## Determination of the QCD Coupling from ALEPH tau Decay Data

Antonio Rodríguez Sánchez

IFIC (UV-CSIC)

The 14th International Workshop on Tau Lepton Physics IHEP, Beijing, China. September 19-23, 2016

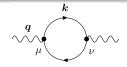
In collaboration with:

Antonio Pich

### Spectral function from au decays

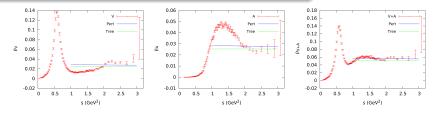
Two-point correlation function of quark currents

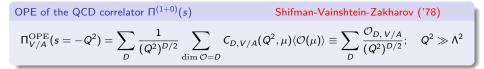
$$\Pi^{(J)}(s) \equiv \sum_{q=d,s} |V_{uq}|^2 \left( \Pi^{(J)}_{uq,V}(s) + \Pi^{(J)}_{uq,A}(s) \right)$$

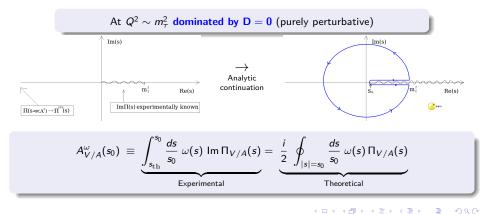


Experimental spectral functions  $\rho_{ud}(s) = \frac{1}{\pi} \operatorname{Im} \prod_{ud}(s)$  from ALEPH

Davier et al., 1312.1501







#### Theoretical Framework: Duality Violations

$$A^{\omega}_{V/A}(s_0) = \frac{i}{2} \oint_{|s|=s_0} \frac{ds}{s_0} \omega(s) \Pi^{OPE}_{V/A}(s) + \Delta A^{\omega,\mathrm{DV}}_{V/A}(s_0)$$

Duality violations: Physical - OPE

$$\Delta A_{V/A}^{\omega,\mathrm{DV}}(s_0) \equiv \frac{i}{2} \oint_{|s|=s_0} \frac{ds}{s_0} \omega(s) \left\{ \Pi_{V/A}(s) - \Pi_{V/A}^{\mathrm{OPE}}(s) \right\} = -\pi \int_{s_0}^{\infty} \frac{ds}{s_0} \omega(s) \Delta \rho_{V/A}^{\mathrm{DV}}(s)$$

Reduced with pinched weight functions (avoid the cut in the positive real axis):

$$\omega(s_0) = 0, \ \omega'(s_0) = 0, \dots$$
 Le Diberder-Pich '92

•  $\Delta A^{\omega, DV}(s_0)$  must decrease to 0 very fast  $\rightarrow$  Uncertainties based on stability under  $s_0$ 

• More inclusive channels  $\rightarrow$  lower DVs:  $\Delta A_{V/A}^{\omega,\mathrm{DV}}(s_0) > \Delta A_{V+A}^{\omega,\mathrm{DV}}(s_0)$ 

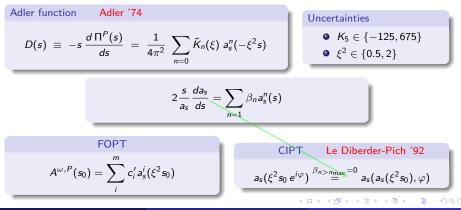
・ロト ・四ト ・ヨト ・ヨト

#### Theoretical Framework: Perturbative contribution

Dominant contribution to  $A^{\omega}_{V/A}(s_0 \sim m_{ au}^2)$ 

Very sensitive to  $\alpha_{s}(s_{0} \sim m_{\tau}^{2})!$ 

$$A^{\omega}_{V/A}(s_0) = \frac{i}{2} \oint_{|s|=s_0} \frac{ds}{s_0} \omega(s) \Pi(s)$$



Antonio Rodríguez Sánchez (IFIC) QCD Coupling from ALEPH tau Decay Data

#### Theoretical Framework: Non-perturbative contribution

OPE of the QCD correlator 
$$\Pi^{(1+0)}(s)$$
  
Shifman-Vainshtein-Zakharov ('78)  
 $\Pi^{OPE}_{V/A}(s = -Q^2) = \sum_{D} \frac{1}{(Q^2)^{D/2}} \sum_{\dim \mathcal{O}=D} C_{D,V/A}(Q^2,\mu) \langle \mathcal{O}(\mu) \rangle \equiv \sum_{D} \frac{\mathcal{O}_{D,V/A}}{(Q^2)^{D/2}}; \quad Q^2 \gg \Lambda^2$ 

D>0 corrections are small at  $Q^2\sim m_{\tau}^2\rightarrow$  Log dependence on  $Q^2$  of  $\mathcal{O}_{D,~V/A}$  safely neglected

#### Results: ALEPH-like fits

$$\omega_{kl}(s) = \left(1 - \frac{s}{m_{\tau}^2}\right)^{2+k} \left(\frac{s}{m_{\tau}^2}\right)^l \left(1 + \frac{2s}{m_{\tau}^2}\right)$$

$$(k, l) = (0, 0) \rightarrow \alpha_s(m_{\tau}^2), \mathcal{O}_{6\,V/A}, \mathcal{O}_{8\,V/A}$$

$$(k, l) = (1, 0) \rightarrow \alpha_s(m_{\tau}^2), \langle a_s GG \rangle, \mathcal{O}_{6\,V/A}, \mathcal{O}_{8\,V/A}, \mathcal{O}_{10\,V/A}$$

$$(k, l) = (1, 1) \rightarrow \alpha_s(m_{\tau}^2), \langle a_s GG \rangle, \mathcal{O}_{6\,V/A}, \mathcal{O}_{8\,V/A}, \mathcal{O}_{10\,V/A}, \mathcal{O}_{12\,V/A}$$

$$(k, l) = (1, 2) \rightarrow \alpha_s(m_{\tau}^2), \mathcal{O}_{6\,V/A}, \mathcal{O}_{8\,V/A}, \mathcal{O}_{10\,V/A}, \mathcal{O}_{12\,V/A}, \mathcal{O}_{14\,V/A}$$

$$(k, l) = (1, 3) \rightarrow \alpha_s(m_{\tau}^2), \mathcal{O}_{8\,V/A}, \mathcal{O}_{10\,V/A}, \mathcal{O}_{12\,V/A}, \mathcal{O}_{14\,V/A}, \mathcal{O}_{16\,V/A}$$

Fit  $\alpha_s, \langle a_s GG \rangle, \mathcal{O}_6, \mathcal{O}_8$ 

 $\mathcal{O}_{10\ldots 16}=0$ 

イロト イ理ト イヨト イヨト

## V+A $\alpha_s(m_\tau^2)^{FOPT} = 0.319^{+0.010}_{-0.006}$ $\alpha_s(m_\tau^2)^{CIPT} = 0.339^{+0.011}_{-0.009}$

Role of neglected power corrections?

æ

Role of neglected higher dimensional operators?

#### Make the same fit but including $\mathcal{O}_{10}$

Increased uncertainties

2 Good stability of  $\alpha_s(m_{\tau}^2)$  with respect to the previous fit

3 Larger variation in condensates values

#### Take V + A as reference and differences as additional uncertainties

$$\begin{array}{ll} \alpha_s(m_\tau^2)^{\text{CIPT}} &=& 0.339 \substack{+0.019 \\ -0.017} \\ \alpha_s(m_\tau^2)^{\text{FOPT}} &=& 0.319 \substack{+0.017 \\ -0.015} \end{array} \longrightarrow \begin{array}{l} \alpha_s(m_\tau^2) &=& 0.329 \substack{+0.020 \\ -0.018} \end{array}$$

Are there unaccounted systematic uncertainties?

Make new tests and  $\alpha_s(m_{ au}^2)$  determination with very different energies, moments and approaches:

- Completely different dependence on power corrections
- Different neglected higher-dimensional condensates in the fits
- Perturbative series are also different at every moment
- $\Delta A_{V/A}^{\omega,\mathrm{DV}}(s_0) = -\pi \int_{s_0}^{\infty} \frac{ds}{s_0} \omega(s) \Delta \rho_{V/A}^{\mathrm{DV}}(s)$  changing  $s_0$  and  $\omega(s)$  (so that DV contributions are also very different)

If all determinations and tests are in agreement, one can conclude that systematic uncertainties are properly estimated

#### Results: A simple test

Not used in the previous fit

the moments used

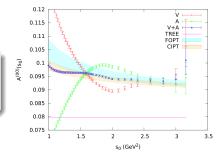
۰

$$A^{\omega}_{V/A}(s_0) = \frac{i}{2} \oint_{|s|=s_0} \frac{ds}{s_0} \omega(s) \Pi_{V/A}(s)$$

Independent on dimensional operators

Unprotected against DVs: larger than for

**1** Take 
$$\alpha_s(m_\tau^2) = 0.329^{+0.020}_{-0.018}$$
  
**2** Take  $\omega(s) = 1$ 



< □ > < /□ >

→ ∃ →

• Very good agreement at all channels at  $s_0 \sim m_{ au}^2$ 

• V + A channel is much more  $s_0$ -stable than separated ones

#### Results: other fits, same result

Independent on 
$$\mathcal{O}_{16}$$
  

$$\omega_{kl}'(s) = \left(1 - \frac{s}{m_{\tau}^2}\right)^{2+k} \left(\frac{s}{m_{\tau}^2}\right)^l \underbrace{1 + \frac{2s}{m_{\tau}^2}}_{m_{\tau}^2}$$

$$\alpha_s(m_{\tau}^2)^{\text{CIPT}} = 0.338^{+0.014}_{-0.012}$$

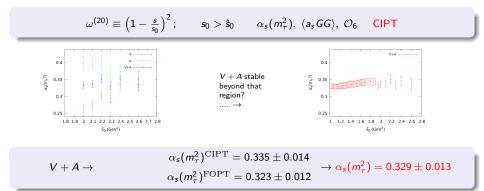
$$\alpha_s(m_{\tau}^2)^{\text{FOPT}} = 0.319^{+0.013}_{-0.010} \longrightarrow \alpha_s(m_{\tau}^2) = 0.329^{+0.016}_{-0.014}$$

Free from D = 4 OPE contributions (gluon condensate)

$$\begin{split} \omega^{(2,n)}(\frac{s}{m_{\tau}^2}) &= \left(1 - \frac{s}{m_{\tau}^2}\right)^2 \sum_{k=0}^n (k+1) \left(\frac{s}{m_{\tau}^2}\right)^k; \quad n = 1, \dots 5 \\ \alpha_s(m_{\tau}^2)^{\text{CIPT}} &= 0.336^{+0.018}_{-0.016} \\ \alpha_s(m_{\tau}^2)^{\text{FOPT}} &= 0.317^{+0.015}_{-0.013} \\ \end{split}$$

æ

### Playing with the s<sub>0</sub>-dependence

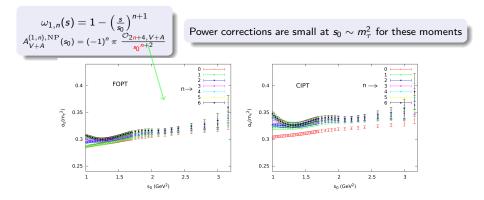


#### Problems

- Much worse behaviour in separated V and A channels
- Very bad quality fit
- We are fitting the spectral function! Fitting m n-pinched  $(A^{(n0)}(s_0))$  points equivalent to:

 $\left\{A^{(n,0)}(s_0), A^{(n-1,0)}(s_0), \cdots, A^{(0,0)}(s_0), \rho(s_0), \rho(s_0 + \Delta s_0), ..., \rho(s_0 + (m-n-2)\Delta s_0)\right\}$ 

#### Results: an alternative approach



 $\omega_a^{(1,n)}(x) = \left(1 - \left(\frac{s}{s_0}\right)^{n+1}\right) e^{-a\frac{s}{s_0}} \rightarrow \text{Reduction of DVs. Useful in the separated } V \text{ and } A \text{ channels}$ 

All condensates contribute to every moment... but for  $a\sim 1$  the factorial supression is enough not to enhance its contribution

э

< □ > < □ > < □ > < □ > < □ > < □ >

#### Uncertainties

- Perturbative  $K_5 \in \{-125, 675\}, \xi \in \{0.5, 2\}$
- Experimental
- Non-perturbative:  $s_0$ -stability, differences among  $\alpha_s$  from different moments

$lpha_s(m_{ au}^2)^{A,{ m CIPT}}$	=	$0.325 {}^{+ 0.018}_{- 0.014}$		$lpha_s(m_{ au}^2)^{V, ext{CIPT}}$	=	$0.326{}^{+0.021}_{-0.019}$
$lpha_s(m_{ au}^2)^{A,{ m FOPT}}$	=	$0.320 {}^{+ 0.019}_{- 0.016}$	J.	$lpha_s(m_{ au}^2)^{V,{ m FOPT}}$	=	$0.314  {}^{+ 0.015}_{- 0.011}$

Doing the same in the V + A channel (improvement in the  $s_0$ -stability is not clearly observed since DVs are tiny in this channel):

 $\begin{array}{rcl} \alpha_s(m_\tau^2)^{\rm CIPT} &=& 0.328 \, {}^{+0.014}_{-0.013} \\ \alpha_s(m_\tau^2)^{\rm FOPT} &=& 0.318 \, {}^{+0.015}_{-0.012} \end{array}$ 

$$\rightarrow \qquad \alpha_s(m_{\tau}^2) = 0.323 \substack{+ \ 0.015 \\ - \ 0.013}$$

< ロ > < 同 > < 回 > < 回 > < 回 > .

#### Results: Modeling duality violations

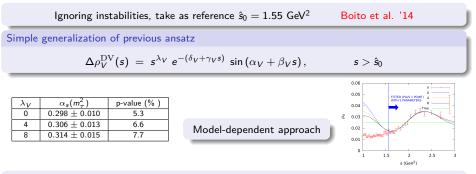
$$\Delta A_{V/A}^{\omega(s)\,\mathrm{DV}}(s_0) = -\pi \int_{s_0}^{\infty} \frac{ds}{s_0} \omega(s) \Delta \rho_{V/A}^{\mathrm{DV}}(s) \qquad \text{Boito et al. 14'}$$

Exponential imes oscillatory function expected under some assumptions and approximations for  $\Delta \rho_{V/A}^{\rm DV}(s)$  at high energies

$$\Delta \rho_{V/A}^{DV} = e^{-\delta_{V/A} - \gamma s} \sin(\alpha_{V/A} + \beta_{V/A} s); \quad s > \hat{s}_0$$
Ad-hoc functional form  
(assumed to be exactly true)  $\hat{s}_0?$ 
Uncertainties too large in A channel:  
not useful to obtain  $\alpha_s(m_\tau^2)$  Fit  $A_V^1(s_0) = \int_{s_0}^{s_0} \frac{ds}{s_0} \ln \Pi_V(s), s_0 > \hat{s}_0$   
(fitting the spectral function)  
$$\int_{0}^{\frac{4}{3}} \int_{0}^{\frac{4}{3}} \int_{0}^{\frac$$

Antonio Rodríguez Sánchez (IFIC)

### Results: Modeling duality violations



- Ignore the problems (p-value, instabilities, etc.) of the model, which make it very unlikely
- Take the minimum possible value for the strong coupling ( $\hat{s}_0 = 1.55 \text{ GeV}^2$  and  $\lambda_V = 0$ )
- $\alpha_s(m_\tau^2)_{\text{MODEL, ALEPH}}^{\text{FOPT}} = 0.298 \pm 0.010_{\text{exp}}$  ( $\alpha_s(m_\tau^2)_{\text{MODEL, OPAL}}^{\text{FOPT}} = 0.325 \pm 0.018_{\text{exp}}$ )

 $\alpha_s(m_{\tau}^2)_{\mathsf{THIS WORK}}^{\mathsf{FOPT}} = 0.320 \pm 0.012_{\mathsf{total}}$  Not unlikely  $\alpha_s$  values for an unlikely model

This particular model does not work as counterexample of unaccounted DVs in our model-independent framework, as expected

## Conclusions

Purely perturbative contributions dominate uncertainties of  $A^{\omega}_{V/A}(s_0 \sim m_{\tau}^2)$ 

Different strategies to extract  $\alpha_s(m_{\tau}^2)$  from the ALEPH spectral function have been studied

Method	$\alpha_s(m_{ au}^2)$					
	CIPT	FOPT	Average			
ALEPH moments	$0.339 {}^{+ 0.019}_{- 0.017}$	$0.319^{+0.017}_{-0.015}$	$0.329^{+0.020}_{-0.018}$			
Modified ALEPH moments	$0.338  {}^{+ 0.014}_{- 0.012}$	$0.319{}^{+0.013}_{-0.010}$	$0.329^{+0.016}_{-0.014}$			
$A^{(2,m)}$ moments	$0.336  {}^{+ 0.018}_{- 0.016}$	$0.317  {}^{+ 0.015}_{- 0.013}$	$0.326  {}^{+ 0.018}_{- 0.016}$			
s <sub>0</sub> dependence	$\textbf{0.335} \pm \textbf{0.014}$	$0.323\pm0.012$	$0.329\pm0.013$			
Borel transform	$0.328{}^{+0.014}_{-0.013}$	$0.318  {}^{+ 0.015}_{- 0.012}$	$0.323  {}^{+ 0.015}_{- 0.013}$			

$$\begin{array}{lll} \alpha_s(m_\tau^2)^{\text{CIPT}} &=& 0.335 \pm 0.013 \\ \alpha_s(m_\tau^2)^{\text{FOPT}} &=& 0.320 \pm 0.012 \\ && \alpha_s^{(n_f=5)}(M_\tau^2) = & 0.1197 \pm 0.0015 \end{array}$$

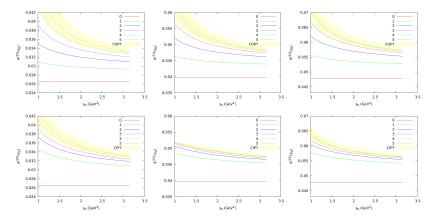
An improved understanding of higher-order perturbative corrections and more precise data would be needed to improve this  $\alpha_s(m_{\tau}^2)$  determination

# **BACK-UP**

æ

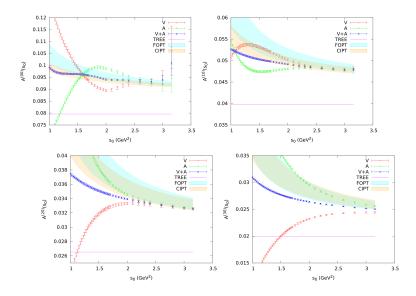
イロト イヨト イヨト イヨト

#### Example of perturbative corrections at different orders



17 / 17

#### Comparing purely perturbative prediction with data





æ

$$\frac{1}{N} \frac{\Delta N_{V/A}^{(1)}(s_i)}{\Delta s_i} \approx \frac{1}{N} \frac{dN_{V/A}^{(1)}}{ds} = B_e \frac{dR_{\tau,V/A}^{(1)}}{ds}(s)$$
$$= \frac{12\pi}{m_{\tau}^2} B_e S_{\rm EW} |V_{ud}|^2 \left(1 - \frac{s}{m_{\tau}^2}\right)^2 \left(1 + \frac{2s}{m_{\tau}^2}\right) \operatorname{Im} \Pi_{V/A}^{(1)}(s)$$

$$\frac{1}{N} \frac{\Delta N_{V/A}^{(0)}(s_i)}{\Delta s_i} \approx \frac{1}{N} \frac{dN_{V/A}^{(0)}}{ds} = B_e \frac{dR_{V/A}^{(0)}}{ds}(s)$$
$$= \frac{12\pi}{m_{\tau}^2} B_e S_{\rm EW} |V_{ud}|^2 \left(1 - \frac{s}{m_{\tau}^2}\right)^2 \operatorname{Im} \Pi_{V/A}^{(0)}(s)$$

æ

イロト イヨト イヨト イヨト

## Data handling

F =

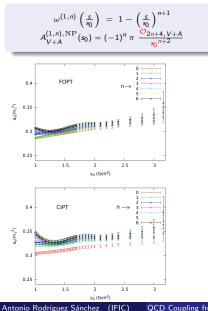
$$\begin{aligned} A_V^{\omega}(s_0) &= F \sum_{s_i}^{s_0 - \frac{\Delta s_0}{2}} \frac{\Delta N_V(s_i)}{N} \omega_i(s_i, s_0) H(s_0, s_i) \\ A_A^{\omega}(s_0) &= F \sum_{s_i}^{s_0 - \frac{\Delta s_0}{2}} \frac{\Delta N_A(s_i)}{N} \omega_i(s_i, s_0) H(s_0, s_i) \\ &+ F \frac{m_{\tau}^2}{s_0} \left(1 - \frac{m_{\pi}^2}{m_{\tau}^2}\right)^{-2} B_{\pi} \omega_i(m_{\pi}^2, s_0) \end{aligned}$$

$$\begin{bmatrix} 12\pi S_{\rm EW} |V_{ud}|^2 B_e \end{bmatrix}^{-1} \qquad \qquad H(s_0, s_i) = \frac{m_{\tau}^2}{s_0} \left(1 - \frac{s_i}{m_{\tau}^2}\right)^{-2} \left(1 + \frac{2s_i}{m_{\tau}^2}\right)^{-1} \end{aligned}$$

æ

イロト イヨト イヨト イヨト

#### Neglecting all non experimental uncertainties



$$\omega^{(2,n)}\left(\frac{s}{s_{0}}\right) = \left(1 - \left(\frac{s}{s_{0}}\right)\right)^{2} \sum_{k=0}^{n} (k+1) \left(\frac{s}{s_{0}}\right)^{k}$$

$$A_{V+A}^{(2,n),NP}(s_{0}) = (-1)^{n} \pi \left\{(n+2) \frac{\mathcal{O}_{2n+4,V+A}}{s_{0}^{n+2}} + (n+1) \frac{\mathcal{O}_{2n+6,V+A}}{s_{0}^{n+3}}\right\}$$

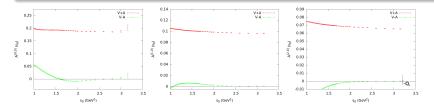
All perturbative and nonperturbative uncertainties neglected

## Non perturbative contributions in the alternative approach

$$\begin{split} \omega_{a}^{(1,n)}\left(\frac{s}{s_{0}}\right) &= \left(1 - \left(\frac{s}{s_{0}}\right)^{n+1}\right) \, \mathrm{e}^{-a\left(\frac{s}{s_{0}}\right)} \\ A_{V/A}^{\omega_{a}^{(1,n),\mathrm{NP}}}(s_{0}) &= \pi \sum_{D} \frac{\mathcal{O}_{D,V/A}}{s_{0}D^{/2}} \, \frac{a^{\frac{D}{2}-1}}{(\frac{D}{2}-1)!} \, \left\{1 + \theta(D-4-2n) \, \frac{(-1)^{n}}{a^{n+1}} \, \frac{(\frac{D}{2}-1)!}{(\frac{D}{2}-n-2)!}\right\} \\ \Delta A_{V/A}^{\omega_{a}^{(1,n),\mathrm{DV}}}(s_{0}) &= -\pi \int_{s_{0}}^{\infty} \frac{ds}{s_{0}} \left(1 - \left(\frac{s}{s_{0}}\right)^{n}\right) e^{-a\frac{s}{s_{0}}} \Delta \rho_{V/A}^{\mathrm{DV}}(s) \end{split}$$

Duality violation are larger in the separated channels ightarrow larger in V+A than in V-A

Observables in V-A channels are 100% nonperturbative ightarrow Perturbative uncertainties are 0



In order to extract V - A condensates with good precision, experimental uncertainties are too large at  $s_0 \sim m_{\tau}^2$  (more precise data could improve the situation!)

When one goes to lower energies, there are DV uncertainties in some channels. In that case, using an ansatz can be justified to estimate them

Is our QCD coupling determination an experimentally excluded subcase of the model

$$\Delta \rho_V^{\rm DV}(s) = s^{\lambda_V} e^{-(\delta_V + \gamma_V s)} \sin(\alpha_V + \beta_V s) \qquad s > 1.55 \, \text{GeV}^2$$

with  $\exp^{-\delta_{V/A}} = 0$ , so that it can be excluded by data? Let's see why not

 $\Delta 
ho_{DV}^{V/A} \neq 0$  at  $s_0 \sim 1.55 \,\text{GeV}^2$  (especially important at separated channels for unprotected moments). Trivial because

- OPE is badly defined in the positive real axis
- Im Π<sup>OPE</sup> is almost flat and hadronic spectrum is not at those energies

Since we have not assumed  $\rho_{V/A}^{DV} \neq 0$  in any determination at  $s \sim 1.55 \text{GeV}^2$  (not even at higher energies without testing possible DVs), our QCD determination is far from a subcase of the previous model.

#### Remember the strategy

- Go to higher energies (DVs reduced), use the V+A channel (DVs more reduced), try to avoid the hadronic cut (DVs even more reduced)
- Make lots of tests to see its effects, accounting them in a systematic uncertainty that absorbs the small DV effects from the different possible ρ<sub>DV</sub>.