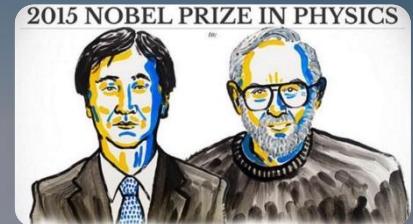
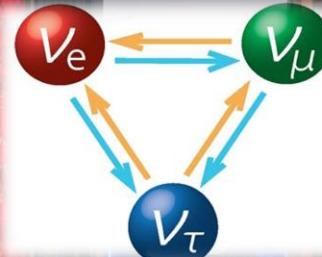
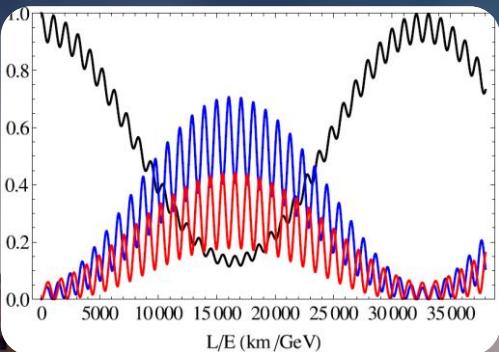


# Theoretical Overview on Neutrinos

Shun Zhou  
IHEP, Beijing



# History of Neutrino Oscillations

60 years  
(1956-2016)

**1930** neutrino hypothesis by Pauli

**1934** an effective theory for weak interactions by Fermi

**1956** electron antineutrino  $\bar{\nu}_e$  discovered by Cowan and Reines

**1957** neutrino-antineutrino transitions proposed by Pontecorvo

**1962** the 2<sup>nd</sup> family of neutrinos  $\nu_\mu/\bar{\nu}_\mu$  by Lederman, Schwarz & Steinberger

**1962** neutrino flavor conversions proposed by Maki, Nakagawa & Sakata

**1968** solar neutrinos  $\nu_e$  detected by Davis & solar neutrino problem

**1998** deficit of atmospheric neutrinos  $\nu_\mu/\bar{\nu}_\mu$  in Super-Kamiokande

**2000** the 3<sup>rd</sup> family of neutrinos  $\nu_\tau/\bar{\nu}_\tau$  discovered in DONUT

**2001** solar  $\nu_e$  and  $\nu_\mu/\nu_\tau$  neutrinos found via both CC and NC in SNO

**2002** KamLAND selects the LMA-MSW solution to solar neutrino problem

**2004** K2K & MINOS confirm the disappearance of atmospheric neutrinos

**2012** reactor antineutrino disappearance discovered by Daya Bay

# Lepton Flavor Mixing Matrix (PMNS)

## Standard Parametrization of the PMNS Matrix

$$V = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e^{i\rho} & 0 & 0 \\ 0 & e^{i\sigma} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\theta_{23} \sim 45^\circ$$

$$\theta_{13} \sim 9^\circ$$

$$\theta_{12} \sim 34^\circ$$

0v2 $\beta$ , LNV?

$$|\Delta m_{32}^2| \sim 2.5 \times 10^{-3} \text{ eV}^2$$

$$\delta \sim ?$$

$$\Delta m_{21}^2 \sim 7.5 \times 10^{-5} \text{ eV}^2$$

Atmospheric,  
LBL accelerator

Reactor,  
LBL accelerator

Solar,  
KamLAND

## Quarks vs. Leptons: A big puzzle of fermion flavor mixings

CKM

©Z.Z. Xing

$$|U| = \begin{pmatrix} \text{Yellow} & \text{Green} & \cdot \\ \text{Green} & \text{Yellow} & \cdot \\ \cdot & \cdot & \text{Yellow} \end{pmatrix}$$

Strong Hierarchy!

0.004  
0.999

PMNS

$$|V| = \begin{pmatrix} \text{Yellow} & \text{Green} & \cdot \\ \text{Green} & \text{Yellow} & \cdot \\ \cdot & \cdot & \text{Blue} \end{pmatrix}$$

0.8

0.2

Approximate  $\mu$ - $\tau$  symmetry?

# Current Status and Outlook

Gonzalez-Garcia et al., NuFIT 2.1 (2016)

LID	Normal Ordering ( $\Delta\chi^2 = 0.55$ )	Inverted Ordering (best fit)	Any Ordering
$\sin^2 \theta_{12}$	$0.308^{+0.013}_{-0.012}$ $0.273 \rightarrow 0.349$	$0.308^{+0.013}_{-0.012}$ $0.273 \rightarrow 0.349$	$0.273 \rightarrow 0.349$
$\theta_{12}/^\circ$	$33.72^{+0.79}_{-0.76}$ $31.52 \rightarrow 36.18$	$33.72^{+0.79}_{-0.76}$ $31.52 \rightarrow 36.18$	$31.52 \rightarrow 36.18$
$\sin^2 \theta_{23}$	$0.451^{+0.038}_{-0.025}$ $0.387 \rightarrow 0.634$	$0.576^{+0.023}_{-0.033}$ $0.393 \rightarrow 0.636$	$0.389 \rightarrow 0.636$
$\theta_{23}/^\circ$	$42.2^{+2.2}_{-1.4}$ $38.5 \rightarrow 52.8$	$49.4^{+1.4}_{-1.9}$ $38.8 \rightarrow 52.9$	$38.6 \rightarrow 52.9$
$\sin^2 \theta_{13}$	$0.0219^{+0.0010}_{-0.0010}$ $0.0188 \rightarrow 0.0249$	$0.0219^{+0.0010}_{-0.0010}$ $0.0189 \rightarrow 0.0250$	$0.0189 \rightarrow 0.0250$
$\theta_{13}/^\circ$	$8.50^{+0.19}_{-0.20}$ $7.87 \rightarrow 9.08$	$8.51^{+0.20}_{-0.20}$ $7.89 \rightarrow 9.10$	$7.89 \rightarrow 9.10$
$\delta_{\text{CP}}/^\circ$	$303^{+39}_{-50}$ $0 \rightarrow 360$	$262^{+51}_{-57}$ $98 \rightarrow 416$	$0 \rightarrow 360$
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.49^{+0.19}_{-0.17}$ $7.02 \rightarrow 8.08$	$7.49^{+0.19}_{-0.17}$ $7.02 \rightarrow 8.08$	$7.02 \rightarrow 8.08$
$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.477^{+0.042}_{-0.042}$ $+2.351 \rightarrow +2.610$	$-2.465^{+0.041}_{-0.043}$ $-2.594 \rightarrow -2.339$	$[+2.355 \rightarrow +2.606]$ $-2.594 \rightarrow -2.339$
	bfp $\pm 1\sigma$ $3\sigma$ range	bfp $\pm 1\sigma$ $3\sigma$ range	$3\sigma$ range

## Neutrino Mass Hierarchy

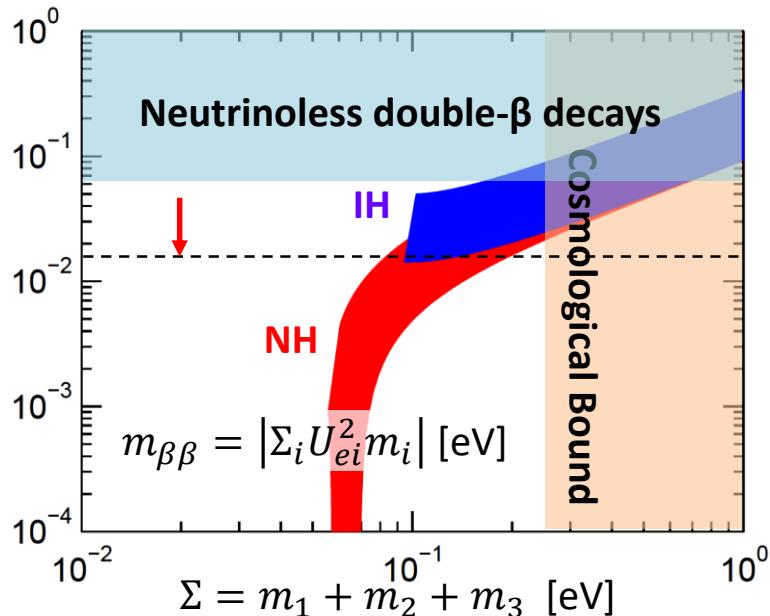
- Reactor: JUNO, RENO-50
- LBL Acc.: T2K, NOvA, LBNF/DUNE
- Atm: PINGU, ORCA, Hyper-K, INO

Absolute Masses: KATRIN,  $0\nu 2\beta$  (e.g.,  $^{136}\text{Xe}$  &  $^{76}\text{Ge}$ ), cosmology, ...

## Leptonic CP Violation

- LBL Acc.: LBNF/DUNE
- Super-B: ESSvSB, MOMENT
- NF & Beta-Beams

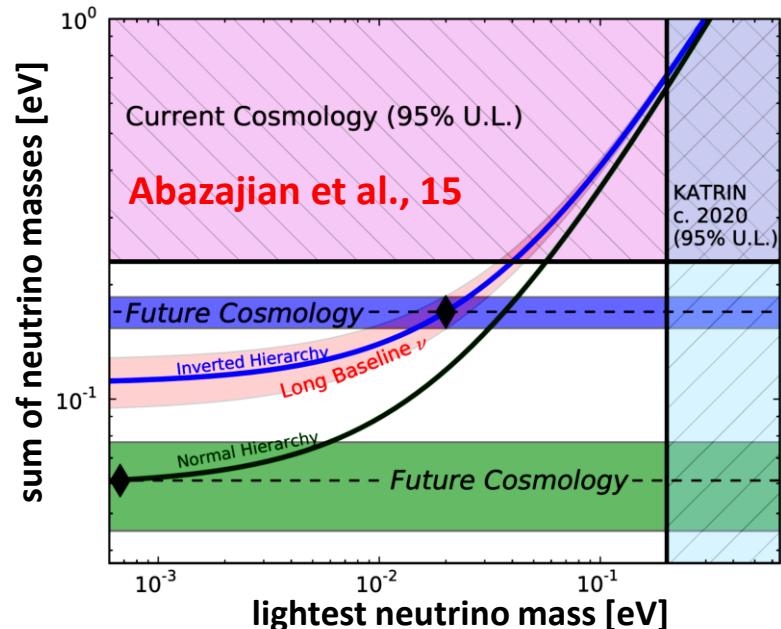
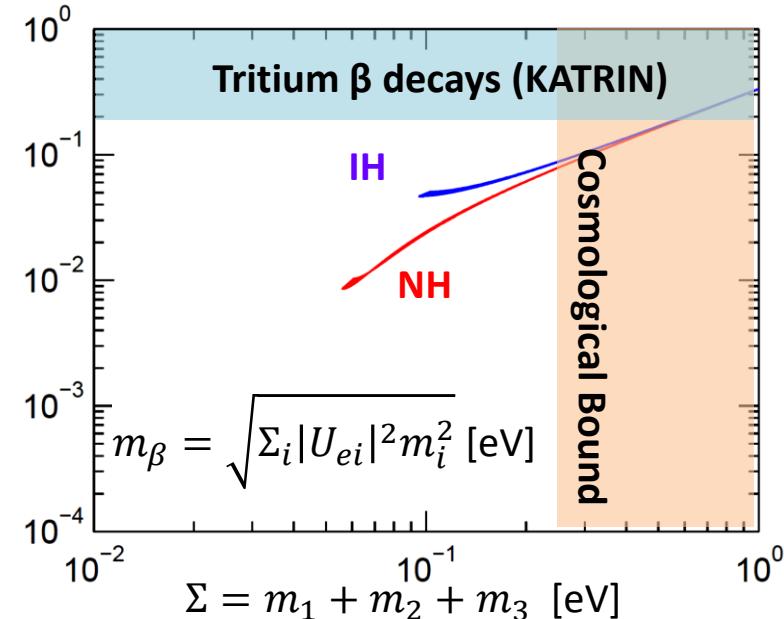
# Non-oscillation Experiments



$m_1 < m_2 < m_3$  (NH) or  $m_3 < m_1 < m_2$  (IH)

## Constraints on absolute neutrino masses

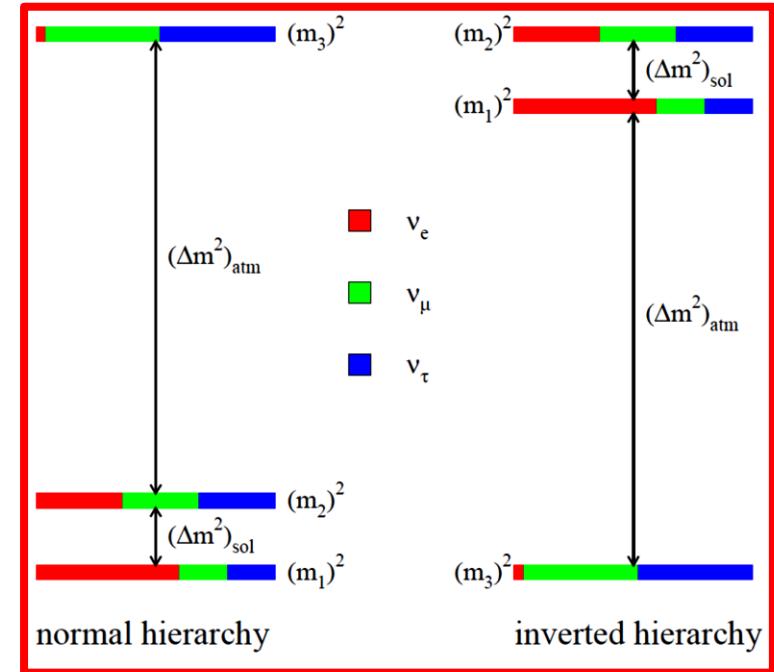
- Tritium  $\beta$  decays (95% C.L.)  
 $m_\beta < 2.3$  eV (Mainz)  
 $2.1$  eV (Troitzk)
- Neutrinoless double- $\beta$  decays (90% C.L.)  
 $m_{\beta\beta} < (0.06 \sim 0.16)$  eV (KamLAND-Zen)  
 $(0.19 \sim 0.45)$  eV (EXO-200)  
 $(0.22 \sim 0.64)$  eV (GERDA)
- Cosmological observations (95% probability)  
 $\Sigma < 0.23$  eV (Planck)



# Open Questions in Neutrino Physics

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- Normal or Inverted (sign of  $\Delta m_{32}^2$ ?)
- Leptonic CP Violation ( $\delta = ?$ )
- Octant of  $\theta_{23}$  (> or <  $45^\circ$ ?)
- Absolute Neutrino Masses ( $m_{\text{lightest}} = 0?$ )
- Majorana or Dirac Nature ( $\nu = \nu^C ?$ )
- Majorana CP-Violating Phases (how?)



- Extra Neutrino Species
- Exotic Neutrino Interactions
- Other LNV & LFV Processes
- Leptonic Unitarity Violation

- Origin of Neutrino Masses
- Flavor Structure (Symmetry?)
- Quark-Lepton Connection
- Relations to DM, BAU, or NP

# Neutrino Oscillation Phenomenology

JUNO:  $3\sim4\sigma$  (MH) for 6-year running (from 2020)

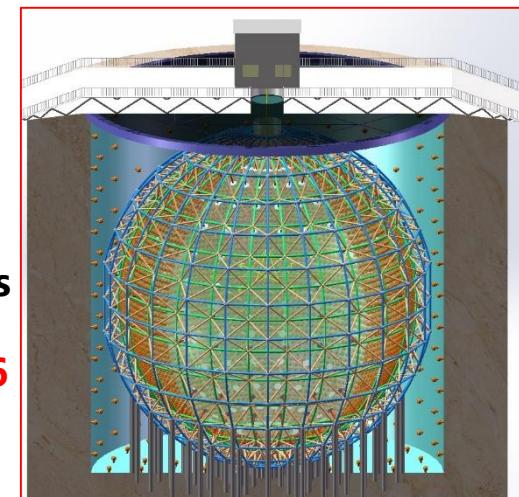
$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \sin^2 2\theta_{12} \cos^4 \theta_{13} \sin^2 F_{21}$$

$$- \frac{1}{2} \sin^2 2\theta_{13} (1 - \cos F_* \cos F_{21})$$

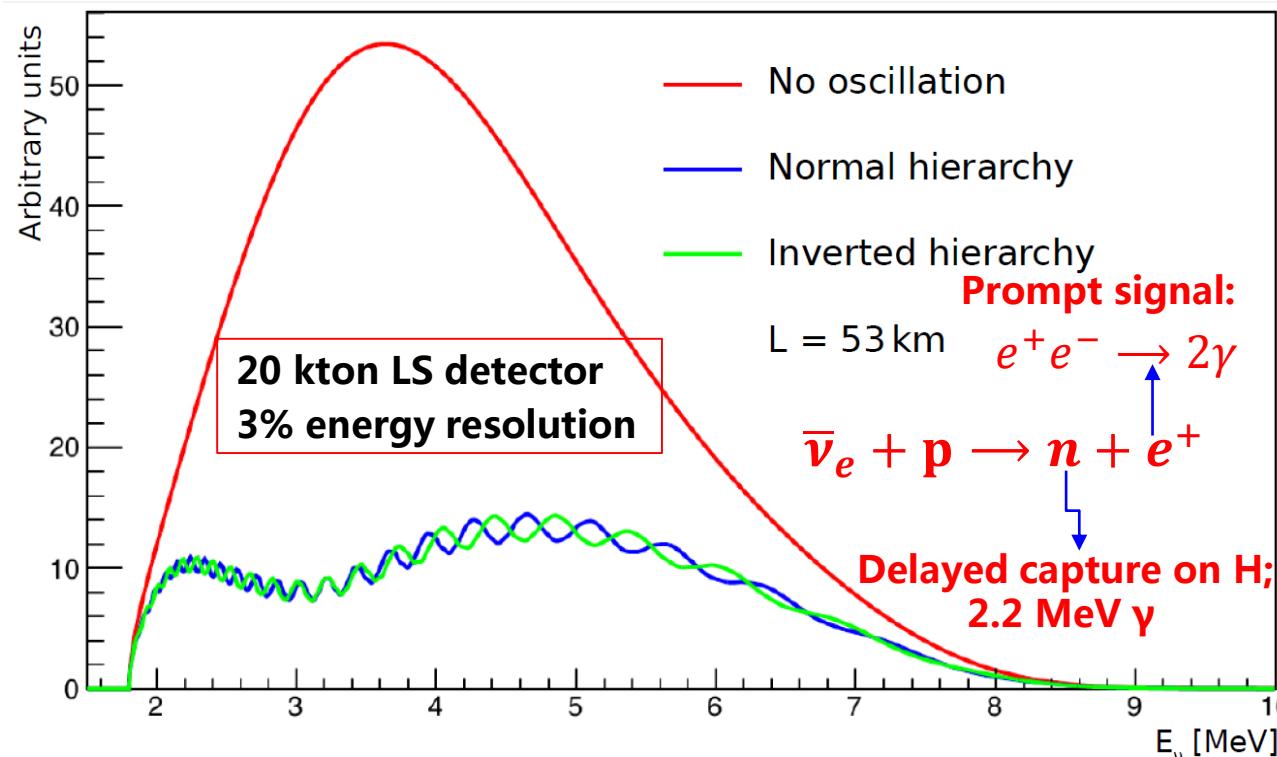
$$F_{ji} = \frac{\Delta m_{ji}^2 L}{4E} + \cos 2\theta_{12} \sin F_* \sin F_{21}$$

Li et al., PRD, 13

Neutrino Physics  
with JUNO  
An et al., JPG, 16



$$F_* = F_{31} + F_{32} \quad \text{NH: } F_* > 0 \quad \text{IH: } F_* < 0$$



Parameters	Precision
$\sin^2 2\theta_{12}$	0.54%
$\Delta m_{21}^2$	0.24%
$ \Delta m_{ee}^2 $	0.27%

Precision Era (< 1%)

- Test of 3-v oscillations
- MSW matter effects
- Leptonic unitarity violation, UT
- Constraints on NP (NSI, sterile, CPT, LIV, ...)

# Neutrino Oscillation Phenomenology

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## Corrections to mixing angles

$$\sin^2 2\tilde{\theta}_{12} \simeq \sin^2 2\theta_{12} \left( 1 - 2 \frac{A}{\Delta_{21}} \cos 2\theta_{12} \right)$$

$$\cos^2 2\tilde{\theta}_{12} \simeq \cos 2\theta_{12} + \frac{A}{\Delta_{21}} \sin^2 \theta_{12}$$

$$\sin^2 2\tilde{\theta}_{13} \simeq \sin^2 \theta_{13}$$

1%

## Earth Matter Effects @JUNO

Li, Wang, Xing, CPC, 16

$$\Delta_{21} \simeq 7.5 \times 10^{-5} \text{ eV}^2$$

$$\Delta_* \simeq \pm 4.8 \times 10^{-3} \text{ eV}^2$$

$$A \simeq 7.9 \times 10^{-7} \text{ eV}^2 \left( \frac{E}{4 \text{ MeV}} \right)$$

## Corrections to mass-squared differences

$$\tilde{\Delta}_{21} \simeq \Delta_{21} + A \cos 2\theta_{12}$$

1%

$$\tilde{\Delta}_* \simeq \Delta_* + A$$

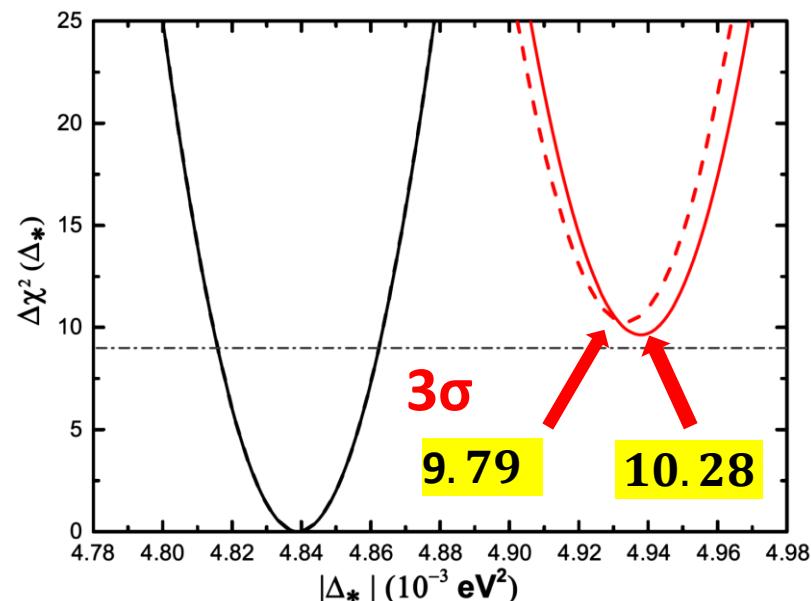
0.02%

$$\tilde{P}(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \sin^2 2\tilde{\theta}_{12} \cos^4 \tilde{\theta}_{13} \sin^2 \tilde{F}_{21}$$

$$\Delta_{ji} = m_j^2 - m_i^2 - \frac{1}{2} \sin^2 2\tilde{\theta}_{13} (1 - \cos \tilde{F}_* \cos \tilde{F}_{21})$$

$$\tilde{\Delta}_{ji} = \tilde{m}_j^2 - \tilde{m}_i^2 + \cos 2\tilde{\theta}_{12} \sin \tilde{F}_* \sin \tilde{F}_{21})$$

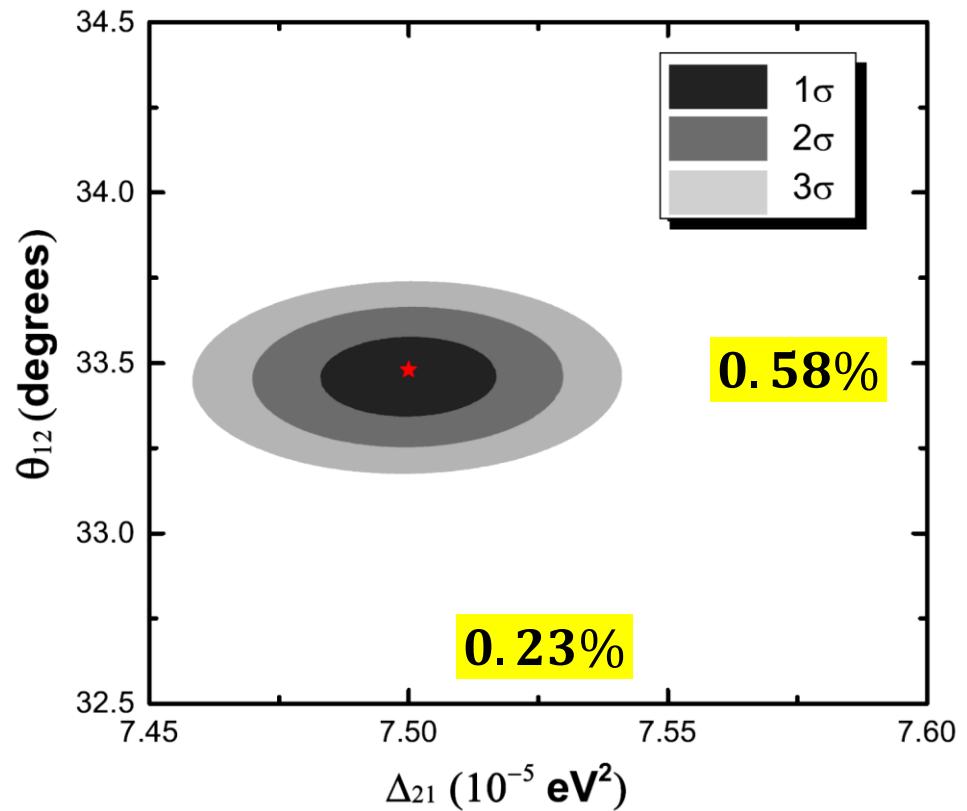
## Impact on MH



# Neutrino Oscillation Phenomenology

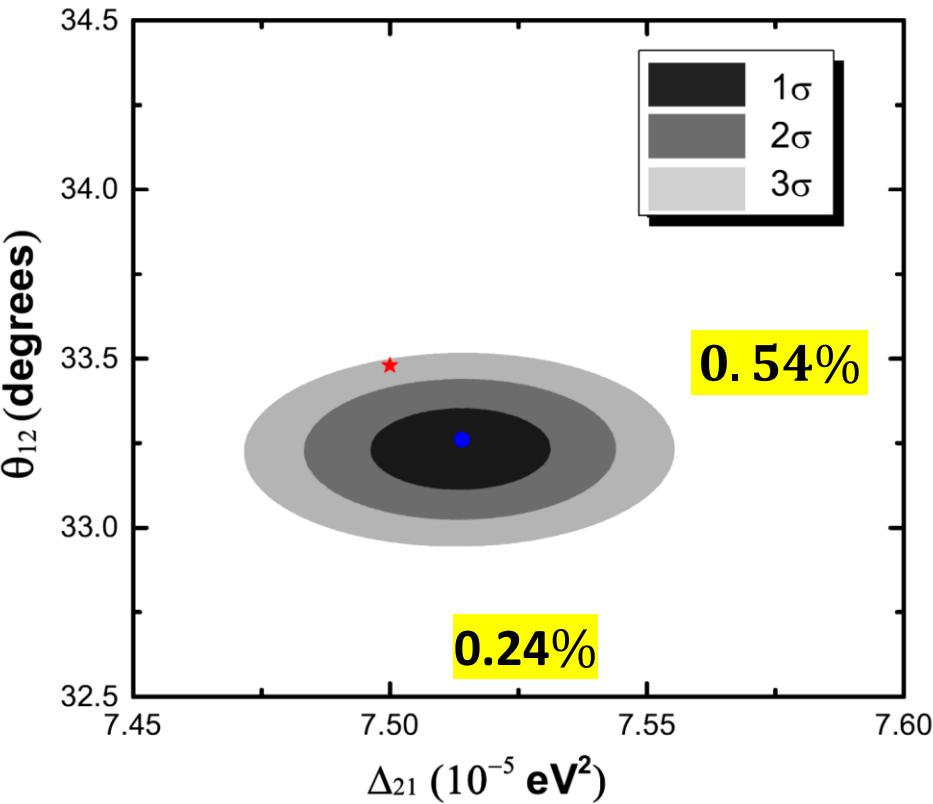
8

## Impact on precision measurements



## Earth Matter Effects @JUNO

Li, Wang, Xing, CPC, 16



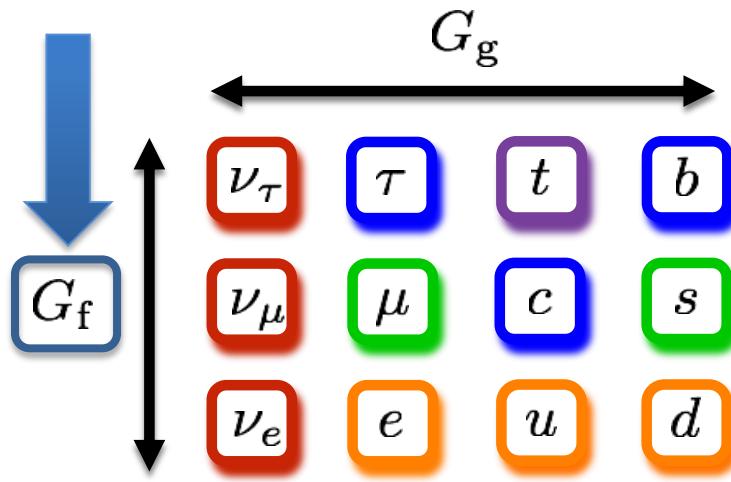
Many phenomenological works for current and future experiments:

JUNO, RENO50/PINGU, ORCA, INO, HK/T2K, NOvA, DUNE/ESSvSB, MOMENT

Analytical formulas, unitary triangles, NP Effects (sterile  $\nu$  & NSI), sensitivity studies, new ideas for future experiments, ...

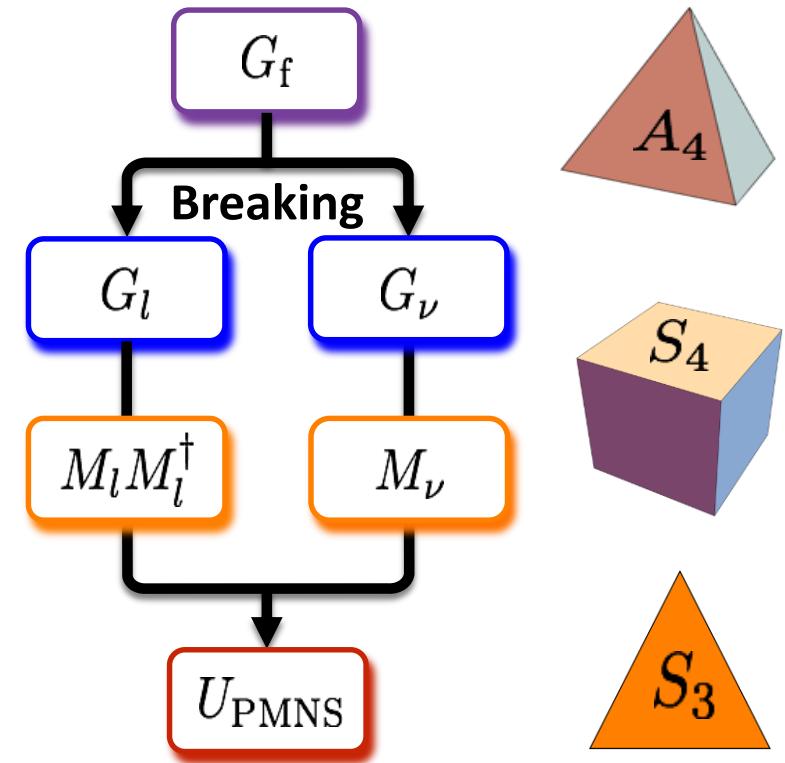
# Flavor Symmetries

## Flavor Symmetry



©Y.L. Zhou

## Paradigm of flavor symmetries



## Tri-bimaximal neutrino mixing matrix

Harrison, Pekins, Scott, 02; Xing, 02; He, Zee, 03

$$V_0 = \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \end{pmatrix}$$

PMNS matrix is (partially) determined by the structure of symmetry groups

See, Ishimori et al., 10; Altarelli, Feruglio, 10;  
King et al., 14, for recent reviews

# Flavor Symmetries

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Allowed ranges of PMNS matrix elements (@  $3\sigma$ )

NuFIT 2.1 (2016)

$$\begin{pmatrix} |U_{e1}| & |U_{e2}| & |U_{e3}| \\ |U_{\mu 1}| & |U_{\mu 2}| & |U_{\mu 3}| \\ |U_{\tau 1}| & |U_{\tau 2}| & |U_{\tau 3}| \end{pmatrix} = \begin{pmatrix} 0.801 \rightarrow 0.845 & 0.514 \rightarrow 0.580 & 0.137 \rightarrow 0.158 \\ 0.225 \rightarrow 0.517 & 0.441 \rightarrow 0.699 & 0.614 \rightarrow 0.793 \\ 0.246 \rightarrow 0.529 & 0.464 \rightarrow 0.713 & 0.590 \rightarrow 0.776 \end{pmatrix}$$

In the standard parametrization:

Xing, Zhao, Rept. Prog. Phys. 79 (2016) 076201

$\mu$ - $\tau$  symmetry  $|U_{\mu i}| = |U_{\tau i}|$ :

(1)  $\theta_{23} = 45^\circ$  &  $\theta_{13} = 0$  (excluded)

(2)  $\theta_{23} = 45^\circ$  &  $\delta = 90^\circ$  or  $270^\circ$  (allowed)

Partial  $\mu$ - $\tau$  symmetry  $|U_{\mu 1}| = |U_{\tau 1}|$ :

$\theta_{23} \neq 45^\circ$  &  $\delta \approx 270^\circ$  (favored by NOvA)

$\mu$ - $\tau$  reflection symmetry

Harrison, Scott, 02, 04; Grimus, Lavoura, 04

$$M_\nu = \begin{pmatrix} A & B & B^* \\ B & C & D \\ B^* & D & C^* \end{pmatrix}$$

Invariant under:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} \rightarrow \begin{pmatrix} \nu_e^c \\ \nu_\tau^c \\ \nu_\mu^c \end{pmatrix}$$

Predictions:  $\theta_{23} = 45^\circ$ ,  $\delta = 90^\circ$  or  $270^\circ$ , but  $\theta_{12}$  and  $\theta_{13}$  are left arbitrary

# Flavor Symmetries

## Generalized CP

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} \rightarrow X \begin{pmatrix} \nu_e^c \\ \nu_\mu^c \\ \nu_\tau^c \end{pmatrix}$$

$X$  depends on a chosen flavor symmetry

$S_3, A_4,$   
 $S_4, A_5$

$T', T_7,$   
 $T_{13}$

$\Delta(27), \Delta(48),$   
 $\Delta(54), \Delta(96), \dots$

How to experimentally verify or rule out one symmetry group?

An incomplete list

- ★ Holthausen et al., JHEP (13)
- ★ Holthausen et al., PLB (13)
- ★ de Medeiros Varzielas et al, JPG (13)
- ★ Antusch et al., PRD (13)
- ★ Ding et al, JHEP (13)
- ★ Ahn et al, PRD (13)
- ★ Nishi, PRD (13)
- ★ Luhn, NPB (13)
- ★ Hagedorn et al., JPA (13)
- ★ Feruglio et al, EPJC (14)
- ★ King, JHEP (14)
- ★ Girardi et al., JHEP (14)
- ★ Chen et al., NPB (14)
- ★ Li, Ding, NPB (14)
- ★ King et al., NJP (14)
- ★ Ding, King, PRD (14)
- ★ King, Neder, PLB (14)
- ★ Ding, Zhou, JHEP (14)
- ★ Zhao, JHEP (14)
- ★ Ding, Zhou, CPC (15)
- ★ G.N. Li, X.G. He, PLB(15)
- ★ H.J. He et al., PLB(15)
- ★ Hagedorn et al, 15
- ★ Everett et al, 15
- ★ Fallbacher, Trautner, 15
- ★ Chen, Li, Ding, 15
- ★ Branco et al., 15
- ★ Feruglio, 15
- ★ Di Lula et al, 15
- ★ Ballett et al, 15
- ★ Mohapatra, Nishi, 15
- ★ Chen, Yao, Ding, 15
- ★ de Medeiros Varzielas , 15
- ★ Shimizu, Tanimoto, 15
- ★ Turner, 15
- ★ S.J. Rong, 16
- ★ Ding et al., 16

Any one universal for quarks and leptons?

# Flavor Symmetries

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## Two-zero Textures of $M_\nu$

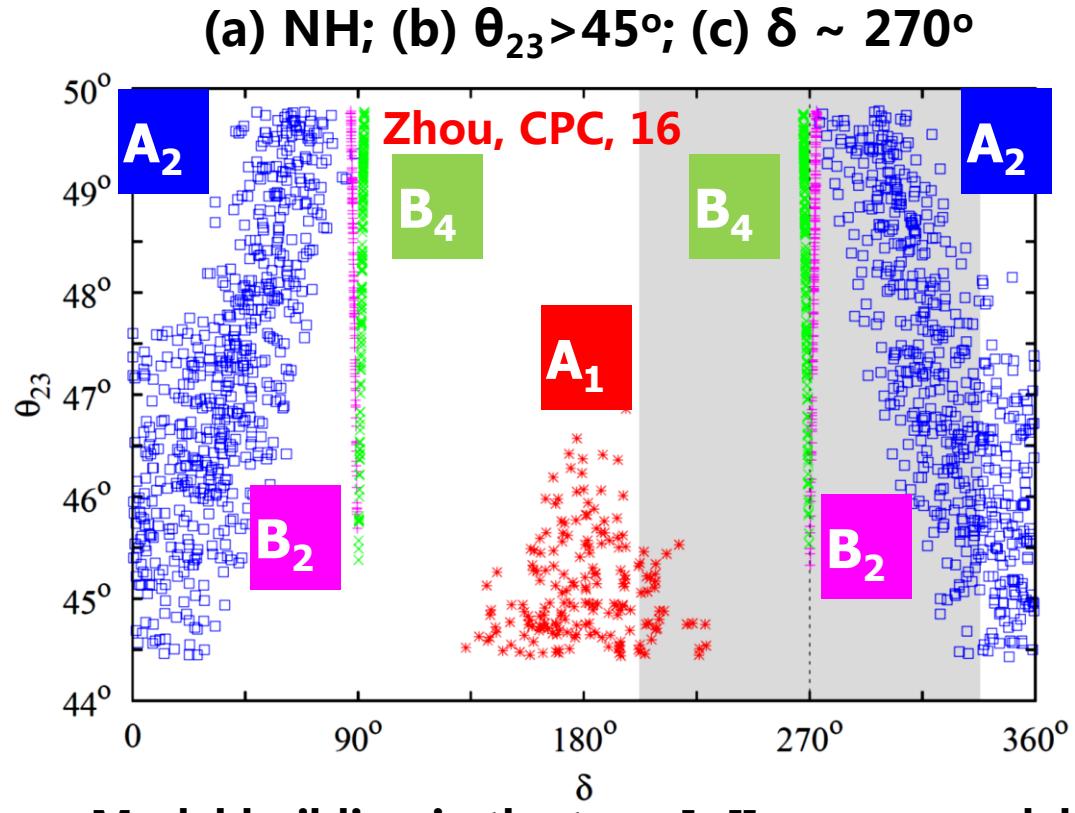
Frampton, Glashow, Marfatia, 02;  
Xing, 02; Fritzsch, Xing, Zhou, 11

$$\mathbf{A}_1 \begin{pmatrix} 0 & 0 & \times \\ 0 & \times & \times \\ \times & \times & \times \end{pmatrix} \quad \mathbf{A}_2 \begin{pmatrix} 0 & \times & 0 \\ \times & \times & \times \\ 0 & \times & \times \end{pmatrix}$$

$$\mathbf{B}_1 \begin{pmatrix} \times & \times & 0 \\ \times & 0 & \times \\ 0 & \times & \times \end{pmatrix} \quad \mathbf{B}_2 \begin{pmatrix} \times & 0 & \times \\ 0 & \times & \times \\ \times & \times & 0 \end{pmatrix}$$

$$\mathbf{B}_3 \begin{pmatrix} \times & 0 & \times \\ 0 & 0 & \times \\ \times & \times & \times \end{pmatrix} \quad \mathbf{B}_4 \begin{pmatrix} \times & \times & 0 \\ \times & \times & \times \\ 0 & \times & 0 \end{pmatrix}$$

$$\mathbf{C} \begin{pmatrix} \times & \times & \times \\ \times & 0 & \times \\ \times & \times & 0 \end{pmatrix} \quad \text{Consistent with nonzero } \theta_{13} \text{ & can be realized by } \mathbf{A}_4 \text{ symmetry}$$

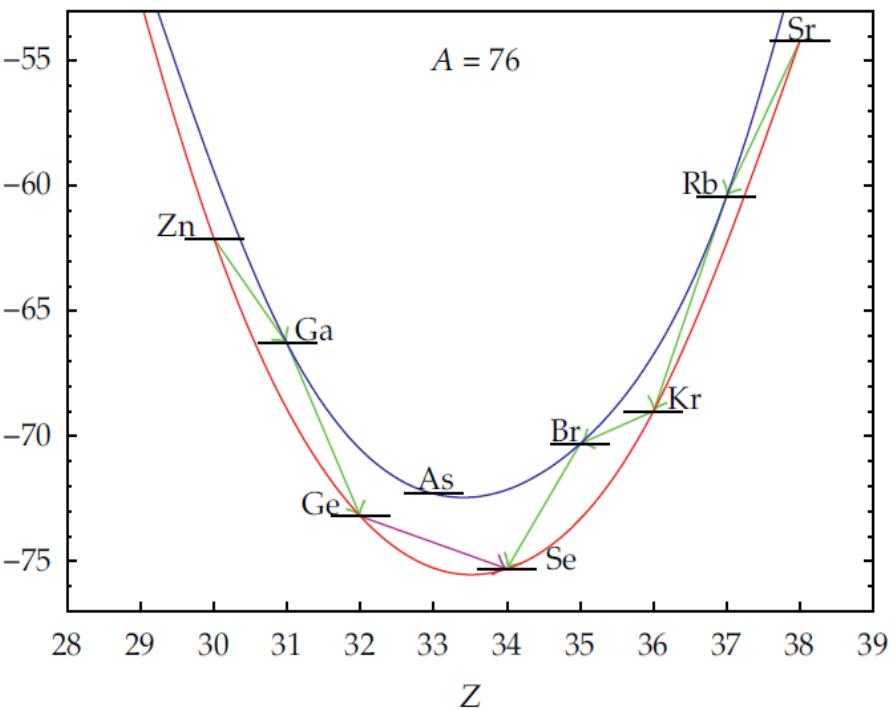


**Model building in the type-I+II seesaw model**

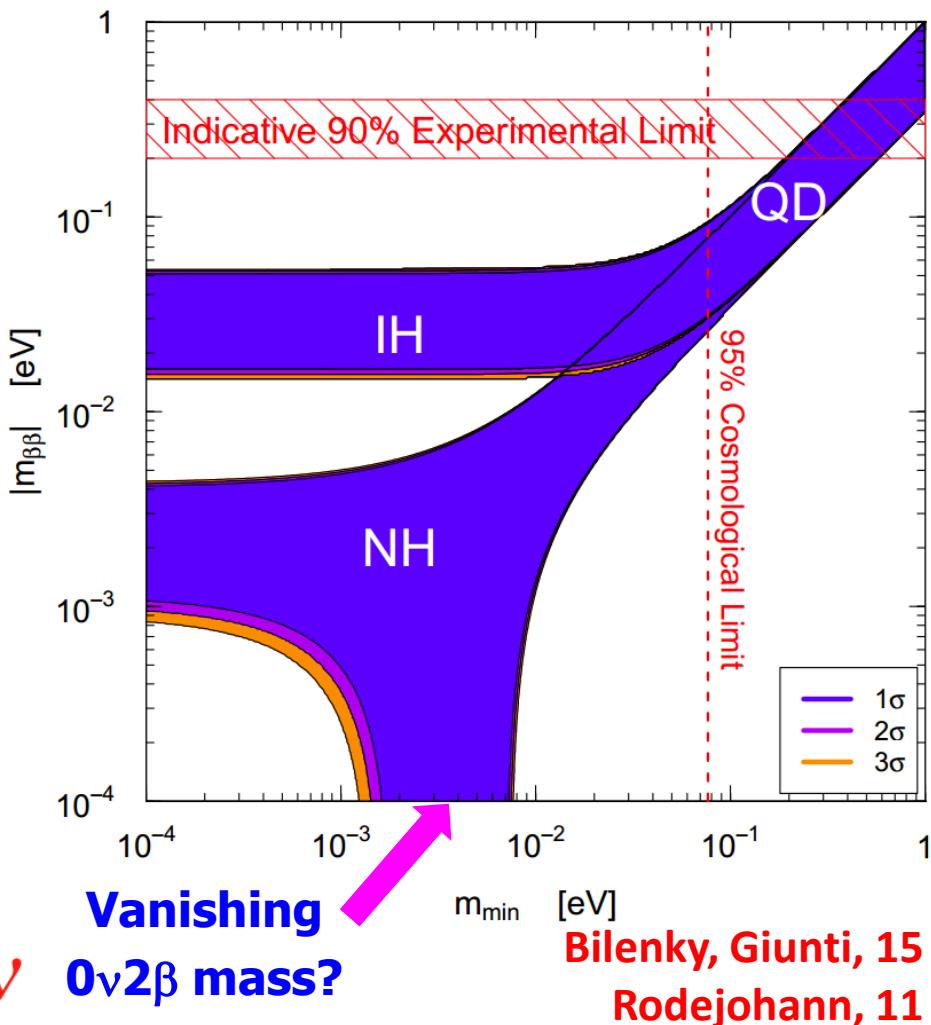
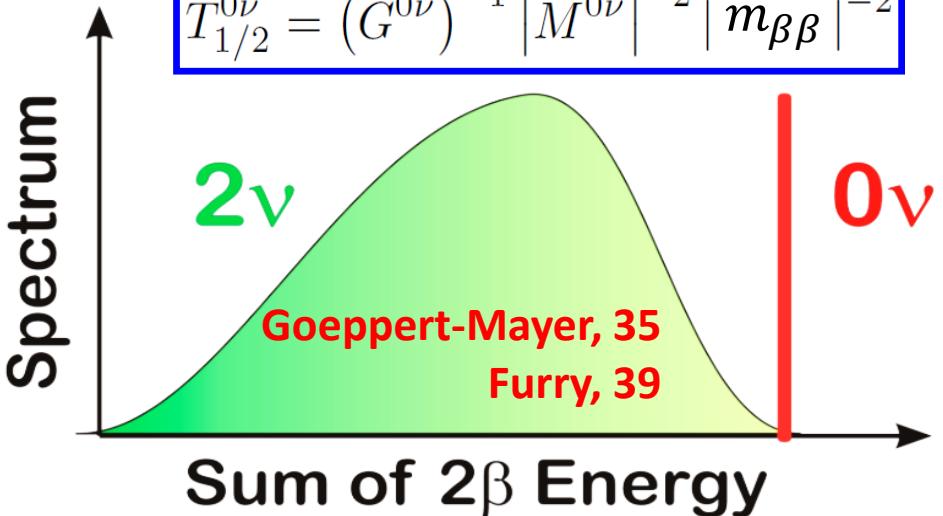
$l_{\alpha L}$	$E_{\alpha L}$	$N_R$	$\Phi_i$	$\varphi, \phi$	$\Delta$
1,1',1''	3	1	3	1,1'	1

$$M_\nu = u \begin{pmatrix} 0 & 0 & a_\Delta \\ 0 & b_\Delta & 0 \\ a_\Delta & 0 & 0 \end{pmatrix} - \frac{v^2}{M} \begin{pmatrix} a_\nu^2 & 0 & 0 \\ 0 & 0 & b_\nu c_\nu \\ 0 & b_\nu c_\nu & 0 \end{pmatrix}$$

# Majorana vs. Dirac



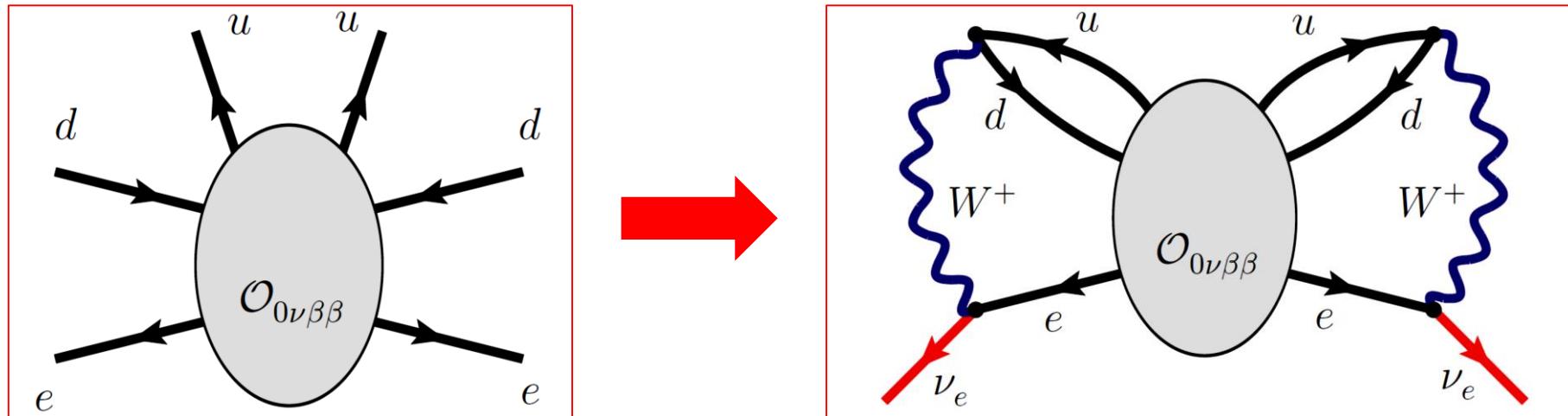
$$T_{1/2}^{0\nu} = (G^{0\nu})^{-1} |M^{0\nu}|^{-2} |m_{\beta\beta}|^{-2}$$



- Unique feasible way to determine the Majorana nature of neutrinos
- Possible to pin down mass ordering
- Set constraints on 2 Majorana-type CP-violating phases

# Majorana vs. Dirac

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**Schechter-Valle Theorem (82):** If the  $0\nu2\beta$  decay happens, there must exist an effective Majorana neutrino mass term.

Quantitatively, the 4-loop Majorana mass from the butterfly diagram is **EXTREMELY** small:

$$\delta m_\nu = \mathcal{O}(10^{-28} \text{ eV})$$

(Duerr, Lindner, Merle, 11; Liu, Zhang, Zhou, 16)

- Assume  $0\nu2\beta$  decays are governed by short-distance operators
- The Schechter-Valle (Black Box) theorem is qualitatively correct, but the induced Majorana masses are **too small to be relevant** for neutrino oscillations
- Other mechanisms are needed to generate neutrino masses

# Majorana vs. Dirac

15

When the temperature  $T \sim 1 \text{ MeV}$ , neutrinos became decoupled from the thermal bath, and formed a  $\nu$  background in the Universe. Today relic neutrinos are nonrelativistic, and their number density is  $56 \text{ cm}^{-3}$  per flavor, as predicted by the standard model of cosmology.

Temperature today

$$T_\nu = \left( \frac{4}{11} \right)^{1/3} T_\gamma \simeq 1.945 \text{ K}$$

Mean momentum today

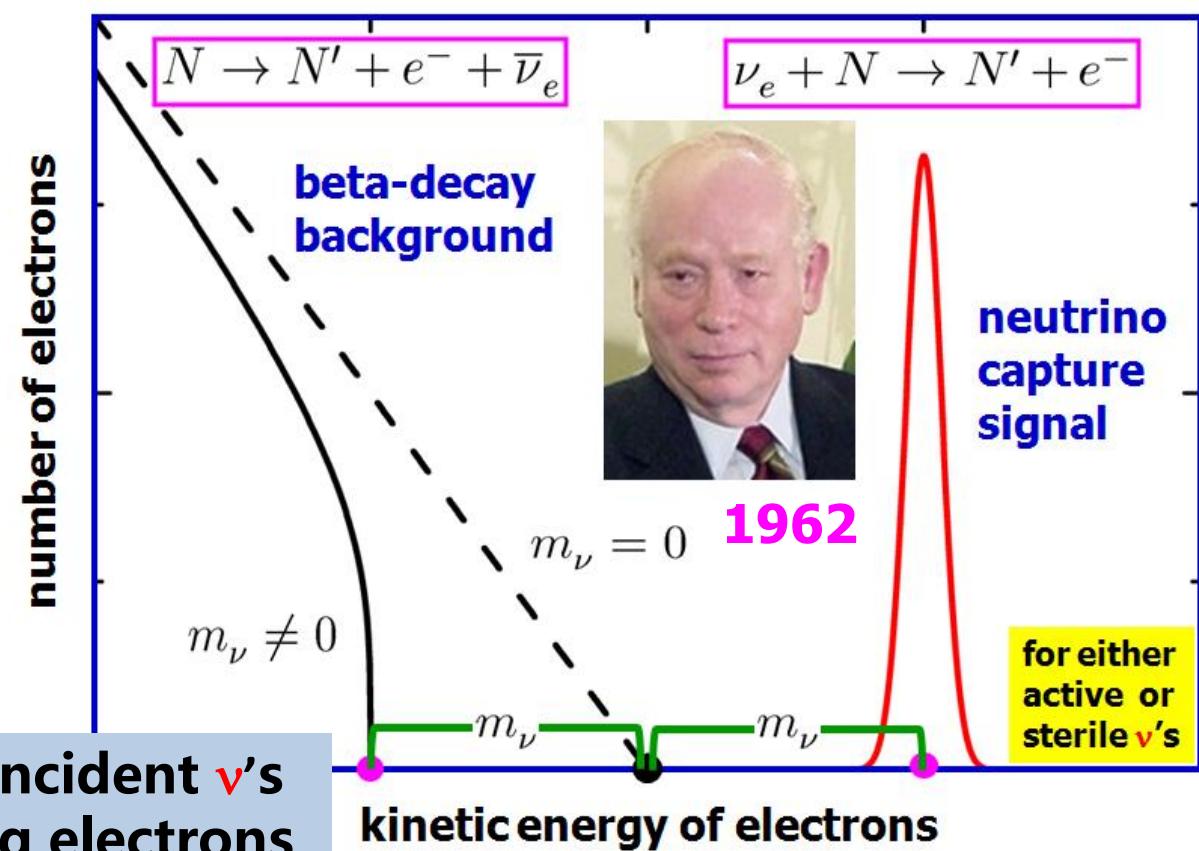
$$\langle p_\nu \rangle \simeq 3.151 T_\nu \\ \simeq 5.281 \times 10^{-4} \text{ eV}$$

At least 2  $\nu$ 's cold today  
**NON-relativistic  $\nu$ 's!**

(Irvine & Humphreys, 83)

no energy threshold on incident  $\nu$ 's  
mono-energetic outgoing electrons

Relic neutrino capture on  $\beta$ -decaying nuclei

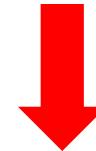


# Majorana vs. Dirac

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Capture rate of a polarized neutrino state  $\nu_j(s_\nu)$  on a free neutron

$$\sigma_j(s_\nu) \nu_{\nu_j} = \frac{G_F^2}{2\pi} |V_{ud}|^2 |U_{ej}|^2 F(Z, E_e) \frac{m_p}{m_n} E_e p_e A(s_\nu) (f^2 + 3g^2)$$



Note: Spin-dependent Factor

$$A(s_\nu) \equiv 1 - 2s_\nu \nu_{\nu_j} = \begin{cases} 1 - \nu_{\nu_j}, & s_\nu = +1/2 \quad \text{RH Helicity} \\ 1 + \nu_{\nu_j}, & s_\nu = -1/2 \quad \text{LH Helicity} \end{cases}$$

In the limit  $\nu_{\nu_j} \rightarrow 1$ , the state of  $s_\nu = +1/2$  cannot be captured

In the limit  $\nu_{\nu_j} \rightarrow 0$ , both RH and LH helical states do contribute

Total Rate

$$\Gamma_{\text{CvB}} = \sum_j \left[ \sigma_j \left( +\frac{1}{2} \right) \nu_{\nu_j} n_j(v_{\text{hR}}) + \sigma_j \left( -\frac{1}{2} \right) \nu_{\nu_j} n_j(v_{\text{hL}}) \right] N_T$$

Long et al., 14

# Majorana vs. Dirac

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**Conservation of Helicity:**  $[\hat{H}, \hat{h}] = 0$  for free particles after decoupling

$$\hat{H} \equiv \gamma^0 m + \gamma^0 \vec{\gamma} \cdot \vec{p} = \begin{pmatrix} m & \vec{\sigma} \cdot \vec{p} \\ \vec{\sigma} \cdot \vec{p} & -m \end{pmatrix} \quad \hat{h} \equiv \frac{\vec{\Sigma} \cdot \vec{p}}{|\vec{p}|} = \frac{1}{|\vec{p}|} \begin{pmatrix} \vec{\sigma} \cdot \vec{p} & \mathbf{0} \\ \mathbf{0} & \vec{\sigma} \cdot \vec{p} \end{pmatrix}$$

In the rest frame of CvB, the background neutrinos are isotropic

Long et al., 14;  
Zhang, Zhou, 16

## Dirac Neutrinos

Decoupling

$$n(\nu_L) = n(z), \\ n(\bar{\nu}_R) = n(z),$$

$$n(\nu_R) \approx 0 \\ n(\bar{\nu}_L) \approx 0$$

Nowadays

$$n(\nu_{hL}) = n_0, \\ n(\bar{\nu}_{hR}) = n_0,$$

$$n(\nu_{hR}) \approx 0 \\ n(\bar{\nu}_{hL}) \approx 0$$

## Majorana Neutrinos

$$n(\nu_L) = n(z) \\ n(\nu_R) = n(z)$$

$$n(\nu_{hL}) = n_0 \\ n(\nu_{hR}) = n_0$$

Total Rates

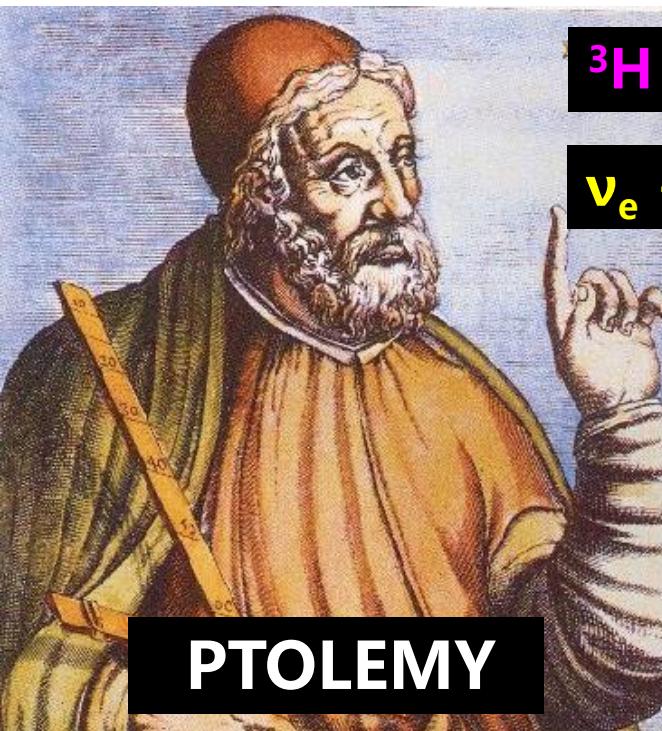
$$\Gamma_{\text{CvB}}^D = \bar{\sigma} n_0 N_T$$

$$\bar{\sigma} \approx 3.8 \times 10^{-45} \text{ cm}^2$$

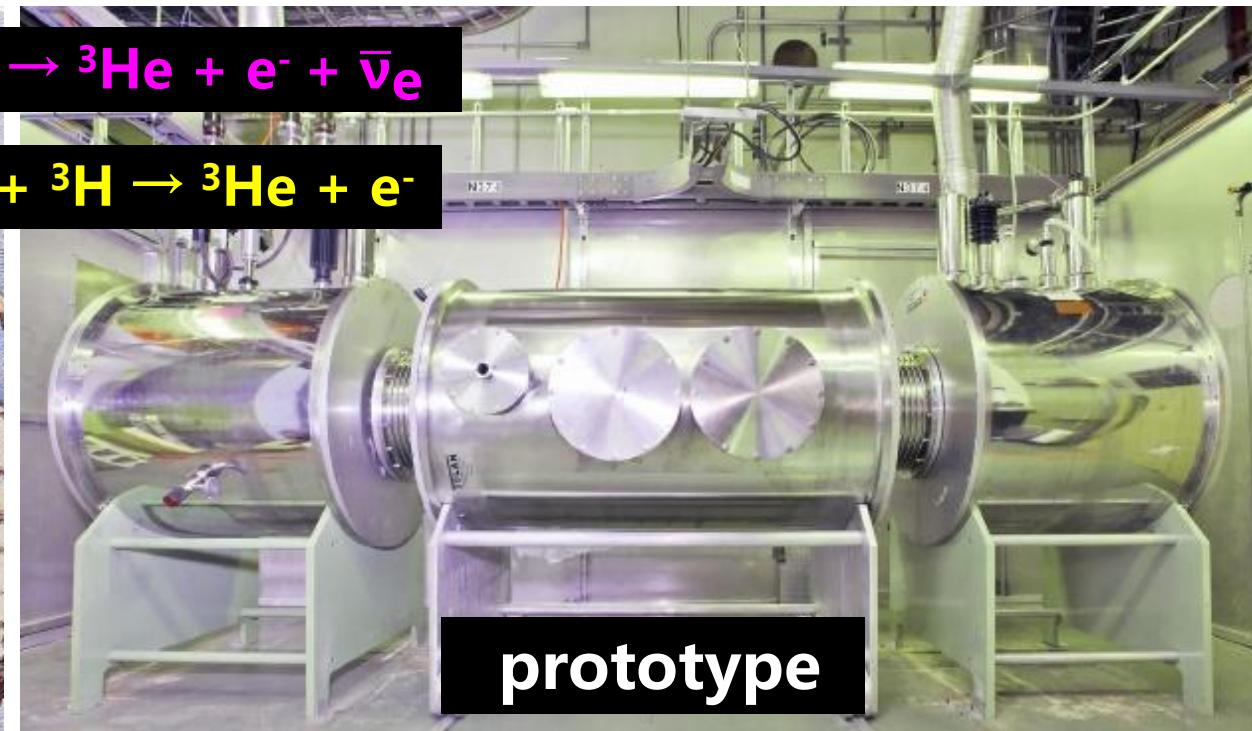
$$\Gamma_{\text{CvB}}^M = 2\bar{\sigma} n_0 N_T$$

# Majorana vs. Dirac

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PTOLEMY



prototype

- ★ first experiment
- ★ 100 g of tritium
- ★ graphene target
- ★ planned energy resolution 0.15 eV

## ★ CvB capture rate

$$\Gamma_{C\nu B}^D \sim 4 \text{ yr}^{-1}$$

$$\Gamma_{C\nu B}^M \sim 8 \text{ yr}^{-1}$$

D = Dirac

M = Majorana

PTOLEMY  
Princeton Tritium  
Observatory for  
Light, Early-  
Universe, Massive-  
Neutrino Yield  
(Betts et al,  
arXiv:1307.4738)

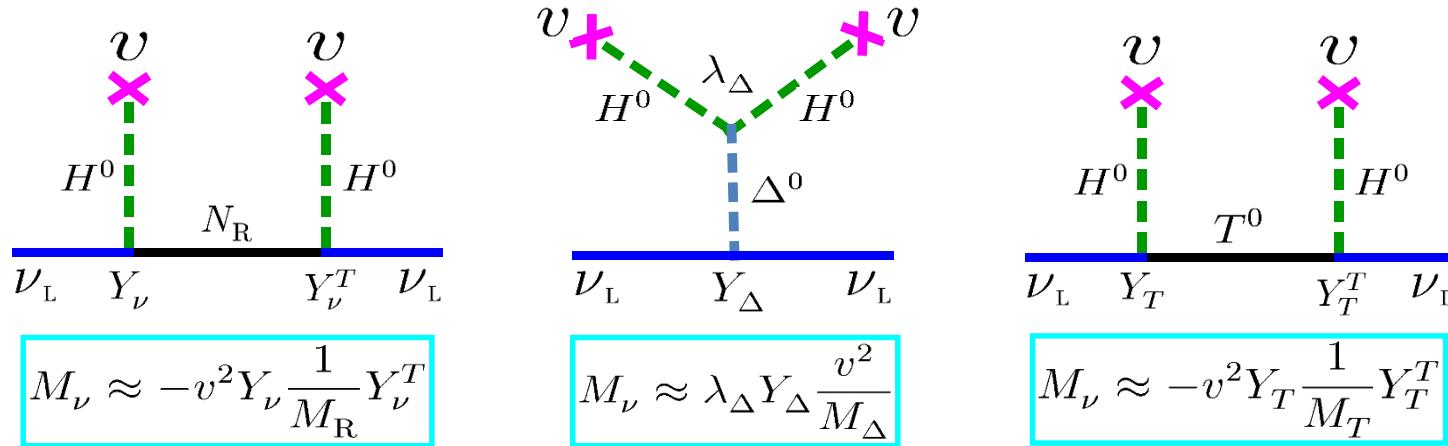
# Neutrino Mass Models

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## Difficulties with Dirac neutrinos

- Tiny Dirac masses worsen fermion mass hierarchy problem (i.e.,  $m_i/m_t < 10^{-12}$ )
- Mandatory lepton number conservation, which is actually accidental in the SM

## Majorana neutrinos: a natural way to understand tiny neutrino masses (seesaw)



**Type-I:** SM + 3 right-handed Majorana  $\nu$ 's (Minkowski 77; Yanagida 79; Glashow 79; Gell-Mann, Ramond, Slanski 79; Mohapatra, Senjanovic 79)

**Type-II:** SM + 1 Higgs triplet (Magg, Wetterich 80; Schechter, Valle 80; Lazarides et al 80; Mohapatra, Senjanovic 80; Gelmini, Roncadelli 80)

**Type-III:** SM + 3 triplet fermions (Foot, Lew, He, Joshi 89)

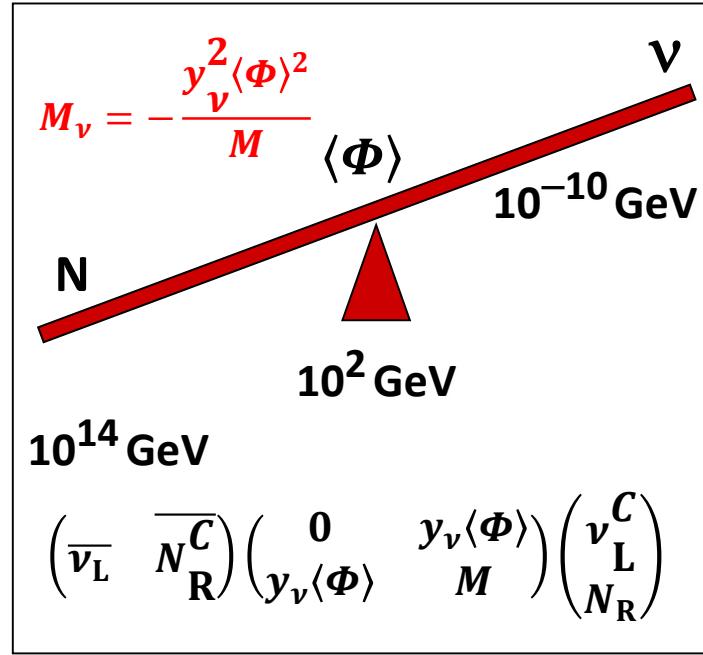
- Can naturally be embedded into the SO(10) GUT (e.g., type-I + type-II seesaw)
- Responsible for both tiny neutrino masses and matter-antimatter asymmetry

# Neutrino Mass Models

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A natural seesaw scale (e.g., type-I)

- Close to an energy scale of fundamental physics: the GUT scale



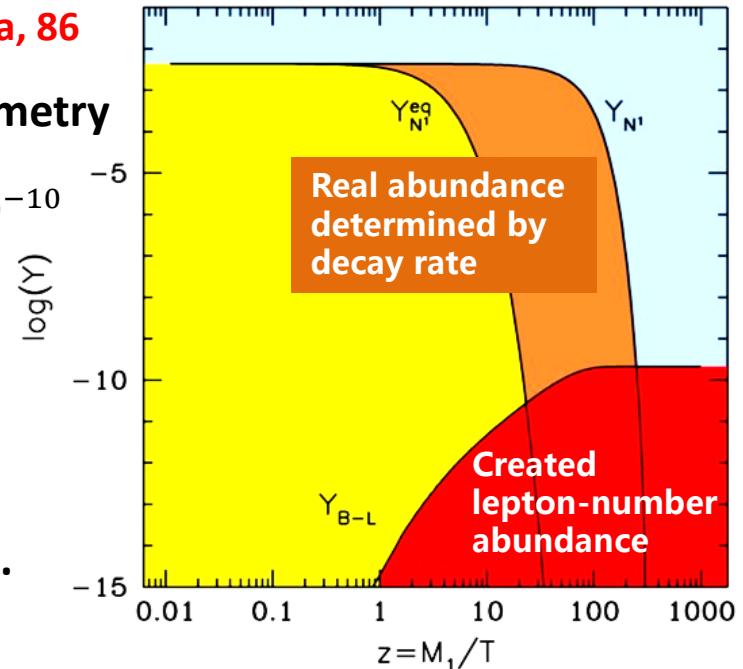
Fukugita, Yanagida, 86

B-number Asymmetry

$$\eta_B = \frac{n_B}{n_\gamma} \simeq 6 \times 10^{-10}$$

Leptogenesis

- CP violation
- B-L violation
- Out-of-equili.
- Sphaleron



Seesaw-induced hierarchy problem

Vissani, 98; Casas et al., 04; Abada et al., 07

$$\delta M_H^2 = \begin{cases} -\frac{y_i^2}{8\pi^2} \left( \Lambda^2 + M_i^2 \ln \frac{M_i^2}{\Lambda^2} \right) & (\text{Type I}) \\ \frac{3}{16\pi^2} \left[ \lambda_3 \left( \Lambda^2 + M_\Delta^2 \ln \frac{M_\Delta^2}{\Lambda^2} \right) + 4\lambda_\Delta^2 M_\Delta^2 \ln \frac{M_\Delta^2}{\Lambda^2} \right] & (\text{Type II}) \\ -\frac{3y_i^2}{8\pi^2} \left( \Lambda^2 + M_i^2 \ln \frac{M_i^2}{\Lambda^2} \right) & (\text{Type III}) \end{cases}$$

In type-I seesaw models:

$$M_i \lesssim 10^7 \text{ GeV} \left( \frac{0.2 \text{ eV}}{m_i} \right)^{1/3}$$

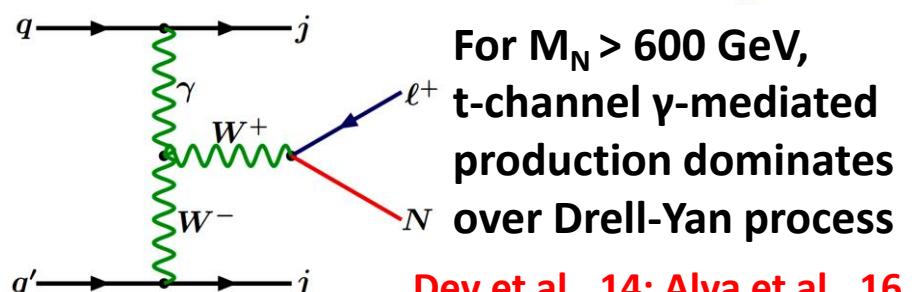
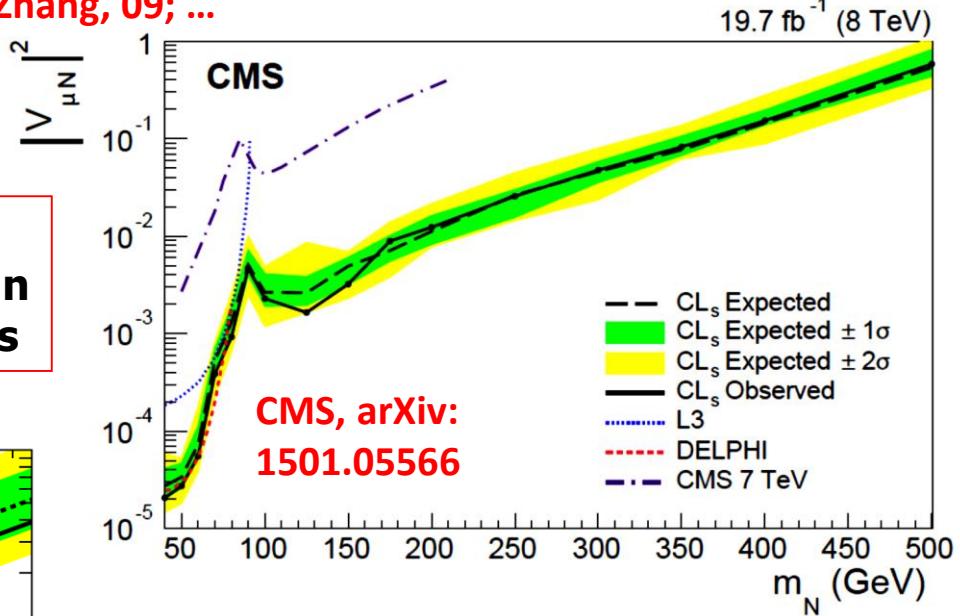
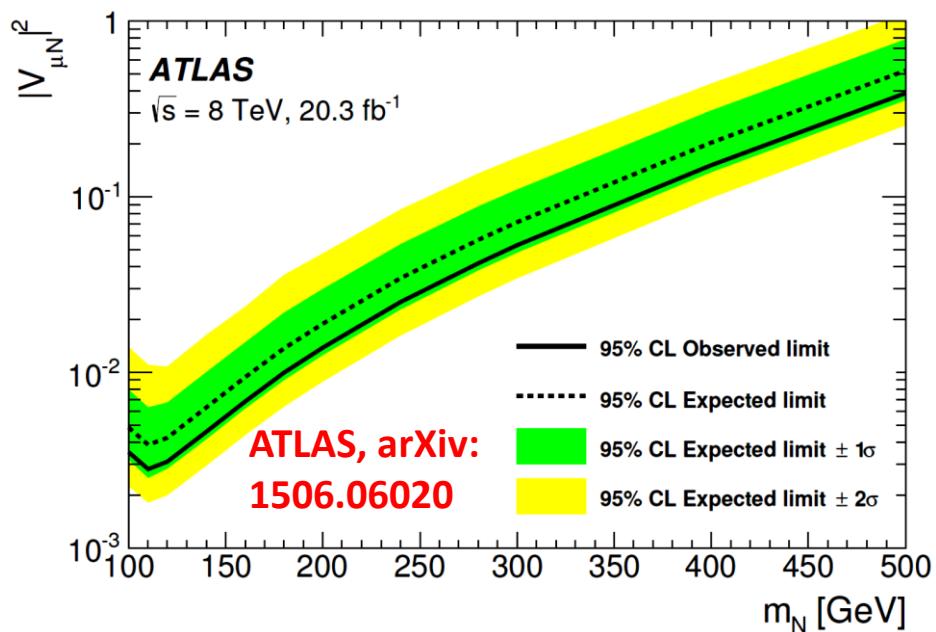
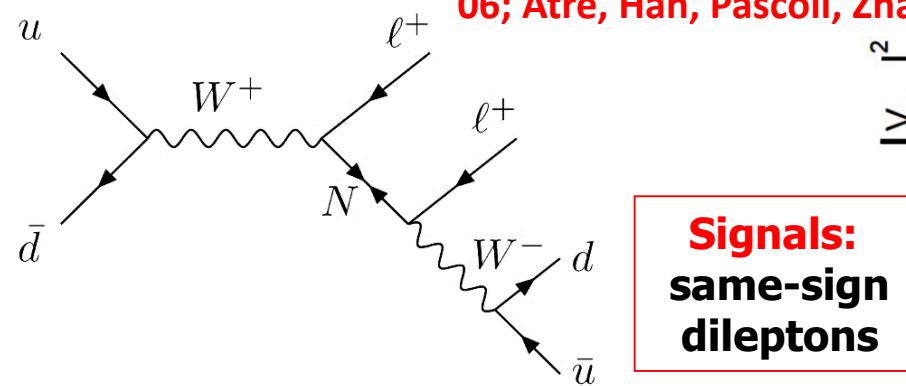
for  $\delta M_H^2 \sim 0.1 \text{ TeV}^2$

# Neutrino Mass Models

## Seesaw models at the EW or TeV scales

- motivated by the naturalness and testability problems of conventional seesaws

Keung, Senjanovic, 83; Pilaftsis, 92; Han, Zhang, 06; Atre, Han, Pascoli, Zhang, 09; ...

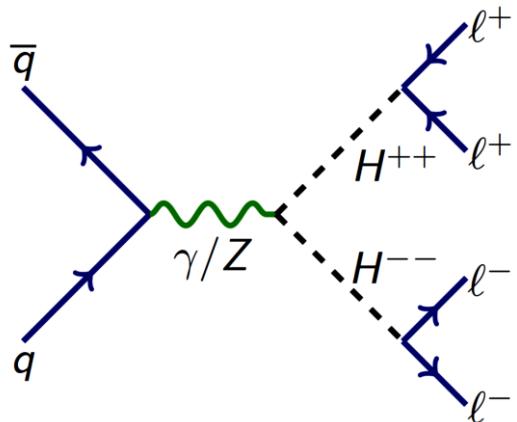


**Dev et al., 14; Alva et al., 16**

Type-II: 1207.2666 (CMS), 1412.0237 (ATLAS)  
Type-III: 1506.01291 (CMS), 1506.01839 (ATLAS)

# Neutrino Mass Models

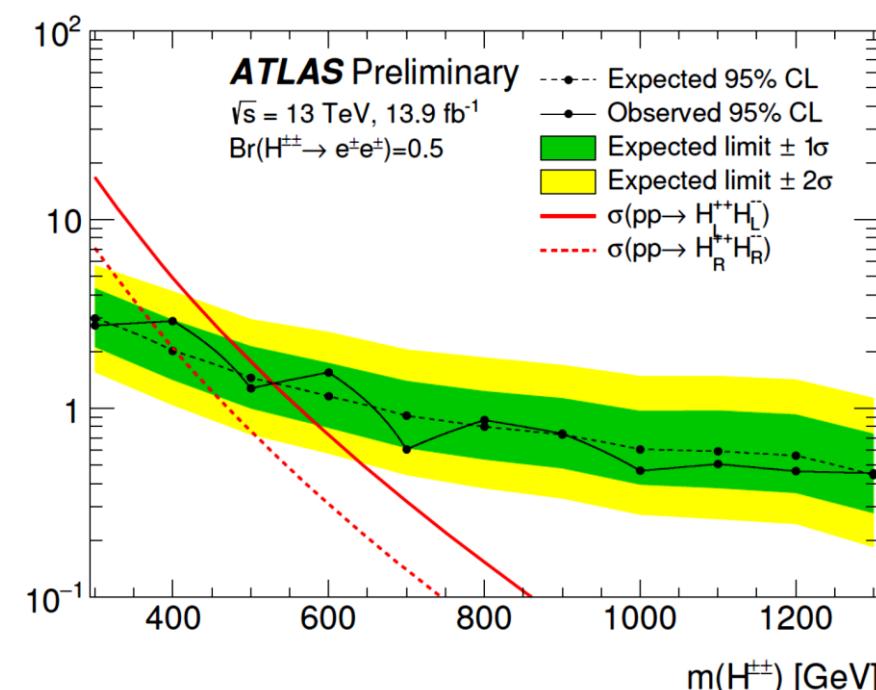
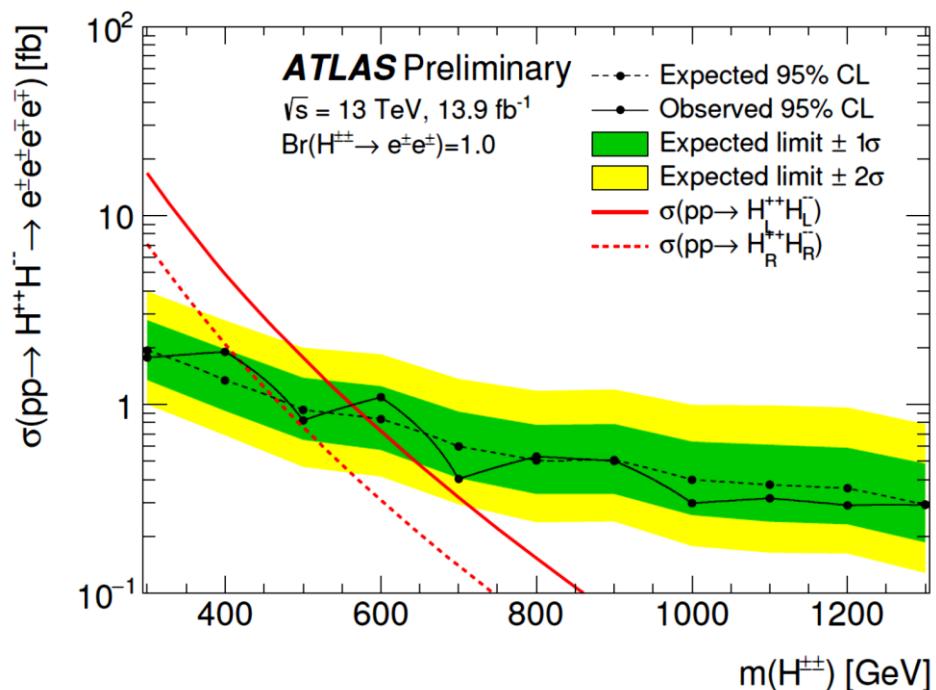
## Searches for doubly-charged Higgs bosons



Chun et al., 03; Han et al., 05; Raidal et al., 07;  
Perez et al., 08; Chao et al., 08; Z.L. Han, R. Ding,  
Y. Liao, 12, 15

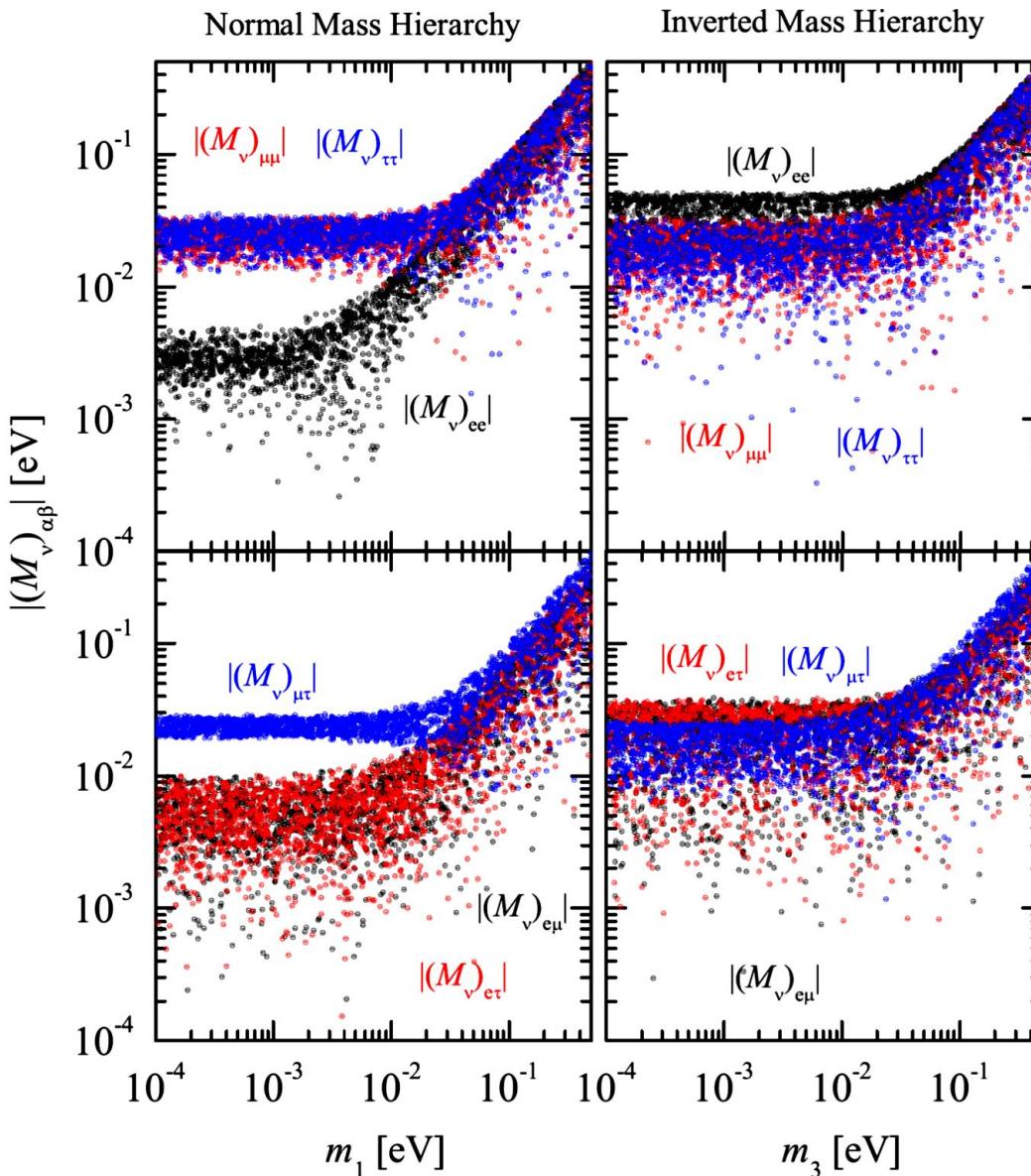
- Depending on the triplet vev, the dominant decay channel is either leptons or W's
- Couplings directly related to neutrino masses and flavor mixing parameters
- Current constants on masses depend on branching ratios of doubly-charged Higgs decays

ATLAS-CONF-2016-051

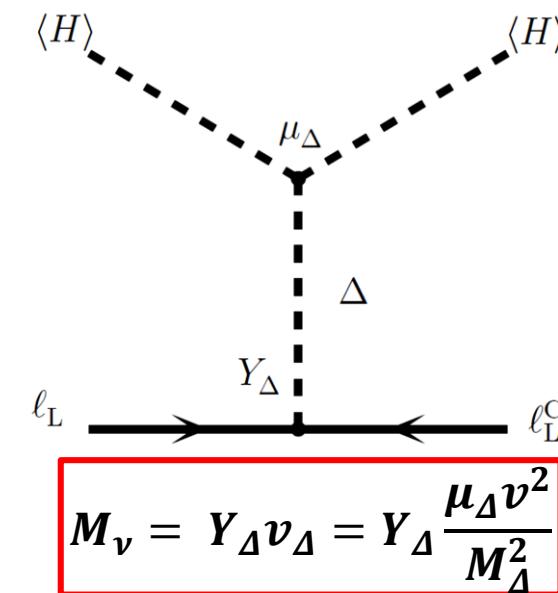


# Neutrino Mass Models

## Cornering the type-II seesaw model: Neutrino Oscillation Data



Reconstruction of the Yukawa coupling matrix  $Y_\Delta$  from data

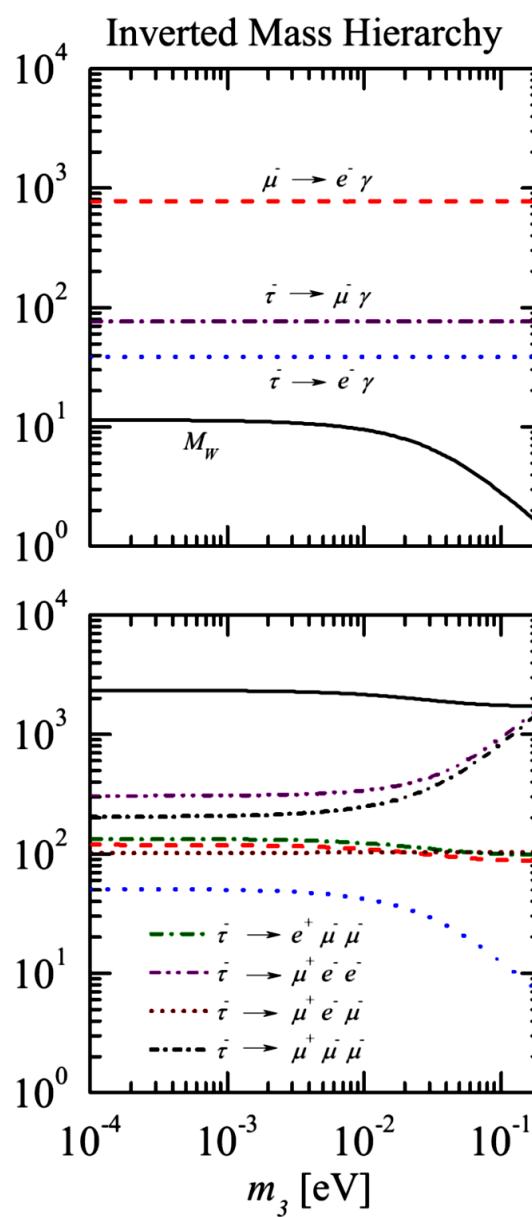
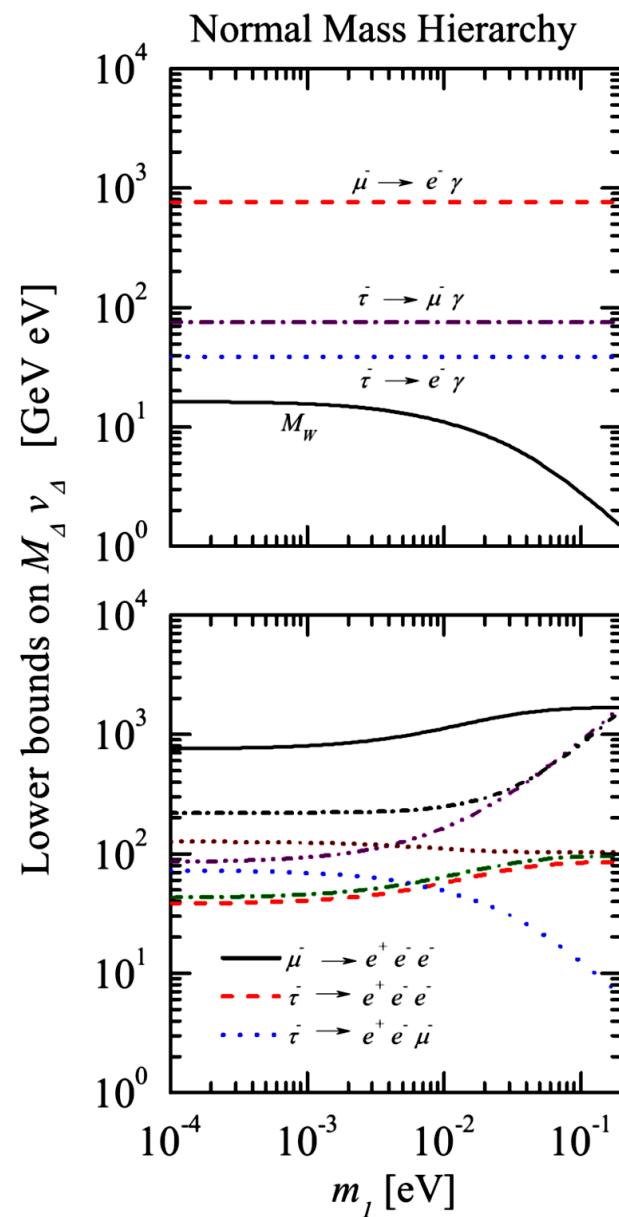


- Main uncertainties from mass ordering, absolute scale of neutrino masses, and CP-violating phases
- Other model parameters contain  $v_\Delta$  and  $M_\Delta$

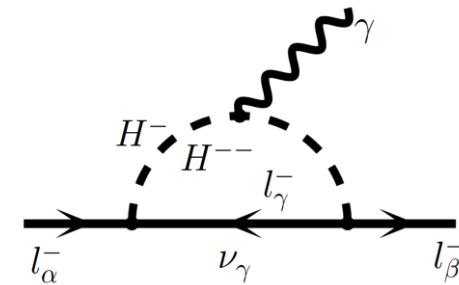
# Neutrino Mass Models

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## Cornering the type-II seesaw model: LFV Decays of Charged Leptons

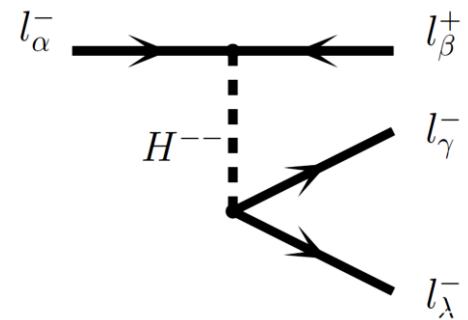


Both  $H^{--}$  and  $H^-$  contribute to radiative decays



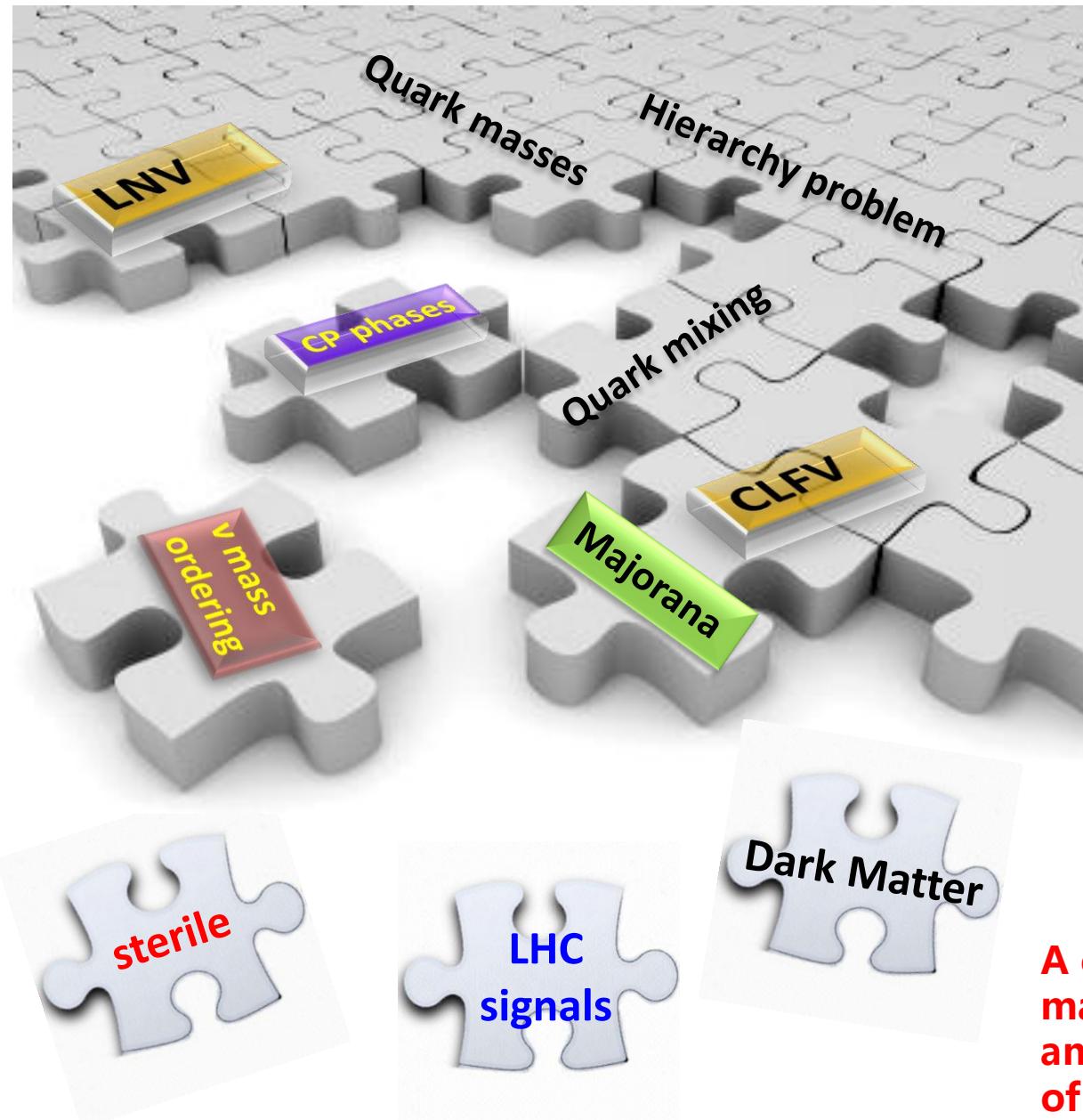
$$\frac{\text{Br}(l_\alpha^- \rightarrow l_\beta^- \gamma)}{\text{Br}(l_\alpha^- \rightarrow l_\beta^- \nu_\alpha \bar{\nu}_\beta)} = \frac{27\alpha |(M_\nu^\dagger M_\nu)_{\alpha\beta}|^2}{256\pi G_F^2 (M_\Delta v_\Delta)^4}$$

Tree-level effects



$$\frac{\text{Br}(l_\alpha^- \rightarrow l_\beta^+ l_\gamma^- l_\lambda^-)}{\text{Br}(l_\alpha^- \rightarrow l_\beta^- \nu_\alpha \bar{\nu}_\beta)} = \frac{|(M_\nu)_{\alpha\beta}|^2 |(M_\nu)_{\gamma\lambda}|^2}{16G_F^2 (M_\Delta v_\Delta)^4}$$

# Summary



- Neutrino mass ordering and leptonic CP violation will be measured in the oscillation experiments
- Possible to pin down the absolute neutrino masses and the Majorana nature of massive neutrinos
- Searches for rare LFV & LNV decays will constrain new physics (NP) models or even give hints for NP
- Future large hadron and lepton colliders will also help us explore the origin of neutrino masses

A complete picture for neutrino masses relies on global efforts and discoveries in all branches of particle physics!