

Inflation

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Further Readings







Outline



- Standard Cosmology
- Cosmological problems
- Inflation
- Slow-roll parameters
- Quantum Fluctuations and Power Spectrum
- Inflationary models



- The first word means all spaces around us
- The second word means the whole time
- Universe: All spaces and the whole time





- Cosmology: study the whole universe
- Fundamental forces: only gravity and electromagnetic forces are long range forces
- Gravity: Einstein's general relativity
- Cosmological principle: Space-time is Isotropic and Homogeneous at large sclaes

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14000Mpc~10<sup>26</sup> m
Galaxy size: a few Mpc
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Expanding Universe





Cosmological Principle



Universe 380,000 years old,13.7 billion years ago

arXiv: 1502.01582

Big Bang Cosmology



- Big Bang (Hoyle 1949)
- Prediction of CMB (Gamov): confirmed in 1965
- Explanation of the primordial abundances of elements
 0.26
- Thermal history



Standard Cosmology



Robertson-Walker

$$ds^{2} = -dt^{2} + a^{2}(t) \left(\frac{dr^{2}}{1 - Kr^{2}} + r^{2}(d\theta^{2} + \sin^{2}\theta d\phi^{2})\right)$$

Scale factor Spatial curvature

Energy-momentum tensor

$$T_{\mu\nu} = pg_{\mu\nu} + (\rho + p)U_{\mu}U_{\nu}$$
$$T_{;\nu}^{\mu\nu} = 0 \longrightarrow \dot{\rho} + 3\frac{\dot{a}}{a}(\rho + p) = 0 \qquad p = f(\rho)$$

Equation of state



• Dust $w = 0, \quad \rho \propto a^{-3}$ $w = \frac{p}{\rho}$

• Radiation $w = 1/3, \quad \rho \propto a^{-4} \propto T_{CMB}^4$

More general

$$\rho \propto a^{-3(1+w)}$$





The evolution of different matter





Standard cosmology



Einstein's general relativity G_{µν} = 8πGT_{µν}
 Friedmann equation H² + K/a² = 8πG/3 ρ H(t) = i/a







APpuquean





 $H(t) = \frac{a}{a}$

Friedmann Eq.

$$\left(\frac{\dot{a}}{a}\right)^2 + \frac{K}{a^2} = \frac{8\pi G}{3}\rho$$

• Acceleration \ddot{a} _ _ _ _

$$\frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho + 3p)$$

Energy conservation

$$\dot{\rho} + 3\frac{\dot{a}}{a}(\rho + p) = 0 \qquad p = f(\rho)$$

Deceleration parameter

$$q(t) \equiv -\frac{\ddot{a}}{aH^2} = -\frac{1}{aH^2}\frac{d^2a}{dt^2}$$

Matter domination



Friedmann equation

$$\left(\frac{\dot{a}}{a_0}\right)^2 = H_0^2 \left[1 - 2q_0 + 2q_0 \left(\frac{a_0}{a}\right)\right]$$
$$\Omega_{k0} = 1 - \Omega_{m0} = 1 - 2q_0$$

Einstein-de Sitter universe

$$K = 0, \quad \Omega_k = 0 \qquad q_0 = 1/2, \quad \Omega_{m0} = 1$$

$$\left(\frac{\dot{a}}{a_0}\right)^2 = H_0^2\left(\frac{a_0}{a}\right) \qquad a(t) = a_0\left(\frac{t}{t_0}\right)^{2/3}$$

$$H(t) = \frac{\dot{a}}{a} = \frac{2}{3t} \qquad \rho_m = \rho_{m0} \left(\frac{t}{t_0}\right)^{-2} = \frac{1}{6\pi G t^2}$$



Radiation dominated

• radiation
$$w = p/\rho = 1/3$$
 $\rho_r = \rho_{r0} \left(\frac{a_0}{a}\right)^4$
 $q_0 = \frac{8\pi G\rho_{r0}}{3H_0^2} = \Omega_{r0}$ $\rho_r + 3p_r = 2\rho_r$
 $H_0^2 + \frac{K}{a_0^2} = \frac{8\pi G\rho_{r0}}{3}$
 $\Omega_{k0} = 1 - \Omega_{r0} = 1 - q_0$
 $\left(\frac{\dot{a}}{a_0}\right)^2 = H_0^2 \left[1 - q_0 + q_0 \left(\frac{a_0}{a}\right)^2\right]$
 $a(t) = a_0 (2H_0 q_0^{1/2} t)^{1/2} \left(1 + \frac{1 - q_0}{2q_0^{1/2}} H_0 t\right)^{1/2}$



- Einstein equation $G_{\mu\nu} = 8\pi G T_{\mu\nu}$ FRW metric $ds^{2} = -dt^{2} + a^{2}(t) \left(\frac{dr^{2}}{1 - Kr^{2}} + r^{2}(d\theta^{2} + \sin^{2}\theta d\phi^{2}) \right)$ $\left(\frac{\dot{a}}{a}\right)^2 + \frac{K}{a^2} = \frac{8\pi G}{3}\rho$ $\dot{\rho} + 3\frac{a}{a}(\rho + p) = 0$ • MD $\rho(t) \propto a^{-3}$, $a(t) \propto t^{2/3}$
- **RD** $\rho(t) \propto a^{-4}, \quad a(t) \propto t^{1/2}$
- **CMB** $T = 2.72548^{\circ} \text{K}$

Horizons



Particle horizon: The boundary between observable universe and the regions that light has not reached (unobservable)

$$d_{PH}(t) = \int_0^{r_H} \sqrt{g_{rr}} dr = a(t) \int_0^t \frac{dt'}{a(t')}$$

$$ds^{2} = -dt^{2} + a^{2}(t) \left(\frac{dr^{2}}{1 - Kr^{2}} + r^{2}(d\theta^{2} + \sin^{2}\theta d\phi^{2})\right)$$

$$d_{PH}(t) = \begin{cases} 2H_0^{-1}(a/a_0)^{3/2} = 2H_0^{-1}(1+z)^{-3/2}, \text{ MD}, \\ H_0^{-1}(a/a_0)^2 = H_0^{-1}(1+z)^{-2}, \text{ RD}, \end{cases}$$
$$K = 0 \qquad d_{PH} \propto H^{-1}$$
$$\text{Redshift} \quad 1+z = \frac{a_0}{a}$$



The boundary in space-time beyond which events cannot affect an outside observer

$$d_{EH}(t) = a(t) \int_{t}^{\infty} \frac{dt'}{a(t')}$$

de-Sitter Universe $a(t) = a_0 \exp(Ht)$

$$d_{EH}(t) = H^{-1}$$

For matter or radiation domination, there is no event horizon

Apparent horizon



- The boundary between light rays that are directed outwards and moving outwards, and those directed outward but moving inward.
- Apparent horizons are observer dependent

$$d_{AH} = \left(H^2 + \frac{K}{a^2}\right)^{-1/2}$$

$$d_{AH} = H^{-1}, \quad K = 0$$

Hubble horizons



- Hubble horizon d_H ∝ H⁻¹
 Co-moving Hubble horizons d_H/a
 - $d_H/a \propto a^{1/2}$ Matter domination
 - $d_H/a \propto a$ Radiation domination
 - $d_H/a \propto a^{-1}$ de-Sitter, inflation
- Co-moving particle horizon
 - $d_{pH}/a \propto a^{1/2}$ Matter domination

 $d_{pH}/a \propto a$ Radiation domination

Horizon Problems



The expansion speed is less than light speed $t = 10^{-32}$ s, $2ct = 10^{-23}$ m $t_0 = 10^{18}$ s, $2ct_0 = 10^{27}$ m $= 10^4$ Mpc $\frac{a_0}{a} = (1 + z_{eq})^{1/4} \left(\frac{t_0}{t}\right)^{1/2} = 10^{27}$



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Horizon problem





Flatness problem



• Why $\Omega_K \approx 0$ at the beginning

Curvature density

$$\Omega_K(z) = -K/(a^2 H^2) = \Omega_{K0}(1+z)^2/E^2(z)$$

MD

$$E^2(z) \simeq (1+z)^3$$
, $\Omega_K(z) \simeq \Omega_{K0}/(1+z)$

Matter-Radiation Equality $\Omega_K \sim 10^{-4} \Omega_{K0}$

RD

$$E^2(z) \sim (1+z)^4$$
, $\Omega_K(z) \sim \Omega_{K0}/(1+z)^2$



- Flatness Problem: Why does the universe appear so flat? not clearly open or closed
- Relics Problem: Why do we see no monopoles?

Horizon Problem

The Universe looks the same everywhere in the sky that we look? The entire universe must have been at uniform temperature near beginning, There has not been enough time since the big bang for light to travel between two parts on opposite horizons

- Dark energy: repulsive force
- Dark matter

Inflation Theory



Guth (1980s): The Universe expanded exponentially fast at very early time



Inflationary Solutions



Horizon Problem: Observable universe was extremely small before inflation, all regions could be in causal contact



Inflationary solution







Inflationary Solution

 Flatness: Inflation pushes the Universe towards flatness (stretch away any unevenness)

Longer inflation → Flatter Universe

- Relics: Inflation greatly dilutes any relics
 - → We should not observe them today

Inflation



Conditions

$$\begin{split} \frac{\ddot{a}}{a} &= -\frac{4\pi G}{3}(\rho + 3p)\\ \rho + 3p < 0\\ \ddot{a} &> 0 \Longleftrightarrow \frac{d}{dt}\left(\frac{1}{aH}\right) < 0 \end{split}$$

Inflation is equivalent to the decrease in comoving Hubble horizon, and it can solve the problems in the standard cosmology



Inflationary Models

- Inflation: accelerated expansion, repulsive force
- Scalar field: if potential energy is bigger than kinetic energy, drives accelerated expansion
- Flat potential:
 to get enough
 inflation
 slow-roll inflation
 slow-roll parameters



Scalar field



• Lagrangian
$$\mathscr{L}_{\phi} = -\frac{1}{2}g^{\mu\nu}\partial_{\mu}\phi\partial_{\nu}\phi - V(\phi)$$

 $T_{\mu\nu} = -\frac{2}{\sqrt{-g}}\frac{\delta}{\delta g^{\mu\nu}}(\sqrt{-g}\mathscr{L}_{\phi}) = \partial_{\mu}\phi\partial_{\nu}\phi + g_{\mu\nu}\mathscr{L}_{\phi}.$
 $p = -\frac{1}{2}g^{\mu\nu}\partial_{\mu}\phi\partial_{\nu}\phi + V(\phi), \quad p = \mathscr{L}_{\phi}$

$$U_{\mu} = \partial_{\mu}\phi / \sqrt{-g^{\mu\nu}\partial_{\mu}\phi\partial_{\nu}\phi}$$

$$\rho = \frac{1}{2}\dot{\phi}^2 + V(\phi)$$
$$p = \frac{1}{2}\dot{\phi}^2 - V(\phi)$$





Models with scalar fields

Cosmological equations

$$H^2 = \frac{8\pi G}{3} \left(\frac{1}{2} + V(\phi) \right)$$

$$\dot{\rho} + 3H(\rho + p) = 0 \quad \longleftrightarrow \qquad \overset{\checkmark}{\longleftarrow} + 3H\dot{\phi} + V'(\phi) = 0$$

Slow-roll approximation

$$V'(\phi) = dV(\phi)/d\phi$$

$$\begin{split} \dot{\phi}^2 \ll 2V(\phi) & |\ddot{\phi}| \ll 3H|\dot{\phi}| \\ H^2 \simeq \frac{8\pi G}{3}V(\phi) & p = \frac{1}{2}\dot{\phi}^2 - V(\phi) \approx -V(\phi) \\ 3H\dot{\phi} \simeq -V'(\phi) & \rho + 3p \approx -2V(\phi) < 0 \end{split}$$

Slow-roll







Slow-roll parameters



• Slow-roll
$$\dot{\phi}^2 \ll 2V(\phi) |\ddot{\phi}| \ll 3H|\dot{\phi}|$$

 $\bar{\epsilon} = \frac{\dot{\phi}^2}{2V(\phi)} = \frac{1}{48\pi G} \left(\frac{V'}{V}\right)^2 \ll 1 \qquad 3H\dot{\phi} \simeq -V'(\phi)$
 $|\bar{\eta}| = \left|\frac{\ddot{\phi}}{3H\dot{\phi}}\right| = \frac{1}{24\pi G} \left|\frac{V''}{V} - \frac{1}{2}\left(\frac{V'}{V}\right)^2\right| \ll 1$
 $\epsilon = 3\bar{\epsilon} = \frac{1}{16\pi G} \left(\frac{V'}{V}\right)^2 \ll 1$
 $\eta = \frac{1}{8\pi G} \frac{V''}{V} \ll 1$
 $\epsilon, \eta \sim 1$
End of inlation
 $\xi = \frac{1}{(8\pi G)^2} \frac{V'V'''}{V^2} \ll 1$





EOM of scalar field



EOM

$$V(\phi) = 3\left(1 - \frac{1}{3}\epsilon_H\right) M_{pl}^2 H^2 \qquad M_{pl}^2 = 1/(8\pi G)$$
$$V'(\phi) = -3\left(1 - \frac{1}{3}\eta_H\right) H\dot{\phi}$$
$$\frac{\ddot{a}}{a} = H^2(\phi)[1 - \epsilon_H(\phi)]$$

End of inflation

$$\ddot{a} > 0 \Longrightarrow \epsilon_H < 1$$

 $\epsilon_H = 1 \qquad \epsilon \sim 1, \quad |\bar{\eta}| \sim 1$


Number of e-foldings before the end of inflation

$$N(t) = \int_{t}^{t_{f}} d\ln a(t) \qquad \qquad dN = -Hdt$$

 $\frac{d\ln H}{dN} = \epsilon_H \approx \epsilon, \quad \frac{d\ln \epsilon_H}{dN} = 2(\eta_H - \epsilon_H) \approx 2(\eta - \epsilon)$

• parameters $\dot{\epsilon}_H = 2H\epsilon_H(\epsilon_H - \eta_H)$

$$\dot{\eta}_H = H(\epsilon_H \eta_H - \xi_H)$$

The total number of e-foldings

$$N(\phi_e, \phi_i) = \ln[a(t_e)/a(t_i)] = \int_{t_i}^{t_e} H dt \approx -8\pi G \int_{\phi_i}^{\phi_e} \frac{V(\phi)}{V'(\phi)} d\phi$$





The number of e-foldings from horizon exit to the end of inflation k = aH

$$\begin{aligned} \frac{k}{a_0 H_0} &= \frac{a_* H_*}{a_0 H_0} = \frac{a_*}{a_e} \frac{a_e}{a_{reh}} \frac{a_{reh}}{a_0} \frac{H_*}{H_0} \\ &= e^{-N_*} \left(\frac{\rho_e}{\rho_{reh}}\right)^{-1/3} \left(\frac{\rho_{r0}}{\rho_{reh}}\right)^{1/4} \left(\frac{\rho_*}{\rho_{c0}}\right)^{1/2} \\ &= e^{-N_*} \left(\frac{\rho_{reh}^{1/4}}{\rho_e^{1/4}}\right)^{1/3} \left(\frac{\rho_{*}^{1/4}}{\rho_e^{1/4}}\right) \left(\frac{\rho_{*}^{1/4}}{10^{16} \text{Gev}}\right) \left(\frac{10^{16} \text{Gev}}{\rho_{c0}^{1/4}}\right) \left(\frac{\rho_{*}^{1/4}}{\rho_{c0}^{1/4}}\right), \\ N_* &= 60.86 - \ln h - \ln \frac{k}{a_0 H_0} - \frac{1}{3} \ln \frac{V_e^{1/4}}{\rho_{reh}^{1/4}} + \ln \frac{V_{*}^{1/4}}{V_e^{1/4}} - \ln \left(\frac{10^{16} \text{Gev}}{V_{*}^{1/4}}\right) \\ N_* &\geq 50 - 60 \end{aligned}$$

Lyth Bound



Number of e-folds





Hamilton-Jacobi Formulation

$$\begin{split} [H'(\phi)]^2 &- \frac{3}{2M_{pl}^2} H^2(\phi) = -\frac{1}{2M_{pl}^4} V(\phi) \qquad \delta\phi = 0 \\ H(\phi) &= H_0(\phi) + \delta H(\phi) \\ H'_0 \delta H' \approx \frac{3}{2M_{pl}^2} H_0 \delta H \\ \delta H(\phi) &= \delta H(\phi_i) \exp[-3N(\phi)], \ N(\phi) = \frac{1}{2M_{pl}^2} \int_{\phi_i}^{\phi} \frac{H_0(\phi)}{H'_0(\phi)} d\phi \end{split}$$

Salopek & Bond, PRD 42 (90) 3936 Liddle, Parsons & Barrow, PRD 50 (94) 7222

Attractor: Whatever the initial conditions are, the scalar field will enter the slow-roll trajectories if the scalar field satisfies the slow-roll conditions.



- After the end of inflation, all the energy of the universe is stored in the inflaton, and the temperature is extremely low.
- A process of energy transfer is needed to keep thermal equilibrium, and recovers the standard thermal history.

 $\dot{\rho} + (3H + \Gamma)\rho = 0$ Particle decay rate Γ

$$T_{reh} \sim \sqrt{M_{pl}\Gamma}$$

$$\frac{T_{reh}}{1 \text{ GeV}} \sim \left(\frac{m}{10^6 \text{ GeV}}\right)^{3/2} \qquad m \gtrsim 10^4 \text{ GeV}$$



Mode decomposition

• Helmholtz theorem
$$\vec{A} = \vec{B} + \vec{C}, \quad \vec{\nabla} \cdot \vec{C} = 0$$

 $\vec{B} = -\vec{\nabla}\phi, \quad \nabla \times \vec{B} = 0$
 $v_i = v_i^S + v_i^V \quad \nabla \times v^S = 0 \quad \nabla \cdot v^V = 0$
 $v_i^S = -\frac{ik_i}{k}V \qquad k_i v_i^V = 0$
 $\Pi_{ij} = \Pi_{ij}^S + \Pi_{ij}^V + \Pi_{ij}^T$
 $\Pi_{ij}^S = \left(-\frac{k_i k_j}{k^2} + \frac{1}{3}\delta_{ij}\right)\Pi \qquad \Pi_i^i$
 $\Pi_{ij}^V = -\frac{i}{2k}(k_i \Pi_j + k_j \Pi_i) \qquad k_i \Pi_i = 0$
 $k_i \Pi_{ij}^T = 0$





- Vector mode: It decays when the universe expands
- Scalar mode

$$\begin{split} ds^{2} &= a^{2}(\tau) \{ -(1+2A)d\tau^{2} + 2\nabla_{i}Bd\tau dx^{i} \\ &+ [(1-2D)\gamma_{ij} + 2(\nabla_{i}\nabla_{j} - \frac{1}{3}\gamma_{ij}\nabla^{2})E]dx^{i}dx^{j} \}, \\ & (3)R = \frac{4}{a^{2}}\nabla^{2}\left(D + \frac{1}{3}\nabla^{2}E\right) \\ & (3)R = \frac{6K}{a^{2}} \\ & \text{Background} \end{split}$$

Curvature perturbation

Degrees of freedom (4): A, B, D, E

Independent variable



• Variables: scalar mode Degrees of freedom (5): $A, B, D, E, \delta\phi$

Coordinate transformation (2): $x^{\mu} \rightarrow \xi^{\mu}$

- Fix a gauge: 5-2=3
 - **Three independent DOF**
- Bianchi identity: 2
 - 1 independent DOF left

Quantum fluctuations



Scalar perturbations $ds^{2} = a^{2}(\tau) \{-(1+2A)d\tau^{2} + 2\nabla_{i}Bd\tau dx^{i} \qquad d\tau = dt/a \\ + [(1-2D)\gamma_{ij} + 2(\nabla_{i}\nabla_{j} - \frac{1}{3}\gamma_{ij}\nabla^{2})E]dx^{i}dx^{j}\},$

Action

$$S = -\frac{1}{16\pi G} \int d^4x \sqrt{-g} R - \int d^4x \sqrt{-g} \left[\frac{1}{2} g^{\mu\nu} \nabla_\mu \phi \nabla_\nu \phi + V(\phi) \right]$$

$$\delta_2 S = \frac{1}{2} \int \left(v'^2 - \gamma^{ij} v_{,i} v_{,j} + \frac{z''}{z} v^2 \right) d^3 x d\tau, \quad v' = dv/d\tau$$

$$v = a[\delta\phi + (\phi_0'/\mathscr{H})D] = a[\delta\phi^{gi} + (\phi_0'/\mathscr{H})\Phi] = -z\mathscr{R}$$

$$\delta\phi^{gi} = \delta\phi + \phi'_0(B - E'), \quad \mathscr{R} = \Phi + \mathscr{H}\delta\phi^{gi}/\phi'_0, \quad z = \frac{a\phi'_0}{\mathscr{H}}$$



ADM decomposition

$$\begin{split} ds^2 &= -N^2 dt^2 + \gamma_{ij} (dx^i + N^i dt) (dx^j + N^j dt) \\ g^{00} &= -\frac{1}{N^2}, \ g^{0i} = \frac{N^i}{N^2}, \ g^{ij} = \gamma^{ij} - \frac{N^i N^j}{N^2} \\ \gamma^{ik} \gamma_{kj} &= \delta^i_j \quad N^i = \gamma^{ij} N_j \end{split} \begin{array}{l} \text{Lapse function } N \\ \text{Shift function } N^i \end{split}$$

Extrinsic curvature
$$K_{ij} = \frac{1}{2N} \left(\frac{\partial \gamma_{ij}}{\partial t} - \nabla_i N_j - \nabla_j N_i \right) \equiv \frac{E_{ij}}{N}$$

Gravitational action

$$S = \frac{1}{16\pi G} \int d^4x \sqrt{-g} R = \frac{1}{16\pi G} \int dt d^3x N \sqrt{\gamma} \left[{}^{(3)}R + K_{ij} K^{ij} - (\gamma^{ij} K_{ij})^2 \right],$$

Quantum fluctuations



The action

$$S = -\frac{1}{16\pi G} \int d^4x \sqrt{-g} R - \int d^4x \sqrt{-g} \left[\frac{1}{2} g^{\mu\nu} \nabla_\mu \phi \nabla_\nu \phi + V(\phi) \right]$$

$$S = \frac{1}{2} \int dt d^3x \sqrt{\gamma} \left[N^{(3)}R - 2NV + N^{-1}(E_{ij}E^{ij} - E^2) + N^{-1}(\dot{\phi} - N^i\partial_i\phi)^2 - N\gamma^{ij}\partial_i\phi\partial_j\phi \right] \qquad M_{pl}^2 = 1$$

Hamiltonian constraint

 ${}^{(3)}R - 2V - N^{-2}(E_{ij}E^{ij} - E^2) - N^{-1}(\dot{\phi} - N^i\partial_i\phi)^2 - \gamma^{ij}\partial_i\phi\partial_j\phi = 0.$

Momentum constraint

$$\nabla_i \left[N^{-1} (E^i_j - \delta^i_j E) \right] = N^{-1} (\dot{\phi} - N^i \phi_{,i}) \phi_{,j} \qquad \phi_{,i} = \partial_i \phi$$

Background



- FRW metric N = 1, $N_i = 0$, $\gamma_{ij} = a^2 \delta_{ij}$
- $E_{ij} = H\gamma_{ij}, \quad E^{ij} = H\gamma^{ij}, \quad E^{ij}E_{ij} = 3H^2, \quad E = 3H,$ $E_{ij} - \gamma_{ij}E = -2H\gamma_{ij}, \quad E^{ij}E_{ij} - E^2 = -6H^2, \quad {}^{(3)}R = 0.$
 - The action $S_0 = \frac{1}{2} \int dt d^3 x \, a^3 \left(\dot{\phi}^2 2V 6H^2 \right)$ EOM $\dot{H} = -\frac{1}{2} \dot{\phi}^2,$ $\ddot{\phi} + 3H \dot{\phi} + \frac{dV}{d\phi} = 0$ Hamiltonian constraint
 - $6H^2=\dot{\phi}^2+2V$

Quantum fluctuation



Variables: scalar mode

Degrees of freedom (5): $A, B, D, E, \delta \phi$

Coordinate transformation (2): $x^{\mu} \rightarrow \xi^{\mu}$

- Fix a gauge: $E = 0, \ \delta \phi = 0$
- Gauge: uniform field gauge $N = 1 + N_1, \quad N^i = \psi_{,i} + N_T^i, \quad \gamma_{ij} = a^2(1 + 2\zeta)\delta_{ij},$ $\gamma^{ij} = a^{-2}(1 - 2\zeta)\delta_{ij}, \quad N_i = a^2(\psi_{,i} + N_T^i), \quad \partial_i N_T^i = 0$

Three independent DOF N_1, ψ, ζ

A, B, D

Quantum fluctuation



Perturbations

To the 1st order
$${}^{(3)}R = -\frac{4}{a^2}\nabla^2\zeta$$
, $E_{ij} = H\gamma_{ij} + a^2\dot{\zeta}\delta_{ij} - a^2\psi_{,ij}$,
 $E = 3H + 3\dot{\zeta} - \nabla^2\psi$, $E^{ij} = H\gamma^{ij} + a^{-2}\dot{\zeta}\delta_{ij} - a^2\psi_{,ij}$,
 $E^{ij}E_{ij} - E^2 = -6H^2 - 12H\dot{\zeta} + 4H\nabla^2\psi$,
 $E_{ij} - \gamma_{ij}E = -2Ha^2\delta_{ij} - 4a^2H\zeta\delta_{ij} - 2a^2\dot{\zeta}\delta_{ij} - a^{-2}(\psi_{,ij} - \delta_{ij}\nabla^2\psi)$.

Momentum constraint

$$\nabla_i \left[N^{-1} (E_j^i - \delta_j^i E) \right] = N^{-1} (\dot{\phi} - N^i \phi_{,i}) \phi_{,i} \qquad \phi_{,i} = \partial_i \phi$$
$$\phi_{,i} = 0$$



First order approximation

Constraints

Momentum constraint
$$H\partial_j N_1 = \partial_j \dot{\zeta} \longrightarrow N_1 = \dot{\zeta}/H$$

Hamiltonian constraint
$$\nabla^2 \psi + \frac{1}{a^2} \nabla^2 \left(\frac{\zeta}{H}\right) - \frac{\dot{\phi}^2}{2H^2} \dot{\zeta} = 0$$

$$\psi = -\frac{\zeta}{a^2H} + \chi, \quad \nabla^2 \chi = \frac{\dot{\phi}^2}{2H^2} \dot{\zeta}$$

$$\delta_1 S = \frac{1}{2} \int dt d^3 x \, a^3 \left[3\zeta (\dot{\phi}^2 - 6H^2 - 2V) - 12H\dot{\zeta} \right].$$

Second order of approximation



To the second order

$$\gamma_{ij} = a^2 e^{2\zeta} \delta_{ij} = a^2 (1 + 2\zeta + 2\zeta^2) \delta_{ij}, \quad \gamma^{ij} = a^{-2} e^{-2\zeta} \delta_{ij},$$

$$\begin{split} \sqrt{\gamma} &= a^3 e^{3\zeta} = a^3 \left(1 + 3\zeta + \frac{9}{2} \zeta^2 \right), \quad {}^{(3)}R = \frac{1}{a^2} e^{-2\zeta} \left[-4\nabla^2 \zeta - 2(\zeta_{,i})^2 \right], \\ E_{ij} &= a^2 \left[(H + \dot{\zeta} + 2H\zeta + 2H\zeta^2 + 2\zeta\dot{\zeta} - \psi_{,k}\zeta_{,k})\delta_{ij} - (1 + 2\zeta)\psi_{,ij} \right], \\ E &= 3(H + \dot{\zeta}) - \nabla^2 \psi - 3\psi_{,k}\zeta_{,k}, \\ E^{ij} &= a^{-2} \left[(H + \dot{\zeta} - 2H\zeta + 2H\zeta^2 - 2\zeta\dot{\zeta} - \psi_{,k}\zeta_{,k})\delta_{ij} - (1 - 2\zeta)\psi_{,ij} \right], \\ E^{ij}E_{ij} - E^2 &= -6H^2 - 12H\dot{\zeta} - 6\dot{\zeta}^2 + 4H\nabla^2\psi + 4(\dot{\zeta} - 3H\zeta)\nabla^2\psi. \end{split}$$





The action (to the second order)

$$\begin{split} \delta_2 S &= \frac{1}{2} \int dt d^3 x \frac{\dot{\phi}^2}{H^2} \begin{bmatrix} a^3 \dot{\zeta}^2 - a(\zeta_{,i})^2 \end{bmatrix} \\ &= \frac{1}{2} \int d\tau d^3 x \frac{a^2 \phi'^2}{\mathscr{H}^2} \begin{bmatrix} \zeta'^2 - (\zeta_{,i})^2 \end{bmatrix} & \text{Simple harmonic} \\ &= \frac{1}{2} \int d\tau d^3 x \begin{bmatrix} v'^2 - (v_{,i})^2 + \frac{z''}{z} v^2 \end{bmatrix}, \end{split}$$

$$v = a\phi'\zeta/\mathscr{H}, \ \phi' = d\phi/d\tau, \ \mathscr{H} = d\ln a/d\tau$$
 $z = \frac{a\phi'_0}{\mathscr{H}}$

$$\delta_2 S = \frac{1}{2} \int \left(v'^2 - \gamma^{ij} v_{,i} v_{,j} + \frac{z''}{z} v^2 \right) d^3 x d\tau, \quad v' = dv/d\tau$$
$$v = -z\mathscr{R}$$



Canonical quantization

Conjugate momentum $\pi(\tau, \vec{x}) = \delta L / \delta v' = v'(\tau, \vec{x})$

Hamiltonian

$$\begin{split} H &= \int (v'\pi - L)\sqrt{\gamma} d^3 x = \frac{1}{2} \int \left(\pi^2 + \gamma^{ij} v_{,i} v_{,j} - \frac{z''}{z} v^2\right) \sqrt{\gamma} d^3 x \\ & [\hat{v}(\tau, \vec{x}), \ \hat{v}(\tau, \vec{x}')] = [\hat{\pi}(\tau, \vec{x}), \ \hat{\pi}(\tau, \vec{x}')] = 0, \\ & [\hat{v}(\tau, \vec{x}), \ \hat{\pi}(\tau, \vec{x}')] = i \delta^{(3)} (\vec{x} - \vec{x}'), \\ & \int \delta^{(3)} (x - x') \sqrt{\gamma} d^3 x = 1 \\ & i \hat{v}' = [\hat{v}, \ \hat{H}], \quad i \hat{\pi}' = [\hat{\pi}, \ \hat{H}] \end{split}$$

Quantization



quantization

$$\hat{v}(\tau, \vec{x}) = \int \frac{d^3k}{(2\pi)^{3/2}} [v_k(\tau)a_k e^{i\vec{k}\cdot\vec{x}} + v_k^*(\tau)a_k^{\dagger} e^{-i\vec{k}\cdot\vec{x}}]$$

$$[\hat{v}(\tau, \vec{x}), \ \hat{v}(\tau, \vec{x}')] = [\hat{\pi}(\tau, \vec{x}), \ \hat{\pi}(\tau, \vec{x}')] = 0,$$

$$[\hat{v}(\tau, \vec{x}), \ \hat{\pi}(\tau, \vec{x}')] = i\delta^{(3)}(\vec{x} - \vec{x}'),$$

$$[a_k, \ a_{k'}] = [a_k^{\dagger}, \ a_{k'}^{\dagger}] = 0, \quad [a_k, \ a_{k'}^{\dagger}] = \delta^{(3)}(\vec{k} - \vec{k}')$$
Bunch-Davies vaccuum $a_k |0\rangle = 0$

$$v_k^* \frac{dv_k}{d\tau} - v_k \frac{dv_k^*}{d\tau} = -i$$

Mukhanov-Sassaki Eq.

 $\mathbf{0}$

• Mode function
$$v_k'' + \left(k^2 - \frac{z''}{z}\right)v_k =$$

Asymptotic solution



EOM

$$v_k'' + \left(k^2 - \frac{z''}{z}\right)v_k = 0$$

Well inside the horizon

$$v_k(\tau) \to \frac{1}{2k} e^{-ik\tau}, \quad k \to \infty \qquad v_k'' + k^2 v_k \approx 0$$
$$v_k^* \frac{dv_k}{d\tau} - v_k \frac{dv_k^*}{d\tau} = -i$$

Superhorizon

$$v_k(\tau) \propto z, \quad k \to 0$$

$$v_k'' - \frac{z''}{z}v_k = 0$$

Comoving curvature perturbation $\mathscr{R} = \frac{v}{-}$ is a constant \mathcal{Z}

The parameters



Slow-roll expansion $z = \frac{a\phi'_0}{\mathscr{H}}$

$$\frac{z'}{z} = aH\left(1 - \frac{\dot{H}}{H^2} + \frac{\ddot{H}}{2H\dot{H}}\right) = aH(1 + \epsilon_H - \eta_H)$$

$$\frac{z''}{z} = 2a^2 H^2 \left(1 + \epsilon_H - \frac{3}{2}\eta_H + \epsilon_H^2 + \frac{1}{2}\eta_H^2 - 2\epsilon_H \eta_H + \frac{1}{2}\xi_H \right)$$

$$a''/a = 2a^2H^2 - a^2H^2\epsilon_H$$

$$\mathscr{H} = \frac{a'}{a} = \frac{da/d\tau}{a} = aH$$





First order
$$v_k'' + \left(k^2 - \frac{z''}{z}\right)v_k = 0$$

$$\frac{z''}{z} = 2a^2H^2\left(1 + \epsilon_H - \frac{3}{2}\eta_H + \epsilon_H^2 + \frac{1}{2}\eta_H^2 - 2\epsilon_H\eta_H + \frac{1}{2}\xi_H\right)$$

$$\frac{d}{d\tau}\left(\frac{1}{aH}\right) = -1 + \epsilon_H \qquad aH = -1/[(1 - \epsilon_H)\tau]$$

$$v_k'' + \left(k^2 - \frac{\nu^2 - 1/4}{\tau^2}\right)v_k = 0$$
 $\nu = 3/2 + 2\epsilon_H - \eta_H \approx \sharp t$

$$v_k(\tau) = \sqrt{-\tau} [c_1(k) H_{\nu}^{(1)}(-k\tau) + c_2(k) H_{\nu}^{(2)}(-k\tau)]$$

$$c_2(k) = 0$$
Boundary condition $v_k(\tau) = \frac{\sqrt{\pi}}{2} e^{i(\nu+1/2)\pi/2} \sqrt{-\tau} H_{\nu}^{(1)}(-k\tau)$





Super-horizon

$$H_{\nu}^{(1)}(x \ll 1) \sim \sqrt{\frac{2}{\pi}} e^{-i\pi/2} 2^{\nu-3/2} \frac{\Gamma(\nu)}{\Gamma(3/2)} x^{-\nu}$$

$$v_k(\tau) = e^{i(\nu - 1/2)\pi/2} 2^{\nu - 3/2} \frac{\Gamma(\nu)}{\Gamma(3/2)} \frac{1}{\sqrt{2k}} (-k\tau)^{1/2 - \nu} \propto z$$

 Co-moving curvature perturbation on superhorizon

$$\left|\mathscr{R}_{k}\right| = \left|\frac{v_{k}}{z}\right| = \left|\frac{H}{\dot{\phi}_{0}}\frac{v_{k}}{a}\right| = \frac{\Gamma(\nu)}{\Gamma(3/2)}\frac{H}{\dot{\phi}_{0}}\frac{H}{\sqrt{2k^{3}}}\left(\frac{k}{2aH}\right)^{3/2-\nu}, \quad k < aH.$$

$$aH = -1/[(1 - \epsilon_H)\tau]$$

Quantum fluctuation



Power spectrum

$$\hat{v}_k = v_k a_k + v_k^* a_k^\dagger$$

$$\begin{aligned} \langle \hat{v}_{k_1} \hat{v}_{k_2}^* \rangle &= v_{k_1} v_{k_2}^* \langle 0 | a_{k_1} a_{k_2}^\dagger | 0 \rangle \\ &= v_{k_1} v_{k_2}^* \langle 0 | [a_{k_1}, \ a_{k_2}^\dagger] | 0 \rangle \\ &= |v_{k_1}|^2 \delta^{(3)} (\vec{k}_1 - \vec{k}_2), \end{aligned}$$

$$\langle \mathscr{R}_{k_1} \mathscr{R}_{k_2}^* \rangle = \langle \hat{v}_{k_1} \hat{v}_{k_2}^* \rangle / z^2 = \left| \frac{v_{k_1}}{z} \right|^2 \delta^{(3)} (\vec{k}_1 - \vec{k}_2) \qquad |\mathscr{R}_k| = \left| \frac{v_k}{z} \right|$$
$$= (2\pi^2/k^3) \delta^3 (\vec{k}_1 - \vec{k}_2) \mathscr{P}_{\mathscr{R}} (k_1)$$

Parameterization

$$\mathscr{P}_{\mathscr{R}} = \frac{k^3}{2\pi^2} |\mathscr{R}_k|^2 = A_{\mathscr{R}}(k_*) \left(\frac{k}{k_*}\right)^{n_s - 1 + \frac{1}{2}n'_s \ln(k/k_*) + \cdots}$$

 $\begin{array}{ll} \mbox{Red tilt } n_s - 1 < 0 & \mbox{Pivotal scale} \\ \mbox{Blue tilt } n_s - 1 > 0 & \end{array}$



Primordial power spectrum

Power spectrum

$$|\mathscr{R}_{k}| = \left|\frac{v_{k}}{z}\right| = \left|\frac{H}{\dot{\phi}_{0}}\frac{v_{k}}{a}\right| = \frac{\Gamma(\nu)}{\Gamma(3/2)}\frac{H}{\dot{\phi}_{0}}\frac{H}{\sqrt{2k^{3}}}\left(\frac{k}{2aH}\right)^{3/2-\nu}, \quad k < aH.$$

$$\mathscr{P}_{\mathscr{R}} = \frac{k^{3}}{2\pi^{2}}|\mathscr{R}_{k}|^{2} = 2^{2\nu-3}\left(\frac{\Gamma(\nu)}{\Gamma(3/2)}\right)^{2}\left(\frac{H}{\dot{\phi}_{0}}\right)^{2}\left(\frac{H}{2\pi}\right)^{2}\left(\frac{k}{aH}\right)^{3-2\nu}\Big|_{k=aH}$$

$$\nu = 3/2 + 2\epsilon_H - \eta_H$$
 Horizon exit

$$\mathscr{P}_{\mathscr{R}} \approx \left[1 + 2(2 - \ln 2 - \gamma)(2\epsilon_H - \eta_H) - 2\epsilon_H\right] \left(\frac{H}{\dot{\phi}_0}\right)^2 \left(\frac{H}{2\pi}\right)^2$$

$$\mathscr{P}_{\mathscr{R}} = A_{\mathscr{R}}(k_*) \left(\frac{k}{k_*}\right)^{n_s - 1 + \frac{1}{2}n'_s \ln(k/k_*) + \cdots}$$

Spectral tilt



• The power spectrum

$$\mathscr{P}_{\mathscr{R}} = 2^{2\nu-3} \left(\frac{\Gamma(\nu)}{\Gamma(3/2)} \right)^2 \left(\frac{H}{\dot{\phi}_0} \right)^2 \left(\frac{H}{2\pi} \right)^2 \left(\frac{k}{aH} \right)^{3-2\nu} \Big|_{k=aH}.$$

$$\mathscr{P}_{\mathscr{R}} \approx [1+2(2-\ln 2-\gamma)(2\epsilon_H - \eta_H) - 2\epsilon_H] \left(\frac{H}{\dot{\phi}_0} \right)^2 \left(\frac{H}{2\pi} \right)^2$$
• Spectral index

$$\nu = 3/2 + 2\epsilon_H - \eta_H$$

$$n_s - 1 = \frac{d \ln \mathscr{P}_{\mathscr{R}}}{d \ln k} \Big|_{k=aH} = 2\eta_H - 4\epsilon_H \approx 2\eta - 6\epsilon$$

Note: all the values are evaluated at the horizon exit $n'_{s} = \frac{dn_{s}}{d\ln k}\Big|_{k=aH} = 10\epsilon_{H}\eta_{H} - 8\epsilon_{H}^{2} - 2\xi_{H}$ $d\ln k = (1 - \epsilon_{H})Hdt$ $\dot{\epsilon}_{H} = 2H\epsilon_{H}(\epsilon_{H} - \eta_{H}) \quad \dot{\eta}_{H} = H(\epsilon_{H}\eta_{H} - \xi_{H})$





Tensor perturbations



• GWs
$$ds^{2} = a^{2}[-d\tau^{2} + (\delta_{ij} + h_{ij})dx^{i}dx^{j}],$$

 $h_{ii} = 0, \ \partial_{i}h_{ij} = 0$
 $N = a, \ \gamma_{ij} = a^{2}(\delta_{ij} + h_{ij}), \ N_{i} = 0, \ E_{ij} = \gamma'_{ij}/2$
• To the second order
 $\sqrt{\gamma} = a^{3}\left(1 - \frac{1}{4}h_{ij}h_{ij}\right),$
 $E_{ij}E^{ij} - (\gamma^{ij}E_{ij})^{2} = \frac{1}{4}(h'_{ij})^{2} + \frac{a'}{a}(h_{ij}h_{ij})' - 6\left(\frac{a'}{a}\right)^{2},$

$$^{(3)}R = a^{-2} \left[-\frac{1}{4} (\partial_k h_{ij})^2 + \partial_k (h_{ij} \partial_k h_{ij}) + \frac{1}{2} \partial_j (h_{ik} \partial_i h_{kj}) - \partial_j (h_{ik} \partial_k h_{ij}) \right]$$

Quantum fluctuation of GWs



The action to the second order

$$\delta_2 S = \frac{1}{64\pi G} \int d\tau d^3 x [(h'_{ij})^2 - (\partial_l h_{ij})^2] a^2$$

$$\hat{h}_{ij}(x,\tau) = \int \frac{d^3k}{(2\pi)^{3/2}} \sum_{s=+,\times} [\epsilon^s_{ij}(k)h^s_k(\tau)a_k e^{i\vec{k}\cdot\vec{x}} + (\epsilon^s_{ij}(k)h^s_k(\tau))^* a^{\dagger}_k e^{-i\vec{k}\cdot\vec{x}}]$$

$$\epsilon_{ii} = k^i \epsilon_{ij} = 0 \qquad \epsilon^s_{ij} \epsilon^{s'}_{ij} = 2\delta_{ss'}$$

$$u_k^s(\tau) = \frac{a}{\sqrt{16\pi G}} h_k^s(\tau)$$
$$\delta_2 S = \sum_s \frac{1}{2} \int d\tau d^3 k \left[\left(\frac{du_k^s}{d\tau} \right)^2 - \left(k^2 - \frac{a''}{a} \right) (u_k^s)^2 \right]$$



Quantum fluctuation of GWs

Mode function

$$\frac{d^2 u_k^s}{d\tau^2} + \left(k^2 - \frac{a''}{a}\right) u_k^s = \frac{d^2 u_k^s}{d\tau^2} + \left(k^2 - \frac{\mu^2 - 1/4}{\tau^2}\right) u_k^s = 0$$
$$\mu = 3/2 + \epsilon_H \qquad a''/a = 2a^2 H^2 - a^2 H^2 \epsilon_H$$

Asymptotic condition

$$u_k^s(\tau) = \frac{\sqrt{\pi}}{2} e^{i(\mu+1/2)\pi/2} \sqrt{-\tau} H_{\mu}^{(1)}(-k\tau)$$

Perturbations on super-horizon

$$u_k^s(\tau) = e^{i(\mu - 1/2)\pi/2} 2^{\mu - 3/2} \frac{\Gamma(\mu)}{\Gamma(3/2)} \frac{1}{\sqrt{2k}} (-k\tau)^{1/2 - \mu}$$



The power spectrum

$$\mathcal{P}_{T} = \frac{k^{3}}{\pi^{2}} \sum_{s=+,\times} \left| \frac{2\sqrt{8\pi G} \, u_{k}^{s}}{a} \right|^{2} = A_{T}(k_{*}) \left(\frac{k}{k_{*}}\right)^{n_{T} + \frac{1}{2}n_{T}' \ln(k/k_{*}) + \cdots}$$
$$= (64\pi G) 2^{2\mu - 3} \left(\frac{\Gamma(\mu)}{\Gamma(3/2)}\right)^{2} \left(\frac{H}{2\pi}\right)^{2} \left(\frac{k}{aH}\right)^{3-2\mu}.$$

$$\mathscr{P}_T \approx 64\pi G [1 + (1 - \ln 2 - \gamma)\epsilon_H] \left(\frac{H}{2\pi}\right)^2 \quad \mu = 3/2 + \epsilon_H$$

$$\mathscr{P}_T = A_T(k_*) \left(\frac{k}{k_*}\right)^{n_t + \frac{1}{2}n'_t \ln(k/k_*) + \cdots}$$



The tensor spectral tilt

The spectral index of tensor mode

$$n_T = \frac{d\ln \mathscr{P}_T}{d\ln k} = 3 - 2\mu = -2\epsilon_H$$

The tensor to scalar ratio

$$\mathscr{P}_{\mathscr{R}} \approx \left[1 + 2(2 - \ln 2 - \gamma)(2\epsilon_H - \eta_H) - 2\epsilon_H\right] \left(\frac{H}{\dot{\phi}_0}\right)^2 \left(\frac{H}{2\pi}\right)^2$$

$$\mathscr{P}_T \approx 64\pi G [1 + (1 - \ln 2 - \gamma)\epsilon_H] \left(\frac{H}{2\pi}\right)^2$$

$$r = \frac{\mathscr{P}_T}{\mathscr{P}_{\mathscr{R}}} = 16\epsilon_H = 16\epsilon = -8n_T \qquad \epsilon_H = -\frac{\dot{H}}{H^2} = 4\pi G \left(\frac{\dot{\phi}_0}{H}\right)^2$$





Tensor mode
$$ds^2 = a^2(\tau)[-d\tau^2 + (\delta_{ij} + h_{ij}^T)dx^i dx^j]$$

$$h_{ij}^{T''} + 2\mathscr{H}h_{ij}^{T'} + k^2h_{ij}^T = 16\pi Ga^2 P\Pi_{ij}^T$$

$$GWS \qquad h_{ij} = h_k^+ \epsilon_{ij}^+ + h_k^\times \epsilon_{ij}^\times$$

$$\frac{\partial^2 h_{ij}}{\partial t^2} + 3H \frac{\partial h_{ij}}{\partial t} + \left(\frac{k}{a}\right)^2 h_{ij} = 0 \qquad \Pi_{ij}^T \approx 0$$

DD

Damped oscillations

$$h_{k}^{s} = j_{0}(k\tau) = \sqrt{\frac{\pi}{2}} \frac{J_{1/2}(k\tau)}{(k\tau)^{1/2}} \qquad j_{0}(x) = \sin(x)/x$$
MD
$$3i_{t}(k\tau) = \sqrt{\frac{\pi}{2}} J_{0,t}(k\tau) \qquad \sin x = \cos(x)$$

$$h_k^s = \frac{3j_1(k\tau)}{k\tau} = 3\sqrt{\frac{\pi}{2}} \frac{J_{3/2}(k\tau)}{(k\tau)^{3/2}} \qquad j_1(x) = \frac{\sin x}{x^2} - \frac{\cos x}{x}$$

Fitting formula





Gravitational waves



• GWs

$$\rho_{GW} = \frac{1}{32\pi G} \langle \nabla_t h_{ij}^{(1)} \nabla_t h_{(1)}^{ij} \rangle = \frac{1}{32\pi G} \langle (\dot{h}_{ij})^2 \rangle
= \frac{M_{pl}^2}{4a^2} \langle (h'_{ij})^2 \rangle \qquad \qquad M_{pl}^2 = \frac{1}{8\pi G}
= \frac{M_{pl}^2}{2} \int \frac{d^3k}{(2\pi)^3} \frac{k^2}{a^2} \sum_{s=+,\times} |h_k^s|^2 \qquad h_{ij}^{(1)} = a^2 h_{ij}
= \frac{M_{pl}^2}{4\pi^2} \int dk \frac{k^4}{a^2} \sum_{s=+,\times} |h_k^s|^2, \qquad h_{(1)}^{ij} = a^{-2} h_{ij}$$

The energy of GWs



The energy density

$$\frac{d\rho_{GW}}{d\ln k} = \frac{M_{pl}^2}{4\pi^2 a^2} k^5 \sum_{s=+,\times} |h_k^s|^2$$
$$= \frac{M_{pl}^2}{4} \left(\frac{k}{a}\right)^2 \mathscr{P}_T$$

$$\Omega_{GW} = \frac{1}{\rho_c} \frac{d\rho_{GW}}{d\ln k} = \frac{1}{3M_{pl}^2 H^2} \frac{M_{pl}^2}{4} \left(\frac{k}{a}\right)^2 \mathscr{P}_T$$
$$= \frac{1}{12} \left(\frac{k}{aH}\right)^2 \mathscr{P}_T$$

$$\mathscr{P}_T = A_T k^{n_T} |T(k)|^2 \left(\frac{3j_1(k\tau)}{k\tau}\right)^2$$




• Energy density
$$\mathscr{P}_T = A_T(k_*) \left(\frac{k}{k_*}\right)^{n_T} |T(k)|^2 \left(\frac{3j_1(k\tau)}{k\tau}\right)^2$$

 $\langle \cos^2(k\tau) \rangle = 1/2 \qquad \qquad = \frac{9}{2} A_T(k_*) \left(\frac{k}{k_*}\right)^{n_T} |T(k)|^2 \frac{1}{k^4 \tau^4}$

$$\Omega_{GW} = \frac{3}{8} A_T(k_*) \left(\frac{k}{k_*}\right)^{n_T} |T(k)|^2 \frac{1}{a^2 (H\tau)^2 (k\tau)^2}$$

• At present $\tau = \tau_0, \ a_0 = 1, \ H_0 \tau_0 = 2$

$$\Omega_{GW} = \frac{3}{32} A_T(k_*) |T(k/k_{eq})|^2 \left(\frac{k}{k_*}\right)^{n_T} (k\tau_0)^{-2}$$
$$= \frac{1}{16\pi^2} \frac{V_*}{M_{pl}^4} |T(k/k_{eq})|^2 \left(\frac{k}{k_*}\right)^{n_T} (k\tau_0)^{-2}$$

M.S. Turner, M. White, J.E. Lidsy, PRD 48 (93) 4613



The energy of primordial GWs

• spectrum
$$T(y) = \sqrt{1 + \frac{4}{3}y + \frac{5}{3}y^2}, \quad y = k/k_{eq}$$

Low frequency $y \ll 1$, $T(y) \approx 1$

 $\Omega_{GW} \propto k^{n_T - 2} \propto f^{n_T - 2}$

High frequency $y \gg 1$, $T(y) \propto y$

$$\Omega_{GW} \propto k^{n_T} \propto f^{n_T}$$
$$\Omega_{GW} = \frac{3}{32} A_T(k_*) |T(k/k_{eq})|^2 \left(\frac{k}{k_*}\right)^{n_T} (k\tau_0)^{-2}$$
$$= \frac{1}{16\pi^2} \frac{V_*}{M_{pl}^4} |T(k/k_{eq})|^2 \left(\frac{k}{k_*}\right)^{n_T} (k\tau_0)^{-2}$$

The spectrum





Size of universe 14161.5Mpc 2.2*10⁻¹⁸ Hz Equality 112.1Mpc 1.0*10⁻¹⁶ Hz

End of inflation~10¹⁵GeV 8.9*10⁷Hz

Power Spectrum





Detection of B-mode polarization



Planck 2015+ BICEP2



The parameterization



SR parameters
$$\epsilon_{H} = \frac{1}{4\pi G} \left(\frac{H'}{H}\right)^{2} = \frac{3\dot{\phi}^{2}}{\dot{\phi}^{2} + 2V} = -\frac{\dot{H}}{H^{2}} \approx \epsilon$$
$$\eta_{H} = \frac{1}{4\pi G} \frac{H''}{H} = -\frac{\ddot{\phi}}{H\dot{\phi}} = -\frac{\ddot{H}}{2H\dot{H}} \approx 3\bar{\eta} = \eta - \epsilon$$
$$\xi_{H} = \frac{1}{(4\pi G)^{2}} \frac{H'H'''}{H^{2}} = \frac{\ddot{\phi}}{H^{2}\dot{\phi}} - \left(\frac{\ddot{\phi}}{H\dot{\phi}}\right)^{2} = \frac{\ddot{H}}{2H^{2}\dot{H}} - 2\eta_{H}^{2}$$
$$n_{s} - 1 = \frac{d\ln\mathscr{P}_{\mathscr{R}}}{d\ln k}\Big|_{k=aH} = 3 - 2\nu = 2\eta_{H} - 4\epsilon_{H} \approx 2\eta - 6\epsilon$$
$$n'_{s} = \frac{dn_{s}}{d\ln k}\Big|_{k=aH} = 10\epsilon_{H}\eta_{H} - 8\epsilon_{H}^{2} - 2\xi_{H} \approx 16\epsilon\eta - 24\epsilon^{2} - 2\xi$$

 $n_T = \frac{d \ln \mathscr{P}_T}{d \ln k} = 3 - 2\mu = -2\epsilon_H \quad r = \frac{\mathscr{P}_T}{\mathscr{P}_{\mathscr{R}}} = 16\epsilon_H = 16\epsilon = -8n_T$



To second order
 Stewart & Lyth, PLB 302 (93) 171;
 Stewart & Gong, PLB 510 (01) 1;
 Lidsey etal, Rev. Mod. Phys. 69 (97) 373
 S. Habib etal, PRL 89 (02) 281301

1

$$n_{s} - 1 = 2\eta_{H} - 4\epsilon_{H} - 8(1+C)\epsilon_{H}^{2} + 2(3+5C)\epsilon_{H}\eta_{H} - 2C\xi_{H}$$

= $-6\epsilon + 2\eta - 2(12C + 5/3)\epsilon^{2} + 2\eta^{2}/3 + 2(8C - 1)\epsilon\eta$
+ $2(1/3 - C)\xi$ $C = -2 + \ln 2 + \gamma$

$$n'_{s} = 10\epsilon_{H}\eta_{H} - 8\epsilon_{H}^{2} - 2\xi_{H} \approx 16\epsilon\eta - 24\epsilon^{2} - 2\xi$$

$$n_{T} = -2\epsilon_{H}[1 + (3 + 2C)\epsilon_{H} - 2(1 + C)\eta_{H}]$$

$$= -2\epsilon[1 + (4C + 11/3)\epsilon - 2(C + 2/3)\eta]$$

$$r = 16\epsilon_{H}[1 + 2C(\epsilon_{H} - \eta_{H})] = 16\epsilon[1 + 2(C - 1/3)(2\epsilon - \eta)]$$

Planck2015 Results







- Given potential, calculate the slow-roll parameters
- Calculate ϕ_e by requiring $Max(\epsilon, \eta) \sim 1$

Calculate
$$\phi_*$$

 $N_* = \int_{\phi_e}^{\phi_*} \frac{1}{\sqrt{2\epsilon(\phi)}} d\phi = 50 - 60$

- Use the value of \$\phi_*\$ to calculate the slow-roll parameters at the horizon exit
- Use the slow-roll parameters at the horizon exit to calculate the observables



Exponential potential (exact solution)

$$V(\phi) = V_0 \exp\left(-\sqrt{\frac{2}{p}}\frac{\phi}{M_{pl}}\right)$$

$$a(t) = a_0 t^p \qquad \epsilon = 1/3p, \ \eta = 2/p$$

$$\phi = \sqrt{2p} M_{pl} \ln\left(\sqrt{\frac{V_0}{p(3p-1)}}\frac{t}{M_{pl}}\right)$$

$$n_s = 1 - 2/p \qquad r = 16/p = 8(1 - n_s)$$

de-Sitter universe

$$\epsilon_H = \eta_H = 0 \qquad \nu = 3/2$$

Scale invariant spectrum $n_s = 1$

The chaotic inflation



Power-law potential $V(\phi) = \frac{\lambda}{p} m_{pl}^4 \left(\frac{\phi}{m_{rel}}\right)^p$ $\epsilon = \frac{p^2}{16\pi} \left(\frac{m_{pl}}{\phi}\right)^2, \quad \eta = \frac{p(p-1)}{8\pi} \left(\frac{m_{pl}}{\phi}\right)^2.$ $m_{pl}^2 = \frac{1}{C} = 8\pi M_{pl}^2$ $\phi \gg m_{pl}, \ \epsilon \ll 1, \ \eta \ll 1$

The end of inflation $\phi_e \sim m_{pl}$

$$\frac{\phi_e}{m_{pl}} = \begin{cases} p/\sqrt{16\pi}, & 0$$

End of inflation



• chaotic potential
$$V(\phi) = \frac{1}{2}m^2\phi^2$$

 $\epsilon = 2\eta = 2\left(\frac{M_{pl}}{\phi}\right)^2$



Chaotic inflation



Number of e-foldings

$$\begin{split} N_* &= -\frac{8\pi}{pm_{pl}^2} \int_{\phi_*}^{\phi_e} \phi d\phi = \frac{4\pi}{p} \left[\frac{\phi_*^2}{m_{pl}^2} - \frac{\phi_e^2}{m_{pl}^2} \right] \approx \frac{4\pi}{p} \frac{\phi_*^2}{m_{pl}^2} - \tilde{n}, \\ 0 &$$

Higgs field







Higgs field





Higgs inflation



PLB 659 (08) 703 **Non-minimal coupling** $\xi \phi^2 R$ $S = \int d^4x \sqrt{-g} \left[\frac{M_{pl}^2 + \xi \phi^2}{2} R - \frac{1}{2} g^{\mu\nu} \nabla_\mu \phi \nabla_\nu \phi - V(\phi) \right]$ $V(\phi) = \frac{\lambda}{4}\phi^4$ **Conformal transformation** $\hat{g}_{\mu\nu} = \Omega^2 g_{\mu\nu}, \quad \Omega^2 = 1 + \frac{\xi \phi^2}{M_{pl}^2}, \qquad \text{Einstein frame}$ $d\psi^2 = \left[6M_{pl}^2 \left(\frac{d\ln\Omega(\phi)}{d\phi} \right)^2 + \frac{1}{\Omega^2(\phi)} \right] d\phi^2$ $S = \int d^4x \sqrt{-\hat{g}} \left[\frac{M_{pl}^2}{2} \hat{R} - \frac{1}{2} g^{\mu\nu} \nabla_\mu \psi \nabla_\nu \psi - U(\psi) \right] \quad \kappa = M_{pl}^{-1}$ $U(\psi) = \frac{\lambda}{4\kappa^4 \xi^2} \left[1 + \exp\left(-\frac{2\kappa\psi}{\sqrt{6}}\right) \right]^{-2} \quad \xi \gg 1, \ \sqrt{\xi}\kappa\phi = \exp(k\psi/\sqrt{6})$

Higgs inflation





FIG. 2. Second-order results for the spectral index n_s for the model of Sec. IV, based on Eqs. (10), (65), and (67), with $\alpha = 60$. This model only admits chaotic inflation initial conditions.

Higgs inflation







Coleman-Weinberg potential

$$V(\phi) = \frac{B\sigma^4}{2} + B\phi^4 [\ln(\phi^2/\sigma^2) - \frac{1}{2}]$$

$$\phi \ll \sigma$$

$$V(\phi) = \frac{B\sigma^4}{2} - \frac{\lambda\phi^4}{4}$$



Hilltop inflation



• Hill-top model
$$V(\phi) = V_0 \left[1 - \left(\frac{\phi}{\mu}\right)^p \right] \quad p > 2$$

$$\epsilon(\phi) = \frac{p^2 M_{pl}^2 (\phi/\mu)^{2p-2}}{2\mu^2 \left[1 - (\phi/\mu)^p\right]^2}, \quad \eta(\phi) = -\frac{p(p-1) M_{pl}^2 (\phi/\mu)^{p-2}}{\mu^2 \left[1 - (\phi/\mu)^p\right]}$$

End of inflation

$$\begin{aligned} \left|\frac{\epsilon}{\eta}\right| &= \frac{1}{2} \frac{p}{p-1} \frac{(\phi/\mu)^p}{1-(\phi/\mu)^p} < 1 \\ & \downarrow \\ \left(\frac{\phi}{\mu}\right)^p &< \frac{2p-2}{3p-2} \sim \frac{2}{3} \end{aligned} \qquad \phi_e \approx [p(p-1)]^{1/(2-p)} \mu^{p/(p-2)} \end{aligned}$$

Boubekeur & Lyth, JCAP 0507, 010



• The special case p = 2

$$N = \frac{\mu^2}{p} \left[f(\phi_e/\mu) - f(\phi_*/\mu) \right]$$

$$f(x) = \ln x - \frac{1}{2}x^2$$

 $n_s = 0.968 \pm 0.006$

Planck 2015 constraints

 $r_{0.002} < 0.10, \quad 95\%$

$$\mu \ge 9M_{pl}, \quad \frac{\phi_*}{\mu} \ge 0.138$$

This case is not small field inflation



• Number of e-foldings $p \neq 2$

$$N = \frac{\mu^2}{p} \left[f(\phi_e/\mu) - f(\phi_*/\mu) \right]$$
$$f(x) = \frac{x^{2-p}}{2-p} - \frac{1}{2}x^2$$

$$\frac{\phi_e}{\mu} \approx \left[\frac{\mu^2}{p(p-1)}\right]^{\frac{1}{p-2}}, \quad \frac{\phi_*}{\mu} \approx \left(\frac{\mu^2}{p[(p-2)N+p-1]}\right)^{\frac{1}{p-2}}$$

$$\frac{\epsilon(\phi_e)}{\eta(\phi_e)} < 1 \to \mu < \sqrt{\frac{p(p-1)(2p-2)}{3p-2}} \left(\frac{3p-2}{2p-2}\right)^{1/p}$$

Hilltop inflation



Scalar spectral tilt and tensor to scalar ratio

$$\begin{split} n_s &= 1 - \frac{2(p-1)}{(p-2)N + p - 1} \\ r &\approx \frac{8p^2}{\mu^2} \left[\frac{\mu^2}{p[(p-2)N + p - 1]} \right]^{(2p-2)/(p-2)} \\ \text{Kohri, Lin \& Lyth, JCAP 0712, 004} \end{split}$$

Large N limit

$$n_s \approx 1 - \frac{2(p-1)}{(p-2)N} + \frac{2(p-1)^2}{(p-2)^2N^2}, \quad r \approx \frac{8p^2}{\mu^2} \left[\frac{\mu^2}{p(p-2)N}\right]^{(2p-2)/(p-2)} \left[1 - \frac{2(p-1)^2}{(p-2)^2N}\right]^{(2p-2)/(p-2)} \left[1 - \frac{2(p-1)^2}{(p-2)^2N}\right]^{(2p-2)/(p-2)}$$

p=4 More exact solution

$$n_s = 1 - \frac{3}{N} + \frac{3\sqrt{36 + \mu^4}}{4N^2}, \quad r = \frac{\mu^4}{4N^3} - \frac{3\mu^4\sqrt{36 + \mu^4}}{16N^4}$$

Roest, 1309.1285, JCAP 1401, 007







Natural Inflation



$$\begin{split} V(\phi) &= \Lambda^4 \left[1 + \cos\left(\frac{\phi}{f}\right) \right] \\ \epsilon &= \frac{M_{pl}^2}{2f^2} \left[\frac{\sin(\phi/f)}{1 + \cos(\phi/f)} \right]^2 \qquad \eta = -\frac{M_{pl}^2}{f^2} \frac{\cos(\phi/f)}{1 + \cos(\phi/f)} \\ \xi &= -\frac{M_{pl}^4}{f^4} \left[\frac{\sin(\phi/f)}{1 + \cos(\phi/f)} \right]^2 = -\frac{2M_{pl}^2}{f^2} \epsilon \\ \frac{\phi_e}{f} &= \arccos\left[\frac{1 - 2(f/M_{pl})^2}{1 + 2(f/M_{pl})^2} \right] \qquad N_* = \frac{2f^2}{M_{pl}^2} \ln\left[\frac{\sin(\phi_e/2f)}{\sin(\phi_*/2f)} \right] \end{split}$$

 $n_s\approx 1-M_{pl}^2/f^2,~f<1.5M_{pl} \qquad \mbox{Gao and Gong, PLB 734 (14) 41}$ $n_s\approx 1-2/N,~r\approx 8/N,~f>1.5M_{pl}$

Natural inflation



CMB constraints





 $r_{0.002} < 0.11$ 95% confidence levels

Current Constraints



Planck 2015 + BICEP2



PRL114 (15) 101301

R+R² inflation



General f(R) theory

$$S = \int d^4x \frac{1}{2} \sqrt{-\tilde{g}} f(\tilde{R}) = \frac{1}{2} \int d^4x \sqrt{-\tilde{g}} [h'(\phi)\tilde{R} - \phi h'(\phi) + h(\phi)],$$
$$h'(\phi) = dh(\phi)/d\phi, \ h(\phi) = f(\phi)$$

• Conformal transformation

$$g_{\mu\nu} = \Omega(\phi)\tilde{g}_{\mu\nu}, \quad \Omega(\phi) = h'(\phi)$$

 $d\psi^2 = \left[\frac{3}{2}\frac{(d\Omega/d\phi)^2}{\Omega^2(\phi)}\right]d\phi^2, \quad \Omega(\phi) = h'(\phi) = \exp\left(\sqrt{2/3}\,\psi\right)$
 $S = \int d^4x\sqrt{-g}\left[\frac{1}{2}R(g) - \frac{1}{2}g^{\mu\nu}\nabla_{\mu}\psi\nabla_{\nu}\psi - U(\psi)\right],$

R+R² inflation



Einstein frame

$$S = \int d^4x \sqrt{-g} \left[\frac{1}{2} R(g) - \frac{1}{2} g^{\mu\nu} \nabla_\mu \psi \nabla_\nu \psi - U(\psi) \right],$$
$$U(\psi) = \frac{1}{2} \exp\left(-2\sqrt{\frac{2}{3}}\psi\right) \left(\phi(\psi)h'[\phi(\psi)] - h[\phi(\psi)]\right)$$
$$f(R) = R + \alpha R^2$$
$$U(\psi) = \frac{1}{8\alpha} \left[1 - e^{-\sqrt{\frac{2}{3}}\psi}\right]^2$$
$$n_s = 1 - \frac{2}{N_*}, \quad r = \frac{12}{N_*^2}$$

Inflationary models



Chaotic Inflation (Power-law potential)

$$\epsilon(\phi_*) = \frac{p}{4(N_* + \tilde{n})}, \quad \eta(\phi_*) = \frac{p - 1}{2(N_* + \tilde{n})}$$
$$n_s = 1 - \frac{p + 2}{2(N_* + \tilde{n})}, \quad r = \frac{4p}{N_* + \tilde{n}}$$

Hilltop Inflation

$$V(\phi) = V_0 \left[1 - \left(\frac{\phi}{\mu}\right)^p \right]$$

Boubekeur & Lyth, JCAP 0507, 010

$$n_s = 1 - \frac{2(p-1)}{(p-2)N_*}, \qquad r \approx \frac{8p^2}{\mu^2} \left[\frac{\mu^2}{p(p-2)N}\right]^{(2p-2)/(p-2)}$$

Universal Attractors



Natural Inflation
$$V(\phi) = \Lambda^4 \left[1 + \cos\left(\frac{\phi}{f}\right) \right]$$
 $n_s \approx 1 - M_{pl}^2/f^2, \ f < 1.5M_{pl}$
 $n_s \approx 1 - \frac{2}{N_*}, \ r \approx \frac{8}{N_*}, \ f > 1.5M_{pl}$
R² Inflation (Starobinsky model)

R² Inflation (Starobinsky model $R + R^2$

$$n_s = 1 - \frac{2}{N_*}, \quad r = \frac{12}{N_*^2}$$



Universal Attractors

non-minimal coupling $\xi \phi^2 R$ with strong coupling $\xi \gg 1$ $n_s = 1 - \frac{2}{N}, \quad r = \frac{12}{N^2}$ $V(\phi) = \frac{\lambda}{4}\phi^4$

Kaiser, PRD 52 (95) 4295 Bezrukov and Shaposhnikov, PLB 659 (08) 703

non-minimal coupling with strong coupling

$$\Omega(\phi)R, \ \Omega(\phi) = 1 + \xi f(\phi), \ V_J(\phi) = \lambda^2 f^2(\phi)$$

$$n_s = 1 - \frac{2}{N}, \quad r = \frac{12}{N^2} \qquad \xi \gg 1$$

Kallosh, Linde and Roest, PRL 112 (14) 011303

α Attractors



The model

-

Galante, Kallosh, Linde, Roest, PRL 114 (15) 141302

$$-\frac{1}{2}K_E(\rho)(\partial\rho)^2 - V_E(\rho)$$

$$K_E = \frac{a_p}{\rho^p} + \dots \qquad V_E = V_0 (1 + c\rho + \dots)$$
$$n_s = 1 - \frac{p}{p-1} \frac{1}{N}, \quad r \sim \frac{1}{N^{p/(p-1)}}$$

Potential Reconstruction



• Relations
$$n_s$$
, r , N
 $n_s - 1 = -2\epsilon + \frac{d \ln \epsilon}{dN}$
 $r = 16\epsilon$
 $\epsilon = \frac{M_{pl}^2}{2} \left(\frac{V'}{V}\right)^2 = \frac{1}{2} \frac{V'}{V} \frac{d\phi}{dN} = \frac{1}{2} \frac{d \ln V}{dN} > 0$
 $n_s - 1 \approx -(\ln V)_{,N} + \left(\ln \frac{V_{,N}}{V}\right)_{,N} = \left(\ln \frac{V_{,N}}{V^2}\right)_{,N}$
 $\phi - \phi_e = \pm \int_0^N \sqrt{2\epsilon(N)} dN$
 $\epsilon(N), \quad n_s(N), \quad \phi(N) \longrightarrow V(\phi)$

Model Independent Reconstruction



The parametrization of the spectral tilt

$$n_s - 1 \approx -\frac{p}{N+\alpha}$$

 $\hat{}$

Creminelli etal. arXiv: 1412.0678 Chiba 1504.07692

$$\epsilon(N) = \frac{p-1}{2(N+\alpha) + C(N+\alpha)^p}$$

n > 1 C > 0

Mukahnov EPJC 73 (13) 2486

$$V(N) = \frac{p-1}{A} \left[\frac{1}{(N+\alpha)^{p-1}} + \frac{C}{2} \right]^{-1}$$

• Case 1
$$C = 0$$
, $V(\phi) = V_0(\phi - \phi_0)^{2(p-1)}$


• Case 2
$$p = 2$$

 $n_s - 1 \approx -\frac{2}{N+\alpha}, \quad r = \frac{16}{C(N+\alpha)^2}$
 $V(\phi) = V_0 \tanh^2 [\gamma(\phi - \phi_0)]$ T-model
 $C > 1, \ \alpha \ll 1, \quad V(\phi) \approx V_0 \left\{ 1 - 4 \exp \left[-\sqrt{C/2} \left(\phi - \phi_0 \right) \right] \right\}$

 α attractors and Starobinsky model



• General case
$$n_s - 1 \approx -p/(N + \alpha), C > 1$$

$$V(\phi) = \frac{2(p-1)\alpha^p}{A(p-1-2\alpha)} \left\{ 1 \mp \left(\frac{2\alpha^p}{p-1-2\alpha}\right)^{1/(2-p)} \left[\frac{2-p}{2\sqrt{p-1}}(\phi-\phi_0)\right]^{-2(p-1)/(2-p)} \right\}^{-1}$$





- At t=10⁻³² s, the Universe is about 10⁻²⁴cm
- In about 10⁻³³s, the Universe expanded exponentially by a factor of 10^{26} N ~ 60
- The quantum fluctuation of the inflaton seeds the formation of the large scale structure, and leaves imprints as small anisotropy in CMB (COBE in 1991)
- The power spectrum of the density perturbation is almost Gaussian, adiabatic, and scale invariant

Inflation



- Ripples: The explosive expansion of space during inflation would have created ripples in the fabric of space.
- GW: these gravity waves should have left a signature in the polarization of the last-scattered photons (CMB).







Inflationary models

The power spectrum is parameterized $\mathscr{P}_{\mathscr{R}} = \frac{k^3}{2\pi^2} |\mathscr{R}_k|^2 = A_{\mathscr{R}}(k_*) \left(\frac{k}{k_*}\right)^{n_s - 1 + \frac{1}{2}n'_s \ln(k/k_*) + \cdots}$ order of 10⁻⁹ $\mathscr{P}_T = A_T(k_*) \left(\frac{k}{k_*}\right)^{n_t + \frac{1}{2}n'_t \ln(k/k_*) + \cdots} \approx 64\pi G \left(\frac{H}{2\pi}\right)^2$ dln $\mathscr{P}_{\mathscr{R}}$

$$n_s - 1 = \frac{d \ln \mathscr{P}_{\mathscr{R}}}{d \ln k} \Big|_{k=aH} = 3 - 2\nu = 2\eta_H - 4\epsilon_H \approx 2\eta - 6\epsilon$$

$$r = \frac{A_T}{A_{\mathscr{R}}} = 16\epsilon_H = 16\epsilon = -8n_T \quad A_T = rA_{\mathscr{R}} \sim H^2 \sim V(\phi)$$

Energy scale of inflation: measurement of r



- Too many models: which model of inflation is correct?
 - chaotic inflation, Higgs inflation, natural inflation Hilltop, Spontaneously broken SUSY, Hybrid DBI, D-brane, racetrack, R^2 inflation, α attractors,
- Why did inflation happen? what is the initial condition?
- What about other parts of inflating universe? We only see a small part, what is the rest?

Conclusion



- Inflation is successful
- Too many inflationary models
- More accurate measurements of CMB needed
- The detection of B-mode polarization is essential for confirming inflation



Thank You