

# Quantum Chromodynamics (QCD) and Physics of the strong interaction (Lecture 6)

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Lecture: Mon – Wed – Fri  
10:00-11:40AM  
Location: B326, Main Building

## Review of Lecture Five

- ❑ We can actually “see” and “count” the quarks and gluons – quark and gluon distributions
- ❑ PQCD factorization works for DIS to all orders as well as all powers due to Operator Product Expansion (OPE)
- ❑ PDFs evolves – the number of partons is sensitive to the probing scale
- ❑ PQCD global analysis for spin averaged cross sections results into the reasonably well-determined universal PDFs
- ❑ Hadronization – the probability – fragmentation functions

# Cross Section with Identified Hadrons

## One hadron:

$$\sigma_{\text{tot}}^{\text{DIS}} \sim \text{[Diagram: } e^- \text{ scattering off a quark } q \text{ inside a hadron with momentum } xP \text{]} \otimes \text{[Diagram: Parton distribution } J(x) \text{]} + O\left(\frac{1}{QR}\right)$$

Now  
Hard-part

Past  
Parton-distribution

Connection  
Power corrections

## Two hadrons:

$$\sigma_{\text{tot}}^{\text{DY}} \sim \text{[Diagram: Two quarks annihilating into a photon]} \otimes \text{[Diagram: Two hadrons with parton distributions } \gamma(x) \text{ and } J(x) \text{, connected by a soft interaction } S \text{]} + O\left(\frac{1}{QR}\right)$$

Soft interactions between incoming hadrons break the universality of PDFs

# “Drell-Yan” Cross Section

□ Drell-Yan process:

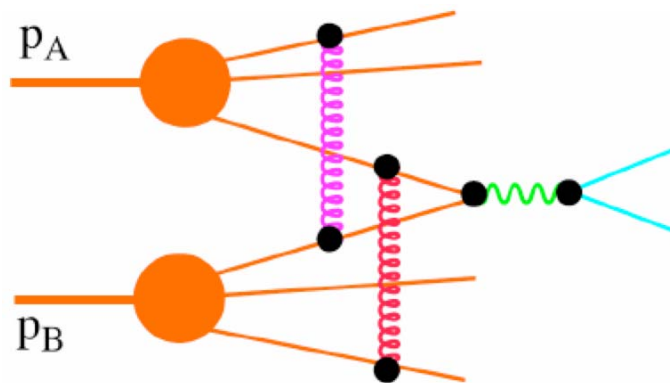
via a heavy colorless particle

$$h(p_A) + h'(p_B) \rightarrow \ell^+ \ell^- (q) + X \quad \text{with } Q^2 = q^2$$

□ Parton model formula:

$$\frac{d\sigma_{hh'}^{\text{DY}}(p_A, p_B, q)}{dQ^2} = \sum_{f, f'} \int_0^1 dx \int_0^1 dx' \phi_f(x) \frac{d\hat{\sigma}_{ff'}^{\text{el}}(xp_A, x'p_B, q)}{dQ^2} \phi_{f'}(x')$$

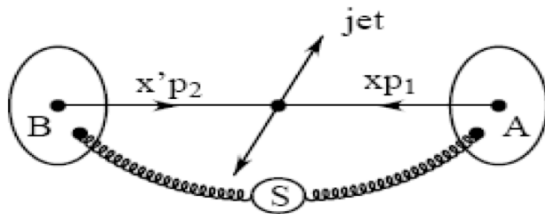
□ Long-range soft interactions before the hard collision could break the PDF's universality – loss of predictive power



$$K_{\text{factor}} = \frac{\sigma_{\text{Exp}}^{\text{DY}}}{\sigma_{\text{PM}}^{\text{DY}}} \sim 2$$

# Long-range Soft Gluon Interactions

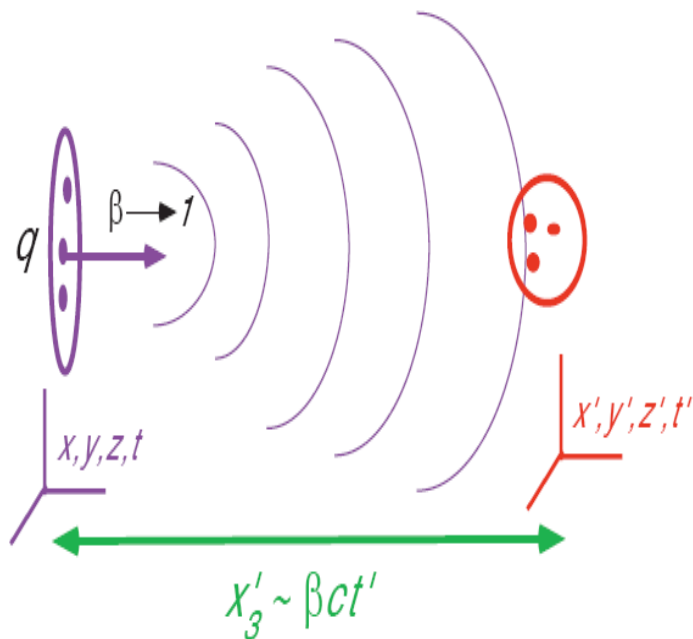
- Soft-gluon interaction takes place all the time:



Question:

What is its effect on a physical observable?

- Factorization = soft-gluon interactions are suppressed:



Field

Scalar

$x$ -Frame

$$V(x) = \frac{e}{|\vec{x}|}$$

$x'$ -Frame

$$V'(x') = \frac{e}{(x_T^2 + \gamma^2 \Delta^2)^{1/2}}$$

$$\Rightarrow \frac{1}{\gamma} \text{ "contracted like a ruler"}$$

Gauge

$$A^-(x) = \frac{e}{|\vec{x}|}$$

$$A'^-(x') = \frac{e\gamma(1+\beta)}{(x_T^2 + \gamma^2 \Delta^2)^{1/2}}$$

$$\Rightarrow 1 \text{ "not contracted!"}$$

# Field Strength is Strongly Contracted

| <u>Field</u>   | <u><math>x</math>-Frame</u>      | <u><math>x'</math>-Frame</u>   |
|----------------|----------------------------------|--|
| Field Strength | $E_3(x) = \frac{e}{ \vec{x} ^2}$ | $E_3(x') = \frac{-e\gamma\Delta}{(x_T^2 + \gamma^2\Delta^2)^{3/2}}$<br>$\Rightarrow \frac{1}{\gamma^2}$ “strongly contracted!” |

➡ *Lorentz contracted fields of incident particles do not overlap until the moment of the scattering!*

the  $1/\gamma^2$  translates into a suppression factor of  $1/Q^4$

➡ *Initial-state interaction disappear at high enough energies!*

$$\sigma(Q) = \sigma_0(Q) + \sigma_2(Q)\frac{1}{Q^2} + \sigma_4(Q)\frac{1}{Q^4} + \dots$$

➡ the factorization should be valid at the order of  $1/Q^2$

Leading power (twist): Collins, Soper, and Sterman; Bodwin

Next leading power: Qiu and Sterman

Factorization is violated at  $1/Q^4$  via explicit calculation: Taylor et al.

# QCD Formalism for Drell-Yan Cross Section

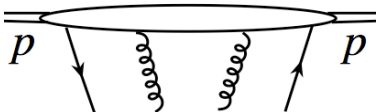
## Factorization at leading power:

$$\frac{d\sigma_{hh'}^{\text{DY}}(p_A, p_B, q)}{dQ^2} = \sum_{f, f'} \int_0^1 dx \int_0^1 dx' \phi_f(x, \mu_F^2) \frac{d\hat{\sigma}_{ff'}^{\text{DY}}(xp_A, x'p_B, q, \mu_F^2)}{dQ^2} \phi_{f'}(x', \mu_F^2) + \dots$$

- ✧ This is not “leading log approximation”, corrections to this factorized formula are power suppressed  $1/Q^2$
- ✧ Parton distributions are non-perturbative, but, defined in terms of the same matrix elements as those defined in DIS
- ✧  $d\hat{\sigma}$  has an expansion in powers of  $\alpha_s$

## Factorization at next-to-leading power:

$$\frac{d\sigma_{hh'}^{(4)}(p_A, p_B, q)}{dQ^2} = \frac{1}{Q^2} \sum_{f_1, f_2, f'} \int dx dx_1 dx_2 \int dx' T_{f_1 f_2}^{(4)}(x, x_1, x_2, \mu_F^2) \left[ \frac{d\hat{\sigma}_{f_1 f_2, f'}^{(4)}}{dQ^2} \right] \phi_{f'}(x', \mu_F^2) + \mathcal{T} \leftrightarrow \phi$$

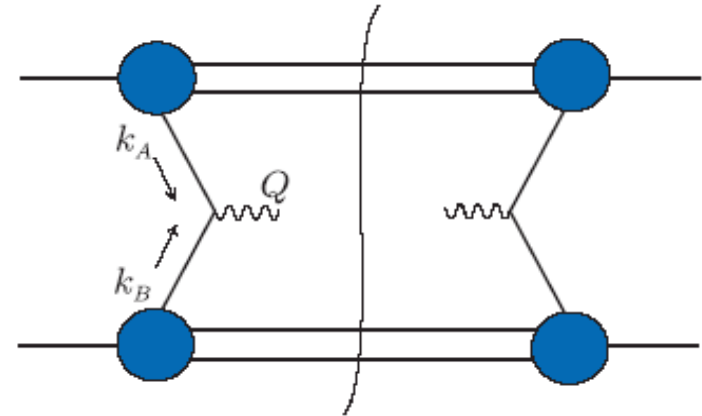
$$T_{qF}^{(4)}(x, x_1, x_2, \mu_F^2) = \text{Diagram} = \text{Twist-4 quark-gluon correlation function}$$


# Why Drell-Yan Process Makes Sense?

□ Drell-Yan = Lowest order in QCD perturbation theory

- ✧ Perturbative pinch singularities
- ✧ Collision kinematics
- ✧ Large  $Q^2$

→ determine the process



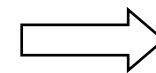
$$\frac{d\sigma}{dQ^2 dy} = \int dk_{A,T} dk_{B,T} dk_A^- dk_B^+ H_{\mu,\nu}(Q^+, Q^-, k_{A,T} + k_{B,T}) \times \text{Tr}\{\gamma^\mu \Phi_A(Q^+ - \cancel{k_B^+}, k_{A,T}, k_A^-) \gamma^\nu \Phi_B(k_B^+, k_{A,T}, Q^- - \cancel{k_A^-})\}$$

Approximation:

$$k_{A,T}^2, k_{B,T}^2 \ll Q^2$$

$$k_A^- \ll Q^-$$

$$k_B^+ \ll Q^+$$

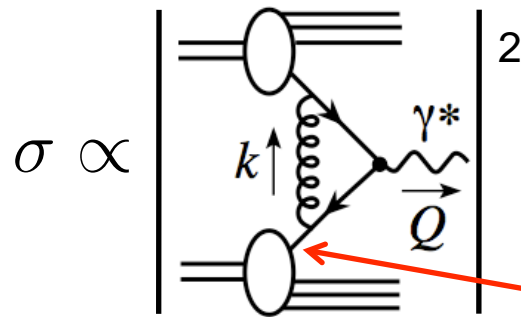


Drell-Yan  
formula



# Trouble of Gluonic Interactions

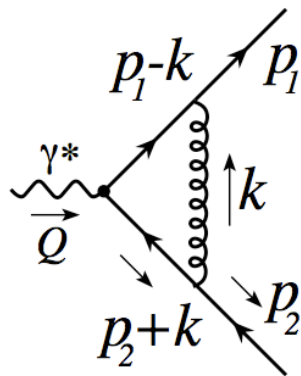
- Virtual gluonic interaction is divergent:



Virtual loop momentum  $k$ -integration can be divergent!

Dominated by on-shell parton momentum

- One-loop example (EM form factor):



$$I_{\Delta} = \int \frac{d^n k}{(2\pi)^n} \frac{1}{(k^2 + i\epsilon)((p_1 - k)^2 + i\epsilon)((p_2 + k)^2 + i\epsilon)}$$

$$= 2 \int \frac{d^n k}{(2\pi)^n} \int_0^1 \frac{d\alpha_1 d\alpha_2 d\alpha_3 \delta(1 - \alpha_1 - \alpha_2 - \alpha_3)}{[D(\alpha_1, \alpha_2, \alpha_3, k)]^3}$$

$$D(\alpha_1, \alpha_2, \alpha_3, k) = \alpha_1 k^2 + \alpha_2 (p_1 - k)^2 + \alpha_3 (p_2 + k)^2 + i\epsilon$$

$$I_{\Delta} = (-i) \left( \frac{1}{4\pi} \right)^2 \frac{1}{Q^2} \left( \frac{4\pi\mu^2}{-Q^2 - i\epsilon} \right)^{\epsilon} \Gamma(1 + \epsilon) \frac{B(-\epsilon, 1 - \epsilon)}{-\epsilon} \longrightarrow \frac{1}{\epsilon^2} \quad \text{Divergent!}$$

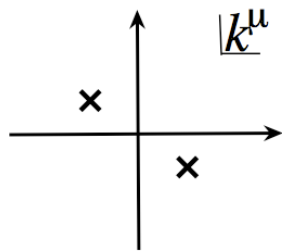
# Singularities and Divergences

## □ Singularities:

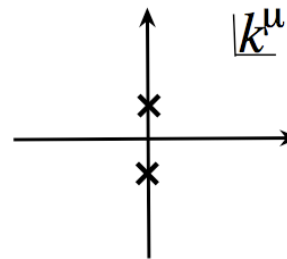
✧ Divergences from:  $D(\alpha_1, \alpha_2, \alpha_3, k) = \alpha_1 k^2 + \alpha_2(p_1 - k)^2 + \alpha_3(p_2 + k)^2 + i\epsilon = 0$

✧  $D(\alpha_1, \alpha_2, \alpha_3, k)$  is quadratic in each component of  $k^\mu$

⇒ Two poles for each component of  $k^\mu$  - contour (1-up, 1-down)



No pinched poles  
No real divergence



Pinched poles  
Trouble!

## □ Conditions for pinched poles:

$$\begin{cases} D(\alpha_1, \alpha_2, \alpha_3, k) = 0 \\ \frac{\partial}{\partial k^\mu} D(\alpha_1, \alpha_2, \alpha_3, k) = 0 \quad \text{for } \mu = 0, 1, 2, 3 \end{cases}$$

Also known as (or equivalent to) the Landau Equations

$$\Rightarrow \begin{cases} \alpha_1 k^2 + \alpha_2(p_1 - k)^2 + \alpha_3(p_2 + k)^2 = 0 \\ \alpha_1 k^\mu - \alpha_2(p_1 - k)^\mu + \alpha_3(p_2 + k)^\mu = 0 \end{cases}$$

No pinched pole for  $\alpha_i$

# Solutions of Landau Equations

□ Landau equations:

$$\begin{cases} \alpha_1 k^2 + \alpha_2 (p_1 - k)^2 + \alpha_3 (p_2 + k)^2 = 0 \\ \alpha_1 k^\mu - \alpha_2 (p_1 - k)^\mu + \alpha_3 (p_2 + k)^\mu = 0 \end{cases}$$

Solution (1):

$$k^\mu = 0, \quad \frac{\alpha_2}{\alpha_1} = \frac{\alpha_3}{\alpha_1} = 0$$

Pinched Infrared divergence!

Solution (2):

$$k^\mu = x p_1^\mu, \quad \alpha_3 = 0, \quad \alpha_1 x = \alpha_2 (1 - x), \quad 0 < x < 1$$

Pinched collinear divergence!

Solution (3):

$$k^\mu = -x' p_2^\mu, \quad \alpha_2 = 0, \quad \alpha_1 x' = \alpha_3 (1 - x'), \quad 0 < x' < 1$$

Pinched collinear divergence!

□ Note:

- ✧ Having pinched singularity is a necessary condition for divergence
- ✧ Possible extra convergence from the numerator
- ✧ Divergent, but, power suppressed – high twist contribution

# Infrared Power Counting

## □ Pinch surface:

A surface in the full phase-space of  $d^4k$ , on which the  $k$  is pinched

- ✧ Intrinsic variable – the component of the  $k$  on the pinch surface
- ✧ Normal variable – the component of the  $k$  out of the pinched surface

## □ Rescale all normal variables:

$k_j \equiv \lambda^{a_j} K$      $a_j = 1, 2, \dots$  (or  $\frac{1}{2}, 1, \dots$ )     $K$  is a hard scale

The momentum  $k^\mu$  moves to the pinch surface when  $\lambda \rightarrow 0$

**Ex:** In C.M. frame:  $p_1^\mu = (p_1^+, 0^-, 0_\perp)$ ,  $p_2^\mu = (0^+, p_2^-, 0_\perp)$ , and  $p_1^+ = p_2^- = \sqrt{Q^2}/2$ ,

If  $k^\mu \parallel p_1^\mu$ , rescale  $k^\mu$  as  $k^+ \sim \sqrt{Q^2}$ ,  $k^- \sim \lambda^2 \sqrt{Q^2}$ ,  $k_\perp \sim \lambda \sqrt{Q^2}$

## □ Keep the lowest power in $\lambda$ for each denominator:

$\ell(k_j, \lambda)^2 \equiv \lambda^{A_j} f(k_j) + \dots$     **Ex:**  $(p_2 + k)^2 = 2p_2 \cdot k + k^2 \rightarrow \lambda^0(2p_2 \cdot k)$

## □ Degree of divergence – power of $\lambda$ :    $S_I$ = power from numerator

$$n_s = \sum_j a_j - \sum_i A_i + S_I \quad n_s > 0 (\text{IR finite}), \quad n_s \leq 0 (\text{IR divergent})$$

# Physics of the Pinched Singularities

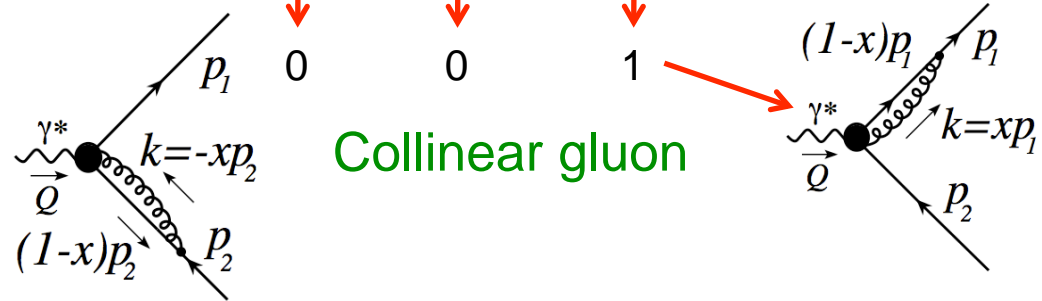
□ Pinched singularity = long-lived partonic states:

✧ Collinear divergence:

If  $k^\mu \parallel p_1^\mu$ , rescale  $k^\mu$  as  $k^+ \sim \sqrt{Q^2}$ ,  $k^- \sim \lambda^2 \sqrt{Q^2}$ ,  $k_\perp \sim \lambda \sqrt{Q^2}$

$$\Rightarrow \begin{cases} D = k^2 (p_1 - k)^2 (p_2 + k)^2 \sim k^2 (-2p_1 \cdot k)(2p_2 \cdot k) \longrightarrow \lambda^2 \cdot \lambda^2 \cdot 1 = \lambda^4 \\ d^4k \longrightarrow \lambda^4 \end{cases}$$

Similarly for  $k^\mu \parallel p_2^\mu$ ,

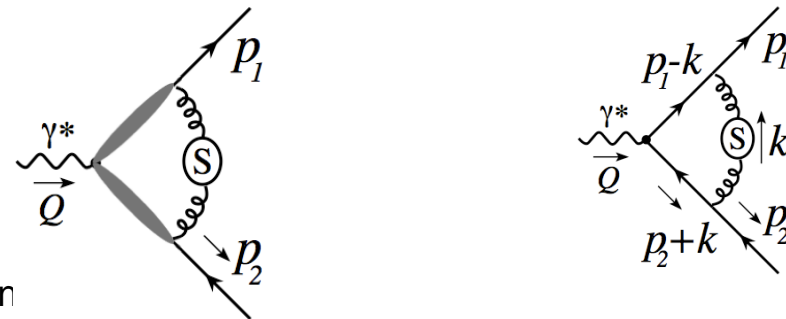


✧ Infrared divergence:

If  $k^\mu \rightarrow 0$ , rescale  $k^\mu$  as  $k^+ \sim k^- \sim k_\perp \sim \lambda \sqrt{Q^2}$

$$\Rightarrow \begin{cases} D = k^2 (p_1 - k)^2 (p_2 + k)^2 \sim k^2 (-2p_1 \cdot k)(2p_2 \cdot k) \longrightarrow \lambda^2 \cdot \lambda \cdot \lambda = \lambda^4 \\ d^4k \longrightarrow \lambda^4 \end{cases}$$

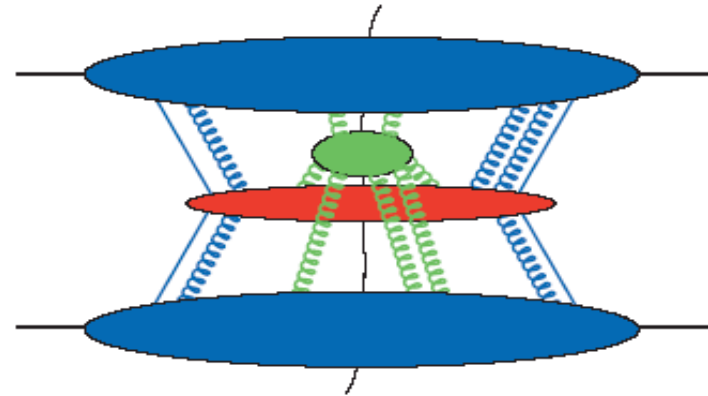
□ General pinch surface:



# QCD Factorization for “Drell-Yan”

## □ Analysis of leading (pinch or singular) integration regions:

Power counting in partons' momentum scales gives the following separate regions:



**Hard** (Large  $P_T$  or way off shell) – infrared safe

**Collinear** (to A or to B, small  $P_T$ ) – could be a trouble

**Soft** (All components small, includes “Glauber.”)

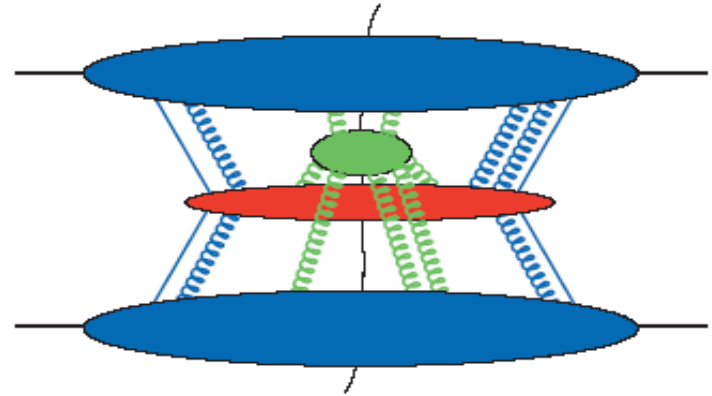
– a big trouble (took 20 years to solve the problem)

↑  $|k^+ k^-| \ll k_\perp^2$

# Eikonalization of Collinear Gluons

## □ Collinear gluons:

The extra collinear gluons could be a big problem because the factorization formula contemplates one parton from one hadron



## □ Solution:

- ✧ transversely polarized gluons are power suppressed
- ✧ longitudinally polarized gluons have  $\varepsilon^\mu(k) \propto k^\mu$

Their effect can be approximated as shown with eikonal lines, with its direction,  $u$ , in the direction opposite to the hadron momentum:

$u = \text{"-"} for hadron A, and  $u = \text{"+"}$  for hadron B$

- ✧ Feynman rule for the interaction with eikonal line:

$$\text{Propagator} = \frac{i}{k \cdot u + i\epsilon} \quad \text{Vertex} = -ig t_a u^\mu$$

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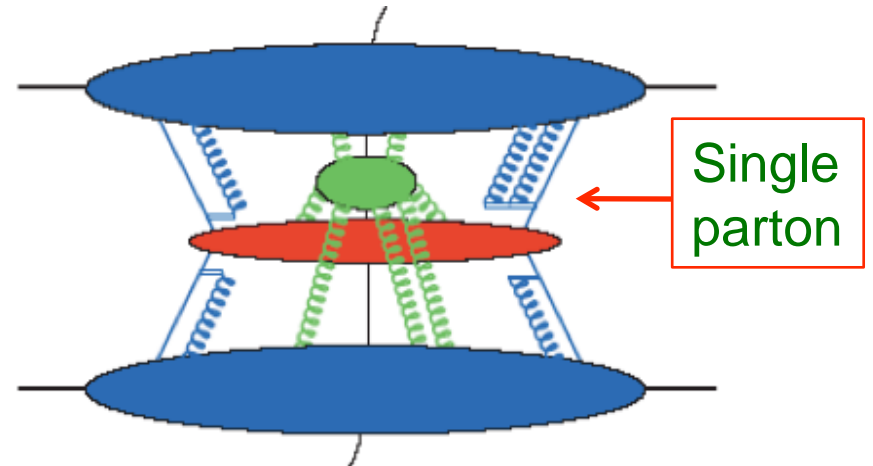
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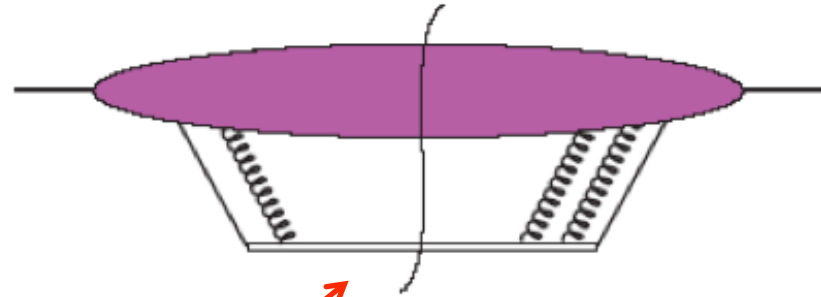




# Factorization of Parton Distribution Functions

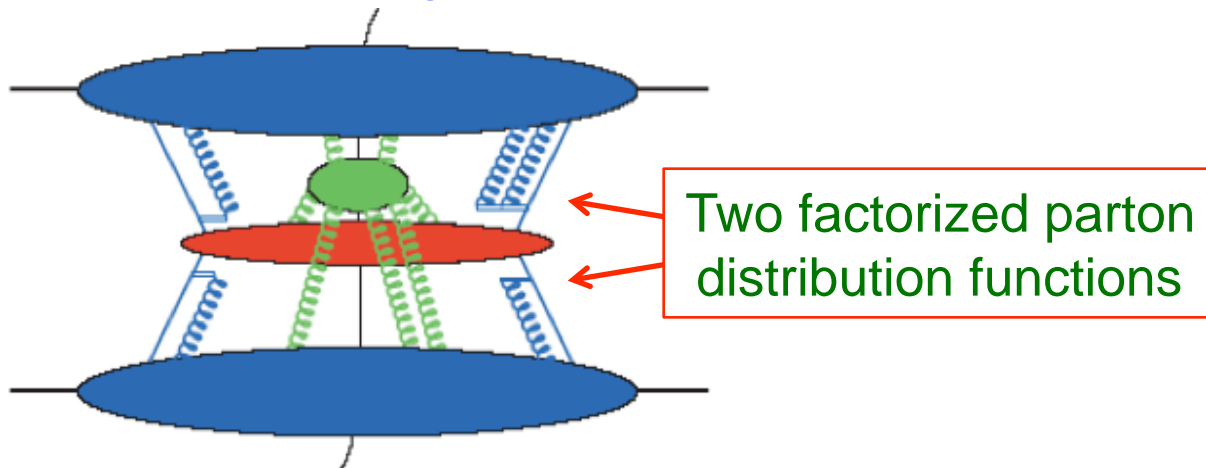
## □ Parton distribution function:

Takes care of collinear  
gluon interaction by the  
gauge link of PDF



$$\phi_{q/h}(x, \mu^2) = \int \frac{dy^-}{2\pi} e^{ixp^+ y^-} \langle h(p) | \bar{\psi}_q(0) \left[ \mathcal{P} e^{-ig \int_0^{y^-} d\eta^- A^+(\eta^-)} \right] \frac{\gamma^+}{2p^+} \psi_q(y^-) | h(p) \rangle$$

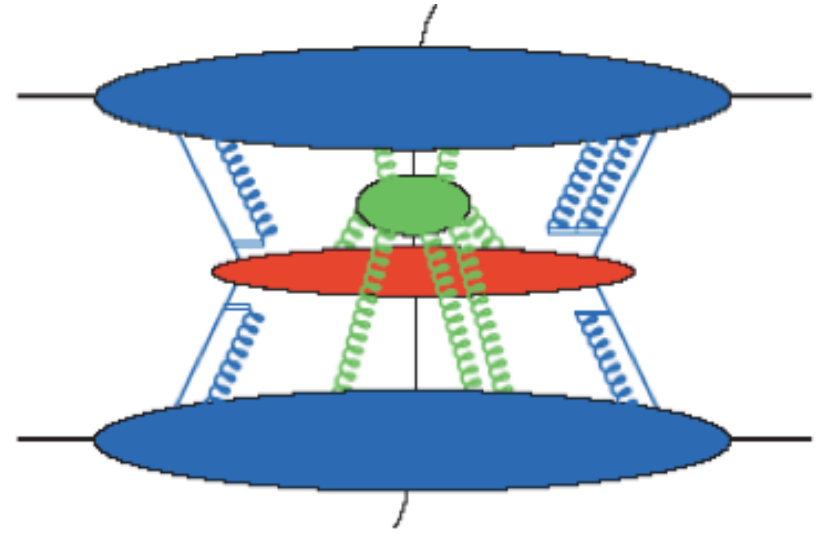
## □ Factorization of collinear gluons:



# Trouble from Soft Gluons

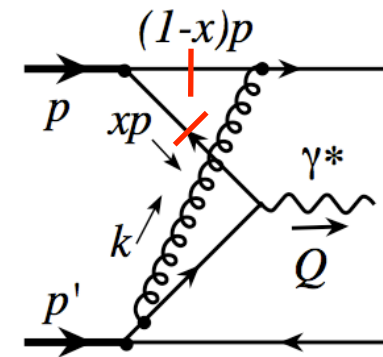
## Interaction between active quark and spectator quark:

A soft gluon exchanged from a spectator quark in hadron A to the active quark in hadron B can rotate the quark's color, and thus, keep it from annihilating



## Additional pinch singularity:

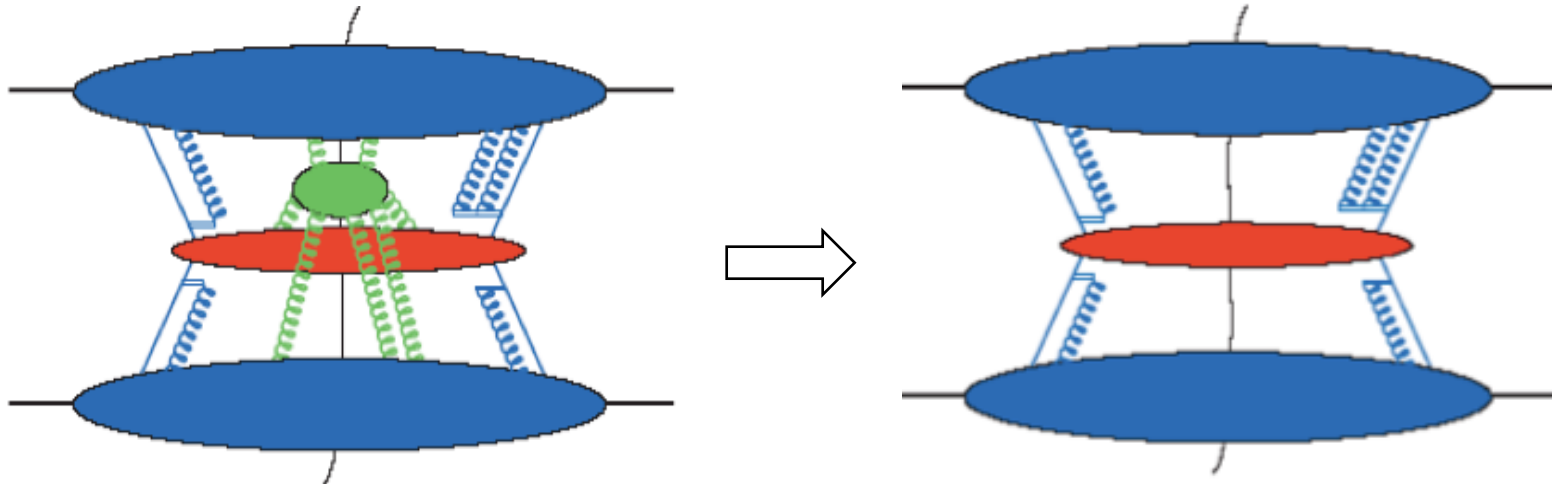
Soft gluon approximation (with eikonal lines) requires the active parton to have large “+” (or “-”) momentum. But, the contours of these momenta can be trapped in “too small” region



$$(xp + k)^2 + i\epsilon \propto k^- + i\epsilon$$

$$((1-x)p - k)^2 + i\epsilon \propto k^- - i\epsilon$$

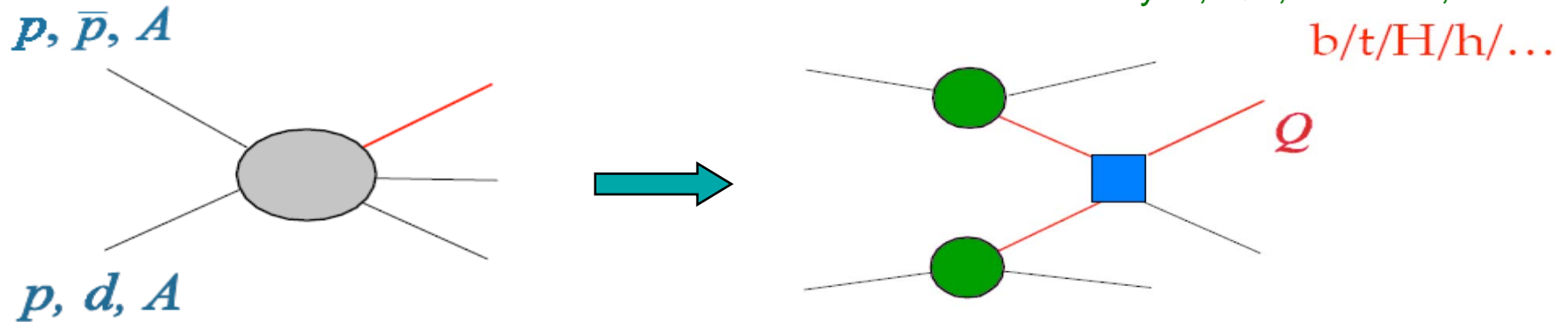
## Soft gluons take care of themselves



- Sum over all final-state and use of unitarity to remove all poles from upper half plane for  $k^-$ -integration (or lower half plane for  $k^+$ -integration) – no-pinched poles
- Soft gluon approximation and gauge invariance to decouple soft gluon interaction from the jet-functions into the eikonal lines
- Unitarity to remove all decoupled soft-gluon interactions

# Three Hadrons in a Single Hard Collision

Nayak, Qiu, Sterman, 2006



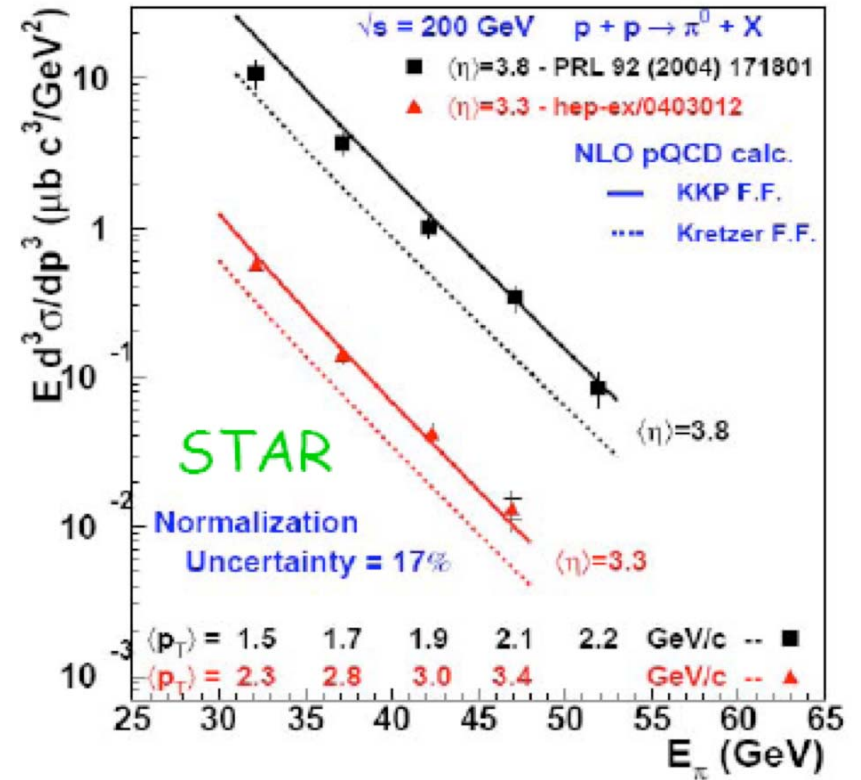
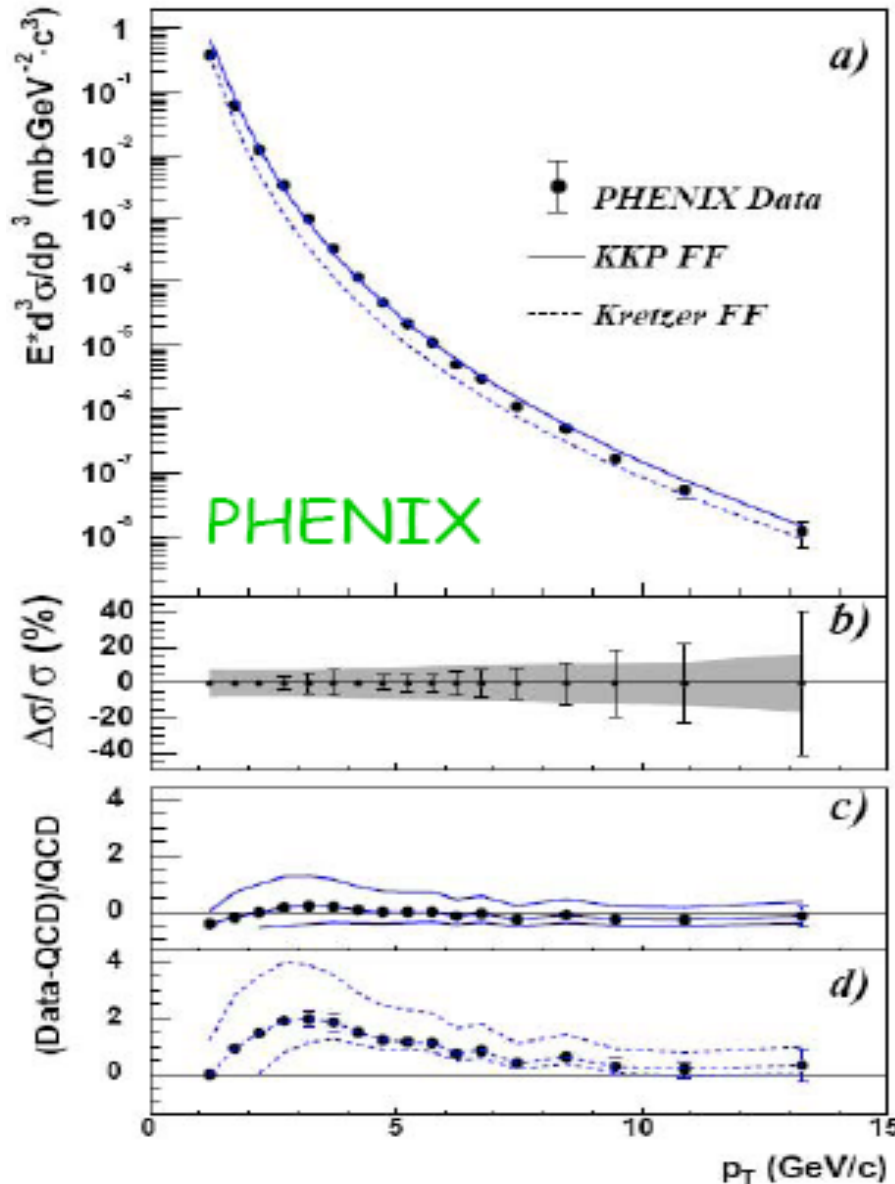
$$\frac{d\sigma_{AB \rightarrow C+X}(p_A, p_B, p)}{dy dp_T^2} = \sum_{a,b,c} \phi_{A \rightarrow a}(x, \mu_F^2) \otimes \phi_{B \rightarrow b}(x', \mu_F^2) \otimes \frac{d\hat{\sigma}_{ab \rightarrow c+X}(x, x', z, y, p_T^2, \mu_F^2)}{dy dp_T^2} \otimes D_{c \rightarrow C}(z, \mu_F^2)$$

□ Fragmentation function:  $D_{c \rightarrow C}(z, \mu_F^2)$

□ Choice of the scales:  $\mu_{\text{Fac}}^2 \approx \mu_{\text{ren}}^2 \approx p_T^2$

To minimize the size of logs in the coefficient functions

# High $p_T$ Hadron Production at RHIC



Collinear factorization  
at NLO works well  
at RHIC energies

## Processes with two Large Scales

$$Q_1^2 \gg Q_2^2 \gg \Lambda_{\text{QCD}}^2$$

- We could choose:  $\mu = Q_1$  or  $Q_2$ , or somewhere between
  - $\alpha_s(Q_1^2)$  is small,  $\alpha_s(Q_1^2) \ln(Q_1^2 / Q_2^2)$  is not necessary small  
Cannot remove the logarithms by choosing a proper  $m$
  - Resummation of the logarithms is needed

- For a massless theory, we can get two powers of the logarithms at each order in perturbation theory:

$$\alpha_s(Q_1^2) \ln^2(Q_1^2 / Q_2^2)$$

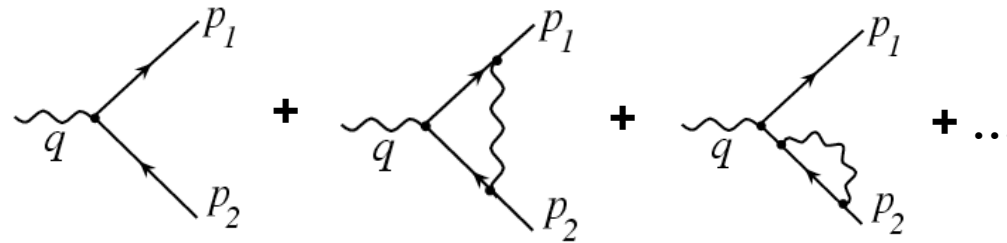
due to an overlap region of IR and CO divergences

- Examples:

- ✧ pT distribution of heavy boson (Higgs,  $W_{\pm}$ , Z,  $\gamma^*$ , ...) production
- ✧ jet-momentum imbalance in  $e^+e^-$ ,  $e^+h$ , and  $hh$  collisions

# Double Logarithms

□ Consider electromagnetic form factor:



$$\Gamma_\mu(q^2, \epsilon) = -ie\mu^\epsilon \bar{u}(p_1)\gamma_\mu v(p_2) \rho(q^2, \epsilon)$$

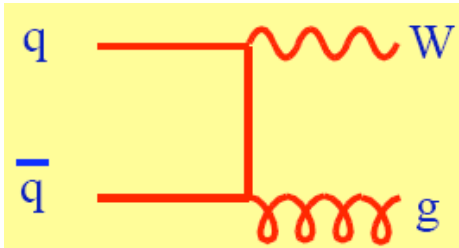
□ For massless quark at one loop:

$$\begin{aligned} \rho(q^2, \epsilon) &= -\frac{\alpha_s}{2\pi} C_F \left( \frac{4\pi\mu^2}{-q^2 - i\epsilon} \right)^\epsilon \frac{\Gamma^2(1-\epsilon)\Gamma(1+\epsilon)}{\Gamma(1-2\epsilon)} \left\{ \frac{1}{(-\epsilon)^2} - \frac{3}{2(-\epsilon)} + 4 \right\} \\ &= 1 - \frac{\alpha_s}{4\pi} C_F \ln^2(q^2/\mu^2) + \dots \end{aligned}$$

Overlap of IR and CO singularities  $\longrightarrow$  Double logarithms

- ❖ known as Sudakov double logarithms
- ❖ common in a massless theory

# Leading Double Log Contribution to Drell-Yan



LO Differential  $Q_T$ -distribution as  $Q_T \rightarrow 0$  :

$$\frac{d\sigma}{dy dQ_T^2} \approx \left( \frac{d\sigma}{dy} \right)_{\text{Born}} \times 2C_F \left( \frac{\alpha_s}{\pi} \right) \frac{\ln(Q^2/Q_T^2)}{Q_T^2} \Rightarrow \infty$$

$$\int_0^{Q^2} \frac{d\sigma}{dy dQ_T^2} dQ_T^2 \approx \left( \frac{d\sigma}{dy} \right)_{\text{Born}} + O(\alpha_s) \quad \text{with } Q^2 \approx M_W^2$$

Integrated  $Q_T$ -distribution:

$$\int_0^{Q_T^2} \frac{d\sigma}{dy dp_T^2} dp_T^2 \equiv \left[ \int_0^{Q^2} - \int_{Q_T^2}^{Q^2} \right] \frac{d\sigma}{dy dp_T^2} dp_T^2$$

$$\approx \left( \frac{d\sigma}{dy} \right)_{\text{Born}} \times \left[ 1 - \int_{Q_T^2}^{Q^2} 2C_F \frac{\alpha_s}{\pi} \frac{\ln(Q^2/p_T^2)}{p_T^2} dp_T^2 \right] = \left( \frac{d\sigma}{dy} \right)_{\text{Born}} \times \left[ 1 - C_F \frac{\alpha_s}{\pi} \ln^2(Q^2/Q_T^2) \right]$$

$$\approx \left( \frac{d\sigma}{dy} \right)_{\text{Born}} \times \exp \left[ -C_F \frac{\alpha_s}{\pi} \ln^2(Q^2/Q_T^2) \right]$$

Effect of gluon emission

Assume this exponentiates



# Resummed $Q_T$ -distribution

- Differentiate the integrated  $Q_T$ -distribution:

$$\frac{d\sigma}{dy dQ_T^2} \approx \left( \frac{d\sigma}{dy} \right)_{\text{Born}} \times 2C_F \left( \frac{\alpha_s}{\pi} \right) \frac{\ln(Q^2/Q_T^2)}{Q_T^2} \times \exp \left[ -C_F \left( \frac{\alpha_s}{\pi} \right) \ln^2(Q^2/Q_T^2) \right] \Rightarrow 0$$

as  $Q_T \rightarrow 0$

- compare to the explicit LO calculation:

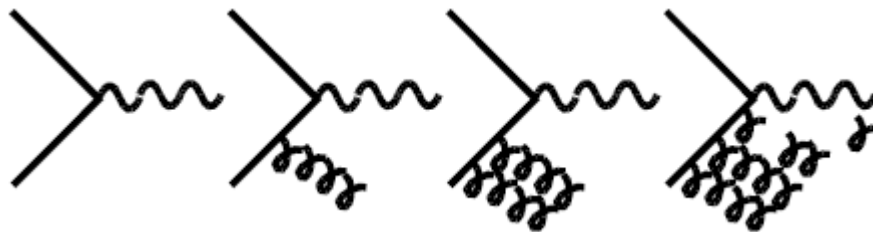
$$\frac{d\sigma}{dy dQ_T^2} \approx \left( \frac{d\sigma}{dy} \right)_{\text{Born}} \times 2C_F \left( \frac{\alpha_s}{\pi} \right) \frac{\ln(Q^2/Q_T^2)}{Q_T^2} \Rightarrow \infty$$

$Q_T$ -spectrum (as  $Q_T \rightarrow 0$ ) is completely changed!

- We just resummed (exponentiated) an infinite series of soft gluon emissions – double logarithms

$$e^{-\alpha_s L^2} \approx 1 - \alpha_s L^2 + \frac{(\alpha_s L^2)^2}{2!} - \frac{(\alpha_s L^2)^3}{3!} + \dots$$

$$L \propto \ln(Q^2/Q_T^2)$$



Soft gluon emission treated as uncorrelated

## Still a Wrong $Q_T$ -distribution

□ Experimental fact:  $\frac{d\sigma}{dydQ_T^2} \Rightarrow \text{finite [neither } \infty \text{ nor } 0!] \text{ as } Q_T \rightarrow 0$

- Double Leading Logarithms Approximation (DLLA)

radiated gluons are both soft and collinear with strong ordering in their transverse momenta

- Strong ordering in transverse momenta in DLLA

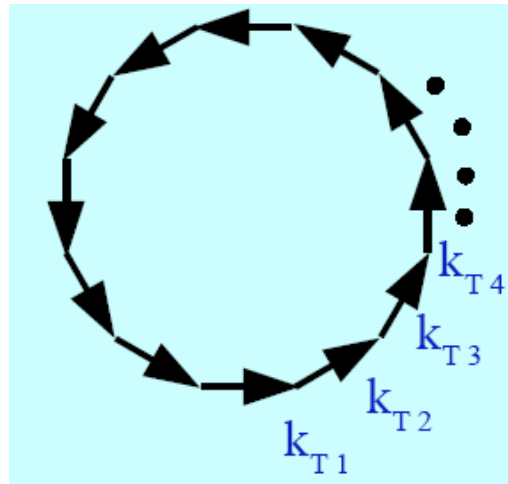
- overly constrains the phase space of the emitted gluons
- ignores the overall transverse momentum conservation

$\Rightarrow$  DLLA over suppresses small  $Q_T$  region

**Resummation of uncorrelated soft gluon emission  
leads to too strong suppression at  $Q_T=0$**

## □ Why?

Particle can receive many finite  $k_T$  kicks  
via soft gluon radiation yet still have  $Q_T=0$   
– Vector sum!



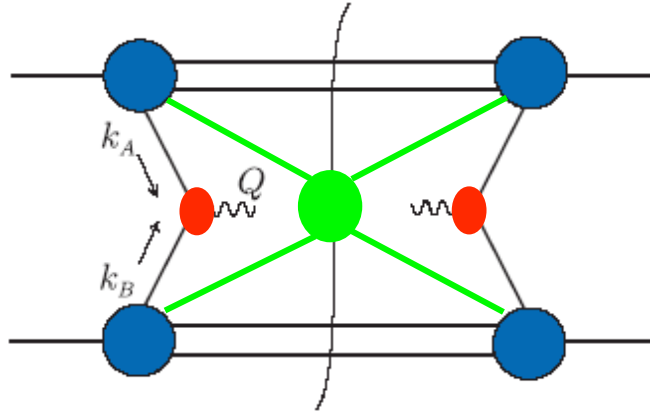
□ Subleading logarithms are equally important at  $Q_T=0$

## □ Solution:

impose 4-momentum conservation at each step of  
soft gluon resummation

# kT-factorization and Resummation

□ Leading order  $K_T$ -factorized cross section:



$$\frac{d\sigma_{AB}}{dQ^2 dQ_T^2} = \sum_f \int d\xi_a d\xi_b \int \frac{d^2 k_{A_T} d^2 k_{B_T} d^2 k_{s,T}}{(2\pi)^6} \\ \times P_{f/A}(\xi_a, k_{A_T}) P_{\bar{f}/B}(\xi_b, k_{B_T}) H_{\bar{f}f}(Q^2) S(k_{s,T}) \\ \times \delta^2(\vec{Q}_T - \vec{k}_{A_T} - \vec{k}_{B_T} - \vec{k}_{s,T})$$

$$\delta^2(\vec{Q}_T - \prod_i \vec{k}_{i,T}) = \frac{1}{(2\pi)^2} \int d^2 b e^{i\vec{b} \cdot \vec{Q}_T} \prod_i e^{-i\vec{b} \cdot \vec{k}_{i,T}}$$

□ Factorized cross section in “impact parameter space”:

$$\frac{d\sigma_{AB}(Q, b)}{dQ^2} = \sum_f \int d\xi_a d\xi_b \bar{P}_{f/A}(\xi_a, b, n) \bar{P}_{\bar{f}/B}(\xi_b, b, n) H_{\bar{f}f}(Q^2) U(b, n)$$

□ Resummation: Two equations for two types log's to resum

$$\mu_{\text{ren}} \frac{d\sigma}{d\mu_{\text{ren}}} = 0 \qquad n^\nu \frac{d\sigma}{dn^\nu} = 0$$

# CSS b-space Resummation Formalism

- Solve those two equations and transform back to  $Q_T$ :

$$\frac{d\sigma_{AB}}{dQ^2 dQ_T^2} \equiv \frac{1}{(2\pi)^2} \int d^2b \, e^{i\vec{b} \cdot \vec{Q}_T} \tilde{W}_{AB}(b, Q) + Y_{AB}(Q_T^2, Q^2)$$

resummed
No large log's

$$= \frac{1}{(2\pi)^2} \int_0^\infty db \, J_0(bQ_T) \, b \tilde{W}_{AB}(b, Q) + \left[ \frac{d\sigma_{AB}^{(\text{Pert})}}{dQ^2 dQ_T^2} - \frac{d\sigma_{AB}^{(\text{Asym})}}{dQ^2 dQ_T^2} \right]$$

- b-space distribution:  $\tilde{W}_{AB}(b, Q) \equiv \sum_{i,j} \tilde{W}_{ij}(b, Q) \hat{\sigma}_{ij}(Q)$

The  $Q_T$ -distribution is determined by the b-space function:

$$\frac{\partial}{\partial \ln Q^2} \tilde{W}_{ij}(b, Q) = [K(b\mu, \alpha_s) + G(Q/\mu, \alpha_s)] \tilde{W}_{ij}(b, Q) \quad (1)$$

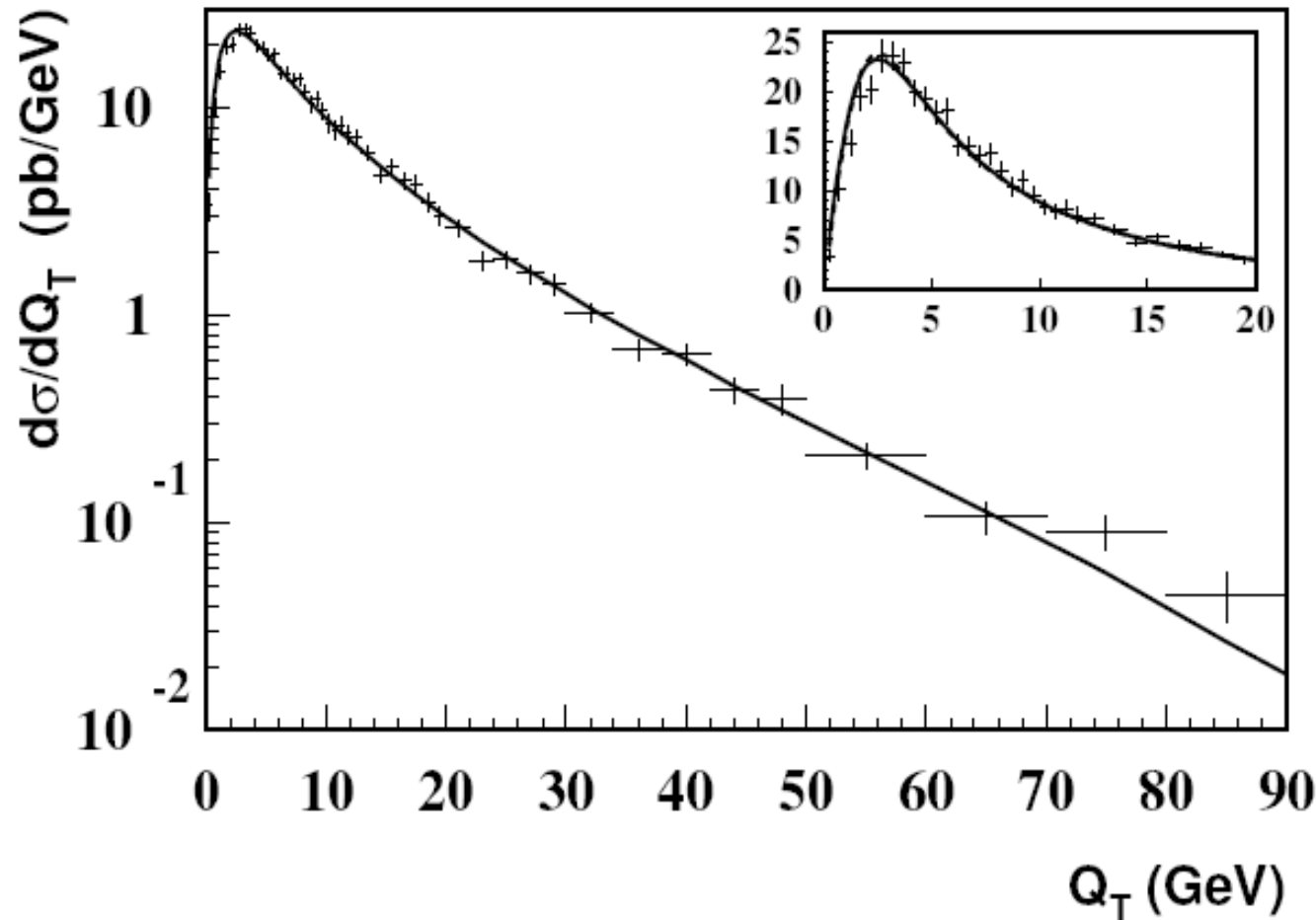
$$\frac{\partial}{\partial \ln \mu^2} K(b\mu, \alpha_s) = -\frac{1}{2} \gamma_K(\alpha_s(\mu)) \quad (2)$$

$$\frac{\partial}{\partial \ln \mu^2} G(Q/\mu, \alpha_s) = \frac{1}{2} \gamma_K(\alpha_s(\mu)) \quad (3)$$

# Success of the Resummation Formalism

- Fermilab CDF data on  $Z$  at  $\sqrt{S} = 1.8$  TeV

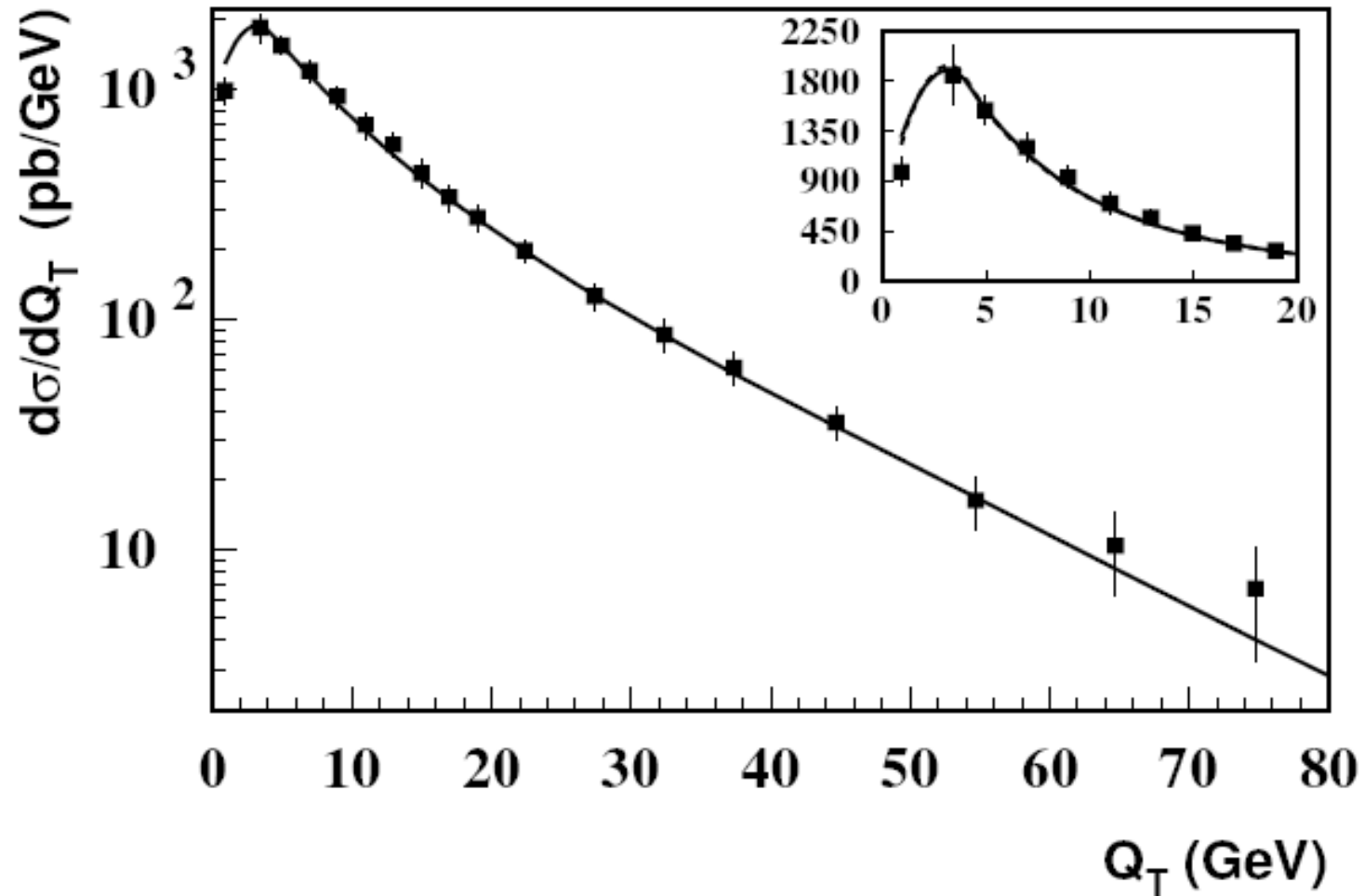
Qiu, Zhang, PRL 2001



Non-perturbative power correction is very small, excellent prediction!

- Fermilab D0 data on  $W$  at  $\sqrt{S} = 1.8$  TeV

Qiu, Zhang, PRL 2001



No free fitting parameter!

# Hadronic Upsilon Production

□ Process:  $A(p_A) + B(p_B) \rightarrow b\bar{b}(Q)[\rightarrow \Upsilon(p) + \bar{X}] + X'$

□ Similarities and differences from W/Z, or Higgs

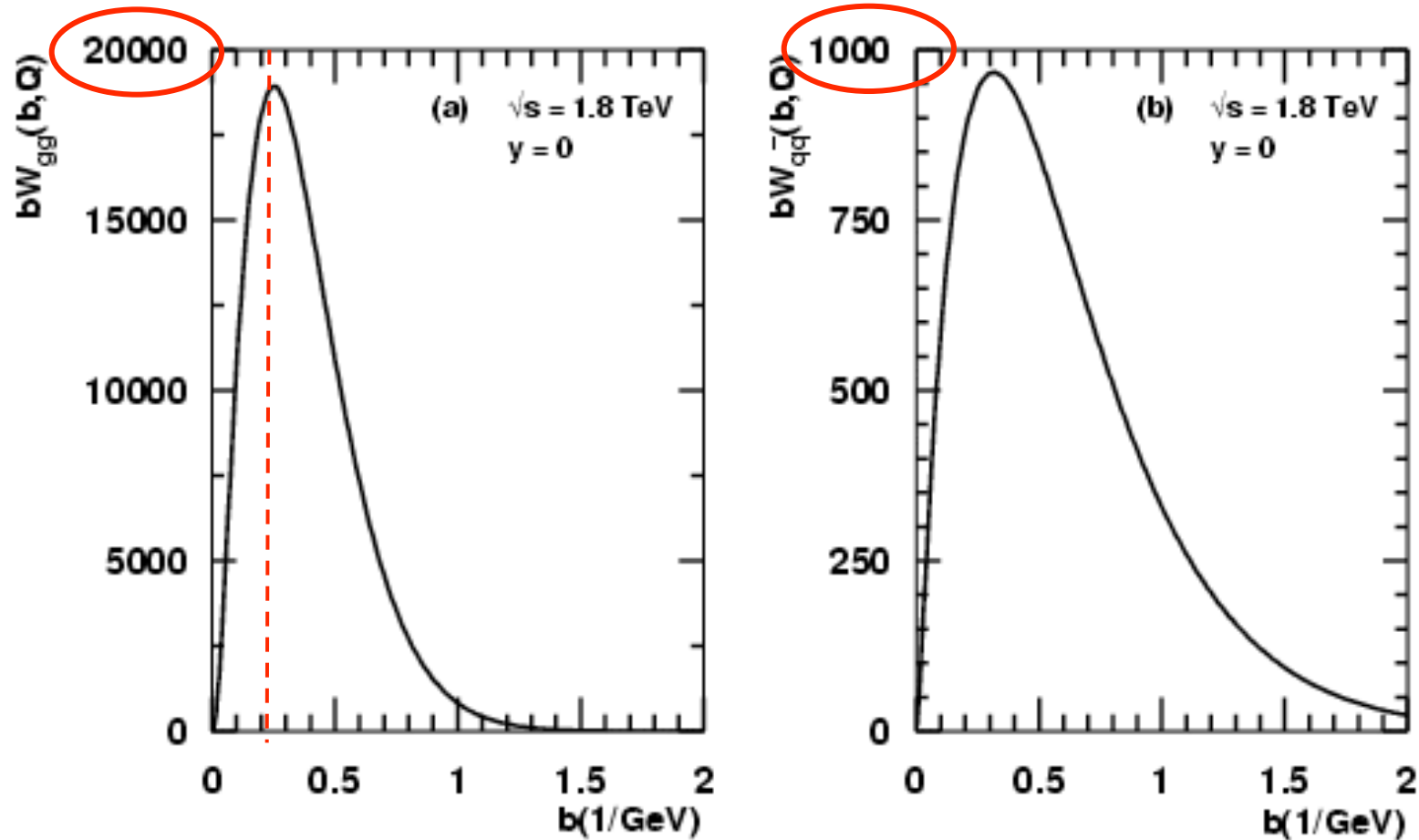
- ❖ Events are dominated by low  $Q_T$  region
- ❖ Gluon shower should play an important role in determine the  $Q_T$  – distribution
- ❖  $M_Y \ll M_W$ , or  $Q$  is now small
- ❖ Heavy b-quark pair is not necessary color singlet
- ❖ Additional nonperturbative physics from b-quark to Upsilon

□ Key approximation:

Gluon radiation from heavy quarks has a less effect on the  $Q_T$ -distribution than that from radiation of initial-state light partons



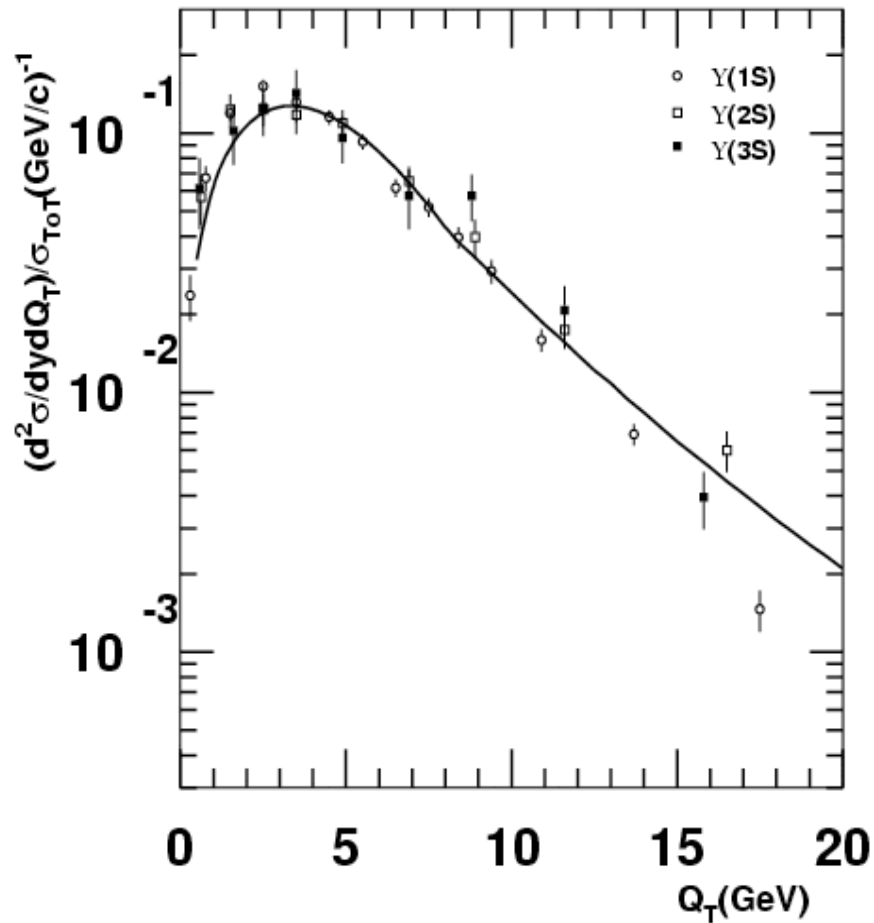
# The b-space Distribution



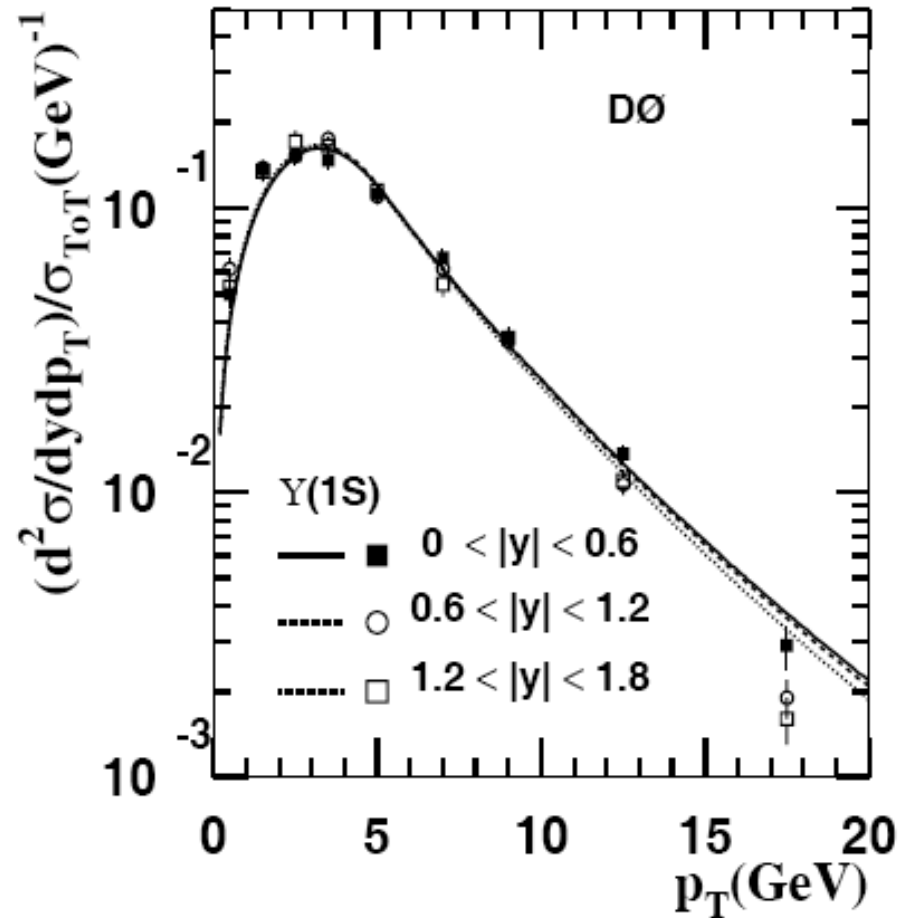
- ✧ Gluon-gluon dominate the production
- ✧ Dominated by perturbative contribution – small  $b$  region!

# Upsilon Production at Tevatron

Berger, Qiu, Wang



CDF Run-I data



DO Run-II data

# When is $k_T$ -factorization needed?

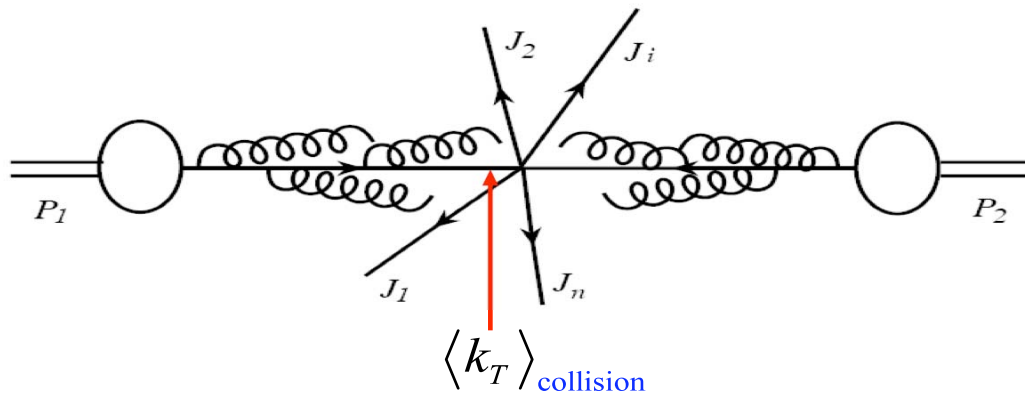
## □ Recall: Necessary condition for QCD factorization:

Scattering is dominated by the region of the phase space where the scattering partons are almost on-shell

$$k^2 = 0 \Rightarrow k^\mu = x p^\mu + \frac{k_T^2}{2x p \cdot n} n^\mu + k_T^\mu$$

## □ Need $k_T$ -factorization if $\langle k_T \rangle_{\text{collision}} \sim x p^+$

## □ Need $k_T$ -factorization if $\langle k_T \rangle_{\text{Collision}} \sim Q_{\text{observed}} \ll Q_{\text{Hard}}$



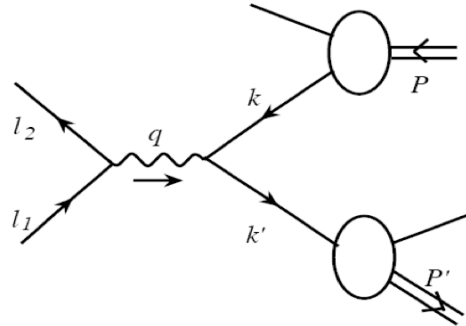
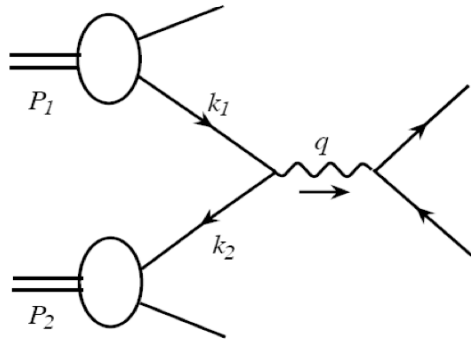
$$\langle k_T \rangle_{\text{collision}} \sim \ln(Q_{\text{Hard}}) \propto \ln(\sqrt{S})$$

- ❖ Leading logarithm included in DGLAP to take care of the rate of partonic flux
- ❖ kinematics in transverse direction is approximated
- ❖  $\langle k_T \rangle / Q$  is also neglected

# $k_T$ -factorization can be violated

- Leading power  $k_T$ -factorization is valid for Drell-Yan and SIDIS process

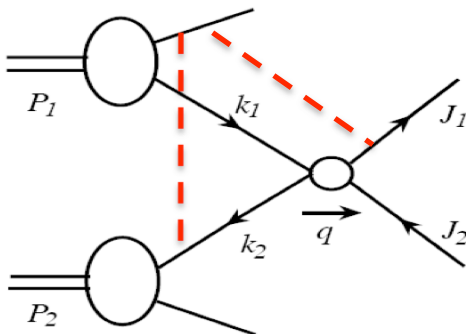
Collins, Soper, ...  
Ji, Ma, Yuan, ...



Key: color singlet boson  
“universal” TMD

- Leading power  $k_T$ -factorization is violated in multiple jet production if jet momentum imbalance is of order  $k_T$

Collins, Qiu, ...



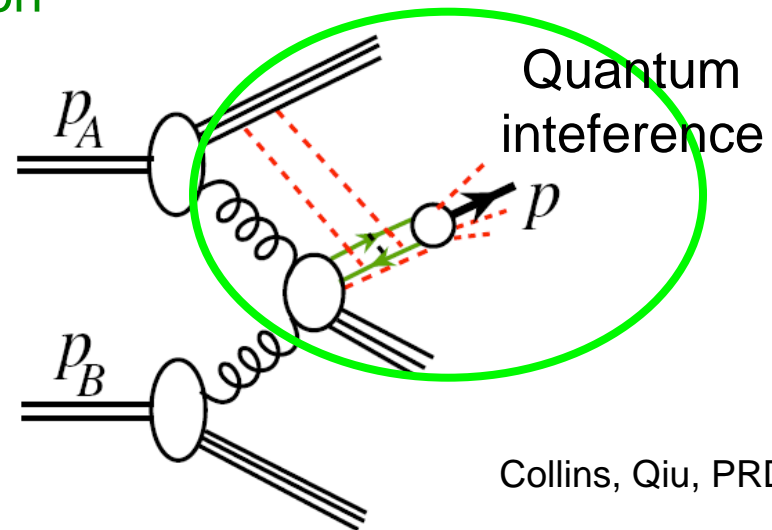
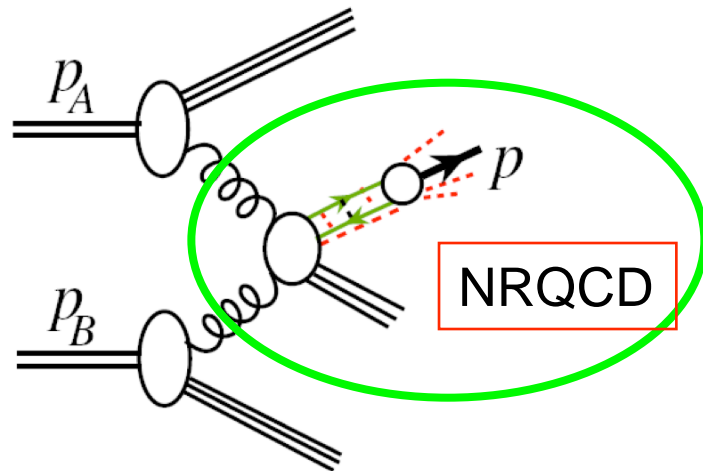
- ❖ Shown by a counter example
- ❖ Affect both spin averaged and spin dependent observables

Key: color flow,  
non-universal TMD

# Hadronic Heavy Quarkonium Production

- ❑ NRQCD factorization has not been proved theoretically
- ❑ NRQCD Factorization fails for low  $p_T$ :

Low  $p_T$  requires pQCD  $k_T$ -factorization



Collins, Qiu, PRD 2007

- ❑ NRQCD Factorization might work for large  $p_T$

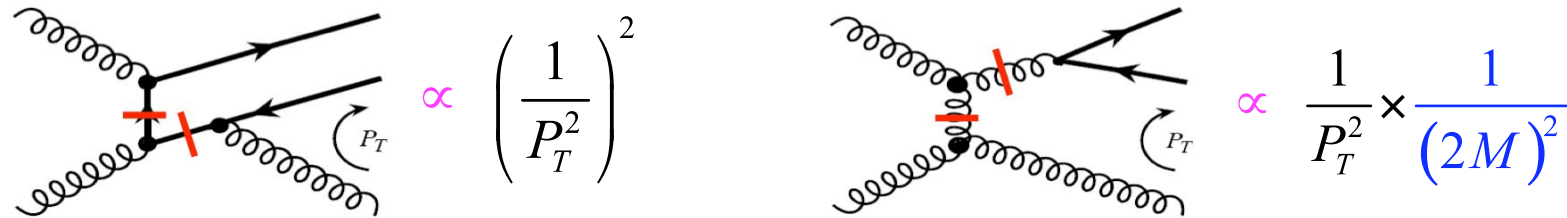
Spectator interactions are suppressed by  $(1/p_T)^n$

Factorization is necessary for the predictive power

# Combination of pQCD and NRQCD

## Heavy quarkonium production when $P_T \gg 2M$

Also see Lansberg  
In the workshop

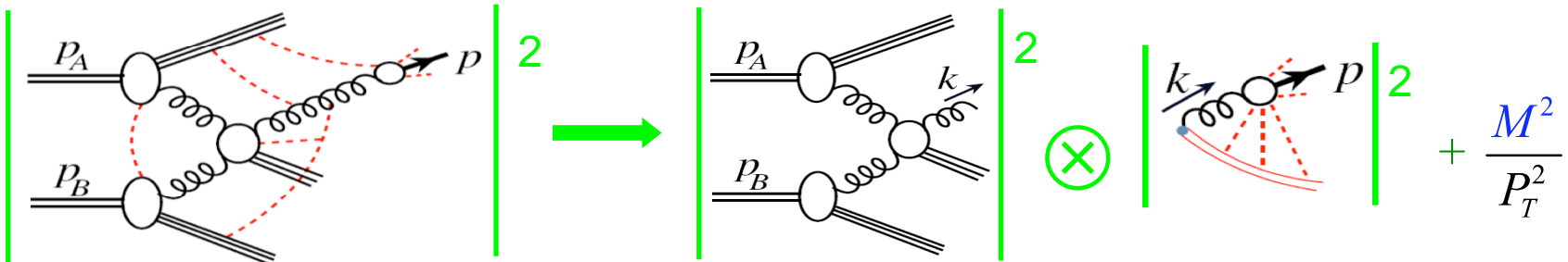


$$\propto \left( \frac{1}{P_T^2} \right)^2 \quad \propto \frac{1}{P_T^2} \times \frac{1}{(2M)^2}$$

When  $P_T^2 \gg (2M)^2$ , fragmentation contribution dominates the production

## Combination of pQCD and NRQCD factorization (not proved):

❖ pQCD factorization to isolate heavy quarkonium physics into the universal fragmentation function



$$\left| \text{Diagram 1} \right|^2 \rightarrow \left| \text{Diagram 2} \right|^2 \otimes \left| \text{Diagram 3} \right|^2 + \frac{M^2}{P_T^2}$$

❖ NRQCD factorization to isolate non-perturbative physics of the fragmentation function into NRQCD matrix elements

## Connection to NRQCD Factorization

- Proposed NRQCD factorization:

$$d\sigma_{A+B \rightarrow H+X}(p_T) = \sum_n d\hat{\sigma}_{A+B \rightarrow c\bar{c}[n]+X}(p_T) \langle \mathcal{O}_n^H \rangle$$

- Proved pQCD factorization for single hadron production:

$$d\sigma_{A+B \rightarrow H+X}(p_T) = \sum_i d\tilde{\sigma}_{A+B \rightarrow i+X}(p_T/z, \mu) \otimes D_{H/i}(z, m_c, \mu) + \mathcal{O}(m_H^2/p_T^2)$$

- Prove NRQCD Factorization

↔ To prove:  
at  $\mu_0 \sim 2m_c$

$$D_{H/i}(z, m_c, \mu) = \sum_n d_{i \rightarrow c\bar{c}[n]}(z, \mu, m_c) \langle \mathcal{O}_n^H \rangle$$

with

- ❖  $d_{g \rightarrow c\bar{c}[n]}(z, \mu, m_c)$  safe
- ❖  $\langle \mathcal{O}_n^H \rangle$  gauge invariant and universal
- ❖ independent of the direction of the Wilson lines

- An all order proof is still lacking!

# Heavy Quarkonium Associated Production

## □ Inclusive $J/\psi$ + charm production:

$$\sigma(e^+e^- \rightarrow J/\psi c\bar{c})$$

Belle:  $(0.87_{-0.19}^{+0.21} \pm 0.17) \text{ pb}$

NRQCD-LO:  $\sim 0.07 \text{ pb}$

Kiselev, et al 1994,  
Cho, Leibovich, 1996  
Yuan, Qiao, Chao, 1997

...  
Zhang, Chao, 2007 (NLO)

## □ Ratio to light flavors:

$$\sigma(e^+e^- \rightarrow J/\psi c\bar{c})/\sigma(e^+e^- \rightarrow J/\psi X)$$

Belle:  $0.59_{-0.13}^{+0.15} \pm 0.12$

## □ Message:

Production rate of  $e^+e^- \rightarrow J/\psi c\bar{c}$  is larger than

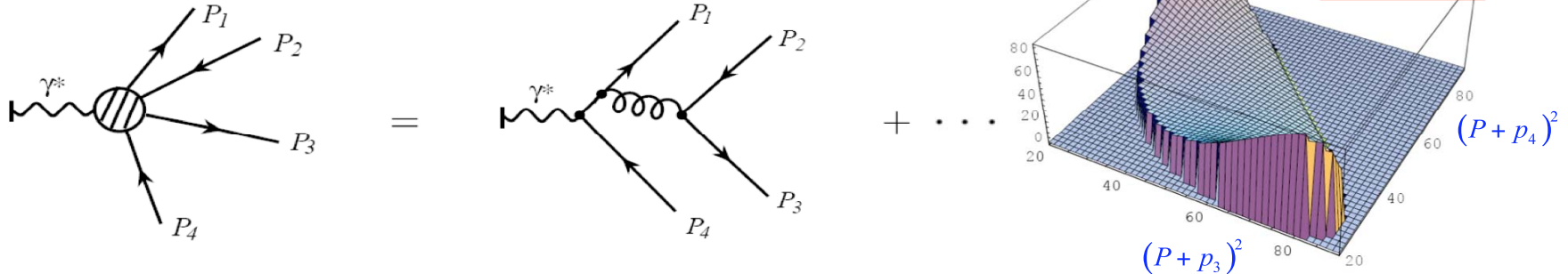
all these channels:  $e^+e^- \rightarrow J/\psi gg$ ,  $e^+e^- \rightarrow J/\psi q\bar{q}$ , ...

combined ?



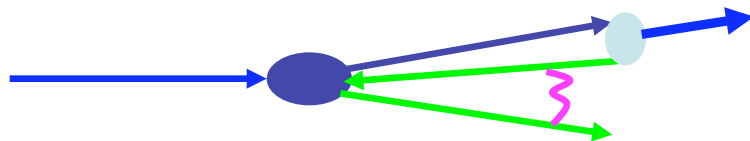
# Associated Production at B-factory

- Kinematically preferred configuration:



Production rate of a singlet charm quark pair is dominated by the phase space where  $s_3=(P_1+P_2+P_3)^2$  or  $s_4=(P_1+P_2+P_4)^2$  near its minimum

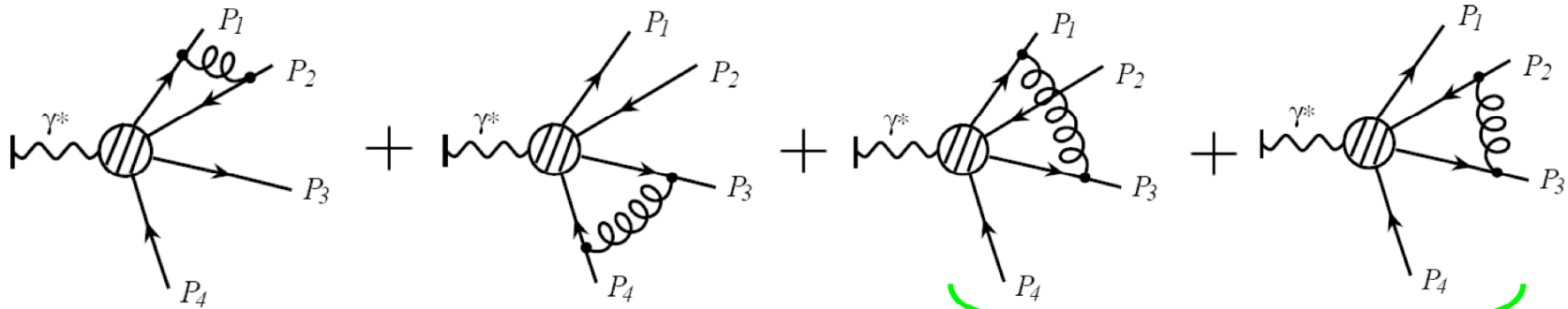
- NRQCD formalism does not apply when there are more than one heavy quark velocity involved
- Color transfer enhances associated quarkonium production



A heavy quark as a color source to enhance the transition rate for an octet pair to become a singlet pair

# Soft-gluon Enhancement – Color Transfer

## □ Soft gluons between heavy quarks:



active pair:  $P_1, P_2$ ; spectators:  $P_3, P_4$

$$\beta_{ij} \equiv \sqrt{1 - 4m^2/(P_i + P_j)^2}$$

## □ There are three heavy quark velocities:

NRQCD approach is not well defined in this region

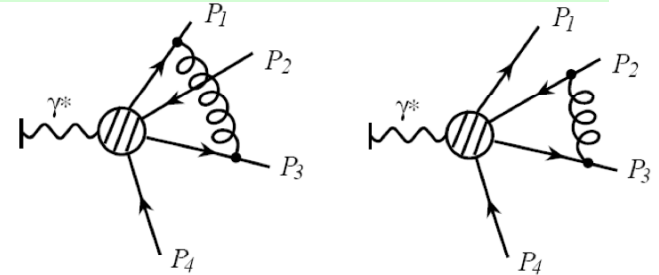
## □ Soft gluon between a heavy quark pair:

$$\begin{aligned}
 & -i g^2 \int \frac{d^D k}{(2\pi)^D} \frac{4P_i \cdot P_j}{[2P_i \cdot k + k^2 + i\epsilon][ -2P_j \cdot k + k^2 + i\epsilon][k^2 + i\epsilon]} \\
 & = \frac{\alpha_s}{2\pi} \left[ -\frac{1}{2\epsilon} \left( \frac{1}{\beta_{ij}} + \beta_{ij} \right) (2\beta_{ij} - i\pi) + \dots \right] \Rightarrow i \frac{1}{\epsilon} \frac{\alpha_s}{\beta_{ij}}
 \end{aligned}$$

# Associated Production is Enhanced

## □ NLO correction to the amplitude:

$$\text{Im} [\mathcal{A}_{13} + \mathcal{A}_{23}] = \frac{\alpha_s}{4\varepsilon} \mathcal{A}^{(0)}(P_i) \left[ \frac{1 + \beta_{13}^2}{\beta_{13}} - \frac{1 + \beta_{23}^2}{\beta_{23}} \right]$$



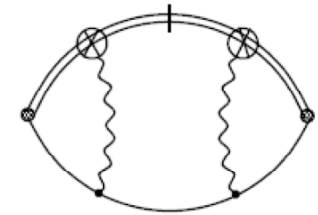
Does not contribute to NLO production rate in NRQCD Zhang, Chao, PRL 2007

## □ Estimate enhancement factor from NNLO in NRQCD:

### ✧ Velocity expansion:

$$\frac{1}{\beta_{13}} - \frac{1}{\beta_{23}} \sim -\frac{4}{\beta_S^3} \frac{q_S \cdot q}{m^2} \sim \frac{4}{\beta_S^2} v \cos \phi_S$$

$$\beta_S = \sqrt{\frac{-q_S^2}{m^2 - q_S^2}}$$



$$P_3^\mu = \frac{P_0^\mu}{2} \sqrt{1 - \frac{q_S^2}{m^2}} + q_S^\mu$$

### ✧ Velocity-ordered region:

$$\beta_S < 1, \quad \frac{v}{\beta_S} < 1$$

$$P_0^\mu = (2m, 0) \text{ and } q_S \cdot P_0 = 0$$

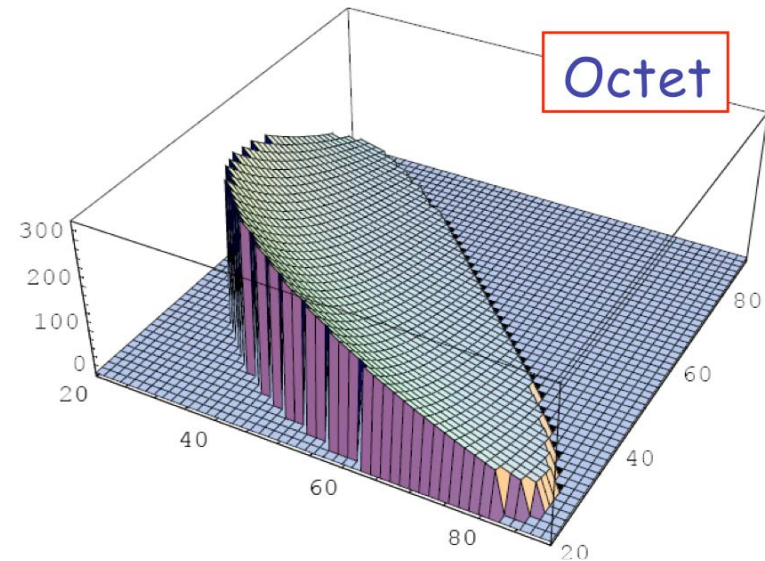
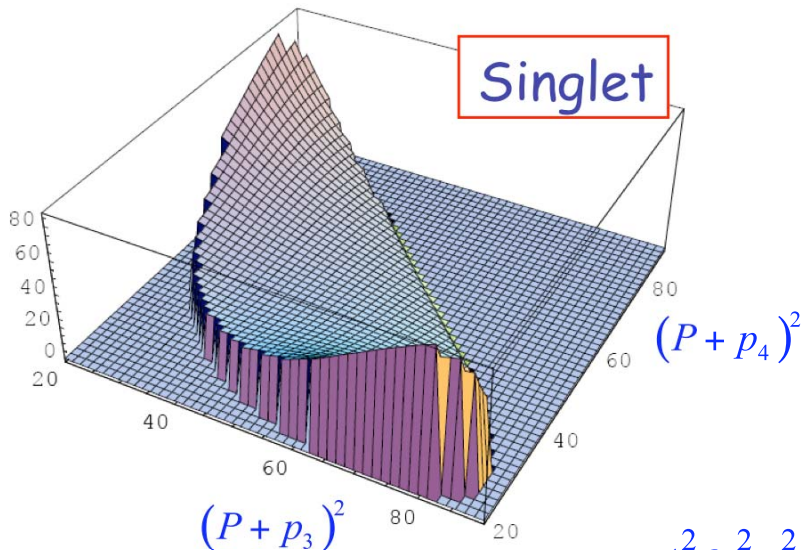
### ✧ the enhancement factor:

$$\left| \mathcal{A}_{Singlet}^{NNLO} \right|^2 \sim \left( C_{8 \rightarrow 1} \frac{\alpha_s^2 v^2}{\varepsilon^2} \right) \left( \frac{\pi^2}{\beta_S^4} \right) \left| \mathcal{A}_{Octet}^{LO} \right|^2$$

All other two-loop diagrams give a single pole !

# Numerical Enhancement from NNLO

□ LO hard parts with color factor:



□ Matrix elements:

$$\frac{\pi^2 \alpha_s^2 v^2}{\varepsilon^2} \Rightarrow \langle O_8 \rangle$$

$$d\sigma_{e^+e^- \rightarrow H+X}^{\text{tot}}(p_H) \sim d\hat{\sigma}_{e^+e^- \rightarrow Q\bar{Q}[S_1]+Q'(\beta_S)}(p_H) \langle {}^3\mathcal{S}_1^H \rangle \\ + d\hat{\sigma}_{e^+e^- \rightarrow Q\bar{Q}[S_8]+Q'(\beta_S)}(p_H) \frac{\langle {}^3\mathcal{S}_8^H \rangle}{\beta_S^4}$$

Two terms are equally important if  $\beta_s \sim 0.3$

Same feature for heavy quark fragmentation

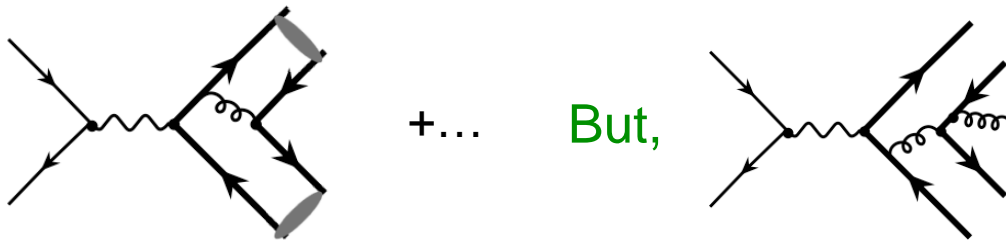
Nayak, Qiu, Sterman, 2007

# Exclusive Processes

- All particles are identified:

$$e^+e^- \rightarrow J/\psi + \eta_c, \quad \pi + \pi \rightarrow \pi + \pi, \quad \pi + p \rightarrow \pi + p, \quad \dots$$

- no free-space for extra radiation:



Not allowed unless the final-state gluon is absorbed into the matrix elements (wave functions) of quarkonia

- Conservation of quantum numbers:

It is easy and an advantage to use the conservation of fundamental Symmetry due to the small number of particles involved

Ex: charge conjugation forbids the S-wave  $J/\psi + J/\psi$  final-state

- Factorization and the color:

For factorization and the partonic calculation to work, soft interaction between produced hadrons has to be strongly suppressed!

# Double Charmonia Production

## □ Exclusive production:

[4] Li, He, and Chao, [6] Braaten and Lee

| $J/\psi \ c\bar{c}$ | $\eta_c(1S)$                 | $\chi_{c0}$                  | $\eta_c(2S)$                 |      |
|---------------------|------------------------------|------------------------------|------------------------------|------|
| BABAR               | $17.6 \pm 2.8^{+1.5}_{-2.1}$ | $10.3 \pm 2.5^{+1.4}_{-1.8}$ | $16.4 \pm 3.7^{+2.4}_{-3.0}$ |      |
| Belle [14]          | $25.6 \pm 2.8 \pm 3.4$       | $6.4 \pm 1.7 \pm 1.0$        | $16.5 \pm 3.0 \pm 2.4$       |      |
| NRQCD [6]           | $2.31 \pm 1.09$              | $2.28 \pm 1.03$              | $0.96 \pm 0.45$              | } LO |
| NRQCD [4]           | 5.5                          | 6.9                          | 3.7                          |      |

## □ Possible resolution for $J/\psi + \eta_c$ :

❖ NLO correction:  $K_{\text{factor}} = 1.96$

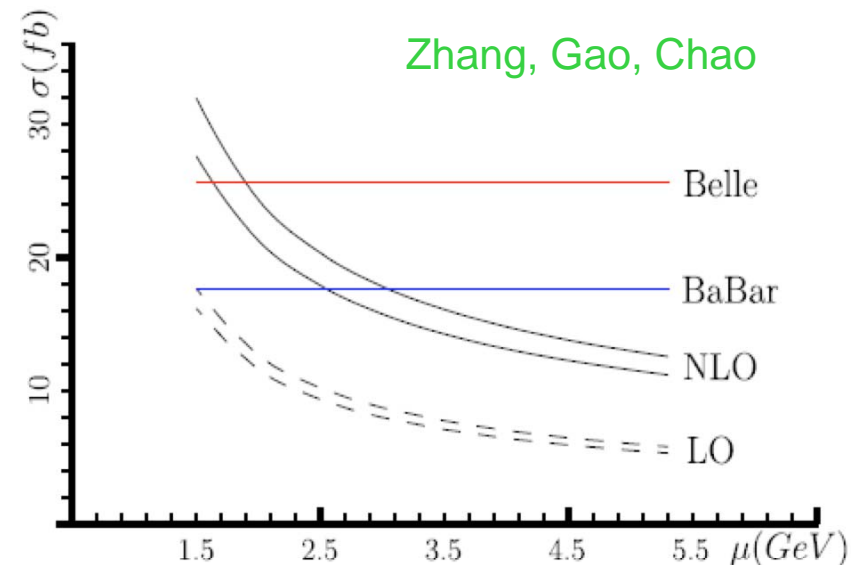
❖ Relativistic Correction:

X-section:  $K_{\text{factor}} = 1.34$

Wave func:  $K_{\text{factor}} = 1.32$

Combined:  $K_{\text{factor}} = 4.15$

$$\sigma[e^+e^- \rightarrow J/\psi + \eta_c] = 17.5 \pm 5.7 \text{ fb}$$



Bodwin et al. hep-ph/0611002

## Summary

- ❑ QCD is very rich in dynamics, much more than QED, while QED is the underline theory of all excitements of CMP, ...
- ❑ After 35 years, we have learned only a very small part of QCD dynamics: less than 0.1 fm, although we have been successful
- ❑ There are many research directions for exploring QCD dynamics: QCD at high density, QCD at finite temperature, Condensed QCD matter, ...
- ❑ Most important, how hadrons were formed off quarks and gluons? Discovery of new hadronic resonances and their properties provides critical information on hadron formation!

Thank you for your attention!