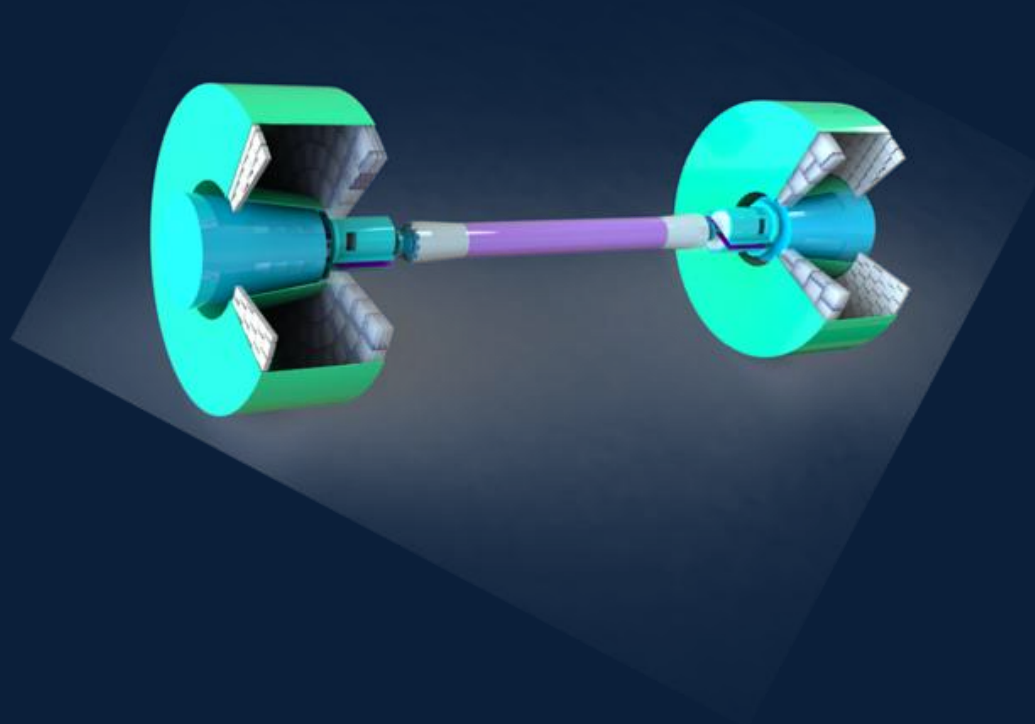


Endcap Aerogel RICH Standalone Optical Simulation Progress

Zemax Ray Tracing × Geant4 Photon Generation



Agenda

Four parts

1

Zemax Optical Setup

Detector size · material / index · mirror on/off

2

Link to Geant4

G4 generates photons; handoff & references

3

G4 Setup & Four Sources

Simulation setup → four sources in Zemax

4

Discussion & Next Steps

Findings · open questions · next steps

PART 1

Zemax Optical Setup

Detector geometry · materials & refractive index · mirror on/off

Ansyz Zemax OpticStudio

Subtitle: Optical Design & Ray-Tracing Software · Overview

What it is

A mainstream optical-engineering package for lens design, illumination systems, and detector ray-path modeling & ray-tracing analysis. Now maintained and released by Ansys.

Core capabilities

3D geometry modeling

Quickly build mirrors / cylindrical walls & other structures

Materials & refractive index

Define aerogel $n(\lambda)$ via the user glass catalog
Supports fixed index or a dispersion formula

Ray tracing

Verify photon paths, refraction & focusing
Detector Viewer for spot/hit distribution

Division of labor with Geant4

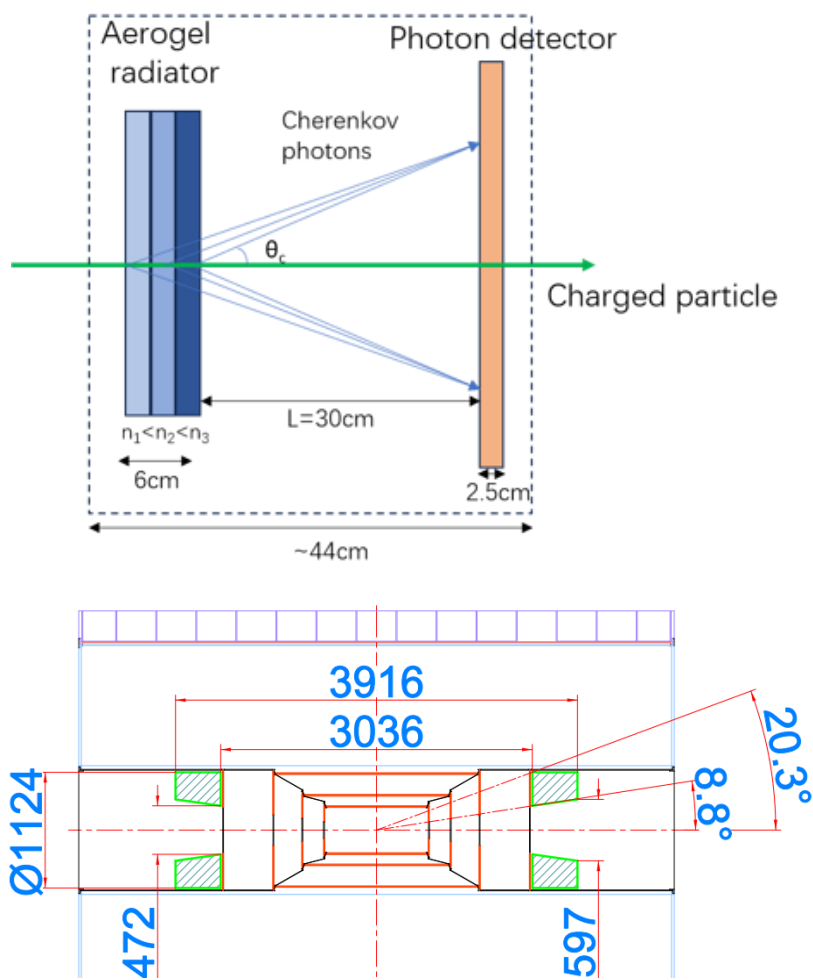
Zemax: fast optical-layout validation
Geant4: full physics & PID performance

Role in our project

Optical-layout validation for the CEPC endcap aerogel RICH: proximity-focusing gap (300 mm), multi-layer aerogel stack, mirror-shape selection, and whether Cherenkov photons reach the SiPM detector. A low-cost layout screening before the full Geant4 simulation.

Detector Geometry & Structure

Proximity-focusing aerogel RICH (hollow annular frustum)



Component / Parameter	Value
Aerogel front-face Z	1518 mm (origin = IP)
Radiator	3 aerogel layers, 20 mm each (60 mm)
Proximity gap	300 mm (air)
Photon detector	SiPM, ~25 mm
Shape	Hollow annular frustum (cone + cylinder)
SiPM annulus	Rin = 287 mm, Rout = 562 mm
Polar-angle range	$\sim 8.8^\circ - 20.3^\circ$ (location 2)
Inner cone / outer cyl.	Reflective coating

From CAD design file(.stl)

Materials & Refractive Index

User glass catalog USER_AGF · index & dispersion formula

► Three aerogel layers (USER_AGF.AGF)

- AEROGEL1 / 2 / 3: $n = 1.008 / 1.009 / 1.010$

► Reflective films

- **ideal material**
- when Film ON, $R=100\%$; OFF, $R=0\%$ (absorbing)

► Photon detector

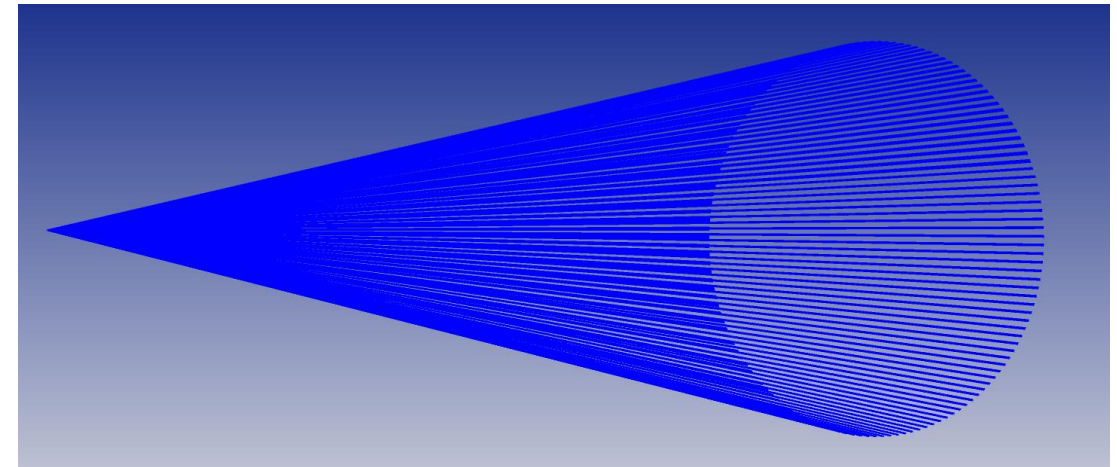
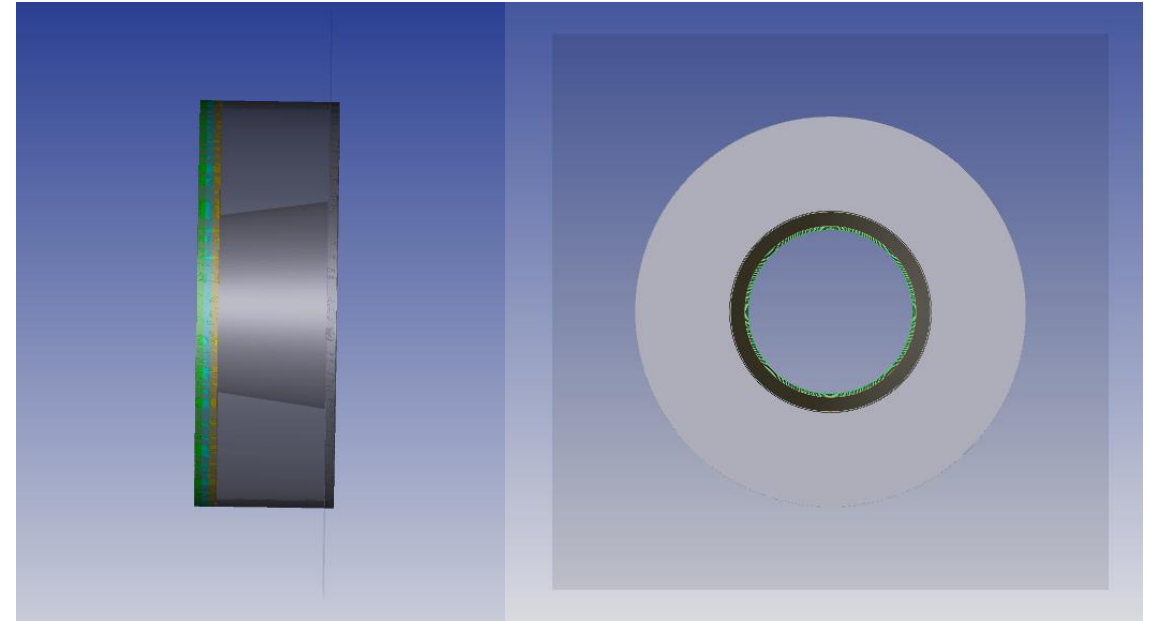
- SiPM object — **placeholder only** (geometry / visualization, not sensitive)
- Actual readout: Zemax built-in rectangular detector
- 800×800 mm, pixel size 1×1 mm
- Only hits inside the annulus (R_{in} – R_{out}) are analyzed

► Light source

- **Conical point source modeling the Cherenkov emission**
- 360 rays; cone half-angle = Cherenkov angle $\theta_c \approx 7.2^\circ$
- Wavelength range $0.3 - 0.7 \mu\text{m}$

► Dispersion: disabled for now

- **No measured aerogel $n(\lambda)$ yet $\rightarrow$ constant n**
- Add wavelength dependence after BINP / Fudan tests

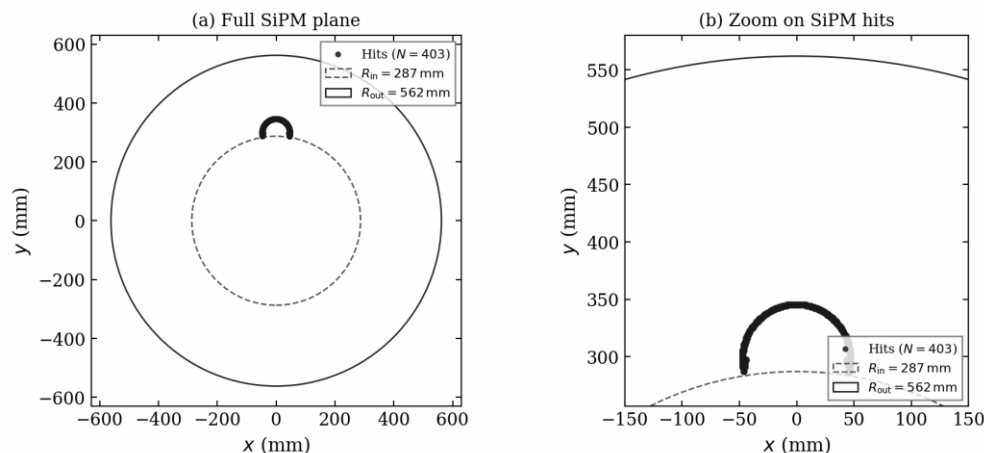


Mirror Configuration & On/Off Comparison

Inner cone + outer cylinder: ideal reflector, $R = 100\%$

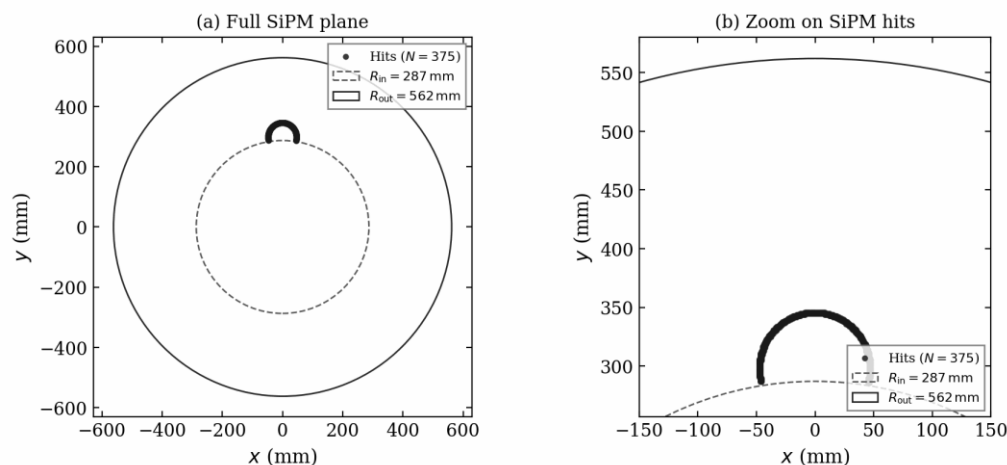
Mirror ON (angle scan)

$\theta = 8.90^\circ$, $r = 238.8$ mm, mirror ON

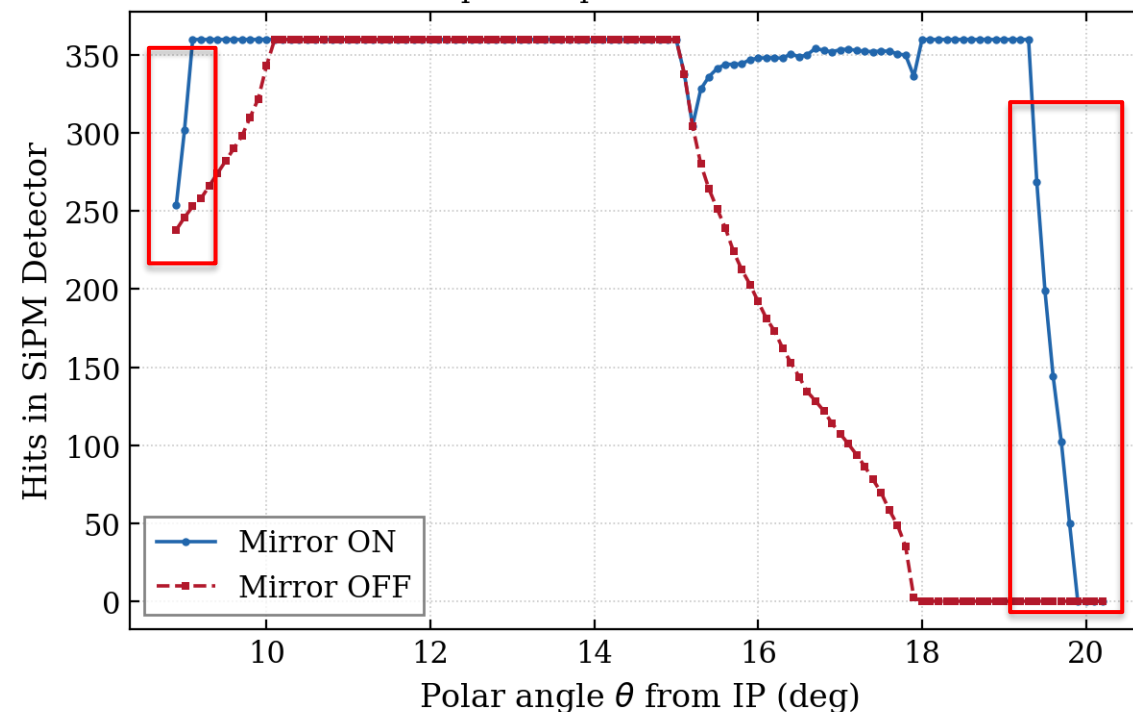


Mirror OFF (angle scan)

$\theta = 8.90^\circ$, $r = 238.8$ mm, mirror OFF



CEPC endcap RICH photon collection simulation



- **Mid 10–15°: ON $\approx$ OFF**
 - Direct light already sufficient
- **Large >15.5°: outer cyl. recovers**
 - Direct light exits boundary, reflected back, mirror substantially increase photon collection
- **Small <10°: inner cone helps**
 - without mirror: half ring only, collection 57-100%

PART 2

Link to Geant4

G4 generates photons, Zemax does the optical tracing

Why Geant4: Photon Generation vs Tracing

OpticStudio cannot self-generate Cherenkov radiation



First three steps in Geant4; after exit → Zemax

► G4 side (physics inside aerogel)

- Cherenkov, Rayleigh scattering, absorption, boundary refraction

► light source form G4— per exit photon

- position (x,y,z) + direction (ux,uy,uz) + wavelength weight
- → export Zemax Source File (global coords baked in)
- **source now starts at the aerogel exit face, not inside the bulk**

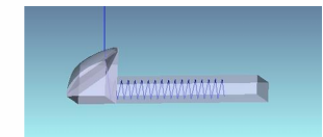
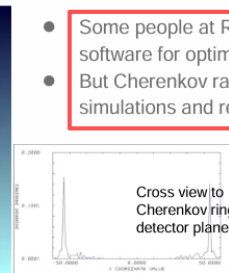
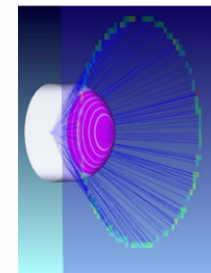
► Zemax side (optics after aerogel)

- mirror + cavity + SiPM annulus collection
- aerogel scattering / absorption not recomputed

Software for optical simulation of Cherenkov light

- Rapid development of optical software based on ray tracing as Zemax, Code V, Matlab, Virtual Lab, Marc, etc.
- It is used to model, analyze, optimize optical systems
- But Cherenkov radiation was very exotic source for optics designers so it was not included in this software

GIANT4



- Some people at RICH community use Zemax or another optical software for optimization of optical parts of detector
- But Cherenkov radiation is generated in GEANT 4 or by Monte Carlo simulations and results are transferred to Zemax as input parameters

M. Šulc, "Optical components and technologies for RICH application," talk at CERN, Geneva, April 2025.

PART 3

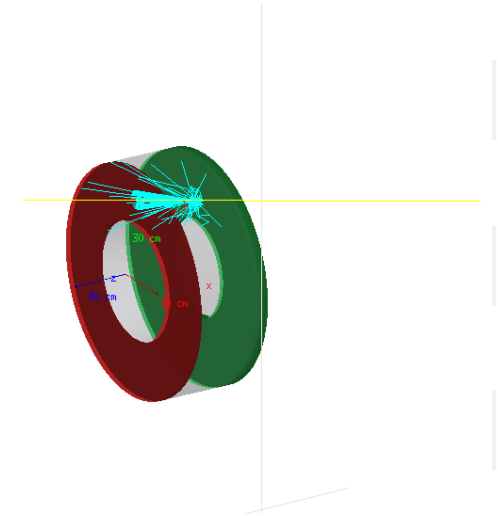
G4 Setup & Four Sources

Simulation setup → four simple sources in Zemax

Geant4 Simulation Setup(very preliminary)

Geometry per spec · full optical processes · θ particle gun

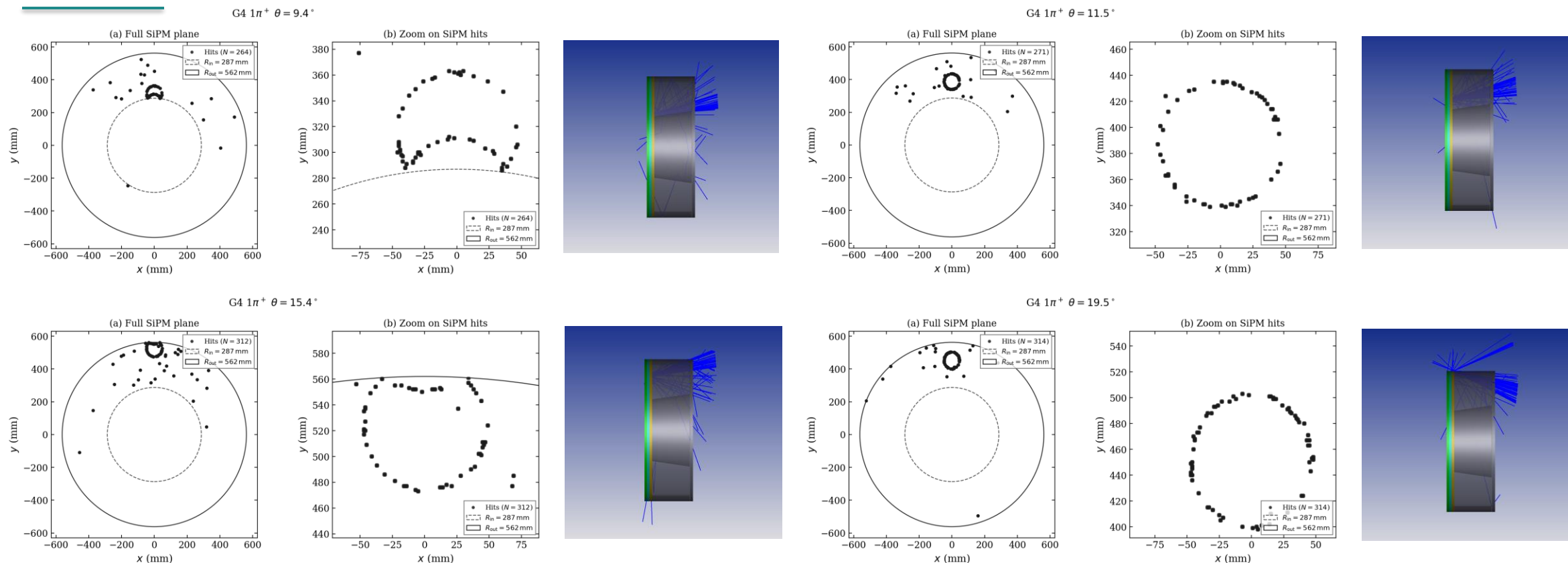
Item	Configuration
Geometry	+Z endcap; 3 aerogel layers + inner cone + outer cyl.; origin=IP; aerogel Z $\approx$ 1518–1578 mm
Physics list	G4EmStandardPhysics_option4 + G4OpticalPhysics
Optical processes	Cherenkov, Rayleigh scattering, absorption
RINDEX	1.008 / 1.009 / 1.010 (constant, no dispersion)
Rayleigh length	40 mm @ 400 nm ($\propto \lambda^4$)
Absorption length	4 m
Particle gun	π^+ , 10 GeV/c, from IP
Scan angles θ	9.4° / 11.5° / 15.4° / 19.5° (aligned with Zemax by_angle)
Record / export	photon entering air $\rightarrow$.dat / .csv (Zemax Source File)



At this stage G4 only generates & exports exit photons; mirror / SiPM collection handled in Zemax

Four Simple Sources in Zemax

G4 photons → Zemax tracing; clear Cherenkov rings on the SiPM plane

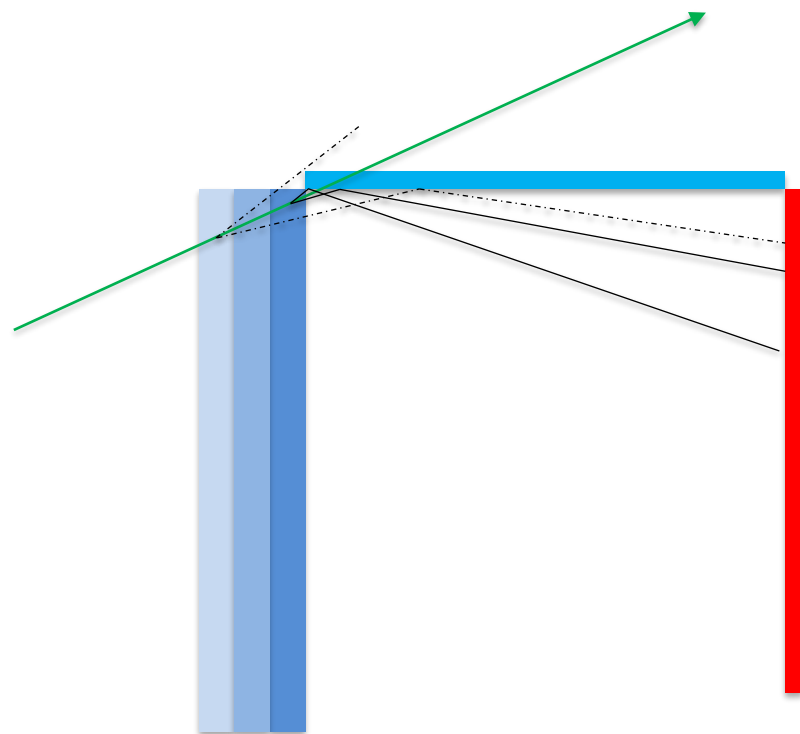


θ	9.4°	11.5°	15.4°	19.5°
Exit photons	78	76	88	117
Annulus collection	91.0%	93.4%	93.0%	74.2%

Ring radius grows with θ ($r \approx Z \cdot \tan\theta$); at 15.4° the ring top is slightly clipped by $R_{out} = 562$ mm

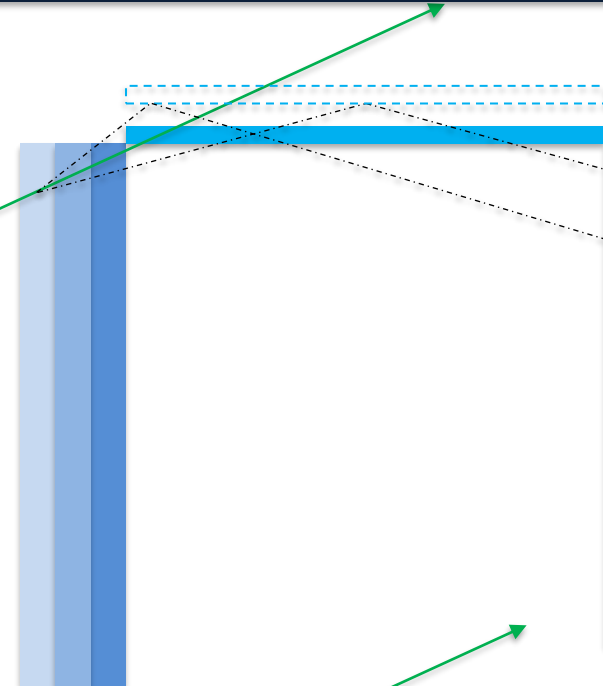
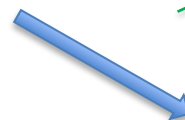
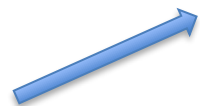
Large-angle photon loss at the aerogel edge

At large θ , part of the Cherenkov photons escape past the outer edge and are lost

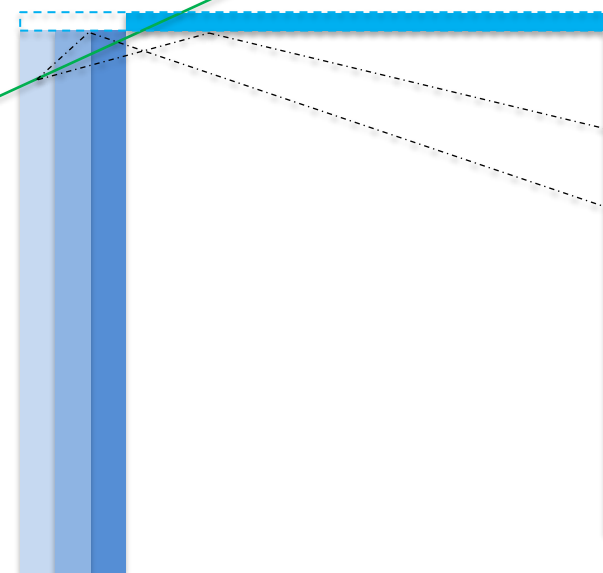


$$n_1 < n_2 < n_3$$

Photons generated far from the mirror are missed;
those generated near it are still collected,
completing the Cherenkov ring.



recovered by a
larger-R (taller)
mirror



by extending the
mirror to cover
the aerogel

PART 4

Discussion & Next Steps

Findings · open questions · next steps

Discussion

What's validated, and what remains

Finished

1. Optical simulation established (mirror on/off compared)
 - with mirror: >90% collection over the full angular range
2. Cherenkov light generated in Geant4
 - four angular sources produced so far

Open Questions & Discussion

1. Both optical and G4 simulations are at a preliminary stage
 - suggestions / questions welcome
2. Photon hits on the detector: which is more appropriate for simulation — G4 or Zemax?

Next Steps

By priority

1. Mirror studies

Optical simulation for different mirror options — coating material & shape

2. Measured aerogel inputs

Update material parameters from testing data: dispersion, index uniformity

3. More realistic modeling

Refine the simulation — current model is too idealized

Thank You

Questions & Discussion

