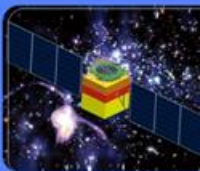


An overview of light sterile neutrinos (at the eV scale)

WWW.IHEP.CAS.CN



Yu-Feng LI

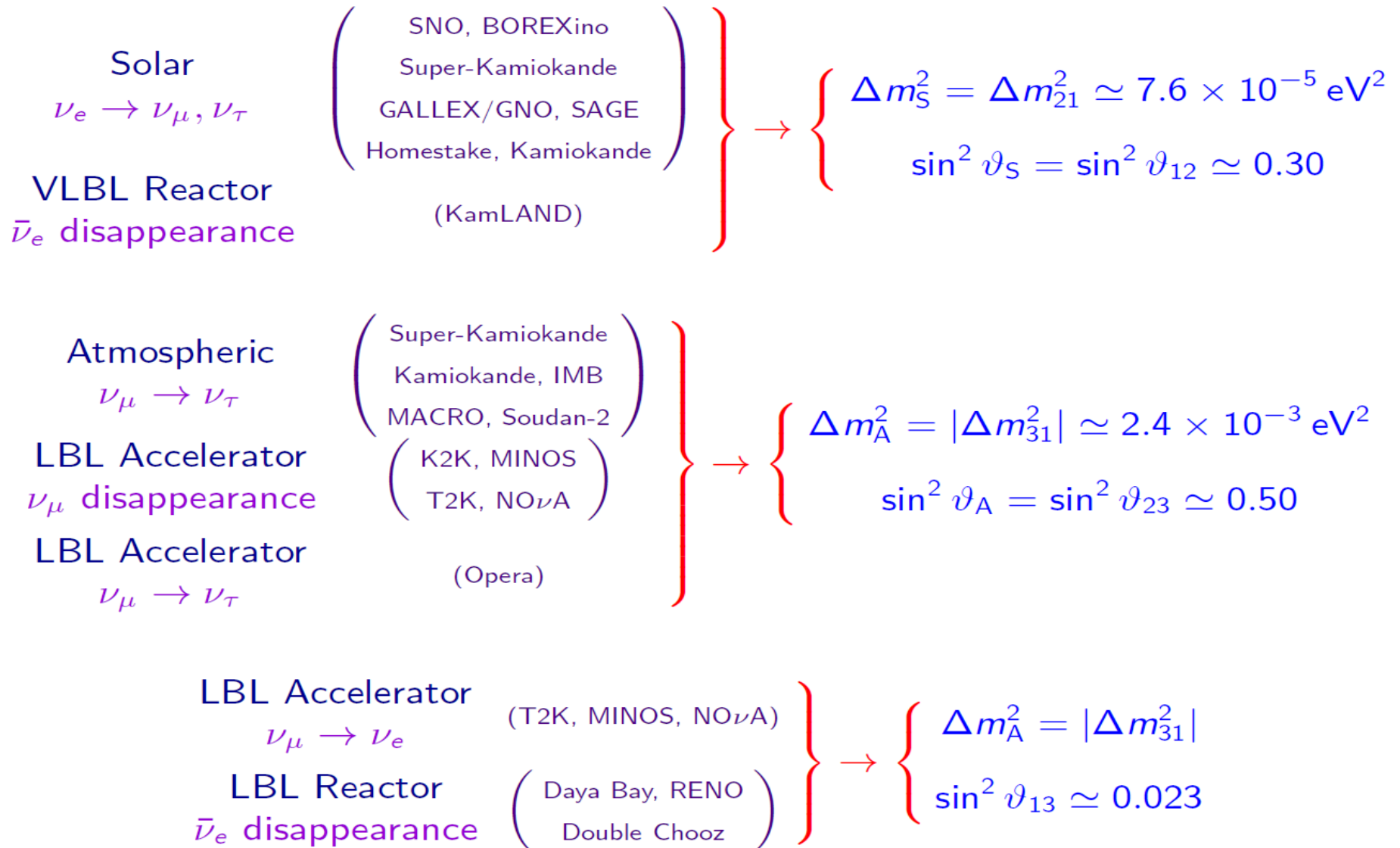
李玉峰

Institute of High Energy Physics, Beijing

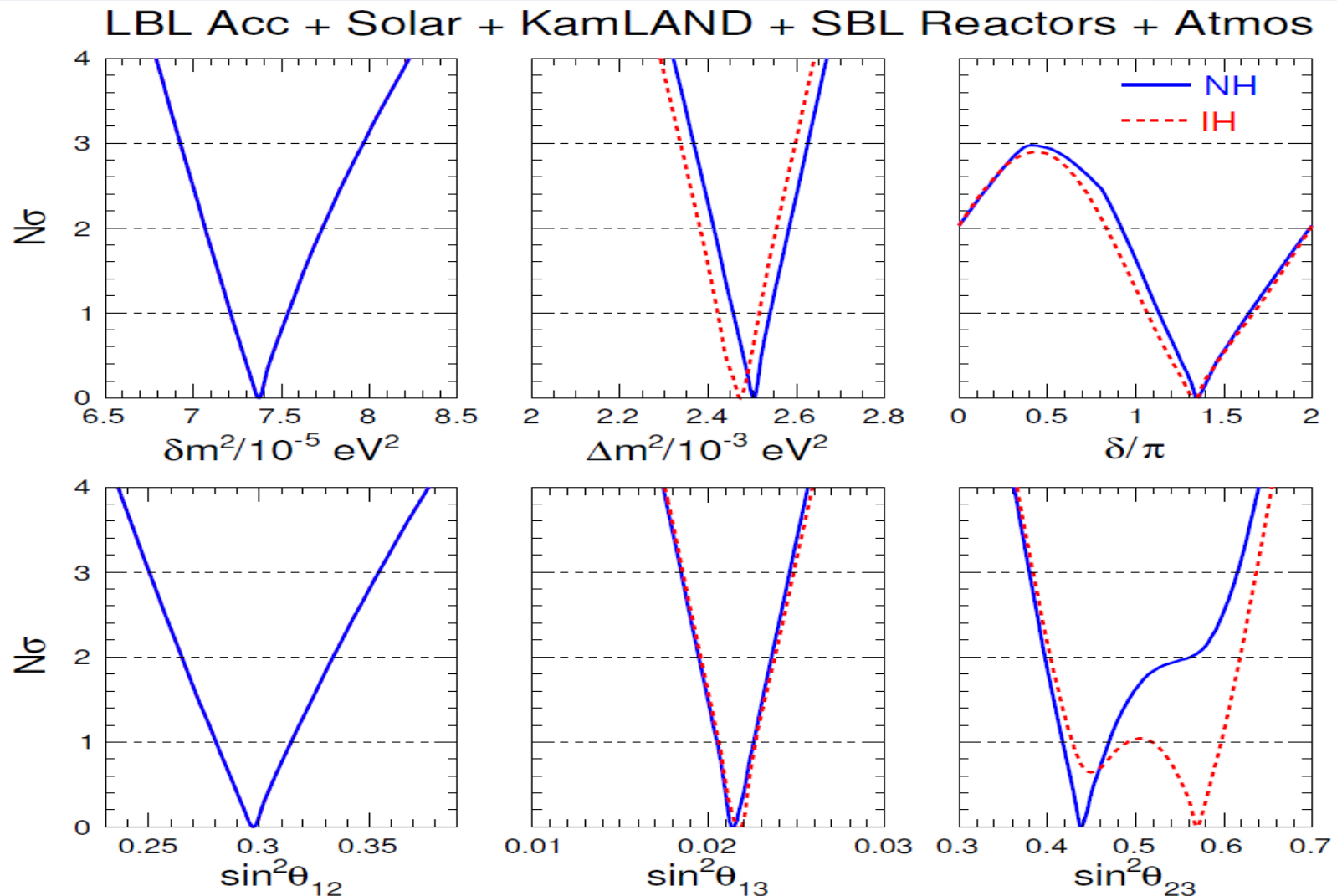
中国物理学会高能物理分会第十二届全国粒子物理学术会议

合肥

Experimental evidence of ν oscillations

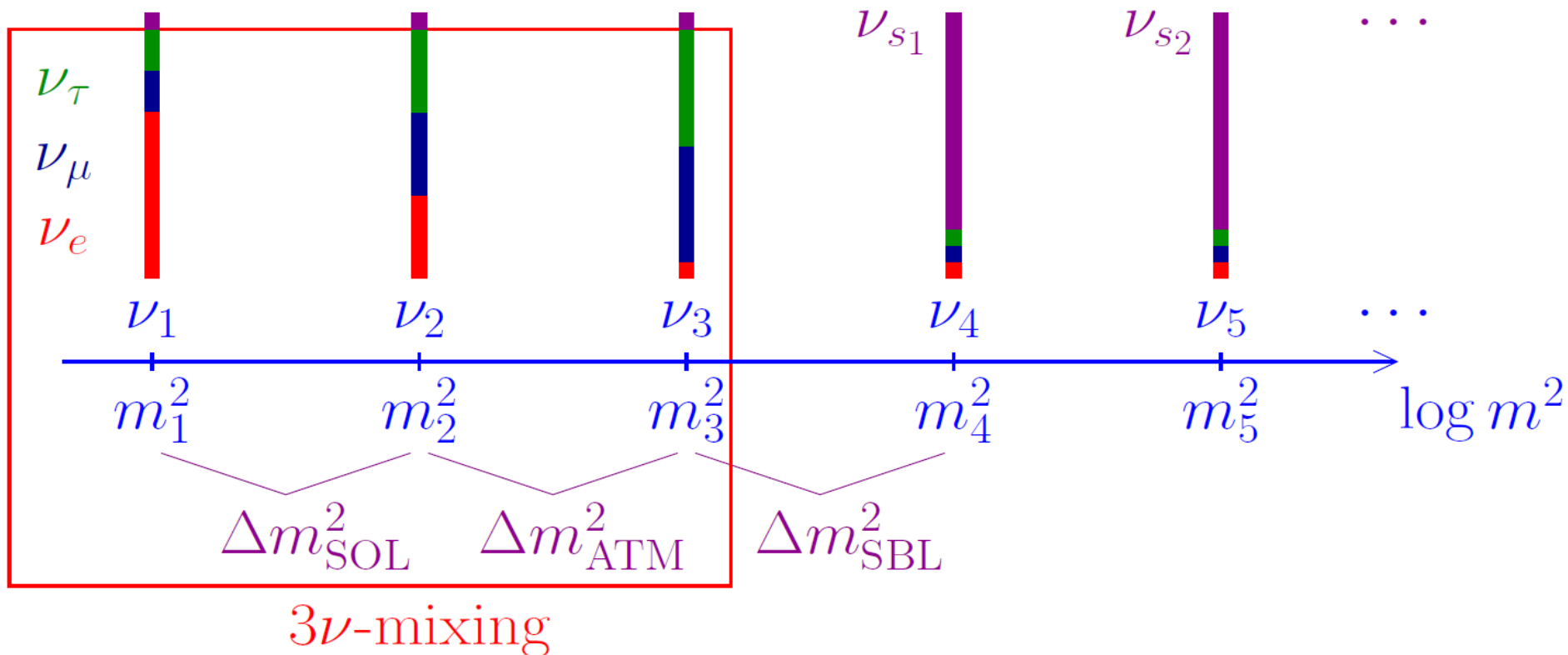


Status of 3- ν oscillations



[Capozzi, Lisi, Marrone, Montanino, Palazzo, arXiv:1601.07777]

Beyond 3-ν oscillations: Sterile neutrinos



eV-scale sterile neutrinos: mass eigenstates of mostly sterile neutrinos

Explanation of short baseline oscillations

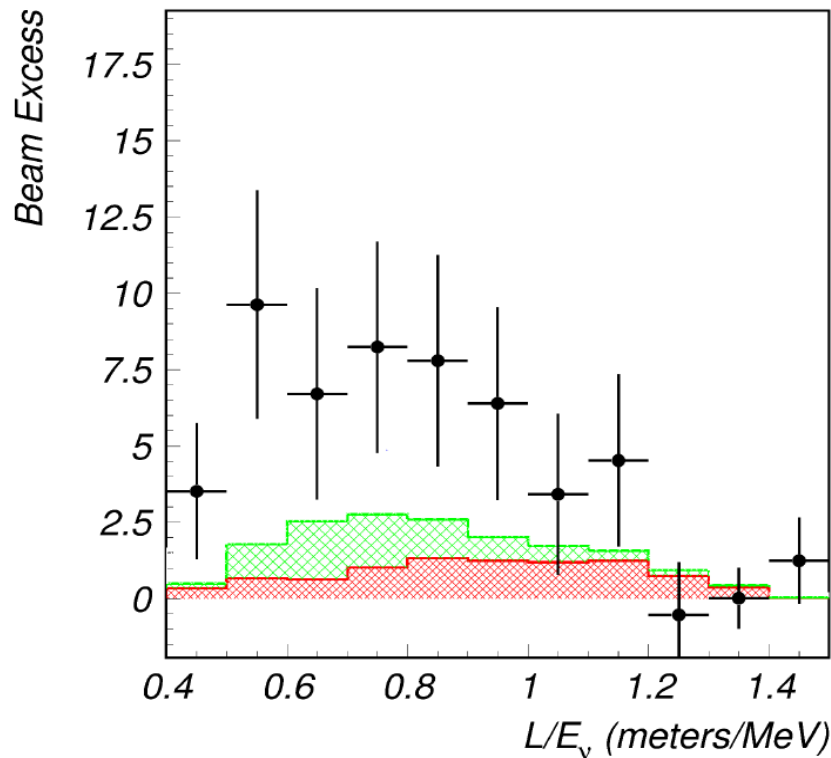
Topical review: *Gariazzo, Giunti, Laveder, YFL, Zavanin, JPG 43 (2016) 033001*

Indications of SBL oscillations beyond 3-vs

LSND: Liquid Scintillator Neutrino Detector

[PRL 75 (1995) 2650; PRC 54 (1996) 2685; PRL 77 (1996) 3082; PRD 64 (2001) 112007]

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e \quad 20 \text{ MeV} \leq E \leq 60 \text{ MeV}$$



- Well-known source of $\bar{\nu}_\mu$

$$\mu^+ \text{ at rest} \rightarrow e^+ + \nu_e + \bar{\nu}_\mu$$

$$L \simeq 30 \text{ m}$$

$$\bar{\nu}_e + p \rightarrow n + e^+$$

Well-known detection process of $\bar{\nu}_e$

- But signal not seen by **KARMEN** at $L \simeq 18 \text{ m}$ with the same method

[PRD 65 (2002) 112001]

$$\approx 3.8\sigma \text{ excess} \quad \Delta m_{\text{SBL}}^2 \gtrsim 0.2 \text{ eV}^2 \gg \Delta m_{\text{ATM}}^2 \gg \Delta m_{\text{SOL}}^2$$

MiniBooNE

$L \simeq 541 \text{ m}$

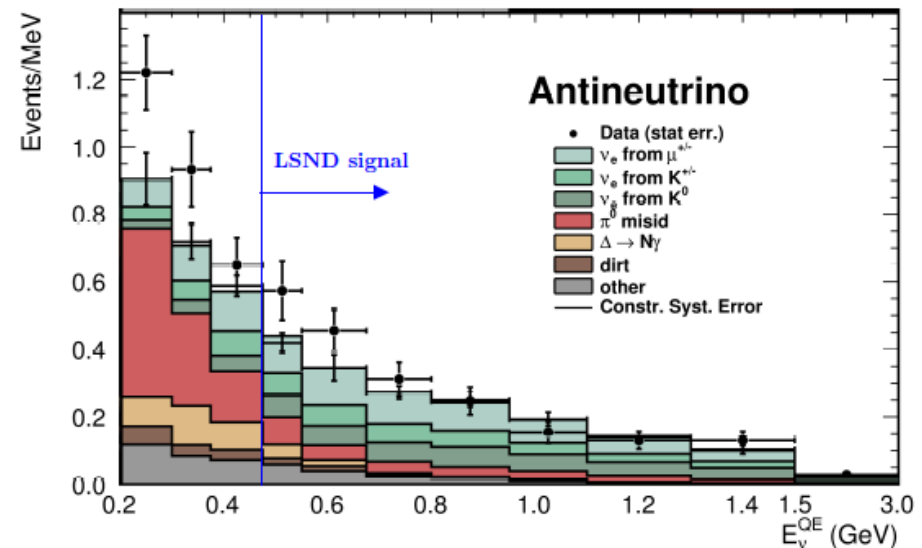
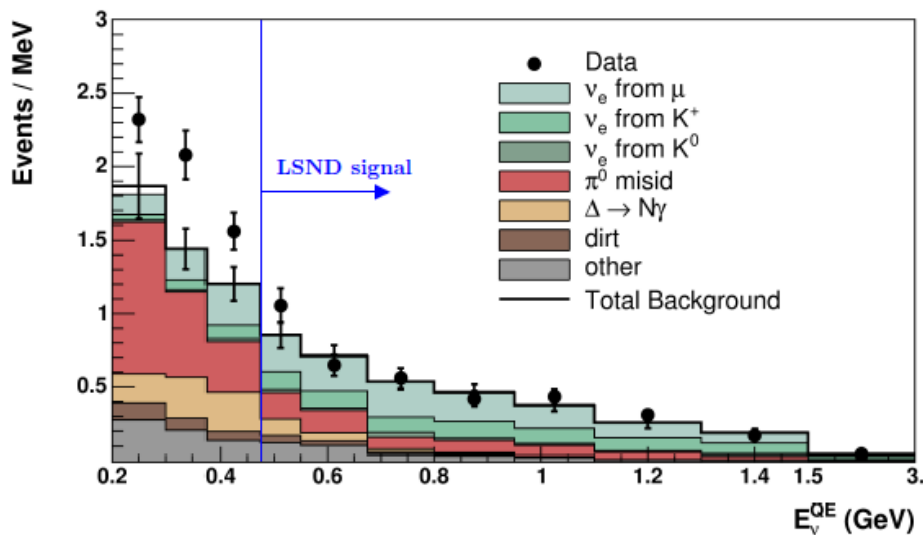
$200 \text{ MeV} \leq E \lesssim 3 \text{ GeV}$

$\nu_\mu \rightarrow \nu_e$

[PRL 102 (2009) 101802]

$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$

[PRL 110 (2013) 161801]



Purpose: check LSND signal with different L&E, but the same L/E

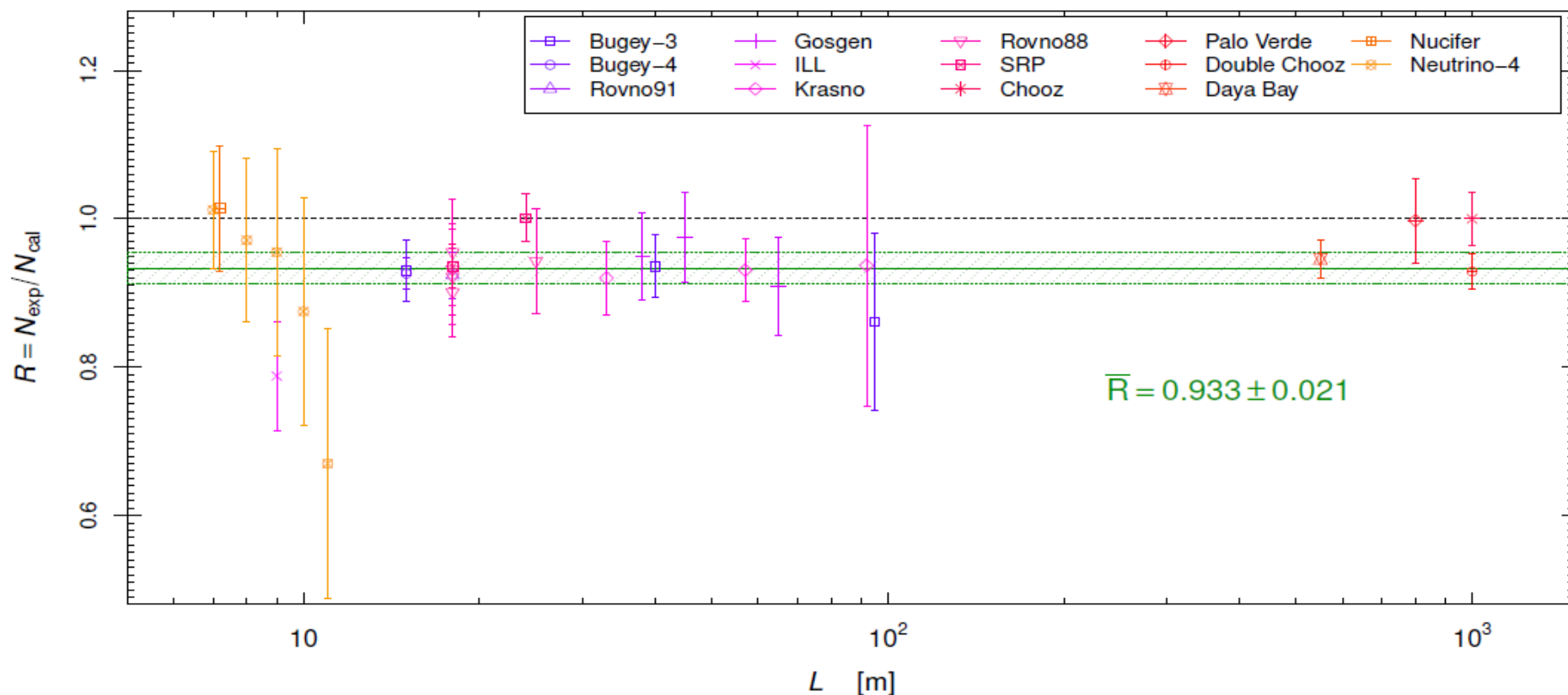
$\sim 3\sigma$ excess: Agreement with LSND? V.S. Low energy anomaly?

Reactor antineutrino anomaly

[Mention et al, PRD 83 (2011) 073006; updated in White Paper, arXiv:1204.5379]

New reactor $\bar{\nu}_e$ fluxes

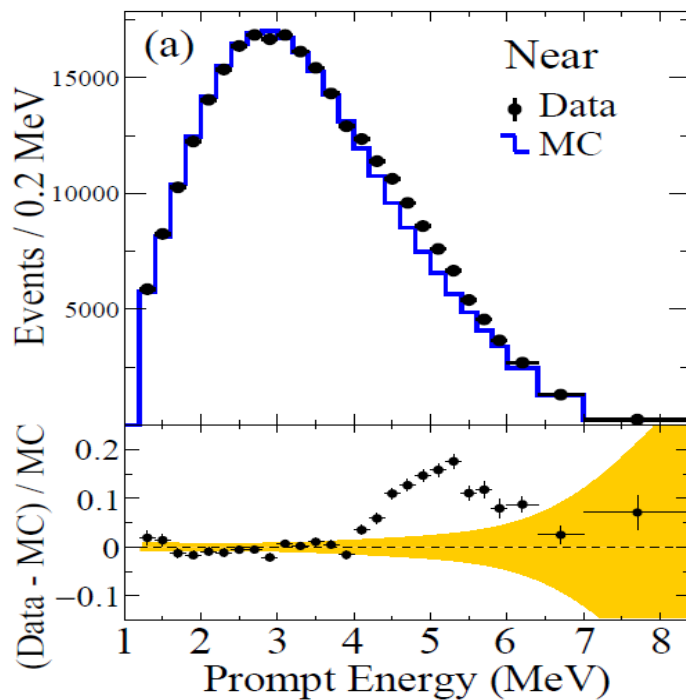
[Mueller et al, PRC 83 (2011) 054615; Huber, PRC 84 (2011) 024617]



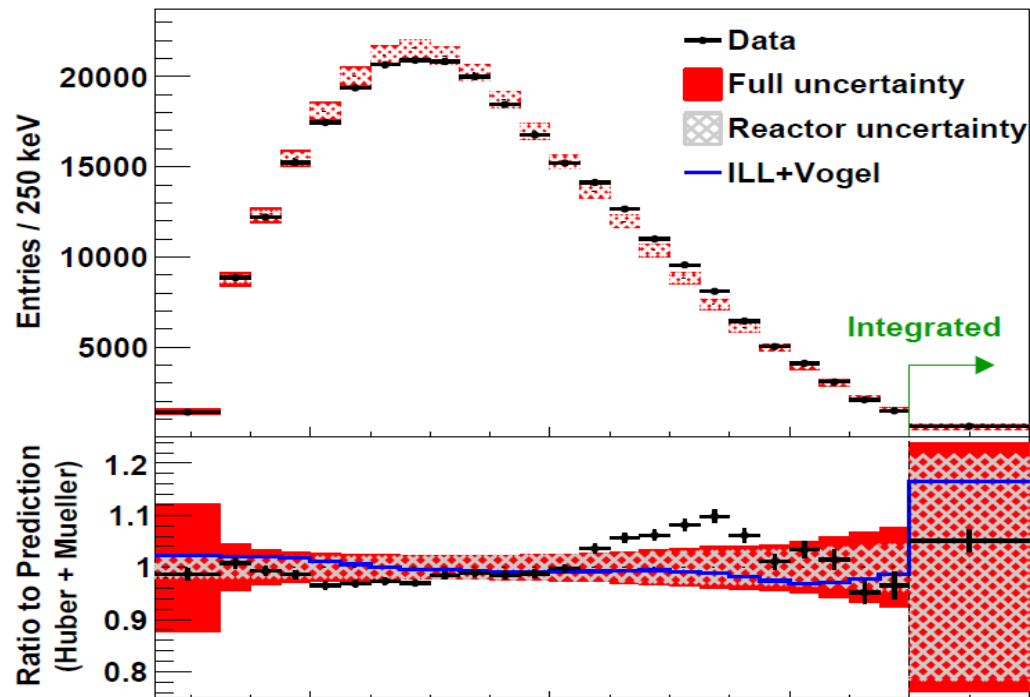
$\approx 3.2\sigma$ deficit

$$\Delta m_{\text{SBL}}^2 \gtrsim 0.5 \text{ eV}^2 \gg \Delta m_{\text{ATM}}^2 \gg \Delta m_{\text{SOL}}^2$$

New feature in reactors: 5 MeV “bump”



[RENO, arXiv:1511.05849]

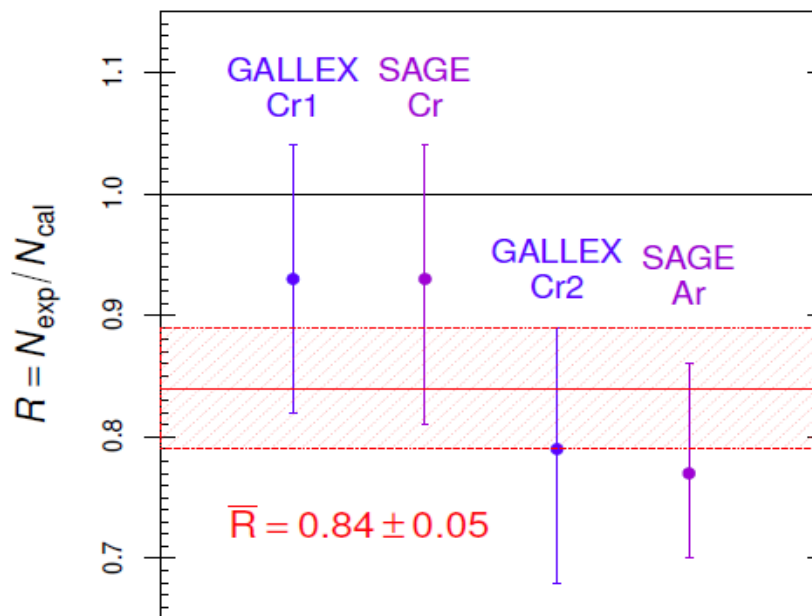
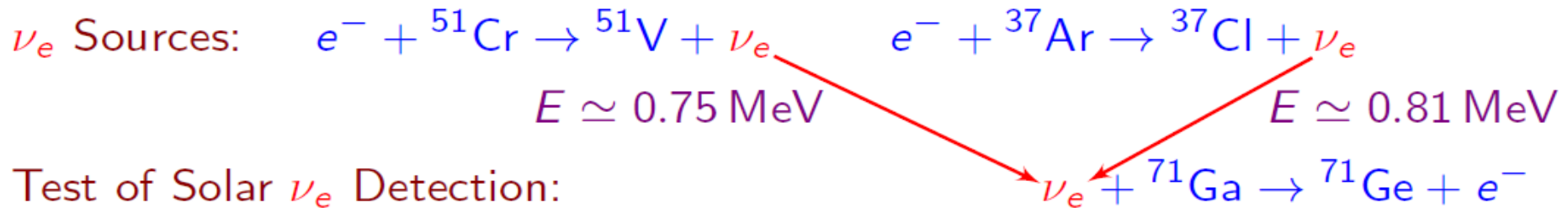


[Daya Bay, arXiv:1508.04233]

- ▶ Local problem with $\sim 3\%$ effect on total flux.
- ▶ It is an excess!
- ▶ It occurs both for the new high Muller-Huber fluxes and the old low Schreckenbach-Vogel fluxes.
- ▶ Real problem: apparent incompatibility of the bump with the β spectra from ^{235}U and ^{239}Pu measured by Schreckenbach et al. at ILL in 1982-1985.

Gallium anomaly

Gallium Radioactive Source Experiments: GALLEX and SAGE



$$\langle L \rangle_{\text{GALLEX}} = 1.9 \text{ m}$$

$$\langle L \rangle_{\text{SAGE}} = 0.6 \text{ m}$$

$\approx 2.9\sigma$ deficit

$$\Delta m_{\text{SBL}}^2 \gtrsim 1 \text{ eV}^2 \gg \Delta m_{\text{ATM}}^2 \gg \Delta m_{\text{SOL}}^2$$

[SAGE, PRC 73 (2006) 045805; PRC 80 (2009) 015807]

[Laveder et al, Nucl.Phys.Proc.Suppl. 168 (2007) 344;
MPLA 22 (2007) 2499; PRD 78 (2008) 073009;
PRC 83 (2011) 065504]

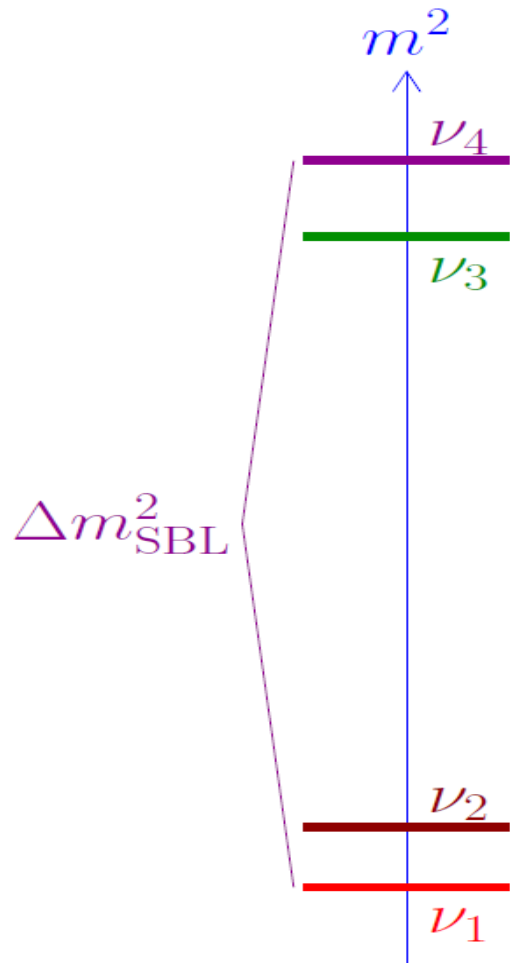
▶ ${}^3\text{He} + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + {}^3\text{H}$ cross section measurement [Frekers et al., PLB 706 (2011) 134]

▶ $E_{\text{th}}(\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-) = 233.5 \pm 1.2 \text{ keV}$ [Frekers et al., PLB 722 (2013) 233]

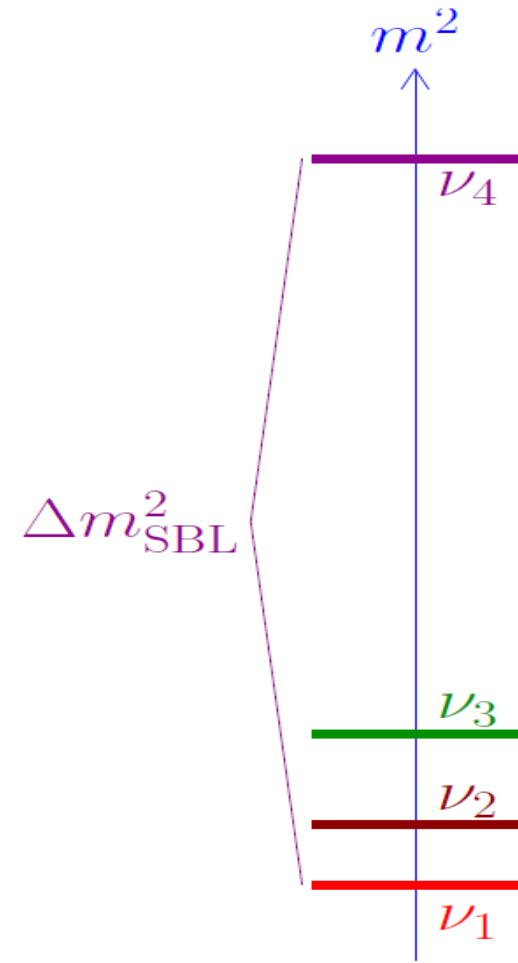
Global status of light sterile neutrinos

Consider all positive and negative results

Four-Neutrino Schemes: 2+2 and 3+1

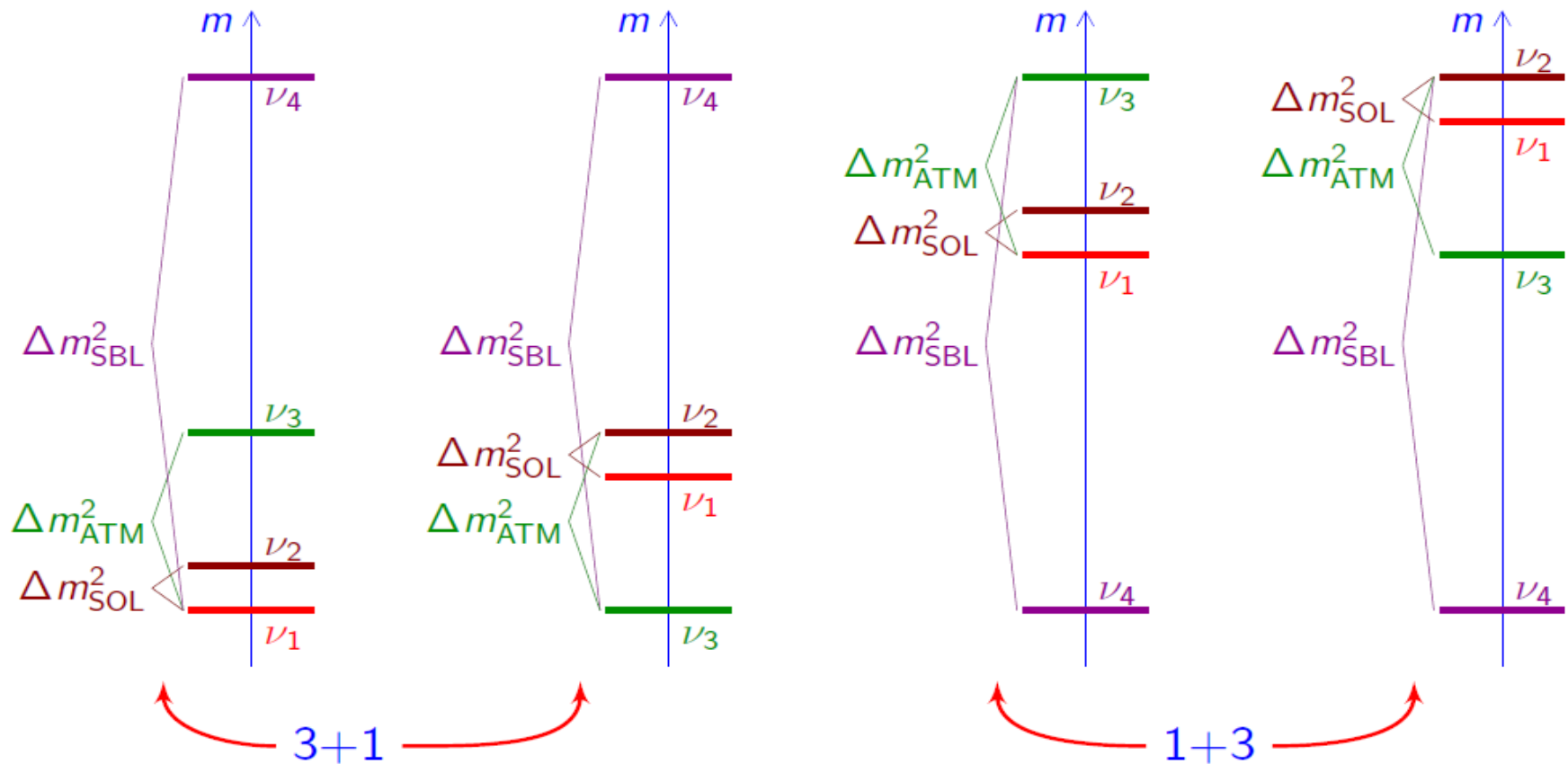


"2+2"



"3+1"

3+1 and 1+3 schemes



- Perturbation of 3- ν Mixing: $|U_{e4}|^2, |U_{\mu 4}|^2, |U_{\tau 4}|^2 \ll 1 \quad |U_{s4}|^2 \simeq 1$
- 1+3 schemes are disfavored by cosmology (Λ CDM):

$$\sum_{k=1}^3 m_k < 0.21 \text{ eV (95\%, Planck TT + lowP + BAO) [arXiv:1502.01589]}$$

Effective SBL oscillations in 3+1 schemes

Appearance ($\alpha \neq \beta$)

$$P_{\nu_\alpha \rightarrow \nu_\beta}^{\text{SBL}(-)(-)} \simeq \sin^2 2\vartheta_{\alpha\beta} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{\alpha\beta} = 4|U_{\alpha 4}|^2 |U_{\beta 4}|^2$$

Disappearance

$$P_{\nu_\alpha \rightarrow \nu_\alpha}^{\text{SBL}(-)(-)} \simeq 1 - \sin^2 2\vartheta_{\alpha\alpha} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{\alpha\alpha} = 4|U_{\alpha 4}|^2 (1 - |U_{\alpha 4}|^2)$$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

SBL

- ▶ 6 mixing angles
- ▶ 3 Dirac CP phases
- ▶ 3 Majorana CP phases

▶ CP violation is not observable in SBL experiments!

▶ Observable in LBL accelerator exp. sensitive to Δm_{ATM}^2 [de Gouvea et al, PRD 91 (2015) 053005, PRD 92 (2015) 073012, arXiv:1605.09376; Palazzo et al, PRD 91 (2015) 073017, PLB 757 (2016) 142; Gandhi et al, JHEP 1511 (2015) 039] and solar exp. sensitive to Δm_{SOL}^2 [Long, Li, CG, PRD 87, 113004 (2013) 113004]

Appearance-Disappearance Tension

$$\nu_e \text{ DIS} \\ \sin^2 2\vartheta_{ee} \simeq 4|U_{e4}|^2$$

$$\nu_\mu \text{ DIS} \\ \sin^2 2\vartheta_{\mu\mu} \simeq 4|U_{\mu4}|^2$$

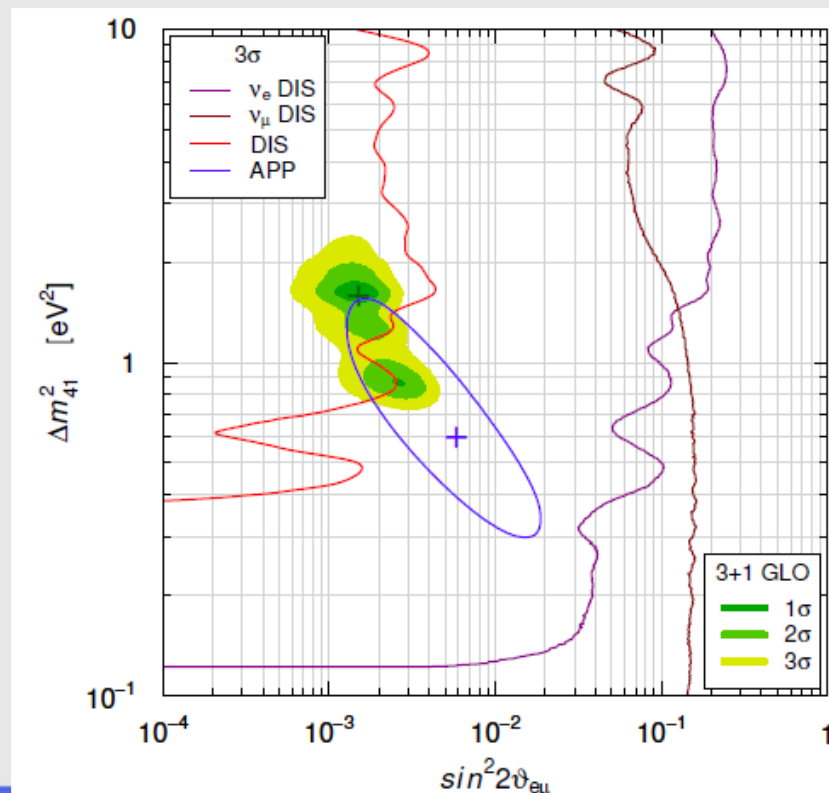
$$\nu_\mu \rightarrow \nu_e \text{ APP} \\ \sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2|U_{\mu4}|^2 \simeq \frac{1}{4} \sin^2 2\vartheta_{ee} \sin^2 2\vartheta_{\mu\mu}$$

[Okada, Yasuda, IJMPA 12 (1997) 3669; Bilenky, CG, Grimus, EPJC 1 (1998) 247]

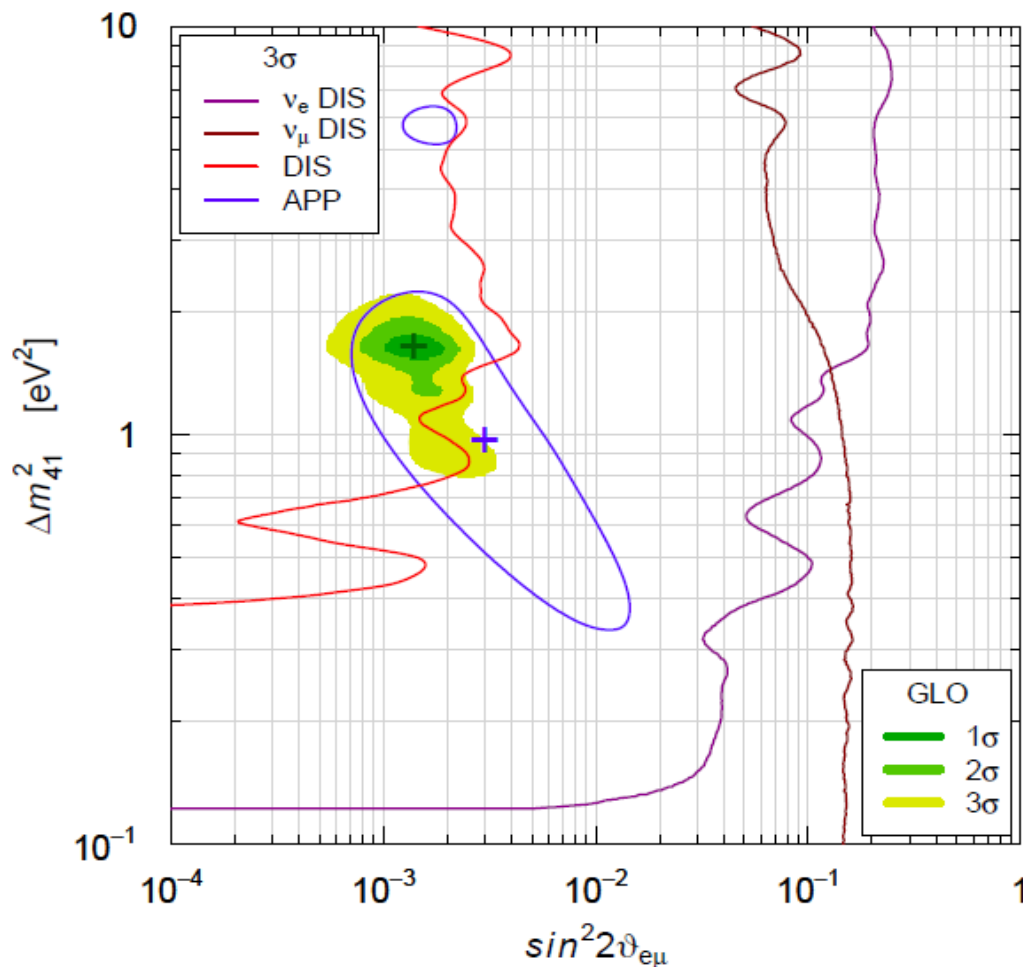
Disappearance channels (ee , $\mu\mu$)
give indirect constraints on the
appearance channel.

$\nu_\mu \rightarrow \nu_e$: the appearance channel
is quadratically suppressed.

Similar constraints in the 3+2,
3+3, 3+N_s framework.



Pragmatic approach

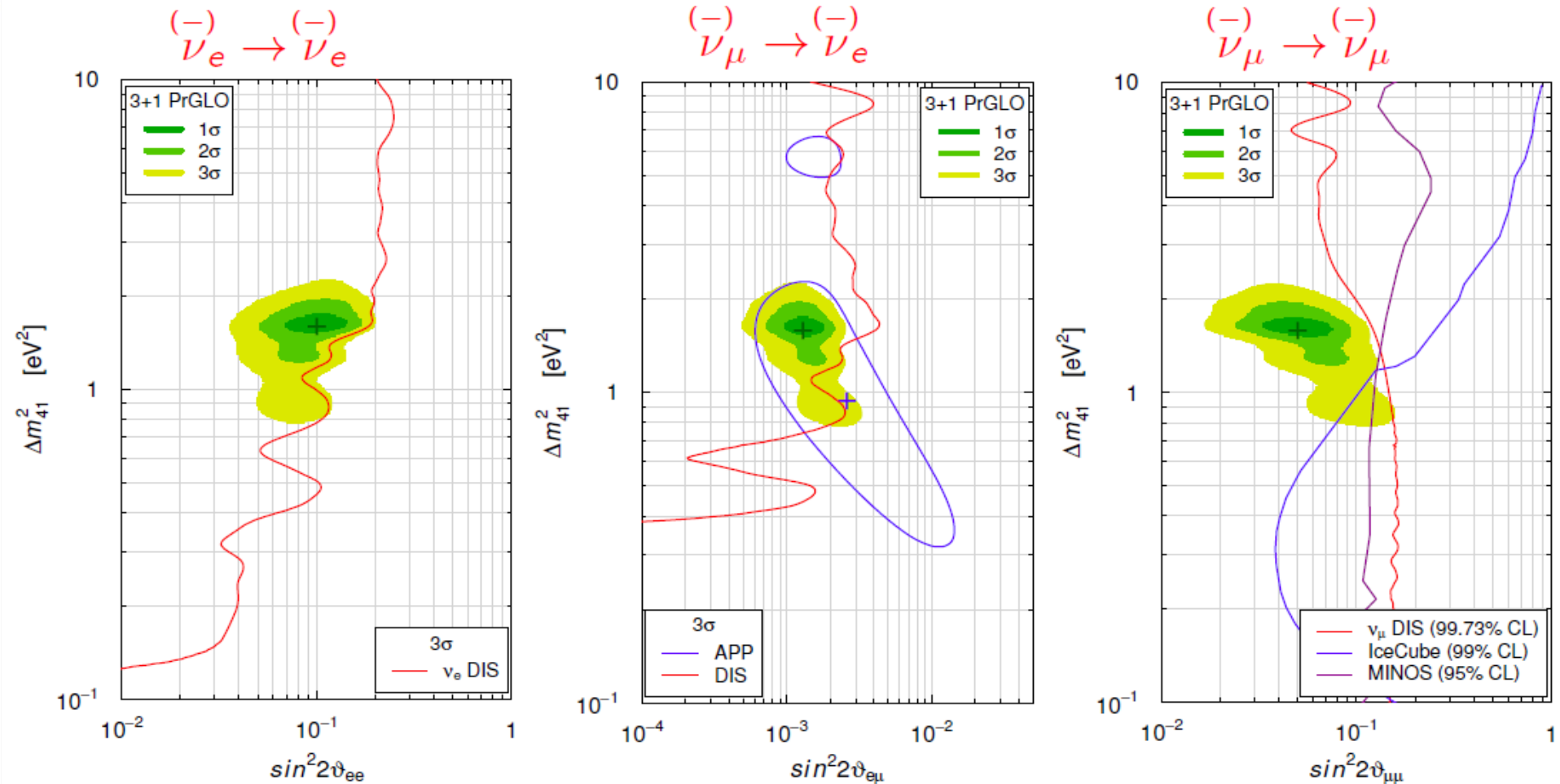


MiniBooNE $E > 475$ MeV
GoF = 26% PGoF = 7%

- ▶ APP $\nu_\mu \rightarrow \nu_e$ & $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$:
LSND (ν_s), MiniBooNE (?),
OPERA (~~ν_s~~), ICARUS (~~ν_s~~),
KARMEN (~~ν_s~~),
NOMAD (~~ν_s~~), BNL-E776 (~~ν_s~~)
- ▶ DIS ν_e & $\bar{\nu}_e$: Reactors (ν_s),
Gallium (ν_s), ν_e C (~~ν_s~~),
Solar (~~ν_s~~)
- ▶ DIS ν_μ & $\bar{\nu}_\mu$: CDHSW (~~ν_s~~),
MINOS (~~ν_s~~),
Atmospheric (~~ν_s~~),
MiniBooNE/SciBooNE (~~ν_s~~)

No Osc. nominally disfavored
at $\approx 6.3\sigma$
 $\Delta\chi^2/\text{NDF} = 47.7/3$

Global status of the 3+1 fit



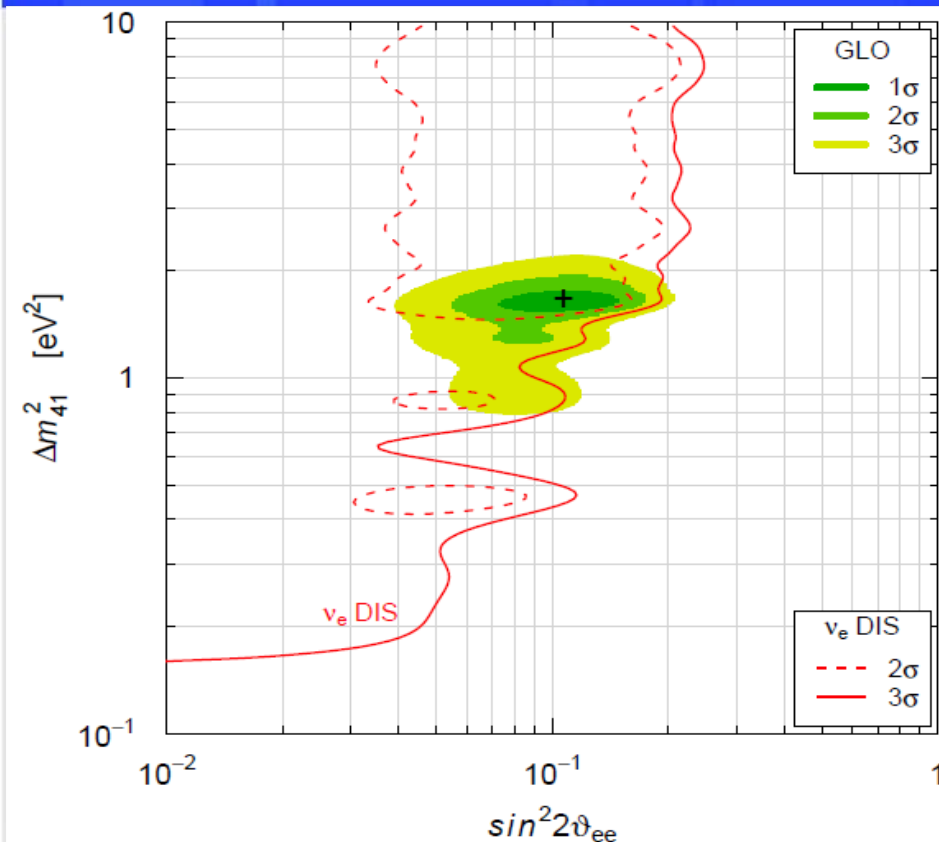
GoF = 24% PGoF = 7%
 No Osc. disfavored at $\approx 6.2\sigma$
 $\Delta\chi^2/\text{NDF} = 46.6/3$

Not yet included:

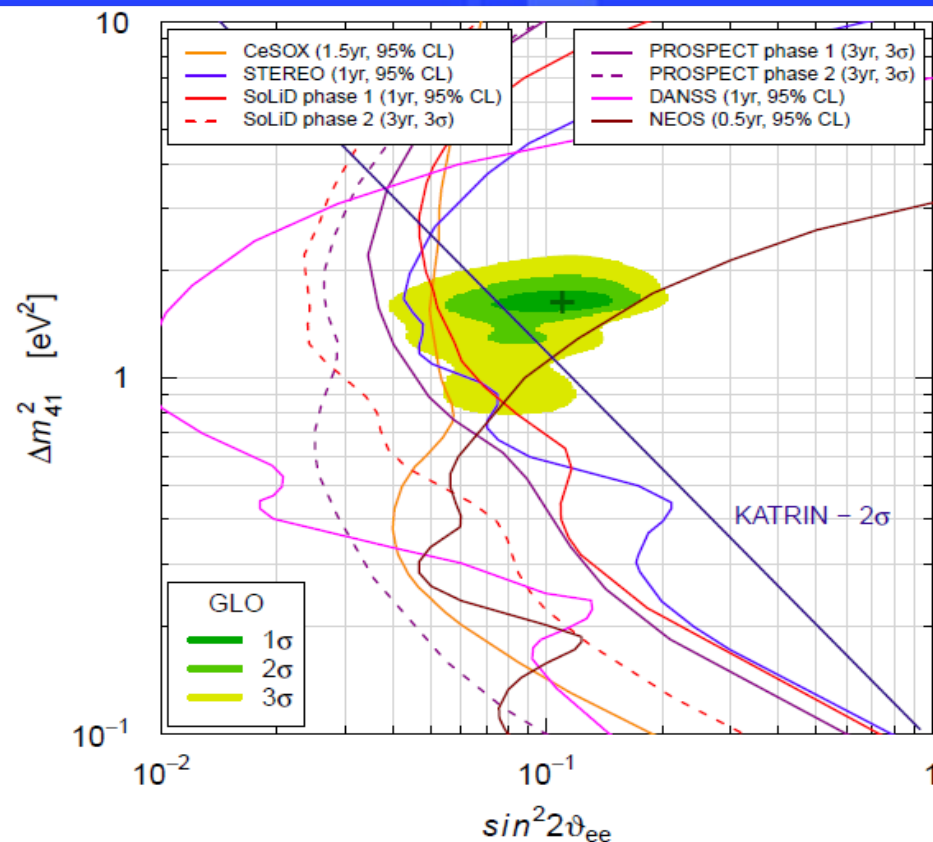
- IceCube, arXiv:1605.01990
- MINOS Preliminary, arXiv:1605.04544

Future prospective

Near future: ν_e disappearance

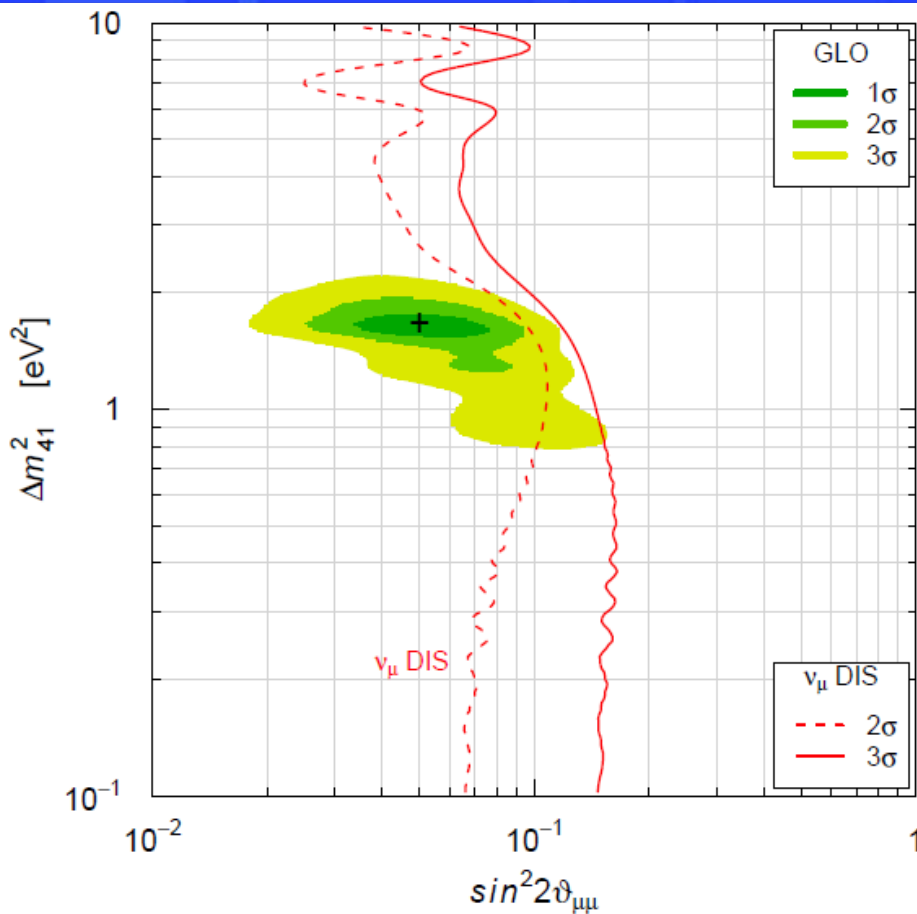


CeSOX (BOREXINO, Italy)
 $^{144}\text{Ce} - 100 \text{ kCi}$ [Vivier@TAUP2015]
 rate: 1% normalization uncertainty
 8.5 m from detector center
 KATRIN (Germany)
 Tritium β decay [Mertens@TAUP2015]

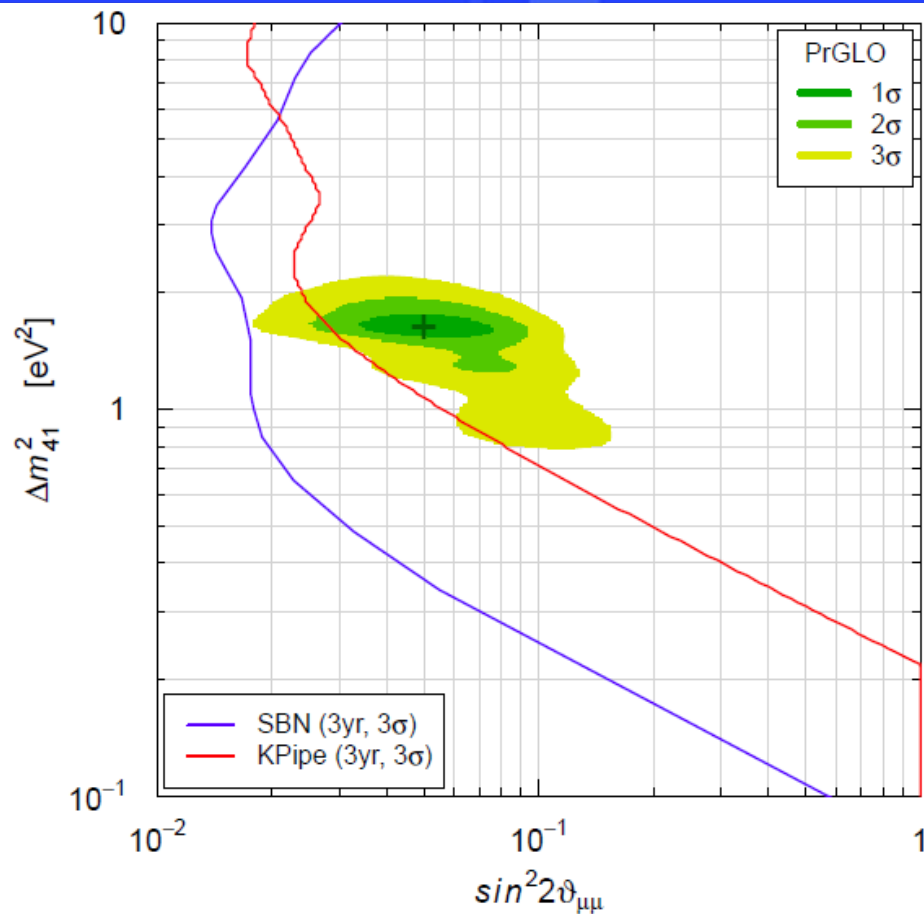


STEREO (France) $L \simeq 8\text{-}12\text{m}$ [Sanchez@EPSHEP2015]
 SoLiD (Belgium) $L \simeq 5\text{-}8\text{m}$ [Yermia@TAUP2015]
 PROSPECT (USA) $L \simeq 7\text{-}12\text{m}$ [Heeger@TAUP2015]
 DANSS (Russia) $L \simeq 10\text{-}12\text{m}$ [arXiv:1412.0817]
 NEOS (Korea) $L \simeq 25\text{m}$ [Oh@WIN2015]

Near future: ν_μ disappearance

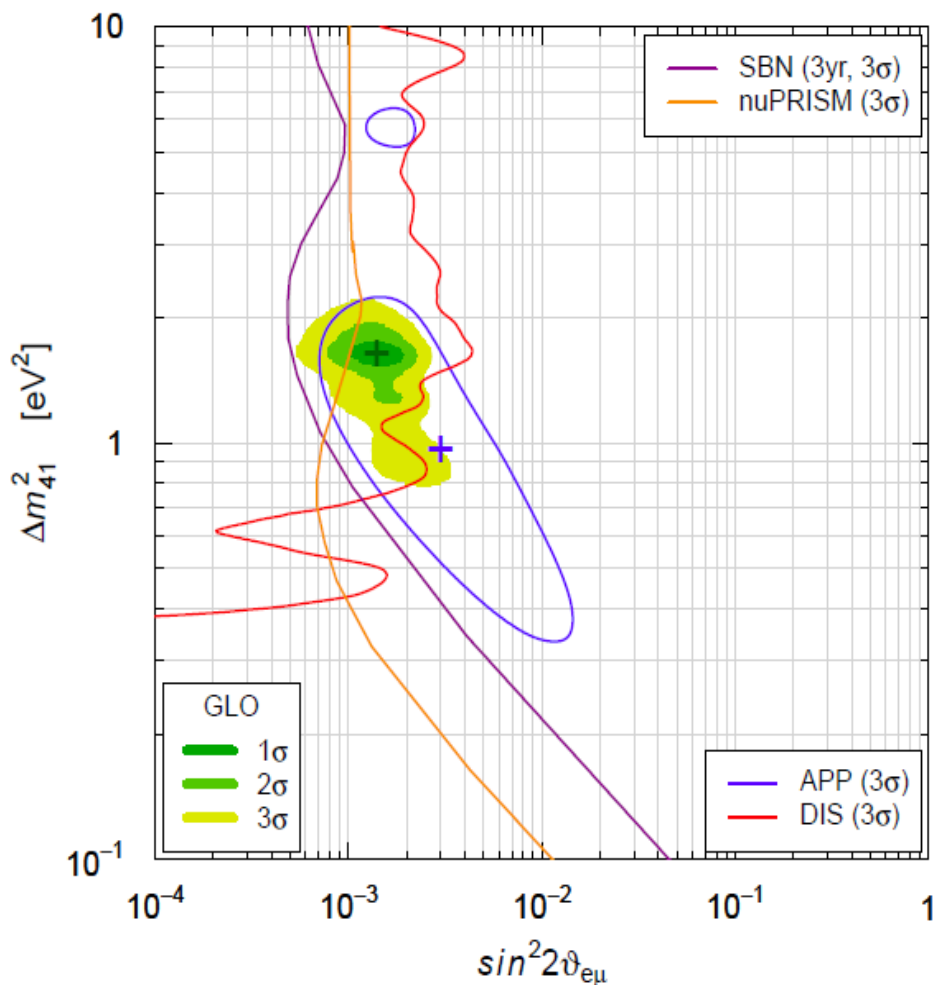


SBN (USA) [arXiv:1503.01520]
 LAr1-ND $L \simeq 100\text{m}$
 MicroBooNE $L \simeq 470\text{m}$
 ICARUS T600 $L \simeq 600\text{m}$



KPipe (Japan) [arXiv:1510.06994]
 $L \simeq 30\text{-}150\text{m}$
 120 m long detector!

Near future: $\nu\mu\rightarrow\nu e$ appearance



SBN (FNAL, USA)

[arXiv:1503.01520]

3 Liquid Argon TPCs

LAr1-ND $L \simeq 100$ m

MicroBooNE $L \simeq 470$ m

ICARUS T600 $L \simeq 600$ m

nuPRISM (J-PARC, Japan)

[Wilking@NNN2015]

$L \simeq 1$ km

50 m tall water Cherenkov detector

1° – 4° off-axis

can be improved with T2K ND

Conclusions (1)

(1) Short baseline electron neutrino disappearance:

- a) Experimental data **agree** on reactor and Gallium anomalies
- b) Problem:** total rates and spectra may have unknown systematic uncertainties
- c) Many promising projects using **reactors and radioactive sources**

(2) LSND short baseline appearance signal

- d) Not seen** by other appearance experiments
- e) MiniBooNE has been **inconclusive**
- f) Fermi-lab short baseline program** aimed at a conclusive solution:
 - a near detector (LAr1-ND), an intermediate detector (MicroBooNE) and a far detector (ICARUS-T600):
 - all Liquid Argon Time Projection Chambers

Conclusions (2)

Pragmatic 3+1 Fit:

has moderate APP-DIS tension.

3+2 is not needed:

same APP-DIS tension and no experimental evidence of CP violation.

Effects in beta decays and neutrinoless double beta decays

Cosmology:

Tension between $N_{\text{eff}} = 4$ and $m_s \approx 1$ eV.

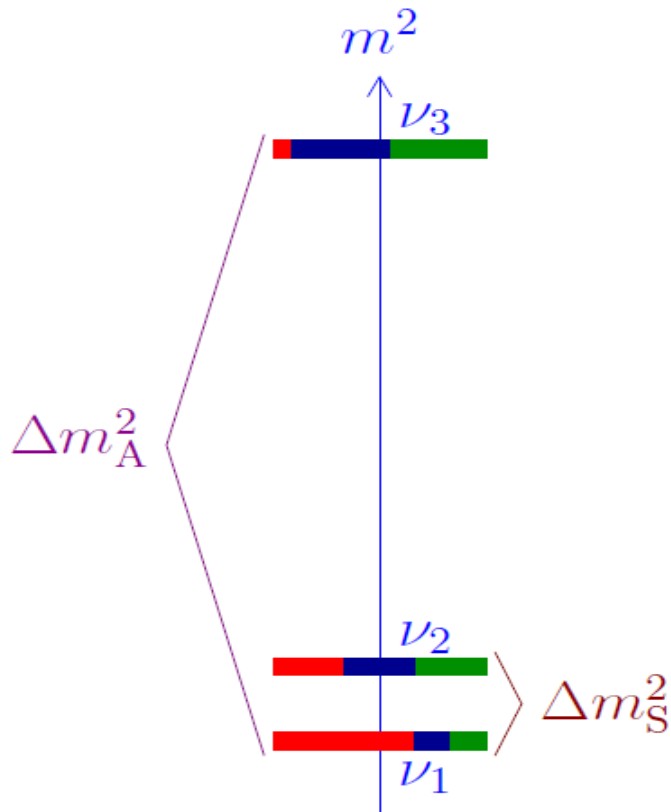
Cosmological and oscillation data may be reconciled by a non-standard cosmological mechanism.

Thank you

Backup

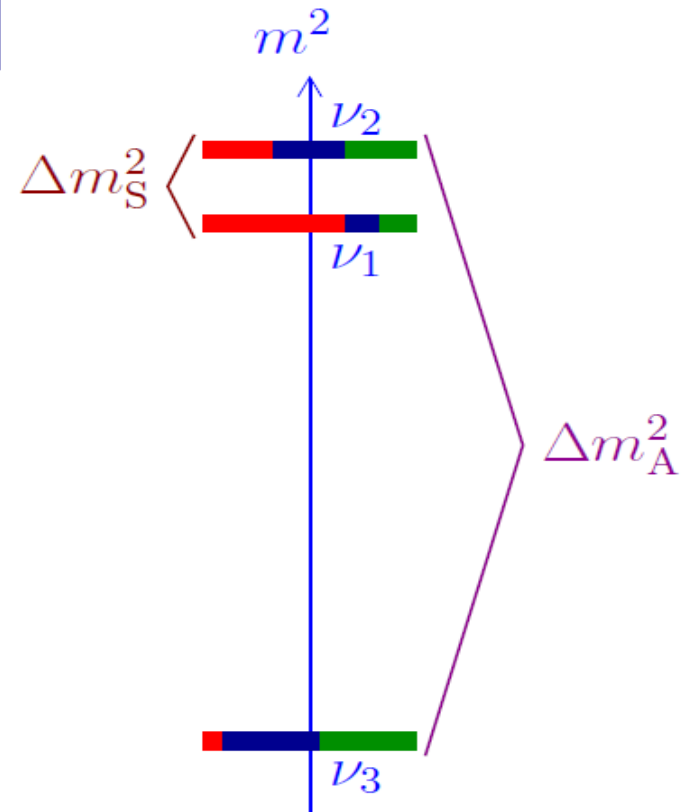
Unknown mass ordering

ν_e	ν_μ	ν_τ
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Normal Ordering

$$\Delta m_{31}^2 > \Delta m_{32}^2 > 0$$



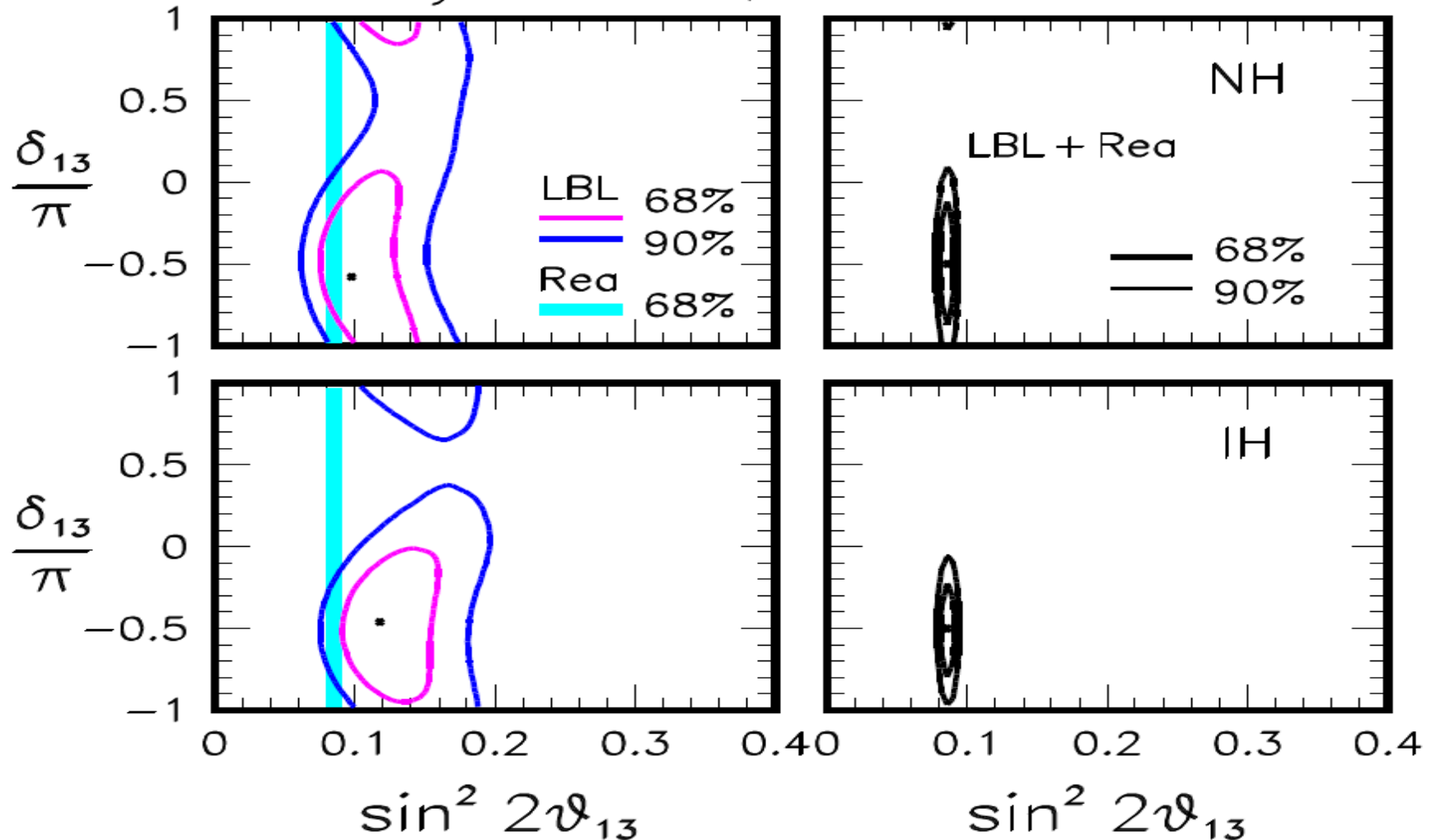
Inverted Ordering

$$\Delta m_{32}^2 < \Delta m_{31}^2 < 0$$

absolute scale is not determined by neutrino oscillation data

Maximal CP violation ?

3 ν analysis: T2K, NO ν A & Reactors

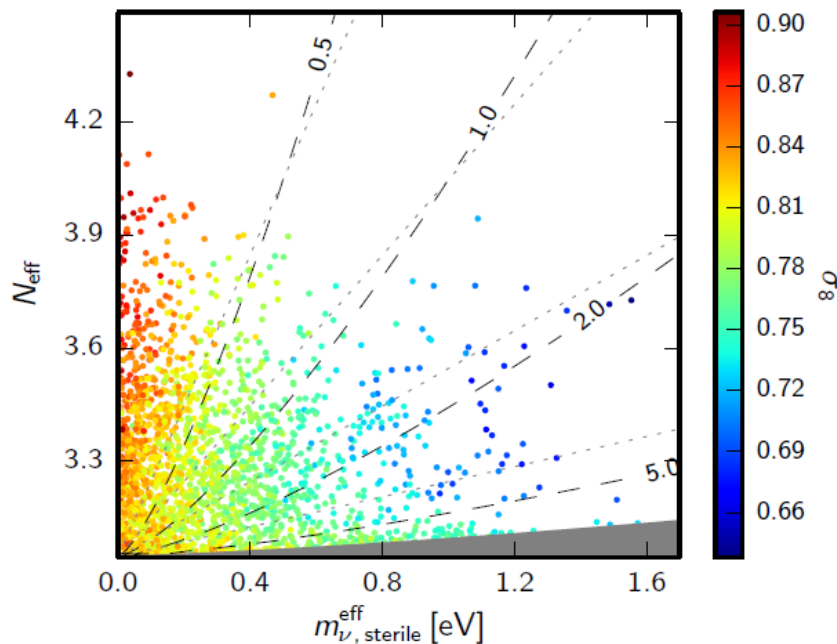


[Palazzo, arXiv:1509.03148]

Cosmological effects

Effects of two parameters: neutrino mass and effective degrees of freedom

$$\left. \begin{array}{l} N_{\text{eff}} < 3.7 \\ m_s^{\text{eff}} < 0.52 \text{ eV} \end{array} \right\} 95\%, \text{ Planck TT+lowP+lensing+BAO} \quad \text{Planck (2015)}$$

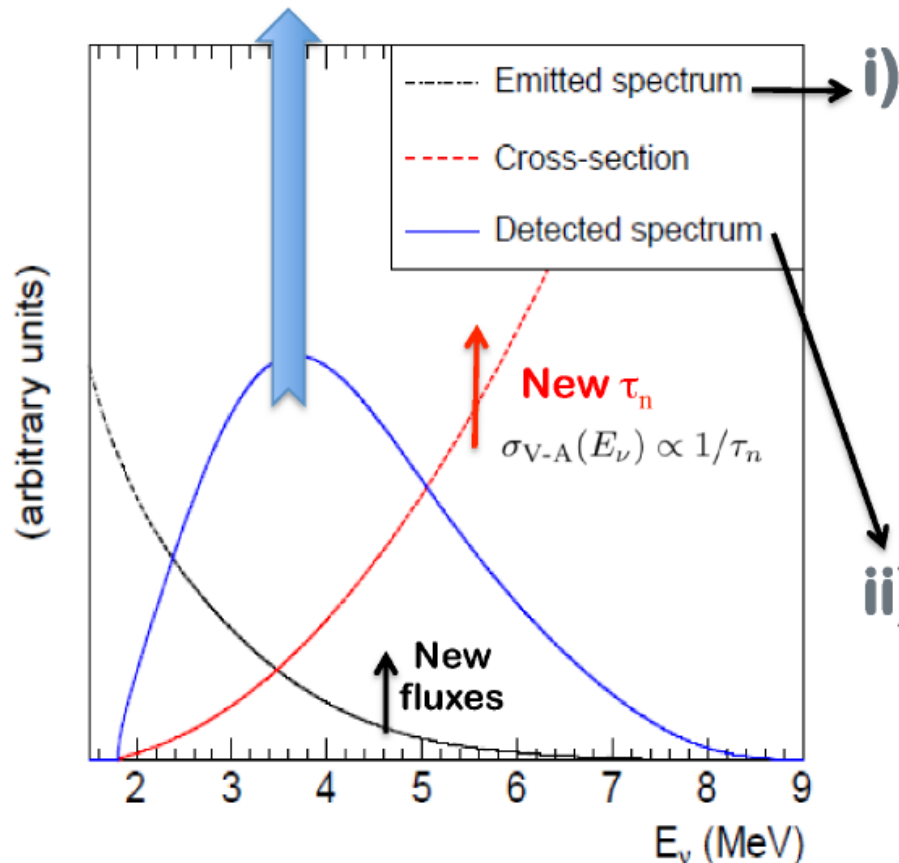


Proposed mechanisms to avoid the tension:
Large lepton asymmetry:
Hannestad et. al. (2012,2013),
Mirizzi, et. al. (2012), Saviano et al. (2013), etc.

Self-interactions in the sterile sector: *Hannestad et. al. (2014), Dasgupta, Kopp (2014), Ko, Tang (2014), etc.*

New Reactor $\bar{\nu}_e$ Fluxes

Increased prediction of
detected flux by 6.5%



Neutrino Emission:

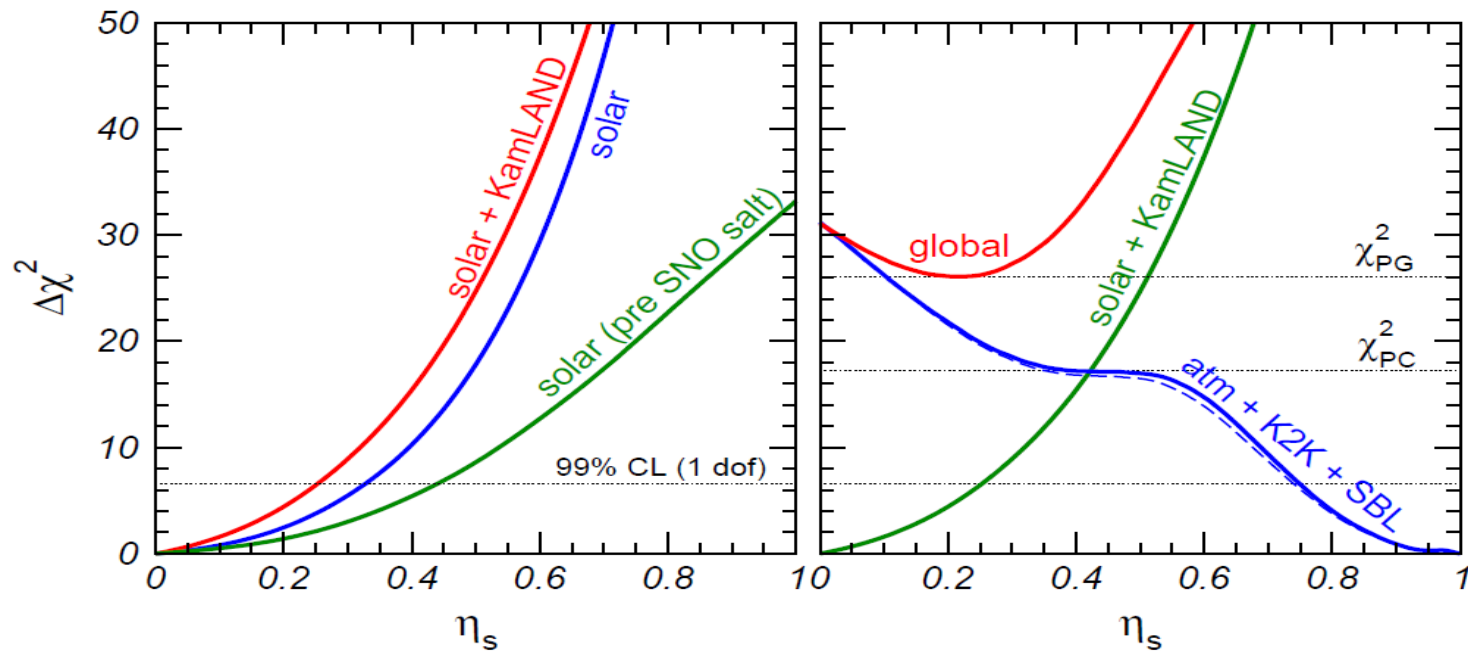
- Improved reactor neutrino spectra \rightarrow +3.5%
- Accounting for long-lived isotopes in reactors \rightarrow +1%

Neutrino Detection:

- Reevaluation of $\sigma_{IBD} \rightarrow$ +1.5% (evolution of the neutron life time)
- Reanalysis of all SBL experiments

[T. Lasserre, TAUP 2013]

2+2 schemes are disfavored



matter effects + SNO NC

matter effects

$$\eta_s = |U_{s1}|^2 + |U_{s2}|^2$$

$$1 - \eta_s = |U_{s3}|^2 + |U_{s4}|^2$$

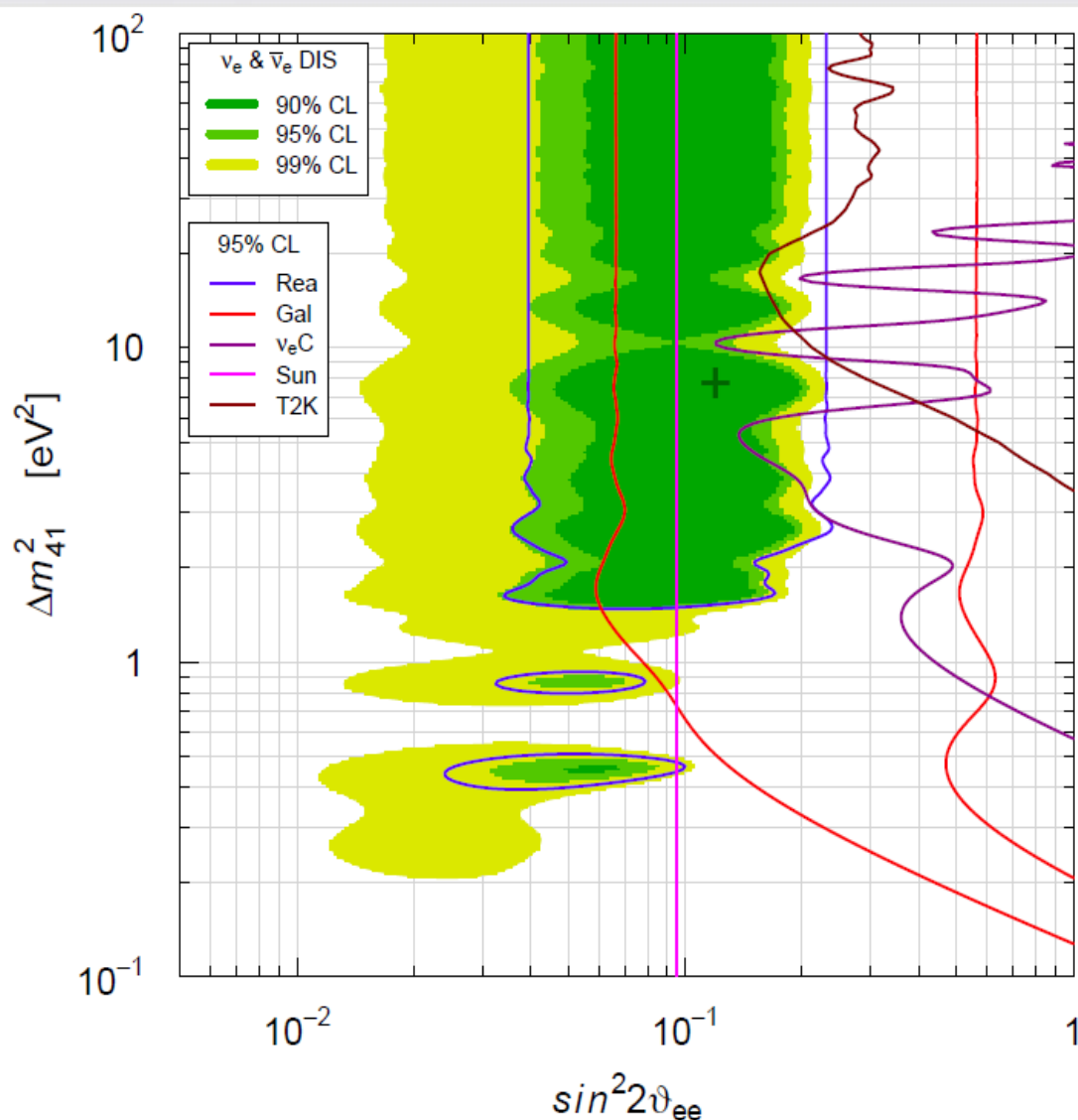
99% CL: $\begin{cases} \eta_s < 0.25 & (\text{solar} + \text{KamLAND}) \\ \eta_s > 0.75 & (\text{atmospheric} + \text{K2K}) \end{cases}$

[Maltoni, Schwetz, Tortola, Valle, New J. Phys. 6 (2004) 122, arXiv:hep-ph/0405172]

CL	$\Delta m_{41}^2 [\text{eV}^2]$	$\sin^2 2\vartheta_{e\mu}$	$\sin^2 2\vartheta_{ee}$	$\sin^2 2\vartheta_{\mu\mu}$
68.27%	$1.57 - 1.72$	$0.0011 - 0.0018$	$0.085 - 0.13$	$0.039 - 0.066$
90.00%	$1.53 - 1.78$	$0.00098 - 0.0020$	$0.071 - 0.15$	$0.032 - 0.078$
95.45%	$1.50 - 1.84$	$0.00089 - 0.0021$	$0.063 - 0.16$	$0.030 - 0.085$
99.00%	$1.24 - 1.95$	$0.00074 - 0.0023$	$0.054 - 0.18$	$0.025 - 0.095$
99.73%	$0.87 - 2.04$	$0.00065 - 0.0026$	$0.046 - 0.19$	$0.021 - 0.12$

Table 5. Marginal allowed intervals of the oscillation parameters obtained in the global 3+1-PrGLO fit of short-baseline neutrino oscillation data.

Global ν_e and $\bar{\nu}_e$ Disappearance



Limits:

(1) Solar constraints on ϑ_{14} :

Fit of solar and KamLAND data with Daya Bay and RENO constraints on ϑ_{13} and free $|U_{\mu 4}|$ and $|U_{\tau 4}|$

(2) $\nu_e + C$ process

(3) T2K near detector

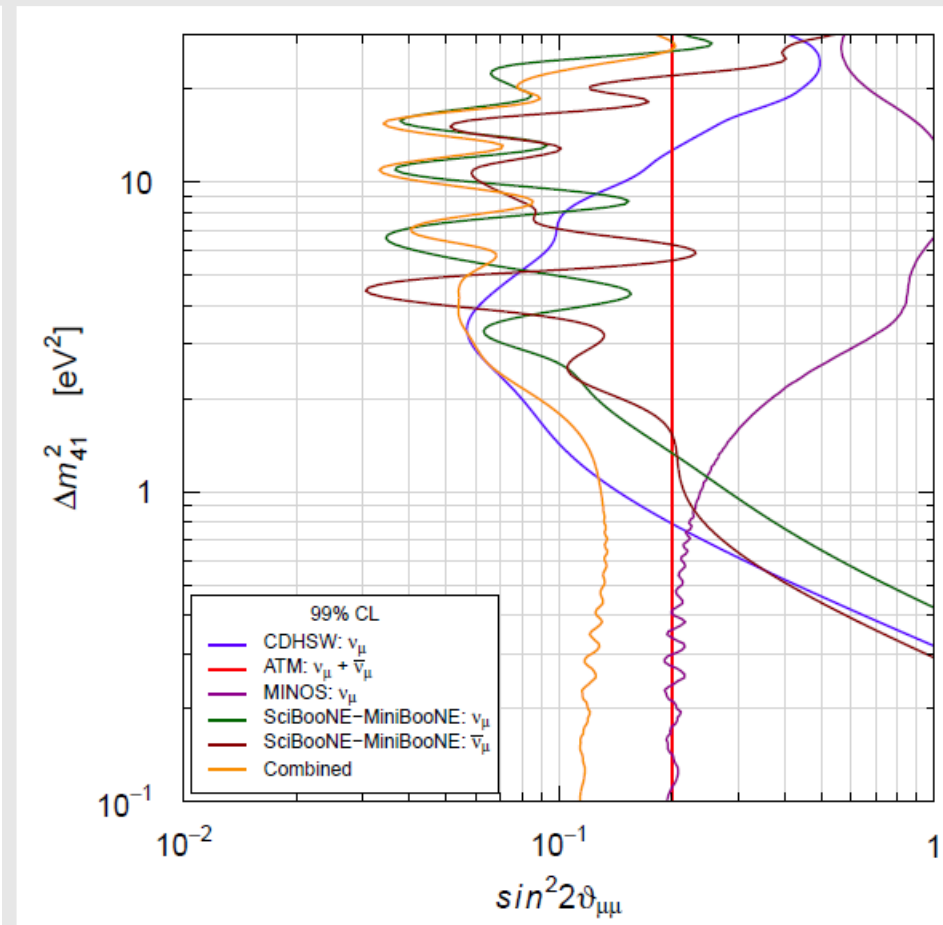
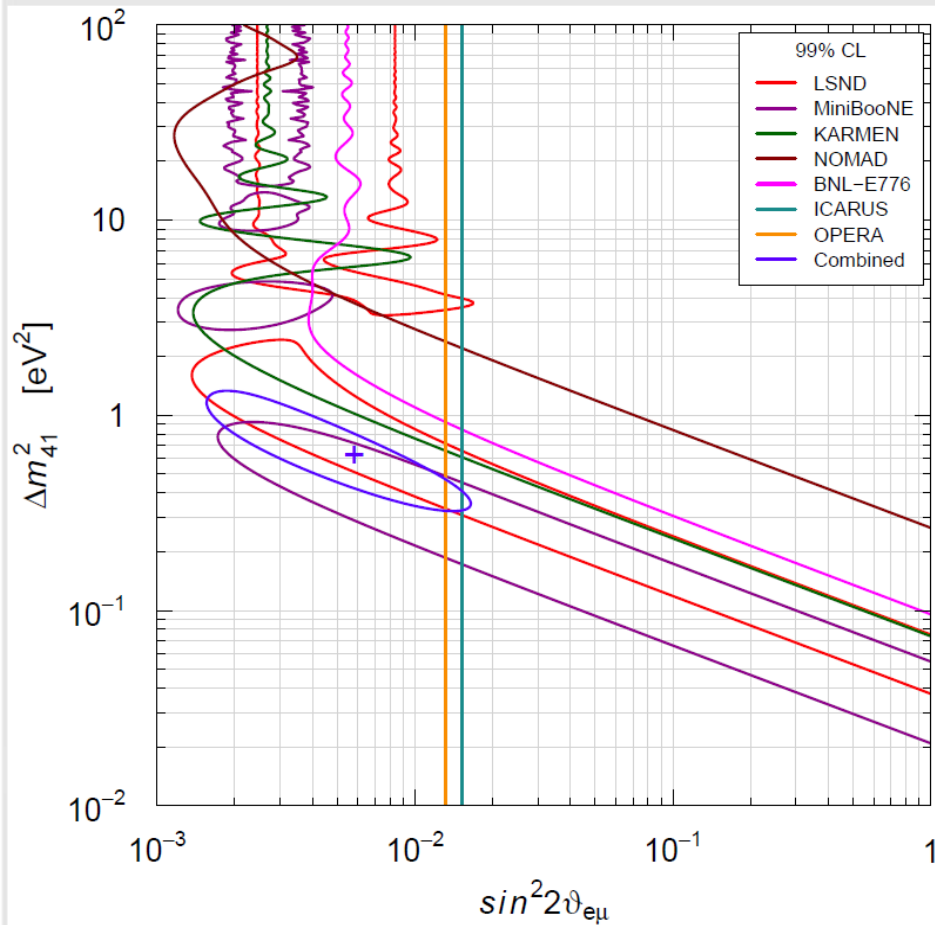
No Osc. excluded at 2.9σ
 $(\Delta\chi^2/\text{NDF} = 11.2/2)$

KARMEN + LSND $\nu_e + {}^{12}\text{C} \rightarrow {}^{12}\text{N}_{\text{g.s.}} + e^-$
 [Conrad, Shaevitz, PRD 85 (2012) 013017]
 [Giunti, Laveder, PLB 706 (2011) 200]

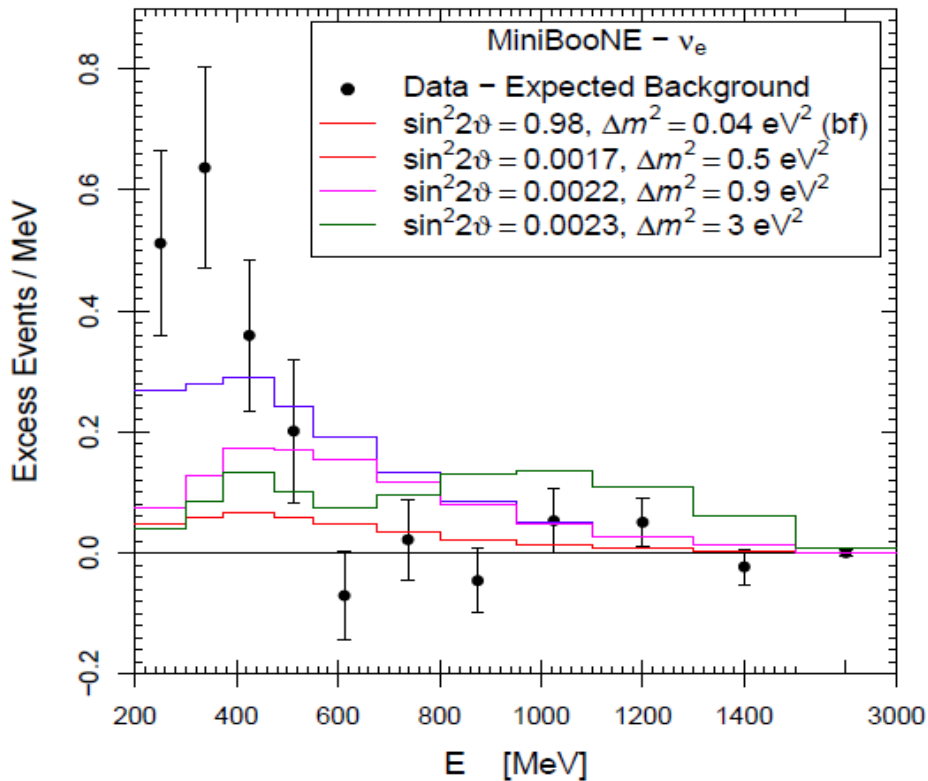
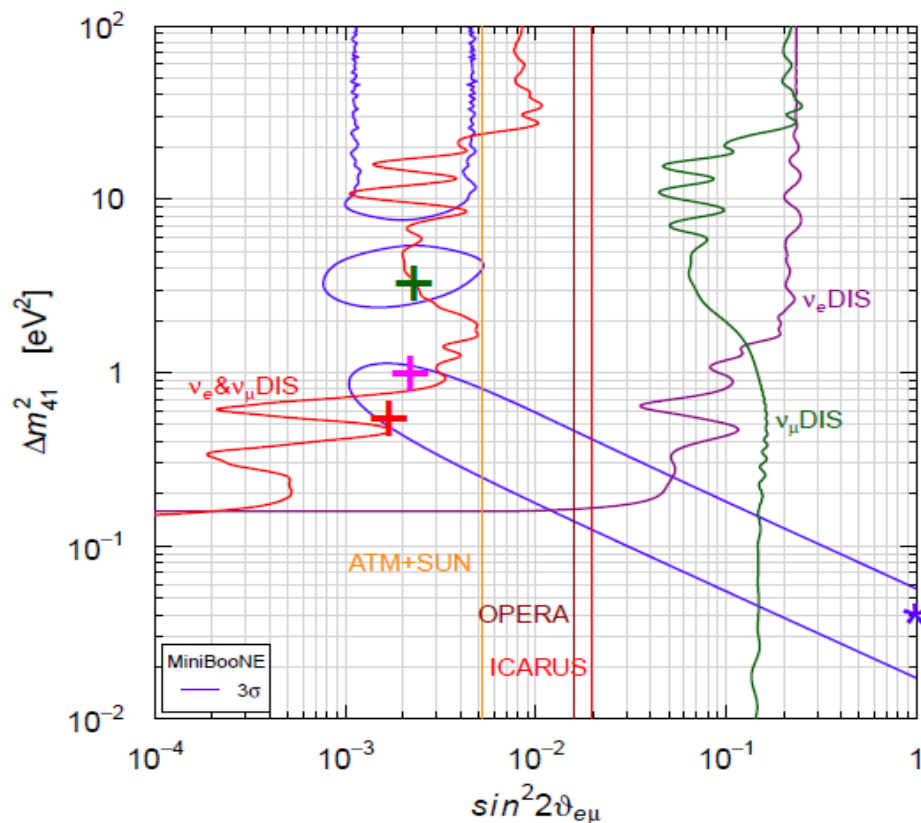
solar ν_e + KamLAND $\bar{\nu}_e + \vartheta_{13}$
 [Giunti, Li, PRD 80 (2009) 113007]
 [Palazzo, PRD 83 (2011) 113013; PRD 85 (2012) 077301]
 [Giunti, Laveder, Li, Liu, Long, PRD 86 (2012) 113014]

T2K Near Detector ν_e disappearance
 [T2K, PRD 91 (2015) 051102]

$\nu_\mu \rightarrow \nu_e$ appearance & ν_μ disappearance



MiniBooNE Low-Energy Excess?

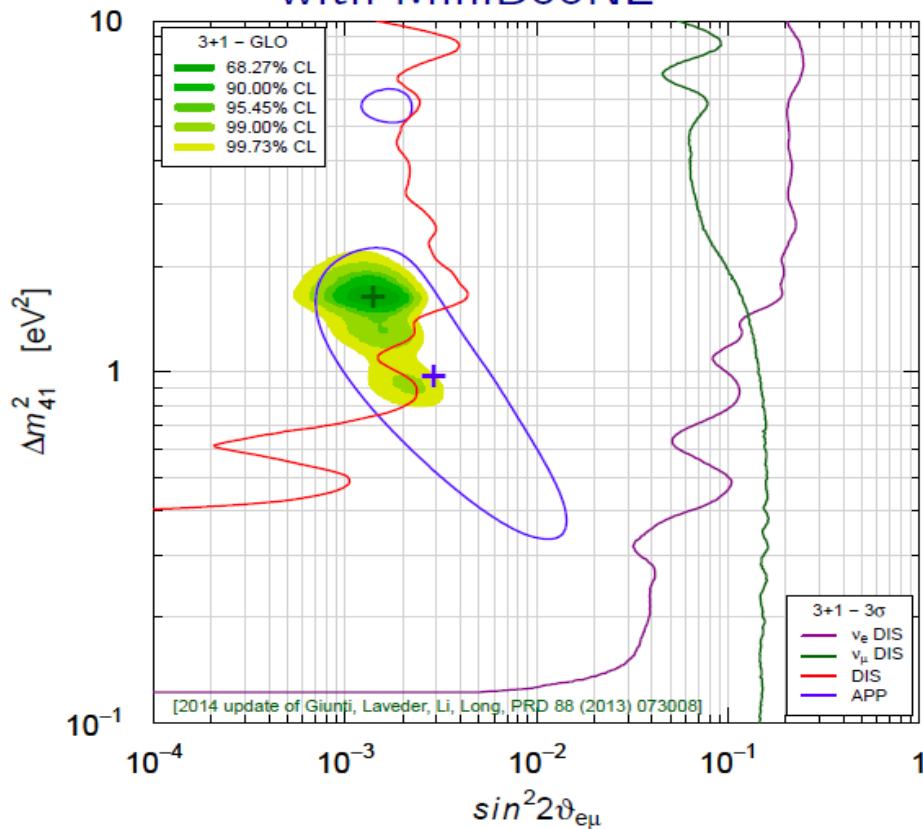


- ▶ No fit of low-energy excess for realistic $\sin^2 2\vartheta_{e\mu} \lesssim 3 \times 10^{-3}$
 - ▶ MB low-energy excess is the main cause of bad APP-DIS PGoF = 0.1%
 - ▶ **Pragmatic Approach:** discard the Low-Energy Excess because it is very likely not due to oscillations
- [Giunti, Laveder, Li, Long, PRD 88 (2013) 073008]

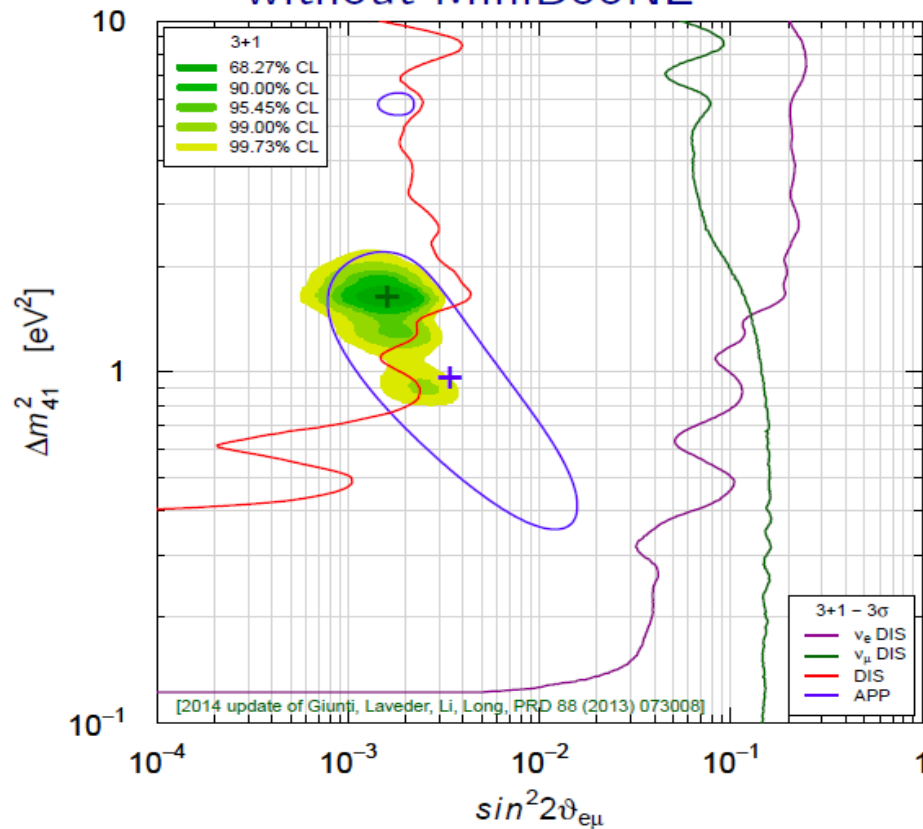
[Giunti, Laveder, Li, Long, PRD 88 (2013) 073008]

MiniBooNE Impact in Pragmatic 3+1 Fit?

with MiniBooNE



without MiniBooNE



GoF = 26% PGoF = 7%
 No Osc. nominally disfavored
 at $\approx 6.3\sigma$ ($\Delta\chi^2/\text{NDF} = 47.7/3$)

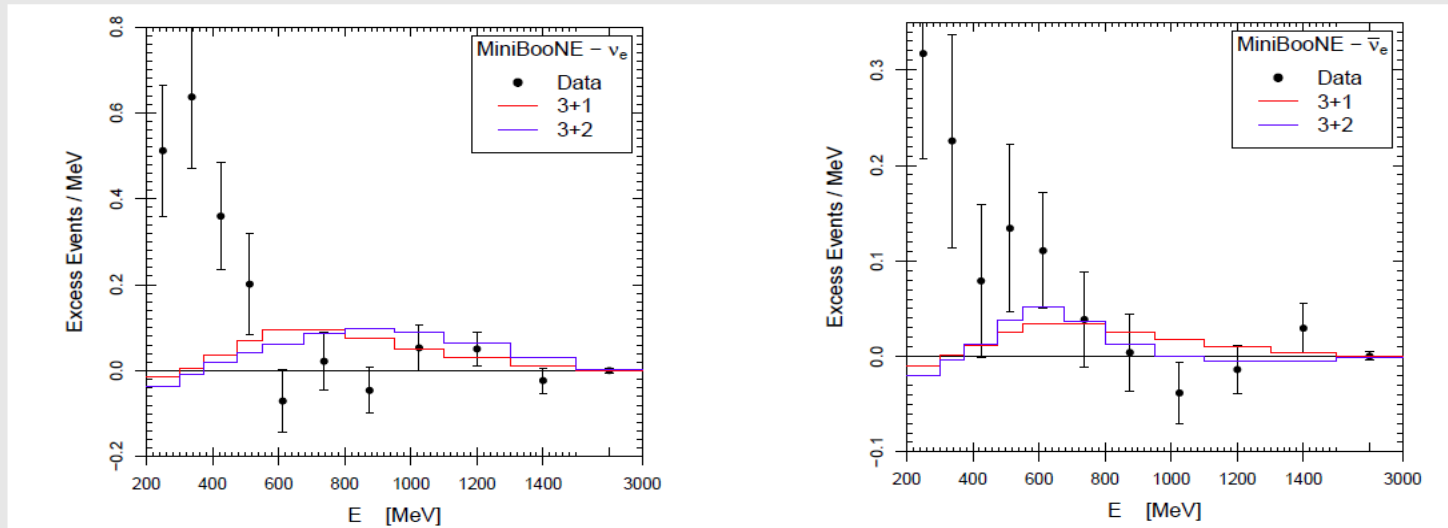
GoF = 16% PGoF = 5%
 No Osc. nominally disfavored
 at $\approx 6.4\sigma$ ($\Delta\chi^2/\text{NDF} = 48.1/3$)

Without LSND: No Osc. nominally disfavored at $\approx 2.6\sigma$ ($\Delta\chi^2/\text{NDF} = 11.4/3$)

Status of 3+2 fit

Can we include two sterile neutrinos to improve the fit?

- (1) It contains more mixing and mass parameters
- (2) It allows CP violation between neutrino and antineutrino channels



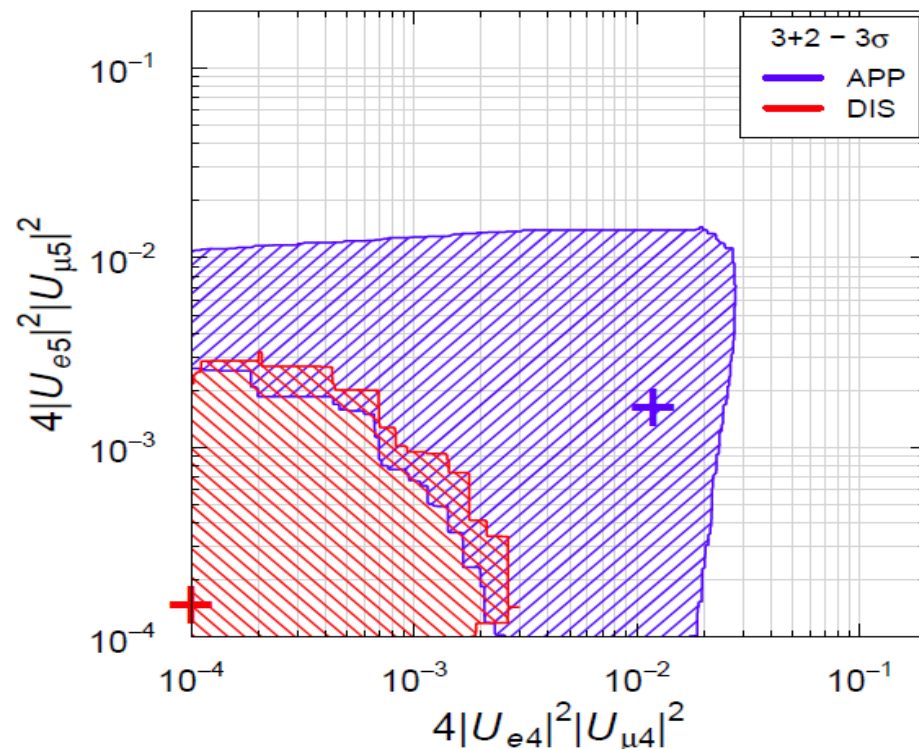
- ▶ 3+2 can fit slightly better the small $\bar{\nu}_e$ excess at about 600 MeV
- ▶ 3+2 fit of low-energy excess as bad as 3+1
- ▶ Claims that 3+2 can fit low-energy excess do not take into account constraints from other data

APP-DIS tension

Global Fits	Our Fit		KMMS	
	3+1	3+2	3+1	3+2
GoF	5%	7%	19%	23%
PGoF	0.1%	0.04%	0.01%	0.003%

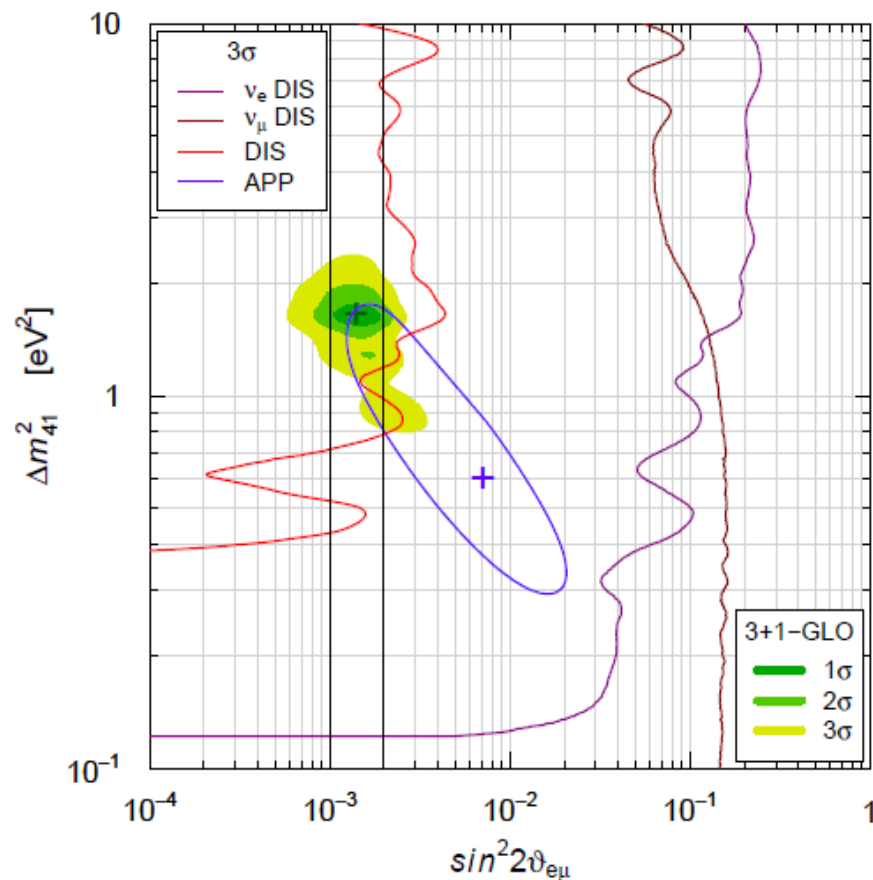
- ▶ Our Fit: Gariazzo, Giunti, Laveder, Li, Zavanin, JPG 43 (2016) 033001
- ▶ KMMS: Kopp, Machado, Maltoni, Schwetz, JHEP 1305 (2013) 050

APP-DIS 3+2 Tension:



Global 3+1 Fit

Our Fit

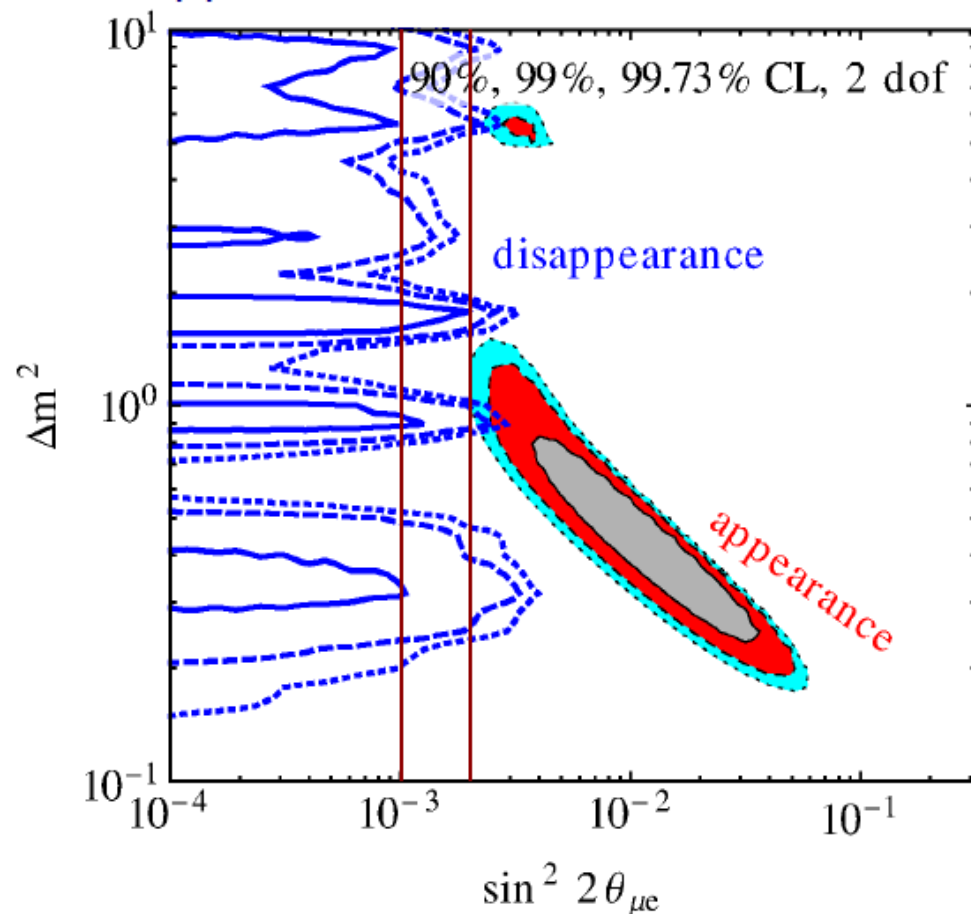


GoF = 5%

PGoF = 0.1%

[Gariazzo, Giunti, Laveder, Li, Zavanin, JPG 43 (2016) 033001]

Kopp, Machado, Maltoni, Schwetz



GoF = 19%

PGoF = 0.01%

[Kopp, Machado, Maltoni, Schwetz, JHEP 1305 (2013) 050]

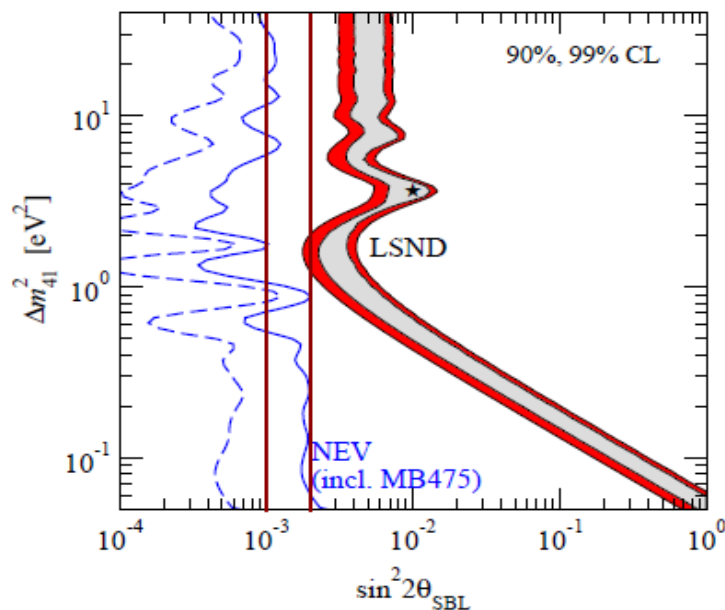
Different LSND Treatments

only LSND data from $\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu$ decay at rest

[Kopp, Machado, Maltoni, Schwetz]

[Maltoni, Schwetz,

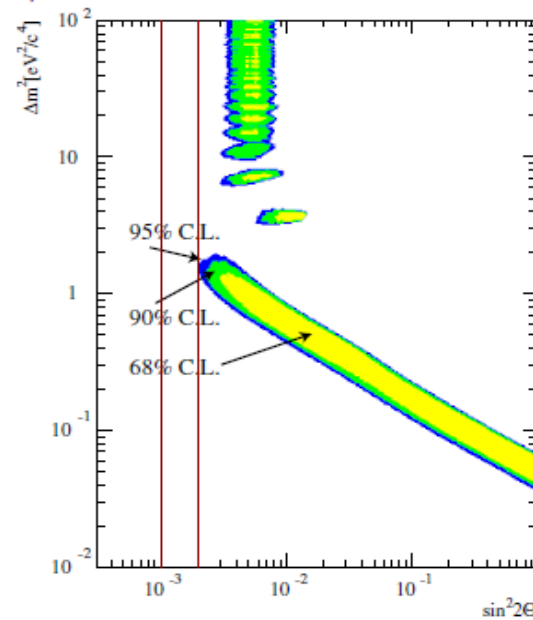
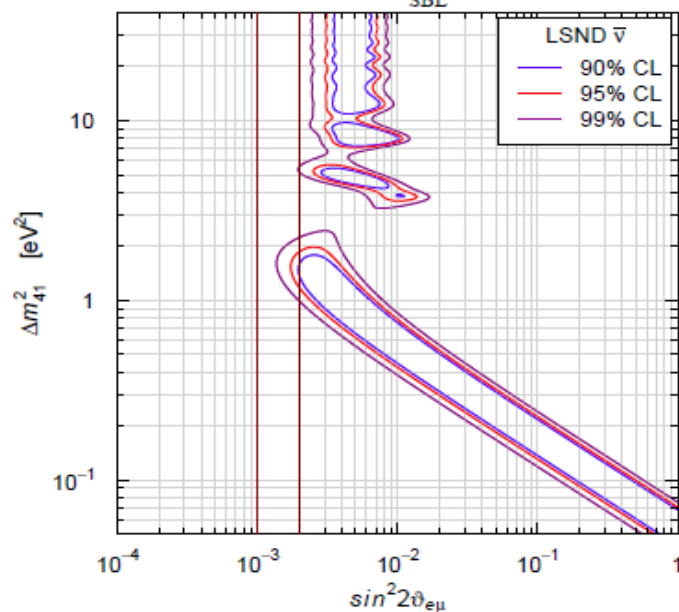
PRD 76 (2007) 093005]



[Our Fit]

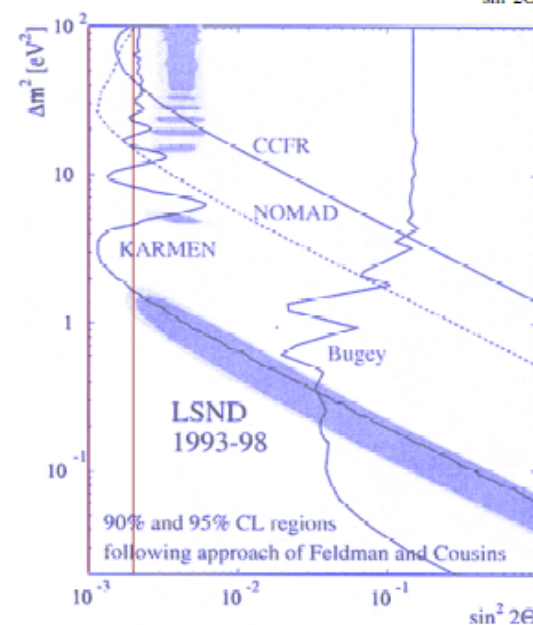
[improvement of Giunti, Laveder,

PRD 82 (2010) 093016]



[Church, Eitel, Mills, Steidl,

PRD 66 (2002) 013001]



[Church (LSND),

NPA 663 (2000) 799]

Goodness of Fit

- ▶ Assumption or approximation: Gaussian uncertainties and linear model
- ▶ χ^2_{\min} has χ^2 distribution with Number of Degrees of Freedom

$$\text{NDF} = N_D - N_P$$

N_D = Number of Data N_P = Number of Fitted Parameters

- ▶ $\langle \chi^2_{\min} \rangle = \text{NDF}$ $\text{Var}(\chi^2_{\min}) = 2\text{NDF}$

- ▶ $\text{GoF} = \int_{\chi^2_{\min}}^{\infty} p_{\chi^2}(z, \text{NDF}) dz$ $p_{\chi^2}(z, n) = \frac{z^{n/2-1} e^{-z/2}}{2^{n/2} \Gamma(n/2)}$

Parameter Goodness of Fit

Maltoni, Schwetz, PRD 68 (2003) 033020, arXiv:hep-ph/0304176

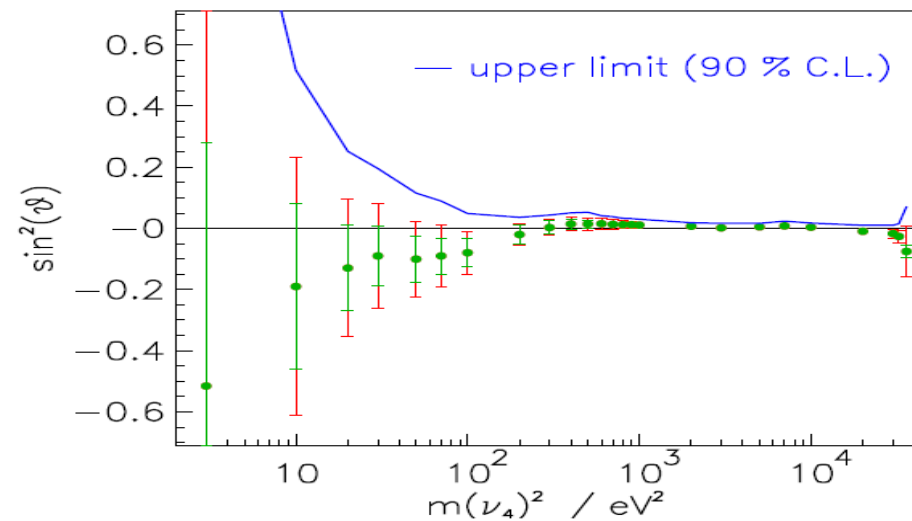
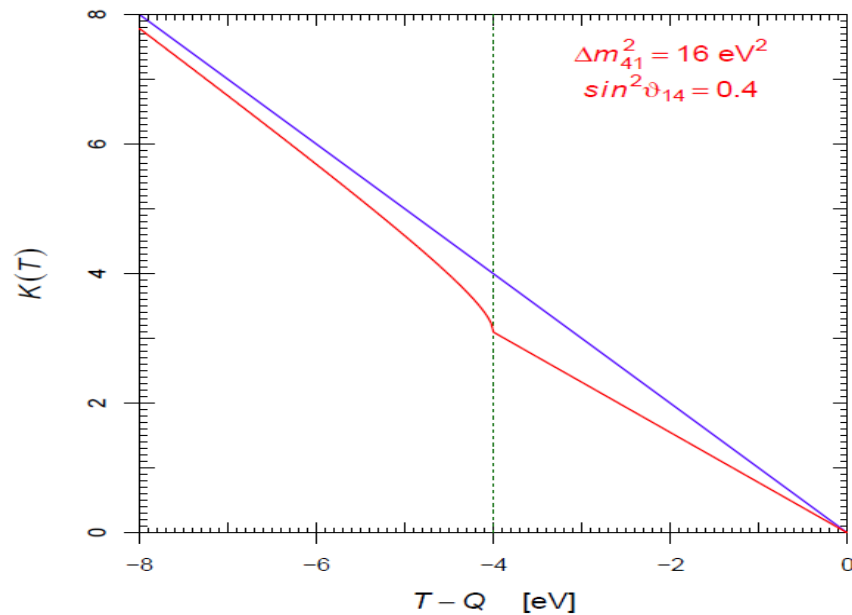
- ▶ Measure compatibility of two (or more) sets of data points A and B under fitting model
- ▶ $\chi^2_{\text{PGoF}} = (\chi^2_{\min})_{A+B} - [(\chi^2_{\min})_A + (\chi^2_{\min})_B]$
- ▶ χ^2_{PGoF} has χ^2 distribution with Number of Degrees of Freedom

$$\text{NDF}_{\text{PGoF}} = N_P^A + N_P^B - N_P^{A+B}$$

- ▶ $\text{PGoF} = \int_{\chi^2_{\text{PGoF}}}^{\infty} p_{\chi^2}(z, \text{NDF}_{\text{PGoF}}) dz$

Implications in non-oscillation probes

Beta decay: Mainz and Troitsk Limits

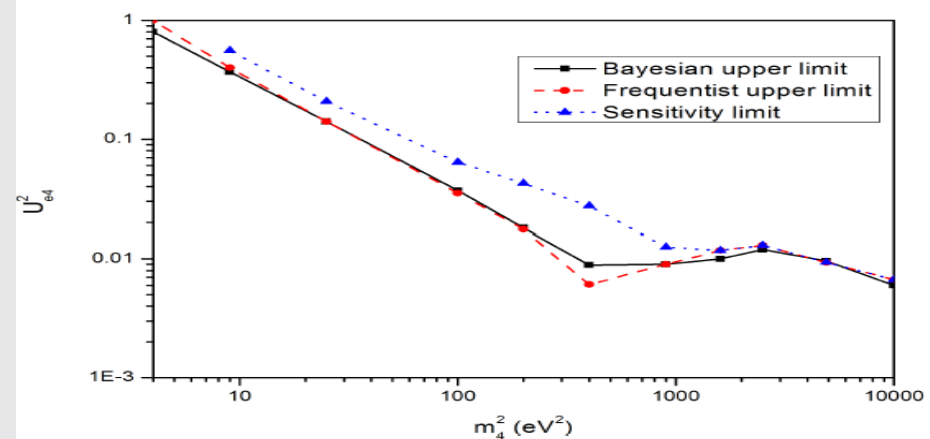


[Kraus, Singer, Valerius, Weinheimer, EPJC 73 (2013) 2323]

Search for spectra distortion can put limits on the heavy neutrino component.

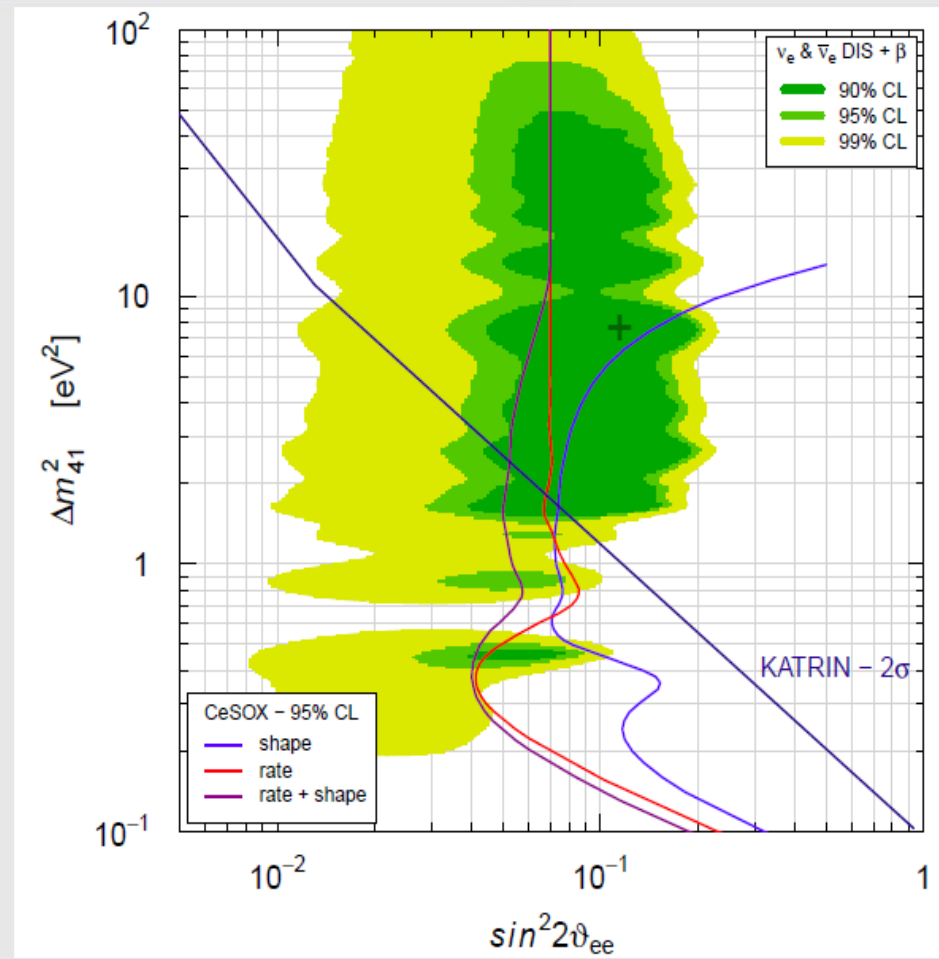
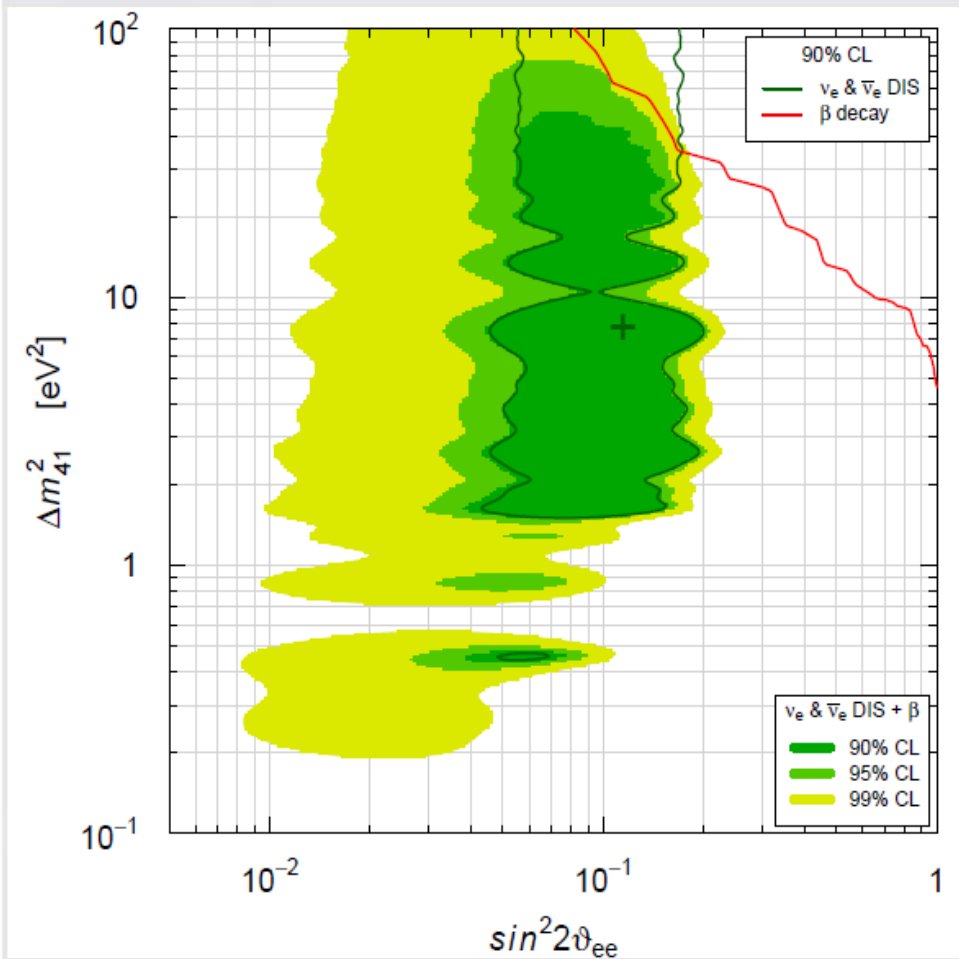
Current limits from Mainz and Troitsk are rather poor.

Future KATRIN is very competitive.



[Belesev et al, JPG 41 (2014) 015001]

Beta decay (2)

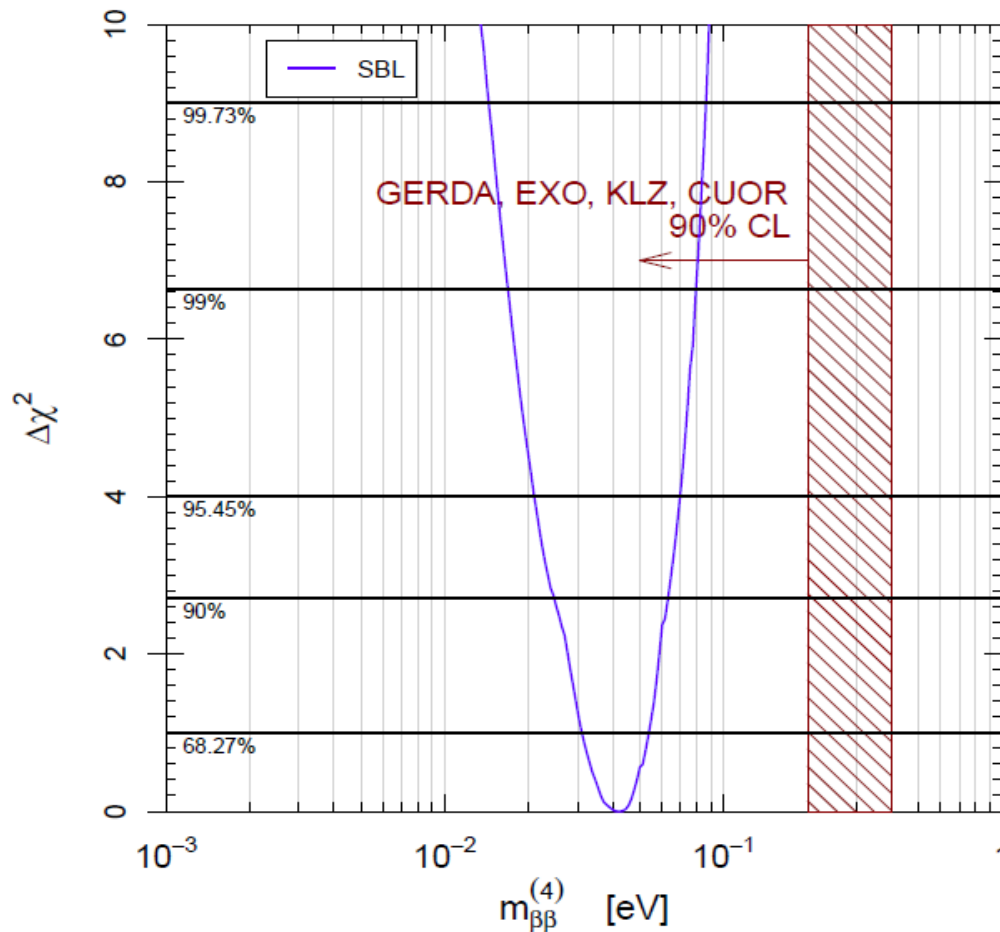


Mainz and Troitsk → lower limit:

$$7 \text{ cm} \lesssim \frac{L_{41}^{\text{osc}}}{E [\text{MeV}]} \lesssim 2 \text{ m} \quad (2\sigma)$$

Double beta decay

$$m_{\beta\beta} = |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_{21}} m_2 + |U_{e3}|^2 e^{i\alpha_{31}} m_3 + |U_{e4}|^2 e^{i\alpha_{41}} m_4$$



Pragmatic 3+1 Fit

$$m_{\beta\beta}^{(k)} = |U_{ek}|^2 m_k$$

$$m_1 \ll m_4$$



$$m_{\beta\beta}^{(4)} \simeq |U_{e4}|^2 \sqrt{\Delta m_{41}^2}$$

surprise:
possible cancellation
with $m_{\beta\beta}^{(3\nu)}$

[Barry et al, JHEP 07 (2011) 091]

[Li, Liu, PLB 706 (2012) 406]

[Rodejohann, JPG 39 (2012) 124008]

[Girardi, Meroni, Petcov, JHEP 1311 (2013) 146]

