

Gluon fragmentation functions in the Nambu-Jona-Lasinio model

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Presented at HPCPV, SJTU

Nov. 04, 2016

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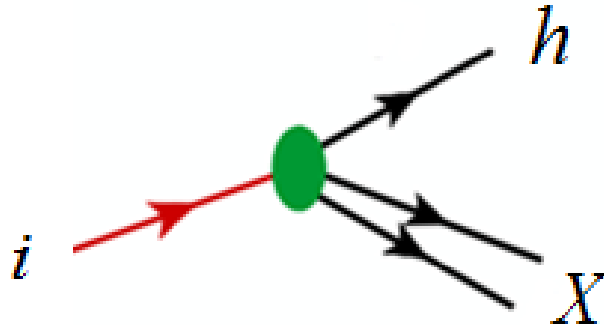
Outlines

- Introduction
- Gluon fragmentation functions
- Comparison with data
- Summary

Introduction

Fragmentation functions

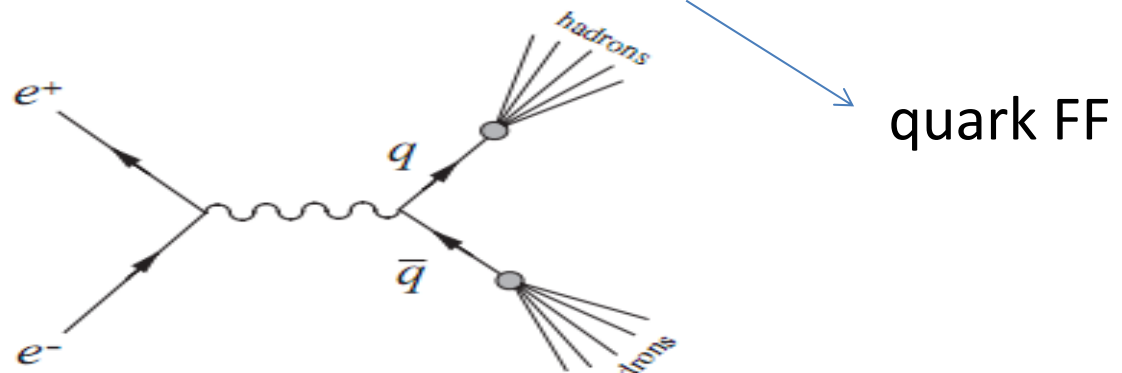
- Fragmentation functions $D_i^h(z)$ mean the probability to find the hadron h from a parton $i=u, d, s, \dots, g$ with the energy fraction z .



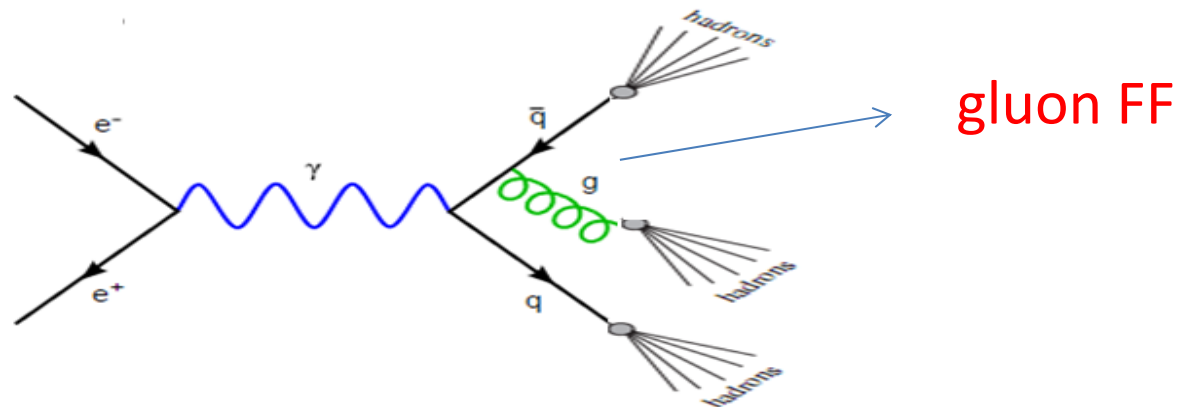
- one needs fragmentation functions for the analysis of e^+e^- annihilation into hadrons, *Semi inclusive deep inelastic scattering*, and so on.

e^+e^- annihilation: $e^+ + e^- \rightarrow h + x$

- LO: $\sigma^{e^+e^- \rightarrow hx} = \sum_q \sigma^{e^+e^- \rightarrow q\bar{q}} \otimes (D_q^h + D_{\bar{q}}^h)$ (LO)

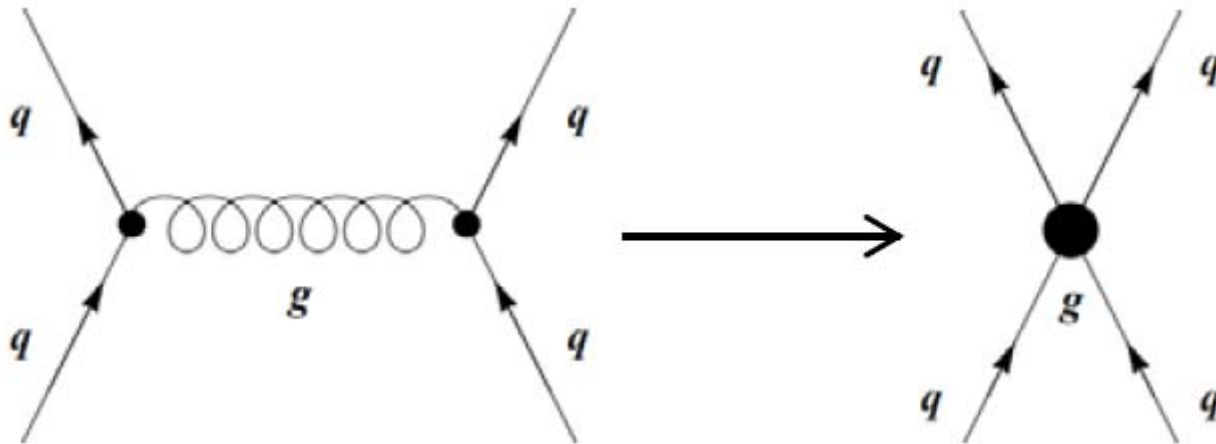


- NLO: $\sigma^{e^+e^- \rightarrow hx} = \sum_q \sigma^{e^+e^- \rightarrow gq\bar{q}} \otimes (D_q^h + D_{\bar{q}}^h + D_g^h)$ (NLO)



NJL model

- Gluons have been integrated out at very low energy in NJL model



- How could it be possible to get gluon FF?
- Without gluon FF, QCD evolution cannot be complete

NG bosons

- Spontaneous chiral symmetry breaking generates **NG bosons**

$$G(\bar{\psi}\gamma_5\psi)^2 \Rightarrow \text{Diagram 1} = \text{Diagram 2} + \text{Diagram 3}$$

$$T_P(q^2) = K_P + K_P J_P(q^2) K_P + \dots$$

$$= [1 - K_P J_P(q^2)]^{-1} K_P.$$

$$\langle \bar{\psi}\psi \rangle \neq 0 \Rightarrow K_P J_P(q^2 = 0) = 1 \leftarrow \text{NG boson}$$

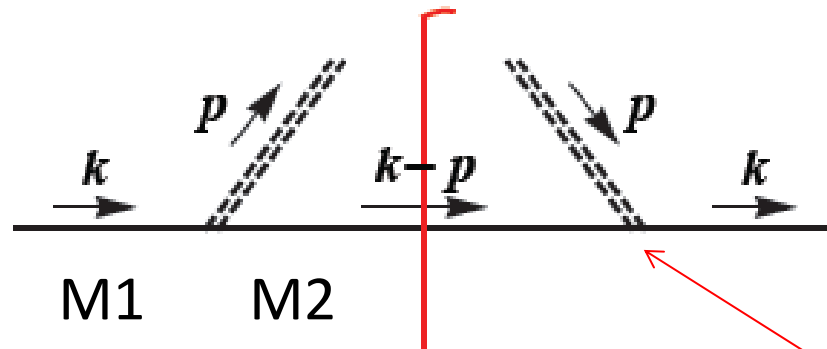
$$\text{Diagram 1} = \text{Diagram 4}$$

NG boson

pseudo-scalar quark current

Elementary fragmentation functions

- Mesons of couple to pseudo-scalar quark current in NJL model
- Elementary FF



$$\begin{aligned}
 d_q^m(z) &= -\frac{C_q^m}{2} g_{mqQ}^2 \frac{z}{2} \int \frac{d^4 k}{(2\pi)^4} \text{Tr} [S_1(k) \gamma^+ S_1(k) \gamma_5 (\not{k} - \not{p} + M_2) \gamma_5] \\
 &\quad \times \delta(k_- - p_- / z) 2\pi \delta((k - p)^2 - M_2^2) \\
 &= \frac{C_q^m}{2} g_{mqQ}^2 \frac{z}{2} \int \frac{d^2 p_\perp}{(2\pi)^3} \frac{p_\perp^2 + ((z-1)M_1 - M_2)^2}{(p_\perp^2 + z(z-1)M_1^2 + zM_2^2 + (1-z)m_m^2)^2}
 \end{aligned}$$

meson mass

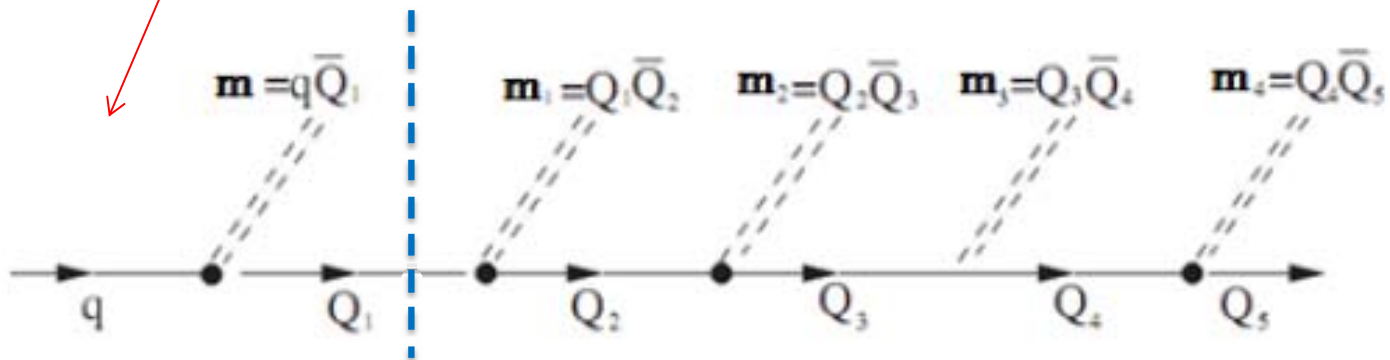
Quark fragmentation functions

- Solve evolution equation

$$D_q^m(z) = \hat{d}_q^m(z) + \sum_Q \int_z^1 \frac{dy}{y} \hat{d}_q^Q(y) D_Q^m\left(\frac{z}{y}\right)$$

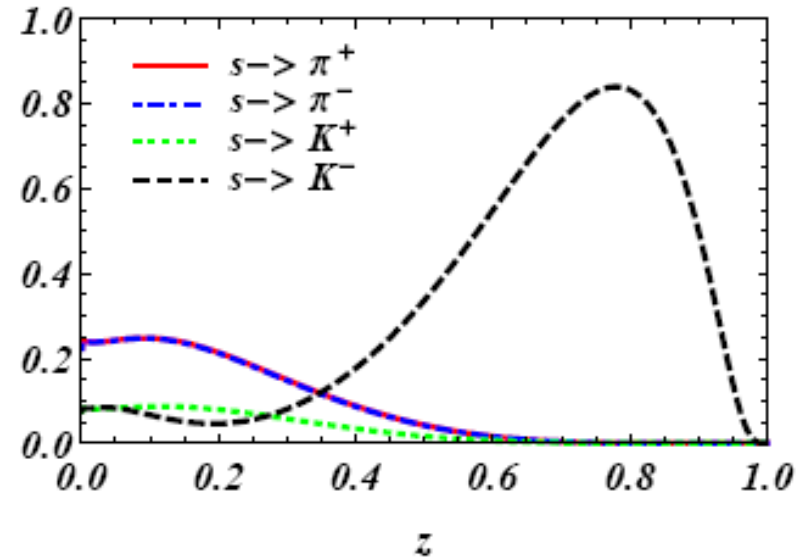
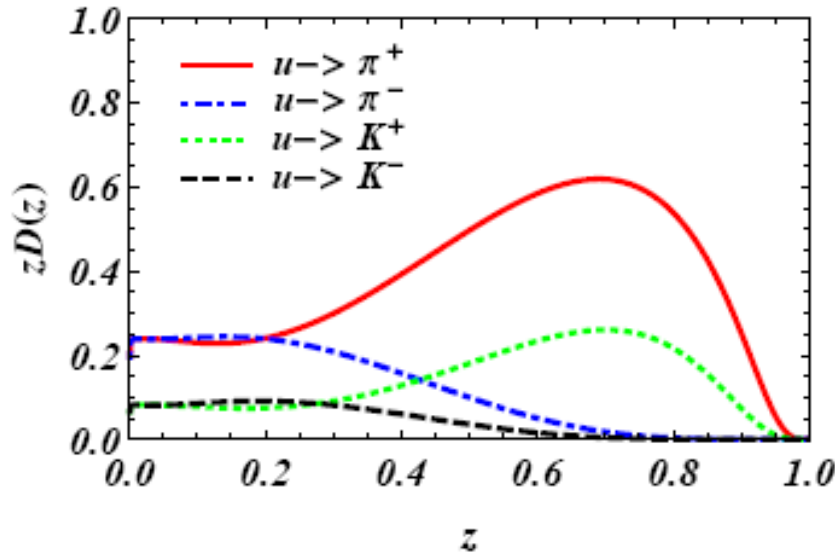
$$\hat{d}_q^Q(y) = \hat{d}_q^m(1-y)|_{m=q\bar{Q}}$$

- Iteration gives



- Use Monte Carlo simulation

Quark FFs at model scale



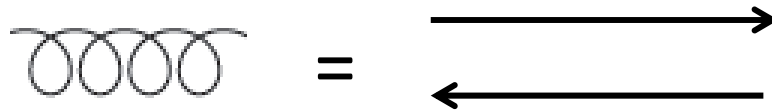
Favor FFs: $D_q^h(z)$ with $d_q^h(z) \neq 0$, example: $D_u^{\pi^+}(z)$

Unfavor FFs: $D_q^h(z)$ with $d_q^h(z) = 0$, example: $D_u^{\pi^-}(z)$

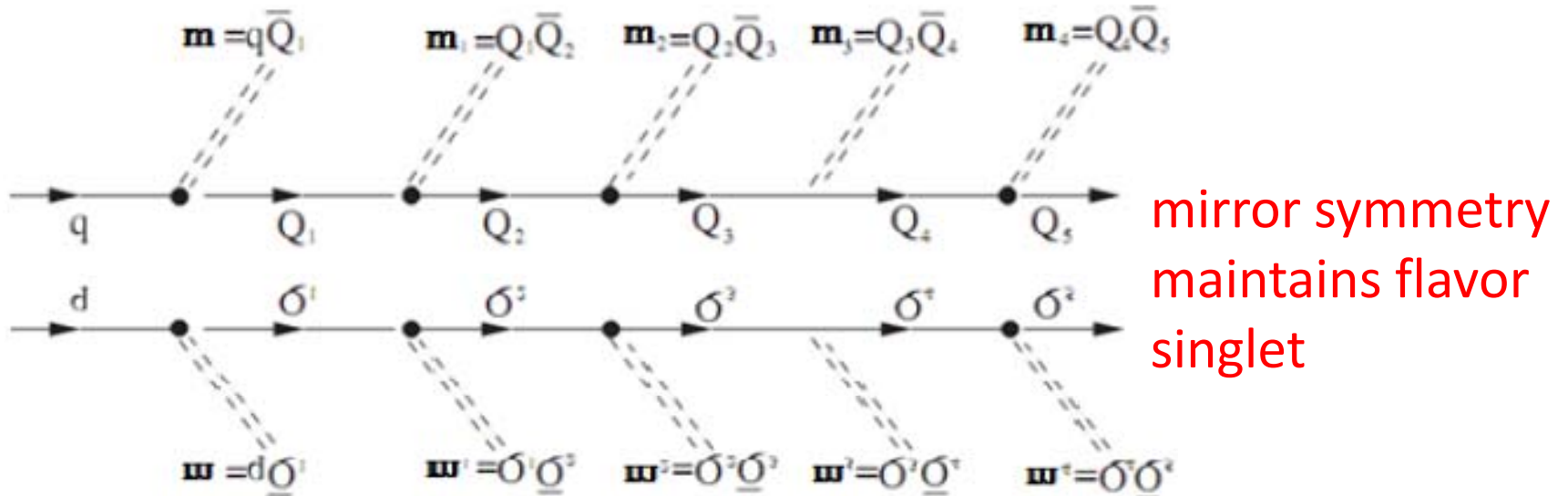
Gluon fragmentation functions

Basic idea

- Treat gluon as color dipole, formed by fictitious quark and anti-quark pair (color octet)



- Fictitious quark then radiates according to NJL



Scheme 1

- Quark shares gluon momentum according to splitting function

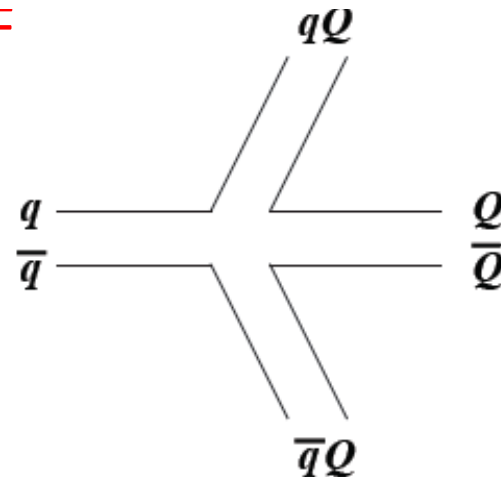
$$P_{g \rightarrow q\bar{q}}(x) = \frac{1}{2}(1 - 2x + 2x^2),$$

- Taken care of by quark FF afterwards

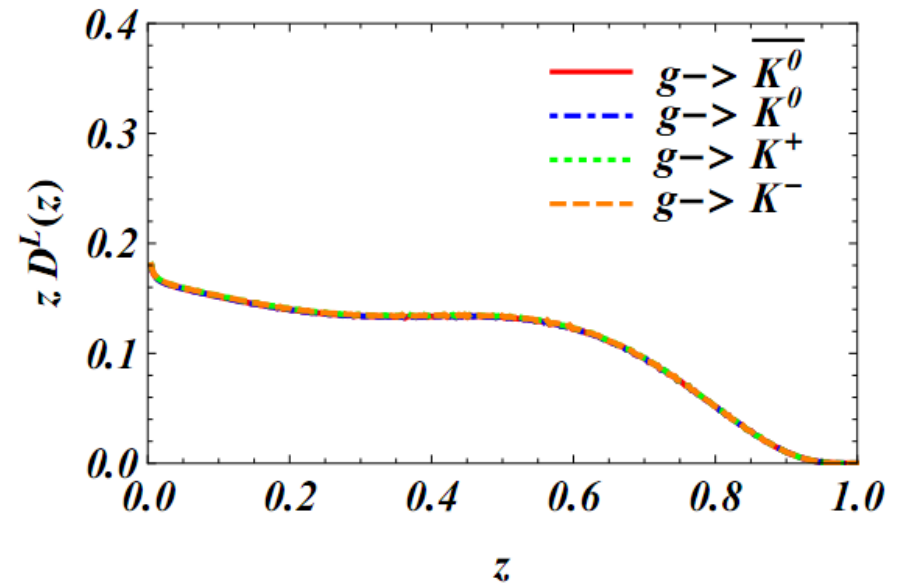
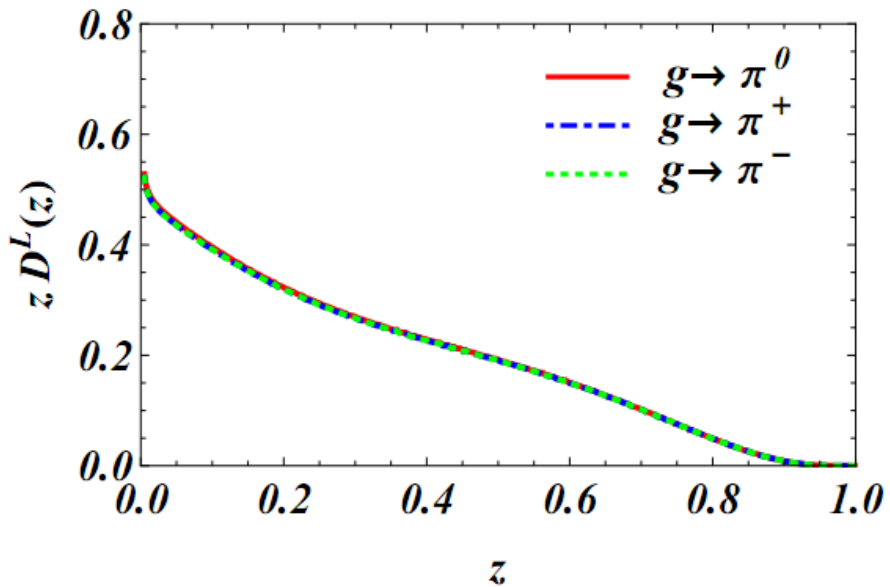
$$D_g^{Lm}(z) = \sum_q \frac{1}{3} \int_0^1 P_{g \rightarrow q\bar{q}}(x) \left[D_q^m\left(\frac{z}{x}\right) \frac{1}{x} + D_{\bar{q}}^m\left(\frac{z}{1-x}\right) \frac{1}{1-x} \right] dx$$

quark FF

- Corresponding Feynman diagram



Results at model scale

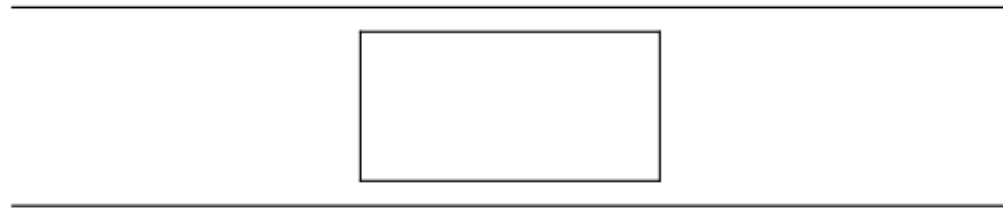


$$zD_g^{L\pi^0}(z) = zD_g^{L\pi^+}(z) = zD_g^{L\pi^-}(z)$$

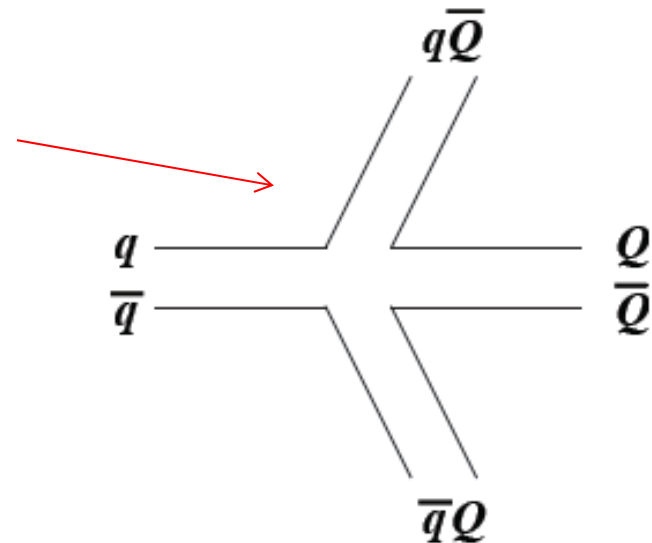
$$zD_g^{LK^+}(z) = zD_g^{LK^-}(z) = zD_g^{LK^0}(z) = zD_g^{L\bar{K}^0}(z)$$

Annihilation

- Quark mixing via annihilation
color flow



- Missing in scheme 1
- If included, quark flavor conservation can be relaxed



Scheme 2

- Define gluon elementary FF first

$$d_g^m(z) = \sum_q \frac{1}{3} \int_0^1 P_{g \rightarrow q\bar{q}}(x) \left[d_q^m\left(\frac{z}{x}\right) \frac{1}{x} + d_{\bar{q}}^m\left(\frac{z}{1-x}\right) \frac{1}{1-x} \right] dx$$

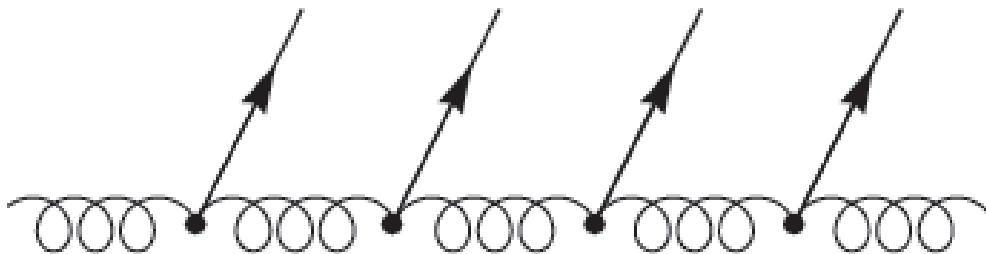
↖
hadron

- Annihilation included
- Solve evolution equation



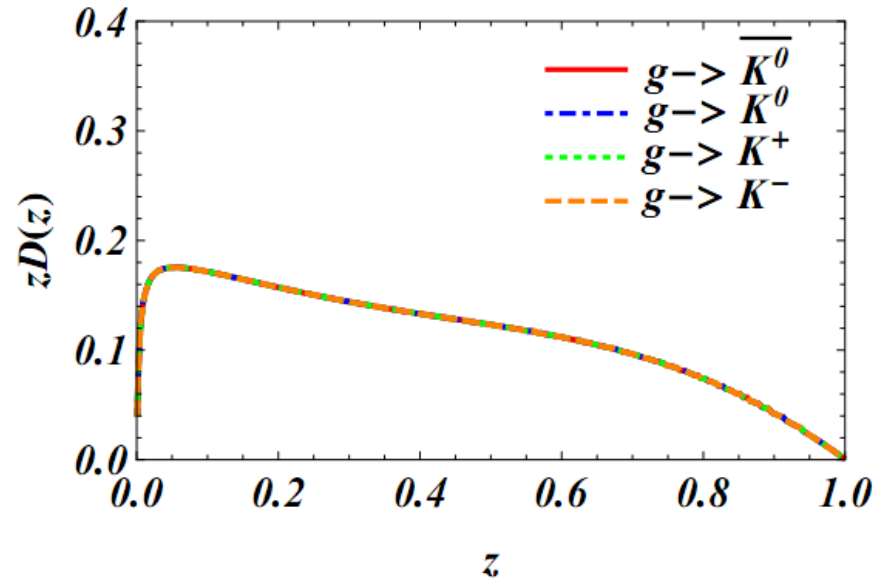
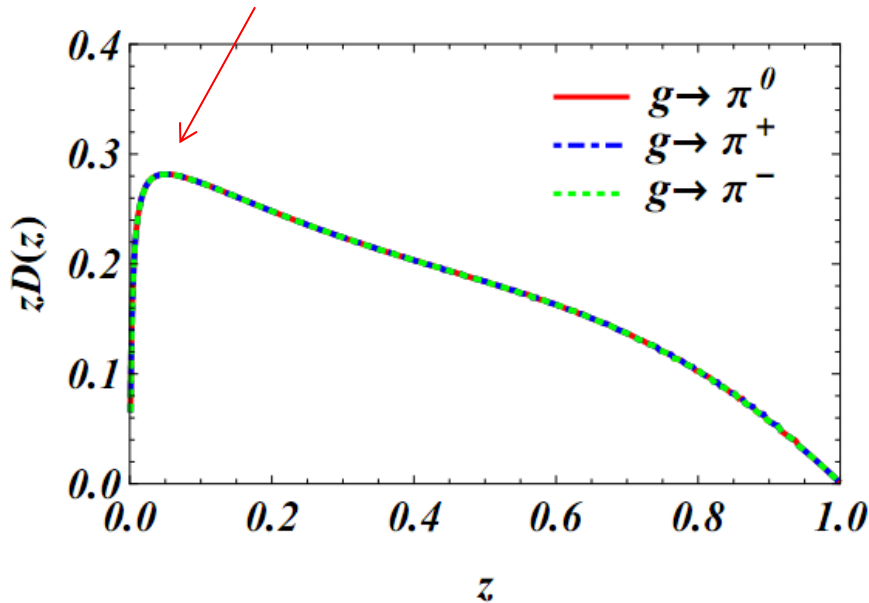
$$D_g^m(z) = \hat{d}_g^m(z) + \sum_{m'} \int_z^1 \frac{dy}{y} \hat{d}_g^{m'}(1-y) D_g^m\left(\frac{z}{y}\right)$$

hadron hadron hadron hadron



Results at model scale

peak shifts to larger z due to flavor blindness

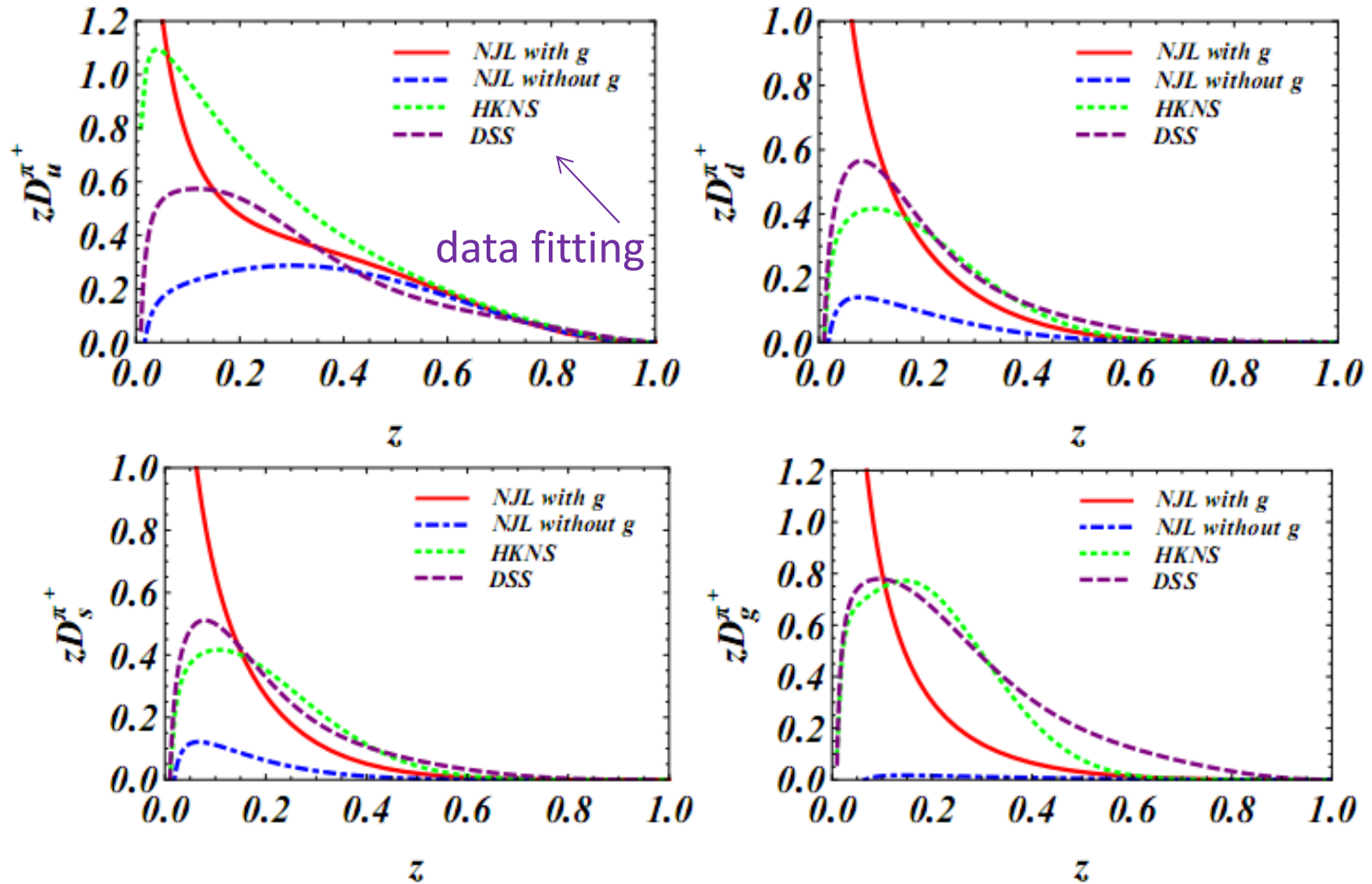


$$zD_g^{\pi^0}(z) = zD_g^{\pi^+}(z) = zD_g^{\pi^-}(z)$$

$$zD_g^{K^+}(z) = zD_g^{K^-}(z) = zD_g^{K^0}(z) = zD_g^{\bar{K}^0}(z)$$

Comparison with data

Comparison at $Q^2 = 4\text{GeV}^2$



HKNS: M. Hirai, S. Kumano, T. H. Nagai, and K. Sudoh, Phys. Rev. D 75, 094009 (2007).

DSS: D. de Florian, R. Sassot, and M. Stratmann, Phys. Rev. D 75, 114010 (2007).

Comparison with data at $Q^2 = M_Z^2$

$$F^h(z, Q^2) = \frac{1}{\sigma_{tot}} \frac{d\sigma(e^+e^- \rightarrow hX)}{dz} = \sum_i C_i(z, \alpha_s) \otimes D_i^h(z, Q^2)$$

improve consistency

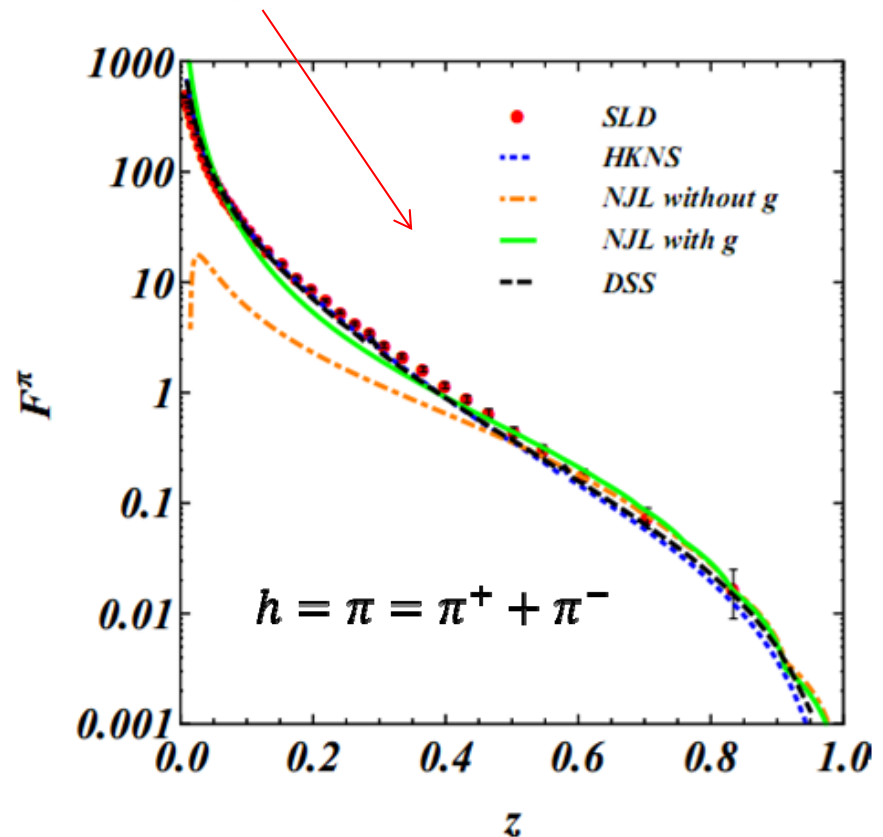
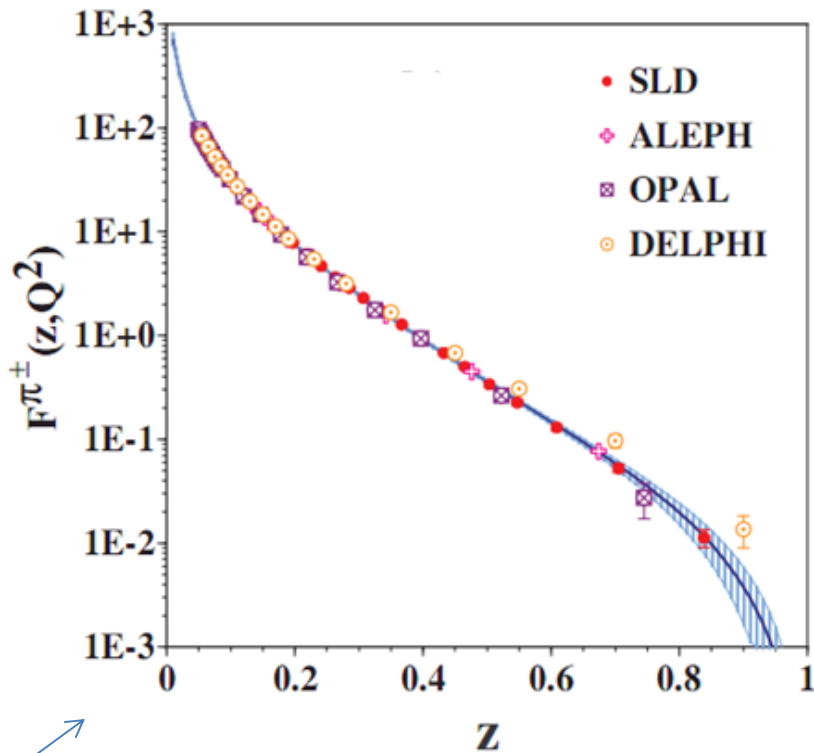
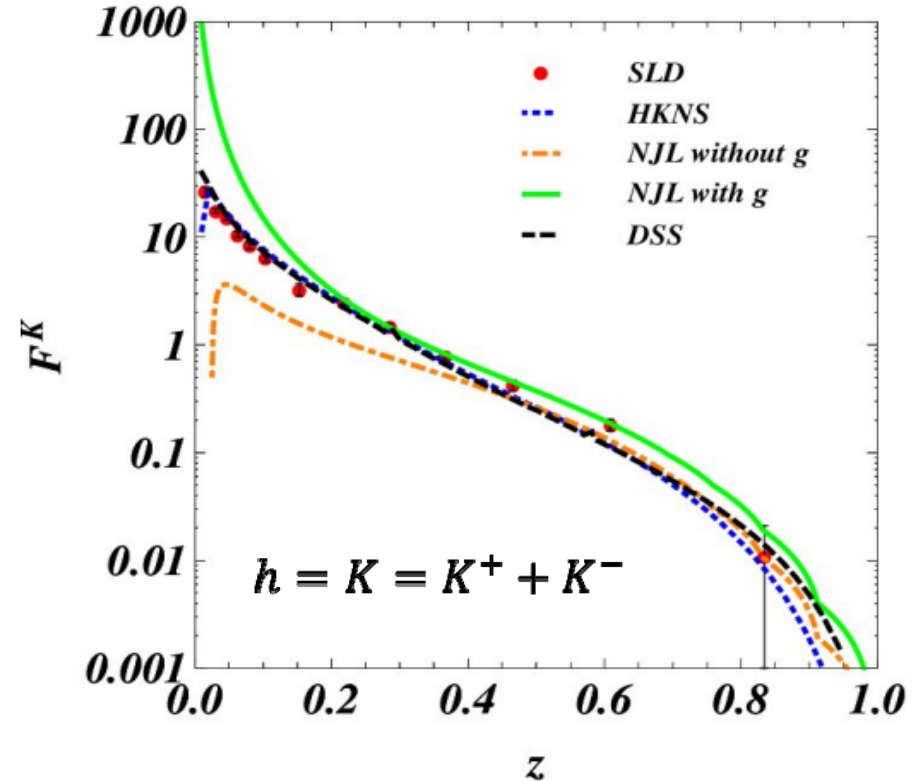
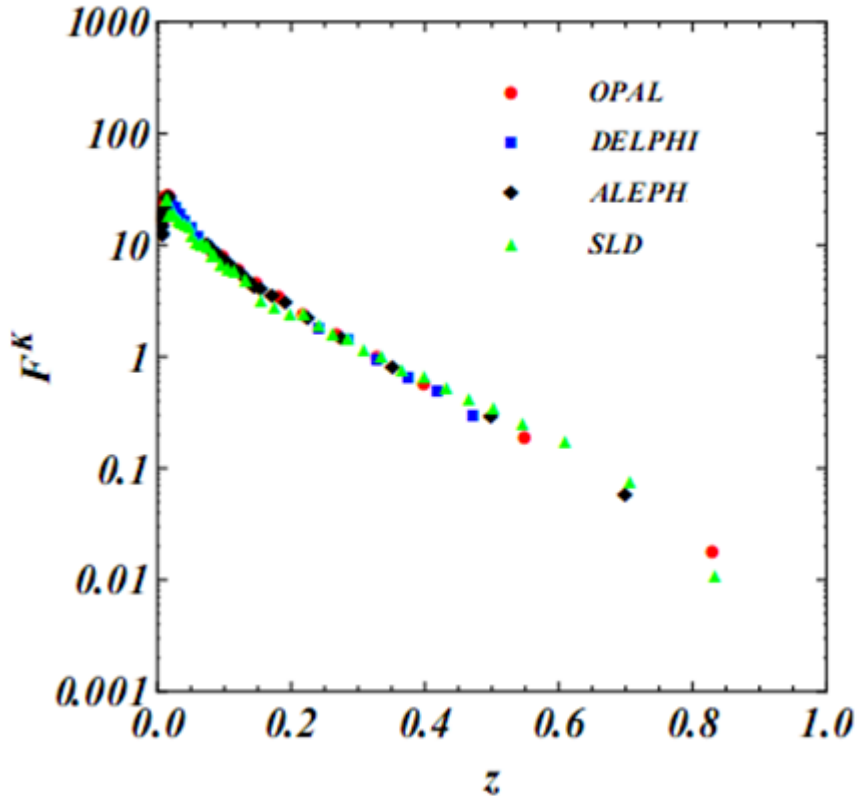


figure from HKNS paper

Comparison with data at $Q^2 = M_Z^2$

$$F^h(z, Q^2) = \frac{1}{\sigma_{tot}} \frac{d\sigma(e^+e^- \rightarrow hX)}{dz} = \sum_i C_i(z, \alpha_s) \otimes D_i^h(z, Q^2)$$



Summary

- NJL model can produce reasonable quark FFs, but cannot provide gluon FFs
- Without gluon FFs, QCD evolution incomplete
- Treat gluon as fictitious quark pair governed by NJL model to produce gluon FFs, insensitive to model parameters, like quark mass and model scale
- Gluon FFs improve consistency with data
- **Ready to get heavy quark FFs in NJL model**
Guo Xiao-Yu, Chen Xiao-Lin, Deng Wei-Zhen (2013) Ebert, Feldmann, Friedrich, Reinhardt (1995)

Back-up slides

QCD evolution

- Without gluon FFs at model scale, QCD evolution cannot be complete

$$\frac{\partial}{\partial t} D_{q_i^-}^h(z, t) = \sum_j \int_z^1 \frac{dy}{y} P_{q_j q_i}(y) D_{q_j^-}^h\left(\frac{z}{y}, t\right)$$

$$\frac{\partial}{\partial t} D_{q_i^+}^h(z, t) = \int_z^1 \frac{dy}{y} \left[\sum_j P_{q_j q_i}(y) D_{q_j^+}^h\left(\frac{z}{y}, t\right) + 2P_{gq}(y) D_g^h\left(\frac{z}{y}, t\right) \right]$$

$$\frac{\partial}{\partial t} D_g^h(z, t) = \int_z^1 \frac{dy}{y} \left[P_{qg}(y) \sum_j D_{q_j^+}^h\left(\frac{z}{y}, t\right) + P_{gg}(y) D_g^h\left(\frac{z}{y}, t\right) \right]$$

set to zero, spoil normalization

$$D_{q_i^-}^h(z, Q^2) = D_{q_i}^h(z, Q^2) - D_{\bar{q}_i}^h(z, Q^2) \quad P_{ji}(y) : \text{splitting functions}$$

$$D_{q_i^+}^h(z, Q^2) = D_{q_i}^h(z, Q^2) + D_{\bar{q}_i}^h(z, Q^2) \quad t = \log Q^2$$

NJL model

- Nambu and Jona-Lasinio model (1961)
- Low-energy effective theory like BCS to demonstrate chiral symmetry breaking and appearance of NG boson

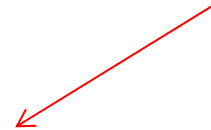
$$L = i\bar{\psi} \gamma_{\mu} \partial^{\mu} \psi + \frac{G}{4} [(\bar{\psi} \psi)(\bar{\psi} \psi) - (\bar{\psi} \gamma_5 \psi)(\bar{\psi} \gamma_5 \psi)]$$

$$= i\bar{\psi}_L \gamma_{\mu} \partial^{\mu} \psi_L + i\bar{\psi}_R \gamma_{\mu} \partial^{\mu} \psi_R + G(\bar{\psi}_L \psi_R)(\bar{\psi}_R \psi_L) \quad U(1)_L \times U(1)_R \text{ symmetry}$$

- Chiral condensate $\langle \bar{\psi} \psi \rangle \neq 0$ as $G > G_{crit}$
- Spontaneous chiral symmetry breaking gives rise to **dynamical quark mass from gap eq.**

Massive NG bosons

- To get massive NG bosons, need to add bare mass term, namely, explicit chiral symmetry breaking

$$L_{NJL} = \bar{\psi}_q(i\cancel{\partial} - m_q)\psi_q + G(\bar{\psi}_q\Gamma\psi)^2$$


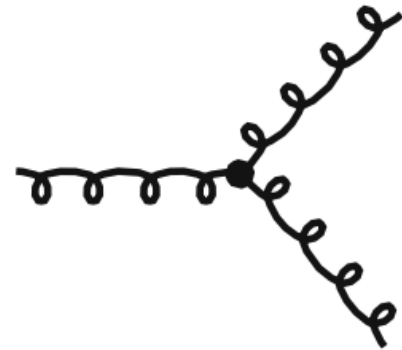
$$K_P J_P(q^2 \neq 0) = 1$$

- This NJL model has been used to calculate quark fragmentation functions of massive (physical) mesons

Multi-dipole

- The gluonic dynamics is more complicated than discussed above definitely.

- For example, $g \rightarrow g + g$



- The fictitious quark pair can split into two or more fictitious quark pairs at any stage of meson emissions.

Scheme 3

- Define elementary gluon FF

$$d_g^{Mm}(z) = \int_0^1 P_{g \rightarrow gg}(x) \left[d_g^m\left(\frac{z}{x}\right) \frac{1}{x} + d_g^m\left(\frac{z}{1-x}\right) \frac{1}{1-x} \right] dx.$$

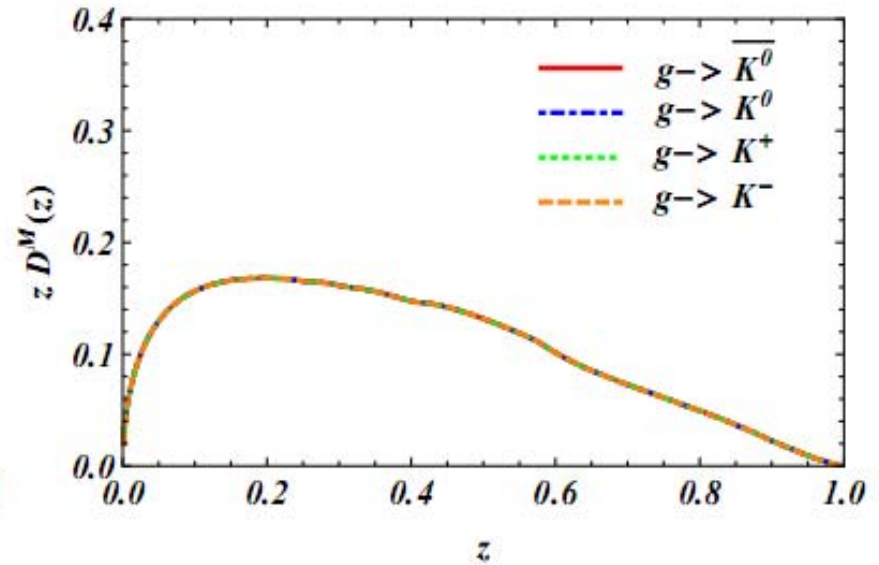
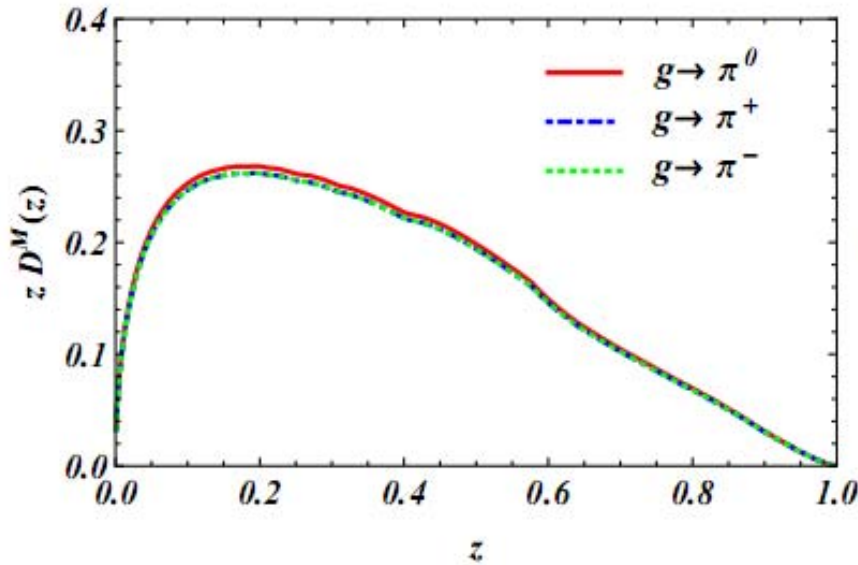
- Multi-dipole

$$P_{g \rightarrow gg}(x) = 6 \left[\frac{1-x}{x} + x(1-x) + \frac{x}{1-x} \right], \quad 0 < x < 1$$

- Solve evolution equation

$$D_g^{Mm}(z) = \hat{d}_g^{Mm}(z) + \sum_{m'} \int_z^1 \frac{dy}{y} \hat{d}_g^{Mm'}(1-y) D_g^{Mm}\left(\frac{z}{y}\right)$$

Results at model scale

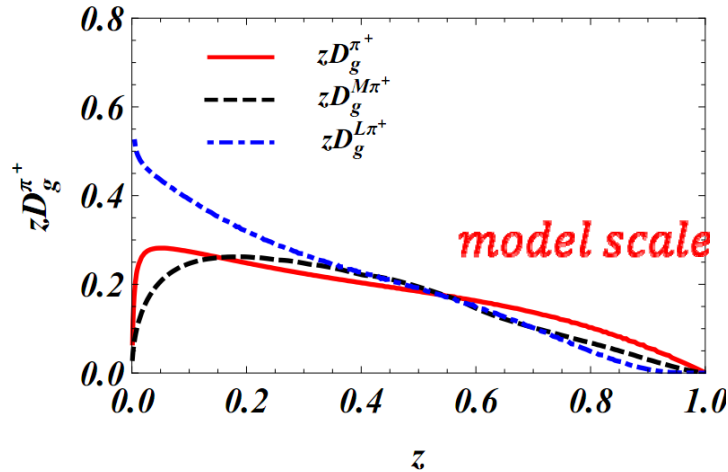


gluon branching effect is not significant
 results insensitive to choices of splitting function

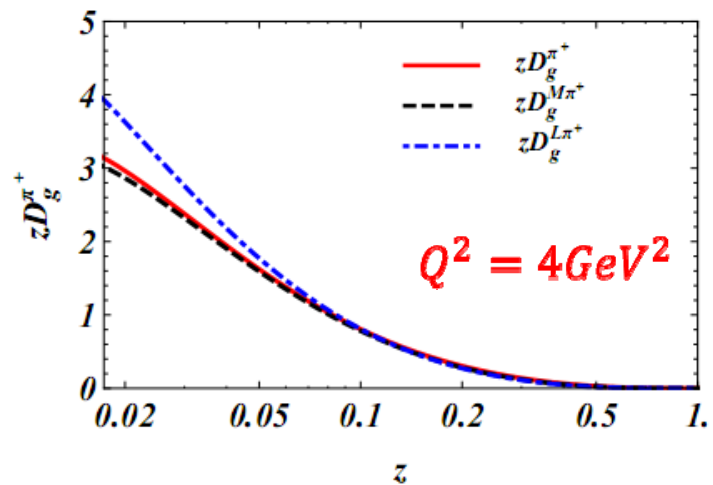
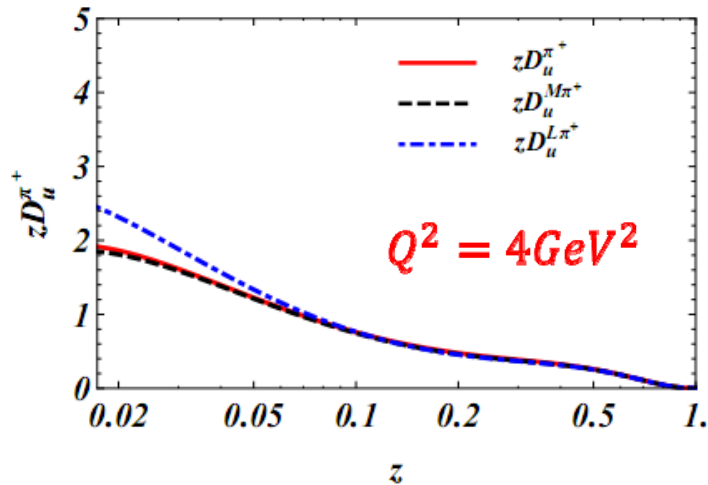
$$z D_g^{M\pi^0}(z) = z D_g^{M\pi^+}(z) = z D_g^{M\pi^-}(z)$$

$$z D_g^{MK^+}(z) = z D_g^{MK^-}(z) = z D_g^{MK^0}(z) = z D_g^{M\bar{K}^0}(z)$$

Comparison of three schemes



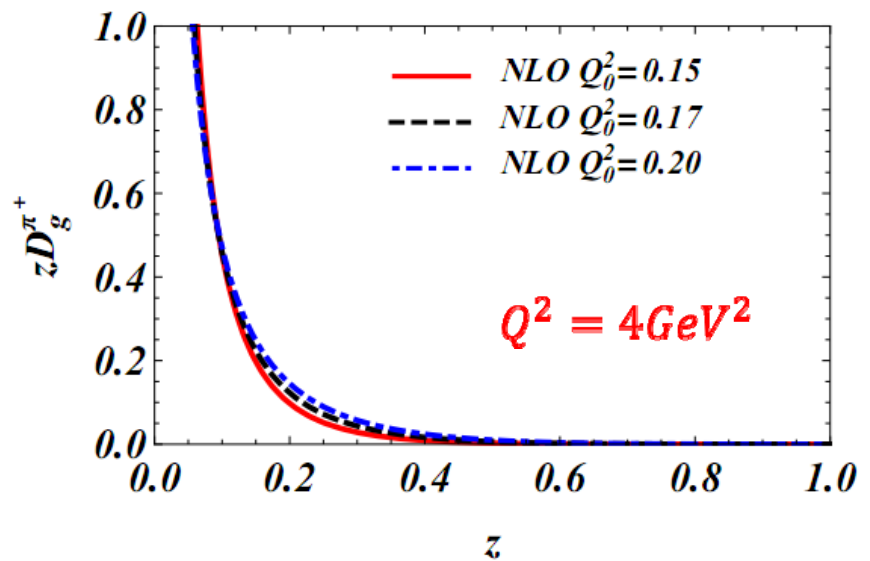
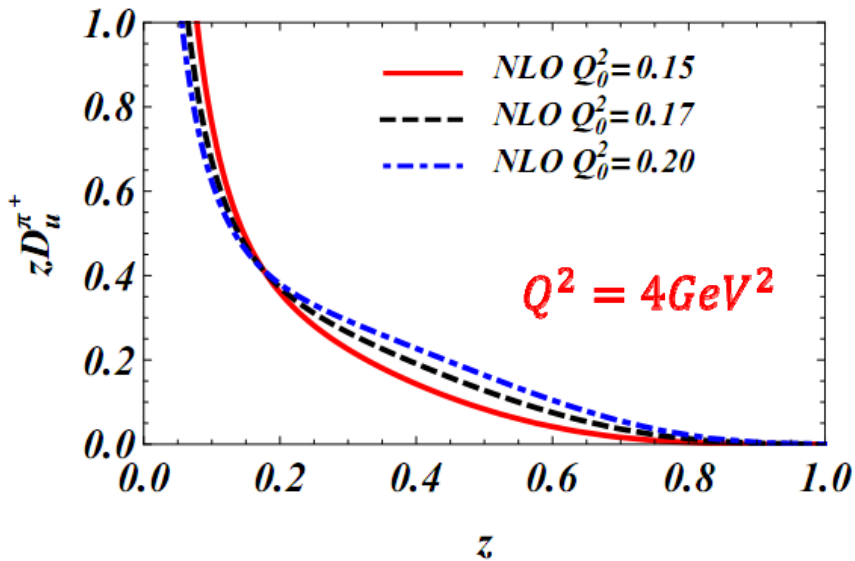
Employ QCDNUM-17-00/07
 (released on February 26, 2016)
 code to evolve Q^2 in NLO.
 (M. Botje, Comput. Phys.
 Commun. 182, 490 (2011))



difference is pushed to small z after evolution

Sensitivity of model scale Q_0^2

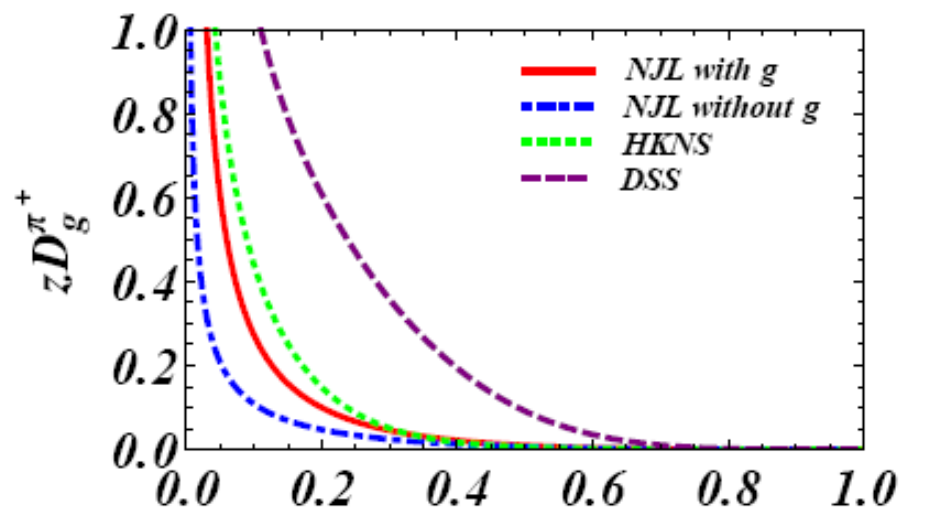
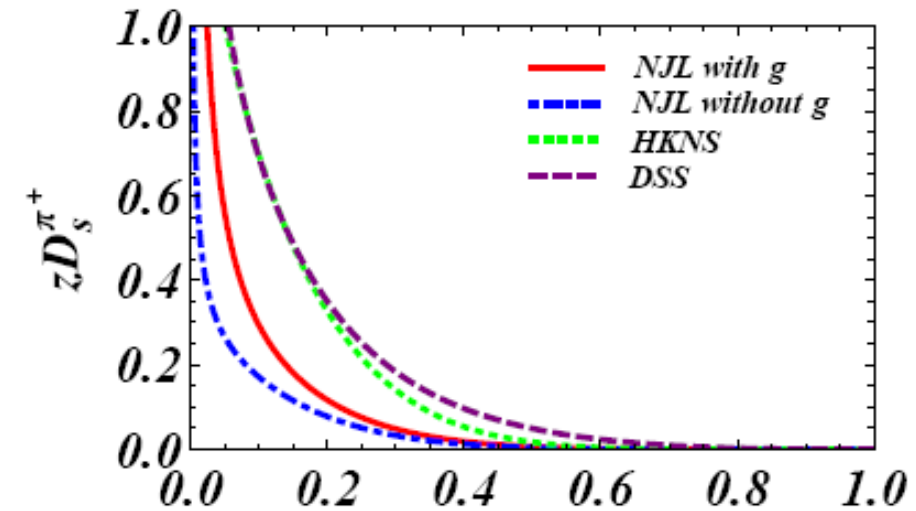
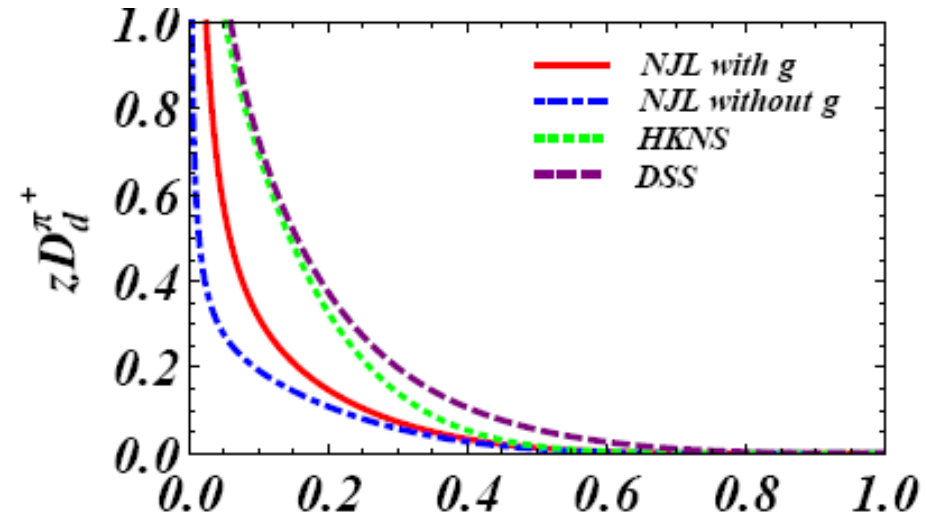
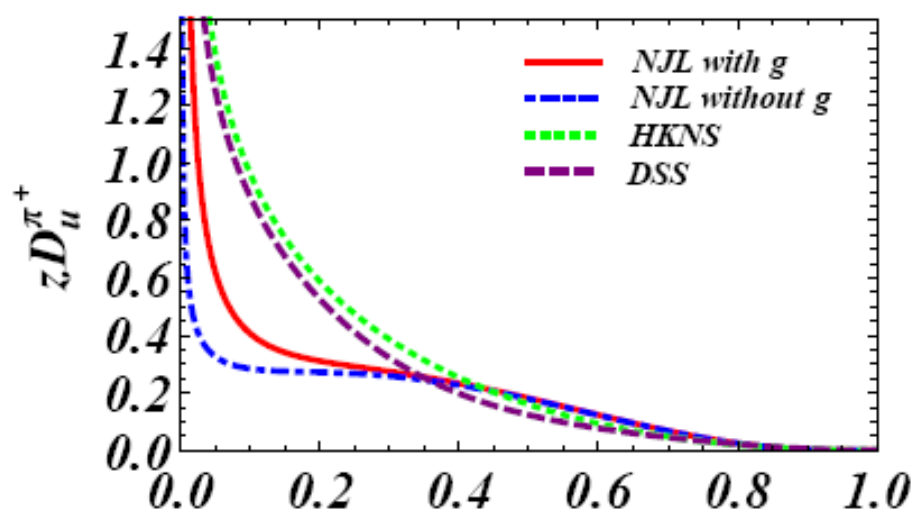
- Quark FFs versus Gluon FFs



quark FFs are more sensitive to model scale than gluon FFs.
gluon FFs contribute in small z region after evolution

➔ $e^+ + e^- \rightarrow h + x$ differential cross section at high z strongly depends on model scale due to quark FFs, but at small z it weakly depends on model scale.

Comparison at Q=Mz



z

z