

Neutrino Physics

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Lecture A:

Neutrino's history and lepton family

Lecture B:

Neutrino masses and flavor mixing

Lecture C:

Neutrino oscillation phenomenology

Lecture D:

Selected topics on cosmic neutrinos

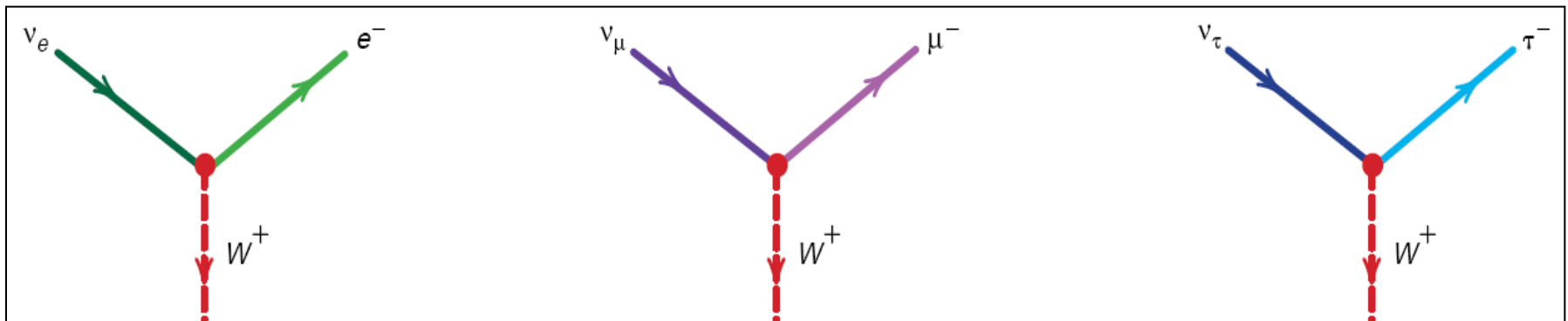
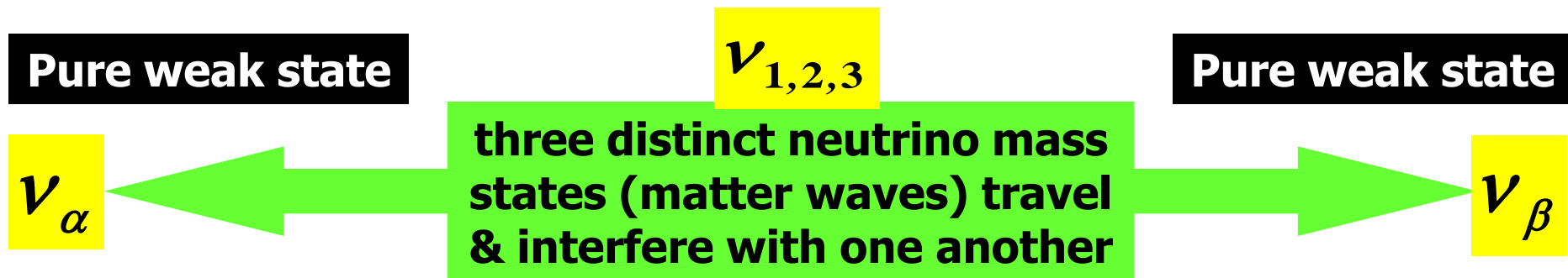
@ Weihai High Energy Physics School, 2—10/8/2015

What is ν -oscillation?

2

Oscillation — a spontaneous periodic change from one neutrino flavor state to another, is a spectacular quantum phenomenon. It can occur as a natural consequence of neutrino mixing.

In a neutrino oscillation experiment, the neutrino beam is produced and detected via the weak **charged-current interactions**.



For example : $\bar{\nu}_e$ beam : β decay; ν_μ beam : π decay; ν_τ beam : D decay

How to calculate?

3

Boris Kayser (hep-ph/0506165): This change of neutrino flavor is a quintessentially quantum-mechanical effect. Indeed, it entails some quantum-mechanical **subtleties** that are still debated to this day. However, there is little debate about the **“bottom line”** ----- the expression for the flavor-change probability.....

Typical References:

- ♣ Giunti, Kim, “Fundamentals of Neutrino Physics and Astrophysics” (2007)
- ♣ Cohen, Glashow, Ligeti: “Disentangling Neutrino Oscillations” (0810.4602)
- ♣ Akhmedov, Smirnov: “Paradoxes of Neutrino Oscillations” (0905.1903)

Our strategy: follow the simplest way (which is conceptually ill) to derive the **“bottom line” of neutrino oscillations:** the leading-order formula of neutrino oscillations in phenomenology.



Lecture C

- ★ Neutrino oscillation descriptions
- ★ Neutrino oscillation experiments
- ★ What are known and unknown?



Steven Weinberg (2003):

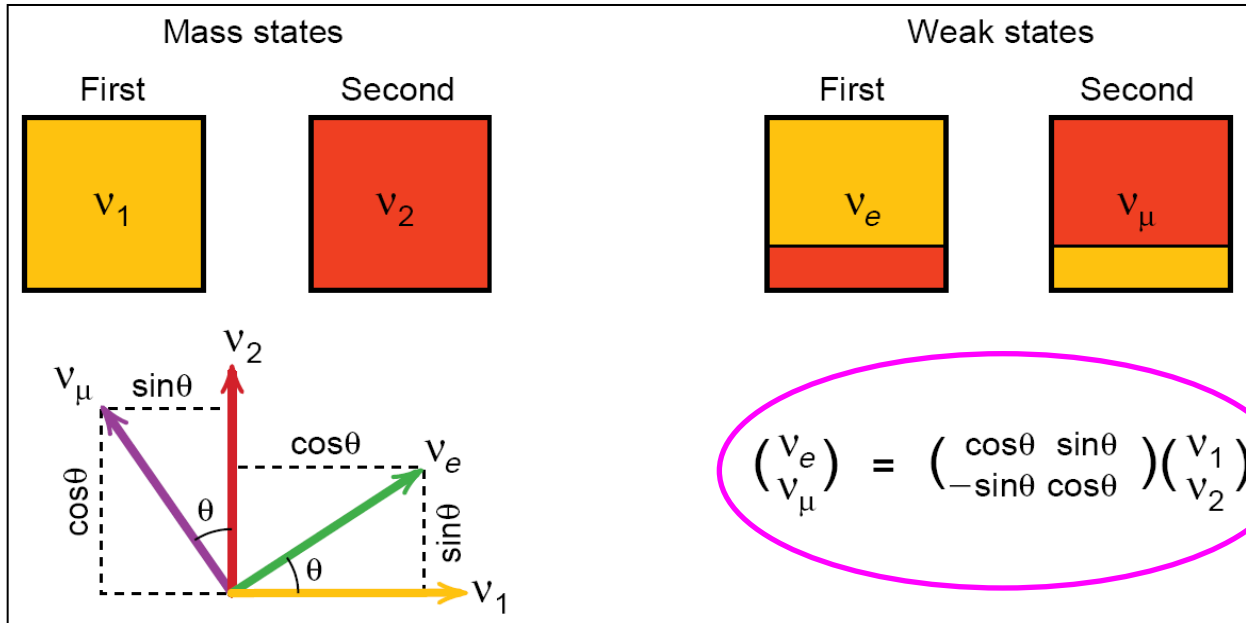
It is to forgive yourself for wasting time. In the real world, it's very hard to know which problems are important, and you never know whether at a given moment in history a problem is solvable.

If you want to be creative, then you will have to get used to spending most of your time not being creative.

2-flavor oscillation (1)

5

For simplicity, we consider **two-flavor** neutrino mixing and oscillation:



Approximation:
a plane wave
with a common
momentum for
each mass state

$$\begin{aligned} |\nu_\mu(0)\rangle &= |\nu_\mu\rangle = -\sin\theta|\nu_1\rangle + \cos\theta|\nu_2\rangle \\ |\nu_\mu(t)\rangle &= -\sin\theta e^{-iE_1 t}|\nu_1\rangle + \cos\theta e^{-iE_2 t}|\nu_2\rangle \\ &= e^{-iE_1 t} \left(-\sin\theta|\nu_1\rangle + \cos\theta e^{-i\Delta E t}|\nu_2\rangle \right) \end{aligned}$$

$$\begin{aligned} \Delta E &\equiv E_2 - E_1 = \sqrt{p^2 + m_2^2} - \sqrt{p^2 + m_1^2} \\ &\approx \left(p + \frac{m_2^2}{2p} \right) - \left(p + \frac{m_1^2}{2p} \right) \approx \frac{\Delta m^2}{2E} \end{aligned}$$

$$\Delta m^2 \equiv m_2^2 - m_1^2, \quad E \approx p \gg m_{1,2} \text{ (relativistic neutrino beam)}, \quad \hbar = c = 1 \text{ (natural units)}$$

2-flavor oscillation (2)

6

The oscillation probability for **appearance** ν experiments:

$$\begin{aligned} P(\nu_\mu \rightarrow \nu_e) &= |\langle \nu_e | \nu_\mu(t) \rangle|^2 = |(\cos\theta \langle \nu_1 | + \sin\theta \langle \nu_2 |) (-\sin\theta |\nu_1\rangle + \cos\theta e^{-i\Delta Et} |\nu_2\rangle)|^2 \\ &= |\sin\theta \cos\theta (1 - e^{-i\Delta Et})|^2 = 2(\sin\theta \cos\theta)^2 \left(1 - \cos\frac{\Delta m^2 t}{2E}\right) \\ &= \sin^2 2\theta \sin^2 \frac{\Delta m^2 L}{4E} \end{aligned}$$

The **conversion** and **survival** probabilities in realistic units:

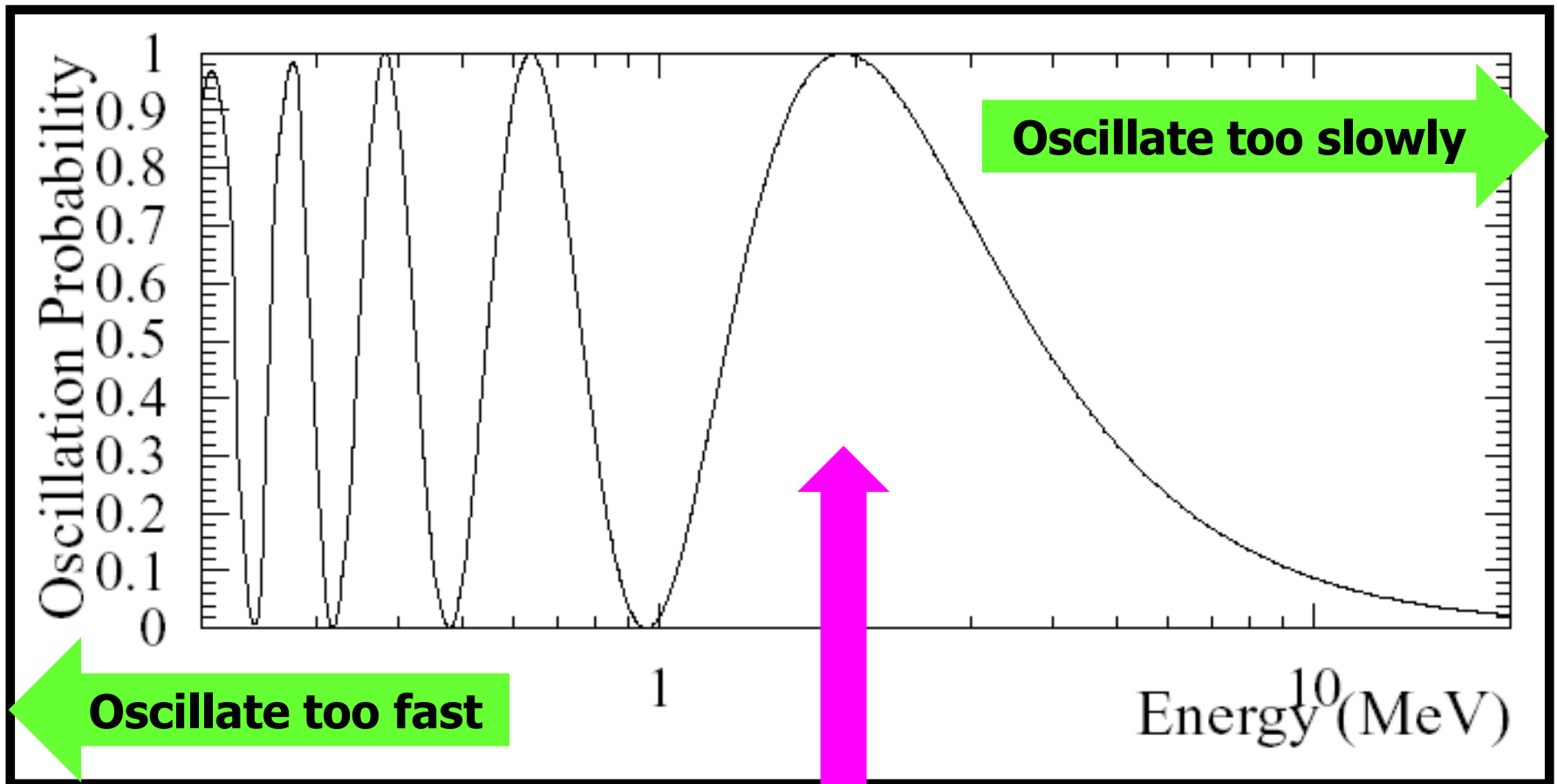
$$\begin{aligned} P(\nu_\mu \rightarrow \nu_e) &= \sin^2 2\theta \sin^2 \frac{1.27 \Delta m^2 L}{E} \\ P(\nu_\mu \rightarrow \nu_\mu) &= 1 - \sin^2 2\theta \sin^2 \frac{1.27 \Delta m^2 L}{E} \end{aligned}$$

Due to the smallness of (1,3) mixing, both **solar** & **atmospheric** neutrino oscillations are roughly the 2-flavor oscillation.

Δm^2 in unit of eV^2 , L in unit of km, E in unit of GeV

2-flavor oscillation (3)

7



$$P(\nu_e \rightarrow \nu_\mu) = |\langle \nu_\mu | \nu(t) \rangle|^2 = \sin^2 2\theta \sin^2 \left(\frac{1.27 \Delta m^2 L}{E} \right)$$

Exercise: why 1.27 ?

8

	<u>Natural units</u>	<u>Realistic units</u>
Phase factors	$\exp(-iE_{1,2}t)$	$\exp\left(-i\frac{E_{1,2}}{\hbar}t\right)$
Energies and momentum	$E_{1,2} = \sqrt{p^2 + m_{1,2}^2}$	$E_{1,2} = \sqrt{p^2c^2 + m_{1,2}^2c^4}$
Energy difference	$\Delta E = \frac{\Delta m^2}{2E}$	$\Delta E = \frac{\Delta m^2c^3}{2p} = \frac{\Delta m^2c^4}{2E}$
Time and distance	$t = L$	$t = \frac{L}{c}$
Oscillation argument	$\frac{1}{2}\Delta Et = \frac{\Delta m^2L}{4E}$	$\frac{1}{2}\frac{\Delta E}{\hbar}t = \frac{c^3}{\hbar} \cdot \frac{\Delta m^2L}{4E}$

$$c = 2.998 \times 10^5 \text{ km s}^{-1}$$

$$\hbar = 6.582 \times 10^{-25} \text{ GeV s}$$

$$\frac{c^3}{4\hbar} \Rightarrow \frac{1}{4 \times 0.1973} = 1.267 \approx 1.27$$

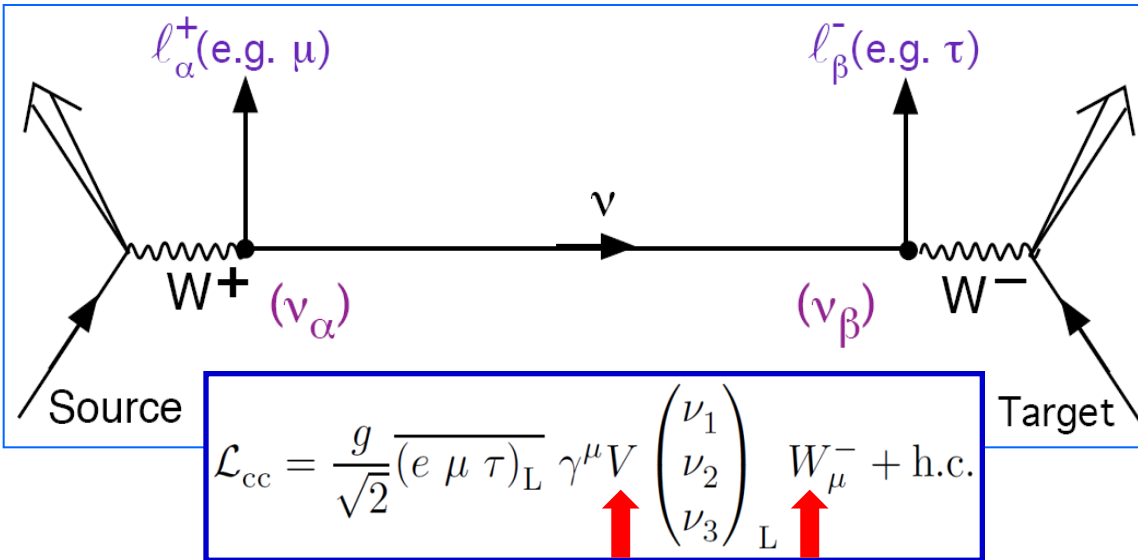
$$c = 1 \Rightarrow \hbar = 6.582 \times 10^{-25} \text{ GeV} \times 2.998 \times 10^5 \text{ km}$$

$$= 1.973 \times 10^{-19} \text{ GeV km} = 0.1973 \text{ eV}^2 \text{ GeV}^{-1} \text{ km}$$

3-flavor oscillation (1)

9

Production and detection of a neutrino beam by **CC** weak interactions:



$$|\nu_\alpha(0)\rangle = |\nu_\alpha\rangle = \sum_{i=1}^3 V_{\alpha i}^* |\nu_i\rangle$$

$$|\nu_\alpha(t)\rangle = \sum_{i=1}^3 V_{\alpha i}^* e^{-iE_i t} |\nu_i\rangle$$

$\alpha, \beta, \gamma = e, \mu, \tau$
 $i, j, k = 1, 2, 3$

$$A(\nu_\alpha \rightarrow \nu_\beta) = \langle \nu_\beta | \nu_\alpha(t) \rangle = \left(\sum_{j=1}^3 V_{\beta j} \langle \nu_j | \right) \left(\sum_{i=1}^3 V_{\alpha i}^* e^{-iE_i t} |\nu_i\rangle \right) = \sum_{i=1}^3 V_{\alpha i}^* V_{\beta i} e^{-iE_i t}$$

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \langle \nu_\beta | \nu_\alpha(t) \rangle \right|^2 = \left| \sum_{i=1}^3 V_{\alpha i}^* V_{\beta i} e^{-iE_i t} \right|^2$$

$$= \sum_{i=1}^3 |V_{\alpha i}^* V_{\beta i}|^2 + 2 \sum_{i < j} \text{Re} \left[V_{\alpha i}^* V_{\beta i} V_{\alpha j} V_{\beta j}^* e^{i(E_j - E_i)t} \right]$$

3-flavor oscillation (2)

The formula of three-flavor oscillation probability with **CP/T** violation:

$$\begin{aligned}
 P(\nu_\alpha \rightarrow \nu_\beta) &= \sum_{i=1}^3 |V_{\alpha i}^* V_{\beta i}|^2 + 2 \sum_{i < j} \text{Re}(V_{\alpha i}^* V_{\beta i} V_{\alpha j} V_{\beta j}^*) \cos \frac{\Delta m_{ji}^2 L}{2E} \\
 &\quad - 2 \sum_{i < j} \text{Im}(V_{\alpha i}^* V_{\beta i} V_{\alpha j} V_{\beta j}^*) \sin \frac{\Delta m_{ji}^2 L}{2E} \\
 &= \sum_{i=1}^3 |V_{\alpha i}^* V_{\beta i}|^2 + 2 \sum_{i < j} \text{Re}(V_{\alpha i}^* V_{\beta i} V_{\alpha j} V_{\beta j}^*) \\
 &\quad - 4 \sum_{i < j} \text{Re}(V_{\alpha i}^* V_{\beta i} V_{\alpha j} V_{\beta j}^*) \sin^2 \frac{\Delta m_{ji}^2 L}{4E} - 2 \sum_{i < j} \text{Im}(V_{\alpha i}^* V_{\beta i} V_{\alpha j} V_{\beta j}^*) \sin \frac{\Delta m_{ji}^2 L}{2E} \\
 &= \left| \sum_{i=1}^3 V_{\alpha i}^* V_{\beta i} \right|^2 - 4 \sum_{i < j} \text{Re}(V_{\alpha i} V_{\beta j} V_{\alpha j}^* V_{\beta i}^*) \sin^2 \frac{\Delta m_{ji}^2 L}{4E} \\
 &\quad + 2 \sum_{i < j} \text{Im}(V_{\alpha i} V_{\beta j} V_{\alpha j}^* V_{\beta i}^*) \sin \frac{\Delta m_{ji}^2 L}{2E}
 \end{aligned}$$



Jarlskog

$$\left| \sum_{i=1}^3 V_{\alpha i}^* V_{\beta i} \right|^2 = \delta_{\alpha\beta}$$

$$\text{Im}(V_{\alpha i} V_{\beta j} V_{\alpha j}^* V_{\beta i}^*) = \mathcal{J} \sum_{\gamma, k} (\epsilon_{\alpha\beta\gamma} \epsilon_{ijk})$$

3-flavor oscillation (3)

11

The **final** formula of 3-flavor oscillation probabilities with **CP** violation:

$$\begin{aligned}
 P(\nu_\alpha \rightarrow \nu_\beta) &= \delta_{\alpha\beta} - 4 \sum_{i < j}^3 \operatorname{Re}(V_{\alpha i} V_{\beta j} V_{\alpha j}^* V_{\beta i}^*) \sin^2 \frac{\Delta m_{ji}^2 L}{4E} \\
 &\quad + 8\mathcal{J} \sum_{\gamma} \epsilon_{\alpha\beta\gamma} \sin \frac{\Delta m_{21}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E} \sin \frac{\Delta m_{32}^2 L}{4E}
 \end{aligned}$$

$$\begin{aligned}
 &2 \sum_{i < j}^3 \operatorname{Im}(V_{\alpha i} V_{\beta j} V_{\alpha j}^* V_{\beta i}^*) \sin \frac{\Delta m_{ji}^2 L}{2E} \\
 = &+2\mathcal{J} \sum_{\gamma} \epsilon_{\alpha\beta\gamma} \left(\sin \frac{\Delta m_{21}^2 L}{2E} - \sin \frac{\Delta m_{31}^2 L}{2E} + \sin \frac{\Delta m_{32}^2 L}{2E} \right) \\
 = &-2\mathcal{J} \sum_{\gamma} \epsilon_{\alpha\beta\gamma} \left(\sin \frac{\Delta m_{12}^2 L}{2E} + \sin \frac{\Delta m_{23}^2 L}{2E} + \sin \frac{\Delta m_{31}^2 L}{2E} \right) \\
 = &+8\mathcal{J} \sum_{\gamma} \epsilon_{\alpha\beta\gamma} \sin \frac{\Delta m_{12}^2 L}{4E} \sin \frac{\Delta m_{23}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E}
 \end{aligned}$$

NOTE: If you have seen a different sign in front of the CP-violating part in a lot of literature, it most likely means that a complex conjugation of \mathbf{V} in the production point of neutrino beam was not properly taken into account.

The 1st paper on ν -CPV

12

Volume 72B, number 3

PHYSICS LETTERS

2 January 1978

TIME REVERSAL VIOLATION IN NEUTRINO OSCILLATION

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*Laboratoire de Physique Théorique et Hautes Energies, Paris, France***

Received 11 October 1977

We discuss the possibility of CP or T violation in neutrino oscillation. CP requires $\nu_\mu \leftrightarrow \nu_e$ and $\bar{\nu}_\mu \leftrightarrow \bar{\nu}_e$ oscillations to be equal. Time reversal invariance requires the oscillation probability to be an even function of time. Both conditions can be violated, even drastically, if more than two neutrinos exist.



Tri-maximal neutrino mixing + **maximal** CP violation:

$$A = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ 1 & a & a^* \\ 1 & a^* & a \end{pmatrix}, \quad J = 1/6\sqrt{3}$$
$$a = \exp[2\pi i/3]$$

CP and T violation

13

Under **CPT** invariance, **CP**- and **T**-violating asymmetries are identical:

$$\begin{aligned} P(\nu_\alpha \rightarrow \nu_\beta) - P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) &= P(\nu_\alpha \rightarrow \nu_\beta) - P(\nu_\beta \rightarrow \nu_\alpha) \\ &= 16\mathcal{J} \sum_\gamma \epsilon_{\alpha\beta\gamma} \sin \frac{\Delta m_{21}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E} \sin \frac{\Delta m_{32}^2 L}{4E} \end{aligned}$$

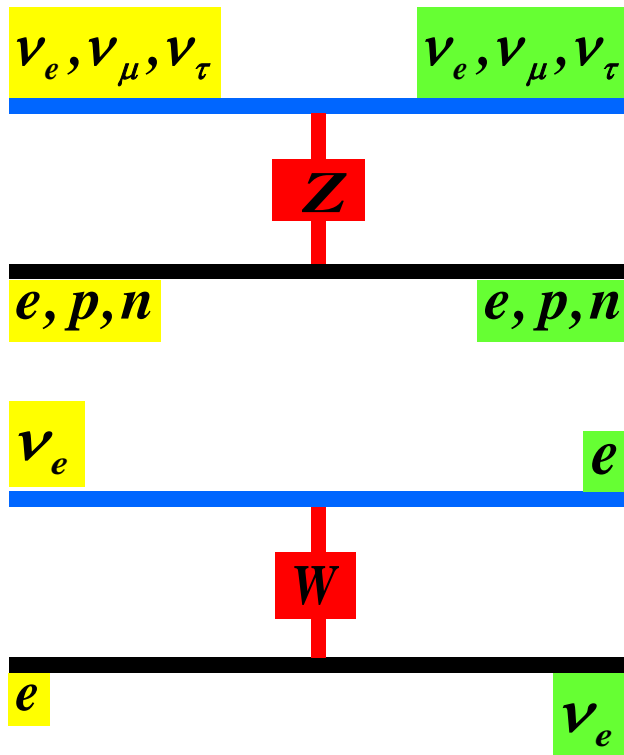
- Comments:**
- ★ **CP / T** violation cannot show up in the **disappearance** neutrino oscillation experiments ($\alpha = \beta$);
 - ★ **CP / T** violation is a small **three-family** flavor effect;
 - ★ **CP / T** violation in normal **lepton-number-conserving** neutrino oscillations depends only upon the **Dirac** phase of \mathbf{V} ; hence such oscillation experiments cannot tell us whether neutrinos are **Dirac** or **Majorana** particles.

$$J = \sin\theta_{12}\cos\theta_{12}\sin\theta_{23}\cos\theta_{23}\sin\theta_{13}\cos^2\theta_{13}\sin\delta \leq 1 / 6\sqrt{3} \approx 9.6\%$$

What's matter effect?

When **light** travels through a medium, it sees a **refractive index** due to **coherent forward scattering** from the constituents of the medium.

A similar phenomenon applies to **neutrino flavor states** as they travel through matter. All flavor states see a common refractive index from **NC** forward scattering, and the electron (anti) neutrino sees an extra refractive index due to **CC** forward scattering in matter.

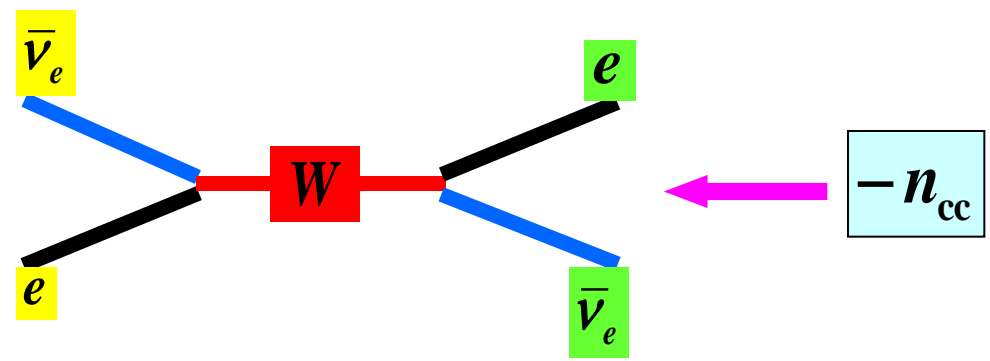


Refractive index

$$n_{nc} = 1 + \frac{2\pi N_e}{p^2} f_{nc}$$

$$n_{cc} = \frac{2\pi N_e}{p^2} f_{cc}$$

$$n_{cc} = \frac{\sqrt{2} G_F N_e}{p}$$



Matter matters

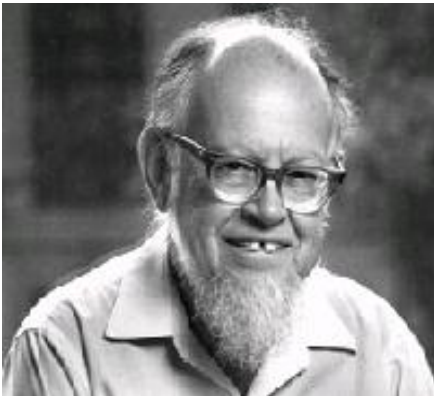
15

In travelling a distance, each neutrino flavor state develops a “matter” phase due to the refractive index. **The overall NC-induced phase** is trivial, while **the relative CC-induced phase** may change the behaviors of neutrino oscillations: **matter effects** — **L. Wolfenstein (1978)**

$$\nu_e : \exp[ipx(n_{nc} + n_{cc} - 1)]$$

$$\nu_\mu : \exp[ipx(n_{nc} - 1)]$$

$$\nu_\tau : \exp[ipx(n_{nc} - 1)]$$



Matter effect inside the Sun can enhance the solar neutrino oscillation (**S.P. Mikheyev** and **A.Yu. Smirnov** 1985 — **MSW effect**); matter effect inside the Earth may cause a **day-night effect**. Note that matter effect in long-baseline experiments might result in **fake CP-violating** effects.

MSW resonance

16

Neutrino oscillation in matter:

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} -\frac{\Delta m^2}{4E} \cos 2\theta + \sqrt{2} G_F N_e & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & \frac{\Delta m^2}{4E} \cos 2\theta \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

$$P(\nu_e \rightarrow \nu_\mu)_v = \sin^2 2\theta \sin^2 \left(\frac{1.27 \Delta m^2 L}{E} \right)$$

$$P(\nu_e \rightarrow \nu_\mu)_m = \sin^2 2\tilde{\theta} \sin^2 \left(\frac{1.27 \Delta \tilde{m}^2 L}{E} \right)$$

The matter density changes for **solar neutrinos** to travel from the core to the surface

$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \end{pmatrix} = \begin{pmatrix} \cos \tilde{\theta} & \sin \tilde{\theta} \\ -\sin \tilde{\theta} & \cos \tilde{\theta} \end{pmatrix} \begin{pmatrix} |\tilde{\nu}_1\rangle \\ |\tilde{\nu}_2\rangle \end{pmatrix}$$

$$\Delta \tilde{m}^2 = \sqrt{(\Delta m^2 \cos 2\theta - 2\sqrt{2} G_F N_e E)^2 + (\Delta m^2 \sin 2\theta)^2}$$

$$\tan 2\tilde{\theta} = \frac{\Delta m^2 \sin 2\theta}{\Delta m^2 \cos 2\theta - 2\sqrt{2} G_F N_e E}$$

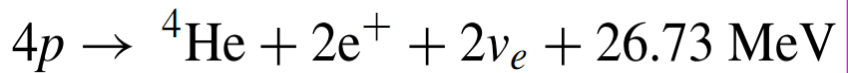
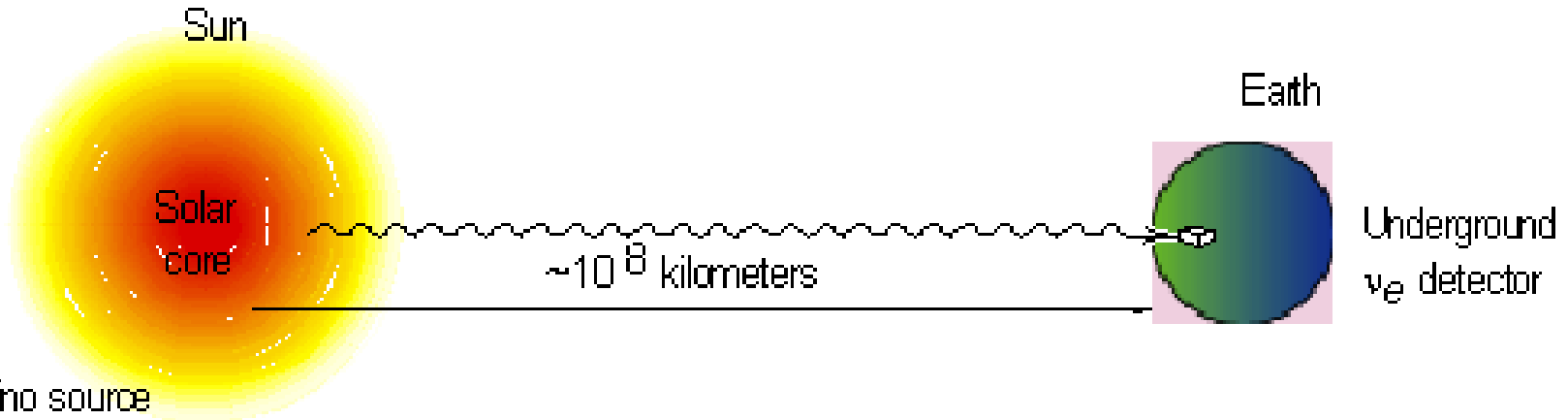
resonance

MSW

$$\tilde{\theta} = 45^\circ$$

1968: solar neutrinos

17

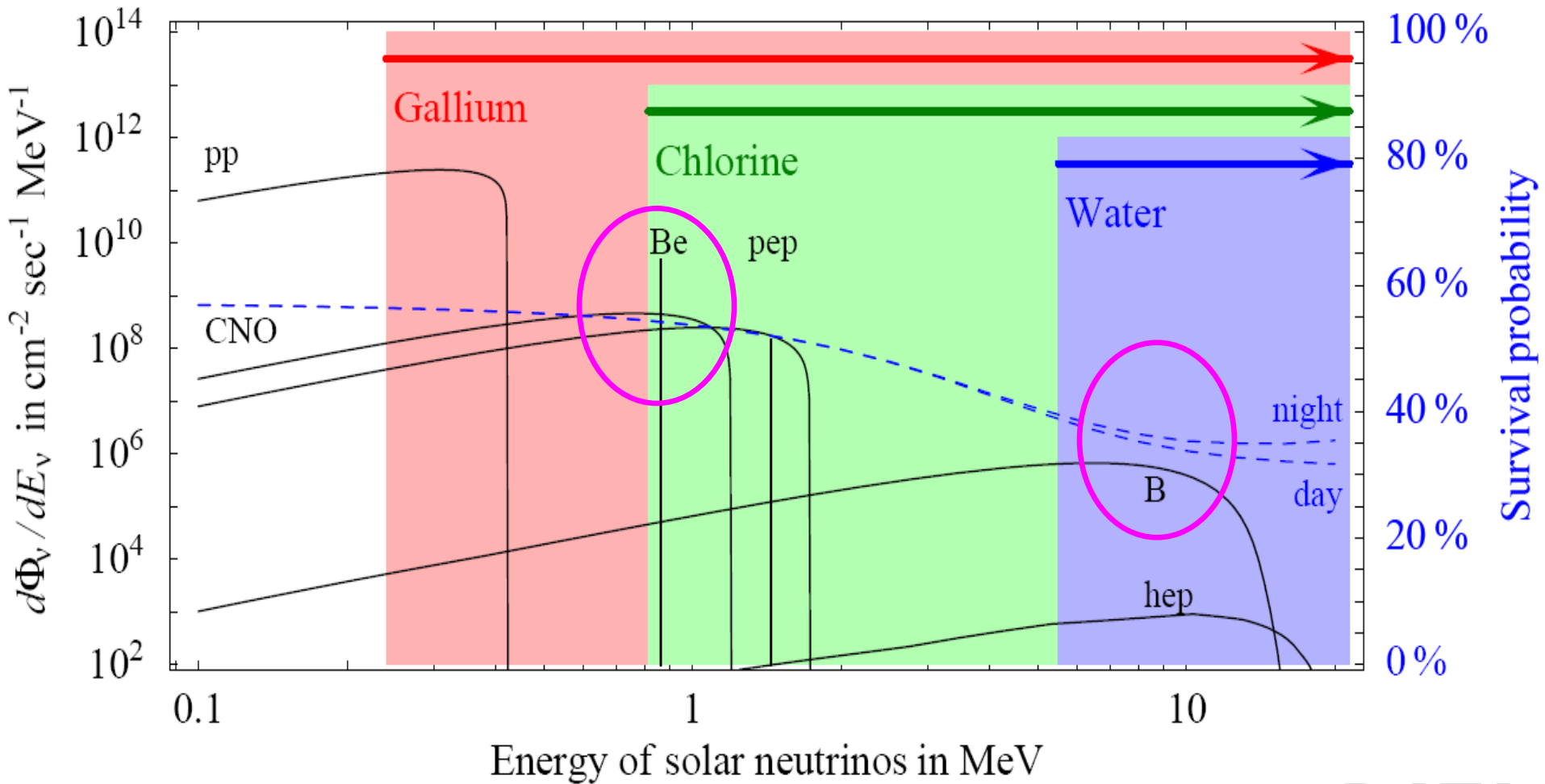


Ray Davis made the first observation of a solar neutrino shortfall (compared to **John Bahcall's** prediction for the ν -flux) at the Homestake Mine in **1968**.

The simplest solution to this problem is **neutrino oscillation!**

Energy spectrum

18



DATA

Strumia and Vissani, hep-ph/0606054

Examples: Boron (硼) ν 's $\sim 32\%$, Beryllium (铍) ν 's $\sim 56\%$

MSW solution

19

In the two-flavor approximation:

$$N_e(0) \approx 6 \times 10^{25} \text{ cm}^{-3}$$

$$\mathcal{H}_{\text{eff}} = \frac{\Delta m_{21}^2}{4E} \begin{bmatrix} -\cos 2\theta_{12} & \sin 2\theta_{12} \\ \sin 2\theta_{12} & \cos 2\theta_{12} \end{bmatrix} + \begin{bmatrix} \sqrt{2}G_F N_e(r) & 0 \\ 0 & 0 \end{bmatrix}$$

$$7.6 \times 10^{-5} \text{ eV}^2$$

$$0.75 \times 10^{-5} \text{ eV}^2 / \text{MeV (at } r = 0)$$

Be-7 ν 's: $E \sim 0.862 \text{ MeV}$. The vacuum term is dominant. The survival probability on the earth is (for $\theta_{12} \sim 34^\circ$):

$$P(\nu_e \rightarrow \nu_e) \approx 1 - \frac{1}{2} \sin^2 2\theta_{12} \approx 0.56$$

B-8 ν 's: $E \sim 6 \text{ to } 7 \text{ MeV}$. The matter term is dominant. The produced ν is roughly $\nu_e \sim \nu_2$ (for $V > 0$). The ν -propagation from the center to the outer edge of the Sun is approximately **adiabatic**. That is why it keeps to be ν_2 on the way to the surface (for $\theta_{12} \sim 34^\circ$):

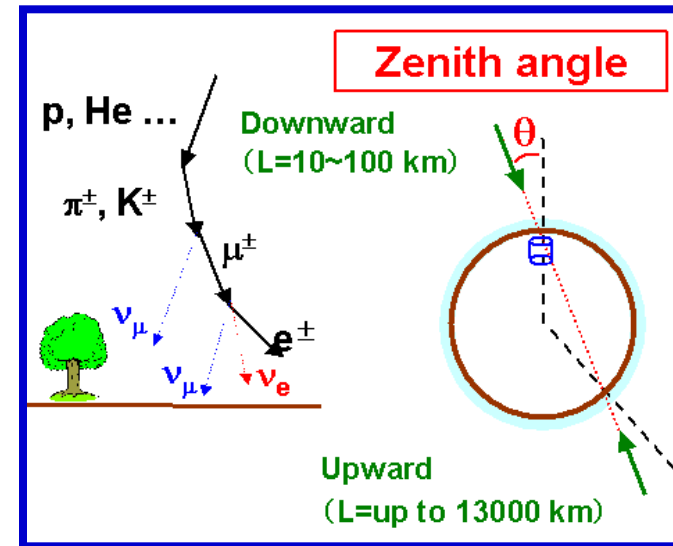
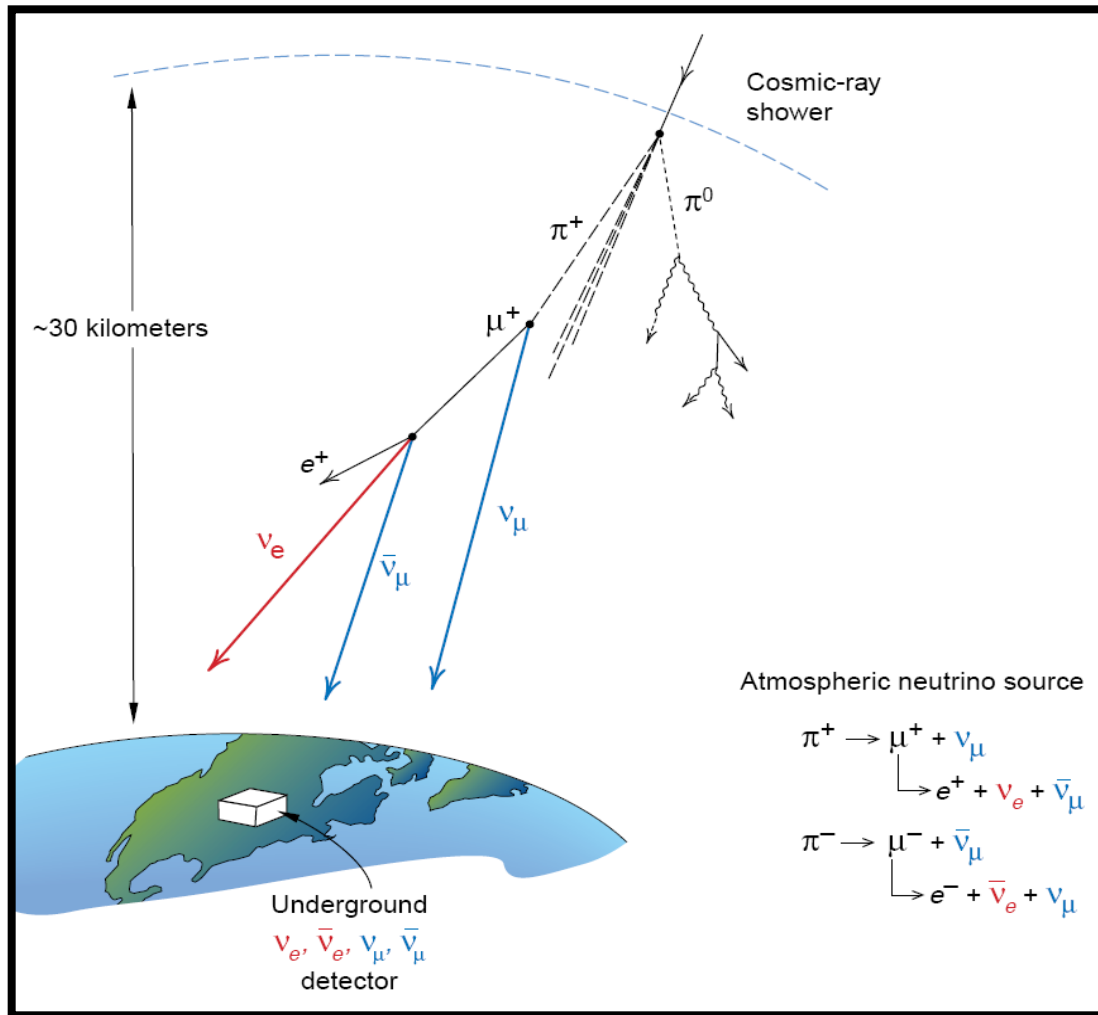
$$|\nu_2\rangle \approx \sin \theta_{12} |\nu_e\rangle + \cos \theta_{12} |\nu_\mu\rangle$$

$$P(\nu_e \rightarrow \nu_e) = |\langle \nu_e | \nu_2 \rangle|^2 = \sin^2 \theta_{12} \approx 0.32$$

1998: atmospheric ν 's

20

Atmospheric **muon neutrino deficit** was firmly established at Super-Kamiokande (Y. Totsuka & T. Kajita 1998).



Zenith angle distributions

C. Sagi/ICHEP04

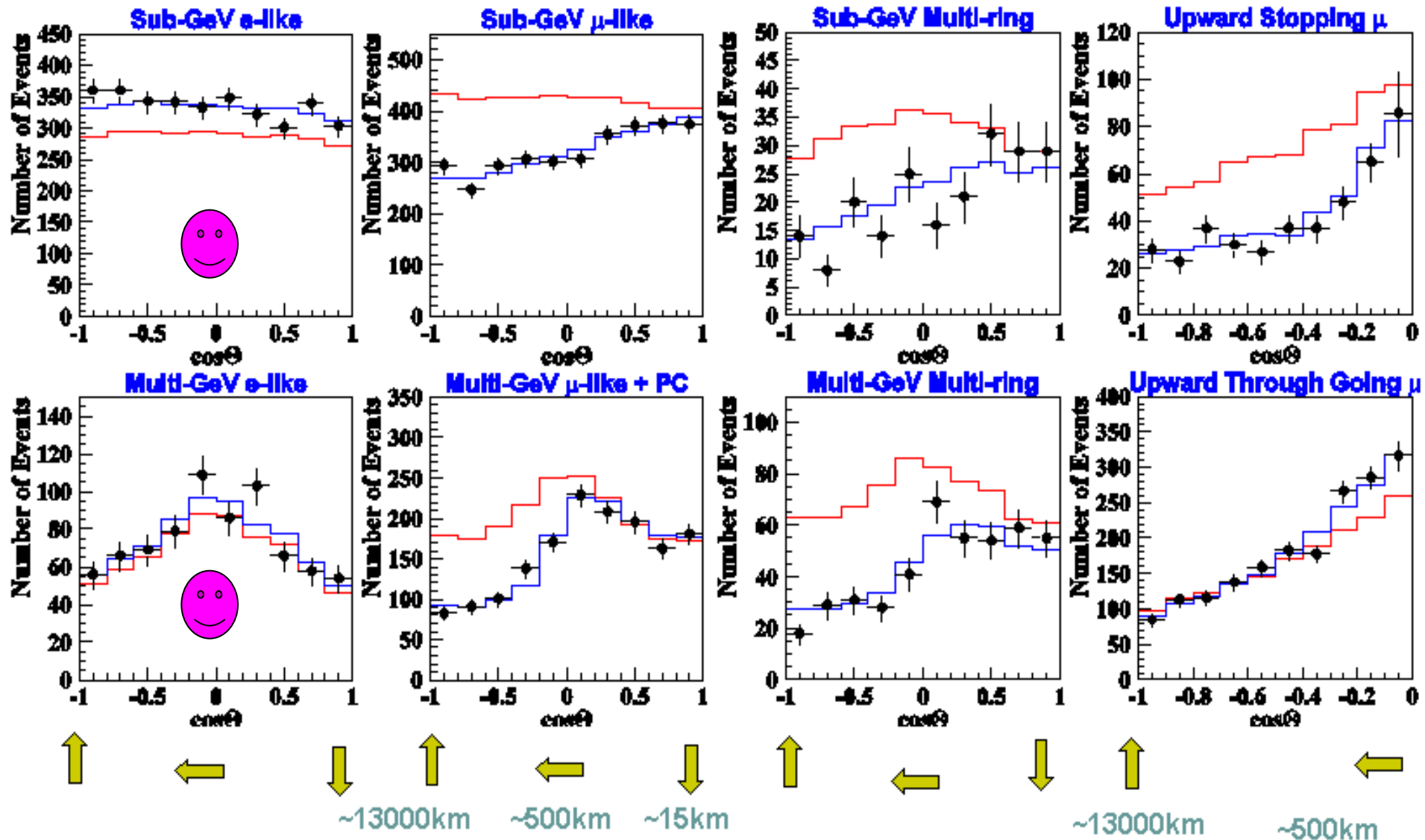
$\nu_\mu \leftrightarrow \nu_\tau$

2-flavor oscillations

Best fit

$\sin^2 2\theta = 1.0, \Delta m^2 = 2.1 \times 10^{-3} \text{ eV}^2$

Null oscillation



L/E Analysis: SK-I + SK-II

J. Raaf / Neutrino08

Datasets

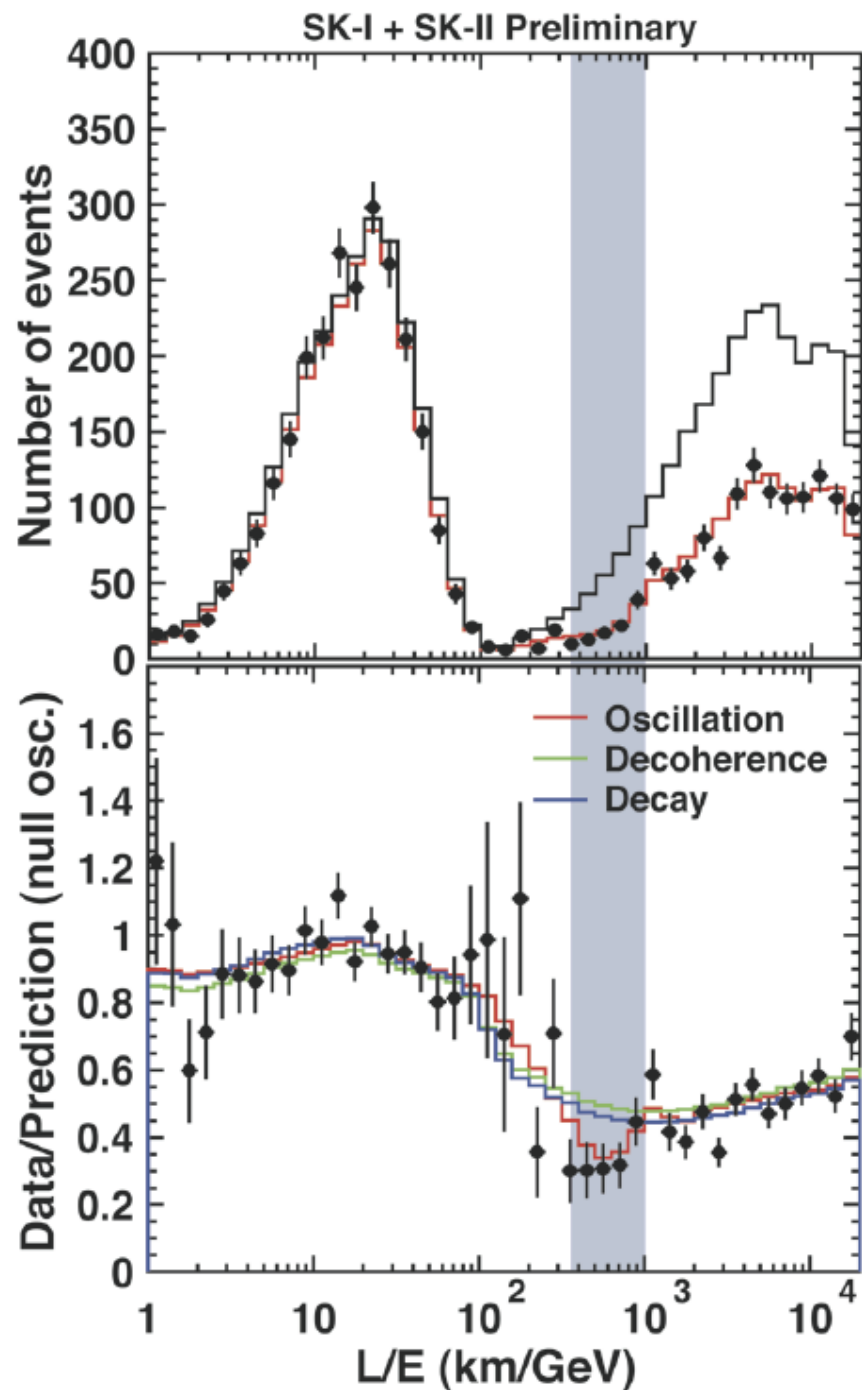
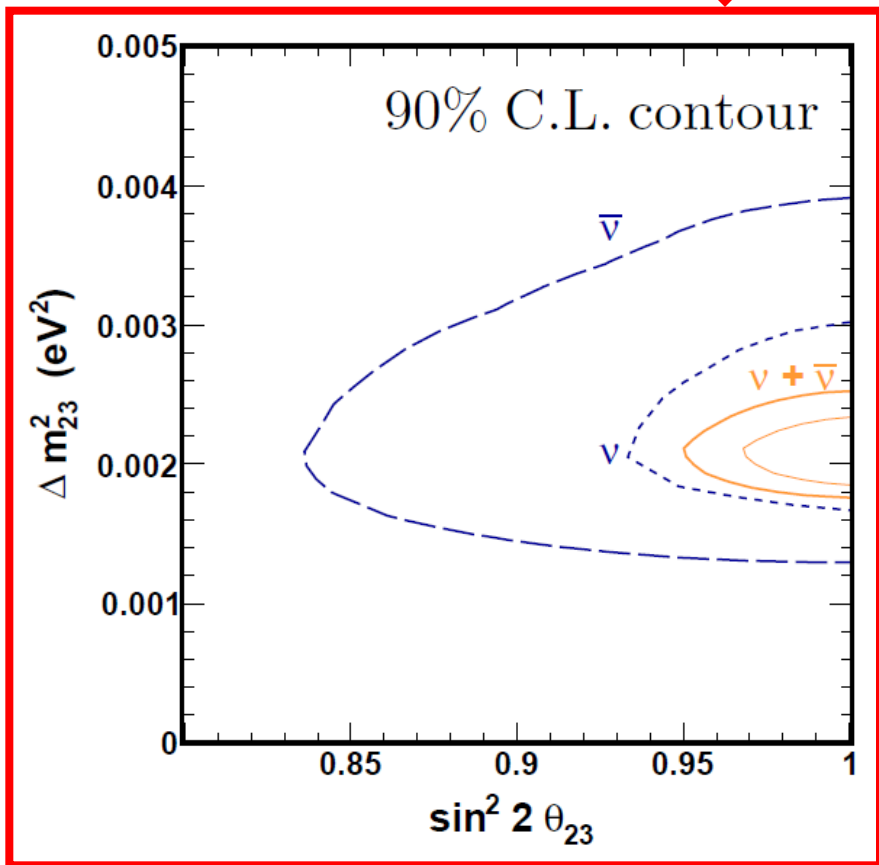
SK-I FC/PC μ -like: 1489 days

SK-II FC/PC μ -like: 799 days



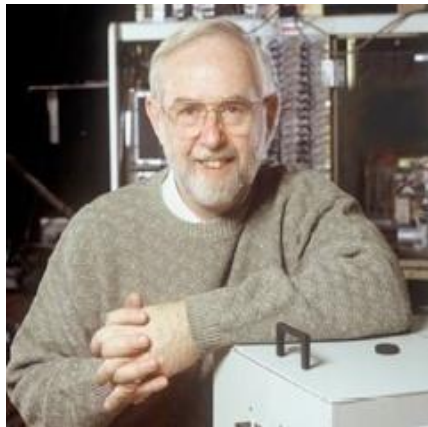
Phys. Rev. Lett. 107, 241801 (2011)

SK-I+II+III data set



2001: solar neutrinos

The **heavy water** Cherenkov detector at SNO confirmed the solar neutrino flavor conversion (A.B. McDonald 2001)



The Salient features:

Boron-8 e -neutrinos

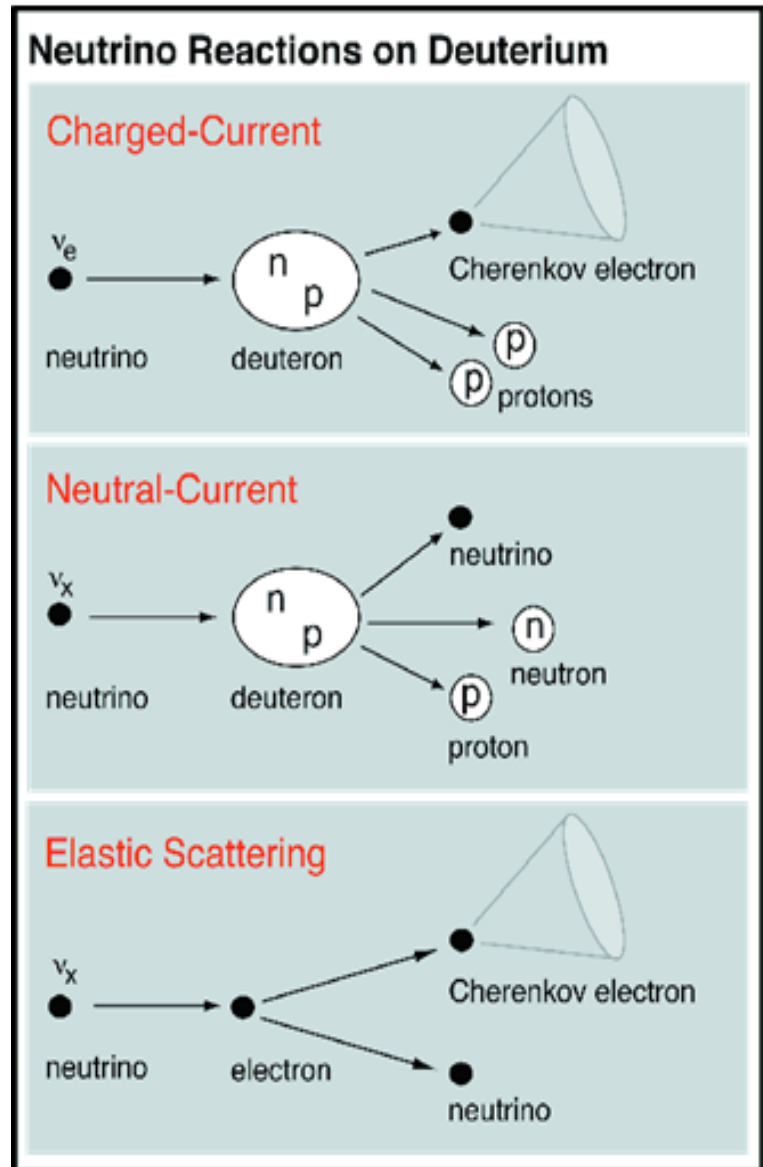
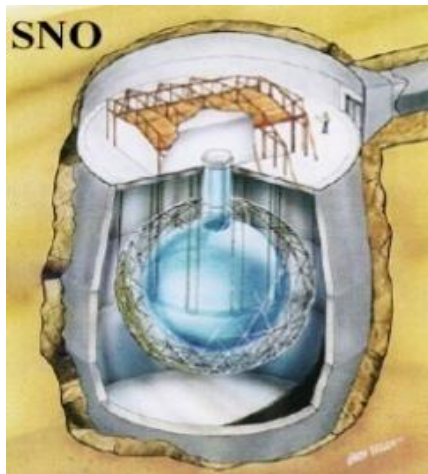
Flux and spectrum

Deuteron as target

3 types of processes

Model-independent

At Super-Kamiokande only elastic scattering can happen between solar neutrinos & the ordinary water.



SNO result

$$\phi_{CC} = 1.76_{-0.05}^{+0.06}(\text{stat.})_{-0.09}^{+0.09}(\text{syst.}) \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$$

$$\phi_{ES} = 2.39_{-0.23}^{+0.24}(\text{stat.})_{-0.12}^{+0.12}(\text{syst.}) \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$$

$$\phi_{NC} = 5.09_{-0.43}^{+0.44}(\text{stat.})_{-0.43}^{+0.46}(\text{syst.}) \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$$

$$\phi(\nu_e) = 1.76_{-0.05}^{+0.05}(\text{stat.})_{-0.09}^{+0.09}(\text{syst.}) \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$$

$$\phi(\nu_{\mu\tau}) = 3.41_{-0.45}^{+0.45}(\text{stat.})_{-0.45}^{+0.48}(\text{syst.}) \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$$

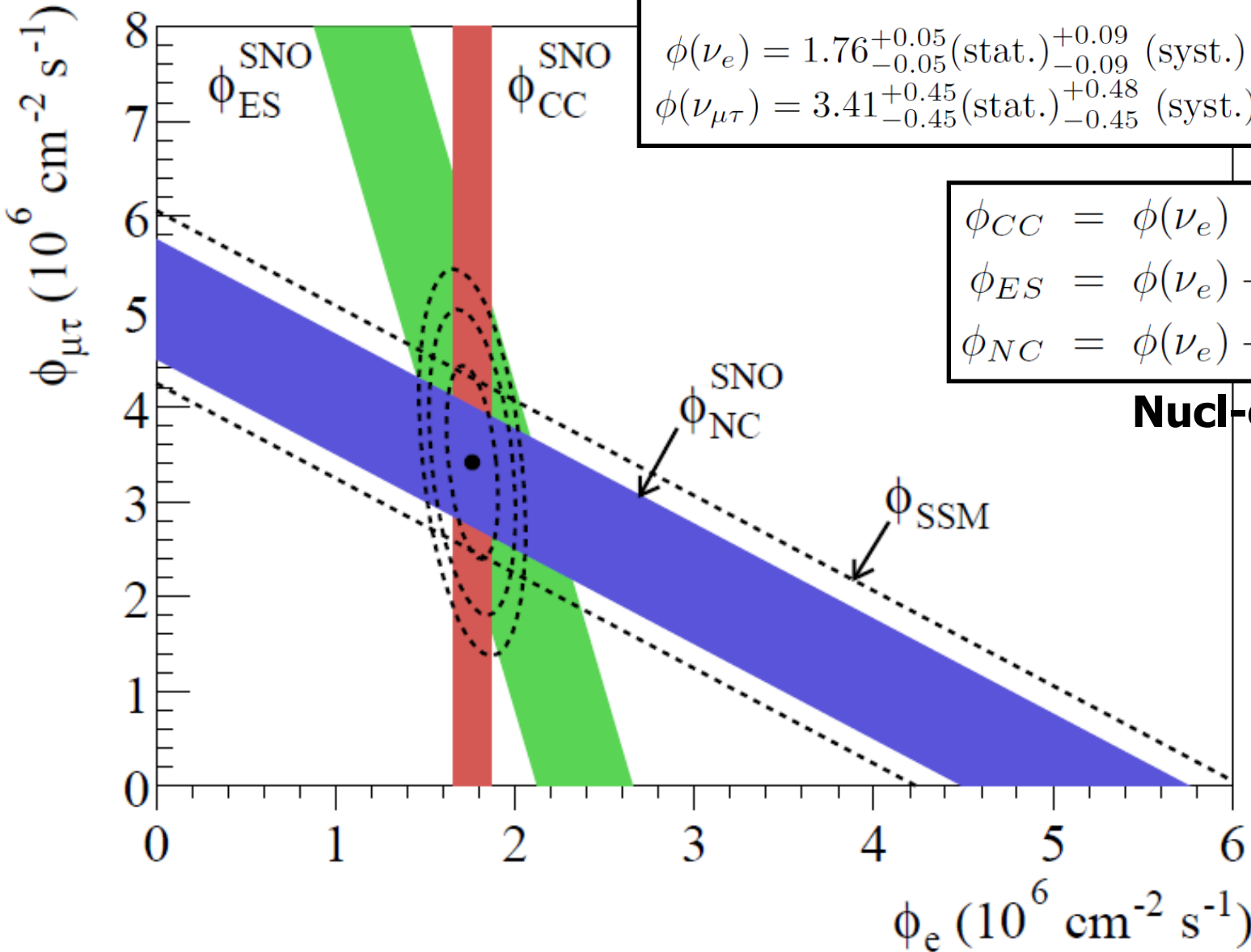
$$\phi_{CC} = \phi(\nu_e)$$

$$\phi_{ES} = \phi(\nu_e) + 0.1559\phi(\nu_{\mu\tau})$$

$$\phi_{NC} = \phi(\nu_e) + \phi(\nu_{\mu\tau})$$

Nucl-ex/0610020

John Bahcall



Nobel Prize in 2002

25



The Nobel Prize in Physics 2002

"for pioneering contributions to astrophysics, in particular for the detection of cosmic neutrinos"

55-88-92

A lesson?

"for pioneering contributions to astrophysics, which have led to the discovery of cosmic X-ray sources"



Raymond Davis Jr.

🕒 1/4 of the prize
USA



Masatoshi Koshiba

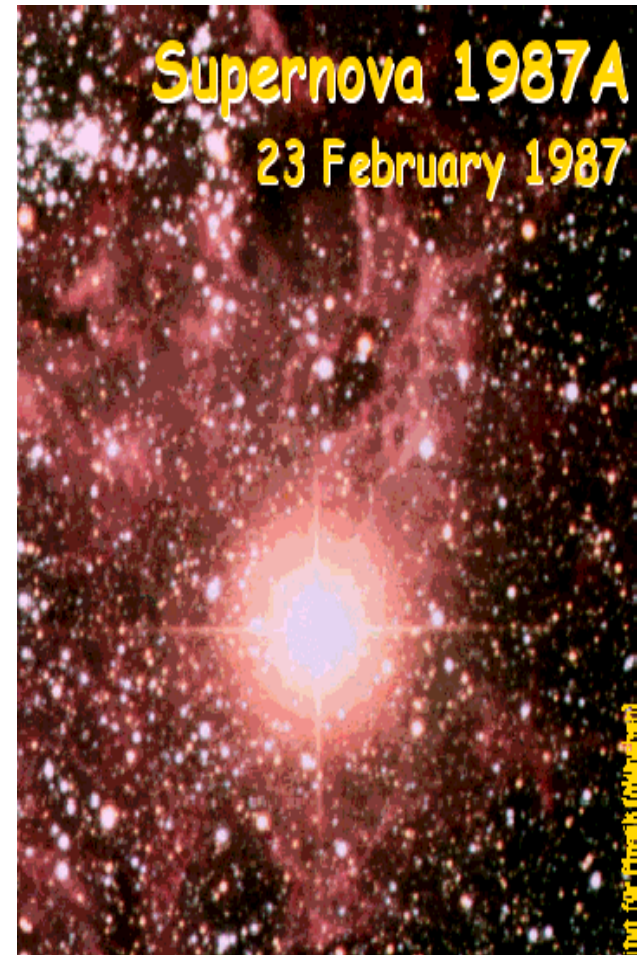
🕒 1/4 of the prize
Japan



Riccardo Giacconi

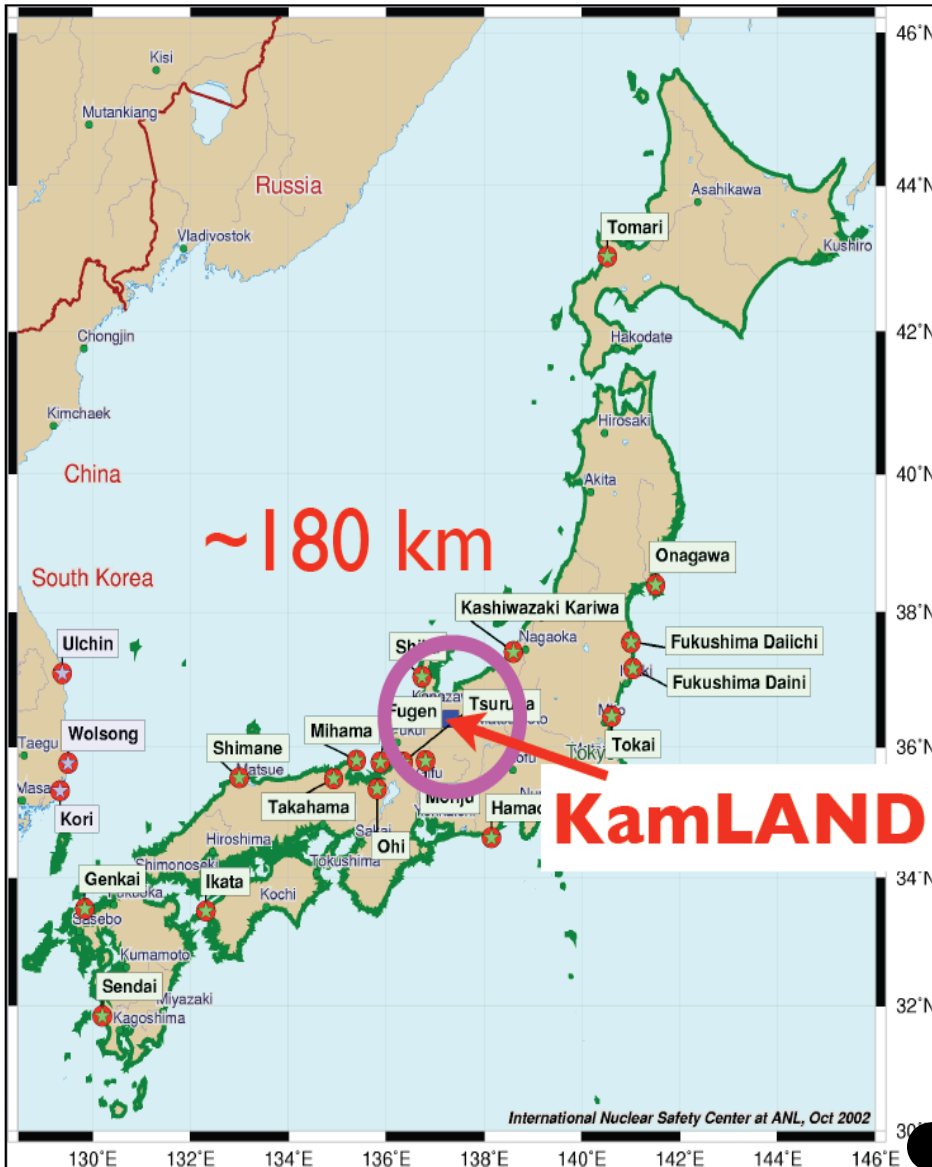
🕒 1/2 of the prize
USA

M. Koshiba: the first detection of Supernova neutrinos in 1987.



2002: KamLAND

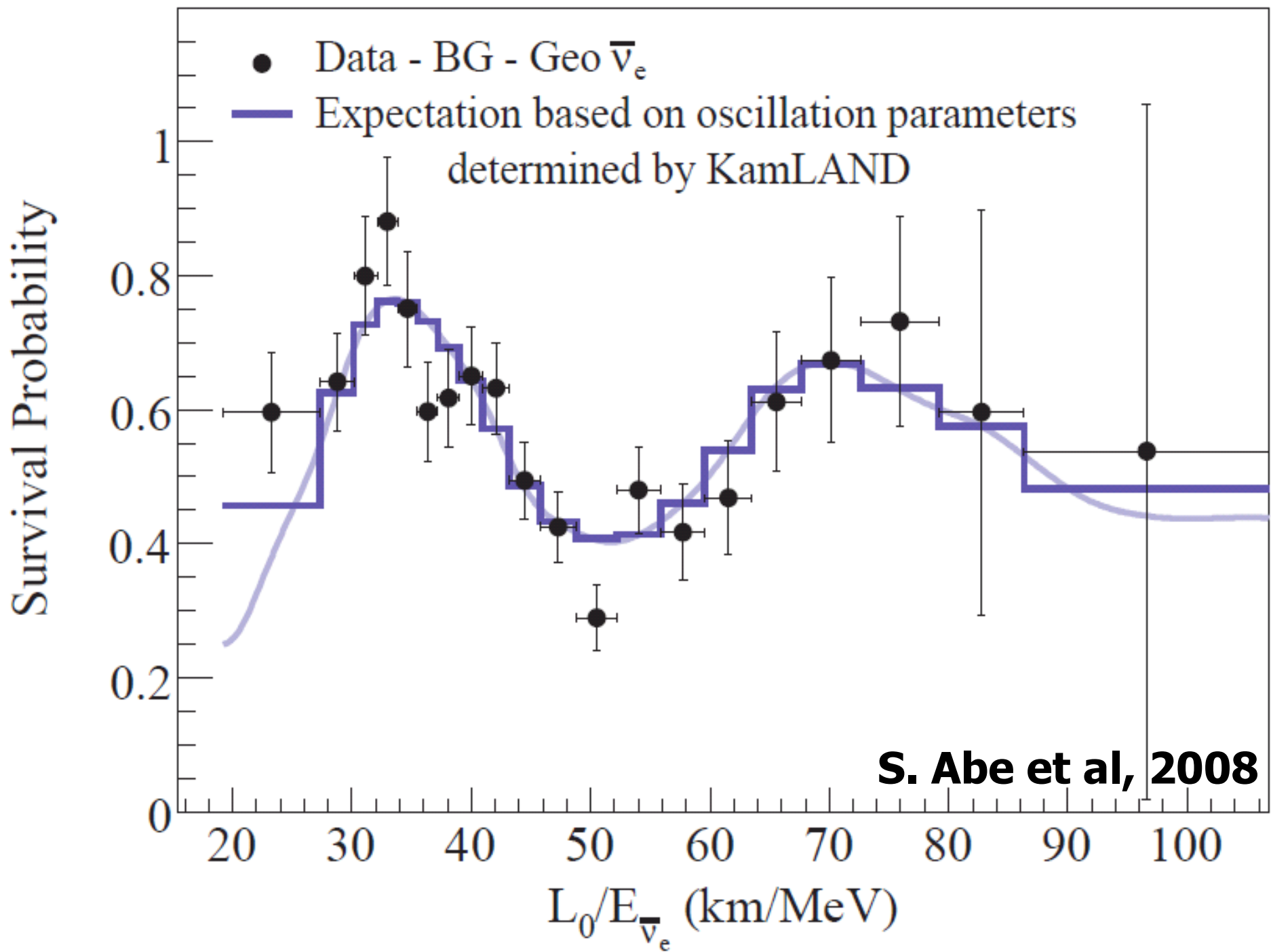
26



**Verify
the
large
angle
MSW
solution
to
the
solar
neutrino
Problem**

大学共同利用機関法人
KEK
高エネルギー加速器研究機構
last update: 06/04/11

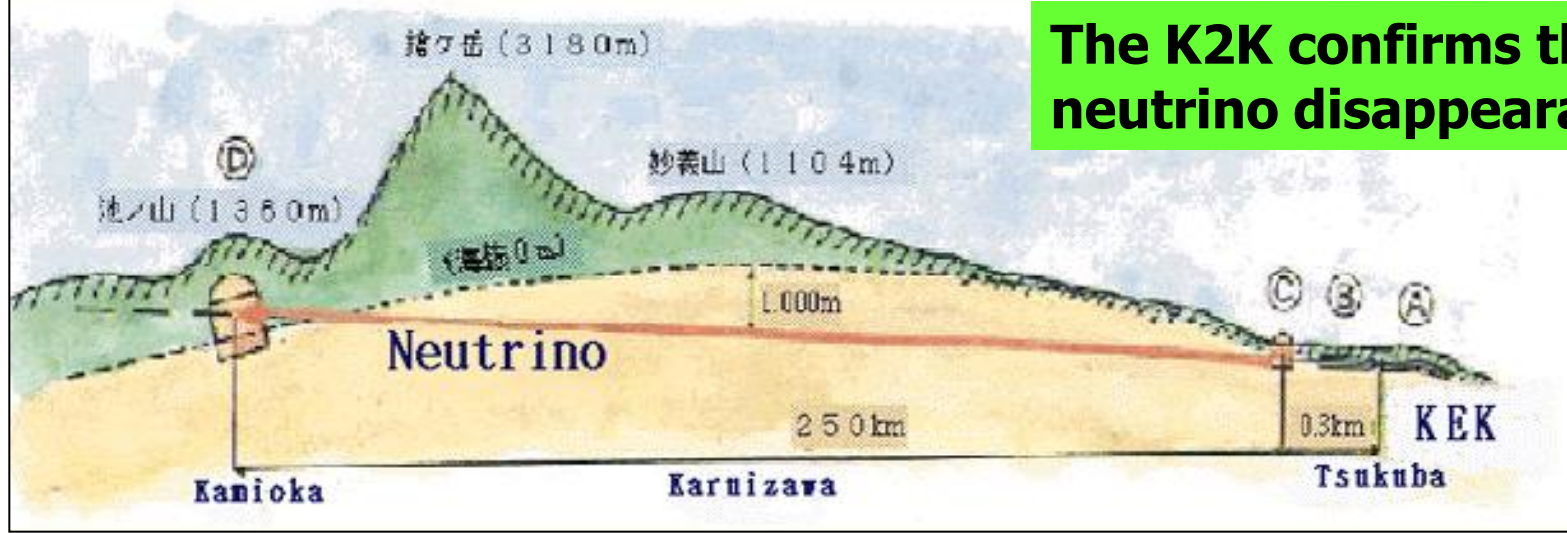
Atsuto Suzuki
Director General



2003: K2K



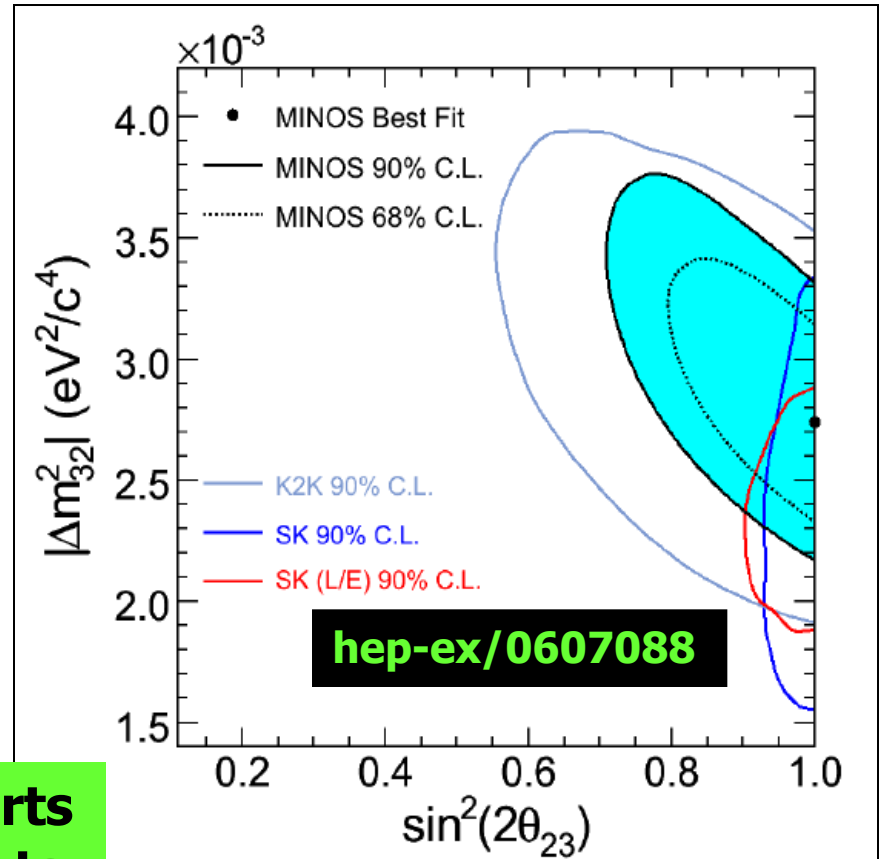
The K2K confirms the μ -neutrino disappearance



2006: MINOS



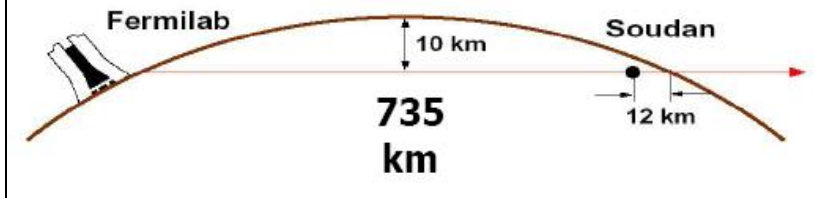
The MINOS supports Super-K & K2K data



$$|\Delta m_{32}^2| = 2.74^{+0.44}_{-0.26} (\text{stat} + \text{syst}) \times 10^{-3} \text{ eV}^2$$

$$\sin^2 2\theta_{23} = 1.00_{-0.13} (\text{stat} + \text{syst})$$

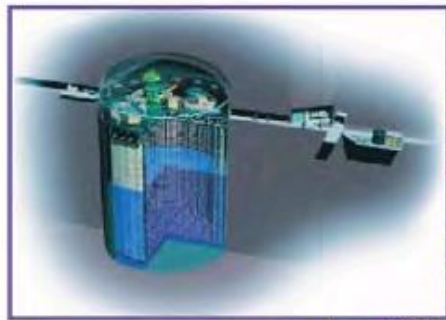
Constrained to $\sin^2(2\theta_{23}) \leq 1$



2011: T2K

30

T2K (Tokai-to-Kamioka) experiment



Super-Kamiokande
(ICRR, Univ. Tokyo)



T2K

J-PARC Main Ring
(KEK-JAEA, Tokai)



T2K Main Goals:

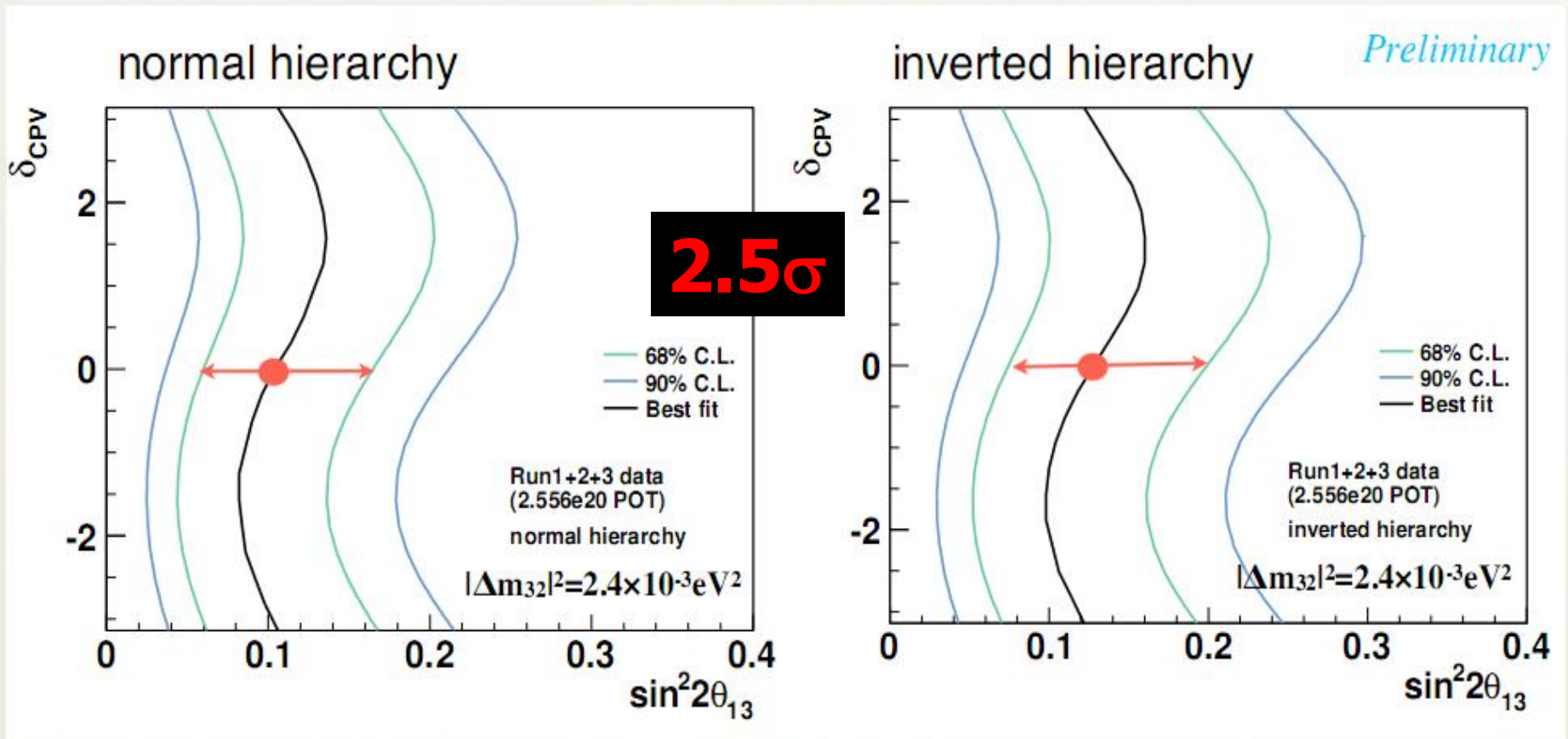
- ★ Discovery of $\nu_\mu \rightarrow \nu_e$ oscillation (ν_e appearance)
- ★ Precision measurement of ν_μ disappearance

arXiv:1106.2822 [hep-ex] 14 June 2011
Hint for unsuppressed θ_{13} !

2.5 σ

Allowed Region (constant χ^2 method)

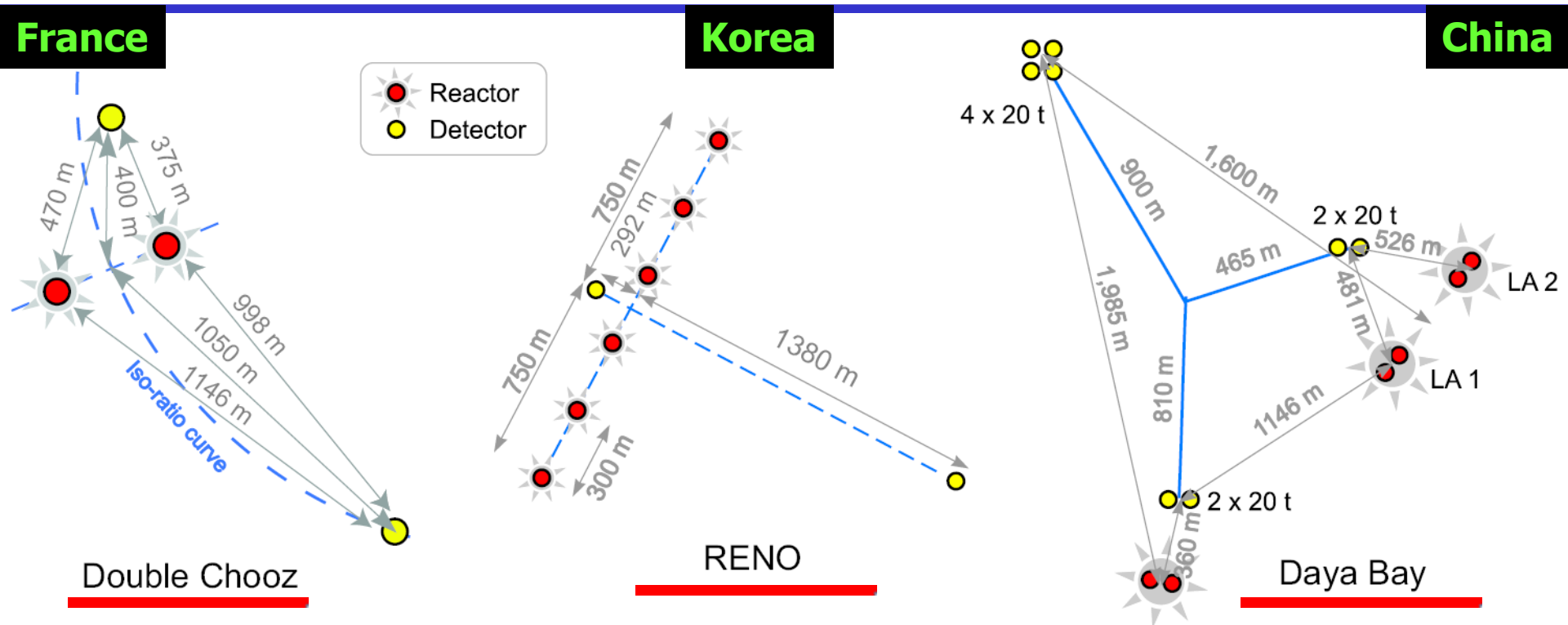
$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2(1.27 \Delta m_{32}^2 L/E) + \text{CPV} + \text{matter effect} + \dots$$



$$\sin^2 2\theta_{13} = 0.104^{+0.060}_{-0.045} @ \delta_{\text{CPV}} = 0$$

$$\sin^2 2\theta_{13} = 0.128^{+0.070}_{-0.055} @ \delta_{\text{CPV}} = 0$$

3 Reactor Experiments



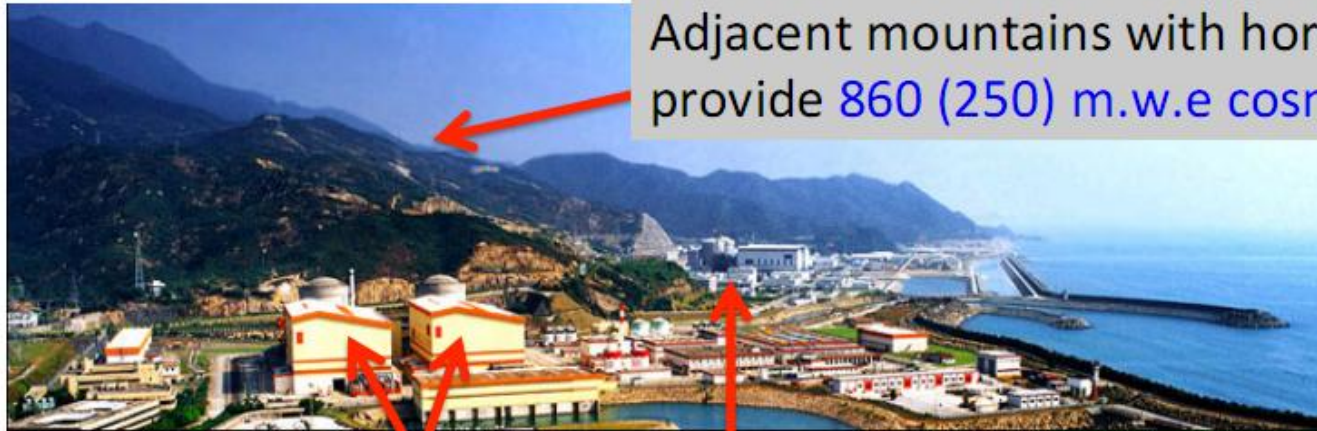
Thermal power **Baseline** **Detector mass**

Setup	P_{Th} (GW)	L (m)	m_{Det} (t)	Events/year	Backgrounds/day
Daya Bay [20]	17.4	1700	80	10×10^4	0.4
Double CHOOZ [21]	8.6	1050	8.3	1.5×10^4	3.6
RENO [22]	16.4	1400	15.4	3×10^4	2.6

2012: Daya Bay



The Daya Bay Experiment



Adjacent mountains with horizontal access provide 860 (250) m.w.e cosmic shielding.

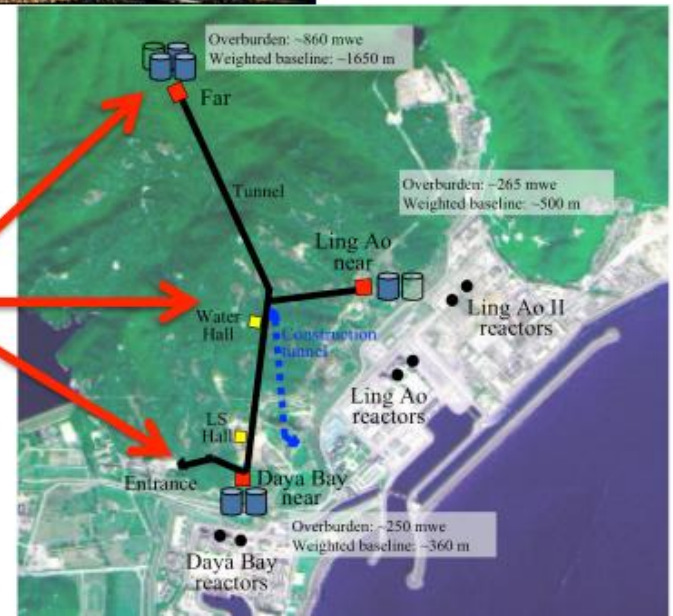
Daya Bay

Ling Ao I + II

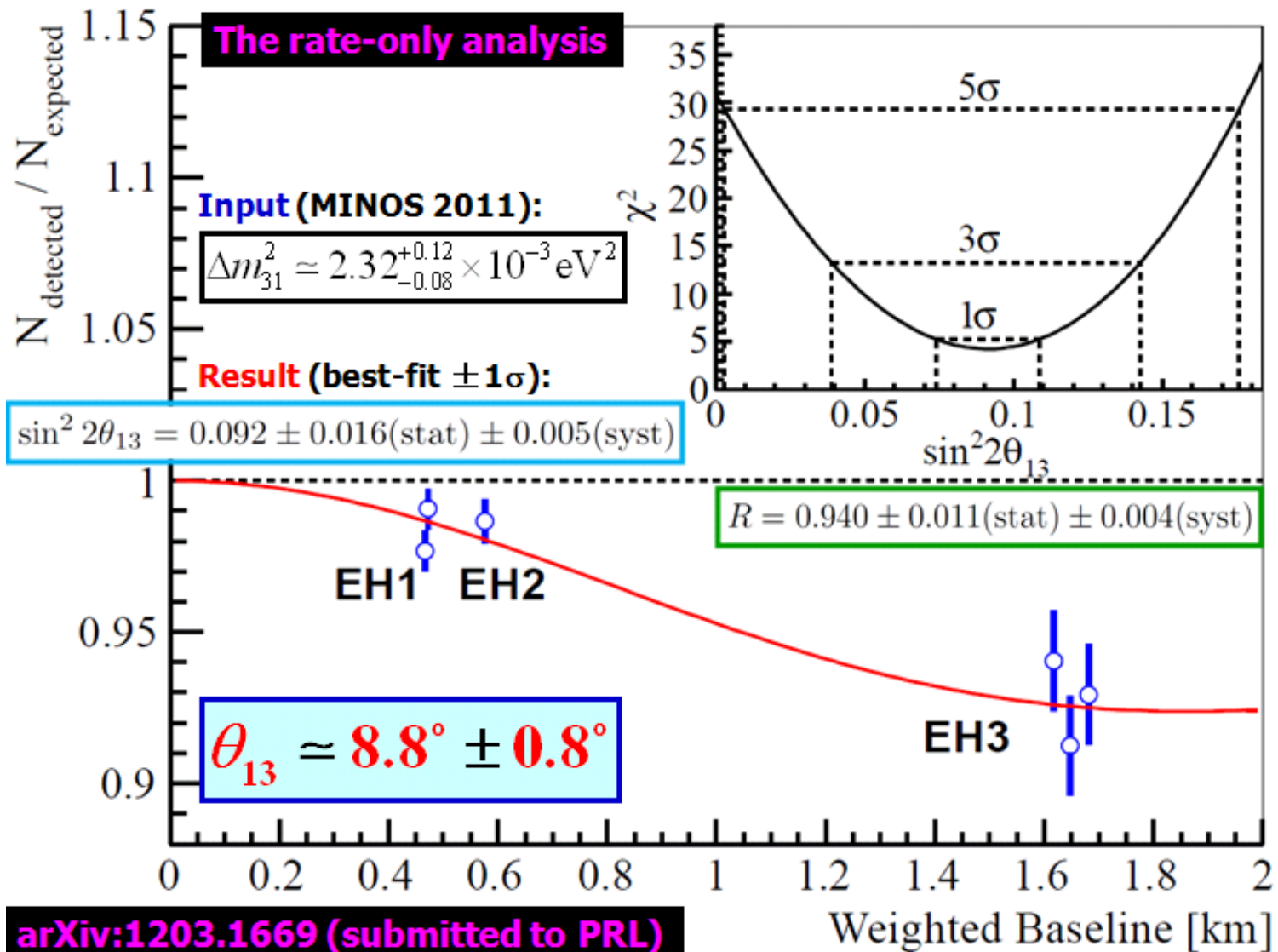
6 commercial reactor cores with 17.4 GW_{th} total power.

6 Antineutrino Detectors (ADs) give 120 tons total target mass.

Via GPS and modern theodolites, relative detector-core positions known to 3 cm.



DYB: 2012.3.8

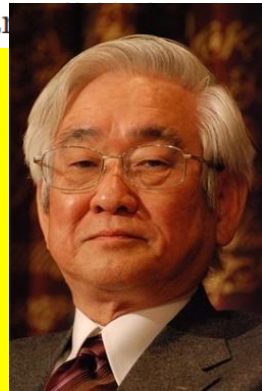


发表在PRL上，已被引用~1200次

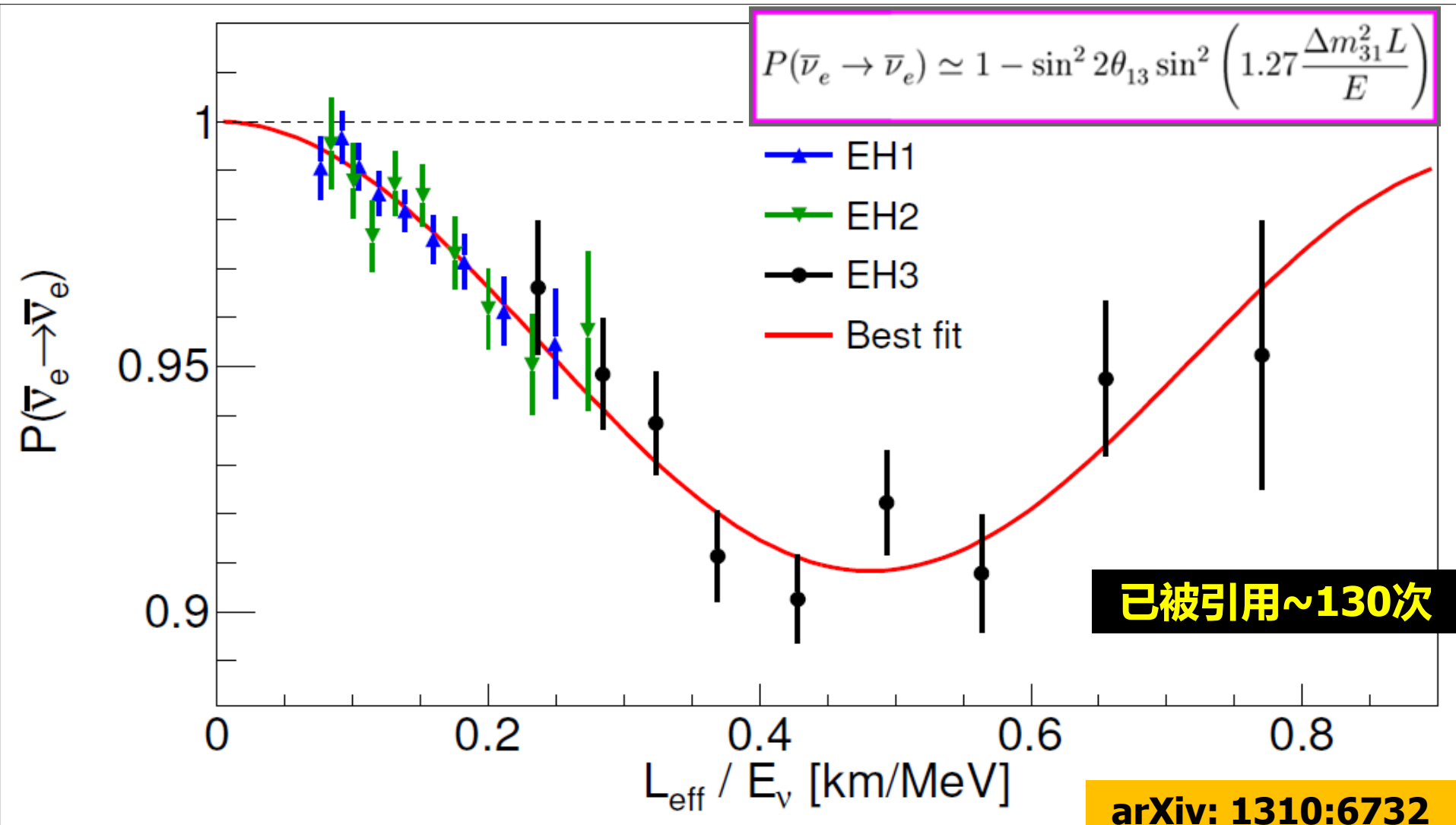
Improved measurement of electron antineutrino disappearance at Daya Bay*

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M. Bishai⁴ S. Blyth⁵ R. L. Brown⁴ G. F. Cao(曹国富)¹ J. Cao(曹俊)¹ R. Carr⁶ W. T. Chan⁴
J. F. Chang(常劲帆)¹ Y. Chang⁵ C. Chasman⁴ H. S. Chen(陈和生)¹ H. Y. Chen⁷ S. J. Chen(陈申见)⁸
S. M. Chen(陈少敏)⁹ X. C. Chen(陈潇聪)¹⁰ X. H. Chen(陈晓辉)¹ X. S. Chen(陈晓苏)¹ Y. Chen(陈羽)¹¹
Y. X. Chen(陈义学)¹² J. J. Cherwinka³ M. C. Chu(朱明中)¹⁰ J. P. Cummings¹³ Z. Y. Deng(邓子艳)¹
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S. R. Ely¹⁷ S. D. Fang(方绍东)⁸ J. Y. Fu(付金煜)¹ Z. W. Fu(付在伟)⁸ L. Q. Ge(葛良全)¹⁸ R. L. Gill⁴
M. Gonchar¹⁹ G. H. Gong(龚光华)⁹ H. Gong(宫辉)⁹ Y. A. Gornushkin¹⁹ W. Q. Gu(顾文强)²⁰
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M. He(何苗)¹ Q. He(贺青)²² K. M. Heeger³ Y. K. Heng(衡月昆)¹ P. Hinrichs³ Y. K. Hor²³
Y. B. Hsiung²⁴ B. Z. Hu⁷ T. Hu(胡涛)¹ H. X. Huang(黄翰雄)²⁵ H. Z. Huang²⁶ X. T. Huan

益川敏英教授本人在得知自己获得了诺贝尔奖之后强调，“拥有一份主要由日本人经营的世界级的学术期刊，对于来自日本以外的关于日本本土的学术研究的客观评价来说是极其重要的。”这句话对于盲目追求论文发表率和SCI期刊影响因子的中国学术界而言，无疑是值得深思的真知灼见。



Daya Bay: ν oscillation



Where to go: half to anti- μ flavor, half to anti- τ flavor.

3-flavor global fit

37

M.C. Gonzalez-Garcia (Talk at TAUP 2013, September 2013).

Flavour Parameters: Present Status 1σ (3σ): z-Garcia

$$\Delta m_{21}^2 = 7.45 \pm 0.18 \begin{pmatrix} +0.60 \\ -0.46 \end{pmatrix} \times 10^{-5} \text{ eV}^2 \quad \theta_{12} = 33.5^\circ \begin{pmatrix} +0.8 \\ -0.7 \end{pmatrix} \begin{pmatrix} +2.5 \\ -2.1 \end{pmatrix}$$

$$\Delta m_{31}^2(\text{N}) = 2.42 \begin{pmatrix} +0.06 \\ -0.06 \end{pmatrix} \begin{pmatrix} +0.21 \\ -0.18 \end{pmatrix} \times 10^{-3} \text{ eV}^2$$

$$\theta_{23} = \begin{cases} (\text{N}) 41.8^\circ \begin{pmatrix} +9.2^\circ \\ -1.85^\circ \end{pmatrix} \begin{pmatrix} +12.8^\circ \\ -4.8^\circ \end{pmatrix} \\ (\text{I}) 50.2^\circ \begin{pmatrix} +1.7^\circ \\ -2.5^\circ \end{pmatrix} \begin{pmatrix} +4.3^\circ \\ -12.6^\circ \end{pmatrix} \end{cases}$$

$$|\Delta m_{32}^2|(\text{I}) = 2.42 \begin{pmatrix} +0.07 \\ -0.05 \end{pmatrix} \begin{pmatrix} +0.19 \\ -0.18 \end{pmatrix} \times 10^{-3} \text{ eV}^2$$

$$\theta_{13} = 8.7^\circ \begin{pmatrix} +0.47 \\ -0.36 \end{pmatrix} \begin{pmatrix} +1.3^\circ \\ -1.3^\circ \end{pmatrix}$$

$$\delta_{\text{CP}} = \begin{cases} (\text{N}) 315^\circ \begin{pmatrix} +36^\circ \\ -84^\circ \end{pmatrix} \begin{pmatrix} +45^\circ \\ -315^\circ \end{pmatrix} \\ (\text{I}) 270^\circ \begin{pmatrix} +50^\circ \\ -68^\circ \end{pmatrix} \begin{pmatrix} +90^\circ \\ -270^\circ \end{pmatrix} \end{cases}$$

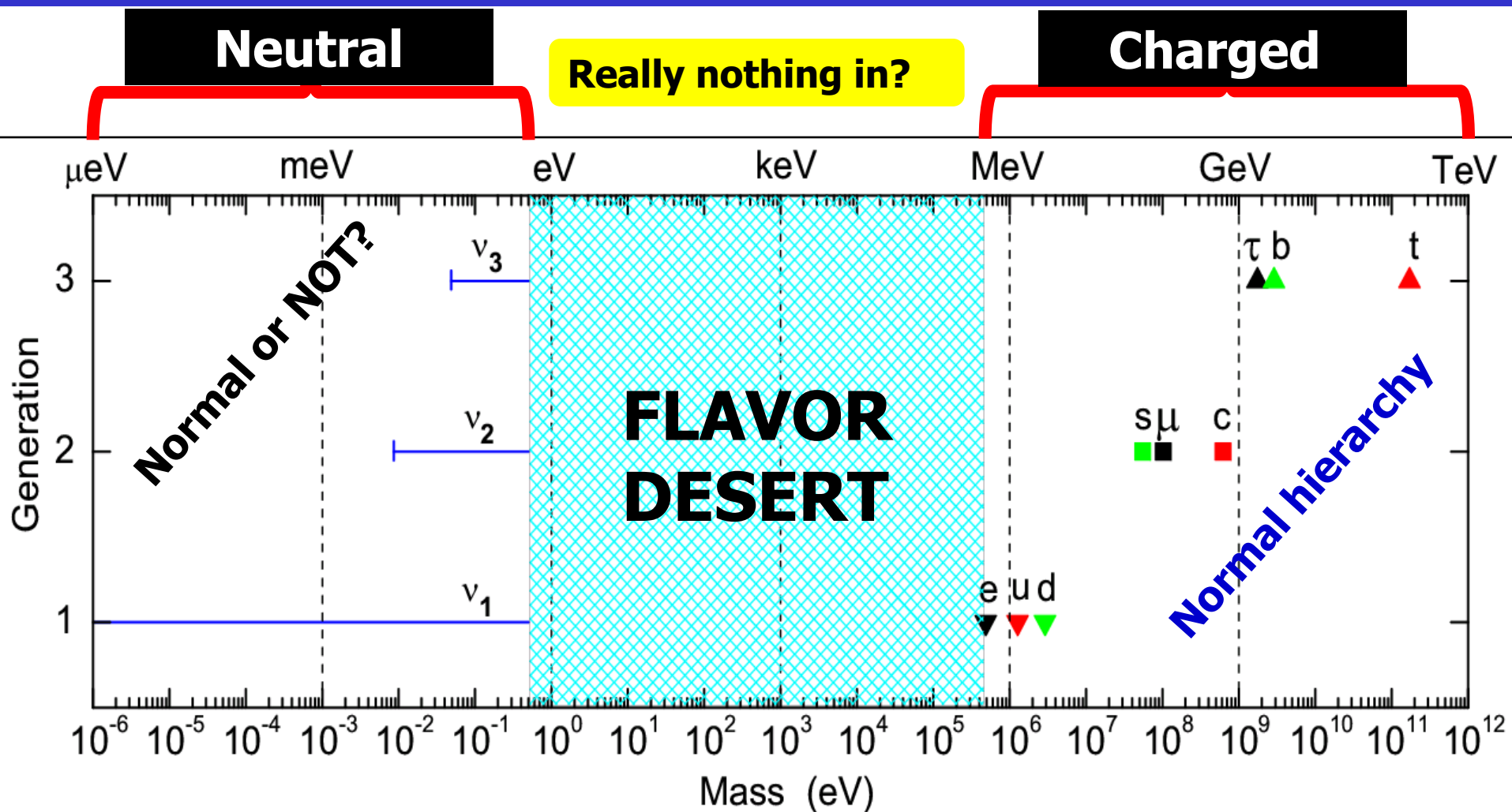
Normal: $m_1 < m_2 < m_3$?

Inverted: $m_3 < m_1 < m_2$?

$$|U|_{\text{LEP}(3\sigma)} = \begin{pmatrix} 0.799 \rightarrow 0.844 & 0.515 \rightarrow 0.581 & 0.129 \rightarrow 0.173 \\ 0.212 \rightarrow 0.527 & 0.426 \rightarrow 0.707 & 0.598 \rightarrow 0.805 \\ 0.233 \rightarrow 0.538 & 0.450 \rightarrow 0.722 & 0.573 \rightarrow 0.787 \end{pmatrix}$$

Fermion mass spectrum

38



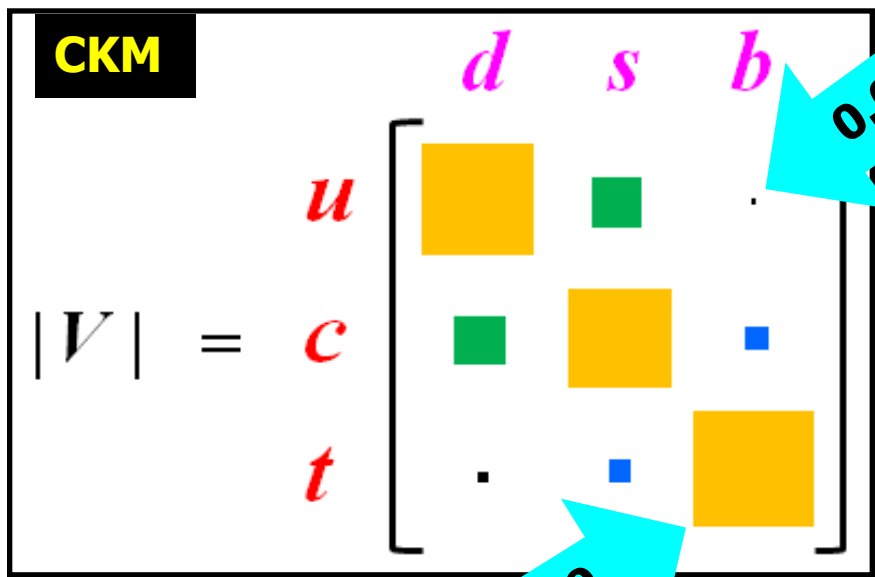
Flavor hierarchy + Flavor desert puzzles: **12** free (mass) parameters.

In the quark sector, why is the **up** quark lighter than the **down** quark?

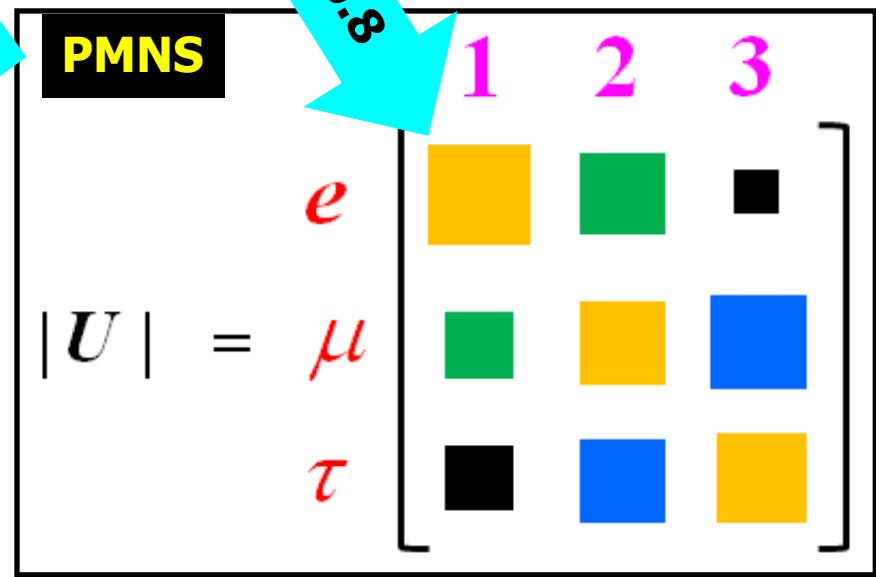
Flavor puzzles

$$-\mathcal{L}_{cc} = \frac{g}{\sqrt{2}} \left[\overline{(u \ c \ t)}_L \gamma^\mu \underset{\substack{\uparrow \\ \text{CKM}}}{V} \begin{pmatrix} d \\ s \\ b \end{pmatrix}_L W_\mu^+ + \overline{(e \ \mu \ \tau)}_L \gamma^\mu \underset{\substack{\uparrow \\ \text{PMNS}}}{U} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}_L W_\mu^- \right] + \text{h.c.}$$

Quark mixing: **hierarchy!**



4 parameters



Lepton mixing: **anarchy?**

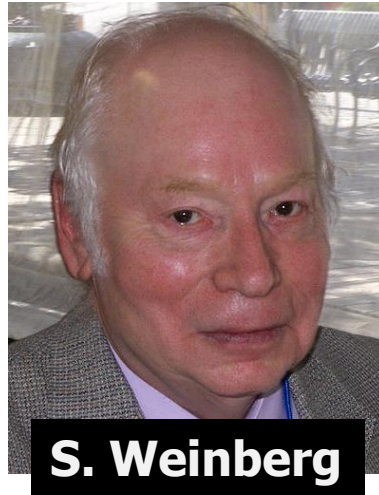
Two strategies

40

Strategy (A): flavor mixing angles depend on mass ratios.

$$\theta_{ij} = f \left(\frac{m_\alpha}{m_\beta}, \frac{m_k}{m_l}, \dots \right)$$

$$M_{l,\nu} = \begin{pmatrix} 0 & \times & 0 \\ \times & 0 & \times \\ 0 & \times & \times \end{pmatrix}$$



1977

2x2 3x3

Texture zeros

1978



Strategy (B): constant leading term + perturbation matrix.

$$U = (U_0 + \Delta U) P_\nu \quad \mathbf{1996}$$

$$M_\nu = \begin{pmatrix} b+c & -b & -c \\ -b & a+b & -a \\ -c & -a & a+c \end{pmatrix}$$


Some linear correlations or equalities

Flavor symmetries



Texture zeros

Example: 15 two-zero textures of the Majorana neutrino mass matrix.

Pattern	Texture of M_ν	The scales of neutrino masses
A_1	$\begin{pmatrix} 0 & 0 & \times \\ 0 & \times & \times \\ \times & \times & \times \end{pmatrix}$	$m_3 \approx \sqrt{\Delta m^2}, \quad \langle m \rangle_{ee} = 0$
A_2	$\begin{pmatrix} 0 & \times & 0 \\ \times & \times & \times \\ 0 & \times & \times \end{pmatrix}$	$m_3 \approx \sqrt{\Delta m^2}, \quad \langle m \rangle_{ee} = 0$
B_1	$\begin{pmatrix} \times & \times & 0 \\ \times & 0 & \times \\ 0 & \times & \times \end{pmatrix}$	$m_3 \approx \sqrt{\frac{\Delta m^2}{1 - \tan^4 \theta_{23}}}, \quad \langle m \rangle_{ee} \approx m_3 \tan^2 \theta_{23}$
B_2	$\begin{pmatrix} \times & 0 & \times \\ 0 & \times & \times \\ \times & \times & 0 \end{pmatrix}$	 <p>笑星 Frampton</p>
B_3	$\begin{pmatrix} \times & 0 & \times \\ 0 & 0 & \times \\ \times & \times & \times \end{pmatrix}$	
B_4	$\begin{pmatrix} \times & \times & 0 \\ \times & \times & \times \\ 0 & \times & 0 \end{pmatrix}$	
C	$\begin{pmatrix} \times & \times & \times \\ \times & 0 & \times \\ \times & \times & 0 \end{pmatrix}$	

Frampton, Glashow, Marfatia:

hep-ph/0201008

(02 Jan 2002)

Phys. Lett. B 536

(2002) 79

30 May 2002



~250 citations

doing research is a fun

Xing:

hep-ph/0201151

(17 Jan 2002)

Phys. Lett. B 530

(2002) 159

28 March 2002



~150 citations

ν mass ordering



Accelerator/atmospheric: matter effects

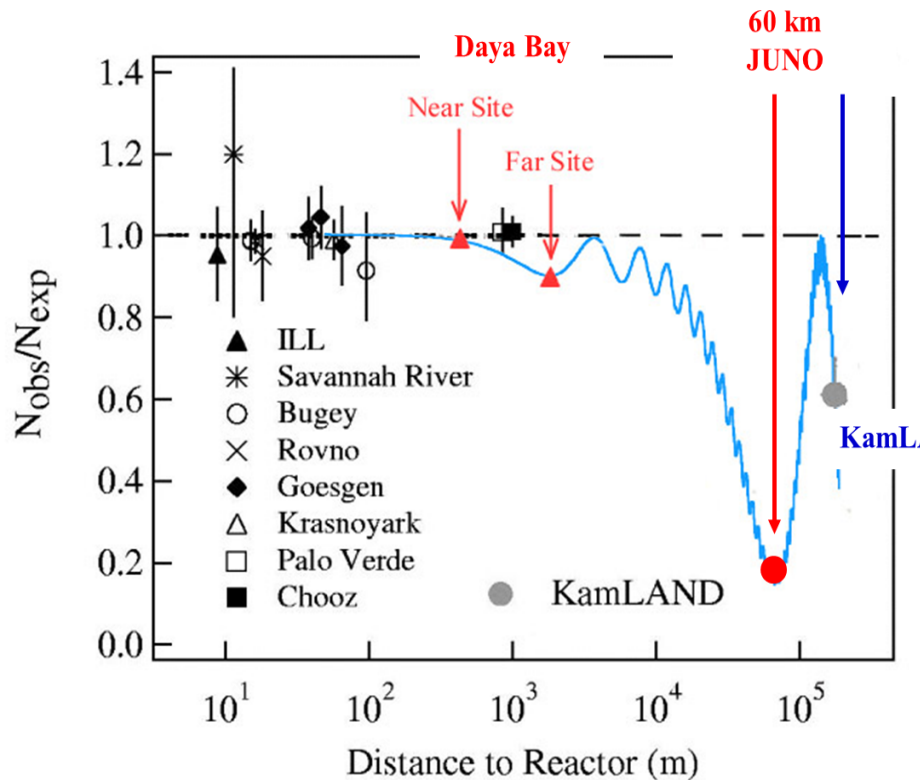
$$\Delta m_{31}^2 - 2\sqrt{2}G_F N_e E$$

$$\theta_{23}$$

亲, 我俩都姓朱耶!

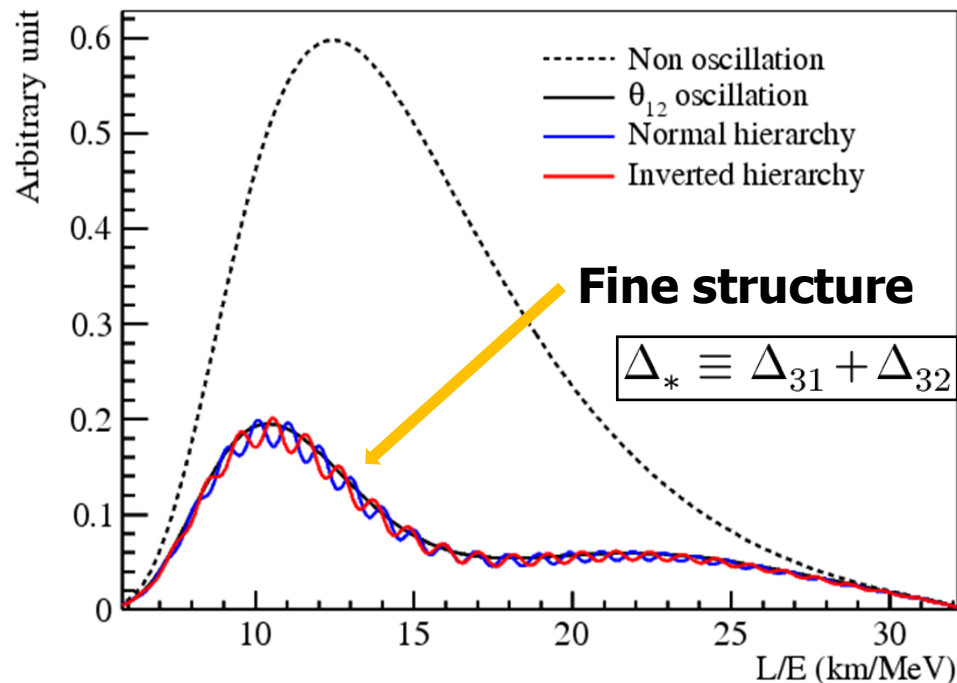
JUPITER

Reactor (JUNO): Optimum baseline at the valley of Δm_{21}^2 oscillations, corrected by the fine structure of Δm_{31}^2 oscillations.



江门实验原理

$$\Delta_{ji} \equiv \Delta m_{ji}^2 L / (4E)$$



$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \sin^2 2\theta_{12} \cos^4 \theta_{13} \sin^2 \Delta_{21} - \frac{1}{2} \sin^2 2\theta_{13} [1 - \cos \Delta_* \cos \Delta_{21} + \cos 2\theta_{12} \sin \Delta_* \sin \Delta_{21}]$$

An official paper



PHYSICAL REVIEW D **88**, 013008 (2013)



Unambiguous determination of the neutrino mass hierarchy using reactor neutrinos

Yu-Feng Li, Jun Cao, Yifang Wang, and Liang Zhan

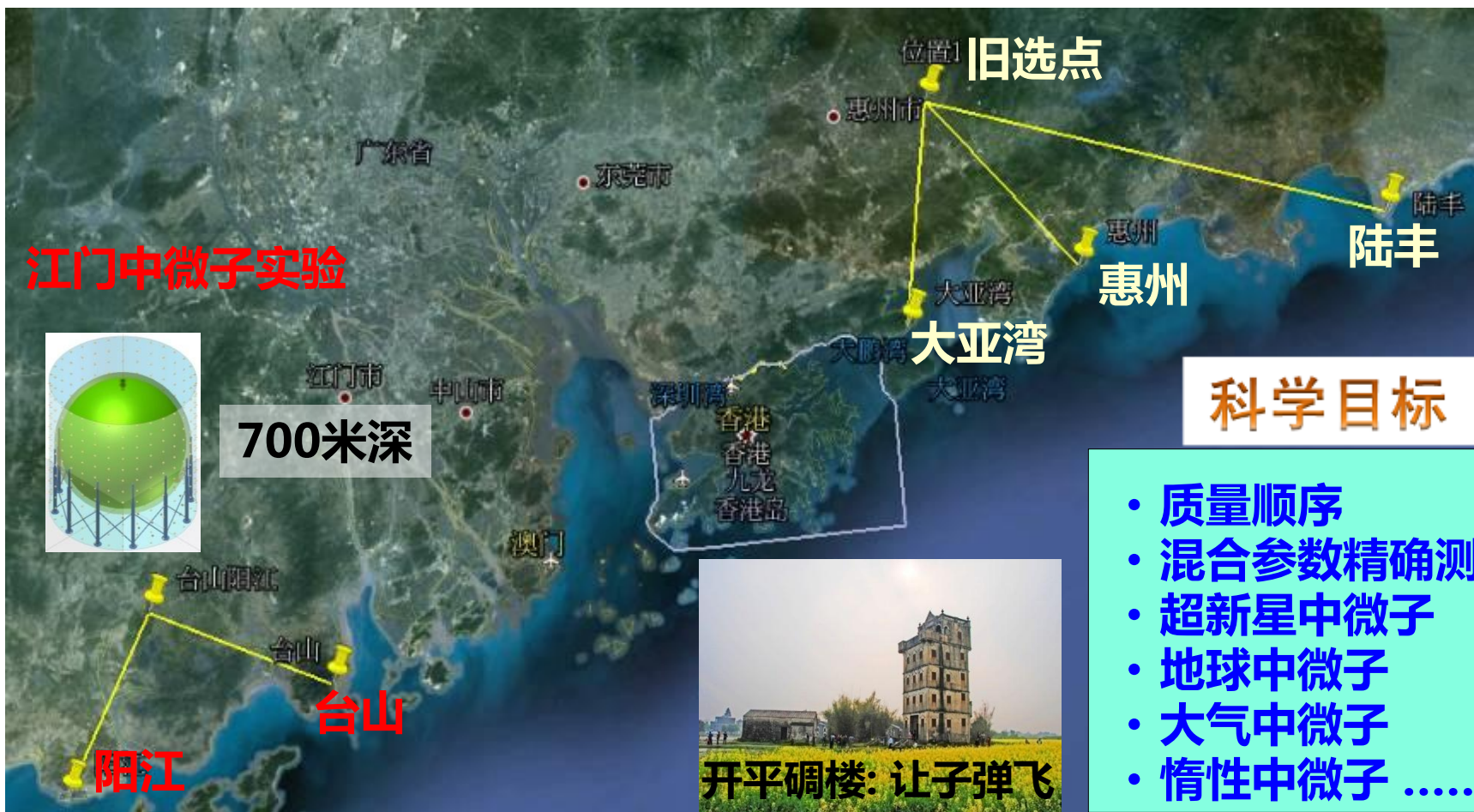
Institute of High Energy Physics, Chinese Academy of Sciences, Beijing 100049, China

(Received 28 March 2013; published 16 July 2013)

Determination of the neutrino mass hierarchy in a reactor neutrino experiment at the medium baseline is discussed. Observation of the interference effects between the Δm_{31}^2 and Δm_{32}^2 oscillations enables a relative measurement independent of the knowledge of the absolute mass-squared difference. With a 20 kton liquid scintillator detector of the $3\%/\sqrt{E}$ (MeV) energy resolution, the Daya Bay II experiment at a baseline of ~ 50 km from reactors of total thermal power 36 GW can determine the mass hierarchy at a confidence level of $\Delta\chi_{\text{MH}}^2 \sim (10 \div 12)$ ($3 \div 3.5\sigma$) in six years after taking into account the real spatial distribution of reactor cores. We show that the unknown residual energy nonlinearity of the liquid scintillator detector has limited impact on the sensitivity due to the self-calibration of small oscillation peaks. Furthermore, an extra increase of $\Delta\chi_{\text{MH}}^2 \simeq 4(9)$ can be obtained, by including the precise measurement of the effective mass-squared difference $\Delta m_{\mu\mu}^2$ of expected relative error 1.5% (1%) from ongoing long-baseline muon neutrino disappearance experiments. The sensitivities from the interference and from absolute measurements can be cross-checked. When combining these two, the mass hierarchy can be determined at a confidence level of $\Delta\chi_{\text{MH}}^2 \sim (15 \div 20)$ (4σ) in six years.

JUNO: 让中微子飞一会儿

	大亚湾	惠州	陆丰	阳江	台山
状态	运行	计划	计划	建设中	建设中
功率/GW	17.4	17.4	17.4	17.4	18.4



Mixing + CP violation

Lepton flavor mixing pattern: Approximate μ - τ symmetry?

CKM

$$|V| = \begin{matrix} u \\ c \\ t \end{matrix} \begin{matrix} d & s & b \end{matrix} \begin{bmatrix} \text{large yellow} & \text{small green} & \text{dot} \\ \text{small green} & \text{large yellow} & \text{small blue} \\ \text{dot} & \text{small blue} & \text{large yellow} \end{bmatrix}$$

MNS

$$|U| = \begin{matrix} e \\ \mu \\ \tau \end{matrix} \begin{matrix} 1 & 2 & 3 \end{matrix} \begin{bmatrix} \text{large yellow} & \text{medium green} & \text{small black} \\ \text{medium green} & \text{large yellow} & \text{large blue} \\ \text{small black} & \text{large blue} & \text{large yellow} \end{bmatrix}$$

To determine the deviation of θ_{23} from $\pi/4$, and to measure the Dirac phase.

μ - τ : $|U_{\mu i}| = |U_{\tau i}|$

$$\begin{cases} \theta_{13} = 0 \\ \theta_{23} = \pi/4 \end{cases} \quad \times$$

or

$$\begin{cases} \delta = \pm\pi/2 \\ \theta_{23} = \pi/4 \end{cases} \quad \times$$

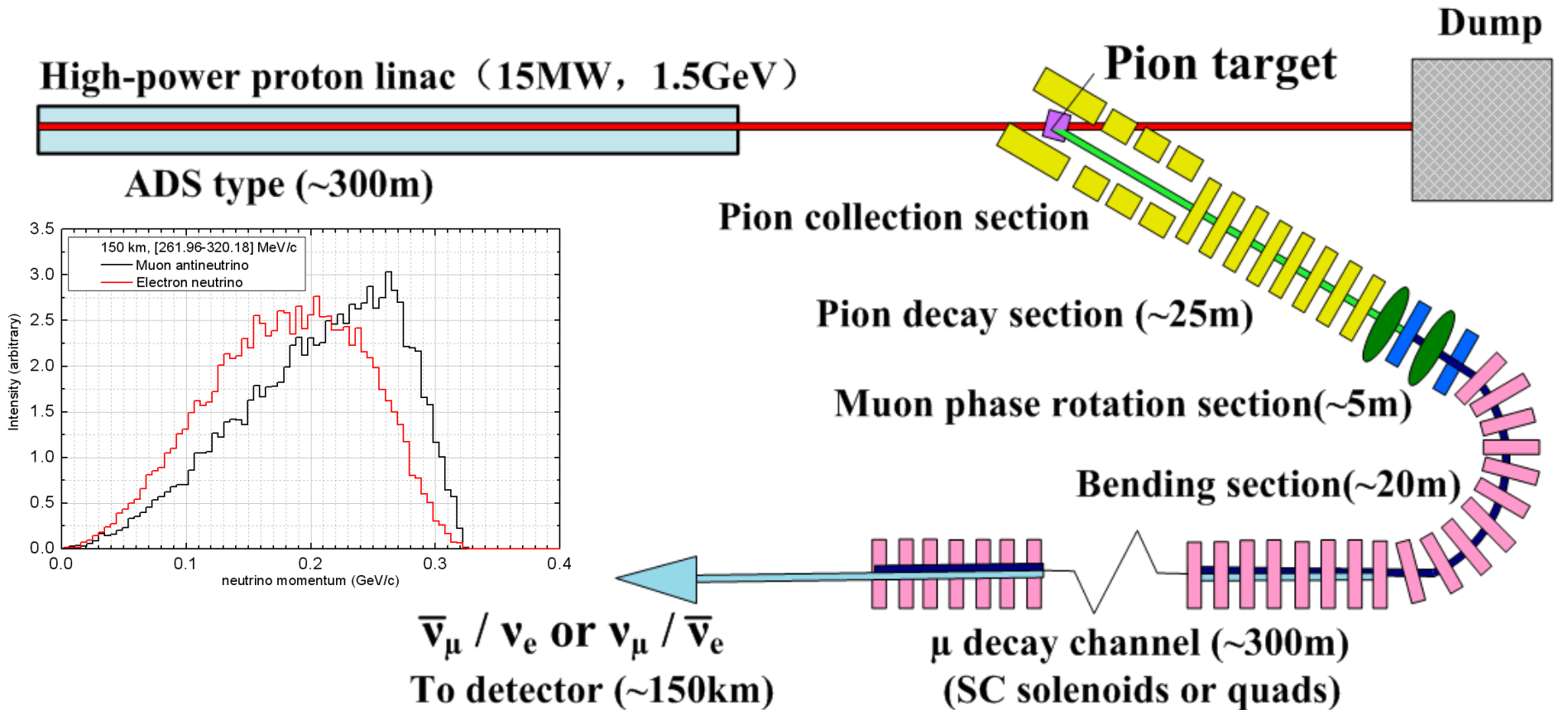
CP violation

$$P(\nu_\alpha \rightarrow \nu_\beta) - P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) = P(\nu_\alpha \rightarrow \nu_\beta) - P(\nu_\beta \rightarrow \nu_\alpha)$$

$$= 16J \sum_\gamma \epsilon_{\alpha\beta\gamma} \sin \frac{\Delta m_{21}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E} \sin \frac{\Delta m_{32}^2 L}{4E}$$

$$J = \sin\theta_{12} \cos\theta_{12} \sin\theta_{23} \cos\theta_{23} \sin\theta_{13} \cos^2\theta_{13} \sin\delta \simeq 3.6 \sin\delta \times 10^{-2}$$

MOMENT: a new idea



Neutrinos after the target/collection/decay:
 $\sim 10^{21}$ n/year

- Neutrinos from muon decay
- Proton LINAC for ADS
 ~ 15 MW
- To JUNO detector (150 km)

MOMENT: beam study

MOMENT: a muon-decay medium-baseline neutrino beam facility

Jun Cao¹, Miao He¹, Zhi-Long Hou¹, Han-Tao Jing¹, Yu-Feng Li¹, Zhi-Hui Li²,
Ying-Peng Song^{3,1}, Jing-Yu Tang^{1*}, Yi-Fang Wang^{1*}, Qian-Fan Wu¹, Ye Yuan¹,
Yang-Heng Zheng⁴

¹Institute of High Energy Physics, CAS, Beijing 100049, China

²Sichuan University, Chengdu 610065, China

³University of Science and Technology of China, Hefei, Anhui 230029, China

⁴University of Chinese Academy of Sciences, Beijing 100049, China

Abstract: Neutrino beam with about 300 MeV in energy, high-flux and medium baseline is considered a rational choice for measuring CP violation before the more powerful Neutrino Factory to be built. Following this concept, a unique neutrino beam facility based on muon-decayed neutrinos is proposed. The facility adopts a continuous-wave proton linac of 1.5 GeV and 10 mA as the proton driver, which can deliver an extremely high beam power of 15 MW. Instead of pion-decayed neutrinos, unprecedentedly intense muon-decayed neutrinos are used for better background discrimination. The schematic design for the facility is presented here, including the proton driver, the assembly of a mercury-jet target and capture superconducting solenoids, a pion/muon beam transport line, a long muon decay channel of about 600 m and the detector concept. The physics prospects and the technical challenges are also discussed.

中国 Neutrino Trilogy 广东

★ from **Daya Bay** to **JUNO** and **MOMENT**.



★ **Martinus Veltman: We go on until we go wrong!**

关于选题、竞争和结果的思考

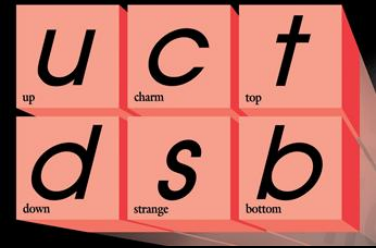


Angela Merkel



SM + neutrinos are left with **CP-violating phases**

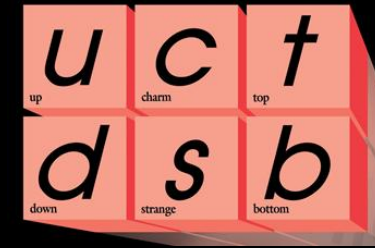
Quarks



1/5

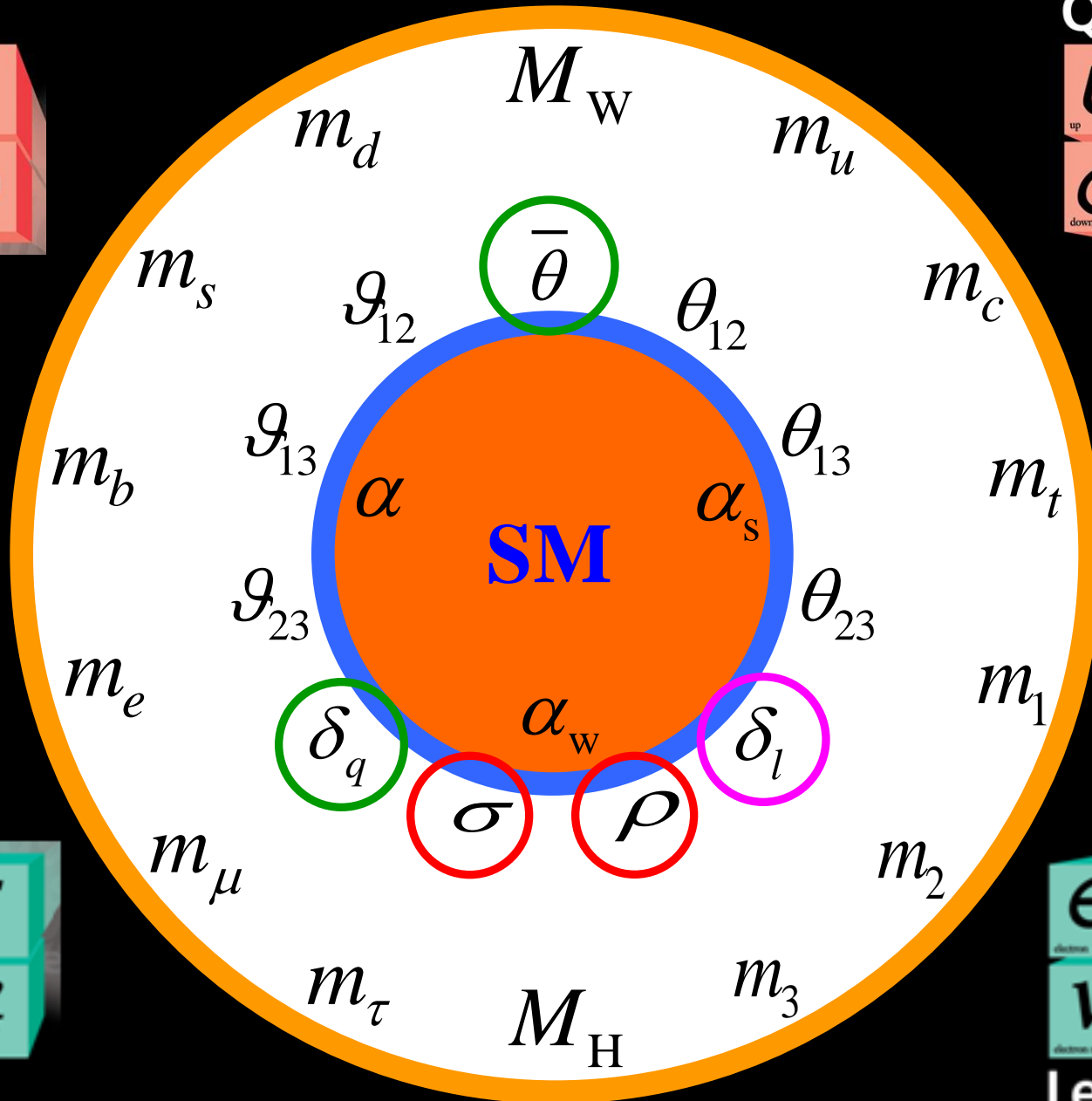
OK!

Quarks



4/5

NO!



Leptons



Leptons