

Recent Results from T2K



NNN16 – Beijing
4th Nov

James Imber
LLR – Ecole Polytechnique

On behalf of the T2K collaboration

Three neutrino mixing

- PMNS framework

Interact as weak process eigenstates
Propagate as mass eigenstates

$$\begin{pmatrix} v_e \\ v_\mu \\ v_\tau \end{pmatrix} = U_{PMNS} \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix}$$

$$U_{PMNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & +c_{23} & +s_{23} \\ 0 & -s_{23} & +c_{23} \end{pmatrix} \begin{pmatrix} +c_{13} & 0 & +s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & +c_{13} \end{pmatrix} \begin{pmatrix} +c_{12} & +s_{12} & 0 \\ -s_{12} & +c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e^{i\alpha_1} & 0 & 0 \\ 0 & e^{i\alpha_2} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Current knowledge:

$\theta_{12} \approx 33^\circ$	$\Delta m^2_{21} \approx 7.5 \times 10^{-5} \text{ eV}^2$
$\theta_{23} \approx 45^\circ$	$ \Delta m^2_{32} \approx 2.5 \times 10^{-3} \text{ eV}^2$
$\theta_{13} \approx 9^\circ$	

$$c_{ij} = \cos \theta_{ij}$$

$$s_{ij} = \sin \theta_{ij}$$

$$\Delta m^2_{ij} = m_i^2 - m_j^2$$

Oscillations

Appearance

$$P(\nu_\mu \rightarrow \nu_e) = 4 c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \Delta_{31} \times \left(1 \pm \frac{2a}{\Delta m_{31}^2} (1 - s_{13}^2) \right)$$

Leading term

$$+ 8 c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21}$$

CP Conserving

$$\mp 8 c_{13}^2 s_{13}^2 s_{23}^2 \cos \Delta_{32} \sin \Delta_{31} \frac{aL}{4E} (1 - 2 s_{13}^2)$$

Matter effect

$$\mp 8 c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \underline{\sin \delta} \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21}$$

CP Violating

$$+ 4 s_{12}^2 c_{13}^2 (c_{12} c_{23} + s_{12}^2 s_{13}^2 s_{23}^2 - 2 c_{12} c_{23} s_{12} s_{13} s_{23} \cos \delta) \sin^2 \Delta_{21}$$

Solar term

$$c_{ij} = \cos \theta_{ij}, \quad s_{ij} = \sin \theta_{ij} \quad \Delta_{ij} = \Delta m_{ij}^2 \frac{L}{4E_\nu} \quad a = 2\sqrt{2} G_F n_e E$$

θ_{13} dependence

Octant Sensitivity

CP odd phase

Disappearance

$$P(\nu_\mu \rightarrow \bar{\nu}_\mu) \approx 1 - (\cos^4 \theta_{13} \cdot \underline{\sin^2 2\theta_{23}} + \sin^2 2\theta_{13} \cdot \underline{\sin^2 \theta_{23}}) \cdot \sin^2 \frac{\Delta m_{32}^2 \cdot L}{4E_\nu}$$

θ_{23} dependence

Octant Sensitivity

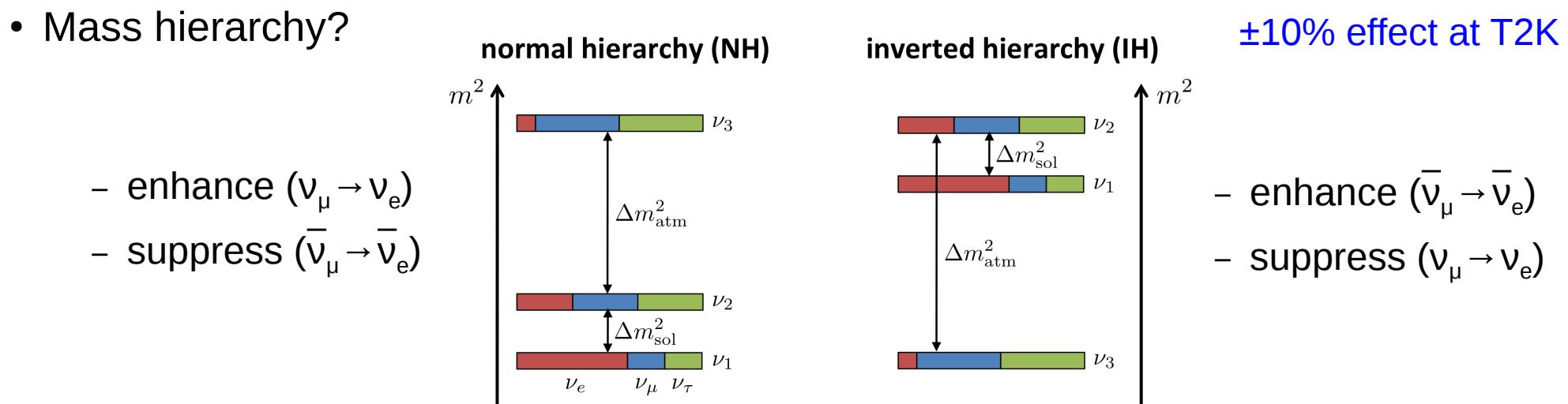
$P_{PMNS}(\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu) = P_{PMNS}(\nu_\mu \rightarrow \nu_\mu)$ Test of CPT

Questions

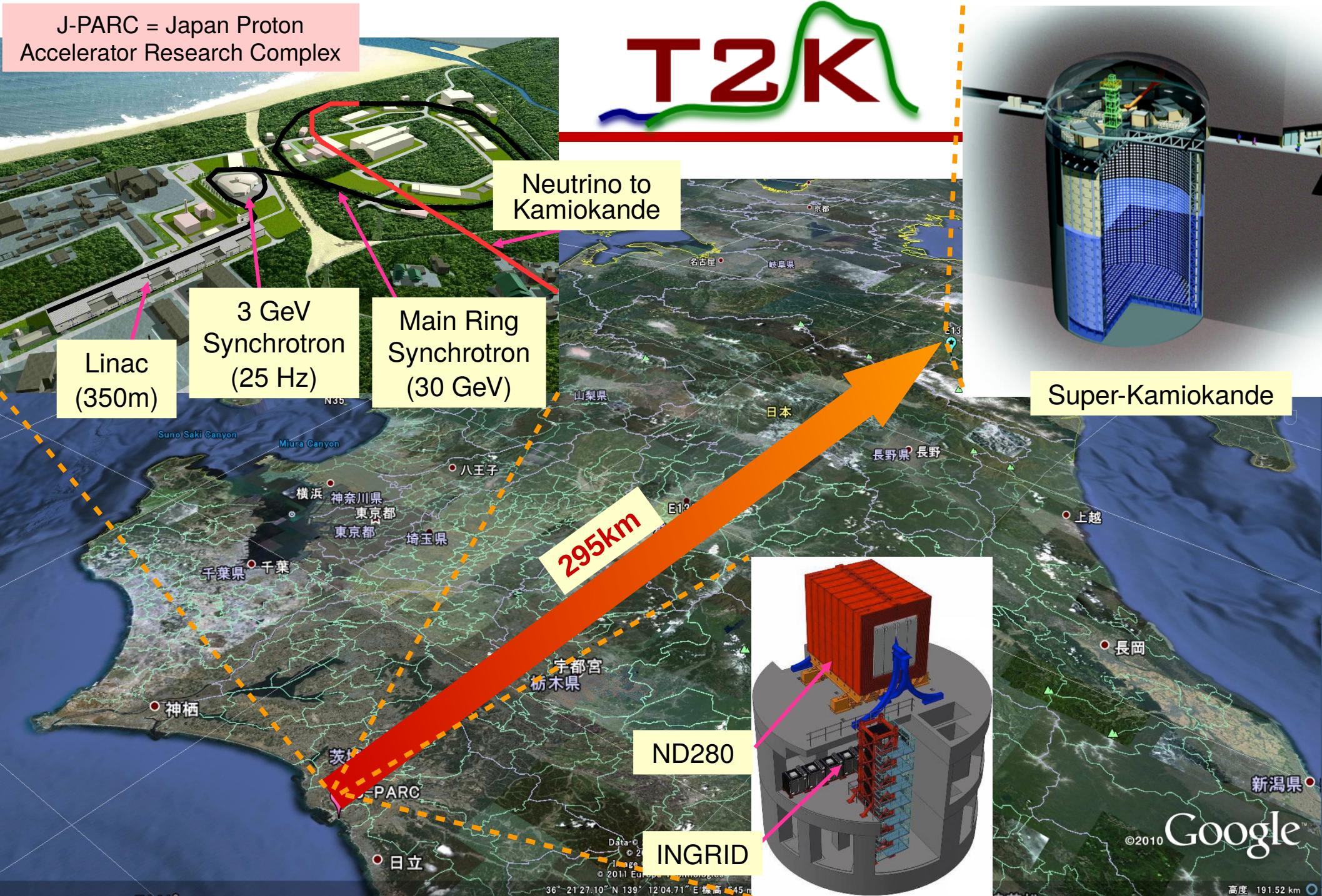
- θ_{23} Octant? ($\theta_{23} < >$ or $= 45^\circ$)
 - Sensitivity to $\sin^2\theta_{23}$ (same for ν and $\bar{\nu}$)

- CP violation?
 - $\delta_{CP}=0,\pi \rightarrow$ no CP violation
 - $\delta_{CP}=-\pi/2 \rightarrow$ enhance ($\nu_\mu \rightarrow \nu_e$), suppress ($\bar{\nu}_\mu \rightarrow \bar{\nu}_e$)
 - $\delta_{CP}=\pi/2 \rightarrow (\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$ enhance , suppress ($\nu_\mu \rightarrow \nu_e$)

$\pm 30\%$ effect at T2K

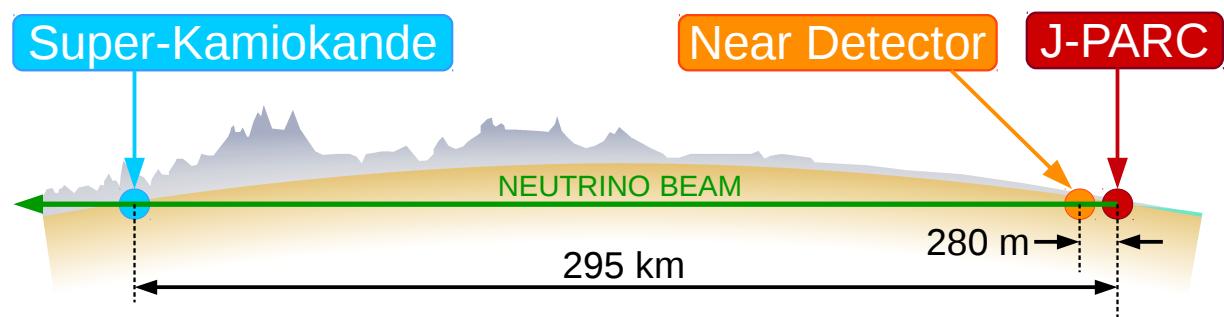


J-PARC = Japan Proton Accelerator Research Complex



T2K Experiment

- Measure N events
- Compare events observed at near and far detector
- Extract oscillation probability



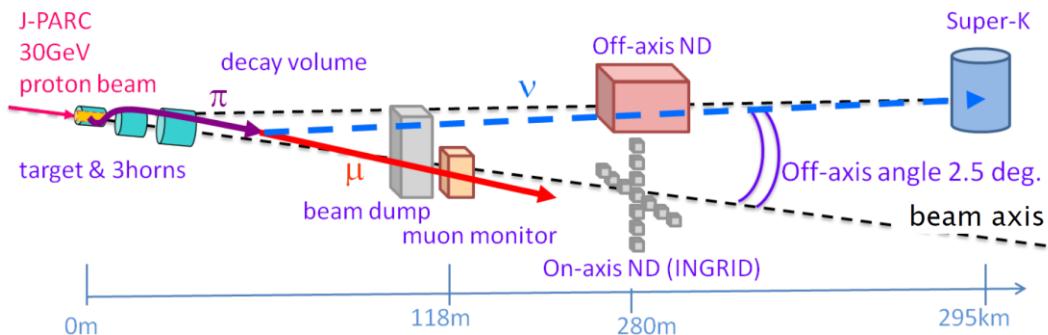
$$N_{ND} \sim \Phi_{ND} \cdot \sigma_{ND} \cdot \epsilon_{ND}$$

Observable	Flux	Cross section	Detector response
------------	------	---------------	-------------------

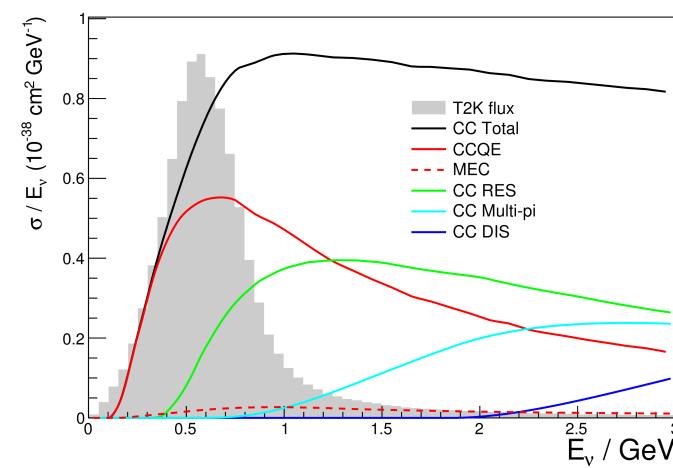
$$N_{FD} \sim \Phi_{FD} \cdot \sigma_{FD} \cdot \epsilon_{FD} \cdot P_{Osc.}$$

Off-axis Beam

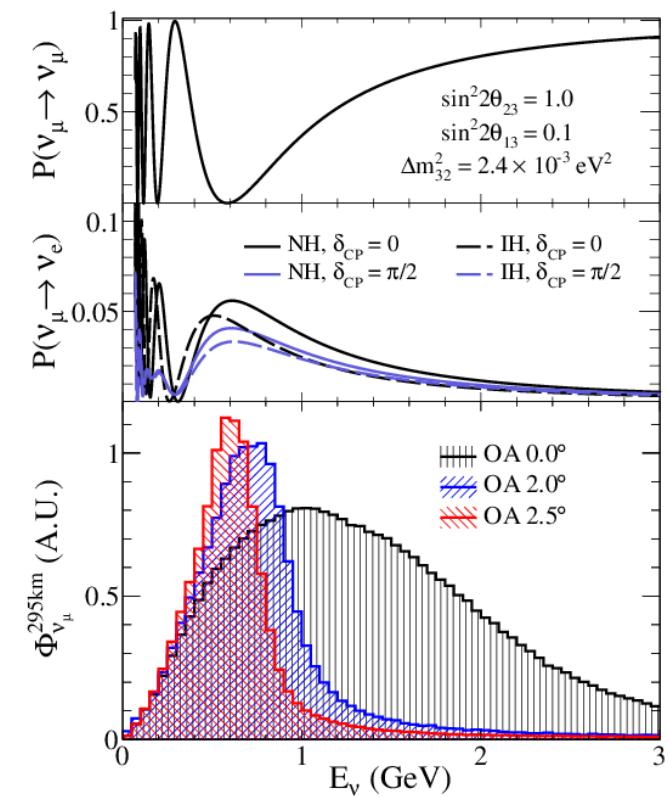
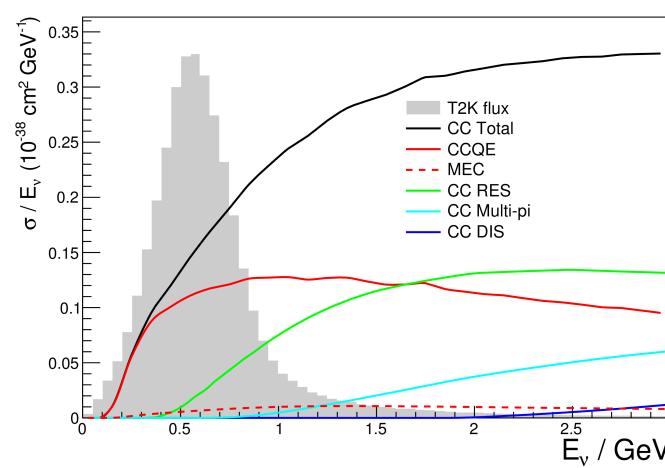
- High purity ν_μ beam
- Enhanced oscillation – Lower energy beam tuned to maximise oscillations at baseline
- Enhanced CCQE fraction – Energy reconstruction at Super-Kamiokande
- Reduced intrinsic ν_e contamination
- Reduced Neutral Current event feed down



Neutrino Cross section

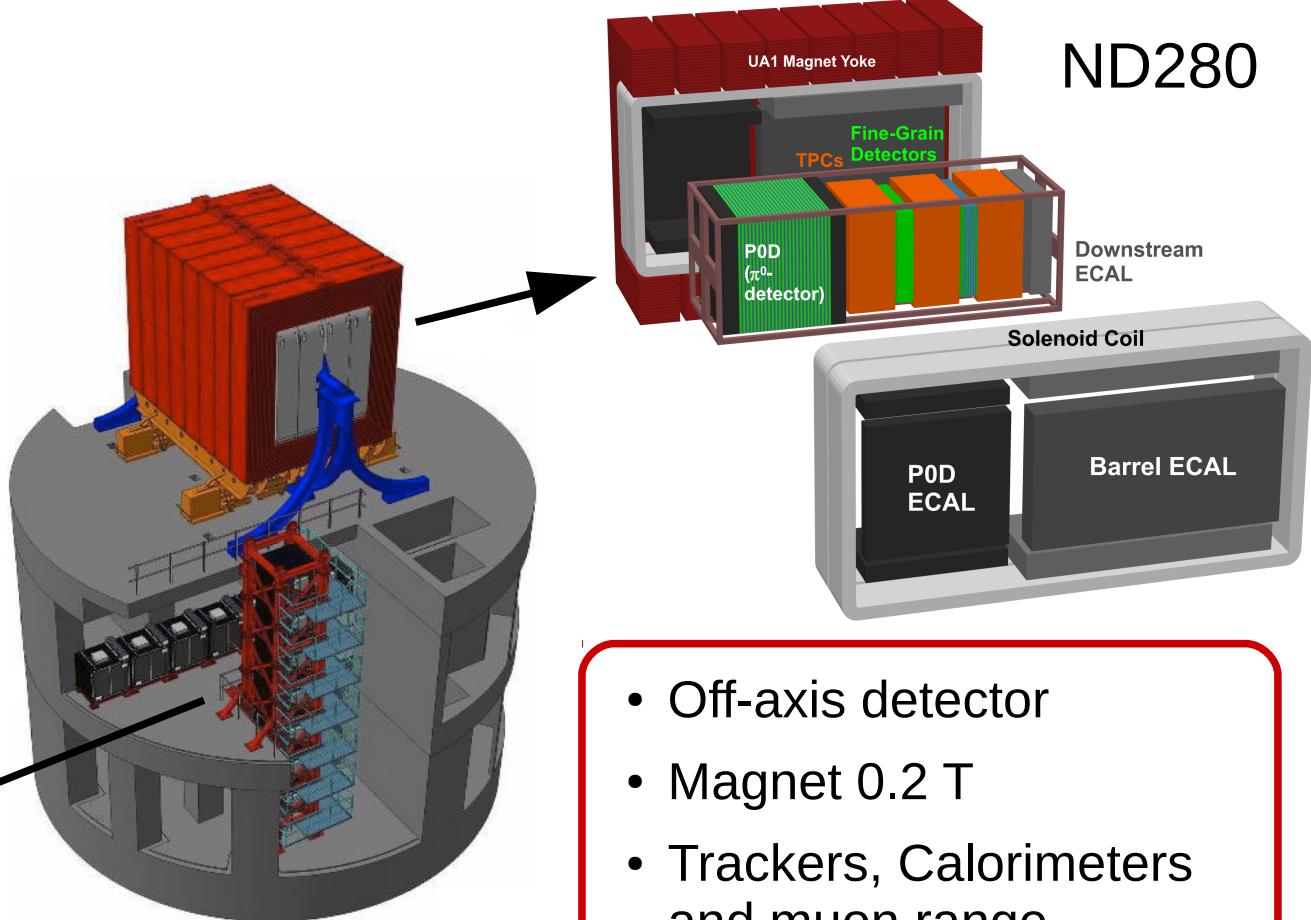
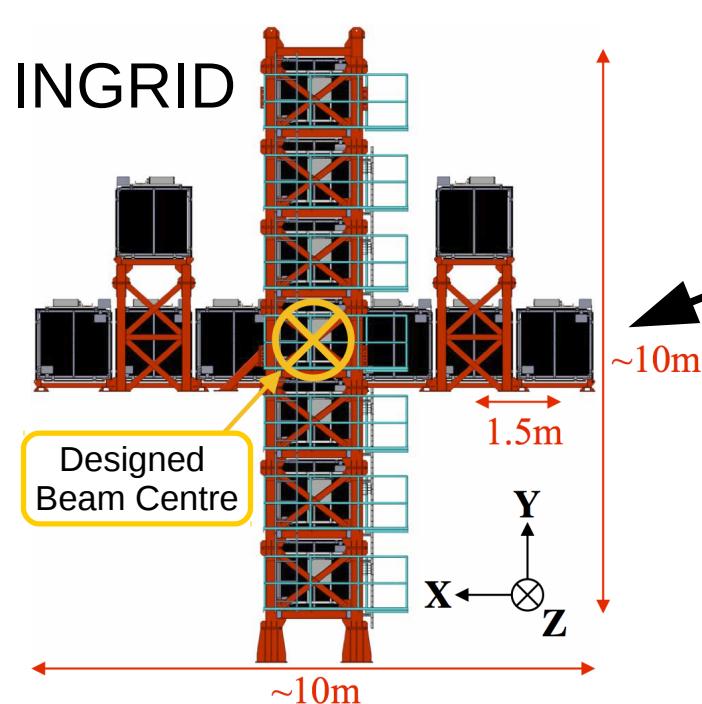


Antineutrino Cross section



Near Detectors

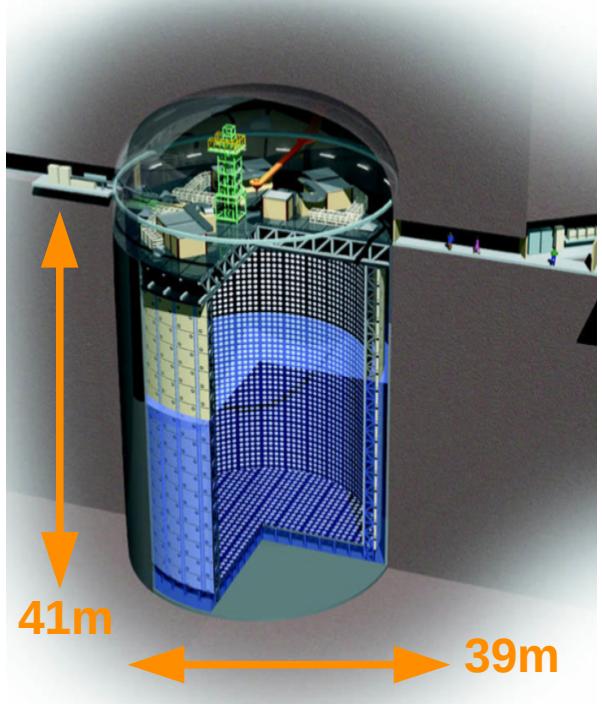
- 7x7 (+2) identical modules
- Iron and scintillator tracking calorimeter
- Beam direction and stability monitoring



- Off-axis detector
- Magnet 0.2 T
- Trackers, Calorimeters and muon range detectors
- Active (scintillator) and passive (water) targets

Super-Kamiokande Detector

- 50kton Water Cherenkov detector
- Optically separate inner and outer (veto) volumes
- Excellent e/μ separation, π^0 rejection
- Select single ring, CCQE enriched samples
- E_ν (CCQE) determined from lepton kinematics



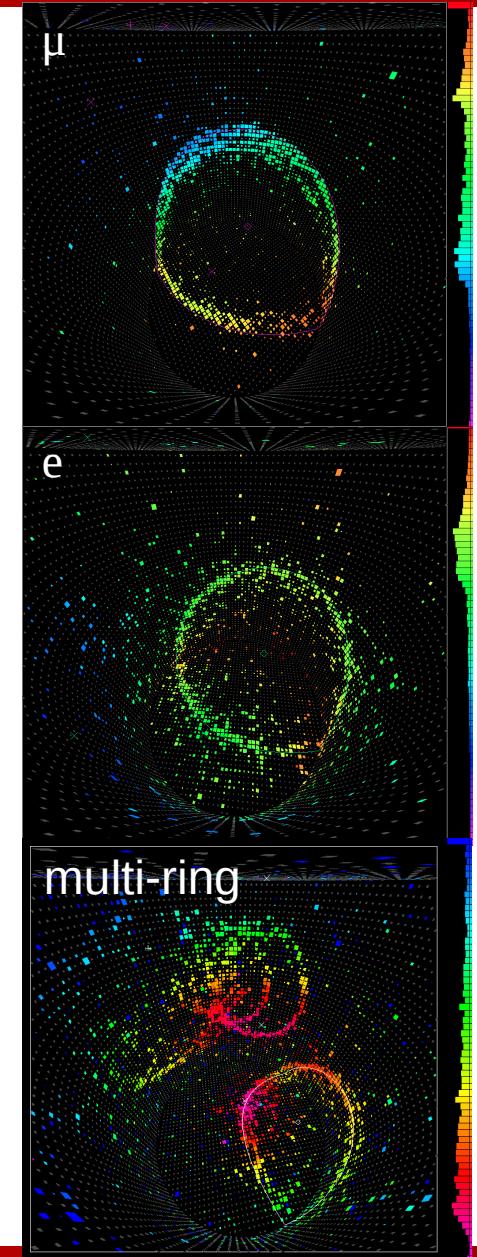
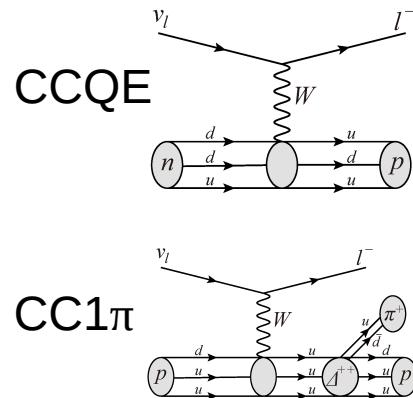
• Signal

$$\nu_\alpha + n \rightarrow l_\alpha^- + p \quad \bar{\nu}_\alpha + p \rightarrow l_\alpha^+ + n$$

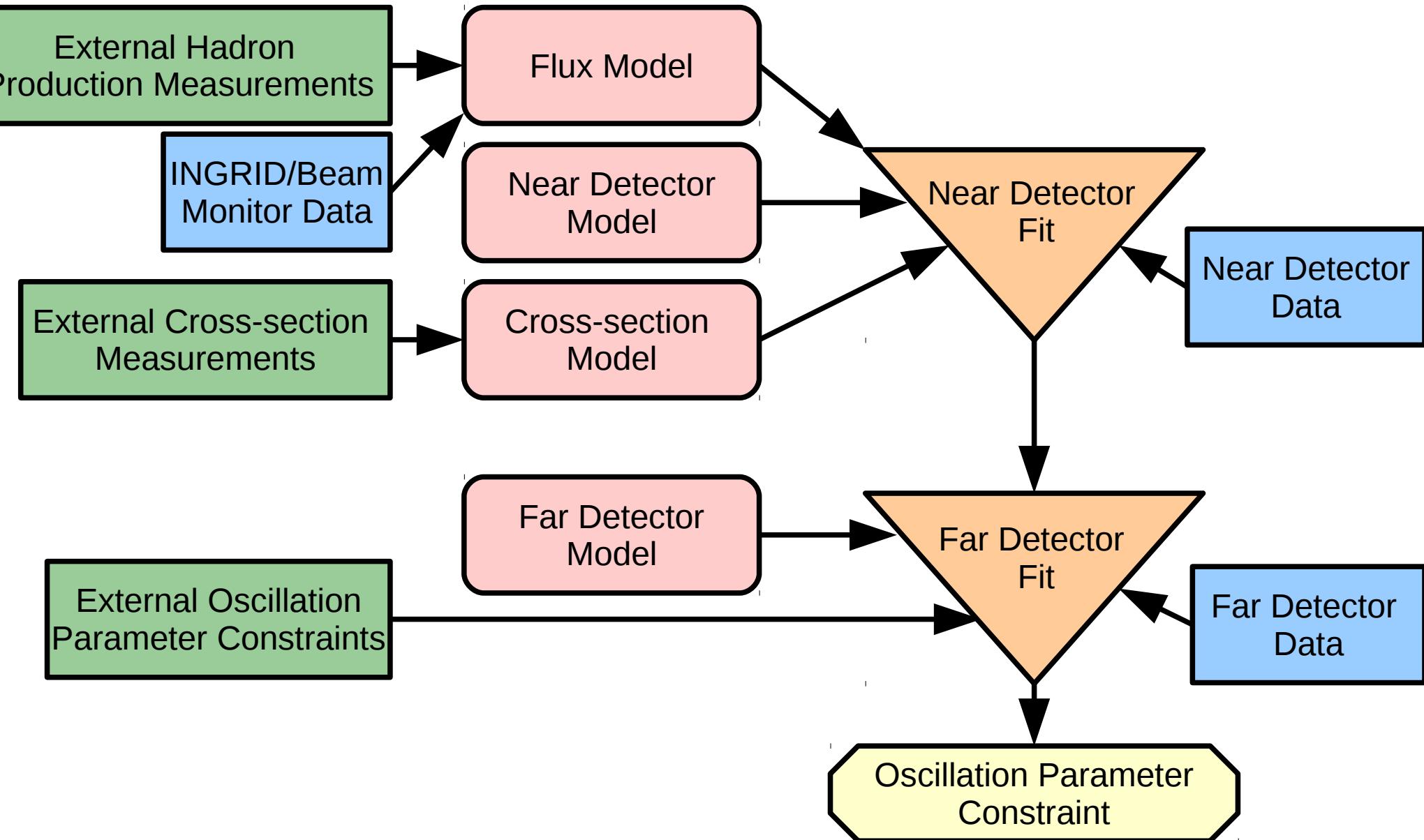
• Background

$$\nu_\alpha + n(p) \rightarrow l_\alpha^- + n(p) + \pi^+$$

$$\nu_\alpha + n(p) \rightarrow \nu_\alpha + n(p) + \pi^0$$



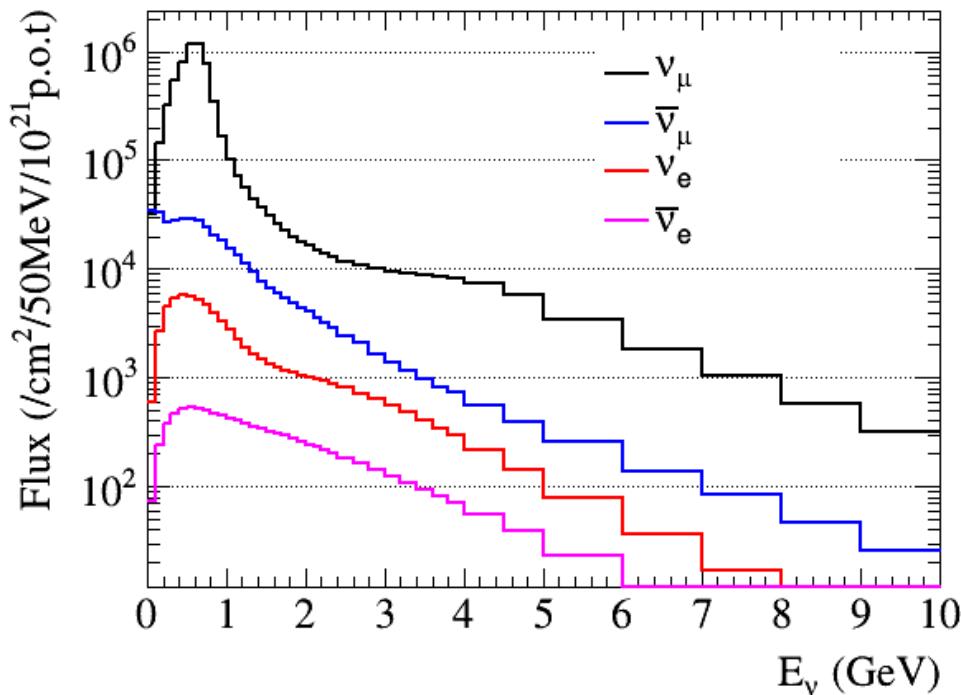
T2K Oscillation Analysis Overview



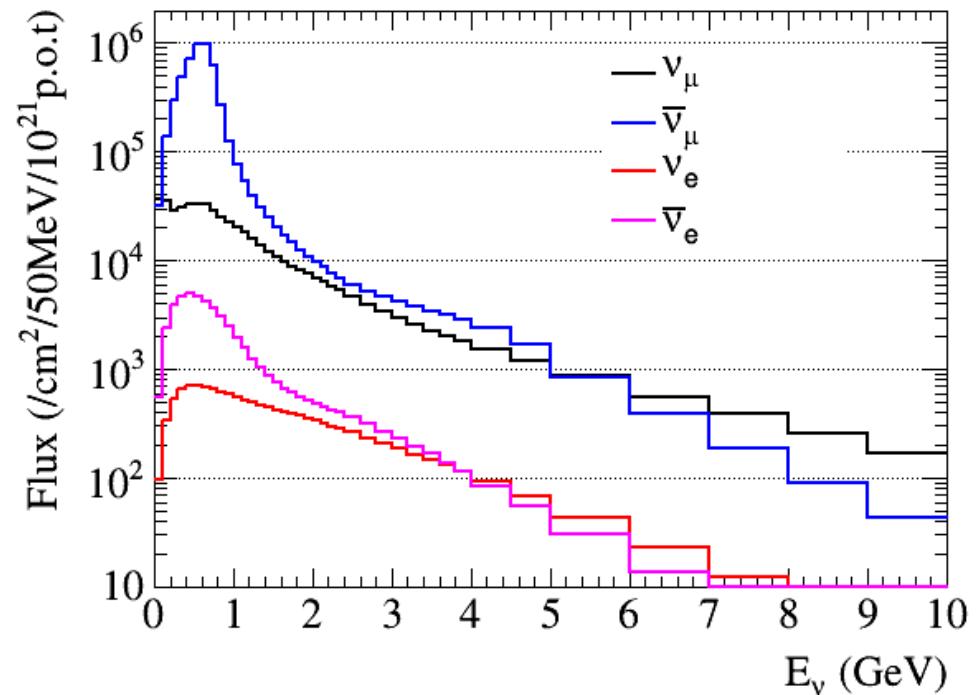
Flux Prediction

- Flux simulation (FLUKA/GEANT3/GCALOR)
- Tuned using external data → NA61/SHINE experiment measures hadron production from thin carbon target and T2K replica target
- Large neutrino component in antineutrino flux
- Intrinsic ν_e component ~0.5% at flux peak

Neutrino Mode Flux at SK

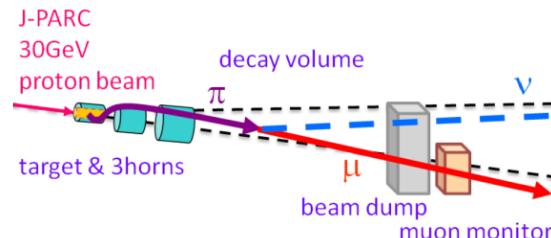


Antineutrino Mode Flux at SK

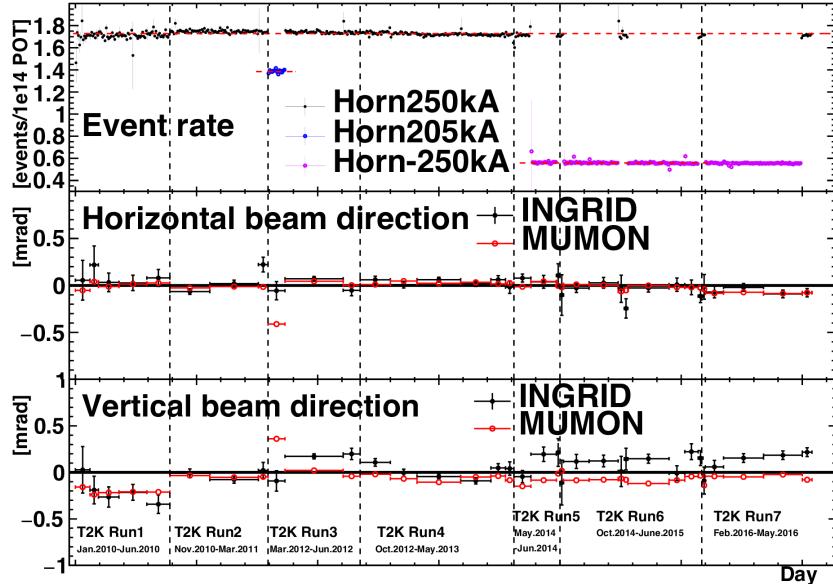


Flux Uncertainties

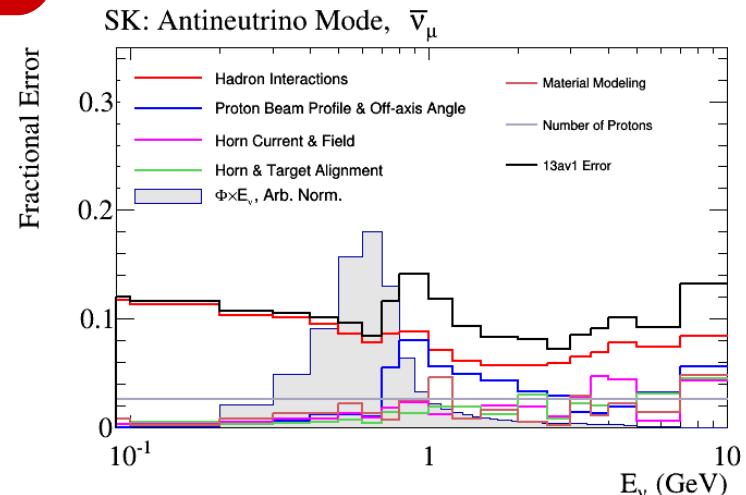
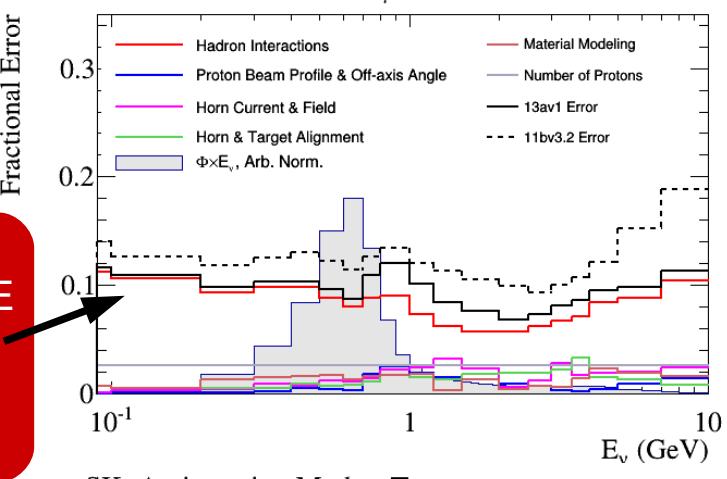
- Beamline uncertainties
 - Proton beam parameters
 - Focusing Horn
 - Component alignment
- Hadron production uncertainties
 - NA61/SHINE uncertainties
 - Re-interactions
 - Secondary production



High statistics monitoring of beam

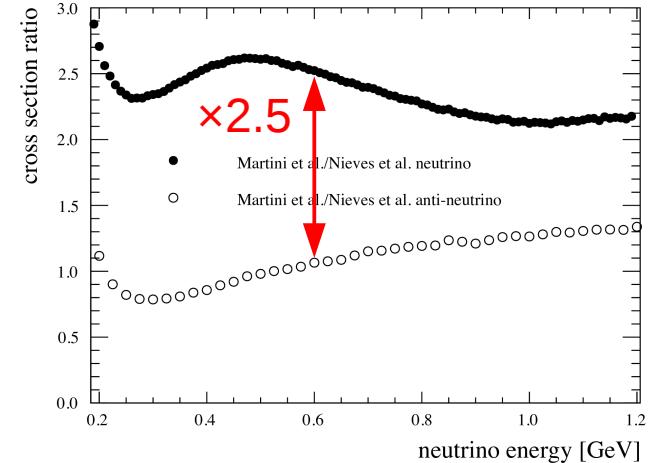


New NA61/SHINE data has reduced uncertainty

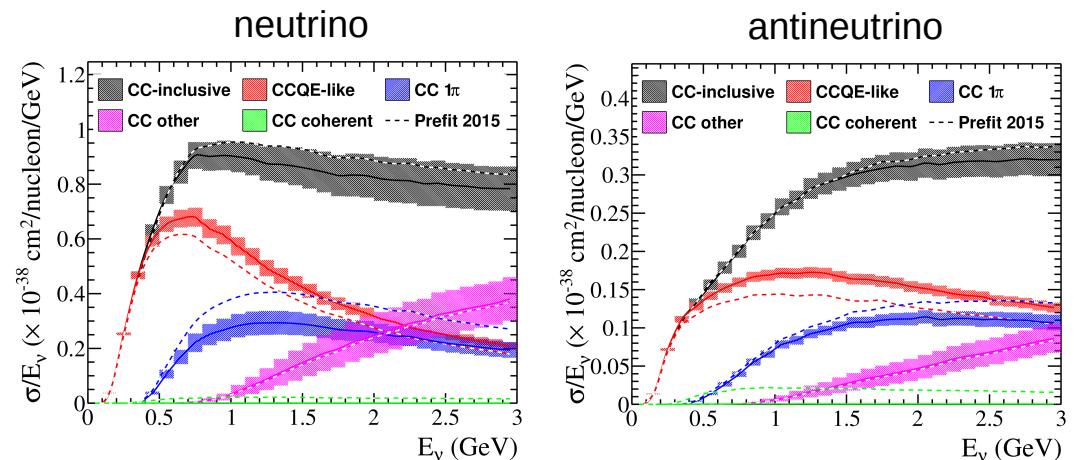


Cross-section Model

- NEUT* generator tuned to external data from MiniBooNE, MINERvA and Bubble Chambers
- CCQE: Relativistic Fermi Gas (RFG) + rel. Random Phase Approximation (RPA)
- Multinucleon interactions implemented
 - ~10% relative to CCQE
 - 2p2h model by Nieves et al.[†]
- Additional freedom for antineutrinos
 - 2p-2h normalisation (see right)
 - $\bar{\nu}_e/\bar{\nu}_\mu$ cross-section ratio ($\bar{\nu}_e/\bar{\nu}_e$ cross sections not yet explicitly constrained by the near detector fit)



Large difference in ratio of 2p-2h cross-section models between neutrinos and antineutrinos

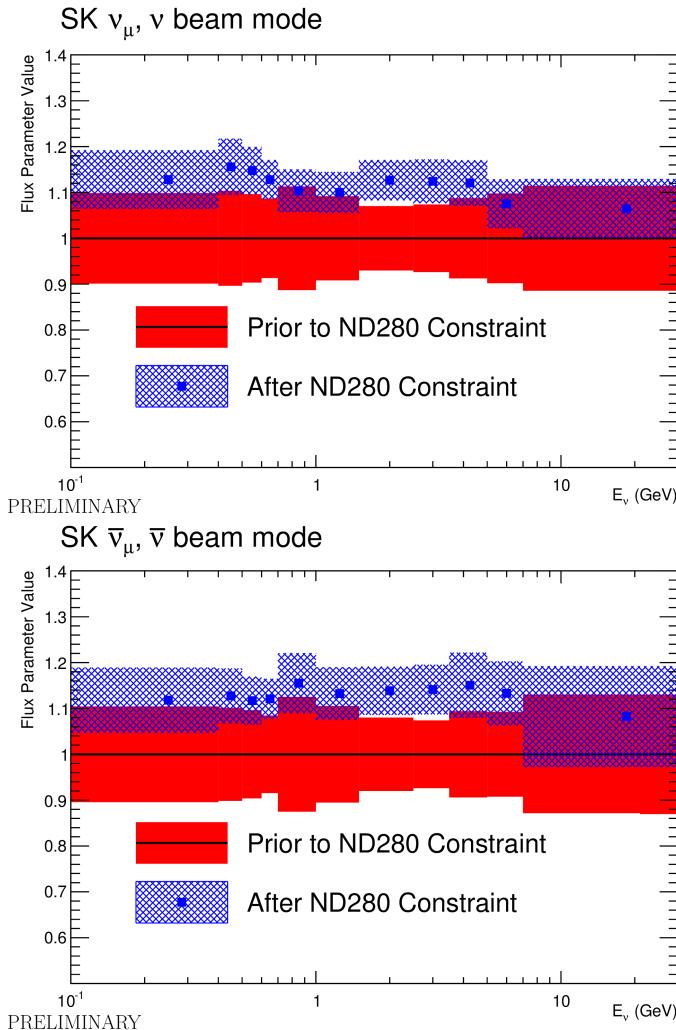


*Y. Hayato, A neutrino interaction simulation program library NEUT, Acta Phys. Pol. B 40, 2477 (2009)

[†]J. Nieves, I. R. Simo, and M. J. V. Vacas, The nucleon axial mass and the miniboone quasielastic neutrino-nucleus scattering problem, Phys. Lett. B 707, 72 (2012).

Near Detector Fit

Flux Parameter Fit



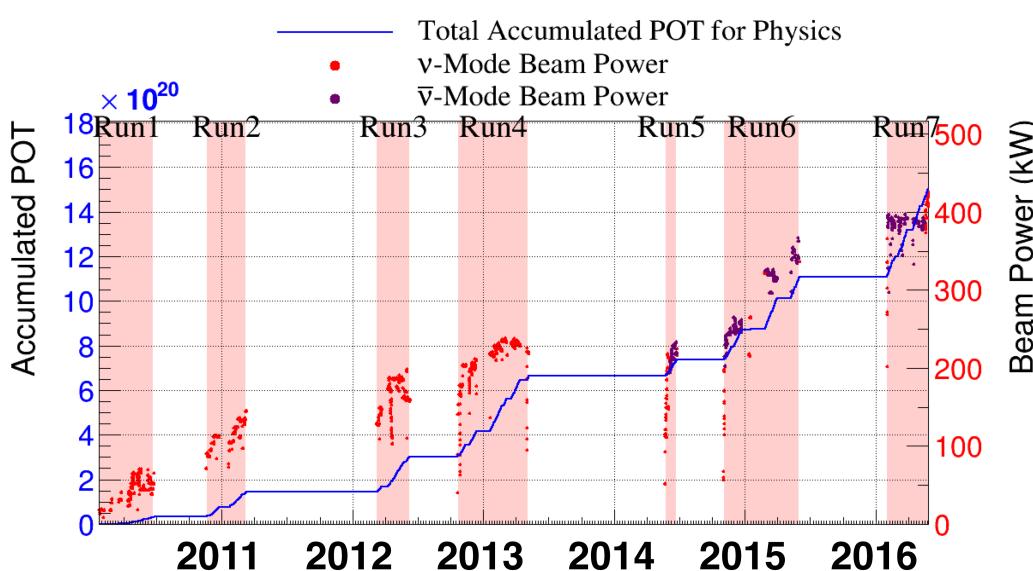
- Each model parameter in the analysis has associated systematic uncertainty
- Near detector fit constrains flux and cross-section uncertainty propagated to far detector as covariance
- Separate “on-water” constraint from ND280 for the first time
- ND280 “wrong sign” constraint in $\bar{\nu}$ -mode

Total N_{SK} Fractional Uncertainty

Beam mode	Sample type	w/o ND280	w/ ND280
neutrino	μ -like	12.0%	5.03%
neutrino	e-like	11.9%	5.41%
anti-neutrino	μ -like	12.5%	5.22%
anti-neutrino	e-like	13.7%	6.19%

Accumulation of Data

- Antineutrino exposure doubled in Run7



27 May 2016

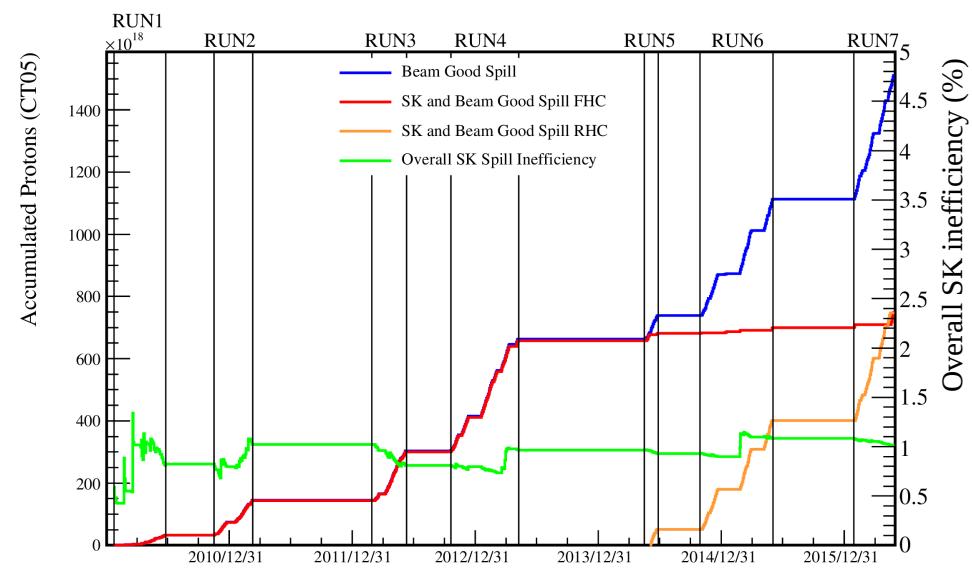
POT total: 1.510×10^{21}

v-mode POT: 7.57×10^{20} (50.14%)

\bar{v} -mode POT: 7.53×10^{20} (49.86%)

- Beam power reached 420 kW

POT = Protons On Target



Results presented today use:

12,831,370 beam spills

v-mode: 7.482×10^{20} POT

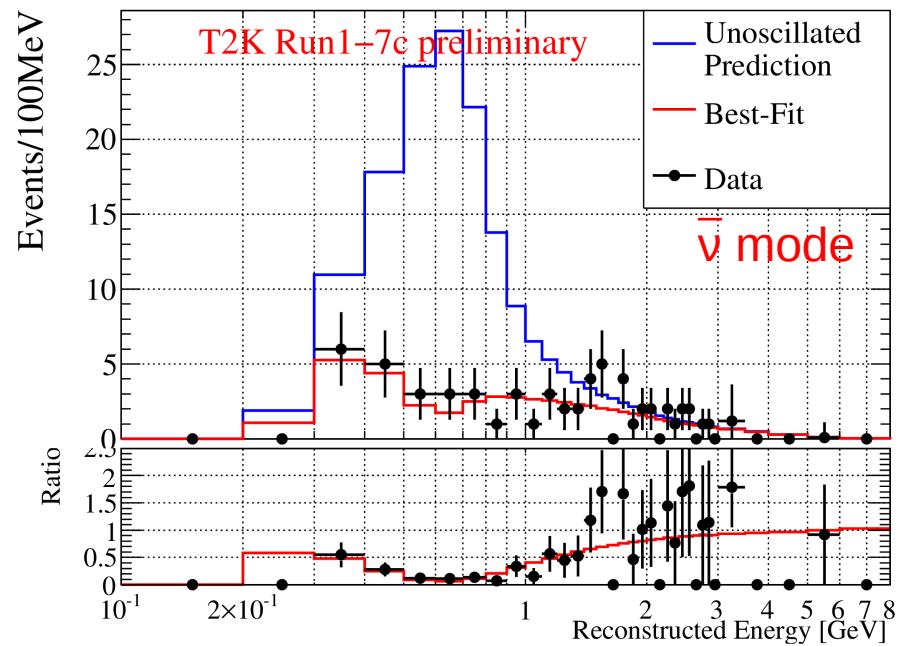
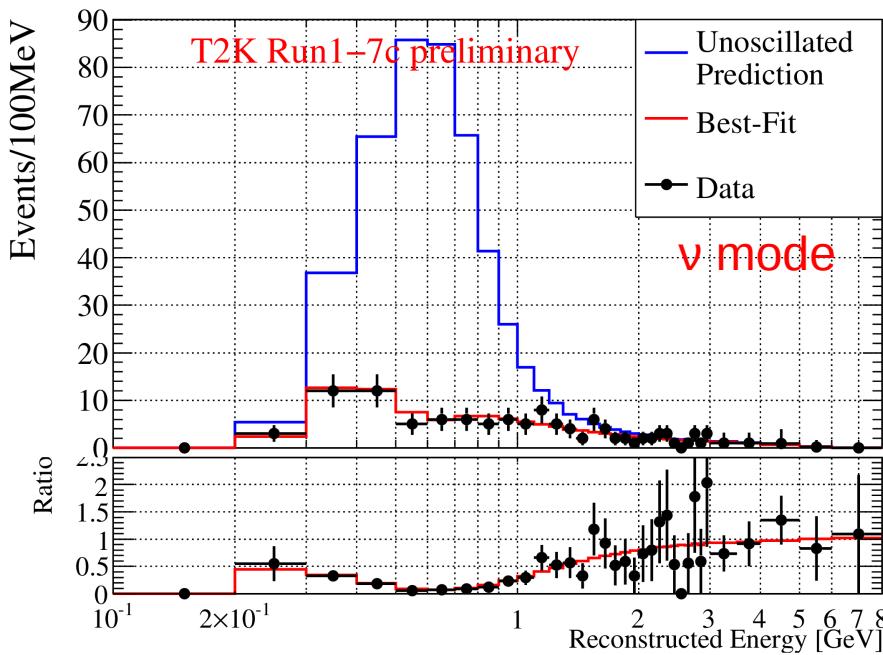
\bar{v} -mode: 7.471×10^{20} POT

Far Detector ν_μ and $\bar{\nu}_\mu$ Samples

- ν mode: **135** events
- $\bar{\nu}$ mode: **66** events

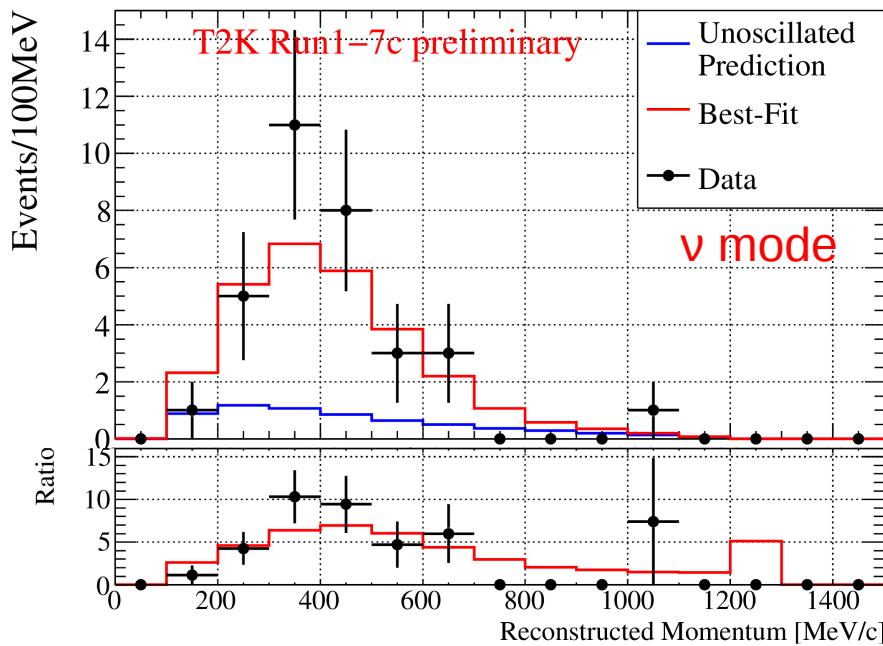
Single ring μ -like selection

1. Fully contained, Fiducial volume
2. Single ring
3. Muon-like
4. Momentum > 200 MeV
5. Zero or one decay electrons

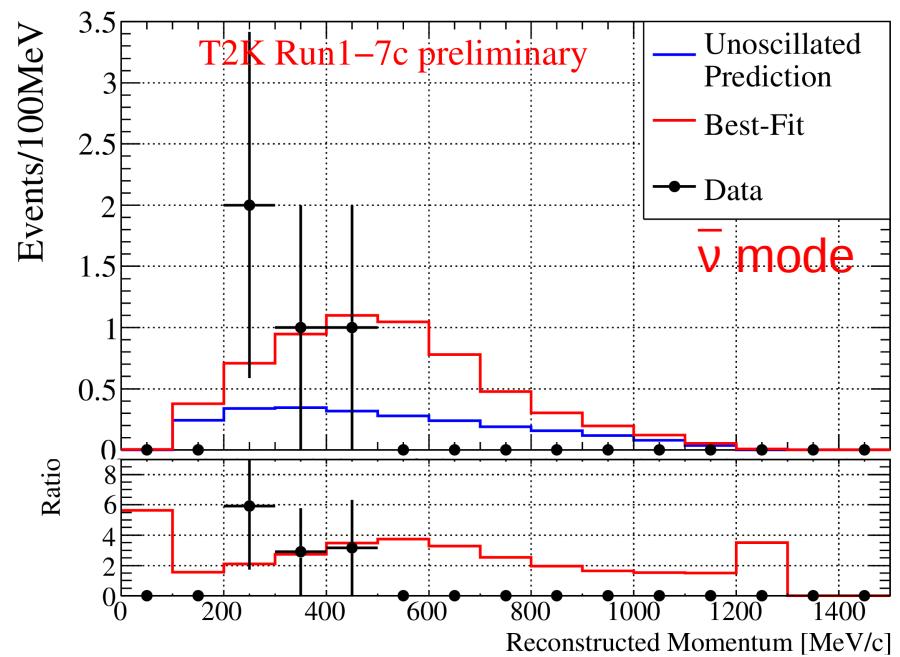


Far Detector ν_e and $\bar{\nu}_e$ Samples

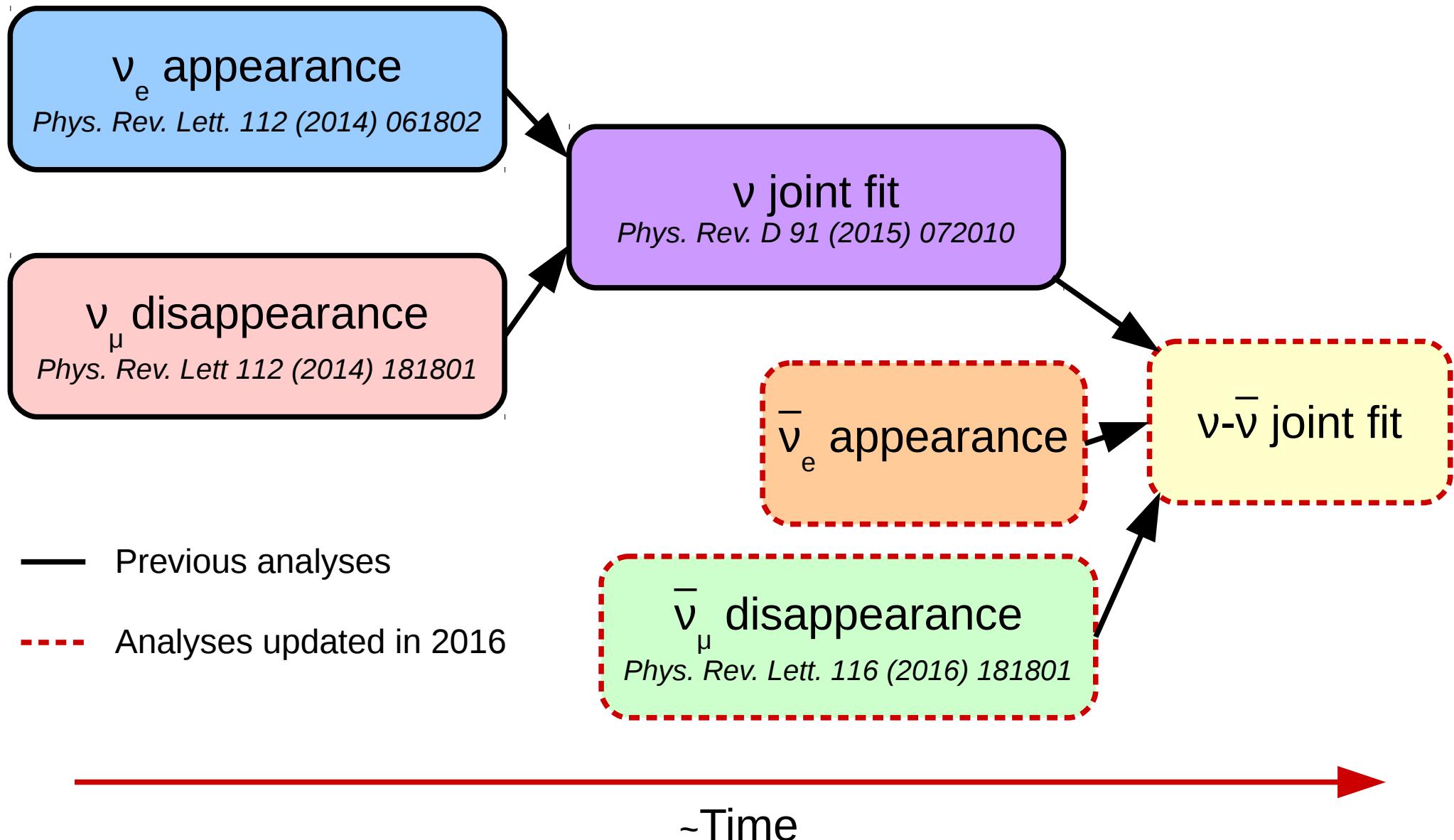
- ν mode: 32 events
- $\bar{\nu}$ mode: 4 events



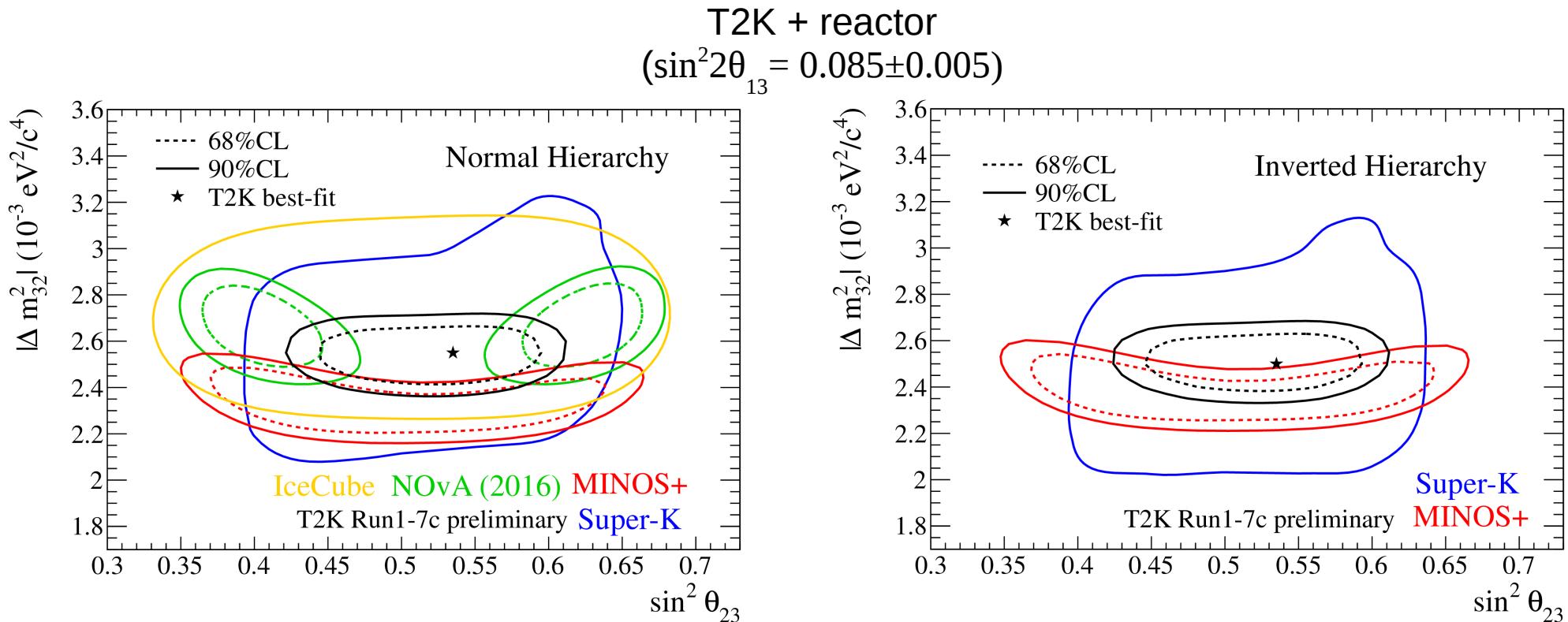
- Single ring e-like selection
1. Fully contained, Fiducial volume
 2. Single ring
 3. Electron-like
 4. Visible energy >100 MeV
 5. Zero decay electrons
 6. Reconstructed energy < 1.25 GeV
 7. Not pi0-like



T2K Osc. Analysis History

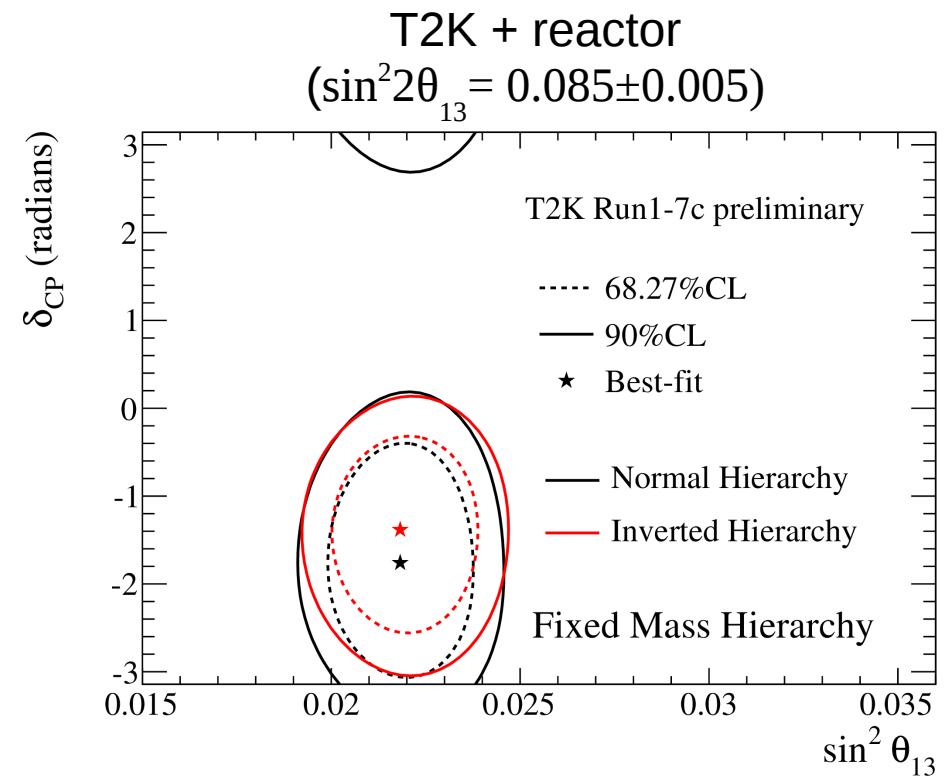
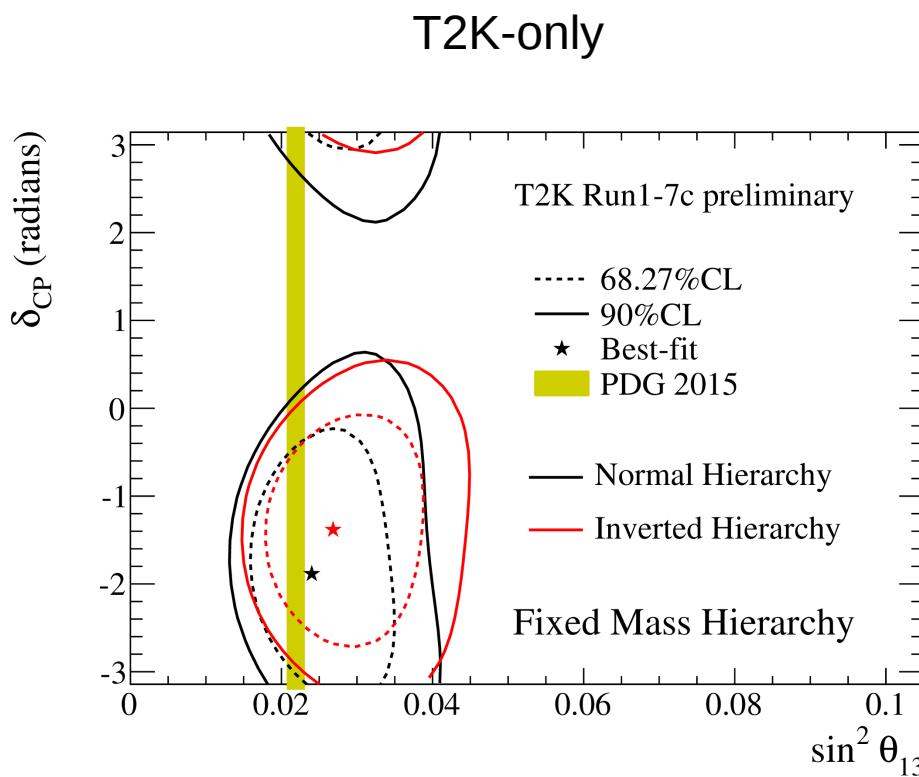


Joint Analysis Results – θ_{23} & Δm^2_{32}



1D Parameter Constraints		
	NH.	IH.
$\sin^2 \theta_{23}$	$0.532^{+0.046}_{-0.068}$	$0.534^{+0.043}_{-0.07}$
$ \Delta m^2_{32} $ ($\times 10^{-3} \text{ eV}^2$)	$2.545^{+0.081}_{-0.084}$	$2.510^{+0.081}_{-0.083}$

Joint Analysis Results – θ_{13}



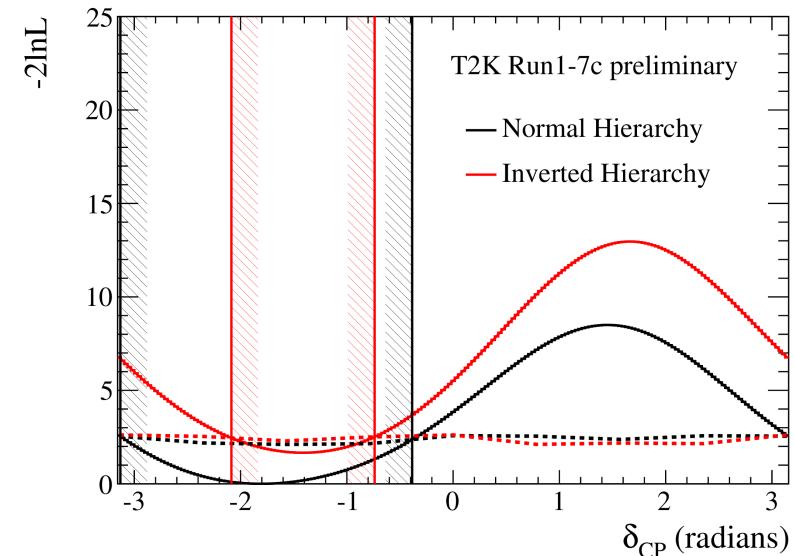
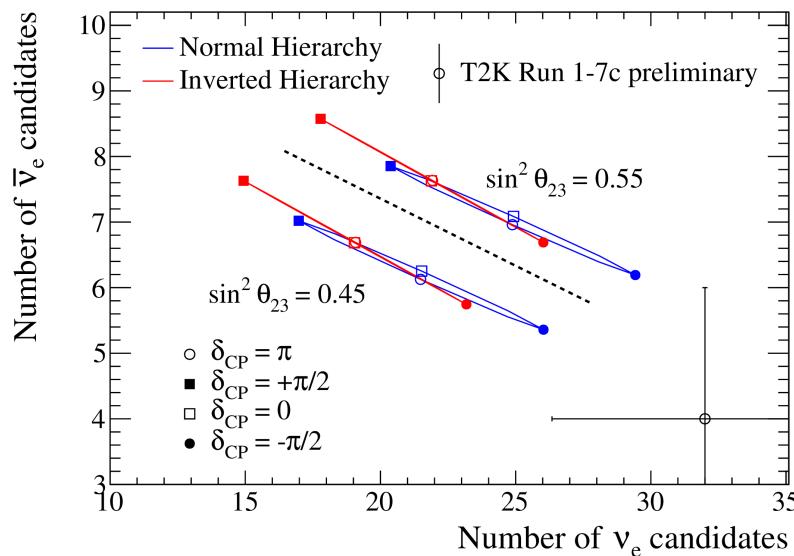
- T2K-only measurement consistent with reactor results
- Favours “small” $\sin^2 \theta_{13}$ and large CPV

Joint Analysis Results – δ_{CP}

	Expected Number of Events (NH)				Observed
	$\delta_{CP} = -\pi/2$	$\delta_{CP} = 0$	$\delta_{CP} = +\pi/2$	$\delta_{CP} = \pi$	
ν_e -like	28.7	24.2	19.6	24.2	32
$\bar{\nu}_e$ -like	6.0	6.9	7.7	6.8	4

- More ν_e appearance events than expected + fewer $\bar{\nu}_e$ appearance events than expected
- Data prefers largest CP asymmetry $\delta_{CP} \approx -\pi/2$, normal hierarchy

CP conservation ($\delta_{CP} = 0, \pi$) disfavoured at 90% C.L.



Normal hierarchy: $CP = [-3.13, -0.39] [-179^\circ, -22^\circ]$ at 90% CL
 Inverted hierarchy: $CP = [-2.09, -0.74] [-120^\circ, -42^\circ]$ at 90% CL

Antineutrino Fits

- Methodology
 - Allow antineutrinos to oscillate differently to current PMNS description for neutrinos
 - Use neutrino samples to constrain wrong sign background parameters

$\bar{\nu}_\mu$ disappearance
test of CPT

$$\bar{\theta}_{23} \neq \theta_{23}, \Delta \bar{m}_{32}^2 \neq \Delta m_{32}^2$$

Test of $\bar{\nu}_e$ appearance

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = \beta \times P_{PMNS}(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$$

$\bar{\nu}_e$ appearance

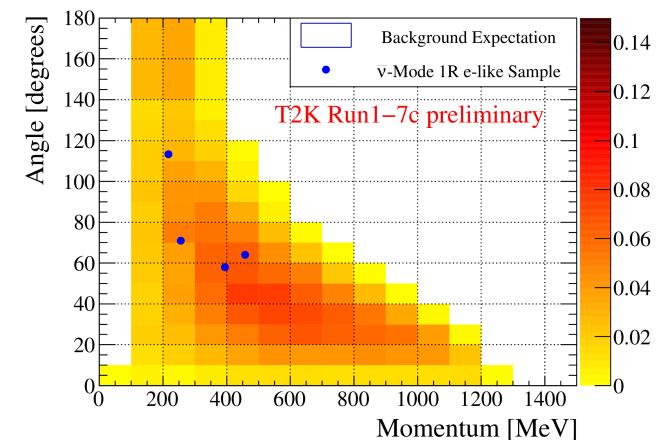
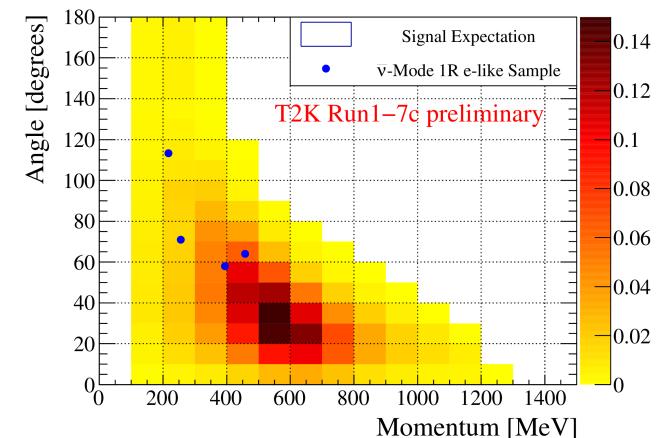
- $\bar{\nu}_e$ appearance not yet observed
- Test the hypothesis

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = \beta \times P_{PMNS}(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$$

- Consider cases $\beta=0, \beta=1$
- Rate only and Rate+Shape
- Data preference inconclusive

Results Summary		
	P-value ($\beta=0$)	P-value ($\beta=1$)
Rate Only	0.41	0.21
Rate+shape	0.46	0.07

- Two events more background-like

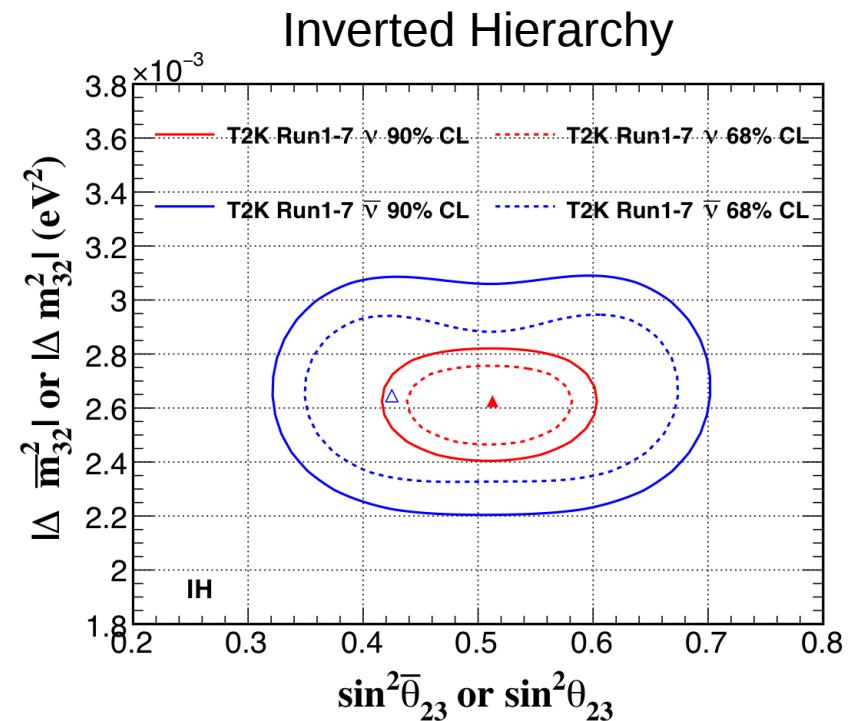
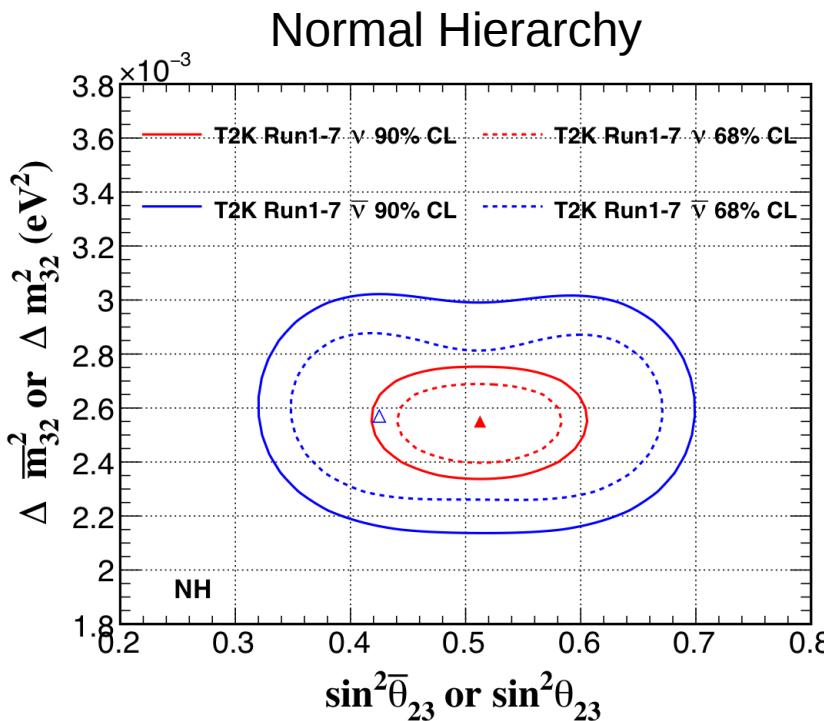


Sensitivity:

65% of $\beta=1$ toy experiments return $P\text{-value}(\beta=0) \leq 0.05$ in rate+shape analysis

$\bar{\nu}_\mu$ disappearance

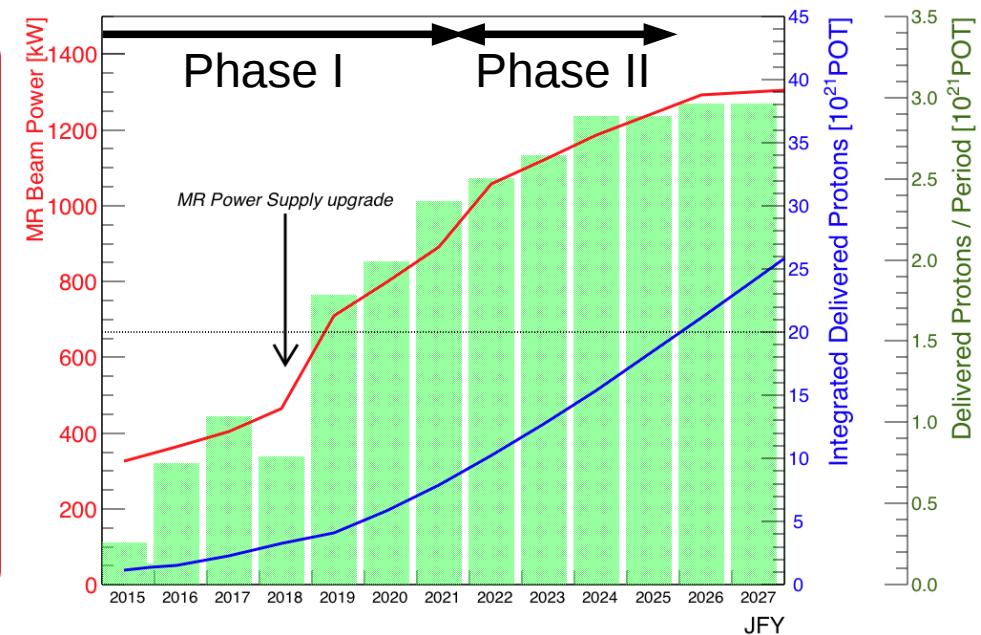
- Test CPT invariance $\bar{\theta}_{23} \neq \theta_{23}, \Delta \bar{m}_{32}^2 \neq \Delta m_{32}^2$
- Good agreement between $P_{PMNS}(\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu)$ and $P_{PMNS}(\nu_\mu \rightarrow \nu_\mu)$



Future of T2K

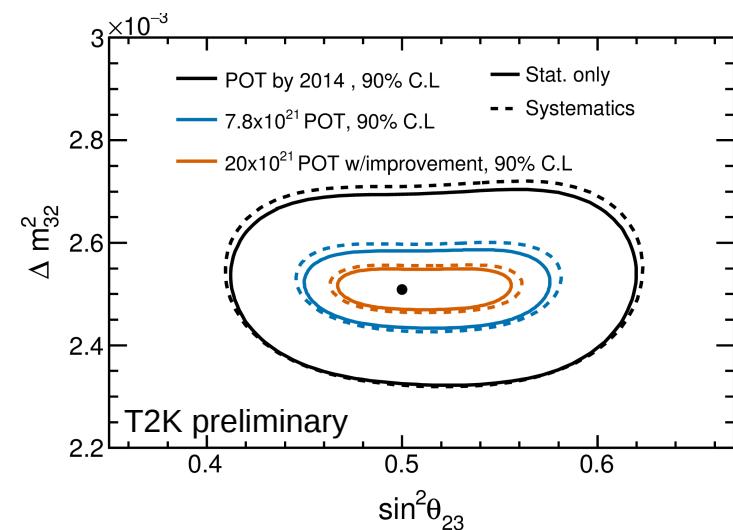
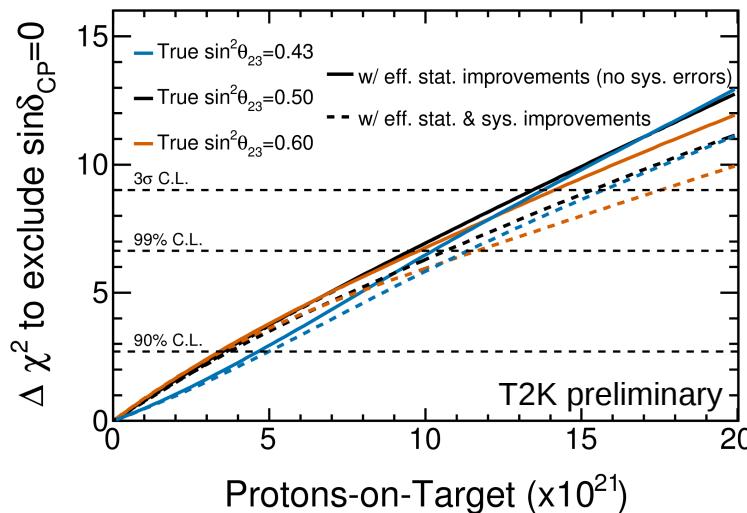
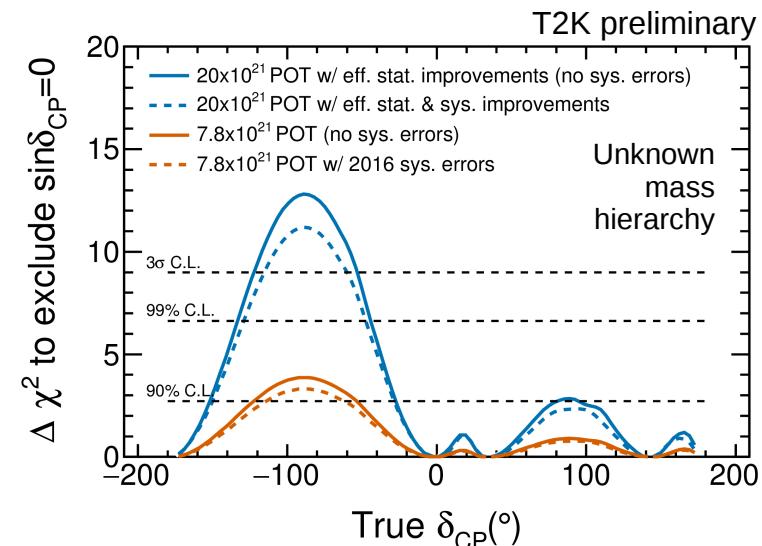
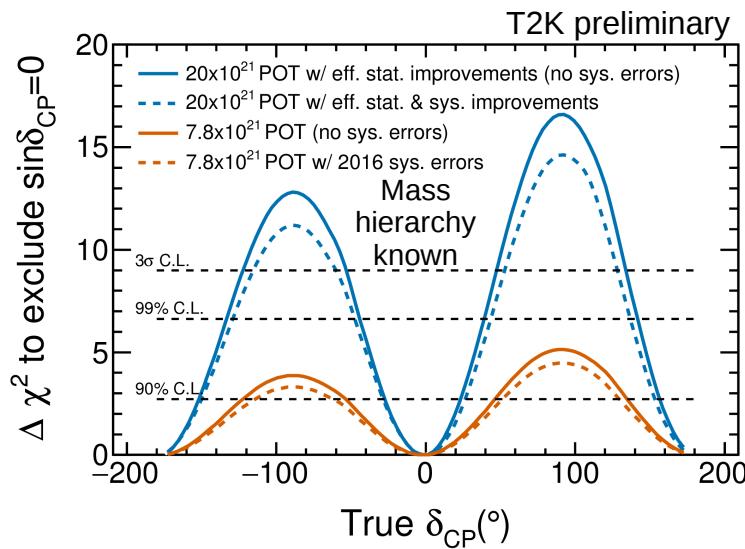
- Staged beam upgrade to increase intensity
 - 420 kW → 750 kW → 1.3 MW
- Sample selection development to increase statistics
 - Fiducial volume expansion, CC nonQE event samples, multi-ring (~+40%)
- Analysis improvements to reduce sys. Uncertainties 6% → 4%
- Proposal for extension of T2K with phase II
 - Increase POT collected $7.8 \times 10^{21} \rightarrow 20.0 \times 10^{21}$

- Beam Upgrade
 - To 750 kW – Decrease bunch interval (2.48 sec → 1.3 sec)
 - Replace Main Ring Power Supply
 - Upgrade MR RF
 - To 1.3 MW Further decrease in bunch interval (1.3 sec → 1.16 sec) and Increase protons per bunch $2.7 \times 10^{14} \rightarrow 3.2 \times 10^{14}$
 - Increase horn current 250 kA → 320 kA (~+10% stats)



Oscillation Analysis Prospects

- With +50% effective statistics/POT and reduced uncertainties



Summary

- Accumulated 15×10^{20} POT
 - Data taking has resumed following the Summer break
- First fully-joint oscillation analysis completed
 - $\nu_e/\bar{\nu}_e$ appearance and $\nu_\mu/\bar{\nu}_\mu$ disappearance joint fit
 - Water target and “wrong sign” constraints from near detector
 - Data consistent with θ_{23} at maximal mixing, $\delta_{CP} \sim -\pi/2$, normal hierarchy
 - CP conservation $\delta_{CP} = (0, \pi)$ disfavoured at 90% C.L.
- Beam power continues to increase – anticipate 750kW in near future, first stage approved
- T2K-phase II
 - Accelerator and beamline upgrades → 1.3MW
 - Run to 2026, accumulate 20×10^{21} POT

The T2K Collaboration



~ 500 members, 61 Institutes, 11 countries

Canada

TRIUMF
U. B. Columbia
U. Regina
U. Toronto
U. Victoria
U. Winnipeg
York U.

France

CEA Saclay
IPN Lyon
LLR E. Poly.
LPNHE Paris

Germany

Aachen

Italy

INFN, U. Bari
INFN, U. Napoli
INFN, U. Padova
INFN, U. Roma
Japan
ICRR Kamioka
ICRR RCCN

Kavli IPMU

KEK

Kobe U.

Kyoto U.

Miyagi U. Edu.

Okayama U.

Osaka City U.

Tokyo Metropolitan U.

U. Tokyo

Poland

IFJ PAN, Cracow
NCBJ, Warsaw
U. Silesia, Katowice
U. Warsaw
Warsaw U. T.
Wroclaw U.

Russia

INR

Spain

IFAE, Barcelona
IFIC, Valencia
U. Autonoma Madrid
U. Liverpool
U. Sheffield
U. Warwick

Switzerland

ETH Zurich
U. Bern
U. Geneva

United Kingdom

Imperial C. London
Lancaster U.
Oxford U.
Queen Mary U. L.
Royal Holloway U.L.
STFC/Daresbury
STFC/RAL
U. Pittsburgh
U. Rochester
U. Washington

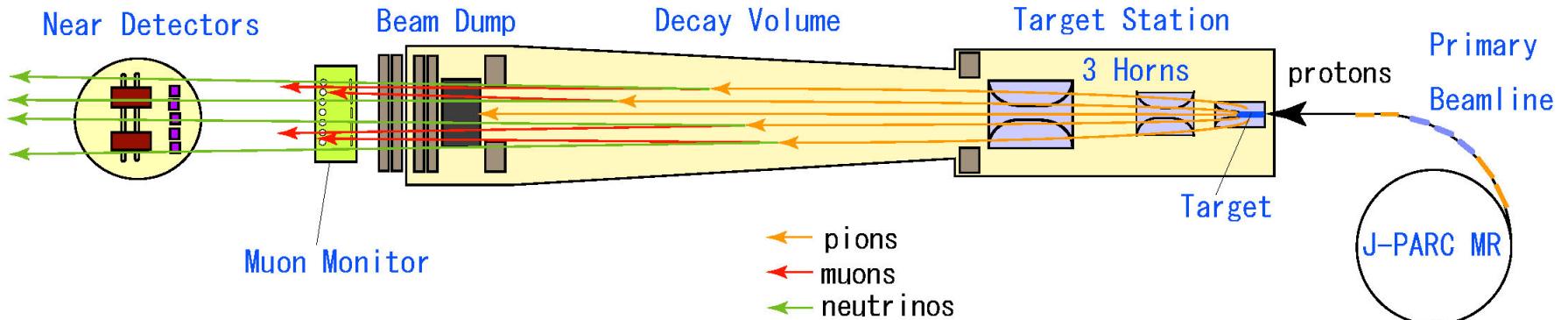
USA

Boston U.
Colorado S. U.
Duke U.
Louisiana State U.
Michigan S.U.

Stony Brook U.
U. C. Irvine
U. Colorado
U. Pittsburg
U. Rochester
U. Washington

Supplemental

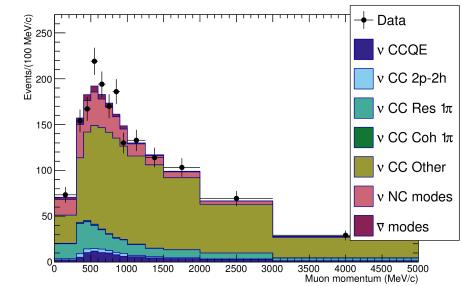
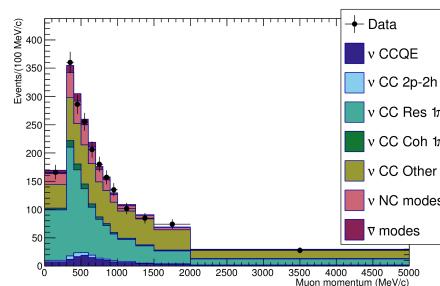
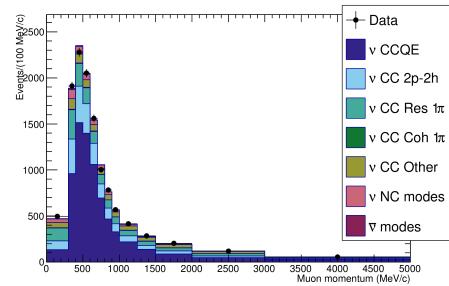
Neutrino Beamline



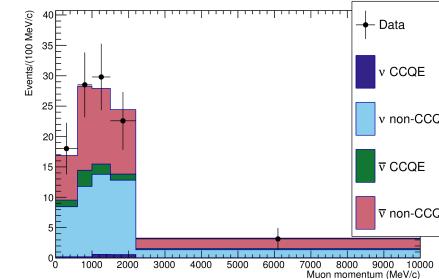
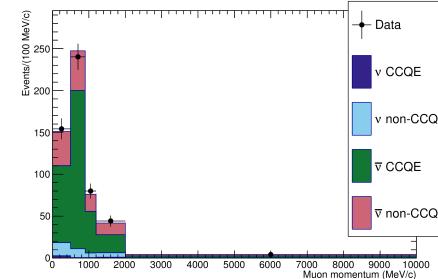
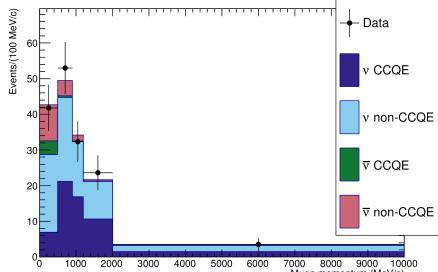
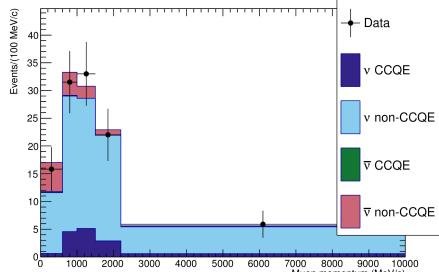
ND280 Fit

- FGD1 – post-fit

ν beam mode



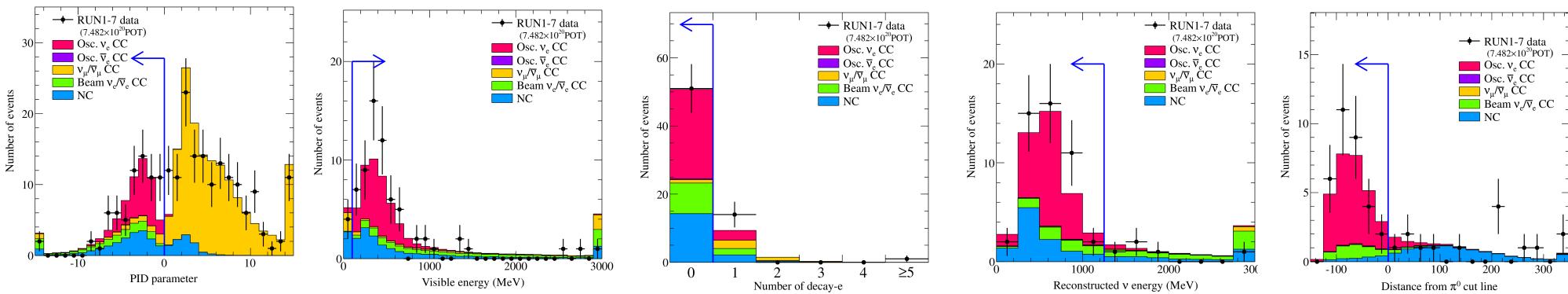
$\bar{\nu}$ beam mode



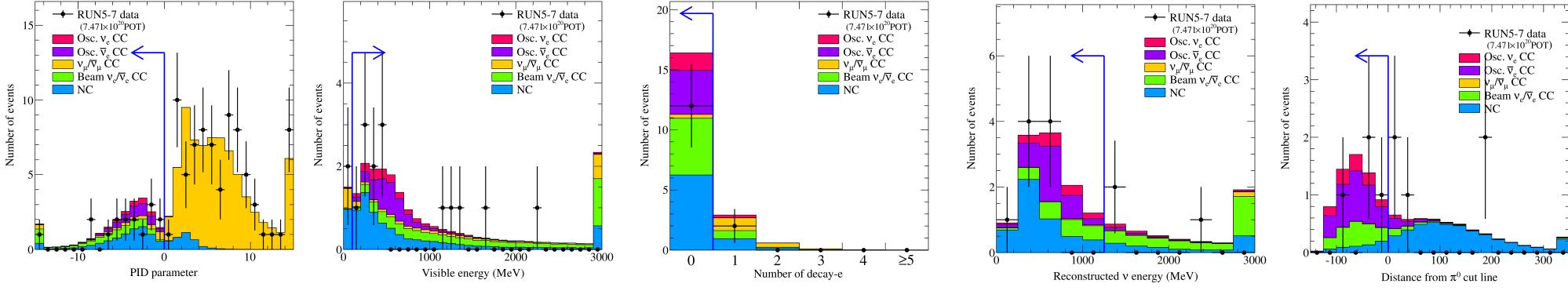
Event Selection at Super-K

- e-like sample selection

ν beam mode



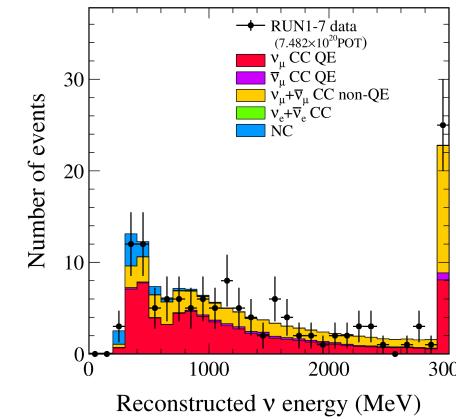
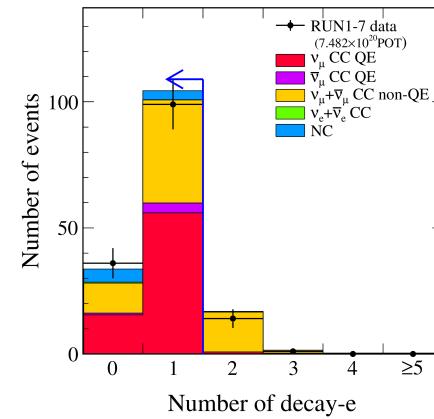
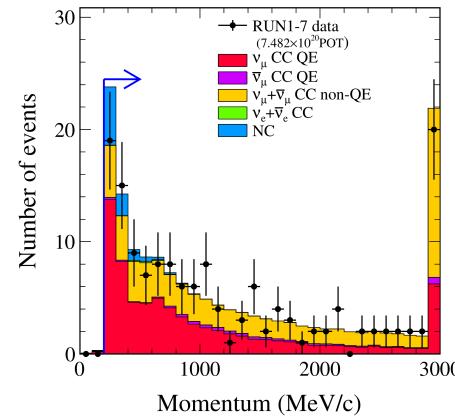
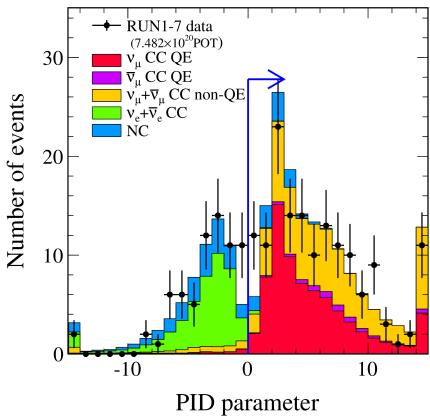
$\bar{\nu}$ beam mode



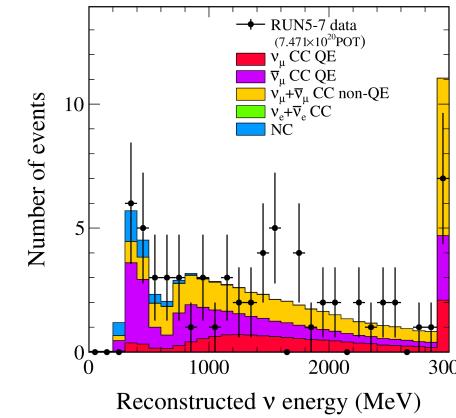
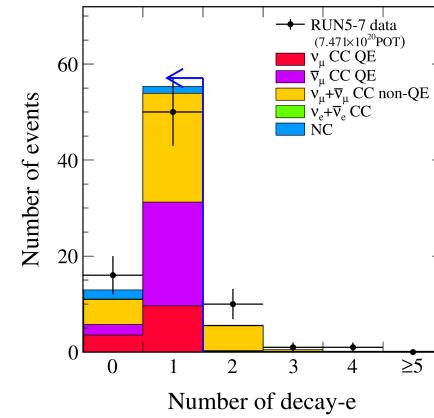
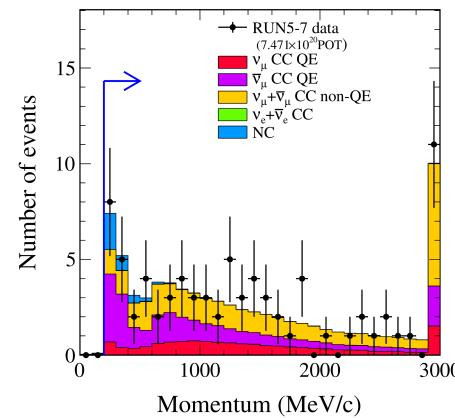
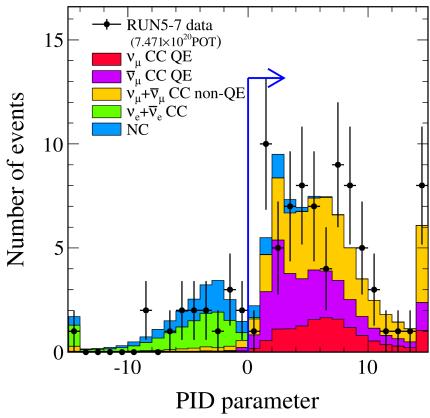
Event Selection at Super-K

- μ -like sample selection

ν beam mode

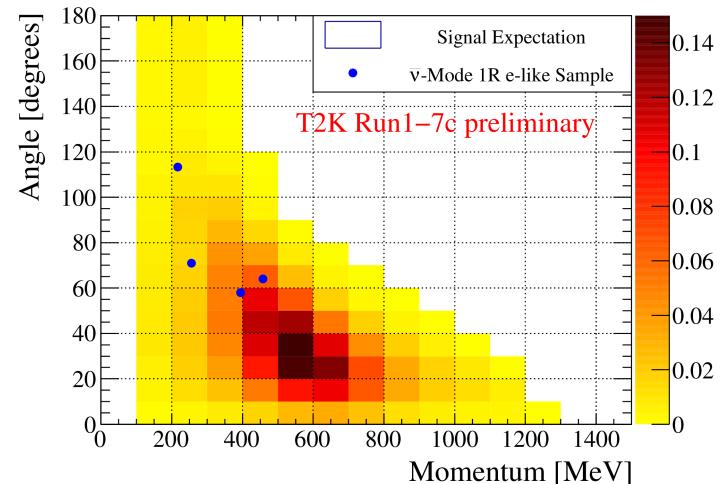
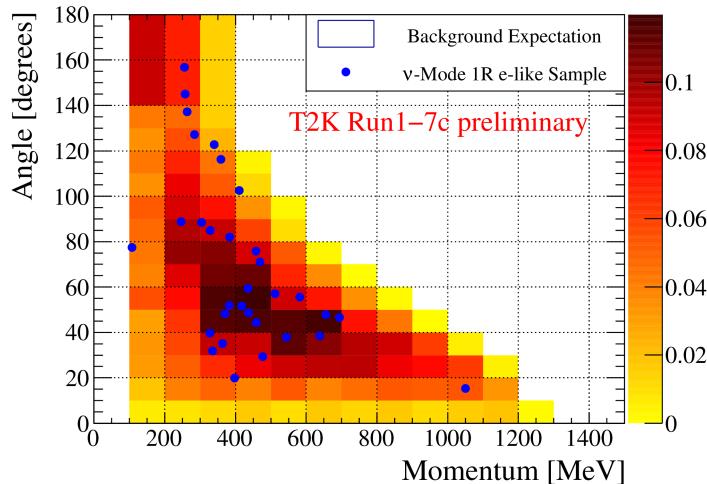
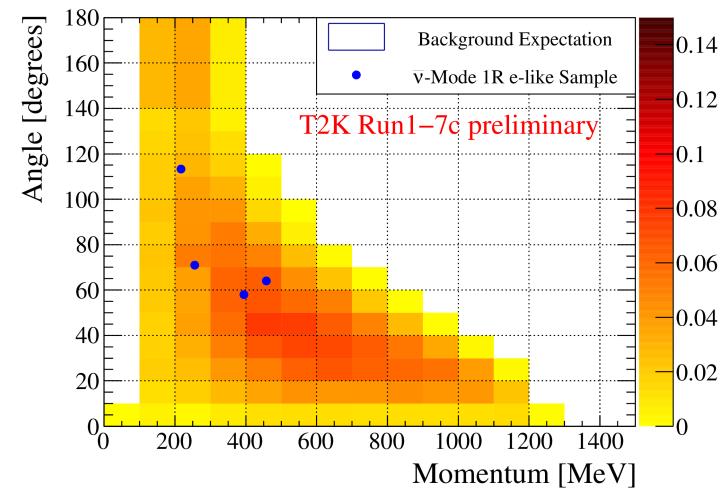
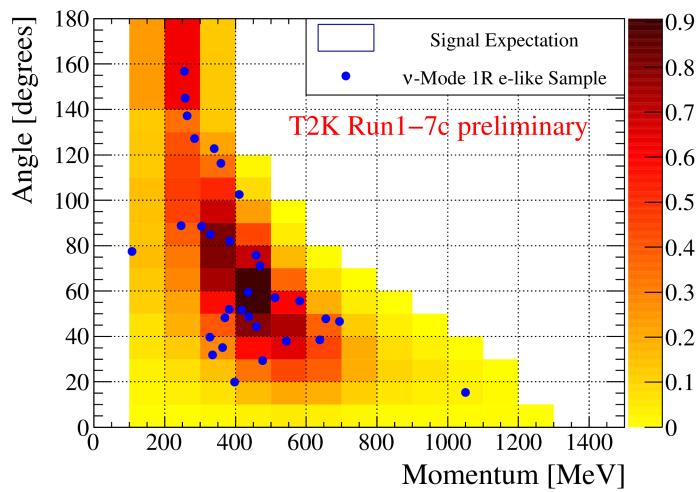


$\bar{\nu}$ beam mode



P-theta distributions

- Nue and Nuebar samples



Vacuum Oscillations

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & 4 c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \Delta_{31} \\
 & + 8 c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} \\
 & - 8 c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} \\
 & + 4 s_{12}^2 c_{13}^2 (c_{12} c_{23} + s_{12}^2 s_{13}^2 s_{23}^2 - 2 c_{12} c_{23} s_{12} s_{13} s_{23} \cos \delta) \sin^2 \Delta_{21}
 \end{aligned}$$

Leading term
 CP Conserving
 CP Violating
 Solar

$$c_{ij} = \cos \theta_{ij}, \quad s_{ij} = \sin \theta_{ij} \quad \Delta_{ij} = \Delta m_{ij}^2 \frac{L}{4E_\nu}$$

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \left(\cos^4 \theta_{13} \cdot \sin^2 2\theta_{23} + \sin^2 2\theta_{13} \cdot \sin^2 \theta_{23} \right) \cdot \sin^2 \frac{\Delta m_{32}^2 \cdot L}{4E_\nu}$$

