

Shaving Type-I Seesaw Mechanism with Occam's Razor

Jue Zhang (张珏)

IHEP, Beijing

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JZ, arxiv:1502.04043, Phys. Rev. D 91 (2015) 7, 073012

JZ and Shun Zhou, arXiv:1505.04858

Outline

- **Type-I seesaw mechanism** (testability)
- **Occam's Razor** (minimal scenarios)
- **Example I:**
 - Frampton-Glashow-Yanagida (FGY) model
- **Example II:**
 - Generalization to the case with 3 family of right-handed neutrinos

Massive Neutrinos

$$-\mathcal{L}_{\text{SM}} \supset \bar{\ell}_L \mathbf{Y}_e E H + h.c.$$



$$-\mathcal{L}_{\nu\text{SM}} \supset \bar{\ell}_L \mathbf{Y}_e E H + \frac{1}{2} \bar{\nu}_L^c \mathbf{M}_\nu \nu_L + h.c.$$

Working in the flavor basis where \mathbf{Y}_e is diagonal, \mathbf{M}_ν contains all the lepton flavor mixing information:

$$\mathbf{M}_\nu = U_\nu D_\nu U_\nu^T$$

9 physical parameters:

$$D_\nu = \text{Diag}(m_1, m_2, m_3)$$

$$U_\nu = R(\theta_{23})R(\theta_{13}, \delta)R(\theta_{12})\text{Diag}(e^{i\rho}, e^{i\sigma}, 1)$$

Only 5 parameters are currently well-measured!

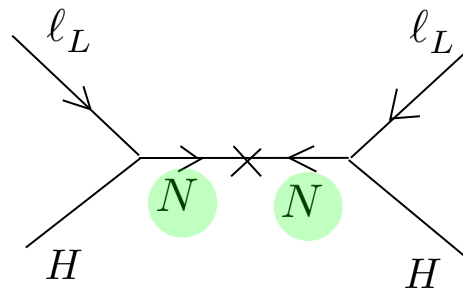
$$(\theta_{12}, \theta_{23}, \theta_{13}, \Delta m_{21}^2, |\Delta m_{3i}^2|)$$

+ one constraint from cosmology $\sum m_i < 0.23 \text{ eV}$

Type-I Seesaw Mechanism

UV
18 paras
(in \mathbf{Y}_ν and \mathbf{M}_R)

$$-\mathcal{L}_{\text{SS}} = \bar{\ell}_L \mathbf{Y}_e E H + \bar{\ell}_L \mathbf{Y}_\nu N \tilde{H} + \frac{1}{2} \bar{N}^c \mathbf{M}_R N + h.c.$$



$$\mathbf{M}_\nu \approx -v^2 \mathbf{Y}_\nu \frac{1}{\mathbf{M}_R} \mathbf{Y}_\nu^T$$

$$-\mathcal{L}_{\nu\text{SM}} \supset \bar{\ell}_L \mathbf{Y}_e E H + \frac{1}{2} \bar{\nu}_L^c \mathbf{M}_\nu \nu_L + h.c.$$

IR
9 paras
(in \mathbf{M}_ν)

Pros:

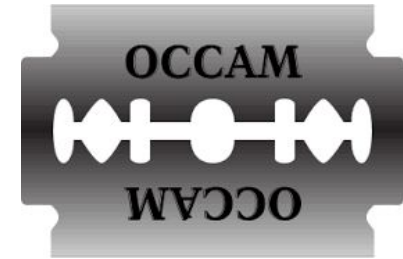
1. Consistent with **GUTs** (both "qualitatively" and "quantitatively")
2. Right-handed neutrinos may play roles in generating baryon asymmetry in the Universe (**Leptogenesis**)

Cons:

HOW TO TEST IT?

Occam's Razor

"other things being equal, simpler explanations are generally better than more complex ones"



- Lack of a convincing flavor theory leads us to consider the flavor structure of Yukawa matrices in a phenomenological way:

simpler explanations \longleftrightarrow **less parameters (minimal scenarios)**

- Less parameters \implies more predictive \implies better testability
- Example: testing MSSM at LHC
 - ~100 parameters for a general MSSM
 - experimentally one tests CMSSM (5 parameters) first

Test Minimal Scenarios!

Example I:

Frampton-Glashow-Yanagida (FGY) model

Frampton-Glashow-Yanagida (FGY) model

Phys. Lett. B 548, 119 (2002)

- **Assumption I (minimal seesaw):**
 - Only **2** generations of right-handed neutrinos are added .
- **Assumption II:**
 - **2 texture zeros** in the neutrino Yukawa matrix, in the basis where both \mathbf{Y}_e and \mathbf{M}_R are diagonal.
 - 2 is the maximal allowed number of texture zeros
- **Highly predictive:**
 - Only **5** physical parameters in \mathbf{Y}_ν *vs.* **5** well-measured observables from the neutrino data
 - Only **1** physical phase in \mathbf{Y}_ν : (original motivation for FGY model)
CP violations at low energy and high energy are connected!

$$\mathbf{Y}_\nu \sim \begin{pmatrix} 0 & \times \\ 0 & \times \\ \times & \times \end{pmatrix}$$

Testable Predictions of FGY Model

1. Only **Inverted Hierarchy (IH)** of neutrinos are allowed, because of the measurement of θ_{13} ! K. Harigaya, M. Ibe and T. T. Yanagida, Phys. Rev. D 86, 013002 (2012)
 - several neutrino oscillation experiments are trying to determine neutrino mass hierarchy (JUNO, T2K, NovA, PINGU...)
 - maybe known in the next ten years
2. Three individual neutrino masses are known.
 - $m_1 \sim 0.048 \text{ eV}$, $m_2 \sim 0.049 \text{ eV}$, $m_3 = 0$
 - $\Sigma m_i \sim 0.097 \text{ eV}$ may be tested by cosmology
3. Dirac CP-violating phase $\delta \sim \pm 90^\circ$
 - testable by T2K, LBNF, ...
4. Neutrinoless double decay:
 - the predicted effective neutrino mass $m_{ee} \sim 50 \text{ meV}$
 - reachable by next generation of experiments

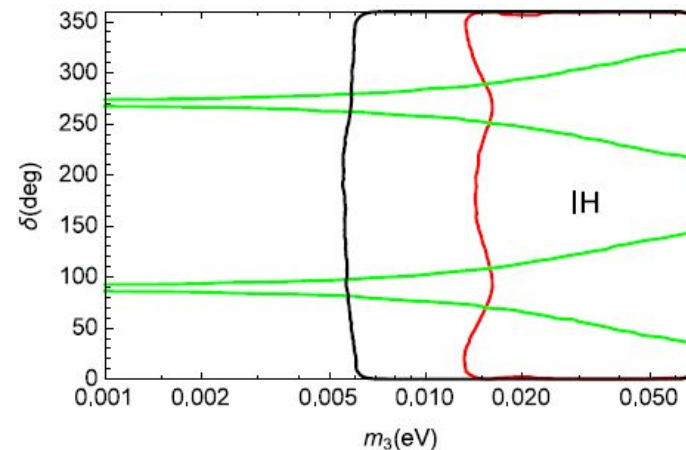
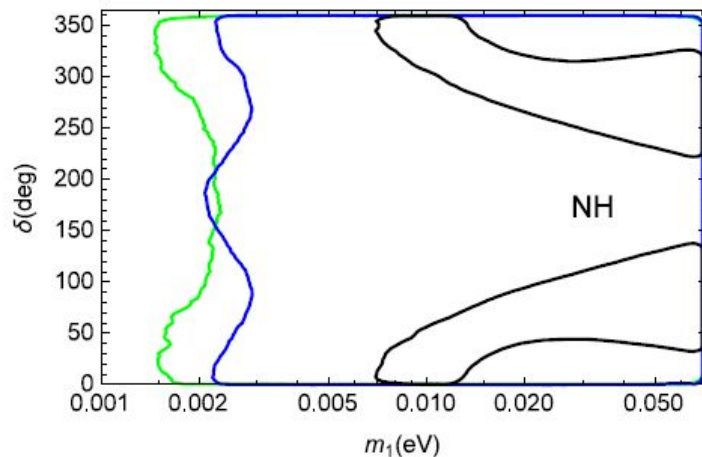
Note: The above predictions are valid even with the renormalization group running effects considered. JZ and Shun Zhou, arXiv:1505.04858

Example II: Generalization to 3-family case

Four Texture Zeros in \mathbf{Y}_ν

$$\mathbf{Y}_\nu \sim \begin{pmatrix} \times & 0 & \times \\ \times & 0 & 0 \\ \times & \times & 0 \end{pmatrix}$$

- Conventional type-I seesaw
- In the basis where both \mathbf{Y}_e and \mathbf{M}_R are diagonal, **4** is the maximal number of texture zeros in \mathbf{Y}_ν , assuming that none of the light neutrino masses vanishes.
 - **72** possible patterns
- **Low testability!!!**
 - **7** physical parameters in \mathbf{Y}_ν vs. **5** well-measured neutrino observables
 - The allowed parameter space is weakly constrained.
 - too many allowed patterns (**54 for NH, 66 for IH**)



Not a minimal scenario regarding the neutrino data!

Look for a More Minimal Scenario

- Impose **additional theoretical assumptions** to reduce free parameters:
 - motivated from GUTs: **up-quark Yukawa coupling** $Y_u \sim Y_\nu$
 - Assumption: Y_ν **exhibits a similar hierarchy to** Y_u .
 - Three eigenvalues of Y_ν have a ratio of **$\text{Eigen}(Y_\nu) \sim (\lambda^8, \lambda^4, 1)$** $\lambda=0.23$
- Introduce **additional observables**:
 - Study **leptogenesis** so that one more observable from cosmology
 - the observed baryon asymmetry in the Universe
- **A problem**: the above two treatments are not easy to realize simultaneously.
 - Highly hierarchical Y_ν usually leads to a even **more hierarchical** M_R
 - **$\text{Eigen}(M_R) \sim (10^3, 10^{10}, 10^{14})$ GeV** to give sub-eV neutrino masses
 - **Davidson-Ibarra bound in leptogenesis**:
the mass of the **lightest** right-handed neutrino has to be greater than **10^9** GeV,
assuming hierarchical right-handed neutrinos and no flavor effects

N_2 -dominated Leptogenesis

- **Leptogenesis:**
 - Decay of right-handed neutrinos generates lepton number asymmetry
 - Out-of-equilibrium decay of right-handed neutrinos preserves such an asymmetry
 - In the later evolution, non-perturbative spaleron processes convert the preserved lepton number asymmetry into the observed baryon asymmetry
- **" N_1 -dominated" leptogenesis with no flavor effects**
 - Without flavor effects, the lepton number asymmetry generated by heavier N_2 and N_3 can be fully washed out by N_1
 - Only N_1 contributes the generation of lepton number asymmetry
 - N_1 needs to be heavy than 10^9 GeV.
- **N_2 -dominated leptogenesis with flavor effects**
 - **Flavor effects:** the generated lepton asymmetry is distinguished by the lepton flavor.
 - Lepton asymmetry in some flavor generated by N_2 may not be washed out by N_1
 - **A bonus:** it is then sensitive to the flavor structure of Y_ν .

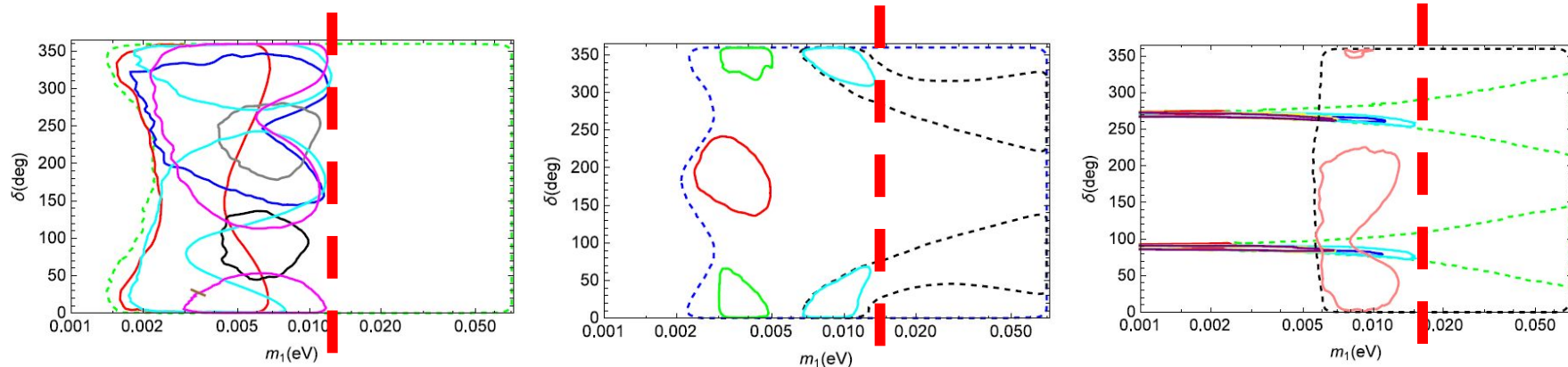
$$\begin{pmatrix} 0 & \times & 0 \\ 0 & 0 & \times \\ \mathbf{X} & \mathbf{X} & \mathbf{X} \end{pmatrix}$$

Improved Testability

- Large reduction of allowed textures

	NH			IH		
	LE	LE+LG(QA)	LE+LG(NS)	LE	LE+LG(QA)	LE+LG(NS)
Class IA	0	0	0	18	10	0
Class IB	18	10	7	18	10	5
Class IC	18	8	4	18	8	5
Class IIA	6	4	2	0	0	0
Class IIB	6	4	1	6	4	1
Class IIC	6	4	1	6	4	1

- Shrink of the allowed parameter space



Prediction: neutrinos can NOT be quasi-degenerate!

Summary

- **Discussed the testability of a general type-I seesaw mechanism**
- **Adopted the spirit of Occam's Razor to examine minimal scenarios**
- **Example I: Frampton-Glashow-Yanagida (FGY) model**
 - highly predictive, and can be soon tested (only IH is allowed!)
- **Example II: Generalization to the 3-family case**
 - four texture zeros in Y_ν
 - low testability without additional requirements
 - Imposing requirements from GUT and leptogenesis improves the testability.
 - An indication of quasi-degenerate neutrinos will disfavor the above scenario.

Thank you for your attention!