

# Quantum Chromodynamics (QCD)

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# The plan for my four lectures

## □ The Goal:

To understand the strong interaction dynamics, and hadron structure, in terms of Quantum Chromo-dynamics (QCD)

## □ The Plan (approximately):

Fundamentals of QCD, factorization, evolution,  
and elementary hard processes

Two lectures

Role of QCD in high energy collider phenomenology

One lecture

QCD and hadron structure and properties

One lecture

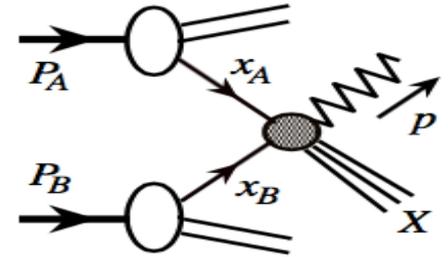
# Summary of lecture two

- ❑ PQCD factorization approach is mature, and has been extremely successful in predicting and interpreting high energy scattering data with momentum transfer  $> 2 \text{ GeV}$
- ❑ NLO calculations are available for most observables, NNLO are becoming available for the search of new physics
- ❑ Direct photon data are still puzzling and challenging, has a good potential for extracting the gluon distribution
- ❑ NLO PDFs are very stable now, and NNLO PDFs are becoming available
- ❑ Multi-scale observables could be valuable for new physics search – new factorization formalism, resummation, ...

# A complete example – “Drell-Yan”

## □ Heavy boson production in hadronic collisions:

$$A(P_A) + B(P_B) \rightarrow V[\gamma^*, W/Z, H^0, \dots](p) + X$$



✧ **Cross section with single hard scale:**  $p_T \sim M_V$

$$\frac{d\sigma_{AB \rightarrow V}}{dy dp_T^2}(p_T \sim M_V), \quad \frac{d\sigma_{AB \rightarrow V}}{dy}(M_V), \quad \sigma_{AB \rightarrow V}(M_V)$$

$$\sigma_{AB \rightarrow V}(M_V) = \sum_{ff'} \int dx_A f(x_A, \mu^2) \int dx_B f(x_B, \mu^2) \hat{\sigma}_{ff' \rightarrow V}(x_A, x_B, \alpha_s(\mu); M_V)$$

– Fixed order pQCD calculation

✧ **Cross section with two different hard scales:**

$$\frac{d\sigma_{AB \rightarrow V}}{dy dp_T^2}(p_T \gg M_V) \quad \text{– Resummation of single logarithms:}$$

$$\alpha_s^n \ln^n(p_T^2/M_V^2)$$

$$\frac{d\sigma_{AB \rightarrow V}}{dy dp_T^2}(p_T \ll M_V) \quad \text{– Resummation of double logarithms:}$$

$$\alpha_s^n \ln^{2n}(M_V^2/p_T^2)$$

*Same discussions apply to production of Higgs, and other heavy particles*

# Total cross section – single hard scale

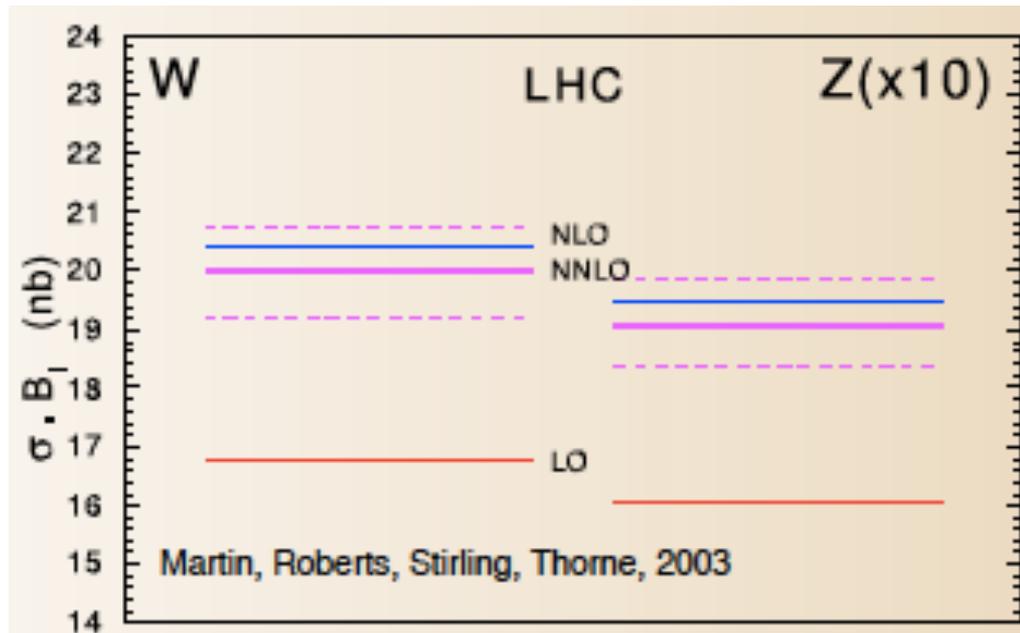
## □ Partonic hard parts:

$$\hat{\sigma}(\alpha_s, \mu_F, \mu_R) = [\alpha_s(\mu_R)]^{n_\alpha} \left[ \underbrace{\hat{\sigma}^{(0)}}_{\text{LO}} + \frac{\alpha_s}{2\pi} \underbrace{\hat{\sigma}^{(1)}}_{\text{NLO}}(\mu_F, \mu_R) + \left(\frac{\alpha_s}{2\pi}\right)^2 \underbrace{\hat{\sigma}^{(2)}}_{\text{NNLO}}(\mu_F, \mu_R) + \dots \right]$$

## □ NNLO total x-section $\sigma(AB \rightarrow W, Z)$ :

(Hamberg, van Neerven, Matsuura; Harlander, Kilgore 1991)

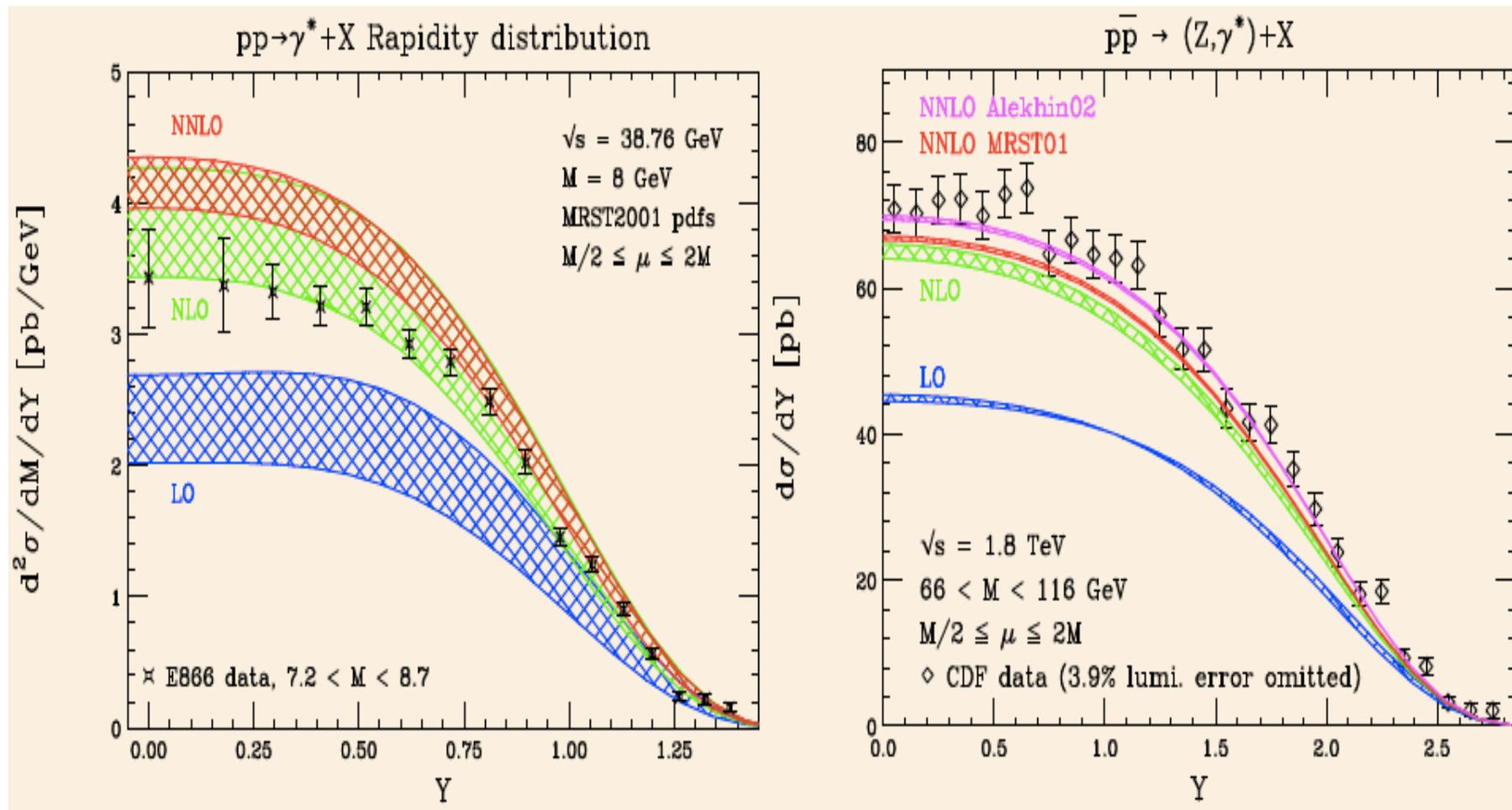
- ✧ Scale dependence:  
a few percent
- ✧ NNLO K-factor is about  
0.98 for LHC data, 1.04  
for Tevatron data



# Rapidity distribution – single hard scale

□ NNLO differential cross-section:

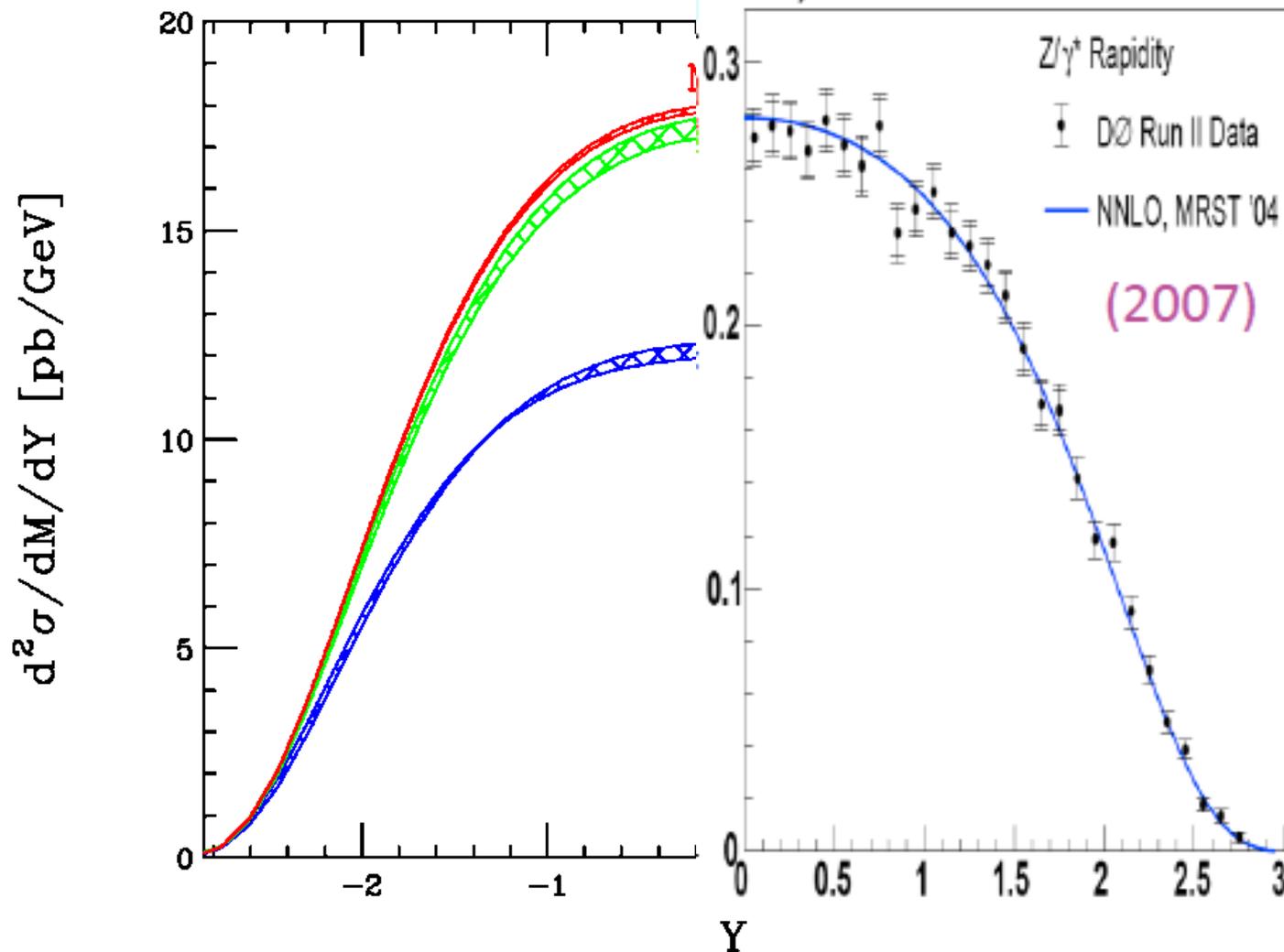
Anastasiou, Dixon, Melnikov, Petriello, 2003-05



# Rapidity distribution – single hard scale

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Anastasiou, Dixon, Melnikov, Petriello, 2003-05

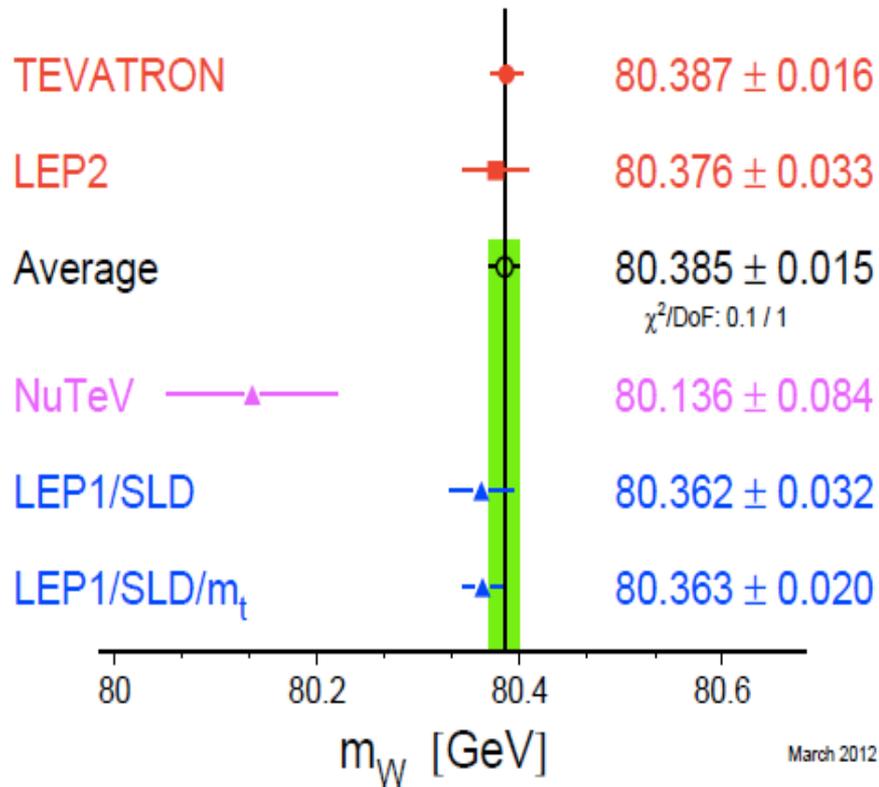


# Determination of mass and width

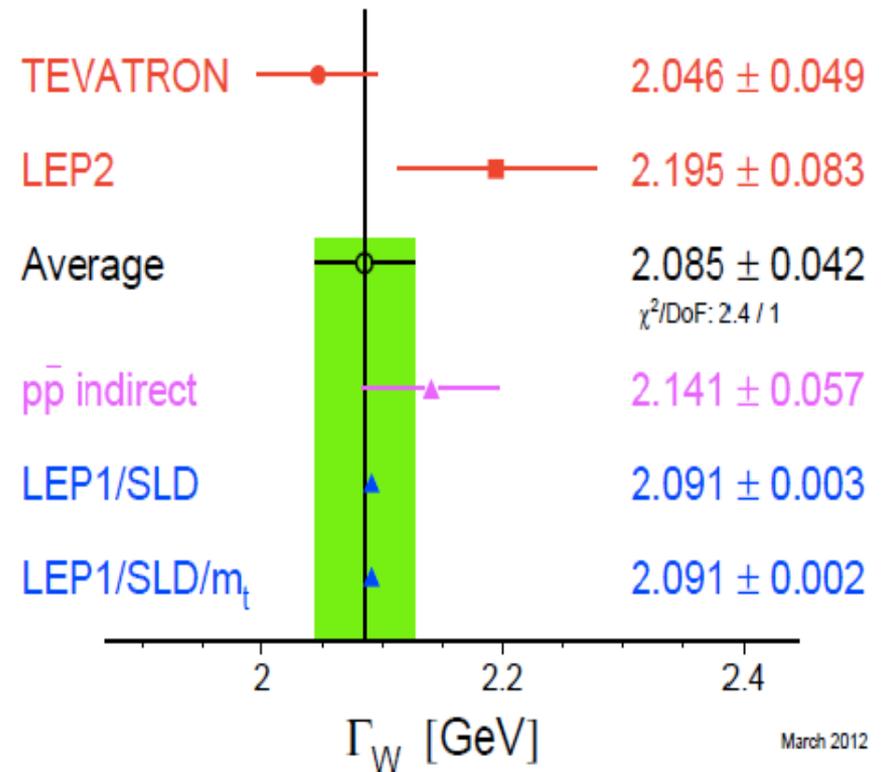
*Fernando Febres Cordero, CTEQ SS2012*

## □ **W mass & width:**

W-Boson Mass [GeV]



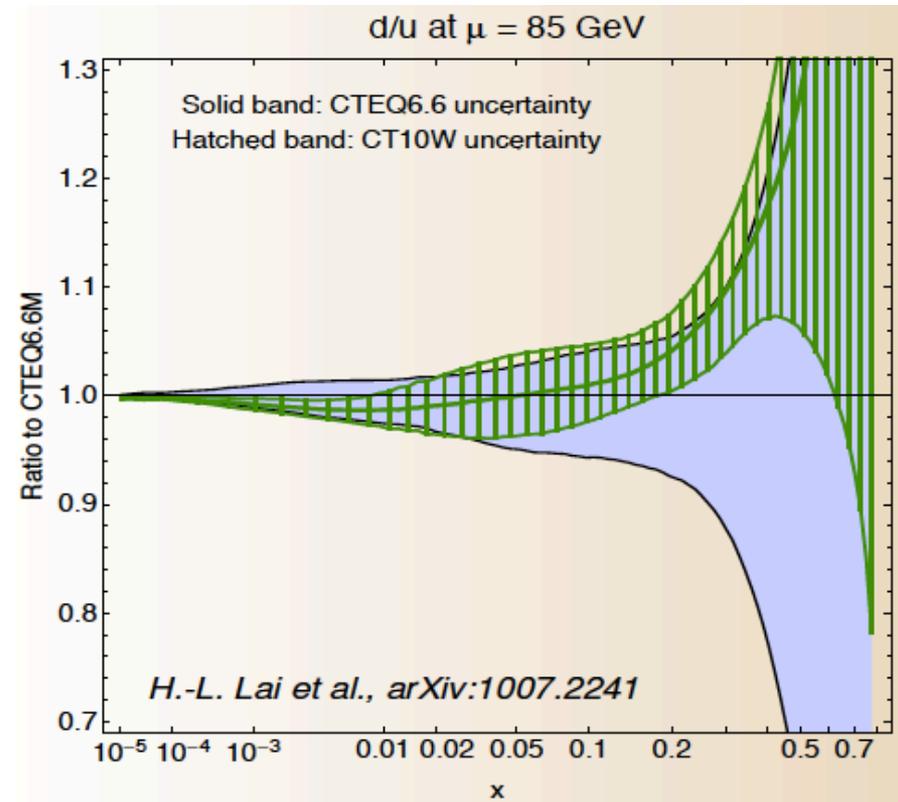
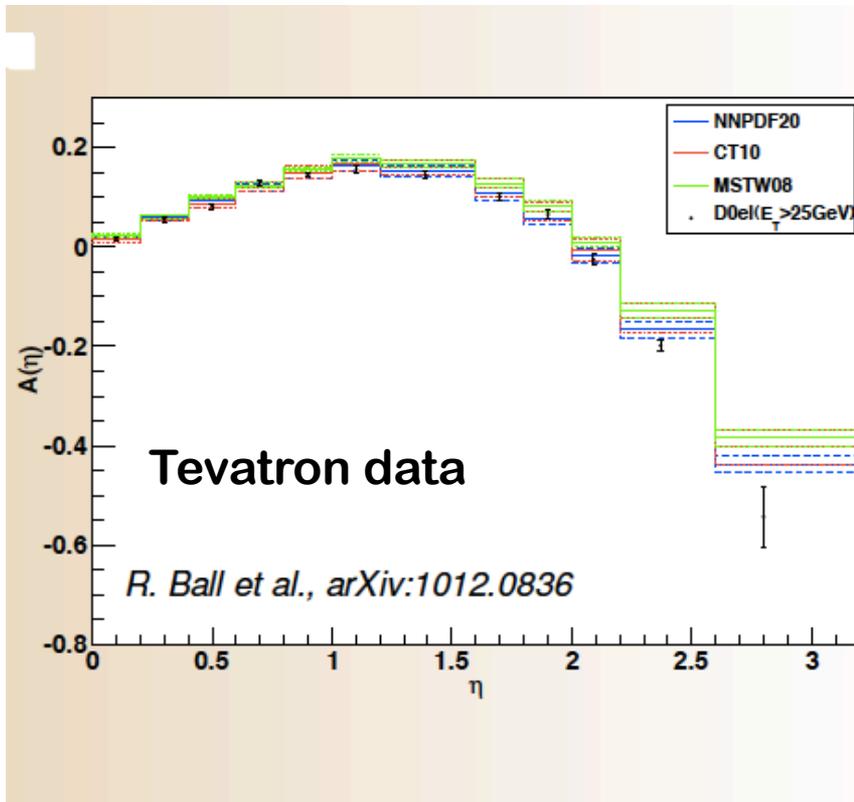
W-Boson Width [GeV]



# Charge asymmetry – single hard scale

□ **Charged lepton asymmetry:**  $y \rightarrow y_{\max}$

$$A_{ch}(y_e) = \frac{d\sigma^{W^+}/dy_e - d\sigma^{W^-}/dy_e}{d\sigma^{W^+}/dy_e + d\sigma^{W^-}/dy_e} \rightarrow \frac{d(x_B, M_W)/u(x_B, M_W) - d(x_A, M_W)/u(x_A, M_W)}{d(x_B, M_W)/u(x_B, M_W) + d(x_A, M_W)/u(x_A, M_W)}$$



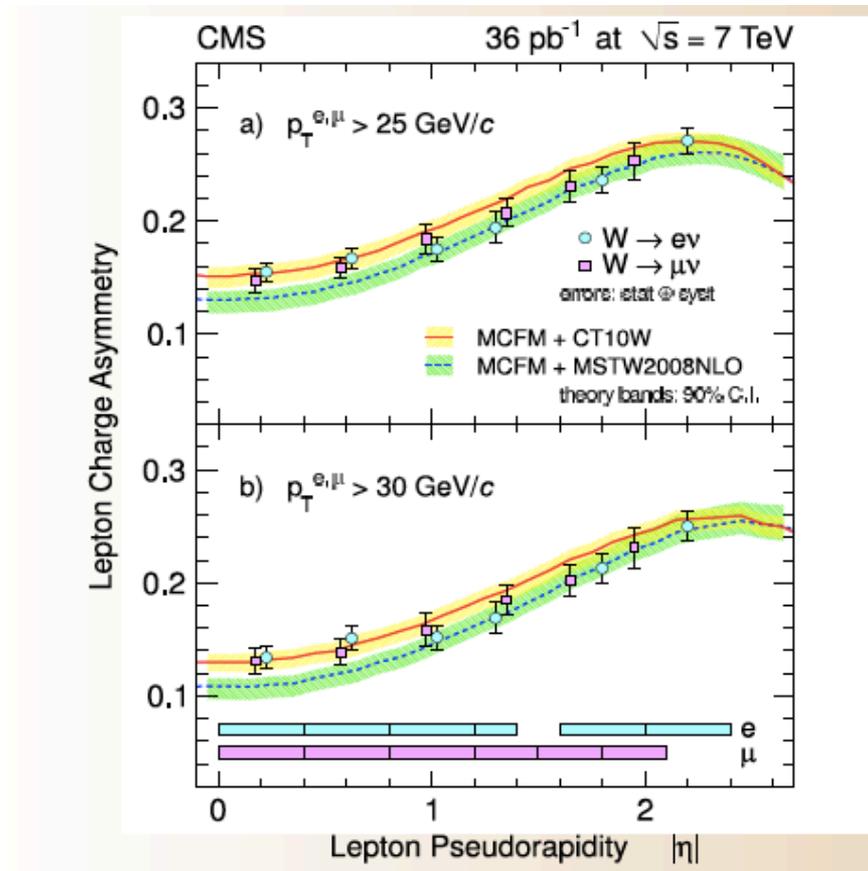
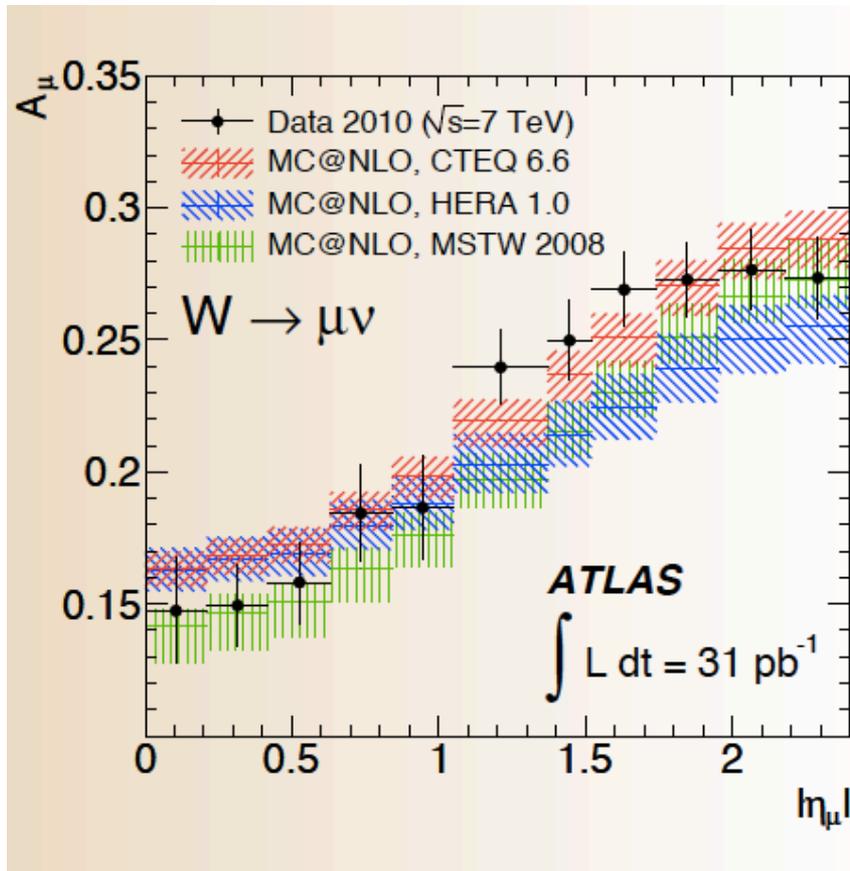
The  $A_{ch}$  data distinguish between the PDF models,  
 reduce the PDF uncertainty

D0 – W charge asymmetry

# Charge asymmetry – single hard scale

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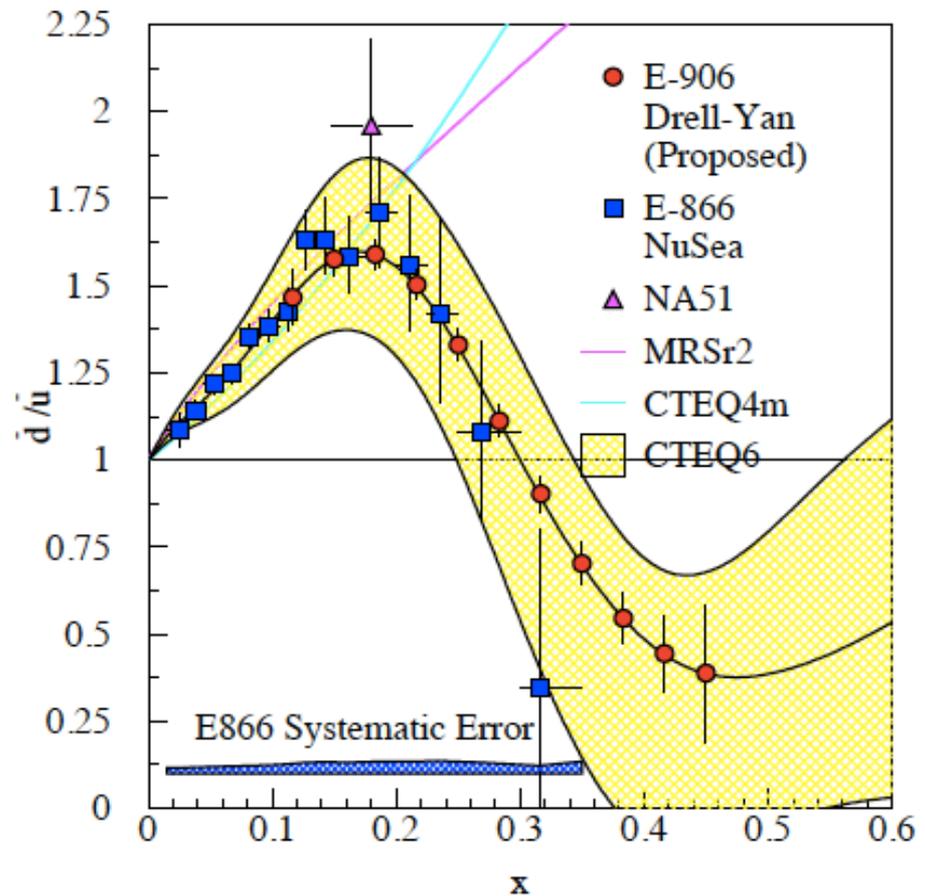
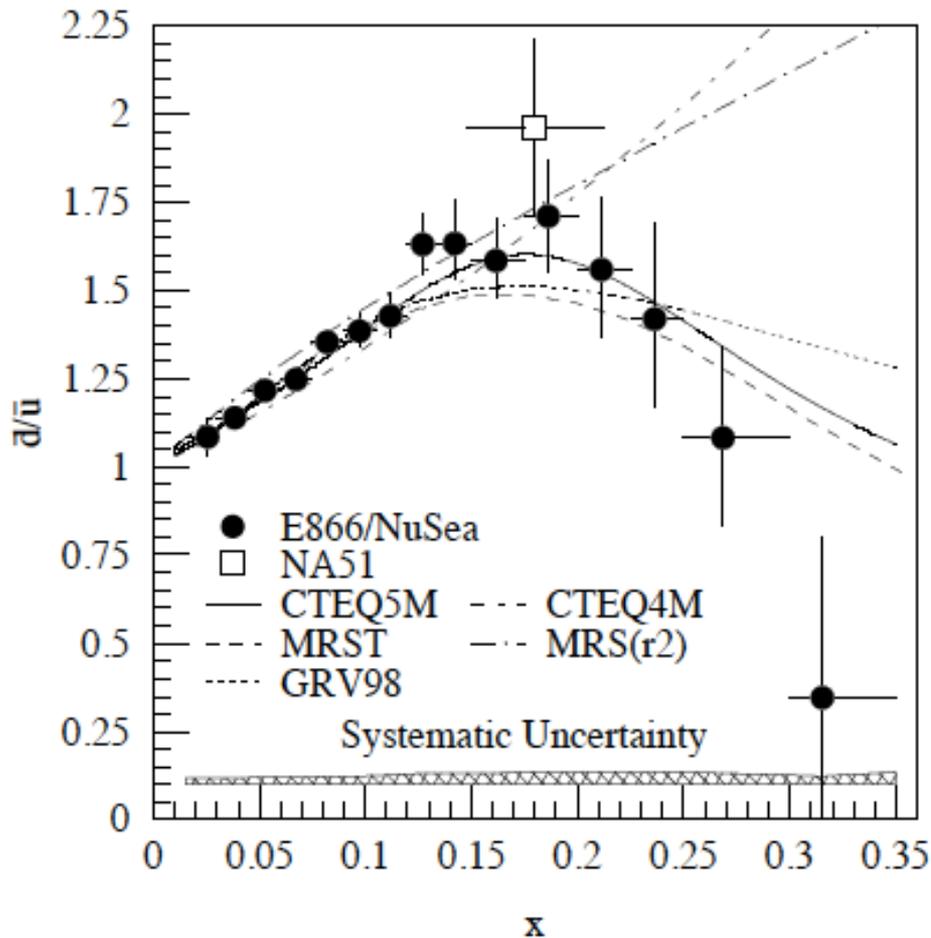


**Sensitive both to d/u at  $x > 0.1$  and u/d at  $x \sim 0.01$**

# Flavor asymmetry – single hard scale

## □ Flavor asymmetry of the sea:

$$\sigma_{DY}(p+d)/2\sigma_{DY}(p+p) \simeq [1 + \bar{d}(x)/\bar{u}(x)] / 2.$$

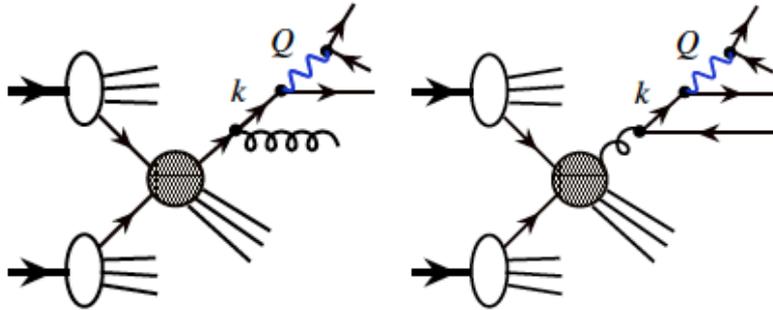


*Could QCD allow  $\bar{u}(x) > \bar{d}(x)$ ?*

# $P_T$ -distribution ( $P_T \gg M$ ) – two hard scales

□  $P_T$ -distribution – factorizable if  $M \gg \Lambda_{\text{QCD}}$ :

$$\frac{d\sigma_{AB}}{dy dp_T^2 dQ^2} = \sum_{a,b} \int dx_a f_{a/A}(x_a) \int dx_b f_{b/B}(x_b) \frac{d\hat{\sigma}_{ab}}{dy dp_T^2 dQ^2}(x_a, x_b, \alpha_s)$$

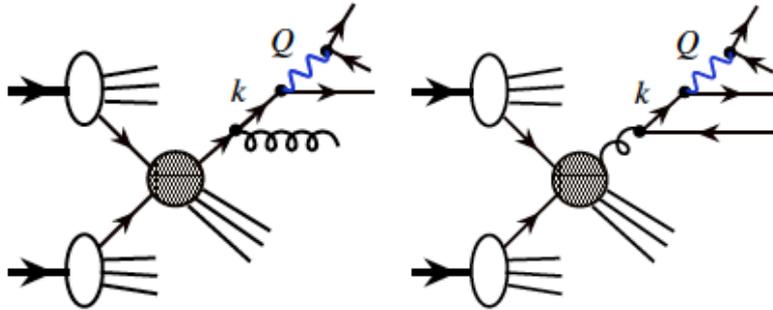


$$\begin{aligned} \hat{\sigma}^F / \hat{\sigma}_f^{\text{LO}} &\sim \frac{\alpha_s(\mu)}{2\pi} P_{f \rightarrow q}(z) \int_{k_{\min}^2}^{k_{\max}^2} \frac{dk^2}{k^2} \\ &\sim \frac{\alpha_s(\mu)}{2\pi} \ln \left( \frac{p_T^2}{Q^2} \right) \end{aligned}$$

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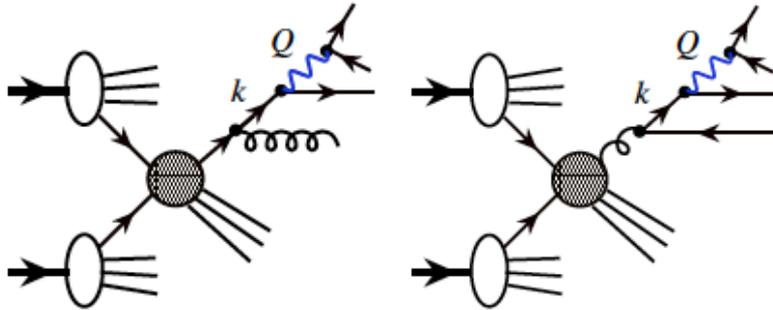
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How big is the logarithmic contribution?

# $P_T$ -distribution ( $P_T \gg M$ ) – two hard scales

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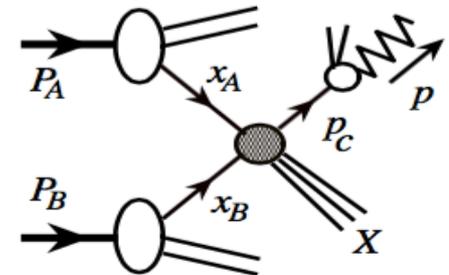
How big is the logarithmic contribution?

□ Improved factorization:

$$\frac{d\sigma_{AB \rightarrow V(Q)X}}{dp_T^2 dy} \equiv \frac{d\sigma_{AB \rightarrow V(Q)X}^{\text{Dir}}}{dp_T^2 dy} + \frac{d\sigma_{AB \rightarrow V(Q)X}^{\text{Frag}}}{dp_T^2 dy}$$

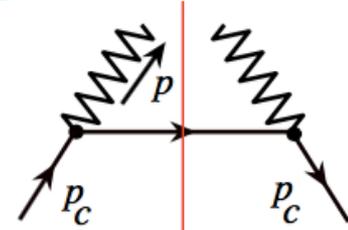
$$\frac{d\sigma_{AB \rightarrow V(Q)X}^{\text{Frag}}}{dp_T^2 dy} = \sum_{a,b,c} \int dx_1 f_a^A(x_1, \mu) \int dx_2 f_b^B(x_2, \mu)$$

$$\times \int \frac{dz}{z^2} \left[ \frac{d\hat{\sigma}_{ab \rightarrow cX}^{\text{Frag}}}{dp_{cT}^2 dy}(x_1, x_2, p_c; \mu_D) \right] D_{c \rightarrow V}(z, \mu_D^2; Q^2)$$



# $P_T$ -distribution ( $P_T \gg M$ ) – two hard scales

## Fragmentation functions of elementary particles:



$$D_{g \rightarrow V}^{(0)}(z, \mu_D^2; Q^2) = 0$$

$$D_{q \rightarrow V}^{(0)}(z, \mu_D^2; Q^2) = \frac{(|g_L^{Vq}|^2 + |g_R^{Vq}|^2)}{2} \left( \frac{\alpha_{em}}{2\pi} \right) \left[ \frac{1 + (1-z)^2}{z} \ln \left( \frac{z\mu_D^2}{Q^2} \right) - z \left( 1 - \frac{Q^2}{z\mu_D^2} \right) \right]$$

## Evolution equations:

$$\mu_D^2 \frac{d}{d\mu_D^2} D_{c \rightarrow V}(z, \mu_D^2; Q^2) = \left( \frac{\alpha_{em}}{2\pi} \right) \gamma_{c \rightarrow V}(z, \mu_D^2, \alpha_s; Q^2) + \left( \frac{\alpha_s}{2\pi} \right) \sum_d \int_z^1 \frac{dz'}{z'} P_{c \rightarrow d} \left( \frac{z}{z'}, \alpha_s \right) D_{d \rightarrow V}(z', \mu_D^2; Q^2)$$

$$D_{c \rightarrow V}(z, \mu_D^2 \leq Q^2/z; Q^2) = 0$$

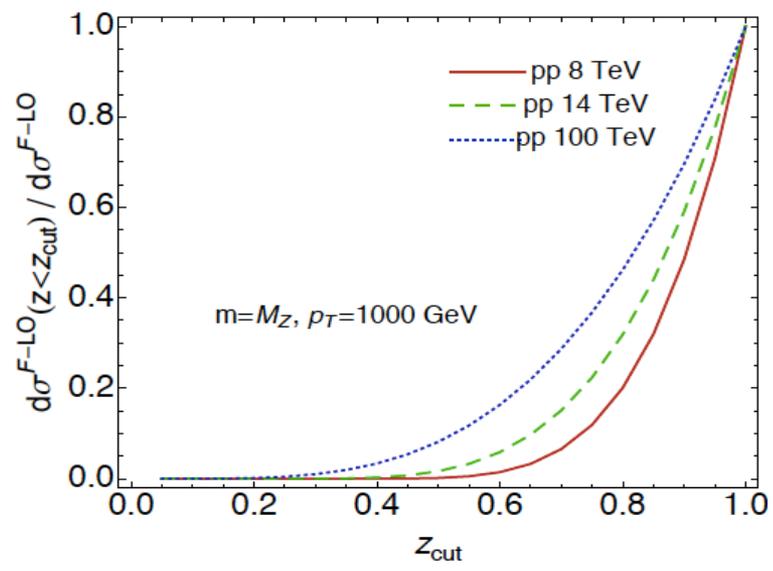
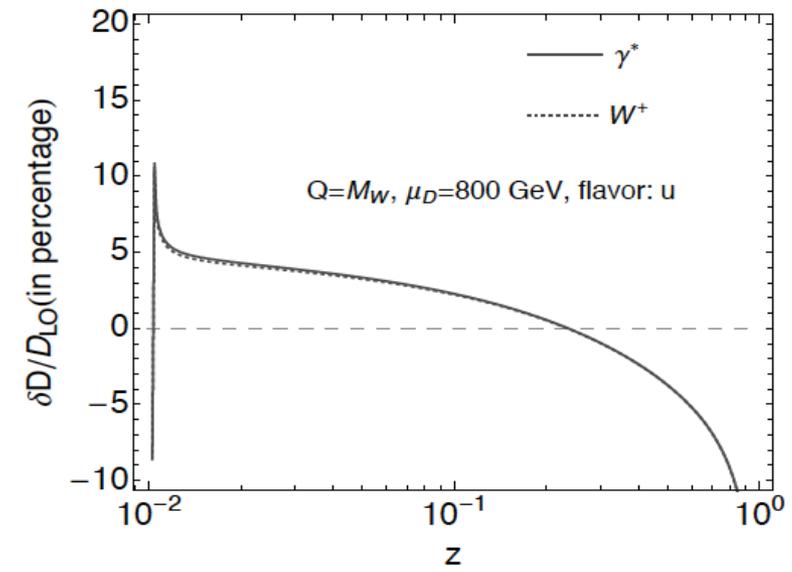
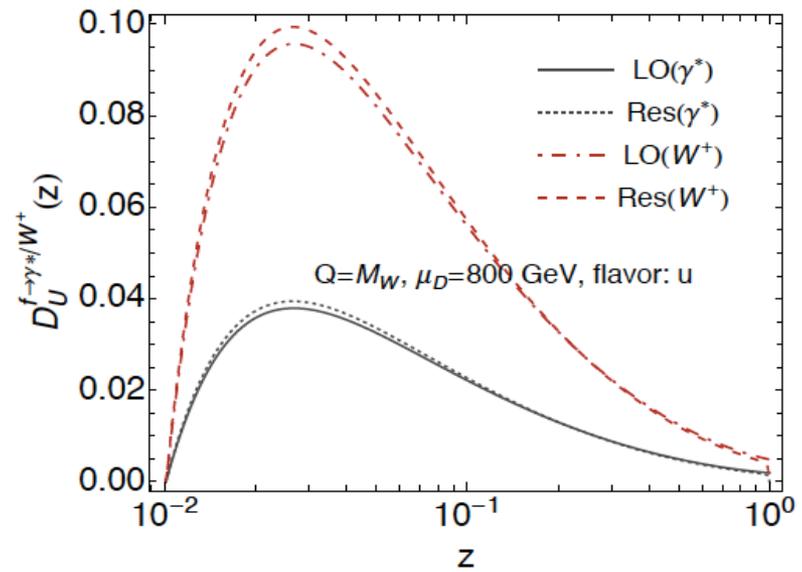
## Evolution kernels:

$$\gamma_{q \rightarrow V}^{(0)}(z, k^2; Q^2) = \frac{(|g_L^{Vq}|^2 + |g_R^{Vq}|^2)}{2} \left[ \frac{1 + (1-z)^2}{z} - z \left( \frac{Q^2}{zk^2} \right) \right] \theta(k^2 - \frac{Q^2}{z})$$

$$\gamma_{g \rightarrow V}^{(0)}(z, k^2; Q^2) = 0$$

If  $Q \gg \Lambda_{\text{QCD}}$ , reorganization of perturbative expansion to remove all logarithms of hard parts

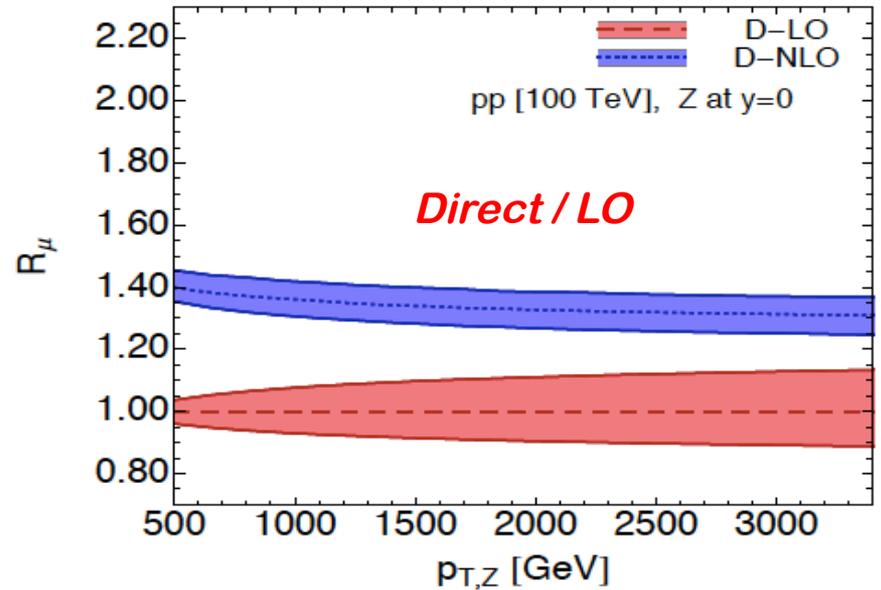
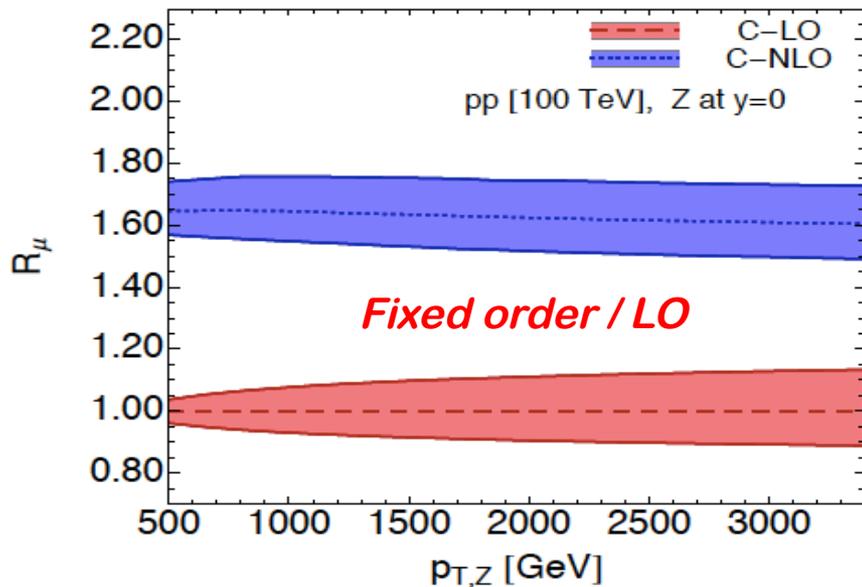
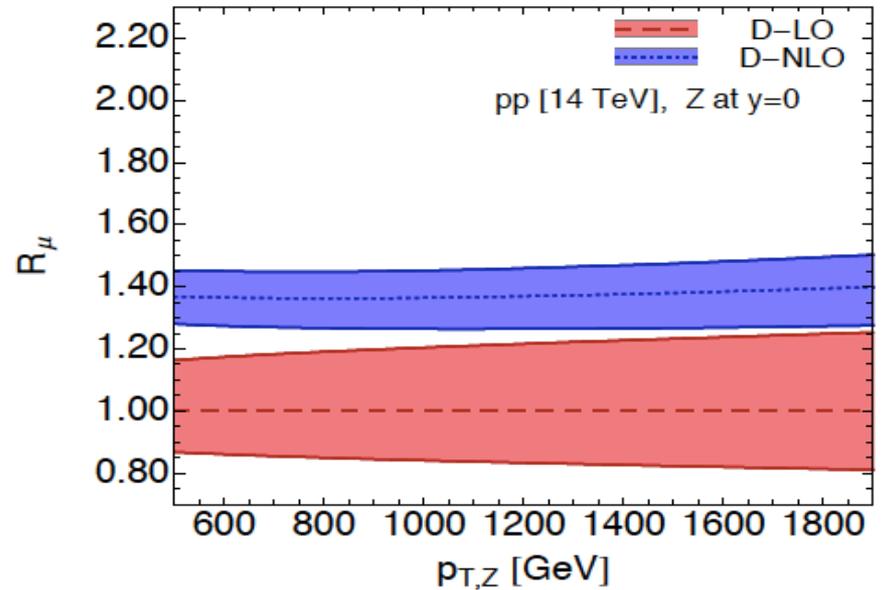
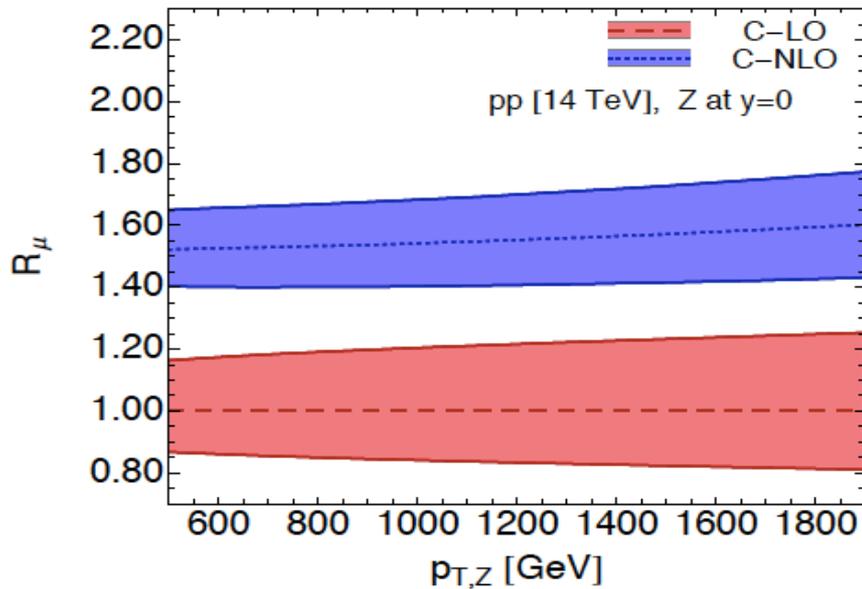
# $P_T$ -distribution ( $P_T \gg M$ ) – two hard scales



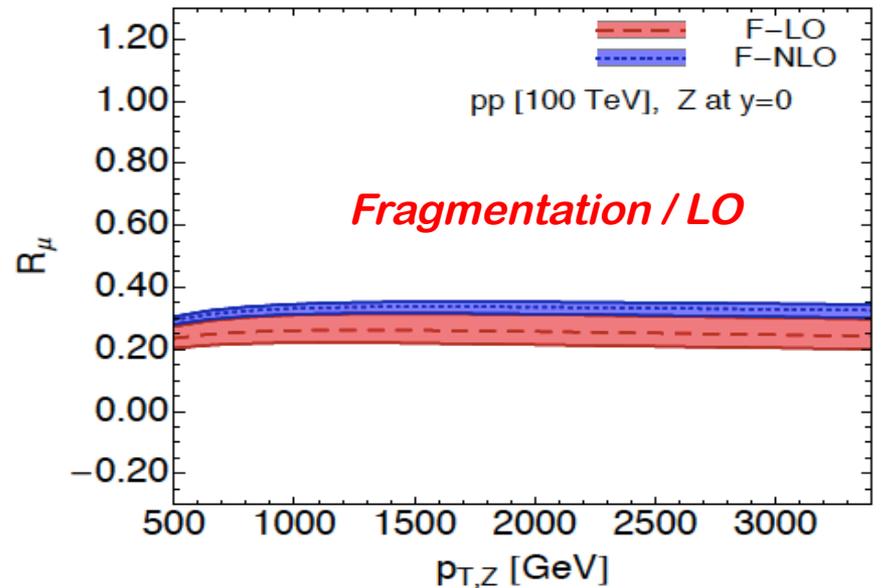
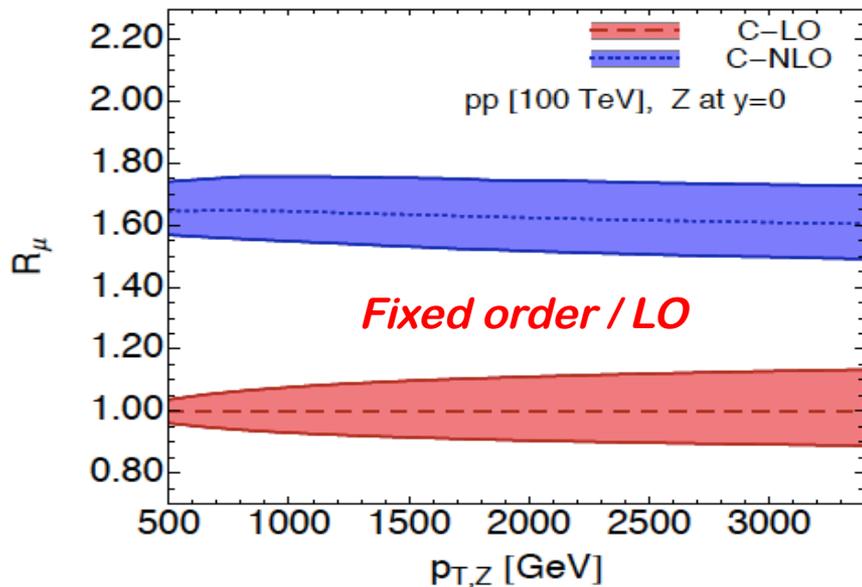
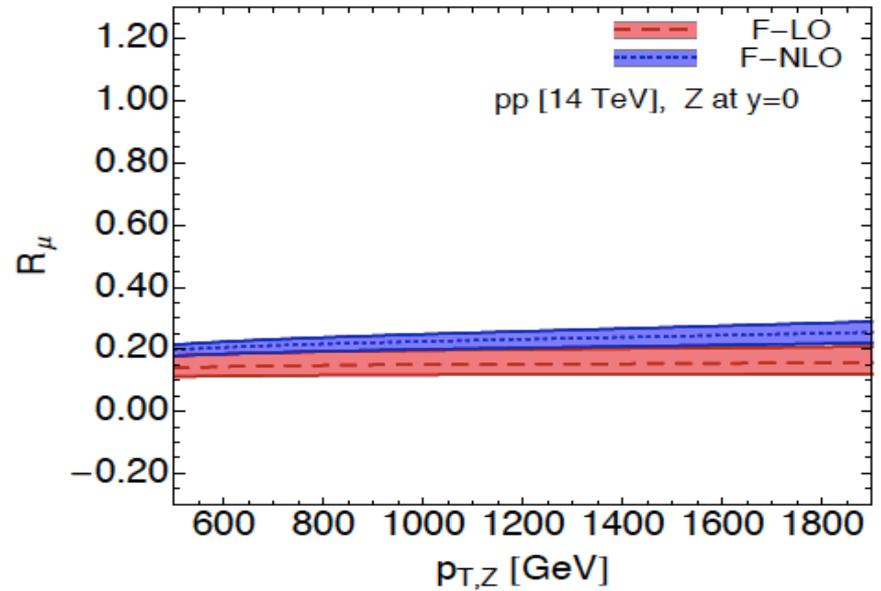
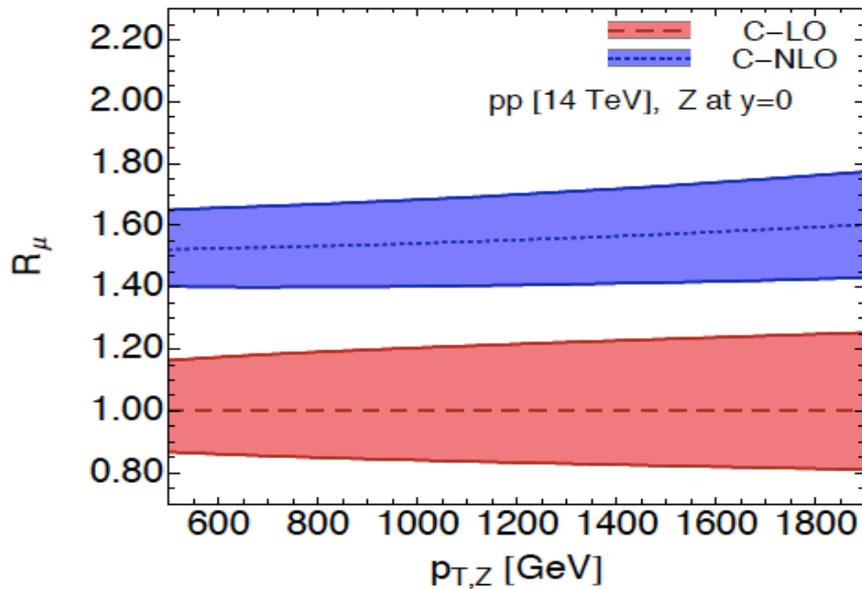
$\sigma_{p_T > 800 \text{ GeV}}$	VB	C-LO	C-NLO	NLO[modified]
13 TeV [fb]	Z	74.1	$117.4^{+12.0}_{-11.5}$	$120.5^{+9.2}_{-10.4}$
	$W^+$	126.2	$199.4^{+20.1}_{-19.3}$	$204.4^{+15.4}_{-17.3}$
	$W^-$	55.8	$90.2^{+9.6}_{-9.2}$	$92.7^{+7.4}_{-8.2}$
100 TeV [pb]	Z	11.48	$19.68^{+1.53}_{-1.30}$	$20.16^{+1.00}_{-0.98}$
	$W^+$	15.08	$26.23^{+2.14}_{-1.79}$	$26.86^{+1.41}_{-1.35}$
	$W^-$	10.50	$18.18^{+1.47}_{-1.23}$	$18.61^{+0.96}_{-0.92}$

**Fragmentation logs are under control!**

# $P_T$ -distribution ( $P_T \gg M$ ) – two hard scales

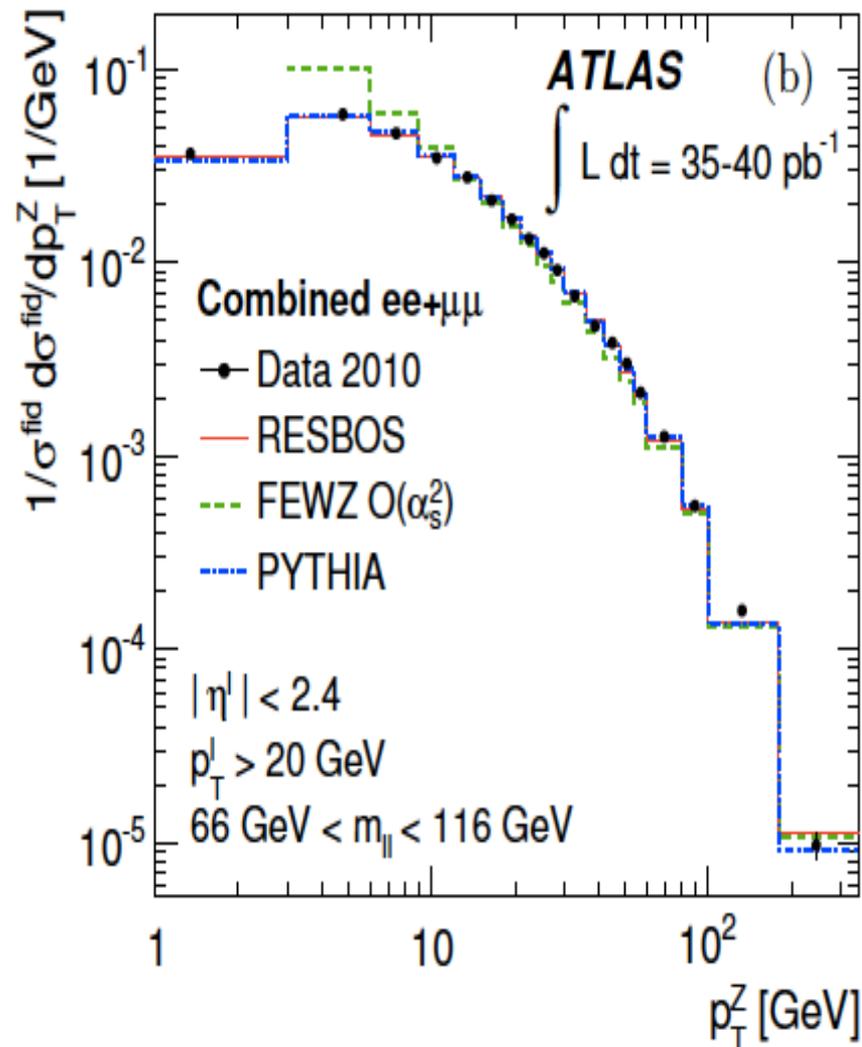
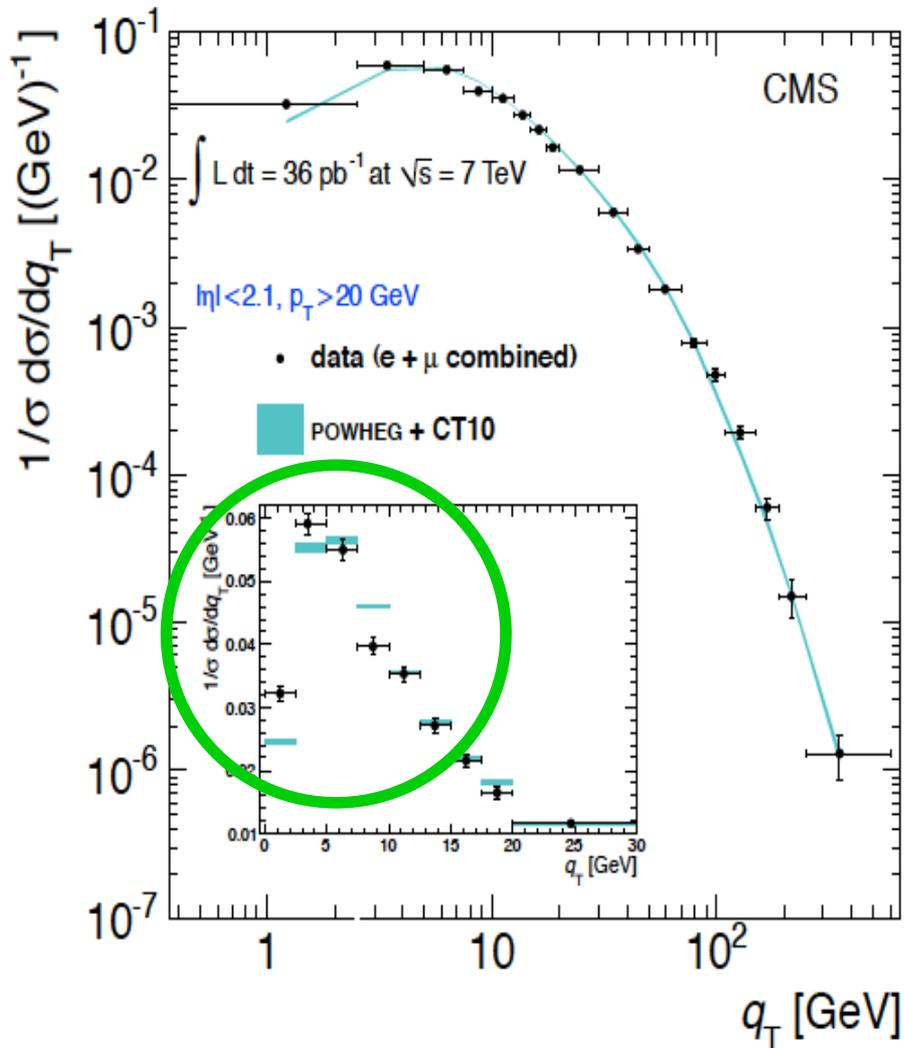


# $P_T$ -distribution ( $P_T \gg M$ ) – two hard scales



# $P_T$ -distribution ( $P_T \ll M$ ) – two scales

## □ $Z^0$ -PT distribution in pp collisions:



$P_T$  as low as  $[0, 2.5] \text{ GeV}$  bin (or about 1.25 GeV)

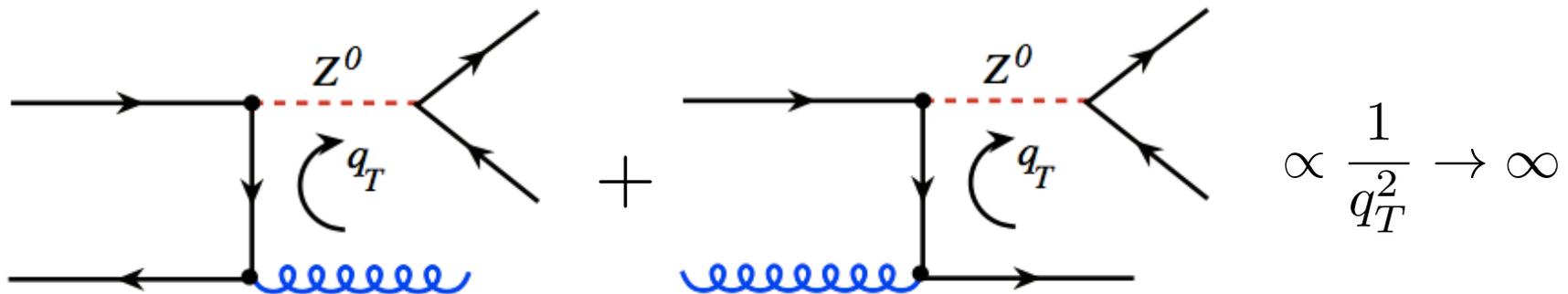
# $P_T$ -distribution ( $P_T \ll M$ ) – two scales

- Interesting region – where the most data are:

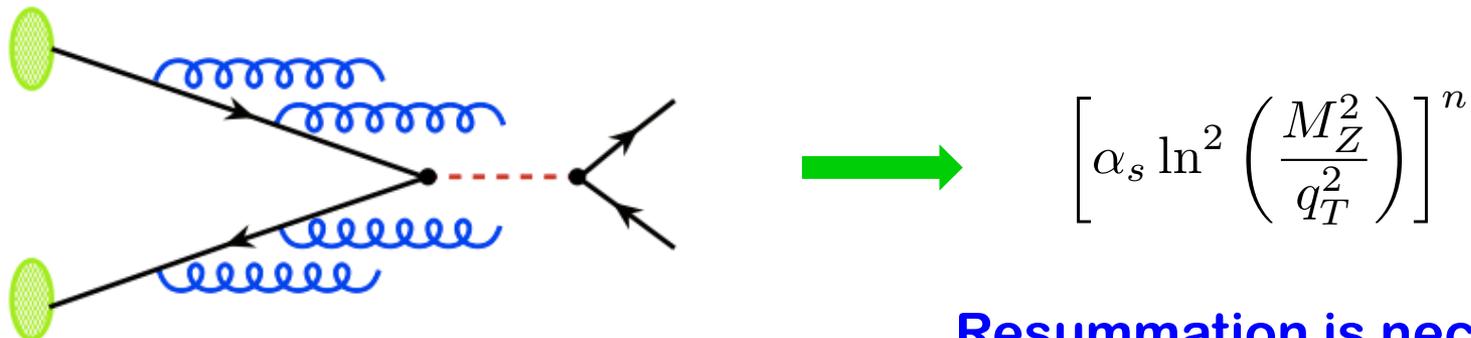
$$P_T \ll M_Z \sim 91 \text{ GeV}$$

Two observed, but, very different scales

- Fixed order pQCD calculation is not stable!



- Large logarithmic contribution from gluon shower:



Resummation is necessary!

# Cross section with two scales – resummation

$$Q_1^2 \gg Q_2^2 \gg \Lambda_{\text{QCD}}^2, \quad Q_1^2 \gg Q_2^2 \gtrsim \Lambda_{\text{QCD}}^2$$

## □ Large perturbative logarithms:

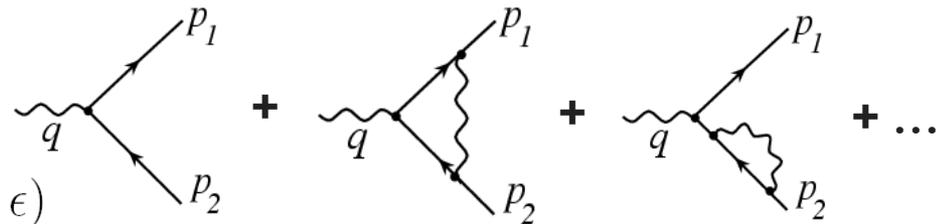
$\alpha_s(\mu^2 = Q_1^2)$  is small, But,  $\alpha_s(Q_1^2) \ln(Q_1^2/Q_2^2)$  is not necessary small!

## □ Massless theory:

Two powers of large logs for each order in perturbation theory

$\alpha_s(Q_1^2) \ln^2(Q_1^2/Q_2^2)$  due to overlap of IR and CO regions

## □ Example – EM form factor:



$$\Gamma_\mu(q^2, \epsilon) = -ie\mu^\epsilon \bar{u}(p_1)\gamma_\mu v(p_2) \rho(q^2, \epsilon)$$

$$\rho(q^2, \epsilon) = -\frac{\alpha_s}{2\pi} C_F \left( \frac{4\pi\mu^2}{-q^2 - i\epsilon} \right)^\epsilon \frac{\Gamma^2(1-\epsilon)\Gamma(1+\epsilon)}{\Gamma(1-2\epsilon)} \left\{ \frac{1}{(-\epsilon)^2} - \frac{3}{2(-\epsilon)} + 4 \right\}$$

$$= 1 - \frac{\alpha_s}{4\pi} C_F \ln^2(q^2/\mu^2) + \dots$$

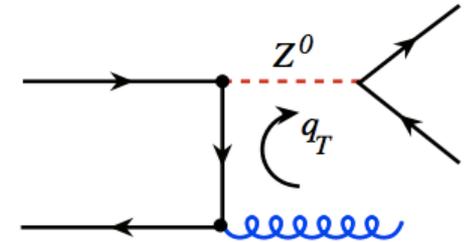
**Sudakov double logarithms**

**Common to all massless theories**

# “Drell-Yan” - leading double log contribution

## LO Differential $Q_T$ -distribution as $Q_T \rightarrow 0$ :

$$\frac{d\sigma}{dydQ_T^2} \Big|_{\text{LO}} \approx \left( \frac{d\sigma}{dy} \right)_{\text{Born}} \times 2C_F \left( \frac{\alpha_s}{\pi} \right) \frac{\ln(Q^2/Q_T^2)}{Q_T^2} \Rightarrow \infty$$



➔

$$\int_0^{Q^2} \frac{d\sigma}{dydQ_T^2} \Big|_{\text{real+virtual}} dQ_T^2 \approx \left( \frac{d\sigma}{dy} \right)_{\text{Born}} + O(\alpha_s) \quad \text{with } Q^2 \approx M_Z^2$$

## Integrated $Q_T$ -distribution:

$$\int_0^{Q_T^2} \frac{d\sigma}{dydp_T^2} \Big|_{\text{real+virtual}} dp_T^2 \equiv \left[ \int_0^{Q^2} - \int_{Q_T^2}^{Q^2} \right] \frac{d\sigma}{dydp_T^2} \Big|_{\text{real+virtual}} dp_T^2$$

$$\approx \left( \frac{d\sigma}{dy} \right)_{\text{Born}} \times \left[ 1 - \int_{Q_T^2}^{Q^2} 2C_F \frac{\alpha_s}{\pi} \frac{\ln(Q^2/p_T^2)}{p_T^2} dp_T^2 \right] = \left( \frac{d\sigma}{dy} \right)_{\text{Born}} \times \left[ 1 - C_F \frac{\alpha_s}{\pi} \ln^2(Q^2/Q_T^2) \right]$$

Effect of gluon emission

↓

Assume this exponentiates

$$\approx \left( \frac{d\sigma}{dy} \right)_{\text{Born}} \times \exp \left[ -C_F \frac{\alpha_s}{\pi} \ln^2(Q^2/Q_T^2) \right]$$

# Resummed $Q_T$ distribution

□ Differentiate the integrated  $Q_T$ -distribution:

$$\frac{d\sigma}{dy dQ_T^2} \approx \left( \frac{d\sigma}{dy} \right)_{\text{Born}} \times 2C_F \left( \frac{\alpha_s}{\pi} \right) \frac{\ln(Q^2/Q_T^2)}{Q_T^2} \times \exp \left[ -C_F \left( \frac{\alpha_s}{\pi} \right) \ln^2(Q^2/Q_T^2) \right] \Rightarrow 0$$

as  $Q_T \rightarrow 0$

# Resummed $Q_T$ distribution

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$$\frac{d\sigma}{dydQ_T^2} \approx \left( \frac{d\sigma}{dy} \right)_{\text{Born}} \times 2C_F \left( \frac{\alpha_s}{\pi} \right) \frac{\ln(Q^2/Q_T^2)}{Q_T^2} \times \exp \left[ -C_F \left( \frac{\alpha_s}{\pi} \right) \ln^2(Q^2/Q_T^2) \right] \Rightarrow 0$$

as  $Q_T \rightarrow 0$

□ Compare to the explicit LO calculation:

$$\frac{d\sigma}{dydQ_T^2} \approx \left( \frac{d\sigma}{dy} \right)_{\text{Born}} \times 2C_F \left( \frac{\alpha_s}{\pi} \right) \frac{\ln(Q^2/Q_T^2)}{Q_T^2} \Rightarrow \infty$$

$Q_T$ -spectrum (as  $Q_T \rightarrow 0$ ) is completely changed!

# Resummed $Q_T$ distribution

- Differentiate the integrated  $Q_T$ -distribution:

$$\frac{d\sigma}{dydQ_T^2} \approx \left( \frac{d\sigma}{dy} \right)_{\text{Born}} \times 2C_F \left( \frac{\alpha_s}{\pi} \right) \frac{\ln(Q^2/Q_T^2)}{Q_T^2} \times \exp \left[ -C_F \left( \frac{\alpha_s}{\pi} \right) \ln^2(Q^2/Q_T^2) \right] \Rightarrow 0$$

as  $Q_T \rightarrow 0$

- Compare to the explicit LO calculation:

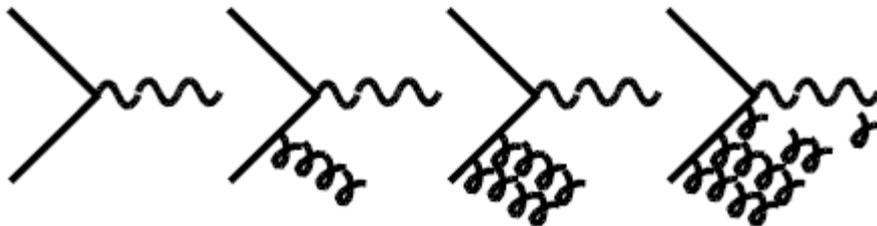
$$\frac{d\sigma}{dydQ_T^2} \approx \left( \frac{d\sigma}{dy} \right)_{\text{Born}} \times 2C_F \left( \frac{\alpha_s}{\pi} \right) \frac{\ln(Q^2/Q_T^2)}{Q_T^2} \Rightarrow \infty$$

$Q_T$ -spectrum (as  $Q_T \rightarrow 0$ ) is completely changed!

- We just resummed (exponentiated) an infinite series of soft gluon emissions – double logarithms

$$e^{-\alpha_s L^2} \approx 1 - \alpha_s L^2 + \frac{(\alpha_s L^2)^2}{2!} - \frac{(\alpha_s L^2)^3}{3!} + \dots$$

$$L \propto \ln(Q^2/Q_T^2)$$



Soft gluon emission treated as uncorrelated

# Still a wrong $Q_T$ -distribution

## □ Experimental fact:

$$\frac{d\sigma}{dydQ_T^2} \Rightarrow \text{finite [neither } \infty \text{ nor } 0!] \text{ as } Q_T \rightarrow 0$$

## □ Double Leading Logarithmic Approximation (DLLA):

- ✧ Radiated gluons are both soft and collinear with strong ordering in their transverse momenta
- ✧ Ignores the overall vector momentum conservation
- ✧ Double logs  $\sim$  random walk  $\sim$  zero probability to be  $Q_T = 0$

DLLA over suppress small  $Q_T$  region

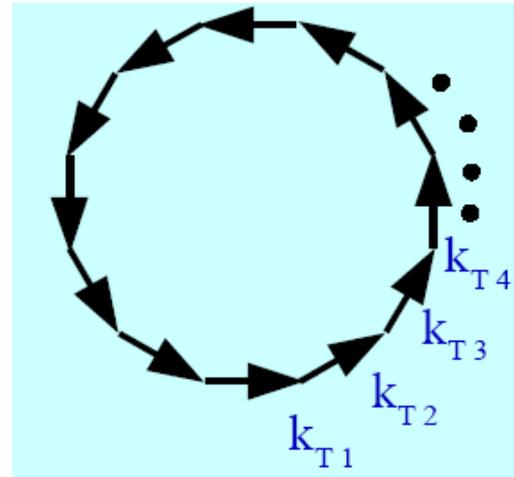
*Resummation of uncorrelated soft gluon emission  
leads to a too strong suppression at  $Q_T = 0$ !*

# Still a wrong $Q_T$ -distribution

## □ Why?

Particle can receive many finite  $k_T$  kicks via soft gluon radiation yet still have  $Q_T = 0$

– Need a vector sum!



□ Subleading logarithms are equally important at  $Q_T = 0$

□ Solution:

To impose the 4-momentum conservation at each step of soft gluon resummation

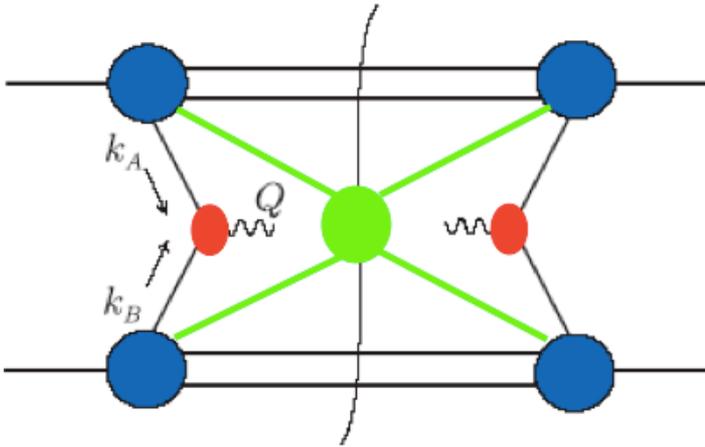


**TMD factorization**

# CSS b-space resummation formalism

Collins, Soper, Sterman, 1985

## □ TMD-factorized cross section:



$$\frac{d\sigma_{AB}}{dQ^2 dQ_T^2} = \sum_f \int d\xi_a d\xi_b \int \frac{d^2k_{A_T} d^2k_{B_T} d^2k_{s,T}}{(2\pi)^6}$$

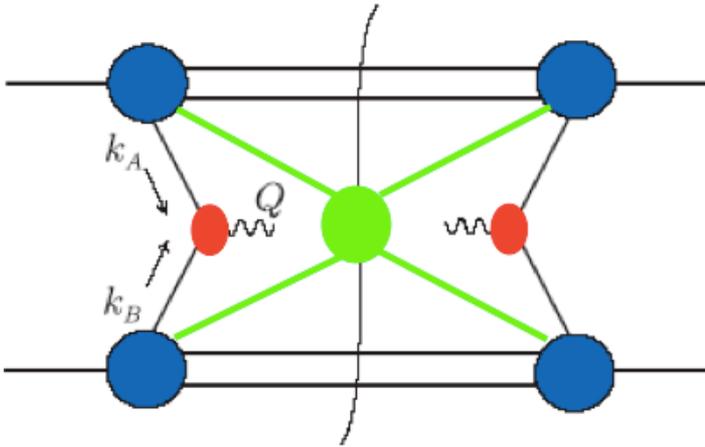
$$\times P_{f/A}(\xi_a, k_{A_T}) P_{\bar{f}/B}(\xi_b, k_{B_T}) H_{\bar{f}\bar{f}}(Q^2) S(k_{s,T})$$

$$\times \delta^2(\vec{Q}_T - \vec{k}_{A_T} - \vec{k}_{B_T} - \vec{k}_{s,T})$$

# CSS b-space resummation formalism

Collins, Soper, Sterman, 1985

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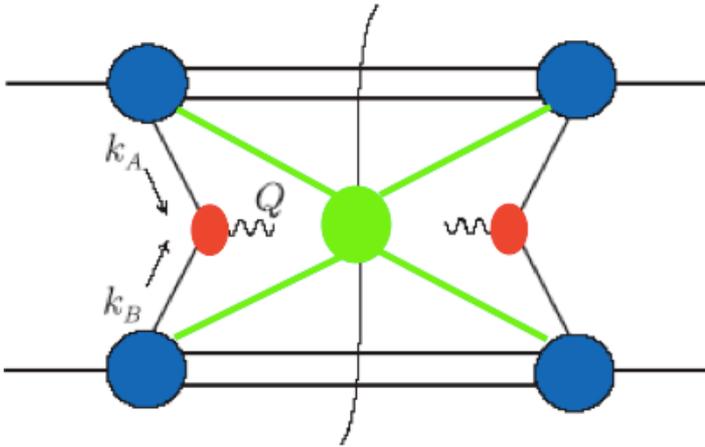
$$\times \delta^2(\vec{Q}_T - \vec{k}_{A_T} - \vec{k}_{B_T} - \vec{k}_{s,T})$$

$$\delta^2(\vec{Q}_T - \prod_i \vec{k}_{i,T}) = \frac{1}{(2\pi)^2} \int d^2b e^{i\vec{b} \cdot \vec{Q}_T} \prod_i e^{-i\vec{b} \cdot \vec{k}_{i,T}}$$

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Collins, Soper, Sterman, 1985

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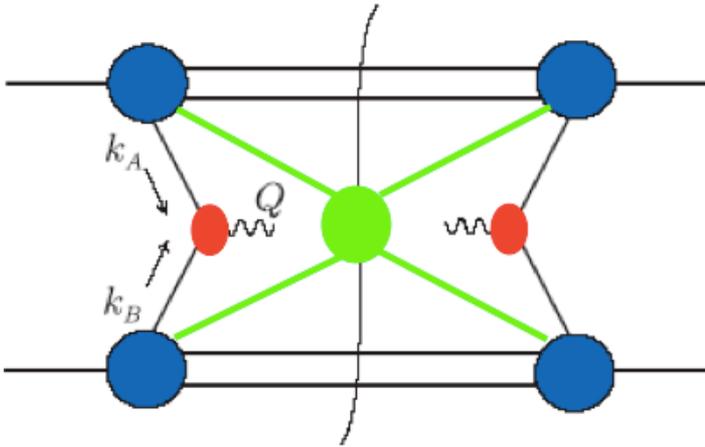
## □ Factorized cross section in “impact parameter b-space”:

$$\frac{d\sigma_{AB}(Q, b)}{dQ^2} = \sum_f \int d\xi_a d\xi_b \bar{P}_{f/A}(\xi_a, b, n) \bar{P}_{\bar{f}/B}(\xi_b, b, n) H_{\bar{f}\bar{f}}(Q^2) U(b, n)$$

# CSS b-space resummation formalism

Collins, Soper, Sterman, 1985

## □ TMD-factorized cross section:



$$\frac{d\sigma_{AB}}{dQ^2 dQ_T^2} = \sum_f \int d\xi_a d\xi_b \int \frac{d^2k_{A_T} d^2k_{B_T} d^2k_{s,T}}{(2\pi)^6}$$

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## □ Resummation: Two equations, resummation of two log's

$$\mu_{\text{ren}} \frac{d\sigma}{d\mu_{\text{ren}}} = 0$$

$$n^\nu \frac{d\sigma}{dn^\nu} = 0$$

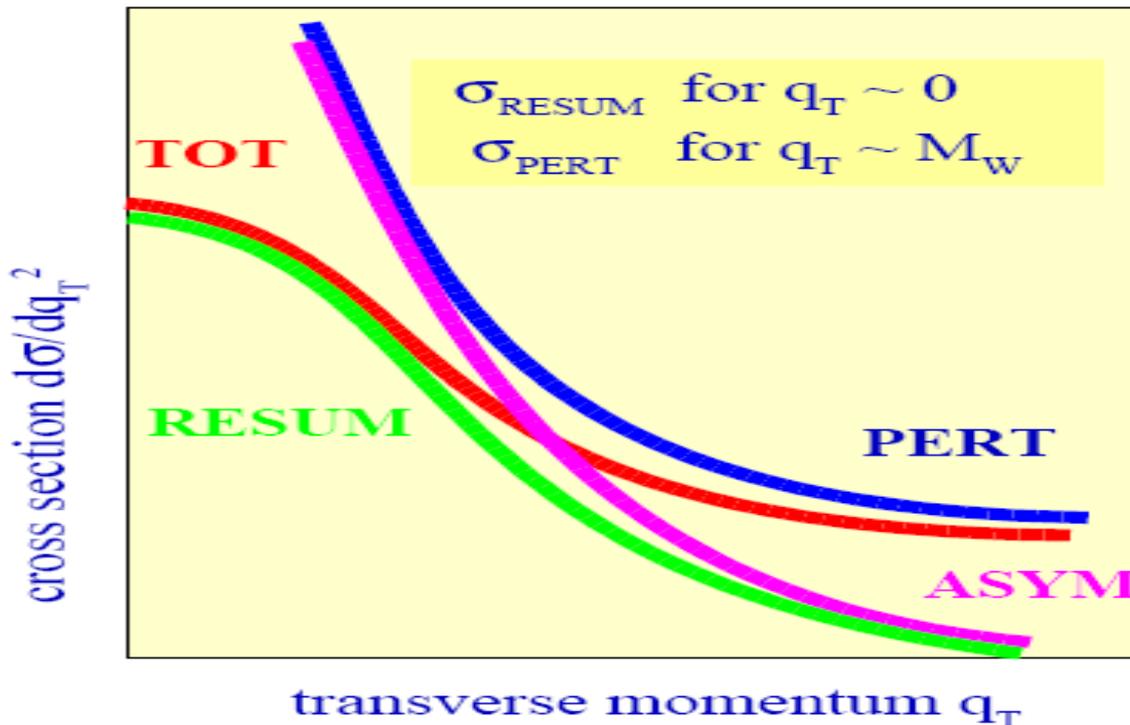
# CSS b-space resummation formalism

- Solve those two equations and transform back to  $Q_T$ :

$$\frac{d\sigma_{AB}}{dQ^2 dQ_T^2} \equiv \frac{1}{(2\pi)^2} \int d^2b e^{i\vec{b}\cdot\vec{Q}_T} \tilde{W}_{AB}(b, Q) + Y_{AB}(Q_T^2, Q^2) \quad \leftarrow \text{No large log's}$$

resummed

$$= \frac{1}{(2\pi)^2} \int_0^\infty db J_0(bQ_T) b \tilde{W}_{AB}(b, Q) + \left[ \frac{d\sigma_{AB}^{(\text{Pert})}}{dQ^2 dQ_T^2} - \frac{d\sigma_{AB}^{(\text{Asym})}}{dQ^2 dQ_T^2} \right]$$



# CSS b-space resummation formalism

## □ b-space distribution:

$$W_{AB}(b, Q) = \sum_{ij} W_{ij}(b, Q) \hat{\sigma}_{ij}(Q)$$

## □ Collins-Soper equation:

$$\frac{\partial}{\partial \ln Q^2} \tilde{W}_{ij}(b, Q) = [K(b\mu, \alpha_s) + G(Q/\mu, \alpha_s)] \tilde{W}_{ij}(b, Q) \quad (1)$$

## □ Evolution kernels satisfy RG equation:

$$\frac{\partial}{\partial \ln \mu^2} K(b\mu, \alpha_s) = -\frac{1}{2} \gamma_K(\alpha_s(\mu)) \quad (2)$$

$$\frac{\partial}{\partial \ln \mu^2} G(Q/\mu, \alpha_s) = \frac{1}{2} \gamma_K(\alpha_s(\mu)) \quad (3)$$

## □ Solution - resummation:

$$W_{ij}(b, Q) = W_{ij}(b, 1/b) e^{-S_{ij}(b, Q)}$$

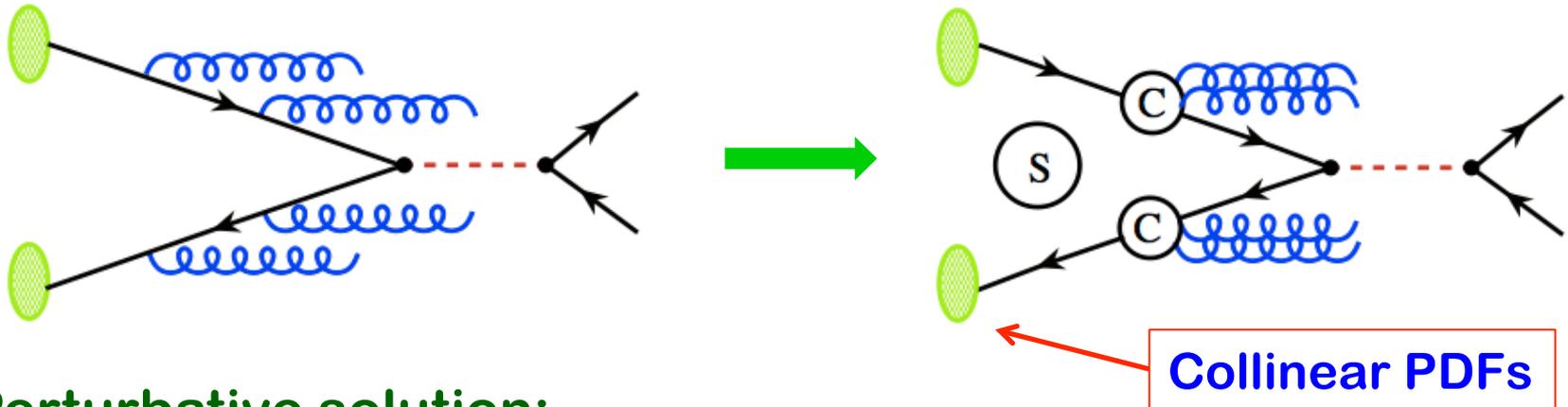
**Sudakov form factor**  
**All large logs**

**Boundary condition – perturbative if b is small!**

# CSS b-space resummation formalism

## □ Boundary condition – collinear factorization:

$$W_{ij}(b, Q) = \sum_{a,b} \sigma_{ij \rightarrow Z} [\phi_{a/A} \otimes C_{a \rightarrow i}] \otimes [\phi_{b/B} \otimes C_{b \rightarrow j}]$$



## □ Perturbative solution:

$$W_{AB}^{\text{pert}}(b, Q) = \sum_{a,b,i,j} \sigma_{ij \rightarrow Z} [\phi_{a/A} \otimes C_{a \rightarrow i}] \otimes [\phi_{b/B} \otimes C_{b \rightarrow j}] \times e^{-S_{ij}(b, Q)}$$

**Only valid when  $b \ll 1/\Lambda_{\text{QCD}}$**

## □ Extrapolation to large- $b$ ?

- ✧ **Non-perturbative**
- ✧ **Predictive power?**

$$\sigma^{\text{Resum}} \propto \int_0^\infty db J_0(q_T b) b W(b, Q)$$

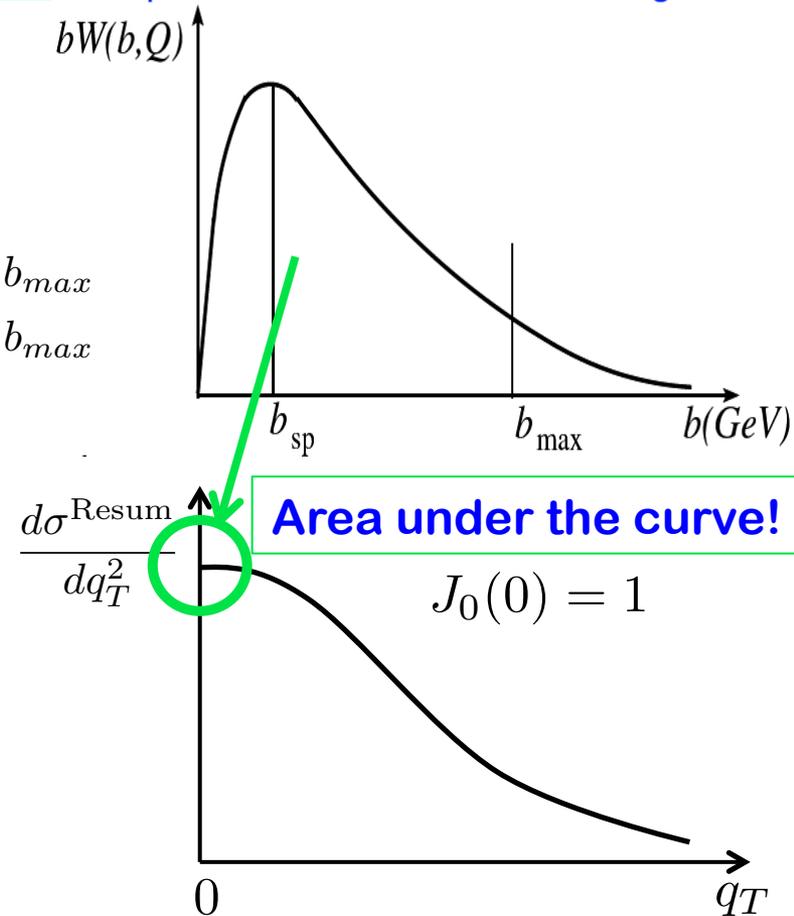
# Phenomenology – predictive power

## □ Resummed cross section:

$$\frac{d\sigma_{AB \rightarrow Z}^{\text{resum}}}{dq_T^2} \propto \int_0^\infty db J_0(q_T b) b W(b, Q)$$

$$W(b, Q) = \begin{cases} W^{\text{pert}}(b, Q) \\ ? \end{cases}$$

$$\begin{aligned} b &\leq b_{\text{max}} \\ b &> b_{\text{max}} \end{aligned}$$



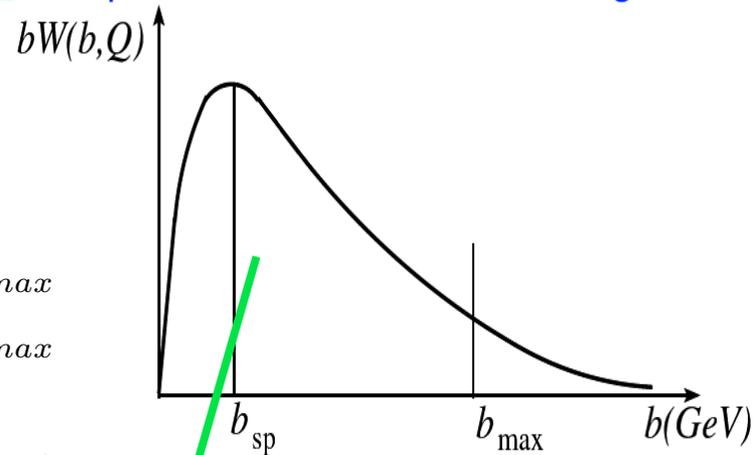
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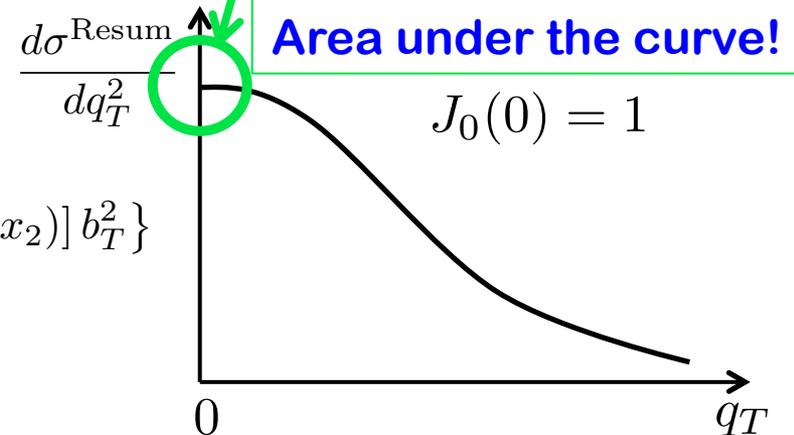


## CSS $b^*$ -prescription:

$$W(b, Q) \equiv W^{\text{pert}}(b^*, Q) F^{\text{NP}}(b, Q)$$

$$b^* \equiv \frac{b}{\sqrt{1 + (b/b_{\text{max}})^2}} \begin{cases} \rightarrow b & \text{when } b \rightarrow 0 \\ \rightarrow b_{\text{max}} & \text{when } b \rightarrow \infty \end{cases}$$

$$F^{\text{NP}} \equiv \exp \left\{ - [g_1 + g_2 \ln(Q/2Q_0) + g_1 g_3 \ln(100x_1 x_2)] b_T^2 \right\}$$



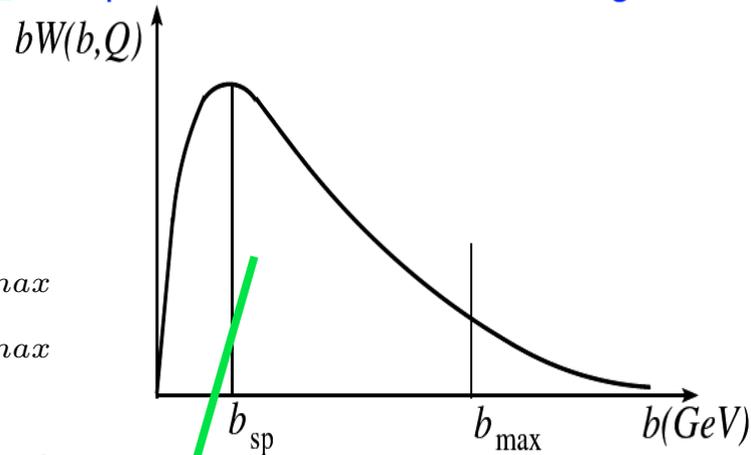
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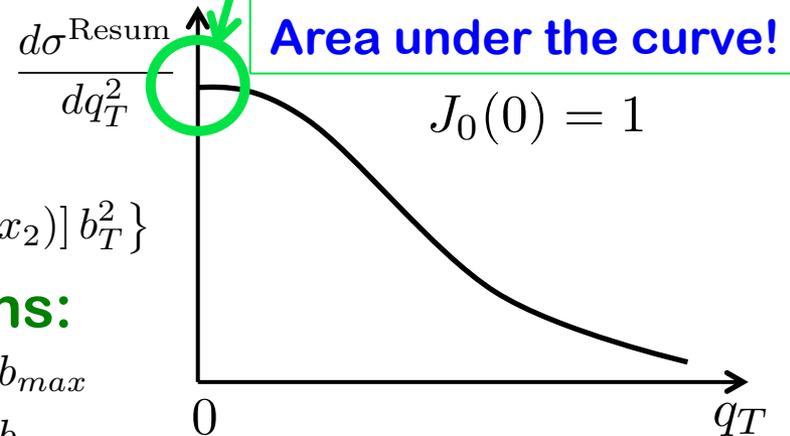


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$$F^{\text{NP}} \equiv \exp \left\{ - [g_1 + g_2 \ln(Q/2Q_0) + g_1 g_3 \ln(100x_1 x_2)] b_T^2 \right\}$$



## Extrapolation with power corrections:

$$W(b, Q) = \begin{cases} W^{\text{pert}}(b, Q) & b \leq b_{\text{max}} \\ W^{\text{pert}}(b_{\text{max}}, Q) F_{QZ}^{\text{NP}}(b, Q, b_{\text{max}}) & b > b_{\text{max}} \end{cases}$$

$$F_{QZ}^{\text{NP}}(b, Q; b_{\text{max}}) = \exp \left\{ - \ln \left( \frac{Q^2 b_{\text{max}}^2}{c^2} \right) \left[ g_1 \left( (b^2)^\alpha - (b_{\text{max}}^2)^\alpha \right) + g_2 \left( b^2 - b_{\text{max}}^2 \right) - \bar{g}_2 \left( b^2 - b_{\text{max}}^2 \right) \right] \right\}$$

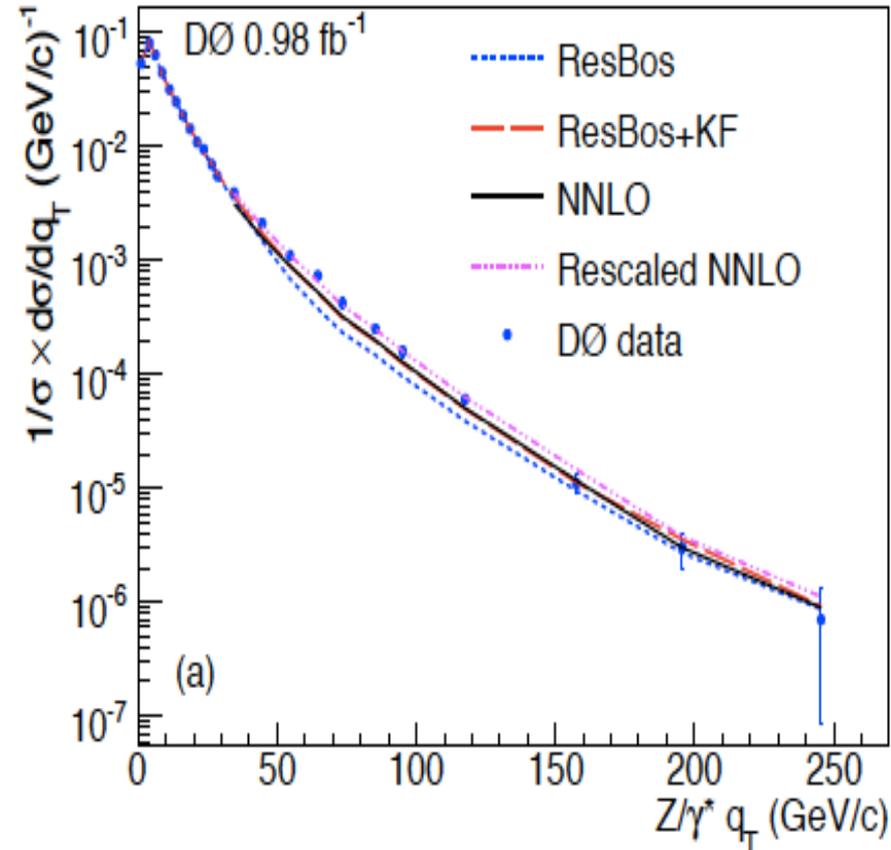
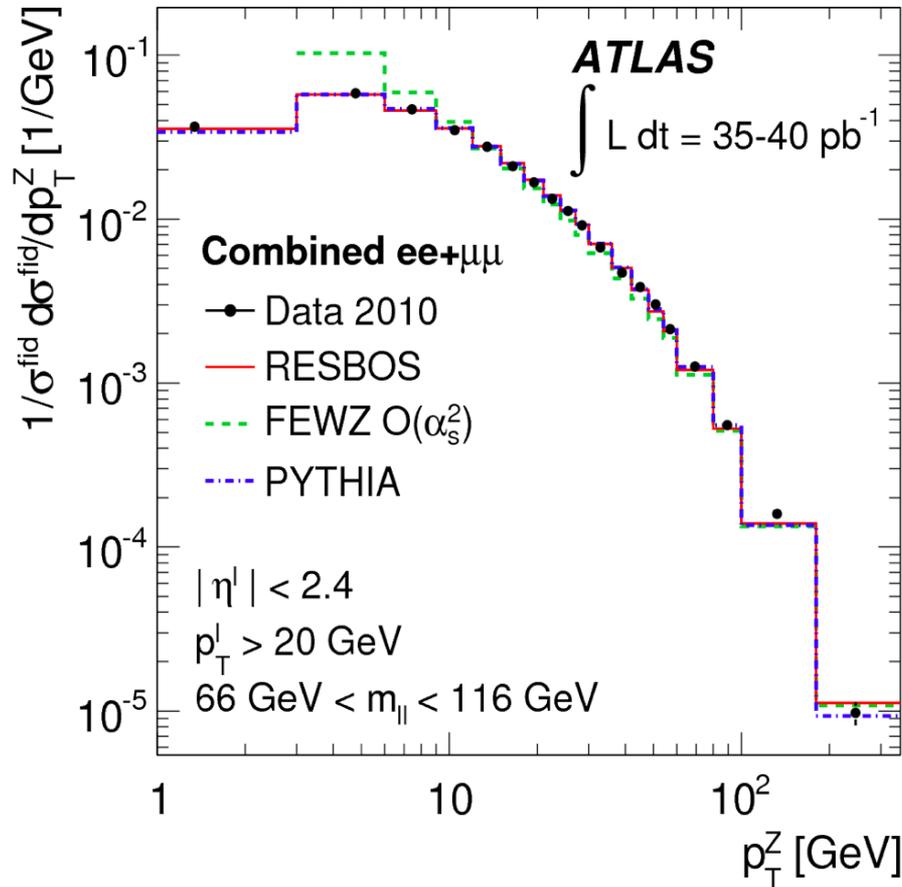
Resummed leading power

Intrinsic power corrections

Dynamical power corrections

# Phenomenology

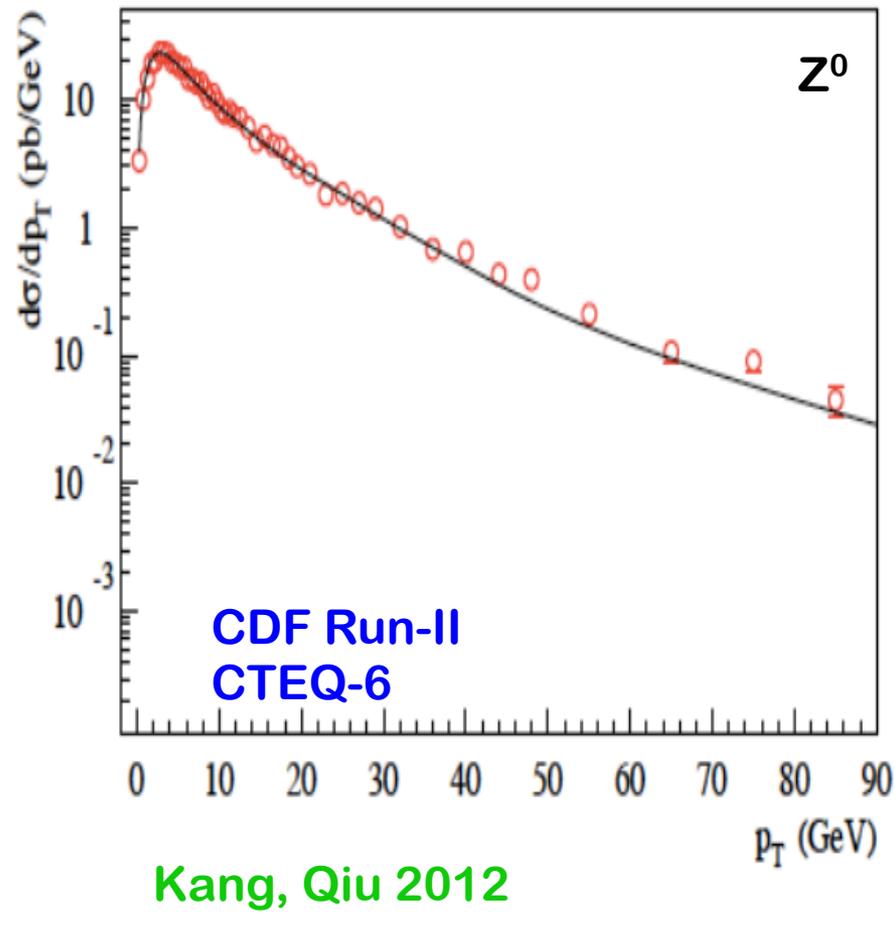
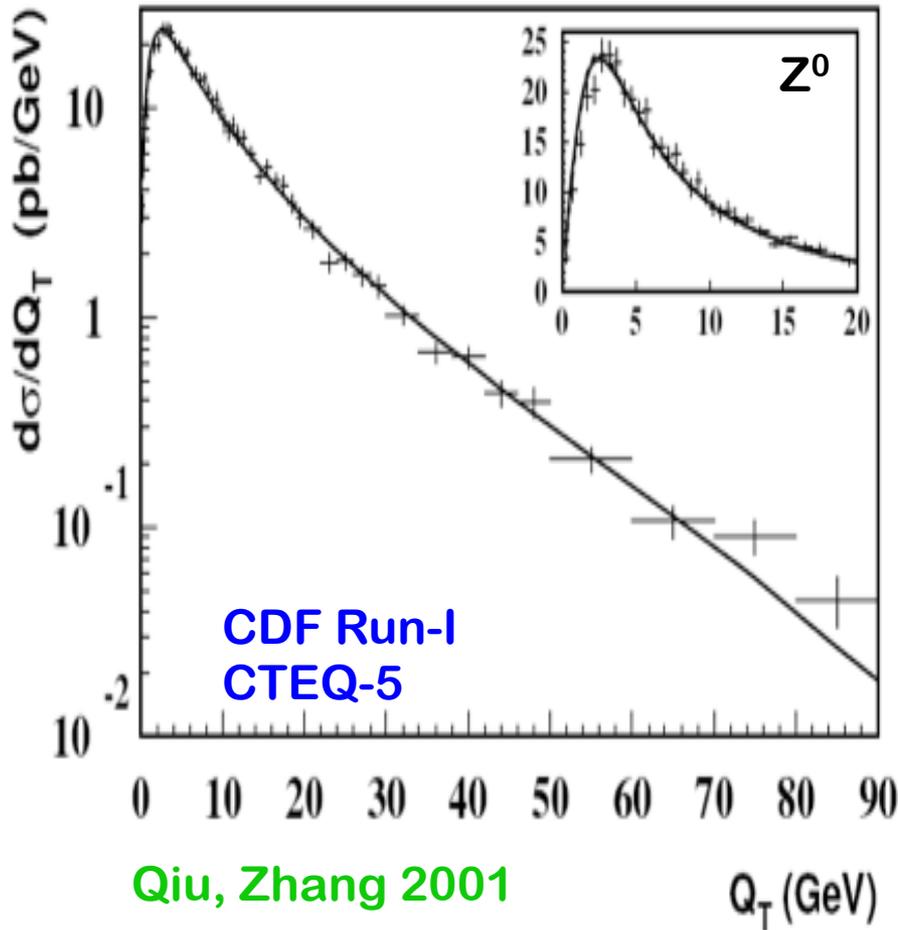
## Compare with the LHC data:



ResBos: CSS  $b^*$ -prescription – fitting  $g_1, g_2, g_3, Q_0$

# Phenomenology

□ Compare with the Tevatron data:

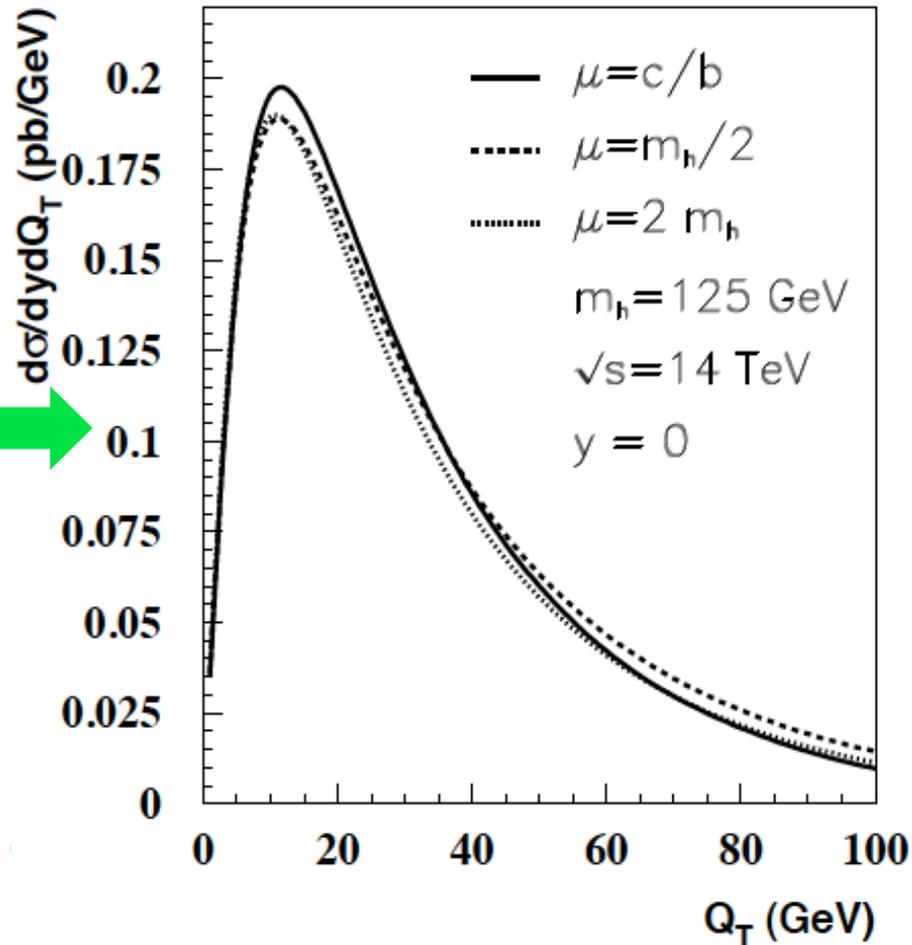
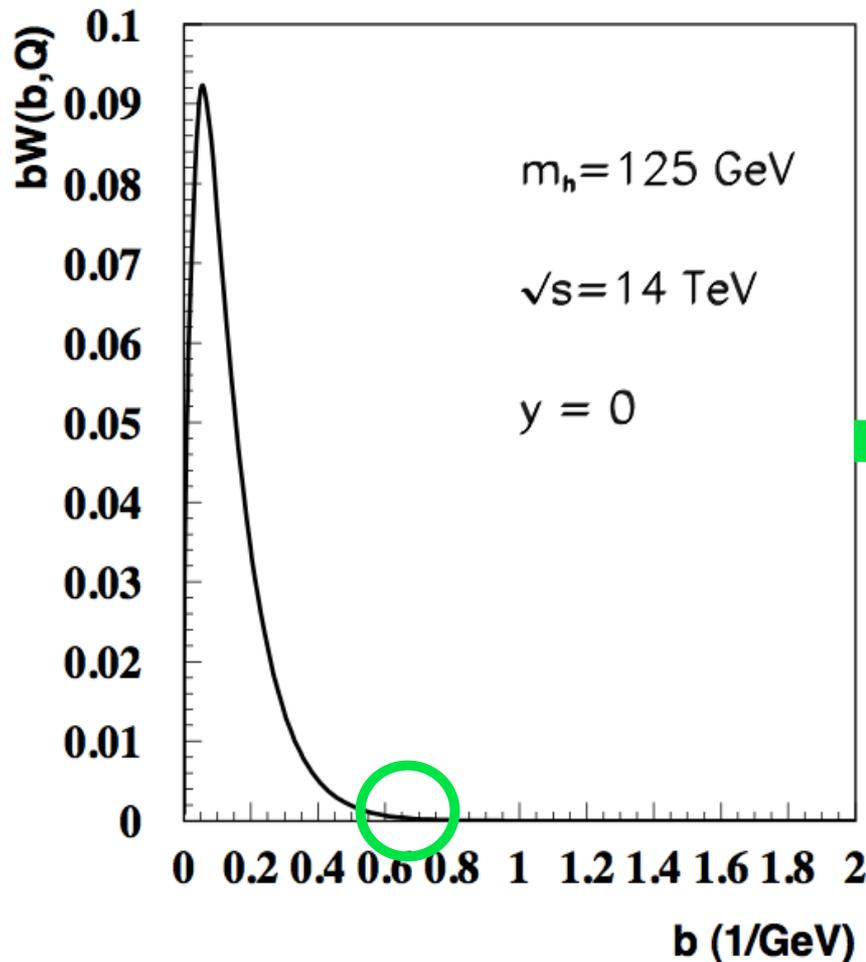


**No free fitting parameter!**

# Phenomenology - Higgs

Berger, Qiu, 2003

## □ Prediction for Higgs spectrum:

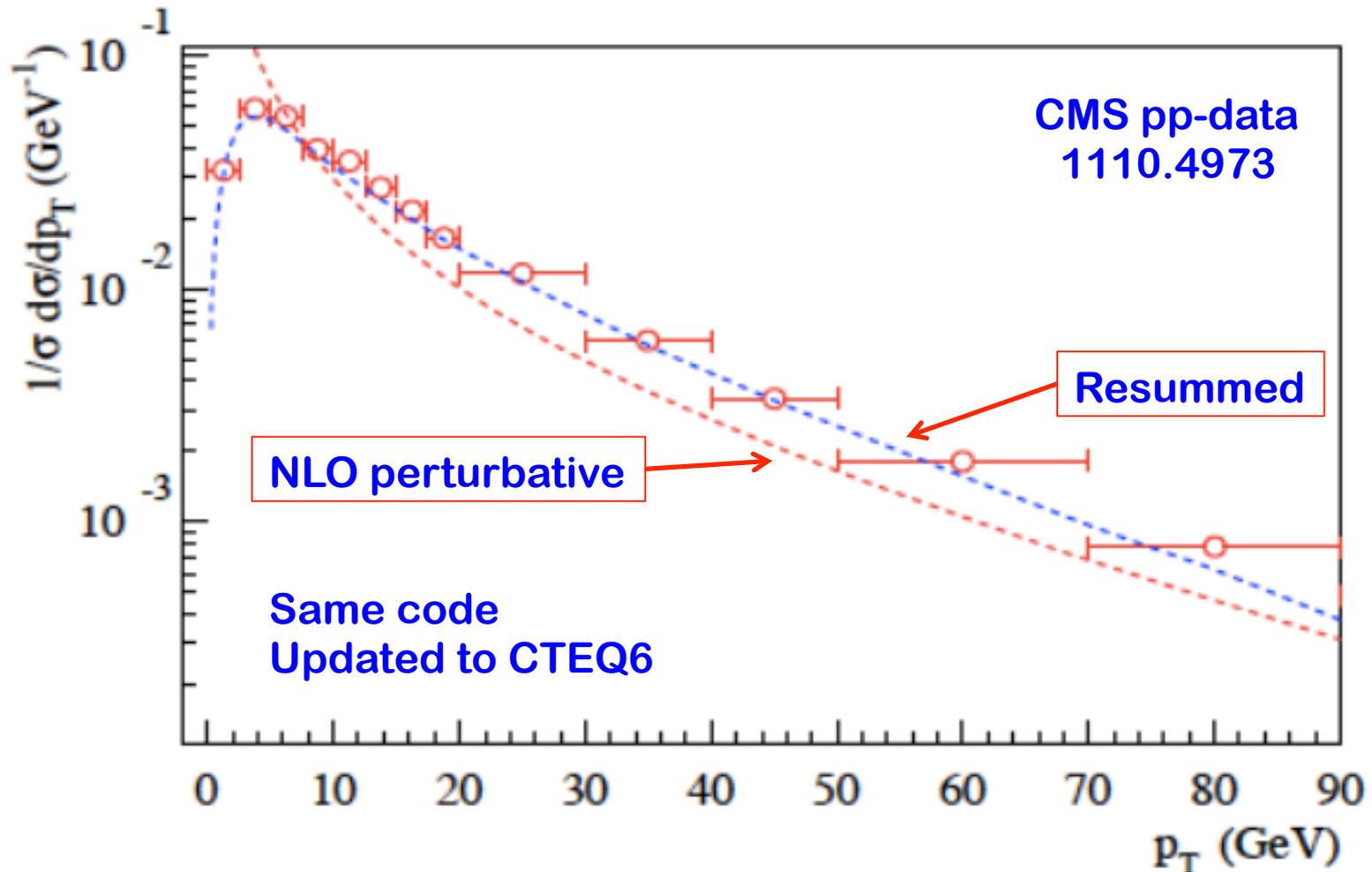


**Effectively NO non-perturbative uncertainty – Shower dominates!**

# Phenomenology

Kang, Qiu, 2012

## □ Prediction for $Z^0$ @LHC:

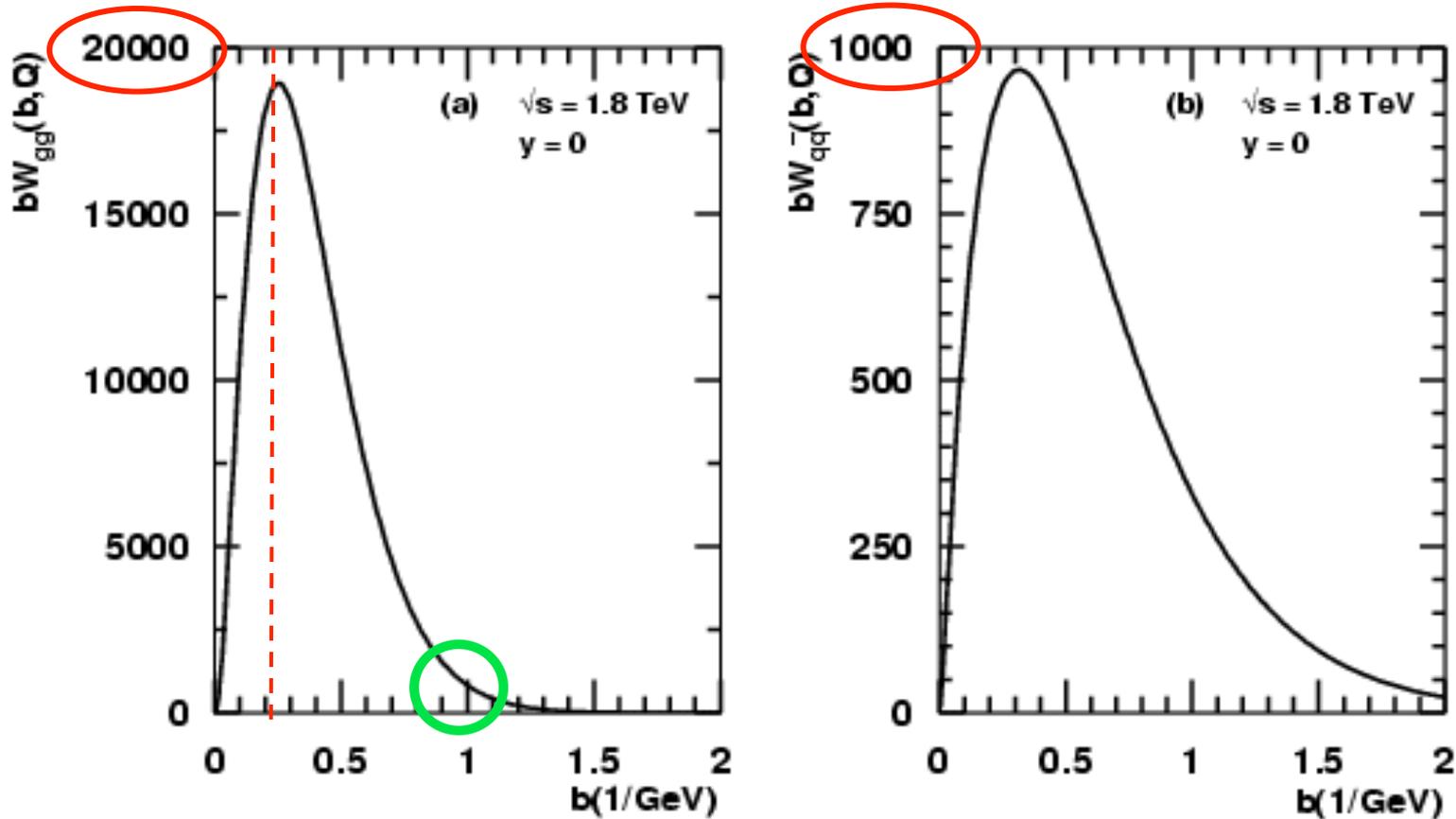


Effectively no non-perturbative uncertainty!

# Phenomenology

Berger, Qiu, Wang, 2005

□ Upsilon production (low Q, large phase space):



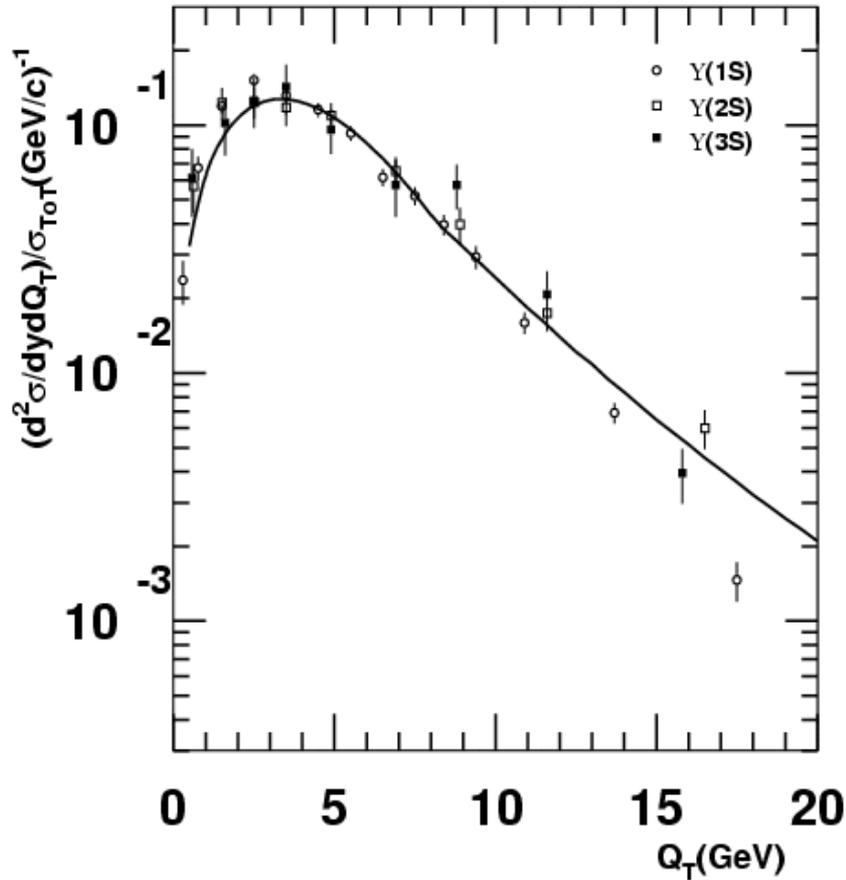
Gluon-gluon dominate the production

Dominated by perturbative contribution even  $M_\Upsilon \sim 10 \text{ GeV}$

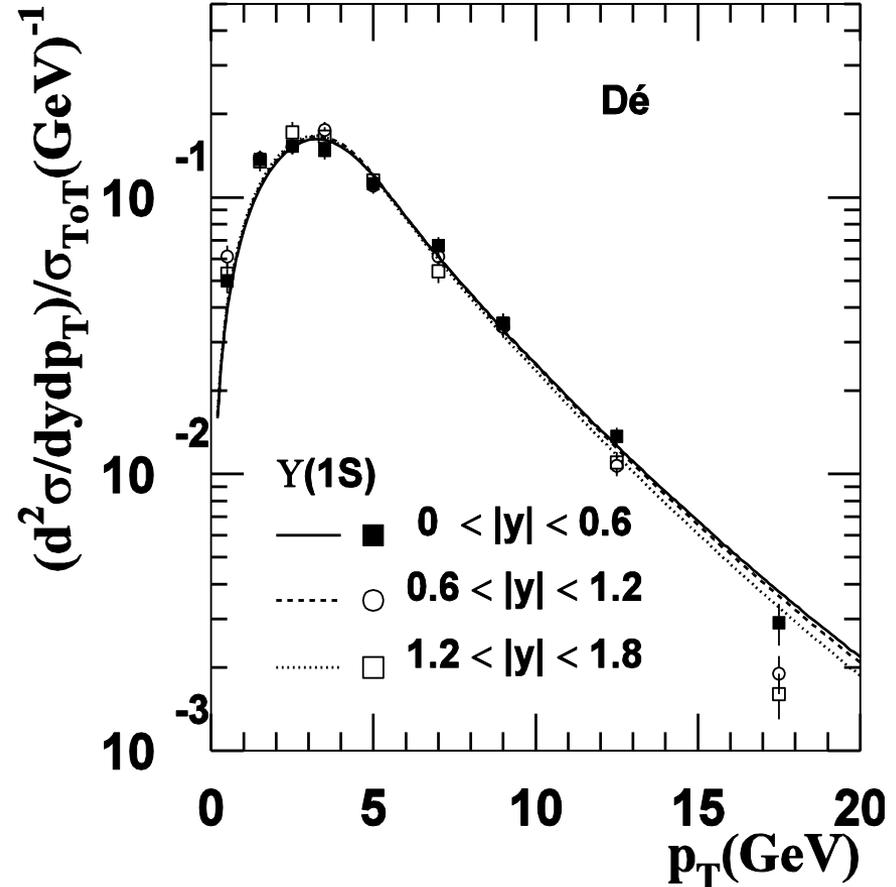
# Phenomenology

Berger, Qiu, Wang, 2005

## □ Prediction vs Tevatron data:



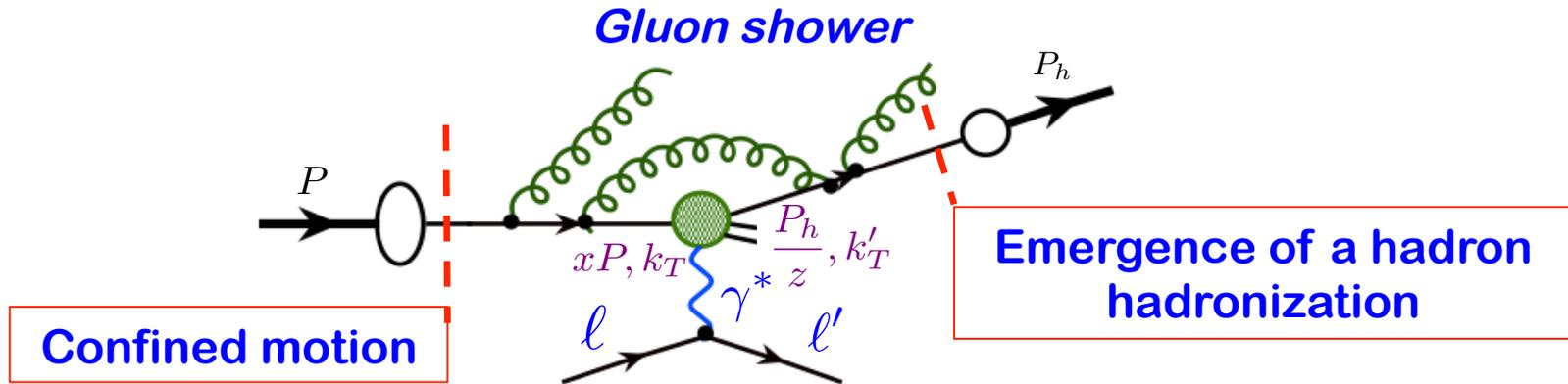
CDF Run-I data



DO Run-II data

# Parton $k_T$ at the hard collision

- Sources of parton  $k_T$  at the hard collision:



- Large  $k_T$  generated by the shower (caused by the collision):

- ✧  $Q^2$ -dependence – linear evolution equation of TMDs in  $b$ -space

- ✧ The evolution kernels are perturbative at small  $b$ , but, not large  $b$

➡ The nonperturbative inputs at large  $b$  could impact TMDs at all  $Q^2$

- Challenge: to extract the “true” parton’s confined motion:

- ✧ Separation of perturbative shower contribution from nonperturbative hadron structure – not as simple as PDFs!

# Di-photon production

## □ Principle background to Higgs production channel $H^0 \rightarrow \gamma\gamma$ :

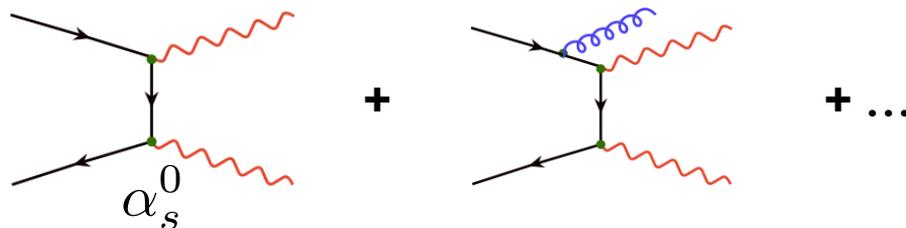
Although the background is subtracted with a fitting procedure, it is also important to have some control of this process ab initio

## □ Experimentally,

Significant contamination from the production of jets, or photon +jet, where jets are mis-identified as photons

Jet production rate is so much higher than photon, care is needed even with mis-identification rate as small as  $10^{-4}$ !

## □ Theoretically,



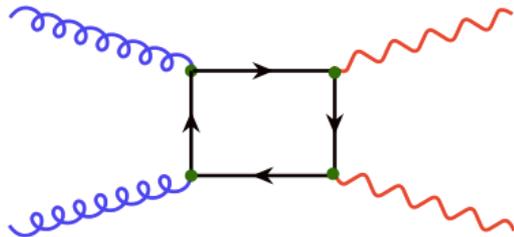
Implementation of isolation cut with two photons

Back-to-back kinematics – angular distribution – TMD factorization?

# Di-photon production

## High order corrections:

- ✧ NLO corrections included in **DIPHOX** and **MC2FM**
- ✧ A particular class of NNLO contributions is separately gauge-invariant, and, numerically important at the LHC – more gluons



Contribute at  $\mathcal{O}(\alpha_s^2)$  to the x-section

NO tree-level  $gg \rightarrow \gamma\gamma$

N<sup>3</sup>LO correction with NLO technology

- ✧ Contributes approximately 15-25% of the NLO total, depending on exact choice of photon cuts, scale choice, etc.
- ✧ TMD factorization vs collinear factorization? Qiu et al. PRL 2011

$$\frac{d\sigma}{d^4q_{\gamma\gamma}d\Omega_{\gamma\gamma}}$$

When  $q_{T\gamma\gamma} \ll \sqrt{q_{\gamma\gamma}^2}$ , or imposing photon pT cut

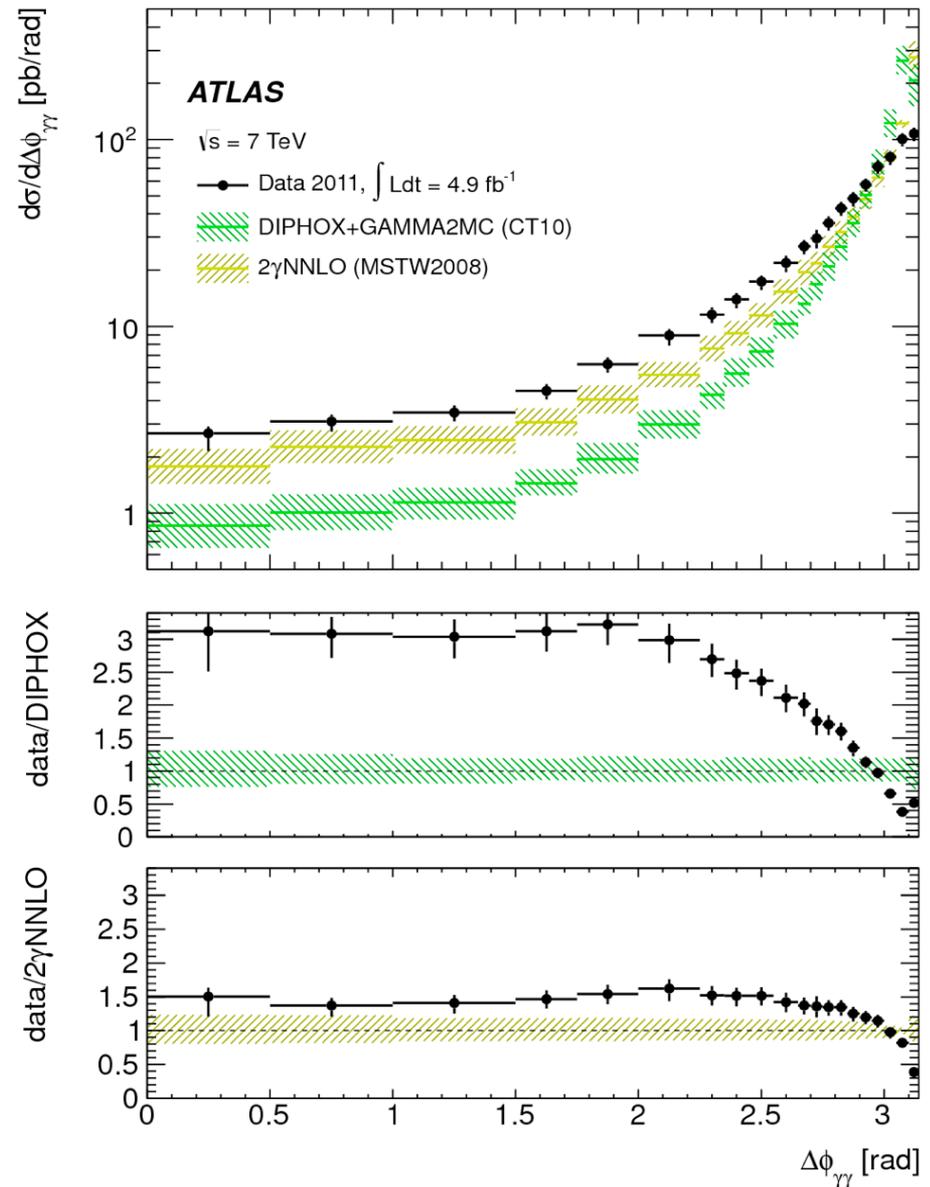
Linear polarized gluon impacts  $\Omega_{\gamma\gamma}$  distribution

# NNLO results

- Full NNLO calculation performed in the “Frixione” scheme, i.e. no need for fragmentation contributions

Catani et al (2012)

- Better description of kinematic regions that are poorly described or inaccessible at NLO, e.g., azimuthal angle between photons
- Even better description would require either higher orders or inclusion in parton shower  
→ not yet feasible.



# Photon + jet angular distribution

- QCD Compton and annihilation subprocess:

$$\frac{d\sigma}{d\hat{t}} \sim (1 - \cos(\theta^*))^{-1} \quad \text{as } \cos(\theta^*) \rightarrow 1$$

- Other QCD subprocess,  $qq \rightarrow qq, qg \rightarrow qg, gg \rightarrow gg$ , etc. more relevant to jet+jet angular distribution:

$$\frac{d\sigma}{d\hat{t}} \sim (1 - \cos(\theta^*))^{-2}$$

as  $\cos(\theta^*) \rightarrow 1$

- Prediction:

Photon-jet angular distribution should be **flatter** than that observed in jet-jet final states

$$\cos(\theta^*) = \tanh\left(\frac{\eta_\gamma - \eta_{jet}}{2}\right)$$

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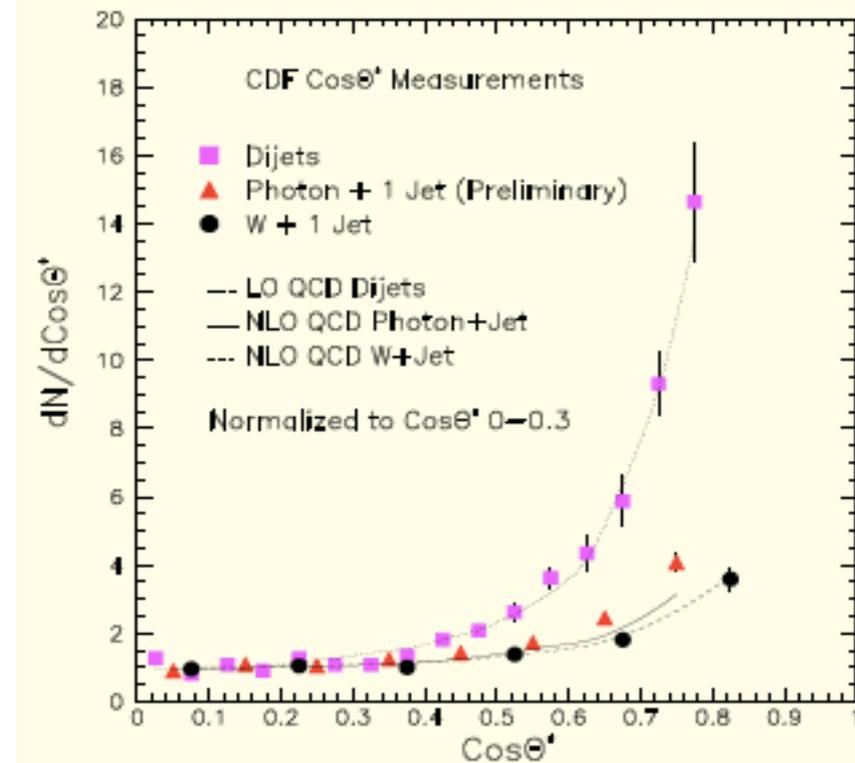
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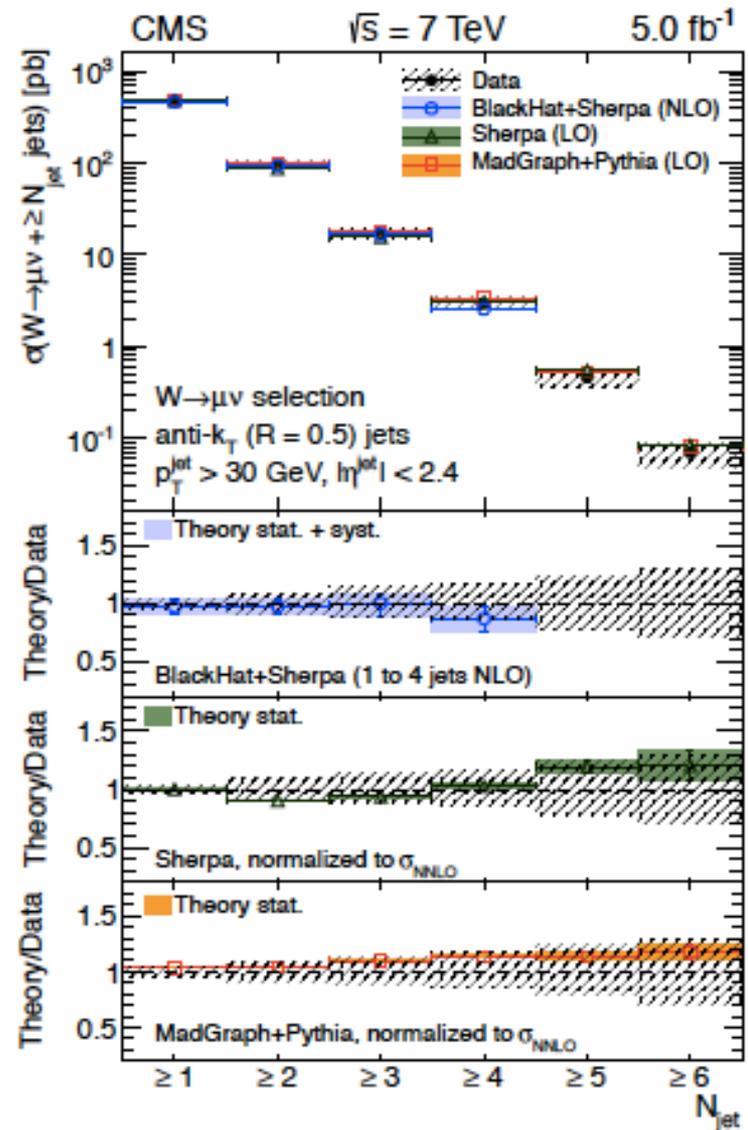
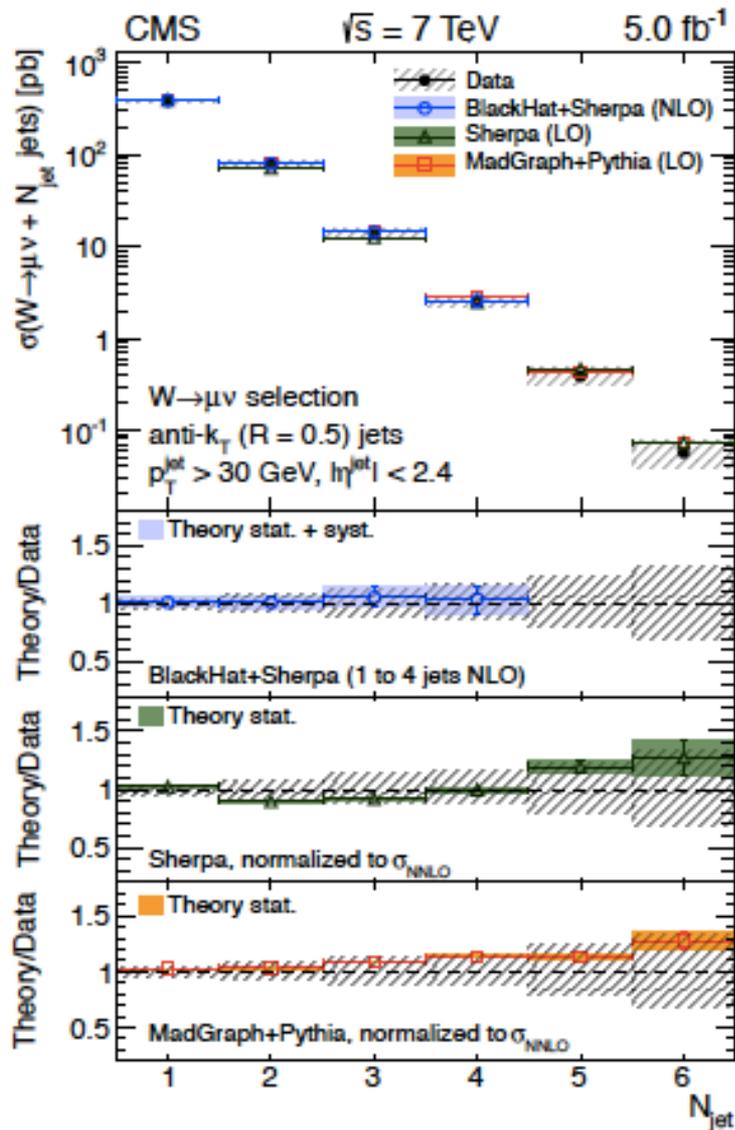
Photon-jet angular distribution should be **flatter** than that observed in jet-jet final states

$$\cos(\theta^*) = \tanh\left(\frac{\eta_\gamma - \eta_{jet}}{2}\right)$$



# W-boson + jets

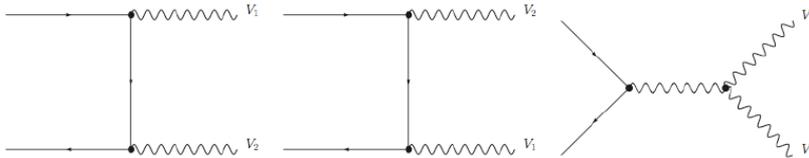
CMS – 1406.7533



# Di-boson hadronic production

Campbell, CTEQ SS2013

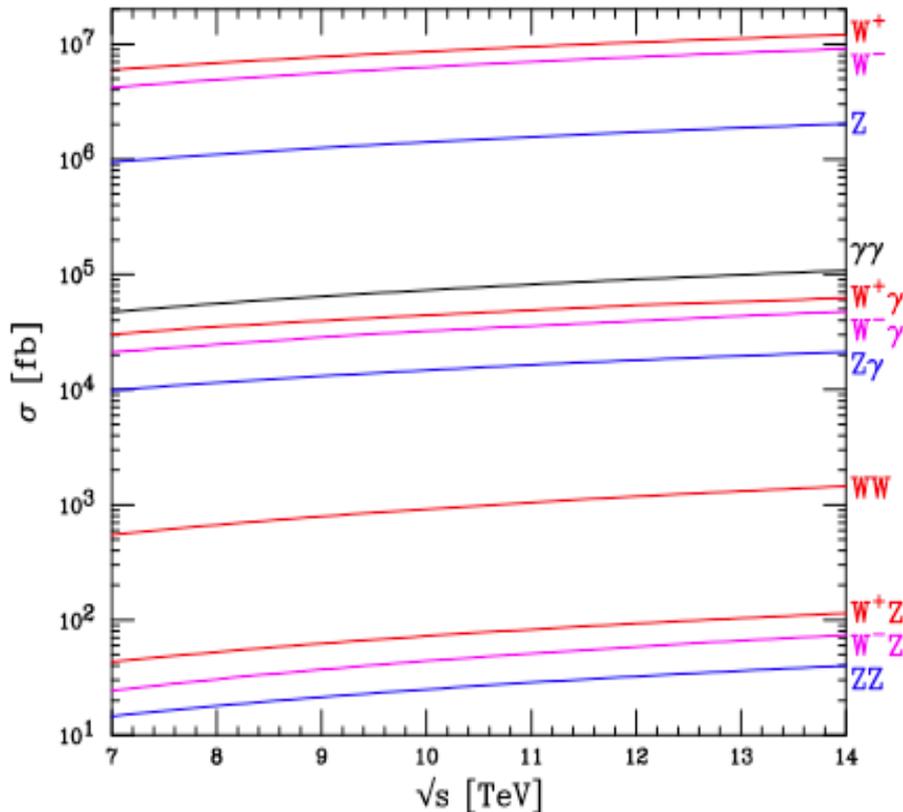
## □ Triple gauge boson interaction:



✧ Triple gauge coupling present for all processes except  $Z\gamma$

✧ Processes involving photons dependent on photon  $p_T$  (and rapidity) cut, strongly

✧ NLO corrections known analytically, included in MCFM, VBFNLO (also POWHEG NLO MC)

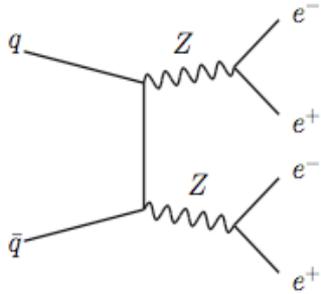


# Two bosons with single-resonant

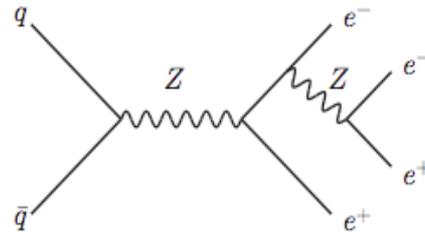
Campbell, CTEQ SS2013

## Two Z's:

$$q\bar{q} \rightarrow ZZ \rightarrow e^+e^-e^+e^-$$



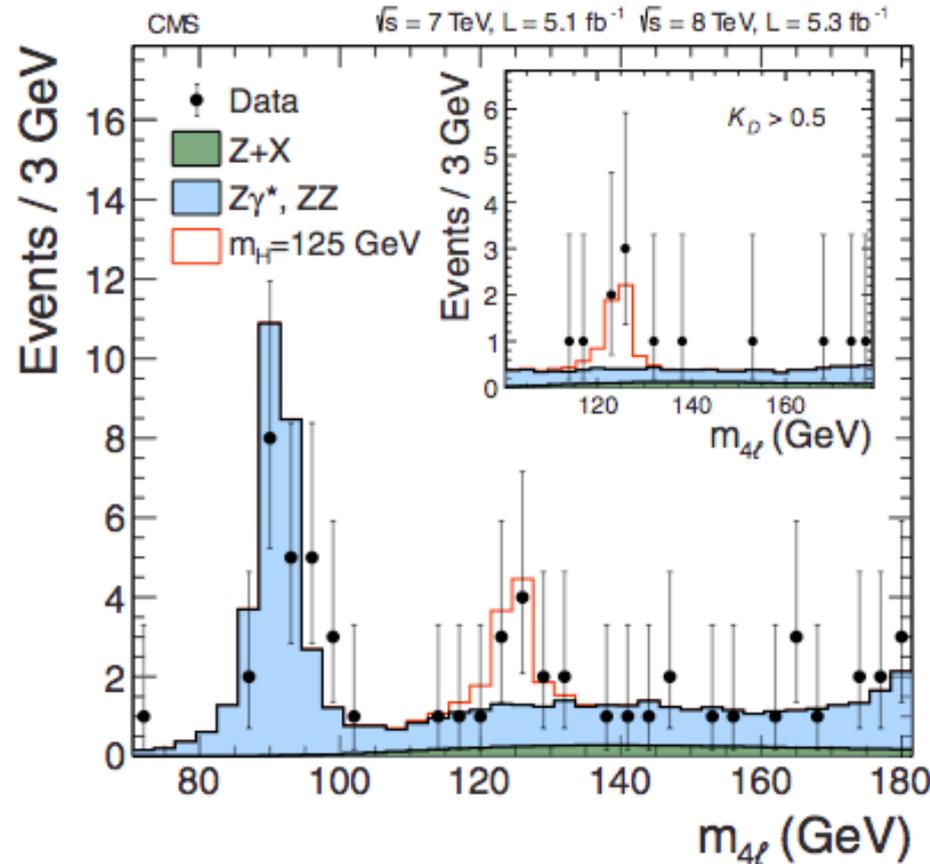
“double”-resonant



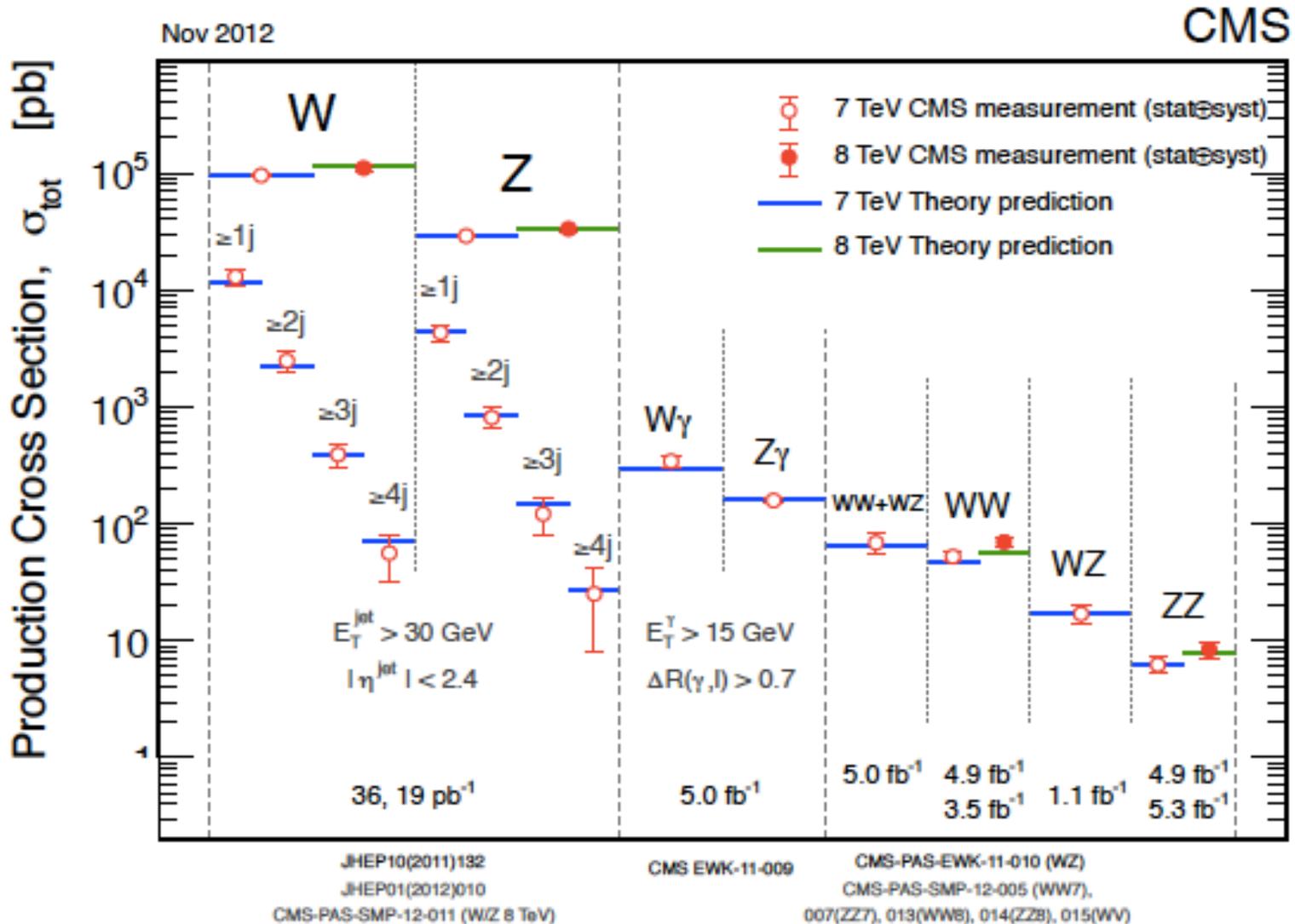
“single”-resonant

Plus diagrams with Z replaced by photon

- ✧ Inclusive cross section is dominated by the double-resonant contribution
- ✧ Notably: invariant mass of 4 leptons
- ✧ One of the cross-checks in Higgs search

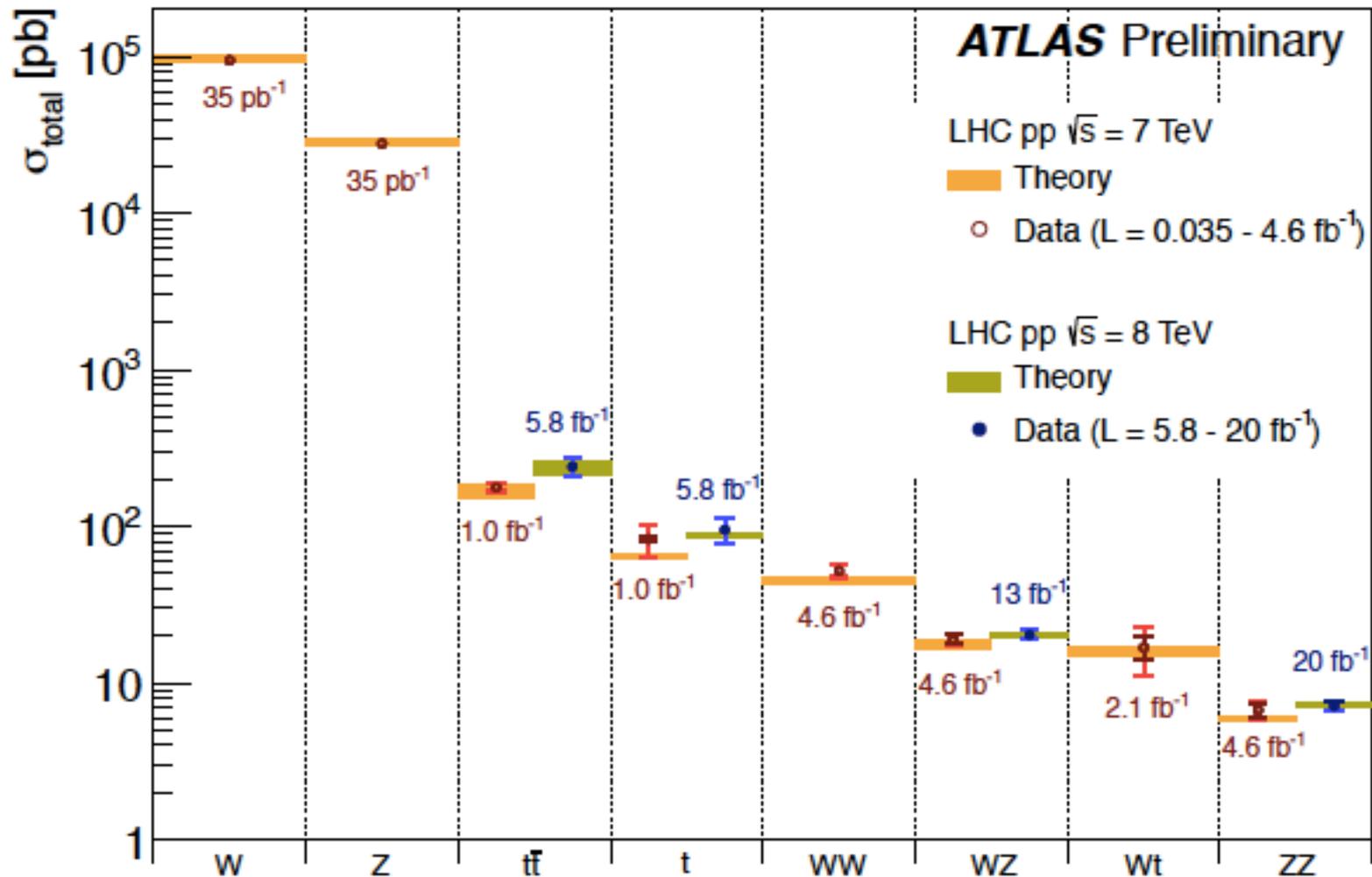


# Vector bosons: experimental summary



Good consistency with theory expectations of NNLO (W/Z), and NLO (di-bosons) for all processes in both experiments

# Vector bosons: experimental summary



Good consistency with theory expectations of NNLO (W/Z), and NLO (di-bosons) for all processes in both experiments

# Improvement from resummation

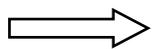
- Beyond the Born term (lowest order), partonic hard-parts are NOT unique, due to the PDFs' scheme dependence
- Same parton-level PDFs should be used for calculations of partonic parts of all observables

- All partonic hard parts have:  $P_{qq}(x) \ell n \left( \frac{Q^2}{\mu_F^2} \right)$

Suggests to choose the scale:  $\mu_F^2 \sim Q^2$

- Hard parts have potentially large logarithms:

$$\ell n(x), \quad \frac{1}{(1-x)_+}, \quad \left( \frac{\ell n(1-x)}{1-x} \right)_+$$

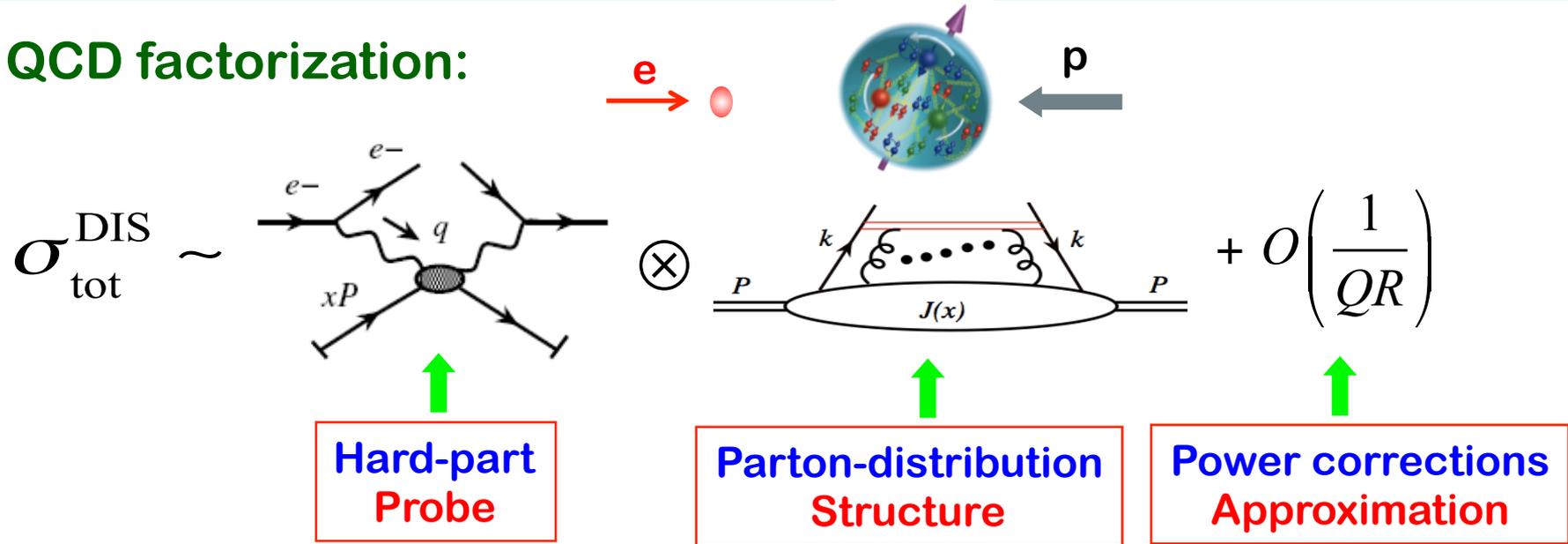


**Resummation of the large logarithms**

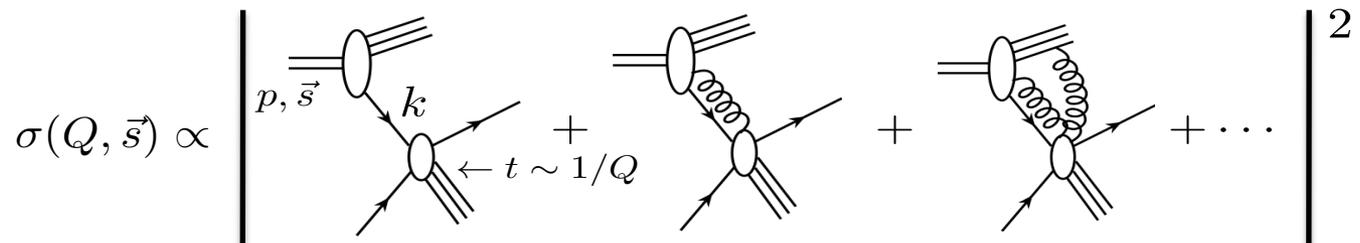
*Lot of progresses  
in recent years*

# QCD power corrections

## QCD factorization:



## QCD power corrections:



$$\sigma(Q, s_T) = H_0 \otimes f_2 \otimes f_2 + (1/Q) H_1 \otimes f_2 \otimes f_3 + \mathcal{O}(1/Q^2)$$

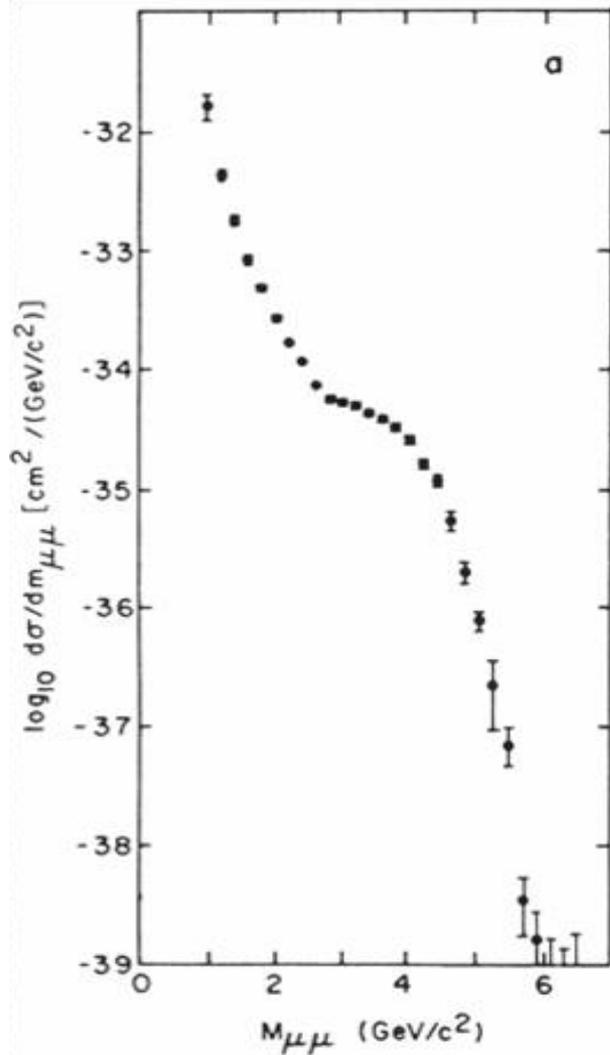
**Too large to compete!**

**Three-parton correlation**

*Multi-parton correlation functions  
No probability interpretation!*

# Heavy quarkonium production

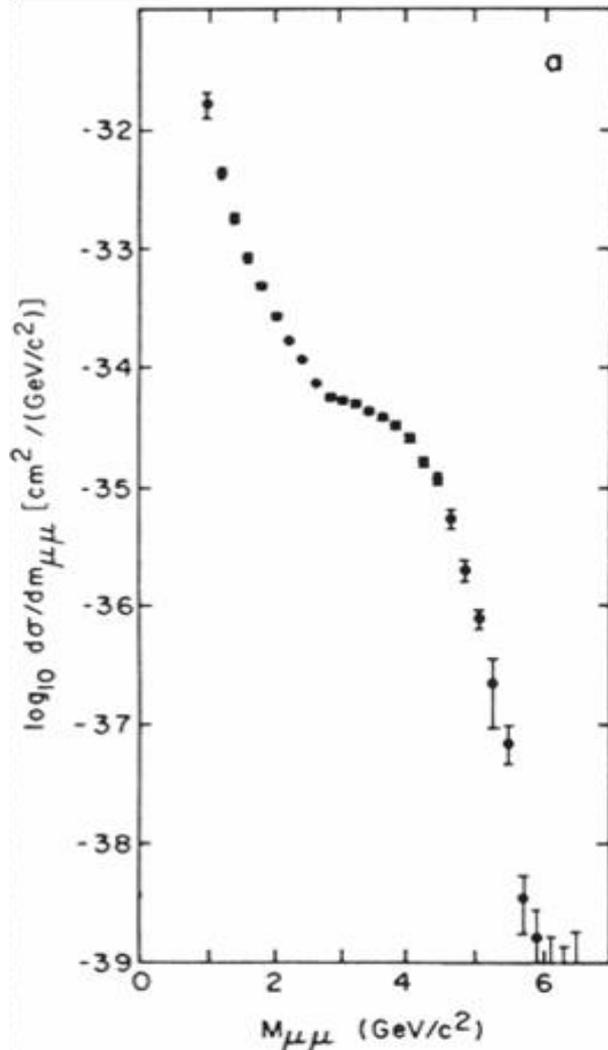
## Lederman's Shoulder



Phys. Rev. Lett. 25, 1523 (1970)

# Heavy quarkonium production

## Lederman's Shoulder



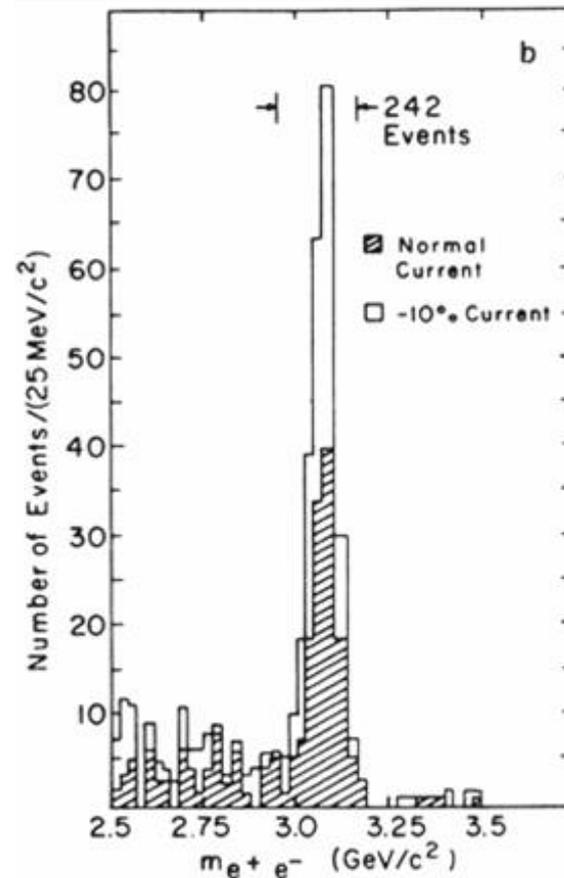
Phys. Rev. Lett. 25, 1523 (1970)

## Production of muon pairs at AGS, BNL

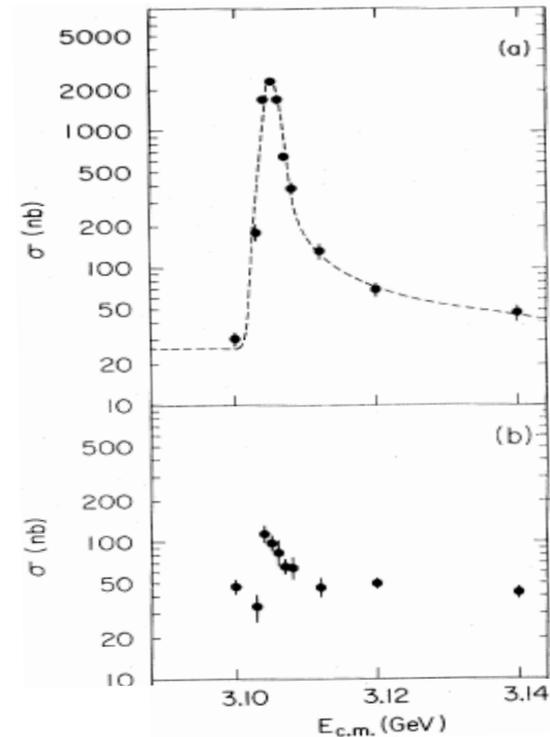
$$p(29 \text{ GeV}) + U \Rightarrow \mu^+ \mu^- (M_{\mu\mu}) + X$$



Discovery of the  $J/\psi$  - November, 1974



(SLAC)



# Heavy quarkonium production

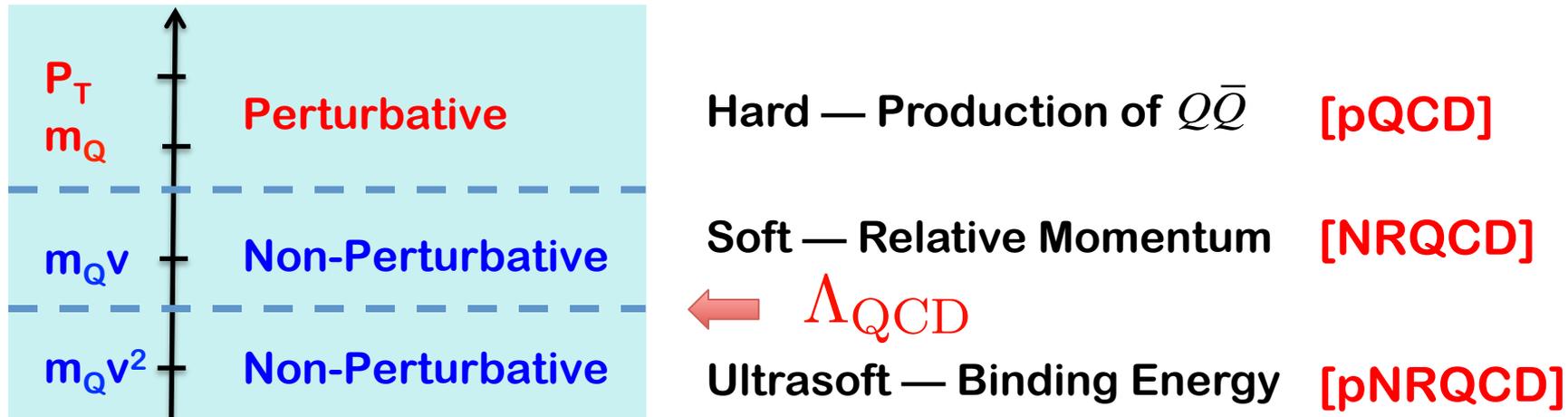
- One of the simplest QCD bound states:

Localized color charges (heavy mass), non-relativistic relative motion

Charmonium:  $v^2 \approx 0.3$

Bottomonium:  $v^2 \approx 0.1$

- Well-separated momentum scales – effective theory:



- Cross sections and observed mass scales:

$$\frac{d\sigma_{AB \rightarrow H(P)X}}{dy dP_T^2} \quad \sqrt{S}, \quad P_T, \quad M_H,$$

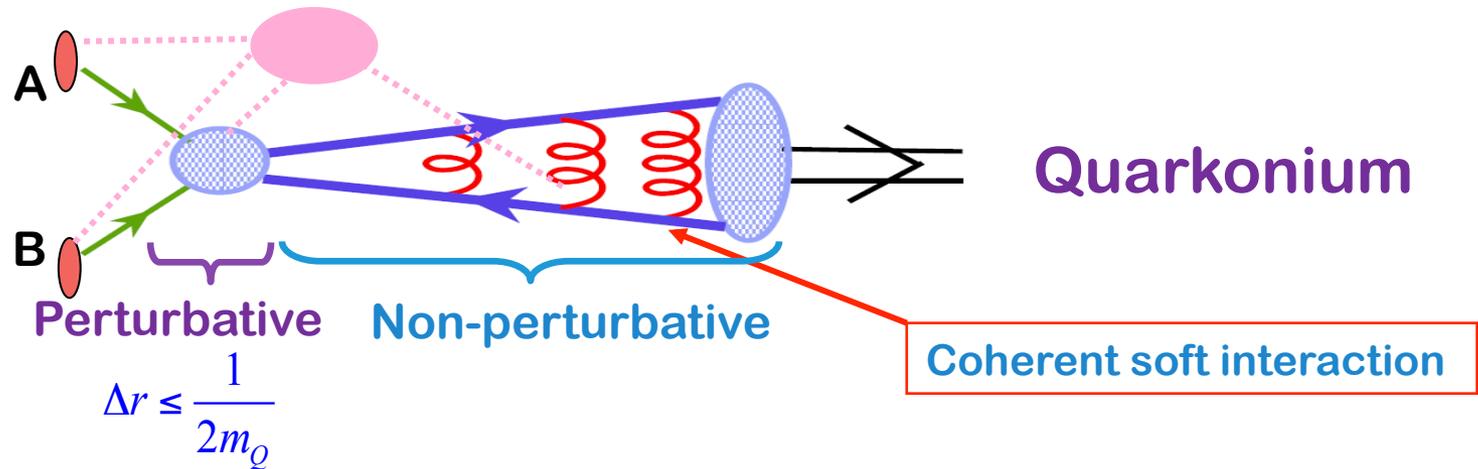
PQCD is “expected” to work for the production of heavy quarks

*Difficulty: Emergence of a quarkonium from a heavy quark pair?*

# Basic production mechanism

□ QCD factorization is likely to be valid for producing the pairs:

- ✧ Momentum exchange is much larger than  $1/\text{fm}$
- ✧ Spectators from colliding beams are “frozen” during the hard collision



□ Approximation: **on-shell pair + hadronization**

$$\sigma_{AB \rightarrow J/\psi}(P_{J/\psi}) \approx \sum_n \int dq^2 [\sigma_{AB \rightarrow [Q\bar{Q}](n)}(q^2)] F_{[Q\bar{Q}(n)] \rightarrow J/\psi}(P_{J/\psi}, q^2)$$

## Models & Debates

⇔ Different assumptions/treatments on  $F_{[Q\bar{Q}(n)] \rightarrow J/\psi}(P_{J/\psi}, q^2)$   
 how the heavy quark pair becomes a quarkonium?

# A long history for the production

## □ Color singlet model: 1975 –

Only the pair with right quantum numbers

Effectively No free parameter!

Einhorn, Ellis (1975),  
Chang (1980),  
Berger and Jone (1981), ...

## □ Color evaporation model: 1977 –

All pairs with mass less than open flavor heavy meson threshold

One parameter per quarkonium state

Fritsch (1977), Halzen (1977), ...

## □ NRQCD model: 1986 –

All pairs with various probabilities – NRQCD matrix elements

Infinite parameters – organized in powers of  $v$  and  $\alpha_s$

Caswell, Lapage (1986)  
Bodwin, Braaten, Lepage (1995)  
QWG review: 2004, 2010

## □ QCD factorization approach: 2005 –

$P_T \gg M_H$ :  $M_H/P_T$  power expansion +  $\alpha_s$  – expansion

Unknown, but universal, fragmentation functions – evolution

Nayak, Qiu, Sterman (2005), ...  
Kang, Qiu, Sterman (2010), ...  
Kang, Ma, Qiu, Sterman (2014)

## □ Soft-Collinear Effective Theory + NRQCD: 2012 –

Fleming, Leibovich, Mehen, ...

# NRQCD – most successful so far

Butenschoen and Kniehl, arXiv: 1105.0820

## NRQCD factorization:

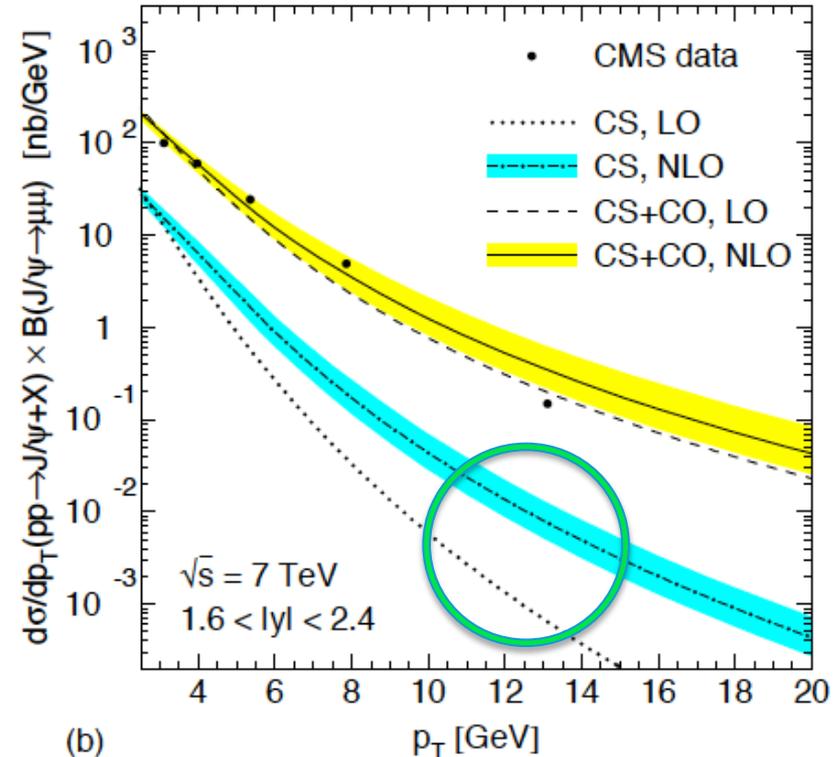
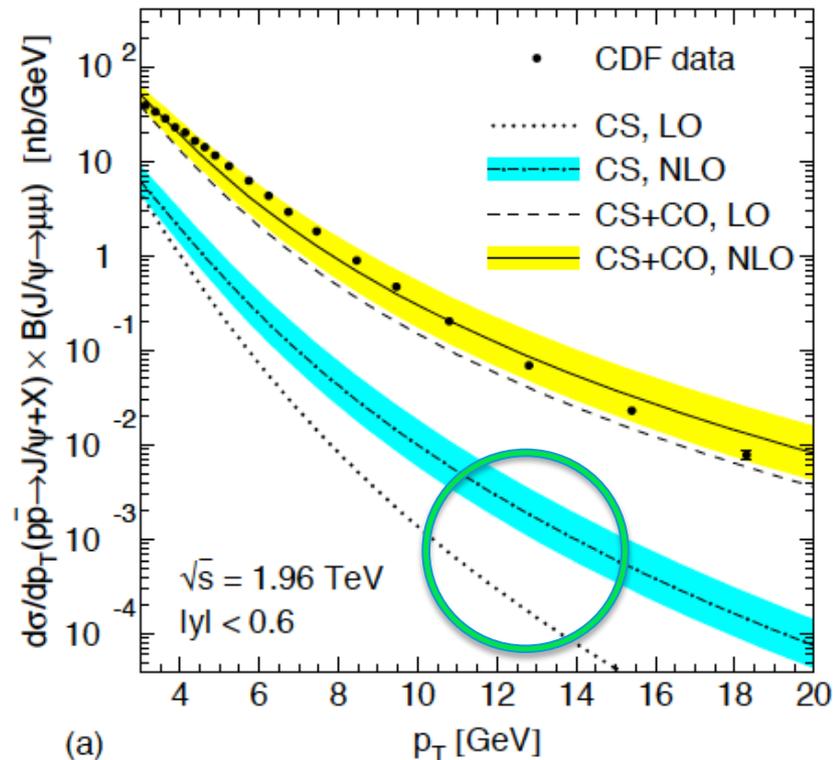
$$d\sigma_{A+B \rightarrow H+X} = \sum_n d\sigma_{A+B \rightarrow Q\bar{Q}(n)+X} \langle \mathcal{O}^H(n) \rangle$$

✧ 4 leading channels in  $v$

$${}^3S_1^{[1]}, {}^1S_0^{[8]}, {}^3S_1^{[8]}, {}^3P_J^{[8]}$$

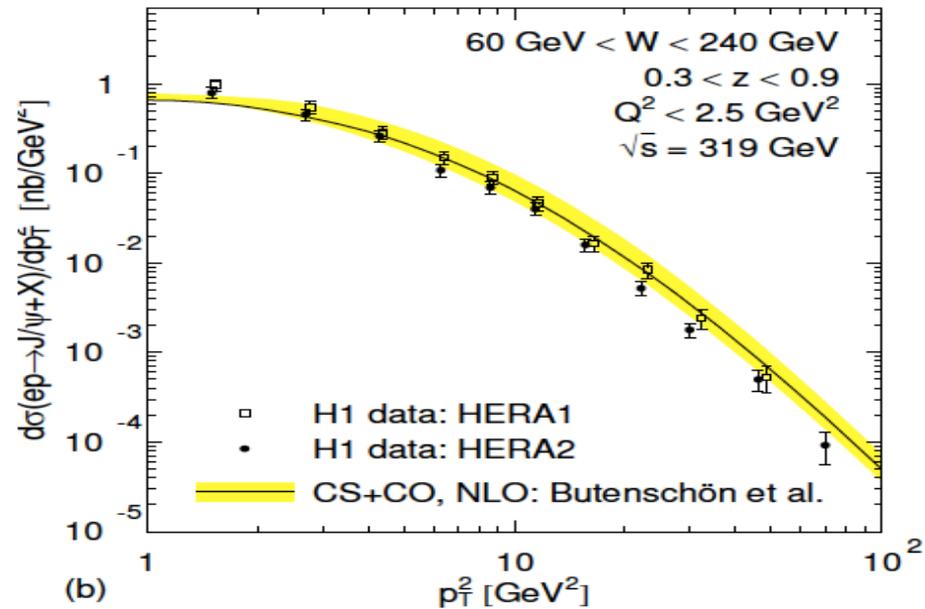
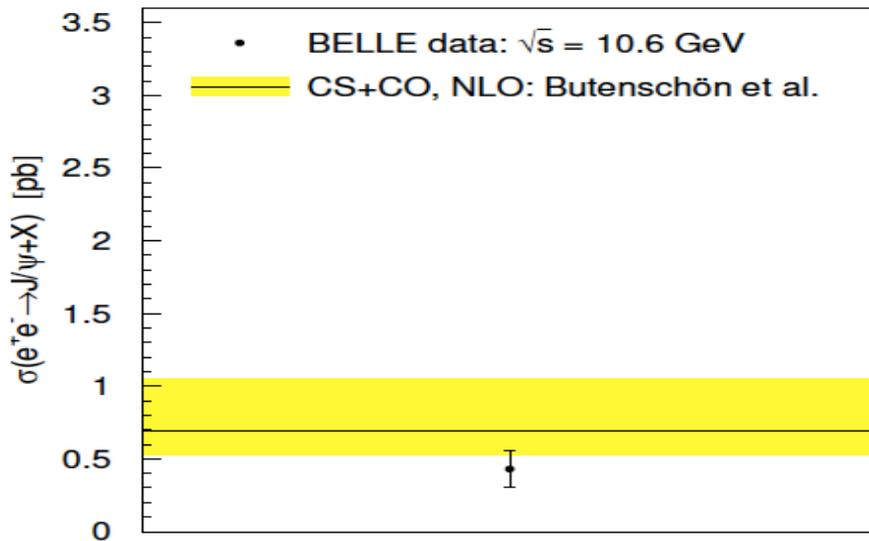
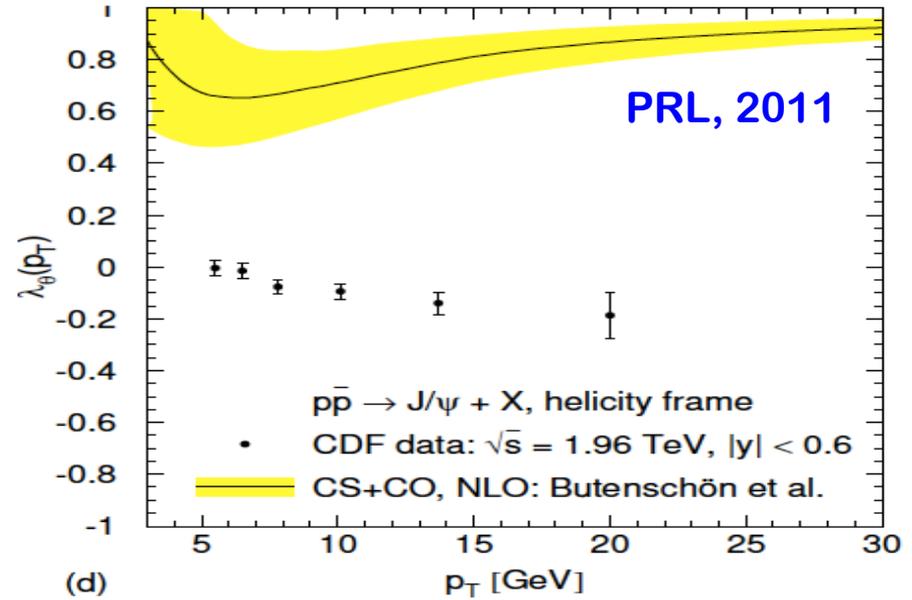
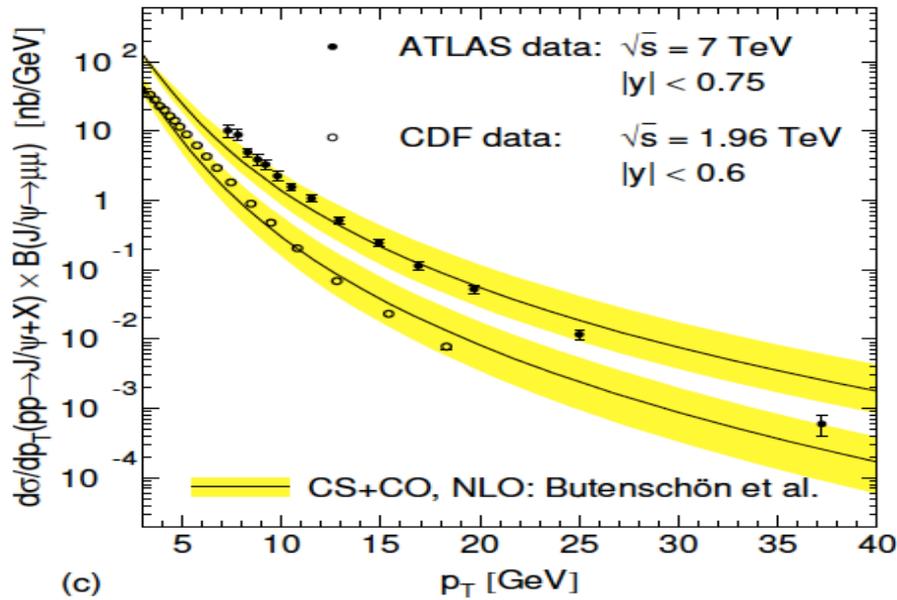
## Phenomenology:

✧ Full NLO in  $\alpha_s$

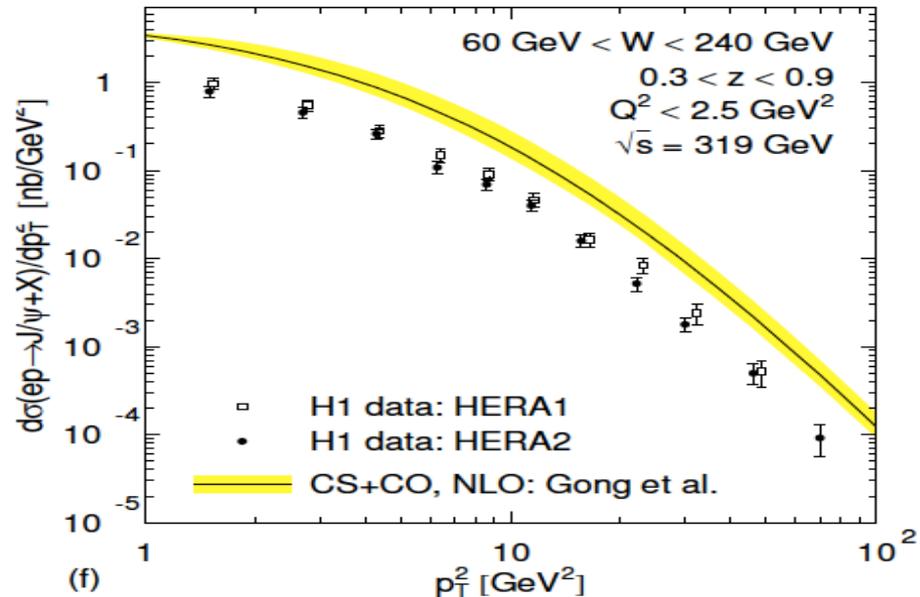
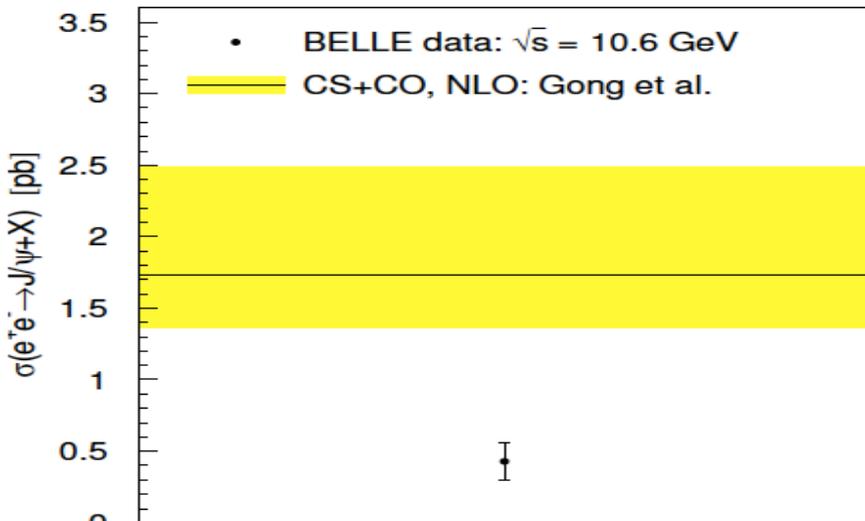
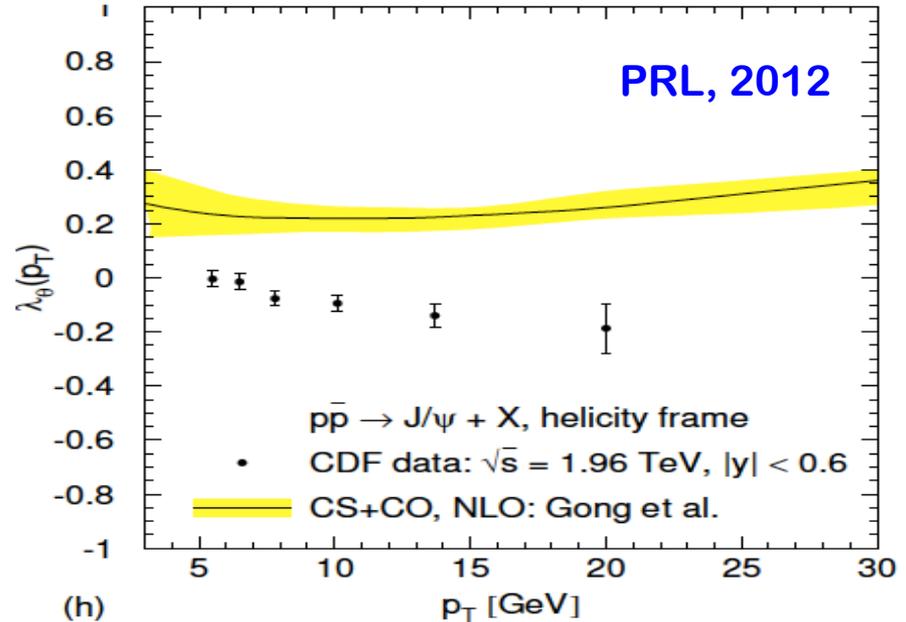
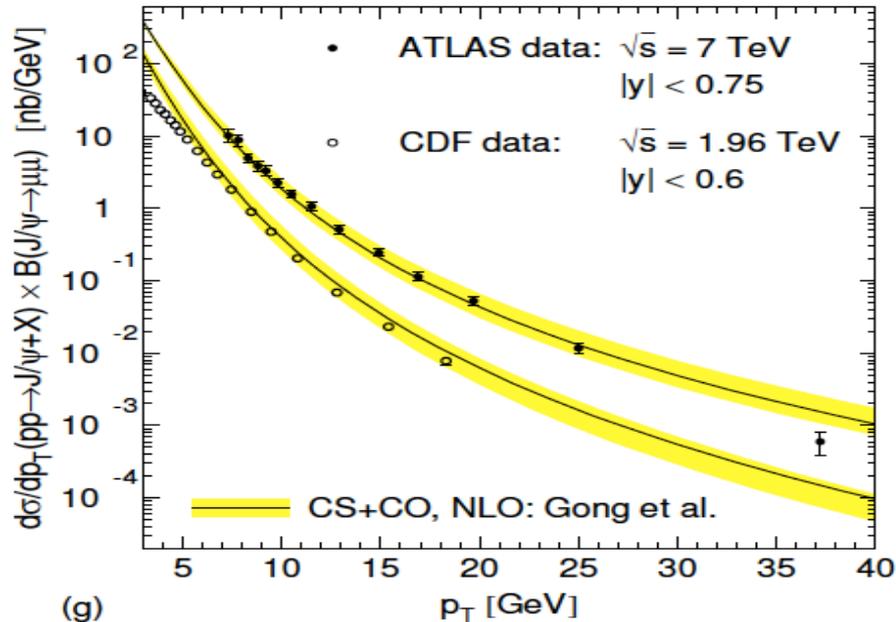


## Why is NLO so large? Polarization puzzle?

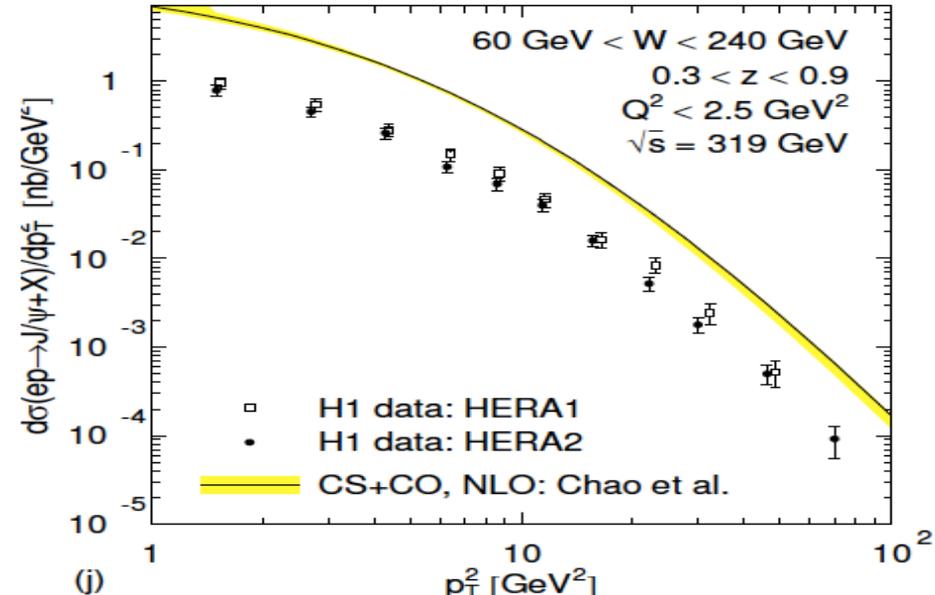
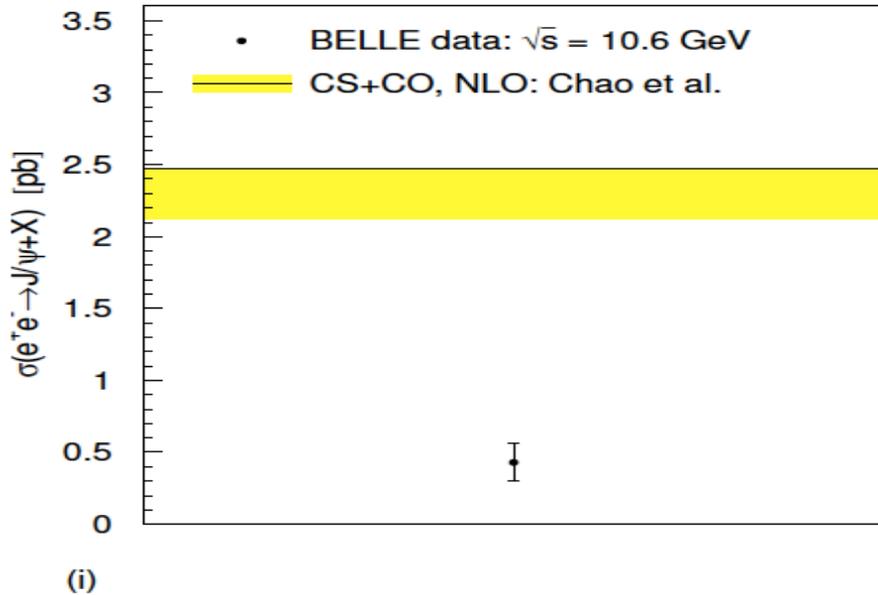
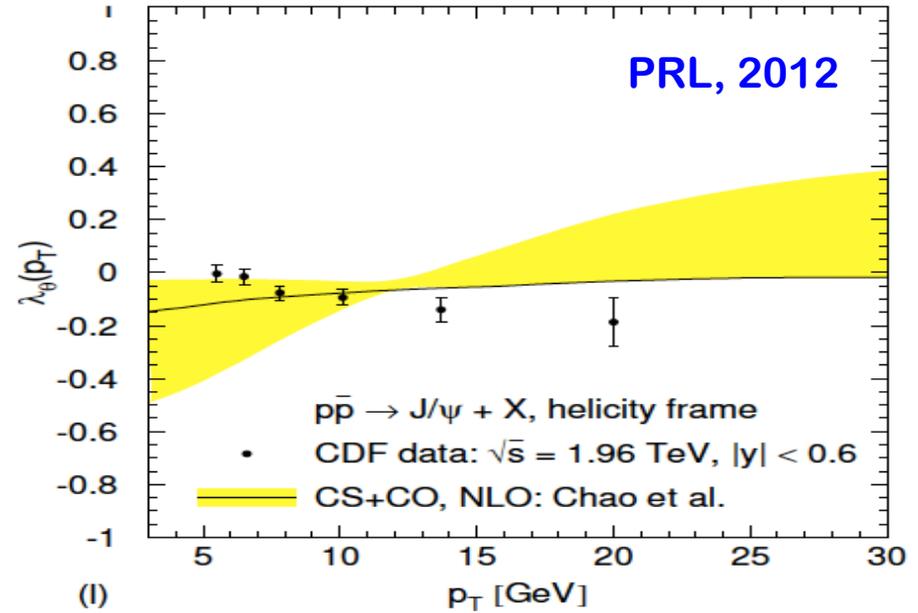
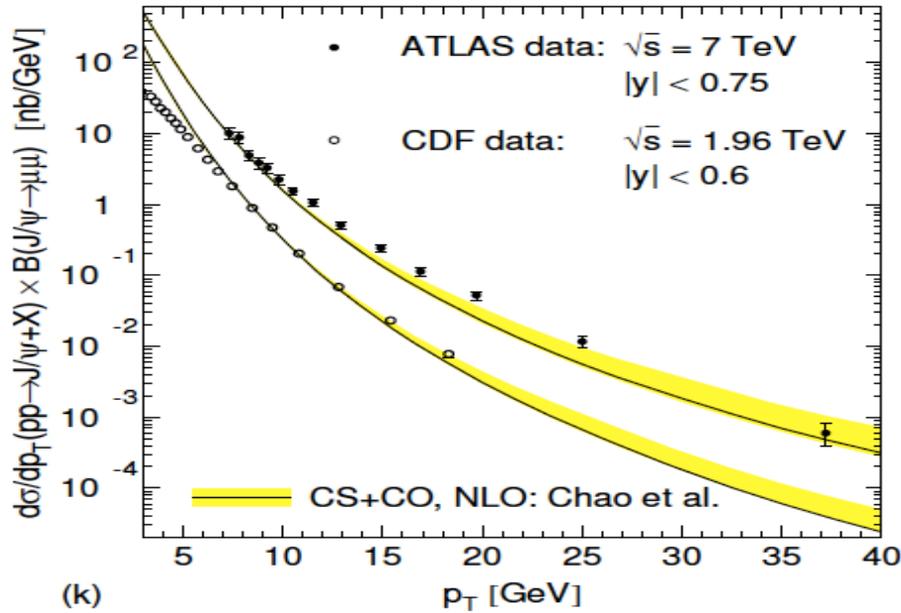
# Production (NRQCD) – Butenschoen et al.



# Production (NRQCD) – Gong et al.



# Production (NRQCD) – Chao et al.

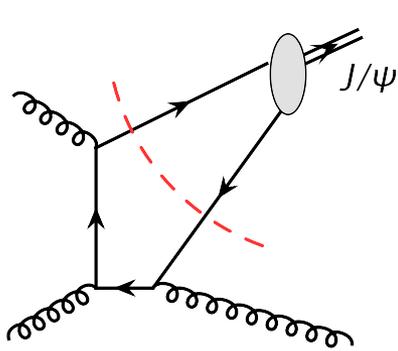


# Why high orders in NRQCD are so large?

□ Consider  $J/\psi$  production in CSM:

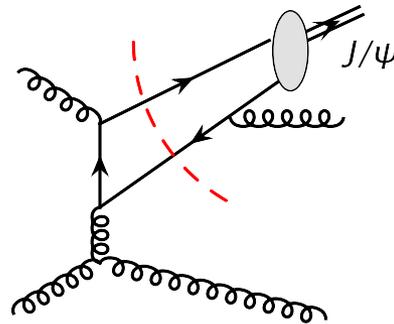
Kang, Qiu and Sterman, 2011

See also talk by H. Zhang



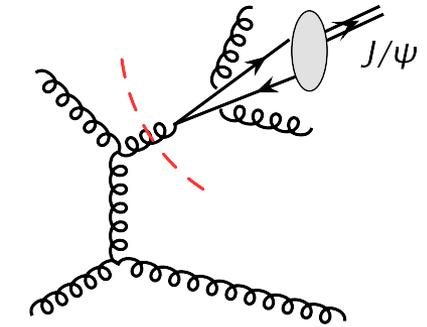
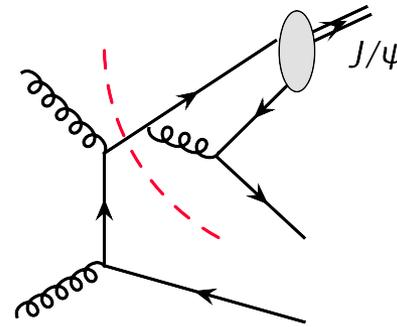
LO in  $\alpha_s$

$$\text{NNLP} \propto \alpha_s^3 \frac{m_Q^4}{p_T^8}$$



NLO in  $\alpha_s$

$$\text{NLP in } 1/p_T \propto \alpha_s^4 \frac{m_Q^2}{p_T^6}$$



NNLO in  $\alpha_s$

$$\text{LP:} \quad \propto \alpha_s^5 \frac{1}{p_T^4}$$

✧ High-order correction receive power enhancement

✧ Expect no further power enhancement beyond NNLO

✧  $[\alpha_s \ln(p_T^2/m_Q^2)]^n$  ruins the perturbation series at sufficiently large  $p_T$

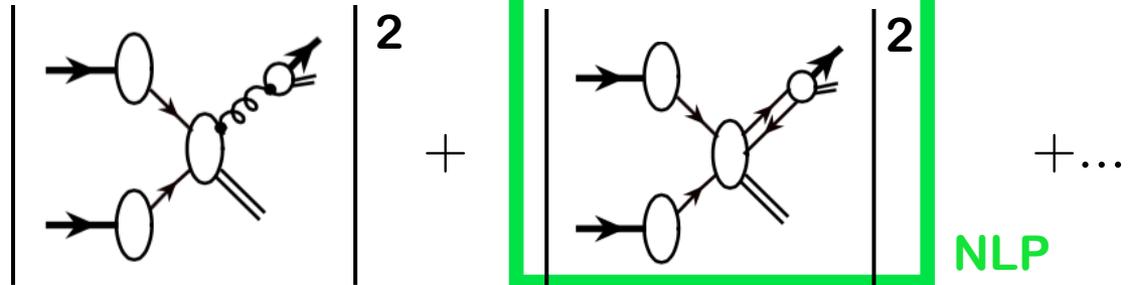
**Leading order in  $\alpha_s$ -expansion  $\neq$  leading power in  $1/p_T$ -expansion!**

**At high  $p_T$ , fragmentation contribution dominant**

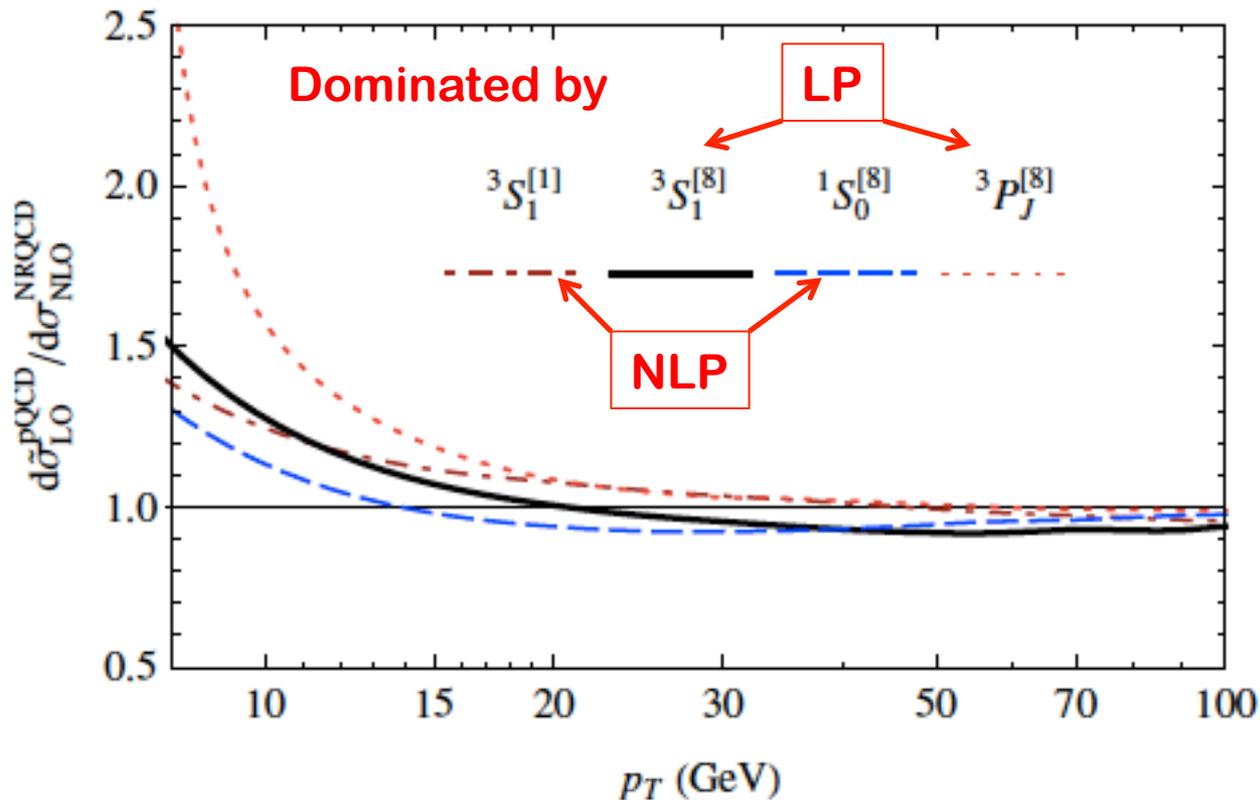
# QCD factorization – Kang et al.

## Power Expansion:

$$\frac{d\sigma_{AB \rightarrow H+X}}{dy dp_T^2} =$$



## Channel-by-channel comparison with NLO NRQCD:



independent of  
NRQCD  
matrix elements

LO QCD analytical  
results  
reproduce  
NLO NRQCD  
calculations  
(numerical)

# QCD factorization – Kang et al.

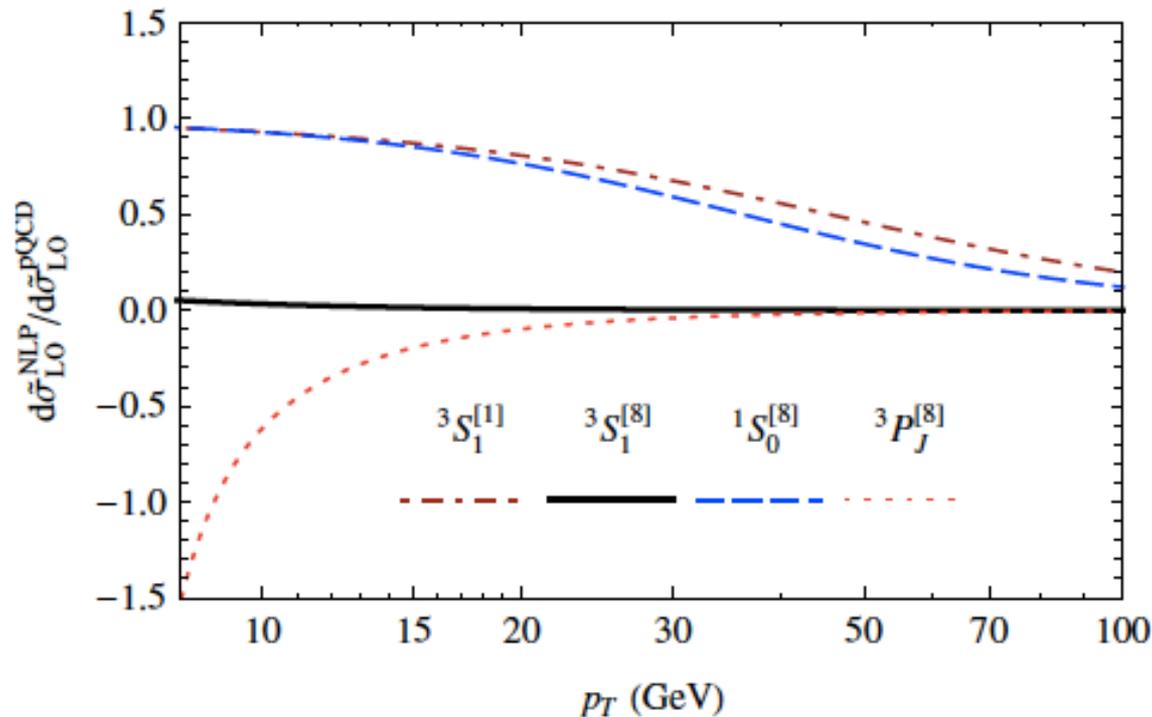
Kang, Ma, Qiu and Sterman, 2014

## Power Expansion:

$$\frac{d\sigma_{AB \rightarrow H+X}}{dy dp_T^2} = \left| \text{Diagram 1} \right|^2 + \left| \text{Diagram 2} \right|^2 + \dots$$

NLP

## Channel-by-channel, LP vs. NLP (both LO):



**LP dominated**

${}^3S_1^{[8]}$  and  ${}^3P_J^{[8]}$

**NLP dominated**

${}^1S_0^{[8]}$

for wide  $P_T$

**$P_T$  distribution is consistent with distribution of  ${}^1S_0^{[8]}$**

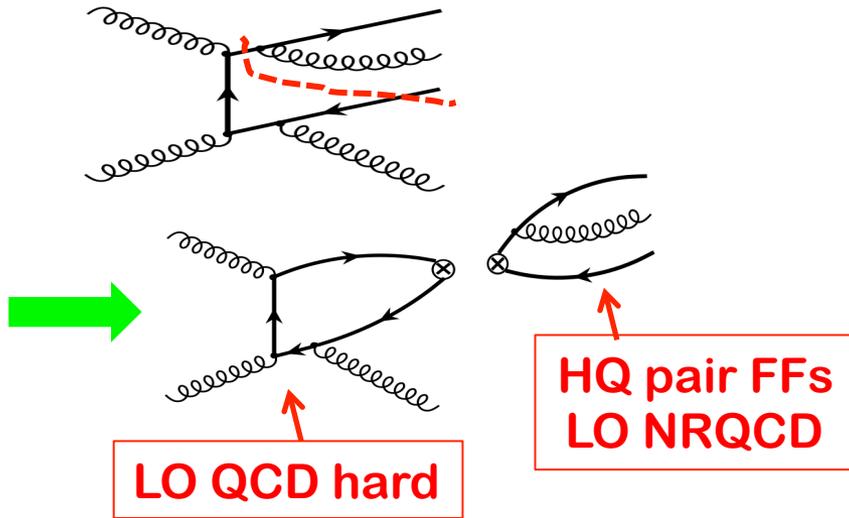
**QCD Factorization = better controlled HO corrections!**

PRL, 2014

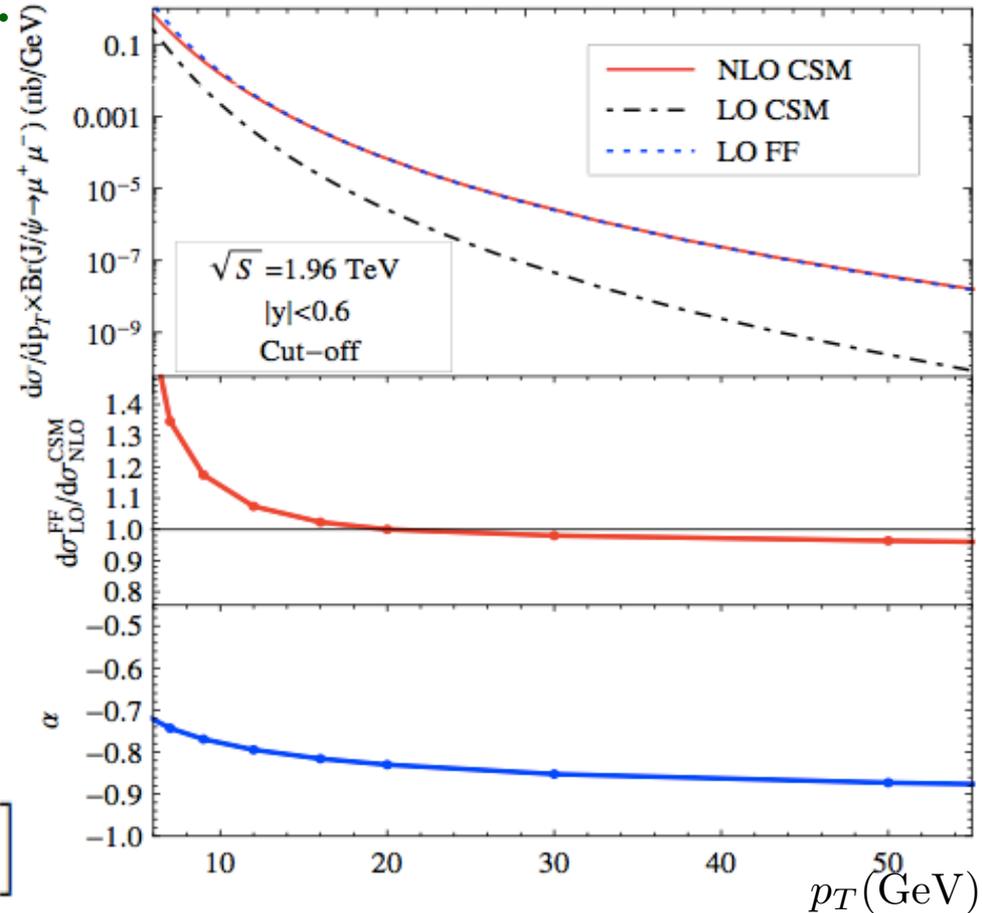
# LO QCD factorization vs NLO NRQCD

Kang, Ma, Qiu and Sterman, 2014

□ Color singlet as an example:



$$\sigma_{\text{NRQCD}}^{(\text{NLO})} \propto \left[ d\hat{\sigma}_{ab \rightarrow [Q\bar{Q}(v8)]}^{A(\text{LO})} \otimes \mathcal{D}_{[Q\bar{Q}(v8)] \rightarrow J/\psi}^{(\text{LO})} + d\hat{\sigma}_{ab \rightarrow [Q\bar{Q}(a8)]}^{S(\text{LO})} \otimes \mathcal{D}_{[Q\bar{Q}(a8)] \rightarrow J/\psi}^{(\text{LO})} \right]$$



LO pQCD: reproduces NLO CSM rate for  $p_T > 10$  GeV!

NLO pQCD can be done, while NNLO NRQCD is impossible!

**QCD Factorization = better controlled HO corrections!**

# Matching from high $p_T$ to low $p_T$

## □ Matching if both factorizable:

$$E_P \frac{d\sigma_{A+B \rightarrow H+X}}{d^3P}(P, m_Q) \equiv E_P \frac{d\sigma_{A+B \rightarrow H+X}^{\text{QCD}}}{d^3P}(P, m_Q = 0) \\ + E_P \frac{d\sigma_{A+B \rightarrow H+X}^{\text{NRQCD}}}{d^3P}(P, m_Q \neq 0) - E_P \frac{d\sigma_{A+B \rightarrow H+X}^{\text{QCD-Asym}}}{d^3P}(P, m_Q = 0)$$

Mass effect +  $P_T$  region ( $P_T \gtrsim m_Q$ )

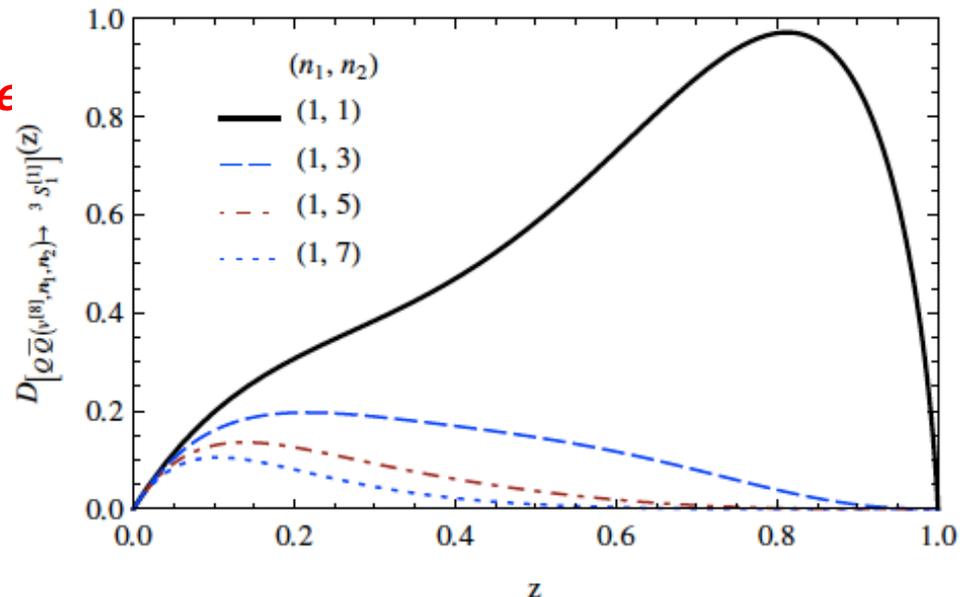
## □ Fragmentation functions – nonperturbative!

*Responsible for “polarization”,  
relative size of production channels*

## □ Model of FFs:

- ✧ NRQCD factorization of FFs
- ✧ Express all FFs in terms of a few NRQCD LDMEs

$$\mathcal{D}^{[n_1, n_2]}(z) \equiv \int_{-1}^1 \frac{d\zeta_1 d\zeta_2}{4} \zeta_1^{n_1} \zeta_2^{n_2} \mathcal{D}(z, \zeta_1, \zeta_2)$$

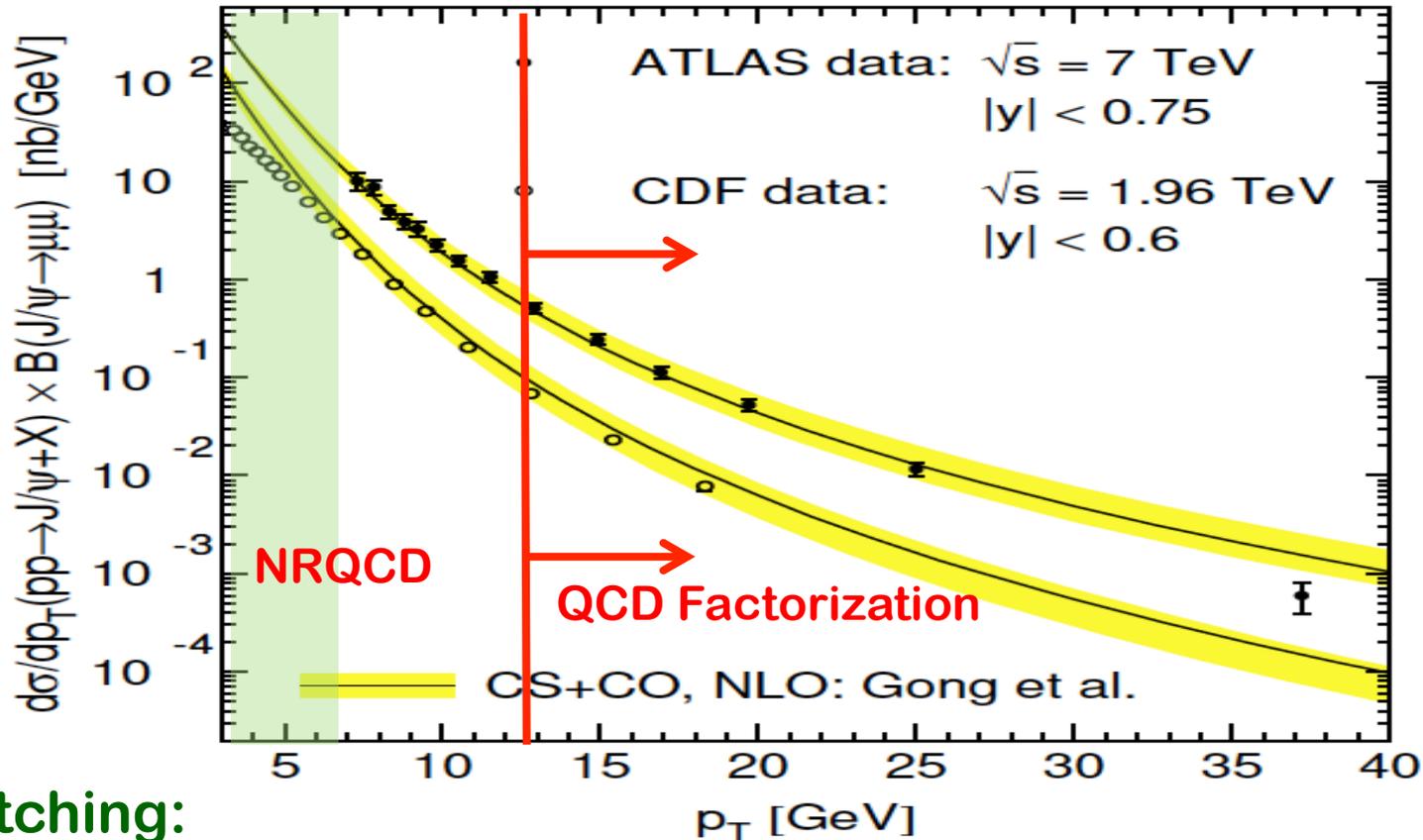


*QCD factorization approach is ready to compare with Data*

# Matching between QCD and NRQCD

Kang, Ma, Qiu and Sterman, 2014

## Expectation:



## Matching:

$$E_P \frac{d\sigma_{A+B \rightarrow H+X}}{d^3P}(P, m_Q) \equiv E_P \frac{d\sigma_{A+B \rightarrow H+X}^{\text{QCD}}}{d^3P}(P, m_Q = 0) + E_P \frac{d\sigma_{A+B \rightarrow H+X}^{\text{NRQCD}}}{d^3P}(P, m_Q \neq 0) - E_P \frac{d\sigma_{A+B \rightarrow H+X}^{\text{QCD-Asym}}}{d^3P}(P, m_Q = 0)$$

Mass effect + expanded  $P_T$  region ( $P_T \gtrsim m_Q$ )

# Summary of lecture three

- ❑ Many new techniques have been developed in recent years for NNLO or higher order calculations – not discussed here
- ❑ QCD resummation techniques have been well-developed, and have played a key role in improving the precision of theoretical predictions
- ❑ Heavy quarkonium production is still a very fascinating subject challenging our understanding of QCD bound states
- ❑ Theory had a lot advances in last decade in dealing with observables with multiple observed momentum scales:  
*Provide new probes to “see” the confined motion: the large scale to pin down the parton d.o.f. while the small scale to probe the nonperturbative structure as well as the motion*
- ❑ Proton spin provides another controllable “knob” to help isolate various physical effects

**Backup slides**