

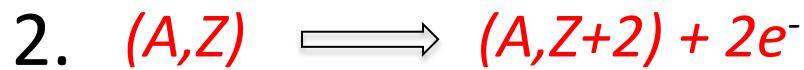
# Review of DBD Experimental Results

C.M. Cattadori INFN-Milano Bicocca  
NNN 2016- Beijing 3<sup>th</sup>-7<sup>th</sup> November 2016

# $\beta\beta$ decay



$2\nu\beta\beta$ ; Allowed in SM; Observed in 12 Nuclei ;  $T_{1/2} 10^{19} - 10^{21}$  yr

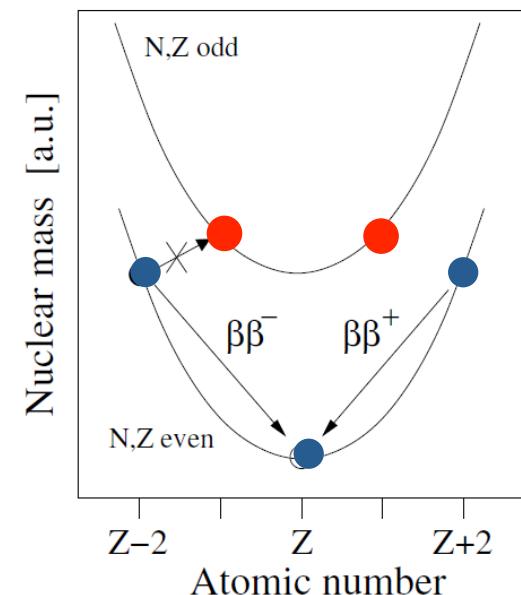


$0\nu\beta\beta$ ; NOT Allowed in SM;  
Not observed;  $T_{1/2} > 10^{26}$  yr

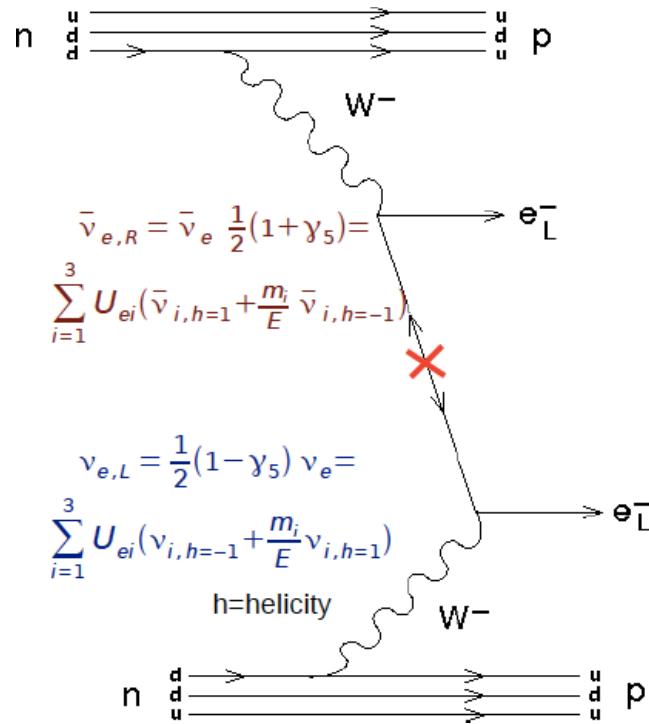


$\beta\beta$  w. Majoron Emission; NOT foreseen in SM; NOT observed;  $T_{1/2} > 10^{22}$  yr

- Processes 2 and 3 would imply new physics beyond SM
- 2 very sensitive to NP as Phase Space factor very favorable
- $2\nu\beta\beta$  proposed by Goeppert-Meyer in 1935
- $0\nu\beta\beta$  proposed by Furry (1935) and Racah (1937)
- First experiment looking for  $0\nu\beta\beta$  with Ge detectors in the '60s-'70s



# $0\nu\beta\beta$ : the mass mechanism



In most extensions of SM  $\longrightarrow$

- One  $\bar{\nu}$  (**L=1**) is emitted at one vertex
- One  $\nu$  (**L = -1**) is absorbed at second vertex

It is a forbidden process in SM and requires

- Lepton number violation by two units  $\Delta L = 2$
- Majorana  $\nu$  of finite mass  $\nu_e = \bar{\nu}_e < m_\nu > \neq 0$

## CAVEAT

DBD can address, although in a Nuclear Model Dependent way

1. Absolute mass scale: i.e. mass of the lightest  $\nu$
2. Hierarchy ( $m_1 \ll m_2 < m_3$  or  $m_3 \ll m_1 < m_2$ ) or degeneracy ( $m_1 \approx m_2 \approx m_3$ )

$$0\nu\beta\beta \text{ rate} = (T_{1/2}^{0\nu})^{-1} \sim F_{0\nu}(Q, Z) |M_{0\nu}|^2 \langle m_{\beta\beta} \rangle^2 / m_e^2$$

Phase space	Nuclear Matrix Elements	Neutrino Mass Term
-------------	-------------------------	--------------------

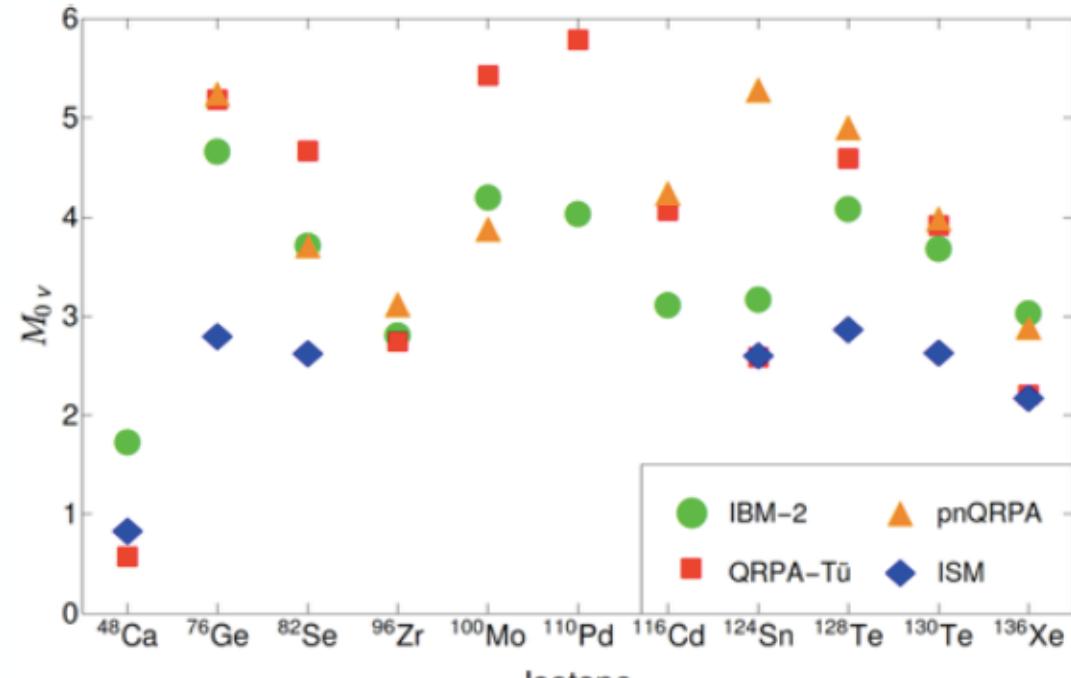
# The Nuclear Matrix Elements

**IBM-2:** J.Barea et al. Phys.Rev C 91  
(2015) 034304

**QRPA-Tu:** F-Simkovic et al. Phys.Rev. C  
87,(2013) 045501

**pnQRPA:** J. Hyvarinen et al. Phys.Rev. C  
91(2015) 034304

**ISM:** J. Mendenez et al., Nucl.Phys. A  
818,(2009) 139



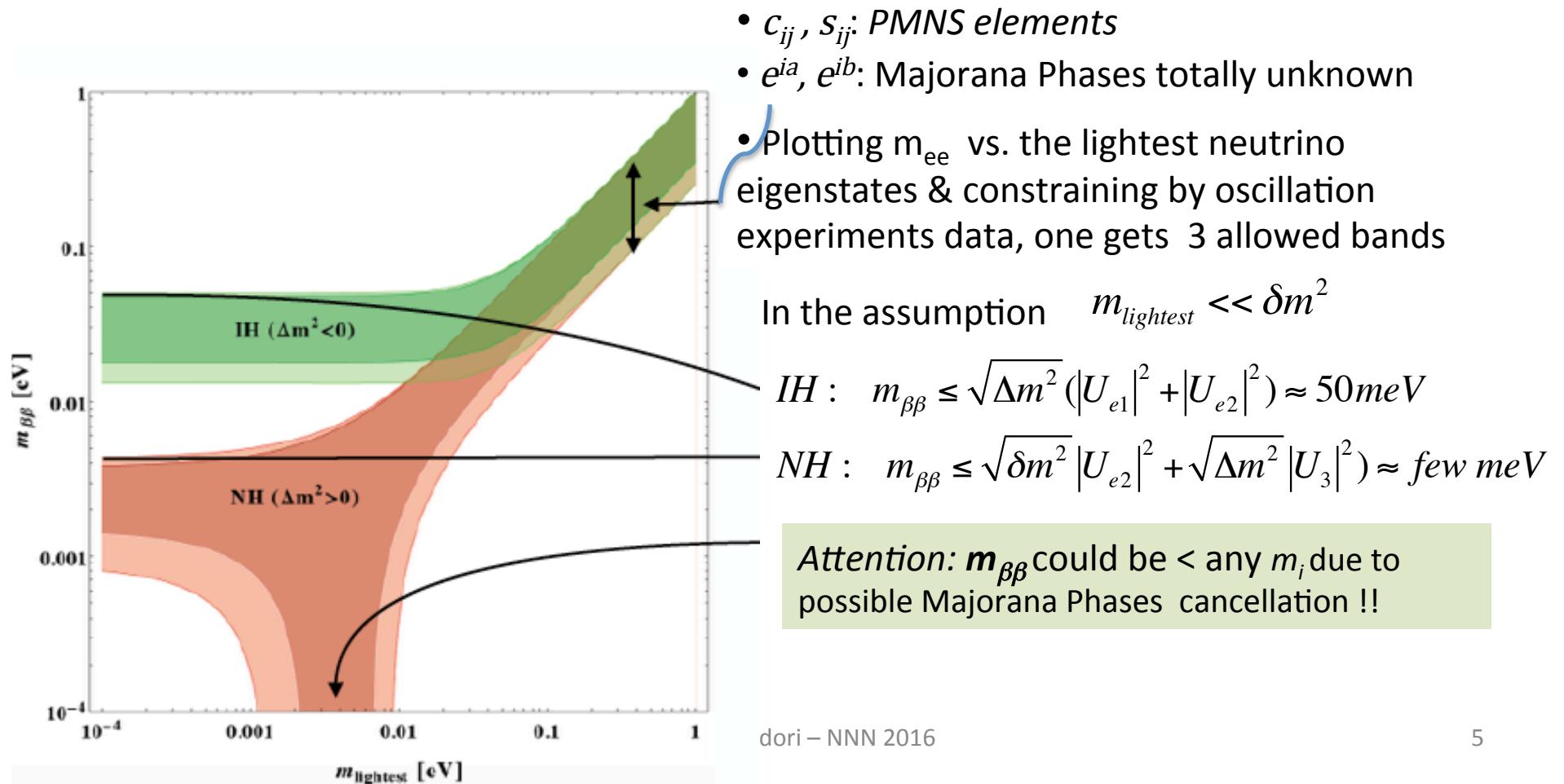
## Conclusions:

- NME vary by factor 2-3 for a given nucleus depending on the model
- NME depends on  $g_A$  quenching value (1.269, 1.0, ....)
- Calculation discrepancies are one of the largest source of uncertainties in the  $0\nu\beta\beta$  half-life computation
- No super element from NME

# Light Neutrino Exchange

In the assumption of the exchange of a Majorana mass neutrino

$$\langle m_{ee} \rangle = \left| \sum_k m_k \right| U_{ek}^2 |e^{ia_i}| = c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 e^{i\alpha} m_2 + s_{13}^2 e^{i\beta} m_3$$



# The Experimental Sensitivity

From the exponential decay law **the half-life** corresponding to the **minimum detectable n. of events ( $N_{\beta\beta}$ ) over bkg** at a given CL

$\varepsilon$ = detection efficiency

A= Atomic weight

i.a.= isotopic abundance

M = mass of detector [kg]

T = data taking time [y]

BI = background index

[cts/(keV kg y)]

$\Delta E$  = FWHM @  $Q_{\beta\beta}$  [keV]

$$T_{1/2}^{0\nu} = \ln 2 \frac{\varepsilon N_{nuclei} T_{meas}}{N_{\beta\beta}} \geq \sqrt{BI \cdot \Delta E \cdot M \cdot T_{meas}}$$

$N_{bkg} > 1$

$$T_{1/2}^{0\nu} \propto \varepsilon \frac{i.a.}{A} \sqrt{\frac{M \cdot T_{meas}}{BI \cdot \Delta E}}$$

Scale ~  
Exposure

$$m_{ee} \propto \frac{1}{Q^{1/2} \cdot M_{0\nu}} \left( \frac{BI \cdot \Delta E}{M \cdot T_{meas}} \right)^{1/4}$$

Performances

$N_{bkg} < 1 \rightarrow$  Bck free

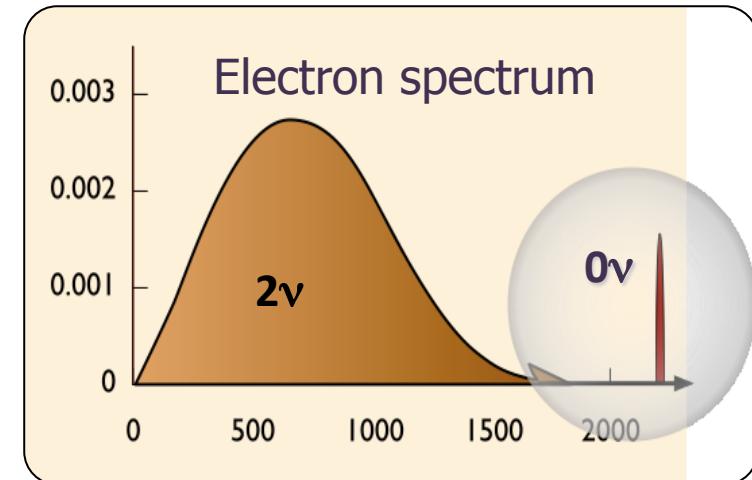
$$T_{1/2}^{0\nu} \propto \varepsilon \frac{i.a.}{A} M T_{meas}$$

# $\beta\beta$ : Experimental Signatures

- Minimal signature:

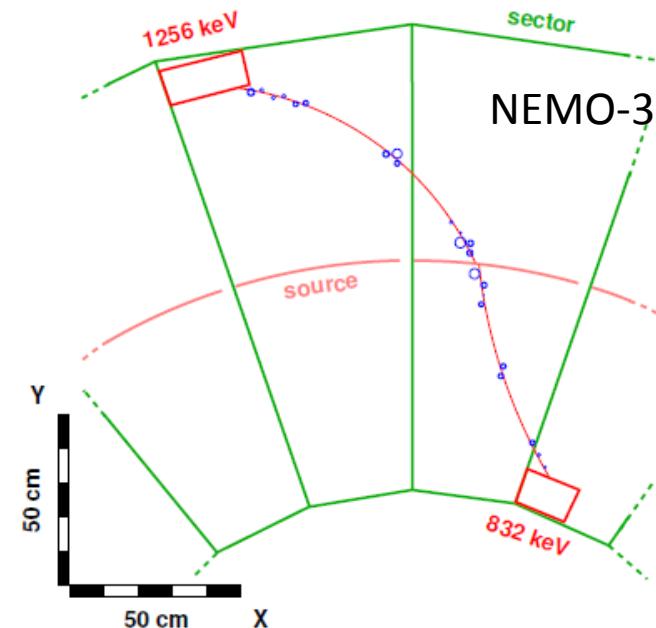
Sum energy spectrum of the two  $e^-$

- $0\nu\beta\beta$  exhibits a peak at  $Q_{\beta\beta}$
- $2\nu\beta\beta$  exhibit a continuum spectrum

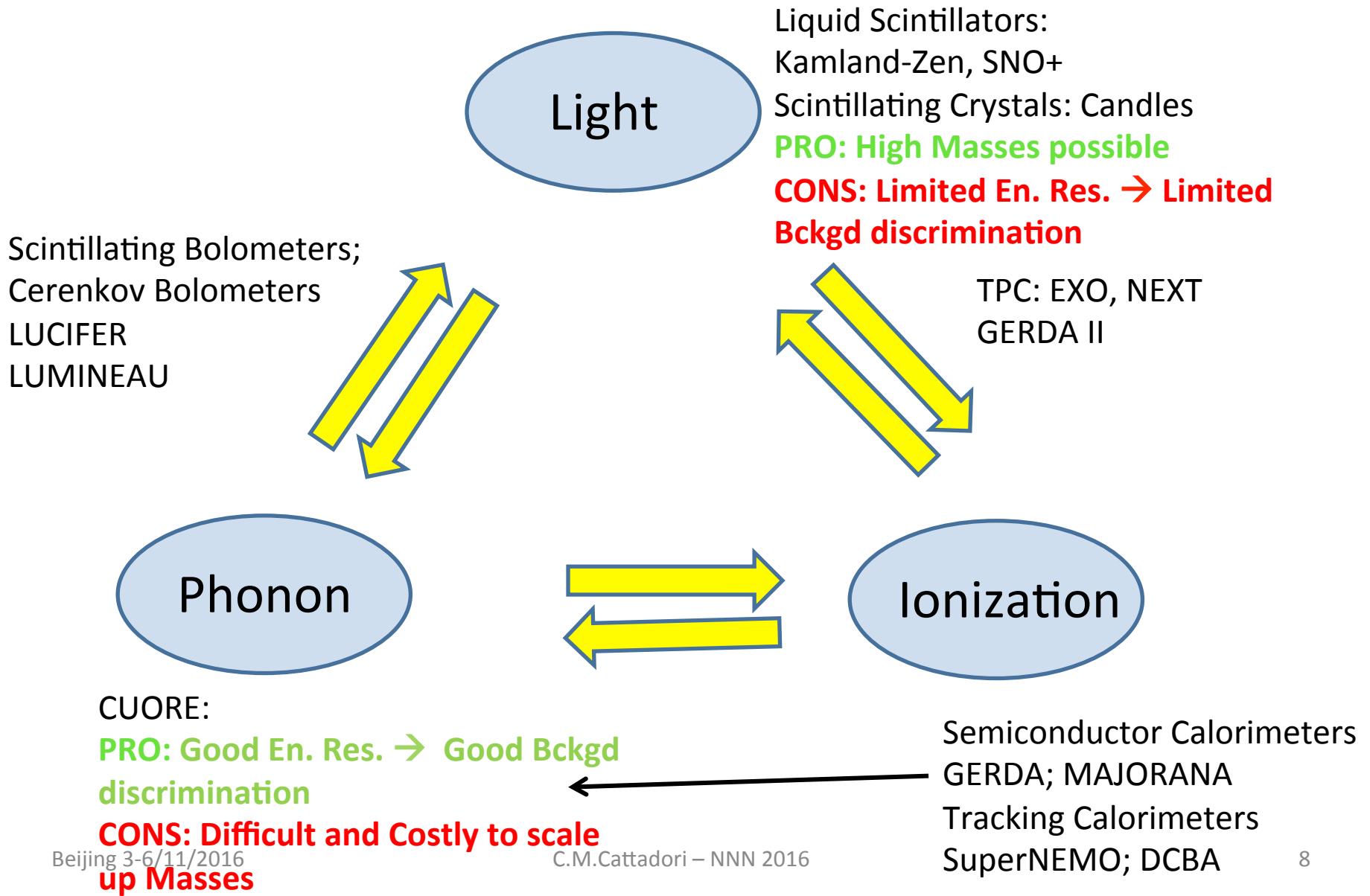


- Additional signatures:

- Single electron spectrum
- Angular correlation between the two  $e^-$
- Identification of Daughter nuclear species



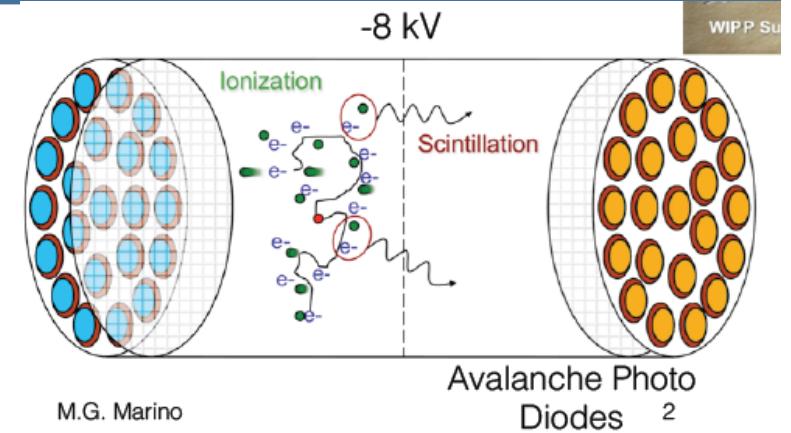
# Experimental Techniques: Better multiple event reconstruction



# EXO-200

Detector Type: Xe-TPC with double readout

- Active Target  $\sim 110$  kg
- $\sigma_E/E \sim 1.25\%$  @ Q Value ( $\sim 2.5$  MeV)
- Background Mitigation: FV Cuts and Single Site vs multi-Site events  $\rightarrow 1.7 \times 10^{-4}$  cts/(keV kg yr)



## Physics results (Phase-I, 100 kg·yr)

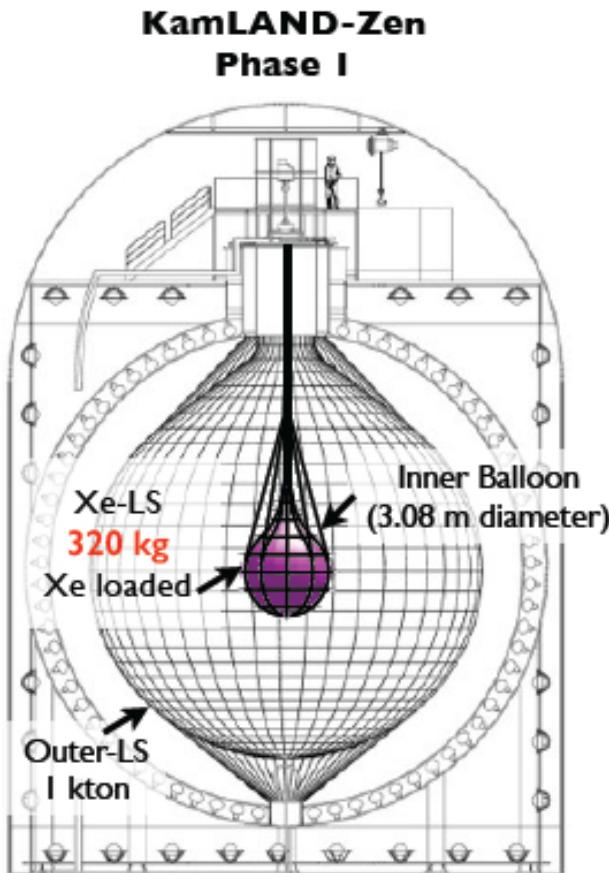
$$T_{1/2}^{2\nu} = (2.165 \pm 0.016 \pm 0.059) \times 10^{21} \text{ yr}$$

$$T_{1/2}^{0\nu} > 1.1 \times 10^{25} \text{ yr} @ 90\% \text{ C.L.}$$

Phys. Rev. C89 (2014) 015502  
EXO-200 Collaboration, Nature 510, 229-234 (12-June 2014)

- After 2014 underground accident, EXO-200 started the data taking in April 2016 after upgrades (Energy Resolution, Radon mitigation) to improve the sensitivity

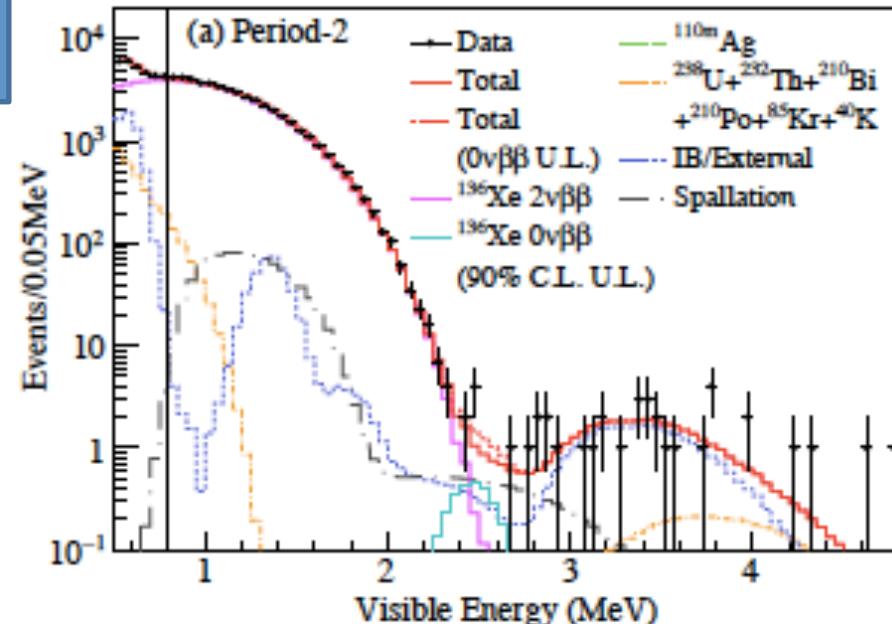
# KAMLAND-ZEN



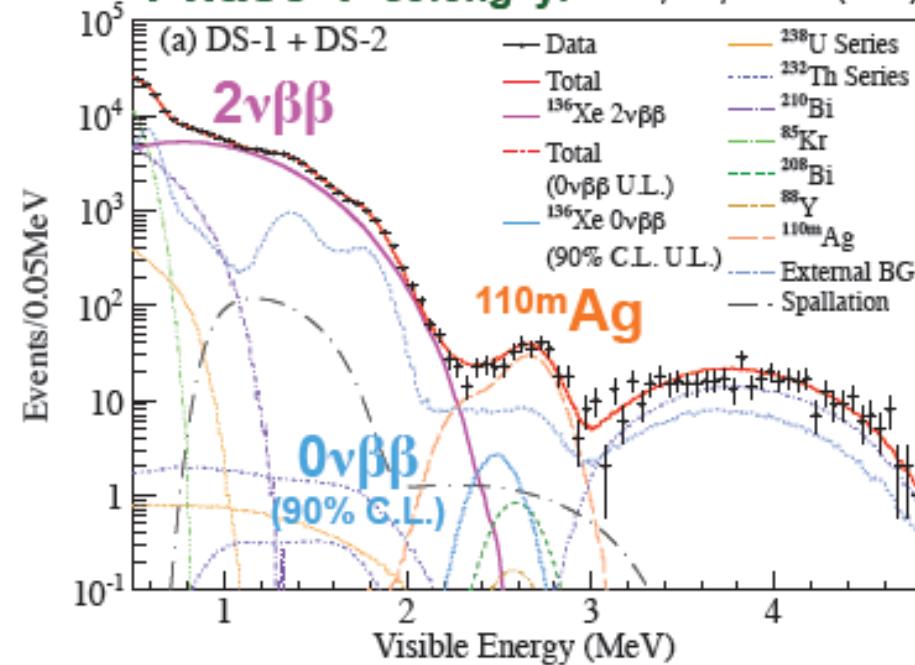
Xenon loaded LS (Xe-LS)	
decane	82%
pseudo-cumene	18%
PPO	2.7 g/liter
xenon	2.44 wt%

$$\sigma_E(2.5\text{MeV}) = 4\%$$

Phase 2:  $\sigma(E)/E$  (2.5 MeV) = 6 %



Phase 1 89.5kg·yr PRL, 110, 062502 (2013)



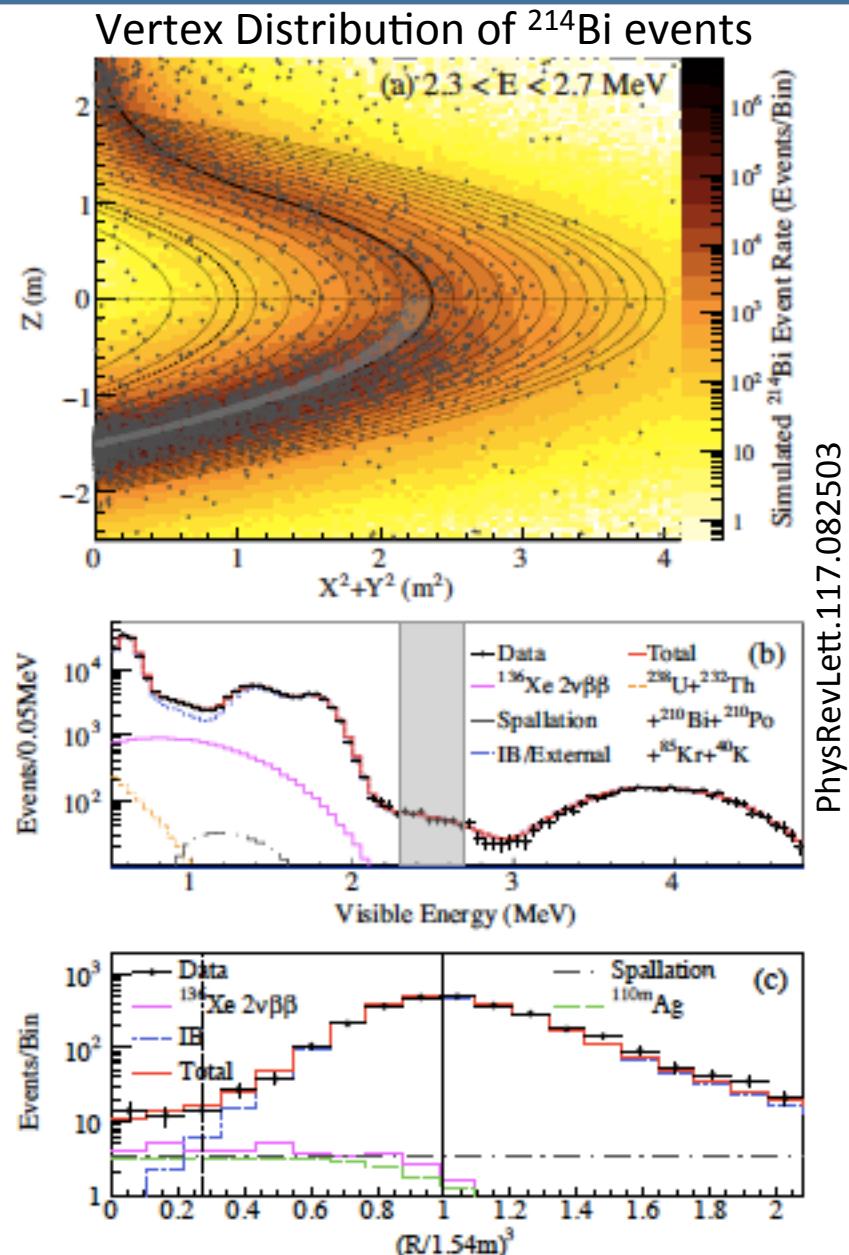
# KZ-Phase II: (126 kg y) $2\nu\beta\beta$

- Unexpected background consistent with  $^{110m}\text{Ag}$   $\beta^-$  decay ( $t=360$  d,  $Q= 3.01$  MeV) limited Phase I Sensitivity
- Divide PII data in two periods: (271 d & 264 d): observed the (presumed)  $^{110m}\text{Ag}$  signal decaying \*not\* w. the proper lifetime
- Xe recovery & Purification campaign (18 months)
- Cut background by FV cuts
- Different background intensities ( $^{110m}\text{Ag}$ ,  $^{214}\text{Bi}$ ) observed in top-bottom emispheres
- **FV <sub>$2\nu\beta\beta$</sub> : 1 m cut → Exposure: 126 kg y**
  - Major background sources:  $^{85}\text{Kr}$ ,  $^{40}\text{K}$ ,  $^{210}\text{Bi}$ ,  $^{214}\text{Bi}$ ,  $^{228}\text{Th}$ -  $^{208}\text{Pb}$

$$T_{1/2}^{2\nu} = 2.21 \pm 0.02^{\text{stat}} \pm 0.07^{\text{sist}} \times 10^{21} \text{ yr}$$

$$N_{2\nu\beta\beta} = 100 \pm 1.5 \text{ decay/(ton d)}$$

- Consistent with KZ-Phase I and EXO results



# Kamland-Zen Phase II: $0\nu\beta\beta$

PhysRevLett.117.082503

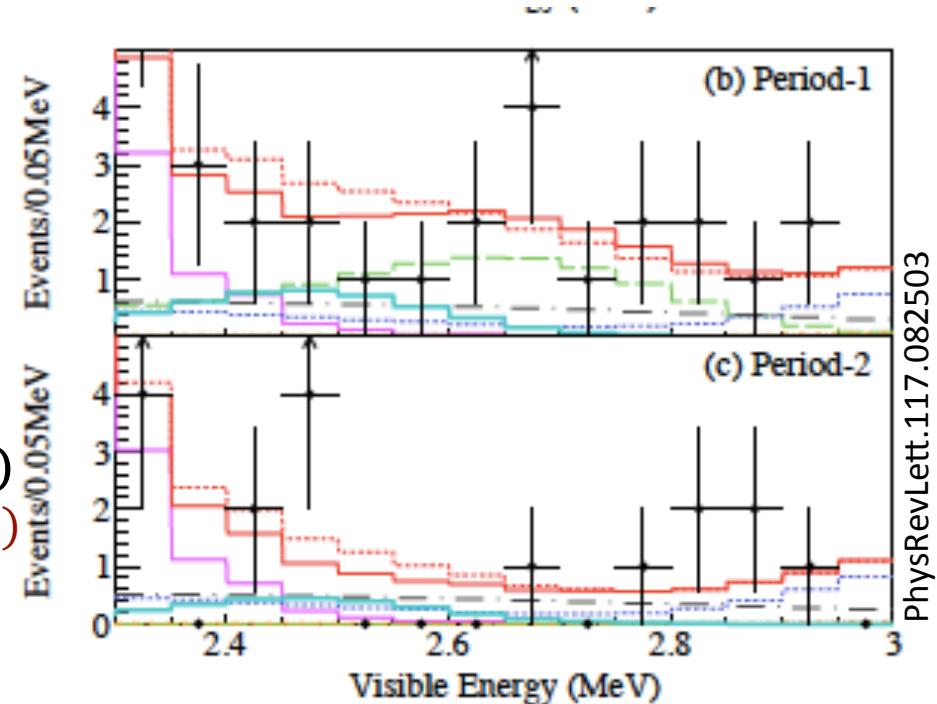
	Period-1 (270.7 days)		Period-2 (263.8 days)	
Observed events	22			11
Background	Estimated	Best-fit	Estimated	Best-fit
$^{136}\text{Xe}$ $2\nu\beta\beta$	-	5.48	-	5.29
Residual radioactivity in Xe-LS				
$^{214}\text{Bi}$ ( $^{238}\text{U}$ series)	$0.23 \pm 0.04$	0.25	$0.028 \pm 0.005$	0.03
$^{208}\text{Tl}$ ( $^{232}\text{Th}$ series)	-	0.001	-	0.001
$^{110m}\text{Ag}$	-	8.0	-	0.002
External (Radioactivity in IB)				
$^{214}\text{Bi}$ ( $^{238}\text{U}$ series)	-	2.55	-	2.45
$^{208}\text{Tl}$ ( $^{232}\text{Th}$ series)	-	0.02	-	0.03
$^{110m}\text{Ag}$	-	0.002	-	0.001
Spallation products				
$^{10}\text{C}$	$2.7 \pm 0.7$	3.2	$2.6 \pm 0.7$	2.7
$^6\text{He}$	$0.07 \pm 0.18$	0.08	$0.07 \pm 0.18$	0.08
$^{12}\text{B}$	$0.15 \pm 0.04$	0.16	$0.14 \pm 0.04$	0.15
$^{137}\text{Xe}$	$0.9 \pm 0.5$	1.1	$0.9 \pm 0.5$	0.8

- $\text{FV}_{0\nu\beta\beta}$ : 2 m cut to use the full target mass →
- **Exposure = 504 kg·yr**
- z-profile of  $^{214}\text{Bi}$  from IB folded in
- 3.5 m FV cut used to study spallation events dominated by  $^{10}\text{C}$ . Eliminated by triple coincidence
- Period-2 (drastic  $^{110m}\text{Ag}$  reduction) dominates the analysis
- ROI for background evaluation : 2.3-2.7 MeV

# KZ Results: $0\nu\beta\beta$

- Results: Period I and Period II
 
$$N_{\beta\beta} < 22.4 \text{ (kton d)}^{-1}$$

$$T_{1/2}^{0\nu} > 9.6 \cdot 10^{25} \text{ yr (90 \% C.L.)}$$
  - Sensitivity =  $4.9 \cdot 10^{26} \text{ yr}$
  - Combining KZ-PII & KZ-PI
    - KZ-I:  $T_{1/2}^{0\nu} > 1.9 \cdot 10^{25} \text{ yr (90 \% C.L.)}$
    - KZ-II:  $T_{1/2}^{0\nu} > 9.6 \cdot 10^{25} \text{ yr (90 \% C.L.)}$
- $T_{1/2}^{0\nu} > 1.6 \cdot 10^{26} \text{ yr (90 \% C.L.)}$

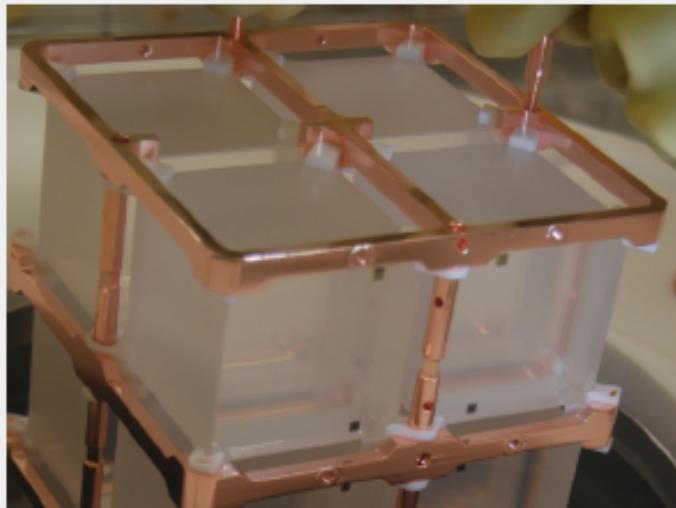
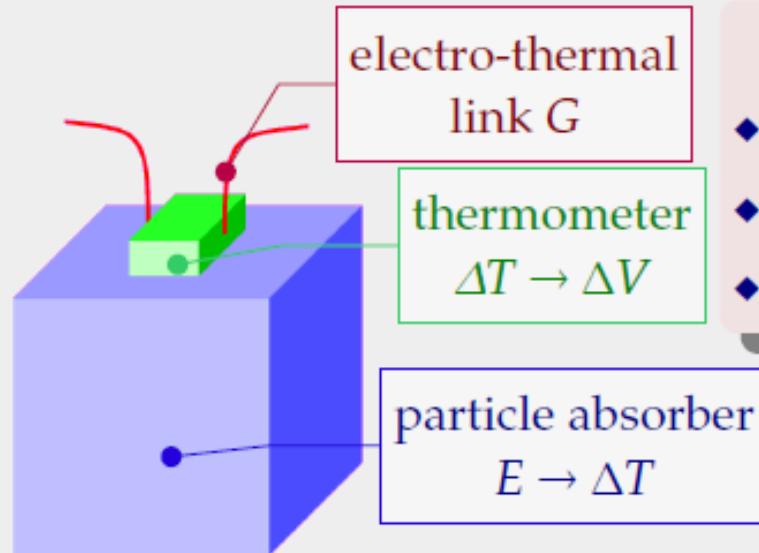


The first limit in  $10^{26}$  decade!!!!

## KZ Perspectives

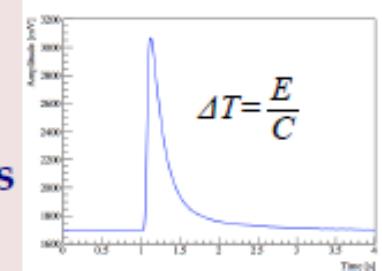
- Planned improvement with 800 kg  ${}^{enr}\text{Xe}$ , reduced radioactivity IB.
- Requirements
  - significant improvement of the Energy Resolution because of  $2\nu\beta\beta$  events leak
  - improved cuts on spallation events

# $\text{TeO}_2$ cryogenic detectors or bolometers



## Properties of bolometers

- ◆ high energy resolution:  $(k_B C T^2)^{1/2}$
- ◆ large choice of absorber materials
- ◆ true calorimeters



## $\text{TeO}_2$ Absorbers

- ◆ low specific heat
- ◆ large crystals available
- ◆ radiopure
- ◆  $5 \times 5 \times 5 \text{ cm}^3$  crystals have high detection efficiency for  $\beta\beta 0\nu$  events: ~87%

$T \sim 10 \text{ mK}$   
 $\Delta T / \Delta E \sim 0.1 \text{ mK/MeV}$   
 $\Delta V / \Delta E \sim 0.3 \text{ mV/MeV}$   
 $\tau = C/G \sim 1 \text{ s}$   
 $C \sim 2 \times 10^{-9} \text{ J/K}$

## $^{130}\text{Te}$ as $\beta\beta 0\nu$ candidate

- \* high natural isotopic abundance: 34.2 %
- \* transition energy:  $Q = 2528 \text{ keV}$
- \* encouraging nuclear matrix element calculations



# $^{130}\text{Te}$ $\beta\beta0\nu$ search with $\text{TeO}_2$ bolometers

MiDBD  
1.8 kg  $^{130}\text{Te}$



1997-2001

$\tau_{1/2}^{0\nu} > 2.1 \times 10^{23} \text{ y}$  [1]  
(90% C.L.)

Cuoricino  
11.3 kg  $^{130}\text{Te}$



2003-2009

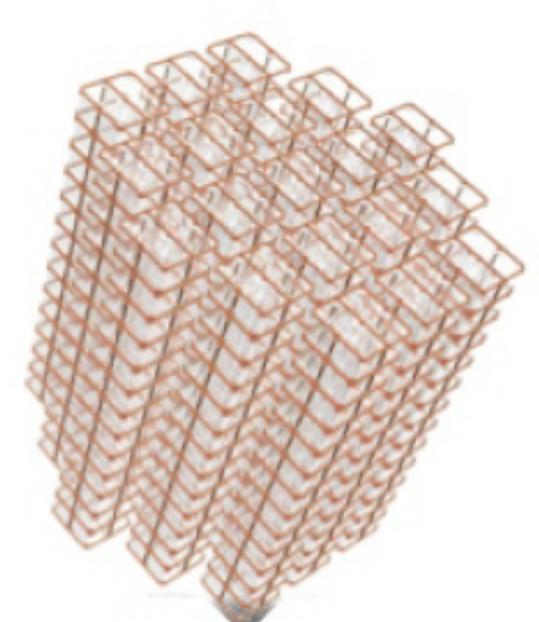
$\tau_{1/2}^{0\nu} > 2.8 \times 10^{24} \text{ y}$  [2]  
(90% C.L.)

CUORE-0  
10.9 kg  $^{130}\text{Te}$



2013...2015

CUORE  
 $\sim 206 \text{ kg } ^{130}\text{Te}$



**Start Commissioning  
Expected early 2017**

[1] C. Arnaboldi et al., Phys. Lett. B557 (2003) 167

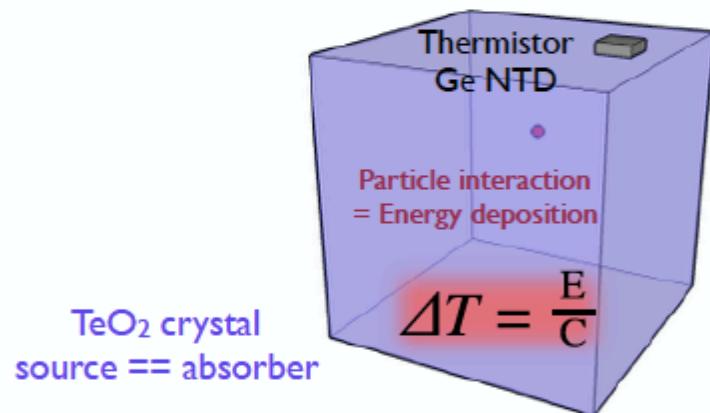
[2] E. Andreotti et al., Astrop. Phys. 34 (2011) 822

# CUORE-0

**Detector Type:** TeO<sub>2</sub> thermal detectors

- Active target: ~10 kg
- $\sigma_E/E$ : ~0.2% @ Q value
- Coincidence veto for bkg reduction and modelling:  $6 \times 10^{-2}$  cnts/keV/kg/yr

Energy release in crystals @ cryogenic temperature → measurable T variation



## Physics results (29.55 kg\*y):

$$T_{1/2}^{2\nu} = (8.2 \pm 0.2^{\text{stat.}} \pm 0.6^{\text{sys.}}) \times 10^{20} \text{ yr}$$

$$T_{1/2}^{0\nu} > 4.0 \times 10^{24} \text{ yr} @ 90\% \text{C.L. (w/ Cuoricino)}$$

Beijing 3-6/11/2016

C.M.Cattadori



Operated in former Cuoricino cryostat, with improved energy resolution, background level (materials selection, cleaning and handling), duty cycle and detector response model

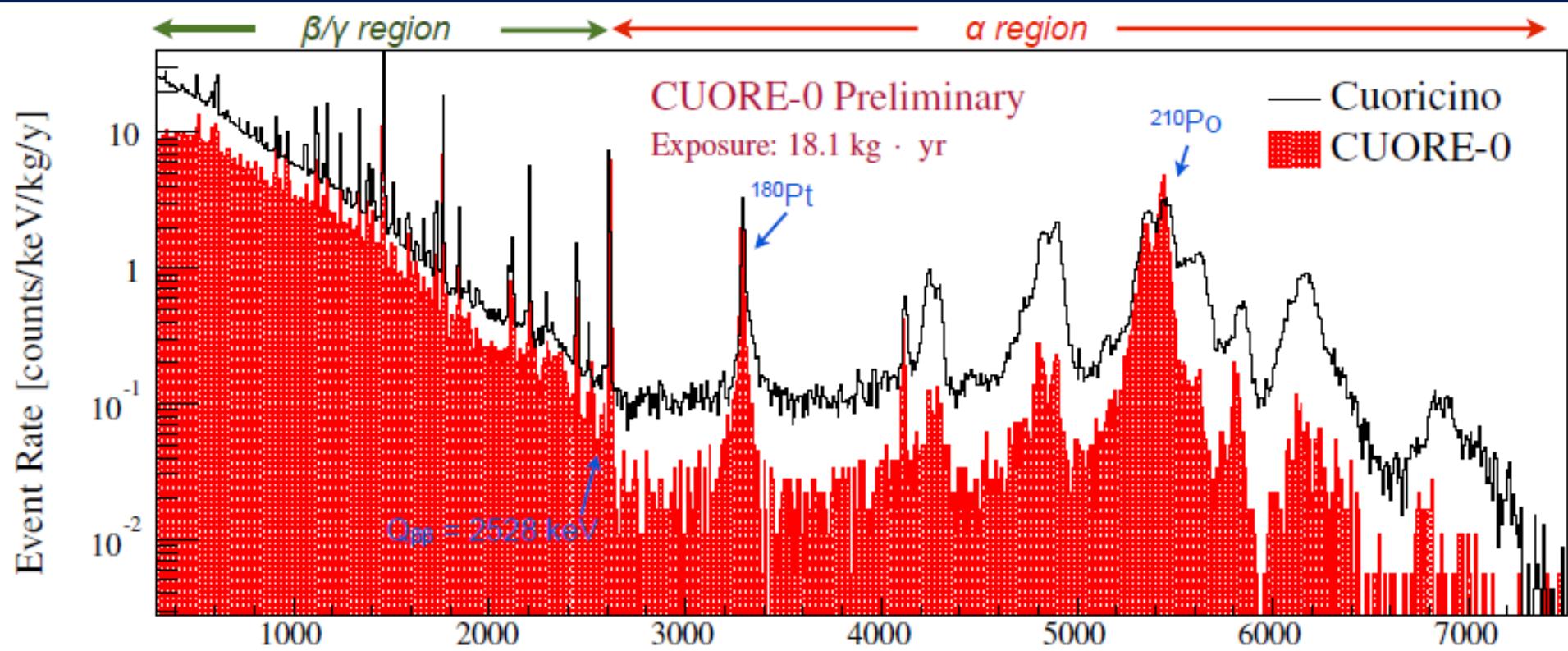
NNN 2016

K. Alfonso et al., Phys. Rev. Lett. 115, 102502 (2015)

16

Otranto (Lecce, Italy), September 4-11, 2016

# CUORE-0 background



- Cuoricino background model confirmed:
  - environmental gamma's from material bulk contaminations
  - surface radioactive contaminations of close materials
- Evident reduction with respect to Cuoricino
  - factor of 6 for surface contaminations
  - factor  $\sim 2.5$  in the ROI

	$0\nu\beta\beta$ region cnts/(keV kg y)	2700-3900 keV	$\varepsilon(\%)$
Cuoricino	$0.153 \pm 0.006$	$0.110 \pm 0.001$	83
CUORE-0	$0.063 \pm 0.006$	$0.020 \pm 0.001$	78

# GERDA



**Phase I Data Taking: 2011-2013**

**Exposure: 21.6 kg•yr**

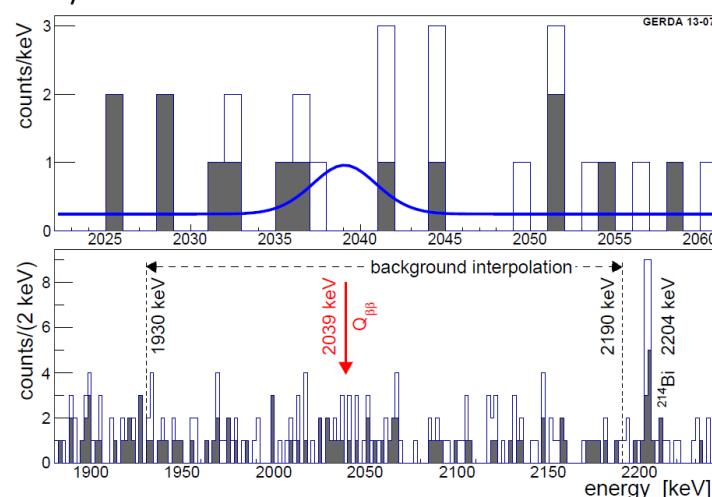
**Best fit:  $N^{0\nu} = 0$**

**$N^{0\nu} < 3.5$  cts @ 90% C.L.**

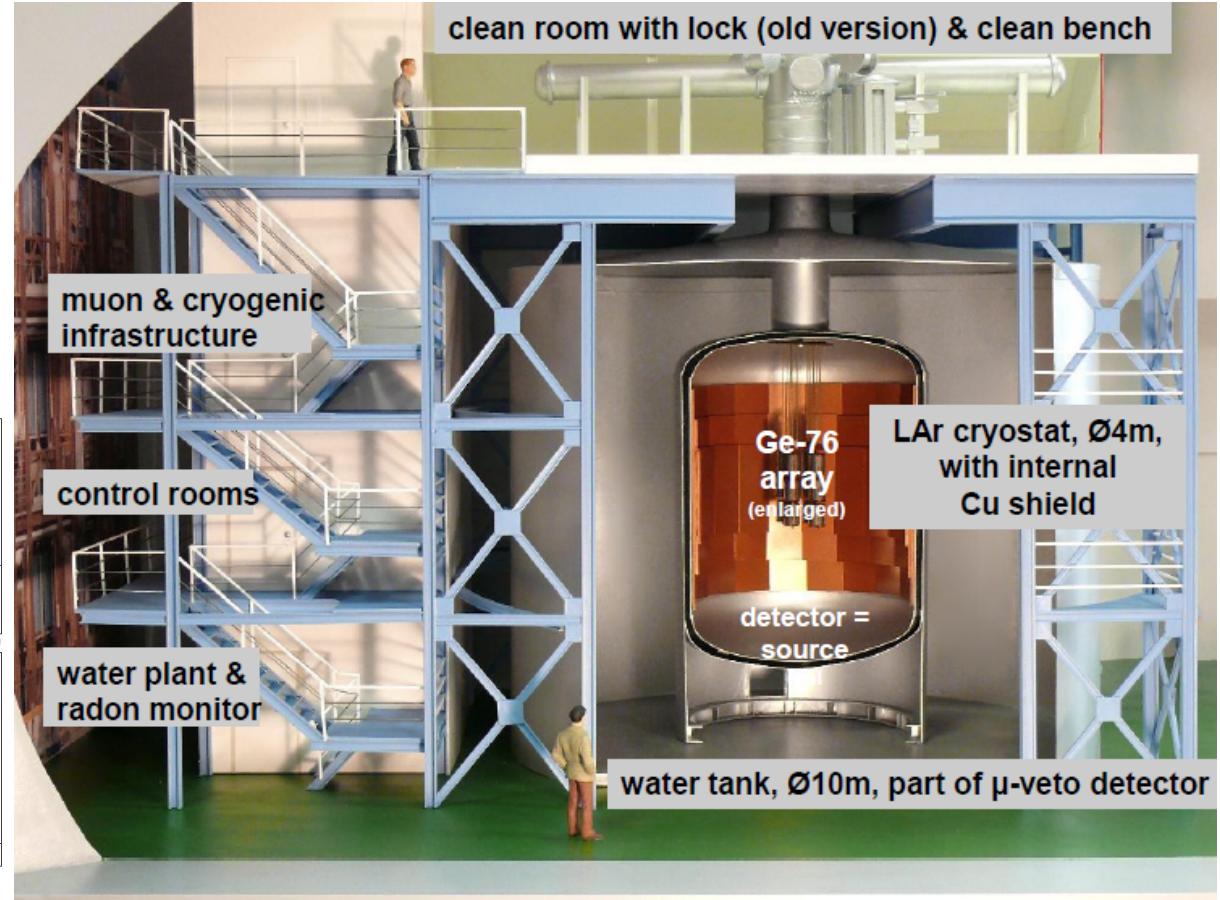
**$T_{1/2}^{0\nu} > 2.1 \times 10^{25}$  yr @ 90% CL**

**Median sensitivity:**

**$T_{1/2}^{0\nu} > 2.4 \times 10^{25}$  yr**

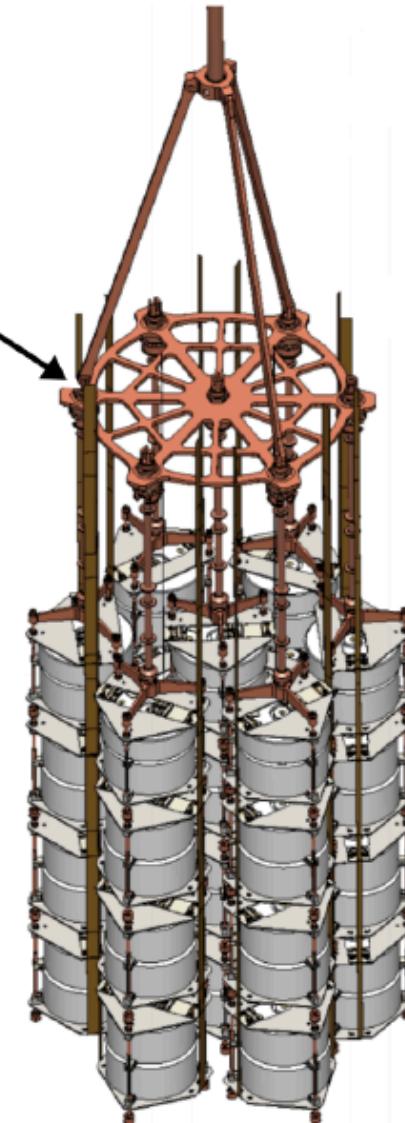
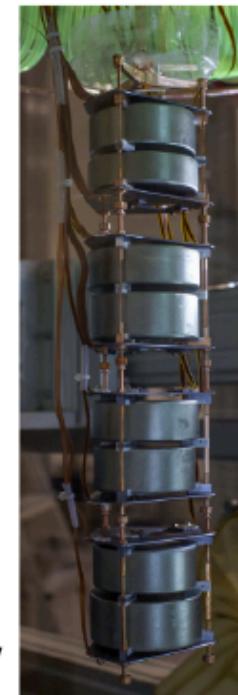
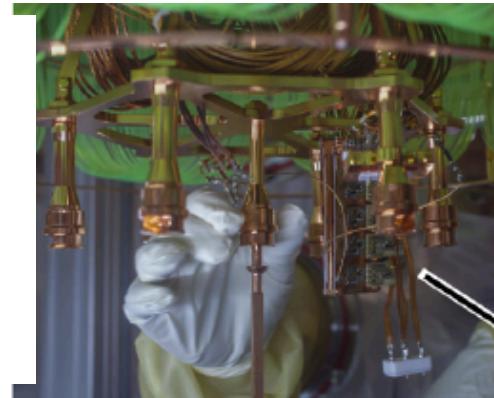
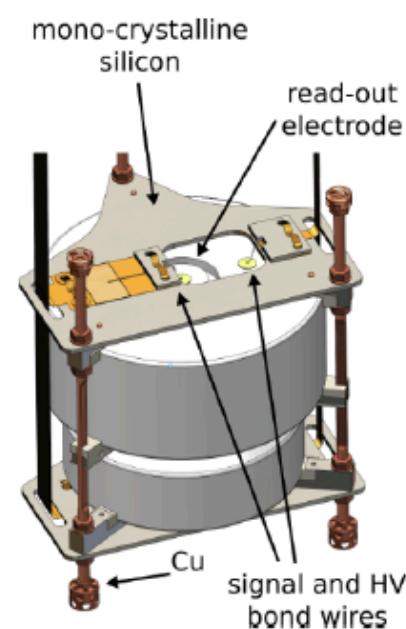


PRL 111 (2013) 122503



# GERDA II Upgrade

- 30  $^{76}\text{Ge}$  (20 kg) detectors produced at Canberra. 5 units already deployed in GERDA I [EPJC 75 (2015) 39]
- New lower mass holders
- Spring loaded contacts changed to wire bonded to improve contact quality
- New low-mass low-activity FE electronics low Rn emanation coax cables and detector-to-FE contacts

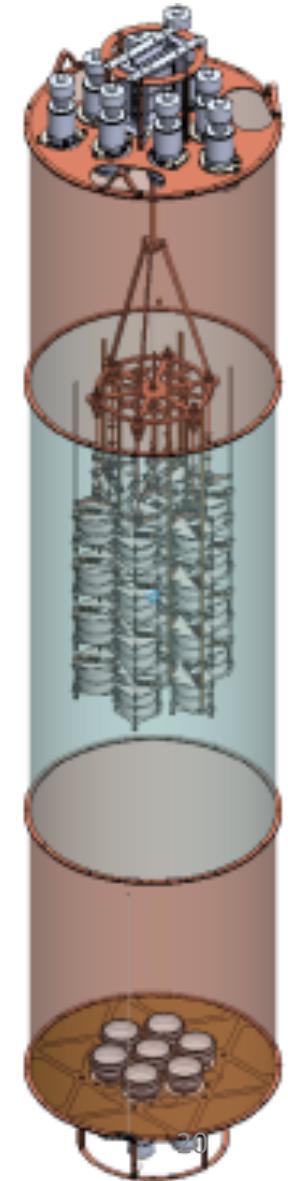


# GERDA II: LAr Readout as Veto

LAr Scintillation Light readout to Veto radiation  
releasing energy both in LAr & in Ge.

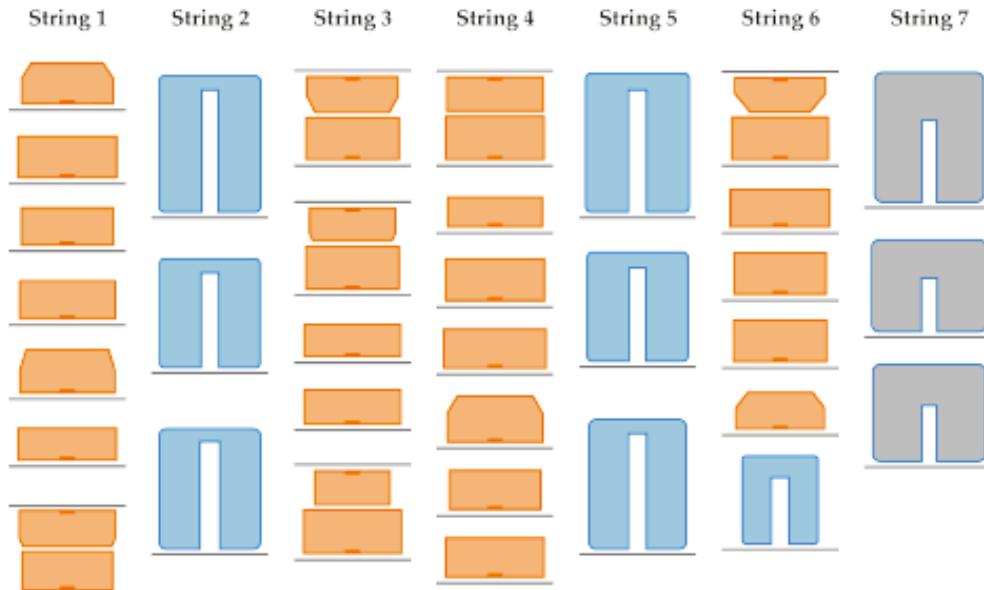
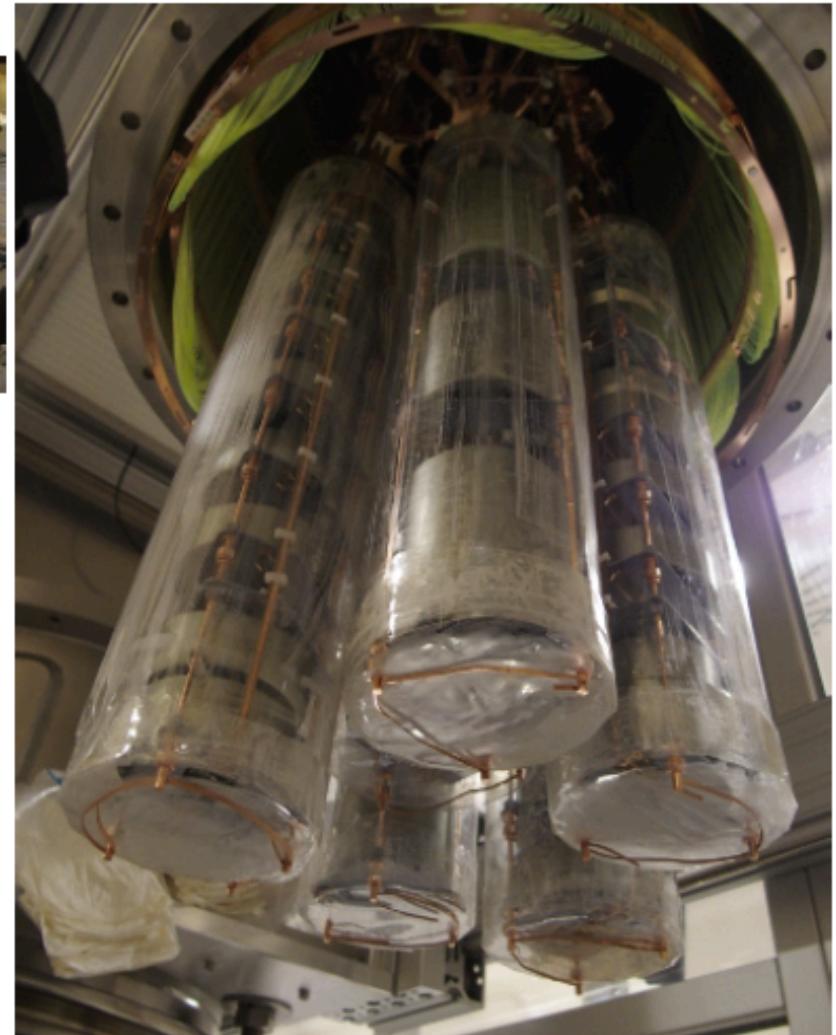
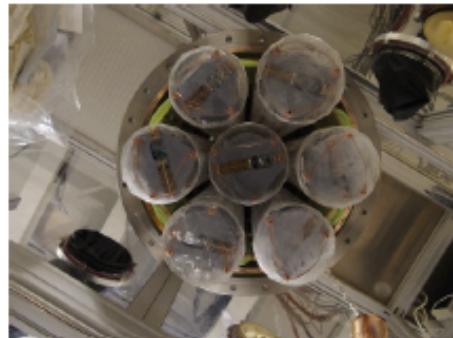
Instrumentation:

- 16 PMT (9 top / 7 btm)
- 800 m fibers coated with WLS + 90 SiPMs)
- Nylon minishoud around each Ge string coated with WLS



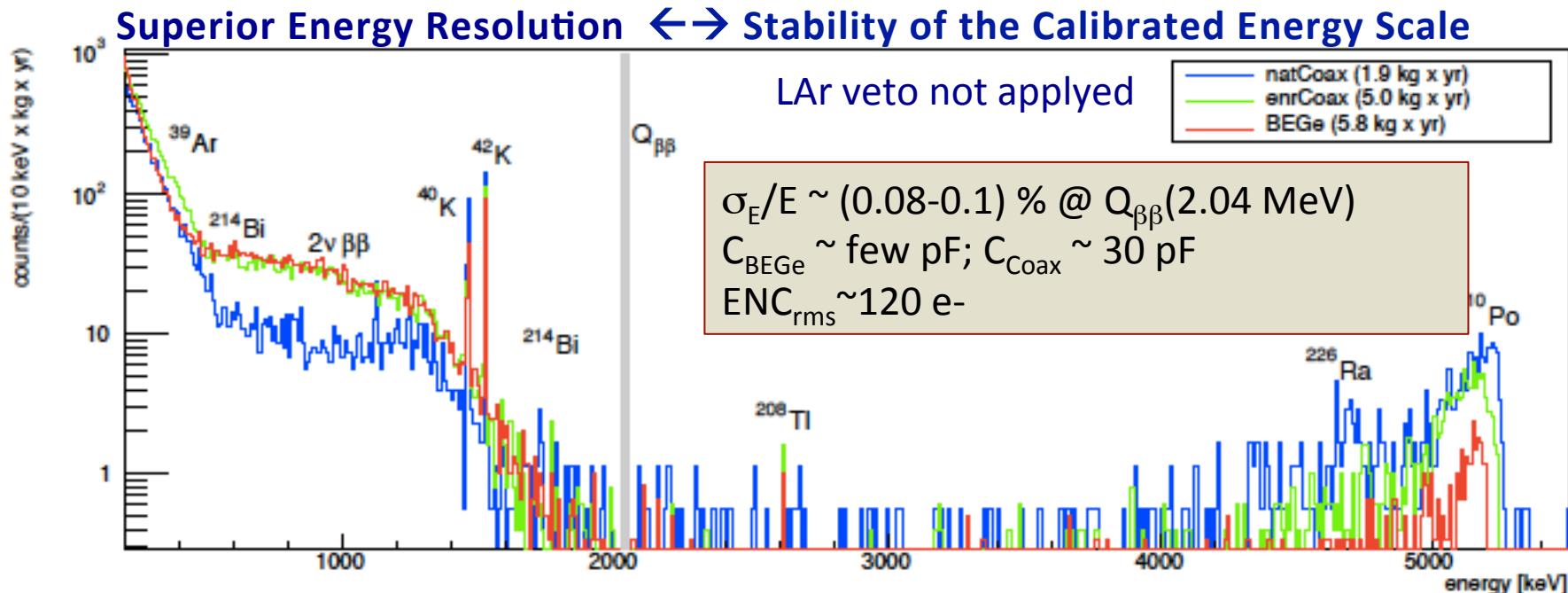
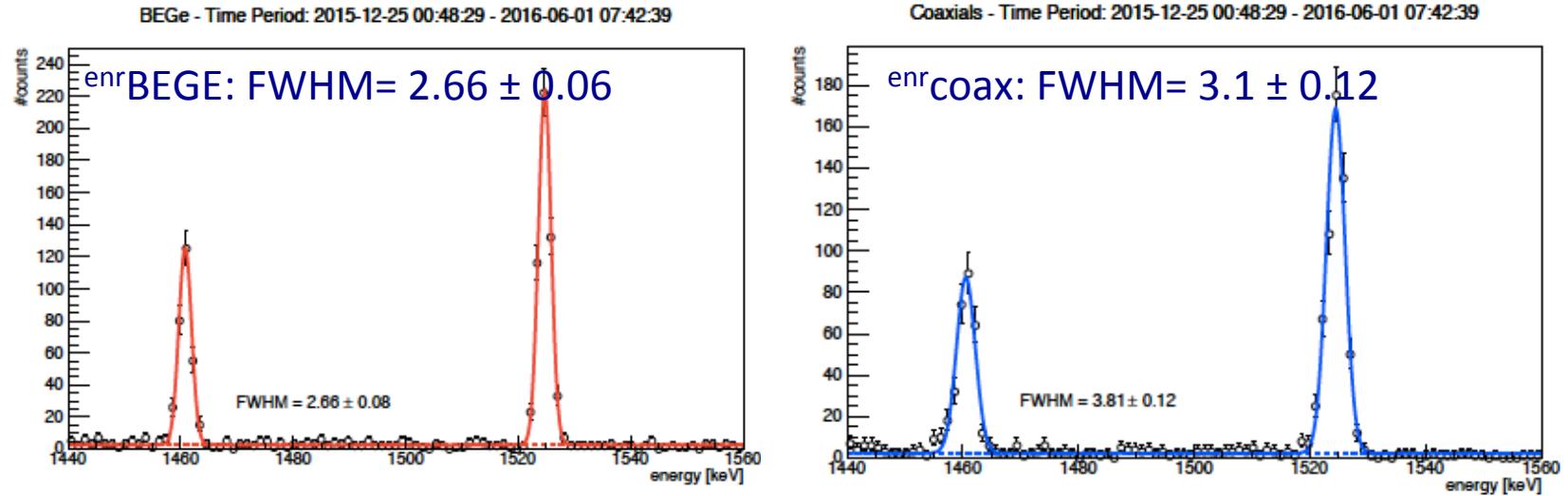
# GERDA II: Final configuration

- Deployed in Dec 2015
  - 30 enriched BEGe (20 kg)
  - 7 enriched Coax (15.8 kg)
  - 3 natural Coax (7.6 kg)
- ⇒ **35.8 kg of enr detectors**

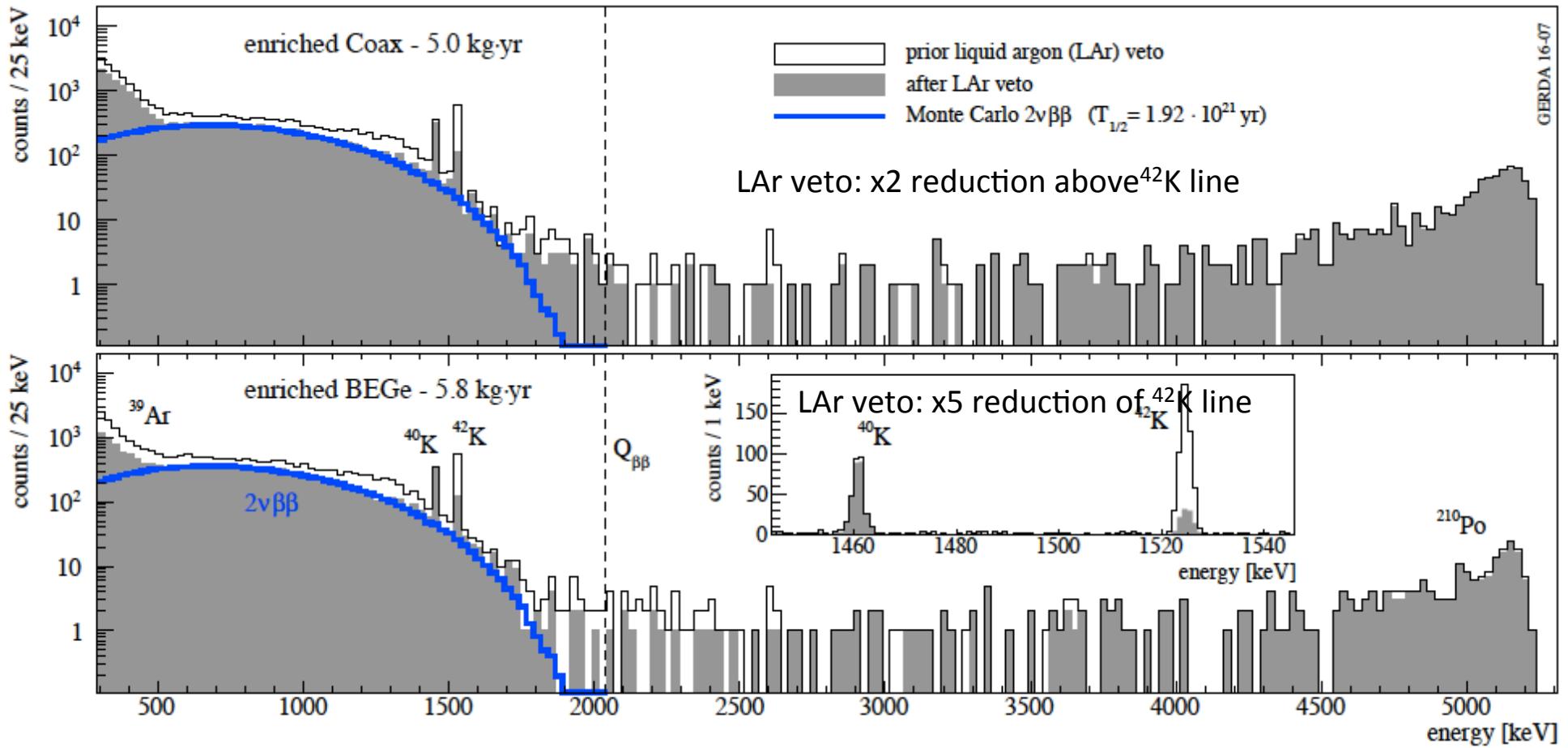


- All Working
- Few show reverse current after months of operation in LAr. Some detectors show instabilities-> not used for analysis

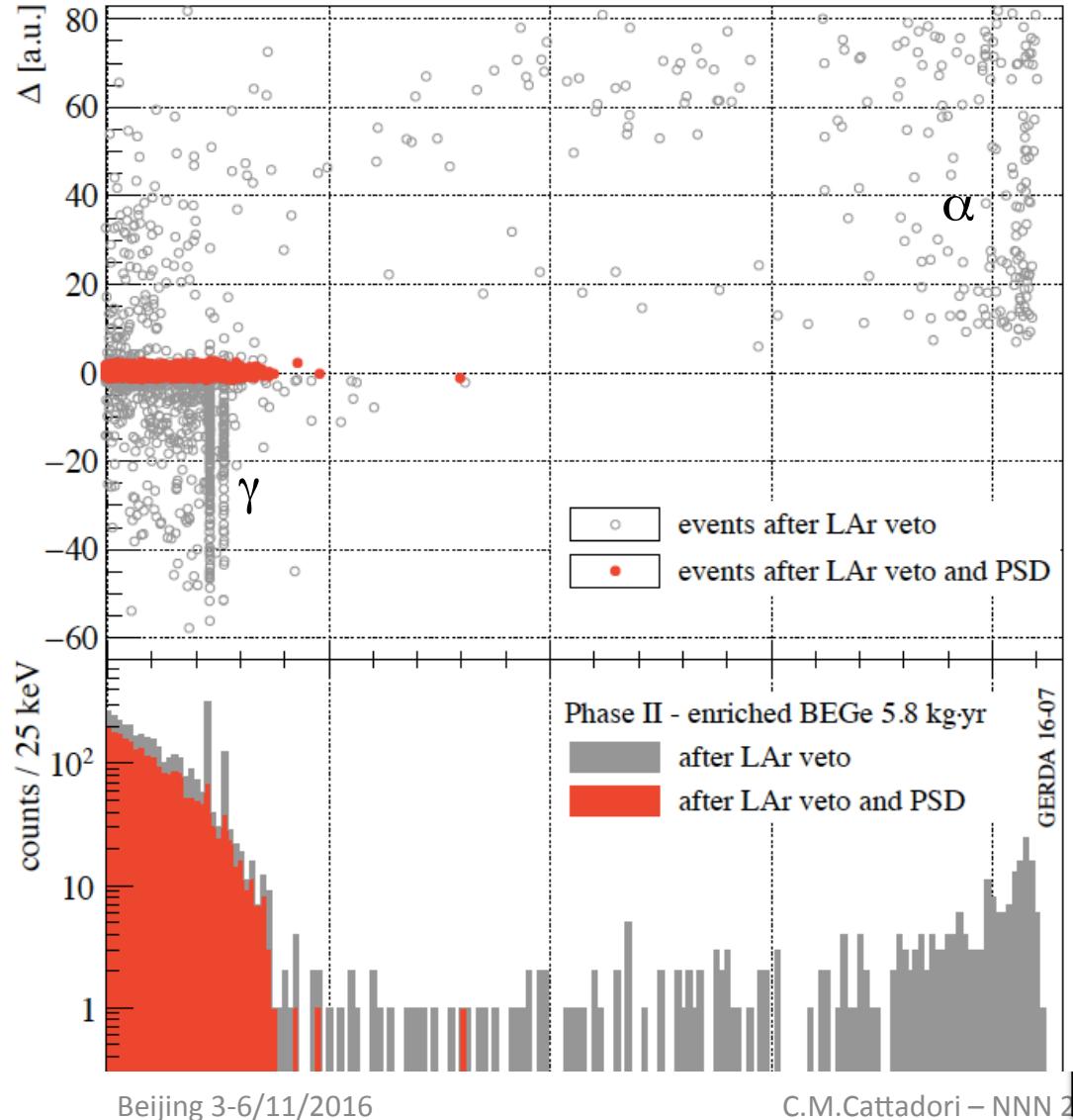
# GERDA: Superior En Resolution of PHY-DATA



# GERDA II: COAX and BEGE – 10.8 kg yr



# GERDA: The Pulse Shape Discrimination



The *current* ( $dq/dt$ ) waveform time profile is used to discriminate:

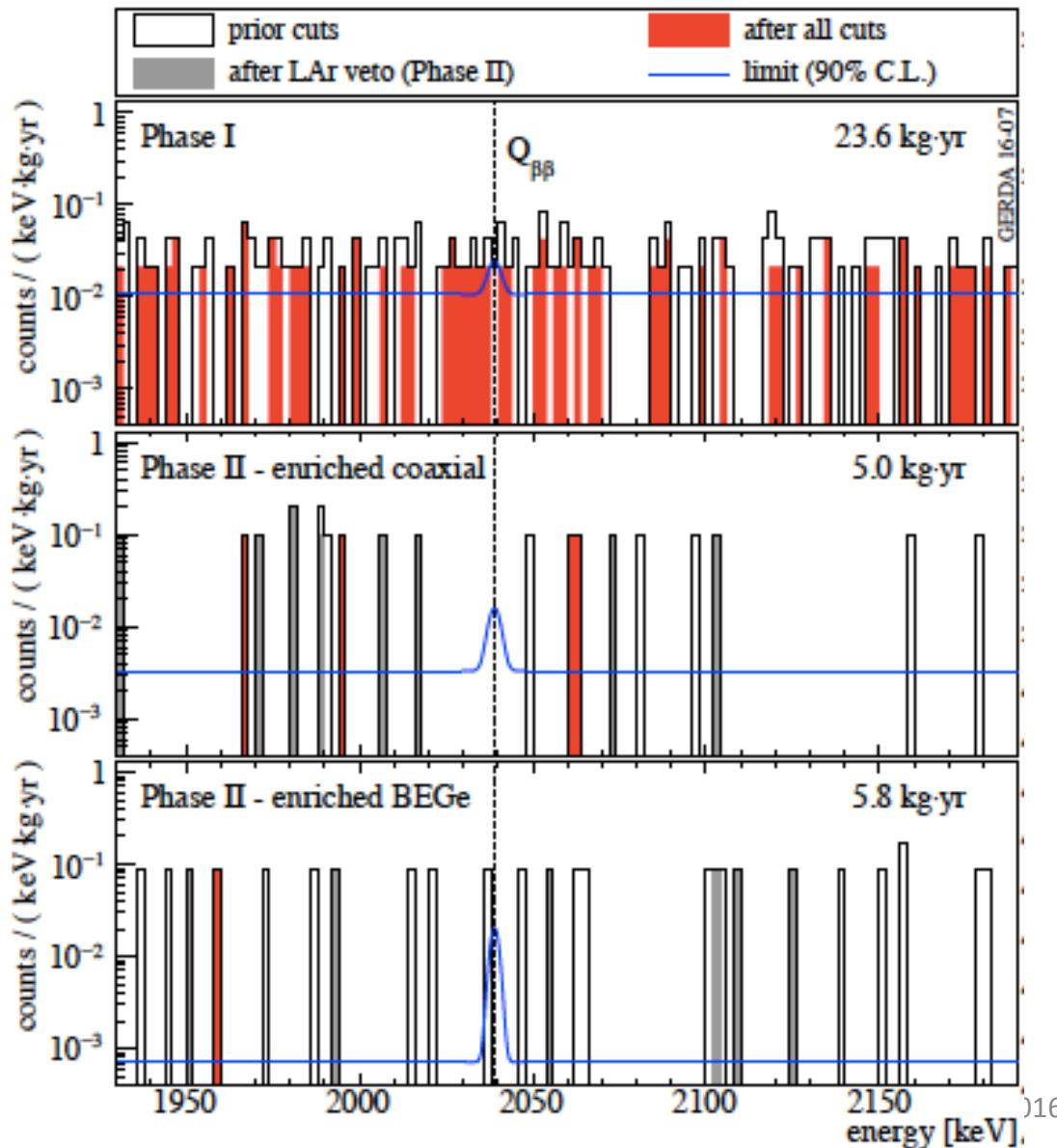
- Particle position
  - surface vs bulk,
  - at readout electrode vs bias electrode
- Particle type ( $\alpha, \gamma$ )
- Method is calibrated with point-like event proxies as double escape peak (DEP) of 2.6 MeV calibration  $\gamma$ -line, Compton Edges Efficiency
- DEP=(87.3 ± 0.2 ± 0.8)%
- $2\nu\beta\beta$ =(85.4 ± 0.8 ± 1.7)%

In the full fit window 1930-2190 keV  
only 1 evt remain

$$BI_{BEGe} = 0.7^{+1.2}_{-0.5} \times 10^{-3} \text{ cts/kev·kg·yr}$$

Quasi-Zero-Bckgrd Experiment !!

# Recent Results



Fit Window : 260 keV

Performed Profile Likelihood fit of the  
3 data sets

- B (constant) + Signal (Gaus( $Q_{\beta\beta}, \sigma_E$ ))
- 4 free parameters in the fit  $B_{P_I}$ ,  
 $B_{P_{II}}^{coax}$ ,  $B_{P_{II}}^{BEGe}$ ,  $1/T_{1/2}^{0\nu}$
- Systematics folded in

$T_{1/2}^{0\nu} > 5.2 \times 10^{25} \text{ yr} @ 90\% \text{ CL}$

Simulated Median sensitivity:

$T_{1/2}^{0\nu} > 2.4 \times 10^{25} \text{ yr}$

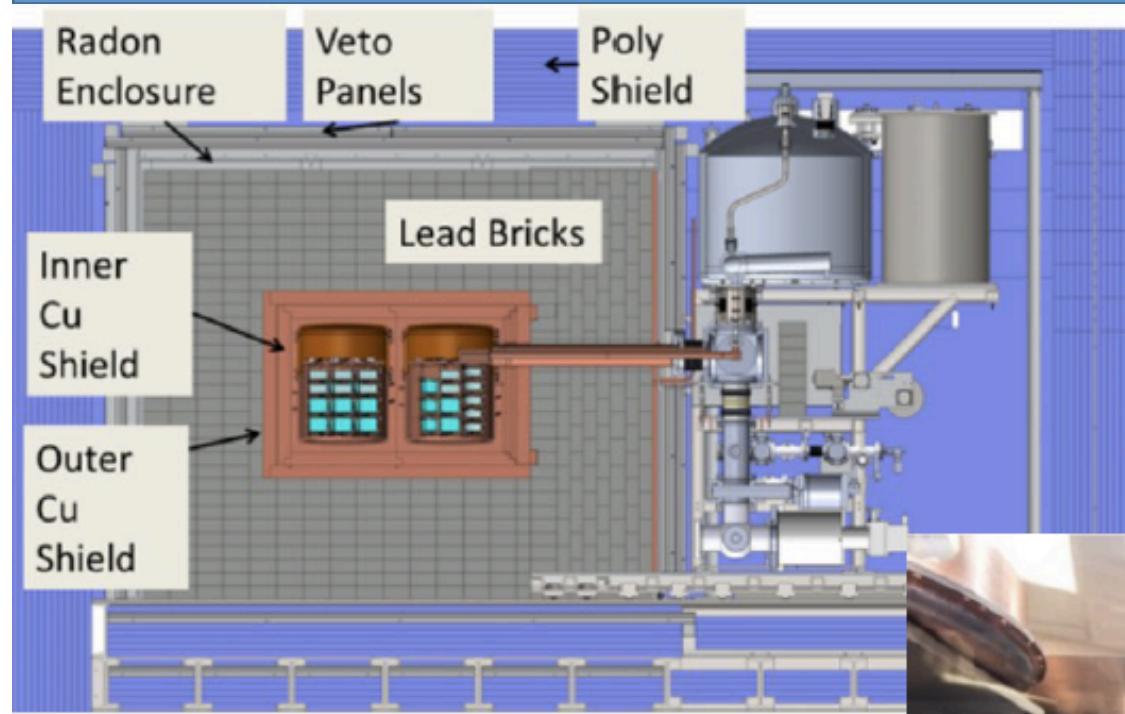
Eventually  $T_{1/2}^{0\nu} > 1.0 \times 10^{26} \text{ yr}$

Experiment w. lowest bckgrd and  
higher En. Res.

$$B_{I_{BEGE}} = 7^{+1.2}_{-0.5} \times 10^{-4} \text{ cts/kev·kg·yr}$$

$$B_{I_{COAX}} = 35^{+21}_{-15} \times 10^{-4} \text{ cts/kev·kg·yr}$$

# Majorana Demonstrator @ SURF



proto-type module:  
10 detectors, 2014-2015

Module 1  
29 detectors, 2015 first installation  
running since Jan 2016

Module 2:  
29 detectors, taking data since Aug

29 kg  $^{76}\text{Ge}$  detectors (87% enr) in conventional copper/lead shield (+15 kg  $^{\text{nat}}\text{Ge}$  detectors)

point-contact detectors → rejection surface evt + multiple int.

ultra-clean copper ("home made") + cables + ...

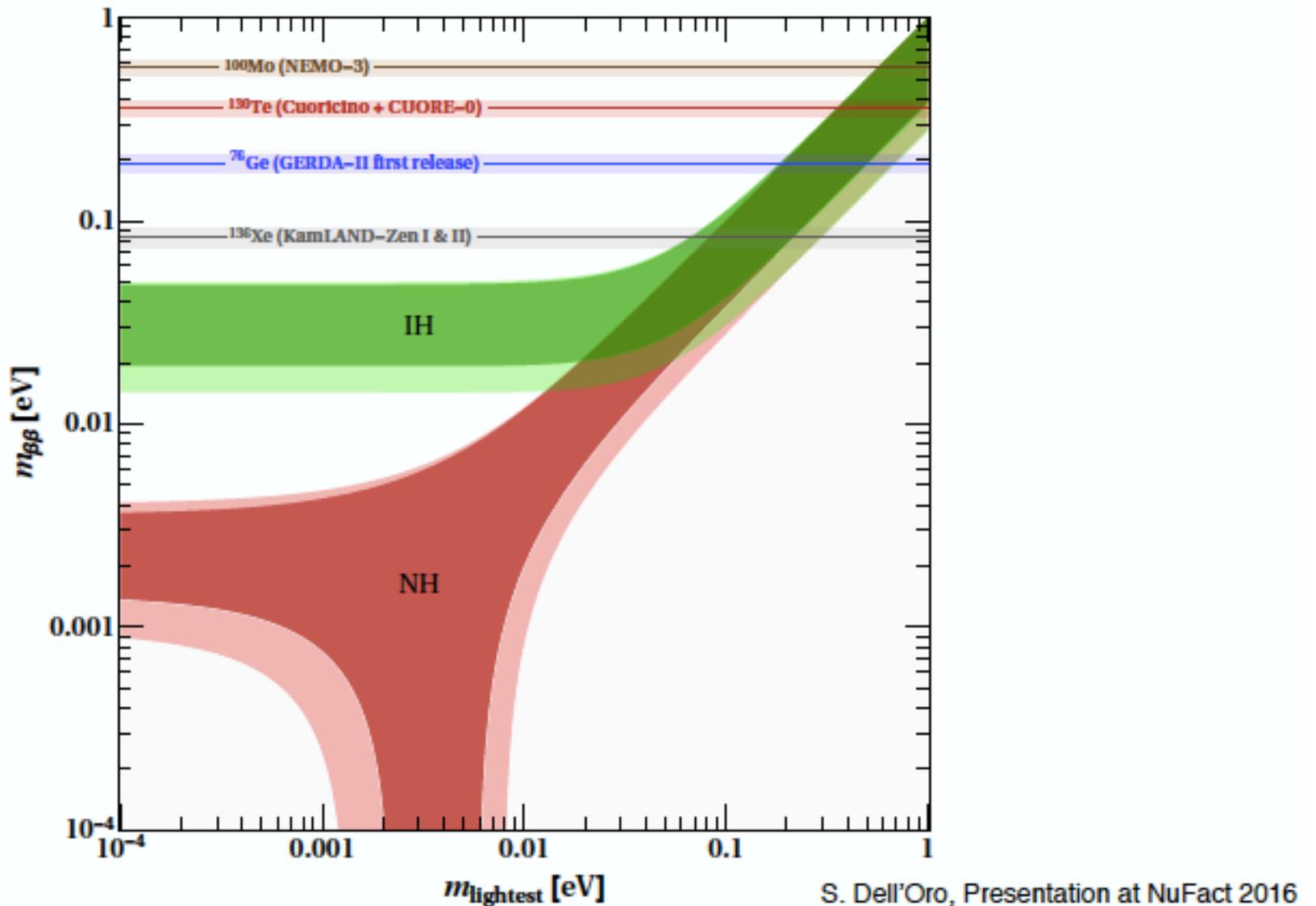
goal: prove design for ton scale



# Next Generation-Germanium

- After several years of regular contacts and information exchange between the two Collaborations, last week in Atlanta (US) a new Collaboration NG-Ge has formed
- Bulk of Collaboration: GERDA + Majorana open to new collaborators
- Goal:
  - Realize a new  $0\nu\beta\beta$   $^{76}\text{Ge}$  project to go ahead GERDA and Majorana Demonstrator scales
  - Active Ge Mass: 150-200 kg depending on funds
  - Achieve BI  $\sim 10^{-4}$  cts / (kev.kg.yr)
- Site: LNGS
- LOI: Expected Beginning 2017

# Experimentl results: The Slow approach to the IH



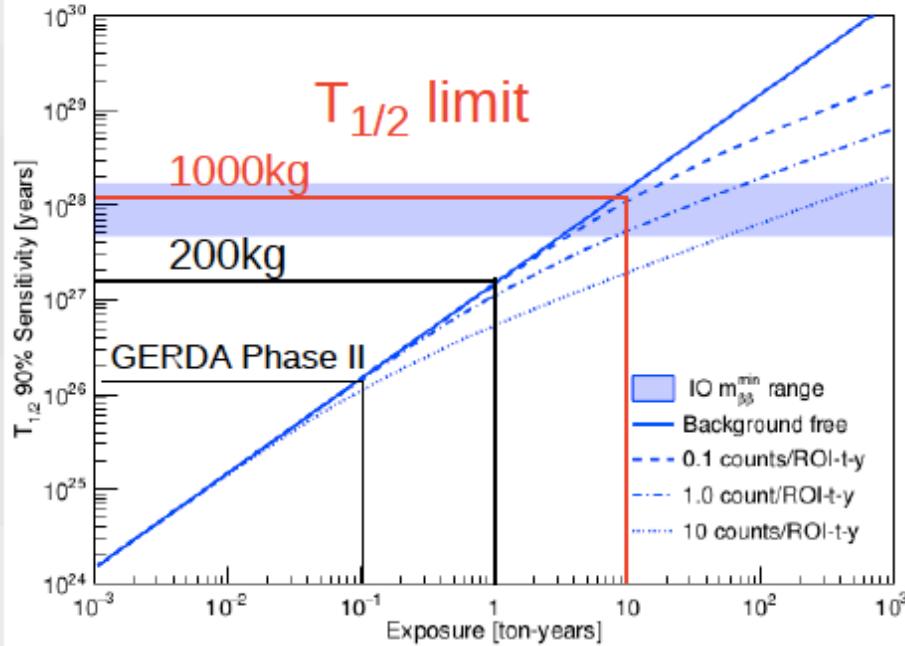
# Comparison of Ge vs Xe & Te projects

	Total Mass of isotope [kg]	Expected/Achieved Bl [cts/keV·kg·y]	Expected/Achieved FWHM [keV]	Exposure [kg·y]	$T_{1/2}$ Sensitivity (90%CL) [y]	$m_{ee}$ Sensitivity (90%CL) [meV]
Achieved in blue	<b>Gerda II</b> 31	$10^{-3}$	< 4	~100	$> 10^{26}$	90-150
	<b>Gerda II</b> 31	$\leq 10^{-3}$	<b>3.0-4.0</b>	<b>10.8</b>	$5.2 \cdot 10^{25}$	160-260
	<b>GERDA I</b> 16	$\sim 10^{-2}$	<b>3.2-4.8</b>	<b>21.6</b>	$2.1 \cdot 10^{25}$	200-400
Majorana	30	$< 10^{-3}$	< 4		$> 10^{26}$	
Cuore	206	$10^{-2}$	5	1000	$9.5 \cdot 10^{25}$	51-133
Cuore 0	10.9	$0.6 \cdot 10^{-2}$	5.1	9.8	$2.7 \cdot 10^{24}$	0.3 – 0.7
Cuoricino	11.6	$15.3 \cdot 10^{-2}$	6.3	20	$2.8 \cdot 10^{24}$	0.3 – 0.7
n-EXO EXO 200 ult.	5000		73			down to 8 50
EXO 200	200	$1.7 \cdot 10^{-3}$	112	100	$1.1 \cdot 10^{25}$	190-450
KZ ultimate KZ comb.	600-800			130	$1.1 \cdot 10^{26}$	20 60 - 160
Kam-Zen II Kam-Zen I	348 320	$3.0 \cdot 10^{-4}$ (1m FV)	350 285	504 89.5	$9.6 \cdot 10^{25}$ $1.9 \cdot 10^{25}$	

# Conclusions

- $0\nu\beta\beta$  is actively searched since 60 yrs.
- This year attained by KZ the  $10^{26}$  yr lower limit on  $T^{1/2}_{0\nu}$  of  $^{136}\text{Xe}$  by KamlandZEN
- $^{76}\text{Ge}$  experiment showed to have superior Energy Resolution (important handle vs bckgrd) and to have reached the background free regime where  $T^{1/2}_{0\nu} \sim \text{Exposure}$
- Top of IH region not yet attained: the next generation 200 kg-Ge project, KZ-800,CUPID, n-EXO aims to reach it ( $T^{1/2}_{0\nu} \sim 10^{27}$ ).
- Ton-scale experiments will reach the  $T^{1/2}_{0\nu} \sim 10^{28}$  yr
- Other NSM Mechanism than Majorana Mass could contribute to  $0\nu\beta\beta$ : LRSM (so far not confirmed at LHC) should find  $\Delta L=2$  and  $W_R$

# $^{76}\text{Ge}$ sensitivity limit + discovery



for discovery:  
factor 10 worse in background  
→ need factor  $\sim 6$  in exposure  
"background free" very important  
(for all isotopes)

plots by Jason Detwiler based on  
 $m_{ee} = 18 \text{ meV}$ , current matrix element calc.  
GERDA numbers for efficiency & enrichment

GERDA Phase I  $\sim 30 \text{ cnt}/(\text{ROI t yr})$  - achieved  
Phase II  $\sim 3 \text{ cnt}/(\text{ROI t yr})$  - achieved  
future "200 kg"  $\sim 0.5 \text{ cnt}/(\text{ROI t yr})$   
"1000 kg"  $\sim 0.1 \text{ cnt}/(\text{ROI t yr})$

discovery: 50% chance for a  $3\sigma$  signal discovery

