



IAU Symposium 331



## ***SN 1987A, 30 years later***

Cosmic Rays and Nuclei from Supernovae and their aftermaths

FEBRUARY 20-24, 2017

Saint-Gilles, La Réunion Island, France

### **TOPICS:**

- Latest evolutionary stages of massive stars
- Stellar progenitors and diversity in Supernovae
- SN 1987A, thirty years later
- Explosion mechanisms and nucleosynthesis
- Particle acceleration and origin of cosmic rays
- Multi-wavelength/multi-messenger data
- Prospectives with future, post-2018, instruments



# **Overview on Supernova Neutrinos**

**Shun Zhou**  
**IHEP, Beijing**

**NNN16, Beijing , 2016-11-03**

# Galactic SN 1054

Distance: 6500 light years (2 kpc)  
Center: Neutron Star (R~30 km)  
Progenitor: M ~ 10 solar masses

Red: Optical (Hubble)  
Blue: X-Ray (Chandra)

凡十一日没三年三月乙巳出東南方大中祥符四年正月丁丑見南斗魁前天禧五年四月丙辰出軒轅前星西北大如桃遠行經軒轅太星入太微垣掩右執法犯次將歷屏星西北凡七十五日入濁沒明道元年六月乙巳出東北方近濁有芒彗至丁巳凡十三日沒至和元年五月己丑出天闕東南可數寸歲餘稍沒熙寧二年六月丙辰出箕度中至七月丁卯犯箕乃散三年十一月丁未出天囷元祐六年十一月辛亥出參度中犯掩側星壬子犯九游星十二月癸酉入奎至七年三月辛亥乃散紹興八年五月守婁三百五十六宋史志卷九全金

Two things fill the mind with ever new and increasing admiration and awe, the more often and steadily we reflect upon them: **the starry heavens above me and the moral law within me.**

— Immanuel Kant, Critique of Practical Reason

# Outline

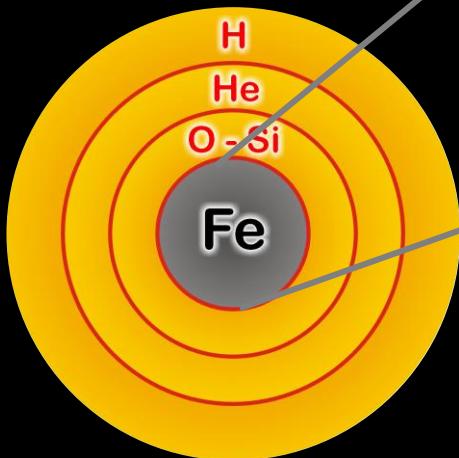
- Introduction
- SN Neutrino Detection
- SN Flavor Conversions
- Physics Opportunities
- Summary and Outlook



I. Kant (1724 – 1804)

# Stellar Collapse and SN Explosion

late-time evolution  
of a massive star  
 $M \gtrsim 6-8 M_{\odot}$



© G. Raffelt

Degenerate iron core:

$$\rho \approx 10^9 \text{ g cm}^{-3}$$

$$T \approx 10^{10} \text{ K}$$

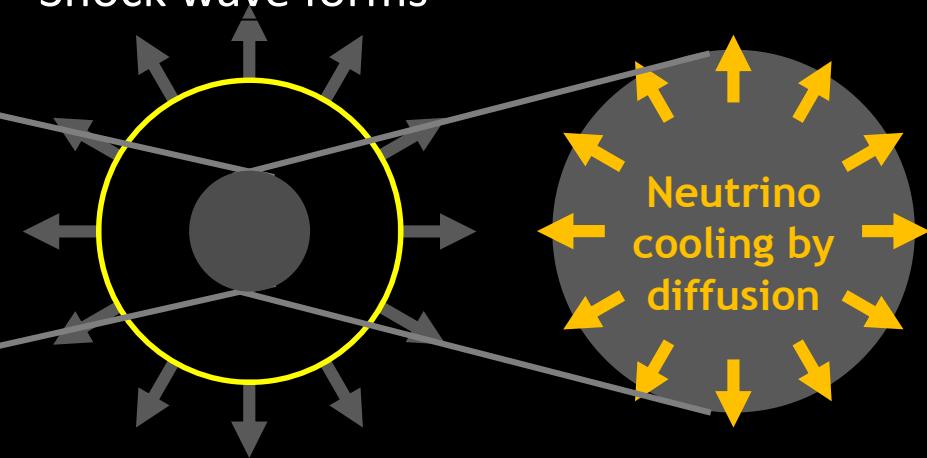
$$M_{\text{Fe}} \approx 1.5 M_{\text{sun}}$$

$$R_{\text{Fe}} \approx 8000 \text{ km}$$

Grav. collapse of  
degenerate core

Bounce at  $\rho_{\text{nuc}}$   
Shock wave forms

$$\rho_{\text{nuc}} = 3 \times 10^{14} \text{ g cm}^{-3}$$

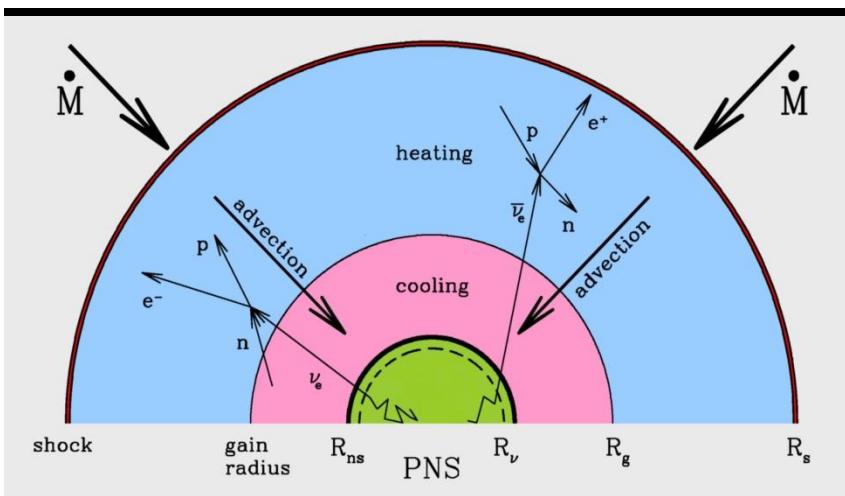
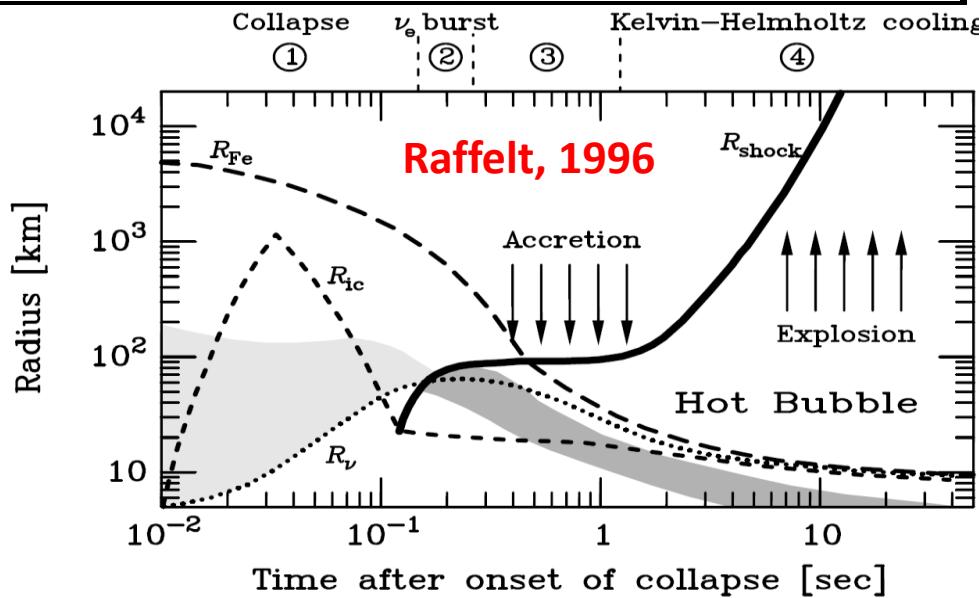
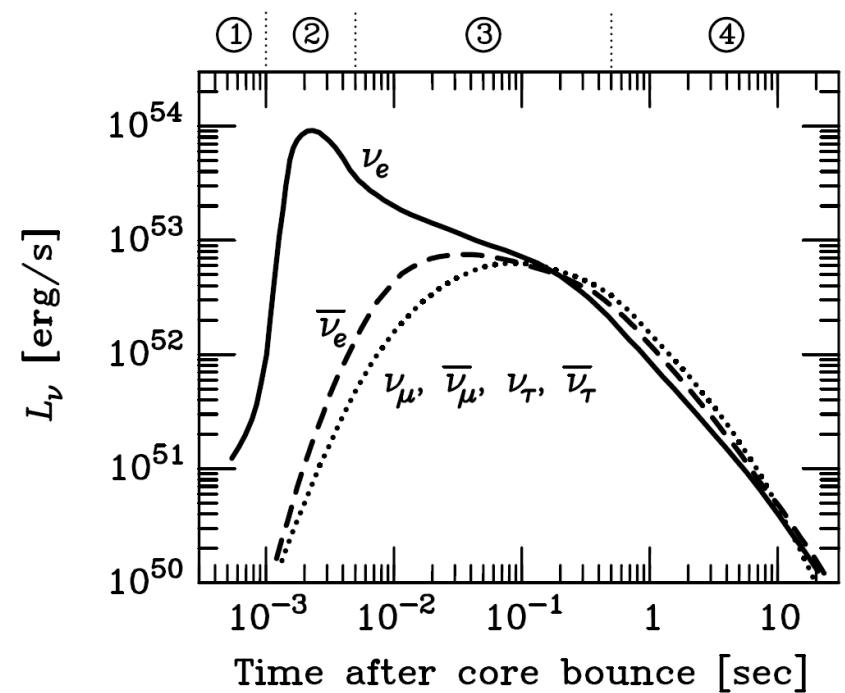
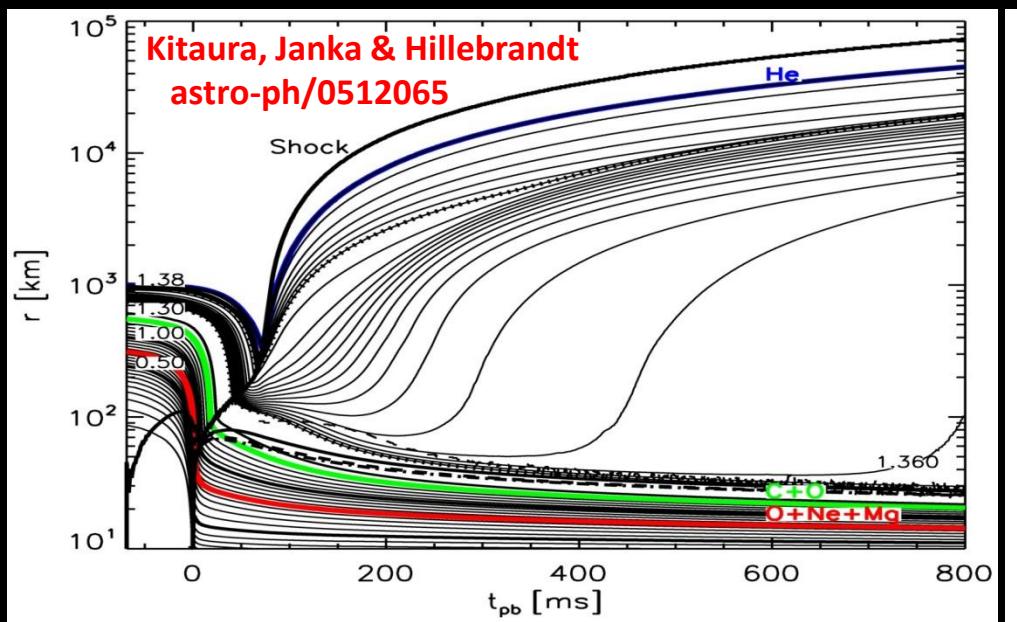


Gravitational binding energy

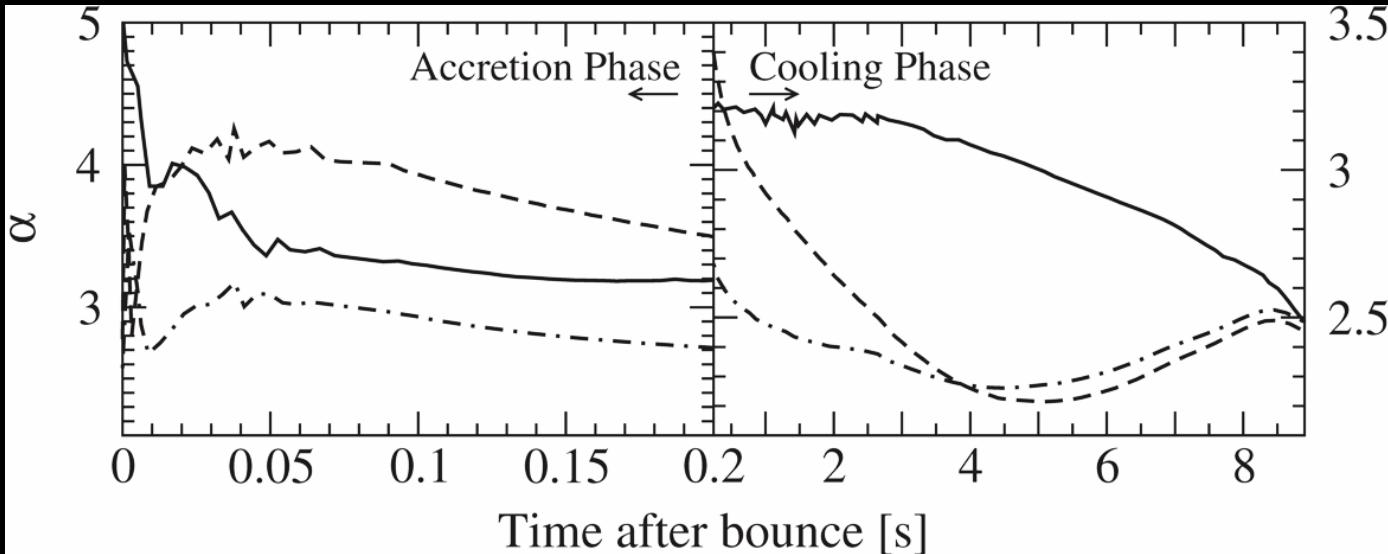
$$E_b \approx 3 \times 10^{53} \text{ erg} \approx 17\% M_{\text{SUN}} c^2$$

This shows up as  
99% Neutrinos  
1% Kinetic energy of explosion  
(1% of this into cosmic rays)  
0.01% Photons, outshine host galaxy

# Supernova Neutrinos: theoretical predictions



# Supernova Neutrinos: theoretical predictions

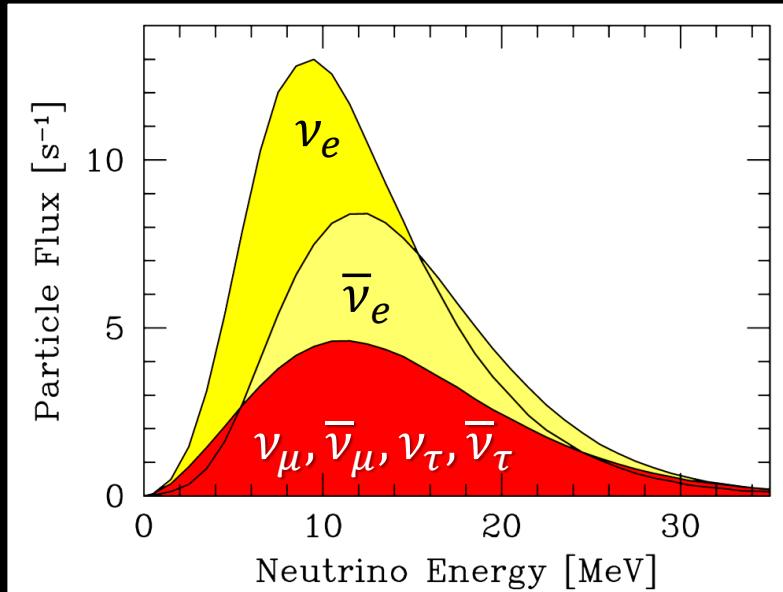


Hüdepohl et al.  
(Garching Group),  
arXiv:0912.0260

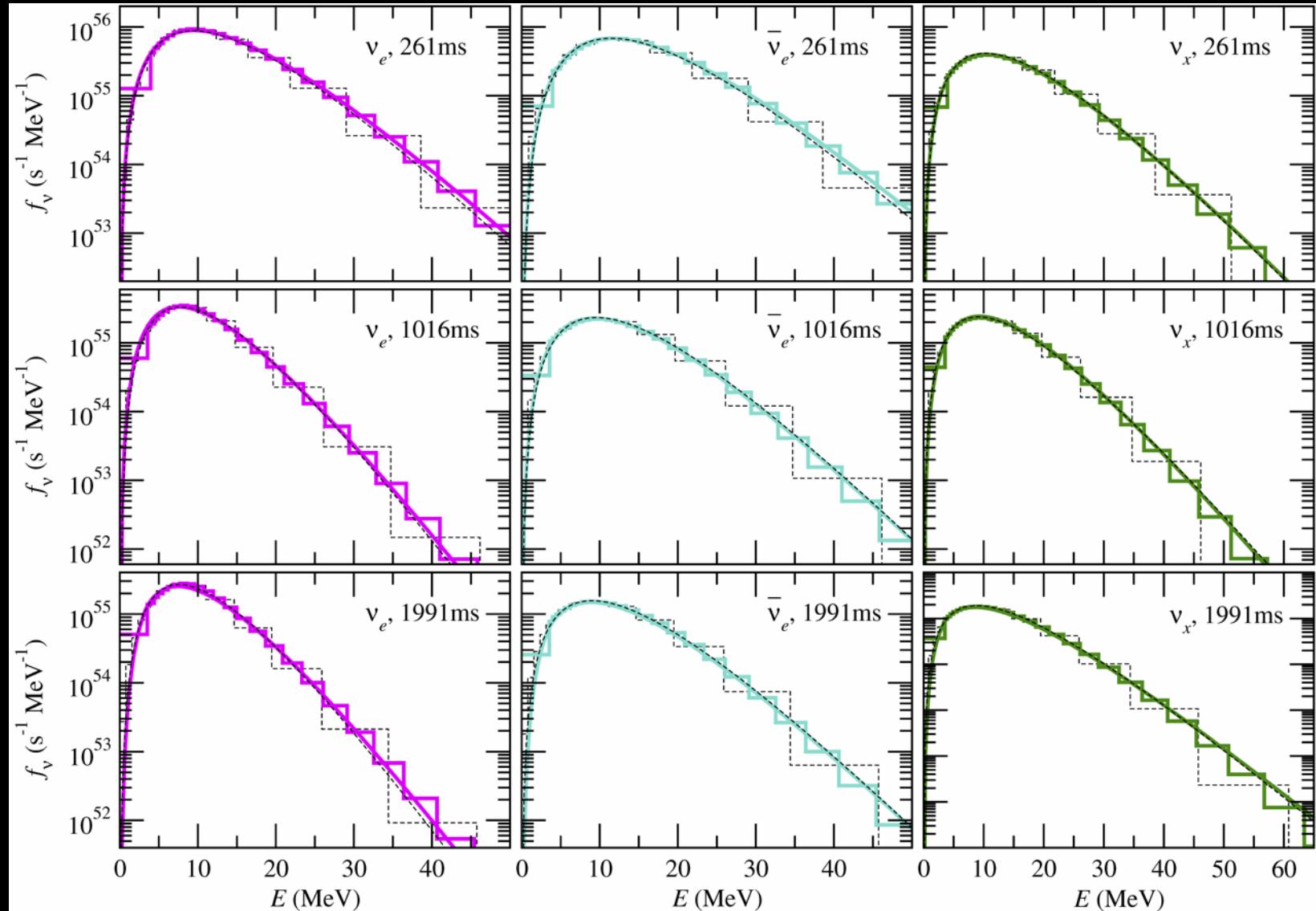
1. Nearly thermal neutrino spectra
2. Neutrino spectra represented by Gamma distribution (alpha-fit)

$$F(E) \propto E^\alpha e^{-(\alpha+1)E/\bar{E}}$$

3. Fermi-Dirac distribution with an effective degeneracy parameter



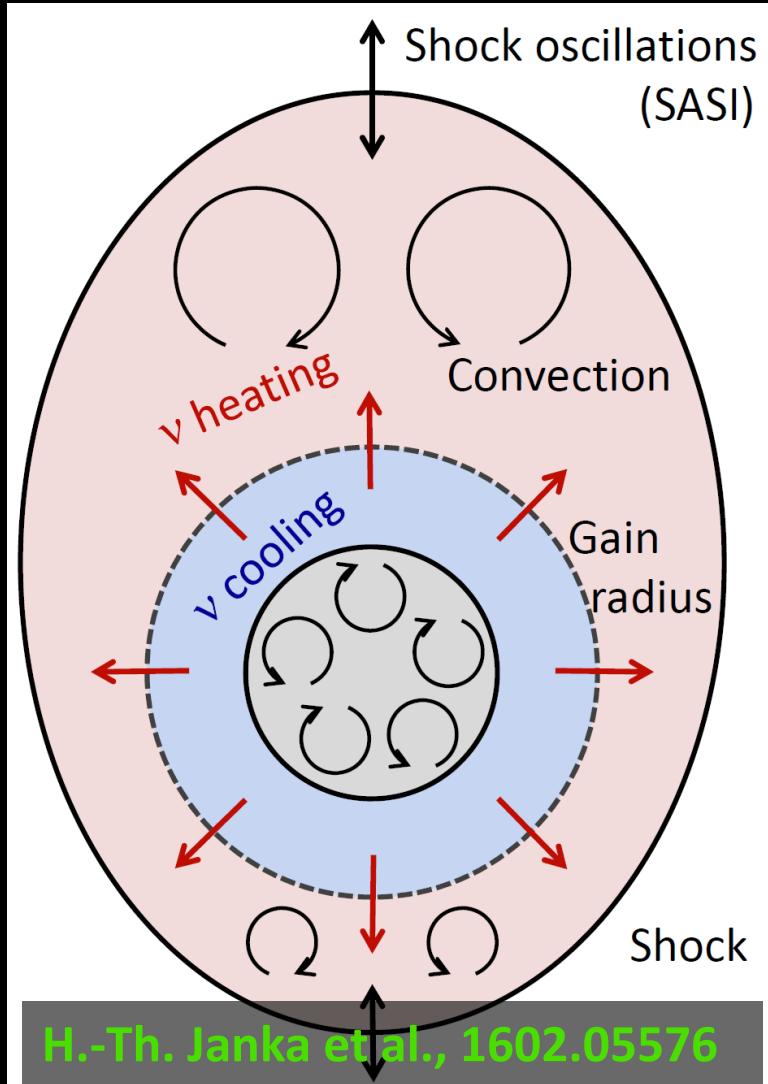
# Supernova Neutrinos: theoretical predictions



High-resolution alpha-fit to simulations, Tamborra et al., arXiv:1211.3920

## ■ Explosion Mechanism: Neutrino-driven Explosion

- The prompt shock halted at 150 km, by disintegrating heavy nuclei
- Neutrinos deposit their energies via interaction with matter; 1 % neutrino energy leads to successful explosion
- Simulations in 1D & 2D for different progenitor masses observe explosions
- 3D simulation has just begun; but no clear picture (resolution, progenitors)

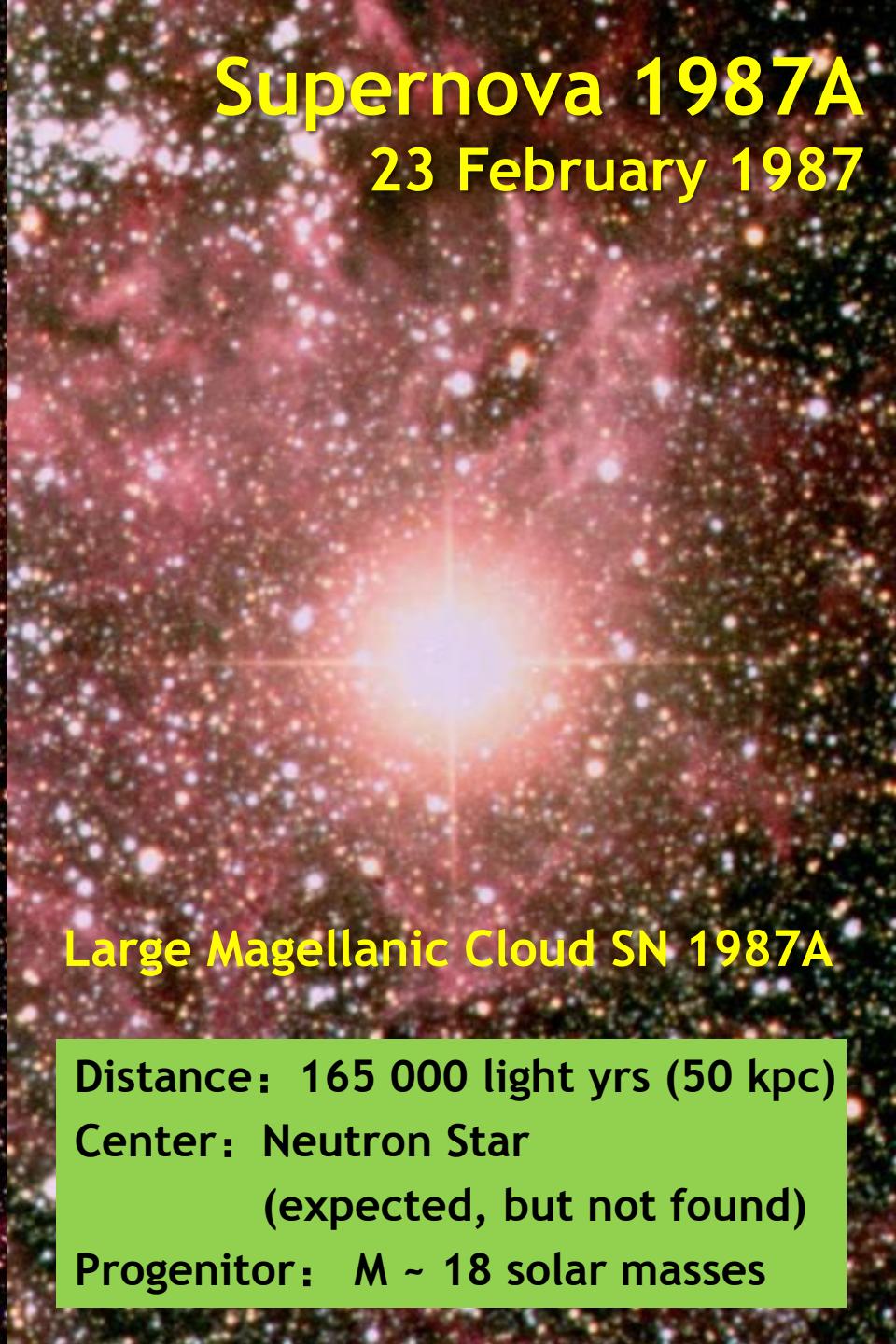


H.-Th. Janka et al., 1602.05576

# Sanduleak - 69 202



# Supernova 1987A 23 February 1987



## Large Magellanic Cloud SN 1987A

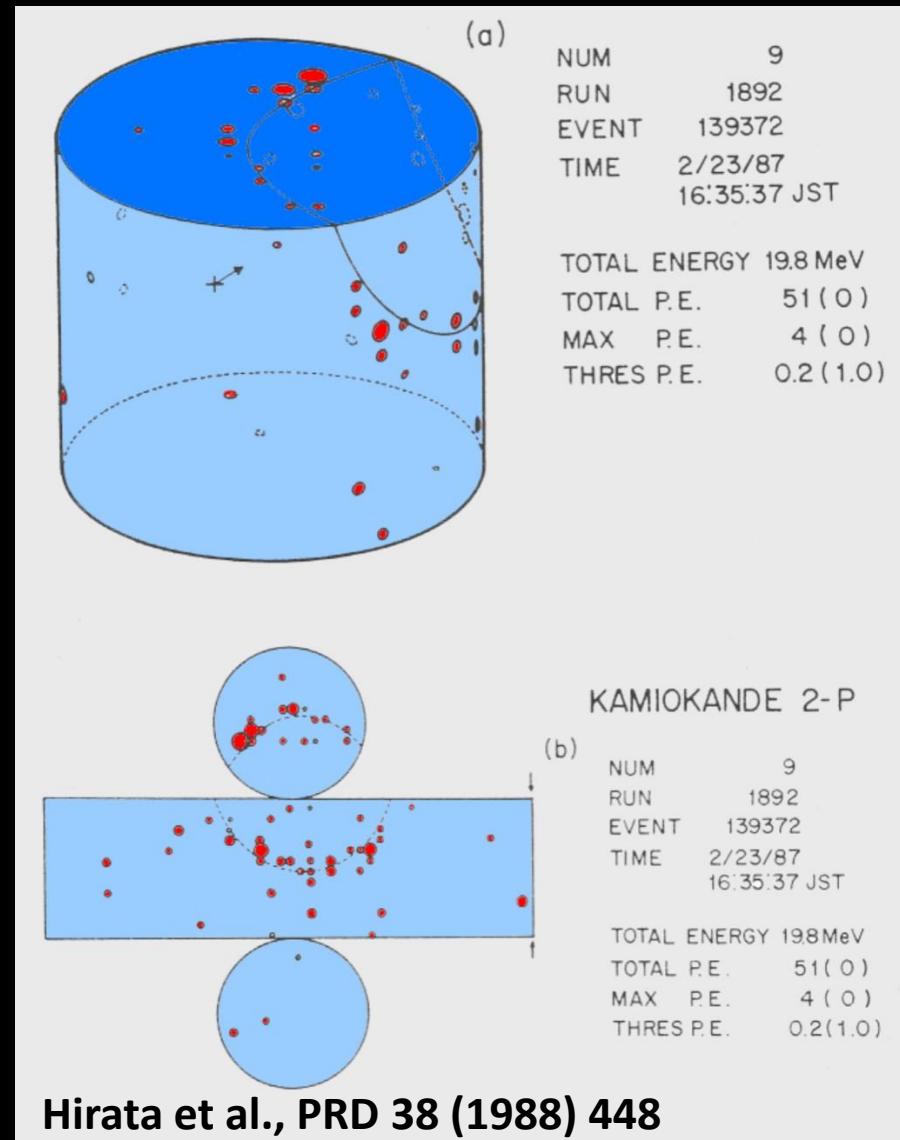
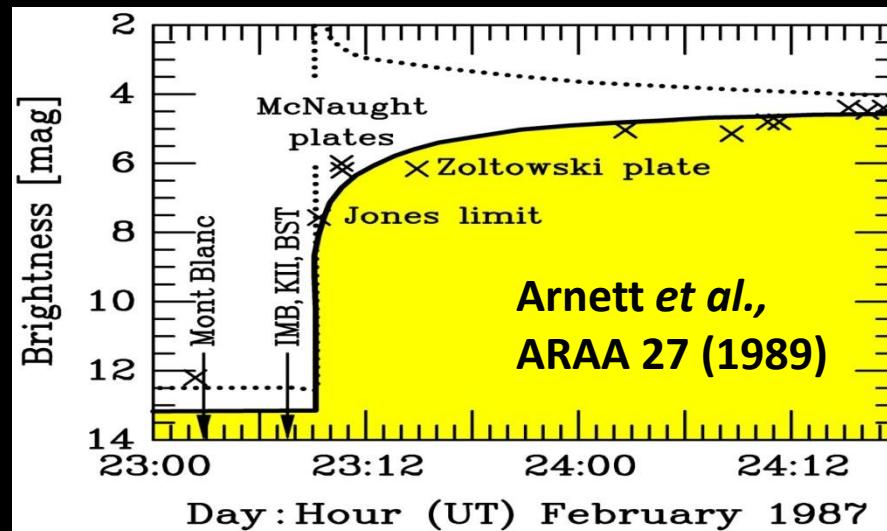
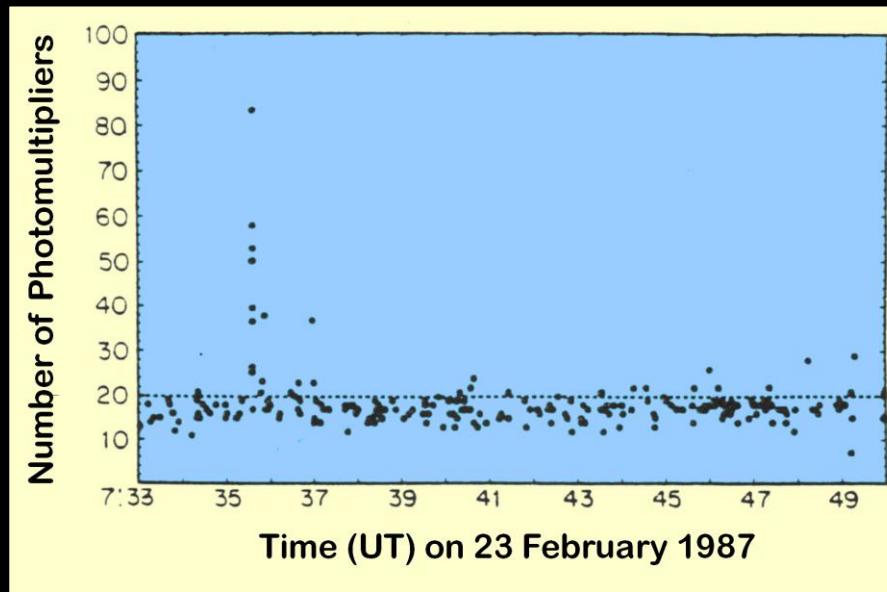
Distance: 165 000 light yrs (50 kpc)

Center: Neutron Star

(expected, but not found)

Progenitor:  $M \sim 18$  solar masses

# Supernova Neutrinos: SN 1987A



# Supernova Neutrinos: SN 1987A

## Kamiokande-II (Japan):

- Water Cherenkov (2,140 ton)
- Clock Uncertainty  $\pm 1$  min

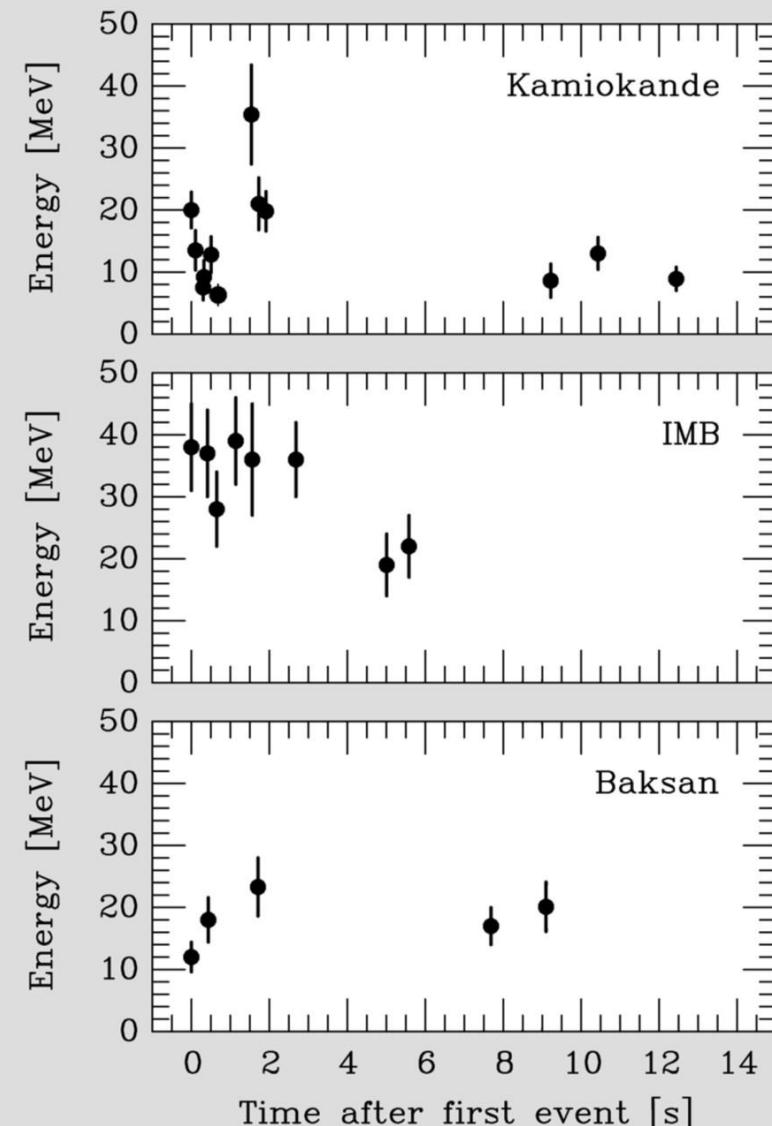
## Irvine-Michigan-Brookhaven (US):

- Water Cherenkov (6,800 ton)
- Clock Uncertainty  $\pm 50$  ms

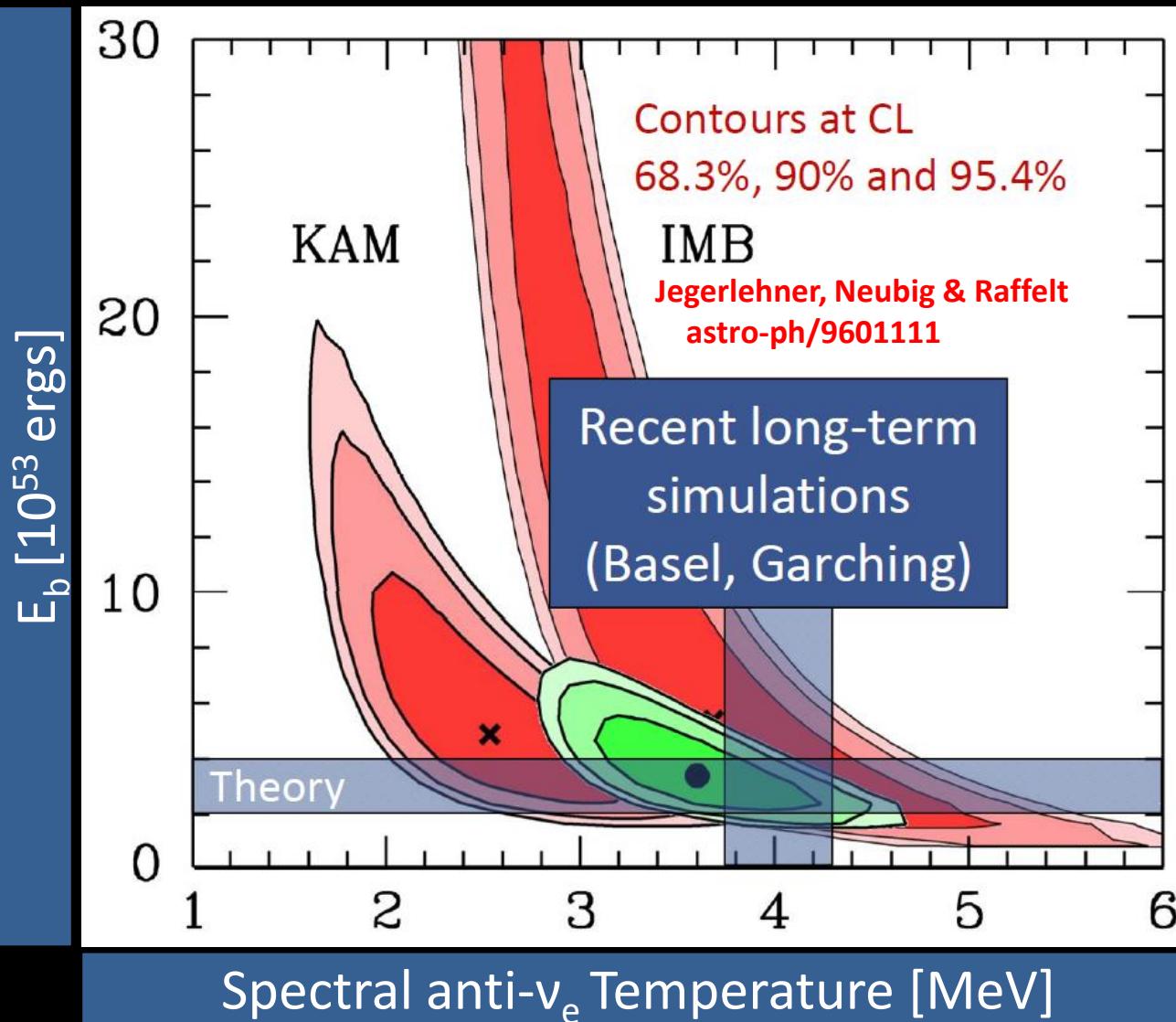
## Baksan LST (Soviet Union):

- Liquid Scintillator (200 ton)
- Clock Uncertainty +2/-54 s

Mont Blanc: 5 events, 5 h earlier



# Supernova Neutrinos: SN 1987A



Assumptions:

- Thermal
- Equipart.

Conclusions:

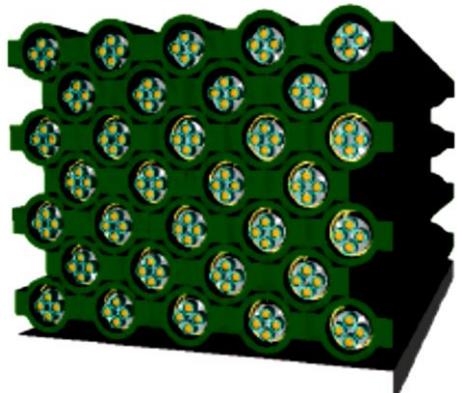
- Collapse
- Ave. Ener.
- Duration

Problems:

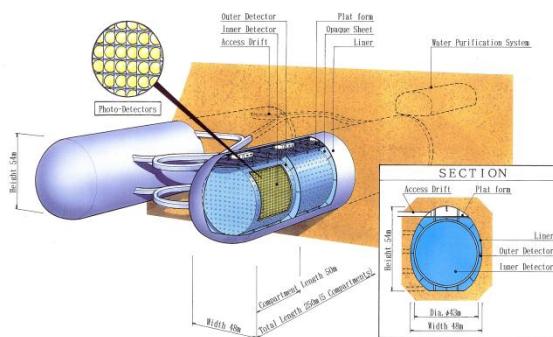
- 24 events
- by chance

# SN v Detection: present and future experiments

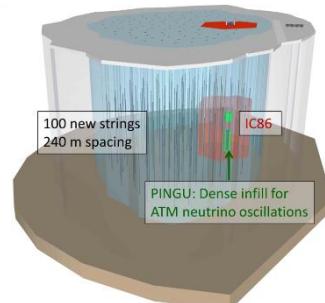
HALO@  
SNOLAB



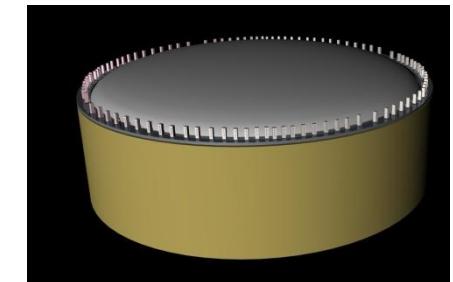
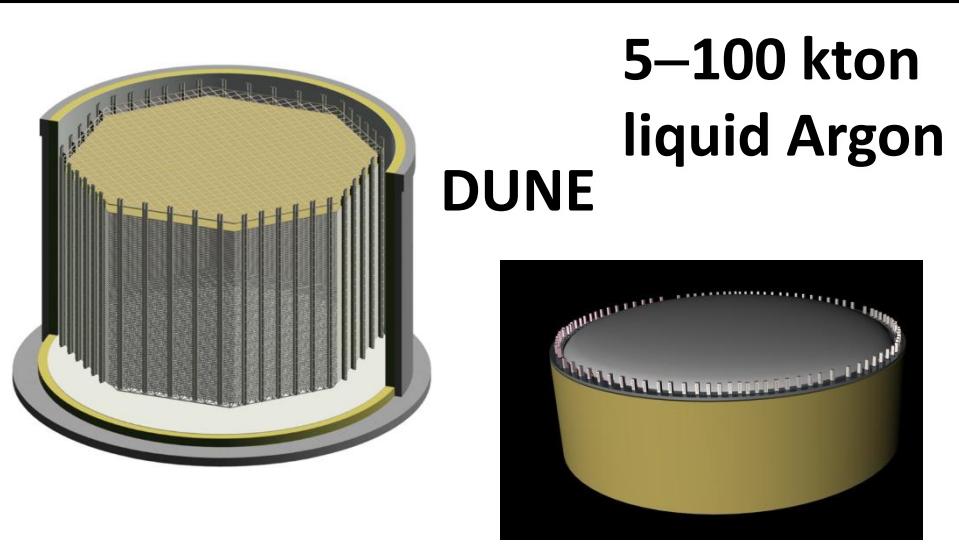
Hyper-K



IceCube-Gen2



Mton-scale ice  
or water Cherenkov



## DETECTOR LAYOUT

**Cavern**  
height: 115 m, diameter: 50 m  
shielding from cosmic rays: ~4,000 m.w

**Muon Veto**  
plastic scintillator panels (on top)  
Water Cherenkov Defector  
1,500 phototubes  
100 kt of water  
reduction of fast  
neutron background

**Steel Cylinder**  
height: 110 m, diameter: 30 m  
70 kt of organic liquid  
13,500 phototubes

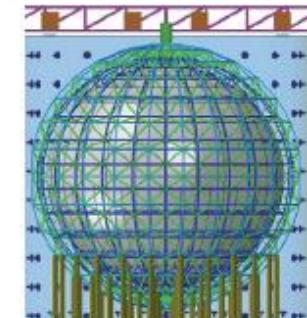
**Buffer**  
thickness: 2 m  
non-scintillating organic liquid  
shielding external radioactivity

**Nylon Vessel**  
parting buffer liquid  
from liquid scintillator

**Target Volume**  
height: 100 m, diameter: 26 m  
50 kt of liquid scintillator  
vertical design is favourable in terms of rock pressure and buoyancy forces

100 kton scale  
scintillator

LENA



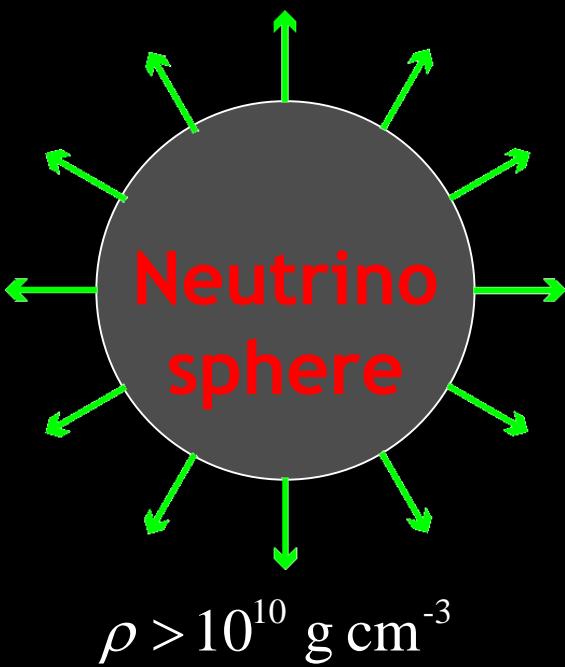
JUNO

# SN v Detection: present and future experiments

| Detector         | Type                           | Mass (kt) | Location   | Events             | Flavors        | Status      |
|------------------|--------------------------------|-----------|------------|--------------------|----------------|-------------|
| Super-Kamiokande | H <sub>2</sub> O               | 32        | Japan      | 7,000              | $\bar{\nu}_e$  | Running     |
| LVD              | C <sub>n</sub> H <sub>2n</sub> | 1         | Italy      | 300                | $\bar{\nu}_e$  | Running     |
| KamLAND          | C <sub>n</sub> H <sub>2n</sub> | 1         | Japan      | 300                | $\bar{\nu}_e$  | Running     |
| Borexino         | C <sub>n</sub> H <sub>2n</sub> | 0.3       | Italy      | 100                | $\bar{\nu}_e$  | Running     |
| IceCube          | Long string                    | (600)     | South Pole | (10 <sup>6</sup> ) | $\bar{\nu}_e$  | Running     |
| Baksan           | C <sub>n</sub> H <sub>2n</sub> | 0.33      | Russia     | 50                 | $\bar{\nu}_e$  | Running     |
| MiniBooNE*       | C <sub>n</sub> H <sub>2n</sub> | 0.7       | USA        | 200                | $\bar{\nu}_e$  | (Running)   |
| HALO             | Pb                             | 0.08      | Canada     | 30                 | $\nu_e, \nu_x$ | Running     |
| Daya Bay         | C <sub>n</sub> H <sub>2n</sub> | 0.33      | China      | 100                | $\bar{\nu}_e$  | Running     |
| NO $\nu$ A*      | C <sub>n</sub> H <sub>2n</sub> | 15        | USA        | 4,000              | $\bar{\nu}_e$  | Turning on  |
| SNO+             | C <sub>n</sub> H <sub>2n</sub> | 0.8       | Canada     | 300                | $\bar{\nu}_e$  | Near future |
| MicroBooNE*      | Ar                             | 0.17      | USA        | 17                 | $\nu_e$        | Near future |
| DUNE             | Ar                             | 34        | USA        | 3,000              | $\nu_e$        | Proposed    |
| Hyper-Kamiokande | H <sub>2</sub> O               | 560       | Japan      | 110,000            | $\bar{\nu}_e$  | Proposed    |
| JUNO             | C <sub>n</sub> H <sub>2n</sub> | 20        | China      | 6000               | $\bar{\nu}_e$  | Proposed    |
| RENO-50          | C <sub>n</sub> H <sub>2n</sub> | 18        | Korea      | 5400               | $\bar{\nu}_e$  | Proposed    |
| LENA             | C <sub>n</sub> H <sub>2n</sub> | 50        | Europe     | 15,000             | $\bar{\nu}_e$  | Proposed    |
| PINGU            | Long string                    | (600)     | South Pole | (10 <sup>6</sup> ) | $\bar{\nu}_e$  | Proposed    |

# Supernova Neutrinos: Elementary Particle Physics

## ■ Flavor Conversion & Matter Effects: Source — Prop. — Detector



**High Matter Density:**

- High interaction rate
- Flavors conserved

$$i \frac{\partial}{\partial t} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = H \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

Effective mixing Hamiltonian

$$H = \frac{M^2}{2E} + \sqrt{2}G_F \begin{pmatrix} N_e - \frac{N_n}{2} & 0 \\ 0 & -\frac{N_n}{2} \end{pmatrix} + \sqrt{2}G_F \begin{pmatrix} N_{\nu_e} \\ N_{\nu_\mu | \nu_e} \end{pmatrix} \begin{pmatrix} N_{\nu_e} \\ N_{\nu_\mu} \end{pmatrix}$$

Vacuum      MSW      Collective

Neutrinos in a medium suffer flavor-dependent refraction

$v \rightarrow \begin{array}{c} w \\ f \end{array} \rightarrow v$

$$V_{\text{weak}} = \sqrt{2}G_F \times \begin{cases} N_e - N_n/2 & \text{for } \nu_e \\ -N_n/2 & \text{for } \nu_\mu \end{cases}$$

Typical density of Earth: 5 g/cm<sup>3</sup>

$$\Delta V_{\text{weak}} \approx 2 \times 10^{-13} \text{ eV} = 0.2 \text{ peV}$$

**Wolfenstein, 78**  
**Mikheyev&Smirnov, 85**  
**Pantaleone, 92**

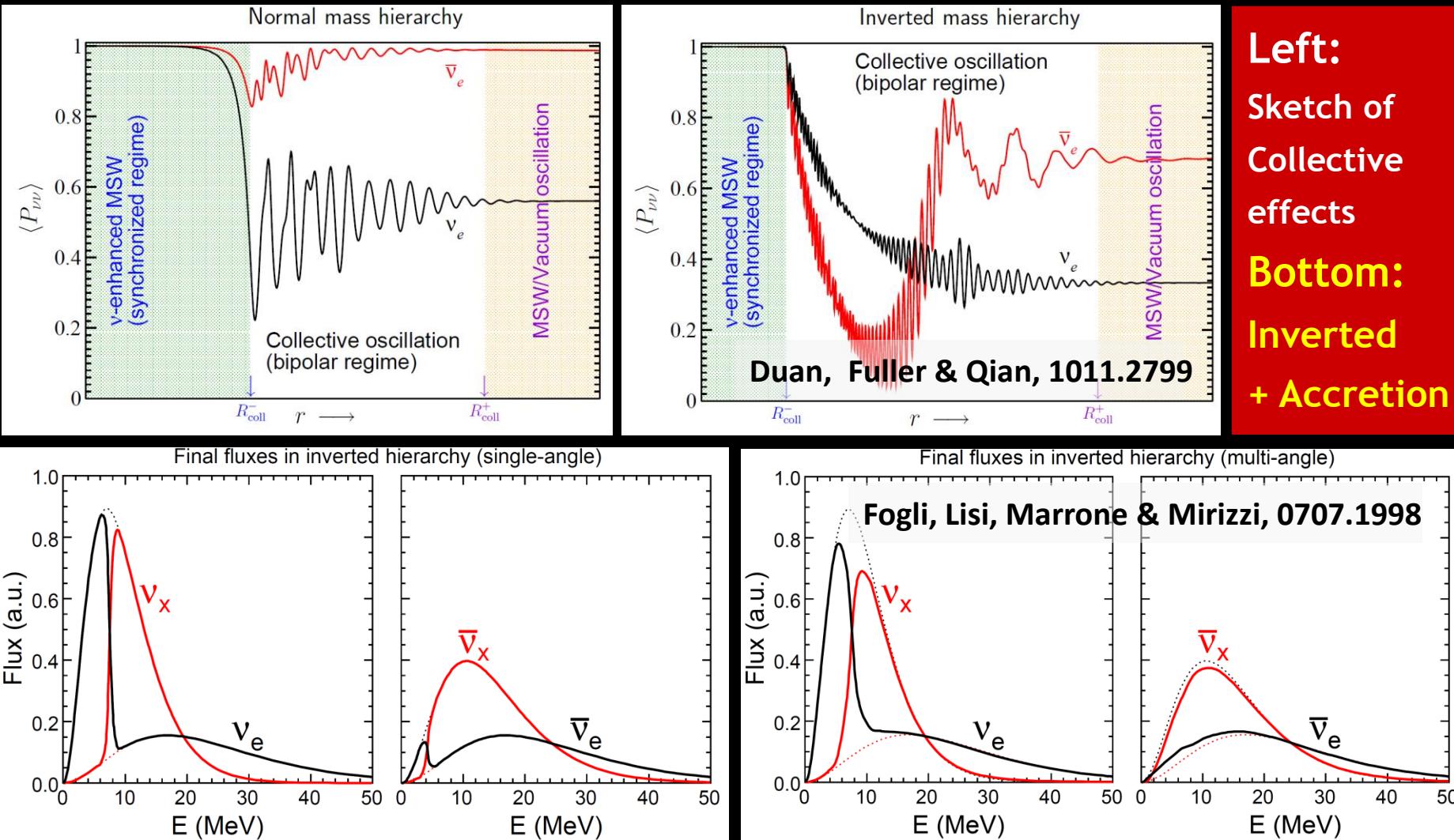
$v \rightarrow \begin{array}{c} \nu \\ z \end{array} \rightarrow v$

**Matter Effects:**

- Envelope
- Shock wave
- Earth matter
- Mass ordering

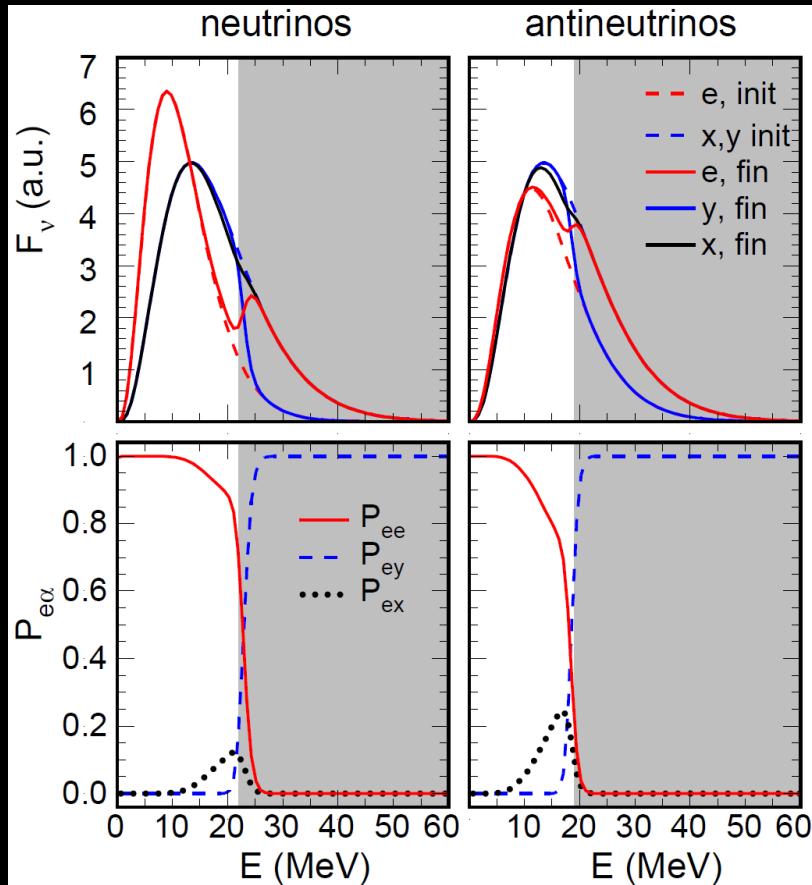
# Supernova Neutrinos: Elementary Particle Physics

## ■ Flavor Conversion: collective effects (accretion phase)



# Supernova Neutrinos: Elementary Particle Physics

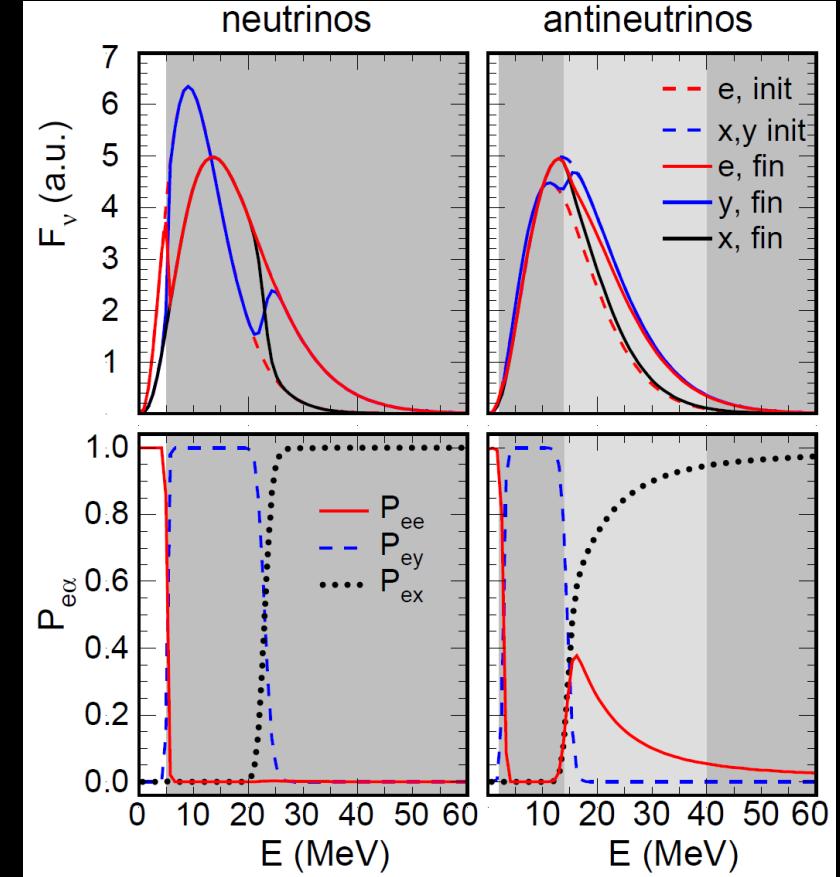
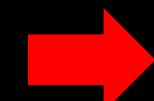
## ■ Flavor Conversion: collective effects (cooling phase)



NH



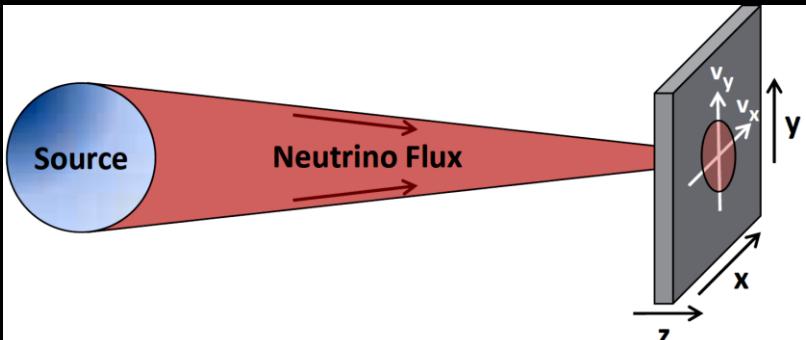
IH



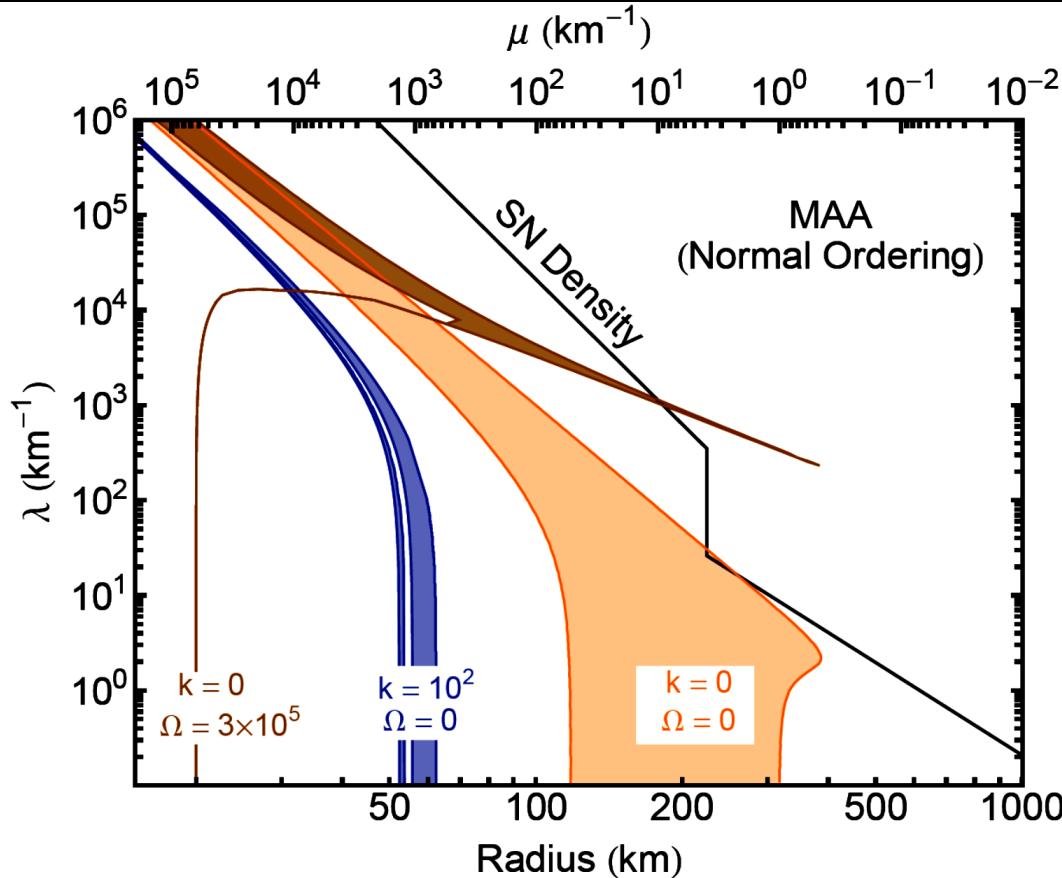
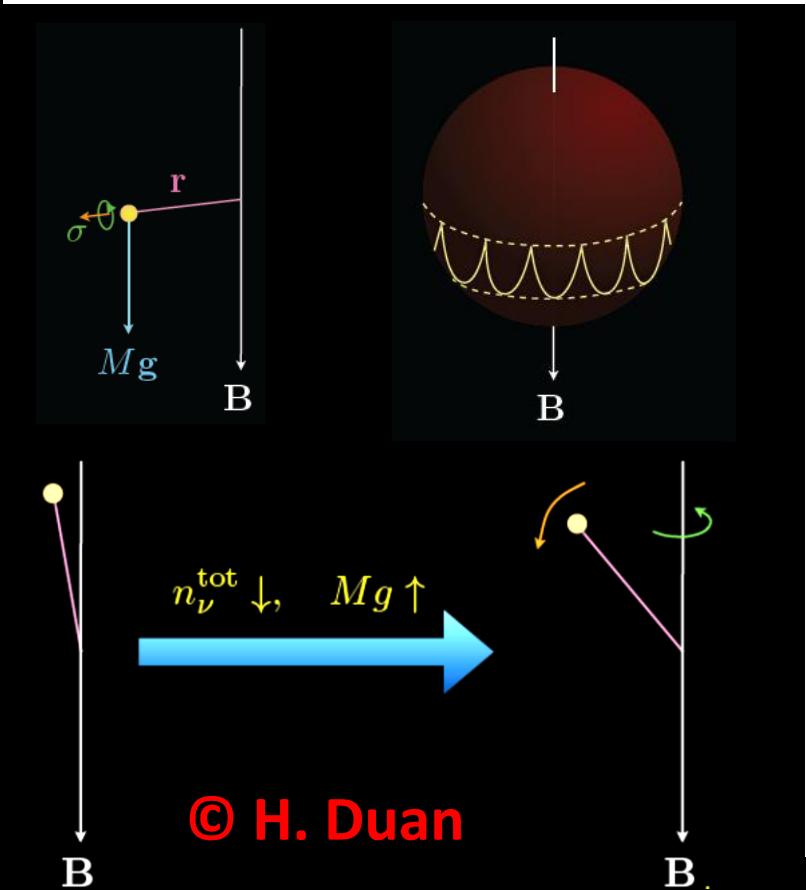
Dasgupta, Mirizzi, Tamborra&Tomàs,  
1002.2943

Note: compare between accretion  
and cooling results

# ■ Flavor Conversion: instability and symmetry breaking



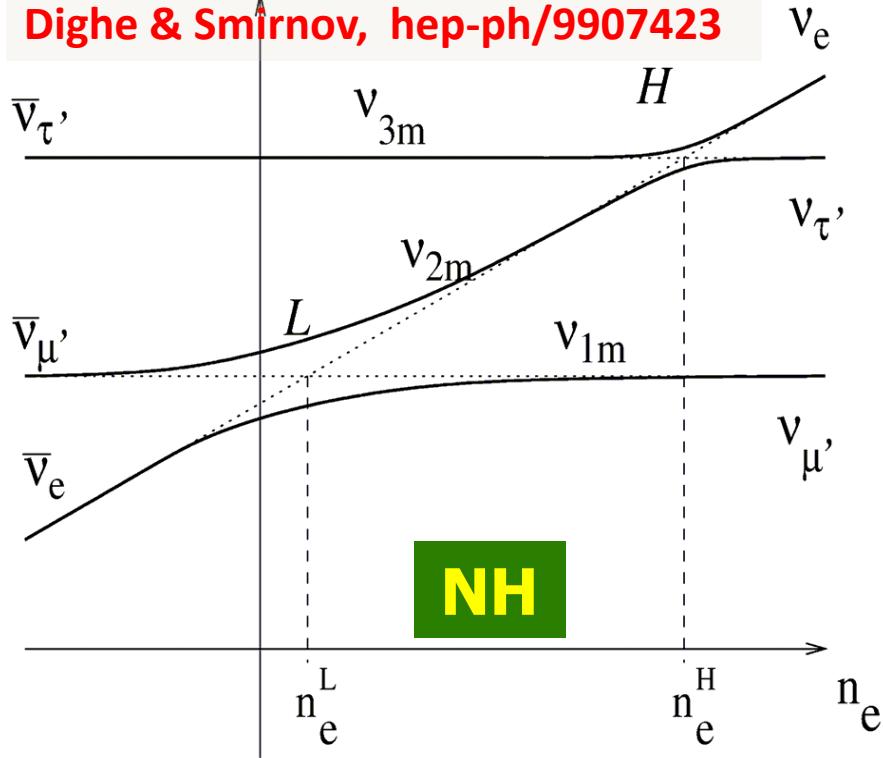
Solutions break the initial spatial symmetries:  
a recent review by  
Chakrabarty et al., arxiv:1602.02766



# Supernova Neutrinos: Elementary Particle Physics

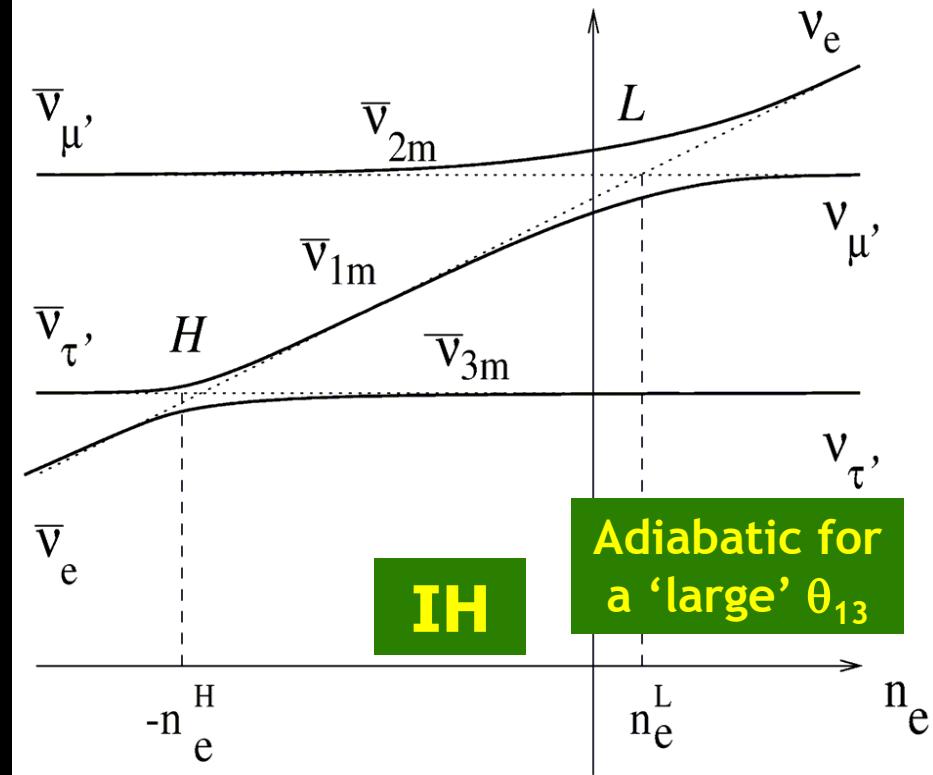
## ■ Flavor Conversion: MSW effects in the SN envelope

Dighe & Smirnov, hep-ph/9907423



L – Resonance  $(\Delta m_{21}^2, \theta_{12})$

- Res. for neutrinos
- Always adiabatic



H – Resonance  $(\Delta m_{31}^2, \theta_{13})$

- Res. for neutrinos (NH)
- Res. for antineutrinos (IH)

# Supernova Neutrinos: Elementary Particle Physics

## ■ Flavor Conversion: MSW effects in the SN envelope

$F_e^0$  for  $\nu_e$

$F_{\bar{e}}^0$  for  $\bar{\nu}_e$

$F_x^0$  for  $\nu_\mu, \bar{\nu}_\mu, \nu_\tau, \bar{\nu}_\tau$

$$F_e = p F_e^0 + (1-p) F_x^0$$

$$F_{\bar{e}} = \bar{p} F_{\bar{e}}^0 + (1-\bar{p}) F_x^0$$

$$\frac{1}{4} \sum F_x = \frac{2+p+\bar{p}}{4} F_x^0 + \frac{1-p}{4} F_e^0 + \frac{1-\bar{p}}{4} F_{\bar{e}}^0$$

Primary fluxes (+ collective)

Leaving the SN (+ Collective & MSW effects)

Dighe & Smirnov, [hep-ph/9907423](#)

Dighe, Kachelriess, Raffelt & Tomàs, [hep-ph/0311172](#)

| Case | Mass ordering | $\sin^2(2\theta_{13})$ | Survival probabilities |                                |
|------|---------------|------------------------|------------------------|--------------------------------|
|      |               |                        | $p$ (for $\nu_e$ )     | $\bar{p}$ (for $\bar{\nu}_e$ ) |
| A    | Normal        | $\gtrsim 10^{-3}$      | 0                      | $\cos^2(\theta_{12})$          |
| B    | Inverted      |                        | $\sin^2(\theta_{12})$  | 0                              |

# Supernova Neutrinos: Elementary Particle Physics

## ■ Flavor Conversion: including Earth Matter Effects

$$F_e^{\oplus} = (1 - P_{2e}) F_e^0 + P_{2e} F_x^0$$

$$F_{\bar{e}}^{\oplus} = (1 - \bar{P}_{2e}) F_{\bar{e}}^0 + \bar{P}_{2e} F_x^0$$

Dighe&Smirnov, hep-ph/9907423

$$P_{2e} = \sin^2 \theta_{12} + \sin 2\theta_{12}^m \sin(2\theta_{12}^m - 2\theta_{12}) \sin^2 \left( \frac{\Delta m_{21}^2 \sin 2\theta_{12}}{4E \sin 2\theta_{12}^m} L \right)$$

$$\bar{P}_{2e} = \sin^2 \theta_{12} + \sin 2\bar{\theta}_{12}^m \sin(2\bar{\theta}_{12}^m - 2\theta_{12}) \sin^2 \left( \frac{\Delta m_{21}^2 \sin 2\theta_{12}}{4E \sin 2\bar{\theta}_{12}^m} L \right)$$

Lunardini & Smirnov, hep-ph/0106149

for a ‘large’  $\theta_{13}$

If IH is determined from oscillation experiments,  
Earth matter effects indicate collective effects.

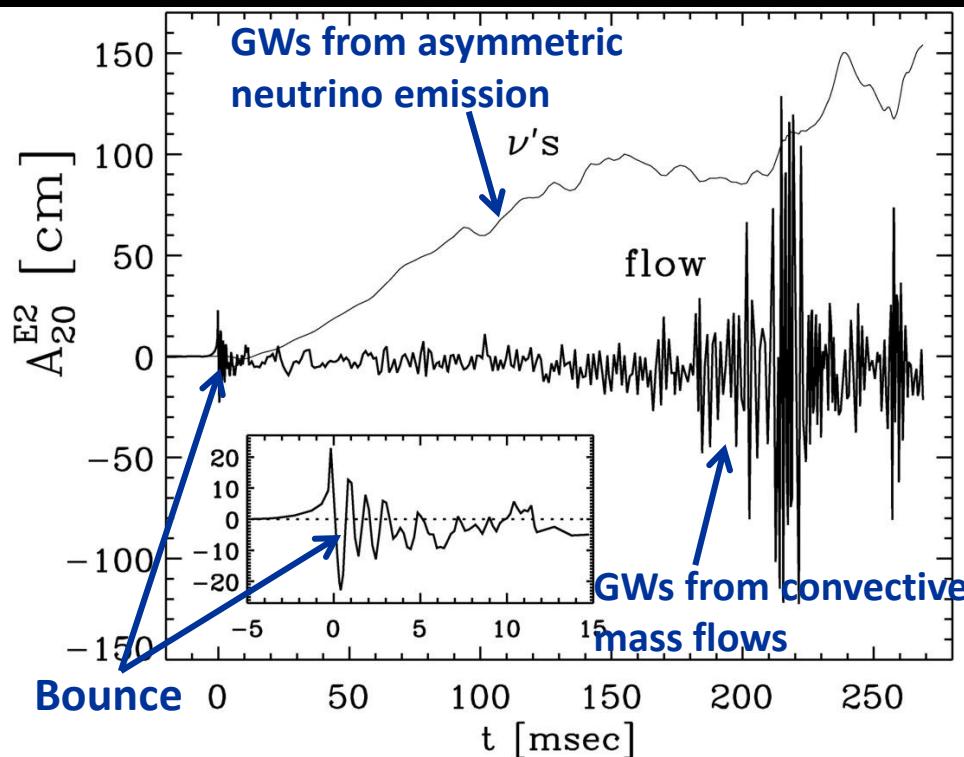
| NH:<br>Matter Effects<br>-antineutrinos | IH:<br>Matter Effects<br>- neutrinos | Collective Neutrino Oscillations (accretion phase) |              |                        |              |
|---|--------------------------------------|--|--------------|------------------------|--------------|
|   |                                      | No   | Yes          |                        |              |
| Mass Ordering                           | $\sin^2 \theta_{13}$                 | $\bar{\nu}_e$ survival                             | Earth matter | $\bar{\nu}_e$ survival | Earth matter |
| NH                                      | $\gtrsim 10^{-3}$                    | $\cos^2 \theta_{12}$                               | Yes          | $\cos^2 \theta_{12}$   | Yes          |
| IH                                      |                                      | 0  | No           |                        |              |

# Supernova Neutrinos: Astrophysics & Astronomy

## ■ Gravitational waves from SN Explosions

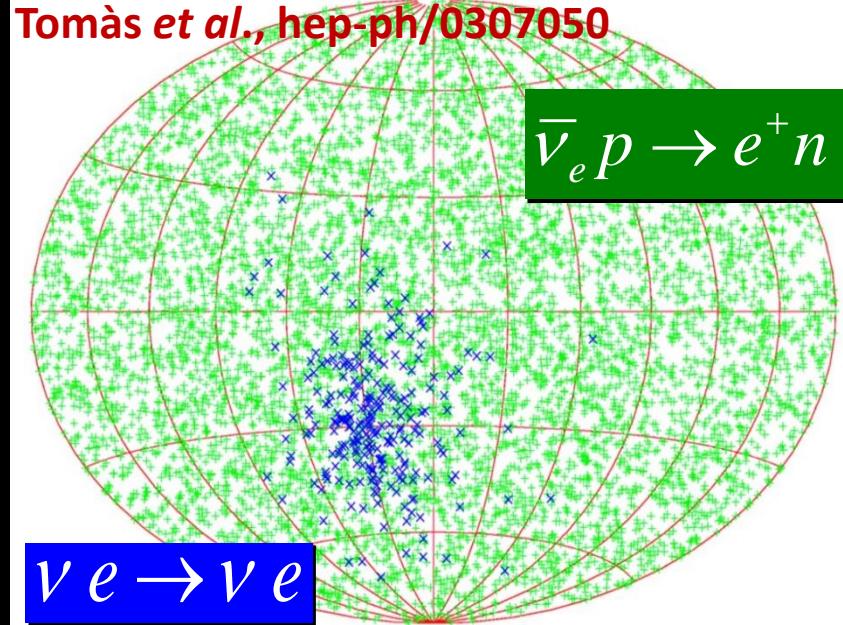
Müller, Rampp, Buras, Janka, & Shoemaker,  
[astro-ph/0309833](#)

“Towards gravitational wave signals from  
realistic core collapse supernova models”



## ■ Locate the SN via neutrinos

Tomàs et al., hep-ph/0307050

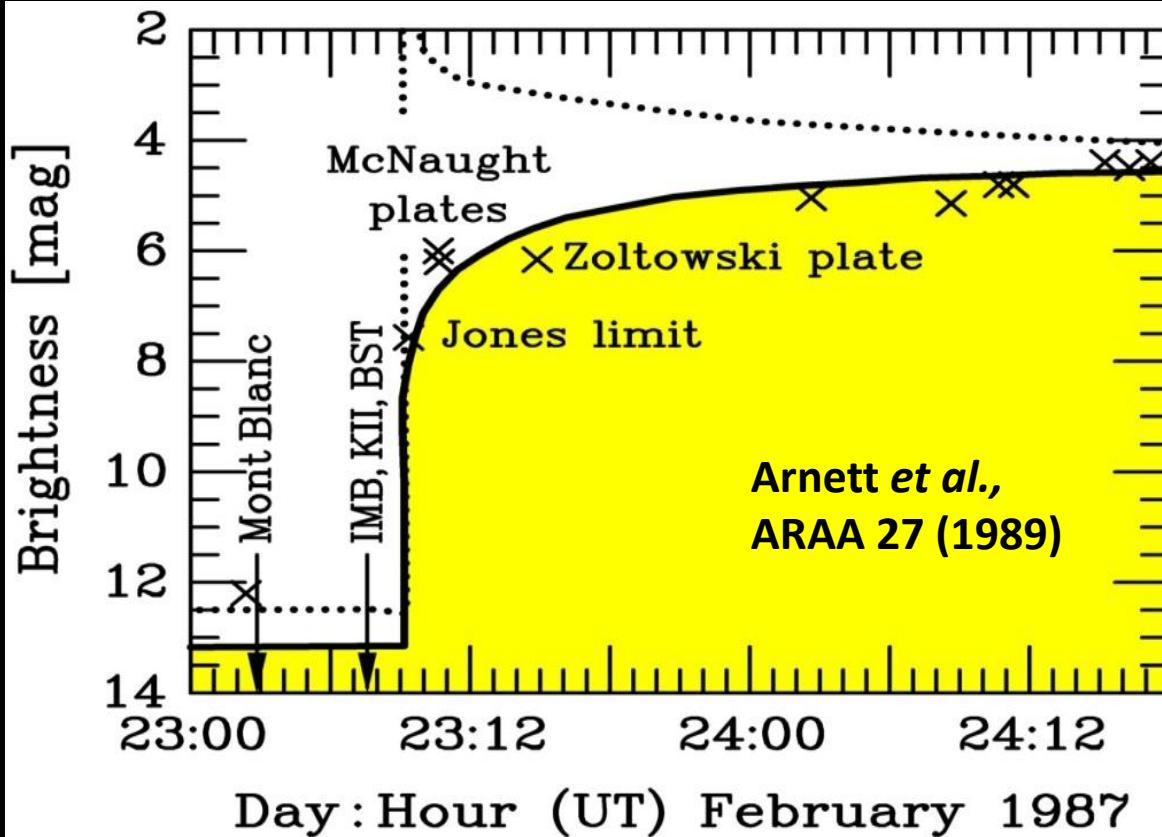


Beacom & Vogel, astro-ph/9811350

| n-tagging efficiency |      | 95% CL half-cone opening angle |
|----------------------|------|--------------------------------|
| None                 | 90 % |                                |
| 7.8°                 | 3.2° | SK                             |
| 1.4°                 | 0.6° | SK × 30                        |

# Supernova Neutrinos: Astrophysics & Astronomy

- For Optical Observations: SuperNova Early Warning System (SNEWS)



<http://snews.bnl.gov/>

Super-K

IceCube

LVD

Borexino



Daya Bay



Alert @BNL

Neutrinos arrive several hours before photons  
To alert astronomers several hours in advance

# Supernova Neutrinos: Elementary Particle Physics

## ■ Neutrino Mass Bound: Time delay of massive particles

$$\Delta t = 2.57 \text{ s} \frac{D}{50 \text{ kpc}} \left( \frac{10 \text{ MeV}}{E_\nu} \right)^2 \left( \frac{m}{10 \text{ eV}} \right)^2$$

G. Zatsepin, 1968

### Kamiokande-II data

| Event          | Time<br>[s] | Angle<br>[degree] | Energy<br>[MeV] |
|----------------|-------------|-------------------|-----------------|
| 1              | 0.000       | $18 \pm 18$       | $20.0 \pm 2.9$  |
| 2              | 0.107       | $40 \pm 27$       | $13.5 \pm 3.2$  |
| 3              | 0.303       | $108 \pm 32$      | $7.5 \pm 2.0$   |
| 4              | 0.324       | $70 \pm 30$       | $9.2 \pm 2.7$   |
| 5              | 0.507       | $135 \pm 23$      | $12.8 \pm 2.9$  |
| 6 <sup>a</sup> | 0.686       | $68 \pm 77$       | $6.3 \pm 1.7$   |
| 7              | 1.541       | $32 \pm 16$       | $35.4 \pm 8.0$  |
| 8              | 1.728       | $30 \pm 18$       | $21.0 \pm 4.2$  |
| 9              | 1.915       | $38 \pm 22$       | $19.8 \pm 3.2$  |
| 10             | 9.219       | $122 \pm 30$      | $8.6 \pm 2.7$   |
| 11             | 10.433      | $49 \pm 26$       | $13.0 \pm 2.6$  |
| 12             | 12.439      | $91 \pm 39$       | $8.9 \pm 1.9$   |

**Estimate:**  $\Delta t(E_B, m) - \Delta t(E_A, m) < t_B - t_A$

- Take the first event A: ( $t_A, E_A$ )
- Take the last event B: ( $t_B, E_B$ )

$m < 22 \text{ eV}$  Kam - II

$m < 37 \text{ eV}$  IMB

### IMB data

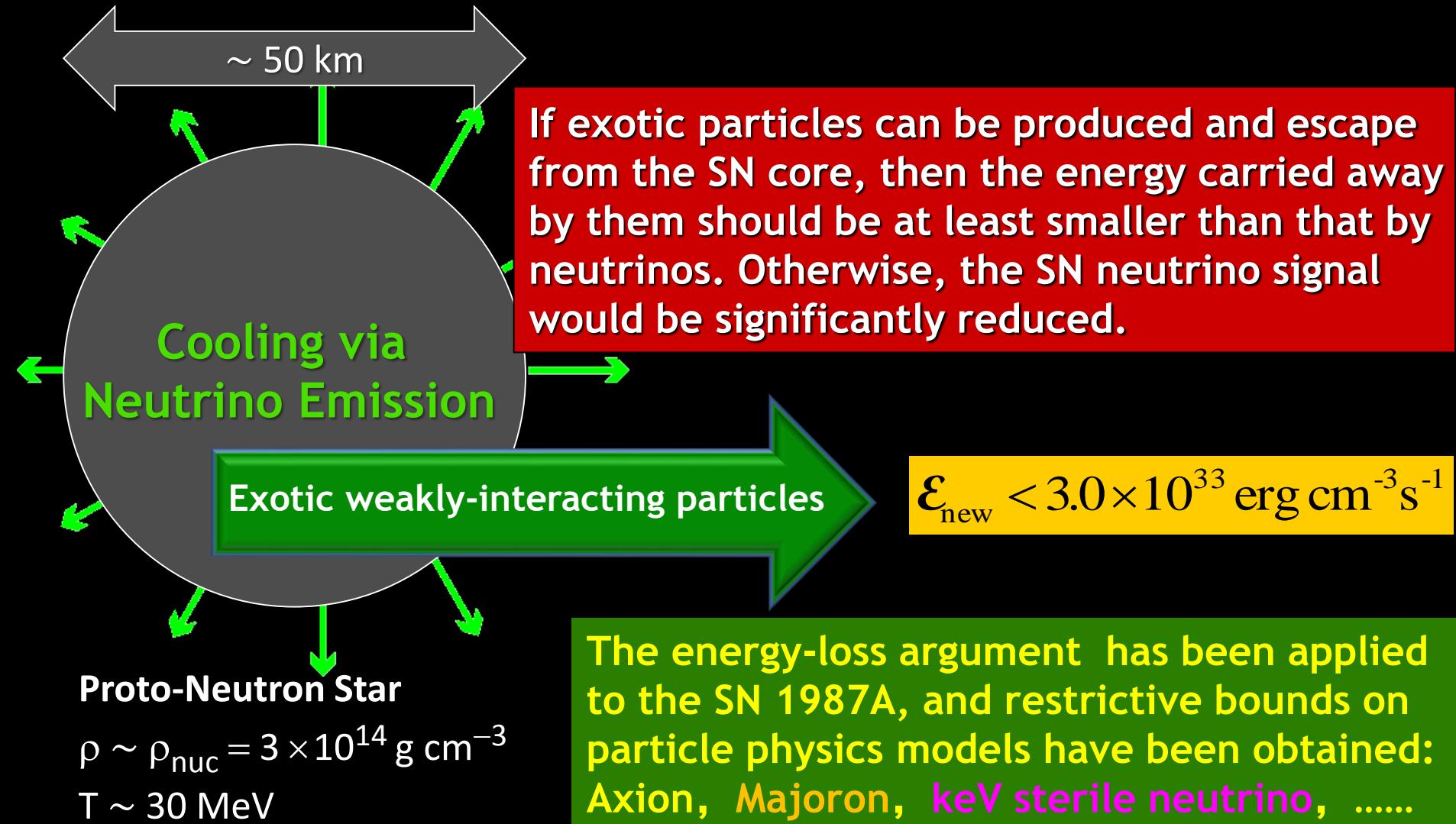
| Event | Time<br>[s] | Angle<br>[degree] | Energy<br>[MeV] |
|-------|-------------|-------------------|-----------------|
| 1     | 0.000       | $80 \pm 10$       | $38 \pm 7$      |
| 2     | 0.412       | $44 \pm 15$       | $37 \pm 7$      |
| 3     | 0.650       | $56 \pm 20$       | $28 \pm 6$      |
| 4     | 1.141       | $65 \pm 20$       | $39 \pm 7$      |
| 5     | 1.562       | $33 \pm 15$       | $36 \pm 9$      |
| 6     | 2.684       | $52 \pm 10$       | $36 \pm 6$      |
| 7     | 5.010       | $42 \pm 20$       | $19 \pm 5$      |
| 8     | 5.582       | $104 \pm 20$      | $22 \pm 5$      |

### Assumptions:

- Instant. emission
- Diff. only from  $m$

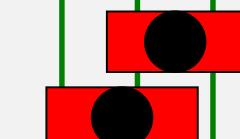
# Supernova Neutrinos: Elementary Particle Physics

## ■ Extra energy-loss channels: Bound on particle physics models



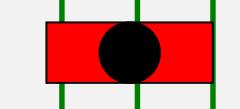
# Key Problem: where and When?

SN statistics in external galaxies



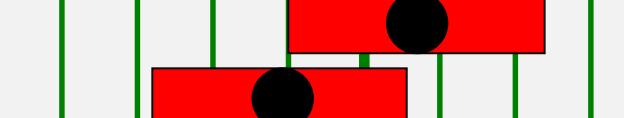
van den Bergh & McClure (1994)  
Cappellaro & Turatto (2000)

Gamma rays from  $^{26}\text{Al}$  (Milky Way)



Diehl et al. (2006)

Historical galactic SNe (all types)



Strom (1994)  
Tammann et al. (1994)

No galactic neutrino burst

90 % CL (35 years)

Alekseev et al. (1993)

0 1 2 3 4 5 6 7 8 9 10  
Core-collapse SNe per century

© Raffelt

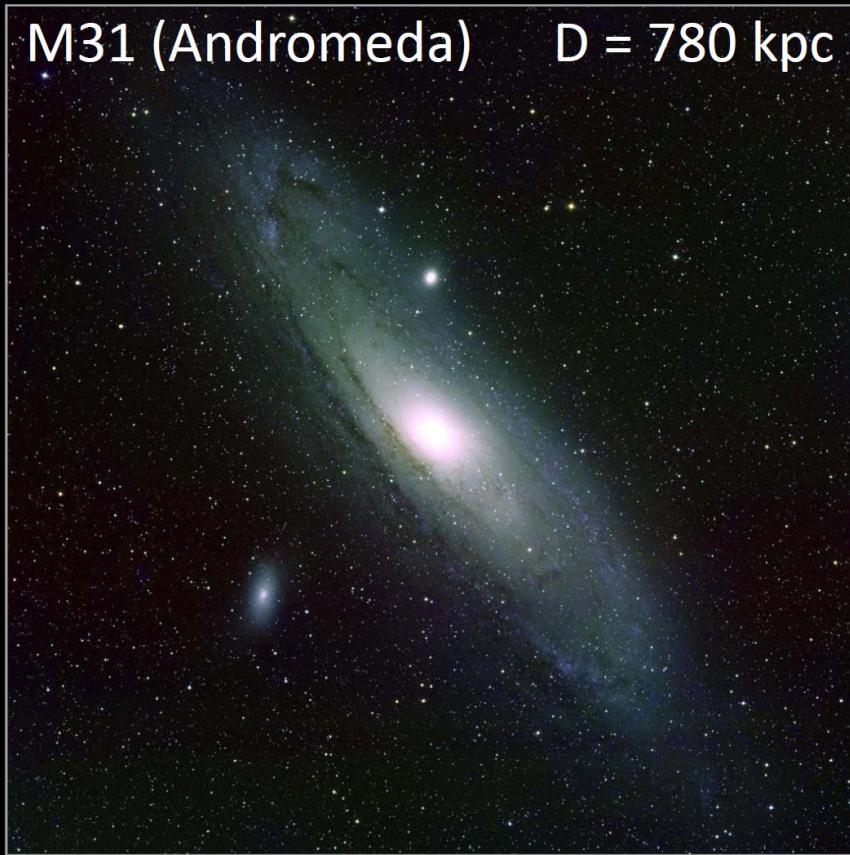
- (1) Estimate from SN statistics in other galaxies; (2) Only massive stars produce  $^{26}\text{Al}$  (with a half-life  $7.2 \times 10^5$  years); (3) Historical SNe in the Milky Way; (4) No neutrino bursts observed by Baksan since June 1980

# Key Problem: where and When?

## High and Low Supernova Rates in Nearby Galaxies

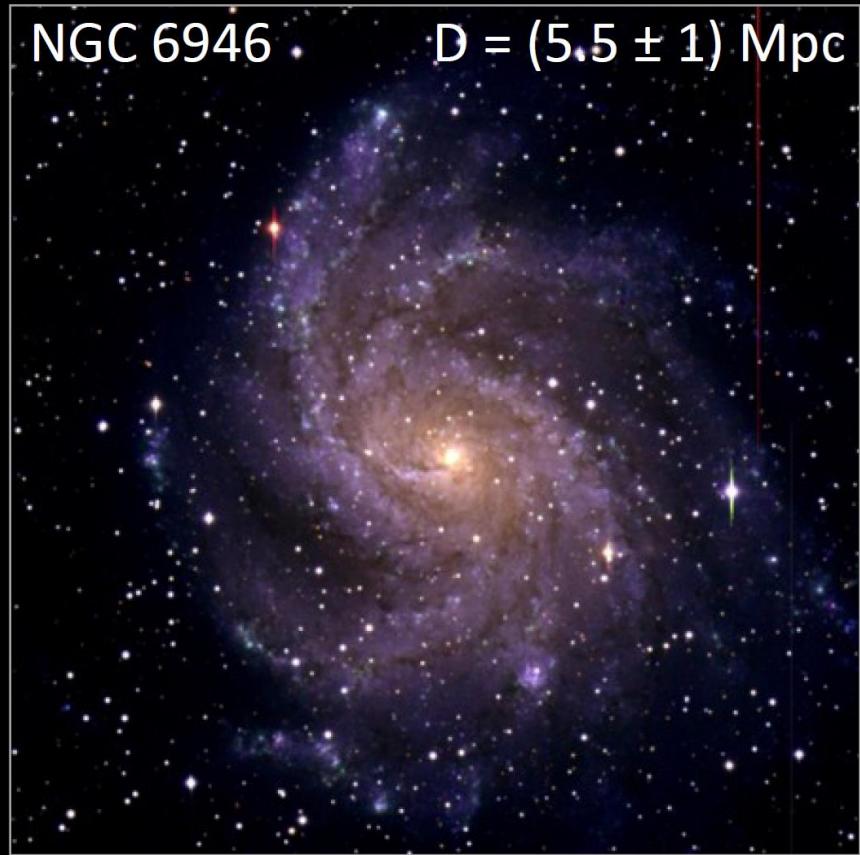
M31 (Andromeda)

D = 780 kpc



NGC 6946

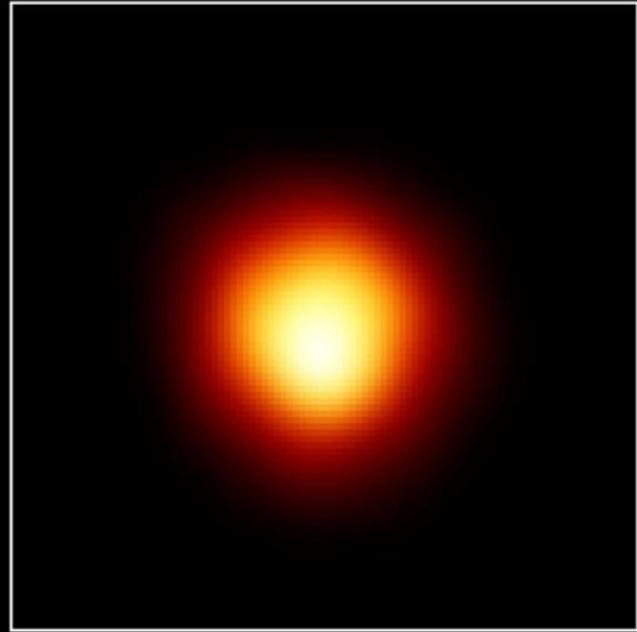
D =  $(5.5 \pm 1)$  Mpc



Last observed supernova: 1885A

Observed supernovae:  
1917A, 1939C, 1948B, 1968D, 1969P,  
1980K, 2002hh, 2004et, 2008S

# SN Candidate: The Red Supergiant Betelgeuse (Alpha Orionis)



Size of Star

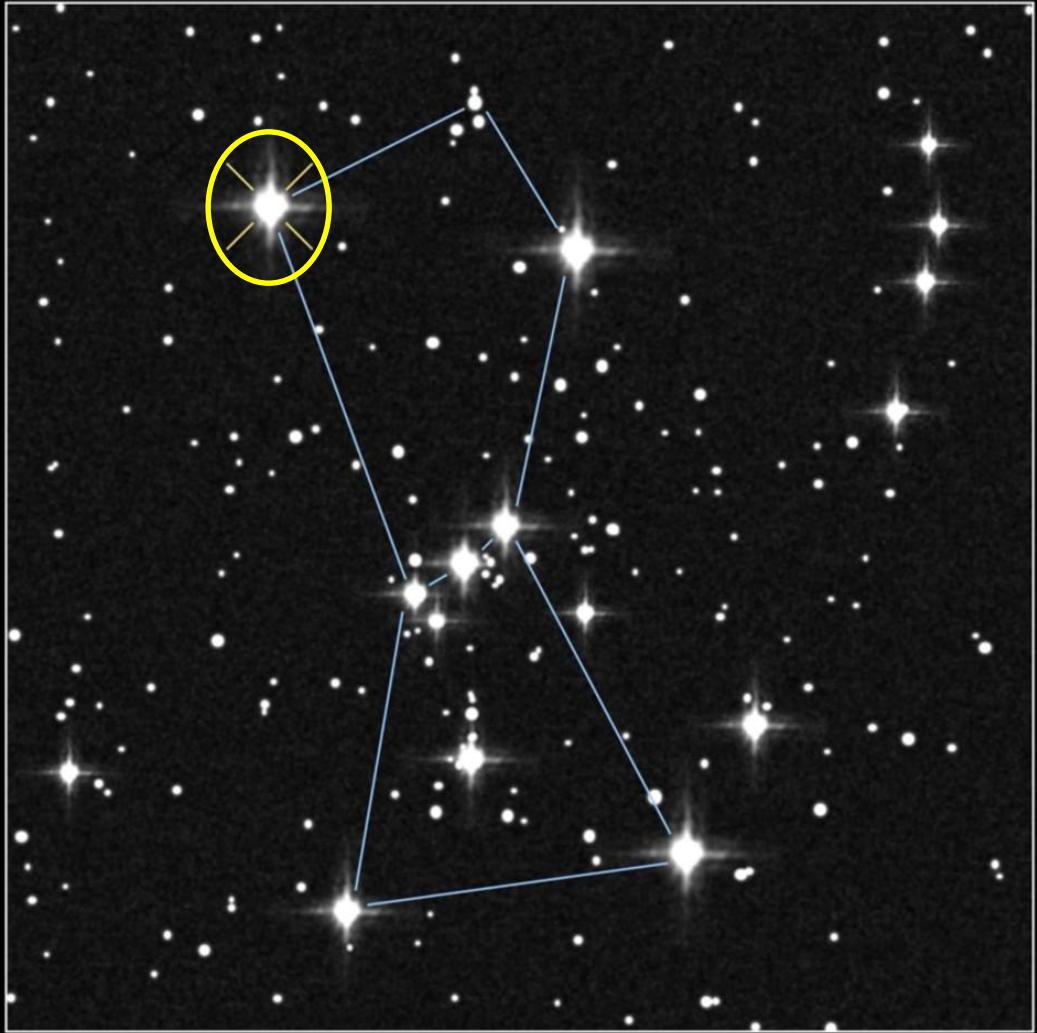
Size of Earth's Orbit

Size of Jupiter's Orbit

Distance: 425 ly (130 pc)

Type: Red Supergiant

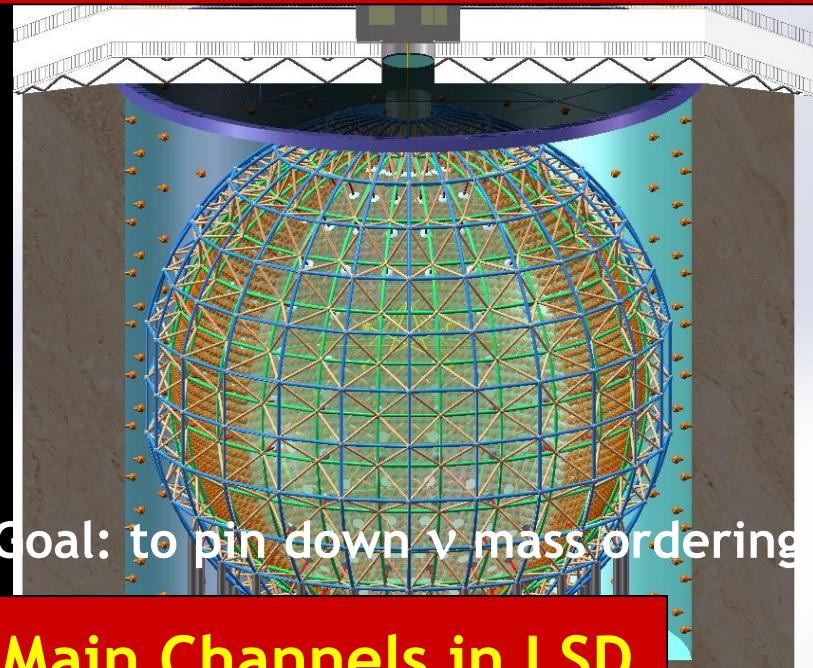
Mass: ~ 18 solar masses



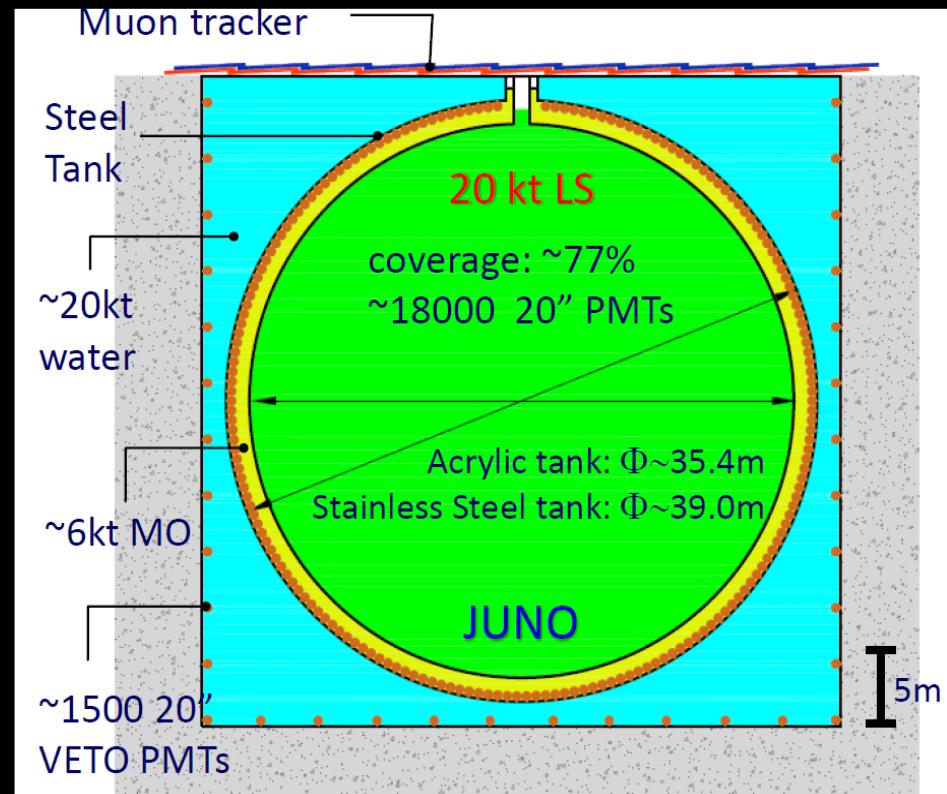
Expected to end its life as SN explosion  
@ Super-K:  $6 \times 10^7$  events

# Detection of SN Neutrinos @JUNO

2015/1/10, Jiangmen, Guangdong



Main Channels in LSD

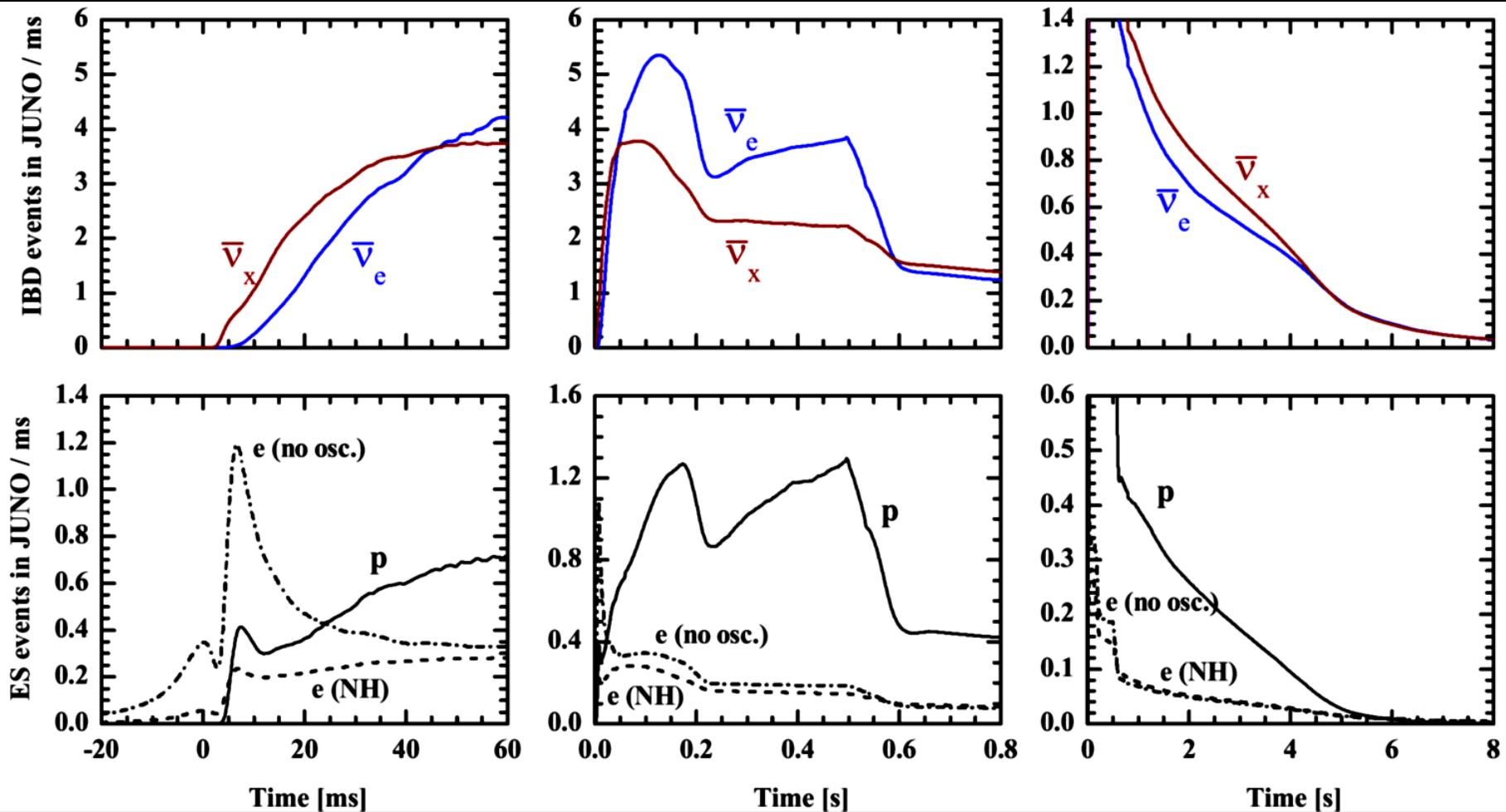


| Channel   | Type | Events for different $\langle E_\nu \rangle$ values |                   |                   |
|---|------|---|-------------------|-------------------|
|   |      | 12 MeV  | 14 MeV            | 16 MeV            |
| $\bar{\nu}_e + p \rightarrow e^+ + n$                             | CC   | $4.3 \times 10^3$                                   | $5.0 \times 10^3$ | $5.7 \times 10^3$ |
| $\nu + p \rightarrow \nu + p$                                     | NC   | $6.0 \times 10^2$                                   | $1.2 \times 10^3$ | $2.0 \times 10^3$ |
| $\nu + e \rightarrow \nu + e$                                     | ES   | $3.6 \times 10^2$                                   | $3.6 \times 10^2$ | $3.6 \times 10^2$ |
| $\nu + {}^{12}\text{C} \rightarrow \nu + {}^{12}\text{C}^*$       | NC   | $1.7 \times 10^2$                                   | $3.2 \times 10^2$ | $5.2 \times 10^2$ |
| $\nu_e + {}^{12}\text{C} \rightarrow e^- + {}^{12}\text{N}$       | CC   | $4.7 \times 10^1$                                   | $9.4 \times 10^1$ | $1.6 \times 10^2$ |
| $\bar{\nu}_e + {}^{12}\text{C} \rightarrow e^+ + {}^{12}\text{B}$ | CC   | $6.0 \times 10^1$                                   | $1.1 \times 10^2$ | $1.6 \times 10^2$ |



A typical SN  
@ 10 kpc

# Detection of SN Neutrinos at JUNO



Fengpeng An et al., “Neutrino Physics with JUNO”, arXiv:1507.05613