Interactions of Neutrinos



Outline



- Brief Motivation for and History of Measuring Interactions
 - Key reactions and thresholds
- Weak interactions and neutrinos
 - Elastic and quasi-elastic processes, e.g., ve scattering
 - Complication of Targets with Structure
 - Deep inelastic scattering (vq) and UHE neutrinos
 - Quasielastic and nearly elastic scattering
- Special problems at accelerator energies
 - Nuclear Effects
 - Generators, theory and experimental data
- Conclusions

Focus of These Lectures

- This is not a comprehensive review of all the interesting physics associated with neutrino interactions
- Choice of topics will focus on:
 - Cross-sections useful for studying neutrino properties
 - Estimating cross-sections
 - Understanding the most important effects qualitatively or semi-quantitatively
 - Understanding how we use our knowledge of cross-sections in experiments

Weak Interactions

- Current-current interaction $\mathcal{H}_{w} = -$ Fermi, Z. Physik, 88, 161 (1934)
 - Paper famously rejected by Nature: *"it contains speculations too remote from reality to be of interest to the reader*"
- Prediction for neutrino interactions
 - If $n \to pe^-\overline{\nu}$, then $\overline{\nu} p \to e^+ n$
 - Better yet, it is robustly predicted by Fermi theory o Bethe and Peirels, Nature 133, 532 (1934)
 - For neutrinos of a few MeV from a reactor, a typical cross-section was found to be $\sigma_{\overline{v}n} \sim 5 \times 10^{-44} \, {\rm cm}^2$

This is wrong by a factor of two (parity violation)



E

How Weak is This?

- σ~5x10⁻⁴⁴cm² compared with
 - $\sigma_{\gamma p} \sim 10^{-25} \text{ cm}^2$ at similar energies, for example
- The cross-section of these few MeV neutrinos is such that the mean free path in steel would be 10 light-years

"I have done something very bad today by proposing a particle that cannot be detected; it is something no theorist should ever do."



Wolfgang Paulí

Extreme Measures to Overcome Weakness (Reines and Cowan, 1946)



Discovery of the Neutrino

Reines and Cowan (1955)

- Chose a constant source, nuclear reactor (Savannah River)
- 1956 message to Pauli: "We are happy to inform you [Pauli] that we have definitely detected neutrinos..."
 1995 Nobel Prize for Reines



$$\overline{\nu} p \rightarrow e^+ n$$







Better than the Nobel Prize?

Frederick REINES and dyle COVAN Box 1663, LOS ALAMOS, New Merico Thanks for menage. Everything comes to him who know how to wait.

Paul:

Thanks for the message. Everything comes to him who knows how to wait.

L. 15.6.18 / 15.212 als night latter

Another Neutrino Interaction Discovery

- Neutrinos only feel the weak force
 - a great way to study the weak force!
- Search for neutral current
 - arguably the most famous neutrino interaction ever observed is shown at right

$$\overline{\nu}_{\mu}e^{-} \rightarrow \overline{\nu}_{\mu}e^{-}$$



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Gargamelle, event from neutral weak force

An Illuminating Aside

- The "discovery signal" for the neutral current was really neutrino scattering from nuclei
 - usually quoted as a ratio of muon-less interactions to events containing muons $R^{\nu} = \frac{\sigma(\nu_{\mu}N \rightarrow \nu_{\mu}X)}{\sigma(\nu_{\mu}N \rightarrow \mu^{-}X)}$



- But this discovery was complicated for 12-18 months by a lack of understanding of neutrino interactions
 - backgrounds from neutrons induced by neutrino interactions outside the detector
 - not understanding fragmentation to high energy hadrons which then "punched through" to fake muons

Great article: P. Gallison, Rev Mod Phys 55, 477 (1983) Kevin McFarland: Interactions of Neutrinos 10



The Future: Interactions and Oscillation Experiments

- Oscillation experiments point us to a rich physics potential at L/E~400 km/GeV (and L/E~N·(400 km/GeV) as well)
 - mass hierarchy, CP violation
- But there are difficulties
 - transition probabilities as a function of energy must be precisely measured for mass hierarchy and CP violation
 - the neutrinos must be at difficult energies of 1-few GeV for electron appearance experiments, few-many GeV for atmospheric neutrino and τ appearance experiments.
 - or use neutrinos from a reactor ☺
- Our generation doesn't have neutrino flavor measurements in which distinguishing 1 from 0 or 1/3 buys a ticket to Stockholm
 - Difficulties are akin to neutral current experiments
 - Is there a message for us here?

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Kinematics of Neutrino Reactions

Thresholds and Processes

Target

- We detect neutrino interactions only in the final state, and often with poor knowledge of the incoming neutrinos
- Creation of that final state may require energy to be transferred from the neutrino Lepton

 In charged-current reactions, where the final state lepton is charged, this lepton has mass

 The recoil may be a higher mass object than the initial state, or it may be in an excited state

Recoil

Thresholds and Processes

Process	Considerations	Threshold (typical)
vN→vN (elastic)	Target nucleus is often free (recoil is very small)	none
v _e n→e⁻p	In some nuclei (mostly metastable ones), this reaction is exothermic if proton not ejected	None for free neutron some others.
ve→ve (elastic)	Most targets have atomic electrons	~ 10eV – 100 keV
anti-v _e p→e⁻n	m _n >m _p & m _e . Typically more to make recoil from stable nucleus.	1.8 MeV (free p). More for nuclei.
v _ℓ n→ℓ⁻p (quasielastic)	Final state nucleon is ejected from nucleus. Massive lepton	~ 10s MeV for v_e +~100 MeV for v_{\mu}
v _ℓ N→ℓ ⁻ X (inelastic)	Must create additional hadrons. Massive lepton.	~ 200 MeV for v_e +~100 MeV for v_μ

Energy of neutrinos determines available reactions, and therefore experimental technique

Calculating Neutrino Interactions from Electroweak Theory

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Weak Interactions Revisited

• Current-current interaction (Fermi 1934) $\mathcal{H} = G_F \mathcal{T}^{\mu} \mathcal{T}$

Modern version:

$$\mathcal{H}_{weak} = \frac{G_F}{\sqrt{2}} \left[\overline{l} \gamma_{\mu} \left(1 - \gamma_5 \right) v \right] \left[\overline{f} \gamma^{\mu} \left(V - A \gamma_5 \right) f \right] + h.c.$$

• $P_L = 1/2(1-\gamma_5)$ is a projection operator onto left-handed states for fermions and righthanded states for anti-fermions

Helicity and Chirality

- Helicity is projection of spin along the particles direction
 - Frame dependent (if massive)







- Neutrinos only interact weakly with a (V-A) interaction
 - All neutrinos are left-handed
 - All antineutrinos are righthanded
 - o because of production!
 - Weak interaction maximally violates parity

- However, *chirality* ("handedness") is Lorentzinvariant
 - Only same as helicity for massless particles.
 - If neutrinos have mass then left-handed neutrino is:
 - Mainly left-helicity
 - But also small right-helicity component ∝ m/E
 - Only left-handed charged-leptons (e⁻,μ⁻,τ⁻) interact weakly but mass brings in right-helicity:



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The operator: $\boldsymbol{\sigma} \cdot \mathbf{p}$

Two Weak Interactions

 W exchange gives Charged-Current (CC) events and Z exchange gives Neutral-Current (NC) events



Electroweak Theory

- Standard Model
 - SU(2) ⊗ U(1) gauge theory unifying weak/EM
 ⇒ weak NC follows from EM, Weak CC
 - Physical couplings related to mixing parameter for the interactions in the high energy theory



Electroweak Theory

- Standard Model
 - SU(2) \otimes U(1) gauge theory unifying weak/EM
 - \Rightarrow weak NC follows from EM, Weak CC
 - Measured physical parameters related to mixing parameter for the couplings.

g_L	g _R	$\alpha^2 \sqrt{2} M$
1/2	0	$e = g \sin \theta_W, G_F = \frac{g \sqrt{2}}{2M^2}, \frac{m_W}{M} = \cos \theta_W$
$-1/2 + \sin^2 \theta_W$	$sin^2 \theta_W$	$\delta M_W M_Z$
$1/2 - 2/3 \sin^2 \! \theta_W$	$-2/3 \sin^2 \theta_W$	μ^{-} Charged-Current μ^{ν}
$-1/2 + 1/3 \sin^2 \theta_W$	$1/3 \ sin^2 \theta_W$	
	$g_L = \frac{g_L}{1/2} - \frac{1}{2} + \sin^2 \theta_W = \frac{1}{2} - \frac{2}{3} \sin^2 \theta_W = -\frac{1}{2} + \frac{1}{3} \sin^2 \theta_W = \frac{1}{2} + \frac{1}{3} \sin^2 \theta_W = \frac{1}{3} + \frac{1}{3} + \frac{1}{3} \sin^2 \theta_W = \frac{1}{3} + \frac{1}{3}$	$\begin{array}{c c} g_L & g_R \\ \hline 1/2 & 0 \\ -1/2 + \sin^2 \theta_W & \sin^2 \theta_W \\ 1/2 - 2/3 \sin^2 \theta_W & -2/3 \sin^2 \theta_W \\ -1/2 + 1/3 \sin^2 \theta_W & 1/3 \sin^2 \theta_W \end{array}$

- Neutrinos are special in SM
 - Right-handed neutrino has NO interactions!



Why "Weak"?

 Weak interactions are weak because of the massive W and Z bosons exchange

$$\frac{d\sigma}{dq^2} \propto \frac{1}{\left(q^2 - M^2\right)^2}$$

q is 4-momentum carried by exchange particle M is mass of exchange particle

At HERA see W and Z propagator effects - Also weak ~ EM strength

• Explains dimensions of Fermi "constant"

$$G_F = \frac{\sqrt{2}}{8} \left(\frac{g_W}{M_W} \right)^2$$

= 1.166×10⁻⁵ / GeV² (g_W ≈ 0.7)



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Neutrino-Electron Scattering

Inverse μ–decay:

$$\nu_{\mu} + e^- \rightarrow \mu^- + \nu_e$$

 Total spin J=0

 (Assuming massless muon, helicity=chirality)



μ



Lecture Question #1 What is Q²_{max}?

 $Q^2 \equiv -\left(\underline{e} - \underline{v}_e\right)^2$ Work in the center-of-mass frame and assume, **for now**, that we can neglect the masses.

 $\nu_{\mu} + e^- \rightarrow \mu^- + \nu_e$

Ve

μ

Lecture Question #1 What is Q²_{max}?

Work in the center-of-mass that we can neglect the masses. $\underline{\underline{V}}_{e} \approx (E_{v}^{*}, -E_{v}^{*}\sin\theta^{*}, 0, -E_{v}^{*}\cos\theta^{*})$

 $Q^2 \equiv -\left(\underline{e} - \underline{v}_e\right)^2$

 $\nu_{\mu} + e^- \rightarrow \mu^- + \nu_e$



 $e \approx (E_{v}^{*}, 0, 0, -E_{v}^{*})$

μ

$$Q^{2} = -\left(\underline{e}^{2} + \underline{v}_{e}^{2} - 2\underline{e} \cdot \underline{v}_{e}\right)^{2}$$

$$\approx -\left[-2E_{v}^{*2}\left(1 - \cos\theta^{*}\right)\right]$$

$$0 < Q^{2} < \left(2E_{v}^{*}\right)^{2} \approx \left(\underline{e} + \underline{v}_{\mu}\right)^{2}$$

$$0 < Q^{2} < s$$

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24

- $\sigma_{TOT} \propto Q_{\max}^2 = s$ $\sigma_{TOT} = \frac{G_F^2 s}{\pi}$ = 17.2×10⁻⁴² cm² / GeV · E_v(GeV)
 - Why is it proportional to beam energy?

 $s = (\underline{p}_{\nu_{\mu}} + \underline{p}_{e})^{2} = m_{e}^{2} + 2m_{e}E_{\nu} \text{ (e}^{-} \text{ rest frame)}$

- Proportionality to energy is a generic feature of point-like scattering!
 - because $d\sigma/dQ^2$ is constant (at these energies)

Elastic scattering:

 $\nu_{\mu} + e^- \rightarrow \nu_{\mu} + e^-$

- Recall, EW theory has coupling to left or righthanded electron
- Total spin, J=0,1
- Electron-Z⁰ coupling
 - Left-handed: $-1/2 + \sin^2 \theta_W$



Z Couplings	g_L	g_R
ν_e , ν_μ , ν_τ	1/2	0
<i>e</i> ,μ,τ	$-1/2 + sin^2 \theta_W$	$sin^2\theta_w$
u, c, t	$1/2 - 2/3 \sin^2 \theta_W$	$-2/3 \sin^2 \theta_W$
d , s , b	$-1/2 + 1/3 \ sin^2 \theta_W$	$1/3 \sin^2 \theta_W$

$$\sigma \propto \frac{G_F^2 s}{\pi} \left(\frac{1}{4} - \sin^2 \theta_W + \sin^4 \theta_W \right)$$

Right-handed: sin²θ_W

 $\sigma \propto \frac{G_F^2 s}{\sin^4 \theta_W}$

• What are relative contributions of scattering from left and right-handed electrons?



e

νμ

e

 v_{μ}

Ζ

- Electron-Z⁰ coupling $\sigma \propto \frac{G_F^2 s}{\pi} \left(\frac{1}{4} \sin^2 \theta_W + \sin^4 \theta_W \right)$ • (LH, V-A): -1/2 + $\sin^2 \theta_W$
 - (RH, V+A): sin²θ_W

$$\sigma \propto \frac{G_F^2 s}{\pi} \left(\sin^4 \theta_W \right)$$

Let y denote inelasticity. Recoil energy is related to CM scattering angle by

$$y = \frac{E_e}{E} \approx 1 - \frac{1}{2}(1 - \cos\theta)$$

$$\int dy \frac{d\sigma}{dy} = \begin{cases} LH: & \int dy = 1\\ RH: \int (1-y)^2 dy = \frac{1}{3} \end{cases}$$

$$\sigma_{TOT} = \frac{G_F^2 s}{\pi} \left(\frac{1}{4} - \sin^2 \theta_W + \frac{4}{3} \sin^4 \theta_W \right) = 1.4 \times 10^{-42} \, cm^2 \, / \, GeV \cdot E_v(GeV)$$

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Lecture Question #2: Flavors and ve Scattering

The reaction

 $\nu_{\mu} + e^- \rightarrow \nu_{\mu} + e^-$ has a much smaller cross-section than

$$\nu_{e} + e^{-} \rightarrow \nu_{e} + e^{-}$$

Why?

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Lecture Question #2: Flavors and ve Scattering

The reaction

 $\nu_{\mu} + e^- \rightarrow \nu_{\mu} + e^$ has a much smaller cross-section than $\nu_e + e^- \rightarrow \nu_e + e^-$ Why?

 $\nu_e + e^- \rightarrow \nu_e + e^$ has a second contributing reaction, charged current



 v_{e}

е



 v_{e}

L

Lecture Question #2: Flavors and ve Scattering

Let's show that this increases the rate (Recall from the previous pages...

$$f_{TOT} = \int dy \frac{d\sigma}{dy}$$
$$= \int dy \left[\frac{d\sigma^{LH}}{dy} + \frac{d\sigma^{RH}}{dy} \right]$$
$$= \sigma_{TOT}^{LH} + \frac{1}{3}\sigma_{TOT}^{RH}$$

	total aqualing LH	4
$O_{TOT} \propto$	total coupling_	
101	e	

For electron	LH coupling	RH coupling
Weak NC	-1/2+ sin²θ _W	sin²θ _w
Weak CC	-1/2	0

We have to show the interference between CC and NC is constructive.

The total RH coupling is unchanged by addition of CC because there is no RH weak CC coupling

There are two LH couplings: NC coupling is $-1/2 + \sin^2\theta_W \approx -1/4$ and the CC coupling is -1/2. We add the associated amplitudes... and get $-1 + \sin^2\theta_W \approx -3/4$

 σ

Lepton Mass Effects

 Let's return to Inverse μ -decay:

 $\nu_{\mu} + e^- \rightarrow \mu^- + \nu_e$

- What changes in the presence of final state mass?
 - o pure CC so always left-handed
 - o BUT there must be finite Q² to create muon in final state!



o This can be generalized...



What about other targets?

- Imagine now a proton target

 Neutrino-proton elastic scattering:
 ν_e + p → ν_e + p

 "Inverse beta-decay" (IBD):

 ν_e + p → e⁺ + n

 and "stimulated" beta decay:

 ν_e + n → e⁻ + p

 Recall that IBD vas the Reines and
 - Cowan discovery signal



vanv

 v_{any}



Proton Structure

- How is a proton different from an electron?
 - anomalous magnetic moment, $\kappa \equiv \frac{g-2}{2} \neq 1$
 - "form factors" related to finite size



Determined proton RMS charge radius to be (0.7±0.2) x10⁻¹³ cm

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Final State Mass Effects

- In IBD, $\overline{v}_e + p \rightarrow e^+ + n$, have to pay a mass penalty *twice*
 - M_n-M_p≈1.3 MeV, M_e≈0.5 MeV
- What is the threshold?
 - kinematics are simple, at least to zeroth order in M_e/M_n
 → heavy nucleon kinetic energy is zero

 $s_{\text{initial}} = (\underline{p}_{v} + \underline{p}_{p})^{2} = M_{p}^{2} + 2M_{p}E_{v} \text{ (proton rest frame)}$ $s_{\text{final}} = (\underline{p}_{e} + \underline{p}_{n})^{2} \approx M_{n}^{2} + m_{e}^{2} + 2M_{n}\left(E_{v} - \left(M_{n} - M_{p}\right)\right)$ • Solving... $E_{v}^{\text{min}} \approx \frac{\left(M_{n} + m_{e}\right)^{2} - M_{p}^{-2}}{2M_{n}} \approx 1.806 \text{ MeV}$

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W

n

Final State Mass Effects (cont'd)

• Define δE as $E_{\nu} - E_{\nu}^{min}$, then $s_{\text{initial}} = M_p^2 + 2M_p \left(\delta E + E_v^{\text{min}}\right)$ $=M_{p}^{2}+2\delta E \times M_{p}+(M_{n}+m_{e})^{2}-M_{p}^{2}$ $= 2\delta E \times M_{p} + (M_{n} + m_{e})^{2}$ Remember the suppression generally goes as $\xi_{\text{mass}} = 1 - \frac{{m_{\text{final}}}^2}{s} = 1 - \frac{\left(M_n + m_e\right)^2}{\left(M_n + m_e\right)^2 + 2M_p \times \delta E}$ $=\frac{2M_{p}\times\delta E}{\left(M_{n}+m_{e}\right)^{2}+2M_{p}\times\delta E}\approx\begin{cases} \delta E\times\frac{2M_{p}}{\left(M_{n}+m_{e}\right)^{2}} & \text{low energy}\\ 1-\frac{\left(M_{n}+m_{e}\right)^{2}}{2M_{p}^{2}}\frac{M_{p}}{\delta E} & \text{high energy} \end{cases}$

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37

Lecture Question #3: Quantitative Lepton Mass Effect

Which is closest to the minimum beam energy in which the reaction

 $\nu_{\mu} + e^- \rightarrow \mu^- + \nu_e$

can be observed?



(a) 100 MeV (b) 1 GeV (c) 10 GeV

(It might help you to remember that $Q_{\min}^2 = m_{\mu}^2$ or you might just want to think about the total CM energy required to produce the particles in the final state.)

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Lecture Question #3: Quantitative Lepton Mass Effect

Which is closest to the minimum beam energy in which the reaction

 $\nu_{\mu} + e^- \rightarrow \mu^- + \nu_e$

 $2m_{
m c}$

can be observed?

$$Q_{\min}^{2} = m_{\mu}^{2}(a) \ 100 \ \text{MeV} \ (b) \ 1 \ \text{GeV} \ (c) \ 10 \ \text{GeV} Q^{2} < s = (\underline{p}_{e} + \underline{p}_{v})^{2} = (m_{e} + E_{v}, 0, 0, \sqrt{E_{v}^{2} - m_{v}^{2}})^{2} \approx m_{e}^{2} + 2m_{e}E_{v} \therefore E_{u} > \frac{m_{\mu}^{2}}{m_{\mu}^{2}} \approx 10.9 \ \text{GeV}$$

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Summary... and Next Topic

- We know ve⁻ scattering and IBD cross-sections!
- In point-like weak interactions, key features are:
 - dσ/dQ² is ≈ constant.
 - o Integrating gives $\sigma \propto E_v$
 - LH coupling enters w/ dσ/dy∝1, RH w/ dσ/dy∝(1-y)² o Integrating these gives 1 and 1/3, respectively
 - Lepton mass effect gives minimum Q²
 o Integrating gives correction factor in σ of (1-Q²_{min}/s)
 - Structure of target can add form factors
- Deep Inelastic Scattering is also a point-like limit where interaction is v-quark scattering

Neutrino-Nucleon Deep Inelastic Scattering

Neutrino-Nucleon Scattering

- Charged Current: W[±] exchange
 - Quasi-elastic Scattering: (Target changes but no break up) v_u + n → µ⁻ + p
 - Nuclear Resonance Production: (Target goes to excited state) $\nu_{\mu} + n \rightarrow \mu^{-} + p + \pi^{0}$ (N^{*} or Δ) $n + \pi^{+}$
 - Deep-Inelastic Scattering: (Nucleon broken up)
 - v_{μ} + quark $\rightarrow \mu^{-}$ + quark'

- Neutral Current: Z⁰ exchange
 - Elastic Scattering: (Target unchanged) $v_{\mu} + N \rightarrow v_{\mu} + N$
 - Nuclear Resonance Production: (Target goes to excited state) $\nu_{\mu} + N \rightarrow \nu_{\mu} + N + \pi$ (N^{*} or Δ)
 - Deep-Inelastic Scattering (Nucleon broken up) v_u + quark → v_u + quark



Scattering Variables

V CATTERING

Scattering variables given in terms of invariants

•More general than just deep inelastic (neutrino-quark) scattering, although interpretation may change.



Measured quantities: E_h , E', θ

4-momentum Transfer²:
$$Q^2 = -q^2 = -\left(p'-p\right)^2 \approx \left(4EE'\sin^2(\theta/2)\right)_{Lab}$$

Energy Transfer: $v = (q \cdot P)/M_T = \left(E - E'\right)_{Lab} = \left(E_h - M_T\right)_{Lab}$
Inelasticity: $y = (q \cdot P)/(p \cdot P) = (E_h - M_T)/(E_h + E')_{Lab}$
Fractional Momentum of Struck Quark: $x = -q^2/2(p \cdot q) = Q^2/2M_T v$
Recoil Mass²: $W^2 = (q + P)^2 = M_T^2 + 2M_T v - Q^2$
CM Energy²: $s = (p + P)^2 = M_T^2 + \frac{Q^2}{xy}$

Parton Interpretation of High Energy Limit

Mass of target quark $m_a^2 = x^2 P^2 = x^2 M_T^2$



Neutrino scatters off a parton inside the nucleon

Mass of final state quark

$$m_{q'}^2 = (xP+q)^2$$

In "infinite momentum frame", xP is momentum of partons inside the nucleon

$$x = \frac{Q^2}{2P \cdot q} = \frac{Q^2}{2M_T \nu}$$

So why is cross-section so large?

- (at least compared to ve⁻ scattering!)
- Recall that for neutrino beam and target at rest

$$\sigma_{TOT} \approx \frac{G_F^2}{\pi} \int_{0}^{Q_{\text{max}}^2 \equiv s} dQ^2 = \frac{G_F^2 s}{\pi}$$
$$s = m_e^2 + 2m_e E_v$$

- But we just learned for DIS that effective mass of each target quark is $m_q = xm_{nucleon}$
- So much larger target mass means larger σ_{TOT}

Chirality, Charge in CC v-q Scattering

- Total spin determines
 inelasticity distribution
 - Familiar from neutrinoelectron scattering

point-like scattering implies linear with energy

$$\frac{d\sigma^{vp}}{dxdy} = \frac{G_F^2 s}{\pi} \left(\frac{*}{xd(x) + xu(x)(1-y)^2} \right)$$
$$\frac{d\sigma^{\overline{vp}}}{dxdy} = \frac{G_F^2 s}{\pi} \left(\frac{*}{xd(x) + xu(x)(1-y)^2} \right)$$
$$\frac{but}{xdy} = \frac{but}{xd(x) + but} \frac{but}{xd(x)$$



 $1/4(1+\cos\theta^*)^2 = (1-y)^2$ $\int (1-y)^2 dy = 1/3$

 Neutrino/Anti-neutrino CC each produce particular ∆q in scattering

 $\frac{vd \to \mu^- u}{vu \to \mu^+ d}$

Factorization and Partons

Factorization Theorem of QCD allows cross-sections for hadronic processes to be written as:

$$\sigma(l+h \to l+X) = \sum_{q} \int dx \sigma(l+q(x) \to l+X) q_h(x) \xrightarrow{p} (1-x)^p$$

- $q_h(x)$ is the probability of finding a parton, q, with momentum fraction x inside the hadron, h. It is called a parton distribution function (PDF).
- PDFs are universal
- PDFs are not (yet) calculable from first principles in QCD
- "Scaling": parton distributions are largely independent of Q² scale, and depend on fractional momentum, x.

Brief Summary of Neutrino-Quark Scattering so Far

- X≡Q²/2M_Tv is the fraction of the nucleon 4-momentum carried by a quark in the infinite momentum frame
 - Effective mass for struck quark, $M_q = \sqrt{(x\underline{P})^2} = xM_T$
 - Parton distribution functions, q(x), incorporate information about the "flux" of quarks inside the hadron
- Quark and anti-quark scattering from neutrinos or antineutrinos defines total spin
 - vq and \overline{vq} are spin 0, isotropic
 - $v\overline{q}$ and $v\overline{q}$ are spin 1, backscattering is suppressed
- Neutrinos and anti-neutrinos pick out definite quark and anti-quark flavors (charge conservation)

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Momentum of Quarks & Antiquarks



 Momentum carried by quarks much greater than anti-quarks in nucleon

y distribution in Neutrino CC DIS



 $\frac{d\sigma(vq)}{d\sigma(\overline{vq})}$ $\infty 1$ dxdvdxdy $\frac{d\sigma(v\overline{q})}{dxdy} = \frac{d\sigma(\overline{v}q)}{dxdy} \propto \left(1 - y\right)^2$ At y=1: Neutrinos see only quarks. Anti-neutrinos see only antiquarks Averaged over protons and neutrons,

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Structure Functions (SFs)

- A model-independent picture of these interactions can also be formed in terms of nucleon "structure functions"
 - All Lorentz-invariant terms included
 - Approximate zero lepton mass (small correction)

$$\frac{d\sigma^{v,v}}{dxdy} \propto \left[y^2 2xF_1(x,Q^2) + \left(2 - 2y - \frac{M_T xy}{E}\right) F_2(x,Q^2) \pm y(2 - y)xF_3(x,Q^2) \right]$$

- For massless free spin-1/2 partons, one simplification...
 - Callan-Gross relationship, 2xF₁=F₂
 - Implies intermediate bosons are completely transverse

Can parameterize longitudinal cross-section by R_L . Callan-Gross violations result from M_T , NLO pQCD, $g \rightarrow qq$

 $R_L = \frac{\sigma_L}{\sigma_T} = \frac{F_2}{2xE} \left(1 + \frac{4M_T^2 x^2}{O^2} \right)$

SFs to PDFs

- Can relate SFs to PDFs in naïve quark-parton model by matching y dependence
 - Assuming Callan-Gross, massless targets and partons...

•
$$F_3: 2y-y^2=(1-y)^2-1$$
, $2xF_1=F_2: 2-2y+y^2=(1-y)^2+1$
 $2xF_1^{vp,CC} = x\left[d_p(x) + \overline{u_p}(x) + s_p(x) + \overline{c_p}(x)\right]$
 $xF_3^{vp,CC} = x\left[d_p(x) - \overline{u_p}(x) + s_p(x) - \overline{c_p}(x)\right]$

- In analogy with neutrino-electron scattering, CC only involves left-handed quarks
- However, NC involves both chiralities (V-A and V+A)
 - Also couplings from EW Unification
 - And no selection by quark charge

$$2xF_{1}^{\nu p,NC} = x \left[(u_{L}^{2} + u_{R}^{2}) \left(u_{p}(x) + \overline{u_{p}(x)} + c_{p}(x) + \overline{c_{p}(x)} \right) + (d_{L}^{2} + d_{R}^{2}) \left(d_{p}(x) + \overline{d_{p}(x)} + s_{p}(x) + \overline{s_{p}(x)} \right) \right]$$

$$xF_{3}^{\nu p,NC} = x \left[(u_{L}^{2} - u_{R}^{2}) \left(u_{p}(x) - \overline{u_{p}(x)} + c_{p}(x) - \overline{c_{p}(x)} \right) + (d_{L}^{2} - d_{R}^{2}) \left(d_{p}(x) - \overline{d_{p}(x)} + s_{p}(x) - \overline{s_{p}(x)} \right) \right]$$

Isoscalar Targets

- Heavy nuclei are roughly neutron-proton isoscalar
- Isospin symmetry implies $u_p = d_n, d_p = u_n$
- Structure Functions have a particularly simple interpretation in quark-parton model for this case...

$$\frac{d^{2}\sigma^{\nu(\nu)N}}{dxdy} = \frac{G_{F}^{2}s}{2\pi} \left\{ \left(1 + (1-y)^{2} \right) F_{2}(x) \pm \left(1 - (1-y)^{2} \right) x F_{3}^{\nu(\bar{\nu})}(x) \right\}$$

$$2xF_{1}^{\nu(\bar{\nu})N,CC}(x) = x(u(x) + d(x) + \bar{u}(x) + \bar{d}(x) + s(x) + \bar{s}(x) + c(x) + \bar{c}(x) = xq(x) + x\bar{q}(x)$$

$$xF_{3}^{\nu(\bar{\nu})N,CC}(x) = \frac{xu_{Val}(x) + xd_{Val}(x) \pm 2x(s(x) - c(x))}{where u_{Val}(x) = u(x) - \bar{u}(x)}$$

Lecture Question #4: Neutrino and Anti-Neutrino σ^{vN}

• Given that $\sigma_{CC}^{\overline{v}N} \approx \frac{1}{2} \sigma_{CC}^{vN}$ in the DIS regime (CC) and that $\frac{d\sigma(vq)}{dx} = \frac{d\sigma(\overline{vq})}{dx} = 3\frac{d\sigma(v\overline{q})}{dx} = 3\frac{d\sigma(\overline{vq})}{dx}$ for CC scattering from quarks or anti-quarks of a given momentum,

and that cross-section is proportional to parton momentum, what is the approximate ratio of antiquark to quark momentum in the nucleon?

(a) $\bar{q}/q \sim 1/3$ (b) $\bar{q}/q \sim 1/5$ (c) $\bar{q}/q \sim 1/8$

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Lecture Question #4: Neutrino and Anti-Neutrino σ^{vN}

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Lecture Question #4: Neutrino and Anti-Neutrino σ^{vN}

• Given: $\sigma_{CC}^{\bar{\nu}N} \approx \frac{1}{2} \sigma_{CC}^{\nu N}$ in the DIS regime (CC) and $\frac{d\sigma(vq)}{dx} = \frac{d\sigma(\overline{vq})}{dx} = 3\frac{d\sigma(\overline{vq})}{dx} = 3\frac{d\sigma(\overline{vq})}{dx}$ $\sigma_{v} = \int_{\overline{a}} dx \left(\frac{d\sigma(vq)}{dx} + \frac{d\sigma(v\overline{q})}{dx} \right)$ $\sigma_{\overline{v}} = \int_{-}^{-} dx \left(\frac{d\sigma(\overline{v}q)}{dx} + \frac{d\sigma(\overline{v}\overline{q})}{dx} \right) = \int_{-}^{-} dx \left(\frac{d\sigma(vq)}{3dx} + \frac{3d\sigma(v\overline{q})}{dx} \right)$ $\therefore \int_{\overline{a}} dx \left(\frac{d\sigma(vq)}{dx} + \frac{d\sigma(v\overline{q})}{dx} \right) = 2 \int_{\overline{a}} dx \left(\frac{d\sigma(vq)}{3dx} + \frac{3d\sigma(v\overline{q})}{dx} \right)$ $\frac{1}{3}\int dx \frac{d\sigma(vq)}{dx} = 5\int dx \frac{d\sigma(vq)}{dx} = \frac{5}{3}\int dx \frac{d\sigma(vq)}{dx}$

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Momentum of Quarks & Antiquarks



From SFs to PDFs

- As you all know, there is a large industry in determining Parton Distributions for hadron collider simulations.
 - to the point where some of my colleagues on collider experiments might think of parton distributions as an annoying piece of FORTRAN code in their software package
- The purpose, of course, is to use factorization to predict cross-sections for various processes
 - combining deep inelastic scattering data from various sources together allows us to "measure" parton distributions
 - which then are applied to predict hadron-hadron processes at colliders, and can also be used in predictions for neutrino scattering, as we shall see.

From SFs to PDFs (cont'd)

We just learned that...

$$2xF_{1}^{\nu(\nu)N,CC}(x) = xq(x) + xq(x)$$

$$xF_{3}^{\nu(\nu)N,CC}(x) = xu_{Val}(x) + xd_{Val}(x) \pm 2x(s(x) - c(x))$$

where $u_{Val}(x) = u(x) - u(x)$

In charged-lepton DIS

$$2xF_1^{\gamma p}(x) = \left(\frac{2}{3}\right)^2 \sum_{\substack{\text{up type quarks} \\ + \left(\frac{1}{3}\right)^2 \\ \text{down type quarks}}} q(x) + \overline{q}(x)$$

- So you begin to see how one can combine neutrino and charged lepton DIS and separate
 - the quark sea from valence quarks
 - up quarks from down quarks

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DIS: Massive Quarks and Leptons

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Opera at CNGS



Lepton Mass Effects in DIS

Recall that final state mass effects enter as corrections:



- relevant center-of-mass energy is that of the "point-like" neutrinoparton system
- this is high energy approximation
- For ν_τ charged-current, there is a threshold of

$$s_{\min} = (m_{\text{nucleon}} + m_{\tau})^2$$

where

$$s_{initial} = m_{nucleon}^{2} + 2E_{v}m_{nucleon}$$
$$\therefore E_{v} > \frac{m_{\tau}^{2} + 2m_{\tau}m_{nucleon}}{2m_{nucleon}} \approx 3.5 \text{ GeV}$$

" m_{nucleon} " is M_T elsewhere, but don't want to confuse with m_{τ} ..



(Kretzer and Reno)

This is threshold for partons with *entire* nucleon momentum
effects big at higher E_v also

Lecture Question #5: What if Taus were Lighter?

- Imagine we lived in a universe where the tau mass was not 1.777 GeV, but was 0.888 GeV
- By how much would the tau appearance cross-section for an 8 GeV tau neutrino increase at OPERA?



Lecture Question #5: What if Taus were Lighter?

- Imagine we lived in a universe where the tau mass was not 1.777 GeV, but was 0.888 GeV
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Lecture Question #5: What if Taus were Lighter?

 By how much would the tau appearance cross-section for an 8 GeV tau neutrino increase at OPERA?



Opera at CNGS

Goal: ν_τ appearance

- 0.15 MWatt source
- high energy v_{μ} beam
- 732 km baseline
- handfuls of events/yr





what else is copiously produced in neutrino interactions with $c\tau \sim 100 \mu m$ and decays to hadrons?

Heavy Quark Production

 Production of heavy quarks modifies kinematics of our earlier definition of x.

 $(a + \xi_{2})^{2} = a^{2}$

Charm is heavier than proton; hints that its mass is not a negligible effect...



$$(q + \zeta p) = p^{2} - m_{c}^{2}$$

$$+ 2\zeta p \bullet q + \zeta^{2} M^{2} = m_{c}^{2}$$
Therefore $\zeta \cong \frac{-q^{2} + m_{c}^{2}}{2p \bullet q}$

$$\int of \ fractional \ momentum$$

$$\zeta \cong \frac{Q^{2} + m_{c}^{2}}{2M\upsilon} = \frac{Q^{2} + m_{c}^{2}}{Q^{2}/x}$$

$$\zeta \cong x \left(1 + \frac{m_{c}^{2}}{Q^{2}}\right)$$
"slow rescaling" leads to kinematic suppression of

"slow rescaling" leads to kinematic suppression of charm production

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 q^2

Neutrino Dilepton Events

- Neutrino induced charm production has been extensively studied
 - Emulsion/Bubble Chambers (low statistics, 10s of events).
 Reconstruct the charm final state, but limited by target mass.
 - "Dimuon events" (high statistics, 1000s of events)



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Deep Inelastic Scattering: Conclusions and Summary

- Neutrino-quark scattering is elastic scattering!
 - complicated by fact that quarks live in nucleons
- Important lepton and quark mass effects for tau neutrino appearance experiments
- Neutrino DIS important for determining parton distributions
 - particularly valence and strange quarks

Ultra-High Energy Cross-Sections

Ultra-High Energies

- At energies relevant for UHE Cosmic Ray studies (e.g., IceCube, Antares, ANITA)
 - ν-parton cross-section is dominated by high Q², since dσ/dQ² is constant
 - o at high Q², gluon radiation and splitting // lead to more sea quarks at fewer high
 - x partons (see supplemental material: scaling violations) o see a rise in σ/E_{ν} from growth of sea at low x o neutrino & anti-neutrino cross-sections nearly equal
 - Until Q²»M_W², then propagator $\frac{d\sigma}{dq^2} \propto \frac{1}{(q^2 M^2)^2}$ term starts decreasing and cross-section stops growing linearly with energy

Lecture Question #6: Where does σ Level Off?

 Until Q²»M_W², then propagator term starts decreasing and cross-section becomes constant

$$\frac{d\sigma}{dq^2} \propto \frac{1}{(q^2 - M^2)^2}$$

• To within a few orders of magnitude, at what beam energy for a target at rest will this happen?

(a) $E_{\nu} \sim 10$ TeV (b) $E_{\nu} \sim 10,000$ TeV (c) $E_{\nu} \sim 10,000,000$ TeV
Lecture Question #6: Where does σ Level Off?

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• To within a few orders of magnitude, at what beam energy for a target at rest will this happen?

(a) $E_{\nu} \sim 10 \text{TeV}$ (b) $E_{\nu} \sim 10,000 \text{TeV}$ (c) $E_{\nu} \sim 10,000,000 \text{TeV}$

Lecture Question #6: Where does σ Level Off?

 Until Q²»M_W², then propagator term starts decreasing and cross-section becomes constant

$$\frac{d\sigma}{dq^2} \propto \frac{1}{(q^2 - M^2)^2}$$

At what beam energy for a target at rest will this happen?

$$Q^{2} < s_{\text{nucleon}} = m_{\text{nucleon}}^{2} + 2E_{v}m_{\text{nucleon}}$$

$$Q^{2} < s_{\text{nucleon}} \approx 2E_{v}m_{\text{nucleon}}$$

$$\frac{M_{W}^{2}}{2m_{\text{nucleon}}} < E_{v}$$

$$\therefore E_{v} \ge \frac{(80.4)^{2} \text{ GeV}^{2}}{2(.938)\text{ GeV}} \approx 3000\text{ GeV}$$

Bonus point realization...

In reality, that is only correct for a parton at x=1. Typical quark x is much less, say ~0.03

$$\frac{M_W^2}{2m_{\text{nucleon}}x} < E_{\nu}$$

$$\therefore E_{\nu} \gtrsim \frac{3000 \text{GeV}}{0.03} \sim 100 \text{TeV}$$

Ultra-High Energies

- ν-parton cross-section is dominated by high Q², since dσ/dQ² is constant
 - at high Q², scaling violations have made most of nucleon momentum carried by sea quarks
 - see a rise in σ/ E_ν from growth of sea at low x
 - neutrino & anti-neutrino cross-sections nearly equal
- Until Q²»M_W², then propagator term starts decreasing and cross-section becomes constant

$$\frac{d\sigma}{dq^2} \propto \frac{1}{(q^2 - M^2)^2}$$



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Example: Ultra-High Energies

- At UHE, can we reach thresholds of non-SM processes?
 - E.g., structure of quark or leptons, black holes from extra dimensions, etc.



Motivation for Understanding GeV Cross-Sections

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What's special about it? Why do we care?

- Remember this picture?
 - 1-few GeV is exactly where these additional processes are turning on



- 1 GeV is here Energy
- It's not DIS yet! Final states & threshold effects matter

SCULIOII

• Why is it important? Examples from T2K, ICAL





Goals:

- 1. $\nu_{\mu} \rightarrow \nu_{e}$
- 2. v_{μ} disappearance

E_v is 0.4-2.0 GeV (T2K) or 3-10 GeV (INO ICAL)

- v_µ disappearance (low energy)
 - at Super-K reconstruct these events by muon angle and momentum (proton below Cerenkov threshold in H₂O)
 - other final states with more particles below threshold ("non-QE") will disrupt this reconstruction



 $v_{\mu} + n$

(E_μ, p_μ)

79

- v_µ disappearance (high energy)
- Visible Energy in a calorimeter is NOT the v energy transferred to the hadronic system
 - > π absorption, π re-scattering, final state rest mass effect the calorimetric response
 - Can use external data to constrain





 At very high energies, particle multiplicities are high and these effects will average out
 Low energy is more difficult

80

- In the case of INO ICAL, need good energy and angle resolution to separate normal and inverted hierarchy
 - Best sensitivity requires survival probability in both E_v and L



- v_e appearance
 - different problem: signal rate is very low so even rare backgrounds contribute!
- Remember the end goal of electron neutrino appearance experiments
- Want to compare two signals with two different sets of backgrounds and signal reactions
 - with sub-percent precision
 - Requires precise knowledge of background and signal reactions



Models for GeV Cross-Sections

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(Quasi-)Elastic Scattering

- Elastic scattering leaves a single nucleon in the final state
 - CC "quasi-elastic" easier to observe



 $\nu_{\mu} n \rightarrow \mu^{-} p$

 $\begin{array}{c} \nu n \rightarrow l^{-} p \\ \overline{\nu} p \rightarrow l^{+} n \\ {}^{(-)} \nu N \rightarrow \nu N \end{array}$

- State of data is marginal
 - No free neutrons implies nuclear corrections
 - Low energy statistics poor
- Cross-section is calculable
 - But depends on incalculable formfactors of the nucleon
- Theoretically and experimentally constant at high energy
 - 1 GeV² is ~ a limit in Q²

What was that last cryptic remark?

- Theoretically and experimentally constant at high energy
 - 1 GeV² is ~ a limit in Q²
 - Inverse μ–decay:

 $\nu_{\mu} + e^- \rightarrow \mu^- + \nu_e$

a maximum Q^2 independent of beam energy \Rightarrow constant σ_{TOT}

- OK, but why does cross-section have a Q²_{max} limit?
 - If Q² is too large, then the probability for the final state nucleon to stay intact (elastic scattering) becomes low

 $Q_{\rm max}^2$

 $\sigma_{\scriptscriptstyle TOT} \propto$

 $dQ^2 \frac{1}{(Q^2 + M_W^2)^2}$

This information is encoded in "form factors" of the nucleons

Elastic Scattering (cont'd)

As with IBD, nucleon structure alters cross-section

 Can write down in terms of all possible "form factors" of the nucleon allowed by Lorentz invariance

C.H. Llewellyn Smith, Phys. Rep. 3C, 261 (1972)

$$\frac{d\sigma}{dQ^2} \binom{\nu n \to l^- p}{\overline{\nu}_p \to l^+ n} = \left[A(Q^2) \mp B(Q^2) \frac{s - u}{M^2} + C(Q^2) \frac{(s - u)^2}{M^4} \right] \\ \times \frac{M^2 G_F^2 \cos^2 \theta_c}{8\pi E_u^2}$$

$$\begin{split} A(Q^2) &= \frac{m^2 + Q^2}{4M^2} \left[\left(4 + \frac{Q^2}{M^2} \right) |F_A|^2 - \left(4 - \frac{Q^2}{M^2} \right) |F_V^1|^2 + \frac{Q^2}{M^2} \xi |F_V^2|^2 \left(1 - \frac{Q^2}{4M^2} \right) + \frac{4Q^2 ReF_V^{1*} \xi F_V^2}{M^2} \right. \\ &\quad \left. - \frac{Q^2}{M^2} \left(4 + \frac{Q^2}{M^2} \right) |F_A^3|^2 - \frac{m^2}{M^2} \left(|F_V^1 + \xi F_V^2|^2 + |F_A + 2F_P|^2 - \left(4 + \frac{Q^2}{M^2} \right) \left(|F_V^3|^2 + |F_P|^2 \right) \right) \right] \\ B(Q^2) &= \frac{Q^2}{M^2} ReF_A^* \left(F_V^1 + \xi F_V^2 \right) - \frac{m^2}{M^2} Re \left[\left(F_V^1 - \frac{Q^2}{4M^2} \xi F_V^2 \right)^* F_V^3 - \left(F_A - \frac{Q^2 F_P}{2M^2} \right)^* F_A^3 \right] \text{ and} \\ C(Q^2) &= \frac{1}{4} \left(|F_A|^2 + |F_V^1|^2 + \frac{Q^2}{M^2} \left| \frac{\xi F_V^2}{2} \right|^2 + \frac{Q^2}{M^2} |F_A^3|^2 \right). \end{split}$$

 $\overline{\nu} p \rightarrow l^+ n$ (-) (-) $\nu N \rightarrow \nu N$ Occupants of the form factor zoo: F_{V}^{1} , F_{V}^{2} are vector form factors: F_A is the axial vector form factor; F_{P} is the pseudoscalar form factor; F_{V}^{3} and F_{A}^{3} are form factors related to currents requiring G-parity violation, small?

 $vn \rightarrow l^- p$

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Elastic Scattering (cont'd)

- Form factors representing second class currents, F³_V and F³_A, are usually assumed to be zero
- Pseduoscalar form factor, F_P, can be calculated from F_A with reasonable assumptions (Adler's theorem and the Goldberger-Treiman relation)
- The leading form factors, F¹_V, F²_V and F_A, are approximately dipole in form

 $F_{V}(q^{2}) \sim \frac{1}{(1-q^{2}/M_{V}^{2})^{2}} \quad F_{A}(q^{2}) = \frac{F_{A}(0)}{(1-q^{2}/M_{A}^{2})^{2}} \quad \text{"dipole approximation"}$ $M_{V} \approx 0.71 \text{ GeV}$ $M_{A} \approx 1.01 \text{ GeV}$ $F_{A}(0) \approx -1.267$ $F_{V}(0) \text{ is charge of proton} \quad \text{parameters}$ $n.b.: \text{ we've seen } F_{V}(0) \text{ and } F_{A}(0)$ $before \text{ in IBD discussion } (g_{V} \text{ and } g_{A})$

Note that those masses which "cut off" the form factor are of order 1 GeV, so form factors are low beyond 1 GeV²

Elastic Scattering (cont'd)

Vector form factors

 Measured in charged lepton scattering



e.g., Bradford-Bodek-Budd-Arrington ("BBBA"), Nucl.Phys.Proc.Suppl.159:127-132,2006

Axial vector form factors

 Measured in pion electroproduction & neutrino scattering



Bodek, Avvakumov, Bradford and Budd, J. Phys. Conf. Ser. 110, 082004 (2008).

Low W, the Baryon Resonance Region

- Intermediate to elastic and DIS regions is a region of resonance production
 - Recall mass² of hadronic final state is given by

$$W^{2} = M_{T}^{2} + 2M_{T}\nu - Q^{2} = M_{T}^{2} + 2M_{T}\nu(1-x)$$

- At low energy, nucleon-pion states dominated by N* and Δ resonances
- Leads to cross-section with significant structure in W just above $M_{nucleon}$
 - Low v, high x





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The Resonance Region

Models of the resonance region are complicated

- In principle, many baryon resonances can be excited in the scattering and they all can contribute
- They de-excite mostly by radiating pions

Nucleon Resonances below 2 GeV/c² according to Ref. [4]

Resonance Symbol ^a	Central mass value M [MeV/c ²]	Total with Γ₀[MeV]	Elasticity $x_E = \pi \mathcal{N}$ branching ratio	Quark-Model/ SU ₀ -assignment
P ₃₃ (1234)	1234	124	1	4(10) _{3/2} [56, 0 ⁺] ₀
$P_{11}(1450)$	1450	370	0.65	² (8) _{1/2} [56, 0 ⁺] ₂
$D_{19}(1525)$	1525	125	0.56	²(8) _{3/2} [70, 1] ₁
S ₁₁ (1540)	1540	270	0.45	² (8) _{1/2} [70, 1 ⁻] ₁
$S_{31}(1620)$	1620	140	0.25	² (10) _{1/2} [70, 1 ⁻] ₁
S ₁₁ (1640)	1640	140	0.60	⁴ (8) _{1/2} [70, 1] ₁
P ₃₃ (1640)	1640	370	0.20	⁴ (10) _{3/2} [56, 0 ⁺] ₂
D ₁₃ (1670)	1670	80	0.10	⁴ (8) _{3/2} [70, 1 ⁻] ₁
$D_{15}(1680)$	1680	180	0.35	⁴ (8) _{5/2} [70, 1 ⁻] ₁
F ₁₅ (1680)	1680	120	0.62	² (8) _{5/2} [56, 2 ⁺] ₂
$P_{11}(1710)$	1710	100	0.19	² (8) _{1/2} [70, 0 ⁺] ₂
D ₃₃ (1730)	1730	300	0.12	² (10) _{3/2} [70, 1 ⁻] ₁
$P_{13}(1740)$	1740	210	0.19	² (8) _{3/2} [56, 2 ⁺] ₂
$P_{31}(1920)$	1920	300	0.19	4(10)1/2 [56, 2+]2
$F_{35}(1920)$	1920	340	0.15	⁴ (10) _{5/2} [56, 2 ⁺] ₂
$F_{37}(1950)$	1950	340	0.40	4(10)7/2 [56, 2+]2
P ₃₃ (1960)	1960	300	0.17	4(10) _{3/2} [56, 2 ⁺] ₂
F ₁₇ (1970)	1970	325	0.06	4(8)7/2 [70, 2 ⁺]3



D. Rein and L. Sehgal, Ann. Phys. 133, 79 (1981)

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Quark-Hadron Duality

- Bloom-Gilman Duality is the relationship between quark and hadron descriptions of reactions. It reflects:
 - Ink between confinement and asymptotic freedom
 - transition from non-perturbative to perturbative QCD



over discrete hadronic systems

Duality and v

$$W^2 = M_T^2 + Q^2 \left(\frac{1}{x} - 1\right)$$

Low Q² data _____ DIS-Style PDF prediction

- Governs transition between resonance and DIS region
- Sums of discrete resonances approaches DIS cross-section
 - Bodek-Yang: Observe in electron scattering data; apply to v cross-sections



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Duality's Promise



- In principle, a duality based approach can be applied over the entire kinematic region
- The problem is that duality gives "averaged" differential cross-sections, and not details of a final state



 Microphysical models may lack important physics, but duality models may not predict all we need to know

How to scale the mountain between the two?
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Lecture Question #7: Duality meets Reality

A difficulty in relating cross-sections of electron scattering (photon exchange) to charged-current neutrino scattering (W[±] exchange) is that some escatting reactions have imperfect v-scattering analogues.

Write all possible v_{μ} CC reactions involving the same target particle and isospin rotations of the final state for each of the following...

(a)
$$e^{-}n \rightarrow e^{-}n$$

(b) $e^{-}p \rightarrow e^{-}p$
(c) $e^{-}p \rightarrow e^{-}n\pi^{+}$
(d) $e^{-}n \rightarrow e^{-}p\pi^{-}$

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Lecture Question #7: **Duality meets Reality**

Write all possible v reactions involving the same target particle and isospin rotations of the final state for each of the following...

(a)
$$e^{-}n \rightarrow e^{-}n$$

 $V_{\mu}n \rightarrow \mu^{-}p$
(b) $e^{-}p \rightarrow e^{-}p$
there are none!
(c) $e^{-}p \rightarrow e^{-}n\pi^{+}$
 $V_{\mu}p \rightarrow \mu^{-}p\pi^{+}$
(d) $e^{-}n \rightarrow e^{-}p\pi^{-}$
 $V_{\mu}n \rightarrow \mu^{-}n\pi^{+}$
 $V_{\mu}n \rightarrow \mu^{-}p\pi^{0}$

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V µ''

Building a Unified Model

- In the relevant energy regime around 1 GeV, need a model that smoothly manages exclusive (elastic, resonance) to inclusive (DIS) transition
- Duality argues that the transition from the high W part of the resonance region (many resonances) to deep inelastic scattering should be smooth.



Exclusive Resonance Models and Duality Models

- Duality models agree with inclusive data by construction
 - However, in a generator context, have to add details of final state
- Typical approach (GENIE,
 NEUT and NUANCE) is to use

a resonance model (Rein & Sehgal) below W<2 GeV, and duality + string fragmentation model for W>2 GeV

 $x [Q^2 - 0.07]$

 $x [Q^2 = 0.85]$

 $x [Q^2=3]$

0.16

0.10

0.00

- This is far from an idea solution
- Discrete resonance model (probably) disagrees with total crosssection data below W<2 GeV and is difficult to tune
- Average cross-section at high W does agree with data, but final state simulation is of unknown quality and difficult to tune also.



 $x [Q^2 = 0.32]$

 $x [Q^2 = 1.4]$

0.80 0.85 0 x [Q²=9]

0.010

0.0010

10⁻⁸

(Keppel+Stuart) F2(LO:GRV94)

Supplemental Slides

SUPPLEMENT: Scaling Violations

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Strong Interactions among Partons

Q² Scaling fails due to these interactions

CD scale violations

scaling



 $F_2(x,Q^2)$





 Pqq(x/y) = probability of finding a quark with momentum x within a quark with momentum y

•Pqq(x/y) = probability of finding a q with momentum x within a gluon with momentum y

$$P_{qq}(z) = \frac{4}{3} \frac{1+z^2}{(1-z)} + 2\delta(1-z)$$
$$P_{gq}(z) = \frac{1}{2} \left[z^2 + (1-z)^2 \right]$$

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0

Scaling from QCD





Observed quark distributions vary with Q²

Scaling well modeled by perturbative QCD with a single free parameter (α_s)



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SUPPLEMENT: NuTeV Measurement of Strange Sea

6-8 August 2013

141

Neutrino Dilepton Events

Rate depends on:

- d, s quark distributions, |V_{cd}|
- Semi-leptonic branching ratios of charm
- Kinematic suppression and fragmentation



NuTeV Dimuon Sample



QCD at Work: Strange Asymmetry?

An interesting aside...

- The strange sea can be generated perturbatively from g→s+sbar.
- BUT, in perturbative generation the momenta of strange and anti strange quarks is equal
 - o well, in the leading order splitting at least. At higher order get a vanishingly small difference.
- SO s & sbar difference probe non-perturbative ("intrinsic") strangeness
 - o Models: Signal&Thomas, Brodsky&Ma, etc.



(Brodsky & Ma, s-sbar)



NuTeV's Strange Sea

- NuTeV has tested this
 - NB: very dependent on what is assumed about non-strange sea
 - Why? Recall CKM mixing...

$$\frac{V_{cd}d(x) + V_{cs}s(x) \to s'(x)}{V_{cd}\overline{d}(x) + V_{cs}\overline{s}(x) \to \overline{s}'(x)}$$

small big

Using CTEQ6 PDFs...

$$\int dx \left[x \left(s - \bar{s} \right) \right] = 0.0019 \pm 0.0005 \pm 0.0014$$

c.f., $\int dx \left[x \left(s + \bar{s} \right) \right] \approx 0.02$





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SUPPLEMENT: NuTeV sin²θ_W

NuTeV at Work...



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DIS NC/CC Ratio

Experimentally, it's "simple" to measure ratios of neutral to charged current cross-sections on an isoscalar target to extract NC couplings





Z-q coupling is I_3 -Qsin² θ_W

- Holds for isoscalar targets of u and d quarks only
 - Heavy quarks, differences between u and d distributions are corrections
 - Isospin symmetry causes PDFs to drop out, even outside of naïve quark-parton model



 If we want to measure electroweak parameters from the ratio of charged to neutral current cross-sections, what problem will we encounter from these processes?



- CC is suppressed due to final state charm quark
 - \Rightarrow Need strange sea and m_c
 - Remember heavy quark mass effect: $x \rightarrow \xi = x \left(1 + \frac{m_c^2}{O^2} \right)^2$



Kevin McFarland: Interactions of Neutrinos

The NuTeV experiment employed a complicated design to measure
Paschos - Wolfenstein Relation

 $R^{-} = \frac{\sigma_{NC}^{\nu} - \sigma_{NC}^{\bar{\nu}}}{\sigma_{CC}^{\nu} - \sigma_{CC}^{\bar{\nu}}} = \rho^{2} \left(\frac{1}{2} - \sin^{2} \theta_{W}\right)$

 How did this help with the heavy quark problem of the previous question?

Hint: what to you know about the relationship of:

 $\sigma(vq)$ and $\sigma(\overline{vq})$

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 How did this help with the heavy quark problem of the previous question?

 $\sigma(vq) = \sigma(\overline{vq})$ $\sigma(v\overline{q}) = \sigma(\overline{vq})$

 $\therefore \sigma(\nu q) - \sigma(\overline{\nu q}) = 0$

So any quark-antiquark symmetric part is not in difference, e.g., strange sea.

NuTeV Fit to R^v and R^{vbar}

• NuTeV result:

 $\sin^2 \theta_W^{(on-shell)} = 0.2277 \pm \pm 0.0013(stat.) \pm 0.0009(syst.)$ $= 0.2277 \pm 0.0016$

(Previous neutrino measurements gave 0.2277 ± 0.0036)

Standard model fit (LEPEWWG): 0.2227 ± 0.00037
A 3_o discrepancy...



NuTeV Electroweak: What does it Mean?

- If I knew, I'd tell you.
- It could be BSM physics. Certainly there is no exclusive of a Z' that could cause this. But why?
- It could be the asymmetry of the strange sea...
 - it would contribute because the strange sea would not cancel in
 - but it's been measured; not anywhere near big enough
- It could be very large isospin violation
 - if d_p(x)≠u_n(x) at the 5% level... it would shift charge current (normalizing) cross-sections enough.
 - no data to forbid it. any reason to expect it?