

QCD Background and Systematic Uncertainties in Top Physics

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Outline

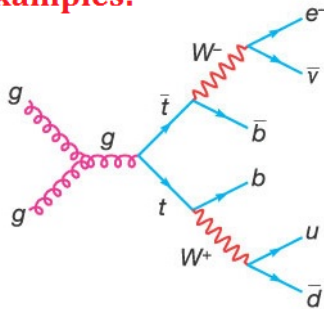
- Introduction of QCD Background in Top Physics
- Methods of QCD Background Estimation
- Latest Results from ATLAS, CMS, D0 and CDF
- Conclusion



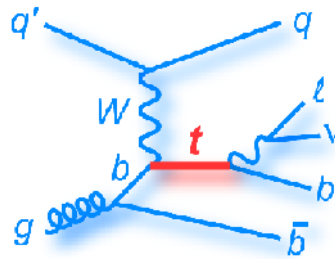
Introduction

Top Events and QCD Backgrounds

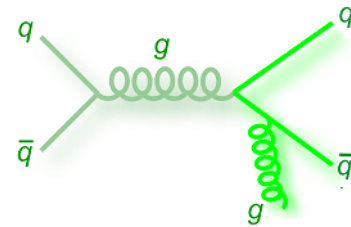
examples:



top pair process



single top process



QCD-multijet process

- Top events signatures comparing to QCD backgrounds:
 - Isolated lepton with large transverse momentum from W decay
 - large missing transverse momentum (MET) if W decays leptonically
 - reconstructed W and top mass spectrum (m_W , m_T) from final state particles

How QCD-multijet events become Background?

- QCD-multijet events with misidentified and non-prompt leptons (collectively called fake leptons) could pass top event selection

sources of fake leptons

fake electrons

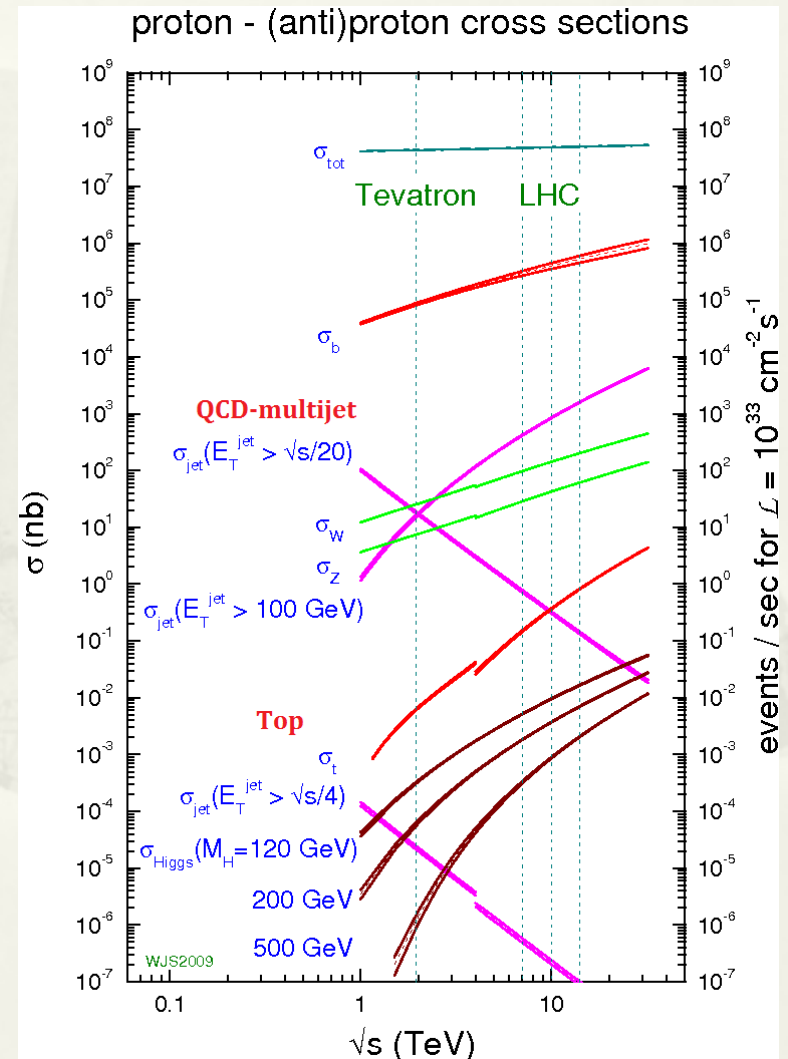
- ◇ b-quarks and c-quarks decay semileptonically
- ◇ jets misidentified as electrons
 - few charged tracks
 - little energy in hadronic compartments
- ◇ photon conversion

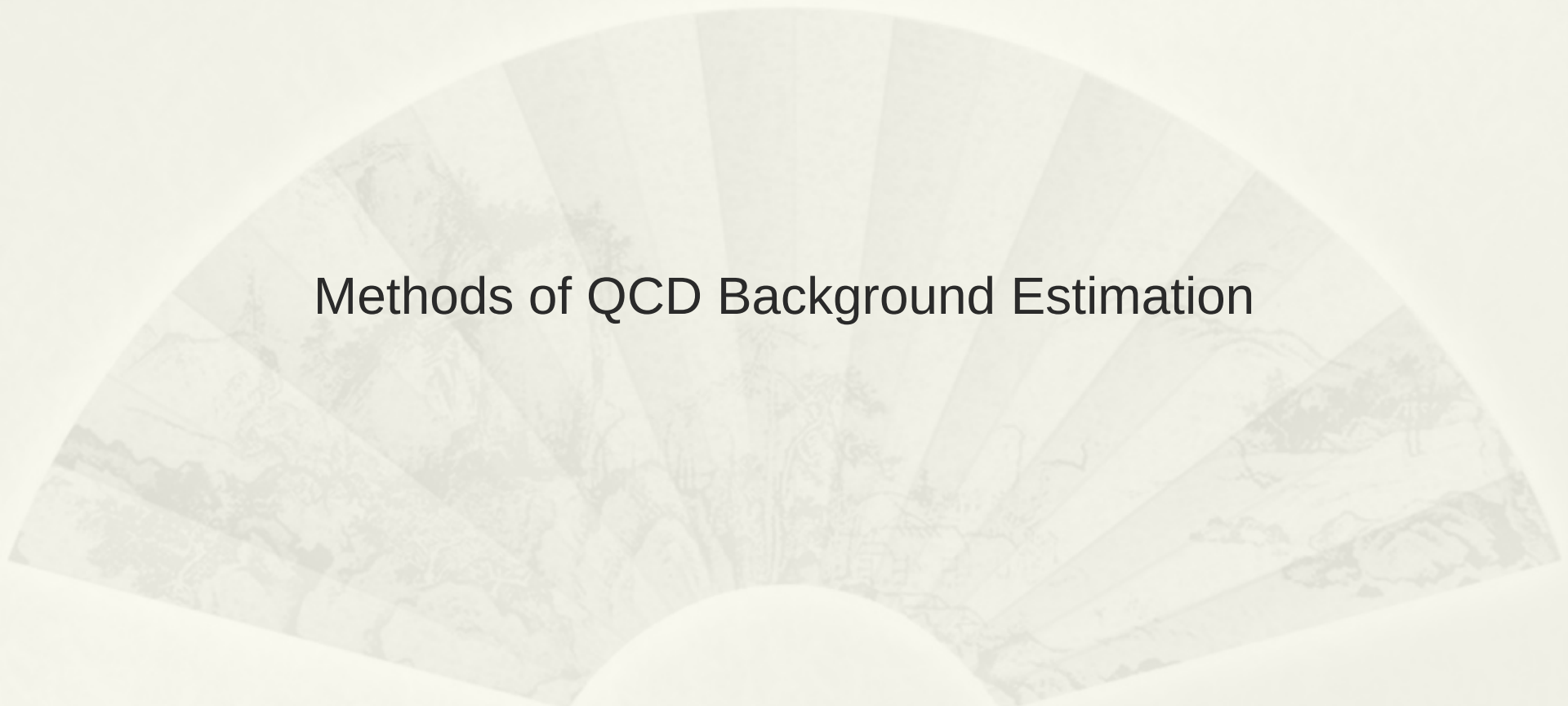
fake muons

- ◇ b-quarks and c-quarks decay semileptonically
- ◇ punch-through hadrons
- ◇ pions or kaons which decay in flight within tracking region

Top and QCD Cross Sections

- High QCD rejection factors from top event selections
- QCD multijet cross section ($\sim \mu\text{b}/\text{mb}$) is many orders of magnitude higher than top cross section
 - usually contributes as one of the most important non-top backgrounds after Vector+jets
- Good estimation of QCD background is important for precision top analysis





Methods of QCD Background Estimation

Estimate QCD from Monte Carlo?

- To get good prediction of QCD background from MC, we need
 - high precision on fragmentation and hadronization models
 - high precision on description of interactions with matter and in shower model
 - good description of hadronic activity
 - precise estimate of the cross section

Such precision is not achievable



- Here comes data-driven approach for QCD background estimation
 - matrix method
 - template fit method where QCD templates from
 - jet-electron model
 - anti-electron/muon selection



Matrix Method

- **real leptons**: leptons from W (Z) decay
- **tight leptons**: standard selection in analysis
- **loose leptons**: looser identification and/or no isolation requirement

Solve Equation to Obtain N_{fake}^{tight}

$$N^{loose} = N_{real}^{loose} + N_{fake}^{loose}$$

$$N^{tight} = N_{real}^{tight} + N_{fake}^{tight} = \epsilon_{real} N_{real}^{loose} + \epsilon_{fake} N_{fake}^{loose}$$

$$N_{fake}^{tight} = \frac{\epsilon_{fake}}{\epsilon_{real} - \epsilon_{fake}} (N^{loose} \epsilon_{real} - N^{tight})$$

- N^{loose} : Number of events with one loose lepton
- N^{tight} : Number of events with one tight lepton
- Using data to obtain $\epsilon_{real} = \frac{N_{real}^{tight}}{N_{real}^{loose}}$ and $\epsilon_{fake} = \frac{N_{fake}^{tight}}{N_{fake}^{loose}}$.
- N_{fake}^{tight} : Estimated multijet events after tight selection

➤ this is an example of lepton +jets channel
➤ for dilepton channel: same idea with more categories

Matrix Method

Tag and Probe for ϵ_{real}

- Select control sample: $z \rightarrow ll$
 - Two Loose leptons with opposite signs
 - $80.2 < M(ll) < 100.2 GeV$, $MET < 20 GeV$
- Tag and probe method:
 - One lepton must be "tight"
 - Calculate the other lepton tight rate: ϵ_{real}

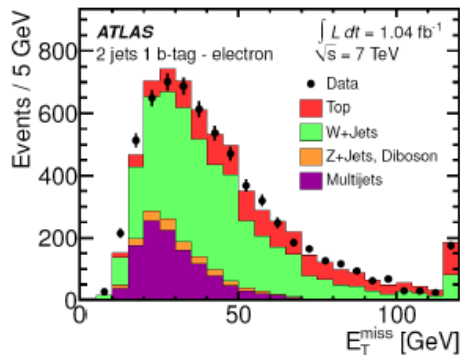
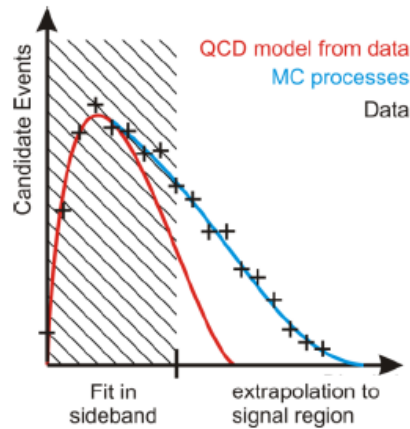
- ϵ_{fake} is measured from data control regions dominated by the contributions of fake leptons
 - example regions: low MET, low m_W or m_T , etc.
 - subtract $W+jets$ and $Z+jets$ backgrounds using Monte Carlo simulation

Estimate Systematics of Matrix Method

- Uncertainties of ϵ_{real} estimation are mostly statistical and negligible comparing to the ones of ϵ_{fake}
- Systematics Estimation of ϵ_{fake} :
 - varying the requirements of control regions selection
 - take into account used W +jets and Z +jets backgrounds uncertainties

Template Fit: Jet-electron Model

- Idea: use data samples with “electron-like” jet to simulate QCD with fake leptons



Multijet Model from Data

- 20 GeV jet trigger data
- Jets with kinematics of electrons
- With > 4 tracks
- Energy fraction requirement in electromagnetic calorimeter

QCD-multijet Estimation

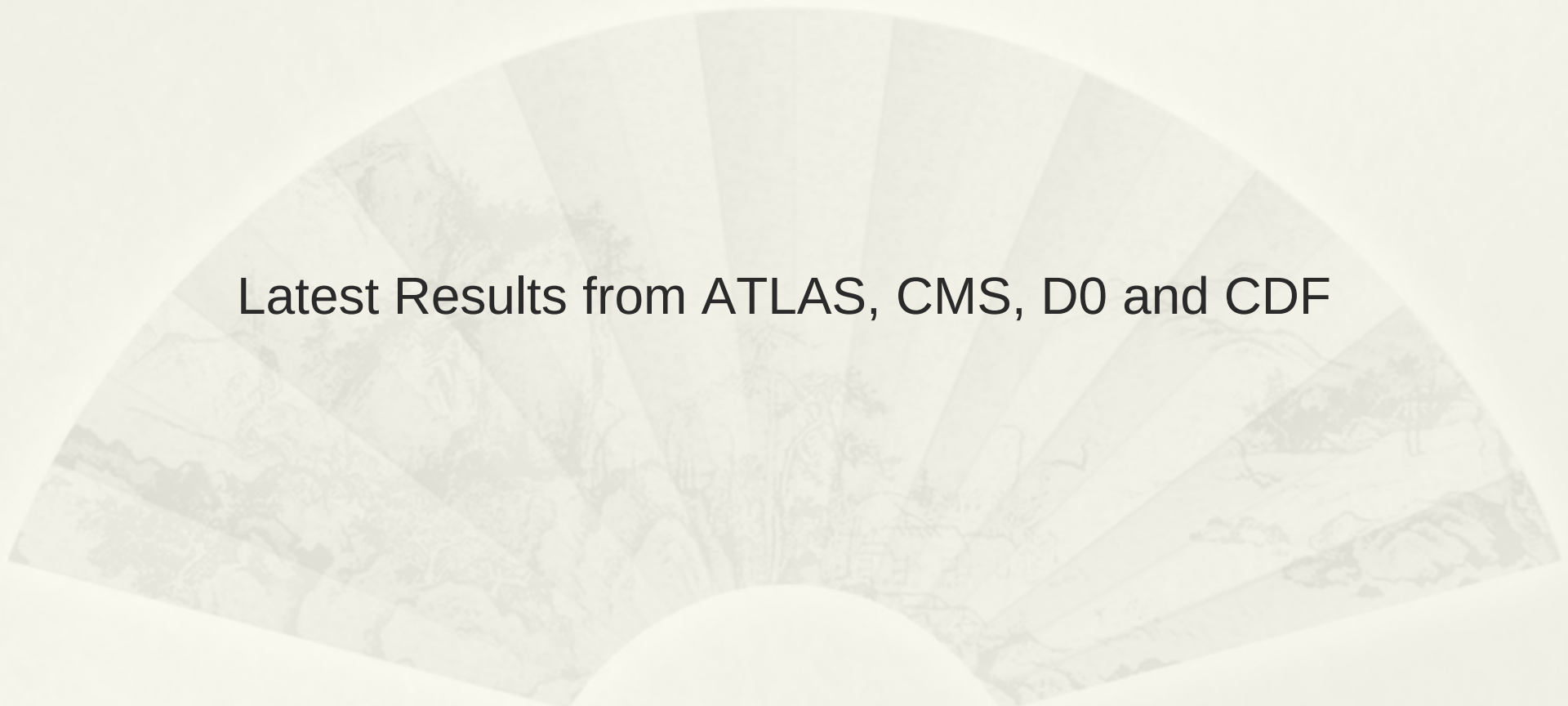
- Binned likelihood fit on E_T^{miss}
 - Multijet shape from data
 - Other process shapes from MC

Template Fit: Anti-electron/muon Model

- Get QCD background template from a QCD enriched data sample where leptons failing some of the lepton cuts
 - reverse lepton identification(ID)/isolation
- Fit QCD templates and other process templates to data
 - fit with sensitive variable in control region orthogonal with signal region
 - or directly get QCD normalization from final measurement fit

Systematics of Template Fit Method

- For jet-electron model
 - cross check the fit with another variable, like the transverse W mass
 - could also evaluate the effect from pile-up
 - divide the jet-electron data sample into a high pile-up sample and a low pile-up sample
 - usually based on the number of primary vertices in events
 - compare estimated QCD of two samples
- For anti-lepton model
 - vary the QCD templates with different selections of control region



Latest Results from ATLAS, CMS, D0 and CDF

QCD Background Estimation in ATLAS

([arXiv:1207.5644](#))

- Measurements of top quark pair relative differential cross-sections with ATLAS
 - a paper published by [The European Physical Journal C](#) in January 2013
 - used 2.05 fb^{-1} data collected by ATLAS at $\sqrt{s}=7\text{TeV}$
- Used matrix method for QCD background estimation

Channel	$\mu + \text{jets}$	$e + \text{jets}$
$t\bar{t}$	11100 ± 700	7400 ± 500
$W + \text{jets}$	1700 ± 700	1300 ± 500
Single top	490 ± 50	338 ± 32
$Z + \text{jets}$	192 ± 20	154 ± 26
Diboson	34 ± 4	21 ± 3
Fake-leptons	800 ± 800	250 ± 250
Signal+bkg	14400 ± 1700	9500 ± 1100
Observed	14416	9187

numbers of predicted and observed events after all selections

◆ Estimated QCD/signal+bkg is $\sim 4.4\%$

◆ 100% uncertainty on QCD background estimation

– Estimated by varying control region selection in fake rate calculation of matrix method

More Results in Latest ATLAS Publications

Channel	Goal	Luminosity (fb ⁻¹)	Reference	Method
Lepton+jets	search for ttbar resonances	4.7	Phys. Rev. D 88, 012004 (2013)	matrix method ¹
dilepton and lepton+jets	search for an excited bottom-quark b*	4.7	Phys. Lett. B 721 (2013) 171-189	matrix method ²
Lepton+jets	Search for fourth-generation t' quarks	4.7	Phys. Lett. B 718 (2013) 1284-1302	matrix method ³
lepton	t-channel single top-quark production cross section	1.04	Phys. Lett. B 717 (2012) 330-350	jet-electron method ⁴

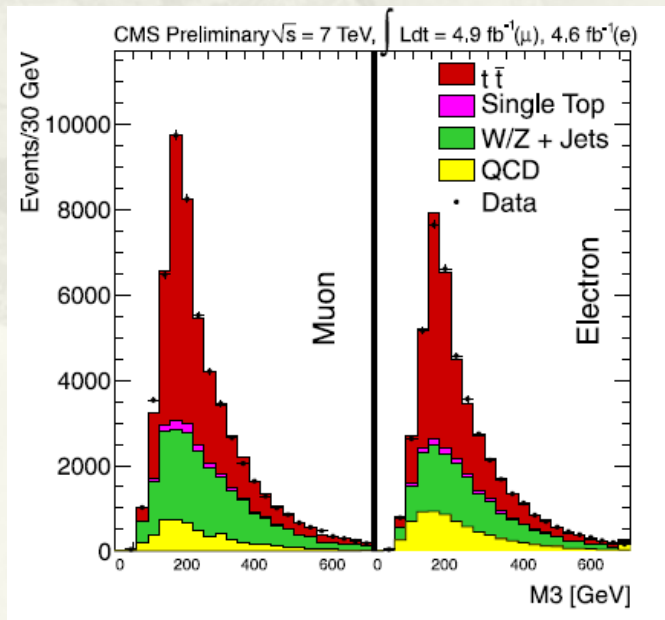
QCD background estimation systematic uncertainties

1. use jet-electron method to estimate the systematics
2. 50% uncertainties on QCD background estimation from fake rate calculation
3. 80% uncertainties: limited data sample (64%) + jet misidentification rate uncertainty (50%)
4. 50% uncertainties: obtained from pile-up impact studies and alternative fit with $m_T(W)$

QCD Background Estimation in CMS

([arXiv:1212.6682](https://arxiv.org/abs/1212.6682))

- Measurement of the $t\bar{t}$ production cross section in pp collisions at $\sqrt{s} = 7$ TeV with lepton + jets final states
 - A paper published by [Physics Letters B](#) in March 2013
 - used 2.3 fb^{-1} data collected by CMS at $\sqrt{s}=7\text{TeV}$
- The mass of the three-jet combination distributions with estimated QCD backgrounds using anti-electron method



- ◆ The QCD multijet normalization is obtained by fitting *missingEt* distribution in data
 - electron + jets channel: QCD distribution from MC
 - muon + jets channel: QCD distribution from anti-muon model
- ◆ Use alternative QCD shapes with different selection for systematic estimation
 - ◆ different lepton ID/isolation/MET selection

More Results in CMS/D0/CDF

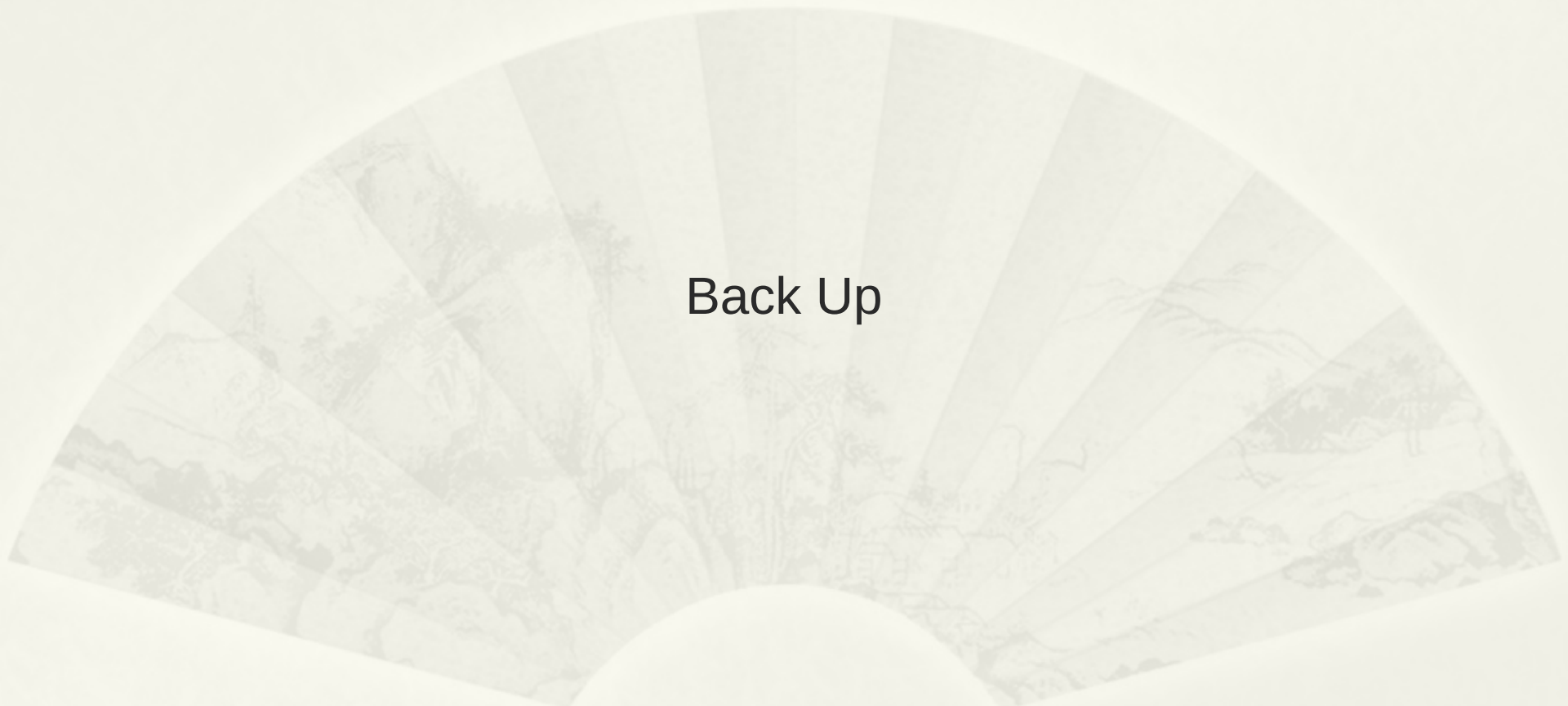
Experiment	Channel	Goal	Luminosity (fb ⁻¹)	Reference	Method
CMS	dilepton	$\sigma(t\bar{t})$	2.3	arXiv:1208.2671	matrix method
D0	lepton+jets	$\sigma(t\bar{t})$	0.9	PRL 100, 192004 (2008)	matrix method
D0	dilepton	$\sigma(t\bar{t})$, m_t	1.0	PLB 679 , 177 (2009)	anti-electron
D0	single top	$\sigma(t)$, t-ch	2.3	Fermilab-Pub-09/372-E	matrix method
CDF	lepton+jets	$\sigma(t\bar{t})$	2.7	Phys.Rev.D84:031101,2011	anti-electron
CDF	single top	$\sigma(t)$	3.2	Phys.Rev.D82:112005,2010	jet-electron anti-electron



Conclusion

Conclusion

- QCD-multijet Background estimation is very important for precision top analysis
- In top analysis the data-driven methods are used to estimate QCD backgrounds
 - matrix method, templates fit method with jet-electron QCD model or anti-electron/muon QCD model
- Systematics estimation of QCD background usually comes from cross checks of alternative approaches in the method
- QCD background estimation method well fit in current top physics analysis
- Could get more ideas on QCD background estimation from W/Z studies and SUSY studies



Back Up

Cross Check Method in Matrix Method

Iteration Method for ϵ_{fake}

- Use multijet enriched control sample $E_T^{miss} < 10$ GeV

$$\epsilon_{fake}^0 = \left(\frac{N^{tight}}{N^{loose}} \right)_{E_T^{miss} < 10}$$

$$N_{fake0}^{tight} = \frac{\epsilon_{fake}^0}{\epsilon_{real} - \epsilon_{fake}^0} (N^{loose} \epsilon_{real} - N^{tight})$$

$$k_{W/Z}^0 = \frac{N^{tight} - N_{fake}^{0,tight} - N_{\bar{t}t,MC}^{tight} - N_{t,MC}^{tight}}{N_{W+jets,MC}^{tight} + N_{Z+jets,MC}^{tight}}$$

$$\epsilon_{fake}^i = \left(\frac{N^{tight} - k_{W/Z}^{i-1} (N_{W+jets,MC}^{tight} + N_{Z+jets,MC}^{tight}) - N_{\bar{t}t,MC}^{tight}}{N^{loose} - k_{W/Z}^{i-1} (N_{W+jets,MC}^{loose} + N_{Z+jets,MC}^{loose}) - N_{\bar{t}t,MC}^{loose}} \right)_{E_T^{miss} < 10}$$

