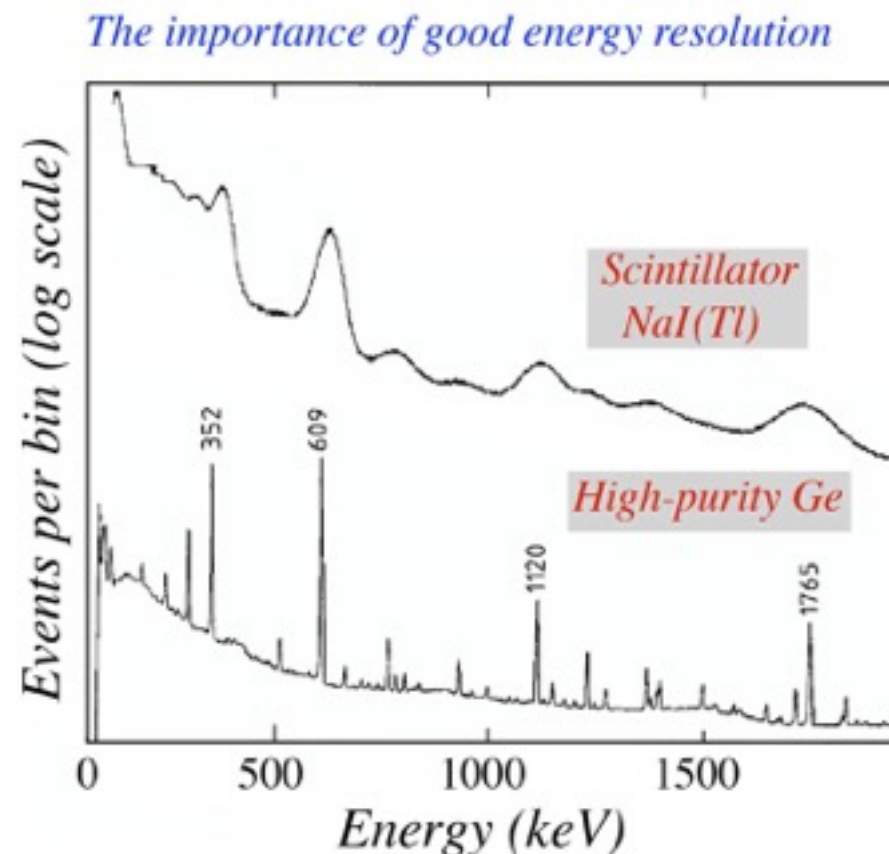


# High-precision and high-quality hadronic calorimetry for the next collider

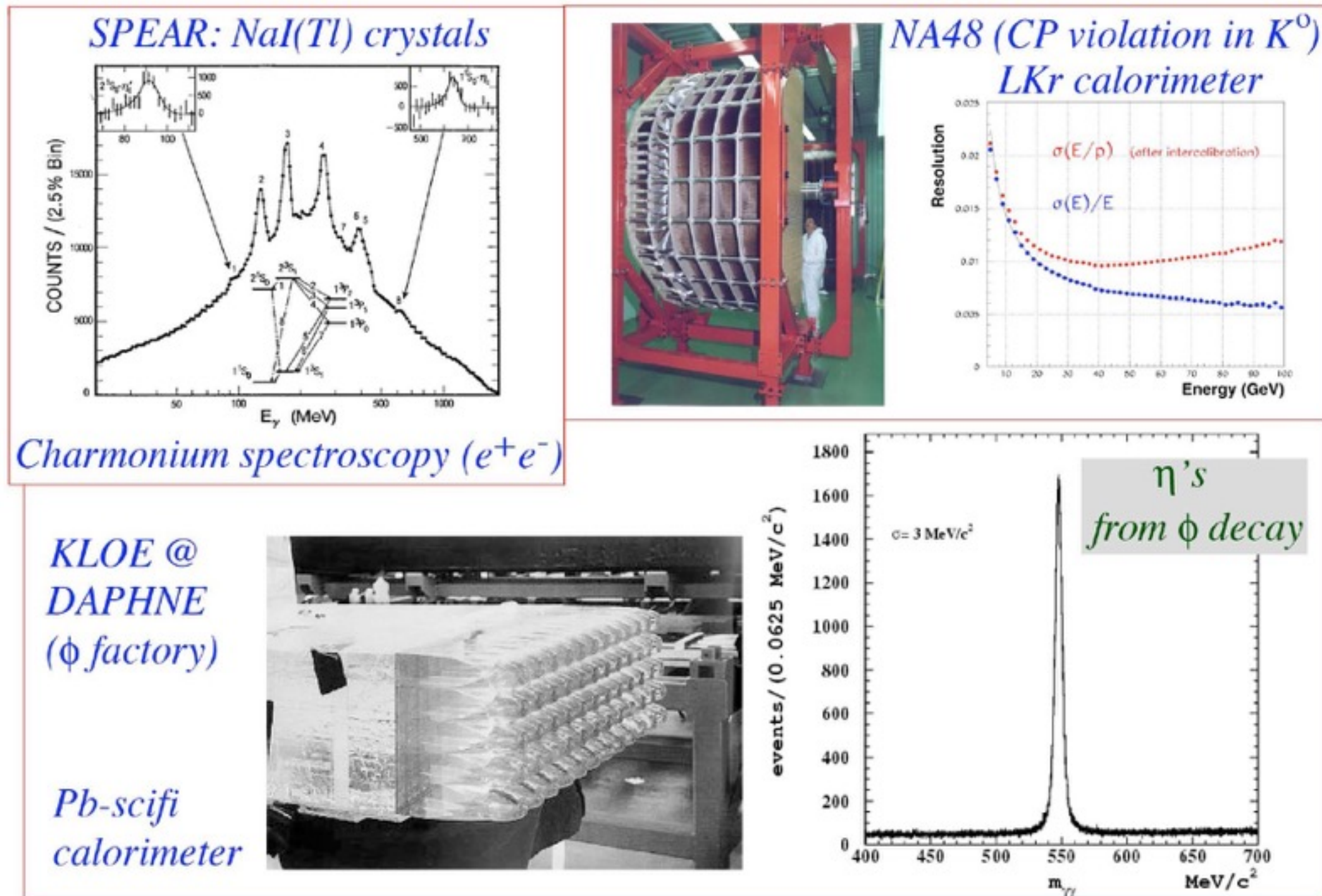
The problem of direct four-vector reconstruction of hadronic W and Z decays, along with many other physics measurements, is not yet solved. I will discuss the history, the difficulties, and the prospects for energy measurements, and the problems in designing a calorimeter for a large detector.

John Hauptman  
IHEP, Beijing, 23 May 2016



# History (but don't forget the mistakes, e.g., Magnetic Detector EM shower counter)

## *Electromagnetic shower detection in Particle Physics*



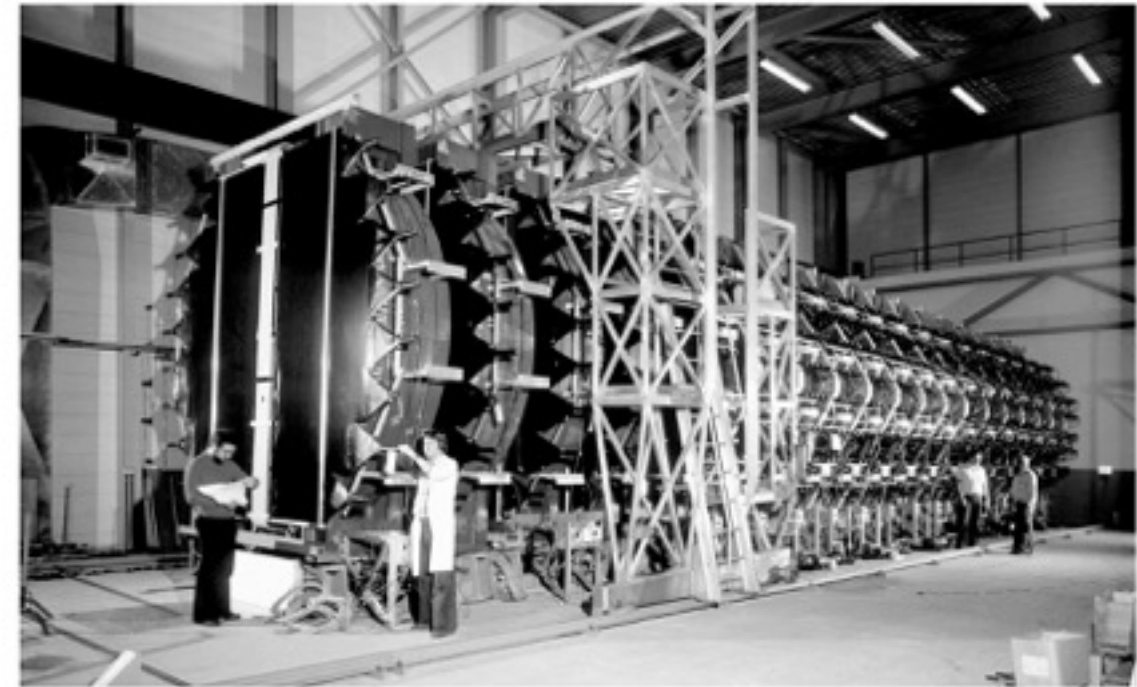


# History

- \* target, detector & tracker
- \* sophisticated
- \* powerful particle ID

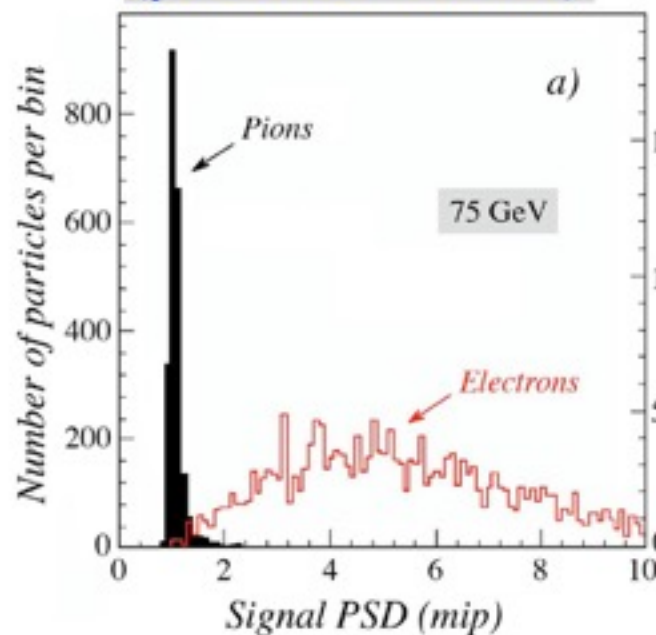
The WA1 neutrino experiment (1976)

(integrated target, calorimeter, tracker)

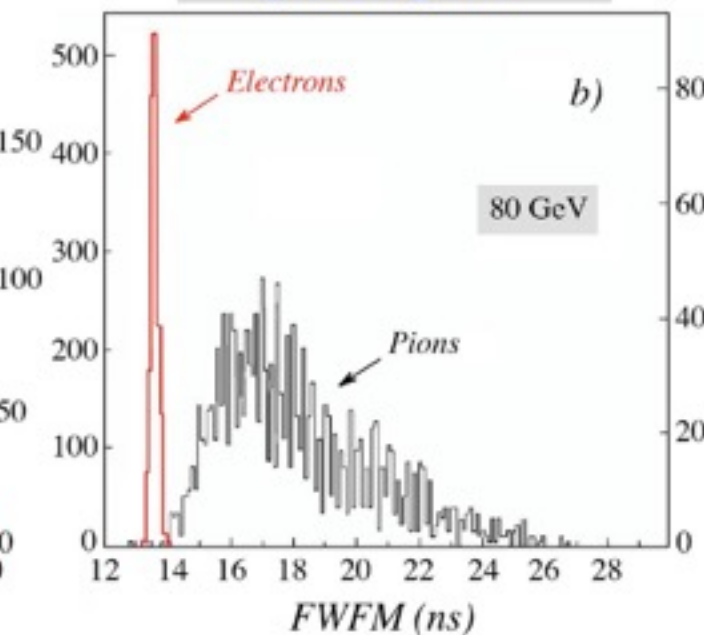


Particle identification with calorimeters

Using shower profile  
(pre-shower detector)



Using time structure  
of the signals

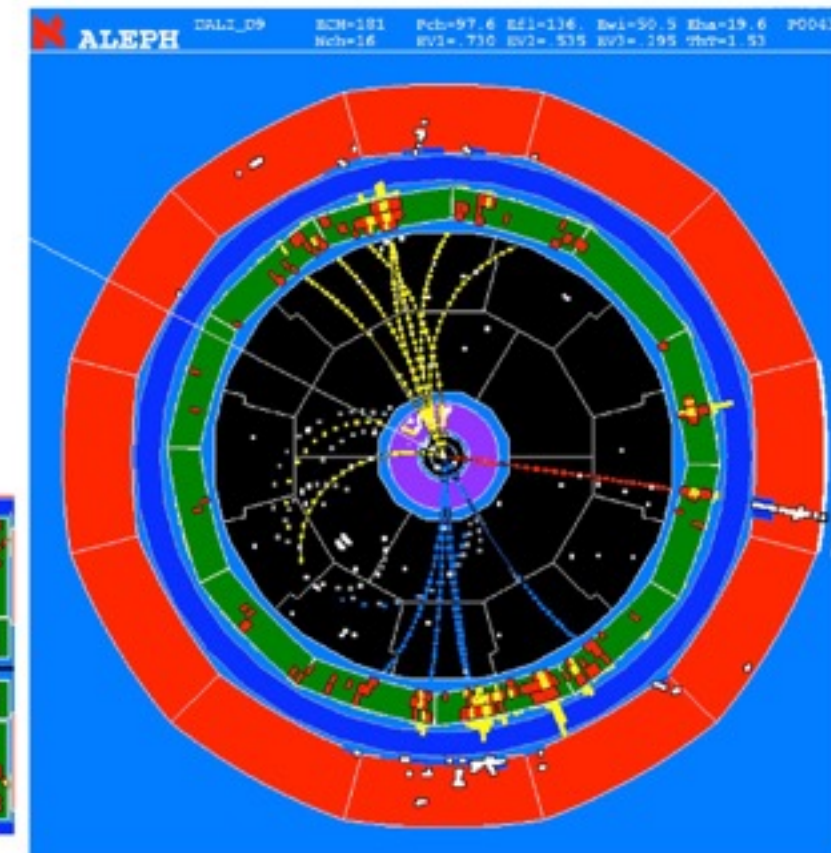
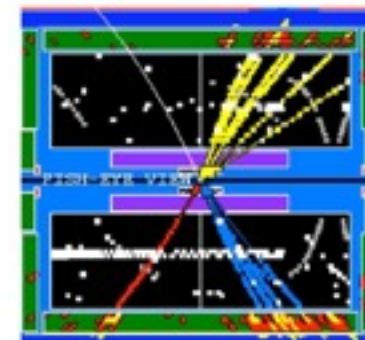


Example of energy flow information

$e^+e^- \rightarrow W^+W^-$   
( $\sqrt{s} = 181 \text{ GeV}$ )

$WW \rightarrow qq\mu\nu_\mu$

In final state:  
2 hadronic jets  
1 energetic muon  
missing  $E_T(\nu_\mu)$





# The physics of hadronic shower development

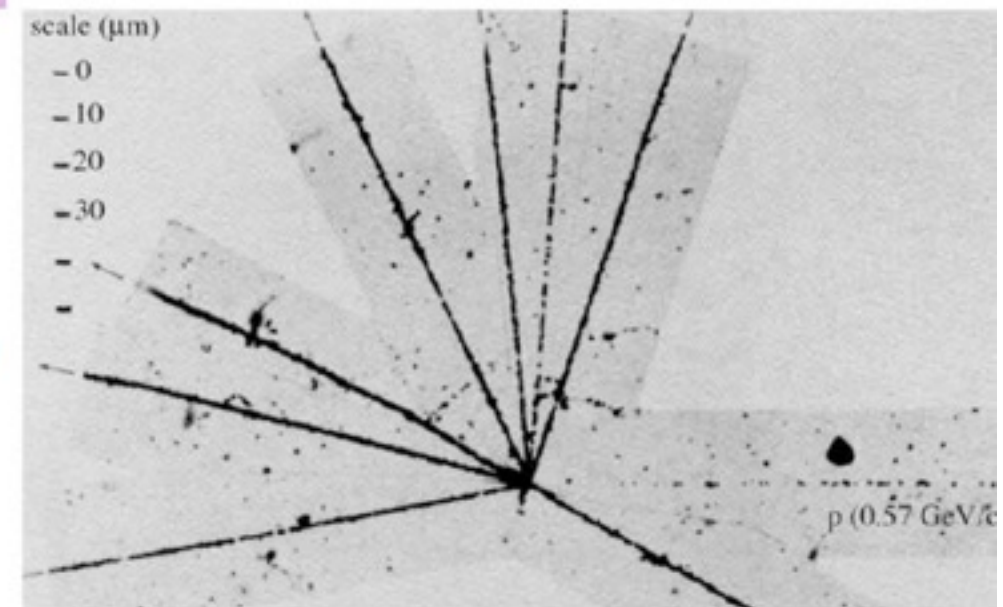
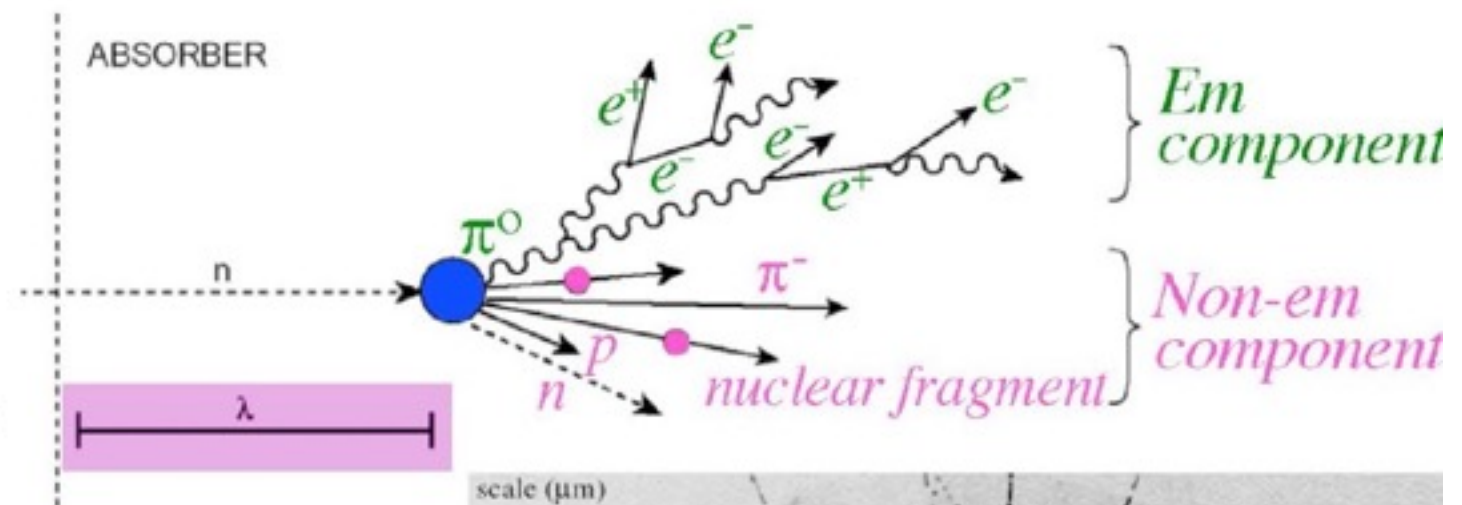
- A hadronic shower consists of two components

- Electromagnetic component**

- electrons, photons
  - neutral pions  $\rightarrow 2 \gamma$

- Hadronic (non-em) component**

- charged hadrons  $\pi^\pm, K^\pm$  (20%)
  - nuclear fragments, p (25%)
  - neutrons, soft  $\gamma$ 's (15%)
  - break-up of nuclei ("invisible") (40%)

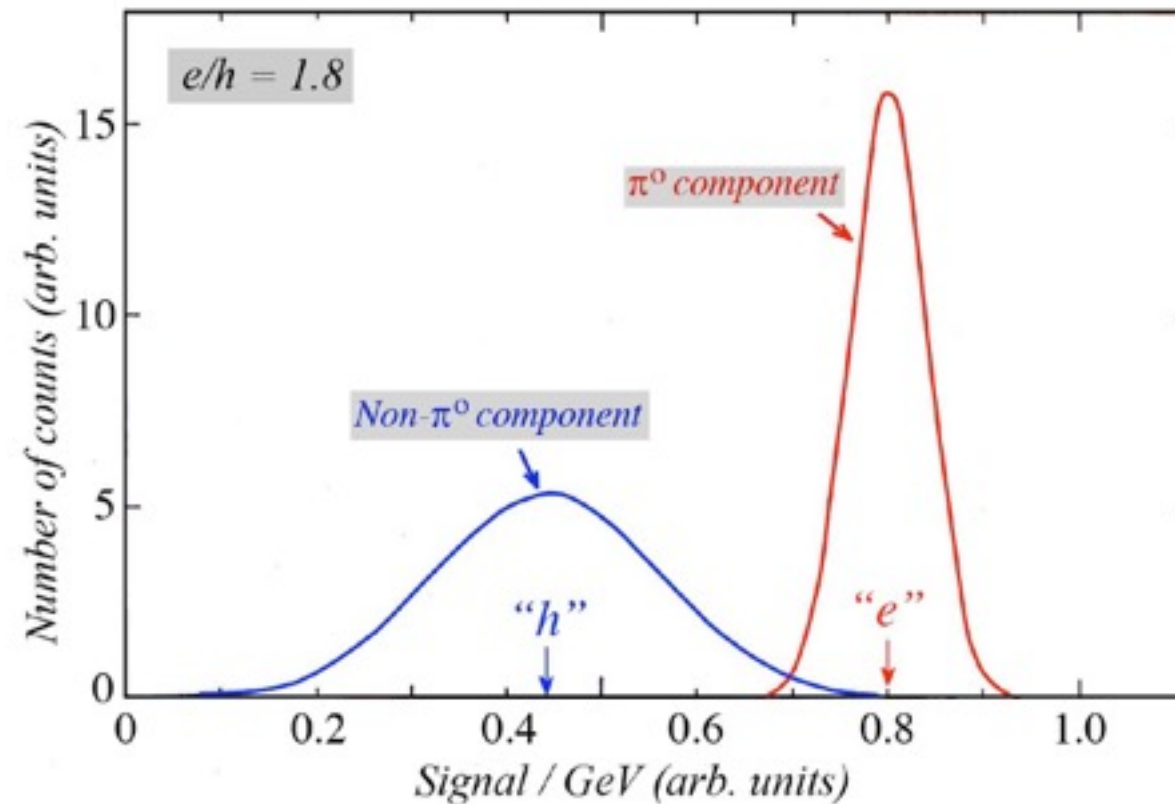


- Important characteristics for hadron calorimetry:

- Large, non-Gaussian fluctuations in energy sharing em/non-em
  - Large, non-Gaussian fluctuations in "invisible" energy losses

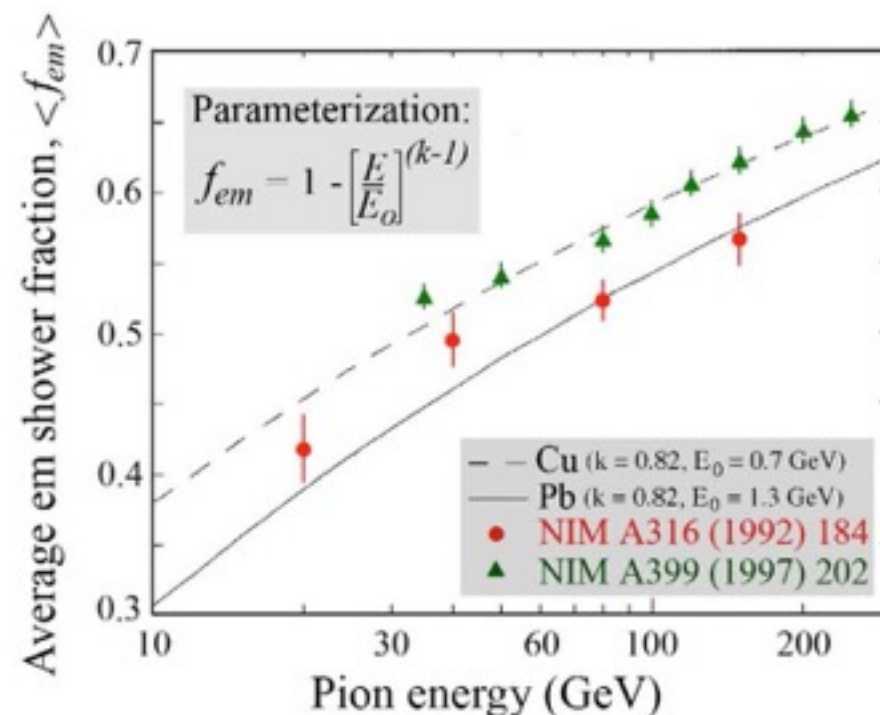
*The calorimeter response to the two shower components  
is NOT the same*

*(mainly because of nuclear breakup energy losses in non- $\pi^0$  component)*

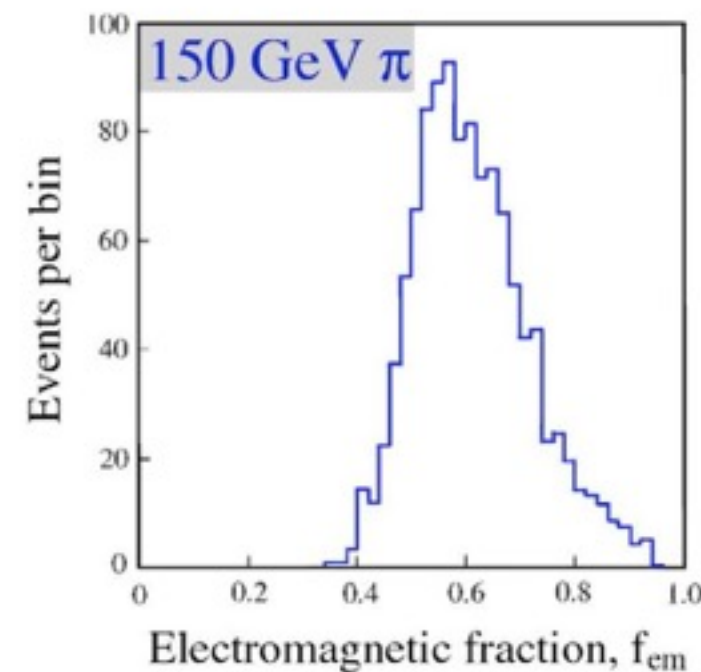


In addition to shower “aging”, these three effects are the main reasons for all problems in hadronic energy measurement:

- \* Poor energy resolution
- \* Non-Gaussian response
- \* Non-linear energy scale
- \* Different for  $e$  and  $h$
- \* Calibration problems in depth



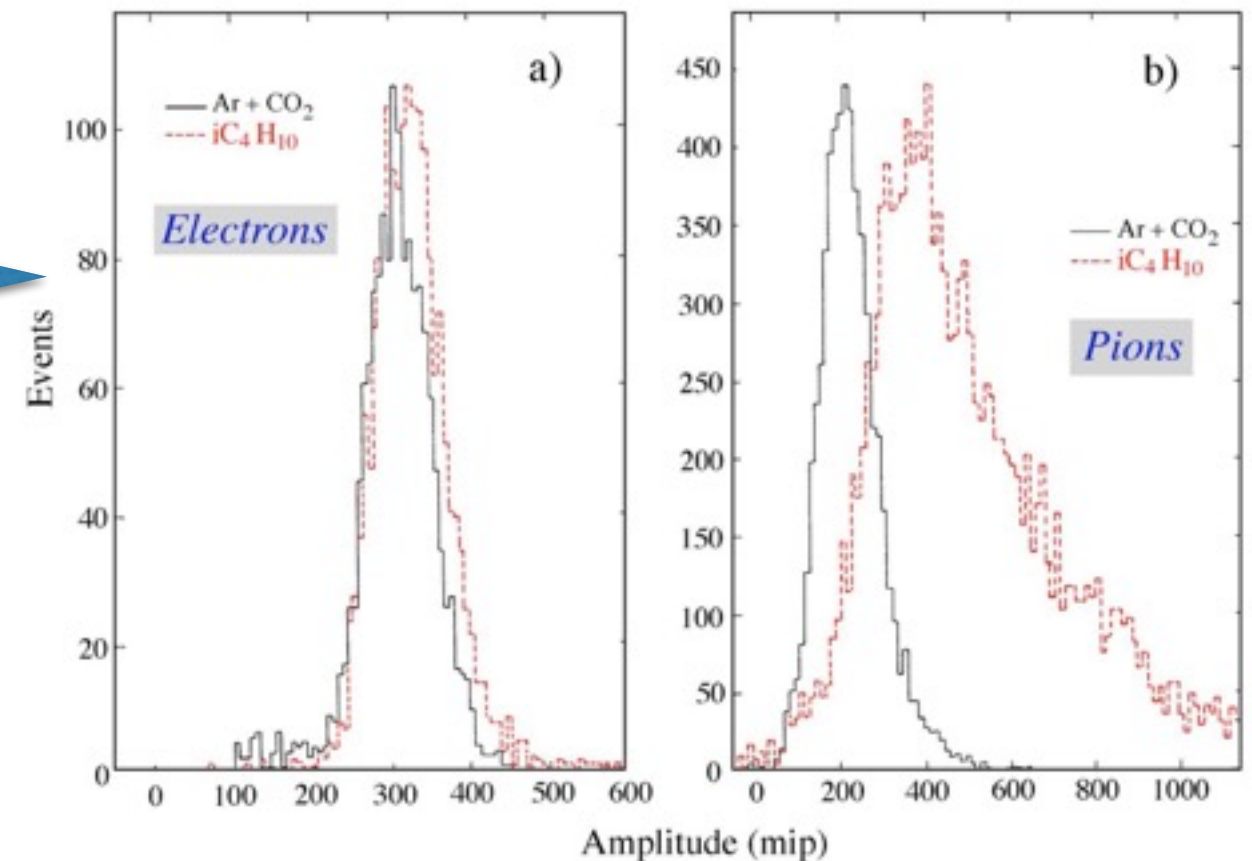
*The em fraction is, on average,  
large and energy dependent*



*Fluctuations in  $f_{em}$  are  
large and non-Poissonian*

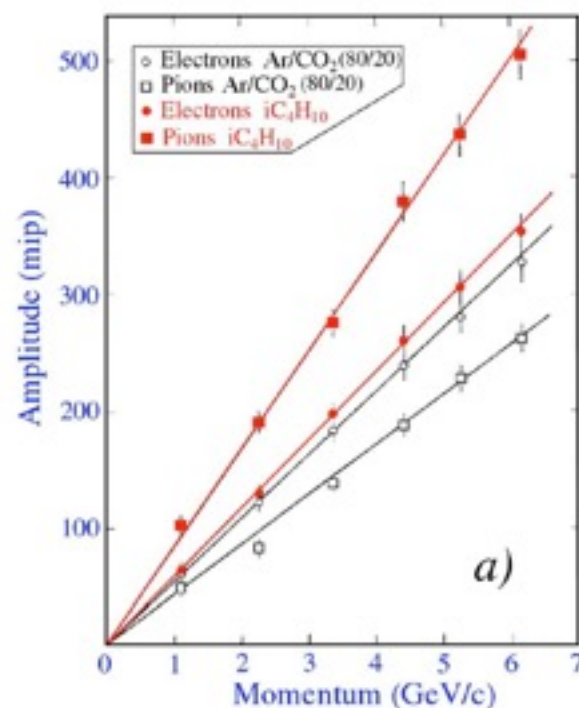


The L3 response to pions depends on the gas! Not the absorber U, Pb, Fe, ...

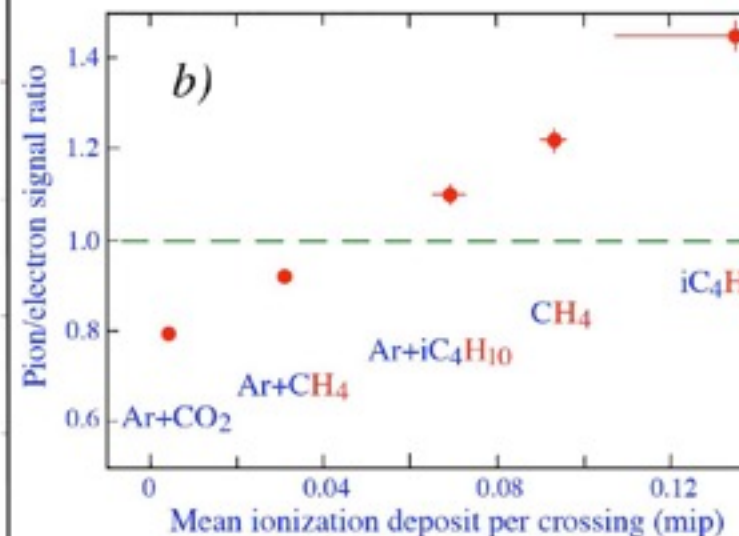


The compensation puzzle solved!

The  $e/h$  value is not determined by the absorber, but by active medium



and in particular by its H-content!

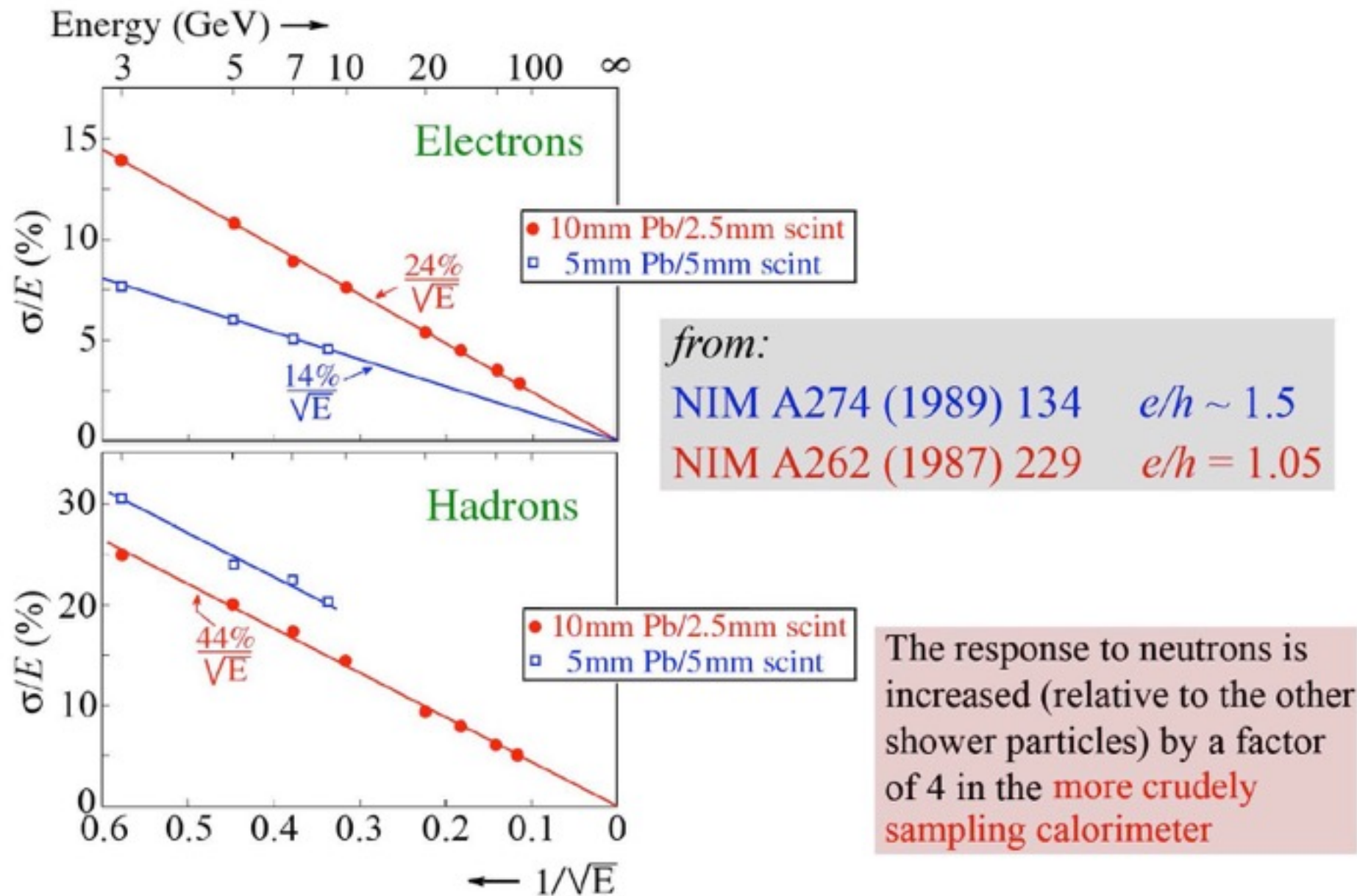


... and it scales with H content.  
This is the clue to “compensation.”  
The neutrons liberated (energy cost is 8 MeV/neutron) from broken-up nuclei scatter elastically from the protons in the gas.

For Pb-scintillator calorimeter, the compensating ratio is 4-to-1 Pb-to-scintillator:  $e/h = 1$

Proof is the ZEUS hadron calorimeter testing.

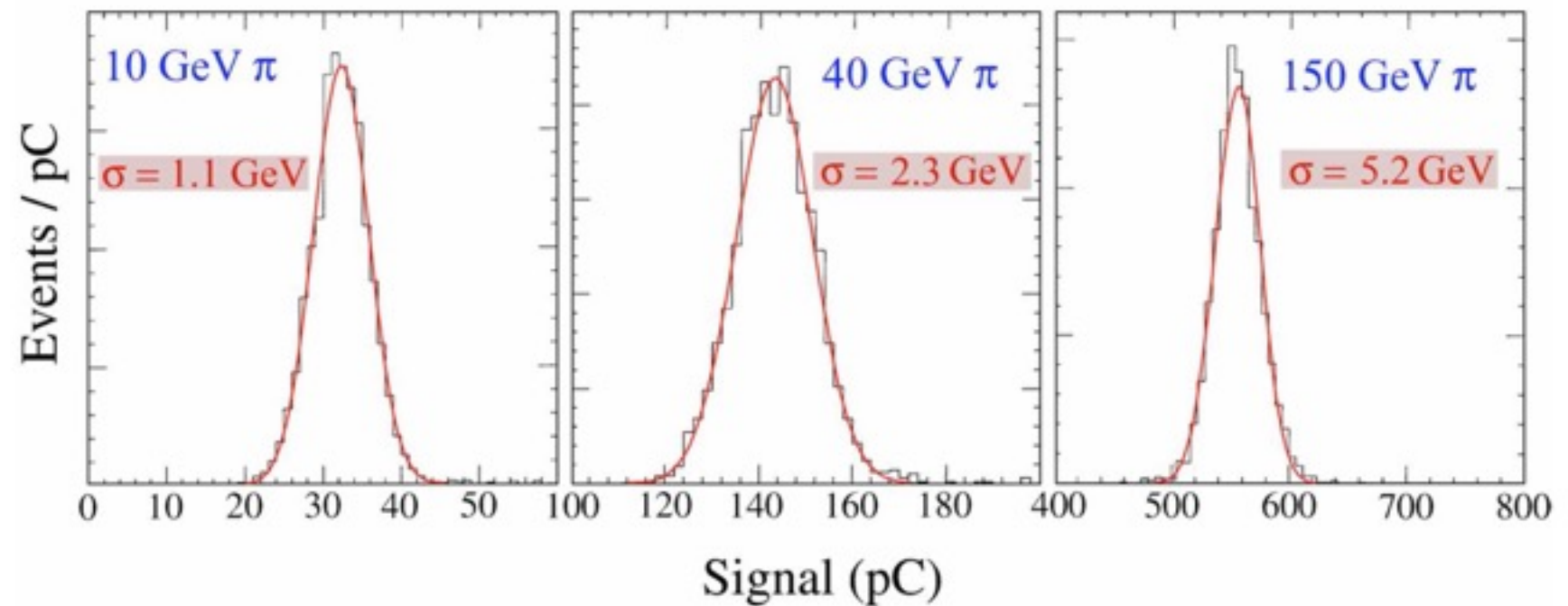
### *Calorimetric effects of efficient neutron sampling*



*Neutrons are special:* they efficiently increase the hadronic response through np elastic scattering, and their kinetic energy is strongly correlated with the lost nuclear binding energy.

## Hadronic signal distributions in a compensating calorimeter

World's second  
compensating  
calorimeter:  
SPACAL, CERN  
(nearly 30 years ago)



from: NIM A308 (1991) 481

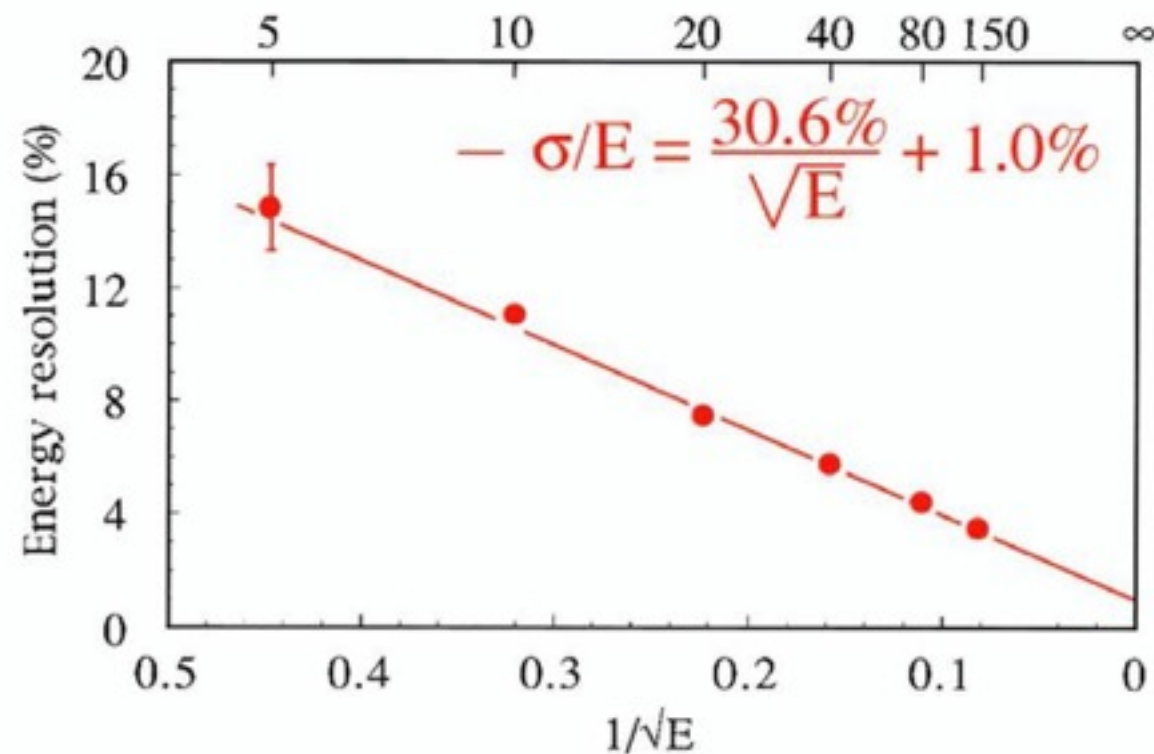
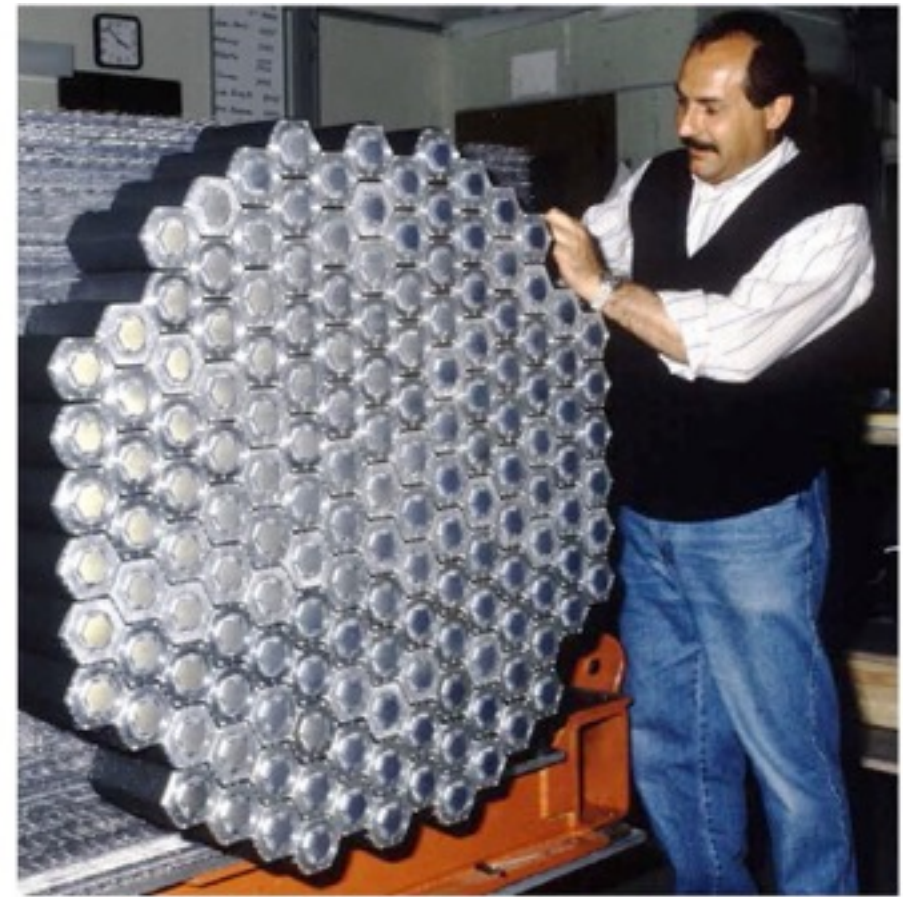
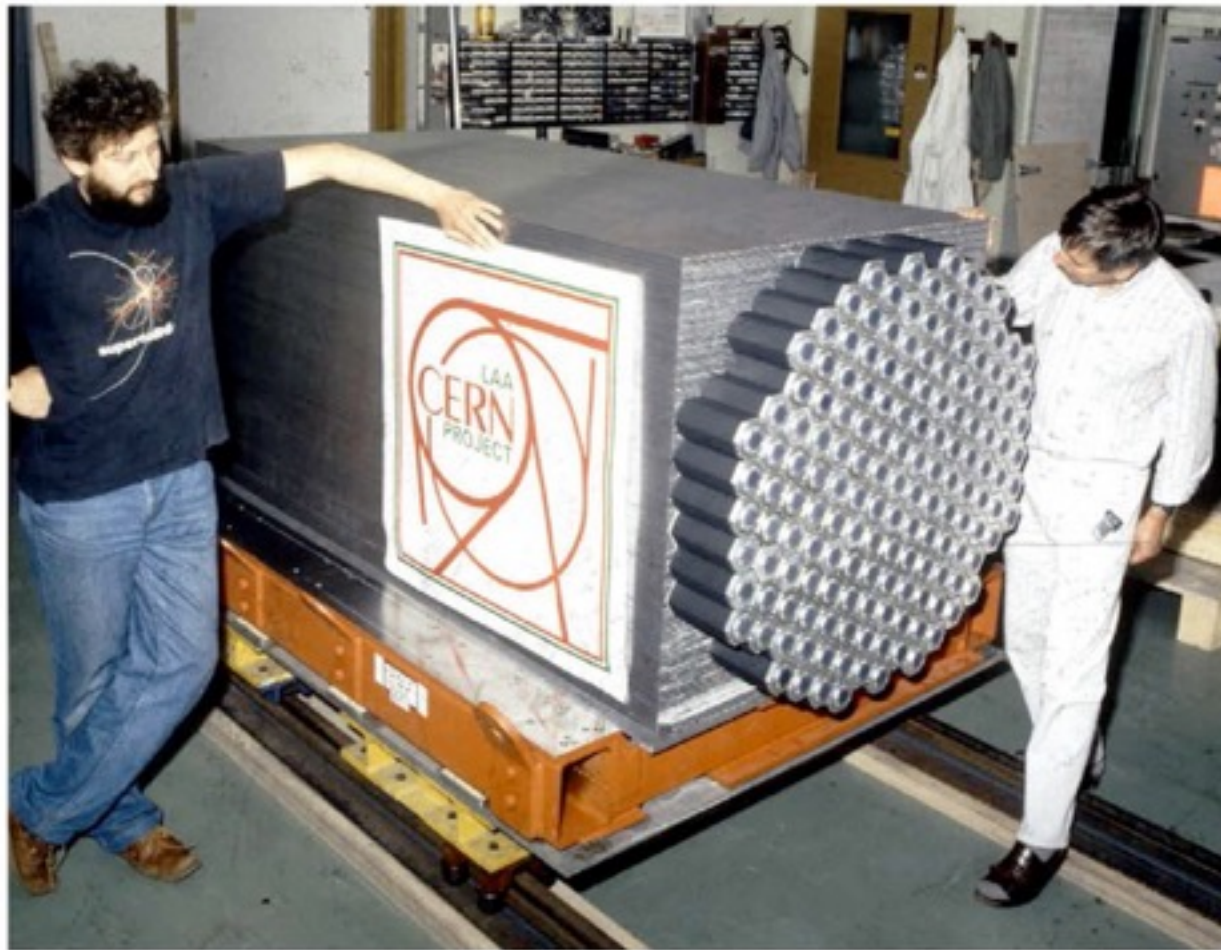


Figure 10: The hadronic energy resolution as a function of energy, for the compensating SPACAL *lead/plastic-scintillator calorimeter* (sampling fraction 2%)

NIM A308 (1991) 481



## *SPACAL 1989*



- \* 20 tons of Pb and scintillating fiber
- \* needs long integration time to collect neutrons
- \* Pb:scintillator requirement of 4:1 forces small sampling fraction  $\sim 2\%$
- \* used in H1 at HERA, but not in any future collider experiment
- \* simple construction: gather fibers onto PMTs

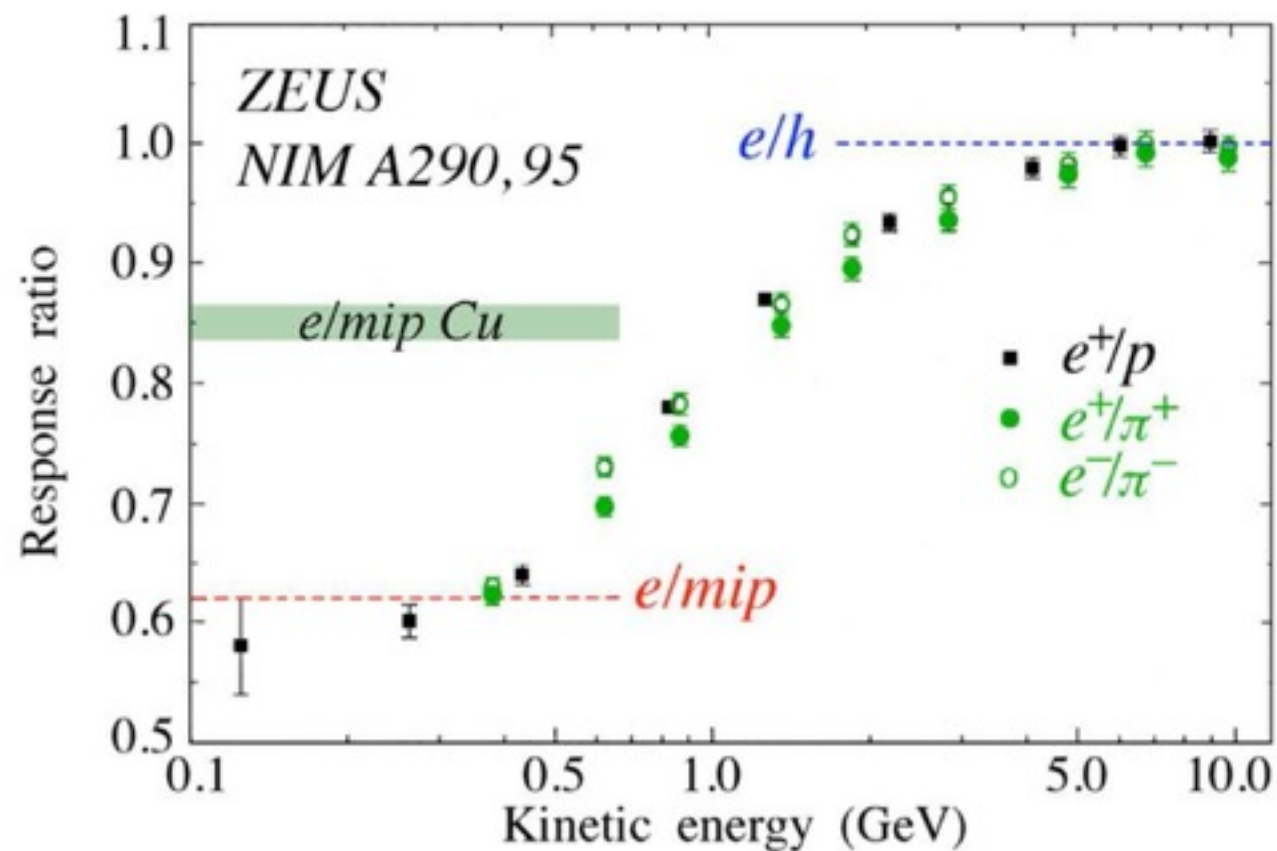
# How do you improve on SPACAL?

1. improve sampling fluctuations which limit the EM energy resolution:

$$\text{ZEUS} \quad \sigma/E \approx 18\%/\sqrt{E}$$

$$\text{SPACAL} \quad \sigma/E \approx 13\%/\sqrt{E}$$

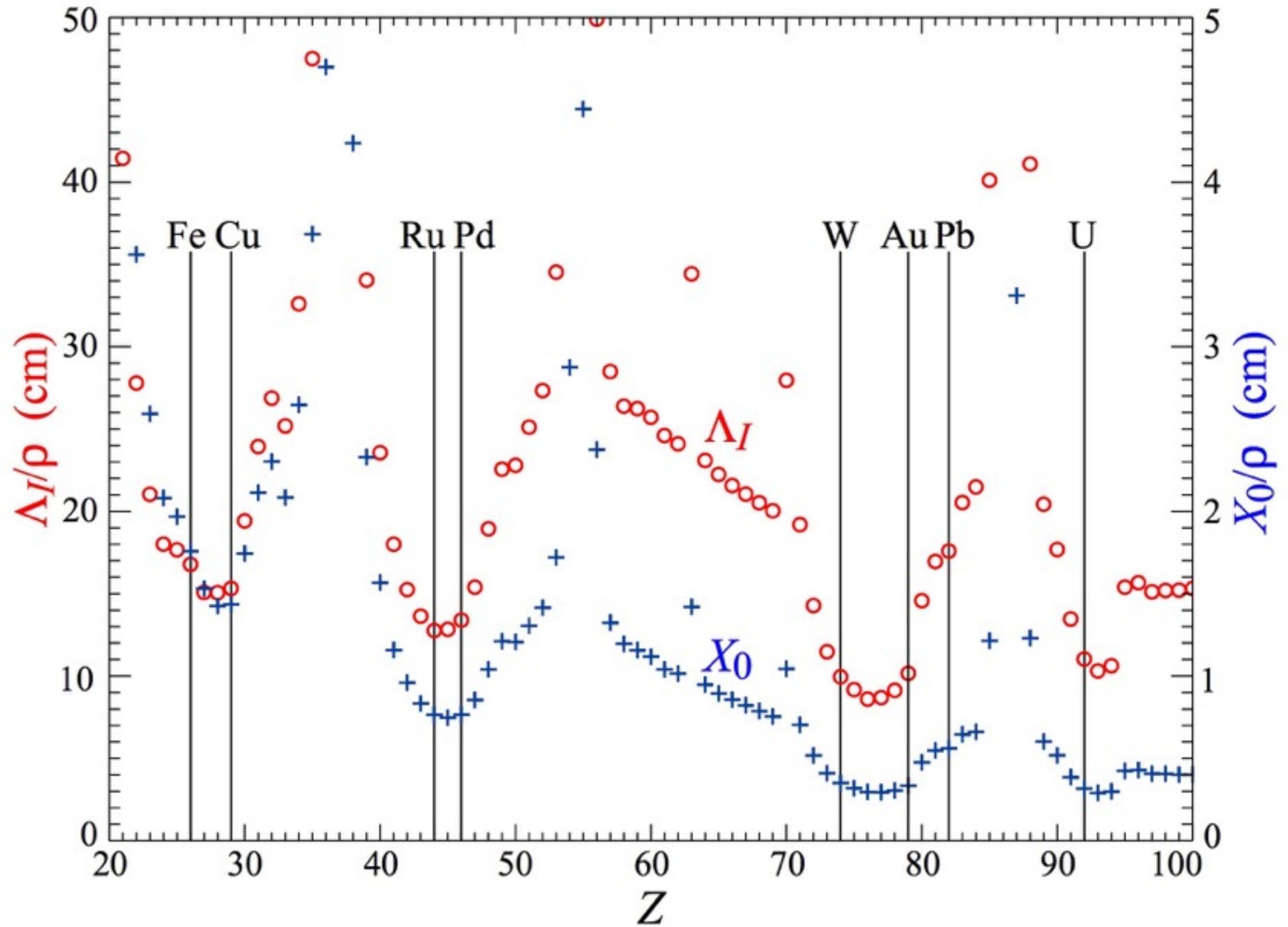
2. use a lower-Z absorber to reduce response non-linearity in 1-5 GeV region



3. maintain advantages of compensation: reduce effects of EM fraction fluctuations and binding energy loss fluctuations.

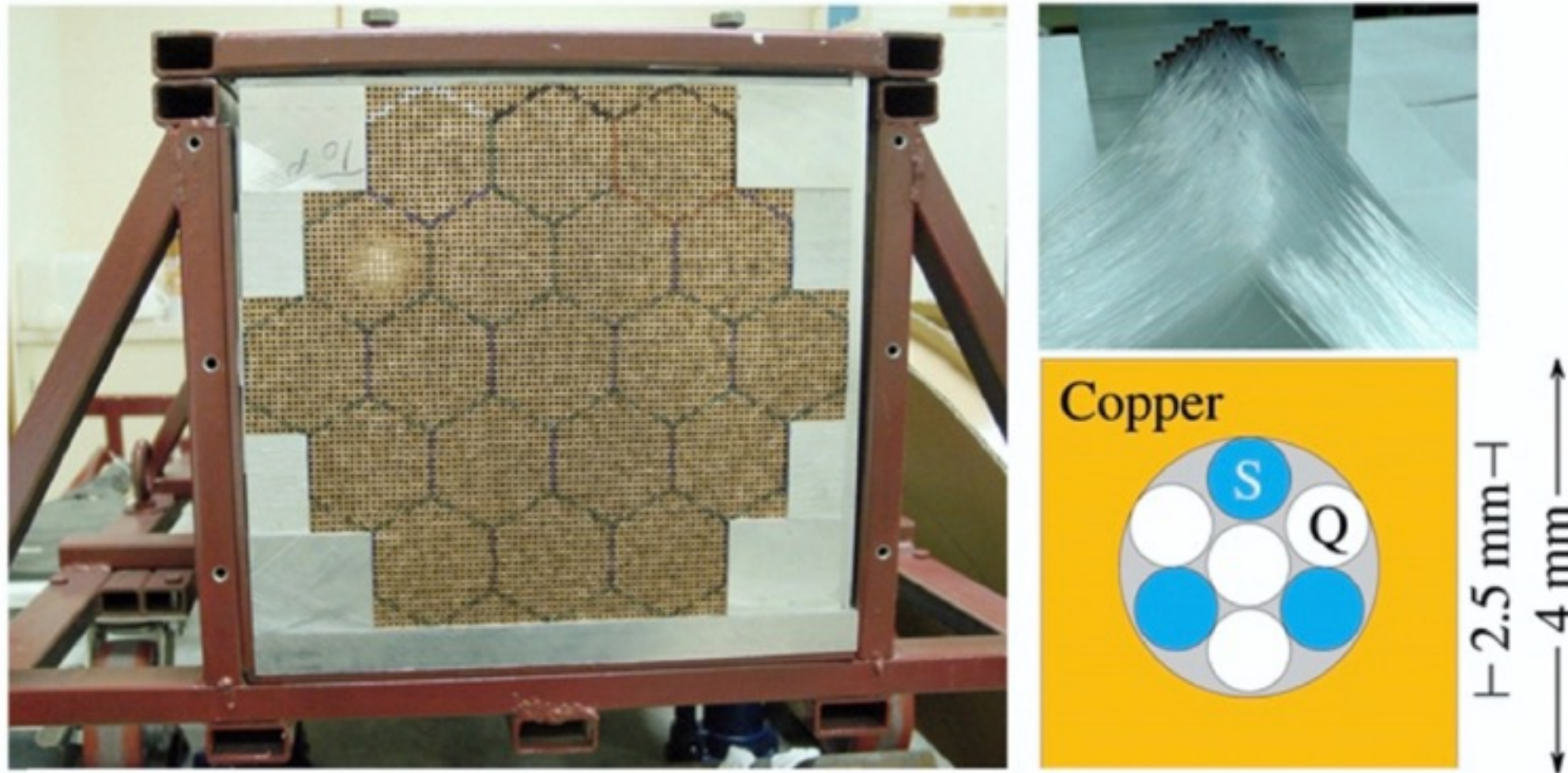


Cu is better than Pb for hadrons: per cubic interaction length, the mass is  $\sim 1/2$



Dual Readout —→ DREAM module built, tested, published (2005)

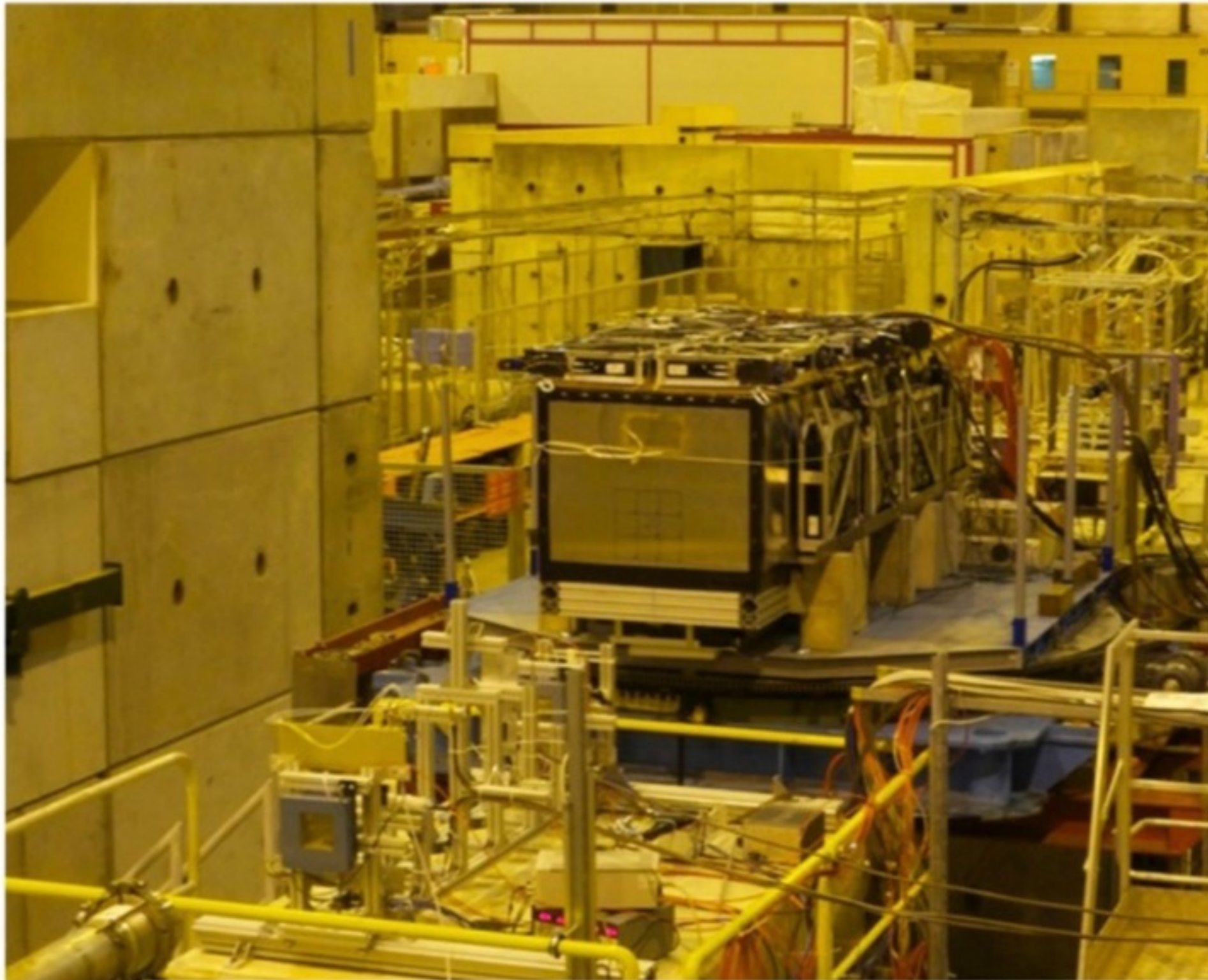
DREAM: Structure



- *Some characteristics of the DREAM detector*
  - **Depth** 200 cm ( $10.0 \lambda_{\text{int}}$ )
  - Effective **radius** 16.2 cm ( $0.81 \lambda_{\text{int}}$ ,  $8.0 \rho_M$ )
  - **Mass** instrumented volume 1030 kg
  - Number of **fibers** 35910, diameter 0.8 mm, total length  $\approx 90$  km
  - Hexagonal **towers** (19), each read out by 2 PMTs

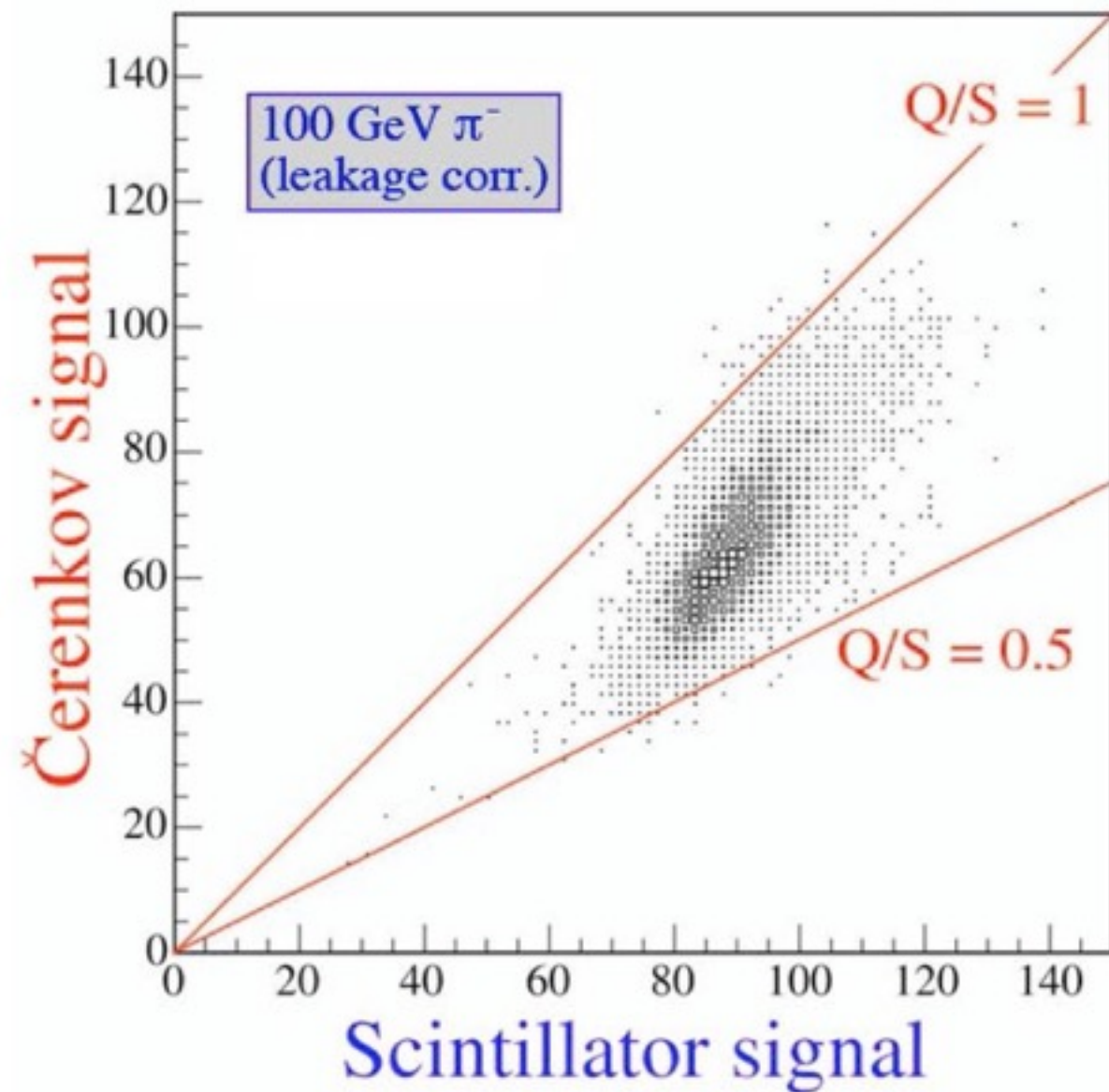


CERN North Area H8 beam. Our own beam area and counting room, which we only share with AMS. One of the benefits of being a CERN Project



Calorimeters go inside the aluminum box; neutron counters surround the box.

Read out both S and C PMTs, digitize and plot



Mean response constants:

$$\eta_S = h/e \sim 0.72 \quad (\text{S-fibers})$$

$$\eta_C = h/e \sim 0.22 \quad (\text{C-fibers})$$

Expected  $S$  and  $C$  response:

$$S = E[f_{\text{EM}} + \eta_S(1 - f_{\text{EM}})]$$

$$C = E[f_{\text{EM}} + \eta_C(1 - f_{\text{EM}})]$$

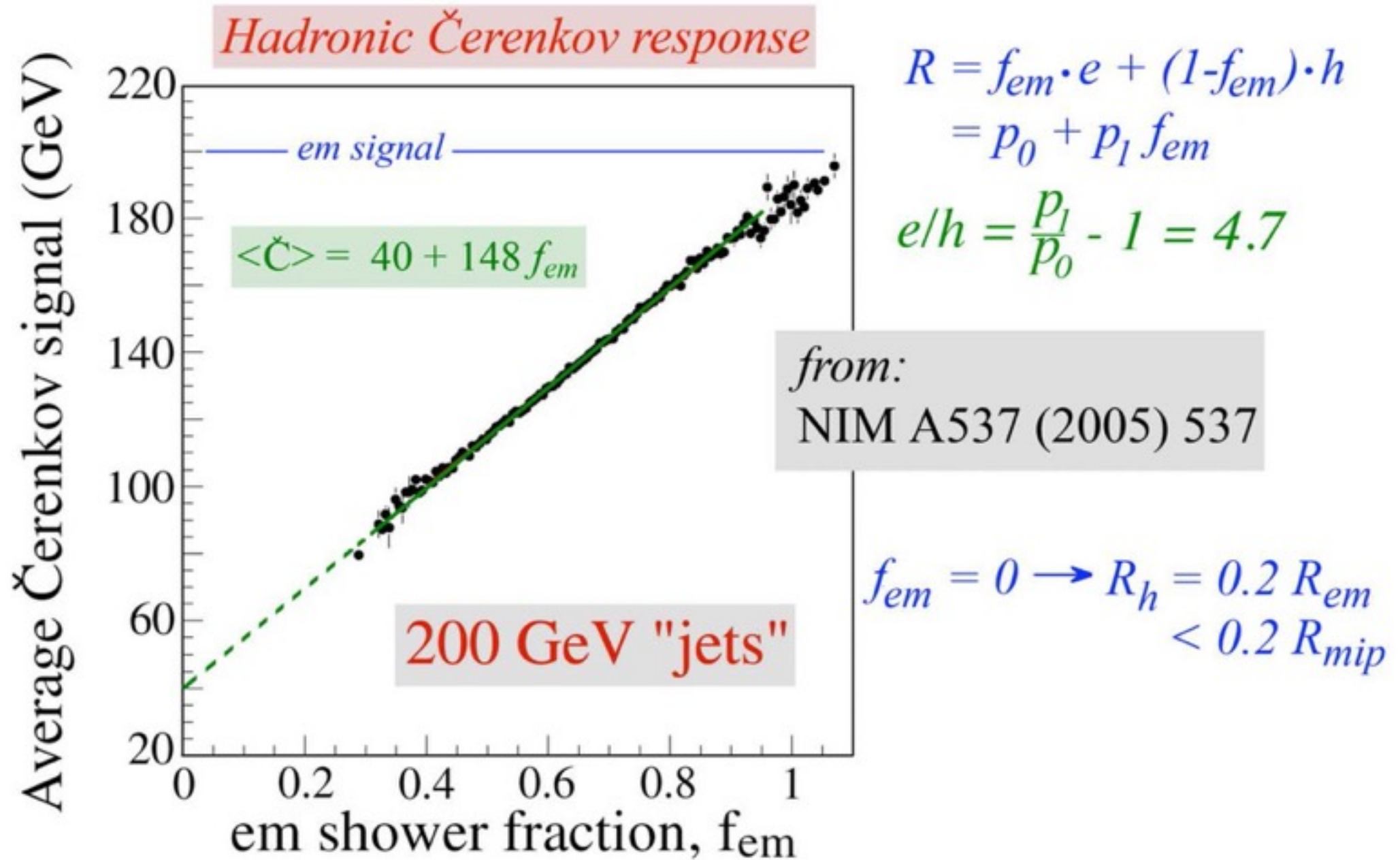
$S/C \rightarrow f_{\text{EM}}$ . Define  $\xi = \frac{1-\eta_S}{1-\eta_C}$ .

Dual-readout energy:

$$E = \frac{S - \xi C}{1 - \xi}$$

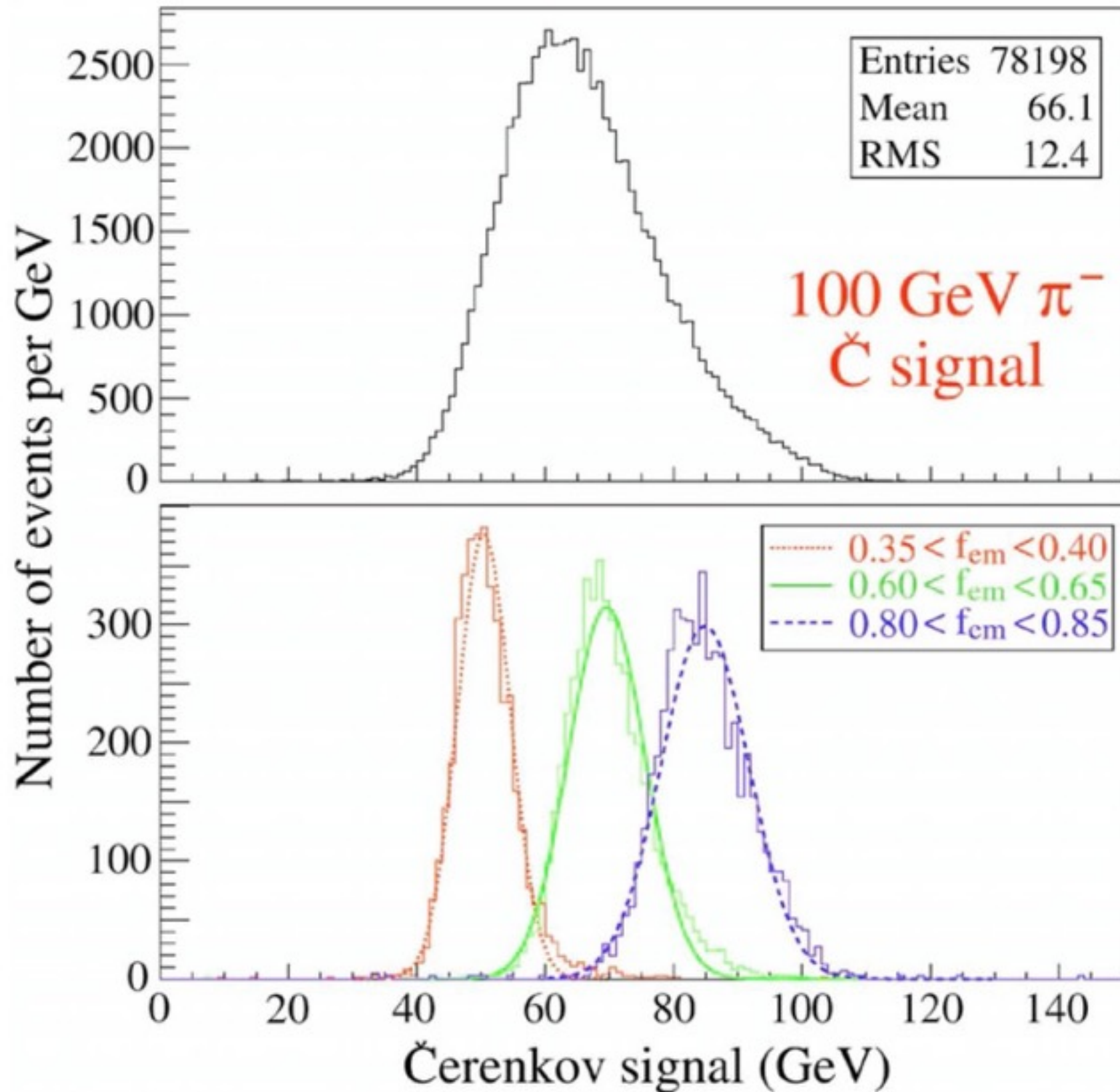


## The dual-readout method



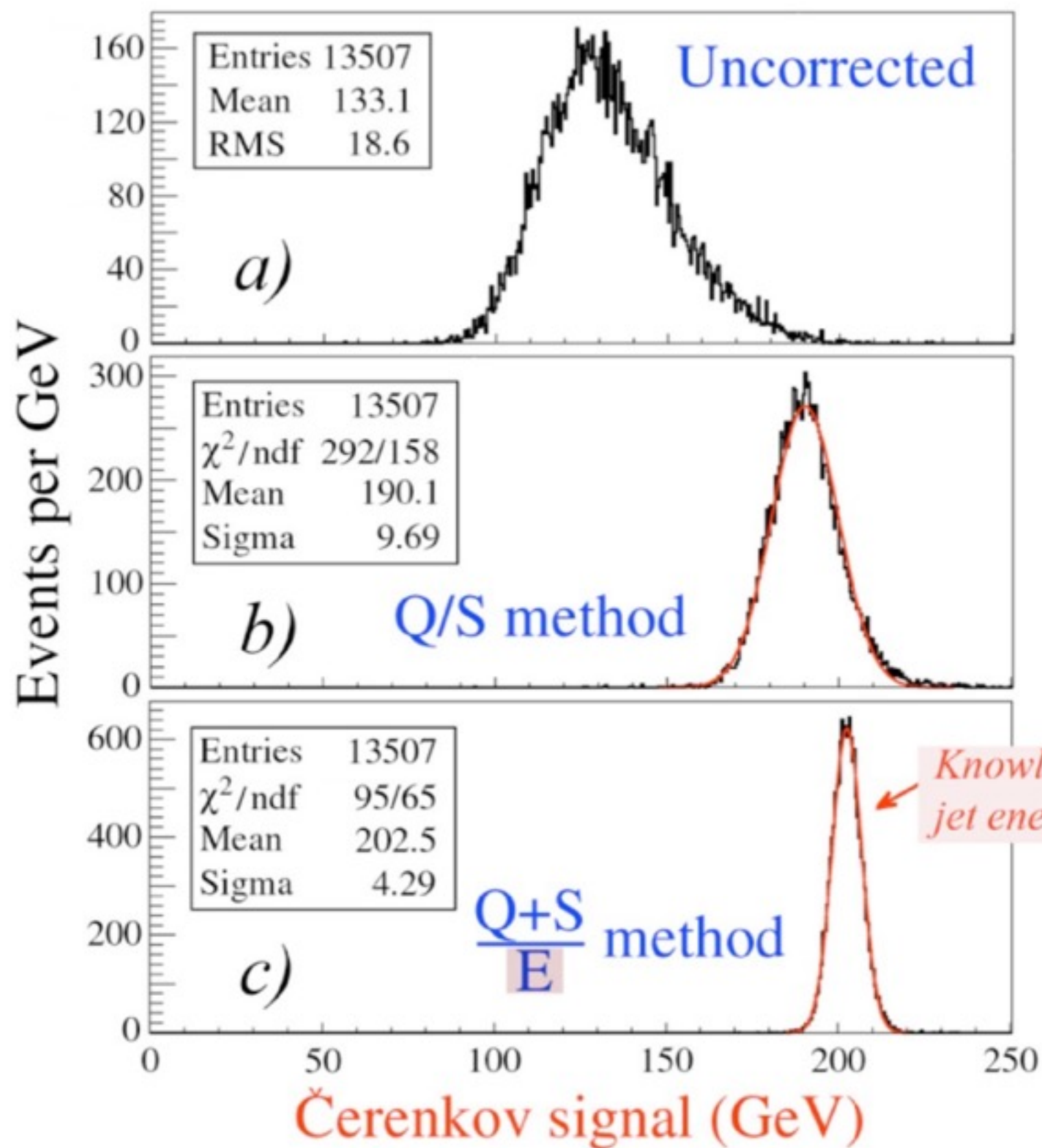
Experimentally, one measures  $f_{em}$  event by event  
Scale signal up to  $f_{em} = 1$ , i.e. the em scale

The broad non-Gaussian response is just a *sum of narrow Gaussians*



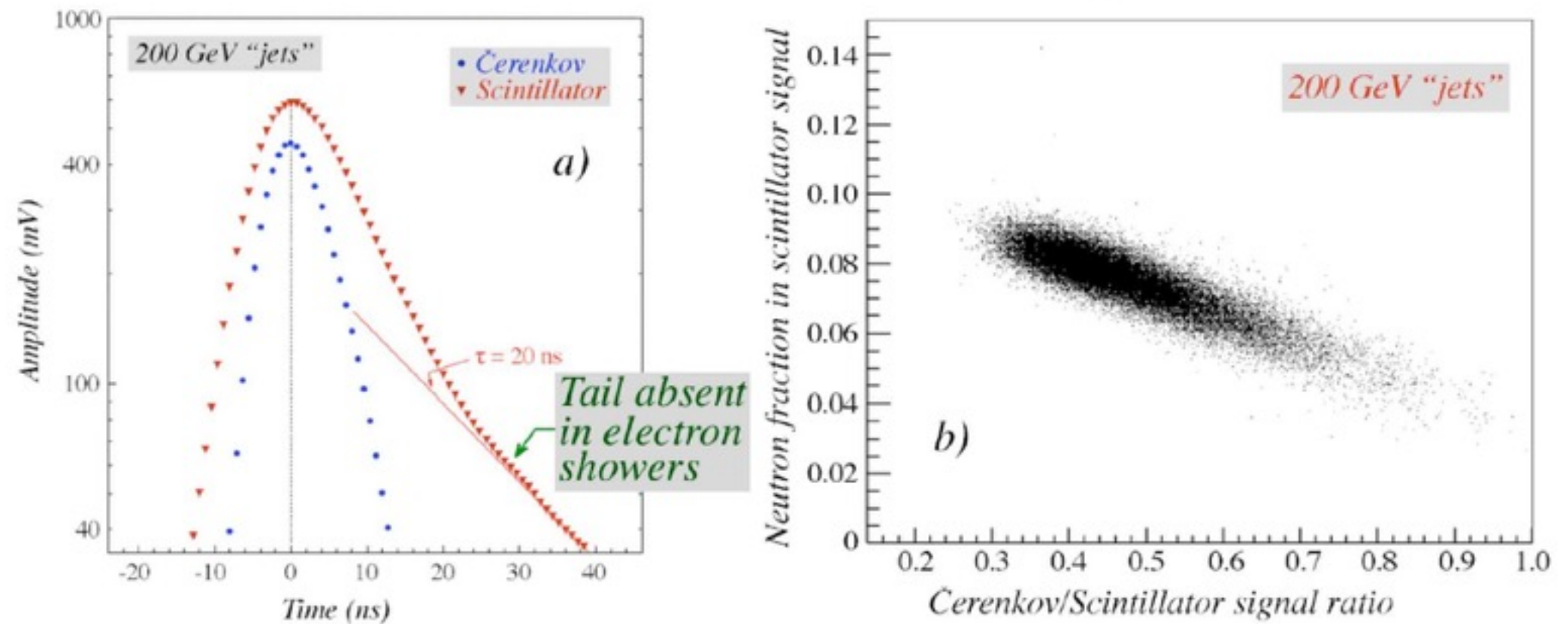
*From:*  
NIM A537 (2005) 537



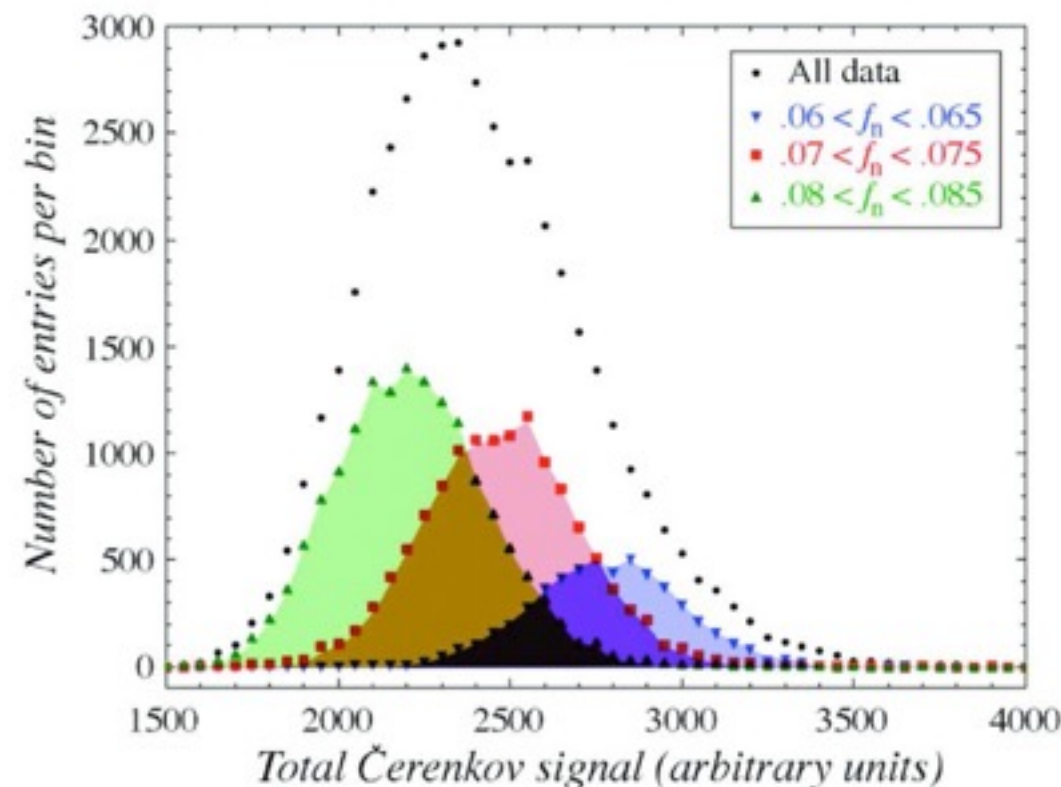


$f_{\text{EM}} \sim C/E \rightarrow C/E_{\text{beam}}$   
(eliminates leakage fluctuations)

# Time structure of the DREAM signals: the neutron tail (anti-correlated with $f_{em}$ )



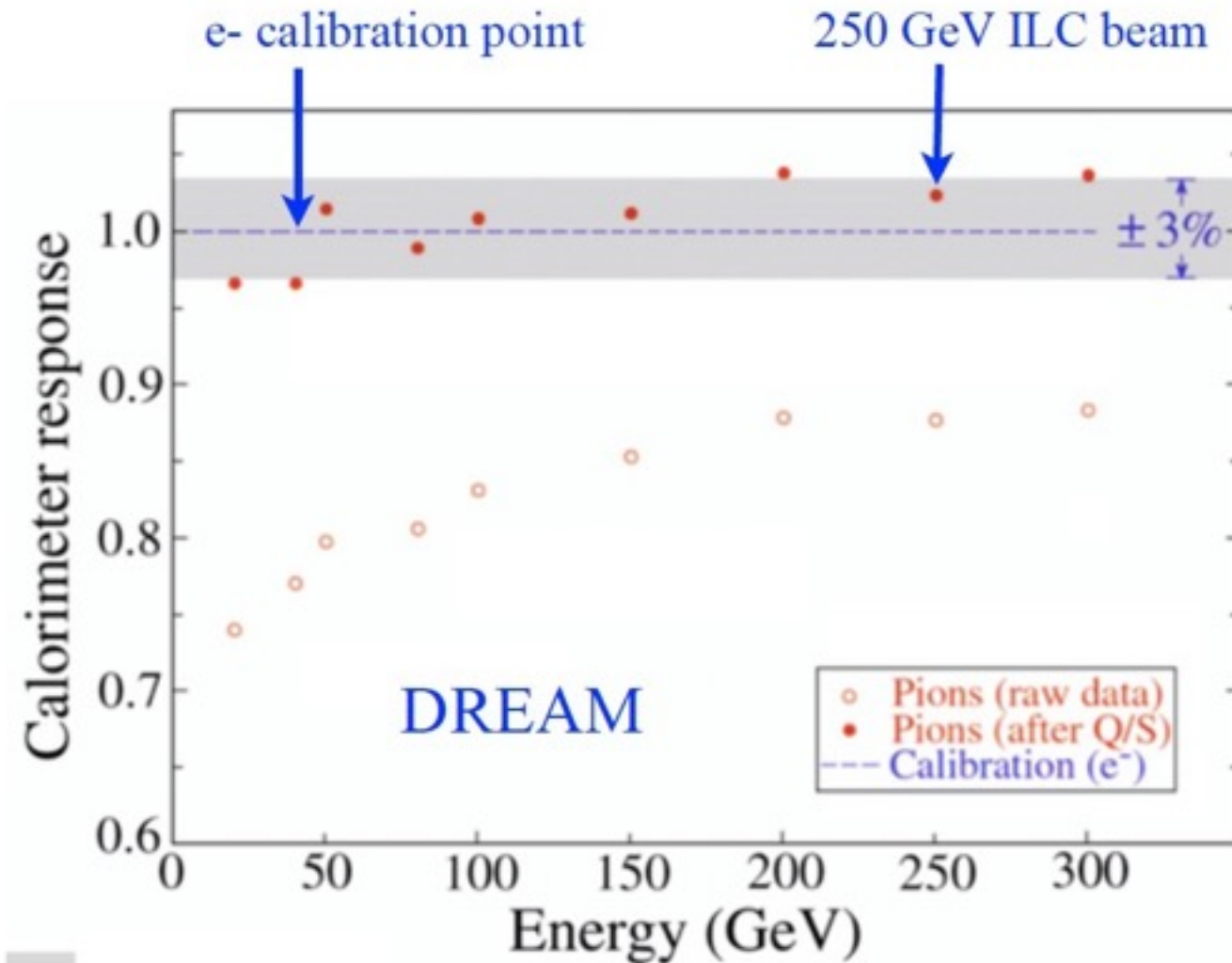
neutron measurements  
within DREAM module



From:  
NIM A598 (2009) 422

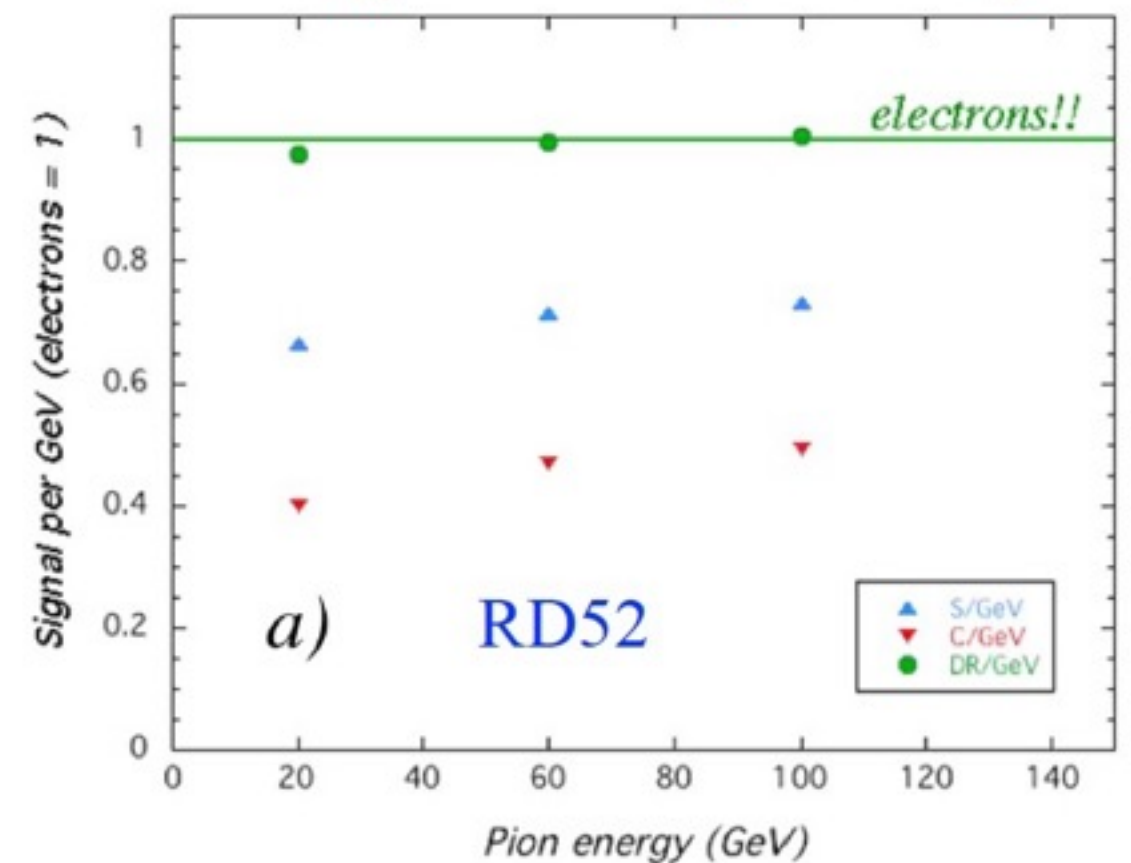


## Hadronic energy linearity over the whole SPS range, 20-300 GeV



Data NIM A537 (2005) 537.

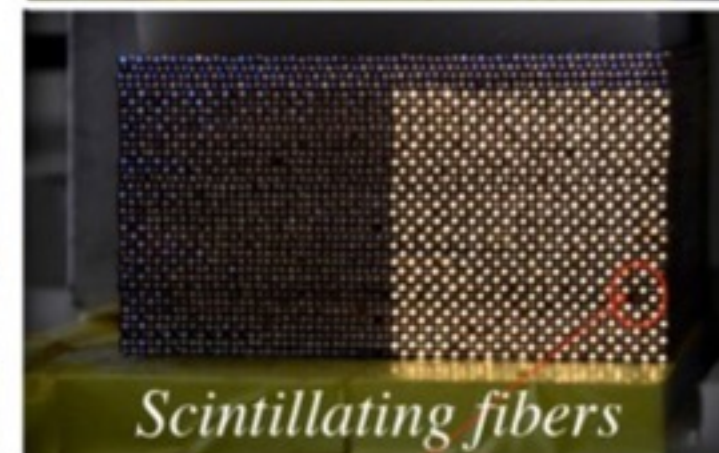
*Electron energy scale well reproduced by DR!!*



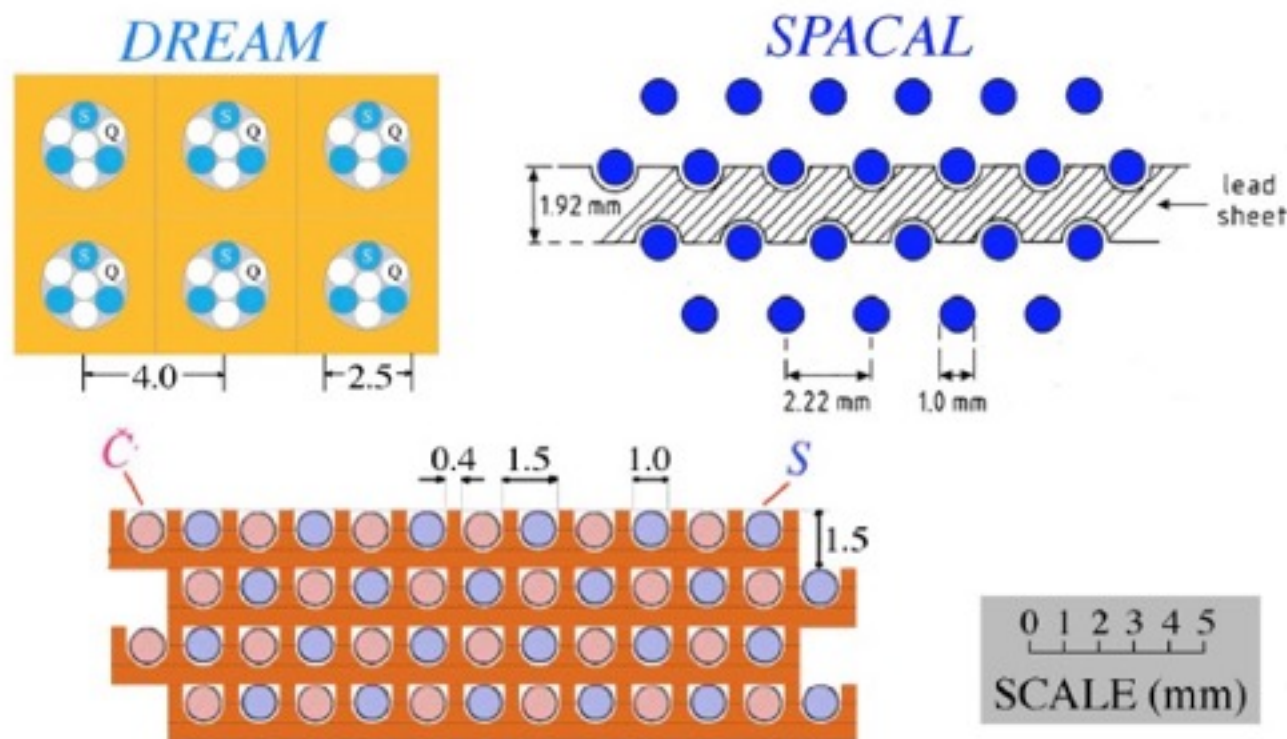
Nov-Dec CERN test 2012



# Fiber-impregnated absorber volumes



*Sampling fraction & frequency*



*Absorber thickness between sampling layers (Moliere radii):*

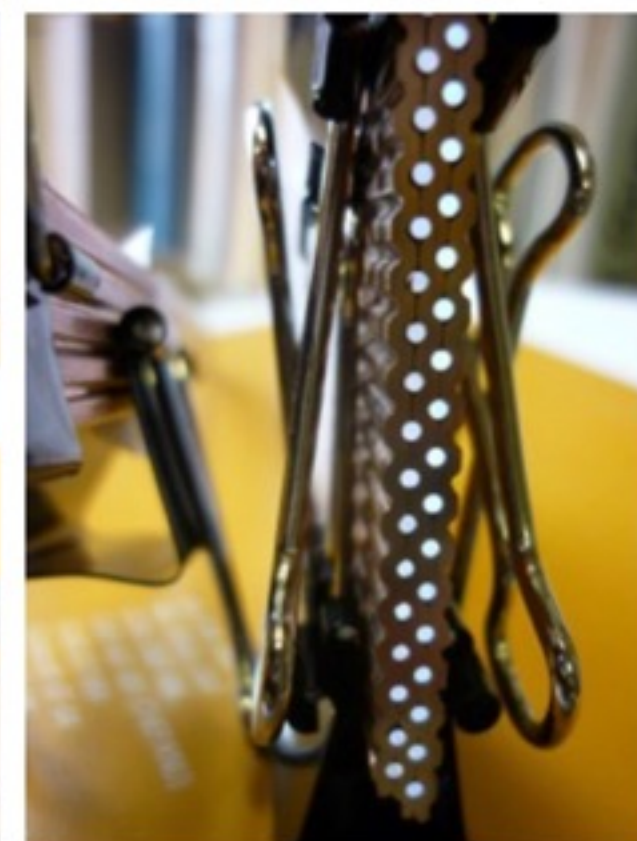
**SPACAL** 0.071

**DREAM** 0.099

**RD52** 0.027



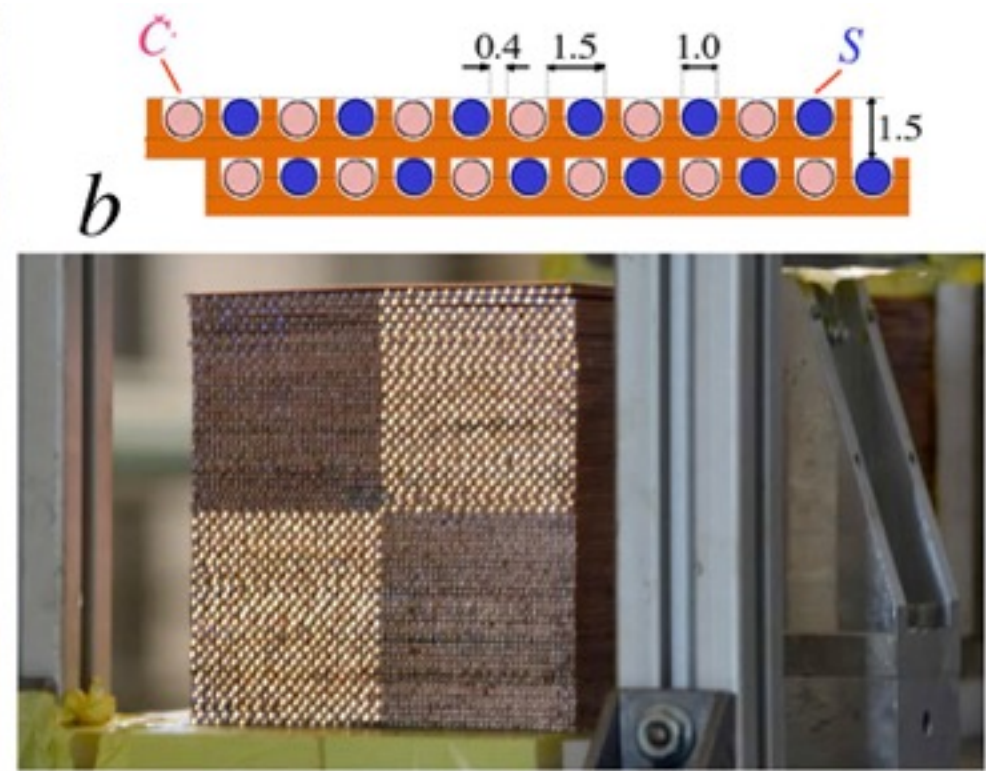
**Pure Cu**



**Cu + Zn(10%)**

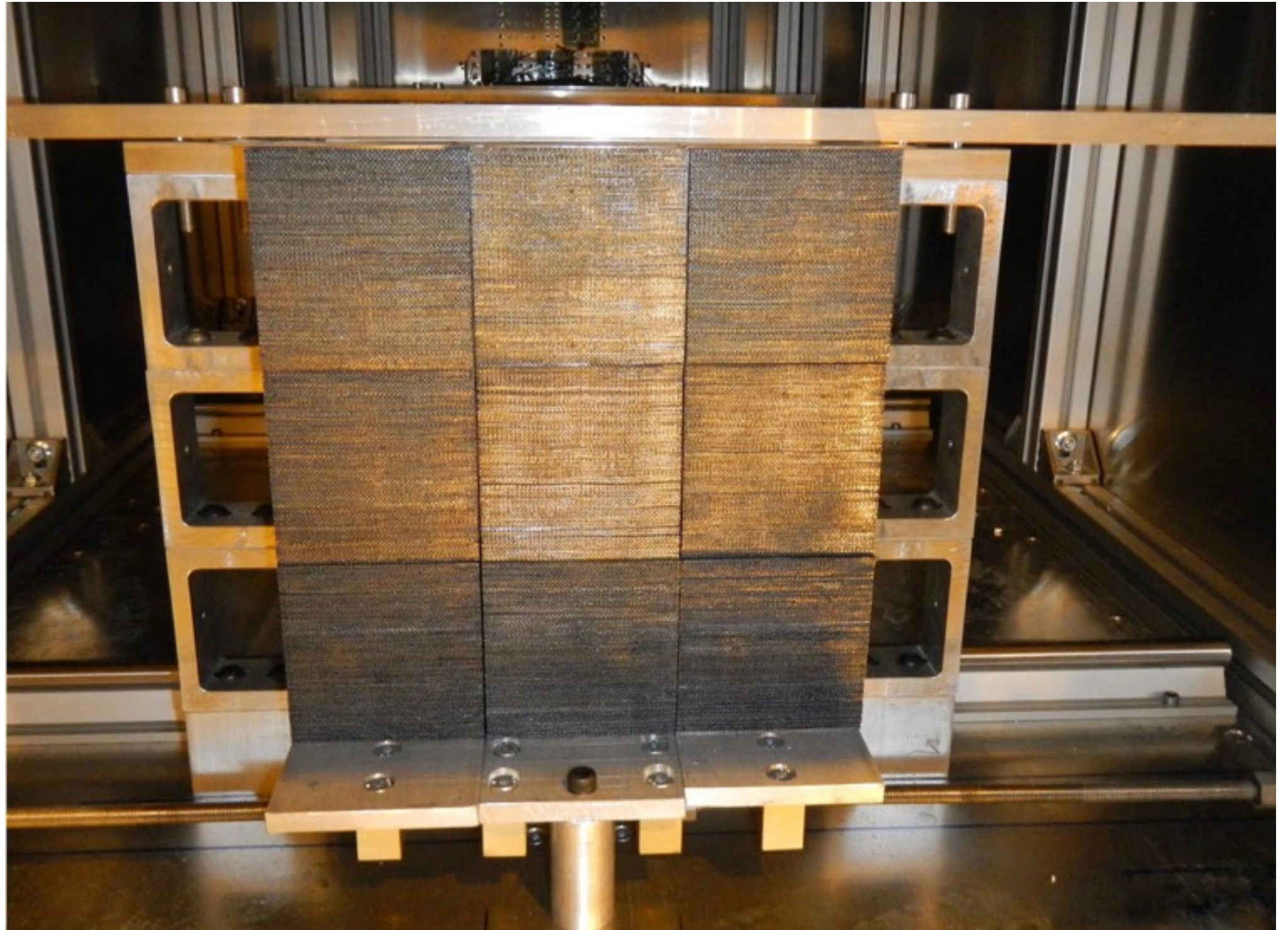


## One (of two) Cu RD52 modules, INFN Pisa



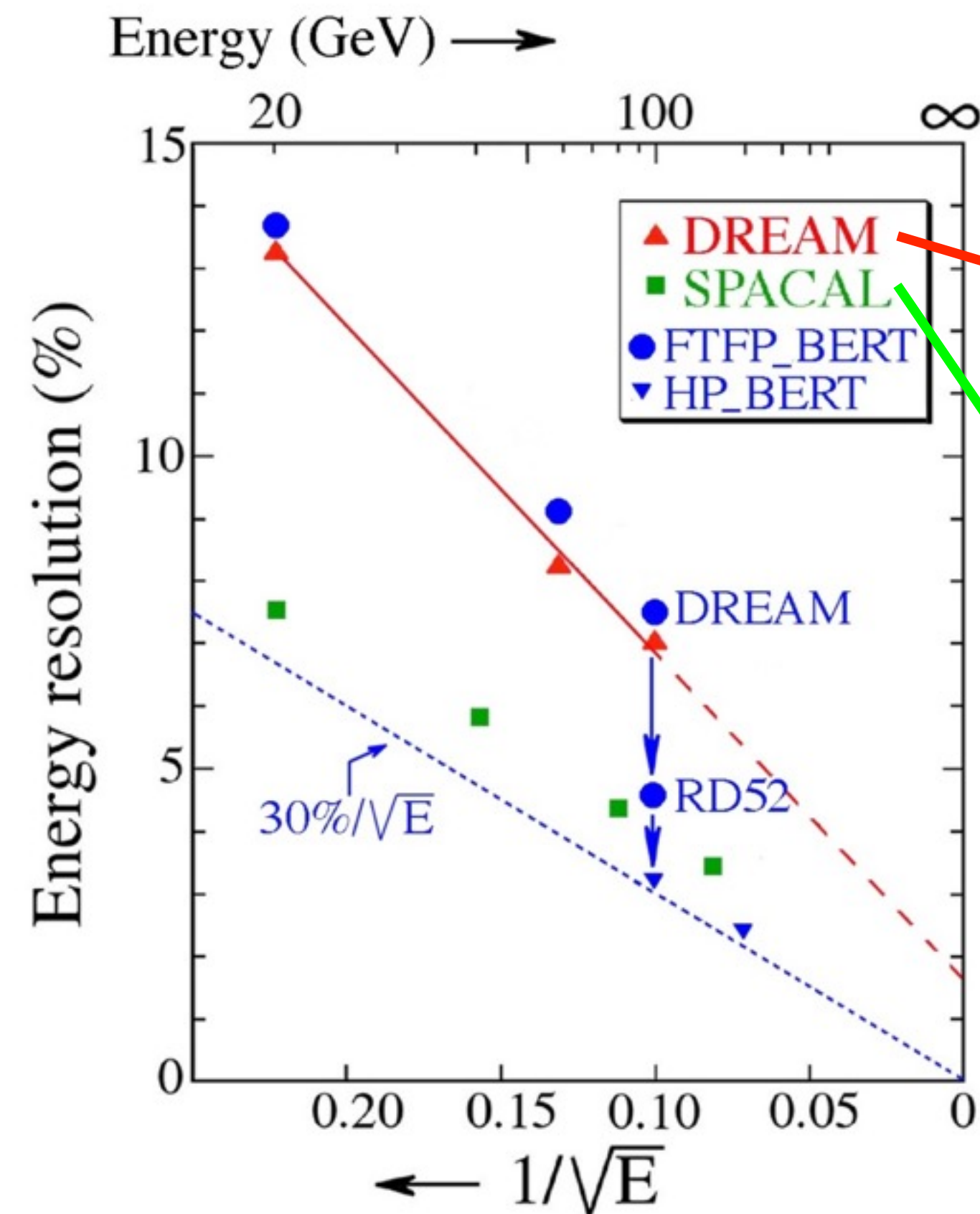


Nine Pb RD52 modules, INFN Pavia





Yunyong  
Wang,  
Beijing U.



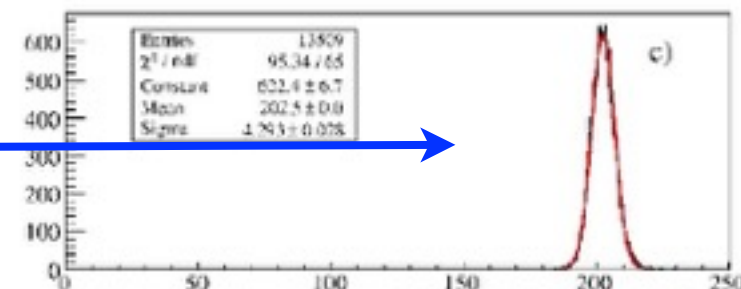
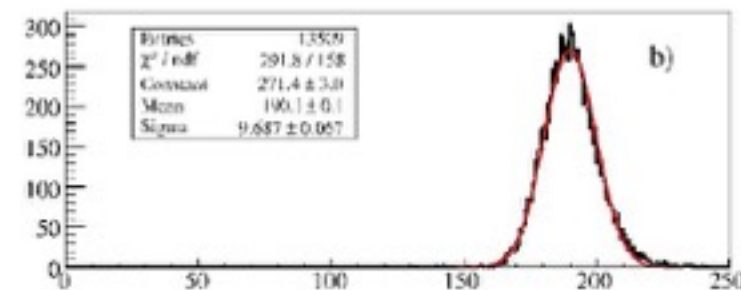
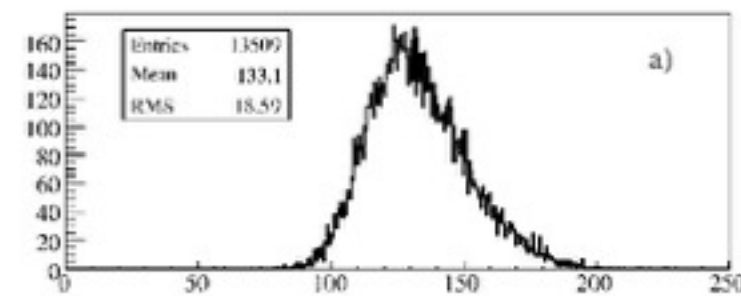
One ton of copper



Twenty  
tons of lead

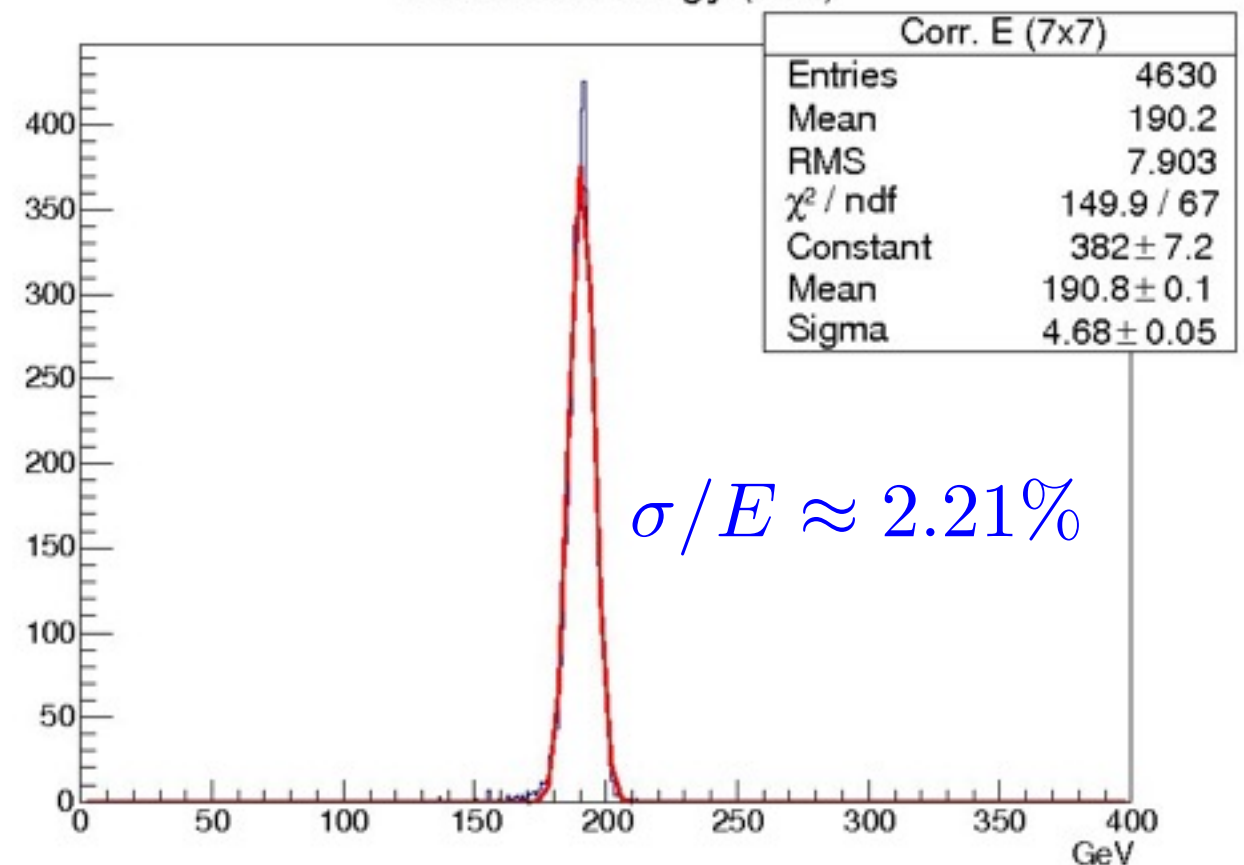
Do we think this is possible?

DREAM data  
(leakage suppress using  
beam energy)



$$\sigma/E \approx 30\%/\sqrt{E}$$

Corrected Energy (7x7)

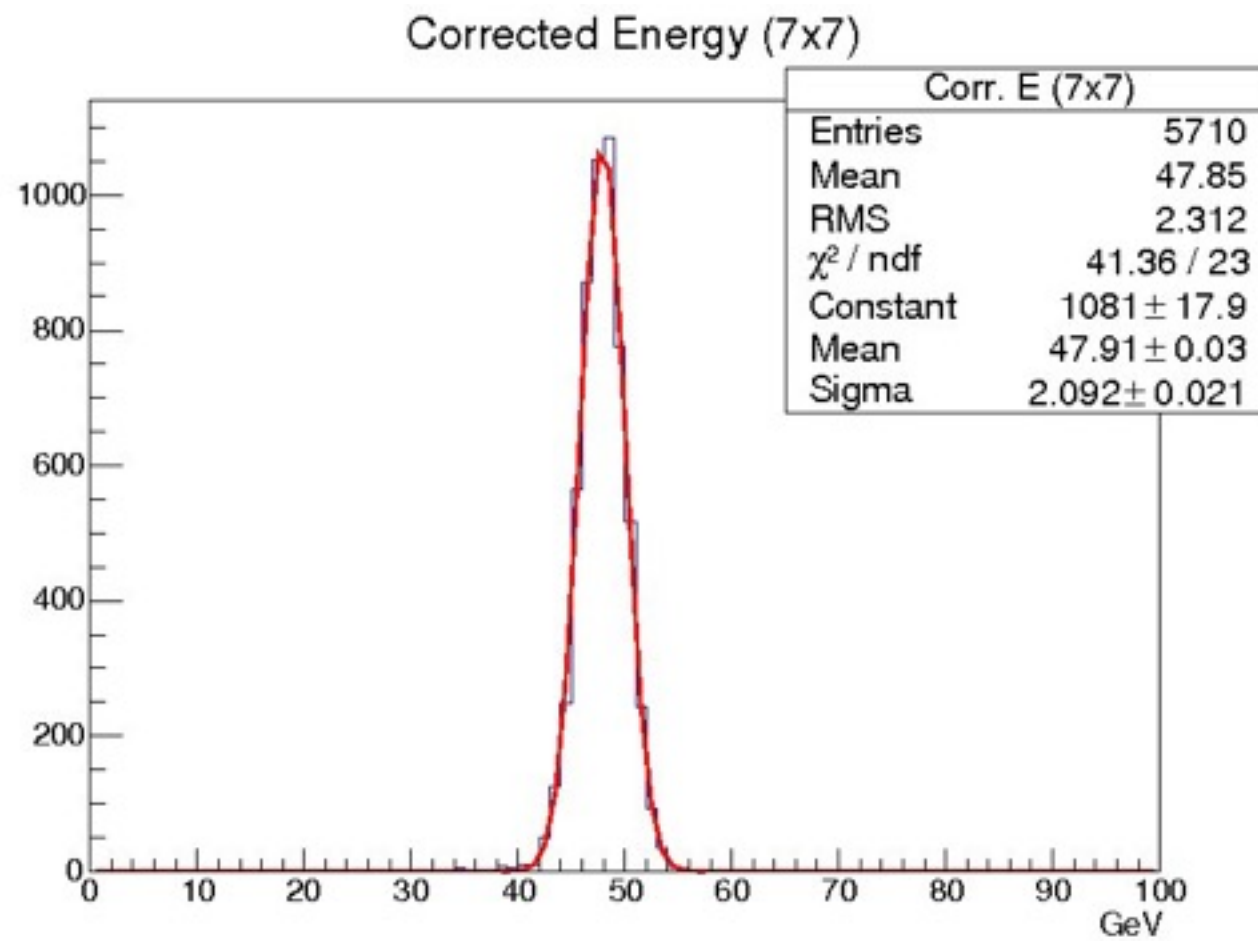


$$\sigma/E \approx 2.21\%$$

GEANT simulation, HP means  
“high precision” which means  
the neutrons were treated more  
properly

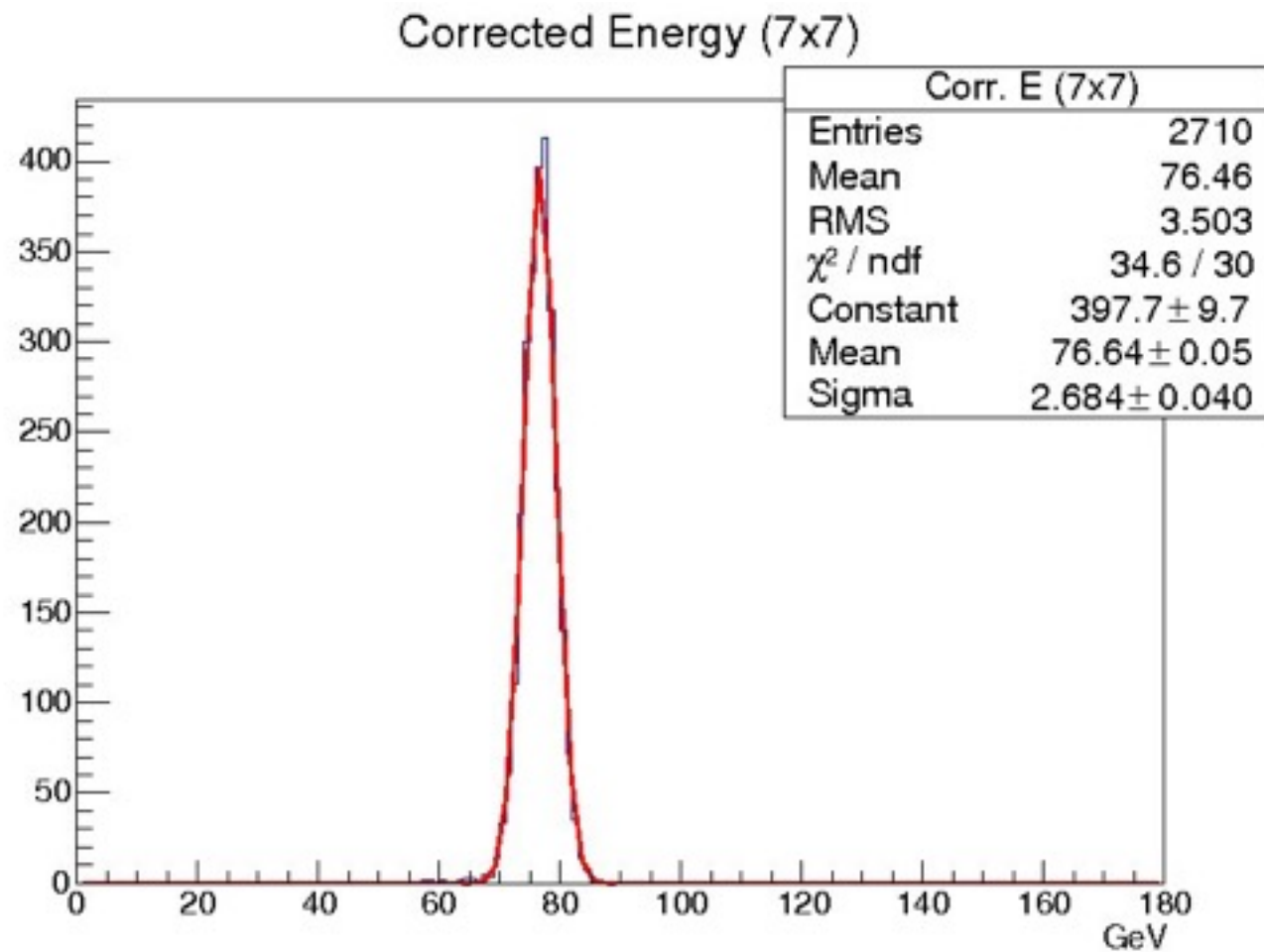
Figure 9: RD52 energy resolution.





$\pi^-$  50 GeV

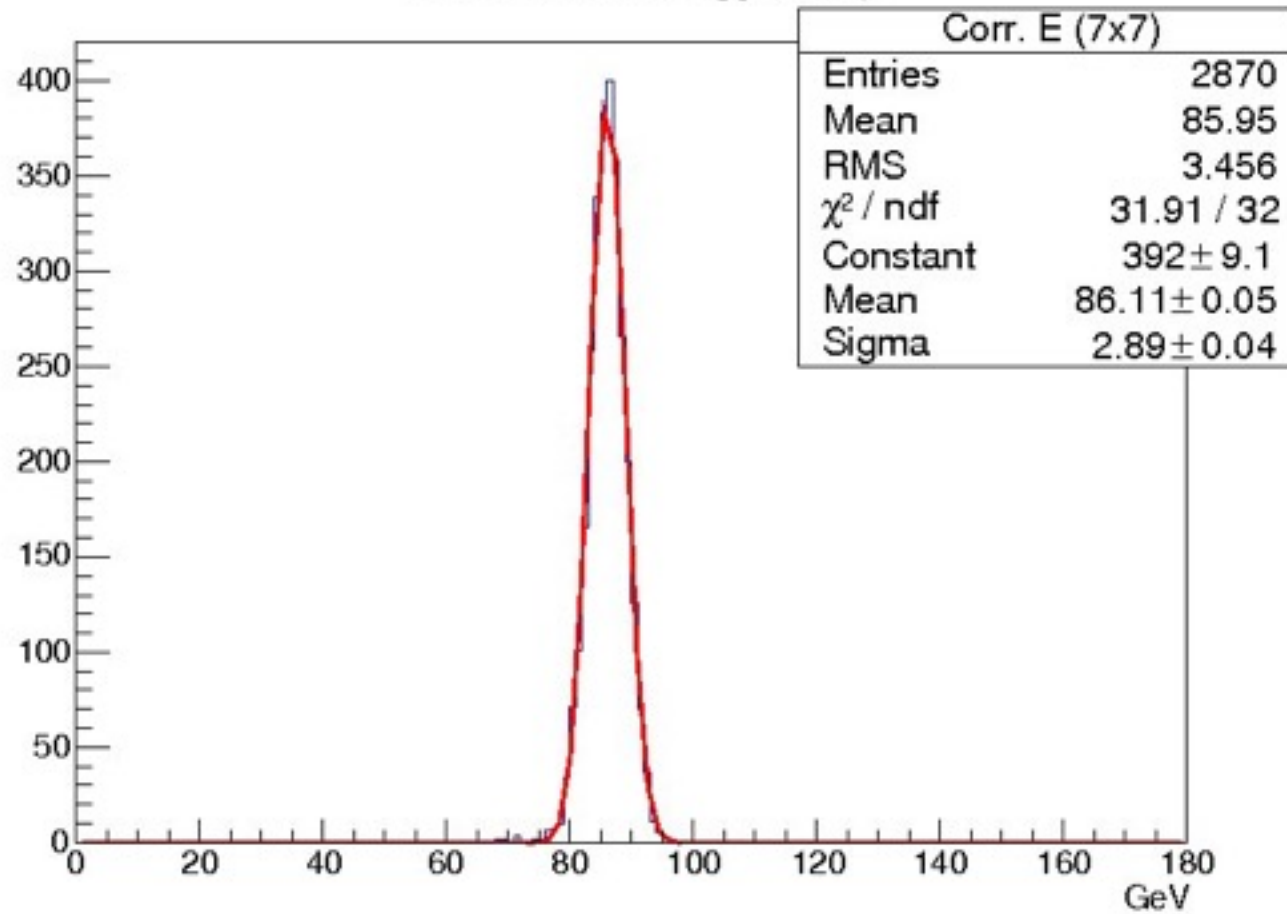
$$\sigma/E \approx 4.36\%$$



$\pi^-$  80 GeV

$$\sigma/E \approx 3.50\%$$

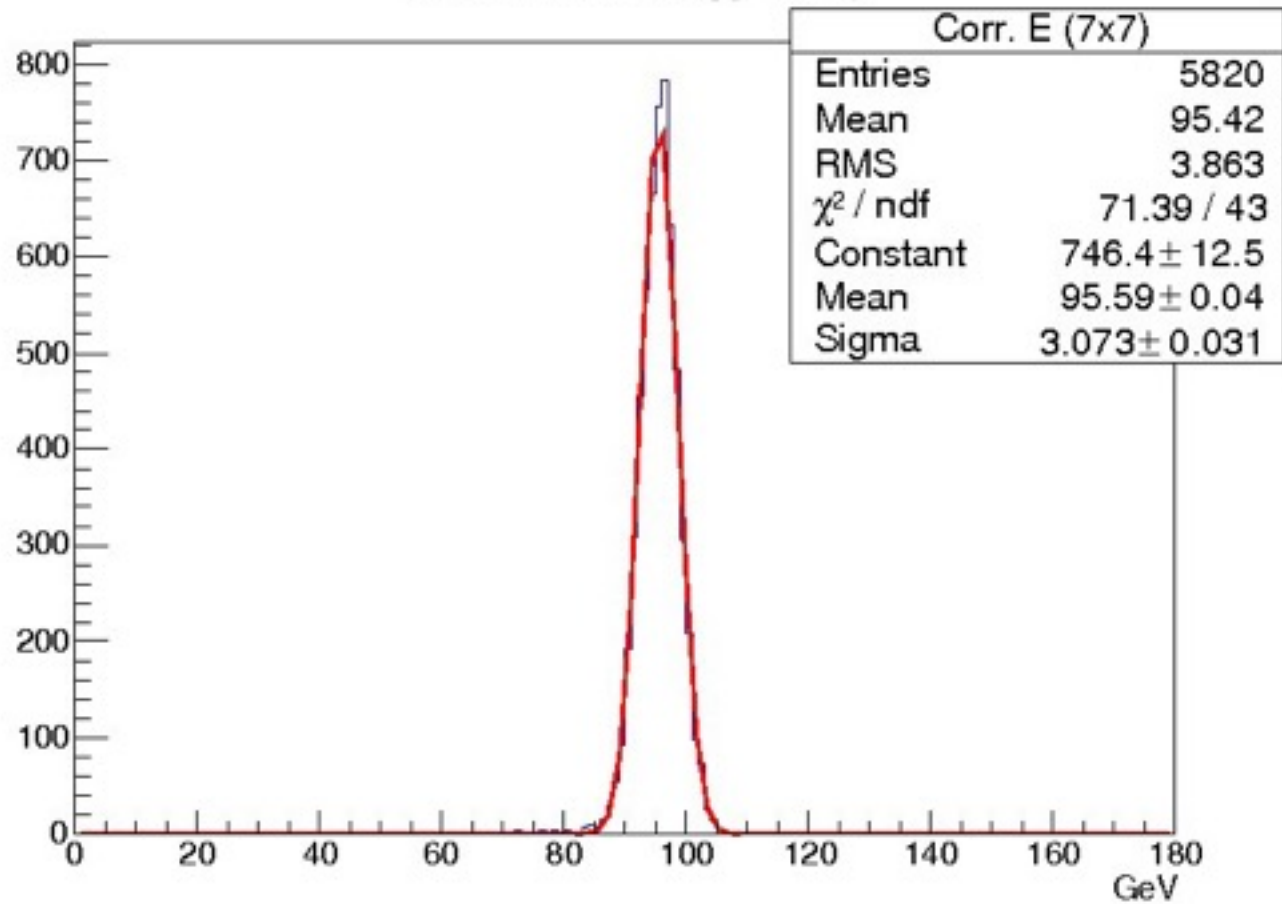
Corrected Energy (7x7)



$\pi^-$  90 GeV

$$\sigma/E \approx 3.36\%$$

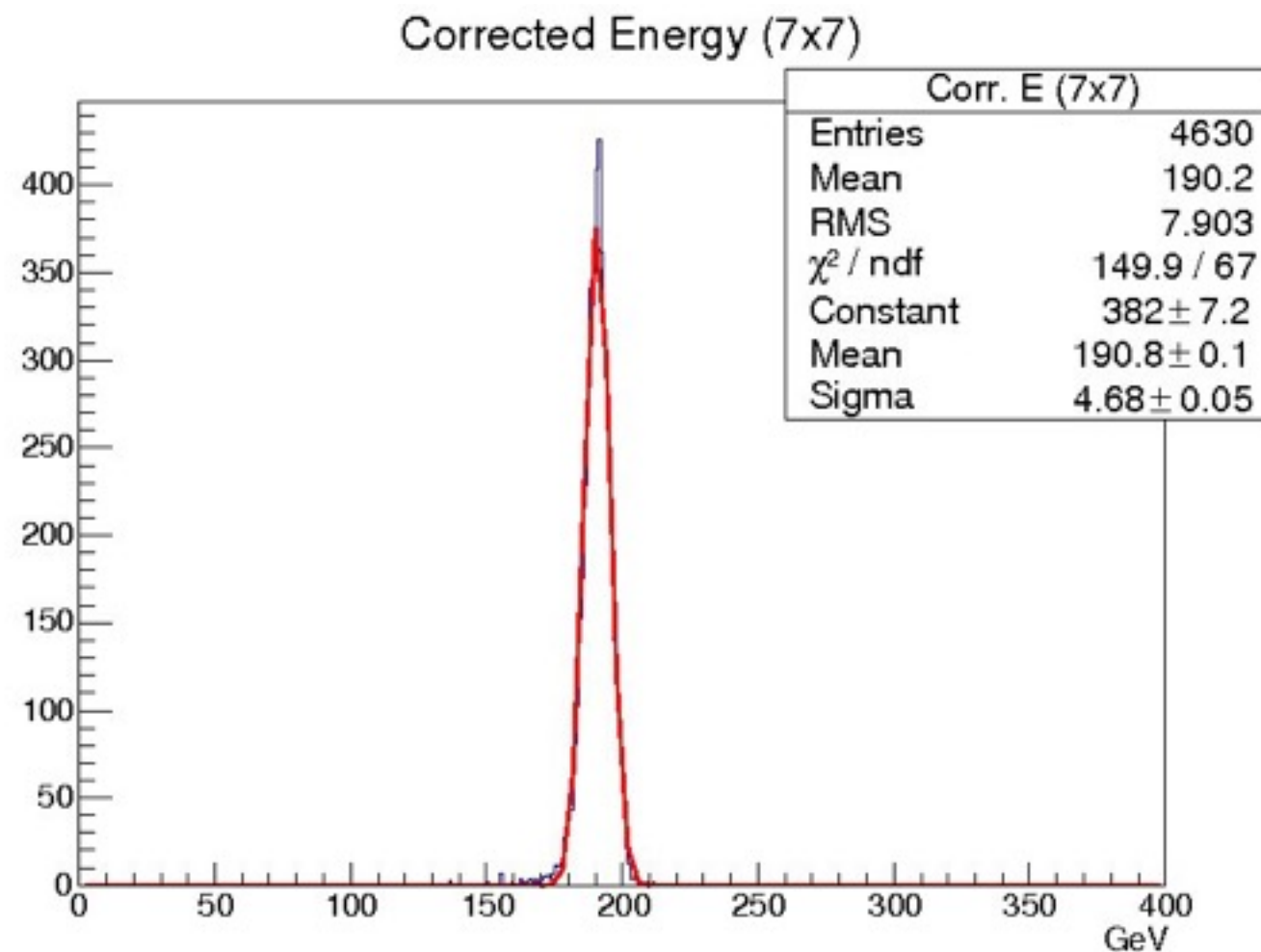
Corrected Energy (7x7)



$\pi^-$  100 GeV

$$\sigma/E \approx 3.21\%$$



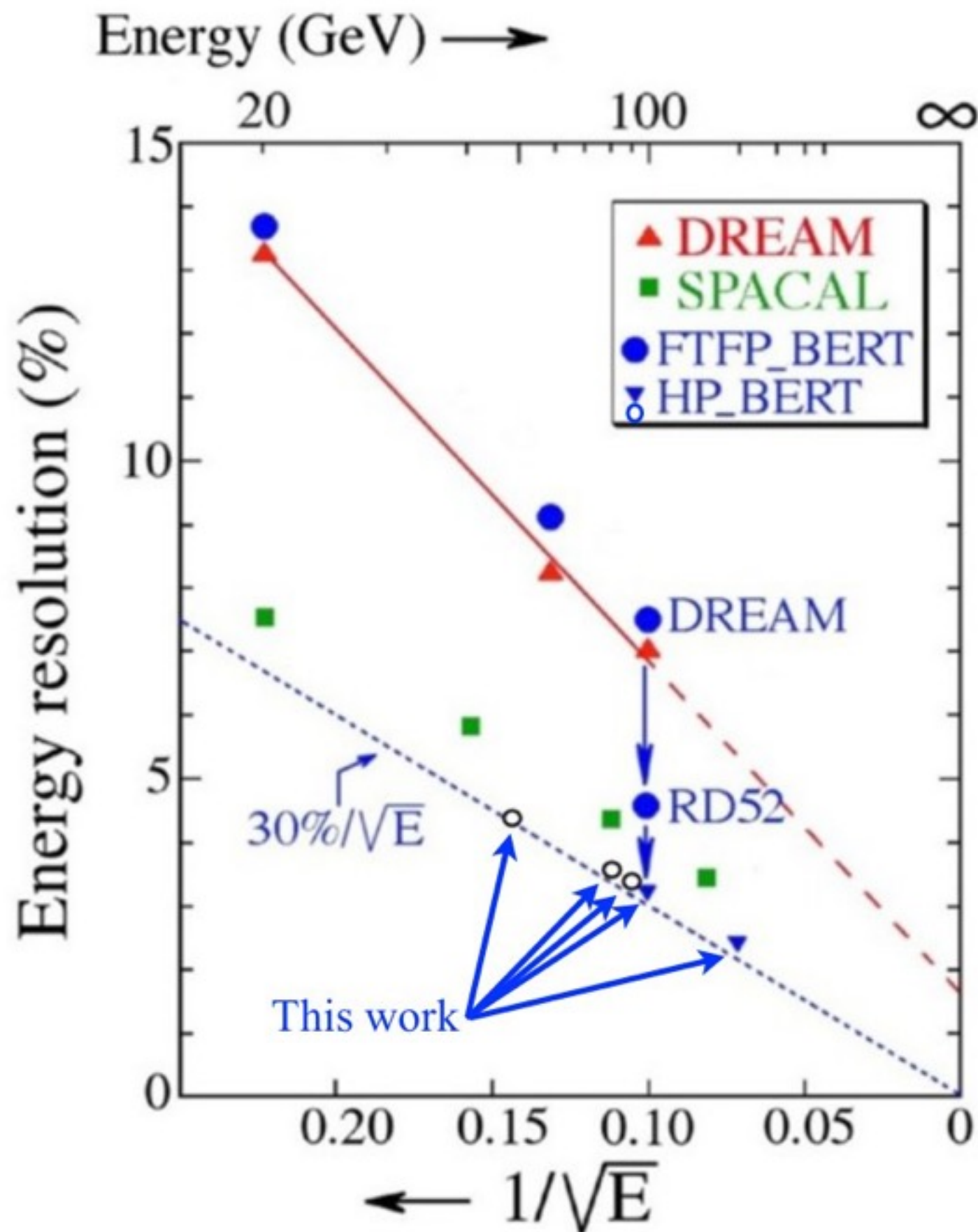


$\pi^-$  200 GeV

$$\sigma/E \approx 2.45\% \quad (\rightarrow 2.21\%)$$

Note well:

- (1) all of these response functions are Gaussian
- (2) no correction for leaked neutrons, etc.
- (3) simple direct dual-readout



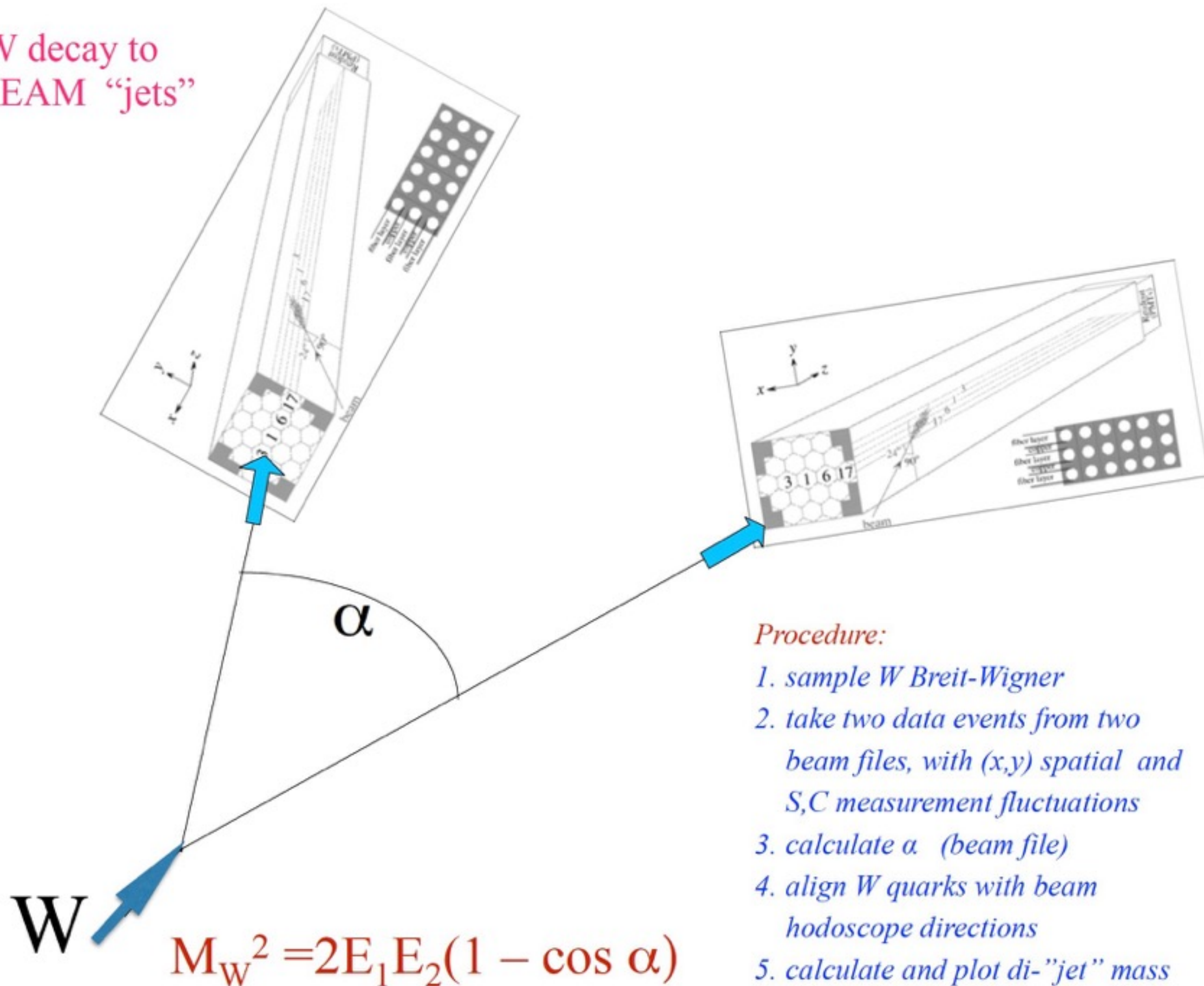
Dual-readout is close to achieving  
(in GEANT high-precision simulation)

$$\sigma/E \approx 30\%/\sqrt{E}$$

Next step for us: built and test  
a large (4t) copper module to test this.



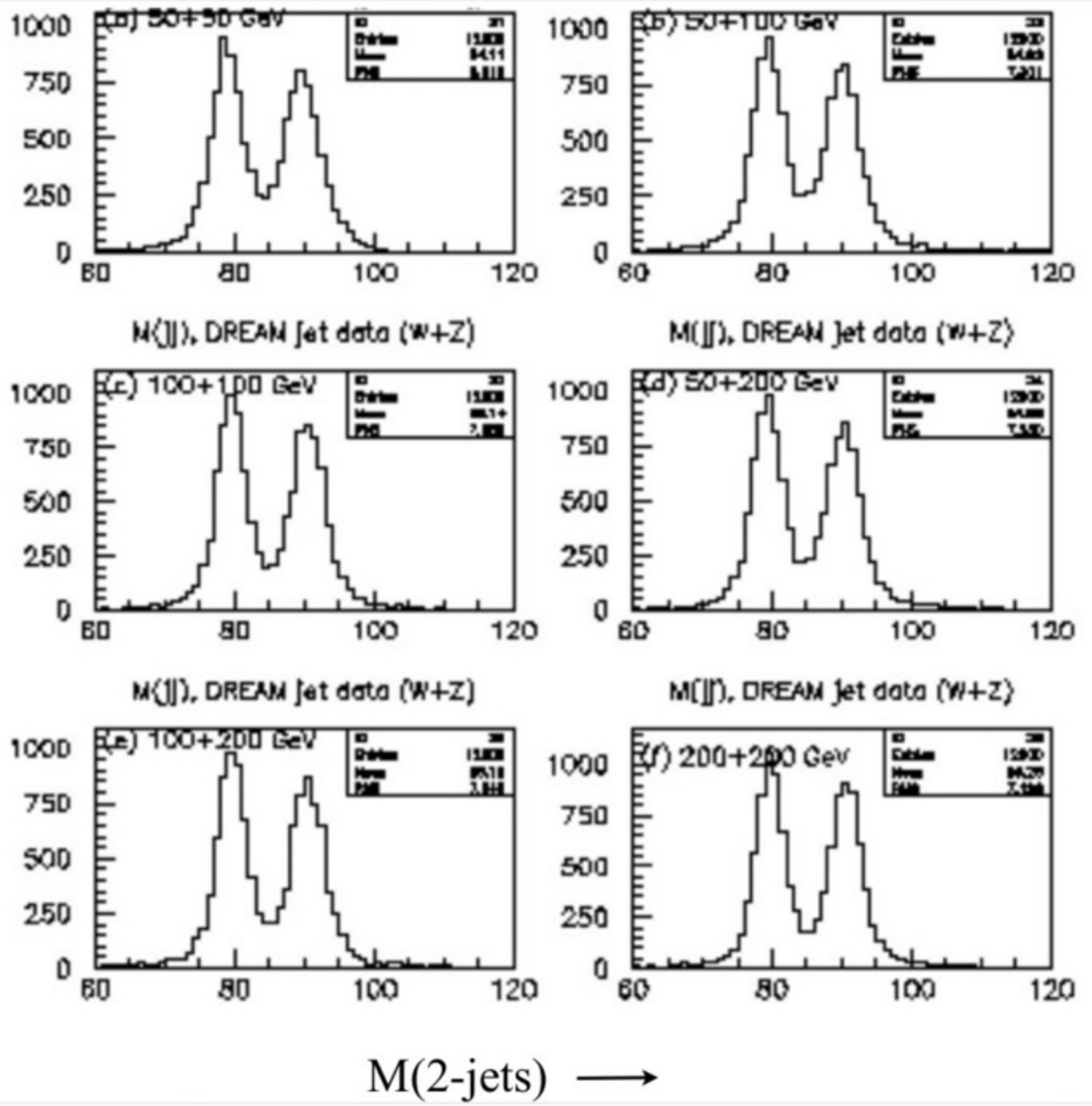
W decay to  
DREAM “jets”



This is the W and Z di-jet mass distribution you get from leakage-suppressed DREAM events:

$$\frac{\sigma_E}{E} \approx \frac{30\%}{\sqrt{E}}$$

This is really important, and we want to demonstrate this experimentally: *built a multi-ton module*



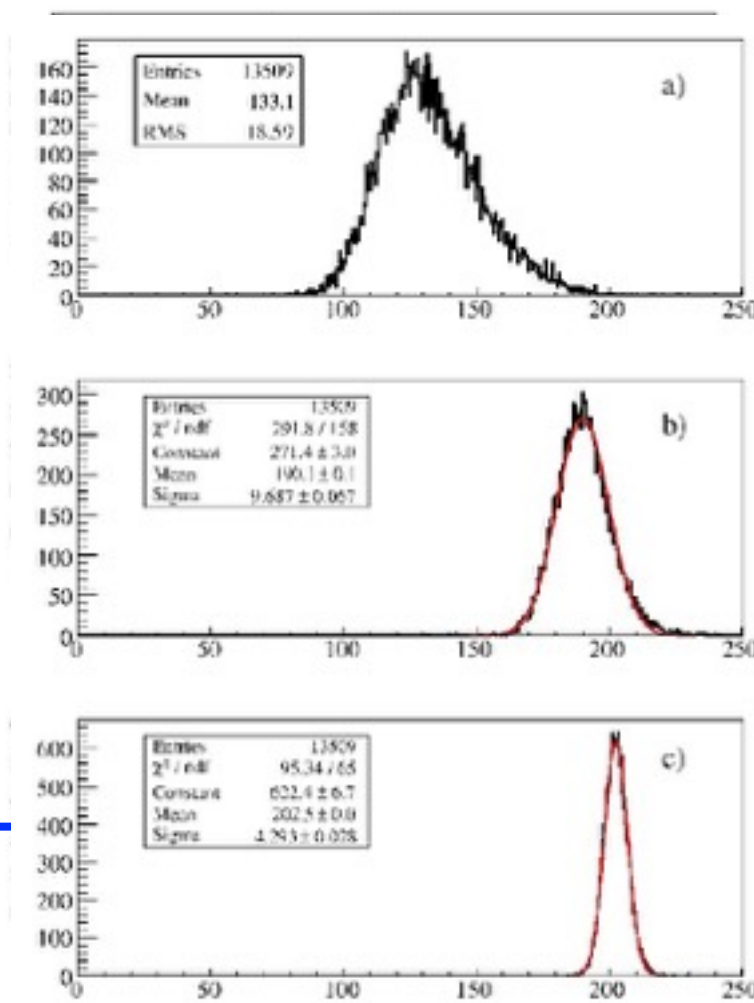


Do we think this is possible?

DREAM data

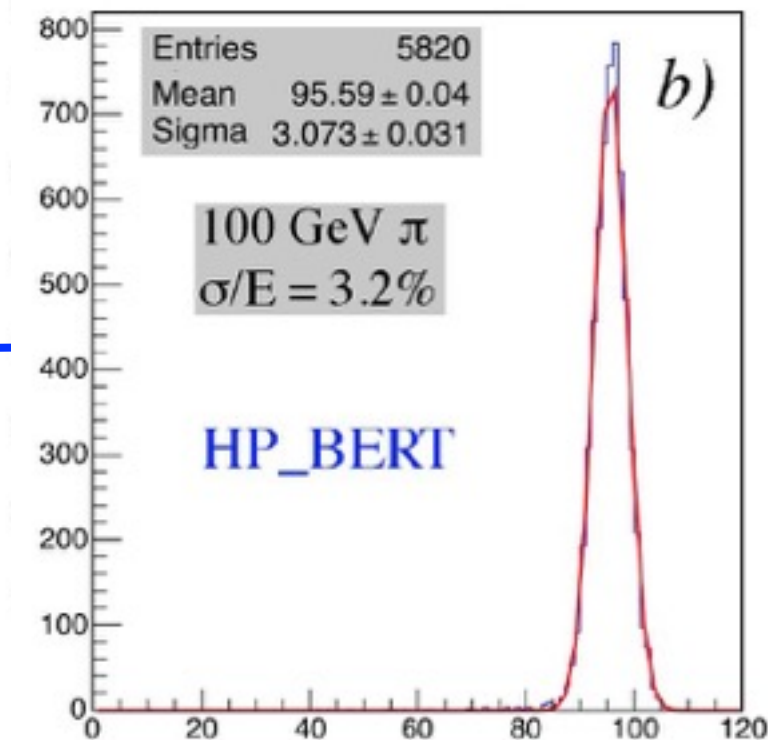
(leakage suppress using  
beam energy)

GEANT simulation, HP  
means “high precision”  
which means the  
neutrons were treated  
more properly



$$E \approx 30\% / \sqrt{E}$$

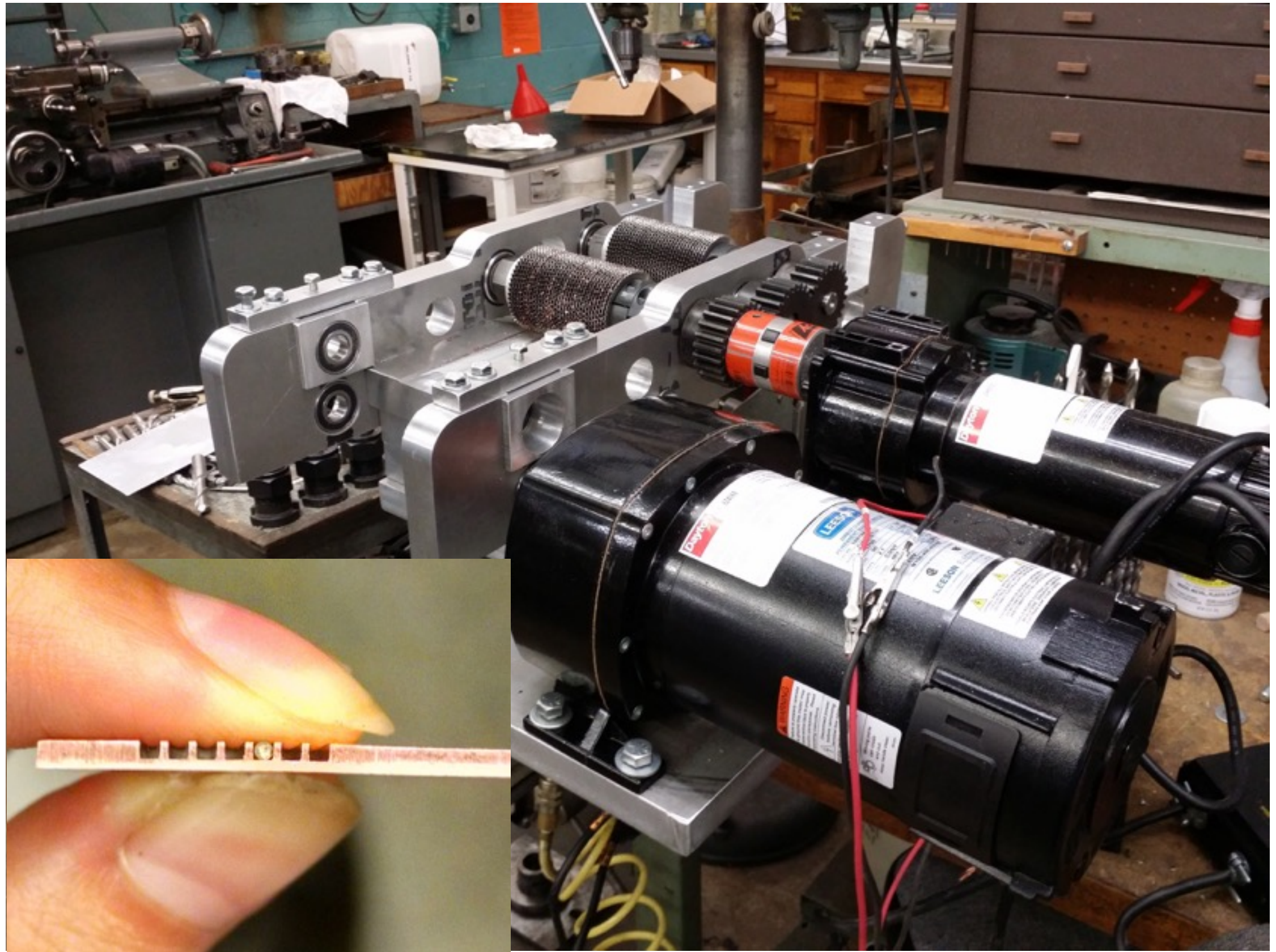
Figure 8: DREAM energy resolutions.



$$/E \approx 32\% / \sqrt{E}$$

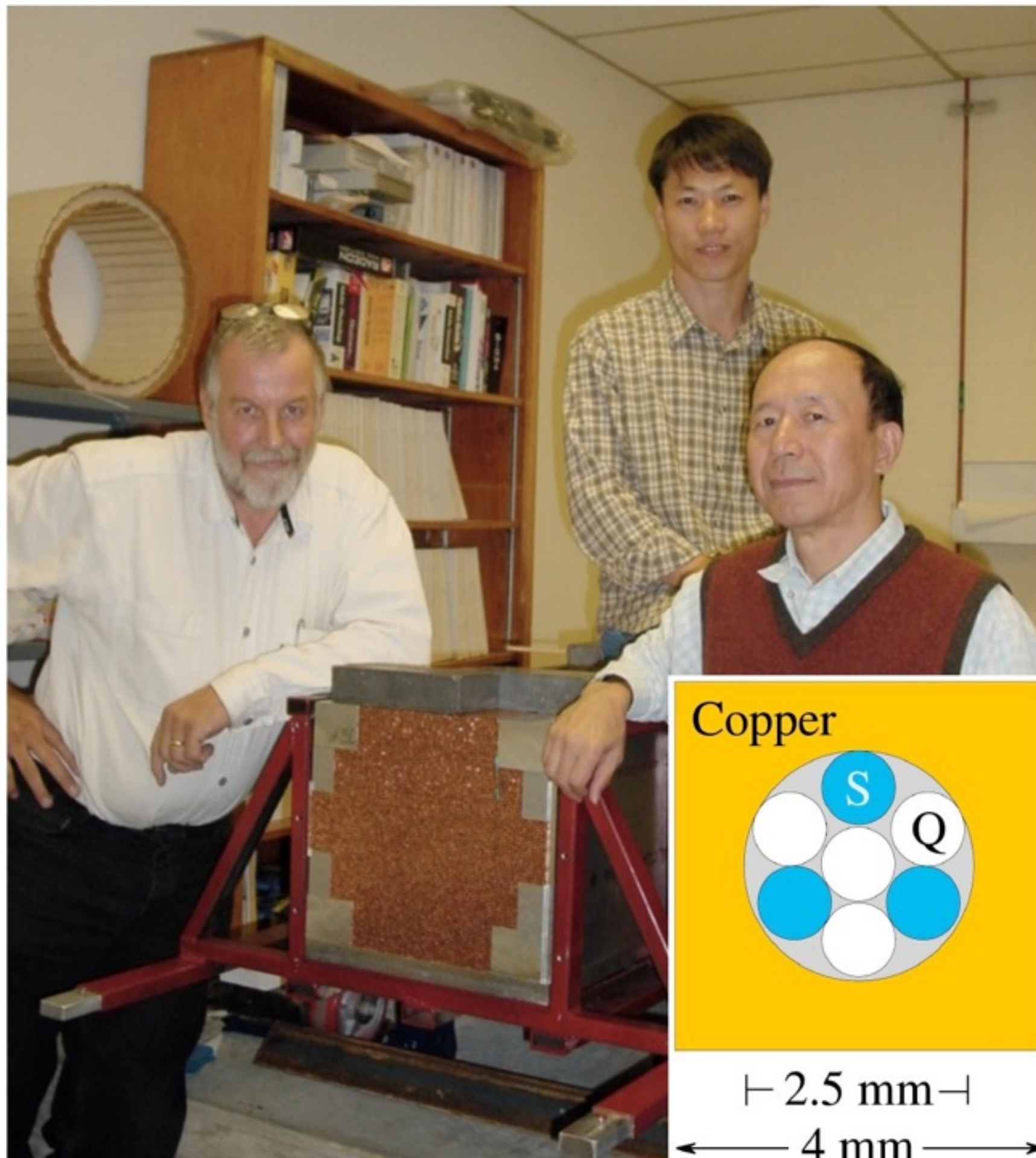
Figure 9: RD52 energy resolution.

Produce our own copper absorber plates: load with fibers (try square fibers)

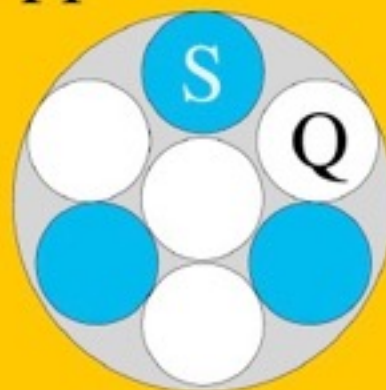




DREAM module,  
“proof-of-principle”

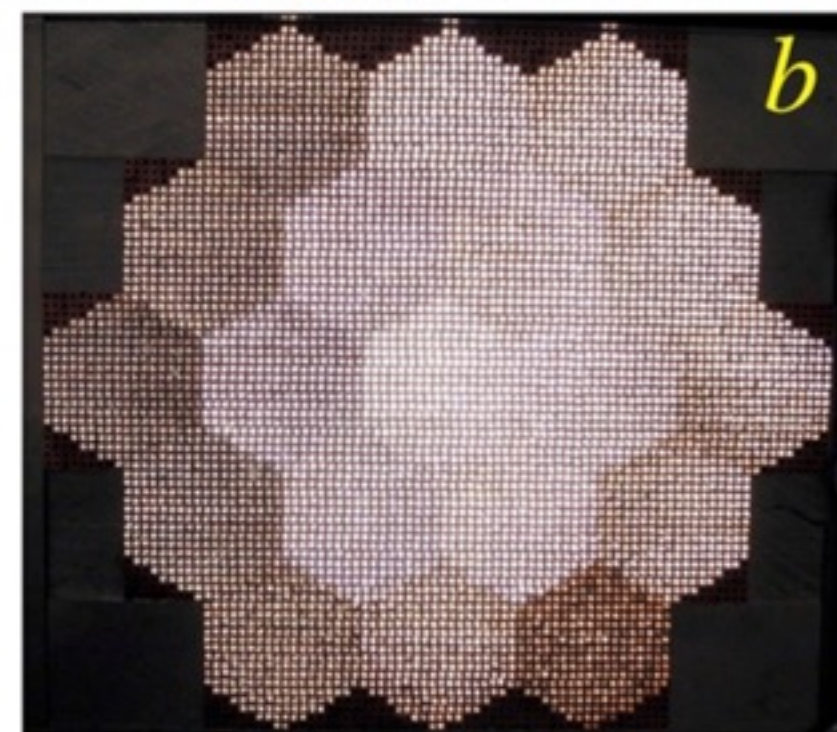


Copper



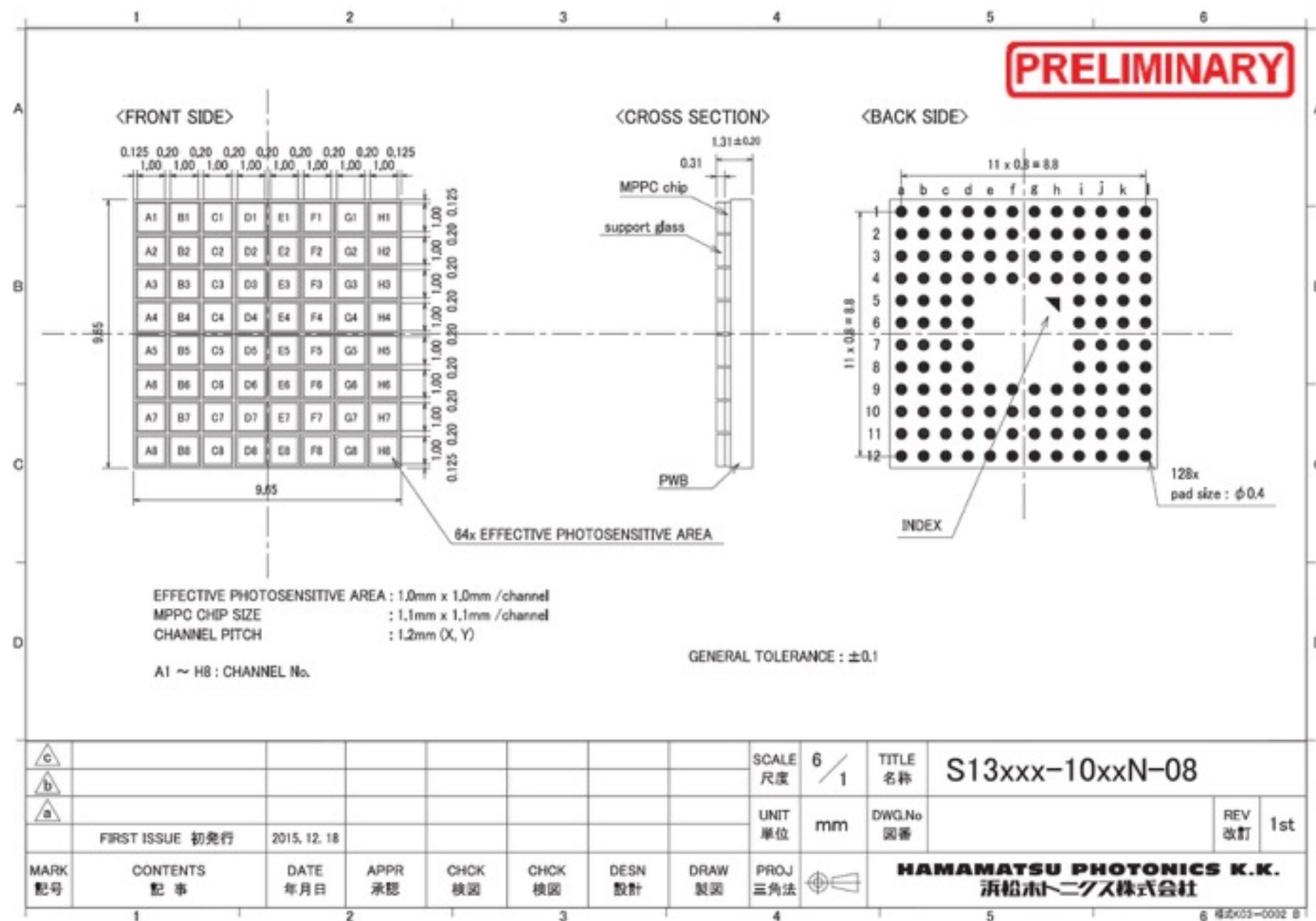
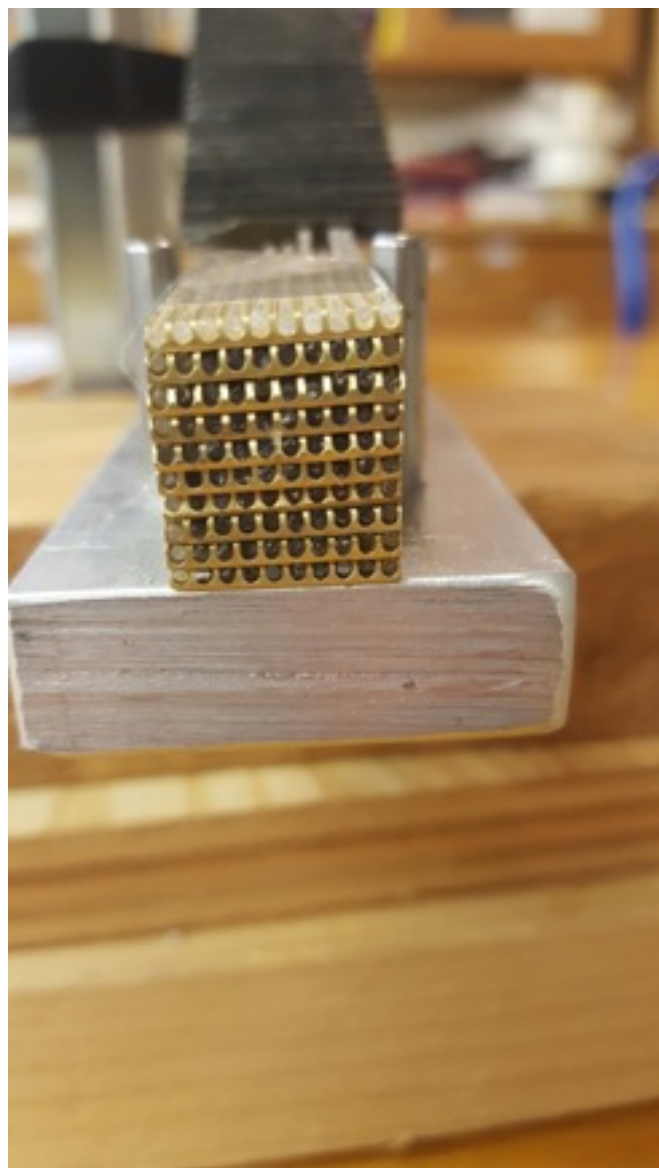
┌ 2.5 mm ┐

← 4 mm →





We will test one fiber per SiPM pixel: the ultimate in transverse granularity

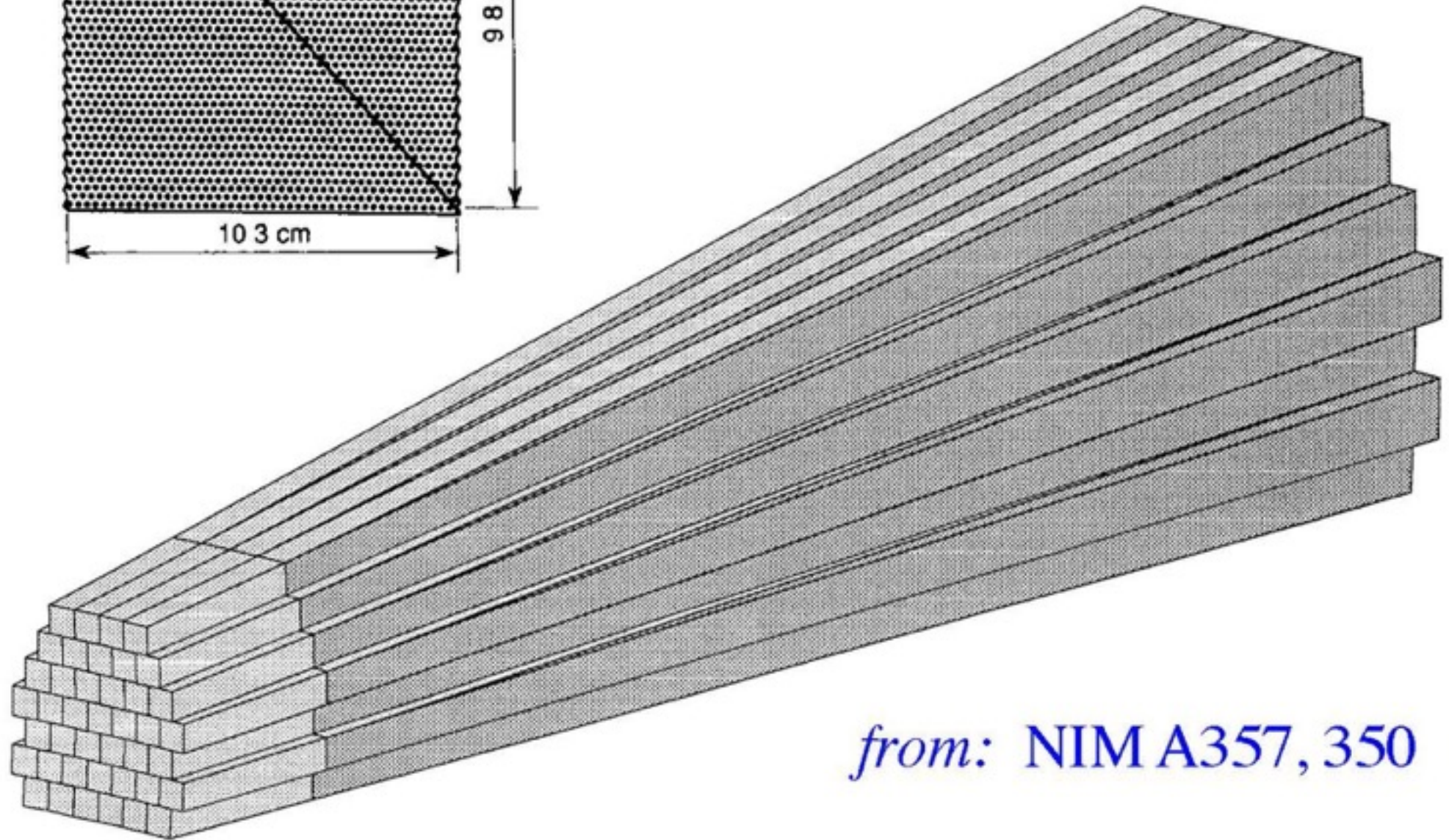
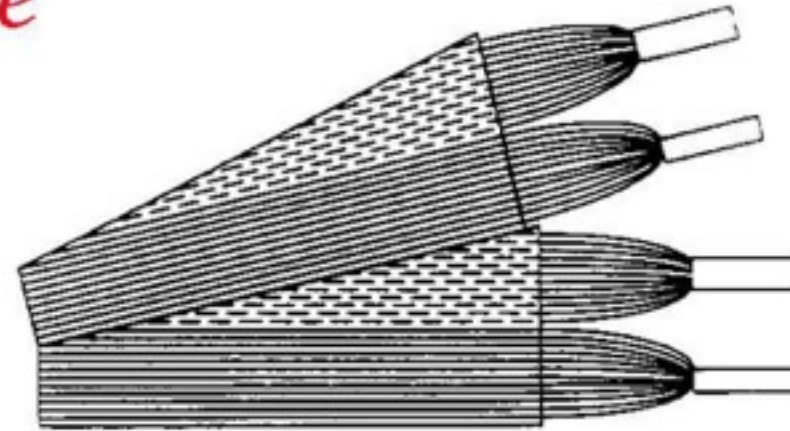
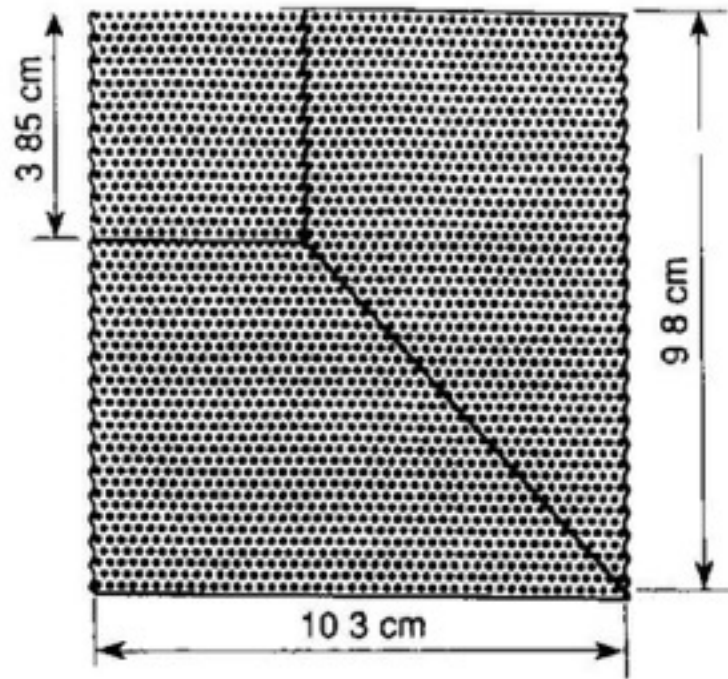


The CAEN DRS4 at 5 GHz gives us 4 cm longitudinal segmentation: one physical channel!



We did this in GEANT, now we just have to do it in copper.

## *Projective structure*



*from: NIM A357, 350*

# “Unification of experimental resolutions” near 2% for all the partons of the Standard Model

This is a scientific goal worthy of the next big collider

Scalar (spin=0)	Fermions (spin = $\frac{1}{2}\hbar$ )			Bosons (spin = $1\hbar$ )		
“inertia maker”	2.55 MeV/c <sup>2</sup> <b>u</b> <sup>+2/3</sup> “up”	1.27 GeV/c <sup>2</sup> <b>c</b> <sup>+2/3</sup> “charm”	171.3 GeV/c <sup>2</sup> <b>t</b> <sup>+2/3</sup> “top”	weak force	electro- magnetic force(QED)	strong color force(QCD)
125 GeV/c <sup>2</sup> <b>H</b> <sup>0</sup> “Higgs”	5.04 MeV/c <sup>2</sup> <b>d</b> <sup>-1/3</sup> “down”	0.105 GeV/c <sup>2</sup> <b>s</b> <sup>-1/3</sup> “strange”	4.201 GeV/c <sup>2</sup> <b>b</b> <sup>-1/3</sup> “bottom”	weak charge	electric charge	color charge 0 (exactly)
	0.511 MeV/c <sup>2</sup> <b>e</b> <sup>-</sup> “electron”	0.106 GeV/c <sup>2</sup> <b>μ</b> <sup>-</sup> “muon”	1.777 GeV/c <sup>2</sup> <b>τ</b> <sup>-</sup> “tau”	91.19 GeV/c <sup>2</sup> <b>Z</b> <sup>0</sup> “Z boson”	0 (exactly) <b>γ</b> <sup>0</sup> “photon”	<b>g</b> <sup>0</sup> “gluon”
	1 meV/c <sup>2</sup> <b>ν</b> <sub>e</sub> <sup>0</sup> “e neutrino”	8.8 meV/c <sup>2</sup> <b>ν</b> <sub>μ</sub> <sup>0</sup> “μ neutrino”	50 meV/c <sup>2</sup> <b>ν</b> <sub>τ</sub> <sup>0</sup> “τ neutrino”	80.40 GeV/c <sup>2</sup> <b>W</b> <sup>±</sup> “W boson”		
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>			
	Generations of quarks and leptons			Boson force carriers		



We would be most happy for you at IHEP and Tsinghua University to join RD52 as major participants in this interesting instrumentation program.

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## 2012 IEEE RADIATION INSTRUMENTATION OUTSTANDING ACHIEVEMENT AWARD October 30, 2012

Dear colleagues,

I would like to thank all of you who have sent me your congratulations with the IEEE Outstanding Achievement award I will receive at the NSS symposium two months from now. I know that some of you have actually been instrumental in achieving that the award committee came to this decision,

and I would like to thank those colleagues in particular.

I have never been someone who is interested in pursuing personal glory for my work, but I must admit that it was a very nice surprise to discover that my colleagues appreciate it to such an extent that they put in the effort that led to this result.

I also want to say that, even though this is a personal award, I consider it very much a recognition for the work we have done together over the years on projects such as SPACAL and RD52. Without the work done by the very talented and committed collaborators I have had the pleasure working with over the years, this award would not be justified. So please consider this

also first and foremost a sign of appreciation of the scientific community for the things we have accomplished TOGETHER.

I am looking forward to our continuing collaboration in the context of RD52.  
Kind regards, and all the best

Richard



“Dual-Readout Calorimetry for High Quality Energy Measurement”

*Goal is a fundamental understanding of hadronic calorimetry and the achievement of 1-2% energy resolution at high energy.*

1. Build 4-6 tons of Cu-fiber Pisa-like modules
  - \* reduce leakage fluctuations down to  $\sim 1\%$ .
  - \* pay close attention to optics
2. Reduce fiber antenna by using SiMs directly onto fibers
  - \* reduces backgrounds
  - \* OK in magnetic field
3. Build and test “projective” fiber modules
  - \* buildable large detector

Thank you for your attention.