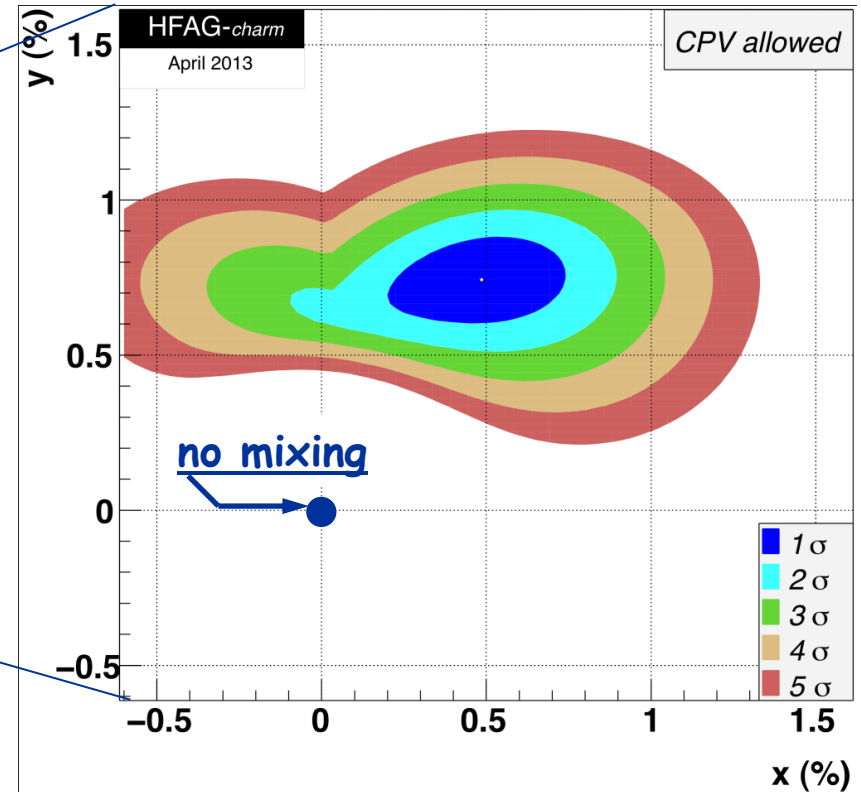
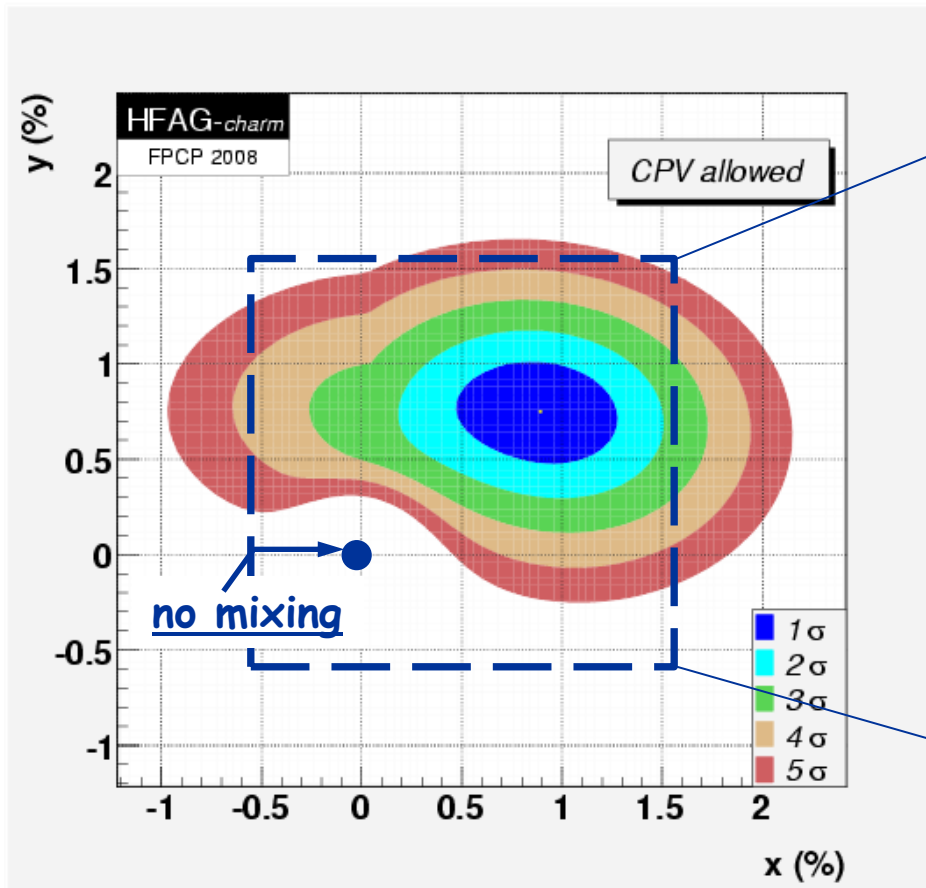
- ❑ Impressive progress over the last years, thanks to the efforts of many experiments
- ❑ Huge samples of high quality data from both e^+e^- and hadronic machines available
- ❑ Low background (even at hadron machines !) in many **charm rare decays** searches → continuously improving sensitivities
- ❑ **Charm mixing** is well established : $x \sim y$ ($\sim \text{few} \times 10^{-3}$) as suggested by Standard Model, now precise single measurements !
- ❑ Search for **CPV in charm sector** yields an important test of SM, large values would unambiguously point to the New Physics contribution
- ❑ Sensitivity of the CP tests in charm sector will soon approach SM tolerated values
- ❑ New delicious 2012 sample of 2 fb^{-1} from LHCb is being explored (statistics $\times 3$!)
- ❑ SuperBELLE and upgraded LHCb: new players to come



Backup

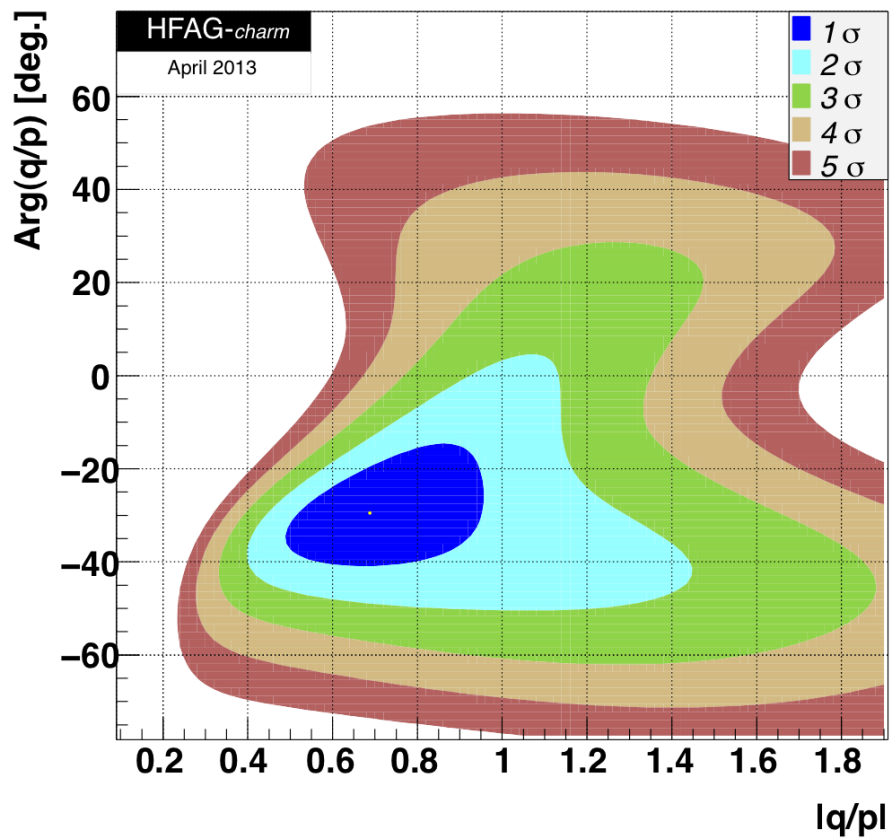
$D^0\bar{D}^0$ mixing : HFAG average over 5 years

<http://www.slac.stanford.edu/xorg/hfag/charm>



$D^0\bar{D}^0$ mixing : HFAG averages

<http://www.slac.stanford.edu/xorg/hfag/charm>



Updated LHCb result from 1.0 fb^{-1} :

$$\Delta A_{CP} = (-0.34 \pm 0.15(\text{stat}) \pm 0.10(\text{syst}))\%$$

Differences from previous result:

1) New reconstruction changed the selected signal events (600 fb^{-1}):

a) 15% of signal events no longer selected;

b) 17% ($K^- K^+$) and 34% ($\pi^- \pi^+$) new events selected:

$$\Delta A_{CP}: (-0.82 \pm 0.21)\% \rightarrow (-0.55 \pm 0.21)\%.$$

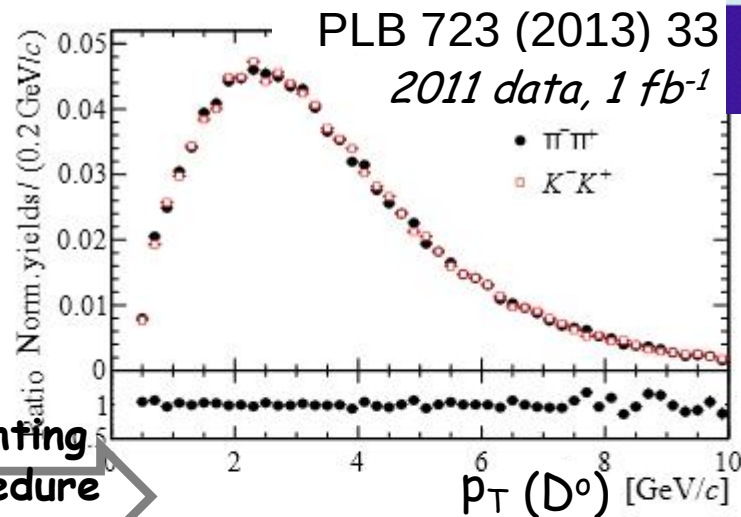
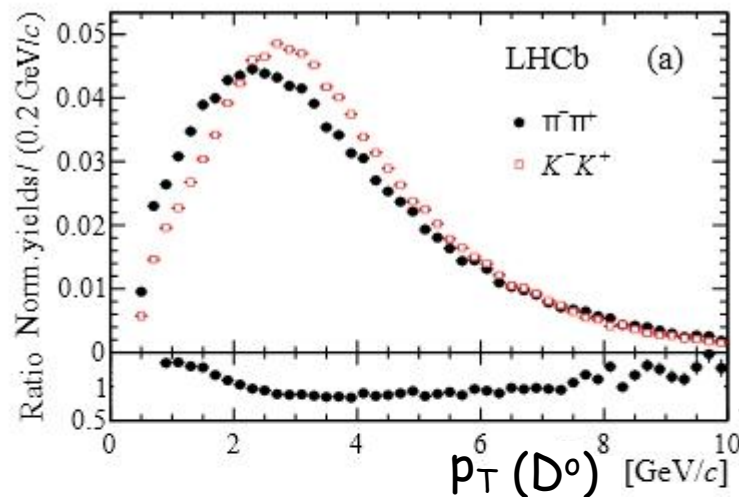
2) Including new data ($+ 400 \text{ fb}^{-1}$) : $\Delta A_{CP} = (-0.55 \pm 0.21) \rightarrow (-0.45 \pm 0.16)\%$.

3) Constraining the soft pion and the D^0 to originate from a primary vertex:

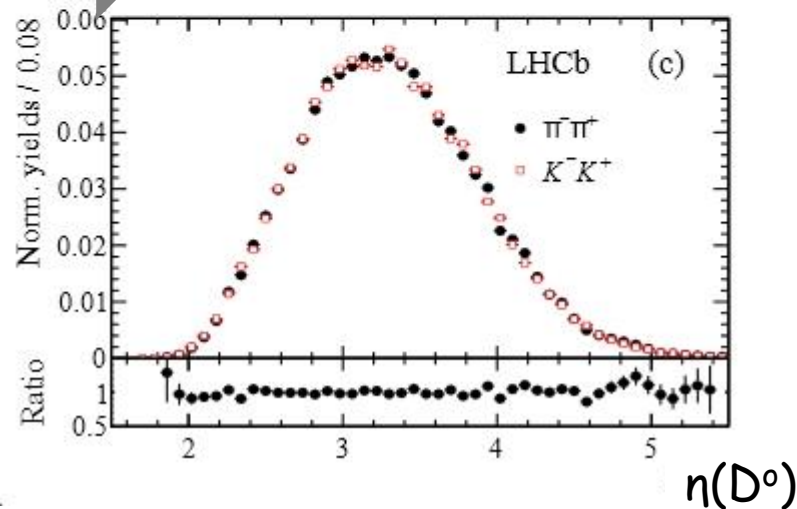
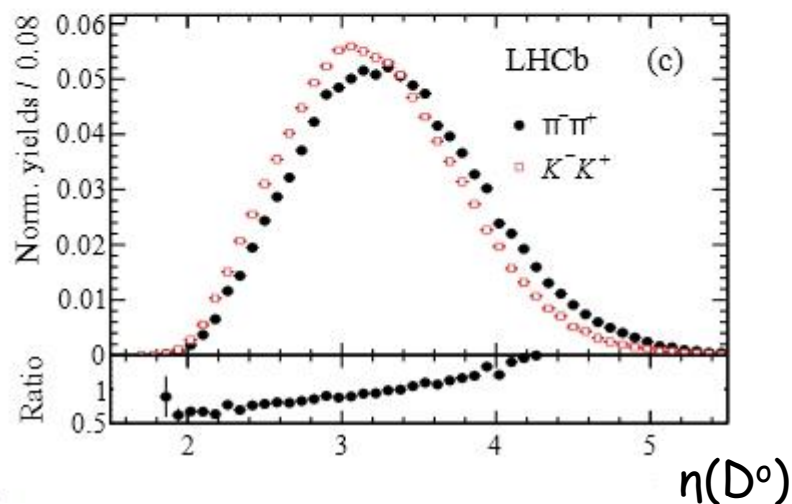
$$\Delta A_{CP}: (-0.45 \pm 0.16)\% \rightarrow (-0.34 \pm 0.15)\%.$$

2012 data set (2 fb^{-1}) currently being analysed.

ΔA_{CP} : tag D^0 via semileptonic b-decays



weighting
procedure



$b \rightarrow D^0 \mu X$

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$$\Delta A_{CP} = (+0.49 \pm 0.30 \pm 0.14)\%$$

Prompt D^*

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$$\Delta A_{CP} = (-0.34 \pm 0.15 \pm 0.10)\%$$

$$\Delta A_{CP} = (-0.15 \pm 0.16)\%$$

No evidence for CPV