

Quantum Chromodynamics (QCD)

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Weihai High Energy Physics School (WHEPS)

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The plan for my four lectures

□ The Goal:

To understand the strong interaction dynamics, and hadron structure, in terms of Quantum Chromo-dynamics (QCD)

□ The Plan (approximately):

Fundamentals of QCD, factorization, evolution,
and elementary hard processes

Two lectures

Role of QCD in high energy collider phenomenology

One lecture

QCD and hadron structure and properties

One lecture

Summary of lecture three

- ❑ Many new techniques have been developed in recent years for NNLO or higher order calculations – not discussed here
- ❑ QCD resummation techniques have been well-developed, and have played a key role in improving the precision of theoretical predictions
- ❑ Heavy quarkonium production is still a very fascinating subject challenging our understanding of QCD bound states
- ❑ Theory had a lot advances in last decade in dealing with observables with multiple observed momentum scales:
Provide new probes to “see” the confined motion: the large scale to pin down the parton d.o.f. while the small scale to probe the nonperturbative structure as well as the motion
- ❑ Proton spin provides another controllable “knob” to help isolate various physical effects

Nucleon is not elementary!

1933: Proton's magnetic moment



Otto Stern

Nobel Prize 1943

$$g \neq 2$$

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1933: Proton's magnetic moment



Otto Stern

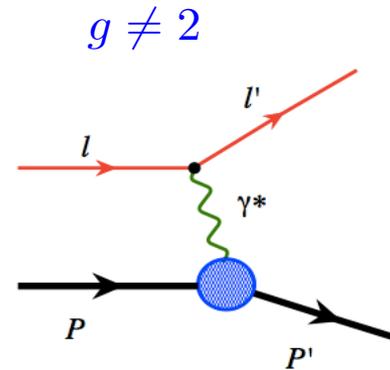
Nobel Prize 1943

1960: Elastic e-p scattering



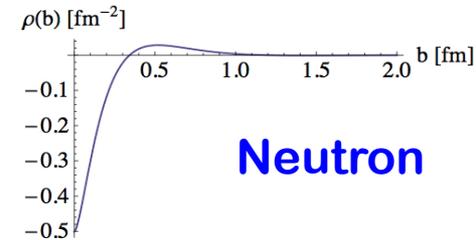
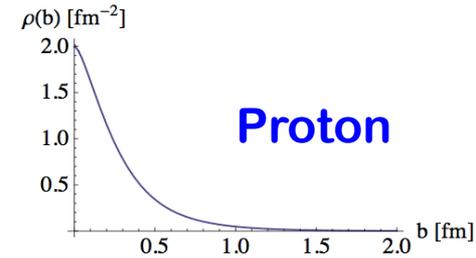
Robert Hofstadter

Nobel Prize 1961



Form factors

→ Electric charge distribution



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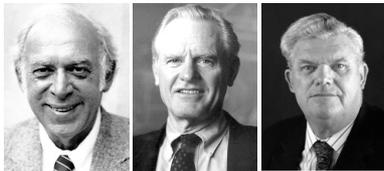
1960: Elastic e-p scattering



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Nobel Prize 1961

1969: Deep inelastic e-p scattering

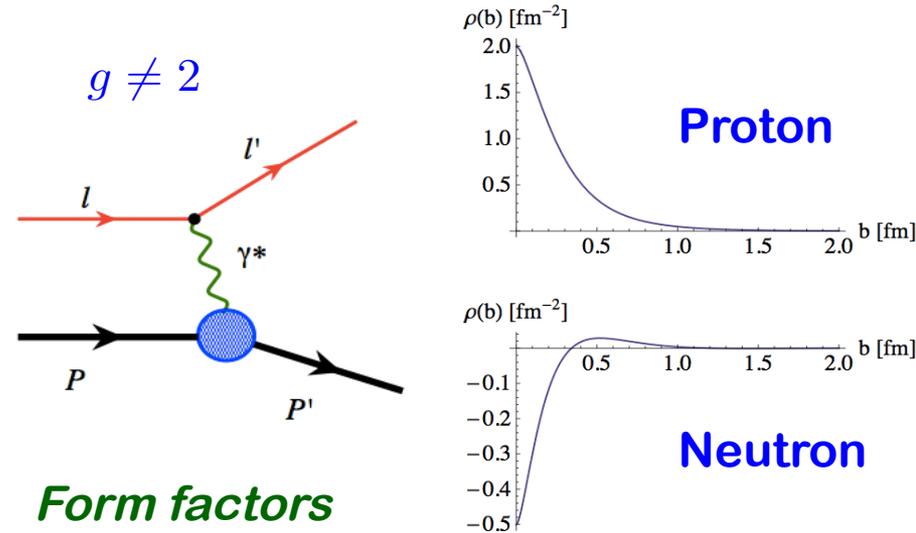


Jerome I. Friedman

Henry W. Kendall

Richard E. Taylor

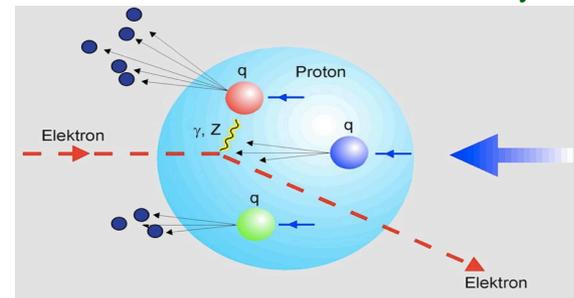
Nobel Prize 1990



Form factors

→ *Electric charge distribution*

Modern "Rutherford's experiment"



Point-like partons

→ *Discovery of QCD*

Nucleon is not elementary!

1933: Proton's magnetic moment



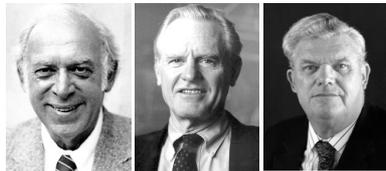
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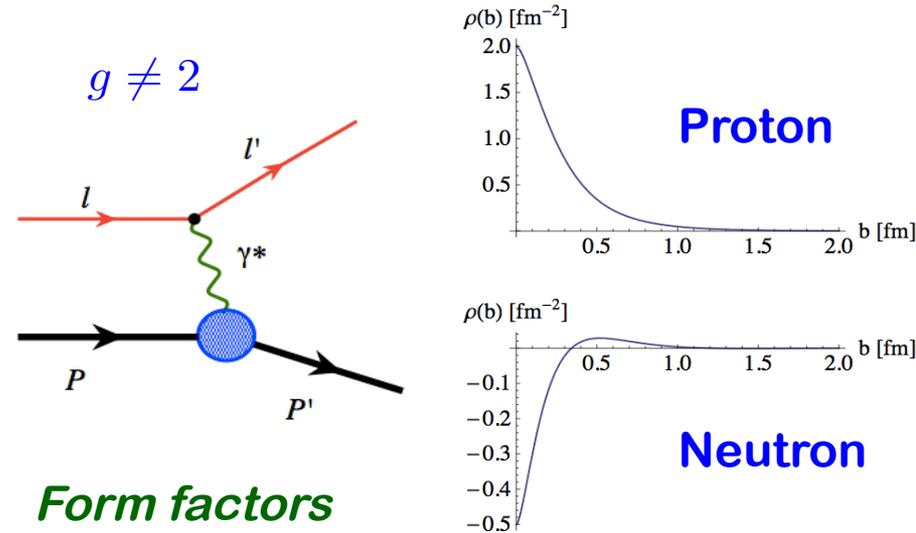


Jerome I. Friedman
Henry W. Kendall
Richard E. Taylor
Nobel Prize 1990

1974: QCD Asymptotic Freedom



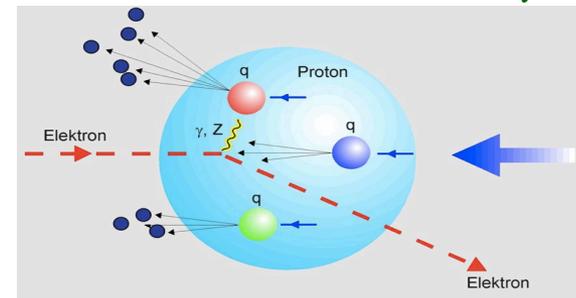
David J. Gross
H. David Politzer
Frank Wilczek
Nobel Prize 2004



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→ *Electric charge distribution*

Modern "Rutherford's experiment"



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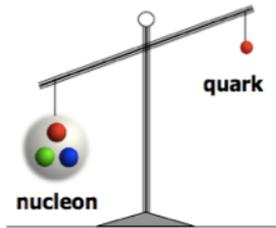
Scaling violation

→ *Perturbative QCD – theory tool*

Factorization - PDFs

Hadron properties

□ How does QCD generate energy for the proton's mass?



$$m_q \sim 10 \text{ MeV}$$

$$m_N \sim 1000 \text{ MeV}$$

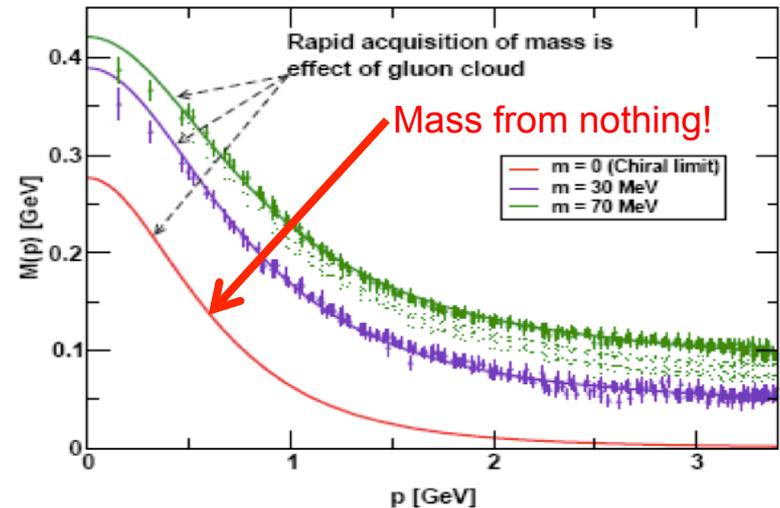
Quark mass $\sim 1\%$ proton's mass

Higgs mechanism is not enough!!!

□ Generation of mass:

from QCD dynamics?

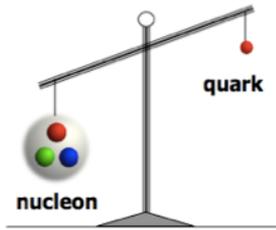
- ✧ BSE calculation results confirmed by lattice simulation
- ✧ Light-quark mass comes from a cloud of soft gluons



C.D. Roberts, *Prog. Part. Nucl. Phys.* 61 (2008) 50
M. Bhagwat & P.C. Tandy, *AIP Conf.Proc.* 842 (2006) 225-227

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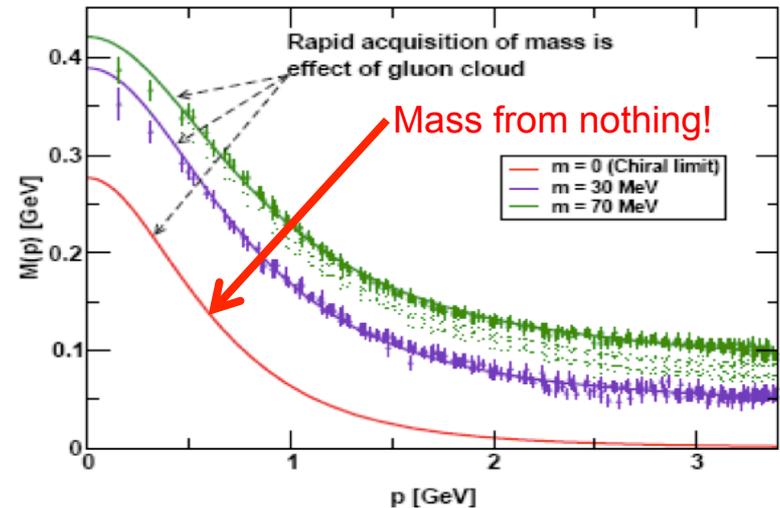
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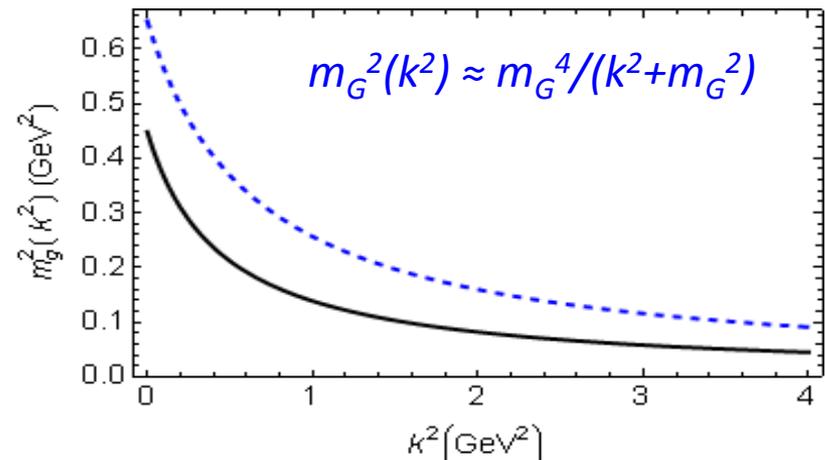
□ Generation of mass:

from QCD dynamics?

- ✧ BSE calculation results confirmed by lattice simulation
- ✧ Light-quark mass comes from a cloud of soft gluons
- ✧ Gluon is massless in UV, but "massive" in IR



C.D. Roberts, *Prog. Part. Nucl. Phys.* 61 (2008) 50
 M. Bhagwat & P.C. Tandy, *AIP Conf.Proc.* 842 (2006) 225-227



Qin et al., *Phys. Rev. C* 84 042202 (Rapid Comm.)

Hadron mass sum rule

Ji, 1994

□ QCD definition:

$$M = \frac{\langle P | \int d^3x T^{00}(0, \mathbf{x}) | P \rangle}{\langle P | P \rangle} \equiv \langle T^{00} \rangle$$

QCD energy-momentum tensor:

$$T^{\mu\nu} = \frac{1}{2} \bar{\psi} i \overleftrightarrow{D}^{(\mu} \gamma^{\nu)} \psi + \frac{1}{4} g^{\mu\nu} F^2 - F^{\mu\alpha} F^{\nu}_{\alpha} \longrightarrow H_{\text{QCD}} = \int d^3x T^{00}(0, \mathbf{x})$$

□ Decomposition:

$$H_{\text{QCD}} = H_q + H_m + H_g + H_a$$

Mass type	H_i	M_i	$m_s \rightarrow 0$ (MeV)	$m_s \rightarrow \infty$ (MeV)
Quark energy	$\psi^\dagger (-i \mathbf{D} \cdot \boldsymbol{\alpha}) \psi$	$3(a - b)/4$	270	300
Quark mass	$\bar{\psi} m \psi$	b	160	110
Gluon energy	$\frac{1}{2} (\mathbf{E}^2 + \mathbf{B}^2)$	$3(1 - a)/4$	320	320
Trace anomaly	$\frac{9\alpha_s}{16\pi} (\mathbf{E}^2 - \mathbf{B}^2)$	$(1 - b)/4$	190	210

$$a(\mu^2) = \sum_f \int_0^1 x [q_f(x, \mu^2) + \bar{q}_f(x, \mu^2)] dx$$

$$bM = \langle P | m_u \bar{u}u + m_d \bar{d}d | P \rangle + \langle P | m_s \bar{s}s | P \rangle$$

✧ None of these terms is a “direct” physical measurable (e.g. cross section)!

Can we “measure” them with controllable approximation?

Can we “measure” them by lattice calculation, or other approaches?

Lattice QCD

- Formulated in the discretized Euclidean space:

$$S^f = a^4 \sum_x \left[\frac{1}{2a} \sum_\mu [\bar{\psi}(x) \gamma_\mu U_\mu(x) \psi(x + a\hat{\mu}) - \bar{\psi}(x + a\hat{\mu}) \gamma_\mu U_\mu^\dagger(x) \psi(x)] + m \bar{\psi}(x) \psi(x) \right]$$

$$S^g = \frac{1}{g_0^2} a^4 \sum_{x, \mu\nu} \left[N_c - \text{ReTr}[U_\mu(x) U_\nu(x + a\hat{\mu}) U_\mu^\dagger(x + a\hat{\nu}) U_\nu^\dagger(x)] \right]$$

$$U_\mu(x) = e^{-igaT^a A_\mu^a(x + \frac{1}{2})}$$

- Boundary condition is imposed on each field in finite volume:

Momentum space is restricted in finite Brillouin zone: $\left\{ -\frac{\pi}{a}, \frac{\pi}{a} \right\}$

Lattice QCD is an Ultra-Violet (UV) **finite** theory

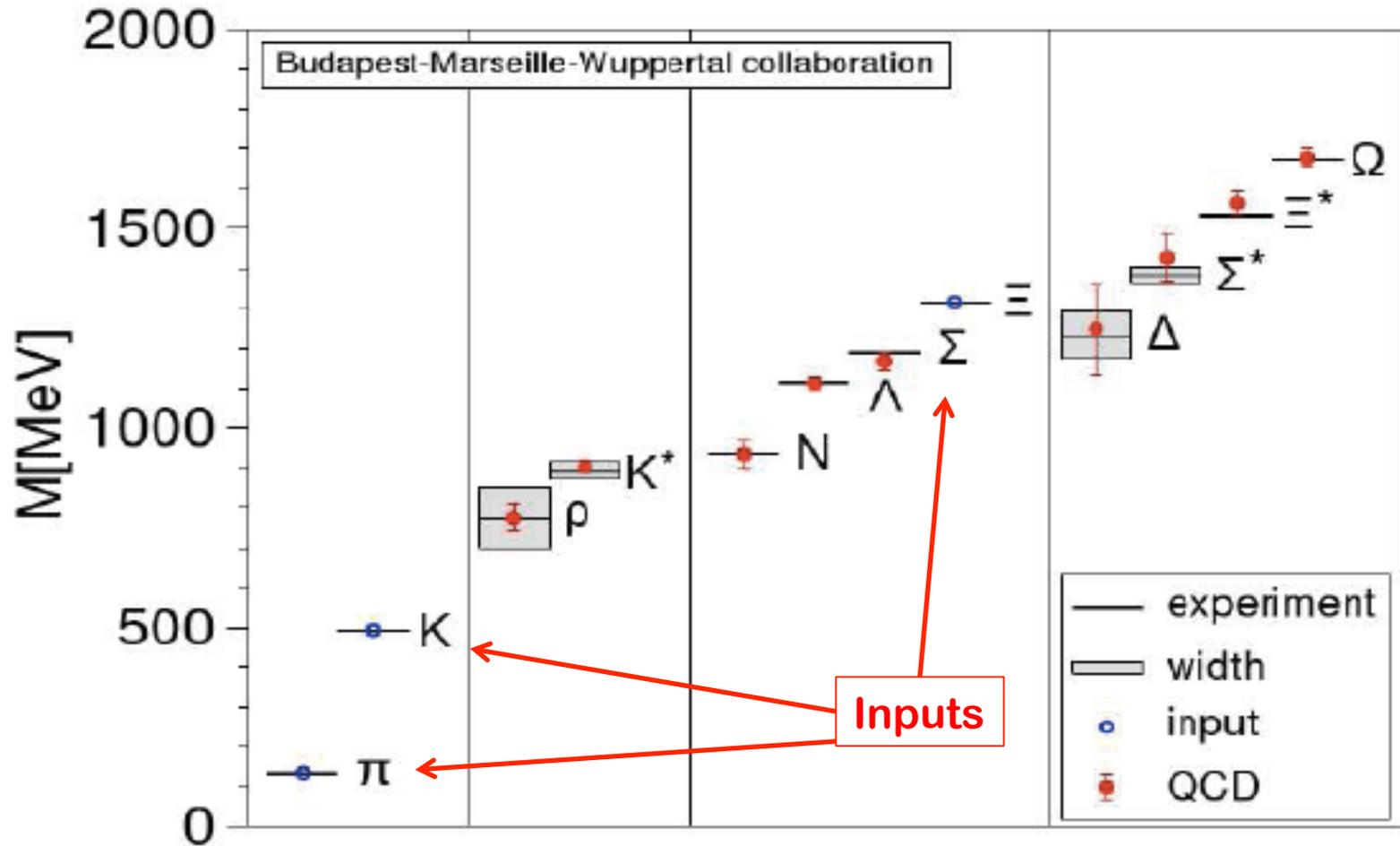
Lattice action is not unique, above action is the simplest one!

Many implementations were proposed to reduce the discretization error

Hadron properties from Lattice QCD

Low-lying hadron mass spectrum:

S. Durr et al. Science 322, 1124 2008

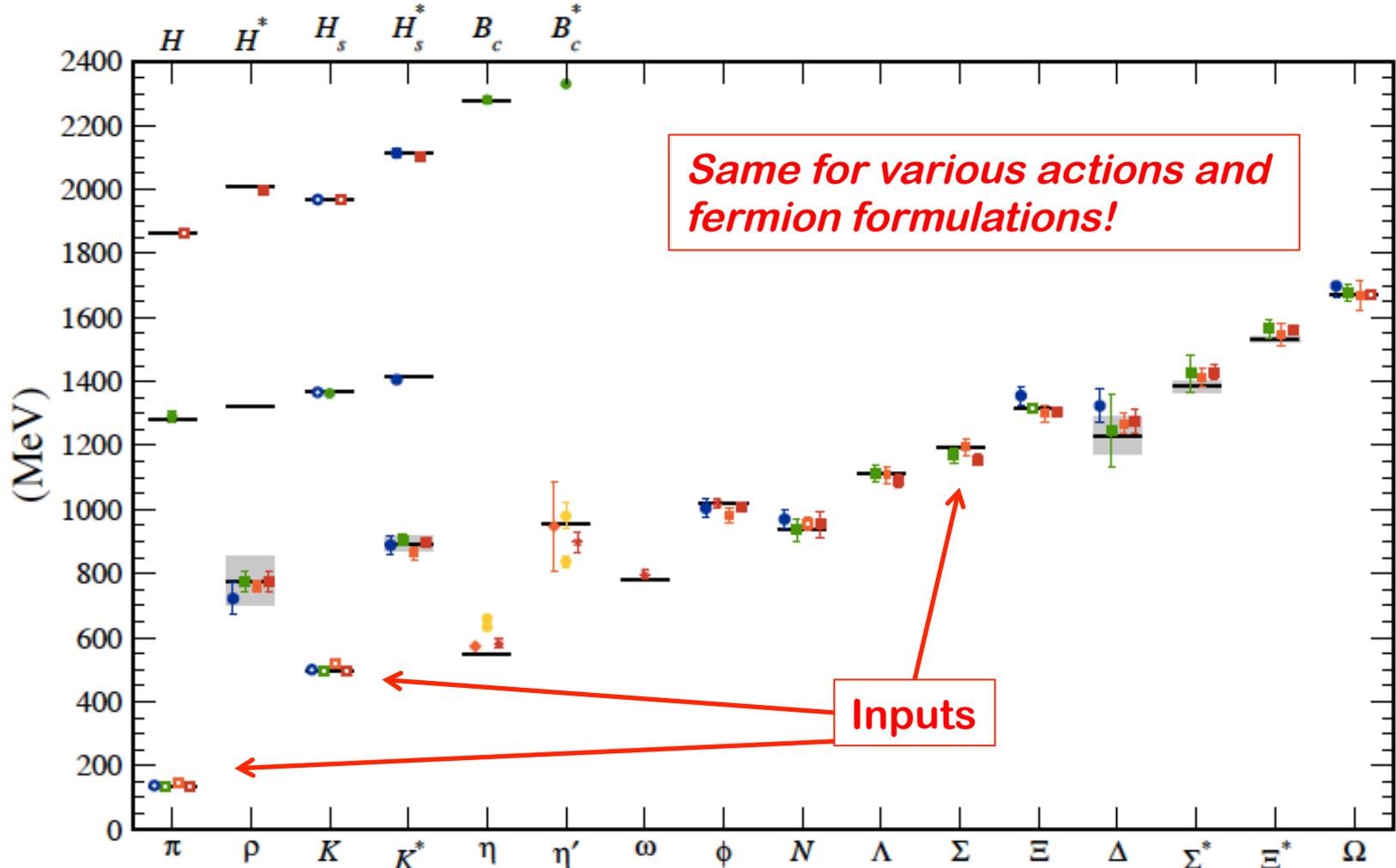


Predictions with limited inputs

Hadron properties from Lattice QCD

Low-lying hadron mass spectrum:

A. Kronfeld, 1209.3468

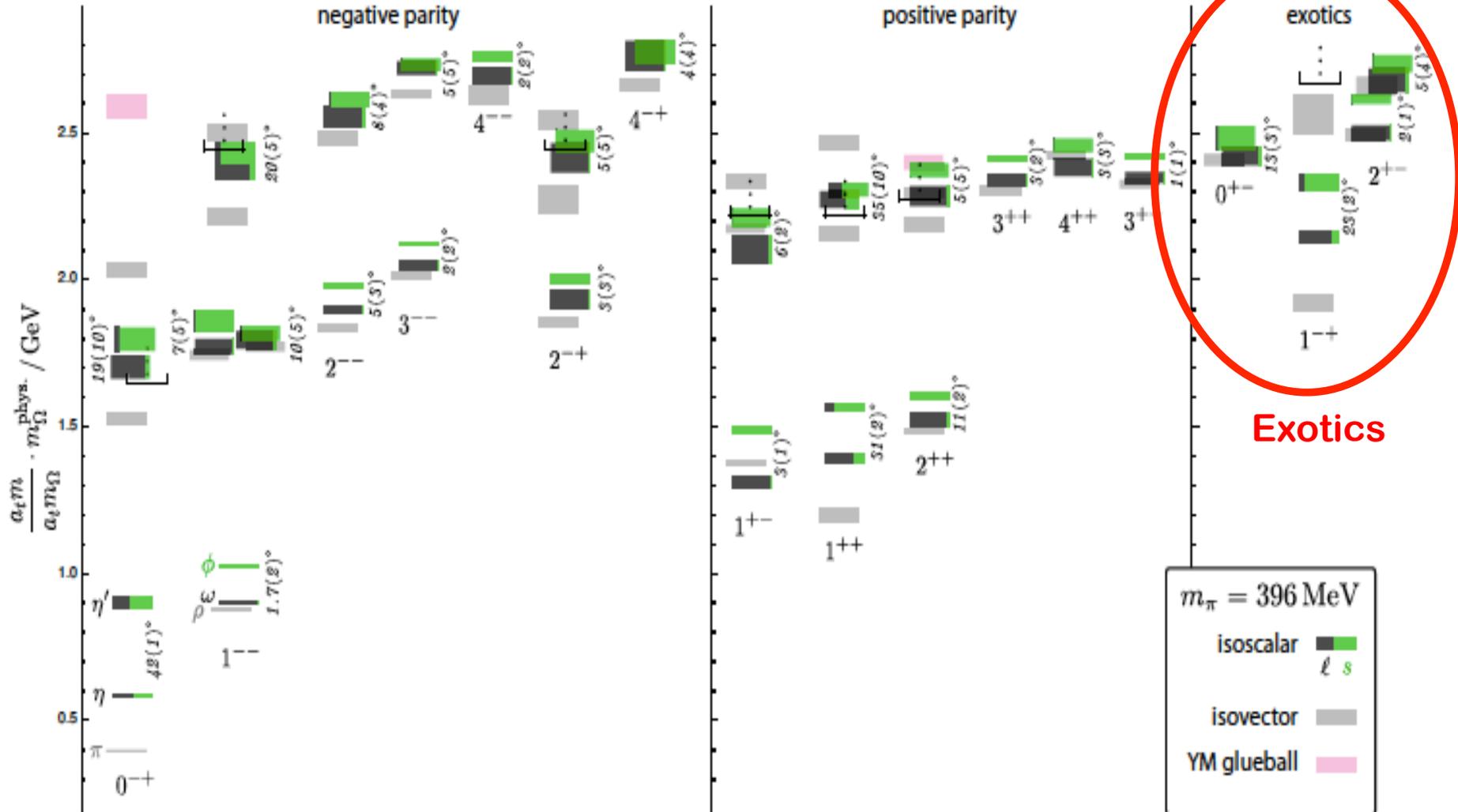


Predictions with limited inputs

Hadron properties from Lattice QCD

□ Meson resonances:

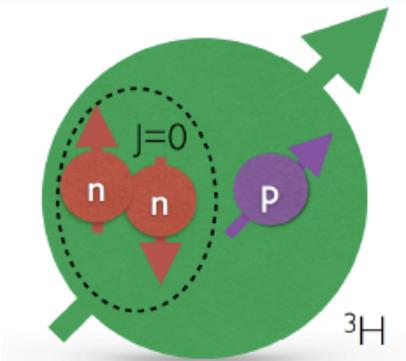
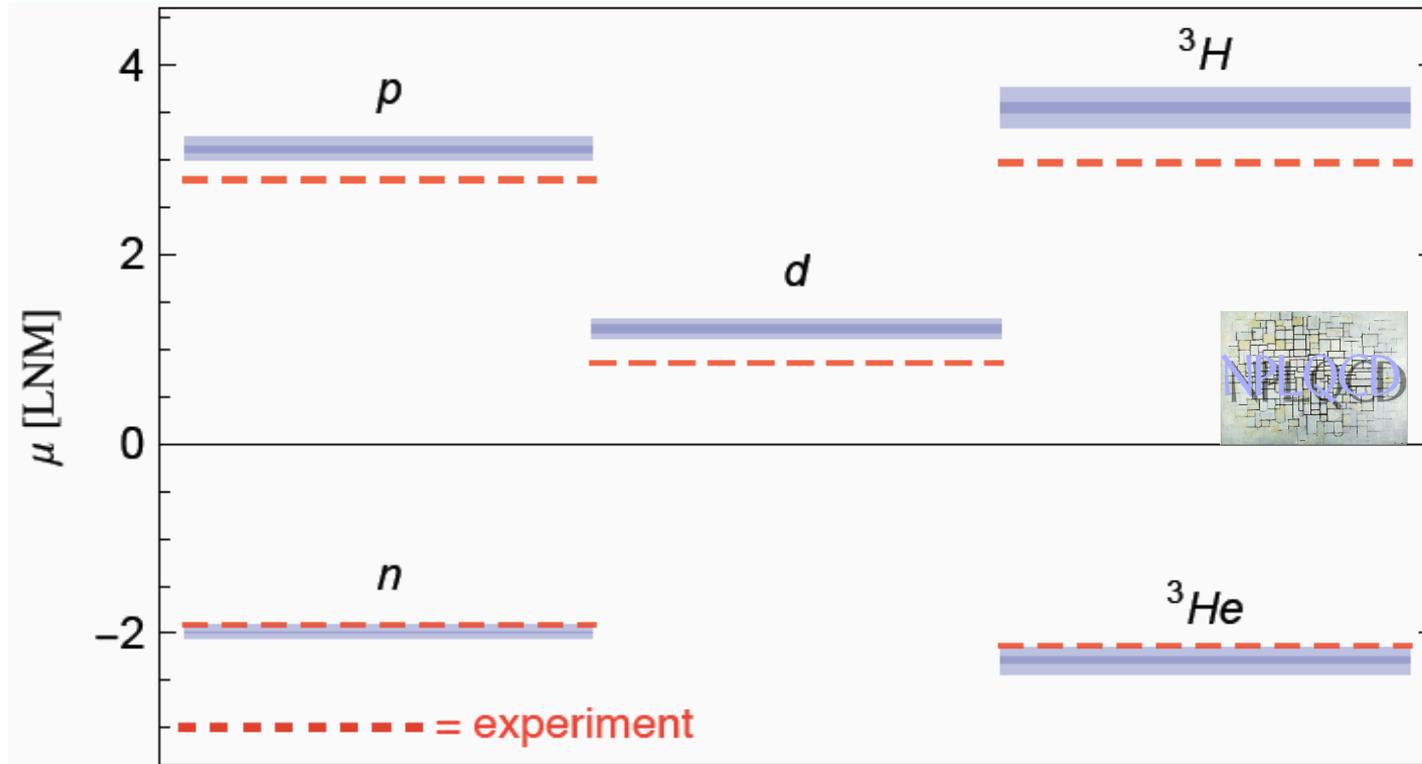
Dudek et al, Phys.Rev. D88 (2013) 094505



Hadron properties from Lattice QCD

□ Magnetic moments:

S.R. Beane et al., Phys.Rev.Lett. 113 (2014) 252001



Theory at $m_\pi = 806$ MeV vs. the nature!

Nuclei are (nearly) collections of nucleons – shell model phenomenology!

Proton spin

□ Proton is NOT elementary, but, a composite particle:

- ✧ Proton-spin = Proton's angular momentum when it is at rest
- ✧ Proton-spin = One number touches every part of the quantum world
from the quantum mechanics to the quantum field theory and QCD

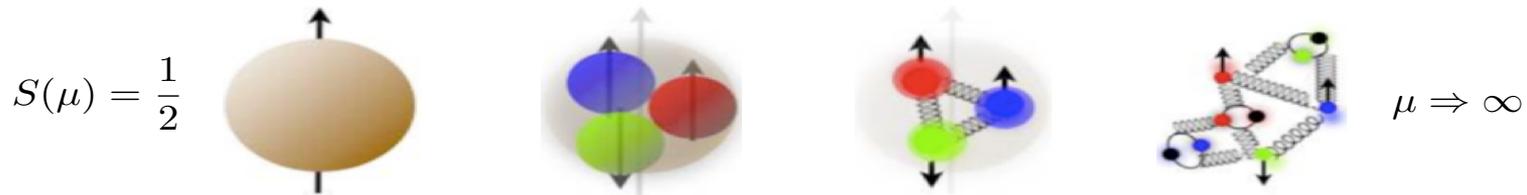


- ✧ Proton-spin = One number carries every secrets of QCD dynamics
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□ Quark Model:

- ✧ Expectation: $S_p \equiv \langle p \uparrow | S | p \uparrow \rangle = \frac{1}{2}, \quad S = \sum_i S_i$
- ✧ Wave function: $|p \uparrow \rangle = \sqrt{\frac{1}{18}} [u \uparrow u \downarrow d \uparrow + u \downarrow u \uparrow d \uparrow - 2u \uparrow u \uparrow d \downarrow + \text{perm.}]$

Skymion Model, MIT Bag Model, Chiral Bag Model, ...

Proton spin in QCD

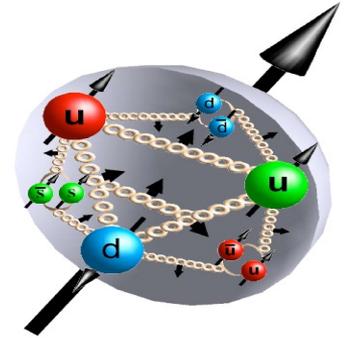
□ Complexity of the proton in QCD:

Known from QCD

$$S(\mu) = \sum_f \langle P, S | \hat{J}_f^z(\mu) | P, S \rangle = \frac{1}{2} \equiv J_q(\mu) + J_g(\mu)$$

From QCD, But, unknown

$$\vec{J}_q = \int d^3x \left[\psi_q^\dagger \vec{\gamma} \gamma_5 \psi_q + \psi_q^\dagger (\vec{x} \times (-i\vec{D})) \psi_q \right] \quad \vec{J}_g = \int d^3x \left[\vec{x} \times (\vec{E} \times \vec{B}) \right]$$



□ Asymptotic limit:

$$J_q(\mu \rightarrow \infty) \Rightarrow \frac{1}{2} \frac{3N_f}{16 + 3N_f} \sim \frac{1}{4}$$

$$J_g(\mu \rightarrow \infty) \Rightarrow \frac{1}{2} \frac{16}{16 + 3N_f} \sim \frac{1}{4}$$

Ji, 2005

Proton spin in QCD

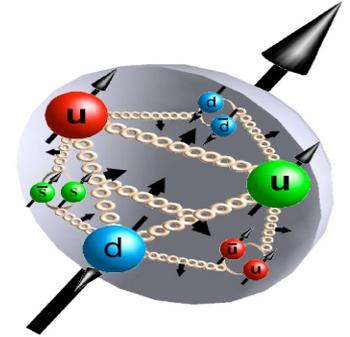
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Spin sum rule – not unique!

$$S(\mu) = \frac{1}{2} \Sigma(\mu) + L_q(\mu) + \Delta G(\mu) + \overbrace{[J_g(\mu) - \Delta G(\mu)]}^{L_g(Q^2)}$$

Intrinsic parton's spin:

$$\Sigma(Q^2) = \sum [\Delta q(Q^2) + \Delta \bar{q}(Q^2)], \quad \Delta G(Q^2)$$

dynamical parton motion:

$$L_q(Q^2), \quad \overline{L}_g(Q^2)$$

- Matrix elements of quark and gluon fields are **NOT** physical observables!
- Infinite possibilities of decompositions – **connection to observables?**

Parton helicity distributions

□ Quark helicity distribution:

$$\Delta q(x) = \int \frac{dy^-}{2\pi} e^{ixp^+y^-} \frac{1}{2} \left[\langle p, s_{\parallel} | \bar{\psi}_q(0) \gamma^+ \frac{1 + \gamma^5}{2} \psi_q(y^-) | p, s_{\parallel} \rangle - \langle p, -s_{\parallel} | \bar{\psi}_q(0) \gamma^+ \frac{1 - \gamma^5}{2} \psi_q(y^-) | p, -s_{\parallel} \rangle \right]$$

P + T 

$$\Delta q(x) = \int \frac{dy^-}{2\pi} e^{ixp^+y^-} \langle p, s_{\parallel} | \left[\bar{\psi}_q(0) \frac{\gamma^+ \gamma^5}{2} \psi_q(y^-) \right] | p, s_{\parallel} \rangle$$

✧ **Fourier Transform of light-cone matrix element:** $\langle p, s_{\parallel} | \mathcal{O}_q(y^-) | p, s_{\parallel} \rangle$

$$\mathcal{O}_q(y^-) = \bar{\psi}_q(0) \frac{\gamma^+ \gamma^5}{2} \psi(y^-)$$

The γ^5 flips the quark helicity at the cut-vertex

✧ **Necessary condition for nonvanish asymmetries – P + T:**

$$\langle p, s_{\parallel} | \mathcal{O}_q(y^-) | p, s_{\parallel} \rangle \iff -\langle p, -s_{\parallel} | \mathcal{O}_q(y^-) | p, -s_{\parallel} \rangle$$

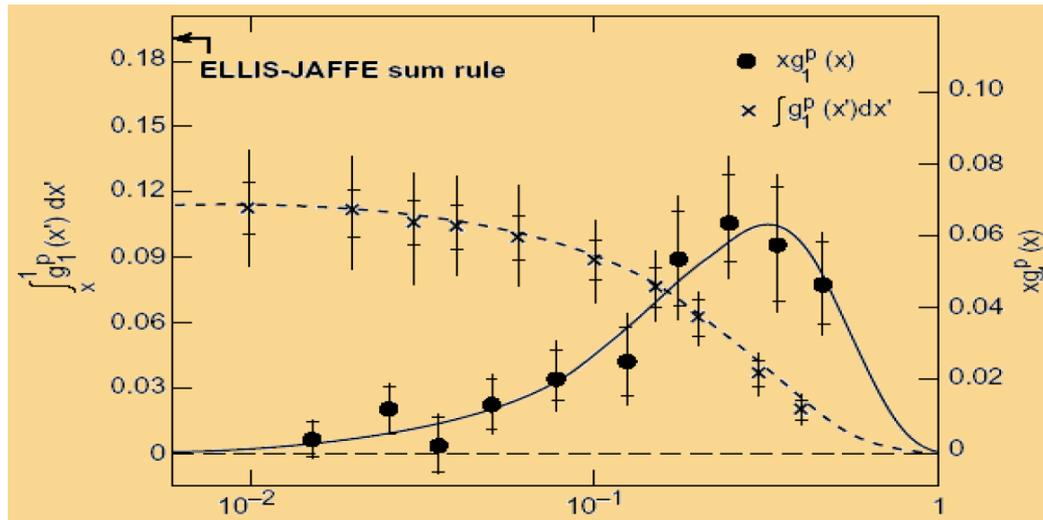
□ Gluon helicity distribution:

$$\mathcal{O}_g(y^-) = \frac{1}{xp^+} F^{+\alpha}(0) [-i \varepsilon_{\alpha\beta}] F^{+\beta}(y^-)$$

The $i\varepsilon_{\alpha\beta}$ flips gluon helicity at the cut-vertex

Proton “spin crisis” – excited the field

□ EMC (European Muon Collaboration '87) – “the Plot”:



$$g_1(x) = \frac{1}{2} \sum_q e_q^2 [\Delta q(x) + \Delta \bar{q}(x)] + \mathcal{O}(\alpha_s) + \mathcal{O}(1/Q)$$

✧ Combined with earlier SLAC data:

$$\int_0^1 g_1^p(x) dx = 0.126 \pm 0.018$$

✧ Combined with: $g_A^3 = \Delta u - \Delta d$ and $g_A^8 = \Delta u + \Delta d - 2\Delta s$

from low energy neutron & hyperon β decay

➡
$$\Delta\Sigma = \sum_q [\Delta q + \Delta \bar{q}] = 0.12 \pm 0.17$$

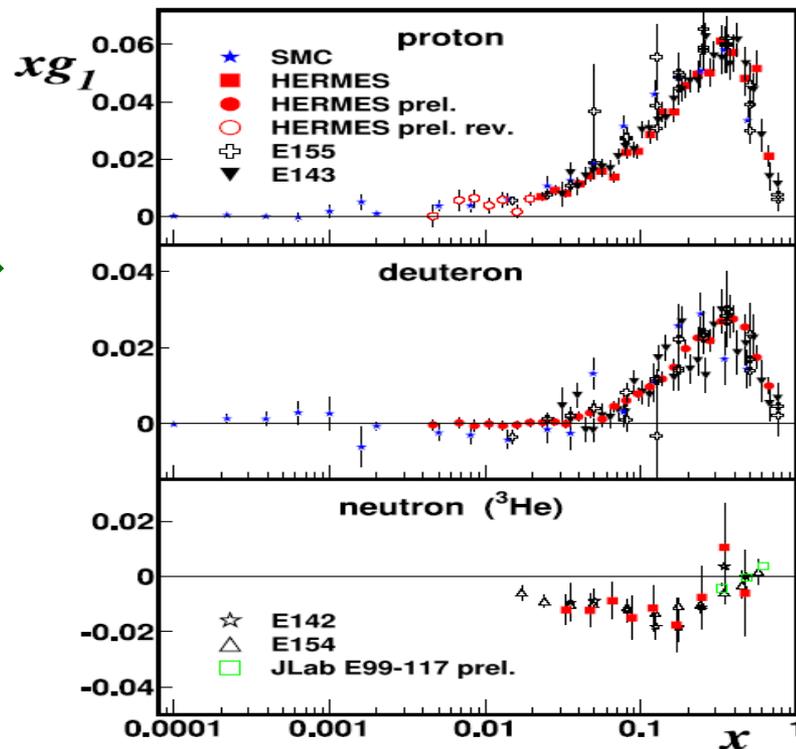
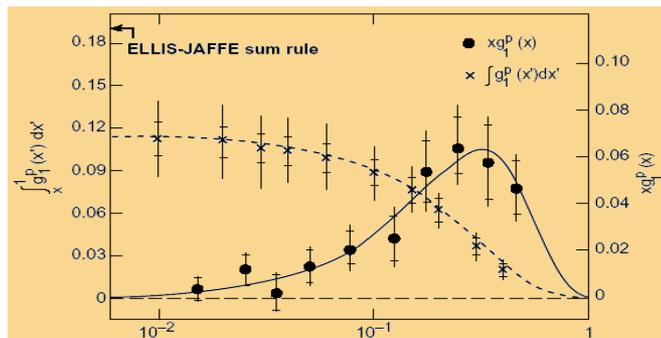
□ “Spin crisis” or puzzle:

- ✧ Strange sea polarization is sizable & negative
- ✧ Very little of the proton spin is carried by quarks

➡ New era of spin physics

Inclusive DIS data – over 20 years

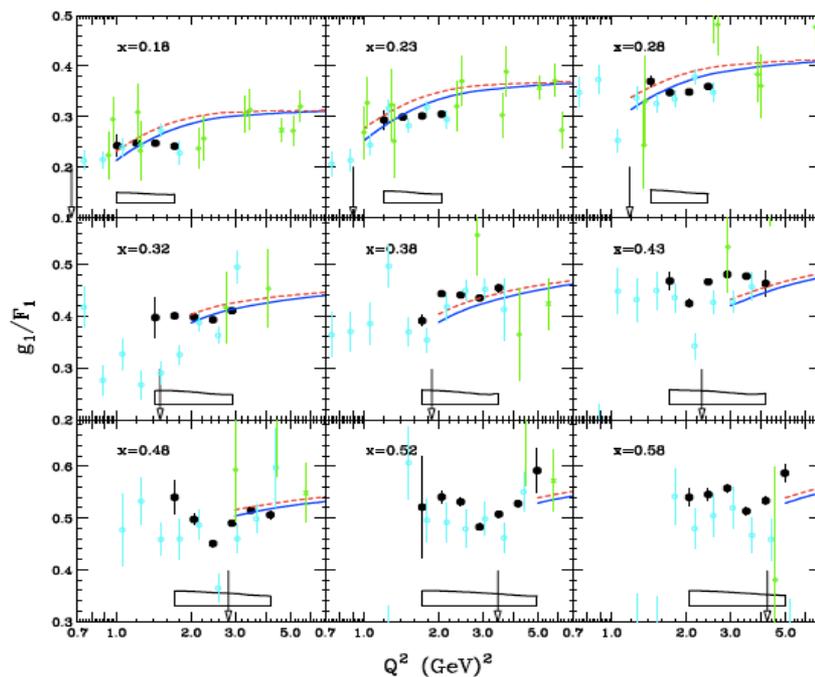
□ The “Plot” is greatly improved:



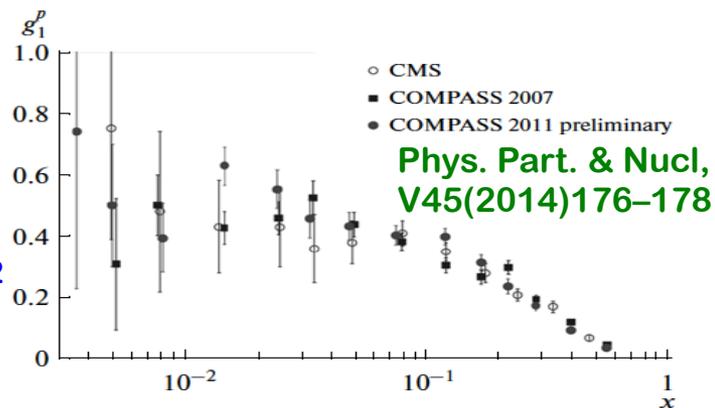
JLab/CLAS



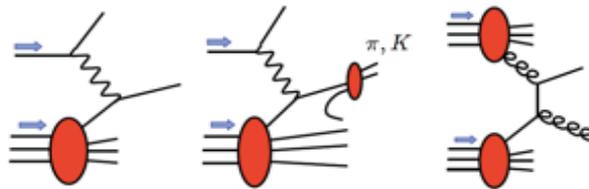
arXiv:1404.6231



Lower Q^2
HT's



Recent helicity PDF fits @ NLO



uncertainties

last update

NNPDF

Ball, Forte, Guffanti, Nocera, Rodolfi, Rojo



100 replicas
stat. approach

1303.7236

DSSV

de Florian, Sassot, MS, Vogelsang



L.M. $\Delta\chi^2 = 8$ (1)
(Hessian $\Delta\chi^2 = 1$)

0904.3821

[DSSV+/++: 1112.0904
1304.0079]

LSS

Leader, Sidorov, Stamenov



Hessian $\Delta\chi^2 = 1$

1010.0574

BB

Blumlein, Bottcher



Hessian $\Delta\chi^2 = 1$

1010.3113

⋮

⋮

⋮

GRSV

Gluck, Reya, MS, Vogelsang



1st NLO analysis

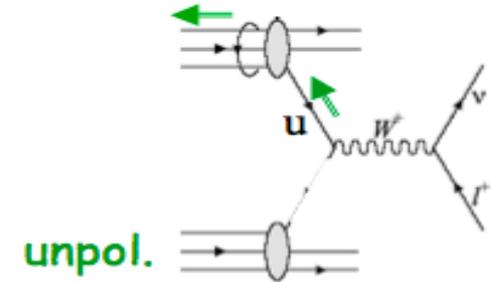
9508347

Sea quark polarization – RHIC W program

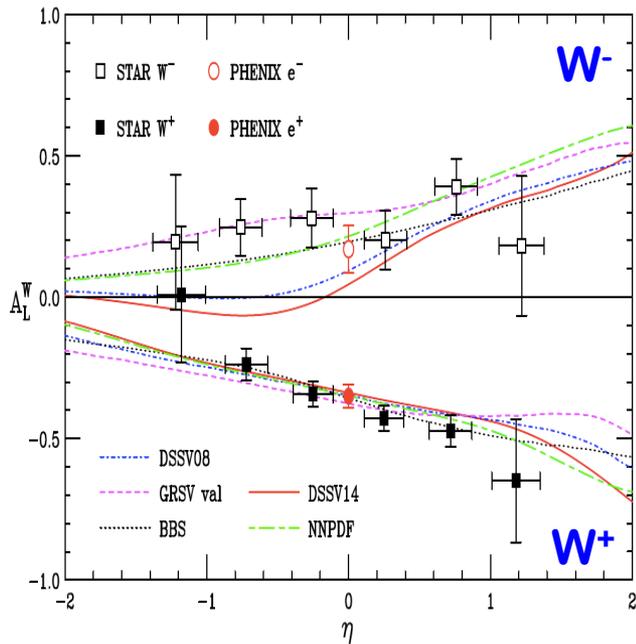
□ Single longitudinal spin asymmetries:

$$A_L = \frac{[\sigma(+)-\sigma(-)]}{[\sigma(+)+\sigma(-)]} \quad \text{for } \sigma(s)$$

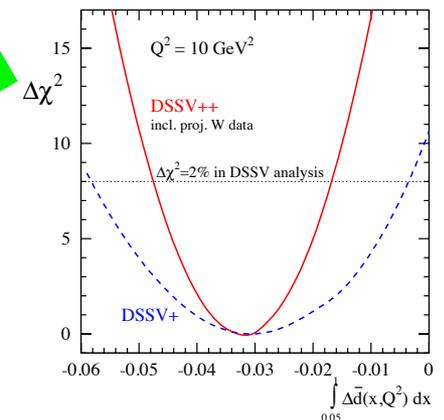
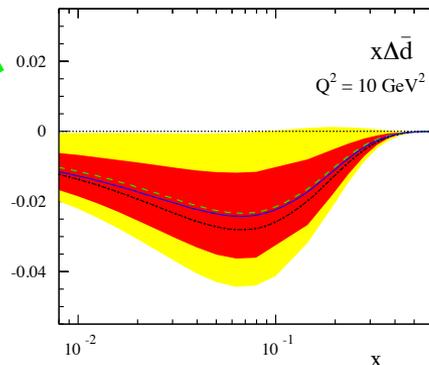
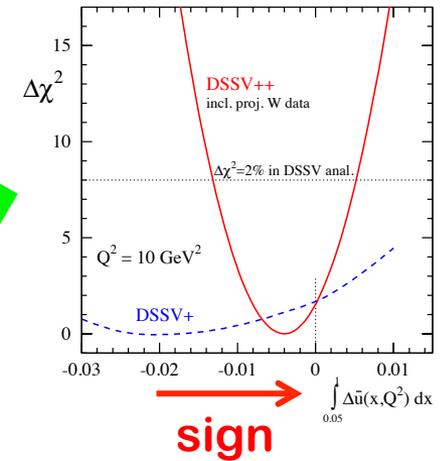
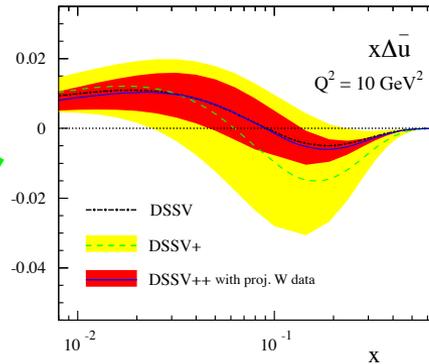
Parity violating weak interaction



□ From 2013 RHIC data:



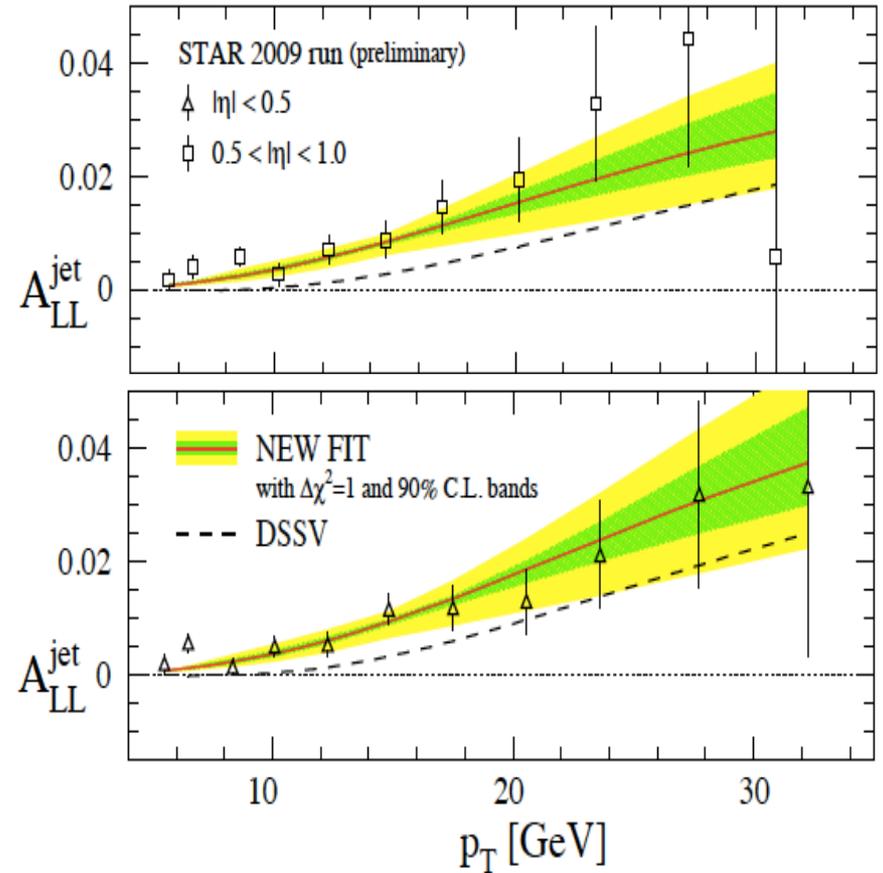
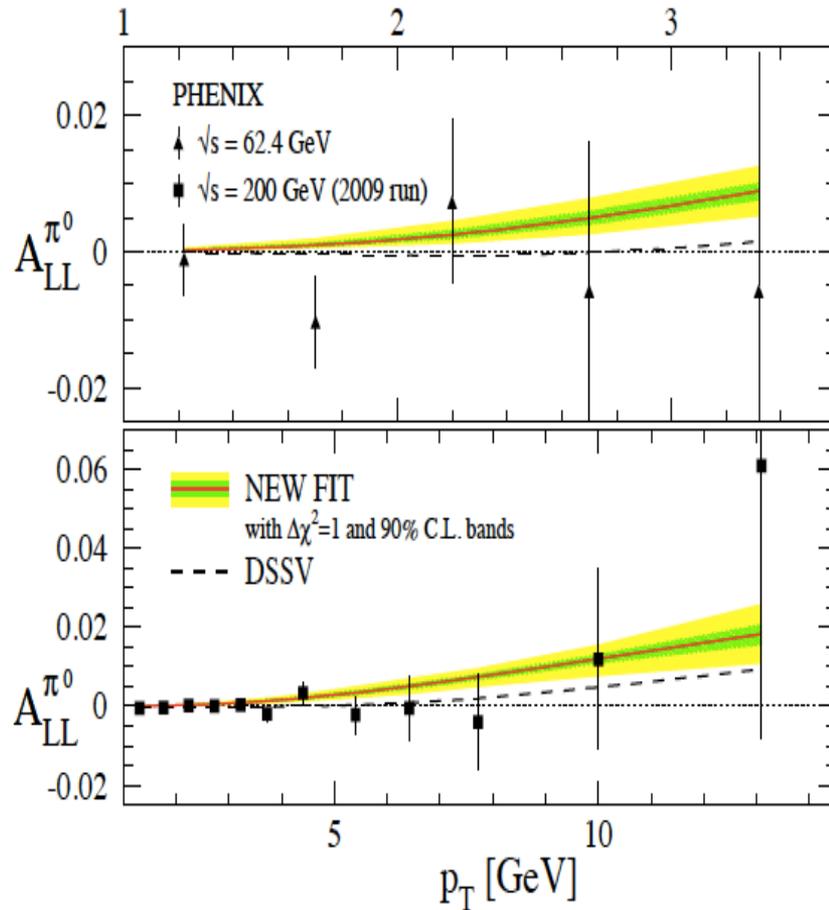
W-production at RHIC



Glauon helicity contribution – RHIC data

□ RHIC 2009 data:

Jet/pion production at RHIC – gluon helicity:

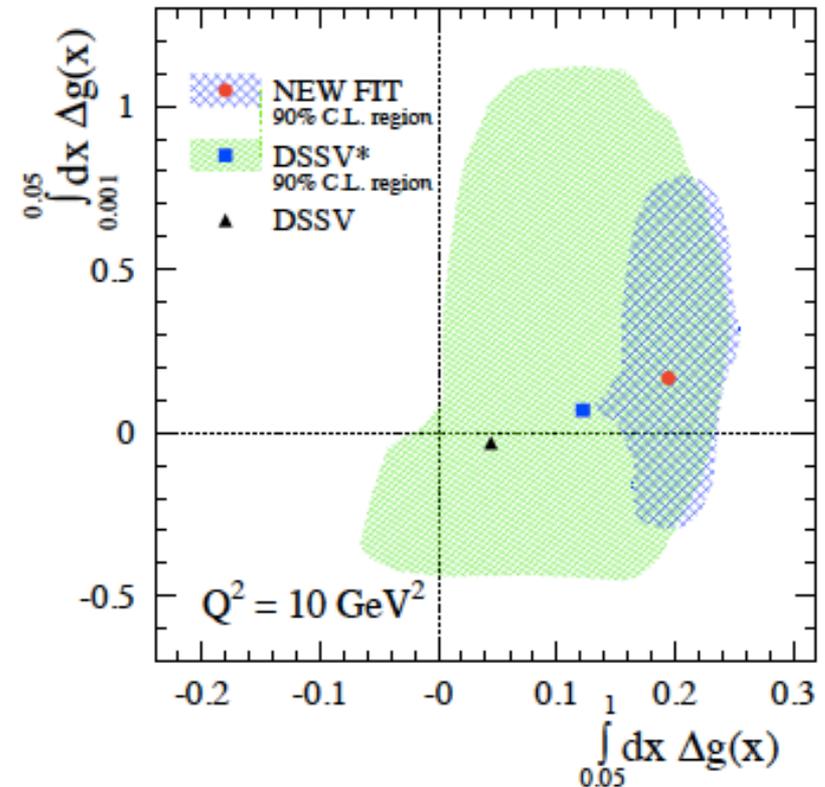
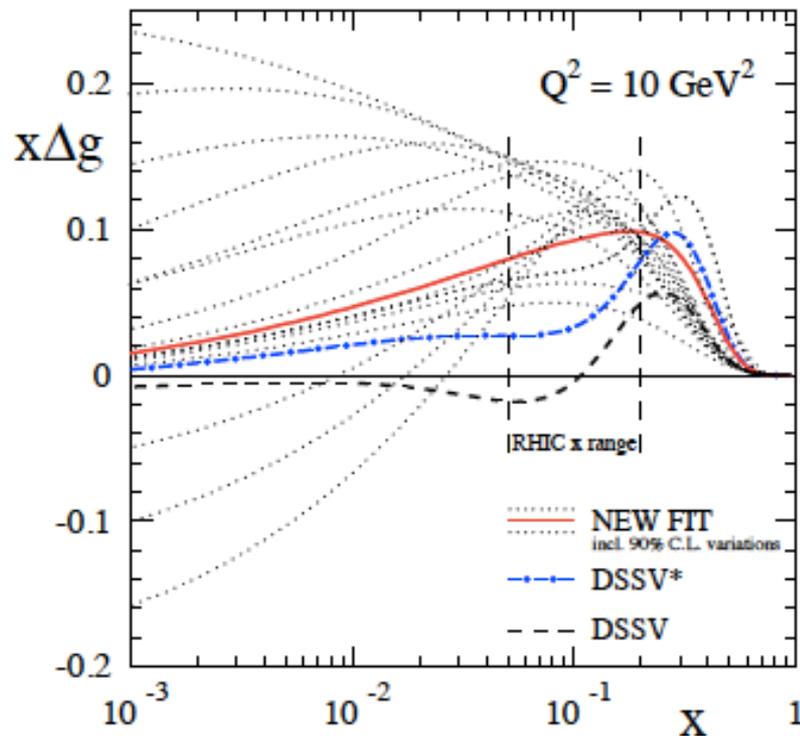


Global QCD analysis of helicity PDFs

D. de Florian, R. Sassot, M. Stratmann, W. Vogelsang, PRL 113 (2014) 012001

results featured in Sci. Am., Phys. World, ...

□ Impact on gluon helicity:



- ✧ Red line is the new fit
- ✧ Dotted lines = other fits with 90% C.L.

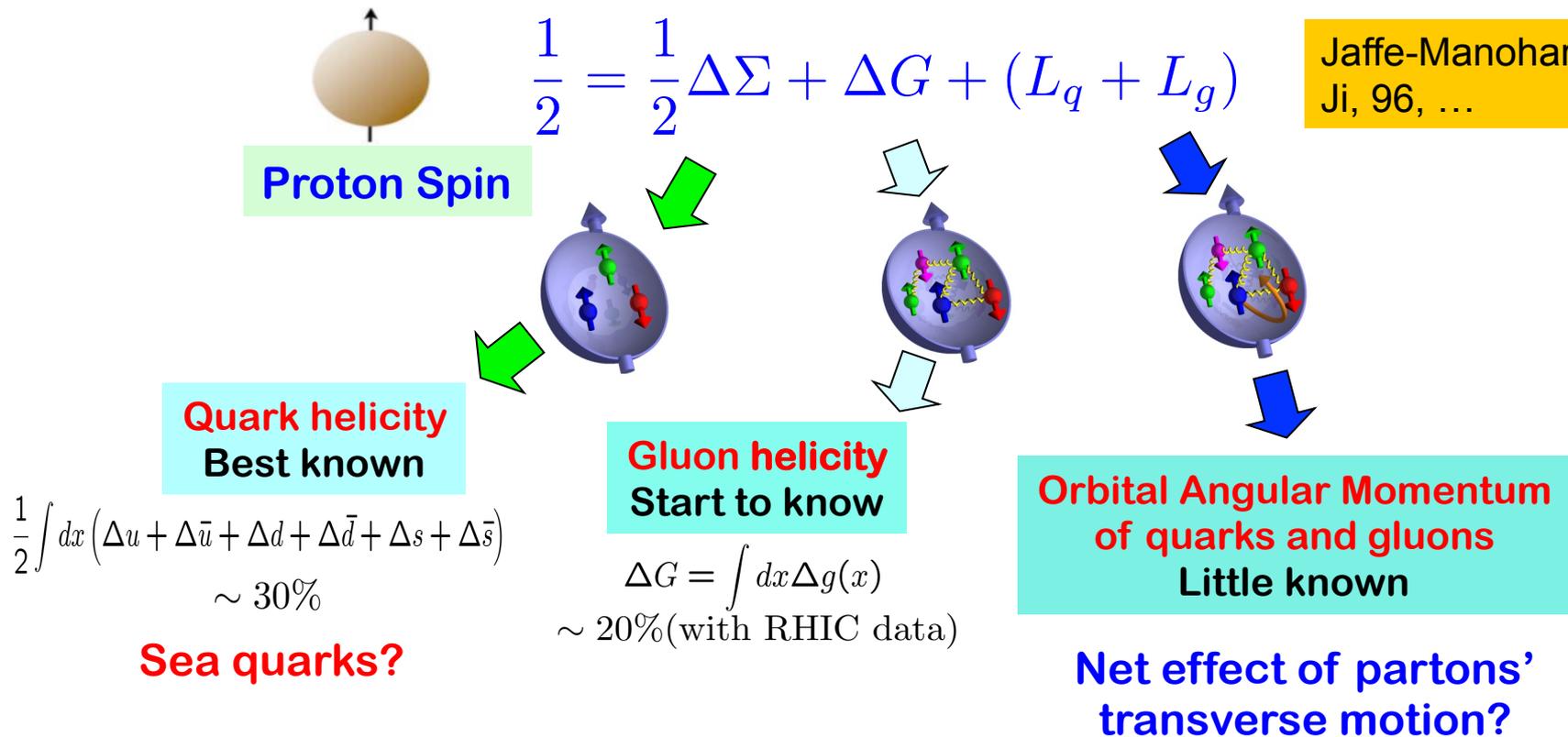
- ✧ 90% C.L. areas
- ✧ Leads ΔG to a positive #

Current understanding for Proton Spin

□ **The sum rule:**
$$S(\mu) = \sum_f \langle P, S | \hat{J}_f^z(\mu) | P, S \rangle = \frac{1}{2} \equiv J_q(\mu) + J_g(\mu)$$

- Infinite possibilities of decompositions – connection to observables?
- Intrinsic properties + dynamical motion and interactions

□ **An incomplete story:**



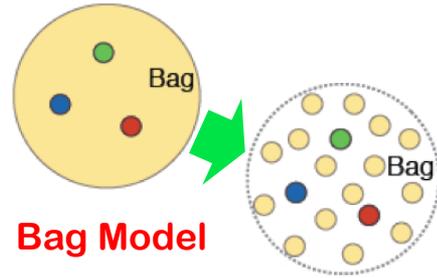
Hadron structures

□ What does the proton look like?

Gluon radius?

Static:

Hard probe:

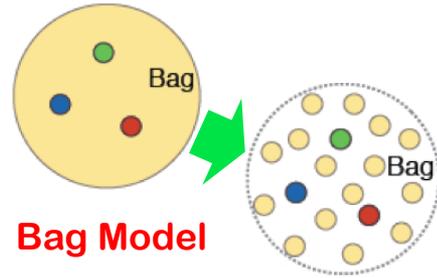


Hadron structures

□ What does the proton look like?

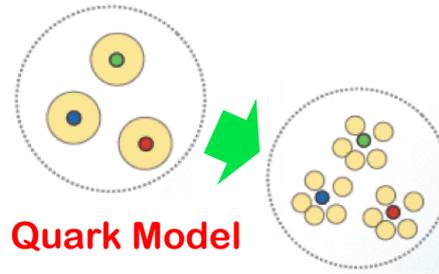
Static:

Hard probe:



Bag Model

Gluon radius?



Quark Model

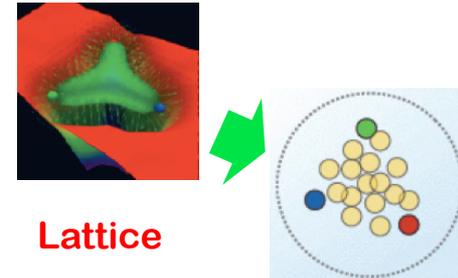
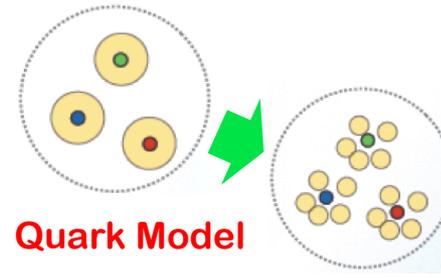
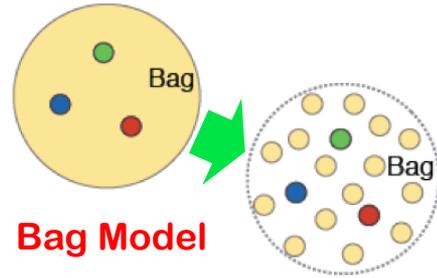
Hadron structures

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Gluon radius?

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Hard probe:



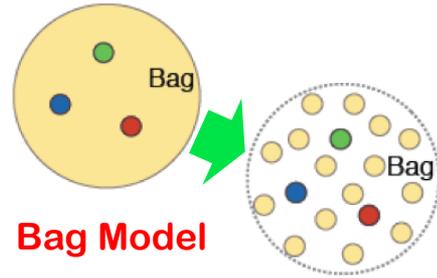
Hadron structures

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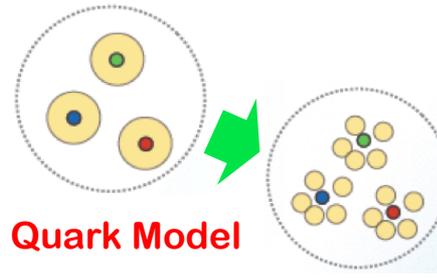
Gluon radius?

Static:

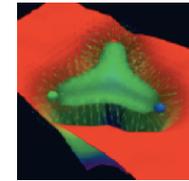
Hard probe:



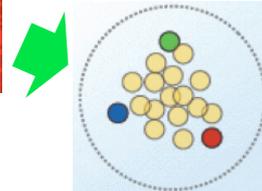
Bag Model



Quark Model

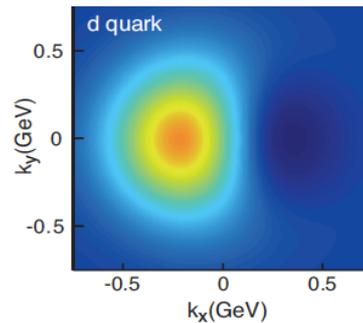
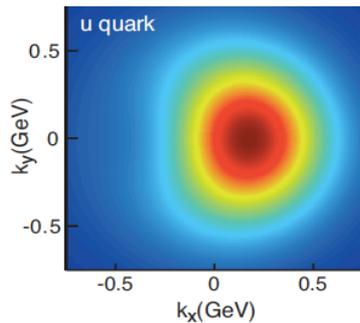
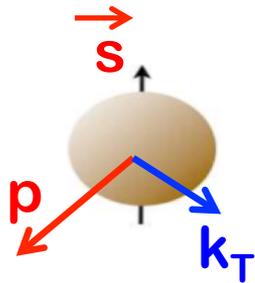


Lattice



□ How is proton's spin correlated with the motion of quarks/gluons?

$$x f_1(x, k_T, S_T)$$



Deformation of parton's
confined motion
when hadron is polarized?

TMDs!

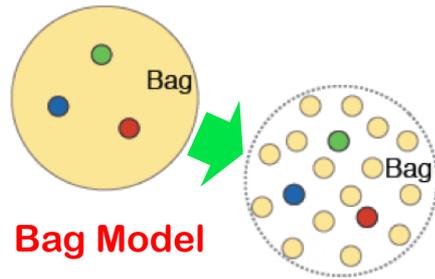
Hadron structures

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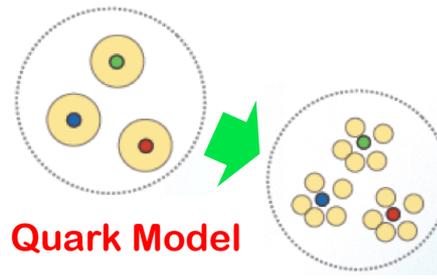
Gluon radius?

Static:

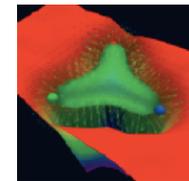
Hard probe:



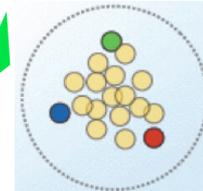
Bag Model



Quark Model

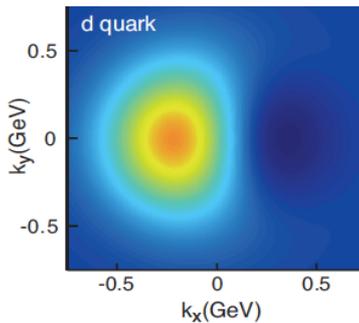
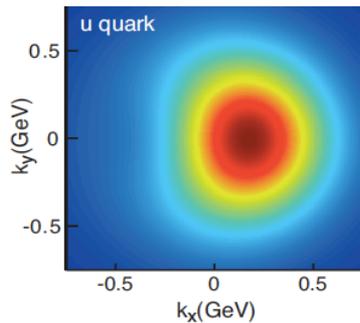
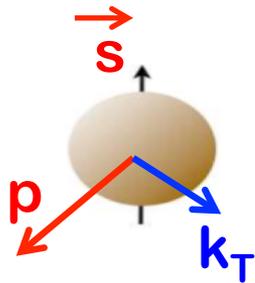


Lattice



□ How is proton's spin correlated with the motion of quarks/gluons?

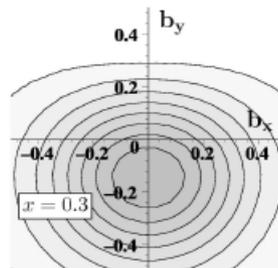
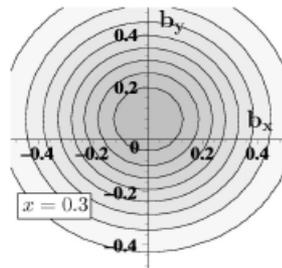
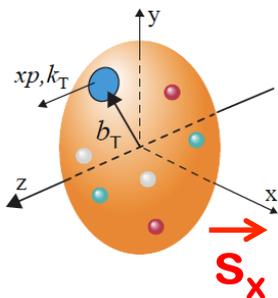
$$x f_1(x, k_T, S_T)$$



Deformation of parton's
confined motion
when hadron is polarized?

TMDs!

□ How does proton's spin influence the spatial distribution of partons?

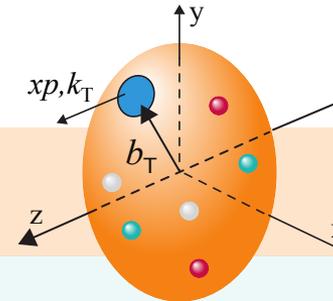
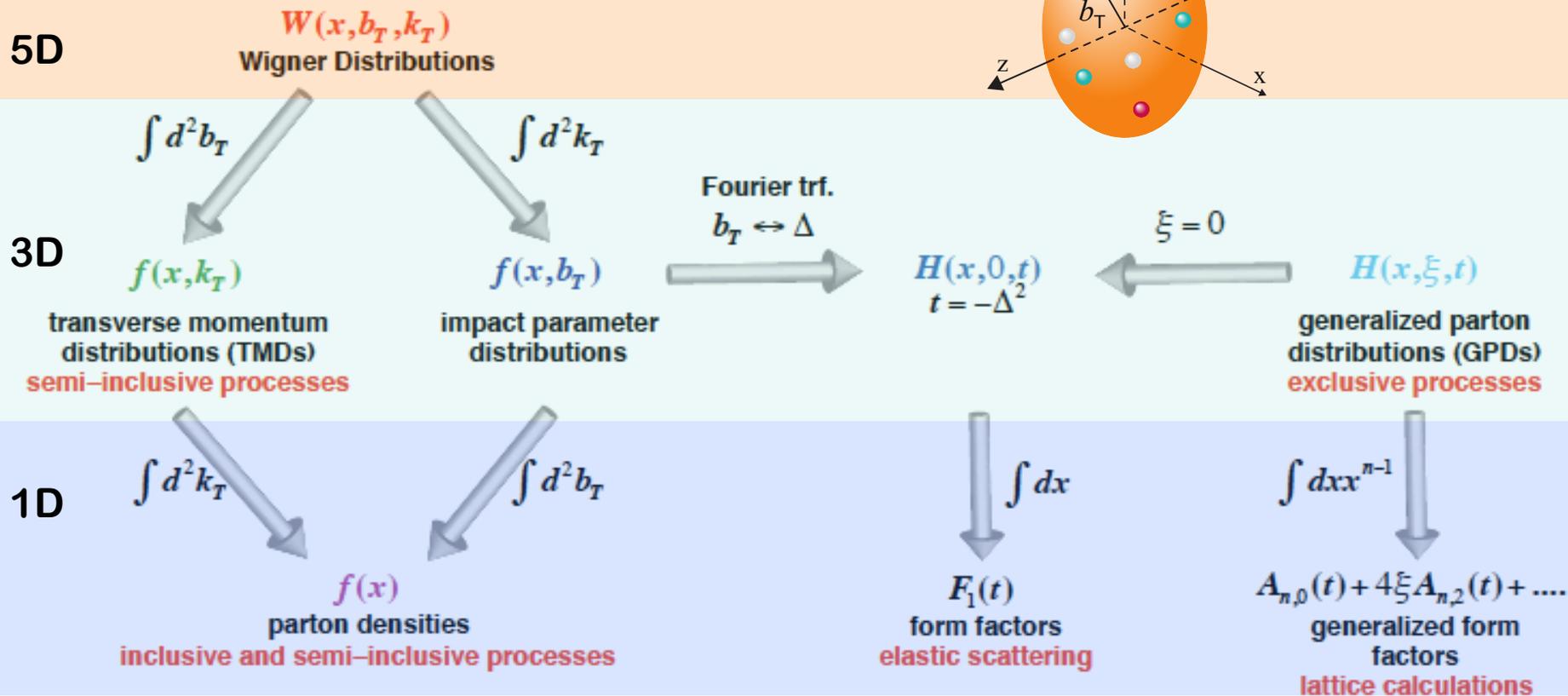


Deformation of parton's
spatial distribution
when hadron is polarized?

GPDs!

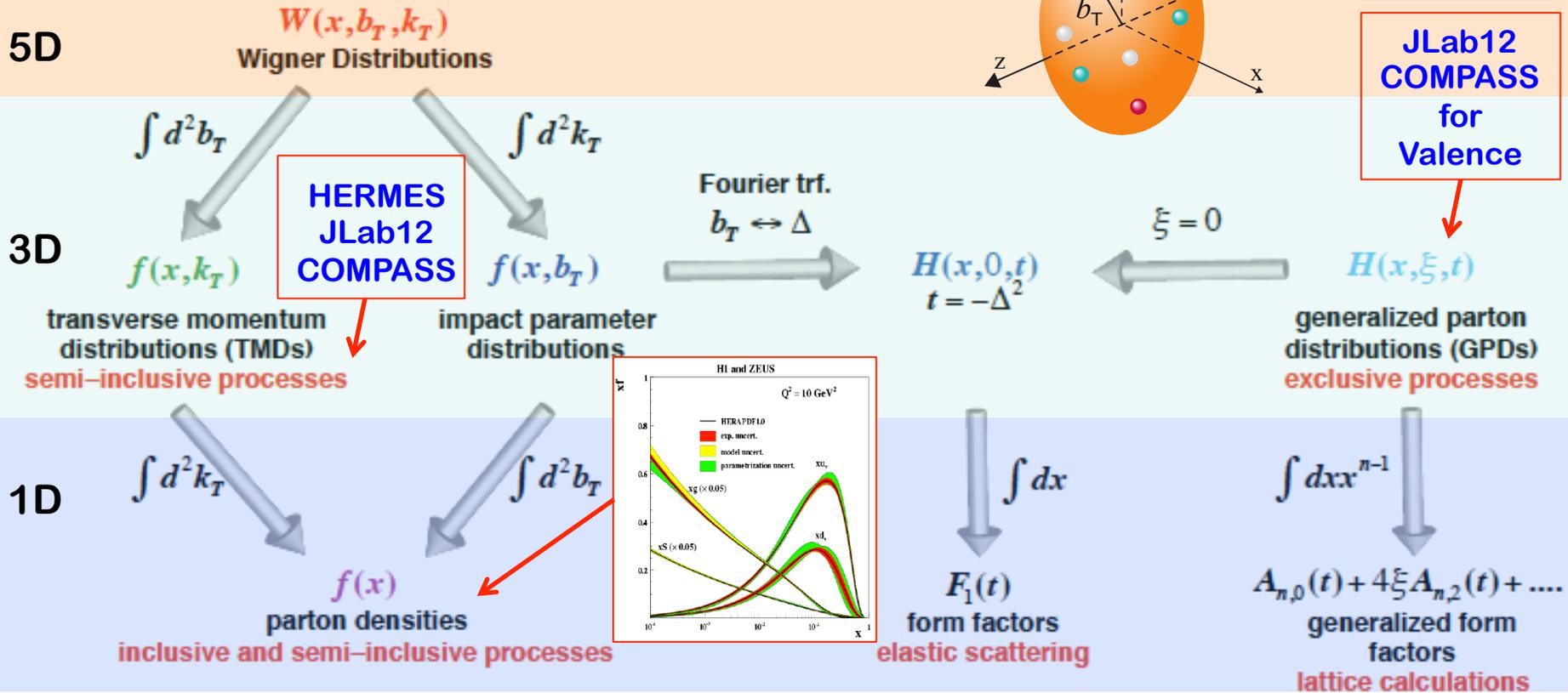
Unified view of nucleon structure

□ Wigner distributions:



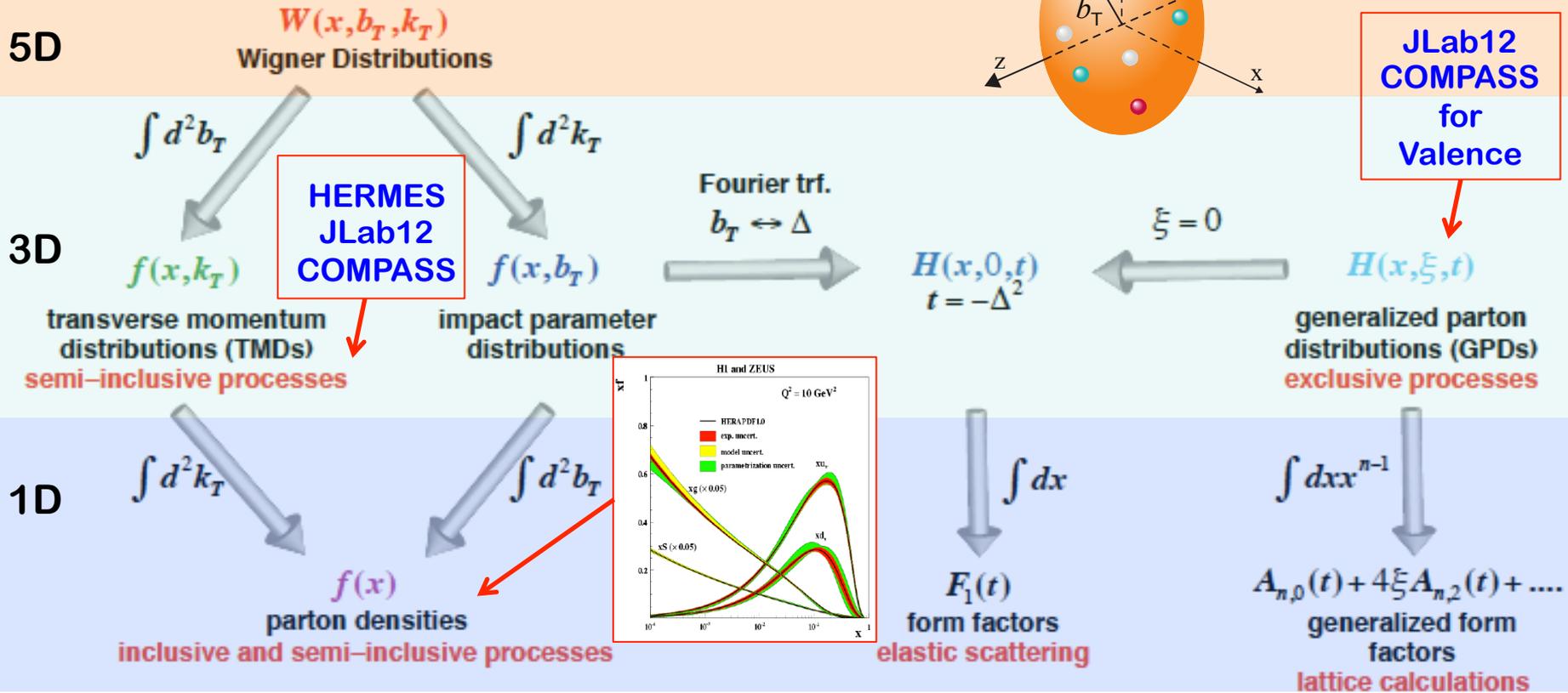
Unified view of nucleon structure

Wigner distributions:



Unified view of nucleon structure

Wigner distributions:



3D imaging of sea and gluons:

- ✧ TMDs – confined motion in a nucleon (semi-inclusive DIS)
- ✧ GPDs – Spatial imaging of quarks and gluons (exclusive DIS)

Polarization and spin asymmetry

Explore new QCD dynamics – vary the spin orientation:

□ Cross section:

Scattering amplitude square – Probability – Positive definite

$$\sigma_{AB}(Q, \vec{s}) \approx \sigma_{AB}^{(2)}(Q, \vec{s}) + \frac{Q_s}{Q} \sigma_{AB}^{(3)}(Q, \vec{s}) + \frac{Q_s^2}{Q^2} \sigma_{AB}^{(4)}(Q, \vec{s}) + \dots$$

□ Spin-averaged cross section:

$$\sigma = \frac{1}{2} [\sigma(\vec{s}) + \sigma(-\vec{s})] \quad \text{– Positive definite}$$

□ Asymmetries or difference of cross sections:

▪ both beams polarized A_{LL}, A_{TT}, A_{LT}

$$A_{LL} = \frac{[\sigma(+, +) - \sigma(+, -)] - [\sigma(-, +) - \sigma(-, -)]}{[\sigma(+, +) + \sigma(+, -)] + [\sigma(-, +) + \sigma(-, -)]} \quad \text{for } \sigma(s_1, s_2)$$

▪ one beam polarized A_L, A_N – Not necessary positive!

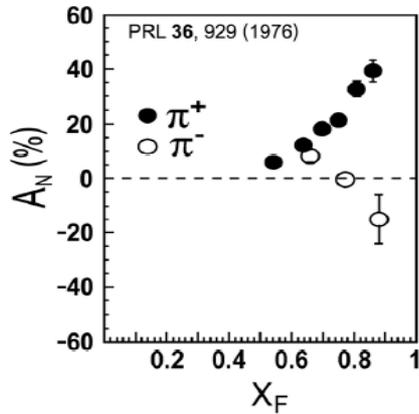
$$A_L = \frac{[\sigma(+)] - \sigma(-)]}{[\sigma(+)] + \sigma(-)]} \quad \text{for } \sigma(s) \quad A_N = \frac{\sigma(Q, \vec{s}_T) - \sigma(Q, -\vec{s}_T)}{\sigma(Q, \vec{s}_T) + \sigma(Q, -\vec{s}_T)}$$

Chance to see quantum interference directly

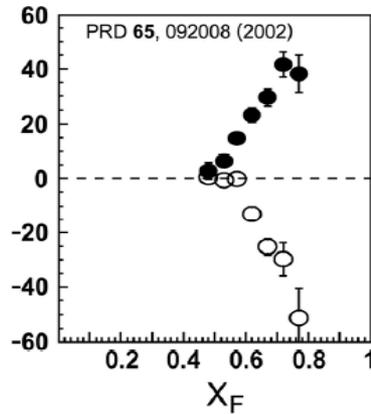
Transverse single-spin asymmetry (SSAs)

□ A_N - consistently observed for over 35 years!

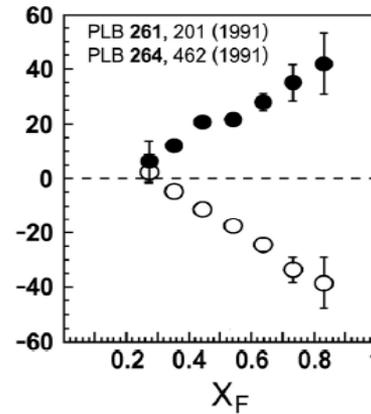
ANL - 4.9 GeV



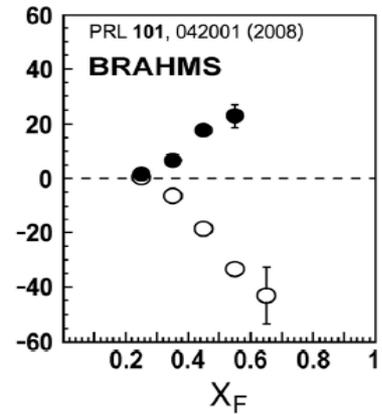
BNL - 6.6 GeV



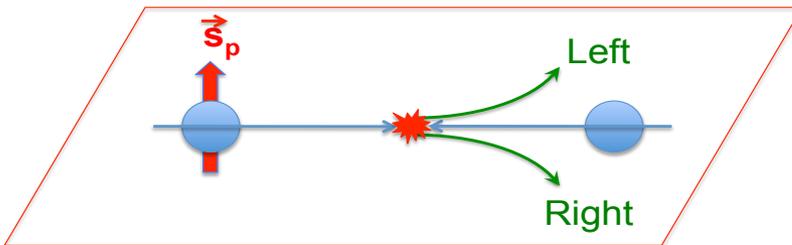
FNAL - 20 GeV



BNL - 62.4 GeV



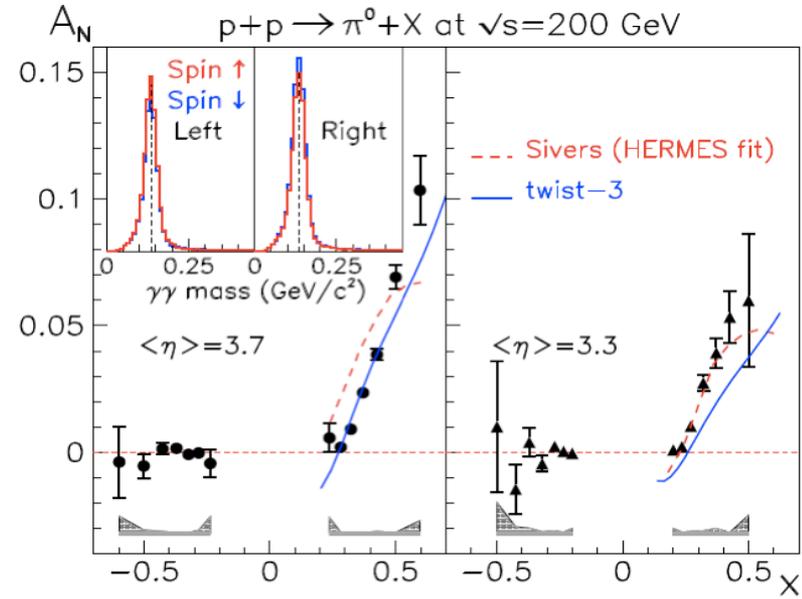
□ Definition:



$$A_N \equiv \frac{\Delta\sigma(l, \vec{s})}{\sigma(l)} = \frac{\sigma(l, \vec{s}) - \sigma(l, -\vec{s})}{\sigma(l, \vec{s}) + \sigma(l, -\vec{s})}$$

Vanish if active parton has no kT!!!

BNL - 200 GeV

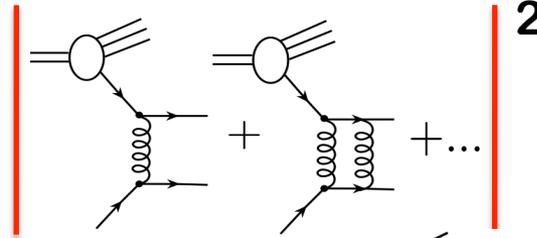


Do we understand it?

Kane, Pumplin, Repko, PRL, 1978

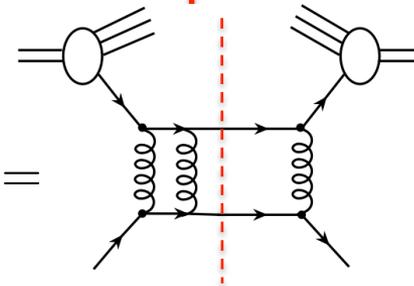
□ Early attempt:

Cross section: $\sigma_{AB}(p_T, \vec{s}) \propto$



Asymmetry:

$$\sigma_{AB}(p_T, \vec{s}) - \sigma_{AB}(p_T, -\vec{s}) =$$



$$\propto \alpha_s \frac{m_q}{p_T}$$

Too small to explain available data!

□ What do we need?

$$A_N \propto i\vec{s}_p \cdot (\vec{p}_h \times \vec{p}_T) \Rightarrow i\epsilon^{\mu\nu\alpha\beta} p_{h\mu} s_\nu p_\alpha p'_{h\beta}$$

Need a phase, a spin flip, enough vectors

□ Vanish without parton's transverse motion:

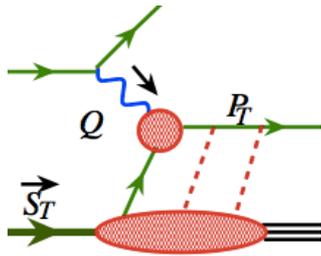


A direct probe for parton's transverse motion,

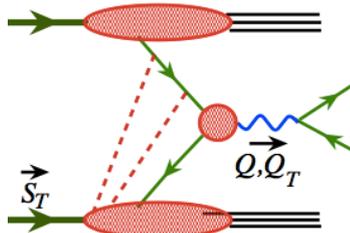
Spin-orbital correlation, QCD quantum interference

Current understanding of SSAs

□ Two scales observables – $Q_1 \gg Q_2 \sim \Lambda_{\text{QCD}}$:



SIDIS: $Q \gg P_T$



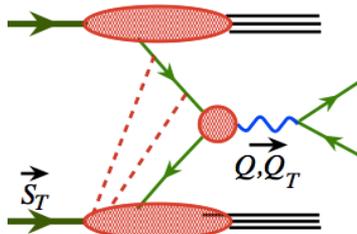
DY: $Q \gg Q_T$



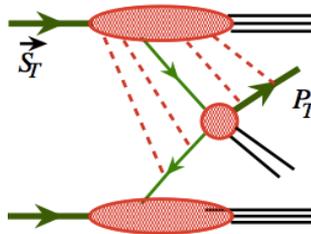
TMD factorization
TMD distributions

*Direct information on
parton k_T*

□ One scale observables – $Q \gg \Lambda_{\text{QCD}}$:



DY: $Q \sim Q_T$



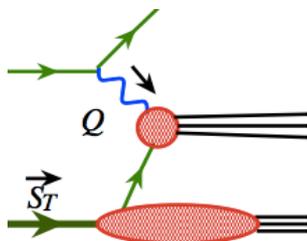
Jet, Particle: P_T



Collinear factorization
Twist-3 distributions

*Information on
moments of parton k_T*

□ Symmetry plays important role:



Inclusive DIS
Single scale
Q

Parity
Time-reversal



$A_N = 0$

Factorized Drell-Yan cross section – Lec. 2

□ TMD factorization ($q_{\perp} \ll Q$):

$$\frac{d\sigma_{AB}}{d^4q} = \sigma_0 \int d^2k_{a\perp} d^2k_{b\perp} d^2k_{s\perp} \delta^2(q_{\perp} - k_{a\perp} - k_{b\perp} - k_{s\perp}) \mathcal{F}_{a/A}(x_A, k_{a\perp}) \mathcal{F}_{b/B}(x_B, k_{b\perp}) \mathcal{S}(k_{s\perp}) + \mathcal{O}(q_{\perp}/Q)$$
$$x_A = \frac{Q}{\sqrt{s}} e^y \quad x_B = \frac{Q}{\sqrt{s}} e^{-y}$$

The soft factor, \mathcal{S} , is universal, could be absorbed into the definition of TMD parton distribution

□ Collinear factorization ($q_{\perp} \sim Q$):

$$\frac{d\sigma_{AB}}{d^4q} = \int dx_a f_{a/A}(x_a, \mu) \int dx_b f_{b/B}(x_b, \mu) \frac{d\hat{\sigma}_{ab}}{d^4q}(x_a, x_b, \alpha_s(\mu), \mu) + \mathcal{O}(1/Q)$$

□ Spin dependence:

The factorization arguments are independent of the spin states of the colliding hadrons



same formula with polarized PDFs for γ^* , W/Z, H^0 ...

Single hadron production at low p_T

□ Unique kinematics - unique event structure:

Briet frame: Large Q^2 virtual photon acts like a “wall”



High energy low p_T jet (or hadron) - ideal probe for parton's transverse motion!

□ Need for TMDs, if we observe $p_T \sim 1/\text{fm}$:

$$\int d^4 k_a \mathcal{H}(Q, p_T, k_a, k_b) \left(\frac{1}{k_a^2 + i\epsilon} \right) \left(\frac{1}{k_a^2 - i\epsilon} \right) \mathcal{T}(k_a, 1/r_0)$$

$$\approx \int \frac{dx}{x} d^2 k_{a\perp} \mathcal{H}(Q, p_T, k_a^2 = 0, k_b) \left[\int dk_a^2 \left(\frac{1}{k_a^2 + i\epsilon} \right) \left(\frac{1}{k_a^2 - i\epsilon} \right) \mathcal{T}(k_a, 1/r_0) \right]$$

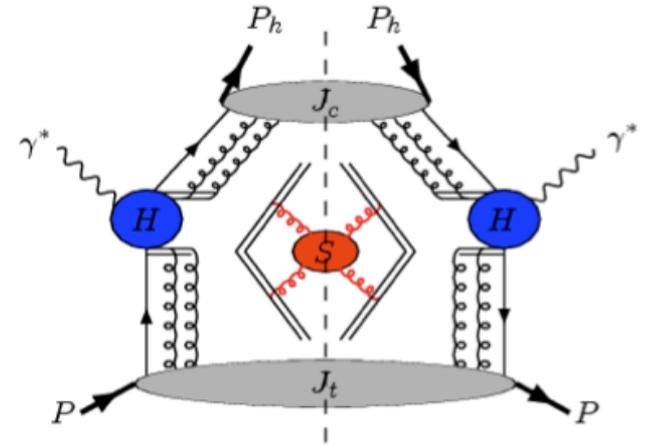
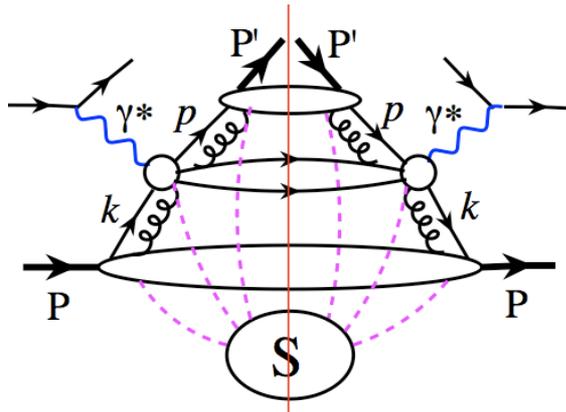
Can't set $k_T \sim 0$, since $k_T \sim p_T$

TMD distribution

QCD factorization for SIDIS

Ji, Ma, Yuan

Factorization:



Low P_{hT} – TMD factorization:

$$\sigma_{\text{SIDIS}}(Q, P_{h\perp}, x_B, z_h) = \hat{H}(Q) \otimes \Phi_f \otimes \mathcal{D}_{f \rightarrow h} \otimes \mathcal{S} + \mathcal{O}\left(\frac{P_{h\perp}}{Q}\right)$$

High P_{hT} – Collinear factorization:

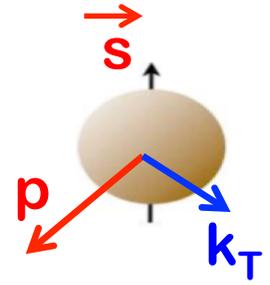
$$\sigma_{\text{SIDIS}}(Q, P_{h\perp}, x_B, z_h) = \hat{H}(Q, P_{h\perp}, \alpha_s) \otimes \phi_f \otimes D_{f \rightarrow h} + \mathcal{O}\left(\frac{1}{P_{h\perp}}, \frac{1}{Q}\right)$$

P_{hT} Integrated - Collinear factorization:

$$\sigma_{\text{SIDIS}}(Q, x_B, z_h) = \tilde{H}(Q, \alpha_s) \otimes \phi_f \otimes D_{f \rightarrow h} + \mathcal{O}\left(\frac{1}{Q}\right)$$

TMD parton distributions (TMDs)

□ Power of spin – many more correlations:



Require **two** Physical scales

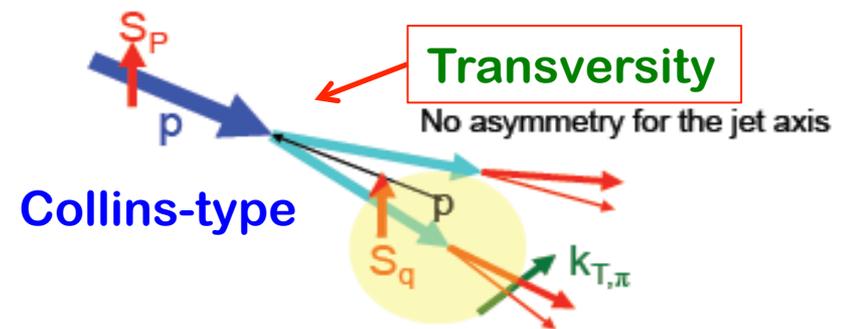
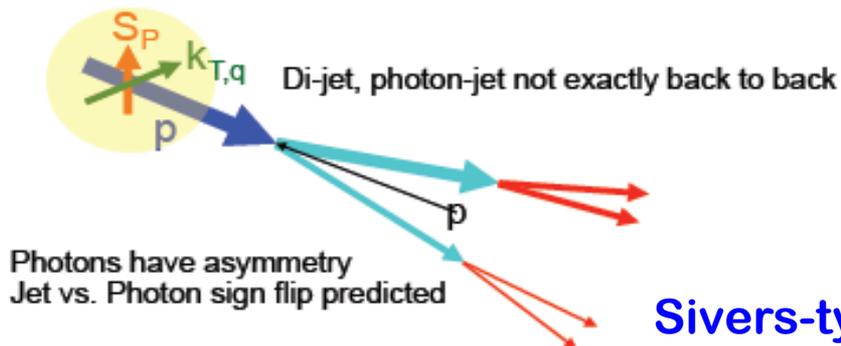
More than one TMD contribute to the same observable!

		Quark Polarization		
		Un-Polarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Nucleon Polarization	U	$f_1 = \odot$		$h_1^\perp = \odot \uparrow - \odot \downarrow$ Boer-Mulders
	L		$g_{1L} = \odot \rightarrow - \odot \leftarrow$ Helicity	$h_{1L}^\perp = \odot \rightarrow - \odot \leftarrow$
	T	$f_{1T}^\perp = \odot \uparrow - \odot \downarrow$ Sivers	$g_{1T}^\perp = \odot \rightarrow - \odot \leftarrow$	$h_1 = \odot \uparrow - \odot \downarrow$ Transversity $h_{1T}^\perp = \odot \rightarrow - \odot \leftarrow$

Nucleon Spin
 Quark Spin

Similar for gluons

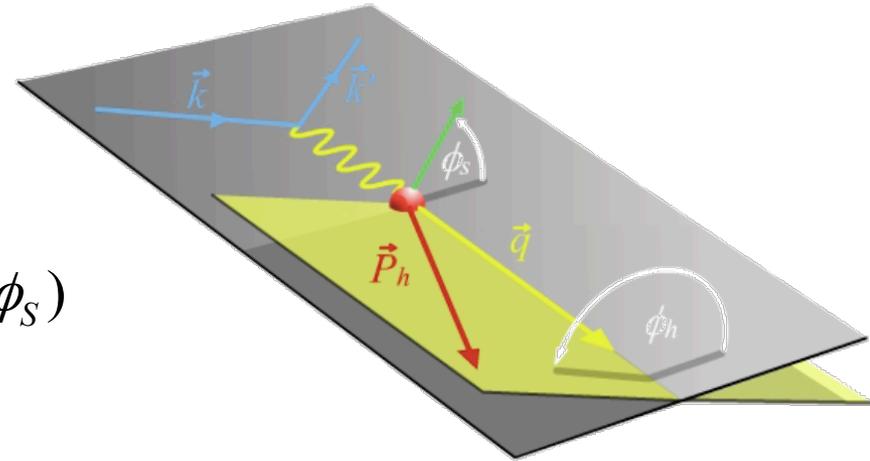
□ A_N – single hadron production:



SIDIS is the best for probing TMDs

□ Naturally, two planes:

$$\begin{aligned}
 A_{UT}(\varphi_h^l, \varphi_S^l) &= \frac{1}{P} \frac{N^\uparrow - N^\downarrow}{N^\uparrow + N^\downarrow} \\
 &= A_{UT}^{\text{Collins}} \sin(\varphi_h + \varphi_S) + A_{UT}^{\text{Sivers}} \sin(\varphi_h - \varphi_S) \\
 &+ A_{UT}^{\text{Pretzelosity}} \sin(3\varphi_h - \varphi_S)
 \end{aligned}$$



□ Separation of TMDs:

$$A_{UT}^{\text{Collins}} \propto \langle \sin(\varphi_h + \varphi_S) \rangle_{UT} \propto h_1 \otimes H_1^\perp$$

$$A_{UT}^{\text{Sivers}} \propto \langle \sin(\varphi_h - \varphi_S) \rangle_{UT} \propto f_{1T}^\perp \otimes D_1$$

$$A_{UT}^{\text{Pretzelosity}} \propto \langle \sin(3\varphi_h - \varphi_S) \rangle_{UT} \propto h_{1T}^\perp \otimes H_1^\perp$$

← Collins frag. Func.
from e⁺e⁻ collisions



Hard, if not impossible, to separate TMDs in hadronic collisions

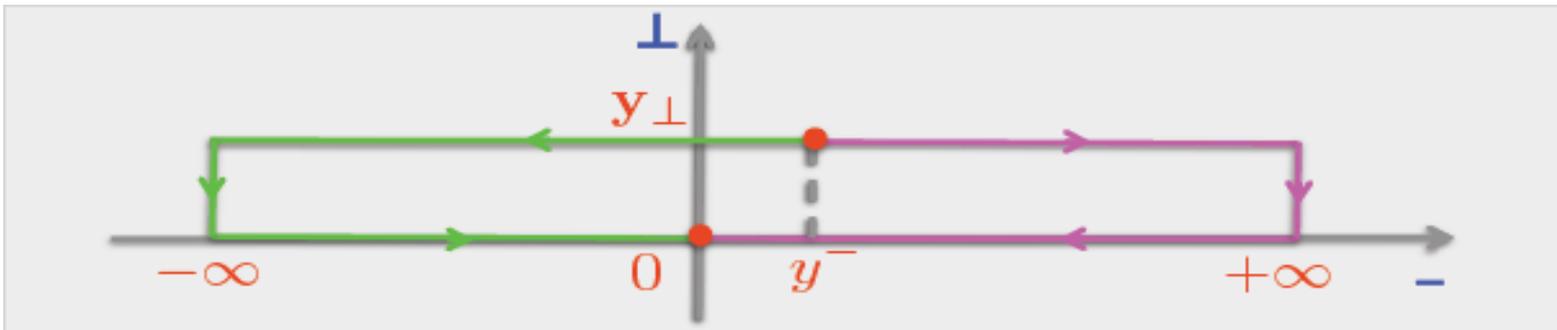
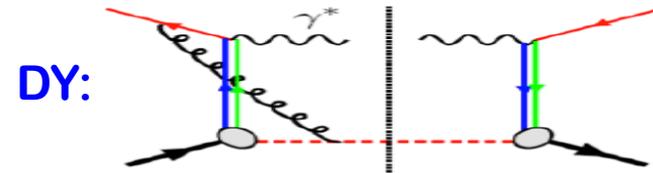
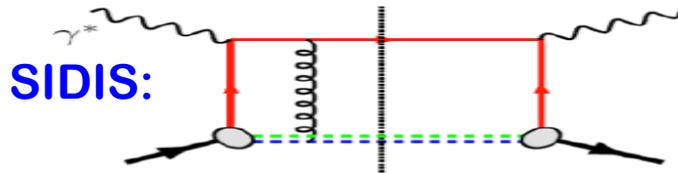
Using a combination of different observables (not the same observable):
jet, identified hadron, photon, ...

Broken universality for TMDs

□ Definition:

$$f_{q/h^\uparrow}(x, \mathbf{k}_\perp, \vec{S}) = \int \frac{dy^- d^2 y_\perp}{(2\pi)^3} e^{ixp^+ y^- - i\mathbf{k}_\perp \cdot \mathbf{y}_\perp} \langle p, \vec{S} | \bar{\psi}(0^-, \mathbf{0}_\perp) \boxed{\text{Gauge link}} \frac{\gamma^+}{2} \psi(y^-, \mathbf{y}_\perp) | p, \vec{S} \rangle$$

□ Gauge links:



□ Process dependence:

$$f_{q/h^\uparrow}^{\text{SIDIS}}(x, \mathbf{k}_\perp, \vec{S}) \neq f_{q/h^\uparrow}^{\text{DY}}(x, \mathbf{k}_\perp, \vec{S})$$

Collinear factorized PDFs are process independent

Modified universality

□ Parity – Time reversal invariance:

$$f_{q/h^\uparrow}^{\text{SIDIS}}(x, \mathbf{k}_\perp, \vec{S}) = f_{q/h^\uparrow}^{\text{DY}}(x, \mathbf{k}_\perp, -\vec{S})$$

□ Definition of Sivers function:

$$f_{q/h^\uparrow}(x, \mathbf{k}_\perp, \vec{S}) \equiv f_{q/h}(x, k_\perp) + \frac{1}{2} \Delta^N f_{q/h^\uparrow}(x, k_\perp) \vec{S} \cdot \hat{p} \times \hat{\mathbf{k}}_\perp$$

□ Modified universality:

$$\Delta^N f_{q/h^\uparrow}^{\text{SIDIS}}(x, k_\perp) = -\Delta^N f_{q/h^\uparrow}^{\text{DY}}(x, k_\perp)$$

Same function, but, opposite sign!

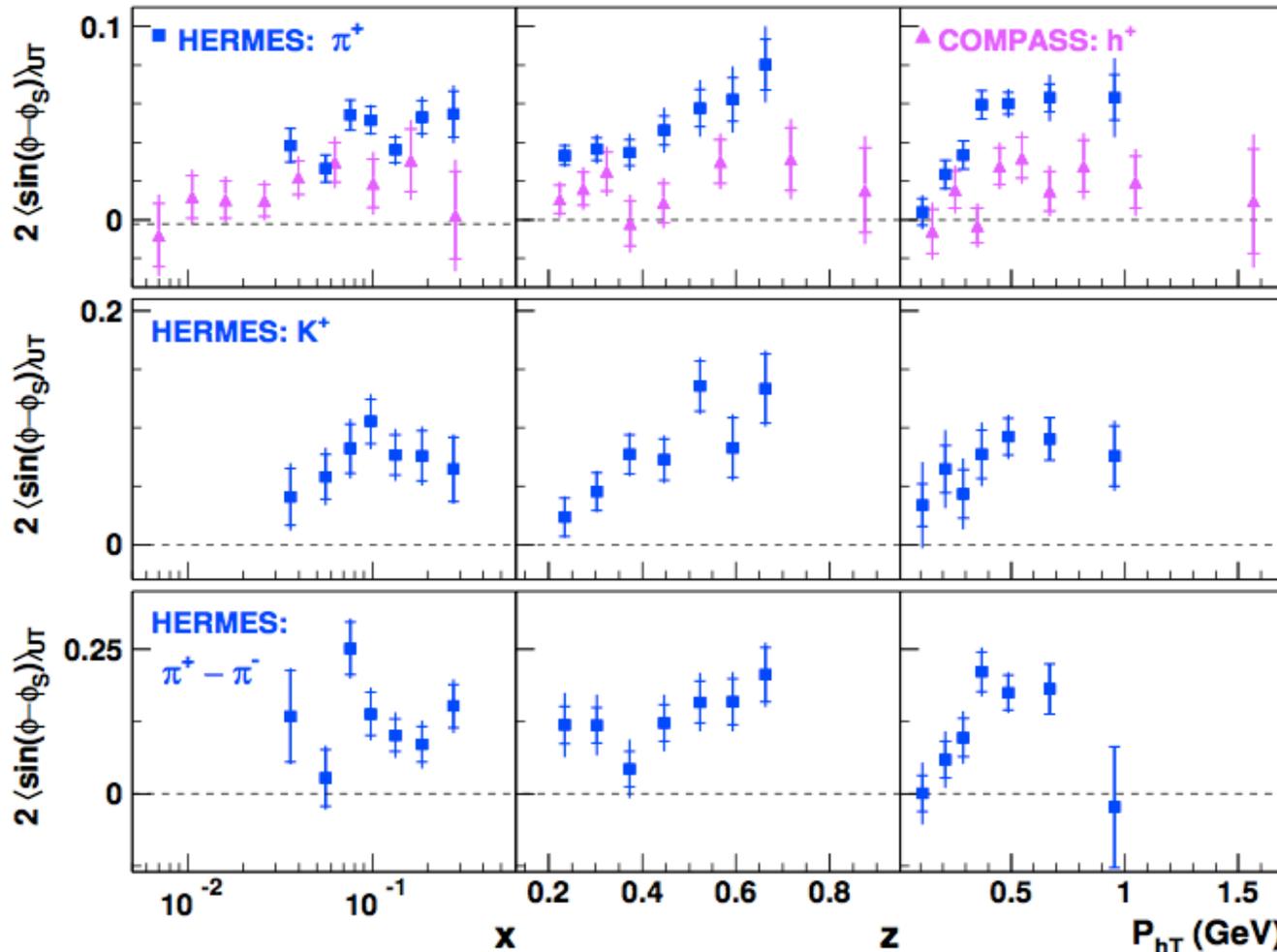
□ The sign change = Critical test of TMD factorization!

Same applies to TMD gluon distribution

Spin-averaged TMD is process independent

Sivers asymmetries from SIDIS

□ From SIDIS (HERMES and COMPASS) – low Q^2 :



**Non-zero
Sivers effects
Observed
in SIDIS!**

**Visible Q^2
dependence**

**Major theory
development
in last few years**

Drell-Yan A_N : COMPASS, RHIC run 17th, Fermilab Drell-Yan, ...

Evolution equations for TMDs

- Collins-Soper equation:
– b-space quark TMD with γ^+

Boer, 2001, 2009, Ji, Ma, Yuan, 2004
 Idilbi, et al, 2004, Kang, Xiao, Yuan, 2011
 Aybat, Collins, Qiu, Rogers, 2011
 Aybat, Prokudin, Rogers, 2012
 Idilbi, et al, 2012, Sun, Yuan 2013, ...

$$\frac{\partial \tilde{F}_{f/P^\dagger}(x, \mathbf{b}_T, S; \mu; \zeta_F)}{\partial \ln \sqrt{\zeta_F}} = \tilde{K}(b_T; \mu) \tilde{F}_{f/P^\dagger}(x, \mathbf{b}_T, S; \mu; \zeta_F) \quad \tilde{K}(b_T; \mu) = \frac{1}{2} \frac{\partial}{\partial y_s} \ln \left(\frac{\tilde{S}(b_T; y_s, -\infty)}{\tilde{S}(b_T; +\infty, y_s)} \right)$$

- RG equations:

$$\frac{d\tilde{K}(b_T; \mu)}{d \ln \mu} = -\gamma_K(g(\mu)) \quad \frac{d\tilde{F}_{f/P^\dagger}(x, \mathbf{b}_T, S; \mu; \zeta_F)}{d \ln \mu} = \gamma_F(g(\mu); \zeta_F/\mu^2) \tilde{F}_{f/P^\dagger}(x, \mathbf{b}_T, S; \mu; \zeta_F).$$

- Evolution equations for Sivers function:

$$F_{f/P^\dagger}(x, k_T, S; \mu, \zeta_F) = F_{f/P}(x, k_T; \mu, \zeta_F) - F_{1T}^{\perp f}(x, k_T; \mu, \zeta_F) \frac{\epsilon_{ij} k_T^i S^j}{M_p}$$

CS: $\frac{\partial \ln \tilde{F}'_{1T}{}^{\perp f}(x, b_T; \mu, \zeta_F)}{\partial \ln \sqrt{\zeta_F}} = \tilde{K}(b_T; \mu) \quad \tilde{F}'_{1T}{}^{\perp f}(x, b_T; \mu, \zeta_F) \equiv \frac{\partial \tilde{F}_{1T}^{\perp f}(x, b_T; \mu, \zeta_F)}{\partial b_T}$

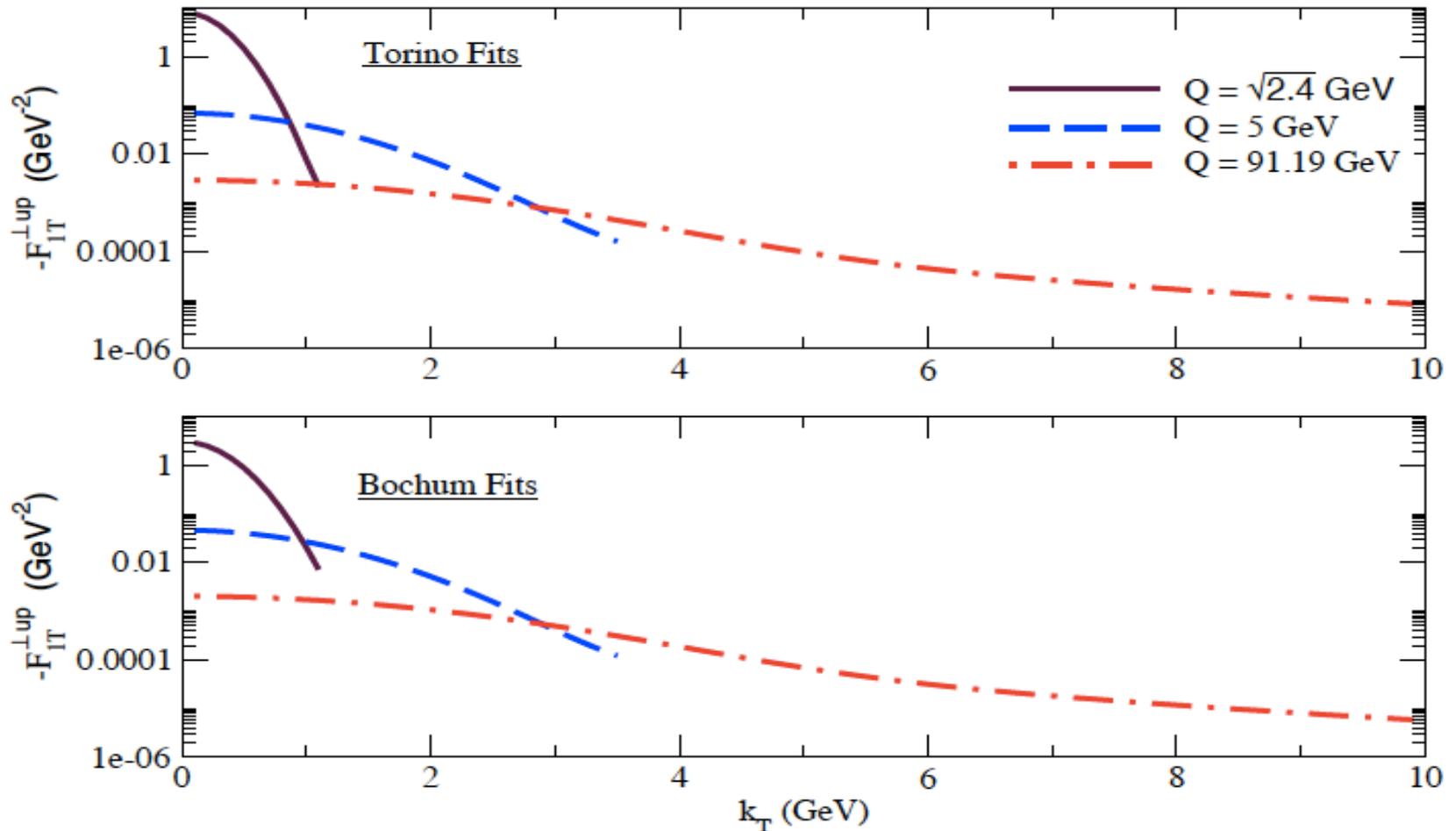
RGs: $\frac{d\tilde{F}'_{1T}{}^{\perp f}(x, b_T; \mu, \zeta_F)}{d \ln \mu} = \gamma_F(g(\mu); \zeta_F/\mu^2) \tilde{F}'_{1T}{}^{\perp f}(x, b_T; \mu, \zeta_F)$

$$\frac{d\tilde{K}(b_T; \mu)}{d \ln \mu} = -\gamma_K(g(\mu)) \quad \longrightarrow \quad \frac{\partial \gamma_F(g(\mu); \zeta_F/\mu^2)}{\partial \ln \sqrt{\zeta_F}} = -\gamma_K(g(\mu)),$$

Scale dependence of Sivers function

Aybat, Collins, Qiu, Rogers, 2011

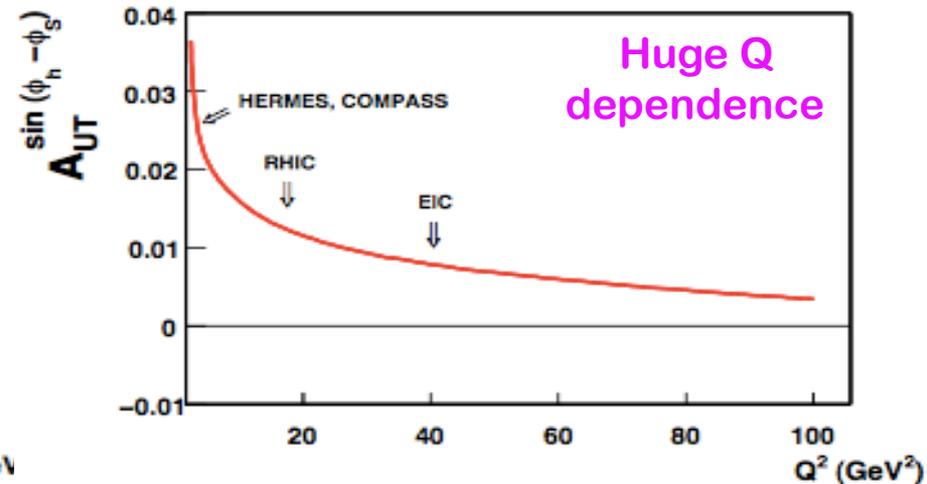
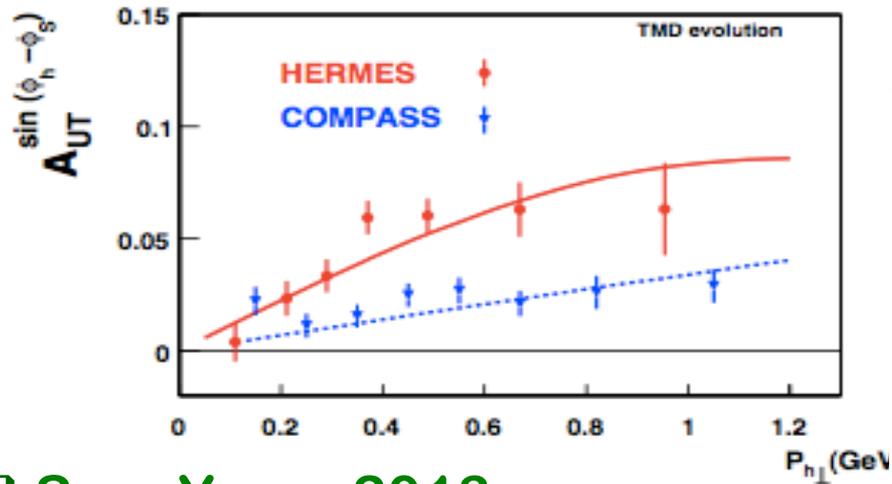
□ Up quark Sivers function:



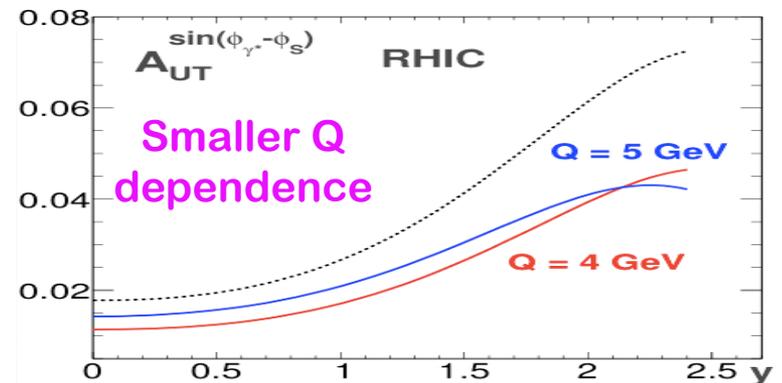
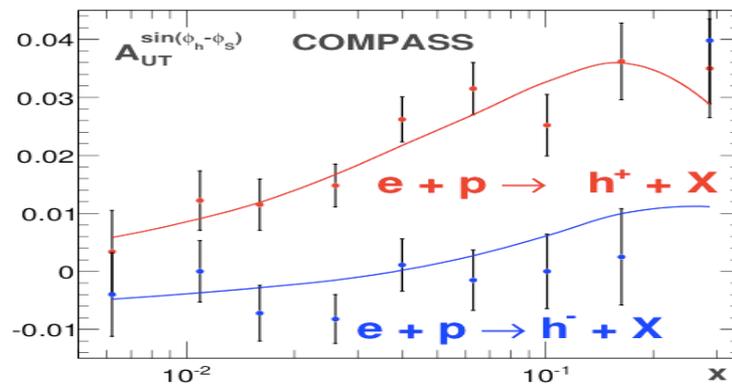
Very significant growth in the width of transverse momentum

Nonperturbative input to Sivers function

□ Aybat, Prokudin, Rogers, 2012:



□ Sun, Yuan, 2013:



No disagreement on evolution equations!

Issues: Extrapolation to non-perturbative large b -region

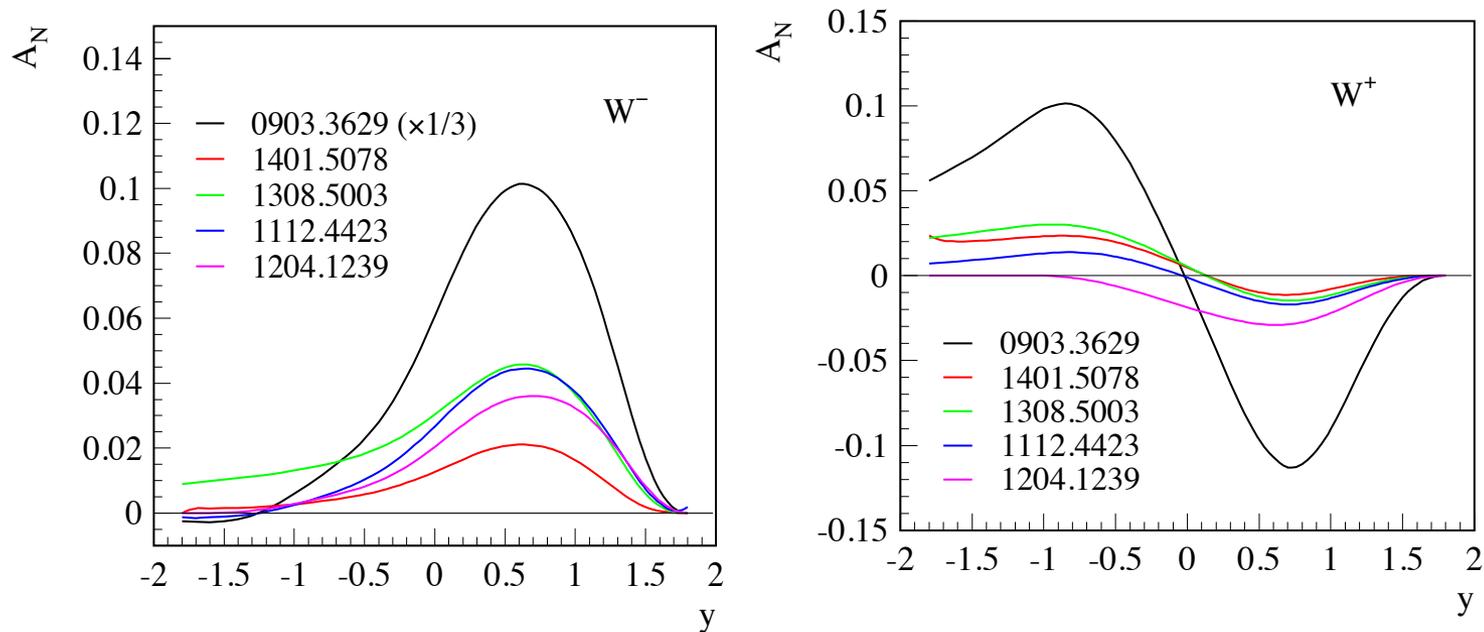
Choice of the Q -dependent “form factor”

“Predictions” for A_N of W-production at RHIC?

□ **Sivers Effect:**

- ✧ Quantum correlation between the **spin direction** of colliding hadron and the preference of **motion direction** of its confined partons
- ✧ QCD Prediction: **Sign change** of Sivers function from SIDIS and DY

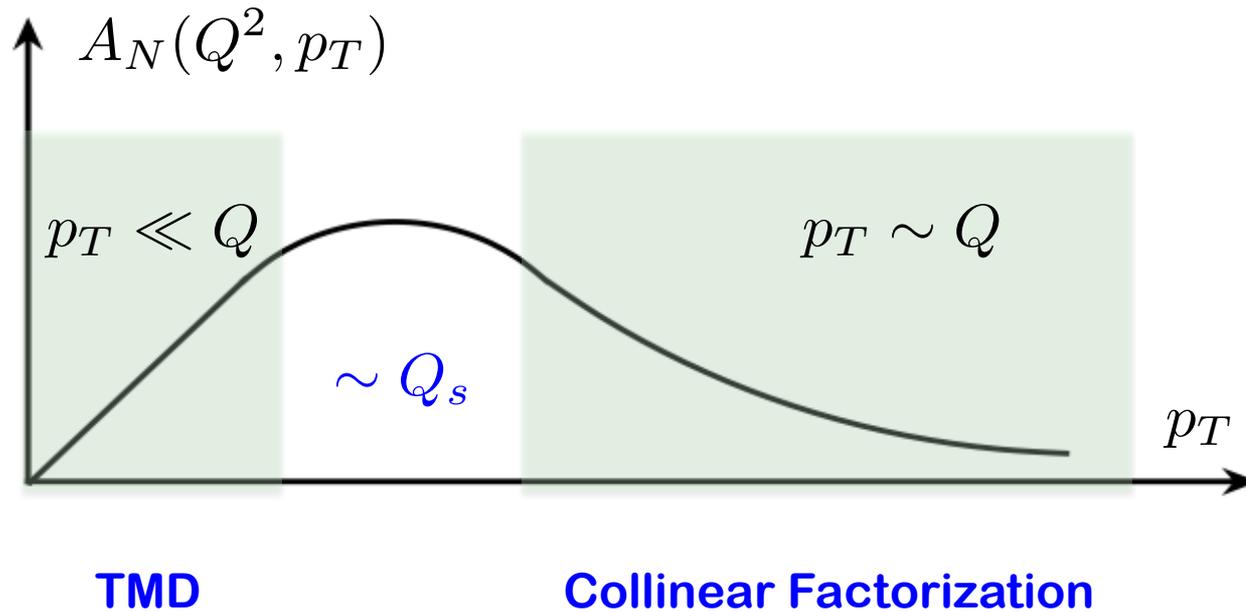
□ **Current “prediction” and uncertainty of QCD evolution:**



TMD collaboration proposal: Lattice, theory & Phenomenology
RHIC is the excellent and unique facility to test this (W/Z – DY)!

Drell-Yan (or SIDIS) from low p_T to high p_T

- Covers both double-scale and single-scale cases:



- TMD factorization to collinear factorization:

Ji, Qiu, Vogelsang, Yuan,
Koike, Vogelsang, Yuan

Two factorizations are consistent in the overlap region: $\Lambda_{\text{QCD}} \ll p_T \ll Q$

A_N finite – requires correlation of multiple collinear partons

No probability interpretation! New opportunities!

How collinear factorization generates SSA?

Collinear factorization beyond leading power:

$$\sigma(Q, \vec{s}) \propto \left| \begin{array}{c} \text{Diagram 1} \\ + \\ \text{Diagram 2} \\ + \\ \text{Diagram 3} \\ + \dots \end{array} \right|^2 \left(\frac{\langle k_{\perp} \rangle}{Q} \right)^n \text{ - Expansion}$$

$$\sigma(Q, s_T) = H_0 \otimes f_2 \otimes f_2 + (1/Q) H_1 \otimes f_2 \otimes f_3 + \mathcal{O}(1/Q^2)$$

Too large to compete!

Three-parton correlation

Single transverse spin asymmetry:

Efremov, Teryaev, 82;
Qiu, Sterman, 91, etc.

$$\Delta\sigma(s_T) \propto T^{(3)}(x, x) \otimes \hat{\sigma}_T \otimes D(z) + \delta q(x) \otimes \hat{\sigma}_D \otimes D^{(3)}(z, z) + \dots$$

$$T^{(3)}(x, x) \propto$$

Qiu, Sterman, 1991, ...

$$D^{(3)}(z, z) \propto$$

Kang, Yuan, Zhou, 2010

$$T^{(3\sigma)}(x, x) \propto$$

Kanazawa, Koike, 2000

Integrated information on parton's transverse motion!

Quantum interference between a single and a composite state

Inclusive single hadron production

□ **One large scale:** $A(p_A, S_\perp) + B(p_B) \rightarrow h(p) + X$ with $p_T \gg \Lambda_{\text{QCD}}$

Three identified hadrons: $A(p_A, S_\perp), B(p_B), h(p)$

□ **QCD collinear factorization:**

Qiu, Sterman, 1991, 1998, ...

$$\begin{aligned} A_N &\propto \sigma(p_T, S_\perp) - \sigma(p_T, -S_\perp) \\ &= T_{a/A}^{(3)}(x, x, S_\perp) \otimes \phi_{b/B}(x') \otimes \hat{\sigma}_{ab \rightarrow c}^T \otimes D_{h/c}(z) \\ &\quad + \delta q_{a/A}(x, S_\perp) \otimes T_{b/B}^{(3\sigma)}(x', x') \otimes \hat{\sigma}_{ab \rightarrow c}^\phi \otimes D_{h/c}(z) \\ &\quad + \delta q_{a/A}(x, S_\perp) \otimes \phi_{b/B}(x', x') \otimes \hat{\sigma}_{ab \rightarrow c}^D \otimes D_{h/c}^{(3)}(z, z) \end{aligned}$$

Leading power contribution to cross section cancels!

Only one twist-3 distribution at each term!

□ **Three-type contributions:**

Spin-flip: Twist-3 correlation functions, transversity distributions

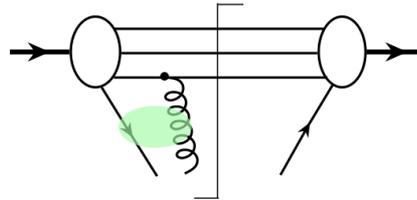
Phase: Interference between the real part and imaginary part of the scattering amplitude

Twist-3 correlation functions

□ Twist-3 polarized correlation functions:

Efremov, Teryaev, 1982, ...
Qiu, Sterman, 1991, ...

$$T^{(3)}(x, x, S_{\perp}) \propto$$

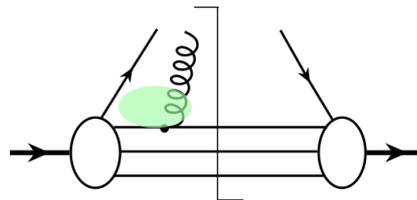


Moment of Sivers function

□ Twist-3 unpolarized correlation functions:

Kanazawa, Koike 2000, ...

$$T^{(3\sigma)}(x', x') \propto$$

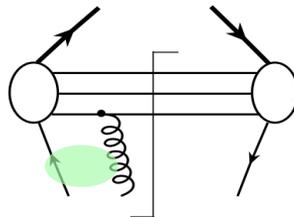


Moment of Boer-Mulders function

□ Twist-3 fragmentation functions:

Kang, Yuan, Zhou, 2010

$$D^{(3)}(z, z) \propto$$



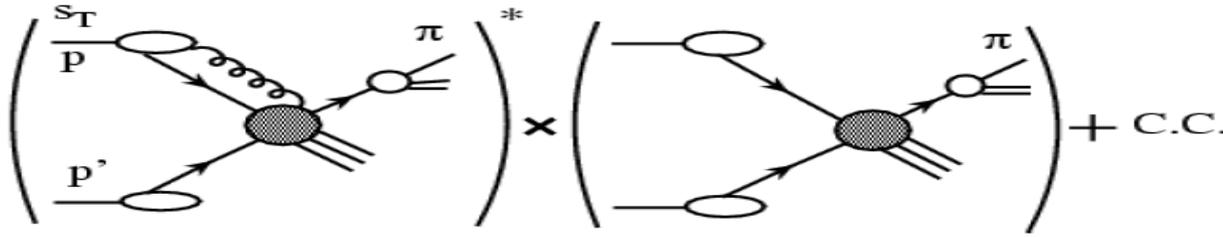
Moment of Collins function?

All these correlation functions have No probability interpretation!

Quantum interference between a single and a composite state

SSAs generated by twist-3 PDFs

□ First non-vanish contribution – interference:



□ Dominated by the derivative term – forward region:

$$E \frac{d\Delta\sigma}{d^3\ell} \propto \epsilon^{\ell T s_T n \bar{n}} D_{c \rightarrow \pi}(z) \otimes \left[-x \frac{\partial}{\partial x} T_F(x, x) \right]$$

Qiu, Sterman, 1998, ...

$$\otimes \frac{1}{-\hat{u}} \left[G(x') \otimes \Delta \hat{\sigma}_{qg \rightarrow c} + \sum_{q'} q'(x') \otimes \Delta \hat{\sigma}_{qq' \rightarrow c} \right]$$

$$A_N \propto \left(\frac{\ell_{\perp}}{-\hat{u}} \right) \frac{n}{1-x} \quad \text{if } T_F(x, x) \propto q(x) \propto (1-x)^n$$

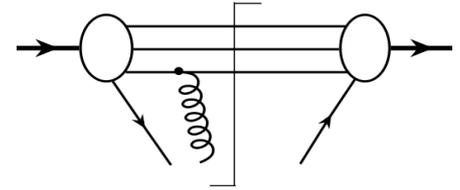
Kouvaris, Qiu,
Vogelsang, Yuan, 2006

□ Complete leading order contribution:

$$E_{\ell} \frac{d^3 \Delta\sigma(\vec{s}_T)}{d^3 \ell} = \frac{\alpha_s^2}{S} \sum_{a,b,c} \int_{z_{\min}}^1 \frac{dz}{z^2} D_{c \rightarrow h}(z) \int_{x'_{\min}}^1 \frac{dx'}{x'} \frac{1}{x'S + T/z} \phi_{b/B}(x') \sqrt{4\pi\alpha_s} \left(\frac{\epsilon^{\ell s_T n \bar{n}}}{z \hat{u}} \right) \\ \times \frac{1}{x} \left[T_{a,F}(x, x) - x \left(\frac{d}{dx} T_{a,F}(x, x) \right) \right] H_{ab \rightarrow c}(\hat{s}, \hat{t}, \hat{u}).$$

Twist-3 distributions relevant to A_N

Two-sets Twist-3 correlation functions:



No probability interpretation!

$$\tilde{T}_{q,F} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2 P^+ y_2^-} \langle P, s_T | \bar{\psi}_q(0) \frac{\gamma^+}{2} [\epsilon^{s_T \sigma n \bar{n}} F_\sigma^+(y_2^-)] \psi_q(y_1^-) | P, s_T \rangle$$

Kang, Qiu, 2009

$$\tilde{T}_{G,F}^{(f,d)} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2 P^+ y_2^-} \frac{1}{P^+} \langle P, s_T | F^{+\rho}(0) [\epsilon^{s_T \sigma n \bar{n}} F_\sigma^+(y_2^-)] F^{+\lambda}(y_1^-) | P, s_T \rangle (-g_{\rho\lambda})$$

$$\tilde{T}_{\Delta q,F} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2 P^+ y_2^-} \langle P, s_T | \bar{\psi}_q(0) \frac{\gamma^+ \gamma^5}{2} [i s_T^\sigma F_\sigma^+(y_2^-)] \psi_q(y_1^-) | P, s_T \rangle$$

$$\tilde{T}_{\Delta G,F}^{(f,d)} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2 P^+ y_2^-} \frac{1}{P^+} \langle P, s_T | F^{+\rho}(0) [i s_T^\sigma F_\sigma^+(y_2^-)] F^{+\lambda}(y_1^-) | P, s_T \rangle (i\epsilon_{\perp\rho\lambda})$$

Role of color magnetic force!

Twist-2 distributions:

Unpolarized PDFs:

$$q(x) \propto \langle P | \bar{\psi}_q(0) \frac{\gamma^+}{2} \psi_q(y) | P \rangle$$

$$G(x) \propto \langle P | F^{+\mu}(0) F^{+\nu}(y) | P \rangle (-g_{\mu\nu})$$

Polarized PDFs:

$$\Delta q(x) \propto \langle P, S_{||} | \bar{\psi}_q(0) \frac{\gamma^+ \gamma^5}{2} \psi_q(y) | P, S_{||} \rangle$$

$$\Delta G(x) \propto \langle P, S_{||} | F^{+\mu}(0) F^{+\nu}(y) | P, S_{||} \rangle (i\epsilon_{\perp\mu\nu})$$

Twist-3 fragmentation functions:

See Kang, Yuan, Zhou, 2010, Kang 2010

Test QCD evolution at twist-3 level

Kang, Qiu, 2009; Yuan, Zhou, 2009
Vogelsang, Yuan, 2009, Braun et al, 2009

Scaling violation – “DGLAP” evolution:

$$\begin{array}{c}
 \left[\begin{array}{c} \tilde{T}_{q,F} \\ \tilde{T}_{\Delta q,F} \\ \tilde{T}_{G,F}^{(f)} \\ \tilde{T}_{G,F}^{(d)} \\ \tilde{T}_{\Delta G,F}^{(f)} \\ \tilde{T}_{\Delta G,F}^{(d)} \end{array} \right] \\
 \mu_F^2 \frac{\partial}{\partial \mu_F^2}
 \end{array}
 =
 \begin{array}{c}
 \left(\begin{array}{cccccc}
 K_{qq} & K_{q\Delta q} & K_{qG}^{(f)} & K_{qG}^{(d)} & K_{q\Delta G}^{(f)} & K_{q\Delta G}^{(d)} \\
 K_{\Delta qq} & K_{\Delta q\Delta q} & K_{\Delta qG}^{(f)} & K_{\Delta qG}^{(d)} & K_{\Delta q\Delta G}^{(f)} & K_{\Delta q\Delta G}^{(d)} \\
 K_{Gq}^{(f)} & K_{G\Delta q}^{(f)} & K_{GG}^{(ff)} & K_{GG}^{(fd)} & K_{G\Delta G}^{(ff)} & K_{G\Delta G}^{(fd)} \\
 K_{Gq}^{(d)} & K_{G\Delta q}^{(d)} & K_{GG}^{(df)} & K_{GG}^{(dd)} & K_{G\Delta G}^{(df)} & K_{G\Delta G}^{(dd)} \\
 K_{\Delta Gq}^{(f)} & K_{\Delta G\Delta q}^{(f)} & K_{\Delta GG}^{(ff)} & K_{\Delta GG}^{(fd)} & K_{\Delta G\Delta G}^{(ff)} & K_{\Delta G\Delta G}^{(fd)} \\
 K_{\Delta Gq}^{(d)} & K_{\Delta G\Delta q}^{(d)} & K_{\Delta GG}^{(df)} & K_{\Delta GG}^{(dd)} & K_{\Delta G\Delta G}^{(df)} & K_{\Delta G\Delta G}^{(dd)}
 \end{array} \right)
 \otimes
 \left[\begin{array}{c} \tilde{T}_{q,F} \\ \tilde{T}_{\Delta q,F} \\ \tilde{T}_{G,F}^{(f)} \\ \tilde{T}_{G,F}^{(d)} \\ \tilde{T}_{\Delta G,F}^{(f)} \\ \tilde{T}_{\Delta G,F}^{(d)} \end{array} \right]
 \end{array}$$

$(x, x + x_2, \mu, s_T)$

 $(\xi, \xi + \xi_2; x, x + x_2, \alpha_s)$

 $\int d\xi \int d\xi_2$

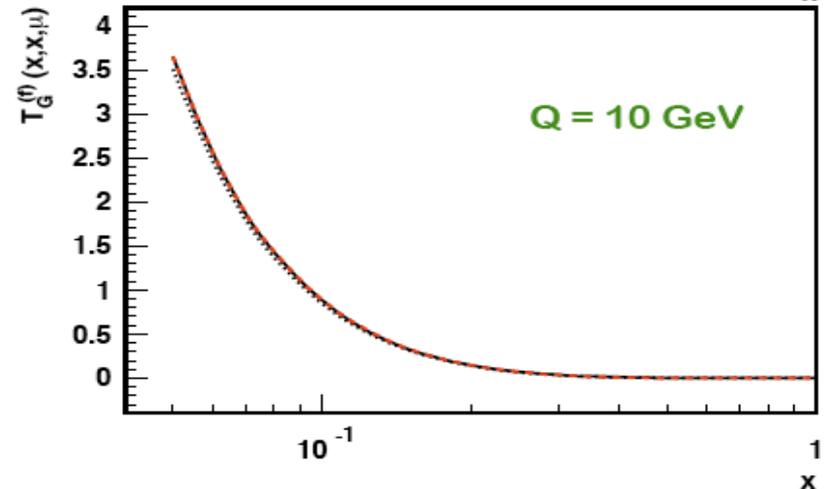
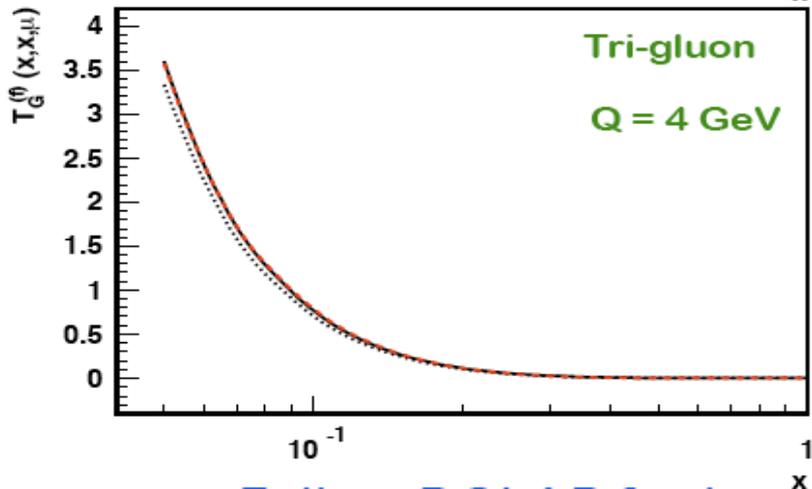
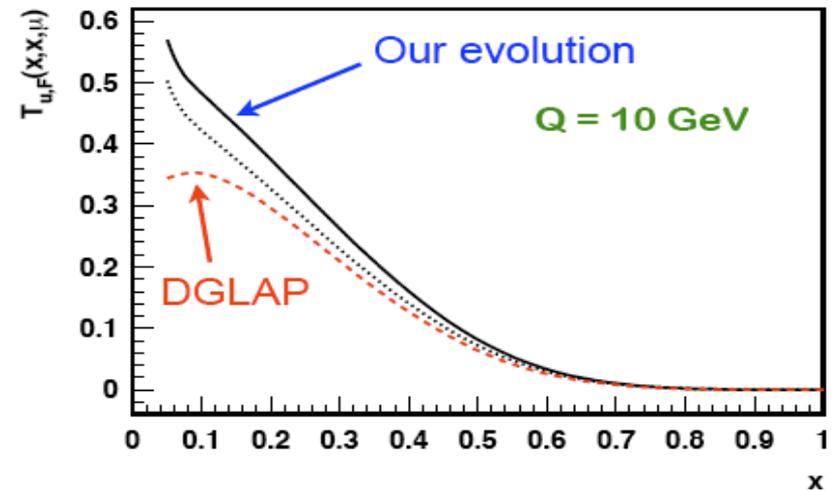
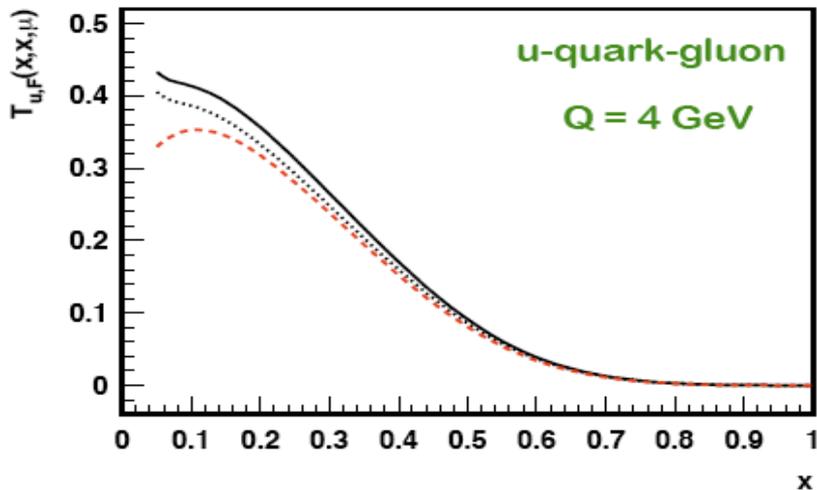
Evolution equation – consequence of factorization:

Factorization: $\Delta\sigma(Q, s_T) = (1/Q)H_1(Q/\mu_F, \alpha_s) \otimes f_2(\mu_F) \otimes f_3(\mu_F)$

DGLAP for f_2 : $\frac{\partial}{\partial \ln(\mu_F)} f_2(\mu_F) = P_2 \otimes f_2(\mu_F)$

Evolution for f_3 : $\frac{\partial}{\partial \ln(\mu_F)} f_3 = \left(\frac{\partial}{\partial \ln(\mu_F)} H_1^{(1)} - P_2^{(1)} \right) \otimes f_3$

Scaling violation of twist-3 correlations?



- ✧ Follow DGLAP at large x
- ✧ Large deviation at low x (stronger correlation)

Twist-3 fragmentation contribution

□ Leading order results:

Metz, Pitonyak, PLB723 (2013)

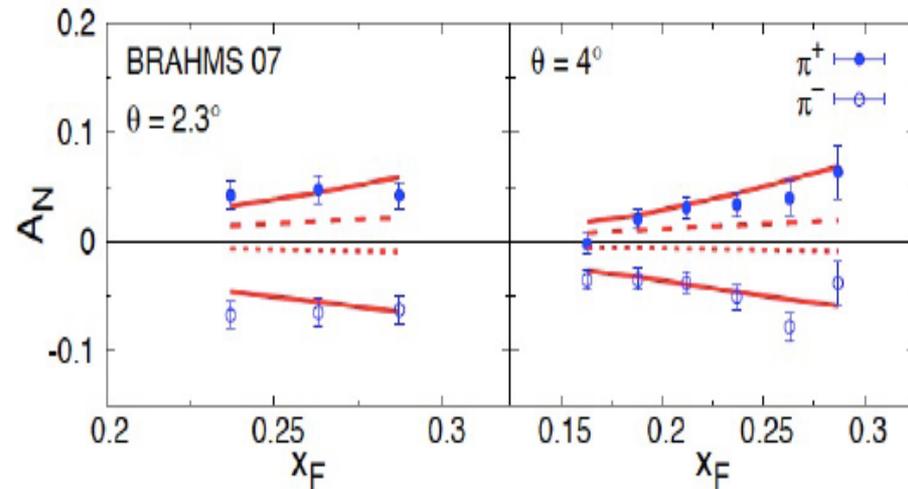
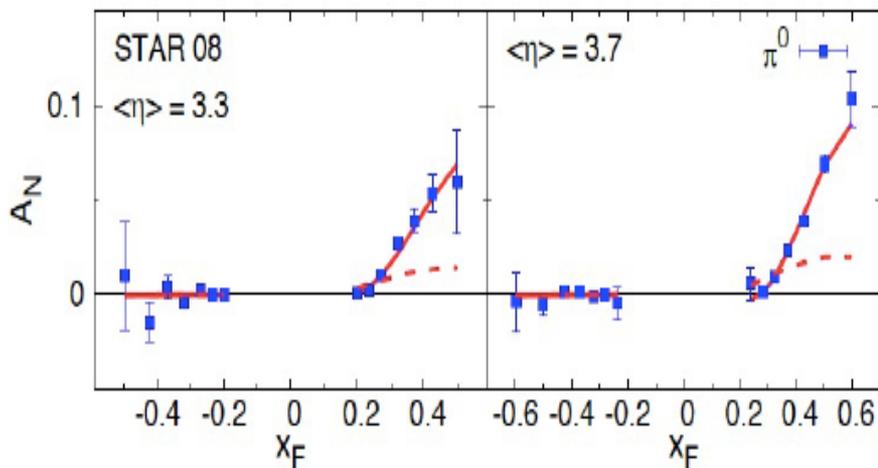
$$\frac{P_h^0 d\sigma_{pol}}{d^3 \vec{P}_h} = -\frac{2\alpha_s^2 M_h}{S} \epsilon_{\perp\mu\nu} S_{\perp}^{\mu} P_{h\perp}^{\nu} \sum_i \sum_{a,b,c} \int_{z_{min}}^1 \frac{dz}{z^3} \int_{x'_{min}}^1 \frac{dx'}{x'} \frac{1}{x'S + T/z} \frac{1}{-x\hat{u} - x'\hat{t}}$$

$$\times \frac{1}{x} h_1^a(x) f_1^b(x') \left\{ \left(\hat{H}^{C/c}(z) - z \frac{d\hat{H}^{C/c}(z)}{dz} \right) S_{\hat{H}}^i + \frac{1}{z} H^{C/c}(z) S_H^i \right.$$

$$\left. + 2z^2 \int \frac{dz_1}{z_1^2} PV \frac{1}{\frac{1}{z} - \frac{1}{z_1}} \hat{H}_{FU}^{C/c,\mathfrak{S}}(z, z_1) \frac{1}{\xi} S_{\hat{H}_{FU}}^i \right\}$$

□ New fitting results:

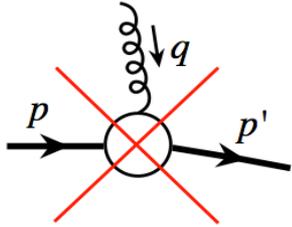
Kanazawa, Koike, Metz, Pitonyak, PRC89, 2014



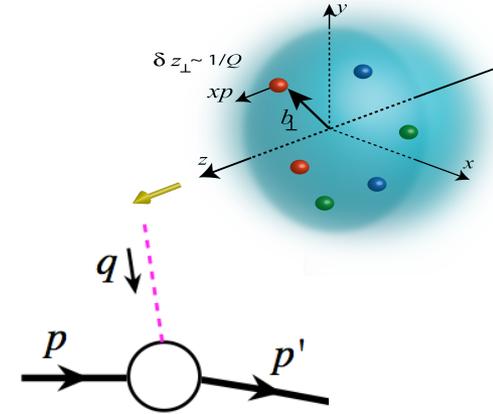
--- Without FF contribution

Spatial imaging of quarks and gluons

□ NO exclusive color form factor:

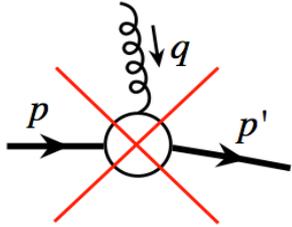


- ✧ Exchange of a colorless “object”
- ✧ “Localized” probe
- ✧ Control of exchanging momentum

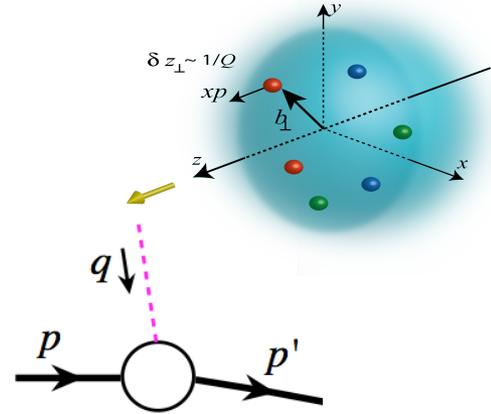


Spatial imaging of quarks and gluons

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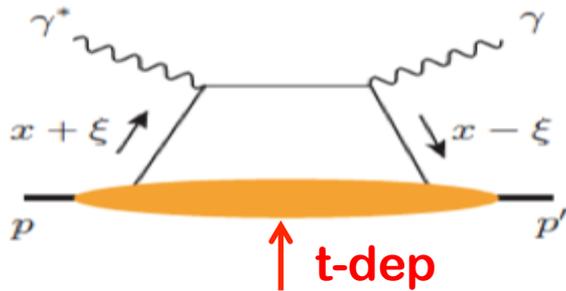


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GPDs

Exclusive processes – DVCS:



$$\frac{d\sigma}{dx_B dQ^2 dt}$$

➔ $H_q(x, \xi, t, Q), E_q(x, \xi, t, Q), \dots$

$$t = (p' - p)^2$$

➔ **F.T. of t-dep**

$$\xi = (P' - P) \cdot n/2$$

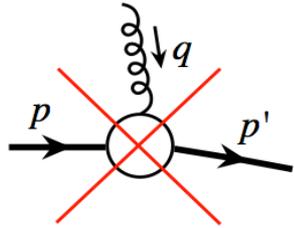
➔ **Spatial distributions**

JLab 12: Valence quarks

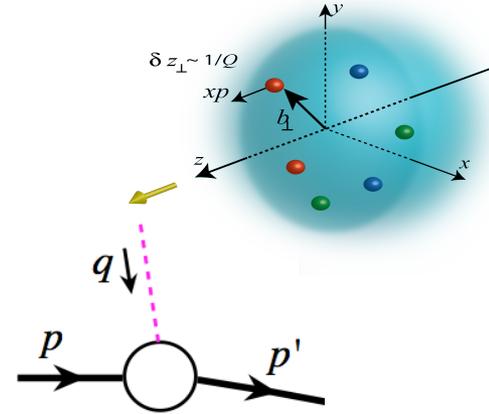
EIC: Sea quarks

Spatial imaging of quarks and gluons

NO exclusive color form factor:

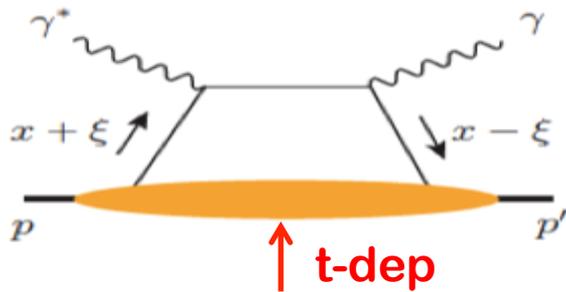


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GPDs

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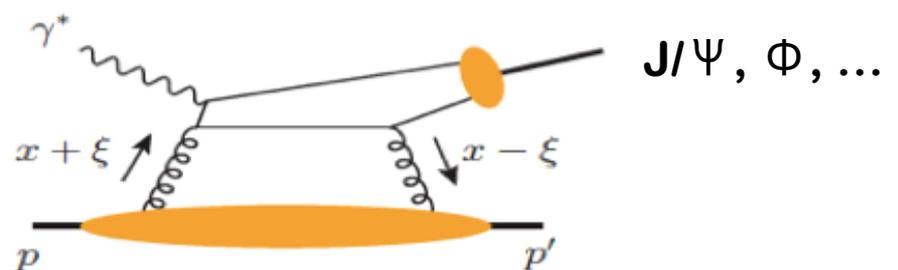
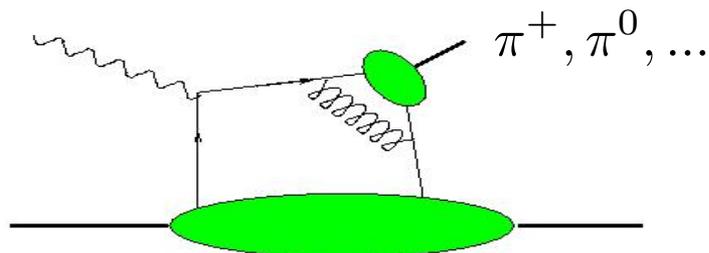


Spatial distributions

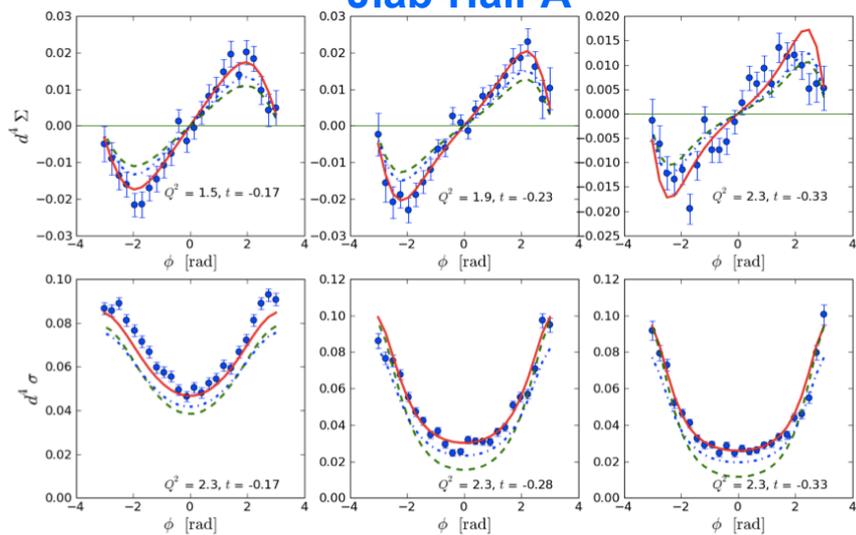
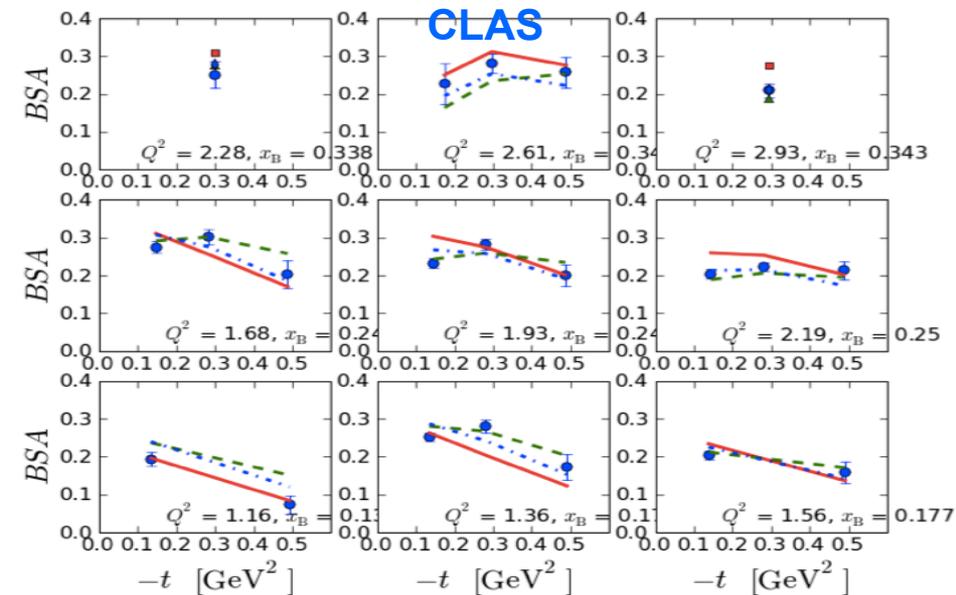
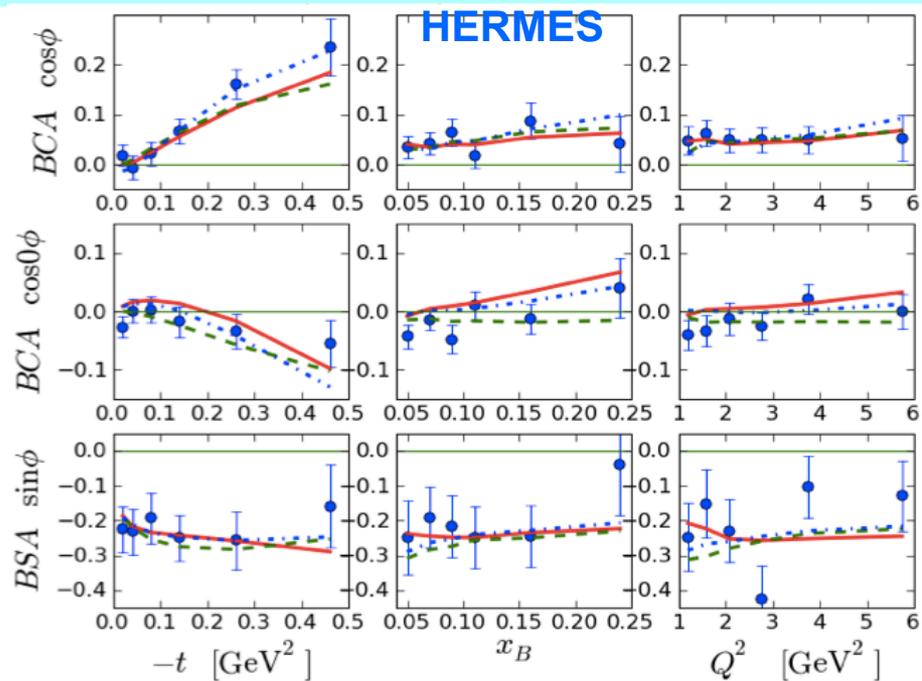
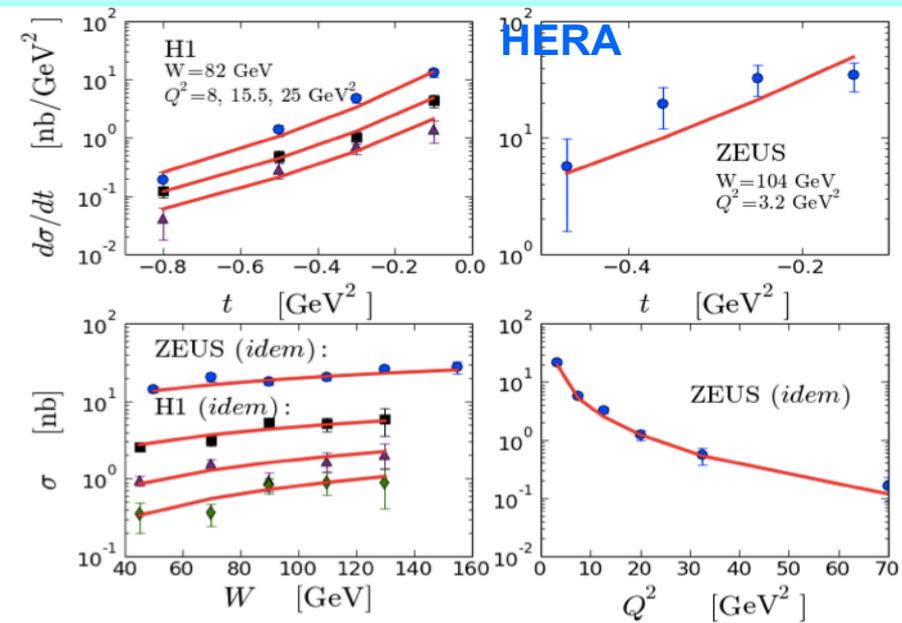
JLab 12: Valence quarks

EIC: Sea quarks

Exclusive meson production:

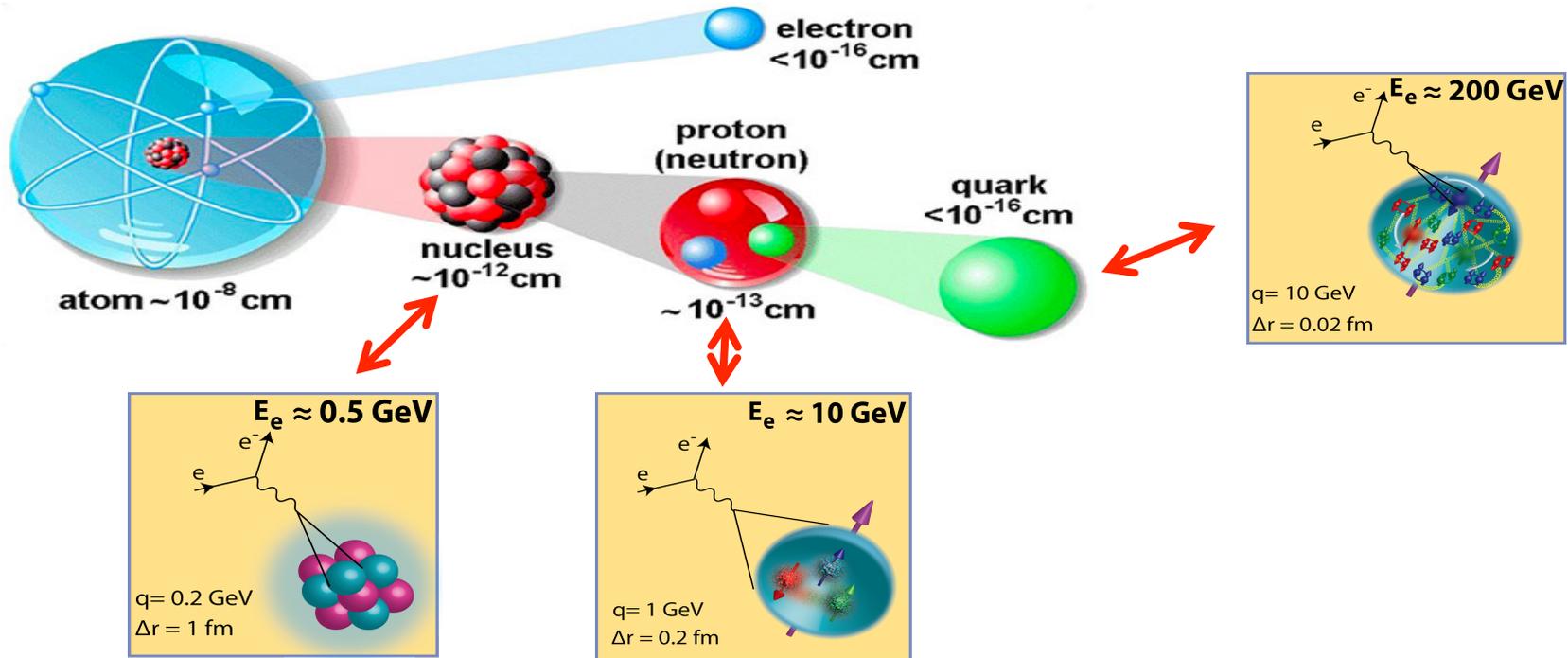


Exclusive DIS – measureable



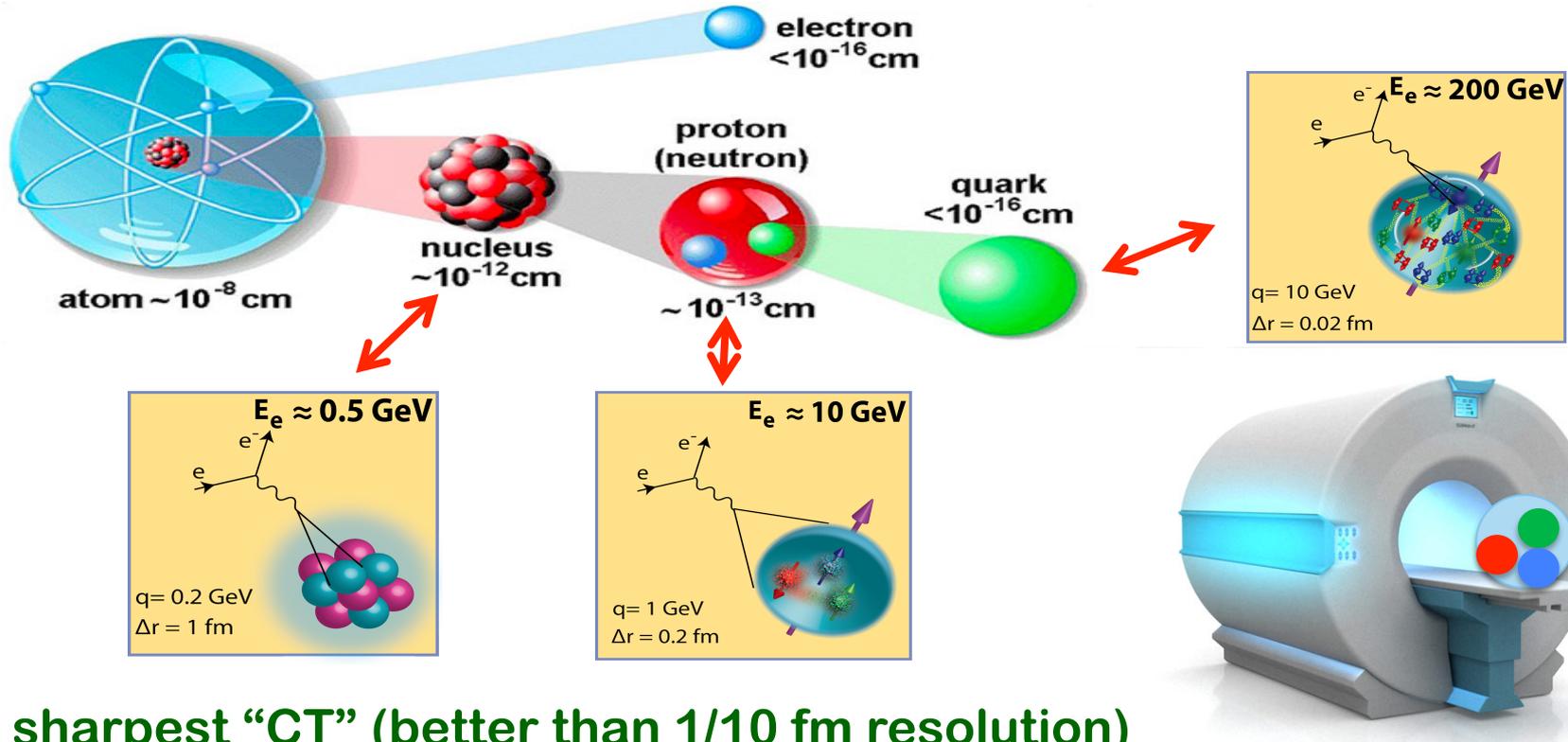
The future: Electron-Ion Collider (EIC)

□ A giant “Microscope” – “see” quarks and gluons by breaking the hadron



The future: Electron-Ion Collider (EIC)

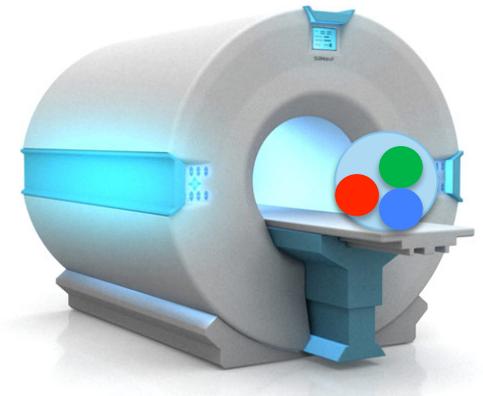
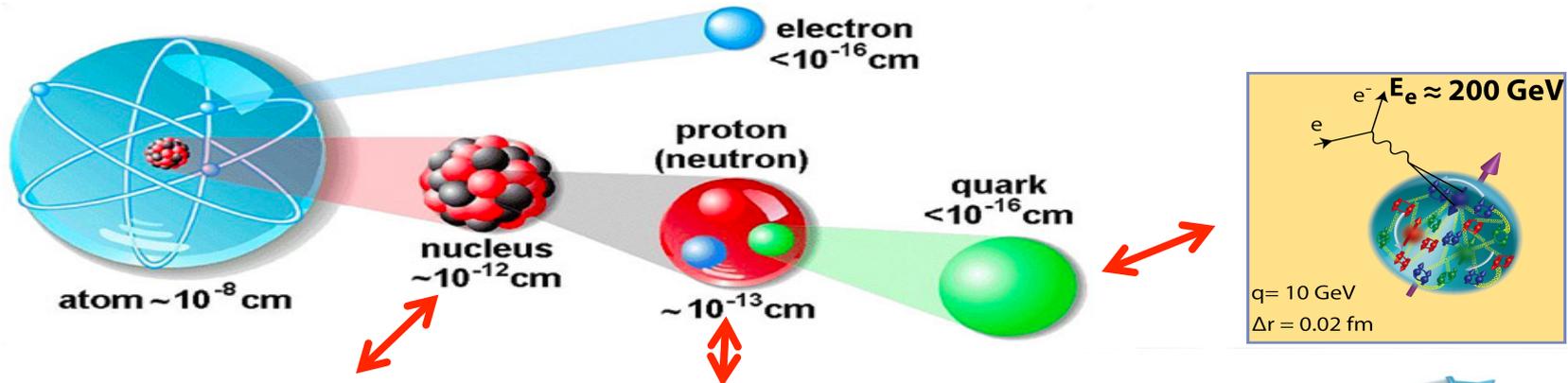
- A giant “Microscope” – “see” quarks and gluons by breaking the hadron



- A sharpest “CT” (better than 1/10 fm resolution)
 - “imagine (cat-scan)” nucleon and nuclei without breaking them

The future: Electron-Ion Collider (EIC)

- A giant “Microscope” – “see” quarks and gluons by breaking the hadron



- A sharpest “CT” (better than 1/10 fm resolution)

– “imagine (cat-scan)” nucleon and nuclei without breaking them

- Why now?

Exp – advances in luminosity, energy reach, detection capability, ...

Thy – breakthrough in factorization – “see” confined quarks and gluons, ...

US EIC – Science & Machine designs

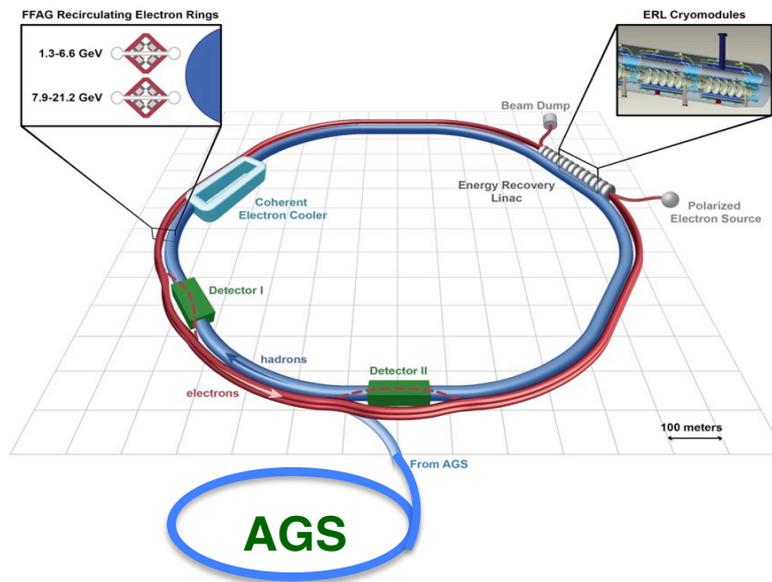
The White Paper
1212.1701.v3
A. Accardi et al



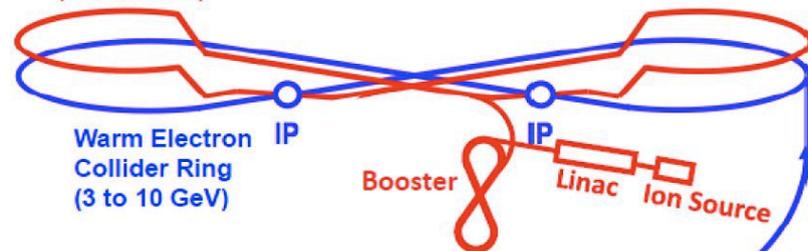
Electron Ion Collider: The Next QCD Frontier

Understanding the glue
that binds us all

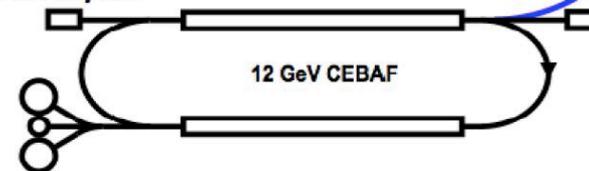
SECOND EDITION



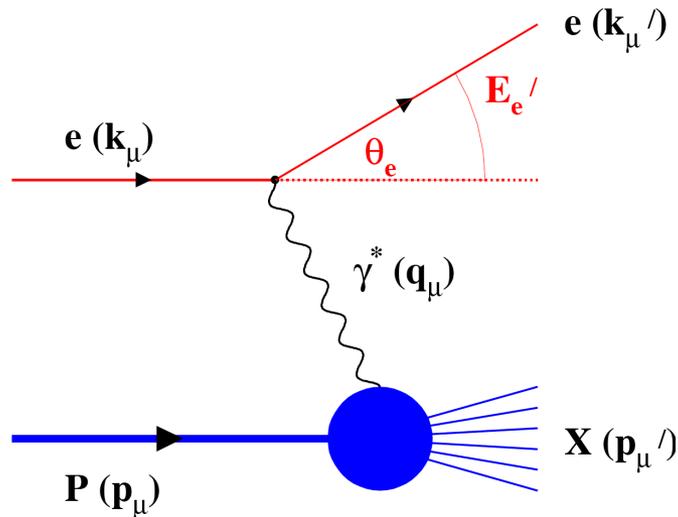
Cold Ion Collider Ring (8 to 100 GeV)



Electron Injector



US EIC: Microscope with superfine control



$Q^2 \rightarrow$ Measure of resolution

$y \rightarrow$ Measure of inelasticity

$x \rightarrow$ Measure of momentum fraction of the struck quark in a proton

$$Q^2 = S \times y$$

Inclusive events: $e+p/A \rightarrow e'+X$

Detect only the scattered lepton in the detector

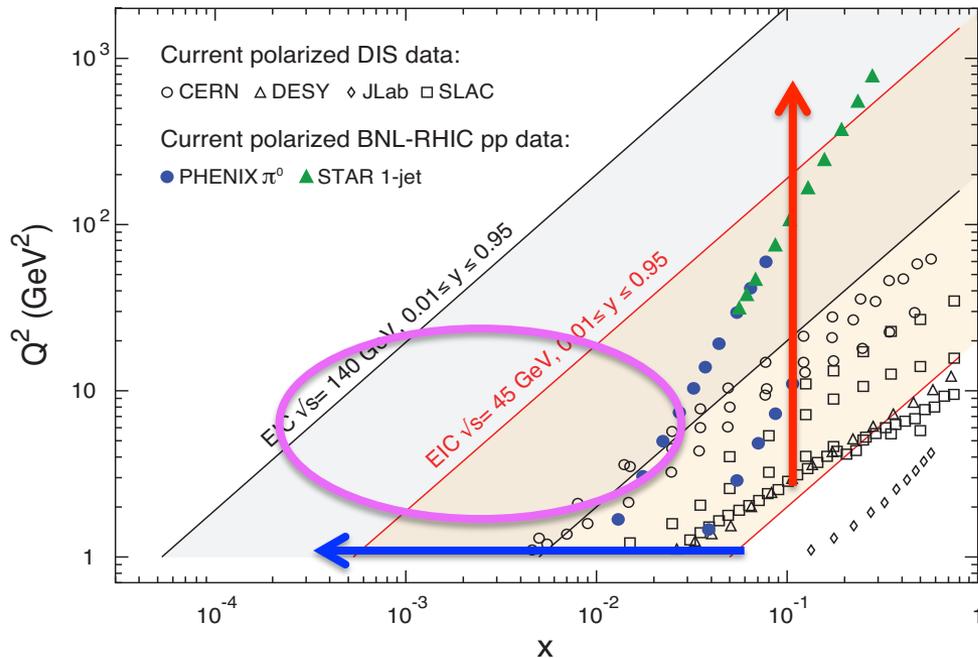
Semi-Inclusive events: $e+p/A \rightarrow e'+h(\pi,K,p,jet)+X$

Detect the scattered lepton in coincidence with identified hadrons/jets in the detector

Exclusive events: $e+p/A \rightarrow e'+p'/A'+h(\pi,K,p,jet)$

Detect every things including scattered proton/nucleus (or its fragments)

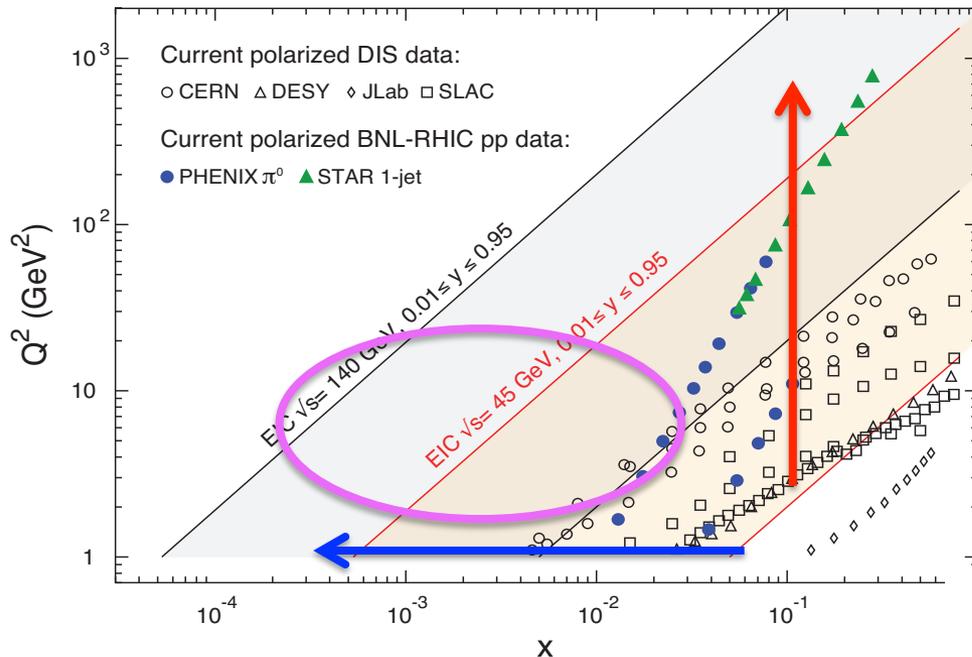
US EIC – Kinematic reach & properties



For e-N collisions at the EIC:

- ✓ Polarized beams: e, p, d/³He
- ✓ Variable center of mass energy
- ✓ Wide Q^2 range → evolution
- ✓ Wide x range → spanning from valence to low-x physics
- ✓ 100-1K times of HERA Luminosity

US EIC – Kinematic reach & properties

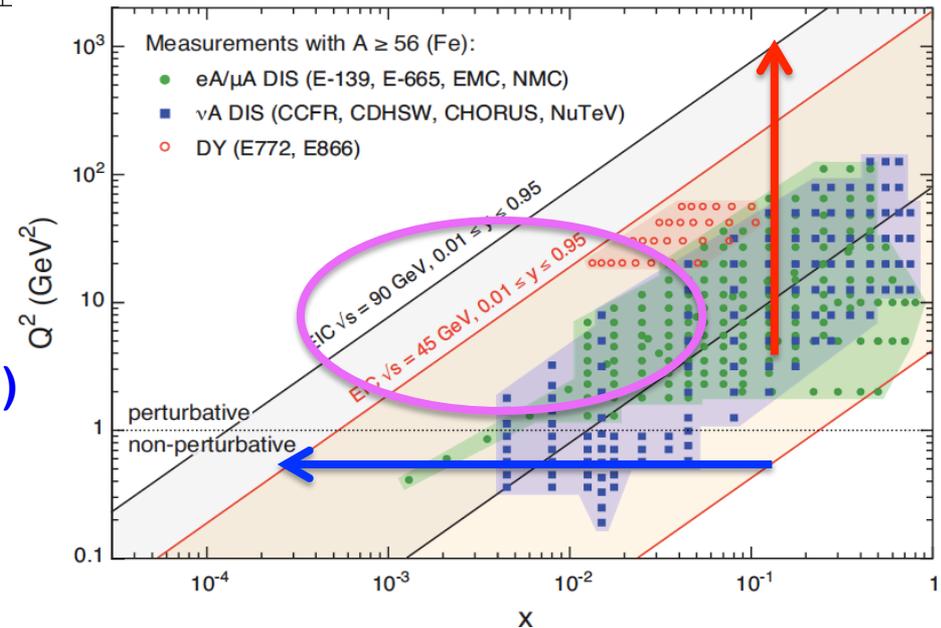


For e-A collisions at the EIC:

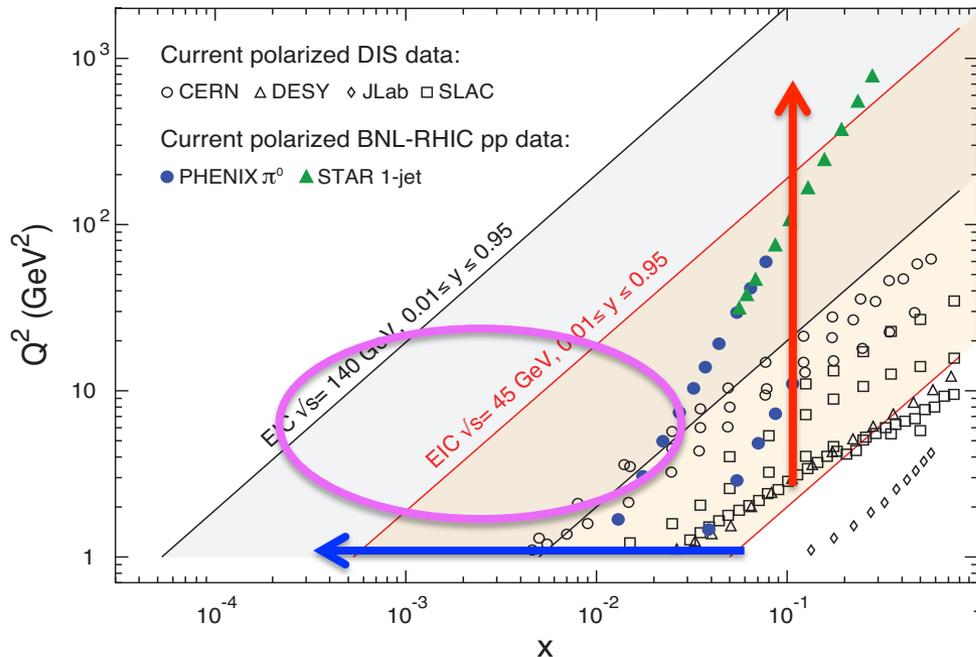
- ✓ Wide range in nuclei
- ✓ Variable center of mass energy
- ✓ Wide Q^2 range (evolution)
- ✓ Wide x region (high gluon densities)

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US EIC – Kinematic reach & properties



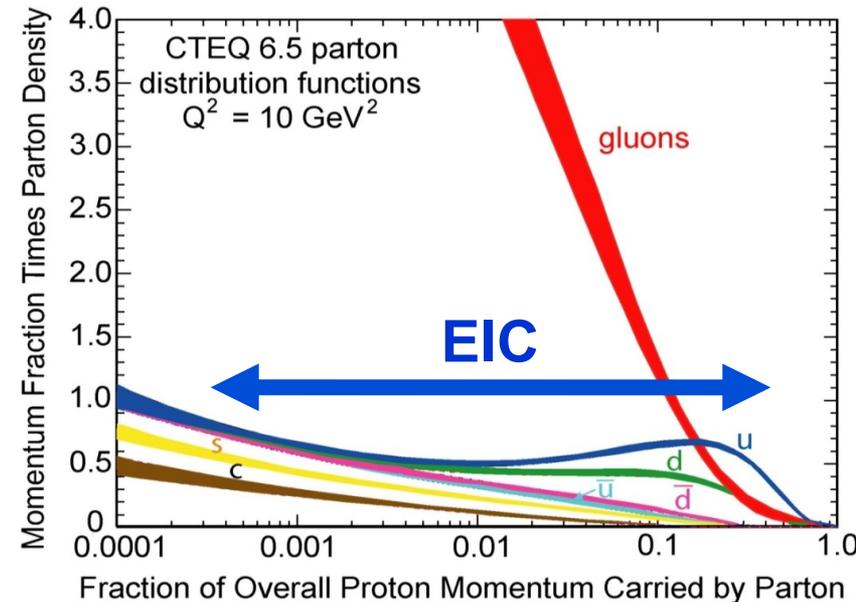
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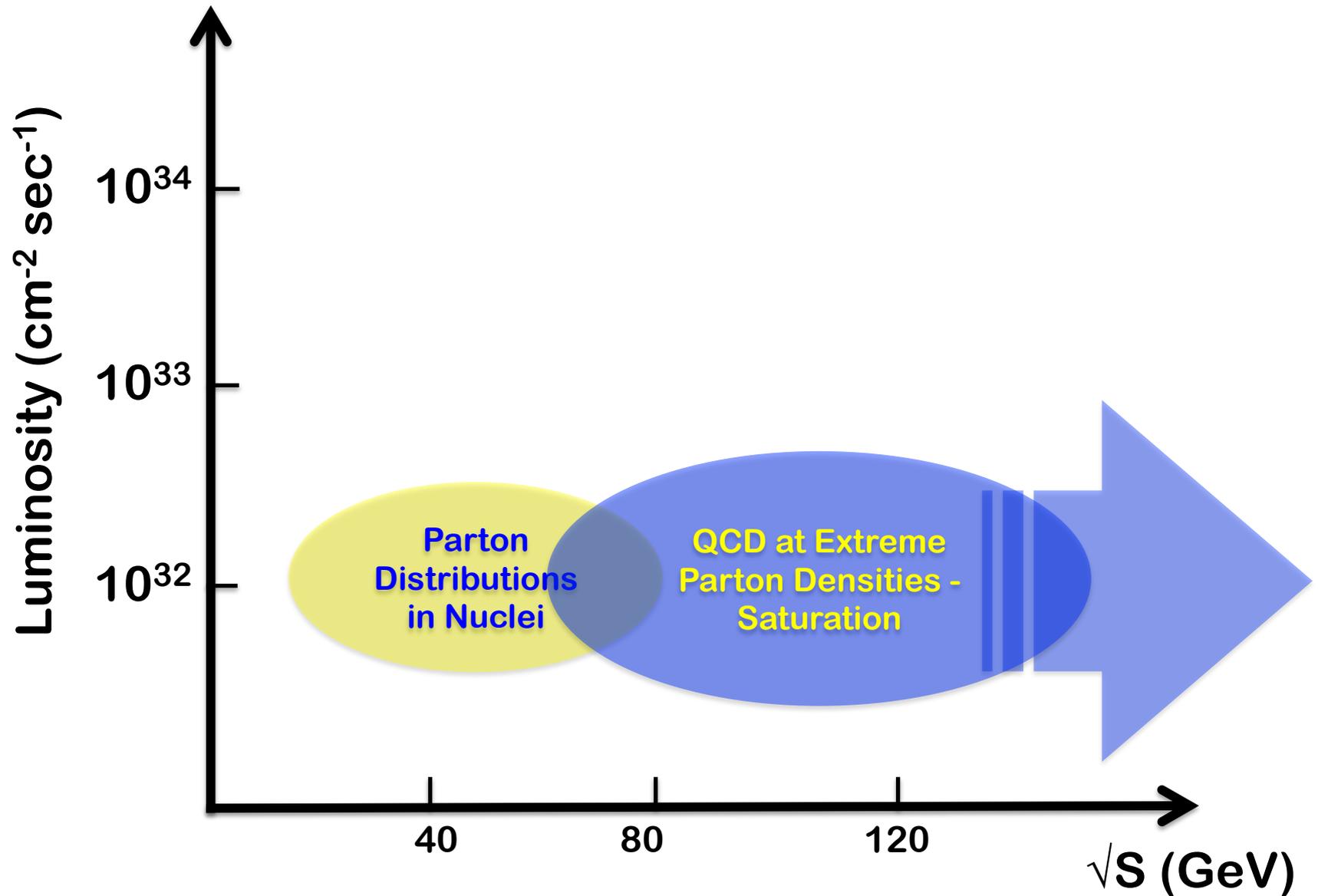
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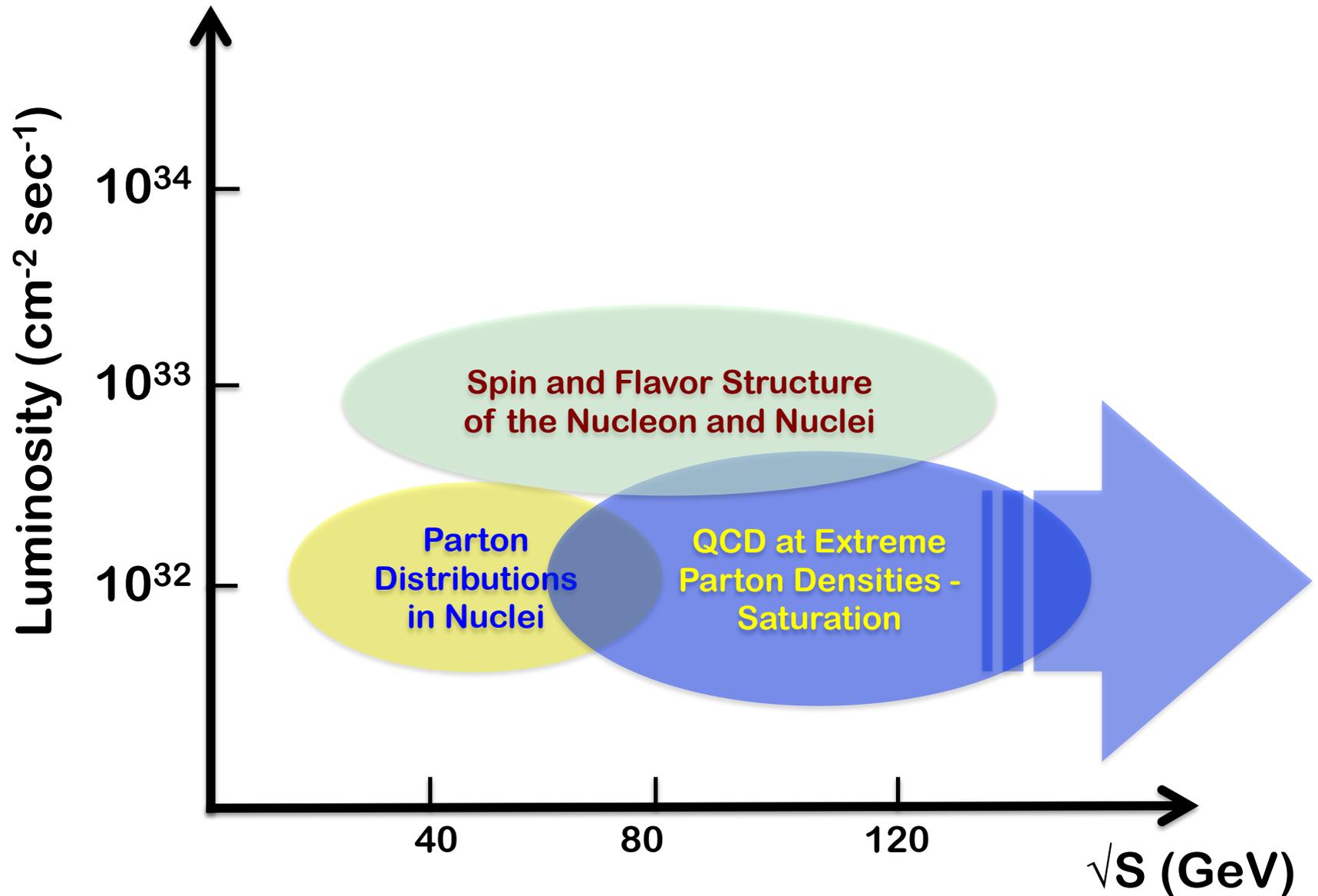
EIC explores the “sea” and the “glue”, the “valence” with a huge level arm



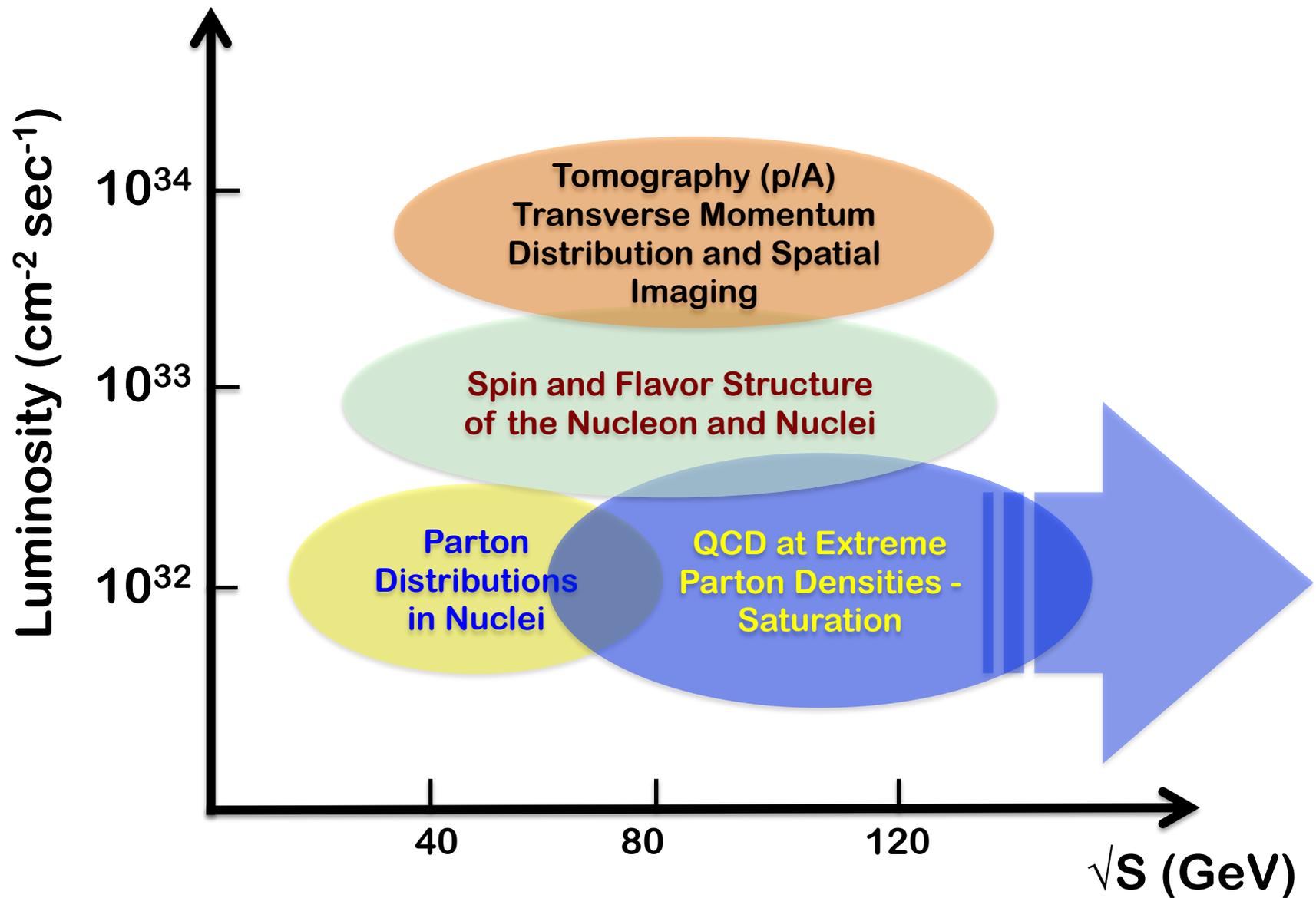
US EIC – Physics vs. Luminosity & Energies



US EIC – Physics vs. Luminosity & Energies

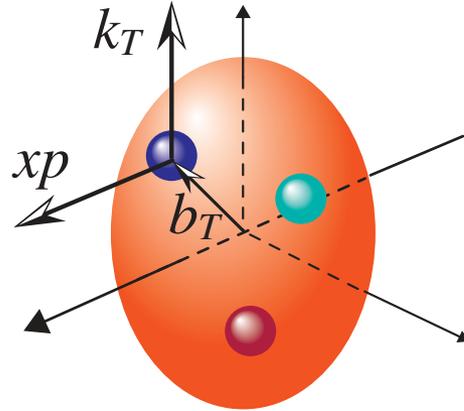


US EIC – Physics vs. Luminosity & Energies



3-Dimensional Imaging Quarks and Gluons

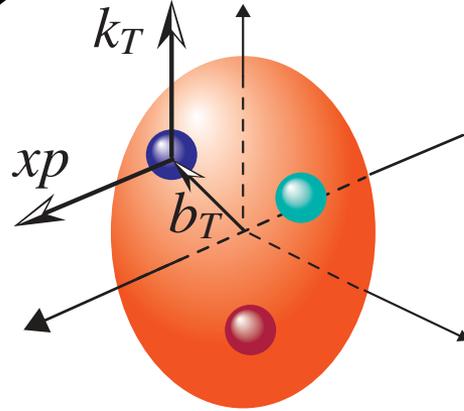
$$W(x, b_T, k_T)$$



3-Dimensional Imaging Quarks and Gluons

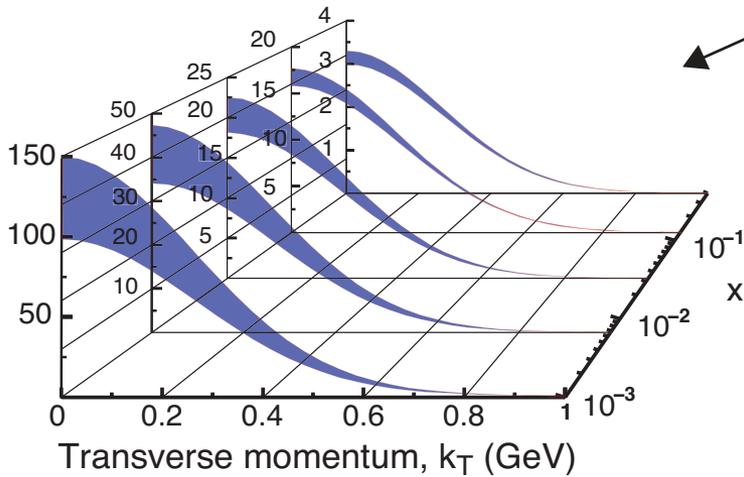
Momentum space

$$\int d^2b_T W(x, b_T, k_T)$$



Quarks

$$f(x, k_T)$$



Spin-dependent 3D momentum space images from semi-inclusive scattering

3-Dimensional Imaging Quarks and Gluons

Momentum space

Coordinate space

$$W(x, b_T, k_T)$$

$$\int d^2 b_T$$

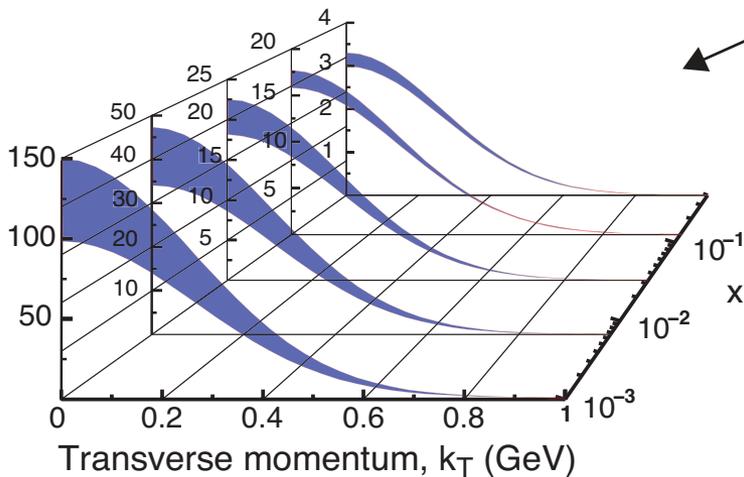
$$\int d^2 k_T$$

Quarks

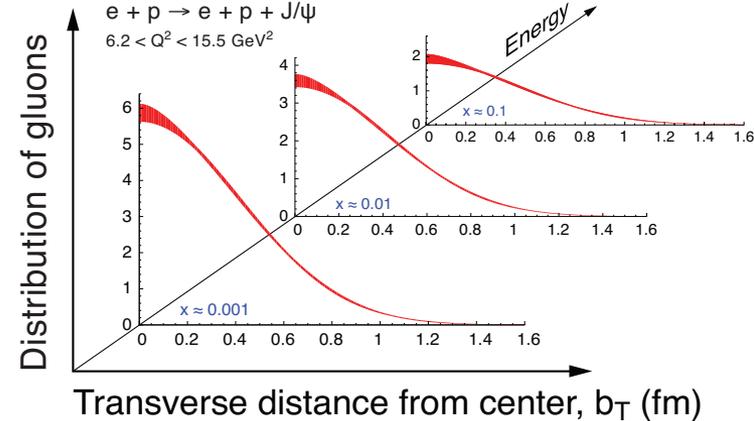
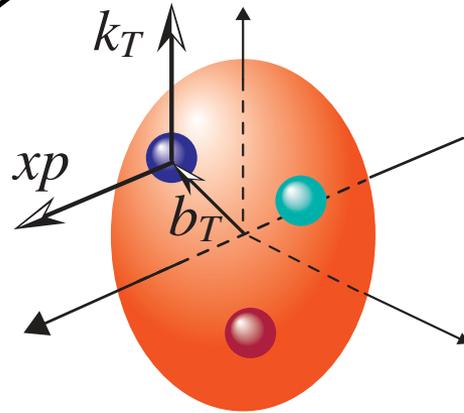
$$f(x, k_T)$$

$$f(x, b_T)$$

Gluons



Spin-dependent 3D momentum space images from semi-inclusive scattering



Spin-dependent 2D (transverse spatial) + 1D (longitudinal momentum) coordinate space images from exclusive scattering

3-Dimensional Imaging Quarks and Gluons

Momentum space

Coordinate space

$$W(x, b_T, k_T)$$

$$\int d^2 b_T$$

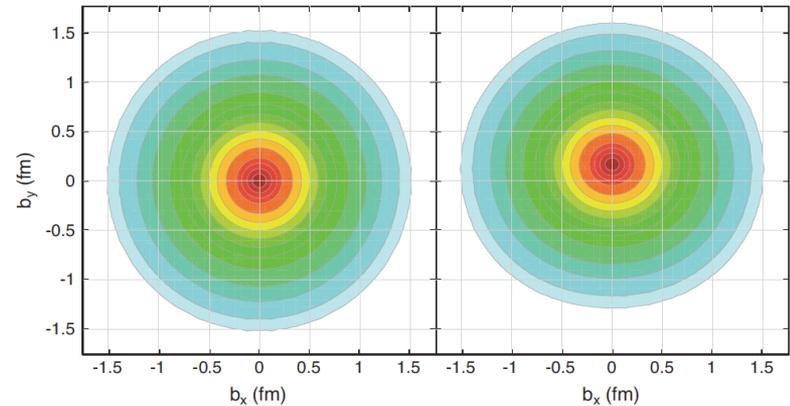
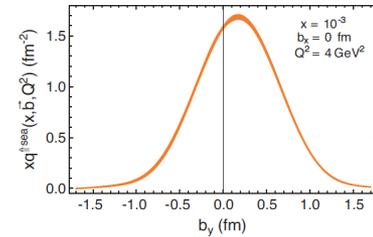
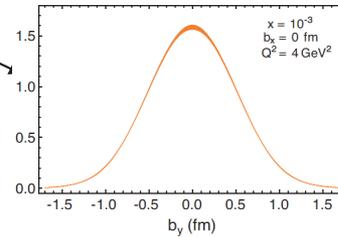
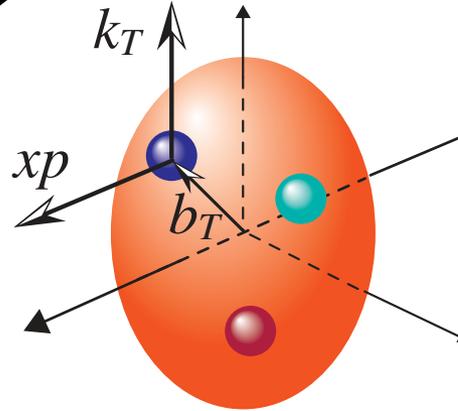
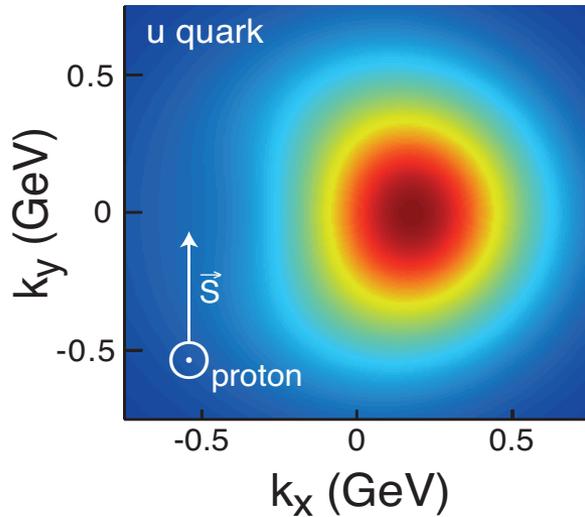
$$\int d^2 k_T$$

$$f(x, k_T)$$

$$f(x, b_T)$$

Quarks

Gluons



3-Dimensional Imaging Quarks and Gluons

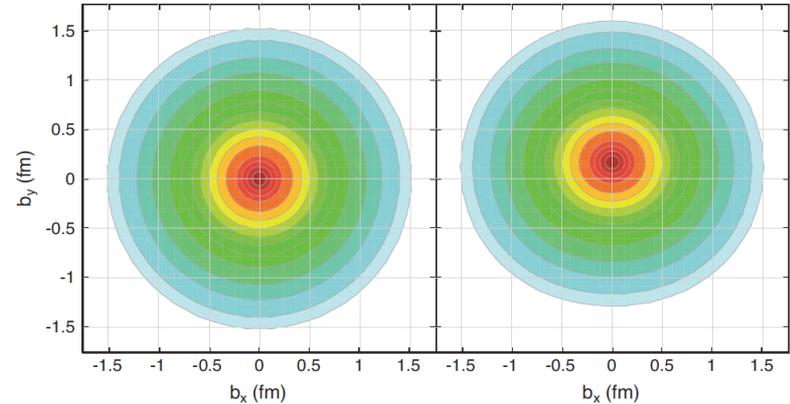
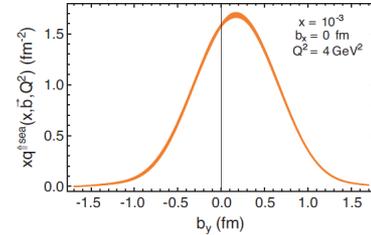
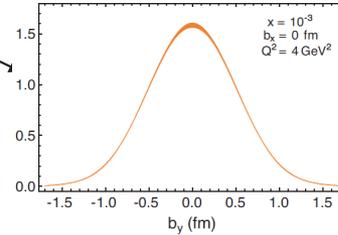
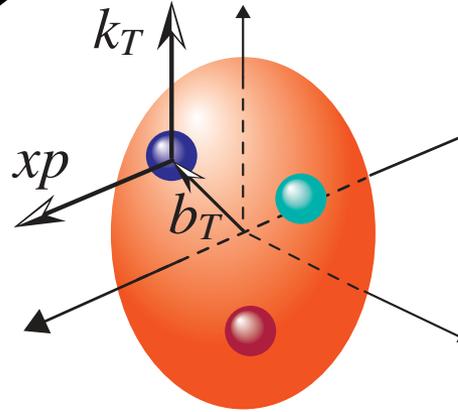
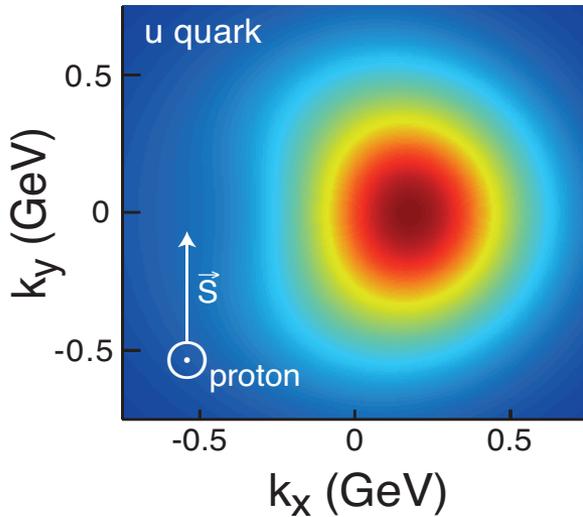
Momentum space

Coordinate space

$$W(x, b_T, k_T) \xrightarrow{\int d^2 b_T} f(x, k_T) \quad \xrightarrow{\int d^2 k_T} f(x, b_T)$$

Quarks

Gluons



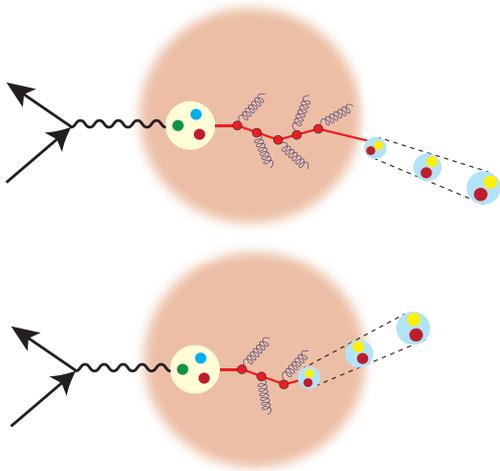
Position $\mathbf{r} \times$ Momentum $\mathbf{p} \rightarrow$ Orbital Motion of Partons

Emergence of hadrons from partons

Nucleus as a Femtometer sized filter

□ Unprecedented ν range at EIC:

precision & control



$$\nu = \frac{Q^2}{2mx}$$

Control of ν by selecting kinematics;
Also under control the nuclear size.

*Colored quark emerges as
color neutral hadron*

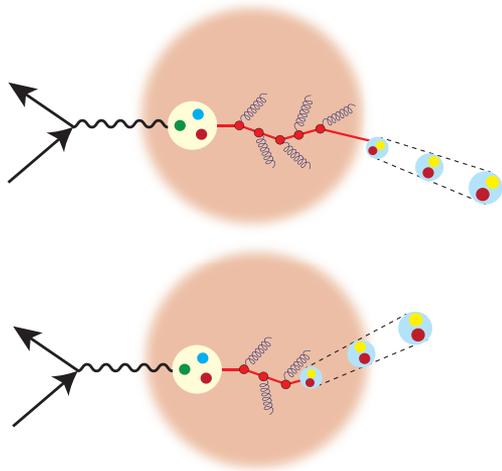
→ *What is nature telling us about
confinement?*

Emergence of hadrons from partons

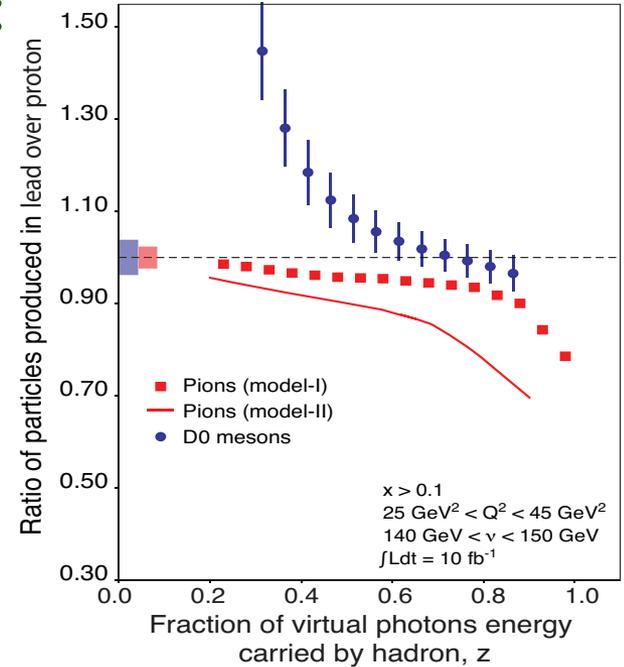
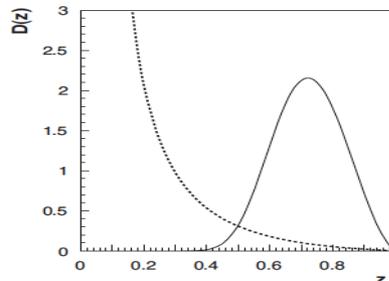
Nucleus as a Femtometer sized filter

□ Unprecedented ν range at EIC: *precision & control*

□ Energy loss by light vs. heavy quarks:



$$\nu = \frac{Q^2}{2m_x}$$



Control of ν by selecting kinematics;
Also under control the nuclear size.

*Colored quark emerges as
color neutral hadron*

→ *What is nature telling us about
confinement?*

Identify π vs. D^0 (charm) mesons in e-A collisions: **Understand energy loss of light vs. heavy quarks traversing the cold nuclear matter:**

Connect to energy loss in Hot QCD

Need the collider energy of EIC and its control on parton kinematics

Hadron structure at large x

□ Testing ground for hadron structure at $x \rightarrow 1$:

✧ $d/u \rightarrow 1/2$

SU(6) Spin-flavor symmetry

✧ $d/u \rightarrow 0$

Scalar diquark dominance

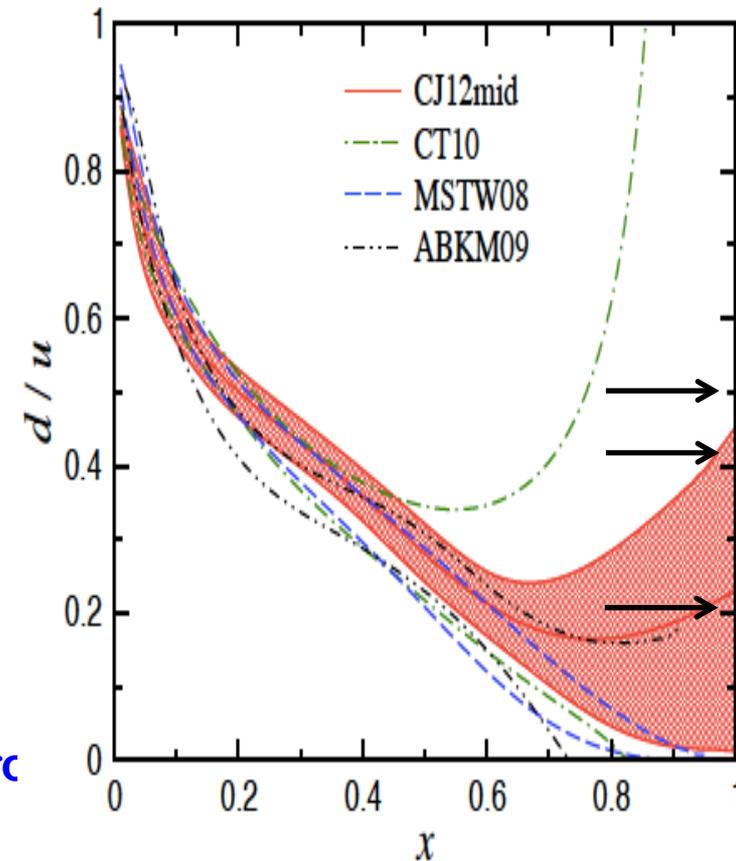
✧ $d/u \rightarrow 1/5$

pQCD power counting

✧ $d/u \rightarrow \frac{4\mu_n^2/\mu_p^2 - 1}{4 - \mu_n^2/\mu_p^2}$

Local quark-hadron duality

≈ 0.42



Hadron structure at large x

□ Testing ground for hadron structure at $x \rightarrow 1$:

$$\diamond d/u \rightarrow 1/2$$

SU(6) Spin-flavor
symmetry

$$\diamond \Delta u/u \rightarrow 2/3$$
$$\Delta d/d \rightarrow -1/3$$

$$\diamond d/u \rightarrow 0$$

Scalar diquark
dominance

$$\diamond \Delta u/u \rightarrow 1$$
$$\Delta d/d \rightarrow -1/3$$

$$\diamond d/u \rightarrow 1/5$$

pQCD power
counting

$$\diamond \Delta u/u \rightarrow 1$$
$$\Delta d/d \rightarrow 1$$

$$\diamond d/u \rightarrow \frac{4\mu_n^2/\mu_p^2 - 1}{4 - \mu_n^2/\mu_p^2}$$

Local quark-hadron
duality

$$\diamond \Delta u/u \rightarrow 1$$
$$\Delta d/d \rightarrow 1$$

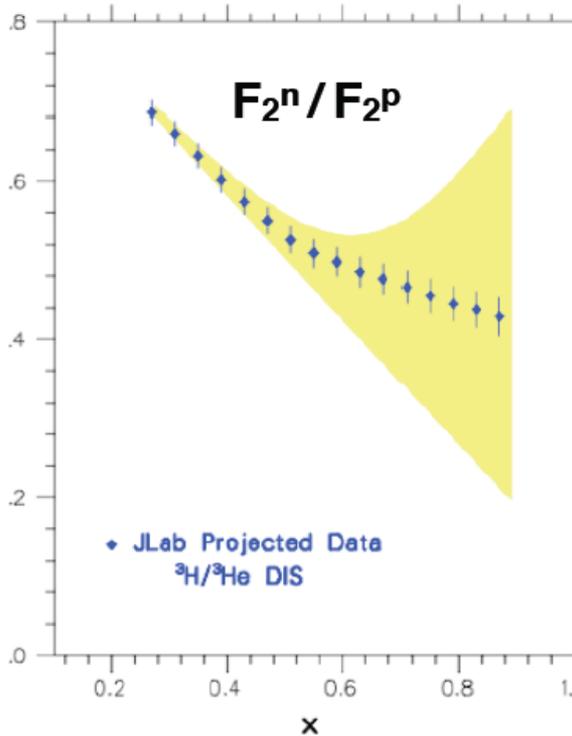
$$\approx 0.42$$

Can lattice QCD help?

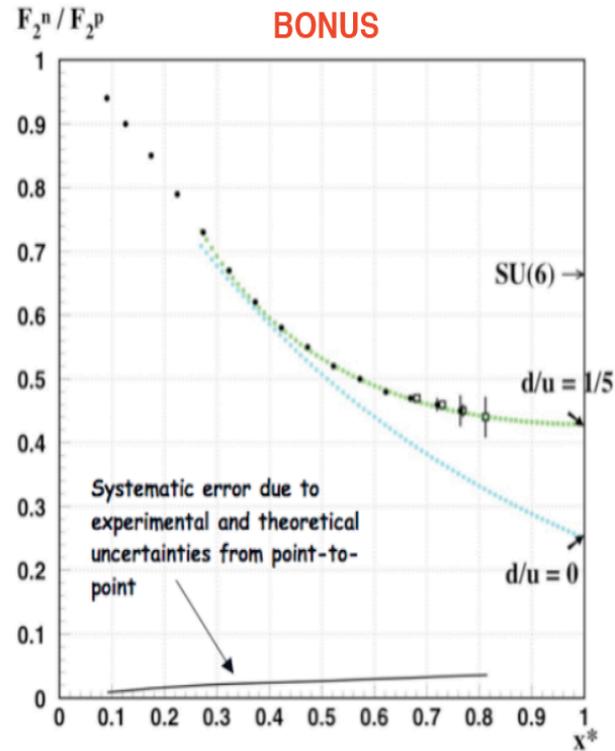
Upcoming experiments – JLab12

□ NSAC milestone HP14 (2018):

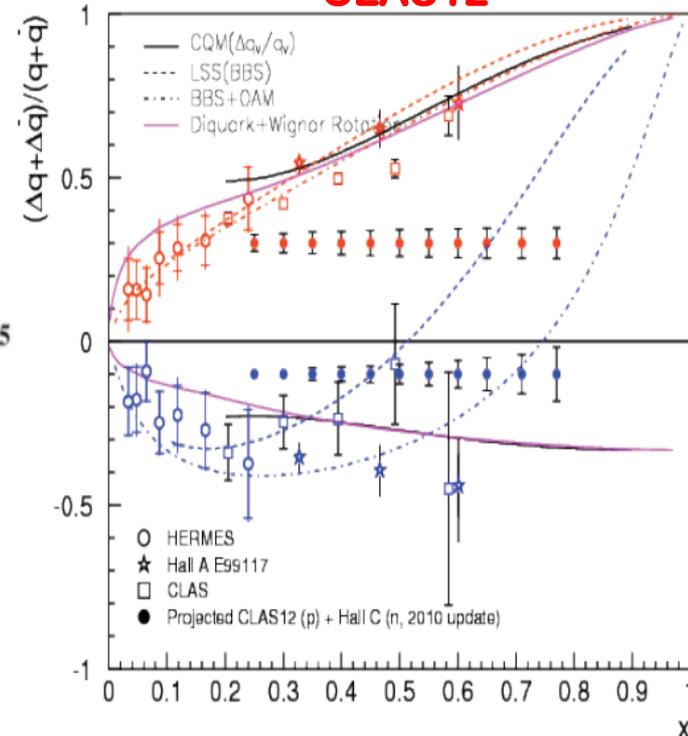
MARATHON



BONUS



CLAS12



Plus many more JLab experiments:

E12-06-110 (Hall C on ${}^3\text{He}$), E12-06-122 (Hall A on ${}^3\text{He}$),

E12-06-109 (CLAS on NH_3 , ND_3), ...

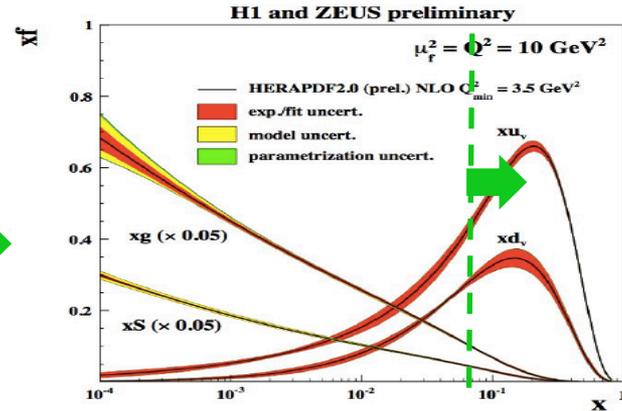
and Fermilab E906, ...

Plus complementary Lattice QCD effort

Lattice calculations of hadron structure



Lattice QCD



X-dep distributions

□ New ideas – from quasi-PDFs (lattice calculable) to PDFs:

✧ High P_z effective field theory approach:

$$\tilde{q}(x, \mu^2, P_z) = \int_x^1 \frac{dy}{y} Z\left(\frac{x}{y}, \frac{\mu}{P_z}\right) q(y, \mu^2) + \mathcal{O}\left(\frac{\Lambda^2}{P_z^2}, \frac{M^2}{P_z^2}\right)$$

Ji, et al.,
arXiv:1305.1539
1404.6680

✧ QCD collinear factorization approach:

$$\tilde{q}(x, \mu^2, P_z) = \sum_f \int_0^1 \frac{dy}{y} C_f\left(\frac{x}{y}, \frac{\mu^2}{\bar{\mu}^2}, P_z\right) f(y, \bar{\mu}^2) + \mathcal{O}\left(\frac{1}{\mu^2}\right)$$

Ma and Qiu,
arXiv:1404.6860
1412.2688
Ishikawa, Qiu, Yoshida,

Parameter
like \sqrt{s}

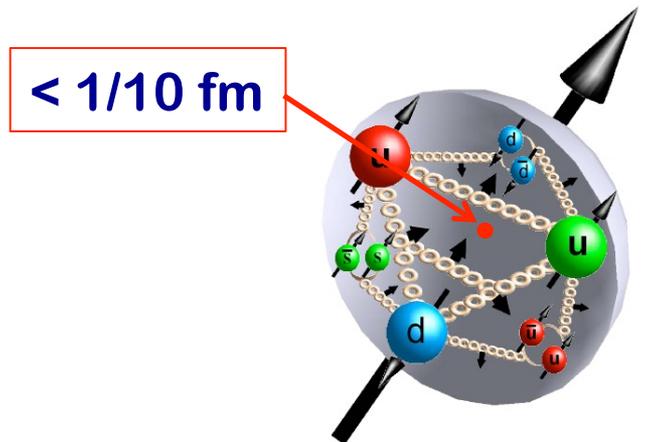
Factorization
scale

High twist
Power corrections

Unmatched potential: PDFs of proton, neutron, pion, ..., and TMDs and GPDs, ...

Summary

- ❑ Since the “spin crisis” in the 80th, we have learned a lot about proton spin – there is a need for orbital contribution
- ❑ Single transverse-spin asymmetry in real, and is a unique probe for hadron’s internal dynamics – Sivers, Collins, twist-3, ... effects
- ❑ Lattice QCD has made a lot of progress, and is ready to make real impact on hadron properties and structure
- ❑ QCD has been extremely successful in interpreting and predicting high energy experimental data!
- ❑ But, we still do not know much about hadron structure – a lot of work to do!



Thank you!

Backup slides

Basics for spin observables

□ Factorized cross section:

$$\sigma_{h(p)}(Q, s) \propto \langle p, \vec{s} | \mathcal{O}(\psi, A^\mu) | p, \vec{s} \rangle$$

$$e.g. \mathcal{O}(\psi, A^\mu) = \bar{\psi}(0) \hat{\Gamma} \psi(y^-) \quad \text{with } \hat{\Gamma} = I, \gamma_5, \gamma^\mu, \gamma_5 \gamma^\mu, \sigma^{\mu\nu}$$

□ Parity and Time-reversal invariance:

$$\langle p, \vec{s} | \mathcal{O}(\psi, A^\mu) | p, \vec{s} \rangle = \langle p, -\vec{s} | \mathcal{PT} \mathcal{O}^\dagger(\psi, A^\mu) \mathcal{T}^{-1} \mathcal{P}^{-1} | p, -\vec{s} \rangle$$

$$\square \text{ IF: } \langle p, -\vec{s} | \mathcal{PT} \mathcal{O}^\dagger(\psi, A^\mu) \mathcal{T}^{-1} \mathcal{P}^{-1} | p, -\vec{s} \rangle = \pm \langle p, -\vec{s} | \mathcal{O}(\psi, A^\mu) | p, -\vec{s} \rangle$$

$$\text{or } \langle p, \vec{s} | \mathcal{O}(\psi, A^\mu) | p, \vec{s} \rangle = \pm \langle p, -\vec{s} | \mathcal{O}(\psi, A^\mu) | p, -\vec{s} \rangle$$

Operators lead to the “+” sign \rightarrow spin-averaged cross sections

Operators lead to the “-” sign \rightarrow spin asymmetries

□ Example:

$$\mathcal{O}(\psi, A^\mu) = \bar{\psi}(0) \gamma^+ \psi(y^-) \Rightarrow q(x)$$

$$\mathcal{O}(\psi, A^\mu) = \bar{\psi}(0) \gamma^+ \gamma_5 \psi(y^-) \Rightarrow \Delta q(x)$$

$$\mathcal{O}(\psi, A^\mu) = \bar{\psi}(0) \gamma^+ \gamma^\perp \gamma_5 \psi(y^-) \Rightarrow \delta q(x) \rightarrow h(x)$$

$$\mathcal{O}(\psi, A^\mu) = \frac{1}{xp^+} F^{+\alpha}(0) [-i\varepsilon_{\alpha\beta}] F^{+\beta}(y^-) \Rightarrow \Delta g(x)$$

Spin decomposition

□ The “big” question:

If there are infinite possibilities, why bother and what do we learn?

□ The “origin” of the difficulty/confusion:

QCD is a gauge theory: a pure quark field in one gauge is a superposition of quarks and gluons in another gauge

□ The fact:

None of the items in all spin decompositions are **direct** physical observables, unlike cross sections, asymmetries, ...

□ Ambiguity in interpretation – two old examples:

✧ Factorization scheme:

$$F_2(x, Q^2) = \sum_{q, \bar{q}} C_q^{\text{DIS}}(x, Q^2/\mu^2) \otimes q^{\text{DIS}}(x, \mu^2) \quad \text{No glue contribution to } F_2?$$

✧ Anomaly contribution to longitudinal polarization:

$$g_1(x, Q^2) = \sum_{q, \bar{q}} \tilde{C}_q^{\text{ANO}} \otimes \Delta q^{\text{ANO}} + \tilde{C}_g^{\text{ANO}} \otimes \Delta G^{\text{ANO}}$$


 $\Delta\Sigma \longrightarrow \Delta\Sigma^{\text{ANO}} - \frac{n_f \alpha_s}{2\pi} \Delta G^{\text{ANO}}$

Larger quark helicity?

Spin decomposition

□ Key for a good decomposition – sum rule:

✧ Every term can be related to a physical observable with controllable approximation – “independently measurable”

DIS scheme is ok for F_2 , but, less effective for other observables

Additional symmetry constraints, leading to “better” decomposition?

✧ Natural physical interpretation for each term – “hadron structure”

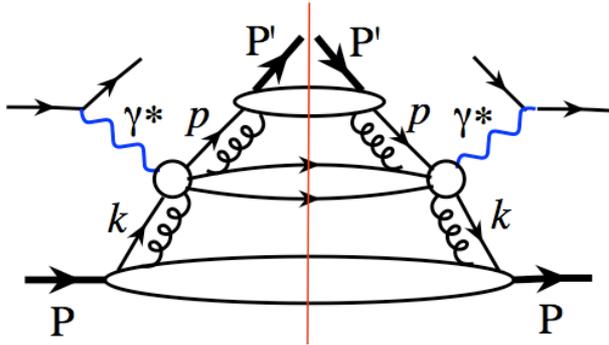
✧ Hopefully, calculable in lattice QCD – “numbers w/o distributions”

The most important task is,

Finding the connection to physical observables!

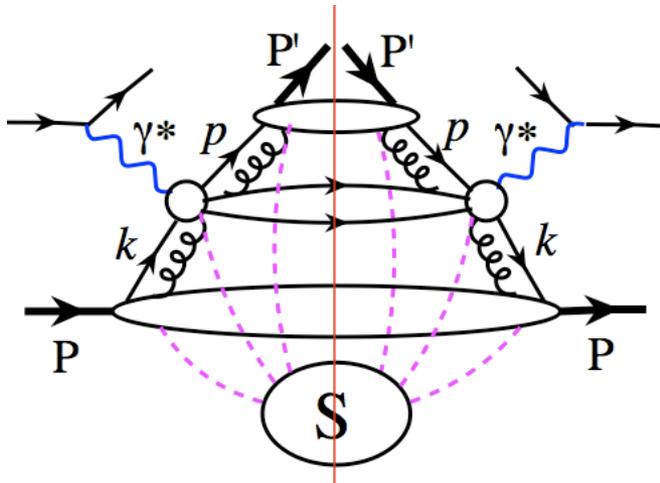
QCD factorization for SIDIS

□ Collinear gluons:



Collinear longitudinally polarized gluons do not change the collinear collision kinematics

□ Soft interaction:



If the interaction between two jet functions can resolve the “details” of the jet functions, the jet functions could be altered before hard collision – break of the factorization

Most notable TMD parton distributions (TMDs)

□ Siverson function – transverse polarized hadron:

Siverson function

$$\begin{aligned} f_{q/p,S}(x, \mathbf{k}_\perp) &= f_{q/p}(x, k_\perp) + \frac{1}{2} \Delta^N f_{q/p^\uparrow}(x, k_\perp) \mathbf{S} \cdot (\hat{\mathbf{p}} \times \hat{\mathbf{k}}_\perp) \\ &= f_{q/p}(x, k_\perp) - \frac{k_\perp}{M} f_{1T}^{\perp q}(x, k_\perp) \mathbf{S} \cdot (\hat{\mathbf{p}} \times \hat{\mathbf{k}}_\perp) \end{aligned}$$

□ Boer-Mulder function – transverse polarized quark:

$$\begin{aligned} f_{q,s_q/p}(x, \mathbf{k}_\perp) &= \frac{1}{2} f_{q/p}(x, k_\perp) + \frac{1}{2} \Delta^N f_{q^\uparrow/p}(x, k_\perp) s_q \cdot (\hat{\mathbf{p}} \times \hat{\mathbf{k}}_\perp) \\ &= \frac{1}{2} f_{q/p}(x, k_\perp) - \frac{1}{2} \frac{k_\perp}{M} h_1^{\perp q}(x, k_\perp) s_q \cdot (\hat{\mathbf{p}} \times \hat{\mathbf{k}}_\perp) \end{aligned}$$

Boer-Mulder function

Affect angular distribution of Drell-Yan lepton pair

Most notable TMD fragmentation functions (FFs)

- Collins function – FF of a transversely polarized parton:

$$\begin{aligned} D_{h/q,s_q}(z, \mathbf{p}_\perp) &= D_{h/q}(z, p_\perp) + \frac{1}{2} \Delta^N D_{h/q^\uparrow}(z, p_\perp) \mathbf{s}_q \cdot (\hat{\mathbf{p}}_q \times \hat{\mathbf{p}}_\perp) \\ &= D_{h/q}(z, p_\perp) + \frac{p_\perp}{z M_h} H_1^{\perp q}(z, p_\perp) \mathbf{s}_q \cdot (\hat{\mathbf{p}}_q \times \hat{\mathbf{p}}_\perp) \end{aligned}$$

Collins function

- Fragmentation function to a polarized hadron:

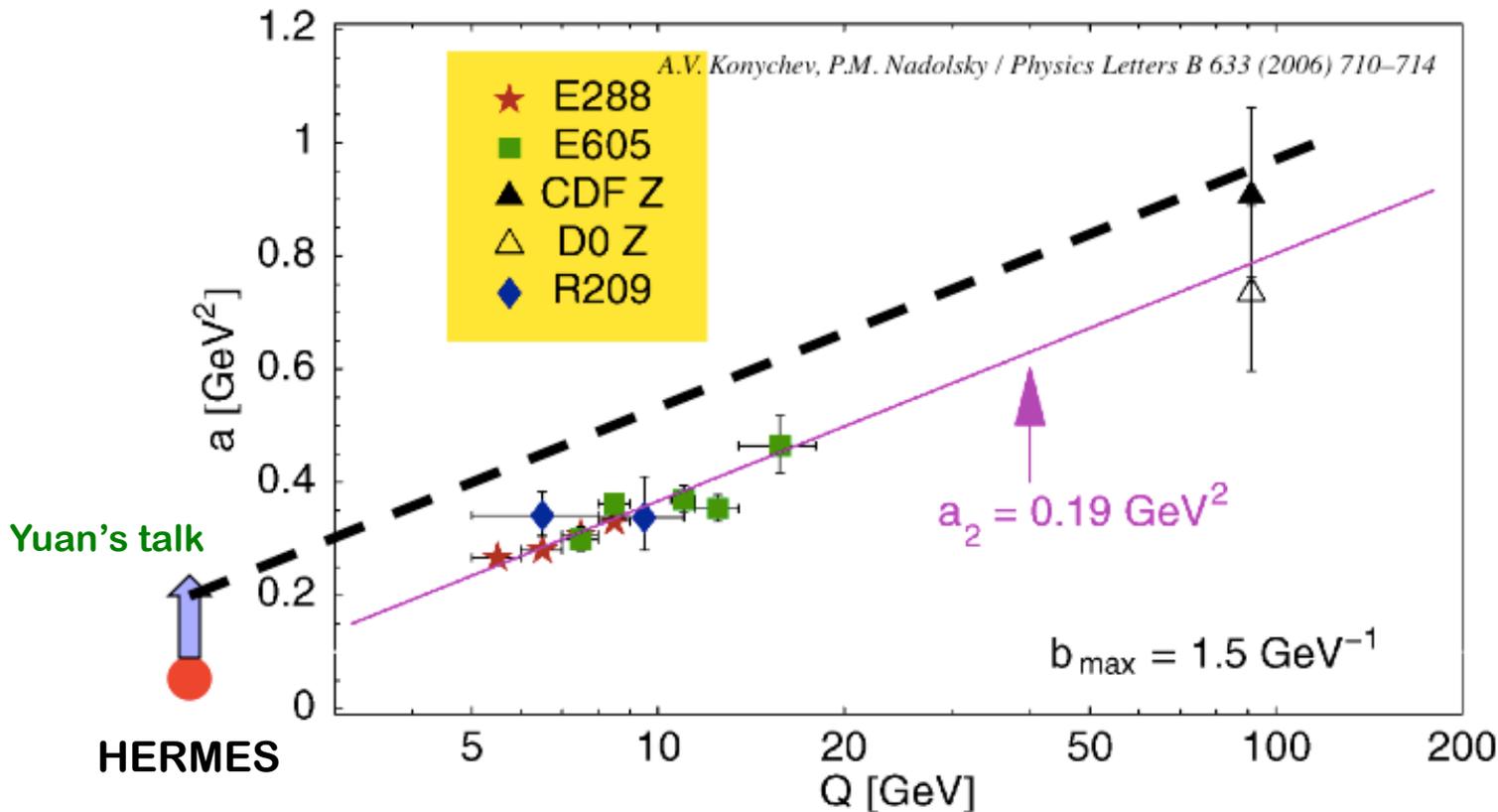
$$\begin{aligned} D_{\Lambda, S_\Lambda/q}(z, \mathbf{p}_\perp) &= \frac{1}{2} D_{h/q}(z, p_\perp) + \frac{1}{2} \Delta^N D_{\Lambda^\uparrow/q}(z, p_\perp) \mathbf{S}_\Lambda \cdot (\hat{\mathbf{p}}_q \times \hat{\mathbf{p}}_\perp) \\ &= \frac{1}{2} D_{h/q}(z, p_\perp) + \frac{p_\perp}{z M_\Lambda} D_{1T}^{\perp q}(z, p_\perp) \mathbf{S}_\Lambda \cdot (\hat{\mathbf{p}}_q \times \hat{\mathbf{p}}_\perp) \end{aligned}$$

Unpolarized parton fragments into a polarized hadron - Λ

Importance of the evolution - II

Q-dependence of the “form factor” :

Konychev, Nadolsky, 2006



$$\mathcal{F}^{\text{NP}}(b, Q) = a(Q^2) b^2$$

At $Q \sim 1 \text{ GeV}$, $\ln(Q/Q_0)$ term may not be the dominant one!

$$\mathcal{F}^{\text{NP}} \approx b^2 (a_1 + a_2 \ln(Q/Q_0) + a_3 \ln(x_A x_B) + \dots) + \dots$$

Power correction? $(Q_0/Q)^n$ -term?

Better fits for HERMES data?